

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SONY CORPORATION
Petitioner

v.

ARRIS ENTERPRISES LLC
Patent Owner

Case IPR2018-00072
U.S. Patent 9,521,466

**PATENT OWNER'S MANDATORY NOTICES
PURSUANT TO 37 C.F.R. § 42.8(a)(2)**

Mail Stop "PATENT BOARD"
Patent Trial and Appeal Board
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Patent Owner, ARRIS Enterprises LLC (“Patent Owner”), hereby files its
Mandatory Notices Pursuant to 37 C.F.R. § 42.8(a)(2).

I. REAL PARTY-IN-INTEREST

ARRIS Enterprises LLC is the Patent Owner and the Real Party-In-Interest in this proceeding. ARRIS International plc is also a Real Party-In-Interest in this proceeding.

II. RELATED MATTERS

The following judicial or administrative matters may affect or be affected by a decision in this proceeding:

ARRIS Enters. LLC v. Sony Corp. et al., Case No. 3:17-cv-02669-NC (N.D. Cal., filed May 9, 2017), dismissed November 30, 2017.

Certain Consumer Electronic Devices, Including Televisions, Gaming Consoles, Mobile Phones and Tablets, and Network-Enabled DVD and Blu-Ray Players, Inv. No. 337-TA-1060 (USITC May 9, 2017), stayed November 20, 2017, pending the filing of a motion to terminate.

Petitioner concurrently filed a separate petition for *inter partes* review against U.S. Patent No. 9,521,466 (“the ’466 Patent”) on November 8, 2017, Proceeding No. IPR2018-00075.

Patent Owner believes that the '466 patent is not and has not been involved in any other litigations, or any other proceedings before the patent trial and appeal board.

III. LEAD AND BACK-UP COUNSEL

The lead counsel for Patent Owner is:

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IV. SERVICE INFORMATION

Please address all correspondence to the lead and back-up counsel as shown above. Patent Owner consents to electronic service by e-mail to all of the e-mail addresses provided above, with a courtesy copy to **PTAB@skgf.com**.

Date: December 7, 2017

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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CERTIFICATE OF SERVICE (37 C.F.R. § 42.6(e))

The undersigned hereby certifies that the foregoing **PATENT OWNER'S MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8(a)(2)** and Patent Owner's Power of Attorney were served in their entireties electronically via e-mail on December 7, 2017, on the following counsel of record for Petitioner:

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Date: December 7, 2017

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