

Case No. IPR2018-00437
U.S. Patent No. 9,537,071

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

VIZIO, INC.,
Petitioner

v.

NICHIA CORPORATION,
Patent Owner

Case No. 2018-00437
Patent 9,537,071

PETITIONER'S UPDATED EXHIBIT LIST

UPDATED LIST OF EXHIBITS

| Exhibit | Description |
|----------------|--|
| Ex. 1001 | U.S. Patent No. 9,537,071 |
| Ex. 1002 | U.S. Patent No. 9,537,071 File History |
| Ex. 1003 | Declaration of Dr. Stanley R. Shanfield |
| Ex. 1004 | U.S. Patent Publication No. 2008/0012036 (“Loh”) |
| Ex. 1005 | U.S. Patent Publication No. 2005/0211991 (“Mori”) |
| Ex. 1006 | U.S. Patent Publication No. 2008/0073662 (“Wang”) |
| Ex. 1007 | U.S. Patent Publication No. 2005/0280017 (“Oshio”) |
| Ex. 1008 | U.S. Patent Publication No. 2008/0261339 (“Koung”) |
| Ex. 1009 | Japanese Patent Publication No. JP2006-093697 (“Park ’697”) with Certified English Translation |
| Ex. 1010 | U.S. Patent Publication No. 2007/0126020 (“Lin”) |
| Ex. 1011 | PCT Patent Publication No. WO2007/055486 (“Park ’486”) |
| Ex. 1012 | <i>Nichia Corp. v. VIZIO, Inc.</i> , No. 2:16-cv-01453-JRG, D.I.152, Plaintiff Nichia Corporation’s P.R. 4-5(a) Opening Claim Construction Brief (E.D. Tex. Nov. 22, 2017) |
| Ex. 1013 | <i>Nichia Corp. v. VIZIO, Inc.</i> , No. 2:16-cv-01453-JRG, D.I.186, Defendants’ Responsive Claim Construction Brief (E.D. Tex. Dec. 13, 2017) |
| Ex. 1014 | <i>Nichia Corp. v. VIZIO, Inc.</i> , No. 2:16-cv-01453-JRG, D.I.211, Joint Claim Construction Chart P.R. 4-5(D) (E.D. Tex. Jan. 8, 2018) |
| Ex. 1015 | Declaration of Mary Oros in Support of Petition for <i>Inter Partes Review</i> of U.S. Patent No. 9,537,071 |
| Ex. 1016 | Conference Call Transcript in IPR2018-00437 dated November 7, 2018 |
| Ex. 1017 | Declaration of Dr. Stanley R. Shanfield in Support of Petitioner’s Reply and Opposition to Patent Owner’s Contingent Motion to Amend Claims |
| Ex. 1018 | Excerpts from the File History of U.S. Patent Application No. 15/360,316 (U.S. Patent No. 10,115,870) |
| Ex. 1019 | Reserved |

| Exhibit | Description |
|----------------|--|
| Ex. 1020 | U.S. Patent Publication No. 2005/0151149 (“Chia”) |
| Ex. 1021 | U.S. Patent Publication No. 2004/0126913 (“Loh ’913”) |
| Ex. 1022 | U.S. Patent Publication No. 2005/0269587 (“Loh ’587”) |
| Ex. 1023 | Reserved |
| Ex. 1024 | Reserved |
| Ex. 1025 | Reserved |
| Ex. 1026 | Reserved |
| Ex. 1027 | Reserved |
| Ex. 1028 | <i>Nichia Corp. v. VIZIO, Inc.</i> , No. 2:16-cv-246-JRG, (E.D. Tex.), Plaintiff Nichia Corporation’s Submissions Pursuant to Local Patent Rules 3-1 and 3-2, dated October 20, 2016 |
| Ex. 1029 | U.S. Patent No. 10,115,870 |
| Ex. 1030 | U.S. Patent No. 6,770,498 (“Hsu”) |
| Ex. 1031 | Japanese Patent Publication No. JP2007-235085 (“Urasaki”) with Certified English Translation |
| Ex. 1032 | Japanese Patent Publication No. JP2006-156704 (“Kuramoto”) with Certified English Translation |
| Ex. 1033 | Japanese Patent Publication No. JP2001-036154 (“Suenaga”) with Certified English Translation |
| Ex. 1034 | U.S. Patent No. 6,433,277 (“Glenn”) |
| Ex. 1035 | Japanese Patent Publication No. JPH7-99345 (“Matoba”) with Certified English Translation |
| Ex. 1036 | IPR2017-01623, Exhibit 2727; Hirofumi Ichikawa’s Laboratory Notebook (public version of IPR2017-01623, Exhibit 2350) |
| Ex. 1037 | IPR2017-01623, Exhibit 2748; Second Declaration of Daisuke Yagi |
| Ex. 1038 | IPR2017-01623, Exhibit 2407; Patent Drawings |
| Ex. 1039 | IEEE Standard Glossary of Computer Hardware Terminology, IEEE Std 610.10-1994, October 12, 1995 |
| Ex. 1040 | Declaration of Drago N. Gregov |
| Ex. 1041 | Transcript of Teleconference in IPR2018-00386 and IPR2018-00437, dated January 17, 2019 (Corrected) |

Respectfully submitted: /Gabrielle E. Higgins/ January, 22, 2019
Gabrielle E. Higgins

CERTIFICATE OF SERVICE

The undersigned certifies that the foregoing PETITIONER'S UPDATED EXHIBIT LIST was served by filing this document through the Patent Trial and Appeal Board End to End (PTAB E2E) as well as providing a courtesy copy via electronic mail to the following attorneys of record for the Patent Owner listed below:

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