UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

L'OREAL USA, INC. Petitioner

v.

UNIVERSITY OF MASSACHUSETTS Patent Owner

> IPR2018-00778 Patent No. 6,423,327

PATENT OWNER MANDATORY NOTICES UNDER 37 C.F.R. § 42.8



Pursuant to 37 C.F.R. § 42.8, Patent Owner, University of Massachusetts ("UMass"), hereby timely submits the following Mandatory Notices in response to the Petition for *Inter Partes* Review of U.S. Patent No. 6,423,327 (the "327 Patent"), which Petitioner, L'Oréal USA, Inc. ("L'Oréal") purports to have served on March 15, 2018.

1. Real Party-In-Interest under 37 C.F.R. § 42.8(b)(1)

UMass is the Patent Owner and the Real Party-In-Interest. The University of Massachusetts Medical School is located at UMass' Worcester, MA campus. Carmel Laboratories, LLC is the exclusive licensee of the '327 Patent and a subsidiary of Teresian Carmelites, Inc.

2. Related Matters under 37 C.F.R. § 42.8(b)(2)

The '327 Patent is the subject of a litigation proceeding in *University of Massachusetts Medical School and Carmel Laboratories, LLC v. L'Oréal S.A. and L'Oréal USA, Inc.*, Civil Action No. 17-cv-868-JFB-SRF in the United States District Court for the District of Delaware. The '327 Patent is the parent of U.S. Patent No. 6,645,513 (the "'513 Patent"), which is also the subject of the same litigation proceeding, and IPR2018-00779.

3. Lead and Back-Up Counsel, under 37 C.F.R. § 42.8(b)(3)

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Patent Owner designates the following lead and back-up counsel:

4. Service Information under 37 C.F.R. § 42.8(b)(4)(i) *et seq.*

Please address all correspondence and service to counsel as listed above.

Patent owner consents to service by email at <u>mlowrie@foley.com</u>;

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Dated: April 5, 2018

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CERTIFICATE OF SERVICE

Pursuant to 37 CFR §§42.6(e)(4) and 42.205(b), the undersigned certifies

that on April 5, 2018, a complete and entire copy of the foregoing PATENT

OWNER MANDATORY NOTICES UNDER 37 C.F.R. §42.8 was filed with the

Patent Trial and Appeal Board through the Board's E2E system and provided via

email to the Petitioner as follows:

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