

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

UNIFIED PATENTS INC.

Petitioner,

v.

UNIVERSAL SECURE REGISTRY LLC

Patent Owner

Case IPR2018-00067

U.S. Patent No. 8,577,813

**PATENT OWNER'S CONTINGENT MOTION TO AMEND
UNDER 37 C.F.R. § 42.121**

TABLE OF CONTENTS

	<u>Page</u>
TABLE OF AUTHORITIES	ii
LIST OF EXHIBITS.....	iii
I. INTRODUCTION.....	1
II. THE SUBSTITUTE CLAIMS DO NOT EXPAND THE SCOPE OF THE CLAIMS OF THE '205 PATENT	2
III. PO PROPOSES A REASONABLE NUMBER OF SUBSTITUTE CLAIMS	3
IV. THE SUBSTITUTE CLAIMS DO NOT ADD NEW SUBJECT MATTER.....	3
V. THE PROPOSED SUBSTITUTE CLAIMS RESPOND TO AND OVERCOME THE GROUNDS OF RECORD	4
VI. Support for substitute claims 27-52.....	5
VII. CONCLUSION	12
APPENDIX A.....	i

TABLE OF AUTHORITIES

Page

Cases

Aqua Products, Inc. v. Joseph Matal et al.,
Case No. 2015-1177 (Fed. Cir. Oct. 4, 2017)..... 1, 4

Statutory Authorities

35 U.S.C. § 316(d)..... 1
35 U.S.C. § 316(d)(1)(B)..... 3
35 U.S.C. § 316(d)(3)..... 2
35 U.S.C. § 316(e)..... 4

Rules and Regulations

37 C.F.R. § 42.121..... 1
37 C.F.R. § 42.121(a)(2)..... 4
37 C.F.R. § 41.121(a)(2)(ii)..... 2
37 C.F.R. § 42.121(a)(3)..... 3
37 C.F.R. § 42.121(b)(1)..... 3
37 C.F.R. § 42.121(b)(2)..... 4

LIST OF EXHIBITS

Exhibit #	Description
Ex. 2003	U.S. Application No. 13/237,184.

I. INTRODUCTION

Pursuant to 35 U.S.C. § 316(d) and 37 C.F.R. § 42.121, Patent Owner Universal Secure Registry LLC (“USR”) submits this contingent motion (“Motion”) to substitute proposed claims 27-52 shown in Appendix A for original claims 1-26 of U.S. Patent No. 8,577,813 (“the ’813 Patent”) should any of claims 1-3 and 5-26 (“Challenged Claims”) be found unpatentable. Patent Owner has conferred with the Board prior to filing this Motion, as required by 37 C.F.R. § 42.121, and Board authorized the filing of this Motion in an Order *Conduct of Proceedings* entered June 20, 2018. *See* Paper 21.

In *Aqua Products, Inc. v. Joseph Matal et al.*, Case No. 2015-1177 (Fed. Cir. Oct. 4, 2017) (*en banc*), the Federal Circuit held that the burden of persuasion to establish that proposed amendments are patentable no longer rests with the patent owner. *Id.* at 5-6. Instead, it is the petitioner’s burden to prove unpatentability of the proposed amendments. *Id.* In a motion to amend, a patent owner need only satisfy its burden of production under 35 U.S.C. § 316(d) and 37 C.F.R. § 42.121.

As explained below, the proposed substitute claims satisfy the requisite showing for a motion to amend. They (1) “do not impermissibly enlarge the scope of the claims;” (2) present a “reasonable number of substitute claims;” (3) “do not introduce new subject matter;” and (4) “respond to a ground of unpatentability in the trial.” *See* 35 U.S.C. § 316(d); 37 C.F.R. § 42.121. Patent Owner has thus met

Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.