Paper No. \_\_\_\_\_ Filed: July 19, 2018

#### UNITED STATES PATENT AND TRADEMARK OFFICE

#### BEFORE THE PATENT TRIAL AND APPEAL BOARD

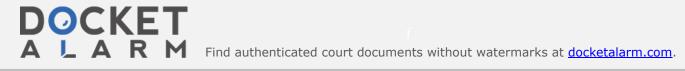
MODERNA THERAPEUTICS, INC., Petitioner,

v.

PROTIVA BIOTHERAPEUTICS, INC., Patent Owner.

> Case IPR2018-00739 Patent 9,364,435

# PATENT OWNER PROTIVA BIOTHERAPEUTICS, INC.'S UPDATED MANDATORY NOTICES UNDER 37 C.F.R. § 42.8



Case IPR2018-00739 Patent 9,364,435

Pursuant to 37 C.F.R. § 42.8(a)(3), the undersigned on behalf of and acting in a representative capacity for Patent Owner Protiva Biotherapeautics, Inc. ("Protiva"), hereby submits the following mandatory change-of-information notices in connection with the petition for *inter partes* review of U.S. Patent No. 9,364,435, Case IPR2018-00739.

# A. Real Party-In-Interest (37 C.F.R. § 42.8(b)(1)) – Revised

Patent Owner identifies Arbutus Biopharma Corporation (fka "Tekmira") and Genevant Sciences, Inc. as the real parties-in-interest. Protiva Biotherapeutics, Inc. existed as a wholly-owned subsidiary of Arbutus Biopharma Corporation. Protiva Biotherapeutics, Inc. was amalgamated into Arbutus Biopharma Corporation in January 2018.

### B. Related Matters (37 C.F.R. § 42.8(b)(2)) – Revised

Patent Owner identifies the following related matters:

*Moderna Therapeautics, Inc. v. Protiva Biotherapeutics, Inc.*, IPR2018-00680 regarding U.S. Patent No. 9,404,127; and European Patent Office Opposition proceedings regarding EP 2 279 254.

- C. Lead and Back-up Counsel Unchanged
- D. Electronic Service Unchanged

Case IPR2018-00739 Patent 9,364,435

Respectfully submitted,

Date: July 19, 2018

/ Michael T. Rosato / Michael T. Rosato, Lead Counsel Reg. No. 52,182 Case IPR2018-00739 Patent 9,364,435

### **CERTIFICATE OF SERVICE**

The undersigned certifies that the foregoing Patent Owner Protiva

Biotherapeutics, Inc.'s Updated Mandatory Notices Under 37 C.F.R. § 42.8 was

served on July 19, 2018, at the following electronic service addresses:

Michael Fleming C. Maclain Wells Alan Heinrich IRELL & MANELLA LLP <u>mfleming@irell.com</u> <u>mwells@irell.com</u> <u>aheinrich@irell.com</u>

Date: July 19, 2018

/ Michael T. Rosato / Michael T. Rosato, Lead Counsel Reg. No. 52,182