← → C 🔒	Secure https://web	o.archive.org/web/20020606142910/https://www.sec.gov/edgar/aboutedgar.htm
WayBack Machine	https://www.sec.gov/edgar/abr 491 captures 3 Mar 2001 - 16 Apr 2018	outedgar.htm Go DEC JUN AUG

Important Information About EDGAR

EDGAR, the Electronic Data Gathering, Analysis, and Retrieval system, performs automated collection, validation, indexing, acceptance, and forwarding of submissions by companies and others who are required by law to file forms with the U.S. Securities and Exchange Commission (SEC). Its primary purpose is to increase the efficiency and fairness of the securities market for the benefit of investors, corporations, and the economy by accelerating the receipt, acceptance, dissemination, and analysis of time-sensitive corporate information filed with the agency.

Not all documents filed with the Commission by public companies will be available on EDGAR. Companies were phased in to EDGAR filing over a three-year period, ending May 6, 1996. As of that date, all public domestic companies were required to make their filings on EDGAR, except for filings made in paper because of a hardship exemption. Third-party filings with respect to these companies, such as tender offers and Schedules 13D, are also filed on EDGAR.

However, some documents are not yet permitted to be filed electronically, and consequently will not be available on EDGAR. Other documents may be filed on EDGAR voluntarily, and consequently may or may not be available on EDGAR. For example, Forms 3, 4, and 5 (security ownership and transaction reports filed by corporate insiders) and Form 144 (notice of proposed sale of securities) may be filed on EDGAR at the option of the filer. Similarly, filings by foreign companies are not required to be filed on EDGAR, but some of these companies do so voluntarily. As of November 4, 2002, foreign companies and foreign governments will be required to file their documents on EDGAR.

It should also be noted that the actual annual report to shareholders (except in the case of investment companies) need not be submitted on EDGAR, although some companies do so voluntarily. However, the annual report on Form 10-K or 10-KSB, which contains much of the same information, *is* required to be filed on EDGAR.

Filers may choose to accompany their official filings with a copy in <u>PDF</u>. In order to read a PDF document, you need an Adobe Acrobat reader. Please note the following information about unofficial PDF copies on our web site:

- Only documents submitted to the EDGAR system in either plain text or HTML are official filings. PDF documents are unofficial copies of filings. Filers may not use the unofficial PDF copies instead of plain text or HTML documents to meet filing requirements.
- Our rules require that an unofficial PDF copy of a document be substantively equivalent to the official filing of which it is the copy. That is, the PDF document must be the same in all respects except that the PDF document may be formatted differently and may contain graphics. It is the filer's responsibility to make sure of this. The EDGAR system cannot check to make sure the two documents are the same. You should look at the official filing if you need to see what has been filed with the SEC.
- Unofficial PDF copies are not subject to certain liabilities under the federal securities laws that are imposed only on filings. They are, however, subject to most of the civil liability and anti-fraud provisions. In addition, PDF copies that are prospectuses retain prospectus liability under Section 12 of the Securities Act.

DOCKE

LARM Find authenticated court documents without watermarks at docketalarm.com.