

FILE HISTORY

US 6,088,802

PATENT: 6,088,802
INVENTORS: Bialick, William P.
Sutherland, Mark J.
Dolphin Peterson, Janet L.
Rowland, Thomas K.
Skeba, Kirk W.
Housley, Russell D.

TITLE: Peripheral device with integrated
security functionality

APPLICATION NO: US1997869305A
FILED: 04 JUN 1997
ISSUED: 11 JUL 2000

COMPILED: 03 OCT 2016

APPLICANTS

WILLIAM F. BIALOCK, CLARKVILLE, MD; RAY J. SUTHERLAND, MILPITAS, CA; JANET L. DOLPHIN-PETERSON, BELVEDERE, CA; THOMAS K. ROWLAND, LOS GATOS, CA; KIRK W. SNEGA, FREDONI, CA; RUSSELL D. HODGLEY, BERNDON, VA.

BEST COPY

CONTINUING DAY
VERIFIED

none
BSH 11/10/98

FOREIGN APPLICATIONS
VERIFIED

none
BSH 11/10/98

FOREIGN FILING LICENSE APPLICANT 11/10/98 ***** SMALL ENTITY *****

| | | | | | | | | | |
|---|--|--|----------|------------------|---------------|--------------|---------------|---------------------|-----------------------|
| Foreign priority claimed 35 USC 119 conditions met | <input type="checkbox"/> yes <input checked="" type="checkbox"/> no | <input type="checkbox"/> yes <input checked="" type="checkbox"/> no | AS FILED | STATE OR COUNTRY | SHEETS DRWGS. | TOTAL CLAIMS | INDEP. CLAIMS | FILING FEE RECEIVED | ATTORNEY'S DOCKET NO. |
| Verified and Acknowledged | Examiner's Initials | | → | MD | 7 | 39 | 12 | \$460.00 | SP7-004 |

ADDRESS
DAVID R. GRAHAM
1337 CHEWON AVENUE
MILPITAS CA 95035

TITLE
PERIPHERAL DEVICE WITH INTEGRATED SECURITY FUNCTIONALITY

U.S. DEPT. OF COMM./ PAT. & TM—PTO-436L (Rev.12-94)

| | | | |
|---------------------------------------|----------------|---|-------------|
| PARTS OF APPLICATION FILED SEPARATELY | | <i>W. J. ... 6/8/99</i> Applications Examiner | |
| NOTICE OF ALLOWANCE MAILED | | CLAIMS ALLOWED | |
| <i>6-7-99</i> | | Total Claims | Print Claim |
| Assistant Examiner | | <i>39</i> | <i>1</i> |
| ISSUE FEE | | DRAWING | |
| Amount Due | Date Paid | Sheets Drwg. | Figs. Drwg. |
| <i>\$605.00</i> | <i>9-14-99</i> | <i>7</i> | <i>11</i> |
| Label Area | | Print Fig. | <i>6</i> |
| | | ISSUE BATCH NUMBER | <i>W04</i> |
| | | PREPARED FOR ISSUE | |
| | | WARNING: The information disclosed herein may be restricted. Unauthorized disclosure may be prohibited by the United States Code Title 35, Sections 122, 181 and 368. Possession outside the U.S. Patent & Trademark Office is restricted to authorized employees and contractors only. | |

Form PTO-436A (Rev. 8/92)

ISSUE FEE IN FILE

Formal Drawings (*7* sheets) set *135.00* Fee

| Date | Transaction Description |
|------------|--|
| 06-04-1997 | Workflow - Drawings Finished |
| 06-04-1997 | Workflow - Drawings Matched with File at Contractor |
| 06-04-1997 | Workflow - Drawings Received at Contractor |
| 07-14-1997 | Initial Exam Team nn |
| 08-07-1997 | IFW Scan & PACR Auto Security Review |
| 11-04-1997 | Notice Mailed--Application Incomplete--Filing Date Assigned |
| 03-05-1998 | Application Is Now Complete |
| 03-12-1998 | Application Dispatched from OIPE |
| 03-12-1998 | Application Dispatched from OIPE |
| 04-07-1998 | Case Docketed to Examiner in GAU |
| 08-15-1998 | Information Disclosure Statement (IDS) Filed |
| 08-15-1998 | Information Disclosure Statement (IDS) Filed |
| 10-08-1998 | Information Disclosure Statement (IDS) Filed |
| 10-08-1998 | Information Disclosure Statement (IDS) Filed |
| 11-23-1998 | Non-Final Rejection |
| 12-11-1998 | Mail Non-Final Rejection |
| 03-15-1999 | Response after Non-Final Action |
| 03-18-1999 | Supplemental Response |
| 03-25-1999 | Date Forwarded to Examiner |
| 03-30-1999 | Information Disclosure Statement (IDS) Filed |
| 03-30-1999 | Information Disclosure Statement (IDS) Filed |
| 04-01-1999 | Date Forwarded to Examiner |
| 06-07-1999 | Mail Notice of Allowance |
| 06-07-1999 | Notice of Allowance Data Verification Completed |
| 06-23-1999 | Workflow - Drawings Received at Contractor |
| 06-24-1999 | Workflow - Drawings Sent to Contractor |
| 09-13-1999 | Workflow - Incoming Correspondence - Finish |
| 09-13-1999 | Workflow - Incoming Correspondence - Begin |
| 09-13-1999 | Information Disclosure Statement (IDS) Filed |
| 09-13-1999 | Information Disclosure Statement (IDS) Filed |
| 09-13-1999 | UnMatched Papers in Pubs |
| 09-13-1999 | UnMatched Papers in Pubs |
| 09-14-1999 | Issue Fee Payment Verified |
| 12-16-1999 | Mail Miscellaneous Communication to Applicant |
| 12-16-1999 | Miscellaneous Communication to Applicant - No Action Count |
| 01-04-2000 | Workflow - File Sent to Contractor |
| 05-26-2000 | Workflow - Complete WF Records for Drawings |
| 05-28-2000 | Application Is Considered Ready for Issue |
| 06-23-2000 | Issue Notification Mailed |
| 07-11-2000 | Recordation of Patent Grant Mailed |
| 06-25-2008 | Correspondence Address Change |
| 01-12-2012 | ENTITY STATUS SET TO UNDISCOUNTED (INITIAL DEFAULT SETTING OR STATUS CHANGE) |
| 03-02-2015 | Change in Power of Attorney (May Include Associate POA) |
| 03-02-2015 | Correspondence Address Change |
| 09-29-2016 | File Marked Found |

| | | |
|---------|-----------------------------------|-------------------------------------|
| | 1. Application <u>7</u> papers. | |
| | 2. <u>Three</u> unsigned Dec. Fee | 11/4/97 |
| | 3. <u>Dec. Search Fee</u> | 1-12-98 |
| | 4. <u>I.D.S.</u> | 10-8-98 |
| | 5. <u>Prior Art</u> | Aug 15, 1998 |
| 11/23 | 6. <u>Req. 3 boxes</u> | 12-11-98 |
| 3/25/99 | 7. <u>Amndt A</u> | Mar 15, 1999 ^{of 3/} in 2/ |
| 4/1/99 | 8. <u>Supp. Amndt B</u> | Mar 18, 1999 |
| | 9. <u>Prior art</u> | Mar 30, 1999 |
| 6/7 | 10. <u>Notice of allow</u> | 6-2-99 |
| | 11. <u>Prior art - fee</u> | sep 13, 1999 |
| | 12. <u>Letter</u> | 12-16-99 |
| | 13. | |
| 4-11-00 | 14. <u>FORMAL DRAWINGS</u> | 6-4-99 |
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DIALICK ET AL.

IF REISSUE, ORIGINAL PATENT NUMBER

INTERNATIONAL CLASSIFICATION

G 0 6 K

14/67

GROUP ART UNIT

2785

ASSISTANT EXAMINER (PLEASE STAMP OR PRINT FULL NAME)

PRIMARY EXAMINER (PLEASE STAMP OR PRINT FULL NAME)

LY V. HUA

PTO 270 (REV. 5-91)

ISSUE CLASSIFICATION SLIP

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK

| Claim | Final | Original | Date |
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SYMBOLS

- ✓ Rejected
- Allowed
- (Through numeral) Canceled
- + Restricted
- N Non-elected
- I Interference
- A Appeal
- O Objected

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APPLIC
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ISSUE I

Label Area

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