

Filed on behalf of: Nichia Corporation

Paper _____

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Date filed: January 18, 2019

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

VIZIO, INC.,
Petitioner,

v.

NICHIA CORPORATION,
Patent Owner.

Case IPR2018-00437
Patent 9,537,071

PATENT OWNER'S EXHIBIT LIST
(as of January 18, 2019)

Mail Stop PATENT BOARD
Patent Trial and Appeal Board
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P.O. Box 1450
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Pursuant to 37 C.F.R. § 42.63(e), Patent Owner Nichia Corporation (“Nichia”) hereby furnishes below a current listing of Patent Owner’s exhibits to the Board and counsel for Petitioner Vizio, Inc. (“Vizio”). Nichia hereby certifies that copies of the exhibits listed below have been served on counsel for Vizio.

<i>Exhibit No.</i>	<i>Description</i>
2001	Declaration of Dr. Stanley R. Shanfield, dated June 16, 2017, in IPR2017-01608 (Ex. 1003 in that IPR).
2002	Declaration of Dr. Stanley R. Shanfield, dated June 16, 2017, in IPR2017-01623 (Ex. 1103 in that IPR).
2003	Dandan Zhu and Colin J. Humphreys, Solid-State Lighting Based on Light Emitting Diode Technology, Chapter 5 (2016).
2004	Sheng Liu and Xiaobing Luo, LED Packaging for Lighting Applications: Design, Manufacturing and Testing, Chemical Industry Press (2011).
2005	Vizio Holdings, Inc.’s Amendment No. 3 to Form S-1 Registration Statement, filed with the Securities and Exchange Commission on October 22, 2015 (retrieved from http://secfilings.nasdaq.com/filingFrameset.asp?FilingID=10964392&RcvdDate=10/22/2015&CoName=VIZIO%20HOLDINGS%20C%20INC.&FormType=S-1/A&View=html%20September%2026,%202016%20Disc\Internet%20articles\FetchF%20VIZIO(4).pdf).
2006	Westlaw Company Investigator Reports for Vizio, Inc., AmTran Logistics Company and AmTran Logistics Inc. Company.
2007	Transcript of Teleconference in IPR2017-01608 and IPR2017-01623, dated February 28, 2018 (Ex. 2029 and Ex. 2129 respectively, in those IPRs).
2008	Declaration of Dr. E. Fred Schubert In Support of Patent Owner’s Response, dated September 18, 2018.

<i>Exhibit No.</i>	<i>Description</i>
2009	Transcript of Stanley R. Shanfield, Ph.D. Deposition, dated May 21, 2018, taken in IPR2017-01608, IPR2017-01623, IPR2018-00893, IPR2017-02011, IPR2017-02014 (Ex. 2710 in IPR2017-01608 and IPR2017-01623).
2010	E. Chen, "III. Wet and Dry Etching," Harvard University Center for Imaging and Mesoscale Structures (2004) (retrieved from https://www.mrsec.harvard.edu/education/ap298r2004/Erli%20chen%20Fabrication%20III%20-%20Etching.pdf).
2011	<i>EXHIBIT NUMBER NOT BEING USED</i>
2012	<i>EXHIBIT NUMBER NOT BEING USED</i>
2013	<i>EXHIBIT NUMBER NOT BEING USED</i>
2014	<i>EXHIBIT NUMBER NOT BEING USED</i>
2015	<i>EXHIBIT NUMBER NOT BEING USED</i>
2016	<i>EXHIBIT NUMBER NOT BEING USED</i>
2017	<i>EXHIBIT NUMBER NOT BEING USED</i>
2018	<i>EXHIBIT NUMBER NOT BEING USED</i>
2019	Declaration of Dr. E. Fred Schubert in Support of Patent Owner's Contingent Motion to Amend, dated September 18, 2018.
2020	Claims Appendix for Patent Owner's Contingent Motion to Amend.
2021	Japanese Patent Publication No. JP 2008-225408.
2022	Certified Translation of Japanese Patent Publication No. JP 2008-225408.
2023	U.S. Patent Application Serial No. 12/737,940, filed on March 2, 2011.
2024	Exhibit B to Complaint for Patent Infringement, <i>Nichia Corp. v. Vizio, Inc.</i> , Case No. 2:16-cv-00246, Dkt. 1-2 (E.D. Tex. Mar. 21, 2016) [Copy of Memorandum Opinion and Order, <i>Nichia Corp. v. Everlight Elecs. Co.</i> , Case No. 2:13-cv-00702-JGR, Dkt. 193 (E.D. Tex. Jan. 26, 2016)].
2025	<i>EXHIBIT NUMBER NOT BEING USED</i>
2026	<i>EXHIBIT NUMBER NOT BEING USED</i>
2027	<i>EXHIBIT NUMBER NOT BEING USED</i>
2028	<i>EXHIBIT NUMBER NOT BEING USED</i>
2029	<i>EXHIBIT NUMBER NOT BEING USED</i>
2030	Second Declaration of Dr. E. Fred Schubert In Support of Patent Owner's Contingent Motion to Amend, dated January 8, 2019.

<i>Exhibit No.</i>	<i>Description</i>
2031	Excerpts from Second Declaration of Dr. E. Fred Schubert, dated June 8, 2018, in IPR2017-01608 (Ex. 2030 in that IPR).
2032	Excerpts from Second Declaration of Dr. E. Fred Schubert, dated June 8, 2018, in IPR2017-01623 (Ex. 2724 in that IPR).
2033	Transcript of Stanley R. Shanfield, Ph.D. Deposition, dated December 19, 2018.
2034	Exhibit S8 from December 19, 2018 Deposition of Stanley R. Shanfield, Ph.D.
2035	Exhibit S9 from December 19, 2018 Deposition of Stanley R. Shanfield, Ph.D.
2036	Opinion and Judgment, <i>Nichia Corp. v. Everlight Americas, Inc.</i> , Appeal Nos. 16-1585 & 16-1618 (Fed. Cir. Apr. 28, 2017).
2037	Transcript of Teleconference in IPR2018-00386 and IPR2018-00437, dated January 17, 2019.

Dated: January 18, 2019

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CERTIFICATE OF SERVICE

I hereby certify that on this 18th day of January, 2019, a true and correct copy of the foregoing **PATENT OWNER'S EXHIBIT LIST** was served, via electronic mail, upon the following counsel of record for Petitioner Vizio, Inc.:

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