#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name: Juan Mantelle

Title: Transdermal Estrogen Device and Delivery

Prior Appl. No.: 13/553,972

Prior Appl. Filing

Date: 7/20/2012

Examiner: Unassigned

Art Unit: Unassigned

# CONTINUING PATENT APPLICATION TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

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[X] Continuation [] Division [] Continuation-In-Part (CIP)

of the above-identified copending prior application in which no patenting, abandonment, or termination of proceedings has occurred. Priority to the above-identified prior application is hereby claimed under 35 U.S.C. § 120 for this continuing application. The entire disclosure of the above-identified prior application is considered as being part of the disclosure of the accompanying continuing application and is hereby incorporated by reference therein.

## Enclosed are:

- [X] Application Data Sheet (37 CFR 1.76).
- [X] Description, Claim(s), and Abstract (27 pages).
- [X] Drawing (1 sheet, Figure 1).

The adjustment to the number of sheets for EFS-Web filing follows:

Number of		EFS-Web	Number of Sheets for EFS-Web
Sheets		Adjustment	
28	X	75%	21

The filing fee is calculated below at the large entity rate:

	Number Filed		Included in		Extra		Rate		Fee Totals
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Fee									
Search Fee							\$600.00		\$600.00
Examination							\$720.00		\$720.00
Fee									
Size Fee	21	-	100	=	0	X	\$400.00		\$0.00
Total	20	-	20	=	0	X	\$80.00	=	\$0.00
Claims:									
Independents	3	-	3	=	0	X	\$420.00	===	\$0.00
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The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

FOLEY & LARDNER LLP

Customer Number: 22428

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Registration No. 37,288

Application Data Sheet 37 CFR 1.76					Attorney Docket Number			041457-1016			
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Application Da	ita Sheet 37 CFR 1.76	Attorney Docket Number	041457-1016				
Application Da	ita Sheet St CFK 1.70	Application Number					
Title of Invention	Transdermal Estrogen Device and Delivery						
Additional Foreign Priority Data may be generated within this form by selecting the <b>Add</b> button.							

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.
NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

## **Authorization to Permit Access:**

Authorization to Permit Access to the Instant Application by the Participating Offices

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing this Authorization.

# Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

PTO/AIA/14 (03-13)
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Title of Invention	on Transo	dermal Estrogen Device	and Delivery					
If the Assignee	If the Assignee is an Organization check here.							
Organization N	Name N	OVEN PHARMACEUT	ICALS, INC.					
Mailing Addre	ess Informa	tion For Non-Applic	ant Assignee:					
Address 1		11960 Southwest	144th Street					
Address 2								
City		Miami	State/F	Province	FL			
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# Signature:

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Signature	Part C	mm	Date (YYYY-MM-DD)	20130912				
First Name	Courtenay C.	Last Name	Brinckerhoff	Registration Number	37288			
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This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

#### TRANSDERMAL ESTROGEN DEVICE AND DELIVERY

#### FIELD OF THE INVENTION

[0001] Described herein are compositions and methods for the transdermal delivery of estrogen.

#### **BACKGROUND**

[0002] This invention relates generally to transdermal drug delivery systems, and more particularly, to transdermal drug delivery systems for the delivery of estrogen. The use of a transdermal system, for example, a patch comprising a pressure-sensitive adhesive containing a drug, as a means of delivering drug through the skin is well known. However, there remains a need for transdermal drug delivery systems designed for the delivery of specific drugs, such as estrogen, and there remains a particular need for smaller transdermal drug delivery systems that exhibit desired pharmacokinetic properties.

[0003] Transdermal delivery systems (adhesive patches) as dosage forms have been the subject of a vast number of patent applications over the last 25 years, yielding many patents but few commercial products in comparison. To those working in the field, the relatively small number of commercial products is not surprising. Although regulatory, economic, and market hurdles play a role in limiting the number of products on the market, the task of developing a transdermal delivery system that achieves desired physical and pharmacokinetic parameters to satisfy physician and patient demand is more daunting. Parameters to be considered during commercial product development may include drug solubility, drug stability (e.g., as may arise from interaction with other component materials and/or the environment), delivery of a therapeutic amount of drug at a desired delivery rate over the intended duration of use, adequate adhesion at the anatomical site of application, integrity (e.g., minimal curling, wrinkling, delaminating and slippage) with minimal discomfort, irritation and sensitization both during use and during and after removal, and minimal residual adhesive (or other components) after removal. Size also may be important from a manufacturing and patient viewpoint, and appearance may be important from a patient viewpoint. The physical manufacturing and production aspects of commercial product development (e.g., the identity and costs of materials, equipment, and labor)

and supporting analytical methods required for regulatory compliance also can be significant.

[0004] Of the physical parameters that are considered when developing a commercial transdermal drug delivery system, size, e.g., surface area at the site of application, is often dictated and limited by other physical and pharmacokin tic requirements, such as desired drug delivery rates and daily dosages. In general, it is easier to develop a relatively "large" transdermal drug delivery system that will achieve drug delivery at target therapeutic levels over an intended duration of therapy, than it is to develop a smaller transdermal drug delivery system that still exhibits acceptable pharmacokinetic properties. Still, because size directly impacts costs (e.g., costs of component materials, costs of packaging materials, costs for production and manufacturing equipment, labor costs relative to product yield per run time, etc.) and patients generally prefer smaller systems to larger ones (both for aesthetic reasons and comfort, since a smaller surface may permit the use of less aggressive adhesives), there is a need for smaller transdermal drug delivery systems.

#### **SUMMARY**

[0005] In accordance with one embodiment, there is provided a transdermal drug delivery system comprising a drug containing layer defining an active surface area and comprising a polymer matrix comprising estradiol, wherein the system includes preater than 0.156 mg/cm<sup>2</sup> estradiol and achieves an estradiol flux that is greater than 0.01 mg/cm<sup>2</sup>/day, based on the active surface area. In some embodiments, the polymer matrix comprises a polymer blend comprising an acrylic adhesive, a silicone adhesive, and soluble PVP. In some embodiments, the polymer matrix comprises about 2-25% by weight acrylic adhesive, about 45-70% by weight silicone adhesive, about 2-25% by weight soluble PVP, about 5-15% penetration enhancer, and about 0.1-10% by weight estradiol, all based on the total dry weight of the polymer matrix. In some embodiments, the polymer matrix comprises about 20% by weight acrylic adhesive, about 56.9% by weight silicone adhesive, about 7.5% by weight soluble PVP, about 6.0% by weight oleyl alcohol, about 8.0% by weight dipropylene glycol, and about 1.6 % by weight estradiol. In some embodiments, the acrylic adhesive and silicone adhesive are present in a ratio of from about 1:2 to about 1:6, based on the total weight of the acrylic and silicone adhesives.

[0006] In some embodiments, the penetration enhancer comprises oleyl alchol or dipropylene glycol, or both.

[0007] In some embodiments, the polymer matrix comprises an amount of estradiol effective to deliver a therapeutically effective amount of estradiol over a period of time selected from the group consisting of at least 1 day, at least 2 days, at least 3 days, at least 4 days, at least 5 days, at least 6 days and at least 7 days. In some embodiments, the polymer matrix comprises an amount of estradiol effective to deliver an amount of estradiol selected from the group consisting of about 0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day.

[0008] In some embodiments, the polymer matrix has a coat weight of greater than about 10 mg/cm<sup>2</sup>. In some embodiments, the polymer matrix has a coat weight selected from the group consisting of about 12.5 and about 15 mg/cm<sup>2</sup>.

[0009] In accordance with some embodiments, there is provided a transdermal drug delivery system comprising a polymer matrix comprising estradiol, wherein the system has an active surface area that is about 60% of a size selected from the group consisting of 2.5, 3.75, 5.0, 7.5 and 10.0 cm<sup>2</sup> and is effective to deliver an amount of estradiol per day of about 0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day, respectively.

[0010] In accordance with some embodiments, there is provided a method for administering estradiol, comprising applying to the skin or mucosa of a subject in need thereof a transdermal drug delivery system comprising a drug-containing layer defining an active surface area and comprising a polymer matrix comprising estradiol, wherein the system includes greater than 0.156 mg/cm<sup>2</sup> estradiol and achieves an estradiol flux that is greater than 0.01 mg/cm<sup>2</sup>/day, based on the active surface area. In some embodiments, the system has an active surface area that is about 60% of a size selected from the group consisting of 2.5, 3.75, 5.0, 7.5 and 10.0 cm<sup>2</sup> and is effective to deliver an amount of estradiol per day of about 0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day, respectively.

[0011] In accordance with some embodiments, there is provided a method of making a transdermal drug delivery system for administering estrogen, comprising forming a polymer matrix comprising estrogen and a polymer blend comprising an acrylic adhesive, a silicone adhesive, and soluble PVP, and applying the polymer matrix to a support layer such that the system includes greater than 0.156 mg/cm<sup>2</sup>

estradiol. In some embodiments, the system has an active surface area that is about 60% of a size selected from the group consisting of 2.5, 3.75, 5.0, 7.5 and 10.0 cm<sup>2</sup>. In some embodiments, the polymer matrix comprises about 20% by weight acrylic adhesive, about 56.9% by weight silicone adhesive, about 7.5% by weight soluble PVP, about 6.0% by weight oleyl alcohol, about 8.0% by weight dipropylene glycol, and about 1.6% by weight estradiol. In some embodiments, the polymer matrix is applied to the support layer at a coat weight of greater than about 10 mg/cm<sup>2</sup>. In some embodiments, the polymer matrix coat weight is selected from the group consisting of about 12.5 and about 15 mg/cm<sup>2</sup>.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

[0012] Figure 1 illustrates the estradiol flux ( $\mu g/\text{cm}^2/\text{hr}$ ) over time (0-81 hours) from transdermal delivery systems according to the invention ( $\triangle$  &  $\bullet$ ), as compared to Vivelle-Dot® ( $\bullet$ ).

#### **DETAILED DESCRIPTION**

[0013] The field of transdermal delivery systems suffers from the problem of needing to balance many different competing factors to develop a commercial product that exhibits, for example both clinical efficacy and satisfactory wear properties while remaining acceptable to patients. For example, when selecting the size of a transdermal delivery system, it is necessary to balance factors that favor a smaller size (such as lower cost, better adhesive performance and improved aesthetics) against factors that favor a larger size (such as the target delivery rate (flux) and daily dose). The Vivelle-Dot® transdermal estradiol product (manufactured by Noven Pharmaceutcials Inc.) is available in five different active surface areas (2.5, 3.75, 5.0, 7.5 and 10.0 cm²) which each deliver different amounts of drug per day (0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day, respectively). Each of the Vivelle-Dot® products include 0.156 mg/cm² estradiol.

[0014] In accordance with some embodiments, the present invention provides transdermal drug delivery systems for the transdermal delivery of estrogen that have a smaller active surface area than Vivelle-Dot® but achieve daily dosages that are about equal to or greater than the Vivelle-Dot® products. For example, the present

invention includes transdermal drug delivery systems that achieve daily dosages that are about equal to a Vivelle-Dot® product, in a smaller sized system. The ability to provide a smaller system without sacrificing daily dosage represents a significant advance.

[0015] Applicant surprisingly discovered that increasing the coat weight of the drug-containing adhesive layer resulted in an increased flux per unit area, and thus permitted the development of smaller transdermal drug delivery systems that achieve comparable daily dosages. This result was surprising because coat weight is typically selected to control the duration of delivery, but is not generally understood to impact delivery rate. Thus, while it is known in the art to increase coat weight to provide delivery over a longer period of time, it was not known that increasing coat weight could increase delivery rate or flux, and thus permit the development of a smaller system while maintaining daily dosage.

[0016] In accordance with some aspects, there are provided transdermal drug delivery systems and methods for the transdermal delivery of estrogen. In specific embodiments, the systems exhibit increased flux than other known estrogen devices (such as Vivelle-Dot®, manufactured by Noven Pharmaceutcials Inc.) and, therefore, exhibit increased drug delivery per unit area. For example, in some embodiments, the systems exhibit a flux greater than the 0.01 mg/cm²/day exhibited by the Vivelle-Dot® products, such as a flux that is about 1.25, 1.33, 1.5, 1.67, 1.75, 2, 3, 4, or 5 times the flux of the Vivelle-Dot® products. In some embodiments, the systems have a greater coat weight than other known estrogen devices. For example, in some embodiments, the systems have a coat weight such that the amount of estradiol per unit area is greater than the 0.156 mg/cm² estradiol of the Vivelle-Dot® products, such as a coat weight that is about 1.25, 1.33, 1.5, 1.67, 1.75, 2, or 3 times the coat weight of the Vivelle-Dot® products, or greater. Thus, in accordance with some aspects, the invention permits the use of smaller devices to achieve comparable drug delivery.

#### **DEFINITIONS**

[0017] Technical and scientific terms used herein have the meanings commonly understood by one of ordinary skill in the art to which the present invention pertains, unless otherwise defined. Reference is made herein to various methodologies known

to those of ordinary skill in the art. Publications and other materials setting forth such known methodologies to which reference is made are incorporated herein by reference in their entireties as though set forth in full. Any suitable materials and/or methods known to those of ordinary skill in the art can be utilized in carrying out the present invention. However, specific materials and methods are described. Materials, reagents and the like to which reference is made in the following description and examples are obtainable from commercial sources, unless otherwise noted. [0018] As used herein, the singular forms "a," "an," and "the" designate both the singular and the plural, unless expressly stated to designate the singular only. [0019] The term "about" and the use of ranges in general, whether or not qualified by the term about, means that the number comprehended is not limited to the exact number set forth herein, and is intended to refer to ranges substantially within the quoted range while not departing from the scope of the invention. As used herein, "about" will be understood by persons of ordinary skill in the art and will vary to some extent on the context in which it is used. If there are uses of the term which are not clear to persons of ordinary skill in the art given the context in which it is used, "about" will mean up to plus or minus 10% of the particular term.

[0020] The phrase "substantially free" as used herein generally means that the described composition (e.g., transdermal drug delivery system, polymer matrix, etc.) comprises less than about 5%, less than about 3%, or less than about 1% by weight, based on the total weight of the composition at issue, of the excluded component.

[0021] As used herein "subject" denotes any animal in need of drug therapy, including humans. For example, a subject may be suffering from or at risk of developing a condition that can be treated or prevented with estrogen, or may be taking estrogen for health maintenance purposes.

[0022] As used herein, the phrases "therapeutically effective amount" and "therapeutic level" mean that drug dosage or plasma concentration in a subject, respectively, that provides the specific pharmacological response for which the drug is administered in a subject in need of such treatment. It is emphasized that a therapeutically effective amount or therapeutic level of a drug will not always be effective in treating the conditions/diseases described herein, even though such dosage is deemed to be a therapeutically effective amount by those of skill in the art.

For convenience only, exemplary dosages, drug delivery amounts, therapeutically effective amounts and therapeutic levels are provided below with reference to adult human subjects. Those skilled in the art can adjust such amounts in accordance with standard practices as needed to treat a specific subject and/or condition/disease.

[0023] As used herein, "active surface area" means the surface area of the drugcontaining layer of the transdermal drug delivery system.

[0024] As used herein, "coat weight" refers to the weight of the drug-containing layer per unit area of the active surface area of the transdermal drug delivery system.

[0025] As used herein, "estrogen" includes estrogenic steroids such as estradiol (17- $\beta$ -estradiol), estradiol benzoate, estradiol 17 $\beta$ -cypionate, estropipate, equilenin, equilin, estriol, estrone, ethinyl estradiol, conjugated estrogens, esterified estrogens, and mixtures thereof.

[0026] As used herein, "flux" (also called "permeation rate") is defined as the absorption of a drug through skin or mucosal tissue, and is described by Fick's first law of diffusion:

$$J = -D (dCm/dx)$$

where J is the flux in g/cm<sup>2</sup>/sec, D is the diffusion coefficient of the drug through the skin or mucosa in cm<sup>2</sup>/sec and dCm/dx is the concentration gradient of the drug across the skin or mucosa.

[0027] As used herein, the term "transdermal" refers to delivery, administration or application of a drug by means of direct contact with skin or mucosa. Such delivery, administration or application is also known as dermal, percutaneous, transmucosal and buccal. As used herein, "dermal" includes skin and mucosa, which includes oral, buccal, nasal, rectal and vaginal mucosa.

[0028] As used herein, "transdermal drug delivery system" refers to a system (e.g., a device) comprising a composition that releases estrogen upon application to the skin (or any other surface noted above). A transdermal drug delivery system may comprise a backing layer, a drug-containing layer, and a release liner layer. In some embodiments, the transdermal drug delivery system is a substantially non-aqueous, solid form, capable of conforming to the surface with which it comes into contact, and capable of maintaining such contact so as to facilitate topical application without

adverse physiological response, and without being appreciably decomposed by aqueous contact during topical application to a subject. Many such systems are known in the art and commercially available, such as transdermal drug delivery patches. As described below, in one embodiment, the transdermal drug delivery system comprises a drug-containing polymer matrix that comprises a pressure-sensitive adhesive or bioadhesive, and is adopted for direct application to a user's (e.g., a subject's) skin. In other embodiments, the polymer matrix is non-adhesive and may be provided with separate adhesion means (such as a separate adhesive layer) for application and adherence to the user's skin.

[0029] As used herein, "polymer matrix" refers to a polymer composition which contains one or more drugs. In some embodiments, the matrix comprises a pressure-sensitive adhesive polymer or a bioadhesive polymer. In other embodiments, the matrix does not comprise a pressure-sensitive adhesive or bioadhesive. As used herein, a polymer is an "adhesive" if it has the properties of an adhesive per se, or if it functions as an adhesive by the addition of tackifiers, plasticizers, crosslinking agents or other additives. Thus, in some embodiments, the polymer matrix comprises a pressure-sensitive adhesive polymer or a bioadhesive polymer, with estrogen dissolved or dispersed therein. The polymer matrix also may comprise tackifiers, plasticizers, crosslinking agents or other additives described herein. U.S. Patent 6,024,976 describes polymer blends that are useful in accordance with the transdermal systems described herein. The entire contents of U.S. Patent 6,024,976 is incorporated herein by reference.

[0030] As used herein, the term "pressure-sensitive adhesive" refers to a viscoelastic material which adheres instantaneously to most substrates with the application of very slight pressure and remains permanently tacky. A polymer is a pressure-sensitive adhesive within the meaning of the term as used herein if it has the properties of a pressure-sensitive adhesive per se or functions as a pressure-sensitive adhesive by admixture with tackifiers, plasticizers or other additives.

[0031] The term pressure-sensitive adhesive also includes mixtures of different polymers and mixtures of polymers, such as polyisobutylenes (PIB), of different molecular weights, wherein each resultant mixture is a pressure-sensitive adhesive. In the last case, the polymers of lower molecular weight in the mixture are not

considered to be "tackifiers," said term being reserved for additives which differ other than in molecular weight from the polymers to which they are added.

[0032] In some embodiments, the polymer matrix is a pressure-sensitive adhesive at room temperature and has other desirable characteristics for adhesives used in the transdermal drug delivery art. Such characteristics include good adherence to skin, ability to be peeled or otherwise removed without substantial trauma to the skin, retention of tack with aging, etc. In some embodiments, the polymer matrix has a glass transition temperature (Tg), measured using a differential scanning calorimeter, of between about -70 °C. and 0 °C.

[0033] As used herein, the term "rubber-based pressure-sensitive adhesive" refers to a viscoelastic material which has the properties of a pressure-sensitive adhesive and which contains at least one natural or synthetic elastomeric polymer.

[0034] In some embodiments, the transdermal drug delivery system includes one or more additional layers, such as one or more additional polymer matrix layers, or one or more adhesive layers that adhere the transdermal drug delivery system to the user's skin. In other embodiments, the transdermal drug delivery system is monolithic, meaning that it comprises a single polymer matrix layer comprising a pressure-sensitive adhesive or bioadhesive with drug dissolved or dispersed therein, and no rate-controlling membrane.

[0035] The transdermal drug delivery system also may include a drug impermeable backing layer or film. In some embodiments, the backing layer is adjacent one face of the polymer matrix layer. When present, the backing layer protects the polymer matrix layer (and any other layers present) from the environment and prevents loss of the drug and/or release of other components to the environment during use. Materials suitable for use as backing layers are well-known known in the art and can comprise films of polyester, polyethylene, vinyl acetate resins, ethylene/vinyl acetate copolymers, polyvinyl chloride, polyurethane, and the like, metal foils, non-woven fabric, cloth and commercially available laminates. A typical backing material has a thickness in the range of 2 to 1000 micrometers.

[0036] The transdermal drug delivery system also may include a release liner, typically located adjacent the opposite face of the system as compared to the backing layer. When present, the release liner is removed from the system prior to use to

expose the polymer matrix layer and/or an adhesive layer prior to topical application. Materials suitable for use as release liners are well-known known in the art and include the commercially available products of Dow Corning Corporation designated Bio-Release® liner and Syl-off® 7610 and 3M's 1022 Scotch Pak.

[0037] A used herein, a "monolithic" transdermal drug delivery system may include a backing layer and/or release liner.

[0038] In accordance with some embodiments, the transdermal dug delivery system comprises a drug-containing polymer matrix layer that comprises a pressure-sensitive adhesive blend comprising an acrylic polymer, a silicone polymer, and a soluble PVP.

#### Acrylic Polymers

[0039] The term "acrylic polymer" is used here as in the art interchangeably with "polyacrylate," "polyacrylic polymer," and "acrylic adhesive." The acrylic-based polymers can be any of the homopolymers, copolymers, terpolymers, and the like of various acrylic acids or esters. In some embodiments, the acrylic-based polymers are adhesive polymers. In other embodiments, the acrylic-based polymers function as an adhesive by the addition of tackifiers, plasticizers, crosslinking agents or other additives.

[0040] The acrylic polymer can include copolymers, terpolymers and multipolymers. For example, the acrylic polymer can be any of the homopolymers, copolymers, terpolymers, and the like of various acrylic acids. In some embodiments, the acrylic polymer constitutes from about 2% to about 95% by weight of the polymer content of the polymer matrix, including about 3% to about 90% and about 5% to about 85%, such as 2% to 95%, 3% to 90% and 5% to 85%. In some embodiments, the amount and type of acrylic polymer is dependent on the type and amount of estrogen used.

[0041] Acrylic polymers useful in practicing the invention include polymers of one or more monomers of acrylic acids and other copolymerizable monomers. The acrylic polymers also include copolymers of alkyl acrylates and/or methacrylates and/or copolymerizable secondary monomers or monomers with functional groups.

Combinations of acrylic-based polymers based on their functional groups is also contemplated. Acrylic-based polymers having functional groups include copolymers

and terpolymers which contain, in addition to nonfunctional monomer units, further monomer units having free functional groups. The monomers can be monofunctional or polyfunctional. By varying the amount of each type of monomer added, the cohesive properties of the resulting acrylic polymer can be changed as is known in the art. In some embodiments, the acrylic polymer is composed of at least 50% by weight of an acrylate or alkyl acrylate monomer, from 0 to 20% of a functional monomer copolymerizable with the acrylate, and from 0 to 40% of other monomers.

[0042] Acrylate monomers which can be used include acrylic acid and methacrylic acid and alkyl acrylic or methacrylic esters such as methyl acrylate, ethyl acrylate, propyl acrylate, amyl acrylate, butyl acrylate, butyl methacrylate, hexyl acrylate, hexyl methacrylate, heptyl acrylate, octyl acrylate, nonyl acrylate, 2-ethylbutyl acrylate, 2-ethylbutyl methacrylate, isooctyl acrylate, isooctyl methacrylate, 2-ethylhexyl acrylate, 2-ethylhexyl methacrylate, decyl acrylate, decyl methacrylate, dodecyl acrylate, dodecyl methacrylate, tridecyl acrylate, tridecyl methacrylate, glycidyl acrylate, and corresponding methacrylic esters.

[0043] Non-functional acrylic-based polymers can include any acrylic based polymer having no or substantially no free functional groups.

[0044] Functional monomers, copolymerizable with the above alkyl acrylates or methacrylates, which can be used include acrylic acid, methacrylic acid, maleic acid, maleic anhydride, hydroxyethyl acrylate, hydroxypropyl acrylate, acrylamide, dimethylacrylamide, acrylonitrile, dimethylaminoethyl acrylate, dimethylaminoethyl methacrylate, tert-butylaminoethyl methacrylate, methoxyethyl acrylate and methoxyethyl methacrylate.

[0045] As used herein, "functional monomers or groups," are monomer units typically in acrylic-based polymers which have reactive chemical groups which modify the acrylic-based polymers directly or which provide sites for further reactions. Examples of functional groups include carboxyl, epoxy, hydroxyl, sulfoxyl, and amino groups. Acrylic-based polymers having functional groups contain, in addition to the nonfunctional monomer units described above, further monomer units having free functional groups. The monomers can be monofunctional or polyfunctional. These functional groups include carboxyl groups, hydroxy groups, amino groups, amido groups, epoxy groups, etc. Typical carboxyl functional

monomers include acrylic acid, methacrylic acid, itaconic acid, maleic acid, and crotonic acid. Typical hydroxy functional monomers include 2-hydroxyethyl methacrylate, 2-hydroxyethyl acrylate, hydroxymethyl acrylate, hydroxymethyl methacrylate, hydroxyethyl acrylate, hydroxypropyl acrylate, hydroxypropyl methacrylate, hydroxybutyl acrylate, hydroxybutyl methacrylate, hydroxymyl acrylate, hydroxymyl methacrylate, hydroxymyl methacrylate, hydroxyhexyl acrylate, hydroxyhexyl methacrylate. As noted above, in some embodiments, the acrylic polymer does not include such functional groups.

[0046] Further details and examples of acrylic adhesives which are suitable in the practice of the invention are described in Satas, "Acrylic Adhesives," Handbook of Pressure-Sensitive Adhesive Technology, 2nd ed., pp. 396-456 (D. Satas, ed.), Van Nostrand Reinhold, New York (1989); "Acrylic and Methacrylic Ester Polymers," Polymer Science and Engineering, Vol. 1, 2nd ed., pp 234-268, John Wiley & Sons, (1984); U.S. Patent No. 4,390,520; and U.S. Patent No. 4,994,267, all of which are expressly incorporated by reference in their entireties.

[0047] Suitable acrylic polymers also include pressure-sensitive adhesives which are commercially available, such as the acrylic-based adhesives sold under the trademarks DURO-TAK® by National Starch and Chemical Corporation, Bridgewater, N.J. (such as DURO-TAK® 87-2287, -4098, -2852, -2196, -2296, -2194, -2516, -2070, -2353, -2154, -2510, -9085, -9088 and 73-9301). Other suitable acrylic adhesives include those sold under the trademark EUDRAGIT® by Roehm Pharma GmbH, Darmstadt, Germany, those sold by Cytec Surface Specialtics, St. Louis, Mo., under the trademarks GELVA® Multipolymer Solution (such as GELVA® 2480, 788, 737, 263, 1430, 1753, 1151, 2450, 2495, 3067, 3071, 3087 and 3235). For example, hydroxy functional adhesives with a reactive functional OH group in the polymeric chain, can be used. Non-limiting commercial examples of this type of adhesives include both GELVA® 737, 788, and 1151, and DURO-TAK® 87-2287, -4287, -2510 and -2516.

#### Silicon Polymers

[0048] The term "silicone-based" polymer is used interchangeably with the terms siloxane, polysiloxane, and silicones as used herein and as known in the art. A

suitable silicone-based polymer may also be a pressure-sensitive adhesive. Thus, in some embodiments, the silicone-based polymer is an adhesive polymer. In other embodiments, the silicone-based polymer functions as an adhesive by the addition of tackifiers, plasticizers, crosslinking agents, or other additives.

[0049] Suitable polysiloxanes include silicone pressure-sensitive adhesives which are based on two major components: (i) a polymer or gum and (ii) a tackifying resin. A polysiloxane adhesive can be prepared by cross-linking a gum, typically a high molecular weight polydiorganosiloxane, with a resin, to produce a three-dimensional silicate structure, via a condensation reaction in an appropriate organic, volatilve solvent, such as ethyl acetate or heptane. The ratio of resin to polymer can be adjusted in order to modify the physical properties of polysiloxane adhesives. Sobieski, et al., "Silicone Pressure Sensitive Adhesives," Handbook of Pressure-Sensitive Adhesive Technology, 2nd ed., pp. 508-517 (D. Satas, ed.), Van Nostrand Reinhold, New York (1989).

[0050] Exemplary silicone-based polymers are adhesives (e.g., capable of sticking to the site of topical application), including pressure-sensitive adhesives. Illustrative examples of silicone-based polymers having reduced silanol concentrations include silicone-based adhesives (and capped polysiloxane adhesives) such as those described in U.S. Pat. No. Re. 35,474 and U.S. No. 6,337,086, which are incorporated herein by reference in their entireties, and which are commercially available from Dow Corning Corporation (Dow Corning Corporation, Medical Products, Midland, Michigan) as BIO-PSA® 7-4100, -4200 and -4300 product series, and non-sensitizing, pressure-sensitive adhesives produced with compatible organic volatile solvents (such as ethyl acetate or heptane) and available commercially under their BIO-PSA® 7-4400 series, -4500 series and -4600 series.

[0051] Further details and examples of silicone pressure-sensitive adhesives which are useful in the polymer matrices and compositions and methods described herein are mentioned in the following U.S. Pat. Nos.: 4,591,622; 4,584,355; 4,585,836; and 4,655,767, which are all expressly incorporated by reference herein in their entireties. It should also be understood that silicone fluids are also contemplated for use in the polymer matrices and methods described herein.

[0052] In some embodiments, the polysiloxane constitutes from about 9% to about 97% of the polymer content of the polymer matrix, including about 8% to about 97% and about 14% to about 94%, such as 9% to 97%, 8% to 97%, and 14% to 94%.

#### Soluble PVP

[0053] In some embodiments, the polymer matrix includes soluble PVP. Soluble PVP has been found to be highly effective in preventing crystallization of drugs, such as estradiol, in adhesive-type transdermal drug delivery system. Soluble PVP also may modulate the transdermal permeation rate of the drug.

[0054] The term "PVP or "polyvinylpyrrolidone" refers to a polymer, either a homopolymer or copolymer, containing N-vinylpyrrolidone as the monomeric unit. Typical PVP polymers are homopolymeric PVPs and the copolymer vinyl acetate vinylpyrrolidone. The homopolymeric PVPs are known to the pharmaceutical industry under a variety of designations including Povidone, Polyvidone, Polyvidonum, Polyvidonum soluble, and Poly(1-vinyl-2-pyrrolidone). The copolymer vinyl acetate vinylpyrrolidone is known to the pharmaceutical industry as Copolyvidon, Copolyvidone, and Copolyvidonum. The term "soluble" when used with reference to PVP means that the polymer is soluble in water and generally is not substantially cross-linked, and has a molecular weight of less than about 2,000,000. See, generally, Buhler, KOLLIDON.RTM.: POLYVINYLPRYRROLIDONE FOR THE PHARMACEUTICAL INDUSTRY, BASF Aktiengesellschaft (1992).

[0055] The amount and type of soluble PVP used may depend on the quantity and type of estrogen present, as well as the type of adhesive, but can be readily determined through routine experimentation. Typically, the PVP is present in an amount from about 1% to about 20% by weight, preferably from about 5% to about 15% by weight, based on the total weight of the polymer matrix. However, the amount of PVP can be higher than 20% for example, up to 40%, depending on the particular drug used and on the desired properties of the blend. The soluble PVP may have a molecular weight of about 2,000 to 1,100,000, including 5,000 to 100,000, and 7,000 to 54,000. In some embodiments, the soluble PVP has a molecular weight of from about 17,000 to about 90,000, such as from about 17,000 to about 60,000, including from 17,000 to 90,000 and from 17,000 to 60,000.

[0056] In some embodiments, the polymer matrix comprises a soluble PVP with a rubber-based pressure-sensitive adhesive and a polyacrylate polymer, such as a blend of an acrylic polymer, a polysiloxane and a soluble PVP. In some embodiments, the blend is chosen to affect the rate of drug delivery. More specifically, a plurality of polymers including a soluble polyvinylpyrrolidone, which may have different solubility parameters for the drug and which may be immiscible with each other, may be selected to adjust the solubility of the drug in the polymer matrix, thereby controlling the maximum concentration of the drug in the system, and modulating drug delivery through the dermis.

[0057] The amount of acrylic-based polymer and silicone-based polymer can be adjusted so as to modify the saturation concentration of the drug in the polmer matrix in order to affect the rate of delivery of the drug from the system and through the skin. In some embodiments, the acrylic-based polymer and silicone-based polymer are used in a weight ratio of from about 2:98 to about 96:4, including about 2:98 to about 90:10 and 2:98 to about 86:14, such as 2:98 to 96:4, 2:98 to 90:10 and 2:98 to 86:14. [0058] The concentration by weight of the estrogen in the transdermal drug delivery system is typically about 0.1 to about 50 %, including about 0.1 to about 40 % and about 0.3 to about 30 %, such as 0.1 to 50 %, 0.1 to 40 % and 0.3 to 30 %, all based on the total weight of the polymer matrix. In some embodiments, the estrogen is estradiol, and is present at an amount of from about 0.1 to 10%, including from about 0.1 to about 5 %, such as from 0.1 to 10% and 0.1 to 5%, all based on the total weight of the polymer matrix. Irrespective of whether there is high-loading or low-loading of the estrogen into the transdermal drug delivery system, the pressure-sensitive adhesive composition can be formulated to maintain acceptable shear, tack, and peel adhesive properties.

### Other Components

[0059] In one embodiment, the polymer matrix comprises a penetration enhancer. A "penetration enhancer" is an agent known to accelerate the delivery of the drug through the skin. These agents also have been referred to as accelerants, adjuvants, and sorption promoters, and are collectively referred to herein as "enhancers." This class of agents includes those with diverse mechanisms of action, including those

which have the function of improving percutaneous absorption, for example, by changing the ability of the stratum corneum to retain moisture, softening the skin, improving the skin's permeability, acting as penetration assistants or hair-follicle openers or changing the state of the skin including the boundary layer.

[0060] Illustrative penetration enhancers include but are not limited to polyhydric alcohols such as dipropylene glycol, propylene glycol, and polyethylene glycol; oils such as olive oil, squalene, and lanolin; fatty ethers such as cetyl ether and oleyl ether; fatty acid esters such as isopropyl myristate; urea and urea derivatives such as allantoin which affect the ability of keratin to retain moisture; polar solvents such as dimethyldecylphosphoxide, methyloctylsulfoxide, dimethyllaurylamide, dodecylpyrrolidone, isosorbitol, dimethylacetonide, dimethylsulfoxide, decylmethylsulfoxide, and dimethylformamide which affect keratin permeability; salicylic acid which softens the keratin; amino acids which are penetration assistants; benzyl nicotinate which is a hair follicle opener; and higher molecular weight aliphatic surfactants such as lauryl sulfate salts which change the surface state of the skin and drugs administered. Other agents include oleic and linoleic acids, ascorbic acid, panthenol, butylated hydroxytoluene, tocopherol, tocopheryl acetate, tocopheryl linoleate, propyl oleate, and isopropyl palmitate.

[0061] In one embodiment, the penetration enhancer is oleyl alcohol. In another embodiment, the penetration enhancer is a glycol, such as dipropylene glycol, propylene glycol, butylene glycol or polyethylene glycol. In other embodiments, the penetration enhancer comprises a mixture of at least two penetration enhancers. For example, a penetration enhancer may comprise oleyl alcohol and one or more polyhydric alcohols, such as glycerine, dipropylene glycol, butylene glycol, propylene glycol. For instance, the penetration enhancer may include oleyl alcohol and dipropylene glycol.

[0062] In some embodiments, a penetration enhancer is used in an amount up to about 30% by dry weight of the polymer matrix, including up to 30% by weight, up to about 20% by weight, including 20% by weight, or up to about 10% by weight, up to 10% by weight, or up to 5% by weight, including up to 5% by weight, based on the dry weight of the polymer matrix. In some embodiments, a penetration enhancer is used in an amount of from about 5% to about 15%, such as from 5% to 15%. In

specific embodiments, the penetration enhancer comprises a mixture of oleyl alcohol and dipropylene glycol which together amount to about 14 % by weight of the polymer matrix. The polymer matrix may further comprise various thickeners, fillers, and other additives or components known for use in transdermal drug delivery systems.

[0063] The amount of estrogen to be incorporated in the polymer matrix varies depending on the particular drug, the desired therapeutic effect, and the time span for which the system is to provide therapy. For most drugs, the passage of the drugs through the skin will be the rate-limiting step in delivery. A minimum amount of drug in the system is selected based on the amount of drug which passes through the skin in the time span for which the system is to provide therapy. In some embodiments, a system for the transdermal delivery of estrogen is used over a period of about 1 day, about 3 days, about 7 days, or longer. Thus, in one embodiment, the systems comprise an amount of drug (e.g., estradiol) sufficient to deliver therapeutically effective amounts of drug over a period of from 1 day to 3 days, 7 days, or longer, including for 1 day, for 2 days, for 3 days, for 4 days, for 5 days, for 6 days, for 7 days, or for longer. In some embodiments, a therapeutically effective amount of estradiol is from about 0.025-0.1 mg/day, including about 0.025 mg/day, about 0.0375 mg/day, about 0.05 mg/day, about 0.075 mg/day, or about 0.1 mg/day, such as 0.025-0.1 mg/day, 0.025 mg/day, 0.0375 mg/day, 0.05 mg/day, 0.075 mg/day, and 0.1 mg/day. Thus, in some embodiments, the transdermal drug delivery system comprises an amount of estradiol effective to achieve a delivery of from at least about 0.025 mg to at least about 0.1 mg of estradiol per day. In some embodiments, the system comprises an amount of estradiol effective to achieve a delivery of from about 0.025 mg to about 0.1 mg of estradiol per day, including about 0.025 mg/day, about 0.0375 mg/day, about 0.05 mg/day, about 0.075 mg/day, or about 0.1 mg/day, such as 0.025-0.1 mg/day, 0.025 mg/day, 0.0375 mg/day, 0.05 mg/day, 0.075 mg/day, and 0.1 mg/day. As noted above, in some embodiments, these rates are achieved over a duration of application of at least about 1 day, including at least about 3 days and at least about 7 days, such as at least 1 day, at least 2 days, at least 3 days, at least 4 days, at least 5 days, at least 6 days, and at least 7 days. Thus, for example, transdermal drug delivery system may comprise from at least about 0.39 mg to at least

about 1.56 mg estradiol, including about 0.39 mg, about 0.585 mg, about 0.78 mg, about 1.17 mg, and about 1.56 mg, such as 0.39 mg, about 0.585 mg, about 0.78 mg, about 1.17 mg, and about 1.56 mg. In some embodiments, the transdermal drug delivery system comprises a smaller amount of estradiol than a Vivelle-Dot® product, but achieves comparable drug delivery. For example, in some embodiments a transdermal drug delivery system according to the invention may contain about 1.44 mg or about 1.2 mg estradiol in a 6 cm² device, and achieve drug delivery comparable to a Vivelle-Dot® product that contains about 1.56 mg estradiol in a 10 cm² device.

[0064] In some embodiments, the system comprises a polymer matrix comprising an amount of acrylic-based polymer of about 1 to about 70% by weight, including about 2 to about 25 % by weight, based on the dry weight of the polymer matrix, such as 2-25 % by weight acrylic-based polymer.

[0065] In some embodiments, the system comprises a polymer matrix comprising an amount of silicone polymer of about 5 to about 70% by weight, including about 45 to about 70% by weight, based on the dry weight of the polymer matrix, such as 45-70 % by weight silicone polymer.

[0066] In some embodiments, the system comprises a polymer matrix comprising an amount of soluble PVP of about 1 to about 30% by weight, including about 2 to about 25 % by weight, based on the dry weight of the polymer matrix, such as 2-25 % by weight soluble PVP.

[0067] In some embodiments, the system comprises a polymer matrix comprising an amount of oleyl alcohol of about 1 to about 10% by weight, including about 4 to about 8% by weight, based on the dry weight of the polymer matrix, such as 4-8% by weight oleyl alcohol.

[0068] In some embodiments, the system comprises a polymer matrix comprising an amount of dipropylene glycol of about 1 to about 10% by weight, including about 5 to about 10% by weight, based on the dry weight of the polymer matrix, such as 5-10% by weight dipropylene glycol.

[0069] In some embodiments, the polymer matrix comprises about 2-25% by weight acrylic adhesive, about 45-70% by weight silicone adhesive, about 2-25% by weight soluble PVP, about 5-15% penetration enhancer, and about 0.1-10% by weight estradiol, all based on the total dry weight of the polymer matrix. In specific

embodiments, the polymer matrix comprises about 20% by weight acrylic adhesive, about 56.9% by weight silicone adhesive, about 7.5 % by weight soluble PVP, about 6.0% by weight oleyl alcohol, about 8.0% by weight dipropylene glycol, and about 1.6% by weight estradiol.

[0070] In some embodiments, the acrylic adhesive and silicone adhesive are present in a ratio of from about 1:2 up to less than about 1:7, such as up to about 1:6, based on the weight of the acrylic and silicone adhesives. For example, in some embodiments, the acrylic adhesive and silicone adhesive are present in a ratio of about 1:2, 1:3, 1:4, 1:5 or 1:6, based on the weight of the acrylic and silicone adhesives. In specific embodiments, the acrylic adhesive and silicone adhesive are present in a ratio of 1:2.8, based on the weight of the acrylic and silicone adhesives.

[0071] As noted above, in embodiments where the polymer matrix comprises a pressure-sensitive adhesive or bioadhesive, the polymer matrix can serve as an adhesive portion of the system (e.g., a reservoir device), or can serve as one or more layers of a multi-layer system. Alternatively, a polymer matrix comprising a pressure-sensitive adhesive or bioadhesive with drug dissolved or dispersed therein can constitute a monolithic device. In embodiments where the polymer matrix does not comprise an adhesive, but instead, for example, comprises a polymeric drug reservoir, it can be used in combination with one or more adhesive layers, or with a surrounding adhesive portion, as is well known to those skilled in the art.

[0072] In some embodiments, the system consists essentially of the polymer matrix layer. By "consists essentially of the polymer matrix layer" means that the system does not contain any other layers that affect drug delivery, such as an additional rate-controlling polymer layer, rate-controlling membrane, or drug reservoir layer. It will be understood, however, that the system that consists essentially of the polymer matrix layer may comprise a backing layer and/or release liner.

[0073] As discussed above, in some embodiments, the systems have a greater flux than other known estrogen devices (such as Vivelle-Dot®, manufactured by Noven Pharmaceutcials Inc.), and, therefore, exhibit increased drug delivery per unit area of the active surface area. For example, in some embodiments, the systems exhibit a flux greater than the 0.01 mg/cm²/day exhibited by the Vivelle-Dot® products, such as a flux that is about 1.25, 1.33, 1.5, 1.67, 1.75, 2, 3, 4, or 5 times the flux of the

Vivelle-Dot® products. In specific embodiments, the systems exhibit a flux that is about 1.67 times the flux of the Vivelle-Dot® products, e.g., a flux that is about 0.0167 mg/cm<sup>2</sup>/day.

[0074] In some embodiments, the systems have a greater coat weight than other known estrogen devices. For example, in some embodiments, the systems have a coat weight such that the amount of estradiol per unit area of the active surface area is greater than the 0.156 mg/cm<sup>2</sup> estradiol of the Vivelle-Dot® products, such as a coat weight that is about 1.25, 1.33, 1.5, 1.67, 1.75, 2 or 3 times the coat weight of the Vivelle-Dot® products, or greater. In specific embodiments, the systems have a coat weight that is about 1.25 times the coat weight of the Vivelle-Dot® products, e.g., a coat weight of about 12.5 mg/cm<sup>2</sup>. In other specific embodiments, the systems have a coat weight that is about 1.5 times the coat weight of the Vivelle-Dot® products, e.g., a coat weight of about 15 mg/cm<sup>2</sup>.

[0075] The system may be of any shape or size suitable for transdermal application. In some embodiments, the systems are smaller than the Vivelle-Dot® products, but achieve comparable daily dosages. For example, the systems may have an active surface area of 0.9, 0.8, 0.7, 0.75, 0.66, 0.6, 0.5, 0.4, 0.33, 0.3, 0.25, 0.2, or 0.1 times the active surface area of a Vivelle-Dot® product. In some embodiments, the system has an active surface area that is about 60% the size of a Vivelle-Dot® product, such as about 60% of 2.5, 3.75, 5.0, 7.5 or 10.0 cm², and delivers a daily dosage of estradiol comparable to that of the corresponding Vivelle-Dot® product. In one embodiment, the system has an active surface area of about 6 cm² and delivers a daily dosage of estradiol comparable to that of the 10 cm² Vivelle-Dot® product, e.g., about 0.1 mg/day.

[0076] The polymer matrices described herein may be prepared by methods known in the art. The polymer matrices can be formed into systems by methods known in the art. For example, the polymer matrix material can be applied to a backing layer and release liner by methods known in the art, and formed into sizes and shapes suitable for use.

[0077] For example, after the polymer matrix is formed, it may be brought into contact with a support layer, such a releaser liner layer or backing layer, in any

manner known to those of skill in the art. Such techniques include calender coating, hot melt coating, solution coating, etc.

[0078] For example, a polymer matrix can be prepared by blending the components of the polymer matrix, applying the matrix material to a support layer such as a backing layer or release liner, and removing any remaining solvents. The estrogen can be added at any stage. In one embodiment, all polymer matrix components, including estrogen, are blended together. In another embodiment, the polymer matrix components other than estrogen are blended together, and then the estrogen is dissolved or dispersed therein. The order of steps, amount of ingredients, and the amount and time of agitation or mixing can be determined and optimized by the skilled practitioner. An exemplary general method is as follows:

Appropriate amounts of soluble PVP, solvent(s), enhancer(s), and organic solvent(s) (for example toluene) are combined and thoroughly mixed together in a vessel.

Estrogen is then added to the mixture and agitation is carried out until the drug is uniformly mixed in.

Appropriate amounts of polysiloxane and acrylic polymer are then added to the drug mixture, and thoroughly mixed.

The formulation is then transferred to a coating operation where it is coated onto a protective release liner at a controlled specified thickness. The coated product is then passed through an oven in order to drive off all volatile processing solvents.

The dried product on the release liner is then joined to the backing material and wound into rolls for storage.

Appropriate size and shape "systems" are die-cut from the roll material and then pouched.

[0079] Other manufacturing methods are known in the art that are suitable for making the systems described herein.

[0080] In some embodiments, there is provided a method of effecting transdermal drug delivery of estrogen, such as estradiol, by applying a system as described herein to the skin or mucosa of a subject in need thereof. In some embodiments, the system comprises estradiol, and the system is applied over a period of at least about 1 day, at least about 2 days, at least about 3 days, at least about 4 days, at least about 5 days, at

least about 6 days, or at least about 7 days, such as for 1, 2, 3, 4, 5, 6 or 7 days. In some embodiments, the method is effective to achieve therapeutic levels of estrogen in the subject during the application period. As noted above, a typical dosage ranges from at least about 0.025 mg to at least about 0.1 mg of estradiol per day, such as from about 0.025 mg to about 0.1 mg of estradiol per day, including about 0.025 mg/day, about 0.0375 mg/day, about 0.075 mg/day, or about 0.1 mg/day, such as 0.025-0.1 mg/day, 0.025 mg/day, 0.0375 mg/day, 0.05 mg/day, 0.075 mg/day, and 0.1 mg/day.

[0081] The following specific examples are included as illustrative of the transdermal drug delivery systems and polymer matrices described herein. These example are in no way intended to limit the scope of the invention. Other aspects of the invention will be apparent to those skilled in the art to which the invention pertains.

## EXAMPLE 1

[0082] A polymer matrix with the following composition is prepared:

Acrylic Adhesive	20%
Silicone Adhesive	56.9%
Povidone (PVP)	7.5%
Oleyl Alcohol	6.0%
Dipropylene Glycol, USP	8.0%
Estradiol	1.6%

(all % are % by weight based on the dry weight of the total polymer matrix)

[0083] The polymer matrix is applied to a release liner at a coat weight of 12.5 ( $\bullet$ ) or 15 ( $\triangle$ ) mg/cm<sup>2</sup>.

[0084] Human cadaver skin permeation studies were performed to quantitatively determine the effective permeation through the stratum corneum. The stratum corneum was obtained from split thickness, cryo-preserved cadaver skin by the heat separation technique. Samples of 5/16" diameter were cut from the laminate, in quadruplicate, and mounted onto 1/2" cut pieces of the stratum corneum. These samples were then placed on modified Franz diffusion cells. The receptor was filled

with 7.5 mL of 0.9% NaCl and 0.01% NaN<sub>3</sub> in deionized water. The cells were maintained at a constant 32°C and were magnetically stirred at approximately 300 rpm. At specified time points, samples of the receptor phase were taken with complete replacement of the receptor phase. These samples were quantified by high-performance liquid chromatography (HPLC) utilizing Waters HPLC instrumentation. C-8 (15 cm x 4.6 mm) 5 μm particle size columns (HYPERSIL made by MetaChem Technologies, Inc., Torrance, Calif.) were used at 50 °C. (column temperature). [0085] Figure 1 illustrates the estradiol flux (μg/cm²/hr) over time (0-81 hours) from transdermal delivery systems according to the invention (Δ & •), as compared to Vivelle-Dot® (•).

[0086] The results show that the systems according to the invention have a greater flux than the Vivelle-Dot® product and are able to achieve therapeutic daily dosages despite their significantly smaller size.

# What is claimed is:

1. A transdermal drug delivery system comprising a drug containing layer defining an active surface area and comprising a polymer matrix comprising estradiol, wherein the system includes greater than 0.156 mg/cm<sup>2</sup> estradiol and achieves an estradiol flux that is greater than 0.01 mg/cm<sup>2</sup>/day, based on the active surface area.

- 2. The transdermal drug delivery system of claim 1, wherein the polymer matrix comprises a polymer blend comprising an acrylic adhesive, a silicone adhesive, and soluble PVP.
- 3. The transdermal drug delivery system of claim 1, wherein the polymer matrix comprises about 2-25% by weight acrylic adhesive, about 45-70% by weight silicone adhesive, about 2-25% by weight soluble PVP, about 5-15% penetration enhancer, and about 0.1-10% by weight estradiol, all based on the total dry weight of the polymer matrix.
- 4. The transdermal drug delivery system of claim 3, wherein the penetration enhancer comprises oleyl alchol.
- 5. The transdermal drug delivery system of claim 3, wherein the penetration enhancer comprises dipropylene glycol.
- 6. The transdermal drug delivery system of claim 3, wherein the penetration enhancer comprises oleyl alcohol and dipropylene glycol.
- 7. The transdermal drug delivery system of claim 3, wherein the acrylic adhesive and silicone adhesive are present in a ratio of from about 1:2 to about 1:6, based on the total weight of the acrylic and silicone adhesives.
- 8. The transdermal drug delivery system of claim 1, wherein the polymer matrix comprises an amount of estradiol effective to deliver a therapeutically effective

amount of estradiol over a period of time selected from the group consisting of at least 1 day, at least 2 days, at least 3 days, at least 4 days, at least 5 days, at least 6 days and at least 7 days.

- 9. The transdermal drug delivery system of claim 1, wherein the polymer matrix comprises an amount of estradiol effective to deliver an amount of estradiol selected from the group consisting of about 0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day.
- 10. The transdermal drug delivery system of claim 3, wherein the polymer matrix comprises about 20% by weight acrylic adhesive, about 56.9% by weight silicone adhesive, about 7.5% by weight soluble PVP, about 6.0% by weight oleyl alcohol, about 8.0% by weight dipropylene glycol, and about 1.6 % by weight estradiol.
- 11. The transdermal drug delivery system of claim 3, wherein the polymer matrix has a coat weight of greater than about 10 mg/cm<sup>2</sup>.
- 12. The transdermal drug delivery system of claim 11, wherein the polymer matrix has a coat weight selected from the group consisting of about 12.5 and about 15 mg/cm<sup>2</sup>.
- 13. A transdermal drug delivery system comprising a polymer matrix comprising estradiol, wherein the system has an active surface area that is about 60% of a size selected from the group consisting of 2.5, 3.75, 5.0, 7.5 and 10.0 cm<sup>2</sup> and is effective to deliver an amount of estradiol per day of about 0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day, respectively.
- 14. A method for administering estradiol, comprising applying to the skin or mucosa of a subject in need thereof a transdermal drug delivery system comprising a drug-containing layer defining an active surface area and comprising a polymer matrix comprising estradiol, wherein the system includes greater than 0.156 mg/cm<sup>2</sup>

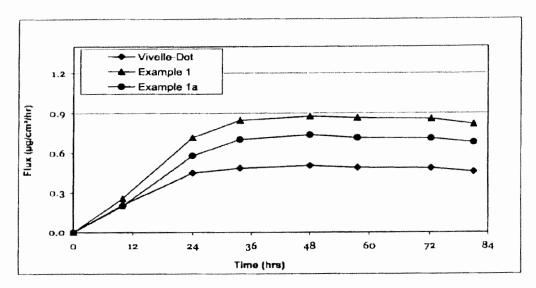
estradiol and achieves an estradiol flux that is greater than 0.01 mg/cm<sup>2</sup>/day, based on the active surface area.

- 15. The method of claim 14, wherein the system has an active surface area that is about 60% of a size selected from the group consisting of 2.5, 3.75, 5.0, 7.5 and 10.0 cm<sup>2</sup> and is effective to deliver an amount of estradiol per day of about 0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day, respectively.
- 16. A method of making a transdermal drug delivery system for administering estrogen, comprising forming a polymer matrix comprising estrogen and a polymer blend comprising an acrylic adhesive, a silicone adhesive, and soluble PVP, and applying the polymer matrix to a support layer such that the system includes greater than 0.156 mg/cm<sup>2</sup> estradiol.
- 17. The method of claim 16, wherein the system has an active surface area that is about 60% of a size selected from the group consisting of 2.5, 3.75, 5.0, 7.5 and 10.0 cm<sup>2</sup>.
- 18. The method of claim 16, wherein the polymer matrix comprises about 20% by weight acrylic adhesive, about 56.9% by weight silicone adhesive, about 7.5% by weight soluble PVP, about 6.0% by weight oleyl alcohol, about 8.0% by weight dipropylene glycol, and about 1.6% by weight estradiol.
- 19. The method of claim 16, wherein the polymer matrix is applied to the support layer at a coat weight of greater than about 10 mg/cm<sup>2</sup>.
- 20. The method of claim 19, wherein the polymer matrix coat weight is selected from the group consisting of about 12.5 and about 15 mg/cm<sup>2</sup>.

# ABSTRACT OF THE DISCLOSURE

Described are transdermal drug delivery systems for the transdermal administration of estrogen, comprising a polymer matrix and estrogen. Methods of making and using such systems also are described.

Figure 1



Electronic Acl	knowledgement Receipt
EFS ID:	16833742
Application Number:	14024985
International Application Number:	
Confirmation Number:	7031
Title of Invention:	TRANSDERMAL ESTROGEN DEVICE AND DELIVERY
First Named Inventor/Applicant Name:	Juan Mantelle
Customer Number:	22428
Filer:	Courtenay C. Brinckerhoff
Filer Authorized By:	
Attorney Docket Number:	041457-1016
Receipt Date:	12-SEP-2013
Filing Date:	
Time Stamp:	13:58:12
Application Type:	Utility under 35 USC 111(a)

# **Payment information:**

|--|

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		contapp.pdf	1814653	ves	36
		comapp.pai	cc077271eeb0ac1f6d058fc039820c957d27 d7d3	, i	30

Multipart Description/PDF files in .zip description								
Document Description	Start	End						
Transmittal of New Application	1	3						
Application Data Sheet	4	8						
Specification	9	31						
Claims	32	34						
Abstract	35	35						
Drawings-only black and white line drawings	36	36						
		I						

#### Warnings:

#### Information:

Total Files Size (in bytes): 1814653

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### **New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

	PATE	NT APPLI		N FEE DE titute for Form		ION RECORI	D	Applicat 14/02	tion or Docket Num 4,985	ber
	APPL	ICATION AS			umn 2)	SMALL	ENTITY	OR	OTHER SMALL	
	FOR	NUMBE	RFILED	NUMBE	R EXTRA	RATE(\$)	FEE(\$)		RATE(\$)	FEE(\$)
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	ARCH FEE FR 1.16(k), (i), or (m))	N/	Ά	N	J/A	N/A		1	N/A	600
(37 C	MINATION FEE FR 1.16(o), (p), or (q))	N/	Ά	N	I/A	N/A			N/A	720
	AL CLAIMS FR 1.16(i))	20	minus 2	*				OR	x 80 =	0.00
	EPENDENT CLAIN FR 1.16(h))	<sup>1S</sup> 4	minus 3	8 = *	1				x 420 =	420
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MUL	TIPLE DEPENDE	NT CLAIM PRES	SENT (37	CFR 1.16(j))						0.00
* If t	he difference in col	umn 1 is less tha	an zero, e	enter "0" in colun	nn 2.	TOTAL		1	TOTAL	2020
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JEN	Total (37 CFR 1.16(i))	*	Minus	**	=	x =		OR	x =	
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AME	Application Size Fee	e (37 CFR 1.16(s))	<u> </u>		•			1		
	FIRST PRESENTA	TION OF MULTIPLI	E DEPEN	DENT CLAIM (37 C	FR 1.16(j))			OR		
						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
IT B		(Column 1)  CLAIMS  REMAINING  AFTER  AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
ME	Total (37 CFR 1.16(i))	*	Minus	**	=	x =		OR	x =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=	x =		OR	x =	
AM	Application Size Fee (37 CFR 1.16(s))							]		
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#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PC. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
14/024 985	09/12/2013	1615	0.00	041457-1016	20	4

**CONFIRMATION NO. 7031** 

**FILING RECEIPT** 

22428
FOLEY AND LARDNER LLP
SUITE 500
3000 K STREET NW
WASHINGTON, DC 20007

Date Mailed: 10/07/2013

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Juan Mantelle, Miami, FL;

Applicant(s)

Juan Mantelle, Miami, FL;

**Assignment For Published Patent Application** 

NOVEN PHARMACEUTICALS, INC., Miami, FL

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a CON of 13/553,972 07/20/2012 which is a CON of 12/216,811 07/10/2008 PAT 8231906

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access - A proper **Authorization to Permit Access to Application by Participating Offices** (PTO/SB/39 or its equivalent) has been received by the USPTO.

If Required, Foreign Filing License Granted: 09/26/2013

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is US 14/024,985

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

page 1 of 3

Early Publication Request: No

Title

TRANSDERMAL ESTROGEN DEVICE AND DELIVERY

**Preliminary Class** 

424

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

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#### Title 35, United States Code, Section 184

#### Title 37, Code of Federal Regulations, 5.11 & 5.15

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#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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22428

SUITE 500

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

3000 K STREET NW WASHINGTON, DC 20007 FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE 041457-1016

14/024,985

FOLEY AND LARDNER LLP

09/12/2013

Juan Mantelle

**CONFIRMATION NO. 7031** 

**FORMALITIES LETTER** 

Date Mailed: 10/07/2013

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

 The statutory basic filing fee is missing. Applicant must submit \$280 to complete the basic filing fee for an undiscounted entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27) or make a certification of entitlement to micro entity status and pay the micro entity filing fee (37 CFR 1.29).

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$ 420 as an undiscounted entity, including any required multiple dependent claim fee. are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- · A surcharge (for late submission of the basic filing fee, search fee, examination fee or inventor's oath or declaration) as set forth in 37 CFR 1.16(f) of \$ 140 for an undiscounted entity, must be submitted.

#### **SUMMARY OF FEES DUE:**

Total fee(s) required within TWO MONTHS from the date of this Notice is \$ 2160 for an undiscounted entity

- \$ 280 Statutory basic filing fee.
- •\$ 140 Surcharge.
- The application search fee has not been paid. Applicant must submit \$ 600 to complete the search fee.
- The application examination fee has not been paid. Applicant must submit \$ 720 to complete the examination fee for an undiscounted entity.
- Total additional claim fee(s) for this application is \$ 420
  - \$ 420 for 1 independent claims over 3.

#### **Items Required To Avoid Processing Delays:**

page 1 of 2

Applicant is notified that the above-identified application contains the deficiencies noted below. No period for reply is set forth in this notice for correction of these deficiencies. However, if a deficiency relates to the inventor's oath or declaration, the applicant must file an oath or declaration in compliance with 37 CFR 1.63, or a substitute statement in compliance with 37 CFR 1.64, executed by or with respect to each actual inventor no later than the expiration of the time period set in the "Notice of Allowability" to avoid abandonment. See 37 CFR 1.53(f).

A properly executed inventor's oath or declaration has not been received for the following inventor(s):
 Juan Mantelle

Applicant may submit the inventor's oath or declaration at any time before the Notice of Allowance and Fee(s) Due, PTOL-85, is mailed.

Replies must be received in the USPTO within the set time period or must include a proper Certificate of Mailing or Transmission under 37 CFR 1.8 with a mailing or transmission date within the set time period. For more information and a suggested format, see Form PTO/SB/92 and MPEP 512.

Replies should be mailed to:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

/ebanaybanay/	
Office of Data Management, Application Assistance Unit (571)	272-4000. or (571) 272-4200. or 1-888-786-0101

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name:

Juan Mantelle

Title:

Transdermal Estrogen Device

and Delivery

Appl. No.:

14/024985

Filing Date:

9/12/2013

Examiner:

Unassigned

Art Unit:

1615

Confirmation Number:

7031

# TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

In response to the Notice to File Missing Parts of Application mailed 10/07/2013, in the above-identified patent application, transmitted herewith are the missing parts to complete the filing of the subject patent application.

#### Enclosed are:

- [X] Preliminary Amendment (6 pages)
- [X] Executed Declaration (3 pages)
- [X] Return Copy of Notice to File Missing Parts
- [X] Transmittal of Second Application Data Sheet and Request for Corrected Filing Receipt
- [X] Second Application Data Sheet

[X]	Statement under 37 CFR 3.73(c)
[X]	Power of Attorney
[ X ]	Information Disclosure Statment
[X]	Form PTO/SB/08
[ <b>X</b> ]	Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:
	[ ] Extension for response filed within the first month
	[ ] Extension for response filed within the second month
	[ ] Extension for response filed within the third month
	[ X ] Extension for response filed within the fourth month
	[ ] Extension for response filed within the fifth month

The adjustment to the number of sheets for EFS-Web filing follows:

Number of Sheets		EFS-Web Adjustment	Number of Sheets for EFS-Web
_27	X	75%	21

The filing fee is calculated below at the large entity rate:

	Claims	I	ncluded		Extra Claims				Fee
	as Filed	В	in asic Fe	e			Rate		Totals
Basic Filing Fee, Search Fee & Examination Fee							\$1,600.00		\$1,600.00
Size Fee	21	-	100	-	0	X	\$400.00	=	\$0.00
Total Claims:	11	_	20		0	X	\$80.00	-	\$0.00
Independ ents:	2	-	3	===	0	X	\$420.00	ATTENDANT ATTENDED	\$0.00
If any Multiple Dependent Claim(s) present:					esent:	+	\$780.00	******	\$0.00
Surcharge under 37 CFR 1.16(f) for late filing of Executed Declaration and late payment of filing fee						+	\$140.00	MANAGA MA	\$140.00
[X] Extension fee for response filed within the fourth month:					+	\$2,200.00	procedure, anomalie	\$2,200.00	
Non-electro	onic filing	g fee				+		==	\$0.00
					TOTA	L I	FILING FEE:		\$3,940.00
Processing Fee under 37 CFR 1.17(i) for Late Filing of English Translation of Application:						+	\$140.00	ARRANGE MANAGE	\$0.00
Z							TOTAL FEE		\$3,940.00
Difference	to pay:					-	\$0.00	-	\$3,940.00

The above-identified fees of \$3,940.00 are being paid by credit card via EFS-Web.

4834-7474-3578.1

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment instructions in EFS-Web being incorrect or absent, resulting in a rejected or incorrect credit card transaction, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date April 7, 2014

FOLEY & LARDNER LLP

Customer Number: 22428

Telephone: (202) 295-4094

Facsimile: (202) 672-5399 Courtenay C. Brinckerhoff Attorney for Applicant

By Centy Buch

Registration No. 37,288

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Juan Mantelle

Title: Transdermal Estrogen Device and Delivery

14/024,985 Appl. No.:

Filing Date: September 12, 2013

Examiner: Unassigned

Art Unit: 1615

Confirmation 7031

Number:

#### PRELIMINARY AMENDMENT UNDER 37 CFR 1.115

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

Applicant respectfully requests that the application be amended as follows prior to examination:

Amendments to the Specification begin on page 2 of this document.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this document.

Remarks/Arguments begin on page 5 of this document.

Please amend the application as follows:

## **Amendments to the Specification:**

Please add the following paragraph after the title and before the FIELD OF THE INVENTION:

This application is a continuation of U.S. Patent Application serial 13/553,972, filed July 20, 2012, which is a continuation of U.S. Patent Application 12/216,811, filed July 10, 2008 (now U.S. Patent 8,231,906), which are incorporated herein by reference in their entirety.

### **Amendments to the Claims:**

This listing of claims will replace all prior versions, and listings, of claims in the application:

#### **Listing of Claims:**

- 1. (Currently Amended) A monolithic transdermal drug delivery system for estradiol, eomprising consisting of (i) a backing layer, (ii) a single polymer matrix drug containing layer defining an active surface area and, optionally, (iii) a release liner, wherein the single polymer matrix layer eomprising comprises a polymer matrix comprising estradiol as the only drug, wherein the system polymer matrix layer includes greater than 0.156 mg/cm<sup>2</sup> estradiol and achieves an estradiol flux that is greater than 0.01 mg/cm<sup>2</sup>/day, based on the active surface area.
- 2. (Original) The transdermal drug delivery system of claim 1, wherein the polymer matrix comprises a polymer blend comprising an acrylic adhesive, a silicone adhesive, and soluble PVP.
- 3. (Original) The transdermal drug delivery system of claim 1, wherein the polymer matrix comprises about 2-25% by weight acrylic adhesive, about 45-70% by weight silicone adhesive, about 2-25% by weight soluble PVP, about 5-15% penetration enhancer, and about 0.1-10% by weight estradiol, all based on the total dry weight of the polymer matrix.
- 4. (Original) The transdermal drug delivery system of claim 3, wherein the penetration enhancer comprises oleyl alchol.
- 5. (Original) The transdermal drug delivery system of claim 3, wherein the penetration enhancer comprises dipropylene glycol.
- 6. (Original) The transdermal drug delivery system of claim 3, wherein the penetration enhancer comprises oleyl alcohol and dipropylene glycol.

- 7. (Original) The transdermal drug delivery system of claim 3, wherein the acrylic adhesive and silicone adhesive are present in a ratio of from about 1:2 to about 1:6, based on the total weight of the acrylic and silicone adhesives.
- 8. (Original) The transdermal drug delivery system of claim 1, wherein the polymer matrix comprises an amount of estradiol effective to deliver a therapeutically effective amount of estradiol over a period of time selected from the group consisting of at least 1 day, at least 2 days, at least 3 days, at least 4 days, at least 5 days, at least 6 days and at least 7 days.
- 9. (Original) The transdermal drug delivery system of claim 1, wherein the polymer matrix comprises an amount of estradiol effective to deliver an amount of estradiol selected from the group consisting of about 0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day.
  - 10. (Canceled)
- 11. (Original) The transdermal drug delivery system of claim 3, wherein the polymer matrix has a coat weight of greater than about 10 mg/cm<sup>2</sup>.
  - 12. (Canceled)
- 13. (Currently Amended) A monolithic transdermal drug delivery system for estradiol emprising consisting of (i) a backing layer, (ii) a single polymer matrix layer and, optionally, (iii) a release liner, wherein the single polymer matrix layer emprising comprises estradiol as the only drug, wherein the system has an active surface area that is about 60% of a size selected from the group consisting of 2.5, 3.75, 5.0, 7.5 and 10.0 cm<sup>2</sup> and is effective to deliver an amount of estradiol per day of about 0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day, respectively.

Claims 14-20 (Canceled)

#### **REMARKS**

Applicant respectfully requests that the foregoing amendments be made prior to examination.

The specification is amended to add a paragraph reflecting the priority claim.

The claims are amended to recite specific embodiments that are described throughout the application as filed. For example, support for the recitation of monolithic transdermal drug delivery systems consisting of a backing layer, a single polymer matrix layer comprising estradiol as the only drug, and, optionally, a release liner is found throughout the specification as filed, including in paragraphs [0034] and [0037], and in the examples. These claims encompass subject matter within the elected group of grandparent application U.S. Patent Application 12/216,811, filed July 10, 2008 (now U.S. Patent 8,231,906), and the withdrawn group of parent application U.S. Patent Application serial 13/553,972, filed July 20, 2012, which is still pending.

Claims 10, 12 and 14-20 are canceled without prejudice or disclaimer.

These amendments are made without prejudice or disclaimer and Applicant reserves the right to pursue any canceled subject matter in one or more continuing applications with the same rights of priority as the instant application.

Upon entry of these amendments, claims 1-9, 11, and 13 will remain pending. These claims are presented for examination.

The Examiner is invited to contact the undersigned by telephone if it is felt that a telephone interview would advance prosecution.

Respectfully submitted,

FOLEY & LARDNER LLP

Customer Number: 22428

Telephone: (202) 295-4094 Facsimile:

(202) 672-5399

Courtenay C. Brinckerhoff

Attorney for Applicant

Registration No. 37,288

#### DECLARATION

As a below named inventor, I HEREBY DECLARE:

THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

	Transdermal Estrogen Device and Delivery							
	(Attorney Docket No. 041457-1016)							
the application of whi	ch (check one)							
National State	is attached hereto.							
_X	was filed on <u>09/12/2013</u> as United States Application Number or PCT International Application Number <u>14/024,985</u> and was amended on (if applicable).							

THAT the above-identified application was made or authorized to be made by me.

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before I (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified application, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified application contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I HEREBY CLAIM foreign priority benefits under Title 35, United States Code §119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number	Country	Foreign Filing Date	Priority Claimed?	Certified Copy Attached?
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I HEREBY CLAIM the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

U.S. Provisional Application Number	Filing Date

I HEREBY CLAIM the benefit under Title 35, United States Code, §120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of

4821-9434-6261.1 Page 2 of 3

Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

	U.S. Parent	PCT Parent	Parent	Parent
DODDAY-SON	Application Number	Application Number	Filing Date	Patent Number
	12/216,811		7/10/2008	
- Commonwealth	13/553,972		7/20/2012	

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment of not more than five (5) years, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Name of first inventor	Juan Mantelle
Residence	Miami, Florida
Citizenship Country	U.S.A.
Post Office Address	9827 S.W. 106th Terrace Miami, Florida 33176
Inventor's signature	
Date	1 / 12/18/13



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspfo.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

14/024,985

09/12/2013

Juan Mantelle

041457-1016 CONFIRMATION NO. 7031

FORMALITIES LETTER

\*OC00000064057068\*

Date Mailed: 10/07/2013

22428
FOLEY AND LARDNER LLP
SUITE 500
3000 K STREET NW
WASHINGTON, DC 20007

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

• The statutory basic filing fee is missing.

Applicant must submit \$280 to complete the basic filing fee for an undiscounted entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27) or make a certification of entitlement to micro entity status and pay the micro entity filing fee (37 CFR 1.29).

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$ 420 as an undiscounted entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- A surcharge (for late submission of the basic filing fee, search fee, examination fee or inventor's oath or declaration) as set forth in 37 CFR 1.16(f) of \$ 140 for an undiscounted entity, must be submitted.

#### **SUMMARY OF FEES DUE:**

Total fee(s) required within TWO MONTHS from the date of this Notice is \$ 2160 for an undiscounted entity

- \$ 280 Statutory basic filing fee.
- \$ 140 Surcharge.
- The application search fee has not been paid. Applicant must submit \$ 600 to complete the search fee.
- The application examination fee has not been paid. Applicant must submit \$ 720 to complete the examination fee for an undiscounted entity.
- Total additional claim fee(s) for this application is \$ 420
  - \$ 420 for 1 independent claims over 3.

#### **Items Required To Avoid Processing Delays:**

page 1 of 2

Applicant is notified that the above-identified application contains the deficiencies noted below. No period for reply is set forth in this notice for correction of these deficiencies. However, if a deficiency relates to the inventor's oath or declaration, the applicant must file an oath or declaration in compliance with 37 CFR 1.63, or a substitute statement in compliance with 37 CFR 1.64, executed by or with respect to each actual inventor no later than the expiration of the time period set in the "Notice of Allowability" to avoid abandonment. See 37 CFR 1.53(f).

• A properly executed inventor's oath or declaration has not been received for the following inventor(s): Juan Mantelle

Applicant may submit the inventor's oath or declaration at any time before the Notice of Allowance and Fee(s) Due, PTOL-85, is mailed.

Replies must be received in the USPTO within the set time period or must include a proper Certificate of Mailing or Transmission under 37 CFR 1.8 with a mailing or transmission date within the set time period. For more information and a suggested format, see Form PTO/SB/92 and MPEP 512.

Replies should be mailed to:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

/ebanaybanay/
Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-010

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name: Juan Mantelle

Title: Transdermal Estrogen Device and

Delivery

Appl. No.: 14/024985

Filing Date: 9/12/2013

Examiner: Unassigned

Art Unit: 1615

Confirmation Number: 7031

### TRANSMITTAL OF SECOND APPLICATION DATA SHEET AND REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

Attached is a Second Application Data Sheet for the captioned application.

The Second Application Data Sheet is being submitted in compliance with 37 CFR 1.76 to update the name of the Applicant to the Assignee: NOVEN PHARMACEUTICALS, INC. This update has been marked by underlining on the Second Application Data Sheet.

Applicant respectfully requests that a Corrected Filing Receipt be issued to reflect the updated Applicant information.

Although Applicant believes no fee is due, the Commissioner is authorized to charge deposit account number 19-0741 for any required fees.

Date

FOLEY & LARDNER LLP

Customer Number: 22428 Telephone: (202) 295-4094

Facsimile:

(202) 672-5399

Respectfully submitted,

Courtenay C. Brinckerhoff

Attorney for Applicant Registration No. 37,288

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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Application Data Sheet 37 CF			eet 37 CFR	1.76	Attorney	Docket I	Number	041457-1016				
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Approved for use through 01/31/2014. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application bat	a Sheet 37 C	ED 176	Attorney Docket Number	041457-10	10
	a Sheet St C	FK 1.70	Application Number	14/024,985	
Title of Invention	Transdermal Estr	ogen Device	and Delivery		
application papers includ	ling a specification	and any draw	eference under 35 U.S.C. 111(c) ings are being filed. Any dome: tic Benefit/National Stage Infori	tic benefit or fo	(a). Do not complete this section if reign priority information must be reign Priority Information").
			description and any drawings on ditions and requirements of 37		oplication are replaced by this
Application number of filed application	the previously	Filing da	e (YYYY-MM-DD)	Intell	ectual Property Authority or Country
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Request Early	Publication (Fee	required at	time of Request 37 CFR 1	.219)	
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	041457-1016
		Application Number	14/024,985
Title of Invention	Transdermal Estrogen Device	and Delivery	

# Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)<sup>1</sup> the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

Application Number	Country	Filing Date (YYYY-MM-DD)	Access Code <sup>i</sup> (if applicable)
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# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.
NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

#### **Authorization to Permit Access:**

$\boxtimes$	Authorization to Permit Access to the Instant Application by the Participating Offices

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	041457-1016
		Application Number	14/024,985
Title of Invention	Transdermal Estrogen Device	and Delivery	

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing this Authorization.

## **Applicant Information:**

Providing assignment info to have an assignment red			for compliance with any i	requirement of part 3 of Title 37 of CFR		
Applicant 1						
The information to be provided 1.43; or the name and addressed who otherwise shows suffice applicant under 37 CFR 1.4	ded in this seess of the a ess of the a ient propriet 6 (assignee	ection is the name and addres ssignee, person to whom the in tary interest in the matter who be, person to whom the inventor	s of the legal representat nventor is under an obliga is the applicant under 37 is obligated to assign, or	this section should not be completed, ive who is the applicant under 37 CFR ation to assign the invention, or person CFR 1.46. If the applicant is an person who otherwise shows sufficient rs who are also the applicant should be		
● Assignee						
Person to whom the inve	entor is oblig	ated to assign.	Person who sho	ws sufficient proprietary interest		
If applicant is the legal representative, indicate the authority to file the patent application, the inventor is:						
Name of the Deceased of	or Legally I	ncapacitated Inventor:				
If the Applicant is an Or	ganization	check here.				
Organization Name	NOVEN PI	HARMACEUTICALS, INC.				
Mailing Address Infor	mation Fo	r Applicant:				
Address 1	11960	Southwest 144th Street				
Address 2						
City	Miami		State/Province	<u>FL</u>		
Country US			Postal Code	33186		
Phone Number			Fax Number			

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			Attornov Dooket Niem	bor I	041457	1016	
Application D	ata Shee	t 37 CFR 1.76	Attorney Docket Num Application Number		14/024,		
			Application Number		14/024,	703	
Title of Invention	Transder	mal Estrogen Device	and Delivery				anninnek san san san sir sak san sir sak san san sak siyak kalik salik salik sak siyak siyak sik sak sir. Ak s
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application publication	on . An assigr olicant. For a	nee-applicant identific	g non-applicant assignee ed in the "Applicant Inform , complete this section on	nation" se	ection v	vill appear on th	e patent application
If the Assignee o	Non-Applic	cant Assignee is ar	Organization check he	ere.			
Prefix	Giv	en Name	Middle Name	Far	nily N	ame	Suffix
Mailing Address	nformation	ı For Assignee ind	cluding Non-Applican	t Assig	nee:		
Address 1							
Address 2							
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Signature:		asoniaines piloponaa kya rin ayolop direnenen virayan kutainen dakapianilion syap, kar epa-dakkonpil		reasentiis muuginamui ja kapi kipa kuringa kapa kapi		gipe dat ng ang gal naghawa ganking ng pinakhan dagan gan balana aking pama akin gahasa salan	dan yake galanda hiisy da ayay ishar qaray da dadana, yake da baharanda shiboyo kaye gala ishay galakiliya qib
NOTE: This form certifications.	must be sig	gned in accordance	e with 37 CFR 1.33. Se	ee 37 CI	FR 1.4	for signature	requirements and
Signature	hules	C Bru	deitH		Date (	YYYY-MM-DE	1) 20140407
First Name Co	urtenay C.	Last Name	Brinckerhoff	F	Registi	ration Number	37288
Additional Signat	ure may be	generated within th	nis form by selecting the	e Add bi	utton.		

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Application Da	ita Sheet 37 CFR 1.76	Attorney Docket Number	041457-1016
Application ba	ita Sheet S7 OF K 1.70	Application Number	14/024,985
Title of Invention	Transdermal Estrogen Device	and Delivery	

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

PTO/AIA/96 (08-12)
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STATEMENT UNDER 37 CFR 3.73(c)	
Applicant/Patent Owner: Juan Mantelle	
Application No./Patent No.: 14/024985 Filed/Issue Date: 9/12/2013	
Titled: Transdermal Estrogen Device and Delivery	
NOVEN PHARMACEUTICALS, INC. , a Corporation	
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government of Assignee)	nent agency, etc.)
states that, for the patent application/patent identified above, it is (choose <b>one</b> of options 1, 2, 3 or 4 below):	
1. The assignee of the entire right, title, and interest.	
2. An assignee of less than the entire right, title, and interest (check applicable box):	
The extent (by percentage) of its ownership interest is%. Additional Statement(s) holding the balance of the interest <u>must be submitted</u> to account for 100% of the ownership interest.	by the owners
There are unspecified percentages of ownership. The other parties, including inventors, who tog right, title and interest are:	ether own the entire
Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to a right, title, and interest.	account for the entire
3. The assignee of an undivided interest in the entirety (a complete assignment from one of the joint invided the other parties, including inventors, who together own the entire right, title, and interest are:	ventors was made).
Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to a right, title, and interest.	ccount for the entire
4. The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest ir complete transfer of ownership interest was made). The certified document(s) showing the transfer is attach	
The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose <u>one</u> of options A	A or B below):
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment the United States Patent and Trademark Office at Reel 021510 , Frame 0897 , or for thereof is attached.	
B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current as	ssignee as follows:
1. From: To:	
The document was recorded in the United States Patent and Trademark Office at	
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2. From: To:	
The document was recorded in the United States Patent and Trademark Office at	
Reel, Frame, or for which a copy thereof is attached.	

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND** TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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		<u>STATEMEI</u>	NT UNDER 37 CFR 3.73(	<u>c)</u>
3. From:			To:	
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	Reel	, Frame	, or for which a copy the	reof is attached.
4. From:			To:	
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5. From:			To:	
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Add	itional document	s in the chain of title are	listed on a supplemental sheet	(s).
			entary evidence of the chain of ed for recordation pursuant to 3	title from the original owner to the 87 CFR 3.11.
				t(s)) must be submitted to Assignment cords of the USPTO. See MPEP 302.08]
The undersign	ned (whose title is	s supplied below) is auth	orized to act on behalf of the as	Signee.  4.4.18/4  Date
	ay C. Brind	kerhoff		37,288
Printed or Typ	ed Name			Title or Registration Number

[Page 2 of 2]

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	Substitute for form 1449/PTO			С	Complete if Known		
INFORMATION DISCLOSURE			LOSURE	Application Number	14/024,985		
	STATEMENT BY APPLICANT			Filing Date	09/12/2013		
	Date Submitted: April 7, 2014  (use as many sheets as necessary)			First Named Inventor	Juan Mantelle		
				Art Unit	1615		
				Examiner Name	Unassigned		
Sheet	1	of	4	Attorney Docket Number	041457-1016		

		~	U.S. PATENT DO	CUMENIS	,
Examin er Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	A1	2013/0156815	06/20/2013	MANTELLE	en men aum aun aus acces es aus en estades aus en amma aprocessores des aux momment annu en expressores en en
	A2	8,231,906	07/31/2012	MANTELLE	
	A3	8,343,538	04/13/2006	KANIOS ET AL.	
	A4	5,446,070	08/29/1995	MANTELLE	
	<b>A</b> 5	4,915,950	04/1990	MIRANDA ET AL.	
	A6	6,562,363	05/13/2003	MANTELLE ET AL.	***************************************
	A7	6,221,383	04/24/2001	MIRANDA ET AL.	
	A8	6,235,306	05/22/2001	MIRANDA ET AL.	
	A9	2005/0169977 A1	08/04/2005	KANIOS	
	A10	2005/0129749 A1	06/16/2005	STRAUSS	
	A11	2006/0240087 A1	10/26/2006	HOUZE ET AL.	
	A12	2006/0233870 A1	10/19/2006	HOUZE ET AL.	
	A13	4,994,278	02/19/1991	SABOLTSKY ET AL.	
	A14	4,494,278	2/19/1991	SABLOTSKY ET AL.	
	A15	5,300,291	4/5/1994	SABLOTSKY ET AL.	
***************************************	A16	5,958,446	9/28/1999	MIRANDA ET AL.	
	A17	5,474,783	12/12/1995	MIRANDA ET AL.	
	A18	4,814,168	3/21/1989	SABLOTSKY ET AL.	
	A19	4,994,267	2/19/1991	SABLOTSKY	
	A20	5,565,286	8/12/1997	MIRANDA ET AL.	
	A21	6,024,976	2/15/2000	MIRANDA ET AL.	
	A22	6,337,086	1/8/2002	KANIOS ET AL.	
.,	A23	6,638,528	10/2003	KANIOS	
	A24	RE 35,474	3/11/1997	WOODARD ET AL.	Reissue of USP 4.655,767
	A25	4,655,767	4/7/1987	WOODARD ET AL.	<del> </del>
	A26	2005/2022073	09/15/2005	JACKSON ET AL.	
	A27	2003/099695	05/29/2003	MUELLER	
	A28	4,591,622	5/27/1986	BLIZZARD ET AL.	
	A29	5,584,355	4/22/1986	BLIZZARD ET AL.	
	A30	4,585,836	4/29/1986	HOMAN ET AL.	
	A31	4,390,520	6/28/1983	NAGAI ET AL.	
	A32	5,665,377	09/1997	GONELLA	
	A33	2003/0228354	12/2003	MURAOKA ET AL.	
	A34	5,730,999	03/24/1998	LEHMANN ET AL.	
	A35	5,505,956	04/09/1996	KIM ET AL.	
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	A36	5,350,581	09/27/1994	KOCHINKE	
	A37	4,983,395	01/08/1991	CHANG ET AL.	
	A38	4,559,222	12/17/1985	ENSCORE ET AL.	
	A39	5,762,952	06/09/1998	BARNHART ET AL.	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional), 2 See Kinds Codes of USPTO Patient Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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	Substitute for form 1449/PTO			С	Complete if Known		
	INFORMATION	DISCI	_OSURE	Application Number	14/024,985	***************************************	
STATEMENT BY APPLICANT			LICANT	Filing Date	09/12/2013		
	Date Submitted: April 7, 2014			First Named Inventor	Juan Mantelle		
				Art Unit	1615	***************************************	
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Sheet	2	of	4	Attorney Docket Number	041457-1016	_	

Examin er	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant
er	A40	4,591,622	05/27/1986	BLIZZARD ET AL.	Vynere Reievant
	A41	4,585,836	04/29/1986	HOMAN ET AL.	
	A42	5,474,787	12/12/1995	GRAY ET AL.	
	A43	2002/0100185 A1	08/01/2002	SITZ ET AL.	
	A44	6,808,739 B2	10/26/2004	SITZ ET AL.	
	A45	5,151,271	09/29/1992	OTSUKA ET AL.	
	A46	5,906,830	05/25/1999	FARINAS ET AL.	
	A47	5,902,603	05/11/1999	CHEN ET AL.	
	A48	5,837,280	11/17/1998	KENEALY ET AL.	
	A49	5,567,488	10/22/1996	ALLEN ET AL.	
	A50	5,271,940	12/21/1993	CLEARY ET AL.	
	A51	4,911,707	03/27/1990	HEIBER ET AL.	
	A52	4,746,515	05/24/1988	CHENG ET AL.	
	A53	5,904,931	05/1999	LIPP ET AL.	
	A54	4,938,759	07/1990	ENSCORE ET AL.	
	A55	5,928,666	07/1999	FARINAS ET AL.	
	A56	4,769,028	09/1998	HOFFMANN ET AL.	
	A57	4,624,665	11/1986	NUWAYESER	
	A58	6,156,335	12/2000	ROVATI ET AL.	THE REAL PROPERTY OF THE PROPE

	UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS							
Examiner	Cite	U.S. Patent Application Document	Filing Date of Cited Document	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant			
Initials*	No. <sup>1</sup>	Serial Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

Examiner	Date	
Signature	Considered	
		l

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO**: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	Substitute for form 1449/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	14/024,985	
				Filing Date	09/12/2013	
Data Culturalitado April 7, 2014			7 2014	First Named Inventor	Juan Mantelle	
Date Submitted: April 7, 2014  (use as many sheets as necessary)				Art Unit	1615	
				Examiner Name	Unassigned	
Sheet	3	of	4	Attorney Docket Number	041457-1016	

		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	ne T <sup>6</sup>	
	A59	VAUGHAN, "Using Solubility Parameters in Cosmetics Formulation," <i>J. Soc. Cosmet. Chem.</i> , Vol. 36, pp. 319-333 (1985).	A CONTRACT OF THE PROPERTY OF	
	A60	SOBIESKI ET AL., "Silicone Pressure Sensitive Adhesives," <i>Handbook of Pressure-Sensitive Adhesive Technology</i> . 2 <sup>nd</sup> ed., pp. 508-517 (D. Satas, ed.), Van Nostrand Reinhold, New York (1989).		
	A61	"Acrylic Adhesives," <i>Handbook of Pressure-Sensitive Adhesive Technology,</i> 2 <sup>nd</sup> ed., pp. 396-456 (D. Satas, ed.), Van Nostrand Reinhold, N.Y. (1989)		
	A62	International Preliminary Report on Patentability and Written Opinion issued April, 19, 2007.		
	A63	International Search Report issued on 04/06/2005 in application number PCT/US2004/029789.		
	A64	International Search Report issued on 02/24/2011 in application number PCT/US2009/050069.		
	A65	"Acrylic and Methacrylic Ester Polymers," <i>Polymer Science and Engineering,</i> Vol. 1, 2 <sup>nd</sup> ed., pp. 234-269, John Wiley & Sons (1984).		
	A66	Office Action issued on 09/09/2010 by the Examiner in application number 12/216,811 (US 8,231,906)		
	A67	Office Action issued on 01/20/2011 by the Examiner in application number 12/216,811 (US 8,231,906)		
	A68	Office Action issued on 06/30/2011 by the Examiner in application number 12/216,811 (US 8,231,906)		
	A69	Office Action issued on 09/13/2011 by the Examiner in application number 12/216,811 (US 8,231,906)		
	A70	Office Action issued on 11/08/2011 by the Examiner in application number 12/216,811 (US 8,231,906)		
,, h,,	A71	Office Action issued on 05/29/2012 by the Examiner in application number 12/216,811 (US 8,231,906)		

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patient Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patient documents, the indication of the year of the reign of the Emperor must precede the serial number of the patient document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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	Substitute for fo	orm 144	49/PTO	С	Complete if Known			
	INFORMATION	DISC	LOSURE	Application Number	14/024,985			
	STATEMENT B	Y APF	PLICANT	Filing Date	09/12/2013			
	Date Submitted	l· April	7 2014	First Named Inventor	Juan Mantelle			
	Date Submitted	ı. Apııı	7, 2014	Art Unit	1615			
(use as many sheets as necessary)				Examiner Name	Unassigned			
Sheet	4	of	4	Attorney Docket Number	041457-1016	4		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ <sup>¢</sup>
	A72	Notice of Allowance issued on 06/19/2012 by the Examiner in application number 12/216,811 (US 8,231,906)	
	A73	Office Action issued on 12/29/2010 by the Examiner in application number 11/245,084 (US 8,343,538)	
	A74	Office Action issued on 04/14/2010 by the Examiner in application number 11/245,084 (US 8,343,538)	
	A75	Office Action issued on 06/10/2009 by the Examiner in application number 11/245,084 (US 8,343,538)	
	A76	Office Action issued on 10/26/2011 by the Examiner in application number 11/245,084 (US 8,343,538)	
	A77	Office Action issued on 05/13/2011 by the Examiner in application number 11/245,084 (US 8,343,538)	
	A78	Office Action issued on 06/13/2012 by the Examiner in application number 11/245,084 (US 8,343,538)	
	A79	Notice of Allowance issued on 08/22/2012 by the Examiner in application number 11/245,084 (US 8,343,538)	
none ar unen unte transporteremen e serviculare	A80	Office Action issued on 04/12/2013 by the Examiner in application number 13/553,972 (US 2013/0156815)	
	A81	Office Action issued on 09/04/2013 by the Examiner in application number 13/553,972 (US 2013/0156815)	
	A82	Office Action issued on 03/05/2014 by the Examiner in application number 13/553,972 (US 2013/0156815)	

(		
Examiner	Date	
	Date	
Signature	Considered	
Oignature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Electronic Paten	it App	lication Fee	• i ransmi	rtai				
Application Number:	140	14024985						
Filing Date:	TRANSDERMAL ESTROGEN DEVICE AND DELIVERY  Juan Mantelle							
Title of Invention:								
First Named Inventor/Applicant Name:								
Filer:	Cou	urtenay C. Brincker	hoff					
Attorney Docket Number:	041	457-1016						
Filed as Large Entity								
Utility under 35 USC 111(a) Filing Fees								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Utility application filing		1011	1	280	280			
Utility Search Fee		1111	1	600	600			
Utility Examination Fee		1311	1	720	720			
Pages:								
Claims:								
Miscellaneous-Filing:								
		1051		140	140			

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Extension - 4 months with \$0 paid	1254	1	2200	2200
Miscellaneous:				
	Tot	al in USD	(\$)	3940

Electronic Acl	knowledgement Receipt
EFS ID:	18688111
Application Number:	14024985
International Application Number:	
Confirmation Number:	7031
Title of Invention:	TRANSDERMAL ESTROGEN DEVICE AND DELIVERY
First Named Inventor/Applicant Name:	Juan Mantelle
Customer Number:	22428
Filer:	Courtenay C. Brinckerhoff
Filer Authorized By:	
Attorney Docket Number:	041457-1016
Receipt Date:	07-APR-2014
Filing Date:	12-SEP-2013
Time Stamp:	14:30:58
Application Type:	Utility under 35 USC 111(a)
Payment information:	

# **Payment information:**

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$3940
RAM confirmation Number	850
Deposit Account	
Authorized User	

# File Listing:

Document	Document Description	File Name	File Size(Bytes)/	Multi	Pages
Number	Document Description	riie Naille	Message Digest	Part /.zip	(if appl.)

			1102429			
1		missparts.pdf	051c6e8251d2330b4fd762a53331080901a7 73228	yes	32	
	Multip	part Description/PDF files	in .zip description			
	Document De	scription	Start	E	nd	
	Applicant Response to Pre-Ex	xam Formalities Notice	1		4	
	Preliminary Am	Preliminary Amendment 5				
	Oath or Declara	ation filed	11		13	
	Miscellaneous Inco	14		15		
	Request for Corrected	d Filing Receipt	16	17		
	Application Da	ıta Sheet	18	:	23	
	Assignee showing of owner	rship per 37 CFR 3.73.	24	:	25	
	Power of Att	torney	26	:	26	
	Transmittal	Transmittal Letter 27			28	
	Information Disclosure Stater	ment (IDS) Form (SB08)	29	:	32	
Warnings:			<u> </u>			
Information:						
2	Fee Worksheet (SB06)	fee-info.pdf	38802	no	2	
	. ,	<u>'</u>	441f7668e4c609681693afb811fcb913a20a b9f8			
Warnings:			·			
Information:						
		Total Files Size (in byt	tes): 114	1231		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

# POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all 37 CFR 3.73(b).	previous powers of att	torney given in the	application ident	ified in the attached :	statement under
I hereby appoint:		(	<u> </u>		
☑ Practitioners as	sociated with the Custom	ner Number: 22428			
OR		L			
☐ Practitioner(s) n	amed below (if more tha	n ten patent practition	ners are to be nam	ed, then a customer nu	mber must be used):
	Name	Registration		Name	Registration Number
		Number			Number
***************************************					
L					
as attorney(s) or a	agent(s) to represent the ny and all patent applica	e undersigned befor	e the United State	es Patent and Tradem	ark Office (USPTO) in
records or assigni	ment documents attach	ed to this form in ac	cordance with 37	CFR 3.73(b).	or 10 assignment
Please change the co	rrespondence address fo	or the application ider	tified in the attach	ed statement under 37	CFR 3.73(b) to:
	ssociated with Customer	Number: 22428			
OR					
Firm or Individua	l Name				
Address					
City			State	Zip	
Country					
Telephone			Fax		
Assignee Name a Noven Pharmaceu					
11960 Southwest					
Miami, FL 33186					
A copy of this f	orm, together with a	statement under	37 CFR 3.73(b)	(Form PTO/SB/96 or	r equivalent) is
required to be t	iled in each applicati	on in which this to ners appointed in	orm is usea. In this form if the	e statement under : e appointed practition	oner is authorized to
					ttorney is to be filed.
	The individual whose signs	SIGNATURE of A ature and title is supplie	Assignee of Record below is authorize	rd d to act on behalf of the a	ssignee
Company Name	NOVEN PHARMAC	EUTICALS, INC.			
Name	Jeff Mihm				
Signature	JANUL.	,	Date	11-11-10	
Title	Vice-President, CAC	& Gen. Counsel	Telephone	(305) 253-5099	
	on in required by 27 CED 1	24 4 22 and 4 22 Tha is	formation in mauirag	I to obtain as ratain a base	Cition the enclosion of the term of the

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

WASH\_7471159.1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name:

Juan Mantelle

Title:

Transdermal Estrogen Device

and Delivery

Appl. No.:

14/024985

Filing Date:

9/12/2013

Examiner:

Unassigned

Art Unit:

1615

Confirmation Number: 7031

# INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Commissioner:

Applicant submits herewith documents for the Examiner's consideration in accordance with 37 CFR §§1.56, 1.97 and 1.98.

Applicant respectfully requests that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Applicant requests that, in accordance with 37 CFR §1.98(d), the Examiner review all applications relied on for an earlier effective filing date under 35 U.S.C. 120, including Application No. 12/216,811, filed 7/10/2008 and Application No. 13/553,972, filed 7/20/2012, for copies of references of record therein that are not being provided here, although Applicant would be pleased to provide copies of any such documents at the Examiner's request.

The submission of any document herewith is not an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document submitted herewith.

# TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

# RELEVANCE OF LISTED DOCUMENTS

Document A1 is the published parent application. Document A2 is the granted parent of Document A1. The other listed documents are references and Office Actions of record in Documents A1 and A2.

Although Applicant believes that no fee is required, the Commissioner is hereby authorized to charge any additional fees which may be due to Deposit Account No. 19-0741.

Respectfully submitted,

FOLEY & LARDNER LLP

Customer Number: 22428

Telephone:

(202) 295-4094

Date april 7, 2014

Facsimile:

(202) 672-5399

Courtenay C. Brinckerhoff Attorney for Applicant Registration No. 37,288

By Centy CMM

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMR control number

P	ATENT APPL	ICATIO	N FE					n or Docket Number -/024,985	Filing Date 09/12/2013	To be Mailed
								ENTITY: 🛛 L	ARGE SMA	LL MICRO
					APPLIC	ATION AS FIL	ED – PAR	ΤΙ		i
			(	(Column 1	)	(Column 2)				
	FOR		NU	IMBER FIL	.ED	NUMBER EXTRA		RATE (\$)	F	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), o	or (c))		N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))		N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p), o			N/A		N/A		N/A		
	ΓAL CLAIMS CFR 1.16(i))			min	us 20 = *			X \$ =		
	EPENDENT CLAIM CFR 1.16(h))	S		mi	nus 3 = *			X \$ =		
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	MULTIPLE DEPEN	IDENT CLA	NM PRE	ESENT (3	7 CFR 1.16(j))					
* If t	the difference in colu	ımn 1 is les	s than z	zero, ente	r "0" in column 2.			TOTAL		
		(Colum	n 1)		APPLICAT (Column 2)	ION AS AMEN		ART II		
LNT	04/07/2014	CLAIMS REMAINI AFTER AMENDN			HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	DNAL FEE (\$)
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EN	Independent (37 CFR 1.16(h))	* 2		Minus	***3	= 0		x \$420 =		0
AM	Application Si	ze Fee (37	CFR 1.	16(s))						
	FIRST PRESEN	ITATION OF	MULTIPI	LE DEPENI	DENT CLAIM (37 CF	R 1.16(j))				
								TOTAL ADD'L FE		0
		(Colum	n 1)		(Column 2)	(Column 3	)			
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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

	PATE	NT APPLI		N FEE DE titute for Form		ION RECORI	)	Applicat 14/02	tion or Docket Num 4,985	ber
	APPL	ICATION AS			umn 2)	SMALL	ENTITY	OR	OTHER SMALL	
	FOR	NUMBE	R FILE	NUMBE	R EXTRA	RATE(\$)	FEE(\$)		RATE(\$)	FEE(\$)
	SIC FEE FR 1.16(a), (b), or (c))	N	I/A	N/A			N/A	280		
SEA	ARCH FEE FR 1.16(k), (i), or (m))	N.	/A	N	I/A	N/A			N/A	600
	MINATION FEE FR 1.16(o), (p), or (q))	N	/A	N	I/A	N/A			N/A	720
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ENT A	Total	(Column 1)  CLAIMS REMAINING AFTER AMENDMENT	.,	(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
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						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
AT B		(Column 1)  CLAIMS  REMAINING  AFTER  AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
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## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PC. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
14/024.985	09/12/2013	1615	1740	041457-1016	11	2.

22428
FOLEY AND LARDNER LLP
SUITE 500
3000 K STREET NW
WASHINGTON, DC 20007

CONFIRMATION NO. 7031 UPDATED FILING RECEIPT



Date Mailed: 04/08/2014

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Juan Mantelle, Miami, FL;

Applicant(s)

NOVEN PHARMACEUTICALS, INC., Miami, FL

**Assignment For Published Patent Application** 

NOVEN PHARMACEUTICALS, INC., Miami, FL

Power of Attorney: The patent practitioners associated with Customer Number 22428

Domestic Priority data as claimed by applicant

This application is a CON of 13/553,972 07/20/2012 which is a CON of 12/216,811 07/10/2008 PAT 8231906

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access - A proper **Authorization to Permit Access to Application by Participating Offices** (PTO/SB/39 or its equivalent) has been received by the USPTO.

If Required, Foreign Filing License Granted: 09/26/2013

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is US 14/024,985

**Projected Publication Date: 07/17/2014** 

Non-Publication Request: No

page 1 of 3

Early Publication Request: No

Title

TRANSDERMAL ESTROGEN DEVICE AND DELIVERY

**Preliminary Class** 

424

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

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Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

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# Title 37, Code of Federal Regulations, 5.11 & 5.15

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## **NOT GRANTED**

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22428

SUITE 500

FOLEY AND LARDNER LLP

3000 K STREET NW WASHINGTON, DC 20007

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

14/024,985 09/12/2013

Juan Mantelle 041457-1016 CONFIRMATION NO. 7031

POA ACCEPTANCE LETTER



Date Mailed: 04/08/2014

# NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 04/07/2014.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/nton/				

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



## United States Patent and Trademark Office

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Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE 041457-1016

14/024,985

09/12/2013

Juan Mantelle

CONFIRMATION NO. 7031

**PUBLICATION NOTICE** 

22428 FOLEY AND LARDNER LLP SUITE 500 3000 K STREET NW WASHINGTON, DC 20007

Title:TRANSDERMAL ESTROGEN DEVICE AND DELIVERY

Publication No.US-2014-0200530-A1

Publication Date: 07/17/2014

## NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

	Substitute for for	m 144	19/PTO		Complete if Known		
	INFORMATION [	DISCL	LOSURE		Application Number	14/024985	
STATEMENT BY APPLICANT					Filing Date	9/12/2013	
	Date Submitted: E	ohrua	ny 0 2015		First Named Inventor	Juan Mantelle	
Date Submitted: February 9, 2015					Art Unit	1611	
(use as many sheets as necessary)					Examiner Name	Melissa L. Javier	
Sheet	1	of	1		Attorney Docket Number	041457-1016	

Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant	
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear	

	UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Application Document Serial Number-Kind Code <sup>2</sup> (if known)	Filing Date of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			

	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4-</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>				

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	"""     Itam (book magazina lournal carial symposium catalog atc.) data paga(s) yoluma_issua ni				
	A1	NAGAI ET AL., "New Drug Delivery Systems," Kurashiki Printing Co. Ltd., Academic Document 2009-00984-005, published January 31, 2000.			
	A2	SEKINE ET AL., "New Cosmetic Handbook," Nikko Chemical Co. Ltd., et al., Academic Documents 2008-02180-001, published October 30, 2006.			
	A3	NOVARTIS PHARMACEUTICALS CORPORATION, "Vivelle-Dot® (estradiol transdermal system)," prescription labeling, August 2004.			
	A4	BENSON, "Transdermal Drug Delivery: Penetration Enhancement Techniques," Current Drug Delivery, Vol. 2, pp. 23-33, 2005.			

Examiner Signature	Date Considered	
Signature	Considered	

Electronic Acl	Electronic Acknowledgement Receipt					
EFS ID:	21445634					
Application Number:	14024985					
International Application Number:						
Confirmation Number:	7031					
Title of Invention:	TRANSDERMAL ESTROGEN DEVICE AND DELIVERY					
First Named Inventor/Applicant Name:	Juan Mantelle					
Customer Number:	22428					
Filer:	Courtenay C. Brinckerhoff					
Filer Authorized By:						
Attorney Docket Number:	041457-1016					
Receipt Date:	09-FEB-2015					
Filing Date:	12-SEP-2013					
Time Stamp:	20:54:15					
Application Type:	Utility under 35 USC 111(a)					

# **Payment information:**

Submitted with Payment	no
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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	ids.pdf	99398	no	2
'	Hansiintal Letter	ias.pai	8654f99a5974a205cc1b58b16ff17abe7fb3 979d		
147					

# **Warnings:**

Information:

2	Information Disclosure Statement (IDS)	sb08.pdf	94722	no	1			
2	Form (SB08)	·	4f427fafef1895ad295c5d77685abf43f72b6 b3a					
Warnings:								
Information:								
This is not an USPTO supplied IDS fillable form								
Total Files Size (in bytes):			19	94120				

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name: Juan Mantelle

Title: Transdermal Estrogen Device

and Delivery

Appl. No.: 14/024985

Filing Date: 9/12/2013

Examiner: Melissa L. Javier

Art Unit: 1611

Confirmation Number: 7031

# <u>UNDER 37 CFR §1.56</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Commissioner:

Applicant submits herewith documents for the Examiner's consideration in accordance with 37 CFR §§1.56, 1.97 and 1.98.

Applicant respectfully requests that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Applicant requests that, in accordance with 37 CFR §1.98(d), the Examiner review all applications relied on for an earlier effective filing date under 35 U.S.C. 120, including Application No. 12/216,811, filed 7/10/2008 and Application No. 13/553,972, filed 7/20/2012, for copies of references of record therein that are not being provided here. Applicant would be pleased to provide copies of any such documents at the Examiner's request.

4826-6293-7121.1 -1-

The submission of any document herewith is not an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document submitted herewith.

# **TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

# **RELEVANCE OF LISTED DOCUMENTS**

Documents A1-A4 are of record in the parent application.

Although Applicant believes that no fee is required, the Commissioner is hereby authorized to charge any additional fees which may be due to Deposit Account No. 19-0741.

Respectfully submitted,

Date: February 9, 2015

By /Courtenay C. Brinckerhoff/

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (202) 295-4094

Attorney for Applicant Registration No. 37,288

Courtenay C. Brinckerhoff

Facsimile: (202) 672-5399



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/024,985	09/12/2013	Juan Mantelle	041457-1016	7031
22428 Foley & Lardne	7590 05/20/201. er LLP	5	EXAM	INER
3000 K STREE SUITE 600			JAVIER, M	IELISSA L
WASHINGTO	N, DC 20007-5109		ART UNIT	PAPER NUMBER
			1611	
			NOTIFICATION DATE	DELIVERY MODE
			05/20/2015	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ipdocketing@foley.com

	Application No. 14/024,985	Applicant(s) MANTELLE, JUAN	
Office Action Summary	<b>Examiner</b> Melissa Javier	Art Unit 1611	AIA (First Inventor to File) Status No
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondend	e address
A SHORTENED STATUTORY PERIOD FOR REPLY THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	6(a). In no event, however, may a reply be tim ill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	nely filed the mailing date of D (35 U.S.C. § 133	this communication.
Status			
1) Responsive to communication(s) filed on <u>2/9/20</u> A declaration(s)/affidavit(s) under <b>37 CFR 1.1</b> :			
	action is non-final.		
3) An election was made by the applicant in respo		set forth durin	a the interview on
the restriction requirement and election	·		9
4) Since this application is in condition for allowan			the merits is
closed in accordance with the practice under E	·		
Disposition of Claims*			
5)⊠ Claim(s) <u>1-9,11 and 13</u> is/are pending in the ap	plication.		
5a) Of the above claim(s) is/are withdraw	•		
6) Claim(s) is/are allowed.			
7) Claim(s) <u>1-9,11 and 13</u> is/are rejected.			
8) Claim(s) is/are objected to.			
9) Claim(s) are subject to restriction and/or	election requirement.		
* If any claims have been determined allowable, you may be eli	gible to benefit from the Patent Pros	secution High	<b>way</b> program at a
participating intellectual property office for the corresponding ap	plication. For more information, plea	ise see	
http://www.uspto.gov/patents/init_events/pph/index.jsp or send	an inquiry to <u>PPHfeedback@uspto.c</u>	<u>10V</u> .	
Application Papers			
10) ☐ The specification is objected to by the Examiner	·.		
11) ☐ The drawing(s) filed on is/are: a) ☐ acce	epted or b) $\square$ objected to by the $\mathfrak l$	Examiner.	
Applicant may not request that any objection to the o	drawing(s) be held in abeyance. See	37 CFR 1.85(	a).
Replacement drawing sheet(s) including the correction	on is required if the drawing(s) is obj	ected to. See 3	37 CFR 1.121(d).
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a)	-(d) or (f).	
Certified copies:			
a) ☐ All b) ☐ Some** c) ☐ None of the:			
1. Certified copies of the priority documents			
2. Certified copies of the priority documents	' '		
3. Copies of the certified copies of the prior	-	ed in this Nati	onal Stage
application from the International Bureau	` ''		
** See the attached detailed Office action for a list of the certifie	a copies not receivea.		
Attachment(s)			
1) Notice of References Cited (PTO-892)	3) Interview Summary	(PTO-413)	
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date	B/08b) Paper No(s)/Mail Da 4) Other:	ate	

Office Action Summary

Art Unit: 1611

## **DETAILED ACTION**

The present application is being examined under the pre-AIA first to invent provisions.

## Information Disclosure Statement

The Information Disclosure Statements (IDS) filed 4/7/2014 and 2/9/2015 have been considered by the examiner.

# Claim Rejections - 35 USC § 103

The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under pre-AIA 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

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This application currently names joint inventors. In considering patentability of the claims under pre-AIA 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of pre-AIA 35 U.S.C. 103(c) and potential pre-AIA 35 U.S.C. 102(e), (f) or (g) prior art under pre-AIA 35 U.S.C. 103(a).

Claims 1-9 and 13 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Kanios (US 6638528) in view of Nuwayser (US 4624665).

Kanios teaches compositions and methods for the transdermal delivery of active agents (see abstract). Kanios teaches matrix-type transdermal delivery systems that comprises an adhesive matrix composition layer, a release liner and a backing layer (see Fig. 1 and col 35, lines 1-6) wherein the matrix preferably comprises estradiol (see column 9) in a preferred amount from about 0.1% to about 10%. It is noted that Applicants' specification defines "monolithic" to include a backing layer and/or release liner (see page 10). Kanios teaches an example with 48.6% polysiloxane adhesive (i.e. a silicone adhesive), 20% polyacrylate adhesive, 10% polyvinylpyrrolidone, 8% dipropylene glycol (a penetration enhancer), 6% oleyl alcohol (a penetration enhancer), and 2.4% estradiol (see Column 36, Table II, example 6). Kanios teaches examples where estradiol is the only drug (see Examples 3-9).

Kanios does not teach greater than 0.156mg/cm<sup>2</sup> of estradiol in the matrix or explicitly teach an estradiol flux that is greater than 0.01mg/cm<sup>2</sup>/day (although it is noted that Kanios teaches the flux of estradiol in µg/cm<sup>2</sup>/hr, see Figures 2-6).

Page 3

Nuwayser teaches a transdermal drug delivery system (see abstract). Nuwayser teaches an estradiol patch (i.e. estradiol as the only drug) (see column 11, lines 60-62). Nuwayser teaches that the flux rates of estradiol are fairly high (see Table 1 and column 6, lines 8-10). Nuwayser teaches that flux rates depend on the concentration of the applied substance in the vehicle (see column 6, lines 10-15). Nuwayser teaches that the size of an estradiol-containing patch system is 2.4cm² (see column 13, lines 25-27), which is about 60% of 3.75cm².

Regarding claims 1-7 and 9, one of ordinary skill in the art at the time that the invention was made would be motivated to manipulate the amount of estradiol in the matrix in order to control the rate of the flux, as Nuwayser teaches that flux rates depend on the concentration of the applied substance in the vehicle (see column 6, lines 10-15). Additionally, it is noted that Kanios teaches the same polymer matrix components in the same amounts as instantly claimed and the same weight percentage of estradiol as instantly claimed (see instant claims 21-26). A person of ordinary skill in the art would reasonably expect the use of the same polymer matrix components in the same amounts as well as the same weight percentage of estradiol to produce a product with the instantly claimed flux.

Regarding claim 8, Kanios teaches the delivery of estradiol for at least 1 day, at least 2 days, at least 3 days, at least 4 days, at least 5 days, at least 6 days and at least 7 days (see Figure 2).

Regarding claim 13, one of ordinary skill in the art at the time that the invention was made would be motivated to manipulate the amount of estradiol in the matrix in

order to control the rate of the flux, as Nuwayser teaches that flux rates depend on the concentration of the applied substance in the vehicle (see column 6, lines 10-15). Additionally, it is noted that Kanios teaches the same polymer matrix components in the same amounts as instantly claimed and the same weight percentage of estradiol as instantly claimed (see instant claims 21-26). A person of ordinary skill in the art would reasonably expect the use of the same polymer matrix components in the same amounts as well as the same weight percentage of estradiol to produce a product with the instantly claimed flux. Further, a person of ordinary skill in the art at the time that the invention was made would utilize the size of the patch taught by Nuwayser in the system taught by Kanios et al. One would be motivated to do so as the transdermal patches with the surface area taught by Nuwayser were successfully used for the transdermal delivery of estradiol.

Claims 1-9, 11, and 13 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Kanios (US 6638528) and Nuwayser (US 4624665), and further in view of Miller et al. (US 2009/0041831).

The teachings of Kanios and Nuwayser have been set forth above.

Kanios and Nuwayser do not teach that the polymer matrix has a coat weight of greater than about 10 mg/cm<sup>2</sup>.

Miller et al. teaches silicone pressure sensitive adhesive formulations and their use in making devices for improved transdermal delivery (see [0002]). Miller et al. teaches that the devices are monolithic for improved transdermal administration (see

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[0019]). Miller et al. teaches in examples that the composition is cast with a coat weight from 90-110g/m<sup>2</sup> (9-11mg/cm<sup>2</sup>) (see [0109]-[0115]).

Regarding claim 11, it would have been obvious to a person of ordinary skill in the art at the time that the invention was made to utilize a coat weight of 90-110g/m² (9-11mg/cm²) as taught by Miller et al. in the patch of Kanios and Nuwayser. One would be motivated to do so with a reasonable expectation of success as Miller et al. teaches that monolithic transdermal patches for the delivery of an active agent can be successfully formed using a polymer coat weight of 90-110g/m² (9-11mg/cm²). MPEP 2144.05 states that "[i]n the case where the claimed ranges 'overlap or lie inside ranges disclosed by the prior art' a *prima facie* case of obviousness exists" quoting *In re Wertheim*, 541 F.2d 257, 191 USPQ 90 (CCPA 1976).

# Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory double patenting rejection is appropriate where the claims at issue are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir.

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1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van Ornum, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the reference application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement. A terminal disclaimer must be signed in compliance with 37 CFR 1.321(b).

The USPTO internet Web site contains terminal disclaimer forms which may be used. Please visit http://www.uspto.gov/forms/. The filing date of the application will determine what form should be used. A web-based eTerminal Disclaimer may be filled out completely online using web-screens. An eTerminal Disclaimer that meets all requirements is auto-processed and approved immediately upon submission. For more information about eTerminal Disclaimers, refer to

http://www.uspto.gov/patents/process/file/efs/guidance/eTD-info-I.jsp.

Claims 1-9, 11, and 13 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-10 of U.S. Patent No. 8231906. Although the claims at issue are not identical, they are not patentably distinct from each other because claims 11 and 12 of U.S. Patent No. 8231906 are drawn to a method for administering estradiol the skin or mucosa of a subject in need thereof and composition of a monolithic transdermal drug delivery system comprising a single polymer matrix

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layer defining an active surface area and comprising a polymer matrix comprising estradiol as the only drug, wherein the polymer matrix layer has a coat weight selected from the group consisting of 12.5 mg/cm<sup>2</sup> and 15 mg/cm<sup>2</sup>, includes greater than 0.156 mg/cm<sup>2</sup> estradiol, and achieves an estradiol flux that is greater than 0.01 mg/cm<sup>2</sup>/day, based on the active surface area and wherein the system has an active surface area that is about 60% of a size selected from the group consisting of 2.5, 3.75, 5.0, 7.5 and 10.0 cm<sup>2</sup> and is effective to deliver an amount of estradiol per day of about 0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day, respectively.

## Conclusion

No claims are allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Melissa Javier whose telephone number is (571)270-7430. The examiner can normally be reached on Monday-Thursday, 8am-6pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bethany Barham can be reached on 571-272-6175. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/BETHANY BARHAM/
Supervisory Patent Examiner, Art Unit 1611

Melissa Javier Examiner Art Unit 1611

# Notice of References Cited Application/Control No. 14/024,985 Applicant(s)/Patent Under Reexamination MANTELLE, JUAN Examiner Melissa Javier Art Unit Page 1 of 1

## U.S. PATENT DOCUMENTS

	GIGHT ALL DESCRIPTION OF THE PROPERTY OF THE P				
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-6,638,528	10-2003	Kanios, David	424/449
*	В	US-4,624,665	11-1986	Nuwayser, Elie S.	604/307
*	O	US-2009/0041831	02-2009	Miller et al.	424/448
	D	US-			
	Е	US-			
	F	US-			
	G	US-			
	I	US-			
	1	US-			
	J	US-			
	K	US-			
	L	US-			
	М	US-			

## FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
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#### **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)			
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

# Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
14024985	MANTELLE, JUAN
Examiner	Art Unit
MELISSA JAVIER	1611

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARC	CHED	
Symbol	Date	Examiner

	US CLASSIFICATION SEA	ARCHED	
Class	Subclass	Date	Examiner

SEARCH NOTES				
Search Notes	Date	Examiner		
EAST search (see attached history)	5/14/2015	MJ		
Inventor search in EAST	5/14/2015	MJ		
Google Scholar search (keywords used: monolithic transdermal estradiol flux)	5/14/2015	MJ		

	INTERFERENCE SEARCH		
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
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OMB control number

	Substitute for fo	orm 144	19/PTO	Complete if Known		
	INFORMATION	DISC	OSURE	Application Number	14/024,985	
	STATEMENT B	Y APP	LICANT	Filing Date	09/12/2013	
	Date Submitted	· Annil	7 2014	First Named Inventor	Juan Mantelle	
	Date Submitted	. Арш	7, 2014	Art Unit	1615	
	(use as many shee	ets as	necessary)	Examiner Name	Unassigned	
Sheet	1	of	4	Attorney Docket Number	041457-1016	

			U.S. PATENT DO	CUMENTS	
Examin er Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	A1	2013/0156815	06/20/2013	MANTELLE	rigules Appeal
	A2	8,231,906	07/31/2012	MANTELLE	
	A3	8,343,538	04/13/2006	KANIOS ET AL.	
	A4	5,446,070	08/29/1995	MANTELLE	
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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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	Substitute for fo	rm 144	<b>1</b> 9/PTO	Complete if Known		
	INFORMATION	DISCI	LOSURE	Application Number	14/024,985	***************************************
	STATEMENT B	Y APF	LICANT	Filing Date	09/12/2013	
	Date Submitted	· Anril	7 2014	First Named Inventor	Juan Mantelle	
	Date Submitted	. Aprii	1, 2014	Art Unit	1615	
	(use as many sheets as necessary)			Examiner Name	Unassigned	
Sheet	2	of	4	Attorney Docket Number	041457-1016	

Examin	Cite	D	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines
er	No.1	Document Number	MM-DD-YYYY	Cited Document	Where Relevant
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Examiner	Cite	U.S. Patent Application Document	Filing Date of Cited Document	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	No. <sup>1</sup>	Serial Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear	

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>			
					***************************************				

Examiner	 Date	
Signature	Considered	

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	Substitute for fo	rm 144	19/PTO	Co	omplete if Known	
	INFORMATION	DISCI	_OSURE	Application Number	14/024,985	
	STATEMENT B	Y APF	PLICANT	Filing Date	09/12/2013	
	Data Cubmittad	. A m ril	7 2014	First Named Inventor	Juan Mantelle	
	Date Submitted: April 7, 2014  (use as many sheets as necessary)			Art Unit	1615	·
				Examiner Name	Unassigned	
Sheet	3.	of	.4	Attorney Docket Number	041457-1016	

		NON PATENT LITERATURE DOCUMENTS	,		
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			
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	A70	Office Action issued on 11/08/2011 by the Examiner in application number 12/216,811 (US 8,231,906)			
	A71	Office Action issued on 05/29/2012 by the Examiner in application number 12/216,811 (US 8,231,906)			

Examiner	Date	
Signature	Considered	

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	Substitute for form 1449/PTO			Co	Complete if Known			
	INFORMATION	DISCI	_OSURE	Application Number	14/024,985			
	STATEMENT B	Y APF	PLICANT	Filing Date	09/12/2013			
	Date Submitted	· Anril	7 2014	First Named Inventor	Juan Mantelle			
	Date Submitted	. Apili	7, 2014	Art Unit	1615			
	(use as many shee	ts as	necessary)	Examiner Name	Unassigned			
Sheet 4 of 4 Attorney Docket Number		041457-1016						

		NON PATENT LITERATURE DOCUMENTS	,				
Examiner Initials*	Cite No. 1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), titl item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-is number(s), publisher, city and/or country where published.						
	A72	Notice of Allowance issued on 06/19/2012 by the Examiner in application number 12/216,811 (US 8,231,906)	and the state of t				
	A73	Office Action issued on 12/29/2010 by the Examiner in application number 11/245,084 (US 8,343,538)					
	A74	Office Action issued on 04/14/2010 by the Examiner in application number 11/245,084 (US 8,343,538)					
	A75	Office Action issued on 06/10/2009 by the Examiner in application number 11/245,084 (US 8,343,538)					
	A76	Office Action issued on 10/26/2011 by the Examiner in application number 11/245,084 (US 8,343,538)					
	A77	Office Action issued on 05/13/2011 by the Examiner in application number 11/245,084 (US 8,343,538)					
	A78	Office Action issued on 06/13/2012 by the Examiner in application number 11/245,084 (US 8,343,538)					
	A79	Notice of Allowance issued on 08/22/2012 by the Examiner in application number 11/245,084 (US 8,343,538)					
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The state of the s	A81	Office Action issued on 09/04/2013 by the Examiner in application number 13/553,972 (US 2013/0156815)					
	A82	Office Action issued on 03/05/2014 by the Examiner in application number 13/553,972 (US 2013/0156815)					

Examiner Signature	/Melissa Javier/	Date Considered	05/14/2015

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## **BIB DATA SHEET**

#### **CONFIRMATION NO. 7031**

SERIAL NUMI	BER	FILING or DATI	371(c)		CLASS	GROUP ART	UNIT	ATTO	RNEY DOCKET NO.			
14/024,985	5	09/12/2			424	1611		C	)41457-1016			
		RULI	E									
APPLICANTS NOVEN PHARMACEUTICALS, INC., Miami, FL;												
INVENTORS  Juan Mantelle, Miami, FL;												
** <b>CONTINUING DATA</b> ***********************************												
	which is a CON of 12/216,811 07/10/2008 PAT 8231906 ** FOREIGN APPLICATIONS ************************************											
** IF REQUIRE	** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 09/26/2013											
Foreign Priority claimed		Yes No	☐ Metaf	ter	STATE OR	SHEETS	тот		INDEPENDENT			
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Substitute for form 1449/PTO Complete if Known **INFORMATION DISCLOSURE** Application Number 14/024985 STATEMENT BY APPLICANT Filing Date 9/12/2013 First Named Inventor Juan Mantelle Date Submitted: February 9, 2015 Art Unit 1611 (use as many sheets as necessary) Examiner Name Melissa L. Javier Sheet of 041457-1016 Attorney Docket Number

	U.S. PATENT DOCUMENTS									
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant					
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear					

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
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Examiner Signature	/Melissa Javier/	Date Considered	05/14/2015
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## **EAST Search History**

## **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	13546	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L2	4697	L1 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L3	752	L2 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L4	31	L3 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L5	234	L3 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L6	46	L3 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L7	82	L1 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L8	240	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L9	33	L8 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L10	135	L8 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L11	176	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L12	36	L11 NOT L8	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L13	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2015/05/14 16:29
L14	583	L1 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2015/05/14 16:29
L15	105	L3 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2015/05/14 16:29
L16	13546	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L17		L16 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO;	OR	OFF	2015/05/14 16:29

			JPO; DERWENT			
L18	752	L17 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L19	31	L18 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L20	234	L18 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L21	46	L18 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L22	82	L16 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L23	240	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L24	33	L23 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L25	135	L23 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L26	176	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L27	36	L26 NOT L23	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L28	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2015/05/14 16:29
L29	583	L16 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2015/05/14 16:29
L30	105	L18 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2015/05/14 16:29
L31	2	"6638528".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L32	4	"4624665".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L33	3	"20090041831".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L34	13546	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L35	4697	L34 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L36	752	L35 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L37	31	L36 and estradiol.ab.	US-PGPUB; USPAT;	OR	OFF	2015/05/14

			USOCR; FPRS; EPO; JPO; DERWENT			16:29
L38	234	L36 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L39	46	L36 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L40	82	L34 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L41	240	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L42	33	L41 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L43	135	L41 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L44	176	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L45	36	L44 NOT L41	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L46	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2015/05/14 16:29
L47	583	L34 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2015/05/14 16:29
L48	105	L36 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2015/05/14 16:29
L49	13546	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L50	4697	L49 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L51	752	L50 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L52	31	L51 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L53	234	L51 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L54	46	L51 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L55	82	L49 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L56	240	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29

L57	33	L56 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L58	135	L56 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L59	176	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L60	36	L59 NOT L56	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/05/14 16:29
L61	0	(11/245097). <b>A</b> PP.	USPAT; USOCR	OR	OFF	2015/05/14 16:29
L62		L49 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2015/05/14 16:29
L63		L51 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2015/05/14 16:29

### **EAST Search History (Interference)**

< This search history is empty>

5/14/2015 4:32:40 PM

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Juan Mantelle

Title: Transdermal Estrogen Device and Delivery

Appl. No.: 14/024,985

Filing Date: September 12, 2013

Examiner: Javier

Art Unit: 1615

Confirmation 7031

Number:

#### AMENDMENT AND REPLY UNDER 37 CFR 1.111

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

This is a reply to the Office Action mailed May 20, 2015 in the captioned application. The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application or credit any overpayment to Deposit Account No. 19-0741. If any extensions of time are needed for timely acceptance of papers submitted herewith, Applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extension fees to Deposit Account No. 19-0741.

Amendments to the Claims are reflected in the listing of claims which begins on page 2.

Remarks/Arguments begin on page 4 of this document.

Please amend the application as follows:

#### **Amendments to the Claims:**

This listing of claims will replace all prior versions, and listings, of claims in the application:

#### **Listing of Claims:**

- 1. (Currently Amended) A monolithic transdermal drug delivery system for estradiol, consisting of (i) a backing layer, (ii) a single <u>adhesive</u> polymer matrix layer defining an active surface area and, optionally, (iii) a release liner, wherein the single <u>adhesive</u> polymer matrix layer comprises [[a]] <u>an adhesive</u> polymer matrix comprising estradiol as the only drug, wherein the adhesive polymer matrix layer <u>has a coat weight of greater than about 10 mg/cm<sup>2</sup> and includes greater than 0.156 mg/cm<sup>2</sup> estradiol and achieves an estradiol flux that is greater than 0.01 mg/cm<sup>2</sup>/day, based on the active surface area.</u>
- 2. (Currently Amended) The transdermal drug delivery system of claim 1, wherein the <u>adhesive</u> polymer matrix comprises a polymer blend comprising an acrylic adhesive, a silicone adhesive, and soluble PVP.
- 3. (Currently Amended) The transdermal drug delivery system of claim 1, wherein the <u>adhesive</u> polymer matrix comprises about 2-25% by weight acrylic adhesive, about 45-70% by weight silicone adhesive, about 2-25% by weight soluble PVP, about 5-15% penetration enhancer, and about 0.1-10% by weight estradiol, all based on the total dry weight of the <u>adhesive</u> polymer matrix.
- 4. (Original) The transdermal drug delivery system of claim 3, wherein the penetration enhancer comprises oleyl alchol.
- 5. (Original) The transdermal drug delivery system of claim 3, wherein the penetration enhancer comprises dipropylene glycol.
- 6. (Original) The transdermal drug delivery system of claim 3, wherein the penetration enhancer comprises oleyl alcohol and dipropylene glycol.

- 7. (Original) The transdermal drug delivery system of claim 3, wherein the acrylic adhesive and silicone adhesive are present in a ratio of from about 1:2 to about 1:6, based on the total weight of the acrylic and silicone adhesives.
- 8. (Currently Amended) The transdermal drug delivery system of claim 1, wherein the <u>adhesive</u> polymer matrix comprises an amount of estradiol effective to deliver a therapeutically effective amount of estradiol over a period of time selected from the group consisting of at least 1 day, at least 2 days, at least 3 days, at least 4 days, at least 5 days, at least 6 days and at least 7 days.
- 9. (Currently Amended) The transdermal drug delivery system of claim 1, wherein the <u>adhesive</u> polymer matrix comprises an amount of estradiol effective to deliver an amount of estradiol selected from the group consisting of about 0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day.
  - 10. (Canceled)
  - 11. (Canceled)
  - 12. (Canceled)
- 13. (Currently Amended) A monolithic transdermal drug delivery system for estradiol consisting of (i) a backing layer, (ii) a single <u>adhesive</u> polymer matrix layer and, optionally, (iii) a release liner, wherein the single <u>adhesive</u> polymer matrix layer <u>has a coat weight of greater</u> than about 10 mg/cm<sup>2</sup> and comprises estradiol as the only drug, wherein the system has an active surface area that is about 60% of a size selected from the group consisting of 2.5, 3.75, 5.0, 7.5 and 10.0 cm<sup>2</sup> and is effective to deliver an amount of estradiol per day of about 0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day, respectively.

Claims 14-20 (Canceled)

#### **REMARKS**

Applicant respectfully requests reconsidering in view of the foregoing amendments and the following remarks

#### **Status Of The Claims**

Independent claim 1 is amended to recite specific embodiments, e.g., where the polymer matrix is an adhesive polymer matrix and has a coat weight of greater than about 10 mg/cm<sup>2</sup>. Parallel amendments are made to independent claim 13. Conforming amendments are made to dependent claims. These embodiments are described throughout the specification as filed, and in previous claim 11, which is canceled without prejudice or disclaimer. These amendments are made without prejudice or disclaimer, and Applicant reserves the right to pursue claims directed to any canceled subject matter..

Upon entry of these amendments, claims 1-9 and 13 will be pending. These claims are presented for reconsideration.

#### **Patent Office Interview**

Applicant thanks Examiners Javier and Barham for the courtesies extended during the Patent Office Interview on June 10, 2015. Applicant's statement of the substance of the interview is provided here. Applicant's representative discussed the claimed subject matter, and explained that the cited references do not teach or suggest a monolithic transdermal drug delivery system as recited in the claims. The Examiners suggested amending the claims to recite specific embodiments regarding the coat weight of the polymer matrix. Such amendments are reflected in the foregoing claim amendments which incorporate the subject matter of claim 11 into claim 1, and make parallel amendments to the other claims. Thus, Applicant believes that the pending claims will be found to be in condition for allowance. Nevertheless, to provide a complete record, Applicant addresses below the §103 rejection raised in the Office Action.

#### Rejections Under 35 USC § 103

Claims 1-9 and 13 were rejected for alleged obviousness over the combination of Kanios (U.S. 6,638,528) and Nuwayser (U.S. 4,624,665), and for alleged obviousness over the combination of Kanios, Nuwayser and Miller (US 2009/0041831). Applicant respectfully traverses these rejections in as much as they may be applied to the instant claims.

As discussed during the Patent Office Interview, none of the cited references teach or suggest that the amount of drug per unit area of a monolithic polymer matrix-type transdermal drug delivery system as claimed is a result-effective variable for drug flux (e.g., drug delivery rate). Indeed, as discussed in the specification, Applicants were surprised by the discovery that increasing the amount of estradiol per unit area resulted an increased rate of drug delivery per unit area in the context of the claimed transdermal drug delivery systems. As explained in the specification, prior to the invention increasing coat weight was thought to provide delivery over a longer period of time, but it was not known that increasing the amount of drug per unit area could increase the drug delivery rate.

The invention is important because it permits the development of smaller transdermal drug delivery systems that provide comparable drug delivery to the subject as a larger system. That is, a patient can use a smaller system instead of a larger system, which improve patient satisfaction and patient compliance, reduces the area of skin subject to occlusion and irritation, and reduces manufacturing costs. This result was surprising because coat weight is typically selected to control the duration of drug delivery, but was not understood to impact delivery rate (e.g., daily dose delivered).

The unexpected nature of the invention may be further appreciated when it is understood that polymer matrix-type drug transdermal drug delivery systems already are formulated with much more drug than is delivered over their intended periods of use. For example, the prior art Vivelle-Dot® products deliver only about 22% of their drug content over their intended period of use:

Size	2.5 cm <sup>2</sup>	3.75 cm <sup>2</sup>	5.0 cm <sup>2</sup>	7.5 cm <sup>2</sup>	10 cm <sup>2</sup>
Estradiol Content	0.39	0.585	0.78	1.17	1.56
Daily Dose	0.025	0.0375	0.05	0.075	0.1
Target Total Drug Delivery*	0.0875	0.13125	0.175	0.2625	0.35
% Drug Delivered	22.4 %	22.4 %	22.4 %	22.4 %	22.4 %

<sup>\* =</sup>Daily Dose x 3.5, since the systems are to be replaced twice weekly.

Since the systems already include a large excess of drug than is delivered over the intended delivery period, it was unexpected that increasing the amount of drug per unit area would impact drug delivery rate.

The Vivelle-Dot® products also show that the state of the art used the *size* of a system to control drug flux, using larger systems to provide higher daily doses. (This also is reflected in paragraph [0070] of Miller.)

In contrast, the instant specification teaches that drug flux can be increased by increasing the amount of drug per unit area. This is illustrated in Figure 1 of the specification as filed, which compares drug flux from two embodiments of a polymer matrix as recited in the instant claims with drug flux from a Vivelle-Dot® polymer matrix. Although all three of the polymer matrices comprise about 2-25% by weight acrylic adhesive, about 45-70% by weight silicone adhesive, about 2-25% by weight soluble PVP, about 5-15% penetration enhancer, and about 0.1-10% by weight estradiol, drug flux from the two polymer matrices within the scope of the claims is greater than drug flux from the Vivelle-Dot® polymer matrix. This impact of the amount of estradiol per unit area could not have predicted or expected from the cited references.

As recognized in the Office Action, Kanios discloses matrix-type transdermal drug delivery systems, but does not teach or suggest a system comprising an adhesive polymer matrix that includes greater than 0.156 mg/cm<sup>2</sup> estradiol and achieves an estradiol flux that is greater than 0.01 mg/cm<sup>2</sup>/day, based on the active surface area (as recited in claim 14) or a system that has an active surface area that is about 60% of a size selected from the group consisting of 2.5, 3.75, 5.0, 7.5 and 10.0 cm<sup>2</sup> and is effective to deliver an amount of estradiol per day of about 0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day, respectively (as recited in claim 30).

Although Nuwayser was cited for its statement that "flux rates depend on the concentration of the applied substance in the vehicle," a person of ordinary skill in the art would not have understood this statement to provide any guidance with regard to the subject matter of the instant claims, which recite monolithic transdermal drug delivery systems consisting of (i) a backing layer, (ii) a single adhesive polymer matrix layer defining an active surface area and comprising an adhesive polymer matrix comprising estradiol as the only drug, wherein the polymer matrix has a coat weight of greater than about 10 mg/cm<sup>2</sup> and includes greater than 0.156 mg/cm<sup>2</sup> estradiol and achieves an estradiol flux that is greater than 0.01 mg/cm<sup>2</sup>/day, based on the active surface area.

As explained during the Patent Office Interview, Nuwayser is directed to a very different type of transdermal drug delivery system than those recited in the instant claims. Where the instant claims recite monolithic transdermal drug delivery systems consisting of (i) a backing layer, (ii) a single adhesive polymer matrix layer defining an active surface area and, optionally, (iii) a release liner, Nuwayser is directed to reservoir-type systems that have a reservoir of a viscous liquid base material in which are suspended solid drug-containing microparticles. The systems of Nuwayser are not "monolithic" systems as claimed, because they include a membrane layer disposed between the drug-containing reservoir and skin. *See* Nuwayser, Figs. 1-2 (14); col. 10, last para. Moreover, the systems of Nuwayser do not have a single adhesive polymer matrix layer defining an active surface area as claimed, because they have microparticles comprising biodegradable polymers and drug that are suspended in a liquid viscous base material that passes through a porous membrane for drug delivery.

The cited statement in Nuwayser regarding flux rates is based on Feldman (1969) and Schafer (1979) (copies attached), which relate to topically applied liquid compositions, not adhesive polymer matrix type compositions as claimed. As discussed during the Patent Office Interview, a person of ordinary skill in the art would not have extrapolated the statement in Nuwayser relating to topically applied liquid compositions to an adhesive polymer-matrix type composition as claimed, because of the different drug delivery principles and mechanisms of action of these systems. For example, Feldmann explains at page 89 that its protocol "comes "as close as possible ... to depositing pure chemical on the skin surface." Indeed, the protocol involved promoting rapid evaporation of the solvent in less than 15 seconds. Similarly, Schaefer states at age 234 that the base (vehicle) should be saturated with the drug ... or should reach a saturated status on the skin by evaporation." In such protocols, therefore, increasing the concentration of drug in the vehicle increases the amount of drug that is applied directly to the skin. That drug flux would increase in that content does not suggest that drug flux would increase by increasing the amount of drug per unit area in an adhesive polymer-matrix type composition that typically already includes a large excess of drug beyond that which is delivered (as shown by the Vivelle-Dot® formulations discussed above).

Even taking the statement in Nuwayser at face value would not suggest the claimed invention, because the concentration of drug in a liquid vehicle is a distinct parameter from the amount of drug per unit area of a transdermal system (e.g., "greater than 0.156 mg/cm² estradiol"). This is because the amount of drug per unit area of a monolithic transdermal drug delivery system as claimed depends on both the concentration of the drug in the polymer matrix and the coat weight of the polymer matrix. As explained during the Patent Office Interview, applying a polymer matrix having a given concentration of drug over a smaller or larger area (or using it to form a smaller or larger system) would result in a smaller or larger amount of drug per unit area.

Applicant also emphasizes that a person of ordinary skill in the art would not have extrapolated any principles relating to drug delivery from Nuwayser's systems to an adhesive polymer-matrix type composition as claimed, because Nuwayser's systems operate by a very

different mechanism than the claimed systems. For example, Nuwayser's systems rely on the viscous liquid base material to form a film on the skin that forces hydration of the stratum corneum to promote drug delivery, and also require release of the drug from the microparticles into the viscous base material and passage of the base material through a membrane in order to achieve drug delivery.

Nuwayser also fails to teach or suggest a monolithic transdermal drug delivery system having an adhesive polymer matrix layer comprising estradiol as the only drug, wherein the system has an active surface area that is about 60% of a size selected from the group consisting of 2.5, 3.75, 5.0, 7.5 and 10.0 cm<sup>2</sup> and is effective to deliver an amount of estradiol per day of about 0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day, respectively. In this regard, Applicant emphasizes that Nuwayser's disclosure of a system with a size of 2.4cm<sup>2</sup> is largely irrelevant, because Nuwayser does not teach or suggest a monolithic adhesive polymer matrix-type system having that size, let alone such a system that achieves a drug flux of about 0.0375 mg/day estradiol from such a system.

Although Miller is cited for disclosing a transdermal system having a polymer matrix coat weight of greater than 10 mg/cm<sup>2</sup>, Miller is largely irrelevant to the subject matter of the pending claims because its systems comprise fentanyl (not estradiol) suspended in solvated silicone adhesives.

For at least the foregoing reasons, the cited combinations of references fails to teach or suggest the subject matter recited in the pending claims. Applicant therefore respectfully urges reconsideration and withdrawal of the pending obviousness rejections.

#### **Obviousness-Type Double Patenting**

Claims 1-9, 11 and 13 were rejected under the doctrine of obviousness-type double patenting over claims of the grandparent patent, U.S. Patent No. 8,231,906. Without acquiescing to the merits of this rejection, and solely to expedite allowance, Applicant submits herewith a Terminal Disclaimer to obviate this rejection.

#### **Conclusion**

Applicant believes that the application is in condition for allowance. Should there be any questions regarding this submission, or should any issue remain, the Examiner is invited to contact the undersigned by telephone in order to advance prosecution.

Respectfully submitted,

Date Jime 12, 205

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (202) 295-4094

Facsimile: (202) 672-5399

Courtenay C. Brinckerhoff Attorney for Applicant

By Corry CMM

Registration No. 37,288

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name: Juan Mantelle

Title: Transdermal Estrogen Device

and Delivery

Appl. No.: 14/024985

Filing Date: 9/12/2013

Examiner: Melissa L. Javier

Art Unit: 1611

Confirmation Number: 7031

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

Applicant submits herewith documents for the Examiner's consideration in accordance with 37 CFR §§1.56, 1.97 and 1.98.

Applicant respectfully requests that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The submission of any document herewith is not an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document submitted herewith.

4828-8261-2260.1 -1-

#### TIMING OF THE DISCLOSURE

The listed document is being submitted in compliance with 37 CFR §1.97(c), before the mailing date of any of a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

#### RELEVANCE OF LISTED DOCUMENT

Document A1 is an Office Action which was issued in the co-pending parent application.

Documents A2 and A3 are discussed in the Response being filed concurrently herewith.

#### **FEE**

Fees in the amount of \$180.00 to cover the fee associated with an information disclosure statement under 37 CFR \$1.97(c) are being paid by credit card via EFS-Web.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this submission under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment instructions in EFS-Web being incorrect or absent, resulting in a rejected or incorrect credit card transaction, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

FOLEY & LARDNER LLP

Date Jane (2, 2015

Customer Number: 22428

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Courtenay C. Brinckerhoff

By aly CMI

Attorney for Applicant

Registration No. 37,288

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name: Juan Mantelle

Title: Transdermal Estrogen Device

and Delivery

Appl. No.: 14/024985

Filing Date: 9/12/2013

Examiner: Melissa L. Javier

Art Unit: 1611

Confirmation Number: 7031

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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The submission of any document herewith is not an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document submitted herewith.

4828-8261-2260.1 -1-

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Fees in the amount of \$180.00 to cover the fee associated with an information disclosure statement under 37 CFR \$1.97(c) are being paid by credit card via EFS-Web.

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Respectfully submitted,

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Attorney for Applicant

Registration No. 37,288

Substitute for form 1449/PTO				C	Complete if Known
	INFORMATION I	DISCL	OSURE	Application Number	14/024985
STATEMENT BY APPLICANT				Filing Date	9/12/2013
Data Culturalitade June 12, 2015				First Named Inventor	Juan Mantelle
Date Submitted: June 12, 2015			12, 2013	Art Unit	1611
(use as many sheets as necessary)			necessary)	Examiner Name	Melissa L. Javier
Sheet	1	of	1	Attorney Docket Number	041457-1016

	U.S. PATENT DOCUMENTS					
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document		

	UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Application Document Serial Number-Kind Code <sup>2</sup> (if known)	Filing Date of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

			FOREIGN PATENT I	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4-</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	TOTAL CONTRACTOR OF THE CONTRACTOR OF T					

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>			
	A1	Office Action issued on 05/05/2015 in application number 13/553,972 (US 2013/0156815)				
	A2	FELDMANN ET AL., "Percutaneous Penetration of Steroids in Man," The Journal of Investigative Dermatology, Vol. 52, No. 1, pp. 89-94, 1969.				
	A3	SCHAEFER ET AL., "Contraception via Topical Application? A Review," Contraception, Vol. 20, No. 3, pp. 225- 236, September 1979.				

Examiner	Date	
Signature	Considered	

Electronic Patent Application Fee Transmittal						
Application Number:	14	024985				
Filing Date:	12-	-Sep-2013				
Title of Invention:	ention:  TRANSDERMAL ESTROGEN DEVICE AND DELIVERY					
First Named Inventor/Applicant Name:	Juan Mantelle					
Filer:	Courtenay C. Brinckerhoff					
Attorney Docket Number:	04	1457-1016				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:	Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Acl	Electronic Acknowledgement Receipt				
EFS ID:	22619438				
Application Number:	14024985				
International Application Number:					
Confirmation Number:	7031				
Title of Invention:	TRANSDERMAL ESTROGEN DEVICE AND DELIVERY				
First Named Inventor/Applicant Name:	Juan Mantelle				
Customer Number:	22428				
Filer:	Courtenay C. Brinckerhoff				
Filer Authorized By:					
Attorney Docket Number:	041457-1016				
Receipt Date:	12-JUN-2015				
Filing Date:	12-SEP-2013				
Time Stamp:	15:53:46				
Application Type:	Utility under 35 USC 111(a)				

# **Payment information:**

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$180
RAM confirmation Number	2583
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl
1			251511	yes	1.4
1		responseids.pdf	ba789caf9f997a94993dddc792e9a1e0e444 51b2		14
	Multi	part Description/PDF files	in .zip description		
	Document De	escription	Start	End	
	Amendment/Req. Reconsidera	1	10		
	Transmitta	11	13		
	Information Disclosure State	14	14		
Warnings:					
Information:					
2	Non Patent Literature	a1.pdf	422850	no	12
2	Non Paterit Literature	a i .pui	766d22ce194d074e74313be46cafa975d45 5ee90	no	
Warnings:		1	1	•	
Information:					
3	New Potont Literature	- 2 df	3342439		7
3	Non Patent Literature	a2.pdf	1dc803079fd023b1d537e2ba8fa33b46361 57ee8	no	
Warnings:		•	,	•	
Information:					
4	Non Patent Literature	a3.pdf	2412636		12
4			0f8430d243b584045305dda91f5452134ac d4c8f	no	
Warnings:		1	'		
Information:					
5	Fee Worksheet (SB06)	fee-info.pdf	30749	no	2
5	Tee Worksheer (3000)	iee-iiio.pui	58fa9bb6a5dddc669fb655e5f48a4294a859 43fb	110	
Warnings:					
Information:					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

The owner(s) with percent interest listed above in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of prior patent number(s)

#### 8231906

as the term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term of the prior patent, "as the term of said prior patent is presently shortened by any terminal disclaimer," in the event that said prior patent later:

- expires for failure to pay a maintenance fee;
- is held unenforceable;
- is found invalid by a court of competent jurisdiction;
- is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321;
- has all claims canceled by a reexamination certificate;
- is reissued; or
- is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.
- Terminal disclaimer fee under 37 CFR 1.20(d) is included with Electronic Terminal Disclaimer request.

0	I certify, in accordance with 37 CFR 1.4(d)(4), that the terminal disclaimer fee under 37 CFR 1.20(d) required for this terminal disclaimer has already been paid in the above-identified application.					
Appl	pplicant claims the following fee status:					
0	Small Entity					
0	Micro Entity					
•	Regular Undiscounted					
belie the li	nereby declare that all statements made herein of my own knowledge are true and that all statements made on information and elief are believed to be true; and further that these statements were made with the knowledge that willful false statements and he like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and hat such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
THI	S PORTION MUST BE COMPLETE	D BY THE SIGNATORY OR SIGNATORIES				
l ce	I certify, in accordance with 37 CFR 1.4(d)(4) that I am:					
•	An attorney or agent registered to practice before the Patent and Trademark Office who is of record in this application					
	Registration Number 37288					
0	) A sole inventor					
0	A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors as evidenced by the power of attorney in the application					
0	A joint inventor; all of whom are signing this request					
Sigi	nature	/Courtenay C. Brinckerhoff/				
Name		Courtenay C Brinckerhoff				

<sup>\*</sup>Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP  $\S$  324.

Electronic Patent Application Fee Transmittal						
Application Number:	14024985					
Filing Date:	12-	12-Sep-2013				
Title of Invention:	TRANSDERMAL ESTROGEN DEVICE AND DELIVERY					
First Named Inventor/Applicant Name:	Juan Mantelle					
Filer:	Courtenay C. Brinckerhoff					
Attorney Docket Number:	041457-1016					
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Statutory or Terminal Disclaimer		1814	1	160	160	
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Total in USD (\$)		160	

Doc Code: DISQ.E.FILE Document Description: Electronic Terminal Disclaimer – Approved
Application No.: 14024985
Filing Date: 12-Sep-2013
Applicant/Patent under Reexamination: Mantelle et al.
Electronic Terminal Disclaimer filed on June 12, 2015
This patent is subject to a terminal disclaimer
DISAPPROVED
Approved/Disapproved by: Electronic Terminal Disclaimer automatically approved by EFS-Web
U.S. Patent and Trademark Office

Electronic Acknowledgement Receipt				
EFS ID:	22617945			
Application Number:	14024985			
International Application Number:				
Confirmation Number:	7031			
Title of Invention:	TRANSDERMAL ESTROGEN DEVICE AND DELIVERY			
First Named Inventor/Applicant Name:	Juan Mantelle			
Customer Number:	22428			
Filer:	Courtenay C. Brinckerhoff			
Filer Authorized By:				
Attorney Docket Number:	041457-1016			
Receipt Date:	12-JUN-2015			
Filing Date:	12-SEP-2013			
Time Stamp:	16:41:35			
Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$160
RAM confirmation Number	3478
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing	<b>:</b>				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Electronic Terminal Disclaimer-Filed	e Terminal - Disclaimer. pdf	33416	no	2
			640f638fd1cfe5ad077cc5852a9594a2ea94 6153	110	
Warnings:	<u>.</u>			•	
Information:					
2	Fee Worksheet (SB06)	fee-info.pdf	30627	no	2
2		ree-imo.pui	a0aac821231af6297e3cf8f9c11ac79e21b80 876	110	
Warnings:	,		,	'	
Information:					
	Total Files Size (in bytes): 64043				

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Under the Paperwork Beduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

P	ATENT APPL		FEE DETI for Form P		RECORD	Application	on or Docket Number 4/024,985	Filing Date 09/12/2013	To be Mailed
							ENTITY: 🛛 L	ARGE  SMA	LL MICRO
				APPLICA	ATION AS FIL	ED – PAF	RTI		
			(Column 1	)	(Column 2)				
Ļ	FOR		NUMBER FIL	_ED	NUMBER EXTRA		RATE (\$)	F	FEE (\$)
Ш	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), (i)	or (m))	N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A		
	TAL CLAIMS CFR 1.16(i))		mir	us 20 = *			X \$ =		
IND	EPENDENT CLAIM CFR 1.16(h))	IS	m	inus 3 = *			X \$ =		
	APPLICATION SIZE (37 CFR 1.16(s))	of FEE fo fra	paper, the a r small entity	ation and drawing application size for y) for each addition of. See 35 U.S.C	ee due is \$310 ( onal 50 sheets o	\$155 or			
	MULTIPLE DEPEN	IDENT CLAIM	PRESENT (3	7 CFR 1.16(j))					
* If t	the difference in colu	umn 1 is less th	nan zero, ente	r "0" in column 2.			TOTAL		
		(Column 1)	)	APPLICATION (Column 2)	ION AS AMEN		ART II		
AMENDMENT	06/12/2015	CLAIMS REMAINING AFTER AMENDMEN		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	DNAL FEE (\$)
ME	Total (37 CFR 1.16(i))	* 10	Minus	** 20	= 0		x \$80 =		0
ΞNΕ	Independent (37 CFR 1.16(h))	* 2	Minus	***4	= 0		× \$420 =		0
AME	Application Si	ize Fee (37 CF	R 1.16(s))						
	FIRST PRESEN	NTATION OF MU	LTIPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				
						•	TOTAL ADD'L FEE		0
		(Column 1)	)	(Column 2)	(Column 3	)		•	
		CLAIMS REMAINING AFTER AMENDMEN		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITK	ONAL FEE (\$)
ENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		
ENDM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		
	Application Si	ize Fee (37 CF	R 1.16(s))						
AMI	FIRST PRESEN	NTATION OF MU	LTIPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				
							TOTAL ADD'L FEE		
** If	the entry in column the "Highest Numbe If the "Highest Numb "Highest Number P	er Previously P per Previously F	aid For" IN Th Paid For" IN T	IIS SPACE is less HIS SPACE is less	than 20, enter "20' than 3, enter "3".		LIE /TARA WASHI		

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/024,985	09/12/2013	Juan Mantelle	041457-1016	7031
22428 Foley & Lardne	7590 06/15/201 er LLP	5	EXAM	INER
3000 K STREE SUITE 600			JAVIER, M	IELISSA L
WASHINGTO	N, DC 20007-5109		ART UNIT	PAPER NUMBER
			1611	
			NOTIFICATION DATE	DELIVERY MODE
			06/15/2015	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ipdocketing@foley.com

Applicant-Initiated Interview Summary	14/024,985	MANTELLE, JUAN	
Applicant-limiated linerview Summary	Examiner	Art Unit	
	Melissa Javier	1611	
All participants (applicant, applicant's representative, PTO	personnel):		
(1) <u>Melissa Javier</u> .	(3) Courtenay Brinckerhoff.		
(2) <u>Bethany Barham</u> .	(4) <i>Jay Kolman</i> .		
Date of Interview: <u>10 June 2015</u> .			
Type: ☐ Telephonic ☐ Video Conference ☐ Personal [copy given to: ☐ applicant [	applicant's representative]		
Exhibit shown or demonstration conducted: Yes If Yes, brief description:	□ No.		
Issues Discussed			
Claim(s) discussed: <u>1 and 13</u> .			
Identification of prior art discussed: Kanios (US 6638528, N. (US2009/0041831).	luwayser (US 4624665), and I	Miller et al.	
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement reference or a portion thereof, claim interpretation, proposed amendments, argume	- · ·	dentification or clarific	cation of a
Applicants briefly described the invention. Applicants discusamendments such as an adhesive polymer matrix or the add		scussed possible	e claim
No agreement was reached, and the Examiner's indicated to	<u>hat any amendment would req</u>	uire further sear	ch and
<u>consideration</u> .			
<b>Applicant recordation instructions:</b> The formal written reply to the last C section 713.04). If a reply to the last Office action has already been filed, a thirty days from this interview date, or the mailing date of this interview sum interview	pplicant is given a non-extendable pe	riod of the longer of	one month or
<b>Examiner recordation instructions</b> : Examiners must summarize the substance of an interview should include the items listed in MPEP 713. general thrust of each argument or issue discussed, a general indication of general results or outcome of the interview, to include an indication as to we	04 for complete and proper recordation any other pertinent matters discussed	on including the iden d regarding patentat	tification of the pility and the
Attachment			
	/BETHANY BARHAM/ Supervisory Patent Examiner, Art Ur	nit 1611	
	, , , ,		

Application No.

Applicant(s)

U.S. Patent and Trademark Office
PTOL-413 (Rev. 8/11/2010) Interview Summary Paper No. 20150610

#### **Summary of Record of Interview Requirements**

#### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner.
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

www.uspto.go

# NOTICE OF ALLOWANCE AND FEE(S) DUE

10/02/2015 Foley & Lardner LLP 3000 K STREET N.W. SUITE 600 WASHINGTON, DC 20007-5109

**EXAMINER** JAVIER, MELISSA L ART UNIT PAPER NUMBER

1611 DATE MAILED: 10/02/2015

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/024,985	09/12/2013	Juan Mantelle	041457-1016	7031

TITLE OF INVENTION: TRANSDERMAL ESTROGEN DEVICE AND DELIVERY

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	01/04/2016

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

maintenance fee notifica	tions.		(m) aF)8		, , , , , , , , , , , , , , , , , , , ,		(e)	
CURRENT CORRESPOND	ENCE ADDRESS (Note: Use Bl	ock 1 for any change of address)		Feets	) Transmittal Thi	e certif	icate cannot be used f	r domestic mailings of the or any other accompanying nt or formal drawing, must
<sup>22428</sup> Foley & Lardn 3000 K STREE' SUITE 600		/2015		I here State: addre transi	Cert by certify that this s Postal Service w ssed to the Mail mitted to the USP	tificate is Fee( vith suf Stop TO (57	e of Mailing or Trans s) Transmittal is being ficient postage for firs ISSUE FEE address 1) 273-2885, on the da	mission deposited with the United t class mail in an envelope above, or being facsimile te indicated below.
	N, DC 20007-5109							(Depositor's name)
	,							(Signature)
								(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVEN	TOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
14/024,985	09/12/2013		Juan Mantelle				041457-1016	7031
TITLE OF INVENTION	I: TRANSDERMAL EST	FROGEN DEVICE AND	DELIVERY					
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE D	DUE	PREV. PAID ISSUE	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0		\$0		\$960	01/04/2016
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EXAM		ART UNIT	CLASS-SUBCLASS	S				
JAVIER, M	ÆLISSA L	1611	424-487000					
CFR 1.363).  Change of corresp Address form PTO/Sl  "Fee Address" ind	ence address or indication ondence address (or Chab/122) attached. lication (or "Fee Address") or more recent) attached.	nge of Correspondence	For printing on to (1) The names of the or agents OR, alter (2) The name of a registered attorney 2 registered patent listed, no name will sted.	up to rnative single y or ag	3 registered paten ely, e firm (having as a gent) and the name nevs or agents. If i	t attori	, -	
	ND RESIDENCE DATA			• •				
PLEASE NOTE: Un recordation as set fort	less an assignee is ident in in 37 CFR 3.11. Com	ified below, no assignee oletion of this form is NC	data will appear on the data will appear on the data will appear on the data.	he pat	tent. If an assigne ssignment.	ee is io	lentified below, the de	ocument has been filed for
(A) NAME OF ASSI			(B) RESIDENCE: (C	_	_			
Please check the appropr	riate assignee category or	categories (will not be p	orinted on the patent):		Individual 🖵 Co	orporati	on or other private gro	oup entity 📮 Government
	are submitted:							<u> </u>
Issue Fee	are submitted.	7	A check is enclos		с шэс геарргу ан	iy pic	riously paid issue fee	snown above)
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Advance Order - #	of Copies		The director is here overpayment, to I	reby a Depos	uthorized to charg it Account Numbe	ge the r er	equired fee(s), any def enclose a	iciency, or credits any nextra copy of this form).
	i <b>tus</b> (from status indicateding micro entity status. Se							D/SB/15A and 15B), issue
	g small entity status. See		fee payment in the m NOTE: If the applica	nicro e ation v	entity amount will was previously und	not be der mic	accepted at the risk of ro entity status, check	application abandonment.
Applicant changing	ng to regular undiscounte	d fee status.	to be a notification of NOTE: Checking thi entity status, as appli	is box	will be taken to be		*	tlement to small or micro
NOTE: This form must b	oe signed in accordance v	with 37 CFR 1.31 and 1.3	, 11			and cei	tifications.	
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# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

DATE MAILED: 10/02/2015

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/024,985	09/12/2013	09/12/2013 Juan Mantelle		7031
22428 75	90 10/02/2015		EXAM	INER
Foley & Lardner			JAVIER, M	IELISSA L
3000 K STREET N SUITE 600	N.W.		ART UNIT	PAPER NUMBER
WASHINGTON, I	OC 20007-5109		1611	

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No. 14/024.985	Applicant(s) MANTELLE,	
Notice of Allowability	Examiner Melissa Javier	<b>Art Unit</b> 1611	AIA (First Inventor to File) Status
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) of NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RICO of the Office or upon petition by the applicant. See 37 CFR 1.313	OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to	lication. If not will be mailed i	included n due course. <b>THIS</b>
<ul> <li>1.  This communication is responsive to 6/12/2015.</li> <li>A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/</li> </ul>	were filed on		
<ol> <li>An election was made by the applicant in response to a restr requirement and election have been incorporated into this ac</li> </ol>		e interview on	; the restriction
<ol> <li>The allowed claim(s) is/are <u>1-9 and 13</u>. As a result of the allo Prosecution Highway program at a participating intellectual please see <a href="http://www.uspto.gov/patents/init_events/pph/inde">http://www.uspto.gov/patents/init_events/pph/inde</a></li> </ol>	property office for the corresponding	g application. F	or more information,
<ul> <li>4.  Acknowledgment is made of a claim for foreign priority under Certified copies: <ul> <li>a)  All b)  Some *c)  None of the:</li> <li>1.  Certified copies of the priority documents have</li> <li>2.  Certified copies of the priority documents have</li> <li>3.  Copies of the certified copies of the priority documents have</li> <li>International Bureau (PCT Rule 17.2(a)).</li> </ul> </li> <li>* Certified copies not received:</li> </ul>	been received. been received in Application No		pplication from the
Applicant has THREE MONTHS FROM THE "MAILING DATE" conted below. Failure to timely comply will result in ABANDONMETHIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with	the requirements
5. CORRECTED DRAWINGS ( as "replacement sheets") must	be submitted.		
including changes required by the attached Examiner's Paper No./Mail Date			
Identifying indicia such as the application number (see 37 CFR 1.6 each sheet. Replacement sheet(s) should be labeled as such in th	34(c)) should be written on the drawing e header according to 37 CFR 1.121(d	gs in the front (: ).	not the back) of
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit of BI attached Examiner's comment regarding REQUIREMENT FOR</li> </ol>			ne
Attachment(s)  1. ☐ Notice of References Cited (PTO-892)  2. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  3. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material  4. ☐ Interview Summary (PTO-413), Paper No./Mail Date	5. ☐ Examiner's Amendn 6. ☑ Examiner's Stateme 7. ☐ Other		
· ———	/Melissa Javier/ Examiner, Art Unit 161	1	

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

#### **DETAILED ACTION**

The present application is being examined under the pre-AIA first to invent provisions.

The following is an examiner's statement of reasons for allowance: The prior art does not teach nor reasonably suggest the claimed monolithic transdermal drug delivery system. Additionally, Applicant's arguments of unexpected results based on the coat weight of the polymer to achieve the claimed flux of drug delivery are persuasive.

#### Conclusion

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Melissa Javier whose telephone number is (571)270-7430. The examiner can normally be reached on Monday-Thursday, 8am-6pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bethany Barham can be reached on 571-272-6175. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 14/024,985 Page 3

Art Unit: 1611

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Melissa Javier Examiner Art Unit 1611

/BETHANY BARHAM/

Supervisory Patent Examiner, Art Unit 1611

PTO/SB/08 (modified)

	Substitute for for	m 144	19/PTO	C	Complete if Known		
INFORMATION DISCLOSURE				Application Number	14/024985		
	STATEMENT BY	APF	PLICANT	Filing Date	9/12/2013		
	Data Submitted:	luno	12 2015	First Named Inventor	Juan Mantelle		
	Date Submitted:	June	12, 2013	Art Unit	1611		
	(use as many sheets as necessary)			Examiner Name	Melissa L. Javier		
Sheet			Attorney Docket Number	041457-1016			

	U.S. PATENT DOCUMENTS										
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant						
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear						

	UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS										
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Application Document Serial Number-Kind Code <sup>2</sup> (if known)	Filing Date of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear						

			FOREIGN PATENT I	OCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A1	Office Action issued on 05/05/2015 in application number 13/553,972 (US 2013/0156815)	
	A2	FELDMANN ET AL., "Percutaneous Penetration of Steroids in Man," The Journal of Investigative Dermatology, Vol. 52, No. 1, pp. 89-94, 1969.	
	A3	SCHAEFER ET AL., "Contraception via Topical Application? A Review," Contraception, Vol. 20, No. 3, pp. 225- 236, September 1979.	

	Examiner Signature	/Melissa Javier/	Date Considered	09/28/2015
ı	Oignature		Considered	



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# **BIB DATA SHEET**

### **CONFIRMATION NO. 7031**

SERIAL NUMBER   FILING O		371(c)		CLASS	GROUI	GROUP ART UNIT AT		ATTO	ATTORNEY DOCKET NO.	
14/024,98	5	09/12/2			424		1611			)41457-1016
		RUL	E							
APPLICANTS NOVEN F		ACEUTICAL	S, INC., M	iami, F	FL;					
INVENTORS Juan Mantelle, Miami, FL;										
	cation i	s a CON of 1	3/553,972	07/20	)/2012 08 PAT 8231906					
** FOREIGN AF	PPLICA	TIONS *****	******	*****	*					
** <b>IF REQUIRE</b> 09/26/201		EIGN FILING	LICENS	E GRA	ANTED **					
Foreign Priority claime		Yes No	☐ Met aff	tor	STATE OR	SHEE	_	тот		INDEPENDENT
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Acknowledged	Examiner's	Signature	Initials		FL	1		11		2
ADDRESS										
Foley & L 3000 K S										
SUITE 60	0									
WASHING UNITED S		DC 20007-51 S	09							
TITLE										
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	Other									
							Credi	t		

# Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
14024985	MANTELLE, JUAN
Examiner	Art Unit
MELISSA JAVIER	1611

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED				
Symbol	Date	Examiner		

	US CLASSIFICATION SEA	ARCHED	
Class	Subclass	Date	Examiner

SEARCH NOTES		
Search Notes	Date	Examiner
EAST search (see attached history)	5/14/2015	MJ
Inventor search in EAST	5/14/2015	MJ
Google Scholar search (keywords used: monolithic transdermal estradiol flux)	5/14/2015	MJ
Updated EAST search	9/28/2015	MJ
Updated Google Scholar search	9/28/2015	MJ

	INTERFERENCE SEARCH		
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
_	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	9/28/2015	MJ

/M.J./ Examiner.Art Unit 1611	

# **EAST Search History**

# **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	13954	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L2	4834	L1 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L3	784	L2 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L4	31	L3 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L5	240	L3 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L6	46	L3 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L7	82	L1 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L8	242	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L9	35	L8 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L10	137	L8 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L11	178	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L12	37	L11 NOT L8	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L13	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2015/09/28 16:28
L14	613	L1 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2015/09/28 16:28
L15	108	L3 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2015/09/28 16:28
L16	13954	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L17	4834	L16 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO;	OR	OFF	2015/09/28 16:28

	<u></u>		JPO; DERWENT		***************************************	777777
L18	784	L17 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L19	31	L18 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L20	240	L18 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L21	46	L18 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L22	82	L16 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L23	242	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L24	35	L23 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L25	137	L23 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L26	178	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L27	37	L26 NOT L23	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L28	0	(11/245097). <b>A</b> PP.	USPAT; USOCR	OR	OFF	2015/09/28 16:28
L29	613	L16 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2015/09/28 16:28
L30	108	L18 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2015/09/28 16:28
L31	2	"6638528".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L32	4	"4624665".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L33	3	"20090041831".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L34	13954	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L35	4834	L34 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L36	784	L35 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L37	31	L36 and estradiol.ab.	US-PGPUB; USPAT;	OR	OFF	2015/09/28

			USOCR; FPRS; EPO; JPO; DERWENT			16:28
L38	240	L36 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L39	46	L36 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L40	82	L34 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L41	242	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L42	35	L41 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L43	137	L41 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L44	178	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L45	37	L44 NOT L41	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L46	0	(11/245097). <b>A</b> PP.	USPAT; USOCR	OR	OFF	2015/09/28 16:28
L47	613	L34 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2015/09/28 16:28
L48	108	L36 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2015/09/28 16:28
L49	13954	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L50	4834	L49 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L51	784	L50 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L52	31	L51 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L53	240	L51 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L54	46	L51 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L55	82	L49 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L56	242	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28

L57	35	L56 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L58	137	L56 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L59	178	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L60	37	L59 NOT L56	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:28
L61	0	(11/245097). <b>A</b> PP.	USPAT; USOCR	OR	OFF	2015/09/28 16:28
L62	613	L49 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2015/09/28 16:28
L63	108	L51 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2015/09/28 16:28
L64	1151516		US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2015/09/28 16:31

# **EAST Search History (Interference)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L65	1	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	UPAD	AND	OFF	2015/09/28 16:32

9/28/2015 4:32:30 PM

C:\ Users\ mjavier\ Documents\ EAST\ Workspaces\ 14024985.wsp

# Issue Classification



14024985

MANTELLE, JUAN

Applicant(s)/Patent Under Reexamination

Examiner

MELISSA JAVIER

Art Unit

1611

СРС				
Symbol			Туре	Version
A61K	9	1 7069	F	2013-01-01
A61K	9	7 7061	I	2013-01-01
A61K	31	<i>f</i> 565	I	2013-01-01
A61K	47	10	A	2013-01-01
		1		

CPC Combination Sets										
Symbol	Туре	Set	Ranking	Version						

/MELISSA JAVIER/ Examiner.Art Unit 1611	9/28/2015		ns Allowed:
(Assistant Examiner)	(Date)	1	0
/BETHANY BARHAM/ Supervisory Patent Examiner.Art Unit 1611	09/28/2015	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	None

U.S. Patent and Trademark Office Part of Paper No. 20150928

# Issue Classification

Application/Control No.	Applicant(s)/Patent Under Reexamination
14024985	MANTELLE, JUAN
Examiner	Art Unit
MELISSA JAVIER	1611

	US ORIGINAL CLASSIFICATION								INTERNATIONAL	CLA	SSI	FIC	ATI	ON	
	CLASS SUBCLASS					CLAIMED				NON-CLAIMED			CLAIMED		
				Α	6	1	К	31 / 565 (2006.01.01)							
	CR	OSS REFI	ERENCE(	S)		A	6	1	К	9 / 70 (2006.0)					
CLASS	SUB	CLASS (ONE	SUBCLAS	S PER BLO	CK)										
											Ш				

/MELISSA JAVIER/ Examiner.Art Unit 1611	9/28/2015		ns Allowed:
(Assistant Examiner)	(Date)	1	0
/BETHANY BARHAM/ Supervisory Patent Examiner.Art Unit 1611	09/28/2015	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	None

U.S. Patent and Trademark Office Part of Paper No. 20150928

# Issue Classification

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Application/Control No.	Applicant(s)/Patent Under Reexamination
14024985	MANTELLE, JUAN
Examiner	Art Unit
MELISSA JAVJER	1611

☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47															
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original

/MELISSA JAVIER/ Examiner.Art Unit 1611	9/28/2015	Total Claims Allowed:		
(Assistant Examiner)	(Date)	10		
/BETHANY BARHAM/ Supervisory Patent Examiner.Art Unit 1611	09/28/2015	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	None	

U.S. Patent and Trademark Office Part of Paper No. 20150928

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Juan Mantelle

Title: Transdermal Estrogen Device and Delivery

Appl. No.: 14/024,985

Filing Date: September 12, 2013

Examiner: Javier

Art Unit: 1615

Confirmation 7031

Number:

# AMENDMENT UNDER 35 USC § 1.312

MAIL STOP: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

A Notice of Allowance was mailed in the captioned application on October 2, 2015. Applicant respectfully requests that the application be amended as follows under 37 CFR § 1.312.

If there are any questions regarding the amendments, Applicant respectfully urges the Examiner to telephone Applicant's representative at the telephone number set forth below.

Amendments to the Claims are reflected in the listing of claims which begins on page 2.

Remarks/Arguments begin on page 4 of this document.

Please amend the application as follows:

# **Amendments to the Claims:**

This listing of claims will replace all prior versions, and listings, of claims in the application:

### **Listing of Claims:**

- 1. (Currently Amended) A monolithic transdermal drug delivery system for estradiol, consisting of (i) a backing layer, (ii) a single adhesive polymer matrix layer defining an active surface area and, optionally, (iii) a release liner, wherein the single adhesive polymer matrix layer comprises an adhesive polymer matrix comprising estradiol as the only drug, wherein the adhesive polymer matrix layer has a coat weight of greater than about 10 mg/cm² and includes greater than 0.156 from about 0.195 to about 0.260 mg/cm² estradiol and achieves an estradiol flux that is greater than 0.01 of from about 0.0125 to about 0.0167 mg/cm²/day, based on the active surface area.
- 2. (Previously Presented) The transdermal drug delivery system of claim 1, wherein the adhesive polymer matrix comprises a polymer blend comprising an acrylic adhesive, a silicone adhesive, and soluble PVP.
- 3. (Previously Presented) The transdermal drug delivery system of claim 1, wherein the adhesive polymer matrix comprises about 2-25% by weight acrylic adhesive, about 45-70% by weight silicone adhesive, about 2-25% by weight soluble PVP, about 5-15% penetration enhancer, and about 0.1-10% by weight estradiol, all based on the total dry weight of the adhesive polymer matrix.
- 4. (Original) The transdermal drug delivery system of claim 3, wherein the penetration enhancer comprises oleyl alchol.
- 5. (Original) The transdermal drug delivery system of claim 3, wherein the penetration enhancer comprises dipropylene glycol.
- 6. (Original) The transdermal drug delivery system of claim 3, wherein the penetration enhancer comprises oleyl alcohol and dipropylene glycol.

- 7. (Original) The transdermal drug delivery system of claim 3, wherein the acrylic adhesive and silicone adhesive are present in a ratio of from about 1:2 to about 1:6, based on the total weight of the acrylic and silicone adhesives.
- 8. (Previously Presented) The transdermal drug delivery system of claim 1, wherein the adhesive polymer matrix comprises an amount of estradiol effective to deliver a therapeutically effective amount of estradiol over a period of time selected from the group consisting of at least 1 day, at least 2 days, at least 3 days, at least 4 days, at least 5 days, at least 6 days and at least 7 days.
- 9. (Previously Presented) The transdermal drug delivery system of claim 1, wherein the adhesive polymer matrix comprises an amount of estradiol effective to deliver an amount of estradiol selected from the group consisting of about 0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day.

#### **Claims 10-12**

13. (Previously Presented) A monolithic transdermal drug delivery system for estradiol consisting of (i) a backing layer, (ii) a single adhesive polymer matrix layer and, optionally, (iii) a release liner, wherein the single adhesive polymer matrix layer has a coat weight of greater than about 10 mg/cm<sup>2</sup> and comprises estradiol as the only drug, wherein the system has an active surface area that is about 60% of a size selected from the group consisting of 2.5, 3.75, 5.0, 7.5 and 10.0 cm<sup>2</sup> and is effective to deliver an amount of estradiol per day of about 0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day, respectively.

Claims 14- 20 (Canceled)

## **REMARKS**

Claims 1-9 and 13 have been allowed. The foregoing amendments improve the clarity of the allowed claims by reciting ranges for the amount of estradiol per unit area (from about 0.195 to about 0.260 mg/cm²) and ranges for the estradiol flux achieved per unit area per day (from about 0.0125 to about 0.0167 mg/cm²/day). These amendments are made without prejudice or disclaimer and are believed to be appropriate under 37 CFR § 1.312 for at least the following reasons.

The amendments are supported throughout the specification as filed, for example, in paragraph [0016] of the specification as filed. This paragraph discloses embodiments having "about 1.25, 1.33, 1.5, [or] 1.67" times the amount of estradiol per unit area as the Vivelle-Dot® product, which is taught in this paragraph to have  $0.156 \text{ mg/cm}^2$  estradiol, and so discloses embodiments having from about 0.195 to about  $0.260 \text{ mg/cm}^2$  estradiol (1.25 x 0.156 = 0.195;  $1.67 \times 0.156 = 0.260$ ). In parallel, this paragraph discloses embodiments that achieve an estradiol flux that is "about 1.25, 1.33, 1.5, [or] 1.67 ... times the flux of the Vivelle-Dot® products," which is taught in this paragraph to be  $0.01 \text{ mg/cm}^2$ /day, and so discloses embodiments that achieve an estradiol flux of from about 0.0125 to about  $0.0167 \text{ mg/cm}^2$ /day (1.25 x 0.01 = 0.0125;  $1.67 \times 0.01 = 0.0167$ ). Thus, no new matter is added.

The amended claims are fully supported by the specification as filed, including the examples. For example, the composition of Example 1 having a 12.5 mg/cm² adhesive polymer matrix (about 1.25 times that of the Vivelle-Dot® product) had about 0.20 mg/cm² estradiol, while the composition having a 15 mg/cm² adhesive polymer matrix (about 1.5 times that of the Vivelle-Dot® product) had about 0.24 mg/cm² estradiol, both of which are within the range of from about 0.195 to about 0.260 mg/cm². As seen in Figure 1, both compositions (♠,•) achieved an estradiol flux greater than that of the Vivelle-Dot® product (•), and within the range of from about 0.0125 to about 0.0167 mg/cm²/day recited in the amended claims.

Atty. Dkt. No. 041457-1016

No new search or examination is required by the amendments, because the amended claims recite more specific embodiments than the allowed claims, and are patentable over the art of record for at least the same reasons as the allowed claims.

Applicant therefore respectfully urges entry of the amendments under 37 CFR § 1.312.

If the Examiner has any questions or concerns regarding the amendments, she is urged to contact the undersigned by telephone.

It is believed that no fees are due in connection with this amendment. In the event this is not correct, the undersigned authorizes the Commissioner to charge Deposit Account No. 19-0741.

Respectfully submitted,

Date: December 18, 2015

By /Courtenay C. Brinckerhoff/

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (202) 295-4094 Facsimile: (202) 672-5399 Courtenay C. Brinckerhoff Attorney for Applicant Registration No. 37,288

-5-

Electronic Acknowledgement Receipt					
EFS ID:	24414720				
Application Number:	14024985				
International Application Number:					
Confirmation Number:	7031				
Title of Invention:	TRANSDERMAL ESTROGEN DEVICE AND DELIVERY				
First Named Inventor/Applicant Name:	Juan Mantelle				
Customer Number:	22428				
Filer:	Courtenay C. Brinckerhoff				
Filer Authorized By:					
Attorney Docket Number:	041457-1016				
Receipt Date:	18-DEC-2015				
Filing Date:	12-SEP-2013				
Time Stamp:	16:31:39				
Application Type:	Utility under 35 USC 111(a)				

# **Payment information:**

Submitted with Payment	no
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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1	Amendment after Notice of Allowance	312amendment.pdf	122348	no	5	
	(Rule 312)	'	c7c0294b268182ba231eeb71b76471fca3e 65e55			
Warnings	·					

#### Warnings

Information:

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name: Juan Mantelle

Title: Transdermal Estrogen Device and Delivery

Appl. No.: 14/024,985

Appl. Filing Date: 9/12/2013

Examiner: Melissa Javier

Art Unit: 1615

Confirmation Number: 7031

# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. This RCE and the enclosed items listed below are being filed prior to the earliest of: (1) payment of the issue fee (unless a petition under 37 C.F.R. § 1.313 is granted); (2) abandonment of the application; or (3) the filing of a notice of appeal to the U.S. Court of Appeals for the Federal Circuit under 35 U.S.C. §141, or the commencement of a civil action under 35 U.S.C. §145 or §146 (unless the appeal or civil action is terminated).

### 1. Submission required under 37 C.F.R. §1.114:

[X] Amendment/Reply.

The filing fee is calculated below at the large entity rate:

RCE Fee 1.17(e):	Claims as Amended	Previo Paid F	•	ns	Rate \$1,200.0 0	Table of the same	Fee Totals \$1,200.00
Total Claims:	11	- 20	= 0	x	\$80.00	Name of the same o	\$0.00
Independents	2	- 3	= 0	х	\$420.00	-	\$0.00
First p	resentation of	any Multi	ple Dependent Claim	ns: +	\$780.00		\$0.00
					TOTAL:	=	\$1,200.00

The above-identified fees of \$1,200.00 are being paid by credit card via EFS-Web.

The Commissioner is hereby authorized to charge any additional fees which may be required for this application to Deposit Account No. 19-0741.

Respectfully submitted,

Date December 31, 2015

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (202) 295-4094

Facsimile:

(202) 672-5399

By /Courtenay C. Brinckerhoff/

Courtenay C. Brinckerhoff Attorney for Applicant Registration No. 37,288

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Juan Mantelle

Title: Transdermal Estrogen Device and Delivery

Appl. No.: 14/024,985

Filing Date: September 12, 2013

Examiner: Javier

Art Unit: 1615

Confirmation 7031

Number:

### AMENDMENT UNDER 35 USC § 1.114 AND 1.111

MAIL STOP: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

This paper is filed with a Request for Continued Examination within three months of the Notice of Allowance mailed October 2, 2015. The Commissioner is hereby authorized to charge any fees which may be due for this application to Deposit Account No. 19-0741.

Amendments to the Claims are reflected in the listing of claims which begins on page 2.

Remarks/Arguments begin on page 4 of this document.

Please amend the application as follows:

# Amendments to the Claims:

This listing of claims will replace all prior versions, and listings, of claims in the application:

# **Listing of Claims:**

- 1. (Currently Amended) A monolithic transdermal drug delivery system for estradiol, consisting of (i) a backing layer, (ii) a single adhesive polymer matrix layer defining an active surface area and, optionally, (iii) a release liner, wherein the single adhesive polymer matrix layer comprises an adhesive polymer matrix comprising estradiol as the only drug, wherein the adhesive polymer matrix layer has a coat weight of greater than about 10 mg/cm² and includes greater than 0.156 from about 0.195 to about 0.260 mg/cm² estradiol and achieves an estradiol flux that is greater than 0.01 of from about 0.0125 to about 0.0167 mg/cm²/day, based on the active surface area.
- 2. (Previously Presented) The transdermal drug delivery system of claim 1, wherein the adhesive polymer matrix comprises a polymer blend comprising an acrylic adhesive, a silicone adhesive, and soluble PVP.
- 3. (Previously Presented) The transdermal drug delivery system of claim 1, wherein the adhesive polymer matrix comprises about 2-25% by weight acrylic adhesive, about 45-70% by weight silicone adhesive, about 2-25% by weight soluble PVP, about 5-15% penetration enhancer, and about 0.1-10% by weight estradiol, all based on the total dry weight of the adhesive polymer matrix.
- 4. (Original) The transdermal drug delivery system of claim 3, wherein the penetration enhancer comprises oleyl alchol.
- 5. (Original) The transdermal drug delivery system of claim 3, wherein the penetration enhancer comprises dipropylene glycol.
- 6. (Original) The transdermal drug delivery system of claim 3, wherein the penetration enhancer comprises oleyl alcohol and dipropylene glycol.

- 7. (Original) The transdermal drug delivery system of claim 3, wherein the acrylic adhesive and silicone adhesive are present in a ratio of from about 1:2 to about 1:6, based on the total weight of the acrylic and silicone adhesives.
- 8. (Previously Presented) The transdermal drug delivery system of claim 1, wherein the adhesive polymer matrix comprises an amount of estradiol effective to deliver a therapeutically effective amount of estradiol over a period of time selected from the group consisting of at least 1 day, at least 2 days, at least 3 days, at least 4 days, at least 5 days, at least 6 days and at least 7 days.
- 9. (Previously Presented) The transdermal drug delivery system of claim 1, wherein the adhesive polymer matrix comprises an amount of estradiol effective to deliver an amount of estradiol selected from the group consisting of about 0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day.

Claims 10-12 (Canceled)

13. (Previously Presented) A monolithic transdermal drug delivery system for estradiol consisting of (i) a backing layer, (ii) a single adhesive polymer matrix layer and, optionally, (iii) a release liner, wherein the single adhesive polymer matrix layer has a coat weight of greater than about 10 mg/cm<sup>2</sup> and comprises estradiol as the only drug, wherein the system has an active surface area that is about 60% of a size selected from the group consisting of 2.5, 3.75, 5.0, 7.5 and 10.0 cm<sup>2</sup> and is effective to deliver an amount of estradiol per day of about 0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day, respectively.

Claims 14- 20 (Canceled)

#### REMARKS

Claims 1-9 and 13 were allowed in the Notice of Allowance mailed October 2, 2015. A Rule 312 Amendment presenting the foregoing amendments was submitted December 18, 2015, but has not been acted on. Thus, the foregoing amendments are presented vis-à-vis the allowed claims.

The foregoing amendments improve the clarity of the allowed claims by replacing unbounded "greater than" clauses with ranges, *e.g.*, by reciting ranges for the amount of estradiol per unit area (from about 0.195 to about 0.260 mg/cm<sup>2</sup>) and ranges for the estradiol flux achieved per unit area per day (from about 0.0125 to about 0.0167 mg/cm<sup>2</sup>/day). These amendments are made without prejudice or disclaimer.

The amendments are supported throughout the specification as filed, for example, in paragraph [0016] of the specification as filed. This paragraph discloses embodiments having "about 1.25, 1.33, 1.5, [or] 1.67" times the amount of estradiol per unit area as the Vivelle-Dot® product, which is taught in this paragraph to have  $0.156 \text{ mg/cm}^2$  estradiol, and so discloses embodiments having from about 0.195 to about  $0.260 \text{ mg/cm}^2$  estradiol. That is, the low end of the recited range is disclosed by  $1.25 \times 0.156 \text{ mg/cm}^2 = \underline{0.195 \text{ mg/cm}^2} \text{ estradiol}$  and the upper end of the recited range is disclosed by  $1.67 \times 0.156 \text{ mg/cm}^2 = \underline{0.260 \text{ mg/cm}^2} \text{ estradiol}$ . In parallel, this paragraph discloses embodiments that achieve an estradiol flux that is "about 1.25, 1.33, 1.5, [or] 1.67 ... times the flux of the Vivelle-Dot® products," which is taught in this paragraph to be  $0.01 \text{ mg/cm}^2/\text{day}$ , and so discloses embodiments that achieve an estradiol flux of from about  $0.0125 \text{ to about } 0.0167 \text{ mg/cm}^2/\text{day}$ . That is, the low end of the recited range is disclosed by  $1.25 \times 0.01 \text{ mg/cm}^2/\text{day} = \underline{0.0125 \text{ mg/cm}^2/\text{day}}$ , and the upper end of the recited range is disclosed by  $1.67 \times 0.01 \text{ mg/cm}^2/\text{day} = \underline{0.0125 \text{ mg/cm}^2/\text{day}}$ , and the upper end of the recited range is disclosed by  $1.67 \times 0.01 \text{ mg/cm}^2/\text{day} = \underline{0.0125 \text{ mg/cm}^2/\text{day}}$ , and the upper end of the recited range is disclosed by  $1.67 \times 0.01 \text{ mg/cm}^2/\text{day} = \underline{0.0125 \text{ mg/cm}^2/\text{day}}$ , and the upper end of the recited range is disclosed by  $1.67 \times 0.01 \text{ mg/cm}^2/\text{day} = \underline{0.0125 \text{ mg/cm}^2/\text{day}}$ . ( $1.25 \times 0.01 = 0.0125 \text{ to about } 0.0167 \text{ mg/cm}^2/\text{day}$ ).

The subject matter of the amended claims is fully supported by the specification as filed, including the examples. For example, the composition of Example 1 having a 12.5 mg/cm<sup>2</sup> adhesive polymer matrix (about 1.25 times that of the Vivelle-Dot® product) had about 0.20

Atty. Dkt. No. 041457-1016

mg/cm<sup>2</sup> estradiol, while the composition having a 15 mg/cm<sup>2</sup> adhesive polymer matrix (about 1.5

times that of the Vivelle-Dot® product) had about 0.24 mg/cm<sup>2</sup> estradiol, both of which are

within the range of from about 0.195 to about 0.260 mg/cm<sup>2</sup> estradiol. As seen in Figure 1, both

compositions (▲.●) achieved an estradiol flux greater than that of the Vivelle-Dot® product (♦),

and within the range of from about 0.0125 to about 0.0167 mg/cm<sup>2</sup>/day recited in the amended

claims.

As noted above, the amended claims recite more specific embodiments than the allowed

claims, and are patentable over the art of record for at least the same reasons as the allowed

claims. Applicant therefore respectfully urges entry of the amendments and re-allowance of the

application.

Applicant therefore respectfully urges entry of the amendments, and re-allowance of the

application.

Applicant has submitted a request for a telephone interview via the USPTO's Automated

Interview Request Form (08-15), and urges the Examiner to contact Applicant's representative at

the telephone number set forth below in order to schedule a telephone interview prior to issuing a

further Office Action if the Examiner has any questions or concerns regarding the amendments.

Respectfully submitted,

Date: December 31, 2015

By /Courtenay C. Brinckerhoff/

FOLEY & LARDNER LLP

Customer Number: 22428

Telephone:

(202) 295-4094

Facsimile:

(202) 672-5399

Attorney for Applicant

Registration No. 37,288

Courtenay C. Brinckerhoff

-5-

Electronic Patent Application Fee Transmittal							
Application Number:	14	14024985					
Filing Date:	12-Sep-2013						
Title of Invention:	TRANSDERMAL ESTROGEN DEVICE AND DELIVERY						
First Named Inventor/Applicant Name:	Juan Mantelle						
Filer:	Со	urtenay C. Brinckerl	noff/Christine A	rthur			
Attorney Docket Number:	04	1457-1016					
Filed as Large Entity							
Filing Fees for Utility under 35 USC 111(a)							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Request for Continued Examination	1801	1	1200	1200
	Tot	1200		

Electronic Acknowledgement Receipt					
EFS ID:	24501698				
Application Number:	14024985				
International Application Number:					
Confirmation Number:	7031				
Title of Invention:	TRANSDERMAL ESTROGEN DEVICE AND DELIVERY				
First Named Inventor/Applicant Name:	Juan Mantelle				
Customer Number:	22428				
Filer:	Courtenay C. Brinckerhoff/Christine Arthur				
Filer Authorized By:	Courtenay C. Brinckerhoff				
Attorney Docket Number:	041457-1016				
Receipt Date:	31-DEC-2015				
Filing Date:	12-SEP-2013				
Time Stamp:	14:49:12				
Application Type:	Utility under 35 USC 111(a)				

## **Payment information:**

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1200
RAM confirmation Number	2252
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing:						
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1		rceamendment.pdf	271737	yes	7	
		recumentantentapai	dae561fb698ff5f9552469d914fa95ad9c494 469	,	,	
	Multip	oart Description/PDF files in .	zip description			
	Document Des	Start	E	nd		
	Request for Continued E	Examination (RCE)	1		2	
	Amendment Submitted/Entere	ed with Filing of CPA/RCE	3	7		
Warnings:						
Information:						
2	Fee Worksheet (SB06)	fee-info.pdf	30786	no	2	
_			a401d57a1b14345c0065df81ce593db9525 15ceb		_	
Warnings:						
Information:						
		Total Files Size (in bytes)	30	02523		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



### UNITED STATES PATENT AND TRADEMARK OFFICE

# USPTO Automated Interview Request (AIR)

Dec 31 2015

This paper requesting to schedule and/or conduct an interview is appropriate because:

This submission is requested to be accepted as an authorization for this interview to communicate via the internet. Recognizing that Internet communications are not secure, I hereby authorize the USPTO to communicate with the undersigned concerning scheduling of the interview via video conference, instant messaging, or electronic mail, and to conduct the interview in accordance with office practice including video conferencing.

Name(s):

Courtenay C Brinckerhoff

S-signature:

/Courtenay C Brinckerhoff/

Registration Number:

37288

U.S. Application Number:

14024985

Confirmation Number:

7031

E-mail Address:

cbrinckerhoff@foley.com

Phone Number:

2022954094

Proposed Time of Interview:

1-8-2016 10:00 AM ET

Prefered Interview Type:

Telephonic

I am the applicant or applicant's representative for this application.



P	ATENT APPLI	ICATIO	N FEE		RMINATION		Application	or Docket Number 024,985	Filing Date 09/12/2013	To be Mailed
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					APPLICA	ATION AS FIL	ED – PAR	ГІ		
			(1	Column 1	)	(Column 2)				
	FOR		NUI	MBER FIL	.ED	NUMBER EXTRA		RATE (\$)	F	EE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), o	or (c))		N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), c			N/A		N/A		N/A		
Ш	EXAMINATION FE (37 CFR 1.16(o), (p), o			N/A		N/A		N/A		
(37	ΓAL CLAIMS CFR 1.16(i))			min	us 20 = *			X \$ =		
	EPENDENT CLAIM CFR 1.16(h))	S			nus 3 = *			X \$ =		
	APPLICATION SIZE (37 CFR 1.16(s))	: FEE	of pap for sm fractio	er, the a all entity	ation and drawing application size for the size for each additions. The size of the size o	ee due is \$310 ( onal 50 sheets c	\$155 or			
	MULTIPLE DEPEN	IDENT CLA	IM PRE	SENT (37	7 CFR 1.16(j))					
* If t	the difference in colu	ımn 1 is les	s than z	ero, ente	r "0" in column 2.			TOTAL		
		(Columi	n 1)		APPLICATION (Column 2)	ION AS AMEN		RT II		
AMENDMENT	12/31/2015	CLAIMS REMAINI AFTER AMENDM			HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TR <b>A</b>	RATE (\$)	ADDITIC	NAL FEE (\$)
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	Independent (37 CFR 1.16(h))	* 2		Minus	***4	= 0		x \$420 =		0
AM	Application Si	ze Fee (37	CFR 1.1	16(s))			_			
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** If *** I	the entry in column of the "Highest Numbe If the "Highest Numb "Highest Number P	er Previousl er Previous	ly Paid F sly Paid	or" IN TH	IIS SPACE is less HIS SPACE is less	than 20, enter "20" than 3, enter "3".		LIE /DORIS BURN		

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/024,985	09/12/2013	Juan Mantelle	041457-1016	7031
22428 Foley & Lardne	7590 01/05/201 er LLP	6	EXAM	INER
3000 K STREE SUITE 600			JAVIER, M	IELISSA L
WASHINGTO	N, DC 20007-5109		ART UNIT	PAPER NUMBER
			1611	
			NOTIFICATION DATE	DELIVERY MODE
			01/05/2016	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ipdocketing@foley.com

		Application No.	Applicant(s)	
	_	14/024,985	MANTELLE, JUAN	
Response to Rule 312 Communic	ation	Examiner	Art Unit	
		Melissa Javier	1611	
The MAILING DATE of this comm	unication a	ppears on the cover sheet t	with the correspondence address –	
1. ☑ The amendment filed on 18 December 2015	5 under 37 C	FR 1.312 has been consider	ed. and has been:	
a) a entered.	-			
b)   entered as directed to matters of form	not affecting	the scope of the invention.		
c)  disapproved because the amendment		• •		
Any amendment filed after the date the required fee to withdraw the ap		·	ed by a petition under 37 CFR 1.313(c)(1) a	and
	piloation noi	11 155de.		
d) disapproved. See explanation below.				
e) entered in part. See explanation below	<b>V</b> .			
The amendment filed on 12/18/2015 raises is Applicant has amended the claims to include for the ranges or for the criticality of the endp	ranges that	are not supported by the insta		
Melissa Javier		/Kortney L. Klinke	<u> </u>	
Evaminer		Primary Evaminer		

Art Unit: 1611

DO NOT ENTER: /M.L.J/

Atty. Dkt. No. 041457-1016

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Juan Mantelle

Title: Transdermal Estrogen Device and Delivery

Appl. No.: 14/024,985

Filing Date: September 12, 2013

Examiner: Javier

Art Unit: 1615

Confirmation 7031

Number:

### AMENDMENT UNDER 35 USC § 1.312

MAIL STOP: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Commissioner:

A Notice of Allowance was mailed in the captioned application on October 2, 2015. Applicant respectfully requests that the application be amended as follows under 37 CFR § 1.312.

If there are any questions regarding the amendments, Applicant respectfully urges the Examiner to telephone Applicant's representative at the telephone number set forth below.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2.

Remarks/Arguments begin on page 4 of this document.

Please amend the application as follows:

PTO/SB/08 (modified)

	Substitute for for	rm 14	49/PTO	C	Complete if Known			
	INFORMATION I	DISC	LOSURE	Application Number	14/024,985	•••		
	STATEMENT BY	/ APF	PLICANT	Filing Date	09/12/2013			
	Date Submitted	Δnril	21 2016	First Named Inventor	Juan Mantelle			
Date Submitted: April 21, 2016  (use as many sheets as necessary)				Art Unit	1611			
				Examiner Name	Melissa Javier			
Sheet	1	of	1	Attorney Docket Number	041457-1016			

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	A1	7,456,159 B2	11/25/2008	HOUZE ET AL.	· ·ga··co · ·ppca·	
	A2	5,656,286	08/12/1997	MIRANDA ET AL.		

	UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Application Document Serial Number-Kind Code <sup>2</sup> (if known)	Filing Date of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>		
	A3	EP 0 887 075 A2	12/30/1998	BERTEK, INC.				

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-iss number(s), publisher, city and/or country where published.			
	A4	RIETSCHEL ET AL., "Effects of harvesting techniques on hydration dynamics: gravimetric studies of stratum corneum," J. Soc. Cosmet. Chem., Vol. 29, pp. 777-782, December 1978.			
	A5	FELDSTEIN ET AL., "Modeling of percutaneous drug transport in vitro using skin-imitating Carbosil membrane," Journal of Controlled Release, Vol. 52, pp. 25-40, 1998.			
	A6	PFISTER, "Transdermal and Dermal Therapeutic Systems: Current Status," Transdermal and Topical Drug Delivery Systems, Ghosh et al., eds., Chapter 2, pp. 33-112, 1997.			
	A7	Dow Corning, :"Dow Corning® BIO-PSA Standard Silicone Adhesives," Product Information, 07/28/2008.			
	A8	JANISCH ET AL., Email correspondence, March 10, 2016.			
	A9	MANNGOLD, 04/28/2004 letter to Angela Nwaneri re: Duro-Tak® 87-4287 and 87-2287.			
	A10	Noven Pharmaceuticals, Inc., Response filed in European application number 09790211.8 on 12/19/2014.			

		<u> </u>
Examiner Signature	Date Considered	

**Europäisches Patentamt** 

**European Patent Office** 

Office européen des brevets



EP 0 887 075 A2 (11)

(12)

### **EUROPEAN PATENT APPLICATION**

(43) Date of publication:

(51) Int. Cl.6: A61K 9/70 30.12.1998 Bulletin 1998/53

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(84) Designated Contracting States: AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE **Designated Extension States:** AL LT LV MK RO SI

(30) Priority: 26.06.1997 US 883075

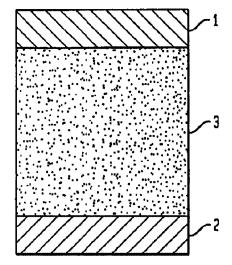
(71) Applicant: BERTEK, INC. St. Albans, VT 05478 (US) (72) Inventors:

- · Govil, Sharad K. Essex, Vermont 05452 (US)
- · Weinmann, Ludwig J. **Burlington, Vermont 05401 (US)**
- (74) Representative: Harrison, Michael Charles et al Albihns GmbH, Grasserstrasse 10 80339 München (DE)

#### (54)Adhesive mixture for transdermal delivery of highly plasticizing drugs

(57)Transdermal drug delivery patches and methods of their production are described. The patches can be made such that the accommodate highly plasticizing drugs such as selegiline and/or the use of protonated forms of various drugs.

FIG. 1



### Description

### FIELD OF THE INVENTION

The present invention relates to the field of pharmaceutical delivery devices and dosage forms and in particular, transdermal delivery vehicles as well as methods of malting same.

#### BACKGROUND OF THE INVENTION

Most pharmaceutical formulations are available in salt forms. In fact, many formulations are only available in the form of a pharmaceutically acceptable salt. Salts have long been considered advantageous because of their high stability, ease of handling and formulation and generally high water solubility. Unfortunately, salt formulations do not tend to be useful in transdermal drug delivery systems. Because of the growing acceptance of such drug delivery systems by the general public, the inability to conveniently produce transdermal patches utilizing various pharmaceutical formulations is a great disadvantage.

There are many possible explanations for the general incompatibility of salt forms of drugs and transdermal performance. For example, protonated pharmaceutically active compounds (basic salts) are generally relatively high in polarity. It is known, however, that non-polar drugs, in general, are transmitted through the skin easily because of a high degree of compatibility with lipophillic layers of the skin. Highly polar substances such as salt forms of drugs and indeed some free forms of drugs, by virtue of their incompatibility with such lipophillic layers, are generally very slow in permeating skin.

One approach to forming a transdermal patch to overcome such problems was suggested in *Yoshida et al.*, U.S. Patent No. 4,738,848 and *Nakano et al.*, U.S. Patent No. 4,740,374. According to these patents, compounds such as diclofenac sodium and non-steroidal anti-inflammatory analgesic agents, when present in their salt forms, are difficult to dissolve into a pressure sensitive adhesive material having relatively high lipophillic properties. It is also difficult to maintain the active ingredient therein. If large amounts of drugs are added to the adhesive, in some cases, the drug cannot be dissolved or crystallization of the drug may occur. This makes it impossible to deliver a sufficient amount of the drug into the skin.

According to Yoshida et al. and Nakano et al., these difficulties can be overcome by concurrently using an organic acid during the formulation of the adhesive material. The organic acid apparently increases the solubility of the active ingredient in the pressure sensitive adhesive material and also increases the percutaneous absorption properties thereof. These references express their belief that the reason for the increased absorption properties is that the drug is converted to its free form having a higher oleophilicity (lipophilicity) resulting in the higher solubility of the drug.

Another approach was taken in *Heiber et al.*, U.S. Patent No. 4,917,676, which relates to a user-activated transdermal therapeutic system. The transdermal drug delivery system described therein includes separate compartments for various formulations in "pre-activated states". Just prior to use, the patient or other person applying the system allows the partitioned ingredients to commingle, thus activating the system. The user generally bursts a burstable barrier separating the two reservoirs. Then the therapeutic agent, usually in the form which must be altered for the desired transdermal delivery, and the activating substance combine and transform the therapeutic agent to a suitable species.

Inactive forms of therapeutic agents in accordance with *Heiber et al.* can include, for example, an acidic drug which, as an ionized species, penetrates skin to a slight degree, but in a free acid form, permeates freely through the skin. Activating substances may include pH regulators such as buffers, acids or bases.

Such transdermal systems, however, suffer from several disadvantages. First, they require a rather complex arrangement of two or more compartments separated by, for example, a burstable but otherwise nonpermeable material. In addition to the complexity of such a structure and the potential difficulties in separately filling and maintaining discretely the individual compartments, there is also the problem of premature bursting of the burstable layer and the premature intermingling of the vanous components. Clearly, the ability to manufacture a transdermal device wherein all of the necessary ingredients can be intermixed and intermingled from the start and added together to each and every cavity in a transdermal patch would be a great advantage. Finally, the *Heiber et al.* patent considers the complexities of forming a patch where the therapeutic and activity agents are mixed and maintained together at the time of manufacture or the subsequent storage stability problems attendant such a mixture.

Moreover, resolving the question of the physical state of a drug does not resolve all of the issues surrounding the production of transdermal patches from certain highly plasticizing drugs. In fact, providing these drugs in a free base form could actually raise additional problems. It comes as no surprise that a drug or solvent loaded into an adhesive system will have an effect on the adhesive properties of the resulting mixtures. In certain cases, with certain drugs, the effect on the hardness and tackiness of the resulting adhesive mixture is minimal. However, in certain other instances, drugs such as, for example, nitroglycerin or nicotine may act as plasticizers for many conventional adhesive systems. Plasticizing drugs such as these, can have a significant deleterious effect on the physical properties of the resulting

adhesive matrix depending upon the type of drug, and the amount used. Generally, plasticizing drugs act to soften or disturb the structural integrity of the adhesive making it more fluid like and can, in certain cases, negatively effect the degree of adhesivity.

A number of companies have introduced either high molecular weight or highly crosslinked adhesive systems. It is known that these systems can generally be used almost interchangeably with plasticizing drugs. Typical examples of such adhesives include, without limitation, GELVA 737, GELVA 2655, and GELVA 1753 self crosslinkable acrylic adhesives from Monsanto's Chemical Group, 730 Worcester Street, Springfield, Mass. 01151 and DUROTAK 87-2516, DUROTAK 87-2194 and DUROTAK 87-2852 self crosslinkable acrylic adhesives available from National Starch and Chemical Company, 10 Finderne Ave., P.O. Box 6500, Bridgewater, NJ 08807-0500. All of these crosslinked adhesives find wide spread use in the pharmaceutical industry in the formulation of transdermal drug delivery systems. When liquid, lipophillic drugs are added to these adhesives at amounts of between 30 and about 40% the resulting material would generally not suffer deterioration in physical properties so as to render many of these acrylic based adhesives unusable. While many of these adhesives are virtually interchangeable, of course, some combinations of a specific drug and a specific adhesive may provide marginally better properties.

When the inventors attempted to construct a transdermal delivery vehicle for selegiline, a particularly highly plasticizing drug, they too expected that selegiline patches produced with any of the foregoing class of adhesives could be accomplished without a problem. This was particularly true as loading levels were anticipated at only between about 10 and about 20%; not particularly challenging for these adhesives.

As illustrated in Table 1, when mixtures of selegiline (15 wt %) and various adhesive materials were tested using conventional performance tests, they all demonstrated comparable and generally acceptable results.

TABLE 1

POLKEN TACK OF VARIOUS
TRANSDERMAL ADHESIVES WITH
15% SELEGILINE

ADHESIVE POLKEN TACK
GELVA 1753 346

DUROTAK 87-2194 453

GELVA 737 333

DUROTAK 87-2516 286

35

25

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Yet when these formulations were tried on skin, the results were quite surprising. While some of the formulations worked, others unexplainably exhibited significant cohesive failure whereby adhesive remained on the skin after a transdermal patch was peeled-away. The disparity in the results obtained between conventional "bench-top" testing and actual field application was truly discouraging. It essentially placed a whole host of established tests in a highly compromised state.

The inventors were also taken aback by the degree of disparity observed. When they formulated a selegiline containing transdermal patch with, for example, DUROTAK 87-2194, those patches exhibited cohesive failure and adhesive transfer. Formulations made with GELVA 2655 exhibited total adhesive failure. Neither result could have been predicted based on results such at that reported in Table 1. This problem was only amplified by the use of other traditional tests such as a measure of shear strength. As shown in Table 1A, a number of formulations including selegiline were measured in terms of shear strength.

TABLE 1A

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SHEAR STRENGTH OF DIFFERENT ADHESIVE SYSTEMS WITH SELEGILINE BASE					
ADHESIVE SHEAR (MIN) SELEGILINE					
GELVA 737	4.31	13%			
GELVA 788	3.1	13%			
DUROTAK 87-2516	1174	13%			

### TABLE 1A (continued)

SHEAR STRENGTH OF DIFFERENT ADHESIVE SYSTEMS WITH SELEGILINE BASE						
ADHESIVE SHEAR (MIN) SELEGILINE						
DUROTAK 87-2194	36	13%				
GELVA 1753	1440	4%				
GELVA 1753	1440	8%				
GELVA 1753	1440	13%				

Typically, shear values of greater than about a half an hour to one hour would be considered acceptable adhesive systems. As one can see from Table 1A, GELVA 1753 produced relatively high shear rates, which should indicate an acceptable adhesive system. However, DUROTAK 87-2516 also exhibited acceptable shear, and the formulations made from this adhesive were totally unacceptable when applied to skin. In addition, selegiline with 10% propylene glycol as a solvent, provided shear values of greater than 800 minutes when formulated with GELVA 1753. However, while such results are generally indicative of good adhesion characteristics, this particular formulation exhibited very poor adhesion.

Much to their dismay, the inventors discovered that with a certain class of particularly highly plasticising drugs, only selected adhesives would work. They also found that, based on the state of the art, they could not predict which adhesives would work and which would not.

#### SUMMARY OF THE INVENTION

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One aspect of the present invention is the creation of a free base material in an adhesive and or in a transdermal patch, or just prior to mixing with the adhesive. The result is the creation of a transdermal patch including the converted free base of the drug material. Another aspect of the present invention involves the creation of certain transdermal formulations which include a highly plasticizing drug, in free base form, whether the free base was created *in situ* or not.

Therefore, in accordance with one aspect of the present invention, a method of producing an adhesive formulation for a therapeutic drug delivery patch adapted for the percutaneous or transdermal delivery of a drug is provided. The method includes the step of providing a pharmaceutically active agent in protonated form, whose corresponding free base has a given  $pK_b$  which ranges from between about 4.75 and about 11. Then, the protonated pharmaceutically active agent is dissolved in a nonaqueous solvent, the nonaqueous solvent being capable of dissolving the pharmaceutically active agent in both a protonated and unprotonated forms.

The dissolved pharmaceutically active agent is reacted with a biocompatible deprotonating agent which can substantially deprotonate the pharmaceutically active agent without causing irritation upon prolonged exposure to the skin. The deprotonated agent will have a  $pK_b$  which is at least about 0.75 lower than the  $pK_b$  of the pharmaceutically active agent. The deprotonated agent thereby becomes protonated. The deprotonating agent may be selected and apportioned such that some excess of unreacted deprotonating agent remains. Finally, the deprotonated pharmaceutically active agent is incorporated into an adhesive material. In a particularly preferred embodiment, these methods also include the steps of separating at least a portion of the now protonated deprotonating agent from the mixture of deprotonated pharmaceutically active agent, solvent and protonated deprotonating agent prior to incorporating the pharmaceutically active agent into the adhesive material. The now protonated deprotonating agent can also be removed alter it has been added to an adhesive as well.

In addition, in accordance with another aspect of the present invention, it is possible to actually undertake the deprotonation of the protonated pharmaceutically active agent, *in situ*, within the adhesive material. Moreover, in accordance with still another aspect of the present invention, it is possible to construct a patch in such a way that the protonated pharmaceutically active agent and the deprotonating agent are disposed in discrete but adjacent dry layers. Over time, the deprotonation reaction occurs *in situ* such that the resulting patch includes a deprotonated pharmaceutically active agent or drug which is capable of enhanced skin penetration.

Of course, the designing of an acceptable adhesive formulation for incorporation into a transdermal patch, one which allows for the conversion of a protonated drug to its free form and can accommodate the presence of deprotonating agent, can be extremely demanding. For example, many polymer based adhesive systems are fairly incompatible with the drug in its salt form. Finding a way of introducing the salt to the adhesive must therefore be developed. In doing so, however, it must be realized that alter conversion the resulting free form of the drug must also be compatible with the adhesive. Similarly, a patch in accordance with the present invention or an adhesive formulation in accordance herewith would require the incorporation of some agent which would convert the protonated form of the drug, such as

a protonated amine form, to its free form. This deprotonating agent, like the therapeutic pharmaceutically active agent itself, will undergo transformation. It is important, therefore, to ensure that both the deprotonating agent, and that agent in its later protonated form, are also compatible with the adhesive base in terms of stability. This means finding a system where either the protonated deprotonating agent will remain dissolved in the solvent/adhesive material system, or the crystallized protonated deprotonating agent will not have an adverse effect on the transdermal device or the patient.

When highly plasticizing drugs are being formulated into transdermal patches, the interaction of the drug and the adhesive system can become even more complex. Not only must the system be able to accommodate the various states of the drug and deprotonating agent, but they must also meet some rather unique criteria for the use of highly plasticizing drugs as well.

Surprisingly, formulations which address and balance all of the often competing requirements of converting a protonated drug to its free form, *in situ*, in a percutaneous dosage form or transdermal patch and accommodating highly plasticizing drugs have been developed. The resulting patches have long term storage stability, reliable release profiles, high levels of skin permeation and, best of all, are easy and economical to manufacture. Additionally, it has been discovered that, by the practice of the present invention, it may be possible to tailor the rate of release of the free form of the drug, and thereby its permeation through the skin, by controlling the rate of reaction of the various reactive components within the patch.

In accordance with a particularly preferred aspect of the present invention there are provided methods of producing transdermal delivery vehicles for highly plasticizing drugs. These methods involve providing between about 97% and about 65%, by weight, of a very specific class of acrylic polymeric adhesives. This acrylic polymeric adhesive includes between about 40% and about 90% by weight of a  $C_4$ - $C_{12}$  alkyl acrylate, between about 10% and about 40% by weight of a  $C_1$ - $C_4$  alkyl acrylate hardening monomer; between about 1% and about 15% by weight of a functionalizing monomer which facilities crosslinking; and, in many instances, a crosslinking agent. The acrylic polymeric adhesive is mixed with a highly plasticizing drug provided in an amount of between about 3% and about 35% by weight based on the dry weight of the mixture. The therapeutic adhesive formulations and transdermal patches using same are also contemplated.

Most preferably, the highly plasticizing drug is selegiline which is provided in an amount of between about 3 and about 18% by weight, based on the dried mixture. Also preferably, the only solvents used in the production of therapeutic adhesive formulations including a highly plasticizing drug provided in a free base form are relatively high volatility solvents, such as ethanol, which will be removed upon drying as well as those solvents found in the acrylic polymeric adhesive which prevent *in situ* cross-linking and maintain the adhesive in liquid form until removed. Solvents which will remain after drying, such as propylene glycol used for deprotonation are preferably not used in these adhesive formulations.

After observing the unexpected failure of certain highly crosslinked acrylic based adhesives to maintain their advantageous properties following the incorporation of moderately low doses of selegiline, the inventors discovered that a relatively small class of acrylic based adhesive formulations can be used with particularly aggressively plasticizing drugs. The reasons why these particular acrylic based adhesives work with certain highly plasticizing drugs and why other very closely related adhesive formulations fail is not fully appreciated. However, from amongst the numerous commercially available adhesive formulations of which those of ordinary skill in the art traditionally look to solve these sorts of problems, only a few have been identified as being useful in these cases, and these adhesives do have some common properties.

In another preferred aspect of the present invention, there is provided a method of producing a therapeutic adhesive formulation for use in a transdermal patch. The method includes the step of selecting an acrylic polymeric adhesive which is suitable for use with highly plasticizing drugs. This decision is not based upon the bench-tested properties of the adhesive but rather upon it's content of between about 40% and about 90% of a  $\rm C_4$ - $\rm C_{12}$  alkyl acrylate and between about 10% and about 40% by weight of a  $\rm C_1$ - $\rm C_4$  alkyl acrylate hardening monomer. Once the acrylic polymeric adhesive is selected, it is mixed with the highly plasticizing drug in an amount of between about 3% and about 35% by weight based on the weight of said mixture and on a liquid basis.

Often the selection process for the adhesive also involves consideration of the content of a functionalizing monomer which facilitates crosslinking; and/or a crosslinking agent. This method may therefore also include the step of crosslinking the acrylic polymeric adhesive to form a matrix capable of controlling the release of the highly plasticizing drug when used in a transdermal patch and applied to the skin of a patient. The proper selection and formulation of this adhesive material will result in a transdermal patch and which will not ooze, suffer from adhesive failure, fall off of a patient prematurely or be difficult to remove when necessary.

Applicants have also discovered that the traditional bench-top methods of gauging the performance of such adhesives are unreliable with particularly highly plasticizing drugs like selegiline. Therefore while tests like shear strength, peel tests from a steal plate and tack tests may eliminate certain candidates, they will not reliably identify successful candidates. Instead, it was discovered that the acrylic polymeric adhesives that worked the best in these application all have similar compositions: Generally, they include a C<sub>4</sub>-C<sub>12</sub> alkyl acrylate, a lower alkyl acrylate (C<sub>1</sub>-C<sub>4</sub>) hardening

monomer such as methyl acrylate and a functionalizing monomer such as acrylic acid which facilities crosslinking. A crosslinking agent is also often useful.

#### BRIEF DESCRIPTION OF THE DRAWINGS

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- Fig. 1 is a side planar view of a drug delivery patch in accordance with the present invention.
- Fig. 2 is a side planar view of a drug delivery patch having plural layers in accordance with the present invention.

### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The terms pharmaceutically active agent and drug are used synonymously, and these terms include any drug or biologically active substance which is available in protonated form. These pharmaceutically active agents must be capable of transdermal application and must be susceptible to inclusion in a patch in accordance with the present invention, both in protonated and nonprotonated forms. Additionally, the drug must be compatible with the other ingredients that are components of the therapeutic adhesive formulation. Generally, the content of the formulation will be tailored around the pharmaceutically active agent. However, if the pharmaceutically active agent cannot be stored in contact with, for example, any of the biocompatible deprotonating agents in accordance with the present invention, then that drug is not a candidate for use in some of the therapeutic adhesive formulations discussed herein. That is, of course, unless the drug can be provided in a free base form without any of the deprotonating agent. In addition, the drug should not cause irritation to the skin of the patient in either protonated or deprotonated form. Similarly, the drug should be susceptible of deprotonation by an agent which itself will not cause any irritation, unless the drug will be provided as a free base. This will further limit and define the class of the drugs capable of use in accordance with the present invention.

Without limitation, pharmaceutically active agents in accordance with the present invention may include selegiline-HCl, propranolol-HCl, ketorolac-HCl, buprenorphine-HCl, scopolamine-HCl, terbutaline-HCl, clonidine-HCl, morphine-HCl, terazosin-HCl, prazosine-HCl, diliazem-HCl, verapamil-HCl and Ciprofloxacin-HCl. The amount of the pharmaceutically active agent will vary widely. Some drugs are active in dosages of a few milligrams per day while others may require thousands of milligrams per day. However, in general, the pharmaceutically active agents in accordance with the present invention are provided in an amount which ranges from between about 0.1 to about 45 percent by weight based on the total formulation. More preferably, the amount of drug ranges from between about 2 to about 20 percent by weight based on the weight of the total formulation.

The pharmaceutically active agent has a p $K_b$  of at least about 4.5-5.0 and no more than about 11-11.5. The deprotonating agent should have a p $K_b$  of at least about 3.5-4.0 and no more than about 10.0-10.5. References to the p $K_b$  of the pharmaceutically active agent are always to the p $K_b$  of the free base form of the drug. In addition, the p $K_b$  of the deprotonating agent should be at least about 0.75, preferably 1.0 and more preferably 2.0 less than the p $K_b$  of the drug.

The term highly plasticizing drug generally means, a pharmaceutical or biologically active agent having a low molecular weight (under 300MW), being liquid at normal process temperatures, and of an oily or lipophillic nature such that it fluidizes the adhesive and would cause viscous cold flow at adhesive during normal stage. The result would be ooziness and eventually, cohesive failure or splitting of the adhesive material. If the highly plasticizing drug can be provided as a free base, then its pK<sub>b</sub> will not be an issue. Typically, the highly plasticizing drug in accordance with the present invention would be provided in an amount ranging from between about 3% to about 35% based on the weight of the finished adhesive and drug mixture (dry weight). More preferably, the amount of drug will range from between about 3% to about 25%. Most preferably, the amount will range from between about 3% and about 18%. Preferred particularly highly plasticizing drugs in accordance with the present invention include: selegiline, fluoxetine, Des-methyl selegiline, tetracaine and chlorpheniramine.

As used herein, a therapeutic adhesive formulation includes an adhesive formulation which can be utilized as part of a percutaneous or transdermal drug delivery patch or the like. The therapeutic adhesive formulation may be provided as one or more thin adhesive layers in a patch, can be placed in a recess or cavity within a patch as a monolithic structure or as a relatively viscous gel-like substance.

The therapeutic adhesive formulation in accordance with the present invention generally includes at least two components: at least one adhesive formulation and a pharmaceutically active agent. If the drug used is in a protonated form, then it will be necessary to include a solvent capable of dissolving the drug, in both protonated and deprotonated form. Such solvents may remain in the formulation after drying. If the drug used is a highly plasticizing drug provided in protonated form, then the amount of this type of solvent should be minimized as it may affect the adhesion characteristics of the resulting therapeutic adhesive formulation. If the highly plasticizing drug is provided as a free base, then there is most often no need for such a solvent at all. In fact, under such circumstances, it is desirable to avoid using a low-volatility solvent which will not be driven off during drying, if possible. This lowers the amount of drying required which reduces the loss of drug. It also reduces the cost of the formulations and eliminates a potential source of adhesion problems. In cases where a protonated form of the drug is used, the therapeutic adhesive formulation also includes at least

some amount of a biocompatible deprotonating agent. Each of these components, as well as any others that may be used such as dyes, permeation enhancers, crosslinkers, adhesion promoters, gelling agents, crystallization inhibitors, anti-inflammatory agents and the like are mixed together in a generally homogeneous mixture. This mixture is then formed into a film, block or where appropriate, poured into a mold, or poured directly into the cavity or recess of a patch.

While, as discussed in late passages, the adhesive formulations useful with highly plasticizing drugs are defined more restrictively, the adhesive formulation useful in accordance with the present invention may include any adhesive useful in accordance with the creation of transdermal patches. Broadly, these include acrylics, silicones, polyisoalkylenes, rubbers, vinyl acetates, polyisobutylene rubber, polybutadiene, styrene-butadiene (or isoprene)-styrene block copolymer rubber, acrylic rubber and natural rubber; vinyl-based high molecular weight materials such as polyvinyl alkyl ether, polyvinyl acetate, a partially saponified product of polyvinyl acetate, polyvinyl alcohol and polyvinyl pyrrolidone; cellulose derivatives such as methyl cellulose, carboxylmethyl cellulose and hydroxypropyl cellulose; polysaccharides such as pullulan, dextrin and agar; polyurethane elastomers; and polyester elastomers. Of course, the adhesives must be biocompatible and nonirritating. They must also allow for a patch to adhere firmly to the skin of a patient in need of treatment by a patch but not be so adhesive so as to injure the patient as the patch is removed. It is also important that the adhesive be selected such that it is compatible with the other components of the therapeutic adhesive formulation of the present invention. It has been found that, as a group, the acrylic adhesives are particularly useful and compatible in this regard and therefore, it is preferred that the adhesive used be acrylic based. More specifically, acrylic adhesives in accordance with the present invention may preferably be (meth)acrylic acid such as butyl (meth)acrylate, pentyl (meth)acrylate, hexyl (meth)acrylate, heptyl (meth)acrylate, octyl (meth)acrylate, nonyl (meth)acrylate, decyl (meth)acrylate, undecyl (meth)acrylate, dodecyl (meth)acrylate, and tridecyl (meth)acrylate, and copolymers of at least one of the above esters and other monomers copolymerizable therewith.

Examples of the copolymerizable monomer include carboxyl group-containing monomers such as (meth)acrylic acid, itaconic acid, crotonic acid, maleic acid, maleic anhydride and fumaric acid; sulfoxyl group-containing monomers such as styrenesulfonic acid, arylsulfonic acid, sulfopropyl acrylate, (meth)acryloyloxynaphthalenesulfonic acid, acrylamidomethylpropanesulfonic acid and acryloyloxybenzenesulfonic acid; hydroxyl group-containing monomers such as hydroxyethyl (meth)acrylate and hydroxypropyl (meth)acrylate; amide group-containing acrylic monomers such as (meth)acrylamide, dimethyl(meth)acrylamide, N-butylacrylamide, tetramethylbutylacrylamide and N-methylol(meth)acrylamide; alkylaminoalkyl group-containing acrylic monomers such as aminoethyl (meth)acrylate, dimethylaminoethyl (meth)acrylate, diethylaminoethyl (meth)acrylate and tertbutyl (meth)acrylate; alkyl esters of acrylic acid containing an ether bond in the molecule thereof such as methoxyethyl (meth)acrylate, ethoxyethyl (meth)acrylate, butoxyethyl (meth)acrylate, tetrahydrofurfuryl (meth)acrylate, methoxyethylene glycol (meth)acrylate, methoxydiethylene glycol (meth)acrylate, methoxypolyethylene glycol (meth)acrylate and methoxypolypropylene glycol (meth)acry ylate; vinyl monomers such as N-(meth)acryloylamino acid; functional monomers such as acrylic monomers such as urethane, urea or isocyanate ester of acrylic acid; and vinyl monomers such as (meth)acrylonitrile, vinyl acetate, vinyl propionate, vinyl pyrrolidone, vinyl pyridine, vinyl pyrazine, vinyl piperadine, vinyl piperidone, vinyl pyrimidine, vinyl pyrrole, vinyl imidazole, vinyl caprolactam, vinyl oxazole, vinyl thiazole, vinyl morpholine, styrene, a-methylstyrene and bis(N,N'-dimethylaminoethyl) maleate.

The above alkyl esters of (meth)acrylic acid and copolymerizable monomers include isomers in which the alkyl portion is straight or branched, and isomers and derivatives in which the position of substituents is different.

It is desirable from a standpoint of the balance between adhesive properties to the skin and cohesion that the ratio of the alkyl ester of (meth)acrylic acid to the copolymerizable monomer in the acrylic pressure-sensitive adhesive material is 50:50 to 99:1 by weight. When alkyl esters of (meth)acrylic acid containing an ether bond in the molecule thereof are used from the standpoint of the low skin irritating properties, it is desirable that the ratio of the alkyl ester of (meth)acrylic acid/the alkyl ester of (meth)acrylic and containing an ether bond in the molecule/the other copolymerizable monomer is 40 to 80/59 to 10/1 to 40.

It is preferred that the adhesive formulations be subjected to suitable chemical crosslinking treatment (e.g., copolymerization of crosslinkable monomers and addition of a crosslinking agent) or physical crosslinking treatment (e.g., irradiation with ultraviolet rays and ionizing radiations such as electron beam).

In accordance with the present invention, the amount of adhesive generally utilized ranges from between about 30 to about 85 percent by weight based on the total weight of the resulting formulation. Preferably, the amount of adhesive used ranges from between about 45 to about 75 percent by weight based on the total weight of the formulation.

When the transdermal patch in accordance with the present invention will be used to deliver highly plasticizing drugs, a more specific group of acrylic based adhesives has been found to be useful. These are identified herein as acrylic polymeric adhesives.

Acrylic polymeric adhesives in accordance with this aspect of the present invention include between about 40% and about 90% of a  $\rm C_4$ - $\rm C_{12}$  alkyl acrylate as the principal monomeric component. Any alkyl acrylate having between 4 and 12 carbons which has been used for the formulation of transdermal adhesives can be used, although, of course, other acrylates are also contemplated. Traditional  $\rm C_4$ - $\rm C_{12}$  alkyl acrylates useful in accordance with the present invention

include, for example, 2-ethylhexyl acrylate, butyl acrylate, n-decyl, n-nonyl, 2 ethyoctyl, isooctyl and dodecyl-acrylate Generally, the  $C_4$ - $C_{12}$  alkyl acrylate in accordance with the present invention will be used in a matter of between about 40 and about 90% based on the weight of the finished adhesive material. More preferably, however, the amount of the  $C_4$ - $C_{12}$  alkyl acrylate will range from between about 60% to about 80% by weight, based on the weight of the adhesive.

The properties of the acrylic polymeric adhesive can be dramatically altered depending upon whether or not a hard-ening monomer is used and the type of hardening monomer used. It has been found that the use of between about 10% and about 40% by weight of a  $C_1$ - $C_4$  alkyl acrylate hardening monomer, in combination with the  $C_4$ - $C_{12}$  alkyl acrylate, is the key to providing an acrylic polymeric adhesive system capable of providing desirable therapeutic delivery, as well as structural integrity, for transdermal application of highly plasticizing drugs as discussed herein. Examples of  $C_1$ - $C_4$  alkyl acrylate hardening monomers useful in accordance with the present invention include methyl acrylate, methyl methacrylate, ethyl methacrylate, hydroxyethyl acrylate and hydroxy propyl methacrylate. More preferably, the amount of  $C_1$ - $C_4$  alkyl acrylate hardening monomer useful in accordance with the present invention ranges from between about 15% to about 30% based on the weight of the adhesive.

It has been discovered that the attributes of the acrylic polymeric adhesive when used with highly plasticizing drugs are largely a function of the  $C_4$ - $C_{12}$  alkyl acrylate and the hardening monomer selected. The compositions of various commercially available transdermal adhesives are provided below in Table 2.

TABLE 2

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	GELVA 788	GELVA 737	DUROTAK 2194	GELVA 1753	DUROTAK 2516	DUROTAK 2852	
2-Ethyl Hexyl Acrylate	67	67	75	61	70	65	
Methyl Acrylate				33		27.5	
Vinyl Acetate	28	28	20		25		
Acrylic Acid			5	6		7.5	
Hydroxy Ethylacrylate	5	5			5		
Glycidyl Methacrylate	<0.5	<0.5		<0.1	Yes		
X-Linker	No	Butyl Titinate	Aluminum Isopropoxide	Aluminum Isopropoxide	Polybutyl Titinate	Aluminum	

These materials all have similar amounts of 2-ethyl hexyl acrylate (A  $C_4$ - $C_{12}$  alkyl acrylate) and similar amounts of a functionalizing monomer which facilitates crosslinking. (Three of the formulations have between about 6 and about 7.5% acrylic acid, and the remaining formulations have about 5% hydroxy thylacrylate.) Two of the compositions, both of which have been found to be effective in accordance with the present invention, GELVA 1753 and DUROTAK 87-2852 each contain a hardening monomer which is methyl acrylate. The remaining formulations contain vinyl acetate as the hardening monomer. Vinyl acetate is a widely employed monomer for this purpose.

In accordance with the present invention, it is also desirable to use a functionalizing monomer which facilitates crosslinking. Functionalizing monomers provide functional groups for crosslinking. Such functionalizing monomers are well known in the art and include, for example, acrylic acid, hydroxy ethylacrylate, methacrylic acid, and acrylamide. It should be noted, however, that when using an acrylate hardening monomer in an acid form, it is preferred to use a functionalizing monomer, such as acrylic acid, whereas, where the hardening monomer is an alcohol, compounds such as hydroxy thylacrylate should be chosen. functionalizing monomers are generally provided in the range and between about 1% and about 20%.

It is also desirable to include a crosslinking agent. Crosslinking agents can include butyl titanate, polybutyl titanate, aluminum zinc acetate and other multivalent metals, methylol ureas and melamines Generally the crosslinking agent is provided in an amount of between about 0.005 and about 2% the adhesive.

Crosslinking can be effected in many ways depending upon a number of factors. Most importantly, crosslinking depends upon the mode of action of the crosslinking agent. Most of the acrylic polymeric adhesive formulations commercially available use crosslinking agents which will be activated upon the drying of the formulation. It is not the heat which activates these agents but rather the removal of the solvent by, for example, evaporation or drying. Drying to remove these solvents can by done under completely conventional conditions such as 100 to 140°F. It should be noted that certain formulations are commercially available without crosslinkers. For example, GELVA 1430 is identical to

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GELVA 1753 except that it does not include a crosslinker. This allows one to accommodate situations where no crosslinking is needed (such as when very low concentrations of drug are used) or to custom select a crosslinker that has a different mode of action.

It should also be noted that the solvents found in the adhesives which maintain the liquid form of the adhesive and generally prevent the activation of the crosslinkers are not to be confused with the low-volatility solvents which can be added as part of the dissolution system for patches using a protonated drug. Solvents normally found in commercial adhesive formulations, solvents included merely to prevent premature crosslinking, or relatively high volatility solvents such as ethanol, used only during mixing and processing, which are evaporated during drying are generally not a problem with regard to the properties of the adhesives.

As shown in Table 3, quite unexpectedly, the properties of the resulting adhesive vary greatly with relatively minor variations and the relative amounts of the various ingredients. It is clear, therefore, that the unique combinations of monomers is primarily responsible for dictating whether or not a particular adhesive formulation will be successful with a highly plasticizing drug discussed herein.

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TABLE 3

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	EFFECT OF SELEGILINE AND PLASTICIZER COMBINATION ON VARIOUS PHYSICAL PROPERTIES OF VARIOUS ADHESIVES								
	ADHESIVE	PLASTICIZER	SELEGILINE	PEL FROM SS (gm/in)	PHYSICAL OBSERVA- TIONS				
		-0-	~18	-					
	GELVA 1753	-0-	~15%	1110	No Adhesive				
		-0-	~10%	933	Transfer				
		10% PG	8%	527	No Oozing				
	DUROTAK				Adhesive Transfer				
	87-2194	10% PG	8%	2217	(Cohesive Failure)				
	GELVA 788	10% PG	8%	1267	Adhesive Transfer				
	DUROTAK								
	87-2516	10% PG	8%	960	Adhesive Transfer				
	GELVA 2655	-0-	18%	*****	Total Adhesive Failure				
	DUROTAK	-0-	12%		No Adhesive Transfer				
	87-2852				No Oozing				
		-0-	18%		Somewhat Soft				

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Only those adhesive/drug formulations which included a  $C_1$ - $C_4$  alkyl acrylate hardening monomer as discussed above provided the necessary performance in terms of adhesive transfer and oozing to allow it to be useful for transdermal applications without adhesive transfer on skin.

It is interesting to note from Table 3 that GELVA 1753 provided acceptable results in terms of adhesive transfer and oozing. However, the formulation which included 10 % propylene glycol ("PG"), a relatively low-volatility solvent used in systems involving protonated drugs, also exhibited relatively poor adhesive characteristics. It has been found that the use of these types of solvent only amplifies the plasticizing effects of highly plasticizing drugs. Therefore, when a free base form of a highly plasticizing drug is used, it is desirable to reduce, if not eliminate, the use of such low-volatility solvents. Of course, this has other processing advantages, as it reduces costs, exposes a patient to fewer chemicals, reduces chemical waste and reduces process time, both in terms of preparation and in terms of drying the formulation.

Other acrylic polymeric adhesives containing the proper combination of ingredients include GELVA 2873 (similar to 1753, but without residual monomers) and DUROTAK 87-2852.

When the pharmaceutically active agent used is a protonated form, it must be converted to its free form, before, during or after being mixed with the adhesive thereby rendering it more readily permeable through the skin of a patient. Protonated forms of pharmaceutically active agents, including highly plasticizing drugs, are generally incompatible with the adhesive materials described above. However, the present inventor has found that this problem can be overcome by dissolving the usually solid salt in a nonaqueous solvent which is capable of maintaining the pharmaceutically active

agent in both its protonated and nonprotonated form. Again, there are trade-offs based on the use of this type of solvent and highly plasticizing drugs, particularly if the solvent used has a relatively low-volatility such as PG.

In accordance with the present invention, the nonaqueous solvent is preferably an alcohol. Alcohols in accordance with the present invention can include monoalcohols, such as ethanol, propanol, isopropanol, butanol, and tertbutyl alcohol. The alcohol may also be a generally low molecular weight polyols, i.e., glycols such as propylene glycol and polyalkylene glycol having an average molecular weight of less than about 400. For example, the nonaqueous solvent may be polyethylene glycol having an average molecular weight of between about 200 and about 400.

Most preferably, a nonaqueous solvent in accordance with the present invention is a normal short chain polyol of between about 2 and about 4 carbons in length. Such polyols may include 1,4 butanediol, glycerol, ethylene glycol, propylene glycol, and the like. Also useful in accordance with the present invention are acetates such as, for example, ethyl acetate, cellulose acetate, vinyl acetate and the like.

It is important that the nonaqueous solvents not only be compatible with the adhesive material and the pharmaceutically active agent, both in its protonated and nonprotonated forms, but also that it be compatible with the biocompatible deprotonating agent as well. Moreover, the nonaqueous solvent must be compatible with the biocompatible deprotonating agent in both its protonated and nonprotonated forms. The nonaqueous solvent must also be biocompatible such that it will not cause irritation or discomfort when in contact with the skin of a patient.

The amount of nonaqueous solvent used in accordance with the present invention must be sufficient to completely dissolve both the pharmaceutically active agent and the biocompatible deprotonating agent. Thus the amount may vary widely with the amount of each such ingredient used. However, in general, the therapeutic adhesive formulation in accordance with the present invention may include an amount of nonaqueous solvent ranging from between about 5 to about 30 percent by weight based on the total weight of the formulation. More preferably, the nonaqueous solvent is provided in an amount of between about 10 and about 20 percent by weight based on the weight of the total formulation.

When the pharmaceutically active agent is a highly plasticizing drug, then it may be necessary to reduce the amount of nonaqueous solvent used, particularly those which do not evaporate during drying. As previously noted, certain solvents can accentuate the highly plasticizing nature of the drug, or can reduce the adhesive characteristics of the resulting patch, if they remain. In such cases, it is preferable to use only solvents which will volatilize or evaporate during drying and to use other solvents in amounts of less than about 10% by weight. Preferably as little solvent is used as possible. The exact amount of solvent useful in accordance with this aspect of the invention, will vary considerably, depending upon the exact acrylic polymeric adhesive system selected, the specific highly plasticizing drug used and the amount of the highly plasticizing drug provided. Generally though, the more highly plasticizing the drug, and the greater the overall content, the lower the amount of non-volatile solvent which can be accommodated before yielding undesirable properties.

Of course, during the normal drying process, at temperatures ranging from 100-200°F, any solvents in the system, whether provided as part of the adhesive or added or evaporated or dried. The high-volatility solvents are driven off and lower-volatility solvents are dried. However, this process can also cause the loss of drug, particularly when using highly plasticizing drugs. Therefore, to provide a formulation or patch containing between about 3% and about 35% dry as desired, one might need to add as much as 100% (70% by weight) additional drug to the adhesive prior to drying. The amount of additional drug will vary with the drug or drugs used, the type of adhesive and the amount and types of solvents and the drying conditions. By lowering drying temperatures and eliminating additional solvents (those not found in the commercial adhesive) the amount of drug lost can be reduced. By consistent formulation and drying conditions, it is possible to determine the amount of drug lost so as to provide full compensation therefore. The result will be patches having the desired amount of drug.

Finally, the biocompatible deprotonating agent provided must be strong enough to substantially deprotonate the pharmaceutically active agent, but must not be so aggressive so as to cause irritation upon prolonged exposure to the skin. The biocompatible deprotonating agent must also be selected so that it is storage compatible with the drug and soluble, in both protonated and nonprotonated forms, in both the adhesive material and the nonaqueous solvent.

In order to be strong enough to substantially deprotonate the pharmaceutically active agent, it should be generally understood that the biocompatible deprotonating agent should have a pK<sub>b</sub> which is at least 0.75 lower than the pK<sub>b</sub> of the deprotonated form of the pharmaceutically active agent. More preferably, the pK<sub>b</sub> differential is 1.0 or 2.0, or even greater. For example, if the active drug in free form has a pK<sub>b</sub> of about 9.0, then the deprotonating agent in accordance with the present invention should have a pK<sub>b</sub> of about 8.25 and, more preferably 8 or less. At the same time, the biocompatible deprotonating agent should not be so aggressive so as to cause irritation upon prolonged exposure to skin. Thus the pK<sub>b</sub> of the deprotonating agent should not be under at least about 3.5-4.0 or over about 10.0-10.25. More, preferably, the pK<sub>b</sub> of the drugs (in deprotonated form) will range from between about 5 and about 11 and the pK<sub>b</sub> of the deprotonating agents will range from between 4 and about 10. Of course, in some cases, it may be possible to use a lower pK<sub>b</sub>, but the risk of irritation grows accordingly.

By prolonged exposure to skin, it should be understood that certain patches may only be in contact with skin for a matter of hours, while others may be left on for a matter of days. In the context of longer term patches left in contact with

the skin for greater than about 8 hours, the meaning of the term should be readily apparent. However, as to shorter exposure patches, the term prolonged exposure to the skin contemplates irritation caused by repeated administration of a patch to the same area of skin.

The biocompatible deprotonating agent in accordance with the present invention may be any compound which is capable of deprotonating the drug, and which is compatible with the formulations in the present invention. Preferably, the biocompatible deprotonating agent can be polymeric imines, aromatic imines, alkanol imines, polymeric amines, aromatic amines, alkanolamines, alkyl-aryl amines, and the like.

Particularly preferred deprotonating agents in accordance with the present invention include alkanolamines such as, for example, triethanolamine, diethanolamine, ethanolamine, propanolamine, ammonia and the like. Other biocompatible deprotonating agents in accordance with the present invention include polymeric imines such as, for example, polyethylene imine ("PEI"), polydimethylaminoethyl methacrylate such as Eudragit E100 from Rohm Pharmacy and polyacryloamin. PEI is a particularly interesting biocompatible deprotonating agent as it tends to form a sphere or cage which may encapsulate or entrap some of the pharmaceutically active agent. The rate of reaction between the PEI and protonated drugs will depend largely upon the molecular weight of the PEI. Therefore, by tailoring the size of the PEI, it may be possible to control, at least to some degree, the rate of deprotonation.

It is also possible to use a second drug as a deprotonating agent. For example, if drug X has a  $pK_b$  of 9 and drug Y has a  $pK_b$  of at least about 8.25, drug Y could be used to deprotonate drug X. Drug X would then have an enhanced rate of penetration into the skin. This can be particularly useful where drug Y is intended to act topically, is intended to be administered over a relatively longer period of time such that the salt form created is not a problem, or where the salt form of drug Y retains a relatively high rate of skin penetration. It may also be desirable to use two drugs with a  $pK_b$  differential of less than about .75 so as to setup a competitive deprotonating reaction between them.

Again, the amount of biocompatible deprotonating agent in accordance with the present invention will vary with a number of factors. The amount will be very dependent upon the amount of pharmaceutically active agent utilized. Moreover, the strength or  $pK_b$  differential between the deprotonating agent and the pharmaceutically active agent may also play a role in determining how much deprotonating agent is necessary. The range of deprotonating agent used will also vary with the stoichiometry of the deprotonation reaction. Generally, once the amount of protonated pharmaceutically active agents are selected, a stoichiometrically corresponding amount of deprotonating agent should be used. It may be desirable to add an excess of deprotonating agent relative to the amount of deprotonated pharmaceutically active agent so as to ensure a complete reaction.

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Any of the formulations discussed herein may also include a viscosity modifier such as Fumed Silica such as Cabosil, adhesive compatible acrylic polymers such as Elvacite from DuPont, and vinyl polymers such as Polyvinyl Pyrrolidone (Plazdone C-30 from ISP), Ammonium Polyacrylates (such as Acrysol G-110 from Rohm and Haas), Hydroxy Propylcellulose (such as Klucel from Aqualon). The viscosity modifier may play a role in controlling release of the drug and/or the rate of the deprotonation reaction. Cross linkers such as: Metal Alkoxides (such as Isopropoxide), Melamine-based Polyols (such as Cylink HPC resins from Cytec Industries, Inc.), Organic Titanates (such as Tyzor from DuPont Chemicals) may also be used. The composition of the present invention may further include one or more Permeation Enhancers such as: Propylene Glycol, Polyethylene Glycol, unsaturated long-chain fatty acids (such as Oleic Acid), short-chain alcohols (such as Ethanol, Isopropanol, n-Butanol), Dimethylsulfoxide, Azone, N-Methyl-2-Pyrrolidone, Decylmethylsulfoxide, Anionic Surfactants (such as Sodium Lauryl Sulfate), Nonionic Surfactants (such as Polyoxyethylene (20) Sorbitan Monoleate), Cationic Surfactants (such as N, N-Bis (2-Hydroxyethyl)-Oleylamine), Zwitteronic Surfactants (such as Dodecyl-Dimethylammoniopropane Sulfate), Terpenes. These may be particularly useful when using a drug as a deprotonating agent as the delivery profile of the later protonated drug may be significantly enhanced. Anti-irritants such as Hydrocortisone, Flurbiprofen, and Indomethecin may also be useful.

The therapeutic formulation in accordance with the present invention can be made in a number of ways. A particularly preferred method, the deprotonating agent such as, for example, TEA or PEI, is mixed with a small amount of non-aqueous solvent such as, for example, 1,2 propanediol. This solution is then mixed into the acrylic adhesive base material. Thereafter, a pharmaceutically active agent in protonated form, such as, for example, selegiline-HCI, is dissolved in a nonaqueous solvent, and, preferably, the same nonaqueous solvent used to dissolve the deprotonating agent. Thereafter, the drug-containing solution is added to the mixture of the adhesive base and the dissolved deprotonating agent. While within the acrylic adhesive base, the selegiline-HCI and the deprotonating agent, i.e., TEA, react such that TEA-HCI and free selegiline are formed. The reaction kinetics of this reaction strongly favor these end products. Of course, the order of the addition of ingredients can vary. For example, the TEA solution can be added to the selegiline-HCI solution and then the resulting mix can be added to the adhesive.

Generally, all mixing is done at room temperature. However, the deprotonating reaction may be somewhat exothermic and, therefore, it may be advantageous to cool the mixture to prevent degradation of the drug. After the mixture in accordance with the present invention has been formed and while the deprotonating reaction is taking place, the material may be directly forwarded and introduced into a transdermal patch.

A typical patch is shown in Fig. 1. It includes a baking layer 1, a release layer 2 and a therapeutic adhesive formu-

lation including both adhesive and drug in free form 3, disposed therebetween. In use, the release layer is peeled away exposing a surface of the adhesive which is then applied to the skin. The backing layer helps contain the material and prevent contamination. Any material useful in the production of transdermal patches can be used herein and almost any construction is appropriate

In accordance with another aspect of the present invention, it is possible to deprotonate the protonated pharmaceutically active agent prior to its introduction into an admixture with the adhesive material. In this case, the pharmaceutically active agent and the deprotonating agent, as previously described herein, are mixed in a solvent until the reaction between the two components is complete. Generally, a stoichiometric or slightly greater amount of deprotonating agent is used relative to the amount of pharmaceutically active agent so as to insure that the equilibrium drives the deprotonating reaction to completion. Often, the consequence of this reaction will be the formation of crystals or a precipitate of the now protonated deprotonating agent. While there is generally no adverse consequence because of the inclusion of such crystals, it is preferred to minimize or entirely eliminate such crystals if possible. Accordingly, before the mixture is mixed into the adhesive material base, the mixture is centrifuged, filtered, or otherwise processed such that the solid crystals or precipitate are removed. The remaining solution comprising mainly solvent and deprotonated pharmaceutically active agent, along with some residual liquid deprotonating agent, is then mixed into the adhesive mixture and then used in the production of transdermal patches as previously described. It is also possible to remove any crystals or other solids from the adhesive before the adhesive is used to construct a transdermal patch. This is accomplished as previously discussed.

In accordance with another aspect of the present invention, it may also be desirable to control the rate of the deprotonating reaction and, therefore, the conversion of the protonated pharmaceutically active agent to the free form thereof. By this statement, it should be understood that the present invention is nonetheless different than the *Heiber et al.* patent previously discussed. In *Heiber et al.*, a physical barrier is provided to stop substantially any reaction between the therapeutic agent and an activating agent therefor until just prior to use. The materials must be maintained completely separately starting from manufacture and continuing through storage up until the moment of use. In the present invention, even if steps are taken to control the rate of reaction, nonetheless, some reaction between the protonated form of the pharmaceutically active agent and the biocompatible deprotonating agent will take place during manufacture or not long thereafter. Additional conversion will take place during storage prior to use.

One method of controlling the rate of reaction was described previously and involves the use of PEI. Other cagelike deprotonating agents may also be used. Similarly, it may be possible to place either the pharmaceutically active agent or the biocompatible deprotonating agent inside of a microcapsule, microsphere, or matrix microparticle which somewhat restricts but does not completely eliminate the interaction of the two reactive species. The degree of permeability or the degree of dissolution of the microcapsule material will, at least in part, be rate controlling. Alternatively, the viscosity and/or degree of crosslinking of the adhesive material and/or the totality of the formulation can be increased or decreased. The greater the viscosity and/or molecular weight of the adhesive mixture, the greater the diffusion time for the various ingredients and the slower the time of reaction. Patches in accordance with the present invention can also be stored at generally lower temperatures which can also control the reaction of kinetics.

Another interesting method in accordance with the present invention involves separate formation of two or more layers of adhesive material in accordance with the present invention. One of the adhesive layers would include mixed therein the protonated form of the pharmaceutically active agent dissolved in a nonaqueous solvent. A second layer (adhesive or not) would include the biocompatible deprotonating agent dissolved in a nonaqueous solvent. These two layers could then be superimposed or placed in intimate contact with one another. Some reaction between the deprotonating agent in one layer and the pharmaceutically active agent in the adjacent layer will take place substantially immediately or shortly after contact. However, depending upon the design, the ratio of continued reaction may depend upon diffusion through the various layers. Eventually, the entirety of the pharmaceutically active agent will be deprotonated.

As shown in Fig. 2, a transdermal patch of this type includes a baking layer 1, a release layer 2 a first adhesive layer 3 adjacent the release layer and a second layer 4 disposed between the adhesive layer 3 and the backing layer 1. Second layer 4 may be an adhesive layer, but it need not be adhesive. The protonated drug is initially disposed in either layer 3 or layer 4 and the deprotonating agent is disposed in the other layer. Over time, as the drug is converted to free form, the distribution of the drug may vary within the layers. In use, the release layer 2 is peeled away exposing a surface of the first adhesive layer 3 which is then applied to the skin. The backing layer 1 helps contain the material and prevent contamination. Any material useful in the production of transdermal patches can be used herein and almost any construction is appropriate

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This later arrangement has additional benefits. Specifically, during the manufacture of an adhesive patch as described herein, after the adhesive mixture including the pharmaceutically active agent have been introduced into the patch or formed into a mold, the material is dried. During that drying, it is not uncommon for some of the pharmaceutically active agent to evaporate. This can cause the dosage form to be underweight in terms of the amount of therapeutic material delivered, or may force a manufacturer to incur additional costs by producing a wet mixture containing an

excess of pharmaceutically active agent with the expectation that a percentage thereof will evaporate away. In accordance with one aspect of the present invention, however, the deprotonating agent and the pharmaceutically active agent are dissolved into two different layers, each of which is separately dried. Neither the deprotonating agent nor the protonated drug are as sensitive to the heat used in drying as the deprotonated pharmaceutically active agent. After drying, the individual layers can be brought into intimate contact with each other such that the deprotonating reaction can begin.

Finally, when the drug used is a highly plasticizing drug as defined herein, it may be added to the adhesive as either a free base form or as a protonated drug. In the case of the former, deprotonating agents, and possibly solvents, will not be necessary. In the case of the latter, as with any other protonated pharmaceutically active agent as defined herein both solvent and deprotonating agent may be necessary.

Formulating patches including highly plasticizing drugs in free form tends to be a far simpler operation. The drug is merely mixed with an acrylic polymeric adhesive, as well as any other additional excipients, and then treated as any other material, using methods conventional in the manufacturing of transdermal patches, the material can be made into a film, dried into a block poured into a mold and the like.

### **EXAMPLES**

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### **EXAMPLE 1**

Three formulations were produced in accordance with the present invention. The formulations are shown in Table 4 below.

#### TARIF 4

	TABLE 4	
INGREDIENTS	WET BASIS	DRY BASIS*
Selegiline Base	3.5	5
GELVA 1753	119	85
Selegiline Base	6.74	10
GELVA 1753	134.4	80
Selegiline Base	9.45	15
GELVA 1753	105	15

\*parts

Each formulation was prepared with the same protocol. An amount of liquid drug as indicated in Table 4 was weighed out. The indicated amount of dry adhesive was also weighed. The drug was slowly added to the adhesive while agitation continued moderately to create a homogenous blend. A thin film of the drug/adhesive blend was produced in a controlled thickness of between 70-95 mg/ 10 cm² to a thickness of onto a release-coated plastic/paper substrate using a knife-over-roll technique. The coating was then dried in an oven at between 110° and 140°F to remove the solvents. The dry drug-polymer film was then laminated to a backing material made of PET/PE and die-cut into patches.

### 45 EXAMPLE 2 - ANALYTICAL STUDY OF CONVERSION OF SELEGILINE-HCI TO SELEGILINE FREE BASE.

Selegiline-HCl and one of the following deprotonating agents were added to water and analyzed for turbidity using standard protocols. The results are reported below in Table 5.

TABLE 5

Deprotonating Agent	pK <sub>b</sub>	Turbidity
Diethylamine	3.07	Yes
Triethylamine	3.28	Yes
Ethanolamine	4.5	Yes

TABLE 5 (continued)

Deprotonating Agent	рК <sub>в</sub>	Turbidity
Ammonia	4.75	Yes
Triethanolamine	6.2	Yes
lmidazole	7.05	Yes
Pyridine	8.77	Yes - Slight
Aniline	9.34	No

Selegiline free base, i.e., in its deprotonated form is only sparingly soluble in water. For this reason it is important that the solvent used in accordance with the present invention be a nonaqueous solvent. Visual observations of the resulting mixtures indicated turbidity in most of the tested cases. Turbidity is attributable to the conversion of the selegiline-HCl which is readily soluble in water to the free base form which is not.

Selegiline free form or free base has a p $K_b$  value of approximately 9.0. As will be apparent from Table 5, pyridine having a p $K_b$  of 8.77 produced some slight turbidity indicating some level of conversion of the selegiline-HCl to selegiline free base. However, aniline having a p $K_b$  higher than selegiline, produced no turbidity indicating no conversion to the free base form. Imidazole having a p $K_b$  of 7.05 produced strong turbidity indicating significant conversion.

Diethylamine and triethylamine also produced significant turbidity indicating conversion of the selegiline-HCl to the free base form. However, because of their low  $pK_{b}$ , and their resulting high pH, these deprotonating agents would generally be inappropriate for use in accordance with the present invention. Of course, it is possible that certain patch formulations which will require only brief exposure to the skin or very low concentrations of active ingredient may allow for the use of such agents.

The test was repeated using propylene glycol as the test medium. Selegiline free base concentration was measured by UV absorbency of cyclohexane extract and compared with a stoichiometric value of selegiline free base. The results are illustrated in Table 6.

TABLE 6

List	рК <sub>b</sub>	Selegiline Free Base Conversion
Imidazole	7.05	100%
Pyridine	8.77	15%
Amiline	9.34	0%

Imidazole having a pK<sub>b</sub> of 7.05 produced a selegiline conversion of approximately 100%. Pyridine having a pK<sub>b</sub> of 8.77 produced a selegiline free base conversion of 15%. Aniline having a pK<sub>b</sub> of 9.34 produced no conversion. This data strongly supports the visual observances of turbidity described above.

### **EXAMPLE 3 - ADDITIONAL ANALYTICAL TESTING**

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Additional testing was undertaken by dissolving various pharmaceutically active agents in a 25% solution of propylene glycol and analyzing them as described in Example 2. Triethylamine having a  $pK_b$  of approximately 3.28 was capable of converting phenylpropranolol-HCl and propranolol-HCl (the free base forms having a  $pK_b$  of 4 and 5 respectively). UV testing indicated that conversions were substantially complete. This shows that a  $pK_b$  differential of about 0.75 (in the case of phenylpropranolol, a differential of .72) is necessary for complete conversion from the hydrochloride salt to the free base form.

Of course, triethylamine had a measured pH of approximately 11.7 and a calculated pH of approximately 13.7. Such material would generally be too caustic for use in accordance with the present invention, especially of the calculated pH value, except under specialized circumstances. An actual pH of 11.7 is acceptable, an actual pH of 13.7 is not. Similarly, phenylpropranolol-HCl generally requires the use of a deprotonating agent having a pK<sub>b</sub> which is so low that it may cause irritation. In addition, the free base form of this pharmaceutical itself has a pH of approximately 13 which is generally too caustic for use in accordance with the present invention.

Ammonia having a pK<sub>b</sub> of 4.75 was able to completely deprotonate verapamil-HCI (free base having a pK<sub>b</sub> of 6) and, partially deprotonate propanol (free base form having a pK<sub>b</sub> of 5). This also demonstrates that the pK<sub>b</sub> differential

between the drug and the deprotonating agent needs to be approximately 0.75 or greater is useful to insure a complete reaction. Triethanolamine having a  $pK_b$  of 6 was fully able to convert scopolamine-HCl and clonidine-HCl to their respective free base forms ( $pK_b$  of 7-8 and 7 respectively).

### 5 EXAMPLE 4

0.6 kg of selegiline HCl was dissolved in 1.17 kg of 1,2 Propanediol in a 2 gallon container, under mild agitation, using an air mixer. In a separate 2 liter container, 0.407 kg of TEA was mixed with 0.4 kg of Ethanol at ambient temperature using mild agitation from air mixer. In a separate 5 gallon container, 3.55 kg of liquid adhesive GELVA 1753 was placed and 0.5 kg of Ethanol was mixed in using a high shear mixer. After all the Ethanol was dissolved, the Ethanol solution of TEA from the 2 liter container was gradually mixed into the adhesive while continuously mixing using a high shear mixer (Sharr mixer). After complete addition of TEA solution, 1.2 Propanediol solution of selegiline HCl, from a 2 gallon container, was gradually added to the continuously mixed adhesive. The mixing continued until a homogenous mixture was realized (about 30 minutes). Final adhesive mixture was coated (using knife-over-roll coating method) on a siliconized release liner and dried continuously in the 3 zone oven and "in line" laminated to a backing layer such as 1 mil Polyester. This laminate was subsequently die cut into round 10 cm<sup>2</sup> patches and packaged in heatsealable pouches.

### **EXAMPLE 5**

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The procedure used in example 4 was repeated. However, 3.6 kg of selegiline HCl was dissolved in 6.3 kg of 1,2 Propanediol. Accordingly, the amount of TEA was increased from 0.407 kg to 2.44 kg and mixed with 2.4 kg of Ethanol. The amount of GELVA 1753 adhesive was increased to 21.0 kg and diluted with 3.0 kg of Ethanol. The adhesive mixture was agitated using Sharr Mixer while both solutions of selegiline HCl and TEA were subsequently added into mix as described in Example 4. The coating, drying, laminating was done as described in Example 4. Die cutting of the laminate and pouching of the patches were done as described in Example 4.

### **EXAMPLE 6**

17.773 kg of selegiline HCl was dissolved in 34.55 kg 1,2 Propanediol under mild agitation using an air mixer. In a separate container, 12.046 kg of TEA was mixed with 12.046 kg of Ethyl Alcohol and mixed using an air mixer. In a separate 10 gallon mixer, 16.05 kg of GELVA 1753 was placed and 5.7 kg of Ethanol was mixed in. While mixing 3.65 kg of the TEA solution was added to the adhesive mix and mixed very well using Sharr mixer. 7.926 kg of selegiline HCl solution was added. The mixing was continued until an homogenous mixture was obtained. The final mixture was pumped into the centrifuge and crystals of TEA HCl were separated from the clear adhesive mix at 17,000 rpm. The clear adhesive mix containing Selegiline Free Base was collected in a separate container. The clear adhesive mixture was coated, dried and laminated as described in Example 4. Die cutting of the laminate and pouching of the patches were done as described in Example 4.

#### 40 EXAMPLE 7

8.82 kg of selegiline HCl was dissolved in 16.92 kg of Propanediol in a stainless steel container under mild agitation using air mixer. 5.98 kg of TEA was mixed with 5.98 kg of Ethyl Alcohol in a stainless steel container under mild agitation using air mixer at 600 rpm. The contents of the two containers were then mixed together while mixing the selegiline HCl solution, the TEA solution was added gradually for about 10 minutes and then allowed to stand for a minimum of 8 hours. The above solution containing slurries of TEA HCl crystals were pumped to a centrifuge at a low rate. The centrate was separated and collected in a 10 gallon stainless steel container. The 10.91 kg of the centrate was dispersed in 59.3 kg of GELVA 1753 in a separate container using Sharr mixer. The mixing was continued until a homogenous adhesive mixture was realized. The adhesive mixture was coated, dried and laminated as described in Example 4. Die cutting of the laminate and pouching of the patches were done as described in Example 4.

### EXAMPLE 8

18 grams of selegiline HCl was dissolved in 35 grams of 1.2 Propanediol at about 40°C in a 100 ml beaker under mild agitation using a magnetic stirrer. In a separate 150 ml beaker, 48.35 grams of GELVA 1753 adhesive was mixed with 17.33 grams of 1,2 Propanediol solution of selegiline HCl. After completion of mixing the adhesive mixture containing selegiline HCl was coated on a siliconized release liner using a laboratory knife-over-roll coater, dried in the oven and laminated to 1 mil polyester film. the coat weight of adhesive was about 100 mg per 10 cm<sup>2</sup>. This was "Part A" of

the final patch. 24.4 grams of TEA were mixed with 20 ml of 1.2 Propanediol in a 100 ml beaker. In a separate 150 ml beaker 42.9 g of GELVA 1753 adhesive was mixed with 11.1g of 1,2 Propanediol solution of TEA. After completion of mixing, the adhesive mixture containing TEA was coated on a siliconized release linear using a laboratory knife-over-roll coater, and dried in the oven. The coat weight of adhesive was 50 mg per 10 cm². This was "Part B" of the final patch. After removing the release liner, the adhesive layer of "Part A" was laminated to adhesive layer "Part B". This laminate was allowed to age at room temperature for 3 days. After that, 10 cm² round patches were die cut and extracted in cyclohexane. The resulting free base of selegiline was analyzed, the conversion rate of selegiline HCl into selegiline free base was found to be 83%.

(Examples 9 through 18 relate to the formulations described in Table 3.)

### **EXAMPLE 9**

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1.07 kg of selegiline free base was added to 10.9 kg of GELVA 1753 acrylic polymeric adhesive; both were in liquid form. The resulting therapeutic adhesive formulation was coated onto a polyester release liner using a knife-over-roll technique at 93 mg/10 cm² target coat weight and the result was dried. The resulting therapeutic adhesive formulation included 18 mg/10 cm² (dry) of selegiline and 72 mg/cm² (dry) of adhesive. The dried film was then laminated onto a clear PET/EVA backing material. The resulting patches exhibited acceptable drug storage stability as defined by the U.S. Food and Drug Administration for this class of transdermal patches and drug delivery profile. The resulting patches were able to remain on skin for at least 24 hours, exhibited no peeling or oozing, left no adhesive residue on the skin when removed and were removable without injury to the skin. Note that this therapeutic adhesive formulation does not include solvents other than those which are part of the commercially available adhesive and that the system is anhydrous. Substantially, all liquids in the system are removed by drying. However, some trace amounts of either liquid or solvent may still be present.

### 5 EXAMPLE 10

130.5 parts of GELVA 1753 were mixed with 7.41 parts of selegiline free base and 20 parts of ethanol. The resulting therapeutic adhesive formulation was coated onto a polyester release liner and dried at 130°F for 4 minutes. The dried film was then laminated on a PET backing. The resulting patches included approximately 15 mg/10 cm² (dry). The resulting patches were able to remain on skin for at least 24 hours, exhibited no peeling or oozing, left no adhesive residue on the skin when removed and were removable without injury to the skin. This therapeutic adhesive formulation was produced with ethanol as an added solvent. That solvent, as well as the solvents found in the commercial adhesive were evaporated during drying. The resulting formulation contains substantially no water or non-volatile liquids after drying. However, some trace amounts of either liquid or solvent may still be present.

### **EXAMPLE 11**

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4.91 parts of selegiline free base and 20 parts by weight of ethanol were mixed with 136.75 parts of GELVA 1753 using the process described immediately in Example 10. The resulting patches contained a therapeutic formulation including approximately 10 mg/10 cm<sup>2</sup> (dry) of selegiline. The resulting patches were able to remain on skin for at least 24 hours, exhibited no peeling or oozing, left no adhesive residue on the skin when removed and were removable without injury to the skin.

### **EXAMPLE 12**

109 parts of GELVA 1753 were mixed for one hour with 10 parts of propylene glycol, 5 parts of selegiline base and 20 parts of ethanol. The resulting therapeutic adhesive formulation was then coated onto a polyester release liner using a bench-top knife-over-roll coater and dried in an oven at 130°F for four minutes. The dried film was laminated onto a PET backing. The dried film had a composition of approximately 8% selegiline and 10% PG, i.e., approximately 8 mg/10 cm² (dry). Like all of the other formulations including GELVA 1753, this formulation was acceptable in terms of adhesive transfer and oozing. However, unlike the 10% and 15% formulations (each of which were formulated with a volatile solvent), the adhesive properties of the patches resulting from this batch were found to be unacceptable. The PG remained as part of the formulation after drying and acted with the selegiline in such a way that it retarded adhesion.

#### 5 EXAMPLE 13

10 parts of PG, 5 parts of selegiline free base, 20 parts of ethanol, and 77.8 parts of DUROTAK 87-2194 were mixed and processed as described above in Example 10. As shown in Table 3, the formulation exhibited cohesive fail-

ure and adhesive transfer.

### **EXAMPLE 14**

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83.33 parts of DUROTAK 87-2516 adhesive, 10 parts of PG and 5 parts of selegiline base, as well as 20 parts of ethanol were mixed as previously discussed and coated, using a bench-top knife-over-roll device, on a polyester release liner and dried in an over at 130°F for four minutes. The dried film was then laminated to make PET backing. The resulting formulation contained approximately 8 mg/10 cm² (dry) of selegiline. As demonstrated in Table 3, patches made from this formulation exhibited adhesive transfer when applied to the skin.

### **EXAMPLE 15**

85.37 parts of GELVA 788 adhesive, 10 parts of PG, 5 parts of selegiline base and 20 parts of ethanol were mixed an processed as described in Example 14. Again, the therapeutic adhesive formulation included approximately 8 mg/10 cm<sup>2</sup> (dry) of selegiline and again adhesive transfer was found to result when these patches were applied to skin.

### **EXAMPLE 16**

1.07 kg of selegiline was added to 8.78 kg of GELVA 655 liquid adhesive with constant mixing. The resulting therapeutic adhesive formulation was then coated onto a silicone coated polyester release liner using a knife-over-roll technique at 93 mg<sup>+4</sup>/10 cm<sup>2</sup> target coat weight and dried. The dried film was laminated to a PET/EVA backing. The resulting patches included 18 mg/10 cm<sup>2</sup> (dry) of selegiline. As demonstrated in Table 3, this formulation exhibited total adhesive failure.

### 25 EXAMPLE 17

0.7 kg of selegiline was mixed with 11.1 kg of DUROTAK 87-2852 liquid adhesive and processed as above in Example 16. A polyester release liner was used. The resulting therapeutic adhesive formulation included 12 mg/10 cm<sup>2</sup> (dry) of selegiline. As shown in Table 3, no adhesive transfer or oozing was realized. This material was made without any solvent other than that provided as part of the adhesive and it exhibited acceptable adhesion results as described in Example 9

### **EXAMPLE 18**

35 1.07 kg of selegiline was added to 10.29 kg of adhesive and processed as above in Example 16. The resulting therapeutic adhesive formulation included 18 mg/10 cm² (dry) of selegiline. The release liner used was a silicone coated release liner. As shown in Table 3, this formulation, by virtue of the higher concentration of highly plasticizing drug, showed some softness.

#### 40 Claims

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- 1. A therapeutic adhesive formulation for use as a transdermal delivery system comprising:
  - an adhesive material;
  - a pharmaceutically active agent in protonated form;
  - a nonaqueous solvent capable of dissolving said pharmaceutically active agent in either protonated or nonprotonated form;
  - and a biocompatible deprotonating agent which is strong enough to substantially deprotonate said pharmaceutically active agent without causing irritation upon prolonged exposure to skin;
  - said adhesive material, said pharmaceutically active agent, said nonaqueous solvent and said deprotonating agent being admixed into a substantially homogeneous mixture capable of being used to formulate a transdermal delivery patch for administration of said pharmaceutically active agent.
- 2. The therapeutic adhesive formulation of claim 1, wherein said adhesive material is selected from the group consisting of acrylics, silicones, polyisoalkylenes, rubbers, vinyl acetates, polyisobutylene rubber, polybutadiene, styrene-butadiene (or isoprene)-styrene block copolymer rubber, acrylic rubber, natural rubber, vinyl-based high molecular weight materials, polyvinyl acetate, polyvinyl alcohol, polyvinyl pyrrolidone; cellulose derivatives, polysaccharides, polyurethane elastomers and polyester elastomers.

- 3. The therapeutic adhesive formulation of claim 2, wherein said adhesive material is an acrylic adhesive.
- 4. The therapeutic adhesive formulation of claim 1, wherein said protonated form of said pharmaceutically active agent is selected from the group consisting of selegiline-HCI, propranolol-HCI, ketorolac-HCI, buprenorphine-HCI, scopolamine-HCI, terbutaline-HCI, clonidine-HCI, morphine-HCI, terazosin-HCI, prazosine-HCI, diliazem-HCI, verapamil-HCI, and ciproflaxocin-HCI.
- 5. The therapeutic adhesive formulation of claim 1, wherein said nonaqueous solvent is an alcohol.
- 10 6. The therapeutic adhesive formulation of claim 5, wherein said alcohol is a monoalcohol.

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- The therapeutic adhesive formulation of claim 6, wherein said monoalcohol is selected from the group consisting of ethanol, propanol, isopropanol, butanol, and tertbutyl alcohol.
- 15 8. The therapeutic adhesive formulation of claim 5, wherein said alcohol is a normal short chain polyol of between about 2 and about 4 carbons in length.
  - The therapeutic adhesive formulation of claim 8, wherein said alcohol is selected from the group consisting of 1,2 propanediol, 1,4 butanediol, glycerol, ethylene glycol, and propylene glycol.
  - 10. The therapeutic adhesive formulation of claim 5, wherein said alcohol is a generally low molecular weight polyalkylene glycol having an average molecular weight of less than about 400.
  - 11. The therapeutic adhesive formulation of claim 1, wherein said nonaqueous solvent is an acetate.
  - 12. The therapeutic adhesive formulation of claim 1, wherein said deprotonating agent has a  $pK_b$  which is at least about 0.75 lower than the  $pK_b$  of the nonprotonated form of said pharmaceutically active agent.
- 13. The therapeutic adhesive formulation of claim 12, wherein said deprotonating agent has a pK<sub>b</sub> which is between about 4 and about 10.
  - 14. The therapeutic adhesive formulation of claim 13, wherein said deprotonating agent is selected from the group consisting of polymeric imines, aromatic imines, alkanol imines, polymeric amines, aromatic amines, alkanolamines, and alkyl-aryl amines.
  - 15. The therapeutic adhesive formulation of claim 14, wherein said deprotonating agent is an alkanolamine selected from the group consisting of triethanolamine, diethanolamine, ethanolamine, and propanolamine.
- 16. The therapeutic adhesive formulation of claim 13, wherein said deprotonating agent is a polymeric imine selectedfrom the group consisting of polyethylene imine polydimethylaminoethyl methacrylate and polyacryloamin.
  - 17. The therapeutic adhesive formulation of claim 12 wherein said deprotonating agent has pharmaceutical activity.
- **18.** The therapeutic adhesive formulation of claim 1, wherein the amount of said adhesive material ranges from between about 30 to about 85 percent by weight based on the weight of the total formulation.
  - 19. The therapeutic adhesive formulation of claim 18, wherein the amount of said adhesive material ranges from between about 45 to about 75 percent by weight based on the weight of the total formulation.
- 20. The therapeutic adhesive formulation of claim 1, wherein the amount of said pharmaceutically active agent ranges from between about 0.1 to about 45 percent by weight based on the weight of the total formulation.
  - 21. The therapeutic adhesive formulation of claim 20, wherein the amount of said pharmaceutically active agent ranges from between about 2 to about 20 percent by weight based on the weight of the total formulation.
  - 22. The therapeutic adhesive formulation of claim 1, wherein the amount of said nonaqueous solvent ranges from between about 5 to about 30 percent by weight based on the total weight of the formulation.

- 23. The therapeutic adhesive formulation of claim 22, wherein the amount of said nonaqueous solvent rages from between about 10 to about 20 percent by weight based on the total weight of the formulation.
- 24. The therapeutic adhesive formulation of claim 1, wherein the amount of said biocompatible deprotonating agent is sufficient to substantially completely deprotonate said pharmaceutically active agent.
- 25. The therapeutic adhesive formulation of claim 24, wherein the amount of said biocompatible deprotonating agent is at least a stoichiometric amount when compared to the amount of said pharmaceutically active agent.
- 26. The therapeutic adhesive formulation of claim 1, wherein at least a portion of said biocompatible deprotonating agent is removed prior mixing with said adhesive material.
  - 27. The therapeutic adhesive formulation of claim 1, wherein at least a portion of said biocompatible deprotonating agent is removed after mixing with said adhesive material.
  - 28. The therapeutic adhesive formulation of claim 13 wherein said pharmaceutically active agent has a pK<sub>b</sub> of between about 4.75 and about 11.
  - 29. A therapeutic drug delivery patch for the transdermal delivery of a drug comprising:

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a backing layer, a peelable cover layer sealably and removably associated with said backing layer and the therapeutic adhesive formulation of claim 1 disposed therebetween so as to be exposed for intimate contact with the skin of a patient when said peelable core layer is removed, said patch being substantially without a means to prevent any reaction between said pharmaceutically active agent and said biocompatible deprotonating agent.

- **30.** A therapeutic drug delivery patch for the transdermal delivery of a drug comprising:
  - a backing layer, a peelable cover layer sealably and removably associated with said backing layer and the therapeutic adhesive formulation of claim 12 disposed therebetween so as to be exposed for intimate contact with the skin of a patient when said peelable core layer is removed, said patch being substantially without a means to prevent any reaction between said pharmaceutically active agent and said biocompatible deprotonating agent.
- 35. At therapeutic drug delivery patch of claims 29 or 30, further comprising a means for controlling the rate of a deprotonation reaction between said protonated pharmaceutically active agent and said deprotonating agent.
  - 32. The therapeutic drug delivery patch of claim 31, wherein said means for controlling said deprotonating reaction includes providing a plurality of adhesive layers, at least one of said layers including said protonated pharmaceutically active agent and at least one other of said layers including said biocompatible deprotonating agent.
  - 33. The therapeutic drug delivery patch of claim 31, wherein said means for controlling said deprotonation reaction is a viscosity modifier.
- 45 **34.** A method of producing an adhesive formulation for a therapeutic drug delivery patch adapted for the transdermal delivery of a drug comprising the steps of:

providing a pharmaceutically active agent in protonated form, whose corresponding nonprotonated form has a given pK<sub>b</sub> which ranges from between about 4.75 and about 11;

dissolving said protonated pharmaceutically active agent in a nonaqueous solvent, said nonaqueous solvent being capable of dissolving said pharmaceutically active agent in both a protonated and nonprotonated forms; reacting said dissolved pharmaceutically active agent with a biocompatible deprotonating agent which can substantially deprotonate said pharmaceutically active agent without causing irritation upon prolonged exposure to the skin, said biocompatible deprotonating agent having a  $pK_b$  which is at least about 0.75 lower than said  $pK_b$  of said pharmaceutically active agent in nonprotonated form, said deprotonated agent thereby becoming protonated; and

incorporating at least said deprotonated pharmaceutically active agent into an adhesive material so as to form a therapeutic adhesive formulation.

- 35. The method of claim 34 further comprising the step of separating at least a portion of said protonated deprotonating agent from said mixture of deprotonated pharmaceutically active agent, solvent and protonated deprotonating agent prior to incorporating said pharmaceutically active agent into said adhesive agent.
- 36. The method of claim 34 further comprising the step of separating at least a portion of said protonated deprotonating agent from said mixture of deprotonated pharmaceutically active agent, solvent and protonated deprotonating agent after incorporating said pharmaceutically active agent into said adhesive agent.
  - 37. The method of claim 35 or 36 wherein said protonated deprotonating agent is in the form of a crystal or precipitate.
  - 38. The method of claims 35 or 36 wherein said protonated deprotonating agent is separated by filtration.
  - 39. The method of claims 35 or 36 wherein said protonated deprotonating agent is separated by centrifugation.
- 40. A therapeutic drug delivery patch for the percutaneous delivery of a drug comprising:
  - a backing layer;

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- a peelable cover layer sealably and removably associated with said backing layers;
- and a therapeutic adhesive formulation comprising an adhesive material, a first pharmaceutically active agent in nonprotonated, a second pharmaceutically active agent in the form of a protonated salt having a  $pK_b$  which is higher than the  $pK_b$  of said first pharmaceutically active agent and a nonaqueous solvent capable of dissolving said first and said second pharmaceutically active agents in at least one form;

said therapeutic adhesive formulation being disposed between said backing layer and said peelable cover layer so as to be exposed for intimate contact with the skin of a patient once said peelable layer is removed.

- 41. A method of producing a therapeutic drug delivery patch for the percutaneous delivery of a drug comprising the steps of:
- forming a first layer including at least one pharmaceutically active agent in protonated form;
  - forming a second layer including at least one deprotonating agent capable of completely deprotonating said pharmaceutically active agent in said first layer;
  - drying said first and said second layers:
  - placing said first and said second layers into intimate contact with one another;
  - and placing said first and said second layers into a therapeutic drug delivery patch.
  - **42.** The method of claim 41 wherein said deprotonating agent has a pK<sub>b</sub> which is at least 0.75 lower than the pK<sub>b</sub> of said pharmaceutically active agent.
- 40 43. The method of claim 42 wherein said deprotonating agent has a pK<sub>b</sub> which is at least 1.0 lower than the pK<sub>b</sub> of said pharmaceutically active agent.
  - **44.** The method of claim **41** wherein the pK<sub>b</sub> of said pharmaceutically active agent ranges from between about **4.75** and about **11**.
  - 45. The method of claim 41 wherein the  $pK_b$  of said deprotonating agent ranges from between about 4 and about 10.
  - 46. The method of claim 41 wherein at least one of said layers is an adhesive formulation.
- 47. The method of claim 41 wherein both of said layers are adhesive formulations.
  - 48. The method of claim 41 further comprising the step of adjusting the diffusion characteristics of said first and said second layers so as to influence the rate of the deprotonating reaction between said pharmaceutically active agent disposing said first layer and said deprotonating agent disposed in said second layer.
  - **49.** A method of producing a transdermal therapeutic adhesive formulation including at least one highly plasticizing drug comprising the steps of:

providing between about 65% and about 97%, by weight, of an acrylic polymeric adhesive which includes between about 40% and about 90% of a  $C_4$ - $C_{12}$  alkyl acrylate, between about 10% and about 40% by weight of a  $C_1$ - $C_4$  alkyl acrylate hardening monomer; between about 1% and about 20% by weight of a functionalizing monomer which facilitates crosslinking; and a crosslinking agent;

mixing said acrylic polymeric adhesive with a highly plasticizing drug in an amount which is sufficient to provide between about 3% and about 35% of said drug by weight based on the weight of the mixture when said transdermal therapeutic adhesive formulation is dry:

and crosslinking said acrylic polymeric adhesive to form a matrix capable of controlling the release of said highly plasticizing drug.

- **50.** The method of claim 49 wherein between about 3% and about 70% of said drug is mixed with said acrylic polymeric adhesive.
- 51. The method of claim 49 wherein crosslinking is accomplished in situ by drying the mixture.

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- **52.** The method of claim 49 wherein said C<sub>4</sub>-C<sub>12</sub> alkyl acrylate is selected from the group consisting of 2-ethylhexyl acrylate, butyl acrylate, n-decyl, n-nonyl, 2 ethyoctyl, isooctyl and dodecyl-acrylate.
- 53. The method of claim 49 wherein said C<sub>4</sub>-C<sub>12</sub> alkyl acrylate is provided in an amount of between about 60% and about 80% by weight based on the total weight of the acrylic polymeric adhesive.
  - 54. The method of claim 49 wherein said C<sub>1</sub>-C<sub>4</sub> alkyl acrylate hardening monomer is selected from the group consisting of methyl acrylate, methyl methacrylate, ethylacrylate, ethyl methacrylate, hydroxyethyl acrylate and hydroxy propyl methacrylate.
  - 55. The method of claim 49 wherein said C<sub>1</sub>-C<sub>4</sub> alkyl acrylate hardening monomer is provided in an amount of between about 15% and about 30% by weight based on the total weight of the acrylic polymeric adhesive.
  - 56. The method of claim 49 wherein said functionalizing monomer which facilitates crosslinking is selected from the group consisting of acrylic acid, hydroxy thylacrylate, hydroxy ethylacrylate, methacrylic acid, and acrylamide.
  - 57. The method of claim 49 wherein said functionalizing monomer which facilitates crosslinking is provided in an amount of between about 1% and about 10% by weight based on the total weight of the acrylic polymeric adhesive.
- 58. The method of claim 49 wherein said C<sub>4</sub>-C<sub>12</sub> alkyl acrylate is selected from the group consisting of 2-ethylhexyl acrylate, butyl acrylate, n-decyl, n-nonyl, 2 ethyoctyl, isooctyl and dodecyl-acrylate and is provided in an amount of between about 60% and about 80% by weight based on the total weight of the acrylic polymeric adhesive and wherein said C<sub>1</sub>-C<sub>4</sub> alkyl acrylate hardening monomer is selected from the group consisting of methyl acrylate, methyl methacrylate, ethyl methacrylate, hydroxyethyl acrylate and hydroxy propyl methacrylate and is provided in an amount of between about 15% and about 30% by weight based on the total weight of the acrylic polymeric adhesive.
  - **59.** The method of claim 58 wherein said highly plasticizing drug is selected from the group consisting of selegiline, fluoxetine, Des-methyl selegiline, tetracaine and chlorpheniramine.
  - **60.** The method of claim 59 wherein said highly plasticizing drug is provided in an amount of between about 3% and about 25% by weight of the therapeutic adhesive formulation.
- 61. The method of claim 60 wherein said highly plasticizing drug is provided in an amount of between about 3% and about 18% by weight of the therapeutic adhesive formulation.
  - **62.** The method of claim 49 wherein said crosslinking agent is selected from the group consisting of butyl titinate, polybutyl titinate, aluminum isopropoxide, aluminum zinc acetate, multivalent metals, methylol ureas and melamines.
- 65. The method of claim 49 wherein said crosslinking agent is provided in an amount of between about 0.005% and about 2% based on the total weight of the acrylic polymeric adhesive.
  - **64.** A therapeutic adhesive formulation comprising:

between about 65% and about 97%, by weight, of an acrylic polymeric adhesive which includes between about 40% and about 90% of a  $C_4$ - $C_{12}$  alkyl acrylate, between about 10% and about 40% by weight of a  $C_1$ - $C_4$  alkyl acrylate hardening monomer; between about 1% and about 20% by weight of a functionalizing monomer which facilitates crosslinking; and a crosslinking agent; and

between about 3% and about 35% by weight, based on the weight of said mixture, of a highly plasticizing drug.

- **65.** The therapeutic adhesive formulation of claim 64 wherein said C<sub>4</sub>-C<sub>12</sub> alkyl acrylate is selected from the group consisting of 2-ethylhexyl acrylate, butyl acrylate, n-decyl, n-nonyl, 2 ethyoctyl, isooctyl and dodecyl-acrylate.
- 66. The therapeutic adhesive formulation of claim 64 wherein said C<sub>4</sub>-C<sub>12</sub> alkyl acrylate is provided in an amount of between about 60% and about 80% by weight based on the weight of the adhesive.
  - 67. The therapeutic adhesive formulation of claim 65 wherein said C<sub>1</sub>-C<sub>4</sub> alkyl acrylate hardening monomer is selected from the group consisting of methyl acrylate, methyl methacrylate, ethylacrylate, ethyl methacrylate, hydroxyethyl acrylate and hydroxy propyl methacrylate.
  - **68.** The therapeutic adhesive formulation of claim 64 wherein said C<sub>1</sub>-C<sub>4</sub> alkyl acrylate hardening monomer is provided in an amount of between about 15% and about 30% by weight based on the weight of the adhesive.
- 20 69. The therapeutic adhesive formulation of claim 64 wherein said functionalizing monomer which facilitates crosslinking is selected from the group consisting of acrylic acid, hydroxy thylacrylate, hydroxy ethylacrylate, methacrylic acid and acrylamide.
- **70.** The therapeutic adhesive formulation of claim 64 wherein said functionalizing monomer which facilitates crosslinking is provided in an amount of between about 3% and about 8% by weight based on the weight of the adhesive.
  - 71. The therapeutic adhesive formulation of claim 64 wherein said highly plasticizing drug is selected from the group consisting of selegiline, fluoxetine, Des-methyl selegiline, tetracaine and chlorpheniramine.
- 30 72. The therapeutic adhesive formulation of claim 71 wherein said highly plasticizing drug is selegiline.
  - 73. The therapeutic adhesive formulation of claim 64 wherein said highly plasticizing drug is provided in an amount of between about 3% and about 25% by weight of the finished adhesive and drug mixture.
- 74. The therapeutic adhesive formulation of claim 73 wherein said highly plasticizing drug is provided in an amount of between about 3% and about 18% by weight of the finished adhesive and drug mixture.
  - 75. The therapeutic adhesive formulation of claim 74 wherein said crosslinking agent is selected from the group consisting of butyl titinate, polybutyl titinate, aluminum isopropoxide, butyl titinate, aluminum zinc acetate, multivalent metals, methylol ureas and melamines
  - 76. The therapeutic adhesive formulation of claim 75 wherein said crosslinking agent is provided in an amount of between about 0.005% and about 2.0% based on the weight of the adhesive.
- 45 77. The therapeutic adhesive formulation of claim 64 wherein said formulation is anhydrous and substantially free of volatile solvents after drying.
  - 78. A drug containing and releasing adhesive mixture comprising:

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between about 65 % and about 97 %, by weight of an acrylic polymeric adhesive which includes between about 60% and about 80% of a C<sub>4</sub>-C<sub>12</sub> alkyl acrylate selected from the group consisting of 2-ethylhexyl acrylate, butyl acrylate, n-decyl, n-nonyl, 2 ethyoctyl, isooctyl and dodecyl-acrylate; between about 15% and about 30% by weight of a C<sub>1</sub>-C<sub>4</sub> alkyl acrylate hardening monomer selected from the group consisting of methyl acrylate, methyl methacrylate, ethylacrylate, ethyl methacrylate, hydroxyethyl acrylate and hydroxy propyl methacrylate; between about 1% and about 20% by weight of a functionalizing monomer which facilitates crosslinking selected from the group consisting of acrylic acid, hydroxy thylacrylate, hydroxy ethylacrylate, methacrylic acid and acrylamide; and a crosslinking agent provided in an amount of between about 0.005% and about 2.0%; and

between about 3% and about 35% by weight, based on the weight of said mixture, of a highly plasticizing drug selected from the group consisting of selegiline, fluoxetine, Des-methyl selegiline, tetracaine and chlorpheniramine.

- 5 79. The therapeutic adhesive formulation of claim 78 wherein said highly plasticizing drug is selegiline.
  - 80. The therapeutic adhesive formulation of claim 78 which does not include a solvent after drying.
- 81. A method of producing a therapeutic adhesive formulation for use in a transdermal patch comprising the steps of:
  selecting an acrylic polymeric adhesive which is suitable for use with highly plasticizing drugs based upon it's content of between about 40% and about 90% of a C<sub>4</sub>-C<sub>12</sub> alkyl acrylate and between about 10% and about 40% by weight of a C<sub>1</sub>-C<sub>4</sub> alkyl acrylate hardening monomer; and mixing said acrylic polymeric adhesive with a highly plasticizing drug in an amount of between about 3% and about 65% by weight based on the weight of said mixture.
- 15 82. The method of producing a therapeutic adhesive formulation for use in a transdermal patch of claim 81 further comprising the step of: selecting an acrylic polymeric adhesive which is suitable for use with highly plasticizing drugs based upon it's content of between about 40% and about 90% of a C<sub>4</sub>-C<sub>12</sub> alkyl acrylate and between about 10% and about 40% by weight of a C<sub>1</sub>-C<sub>4</sub> alkyl acrylate hardening monomer; between about 1% and about 20% by weight of a functionalizing monomer which facilitates crosslinking; and a crosslinking agent.
  - 83. The method of producing a therapeutic adhesive formulation for use in a transdermal patch of claim 82 further comprising the step of: drying said mixture of said acrylic polymeric adhesive and said highly plasticizing drug to form a matrix capable of controlling the release of said highly plasticizing drug when placed in a transdermal patch and applied to the skin of a patient and which will not ooze, suffer from adhesive failure, fall off of a patient prematurely or be difficult to remove when necessary.
  - 84. A transdermal drug delivery system comprising a blend of:
    - (a) one or more polymers; and

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- (b) a therapeutically effective amount of one or more drugs, at least one of which is of low molecular weight and liquid at or about room temperatures,
- wherein said system is substantially free of water and liquids having a normal boiling point (i) below processing temperatures and (ii) equal to or greater than the normal boiling points of the low molecular weight drugs.

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FIG. 1

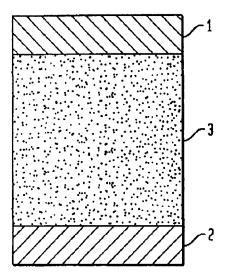
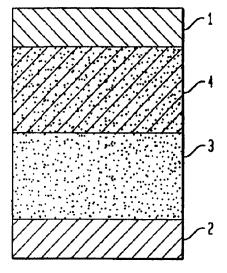


FIG. 2



Electronic Acknowledgement Receipt				
EFS ID:	25599626			
Application Number:	14024985			
International Application Number:				
Confirmation Number:	7031			
Title of Invention:	TRANSDERMAL ESTROGEN DEVICE AND DELIVERY			
First Named Inventor/Applicant Name:	Juan Mantelle			
Customer Number:	22428			
Filer:	Courtenay C. Brinckerhoff/Christine Arthur			
Filer Authorized By:	Courtenay C. Brinckerhoff			
Attorney Docket Number:	041457-1016			
Receipt Date:	26-APR-2016			
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Time Stamp:	14:34:59			
Application Type:	Utility under 35 USC 111(a)			

## **Payment information:**

Submitted with Payment	no
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		IDS.pdf	169536	yes	3
			b375577b1d7139ceaaf2db7fa4b54f901b6 9a143		

	Multipart Description/PDF files in .zip description							
	Document Description  Transmittal Letter  Information Disclosure Statement (IDS) Form (SB08)		Start	End				
			1		2			
			3	3				
Warnings:								
Information:								
2	Foreign Reference	EP0887075A2.pdf	2535715	no	24			
			604d6a6e8e1cbcac4d9f10f1c5716397ab00 6faf					
Warnings:								
Information:								
3	Non Patent Literature	Rietschel.pdf	236307	no	6			
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4	Non Patent Literature	Feldstein.pdf	1099396	no	17			
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5	Non Patent Literature	Pfister.pdf	6397961	. no	82			
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Information:		<b>.</b>						
6	Non Patent Literature	DowCorning.pdf	245943	no	4			
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9	Non Patent Literature	Novenresponse.pdf	70608	no	2				
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Information:									
		Total Files Size (in bytes):	11012054						

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name: Juan Mantelle

Title: Transdermal Estrogen Device

and Delivery

Application No.: 14/024985

Filing Date: 9/12/2013

Examiner: Melissa Javier

Art Unit: 1611

Confirmation No.: 7031

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

Applicant submits herewith documents for the Examiner's consideration in accordance with 37 CFR §§1.56, 1.97 and 1.98.

Applicant respectfully requests that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The submission of any document herewith is not an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document submitted herewith. However, in accordance with MPEP §

609.04(a)(I), Applicant hereby states that for items for which the date of publication supplied does not include the month of publication, the year of publication is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the particular month of publication is not in issue.

# TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing of a first Office action after the filing of a RCE.

# RELEVANCE OF LISTED DOCUMENTS

The listed documents were cited in an opposition filed in the corresponding European patent. Documents A1 and A2 are also granted patents with common or overlapping inventorship and/or ownership.

Although Applicant believes that no fee is required, the Commissioner is hereby authorized to charge any additional fees which may be due to Deposit Account Number 19-0741.

Date Aml 26, 2016 By Churchy C By Me

Respectfully submitted,

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Attorney for Applicant

Registration No. 37,288



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/024,985	09/12/2013	041457-1016	7031	
22428 Foley & Lardne	7590 05/05/201 er LLP	6	EXAM	INER
3000 K STREE SUITE 600			JAVIER, M	IELISSA L
WASHINGTO	N, DC 20007-5109		ART UNIT	PAPER NUMBER
			1611	
			NOTIFICATION DATE	DELIVERY MODE
			05/05/2016	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ipdocketing@foley.com

<ul> <li>Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.</li> <li>Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).</li> <li>Status</li> <li>1) Responsive to communication(s) filled on 4/26/2016.</li> <li>A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed on</li> <li>2a) This action is FINAL.</li> <li>2b) This action is non-final.</li> <li>3) An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action.</li> <li>4) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.</li> </ul>
Period for Reply  A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.  Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status  1) Responsive to communication(s) filled on 4/26/2016.  A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filled on  2a) This action is FINAL.  2b) This action is non-final.  3) An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action.  4) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims*  5) Claim(s) 1-9 and 13 is/are pending in the application.  5a) Of the above claim(s) is/are withdrawn from consideration.
THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filled after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filled, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status  1) Responsive to communication(s) filled on 4/26/2016.  A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filled on  2a) This action is FINAL.  2b) This action is non-final.  3) An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action.  4) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims*  5) Claim(s) 1-9 and 13 is/are pending in the application.  5a) Of the above claim(s) is/are withdrawn from consideration.
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5) Claim(s) <u>1-9 and 13</u> is/are pending in the application. 5a) Of the above claim(s) is/are withdrawn from consideration.
5a) Of the above claim(s) is/are withdrawn from consideration.
7) Claim(s) <u>1-9</u> is/are rejected.
8) Claim(s) is/are objected to.
9) Claim(s) are subject to restriction and/or election requirement.
* If any claims have been determined <u>allowable</u> , you may be eligible to benefit from the <b>Patent Prosecution Highway</b> program at a
participating intellectual property office for the corresponding application. For more information, please see
http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.
Application Papers
10) The specification is objected to by the Examiner.
11) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
Priority under 35 U.S.C. § 119
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
Certified copies:
a) ☐ All b) ☐ Some** c) ☐ None of the:
1.☐ Certified copies of the priority documents have been received.
2. Certified copies of the priority documents have been received in Application No
3. Copies of the certified copies of the priority documents have been received in this National Stage
application from the International Bureau (PCT Rule 17.2(a)).
** See the attached detailed Office action for a list of the certified copies not received.
Attachment(s)
1) Notice of References Cited (PTO-892)  3) Interview Summary (PTO-413)
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b)  Paper No(s)/Mail Date  4) Other:

The present application is being examined under the pre-AIA first to invent

provisions.

Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set

forth in 37 CFR 1.17(e), was filed in this application after allowance or after an Office

action under Ex Parte Quayle, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935). Since

this application is eligible for continued examination under 37 CFR 1.114, and the fee

set forth in 37 CFR 1.17(e) has been timely paid, prosecution in this application has

been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on 12/31/2015

has been entered.

Status of Claims

The amendments and arguments filed on 12/31/2015 are acknowledged and

have been fully considered. Claims 1-9 and 13 are now pending. Claims 10-12 are

canceled; claim 1 is amended; no claims are withdrawn; no claims are new.

Claims 1-9 and 13 will be examined on the merits herein.

Information Disclosure Statement

The Information Disclosure Statement (IDS) filed 4/26/2016 has been considered

by the examiner.

0221

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# Claim Rejections - 35 USC § 112 (new)

The following is a quotation of the first paragraph of 35 U.S.C. 112(a):

(a) IN GENERAL.—The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor or joint inventor of carrying out the invention.

The following is a quotation of the first paragraph of pre-AIA 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-9 are rejected under 35 U.S.C. 112(a) or 35 U.S.C. 112 (pre-AIA), first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor or a joint inventor, or for pre-AIA the inventor(s), at the time the application was filed, had possession of the claimed invention.

Claim 1 recites "wherein the adhesive polymer matrix layer includes from about 0.195 to about 0.260 mg/cm2 estradiol and achieves an estradiol flux of from about 0.0125 to about 0.0167 mg/cm2/day, based on the active surface area". The instant specification does not teach that the adhesive polymer matrix layer includes from about 0.195 to about 0.260 mg/cm2 estradiol. The instant specification teaches "[f]or example, in some embodiments, the systems have a coat weight such that the amount of estradiol per unit area is greater than the 0.156 mg/cm2 estradiol of the Vivelle-Dot®

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products, such as a coat weight that is about 1.25, 1.33, 1.5, 1.67, 1.75, 2, or 3 times the coat weight of the Vivelle-Dot® products, or greater". However, this is not a teaching of an amount of estradiol, it is a coat weight. The instant specification does not teach that the transdermal achieves an estradiol flux of from about 0.0125 to about 0.0167 mg/cm2/day. The instant specification teaches "[f]or example, in some embodiments, the systems exhibit a flux greater than the 0.01 mg/cm2/day exhibited by the Vivelle-Dot® products, such as a flux that is about 1.25, 1.33, 1.5, 1.67, 1.75, 2, 3, 4, or 5 times the flux of the Vivelle-Dot® products". However, these are discrete points disclosed, not a range. The use of a range of estradiol flux includes values (i.e. those between the disclosed points) that are not disclosed in the originally filed specification. Further, there is no disclosure in the instant specification that the newly claimed amounts of estradiol achieve the newly claimed flux. This is a new matter rejection.

#### Response to Arguments

Applicant's arguments filed 12/31/2015 have been fully considered but they are not persuasive for the reasons set forth above.

### Allowable Subject Matter

Claim 13 is allowed.

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#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Melissa Javier whose telephone number is (571)270-7430. The examiner can normally be reached on Monday-Thursday, 8am-6pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bethany Barham can be reached on 571-272-6175. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Melissa Javier Examiner Art Unit 1611

/Melissa Javier/ Examiner, Art Unit 1611

# Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
14024985	MANTELLE, JUAN
Examiner	Art Unit
MELISSA JAVIER	1611

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED				
Symbol	Date	Examiner		

US CLASSIFICATION SEARCHED						
Class	Subclass	Date	Examiner			

SEARCH NOTES								
Search Notes Date Examiner								
EAST search (see attached history)	5/14/2015	MJ						
Inventor search in EAST	5/14/2015	MJ						
Google Scholar search (keywords used: monolithic transdermal estradiol flux)	5/14/2015	MJ						
Updated EAST search	9/28/2015	MJ						
Updated Google Scholar search	9/28/2015	MJ						
Updated EAST search	4/22/2016	MJ						
Updated Google Scholar search	4/22/2016	MJ						

	INTERFERENCE SEARCH		
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	9/28/2015	MJ

/M.J./ Examiner.Art Unit 1611	

# **EAST Search History**

# **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	14723	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L2	5090	L1 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L3	841	L2 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L4	32	L3 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L5	253	L3 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L6	49	L3 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L7	87	L1 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L8	249	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L9	41	L8 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L10	144	L8 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L11	181	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L12	38	L11 NOT L8	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L13	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L14	662	L1 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L15	121	L3 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L16	14723	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L17	5090	L16 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO;	OR	OFF	2016/04/22 16:33

			JPO; DERWENT	<u> </u>		
L18	841	L17 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L19	32	L18 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L20	253	L18 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L21	49	L18 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L22	87	L16 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L23	249	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L24	41	L23 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L25	144	L23 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L26	181	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L27	38	L26 NOT L23	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L28	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L29	662	L16 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L30	121	L18 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L31	2	"6638528".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L32	4	"4624665".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L33	3	"20090041831".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L34	14723	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L35	5090	L34 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L36	841	L35 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L37	32	L36 and estradiol.ab.	US-PGPUB; USPAT;	OR	OFF	2016/04/22

			USOCR; FPRS; EPO; JPO; DERWENT			16:33
L38	253	L36 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L39	49	L36 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L40	87	L34 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L41	249	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L42	41	L41 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L43	144	L41 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L44	181	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L45	38	L44 NOT L41	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L46	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L47	662	L34 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L48	121	L36 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L49	14723	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L50	5090	L49 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L51	841	L50 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L52	32	L51 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L53	253	L51 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L54	49	L51 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L55	87	L49 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L56	249	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33

L57	41	L56 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L58	144	L56 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L59	181	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L60	38	L59 NOT L56	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L61	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L62	662	L49 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L63	121	L51 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L64	14723	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L65	5090	L64 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR OFF		2016/04/22 16:33
L66	841	L65 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L67	32	L66 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L68	253	L66 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L69	49	L66 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L70	87	L64 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L71	249	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L72	41	L71 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L73	144	L71 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L74	181	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L75	38	L74 NOT L71	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	PGPUB; USPAT; OR OFF DCR; FPRS; EPO;		2016/04/22 16:33
L76	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/04/22 16:33

L77	662	L64 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L78	121	L66 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L79	14723	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L80	5090	L79 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L81	841	L80 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L82	32	L81 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L83	253	L81 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L84	49	L81 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L85	87	L79 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L86	249	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L87	41	L86 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L88	144	L86 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L89	181	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L90	38	L89 NOT L86	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L91	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L92	662	L79 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L93	121	L81 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L94	2	"6638528".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L95	4	"4624665".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L96	3	"20090041831".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L97	14723	estradiol and transdermal	US-PGPUB; USPAT;	OR	OFF	2016/04/22

	-		USOCR; FPRS; EPO; JPO; DERWENT		Language Control of the Control of t	16:33
L98	5090	L97 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L99	841	L98 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L100	32	L99 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L101	253	L99 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L102	49	L99 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L103	87	L97 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L104	249	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L105	41	L104 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	PRS; EPO;		2016/04/22 16:33
L106	144	L104 and transdermal	US-PGPUB; USPAT; OR OFF USOCR; FPRS; EPO; JPO; DERWENT		OFF	2016/04/22 16:33
L107	181	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	EPO;		2016/04/22 16:33
L108	38	L107 NOT L104	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L109	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L110	662	L97 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L111	121	L99 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L112	14723	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L113	5090	L112 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L114	841	L113 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; OR OFF USOCR; FPRS; EPO; JPO; DERWENT		2016/04/22 16:33	
L115	32	L114 and estradiol.ab.	US-PGPUB; USPAT; OR OFF USOCR; FPRS; EPO; JPO; DERWENT		2016/04/22 16:33	
L116	253	L114 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33

L117	49	L114 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L118	87	L112 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L119	249	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L120	41	L119 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L121	144	L119 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L122	181	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L123	38	L122 NOT L119	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33
L124	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L125	662	L112 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L126	121	L114 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/04/22 16:33
L127	1190113	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/04/22 16:33

4/22/2016 4:36:43 PM

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14024985 - GAU: 1611

PTO/SB/08 (modified)

	Substitute for form 1449/PTO			С	omplete if Known
INFORMATION DISCLOSURE			SURE	Application Number	14/024,985
	STATEMENT BY APPLICANT			Filing Date	09/12/2013
	Date Submitted:	· Anril 21	2016	First Named Inventor	Juan Mantelle
	Date Gabilittea.	. Apin 2 i	, 2010	Art Unit	1611
(use as many sheets as necessary)			cessary)	Examiner Name	Melissa Javier
Sheet	1	of 1		Attorney Docket Number	041457-1016

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite	Document Number  Number-Kind Code <sup>2</sup> (if	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
	A1	known) 7,456,159 B2 11/	11/25/2008	HOUZE ET AL.	Figures Appear	
	A2	5,656,286	08/12/1997	MIRANDA ET AL.		

	UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS						
Examiner Initials*	Cite	U.S. Patent Application Document	Filing Date of	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant		
	No. <sup>1</sup>	Serial Number-Kind Code <sup>2</sup> (if known)	Cited Document MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear		

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
	A3	EP 0 887 075 A2	12/30/1998	BERTEK, INC.			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A4	RIETSCHEL ET AL., "Effects of harvesting techniques on hydration dynamics: gravimetric studies of stratum corneum," J. Soc. Cosmet. Chem., Vol. 29, pp. 777-782, December 1978.	
	A5	FELDSTEIN ET AL., "Modeling of percutaneous drug transport in vitro using skin-imitating Carbosil membrane," Journal of Controlled Release, Vol. 52, pp. 25-40, 1998.	
	A6	PFISTER, "Transdermal and Dermal Therapeutic Systems: Current Status," Transdermal and Topical Drug Delivery Systems, Ghosh et al., eds., Chapter 2, pp. 33-112, 1997.	
	A7	Dow Corning, :"Dow Corning® BIO-PSA Standard Silicone Adhesives," Product Information, 07/28/2008.	
	A8	JANISCH ET AL., Email correspondence, March 10, 2016.	
	A9	MANNGOLD, 04/28/2004 letter to Angela Nwaneri re: Duro-Tak® 87-4287 and 87-2287.	
	A10	Noven Pharmaceuticals, Inc., Response filed in European application number 09790211.8 on 12/19/2014.	

Examiner Signature	/MELISSA L JAVIER/	Date Considered	05/02/2016

Doc Code: M865 or FAI.REQ.INTV

	Applicant	Initiated Interview	w Request	Form 4145	7 1010	
Application No.: 14/06 Examiner: TAVIE	24,985 R	First Named Applicant	t: Man Jell Status of App	e plication: Pen	den	
Tentative Participant	s: Smckarlist	(2)			V	
(3)		(4)		MANUFACTURE OF THE PARTY OF THE		
Proposed Date of Inte	erview: 715-7	7/8	Proposed T	ime: Ony	_ (AM/PM)	
Type of Interview Red (1) Telephonic Language Careptoble		al Effered (3)[] Video (		,		
Exhibit To Be Shown	or Demonstrate	ed: [ ] YES	[ ] NO			
		Issues To Be Discu	issed			
Issues (Rej., Obj., etc)	Claims/ Fig. #s	Art	Discussed	Agreed	Not Agreed	
(1) New malter	all Cexa	apt 01 32)	[]	[]	[]	
4.5			, re-	[]	[]	
(3)		1000	[]	[]	l J	
Brief Description of A	arguments to be	Proposed Amendment Presented: Would work bove-identified applicate	e like to	nts Attached		
NOTE: This form should be completed and filed by applicant in advance of the interview (see MPEP § 713.01). If this form is signed by a registered practitioner not of record, the Office will accept this as an indication that he or she is authorized to conduct an interview on behalf of the principal (37 CFR 1.32(a)(3)) pursuant to 37 CFR 1.34. This is not a power of attorney to any above named practitioner. See the Instruction Sheet for this form, which is incorporated by reference. By signing this form, applicant or practitioner is certifying that he or she has read the Instruction Sheet. After the interview is conducted, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible. This application will not be delayed from issue because of applicant's failure to submit a written record of this interview.						
Applicant/Applicant  Courteray  Typed/Printed Name of 31, 288  Registration N	Brince f Applicant or Re	epresentative  Phone:		iner/SPE Signat		

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 24 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Electronic Ac	knowledgement Receipt
EFS ID:	26127697
Application Number:	14024985
International Application Number:	
Confirmation Number:	7031
Title of Invention:	TRANSDERMAL ESTROGEN DEVICE AND DELIVERY
First Named Inventor/Applicant Name:	Juan Mantelle
Customer Number:	22428
Filer:	Courtenay C. Brinckerhoff
Filer Authorized By:	
Attorney Docket Number:	041457-1016
Receipt Date:	21-JUN-2016
Filing Date:	12-SEP-2013
Time Stamp:	14:36:23
Application Type:	Utility under 35 USC 111(a)

# **Payment information:**

Submitted with Payment   no	Submitted with Payment	no
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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	First Action Interview - Schedule	intreguest.pdf	117494	no	1
·	Interview request	macquestipar	8d5fa774c7b6a3f7e759999f9a96ed6a0969 5f5c		·

# Warnings:

Information:

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/024,985	14/024,985 09/12/2013 Juan Mantelle		041457-1016	7031
<sup>22428</sup> Foley & Lardne	7590 07/07/201 er LLP	6	EXAM	IINER
3000 K STREE SUITE 600			JAVIER, M	TELISSA L
WASHINGTO	N, DC 20007-5109		ART UNIT	PAPER NUMBER
			1611	
			NOTIFICATION DATE	DELIVERY MODE
			07/07/2016	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ipdocketing@foley.com

Applicant-Initiated Interview Summary	14/024,985 MANTELLE, JUAN					
Applicant-limitated interview Summary	Examiner	Art Unit				
	Melissa Javier	1611				
All participants (applicant, applicant's representative, PTO po	ersonnel):					
(1) <u>Melissa Javier</u> .	(3)					
(2) <u>Courtenay Brincherhoff</u> .	(4)					
Date of Interview: 28 June 2016.						
Type: ☐ Telephonic ☐ Video Conference ☐ Personal [copy given to: ☐ applicant ☐ applicant's representative]						
Exhibit shown or demonstration conducted: Yes If Yes, brief description:						
Issues Discussed 101 112 1102 1103 Other (For each of the checked box(es) above, please describe below the issue and detailed						
Claim(s) discussed: <u>1</u> .						
Identification of prior art discussed: None.						
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement w reference or a portion thereof, claim interpretation, proposed amendments, argument		entification or clarification of a	a			
Discussed the new matter rejection of record. The Examiner suggested to overcome the new matter rejection concerning the estradiol flux, Applicant consider amending the claim to include the entire range support by [0016] (i.e. 0.0125-0.05 mg/cm2/day) and possibly consider a dependent claim with the respective integer values. No agreement was reached on how to overcome the new matter rejection over the mg/cm2 estradiol.						
Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview						
Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.						
☐ Attachment						
/Melissa Javier/ Examiner, Art Unit 1611						

Application No.

Applicant(s)

U.S. Patent and Trademark Office
PTOL-413 (Rev. 8/11/2010) Interview Summary Paper No. 20160628

#### **Summary of Record of Interview Requirements**

#### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by
  attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does
  not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner.
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Juan Mantelle

Title:

Transdermal Estrogen Device and Delivery

Appl. No.:

14/024,985

Filing Date:

September 12, 2013

Examiner:

Javier

Art Unit:

1611

Confirmation

7031

Number:

## AMENDMENT UNDER 35 USC § 1.111

MAIL STOP: Amendment Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

#### Commissioner:

This paper responds to the non-final Office Action mailed May 5, 2016. If any extensions of time are required for timely acceptance, Applicant hereby petitions for such extension of time. The Commissioner is hereby authorized to charge any fees which may be due for this application, including any extension of time fees or excess claim fees not submitted herewith, to Deposit Account No. 19-0741.

Amendments to the Claims are reflected in the listing of claims which begins on page 2.

Remarks/Arguments begin on page 4 of this document.

Please amend the application as follows:

## **Amendments to the Claims:**

This listing of claims will replace all prior versions, and listings, of claims in the application:

#### **Listing of Claims:**

- 1. (Currently Amended) A monolithic transdermal drug delivery system for estradiol, consisting of (i) a backing layer, (ii) a single adhesive polymer matrix layer defining an active surface area and, optionally, (iii) a release liner, wherein the single adhesive polymer matrix layer comprises an adhesive polymer matrix comprising estradiol as the only drug, wherein the adhesive polymer matrix layer has a coat weight of greater than about 10 mg/cm² and includes greater than 0.156 from about 0.195 to about 0.260 mg/cm² estradiol, and the system achieves an estradiol flux of from about 0.0125 to about 0.05 0.0167 mg/cm²/day, based on the active surface area.
- 2. (Previously Presented) The transdermal drug delivery system of claim 1, wherein the adhesive polymer matrix comprises a polymer blend comprising an acrylic adhesive, a silicone adhesive, and soluble PVP.
- 3. (Previously Presented) The transdermal drug delivery system of claim 1, wherein the adhesive polymer matrix comprises about 2-25% by weight acrylic adhesive, about 45-70% by weight silicone adhesive, about 2-25% by weight soluble PVP, about 5-15% penetration enhancer, and about 0.1-10% by weight estradiol, all based on the total dry weight of the adhesive polymer matrix.
- 4. (Original) The transdermal drug delivery system of claim 3, wherein the penetration enhancer comprises oleyl alchol.
- 5. (Original) The transdermal drug delivery system of claim 3, wherein the penetration enhancer comprises dipropylene glycol.
- 6. (Original) The transdermal drug delivery system of claim 3, wherein the penetration enhancer comprises oleyl alcohol and dipropylene glycol.

- 7. (Original) The transdermal drug delivery system of claim 3, wherein the acrylic adhesive and silicone adhesive are present in a ratio of from about 1:2 to about 1:6, based on the total weight of the acrylic and silicone adhesives.
- 8. (Previously Presented) The transdermal drug delivery system of claim 1, wherein the adhesive polymer matrix comprises an amount of estradiol effective to deliver a therapeutically effective amount of estradiol over a period of time selected from the group consisting of at least 1 day, at least 2 days, at least 3 days, at least 4 days, at least 5 days, at least 6 days and at least 7 days.
- 9. (Previously Presented) The transdermal drug delivery system of claim 1, wherein the adhesive polymer matrix comprises an amount of estradiol effective to deliver an amount of estradiol selected from the group consisting of about 0.025, 0.0375, 0.05, 0.075 and 0.1 mg/day.

Claims 10-20 (Canceled)

- 21. (New) The method of claim 1, wherein the system achieves an estradiol flux of about 0.0125 mg/cm<sup>2</sup>/day, based on the active surface area.
- 22. (New) The method of claim 1, wherein the system achieves an estradiol flux of about 0.0133 mg/cm<sup>2</sup>/day, based on the active surface area.
- 23. (New) The method of claim 1, wherein the system achieves an estradiol flux of about 0.015 mg/cm<sup>2</sup>/day, based on the active surface area.
- 24. (New) The method of claim 1, wherein the system achieves an estradiol flux of about 0.0167 mg/cm<sup>2</sup>/day, based on the active surface area.
- 25. (New) The method of claim 1, wherein the system achieves an estradiol flux of about 0.0175 mg/cm<sup>2</sup>/day, based on the active surface area.

#### REMARKS

Applicant respectfully request reconsideration in view of the foregoing amendments and the following remarks.

At the outset, Applicant thanks Examiner Javier for the courtesies extended during the inperson interview on June 28, 2016. As reflected in the Examiner's Interview Summary, the new matter rejection set forth in the May 5, 2016 Office Action was discussed, as were further proposed amendments to address the Examiner's concerns.

Without acquiescing to the merits of the new matter rejection, and solely to advance prosecution towards allowance, Applicant has presented amendments above that conform to the Examiner's suggestions. In particular, claim 1 is amended as suggested by the Examiner and new claims 21-25 are added to recite specific embodiments, as also suggested by the Examiner, and as supported, for example in paragraph [0016] of the specification as filed.

Upon entry of these amendments claims 1-9 and 21-25 will be pending. Applicant believes that these claims are in condition for allowance.

Should there be any questions regarding this submission, or should any issue remain, the Examiner is urged to contact the undersigned by telephone to advance prosecution.

Respectfully submitted,

Date: August 2, 2016

By /Courtenay C. Brinckerhoff/

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (202) 295-4094 Courtenay C. Brinckerhoff Attorney for Applicant

Facsimile: (202) 672-5399

Registration No. 37,288

-4-

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name: Juan Mantelle

Title: Transdermal Estrogen Device and Delivery

Application No.: 14/024985

Filing Date: 9/12/2013

Examiner: Javier

Art Unit: 1611

Confirmation No.: 7031

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Commissioner:

Applicant submits herewith documents for the Examiner's consideration in accordance with 37 CFR §§1.56, 1.97 and 1.98.

Applicant respectfully requests that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The submission of any document herewith is not an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document submitted herewith.

4851-5565-5477.1 -1-

# TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of any of a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

## **RELEVANCE OF LISTED DOCUMENTS**

Document A1 is a reference known to the Applicant.

Documents A2-A5 are Office Actions which were issued in co-pending applications previously made of record.

#### FEE

Fees in the amount of \$180.00 to cover the fee associated with an information disclosure statement are being paid by credit card via EFS-Web.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this submission under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account Number 19-0741.

Respectfully submitted,

FOLEY & LARDNER LLP

Customer Number: 22428

Telephone: (20

(202) 295-4094

Date August 2 Mas

Facsimile:

(202) 672-5399

Courtenay C. Brinckerhoff Attorney for Applicant

Registration No. 37,288

#### Substitute for form 1449/PTO Complete if Known Application Number 14/024985 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Filing Date 9/12/2013 First Named Inventor Juan Mantelle Date Submitted: August 2, 2016 Art Unit 1615 Examiner Name (use as many sheets as necessary) Melissa L. Javier Sheet of Attorney Docket Number 041457-1016

	U.S. PATENT DOCUMENTS					
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant	
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear	
i	***************************************					

	UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Application Document Serial Number-Kind Code <sup>2</sup> (if known)	Filing Date of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A1	MANTELLE, "DOT Matrix® Technology," Modified-Release Drug Delivery Technology, Rathbone et al., eds., Chapter 30, pp. 405-415, May 28, 2008.	
	A2	Office Action issued on 05/05/2016 in application number 13/553,972 (US 2013-0156815)	
	А3	Notice of Allowance issued on 10/02/2015 in application number 13/553,972 (US 2013-0156815)	The state of the s
	A4	Office Action issue on 04/29/2016 in application number 14/738,255 (US 2015-0272905)	
	A5	Office Action issue on 10/26/2015 in application number 14/738,255 (US 2015-0272905)	

Examiner	Date	700
Signature	Considered	
	00110140104	

Electronic Patent Application Fee Transmittal					
Application Number:	14	024985			
Filing Date:	12-	-Sep-2013			
Title of Invention:	TR	TRANSDERMAL ESTROGEN DEVICE AND DELIVERY			
First Named Inventor/Applicant Name:	Juan Mantelle				
Filer:	Courtenay C. Brinckerhoff/Christine Arthur				
Attorney Docket Number: 041457-1016					
Filed as Large Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Acknowledgement Receipt				
EFS ID:	26521096			
Application Number:	14024985			
International Application Number:				
Confirmation Number:	7031			
Title of Invention:	TRANSDERMAL ESTROGEN DEVICE AND DELIVERY			
First Named Inventor/Applicant Name:	Juan Mantelle			
Customer Number:	22428			
Filer:	Courtenay C. Brinckerhoff/Christine Arthur			
Filer Authorized By:	Courtenay C. Brinckerhoff			
Attorney Docket Number:	041457-1016			
Receipt Date:	02-AUG-2016			
Filing Date:	12-SEP-2013			
Time Stamp:	14:12:36			
Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$180
RAM confirmation Number	502
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing:						
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
			300602			
1		responseids.pdf	ca48701fdf05475f30a4b7b3ffd77cf7e146d 2c6	yes	7	
	Multip	! part Description/PDF files in	n.zip description			
	Document Description			E	End	
	Amendment/Req. Reconsiderat	1	4			
	Transmittal	5	6			
	Information Disclosure State	7	7			
Warnings:						
Information:						
			1016410			
2	Non Patent Literature	mantelle 2008. pdf	169b95ef8afd8567ec288b1828f12ea306d9 d7bb	no	21	
Warnings:		<u> </u>				
Information:						
			2001986			
3		oarefs.pdf	3e7beb70d5a423acf20d295ac128761486c 6a944	yes	45	
	Multip	! part Description/PDF files in	n.zip description			
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	Non Patent Li	1	7			
	Non Patent Li	8	15			
	Non Patent Li	16	30			
	Non Patent Li	31	45			
Warnings:						

Information:									
	Fee Worksheet (SB06)	fee-info.pdf	30789		2				
4			e69b37d466bac2aab0b6dcedd7f511f07b0 a52ef	no					
Warnings:									
Information:									
Total Files Size (in bytes)			3349787						

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

P/							n or Docket Number -/024,985	Filing Date 09/12/2013	To be Mailed
	ENTITY: A LARGE SMALL MICRO								
					ATION AS FILE	ED – PAR	TI		
			(Column 1	)	(Column 2)				
Ļ	FOR NUMBER FILED NUMBER EXTRA RATE (\$) FEE (\$)								EE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), o	or (c))	N/A		N/A	N/A			
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))	N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p), o		N/A		N/A		N/A		
	TAL CLAIMS CFR 1.16(i))		min	nus 20 = *			X \$ =		
	DEPENDENT CLAIM CFR 1.16(h))	S	m <sup>i</sup>	inus 3 = *			X \$ =		
☐APPLICATION SIZE FEE (37 CFR 1.16(s))			paper, the a small entity	application size fe y) for each addition	gs exceed 100 sh fee due is \$310 (\$ ional 50 sheets or i. 41(a)(1)(G) and	\$155 r			
	MULTIPLE DEPEN	IDENT CLAIM F	PRESENT (3	7 CFR 1.16(j))					
* If t	the difference in colu	ımn 1 is less tha	an zero, ente	r "0" in column 2.			TOTAL		
	APPLICATION AS AMENDED – PART II  (Column 1) (Column 2) (Column 3)								
AMENDMENT	08/02/2016	CLAIMS REMAINING AFTER AMENDMEN <sup>-</sup>		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXT	ΓRA	RATE (\$)	ADDITIO	NAL FEE (\$)
Ĭ	Total (37 CFR 1.16(i))	* 14	Minus	** 20	= 0		x \$80 =		0
	Independent (37 CFR 1.16(h))	* 1	Minus	***4	= 0		× \$420 =		0
AM A	Application Si	ize Fee (37 CFF	7 CFR 1.16(s))						
	FIRST PRESEN	TATION OF MUL	TIPLE DEPEN	DENT CLAIM (37 CFF	국 1.16(j))				
							TOTAL ADD'L FEE	Ē	0
		(Column 1)		(Column 2)	(Column 3)	1			
		CLAIMS REMAINING AFTER AMENDMEN		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXT	ΓR <b>A</b>	RATE (\$)	ADDITIC	DNAL FEE (\$)
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AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		
빌	Application Size Fee (37 CFR 1.16(s))						<del> </del>		
A	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								
TOTAL ADD'L FEE									
** If *** I	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.								

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

www.uspto.go

# NOTICE OF ALLOWANCE AND FEE(S) DUE

09/15/2016 Foley & Lardner LLP 3000 K STREET N.W. SUITE 600 WASHINGTON, DC 20007-5109

**EXAMINER** JAVIER, MELISSA L ART UNIT PAPER NUMBER 1611

DATE MAILED: 09/15/2016

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/024,985	09/12/2013	Juan Mantelle	041457-1016	7031

TITLE OF INVENTION: TRANSDERMAL ESTROGEN DEVICE AND DELIVERY

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	12/15/2016

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

# PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

maintenance fee notifica	tions.	•					
CURRENT CORRESPOND	ENCE ADDRESS (Note: Use Bl	ock 1 for any change of address)	F	ee(s) Transmittal. Th	is certificate c Il paper, such	annot be used for as an assignmen	r domestic mailings of the or any other accompanying nt or formal drawing, must
Foley & Lardn 3000 K STREE SUITE 600		/2016	I S ac tr	Cer hereby certify that th tates Postal Service v ldressed to the Mai ansmitted to the USP	tificate of Mais Fee(s) Tranvith sufficient I Stop ISSUF TO (571) 273	ailing or Transinsmittal is being postage for firs E FEE address -2885, on the da	mission deposited with the United t class mail in an envelope above, or being facsimile te indicated below.
	N, DC 20007-5109						(Depositor's name)
							(Signature)
			L				(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	OR .	ATTORNEY	DOCKET NO.	CONFIRMATION NO.
14/024,985	09/12/2013	-	Juan Mantelle		04145	57-1016	7031
TITLE OF INVENTION	I: TRANSDERMAL EST	TROGEN DEVICE AND	DELIVERY				
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DU	E PREV. PAID ISSU	E FEE TOT	AL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	•	\$960	12/15/2016
FYAN	INER	ART UNIT	CLASS-SUBCLASS	$\neg$			
	EXAMINER LANGE A L		424-487000				
	ÆLISSA L	1611					
<ol> <li>Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</li> </ol>			(1) The names of up or agents OR, alterna (2) The name of a si registered attorney of 2 registered patent a	2. For printing on the patent front page, list  1) The names of up to 3 registered patent attorneys or agents OR, alternatively,  2) The name of a single firm (having as a member a egistered attorney or agent) and the names of up to registered patent attorneys or agents. If no name is isted, no name will be printed.			
3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	ΓΗΕ PATENT (print or	type)			
PLEASE NOTE: Un recordation as set for	less an assignee is ident h in 37 CFR 3.11. Com	ified below, no assignee pletion of this form is NO	data will appear on the T a substitute for filing a	patent. If an assign	ee is identifie	ed below, the do	ocument has been filed for
(A) NAME OF ASSI	•		(B) RESIDENCE: (CI	o .	COUNTRY)		
Please check the appropr	riate assignee category or	categories (will not be pr	rinted on the patent):	☐ Individual ☐ C	orporation or o	other private gro	oup entity Government
4a. The following fee(s)	are submitted:	41	o. Payment of Fee(s): (P		ny previously	paid issue fee s	shown above)
☐ Issue Fee ☐ Publication Fee (1)	No small entity discount p	permitted)	A check is enclosed  Payment by credit of		R is attached		
	of Copies		The director is here	by authorized to char	ge the require	d fee(s), any def	iciency, or credits any
			overpayment, to De	posit Account Numb	er	(enclose ar	n extra copy of this form).
	<b>itus</b> (from status indicated ng micro entity status. Se		NOTE: Absort a valid	contification of Micro	Entity Status	v (soo forms DTC	0/SD/15 A and 15D) issue
,	g small entity status. See						D/SB/15A and 15B), issue application abandonment.
☐ Applicant changing to regular undiscounted fee status.			NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.  NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro				
NOTE: This form must b	pe signed in accordance v	vith 37 CFR 1.31 and 1.33	entity status, as applica 3. See 37 CFR 1.4 for significant signif		and certificati	ions.	
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Typed or printed nam	ie			Registration N	NO		



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/024,985	09/12/2013 Juan Mantelle		041457-1016	7031
22428 75	90 09/15/2016	EXAM	INER	
Foley & Lardner		JAVIER, MELISSA L		
3000 K STREET N SUITE 600	I.W.		ART UNIT	PAPER NUMBER
WASHINGTON, I	OC 20007-5109		1611	
			DATE MAILED: 09/15/201	6

# **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)	
	14/024,985	MANTELLE,	JUAN
Notice of Allowability	<b>Examiner</b> Melissa Javier	Art Unit	AIA (First Inventor to File) Status
			No

The MAILING DATE of this communication appears on the All claims being allowable, PROSECUTION ON THE MERITS IS (OR REM herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other a NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. Tof the Office or upon petition by the applicant. See 37 CFR 1.313 and MPE	AINS) CLOSED in this application. If not included appropriate communication will be mailed in due course. <b>THIS</b> his application is subject to withdrawal from issue at the initiative
<ol> <li>This communication is responsive to <u>8/2/2016</u>.</li> <li>A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed</li> </ol>	d on
2. An election was made by the applicant in response to a restriction recrequirement and election have been incorporated into this action.	uirement set forth during the interview on; the restriction
3. The allowed claim(s) is/are <u>1-9 and 21-25</u> . As a result of the allowed of <b>Prosecution Highway</b> program at a participating intellectual property please see http://www.uspto.gov/patents/init_events/pph/index.jsp or	office for the corresponding application. For more information,
4. Acknowledgment is made of a claim for foreign priority under 35 U.S.	C. § 119(a)-(d) or (f).
Certified copies:	
a) ☐ All b) ☐ Some *c) ☐ None of the:	
<ol> <li>Certified copies of the priority documents have been rec</li> </ol>	eived.
2. Certified copies of the priority documents have been rec	• • • • • • • • • • • • • • • • • • • •
3. Copies of the certified copies of the priority documents h	nave been received in this national stage application from the
International Bureau (PCT Rule 17.2(a)).	
* Certified copies not received:	
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this connoted below. Failure to timely comply will result in ABANDONMENT of the THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	
5. CORRECTED DRAWINGS ( as "replacement sheets") must be subm	itted.
including changes required by the attached Examiner's Amendn Paper No./Mail Date	nent / Comment or in the Office action of
Identifying indicia such as the application number (see 37 CFR 1.84(c)) sho each sheet. Replacement sheet(s) should be labeled as such in the header	
6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGIC attached Examiner's comment regarding REQUIREMENT FOR THE D	
Attachment(s)  1. ☐ Notice of References Cited (PTO-892)	5. 🛛 Examiner's Amendment/Comment
2. Information Disclosure Statements (PTO/SB/08),	6. Examiner's Statement of Reasons for Allowance
Paper No./Mail Date 3.	7.  Other
of Biological Material	7. 🔲 Other
4. ☑ Interview Summary (PTO-413), Paper No./Mail Date	
/Melissa Javier/	
Examiner, Art Unit 1611	

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13) 20160909

Notice of Allowability

Part of Paper No./Mail Date

# **DETAILED ACTION**

The present application is being examined under the pre-AIA first to invent provisions.

### Information Disclosure Statement

The Information Disclosure Statement (IDS) filed 8/2/2016 has been considered by the examiner.

### **EXAMINER'S AMENDMENT**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in an interview with Courtenay Brinckerhoff on 9/9/2016.

The application has been amended as follows:

21. (Amended) The method transdermal drug delivery system of claim 1, wherein the system achieves an estradiol flux of about 0.0125 mg/cm<sup>2</sup>/day, based on the active surface area.

Application/Control Number: 14/024,985 Page 3

Art Unit: 1611

22. (Amended) The method transdermal drug delivery system of claim 1, wherein the system achieves an estradiol flux of about 0.0133 mg/cm²/day, based on the active surface area.

- 23. (Amended) The method transdermal drug delivery system of claim 1, wherein the system achieves an estradiol flux of about 0.015 mg/cm<sup>2</sup>/day, based on the active surface area.
- 24. (Amended) The method transdermal drug delivery system of claim 1, wherein the system achieves an estradiol flux of about 0.0167 mg/cm²/day, based on the active surface area.
- 25. (Amended) The method transdermal drug delivery system of claim 1, wherein the system achieves an estradiol flux of about 0.0175 mg/cm²/day, based on the active surface area.

The following is an examiner's statement of reasons for allowance:

The amendment to claim 1 overcomes the prior new matter rejections. Claim 1, as amended, as well as new claims 21-25, have support in [0016] of the instant specification for the claimed estradiol flux.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably

Art Unit: 1611

accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Melissa Javier whose telephone number is (571)270-7430. The examiner can normally be reached on Monday-Thursday, 8am-6pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bethany Barham can be reached on 571-272-6175. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Melissa Javier/ Examiner, Art Unit 1611

	Application No.	Applicant(s)		
Examiner-Initiated Interview Summary	14/024,985	MANTELLE, JUAN		
zxammer milatea mierview cammary	Examiner	Art Unit		
	Melissa Javier	1611		
All participants (applicant, applicant's representative, PTO po	ersonnel):			
(1) <u>Melissa Javier</u> .	(3)			
(2) <u>Courtenay Brinckerhoff</u> .	(4)			
Date of Interview: 09 September 2016.				
Type:  Telephonic  Video Conference Personal [copy given to: applicant	applicant's representative]			
Exhibit shown or demonstration conducted: Yes If Yes, brief description:	] No.			
Issues Discussed 101 112 102 103 Other (For each of the checked box(es) above, please describe below the issue and detailed				
Claim(s) discussed: <u>21-25</u> .				
Identification of prior art discussed: None.				
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement we reference or a portion thereof, claim interpretation, proposed amendments, arguments.)	1 ,	entification or clarification of a		
Authorization for an examiner's amendment was sought that				
<u>permit the case to be passed to issue. Authorization was rece</u> 25 such that they read "[t]he transdermal drug delivery systen		<u>Illative to amenu ciamis 21-</u>		
Applicant recordation instructions: It is not necessary for applicant to pro	vide a separate record of the substan	ce of interview.		
Examiner recordation instructions: Examiners must summarize the substractions of an interview should include the items listed in MPEP 713.04 for general thrust of each argument or issue discussed, a general indication of a general results or outcome of the interview, to include an indication as to who	r complete and proper recordation incl iny other pertinent matters discussed	luding the identification of the regarding patentability and the		
☐ Attachment				
/Melissa Javier/ Examiner, Art Unit 1611				

U.S. Patent and Trademark Office PTOL-413B (Rev. 8/11/2010)

PTO/SB/08 (modified)

	Substitute for for	m 144	19/PTO		Complete if Known			
	INFORMATION D	oisci	LOSURE	Application Number	14/024985			
STATEMENT BY APPLICANT				Filing Date	9/12/2013			
Date Submitted: August 2, 2016				First Named Inventor	Juan Mantelle			
Date Submitted. August 2, 2016			st 2, 2010	Art Unit	1615			
(use as many sheets as necessary)			necessary)	Examiner Name	Melissa L. Javier			
Sheet	1	of	1	Attorney Docket Number	041457-1016			

	U.S. PATENT DOCUMENTS							
Examiner Cite Document Number		Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant				
	No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear			

	UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Application Document Serial Number-Kind Code <sup>2</sup> (if known)	Filing Date of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A1	MANTELLE, "DOT Matrix® Technology," Modified-Release Drug Delivery Technology, Rathbone et al., eds., Chapter 30, pp. 405-415, May 28, 2008.	
	A2	Office Action issued on 05/05/2016 in application number 13/553,972 (US 2013-0156815)	
	А3	Notice of Allowance issued on 10/02/2015 in application number 13/553,972 (US 2013-0156815)	The state of the s
	A4	Office Action issue on 04/29/2016 in application number 14/738,255 (US 2015-0272905)	
	A5	Office Action issue on 10/26/2015 in application number 14/738,255 (US 2015-0272905)	

Examiner Signature	/MELISSA L JAVIER/	Date Considered	09/09/2016



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# **BIB DATA SHEET**

# **CONFIRMATION NO. 7031**

SERIAL NUM	L NUMBER   FILING or 371(c)   CLASS   GROUP ART UNIT  ATTORNEY DO NO.								
14/024,98	5	09/12/2013	424	1611			)41457-1016		
		RULE							
	APPLICANTS  NOVEN PHARMACEUTICALS, INC., Miami, FL;								
<b>INVENTORS</b> Juan Mar		liami, FL;							
This appl	ication i	<b>\</b> *********************** s a CON of 13/553,972 CON of 12/216,811 07/	07/20/2012	3					
** FOREIGN A	PPLICA	TIONS ***********	*****						
** <b>IF REQUIRE</b> 09/26/20 <sup>-</sup>		EIGN FILING LICENS	E GRANTED **						
Foreign Priority claime		Yes No Met af	STATE OR COUNTRY	SHEETS DRAWINGS	TOT/ CLAII				
, ,		L JAVIER/ Signature Allowa	FL	1	11	_	2		
ADDRESS									
300Ó K S SUITE 60 WASHIN	Foley & Lardner LLP 3000 K STREET N.W. SUITE 600 WASHINGTON, DC 20007-5109 UNITED STATES								
TITLE									
TRANSD	ERMAL	ESTROGEN DEVICE	AND DELIVERY						
				☐ All Fe	es				
		<b>A</b> . (b 2)	a la Bassa	☐ 1.16 F	ees (Fili	ing)			
1 FILINGS FEE 1		Authority has been give to charge/cre	•	NT 1.17 F	ees (Pro	ocess	ing Ext. of time)		
				☐ Other					
	☐ Credit								
				•					

# Issue Classification



Application/Control No.	Applicant(s)/Patent Under Reexamination
14024985	MANTELLE, JUAN
Examiner	Art Unit
MELISSA JAVIER	1611

СРС				
Symbol			Туре	Version
A61K	9	7069	F	2013-01-01
A61K	9	7061	ı	2013-01-01
A61K	31	565	1	2013-01-01
A61K	47	10	I	2013-01-01
A61K	47	32	I	2013-01-01
A61K	9	0014	I	2013-01-01
·				

CPC Combination Sets					
Symbol	Туре	Set	Ranking	Version	

		Total Claims Allowed:		
(Assistant Examiner)	(Date)	1	4	
/MELISSA JAVIER/ Examiner.Art Unit 1611	09/09/2016	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	None	

U.S. Patent and Trademark Office Part of Paper No. 20160909

# Issue Classification

Application/Control No.	Applicant(s)/Patent Under Reexamination
14024985	MANTELLE, JUAN
Examiner	Art Unit
MELISSA JAVIER	1611

US ORIGINAL CLASSIFICATION									INTERNATIONAL	CLA	SSI	FIC	ATION	
	CLASS SUBCLASS			CLAIMED					NON-CLAIMED					
						Α	6	1	К	31 / 565 (2006.01.01)				
	CROSS REFERENCE(S)		CROSS REFERENCE(S)			Α	6	1	К	9 / 70 (2006.01.01)				
CLASS	SUB	CLASS (ONE	SUBCLAS	S PER BLO	CK)									
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		Total Clain	ns Allowed:
(Assistant Examiner)	(Date)	1	4
/MELISSA JAVIER/ Examiner.Art Unit 1611	09/09/2016	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	None

U.S. Patent and Trademark Office Part of Paper No. 20160909

# Issue Classification

Application/Control No.	Applicant(s)/Patent Under Reexamination
14024985	MANTELLE, JUAN
	·
Examiner	Art Unit
MELISSA JAVIER	1611

	☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☒ T.D. ☐ R.1.47														
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1		17												
2	2		18												
3	3		19												
4	4		20												
5	5	10	21												
6	6	11	22												
7	7	12	23												
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	12														
	13														
	14														
	15														
	16														

		Total Claims Allowed:	
(Assistant Examiner)	(Date)	1	4
/MELISSA JAVIER/ Examiner.Art Unit 1611	09/09/2016	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	None

U.S. Patent and Trademark Office Part of Paper No. 20160909

# **EAST Search History**

# **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	15152	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L2	5259	L1 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L3	875	L2 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L4	33	L3 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L5	258	L3 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L6	49	L3 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L7	87	L1 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L8	254	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L9	42	L8 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L10	146	L8 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L11	183	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L12	39	L11 NOT L8	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L13	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L14	688	L1 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L15	127	L3 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L16	15152	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L17	5259	L16 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO;	OR	OFF	2016/09/09 15:21

			JPO; DERWENT			
L18	875	L17 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L19	33	L18 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L20	258	L18 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L21	49	L18 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L22	87	L16 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L23	254	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L24	42	L23 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L25	146	L23 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L26	183	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L27	39	L26 NOT L23	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L28	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L29	688	L16 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L30	127	L18 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L31	2	"6638528".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L32	4	"4624665".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L33	3	"20090041831".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L34	15152	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L35	5259	L34 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L36	875	L35 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L37	33	L36 and estradiol.ab.	US-PGPUB; USPAT;	OR	OFF	2016/09/09

			USOCR; FPRS; EPO; JPO; DERWENT			15:21
L38	258	L36 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L39	49	L36 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L40	87	L34 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L41	254	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L42	42	L41 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L43	146	L41 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L44	183	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L45	39	L44 NOT L41	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L46	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L47	688	L34 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L48	127	L36 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L49	15152	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L50	5259	L49 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L51	875	L50 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L52	33	L51 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L53	258	L51 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L54	49	L51 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L55	87	L49 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L56	254	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21

L57	42	L56 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L58	146	L56 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L59	183	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L60	39	L59 NOT L56	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L61	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L62	688	L49 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L63	127	L51 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L64	15152	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L65	5259	L64 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L66	875	L65 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L67	33	L66 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L68	258	L66 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L69	49	L66 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L70	87	L64 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L71	254	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L72	42	L71 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L73	146	L71 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L74	183	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L75	39	L74 NOT L71	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L76	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/09/09 15:21

L77	688	L64 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L78	127	L66 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L79	15152	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L80	5259	L79 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L81	875	L80 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L82	33	L81 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L83	258	L81 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L84	49	L81 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L85	87	L79 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L86	254	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L87	42	L86 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L88	146	L86 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L89	183	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L90	39	L89 NOT L86	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L91	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L92	688	L79 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L93	127	L81 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L94	2	"6638528".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L95	4	"4624665".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L96	3	"20090041831".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L97	15152	estradiol and transdermal	US-PGPUB; USPAT;	OR	OFF	2016/09/09

			USOCR; FPRS; EPO; JPO; DERWENT			15:21
L98	5259	L97 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L99	875	L98 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L100	33	L99 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L101	258	L99 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L102	49	L99 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L103	87	L97 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L104	254	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L105	42	L104 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L106	146	L104 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L107	183	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L108	39	L107 NOT L104	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L109	0	(11/245097). <b>A</b> PP.	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L110	688	L97 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L111	127	L99 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L112	15152	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L113	5259	L112 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L114	875	L113 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L115	33	L114 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L116	258	L114 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21

L117	49	L114 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L118	87	L112 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L119	254	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L120	42	L119 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L121	146	L119 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L122	183	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L123	39	L122 NOT L119	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L124	0	(11/245097). <b>A</b> PP.	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L125	688	L112 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L126	127	L114 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/09/09 15:21
L127	1216095	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:21
L130	3765	A61K9/7069.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:27
L131	16107	A61K31/565.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:27
L132	410	L130 and L131	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:27
L133	45	L132 and flux	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/09/09 15:27

9/9/2016 3:29:48 PM

 $\textbf{C:} \ \textbf{Users} \ \textbf{mjavier} \ \textbf{Documents} \ \textbf{EAST} \ \textbf{Workspaces} \ \textbf{14024985.wsp}$ 

# Search Notes



Application/Co	ntrol No.	Applicant(s)/Patent Under Reexamination
14024985		MANTELLE, JUAN
Examiner		Art Unit
MELISSA JAVIE	ER	1611

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED					
Symbol Date Examiner					

	US CLASSIFICATION SEARCHE	ED.	
Class	Subclass	Date	Examiner

SEARCH NOTES		
Search Notes	Date	Examiner
EAST search (see attached history)	5/14/2015	MJ
Inventor search in EAST	5/14/2015	MJ
Google Scholar search (keywords used: monolithic transdermal estradiol flux)	5/14/2015	MJ
Updated EAST search	9/28/2015	MJ
Updated Google Scholar search	9/28/2015	MJ
Updated EAST search	4/22/2016	MJ
Updated Google Scholar search	4/22/2016	MJ
Updated EAST search	9/9/2016	MJ
Updated Google Scholar search	9/9/2016	MJ
A61K9/7069.cpc. and flux	9/9/2016	MJ
A61K31/565.cpc. and flux	9/9/2016	MJ

	INTERFERENCE SEARCH	1	
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner

/M.J./ Examiner.Art Unit 1611	

	INTERFERENCE SEARCH							
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner					
•	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	9/28/2015	MJ					
	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	9/9/2016	MJ					

/M.J./ Examiner.Art Unit 1611	

U.S. Patent and Trademark Office Part of Paper No.: 20160909

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name: Juan Mantelle

Title: Transdermal Estrogen Device and Delivery

Appl. No.: 14/024985

Appl. Filing Date: 9/12/2013

Examiner: Melissa L. Javier

Art Unit: 1611

Confirmation Number: 7031

# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Commissioner:

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. This RCE and the enclosed items listed below are being filed prior to the earliest of: (1) payment of the issue fee (unless a petition under 37 C.F.R. § 1.313 is granted); (2) abandonment of the application; or (3) the filing of a notice of appeal to the U.S. Court of Appeals for the Federal Circuit under 35 U.S.C. §141, or the commencement of a civil action under 35 U.S.C. §145 or §146 (unless the appeal or civil action is terminated).

# Submission required under 37 C.F.R. §1.114:

- [X] Information Disclosure Statement.
- [X] Form PTO/SB/08 with copies of 9 listed reference(s).

The filing fee is calculated below at the large entity rate:

RCE Fee 1.17(e):	Claims as Amended		viously l For		Extra Claims Present		Rate \$1,700.0 0	=	Fee Totals \$1,700.00
Total Claims:	11	-	20	=	0	x	\$80.00	=	\$0.00
Independents	2	-	3	=	0	x	\$420.00	_	\$0.00
First p	resentation of	any Mı	ıltiple D	Depe	ndent Claims:	+	\$780.00	=	\$0.00
			]	RCE	and CLAIMS	FEE	TOTAL:	=	\$1,700.00

The above-identified fees of \$1,700.00 are being paid by credit card via EFS-Web.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment instructions in EFS-Web being incorrect or absent, resulting in a rejected or incorrect credit card transaction, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

FOLEY & LARDNER LLP

Customer Number: 22428

Telephone: (202) 295-4094 Facsimile: (202) 672-5399 Courtenay C. Brinckerhoff

Attorney for Applicant Registration No. 37,288

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name: Juan Mantelle

Title: Transdermal Estrogen Device and Delivery

Application No.: 14/024985

Filing Date: 9/12/2013

Examiner: Melissa L. Javier

Art Unit: 1611

Confirmation No.: 7031

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# Commissioner:

Applicant submits herewith documents for the Examiner's consideration in accordance with 37 CFR §§1.56, 1.97 and 1.98.

Applicant respectfully requests that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The submission of any document herewith is not an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document submitted herewith.

4835-6778-1181.1

# TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing of a first Office action after the filing of a RCE.

Although Applicant believes that no fee is required, the Commissioner is hereby authorized to charge any additional fees which may be due to Deposit Account Number 19-0741.

-2-

Respectfully submitted,

By Cely ( /

Date Dec 14, 200

FOLEY & LARDNER LLP

Customer Number: 22428

Telephone: (202) 295-4094 Facsimile: (202) 672-5399 Courtenay C. Brinckerhoff

Attorney for Applicant Registration No. 37,288

4835-6778-1181.1

# Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted: December 14, 2016

(use as many sheets as necessary)
Sheet 1 of 1

Complete if Known				
Application Number	14/024985			
Filing Date	9/12/2013			
First Named Inventor	Juan Mantelle			
Art Unit	1611			
Examiner Name	Melissa L. Javier			
Attorney Docket Number	041457-1016			

	U.S. PATENT DOCUMENTS							
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant			
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear			

	UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Application Document Serial Number-Kind Code <sup>2</sup> (if known)	Filing Date of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			

			FOREIGN PATENT D	OCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A1	TOOLE ET AL., "Evaluation of irritation and sensitisation of two 50 μg/day oestrogen patches," Maturitas, Vol. 43, pp. 257-263, December 2002.	
	A2	MARTY, "New trends in transdermal technologies: Development of the skin patch, Menorest®," International Journal of Gynecology & Obstetrics, Vol. 52, Suppl. 1, pp. S17-S20, March 1996.	
	А3	NOVARTIS, "Estraderm®," Prescribing information, June 2004.	
	A4	NOVARTIS, "Vivelle®," Prescribing information, June 2004.	
	A5	NOVARTIS, "Vivelle-Dot®," Prescribing information, June 2004.	
mente de contrata de completa en entre de contrata de contrata de contrata de contrata de contrata de contrata	A6	BAYER HEALTHCARE, "Climara®," Prescribing information, 2007	
	A7	3M PHARMACEUTICALS, "Menostar™," Prescribing information, June 2004.	
man and all and the self-and and an analysis of the self-and and an analysis of the self-and an analysis of the	A8	WATSON PHARMA, INC., "Alora®," Prescribing information, May 2005.	
	A9	SERONO LABORATORIES, INC., "Esclim®," Prescribing information, August 1998.	

Examiner	Date	
Signature	Considered	

Electronic Patent Application Fee Transmittal						
Application Number:	140	)24985				
Filing Date:	12-	12-Sep-2013				
Title of Invention:	TRA	TRANSDERMAL ESTROGEN DEVICE AND DELIVERY				
First Named Inventor/Applicant Name:	Juá	n Mantelle				
Filer:	Со	urtenay C. Brinckerl	noff			
Attorney Docket Number:	04	1457-1016				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:	Post-Allowance-and-Post-Issuance:					
Extension-of-Time:						

Description	Fee Code	Quantity Amount		Sub-Total in USD(\$)	
Miscellaneous:					
RCE- 2ND AND SUBSEQUENT REQUEST	1820	1	1700	1700	
	Tot	al in USD	(\$)	1700	

Electronic Acknowledgement Receipt				
EFS ID:	27789020			
Application Number:	14024985			
International Application Number:				
Confirmation Number:	7031			
Title of Invention:	TRANSDERMAL ESTROGEN DEVICE AND DELIVERY			
First Named Inventor/Applicant Name:	Juan Mantelle			
Customer Number:	22428			
Filer:	Courtenay C. Brinckerhoff/Christine Arthur			
Filer Authorized By:	Courtenay C. Brinckerhoff			
Attorney Docket Number:	041457-1016			
Receipt Date:	14-DEC-2016			
Filing Date:	12-SEP-2013			
Time Stamp:	13:58:19			
Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$1700
RAM confirmation Number	121516INTEFSW14041500
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			208745		
1		rceids.pdf	d0d3f1fe0496a2632ee19e9b72f129f73443 b59a	yes	6
	Multip	! part Description/PDF files in	.zip description		
	Document De	scription	Start	E	nd
	Request for Continued I	Examination (RCE)	1		3
	Transmittal	Letter	4		5
	Information Disclosure State	ment (IDS) Form (SB08)	6	6	
Warnings:					
Information:		·			
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2	Non Patent Literature	toole2002.pdf	c70337f8aec67eb6cdf1f41477645405053c 0c21	no	
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Information:					
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3	Non Patent Literature	Marty 1996.pdf	050613821102d409c0ad0da289a45d6fe93 1183e	no	4
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4	Non Patent Literature	estradermjune 2004. pdf	1d97460ef7b258eaf4b26e416aef8d93dd1e dcac	no	22
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5	Non Patent Literature	vivelle 2004. pdf	bed5c72638aff66d5b0122934eb17e6a87d 1a437	no	28
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6	Non Patent Literature	vivelledot2004.pdf	e91f07c4d292c518223c7e77c6e7f5a77b8f cd58	no	28
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7	Non Patent Literature	climara 2007. pdf	2ba61920dd4d73a49f6fb4d41bcbfe26301 42296	no	23
Warnings:		-			
Information:					
			322583	no	28
8	Non Patent Literature	menostar 2004. pdf	818aaf0be23f41cea4bd2d53eaa23d4da1f9 1b4a		
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9	9 Non Patent Literature alora 2005.pdf		3150b28ac9894d475dc8d1b1cef0578a7ba a05af	no	29
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10	Non Patent Literature	esclim 98. pdf	6ae80f7cc7ce83f0531c33289500eeff0b71e 423	no	19
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11	Fee Worksheet (SB06)	fee-info.pdf	bd1134074e48d0ecc9f07f23ecd2a92f0cda 98a3	no	2
Warnings:		-		l	
Information:					
		Total Files Size (in byte	es): 1171	19703	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

www.uspto.go

# NOTICE OF ALLOWANCE AND FEE(S) DUE

01/10/2017 Foley & Lardner LLP 3000 K STREET N.W. SUITE 600 WASHINGTON, DC 20007-5109

**EXAMINER** JAVIER, MELISSA L ART UNIT PAPER NUMBER

1611 DATE MAILED: 01/10/2017

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/024,985	09/12/2013	Juan Mantelle	041457-1016	7031

TITLE OF INVENTION: TRANSDERMAL ESTROGEN DEVICE AND DELIVERY

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	04/10/2017

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

maintenance fee notifica	itions.					
CURRENT CORRESPOND	ENCE ADDRESS (Note: Use Bl	ock 1 for any change of address)		Fee(s) Transmittal. Th papers. Each additions	is certificate cannot be used	for domestic mailings of the for any other accompanying nent or formal drawing, must
Foley & Lardn 3000 K STREE' SUITE 600		/2017		Cer I hereby certify that the States Postal Service vaddressed to the Mai transmitted to the USP	tificate of Mailing or Transis Fee(s) Transmittal is being the sufficient postage for fill Stop ISSUE FEE addres TO (571) 273-2885, on the	nsmission  ng deposited with the United irst class mail in an envelope is above, or being facsimile date indicated below.
	N, DC 20007-5109					(Depositor's name)
						(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVEN	ГOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/024,985	09/12/2013		Juan Mantelle		041457-1016	7031
TITLE OF INVENTION	I: TRANSDERMAL EST	TROGEN DEVICE AND	DELIVERY			
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE D	UE PREV. PAID ISSU	E FEE TOTAL FEE(S) DU	E DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	04/10/2017
EXAM	IINER	ART UNIT	CLASS-SUBCLASS	$\neg$		
JAVIER, N	ÆLISSA L	1611	424-487000			
1. Change of correspond	ence address or indication	n of "Fee Address" (37	2. For printing on t	he patent front page, li	st	
CFR 1.363).  Change of correst	ondence address (or Cha	nge of Correspondence	(1) The names of u or agents OR, alter	p to 3 registered pater	nt attorneys 1	
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PLEASE NOTE: Un recordation as set for	less an assignee is ident h in 37 CFR 3.11. Comp	ified below, no assignee pletion of this form is NO	data will appear on th I a substitute for filing	ie patent. If an assign an assignment.	ee is identified below, the	document has been filed for
(A) NAME OF ASSI	GNEE		(B) RESIDENCE: (C	ITY and STATE OR (	COUNTRY)	
Please check the approp	riate assignee category or	categories (will not be pr	inted on the patent):	☐ Individual ☐ C	orporation or other private g	group entity Government
4a. The following fee(s)	are submitted:	4t	o. Payment of Fee(s): (	Please first reapply a	ny previously paid issue fe	e shown above)
Issue Fee	- "	1	A check is enclose			
	No small entity discount p # of Copies			card. Form PTO-2038 eby authorized to char	s is attached. ge the required fee(s), any d	eficiency, or credits any
			overpayment, to D	Peposit Account Numb	er (enclose	an extra copy of this form).
5. Change in Entity Sta	tus (from status indicated	d above)				
Applicant certifyi	ng micro entity status. Se	e 37 CFR 1.29	NOTE: Absent a vali-	d certification of Micro	Entity Status (see forms Pont be accepted at the risk of	TO/SB/15A and 15B), issue of application abandonment.
Applicant asserting	g small entity status. See	37 CFR 1.27	• •	•	der micro entity status, chec micro entity status.	**
	ng to regular undiscounted		entity status, as appli	cable.	e a notification of loss of en	titlement to small or micro
NOTE: This form must b	be signed in accordance v	vith 37 CFR 1.31 and 1.33	3. See 37 CFR 1.4 for s	ignature requirements	and certifications.	
Authorized Signature				Date		
Typed or printed nam	e			Registration N	No	



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/024,985	09/12/2013	Juan Mantelle	041457-1016	7031
22428 75	90 01/10/2017		EXAM	INER
Foley & Lardner			JAVIER, M	ELISSA L
3000 K STREET N SUITE 600	l.W.		ART UNIT	PAPER NUMBER
WASHINGTON, I	OC 20007-5109		1611	
			DATE MAILED: 01/10/201	7

#### **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)	
	14/024,985	MANTELLE,	JUAN
Notice of Allowability	Examiner Melissa Javier	Art Unit 1611	AIA (First Inventor to File) Status No

The MAILING DATE of this communication appears on the All claims being allowable, PROSECUTION ON THE MERITS IS (OR REW herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other a NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. Tof the Office or upon petition by the applicant. See 37 CFR 1.313 and MPE	AINS) CLOSED in this application. If not included appropriate communication will be mailed in due course. THIS his application is subject to withdrawal from issue at the initiative
<ol> <li>This communication is responsive to <u>12/14/2016</u>.</li> <li>A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed</li> </ol>	d on
2. An election was made by the applicant in response to a restriction recrequirement and election have been incorporated into this action.	quirement set forth during the interview on; the restriction
3. The allowed claim(s) is/are <u>1-9 and 21-25</u> . As a result of the allowed of <b>Prosecution Highway</b> program at a participating intellectual property please see http://www.uspto.gov/patents/init_events/pph/index.jsp or	office for the corresponding application. For more information,
4. Acknowledgment is made of a claim for foreign priority under 35 U.S.	C. § 119(a)-(d) or (f).
Certified copies:	
a) All b) Some *c) None of the:	
<ol> <li>Certified copies of the priority documents have been rec</li> </ol>	
2. Certified copies of the priority documents have been rec	· · · ——
3. Copies of the certified copies of the priority documents h	nave been received in this national stage application from the
International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:	
Certified copies not received	
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this connoted below. Failure to timely comply will result in ABANDONMENT of the THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	
5. CORRECTED DRAWINGS ( as "replacement sheets") must be subm	itted.
including changes required by the attached Examiner's Amendr Paper No./Mail Date	nent / Comment or in the Office action of
Identifying indicia such as the application number (see 37 CFR 1.84(c)) sho each sheet. Replacement sheet(s) should be labeled as such in the header	
6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGIC attached Examiner's comment regarding REQUIREMENT FOR THE D	
Attachment(s)  1. ☐ Notice of References Cited (PTO-892)	5. 🛛 Examiner's Amendment/Comment
2. Information Disclosure Statements (PTO/SB/08),	6. Examiner's Statement of Reasons for Allowance
Paper No./Mail Date 3.	7.  Other
of Biological Material	7.
4. ☐ Interview Summary (PTO-413), Paper No./Mail Date	
/Melissa Javier/ Examiner, Art Unit 1611	

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13) 20161222

Notice of Allowability

Part of Paper No./Mail Date

#### **DETAILED ACTION**

The present application is being examined under the pre-AIA first to invent provisions.

#### Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after allowance or after an Office action under *Ex Parte Quayle*, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935). Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on 12/14/2016 has been entered.

#### Information Disclosure Statement

The Information Disclosure Statement (IDS) filed 12/14/2016 has been considered by the examiner.

#### Conclusion

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Art Unit: 1611

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Melissa Javier whose telephone number is (571)270-7430. The examiner can normally be reached on Monday-Thursday, 8am-6pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bethany Barham can be reached on 571-272-6175. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Melissa Javier/ Examiner, Art Unit 1611

PTO/SB/08 (modified)

	Substitute for fo	rm 144	49/PTO	С	Complete if Known		
	INFORMATION	DISC	LOSURE	Application Number	14/024985	,,,,	
STATEMENT BY APPLICANT			PLICANT	Filing Date	9/12/2013		
Date Submitted: December 14, 2016				First Named Inventor	Juan Mantelle		
				Art Unit	1611		
	(use as many shee	ts as	necessary)	Examiner Name	Melissa L. Javier		
Sheet	1	of	1	Attorney Docket Number	041457-1016	-	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Application Document Serial Number-Kind Code <sup>2</sup> (if known)	Filing Date of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A1	TOOLE ET AL., "Evaluation of irritation and sensitisation of two 50 μg/day oestrogen patches," Maturitas, Vol. 43, pp. 257-263, December 2002.	
	A2	MARTY, "New trends in transdermal technologies: Development of the skin patch, Menorest®," International Journal of Gynecology & Obstetrics, Vol. 52, Suppl. 1, pp. S17-S20, March 1996.	
	А3	NOVARTIS, "Estraderm®," Prescribing information, June 2004.	
	A4	NOVARTIS, "Vivelle®," Prescribing information, June 2004.	
	A5	NOVARTIS, "Vivelle-Dot®," Prescribing information, June 2004.	
mente de contrata de completa en entre de contrata de contrata de contrata de contrata de contrata de contrata	A6	BAYER HEALTHCARE, "Climara®," Prescribing information, 2007	
	A7	3M PHARMACEUTICALS, "Menostar™," Prescribing information, June 2004.	
man and all and the self-and and an analysis of the self-and and an analysis of the self-and an analysis of the	A8	WATSON PHARMA, INC., "Alora®," Prescribing information, May 2005.	
	A9	SERONO LABORATORIES, INC., "Esclim®," Prescribing information, August 1998.	

Examiner Signature	/Melissa Javier/	Date Considered	01/03/2017

### Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
14024985	MANTELLE, JUAN
Examiner	Art Unit
MELISSA JAVIER	1611

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED				
Symbol	Date	Examiner		

US CLASSIFICATION SEARCHED					
Class	Subclass	Date	Examiner		

SEARCH NOTES		
Search Notes	Examiner	
EAST search (see attached history)	5/14/2015	MJ
Inventor search in EAST	5/14/2015	MJ
Google Scholar search (keywords used: monolithic transdermal estradiol flux)	5/14/2015	MJ
Updated EAST search	9/28/2015	MJ
Updated Google Scholar search	9/28/2015	MJ
Updated EAST search	4/22/2016	MJ
Updated Google Scholar search	4/22/2016	MJ
Updated EAST search	9/9/2016	MJ
Updated Google Scholar search	9/9/2016	MJ
A61K9/7069.cpc. and flux	9/9/2016	MJ
A61K31/565.cpc. and flux	9/9/2016	MJ
Updated EAST search	12/22/2016	MJ
Updated Google Scholar search	12/22/2016	MJ
A61K9/7069.cpc. and flux	12/22/2016	MJ
A61K31/565.cpc. and flux	12/22/2016	MJ

/M.J./ Examiner.Art Unit 1611	

INTERFERENCE SEARCH					
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner		
	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	9/28/2015	MJ		
	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	9/9/2016	MJ		
	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	12/22/2016	MJ		

U.S. Patent and Trademark Office Part of Paper No.: 20161222



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

#### **BIB DATA SHEET**

#### **CONFIRMATION NO. 7031**

SERIAL NUM	IBER	FILING or 371(c)   DATE	CLASS	GROUP ART	UNIT	ATTO	DRNEY DOCKET NO.	
14/024,98	5	09/12/2013	424	1611		041457-1016		
		RULE						
APPLICANT NOVEN F		ACEUTICALS, INC., M	liami, FL;					
INVENTORS Juan Mantelle, Miami, FL;								
** <b>CONTINUING DATA</b> ***********************************								
** FOREIGN A	PPLICA	TIONS ***********	*****					
** <b>IF REQUIRE</b> 09/26/20 <sup>-</sup>		EIGN FILING LICENS	E GRANTED **					
Foreign Priority claime		Yes No Met af	STATE OR COUNTRY	SHEETS DRAWINGS	TOT/ CLAII		INDEPENDENT CLAIMS	
, ,		L JAVIER/ Signature Allowa	FL	1	11	_	2	
ADDRESS								
Foley & L 3000 K S SUITE 60 WASHIN UNITED	TREET 00 GTON,	N.W. DC 20007-5109						
TITLE								
TRANSD	ERMAL	ESTROGEN DEVICE	AND DELIVERY					
				☐ All Fe	es			
		<b>A</b> . (b 2)	a la Bassa	☐ 1.16 F	ees (Fili	ing)		
1 FILINGS FEE 1		Authority has been give to charge/cre	•	NT 1.17 F	ees (Pro	ocess	ing Ext. of time)	
				☐ Other				
				☐ Credit	<u> </u>	_		
	<u> </u>							

#### **EAST Search History**

#### **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	15463	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L2	5352	L1 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L3	890	L2 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L4	34	L3 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L5	260	L3 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L6	50	L3 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L7	88	L1 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L8	257	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L9	42	L8 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L10	149	L8 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L11	184	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L12	39	L11 NOT L8	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
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L14	699	L1 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L15	129	L3 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L16	15463	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L17	5352	L16 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO;	OR	OFF	2016/12/22 09:51

			JPO; DERWENT			
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L35	5352	L34 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
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L41	257	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
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L51	890	L50 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
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L70	88	L64 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L71	257	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L72	42	L71 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L73	149	L71 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
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L83	260	L81 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L84	50	L81 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
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			USOCR; FPRS; EPO; JPO; DERWENT			09:51
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L104	257	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L105	42	L104 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L106	149	L104 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L107	184	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L108	39	L107 NOT L104	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L109	0	(11/245097). <b>A</b> PP.	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L110	699	L97 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L111	129	L99 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L112	15463	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L113	5352	L112 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L114	890	L113 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L115	34	L114 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L116	260	L114 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51

L117	50	L114 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L118	88	L112 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L119	257	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L120	42	L119 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L121	149	L119 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L122	184	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L123	39	L122 NOT L119	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L124	0	(11/245097). <b>A</b> PP.	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L125	699	L112 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L126	129	L114 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L127	1235384	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L129	15463	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L130	5352	L129 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L131	890	L130 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L132	34	L131 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L133	260	L131 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L134	50	L131 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L135	88	L129 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L136	257	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L137	42	L136 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51

L138	149	L136 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L139	184	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L140	39	L139 NOT L136	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L141	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L142	699	L129 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L143	129	L131 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L144	15463	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L145	5352	L144 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L146	890	L145 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L147	34	L146 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L148	260	L146 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L149	50	L146 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L150	88	L144 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L151	257	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L152	42	L151 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L153	149	L151 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L154	184	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L155	39	L154 NOT L151	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L156	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L157	699	L144 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L158	129	L146 and ("dipropylene	USPAT; USOCR	OR	OFF	2016/12/22

	<u> </u>	glycol" oleyl)				09:51
L159	2	"6638528".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L160	4	"4624665".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L161	3	"20090041831".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L162	15463	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L163	5352	L162 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L164	890	L163 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L165	34	L164 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L166	260	L164 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L167	50	L164 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L168	88	L162 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L169	257	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L170	42	L169 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L171	149	L169 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L172	184	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L173	39	L172 NOT L169	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L174	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L175	699	L162 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L176	129	L164 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L177	15463	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L178	5352	L177 and ("surface area"	US-PGPUB; USPAT;	OR	OFF	2016/12/22

		flux)	USOCR; FPRS; EPO; JPO; DERWENT			09:51
L179	890	L178 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L180	34	L179 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L181	260	L179 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L182	50	L179 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L183	88	L177 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L184	257	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L185	42	L184 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L186	149	L184 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L187	184	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L188	39	L187 NOT L184	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L189	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L190	699	L177 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L191	129	L179 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L192	15463	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L193	5352	L192 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L194	890	L193 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L195	34	L194 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L196	260	L194 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L197	50	L194 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51

L198	88	L192 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L199	257	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L200	42	L199 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L201	149	L199 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L202	184	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L203	39	L202 NOT L199	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L204	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L205	699	L192 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L206	129	L194 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L207	15463	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L208	5352	L207 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L209	890	L208 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L210	34	L209 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L211	260	L209 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L212	50	L209 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L213	88	L207 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L214	257	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L215	42	L214 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L216	149	L214 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L217	184	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51

L218	39	L217 NOT L214	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L219	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L220	699	L207 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L221	129	L209 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L222	2	"6638528".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L223	4	"4624665".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L224	3	"20090041831".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L225	15463	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L226	5352	L225 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L227	890	L226 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L228	34	L227 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L229	260	L227 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L230	50	L227 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L231	88	L225 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L232	257	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L233	42	L232 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L234	149	L232 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L235	184	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L236	39	L235 NOT L232	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L237	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/12/22 09:51

L238	699	L225 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L239	129	L227 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L240	15463	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L241	5352	L240 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L242	890	L241 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L243	34	L242 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L244	260	L242 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L245	50	L242 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L246	88	L240 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L247	257	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L248	42	L247 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L249	149	L247 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L250	184	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L251	39	L250 NOT L247	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L252	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L253	699	L240 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L254	129	L242 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2016/12/22 09:51
L255	1235384	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L256	3823	A61K9/7069.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L257	16310	A61K31/565.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51
L258	413	L256 and L257	US-PGPUB; USPAT;	OR	OFF	2016/12/22

		USOCR; FPRS; EPO; JPO; DERWENT			09:51
 L259	L258 and flux	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2016/12/22 09:51

12/22/2016 10:06:19 AM

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### Issue Classification



Application/Control No.	Applicant(s)/Patent Under Reexamination
14024985	MANTELLE, JUAN
Examiner	Art Unit
MELISSA JAVIER	1611

СРС	CPC						
Symbol			Туре	Version			
A61K	9	7 7069	F	2013-01-01			
A61K	9	7 7061	I	2013-01-01			
A61K	31	7 565	I	2013-01-01			
A61K	47	1 10	I	2013-01-01			
A61K	47	<i>t</i> 32	1	2013-01-01			
A61K	9	0014	I	2013-01-01			
		1					
		<i>f</i>					

CPC Combination Sets						
Symbol	Туре	Set	Ranking	Version		

			ns Allowed:
(Assistant Examiner)	(Date)	1	4
/MELISSA JAVIER/ Examiner.Art Unit 1611	12/22/2016	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	None

U.S. Patent and Trademark Office Part of Paper No. 20161222

# Issue Classification

Application/Control No.	Applicant(s)/Patent Under Reexamination
14024985	MANTELLE, JUAN
Examiner	Art Unit
	Artonit

US ORIGINAL CLASSIFICATION										INTERNATIONAL	CLA	SSI	FIC	ATIC	ON
CROSS REFERENCE(S)  CLASS SUBCLASS (ONE SUBCLASS PER BLOCK)								С	LAIMED		NON-CLAIMED				
					Α	6	1	К	31 / 565 (2006.01.01)						
					Α	6	1	К	9 / 70 (2006.01.01)				+		
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		Total Clain	ns Allowed:
(Assistant Examiner)	(Date)	1	4
/MELISSA JAVIER/ Examiner.Art Unit 1611	12/22/2016	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	None

U.S. Patent and Trademark Office Part of Paper No. 20161222

### Issue Classification

|--|

Application/Control No.	Applicant(s)/Patent Under Reexamination
14024985	MANTELLE, JUAN
Examiner	Art Unit
MELISSA JAVIER	1611

	Claims re	numbere	d in the sa	ame orde	r as prese	ented by a	applicant		СР	A 🗵	] T.D.		R.1.4	17	
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1		17												
2	2		18												
3	3		19												
4	4		20												
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	11														
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	13														
	14														
	15														
	16														

		Total Claims Allowed:	
(Assistant Examiner)	(Date)	1	4
/MELISSA JAVIER/ Examiner.Art Unit 1611	12/22/2016	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	None

U.S. Patent and Trademark Office Part of Paper No. 20161222

RCE+/JAG

Atty. Dkt. No. 041457-1016

#### HE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name:

Juan Mantelle

Title:

Transdermal Estrogen Device and Delivery

Appl. No.:

14/024,985

Appl. Filing Date:

9/12/2013

Examiner:

Melissa L. Javier

Art Unit:

1611

Confirmation Number:

7031

#### REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. This RCE and the enclosed items listed below are being filed prior to the earliest of: (1) payment of the issue fee (unless a petition under 37 C.F.R. § 1.313 is granted); (2) abandonment of the application; or (3) the filing of a notice of appeal to the U.S. Court of Appeals for the Federal Circuit under 35 U.S.C. §141, or the commencement of a civil action under 35 U.S.C. §145 or §146 (unless the appeal or civil action is terminated).

#### Submission required under 37 C.F.R. §1.114:

Information Disclosure Statement.

[X]Form PTO/SB/08 with copies of 4 listed reference(s).

The filing fee is calculated below at the large entity rate:

	Claims as Amended		Previously Paid For		Extra Claims Present		Rate		Fee Totals
RCE Fee 1.17(e):							\$1,700.0	=	\$1,700.00
							0		
Total Claims:	11	-	26	= 0		x	\$80.00	=	\$0.00
Independents	2	-	5	= 0		x	\$420.00	=	\$0.00
First p	resentation of	f any	/ Multiple [	Depen	dent Claims:	+	\$780.00	=	\$0.00
			I	RCE a	and CLAIMS	FEE	TOTAL:	=	\$1,700.00

The above-identified fees of \$1,700.00 are being paid by credit card via EFS-Web.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment instructions in EFS-Web being incorrect or absent, resulting in a rejected or incorrect credit card transaction, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

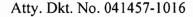
Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date April 7, 2017

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (202) 295-4094 Facsimile: (202) 672-5399 By /Courtenay C. Brinckerhoff/

Courtenay C. Brinckerhoff Attorney for Applicant Registration No. 37,288





#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name: Juan Mantelle

Title: Transdermal Estrogen Device and Delivery

Application No.: 14/024,985

Filing Date: 9/12/2013

Examiner: Melissa L. Javier

Art Unit: 1611

Confirmation No.: 7031

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

Applicant submits herewith documents for the Examiner's consideration in accordance with 37 CFR §§1.56, 1.97 and 1.98.

Applicant respectfully requests that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The submission of any document herewith is not an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document submitted herewith.

4823-8745-7350.1

#### TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing of a first Office action after the filing of a RCE.

#### RELEVANCE OF LISTED DOCUMENTS

Documents A1 –A3 are Office Actions which were issued in the parent and co-pending applications.

Document A4 is a Decision issued in an Opposition of a corresponding European patent. Although the patent was revoked because certain claim language not present in the pending claims was found to constitute an impermissible generalization of the original disclosure, the EPO Opposition Division rejected the Opponent's prior art and enablement-type arguments.

Although Applicant believes that no fee is required, the Commissioner is hereby authorized to charge any additional fees which may be due to Deposit Account Number 19-0741.

Respectfully submitted,

Date April 7, 2017

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (202) 295-4094

Facsimile: (202) 672-5399

By \_\_\_\_/Courtenay C. Brinckerhoff/

Courtenay C. Brinckerhoff Attorney for Applicant Registration No. 37,288

## Substitute for form 1449/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted: April 7, 2017

(use as many sheets as necessary)

Sheet 1 of 1

C	Complete if Known
Application Number	14/024985
Filing Date	9/12/2013
First Named Inventor	Juan Mantelle
Art Unit	1611
Examiner Name	Melissa L. Javier
Attorney Docket Number	041457-1016

	U.S. PATENT DOCUMENTS											
Evaminer	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant							
Examiner Initials*	No.1		MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear							

	UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS												
Examiner Initials*	Cite No.1	U.S. Patent Application Document Serial Number-Kind Code <sup>2</sup> (if known)	Filing Date of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear								

Examiner Initials*	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

	NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue nu publisher, city and/or country where published.								
	A1	Office Action issued on 09/07/2016 in application number 14/870,574 (US 2016-0015655)							
	A2	Notice of Allowance issued on 12/09/2016 in application number 13/553,972 (US 2013-0156815)							
	A3	Notice of Allowance issued on 03/23/2017 in application number 13/553,972 (US 2013-0156815)							
	A4	European Office Action issued on 02/14/2017 in application number EP 09790211.8							

Examiner	Date	
Signature	Considered	



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

www.uspto.go

#### NOTICE OF ALLOWANCE AND FEE(S) DUE

04/26/2017 Foley & Lardner LLP 3000 K STREET N.W. SUITE 600 WASHINGTON, DC 20007-5109

**EXAMINER** FISHER, MELISSA L ART UNIT PAPER NUMBER

1611 DATE MAILED: 04/26/2017

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/024,985	09/12/2013	Juan Mantelle	041457-1016	7031

TITLE OF INVENTION: TRANSDERMAL ESTROGEN DEVICE AND DELIVERY

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	07/26/2017

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

maintenance fee notifica		ici wisc ii	i block 1, by (a	i) specifying a new c		,				
CURRENT CORRESPOND	DENCE ADDRESS (Note: Use Bi	lock 1 for an	y change of address)		Note Fee(s pape have	: A certificate of s s) Transmittal. Thi rs. Each additional its own certificate	mailing s certif l paper of mai	g can only be used for icate cannot be used for , such as an assignment ling or transmission.	domestic mailings or any other accompa nt or formal drawing	of the anying g, must
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	N, DC 20007-5109								(Depositor)	s name)
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										(Date)
APPLICATION NO.	FILING DATE			FIRST NAMED INVENTOR			ATTORNEY DOCKET NO.		CONFIRMATION N	Ю.
14/024,985	09/12/2013			Juan Mantelle				041457-1016	7031	
TITLE OF INVENTION	N: TRANSDERMAL EST	ΓROGEN	DEVICE AND	DELIVERY						
APPLN. TYPE	ENTITY STATUS	ISSU	JE FEE DUE	PUBLICATION FEE I	DUE	PREV. PAID ISSUE	E FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	UNDISCOUNTED		\$960	\$0		\$0		\$960	07/26/2017	
EVAN	MINER	I	RT UNIT	CI ACC CITDOI AC	c					
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•	MELISSA L		1611	424-487000						
CFR 1.363).  Change of corresp Address form PTO/S.  "Fee Address" inc PTO/SB/47; Rev 03-Number is required.		inge of Co " Indicatied. Use o	orrespondence on form f a Customer	or agents OR, alter (2) The name of a registered attorney 2 registered patent listed, no name wi	up to rnativ single y or a t attor ill be p	3 registered paten ely, e firm (having as a gent) and the name neys or agents. If a printed.	t attorn	•		
	AND RESIDENCE DATA  Less an assignee is ident			*		*	ee is id	lentified below, the do	ocument has been fi	led for
	less an assignee is ident th in 37 CFR 3.11. Comp	pletion of	this form is NO							
(A) NAME OF ASSI	GNEE			(B) RESIDENCE: (C	CITY	and STATE OR C	OUNT	'RY)		
Please check the approp	riate assignee category or	categorie	es (will not be pr	inted on the patent):		Individual 🖵 Co	rporati	on or other private gro	oup entity 🚨 Gover	nment
	are submitted: No small entity discount p # of Copies		)	☐ A check is enclose☐ Payment by cred☐ The director is he	sed. it card	1. Form PTO-2038	is attac	equired fee(s) any def		ıy orm).
	ntus (from status indicate ng micro entity status. Se		R 1.29	NOTE: Absent a val	id cer	tification of Micro entity amount will	Entity not be	Status (see forms PTC accepted at the risk of	0/SB/15A and 15B), application abandon	issue ment.
Applicant asserting	ng small entity status. See	37 CFR	1.27		ation	was previously und	ler mic	ro entity status, checki		
Applicant changing	ng to regular undiscounte	d fee statı	us.		is box	will be taken to be		fication of loss of enti	lement to small or m	nicro
NOTE: This form must l	be signed in accordance v	with 37 C	FR 1.31 and 1.33	3. See 37 CFR 1.4 for	signa	ture requirements	and cer	tifications.		
Authorized Signature	,					Date				
Typed or printed nam	ne					Registration N	o			



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FILING DATE FIRST NAMED INVENTOR		CONFIRMATION NO.	
14/024,985	09/12/2013	Juan Mantelle	041457-1016	7031	
22428 75	90 04/26/2017	EXAMINER			
Foley & Lardner		FISHER, MELISSA L			
3000 K STREET N SUITE 600	N.W.	ART UNIT	PAPER NUMBER		
WASHINGTON, I	OC 20007-5109	1611			
			DATE MAILED: 04/26/201	7	

#### **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)	
	14/024,985	MANTELLE,	JUAN
Notice of Allowability	Examiner Melissa Fisher	Art Unit 1611	AIA (First Inventor to File) Status No

The MAILING DATE of this communication appears on the All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAILING PROVINGE), a Notice of Allowance (PTOL-85) or other a NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. To of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPE	IAINS) CLOSED in this application. If not included appropriate communication will be mailed in due course. THIS his application is subject to withdrawal from issue at the initiative
<ol> <li>This communication is responsive to 4/7/2017.</li> <li>A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed</li> </ol>	d on
<ol> <li>An election was made by the applicant in response to a restriction recrequirement and election have been incorporated into this action.</li> </ol>	quirement set forth during the interview on; the restriction
<ol> <li>The allowed claim(s) is/are 1-9 and 21-25. As a result of the allowed of Prosecution Highway program at a participating intellectual property please see http://www.uspto.gov/patents/init_events/pph/index.jsp or</li> </ol>	office for the corresponding application. For more information,
4. Acknowledgment is made of a claim for foreign priority under 35 U.S.	C. § 119(a)-(d) or (f).
Certified copies:	
a) ☐ All b) ☐ Some *c) ☐ None of the:	
<ol> <li>Certified copies of the priority documents have been rec</li> </ol>	reived.
<ol><li>Certified copies of the priority documents have been rec</li></ol>	• • • • • • • • • • • • • • • • • • • •
<ol><li>Copies of the certified copies of the priority documents h</li></ol>	nave been received in this national stage application from the
International Bureau (PCT Rule 17.2(a)).	
* Certified copies not received:	
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this connoted below. Failure to timely comply will result in ABANDONMENT of the THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	
5. $\square$ CORRECTED DRAWINGS ( as "replacement sheets") must be subm	itted.
including changes required by the attached Examiner's Amendn Paper No./Mail Date	nent / Comment or in the Office action of
Identifying indicia such as the application number (see 37 CFR 1.84(c)) sho each sheet. Replacement sheet(s) should be labeled as such in the header	
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGIC attached Examiner's comment regarding REQUIREMENT FOR THE D</li> </ol>	
Attachment(s)	
1. Notice of References Cited (PTO-892)	5. X Examiner's Amendment/Comment
2. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date	6. Examiner's Statement of Reasons for Allowance
3. Examiner's Comment Regarding Requirement for Deposit	7.  Other
of Biological Material 4. ☐ Interview Summary (PTO-413), Paper No./Mail Date	
/Melissa Fisher/ Primary Examiner, Art Unit 1611	

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13) 20170417

Notice of Allowability

Part of Paper No./Mail Date

## **DETAILED ACTION**

The present application is being examined under the pre-AIA first to invent provisions.

#### Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after allowance or after an Office action under *Ex Parte Quayle*, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935). Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on 4/7/2017 has been entered.

## Information Disclosure Statement

The Information Disclosure Statement (IDS) filed 4/7/2017 has been considered by the examiner.

## Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Melissa Fisher whose telephone number is (571)270-7430. The examiner can normally be reached on Monday-Friday, 8am-5pm.

Art Unit: 1611

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bethany Barham can be reached on 571-272-6175. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Melissa Fisher/ Primary Examiner, Art Unit 1611



Atty. Dkt. No. 041457-1016

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name: Juan Mantelle

Title: Transdermal Estrogen Device and Delivery

Application No.: 14/024,985

Filing Date: 9/12/2013

Examiner: Melissa L. Javier

Art Unit: 1611

Confirmation No.: 7031

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

Applicant submits herewith documents for the Examiner's consideration in accordance with 37 CFR §§1.56, 1.97 and 1.98.

Applicant respectfully requests that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The submission of any document herewith is not an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document submitted herewith.

4823-8745-7350.1

Atty. Dkt. No. 041457-1016

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing of a first Office action after the filing of a RCE.

#### RELEVANCE OF LISTED DOCUMENTS

Documents A1 -A3 are Office Actions which were issued in the parent and co-pending applications.

Document A4 is a Decision issued in an Opposition of a corresponding European patent. Although the patent was revoked because certain claim language not present in the pending claims was found to constitute an impermissible generalization of the original disclosure, the EPO Opposition Division rejected the Opponent's prior art and enablement-type arguments.

Although Applicant believes that no fee is required, the Commissioner is hereby authorized to charge any additional fees which may be due to Deposit Account Number 19-0741.

Respectfully submitted,

Date April 7, 2017

**FOLEY & LARDNER LLP** Customer Number: 22428

Telephone: (202) 295-4094

(202) 672-5399 Facsimile:

By /Courtenay C. Brinckerhoff/

Courtenay C. Brinckerhoff Attorney for Applicant Registration No. 37,288

4823-8745-7350.1 -2-

						PTO/SB	/08 (modified)
	Substitute for fo	orm 14	49/PTO		Complete if Known		OPA
	INFORMATION	DISC	LOSURE	Application Number	14/024985	1	
	STATEMENT B	Y API	PLICANT	Filing Date	9/12/2013	AP	D 0 3
	Date Submitted	l. Anri	17 2017	First Named Inventor	Juan Mantelle	70	" 072017 }
	Date Submitted	ı. Apıı	17, 2017	Art Unit	1611	12	
	(use as many shee	ets as	necessary)	Examiner Name	Melissa L. Javier	130	AS /
Sheet	1	of	1	Attorney Docket Number	041457-1016		OF WEK of

	U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant		
Initials*	No.1	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear		
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	UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS							
Examiner Initials*	Cite No.¹	U.S. Patent Application Document Serial Number-Kind Code <sup>2</sup> (if known)	Filing Date of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	

	NON PATENT LITERATURE DOCUMENTS	
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
A1	Office Action issued on 09/07/2016 in application number 14/870,574 (US 2016-0015655)	
A2	Notice of Allowance issued on 12/09/2016 in application number 13/553,972 (US 2013-0156815)	
A3	Notice of Allowance issued on 03/23/2017 in application number 13/553,972 (US 2013-0156815)	
A4	European Office Action issued on 02/14/2017 in application number EP 09790211.8	
	No.1 A1 A2	Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.  A1 Office Action issued on 09/07/2016 in application number 14/870,574 (US 2016-0015655)  A2 Notice of Allowance issued on 12/09/2016 in application number 13/553,972 (US 2013-0156815)  A3 Notice of Allowance issued on 03/23/2017 in application number 13/553,972 (US 2013-0156815)

/Melissa L Fisher/		04/17/2017
Examiner Signature	Date Considered	

# Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
14024985	MANTELLE, JUAN
Examiner	Art Unit
MELISSA JAVIER	1611

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARC	CHED	
Symbol	Date	Examiner

	US CLASSIFICATION SEA	ARCHED	
Class	Subclass	Date	Examiner

SEARCH NOTES									
Search Notes	Search Notes Date								
EAST search (see attached history)	5/14/2015	MJ							
Inventor search in EAST	5/14/2015	MJ							
Google Scholar search (keywords used: monolithic transdermal estradiol flux)	5/14/2015	MJ							
Updated EAST search	9/28/2015	MJ							
Updated Google Scholar search	9/28/2015	MJ							
Updated EAST search	4/22/2016	MJ							
Updated Google Scholar search	4/22/2016	MJ							
Updated EAST search	9/9/2016	MJ							
Updated Google Scholar search	9/9/2016	MJ							
A61K9/7069.cpc. and flux	9/9/2016	MJ							
A61K31/565.cpc. and flux	9/9/2016	MJ							
Updated EAST search	12/22/2016	MJ							
Updated Google Scholar search	12/22/2016	MJ							
A61K9/7069.cpc. and flux	12/22/2016	MJ							
A61K31/565.cpc. and flux	12/22/2016	MJ							
Updated EAST search	4/17/2017	MF							
Updated Google Scholar search	4/17/2017	MF							

	/M.F./ Primary Examiner.Art Unit 1611
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INTERFERENCE SEARCH							
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner				
	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	9/28/2015	MJ				
	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	9/9/2016	MJ				
	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	12/22/2016	MJ				
	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	4/17/2017	MF				

/M.F./ Primary Examiner.Art Unit 1611

U.S. Patent and Trademark Office Part of Paper No.: 20170417



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# **BIB DATA SHEET**

#### **CONFIRMATION NO. 7031**

SERIAL NUM	IBER	FILING or 371 DATE	(c)	CLASS	GROUP ART	UNIT	ATTC	RNEY DOCKET			
14/024,98	35	09/12/2013		424	1611	1611		1611		)41457-1016	
		RULE									
	APPLICANTS NOVEN PHARMACEUTICALS, INC., Miami, FL;										
	INVENTORS  Juan Mantelle, Miami, FL;										
This appl	** <b>CONTINUING DATA</b> ***********************************										
** FOREIGN A	PPLIC <i>A</i>	ATIONS *********	******	***							
** <b>IF REQUIRE</b> 09/26/20		REIGN FILING LIC	ENSE GF	RANTED **							
Foreign Priority claime		Yes No	Mataftan	STATE OR	SHEETS	тот		INDEPENDENT			
35 USC 119(a-d) con-		Yes ☑ No ☐ ☐ L JAVIER/	Met after Allowance	COUNTRY	DRAWINGS	CLAII		CLAIMS			
	Examiner's		als	FL	1	11		2			
ADDRESS											
Foley & L 3000 K S	TREET										
SUITE 60 WASHIN		DC 20007-5109									
UNITED											
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							ing Ext. of time)				
		for follo		32. 33.1 7. <b>33</b> 33.		☐ 1.18 Fees (Issue) ☐ Other					
					☐ Other						
					☐ Credi	t					

# **EAST Search History**

# **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L2	5484	L1 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L3	921	L2 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L4	35	L3 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L5	263	L3 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L6	51	L3 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L7	90	L1 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L8	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L9	42	L8 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L10	152	L8 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L11	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L12	40	L11 NOT L8	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L13	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L14	715	L1 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L15	132	L3 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L16	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L17	5484	L16 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO;	OR	OFF	2017/04/17 13:10

			JPO; DERWENT			
L18	921	L17 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L19	35	L18 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L20	263	L18 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L21	51	L18 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L22	90	L16 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L23	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L24	42	L23 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L25	152	L23 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L26	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L27	40	L26 NOT L23	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L28	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L29	715	L16 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L30	132	L18 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L31	2	"6638528".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L32	4	"4624665".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L33	3	"20090041831".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L34	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L35	5484	L34 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L36	921	L35 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L37	35	L36 and estradiol.ab.	US-PGPUB; USPAT;	OR	OFF	2017/04/17

			USOCR; FPRS; EPO; JPO; DERWENT			13:10
L38	263	L36 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L39	51	L36 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L40	90	L34 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L41	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L42	42	L41 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L43	152	L41 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L44	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L45	40	L44 NOT L41	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L46	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L47	715	L34 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L48	132	L36 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L49	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L50	5484	L49 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L51	921	L50 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L52	35	L51 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L53	263	L51 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L54	51	L51 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L55	90	L49 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L56	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10

L57	42	L56 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L58	152	L56 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L59	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L60	40	L59 NOT L56	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L61	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L62	715	L49 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L63	132	L51 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L64	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L65	5484	L64 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L66	921	L65 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L67	35	L66 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L68	263	L66 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L69	51	L66 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L70	90	L64 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L71	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L72	42	L71 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L73	152	L71 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L74	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L75	40	L74 NOT L71	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L76	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10

L77	715	L64 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L78	132	L66 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L79	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L80	5484	L79 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L81	921	L80 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L82	35	L81 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L83	263	L81 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L84	51	L81 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L85	90	L79 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L86	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L87	42	L86 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L88	152	L86 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L89	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L90	40	L89 NOT L86	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L91	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L92	715	L79 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L93	132	L81 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L94	2	"6638528".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L95	4	"4624665".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L96	3	"20090041831".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L97	15844	estradiol and transdermal	US-PGPUB; USPAT;	OR	OFF	2017/04/17

			USOCR; FPRS; EPO; JPO; DERWENT			13:10
L98	5484	L97 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L99	921	L98 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L100	35	L99 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L101	263	L99 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L102	51	L99 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L103	90	L97 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L104	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L105	42	L104 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L106	152	L104 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L107	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L108	40	L107 NOT L104	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L109	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L110	715	L97 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L111	132	L99 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L112	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L113	5484	L112 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L114	921	L113 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L115	35	L114 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L116	263	L114 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10

L117	51	L114 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L118	90	L112 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L119	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L120	42	L119 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L121	152	L119 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L122	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L123	40	L122 NOT L119	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L124	0	(11/245097). <b>A</b> PP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L125	715	L112 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L126	132	L114 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L127	1255486	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L129	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L130	5484	L129 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L131	921	L130 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L132	35	L131 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L133	263	L131 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L134	51	L131 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L135	90	L129 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L136	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L137	42	L136 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10

L138	152	L136 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L139	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L140	40	L139 NOT L136	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L141	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L142	715	L129 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L143	132	L131 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L144	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L145	5484	L144 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L146	921	L145 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L147	35	L146 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L148	263	L146 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L149	51	L146 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L150	90	L144 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L151	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L152	42	L151 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L153	152	L151 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L154	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L155	40	L154 NOT L151	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L156	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L157	715	L144 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L158	132	L146 and ("dipropylene	USPAT; USOCR	OR	OFF	2017/04/17

	<u> </u>	glycol" oleyl)				13:10
L159	2	"6638528".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L160	4	"4624665".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L161	3	"20090041831".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L162	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L163	5484	L162 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L164	921	L163 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L165	35	L164 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L166	263	L164 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L167	51	L164 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L168	90	L162 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L169	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L170	42	L169 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L171	152	L169 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L172	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L173	40	L172 NOT L169	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L174	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L175	715	L162 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L176	132	L164 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L177	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L178	5484	L177 and ("surface area"	US-PGPUB; USPAT;	OR	OFF	2017/04/17

	The state of the s	flux)	USOCR; FPRS; EPO; JPO; DERWENT			13:10
L179	921	L178 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L180	35	L179 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L181	263	L179 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L182	51	L179 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L183	90	L177 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L184	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L185	42	L184 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L186	152	L184 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L187	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L188	40	L187 NOT L184	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L189	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L190	715	L177 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L191	132	L179 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L192	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L193	5484	L192 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L194	921	L193 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L195	35	L194 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L196	263	L194 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L197	51	L194 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10

L198	90	L192 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L199	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L200	42	L199 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L201	152	L199 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L202	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L203	40	L202 NOT L199	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L204	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L205	715	L192 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L206	132	L194 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L207	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L208	5484	L207 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L209	921	L208 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L210	35	L209 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L211	263	L209 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L212	51	L209 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L213	90	L207 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L214	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L215	42	L214 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L216	152	L214 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L217	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10

L218	40	L217 NOT L214	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L219	0	(11/245097). <b>A</b> PP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L220	715	L207 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L221	132	L209 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L222	2	"6638528".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L223	4	"4624665".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L224	3	"20090041831".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L225	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L226	5484	L225 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L227	921	L226 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L228	35	L227 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L229	263	L227 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L230	51	L227 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L231	90	L225 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L232	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L233	42	L232 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L234	152	L232 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L235	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L236	40	L235 NOT L232	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L237	0	(11/245097). <b>A</b> PP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10

L238	715	L225 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L239	132	L227 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L240	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L241	5484	L240 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L242	921	L241 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L243	35	L242 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L244	263	L242 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L245	51	L242 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L246	90	L240 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L247	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L248	42	L247 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L249	152	L247 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L250	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L251	40	L250 NOT L247	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L252	0	(11/245097). <b>A</b> PP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L253	715	L240 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L254	132	L242 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L255	1255486	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L256	3958	A61K9/7069.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L257	16828	A61K31/565.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L258	422	L256 and L257	US-PGPUB; USPAT;	OR	OFF	2017/04/17

			USOCR; FPRS; EPO; JPO; DERWENT			13:10
L259	47	L258 and flux	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L262	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L263	5484	L262 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L264	921	L263 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L265	35	L264 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L266	263	L264 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L267	51	L264 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L268	90	L262 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L269	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L270	42	L269 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L271	152	L269 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L272	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L273	40	L272 NOT L269	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L274	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L275	715	L262 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L276	132	L264 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L277	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L278	5484	L277 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L279	921	L278 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10

L280	35	L279 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L281	263	L279 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L282	51	L279 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L283	90	L277 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L284	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L285	42	L284 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L286	152	L284 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L287	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L288	40	L287 NOT L284	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L289	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L290	715	L277 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L291	132	L279 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L292	2	"6638528".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L293	4	"4624665".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L294	3	"20090041831".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L295	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L296	5484	L295 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L297	921	L296 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L298	35	L297 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L299	263	L297 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10

L300	51	L297 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L301	90	L295 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L302	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L303	42	L302 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L304	152	L302 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L305	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L306	40	L305 NOT L302	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L307	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L308	715	L295 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L309	132	L297 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L310	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L311	5484	L310 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L312	921	L311 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L313	35	L312 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L314	263	L312 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L315	51	L312 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L316	90	L310 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L317	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L318	42	L317 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L319	152	L317 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10

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L320	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L321	40	L320 NOT L317	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L322	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L323	715	L310 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L324	132	L312 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L325	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L326	5484	L325 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L327	921	L326 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L328	35	L327 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L329	263	L327 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L330	51	L327 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L331	90	L325 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L332	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L333	42	L332 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L334	152	L332 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L335	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L336	40	L335 NOT L332	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L337	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L338	715	L325 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L339		L327 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L340	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO;	OR	OFF	2017/04/17 13:10

			JPO; DERWENT			
L341	5484	L340 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L342	921	L341 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L343	35	L342 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L344	263	L342 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L345	51	L342 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L346	90	L340 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L347	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L348	42	L347 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L349	152	L347 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L350	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L351	40	L350 NOT L347	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L352	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L353	715	L340 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L354	132	L342 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L355	2	"6638528".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L356	4	"4624665".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L357	3	"20090041831".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L358	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L359	5484	L358 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L360	921	L359 and acrylic and	US-PGPUB; USPAT;	OR	OFF	2017/04/17

		silicone and (PVP polyvinyl pyrrolidone)	USOCR; FPRS; EPO; JPO; DERWENT			13:10
L361	35	L360 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L362	263	L360 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L363	51	L360 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L364	90	L358 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L365	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L366	42	L365 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L367	152	L365 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L368	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L369	40	L368 NOT L365	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L370	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L371	715	L358 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L372	132	L360 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L373	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L374	5484	L373 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L375	921	L374 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L376	35	L375 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L377	263	L375 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L378	51	L375 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L379	90	L373 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10

L380	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L381	42	L380 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L382	152	L380 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L383	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L384	40	L383 NOT L380	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L385	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L386	715	L373 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L387	132	L375 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L388	1255486	(monolith\$2 estradiol transdermal adhesive coat weight flux).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L389	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L390	5484	L389 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L391	921	L390 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L392	35	L391 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L393	263	L391 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L394	51	L391 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L395	90	L389 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L396	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L397	42	L396 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L398	152	L396 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L399	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10

L400	40	L399 NOT L396	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L401	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L402	715	L389 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L403	132	L391 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L404	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L405	5484	L404 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L406	921	L405 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L407	35	L406 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L408	263	L406 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L409	51	L406 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L410	90	L404 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L411	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L412	42	L411 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L413	152	L411 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L414	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L415	40	L414 NOT L411	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L416	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L417	715	L404 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L418	132	L406 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L419	2	"6638528".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L420	4	"4624665".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO;	OR	OFF	2017/04/17 13:10

			JPO; DERWENT			
L421	3	"20090041831".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L422	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L423	5484	L422 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L424	921	L423 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L425	35	L424 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L426	263	L424 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L427	51	L424 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L428	90	L422 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L429	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L430	42	L429 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L431	152	L429 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L432	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L433	40	L432 NOT L429	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L434	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L435	715	L422 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L436	132	L424 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L437	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L438	5484	L437 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L439	921	L438 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L440	35	L439 and estradiol.ab.	US-PGPUB; USPAT;	OR	OFF	2017/04/17

			USOCR; FPRS; EPO; JPO; DERWENT			13:10
L441	263	L439 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L442	51	L439 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L443	90	L437 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L444	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L445	42	L444 and estradiol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L446	152	L444 and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L447	186	Kanios.in. and David.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L448	40	L447 NOT L444	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L449	0	(11/245097).APP.	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L450	715	L437 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L451	132	L439 and ("dipropylene glycol" oleyl)	USPAT; USOCR	OR	OFF	2017/04/17 13:10
L452	15844	estradiol and transdermal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L453	5484	L452 and ("surface area" flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L454	921	L453 and acrylic and silicone and (PVP polyvinyl pyrrolidone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L455	35	L454 and estradiol.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L456	263	L454 and transdermal.ab.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L457	51	L454 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L458	90	L452 and (estradiol NEAR flux)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10
L459	259	MANTELLE.in. and JUAN.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2017/04/17 13:10