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Page 1
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                  PTAB CONFERENCE CALL - 11/7/18
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          UNITED STATES PATENT AND TRADEMARK OFFICE
           BEFORE THE PATENT TRIAL AND APPEAL BOARD
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    VIZIO, INC.,
 7
                Petitioner
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                                         Case No.
                                         IPR2018-00437
     vs.
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     NICHIA CORPORATION,
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                                        Patent No.
                Patent Owner
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                                        9,537,071 B2
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                      PTAB CONFERENCE CALL
                        November 7, 2018
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    REPORTED BY: CARRIE LAMONTAGNE, CSR No. 13393
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     JOB NO. 150890
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Page 2
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                  PTAB CONFERENCE CALL - 11/7/18
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                         November 7, 2018
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                            12:02 p.m.
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                PTAB conference call, held before
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     Administrative Patent Judges William V. Saindon,
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     Sally C. Medley, and Nathan A. Engels, before Carrie
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     LaMontagne, a certified shorthand reporter for the
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     state of California.
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Page 3
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                 PTAB CONFERENCE CALL - 11/7/18
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    APPEARANCES:
          ROPES & GRAY
          Attorneys for Petitioner
 6
                   1900 University Avenue
 7
                  East Palo Alto, California 94303
 8
          BY:
                GABRIELLE HIGGINS, ESQ.
 9
                CHRISTOPHER BONNY, ESQ.
10
11
12
          ROTHWELL FIGG
13
          Attorneys for Patent Owner
14
                   607 14th Street, N.W.
15
                  Washington, DC 20005
16
          BY:
                MARTIN ZOLTICK, ESQ.
17
                MICHAEL JONES, ESQ.
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- 1 PTAB CONFERENCE CALL 11/7/18
- JUDGE SAINDON: Hello, this is Judge
- 3 Saindon. This is a conference call for
- 4 IPR2018-00437. With me on the line are Judges
- 5 Engles and Medley. I can hear a lot of feedback and
- 6 static. So if the parties don't mind muting
- themselves when they're not talking, that would
- 8 help.
- <sup>9</sup> I'd like to do a quick roll call. Patent
- owner.
- MR. ZOLTICK: Yes, your Honor, it's
- 12 Marty Zoltick and Michael Jones for patent owner
- 13 Nichia.
- JUDGE SAINDON: Okay. Welcome to the
- $^{15}$  call.
- MR. ZOLTICK: Thank you, your Honor.
- JUDGE SAINDON: Petitioner.
- MS. HIGGINS: Good afternoon, your Honor.
- 19 This is Gabrielle Higgins and Christopher Bonny for
- 20 petitioner Vizio.
- JUDGE SAINDON: Okay. Welcome to the
- <sup>22</sup> call.
- Has either party secured a court reporter?
- MS. HIGGINS: Yes, your Honor, there is a
- 25 court reporter on the line.



- 1 PTAB CONFERENCE CALL 11/7/18
- JUDGE SAINDON: Okay. Welcome. What I'd
- $^3$  like to ask the parties to do is whoever was
- 4 responsible for the court reporter to have the
- 5 transcript, once it's complete, uploaded as an
- exhibit. And then also after the panel is off line
- and the call is over, if the parties don't mind
- 8 staying on for just a moment to ask the court
- 9 reporter if there's any spellings or any issues like
- that that can be clarified. All right. Let's get
- 11 started.
- I have the e-mail in front of me. I'd like to
- take the issue in series. We'll start with the one
- patent owner brings up regarding some IDSes as well
- as invalidity contentions of petitioner in the
- 16 district court.
- So patent owner, if you don't mind going first,
- 18 just give us a quick background of what exactly is
- going on here. Thank you.
- MR. ZOLTICK: Sure. Thank you, your
- Honor. It's Marty Zoltick.
- So this first issue is basically a request for
- the board's guidance. We filed a contingent motion
- to amend with some substitute claims. We are aware
- $^{25}$  of 37 CFR 42.11 is duty of candor. There are



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