JAMES T. GEIER - 07/30/2018

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1
             UNITED STATES PATENT AND TRADEMARK OFFICE
             BEFORE THE PATENT TRIAL AND APPEAL BOARD
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     ZTE (USA) INC.,
                      Petitioner,
 4
 5
                   -vs-
                                          Case IPR2018-00111
 6
     FUNDAMENTAL INNOVATION SYSTEMS
     INTERNATIONAL LLC,
 7
                      Patent Owner.
 8
 9
10
               Videotaped deposition of JAMES T. GEIER taken
     before TRACY L. BLASZAK, CSR, CRR, and Notary Public,
11
12
     pursuant to the Rules of the United States Patent and
13
     Trademark Office pertaining to the taking of
14
     depositions, at Suite 4000, 444 West Lake Street, in the
     City of Chicago, Cook County, Illinois at 9:05 a.m. on
15
16
     the 30th day of July, A.D., 2018.
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	JAMES T. (GEIER	₹ –	07/30/2018 Pa	ages 25
		age 2			Page 4
1	There were present at the taking of	this	1	(exhibit cont'd)	PAGE
2	deposition the following counsel:		2	Deposition Exhibit 10	174
	McDERMOTT WILL & EMERY LLP by			(Declaration of Dr. Kenneth Fernald in	
4	MR. CHARLES M. McMAHON		3	support of patent owner's preliminary	
5	MR. THOMAS DAMARIO 444 West Lake Street			response)	
٦	Suite 4000		4		
6	Chicago, Illinois 60606			Deposition Exhibit 11	203
7	cmcmahon@mwe.com tdamario@mwe.com		5	(U.S. patent 6,625,738)	
′	(312) 372-2000		6	Deposition Exhibit 12	206
8			Ü	(U.S. patent 8,624,550)	200
	on behalf of the Petitioner		7	(0.5. patent 0,024,550)	
9 10	ZTE (USA) Inc.;		/	- 111 - 1111 - 12	01.4
-	HAYNES AND BOONE, LLP by			Deposition Exhibit 13	214
11	MR. GREGORY HUH		8	(ZTE vs. Fundamental decision to insti	tute)
12	2323 Victory Avenue Suite 700		9	**Deposition Exhibit 3 not marked	
	Dallas, Texas 75219		10		
13	gregory.huh@haynesboone.com		11	* * * * *	
14	(214) 651-5000		12		
	on behalf of the Petitioner		13		
15	LG Electronics;		14		
16	IRELL & MANELLA LLP by		15		
17	MR. JASON G. SHEASBY		16		
18	1800 Avenue of the Stars Suite 900		17		
1.0	Los Angeles, California 90064		18		
19	jsheasby@irell.com		19		
20	(310) 277-1010		20		
20	on behalf of the Patent Owners;		21		
21			22		
22	ALSO PRESENT: Ms. Barb Rudolf Legal videographer.		23		
23	-55 - 1 - 1 - 1 - 1		24		
24 25			25		
L	7				D
1	VIDEOTAPED DEPOSITION OF	age 3	1	THE VIDEOGRAPHER: Good morning. Here	Page 5
	JAMES T. GEIER				•
2			2	videotape No. 1 of the deposition of James	
3	July 30, 2018		3	the matter of ZTE (USA) Inc. vs. Fundament	al Innovation
4	EXAMINATION BY:	PAGE	4	Systems International LLC filed in the Uni	ted States
5	Mr. Jason G. Sheasby	6	5	Patent and Trademark Office, case No. IPR	2018-00110.
6	* * * * *		6	Today's date is July 30th, 2018,	
7			-	•	and the time
8	EXHIBITS		7	on the video monitor is 9:05 a.m.	
9		PAGE	8	My name is Barb Rudolf from Epiq,	and I am the
10	Deposition Exhibit 1 (USB specification 2.0 4/27/00)	56	9	videographer.	
11	(335 Specificación 2.0 1/2//00)		10	This deposition is taking place a	t McDermott
	Deposition Exhibit 2	61			
12	(Deposition excerpt of John Irving Garney re: Fundamental vs. Samsung 11/20/17		11	Will & Emery at 444 West Lake Street in Ch	iicayu,
13	re. rundamentar vs. samsung 11/20/1/		12	Illinois.	
	Deposition Exhibit 4	75	13	Counsel, please voice identify yo	ourselves and
14	(U.S. patent 5,884,086)	_	14	state whom you represent.	
15	Deposition Exhibit 5 (U.S. patent 6,625,790)	95	15	MR. SHEASBY: Jason Sheasby for Fundam	mental.
16	(0.0. pacene 0/025//50/				
	Deposition Exhibit 6	97	16	MR. McMAHON: Charles McMahon and Tom	namario iol
17	(Cypress preliminary specification of		17	the petitioners.	
18	combination low-speed USB and PS/2 peripheral controller)		18	MR. HUH: Gregory Huh for petitioner I	G Electronics.
19	Deposition Exhibit 7	99	19	THE VIDEOGRAPHER: Will the reporter p	lease swear
	(U.S. patent 6,531,845)		20	the witness.	
20	Donogition Tyhihit 0	145		CIIC WILLIEDD.	
21	Deposition Exhibit 8 (USB Complete Everything You Need to Develop	145	21		
	Custom USB Perhipherals by Axelson)		22		
22			23		
1 22	Deposition Exhibit 9	155	24		
23 24	(U.S. patent 6,556,564)				
25			25		

Page 6 Page 8 JAMES T. GEIER, understood as would have been a person of ordinary skill 2 called as a witness herein, having been first duly in the art at the time what they would have been able to see. I looked at a variety of references. 3 sworn, was examined upon oral interrogatories and testified as follows: Q Did they provide you with the Rogers reference? 5 EXAMINATION A I actually don't remember if they provided that to me or if I found it and then used that. I can't 6 by Mr. Sheasby: 6 Q Good morning, Mr. Geier. Can you state your remember specifically. 8 full name for the record. 8 Q So you were approached about ten months ago, g 9 A Yes, James Thomas Geier. fair? 10 Q And when were you first approached by -- Well, 10 A I think it was around ten months. I would have 11 when were you first approached to serve as an expert in 11 to look at other dates and e-mails and see exactly when this case on behalf of the petitioners? 12 that was. 13 A I don't remember the specific date, but I think 13 Q And it's your testimony that you think you found 14 it was around nine to ten months ago, maybe a little bit the Rogers reference, is that correct? 14 15 longer. 15 MR. McMAHON: Objection as to form. 16 Q And who approached you? 16 THE WITNESS: No, that's not what I said. I said I 17 can't remember. 17 A Hersh Mehta from McDermott. 18 MR. SHEASBY: Q In fact, you didn't find the Q And tell me everything he told you? 18 19 A Do you want me to tell you every detail that he 19 Rogers reference. The Rogers reference was in 20 told me? invalidity contentions that had been submitted by the 21 parties in this IPR long before ten months ago, fair? Q Yes. 22 A About the case? 22 A I don't know if it was or not. 23 Q Yes. 23 Q Did you find the Rogers reference? I don't remember specifically all the details. A Like I said, I can't remember specifically if I 25 I produced a report with this that includes my opinions, did or not or whether or not Mr. Mehta had provided that Page 7 Page 9 1 but I don't remember specifically what Mr. Mehta had for me to look at in a bunch of other references. told me. Q Did you find the Shiga reference? 3 He told me, of course, who the parties were in A I can't remember specifically if I did. At the time I was looking at a lot of different prior art. I the case and that this was an IPR proceeding and asked if I could look at some references and write a can't remember specifically if I found that or if I was declaration. provided that from the counsel. 7 7 Q Were you provided the Casebolt reference? Q Who else have you spoken to other than Mr. Mehta 8 relating to this case? A I know I did find some of those references on my A I've spoken with the attorney sitting next to own, in other words, I wasn't provided those. I looked me, Charlie here from McDermott. at all the prior art that was available that I could 10 11 Q Anyone else? 11 search on and find and consider. I don't remember A $\;\;$ I can't remember specifically other people I 12 12 specifically. 13 spoke to about the case. 13 Q The Cypress data sheet, did you find that 14 Q Now, you said that Mr. Mehta asked you to look 14 yourself? 15 A Again, it's like the other examples I had in at some art, fair? 15 16 A He certainly asked me to consider some art, 16 there, I can't remember specifically. 17 right. 17 Q Did you find the Kerai reference yourself? 18 Q He gave you that art, is that correct? 18 A Again, I can't remember specifically. A I think originally they provided me some 19 19 Q Did you find the Rogers provisional reference 20 references to look at. 20 vourself? 21 Q What references did they provide you? 21 A I think the provisional -- I can't remember for A Certainly the references that are in my report, certain. I can't remember if I actually found that and 23 my declaration, I believe there is some other 23 downloaded that or if I was provided it. Q So before you were paid to serve as an expert in 24 references. I looked at some references on my own. 24 25 this case, had you ever at any time in your history read You know, I looked at the art, you know, that I

JAMES T. GEIER - 07/30/2018 Pages 10..13 Page 12 Page 10 Q Was the POPR prepared -- Strike that. the Rogers reference? 1 2 A I've been involved with USB for a number of 2 Was the petition prepared before the years, and this dates a while. I can't remember declaration or after the declaration? 3 specifically if I read that one. A I don't know. Q It's a very simple question, and it's actually a 5 Q Did you read the petition? A I think at some point afterwards I did in my 6 yes or no question, and we can get the Board on the line 6 preparation. I mostly focused on my declaration. if we need to this afternoon. That's fine with me. 8 Before you were paid to begin work as an expert 8 Q You spoke about the '550 patent. You read the 9 in this case, had you ever read the Rogers reference, 9 '550 patent, fair? 10 yes or no? 10 A Yes, I have. 11 A Again, I can't remember specifically if I did or 11 Q How many times have you read it? 12 not. I can't answer that yes or no because I can't 12 A I don't remember how many times I've read it. Q Now, you understand that the '550 patent has its 13 remember. 13 14 Q Okay. Before you were paid to serve as an 14 source in research at BlackBerry which used to be known 15 expert in this case, had you ever read the Shiga 15 as Research In Motion, correct? 16 A I'm sorry, could you repeat that question. I 17 didn't hear the first part of it. A Again, I've looked at a lot of documents in my 17 career. I can't remember specifically if I did yes or Q The '550 patent has its source in research at 18 18 19 19 BlackBerry or Research In Motion, correct? 20 Q Before you were paid to serve as an expert in 20 A I think I remember seeing it on the patent, yes. 21 this case, had you ever read the Casebolt reference? 21 Q And you were in the field at the time of the 22 A Again, I've looked at a lot of different 22 patent, correct? 23 documents. I can't remember specifically on that one, 23 A Sure, absolutely. 24 either. 24 Q And so you were familiar with the introduction 25 of BlackBerry phones that used USB charging, fair? Q Before you were paid to serve as an expert in Page 11 Page 13 this case, had you ever read the Cypress reference? A Certainly as a person of ordinary skill in the 1 A Again, I can't say yes or no if I have. I can't art, yes. 3 remember. Q The answer to my question is yes, correct?

Q Before you were paid to serve as an expert in this case, had you ever read the Kerai reference?

A That references the same thing. I've looked at 7 a lot of different references. I can't remember specifically if I had or not.

Q Tell me the process that went into drafting your expert report.

A Well, what I did was I certainly read the 12 patent, the '550 patent, the one that we're dealing with 13 here, to understand what was involved there. And I 14 looked at references and I researched some references 15 and put together some ideas, and then provided that in 16 my declaration.

Q Did you write your declaration?

A The declaration was produced as sort of a back and forth type of development.

Q Who wrote the first draft of your declaration?

20 21 A I can't remember. I think I did some outlining 22 on that. I know originally that outline was probably 23 part of the original draft of the declaration, the 24 initial draft of the declaration. Again, it was a back 25 and forth type.

A I'm familiar with the BlackBerry phones, yes.

Q And the Quark phones were the first phones that had USB charging, correct?

A What type of phones did you say?

Q Quark?

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A I don't remember specifically.

Q Before BlackBerry -- You understand that BlackBerry did introduce USB charging in their phones, correct?

A I remember some of that, but I don't remember specifically what the details were.

Q Do you know who was the first company to introduce USB charging in their phones?

A I can't remember specifically. Of course, I know what the prior art indicates as far as what technology was there and how that type of charging worked.

Q I'm sorry, I don't think you answered my question.

Do you know, yes or no, who was the first company that introduced USB charging?

MR. McMAHON: Objection as to form.



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A It's a mobile phone that we used in the

Q Who made the mobile phone?

application.

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volts.

Pages 14..17

Page 16

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                                                   Page 14
        MR. SHEASBY: Q In phones?
 2
        A Well, certainly -- I don't remember specifically
   which phones those were or which companies that would
 3
    provide an actual USB charging that complied with the
    standard. I don't remember specifically which ones.
        Q Have you ever worked in mobile phone space?
 6
        A Absolutely I have.
 8
            When have you worked in the mobile phone space?
 9
        A I've worked in that space since I think about
    the time frame -- I would say the '90s, 1990s.
10
11
        Q When exactly?
12
        A I know for sure at Monarch Marking Systems, and
13
   I started there in 1996.
14
             By working in the mobile phone space, I guess,
15
   what are you referring to as working? Do you mean
16
    designing or using the phones?
        Q Well, let's do it in pieces. Have you ever
17
   designed a mobile phone that uses USB charging?
18
19
        A No, I don't think I've designed a mobile phone
20
   that uses USB charging.
21
        Q Let me ask the next question, then.
22
             Have you ever designed a tablet that uses USB
23
   charging?
24
        A Not necessarily specifically a tablet. I worked
   with other devices.
                                                  Page 15
1
        Q Have you ever designed a device that uses USB
 2
    charging?
 3
        A I've designed devices that do implement the USB
    specification which would include the charging aspects
 5
    of USB.
        Q What USB specification did they implement?
 6
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A I can't remember specifically. We used several different types of mobile phones. Q Did you design the USB charging for that mobile phone or was it off the shelf? A Do you mean the charging within the phone or do you mean the system that charged it? I'm trying to understand your question. Q Well, let's start, did you design the USB charging system within the phone itself? A For that particular phone, the charging was already part of the specification, so the phone implemented that. I designed the part around that that would supply that power to that phone. Q So you designed a power supply for a mobile phone in 2007, fair? A That's fair to say. Q Okay. What was that power supply that you designed, how did it work? A Well, the power supply provided the power to meet the USB specification. This was a USB-specified phone or a USB-compliant phone, so it --Page 17 Q How did it supply that power? It provided the power to the phone as necessary as the phone needed. Q Through a USB connection? It went through a USB connection, yes. Where did the power come from? The power came from the truck battery in one situation. There is another element of this it would be done in the control room, and it came from an AC outlet that was converted to the power that was needed to drive the phone or to supply power to the phone. Q And you don't remember what USB specification was employed with that, correct? A I don't remember specifically -- I don't remember the specification that was specifically used for that. Q How many volts did --MR. McMAHON: Objection. Jason, let's let Mr. Geier finish his answer, please. MR. SHEASBY: Q How many volts did it supply?

A For this particular application was a

USB-specified application, I believe it supplied 5

Q And how much current did it supply?

about ten years ago. I don't remember specifically which version of that was, but it was roughly ten years

9 10 ago.

A I'm trying to remember. This would have been

Q So about 2007, fair?

A Roughly.

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So in 2007 you designed a device that used the USB specification for charging, fair?

15 A It certainly used a phone that had USB charging, 16 and we took advantage of that for the application.

Q What was the phone you designed?

This was an application that dealt with monitoring of concrete trucks coming into a facility 19

20 where they would be getting their supplies. You know,

their cement would be put in the truck. As they drove 21

22 in, there was a mobile phone involved that had USB

23 charging that we took advantage of that would be 24 charging the device.

Q Was it a mobile phone that you designed?

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