				hrough 11/30/2011	
Under the Paperwork Reduction Act of 1995, no persons are r	required to respon	U.S. Patent and Tradem d to a collection of information			
POWER OF ATTORNEY TO PRO	SECUTE A	APPLICATIONS	BEFOR	RE THE US	SPTO
I hereby revoke all previous powers of attorney 37 CFR 3.73(b).	given in the a	application identified	t in the al	ttached state	ment under
I hereby appoint: Image: Practitioners associated with the Customer Number:		147655			
OR Practitioner(s) named below (if more than ten patent	practitioners are	to be named, then a cu	stomer num] nber must be us	ed):
Name	Registration Number		Name		Registration Number
as attorney(s) or agent(s) to represent the undersigned before any and all patent applications assigned <u>only</u> to the undersi attached to this form in accordance with 37 CFR 3.73(b).					
Please change the correspondence address for the applica	tion identified in	the attached statement	under 37 Cl	FR 3.73(b) to:	
<i>OR</i> The address associated with Customer Number:		147655			
Firm or Individual Name					
Address	State			7:	
City Country	State			Zip	
Telephone		Email			
Assignee Name and Address:					
Fundamental Innovations Systems International	ше				

Fundamental Innovations Systems International LLC 2900 Long Prairie Road, Suite B Flower Mound, TX 75022

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature	Che the	Date April 29, 2017
Name	Ozer Teitelbaum	Telephone
Title	Co-Founder and Partner	

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Acknowledgement Receipt					
EFS ID:	29828892				
Application Number:	10087629				
International Application Number:					
Confirmation Number:	3767				
Title of Invention:	MULTIFUNCTIONAL CHARGER SYSTEM AND METHOD				
First Named Inventor/Applicant Name:	Daniel M. Fischer				
Customer Number:	141762				
Filer:	Richard J. Botos/Seth Botos				
Filer Authorized By:	Richard J. Botos				
Attorney Docket Number:	TNT 3.0-001				
Receipt Date:	19-JUL-2017				
Filing Date:	01-MAR-2002				
Time Stamp:	14:35:53				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment no						
File Listing	g:					
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
				125818		
1	Assignee showing of ownership per 37 CFR 3.73		a.pdf	966684505905d50dac659718b3d2d1d7d2 75da30	no	2
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Information					
2	Power of Attorney	Pre.pdf	855803 9d2dcb10ca818530f8e78aa5360dfcda7dc5 3c9e	no	1
Warnings:					
Information					
		Total Files Size (in bytes)	9	81621	
characterize Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) a Acknowledg <u>National Sta</u> If a timely su U.S.C. 371 ar national stag <u>New Internat</u> If a new inter an internatic and of the In	ledgement Receipt evidences receip d by the applicant, and including page described in MPEP 503. <u>tions Under 35 U.S.C. 111</u> ication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF ement Receipt will establish the filin ge of an International Application ur bmission to enter the national stage ad other applicable requirements a F ge submission under 35 U.S.C. 371 wi tional Application Filed with the USP rnational application is being filed an onal filing date (see PCT Article 11 an ternational Filing Date (Form PCT/RC urity, and the date shown on this Ack on.	ge counts, where applicable. tion includes the necessary of R 1.54) will be issued in due g date of the application. <u>ider 35 U.S.C. 371</u> of an international applicati orm PCT/DO/EO/903 indicati ill be issued in addition to the <u>TO as a Receiving Office</u> ind the international applicat d MPEP 1810), a Notification D/105) will be issued in due c	It serves as evidence components for a filir course and the date s on is compliant with ng acceptance of the e Filing Receipt, in du ion includes the nece of the International ourse, subject to pres	of receipt s ng date (see shown on th the condition application e course. essary comp Application scriptions c	a 37 CFR a 37 CFR his ons of 35 a as a oonents for Number oncerning

PTO/SB/96 (07-09) Approved for use through 07/31/2012. OMB 0651-0031

	U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERC
Under the Paperwork Reduction Act of 1995	no persons are required to respond to a collection of information unless it displays a valid OMB control number

STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: RESEARCH IN MOTION LIMITED
Application No./Patent No.: 6,936,936 Filed/Issue Date: August 30, 2005
Titled:
RESEARCH IN MOTION LIMITED , a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.
states that it is:
1. X the assignee of the entire right, title, and interest in;
2. an assignee of less than the entire right, title, and interest in (The extent (by percentage) of its ownership interest is%); or
3. the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
the patent application/patent identified above, by virtue of either:
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 013155, Frame 0301, or for which a copy therefore is attached.
OR
B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
1. From: To:
The document was recorded in the United States Patent and Trademark Office at Reel, or for which a copy thereof is attached.
2. From: To:
The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
3. From: To:
The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a supplemental sheet(s).
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
[NOTE: A separate copy (<i>i.e.</i> , a true copy of the original assignment document(s)) must be submitted to Assignment Division accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. <u>See</u> MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
/BRYAN C. DINER/ October 23, 2010
Signature Date
BRYAN C. DINER Reg. No. 32,409
Printed or Typed Name Title This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
 A record from this system of records may be disclosed, as a routine use, to the Administrator,
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PTO/SB/80 (11-08)

Approved for use through 11/30/2011. OMB 0851-0035 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO								
I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).								
I hereby appoint:	[
Practitioners associated with the Customer Number: 147655								
OR			ļ					
Practitioner(s) named below (if more than ten patent p	ractitioners are to be r	named, then a customer num	iber must be used):					
Name	Registration Number	Name	Registration Number					

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

I here	by appoint:				1	
🖌 ;	Practitioners assoc	lated with the Customer Number:		147655		
0	R					
L ı	Practitioner(s) nam	ed below (if more than ten patent	practitioners are to b	e named, then a cust	omer numb	per must be used):
Î		Name	Registration Number	N	lame	Registration Number
any and	d all patent applica	to represent the undersigned before tions assigned <u>only</u> to the undersi coordance with 37 CFR 3.73(b).				
Please	change the corres	pondence address for the applica	tion identified in the a	attached statement ur	nder 37 CFI	R 3.73(b) to:
	The address as	sociated with Customer Number:	1.	47655		
	Firm or					
Addre	Individual Name					
City			State			Zip
Count	try					
Telep	hone			Email		
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TnT lf 2900	P LLC Long Prairie Re	oad, Suite B				
	er Mound, TX 7					
filed in the pr	n each applicati actitioners app	ogether with a statement un ion in which this form is use ointed in this form if the app application in which this Pc	d. The statement ointed practitione	under 37 CFR 3.7 er is authorized to	73(b) may	be completed by one of
	The in		TURE of Assignee of supplied below is	of Record authorized to act on	behalf of t	he assignee
Signatu	are	Gente	Æ		Date A	April 29, 2017
Name		er Teitelb			Telephone	e
Title			Co-Founder ar			
by the U	ISPTO to process) ar	is required by 37 CFR 1.31, 1.32 and application. Confidentiality is governed	ed by 35 U.S.C. 122 and	d 37 CFR 1.11 and 1.14	This collec	tion is estimated to take 3 minute

to complete, including gamering, preparing, and submitting the completed application form to the USP10. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Ac	knowledgement Receipt
EFS ID:	29727344
Application Number:	10087629
International Application Number:	
Confirmation Number:	3767
Title of Invention:	MULTIFUNCTIONAL CHARGER SYSTEM AND METHOD
First Named Inventor/Applicant Name:	Daniel M. Fischer
Customer Number:	141762
Filer:	Richard J. Botos/Seth Botos
Filer Authorized By:	Richard J. Botos
Attorney Docket Number:	TNT 3.0-001
Receipt Date:	10-JUL-2017
Filing Date:	01-MAR-2002
Time Stamp:	11:00:07
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment			no					
File Listing	g:							
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
				125819				
1	Assignee showing of ownership per 37 CFR 3.73		37_CFR_373c.pdf	6e25bbd33edb26157f8f2ca2d5926986bc8 48fbc	no	2		
Warnings:	ļ			ļ				

Information					
2	Power of Attorney	Pre.PDF	848759 7257765b1815b875887d3784c11da37490 6b7654	no	1
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Information					
		Total Files Size (in bytes)	9	74578	
characterize Post Card, as If a new appl 1.53(b)-(d) a Acknowledg <u>National Sta</u> If a timely su U.S.C. 371 ar national stag <u>New Internat</u> If a new inter an internatic and of the In	ledgement Receipt evidences receip d by the applicant, and including page described in MPEP 503. <u>tions Under 35 U.S.C. 111</u> ication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF ement Receipt will establish the filin ge of an International Application ur bmission to enter the national stage ad other applicable requirements a F ge submission under 35 U.S.C. 371 wit tional Application Filed with the USP rnational application is being filed an onal filing date (see PCT Article 11 an ternational Filing Date (Form PCT/RC urity, and the date shown on this Ack on.	ge counts, where applicable. tion includes the necessary of R 1.54) will be issued in due g date of the application. <u>nder 35 U.S.C. 371</u> of an international applicati orm PCT/DO/EO/903 indicati ill be issued in addition to the <u>TO as a Receiving Office</u> nd the international applicat d MPEP 1810), a Notification D/105) will be issued in due c	It serves as evidence components for a filin course and the date s on is compliant with ng acceptance of the e Filing Receipt, in du ion includes the nece of the International ourse, subject to pres	of receipt s ng date (see shown on th the condition application e course. essary comp Application scriptions co	a 37 CFR a 37 CFR his ons of 35 a as a oonents for Number oncerning

PTO/SB/96 (07-09)

Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Applicant/Patent Owner: RESEARCH IN MOTION LIMITED
Application No./Patent No.: 6,936,936 Filed/Issue Date: August 30, 2005
Titled:
RESEARCH IN MOTION LIMITED, a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.
states that it is:
1. X the assignee of the entire right, title, and interest in;
2. an assignee of less than the entire right, title, and interest in (The extent (by percentage) of its ownership interest is%); or
3. the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
the patent application/patent identified above, by virtue of either:
A. X An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 013155, Frame 0301, or for which a copy therefore is attached.
OR
B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
1. From: To:
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
2. From: To:
The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
3. From: To:
The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a supplemental sheet(s).
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
[NOTE: A separate copy (<i>i.e.</i> , a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
/BRYAN C. DINER/ October 23, 2010
Signature Date
BRYAN C. DINER Reg. No. 32,409
Printed or Typed Name Title This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
 A record from this system of records may be disclosed, as a routine use, to the Administrator,
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Case 2:17-cv-00145 Document 4-1 Filed 02/21/17 Page 1 of 1 PageID #: 161

AO 120 (Rev. 08/10)

Mail Stop 8	REPORT ON THE
TO: Director of the U.S. Patent and Trademark Office	FILING OR DETERMINATION OF AN
P.O. Box 1450	ACTION REGARDING A PATENT OR
Alexandria, VA 22313-1450	TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division on the following

DOCKET NO. 2:17-cv-145	DATE FILED 2/21/2017	U.S. DI	STRICT COURT Eastern District of Texas, Marshall Division
PLAINTIFF	1	<u></u>	DEFENDANT
Fundamental Innovation	Systems International LLC		Samsung Electronics Co., Ltd. and Samsung Electronics America, Inc.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 6,936,936 8/30/2005		Fund	tamental Innovation Systems Internaional LLC
2 7,239,111	7/3/2007	Fund	damental Innovation Systems International LLC
3 8,624,550	1/7/2014	Fund	damental Innovation Systems International LLC
4			
5			

In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	iment 🗌 Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDI	ER OF PATENT OR	TRADEMARK
1				
2				
3				
4			······································	
5				

In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

UNITED SE	ates Patent and Trademai	UNITED STA United State: Address: COMMI P.O. Box	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/087,629	03/01/2002	Daniel M. Fischer	TNT 3.0-001
			CONFIRMATION NO. 3767
141762		POA ACC	EPTANCE LETTER
TNT			
Lerner David 600 South Avenue West Westfield, NJ 07090			CC000000083930743*

Date Mailed: 06/27/2016

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 06/17/2016.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/rmturner myles/

page 1 of 1

UNITED ST	UNITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES DEPARTMENT OF C United States Patent and Trademark Address: COMMISSIONER FOR PATENTS PO. Box 1450 Address: COMMISSIONER FOR PATENTS PO. Box 1450 Address: 2313-1450 www.uspto.gov		
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/087,629	03/01/2002	Daniel M. Fischer	TNT 3.0-001
93377 BlackBerry Limited (Finne 2200 University Avenue E Waterloo, ON N2K 0A7			CONFIRMATION NO. 3767 F ATTORNEY NOTICE

Date Mailed: 06/27/2016

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 06/17/2016.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/rmturner myles/

CANADA

page 1 of 1

Doc Code: PA.. Document Description: Power of Attorney

PTO/AIA/82B (07-13) Approved for use through 11/30/2014. OMB 0651-0051 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

TRANSMITTAL FOR POWER OF ATTORNEY TO ONE OR MORE REGISTERED PRACTITIONERS

NOTE: This form is to be submitted with the Power of Attorney by Applicant form (PTO/AIA/82B) to identify the application to which the Power of Attorney is directed, in accordance with 37 CFR 1.5, unless the application number and filing date are identified in the Power of Attorney by Applicant form. If neither form PTO/AIA/82A nor form PTO/AIA/82B identifies the application to which the Power of Attorney is directed, the Power of Attorney will not be recognized in the application.

Application Number 10/087,629					
Filing Date		March 1, 2002			
First Named Inve	ntor	Daniel M. Fi	scher		
Title		MULTIFUNC	MULTIFUNCTIONAL CHARGER SYSTEM AND METHOD		
Art Unit		2838			
Examiner Name		E. H. Tso	E. H. Tso		
Attorney Docket	Number	TNT 3.0-001			
SIGNATURE of Applicant or Patent Practitioner					
Signature	/Richard	/Richard J. Botos/ Date (Optional) June 17, 2016			June 17, 2016
Name	Richard	Richard J. Botos		Registration Number	32,016
Title (if Applicant is a juristic entity)					
Applicant Name (if Ap	oplicant is a j	uristic entity)			
	NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. If more than one applicant, use multiple forms.				
*Total of	1	forms are s	submitted.		

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Doc Code: PA.. Document Description: Power of Attorney

PTO/AIA/82B (07-13)
Approved for use through 11/30/2014. OMB 0651-0051
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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POWER OF ATTORNEY BY APPLICANT							
I hereby revoke all p or the boxes below.	I hereby revoke all previous powers of attorney given in the application identified in <u>either</u> the attached transmittal letter or the boxes below.						
	Application Number	Filing Date					
	Note: The boxes above may be left blank if informat	lon is provided on form PTO/AIA/82A.)					
and to transact referenced in t	all business in the United States Patent and Trade he attached transmittal letter (form PTO/AIA/82A)	141762					
all business in t		VAIA/82C) as my/our attorney(s) or agent(s), and to transact acted therewith for the patent application referenced in the Note: Complete form PTO/AIA/82C.)					
Please recognize o letter or the boxes		application identified in the attached transmittal					
The address as	sociated with the above-mentioned Customer Numb	er					
OR	· · · · · · · · · · · · · · · · · · ·						
Firm or Individual Name							
Address							
City	State	Zip					
Country							
Telephone	Email						
r am the Applicant (If	the Applicant is a juristic entity, list the Applicant na						
Inventor or J	oint Inventor (title not required below)						
Legal Repres	sentative of a Deceased or Legally Incapacitated	nventor (title not required below)					
X Assignee or F	Person to Whom the Inventor is Under an Obligation	to Assign (provide signer's title if applicant is a juristic entity)					
		e.g., a petition under 37 CFR 1.46(b)(2) was granted in t) (provide signer's title if applicant is a juristic entity)					
	SIGNATURE of Applicat						
The undersigned (whose	e title is supplied below) is authorized to act on behalf of	the applicant (e.g., where the applicant is a juristic entity).					
Signature	(yer et -	Date (Optional) June 17, 2016					
Name	Ozer Peitelbaum						
Title	Vice-President, Fundamental Innovation	Systems International LLC h 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and					
certifications. If more that	an one applicant, use multiple forms.	The signature requirements and					
Total of	1 forms are submitted.						

4584171_1.docx

Electronic Acknowledgement Receipt				
EFS ID:	26103359			
Application Number:	10087629			
International Application Number:				
Confirmation Number:	3767			
Title of Invention:	MULTIFUNCTIONAL CHARGER SYSTEM AND METHOD			
First Named Inventor/Applicant Name:	Daniel M. Fischer			
Customer Number:	93377			
Filer:	Arnold H. Krumholz/Sophia Buchan			
Filer Authorized By:	Arnold H. Krumholz			
Attorney Docket Number:	11298.0188-00000			
Receipt Date:	17-JUN-2016			
Filing Date:	01-MAR-2002			
Time Stamp:	16:53:02			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment no		no					
File Listing:							
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Power of Attorney	Tra	nsmittal_for_POA_and_POA .pdf	114820 80a582736c92fb9f2bbe9390d9793ad99c6 361e4	no	2	
Warnings:							
Information:							

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STA	ates Patent and Tradema	UNITED STA United States Address: COMMI PO. Box	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/087,629	03/01/2002	Daniel M. Fischer	555255012294
			CONFIRMATION NO. 3767
93377		POA ACC	EPTANCE LETTER
RIM/FINNEGAN 901 New York Avenue NV Washington, DC 20001	V		CC000000044249551*

Date Mailed: 11/01/2010

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 10/23/2010.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/skiflemariam/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

page 1 of 1

UNITED ST	ates Patent and Tradema	UNITED STA' United States Address: COMMI P.O. Box I	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/087,629	03/01/2002	Daniel M. Fischer	555255012294
33070		POWER O	CONFIRMATION NO. 3767 F ATTORNEY NOTICE
JOSEPH M. SAUER JONES DAY REAVIS & F			CC00000004229502*

Date Mailed: 11/01/2010

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 10/23/2010.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/skiflemariam/

NORTH POINT, 901 LAKESIDE AVENUE

CLEVELAND, OH 44114

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

page 1 of 1

PTO/SB/80 (11-08) Approved for use through 11/30/2011. OMB 0651-0035

	eduction Act of 1995, no persons are re			unless it displays a valid	OMB control number.
hereby revoke all pr	revious powers of attorney g				
7 CFR 3.73(b).					
hereby appoint:					
Practitioners assoc	iated with the Customer Number:		93377		
OR Dractitionar(a) page	ed below (if more than ten patent p	vractitioners are t	n he named then a cust	omer number must be	used):
	Name	Registration		ame	Registration
		Number			Number
s attorney(s) or agent(s)	to represent the undersigned befo	re the United Sta	tes Patent and Tradema	rk Office (USPTO) in a	connection with
ny and all patent applica	tions assigned <u>only</u> to the undersign cordance with 37 CFR 3.73(b).	ned according to	the USPTO assignment	t records or assignment	nt documents
	pondence address for the applicati	ion identified in tl	ne attached statement ur	nder 37 CFR 3.73(b) to	D:
	sociated with Customer Number:		93377		
OR					
Firm or Individual Name					
Address					
City		State		Zip	
Country		I			
Telephone			Email		
Assignee Name and Add					
Research In Motion 295 Phillip Street	Limited				
Vaterloo, Ontario, C	anada N2L 3W8				
A conv of this form	together with a statement un	der 37 CEP 3	(3(b) (Form PTO/SB/	96 or equivalent) is	s required to be
iled in each applicat	ion in which this form is use	d. The statem	ent under 37 CFR 3.	73(b) may be comp	pleted by one of
	ointed in this form if the app application in which this Po			o act on behalf of t	ne assignee,
,	SIGNA	TURE of Assign	ee of Record		
The in	dividual whose signature and title	is supplied belo	w is authorized to act on	h behalf of the assigne	e
Signature	Sill F	<u> </u>		Date (59) 8	88-7465
Name Ril	I Ring'			Telephone Dec	1.23109
Title ViCt	President. Sha	red en	ICP S	ntain a banafit bu tha aut	blic which is to file (and
by the USPTO to process) a o complete, including gather	is required by 37 CFR 1.31, 1.32 and n application. Confidentiality is governe ring, preparing, and submitting the comp time you require to complete this form Office, U.S. Department of Commerc	ed by 35 U.S.C. 12 pleted application for and/or suggestion	2 and 37 CFR 1.11 and 1.14 form to the USPTO. Time will s for reducing this burden,	 This collection is estim Il vary depending upon the should be sent to the Ch 50. DO NOT SEND FE 	ated to take 3 minutes ie individual case. Any iief Information Officer,
J.S. Patent and Trademark					
J.S. Patent and Trademark	6. SEND TO: Commissioner for If you need assistance in comple	Patents, P.O. Bo	ox 1450, Alexandria, VA	1	al/QK

Huawei v. FISI Exhibit 1021 - 20/174

PTO/SB/96 (07-09) Approved for use through 07/31/2012. OMB 0651-0031

	U.S. Patent ar	nd Trademark Office;	U.S. DEPARTMENT O	F COMMERC
Under the Paperwork Reduction Act of 1995	no persons are required to respond to a collection of	f information unless i	t displays a valid OMB (control number

STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: RESEARCH IN MOTION LIMITED
Application No./Patent No.: 6,936,936 Filed/Issue Date: August 30, 2005
Titled:
RESEARCH IN MOTION LIMITED, a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.
states that it is:
1. X the assignee of the entire right, title, and interest in;
2. an assignee of less than the entire right, title, and interest in (The extent (by percentage) of its ownership interest is%); or
3. the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
the patent application/patent identified above, by virtue of either:
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 013155, Frame 0301, or for which a copy therefore is attached.
B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
1. From: To:
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
2. From: To:
The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
3. From: To:
The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a supplemental sheet(s).
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee w or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
[NOTE: A separate copy (<i>i.e.</i> , a true copy of the original assignment document(s)) must be submitted to Assignment Division accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
/BRYAN C. DINER/ October 23, 2010
Signature Date
BRYAN C. DINER Reg. No. 32,409
Printed or Typed Name Title This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
 A record from this system of records may be disclosed, as a routine use, to the Administrator,
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt							
EFS ID:	8688874						
Application Number:	10087629						
International Application Number:							
Confirmation Number:	3767						
Title of Invention:	MULTIFUNCTIONAL CHARGER SYSTEM AND METHOD						
First Named Inventor/Applicant Name:	Daniel M. Fischer						
Customer Number:	33070						
Filer:	Bryan C. Diner						
Filer Authorized By:							
Attorney Docket Number:	555255012294						
Receipt Date:	23-OCT-2010						
Filing Date:	01-MAR-2002						
Time Stamp:	16:10:02						
Application Type:	Utility under 35 USC 111(a)						

Payment information:

Submitted with Payment no						
File Listing:						
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1			RIM FINNEGAN POA.PDF	151330		
	Power of Attorney		RIM_FINNEGAN_FOA.FDF	55ef3f27be706caa8125032df82c95a0d544 e2ad	no	I
Warnings:						
Information:						

2	Assignee showing of ownership per 37	SB96_Statement_Under_37_CF	468800	468800 no						
_	CFR 3.73(b).	R_3_73.pdf	9d6438b3f68e3e4b81409fe82bc6ce56a80 3145c							
Warnings:	Warnings:									
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		Total Files Size (in bytes):	6	20130						
Post Card, as <u>New Applica</u> If a new app 1.53(b)-(d) a Acknowledg <u>National Sta</u> If a timely su U.S.C. 371 ar national stag	This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. New Applications Under 35 U.S.C. 111 If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office If a new international application is being filed and the international application includes the necessary components for									
national sec	and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.									

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 6,936,936 B2 DATED : August 30, 2005 INVENTOR(S) : Fischer et al. Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<u>Title page.</u> Item [57], **ABSTRACT**, Line 6, change "operative to coupled" to -- operative to couple --.

<u>Column 17,</u> Line 44, replace "25" with -- 65 --.

<u>Column 22,</u> Line 30, replace "91" with -- 103 --.

Signed and Sealed this

Sixth Day of June, 2006

JON W. DUDAS Director of the United States Patent and Trademark Office

Huawei v. FISI Exhibit 1021 - 25/174

10/087 629

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Fischer et al.

Patent No:

For:

Issued: Aug. 30, 2005



MULTIFUNCTIONAL CHARGER SYSTEM AND METHOD

Atty. Docket No.: 555255012294

Commissioner for Patents Attention: Certificate of Correction Branch P.O. Box 1450 Alexandria, Virginia 22313-1450

6,936,936 B2

Certificate APR 1 4 2006

of Correction

NOTIFICATION OF ERROR IN PRINTING PATENT CERTIFICATE OF CORRECTION REQUESTED UNDER 37 CFR § 1.322

Dear Sir or Madam:

In proofreading the above-referenced patent, it has been noted that errors occurred

in the printing thereof. A Certificate of Correction is therefore requested. (See enclosed

Certificate of Correction.)

No fees are deemed to be due in connection with the issuance of the Certificate of

Correction as the errors are printing errors of the United States Patent and Trademark Office. In

the event, however, that fees are due, please charge any fees required by this request to Deposit

Account Number 501432, order 555255012294.

I he phy evening that this correspondence is sing deposited today with the United s Pester e trice es first class mail in velope addressed to: Commissioner for 1 Founds, P.O. Box 1450. Alexandria, VA 22:13-1450

Respectfu/ly submitted,

Joseph M. Sauer Reg. No. 47,919 JONES DAY 901 Lakeside Avenue/North Point Cleveland, OH 44114 (216) 586-7506

APR 18 2006

CLI-1397846v1

Huawei v. FISI Exhibit 1021 - 26/174

Approved for u U.S. Patent and Trademark Office Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless	PTO/SB/44 (04-05) se through 04/30/2007. OMB 0651-0033 a; U.S. DEPARTMENT OF COMMERCE s it displays a valid OMB control number. (Also Form PTO-1050)
UNITED STATES PATENT AND TRADEMARK OF CERTIFICATE OF CORRECTION	FICE
PATENT NO. : 6,936,936 B2	Page <u>1</u> of <u>1</u>
APPLICATION NO.: 10/087,629	
ISSUE DATE : Aug. 30, 2005	
INVENTOR(S) [:] Fischer et al.	
It is certified that an error appears or errors appear in the above-identified patent is hereby corrected as shown below:	and that said Letters Patent
Face of Patent, (57) Abstract, line 6 Please change "operative to coupled" to operative to couple Column 17, line 44 Please replace "25" with 65	
Column 22, line 30 Please replace "91" with 103	

MAILING ADDRESS OF SENDER (Please do not use customer number below):

.

Joseph M. Sauer, Esq., Jones Day, North Point, 901 Lakeside Avenue, Cleveland, OH 44114

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

APR 182006

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Huawei v. FISI Exhibit 1021 - 27/174

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	PATENT APPLICATION FEE DETERMINATION REC								Applic	ation o	r Docket N	lumber
ŀ	Effective October 1, 2001 CLAIMS`AS FILED - PART I							_	10	10	876	27
	_	CLAINS						SMAL		v		
	TOTAL CLAI	MS		<u>umn 1)</u>		<u>umn 2)</u>	Π	TYPE			DR SMAI	ER THAN LL ENTITY
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Huawei v. FISI Exhibit 1021 - 28/174

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SERIAL NUMBE 10/087,629	R	G OR 371(c) DATE 3/01/2002 E 1.47	CLASS GR 307			GROUP ART UNIT 2838		D	ATTORNEY OCKET NO. 55255012294
Dan G. Rad Michael F. H Quang A. Lu Jonathan T. ** CONTINUING E This appln c and claims t ** FOREIGN APPI IF REQUIRED, FC ** 04/05/2002	ut, Waterloc labicher, Ca Jong, Kitche Malton, Kitc DATA ******* claims benefic benefit of 60 LICATIONS DREIGN FIL	Imbridge, CAN/ ner, CANADA; chener, CANAD it of 60/273,021 /330,486 10/23	ADA;)A; * 1 03/01/2 1/2001						
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TITLE MULTIFUNCTION	AL CHARG	ER SYSTEM A	ND MET	HOD					
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APPLICATION NO.	FILING DATE		FIRST NAME	D INVENTOR	0	ATTORNEY	DOCKET NO.	CONFIRMATION NO.
10/087,629	03/01/2002		Daniel M	. Fischer		555255	012294	3767
TITLE OF INVENTION: M	IULTIFUNCTIONAL CHA	RGER SYSTEM A	ND METHO	D				
APPLN. TYPE	SMALL ENTITY	ISSUE F	EE	PUBLICAT	TION FEE	TOTAL FEE(S) DUE		DATE DUE
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"Fee Address" indicat PTO/SB/47; Rev 03-02 of Number is required.	tion (or "Fee Address" Indicator more recent) attached. Use	tion form of a Customer	registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.					C. Liang
3. ASSIGNEE NAME AND	RESIDENCE DATA TO B	E PRINTED ON T	THE PATENT	(print or type)				
PLEASE NOTE: Unless recordation as set forth in	an assignee is identified be 37 CFR 3.11. Completion	low, no assignee of this form is NO	data will app T a substitute	ear on the pater for filing an assi	mment			locument has been filed for 000057 501432 10087629
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Please check the appropriate	assignee category or catego	ries (will not be pr	inted on the p	atent) : 🛄 Inc	tividual 🖬 Co	prporation or c	other private gr	oup entity 📮 Government
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Authorized Signature	XIm				Date X	6/20/5	,	· · · · · · · · · · · · · · · · · · ·
Typed or printed name	Joseph M. Saue	r			Registration	Nd. <u>47</u>	,919	
This collection of informatio an application. Confidential submitting the completed ap this form and/or suggestions Box 1450, Alexandria, Virgi Alexandria, Virginia 22313- Under the Paperwork Reduct								

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Under the Parework Reduction Act of 1995, no persons are required	Application Number	10/087,629
CHANGE OF CORRESPONDENCE ADDRESS Application	Filing Date	03/01/2002
	First Named Inventor	Daniel M. Fischer
Address to:	Art Unit	2838
Commissioner for Patents P.O. Box 1450	Examiner Name	Edward H. Tso
Alexandria, VA 22313-1450	Attorney Docket Number	555255012294
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nanc	Telephone 216-586-7506	· · · · · · · · · · · · · · · · · · ·
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This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Huawei v. FISI Exhibit 1021 - 31/174

Application :	10/08/0	29 Examiner :	Ţso	GAU:	2838
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UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

7590 04/15/2005	EXAMINER
F. Drexel Feeling, Esq. Jones, Day, Reavis & Pogue	TSO, EDWARD H
North Point, 901 Lakeside Avenue	ART UNIT PAPER NUMBER
Cleveland, OH 44114	2838
	DATE MAILED: 04/15/2005

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/087,629	03/01/2002	Daniel M. Fischer	555255012294	3767

TITLE OF INVENTION: MULTIFUNCTIONAL CHARGER SYSTEM AND METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	07/15/2005

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:	If the SMALL ENTITY is shown as NO:
A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.	A. Pay TOTAL FEE(S) DUE shown above, or
B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or	B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

PTOL-85 (Rev. 12/04) Approved for use through 04/30/2007.

Huawei v. FISI Exhibit 1021 - 33/174

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APPLICATION NO.	FILING DATE	1	FIRST NAMED II	NVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/087,629	03/01/2002		Daniel M. F	ischer	555255012294	3767
TITLE OF INVENTION: M	MULTIFUNCTIONAL CHA	RGER SYSTEM A	ND METHOD			
APPLN. TYPE	SMALL ENTITY	ISSUE FE	EE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
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(A) NAME OF ASSIGN	EE	(B) RESIDENCE:	(CITY and STATE OR CO	DUNTRY)	
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	f Copies		The Directo	or is hereby authorized by	charge the required fee(s), or (enclose an extra c	credit any overpayment, to copy of this form).
5. Change in Entity Status	(from status indicated above	e)				
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	ted States Patent A	AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P. D. Box 1450 Alexandria, Virginia 223 www.uspto.gov	Trademark Office OR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/087,629	03/01/2002	Daniel M. Fischer	555255012294	3767
75	90 04/15/2005		EXAM	INER
F. Drexel Feeling Jones, Day, Reavis			TSO, ED	WARD H
North Point, 901 La	akeside Avenue		ART UNIT	PAPER NUMBER
Cleveland, OH 441	14		2838	
			DATE MAILED: 04/15/200	<

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 464 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 464 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

Page 3 of 3

A	Application No.	Applicant(s)	
1	0/087,629	FISCHER ET AL.	Cm
	Examiner	Art Unit	
E	Edward H. Tso	2838	
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS (O herewith (or previously mailed), a Notice of Allowance (PTOL-85) or NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGH of the Office or upon petition by the applicant. See 37 CFR 1.313 ar	R REMAINS) CLOSED in this other appropriate communic HTS. This application is subjection	s application. If not included ation will be mailed in due co	urse. THIS
1. X This communication is responsive to <u>an RCE filed 4/4/2005</u> .			
2. 🔀 The allowed claim(s) is/are <u>1-6,8-25,27 and 29-107</u> .			
3. 🔀 The drawings filed on <u>01 March 2002</u> are accepted by the Ex	aminer.		
 4. Acknowledgment is made of a claim for foreign priority under a) All b) Some* c) None c) All c) Some* c) All c) All c) Some* c) All c) All c) Some* c) All <li all<="" li=""> c) All <li< td=""><td></td><td>).</td><td>·</td></li<>).	·
1. Certified copies of the priority documents have be		•	
2. Certified copies of the priority documents have be			n from the
3. Copies of the certified copies of the priority docur	ments have been received in	uns national stage applicatio	
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" of noted below. Failure to timely comply will result in ABANDONMENTHIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		eply complying with the requi	rements
5. A SUBSTITUTE OATH OR DECLARATION must be submittee INFORMAL PATENT APPLICATION (PTO-152) which gives			TICE OF
. CORRECTED DRAWINGS (as "replacement sheets") must b	be submitted.		
(a) 🔲 including changes required by the Notice of Draftsperson	n's Patent Drawing Review (F	PTO-948) attached	
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date			
(b) including changes required by the attached Examiner's A Paper No./Mail Date	Amendment / Comment or in t	he Office action of	
Identifying indicia such as the application number (see 37 CFR 1.84 each sheet. Replacement sheet(s) should be labeled as such in the	l(c)) should be written on the d header according to 37 CFR 1.	rawings in the front (not the ba 121(d).	ack) of
7. DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT FC	OF BIOLOGICAL MATERI	AL must be submitted. No GICAL MATERIAL.	te the
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Attachment(s) I. Notice of References Cited (PTO-892)	5. 🗌 Notice of Inform	nal Patent Application (PTO-	152)
. D Notice of Draftperson's Patent Drawing Review (PTO-948)	6. 🔲 Interview Summ		
B.	Paper No./Mai , 7. 🗌 Examiner's Am		
Paper No./Mail Date <u>4/4/05; 12/6/04</u>	8. 🗌 Examiner's Sta	tement of Reasons for Allow	ance
of Biological Material	9. 🗌 Other	Edward H Tso Primary Examiner	
· ·		Art Unit: 2838	
U.S. Patent and Trademark Office PTOL-37 (Rev. 1-04) Notic	ce of Allowability	Part of Paper No./M	fail Date 042004

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Huawei v. FISI Exhibit 1021 - 36/174

Under the Petterwork Reduction Act on 1995, no persons are required to r	U.S. Patent and Trader	PTO/SB/08A (08-03) roved for use through 07/31/2006. OMB 0651-0031 nark Office; U.S. DEPARTMENT OF COMMERCE tion unless it contains a valid OMB control number.	
Substitute forman 1449/PTO	Complete if Known		
	Application Number	10/087,629	
INFORMATION DISCLOSURE	Filing Date	03/01/2002	
	First Named Inventor	Daniel M. Fischer	
STATEMENT BY APPLICANT	Art Unit	2838	
(Use as many sheets as necessary)	Examiner Name	Edward H. Tso	
Sheet 1 of 1	Attorney Docket Number	555255012294	

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			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
13	A1	^{US-} 6,138,242	10/24/2000	Massman et al.	
507	A2.	^{US-} 6,283,789 B1	09/04/2001	Tsai	
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the appropriate symbols as indicated on the document under Wir o Galactic Critic a position of the product of the public which is to file (and by the Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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	Application Number	10/087,629	
	Filing Date	March 01, 2002	
APR 0 4 2005 INFORMATION DISCLOSURE	First Named Inventor	Daniel M. Fischer	
STATEMENT BY APPLICANT	Art Unit	2838	
(Use as many sheets as necessary)	Examiner Name	Edward H. Tso	
ADEMASheet 1 of 3	Attorney Docket Number	555255-012294	

Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, When Relevant Passages or Relevan Figures Appear	
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<u>^</u>	AB	^{US-} 4,433,251	02/21/1984	Banks, et al.		
1	AC	^{US-} 4,510,431	04/09/1985	Winkler		
	AD	^{US-} 5,173,855	12/22/1992	Neilsen, et al.		
	AE	^{US-} 5,229,649	07/20/1993	Nielsen, et al.		
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1	AG	^{US-} 5,444,378	08/22/1995	Rogers		
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	AM	^{US-} 5,939,860	08/17/1999	William		
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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the appropriate symbols as indicated on the document under WHO Standard S1.16 If possible. Approximate to prace closed main received and the appropriate symbols as indicated on the document under WHO Standard S1.16 If possible. Approximate to prace closed main received and the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Application Number 10/087,629 Filing Date March 01, 2002 INFORMATION DISCLOSURE First Named Inventor Daniel M. Fischer STATEMENT BY APPLICANT Art Unit 2838 (Use as many sheets as necessary) Examiner Name Edward H. Tso Attorney Docket Number 555255-012294 Sheet 2 of 3

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		NON PATENT LITERATURE DOCUMENTS	
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-18	СА	Electric Double-Layer Capacitors, Vol. 2, 10/25/1996 (Japan, Tokin Corp., Cat. No. EC-200E)	
-	СВ	Supercapacitor: User's Manual, Vol. 2 (Japan, Tokin Corp., date unknown)	
157	сс	Charging Big Supercaps, Portable Design, p. 26, March 1997	
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Huawei v. FISI Exhibit 1021 - 40/174

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Issue Classification	Application/Control No.	Applicant(s)/Patent under Reexamination
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BIBDATASHEET

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CONFIRMATION NO. 3767

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	Dan G. Radut, Wa Michael F. Habich Jonathan T. Malton CONTINUING DATA ** This appln claims	er, Cambridge, CANADA; n, Kitchener, CANADA; benefit of 60/273,021 03/0 of 60/330,486 10/23/2001	5 KJ 1/2001	uong, Kitchener	r, CANADA;			
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Under the Paperwork Reduction Act of 1995. Request	ï	pplication Number	10/087,629	ontains a valid OMB control numper.
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PATENT

Attorney Docket No. 555255012294

🗩 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Daniel M. Fischer, et al.
Serial No.:	10/087,629
Filed:	March 01, 2002
For:	Multifunctional Charger System and Method
Art Unit:	2838
Examiner:	Edward H. Tso

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56, applicants hereby advise the United States Patent and Trademark Office of certain references which may be material to the determination of patentability of the above-identified application. The references are identified on the attached Form PTO-1449. Copies of the references are enclosed. Applicants respectfully request that these references be considered and made of record in the present application by completing and returning the enclosed Form PTO-1449.

No fee is believed to be due for entry of this Information Disclosure Statement. However, if any fee should be required, please charge such fee to Jones Day's Deposit Account No. 501432, Reference No. 555255012294.

I hereby certify that this correspondence is being deposited today with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22013-1450

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Respectfully submitted,

Joseph M. Sauer Reg. No. 47,919 JONES DAY North Point 901 Lakeside Avenue Cleveland, Ohio 44114 (216) 586-3939

Page 1 of 1

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PTO/SB/08A (08-03)

10/087,629

March 01, 2002

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of 3		Attorney Docket Number	555255-012294
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the appropriate symbols as indicated on the document under WIPO Standard S1.16 if possible. Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet 3		of	3	Attorney Docket Number	555255-012294

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	СА	Electric Double-Layer Capacitors, Vol. 2, 10/25/1996 (Japan, Tokin Corp., Cat. No. EC-200E)	
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considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information (Ficer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Considered

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



РСТ



(43) International Publication Date 4 January 2001 (04.01.2001)

(10) International Publication Number WO 01/01330 A1

- G06K 9/00 (51) International Patent Classification7:
- (21) International Application Number: PCT/US99/22709
- (22) International Filing Date: 1 October 1999 (01.10.1999)
- (25) Filing Language: English
- English (26) Publication Language:
- (30) Priority Data: 25 June 1999 (25.06.1999) 60/140,754 US
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- (81) Designated States (national): AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

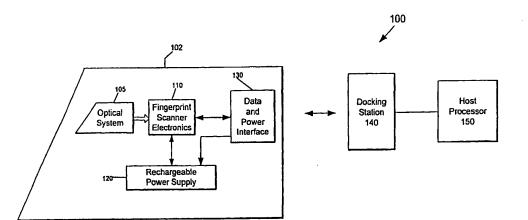
Published:

With international search report.

With a statement concerning non-prejudicial disclosure or exception to lack of novelty.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: RECHARGEABLE MOBILE HAND-HELD FINGERPRINT SCANNER WITH A DATA AND POWER COMMUNI-CATION INTERFACE



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(57) Abstract: A mobile, hand-held fingerprint scanner is recharged by a data and power communication interface. The mobile, hand-held fingerprint scanner includes a rechargeable power supply and a data and power communication interface. The rechargeable power supply powers the fingerprint scanner during mobile use. In one example, the rechargeable power supply includes at least one rechargeable battery, a charging circuit, and a voltage regulator circuit. Data and recharging power is carried over the same interface. A separate plug for power is not needed. The fingerprint scanner can then be inserted quickly and easily in a docking station as only a single data and power communication interface need be coupled. This is particularly advantageous in law enforcement applications where mobile use is important and safety can be compromised if a mobile scanner does not couple to a docking station quickly and easily.

Rechargeable Mobile Hand-Held Fingerprint Scanner With a Data and Power Communication Interface

Background of the Invention

1. Field of the Invention

The present invention relates generally to fingerprint scanning and imaging.

2. Related Art

Biometrics are a group of technologies that provide a high level of security. Fingerprint capture and recognition is an important biometric technology. Law enforcement, banking, voting, and other industries increasingly rely upon fingerprints as a biometric to recognize or verify identity. See, *Biometrics Explained*, v. 2.0, G. Roethenbaugh, International Computer Society Assn. Carlisle, PA 1998, pages 1-34 (incorporated herein by reference in its entirety).

Fingerprint scanners are available which capture an image of a fingerprint. A signal representative of the captured image is then sent over a data communication interface to a host computer for further processing. For example, the host can perform one-to-one or one-to-many fingerprint matching.

However, such fingerprint scanners are typically attached or tethered to a computer. These fingerprint scanners can rely upon power from a separate plug or through a Universal Serial Bus (USB) interface. See, e.g., fingerprint scanners made by Digital Persona, Veridcom, and SecurGen.

Mobile use is increasingly desired in biometric applications, such as law enforcement. Police and other users need a portable, hand-held device to easily

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capture fingerprint images in the field. The portable hand-held device must be powered reliably. Conventional fingerprint scanners tethered to a personal computer cannot meet this need.

Summary of the Invention

The present invention provides a mobile, hand-held fingerprint scanner that is recharged by a powered data communication interface. Data and recharging power is carried over the same interface. A separate plug for power is not needed. The fingerprint scanner can then be inserted quickly and easily in a docking station as only a single data and power communication interface need be coupled. This is particularly advantageous in law enforcement applications where mobile use is important and safety can be compromised if a mobile scanner does not couple to a docking station quickly and easily.

In one embodiment, the mobile, hand-held fingerprint scanner includes a rechargeable power supply and a data and power communication interface. The rechargeable power supply powers the fingerprint scanner during mobile use. In one example implementation, the rechargeable power supply includes at least one rechargeable battery, a charging circuit, and a voltage regulator circuit. The charging circuit regulates the charging (*i.e.* the rate) of a rechargeable battery when the fingerprint scanner is receiving power through the data and power communication interface. The voltage regulator circuit maintains a substantially constant output system voltage from the rechargeable battery during mobile use. Further, in one preferred example, the data and power communication interface is a universal serial bus (USB). The data and power interface of the present invention is not limited to USB. In general, any data communication interface that provides for power in its protocol may be used, such as, an IEEE 1394 interface.

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Further features and advantages of the present invention, as well as the structure and operation of various embodiments of the present invention, are described in detail below with reference to the accompanying drawings.

Brief Description of the Drawings

The accompanying drawings, which are incorporated herein and form part of the specification, illustrate the present invention and, together with the description, further serve to explain the principles of the invention and to enable a person skilled in the pertinent art to make and use the invention.

FIG. 1 is a diagram of a mobile, hand-held fingerprint scanner and docking system according to one embodiment of the present invention.

FIG. 2 is a diagram of a mobile, hand-held fingerprint scanner according to one embodiment of the present invention.

FIGs. 3A and 3B are drawings of an example implementation of a mobile, hand-held fingerprint scanner used in a law enforcement application according to the present invention.

The present invention is described with reference to the accompanying drawings. In the drawings, like reference numbers indicate identical or functionally similar elements. Additionally, the left-most digit(s) of a reference number identifies the drawing in which the reference number first appears.

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Detailed Description of the Embodiments

According to the present invention, a mobile, hand-held fingerprint scanner is recharged by a data and power communication interface. The term "data and power interface" refers to any communication interface that transfers data and provides power. The data and power interface of the present invention can include, but is not limited to, Universal Serial Bus (USB) or IEEE 1394.

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FIG. 1 shows a mobile, hand-held fingerprint scanner and docking system 100 according to one embodiment of the present invention. System 100 includes a mobile, hand-held fingerprint scanner 102, docking station 140 and a host processor 150. Fingerprint scanner 102 is a portable, hand-held scanner that detects and stores images representing part or all of a fingerprint. For convenience, the term "fingerprint image" is used herein to refer to any type of detected fingerprint including but not limited to an image of all or part of one or more fingerprints, a rolled fingerprint, a flat stationary fingerprint, a palm print, and/or prints of multiple fingers. Fingerprint scanner 102 is detachably coupled to docking station 140. Stored images are then downloaded from fingerprint scanner 102 through docking station 140 to a host processor 150.

In one embodiment, fingerprint scanner 102 includes an optical system 105. For example, optical system 105 can include a prism and a lens system, as described in U.S. Patent No. 5,900,993 (incorporated herein by reference). Other optical systems can be used in the present invention as would be apparent to a person skilled in the art.

Optical system 105 outputs a fingerprint image to fingerprint scanner electronics 110. Fingerprint scanner electronics 110 detects the image and generates an electrical signal representative of the detected signal. The signal is then stored in a memory for subsequent download through data and power interface 130.

According to the present invention, rechargeable power supply 120 is coupled to fingerprint scanner electronics 110 (and electrical components, if any, in optical system 105) and data and power interface 130. Rechargeable power supply 120 provides power for the electronic components in fingerprint scanner 102, including fingerprint scanner electronics 110 and any electrical components in optical system 105, such as, a shutter, lens cover, or drive unit(s) for the lens system. Rechargeable power supply 120 is able to power the fingerprint scanner 102 when the scanner is in active, mobile use out of the docking station 140.

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According to a further feature, when the fingerprint scanner 102 is returned to docking station 140, power is provided through data and power interface 130 to recharge rechargeable power supply 120. No separate plug or power connection is needed. This is especially important in time-sensitive and safety critical applications, such as law enforcement. A police officer needs to be able to return fingerprint scanner 102 to docking station 140 in a simple and rapid fashion such that a connection is made quickly and reliably.

In one preferred example, data and power interface 130 is a universal serial bus (USB). A USB includes four pins (or channels). Two pins (+,-) carry a differential data signal, a third pin carries power, and a fourth pin is ground. The data and power interface of the present invention is not limited to USB. In general, any data communication interface that provides for power in its protocol may be used, such as, the IEEE 1394 High Performance Serial Bus (also called a FIREWIRE interface). See, e.g., Randall, "Solutions: Tutor, a Serial Bus on Speed," *PC Magazine* May 25, 1999, pp. 201-203 (incorporated herein by reference).

Docking station 140 can hold fingerprint scanner 102 in a variety of configurations depending upon a particular application and environment. For example, in a law enforcement application, docking station 140 may be a holder mounted in a police car. Host processor 150 can be any type of computer, processor(s), or logic which can receive and process fingerprint images detected by the fingerprint scanner 102. In one example, host processor 150 includes software for performing one-to-one or one-to-many fingerprint matching and recognition.

In another example, host processor 150 transmits detected fingerprint data to another processor for matching and recognition. For instance, if host processor 150 is in a law enforcement vehicle, host processor 150 can transmit detected fingerprint data to another processor at a police station or FBI office with access to a larger database for matching and recognition over a broader range of data.

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In a law enforcement application, host processor 150 can further assemble the detected images into a format compatible with a local, county, or state AFIS or the NCIC or NCIC 2000 service. National Crime Information Center (NCIC) is an on-line information service jointly maintained by the Federal Bureau of Investigation (FBI) and criminal justice agencies throughout the United States and its territories. NCIC is being replaced by NCIC 2000, which will provide all NCIC services and new services. The new services include fingerprint matching, additional information files, and image files.

FIG. 2 is a diagram showing mobile, hand-held fingerprint scanner 102 in further detail according to one embodiment of the present invention. Fingerprint scanner electronics 110 includes a camera board 212 and a capture board 214. Camera board 212 includes a CMOS square pixel array. For example, a CMOS camera manufactured by Motorola Corporation can be used. Capture board 214 includes a memory for storing detected fingerprint images. Other circuitry and/or processing capability, such as, a frame grabber, analog/digital converter, and system controller can be provided as would be apparent to a person skilled in the art given this description. Such functionality can be provided all or in part, as desired, in the camera card 212, capture card 214, a stand-alone component, docking station 140 or host processor 150. In one example, image processing and finger print matching and recognition operations are carried out primarily in host 20 processor 150. Processing operations related to detecting and storing a detected image signal are carried out in capture board 214.

> Rechargeable power supply 120 includes voltage regulator circuit 222, at least one rechargeable battery 224, and charging circuit 226. Data and power interface 230 is a Universal Serial Bus (USB). Voltage regulator circuit 222 maintains a substantially constant output system voltage from rechargeable battery 224 during mobile use and while nested in docking station 140. In one preferred example, a relatively low system voltage of about 3 volts can be output to power a CMOS camera (compared to 12 volts for a charge-coupled-device (CCD)

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camera). Charging circuit 226 regulates the charging (i.e., the rate of charging) of a rechargeable battery 224 when fingerprint scanner 102 is receiving power through Universal Serial Bus 230. In one example, charging circuit 226 is connected to charge voltage regulator circuit 222 and rechargeable battery 224 with power from USB 230. Rechargeable battery 224 is coupled to voltage regulator circuit 222. Other configurations and arrangements can be used. Any known charging circuit and voltage regulator circuit can be used in accordance with this description as would be apparent to a person skilled in the art.

Example Mobile Hand-Held Fingerprint Scanner

FIGs. 3A and 3B are drawings of an example implementation of a mobile, hand-held fingerprint scanner (also called a live scan device) used in a law enforcement application according to the present invention. FIG. 3A shows two views (top view and a view from an angle) of an example fingerprint scanner 102 according to the present invention. Fingerprint scanner 102 can be used with a FBI Mobile Imaging Unit (MIU) software application in host processor 150 to support NCIC 2000 functions in mobile law enforcement vehicles. The MIU provides a user interface, supports various peripheral devices, and transmits information in NCIC 2000-defined formats. The mobile fingerprint live scan device 102 can operate as a peripheral to the MIU (or to a processor that performs MIU-equivalent functions).

Fingerprint scanner 102 captures single (right or left index) fingerprint images in the environment of a law enforcement vehicle (see FIG. 3B). Fingerprint scanner 102 communicates the fingerprint images to a mobile host processor 150 in the vehicle. Fingerprint scanner 102 does not compromise officer safety when used by a single officer working with an unknown subject in a remote location. Hence, its small size, light weight, and mobility in the vicinity of the patrol car are vital to law enforcement. The ability to provide electrical

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power to fingerprint scanner 102 and support data transfer to the mobile host processor 150 without a tether is also highly desirable to law enforcement.

Further, fingerprint scanner 102 is sufficiently rugged for extended use in a mobile environment. The housing for fingerprint scanner 102 is a machined aluminum enclosure providing a rugged, durable device that can sustain the rigors of harsh temperature environments associated with portable/mobile use with mass handling.

Host processor 150 includes or is coupled through a wireless communication link to other system databases or services (such as NCIC 2000). A software interface which is TWAIN compliant is included for easy integration and Plug and Play (PnP) connectivity.

Fingerprint scanner 102 integrates optical system 105 and an internal processor in electronics 110 to make up a complete, self-contained unit. The optics provide forensic quality image capture that meets or exceeds most image matching requirements.

The hardware interface of the fingerprint scanner 102 utilizes an industry standard USB connection 230 in one example. USB interface 230 eliminates the need for costly digitizer boards, providing immediate return on investment.

Fingerprint scanner 102 is ergonomically designed to fit the hand naturally. The oblong, cylindrical shape (similar to a flashlight), does not contain sharp edges. The device is small enough to be gripped by large or small hands without awkward or unnatural movement. The device is comfortable to use without muscle strain on the operator or subject. In one example, fingerprint scanner 102 is $1.5 \times 8.0 \times 1.5$ inches (height x length x width), weighs about 340 grams (12 oz.), and has an image platen size of about 1" x 1".

Fingerprint scanner102 has controls and status indicators on the front-face of the unit for single (left or right) hand operation. The non-intimidating appearance of the fingerprint scanner 102 is designed to resemble a typical flashlight - a device that is not generally threatening to the public. Fingerprint

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scanner102 has no sharp edges and is constructed of a light-weight aluminum housing that is coated with a polymer to give the device a "rubberized" feel. Because fingerprint scanner 102 is small and lightweight, it may be carried on the officer's utility belt upon exiting a vehicle. The device is designed for one hand use, allowing the officer to have a free hand for protective actions. Fingerprint scanner 102 is designed for harsh environments to sustain issues such as dramatic temperature changes and non-intentional abuse.

Fingerprint scanner 102 exchanges data with the mobile host processor 150 via a docking station 140. The docking station 140 serves as a cradle that easily guides the fingerprint scanner 102 into position blindly, allowing the officer to focus on safety issues rather than the device operation. Docking station 140 is small and compact for easy placement in a tight space. Using a simple USB cable, the docking station 140 transmits data and charges the rechargeable battery 224 through a simple, single connection.

Fingerprint scanner 102 captures a single image and stores the captured image in any type of portable media (not shown). Such portable media for example can be memory integral to or coupled to receive output from camera board 212. Random-access memory (RAM) backed-up by rechargeable battery 224 is used in one embodiment of the present invention. Rechargeable battery 224 can be a Commercial Off The Shelf (COTS) Nickel Cadmium battery. The low-voltage battery (3.3 VDC) powers fingerprint scanner 102. Other types of memory (flash memory, non-volatile memory, floppy drives, disks, mini-floppy drives, etc.) can be used in alternative embodiments of the present invention.

In one embodiment of the present invention, a captured image of a fingerprint print is stored locally in memory in fingerprint scanner electronics 110. For example, the memory can store the print without having to transmit the print using expensive radio-frequency transmission. Captured images of prints can be stored in mini-floppy drives (such as the available from Sandisk Corp. or Intel Corp.). In this way, multiple prints can be stored locally. This is especially

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important in border control and accident sight markets. A crime scene can also be better documented as prints of all people present can be captured. Such captured prints can then be distinguished from other latent images which are uncovered.

Fingerprint scanner 102 can meet the most strict NIST (ANSI-NIST CSL 1998) image requirements.

Fingerprint scanner 102 contains a simple push button and set of 3 LED's that provide user activation and status indication. The user need only press one button to activate the unit. Once activated, the fingerprint scanner 102 awaits a finger to be introduced to the fingerprint capture platen. The digital image (or analog) is automatically captured when an adequate image area is detected. The image is then tested for quality of data prior to notifying the operator with an indication (e.g., visual indication and/or audible tone) for acceptance. The detected image is scalable to conform to FBI provided software (cropped or padded to 512 pixels by 512 pixels), although the standard image size is 1" X 1", 500 dpi, 256 levels of gray-scale (ANSI-NIST).

The digital fingerprint image output is stored in raw data format within memory (preferably a memory in fingerprint scanner 102). The raw data is then sent via the USB interface to host processor 150. Host processor 150 reformats the raw data into any desired or required image format. Scanner 102 can also store information that identifies the format of the raw data. Host processor 150 can then receive this information to determine what reformatting (e.g. cropping and/or padding), if any, is needed. For example, the raw data can be stored ins canner 102 in a 504 x 480 pixel image format. Host processor 150 can then reformat the 504 x 480 pixel format to a 512 x 512 image format or any other desired format.

In an example environment, fingerprint scanner 102 can meet the following criteria:

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* A WINDOWS operating system environment and FBI-provided fingerprint image processing algorithms are used in NCIC 2000 fingerprint transactions;

* Fingerprint image sampling rate: 500 pixels per inch

* Size at input to FBI-provided Software: Cropped or padded to 512 pixels by

512 pixels

* Software interface from live scan device to MIU: TWAIN

* Image Quality: Electronic Fingerprint Transmission Specification, FBI Criminal Justice Information Services

1) Appendix F, IAFIS Image Quality Specification Section 2 Fingerprint Scanners and

2) Appendix G, Interim IAFIS Image Quality Specification for Scanners; MIU Processing: FBI-provided fingerprint image processing in mobile computer.

Fingerprint scanner 102 is held in either hand and used to capture a person's fingerprint. The fingerprint is captured from a cooperative individual (frontal approach) or an uncooperative individual (handcuffed subject - most commonly face down). Fingerprint scanner 102 can be operated with one-hand, allowing the officer to have a hand ready for protective actions. The officer need not have fingerprinting knowledge to capture the fingerprint.

The fingerprint capture process is simple as pressing a button and applying the subject's finger. The fingerprint is automatically captured and a quality check is performed immediately. The unit emits a tone to indicate a completed process. The officer may introduce the unit to the docking station blindly, maintaining his eyes on the subject for safety. Once seated in the docking station, the fingerprint is automatically transferred to the mobile computer without operator intervention. The unit's batteries are charged while within the docking station and ready for the next operation.

Thus, the present invention provides a mobile, hand-held fingerprint scanner that is recharged by a powered data communication interface. Data and recharging power is carried over the same interface. A separate plug for power

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is not needed. The fingerprint scanner can then be inserted quickly and easily in a docking station, as only a single data and power communication interface need be coupled. This is particularly advantageous in law enforcement applications where mobile use is important and safety can be compromised if a mobile scanner does not couple to a docking station quickly and easily.

Conclusion

While various embodiments of the present invention have been described above, it should be understood that they have been presented by way of example only, and not limitation. It will be understood by those skilled in the art that various changes in form and details may be made therein without departing from the spirit and scope of the invention as defined in the appended claims. Thus, the breadth and scope of the present invention should not be limited by any of the above-described exemplary embodiments, but should be defined only in accordance with the following claims and their equivalents.

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What Is Claimed Is:

1. A mobile, hand-held fingerprint scanner, comprising:

an interface charged rechargeable power supply that powers the fingerprint scanner during mobile use; and

a data and power communication interface that couples data between the fingerprint scanner and a docking station, and that provides power to charge said interface charged rechargeable power supply; whereby, a dedicated plug for recharging a power supply separate from a data interface can be avoided.

2. The mobile, hand-held fingerprint scanner of claim 1, wherein said interface charged rechargeable power supply includes at least one rechargeable battery.

3. The mobile, hand-held fingerprint scanner of claim 2, wherein said interface charged rechargeable power supply includes a charging circuit that regulates the charging of said at least one rechargeable battery when the fingerprint scanner is receiving power through the powered interface.

4. The mobile, hand-held fingerprint scanner of claim 3, wherein said charging circuit regulates the rate of charging of said at least one rechargeable battery.

5. The mobile, hand-held fingerprint scanner of claim 2, wherein said interface charged rechargeable power supply includes a voltage regulator circuit that maintains a substantially constant output system voltage from the rechargeable battery during mobile use.

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6. The mobile, hand-held fingerprint scanner of claim 2, wherein said powered interface comprises a universal serial bus (USB).

7. The mobile, hand-held fingerprint scanner of claim 2, wherein said powered interface comprises an IEEE1394 compatible interface.

8. The mobile, hand-held fingerprint scanner of claim 3, wherein said charging circuit regulates the rate of charging of said at least one rechargeable battery.

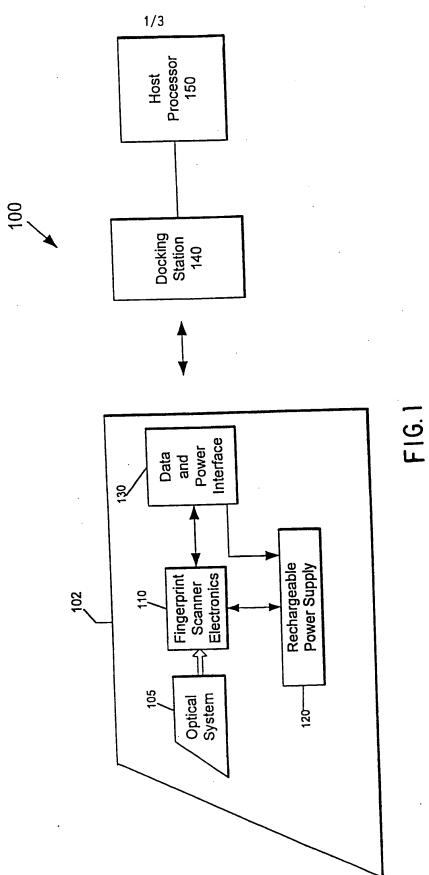
9. The mobile, hand-held fingerprint scanner of claim 2, wherein said at least one rechargeable battery comprises at least one nickel cadmium battery.

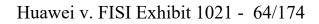
10. A method for charging a mobile fingerprint scanner comprising the step of:

charging a rechargeable power supply in the mobile fingerprint scanner with power carried over a data and communication interface.

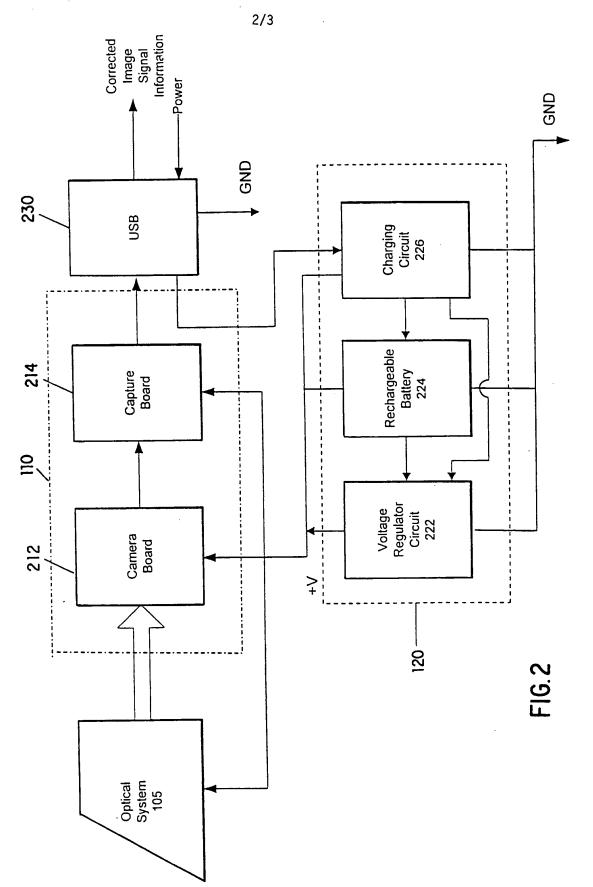
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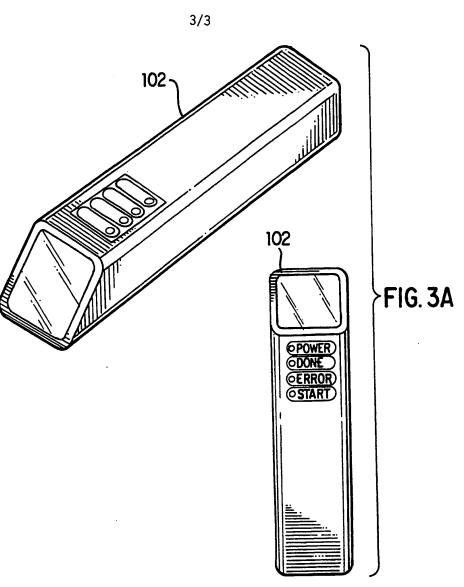
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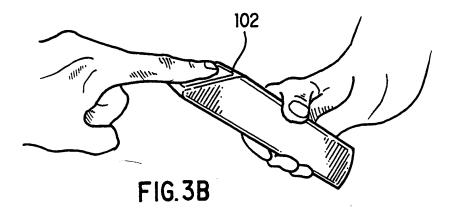


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2. <u>STATEMENT CONCERNING NON-PREJUDICIAL DISCLOSURES OR EXCEPTIONS TO LACK OF NOVELTY</u> Due to a possible disclosure by the inventors on or after October 1, 1998, the applicant respectfully requests that the subject International application be granted the respective provisions under National laws concerning Exceptions to Lack of Novelty in each of the designated countries. This is not an admission that the subject invention lacks novelty or inventive step over this disclosure. Exception to Lack of Novelty is hereby requested for purposes of disclosure and precautionary measures.

INTERNATIONAL SEARCH REPORT

Internstional Application No PCT/US 99/22709

A. CLASSII IPC 7	FICATION OF SUBJECT MATTER G06K9/00		
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	International Patent Classification (IPC) or to both national classifi SEARCHED	Cation and IPG	
Minimum do	ocumentation searched (classification system followed by classifica	tion symbols)	·····
IPC 7	G06K		
Documentat	tion searched other than minimum documentation to the extent that	such documents are included in the fields s	earched
Electronic d	lata base consulted during the international search (name of data b	ase and, where practical, search terms used	1)
INSPEC	, WPI Data, IBM-TDB, PAJ, EPO-Inter	nal, COMPENDEX	
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the re	elevant passages	Relevant to claim No.
Y	GB 2 313 441 A (MOTOROLA ISRAEL 26 November 1997 (1997-11-26) abstract	LTD)	1-10
Y	PATENT ABSTRACTS OF JAPAN vol. 1998, no. 14, 31 December 1998 (1998-12-31) & JP 10 262071 A (FUJI PHOTO FIL 29 September 1998 (1998-09-29) abstract	M CO LTD),	1-10
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X Furt	her documents are listed in the continuation of box C.	X Patent family members are listed	in annex.
"A" docume consid "E" earlier of filing of "L" docume which citatio "O" docum other i later ti	ategories of cited documents : ent defining the general state of the art which is not dered to be of particular relevance document but published on or after the international date ent which may throw doubts on priority claim(s) or is cited to establish the publication date of another n or other special reason (as specified) ent referring to an oral disclosure, use, exhibition or means ent published prior to the international filing date but han the priority date claimed actual completion of the international search	 "T" later document published after the interior priority date and not in conflict with cited to understand the principle or the invention "X" document of particular relevance; the c cannot be considered novel or cannot involve an inventive step when the do "Y" document of particular relevance; the c cannot be considered to involve an indocument is combined with one or more the such combined with one or more and the art. "&" document member of the same patent Date of mailing of the international see 	the application but sory underlying the laimed invention be considered to current is taken alone laimed invention ventive step when the ore other such docu- us to a person skilled family
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INTERNATIONAL SEARCH REPORT

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Intentional Application No PCT/US 99/22709

	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	PC1/US 99/22/09
Category '	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Jalogory		
Ρ,Χ	SLUIJS F ET AL: "An on-chip USB-powered three-phase up/down DC/DC converter in a standard 3.3 V CMOS process" 2000 IEEE INTERNATIONAL SOLID-STATE CIRCUITS CONFERENCE. DIGEST OF TECHNICAL PAPERS (CAT. NO.00CH37056), 2000 IEEE INTERNATIONAL SOLID-STATE CIRCUITS CONFERENCE. DIGEST OF TECHNICAL PAPERS, SAN FRANCISCO, CA, USA, 7-9 FEB. 2000, pages 440-441, XP000923437 2000, Piscataway, NJ, USA, IEEE, USA ISBN: 0-7803-5853-8 the whole document	1-10
Ρ,Χ	PATENT ABSTRACTS OF JAPAN vol. 1999, no. 14, 22 December 1999 (1999–12–22) & JP 11 252489 A (MINOLTA CO LTD), 17 September 1999 (1999–09–17) abstract	1-10

INTERNATIONAL SEARCH REPORT

Information on patent family members

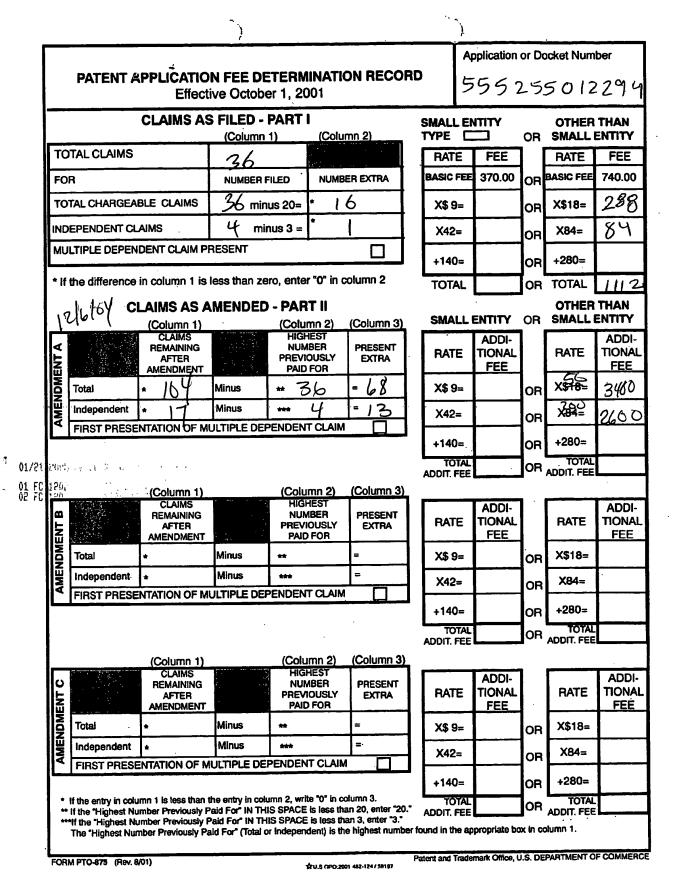
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Inte .tional Application No PCT/US 99/22709

Patent document cited in search report	:	Publication date	Patent family member(s)	Publication date
GB 2313441	A	26-11-1997	NONE	
JP 10262071	Α	29-09-1998	NONE	
JP 11252489	Α	17-09-1999	NONE	

PRINTER RUSH (PTO ASSISTANCE)					
Application :	10/08/629 Examiner :		Ţŵ	GAU :	2838 03/16/05
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NOTE: This form will be included as part of the official USPTO record, with the Response document coded as XRUSH. REV 10/04



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UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

 7590
 01/10/2005

 F. Drexel Feeling, Esq.
 TSO, EDWARD H

 Jones, Day, Reavis & Pogue
 ART UNIT

 North Point, 901 Lakeside Avenue
 ART UNIT

 Cleveland, OH 44114
 2838

 DATE MAILED: 01/10/2005

 APPLICATION NO.
 FILING DATE
 FIRST NAMED INVENTOR
 ATTORNEY DOCKET NO.
 CONFIRMATION NO.

 10/087,629
 03/01/2002
 Daniel M. Fischer
 555255012294
 3767

TITLE OF INVENTION: MULTIFUNCTIONAL CHARGER SYSTEM AND METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	04/11/2005

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:	If the SMALL ENTITY is shown as NO:
A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.	A. Pay TOTAL FEE(S) DUE shown above, or
B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or	B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

PTOL-85 (Rev. 12/04) Approved for use through 04/30/2007.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 (703) 746-4000

			or <u>Fax</u>	(703) 746-4000	-	
INSTRUCTIONS: This forn appropriate. All further corr indicated unless corrected be maintenance fee notifications	elow or directed otherwise i	nitting the ISSU itent, advance or n Block 1, by (a	IE FEE and PUBLIC ders and notification) specifying a new c	CATION FEE (if rec of maintenance fees orrespondence addres	quired). Blocks 1 through 5 s will be mailed to the current ss; and/or (b) indicating a sep	hould be completed where correspondence address as arate "FEE ADDRESS" for
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F. Drexel Feeling, Jones, Day, Reavis North Point, 901 La Cleveland, OH 441	& Pogue keside Avenue			I hereby certify that	ertificate of Mailing or Tran this Fee(s) Transmittal is bein e with sufficient postage for fin ail Stop ISSUE FEE address SPTO (703) 746-4000, on the	g deposited with the United
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						(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVER	TOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/087,629	03/01/2002		Daniel M. Fische		555255012294	3767
TITLE OF INVENTION: MU	JLTIFUNCTIONAL CHAR	GER SYSTEM A	ND METHOD			
APPLN. TYPE	SMALL ENTITY	ISSUE FI	EE P	JBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400)	\$300	\$1700	04/11/2005
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3. ASSIGNEE NAME AND PLEASE NOTE: Unless recordation as set forth in		w. no assignee	data will appear on i	he natent If an assi	gnee is identified below, the c	locument has been filed for
(A) NAME OF ASSIGNE	E	(В) RESIDENCE: (CIT	Y and STATE OR CO	OUNTRY)	
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This collection of information an application. Confidentiality submitting the completed app this form and/or suggestions i Box 1450, Alexandria, Virginia Alexandria, Virginia 22313-1- Under the Paperwork Reducti	is required by 37 CFR 1.311 y is governed by 35 U.S.C. 1 lication form to the USPTO for reducing this burden, sho ia 22313-1450. DO NOT SI 450. on Act of 1995, no persons a	The informatio 22 and 37 CFR 1 Time will vary uld be sent to the END FEES OR C re required to response	n is required to obtain 1.14. This collection depending upon the chief Information C COMPLETED FORM pond to a collection of	n or retain a benefit by is estimated to take 12 individual case. Any officer, U.S. Patent an IS TO THIS ADDRE of information unless i	when the public which is to file (an 2 minutes to complete, includit comments on the annount of ti d Trademark Office, U.S. Dep SS. SEND TO: Commissioner it displays a valid OMB contro	d by the USPTO to process) 1g gathering, preparing, and me you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450, humber.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	ted States Patent 2	and Trademark Office	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P. O. Box, 1450 Alexandria, Virginia 223 www.uspto.gov	Frademark Office OR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/087,629	03/01/2002	Daniel M. Fischer	555255012294	3767
75	90 01/10/2005		EXAM	INER
F. Drexel Feeling, Jones, Day, Reavis			TSO, ED'	WARD H
North Point, 901 La			ART UNIT	PAPER NUMBER
Cleveland, OH 441	14		2838	
			DATE MAILED: 01/10/200	5

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 464 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 464 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

Page 3 of 3

Huawei v. FISI Exhibit 1021 - 76/174

	Application No.	Applicant(s)
	10/087,629	FISCHER ET AL.
Notice of Allowability	Examiner	Art Unit
	Edward Tso	2838
		2000
The MAILING DATE of this communication NI claims being allowable, PROSECUTION ON THE MERIT erewith (or previously mailed), a Notice of Allowance (PTO IOTICE OF ALLOWABILITY IS NOT A GRANT OF PATE If the Office or upon petition by the applicant. See 37 CFR	S IS (OR REMAINS) CLOSED in L-85) or other appropriate commin NT RIGHTS. This application is s	n this application. If not included unication will be mailed in due course. THIS
. \boxtimes This communication is responsive to <u>12/6/2004</u> .		
2. 🔀 The allowed claim(s) is/are <u>1-6,8-25,27 and 29-107</u> .		
3. ⊠ The drawings filed on <u>01 March 2002</u> are accepted by	the Examiner.	
		or (f)
 Acknowledgment is made of a claim for foreign prior a) All b) Some* c) None of the: 	ity under 55 0.5.0. § 119(8)-(0)	
	have been received	
1. Certified copies of the priority documents		
2. Certified copies of the priority documents		
3. Copies of the certified copies of the priori	ly documents have been receive	a in this national stage application from the
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DA noted below. Failure to timely comply will result in ABAND THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		e a reply complying with the requirements
5. A SUBSTITUTE OATH OR DECLARATION must be s INFORMAL PATENT APPLICATION (PTO-152) which		
6. 🗌 CORRECTED DRAWINGS (as "replacement sheets") must be submitted.	
(a) 🔲 including changes required by the Notice of Draft	sperson's Patent Drawing Review	w (PTO-948) attached
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date _		
(b) ☐ including changes required by the attached Exam Paper No./Mail Date	niner's Amendment / Comment of	r in the Office action of
Identifying indicia such as the application number (see 37 C each sheet. Replacement sheet(s) should be labeled as suc	CFR 1.84(c)) should be written on the first of the second se	he drawings in the front (not the back) of FR 1.121(d).
 DEPOSIT OF and/or INFORMATION about the or attached Examiner's comment regarding REQUIREM 	deposit of BIOLOGICAL MAT	ERIAL must be submitted. Note the
Attachment(s) 1. Discrete Transmission (PTO-892)	5. Notice of In	formal Patent Application (PTO-152)
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-		ummary (PTO-413),
3. 🔲 Information Disclosure Statements (PTO-1449 or PTO	Paper No.	/Mail Date Amendment/Comment
Paper No./Mail Date 4.		Statement of Reasons for Allowance
of Biological Material	9. 🗌 Other	Edward H. Tso
		Primary Examiner

Huawei v. FISI Exhibit 1021 - 77/174

Application/Control Number: 10/087,629 Art Unit: 2838

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

The dependency of claim 27 has been corrected to -25--.

Any inquiry concerning this communication should be directed to the Examiner at the below-listed number.

Any inquiry of a general nature or relating to the status of this application should be directed to the receptionist whose telephone number is 571 272 2800, Monday-Friday, 830am to 5:00pm, EST.

By:

MARZ

ÉDWARD TSÓ Primary Examiner 571 272 2087

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Issue Classification	10/087,629	FISCHER ET AL.	
	Examiner	Art Unit	
	Edward Tso	2838	

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U.S. Patent and Trademark Office

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Part of Paper No. 012005



UNITED STATES PATENT AND TRADEMARK OFFICE

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BIBDATASHEET

Bib Data Sheet

SERIAL NUMBER 10/087,629	FILING DATE 03/01/2002 RULE	CLASS 307	GRO	UP ART UN 2838	ΊT		NEY DOCKET NO. 255012294
Dan G. Radut, Michael F. Hal Jonathan T. M ** CONTINUING DAT This appIn clai	her, Waterloo, CANADA; Waterloo, CANADA; bicher, Cambridge, CANADA lalton, Kitchener, CANADA; A ***********************************	Je 157 101/2001	r, CANAI	DA;			
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ADDRESS F. Drexel Feeling, Esc Jones, Day, Reavis & North Point, 901 Lake Cleveland , OH 44114	Pogue						
TITLE Multifunctional charge	er system and method						
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CONFIRMATION NO. 3767

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Application No.	Applicant(s)
10/087,629	FISCHER ET AL.
Examiner	Art Unit
Edward Tso	2838

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 555255-012294

Group Art Unit:	2838	
Examiner:	Tso)
Inventor:	Daniel M. Fischer, et al.)) AMENDMENT
Serial No.:	10/087,629	
Filed:	3/01/2002)
For:	Multifunctional Charger System and Method)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Dec. 3, 2004.

Delira Pejean By

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please amend the above-referenced application as follows. Any resulting fees should be

charged to Jones Day Deposit Account No. 501432, ref: 555255-012294.

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IN THE CLAIMS

1. (Currently Amended) A Universal Serial Bus ("USB") adapter for providing a source of power to a mobile device through a USB port, comprising:

a plug unit for coupling to a power socket and for receiving energy from the power socket;

a power converter electrically coupled to the plug unit, the power converter being operable to regulate the received energy from the power socket and to output a power requirement to the mobile device;

a primary USB connector electrically coupled to the power converter for connecting to the mobile device and for delivering the power requirement to the mobile device; and

an identification subsystem electrically coupled to the primary USB connector for providing an identification signal at one or more data lines of the primary USB connector;

wherein the identification signal comprises a voltage level that is applied to at least one of the data lines in the primary USB connector, and the identification signal comprises a logic high signal on the D+ data line and a logic high signal on the D- data line.

2. (Original) The USB adapter of claim 1, wherein the plug unit is configured to couple directly with the power socket.

3. (Original) The USB adapter of claim 2, wherein the plug unit is configured to couple to at least one power socket selected from the group consisting of: North American power socket, United Kingdom power socket, European power socket, Australian power socket, airplane power socket, and automobile power socket.

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4. (Original) The USB adapter of claim 1, further comprising a plug adapter that is configured to couple the plug unit to the power socket.

5. (Original) The USB adapter of claim 4, wherein the plug adapter is configured to couple to at least one power socket selected from the group consisting of: North American power socket, United Kingdom power socket, European power socket, Australian power socket, airplane power socket, and automobile power socket.

6. (Original) The USB adapter of claim 1 wherein the identification signal comprises a voltage level that is applied to at least one of the data lines in the primary USB connector.

7. (Cancelled)

8. (Currently Amended) <u>A Universal Serial Bus ("USB") adapter for providing a source of</u> power to a mobile device through a USB port, comprising:

a plug unit for coupling to a power socket and for receiving energy from the power socket;

a power converter electrically coupled to the plug unit, the power converter being operable to regulate the received energy from the power socket and to output a power requirement to the mobile device;

a primary USB connector electrically coupled to the power converter for connecting to the mobile device and for delivering the power requirement to the mobile device; and

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an identification subsystem electrically coupled to the primary USB connector for providing an identification signal at one or more data lines of the primary USB connector;

The USB adapter of claim 1-wherein the identification subsystem comprises a hard-wired connection of a voltage level to one or more data lines in the primary USB connector.

9. (Currently Amended) <u>A Universal Serial Bus ("USB") adapter for providing a source of</u> power to a mobile device through a USB port, comprising:

a plug unit for coupling to a power socket and for receiving energy from the power socket;

a power converter electrically coupled to the plug unit, the power converter being operable to regulate the received energy from the power socket and to output a power requirement to the mobile device;

a primary USB connector electrically coupled to the power converter for connecting to the mobile device and for delivering the power requirement to the mobile device; and

an identification subsystem electrically coupled to the primary USB connector for providing an identification signal at one or more data lines of the primary USB connector;

The USB adapter of claim 1 wherein the identification subsystem comprises a USB controller that is operable to provide a voltage level to one or more data lines in the primary USB connector.

10. (Currently Amended) <u>A Universal Serial Bus ("USB") adapter for providing a source of</u> power to a mobile device through a USB port, comprising: a plug unit for coupling to a power socket and for receiving energy from the power socket;

a power converter electrically coupled to the plug unit, the power converter being operable to regulate the received energy from the power socket and to output a power requirement to the mobile device;

a primary USB connector electrically coupled to the power converter for connecting to the mobile device and for delivering the power requirement to the mobile device; and

an identification subsystem electrically coupled to the primary USB connector for providing an identification signal at one or more data lines of the primary USB connector;

The USB adapter of claim 1, wherein the identification subsystem further comprises a switch that is operable to couple electrically the power requirement output from the power converter to the primary USB connector.

11. (Original) The USB adapter of claim 10, wherein the identification system is operable to cause the switch to disconnect the power requirement output from the primary USB connector.

12. (Original) The USB adapter of claim 11, wherein the identification system is operable to cause the switch to reconnect the power requirement output to the primary USB connector.

13. (Original) The USB adapter of claim 1, further comprising an auxiliary USB connector.

14. (Original) The USB adapter of claim 13, wherein the data lines of the auxiliary USB connector are coupled to the data lines of the primary USB connector via the identification

15. (Original) The USB adapter of claim 13, wherein the power converter is operable to output a power requirement to the auxiliary USB connector.

16. (Original) The USB adapter of claim 1, wherein the USB adapter is integrated with a USB hub or host.

17. (Original) The USB adapter of claim 1, further comprising: a battery receptacle for providing a location at which to attach a rechargeable battery; and a battery charging subsystem electrically coupled between the battery receptacle and the power converter, the battery charging subsystem being operable to receive energy from the power converter and to provide power at the battery receptacle.

18. (Original) The USB adapter of claim 1, wherein the power converter comprises at least one component selected from the group consisting of: switching converter, transformer, DC source, voltage regulator, linear regulator and rectifier.

19. (Currently Amended) A method for providing energy to a mobile device using a USB adapter that comprises a plug unit, a primary USB connector, a power converter electrically coupled between the plug unit and the primary USB connector, and an identification subsystem electrically coupled to the primary USB connector, the method comprising the steps of:

coupling the USB connector to the mobile device;

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coupling the plug unit to a power socket;

outputting a power requirement to the mobile device via the power converter and the USB connector; and

providing an identification signal to the mobile device, via the identification subsystem and the USB connector, that is operative to inform the mobile device that the USB adapter is not limited by the power limits imposed by the USB specification, wherein the identification signal comprises a logic high signal on the D+ data line and a logic high signal on the D- data line.

20. (Original) The method of claim 19, further comprising the step of: detecting the presence of the identification signal by the mobile device.

21. (Original) The method of claim 19, further comprising the step of: electrically disconnecting the power requirement from the USB connector.

22. (Original) The method of claim 21, further comprising the step of: electrically reconnecting the power requirement to the USB connector to allow the power requirement to be outputted to the mobile device.

23. (Currently Amended) A powering system for a mobile device having a USB connector; comprising:

a power distribution subsystem in the mobile device that is operable to receive energy through the USB connector and to distribute the energy to at least one component in the mobile device; and

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a USB adapter for coupling to the USB connector, the USB adapter comprising a plug unit for coupling to a power socket and that is operable to receive energy from the power socket,

a power converter electrically coupled to the plug unit for regulating the received energy and for providing a power requirement to the power distribution subsystem, and

an identification subsystem that is operable to transmit an identification signal that is operative to identify the USB adapter as not being limited by the power limits imposed by the USB specification, wherein the identification signal comprises a logic high signal on the D+ data line and a logic high signal on the D- data line.

24. (Original) The system of claim 23, further comprising a charging subsystem in the USB power adapter configured to couple the power converter to a battery receptacle to directly charge a rechargeable battery.

25. (Currently Amended) A Universal Serial Bus ("USB") adapter for providing a source of power to a mobile device through a USB port, comprising:

a plug unit for coupling to a power socket and for receiving energy from the power socket;

a power converter electrically coupled to the plug unit, the power converter being operable to regulate the received energy from the power socket and to output a power requirement to the mobile device;

a primary USB connector electrically coupled to the power converter for connecting to the mobile device and for delivering the outputted power requirement to the mobile device; and an auxiliary USB connector having data lines that are electrically coupled to the data lines of the primary USB connector;

an identification subsystem electrically coupled to the primary USB connector for providing an identification signal at one or more data lines of the primary USB connector; wherein the identification signal comprises a logic high signal on the D+ data line and a logic high signal on the D- data line.

26. (Cancelled)

27. (Original) The USB adapter of claim 26 wherein the identification signal comprises a voltage level that is applied to at least one of the data lines in the primary USB connector.

28. (Cancelled)

29. (Currently Amended) <u>A Universal Serial Bus ("USB") adapter for providing a source of</u> power to a mobile device through a USB port, comprising:

a plug unit for coupling to a power socket and for receiving energy from the power socket;

a power converter electrically coupled to the plug unit, the power converter being operable to regulate the received energy from the power socket and to output a power requirement to the mobile device;

a primary USB connector electrically coupled to the power converter for connecting to the mobile device and for delivering the outputted power requirement to the mobile device; and

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an auxiliary USB connector having data lines that are electrically coupled to the data lines of the primary USB connector;

an identification subsystem electrically coupled to the primary USB connector for providing an identification signal at one or more data lines of the primary USB connector; The USB adapter of claim 26 wherein the identification subsystem comprises a hardwired connection of a voltage level to one or more data lines in the primary USB connector.

30. (Currently Amended) <u>A Universal Serial Bus ("USB") adapter for providing a source of</u> power to a mobile device through a USB port, comprising:

a plug unit for coupling to a power socket and for receiving energy from the power socket;

a power converter electrically coupled to the plug unit, the power converter being operable to regulate the received energy from the power socket and to output a power requirement to the mobile device;

a primary USB connector electrically coupled to the power converter for connecting to the mobile device and for delivering the outputted power requirement to the mobile device; and

an auxiliary USB connector having data lines that are electrically coupled to the data lines of the primary USB connector;

an identification subsystem electrically coupled to the primary USB connector for providing an identification signal at one or more data lines of the primary USB connector; The USB adapter of claim 26-wherein the identification subsystem comprises a USB controller that is operable to provide a voltage level to one or more data lines in the primary USB connector.

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31. (Currently Amended) <u>A Universal Serial Bus ("USB") adapter for providing a source of</u> power to a mobile device through a USB port, comprising:

a plug unit for coupling to a power socket and for receiving energy from the power socket;

a power converter electrically coupled to the plug unit, the power converter being operable to regulate the received energy from the power socket and to output a power requirement to the mobile device;

a primary USB connector electrically coupled to the power converter for connecting to the mobile device and for delivering the outputted power requirement to the mobile device; and

an auxiliary USB connector having data lines that are electrically coupled to the data lines of the primary USB connector;

an identification subsystem electrically coupled to the primary USB connector for providing an identification signal at one or more data lines of the primary USB connector; The USB adapter of claim 26-wherein the identification subsystem further comprises a switch that is operable to electrically couple the power requirement output from the power converter to the primary USB connector.

32. (Original) The USB adapter of claim 31 wherein the identification system is operable to cause the switch to disconnect the power requirement output from the primary USB connector.

33. (Original) The USB adapter of claim 32 wherein the identification system is operable to cause the switch to reconnect the power requirement output to the primary USB connector.

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34. (Original) The USB adapter of claim 25 wherein the power converter is operable to output a power requirement to the auxiliary USB connector.

35. (Original) The USB adapter of claim 25 further comprising: a battery receptacle for providing a location at which to attach a rechargeable battery; and a battery charging subsystem electrically coupled between the battery receptacle and the power converter, the battery charging subsystem being operable to receive energy from the power converter and to provide a charge at the battery receptacle.

36. (Original) The USB adapter of claim 25 wherein the power converter comprises at least one component selected from the group consisting of: switching converter, transformer, DC source, voltage regulator, linear regulator and rectifier.

37. (New) A Universal Serial Bus ("USB") adapter for providing a source of power to a mobile device through a USB port, comprising:

a plug unit for coupling to a power socket and for receiving energy from the power socket;

a power converter electrically coupled to the plug unit, the power converter being operable to regulate the received energy from the power socket and to output a power requirement to the mobile device;

a primary USB connector electrically coupled to the power converter for connecting to the mobile device and for delivering the power requirement to the mobile device; and

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an identification subsystem electrically coupled to the primary USB connector for providing an identification signal at one or more data lines of the primary USB connector;

wherein the identification signal comprises a logic high signal on the D+ data line and a logic high signal on the D- data line.

38. (New) The USB adapter of claim 37, wherein the plug unit is configured to couple directly with the power socket.

39. (New) The USB adapter of claim 37, wherein the plug unit is configured to couple to at least one power socket selected from the group consisting of: North American power socket, United Kingdom power socket, European power socket, Australian power socket, airplane power socket, and automobile power socket.

40. (New) The USB adapter of claim 37, further comprising a plug adapter that is configured to couple the plug unit to the power socket.

41. (New) The USB adapter of claim 40, wherein the plug adapter is configured to couple to at least one power socket selected from the group consisting of: North American power socket, United Kingdom power socket, European power socket, Australian power socket, airplane power socket, and automobile power socket.

42. (New) The USB adapter of claim 37, further comprising an auxiliary USB connector.

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43. (New) The USB adapter of claim 42, wherein the data lines of the auxiliary USB connector are coupled to the data lines of the primary USB connector via the identification subsystem.

44. (New) The USB adapter of claim 42, wherein the power converter is operable to output a power requirement to the auxiliary USB connector.

45. (New) The USB adapter of claim 37, wherein the USB adapter is integrated with a USB hub or host.

46. (New) The USB adapter of claim 37, further comprising: a battery receptacle for providing a location at which to attach a rechargeable battery; and a battery charging subsystem electrically coupled between the battery receptacle and the power converter, the battery charging subsystem being operable to receive energy from the power converter and to provide power at the battery receptacle.

47. (New) The USB adapter of claim 37, wherein the power converter comprises at least one component selected from the group consisting of: switching converter, transformer, DC source, voltage regulator, linear regulator and rectifier.

48. (New) The USB adapter of claim 8, wherein the plug unit is configured to couple directly with the power socket.

49. (New) The USB adapter of claim 8, wherein the plug unit is configured to couple to at

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least one power socket selected from the group consisting of: North American power socket, United Kingdom power socket, European power socket, Australian power socket, airplane power socket, and automobile power socket.

50. (New) The USB adapter of claim 8, further comprising a plug adapter that is configured to couple the plug unit to the power socket.

51. (New) The USB adapter of claim 50, wherein the plug adapter is configured to couple to at least one power socket selected from the group consisting of: North American power socket, United Kingdom power socket, European power socket, Australian power socket, airplane power socket, and automobile power socket.

52. (New) The USB adapter of claim 8 wherein the identification signal comprises a voltage level that is applied to at least one of the data lines in the primary USB connector.

53. (New) The USB adapter of claim 8, further comprising an auxiliary USB connector.

54. (New) The USB adapter of claim 53, wherein the data lines of the auxiliary USB connector are coupled to the data lines of the primary USB connector via the identification subsystem.

55. (New) The USB adapter of claim 53, wherein the power converter is operable to output a power requirement to the auxiliary USB connector.

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56. (New) The USB adapter of claim 8, wherein the USB adapter is integrated with a USB hub or host.

57. (New) The USB adapter of claim 8, further comprising: a battery receptacle for providing a location at which to attach a rechargeable battery; and a battery charging subsystem electrically coupled between the battery receptacle and the power converter, the battery charging subsystem being operable to receive energy from the power converter and to provide power at the battery receptacle.

58. (New) The USB adapter of claim 8, wherein the power converter comprises at least one component selected from the group consisting of: switching converter, transformer, DC source, voltage regulator, linear regulator and rectifier.

59. (New) The USB adapter of claim 9, wherein the plug unit is configured to couple directly with the power socket.

60. (New) The USB adapter of claim 9, wherein the plug unit is configured to couple to at least one power socket selected from the group consisting of: North American power socket, United Kingdom power socket, European power socket, Australian power socket, airplane power socket, and automobile power socket.

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61. (New) The USB adapter of claim 9, further comprising a plug adapter that is configured to couple the plug unit to the power socket.

62. (New) The USB adapter of claim 61, wherein the plug adapter is configured to couple to at least one power socket selected from the group consisting of: North American power socket, United Kingdom power socket, European power socket, Australian power socket, airplane power socket, and automobile power socket.

63. (New) The USB adapter of claim 9 wherein the identification signal comprises a voltage level that is applied to at least one of the data lines in the primary USB connector.

64. (New) The USB adapter of claim 9, further comprising an auxiliary USB connector.

65. (New) The USB adapter of claim 64, wherein the data lines of the auxiliary USB connector are coupled to the data lines of the primary USB connector via the identification subsystem.

66. (New) The USB adapter of claim 64, wherein the power converter is operable to output a power requirement to the auxiliary USB connector.

67. (New) The USB adapter of claim 9, wherein the USB adapter is integrated with a USB hub or host.

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68. (New) The USB adapter of claim 9, further comprising: a battery receptacle for providing a location at which to attach a rechargeable battery; and a battery charging subsystem electrically coupled between the battery receptacle and the power converter, the battery charging subsystem being operable to receive energy from the power converter and to provide power at the battery receptacle.

69. (New) The USB adapter of claim 9, wherein the power converter comprises at least one component selected from the group consisting of: switching converter, transformer, DC source, voltage regulator, linear regulator and rectifier.

70. (New) The USB adapter of claim 10, wherein the plug unit is configured to couple directly with the power socket.

71. (New) The USB adapter of claim 10, wherein the plug unit is configured to couple to at least one power socket selected from the group consisting of: North American power socket, United Kingdom power socket, European power socket, Australian power socket, airplane power socket, and automobile power socket.

72. (New) The USB adapter of claim 10, further comprising a plug adapter that is configured to couple the plug unit to the power socket.

73. (New) The USB adapter of claim 72, wherein the plug adapter is configured to couple to

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at least one power socket selected from the group consisting of: North American power socket, United Kingdom power socket, European power socket, Australian power socket, airplane power socket, and automobile power socket.

74. (New) The USB adapter of claim 10 wherein the identification signal comprises a voltage level that is applied to at least one of the data lines in the primary USB connector.

75. (New) The USB adapter of claim 10, further comprising an auxiliary USB connector.

76. (New) The USB adapter of claim 75, wherein the data lines of the auxiliary USB connector are coupled to the data lines of the primary USB connector via the identification subsystem.

77. (New) The USB adapter of claim 75, wherein the power converter is operable to output a power requirement to the auxiliary USB connector.

78. (New) The USB adapter of claim 10, wherein the USB adapter is integrated with a USB hub or host.

79. (New) The USB adapter of claim 10, further comprising: a battery receptacle for providing a location at which to attach a rechargeable battery; and a battery charging subsystem electrically coupled between the battery receptacle and the power converter, the battery charging

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subsystem being operable to receive energy from the power converter and to provide power at the battery receptacle.

80. (New) The USB adapter of claim 10, wherein the power converter comprises at least one component selected from the group consisting of: switching converter, transformer, DC source, voltage regulator, linear regulator and rectifier.

81. (New) A method for providing energy to a mobile device using a USB adapter that comprises a plug unit, a primary USB connector, a power converter electrically coupled between the plug unit and the primary USB connector, and an identification subsystem electrically coupled to the primary USB connector, wherein the identification subsystem comprises a hardwired connection of a voltage level to one or more data lines in the primary USB connector, the method comprising the steps of:

coupling the USB connector to the mobile device;

coupling the plug unit to a power socket;

outputting a power requirement to the mobile device via the power converter and the USB connector; and

providing an identification signal to the mobile device, via the identification subsystem and the USB connector, that is operative to inform the mobile device that the USB adapter is not limited by the power limits imposed by the USB specification.

82. (New) The method of claim 81, further comprising the step of: detecting the presence of the identification signal by the mobile device.

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83. (New) The method of claim 81, further comprising the step of: electrically disconnecting the power requirement from the USB connector.

84. (New) The method of claim 83, further comprising the step of: electrically reconnecting the power requirement to the USB connector to allow the power requirement to be outputted to the mobile device.

85. (New) A method for providing energy to a mobile device using a USB adapter that comprises a plug unit, a primary USB connector, a power converter electrically coupled between the plug unit and the primary USB connector, and an identification subsystem electrically coupled to the primary USB connector, wherein the identification subsystem comprises a USB controller that is operable to provide a voltage level to one or more data lines in the primary USB connector, the method comprising the steps of:

coupling the USB connector to the mobile device;

coupling the plug unit to a power socket;

outputting a power requirement to the mobile device via the power converter and the USB connector; and

providing an identification signal to the mobile device, via the identification subsystem and the USB connector, that is operative to inform the mobile device that the USB adapter is not limited by the power limits imposed by the USB specification.

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86. (New) The method of claim 85, further comprising the step of: detecting the presence of the identification signal by the mobile device.

87. (New) The method of claim 85, further comprising the step of: electrically disconnecting the power requirement from the USB connector.

88. (New) The method of claim 87, further comprising the step of: electrically reconnecting the power requirement to the USB connector to allow the power requirement to be outputted to the mobile device.

89. (New) A method for providing energy to a mobile device using a USB adapter that comprises a plug unit, a primary USB connector, a power converter electrically coupled between the plug unit and the primary USB connector, and an identification subsystem electrically coupled to the primary USB connector, wherein the identification subsystem comprises a switch that is operable to couple electrically the power requirement output from the power converter to the primary USB connector, the method comprising the steps of:

coupling the USB connector to the mobile device;

coupling the plug unit to a power socket;

outputting a power requirement to the mobile device via the power converter and the USB connector; and

providing an identification signal to the mobile device, via the identification subsystem and the USB connector, that is operative to inform the mobile device that the USB adapter is not limited by the power limits imposed by the USB specification.

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90. (New) The method of claim 89, further comprising the step of: detecting the presence of the identification signal by the mobile device.

91. (New) The method of claim 89, further comprising the step of: electrically disconnecting the power requirement from the USB connector.

92. (New) The method of claim 91, further comprising the step of: electrically reconnecting the power requirement to the USB connector to allow the power requirement to be outputted to the mobile device.

93. (New) A powering system for a mobile device having a USB connector; comprising:

a power distribution subsystem in the mobile device that is operable to receive energy through the USB connector and to distribute the energy to at least one component in the mobile device; and

a USB adapter for coupling to the USB connector, the USB adapter comprising a plug unit for coupling to a power socket and that is operable to receive energy from the power socket,

a power converter electrically coupled to the plug unit for regulating the received energy and for providing a power requirement to the power distribution subsystem, and

an identification subsystem that is operable to transmit an identification signal that is operative to identify the USB adapter as not being limited by the power limits imposed by the USB specification, wherein the identification subsystem comprises a hard-wired connection of a voltage level to one or more data lines in the primary USB connector.

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94. (New) The system of claim 93, further comprising a charging subsystem in the USB power adapter configured to couple the power converter to a battery receptacle to directly charge a rechargeable battery.

95. (New) A powering system for a mobile device having a USB connector; comprising:

a power distribution subsystem in the mobile device that is operable to receive energy through the USB connector and to distribute the energy to at least one component in the mobile device; and

a USB adapter for coupling to the USB connector, the USB adapter comprising a plug unit for coupling to a power socket and that is operable to receive energy from the power socket,

a power converter electrically coupled to the plug unit for regulating the received energy and for providing a power requirement to the power distribution subsystem, and

an identification subsystem that is operable to transmit an identification signal that is operative to identify the USB adapter as not being limited by the power limits imposed by the USB specification, wherein the identification subsystem comprises a USB controller that is operable to provide a voltage level to one or more data lines in the primary USB connector.

96. (New) The system of claim 95, further comprising a charging subsystem in the USB power adapter configured to couple the power converter to a battery receptacle to directly charge a rechargeable battery.

97. (New) A powering system for a mobile device having a USB connector; comprising:

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a power distribution subsystem in the mobile device that is operable to receive energy through the USB connector and to distribute the energy to at least one component in the mobile device; and

a USB adapter for coupling to the USB connector, the USB adapter comprising a plug unit for coupling to a power socket and that is operable to receive energy from the power socket,

a power converter electrically coupled to the plug unit for regulating the received energy and for providing a power requirement to the power distribution subsystem, and

an identification subsystem that is operable to transmit an identification signal that is operative to identify the USB adapter as not being limited by the power limits imposed by the USB specification, wherein the identification subsystem comprises a switch that is operable to couple electrically the power requirement output from the power converter to the primary USB connector.

98. (New) The system of claim 97, further comprising a charging subsystem in the USB power adapter configured to couple the power converter to a battery receptacle to directly charge a rechargeable battery.

99. (New) The USB adapter of claim 29 wherein the power converter is operable to output a power requirement to the auxiliary USB connector.

100. (New) The USB adapter of claim 29 further comprising: a battery receptacle for providing a location at which to attach a rechargeable battery; and a battery charging subsystem electrically coupled between the battery receptacle and the power converter, the battery charging

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subsystem being operable to receive energy from the power converter and to provide a charge at the battery receptacle.

101. (New) The USB adapter of claim 29 wherein the power converter comprises at least one component selected from the group consisting of: switching converter, transformer, DC source, voltage regulator, linear regulator and rectifier.

102. (New) The USB adapter of claim 30 wherein the power converter is operable to output a power requirement to the auxiliary USB connector.

103. (New) The USB adapter of claim 30 further comprising: a battery receptacle for providing a location at which to attach a rechargeable battery; and a battery charging subsystem electrically coupled between the battery receptacle and the power converter, the battery charging subsystem being operable to receive energy from the power converter and to provide a charge at the battery receptacle.

104. (New) The USB adapter of claim 30 wherein the power converter comprises at least one component selected from the group consisting of: switching converter, transformer, DC source, voltage regulator, linear regulator and rectifier.

105. (New) The USB adapter of claim 31 wherein the power converter is operable to output a power requirement to the auxiliary USB connector.

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106. (New) The USB adapter of claim 31 further comprising: a battery receptacle for providing a location at which to attach a rechargeable battery; and a battery charging subsystem electrically coupled between the battery receptacle and the power converter, the battery charging subsystem being operable to receive energy from the power converter and to provide a charge at the battery receptacle.

107. (New) The USB adapter of claim 31 wherein the power converter comprises at least one component selected from the group consisting of: switching converter, transformer, DC source, voltage regulator, linear regulator and rectifier.

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REMARKS

This Amendment responds to the Office Action dated September 7, 2004. Applicants hereby request reconsideration of the objections/rejections set forth in the Office Action in view of these remarks, and the above claim amendments. Applicant thanks the examiner for his indication of allowability of claims 7-12 and 28-33.

In the Office Action the Examiner objected to the claims 7-12 and 28-33 for being dependent on a rejected base claim. Claim 7 has been cancelled and the limitations thereof were added to claim 1. Thus, claim 1 has been amended to be claim 7 rewritten in independent form. Claims 8-10 are also amended to be in independent form. Claims 11 and 12 remain as originally presented, but claim 10 on which they were dependent is no longer dependent on a rejected claim. Accordingly, claims 1 and 8-12 should be allowed because their subject matter was indicated to be allowable and they are no longer dependent on rejected claims.

Claim 28 has been cancelled and rewritten to be in independent form by adding its limitations to amended claim 25. Thus, claim 25 has been amended to be claim 28 rewritten in independent form. Claims 29-31 are now amended to be in independent form. Claims 32 and 33 remain as originally presented, but claim 31 on which they were dependent is no longer dependent on a rejected claim. Accordingly, claims 25 and 29-33 should be allowed because their subject matter was indicated to be allowable and they are no longer dependent on rejected claims.

In the Office Action, claims 1, 2, 4, 6, and 16-24 were rejected under 35 U.S.C. 102(e) as being unpatentable over Dougherty (U.S. 6,668,296). Regarding claim 1, claim 1 as mentioned above is now original claim 7 rewritten in independent form, and per the examiner's indication of allowability should thus be allowable. Claims 2, 4, and 6 are now dependent on amended claim

1 and should thus also be allowable, because the subject matter of amended claim 1 was indicated to be allowable. Claims 16-24 have been amended to add the limitation that was indicated to be allowable in claim 7. Furthermore, claims 16-18 are dependent on amended claim 1 which is claim 7 rewritten in independent form. Claim 7 was indicated to be allowable, and thus claims 16-18 should be allowable because they are dependent on an allowable claim.

Claims 3, 5, 13-15, 25-27 and 34-36 were rejected under 35 U.S.C. 103(a) as unpatentable over Dougherty et al. Claims 3, 5, and 13-15 are now dependent on amended claim 1 and should thus also be allowable, because the subject matter of amended claim 1 was indicated to be allowable. Claim 25 has been amended to be claim 28 in independent form. Claim 28 was indicated to be allowable and thus claim 25 should now be allowable. Claim 26 is cancelled. Claims 27, and 34-36 are now dependent on amended claim 25 and should thus also be allowable, because the subject matter of amended claim 25 was indicated to be allowable.

New claims 37-47 should be allowable because they all include the same limitation that was indicated to be allowable in original claim 7. Because the examiner indicated the allowability of original claim 7, new claims 37-47 should also be allowable.

New claims 48-58, 81-84, and 93-94 should be allowable because they all include the same limitation that was indicated to be allowable in original claim 8. Because the examiner indicated the allowability of original claim 8, new claims 48-58, 81-84, and 93-94 should also be allowable.

New claims 59-69, 85-88, and 95-96 should be allowable because they all incorporate the limitation given in original claim 9. Because the examiner indicated the allowability of original claim 9, new claims 59-69, 85-88, and 95-96 should also be allowable.

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New claims 70-80, 89-92, and 97-98 should be allowable because they all incorporate the limitation given in original claim 10. Because the examiner indicated the allowability of original claim 10, new claims 70-80, 89-92, and 97-98 should also be allowable.

New claims 99-101 should be allowable because they all incorporate the limitation given in original claim 29. Because the examiner indicated the allowability of original claim 29, new claims 99-101 should also be allowable.

New claims 102-104 should be allowable because they all incorporate the limitation given in original claim 30. Because the examiner indicated the allowability of original claim 30, new claims 02-104 should also be allowable.

New claims 105-107 should be allowable because they all incorporate the limitation given in original claim 31. Because the examiner indicated the allowability of original claim 31, new claims 105-107 should also be allowable.

For the foregoing reasons, Applicants respectfully submits that the claims are in condition for allowance. The Examiner is, therefore, respectfully requested to enter this Amendment and pass this case to issue.

Respectfully submitted,

JONES Z

Joseph M. Sauer (Reg. No. 47,919) Jones Day North Point, 901 Lakeside Avenue Cleveland, Ohio 44114 (216) 586-7506



PATENT

Attorney Docket No. 555255012294

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Daniel M. Fischer, et al.
Serial No.:	10/087,629
Filing Date:	03/01/2002
For:	MULTIFUNCTIONAL CHARGER SYSTEM AND METHOD
Art Unit:	2838
Examiner:	Edward H. Tso
	•

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56, applicants hereby advise the United States Patent and Trademark Office of certain references which may be material to the determination of patentability of the above-identified application. The references are identified on the attached Form PTO-1449 and copies are enclosed. Applicants respectfully request that these references be considered and made of record in the present application by completing and returning the enclosed Form PTO-1449.

Please charge the fee (\$180) for entry of this Information Disclosure Statement to Jones Day's Deposit Account No. 501432, Reference No. 555255012294.

Respectfu	lly/submitted,	
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I hereby certify that this correspondence is being deposited today with the United States Postal Service as first class mail in an envelope c Commissioner for Patents, P.O Le Alexandria, VA 22313-1450

Joseph M. Sauer Reg. No. 47,919 JONES DAY North Point 901 Lakeside Avenue Cleveland, Ohio 44114 (216) 586-3939

By:

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Page 1 of 1

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Huawei v. FISI Exhibit 1021 - 112/174

	DEF D 6 2004		PTO/SB/08A (08-03) proved for use through 07/31/2006. OMB 0651-0031 mark Office: U.S. DEPARTMENT OF COMMERCE
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	A CONTRACTOR OF A CONTRACTOR OFTA CONTRACTOR O	Application Number	10/087,629
	INFORMATION DISCLOSURE	Filing Date	03/01/2002
		First Named Inventor	Daniel M. Fischer
	STATEMENT BY APPLICANT	Art Unit	2838
	(Use as many sheets as necessary)	Examiner Name	Edward H. Teo

Sheet 1

re	espond to a collection of information unless it contains a valid OMB control number.					
	Complete if Known					
	Application Number	10/087,629				
	Filing Date	03/01/2002				
	First Named Inventor	Daniel M. Fischer				
	Art Unit	2838				
	Examiner Name	Edward H. Tso				
	Attorney Docket Number	555255012294				

			U. S. PATEN	I DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (if known)}			Figures Appear
	A1	^{US-} 6,138,242	10/24/2000	Massman et al.	
	A2	^{US-} 6,283,789 B1	09/04/2001	Tsai	
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ³ "Number ⁴ "Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	− ⁶	

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

	ed States Paten	T AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	OR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/087,629	03/01/2002	Daniel M. Fischer	555255012294	3767
75	i90 09/07/2004		EXAM	INER
F. Drexel Feel			TSO, ED	WARD H
Jones, Day, Rea	ivis & Pogue 1 Lakeside Avenue		ART UNIT	PAPER NUMBER
Cleveland, OH			2838	
			DATE MAILED: 09/07/200	4

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)	6,
	10/087,629	FISCHER ET AL.	
Office Action Summary	Examiner	Art Unit	
	Edward Tso	2838	
The MAILING DATE of this communication ap Period for Reply	ppears on the cove	sheet with the correspondence ad	ldress
A SHORTENED STATUTORY PERIOD FOR REP THE MAILING DATE OF THIS COMMUNICATION - Extensions of time may be available under the provisions of 37 CFR 1 after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above, is less than thirty (30) days, a re - If NO period for reply signified above, the maximum statutory period - Failure to reply within the set or extended period for reply will, by statu Any reply received by the Office later than three months after the mail earned patent term adjustment. See 37 CFR 1.704(b).	.136(a). In no event, how ply within the statutory mir d will apply and will expire te, cause the application t	iver, may a reply be timely filed imum of thirty (30) days will be considered time SIX (6) MONTHS from the mailing date of this o become ABANDONED (35 U.S.C. § 133).	
Status			
1) Responsive to communication(s) filed on	·		
2a) This action is FINAL . 2b)⊠ Th	is action is non-fin	al.	
3) Since this application is in condition for allow	•		e merits is
closed in accordance with the practice under	Ex parte Quayle,	1935 C.D. 11, 453 O.G. 213.	
Disposition of Claims			
4)	n.		
4a) Of the above claim(s) is/are withdr		ation.	
5) Claim(s) is/are allowed.			
6) Claim(s) <u>1-6,13-27 and 34-36</u> is/are rejected.			
7) Claim(s) <u>7-12 and 28-33</u> is/are objected to.			
8) Claim(s) are subject to restriction and	or election require	ment.	
Application Papers			
9) The specification is objected to by the Examir	ner.		
10) The drawing(s) filed on is/are: a) ac	cepted or b)	ected to by the Examiner.	
Applicant may not request that any objection to th	e drawing(s) be held	in abeyance. See 37 CFR 1.85(a).	
Replacement drawing sheet(s) including the corre			
11) The oath or declaration is objected to by the I	Examiner. Note the	attached Office Action or form P	TO-152.
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for foreig a) All b) Some * c) None of:	n priority under 35	U.S.C. § 119(a)-(d) or (f).	
1. Certified copies of the priority document	nts have been rece	ived.	
2. Certified copies of the priority document	nts have been rece	ived in Application No	
3. Copies of the certified copies of the pri	ority documents h	ave been received in this Nationa	l Stage
application from the International Bure	au (PCT Rule 17.2	(a)).	
* See the attached detailed Office action for a list	st of the certified c	ppies not received.	
Attachment(s)		Interview Summery (DTO 442)	
1) ⊠ Notice of References Cited (PTO-892) 2) □ Notice of Draftsperson's Patent Drawing Review (PTO-948)	4)	Interview Summary (PTO-413) Paper No(s)/Mail Date	
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/0 Paper No(s)/Mail Date	B) 5) 🗌 6) 🗌	Notice of Informal Patent Application (PT Other:	O-152)
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	Action Summary	Part of Paper No./Ma	il Date 082004

Application/Control Number: 10/087,629 Art Unit: 2838

DETAILED ACTION

Specification

The disclosure should be carefully reviewed to ensure that any and all grammatical,

idiomatic, and spelling or other minor errors are corrected.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1, 2, 4, 6 and 16-24 are rejected under 35 U.S.C. 102(e) as being anticipated by

Dougherty et al. (US 6,668,296). The reference discloses a USB adapter 200 having plug to

receive power from a power socket, a power converter to output power to an external device 100

and a USB connector 136 connecting to the converter and delivering power to the external

device. The ID system or logic system 134 provides protocol communication of the adapter.

The adapter is also used to charge the battery.

Claim Rejections - 35 USC § 103

This application currently names joint inventors. In considering patentability of the

claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various

Page 2

Application/Control Number: 10/087,629 Art Unit: 2838

claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 3, 5, 13-15, 25-27 and 34-36 are rejected under 35 U.S.C. 103(a) as being unpatentable over Dougherty et al. (US 6,668,296).

Regarding claims 3 and 5, the reference does not specifically points out the different types of plugs to be used. It would have been obvious to one having ordinary skill in the art to have substituted the US plug with the EU, UK plug or any other type of plugs since it has been held that a recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus satisfying the claimed structural limitations.

Regarding claims 13-15, 25-27 and 34-36, the reference does not mention an auxiliary USB connector. It would have been obvious to one having ordinary skill in the art at the time the invention was made to have added a second USB connector, since it has been held that mere duplication of the essential working parts of a device involves only routine skill in the art. *St. Regis Paper Co. v. Bemis Co.*, 193 USPQ 8.

Application/Control Number: 10/087,629 Art Unit: 2838

Allowable Subject Matter

Claims 7-12 and 28-33 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Conclusion

Any inquiry concerning this communication should be directed to the Examiner at the below-listed number.

Any inquiry of a general nature or relating to the status of this application should be directed to the receptionist whose telephone number is 571 272 2800, Monday-Friday, 830am to 5:00pm, EST.

By:

EDWARD TSO Primary Examiner 571 272 2087

Notice of References Cited	Application/Control No. 10/087,629	Reexaminatio	Applicant(s)/Patent Under Reexamination FISCHER ET AL.	
Notice of References Offed	Examiner	Art Unit		
	Edward Tso	2838	Page 1 of 1	

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-6,668,296	12-2003	Dougherty et al.	710/303
	в	US-6,738,856	05-2004	Milley et al.	710/315
	с	US-			
	D	US-			
	ε	US-			
	F	US-			
	G	US-			
	н	US-		,	
	I	US-			
	J	US-			
	к	US-			
	L	US-			
	м	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	Ν					
	0					
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L	NON-PATENT DOCUMENTS								
*		Includ	e as applicable:	Author, Title Date, Publi	sher, Edition or Volume, Pertinent Pages				
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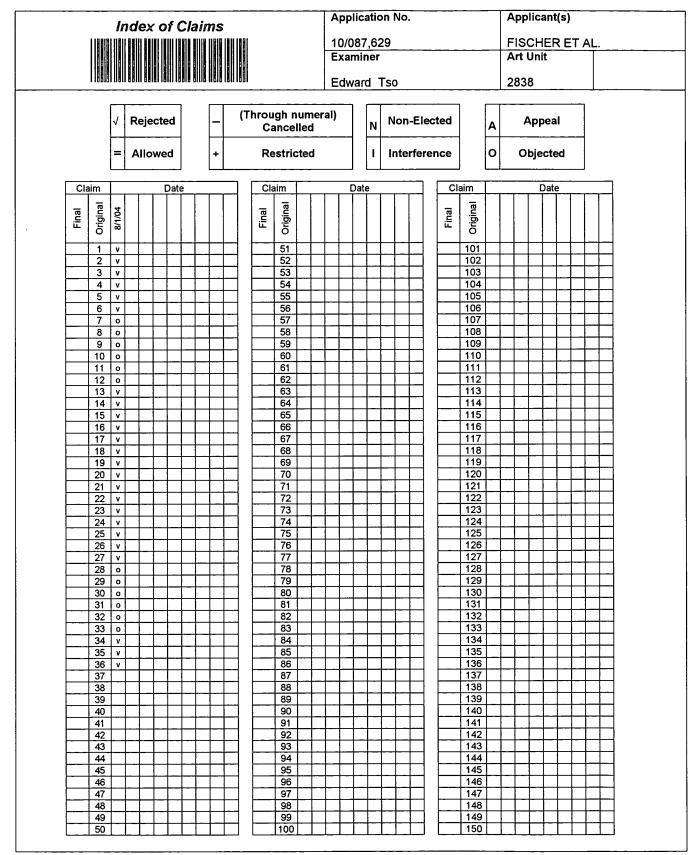
*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 082004

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U.S. Patent and Trademark Office

Part of Paper No. 082004



Application No.	Applicant(s)
10/087,629	FISCHER ET AL.
Examiner	Art Unit
Edward Tso	2838

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150	8/1/2004	ET
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	Subclass 150 151 107 128	Subclass Date 150 8/1/2004 151

INT	ERFERENC	CE SEARCHED			
Class	Subclass	Date	Examiner		

SEARCH NOTES (INCLUDING SEARCH STRATEGY)					
	DATE	EXMR			
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U.S. Patent and Trademark Office

Part of Paper No. 082004



UNITED STATES PATENT AND TRADEMARK OFFICE

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Paper No. 4

F. Drexel Feeling, Esq. Jones, Day, Reavis & Pogue 901 Lakeside Avenue/North Point Cleveland, OH 44114 COPY MAILED SEP 0 9 2002

OFFICE OF PETITIONS

Fischer, et al. Application No. 10/087,629 Filed: March 1, 2002 Attorney Docket No. 555255012294 For: MULTIFUNCTIONAL CHARGER SYSTEM:

This is in response to the petition under 37 CFR 1.47(a), filed August 5, 2002.

The petition is **GRANTED**.

In re Application of

AND METHOD

Petitioner has shown that inventor Dan G. Radut has refused to join in the filing of the above-identified application after having been presented with the application papers.

The above-identified application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status.

As provided in Rule 1.47(c), this Office will forward notice of this application's filing to the non-signing inventor at the address given in the petition. Notice of the filing of this application will also be published in the Official Gazette.

After this decision is mailed, the above-identified application will be returned to the Office of Initial Patent Examination for further processing.

Telephone inquiries related to this decision may be directed to the undersigned at (703) 305-0310.

ésía M. Brown

Petitions Attorney Office of Petitions Office of the Deputy Commissioner for Patent Examination Policy



Commissioner for Patents United States Patent and Trademark Office Washington, D.C. 2023 i www.usplo.gov

DAN G. RADUT 300 REGINA STREET, NORTH BUILDING 1, APT. 1207 WATERLOO, ONTARIO N2J 3B8 CANADA

In re Application of : Fischer, et al. : Application No. 10/087,629 : Filed: March 1, 2002 : Attorney Docket No. 555255012294 : For: MULTIFUNCTIONAL CHARGER SYSTEM: AND METHOD : COPY MAILED

SEP 0 9 2002

LETTER

OFFICE OF PETITIONS

Dear Sir:

You are named as an inventor in the above-identified United States patent application filed under the provisions of 35 U.S.C. 116 (United States Code) and 37 C.F.R. § 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost as per 37 C.F.R. § 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 C.F.R. § 1.63.

Telephone inquiries regarding this communication should be directed to the undersigned at (703) 305-0310. Requests for information regarding your application should be directed to the File Information Unit at (703) 308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to Certification Division at (703) 308-9726 or 1-800-972-6382 (outside the Washington D.C. area).

a M. Brown Alesia

Petitions Attorney Office of Petitions Office of the Deputy Commissioner for Patent Examination Policy

CC: F. Drexel Feeling, Esq. Jones, Day, Reavis & Pogue 901 Lakeside Avenue/North Point Cleveland, OH 44114

Huawei v. FISI Exhibit 1021 - 123/174

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(37	CFR 1	.63)	Application Numbe	r 10	/ 087/629
	~	Declaration	Filing Date	Marc	ch 01/02
Submitted with Initial	OR	Submitted after Initial Filing (surcharge	Group Art Unit		
Filing		(37 CFR 1.16 (e)) required)	Examiner Name		
As a below named inv	rentor, I he	ereby declare that:			
My residence, mailing a	address, an	d citizenship are as stated t	elow next to my name.		
					first and joint inventor (if plural
		pject matter which is claimed RGER SYSTEM AND		s sought o	on the invention entitled:
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Direct all correspondence to: Customer or Bar Co				OR V Ca	prrespondence address below
F. Drexel Feeling, Esq. Name					
Jones, Day, Reavis & Pogue				······	
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Cleveland City			Stat	Ohio •	zip 44114-1190
USA Country	Tele	(216) 5 ephone	86-39	939	(216) 579-0212 Fax
I hereby declare that all statements made herein are believed to be true; and further that these s made are punishable by fine or imprisonment, or validity of the application or any patent issued the	tatement both, ur	ts were made wit	h the I	knowledge that willful	false statements and the like so
NAME OF SOLE OR FIRST INVENTOR	२ : [A petition h	as be	een filed for this ur	nsigned inventor
Given Name Daniel M. (first and middle [if any])				FISCHER ily Name urname	
Inventor's DL Pal	/				Date Mar 1, 2002
Waterloo Residence: City		Ontari State	0	CANADA Country	Canadian Citizenship
295 Phillip Street Mailing Address		·•			
Waterloo City		Ontario State)	N2L 3W8	CANADA
NAME OF SECOND INVENTOR:	~	A petition ha	s bee	n filed for this uns	igned inventor
Given _{Name} Dan G. (first and middle [if any])				ly Name RADUT	•
Inventor's Signature					Date
Waterloo Residence: City		Ontario State		CANADA Country	Canadian Citizenship
Mailing Address 295 Phillip Street				<u> </u>	
Waterloo City		Ontario State		N2L 3W8 ZIP	CANADA Country
Additional inventors are being named on the	2su	pplemental Addition	onal In	ventor(s) sheet(s) PTC	0/SB/02A attached hereto.
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DECLARATION			DDITIONAL INVENTOR(S) Supplemental Sh_et Page 1_ of 2_
			· ·
Name of Additional Joint Inventor, if ar	ny:	A petition has been	filed for this unsigned inventor
Michael F. Given Name	ρ	HABIC Family Name or Surname	HER
Inv ntor's Aller Hard	\leq		2002 - Feb - 28, Date
Cambridge	Ontario	CANADA	Canadian
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Name of Additional Joint Inventor, if an	ny:	A petition has been f	iled for this unsigned inventor
Quang A.		LUON	NG
Given Name		Family Name or Surname	
Inventor's Signature	_		Date Feb 28,2082
Kitchener	Ontario	CANADA	Canadian
Residence: City	State	Country	Citizenship
295 Phillip Street Mailing Address			
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Name of Additional Joint Inventor, if a	ny:	A petition has been fil	ed for this unsigned inventor
Jonathan T.		MALTO	N
Given Name	٨	Family Name or Surname	
Inv ntor's	1.		Date Ful 28 /2002
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		First Named Inve	entor		I. FISCHER	
	OF ATTORNEY OR	Title		Multifun	ctional Charger	
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MULTIFUNCTIONAL CHARGER SYSTEM AND METHOD

* SUPPLEMENTAL PAGE LISTING ADDITIONAL AGENTS OF RECORD

ADAMO, Kenneth R., Reg. No. 27,299 ARNDT, Barbara E., Reg. No. 37,768 BIERNACKI, John V., Reg. No. 40,511 COCHRAN, David B., Reg. No. 39,142 COOPER, Lorri W., Reg. No. 40,038 FAY, Regan J., Reg. No. 26,878 FEELING, F. Drexel, Reg. No. 40,602 FRANZ, Paul E., Reg. No. 45,910 GRIFFITH, Calvin P., Reg No. 34,831 MAIORANA, David M., Reg. No. 41,449 O'HEARN, Timothy J., Reg. No. 31,552 ROSE, Mitchell, Reg. No. 47,906 SAUER, Joseph M., Reg. No. 47,919 SCANLON, Stephen D., Reg. No. 32,755 SHEAFFER, Jenny F., Reg. No. 45,099 SWITZER, H. Duane, Reg. No. 22,431 VARY, Michael W., Reg. No. 30,811 WAMSLEY, III, James L., Reg. No. 31,578

all of JONES, DAY, REAVIS & POGUE North Point 901 Lakeside Avenue Cleveland, Ohio 44114 US

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PATENT Attorney Docket No. 555255012294

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Daniel M. Fischer, Dan G. Radut, Michael F. Habicher, Quang A. Luong, Jonathan T. Malton

Serial No.: 10/087,629

Filed: March 1, 2002

MULTIFUNCTIONAL CHARGER SYSTEM AND METHOD

Art Unit: Not yet assigned

Examiner: Not yet assigned

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ASSISTANT COMMISSIONER OF PATENTS WASHINGTON, D.C. 20231

AUG 0 7 2002

PETITION FOR FILING BY OTHER THAN ALL THE INVENTORS UNDER 37 CFR § 1.47

In accordance with 37 CFR § 1.47 and MPEP §409.03(a) and (d), applicants

Fischer, Habicher, Luong, and Malton hereby petition the Assistant Commissioner to accept the

filing of this patent application on behalf of themselves and the joint inventor, Dan G. Radut,

who refuses to join in the application for patent. The petition fee of \$130 under 37 CFR

§ 1.17(I) accompanies this petition.

130.00 DP

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

	Debra L. Pejeau	
	Name	
July 29, 2002	Allera X Bejeait	Ĵ
Date	Signature	

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Page 1 of 2

Huawei v. FISI Exhibit 1021 - 129/174

As required by MPEP § 409.03(d), applicants enclose herein proof of the refusal of Mr. Radut to execute the application papers, in the form of a Declaration of David B. Cochran to whom the refusal to sign was made. In the Declaration, Mr. Cochran states that a bona fide attempt was made to present a copy of the application papers to Mr. Radut, and that Mr. Radut refused to sign the application papers. The Declaration by Mr. Cochran is deemed by the applicants to be sufficient proof of the refusal of Mr. Radut to sign.

In accordance with MPEP § 409.03(a) and (d), a Declaration signed by Messrs./Mmes. Fischer, Habicher, Luong and Malton with the signature block of Mr. Radut left blank is enclosed herein. The last known address of Mr. Radut is "300 Regina Street, North, Building 1, Apt. 1207, Waterloo, Ontario N2J 3B8 Canada."

The Assistant Commissioner is hereby authorized to charge any additional fees which may be required by this paper only to Jones, Day Reavis & Pogue Deposit Account No. 501432, order no. 555255012294.

Respectfully Submitted,

David B. Cochran Registration No. 39,142 JONES, DAY, REAVIS & POGUE 901 Lakeside Avenue/North Point Cleveland, OH 44114 (216) 586-3939

Date:

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AUG 0 7 2002 OFFICE OF PETITIONS

Page 2 of 2

CL-692976v1



Attorney Docket No. 555255012294

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Daniel M. Fischer, Dan G. Radut, Michael F. Habicher, Quang A. Luong, Jonathan T. Malton

MULTIFUNCTIONAL CHARGER SYSTEM AND METHOD

Serial No.: 10/087,629

Filed: March 1, 2002

For:

Art Unit:

In re application of:

Not yet assigned

Examiner: Not yet assigned

RECEIVED AUG 0 7 2002 OFFICE OF PETITIONS

ASSISTANT COMMISSIONER OF PATENTS WASHINGTON, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

In response to the Notice to File Missing Parts of Nonprovisional Application,

Filing Date Granted, mailed April 5, 2002, a copy of which is returned herewith, enclosed are the

following papers relating to the above-identified application:

Declaration,

Power of Attorney,

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

•	Debra L. Pejeau
	Name
July 29, 2002	Debra L. Sejian
Date	Signature 0

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400.00 OP

Page 1 of 2.

CL-694794v1

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Petition for Filing By Other Than All The Inventors,

Declaration of David B. Cochran.

The late filing fee/surcharge of \$130 is enclosed, as well as the petition fee of \$130 and the fee for an extension for a response within the second month of \$400. The PTO is hereby authorized to charge any additional fees, or credit any overpayment, to Deposit Account No. 510432, Account 555255012294.

Respectfully submitted,

David B. Cochran Reg. No. 39,142 JONES, DAY, REAVIS & POGUE North Point 901 Lakeside Avenue Cleveland, Ohio 44114 (216) 586-7029

RECEIVED AUG 0 7 2002 OFFICE OF PETITIONS

Page 2 of 2

Huawei v. FISI Exhibit 1021 - 132/174

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER		
10/087,629	03/01/2002	Daniel M. Fischer	555255012294		
F. Drexel Feeling, Esq. Jones, Day, Reavis & Pogue 901 Lakeside Avenue/North Cleveland, OH 44114	e Point	FORMAL	CONFIRMATION NO. 3767 ITIES LETTER		
			Date Mailed: 04/05/2002		
NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION					
FILED UNDER 37 CFR 1.53(b)					

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
 - To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
 - The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENT

Attorney Docket No. 555255012294

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of:Daniel M. Fischer, Dan G. Radut, Michael F. Habicher, Quang A.
Luong, Jonathan T. MaltonSerial No.:10/087,629Filed:March 1, 2002For:MULTIFUNCTIONAL CHARGER SYSTEM AND METHODArt Unit:Not yet assignedExaminer:Not yet assigned

ASSISTANT COMMISSIONER OF PATENTS WASHINGTON, D.C. 20231

RECEIVED

OFFICE OF PETITIONS

DECLARATION OF DAVID B. COCHRAN

I hereby declare and state as follows:

1. I represent Research In Motion Limited ("RIM") in connection with the above-referenced patent application. This application names five inventors, Daniel M. Fischer, Dan G. Radut, Michael F. Habicher, Quang A. Luong, and Jonathan T. Malton.

2. Four of these inventors, Fischer, Habicher, Luong, and Malton, have

signed the Declaration and Power of Attorney documents, which is being submitted to the USPTO along with this paper. Mr. Radut, however, who is no longer in the employ of RIM, refuses to sign the documents despite the fact that he signed an employment contract when beginning his employ obligating him to assist RIM in pursuing any such applications, even after his employment had ceased.

3. Prior to filing this application, a copy thereof was provided to each of the named inventors for their review and approval, including Mr. Radut.

Page 1 of 2

CL-692970v1

Huawei v. FISI Exhibit 1021 - 134/174

Declaration and Power of Attorney, was mailed to Mr. Radut's home address. Mr. Radut refused to sign the documents.

On May 2, 2002, another copy of the application, along with the

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4.

5. Between May 8 and May 15, 2002, Mr. Radut was contacted by telephone on several occasions regarding his willingness to sign the Declaration and Power of Attorney, and he refused to do so.

6. On June 19, 2002, I forwarded another copy of the application and the Declaration and Power of Attorney to Mr. Radut, again asking that he sign and return the papers, by June 27, 2002. I also called him on his home phone number to inquire as to whether he would be signing and returning the papers. He has refused to return any of my phone calls or to return the papers.

7. The last known address of Mr. Radut is 300 Regina Street, North, Building 1, Apt. 1207, Waterloo, Ontario N2J 3B8.

8. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and the such willful false testimony may jeopardize the validity of the application or any patent issuing thereon.

mil B. Cool

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Page 2 of 2

CL-692970v1

Huawei v. FISI Exhibit 1021 - 135/174

UNITED STAT	tes Patent and Tradema		Commissioner for Patents States Patent and Trademark Office Washington, D.C. 20231 www.uspto.gov	
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER	
10/087,629	03/01/2002	Daniel M. Fischer	555255012294	
			CONFIRMATION NO. 3767	

F. Drexel Feeling, Esq. Jones, Day, Reavis & Pogue 901 Lakeside Avenue/North Point Cleveland, OH 44114

Date Mailed: 04/05/2002

FORMALITIES LETTER

OC00000007807051

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center Initial Patent Examination Division (703) 308-1202 PART 3 - OFFICE COPY

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. *		Jones, Day, Reavis & Pogue		····]
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Burgen Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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METHOD OF PAYMENT	T	FEE CALCULATION (continued)					
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104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims over original patent	149	740 249	370	For eacl	h additional invention to be ad (37 CFR § 1.129(b))		
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Name (Print/Type) F. Drexel Feeling	R	egistration i	No. 11	602	Telephone (216) 586-393	0	

 Signature
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 Date
 3/1/62

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 3/1/62

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Title of the Invention

Multifunctional Charger System and Method

Inventors

Daniel M. Fischer

Dan G. Radut

Michael F. Habicher

Quang A. Luong

Jonathan T. Malton

Huawei v. FISI Exhibit 1021 - 139/174

EL647387181US

Multifunctional Charger System and Method

CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims priority from and is related to United States Provisional

5 Application No. 60/273021, entitled "System and Method for Adapting a USB to Provide Power for Charging a Mobile Device," which was filed on March 1, 2001. United States Provisional Application No. 60/273021 is hereby incorporated into the present application by reference.

This application also claims priority from and is related to United States Provisional Application No. 60/330486, entitled "Multifunctional Charger System and Method", which was filed on October 23, 2001. United States Provisional Application No. 60/330486 is hereby incorporated into the present application by reference.

BACKGROUND

1. <u>Field of the Invention</u>

This invention relates generally to power adapters. More particularly, the invention relates to power adapters for use with mobile devices.

2. Description of the Related Art

Providing an external source of power to a mobile device, such as a personal digital assistants ("PDA"), mobile communication device, cellular phone, wireless two-way e-mail communication device, and others, requires design considerations with respect to both the mobile device and the power source. With regard to the mobile device, most mobile devices provide a distinct power interface for receiving power from a power source, for instance to recharge a battery, and a separate data interface for communicating. For example, many mobile devices

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presently use USB (Universal Serial Bus) interfaces for communicating and use a separate power interface, such as a barrel connector, for receiving power.

It is desirable, however, to have a combined power and data interface. The mobile devices that do have combined power and data interfaces typically use non-standard and sometimes proprietary interfaces. Consequently, combined interfaces for a particular manufacturer's mobile device may not be compatible with combined interfaces for mobile devices provided by other manufacturers.

Although the USB interface can be used as a power interface, the USB is typically not used for that purpose by mobile devices. In accordance with the USB specification, typical USB power source devices, such as hubs and hosts, require that a USB device participate in a hostinitiated process called enumeration in order to be compliant with the current USB specification in drawing power from the USB interface. Although a mobile device could be adapted to participate in enumeration when drawing power over the USB interface, it would be preferable in many situations, such as when a host would not be available, as often happens during normal use of a mobile device, to be able to utilize alternate power sources such as conventional AC outlets and DC car sockets that are not capable of participating in enumeration to supply power to the

SUMMARY

An adapter for providing a source of power to a mobile device through an industry standard port is provided. In accordance with one aspect of the invention, the adapter comprises a plug unit, a power converter, a primary connector, and an identification subsystem. The plug unit is operative to couple the adapter to a power socket and operative to receive energy from the

Huawei v. FISI Exhibit 1021 - 141/174

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mobile device via a USB interface.

power socket. The power converter is electrically coupled to the plug unit and is operable to regulate the received energy from the power socket and to output a power requirement to the mobile device. The primary connector is electrically coupled to the power converter and is operative to couple to the mobile device and to deliver the outputted power requirement to the mobile device. The identification subsystem is electrically coupled to the primary connector and is operative to provide an identification signal.

In accordance with another aspect, a USB adapter for providing a source of power to a mobile device through a USB port is provided. The USB adapter comprises a plug unit, a power converter, a primary USB connector, and an identification subsystem. The plug unit is operative to couple the USB adapter to a power socket and operative to receive energy from the power socket. The power converter is electrically coupled to the plug unit and is operable to regulate the received energy from the power socket and to output a power requirement to the mobile device. The primary USB connector is electrically coupled to the power converter and is operative to couple to the mobile device and to deliver the outputted power requirement to the mobile device. The identification subsystem is electrically coupled to the primary connector and is operative to provide an identification signal.

Another aspect provides a USB adapter for providing a source of power to a mobile device through a USB port. The USB adapter comprises a plug unit, a power converter, a primary USB connector, and an auxiliary USB adapter. The plug unit is operative to couple the

20 USB adapter to a power socket and operative to receive energy from the power socket. The power converter is electrically coupled to the plug unit and is operable to regulate the received energy from the power socket and to output a power requirement to the mobile device. The primary USB connector is electrically coupled to the power converter and is operative to couple

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to the mobile device and to deliver the outputted power requirement to the mobile device. The auxiliary USB connector has data lines that are electrically coupled to the data lines of the primary USB connector.

Yet another aspect provides a method for providing energy to a mobile device using a USB adapter that comprises a plug unit, a primary USB connector, a power converter electrically coupled between the plug unit and the primary USB connector, and an identification subsystem electrically coupled to the primary USB connector. The method comprising the steps of coupling the USB connector to the mobile device, coupling the plug unit to a power socket, outputting a power requirement to the mobile device via the power converter and the USB connector, and providing an identification signal to the mobile device, via the identification subsystem and the USB connector, that is operative to inform the mobile device that the USB adapter is not limited by the power limits imposed by the USB specification.

In accordance with another aspect, a powering system for a mobile device having a USB connector is provided. The powering system comprises a power distribution subsystem in the mobile device that is operable to receive energy through the USB connector and to distribute the energy to at least one component in the mobile device and a USB adapter that is operative to couple to the USB connector.. The USB adapter comprises a plug unit for coupling to a power socket and that is operable to receive energy from the power socket, a power converter electrically coupled to the plug unit for regulating the received energy and for providing a power

20 requirement to the power distribution subsystem, and an identification subsystem that is operable to transmit an identification signal that is operative to identify the USB adapter as not being limited by the power limits imposed by the USB specification.

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BRIEF DESCRIPTION OF THE DRAWINGS

In order that the invention identified in the claims may be more clearly understood, preferred embodiments thereof will be described in detail by way of example, with reference to the accompanying drawings, in which:

Fig. 1 is a schematic diagram of an exemplary mobile device which has an industry standard interface;

Fig. 2 is a schematic diagram of a first embodiment of a USB adapter that is coupled to an exemplary mobile device;

Fig. 3 is a flow chart illustrating an exemplary use of a USB adapter with a mobile device; and

Fig. 4 is a schematic diagram of an additional exemplary embodiment of a USB adapter that is coupled to both an exemplary mobile device and an external battery.

DETAILED DESCRIPTION

15 Exemplary Mobile Device

Turning now to the drawing figures, shown in Fig. 1 is a schematic diagram of an exemplary mobile communication device **10** which has an industry standard interface. The mobile communication device **10** is preferably a two-way communication device having at least voice or data communication capabilities. Preferably, the mobile device **10** is also capable of

20 communicating over the Internet, for example, via a radio frequency ("RF") link. Examples of types of devices that could be classified as a mobile device 10 include a data messaging device, a two-way pager, a cellular telephone with data messaging capabilities, a wireless Internet appliance, a data communication device (with or without telephony capabilities), a personal digital assistants ("PDA"), a wireless two-way e-mail communication device, and others.

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The exemplary mobile device 10 comprises a microprocessor 12, a communication subsystem 14, input/output ("I/O") devices 16, an industry standard interface 18 which in this example is a USB port, and a power subsystem 20. The microprocessor 12 controls the overall operation of the mobile device 10. The communication subsystem 14 provides the mobile device 10 with the ability to communicate wirelessly with external devices such as other mobile devices and other computers. The I/O devices 16 provide the mobile device 10 with input/output capabilities for use with a device user. The USB port 18 provides the mobile device 10 with a serial port for linking directly with other computers and/or a means for receiving power from an external power source. The power subsystem 20 provides the mobile device 10 with a local power source.

The exemplary communication subsystem 14 comprises components such as a receiver 22, a transmitter 24, antenna elements 26 and 28, local oscillators (LOs) 30, and a processing module such as a digital signal processor (DSP) 32. The particular design of the communication subsystem 14 and the components used therein can vary. It would be apparent to one of ordinary skill in the art to design an appropriate communication subsystem using conventional methods and components to operate over a communication network 34 based on the parameters necessary to operate over that communication network. For example, a mobile device 10 geographically located in North America may include a communication subsystem 14 designed to operate within the Mobitex[™] mobile communication system or DataTAC[™] mobile communication

20 system, whereas a mobile device 10 intended for use in Europe may incorporate a General Packet Radio Service (GPRS) communication subsystem 14.

Network access requirements will also vary depending upon the type of network **34**. For example, in the Mobitex and DataTAC networks, mobile devices **10** are registered on the

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network using a unique personal identification number or PIN associated with each device. In GPRS networks however, network access is associated with a subscriber or user of a mobile device **10**. A GPRS device therefore requires a subscriber identity module (not shown), commonly referred to as a SIM card, in order to operate on a GPRS network. Without a SIM card, a GPRS device will not be fully functional. Local or non-network communication functions (if any) may be operable, but the mobile device **10** will be unable to carry out any functions involving communications over the network **34**.

When required, after the network registration or activation procedures have been completed, a mobile device 10 may send and receive communication signals over the network 34. Signals received by the receiver antenna 26 through a communication network 34 are input to the receiver 22, which may perform such common receiver functions as signal amplification, frequency down conversion, filtering, channel selection and the like, and in the exemplary system shown in Fig. 1, analog to digital conversion. Analog to digital conversion of a received signal allows more complex communication functions such as demodulation and decoding to be performed in a DSP 32. Similarly, signals to be transmitted are processed, including modulation

and encoding for example, by the DSP **32** and input to the transmitter **24** for digital to analog conversion, frequency up conversion, filtering, amplification and transmission over the communication network **34** via the transmitter antenna **28**.

Also, in the exemplary communication subsystem **14**, the DSP **32** processes communication signals and also provides for receiver and transmitter control. For example, the gains applied to communication signals in the receiver **22** and transmitter **24** may be adaptively controlled through automatic gain control algorithms implemented in the DSP **32**.

In implementing its control function, the microprocessor 12 in the exemplary mobile device 10 executes an operating system. The operating system software used by the microprocessor 12 is preferably stored in a persistent store such as flash memory 36, or alternatively read only memory (ROM) or similar storage element. The microprocessor 12 may also enable the execution of specific device applications, which preferably are also stored in a

persistent store. The operating system, specific device applications, or parts thereof, may also be temporarily loaded into a volatile store such as in RAM **38**.

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A predetermined set of applications which control basic device operations, including at least data and voice communication applications for example, will normally be installed on the mobile device **10** during manufacture. One such application loaded on the mobile device **10** could be a personal information manager (PIM) application. The PIM application preferably is an application for organizing and managing user inputted data items such as e-mail, calendar events, voice mails, appointments, and task items. The PIM data items may be stored in the RAM **38** and/or the flash memory **36**.

The PIM application preferably has the ability to send and receive data items, via the wireless network **34**. The PIM data items are preferably seamlessly integrated, synchronized and updated, via the wireless network **34**, with corresponding data items stored or associated with a host computer system (not shown) used by the device user. The synchronization of PIM data items is a process by which the PIM data items on the mobile device **10** and the PIM data items on the host computer system can be made to mirror each other.

There are several possible mechanisms for loading applications onto the mobile device **10**. For example, applications may be loaded onto the mobile device **10** through the wireless network **34**, an auxiliary I/O subsystem **40**, the serial port **18**, a short-range communications

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subsystem 42, such as an infrared ("IR") communication system, or any other suitable subsystem 44. When loading the applications onto the mobile device 10, the device user may install the applications in the RAM 38, the flash memory 36, or preferably a non-volatile store (not shown) such as ROM for execution by the microprocessor 12. The available application installation

mechanisms can increase the utility of the mobile device **10** by providing the device user with a way of upgrading the mobile device **10** with additional and/or enhanced on-device functions, communication-related functions, or both. For example, a secure communication application may be loaded onto the mobile device **10** that allows for electronic commerce functions or other financial transactions to be performed using the mobile device **10**.

The I/O devices 16 may be used to display and/or compose data communication messages. In one mode of operation, a signal received by the mobile device 10, such as a text message or web page download, will be received and processed by the communication subsystem 14, forwarded to the microprocessor 12, which will preferably further process the received signal, and provide the processed signal to one or more of the I/O devices 16 such as a

display 46. Alternatively, a received signal such as a voice signal can be provided to a speaker 48, or alternatively to an auxiliary I/O device 40. In another mode of operation a device user may compose a data item such as an e-mail message using a keyboard 50 in cooperation with the display 46 and possibly an auxiliary I/O device 40. Alternatively, a device user may compose a voice message via a microphone 52. The composed data item may then be transmitted over a

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communication network 34 using the communication subsystem 14.

A short-range communications subsystem 42 may be provided in the mobile device 10 to allow the mobile device 10 to communicate with other systems or devices, which need not necessarily be similar to device 10. For example, the short-range communications subsystem 42

may include an infrared device and associated circuitry and components or a Bluetooth[™] communication module to allow the device **10** to communicate with similarly-enabled systems and devices.

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The USB port 18 provides the mobile device 10 with a serial port for linking directly with other computers to exchange data and/or to receive power. The USB port 18 also provides the mobile device 10 with a means for receiving power from an external power source. For example, in a personal digital assistant (PDA)-type communication device, the USB port 18 could be used to allow the mobile device 10 to synchronize data with a user's desktop computer (not shown). The USB port 18 could also enable a user to set parameters in the mobile device 10 such as preferences through the use of an external device or software application. In addition the USB port 18 may also be used to provide a means for downloading information or software to the mobile device 10 without using the wireless communication network 34. The USB port 18 can provide a direct and thus reliable and trusted connection that may for example be used to load an encryption key onto the mobile device 10 thereby enabling secure device

15 communication.

Coupled to the USB port 18 is a USB connector 54. The USB connector 54 is the physical component that couples the USB port to the outside world. In the exemplary mobile device 10, the USB connector 54 is used to transmit and receive data from an external data/power source 56, receive power from the external data/power source 56, direct the

20 transmitted/received data from/to the USB port 18, and direct the received power to the power subsystem 20.

The exemplary power subsystem **20** comprises a charging and power distribution subsystem **58** and a battery **60**. The charging and power distribution subsystem **58** performs

components within the mobile device 10. The charging subsystem 58 may be capable of

determining the presence of a battery 60 and/or a power circuit coupled to the mobile device 10, such as an AC adapter, USB connection, or car adapter, which alternatively can act as power sources 56 to provide power for the mobile device 10 and to charge the battery 60. Additionally, the charging subsystem 58 may have the ability to determine if a power source 56 is coupled to the mobile device 10 and, in the absence of such a coupling, cause the mobile device 10 to be powered by the battery 60.

many functions. It may be used to transfer energy to the battery 60 from the external data/power

source 56 to charge the battery 60 and also to distribute power to the many power requiring

The power distributed by the charging and power distribution subsystem 58 may be derived from energy stored in the battery 60 and/or energy received from the external data/power source 56. When the battery 60 is depleted, the charging and power distribution subsystem 58 transfers energy from the power source 56 to recharge the battery 60. Optionally, the charging and power distribution subsystem 58 may also transfer energy from the power source 56 to other components in the mobile device 10 to power the mobile device 10 when the battery 60 has been depleted and is recharging. When the data/power source 56 is not connected to the mobile device 10, power for the device 10 is derived from the battery 60.

Exemplary USB Adapter

Fig. 2 is a schematic diagram of a first embodiment of an adapter 100 that can be used to 20 couple the mobile device 10 of fig. 1 to the data/power source 56 of fig. 1. In this example the adapter 100 is a USB adapter 100 that comprises a primary USB connector 102, a power converter 104, a plug unit 106, and an identification subsystem 108. The power converter is a known element in the art and typically includes at least one of the following components: switching converter, transformer, DC source, voltage regulator, linear regulator and rectifier. In

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the embodiment shown in fig. 2, the USB adapter **100** is shown coupling a mobile device **10** to one of one or more types of power sockets **110N**, **110D**, **110B**, and **100**. Also shown in fig. 2 is an optional auxiliary USB connector **112** that can be used to couple the mobile device **10** to a data source (not shown) such as a personal computer.

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In the embodiment shown in fig. 2, the primary USB connector **102** is configured to mate with the USB connector **54** of the mobile device **10**. The USB adapter **100** is operable to provide power to the mobile device **10** through the Vbus and Gnd power pins in the USB connectors **54** and **102**. The USB adapter **100** also optionally provides a communication path for data across the D+ and D- data pins in the USB connectors **54** and **102**.

The plug unit **106** is preferably a conventional plug unit that can be used to couple with a conventional power socket to receive power therefrom. For example, the plug unit **106** can be a two prong or three prong plug of the type used in North America that can couple to a North American AC power socket **110N** that provides 115 VAC. In the embodiment shown in figure 2, the plug unit **106** can accept one or more types of plug adapters **114N**, **114B**, **114D**, and **114** that are configured to couple to the plug unit **106** and are further configured to directly mate with one or more types of power sockets **110N**, **110D**, **110B**, and **100**. The plug unit **106** can be configured to receive energy from a power socket **110N**, **110D**, **110B**, or **100**, either directly or through the use of a plug adapter, and is operative to transfer the received energy to the power converter **104**.

The power converter 104 is operative to receive energy from a power socket 110N, 110D, 110B, or 100 and to convert that received energy to a form that can be used by the mobile device 10. For example, the power converter 104 can be of conventional construction such as a switching power converter that converts 115 VAC to 5 VDC. Also, the power converter 104

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could comprise a D.C. regulator circuit that converts a D.C. input to a D.C. output. The power converter **104** could also be adapted to accept a wide range of input energy levels and frequencies. Alternatively, the power converter **104** could be adapted to accept a limited range of input energy levels and frequencies, wherein the plug adapters are operable to convert the

possible input energy levels and frequencies to a range that the power converter can accommodate. The power converter **104** provides its energy output to the mobile device **10** via the Vbus and Gnd pins of the primary USB connector **102**.

Through the use of a variety of different types of plug adapters, the USB adapter **100** can be adapted to receive energy from various types of power sockets **110N**, **110D**, **110B**, or **100**. For example, using the appropriate plug adapter **114**, **114B**, **114D**, and **114N**, the USB adapter **100** can receive energy from a power socket such as an 115 VAC North American power socket **110N**, or a 12 VDC automobile power socket, or an air power socket, or others.

For example, in North America, a type "N" power socket is commonly available. The plug adapter 114N can be releasably attached to the plug unit 106 thereby allowing any North American power socket 114N to be used as a power source. When traveling to a locale which does not have the North American power socket 114N, an alternate plug adapter such as adapters 114, 114B, or 114D may be selected by the user, according to the power socket 110D, 110B, or 100 available at the locale. The plug adapter 114, 114B, or 114D may then be releasably attached to plug unit 106 in place of the plug adapter 114N, thereby allowing the USB power

20 adapter **100** to connect to a local power supply via the local power socket . Various other plug adapters are envisioned that can be configured to operate with alternate power sources such as for instance car sockets.

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The power distribution and charging subsystem **58** of the mobile device **10** can selectively use the power provided on the Vbus and Gnd lines of the USB connector **54** to provide power to the mobile device **10**, charge the battery **60**, or both. A more detailed discussion of how the charging function of mobile device **10** can be implemented is described in

United States Provisional Application No. 60/273021 filed on March 1st, 2001 and entitled "System and Method for Adapting a USB to Provide Power for Charging a Mobile Device" which has been incorporated herein by reference.

Typically when a mobile device **10** receives power over the USB from a USB host, it is required to draw power in accordance with the USB specification. The USB specification specifies a process for transferring energy across the USB called enumeration and limits the electrical current that can flow across the USB.

The USB adapter **100** contributes to a system wherein a device **10** that follows the USB specification when coupled to a typical USB host via its USB port can be informed that the USB adapter **100** has been coupled to the device **10** and that the device **10** can now draw power without regard to the USB specification and the USB specification imposed limits.

The identification subsystem **108** provides an identification signal to the mobile device **10** that the power source is not a USB limited source. The identification signal could be the communication of a single voltage on one or more of the USB data lines, different voltages on the two data lines, a series of pulses or voltage level changes, or other types of electrical signals.

20 The identification subsystem **108** that generates the identification signal could have multiple types of configurations. In one embodiment, the identification subsystem **108** comprises a hardwired connection of a single voltage level to both data lines. In another embodiment, the identification subsystem **108** comprises a USB controller that is operable to communicate an

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identification signal to the mobile device. Additional embodiments are contemplated. The identification subsystem **108** may optionally be configured to have the capability of electrically connecting or disconnecting the power output from the power converter **104** from the USB connector **102** and/or to connect or disconnect any data inputs from the USB adapter **100** to the

5 USB connector 102.

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In addition to providing power to the mobile device **10** over the primary USB connector **102**, the USB adapter **100** may optionally be equipped with an auxiliary USB connector **112** that allows the USB adapter **100** to create a communication path between the mobile device **10** and some other device capable of communicating over the USB such as a personal computer, another mobile device or some other type of device.

The USB adapter 100 preferably provides a communication path between the D+ and Dpins of the Primary USB connector 102 and the D+ and D- pins of the auxiliary USB connector 112. In the embodiment shown, the communication path also traverses the identification subsystem 108. Alternatively, the communication path could bypass the identification

subsystem 108. The USB adapter 100 can thus act as a pass through device for communicationbetween a USB hub or host and a mobile device 10.

Optionally, the USB adapter **100** could also transfer energy from the power converter **104** to the auxiliary USB connector **112** thereby providing a device coupled to the auxiliary USB connector **112** with power. In this arrangement, the identification subsystem **108** could also

20 provide an identification signal to the device coupled to the auxiliary USB connector **112** to inform that device that the power source is not a USB limited source.

Exemplary Illustration Of The Use of A USB Adapter With A Mobile Device

When a USB adapter 100 is connected to a mobile device 10, the identification subsystem 108 of the USB adapter 100 preferably provides an identification signal to the mobile

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device 10 to notify the mobile device 10 that the device 10 is connected to a power source that is not subject to the power limits imposed by the USB specification. Preferably, the mobile device 10 is programmed to recognize the identification signal and therefore recognizes that an identification signal has been transmitted by the USB adapter 100. After recognizing a valid identification signal, the mobile device 10, draws power through the USB adapter 100 without waiting for enumeration or charge negotiation.

The detection of the identification signal may be accomplished using a variety of methods. For example, the microprocessor 12 may detect the identification signal by detecting the presence of an abnormal data line condition at the USB port 18. The detection may also be accomplished through the use of other device subsystems 44 in the mobile device 10. The preferred identification signal results from the application of voltage signals greater than 2 volts to both the D+ and D- lines in the USB connector. The preferred method of identification is described below in greater detail with reference to Fig. 3.

At step 210, the mobile device 10 detects the presence of a voltage on the Vbus line of the USB connector 54 via the USB port 18. At step 220, the mobile device checks the state of the D+ and D- lines of the USB connector. In the example shown in the drawings, the D+ and D- lines are compared to a 2V reference. Also, in this example, the identification subsystem 108 of the USB adapter 100 may have applied a logic high signal, such as +5V reference, to both the D+ and D- lines to identify the attached device as a USB adapter 100. If the voltages on both the D+ and D- lines of the USB connector are greater than 2 Volts (step 220), then the mobile device 10 determines that the device connected to the USB connector 54 is not a typical USB host or

hub and that a USB adapter 100 has been detected (step 230). The mobile device 10 can then

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charge the battery or otherwise use power provided via the Vbus and Gnd lines in the USB connector 54 (step 260) without waiting for enumeration.

If, however, after the mobile device 10 detects the presence of a voltage on the Vbus line of the USB connector 54 and determines that the voltages on both the D+ and D- lines of the USB connector are not greater than 2 Volts (step 220), then the mobile device 10 determines that a USB host or hub has been detected (step 240). A typical USB host or hub weakly holds its D+ and D- lines at zero volts when it is not connected to another device. The mobile device 10 can then signal the USB host or hub to initiate the enumeration process (step 250) and can charge the battery or otherwise use power provided via the Vbus and Gnd lines in the USB connector (step 260) in accordance with the power limits imposed by the USB specification. The enumeration process is typically initiated after the mobile device 10 applies approximately zero volts to the Dline and approximately 5 volts to the D+ line to inform the host of the mobile device's 10 presence and communication speed.

Therefore, when a USB adapter 100 is coupled to the mobile device 10 and has been identified as a USB adapter 100, the mobile device 10 can forego the enumeration process and 15 charge negotiation process and immediately draw energy from the USB power adapter 100 at a desired rate, for instance at 5 unit loads, i.e. 500mA. While the mobile device 10 charges its battery using the USB adapter 100, the mobile device 10 can disable its typical USB functions. If, however, the mobile device 10 detects that a USB host or hub is coupled to the mobile device 10, the mobile device 10 can apply a voltage to the D+ line to indicate to the USB host or hub that the mobile device 10 is coupled thereto and await enumeration and USB charge negotiation.

If the USB adapter 100, is coupled to the mobile device 10, and the mobile device 10 does not identify the USB adapter 100 through communications with the identification module

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108, the mobile device 10 may stop drawing energy from the Vbus and Gnd lines of the USB connector 54. This may occur, for example, if the mobile device 10 is not programmed to identify the USB adapter 100. The mobile device 10 may mistakenly identify the USB adapter 100 as a typical USB host or hub and await enumeration before drawing substantial energy. To guard against this, the USB adapter 100 can optionally be adapted to function with mobile devices that are not programmed to recognize the USB adapter 100.

In that scenario, the USB adapter 100 can be adapted to provide energy to a mobile device by using the knowledge that the mobile device will draw energy from a connected device for a period of time before it stops drawing energy due to lack of enumeration. The USB adapter 100 can optionally provide power for charging a battery 60 in a mobile device by periodically switching the voltages on the Vbus and Gnd lines between on and off states. When the USB adapter 100 is coupled to the mobile device, the identification subsystem 108 can apply an onvoltage (5 V for example) between the Vbus and Gnd lines. The mobile device will draw energy while awaiting enumeration. After a period of time, the identification subsystem 108 can apply an off-voltage (0 volts) between the Vbus and Gnd lines thereby fooling the mobile device into determining that the unidentified USB device has been disconnected from the mobile device. The identification subsystem 108 can then reapply an on-voltage between the Vbus and Gnd lines. The mobile device will draw energy again while awaiting enumeration. This cycle can be repeated to periodically apply energy to the mobile device, for example, to recharge the battery 60 of the mobile device.

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Additional Exemplary Embodiments Of USB Adapters

Shown in fig. 4 is a schematic diagram of an additional exemplary embodiment of a USB adapter 300 that is coupled to a mobile device 10. The exemplary USB adapter 300 comprises a USB connector 302, a power converter 304, a plug unit 306, and an identification subsystem

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308. The USB connector **302**, plug unit **306**, and identification subsystem **308**, preferably correspond to the USB connector **102**, plug unit **106**, and identification subsystem **108** which were described earlier with respect to the first embodiment. Similar to the first embodiment, the additional embodiment may optionally be equipped with various plug adapters **314N**, **314D**,

314B, and **314** that preferably are releasably attachable to plug unit **306** so that the appropriate plug adapter **314N**, **314D**, **314B**, or **314** can be selected by a user to allow the USB adapter **300** to couple to and receive energy from an available power socket **310N**, **310D**, **310B**, or **310**. The exemplary USB power converter **300** further comprises a charging subsystem **316** and battery receptacle **318** for coupling the USB adapter **300** to an external battery **320** that may be optionally coupled thereto.

The battery receptacle **318** provide a location for releasably coupling an external battery **320** thereto so that the external battery can be charged via the USB adapter **300**. This provides the USB adapter **300** with a mechanism for charging, for example, a mobile device's primary or spare battery when the battery has been separated from or is not coupled to the mobile device **10**.

To accommodate this functionality, the power converter **304** is capable of providing the proper voltage levels for the USB connector **302** and also capable of providing necessary voltage and current levels to drive a battery charging subsystem **316**. The power converter **304** is preferably a dual power converter that may be constructed using conventional or non-conventional architectures. With respect to the portion of the power converter **304** that provides energy to the USB connector **302**, that portion is preferably similar in construction and function to the power converter **104** of the first embodiment.

Preferably, the charging subsystem **316** performs in a substantially similar manner to charging subsystem **58** of the mobile device **10**. But, for efficiency and simplicity of design,

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certain aspects of the dual power converter **304** and the charging subsystem **316** may be combined, as both are local to the USB adapter **300**.

Other alternative embodiments of the USB adapter may include various combinations of components described above with respect to the first and additional embodiments. Another embodiment of the USB adapter may include a second or more auxiliary USB connectors. A USB adapter having one or more auxiliary USB connectors may optionally be configured such that one or more of the auxiliary USB connectors may have power from the USB adapter's power converter made available to it so that multiple USB devices may draw power simultaneously. Preferably, a USB adapter having multiple auxiliary USB connectors will be configured such that the data lines in the auxiliary connectors can, on a selective basis, be electrically connected to or disconnected from the data lines in the primary USB connector. This allows a mobile device connected to the primary USB connector to receive energy from the adapter regardless of whether a USB host or hub is connected to an auxiliary USB connector. It is also contemplated that a USB adapter may be embodied in a USB host or hub.

15 Conclusion

The embodiments described herein are examples of structures, systems or methods having elements corresponding to the elements of the invention recited in the claims. This written description may enable those skilled in the art to make and use embodiments having alternative elements that likewise correspond to the elements of the invention recited in the

20 claims. The intended scope of the invention thus includes other structures, systems or methods that do not differ from the literal language of the claims, and further includes other structures, systems or methods with insubstantial differences from the literal language of the claims. Although the embodiments have been described with reference to the USB interface, it is

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contemplated that the invention could be applicable to devices and systems that use other standard interfaces such as the IEEE 1394 interface.

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The following is claimed:

1. A Universal Serial Bus ("USB") adapter for providing a source of power to a mobile device through a USB port, comprising:

a plug unit for coupling to a power socket and for receiving energy from the power socket;

a power converter electrically coupled to the plug unit, the power converter being operable to regulate the received energy from the power socket and to output a power requirement to the mobile device;

a primary USB connector electrically coupled to the power converter for connecting to the mobile device and for delivering the power requirement to the mobile device; and

an identification subsystem electrically coupled to the primary USB connector for providing an identification signal at one or more data lines of the primary USB connector.

2. The USB adapter of claim 1, wherein the plug unit is configured to couple directly with the power socket.

3. The USB adapter of claim 2, wherein the plug unit is configured to couple to at least one power socket selected from the group consisting of: North American power socket, United Kingdom power socket, European power socket, Australian power socket, airplane power socket, and automobile power socket.

4. The USB adapter of claim 1, further comprising a plug adapter that is configured to couple the plug unit to the power socket.

5. The USB adapter of claim 4, wherein the plug adapter is configured to couple to at least one power socket selected from the group consisting of: North American power socket, United Kingdom power socket, European power socket, Australian power socket, airplane power socket, and automobile power socket.

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6. The USB adapter of claim 1 wherein the identification signal comprises a voltage level that is applied to at least one of the data lines in the primary USB connector.

7. The USB adapter of claim 6 wherein the identification signal comprises a logic high signal on the D+ data line and a logic high signal on the D- data line.

8. The USB adapter of claim 1 wherein the identification subsystem comprises a hard-wired connection of a voltage level to one or more data lines in the primary USB connector.

9. The USB adapter of claim 1 wherein the identification subsystem comprises a USB controller that is operable to provide a voltage level to one or more data lines in the primary USB connector.

10. The USB adapter of claim 1, wherein the identification subsystem further comprises a switch that is operable to couple electrically the power requirement output from the power converter to the primary USB connector.

11. The USB adapter of claim 10, wherein the identification system is operable to cause the switch to disconnect the power requirement output from the primary USB connector.

12. The USB adapter of claim 11, wherein the identification system is operable to cause the switch to reconnect the power requirement output to the primary USB connector.

13. The USB adapter of claim 1, further comprising an auxiliary USB connector.

14. The USB adapter of claim 13, wherein the data lines of the auxiliary USB connector are coupled to the data lines of the primary USB connector via the identification subsystem.

15. The USB adapter of claim 13, wherein the power converter is operable to output a power requirement to the auxiliary USB connector.

16. The USB adapter of claim 1, wherein the USB adapter is integrated with a USB hub or host.

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17. The USB adapter of claim 1, further comprising:

a battery receptacle for providing a location at which to attach a rechargeable battery; and

a battery charging subsystem electrically coupled between the battery receptacle and the power converter, the battery charging subsystem being operable to receive energy from the power converter and to provide power at the battery receptacle.

18. The USB adapter of claim 1, wherein the power converter comprises at least one component selected from the group consisting of: switching converter, transformer, DC source, voltage regulator, linear regulator and rectifier.

19. A method for providing energy to a mobile device using a USB adapter that comprises a plug unit, a primary USB connector, a power converter electrically coupled between the plug unit and the primary USB connector, and an identification subsystem electrically coupled to the primary USB connector, the method comprising the steps of:

coupling the USB connector to the mobile device;

coupling the plug unit to a power socket;

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outputting a power requirement to the mobile device via the power converter and the USB connector; and

providing an identification signal to the mobile device, via the identification subsystem and the USB connector, that is operative to inform the mobile device that the USB adapter is not limited by the power limits imposed by the USB specification.

20. The method of claim 19, further comprising the step of:

detecting the presence of the identification signal by the mobile device.

21. The method of claim 19, further comprising the step of:

electrically disconnecting the power requirement from the USB connector.

22. The method of claim 21, further comprising the step of:

electrically reconnecting the power requirement to the USB connector to allow the power requirement to be outputted to the mobile device.

23. A powering system for a mobile device having a USB connector; comprising:

a power distribution subsystem in the mobile device that is operable to receive energy through the USB connector and to distribute the energy to at least one component in the mobile device; and

a USB adapter for coupling to the USB connector, the USB adapter comprising a plug unit for coupling to a power socket and that is operable to receive energy from the power socket, a power converter electrically coupled to the plug unit for regulating the received energy and for providing a power requirement to the power distribution subsystem, and an identification subsystem that is operable to transmit an identification signal that is operative to identify the USB adapter as not being limited by the power limits imposed by the USB specification.

24. The system of claim 23, further comprising a charging subsystem in the USB power adapter configured to couple the power converter to a battery receptacle to directly charge a rechargeable battery.

25. A Universal Serial Bus ("USB") adapter for providing a source of power to a mobile device through a USB port, comprising:

a plug unit for coupling to a power socket and for receiving energy from the power socket;

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a power converter electrically coupled to the plug unit, the power converter being operable to regulate the received energy from the power socket and to output a power requirement to the mobile device;

a primary USB connector electrically coupled to the power converter for connecting to the mobile device and for delivering the outputted power requirement to the mobile device; and

an auxiliary USB connector having data lines that are electrically coupled to the data lines of the primary USB connector.

26. The USB adapter of claim 25 further comprising an identification subsystem electrically coupled to the primary USB connector for providing an identification signal at one or more data lines of the primary USB connector.

27. The USB adapter of claim 26 wherein the identification signal comprises a voltage level that is applied to at least one of the data lines in the primary USB connector.

28. The USB adapter of claim 27 wherein the identification signal comprises a logic high signal on the D+ data line and a logic high signal on the D- data line.

29. The USB adapter of claim 26 wherein the identification subsystem comprises a hardwired connection of a voltage level to one or more data lines in the primary USB connector.

30. The USB adapter of claim 26 wherein the identification subsystem comprises a USB controller that is operable to provide a voltage level to one or more data lines in the primary USB connector.

31. The USB adapter of claim 26 wherein the identification subsystem further comprises a switch that is operable to electrically couple the power requirement output from the power converter to the primary USB connector.

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32. The USB adapter of claim 31 wherein the identification system is operable to cause the switch to disconnect the power requirement output from the primary USB connector.

33. The USB adapter of claim 32 wherein the identification system is operable to cause the switch to reconnect the power requirement output to the primary USB connector.

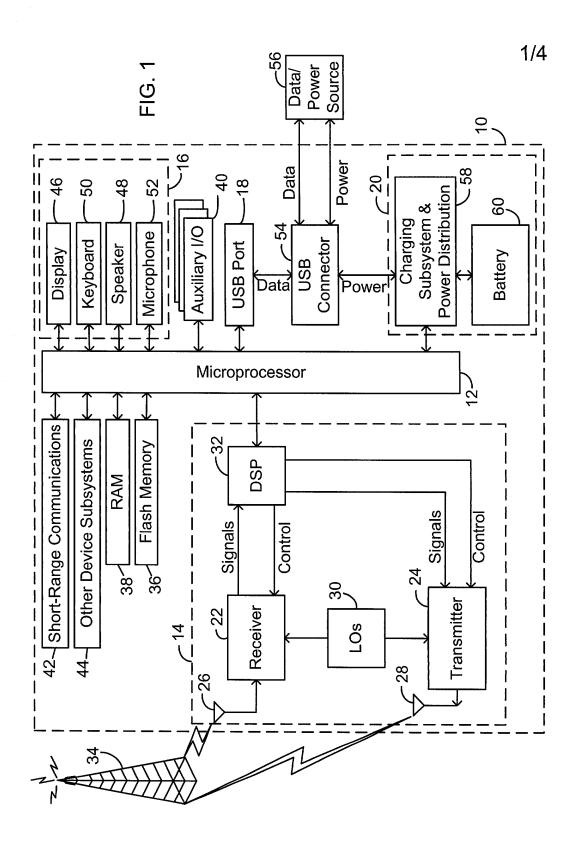
34. The USB adapter of claim 25 wherein the power converter is operable to output a power requirement to the auxiliary USB connector.

35. The USB adapter of claim 25 further comprising:

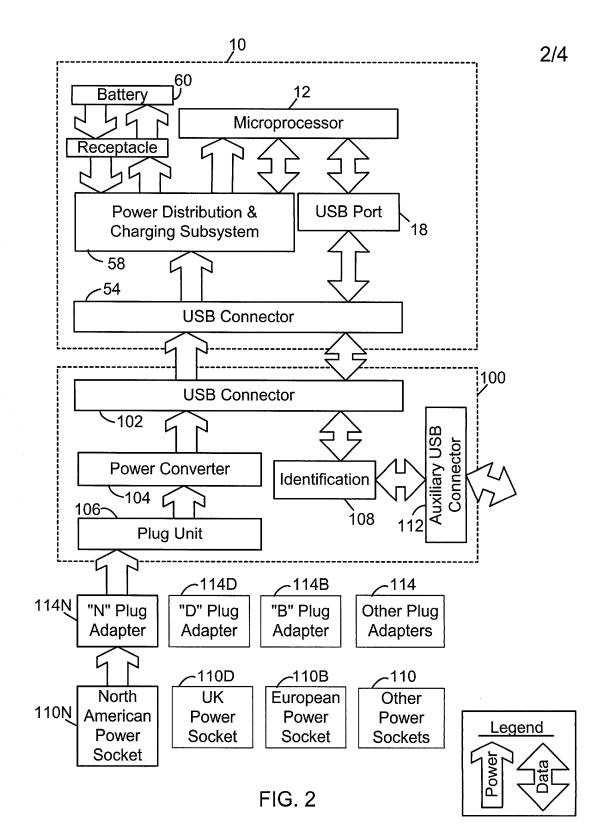
a battery receptacle for providing a location at which to attach a rechargeable battery; and a battery charging subsystem electrically coupled between the battery receptacle and the power converter, the battery charging subsystem being operable to receive energy from the power converter and to provide a charge at the battery receptacle.

36. The USB adapter of claim 25 wherein the power converter comprises at least one component selected from the group consisting of: switching converter, transformer, DC source, voltage regulator, linear regulator and rectifier.

ABSTRACT

An adapter for providing a source of power to a mobile device through an industry standard port is provided. In accordance with one aspect of the invention, the adapter comprises a plug unit, a power converter, a primary connector, and an identification subsystem. The plug unit is operative to couple the adapter to a power socket and operative to receive energy from the power socket. The power converter is electrically coupled to the plug unit and is operable to regulate the received energy from the power socket and to output a power requirement to the mobile device. The primary connector is electrically coupled to the power converter and is operative to couple to the mobile device and to deliver the outputted power requirement to the mobile device. The identification subsystem is electrically coupled to the primary connector and is operative to provide an identification signal. 

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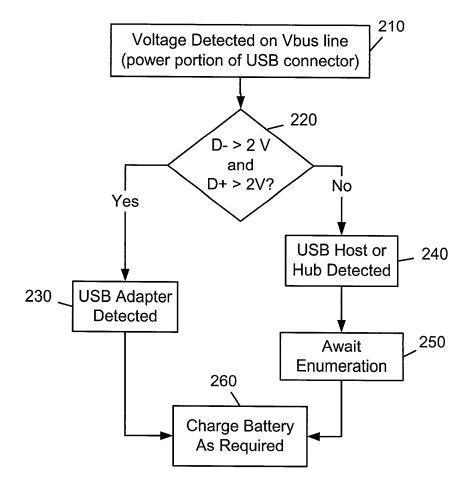
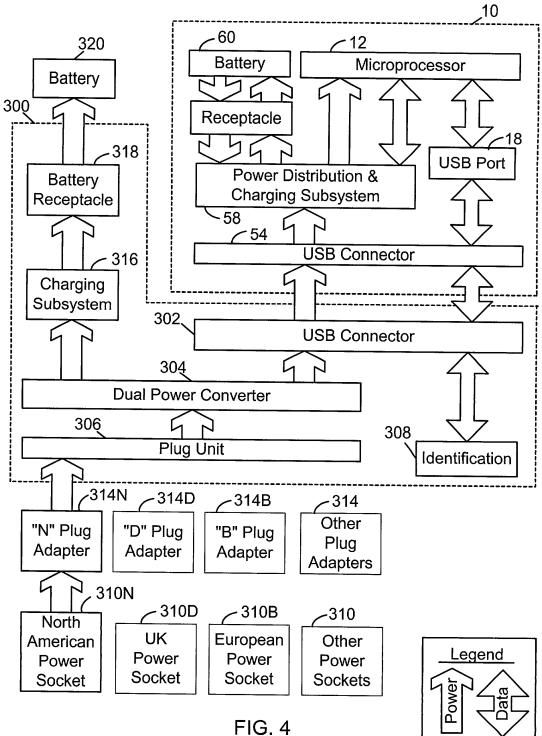


FIG. 3

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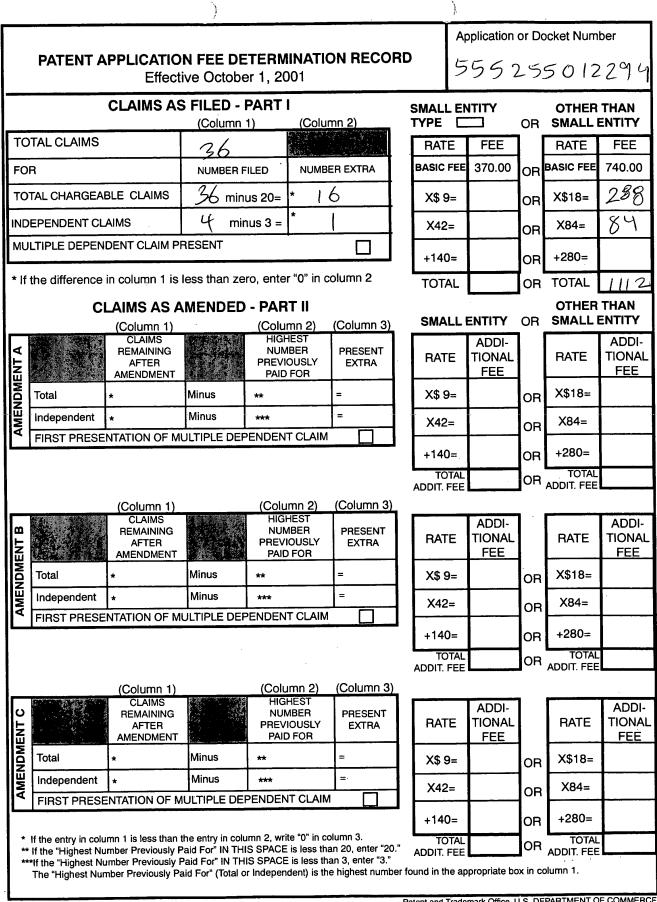
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02	FC:102	84.00	CH
03	FC:103	288.00	CH

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