```
Page 1
1
                  PTAB CONFERENCE CALL - 11/7/18
 2
          UNITED STATES PATENT AND TRADEMARK OFFICE
           BEFORE THE PATENT TRIAL AND APPEAL BOARD
 5
    VIZIO, INC.,
 7
                Petitioner
 8
                                         Case No.
                                         IPR2018-00437
     vs.
10
     NICHIA CORPORATION,
11
                                        Patent No.
                Patent Owner
12
                                        9,537,071 B2
13
14
15
16
17
                      PTAB CONFERENCE CALL
                        November 7, 2018
18
19
20
21
22
23
24
    REPORTED BY: CARRIE LAMONTAGNE, CSR No. 13393
25
     JOB NO. 150890
```



```
Page 2
1
                  PTAB CONFERENCE CALL - 11/7/18
 2
 5
 6
                         November 7, 2018
 7
                            12:02 p.m.
 8
 9
                PTAB conference call, held before
10
     Administrative Patent Judges William V. Saindon,
11
     Sally C. Medley, and Nathan A. Engels, before Carrie
12
     LaMontagne, a certified shorthand reporter for the
13
     state of California.
14
15
16
17
18
19
20
21
22
23
24
25
```



```
Page 3
1
                 PTAB CONFERENCE CALL - 11/7/18
 2
    APPEARANCES:
          ROPES & GRAY
          Attorneys for Petitioner
 6
                   1900 University Avenue
 7
                  East Palo Alto, California 94303
 8
          BY:
                GABRIELLE HIGGINS, ESQ.
 9
                CHRISTOPHER BONNY, ESQ.
10
11
12
          ROTHWELL FIGG
13
          Attorneys for Patent Owner
14
                   607 14th Street, N.W.
15
                  Washington, DC 20005
16
          BY:
                MARTIN ZOLTICK, ESQ.
17
                MICHAEL JONES, ESQ.
18
19
20
21
22
23
24
25
```



- 1 PTAB CONFERENCE CALL 11/7/18
- JUDGE SAINDON: Hello, this is Judge
- 3 Saindon. This is a conference call for
- 4 IPR2018-00437. With me on the line are Judges
- 5 Engles and Medley. I can hear a lot of feedback and
- 6 static. So if the parties don't mind muting
- themselves when they're not talking, that would
- 8 help.
- <sup>9</sup> I'd like to do a quick roll call. Patent
- owner.
- MR. ZOLTICK: Yes, your Honor, it's
- 12 Marty Zoltick and Michael Jones for patent owner
- 13 Nichia.
- JUDGE SAINDON: Okay. Welcome to the
- $^{15}$  call.
- MR. ZOLTICK: Thank you, your Honor.
- JUDGE SAINDON: Petitioner.
- MS. HIGGINS: Good afternoon, your Honor.
- 19 This is Gabrielle Higgins and Christopher Bonny for
- 20 petitioner Vizio.
- JUDGE SAINDON: Okay. Welcome to the
- <sup>22</sup> call.
- Has either party secured a court reporter?
- MS. HIGGINS: Yes, your Honor, there is a
- 25 court reporter on the line.



- 1 PTAB CONFERENCE CALL 11/7/18
- JUDGE SAINDON: Okay. Welcome. What I'd
- $^3$  like to ask the parties to do is whoever was
- 4 responsible for the court reporter to have the
- 5 transcript, once it's complete, uploaded as an
- exhibit. And then also after the panel is off line
- and the call is over, if the parties don't mind
- 8 staying on for just a moment to ask the court
- 9 reporter if there's any spellings or any issues like
- that that can be clarified. All right. Let's get
- 11 started.
- I have the e-mail in front of me. I'd like to
- take the issue in series. We'll start with the one
- patent owner brings up regarding some IDSes as well
- as invalidity contentions of petitioner in the
- 16 district court.
- So patent owner, if you don't mind going first,
- 18 just give us a quick background of what exactly is
- going on here. Thank you.
- MR. ZOLTICK: Sure. Thank you, your
- Honor. It's Marty Zoltick.
- So this first issue is basically a request for
- the board's guidance. We filed a contingent motion
- to amend with some substitute claims. We are aware
- $^{25}$  of 37 CFR 42.11 is duty of candor. There are



# DOCKET

# Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

### **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

#### API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

#### **LAW FIRMS**

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

#### **FINANCIAL INSTITUTIONS**

Litigation and bankruptcy checks for companies and debtors.

### **E-DISCOVERY AND LEGAL VENDORS**

Sync your system to PACER to automate legal marketing.

