Paper No. 25 Entered: January 9, 2019

### UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

SEOUL SEMICONDUCTOR CO., LTD., SEOUL SEMICONDUCTOR, INC. and EVERLIGHT ELECTRONICS CO. LTD. Petitioner,

v.

DOCUMENT SECURITY SYSTEMS, INC., Patent Owner.

IPR2018-00265 (Patent 6,949,771 B2)<sup>1</sup>

SEOUL SEMICONDUCTOR CO., LTD., SEOUL SEMICONDUCTOR, INC., CREE, INC., and EVERLIGHT ELECTRONICS CO. LTD. Petitioner,

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DOCUMENT SECURITY SYSTEMS, INC., Patent Owner.

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IPR2018-00333 (Patent 7,256,486 B2)<sup>2,3</sup>

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Before SALLY C. MEDLEY, SCOTT C. MOORE, and BRENT M. DOUGAL, *Administrative Patent Judges*.

DOUGAL, Administrative Patent Judge.



## ORDER Oral Hearing 37 C.F.R. § 42.70

Petitioner and Patent Owner have each filed requests for oral hearings in the above captioned proceedings, pursuant to 37 C.F.R. § 42.70. IPR2018-00265, Papers 26, 27.<sup>4</sup> Petitioner requests thirty (30) minutes to present its argument, and Patent Owner requests forty-five (45) minutes to present its argument. Paper 27, 1; Paper 26, 1. The requests are granted according to the terms set forth in this Order.

The oral hearings will commence at 1:00 PM Eastern Time on Thursday, January 31, 2019, in Hearing Room B on the ninth floor of Madison Building East, 600 Dulany Street, Alexandria, Virginia. Cases IPR2018-00265 and IPR2018-00333 will be argued sequentially in two sessions, with a short break between the two sessions. Each party will have forty-five (45) minutes total time to present its arguments in each session.

The hearing will be open to the public for in-person attendance that will be accommodated on a first-come, first-served basis. Please be advised, available seating is limited.

<sup>&</sup>lt;sup>4</sup> For convenience, we cite to papers in IPR2018-00265. Similar papers were filed in IPR2018-00333.



<sup>&</sup>lt;sup>1</sup> Everlight Electronics Co., Ltd., who filed a Petition in IPR2018-01244, has been joined as a petitioner in this proceeding.

<sup>&</sup>lt;sup>2</sup> Cree, Inc., who filed a Petition in IPR2018-01205, and Everlight Electronics Co., Ltd., who filed a Petition in IPR2018-01225, have been joined as petitioners in this proceeding.

<sup>&</sup>lt;sup>3</sup> This Order will be entered in each case. The parties are not authorized to use this caption style.

IPR2018-00265 (Patent 6,949,771 B2) IPR2018-00333 (Patent 7,256,486 B2)

Petitioner bears the ultimate burden of proof that the claims at issue in these reviews are unpatentable. Therefore, at oral argument, Petitioner will proceed first to present its case regarding the challenged patent claims and the grounds on which the Board instituted trial. Petitioner may reserve some (but not more than half) of its argument time to respond to arguments presented by the Patent Owner. After Petitioner's initial presentation, Patent Owner will be given an opportunity to respond, and also may reserve some of its argument time for sur-rebuttal. Thereafter, Petitioner may use any reserved time to reply to Patent Owner's presentation, and finally, Patent Owner may present a brief sur-rebuttal if it has reserved time.

New arguments not previously presented in the parties' substantive papers in these proceeding shall not be raised at oral hearing.

Live Testimony

Requests for live testimony will be given due consideration. A party requesting live testimony should explain why and how this consideration applies, for example where an inventor is attempting to antedate a reference by establishing a prior reduction to practice. *See K-40 Electronics, LLC v. Escort, Inc.*, IPR2013-00203 (PTAB May 21, 2014) (Paper 34). Other factors may include the importance of the issue that is the subject of the testimony. The Board is more likely to grant oral testimony critical to issues that are case-dispositive. *Id.* at 2.

Official Record

The Board will provide a court reporter, and the reporter's transcript shall constitute the official record of the oral hearing.



IPR2018-00265 (Patent 6,949,771 B2) IPR2018-00333 (Patent 7,256,486 B2)

#### Demonstrative Exhibits

Demonstrative exhibits used at the final hearing are aids to oral argument and not evidence, and should be clearly marked as such. Each slide of a demonstrative exhibit should be marked with the words "DEMONSTRATIVE EXHIBIT – NOT EVIDENCE" in a footer.

Demonstrative exhibits cannot be used to advance arguments or introduce evidence not previously presented in the record. *See Dell Inc. v. Acceleron, LLC*, 884 F.3d 1364, 1369 (Fed. Cir. 2018) (noting that the "Board was obligated to dismiss [the petitioner's] untimely argument . . . raised for the first time during oral argument"). Demonstrative exhibits should cite to evidence in the record.

The parties shall serve any demonstrative exhibits on opposing counsel at least five business days before the hearing or at least five business days before the pre-hearing conference if one is scheduled. In addition, the parties shall file any demonstrative exhibits in these proceedings within two days of the hearing.

The parties are directed to *St. Jude Medical, Cardiology Division, Inc. v. The Board of Regents of the University of Michigan*, IPR2013-00041 (PTAB Jan. 27, 2014) (Paper 65) for guidance regarding the appropriate content of demonstrative exhibits. The parties are encouraged to resolve objections to demonstrative exhibits by conferring prior to submitting the exhibits to the Board. Objections to demonstratives should be carefully considered and framed as the Board has not found that such objections are helpful in many cases. Any unresolved issue regarding demonstrative exhibits should be addressed during a pre-hearing conference. Any



IPR2018-00265 (Patent 6,949,771 B2) IPR2018-00333 (Patent 7,256,486 B2)

objection to demonstrative exhibits that is not timely presented will be considered waived.

The parties are reminded that, at the oral hearing, the presenter must identify clearly and specifically each demonstrative exhibit (e.g., by slide or screen number) referenced during the hearing to ensure the clarity and accuracy of the reporter's transcript, and to assist Judge Moore and Judge Dougal, who will join the hearing remotely. Judge Moore and Judge Dougal will be unable to view images projected in the hearing room. Similarly, to ensure presenters may be heard by Judge Moore and Judge Dougal, the parties are reminded to speak only when standing at the hearing room podium and toward the attached microphone.

## Pre-Hearing Conference

Per the recent update to the Office Patent Trial Practice Guide, either party may request a pre-hearing conference. Office Patent Trial Practice Guide, August 2018 Update, 83 Fed. Reg. 39,989 (Aug. 13, 2018) (found at the following link to the USPTO website: https://go.usa.gov/xU7GP). Requests for a pre-hearing conference must be made by January 17, 2019. To request such a conference, an email should be sent to Trials@uspto.gov including several dates and times of availability for one or both parties, as appropriate, that are generally no later than three business days prior to the oral hearing. Please refer to the Guide for more information on the pre-hearing conference.

If the parties are unable to agree on the issues to be addressed at the pre-hearing conference, the joint request shall specify which issues are disputed and provide a brief statement (not to exceed one sentence) of the opposing party's objection.



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