UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

APPLE INC.,

Petitioner,

v.

UNIVERSAL SECURE REGISTRY, LLC,

Patent Owner.

Case IPR2018-00812

U.S. Patent No. 8,856,539

DECLARATION OF DR. VICTOR SHOUP IN SUPPORT OF PETITIONER'S OPPOSITION TO PATENT OWNER'S CONDITIONAL MOTION TO AMEND

DOCKET

Δ

Table of Contents

I.	INTRODUCTION		
II.	LEGAL PRINCIPLES		
	А.	Clain	n Construction
	В.	Obvi	ousness
	C. Subject Matter Eligibility		
	D. Indefiniteness		
III.	OPINIONS		
	A.	Substitute Claims 39-47 Are Obvious Over Reber In View Of Franklin And Schutzer	
		1.	Substitute Claims 39, 44, 46, and 477
		2.	Substitute Claims 40-43 and 45
	B. The Substitute Claims 39-47 Are Ineligible Under 35 U.		Substitute Claims 39-47 Are Ineligible Under 35 U.S.C. § 101. 21
		1.	<i>Alice</i> Step 1: The Substitute Claims Are Directed to an Abstract Idea
		2.	<i>Alice</i> Step 2: The Remaining Limitations Of The Substitute Claims Add Nothing Inventive To The Abstract Idea24
	C. Substitute Limitations 39[h], 44[b], and 47[c] Are Indefinite		
IV.	CONCLUSION		
V.	AVAILABILITY FOR CROSS-EXAMINATION		
VI.	RIGHT TO SUPPLEMENT		
VII.	JURAT		

I, Victor Shoup, Ph.D., declare as follows:

I. INTRODUCTION

1. I have been retained by Apple to provide opinions in this proceeding relating to Universal Secure Registry's ("USR" or "Patent Owner") Conditional Motion to Amend ("CMTA") the claims of U.S. Patent No. 5,856,839 ("'539 patent"). I previously prepared and submitted a Declaration in support of the Petition in this proceeding, dated April 12, 2018.

2. Since preparing my previous Declaration, I have reviewed the following additional materials in connection with this Supplemental Declaration:

- The Board's Decision on Institution ("DOI")
- USR's Patent Owner Preliminary Response ("POPR")
- USR's Patent Owner Response ("POR")
- Dr. Jakobsson's Declaration in Support of USR's POPR (Ex. 2101)
- Dr. Jakobsson's Declaration in Support of USR's POR (Ex. 2108)
- USR's CMTA
- Dr. Jakobsson's Declaration in Support of USR's CMTA (Ex. 2107)
- The transcript of Dr. Jakobsson's April 24, 2019, deposition

(Ex. 1137)

3. My background and qualifications are summarized in Section I of my previous Declaration and my curriculum vitae, which was attached thereto as Appendix A.

4. I am being compensated at my normal consulting rate for my work. My compensation is not dependent on the outcome of this IPR proceeding or the related litigation, and does not affect the substance of my statements in this Supplemental Declaration.

5. I have no financial interest in Petitioner. I have no financial interest in the '539 patent.

II. <u>LEGAL PRINCIPLES</u>

6. I am not an attorney. For purposes of this Supplemental Declaration, I have been informed about certain aspects of the law that are relevant to my analysis and opinions.

A. <u>Claim Construction</u>

7. I have been informed that claim construction is a matter of law and that the final claim construction will be determined by the Board. I have been informed that the claim terms in an IPR review should be given their broadest reasonable construction in light of the specification as commonly understood by a person of ordinary skill in the art ("POSITA"). I have applied this standard in my

analysis.

B. <u>Obviousness</u>

8. I have been informed and understand that a patent claim can be considered to have been obvious to a POSITA at the time the application was filed. I understand that this means that, even if all the requirements of a claim are not found in a single prior art reference, the claim is not patentable if the differences between the subject matter in the prior art and the subject matter in the claim would have been obvious to a POSITA at the time the application was filed.

9. I have been informed and understand that a determination of whether a claim would have been obvious should be based upon several factors, including, among others:

- the level of ordinary skill in the art at the time the application was filed;
- the scope and content of the prior art; and
- what differences, if any, existed between the claimed invention and the prior art.

10. I have been informed and understand that the teachings of two or more references may be combined in the same way as disclosed in the claims, if such a combination would have been obvious to a POSITA. In determining whether a combination based on either a single reference or multiple references

DOCKET A L A R M



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.