Petition for *Inter Partes* Review of U.S. Patent No. 9,100,826

DOCKET NO.: 1033300-00308US2

Filed on behalf of Apple Inc.

By: Monica Grewal, Reg. No. 40,056 (Lead Counsel) Ben Fernandez Reg. No. 55,172 (Backup Counsel)

Wilmer Cutler Pickering Hale and Dorr LLP

60 State Street Boston, MA 02109

Email: monica.grewal@wilmerhale.com ben.fernandez@wilmerhale.com

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

APPLE INC.,

Petitioner,

v.

UNIVERSAL SECURE REGISTRY, LLC,

Patent Owner.

Case IPR2018-00813

U.S. Patent No. 9,100,826

<u>PETITION FOR INTER PARTES REVIEW</u> OF CLAIMS 1, 2, 7, 8, 10, 11, 14, 15, 21, 22, 24, 26, 27, 30, 31, AND 34



TABLE OF CONTENTS

Tabl	e of Authorities	iii
I.	Introduction	1
II.	Mandatory Notices	2
A	. Real Party-in-Interest	2
В.	. Related Matters	2
C.	. Counsel	4
D	. Service Information	4
III.	Level of Ordinary Skill	4
IV.	Certification of Grounds for Standing	5
V.	Overview of Challenge and Relief Requested	5
A	. Prior Art Patents and Printed Publications	6
	1. Ex-1104 – Jakobsson	6
	2. Ex-1105 – Maritzen	6
	3. Ex-1106 – Gullman	7
	4. Ex-1107 – Verbauwhede	7
В.	Grounds for Challenge	8
VI.	Legal Principles	8
VII.	Overview of the '826 patent	9
A	. Priority	9
В.	Brief Description of the '826 Patent Disclosure	9
C.	Prosecution History	11
VIII	. Claim Construction	11
A	. Biometric Information	12
В.	. Authentication Information	14
IX.	Overview of Primary Prior Art Reference Jakobsson	15
X.	Specific Grounds for Petition.	19
A Ja	. Ground 1: Claims 1, 2, 10, 11, 21, 22, 24, 27, 30, and 31 are Anticipated kobsson	•
	1. Independent Claim 1	19
	2. Claim 2	37



Petition for Inter Partes Review of U.S. Patent No. 9,100,826

		, , , , , , , , , , , , , , , , , , ,	
	3.	Independent Claim 10	39
	4.	Claim 11	41
	5.	Independent Claim 21	42
	6.	Claim 22	47
	7.	Claim 24	47
	8.	Claim 27	51
	9.	Independent Claim 30	52
	10.	Claim 31	55
В. Ve		Fround 2: Claims 7, 14, 26, and 34 are Obvious in View of Jakobsson, whede, and Maritzen	55
	1.	Claim 7	
	2.	Reasons to Combine Jakobsson, Verbauwhede, and Maritzen	60
	3.	Claim 14	66
	4.	Claim 26	67
	5.	Claim 34	67
C.	C	Fround 3: Claims 8 and 15 are Obvious in View of Jakobsson and	
Gı	ıllm	an	67
	1.	Claim 8	67
	2.	Claim 15	74
XI.	Co	nclusion	75



TABLE OF AUTHORITIES

	Page(s)
CASES	
<i>In re ICON Health & Fitness, Inc.</i> , 496 F.3d 1374 (Fed. Cir. 2007)	11
KSR Int'l Co. v. Teleflex, Inc., 550 U.S. 398 (2007)	8
Verdegaal Bros. v. Union Oil Co. of California, 814 F.2d 628, 631 (Fed. Cir. 1987)	8
STATUTES	
35 U.S.C. § 101	3
35 U.S.C. § 102	6, 8
35 U.S.C. § 103	3, 4, 8
35 U.S.C. § 314(a)	8
Leahy-Smith America Invents Act, Pub. L. No. 112-29, 125 Stat. 284 (2011)	8
REGULATIONS	
37 C.F.R. § 42.8	2, 4
37 C.F.R. § 42.22	5
37 C.F.R. § 42.100	11
37 C.F.R. § 42.101	5
77 Fed Reg 48764 (Aug 14 2012)	11



I. INTRODUCTION

The '826 patent is generally directed to systems and methods for authenticating users based on biometric information. The patent owner, Universal Secure Registry, LLC ("USR"), has described the claimed invention similarly, asserting that the '826 patent relates to "an improved distributed authentication system that authenticates a user's identity at a handheld device using local biometric information, and also remotely authenticates at a second device based on authentication information (e.g., a variable one-time token) determined from the user's biometric information." Plaintiff's Answer Brief in Opposition to Defendants' Motion to Dismiss ("Opp."), 13 (Ex-1109). USR identifies two "critical" claim elements: "(1) gathering biometric information while locally authenticating the user, preventing unauthorized use of the device; and (2) requiring additional remote user authentication by a second device, based on both authentication information (e.g., one-time variable token) received from the first device, and second authentication information." Opp., 15.

When the '826 patent was filed, however, authentication of a user's identity based on (1) a local biometric authentication, and (2) a remote user authentication, based on a one-time variable token and a second authentication information, were well known in the art. In fact, the prior art is replete with disclosures of systems and methods that perform user authentication in this manner. For example, prior



DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

