Filed on behalf of: Nichia Corporation Paper ____

By: Martin M. Zoltick, Lead Counsel Date filed: September 18, 2018

Robert P. Parker, Back-up Counsel Derek F. Dahlgren, Back-up Counsel Michael H. Jones, Back-up Counsel Mark T. Rawls, Back-up Counsel

ROTHWELL, FIGG, ERNST & MANBECK, P.C.

607 14th Street, N.W., Suite 800 Washington, DC 20005

Phone: 202-783-6040 Facsimile: 202-783-6031 Emails: mzoltick@rfem.com

rparker@rfem.com ddahlgren@rfem.com mjones@rfem.com mrawls@rfem.com

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

VIZIO, INC., Petitioner,

v.

NICHIA CORPORATION, Patent Owner.

Case IPR2018-00386 Patent 9,490,411

DECLARATION OF DR. E. FRED SCHUBERT



TABLE OF CONTENTS

I. I	ntroduction1
II.	Qualifications1
III.	Materials considered
IV.	Summary of opinions
V.	Technology background8
VI.	Definition of one of ordinary skill in the art
VII.	Claim construction
par	The proper scope of the term "wherein both a part of the metal part and a rt of the resin part are disposed in a region below an upper surface of the metal rt, on four outer lateral surfaces of the resin package" requires resin below tal on four outer lateral surfaces
	. The plain and ordinary meaning of the term requires resin below metal on our outer lateral surfaces of the resin package
	The proper scope of the claimed term is consistent with the '411 specification and the stated benefits of the claimed invention
3 c	Petitioner and Dr. Shanfield have misunderstood the significance of the laimed term and in doing so, improperly broadened its scope
4 s	The prosecution history is consistent with Nichia's interpretation and upports rejecting Petitioner's broadening construction of the term39
B. the	The term "a resin package comprising a resin part and a metal part" limits scope of claim 1 to a post-singulation device
	. The term "a resin package comprising a resin part and a metal part" is xpressly defined in the specification
	The term "a resin package comprising a resin part and a metal part" is also mplicitly defined in the specification through its repeated, consistent, and xclusive use
VIII.	
A.	Anticipation49



Schubert Declaration IPR2018-00386

В		Obviousness	49
IX.	F	Prior art references	56
A		Loh	56
В		Mori	57
C	•	Wang	57
D).	Oshio	57
X.	Γ	The claims of the '411 patent are not unpatentable	58
A	٠.	Claim 1 is not anticipated by Loh (Ground 1)	58
		Loh does not disclose "wherein both a part of the metal part and a part of resin part are disposed in a region below an upper surface of the metal part four outer lateral surfaces of the resin package."	t,
	2.	Loh does not disclose a "a resin package"	68
В		Claim 1 is not obvious in view of Loh (Ground 2)	69
	-	Loh would not have suggested "wherein both a part of the metal part and rt of the resin part are disposed in a region below an upper surface of the etal part, on four outer lateral surfaces of the resin package."	
		Loh would not have suggested "a resin package comprising a resin part annetal part"	
VI		Tomolysism (71



I. INTRODUCTION

- 1. My name is E. Fred Schubert, and I have been retained by counsel for Patent Owner, Nichia Corporation ("Nichia"), to serve as an expert witness in the above-captioned proceeding based on a Petition for *Inter Partes* Review (IPR) filed by VIZIO, Inc. (the "Vizio Petition" or the "Petition"), which challenges certain claims in Nichia's U.S. Patent No. 9,490,411 (the "'411 Patent").
- 2. I understand that this declaration will be submitted in support of the Patent Owner's Response in the IPR.
- 3. The facts and opinions expressed herein are true and accurate to the best of my knowledge and understanding based on the information I have reviewed to date.

II. QUALIFICATIONS

- 4. My *curriculum vitae* (CV) detailing my educational background and professional experience is enclosed as Appendix A. My CV includes a list of all publications I have authored, including all publications from the previous ten years.
- I am currently a Full Professor in the Department of Electrical,
 Computer, and Systems Engineering at Rensselaer Polytechnic Institute (RPI) in
 Troy, New York.



- I received a Master's Degree in Electrical Engineering from the 6. University of Stuttgart, Germany, in 1981. I received a Ph.D. degree in Electrical Engineering from the University of Stuttgart, Germany, in 1986. Subsequent to my education, starting in 1985, I worked in industry at AT&T Bell Laboratories in Holmdel and Murray Hill, New Jersey, for ten years. In 1995, I joined academia. My first position was at Boston University (Boston, MA), where I worked as a full professor for seven years. In 2002, I joined RPI as a distinguished professor, the Wellfleet Senior Constellation Professor and Head of the Future Chips Constellation with appointments in the Department for Electrical, Computer, and Systems Engineering and the Department for Physics, Applied Physics and Astronomy. I am the founding Director of the Smart Lighting Engineering Research Center that is funded by the U.S. National Science Foundation at a volume of \$40 million over 10 years.
- 7. I am named as co-inventor in more than 30 U.S. patents and have co-authored more than 300 publications. I authored the books "Doping in III-V Semiconductors" (1993), "Delta Doping of Semiconductors" (1996), and the first, second, and third editions of "Light-Emitting Diodes" (2003, 2006, and 2018); the latter book is known as a standard textbook in the field of LEDs, and the book has been translated into Russian, Japanese and Korean. My publications have been



DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

