# WATER-INSOLUBLE DRUG FORMULATION

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### 17

### Soft Gelatin Capsules Development

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A pharmaceutical scientist will eventually face the task of formulating an oily or an oil-soluble medicament in liquid formulation as a solid dosage form using the soft gelatin capsule, sometimes referred to as *softgel* or *liquigel* TM. In the formulation of common oral dosage forms, the formulator has access to excipients and processing technologies, thus enabling him to conduct a systematic formulation and process-development research and produce an elegant and stable dosage form. On the other hand, due to its nature, the softgel technology has become specialized processing in which the formulator will rely on the others to produce the shell formulation and conducts the shell-content compatibility studies. A suitable formulated medicament is finally encapsulated as the content into a compatible soft gelatin shell.

Because of the highly cost-intensive operation of softgel capsule production, it is not possible for formulation scientists to conduct research on all phases of the formulation and process development of soft gelatin capsules. However, based on the unique advantages and special properties of this type of dosage form, the formulators should familiarize themselves with the overall technology and processes involved both in the composition development and manufacturing aspects.

Softgel capsules have gained popularity and use in the pharmaceutical industry for human and veterinary applications as an oral dosage form; as suppositories for



rectal and vaginal administration; single-use applicators for ophthalmic, otic, and topical formulations; and rectal ointments. Their use in cosmetic industry is beyond the scope of this manuscript.

Softgel capsules come in a variety of shapes, sizes, and colors that may be specific to the manufacturer. In Figure 17.1, some representative shapes and sizes are presented. This chapter, provides an overview of the manufacturing methods, formulation of the content medicament, the composition of the shell, quality control procedures, stability, and shelf-life testing. We also discuss in some detail examples of the products formulated in softgel dosage form.

### MANUFACTURING METHODS

### **Processing Equipment**

An exhaustive discussion on the advantages and disadvantages of different types of machinery used in the production of soft gelatin capsules is beyond the scope of this chapter. An excellent review by Stanley (1986) described the manufacturing process and formulation aspects of the softgel technology. A brief description of the various processing technologies follows.

### **Plate Process**

Historically, the soft gelatin capsules were manufactured by the *plate method*, in which elasticized sheets of the formulated gelatin shell were placed on the die plate that contained a number of capsule-shaped cavities. By applying a vacuum, the gelatin was drawn into the cavities to form capsule wells. The capsule wells were then filled with drug formulation and covered by another sheet of the elasticized gelatin or the gelatin sheet was folded back over the filled wells. The upper plate was then placed on the top of the upper sheet and was pressed to form and cut the capsules. These capsules, generally, had one flat side. The major problems with this type of processing were the lack of dosage uniformity, high manufacturing losses, and that it was labor/cost intensive. This equipment is no longer available.

### **Rotary Die Process**

According to Ebert (1977), Robert P. Scherer, in 1933, invented and perfected the *rotary die process* that almost eliminated all of the problems associated with the plate process and produced softgel dosage forms with improved uniformity and high standards of accuracy. This is the first continuous process in which the outer faces of two counterrotating rollers contain precisely machined and aligned die cavities. The die rollers rotate in opposite directions, and the matching die cavities from each die roller create the capsule pocket. Figure 17.2 presents a schematic view of the rotary die



Figure 17.1 The shapes and sizes of commonly used soft-gelatin capsules. Rounds Ovals Tubes Nomination Oblongs Supp Shape Optimum Optimum Optimum **Optimum** Fill Volume Fill Volume Fill Volume Fill Volume Capsule Capsule Capsule Capsule Capsule Size Size Size Size (minim)\* Size (minim) (minim) (minim) 2 2.3 3 3 3.0 3.0 4 4 4.0 4.0 3 3.0 3 3.0 5 5 5.0 5.0 4 4.0 4 4.0 6 6.0 6 6.0 5 5.0 5 5.0 7 71/2 7.5 6 6 7.0 6.0 6.0 10 9 9.0 10 10.0 8 8.0 8 8.0 17 15 15.0 12 12.0 91/2 171/2 17.5 40 9.5 20 20.0 16 16.0 11 11.0 30 32.0 80 20 20.0 12 12.0 45 45.0 40 40.0 30 30.0 14 14.0 65 65.0 50 50.0 80 65.0 40 40.0 16 16.0 90 90.0 90 80.0 60 60.0 20 20.0 120 120.0 80 80.0 85 85.0

<sup>\*</sup>A minim is equal to 0.0616 mL.



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