

**UNITED STATES PATENT AND TRADEMARK OFFICE**

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**BEFORE THE PATENT TRIAL AND APPEAL BOARD**

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SIRIUS XM RADIO INC.,  
Petitioner,

v.

FRAUNHOFER-GESELLSCHAFT ZUR FÖRDERUNG DER  
ANGEWANDTEN FORSCHUNG E.V.,  
Patent Owner.

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Case IPR2018-00681  
Patent No. 7,061,997

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**PATENT OWNER'S UPDATED EXHIBIT LIST**

***Mail Stop "PATENT BOARD"***  
Patent Trial and Appeal Board  
U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

**UPDATED EXHIBIT LIST**

Fraunhofer Ex. 2001	Sirius XM Holdings Inc., SEC Form 10-K for the year ended December 31, 2017, Filed January 31, 2018
Fraunhofer Ex. 2002	Sirius XM Holdings Inc., SEC Form 8-K, November 14, 2013
Fraunhofer Ex. 2003	Pandora Media, Inc., SEC Schedule 13D, September 22, 2017
Fraunhofer Ex. 2004	Pandora Media, Inc., SEC Joint Filing Agreement (Exhibit A) to Schedule 13D, September 22, 2017
Fraunhofer Ex. 2005	Sirius XM Holdings Inc., SEC Form 8-K, January 10, 2018
Fraunhofer Ex. 2006	Sirius XM Holdings Inc., Exhibit 10.1 to SEC Form 8-K, January 10, 2018 (Meyer Employment Agreement)
Fraunhofer Ex. 2007	Sirius XM Holdings Inc., SEC Form 8-K, January 14, 2014
Fraunhofer Ex. 2008	Sirius XM Holdings Inc., Exhibit 10.1 to SEC Form 8-K, January 14, 2014 (Donnelly Employment Agreement)
Fraunhofer Ex. 2009	Executed Summons to Sirius XM Radio Inc., attaching Complaint for Patent Infringement, Dated February 22, 2017
Fraunhofer Ex. 2010	Fruanhofer Complaint for Patent Infringement against Defendant Sirius XM Radio Inc., Filed February 22, 2017
Fraunhofer Ex. 2011	Sirius XM Holdings Inc., SEC Form 10-K for the year ended December 31, 2016, Filed February 2, 2017
Fraunhofer Ex. 2012	Defendant Sirius XM Radio Inc.'s Corporate Disclosure Statement Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, April 25, 2017
Fraunhofer Ex. 2013	<u>Erik Knutson v. Sirius XM Radio Inc.</u> , No. 12-cv-0418-AJB-NLS (S.D. Cal.), First Amended Class Action Complaint for Damages
Fraunhofer Ex. 2014	<u>Francis W. Hooker v. Sirius XM Radio Inc.</u> , No. 4:13-cv-3 (E.D. Va.), Class Complaint

Fraunhofer Ex. 2015	<u>Yefim Elikman v. Sirius XM Radio Inc. and Career Horizons, Inc.</u> , No. 1:15-cv-02093 (N.D. Ill.), Second Amended Class Action Complaint
Fraunhofer Ex. 2016	<u>Anthony Parker v. Sirius XM Radio Inc.</u> , No. 8:15-cv-01710-JSM-EAJ (M.D. Fla), Class Action Complaint
Fraunhofer Ex. 2017	<u>Francis W. Hooker et al. v. Sirius XM Radio Inc.</u> , No. 4:13-cv-3 (E.D. Va.), Final Order Approving Settlement and Certifying the Settlement Class, December 22, 2016
Fraunhofer Ex. 2018	File History of U.S. 7,061,997 (excerpted)
Fraunhofer Ex. 2019	Corporate Overview for Sirius XM Satellite Radio, retrieved from <a href="https://www.siriusxm.com/corporate?intcmp=GN_FOOTER_NEW_AboutSiriusXM_Corp">https://www.siriusxm.com/corporate?intcmp=GN_FOOTER_NEW_AboutSiriusXM_Corp</a> on June 29, 2018
Fraunhofer Ex. 2020	SIRI – Sirius XM Holdings Inc. Company Profile – CNNMoney.com, retrieved from <a href="https://money.cnn.com/quote/profile/profile.html?symb=SIRI">https://money.cnn.com/quote/profile/profile.html?symb=SIRI</a> on July 2, 2018
Fraunhofer Ex. 2021	Sirius XM Holdings Inc. (SIRI) Company Profile, Reuters, retrieved from <a href="https://www.reuters.com/finance/stocks/company-profile/SIRI.OQ">https://www.reuters.com/finance/stocks/company-profile/SIRI.OQ</a> on July 5, 2018
Fraunhofer Ex. 2022	LinkedIn Profile for Sirius XM Holdings Inc., retrieved from <a href="https://www.linkedin.com/company/sirius-xm-radio-inc./">https://www.linkedin.com/company/sirius-xm-radio-inc./</a> on July 5, 2018
Fraunhofer Ex. 2023	Written Statement of David J. Frear, Chief Financial Officer, Sirius XM Holdings Inc. Before the U.S. House of Representatives Committee on the Judiciary Subcommittee on Courts, Intellectual Property, and the Internet, Hearing on Music Licensing Under Title 17, June 25, 2014
Fraunhofer Ex. 2024	Email from Caplan to Fraunhofer counsel stating Sirius' intent to request permission from Board to file Replies to Patent Owner Preliminary Responses in IPR Nos. 2018-00681 and 2018-00682 (June 14, 2018)
Fraunhofer Ex. 2025	Email from Hedvat to Fraunhofer counsel stating Sirius' intent to request permission from Board to file Reply to Patent Owner Preliminary Response in IPR Nos. 2018-00689 and 2018-00690 (July 13, 2018)

Fraunhofer Ex. 2026	Email from Caplan to Board requesting conference call to address issues in IPR Nos. 2018-00681 and 2018-00682 (June 20, 2018)
Fraunhofer Ex. 2027	Email from Hedvat to Board requesting permission to file Replies to Patent Owner Preliminary Responses in IPR Nos. 2018-00689 and 2018-00690 (July 19, 2018)
Fraunhofer Ex. 2028	Email from Caplan to Board requesting authorization to submit a Notice of Supplemental Authority/argument regarding <i>Google</i> decision in IPR Nos. 2018-00681, 2018-00682, 2018-00689 and 2018-00690 (December 7, 2018)
Fraunhofer Ex. 2029	Email from Price to Board notifying Panel of Supplemental Authority- <i>ZTE</i> decision (February 7, 2019)
Fraunhofer Ex. 2030	Email from Caplan to Board notifying Panel of <i>Proppant</i> and <i>Adello</i> precedential decisions (April 22, 2019)

Date: May 16, 2019

Respectfully submitted,

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**CERTIFICATE OF SERVICE**

Pursuant to 37 C.F.R. § 42.6, the undersigned certifies that on May 16, 2019 a copy of the foregoing document **PATENT OWNER'S UPDATED EXHIBIT LIST** was served, by electronic mail, as agreed to by the parties, upon the following:

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