#### UNITED STATES PATENT AND TRADEMARK OFFICE

#### **BEFORE THE PATENT TRIAL AND APPEAL BOARD**

SIRIUS XM RADIO INC., Petitioner,

v.

FRAUNHOFER-GESELLSCHAFT ZUR FÖRDERUNG DER ANGEWANDTEN FORSCHUNG E.V., Patent Owner.

> Case IPR2018-00689 Patent No. 6,993,084

### PATENT OWNER'S UPDATED EXHIBIT LIST

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# **UPDATED EXHIBIT LIST**

Fraunhofer Ex. 2001	Executed Summons to Sirius XM Radio Inc., attaching Complaint for Patent Infringement, Dated February 22, 2017
Fraunhofer Ex. 2002	Fraunhofer Complaint for Patent Infringement against Defendant Sirius XM Radio Inc., Filed February 22, 2017
Fraunhofer Ex. 2003	Expert Declaration of Michael L. Honig, Ph.D. in Support of Patent Owner's Preliminary Response
Fraunhofer Ex. 2004	Curriculum Vitae of Michael L. Honig, Ph.D.
Fraunhofer Ex. 2005	Sirius XM Holdings Inc., SEC Form 10-K for the year ended December 31, 2017, Filed January 31, 2018
Fraunhofer Ex. 2006	Sirius XM Holdings Inc., SEC Form 8-K, November 14, 2013
Fraunhofer Ex. 2007	Pandora Media, Inc., SEC Schedule 13D, September 22, 2017
Fraunhofer Ex. 2008	Pandora Media, Inc., SEC Joint Filing Agreement (Exhibit A) to Schedule 13D, September 22, 2017
Fraunhofer Ex. 2009	Sirius XM Holdings Inc., SEC Form 8-K, January 11, 2018
Fraunhofer Ex. 2010	Sirius XM Holdings Inc., Exhibit 10.1 to SEC Form 8-K, January 10, 2018 (Meyer Employment Agreement)
Fraunhofer Ex. 2011	Sirius XM Holdings Inc., SEC Form 8-K, January 14, 2014
Fraunhofer Ex. 2012	Sirius XM Holdings Inc., Exhibit 10.1 to SEC Form 8-K, January 10, 2014 (Donnelly Employment Agreement)
Fraunhofer Ex. 2013	Sirius XM Holdings Inc., SEC Form 10-K for the year ended December 31, 2016, Filed February 2, 2017
Fraunhofer Ex. 2014	Defendant Sirius XM Radio Inc.'s Corporate Disclosure Statement Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, April 25, 2017

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Ex. 2016 (E.D. Va.), Class Complaint, Filed January 4, 2	
Fraunhofer Yefim Elikman v. Sirius XM Radio Inc. and Ca	
Ex. 2017 <u>Inc.</u> , No. 1:15-cv-02093 (N.D. Ill.), Second Am	ended Class
Action Complaint, Filed April 1, 2015	
Fraunhofer Anthony Parker v. Sirius XM Radio Inc., No. 8	
Ex. 2018 JSM-EAJ (M.D. Fla), Class Action Complaint,	Filed July 22,
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Fraunhofer Francis W. Hooker et al. v. Sirius XM Radio In	
Ex. 2019 3 (E.D. Va.), Final Order Approving Settlement	
the Settlement Class, Filed December 22, 2016	
Fraunhofer Corporate Overview for Sirius XM Satellite Ra	dio, retrieved
Ex. 2020 from <u>https://www.siriusxm.com/corporate?</u>	
intcmp=GN_FOOTER_NEW_AboutSiriusXM	<u>Corp</u> on June
29, 2018	
Fraunhofer Sirius XM Holdings Inc. Common Stock (SIRI)	) Real-Time
Ex. 2021 Stock Quote, NASDAQ.com, retrieved from	
https://www.nasdaq.com/symbol/siri/real-time	on June 29,
2018	
Fraunhofer Sirius XM Holdings Wikipedia Page, retrieved	
Ex. 2022 <u>https://en.wikipedia.org/wiki/Sirius_XM_Holdi</u>	i <u>ngs</u> on July
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Fraunhofer   SIRI – Sirius XM Holdings Inc. Company Prof	
Ex. 2023 CNNMoney.com, retrieved from <u>https://money</u>	.cnn.com/
<u>quote/profile/profile.html?symb=SIRI</u> on July 2	2, 2018
Fraunhofer Sirius XM Holdings Inc. (SIRI) Stock is Still S	lipping, May 2,
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Ex. 2025 27, 2014, retrieved from <u>https://finance.yahoo.c</u>	com
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2016	

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Fraunhofer Ex. 2027	Aug. 31, 2016 Letter from Mark A. Baghdassarian to Court in <i>Global Interactive Media, Inc. v. Sirius XM Holdings Inc.,</i> 1:16-cv-06379-JGK (N.D. of Ill.)
Fraunhofer Ex. 2028	December 8, 2016 Stipulated Dismissal With Prejudice in Global Interactive Media, Inc. v. Sirius XM Holdings Inc., 1:16-cv-06379-JGK (N.D. of Ill.)
Fraunhofer Ex. 2029	Written Statement of David J. Frear, Chief Financial Officer, Sirius XM Holdings Inc. Before the U.S. House of Representatives Committee on the Judiciary Subcommittee on Courts, Intellectual Property, and the Internet, Hearing on Music Licensing Under Title 17, June 25, 2014
Fraunhofer Ex. 2030	Patrick Donnelly Emails
Fraunhofer Ex. 2031	Sirius XM Holdings Inc. (SIRI) Company Profile, Reuters, retrieved from <u>https://www.reuters.com/finance/</u> <u>stocks/company-profile/SIRI.OQ</u> on July 5, 2018
Fraunhofer Ex. 2032	LinkedIn Profile for Sirius XM Holdings Inc., retrieved from <u>https://www.linkedin.com/company/sirius-xm-radio-inc./</u> on July 5, 2018
Fraunhofer Ex. 2033	Technology License Agreement, July 24, 1998
Fraunhofer Ex. 2034	Email from Caplan to Fraunhofer counsel stating Sirius' intent to request permission from Board to file Replies to Patent Owner Preliminary Responses in IPR Nos. 2018-00681 and 2018-00682 (June 14, 2018)
Fraunhofer Ex. 2035	Email from Hedvat to Fraunhofer counsel stating Sirius' intent to request permission from Board to file Reply to Patent Owner Preliminary Response in IPR Nos. 2018-00689 and 2018-00690 (July 13, 2018)
Fraunhofer Ex. 2036	Email from Caplan to Board requesting conference call to address issues in IPR Nos. 2018-00681 and 2018-00682 (June 20, 2018)
Fraunhofer Ex. 2037	Email from Hedvat to Board requesting permission to file Replies to Patent Owner Preliminary Responses in IPR Nos. 2018-00689 and 2018-00690 (July 19, 2018)
Fraunhofer Ex. 2038	Email from Caplan to Board requesting authorization to submit a Notice of Supplemental Authority/argument

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	regarding <i>Google</i> decision in IPR Nos. 2018-00681, 2018-00682, 2018-00689 and 2018-00690 (December 7, 2018)
Fraunhofer Ex. 2039	Email from Price to Board notifying Panel of Supplemental Authority-ZTE decision (February 7, 2019)
Fraunhofer Ex. 2040	Email from Caplan to Board notifying Panel of <i>Proppant</i> and <i>Adello</i> precedential decisions (April 22, 2019)

Dated: May 16, 2019

Respectfully submitted,

/Ben J. Yorks/ Ben J. Yorks (Reg. No. 33,609) Babak Redjaian (Reg. No. 42,096) David McPhie (Reg. No. 56,412) Irell & Manella LLP 1800 Avenue of the Stars, Suite 900 Los Angeles, CA 90067 Tel: (310) 277-1010 Fax: (310) 203-7199 Email: FraunhoferIPRs@irell.com

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