

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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SONY CORPORATION  
Petitioner

v.

ARRIS ENTERPRISES LLC  
Patent Owner

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Case IPR2018-00075  
U.S. Patent 9,521,466

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**PATENT OWNER'S MANDATORY NOTICES  
PURSUANT TO 37 C.F.R. § 42.8(a)(2)**

*Mail Stop "PATENT BOARD"*  
Patent Trial and Appeal Board  
U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Patent Owner, ARRIS Enterprises LLC (“Patent Owner”), hereby files its  
Mandatory Notices Pursuant to 37 C.F.R. § 42.8(a)(2).

**I. REAL PARTY-IN-INTEREST**

ARRIS Enterprises LLC is the Patent Owner and the Real Party-In-Interest in this proceeding. ARRIS International plc is also a Real Party-In-Interest in this proceeding.

**II. RELATED MATTERS**

The following judicial or administrative matters may affect or be affected by a decision in this proceeding:

*ARRIS Enters. LLC v. Sony Corp. et al.*, Case No. 3:17-cv-02669-NC (N.D. Cal., filed May 9, 2017), dismissed November 30, 2017.

*Certain Consumer Electronic Devices, Including Televisions, Gaming Consoles, Mobile Phones and Tablets, and Network-Enabled DVD and Blu-Ray Players*, Inv. No. 337-TA-1060 (USITC May 9, 2017), stayed November 20, 2017, pending the filing of a motion to terminate.

Petitioner concurrently filed a separate petition for *inter partes* review against U.S. Patent No. 9,521,466 (“the ’466 Patent”) on November 8, 2017, Proceeding No. IPR2018-00072.

Patent Owner believes that the '466 patent is not and has not been involved in any other litigations, or any other proceedings before the patent trial and appeal board.

### III. LEAD AND BACK-UP COUNSEL

The lead counsel for Patent Owner is:

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#### IV. SERVICE INFORMATION

Please address all correspondence to the lead and back-up counsel as shown above. Patent Owner consents to electronic service by e-mail to all of the e-mail addresses provided above, with a courtesy copy to **PTAB@skgf.com**.

Date: December 7, 2017

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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**CERTIFICATE OF SERVICE (37 C.F.R. § 42.6(e))**

The undersigned hereby certifies that the foregoing **PATENT OWNER'S MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8(a)(2)** and Patent Owner's Power of Attorney were served in their entireties electronically via e-mail on December 7, 2017, on the following counsel of record for Petitioner:

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Date: December 7, 2017

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