| 1 | UNITED STATES PATENT AND TRADEMARK OFFICE |
|----|-------------------------------------------------|
| 2 | |
| 3 | BEFORE THE PATENT TRIAL AND APPEAL BOARD |
| 4 | |
| 5 | NICHIA CORPORATION |
| 6 | Petitioner |
| 7 | $	extsf{V}$. |
| 8 | DOCUMENT SECURITY SYSTEMS INC. |
| 9 | Patent owner |
| 10 | |
| 11 | Case IPR2018-00966 Patent No. 7,652,297 B2 |
| 12 | (Caption continued to next page) |
| 13 | (caperon contentaca to next page) |
| 14 | EXAMINATION of JAMES R. SHEALY |
| 15 | |
| 16 | TAKEN ON |
| 17 | FRIDAY, JANUARY 18, 2019 |
| 18 | |
| 19 | |
| 20 | REPORTED BY: |
| 21 | JESSIE WAACK, RDR, CRR, CCRR, CCR, NYACR, NYRCR |
| 22 | JOB NO.: 225957 |



| 1 | UNITED STATES PATENT AND TRADEMARK OFFICE |
|----|-------------------------------------------------|
| 2 | |
| 3 | BEFORE THE PATENT TRIAL AND APPEAL BOARD |
| 4 | |
| 5 | NICHIA CORPORATION AND |
| 6 | EVERLIGHT ELECTRONICS CO., LTD., Petitioners |
| 7 | recitioners |
| 8 | V. |
| 9 | DOCUMENT SECURITY SYSTEMS INC. |
| 10 | Patent owner |
| 11 | Case IPR2018-00965 |
| 12 | Patent No. 7,919,787 B2 |
| 13 | Everlight Electronics Co., Ltd., who filed a |
| 14 | petition in IPR2018-01260, has been joined as a |
| | |
| 15 | petitioner in this proceeding. |
| 16 | |
| 17 | Deposition of JAMES R. SHEALY, held at the |
| 18 | offices of: |
| 19 | Shearman & Sterling LLP |
| 20 | 599 Lexington Avenue |
| 21 | New York, New York 10022 |
| 22 | Phone: 212.848.7116 |



| 1 | Deposition of JAMES R. SHEALY, |
|----|------------------------------------------------|
| 2 | Pursuant to notice, before Jessica R. Waack, |
| 3 | Registered Professional Reporter, Registered |
| 4 | Merit Reporter, Registered Diplomate Reporter, |
| 5 | Certified Realtime Reporter, California |
| 6 | Certified Realtime Reporter, Certified Court |
| 7 | Reporter in New Jersey, New York Association |
| 8 | Certified Reporter, New York Realtime Court |
| 9 | Reporter and Notary Public of the State of |
| 10 | New York. |
| 11 | |
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| 1 | APPEARANCES |
|----------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2 | ON BEHALF OF THE PETITIONER: |
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| 12 | ON BEHALF OF THE PATENT OWNER: |
| 12 13 | ON BEHALF OF THE PATENT OWNER: DAVIDSON BERQUIST JACKSON & GOWDEY LLP |
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| 1 | INDEX TO EXAMINATION |
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| 2 | WITNESS: JAMES R. SHEALY |
| 3 | EXAMINATION PAGE |
| 4 | BY MR. JACKSON 7 |
| 5 | |
| 6 | -000- |
| 7 | INFORMATION REQUESTED |
| 8 | None |
| 9 | |
| 10 | |
| 11 | WITNESS INSTRUCTED NOT TO ANSWER |
| 12 | None |
| 13 | |
| 14 | |
| 15 | |
| 16 | |
| 17 | |
| 18 | ** No exhibits were attached to transcripts ** |
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