### **PCT**

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Office



### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification<sup>6</sup>: F16D 1/033, 1/076

**A1** 

- (11) International Publication Number:
- WO 99/41513
- (43) International Publication Date: 19 August 1999 (19.08.99)
- (21) International Application No.: PCT/EP99/00893
- (22) International Filing Date: 11 Feb 1999 (11.02.99)
- (31) Priority Data:

198 05 676.1 12 February 1998 (12.02.98) DE 298 02 374.1 12 February 1998 (12.02.98) DE

- (71) Applicant: VOITH TURBO GMBH & CO. KG (DE/DE); Alexanderstrasse 2, D-89522 Heidenheim (DE)
- (72) Inventor: BETZLER, Hans; Ludwig-Richter-Strasse 10, D89520 Heidenheim (DE), LINDENTHAL, Hans; Kistelbergstasse 81, D-89522 Heidenheim (DE)
- (74) Representative: DR. WEITZEL & PARTNER; Friedenstrasse 10, D-89522 Heidenheim (DE)

(81) Designated Contracting States: CZ, European Patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

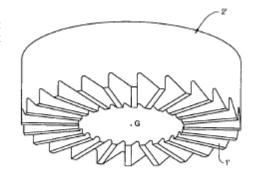
#### **Published:**

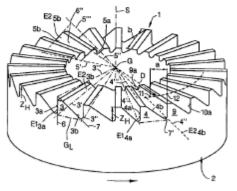
With the International Search Report.

Before expiration of the period allowed for amendments to the claims; publication to be repeated if amendments are submitted.

- (54) Title: MACHINE ELEMENT WITH HIRTH-TYPE SERRATIONS
- (57) Abstract:

The invention relates to a machine element with Hirth-type serrations, characterized in that individual serration elements are configured asymmetrically.





SONY Exhibit 1013



### FOR INFORMATION PURPOSES ONLY

Codes used to define PCT countries added to page 1 of the pamphlet of Unexamined International Patent applications according to the PCT.

, , , , , , , , , , , , , , , , , , ,			
AL – Albania	ES - Spain	LS - Lesotho	SI - Slovenia
AM - Armenia	FI - Finland	LT - Lithuania	SK - Slovakia
AT - Austria	FR - France	LU - Luxembourg	SN - Senegal
AU - Australia	GA - Gabon	LV - Latvia	SZ - Swaziland
AZ - Azerbaijan	GB - United Kingdom	MC - Monaco	TD - Chad
BA - Bosnia and Herzegovina	GE - Georgia	MD - Republic of Moldova	TG - Togo
BB - Barbados	GH - Ghana	MG - Madagascar	TJ - Tajikistan
BE - Belgium	GN - Guinea	MK - Macedonia, the	TM - Turkmenistan
BF - Burkina Faso	GR - Greece	former Yugoslavian	TR - Turkey
BG - Bulgaria	HU - Hungary	Republic	TT - Trinidad and Tobago
BJ - Benin	IE - Ireland	ML - Mali	UA – Ukraine
BR - Brazil	IL - Israel	MN - Mongolia	UG Uganda
BY - Belarus	IS - Iceland	MR - Mauretania	US - United States of
CA - Canada	IT - Italy	MW - Malawi	America
CF - Central African Republic	JP - Japan	MX - Mexico	UZ - Uzbekistan
CG - Congo	KE - Kenya	NE - Niger	VN - Vietnam
CH - Switzerland	KG - Kyrgyzstan	NL - Netherlands	YU - Yugoslavia
CI - Ivory Coast	KP - Democratic People's	NO - Norway	ZW – Zimbabwe
CM - Cameroon	Republic of North	NZ - New Zealand	
CN - China	Korea	PL - Poland	
CU - Cuba	KR - Korea Republic	PT - Portugal	
CZ - Czech Republic	KZ - Kazakhstan	RO - Romania	
DE - Germany	LC - St. Lucia	RU - Russian Federation	
DK - Denmark	LI - Liechtenstein	SD - Sudan	
EE - Estonia	LK - Sri Lanka	SE - Sweden	
	LR – Liberia	SG - Singapore	



5

10

15

20

25

## Machine Element with Hirth Toothing

The invention relates to a machine element with Hirth toothing for a positive-locking connection to a second machine element having complementary toothing.

Hirth toothing designs have been known for a long time as construction elements for a wide variety of different purposes. Reference is made to the Voith company brochures G 749 9.92 1500 as a representative example. Thus, for the purpose of transfer of torque on a machine element, the basic idea of Hirth toothing designs is to design all the geometric lines of a spur gearing in a wedge shape and to have them merge centrally at one point. The result is thus a gear rim running in the circumferential direction with teeth extending in the radial direction, relative to the central axis. The design of two machine elements to be coupled together, having mutually complementary Hirth toothing designs, making it possible to create a form-fitting, self-centering connection between the two, wherein the Hirth toothing designs as such are used as a space-saving part element with a high precision of parts or as a fixation element with a high repeat precision. The possible uses of such connecting elements are quite varied and are not limited to specific examples of use. Use in general mechanical engineering is conceivable, for example, for connecting high-speed compressors and turbine rotors to the rotor shaft, gear wheel sets or crankshafts. An increase in power can be achieved here with the same volume in cases where traditional screw connections, for example, flanges, hubs and shafts have reached or even exceeded the limit of transfer capacity. With such a connection, it is also possible to achieve an increase in volume and weight in cases where the space is already greatly limited.

### **CONFIRMATION COPY**



Assembly is very simple because of the centering effect and components designed in this way are also very easy to replace.

For implementation of a torque transfer and compensation of the axial forces occurring because of the resulting circumferential force, the connection between two machine elements having mutually complementary Hirth toothing designs is usually prestressed axially. To this end, means for enabling axially tension on the two machine elements to be coupled to one another are additionally used for the positive-locking and self-centering connection. Axial stress is understood to refer to the creation of a stress with at least one component in the direction parallel to the axis of rotation of the machine elements. Means that may be considered include mainly screw connections as well as tension anchors. In addition to causing an additional increase in weight, these also cause an increased need for installation space, which must already be calculated into the design of the connection in advance in accordance with the intended purpose.

Therefore, the object of the invention is to improve upon a positive-locking connection by means of Hirth toothing, such that the aforementioned disadvantages are avoided, in particular due to the fact that the increased requirements with respect to a minimum design space and weight can be met. The structural complexity is to be minimized as much as possible.

The approach according to the invention is characterized by the features of claim 1. Advantageous embodiments are defined in the dependent claims.

Thus, a Hirth toothing design and/or a machine element provided with a Hirth toothing design for transfer of torque to another second machine element, which is provided with a complementary Hirth toothing design is designed by implementing a positive-locking connection between the two in such a way that at least one or at least individual ones of the toothing elements are designed to be asymmetrical, i.e., to have an asymmetrical geometry with regard to the tooth profile.



5

10

15

20

25

5

10

15

Hirth toothing is understood to refer to teeth, which are preferably used on rotating components and are designed so that the geometric lines of the spur gearing are designed with a wedge shape and are merged centrally at a point located on the axis of symmetry and/or the axis of rotation of the machine element. The teeth per se form a splined gearing, in which the individual flanks can be described essentially by means of a plane. The teeth per se are arranged in the circumferential direction of the rotating component. The individual toothing elements extend in the radial direction, based on the axis of rotation, and the flanks are each aligned in the circumferential direction. The height of each tooth is different with respect to the height and dimensions of the individual gearing elements and the dimensions of the teeth, as seen in the radial direction from the axis of rotation. The teeth are designed to be inclined relative to the central midpoint and/or to the axis of rotation.

The embodiment of the individual gearing elements with an asymmetrical geometry offers the advantage that, depending on the choice of the direction of rotation, either

1. a part, which can be compensated by the positive-locking and self-centering connection of the Hirth toothing in a part of the axial force occurring in the transfer of torque due to the circumferential force can be compensated by the positive-locking and self-centering connection of the Hirth toothing, wherein this part is a function of the number of compensation points, i.e., the suitably designed tooth elements and/or the geometry of the individual tooth elements;

25

20



# DOCKET

# Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

# **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

# **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

### **LAW FIRMS**

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

### **FINANCIAL INSTITUTIONS**

Litigation and bankruptcy checks for companies and debtors.

## **E-DISCOVERY AND LEGAL VENDORS**

Sync your system to PACER to automate legal marketing.

