

Filed on behalf of: Nichia Corp.

Paper _____

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UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

VIZIO, INC.,
Petitioner,

v.

NICHIA CORP.,
Patent Owner.

Case IPR2018-00437
Patent 9,537,071

THIRD UPDATED MANDATORY NOTICES OF PATENT OWNER

Mail Stop PATENT BOARD
Patent Trial and Appeal Board
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Pursuant to 37 C.F.R. § 42.8, Patent Owner Nichia Corp. (“Patent Owner”) submits the following updated Mandatory Notices to reflect updates to the status of related matters.

1. Real Party-In-Interest (*No Change*)

In accordance with 37 C.F.R. § 42.8(b)(1), Patent Owner identifies the real party-in-interest as Nichia Corporation, a corporation organized and existing under the laws of Japan, with its principal place of business at 491 Oka, Kaminaka-Cho, Anan-Shi, Tokushima, Japan 774-8601.

2. Related Matters (*Updated*)

In accordance with 37 C.F.R. § 42.8(b)(2), Patent Owner identifies the following related matters:

- a. *Nichia Corp. v. VIZIO, Inc.*, Case No. 8:18-cv-00362 (C.D. Cal.), involving U.S. Patent No. 9,537,071 and related U.S. Patent Nos. 8,530,250 and 9,490,411 (Case was dismissed with prejudice);
- b. *Nichia Corp. v. Feit Electric Co., Inc.*, Case No. 2:18-cv-01390 (C.D. Cal.), involving U.S. Patent No. 9,537,071 and related U.S. Patent Nos. 8,530,250 and 9,490,411 (Case is ongoing);

- c. *Nichia Corp. v. VIZIO, Inc.*, Case No. 2:16-cv-00246-JRG (E.D. Tex.), involving related U.S. Patent No. 8,530,250 (Case was dismissed without prejudice);
- d. *Nichia Corp. v. Lowe's Home Centers, LLC*, Case No. 2:16-cv-00613-JRG (E.D. Tex.), involving related U.S. Patent No. 8,430,250 (Case was dismissed without prejudice);
- e. *Nichia Corp. v. VIZIO, Inc.*, Case No. 2:16-cv-01453-JRG (E.D. Tex.), involving U.S. Patent No. 9,490,411 and related U.S. Patent No. 9,537,071 (Case was dismissed without prejudice);
- f. *Nichia Corp. v. Lowe's Home Centers, LLC*, Case No. 2:16-cv-01455-JRG (E.D. Tex.), involving U.S. Patent Nos. 9,537,071 and related U.S. Patent No. 9,490,411 (Case was dismissed without prejudice);
- g. *Nichia Corp. v. Everlight Electronics Co., Ltd. et al.*, Case No. 2:13-cv-702-JRG (E.D. Tex.), appealed at Nos. 16-1585 & 16-1618 (Fed. Cir.), involving related U.S. Patent No. 8,530,250 (District court decision was affirmed);
- h. *VIZIO, Inc. v. Nichia Corp.*, Case IPR2017-01608 (PTAB), appealed at Nos. 19-1646 & 19-1660 (Fed. Cir.), involving related U.S. Patent No. 8,530,250 (Consolidated appeals are ongoing);

- i. *VIZIO, Inc. v. Nichia Corp.*, Case IPR2017-01623 (PTAB), involving related U.S. Patent No. 8,530,250 (Final Written Decision issued by the Board);
- j. *Lowe's Companies, Inc. et al. v. Nichia Corp.*, Case IPR2017-02011 (PTAB), involving related U.S. Patent No. 8,530,250 (Case was settled by the parties and terminated by the Board);
- k. *Lowe's Companies, Inc. et al. v. Nichia Corp.*, Case IPR2017-02014 (PTAB), involving related U.S. Patent No. 8,530,250 (Case was settled by the parties and terminated by the Board);
- l. *VIZIO, Inc. v. Nichia Corp.*, Case IPR2018-00386 (PTAB), involving related U.S. Patent No. 9,490,411 (Case is ongoing);
- m. *Lowe's Companies, Inc. et al. v. Nichia Corp.*, Case IPR2018-00601 (PTAB), involving related U.S. Patent No. 9,490,411 (Case was settled by the parties and terminated by the Board);
- n. *Lowe's Companies, Inc. et al. v. Nichia Corp.*, Case IPR2018-00699 (PTAB), involving U.S. Patent No. 9,537,071 (Case was settled by the parties and terminated by the Board);
- o. *VIZIO, Inc. v. Nichia Corp.*, Case IPR2018-00893 (PTAB), involving related U.S. Patent No. 8,530,250 (Board denied institution);

- p. U.S. Patent Application Serial Number 16/007,954, filed on June 13, 2018, claims the benefit of U.S. Patent Application Serial Number 14/928,550, issued as U.S. Patent No. 9,537,071 on January 3, 2017 (Pending);
- q. U.S. Patent Application Serial Number 15/360,316, filed on November 23, 2016, claims the benefit of U.S. Patent Application Serial Number 14/928,550, issued as U.S. Patent No. 9,537,071 on January 3, 2017 (Pending);
- r. U.S. Patent Application Serial Number 14/928,570, issued as U.S. Patent No. 9,490,411 on November 8, 2016;
- s. U.S. Patent Application Serial Number 13/969,182, issued as U.S. Patent No. 9,287,476 on March 15, 2016; and
- t. U.S. Patent Application Serial Number 12/737,940, issued as U.S. Patent No. 8,530,250 on September 10, 2013.

3. Lead and Back-up Counsel (*No Change*)

In accordance with 37 C.F.R. § 42.8(b)(3), Patent Owner identifies Martin

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