

Handbook of Pharmaceutical Excipients

FOURTH EDITION

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Preface

PHARMACEUTICAL DOSAGE FORMS contain both pharmacologically active compounds and excipients added to aid the formulation and manufacture of the subsequent dosage form for administration to patients. Indeed, the properties of the final dosage form (i.e. its bioavailability and stability) are, for the most part, highly dependent on the excipients chosen, their concentration and interaction with both the active compound and each other. No longer can excipients be regarded simply as inert or inactive ingredients, and a detailed knowledge not only of the physical and chemical properties but also of the safety, handling and regulatory status of these materials is essential for formulators throughout the world. In addition, the growth of novel forms of delivery has resulted in an increase in the number of the excipients being used and suppliers of excipients have developed novel excipient mixtures and new physical forms to improve their properties. The *Handbook of Pharmaceutical Excipients* has been conceived as a systematic, comprehensive resource of information on all of these topics.

The first edition of the *Handbook* was published in 1986 and contained 145 monographs. This was followed by the second edition in 1994 containing 203 monographs and the third edition in 2000 containing 210 monographs. Since the release of the third edition two CD-ROM editions have also been released: *Pharmaceutical Excipients 2000* included the same material as the third edition, and *Pharmaceutical Excipients 2001*, which included 20 new monographs.

This new printed edition with its companion CD-ROM contains 250 monographs authored by experts in pharmaceutical formulation or excipient manufacture from around the world. All the monographs have been reviewed and revised in the light of current knowledge. There has been a greater emphasis on including published data from primary sources although some data from laboratory projects included in previous editions have been retained where relevant. Variations in test methodology can have significant effects on the data generated (especially in the case of the compactability of an excipient), and thus cause confusion. As a consequence, the editors have been more selective in including data relating to the physical properties of an excipient. However, comparative data that show differences between either source or batch of a specific excipient have been retained as this was considered relevant to the behavior of a material in practice. The Suppliers Directory (Appendix I) has also been completely updated with many more international suppliers included.

In a systematic and uniform manner, the *Handbook of Pharmaceutical Excipients* collects essential data on the physical properties of excipients such as: boiling point, bulk and tap density, compression characteristics, hygroscopicity, flowability, melting point, moisture content, moisture-absorption isotherms, particle size distribution, rheology, specific surface area, and solubility. Scanning electron microphotographs (SEMs) are also included for many of the excipients. The *Handbook* contains information from various international sources and personal observation and comments from monograph authors, steering committee members, and the editors.

All of the monographs in the *Handbook* are thoroughly cross-referenced and indexed so that excipients may be identified by either a chemical, a nonproprietary, or a trade name. Most monographs list related substances to help the formulator to develop a list of possible materials for use in a new dosage form or product. Related substances are not directly substitutable for each other but, in general, they are excipients that have been used for similar purposes in various dosage forms.

The *Handbook of Pharmaceutical Excipients* is a comprehensive, uniform guide to the uses, properties, and safety of pharmaceutical excipients, and is an essential reference source for those involved in the development, production, control, or regulation of pharmaceutical preparations. Since many pharmaceutical excipients are also used in other applications, the *Handbook of Pharmaceutical Excipients* will also be of value to persons with an interest in the formulation or production of confectionery, cosmetics, and food products.

Arrangement

The information consists of monographs that are divided into 22 sections to enable the reader to find the information of interest easily. Although it was originally intended that each monograph contain only information about a single excipient, it rapidly became clear that some substances or groups of substances should be discussed together. This gave rise to such monographs as 'Coloring Agents' and 'Hydrocarbons'. In addition, some materials have more than one monograph depending on the physical characteristics of the material, e.g. Starch versus Pregelatinized Starch. Regardless of the complexity of the monograph they are all divided into 22 sections as follows:

- 1 Nonproprietary Names
- 2 Synonyms
- 3 Chemical Name and CAS Registry Number
- 4 Empirical Formula and Molecular Weight
- 5 Structural Formula
- 6 Functional Category
- 7 Applications in Pharmaceutical Formulation or Technology
- 8 Description
- 9 Pharmacopeial Specifications
- 10 Typical Properties
- 11 Stability and Storage Conditions
- 12 Incompatibilities
- 13 Method of Manufacture
- 14 Safety
- 15 Handling Precautions
- 16 Regulatory Status
- 17 Related Substances
- 18 Comments
- 19 Specific References
- 20 General References
- 21 Authors
- 22 Date of Revision

Descriptions of the sections appear below with information from an example monograph if needed.