TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A TRADEMAR		
filed in the U.S. Distr		stern District	1116 you are hereby advised that a court act of Texas - Marshall Division s 35 U.S.C. § 292.):	on the following	
DOCKET NO. 2:15-cv-1460	DATE FILED 8/25/2015	U.S. DI	STRICT COURT Eastern District of Texas - Mars	hall Division	
PLAINTIFF		<u> </u>	DEFENDANT		
Vilox Technologies, LLC			The Priceline Group, Inc. and Price	eline.com, LLC	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	DEMARK	
1 US 6,760,720 B1	7/6/2004	Vilo	« Technologies, LLC		
2 US 7,188,100 B2	3/6/2007	Vilo	« Technolgies, LLC		
3 US 7,302,423 B2	11/27/2007	Vilo	c Technologies, LLC		
4 US 7,574,432 B1	8/11/2009	Vilo	Vilox Technologies, LLC		
5					
	In the above—entitled case	e, the following	patent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY	Amendment	☐ Answer ☐ Cross Bill [Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	L L	HOLDER OF PATENT OR TRA	DEMARK	
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In the abov	re—entitled case, the follow	wing decision h	as been rendered or judgement issued:		
DECISION/JUDGEMENT				•	
ORDERED that all claim action against Defendar WITH PREJUDICE.	ns brought by Plaintiff nts Priceline Group, In	in the above- c. and Priceli	-captioned ine.com, LLC are hereby dismissed		
CLERK		(BY) DEPUTY	CLERK	DATE	
David A. O'	oole	Charlene		12/21/15	

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

	_		1116 you are hereby advised that a court of Texas - Marshall Division	action has been on the following
filed in the U.S. Dist Trademarks or	Patents. (the patent a			on the following
DOCKET NO. 2:15-cv-1457	DATE FILED 8/25/2015		STRICT COURT Eastern District of Texas - Ma	rshall Division
PLAINTIFF			DEFENDANT	
Vilox Technologies, LLC	;	İ	Expedia, Inc. and Hotels.com, L.	P.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TI	RADEMARK
1 US 6,760,720 B1	7/6/2004	Vilo	c Technologies, LLC	
2 US 7,188,100 B2	3/6/2007	Vilo	k Technolgies, LLC	
3 US 7,302,423 B2	11/27/2007	Vilo	x Technologies, LLC	
4 US 7,574,432 B1	432 B1 8/11/2009 Vilox Technologies, LLC			
5				
DATE INCLUDED	INCLUDED BY	the following	patent(s)/ trademark(s) have been include	d: Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR T	RADEMARK
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In the above	ve—entitled case, the following	ing decision h	as been rendered or judgement issued:	
DECISION/JUDGEMENT				
IT IS HEREBY ORDER Expedia, Inc., Hotels.co	ED that all claims brougom LP, Orbitz Worldwide	ght by Plain e, Inc., and	tiff in the above-captioned action a Orbitz, LLC are hereby dismissed \	gainst Defendants WITH PREJUDICE.
CLERK) /	(BY) DEPUT	Y CLERK	DATE
Daniel A. O'	oole	Nakisha	Love	1/20/16

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

		/ 15110 C C 1111	1.1		
In Compliance filed in the U.S. Distr		or 15 U.S.C. § 1116 you are hereby advise stern District of Texas - Marshall Di		on the following	
		action involves 35 U.S.C. § 292.):			
DOCKET NO. 2:15-cv-1459	DATE FILED 8/25/2015	U.S. DISTRICT COURT Eastern District of	Texas - Mars	hall Division	
PLAINTIFF		DEFENDANT			
Vilox Technologies, LLC		Orbitz Worldwide, Ind	c. and Orbitz, I	LLC	
				:	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	1 HOLDER OF P.	ATENT OR TRA	DEMARK	
1 US 6,760,720 B1	7/6/2004	Vilox Technologies, LLC			
2 US 7,188,100 B2	3/6/2007	Vilox Technolgies, LLC			
3 US 7,302,423 B2	11/27/2007	Vilox Technologies, LLC			
4 US 7,574,432 B1	4 US 7,574,432 B1 8/11/2009 Vilox Technologies, LLC				
5					
	 				
· · · · · · · · · · · · · · · · · · ·	In the above—entitled case	e, the following patent(s)/ trademark(s) hav	e been included:		
DATE INCLUDED	INCLUDED BY	Amendment	Cross Bill	Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	I HOLDER OF P	ATENT OR TRA	DEMARK	
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DECISION/JUDGEMENT	e-entitled case, the follow	ving decision has been rendered or judgeme	ent issued:	· · · · · -	
	FD that all claims brou	ght by Plaintiff in the above-caption	ed action agai	inst Defendants	
		le, Inc., and Orbitz, LLC are hereby			
CLERK	٠. ــا ه	(BY) DEPUTY CLERK		DATE	
David A. O' Toole Nakisha Love 1/20/16					

Mail Stop 8 TO: Director of the U.S. Potent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING TRADEMA	A PATENT OR
filed in the U.S. Dist		Eastern Dis	1116 you are hereby advised that a court a trict of Texas, Marshall Division s 35 U.S.C. § 292.):	on the following
DOCKET NO. 2:16-cv-01278	DATE FILED 11/16/2016	U.S. DI	STRICT COURT for the Eastern District of Texas,	Marshall Division
PLAINTIFF Vilox Technologies, LLC	:		DEFENDANT MindGeek USA, Inc.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TR	AADEMARK
1 6,760,720 B1	7/6/2004	Vilox	Technologies, LLC	
2 7,188,100 B2	3/6/2007	Vilox	Technologies, LLC	
3 7,302,423 B2	11/27/2007	007 Vilox Technologies, LLC		
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	In the above—entitled case,	the following	patent(s)/ trademark(s) have been included	l:
DATE INCLUDED	INCLUDED BY	Amendment	☐ Answer ☐ Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TR	
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In the abov	re—entitled case, the followi	ng decision ha	s been rendered or judgement issued:	
DECISION/JUDGEMENT ORDER GRANTING PLAINTIFF'S VOLU	G JNTARY DISMISSAI		REJUDICE	
CLERK	(1)	BY) DEPUTY	CLERK	DATE
David A. O' fo	ole	Nakish	a Love	1/24/17

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A PATENT OR TRADEMARK			
filed in the U.S. Dist						
DOCKET NO. 2:16-cv-01278	DATE FILED 11/16/2016	U.S. DI	STRICT COURT for the Eastern District of Texas, Marshall Division			
PLAINTIFF	11/10/2010		DEFENDANT			
Vilox Technologies, LLC	;		MindGeek USA, Inc.			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK			
1 6,760,720 B1	7/6/2004	Vilox	Technologies, LLC			
2 7,188,100 B2	3/6/2007	Vilox	Technologies, LLC			
3 7,302,423 B2	11/27/2007	Vilox	Technologies, LLC			
4						
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	In the above—entitled case, the	he following	patent(s)/ trademark(s) have been included:			
DATE INCLUDED	INCLUDED BY	mendment	☐ Answer ☐ Cross Bill ☐ Other Pleading			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK			
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	e—entitled case, the following	g decision ha	s been rendered or judgement issued:			
DECISION/JUDGEMENT						
CLERK	(В	Y) DEPUTY	CLERK DATE			

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Complian filed in the U.S. Dis	ce with 35 U.S.C. § 290 ar	nd/or 15 U.S.C. § 1116 you are hereby advised that a court astern District of Texas - Marshall Division	action has been on the following
☐ Trademarks or [nt action involves 35 U.S.C. § 292.):	on the following
DOCKET NO. 2:15-cv-2019	DATE FILED 11/30/2015	U.S. DISTRICT COURT Eastern District of Texas - Ma	rshall Division
PLAINTIFF Vilox Technologies, LLC		DEFENDANT Costco Wholesale Corporation	
PATENT OR TRADEMARK NO.	DATE OF PATEN OR TRADEMAR	UOLDED OF DATENT OF THE	ADEMARK
1 US 6,760,720 B1	7/6/2004	Vilox Technologies, LLC	
2 US 7,188,100 B2	3/6/2007	Vilox Technolgies, LLC	
3 US 7,302,423 B2	11/27/2007	Vilox Technologies, LLC	
4 US 7,574,432 B1	8/11/2009	Vilox Technologies, LLC	
5	<u></u>		
DATE INCLUDED	INCLUDED BY	e, the following patent(s)/ trademark(s) have been included Amendment	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	r eross bin	Other Pleading ADEMARK
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	e—entitled case, the follow	ving decision has been rendered or judgement issued:	
DECISION/JUDGEMENT IT IS HEREBY ORDERE hereby dismissed withou	ED that Civil Action No it prejudice. Each part	 2:15-cv-2019 and all claims and defenses of the y shall bear its own fees and costs. 	e parties therein are
CLERK	P 0 - 1	(BY) DEPUTY CLERK	DATE
David A. O'	1000	Nakisha Love	3/22/16

Mail Stop 8

REPORT ON THE

Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		ACTION REGARDING A TRADEMAN	A PATENT OR	
filed in the U.S. Dist		stern District	1116 you are hereby advised that a court act of Texas - Marshall Division	on the following
				· · · · · · · · · ·
DOCKET NO. 2:15-cv-2025	DATE FILED 11/30/2015	U.S. DI	STRICT COURT Eastern District of Texas - Mars	shall Division
PLAINTIFF			DEFENDANT	
Vilox Technologies, LLC			Express, Inc. and Express, LLC	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	l l	HOLDER OF PATENT OR TRA	ADEMARK
1 US 6,760,720 B1	7/6/2004	Vilo	x Technologies, LLC	
2 US 7,302,423 B2	11/27/2007	Vilo	x Technologies, LLC	
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DATE INCLUDED	INCLUDED BY	e, the following	g patent(s)/ trademark(s) have been included:	☐ Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	ADEMARK
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In the abo	ve—entitled case, the follow	ving decision l	nas been rendered or judgement issued:	
DECISION/JUDGEMENT	To continue case, the follow			
CLERK		(BY) DEPUT	Y CLERK	DATE
CLERC		, ,		

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450

P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance filed in the U.S. Dist			1116 you are hereby advised that a court of Texas - Marshall Division	action has been on the following
	Patents. (the patent actio			
DOCKET NO. 2:15-cv-2025	DATE FILED 11/30/2015	U.S. DI	STRICT COURT Eastern District of Texas - Ma	rshall Division
PLAINTIFF			DEFENDANT	
Vilox Technologies, LLC	;		Express, Inc. and Express, LLC	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TI	RADEMARK
1 US 6,760,720 B1	7/6/2004	Vilo	r Technologies, LLC	
2 US 7,302,423 B2	11/27/2007	Vilo	« Technologies, LLC	
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DATE INCLUDED	In the above—entitled case, the INCLUDED BY	following	patent(s)/ trademark(s) have been include	d:
DATE INCEODED	☐ Amer	ndment	☐ Answer ☐ Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR T	RADEMARK
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In the abo	ve—entitled case, the following of	lecision h	as been rendered or judgement issued:	
DECISION/JUDGEMENT				
All claims asserted by F prejudice. Each party w	Plaintiff against Defendants rill bear its own costs and at	Expres: torneys	s, Inc. and Express, LLC are hereb	y dismissed without
CLERK	(BY)	DEPUT	CLERK	DATE
Danis A. O'	Toole No	akisha	Love	2/22/16

AO 120 (Rev Case 2:15-cv-02026-JRG Document 7 Filed 02/24/16 Page 1 of 1 PageID #: 37

Mail Stop 8
TO: Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

filed in the U.S. I	hstnet Court	IS U.S.C. § 1116 you are hereby advised to for the Eastern District of Texas	that a court action has been on the following
Trademarks or	Patents. () the patent act	ion involves 35 U.S.C. § 292.):	
DOCKET NO.	DATE FILED 11/30/2015	U.S. DISTRICT COURT for the Easten	n District of Texas
PLAINTIFF Ruby Sands LLC		DEFENDANT Woodforest Bank	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PAT	ENT OR TRADEMARK
1 6891633	1/29/2013	Ruby Sands LLC	
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DATE INCLUDED	INCLUDED BY	r following patem(s)/ trademark(s) have be	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATI	ENT OR TRADEMARK
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In the ai	ove—entitled case, the following	decision has been randered or judgement i	secod.
•		d for good cause shown, finds the children is dismissed with pre	
CLERK		DEPUTY CLERK	DATE
David A. O'	toole	Nakisha Love	2/24/16

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN **ACTION REGARDING A PATENT OR TRADEMARK**

In Complianc		r 15 U.S.C. § 1116 you are l ern District of Texas - M	hereby advised that a court action has been Marshall Division on the following		
☐ Trademarks or	Patents. (the patent a	ection involves 35 U.S.C. § 2	292.):		
DOCKET NO. 2:15-cv-1459	DATE FILED 8/25/2015		n District of Texas - Marshall Division		
PLAINTIFF		DEFENDAN			
Vilox Technologies, LLC		Orbitz Wo	orldwide, Inc. and Orbitz, LLC		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	НО	OLDER OF PATENT OR TRADEMARK		
ı US 6,760,720 B1	7/6/2004	Vilox Technologi	ies, LLC		
2 US 7,188,100 B2	3/6/2007	Vilox Technolgie	es, LLC		
3 US 7,302,423 B2	2 11/27/2007 Vilox Technologies, LLC				
4 US 7,574,432 B1	8/11/2009	Vilox Technologies, LLC			
5					
	In the above—entitled case,	the following patent(s)/ trade	lemark(s) have been included:		
DATE INCLUDED		mendment	er Cross Bill Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	но	OLDER OF PATENT OR TRADEMARK		
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In the abov	ve—entitled case, the following	ng decision has been rendere	ed or judgement issued:		
DECISION/JUDGEMENT					
CLERK		BY) DEPUTY CLERK	DATE		
CLERK		31) DEPUTT CLERK	DATE		

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliand filed in the U.S. Dis			1116 you are hereby advised that a court of Texas - Marshall Division	t action has been on the following
	Patents. (the patent ac			
DOCKET NO. 2:15-cv-1458	DATE FILED 8/25/2015	U.S. DI	STRICT COURT Eastern District of Texas - M	arshall Division
PLAINTIFF	-		DEFENDANT	
Vilox Technologies, LLC			Foot Locker, Inc. and Footlocke	er.com, Inc.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR 1	FRADEMARK
I US 7,188,100 B2	3/6/2007	Vilo	Technologies, LLC	
2 US 7,574,432 B1	8/11/2009	Vilo	r Technolgies, LLC	
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DATE INCLUDED	INCLUDED BY	e following	patent(s)/ trademark(s) have been includ	ed:
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR T	FRADEMARK
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In the above	ve—entitled case, the following	g decision h	as been rendered or judgement issued:	
DECISION/JUDGEMENT				
CLERK				
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Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance filed in the U.S. Dist		U.S.C. § 1116 you are hereby advised that a court action has been District of Texas - Marshall Division on the fo	llowing	
☐ Trademarks or	Patents. (the patent act	n involves 35 U.S.C. § 292.):		
DOCKET NO. 2:15-cv-1460	DATE FILED 8/25/2015	U.S. DISTRICT COURT Eastern District of Texas - Marshall Division	n	
PLAINTIFF		DEFENDANT		
Vilox Technologies, LLC	;	The Priceline Group, Inc. and Priceline.com,	LLC	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		
I US 6,760,720 B1	7/6/2004	Vilox Technologies, LLC		
2 US 7,188,100 B2	3/6/2007	Vilox Technolgies, LLC		
3 US 7,302,423 B2	11/27/2007	Vilox Technologies, LLC		
4 US 7,574,432 B1	8/11/2009	8/11/2009 Vilox Technologies, LLC		
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	In the above—entitled case, the	ollowing patent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY ☐ Am	dment Answer Cross Bill Other Ple	ading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		
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	ve—entitled case, the following	ecision has been rendered or judgement issued:		
DECISION/JUDGEMENT				
CLERK	(B)	DEPUTY CLERK DATE		

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance		15 U.S.C. § 1116 you are hereby advised that a court acti m District of Texas - Marshall Division	ion has been on the following
		ion involves 35 U.S.C. § 292.):	
DOCKET NO. 2:15-cv-1457	DATE FILED 8/25/2015	U.S. DISTRICT COURT Eastern District of Texas - Mars	hall Division
PLAINTIFF	0/20/20/0	DEFENDANT	
Vilox Technologies, LLC		Expedia, Inc. and Hotels.com, L.P.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRA	DEMARK
I US 6,760,720 B1	7/6/2004	Vilox Technologies, LLC	
2 US 7,188,100 B2	3/6/2007	Vilox Technolgies, LLC	
3 US 7,302,423 B2	11/27/2007	Vilox Technologies, LLC	
4 US 7,574,432 B1	8/11/2009	Vilox Technologies, LLC	
5			
DATE INCLUDED	In the above—entitled case, th	e following patent(s)/ trademark(s) have been included:	
		nendment Answer Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRA	DEMARK
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In the abo	ve—entitled case, the following	g decision has been rendered or judgement issued:	
DECISION/JUDGEMENT			
CLERK	(B	Y) DEPUTY CLERK	DATE

Case 1:13-cv-01034-GMS Document 34 Filed 12/09/14 Page 1 of 3 PageID #: 349 Case 1:13-cv-01034-GMS Document 3 Filed 06/07/13 Page 1 of 1 PageID #: 202

AO 120 (Rev. 08/10) REPORT ON THE Mail Stop 8 ITO: FILING OR DETERMINATION OF AN Director of the U.S. Patent and Trademark Office ACTION REGARDING A PATENT OR P.O. Box 1450 Alexandria, VA 22313-1450 TRADEMARK In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been Delaware on the following filed in the U.S. District Court ☑ Patents. (☐ the patent action involves 35 U.S.C. § 292.): ☐ Trademarks or U.S. DISTRICT COURT DOCKET NO. DATE FILED 6/7/2013 Delaware DEFENDANT PLAINTIFF Buy.com Inc. Smart Search Concepts, LLC DATE OF PATENT PATENT OR HOLDER OF PATENT OR TRADEMARK TRADEMARK NO. OR TRADEMARK 1 US 7,188,100 B2 3/6/2007 Smart Search Concepts, LLC Smart Search Concepts, LLC 11/27/2007 2 US 7,302,423 B2 3 US 7,574,432 B1 8/11/2009 Smart Search Concepts, LLC In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED BY Cross Bill ☐ Other Pleading ☐ Answer ☐ Amendment PATENT OR DATE OF PATENT HOLDER OF PATENT OR TRADEMARK TRADEMARK NO. OR TRADEMARK 2 In the above-entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT Dismissed - See Attach (BY) DEPUTY CLERK DATE CLERK John A Cerino, Clerk **United States District Court** 844 N. King Street, Unit 18 Wilmington, DE 19801
Copy 1—Upon initiation of action, mail this copy to Director
Copy 3—Upon termination of action, mail this copy to Director

Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Case 1:13-cv-01034-GMS Document 34 Filed 12/09/14 Page 2 of 3 PageID #: 350

Case 1:13-cv-01034-GMS Document 33 Filed 12/04/14 Page 1 of 2 PageID #: 347

Case 1:13-cv-01034-GMS Document 32 Filed 11/21/14 Page 1 of 2 PageID #: 345

IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

SMART SEARCH CONCEPTS, LLC,)
Plaintiff,)
)
v. .) Civil Action No. 1:13-cv-1034-GMS
)
BUY.COM INC.,)
Defendant.)
)

STIPULATED MOTION FOR DISMISSAL

The plaintiff Smart Search Concepts, LLC and defendant Buy.com, Inc. (now Rakuten Commerce LLC d/b/a Buy.com) pursuant to Fed. R. Civ. P. 41(a)(2) and (c), hereby move for an order dismissing all of Plaintiff's claims in this action WITH PREJUDICE and all of Defendant's counterclaims in this action WITHOUT PREJUDICE, subject to the terms of that certain agreement entitled "SETTLEMENT AND LICENSE AGREEMENT" and dated October 23, 2014, with each party to bear its own costs, expenses and attorneys' fees.

Ex. 1002 / Page 15 of 415

Case 1:13-cv-01034-GMS Document 34 Filed 12/09/14 Page 3 of 3 PageID #: 351

STAMOULIS & WEINBLATT LLC

/s/ Richard C. Weinblatt Stamatios Stamoulis #4606

stamoulis@swdelaw.com Richard C. Weinblatt #5080

weinblatt@swdelaw.com

Two Fox Point Centre 6 Denny Road, Suite 307 Wilmington, DE 19809 (302) 999-1540

Attorneys for Plaintiff Smart Search Concepts, LLC

MORGAN LEWIS & BOCKIUS LLP

/s/ Jody C. Barillare

Jody C. Barillare (#5107)

jbarillare@morganlewis.com

The Nemours Building

1007 North Orange Street, Suite 501

Wilmington, DE 19801

Telephone: (302) 574-3000

Jason C. White (admitted pro hac vice) jwhite@morganlewis.com

Scott D. Sherwin (admitted pro hac vice)

ssherwin@morganlewis.com MORGAN LEWIS & BOCKIUS LLP

77 West Wacker Drive

Chicago, IL 60601

Telephone: (312) 324-1000

Attorneys for Defendant

Buy.com Inc.

SO ORDERED, this 2 day of 14

, 2014

Hon. Gregory M. Sleet United States District Judge

PATENT 7,302,423

In re the Application of

Joseph L. DE BELLIS

Application No.: 09/935,565

Filed: August 24, 2001

Group Art Unit: 2164

Examiner: Leslie Wong

Docket No.: 5607

Confirmation No. 9677

For: SEARCH-ON-THE-FLY WITH MERGE FUNCTION

NOTICE UNDER 37 C.F.R. §1.27(g)

Mail Stop: Post Issuance Commissioner for Patents P.O. Box 1450 Alexandria, VA 22323-1450

Sir:

Applicant hereby notifies the Office of a loss of entitlement to small entity status with respect to the above-identified patent application, now U.S. Patent 7,302,423.

Respectfully submitted,

Jóhn K. Harrop

Registration No. 41,817

Date: January 20, 2015

John K. Harrop PO Box 320171 Alexandria, VA 22320

AO 120 (Rev. 08/10)		
Mail Stop 8 TO: Director of the U.S. Patent and Trademark Offic P.O. Box 1450 Alexandria, VA 22313-1450		REPORT ON THE Office FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
filed in the U.S. Di	strict Court	5 U.S.C. § 1116 you are hereby advised that a court action has been Delaware on the following
	☑ Patents. (☐ the patent action	
DOCKET NO.	DATE FILED 6/7/2013	U.S. DISTRICT COURT Delaware
PLAINTIFF Smart Search Concepts, LLC		DEFENDANT Wal-Mart Stores, Inc. and Sam's West, Inc.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 7,188,100 B2	3/6/2007	Smart Search Concepts, LLC
2 US 7,302,423 B2	11/27/2007	Smart Search Concepts, LLC
3 US 7,574,432 B1	8/11/2009	Smart Search Concepts, LLC
4		
5		
	In the above—entitled case, the	following patent(s)/ trademark(s) have been included:
DATE INCLUDED	INCLUDED BY	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
2		
3		
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5		
In the ab-	ove—entitled case, the following of	decision has been rendered or judgement issued:
	missed - Ge	ee Attached
John A Cer CLERK United Stat) DEPUTY CLERK DATE
844 N. King	g Street, Unit 18 b. DE 19801	1/8/4 V8/14

То:	Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313–1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Southern District of California on the following: _X_ Patents or _____ Trademarks:

DOCKET NO.	DATE FILED	US District Court Southern District of California
3:14-cv-00267-MMA-NLS	2/4/14	San Diego, CA
PLAINTIFF		DEFENDANT
Dart Neuroscience LLC		Dart Therapeutics, Inc., et al.
PATENT OR TRADEMARK NO.	PATENT OR TRADEMARK NO.	PATENT OR TRADEMARK NO.
1. 3.836.770	6.	11.
2, 3,836,769	7.	12.
3.	8.	13.
4.	9.	14.
5	10.	15.

In the above–entitled case, the following patents(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	Amendment Answer Cross	
PATENT OR TRADEMARK NO.	PATENT OR TRADEMARK NO.	PATENT OR TRADEMARK NO.
1.	6.	11.
2.	7.	12.
3.	8.	13.
4	9.	14.
5.	10.	15.

In the above-entitled case, the following decision has been rendered or judgment issued:

DECISION/JUDGMENT	Notice of Voluntary Dismissal	
1		

CLERK	(BY) DEPUTY CLERK	DATE
John Morrill Acting Clerk of Court	A. Garcia	07/08/2014

AO 120 (Rev. 08/10)			
	Mail Stop 8 U.S. Patent and Trademark O P.O. Box 1450 andria, VA 22313-1450	REPORT ON THE Office FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK	
filed in the U.S. D	istrict Court	15 U.S.C. § 1116 you are hereby advised that a court action has been Delaware on the following	
☐ Trademarks or	Patents. (the patent action		
DOCKET NO.	DATE FILED 6/7/2013	U.S. DISTRICT COURT Delaware	
PLAINTIFF Smart Search Concepts, LLC		DEFENDANT Neiman Marcus, Inc., The Neiman Marcus Group, Inc. and Bergdorfgoodman.com, LLC	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1 US 7,188,100 B2	3/6/2007	Smart Search Concepts, LLC	
2 US 7,302,423 B2	11/27/2007	Smart Search Concepts, LLC	
3 US 7,574,432 B1	8/11/2009	Smart Search Concepts, LLC	
4			
5			
	In the above—entitled case, the	e following patent(s)/ trademark(s) have been included:	
DATE INCLUDED	INCLUDED BY	endment	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1			
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3			
4			
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In the a	bove—entitled case, the following	decision has been rendered or judgement issued:	
DECISION/JUDGEMENT	See Attached		
	es District Court Street, Unit 18	DATE MARIE DATE S/21/14	

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A PATENT OR TRADEMARK		
filed in the U.S. Di			Delaware on the following on the following s 35 U.S.C. § 292.):		
DOCKET NO.	DATE FILED 6/7/2013	U.S. DI	STRICT COURT Delaware		
PLAINTIFF			DEFENDANT		
Smart Search Concept	s, LLC		Neiman Marcus, Inc., The Neiman Marcus Group, Inc. and Bergdorfgoodman.com, LLC		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1 US 7,188,100 B2	3/6/2007	Sma	art Search Concepts, LLC		
2 US 7,302,423 B2	11/27/2007	Sma	art Search Concepts, LLC		
3 US 7,574,432 B1	8/11/2009	Sma	art Search Concepts, LLC		
4					
5					
	In the above—entitled case, the	ne following	patent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY ☐ Am	nendment	☐ Answer ☐ Cross Bill ☐ Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
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In the abo	ove—entitled case, the following	g decision h	as been rendered or judgement issued:		
DECISION/JUDGEMENT					
CLERK	(B.	Y) DEPUTY	CLERK DATE		
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TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A TRADEMAI	1	
filed in the U.S. Dist			1116 you are hereby advised that a court ac Delaware s 35 U.S.C. § 292.):	on the following	
DOCKET NO.	DATE FILED 6/7/2013	U.S. DISTRICT COURT Delaware			
PLAINTIFF Smart Search Concepts			DEFENDANT Buy.com Inc.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	ADEMARK	
I US 7,188,100 B2	3/6/2007	Sma	rt Search Concepts, LLC		
2 US 7,302,423 B2	11/27/2007	Sma	rt Search Concepts, LLC		
3 US 7,574,432 B1	8/11/2009	Sma	rt Search Concepts, LLC		
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	In the above—entitled case th	e following	patent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY	nendment	Answer Cross Bill	Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
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In the abo	ve—entitled case, the following	g decision h	as been rendered or judgement issued:		
DECISION/JUDGEMENT					
CLERK	(B)	Y) DEPUT	Y CLERK	DATE	

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A PATENT OR TRADEMARK
filed in the U.S. Dis			Delaware on the following on the following s 35 U.S.C. § 292.):
DOCKET NO.	DATE FILED 6/7/2013	U.S. DISTRICT COURT Delaware	
PLAINTIFF Smart Search Concepts			DEFENDANT Wal-Mart Stores, Inc. and Sam's West, Inc.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
I US 7,188,100 B2	3/6/2007	Sma	art Search Concepts, LLC
2 US 7,302,423 B2	11/27/2007	Sma	art Search Concepts, LLC
3 US 7,574,432 B1	8/11/2009	Sma	art Search Concepts, LLC
4			
5			
DATE INCLUDED	INCLUDED BY		patent(s)/ trademark(s) have been included:
PATENT OR	DATE OF PATENT	ndment	Answer Cross Bill Other Pleading
TRADEMARK NO.	OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
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In the abo	ove—entitled case, the following of	decision h	as been rendered or judgement issued:
DECISION/JUDGEMENT			
CLERK	(BY)	DEPUT	Y CLERK DATE

OP \$40.00 730242

PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Joseph L De Bellis	02/20/2013

RECEIVING PARTY DATA

Name:	Pedestrian Concepts LLC
Street Address:	80 Sanford Place
City:	Southampton
State/Country:	NEW YORK
Postal Code:	11968

PROPERTY NUMBERS Total: 1

Property Type	Number
Patent Number:	7302423

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 202-714-8670

Email: harrop5512@yahoo.com

Correspondent Name: John K. Harrop
Address Line 1: PO Box 320171

Address Line 4: Alexandria, VIRGINIA 22320

ATTORNEY DOCKET NUMBER:	5607	
NAME OF SUBMITTER:	John K. Harrop	
	This document serves as an Oath/Declaration (37 CFR 1.63).	

Total Attachments: 1

source=423 ASSIGNMENT#page1.tif

ASSIGNMENT

For valuable consideration, I, Joseph L. De Bellis of Southampton, New York, hereby assign to Pedestrian concepts LLC, a corporation of Delaware having a place of business at 80 Sanford Place, Southampton, New York; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of a United States Patent 7,302,423 signed by me, entitled Search-On-The-Fly With Merge Function, filed August 24, 2001; and I agree for myself and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Date: 2/20/3

Typed or Printed Name

Attorney Dkt. 5607

Page PAGE1 of NUMPAGES1



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspio.gov

APPLICATION NUMBER 09/935,565

FILING OR 371(C) DATE 08/24/2001

FIRST NAMED APPLICANT Joseph De Bellis

ATTY. DOCKET NO./TITLE 5607

CONFIRMATION NO. 9677 MISCELLANEOUS NOTICE

38598 ANDREWS KURTH LLP 1350 I STREET, N.W. **SUITE 1100** WASHINGTON, DC 20005



Date Mailed: 11/02/2011

A communication which cannot be delivered in electronic form has been mailed to the applicant.

Doc Code: N572



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. BOX 1450 Alexandria, Virginia 22313-1450

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/935,565	08/24/2001	Joseph De Bellis	5607

CONFIRMATION NO. 9677

OC00000050711310

38598 ANDREWS KURTH LLP 1350 | STREET, N.W. SUITE 1100

WASHINGTON, DC 20005

Cc: John K. Harrop PO Box 320171 Alexandria, VA 22320

Date Mailed: 11/01/2011

DENIAL OF REQUEST FOR POWER OF ATTORNEY

The request for Power of Attorney filed _____10/25/2011 ____ is acknowledged. However, the request cannot be granted at this time for the reason stated below. The Power of Attorney you provided did not comply with the new Power of Attorney rules that became effective on June 25, 2004. See 37 CFR 1.32. ☐ The revocation is not signed by the applicant, the assignee of the entire interest, or one particular principal attorney having the authority to revoke. The Power of Attorney is from an assignee and the Certificate required by 37 CFR 3.73(b) has not been received. The person signing for the assignee has omitted their empowerment to sign on behalf of the assignee. ☐ The inventor(s) is without authority to appoint attorneys since the assignee has intervened as provided by 37 CFR 3.71. , a co-inventor in this application, has been omitted. ☐ The signature(s) of _ The Power of Attorney will be entered upon receipt of confirmation signed by said co-inventor(s). ☐ The person(s) appointed in the Power of Attorney is not registered to practice before the U.S. Patent and Trademark Office. Questions relating to this Notice should be directed to the Application Assistance Unit. Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

OCT 2 5 2011 W

A . See

PTO/SB/81 (01-09)
Approved for use through 11/30/2011. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

the Paperwork Residion Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

OR REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND

CHANGE OF CORRESPONDENCE ADDRESS

TOWER OF ATTORNEY

Application Number	09/935,565
Filing Date	August 24, 2001
First Named Inventor	Joseph L. DeBellis III
Title	SEARCH-ON-THE-FLY WITH MERGE FUNCTION
Art Unit	2177
Examiner Name	Leslie WONG
Attorney Docket Number	147747.01

I hereby revoke all	previous powers of attorney given in the	e above-ider	ntified application.	
	······································			
OR	orney is submitted herewith.	Γ		
I hereby appoir Number as my/ identified above	at Practitioner(s) associated with the following Cus four attorney(s) or agent(s) to prosecute the applic e, and to transact all business in the United States Office connected therewith:	ation		
	at Practitioner(s) named below as my/our attorney(usiness in the United States Patent and Trademan			on identified above, and
	Practitioner(s) Name		Registration Number	ber
John K. H	arrop		41,817	
Please recognize	or change the correspondence address	for the above	e-identified applicati	on to:
The address as	sociated with the above-mentioned Customer Nur	mber.		
	sociated with Customer Number:			
Firm or Individual Name	John K. Harrop		·	
Address	PO Box 320171			
City	Alexandria	State	VA	Zip 22320
Country	US			
Telephone	202-714-8670	Email	harrop5512@yah	oo.com
i am the: Applicant/Invent OR	or.			
	ord of the entire interest. See 37 CFR 3.71. or 37 CFR 3.73(b) (Form PTO/SB/96) submitted he	erewith or filed o	on	
	etc. APRE of Applicant	or Assignee o	f Record	1.2.1.
Signature			Date 10	וון צון
Name	Joseph DeBetts		Telephone 631	-287-1234
Title and Company	CEO, Pedestrian Concepts, LLC	_		
NOTE: Signatures of all the signature is required, see	ne inventors or assignees of record of the entire interest pelow*.	or their represent	ative(s) are required. Submi	it multiple forms if more than one
*Total of	forms are submitted.			

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an epplication. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IFW

Doc Code: TRAN.LET

Document Description: Transmittal Letter

PTO/SB/21 (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

A A A	perwork Reduction Act of 1995.	no persons	Application Number	09/935,565		s it displays a valid OMB control number.
如	A NICERITY A I		Filing Date	<u> </u>		
5 2011]	ANSMITTAL		First Named Inventor	August 24,		
T Z J Z Z Z Z	FORM		Art Unit	Joseph L. I	DeBellis III	
5				2177		
RANGE Used for	all correspondence after initial fi	iling)	Examiner Name	Leslie WOI	NG	
	Pages in This Submission 2		Attorney Docket Number	147747.01		
		= 1101	AGUIDEO			
		ENCL	OSURES (Check all)	that apply	í	r Allowance Communication to TC
Fee Trans	smittal Form		Drawing(s)			Allowance Communication to TC
☐ F	ee Attached	ı	icensing-related Papers			eal Communication to Board ppeals and Interferences
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Amendm	ent/Reply		Petition to Convert to a			
<u> </u> ^	fter Final		Provisional Application Power of Attorney, Revocation	,	☐ Pro	prietary Information
L A	ffidavits/declaration(s)		Change of Correspondence A			tus Letter
Extension	of Time Request		Terminal Disclaimer		Oth beld	er Enclosure(s) (please Identify pw):
٦	Abandonment Request	F	Request for Refund			
Information	on Disclosure Statement	CD, Number of CD(s)				
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	SIGNA	TURE C	F APPLICANT, ATTO	RNEY, C	RAGENI	
Firm Name	John K. Harrop		1			
Signature			\sqrt{l}	<u>ll.</u>	Ha	my
Printed name	John K. Harrop		Y			/
Date	October 25, 2011 Reg. No. 41,817					
(CE	ERTIFIC	CATE OF TRANSMISSI	ON/MAI	LING	
I hereby certify the sufficient postage	at this correspondence is be	eing facsi	mile transmitted to the USPT0 dressed to: Commissioner for	O or depos Patents, F	ited with the P.O. Box 145	United States Postal Service with 0, Alexandria, VA 22313-1450 on
the date shown b	elow:				-	
Signature						
					Dat	e
Typed or printed	name					

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.





PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Joseph L. DE BELLIS

Group Art Unit: 2164

Application No.: 09/935,565

Examiner:

L. WONG

Filed:

August 24, 2001

Docket No.: 147747.01

For:

SEARCH-ON-THE-FLY WITH MERGE FUNCTION

NOTICE OF CHANGE OF ADDRESS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please address all future communications in connection with the above-

identified application to:

OLIFF & BERRIDGE, PLC CUSTOMER NUMBER 25944

Respectfully submitted,

John K. Harrop

Registration No. 41,817

JKH/amt

Date: December 30, 2010

OLIFF & BERRIDGE, PLC P.O. Box 320850 Alexandria, Virginia 22320-4850

Telephone: (703) 836-6400

DEPOSIT ACCOUNT USE AUTHORIZATION

Please grant any extension necessary for entry of this filing; Charge any fee due to our Deposit Account No. 15-0461



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/935.565	11/27/2007	7302423	5607	9677

38598

7590

11/07/2007

ANDREWS KURTH LLP 1350 I STREET, N.W. SUITE 1100 WASHINGTON, DC 20005

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 1028 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Joseph De Bellis, Southampton, NY;

IR103 (Rev. 11/05)

PART B- FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Stop ISSUE FEE
Commissioner for Patents

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 (571)-273-2885



INSTRUCTIONS: This form shou	ld be used for tran	smitting the IS	CHE FFF	and PUBLICATIO	N FFF (if required) Blacks	1 through 5 should be	completed where
appropriate. All further correspond indicated unless corrected below or							
maintenance fee notifications. CURRENT CORRESPONDENCE ADDR					Note: A certificate of mailing c		
CURRENT CORREST ON DETECT ADDITIONS (NOTE: Use Dioce 1 for any change of addition)			Fee(s) Transmittal. This certificate cannot be used for any other accompanying				
38598 7590	09/25/2007				papers. Each additional paper, s have its own certificate of mailing		ormal drawing, must
ANDREWS KURTH L	LP					-	
1350 I STREET, N.W.					Certificate o I hereby certify that this Fee(s)	of Mailing or Transmission Transmittal is being depos	
SUITE 1100					States Postal Service with suffic	ient postage for first class	mail in an envelope
WASHINGTON, DC 2	0005				addressed to the Box Issue Fee a the USPTO, on the date indicated		simile transmitted to
							(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING	DATE	FIRST NA	MED INVENTOR	ATTORNEY DOCKET NO.		ATION NO.
09/935,565	08/24/	2001	Josep	h De Bellis	5607	96	77
TITLE OF INVENTION: SEAR	CH-ON-THE-FLY	WITH MERGE	FUNCTION	NC			
APPLN. TYPE	SMALL ENTITY	ISSUE FEE I	DUE PI	UBLICATION FEE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	•	\$300	\$0	\$1000	12/26/2007 99935565
EXAMINER		ART UN	IIT	CLASS-SUBCLA			83337107
WONG, LESLIE		2164		707-003000		720.00 DA 300.00 DA	
Change of correspondence address of the correspondence addres	r indication of "Fee	Address" (37	2. For	printing on the paten	t front page, list 2001	9.00 DA	
CFR 1.363).		•	(1) the	names of up to 3 reg	sistered patent attorneys	1	
☐Change of correspondence address _Address form PTO/SB/122) attach	(or Change of Corre	spondence	1 -	nts OR, alternatively,		2 ANDDEWS	KURTH LLP
□"Fee Address" indication (or "Fee.	Address* Indication f	orm	registe	ered attorney or agen	m (having as a member a t) and the names of up to	2 ANDREWS	KUKIII LLI
PTO/SB/47; Rev 03-02 or more red Number is required.	cent) attached. Use o	f a Customer		stered patent attorney no name will be prin	s or agents. If no name is	3	
3. ASSIGNEE NAME AND RESIDEN	NCE DATA TO BE F	RINTED ON TI					
PLEASE NOTE: Unless an assign recordation as set forth in 37 CFR					n assignee is identified below, the	e document has been filed	for
	3.11. Completion of						
(A) NAME OF ASSIGNEE	-4- I I C	(D) KESIDI	•	ATE OR COUNTRY)		
Please check the appropriate assignee cate		Il not be printed o	n the natent	Dover, DE ☐ individual		te group entity 💢 🗍	government
4a. The following fee(s) are enclosed			nent of Fee		e corporation of other priva	te group entity	government
☑ Issue Fee		☐ A ch	eck in the	amount of the fee(s)) is enclosed.		
☑ Publication Fee (No small entity)	discount permitted)	_ •	•	edit card. Form PTC			
☑ Advance Order - # of Copies	3	⊠ The Dep	Director is osit Accou	hereby authorized on Number 50-2849	by charge the required fee(s), o (enclose an extra copy of this	or credit any overpaymen form).	nt, to
5. Change in Entity Status (from st	tatus indicated above						
a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.							
The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.							
Authorized Signature	Jh N x	tany		Date October	17, 2007		_
Typed or printed name	John K. Har	rop /		Registration No. 4	1,817		

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TTORNEY DOCKET NO.: 5607

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ventor(s): Joseph De Bellis C

Confirmation No.: 9677

...

PATENT APPLICATION

Application No.:

09/935,565

Examiner:

L. Wong

Filing Date:

August 24, 2001

Group Art Unit:

2164

Title:

SEARCH-ON-THE-FLY WITH MERGE FUNCTION

ISSUE FEE PAYMENT TRANSMITTAL

Mail Stop Box Issue Fee Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

In accordance with the Notice of Allowance and Issue Fee Due mailed September 25, 2007, applicant is submitting herewith the Issue Fee Transmittal (Part B).

Please charge the Issue Fee, Publication Fee and advanced patent copies fee in the amount of \$1,009.00 to Deposit Account No. 50-2849. In the event any variance exists between the amount authorized to be charged and the Patent Office charges, please charge or credit any such variance to Deposit Account No. 50-2849.

Respectfully submitted,

ANDREWS KURTH LLP

Intellectual Property Department 1350 I Street, NW Suite 1100

Washington, D.C. 20005

Telephone No.: (202) 662-2700 Facsimile No.: (202) 662-2739

John/K. Harrop

Attorney/Agent for Applicant(s)

Reg. No. 41,817

Date: October 17, 2007

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1430 Alexandria, Virginia 22313-1450

NOTICE OF ALLOWANCE AND FEE(S) DUE

38598

7590

09/25/2007

ANDREWS KURTH LLP 1350 I STREET, N.W. SUITE 1100 WASHINGTON, DC 20005 EXAMINER

WONG, LESLIE

ART UNIT PAPER NUMBER

2164

DATE MAILED: 09/25/2007

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/935 565	08/24/2001	Joseph De Bellis	5607	9677

TITLE OF INVENTION: SEARCH-ON-THE-FLY WITH MERGE FUNCTION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$0	\$1000	12/26/2007

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUIF

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

appropriate. All further indicated unless correcte maintenance fee notificate	correspondence including a below or directed oth tions.	ig the Patent, advance of herwise in Block 1, by (a	rders and notification of n a) specifying a new corres	naintenance fees wi pondence address;	ill be mailed to the current and/or (b) indicating a sepa	correspondence address as arate "FEE ADDRESS" for	
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.			
38598 7590 09/25/2007			-	Cert	ificate of Mailing or Trans	mission	
ANDREWS K 1350 I STREET, SUITE 1100	, N.W.		I he Stat addi tran	reby certify that thi es Postal Service w ressed to the Mail smitted to the USPT	s Fee(s) Transmittal is being ith sufficient postage for fire Stop ISSUE FEE address O (571) 273-2885, on the d	g deposited with the United st class mail in an envelope above, or being facsimile late indicated below.	
WASHINGTON	I, DC 20005					(Depositor's name)	
						(Signature)	
						(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/935,565	08/24/2001		Joseph De Bellis	s 5607		9677	
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APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE			
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EXAM		ART UNIT	CLASS-SUBCLASS	J			
WONG,	LESLIE	2164	707-003000	satant front mana lio	•		
CFR 1.363).			(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,				
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
PLEASE NOTE: Un recordation as set fort (A) NAME OF ASSI	less an assignee is ident h in 37 CFR 3.11. Com GNEE	ified below, no assignee pletion of this form is NO	(B) RESIDENCE: (CITY	natent. If an assigner assignment. Y and STATE OR C	OUNTRY)	locument has been filed for	
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4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) ☐ Issue Fee							
☐ Publication Fee (No small entity discount permitted) ☐ Payment by credit card. Form PTO-2038 is attached.							
Advance Order -	# of Copies		☐ The Director is hereb overpayment, to Depo	y authorized to char osit Account Number	ge the required fee(s), any der(enclose a	eficiency, or credit any an extra copy of this form).	
	is SMALL ENTITY stat	us. See 37 CFR 1.27.		<u> </u>	LL ENTITY status. See 37 C	(6)(7)	
NOTE: The Issue Fee ar interest as shown by the	nd Publication Fee (if rec records of the United St	uired) will not be accepte ates Patent and Trademar	ed from anyone other than k Office.	the applicant; a regi	stered attorney or agent; or t	he assignee or other party in	
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This collection of inform an application. Confider submitting the complete this form and/or suggest Box 1450, Alexandria, V Alexandria, Virginia 22:	nation is required by 37 of tallity is governed by 33 of application form to the constant of the depth of the constant of the	CFR 1.311. The informati 5 U.S.C. 122 and 37 CFR e USPTO. Time will var urden, should be sent to to O NOT SEND FEES OR	ion is required to obtain or 1.14. This collection is es y depending upon the indi he Chief Information Offic COMPLETED FORMS T		he public which is to file (an minutes to complete, includi mments on the amount of t Trademark Office, U.S. Dep S. SEND TO: Commissioner displays a valid OMB contro	nd by the USPTO to process) ng gathering, preparing, and ime you require to complete oartment of Commerce, P.O. for Patents, P.O. Box 1450, ol number.	



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO). FII	LING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/935,565 08/24/2001		8/24/2001	Joseph De Bellis	5607	9677
38598	7590	09/25/2007		EXAM	INER
ANDREWS KURTH LLP			WONG, LESLIE		
1350 I STREI	ET, N.W.		·	ART UNIT	PAPER NUMBER
SUITE 1100 WASHINGTON, DC 20005		05	·	2164 DATE MAILED: 09/25/200	7

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1028 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1028 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)
	09/935,565	DE BELLIS, JOSEPH
Notice of Allowability	Examiner	Art Unit
·	Leslie Wong	2164
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85)	ars on the cover sheet with the co (OR REMAINS) CLOSED in this app or other appropriate communication	orrespondence address blication. If not included will be mailed in due course. THIS
NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313) withdrawal from issue at the initiative
1. This communication is responsive to <u>09/04/2007</u> .		
2. X The allowed claim(s) is/are 1-7,9-14,20,22-25,27-31 and no	ow renumbered as 1-23.	
 Acknowledgment is made of a claim for foreign priority un a) ☐ All b) ☐ Some* c) ☐ None of the: 	der 35 U.S.C. § 119(a)-(d) or (f).	
1. Certified copies of the priority documents have		·
2. Certified copies of the priority documents have	• • • • • • • • • • • • • • • • • • • •	
3. Copies of the certified copies of the priority doc	cuments have been received in this	national stage application from the
International Bureau (PCT Rule 17.2(a)). * Certified copies not received:		
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Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give		
5. CORRECTED DRAWINGS (as "replacement sheets") mus	t be submitted.	
(a) ☐ including changes required by the Notice of Draftspers	-	948) attached
1) hereto or 2) to Paper No./Mail Date		
(b) including changes required by the attached Examiner's Paper No./Mail Date		
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t		
6. DEPOSIT OF and/or INFORMATION about the depo- attached Examiner's comment regarding REQUIREMENT		
Attachment(s)		
1. Notice of References Cited (PTO-892)	5. Notice of Informal P	• •
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	 Interview Summary Paper No./Mail Da 	(PTO-413), te .
Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date	7. 🛛 Examiner's Amendr	
 Examiner's Comment Regarding Requirement for Deposit of Biological Material 	8. L Examiner's Stateme	ent of Reasons for Allowance
	9.	

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

In the abstract:

Replace the term "means" in line 3 with "mechanisms"

In the claims:

Cancel all claims with "withdrawn" status identifier: Claims 15-19, 32-36, 41-45.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Leslie Wong whose telephone number is (571) 272-4120. The examiner can normally be reached on Monday to Friday 9:30am - 6:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, CHARLES RONES can be reached on (571) 272-4085. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2164

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Leslie Wong

Primary Patent Examiner

Art Unit 2164

LW September 21, 2007



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

09/935,565

Applicant

Joseph L. DeBellis

Filed

: August 24, 2001

Title

SEARCH-ON-THE-FLY WITH MERGE FUNCTION

TC/A.U.

2164

Examiner

Leslie Wong

Docket No.

150314

Customer No.

038598

Mail Stop Amendment

Commissioner of Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

AMENDMENT

Sir:

In response to the June 1, 2007 Office Action, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks begin on page 10 of this paper.

Page 1 of 12

WAS:131672.1

Enter Af Amendments LN 9/18/07

Application/Control No. ISSUE Classification 09935565 Examiner Wong, Leslie Applicant(s)/Patent Under Reexamination DE BELLIS, JOSEPH Art Unit 2164

ORIGINAL				INTERNATIONAL CLASSIFICATION											
CLASS SUBCLASS				CLAIMED						NON-CLAIMED					
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U.S. Patent and Trademark Office

Part of Paper No. 20070921

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	09935565	DE BELLIS, JOSEPH
	Examiner	Art Unit
	Wong, Leslie	2164

✓	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	I	Interference	0	Objected

☐ Claims	renumbered	in the same o	rder as pr	esented by a	applicant		☐ CPA	⊠ T.I	D. 🗆	R.1.47
CL	AIM		DATE							
Final	Original	09/21/2007							-	
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U.S. Patent and Trademark Office

Part of Paper No.: 20070921

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	09935565	DE BELLIS, JOSEPH
	Examiner	Art Unit
	Wong, Leslie	2164

1	Rejected	-	- Cancelled N		Non-Elected		A	Appeal	
=	Allowed	÷	Restricted	I	Interference		0	Objected	
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Fin	nal Original	09/21/2007							

Claims	renumbered	in the same order	as presented by applic	ant	☐ CPA	⊠ T.D.	R.1.47		
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	44	N							
	45	N							

Search Notes



Appl	icat	ion/	Cont	roi	No.

No. Applicant(s)/Patent Under Reexamination

09935565

DE BELLIS, JOSEPH

Examiner

Art Unit

Wong, Leslie

2164

SEARCHED

Class	Subclass	Date	Examiner
707	3,4,7,10,102,104.1	·	LW
709	203,219	9/20/2007	LW
345	663	9/20/2007	LW

SEARCH NOTES

Search Notes	Date	Examiner
EAST SEARCH (CASS/SUBCLASS with keywords)	9/21/2007	LW

INTERFERENCE SEARCH

Class	Subclass	Date	Examiner
707	3,104.1	9/21/2007	LW
345	663	9/21/2007	LW

EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	11413	709/203,219.ccls.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2007/09/20 17:57
L2	395	(limited or constraint\$1) with (display or lcd or screen\$3) and (truncat\$3 or reduc\$3) with (character\$1 or output\$1 or result\$1) and (quer\$3 or search\$3) with (database\$1 or data near base\$1 or repositor\$3) and (@ad<"20000824")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/09/20 18:12
L3	475	(limited or constraint\$1) with (display\$3 or lcd or screen\$3) and (truncat\$3 or reduc\$3 or threshold) with (character\$1 or output\$1 or result\$1) and (quer\$3 or search\$3) with (database\$1 or data near base\$1 or repositor\$3) and (@ad<"20000824")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/09/20 18:10
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L6	3129	((limited or constraint\$1) with (display or lcd or screen\$3)).ab. and (@ad<"20000824")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/09/20 18:13
L7	70	6 and (truncat\$3 or reduc\$3) with (size\$1 or entries or character\$1) with (display\$3 or show\$3)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/09/20 18:21
L8	0	7 and (quer\$3 or search\$3) with (database\$1 or data near base\$1) and schema\$1	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/09/20 18:15

9/20/07 6:38:01 PM

Page 1

EAST Search History

L9	0	7 and (quer\$3 or search\$3) same (database\$1 or data near base\$1) and schema\$1	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/09/20 18:15
L10	0	7 and (quer\$3 or search\$3) same (database\$1 or data near base\$1)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/09/20 18:15
L11	0	8 and (quer\$3 or search\$3) same (database\$1 or data near base\$1)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/09/20 18:15
L12	0	7 and (quer\$3 or search\$3) same (database\$1 or data near base\$1)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/09/20 18:15
L13	56	6 and (truncat\$3 or reduc\$3) with (field\$1 or data) with (display\$3 or show\$3)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/09/20 18:27
L14	0	345/663.ccls. and (quer\$3 or search\$3) with (database\$1 or data near base\$1 or repositor\$3) and (reduc\$3 or truncat\$3 or scal\$3) with (limited or constraint\$1) with (display\$3 or screen\$1 or lcd\$1) and (@ad<"20000824")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/09/20 18:29
L15	0	345/663.ccls. and (reduc\$3 or truncat\$3 or scal\$3) with (limited or constraint\$1) with (display\$3 or screen\$1 or lcd\$1) and (@ad<"20000824")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/09/20 18:29
L16	32	(quer\$3 or search\$3) with (database\$1 or data near base\$1 or repositor\$3) and (reduc\$3 or truncat\$3 or scal\$3) with (limited or constraint\$1) with (display\$3 or screen\$1 or lcd\$1) and (@ad<"20000824")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/09/20 18:30
S29 6	25235	707/3,4,7,10,102,104.1.ccls.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2007/09/20 15:38

Page 2

EAST Search History

S29 7	47	S296 and ((search\$3 or retriev\$3) with (reduc\$3 or truncat\$3) with (size or set or hit)) same (field or column or row)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/09/20 16:27
S29 8	13	S297 and (@ad<"20000824")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2007/09/20 16:34
S29 9	48	S296 and ((search\$3 or retriev\$3) with (cut\$4 or reduc\$3 or truncat\$3) with (size or set or hit)) same (field or column or row)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/09/20 16:45
S30 0	13	S299 and (@ad<"20000824")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2007/09/20 16:34
S30 1	2	((search\$3 or retriev\$3) with (cut\$4 or reduc\$3 or truncat\$3) with (size or set or hit)) same (field or column or row) and (overflow or truncat\$3 or eliminat\$3) with search\$3 near (output or result) and (@ad<"20000824")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/09/20 16:46

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Ex. 1002 / Page 48 of 415

ATTORNEY DOCKET NO.: 150314

IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):

Joseph L. DeBellis

Confirmation No.:

Application No.:

09/935,565

Examiner: Leslie Wong

Filing Date:

August 24, 2001

Group Art Unit: 2164

Title:

Qir.

CLAIMS

EXTENSION

FEE

SEARCH-ON-THE-FLY WITH MERGE FUNCTION

FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM

2ND MONTH

\$450.00

1ST MONTH

\$120.00

Mail Stop Amendment **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

OII.									
Transmitted herewith is/are the following in the above-identified application:									
 ☐ Response/Amendment ☐ New fee as calculated below ☐ No additional fee ☐ Other: 					Petition to Suppleme		Declar		spond
CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY									
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	F	(5) PRESENT EXTRA	F	(6) RATE	ADDI	7) TIONA EES
TOTAL CLAIMS	23	MINUS	41		= 0	х	\$50	\$	0
INDEP.	3	MINUS	8	Τ	= 0	х	\$200	\$	0

Please charge \$0 to Deposit Account No. 50-2849 to cover the above fees. In the event any difference exists between the amount authorized to be charged and the actual charges, please charge or credit any such difference to Deposit Account No. 50-2849.

3RD MONTH

TOTAL ADDITIONAL FEE FOR THIS AMENDMENT

\$1,020.00

Respectfully submitted,

ANDREWS KURTH LLP

Intellectual Property Department 1350 I Street, NW Suite 1100 Washington, D.C. 20005

Telephone No.: (202) 662-2700 Facsimile No.: (202) 662-2739

John K. Harrop Attorney/Agent for Applicant(s)

\$360

4TH MONTH

\$1,590.00 OTHER FEES \$

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Reg. No. 41,817

Date: September 4, 2007

- Attach as First Page to Transmitted Papers -



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

09/935,565

Applicant

Joseph L. DeBellis

Filed

: August 24, 2001

Title

: SEARCH-ON-THE-FLY WITH MERGE FUNCTION

TC/A.U.

2164

Examiner

Leslie Wong

Docket No.

150314

Customer No.

038598

Mail Stop Amendment

Commissioner of Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

AMENDMENT

Sir:

In response to the June 1, 2007 Office Action, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks begin on page 10 of this paper.

Amendments to the Claims:

The listing of claims will replace all prior versions, and listings, of claims in the application:

Listing of Claims:

Claim 1 (previously presented): A computer-implemented method for displaying data comprising:

determining a database schema for a database;

providing a list of database fields, wherein the list includes a descriptor indicating a data category;

receiving a search selection for a database field on the provided list of database fields; determining a number of characters included in each entry in the selected database field; and

if the number of characters included in each entry exceeds a specified amount of characters, displaying a portion of each entry in the selected database field, wherein a number of characters displayed in each portion is less than or equal to the specified amount of characters; and

if the number of characters included in each entry does not exceed the specified amount, displaying each entry in its entirety.

Claim 2 (original): The method of claim 1, further comprising providing a key word search.

Claim 3 (currently amended): A computer-implemented method for formatting data for display, comprising:

generating a list of data fields;

receiving a first data field selection from the list of data fields;

determining a first quantity indicative of a number of characters in each entry of the selected data field;

if the first quantity exceeds a specified limit, reducing a number of characters to be displayed for each entry from the selected data field, <u>comprising</u>:

performing a truncation that reduces the number of characters to be displayed

from the selected data filed,

comparing the reduced number of characters to the specified limit, and

if the reduced number of characters exceeds the specified limit, repeating the

truncation and comparing steps until the reduced number of characters to be displayed from

the selected data field is less than or equal to the specified limits; and

displaying the reduced number of characters for each entry from the selected

data field.

Claim 4 (original): The method of claim 3, wherein the specified limit is fixed.

Claim 5 (original): The method of claim 3, wherein the specified limit is variable.

Claim 6 (previously presented): The method of claim 3, wherein each entry from the selected

data field is displayed on a terminal, and wherein the specified limit is determined

dynamically, based on a characteristic of the terminal.

Claim 7 (original): The method of claim 3, wherein the specified limit is a user-determined

limit.

Claim 8 (cancelled):

Claim 9 (currently amended): The method of claim 3 8, wherein a parameter is related to the

number of characters to be displayed from the selected data field, and wherein the truncation

comprises decrementing the parameter.

Claim 10 (original): The method of claim 9, wherein the parameter is decremented or

incremented by a value of one.

Claim 11 (currently amended): The method of claim 3 &, wherein a parameter is related to

the number of characters to be displayed from the selected data field, and wherein the

truncation comprises dividing the parameter by a value.

Page 3 of 12

WAS:131672.1

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Claim 12 (original): The method of claim 11, wherein the value is two.

Claim 13 (currently amended): The method of claim 3 8, wherein a parameter is related to the number of characters to be displayed from the selected data field, and wherein the truncation comprises multiplying the parameter by a value.

Claim 14 (original): The method of claim 3, further comprising:

receiving a first constraint, wherein the first constraint is related to a data element in a data field; and

receiving one or more subsequent constraints, wherein search results are generated based on a combination of the first and the one or more subsequent constraints.

Claim 15 (withdrawn): A computer-implemented method for searching a database, comprising:

selecting a first search term;

sending the first search term to a search engine;

receiving a first search result;

selecting and sending a second search term to the search engine after the first search result is received; and

receiving a second search result, wherein the second search result represents a combination of the first and the second search terms.

Claim 16 (withdrawn): The method of claim 15, further comprising:

selecting and sending a third search term to the search engine;

dropping a prior search term, wherein the dropped prior search term is one of the first and the second search terms; and

receiving a third search result comprising a combination of the third search term and one of the first and the second search terms.

Claim 17 (withdrawn): The method of claim 15, wherein the first search term is directed to a first database and wherein the second search term is directed to a second database.

Claim 18 (withdrawn): The method of claim 15, wherein the first search result is displayed as a truncated result list.

Claim 19 (withdrawn): The method of claim 18, further comprising specifying a size of the truncation.

Claim 20 (currently amended): A computer-implemented method for searching a database, comprising:

generating a list of data fields;

receiving a first data field selection from the list of data fields;

receiving a first constraint, wherein the first constraint is related to a data element in a data field;

generating a first search result based on the first constraint;

displaying a menu, wherein the menu is populated with the first search result;

receiving one or more subsequent constraints; and

conducting a second search, wherein the one or more subsequent constraints are used

to search at least data associated with the first search result to generate a second search result;

determining a first quantity indicative of a number of entries of the selected data field;

if the first quantity exceeds a specified limit, reducing a size of data to be displayed from the selected data field, comprising:

performing a truncation that reduces the size of the data to be displayed from the selected data field,

comparing the reduced size to the specified limits, and

if the reduced size to the specified limit, repeating the truncation and comparing steps until the size of the data to be displayed from the selected data field is less than or equal to the specified limit; and

displaying data from the selected data field.

Claim 21 (cancelled):

Claim 22 (currently amended): The method of claim 20 21, wherein the specified limit is fixed.

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Amdt. dated September 4, 2007

Reply to Office Action of June 1, 2007

Claim 23 (currently amended): The method of claim 20 21, wherein the specified limit is

variable.

Claim 24 (currently amended): The method of claim 20 21, wherein the data are displayed on

a terminal, and wherein the specified limit is determined dynamically, based on a

characteristic of the terminal.

Claim 25 (currently amended): The method of claim 20 21, wherein the specified limit is a

user-determined limit.

Claim 26 (cancelled):

Claim 27 (currently amended): The method of claim 20 26, wherein a parameter is related to

the size of the data to be displayed from the selected data field, and wherein the truncation

comprises decrementing or incrementing the parameter.

Claim 28 (original): The method of claim 27, wherein the parameter is decremented or

incremented by a value of one.

Claim 29 (currently amended): The method of claim 20 26, wherein a parameter is related to

the size of the data to be displayed from the selected data field, and wherein the truncation

comprises dividing the parameter by a value.

Claim 30 (original): The method of claim 29, wherein the value is two.

Claim 31 (original): The method of claim 20 26, wherein a parameter is related to the size of

the data to be displayed from the selected data field, and wherein the truncation comprises

multiplying the parameter by a value.

Claim 32 (withdrawn): A computer-implemented method for providing search functions in

one or more databases, comprising:

receiving a first search term;

searching at least a first database using the first search term;

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returning a first search result, wherein the first search result comprises a first list of elements in the first database;

receiving a second search term, after the first search result is returned;

conducting a second search by applying the second search term to one of the first list of elements and a second database: and

returning a second search result, wherein the second search result represents a search output based on a combination of the first and the second search terms.

Claim 33 (withdrawn): The method of claim 32, further comprising:

receiving a third search term;

receiving a signal to drop one of the first and the second search terms;

dropping the selected one of the first and the second search terms, wherein dropping the selected one of the first and the second search terms provides a revised list of elements;

searching one of the revised list of elements and one of the second or subsequent databases using the third search term; and

returning a third list of elements, wherein the third list of elements represents the search output based on a combination of the third search term and the non-selected one of the first and the second search terms.

Claim 34 (withdrawn): The method of claim 32, wherein the first search result is returned as a truncated list of elements.

Claim 35 (withdrawn): A computer-implemented method for navigating one or more databases, comprising:

receiving a first attribute associated with elements in one or more of the databases, wherein the first attribute comprises a first search term;

returning a first search result based on the first attribute;

receiving a second attribute associated with elements in one or more of the databases, wherein the second attribute comprises a second search term and is selected from contents of the first search result;

generating a second search result based on the second attribute, wherein the second attribute is used to search at least data associated with the first search result to generate the second search result and the second search result represents a merged search result; and

Page 7 of 12

returning the merged search result.

Claim 36 (withdrawn): The method of claim 35, further comprising: truncating the merged search result based on a display size of a device receiving the merged search result.

Claim 41 (withdrawn): A computer-implemented method for searching one or more databases, wherein each of the one or more databases comprises a plurality of fields, comprising:

getting a first list of fields of a first database;

applying a first filter to the first list of fields, wherein the first filter comprises a first search constraint;

applying a second filter to a result of applying the first filter, wherein the second filter comprises a second search constraint;

applying a third filter to a result of applying the second filter, wherein the third filter comprises a third search constraint;

and

displaying a search result of applying the third filter.

Claim 42 (withdrawn): The method of claim 41, further comprising:

removing at least one of the first, second and third filters, whereby a final search result is generated.

Claim 43 (withdrawn): A computer-implemented method for searching a database, comprising:

displaying a first list of database entries;

receiving a selection of a first search term from the displayed first list of database entries;

sending the first search term to a search engine;

receiving a first search result;

displaying a menu, wherein the menu is populated with the result of the first search; receiving a selection of a second search term from the displayed menu;

sending the second search term to the search engine, wherein the second search term is used to search at least data associated with the first search result; and

receiving a second search result, wherein the second search result represents a search output based on a combination of the first and the second search terms.

Claim 44 (withdrawn): The method of claim 43, further comprising:

selecting and sending a third search term to the search engine, wherein the third search term is selected from contents of the second search result;

dropping a prior search term, wherein the dropped prior search term in one of the first and the second search terms; and

receiving a third search result, wherein the third search result represents the search output based on a combination of the third search term and one of the first and the second search terms.

Claim 45 (withdrawn): The method of claim 43, wherein the menu is one of a pop-up menu and a pull-down menu.

REMARKS

Claims 1-36 and 41-45 are pending. By this Amendment, claims 1, 3, 9, 11, 13, 20, 22-25, 27, 29, and 31 are amended, claims 8, 21, and 26 are cancelled, and claims 32-36, and 41-45 are withdrawn in response to a restriction requirement. Claims 1 and 2 are allowed and claims 8 and 13 are allowable. In view of the above amendments and remarks that follow, Applicant respectfully requests reconsideration and issuance of a Notice of Allowance.

On page 2 of the Office Action states a restriction requirement. In response, Applicant elects the claims of Group I (claims 1-14 and 20-31), with traverse.

On page 4 of the Office Action reject claims 3 and 14 under 35 U.S.C. §103(a) over U.S. Patent 5,701,453 to Maloney et al. (hereafter Maloney), in view of U.S. Patent 6,593,949 to Chew et al. (hereafter Chew). This rejection is respectfully traversed.

Claim 3 is amended to incorporate all of the features of allowable claim 8 and claim 8 is cancelled. Accordingly, claim 3 now is patentable. Claim 14 depends from patentable claim 3, and for this reason and the additional features it recites, claim 14 also is patentable. Withdrawal of the rejection of claim 3 and 14 under 35 U.S.C. §103(a) is respectfully requested.

On page 5 of the Office Action rejects claims 20 and 21 under 35 U.S.C. §103(a) over Maloney in view of U.S. Patent 6,321,228 to Crandall et al. (hereafter Crandall). This rejection is respectfully traversed.

Claim 20 is amended to incorporate all of the features of claim 21 and also all the features of allowable claim 8. Accordingly, claim 20 also is patentable. Withdrawal of the rejection of claims 20 and 21 is respectfully requested.

On page 7 the Office Action rejects claims 4-7 under 35 U.S.C. §103(a) under Maloney in view of Chew and further in view U.S. Patent 5,848,406 to Mani, et al. (hereafter Mani). This rejection is respectfully traversed.

Claims 4-7 depend from patentable claim 3. For this reason and the additional features they recite, claims 4-7 also are patentable. Withdrawal of the rejection of claims 4-7 under 35 U.S.C. §103(a) is respectfully requested.

On page 9 of the Office Action rejects claims 22-26 under 35 U.S.C. §103(a) under Maloney in view of Crandall and in further view of Mani. This rejection is respectfully traversed.

Claim 26 is cancelled and its rejection is moot. Claims 22-25 depend from patentable claim 20. For this reason and the additional features that they recite, claims 22-25 are

Appl. No. 09/935,565 Amdt. dated September 4, 2007 Reply to Office Action of June 1, 2007

patentable. Withdrawal of the rejection of claims 22-26 under 35 U.S.C. §103(a) is respectfully requested.

On page 11 of the Office Action rejects claims 27-31 under 35 U.S.C. §103(a) over Maloney in view of Crandall and Mani, and further in view of U.S. Patent 4,486,857 to Heckel (herefter Heckel). This rejection is respectfully traversed.

Claims 27-31 depend from patentable claim 20. For this reason and the additional features they recite, claim 27-31 are patentable. Withdrawal of rejection of claims 27-31 under 35 U.S.C. §103(a) is respectfully requested.

In view of the above remarks, Applicant respectfully submits that the application is in condition for allowance. Prompt examination and allowance are respectfully requested.

Should the Examiner believe that anything further is desired in order to place the application in even better condition for allowance, the Examiner is invited to contact Applicants undersigned representative at the telephone number listed below.

Date: September 4, 2007

() / (/)

Respectfully submitted,

John K Harrop

Registration No. 41,817

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PALM INTRANET

Day: Friday Date: 5/4/2007 Time: 16:01:39

Application Number Information

Application Number: 09/935565

Assignments

Filing or 371(c) Date: 08/24/2001 eDan

Effective Date: 08/24/2001

Application Received: 08/24/2001

Pat. Num./Pub. Num: /20020046209

Issue Date: 00/00/0000

Date of Abandonment: 00/00/0000

Attorney Docket Number: 5607

L&R Code: Secrecy Code:1

Third Level Review: NO

Unmatched Petition: NO

Group Art Unit: 2164

Interference Number:

Lost Case: NO

Class/Subclass: 707/001.000

Secrecy Order: NO

IFW Madras

Status: 71 /RESPONSE TO NON-FINAL OFFICE ACTION ENTERED Status Date: 03/20/2007

Examiner Number: 78953 / WONG, LESLIE

AND FORWARDED TO EXAMINER

Confirmation Number: 9677

Oral Hearing: NO

Title of Invention: SEARCH-ON-THE-FLY WITH MERGE FUNCTION

Bar Code PALM Location Location Date Charge to Loc Charge to Name Employee Name Location	
Appln Contents Petition Info Atty/Agent Info Continuity/Reexam Foreign Data	
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PCT / Search or PG PUBS # Search	
Attorney Docket # Search	
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/935,565	08/24/2001	Joseph De Bellis	5607	9677
38598 ANDREWS K	7590 06/01/2007	•	EXAM	INER
1350 I STREE			WONG,	LESLIE
SUITE 1100 WASHINGTO	N. DC 20005		ART UNIT	PAPER NUMBER
	,		2164	
			MAIL DATE	DELIVERY MODE
			06/01/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)
	09/935,565	DE BELLIS, JOSEPH
Office Action Summary	Examiner	Art Unit
-	Leslie Wong	2164
The MAILING DATE of this communication app		
Period for Reply		
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period of - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be will apply and will expire SIX (6) MONTHS from the application to become ABANDON.	DN. timely filed m the mailing date of this communication. IED (35 U.S.C. § 133).
Status		
1)⊠ Responsive to communication(s) filed on <u>03 Ju</u>	une 2005.	
2a)⊠ This action is FINAL . 2b)□ This	action is non-final.	
3) Since this application is in condition for allowar	,	
closed in accordance with the practice under E	Ex parte Quayle, 1935 C.D. 11,	453 O.G. 213.
Disposition of Claims		
4)⊠ Claim(s) <u>1-36 and 41-45</u> is/are pending in the	application.	
4a) Of the above claim(s) <u>15-19,32-36 and 41-</u>	45 is/are withdrawn from consid	eration.
5)⊠ Claim(s) <u>1 and 2</u> is/are allowed.		
6)⊠ Claim(s) <u>3-7,14 and 20-31</u> is/are rejected.	,	
7)⊠ Claim(s) <u>8-13</u> is/are objected to.		
8)☐ Claim(s) are subject to restriction and/o	r election requirement.	
Application Papers		
9)☐ The specification is objected to by the Examine	r.	•
10)☐ The drawing(s) filed on is/are: a)☐ acc	epted or b)□ objected to by the	Examiner.
Applicant may not request that any objection to the	drawing(s) be held in abeyance. S	ee 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correct		•
11) The oath or declaration is objected to by the Ex	caminer. Note the attached Office	e Action or form PTO-152.
Priority under 35 U.S.C. § 119		
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:	priority under 35 U.S.C. § 119(a)-(d) or (f).
1. Certified copies of the priority document	s have been received.	
2. Certified copies of the priority document	s have been received in Applica	ition No
Copies of the certified copies of the prior	rity documents have been recei	ved in this National Stage
application from the International Bureau	• • •	
* See the attached detailed Office action for a list	of the certified copies not receive	/ed.
Attachment(s)		
Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948)	4) Interview Summa Paper No(s)/Mail	
Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date		Patent Application

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06)

Office Action Summary

Part of Paper No./Mail Date 20070525

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DETAILED ACTION

Terminal Disclaimer

1. Receipt of Applicant's Terminal Disclaimer, filed 03 June 2005, is acknowledged.

Election/Restrictions

- 2. Restriction to one of the following inventions is required under 35 U.S.C. 121:
 - I. Claims 1-14 and 20-31, drawn to format and display data, classified in class 707, subclass 104.1.
 - II. Claims 15-19, 32-36, and 41-45, drawn to searching database, classified in class 707, subclass 3.
- 3. The inventions are distinct, each from the other because of the following reasons:

 Inventions I II are related as subcombinations disclosed as usable together in a single combination. The subcombinations are distinct from each other if they are shown to be separately usable. In the instant case, each of the respective inventions has a separate utility as in a system not having the others. See

 M.P.E.P. § 806.05(d).
- **4.** Because these inventions are distinct for the reasons given above and the search required for Group I is not required for the other Groups, restriction for examination purposes as indicated is proper.

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- 5. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter, restriction for examination purposes as indicated is proper.
- 6. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.
- 7. During a telephone conversation with Mr. Sumeet Magoon on 21 May 2007, a provisional election was made with traverse to prosecute the invention of claims 1-14 and 20-31. Claims 15-19, 32-36, and 41-45 are withdrawn from further consideration by the examiner, 37 CFR 1.142(b) as being drawn to a non-elected.
- 8. Applicant is advised that the response to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed.
- 9. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 C.F.R. § 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a diligently-filed petition under 37 C.F.R. § 1.48(b) and by the fee required under 37 C.F.R. § 1.17(h).

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Claim Rejections - 35 USC § 103

- 10. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 11. Claims 3 and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Maloney et al. (U.S. Patent 5,701,453) in view of Chew et al. (U.S. Patent 6,593,949 B1).

Regarding claim 3, **Maloney et al.** teaches a method for formatting data for display, comprising:

- a). generating a list of data fields (Fig. 18):
- b). receiving a first data field selection from the list of data fields (col. 3, lines7-10);
- c). determining a first quantity indicative of a number of entries of the selected data field (col. 16, lines 6-8);
- d). **Maloney et al.** does not explicitly teaches a step wherein if the quantity exceeds a specified limit, reducing a number of characters to be displayed for each entry from the selected data field, and displaying the reduced number of characters for each entry from of the database field.

Chew et al., however, teaches wherein if the quantity exceeds a specified limit, reducing a number of characters to be displayed for each entry from the selected data

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field, and displaying the reduced number of characters for each entry from of the database field (col. 5, lines 30-41; col. 6, lines 62-66; col. 3, lines 60-64).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to allow the step of truncating the result set when it exceeded the predetermined threshold as taught by **Chew et al.** as this would enable the system to manage and control the result to be displayed to the users based on the limited sized screen.

Regarding claim 14, **Chew et al.** further teach a step receiving a first constraint, wherein the first constraint is related to a data element in a data field; and receiving one or more subsequent constraints, wherein search results are generated based on a combination of the first and the one or more subsequent constraints (col. 4, lines 49-58; col. 5, lines 19-22; col. 6, lines 10-27).

12. Claims 20-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Maloney et al.** (U.S. Patent 5,701,453) in view of **Crandall et al.** (U.S. Patent 6,321,228 B1).

Regarding claim 20, **Maloney et al.** teaches a method for searching a database, comprising:

a). generating a list of data fields (Fig. 18);

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b). receiving a first data field selection from the list of data fields (col. 3, lines7-10);

- c). receiving a first constraint, wherein the first constraint is related to a data element in a data field (col. 3, lines 7-10; col. 5, lines 23-26);
- d). generating a first search result based on the first constraint (col. 4, lines 13-14);
- e). displaying a menu, wherein the menu is populated with the first result (col.4, lines 26-39);

Maloney et al. does not explicitly teach the steps of:

- f). receiving one or more subsequent constraints; and
- g). conducting a second search, wherein the one or more subsequent constraints are used to search at least data associated with the first search result to generate a second search result.

Crandall et al., however, teaches the steps of:

receiving one or more subsequent constraints (col. 5, lines 25-29) and conducting a second search, wherein the one or more subsequent constraints are used to search at least data associated with the first search result to generate a second search result (col. 5, lines 30-41; col. 6, lines 11-12).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to allow the step of receiving one or more query constraints as

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taught by **Crandall et al.** as this would allow user to refine the query to generate the search results to meet user's criteria.

Regarding claim 21, Maloney et al. does not explicitly teaches steps of:

- a). determining a first quantity indicative of a number of entries of the selected data field:
- b). if the first quantity exceeds a specified limit, reducing a size of data to be displayed from the selected data field; and
 - c). displaying data from the selected data field.

Crandall et al., however, teaches wherein if the quantity exceeds a specified limit, reducing a size of data to be displayed from the selected data field (col. 6, lines 13-15); and displaying data from the selected data field (col. 8, lines 25-27).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to allow the step of truncating the result set when it exceeded the predetermined threshold as taught by **Crandall et al.** as this would enable the system to manage and control the result to be displayed to the users based on the predetermined threshold.

13. Claims 4-7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Maloney et al. (U.S. Patent 5,701,453) in view of Chew et al. (U.S. Patent 6,593,949 B1) as applied to claims 3 and 14 above and further in view of Mani et al. (U.S. Patent 5,848,406).

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Regarding claims 4, 5, and 7, Maloney et al. and Crandall et al., do not explicitly teach a step wherein the specified limit is fixed, variable, or user-determined limit.

Mani et al., however, teaches a step wherein the specified limit is fixed, variable, or user-determined limit (col. 5, lines 22-25 and lines 35-44).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to include the step of defining the display threshold as taught by **Mani et al.** in order to allow a user to make use of very small display surface such as mobile computers or PDA to allow data to fit on the display screen of a specific device.

Regarding claim 6, **Maloney et al. and Crandall et al.**, do not teach a step wherein the data are displayed on a terminal, and wherein the specified limit is determined dynamically, based on a characteristic of the terminal.

However, **Mani et al.** teaches a step wherein the data are displayed on a terminal, and wherein the specified limit is determined dynamically, based on a characteristic of the terminal (col. 2, lines 23-33).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to employ the feature of displaying data based a characteristic of the terminal as taught by **Mani et al.** because it would accommodate various kinds of terminals having different display capabilities.

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14. Claims 22-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Maloney et al. (U.S. Patent 5,701,453) in view of Crandall et al. (U.S. Patent 6,321,228 B1) as applied to claims 20-21 above and further in view of Mani et al. (U.S. Patent 5,848,406).

Regarding claims 22, 23, and 25, **Maloney et al. and Crandall et al.,** do not explicitly teach a step wherein the specified limit is fixed, variable, or user-determined limit.

Mani et al., however, teaches a step wherein the specified limit is fixed, variable, or user-determined limit (col. 5, lines 22-25 and lines 35-44).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to include the step of defining the display threshold as taught by **Mani et al.** in order to allow a user to make use of very small display surface such as mobile computers or PDA to allow data to fit on the display screen of a specific device.

Regarding claim 24, **Maloney et al. and Crandall et al.**, do not teach a step wherein the data are displayed on a terminal, and wherein the specified limit is determined dynamically, based on a characteristic of the terminal.

However, **Mani et al.** teaches a step wherein the data are displayed on a terminal, and wherein the specified limit is determined dynamically, based on a characteristic of the terminal (col. 2, lines 23-33).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to employ the feature of displaying data based a characteristic of

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the terminal as taught by **Mani et al.** because it would accommodate various kinds of terminals having different display capabilities.

Regarding claim 26, **Crandall et al.** further teach a step wherein the method for reducing the size of the data to be displayed from the selected data field comprises:

a). performing a truncation that reduces the size of the data to be displayed from the selected data field (col. 6, lines 13-15);

Maloney et al. and Crandall et al., do not explicitly teach the steps of:

- b). comparing the reduced size to the specified limit; and
- c). if the reduced size exceeds the specified limit, repeating the truncation and comparing steps until the size of the data to be displayed from the selected data field is less than or equal to the specified limit.

However, **Mani et al.** teaches a step wherein the method for reducing the size of the data to be displayed from the selected data field comprises:

- b). comparing the reduced size to the specified limit (col. 5, lines 39-40); and
 - c). if the reduced size exceeds the specified limit, repeating the truncation and comparing steps until the size of the data to be displayed from the selected data field is less than or equal to the specified limit (col. 5, lines 39-49).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to include the feature of reducing the size exceeds the specified limit and repeating the truncation and comparing steps until the size of the data to be

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displayed from the selected data field is less than or equal to the specified limit as taught by **Mani et al.** in order to adjust the output to fit the display area of various devices.

15. Claims 27-31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Maloney et al. (U.S. Patent 5,701,453) in view of Crandall et al. (U.S. Patent 6,321,228 B1) and in view of Mani et al. (U.S. Patent 5,848,406) as applied to claims 4-8 and 27 above and further in view of Heckel (U.S. Patent 4,486,857).

Regarding claims 27-31, **Maloney et al., Crandall et al., and Mani et al.,** do not explicitly teach a step wherein a parameter is related to the size of the data to be displayed from the selected data field, and wherein the truncation comprises dividing the parameter by a value and wherein the value is two.

However, **Heckel** teaches a step wherein a parameter is related to the size of the data to be displayed from the selected data field, and wherein the truncation comprises dividing the parameter by a value and wherein the value is integer (col. 5, line 7 – col. 6, line 14).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to employ the data reduction method as taught by **Heckel** to calculate the display capacity of the target terminal and determine if the selected data field need to be adjusted in order to fit on the display.

Allowable Subject Matter

16. Claim 8 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

The following is an examiner's statement of reasons for allowance:

Prior art of record fails to teach a combination of elements including wherein the method for reducing the number of characters to be displayed from the selected data field comprises: performing a truncation that reduces the number of characters to be displayed from the selected data field; comparing the reduced number of characters to the specified limitation; and if the reduced number of characters exceeds the specified limit, repeating the truncation and comparing steps until the reduced number of characters to be displayed from the selected data field is less than or equal to the specified limit.

Claims 9-13 are also objected to as being dependent upon claim 8.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within

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TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Leslie Wong whose telephone number is (571) 272-4120. The examiner can normally be reached on Monday to Friday 9:30am - 6:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, CHARLES RONES can be reached on (571) 272-4085. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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Leslie Wong Primary Patent Examiner Art Unit 2164

LW May 25, 2007

Application/Control No. Applicant(s)/Patent Under Reexamination 09/935.565 DE BELLIS, JOSEPH Notice of References Cited Examiner Art Unit Page 1 of 1 Leslie Wong 2164 **U.S. PATENT DOCUMENTS** Document Number Date Classification Name Country Code-Number-Kind Code MM-YYYY 715/841 US-6.593,949 B1 07-2003 Chew et al. US-6,272,332 B1 08-2001 Matsumoto et al. 455/412.1 В US-С D US-US-Ε US-F US-G US-Н US-US-J US-Κ US-L US-М **FOREIGN PATENT DOCUMENTS** Document Number Date Country Name Classification Country Code-Number-Kind Code MM-YYYY Ν 0 Q R s **NON-PATENT DOCUMENTS** Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) U

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

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Notice of References Cited

Part of Paper No. 20070525

Index of Claims	App	lication/Control No.		Applicant(s)/Page Reexamination	
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EAST Search History

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Application Number	Application/Co	R	Applicant(s)/Patent u Reexamination DE BELLIS, JOSEP	
Document Code - DISQ		Internal Do	ocument – DC	NOT MAIL
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Approved/Disapproved b	oy:			
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U.S. Patent and Trademark Office



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1456 www.usbto.com

ANDREWS KURTH
1701 PENNSYLVANIA AVENUE NW
SUITE 300
WASHINGTON, DC 20006

COPY MAILED

JUL 1 1 2005

In re Application of

Joseph De Bellis

Application No. 09/935,565

Filed: August 24, 2001

Attorney Docket No. 5607

OFFICE OF PETITIONS

ON PETITION

This is a decision on the petition under 37 CFR 1.137(b), filed June 3, 2005, to revive the above-identified application.

The petition is **GRANTED**.

The above-identified application became abandoned for failure to reply in a timely manner to the non-final Office action mailed August 24, 2004, which set a shortened statutory period for reply of three (3) months. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the above-identified application became abandoned on November 25, 2004.

The Change of Correspondence Address filed June 3, 2005 has been entered and made of record.

Telephone inquiries concerning this decision should be directed to Wan Laymon at (571) 272-3220.

This matter is being referred to Technology Center AU 2167.

Petitions Examiner

Office of Petitions

Office of the Deputy Commissioner

for Patent Examination Policy





United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/935,565	08/24/2001	Joseph De Bellis	5607	9677
7	7590 06/07/2005		EXAM	INER
DORSEY & Suite 300	WHITNEY LLP		WONG,	LESLIE
1660 Internation	onal Drive		ART UNIT	PAPER NUMBER
McLean, VA	22102	,	2167	
			DATE MAIL ED: 06/07/200	

Please find below and/or attached an Office communication concerning this application or proceeding.

RECEIVED
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Technology Center 2100

PTO-90C (Rev. 10/03)

Application No. Applicant(s) 09/935,565 DE BELLIS, JOSEPH

Interview Summary	(C) -5 -6	Examiner		Art Unit						
	∴.	Leslie Wong		2167						
All participants (applicant, applicant's representat	ivė, PTO	personnel):								
(1) <u>Leslie Wong</u> .	d k	(3)								
(2) Sean Wood and Sumeet Magoon (Applicant's	<u>Rep)</u> .	(4)								
Date of Interview: 01 June 2005.										
Type: a) ☐ Telephonic b) ☐ Video Confere c) ☑ Personal [copy given to: 1) ☐ app		²)⊠ applicant's re	epresentative]						
Exhibit shown or demonstration conducted: d) [If Yes, brief description:] Yes	e)⊠ No.			,					
Claim(s) discussed: <u>1,3, 15, 20, 32, 35, 41, and 4</u>	<u>3</u> .									
Identification of prior art discussed:										
Agreement with respect to the claims f)⊠ was rea	ached. g)∏ was not reac	hed. h)∐ N	/A.						
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: <u>See Continuation Sheet</u> .										
(A fuller description, if necessary, and a copy of the allowable, if available, must be attached. Also, we allowable is available, a summary thereof must be	here no c	opy of the amend								
THE FORMAL WRITTEN REPLY TO THE LAST OF INTERVIEW. (See MPEP Section 7.13.04). If a regiven one month from this interview D FORM, WHICHEVER IS LATER, TO FILE A STATSummary of Record of Interview requirements on	ply to the ATE, OR FEMENT	last Office action THE MAILING DA OF THE SUBSTA	has already ATE OF THIS NCE OF TH	been filed, APPI INTERVIEW S	LICANT IS UMMARY					
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Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.	(4) (c)	Exa	aminer's signa	ature, if required						

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting (avorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by
 attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does
 not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items;

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Applicant's representative described the invention and discussed rejected claims and cited prior art. Applicant's representative further discuss how proposed amended claims overcome the prior art of record. Examiner thought that the proposed amended claims appear to overcome the cited prior art. However, the amendment would likely raise new issues that would required further consideration and/or search. Further, Applicant's representative traversed the anticipation double patenting rejection of claims, but would file a Terminal Disclaimer to overcome the obvious double patenting rejection. All in all, the interview was productive in advancing the prosecution.

Organization TC2100 Bldg./Room RANDOLPH
UNITED STATES PATENT AND TRADEMARK OFFICE
P.O. Box 1450
Alexandria, VA. 22313-1450
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspio.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/935,565	08/24/2001	Joseph De Bellis	5607	9677
7	590 06/07/2005		EXAM	INER
DORSEY & V Suite 300	WHITNEY LLP		WONG,	LESLIE
1660 Internation	onal Drive		ART UNIT	PAPER NUMBER
McLean, VA	22102		2167	
	•		DATE MAILED: 06/07/200:	5

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)
Interview Summary	09/935,565	DE BELLIS, JOSEPH
interview Summary	Examiner	Art Unit
	Leslie Wong	2167
All participants (applicant, applicant's representative, PTO	personnel):	
(1) <u>Leslie Wong</u> .	(3)	
(2) <u>Sean Wood and Sumeet Magoon (Applicant's Rep)</u> .	(4)	
Date of Interview: <u>01 June 2005</u> .		
Type: a)☐ Telephonic b)☐ Video Conference c)⊠ Personal [copy given to: 1)☐ applicant 2	t)⊠ applicant's representative	e)
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e) No.	. '
Claim(s) discussed: <u>1,3, 15, 20, 32, 35, 41, and 43</u> .		
Identification of prior art discussed:		
Agreement with respect to the claims f) \boxtimes was reached. g)☐ was not reached. h)☐ N	J/A.
Substance of Interview including description of the general reached, or any other comments: <u>See Continuation Sheet</u> .	nature of what was agreed to	if an agreement was
(A fuller description, if necessary, and a copy of the amend allowable, if available, must be attached. Also, where no callowable is available, a summary thereof must be attached	opy of the amendments that v	
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE A INTERVIEW. (See MPEP Section 7.13.04). If a reply to the GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR FORM, WHICHEVER IS LATER, TO FILE A STATEMENT Summary of Record of Interview requirements on reverse signal.	last Office action has already THE MAILING DATE OF THI DF THE SUBSTANCE OF TH	been filed, APPLICANT IS S INTERVIEW SUMMARY
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Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.	Examiner's sign	ature, if required

U.S. Patent and Trademark Office PTOL-413 (Rev. 04-03)

Interview Summary

Paper No. 06012005

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)
In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

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- An identification of the specific prior art discussed
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- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
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- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner

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Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Applicant's representative described the invention and discussed rejected claims and cited prior art. Applicant's representative further discuss how proposed amended claims overcome the prior art of record. Examiner thought that the proposed amended claims appear to overcome the cited prior art. However, the amendment would likely raise new issues that would required further consideration and/or search. Further, Applicant's representative traversed the anticipation double patenting rejection of claims, but would file a Terminal Disclaimer to overcome the obvious double patenting rejection. All in all, the interview was productive in advancing the prosecution.

ATENT APPLICATION

Attorney Docket No. 5607

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

nventor(s):

Joseph L. DeBELLIS

Confirmation No.:

9677

Application No.:

09/935,565

Examiner: L. WONG

Filing Date:

August 24, 2001

Group Art Unit:

2177

Title:

SEARCH-ON-THE-FLY WITH MERGE FUNCTION

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED **UNINTENTIONALLY UNDER 37 CFR 1.137(b)**

Sir:

- 1. This application became abandoned on February 24, 2005.
- 2. This application became abandoned because of an unintentional delay causing a failure to prosecute. The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.
- 3. Response or action required: A response under 37 CFR 1.111 is enclosed herewith.
- 4. Terminal disclaimer 37 CFR 1.137(d): In connection with this Petition, a Terminal Disclaimer is not required because the application was filed after June 8, 1995. A Terminal Disclaimer is enclosed in connection with U.S. Patent No. 6,760,720.

Authorization to charge the Petition to Revive fee required to Deposit Account No. 50-2849 appears in the accompanying transmittal letter. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 50-2849 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 50-2849 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

Should the Commissioner require anything further in order to fulfill this petition, he is invited to contact the undersigned at the telephone number listed below.

06/06/2005 SZEWDIE1 00000015 502849 09935565

01 FC:2453

750.00 DA

Customer No. 38598 **ANDREWS KURTH LLP** 1701 Pennsylvania Avenue, N.W. Suite 300 Washington, D.C. 20006 Tel. (202) 662-2700 Fax (202) 662-2739

Respectfully submitted,

Sumeet Magoon

Attorney/Agent for Applicant(s)

Reg. No. 43,769

Date: June 3, 2005

ATTORNEY DOCKET NO.: 5607

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

nventor(s):

Joseph L. DeBELLIS

Confirmation No.: 9677

9011

Application No.:

09/935,565

Examiner:

L. WONG

Filing Date:

August 24, 2001

Group Art Unit:

2177

Title:

SEARCH-ON-THE-FLY WITH MERGE FUNCTION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TERMINAL DISCLAIMER RESPONSIVE TO A DOUBLE PATENTING REJECTION

Sir:

Petitioner, Joseph L. DeBellis is the owner of 100 percent interest in the instant application. Petitioner hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. 154 AND 173, as presently shortened by any terminal disclaimer, of prior Patent No. <u>6,760,720</u>. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 AND 173 of the prior patent, as presently shortened by any terminal disclaimer, in the event that it later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

For submissions on behalf of an organization (e.g., corporation), the undersigned is empowered to act on behalf of the organization.

06/06/2005 SZEWDIE1 00000015 502849 09935565

02 FC:2814

65.00 DA

Page 1 of 2

TERMINAL DISCLAIMER - DOUBLE PATENTING

(continued)

ATTORNEY DOCKET NO.: 5607

PATENT APPLICATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code an that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please charge the required fee set forth in 37 CFR 1.20(d) of \$65.00 to Deposit Account **50-2849**. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-2849 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 50-2849 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees.

(Note: An attorney or agent of record must sign this document.)

Customer No.: 038598 ANDREWS KURTH LLP

Intellectual Property Department 1701 Pennsylvania Avenue, N.W.

Suite 300

Washington, D.C. 20006

Telephone No.: (202) 662-2700 Facsimile No.: (202) 662-2739

Respectfully submitted

John K. Harrop

Attorney/Agent for Applicant(s) Reg. No. 41,817

Date: June 3, 2005

Döcket No.: 5607 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

blicant:

Joseph L DeBellis

Serial No:

09/935,565

Group No.:

2177

Filed:

August 24, 2001

Examiner:

WONG, LESLIE

Title:

SEARCH-ON-THE-FLY WITH MERGE FUNCTION

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

AMENDMENT AND RESPONSE

Sir:

In response to the August 24, 2004, Office Action (Paper No. 5), Applicants are enclosing a Petition to Revive by separate cover and respond as follows:

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 10 of this paper.

Amendments to the Claims:

This listing of claims will replace all prior versions, and listings, of claims in the application:

Listing of Claims:

Claim 1 (currently amended): A <u>computer-implemented</u> method for displaying data comprising: determining a database schema for a database;

providing a list of database fields, wherein the list includes a descriptor indicating a data category;

receiving a search selection for a database field on the provided list of database fields; determining a quantity of entriesa number of characters included in each entry in the selected database field;

if the quantity-number of characters included in each entry exceeds exceed_a specified amount of characters, truncating data, and displaying the truncated data; and displaying a portion of each entry in the selected database field, wherein a number of characters displayed in each portion is less than or equal to the specified amount of characters; and

if the quantity number of characters included in each entry does not exceed the specified amount, displaying content from the database fieldcach entry in its entirety.

Claim 2 (original): The method of claim 1, further comprising providing a key word search.

Claim 3 (currently amended): A <u>computer-implemented</u> method for formatting data for display, comprising:

generating a list of data fields;

receiving a first data field selection from the list of data fields;

determining a first quantity indicative of a number of entries of characters in each entry of the selected data field;

Application No. 09/935,565 Amendment dated June 3, 2005 Reply to Office Action of August 24, 2004

if the first quantity exceeds a specified limit, reducing a size of data number of characters to be displayed for each entry from the selected data field; and

displaying data the reduced number of characters for each entry from the selected data field.

Claim 4 (original): The method of claim 3, wherein the specified limit is fixed.

Claim 5 (original): The method of claim 3, wherein the specified limit is variable.

Claim 6 (currently amended): The method of claim 3, wherein the data are each entry from the selected data field is displayed on a terminal, and wherein the specified limit is determined dynamically, based on a characteristic of the terminal.

Claim 7 (original): The method of claim 3, wherein the specified limit is a user-determined limit.

Claim 8 (currently amended): The method of claim 3, wherein the method for reducing the size of the datanumber of characters to be displayed from the selected data field comprises:

performing a truncation that reduces the size of the data to number of characters to be displayed from the selected data field;

comparing the reduced size-number of characters to the specified limit; and

if the reduced <u>size number of characters</u> exceeds the specified limit, repeating the truncation and comparing steps until the <u>size of the data reduced number of characters</u> to be displayed from the selected data field is less than or equal to the specified limit.

Claim 9 (currently amended): The method of claim 8, wherein a parameter is related to the size of the data the number of characters to be displayed from the selected data field, and wherein the truncation comprises decrementing the parameter.

Claim 10 (original): The method of claim 9, wherein the parameter is decremented or incremented by a value of one.

Claim 11 (currently amended): The method of claim 8, wherein a parameter is related to the size of the data the number of characters to be displayed from the selected data field, and wherein the truncation comprises dividing the parameter by a value.

Claim 12 (original): The method of claim 11, wherein the value is two.

Claim 13 (currently amended): The method of claim 8, wherein a parameter is related to the size of the datathe number of characters to be displayed from the selected data field, and wherein the truncation comprises multiplying the parameter by a value.

Claim 14 (original): The method of claim 3, further comprising:

receiving a first constraint, wherein the first constraint is related to a data element in a data field; and

receiving one or more subsequent constraints, wherein search results are generated based on a combination of the first and the one or more subsequent constraints.

Claim 15 (currently amended): A <u>computer-implemented</u> method for searching a database, comprising:

selecting a first search term;

sending the first search term to a search engine;

receiving a first search result;

selecting and sending a second search term to the search engine <u>after the first search</u> result is received; and

receiving a second search result, wherein the second search result represents a combination of the first and the second search terms.

Claim 16 (currently amended): The method of claim 15, further comprising:

selecting and sending a third search term to the search engine;

dropping a prior search term, wherein the dropped prior search term in is one of the first and the second search terms; and

receiving a third search result comprising a combination of the third search term and one of the first and the second search terms.

Claim 17 (original): The method of claim 15, wherein the first search term is directed to a first database and wherein the second search term is directed to a second database.

Claim 18 (original): The method of claim 15, wherein the first search result is displayed as a truncated result list.

Claim 19 (original): The method of claim 18, further comprising specifying a size of the truncation.

Claim 20 (currently amended): A <u>computer-implemented</u> method for searching a database, comprising:

generating a list of data fields;

receiving a first data field selection from the list of data fields;

receiving a first constraint, wherein the first constraint is related to a data element in a data field; and

generating a first search result based on the first constraint;

displaying a menu, wherein the menu is populated with the first search result;

receiving one or more subsequent constraints; -and

conducting a second search, wherein the one or more subsequent constraints are used to search at least data associated with the first search result to generate a second search result. wherein search results are generated based on a combination of the first and the one or more subsequent constraints.

Claim 21 (original): The method of claim 20, further comprising:

determining a first quantity indicative of a number of entries of the selected data field; if the first quantity exceeds a specified limit, reducing a size of data to be displayed from the selected data field; and displaying data from the selected data field.

Application No. 09/935,565 Amendment dated June 3, 2005 Reply to Office Action of August 24, 2004

Claim 22 (original): The method of claim 21, wherein the specified limit is fixed.

Claim 23 (original): The method of claim 21, wherein the specified limit is variable.

Claim 24 (original): The method of claim 21, wherein the data are displayed on a terminal, and wherein the specified limit is determined dynamically, based on a characteristic of the terminal.

Claim 25 (original): The method of claim 21, wherein the specified limit is a user-determined limit.

Claim 26 (original): The method of claim 21, wherein the method for reducing the size of the data to be displayed from the selected data field comprises:

performing a truncation that reduces the size of the data to be displayed from the selected data field;

comparing the reduced size to the specified limit; and

if the reduced size exceeds the specified limit, repeating the truncation and comparing steps until the size of the data to be displayed from the selected data field is less than or equal to the specified limit.

Claim 27 (original): The method of claim 26, wherein a parameter is related to the size of the data to be displayed from the selected data field, and wherein the truncation comprises decrementing or incrementing the parameter.

Claim 28 (original): The method of claim 27, wherein the parameter is decremented or incremented by a value of one.

Claim 29 (original): The method of claim 26, wherein a parameter is related to the size of the data to be displayed from the selected data field, and wherein the truncation comprises dividing the parameter by a value.

Claim 30 (original): The method of claim 29, wherein the value is two.

Claim 31 (original): The method of claim 26, wherein a parameter is related to the size of the data to be displayed from the selected data field, and wherein the truncation comprises multiplying the parameter by a value.

Claim 32 (currently amended): A <u>computer-implemented</u> method for providing search functions in one or more databases, comprising:

receiving a first search term;

searching at least a first database using the first search term;

returning a first search result, wherein the first search result comprises a first list of elements in the first database;

receiving a second search term, after the first search result is returned;

conducting a second search by applying the second search term to one of the first list of elements and a second database; and

returning a second search result, wherein the second search result represents a search output based on a combination of the first and the second search terms.

Claim 33 (currently amended): The method of claim 32, further comprising:

receiving a third search term;

receiving a signal to drop one of the first and the second search terms;

dropping the selected one of the first and the second search terms, wherein dropping the selected one of the first and the second search terms provides a revised list of elements;

searching one of the revised list of elements and one of the second or subsequent databases using the third search term; and

returning a third list of elements-comprising, wherein the third list of elements represents the search output based on a combination of the third search term and the non-selected one of the first and the second search terms.

Claim 34 (original): The method of claim 32, wherein the first search result is returned as a truncated list of elements.

Claim 35 (currently amended): A <u>computer-implemented</u> method for navigating one or more databases, comprising:

receiving a first attribute associated with elements in one or more of the databases, wherein the first attribute comprises a first search term;

retuning returning a first search result based on the first attribute;

receiving a second attribute associated with elements in one or more of the databases, wherein the second attributes attribute comprises a second search term and is selected from contents of the first search result;

generating a second search result based on the second attribute, wherein the second attribute is used to search at least data associated with the first search result to generate the second search result and the second search result represents a merged search result; and merging the first and the second search results to provide a merged search result; and returning the merged search result.

Claim 36 (original): The method of claim 35, further comprising: truncating the merged search result based on a display size of a device receiving the merged search result.

Claims 37-40 (canceled).

Claim 41 (currently amended): A <u>computer-implemented</u> method for searching one or more databases, wherein each of the one or more databases comprises a plurality of fields, comprising:

getting a first list of fields of a first database;

applying a first filter to the <u>final-first</u> list of fields, wherein the <u>final-first</u> filter comprises a first search constraint;

applying a second filter to the first list of fields a result of applying the first filter, wherein the second filter comprises a second search constraint;

applying a third filter to the first list of filters a result of applying the second filter, wherein the third filter comprises a third search constraint;

removing at least one of the first, second and third filters, whereby a search result is generated; and

displaying the a search result of applying the third filter.

Claim 42 (new): The method of claim 41, further comprising:

removing at least one of the first, second and third filters, whereby a final search result is generated.

Claim 43 (new): A computer-implemented method for searching a database, comprising:

displaying a first list of database entries;

receiving a selection of a first search term from the displayed first list of database entries; sending the first search term to a search engine;

receiving a first search result;

displaying a menu, wherein the menu is populated with the result of the first search; receiving a selection of a second search term from the displayed menu;

sending the second search term to the search engine, wherein the second search term is used to search at least data associated with the first search result; and

receiving a second search result, wherein the second search result represents a search output based on a combination of the first and the second search terms.

Claim 44 (new): The method of claim 43, further comprising:

selecting and sending a third search term to the search engine, wherein the third search term is selected from contents of the second search result;

dropping a prior search term, wherein the dropped prior search term in one of the first and the second search terms; and

receiving a third search result, wherein the third search result represents the search output based on a combination of the third search term and one of the first and the second search terms.

Claim 45 (new): The method of claim 43, wherein the menu is one of a pop-up menu and a pull-down menu.

REMARKS

Claims 1-36 and 41-45 are pending in this application. Claims 1, 3, 6, 8, 9, 11, 13, 15, 16, 20, 32, 33, 35 and 41 are amended. Claims 42-45 are new. Claims 37-40 have been canceled without prejudice to or disclaimer of the underlying subject matter. No new matter is introduced. Reconsideration and issuance of a Notice of Allowance are respectfully requested in view of the foregoing amendments and following remarks.

Applicant thanks Primary Examiner Leslie Wong for the courtesies extended to Applicant's representatives, Sean Wooden and Sumeet Magoon, during the in-person interview on June 1, 2005 (hereinafter "the interview"). During the interview, Applicant's representatives discussed proposed amendments to independent claims 1, 3, 15, 20, 32, 35 and 41 (as shown above). New independent claim 43 was also discussed (added). Applicant's representatives described the differences between the proposed amended claims as well as new claim 41, and the applied references. Examiner Wong agreed that the proposed claim amendments and features of the new claim overcome the applied references.

To further prosecution, Applicant has amended the claims and added new claims as discussed during the interview.

In paragraphs 3-4 of the Office Action, claims 1, 3, 15, 20, 32, 35, 37 and 41 have been rejected based on non-statutory double patenting rejection. The Office Action states that current claims 1, 3, 15, 20, 32, 35, 37 and 41 are anticipated by claims 1, 3, 21, 22 and 39 of U.S. Patent No. 6,760,720 ("the '720 patent"). As discussed during the interview, this rejection is traversed. Claim 37 has been canceled, thus the rejection with respect to claim 37 is moot. Independent claims 1 and 3 (as currently amended) and independent claims 15, 20, 32, 35 and 41 (original and as currently amended) are not anticipated by claims 1, 3, 21, 22 and 39 of the '720 patent. Applicant attaches herewith a terminal disclaimer to overcome the obviousness-type non-statutory double patenting rejection of paragraphs 3-4.

The Office Action rejects under 35 U.S.C. 102(e) claims 15-19 and 32-39 as being anticipated by Crandall et al., U.S. Patent No. 6,321,228 (hereinafter *Crandall*). The Office Action rejects under 35 U.S.C. 103(a) claims 1-3, 14, 20-21 and 41 as being unpatentable over Maloney et al., U.S. Patent No. 5,701,453 (hereinafter *Maloney*), in view of *Crandall*. Claim 40 is rejected under 35 U.S.C. § 103(a) as being unpatentable over *Crandall* in view of Mani et al., U.S. Patent No. 5,848,406 (hereinafter *Mani*). Claims 4-8 and 22-26 are rejected under 35

Application No. 09/935,565

Amendment dated June 3, 2005

Reply to Office Action of August 24, 2004

U.S.C. § 103(a) as being unpatentable over *Maloney* in view of in view of *Crandall* and further in view of *Mani*. Claims 9-13 and 27-31 are rejected under 35 U.S.C. § 103(a) as being unpatentable over *Maloney* in view of *Crandall* and in view of *Mani* and further in view of Heckel, U.S. Patent No. 4,486,857 (hereinafter *Heckel*).

Independent claims 1, 3, 15, 20, 32, 35 and 41 have been amended and new claim 43 has been added as discussed during the interview. The rejections based on prior-art are rendered moot by the amendments and features of new claim 43. Allowance of claims 1-36 and 41-45 is respectfully requested.

CONCLUSION

In view of the above amendments and remarks, Applicants believe that all of the rejections against this application have been fully addressed and that the application is now in condition for allowance. Therefore, withdrawal of the outstanding objections and rejections and a notice of allowance for the application are respectfully requested.

If the Examiner believes that a personal or telephonic interview would be of value in expediting the prosecution of this application, the Examiner is hereby invited to telephone the undersigned counsel to arrange for such a conference.

Respectfully submitted,

Date: June 3, 2005

Sumeet Magoon Reg. No. 43,769

ANDREWS KURTH LLP

1701 Pennsylvania Avenue, N.W.

Suite 300

Washington, D.C. 20006 Telephone: (202) 662-2700

Fax: (202) 662-2739

Enclosures

TORNEY DOCKET NO.: 5607

PATENT APPLICATION TOW

IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

Joseph DeBELLIS

Confirmation No.:

9677

Application No.:

09/935,565

Examiner: L. WONG

Filing Date:

August 24, 2001

Group Art Unit:

2177

Title:

SEARCH-ON-THE-FLY WITH MERGE FUNCTION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Tran	smitted herewith is/are the following in the above-identified ap	plicati	on:
	Response/Amendment New fee as calculated below No additional fee		Petition to extend time to respond Correspondence Address Change
\boxtimes	Other: Petition to Revive		(fee \$ <u>750.00</u>)

		CLAIMS	AS AMEND	ED BY OTH	ER THAN A L	ARGE	ENTI	TY			
(1) FOR		(2) REMAINING AMENDMENT	(3) NUMBER EXTRA	HIGHES PREVIOUS	PRE	5) SENT TRA		(6) ATE	ADD	(7) ITIONAL EES	
TOTAL CLAIMS		41	MINUS		41	=	0	Х	\$25	\$	0
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	PETITION TO REVIVE FEE									\$	750
				TOTAL AD	DITIONAL FEE F	OR TH	HIS AM	END	MENT	\$	750

Please charge \$750.00 to Deposit Account No. 50-2849 to cover the above fees. In the event any discrepancy exists between the amount authorized to be charged and the actual charges, please charge or credit any such discrepancy to Deposit Account No. 50-2849.

ANDREWS KURTH LLP

Intellectual Property Department 1701 Pennsylvania Avenue, N.W. Suite 300

Washington, D.C. 20006

Telephone No.: (202) 662-2700 Facsimile No.: (202) 662-2739

Respectfully submitted,

Sumeet Magoon

Attorney/Agent for Applicant(s)

Reg. No. 43,769

Date: June 3, 2005

- Attach as First Page to Transmitted Papers -

ATTORNEY DOCKET NO.: 5607

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

nventor(s):

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L. WONG

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2177

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SEARCH-ON-THE-FLY WITH MERGE FUNCTION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CHANGE OF CORRESPONDENCE ADDRESS IN PENDING APPLICATION UNDER 37 C.F.R. § 1.33(d)

Sir:

Please change the Correspondence Address for the above-identified patent application to:

Customer Number: 038598 ANDREWS KURTH LLP 1701 Pennsylvania Avenue, N.W. Suite 300 Washington, D.C. 20006 (202) 662-2700 (telephone)

(202) 662-2739 (facsimile)

The undersigned requests this change of Correspondence Address as an Attorney or Agent of record in the above-identified patent application.

Respectfully submitted,

Customer No.: 038598 ANDREWS KURTH LLP

Intellectual Property Department 1701 Pennsylvania Avenue, N.W.

Suite 300

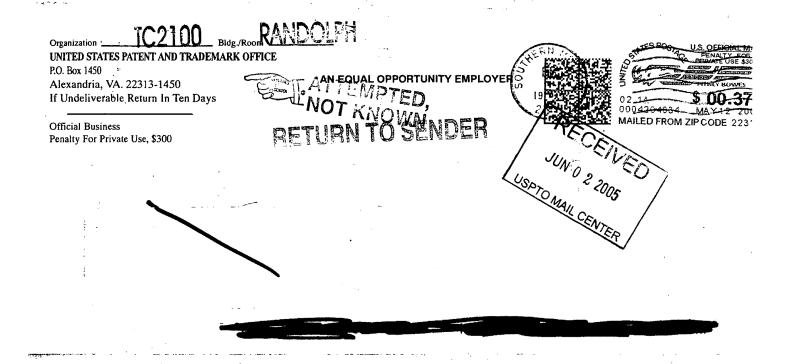
Washington, D.C. 20006

Telephone No.: (202) 662-2700 Facsimile No.: (202) 662-2739

John K. Harrop Attorney/Agent for Applicant(s)

Reg. No. 41,817

Date: June 3, 2005







United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/935,565	08/24/2001	Joseph De Bellis	5607	9677
7:	590 05/12/2005		EXAM	INER
DORSEY & V Suite 300	WHITNEY LLP	OIPE	WONG,	LESLIE
1660 Internatio	nal Drive	K.	ART UNIT	PAPER NUMBER
McLean, VA	1 .	UN 0 2 2005 (M)	2167	
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Please find below and/or attached an Office communication concerning this application or proceeding.

JUN-3 2001 JUN-3 2001

OIPE			
10H 0 2 2005 3	Application No.	Applicant(s)	
	09/935,565	DE BELLIS, JOSE	EPH
TRADE TRADE TRADE	Examiner	Art Unit	
	Leslie Wong	2167	
The MAILING DATE of this communicati	ion appears on the cover sheet w	rith the correspondence addi	ress

TRADE PROTICE OF Abandonment	Examiner	Art Unit	
	Leslie Wong	2167	
The MAILING DATE of this communication app	ears on the cover sheet with the c	orrespondence ad	ldress
This application is abandoned in view of:			
 Applicant's failure to timely file a proper reply to the Office (a) ☐ A reply was received on (with a Certificate of Note of period for reply (including a total extension of time of) 	Nailing or Transmission dated		expiration of the
(b) ☐ A proposed reply was received on, but it does	not constitute a proper reply under 3	7 CFR 1.113 (a) to	the final rejection.
(A proper reply under 37 CFR 1.113 to a final rejection application in condition for allowance; (2) a timely filed Continued Examination (RCE) in compliance with 37 (Notice of Appeal (with appeal fee);		
(c) A reply was received on but it does not constitutional rejection. See 37 CFR 1.85(a) and 1.111. (See		empt at a proper rep	ly, to the non-
(d) ⊠ No reply has been received.			
2. Applicant's failure to timely pay the required issue fee and from the mailing date of the Notice of Allowance (PTOL-8	5).		
(a) The issue fee and publication fee, if applicable, was), which is after the expiration of the statutory per Allowance (PTOL-85).	s received on (with a Certific eriod for payment of the issue fee (ar	ate of Mailing or Tr nd publication fee) s	ansmission dated et in the Notice of
(b) The submitted fee of \$ is insufficient. A balance	e of \$ is due.		·
The issue fee required by 37 CFR 1.18 is \$	The publication fee, if required by 37	CFR 1.18(d), is \$	•
(c) \square The issue fee and publication fee, if applicable, has no	ot been received.		
3. Applicant's failure to timely file corrected drawings as requ Allowability (PTO-37).			
(a) ☐ Proposed corrected drawings were received on after the expiration of the period for reply.	_(with a Certificate of Mailing or Trar	nsmission dated), which is
(b) No corrected drawings have been received.		100	
4. The letter of express abandonment which is signed by the the applicants.	e attorney or agent of record, the ass	ignee of the entire i	nterest, or all of
5. The letter of express abandonment which is signed by an 1.34(a)) upon the filing of a continuing application.	attorney or agent (acting in a repres	entative capacity u	nder 37 CFR
6. The decision by the Board of Patent Appeals and Interfer of the decision has expired and there are no allowed claim		se the period for see	king court review
7. The reason(s) below:			
See Continuation Sheet			
·		less	
		Leslie Wong	7
		Patent Examiner	
		Art Unit 2167	
Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdra minimize any negative effects on patent term.	w the holding of abandonment under 37	CFR 1.181, should be	promptly filed to

U.S. Patent and Trademark Office PTOL-1432 (Rev. 04-01)

Notice of Abandonment

Part of Paper No. 05102005

Item 7 - Other reasons for holding abandonment: Examiner contacted applicant's representative, Mr. Aldo Noto, on 6 May 2005 at Dorsey & Whitney LLP and was informed that Mr. Noto is no longer with the firm. The Dorsey & Whitney representative, Joe Hald, at the Denver Office (303) 629-3400 provided the contact information for Mr. Noto as follows: Andrews Kurth LLP (202) 662-2700. On, 10 May 2005, Examiner contacted the representative to inform him that a response to the Office Action that was sent out on 24 August 2004 has not been received. The representative's assistant, Ms. Margaret Jackson, indicated that he did not receive the Office Action because there has been a change of address and that he would file a petition to revive the application.



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/935,565	9/935,565 08/24/2001 Joseph De Bellis		5607	9677
7:	590 05/12/2005		EXAM	INER
	WHITNEY LLP		WONG,	LESLIE
Suite 300 1660 Internatio	nal Driva		ART UNIT	PAPER NUMBER
McLean, VA			2167	
			DATE MAILED: 05/12/200	5

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)

	Application No.	Applicant(s)			
Notice of Aboundance of	09/935,565	DE BELLIS, JOSEPH			
Notice of Abandonment	Examiner	Art Unit			
,	Leslie Wong	2167			
The MAILING DATE of this communication ap		<u> </u>			
This application is abandoned in view of					
Applicant's failure to timely file a proper reply to the Office (a) ☐ A reply was received on (with a Certificate of period for reply (including a total extension of time of	Mailing or Transmission dated month(s)) which expired on _				
(b) ☐ A proposed reply was received on, but it does					
(A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114).					
(c) ☐ A reply was received on but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below).					
(d) ⊠ No reply has been received.					
Applicant's failure to timely pay the required issue fee ar from the mailing date of the Notice of Allowance (PTOL-	Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85).				
(a) ☐ The issue fee and publication fee, if applicable, was received on (with a Certificate of Mailing or Transmission dated), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85).					
(b) ☐ The submitted fee of \$ is insufficient. A balance	ce of \$ is due.				
The issue fee required by 37 CFR 1.18 is \$	The publication fee, if required by 37	CFR 1.18(d), is \$			
(c) ☐ The issue fee and publication fee, if applicable, has r	not been received.				
3. Applicant's failure to timely file corrected drawings as rec Allowability (PTO-37).	uired by, and within the three-month	period set in, the Notice of			
(a) ☐ Proposed corrected drawings were received on after the expiration of the period for reply.	_ (with a Certificate of Mailing or Tra	nsmission dated), which is			
(b) ☐ No corrected drawings have been received.					
The letter of express abandonment which is signed by the the applicants.	ne attorney or agent of record, the ass	signee of the entire interest, or all of			
5. The letter of express abandonment which is signed by a 1.34(a)) upon the filing of a continuing application.	n attorney or agent (acting in a repre	sentative capacity under 37 CFR			
6. The decision by the Board of Patent Appeals and Interferof the decision has expired and there are no allowed cla		se the period for seeking court review			
7. 🛭 The reason(s) below:	•				
See Continuation Sheet					
		less			
		Leslie Wong Patent Examiner			
Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdominimize any negative effects on patent term.	raw the holding of abandonment under 37	Art Unit 2167 CFR 1.181, should be promptly filed to			
U.S. Patent and Trademark Office	of Abandonment	Part of Paper No. 05102005			

Item 7 - Other reasons for holding abandonment: Examiner contacted applicant's representative, Mr. Aldo Noto, on 6 May 2005 at Dorsey & Whitney LLP and was informed that Mr. Noto is no longer with the firm. The Dorsey & Whitney representative, Joe Hald, at the Denver Office (303) 629-3400 provided the contact information for Mr. Noto as follows: Andrews Kurth LLP (202) 662-2700. On, 10 May 2005, Examiner contacted the representative to inform him that a response to the Office Action that was sent out on 24 August 2004 has not been received. The representative's assistant, Ms. Margaret Jackson, indicated that he did not receive the Office Action because there has been a change of address and that he would file a petition to revive the application.





United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Adaress: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/935,565	935,565 08/24/2001 Joseph De Bellis		5607	9677
7	590 08/24/2004		EXAM	INER
	WHITNEY LLP		WONG,	LESLIE
Suite 300 1660 Internatio	onal Drive		ART UNIT	PAPER NUMBER
McLean, VA	22102		2177	
			DATE MAILED: 08/24/2004	4 D

Please find below and/or attached an Office communication concerning this application or proceeding.

RECEIVED

SEP 0 8 2004

Technology Center 2100

PTO-90C (Rev. 10/03)

	Application No.	Applicant(s)	
	09/935,565	DE BELLIS, JOSEPH	4 /,
Office Action Summary	Examiner	Art Unit	- \
	Leslie Wong	2177	
The MAILING DATE of this communication Period for Reply		rith the correspondence addre	ess
A SHORTENED STATUTORY PERIOD FOR RETHE MAILING DATE OF THIS COMMUNICATION - Extensions of time may be available under the provisions of 37 CF after SIX (6) MONTHS from the mailing date of this communication. If the period for reply specified above is less than thirty (30) days, If NO period for reply is specified above, the maximum statutory period for reply within the set or extended period for reply will, by sany reply received by the Office later than three months after the rearmed patent term adjustment. See 37 CFR 1.704(b).	ON. FR 1.136(a). In no event, however, may a in. a reply within the statutory minimum of thi eriod will apply and will expire SIX (6) MOI statute, cause the application to become A	reply be timely filed irty (30) days will be considered timely. NTHS from the mailing date of this comm NBANDONED (35 U.S.C. § 133).	runication.
Status			
1) Responsive to communication(s) filed on 2	<u>24 August 2001</u> .		
·	This action is non-final.		
 Since this application is in condition for all closed in accordance with the practice und 	· ·		erits is
Disposition of Claims	101 m/ parto 44-y; 1	2. 11, 120 0.0.	
4) Claim(s) 1-41 is/are pending in the applica	ation		
4a) Of the above claim(s) is/are with			
5) Claim(s) is/are allowed.	MINITED TO THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTROL OF THE CONTRO		
6)⊠ Claim(s) <u>1-41</u> is/are rejected.			
7) Claim(s) is/are objected to.			
8) Claim(s) are subject to restriction a	nd/or election requirement.		
Application Papers			
9)☐ The specification is objected to by the Exar	miner.		
10)⊠ The drawing(s) filed on 24 August 2001 is/s	are: a)□ accepted or b)⊠ o	bjected to by the Examiner.	
Applicant may not request that any objection to	the drawing(s) be held in abeya	nce. See 37 CFR 1.85(a).	
Replacement drawing sheet(s) including the co	•	= ' '	
11)☐ The oath or declaration is objected to by th	e Examiner. Note the attache	d Office Action or form PTO-	152.
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for for a) All b) Some * c) None of: 1. Certified copies of the priority docum 2. Certified copies of the priority docum 3. Copies of the certified copies of the application from the International But * See the attached detailed Office action for a	ments have been received. ments have been received in A priority documents have beer ureau (PCT Rule 17.2(a)).	Application No n received in this National Sta	age
Attachment(s)	4) 🔲 Intensions	O	
 Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948) 	Paper No(Summary (PTO-413) (s)/Mail Date	
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SE Paper No(s)/Mail Date 4/Nov04,01.		Informal Patent Application (PTO-15	i2)

U.S. Patent and Trademark Office PTOL-326 (Rev. 1-04)

Office Action Summary

Part of Paper No./Mail Date 5

DETAILED ACTION

Priority

· 1. The Applicant's claim to domestic priority under 35 U.S.C. §120, as a Continuation-in-Part of application 09/513,340, filed 25 February 2000, which claims to domestic priority under 35 U.S.C. §119(e), as a provisional of application serial number 60/227,305, filed on 24 August 2000, is acknowledged.

As a result, a priority date of no later than 24 August 20001 is established, and depending upon the specific subject matter claimed, the priority date could be as early as 24 August 2000, 25 February 2000, or 24 August 20001.

Information Disclosure Statement

2. Applicants' Information Disclosure Statement, filed 30 November 2001, has been received, entered into the record, and considered. See attached form PTO-1449.

Double Patenting

3. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See In re Goodman, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van Ornum, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

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Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

4. Claims 1, 3, 21, 22, and 39 of patent # 6,760,720 B1 contain(s) every element of representative claims 1, 3, 15, 20, 32, 35, and 41 of the instant application and as such anticipate(s) claims 1, 3, 15, 20, 32, 35, and 41 of the instant application.

Patent '720	Instant Application
1	1
3	3
21	15
3	20
21	32,35
22	37
39	41

"A later patent claim is not patentably distinct from an earlier patent claim if the later claim is obvious over, or **anticipated by**, the earlier claim. <u>In re Longi</u>, 759 F.2d at 896, 225 USPQ at 651 (affirming a holding of obviousness-type double patenting because the claims at issue were obvious over claims in four prior art patents); <u>In re Berg</u>, 140 F.3d at 1437, 46 USPQ2d at 1233 (Fed. Cir. 1998) (affirming a holding of obviousness-type double patenting where a patent application claim to a genus is anticipated by a patent claim to a species within that genus). " <u>ELI LILLY AND COMPANY v BARR LABORATORIES</u>, INC., United States Court of Appeals for the Federal Circuit, ON PETITION FOR REHEARING EN BANC (DECIDED: May 30, 2001).

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Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

6. Claims 15-19, 32-39 are rejected under 35 U.S.C. 102(e) as being anticipated by Crandall et al. (U.S. Patent 6,321,228 B1).

Regarding claim 15, **Crandall et al.** teaches a method for searching a database, comprising:

- a). selecting a first search term (col. 5, lines 32-35);
- b). sending the first search term to a search engine (col. 5, lines 23-25);
- c). receiving a first search result (col. 6, lines 11-12);
- d). selecting and sending a second search term to the search engine (col. 5, lines 25-29); and
- e). receiving a second search result, wherein the second search results represents a combination of the first and the second search terms (col. 5, lines 30-41; col. 6, lines 11-12).

Regarding claims 16 and 33, Crandall et al. further teaches

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a). selecting and sending a third search term to the search engine (col. 5, lines 26-27);

b). dropping a prior search term, wherein the dropped prior search term in one of the first and the second search terms (col. 5, lines 27-28); and

c). receiving a third search result comprising a combination of the third search term and one of the first and the second search terms (col. 5, lines 30-41; col. 6, lines 11-12).

Regarding claim 17, **Crandall et al.** teaches wherein the first search term is directed to a first database and wherein the second search term is directed to a second database (col. 5, lines 65-67).

Regarding claims 18 and 34, **Crandall et al.** further teaches wherein the first search result is displayed as a truncated result list (col. 6, lines 13-15).

Regarding claim 19, **Crandall et al.,** further teaches a step specifying a size of the truncation (col. 6, lines 13-15).

Regarding claim 32, **Crandall et al.** teaches a method for providing search functions in one or more databases, comprising:

a). receiving a first search term (col. 5, lines 32-35);

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b). searching at least a first database using the first search term (col. 5, lines 23-25);

- c). returning a first search result, wherein the first search result comprises a first list of elements in the first database (col. 6, lines 11-12);
 - d). receiving a second search term (col. 5, lines 25-29);
- e). conducting a second search by applying the second search term to one of the first list of elements and a second database (col. 5, lines 25-29); and
- f). returning a second search result, wherein the second search results represents a combination of the first and the second search terms (col. 5, lines 30-41; col. 6, lines 11-12).

Regarding claim 35, **Crandall et al.** teaches a method for navigating one or more databases, comprising:

- a). receiving a first attribute associated with elements in one or more of the databases, wherein the first attribute comprises a first search term (col. 5, lines 32-35);
- b). returning a first search result based on the first attribute (col. 6, lines 11-12);
- c). receiving a second attribute associated with elements in one or more of the databases, wherein the second attributes comprises a second search term (col. 5, lines 25-29);
- d). generating a second search result based on the second attribute (col. 5, lines 25-29);

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e). merging the first and the second search results to provide a merged search result (col. 5, lines 30-41; col. 6, lines 11-12); and

f). returning the merged search result (col. 5, lines 30-41; col. 6, lines 11-12).

Regarding claim 36, **Crandall et al.** further teaches truncating the merged search result based on a display size of a device receiving the merged search result (col. 6, lines 13-15).

Regarding claim 37, **Crandall et al.** teaches a method for retrieving data from one or more databases; comprising:

- a). receiving a first constraint, wherein the first constraint relates to a first data attribute (col. 5, lines 32-35);
- b). receiving a second constraint, wherein the second constraint relates to a second data attribute (col. 5, lines 25-29);
- c). determining if the first and the second constraint are in a same merge group (i.e., Boolean AND) (Fig. 4C);
- d). generating a database query based on the determining step (col. 5, lines 30-41; col. 6, lines 11-12); and
- f). returning a first merged search result (col. 5, lines 30-41; col. 6, lines 11-12).

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Regarding claim 38, **Crandall et al.** further teaches wherein the first and the second constraints are in the same merge group, further comprising:

generating a Boolean AND as the database query (col. 5, lines 26-29).

Regarding claim 39, **Crandall et al.** further teaches wherein the first and the second constraint are in different merge groups, further comprising:

generating a Boolean OR as the database query (col. 5, lines 26-29).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 7. Claims 1-3, 14, 20-21, and 41 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Maloney et al.** (U.S. Patent 5,701,453) in view of **Crandall et al.** (U.S. Patent 6,321,228 B1).

Regarding claim 1, **Maloney et al.** teaches a method for displaying data comprising:

- a). determining a database schema for a database (col. 3, lines 1-6);
- b). providing a list of the database fields, wherein the list includes a descriptor indicating a data category (Fig. 18);

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c). receiving a search selection for a database field on the provided list of the database fields (col. 3, lines 7-10);

- d). determining a quantity of entries in the selected database field (col. 16, lines 6-8);
- e). **Maloney et al.** does not explicitly teaches a step wherein if the quantity exceed a specified amount; truncating data, and displaying the truncated data; and if the quantity does not exceed the specified amount, displaying contents of the database field.

Crandall et al., however, teaches wherein if the quantity exceeds a specified amount; truncating data, and displaying the truncated data (col. 6, lines 13-15); and if the quantity does not exceed the specified amount, displaying contents of the database field (col. 8, lines 25-27).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to allow the step of truncating the result set when it exceeded the predetermined threshold as taught by **Crandall et al.** as this would enable the system to manage and control the result to be displayed to the users based on the predetermined threshold.

Regarding claim 2, **Crandall et al.** teaches a step wherein providing a key word search (col. 7, lines 30-39).

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Regarding claim 3, **Maloney et al.** teaches a method for formatting data for display, comprising:

- a). generating a list of data fields (Fig. 18);
- b). receiving a first data field selection from the list of data fields (col. 3, lines7-10);
- c). determining a first quantity indicative of a number of entries of the selected data field (col. 16, lines 6-8);
- d). Maloney et al. does not explicitly teaches a step wherein if the quantity exceeds a specified limit, reducing a size of data to be displayed from the selected data field, and displaying contents of the database field.

Crandall et al., however, teaches wherein if the quantity exceeds a specified limit, reducing a size of data to be displayed from the selected data field (col. 6, lines 13-15); and displaying contents of the database field (col. 8, lines 25-27).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to allow the step of truncating the result set when it exceeded the predetermined threshold as taught by **Crandall et al.** as this would enable the system to manage and control the result to be displayed to the users based on the predetermined threshold.

Regarding claim 14, **Crandall et al.** further teach a step receiving a first constraint, wherein the first constraint is related to a data element in a data field; and

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receiving one or more subsequent constraints, wherein search results are generated based on a combination of the first and the one or more subsequent constraints (col. 5, lines 35-41).

Regarding claim 20, **Maloney et al.** teaches a method for searching a database, comprising:

- a). generating a list of data fields (Fig. 18);
- b). receiving a first data field selection from the list of data fields (col. 3, lines7-10);
- c). receiving a first constraint, wherein the first constraint is related to a data element in a data field (col. 3, lines 7-10; col. 5, lines 23-26); and
- d). **Maloney et al.** does not explicitly teach receiving one or more subsequent constraints, wherein search results are generated based on a combination of the first and the one or more subsequent constraints.

Crandall et al., however, teaches receiving one or more subsequent constraints, wherein search results are generated based on a combination of the first and the one or more subsequent constraints (col. 5, lines 25-29).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to allow the step of receiving one or more query constraints as taught by **Crandall et al.** as this would allow user to refine the query to generate the search results to meet user's criteria.

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Regarding claim 21, Maloney et al. does not explicitly teaches steps of:

a). determining a first quantity indicative of a number of entries of the selected data field:

- b). if the first quantity exceeds a specified limit, reducing a size of data to be displayed from the selected data field; and
 - c). displaying data from the selected data field.

Crandall et al., however, teaches wherein if the quantity exceeds a specified limit, reducing a size of data to be displayed from the selected data field (col. 6, lines 13-15); and displaying data from the selected data field (col. 8, lines 25-27).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to allow the step of truncating the result set when it exceeded the predetermined threshold as taught by **Crandall et al.** as this would enable the system to manage and control the result to be displayed to the users based on the predetermined threshold.

Regarding claim 41, **Maloney et al.** teaches a method for searching one or more databases, wherein each of the one or more databases comprises a plurality of fields, comprising:

- a). getting a first list of fields of a first database (Fig. 18);
- b). applying a first filter to the final list of fields, wherein the final filter comprises a first search constraint (col. 3, lines 7-10; col. 5, lines 23-26);
 - f). displaying the search result (Fig. 5, element 510).

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Maloney et al. does not explicitly teach the steps of:

c). applying a second filter to the first list of fields, wherein the second filter comprises a second search constraint;

- d). applying a third filter to the first list of filters, wherein the third filter comprises a third search constraint;
- e). removing at least one of the first, second and third filters, whereby a search result is generated.

Crandall et al., however, teaches the steps of:

- c). applying a second filter to the first list of fields, wherein the second filter comprises a second search constraint (col. 5, lines 29-37);
- d). applying a third filter to the first list of filters, wherein the third filter comprises a third search constraint (col. 5, lines 29-37);
- e). removing at least one of the first, second and third filters, whereby a search result is generated (col. 5, lines 59-64).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to allow the step of receiving one or more query constraints as taught by **Crandall et al.** as this would allow user to refine the query to generate the search results to meet user's criteria.

8. Claim 40 is rejected under 35 U.S.C. 103(a) as being unpatentable over **Crandall et al.** (U.S. Patent 6,321,228 B1) as applied to claims 15-19 and 32-39 above and in view of **Mani et al.** (U.S. Patent 5,848,406).

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Regarding claim 40, **Crandall et al.** does not explicitly teaches wherein the first and the second constraints are recovered using a wireless connector, and wherein the first merged search result is returned using the wireless connection.

Mani et al., however, teaches a step comprising displaying the data on a terminal, the terminal including one of a handheld device, a cellular phone, a geosynchronous positioning satellite (GPS) device, a wrist-worn device, an interactive phone device, a household appliance, a television, a television set top box, a handheld computer, a main frame computer and a personal computer as presenting information on devices of various sizes such as mobile computers and personal digital assistants (PDAs) (col. 2, lines 22-33). Thus, Mani et al. inherently teaches the use of wireless connection for communicating the result the user by teaching displaying data on a handheld device such as a PDA.

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to implement the step of displaying data on devices of varying sizes using the wireless connection as taught by **Mani et al.** in order to allow a user freedom to move within the wireless environment while remaining "connected" to a network. Furthermore, a wireless connection to a network allows a portable processor user the convenience of connecting to a network without having to plug into a docking station or use some other method of "hardwiring" to a network as suggested by Baber et al. at col. 2, lines 35-41.

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Claims 4-8 and 22-26 are rejected under 35 U.S.C. 103(a) as being unpatentable 9. over Maloney et al. (U.S. Patent 5,701,453) in view of Crandall et al. (U.S. Patent 6,321,228 B1) as applied to claims 1-3, 14, 20-21, and 41 above and further in view of Mani et al. (U.S. Patent 5,848,406).

Regarding claims 4, 5, 7, 22, 23, and 25, Maloney et al. and Crandall et al., do not explicitly teach a step wherein the specified limit is fixed, variable, or user-determined limit.

Mani et al., however, teaches a step wherein the specified limit is fixed, variable, or user-determined limit (col. 5, lines 22-25 and lines 35-44).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to include the step of defining the display threshold as taught by Mani et al. in order to allow a user to make use of very small display surface such as mobile computers or PDA to allow data to fit on the display screen of a specific device.

Regarding claims 6 and 24, Maloney et al. and Crandall et al., do not teach a step wherein the data are displayed on a terminal, and wherein the specified limit is determined dynamically, based on a characteristic of the terminal.

However, Mani et al. teaches a step wherein the data are displayed on a terminal, and wherein the specified limit is determined dynamically, based on a characteristic of the terminal (col. 2, lines 23-33).

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It would have been obvious to one of ordinary skill in the art at the time of the invention was made to employ the feature of displaying data based a characteristic of the terminal as taught by Mani et al. because it would accommodate various kinds of terminals having different display capabilities.

Regarding claims 8 and 26, Crandall et al. further teach a step wherein the method for reducing the size of the data to be displayed from the selected data field comprises:

performing a truncation that reduces the size of the data to be displayed a). from the selected data field (col. 6, lines 13-15);

Maloney et al. and Crandall et al., do not explicitly teach the steps of:

- b). comparing the reduced size to the specified limit; and
- if the reduced size exceeds the specified limit, repeating the truncation c). and comparing steps until the size of the data to be displayed from the selected data field is less than or equal to the specified limit.

However, Mani et al. teaches a step wherein the method for reducing the size of the data to be displayed from the selected data field comprises:

- b). comparing the reduced size to the specified limit (col. 5, lines 39-40); and
 - c). if the reduced size exceeds the specified limit, repeating the truncation and comparing steps until the size of the data to be displayed from the selected data field is less than or equal to the specified limit (col. 5, lines 39-49).

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It would have been obvious to one of ordinary skill in the art at the time of the invention was made to include the feature of reducing the size exceeds the specified limit and repeating the truncation and comparing steps until the size of the data to be displayed from the selected data field is less than or equal to the specified limit as taught by **Mani et al.** in order to adjust the output to fit the display area of various devices.

10. Claims 9-13 and 27-31 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Maloney et al.** (U.S. Patent 5,701,453) in view of **Crandall et al.** (U.S. Patent 6,321,228 B1) and in view of **Mani et al.** (U.S. Patent 5,848,406) as applied to claims 4-8 and 27 above and further in view of **Heckel** (U.S. Patent 4,486,857).

Regarding claims 9-13 and 27-31, **Maloney et al., Crandall et al., and Mani et al.,** do not explicitly teach a step wherein a parameter is related to the size of the data to be displayed from the selected data field, and wherein the truncation comprises dividing the parameter by a value and wherein the value is two.

However, **Heckel** teaches a step wherein a parameter is related to the size of the data to be displayed from the selected data field, and wherein the truncation comprises dividing the parameter by a value and wherein the value is integer (col. 5, line 7 – col. 6, line 14).

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It would have been obvious to one of ordinary skill in the art at the time of the invention was made to employ the data reduction method as taught by **Heckel** to calculate the display capacity of the target terminal and determine if the selected data field need to be adjusted in order to fit on the display.

Conclusion

11. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Baber et al. (U.S. Patent 6,279,041B1).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Leslie Wong whose telephone number is (703) 305-3018. The examiner can normally be reached on Monday to Friday 9:30am - 6:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John E Breene can be reached on (703) 305-9790. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Art Unit: 2177

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Leslie Wong Patent Examiner Art Unit 2177

LW August 20, 2004 Page 19

Application/Control No. Applicant(s)/Patent Under Reexamination 09/935,565 DE BELLIS, JOSEPH Notice of References Cited Examiner Art Unit Page 1 of 1 2177 Leslie Wong U.S. PATENT DOCUMENTS **Document Number** Date Name Classification Country Code-Number-Kind Code MM-YYYY 707/10 US-6,321,228 B1 11-2001 Crandall et al. 12-1997 707/2 US-5,701,453 Maloney et al. 707/2 12-1998 US-5,848,406 A Mani et al. С D US-6,279,041 B1 08-2001 Baber et al. 709/232 US-4,486,857 12-1984 Heckel, Paul C. 715/508 Ε US-F US-G US-Н US--1 US-J US-Κ US-US-М FOREIGN PATENT DOCUMENTS Document Number Date Classification Country Name Country Code-Number-Kind Code MM-YYYY Ν 0 Р Q R S Т **NON-PATENT DOCUMENTS** Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) W

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 5

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		6,061,797	5/9/00	Jade et	al.	713	201	
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		5,893,107	4/6/99	Chan et	: al.	707	103	
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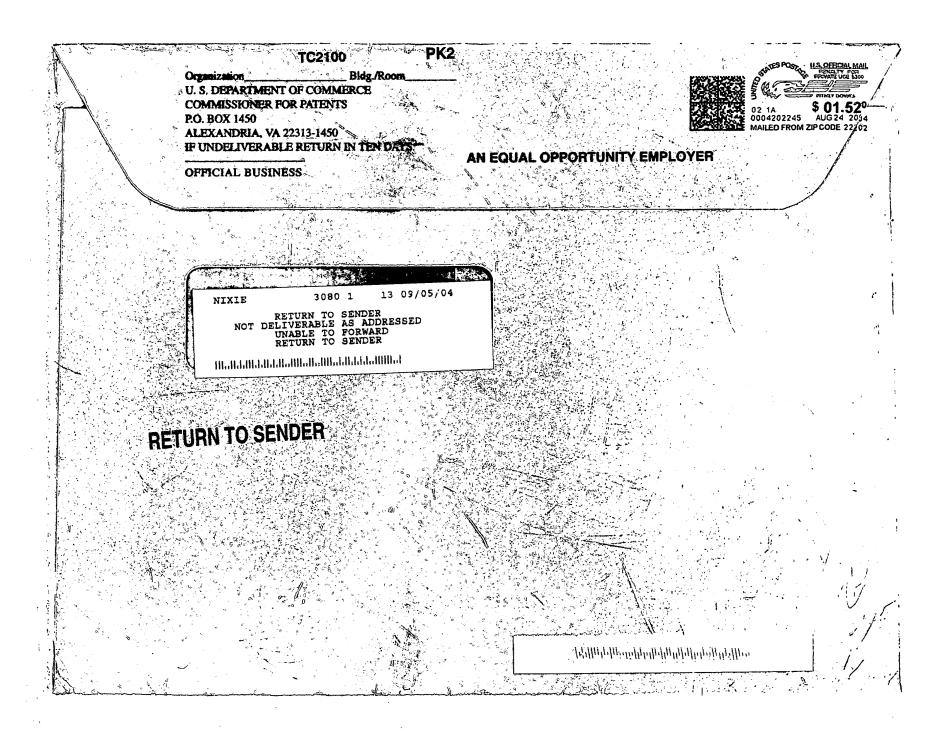
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	1		5,450,581	9/12/95	Bergen	et al.	355 707	600 9	PR	
			5,519,866	5/21/96	Lawren	ce et al.	30571	103 OU	8	1/2
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			5,970,490	10/19/99	Morgensten		707	10		
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/935,565 08/24/2001		Joseph De Bellis	5607	9677
7	7590 08/24/2004		EXAMI	NER
	WHITNEY LLP		WONG, I	ESLIE
Suite 300 1660 Internation	onal Drive		ART UNIT	PAPER NUMBER
McLean, VA	22102		2177	
			DATE MAILED: 08/24/2004	5

Please find below and/or attached an Office communication concerning this application or proceeding.

SZ.

•	Application No.	Applicant(s)	81/			
	09/935,565	DE BELLIS, JOSEPH	d/			
Office Action Summary	Examiner	Art Unit				
	Leslie Wong	2177				
The MAILING DATE of this communication app Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.		·				
 Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. If the period for reply specified above is less than thirty (30) days, a reply If NO period for reply is specified above, the maximum statutory period verailure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). 	y within the statutory minimum of thirty (30) days will apply and will expire SIX (6) MONTHS from t , cause the application to become ABANDONED	s will be considered timely. the mailing date of this communicati O (35 U.S.C. § 133).	ion.			
Status						
1) Responsive to communication(s) filed on 24 A	<u>ugust 2001</u> .					
2a) ☐ This action is FINAL . 2b) ☑ This	action is non-final.					
3) Since this application is in condition for allowar closed in accordance with the practice under E	•		is			
Disposition of Claims						
4) Claim(s) 1-41 is/are pending in the application.						
4a) Of the above claim(s) is/are withdray 5) Claim(s) is/are allowed.	wit from consideration.	•				
6)⊠ Claim(s) <u>1-41</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/or	r election requirement.					
Application Papers						
9) The specification is objected to by the Examine	r.					
10)⊠ The drawing(s) filed on <u>24 August 2001</u> is/are:		•				
Applicant may not request that any objection to the	* · · ·	` '				
Replacement drawing sheet(s) including the correction 11) The oath or declaration is objected to by the Ex			• •			
Priority under 35 U.S.C. § 119						
12) ☐ Acknowledgment is made of a claim for foreign a) ☐ All b) ☐ Some * c) ☐ None of:	priority under 35 U.S.C. § 119(a)-	·(d) or (f).				
1. Certified copies of the priority documents	s have been received.					
2. Certified copies of the priority documents	s have been received in Application	on No				
Copies of the certified copies of the prior	-	d in this National Stage				
application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list	of the certified copies not received	1.				
Attachment(s)	_					
1) Motice of References Cited (PTO-892) 2) D Notice of Draftsperson's Patent Drawing Review (PTO-948)	4)					
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 4/Nov04.01.	5) Notice of Informal Pa 6) Other:					

U.S. Patent and Trademark Office PTOL-326 (Rev. 1-04)

Office Action Summary

Part of Paper No./Mail Date 5

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Page 2

DETAILED ACTION

Priority

1. The Applicant's claim to domestic priority under 35 U.S.C. §120, as a Continuation—in-Part of application 09/513,340, filed 25 February 2000, which claims to domestic priority under 35 U.S.C. §119(e), as a provisional of application serial number 60/227,305, filed on 24 August 2000, is acknowledged.

As a result, a priority date of no later than 24 August 20001 is established, and depending upon the specific subject matter claimed, the priority date could be as early as 24 August 2000, 25 February 2000, or 24 August 20001.

Information Disclosure Statement

2. Applicants' Information Disclosure Statement, filed 30 November 2001, has been received, entered into the record, and considered. See attached form PTO-1449.

Double Patenting

3. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970);and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

4. Claims 1, 3, 21, 22, and 39 of patent # 6,760,720 B1 contain(s) every element of representative claims 1, 3, 15, 20, 32, 35, and 41 of the instant application and as such anticipate(s) claims 1, 3, 15, 20, 32, 35, and 41 of the instant application.

Patent '720	Instant Application
1	11
3	3
21	15
3	20
21	32,35
22	37
39	41

"A later patent claim is not patentably distinct from an earlier patent claim if the later claim is obvious over, or anticipated by, the earlier claim. In re Longi, 759 F.2d at 896, 225 USPQ at 651 (affirming a holding of obviousness-type double patenting because the claims at issue were obvious over claims in four prior art patents); In re Berg, 140 F.3d at 1437, 46 USPQ2d at 1233 (Fed. Cir. 1998) (affirming a holding of obviousnesstype double patenting where a patent application claim to a genus is anticipated by a patent claim to a species within that genus). " ELI LILLY AND COMPANY v BARR LABORATORIES, INC., United States Court of Appeals for the Federal Circuit, ON PETITION FOR REHEARING EN BANC (DECIDED: May 30, 2001).

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Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

6. Claims 15-19, 32-39 are rejected under 35 U.S.C. 102(e) as being anticipated by Crandall et al. (U.S. Patent 6,321,228 B1).

Regarding claim 15, **Crandall et al.** teaches a method for searching a database, comprising:

- a). selecting a first search term (col. 5, lines 32-35);
- b). sending the first search term to a search engine (col. 5, lines 23-25);
- c). receiving a first search result (col. 6, lines 11-12);
- d). selecting and sending a second search term to the search engine (col. 5, lines 25-29); and
- e). receiving a second search result, wherein the second search results represents a combination of the first and the second search terms (col. 5, lines 30-41; col. 6, lines 11-12).

Regarding claims 16 and 33, Crandall et al. further teaches

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selecting and sending a third search term to the search engine (col. 5, a). lines 26-27);

- b). dropping a prior search term, wherein the dropped prior search term in one of the first and the second search terms (col. 5, lines 27-28); and
- **C)**. receiving a third search result comprising a combination of the third search term and one of the first and the second search terms (col. 5, lines 30-41; col. 6, lines 11-12).

Regarding claim 17, Crandall et al. teaches wherein the first search term is directed to a first database and wherein the second search term is directed to a second database (col. 5, lines 65-67).

Regarding claims 18 and 34, Crandall et al. further teaches wherein the first search result is displayed as a truncated result list (col. 6, lines 13-15).

Regarding claim 19, Crandall et al., further teaches a step specifying a size of the truncation (col. 6, lines 13-15).

Regarding claim 32, Crandall et al. teaches a method for providing search functions in one or more databases, comprising:

a). receiving a first search term (col. 5, lines 32-35); Art Unit: 2177

b). searching at least a first database using the first search term (col. 5, lines 23-25);

- c). returning a first search result, wherein the first search result comprises a first list of elements in the first database (col. 6, lines 11-12);
 - d). receiving a second search term (col. 5, lines 25-29);
- e). conducting a second search by applying the second search term to one of the first list of elements and a second database (col. 5, lines 25-29); and
- f). returning a second search result, wherein the second search results represents a combination of the first and the second search terms (col. 5, lines 30-41; col. 6, lines 11-12).

Regarding claim 35, **Crandall et al.** teaches a method for navigating one or more databases, comprising:

- a). receiving a first attribute associated with elements in one or more of the databases, wherein the first attribute comprises a first search term (col. 5, lines 32-35);
- b). returning a first search result based on the first attribute (col. 6, lines 11-12);
- c). receiving a second attribute associated with elements in one or more of the databases, wherein the second attributes comprises a second search term (col. 5, lines 25-29);
- d). generating a second search result based on the second attribute (col. 5, lines 25-29);

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e). merging the first and the second search results to provide a merged search result (col. 5, lines 30-41; col. 6, lines 11-12); and

f). returning the merged search result (col. 5, lines 30-41; col. 6, lines 11-12).

Regarding claim 36, **Crandall et al.** further teaches truncating the merged search result based on a display size of a device receiving the merged search result (col. 6, lines 13-15).

Regarding claim 37, **Crandall et al.** teaches a method for retrieving data from one or more databases; comprising:

- a). receiving a first constraint, wherein the first constraint relates to a first data attribute (col. 5, lines 32-35);
- b). receiving a second constraint, wherein the second constraint relates to a second data attribute (col. 5, lines 25-29);
- c). determining if the first and the second constraint are in a same merge group (i.e., Boolean AND) (Fig. 4C);
- d). generating a database query based on the determining step (col. 5, lines 30-41; col. 6, lines 11-12); and
- f). returning a first merged search result (col. 5, lines 30-41; col. 6, lines 11-12).

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Regarding claim 38, **Crandall et al.** further teaches wherein the first and the second constraints are in the same merge group, further comprising:

generating a Boolean AND as the database query (col. 5, lines 26-29).

Regarding claim 39, **Crandall et al.** further teaches wherein the first and the second constraint are in different merge groups, further comprising:

generating a Boolean OR as the database query (col. 5, lines 26-29).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 7. Claims 1-3, 14, 20-21, and 41 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Maloney et al.** (U.S. Patent 5,701,453) in view of **Crandall et al.** (U.S. Patent 6,321,228 B1).

Regarding claim 1, **Maloney et al.** teaches a method for displaying data comprising:

- a). determining a database schema for a database (col. 3, lines 1-6);
- b). providing a list of the database fields, wherein the list includes a descriptor indicating a data category (Fig. 18);

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c). receiving a search selection for a database field on the provided list of

the database fields (col. 3, lines 7-10);

d). determining a quantity of entries in the selected database field (col. 16,

lines 6-8);

e). Maloney et al. does not explicitly teaches a step wherein if the quantity

exceed a specified amount; truncating data, and displaying the truncated data; and if

the quantity does not exceed the specified amount, displaying contents of the database

field.

Crandall et al., however, teaches wherein if the quantity exceeds a specified

amount; truncating data, and displaying the truncated data (col. 6, lines 13-15); and if

the quantity does not exceed the specified amount, displaying contents of the database

field (col. 8, lines 25-27).

It would have been obvious to one of ordinary skill in the art at the time of the

invention was made to allow the step of truncating the result set when it exceeded the

predetermined threshold as taught by Crandall et al. as this would enable the system to

manage and control the result to be displayed to the users based on the predetermined

threshold.

Regarding claim 2, Crandall et al. teaches a step wherein providing a key word

search (col. 7, lines 30-39).

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Regarding claim 3, **Maloney et al.** teaches a method for formatting data for display, comprising:

- a). generating a list of data fields (Fig. 18);
- b). receiving a first data field selection from the list of data fields (col. 3, lines7-10);
- c). determining a first quantity indicative of a number of entries of the selected data field (col. 16, lines 6-8);
- d). **Maloney et al.** does not explicitly teaches a step wherein if the quantity exceeds a specified limit, reducing a size of data to be displayed from the selected data field, and displaying contents of the database field.

Crandall et al., however, teaches wherein if the quantity exceeds a specified limit, reducing a size of data to be displayed from the selected data field (col. 6, lines 13-15); and displaying contents of the database field (col. 8, lines 25-27).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to allow the step of truncating the result set when it exceeded the predetermined threshold as taught by **Crandall et al.** as this would enable the system to manage and control the result to be displayed to the users based on the predetermined threshold.

Regarding claim 14, **Crandall et al.** further teach a step receiving a first constraint, wherein the first constraint is related to a data element in a data field; and

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receiving one or more subsequent constraints, wherein search results are generated based on a combination of the first and the one or more subsequent constraints (col. 5, lines 35-41).

Regarding claim 20, **Maloney et al.** teaches a method for searching a database, comprising:

- a). generating a list of data fields (Fig. 18);
- b). receiving a first data field selection from the list of data fields (col. 3, lines7-10);
- c). receiving a first constraint, wherein the first constraint is related to a data element in a data field (col. 3, lines 7-10; col. 5, lines 23-26); and
- d). **Maloney et al.** does not explicitly teach receiving one or more subsequent constraints, wherein search results are generated based on a combination of the first and the one or more subsequent constraints.

Crandall et al., however, teaches receiving one or more subsequent constraints, wherein search results are generated based on a combination of the first and the one or more subsequent constraints (col. 5, lines 25-29).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to allow the step of receiving one or more query constraints as taught by **Crandall et al.** as this would allow user to refine the query to generate the search results to meet user's criteria.

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Regarding claim 21, Maloney et al. does not explicitly teaches steps of:

- a). determining a first quantity indicative of a number of entries of the selected data field:
- b). if the first quantity exceeds a specified limit, reducing a size of data to be displayed from the selected data field; and
 - c). displaying data from the selected data field.

Crandall et al., however, teaches wherein if the quantity exceeds a specified limit, reducing a size of data to be displayed from the selected data field (col. 6, lines 13-15); and displaying data from the selected data field (col. 8, lines 25-27).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to allow the step of truncating the result set when it exceeded the predetermined threshold as taught by **Crandall et al.** as this would enable the system to manage and control the result to be displayed to the users based on the predetermined threshold.

Regarding claim 41, **Maloney et al.** teaches a method for searching one or more databases, wherein each of the one or more databases comprises a plurality of fields, comprising:

- a). getting a first list of fields of a first database (Fig. 18);
- b). applying a first filter to the final list of fields, wherein the final filter comprises a first search constraint (col. 3, lines 7-10; col. 5, lines 23-26);
 - f). displaying the search result (Fig. 5, element 510).

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Maloney et al. does not explicitly teach the steps of:

c). applying a second filter to the first list of fields, wherein the second filter comprises a second search constraint;

- d). applying a third filter to the first list of filters, wherein the third filter comprises a third search constraint;
- e). removing at least one of the first, second and third filters, whereby a search result is generated.

Crandall et al., however, teaches the steps of:

- c). applying a second filter to the first list of fields, wherein the second filter
 comprises a second search constraint (col. 5, lines 29-37);
- d). applying a third filter to the first list of filters, wherein the third filter comprises a third search constraint (col. 5, lines 29-37);
- e). removing at least one of the first, second and third filters, whereby a search result is generated (col. 5, lines 59-64).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to allow the step of receiving one or more query constraints as taught by **Crandall et al.** as this would allow user to refine the query to generate the search results to meet user's criteria.

8. Claim 40 is rejected under 35 U.S.C. 103(a) as being unpatentable over

• Crandall et al. (U.S. Patent 6,321,228 B1) as applied to claims 15-19 and 32-39 above and in view of Mani et al. (U.S. Patent 5,848,406).

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Regarding claim 40, **Crandall et al.** does not explicitly teaches wherein the first and the second constraints are recovered using a wireless connector, and wherein the first merged search result is returned using the wireless connection.

Mani et al., however, teaches a step comprising displaying the data on a terminal, the terminal including one of a handheld device, a cellular phone, a geosynchronous positioning satellite (GPS) device, a wrist-worn device, an interactive phone device, a household appliance, a television, a television set top box, a handheld computer, a main frame computer and a personal computer as presenting information on devices of various sizes such as mobile computers and personal digital assistants (PDAs) (col. 2, lines 22-33). Thus, Mani et al. inherently teaches the use of wireless connection for communicating the result the user by teaching displaying data on a handheld device such as a PDA.

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to implement the step of displaying data on devices of varying sizes using the wireless connection as taught by **Mani et al.** in order to allow a user freedom to move within the wireless environment while remaining "connected" to a network. Furthermore, a wireless connection to a network allows a portable processor user the convenience of connecting to a network without having to plug into a docking station or use some other method of "hardwiring" to a network as suggested by Baber et al. at col. 2, lines 35-41.

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9. Claims 4-8 and 22-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Maloney et al.** (U.S. Patent 5,701,453) in view of **Crandall et al.** (U.S. Patent 6,321,228 B1) as applied to claims 1-3, 14, 20-21, and 41 above and further in view of **Mani et al.** (U.S. Patent 5,848,406).

Regarding claims 4, 5, 7, 22, 23, and 25, **Maloney et al. and Crandall et al.,** do not explicitly teach a step wherein the specified limit is fixed, variable, or user-determined limit.

Mani et al., however, teaches a step wherein the specified limit is fixed, variable, or user-determined limit (col. 5, lines 22-25 and lines 35-44).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to include the step of defining the display threshold as taught by **Mani et al.** in order to allow a user to make use of very small display surface such as mobile computers or PDA to allow data to fit on the display screen of a specific device.

Regarding claims 6 and 24, **Maloney et al. and Crandall et al.,** do not teach a step wherein the data are displayed on a terminal, and wherein the specified limit is determined dynamically, based on a characteristic of the terminal.

However, **Mani et al.** teaches a step wherein the data are displayed on a terminal, and wherein the specified limit is determined dynamically, based on a characteristic of the terminal (col. 2, lines 23-33).

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It would have been obvious to one of ordinary skill in the art at the time of the invention was made to employ the feature of displaying data based a characteristic of the terminal as taught by **Mani et al.** because it would accommodate various kinds of terminals having different display capabilities.

Regarding claims 8 and 26, **Crandall et al.** further teach a step wherein the method for reducing the size of the data to be displayed from the selected data field comprises:

a). performing a truncation that reduces the size of the data to be displayed from the selected data field (col. 6, lines 13-15);

Maloney et al. and Crandall et al., do not explicitly teach the steps of:

- b). comparing the reduced size to the specified limit; and
- c). if the reduced size exceeds the specified limit, repeating the truncation and comparing steps until the size of the data to be displayed from the selected data field is less than or equal to the specified limit.

However, **Mani et al.** teaches a step wherein the method for reducing the size of the data to be displayed from the selected data field comprises:

- b). comparing the reduced size to the specified limit (col. 5, lines 39-40); and
 - c). if the reduced size exceeds the specified limit, repeating the truncation and comparing steps until the size of the data to be displayed from the selected data field is less than or equal to the specified limit (col. 5, lines 39-49).

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It would have been obvious to one of ordinary skill in the art at the time of the invention was made to include the feature of reducing the size exceeds the specified limit and repeating the truncation and comparing steps until the size of the data to be displayed from the selected data field is less than or equal to the specified limit as taught by **Mani et al.** in order to adjust the output to fit the display area of various devices.

10. Claims 9-13 and 27-31 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Maloney et al.** (U.S. Patent 5,701,453) in view of **Crandall et al.** (U.S. Patent 6,321,228 B1) and in view of **Mani et al.** (U.S. Patent 5,848,406) as applied to claims 4-8 and 27 above and further in view of **Heckel** (U.S. Patent 4,486,857).

Regarding claims 9-13 and 27-31, **Maloney et al., Crandall et al., and Mani et al.,** do not explicitly teach a step wherein a parameter is related to the size of the data to be displayed from the selected data field, and wherein the truncation comprises dividing the parameter by a value and wherein the value is two.

However, **Heckel** teaches a step wherein a parameter is related to the size of the data to be displayed from the selected data field, and wherein the truncation comprises dividing the parameter by a value and wherein the value is integer (col. 5, line 7 – col. 6, line 14).

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It would have been obvious to one of ordinary skill in the art at the time of the invention was made to employ the data reduction method as taught by **Heckel** to calculate the display capacity of the target terminal and determine if the selected data field need to be adjusted in order to fit on the display.

Conclusion

11. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Baber et al. (U.S. Patent 6,279,041B1).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Leslie Wong whose telephone number is (703) 305-3018. The examiner can normally be reached on Monday to Friday 9:30am - 6:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John E Breene can be reached on (703) 305-9790. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

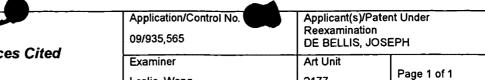
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Leslie Wong Patent Examiner Art Unit 2177

LW August 20, 2004



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"6047322"	Ì	
"6046980"		
"6167445").pn.) and sea		
or criteria) and truncat\$	1	
- 1 (("6195689"	USPAT	2002/04/10
"6185619"		11:15
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"6046980"		
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or criteria)		<u> </u>

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· I	1	(("6298342"	USPAT	2002/04/10
1		"6094649"		11:18
1		"5553281"		
		"5201046"		
		"5802524"		
		"6338056"		
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1.		"6108648"		
		"5737736"		
		"6058391"		
1 1		"6199062"		
		"6219670").pn.) and search near (selection		
	۰	or criteria) and truncat\$3	HEDAT	2002/04/40
•	1	(("6298342"	USPAT	2002/04/10
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	'	"5553281"		
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		"5740421"		
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\ \		"6108648"		
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		"6058391"		
1.		"6199062"		
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1		and truncat\$3		
-	16	("6298342"	USPAT	2002/04/10
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		"5553281"		
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i i	Ì	"5802524"		
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		"5995959"	US-PGPUB;	14:06
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1	• • •	"5995973"	US-PGPUB;	14:07
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		"5835912"	DERWENT;	
		"5826258"	IBM_TDB	,
1		"5995974"	_	
i		"6223179"		
1		"6223179"	Ì	
		"5548749"		
Î		"5550971"		
]		"5615112"		
		"5717924"		
		"5809297"		
		"5428735"		
1		"5594641"	ļ	
		"5625554"		
		"6198483"	}	
1		"5893095"		
İ		"5911139"		
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ĺ		"5915250"	į	
		"6169992"		
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		"5619713"		
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		"6334123"		
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		"5903887"		
		"5664172"		
		"5671436"		
		"5758145"		
		"5787415"		
		"5940822"		
ĺ		"5978792"		
		"6052681"		
		"6088524"		
		"6105017"		
		"6112206"		
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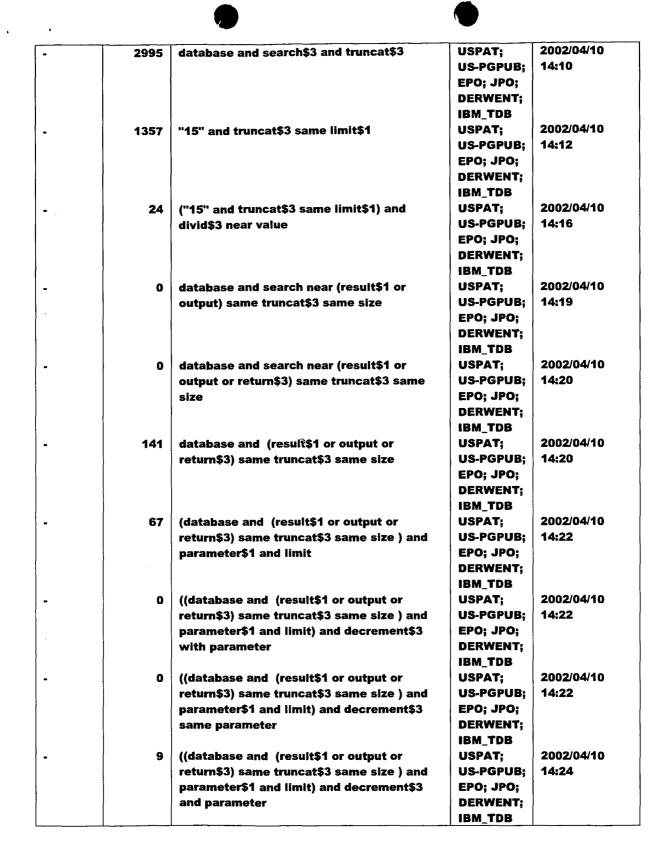
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"6088524"	
"6105017"	
"6112206"	
"6182079"	
"6263342" "6272499"\ ==	
"6272488").pn.	
) and truncat\$3 same search\$3 near	
(result\$1 or output)	

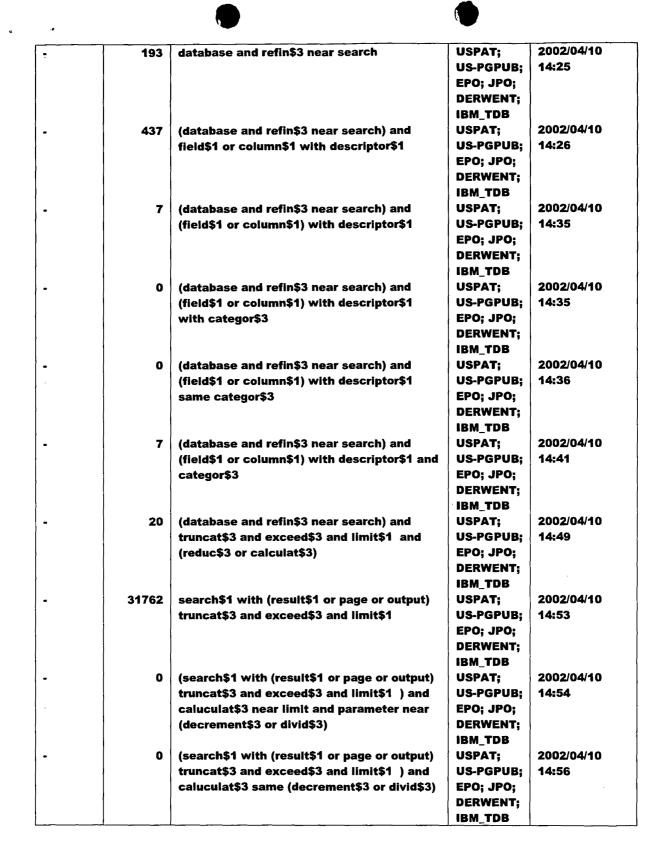
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· j		"5995973"	:	US-PGPUB;	14:09
		"6243703"		EPO; JPO;	
İ		"5835912"		DERWENT;	
		"5826258"	!	IBM_TDB	
}		"5995974"			
		"6223179"	l l		
		"6223179"			
			:		
ì		"5548749" "5550971"			
				:	
į		"5615112"			
		"5717924"			
•		"5809297"			
		"5428735"			
		"5594641"		i	
ļ		"5625554"			
		"6198483"			
}		"5893095"			
		"5911139"			
1		"5913205"			
		"5915250"			
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j		"5548769"			
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l		"5619713"			
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Ì		"6032151"			
•		"6282540"			
i		"6334123"			
ļ		"5404510"			
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İ		"6105017"	ı		
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ł		"6182079"	I		
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ļ		"6272488").pn.	· · · · · · · · · · · · · · · · · · ·		
) and truncat\$3			<u></u>

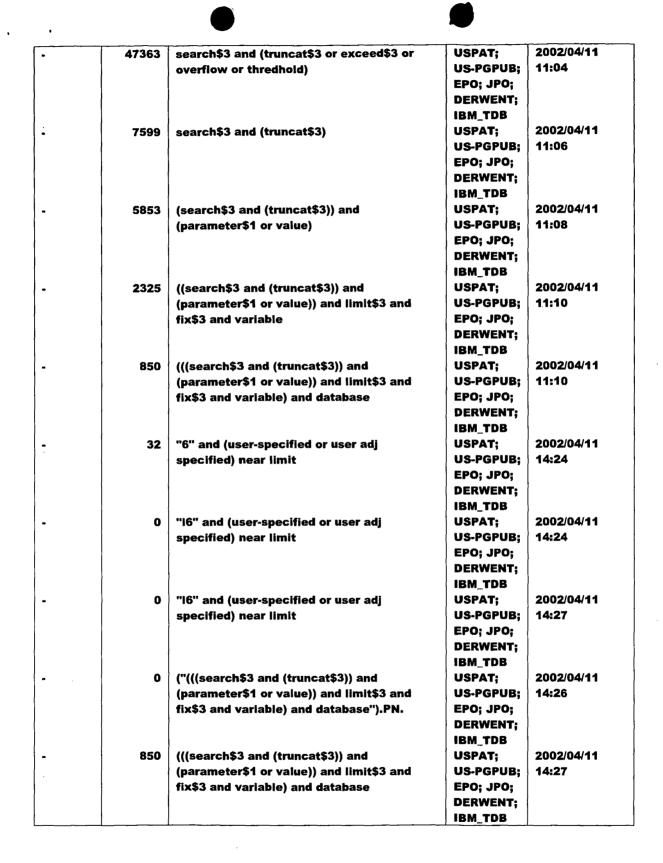
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•				
•	0	((((search\$3 and (truncat\$3)) and	USPAT;	2002/04/11
	İ	(parameter\$1 or value)) and limit\$3 and	US-PGPUB;	14:27
		fix\$3 and variable) and database) and	EPO; JPO;	
		(user-specified or user adj specified) near	DERWENT;	
	1	limit	IBM_TDB	
-	559	((((search\$3 and (truncat\$3)) and	USPAT;	2002/04/11
	}	(parameter\$1 or value)) and limit\$3 and	US-PGPUB;	14:27
		fix\$3 and variable) and database) and limit	EPO; JPO;	
	ļ		DERWENT;	
			IBM_TDB	
-	436	(((((search\$3 and (truncat\$3)) and	USPAT;	2002/04/11
		(parameter\$1 or value)) and limit\$3 and	US-PGPUB;	14:28
		fix\$3 and variable) and database) and limit)	EPO; JPO;	
		and specified	DERWENT;	
	1		IBM TDB	
	60	(((((search\$3 and (truncat\$3)) and	USPAT;	2002/04/11
•		1	US-PGPUB;	15:05
	1	(parameter\$1 or value)) and limit\$3 and fix\$3 and variable) and database) and limit)	EPO; JPO;	10.00
		,		
		and user near specified	DERWENT;	
	1		IBM_TDB	0000104144
-	19	display\$3 with truncat\$3 near (data or	USPAT;	2002/04/11
		result\$1 or output)	US-PGPUB;	15:10
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	1491	truncat\$3 near (data or result\$1 or output)	USPAT;	2002/04/11
			US-PGPUB;	15:12
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	9	(truncat\$3 near (data or result\$1 or output))	USPAT;	2002/04/11
	İ	and exceed\$3 near specified	US-PGPUB;	15:14
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
•	36	(truncat\$3 near (data or result\$1 or output))	USPAT;	2002/04/11
		and search\$3 near (result or data or entr\$3)	US-PGPUB;	15:16
		,	EPO; JPO;	
	1		DERWENT;	
			IBM_TDB	
_	30	search\$3 near (result or data or entr\$3)	USPAT;	2002/04/11
•	30	same truncat\$3	US-PGPUB;	15:16
		Same truncates	· -	15:10
			EPO; JPO;	
	1		DERWENT;	
		4	IBM_TDB	0000004444
-	201	truncat\$3 near method\$1	USPAT;	2002/04/11
			US-PGPUB;	15:24
	1		EPO; JPO;	
			DERWENT;	
	1		_IBM_TDB	

•	4	truncat\$3 near method\$1 and search\$3	USPAT;	2002/04/11
		near result\$1	US-PGPUB;	15:27
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	5	(database and search\$3 same truncat\$3)	USPAT;	2002/04/11
		and exceed near limit\$3	US-PGPUB;	15:28
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	6	database and search\$3 same truncat\$3	USPAT;	2002/04/11
		same exceed	US-PGPUB;	15:29
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	0000/04/44
•	211	database and search\$3 same truncat\$3	USPAT;	2002/04/11
			US-PGPUB;	15:33
			EPO; JPO; DERWENT;	
			IBM TDB	
	70	database same search\$3 same truncat\$3	USPAT;	2002/04/11
-	,,,	datapase same searches same truncates	US-PGPUB;	16:06
			EPO: JPO:	10.00
			DERWENT;	
			IBM_TDB	
_	9	(internet or www or web) same search\$3	USPAT;	2002/04/11
		same truncat\$3	US-PGPUB;	
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	33	display\$3 same truncat\$3 near data	USPAT;	2002/04/11
			US-PGPUB;	16:11
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	279	database and search\$3 and truncat\$3 same	USPAT;	2002/04/11
		display\$3	US-PGPUB;	16:12
			EPO; JPO;	
			DERWENT;	
	_		IBM_TDB	
-	11	(database and search\$3 and truncat\$3	USPAT;	2002/04/11
		same display\$3) and user near (determined	US-PGPUB;	16:18
		or specified) with (limit or value)	EPO; JPO;	
			DERWENT;	
		4	IBM_TDB	0000/04/4
-	3513	truncat\$3 same (multiply\$3 or divid\$3 or	USPAT;	2002/04/11
		decrement\$3)	US-PGPUB;	16:20
			EPO; JPO;	
			DERWENT;	
	<u> </u>	L.	IBM_TDB	

•	14	(truncat\$3 same (multiply\$3 or divid\$3 or	USPAT;	2002/04/11
		decrement\$3)) and search near result	US-PGPUB;	16:26
			EPO; JPO;	
			DERWENT;	
	•		IBM_TDB	
-	37	(truncat\$3 same (multiply\$3 or divid\$3 or	USPAT;	2002/04/11
		decrement\$3)) and exceed\$3 near limit	US-PGPUB;	16:30
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	305	search\$3 near (result or data or output)	USPAT;	2002/04/11
		same (overflow or exceed\$3)	US-PGPUB;	18:18
			EPO; JPO;	
			DERWENT:	
			IBM_TDB	
_	0	(search\$3 near (result or data or output)	USPAT;	2002/04/11
		same (overflow or exceed\$3)) and search\$3	US-PGPUB;	16:36
		near refin\$5	EPO; JPO;	
i .			DERWENT:	
			IBM TDB	
	0	search\$3 near (result or data or output)	USPAT;	2002/04/11
		same overflow and exceed\$3 near limit	US-PGPUB;	16:37
			EPO; JPO;	10101
			DERWENT;	
			IBM_TDB	
_	8008	(search\$3 or retriev\$3) same (reduc\$3 or	USPAT;	2002/04/11
		truncat\$3) same (size or set or hit)	US-PGPUB;	18:20
		transactor same (size or set or mit)	EPO; JPO;	10120
			DERWENT;	
			IBM_TDB	
l _	3563	(search\$3 or retriev\$3) same (reduc\$3 or	USPAT;	2002/04/11
-	3303	truncat\$3) with (size or set or hit)	US-PGPUB;	18:22
		transactory with toler of set of firty	EPO; JPO;	10.22
			DERWENT;	
			IBM_TDB	
	1670	(search\$3 or retriev\$3) with (reduc\$3 or	USPAT;	2002/04/11
-	1070	truncat\$3) with (size or set or hit)	US-PGPUB;	18:23
:		truncates, with the conset of fitty	EPO; JPO;	10.23
			DERWENT;	
			IBM_TDB	
1 _	96	((search\$3 or retriev\$3) with (reduc\$3 or	USPAT;	2002/04/11
	90	truncat\$3) with (size or set or hit)) same	US-PGPUB;	18:24
		(field or column or row)	EPO; JPO;	19:27
		(ileia oi colulliii oi ioa)	DERWENT;	
			1	
	23	//#6356900#\ or /#5545490#\ /#6059460#\	IBM_TDB	2002/04/12
, -	23	(("6356899") or ("5515488") or ("6253188")	USPAT;	
		or ("6144958") or ("6260050") or	US-PGPUB;	10:59
		("6321228") or ("6169986") or ("6219670")	EPO; JPO;	
		or ("6058391") or ("5842209") or	DERWENT;	
L		("6324566")).PN	IBM_TDB	

-	5	((("6356899") or ("5515488") or ("6253188")	USPAT;	2002/04/12
	1	or ("6144958") or ("6260050") or	US-PGPUB;	17:58
-		("6321228") or ("6169986") or ("6219670")	EPO; JPO;	
		or ("6058391") or ("5842209") or	DERWENT;	
		("6324566")).PN.) and (boolean)	IBM_TDB	
-	1	("6356899").PN.	USPAT;	2002/04/12
		•	US-PGPUB;	15:51
	1		EPO; JPO;	
			DERWENT;	
			IBM_TDB	
	27	search\$3 with (result or hit or set) same	USPAT;	2002/04/12
	}	truncat\$3 same (integer or value)	US-PGPUB;	16:32
			EPO; JPO;	
			DERWENT;	
	ł		IBM_TDB	
	4	search\$3 with (result or hit or set) same	USPAT:	2002/04/12
-		truncat\$3 same (divid\$3 or decrement\$3 or	US-PGPUB:	17:36
		multiply\$3)	EPO; JPO;	
			DERWENT;	
	1		IBM_TDB	
	3	("5515488").PN.	USPAT;	2002/04/12
-	3	(5513466).FR.	US-PGPUB;	17:36
			EPO; JPO;	17.30
·			DERWENT:	
			IBM_TDB	
	5	truncat\$3 same characteristic near	USPAT;	2002/04/12
•	3		1	
	j	(terminal or display)	US-PGPUB;	18:21
	1		EPO; JPO;	1
			DERWENT;	
		4	IBM_TDB	0000/04/40
-	0	truncat\$3 same handheld near computer	USPAT;	2002/04/12
	Ì		US-PGPUB;	18:21
			EPO; JPO;	}
	1		DERWENT;	
			IBM_TDB	0000/04/40
•	0	truncat\$3 same hand-held near computer	USPAT;	2002/04/12
			US-PGPUB;	18:22
	1		EPO; JPO;	
	1		DERWENT;	
			IBM_TDB	
-	36	truncat\$3 and hand-held near computer	USPAT;	2002/04/12
	1		US-PGPUB;	18:31
			EPO; JPO;	
·	1		DERWENT;	
	1		IBM_TDB	
•	977	truncat\$3 and GPS	USPAT;	2002/04/12
	1		US-PGPUB;	18:31
			EPO; JPO;	
	1		DERWENT;	
	1		IBM_TDB	

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•	112	truncat\$3 same GPS	USPAT;	2002/04/12
			US-PGPUB;	18:34
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
•	1	truncat\$3 same display same (limit\$3 or	USPAT;	2002/04/12
		small or narrow) near space	US-PGPUB;	18:36
•			EPO; JPO;	
	1		DERWENT;	
			IBM TDB	
_	12468	truncat\$3 same (output or hit or result)	USPAT:	2002/04/12
			US-PGPUB;	18:39
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
	13	truncat\$3 same result same (small or	USPAT:	2002/04/12
•	13	•	US-PGPUB;	18:46
		limited) near (display or area)	1	10:40
			EPO; JPO;	Ì
			DERWENT;	
		1.00	IBM_TDB	2002/04/40
-	0	search\$3 same truncat\$3 same (display	USPAT;	2002/04/12
		near constraint)	US-PGPUB;	18:48
	1		EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	0	(output or result) same truncat\$3 same	USPAT;	2002/04/12
		(display near constraint)	US-PGPUB;	18:48
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	1	truncat\$3 same (display near constraint)	USPAT;	2002/04/12
			US-PGPUB;	18:49
			EPO; JPO;	
			DERWENT;	
	1		IBM_TDB	
•	125	format\$3 with search near request	USPAT;	2002/04/12
		_	US-PGPUB;	18:51
			EPO; JPO;	
			DERWENT;	
	1		IBM_TDB	
-	0	((format\$3 with search near request) and	USPAT;	2002/04/12
		database and field) and search near cycle	US-PGPUB;	18:52
	1	,	EPO; JPO;	
			DERWENT;	
			IBM_TDB	
_	1	// format\$3 with coarch moor request\ and		2002/04/42
-	1	((format\$3 with search near request) and database and field) and search with cycle	USPAT;	2002/04/12
		watawase and new, and search with Cycle	US-PGPUB;	18:52
			EPO; JPO;	
*			DERWENT;	
	1		IBM_TDB	

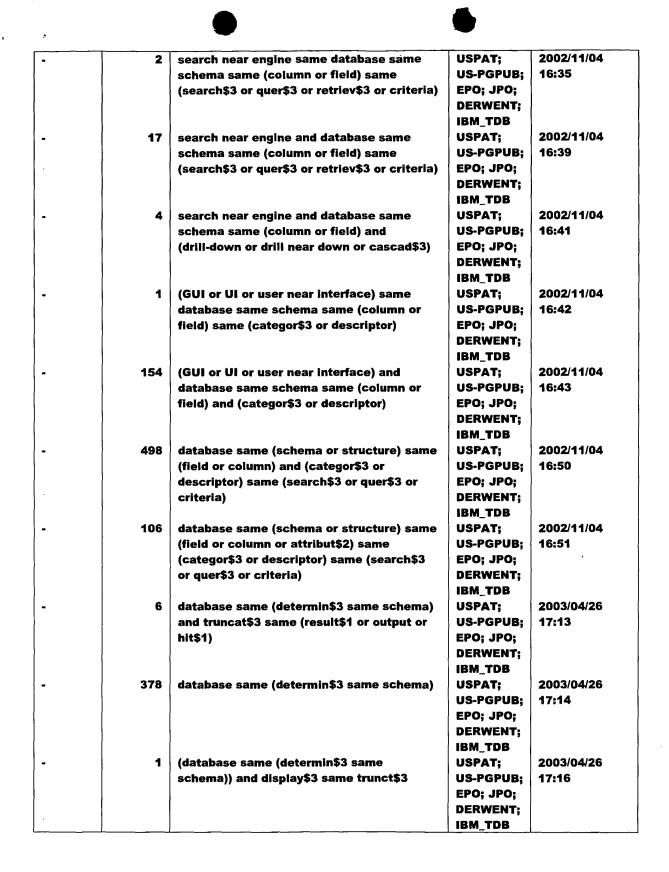
				r
-	91	(format\$3 with search near request) and	USPAT;	2002/04/12
	1	database and field	US-PGPUB;	18:58
			EPO; JPO;	
İ			DERWENT;	
			IBM_TDB	
-	0	refresh\$3 near search adj cycle\$1	USPAT;	2002/04/12
	1		US-PGPUB;	18:58
			EPO; JPO;	
			DERWENT;	
1			IBM_TDB	
•	0	refresh\$3 with search adj cycle\$1	USPAT;	2002/04/12
-			US-PGPUB;	18:59
E			EPO; JPO;	
			DERWENT;	
			IBM_TDB	,
	1	refresh\$3 with search near cycle\$1	USPAT;	2002/04/12
		_	US-PGPUB;	19:00
	1		EPO; JPO;	
i			DERWENT;	
			IBM_TDB	
_	18	reset\$4 with search near cycle\$1	USPAT;	2002/04/12
		,	US-PGPUB;	19:05
	!		EPO; JPO;	
	1		DERWENT:	
			IBM_TDB	
_	1	("6356899").PN.	USPAT;	2002/04/12
	-	(US-PGPUB;	
			EPO; JPO;	
			DERWENT;	}
	}		IBM_TDB	
_	o	("63431261").PN.	USPAT;	2002/04/15
		(66.76.126.1)	US-PGPUB;	
•]		EPO; JPO;	10110
			DERWENT;	
			IBM_TDB	
-	1	("6341261").PN.	USPAT;	2002/04/15
			US-PGPUB;	15:51
			EPO; JPO;	
			DERWENT:	
			IBM_TDB	
-	96	(overflow or truncat\$3 or eliminat\$3) with	USPAT;	2002/04/15
		search\$3 near (output or result)	US-PGPUB;	15:56
'			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
_	33	on-line same test\$3 near tak\$3	USPAT;	2002/04/15
_	33	IIII Juiile Legipe Heal Lange	US-PGPUB;	16:29
			EPO; JPO;	
	1		DERWENT;	
			IBM_TDB	
	L	<u> </u>		<u> </u>

	37	(overflow or truncat\$3 or eliminat\$3) with	USPAT;	2002/04/15
		search\$3 near (output or result) and divid\$3	US-PGPUB;	16:33
	1	· · · · · · · · · · · · · · · · · · ·	EPO; JPO;	
	ļ		DERWENT:	
			IBM_TDB	
 ! _	0	"6326962".uref.	USPAT:	2002/04/15
•		UJZUJUZ IMIEII	US-PGPUB;	16:33
İ			EPO; JPO;	10.00
	1		DERWENT;	
			IBM TDB	
İ	1	(overflow or truncat\$3 or eliminat\$3) with	USPAT;	2002/04/15
-	•	search\$3 near (output or result) same	US-PGPUB;	16:36
		divid\$3	EPO; JPO;	10.50
			DERWENT;	
	· ·	•	IBM_TDB	
	240052	divides (averflow or two cates or	_	2002/04/15
	248853	divid\$3 same (overflow or truncat\$3 or	USPAT;	16:38
	1	eliminat\$3 or output)	US-PGPUB;	10:30
			EPO; JPO;	
			DERWENT;	
		100 111 11 1100 100	IBM_TDB	0000/04/45
-	0	search\$3 with divid\$3 same trucat\$3	USPAT;	2002/04/15
	1		US-PGPUB;	16:39
j	1		EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	6	search\$3 with divid\$3 same truncat\$3	USPAT;	2002/04/15
			US-PGPUB;	16:41
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	1	"5995543".uref.	USPAT;	2002/04/15
			US-PGPUB;	17:07
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
•	14	search\$3 with (output or hit or result) and	USPAT;	2002/04/15
		truncat\$3 with divid\$3	US-PGPUB;	17:13
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
•	3	truncat\$3 near method with divid\$3	USPAT;	2002/04/15
	1		US-PGPUB;	17:15
			EPO; JPO;	
	1		DERWENT;	
			IBM_TDB	
•	0	web near result with truncat\$ and divid\$3	USPAT;	2002/04/15
	Ì		US-PGPUB;	17:16
	}		EPO; JPO;	
			DERWENT;	
	J		IBM_TDB	1

. *		_		
•	17	web same truncat\$ with divid\$3	USPAT;	2002/04/15
			US-PGPUB;	17:17
	1		EPO; JPO;	
			DERWENT;	
			IBM_TDB	
1 -	155	output same truncat\$ with divid\$3	USPAT;	2002/04/15
		-	US-PGPUB;	17:24
ļ			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
ĺ _	4	truncat\$3 near method same divid\$3	USPAT;	2002/04/15
	_	Manage Hear Heart Same	US-PGPUB;	17:25
ł	1		EPO; JPO;	17.25
			DERWENT;	
			IBM_TDB	
-	0	truncat\$ with divid\$3 with search\$3 near	USPAT;	2002/04/15
ł	ł	result	US-PGPUB;	17:28
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	0	truncat\$ with multiply\$3 with search\$3	USPAT;	2002/04/15
1		near result	US-PGPUB;	17:29
			EPO; JPO;	
]			DERWENT;	
			IBM TDB	
1_	104	truncat\$ with multiply\$3 same (result or	USPAT;	2002/04/15
1		output or hit)	US-PGPUB;	17:31
1		output of may	EPO; JPO;	
}			DERWENT;]
			IBM_TDB	
	0	(search adj resuls) near5 (limit\$3 or	USPAT;	2002/04/15
•			· •	
İ	1	(maximum adj number))	US-PGPUB;	17:31
ł			EPO; JPO;	
			DERWENT;	
J			IBM_TDB	
-	61	(search adj results) near5 (limit\$3 or	USPAT;	2002/04/15
	1	(maximum adj number))	US-PGPUB;	17:44
			EPO; JPO;	
			DERWENT;	
	1		IBM_TDB	
-	0	(search adj results) near5 (limit\$3 or	USPAT;	2002/04/15
		truncat\$3) same divid\$3	US-PGPUB;	17:46
ľ			EPO; JPO;	
	1		DERWENT;	
	1		IBM_TDB	
_	0	(search adj results) same divid\$3 with	USPAT;	2002/04/15
		truncat\$3	US-PGPUB;	17:46
			EPO; JPO;	
			DERWENT;	
	1		IBM TDB	
L	1		IDM_IDB	<u> </u>

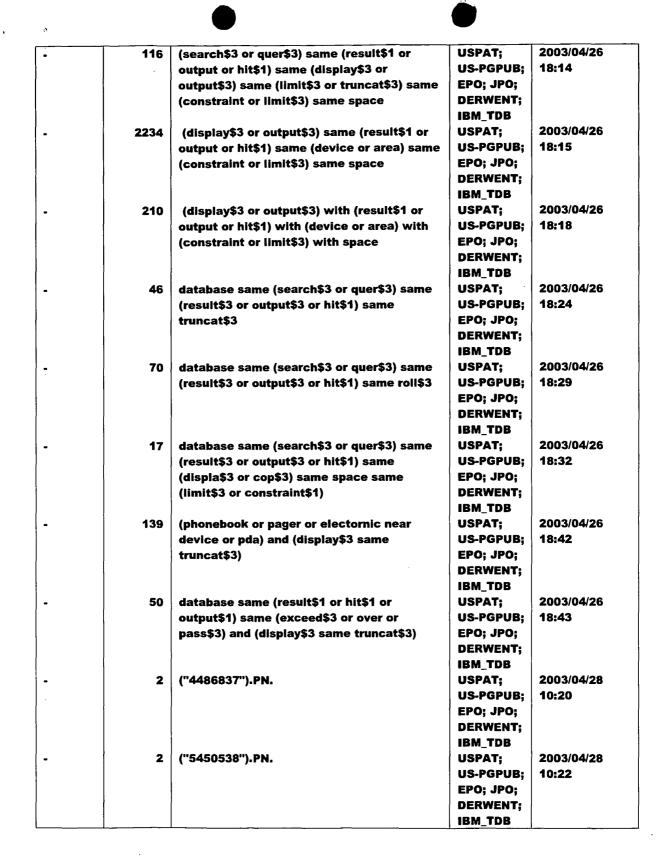


	T		T	
•	107	(search adj results) same divid\$3	USPAT;	2002/04/15
			US-PGPUB;	17:50
j .	ļ		EPO; JPO;	
i			DERWENT;	1
ì			IBM_TDB	
•	0	(search adj results) same divid\$3 and	USPAT;	2002/04/15
		truncat\$3	US-PGPUB;	17:50
			EPO; JPO;	
1	}		DERWENT;	
			IBM_TDB	
! -	10	(search adj results) same truncat\$3	USPAT;	2002/04/15
			US-PGPUB;	17:51
1			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	4	(search adj output) same truncat\$3	USPAT;	2002/04/15
	-		US-PGPUB;	17:52
}			EPO; JPO;	
			DERWENT;	
)			IBM_TDB	
-	0	(search adj (returned or result or page))	USPAT;	2002/04/15
ļ		same truncat\$3 same (divid\$3 or muliply\$3)	US-PGPUB;	17:53
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	7	(search adj (returned or result or page)) and	USPAT;	2002/04/15
		truncat\$3 same (divid\$3 or muliply\$3)	US-PGPUB;	17:54
ļ			EPO; JPO;	
			DERWENT;	
£			IBM_TDB	
J -	13	database same schema same (field or	USPAT;	2002/11/04
		column) same (categor\$3 or descriptor)	US-PGPUB;	16:18
ļ		same (search\$3 or quer\$3 or criteria)	EPO; JPO;	
			DERWENT;	
	}		IBM_TDB	
-	66	database same schema same (field or	USPAT;	2002/11/04
		column) and (categor\$3 or descriptor) same	US-PGPUB;	16:47
		(search\$3 or quer\$3 or criteria)	EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	330	determin\$3 same database same schema	USPAT;	2002/11/04
			US-PGPUB;	16:24
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
	43	determin\$3 same database same schema	USPAT;	2002/11/04
	ļ	same (column or field) same (search\$3 or	US-PGPUB;	16:33
		quer\$3 or retriev\$3 or criteria)	EPO; JPO;	
		· · · · · · · · · · · · · · · · · · ·	DERWENT;	
		1		

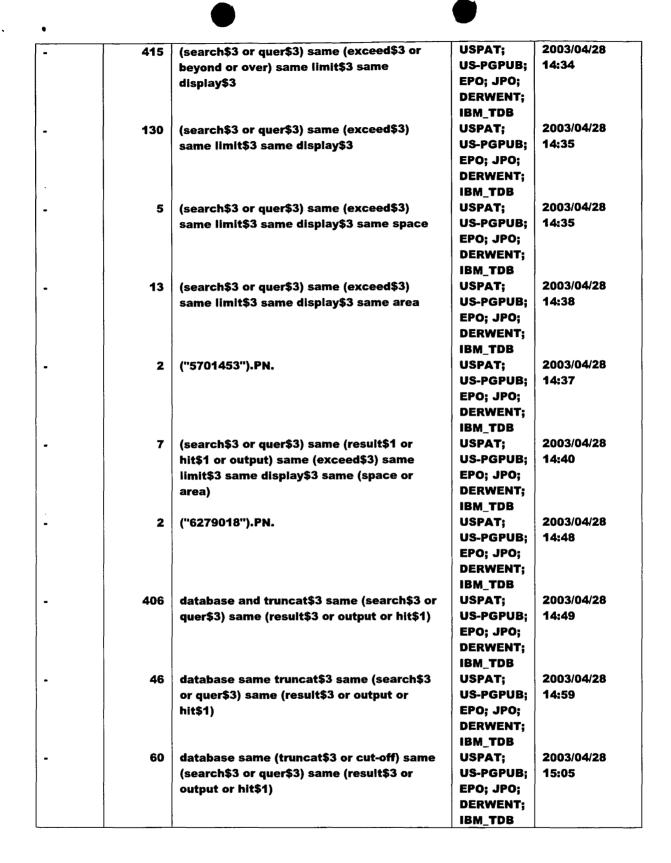


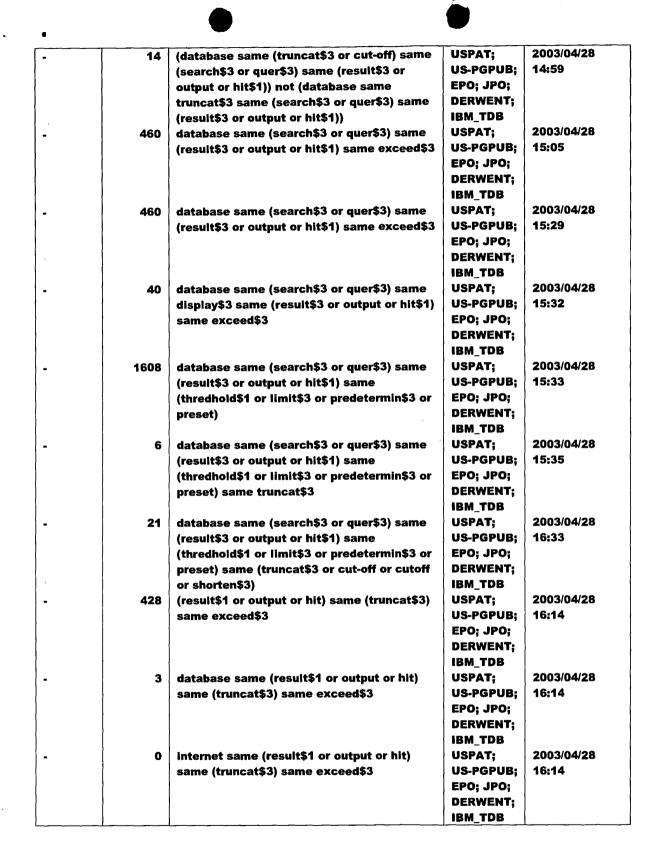
-	1	(column\$1 or field\$1) same display\$3 same	USPAT;	2003/04/26
1		trunct\$3	US-PGPUB;	17:18
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
_	57828	(search\$3 or quer\$3 or retriev\$3) same	USPAT;	2003/04/26
		database	US-PGPUB:	17:18
	ĺ		EPO; JPO;	
			DERWENT:	
			IBM TDB	
_	1518	((search\$3 or quer\$3 or retriev\$3) same	USPAT:	2003/04/26
	13.0	database) and truncat\$3 same (result\$3 or	US-PGPUB:	17:19
•	}	output or hit\$1)	EPO; JPO;	17110
		output or into i	DERWENT:	
			IBM TDB	
	243	///correht? or guart? or retrievt?) come	USPAT:	2003/04/26
•	243	(((search\$3 or quer\$3 or retriev\$3) same		17:46
		database) and truncat\$3 same (result\$3 or	US-PGPUB;	17140
		output or hit\$1)) and list same (field\$1 or	EPO; JPO;	
		attribute\$1 or column\$1)	DERWENT;	
			IBM_TDB	
-	1	"5864848".PN.	USPAT	2003/04/26
				17:30
-	1	"5848385".PN.	USPAT	2003/04/26
				17:30
-	1	"5704060".PN.	USPAT	2003/04/26
				17:31
•	1	"5640587".PN.	USPAT	2003/04/26
	-		1	17:31
-	1	"5450538".PN.	USPAT	2003/04/26
				17:31
-	1	"5305205".PN.	USPAT	2003/04/26
				17:32
•	1	"5231579".PN.	USPAT	2003/04/26
		·		17:32
-	1	"4486857".PN.	USPAT	2003/04/26
				17:33
•	0	(laptop or pda) and truncat\$3 same	USPAT;	2003/04/26
		(display\$3 or print\$3) same contraint\$1	US-PGPUB;	17:48
	1		EPO; JPO;	
			DERWENT;	
	1		IBM_TDB	
•	3	(laptop or pda) and truncat\$3 same	USPAT;	2003/04/26
		(display\$3 or print\$3) same constraint\$1	US-PGPUB;	17:49
			1	
	1			
			l .	
	297	truncat\$3 same (display\$3 or print\$3) same]	2003/04/26
		, , , , , , , , , , , , , , , , , , , ,	1	
		The state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the s	1	
	1			
			1	
-	297	truncat\$3 same (display\$3 or print\$3) same (limit\$2 or constraint\$1)	EPO; JPO; DERWENT; IBM_TDB USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/04/26 17:50

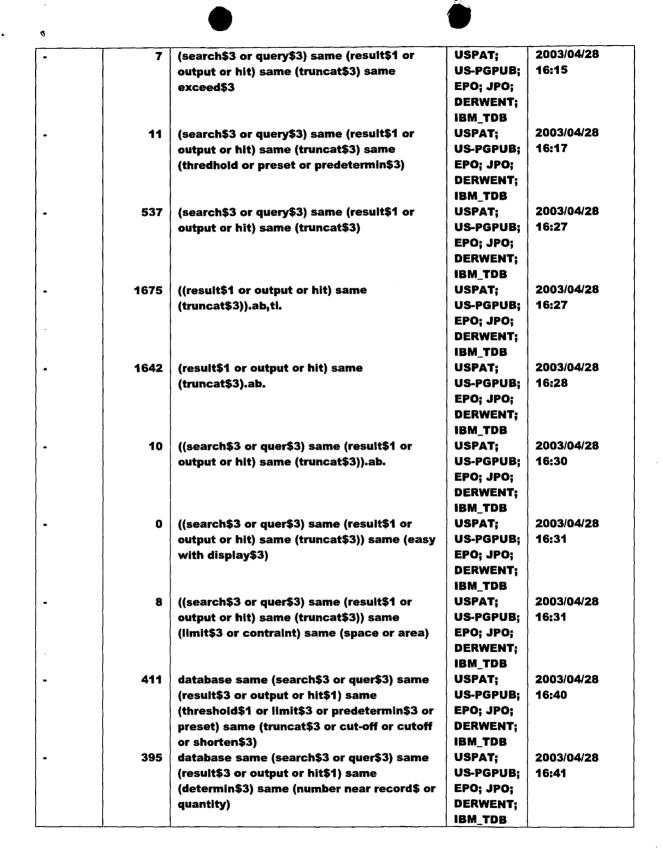
•	36	(truncat\$3 same (display\$3 or print\$3)	USPAT;	2003/04/26
		same (limit\$2 or constraint\$1)) and	US-PGPUB;	17:56
		(search\$3 or quer\$3) same (field\$1 or	EPO; JPO;	
		column\$1 or attribut\$2)	DERWENT;	
			IBM_TDB	
	3	6279018.uref.	USPAT;	2003/04/26
			US-PGPUB;	18:03
	1		EPO; JPO;	
			DERWENT;	
	}		IBM_TDB	
	1	"6279018".PN.	USPAT	2003/04/26
				17:58
	1	"6272332".PN.	USPAT	2003/04/26
	1		1	17:59
	1	"6131103".PN.	USPAT	2003/04/26
				18:00
	1	"5231579".PN.	USPAT	2003/04/26
				18:01
	1	"4486857".PN.	USPAT	2003/04/26
	1		1	18:02
	7491	(search\$3 or quer\$3) and device same	USPAT;	2003/04/26
	}	(constraint or limit\$2) same (area or space	US-PGPUB;	18:05
		or display)	EPO; JPO;	
			DERWENT;	
			IBM TDB	1
	628	(search\$3 or quer\$3) same device same	USPAT:	2003/04/26
	020	(constraint or limit\$2) same (area or space	US-PGPUB;	18:05
		or display)	EPO; JPO;	
			DERWENT:	
			IBM TDB	
	3	(search\$3 or quer\$3) same device same	USPAT;	2003/04/26
		(constraint or limit\$2) same truncat\$3	US-PGPUB;	18:07
		same (area or space or display)	EPO; JPO;	
		(area or space or alspias)	DERWENT;	
			IBM_TDB	
	0	(search\$3 or quer\$3) same device same	USPAT;	2003/04/26
		(constraint or limit\$2) same cascad\$3 same	US-PGPUB:	18:08
		menu\$1 same (area or space or display)	EPO; JPO;	
		menay i same talea of space of display)	DERWENT;	
			IBM_TDB	
	163	(search\$3 or quer\$3) same (result\$1 or	USPAT:	2003/04/26
	103	output or hit\$1) same (display\$3 or	1	18:10
		output or nit\$1) same (display\$3 or output\$3) same cascad\$3	US-PGPUB; EPO; JPO;	10:10
		vurpuras) same cascadas	1 '	
			DERWENT;	
	2007	(consekt) or munity) come (constitut or	IBM_TDB	2002/04/26
	2967	(search\$3 or quer\$3) same (result\$1 or	USPAT;	2003/04/26
	1	output or hit\$1) same (display\$3 or	US-PGPUB;	18:10
		output\$3) same (limit\$3 or truncat\$3)	EPO; JPO;	
			DERWENT;	
			IBM_TDB	

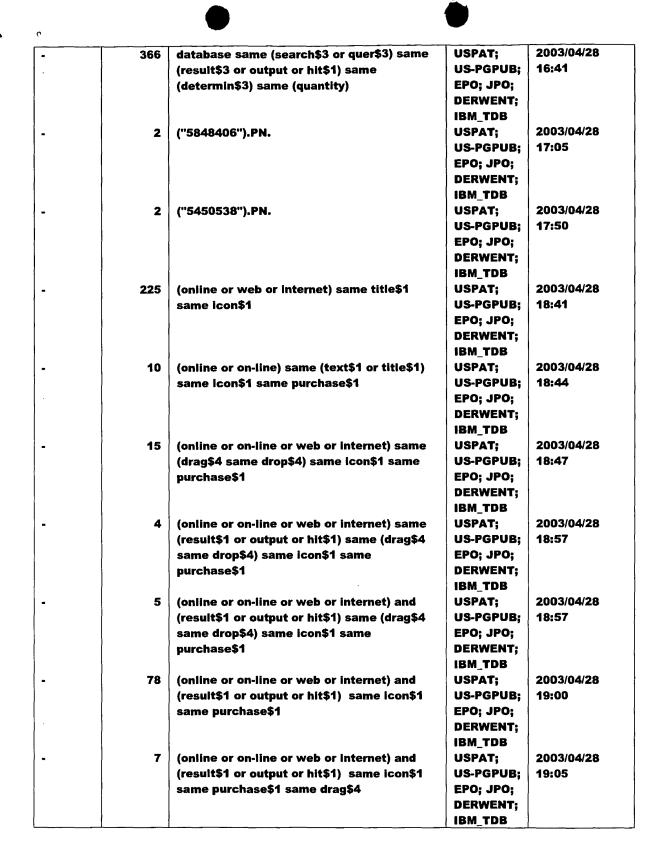


n				
•	2	("5231579").PN.	USPAT;	2003/04/28
	1		US-PGPUB;	10:22
			EPO; JPO;	
			DERWENT;	}
			IBM_TDB	
•	656	(web or internet or online) and (determin\$3	USPAT;	2003/04/28
		same schema\$1)	US-PGPUB;	10:23
	1	,	EPO; JPO;	
			DERWENT;	
			IBM_TDB	
_	196	(web or internet or online) and (determin\$3	USPAT;	2003/04/28
		same schema\$1) and display\$3 same	US-PGPUB:	10:24
	ļ	(constraint or limit\$2)	EPO; JPO;	
		(Constraint of timital)	DERWENT:	
			IBM_TDB	
	155	(()) a intermed or online) and (determine)	USPAT;	2003/04/28
-	155	((web or internet or online) and (determin\$3	US-PGPUB;	10:24
		same schema\$1) and display\$3 same		10:24
	1	(constraint or limit\$2)) and (trunct\$3 or	EPO; JPO;	
		delet\$3)	DERWENT;	
			IBM_TDB	
•	156	((web or internet or online) and (determin\$3	USPAT;	2003/04/28
		same schema\$1) and display\$3 same	US-PGPUB;	10:48
		(constraint or limit\$2)) and (trunct\$3 or	EPO; JPO;	
		delet\$3 or abbreviat\$3)	DERWENT;	
			IBM_TDB	
.	99	(determin\$3 or scan\$4) same schema\$1	USPAT;	2003/04/28
		same (select\$3 or pick\$3 or highlight\$3 or	US-PGPUB;	14:31
		choos\$3) same (field\$1 or column\$1 or	EPO; JPO;	
		attribute\$1)	DERWENT;	
			IBM_TDB	
•	1	"5193182".PN.	USPAT	2003/04/28
				11:02
•	1	"4967341".PN.	USPAT	2003/04/28
)	11:02
-	1	"5257185".PN.	USPAT	2003/04/28
				11:03
-	1	"5418950".PN.	USPAT	2003/04/28
				11:03
-	1	"5428776".PN.	USPAT	2003/04/28
				11:03
	4	(search\$3 or quer\$3) same (exceed\$3 or	USPAT;	2003/04/28
		beyond or over) same limit\$3 same	US-PGPUB;	14:33
		(display\$3 or print\$3) same (truncat\$3)	EPO; JPO;	
		/	DERWENT;	
	1		IBM_TDB	
	3730	(coarab\$2 or suor\$2) como (ovecad\$2 or	USPAT;	2003/04/28
	3/30	(search\$3 or quer\$3) same (exceed\$3 or	1	
		beyond or over) same limit\$3	US-PGPUB;	14:33
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	









•	7	(online or on-line or web or internet) and	USPAT;	2003/04/28
	· I	(result\$1 or output or hit\$1) same icon\$1	US-PGPUB;	19:07
	1	same purchase\$1 same (drag\$4 or	EPO; JPO;	
	1	overlay\$3)	DERWENT:	
			IBM TDB	
	11	(/autime as an line as well as internet) and	USPAT;	2003/04/28
<u>.</u>	1	((online or on-line or web or internet) and	1	
		(result\$1 or output or hit\$1) same icon\$1	US-PGPUB;	19:07
	ì	same purchase\$1 same (mov\$3 or drop\$3	EPO; JPO;	
		or drag\$4 or overlay\$3)) not ((online or	DERWENT;	
		on-line or web or internet) and (result\$1 or	IBM_TDB	
	İ	output or hit\$1) same icon\$1 same		
		purchase\$1 same (drag\$4 or overlay\$3))	Ì	
	8	(online or on-line or web or internet) same	USPAT;	2003/04/28
	1	(result\$1 or output or hit\$1) same icon\$1	US-PGPUB;	19:38
	1	same purchase\$1 same (mov\$3 or drop\$3	EPO; JPO;	
		or drag\$4 or overlay\$3)	DERWENT;	Į.
		or arages or overlayes,	IBM_TDB	
		6525999	, –	2003/04/28
	0	6535888.uref.	USPAT;	
			US-PGPUB;	19:10
			EPO; JPO;	
			DERWENT;	
	İ		IBM_TDB	
	26	(online or on-line or web or internet) same	USPAT;	2003/04/28
		(result\$1 or output or hit\$1) same icon\$1	US-PGPUB;	19:16
		same purchase\$1	EPO; JPO;	
		•	DERWENT:	
			IBM TDB	
	8	(online or on-line or web or internet) same	USPAT;	2003/04/28
-		(result\$1 or output or hit\$1) same (mov\$3	US-PGPUB;	19:17
			1	19.17
		or overlay\$4 or drag\$4) same icon\$1 same	EPO; JPO;	j
		purchase\$1	DERWENT;	1
			IBM_TDB	
1	23	(result\$1 or output or hit\$1) same (mov\$3	USPAT;	2003/04/28
		or overlay\$4 or drag\$4) same icon\$1 same	US-PGPUB;	19:28
	-	purchase\$1	EPO; JPO;	
			DERWENT;	
			IBM_TDB	
	109	(on-line or online) with purchase\$1 and	USPAT;	2003/04/28
		(mov\$3 or overlay\$4 or drag\$4) same	US-PGPUB;	19:36
		icon\$1	EPO; JPO;	
			DERWENT;	
			IBM_TDB	}
_	7	(on-line or enline) with numbered core	USPAT;	2003/04/28
		(on-line or online) with purchase\$1 same	1	
		(mov\$3 or overlay\$4 or drag\$4) same	US-PGPUB;	19:36
		icon\$1	EPO; JPO;	
			DERWENT;	}
		·	IBM_TDB	ŀ
•	18	(online or on-line or web or internet) and	USPAT;	2003/04/28
		(result\$1 or output or hit\$1) same icon\$1	US-PGPUB;	19:39
		same purchase\$1 same (mov\$3 or drop\$3	EPO; JPO;	
		or drag\$4 or overlay\$3)	DERWENT;	
	1	• • •	IBM_TDB	

			HCDAT.	2003/04/29
-	13	(on-line or online) same (drag\$4 or mov\$3	USPAT; US-PGPUB;	09:04
	}	or overlay\$4) same icon\$1 same	1	09:04
j		(purchas\$3)	EPO; JPO;	
			DERWENT;	
		(UTO 40 40 6U) PN	IBM_TDB	2003/04/29
•	2	("5848406").PN.	USPAT;	
ĺ			US-PGPUB;	10:02
}			EPO; JPO;	'
			DERWENT;	
			IBM_TDB	2004/01/20
-	3569	(database or data near base) and (search\$3	USPAT;	
		or quer\$3 or retriev\$3) same (result\$1 or	US-PGPUB;	11:09
	1	output\$1 or hit\$1) same (truncat\$3 or	EPO; JPO;	
		reduc\$3) and (limit\$3 or constraint\$1)	DERWENT;	
	1		IBM_TDB	2004/04/20
-	148	(database or data near base) same	USPAT;	2004/01/20
		(search\$3 or quer\$3 or retriev\$3) same	US-PGPUB;	11:39
		(result\$1 or output\$1 or hit\$1) same	EPO; JPO;	
	1	(truncat\$3 or reduc\$3) same (limit\$3 or	DERWENT;	
	_	constraint\$1)	IBM_TDB	0004/04/00
-	4	707/3,4,7,10,102,104.1.ccls. and (database	USPAT;	2004/01/20
}	ł	or data near base) same (search\$3 or	US-PGPUB;	11:46
ļ		quer\$3 or retriev\$3) same (result\$1 or	EPO; JPO;	
		output\$1 or hit\$1) same (truncat\$3 or	DERWENT;	}
1	1	reduc\$3) same (includ\$3 or contain\$3 or	IBM_TDB	
	1	consist\$3) same (all or every) same (data or		
	[result\$1 or output\$1 or record\$1 or row\$1		
}	1	or tuple\$1)	HCDAT.	2004/01/20
-	49	(database or data near base) same	USPAT; US-PGPUB;	11:49
		(search\$3 or quer\$3 or retriev\$3) same (result\$1 or output\$1 or hit\$1) same	EPO; JPO;	11173
	1	(truncat\$3 or reduc\$3) same (includ\$3 or	DERWENT;	}
	1	contain\$3 or consist\$3) same (all or every)	IBM_TDB	
		same (data or result\$1 or output\$1 or	10111_100	
	ļ	record\$1 or row\$1 or tuple\$1)	ļ	
	80	(database or data near base) same	USPAT:	2004/01/20
-		(search\$3 or quer\$3 or retriev\$3) same	US-PGPUB:	11:52
	1	(result\$1 or output\$1 or hit\$1) same	EPO; JPO;	
		(truncat\$3 or reduc\$3) same (all or every)	DERWENT;	
		same (data or result\$1 or output\$1 or	IBM_TDB	
		record\$1 or row\$1 or tuple\$1)	.5	
_	246	(database or data near base) same	USPAT;	2004/01/20
_		(search\$3 or quer\$3 or retriev\$3) same	US-PGPUB:	12:03
j		(result\$1 or output\$1 or hit\$1) same	EPO; JPO;	12.00
		(truncat\$3 or reduc\$3) same (field\$1 or	DERWENT:	
	Ì	column\$1 or character\$1)	IBM_TDB	,
	75	((database or data near base) same	USPAT;	2004/01/20
	'3	(search\$3 or quer\$3 or retriev\$3) same	US-PGPUB;	11:58
]		(result\$1 or output\$1 or hit\$1) same	EPO; JPO;	
		(truncat\$3 or reduc\$3) same (field\$1 or	DERWENT;	
		column\$1 or character\$1)) and (threshold\$1	IBM_TDB	
l I	1	or limit\$3 or exceed\$3 or over) same		
		display\$3		
		<u> </u>	l	<u> </u>

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	1297	(database or data near base) same	USPAT;	2004/01/20
l	}	(search\$3 or quer\$3 or retriev\$3) same	US-PGPUB;	12:04
		(result\$1 or output\$1 or hit\$1) same	EPO; JPO;	
ļ		(truncat\$3 or reduc\$3 cut-off)	DERWENT;	1
			IBM_TDB	
-	202	(database or data near base) same	USPAT;	2004/01/20
1		(search\$3 or quer\$3 or retriev\$3) same	US-PGPUB;	12:04
		(result\$1 or output\$1 or hit\$1) same	EPO; JPO;	
i		(truncat\$3 or reduc\$3 cut-off) same	DERWENT;	
		(vertically or field\$1 or attribute\$1)	IBM_TDB	

-	g.		<u>.</u>		0 6
• •					λ / γ
	F INFORMATION DIS Under 37 CFR 1.97(b) o				Docket No. 5607
In Re Application Of: ,	Joseph L. DE BELLIS	NOV 3	2001		
Serial No. 09/935,565	Filing Date 8/24/2001	TRADI	Examiner n/a		Group Art Unit
Title: SEARCH-ON-TH	IE-FLY WITH MERGE FU	NCTION			
				RE	CEILE
		Address t nt Commission /ashington, D	o: oner for Patents .C. 20231	Group	CEIVED 03 2001 2100
		37 CFR 1	.97(b)	•	100
of a national ap three months of application; befo	Disclosure Statement sub- plication other than a cont the date of entry of the na ore the mailing of a first Offi filing of a request for contin	tinued prose ational stage ice Action o	ecution application to e as set forth in 37 (on the merits, or befo	inder 37 CFR 1.49 re the ma	CFR 1.53(d); within 1 in an international
		37 CFR 1	.97(c)		
CFR 1.97(b), pr Final Action un	Disclosure Statement sub rovided that the Information der 37 CFR 1.113, a Not s prosecution in the applica	n Disclosure	e Statement is filed wance under 37 CI	before th	e mailing date of a
☐ the staten	nent specified in 37 CFR 1.	97(e);			
	OR				
☐ the fee se	et forth in 37 CFR 1.17(p).				

·			
	F INFORMATION DISCLO Inder 37 CFR 1.97(b) or 1.9	13	Docket No. 5607
In Re Application: J		DV 3 0 2001	
Serial No.	Filing Date	MADEMARY Examiner	Group Art Unit
09/935,565	8/24/2001	n/a	n/a
SEARCH-ON-TH	E-FLY WITH MERGE FUNCTI	ON	RECEIVED DEC 03 2001 Group 2100
	•	ment of Fee ects to pay the fee set forth in 37 (CER 1 17(p))
as described belo Charge th Credit any Charge al Certificate of T	mmissioner is hereby authorized w. A duplicate copy of this sheet amount of y overpayment. In additional fee required. Cransmission by Facsimile* ent and authorization to charge deposite the contract of the United States	Certificate of Mailin I certify that this document as first class mail und addressed to the Assistant Washington, D.C. 20231.	ng by First Class Mail Int and fee is being deposited with the U.S. Postal Service der 37 C.F.R. 1.8 and is and the commissioner for Patents,
Typed or Printed N	ame of Person Signing Certificate	Typed or Printed Name o	of Person Mailing Certificate
Anut Chal	y only be used if paying by Grature	Dated: November 30, 200	D1
Ami P. Shah, Reg. No. 42,1 DORSEY & WHITNEY LI 1660 International Drive Suite 400 McLean, VA 22102 (703) 288-5240 Fax (703) 288-5260 CC:			

P10A/REV02

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023I WWW.uspfo.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/935,565

08/24/2001

Joseph L. De Bellis

5607

CONFIRMATION NO. 9677

FORMALITIES LETTER

OC000000006538420

DORSEY & WHITNEY LLP Suite 300 1660 International Drive McLean, VA 22102

Date Mailed: 09/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/14/2001 SSESHE1 00000023 041425





PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Joseph L. DE BELLIS

Examiner: n/a

Serial No.:

09/935,565

Art Unit: n/a

Filed:

August 24, 2001

Title:

SEARCH-ON-THE-FLY WITH MERGE FUNCTION

Box Missing Parts

Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION UNDER 37 CFR 1.53(b)

Sir:

In response to the Notice To File Missing Parts dated September 10, 2001, please find enclosed the following:

- Fully executed Declaration and Power of Attorney in compliance with 37 CFR 1.63
- Late filing fee surcharge of \$65.00 for late submittal of Declaration in compliance with 37 CFR 1.27
- Copy of Notice To File Missing Parts Of Nonprovisional Application

The Commissioner is hereby authorized to charge the surcharge fee in the amount of \$65.00 to Deposit Account No. 04-1425. In addition, the Commissioner may charge any excess

· Application No.: 09/935,565

or insufficiency to the above deposit account number in connection with this communication. A duplicate copy of this letter is transmitted for that purpose

Respectfully submitted,

Date: November 13, 2001

John K. Harrop

Reg. No.: 41,817

DORSEY & WHITNEY LLP 1001 Pennsylvania Avenue, N.W.

Suite 300 South

Washington, D.C. 20004

(202) 824-8800/(703) 288-5247

(202) 824-8990 facsimile

Docket	No.			
5607				

Declaration and Power of Attorney For Patent Application English Language Declaration

As a below mamor inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled SEARCH-ON-THE-FLY WITH MERGE FUNCTION

the specification of	wnich	
(check one)		
☐ is attached here	eto.	
was filed on A	ugust 24, 2001	as United States Application No. or PCT Internationa
Application Nun	nber 09/935,565	
and was amend	led on	
		(if applicable)
•		nderstand the contents of the above identified specification amendment referred to above.
acknowledge the	duty to disclose to the	United States Patent and Trademark Office all information
known to me to be	-	ility as defined in Title 37, Code of Federal Regulation
known to me to be Section 1.56. I hereby claim fore Section 365(b) of a any PCT Internation isted below and ha inventor's certificate	e material to patentable ign priority benefits userny foreign application which do the also identified belower or PCT International at the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the co	
known to me to be Section 1.56. I hereby claim fore Section 365(b) of a any PCT Internation listed below and ha inventor's certificate on which priority is a section.	e material to patentable ign priority benefits using foreign application and application which do the also identified belower or PCT International acclaimed.	ility as defined in Title 37, Code of Federal Regulations ander Title 35, United States Code, Section 119(a)-(d) of (s) for patent or inventor's certificate, or Section 365(a) of esignated at least one country other than the United State or, by checking the box, any foreign application for patent of
known to me to be Section 1.56. I hereby claim fore Section 365(b) of a any PCT Internation listed below and ha inventor's certificate on which priority is a section.	e material to patentable ign priority benefits using foreign application and application which do the also identified belower or PCT International acclaimed.	ility as defined in Title 37, Code of Federal Regulations ander Title 35, United States Code, Section 119(a)-(d) of (s) for patent or inventor's certificate, or Section 365(a) of esignated at least one country other than the United State or, by checking the box, any foreign application for patent of application having a filing date before that of the application
known to me to be Section 1.56. I hereby claim fore Section 365(b) of a any PCT Internation listed below and ha inventor's certificate on which priority is a Prior Foreign Applic	e material to patentable ign priority benefits using foreign application and application which do the also identified belower or PCT International acclaimed.	ility as defined in Title 37, Code of Federal Regulations ander Title 35, United States Code, Section 119(a)-(d) of (s) for patent or inventor's certificate, or Section 365(a) of esignated at least one country other than the United State of the v, by checking the box, any foreign application for patent of application having a filing date before that of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of the application of
known to me to be Section 1.56. I hereby claim fore Section 365(b) of a any PCT Internation listed below and ha inventor's certificate on which priority is a Prior Foreign Application (Number)	e material to patentable ign priority benefits using foreign application which do the also identified below or PCT International sclaimed. Cation(s) (Country)	ility as defined in Title 37, Code of Federal Regulations ander Title 35, United States Code, Section 119(a)-(d) of (s) for patent or inventor's certificate, or Section 365(a) of esignated at least one country other than the United State by, by checking the box, any foreign application for patent of application having a filing date before that of the application application having a filing date before that of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the application for patent of the applicati
known to me to be Section 1.56. I hereby claim fore Section 365(b) of a any PCT Internation listed below and ha	e material to patentable ign priority benefits using foreign application and application which do the also identified belower or PCT International sclaimed.	ility as defined in Title 37, Code of Federal Regulations ander Title 35, United States Code, Section 119(a)-(d) of (s) for patent or inventor's certificate, or Section 365(a) designated at least one country other than the United State of the box, any foreign application for patent of application having a filing date before that of the application designation having a filing date before that of the application designation having a filing date before that of the application designation having a filing date before that of the application designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation designation desi

	60/227,305	August 24, 2000	
	(Application Serial No.)	(Filing Date)	
-	(Application Serial No.)	(Filing Date)	
-	(Application Serial No.)	(Filing Date)	
	I hereby claim the benefit under : Section 365(c) of any PCT Interna insofar as the subject matter of ea United States or PCT International	tional application designating t ach of the claims of this appli	he United States, listed below and, cation is not disclosed in the prior
	U.S.C. Section 112, I acknowledge Office all information known to m Section 1.56 which became available or PCT International filing date of the	e the duty to disclose to the U e to be material to patentabili ble between the filing date of the	nited States Patent and Trademark ty as defined in Title 37, C. F. R.
	U.S.C. Section 112, I acknowledge Office all information known to m Section 1.56 which became available	e the duty to disclose to the U e to be material to patentabili ble between the filing date of the	nited States Patent and Trademark ty as defined in Title 37, C. F. R.
	U.S.C. Section 112, I acknowledge Office all information known to m Section 1.56 which became available or PCT International filing date of the	e the duty to disclose to the U le to be material to patentabili ble between the filing date of the lis application:	nited States Patent and Trademark ty as defined in Title 37, C. F. R., ne prior application and the national (Status)

statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional

application(s) listed below:

agent(s) to prosecute this	f: As a named inventor, I hereby appoint to application and transact all business in the standard registration number) astomer Number 27082	the following attorney(s) and/o e Patent and Trademark Office
Send Correspondence to	Dorsey & Whitney LLP	
	1001 Pennsylvania Avenue, N.W., Suite 300 Sout Washington, D.C. 20004	h
Direct Telephone Calls to John K. Harrop (202) 824-88	: (name and telephone number)	
Full name of sole or first invento		. , ,
Sole or first inventor's signature	1/2	Date / // 8/01
Residence Southampton, New York	11968	770
Citizenship U.S.A.		
Post Office Address N/A		
Full name of second inventor, if	any	
Second inventor's signature		Date
Residence		
regidence		
Citizenship		<u> </u>





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 08/24/2001

09/935,565

Joseph L. De Bellis

5607

CONFIRMATION NO. 9677

FORMALITIES LETTER

OC000000006538420

DORSEY & WHITNEY LLP Suite 300 1660 International Drive McLean, VA 22102

Date Mailed: 09/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

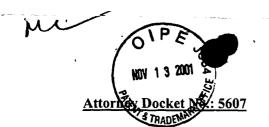
A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09935565 11/14/2001 SSESHE1 00000023 041425 65.00 CH





PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Joseph L. DE BELLIS

Examiner: n/a

Serial No.:

09/935,565

Art Unit: n/a

Filed:

August 24, 2001

Title:

SEARCH-ON-THE-FLY WITH MERGE FUNCTION

Box Missing Parts

Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION UNDER 37 CFR 1.53(b)

Sir:

In response to the Notice To File Missing Parts dated September 10, 2001, please find enclosed the following:

- Fully executed Declaration and Power of Attorney in compliance with 37 CFR 1.63
- Late filing fee surcharge of \$65.00 for late submittal of Declaration in compliance with 37 CFR 1.27
- Copy of Notice To File Missing Parts Of Nonprovisional Application

The Commissioner is hereby authorized to charge the surcharge fee in the amount of \$65.00 to Deposit Account No. 04-1425. In addition, the Commissioner may charge any excess

Application No.: 09



or insufficiency to the above deposit account number in connection with this communication. A duplicate copy of this letter is transmitted for that purpose

Respectfully submitted,

Date: November 13, 2001

John K. Harrop Reg. No.: 41,817

DORSEY & WHITNEY LLP 1001 Pennsylvania Avenue, N.W.

Suite 300 South

(202) 824-8800/(703) 288-5247

(202) 824-8800/(703) 288 (202) 824-8990 facsimile







United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/935,565

08/24/2001

Joseph L. De Bellis

5607

CONFIRMATION NO. 9677

Date Mailed: 09/10/2001

FORMALITIES LETTER

OC000000006538420

DORSEY & WHITNEY LLP Suite 300 1660 International Drive McLean, VA 22102

FILED UNDER 37 CFR 1.53(b)

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

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PART 3 - OFFICE COPY

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

Docket No. 5607

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

Washington, D.C. 20231

TO THE ASSISTANT COMMISSIONER FOR PATENTS **Box Patent Application**

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P01USML/REV04

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 5607

Total Pages in this Submission

			Application Elements (Continued)								
	3.	×	Drawing(s) (when necessary as prescribed by 35 USC 113)								
		a.	□ Formal								
	4.		Oath or Declaration								
		a.	☐ Newly executed (original or copy) ☐ Unexecuted								
		b.	☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)								
		C.	☐ With Power of Attorney ☐ Without Power of Attorney								
		d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).								
	5.		Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.								
	6.		Computer Program in Microfiche								
Œ.	7.		Genetic Sequence Submission (if applicable, all must be included)								
		a.	a. Paper Copy								
		b. Computer Readable Copy									
		C.	Statement Verifying Identical Paper and Computer Readable Copy								
			Accompanying Application Parts								
	8.		Assignment Papers (cover sheet & documents)								
	9.		37 CFR 3.73(b) Statement (when there is an assignee)								
1	0.		English Translation Document (if applicable)								
1	1.		Information Disclosure Statement/PTO-1449 Copies of IDS Citations								
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1.	4.		Certificate of Mailing								
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UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 5607

Total Pages in this Submission

Accompanying Application Parts (Continued)									
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)							
16.		Small Entity Statement(s) - Specify Number of Statements Submitted:							
17.	×	Additional Enclosures (please identify below):							
		Claim to Priority of U.S. Provisional Patent Application No.: 60/227,305 filed August 24, 2000.							
		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)							
18.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.							
		Warning							
		An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.							

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 5607

Total Pages in this Submission

Fee Calculation and Transmittal

For	#Filed	#Allowed	#Extra		Rate	Fee
Total Claims	41	- 20 =	21	x	\$9.00	\$189.00
ndep. Claims	8	- 3 =	5	×	\$40.00	\$200.00
Multiple Dependen	t Claims (check	(if applicable)				\$0.00
					BASIC FEE	\$355.00
OTHER FEE (spec	cify purpose)					\$0.00
					TOTAL FILING FEE	\$744.00

☐ A check in the amount of to cover the filing fee is enclosed.

☑ The Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed.

04-1425

Charge the amount of \$744.00 as filing fee.

☑ Credit any overpayment.

☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: August 24, 2001

Signature

Aldo Noto, Reg. No.: 35,628 DORSEY & WHITNEY LLP 1660 International Drive, Suite 300

McLean, VA 22102 Tel. (703) 288-5250 Fax (703) 288-5260

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P01USML/REV04

SEARCH-ON-THE-FLY WITH MERGE FUNCTION

Related Applications

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This non-provisional application claims the benefit of U.S. provisional patent
Application Number 60/227,305, entitled "SEARCH-ON-THE-FLY WITH MERGE
FUNCTION," filed on August 24, 2000. The provisional application is hereby
incorporated by reference.

This application is a continuation-in-part of Application Number 09/513,340, filed February 25, 2000, entitled Search-On-The-Fly/Sort-On-The-Fly Search Engine, which is hereby incorporated by reference.

Technical Field

The technical field is information management systems, interfaces, and mechanisms, and methods for searching one or more databases.

Background

In the most general sense, a database is a collection of data. Various architectures have been devised to organize data in a computerized database. computerized database includes data stored in mass storage devices, such as tape drives, magnetic hard disk drives and optical drives. Three main database architectures are termed hierarchical, network and relational. A hierarchical database assigns different data types to different levels of the hierarchy. Links between data items on one level and data items on a different level are simple and direct. However, a single data item can appear multiple times in a hierarchical database and this creates data redundancy. To eliminate data redundancy, a network database stores data in nodes having direct access to any other node in the database. There is no need to duplicate data since all nodes are universally accessible. In a relational database, the basic unit of data is a relation. A relation corresponds to a table having rows, with each row called a tuple, and columns, with each column called an attribute. From a practical standpoint, rows represent records of related data and columns identify individual data elements. The order in which the rows and columns appear in a table has no significance. In a relational database, one can add a new column to a table without having to modify older applications that access other columns in the table. Relational databases thus provide flexibility to accommodate changing needs.

All databases require a consistent structure, termed a schema, to organize and manage the information. In a relational database, the schema is a collection of tables. Similarly, for each table, there is generally one schema to which it belongs. Once the

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schema is designed, a tool, known as a database management system (DBMS), is used to build the database and to operate on data within the database. The DBMS stores, retrieves and modifies data associated with the database. Lastly, to the extent possible, the DBMS protects data from corruption and unauthorized access.

A human user controls the DBMS by providing a sequence of commands selected from a data sublanguage. The syntax of data sublanguages varies widely. The American National Standards Institute (ANSI) and the International Organization for Standardization (ISO) have adopted Structured English Query Language (SQL) as a standard data sublanguage for relational databases. SQL comprises a data definition language (DDL), a data manipulation language (DML), and a data control language (DCL). The DDL allows users to define a database, to modify its structure and to destroy it. The DML provides the tools to enter, modify and extract data from the database. The DCL provides tools to protect data from corruption and unauthorized access. Although SQL is standardized, most implementations of the ANSI standard have subtle differences. Nonetheless, the standardization of SQL has greatly increased the utility of relational databases for many applications.

Although access to relational databases is facilitated by standard data sublanguages, users still must have detailed knowledge of the schema to obtain needed information from a database since one can design many different schemas to represent the storage of a given collection of information. For example, in an electronic commerce system, product information, such as product SKU, product name, product description, price, and tax code, may be stored in a single table within a relational database. In another electronic commerce system, product SKU, product name, description, and tax code may be stored in one table while product SKU and product price are stored in a separate table. In this situation, a SQL query designed to retrieve a product price from a database of the first electronic commerce system is not useful for retrieving the price for the same product in the other electronic system's database because the differences in schemas require the use of different SQL queries to retrieve product price. As a consequence, developers of retail applications accessing product information from relational databases may have to adapt their SQL queries to each individual schema. This, in turn, prevents their applications from being used in environments where there are a wide variety of databases having different schemas, such as the World Wide Web.

A further problem with conventional searches, search engines, data access and data retrieval is a tendency to return very large amounts of data, or to require the search

parameters to be narrowed. When large amounts of data are presented, the display may take many "pages" before all data is seen by the user. The time and expense involved in such a data review may be significant, inconvenient, not user friendly or efficient.

Summary

Sort-on-the-Fly/Search-on-the-Fly data retrieval methods and apparatus (hereafter, search-on-the-fly) provide an intuitive means for accessing or searching databases, allowing a user to access or obtain information about data in the database without having to know anything about the database structure. Sort-on-the-Fly/Search-on-the-Fly is an information gathering process or analysis process about data stored in one or more databases. The on-the-fly methods and apparatus often use or include sorting and searching. While Sort-on-the-Fly/Search-on-the-Fly may be a search engine or part of a search engine, it may also stand alone or make calls to a search engine. For example, database search engines may be used in conjunction with on-the-fly methods and apparatus.

Using Sort-on-the-Fly/Search-on-the-Fly, a user selects a desired term, and the user is delivered all instances of the desired term, even if a specific file or table does not contain the instance. For example, if a user wants to enter a database using the name of a specific individual as a database entry point, a database manager or other software will access the database using the desired name, and will organize the results so that all entries associated with that name are displayed. The database need not have a specific file (in a flat database) or a table (in a relational database) of names. The user may perform further on-the-fly searches or information retrieval to narrow or focus the results, or for other reasons. For example, given results for all names that include the name "Smith," the user may then decide to obtain information for all "Smiths" that include an association to an address in New Jersey. Search-on-the-fly then conducts a further information gathering using this criteria and produces a second result. Further narrowing or broadening of the analysis is permitted, with search-on-the-fly returning results based on any new criteria.

In an embodiment, search-on-the-fly uses graphical user interfaces (GUIs) and one or more icons to make the information gathering process as efficient as possible. The GUIs may incorporate one or more pull down menus of available sorting terms. As a user selects an item from a first pulldown menu, a subsequent pulldown menu displays choices that are available for sorting or searching. The process may be continued or repeated until Sort-on-the-Fly/Search-on-the-Fly has retrieved or displayed a discrete data entry from the database. The pulldown menus are not pre-formatted. Instead, the pulldown

- 1 menus are created "on-the-fly" as the user steps through the sort and/or search process.
- 2 Thus, search-on-the-fly is inherently intuitive, and allows a user with little or no
- 3 knowledge of the database contents, its organization, or a search engine search routine to
- 4 execute comprehensive analysis, sorting and/or searches that return generally accurate
- 5 results.

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Search-on-the-fly also searches on key words specified by the user. Search-onthe-fly can be used to exclude certain items. Search-on-the-fly incorporates other advanced features such as saving results by attaching a cookie to a user's computer, and associating icons with the results.

Search-on-the-fly may be used with both internal and external databases. For example, Search-on-the-fly may be used with a company internal database and one or more databases accessible through the Internet.

Search-on-the-fly is user-friendly. With one interface, many different types of databases or database schemas may be searched or sorted.

Finally, the search-on-the-fly technique, and other techniques discussed above may be used in conjunction with a method of doing business, particularly a business method that uses the Internet as a communications backbone.

Description of the Drawings

The detailed description will refer to the following figures, in which like numerals refer to like objects, and in which:

Figure 1 is a block diagram of a system that uses a search-on-the-fly/sort-on-the-fly process;

Figure 2 is another overall block diagram of the system of Figure 1;

Figure 3 is a detailed block diagram of the search engine used with the system of Figure 2;

Figure 4 is an example of a search-on-the-fly using the search engine of Figure 3;

Figures 5 - 9 are detailed block diagrams of components of the search engine of Figure 3;

Figure 10 is another example of a search-on-the-fly using the search engine of Figure 3;

Figures 11 - 15b are additional examples of a search-on-the-fly using the search engine of Figure 3;

Figures 16 - 20 are flow charts illustrating operations of the search engine of Figure 3;

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1	Figure 21 illustrates a further function of the search engine of Figure	3 in	which
2	results of more than one search are combined;		

- Figures 22 26 illustrate graphical user interfaces that may be displayed in conjunction with operation of the system of Figure 1;
- Figure 27 is a flowchart illustrating an alternate operation of a query generator used with the search engine of Figure 3;
 - Figure 28 is a flowchart illustrating an alternate operation of the truncator used with the search engine of Figure 3;
- 9 Figures 29 36 illustrate user interfaces with search results from a search on the 10 fly and a merge function;
 - Figures 37 39 illustrate a keyword search result form a search on the fly with the merge function;
 - Figures 40-49 illustrate additional search results;
- Figure 50 illustrates a cellular phone incorporating the search-on-the fly with merge function;
- Figure 51 illustrates a personal data assistant incorporating the search-onthe-fly with merge function;
 - Figures 52a 521 illustrate search-on-the-fly as displayed on the cellular phone of Figure 50; and
 - Figure 53 illustrates a computer-readable medium having the search-on-the-fly with merge function loaded thereon.

Detailed Description

Ordinary search engines place constraints on any search. In particular, a partial ordering of available search criteria limits application of the search engine only to certain search sequences. The user is given a choice of search sequences, and the order in which individual search steps in the search sequence become available limits the direction of the search. A user who desires to take a vacation cruise may use an Internet search engine to find a desired vacation package. The search begins with presentation of a list of general categories, and the user clicks on "travel," which produces a list of subcategories. The user then clicks on "cruises" from the resulting list of subcategories, and so on in a cumulative narrowing of possibilities until the user finds the desired destination, date, cruise line, and price. The order in which choices become available amounts to a predefined "search tree," and the unspoken assumption of the search engine designer is

that the needs and thought processes of any user will naturally conform to this predefined search tree.

To an extent, predefined constraints are helpful in that predefined constraints allow a search engine to logically and impersonally order the user's thoughts in such a way that if the user has a clear idea of what object the user wants, and if the object is there to be found, then the user is assured of finding the object. Indeed, the user may want to know that choosing any available category in a search sequence will produce an exhaustive and disjunctive list of subcategories from which another choice can be made. Unfortunately, an unnecessarily high cost is too often paid for this knowledge: The user is unnecessarily locked into a limited set of choice sequences, and without sufficient prior knowledge of the object being sought, this limitation can become a hindrance. Specifically, where prescribed search constraints are incompatible with the associative relationships in the user's mind, a conflict can arise between the thought processes of the user and the function of the search engine.

At one time, such conflicts were written off to the unavoidable differences between computers and the human mind. However, some "differences" are neither unavoidable nor problematic. In the case of search engine design, the solution is elegant: upon selecting a category or entering a keyword, the user can be given not only a list of subcategories, but the option to apply previously available categories as well. In slightly more technical terms, the open topology of the search tree can be arbitrarily closed by permitting search sequences to loop and converge. Previous lists can be accessed and used as points of divergence from which new sub-sequences branch off, and the attributes corresponding to distinct sub-sequences can later be merged.

Sort-on-the-fly/search-on-the-fly data analysis, sorting access and retrieval methods and apparatus (hereafter, search-on-the-fly search engine) provide an intuitive means for analyzing various types of databases, allowing a user to obtain information about and/or access data in the database without having to know anything about the database structure. A user selects a desired term, and a database manager reviews the database for all instances of the desired term, even if a specific file or table does not contain the instance. For example, if a user wants to analyze the database using the name of a specific individual as a database entry point, the database manager will search the database or index using the desired name, and will organize the results so that all entries associated with that name are displayed. The database need not have a specific file (in a flat database) or a table (in a relational database) of names. The user may perform further

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on-the-fly searches to narrow the search results, or for other reasons. The search engine then conducts a further search using this criteria and produces a second search result. Further narrowing or broadening of the search are permitted, with the search engine returning results based on any new criteria.

This on-the-fly method or process can be used to simply analyze data or gather information about data stored in a database. The actual data itself does not need to be fetched, displayed, printed or even sorted. The user may simply wish to use this tool to "clean-up" data or understand how data could be sorted or for other reasons.

Figure 1 is a block diagram of a system 10 that uses search-on-the-fly. In Figure 1, a database 12 is accessed using a hardware/software interface device 100 to provide data to a user terminal 14. Additional databases 13 and 15 may also be accessed by the terminal 14 using the device 100. The databases 12, 13 and 15 may use different schemas, or may use a same schema. As will be described later, the device 100 may include the search-on-the-fly search apparatus. In an alternative embodiment, the searchon-the-fly search engine may be co-located with the terminal 14. In yet another embodiment, the search-on-the-fly search engine may be incorporated into the structure of one or more of the databases 12, 13 and 15. The device 100 may interface with any one or more of the databases 12, 13 and 15 using a network connection such as through the Internet, for example. Other communications mediums may also be used between the terminal 14, the device 100 and any one or more of the databases 12, 13 and 15. These mediums may include the public switched telephone network (PSTN), cable television delivery networks, Integrated Services Digital Networks (ISDN), digital subscriber lines (DSL), wireless means, including microwave and radio communications networks, satellite distribution networks, and any other medium capable of carrying digital data.

The system shown in Figure 1 is but one of many possible variations. The search-on-the-fly search engine could also be incorporated within a single computer, such as a personal computer, a computer network with a host server and one or more user stations, an intranet, and an Internet-based system, as shown in Figure 2. Referring again to Figure 2, the terminal 14 may be any device capable of displaying digital data including handheld devices, cellular phones, geosynchronous positioning satellite (GPS) devices, wrist-worn devices, interactive phone devices, household appliances, televisions, television set top boxes, handheld computers, and other computers.

Figure 3 is a detailed block diagram of an exemplary search-on-the-fly search engine 125. The search engine 125 includes a request analyzer 130 that receives search

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1 requests 114 from the terminal 14 (not shown in Figure 3) and sends out updated requests 2 115 to a query generator 150. A status control 140 receives a status update signal 116 and 3 a request status control signal 118 and sends out a request status response 119 to the 4 request analyzer 130. The status control 140 also keeps track of search cycles, that is, the 5 number of search iterations performed. The query generator 150 receives the updated requests 115 from the request analyzer 130 and sends a database access signal 151 to a 6 7 database driver 170. The query generator 150 receives results 153 of a search of the 8 database 12 (not shown in Figure 3) from the database driver 170. The query generator 9 150 provides a display signal 175 to the terminal 14. The database driver 170 sends a 10 database access signal 171 to the database 12. Finally, a database qualifier 160 receives 11 information 161 from the database driver 170 and provides a list 163 of available data 12 fields from the database 12. As will be described later, the list of available data fields 163 may be displayed to a user at the terminal 14, and may be sorted and processed using the 13 14 request analyzer 130 in conjunction with the database qualifier 160. The database 15 qualifier 160 also receives search information and other commands 131 from the request 16 analyzer 130.

The search engine 125 may identify a database schema by simply using a trial and error process. Alternatively, the search engine 125 may use other techniques know in the art. Such techniques are described, for example, in U.S. Patent 5,522,066, "Interface for Accessing Multiple Records Stored in Different File System Formats," and U.S. Patent 5,974,407, "Method and Apparatus for Implementing a Hierarchical Database Management System (HDBMS) Using a Relational Database Management System (RDBMS) ad the Implementing Apparatus," the disclosures of which is hereby incorporated by reference.

The search engine 125 provides search-on-the-fly search capabilities and more conventional search capabilities. In either case, the search engine 125 may perform a preliminary database access function to determine if the user has access to the database 12. The search engine 125 also determines the database schema to decide if the schema is compatible with the user's data processing system. If the database schema is not compatible with the user's processing system, the search engine 125 may attempt to perform necessary translations so that the user at the terminal 14 may access and view data in the database 12. Alternatively, the search engine 125 may provide a prompt for the user indicating incompatibility between the terminal 14 and a selected database.

The search engine 125 may conduct a search using one or more search cycles. A search cycle includes receipt of a request 114, any necessary formatting of the request 114, and any necessary truncation steps. The search cycle ends when a result list 175 is provided to the terminal 14. The search engine 125 may retain a status of each past and current search cycle so that the user can modify the search at a later time. The user may also use this feature of retaining a status of past and current search cycles to combine results of multiple searches, using, for example, a Boolean AND function, a Boolean OR function, or other logic function. The above listed functions will be described in more detail later.

The search-on-the-fly function of the search engine 125 begins by determining available data fields of the database 12. The database 12 may have its data organized in one or more data fields, tables, or other structures, and each such data field may be identified by a data field descriptor. In many cases, the data field descriptor includes enough text for the user at the terminal 14 to determine the general contents of the data field. The list of data fields may then be presented at the terminal 14, for example, in a pull down list. An example of such a data field result list is shown in Figure 4, which is from a federal database showing data related to managed health care organizations. This database is available at http://tobaccopapers.org/dnld.htm. In Figure 4, the first data field listed is "PlanType," which is shown in result list 156. Other data field descriptors show the general categories of data in the database.

Using the terminal 14, the user may select one of the data field descriptors to be searched. For example, the user could select "city." If a number of entries, or records, in the city data field is short, a further result list of complete city names may be displayed. If the entries are too numerous to be displayed within a standard screen size, for example, the search engine 125 may, in an iterative fashion, attempt to reduce, or truncate, the result list until the result list may be displayed. In the example shown in Figure 4, entries in the city data field are so numerous (the database includes all U.S. cities that have a managed health care organization) that the search engine 125 has produced a result list 157 that shows only a first letter of the city. Based on the available database data fields, the user may then perform a further search-on-the-fly. In this case, the user may choose cities whose first initial is "N." The search engine 125 then returns a result list 158 of cities whose names start with the letter "N." Because in this instance the result list 158 is short, no further truncation is necessary to produce a manageable list.

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Figure 5 is a more detailed block diagram of the request analyzer 130. A protocol analyzer 133 receives the request 114 and provides an output 135 to a constraint collator 136. The protocol analyzer 133 examines the received request 114, determines a format of the request 114, and performs any necessary translations to make the request format compatible with the database to be accessed. If the database to be accessed by the terminal 14 is part of a same computer system as the terminal 14, then the protocol analyzer 133 may not be required to perform any translations or to reformat the request 114. If the database to be accessed is not part of the same computer system as the terminal 14, then the protocol analyzer 133 may be required to reformat the request 114. The reformatting may be needed, for example, when a request 114 is transmitted over a network, such as the Internet, to a database coupled to the network.

The constraint collator 136 provides the updated request 115 (which may be an initial request, or a subsequent request) to the query generator 150. The constraint collator 136 is responsible for interpreting the request 114. The constraint collator 136 performs this function by comparing the request 114 against information stored in the status control 140. In particular, the constraint collator 136 sends the request status control signal 118 to the status control 140 and receives the request status response 119. The constraint collator 136 then compares the request status response 119 to constraint information provided with the request 114 to determine if the constraint status should be updated (e.g., because the request 114 includes a new constraint). In an embodiment, the constraint collator 136 compares constraint information in a current request 114 to constraint information residing in the status control 140, and if the current request 114 includes a new constraint, such as a new narrowing request (for example, when the user clicks, touches or points over a field shown in a last search cycle), then the constraint collator 136 adds the updated information and sends the updated request 115 to the query generator 150. If the constraint status should be updated, the constraint collator 136 sends the status update 118 to the status control 140. If the request 114 is a refresh request, the constraint collator 136 sends a reset command 131 to the database qualifier 160. The updated request 115 (possibly with a new constraint) is then sent to the query analyzer 150 for further processing.

Figure 6 is a block diagram of the query generator 150. The overall functions of the query generator 150 are to scan a database, such as the database 12, using the database driver 170, and to collect search results based on constraints supplied by the request

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analyzer 130. The query generator 150 then returns the search results 175 to the terminal 14.

The query generator 150 includes a truncator 152 and a dispatcher 154. The truncator 152 receives the updated request 115, including a new constraint, if applicable. The truncator 152 creates new queries, based on new constraints, and applies the new requests 151 to the database 12 using the database driver 170. Many different methods of truncating for display or viewing may be used by truncator 152. The truncator 152 may include a variable limit 155 that is set, for example, according to a capacity of the terminal 14 to display the search results 175. If data retrieved from the database 12 exceed the limit value, the truncator 152 adjusts a size (e.g., a number of entries or records) of the data until a displayable result list is achieved. One method of adjusting the size is by cycling (looping). Other methods may also be used to adjust the size of the result list. For example, the terminal 14 may be limited to displaying 20 lines of data (entries, records) from the database 12. The truncator 152 will cycle until the displayed result list is at most 20 lines. In an embodiment, the truncation process used by the truncator 152 assumes that if the user requests all values in a particular data field from the database 12, and there are no other constraints provided with the request 114, and if the size of the resulting result list is larger than some numeric parameter related to a display size of the terminal 14, then the constraints may be modified by the truncator 152 so that the result list can accommodated (e.g., displayed on one page) by the terminal 14. For example, instead of a full name of a city, some part of the name - the first n letters - is checked against the database 12 again, and n is reduced until the result list is small enough for the capacity of the terminal 14. If the maximum number of displayable results is three (3), and the database 12 contains the names of six cities "Armandia, Armonk, New Orleans, New York, Riverhead, Riverdale," then the first attempt to "resolve" the result list will stop after a result list display is created with the full name of the cities:

- 27 Armandia, Armonk, New Orleans... (the limit was reached)
- 28 Try again with 7 characters:
- 29 Armandia, Armonk, New Orl, New Yor, (limit reached again)
- 30 Again with 5 characters:
- 31 Armandia, Armonk, New O, New Y, (limit reached again)
- 32 Again with 3 characters:
- 33 Arm (...), New (...), Riv (...). These results may now be displayed on the terminal 14.
- 34 The display of Arm, New, Riv can then be used to conduct a further search-on-the-fly.

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For example, a user could then select Riv for a further search-on-the-fly. The result list returned would then list two cities, namely Riverhead and Riverdale.

In another embodiment, a fixed format is imposed such that all queries generated against a database will have preset limits corresponding to the capacity of the terminal 14.

In yet another embodiment, the truncator 152 may adjust the field size by division or other means. For example, if the display limit has been reached, the truncator 125 may reduce the field size, X by a specified amount. In an embodiment, X may be divided by two. Alternatively, X may be multiplied by a number less than 1, such as 3/4, for example. Adjusting the field size allows the search engine 125 to perform more focused searches and provides more accurate search results.

In another embodiment, the truncator first attempts to display information without truncation. If that is not appropriate, the truncator may attempt truncation by beginning with one character (26 letters and perhaps 10 digits) and incrementing to two characters and then three, four, until a failure to display is reached.

In still another embodiment, the user may select a limit that will cause the truncator 152 to adjust the field size. For example, the user could specify that a maximum of ten entries should be displayed.

For certain data fields, a terminal of a hand-held device, may have a very limited display capacity. For example, a personal data assistant (POA - see Figure 52) or a cellular phone (see Figure 50) may be used to search a database, with the results displayed on a small screen. Alternatively a user may specify a limit on the number of entries for display. In the illustrated cases, the search engine 125 may return a result list 175 of the request 114 on multiple display pages, and the user may toggle between these multiple display pages. As an example, if the terminal 14 is limited to displaying a maximum of ten entries, and if the request 114 results in a return of a data field comprising the 400 largest cities in the United States, the truncator 152 will produce a list of 23 entries comprising 23 alphabetical characters (no cities that begin with Q, Y or Z see Figure 4). The search engine 125 may then display the results on three pages. Alternatively, the truncator 152 could produce a list of letter groups into which the cities would fall, such as A-D, E-G, H-M, N-R, and R-X, for example. In another alternative, the search engine 125 may send a notice to the terminal that the request 114 cannot be accommodated on the terminal 14 and may prompt the user to add an additional constraint to the request 114, so that a search result may be displayed at the terminal 14.

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Adjusting the data field size also provides more convenient search results for the user. For example, if a user were to access an Internet-based database for books for sale, and were to request a list of all book titles beginning with the letter "F," a common search engine might return several hundred titles or more, displaying perhaps twenty titles (entries) at a time. The user would then have to look through each of many pages to find a desired title. This process could be very time-consuming and expensive. Furthermore, if the search results were too large, the common search engine might return a notice saying the results were too large for display and might prompt the user to select an alternative search request. However, performing the same search using the search engine 125 allows the truncator 152 to reduce the size of the information displayed to a manageable level. In this example, if the request 114 includes the constraint "F," the truncator 152 will loop through the data in a data field that includes book titles starting with the letter "F" until a list is available that can fit within the display limits of the terminal 14, or that fits within a limit set by the user, for example. The first list returned to the terminal 14 as a result of this request 114 may be a two letter combination with "F" as the first letter and a second letter of a book title as the second letter. For example, the fist list may include the entries "Fa," "Fe," "Fi," "Fo," and "Fu," all of which represent titles of books. The user could then select one of the entries "Fa," "Fe," "Fi," "Fo," and "Fu" to perform a further search, continuing the process until one or more desired titles are displayed. An example of a similar truncation result is shown in Figure 14.

When a parameter related to the search results is adequately truncated, the parameter is directed to the dispatcher 154, which retrieves the data from database 12 using the database driver 170. The dispatcher 154 then directs the final, truncated search results 175 back to the terminal 14 as a response to the request 114.

Figure 7 is a block diagram showing the status control 140, which is responsible for monitoring the status of a current search. Due to the nature of the search engine 125, the user can choose any combination of constraints, fields or keywords, including those from past and current search cycles. The status control 140 may keep track of all past cycles of the search, as well as all information necessary to return to any of those past search cycles. The status control 140 includes a status data module 142, and an index module 144. The status data module 142 contains data related to each such search cycle, including the constraint(s) entered during the search cycle, any truncation steps taken, and the results of such truncation, for example. The index module 144 provides access to these data. When the request 114 is being analyzed by the request analyzer 130, the

constraint collator 136 sends a request status query 116 to the index module 144. The status data module 142 contains information related to all past and current search cycles, which are referenced by the index module 144, and delivers a status response 119 for the most recent search cycle to the constraint collator 136. When a new constraint is sent to the query generator 150, the status data module 142 is updated 118 by the constraint collator 136. Specific structures of the request 114, the request status query 116, the status response 119 and the request status control 118 will be provided later.

The status data module 142 may be reset by the database qualifier 160 with all available fields when a refresh function is used. In an embodiment, the refresh function may be used to clear all past search cycles and the current search cycle from the status control 140. In such an event, the search results, such as the search results shown in Figure 4, will no longer be displayed at the terminal 14, and data related to the past and the current search cycles may not be used for future search cycles. In effect, the refresh function may cause the entire search to be discarded. The refresh function may be activated when a user selects a refresh button (see Figure 4) on a displayed result list, or on another portion of a GUI. Alternatively, the refresh function may discard selected search cycles. In this alternative embodiment, the user may, for example, move a cursor to a desired result list from a past search cycle and activate a refresh, reset, back, or drop button. All data associated with search cycles subsequent to the selected search cycle, including all displayed result lists may then be discarded.

Figure 8 is a block diagram showing the database qualifier 160. The database qualifier 160 provides data field information at the start of a search or when the search engine 125 is refreshed. A field assessor 162 access the database 12 using the database driver 170, and identifies and accesses discrete data fields and other information in the database 12. A field converter 164 structures the data field information into a usable (searchable/sortable) structure and sends 163 the formatted data field information to the status control 140. Techniques for identifying and accessing the data fields, and for formatting the data field information are well known in the art. Such techniques are described, for example, in U.S. Patent 5,222,066, Interface for Accessing Multiple Records Stored in Different File System Formats, the disclosure of which is hereby incorporated by reference.

Figure 9 is a block diagram of the database driver 170. The database driver 170 is the universal interface with the database 12, which can be a local or a remote database.

Figure 10 is an example of a search-on-the-fly using the search engine 125. In Figure 10, a database 200 includes information related to a number of individuals. The information in the database 200 may be presented at the terminal 14 using a series of screens or menus 201 - 230. The user first accesses the database 200 and is presented with a list 201 of the information or data fields contained in the database 200. The result list 201 is generated by the field assessor 162, and is provided for display at the terminal 14 by the query generator 150. As shown in Figure 10, a user has selected the data field "City" for display of information. However, the number of "cities" listed in the database 200 is too large to conveniently display at one time (i.e., on one page) at the terminal 14. Accordingly, the truncator 152 will loop a required number of times until an adequate display is available. In Figure 10, the menu 203 shows the results of the truncation with only the first letter of a city name displayed.

Using the menu 203, the user has selected cities beginning with the letter "A." The results are shown in menu 205. Now, the user elects to conduct another search-on-the-fly, by selecting the "sort-on-the-fly" option 206. The query generator 150 displays all the information fields available from the database 200, except for the information field already displayed, namely "City." The results are displayed in menu 207. The user then elects to further search on the data field "State." The query generator 150 returns the requested information as displayed in menu 209, listing five states by their common two-letter abbreviation. The user then chooses New York from the menu 209, and the query generator 150 returns a list of cities in New York, menu 211.

Next, the user elects to conduct another search-on-the-fly, option 212, and the query generator 150 returns only the remaining data fields for display in menu 215. From the menu 215, the user selects "Address" for the next data field to search, and the query generator 150 returns an menu 217 showing only first letters of the address. This signifies that the data field "Address" was too large to be easily displayed on the terminal 14. The user then elects to search on all addresses that begin with "C." The query generator 150 returns a list of addresses by displaying only street names, menu 219.

The user then elects to conduct a further search-on-the-fly, option 220, and the remaining two data fields, "Name" and "Phone" are displayed as options in menu 221. The user selects name, and the query generator returns a further breakdown of the data by last name and by first name, menu 223. This process continues, with further menus being used to select a last name and a first name from the database 200. When the final

selection is made, information from the database 200 related to the individual is displayed in window 230.

In the example shown in Figure 10, the user could have refreshed the search engine 125 at any time, and the search would have recommenced at the beginning. Alternatively, the user could, by simply selecting a prior menu, such as the menu 215, have changed the course of the search. In this alternative, if the user had gone back to the menu 215 and instead of selecting "Address" selected "Phone," then the menus 217 - 229 would be removed from display at the terminal 14, and the search would begin over from the point of the menu 215.

Figures 11 – 15b illustrate exemplary searches of a remote database, such as the database 13 shown in Figure 1. The database in the illustrated example is for an Internet website 232 that sells books. The examples illustrated are based on a Barnes & NobleTM website. In Figure 11, the user has applied the search engine 125 to the website 232 database, and the query generator 150 has returned a list 233 of data fields from which the user may select to access data from the website 232 database. The list 233, and other lists described below, may be displayed as overlays on the website 232. In the example illustrated, the user selects "Title" for the first search cycle. Because the list of titles is too large to easily display at the terminal 14, the truncator 152 loops until an alphanumeric list 234 is created. The list 234 is then returned to the terminal 14. For the next search cycle, the user selects titles that begin with the letter "C." Again, the data field contains too many entries to conveniently display at the terminal 14, and the truncator 152 loops as appropriate until list 235 is created. The process continues with subsequent lists 236 and 237 being returned to the terminal 14.

Figures 12 - 15b illustrate alternate searches that may be completed using the website 232 database.

For the search results shown in Figures 11 - 15b, the status control 140 may iterate as follows:

28 Status Control Started...

29 Key: Title1 Option: Title Level: 1 Filter: Field: Title

30 Key: A2 Option: A Level: 2 Filter: SUBSTRING([Title],1,1) = 'A' Field:

31 Title

32 Key: AA3 Option: AA Level: 3 Filter: SUBSTRING([Title],1,2) = 'AA'

33 AND SUBSTRING([Title],1,1) = 'A' Field: Title

```
1
                   Key: F4 Option: F Level: 4 Filter: SUBSTRING([Title],1,1) = 'F' Field:
 2
     Title
 3
                   Key: Fa5 Option: Fa Level: 5 Filter: SUBSTRING([Title],1,2) = 'Fa'
 4
      AND SUBSTRING([Title],1,1) = 'F' Field: Title
                   Key: Favo6 Option: Favo Level: 6 Filter: SUBSTRING([Title],1,4) =
 5
 6
      'Favo' AND SUBSTRING([Title],1,2) = 'Fa' AND SUBSTRING([Title],1,1) = 'F'
 7
     Field: Title
                   Key: C7 Option: C Level: 7 Filter: SUBSTRING([Title],1,1) = 'C' Field:
 8
 9
     Title
10
                   Key: Ce8 Option: Ce Level: 8 Filter: SUBSTRING([Title],1,2) = 'Ce'
11
      AND SUBSTRING([Title],1,1) = 'C' Field: Title
12
                   Key: Cells Option: Cells Level: 9 Filter: SUBSTRING([Title],1,5) =
13
      'Cells' AND SUBSTRING([Title],1,2) = 'Ce' AND SUBSTRING([Title],1,1) = 'C'
14
     Field: Title
15
                   Key: Cellula10 Option: Cellula Level: 10 Filter: SUBSTRING([Title],1,7)
            'Cellula' AND SUBSTRING([Title],1,2) = 'Ce' AND SUBSTRING([Title],1,1)
16
     = 'C' Field: Title
17
                   Key: CC11 Option: CC Level: 11 Filter: SUBSTRING([Title],1,2) = 'CC'
18
19
     AND SUBSTRING([Title],1,1) = 'C' Field: Title
            Status Control Terminated.
20
21
            Figure 15b shows the results for a search for a low-fat cookbook using the search
22
     engine 125 as applied to a remote database. In this example, the remote database is
23
     coupled to a Barnes & Noble web page. The first query, and resulting message strings,
24
     are illustrated by the following:
25
     Query Analyzer
26
     Message Received: ACK
27
     Status Control: Refresh
28
     Dispatcher
                              Categories~-~Title~-~Author~-~ISBN~SubTitle~Format~Date
29
     Message
                   Sent:
30
     Published~Stock
                                                                   Status~Recommended
31
     Age~Pages~Ratings~Price~Retail~Savings~-~Publisher
32
     Query Analyzer
33
     Message Received: CLK#0#1#Categories
34
     Status Control received an update:
```

- 1 Key: Categories 1 Option: Categories Level: 1 Filter: Field: Categories
- 2 Query Generator
- 3 Request is not cached, processing
- 4 Generated Query: SELECT DISTINCT [Categories] FROM Books ORDER BY
- 5 [Categories]
- 6 Number of Matching Records: 2032
- 7 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,82) FROM Books
- 8 ORDER BY SUBSTRING([Categories], 1,82)
- 9 Number of Matching Records: 2022
- 10 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,61) FROM Books
- 11 ORDER BY SUBSTRING([Categories],1,61)
- 12 Number of Matching Records: 1995
- 13 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,45) FROM Books
- 14 ORDER BY SUBSTRING([Categories],1,45)
- 15 Number of Matching Records: 1751
- 16 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,33) FROM Books
- 17 ORDER BY SUBSTRING([Categories],1,33)
- 18 Number of Matching Records: 1251
- 19 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,24) FROM Books
- 20 ORDER BY SUBSTRING([Categories],1,24)
- 21 Number of Matching Records: 799
- 22 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,18) FROM Books
- 23 ORDER BY SUBSTRING([Categories],1,18)
- 24 Number of Matching Records: 425
- 25 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,13) FROM Books
- ORDER BY SUBSTRING([Categories],1,13)
- 27 Number of Matching Records: 319
- 28 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,9) FROM Books
- 29 ORDER BY SUBSTRING([Categories],1,9)
- 30 Number of Matching Records: 147
- 31 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,8) FROM Books
- 32 ORDER BY SUBSTRING([Categories],1,8)
- 33 Number of Matching Records: 111

- 1 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,7) FROM Books
- 2 ORDER BY SUBSTRING([Categories],1,7)
- 3 Number of Matching Records: 78
- 4 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,6) FROM Books
- 5 ORDER BY SUBSTRING([Categories], 1,6)
- 6 Number of Matching Records: 44
- 7 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,5) FROM Books
- 8 ORDER BY SUBSTRING([Categories],1,5)
- 9 Number of Matching Records: 26
- 10 Truncator finished, took 15 seconds to make 13 iterations
- 11 Caching this request...
- 12 Dispatcher
- 13 Message Sent: Afric~Art,
- 14 ~Biogr~Busin~Compu~Cooki~Engin~Enter~Ficti~Histo~Home ~Horro~Kids!~Law:
- 15 ~Medic~Mind,~Nonfi~Paren~Poetr~Refer~Relig~Scien~Small~Sport~Trave~Write~
- 16 Query Analyzer
- 17 Message Received: CLKCategories
- In the example illustrated by Figure 15b and the above-listed message strings, an
- 19 initial request would have returned 2032 book titles for cook books. This number of
- 20 entries may be too large. Accordingly, the truncator 152, through 13 iterations, reduces
- 21 the entries in a result list to 26. The entries in the truncated result list can then be easily
- 22 reviewed by the user, and further searches may be performed to identify a desired book.
- 23 As can be seen in Figure 15b, the user has selected "Categories" as a data field to search.
- 24 As is also shown in Figure 15b, the search engine 125 may display other information
- 25 windows, such as book availability, ordering and shipping information windows. With a
- 26 simple drag-and-drop cursor operation, for example, the user may then order and pay for
- 27 the desired book.
- 28 Figure 16 20 are flow charts illustrating operations of the search engine 125.
- 29 Figure 16 is a flowchart of an overall search routine 250. The process starts in block 251.
- 30 The request analyzer 130 receives the request 114, block 252. The request 114 may be
- 31 made using a hierarchical menu-based display or a graphical user interface, with one or
- 32 more layers. Using either the menu or the GUI, the user may enter specific details by
- 33 typing, selection of iconic symbols or pre-formatted text, and by using well-known data
- 34 entry techniques, for example. The request 114 may also comprise a simple text or voice

query. Use of voice recognition may be particularly useful in mobile environments, and to speed access to the database 12. Use of voice recognition may include simple commands, such as UP, DOWN, and SELECT, to select search terms from a preformatted list that is presented to the user at the terminal 14. More sophisticated use of voice recognition may include actually speaking letters or numbers, or full search terms, such as speaking a key word for a key word search, for example.

The protocol analyzer 133 provides an output 135 to the constraint collator 136, and the constraint collator 136 determines the nature of the request, block 254. If the request 114 is a refresh request (i.e., a command to initiate the refresh function), the constraint collator 136 sends a reset command 131 to the database qualifier 160. The updated request 115 (possibly with a new constraint) is then sent to the query analyzer 150 for further processing, including analyzing the database 12, retrieving field descriptors, and formatting, block 256. The result of the data field descriptor retrieval and formatting are shown as an available data fields result list, block 258, and is returned to the terminal 14, block 260.

In block 254, if the request 114 is not a refresh request, the constraint collator 136 provides the updated request 115 (which may be an initial request, or a subsequent request) to the query generator 150, block 264. The constraint collator 136 compares the request 114 against information stored in the status control 140. In particular, the constraint collator 136 sends the request status control signal 118 to the status control 140 and receives the request status response 119. The constraint collator 136 then compares the request status response 119 to constraint information provided with the request 114 to determine if the constraint status should be updated (e.g., because the request 114 includes a new constraint). If the constraint status should be updated, the constraint collator 136 calls create new constraint subroutine 270, and creates new constraints.

The create new constraints subroutine 270 is shown as a flowchart in Figure 17. The subroutine starts at 272. In block 274, the constraint collator 136 determines if the request is for a sort-on-the-fly operation. If sort-on-the-fly has been selected, field assessor 162 prepares a new set of data fields, block 280. The new set of data fields are then sent to the query generator 150, block 284, and the subroutine 270 ends, block 286.

In block 274, if sort-on-the-fly was not selected, the request analyzer 130 may receive a key word constraint, block 276. The query generator 150 will then generate an input window in which the user may enter a desired key word, block 282. Alternatively, the query generator 150 may prompt the user to enter a key word using voice recognition

techniques, or any other way of entering data. The process then moves to block 284. In block 276, if a key word search option was not selected, the constraint collator 136 enters the new constraint to the existing list of constraints, block 278. The process then moves to block 284.

Returning to Figure 16, the constraint collator 136 next updates the status control 140, block 290. In block 292, using the updated constraints, the query generator 150 generates a next query of the database 12, block 292. The database driver 170 then extracts the result list from the database 12, according to the latest query, block 294. In block 296, the truncator 152 determines if the result list may be displayed at the terminal 14. If the result list cannot be displayed, the process moves to block 298, and a truncation routine is executed. The process then returns to block 294. If the result list in block 296 is small enough, the result list is provided by the dispatcher 154 to the terminal 14, block 258.

As noted above, the request analyzer 130 determines the nature of the request, including any special commands. A special command may include a command to conduct a search-on-the-fly. Alternatively, the search engine 125 may adopt a search-on-the-fly mechanism as a default value. The search engine 125 also may incorporate other special search commands, such as a Boolean search, for example.

Figures 18 - 20 are flowcharts illustrating alternate truncation subroutines 298. In Figure 18, the subroutine 298 adjusts a size of a data field by decrementing a parameter TP related to entries in a selected data field. For example, if the data field comprises a list of U.S. cities by name, the parameter TP may be the number of alphabetical characters in a name. The results of such a truncation is shown in the example of Figure 4. The subroutine 298 starts at block 301. In block 303, the parameter TP is set to equal a size of the data field being searched. The truncator 152 then determines the list of records sized by the parameter TP, block 305. In block 307, the truncator 152 determines if the result list can be displayed at the terminal 14. If the result list cannot be displayed at the terminal 14, the truncator 152 decrements the parameter TP, block 309. Processing then returns to block 305, and the truncator 152 gets a reduced result list using the truncated parameter TP. If the result list can be displayed at the terminal 14, the process moves to block 311 and the subroutine 298 ends.

Figure 19 is a flowchart illustrating an alternate truncation routine 298. The process starts in block 313. In block 315, the truncator 152 sets the parameter TP to a size of the data field being searched. In block 317, the truncator 152 determines the list

of records sized by the parameter TP. In block 319, the truncator 152 determines if the result list can be displayed at the terminal 14. If the result list cannot be displayed, the truncator 152 adjusts the size of the data field by dividing the parameter TP by a set amount, for example, by dividing the parameter TP by two, block 321. Processing then returns to block 317, and repeats. If the result list can be displayed at the terminal 14, the process moves to block 323 and the subroutine 298' ends.

Figure 20 shows yet another alternative truncation subroutine 298" The process starts in block 325. In block 327, the truncator 152 sets the parameter TP to equal the size of the data field being searched. In block 329, the truncator 152 determines the list of records sized by the parameter TP. The truncator 152 then determines if the result list can be displayed at the terminal 14, block 331. If the result list cannot be displayed at the terminal 14, the truncator 152 determines if the parameter TP is less then ten, block 333. If the parameter TP is not less than ten, the truncator 152 adjusts the parameter TP by multiplying the parameter TP by a number less than one, block 337. In an embodiment, the number may be 3/4. The process then returns to block 329 and repeats. In block 333, if the value of the parameter TP is less than ten, the truncator 152 decrements the parameter TP by one, block 335. Processing then returns to block 329 and repeats. In block 331, if the list can be displayed at the terminal 14, the process moves to block 339 and the subroutine 298"ends.

The examples illustrated in Figures 18 - 20 are but a few examples of the truncations subroutine. One of ordinary skill in the art could conceive of other methods to adjust the field size. In addition to using a truncation subroutine, the user may specify a limit for the field size.

As noted above, the search engine 125 may be used for multiple searches and may be used to search multiple databases, including databases with different schemas. The results of individual searches, including the control data provided in the status control 140, are saved. The search engine 125 may then be used to further sort (search), or otherwise operate on, the results of these multiple searches. In an embodiment, the search engine 125 may perform a Boolean AND operation on two search results. The result of the Boolean AND operation would be a list of records, or entries, that are common to the two search results. Figure 21 illustrates such a Boolean AND operation.

In Figure 21, a GUI 400 displays local database selections 410, including a database of recordings (compact discs - CDs) 412 and a database of contacts 414. The databases 412 and 414 may be shown by text descriptions and an appropriate icon, for

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1 example. The database selections in this example are resident on a user's terminal, such 2 as the terminal 14 shown in Figure 1. Also displayed on the GUI 400 is a remote database selection 420 that represents databases, such as the databases 13 and 15 shown 3 4 in Figure 1, that are located remotely from the terminal 14. In the example shown in 5 Figure 21, the remote database selection 420 includes a database 422 for online record sales, which is represented by an icon (a CD) and a text title of the online retailer. The 6 7 remote databases shown in the remote database selection 420 may include those databases 8 for which the user has already established a link. In the example shown, the user may 9 already have entered an Internet address for the online retailer. In addition to any 10 returned web pages from the online retailer, the terminal 14 may then display a 11 representation of the database 422.

Continuing with the example, the user may use the search engine 125 to conduct a search-on-the-fly of the recordings database 412 and the Virgin Records™ database 422. The user may search both databases 412 and 422 for titles of recordings that are classified as "blues." The search engine 125 may return search results 416 and 424 for searches of both databases 412 and 422, respectively. The search results 416 and 424 may be displayed in a window section 430 of the GUI 400. The results 416 and 424 may also be represented by CD icons, such as the icons 432 and 434. The search results 416 and 424 may be stored as lists in one or more temporary databases, as represented by the windows 417 and 427. The search results 416 and 424 may also be stored in a scratch pad database 418. At this point, the user may wish to determine which recordings from the list 424 are contained in the list 416. The search engine may support this function by performing a Boolean AND operation of the lists 416 and 424. The results of the Boolean AND operation are represented by the icon 436 displayed in the window 430. To execute the Boolean AND operation, the user may simply drag the icon 432 over the icon 434, and then select AND from a pop-up menu 438 that appears when the icons 432 and 434 intersect. Other techniques to execute the Boolean AND (or another Boolean function) may include typing in a command in a window, using voice recognition techniques, and other methods. In addition, other Boolean functions may be used.

The result represented by the icon 436 of the Boolean AND operation may then be stored in a database at the terminal 14, such as in the scratch pad database 418 or may be stored at another location. The result may then be subjected to further search-on-the-fly operations.

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Also shown in Figure 21 is an online-purchase module 435 that may be used to consummate purchase of a product referenced in an online database such as the database 422. To initiate such a purchase, the user may drag an iconic or text representation of a desired product listed in the search result 424 over an icon 436 in the online-purchase module 435. This drag-and-drop overlaying these icon may initiate and complete the online purchase for the desired product.

Use of the search engine 125 may be facilitated by one or more GUIs that are displayed on the terminal 14. Figures 22 - 26 are examples of such GUIs. In Figure 22, a GUI 450 includes a display section 452 and one or more database sections such as local database section 470 and remote database section 460. The local database section 470 includes databases local to the terminal 14. In the example shown, the local databases include a patients database 472, a general contacts database 474, a pharmacy database 476, a medicines database 478 and a scratch pad database 480. The remote databases include an Amazon.com database 462, an online record retailer database 464, a Physician's Desk Reference database 466 and an American Medical Association (AMA) online database 468. The remote and local databases may be represented by a text title and an icon, both contained in a small window as shown. A user may access one of the remote or local databases by moving a cursor over the desired window and then selecting the database. In the example shown, the local medicines database 478 has been selected, and a list 490 of data fields in the medicines database 478 is displayed in the display section 452. Also included on the display section 452 is a keyword button 492 that may be used to initiate a key word search of the medicines database 478.

Figure 23 shows the GUI 450 with a user selection of a category data field from the list 490. The category data field is indicated as selected by an arrow adjacent to the data field name. When the category data field is selected, a category list 494 is displayed on display section 452. The category list 494 includes four entries, as shown.

The user may continue to search the medicines database 478 using key word techniques and search-on-the-fly techniques. Figure 24 shows the GUI 450 with results of several search cycles displayed.

Figure 25 illustrates a search of the PDR database 466. Such a search may be initiated by dragging a cursor to the window having the PDR 466 symbol (text or icon), and then operating a "select" button. Figure 26 shows a search of the Amazon database 462. This search may also be initiated by a "drag-and-drop" operation.

The SOTF search engine 125 may accommodate merging of one or more sets of search results. The multiple search results may be derived from a common database, or from more than one database. A search using the search engine 125 may be controlled through a user interface by one or more icons that can represent (1) filters or (2) the images of filters. Thus, the icon may represent spatial or temporal attributes, or sets of objects or procedures. Merging the icons thus has two interpretations corresponding to (1) and (2): either filters are added ("apply every filter in every icon to every image to which it can be applied"), or image sets are added. In an alternative embodiment, the addition (union or join) operator may be any other relational operator, e.g. divide, difference.

Use of the merge function may be explained by reference to Boolean lattices. A collection of entities can have attributes A or B or both. If {A} is the set of all A entities and {B} is the set of all B entities; the set whose elements all possess both attributes A and B may now be written {A and B}, and the set whose elements all possess either attribute A or attribute B or both may be written {A or B}. The elements of {A and B} can be considered to possess a new, less inclusive or specific attribute C, and the elements of {A or B} to possess a new, more inclusive or general attribute D. In a lattice, the nodes are attributes; the most inclusive attribute (in this case D) is always at the top and is called the join of those attributes (nodes) immediately below it, and the most exclusive attribute (in this case C) is always at the bottom and is called the meet of those attributes (nodes) immediately above it. In other words, the OR operation yields the join of two attributes, while the AND operation yields their meet. Thus, the OR operator is upward or inductive (yielding the more inclusive join of the operands), while the AND operation is downward or deductive (yielding the more exclusive meet of the operands). The nodal attributes of such a lattice are analogous to filters; but since a principle called CF duality states that attributes and sets are to some extent interchangeable because every attribute characterizes a set and every set is characterized by an attribute, these attributes are logically equivalent to the sets they characterize.

In an example optical context, the downward AND operator corresponds to stacking colored filters, while the upward OR operator corresponds to mixing colored paints or filters. In color optics, stacking and unstacking colored lenses is called a subtractive process, while mixing or unmixing paints is called an additive process. Unfortunately, while combining or "adding" filters is subtractive with respect to the sets they characterize, it is additive with respect to the filters themselves, and adding sets is

subtractive with respect to the filters. So it is better to refer to operations among attributes (filters, lenses, etc.) as "filtrative" or "infonegative, and to those among sets (paints, lights, etc.) as "constructive" or "infopositive". CF duality can now be rephrased as follows: every infonegative entity (attribute) descriptively characterizes an associated infopositive entity (set/object), and every infopositive entity instantiates or is descriptively characterized by an associated infonegative entity.

The search engine 125 may include iconization (iconic representation) of an algebra or calculus of relations defined on Boolean lattices. This representation begins with a set of primitive icons extracted from base tables and defines new icons (derived tables, virtual databases) by means of simple user-executed operations. The icons can be effortlessly translated into lists of data corresponding to the icons, and it is these lists that comprise the real substance of any search procedure.

When search chains are branched into to chains A and B, the filters subsequently applied to each chain can be the same or different, and merging can signify any of two or more Boolean relationships (relational operations) defined on a relational database. Specifically, when chains merge, sets of filters can be added or intersected. Since filters are constraints, adding them amounts to intersecting their images, while adding their images amounts to intersecting the filters (infopositive-infonegative distinction). Equivalently, one may consider positive and negative filters effecting deduction and induction respectively; the filters are descriptive, while the images are substantive. The extent to which the images of filters can intersect depends on the commonality (predicative non-exclusivity) of domains. Icon algebras (of iconic operators) are "object-oriented" on the GUI level; they are UI extensions of the innate object-orientation of relational databases themselves, wherein the objects are records, attributes, tables, virtual databases and so on, and the operations are those of any relational algebra.

The looping and merging of search chains is to some extent algebraic. First, since actual topology is being changed, such transformations do not directly form a topological homeomorphism group; the algebra remains Boolean, and the "homeomorphism" is defined on the operator graph of the Boolean algebra (of which the <u>initial</u> search tree is generally only a subspace). Icons representing sets of nested predicates are "Boolean objects"; when decision chains converge or diverge, objects merge or split, and these objects represent (combinatorially) unique search paths. Thus, operations among paths can be reduced to operations among objects; e.g., regress-diverge is just an object-splitting operation. Continuous looping applies "inverse deductive filters" to achieve

induction by descriptive intersection of filter constraints, permitting the retrograde convergence of paths to identical ancestral objects (inductive merging of objects), while inductive looping is just direct regression to an ancestral object preparatory to splitting it and thus effecting divergence of paths (deductive splitting of objects). Deductive convergence of paths is "natural" if iconic image sets intersect and "forced" if not; if natural, then there has been non-exclusivity of subobjects, and paths are not unique (even though identical filters can apply to divergent paths without impairing uniqueness). So all deductive merging is forced, and this entails a decision regarding which filters are to be conserved and which discarded. Any such operation will effectively "rewrite the paths", and doing this optimally is NP-complete.

More specifically, icons are subject to CF duality. The merge control thus has a "switch" toggling between "Qualities / Objects". When the switch is in the "qualities" position, merging icons performs a qualified deductive conjunction of filters and yields a set intersect; when it is in the "objects" position, merging the icons performs a disjunction of filters and an inductive union of sets, yielding a more general attribute (the general qualities created by the object-merge operation will be produced by sets of filters applied disjunctively). The search engine 125 is therefore capable of inductive and deductive information processing. A quality-merge in which filters do not cross the line between composite icons equates to an object merge; the set thus selected is characterized by a more general quality which amounts to the descriptive (filtrative) union. There is also a modified quality-merge in which filters in either icon applicable to both iconized sets are applied to both, thus crossing the line between icons. In this case, a true merging of paths occurs, as opposed to path icons. The search engine 125 allows users to choose which filters are to cross the inter-icon line and which are not, resulting in complex Boolean expressions and the sets they characterize (determining consistency of complex expressions can amount to LSAT; sets of inconsistent expressions will simply yield a null return.

Icons may reside in the first menu box to appear, being transferred from menu to menu as the path is generated and filters are accumulated. When a direct regress occurs, the path is regarded as "complete" and is stored in a holding module. Prior to the merging operation, the quality/object switch is set; and icon subfilters or subsets individually displayed. A "lattice navigator" will keep track of position and equivalence, folding the search graph in case a node of the original tree is inductively encountered in the course of an object-merge; otherwise, the icon remains in "internodal space" (which is

to be regarded as a virtual space realized only in the event that the search tree is nondisjunctive in its nodes and therefore incomplete with respect to the semantic net generated by the tree).

Figure 27 is a flow chart illustrating an alternative operation 600 of the query generator 150 of Figure 6. In the illustrated operation, the query generator 150 is adapted to receive multiple selections of items within a same menu function and within a same merge function. To provide this functionality of the query generatory150, the request analyzer 130 (see Figure 5) may be adapted to receive a collection of user choices.

The operation 600 begins in block 601. In block 603, the request analyzer 130 receives constraints collected from the constraint collator 136, and the updated request 115, which may be an initial request or a subsequent request, is provided to the query generator 150. In block 605, the query generator 150 determines if the constraints (the request 115) are in the same merge group. If the query generator 150 determines that the request 115 is in the same merge group, the process moves to block 607 and the query generator 150 generates the query with a Boolean AND. If the request is not in the same merge group, the query generator 150 generates the query with a Boolean OR, block 609.

In block 611, the items selected within the same unit are Or'ed and the default truncator may be used depending on the size of the returned items. In block 613, the generated query is executed. In block 615, the number of records to be displayed is checked. If the number is within a specified limit, the process moves to block 617 and the search results are returned for display. The operation 600 then ends, block 625. In block 625, if the number of records to be displayed is too large, the process moves to block 621, and a truncation routine is executed.

The truncation routine may be any of the previously-described truncation routines illustrated in Figures 18-20. Figure 28a illustrates an alternate truncation routine 630. The routine 630 begins in block 631 with the truncator 152 receiving the request 115. In block 633, the truncation is set to the size of the field being viewed on the GUI, and sets the False Flag. The query is then run against the database using the selected truncator, block 635. In block 635, the truncator 152 determines if the number of records that would be retrieved from the database can be displayed on the existing GUI. If the records can be displayed, the process moves to block 639, and the truncator 152 determines if the Flag is set False. If the Flag is set False, the process moves to block 653 and the records are returned (displayed on the GUI). The process then ends, block 655. In block 637, if the number of records exceeds the display size of the GUI, the status of the Flag is

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checked as False. If false, the truncator is set to 1, and the flag is set to true, block 647, and the process returns to block 635. If in block 637. If the flag is not set false, the process moves to block 651, and saved records are retrieved. The retrieved records are then displayed, block 653.

In block 639, if the Flag is not set to false, the retrieved records are saved, and the truncator 152 is incremented. The process then returns to block 635.

Figure 28b illustrates another alternative truncation routine 700. In block 701, the truncator 152 receives the constraints, the view by field and the maximum of number of display items (MNDI). In block 702, the truncation is set to zero (no trunction), and the Flag is set to True. Next, the query is generated in block 702. In block 703a, query generator receives the constraints, the view by field, and the truncator as parameters, and the query generator returns the query. The query is then run against the database, and the counter is set to zero, block 704. In block 705, the truncator 152 fetches the next record and increments the counter. If the end of file is reached, block 706, and the truncation equals zero, block 710, the truncator 152 sends the list of fields to the client, block 712. However, if the truncation is not zero, block 710, the truncator 152 is incremented, block 709, and the process returns to block 703. On the other hand, if the end of file is not reached, block 706, and the counter is smaller than MNDI, block 707, the process goes back to block 705, in which the truncator 152 fetches the next record and increments the counter. However, if the counter is larger than MNDI, block 707, and the saved list of fields exist, block 708, the truncator sends the list to the client, block 712. Conversely, if the saved list of fields do not exist, block 708, the truncator 152 is incremented, block 709, and the process goes back to block 703 again.

Table 1 illustrates an example of the alternate truncation routine 700. This routine begins by attempting not to truncate the records.

1 Table 1

	Records		1 st Round		2 nd Round	i	3 rd Round
1	Armandia	1	Armandia	1	A	1	AR
2	Armonk	2	Armonk	2	N	2	NE
3	Armonk	3	New Orleans	3	R	3	RI
4	New Orleans	4	New York			4	RO
5	New Orleans				1		
6	New York						
7	New York						
8	New York						
9	Riverdale						
10	Riverdale						
11	Riverdale						
12	Rockfort						

In this example, the maximum number (n) of displayable results is three, and the database contains twelve instances of six different cities. First, the database is queried for the full city field with no truncation, and records are fetched. Records are fetched until four (n+1) records are fetched from the database. Since the number of different cities (4) is greater than n, fetching is halted and the process moves to truncation. Then the database is queried for only the first letter of the cities (truncation is incremented so that it equals one). For this query the database manager may simply review its index. The compiled list from the query is saved as "A", "N", and "R". Next, the database is queried for the first two letters of the city field (truncation is incremented so that it equals two). Again, the database manager may simply review its index to locate this information about the data field. This query for two letters or characters is continued until the number of two letter combinations exceeds n. When the number of different combinations (4) is again greater than n, the routine halts and nothing is saved. The system now returns to the previous saved list. Therefore, the saved list ("A", "N", and "R") is returned to the client for display or process.

Figures 29 - 38 illustrate graphical user interfaces and search on the fly results using the search engine 125 with a merge function. In Figure 29, a search of a patent database has been executed to search for patents by primary examiner. The Primary Examiner results table lists the arabic numerals 0 - 7 and the letters A-Z, indicating that the database contains names of primary examiners beginning with these numerals/letters. To quickly narrow the search, the user selects the letter O, and results are returned listing last and first names all primary examiners whose last name begins with O. As can be seen by the returned results, the database lists several primary examiner instances of O'Dea. This could indicate an error in the database. The search engine 125 allows these

errors to be detected and corrected. The correction may be made by selecting the incorrect instances, right-clicking the correct instance, and then choosing a 'correct all other's based on this instance' function.

Figure 30 shows how multiple-select capabilities of the search engine 125 may be used to enhance a search. In the illustrated example, the user searches for 3M Company. Different versions of the company name are then displayed with the returned results. In this way, the user may select the different versions of the company that the user wants to use for the search. The pop-up pane shows a current status control for the GUI.

Figure 31 shows the results of subsequent menus showing the aggregation, or merge, of two previous constraints, "3m" and 3-M." Figure 32 shows a merge execution. The user first selects the '3-M" and the "3M" company names using the check boxes in the previous menu. The user then selects the merge option, placing the menu on hold, and going to the "M", "MI", "MIN" and "MINNESOTA M" menus. The merge option is then selected on the menu and the merged menu is displayed showing the merge of searches between "3M" and "Minnesota Mining and Manufacturing Co." Figures 32 - 36 show other search engine 125 features including data mining and database correction.

Figures 37 - 39 show the results of a full text search of a patent database using the keyword "encryption" and searching on all fields. The initial search results are truncated to display by first letter/numeral of the patent title. From this intermediate search result menu, the user selects all patents whose title begins with the letter "E", and a subsequent search result menu is displayed listing partial titles of all such patents. From the next intermediate list, the user selects the patent whose title begins "Electronic copy protection mechanis." (see Figure 38) The search engine 125 then returns this specific patent, the first page of which is shown in Figure 39. The displayed patent includes the keyword "encryption" highlighted wherever it occurs. The display also indicates the number of instances of the keyword in the patent.

Figures 40-49 illustrates additional search results.

In the examples shown in Figures 37-49, search results are displayed on a "large-format" screen, such as available with a desktop personal computer. When a user is in a mobile environment (e.g., on foot, in a car) the user may still be able to access the search-on-the-fly search engine and have search results returned to a mobile display device such as a cellular telephone or a personal data assistant.

Figure 50 illustrates a standard cellular telephone 800 that may use the search-onthe-fly search engine 125. The cellular telephone 800 includes a display 801, a keypad

802, and other controls 803 that may be used to navigate one or more data buses using the search-on-the-fly search engine 125.

Figure 51 illustrates a personal data assistant (PDA) 800 that may use the search-on-the-fly search engine 125. The PDA 800 includes a display area 811 and an input area 812.

Figures 52a – 52l illustrate a search sequence using the cellular telephone 800 configured to use the search-on-the-fly search engine 125. In the example illustrated, the U.S. Patent and Trademark Office patent database is selected. Using the cellular telephone 800, the user conducts a search of the U.S. Patent and Trademark Office database using a series of filters. Each time a filter is applied, a search result may be returned and displayed on the display 801. Using the controls 802, the user may add or subtract filters. The display 801 shows the accumulative result of the filtering process. When the data to be returned is too large to fit the display 801, the returned data may be truncated as illustrated in Figures 52f-52k.

Figure 53 illustrates a general purpose personal computer system 850 that may be used for search-on-the-fly of a plurality of databases. The system 850 includes a processor section 851, a display and a control section coupled to the processor section 851, and a computer readable medium 855, which may be read by components of the processor section 851. The computer readable medium 855 may include the software routine required to implement the search-on-the-fly with merge function method.

In specific embodiments, the search engine 125 is implemented as a program executed on a general purpose computer, such as a personal computer. The search engine may also be implemented as a routine attached to a database structure. In addition, the search engine may be implemented on any processor capable of executing the routines of the program. In alternative embodiments, the search engine 125 may be implemented as a single special purpose integrated circuit (e.g., ASIC) having a main or central processor section for overall, system level control, and separate circuits dedicated to performing various different specific functions, computations and other processes under control of the central processor section. Those of ordinary skill in the art will appreciate that the search engine 125 may also be implemented using a plurality of separated dedicated or programmable integrated circuits, or other electronic circuits or devices (e.g., hardwired electronic or logic circuits such as discrete elements circuits, or programmable logic devices, such as PLDs, PLAs, or PALs). In general, any device or assembly of devices

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on which a finite state machine capable of implementing flowcharts similar to the flowcharts of Figures 16-20, 27 and 28 can be used to implement the search engine 125.

While using search on the fly has been described in detail for an end result of printing, viewing or displaying data, search on the fly can be useful for other purposes. Search on the fly does not require obtaining the underlying data in the database or the display of the underlying data to be useful. Search on the fly can be used for gathering information or characteristics about data in a database with or without downloading the data itself. This gathered information about the data can be used to analyze the data, sorting, correct or clean data, verifications and confirmations. For example, search on the fly can be used to determine whether there is existing data in a database within certain ranges or parameters (date ranges, numerical, alphanumerical and other characteristics). If there is data within certain parameters, the number of datapoints within those parameters can also be determined. This information about the data can be gathered using search on the fly with queries to the database manager (which may simply need to query its index and not access the data itself). Another example is correcting data. Data may need to be corrected or cleaned for various reasons including spelling errors. Search on the fly can locate these errors without necessarily accessing and downloading the data itself. Certain combinations of characters or truncations will be obvious spelling errors. Also, data that is out of range can be located and corrected or eliminated from the database using search on the fly. Another example is data from one database can be confirmed or verified against data in a second database using search on the fly. Those skilled in the art will find many uses and specific applications for search on the fly.

The terms and descriptions used herein are set forth by way of illustration only and are not meant as limitations. Those skilled in the art will recognize that many variations are possible within the spirit and scope of the invention as defined in the following claims, and there equivalents, in which all terms are to be understood in their broadest possible sense unless otherwise indicated.

THERE!

1	In the claims:					
2	1.	A method for displaying data comprising:				
3		determining a database schema for a database;				
4		providing a list of database fields, wherein the list includes a descriptor indicating				
5	a data category;					
6		receiving a search selection for a database field on the provided list of database				
7	fields;					
8		determining a quantity of entries in the selected database field;				
9		if the quantity exceed a specified amount,				
10		truncating data, and				
11		displaying the truncated data; and				
12		if the quantity does not exceed the specified amount, displaying content from the				
13	databa	se field.				
14	2.	The method of claim 1, further comprising providing a key word search.				
15	3.	A method for formatting data for display, comprising:				
16		generating a list of data fields;				
17		receiving a first data field selection from the list of data fields;				
18		determining a first quantity indicative of a number of entries of the selected data				
19	field;					
20		if the first quantity exceeds a specified limit, reducing a size of data to be				
21	displa	yed from the selected data field; and				
22		displaying data from the selected data field.				
23	4.	The method of claim 3, wherein the specified limit is fixed.				
24	5.	The method of claim 3, wherein the specified limit is variable.				
25	6.	The method of claim 3, wherein the data are displayed on a terminal, and wherein				
26	the spe	ecified limit is determined dynamically, based on a characteristic of the terminal.				
27	7.	The method of claim 3, wherein the specified limit is a user-determined limit.				
28	8.	The method of claim 3, wherein the method for reducing the size of the data to be				
29	displa	yed from the selected data field comprises:				
30		performing a truncation that reduces the size of the data to be displayed from the				
31	selecte	ed data field;				
32		comparing the reduced size to the specified limit; and				

- if the reduced size exceeds the specified limit, repeating the truncation and
- 2 comparing steps until the size of the data to be displayed from the selected data field is
- 3 less than or equal to the specified limit.
- 4 9. The method of claim 8, wherein a parameter is related to the size of the data to be
- 5 displayed from the selected data field, and wherein the truncation comprises
- 6 decrementing the parameter.
- 7 10. The method of claim 9, wherein the parameter is decremented or incremented by a
- 8 value of one.
- 9 11. The method of claim 8, wherein a parameter is related to the size of the data to be
- 10 displayed from the selected data field, and wherein the truncation comprises dividing the
- 11 parameter by a value.
- 12 12. The method of claim 11, wherein the value is two.
- 13. The method of claim 8, wherein a parameter is related to the size of the data to be
- 14 displayed from the selected data field, and wherein the truncation comprises multiplying
- 15 the parameter by a value.
- 16 14. The method of claim 3, further comprising:
- 17 receiving a first constraint, wherein the first constraint is related to a data element
- 18 in a data field; and
- 19 receiving one or more subsequent constraints, wherein search results are generated
- 20 based on a combination of the first and the one or more subsequent constraints.
- 21 15. A method for searching a database, comprising:
- selecting a first search term;
- sending the first search term to a search engine;
- 24 receiving a first search result;
- 25 selecting and sending a second search term to the search engine; and
- 26 receiving a second search result, wherein the second search results represents a
- 27 combination of the first and the second search terms.
- 28 16. The method of claim 15, further comprising:
- 29 selecting and sending a third search term to the search engine;
- 30 dropping a prior search term, wherein the dropped prior search term in one of the
- 31 first and the second search terms; and
- 32 receiving a third search result comprising a combination of the third search term
- and one of the first and the second search terms.

- 1 17. The method of claim 15, wherein the first search term is directed to a first
- 2 database and wherein the second search term is directed to a second database.
- 3 18. The method of claim 15, wherein the first search result is displayed as a truncated
- 4 result list.
- 5 19. The method of claim 18, further comprising specifying a size of the truncation.
- 6 20. A method for searching a database, comprising:
- 7 generating a list of data fields;
- 8 receiving a first data field selection from the list of data fields;
- 9 receiving a first constraint, wherein the first constraint is related to a data element
- 10 in a data field; and
- 11 receiving one or more subsequent constraints, wherein search results are generated
- based on a combination of the first and the one or more subsequent constraints.
- 13 21. The method of claim 20, further comprising:
- 14 determining a first quantity indicative of a number of entries of the selected data
- 15 field;
- if the first quantity exceeds a specified limit, reducing a size of data to be
- 17 displayed from the selected data field; and
- displaying data from the selected data field.
- 19 22. The method of claim 21, wherein the specified limit is fixed.
- 20 23. The method of claim 21, wherein the specified limit is variable.
- 21 24. The method of claim 21, wherein the data are displayed on a terminal, and
- 22 wherein the specified limit is determined dynamically, based on a characteristic of the
- 23 terminal.
- 24 25. The method of claim 21, wherein the specified limit is a user-determined limit.
- 25 26. The method of claim 21, wherein the method for reducing the size of the data to
- be displayed from the selected data field comprises:
- 27 performing a truncation that reduces the size of the data to be displayed from the
- 28 selected data field;
- 29 comparing the reduced size to the specified limit; and
- 30 if the reduced size exceeds the specified limit, repeating the truncation and
- 31 comparing steps until the size of the data to be displayed from the selected data field is
- 32 less than or equal to the specified limit.

- 1 27. The method of claim 26, wherein a parameter is related to the size of the data to
- 2 be displayed from the selected data field, and wherein the truncation comprises
- 3 decrementing or incrementing the parameter.
- 4 28. The method of claim 27, wherein the parameter is decremented or incremented by
- 5 a value of one.
- 6 29. The method of claim 26, wherein a parameter is related to the size of the data to
- 7 be displayed from the selected data field, and wherein the truncation comprises dividing
- 8 the parameter by a value.
- 9 30. The method of claim 29, wherein the value is two.
- 10 31. The method of claim 26, wherein a parameter is related to the size of the data to
- 11 be displayed from the selected data field, and wherein the truncation comprises
- multiplying the parameter by a value.
- 13 32. A method for providing search functions in one or more databases, comprising:
- 14 receiving a first search term;
- searching at least a first database using the first search term;
- 16 returning a first search result, wherein the first search result comprises a first list
- 17 of elements in the first database;
- 18 receiving a second search term;
- conducting a second search by applying the second search term to one of the first
- 20 list of elements and a second database; and
- 21 returning a second search result, wherein the second search results represents a
- 22 combination of the first and the second search terms.
- 23 33. The method of claim 32, further comprising:
- 24 receiving a third search term;
- 25 receiving a signal to drop one of the first and the second search terms;
- dropping the selected one of the first and the second search terms, wherein
- 27 dropping the selected one of the first and the second search terms provides a revised list
- 28 of elements;
- 29 searching one of the revised list of elements and one of the second or subsequent
- 30 databases using the third search term; and
- 31 returning a third list of elements comprising a combination of the third search
- 32 term and the non-selected one of the first and the second search terms.
- 33 34. The method of claim 32, wherein the first search result is returned as a truncated
- 34 list of elements.

- 1 35. A method for navigating one or more databases, comprising:
- 2 receiving a first attribute associated with elements in one or more of the databases,
- 3 wherein the first attribute comprises a first search term;
- 4 retuning a first search result based on the first attribute;
- 5 receiving a second attribute associated with elements in one or more of the
- 6 databases, wherein the second attributes comprises a second search term;
- 7 generating a second search result based on the second attribute;
- 8 merging the first and the second search results to provide a merged search result;
- 9 and
- 10 returning the merged search result.
- 11 36. The method of claim 35, further comprising:
- 12 truncating the merged search result based on a display size of a device receiving
- 13 the merged search result.
- 14 37. A method for retrieving data from one or more databases; comprising:
- receiving a first constraint, wherein the first constraint relates to a first data
- 16 attribute;
- 17 receiving a second constraint, wherein the second constraint relates to a second
- 18 data attribute;
- 19 determining if the first and the second constraint are in a same merge group;
- 20 generating a database query based on the determining step; and
- 21 returning a first merged search result.
- 22 38. The method of claim 37, wherein the first and the second constraints are in the
- same merge group, further comprising:
- 24 generating a Boolean AND as the database query.
- 25 39. The method of claim 37, wherein the first and the second constraint are in
- 26 different merge groups, further comprising:
- 27 generating a Boolean OR as the database query.
- 28 40. The method of claim 37, wherein the first and the second constraints are recovered
- 29 using a wireless connector, and wherein the first merged search result is returned using
- 30 the wireless connection.
- 31 41. A method for searching one or more databases, wherein each of the one or more
- 32 databases comprises a plurality of fields, comprising:
- getting a first list of fields of a first database;

1	applying a first filter to the final list of fields, wherein the final filter comprises a
2	first search constraint;
3	applying a second filter to the first list of fields, wherein the second filter
4	comprises a second search constraint;
5	applying a third filter to the first list of filters, wherein the third filter comprises a
6	third search constraint;
7	removing at least one of the first, second and third filters, whereby a search result
8	is generated; and
٠ 9	displaying the search result.

ABSTRACT

Sort-on-the-Fly/Search-on-the-Fly data retrieval or analysis provides an intuitive
means for accessing databases, allowing a user to access or obtain information about data
in the database without having to know anything about the database structure. A user
selects a desired term, and the method or apparatus delivers all instances of the desired
term, even if a specific file or table does not contain the instance. The database need not
have a specific file (in a flat database) or a table (in a relational database) of names. The
user may specify other criteria, or constraints to narrow the search results, or for other
reasons. The method or apparatus then conducts further analysis or searching using this
criteria and produces a second result. Further narrowing or broadening of the process is
permitted, with search-on-the-fly returning results based on any new constraints. If the
returned information would be too large to be conveniently displayed at a terminal, the
process executes a truncation routine so that the returned data is easily displayed.

Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION

Inventor(s): Joseph L. DeBellis Contact Name: Aldo Noto (703) 288-5250 Attorney Docket No.: 5607

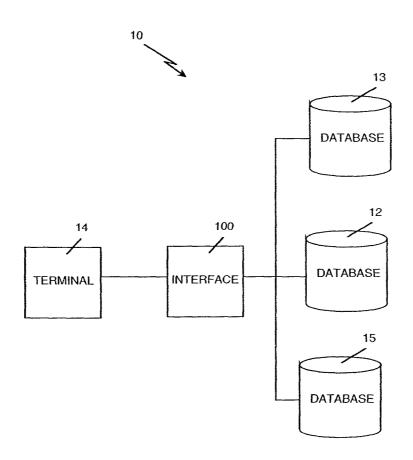
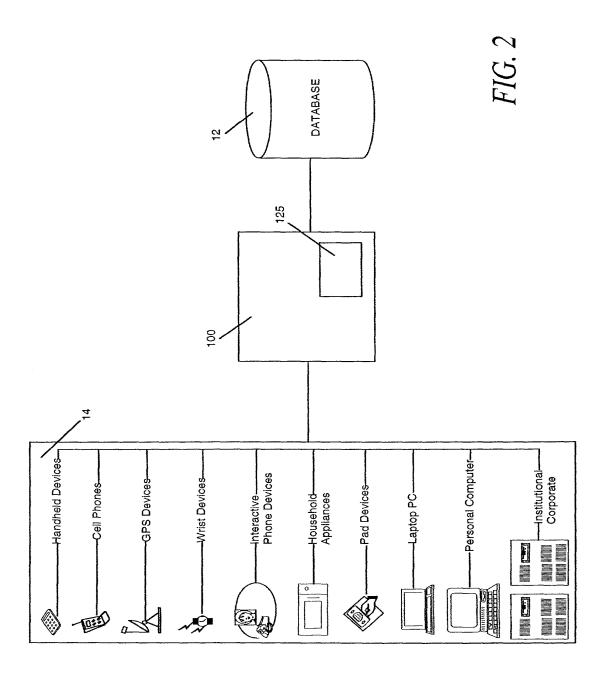


FIG. 1



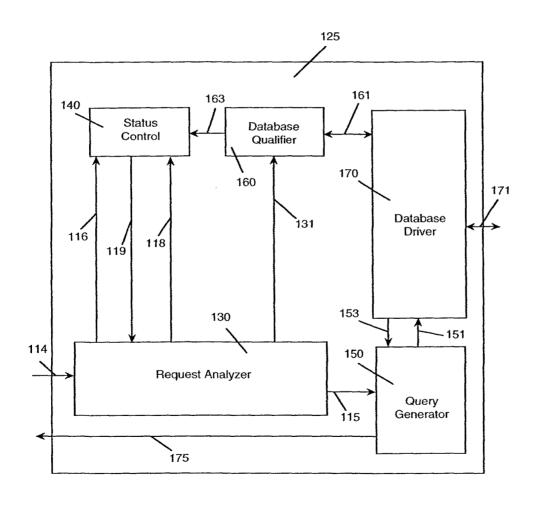


FIG. 3

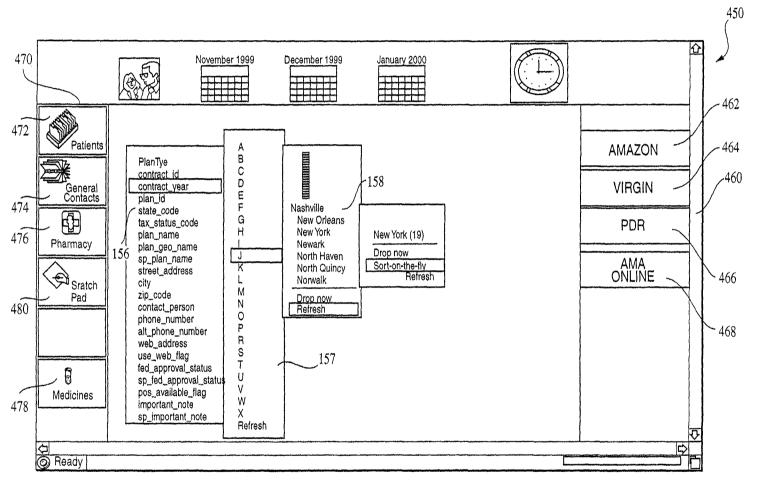


FIG. 4

Title:

SEARCH-ON-THE-FLY WITH MERGE FUNCTION Inventor(s): Joseph L. DeBellis
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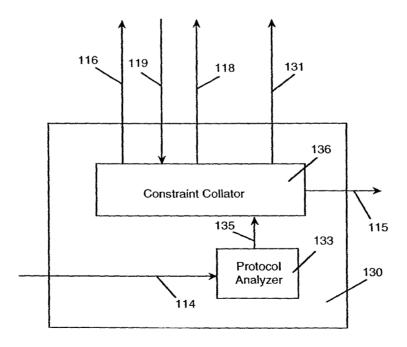


FIG. 5

Inventor(s): Joseph L. DeBellis **Contact Name:** Aldo Noto (703) 288-5250 Attorney Docket No.: 5607

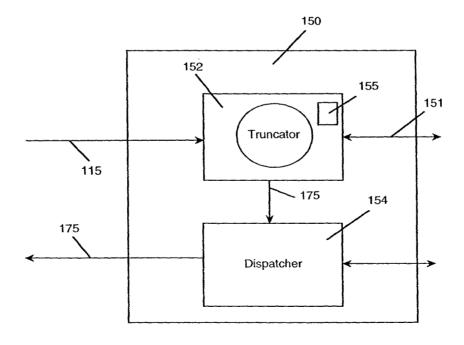


FIG. 6

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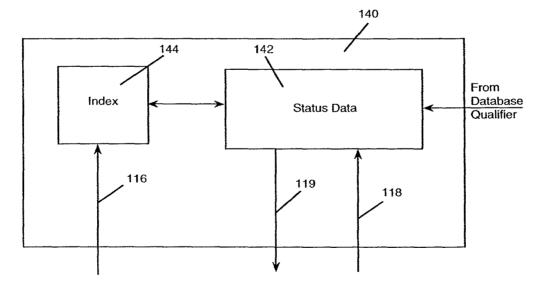
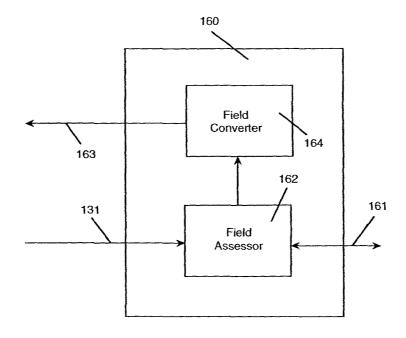


FIG. 7

Inventor(s): Joseph L. DeBellis Contact Name: Aldo Noto (703) 288-5250 Attorney Docket No.: 5607



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FIG. 8

Inventor(s): Joseph L. DeBellis Contact Name: Aldo Noto (703) 288-5250 Attorney Docket No.: 5607

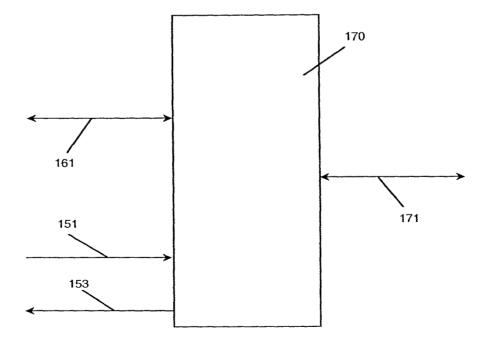
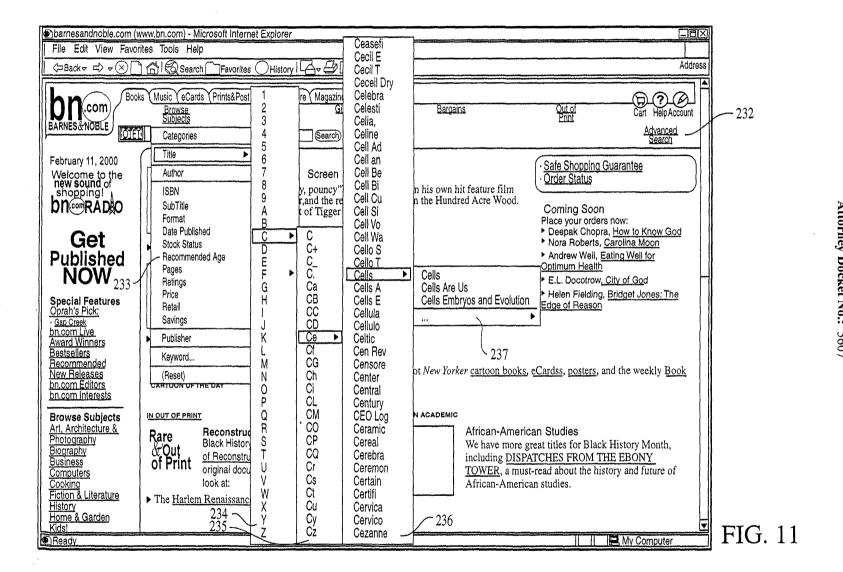


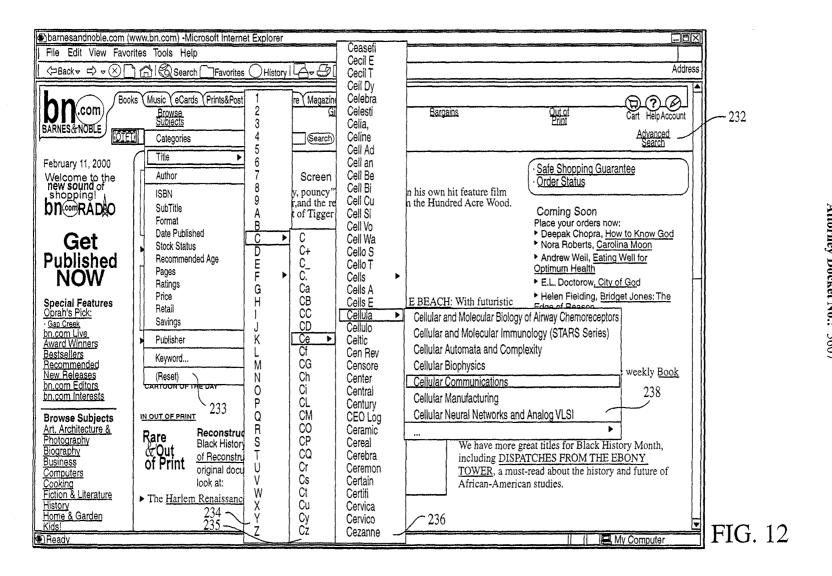
FIG. 9

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Attorney Docket No.: 5607

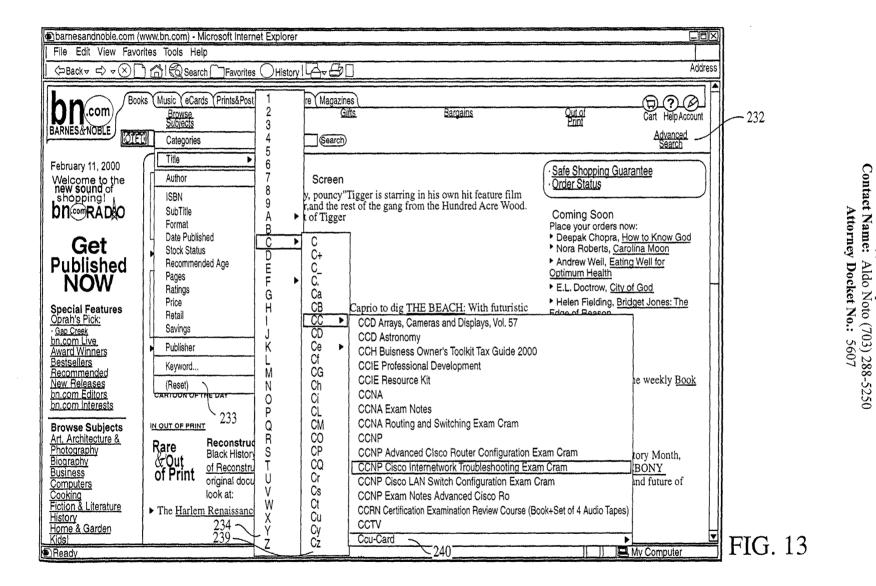


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Attorney Docket No.: 5607



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Contact Name: Aldo Noto (703) 288-5250
Attorney Docket No.: 5607

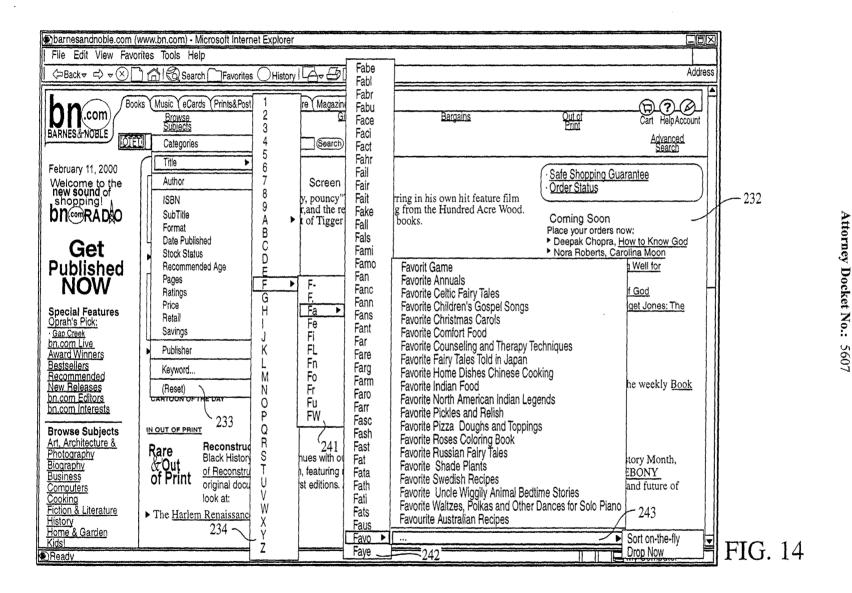
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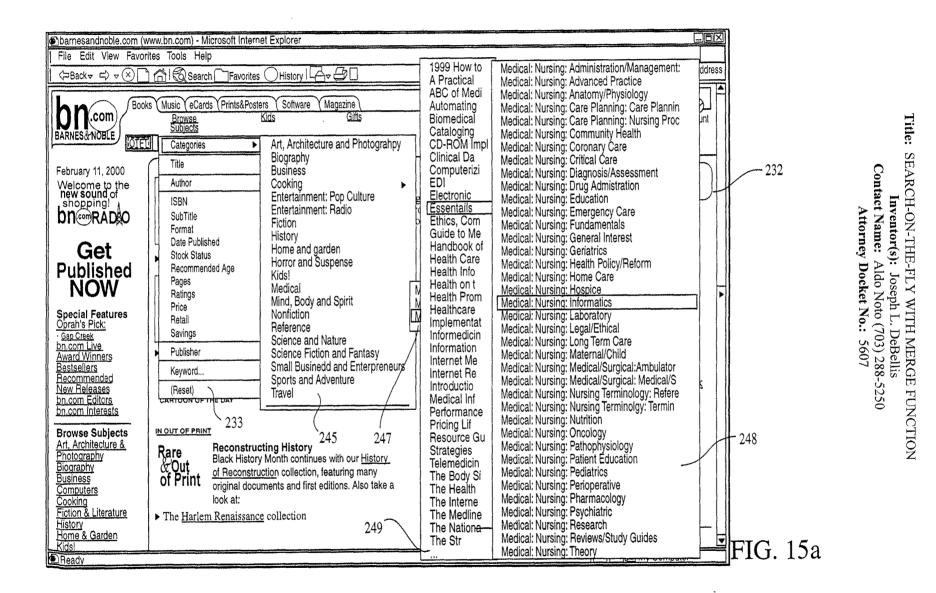
Inventor(s):

Joseph L. DeBellis



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Contact Name: Aldo Noto (703) 288-5250

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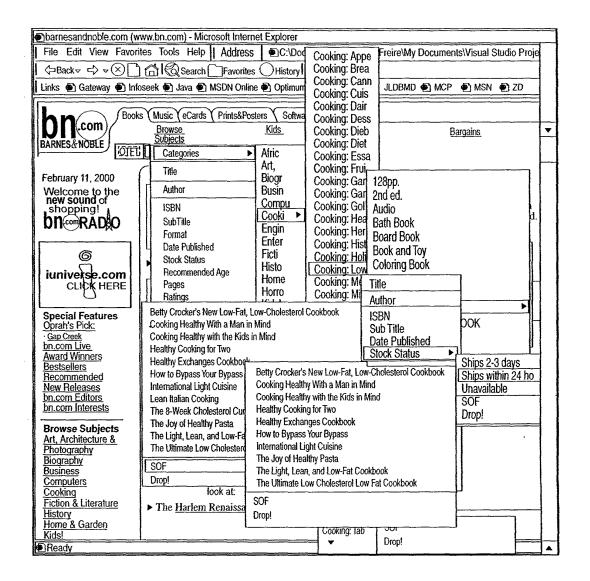


FIG. 15b

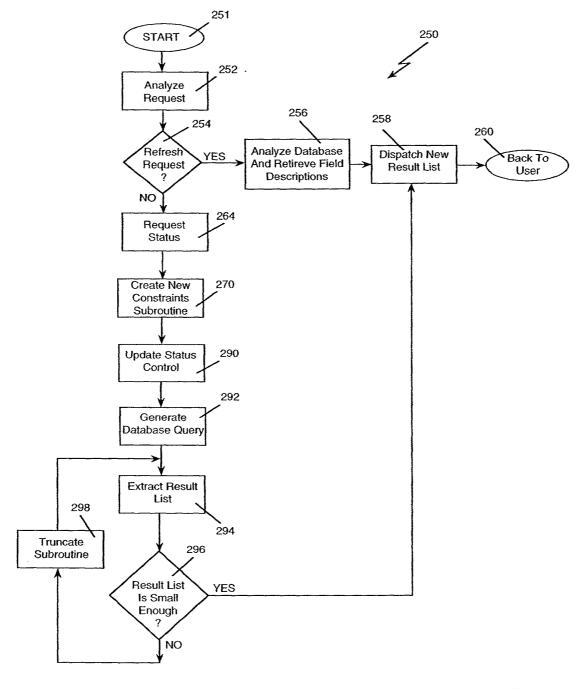
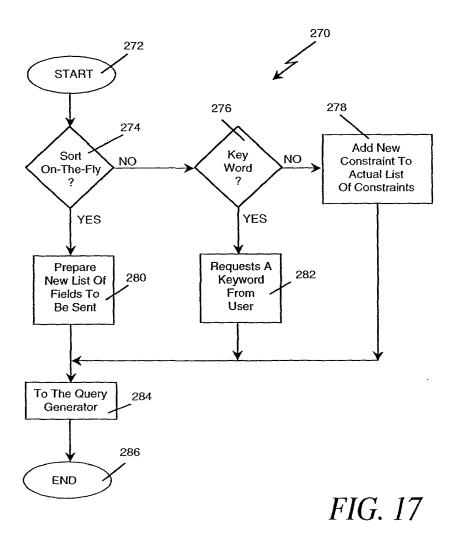


FIG. 16



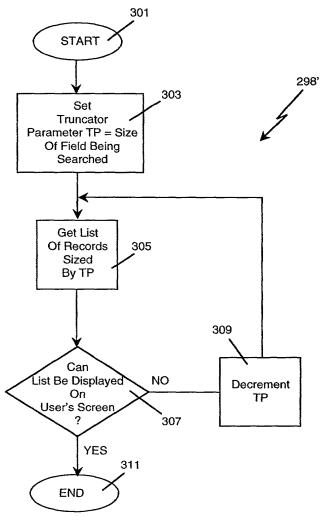


FIG. 18

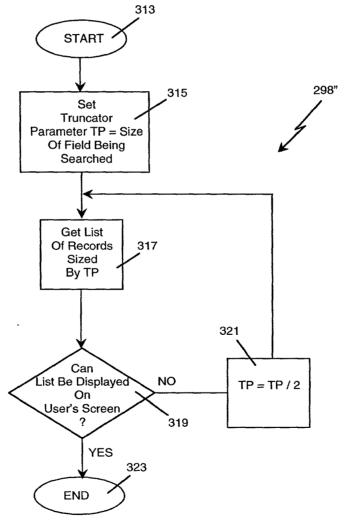


FIG. 19

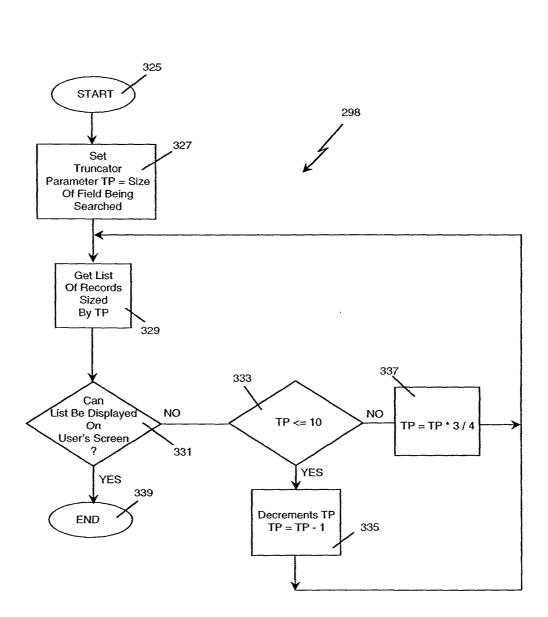
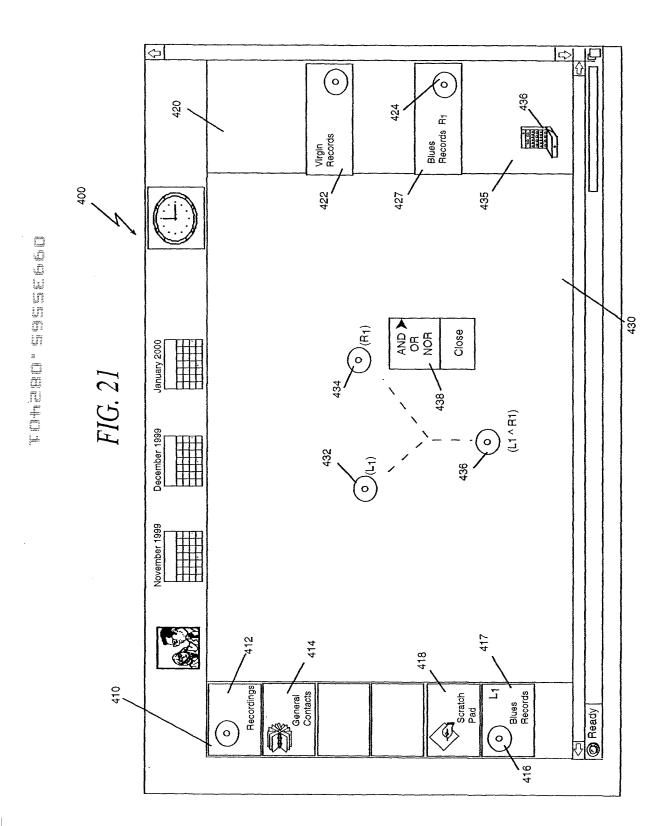
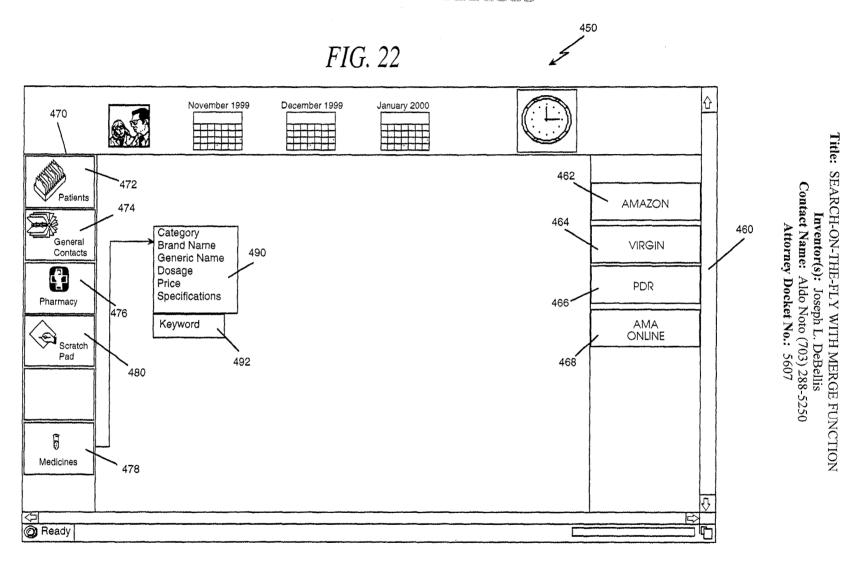
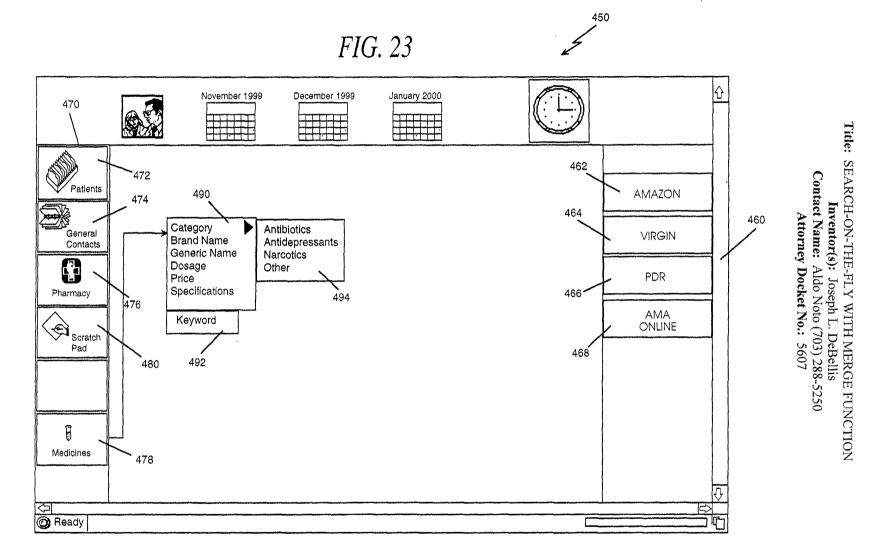


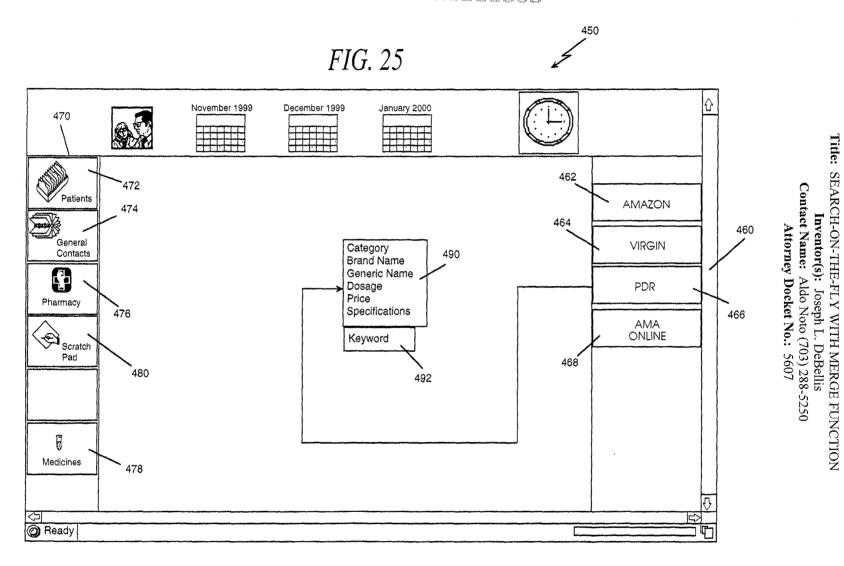
FIG. 20

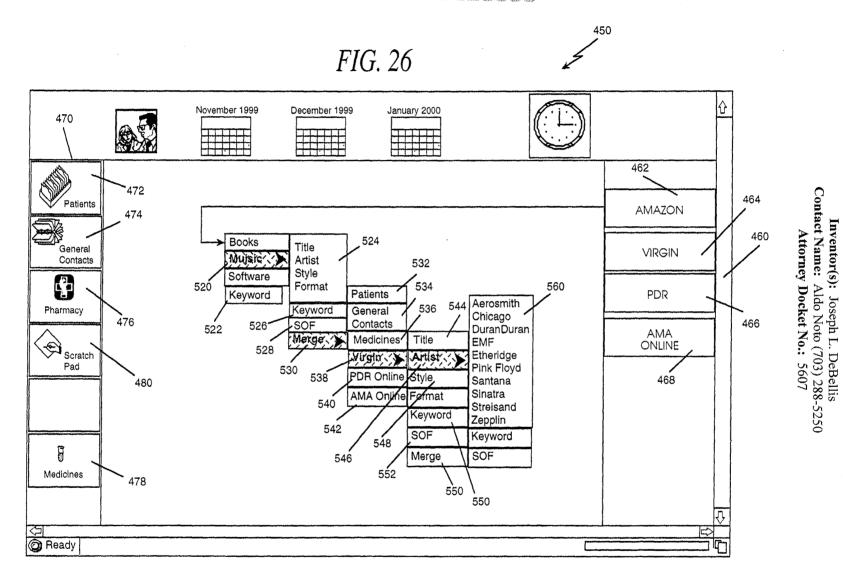






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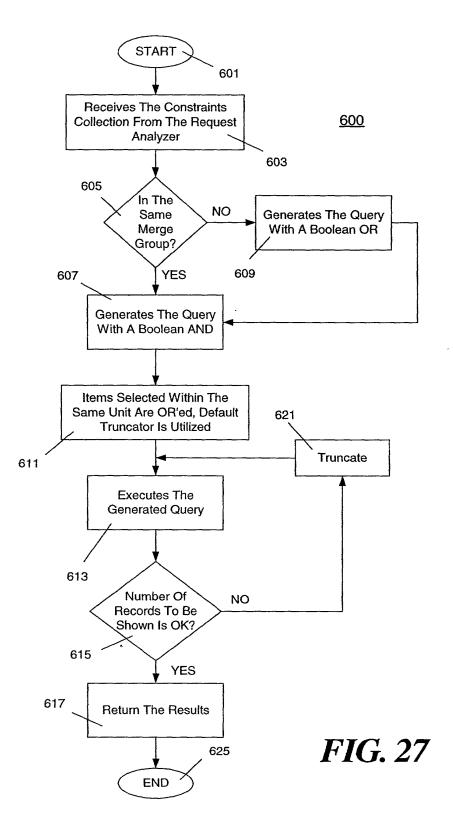


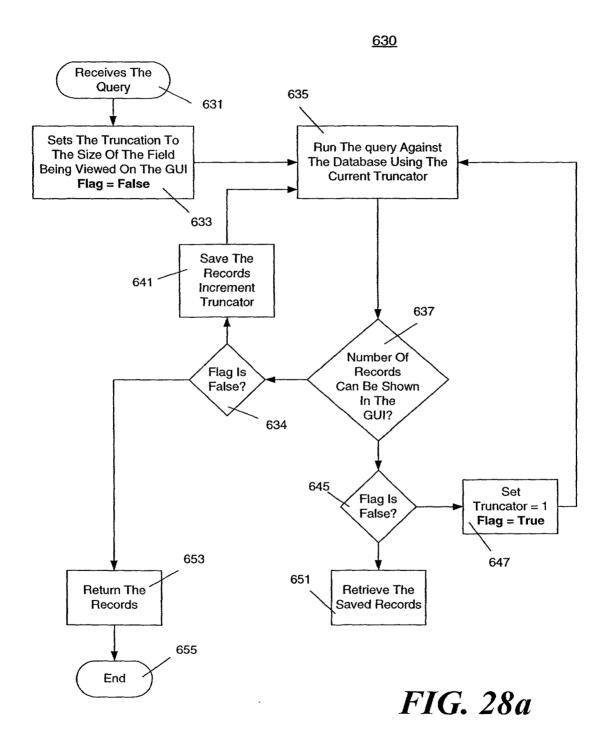


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Inventor(s): Joseph L. DeBellis Contact Name: Aldo Noto (703) 288-5250

Attorney Docket No.: 5607





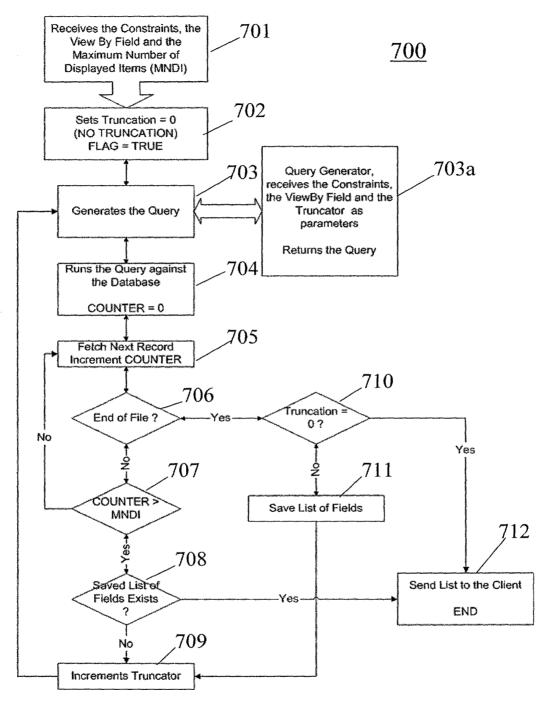


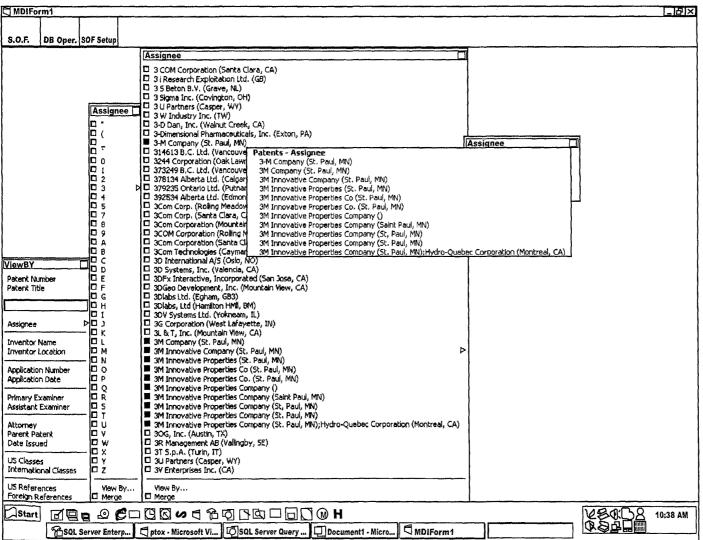
FIG. 28b

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Inventor		Πî		Oda, Christine	☐ Ortiz, Angela					
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Contact Name: Aldo Noto (703) 288-5250
Attorney Docket No.: 5607

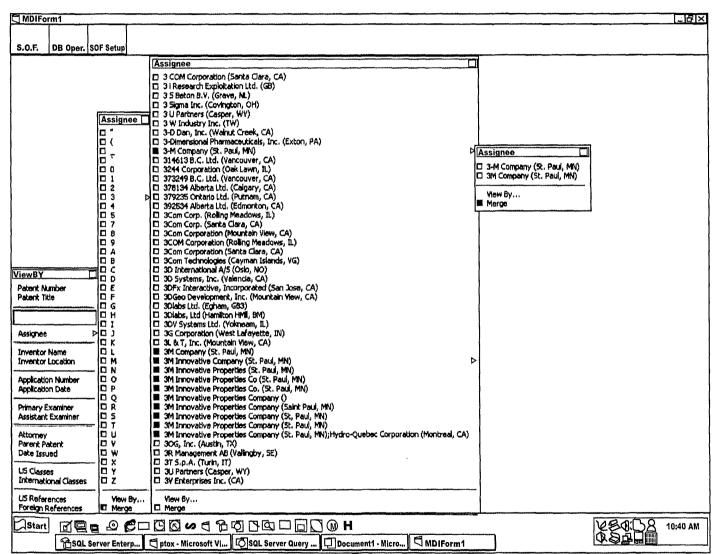
FIG. 29

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FIG. 30



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Attorney Docket No.: 5607

FIG. 31

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FIG. 32

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Contact Name: Aldo Noto (703) 288-5250
Attorney Docket No.: 5607

FIG. 33

Ex. 1002 / Page 286 of 415

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I Minnesota Mining & Manufacturing (St. Paul, MN)	of	Alexander, Cruzan, Sell, D. M., Ed	Sell, D. M., Kirn, W. N., Truesdal				
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I Minnesota Mining & Manufacturing, Co. (St. Paul, MN) III 3f	1 Company (St. Paul, MN)	Alexander, Sell, Steidt & DeLaHunt	Sell, D. M., Smith, J. A., Tamte,				
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☐ Minnesota Mining and Manufacturing (St. Paul, MN) ☐ Minnesota Mining and Manufacturing Co. (Saint Paul, MN)☐ M	innesota Mining & Manufacturing (Sc. Faul) Paul, MN	Bierman and Muserlan	Sell, Donal M., Smith, J. A., Litm				
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Minnesota Mining and Menufacturing Company (St. Paul, ID M	nnesota Mining and Manfacturing Company (St. Pau	Fagan, Lisa M., Burtis, John A.	I□ Sell, Donald M., Kirn, Walter L.,				
	nnesota Mining and Manufactoring Company (St. Pa		□ Sell, Donald M., Kirn, Walter M.,				
Minnesota Mining and Manufacturing Company (St. Paul, III Mi		Griswold, Gary L., Bovee, Warren R	□ Sell, Donald M., Kirn, Walter N.,				
	nnesota Mining and Manufacturing Co. (Saint Paul, N		□ Sell, Donald M., Kirn, Water N., L				
	nnesota Mining and Manufacturing Co. (St. Paul, MN		☐ Sell, Donald M., Marben, Robert L.				
Inven Minnesota Mining and Menufacturing Copany (St. Paul, M. M.	nnesota Mining and Manufacturing Company ()	Ho, Nestor F.	ID Sell, Donald M., Qualey, Tarryl K. ID Sell, Donald M., Smith, J. A., Lit				
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View By	nnesota Mining and Manufacturing Company (St Pau	Lerner, David, Littenburg, Krumhol	☐ Smith, James A., Sell, Donald M.,				
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US Classes ID Y ID MY ID MY ID M	nnesota Mining and Manufcturing (St. Paul, MN)	Peterson, Gordon L., Uxa, Frank J.	I∷ Youtle, Robert K.				
International Classes	nnesota Minning and Manufacturing Company (St. P						
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Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION Inventor(s): Joseph L. DeBellis
Contact Name: Aldo Noto (703) 288-5250
Attorney Docket No.: 5607

FIG. 34

MDIForm1		_ d X			
	Assignee				
	Assignee Patents - Assignee				
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Minnesota Mining & Manufacturing Comp. (St. Paul, MN)	DD Patents - Assignee				
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ri Minnesota Mining and Manufacturing Company (St.Paul. 🕕 🕴	finnesota Mining and Manufacturing Co. (St. Paul, MNIC) Griwold, Gary L., Kirn, Walter N.,	Self, Donald M., Marben, Robert L.			
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Inveni m Minnesota Mining and Manufacturng Company (St. Paul, ID	finnesota Mining and Manufacturing Company (3M) (5 12 Kinney & Lange	□ Seli, Donald M., Smith, J. A., Lit			
	finnesota Mining and Manufacturing Company (MN) [2] Krass & Young	Sell, Donald M., Smith, James A.,			
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	finnesota Mining and Manufacturing Company (St. Pa 🖸 McNutt, Matthew B.	☐ Sprague, Robert W., Griswold, Gary			
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		Szymanski, Brian E.			
		☐ Tilton, Fallon, Lungmus & Chestnut			
		☐ Ubel, F. Andrew			
		Wegner & Bretschneider			
		Wegner, Cantor, Mueller & Player			
US Classes	Minnesota Mining and Manufcturing (St. Paul, MN) Peterson, Gordon L., Uxa, Frank J.	□ Youtle, Robert K.			
International Classes D Z D MZ D Miz D f	Innesota Minning and Manufacturing Company (St. P Peterson, Gordon L., Uxa, Jr., Fra	Yiew By			
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Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION Inventor(s): Joseph L. DeBellis
Contact Name: Aldo Noto (703) 288-5250
Attorney Docket No.: 5607

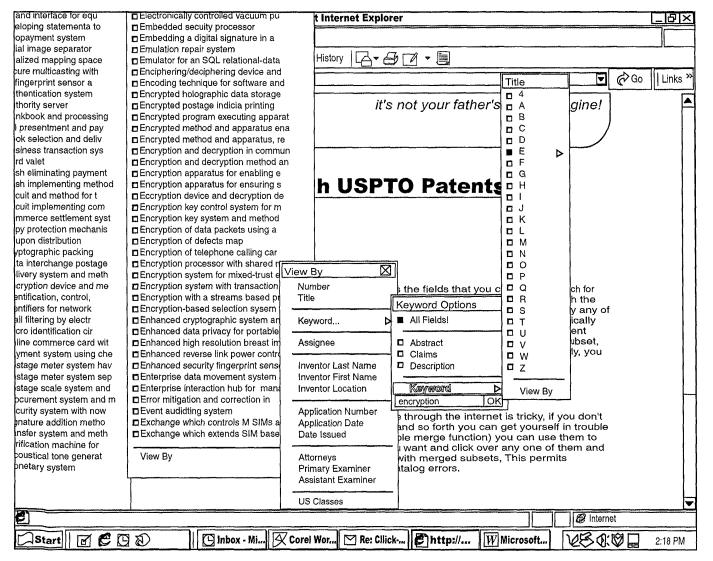
FIG. 35

MDIForm1	KING
Assigned	
Assignee atent Title	
Anisotropic retardation layers for display devices Dampener roll cover and methods of preparation and use thereof Damping unit for globular storage tank Dark acrylic pressure-sensitive adhesive Data accumulation system Data cartridge with secondary tape guides Data processing form Data storage structure of garment patterns to enable subsequent computerized prealteration DC Power supply for high power discharge devices	□ Disk restraint □ Diskette jacket □ Dispensable polypropylene adhesive-coated tape □ Dispenser for a stack of note paper □ Dispenser for adhesive coated sheet material □ Dispenser for protected write-on labels □ Dispenser package □ Dispenser package □ Dispenser package
Decolorizable imaging system Decorative ribbon or sheet material Demand and timed renewing imaging media Dential filling composition utilizing zinc-containing inorganic filler Dential filling composition utilizing zinc-containing inorganic filler Dential filling composition markers used with electromagnetic article surveillance systems Desensitizing dyes for photographic emulsions Desensitizing dyes for photographic emulsions Detacting system Detacting system Detection of articles Developer compositions for silver halide photographic materials comprising cyclic amino methane dipho Developer compositions having layer of a pigment on the surface thereof Developer material level sensor Developer powder supply cartridge	3M Innovative Company (St. Paul, MN) 3M Company (St. Paul, MN) 3M Innovative Properties (St. Paul, MN) 3M Innovative Properties Co. (St. Paul, MN) 3M Innovative Properties Co. (St. Paul, MN) 3M Innovative Properties Company (Saint Paul, MN) 3M Innovative Properties Company (Saint Paul, MN) 3M Innovative Properties Company (St. Paul, MN) 3M Innovative Properties Company (St. Paul, MN) 3M Innovative Properties Company (St. Paul, MN) 3M Innovative Properties Company (St. Paul, MN) 3M Innovative Properties Company (St. Paul, MN) 3M Innovative Properties Company (St. Paul, MN); ### Invovative Properties Company (St. Paul, MN) ####################################
Developing powder composition containing a fluorine-modified alkyl siloxane Developing powder composition containing fluorosliphatic suffoneratio surface active agent Device and method for applying flexible bals to containers Device for backing butt-welds between tubes Device for exposing colorant to be transferred Device for exposing colorant to be transferred Device for forming graphics Device for fusing lengths of film over the open ends of cups Device for restricting an object or objects therein Device to slow solenoid actuation motion Diagnostic radio-labeled poly-saccharide derivatives Diaper closure utilizing pressure-sensitive adhesive tape having textured foil backing Diazonium inaging system Dielectric stress relef at a high voltage cable termination Diffractive lens Digital communications system with automatic frame synchronization and detector circuitry Digital communications system Dimensionally-controlled cobalt-containing precision moded metal article Direct positive silver halide emulsions containing quaternated merocyanine dives Directional radiation detector	Patents - Title Drop wire connector
Disc dispenser Discernible dental sealant Dishfecting method and compositions Disk cartridge Disk looking mechanism for disk cartridge	☐ Method for writing arbkrary index perturbations in a wave-guiding structure View By ☐ Merge
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Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION Inventor(s): Joseph L. DeBellis
Contact Name: Aldo Noto (703) 288-5250
Attorney Docket No.: 5607

FIG. 36

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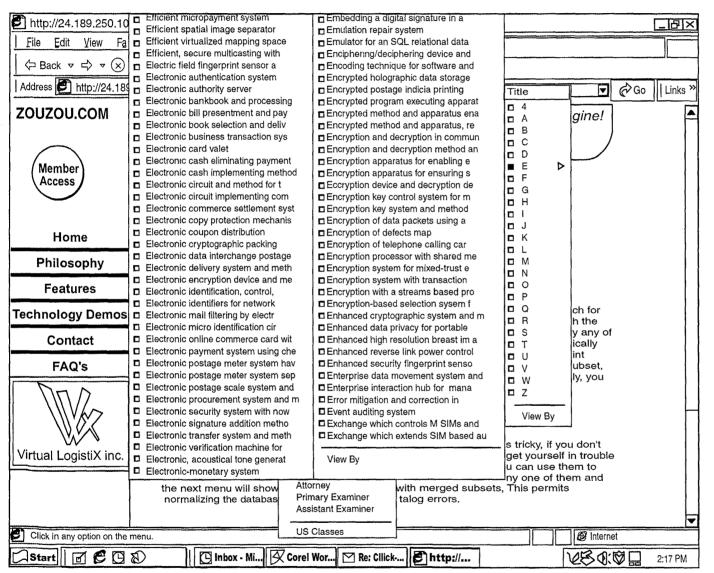


SEARCH-ON-THE-FLY WITH MERGE FUNCTION Inventor(s): Joseph L. DeBellis
Contact Name: Aldo Noto (703) 288-5250
Attorney Docket No.: 5607

Title:

FIG. 37

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SEARCH-ON-THE-FLY WITH MERGE FUNCTION **Attorney Docket No.:** 5607 DeBellis

Contact Name:

Inventor(s):

Title:

FIG. 38

Inventor(s): Joseph L. DeBellis **Contact Name:** Aldo Noto (703) 288-5250

Attorney Docket No.: 5607

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it's not your father's search engine!

Patent: 5935246

Electronic copy protection mechanism using challenge and response to prevent unauthorized execution of software

Date Filled:

Date Issued:

Application Number:

Go to USPTO.GOV

4/11/1997

8/10/1999

838620

USPTO

Abstract:

A copy protection mechanism for protecting software against copying, consists of a challenge mechanism embedded in each protected item of software. The challenge mechanism has no access to the customer's private keying material. In operation, the challenge mechanism sends a random challenge to the customer's signature server. The signature server signs the challenge, using the customer's private keying material and then returns the signed challenge to the challenge mechanism. The challenge mechanism then verifies the signed challenge, using the customer's public keying material, and prohibits the customer from using some or all of the protected item of software unless the verification is successful. The mechanism permits every customer to receive an identical copy of the copy protected program with the embedded challenge mechanism.

Inventors:

Inventor Location:

Benson, Glenn Stuart

Munich, DE

Assignee:

International Computers Limited (Limited, GB)

US Classes:

International Classes:

713/200

713/201

US References:

Foreign References:

4558176 4926480

4947430 5109413

5146575

5224163 5315657

5371794 5436972

5568552 5724425

Primary Examiner:

Assistant Examiner:

Kizou, Hassan

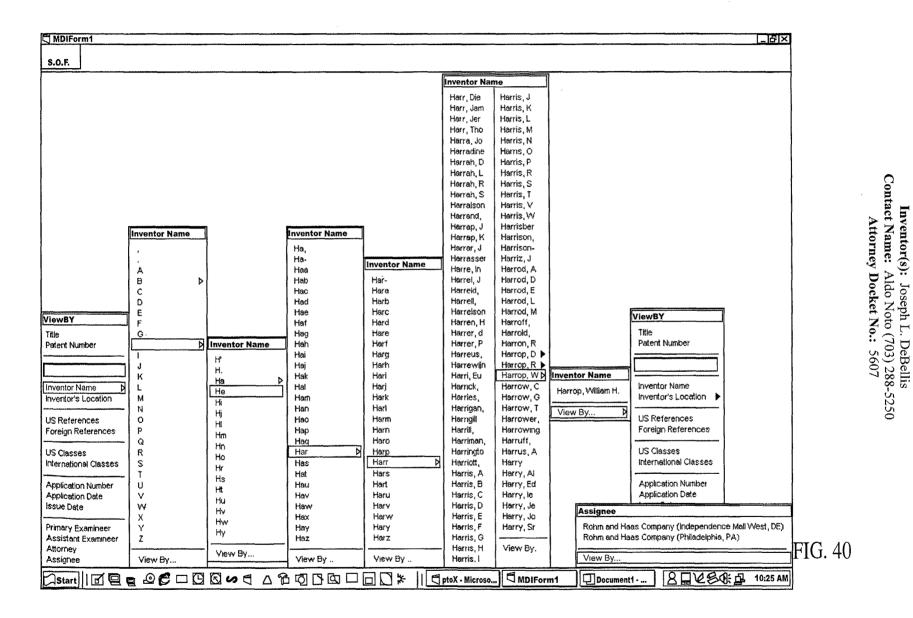
Mai, Rijue

Attorney:

Lee, Mann, Smith, McWilliams, Sweeney & Ohlson Claims:

FIG. 39

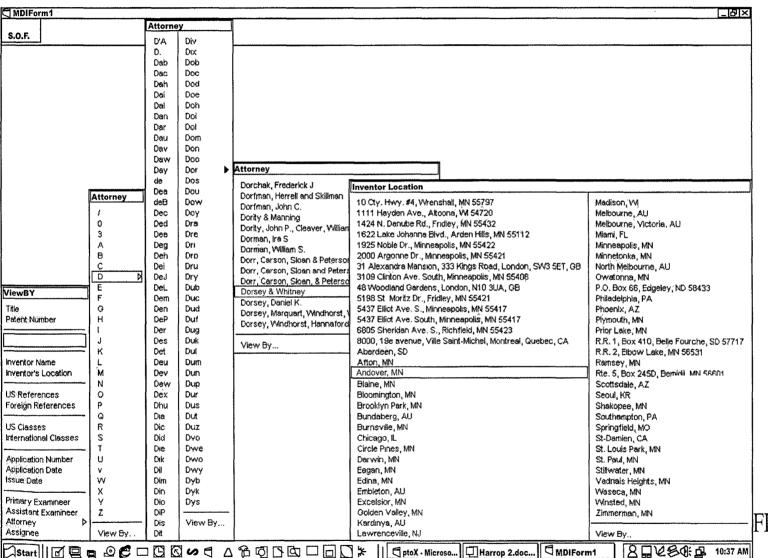
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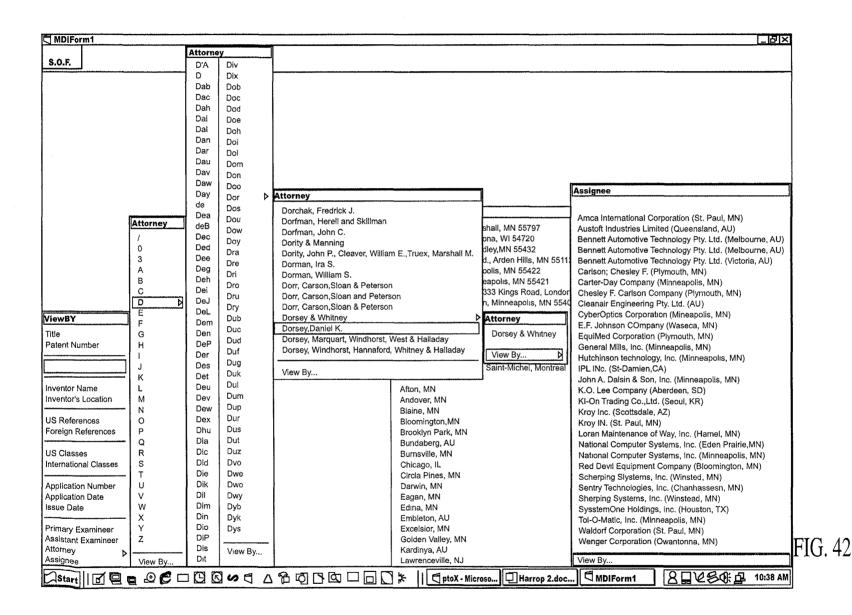
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Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION Inventor(s): Joseph L. DeBellis
Contact Name: Aldo Noto (703) 288-5250
Attorney Docket No.: 5607

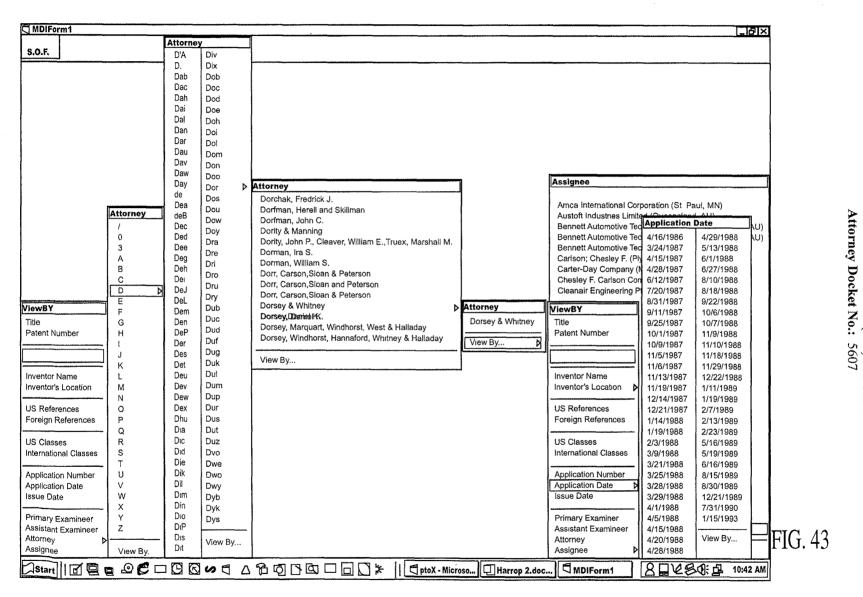
FIG. 41

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Contact Name: Aldo Noto (703) 288-5250
Attorney Docket No.: 5607

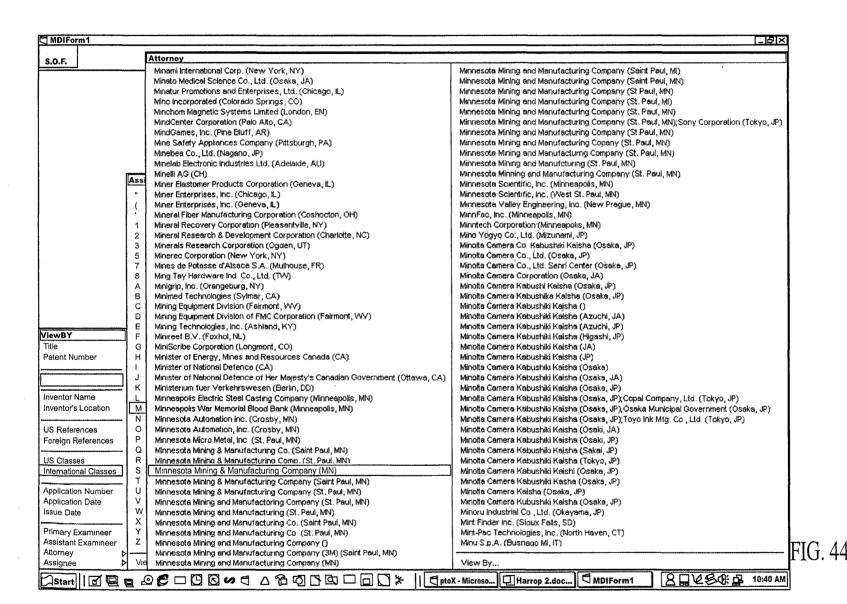
Ex. 1002 / Page 295 of 415



SEARCH-ON-THE-FLY WITH MERGE FUNCTION Inventor(s): Joseph L. DeBellis
Contact Name: Aldo Noto (703) 288-5250

Title:

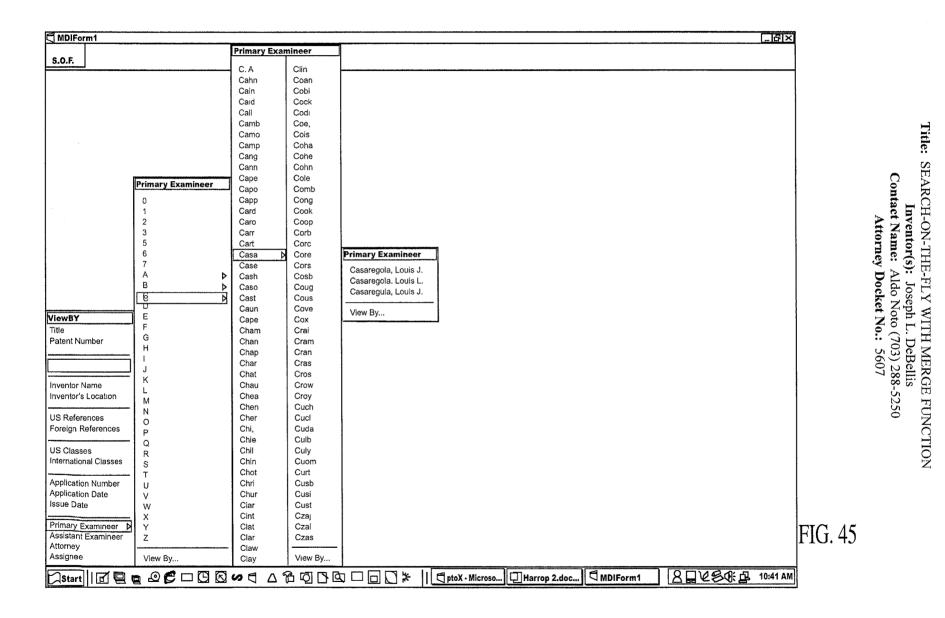
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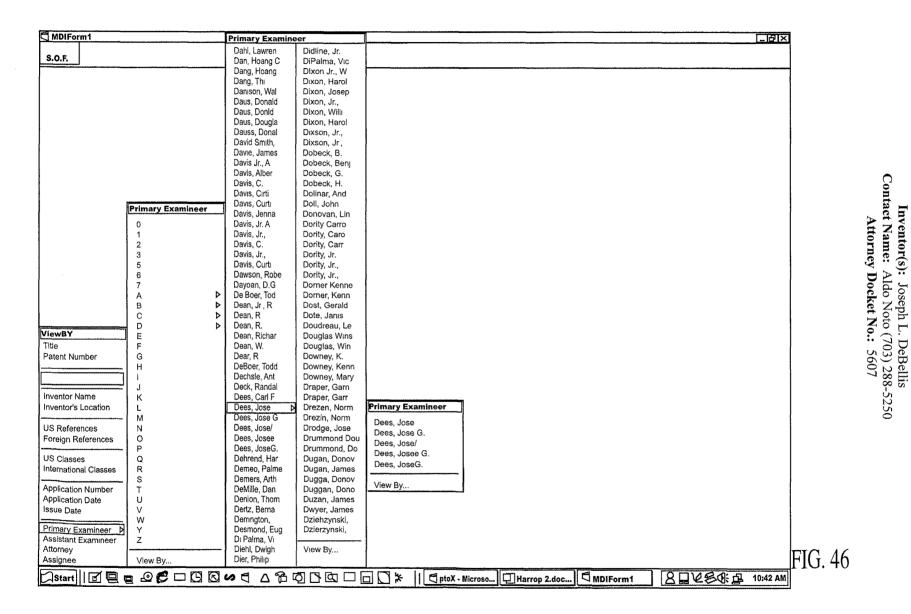
Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION Inventor(s): Joseph L. DeBellis
Contact Name: Aldo Noto (703) 288-5250
Attorney Docket No.: 5607

Ex. 1002 / Page 297 of 415

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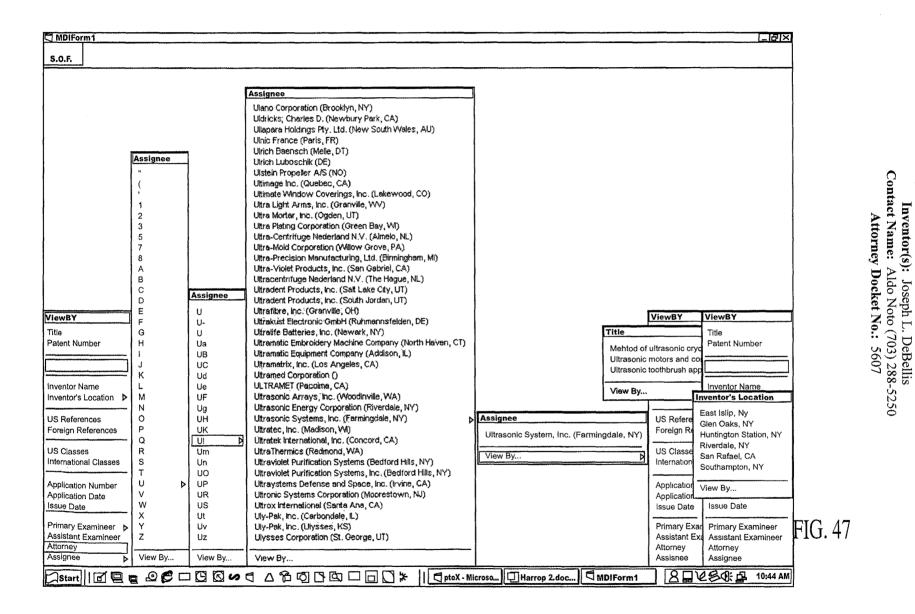


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Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION Inventor(s): Joseph L. DeBellis

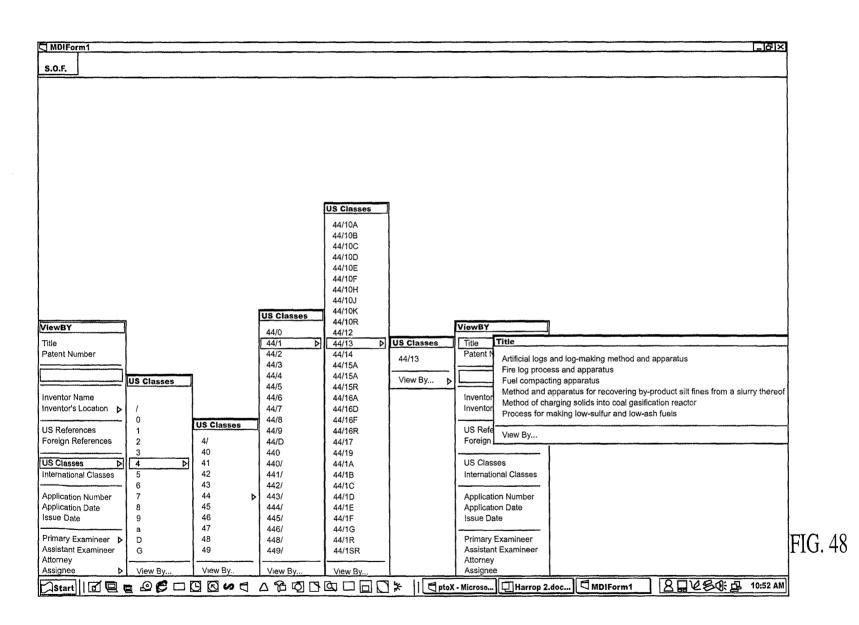
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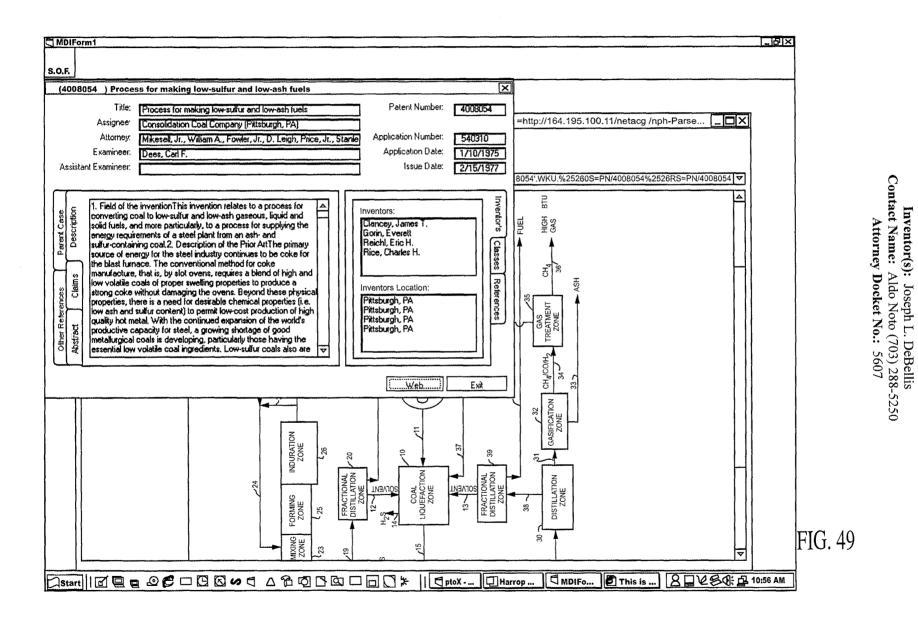
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Title:

SEARCH-ON-THE-FLY WITH MERGE FUNCTION



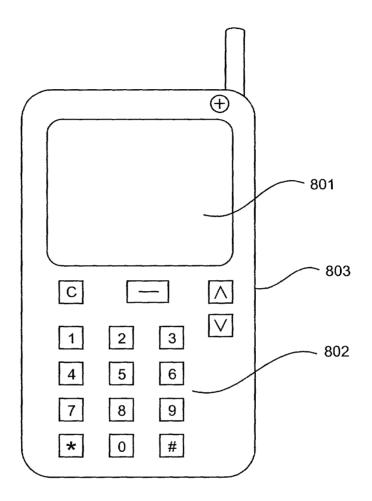
Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION Inventor(s): Joseph L. DeBellis
Contact Name: Aldo Noto (703) 288-5250
Attorney Docket No.: 5607



Title:

SEARCH-ON-THE-FLY WITH MERGE FUNCTION

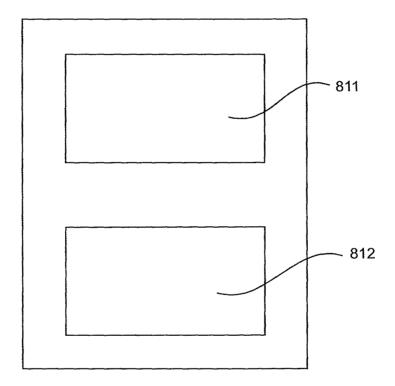
Inventor(s): Joseph L. DeBellis Contact Name: Aldo Noto (703) 288-5250 Attorney Docket No.: 5607



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FIG. 50

Inventor(s): Joseph L. DeBellis Contact Name: Aldo Noto (703) 288-5250 Attorney Docket No.: 5607



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FIG. 51

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Inventor(s): Joseph L. DeBellis Contact Name: Aldo Noto (703) 288-5250 Attorney Docket No.: 5607

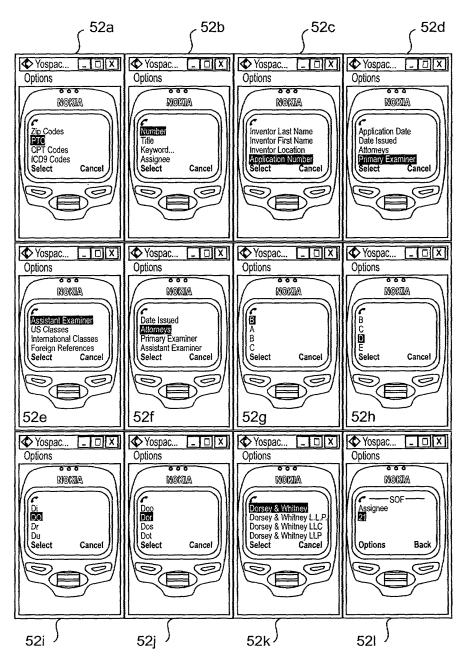
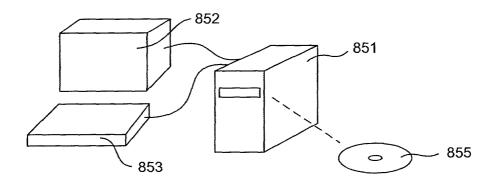


FIG. 52

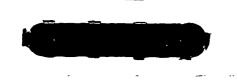
Inventor(s): Joseph L. DeBellis Contact Name: Aldo Noto (703) 288-5250 Attorney Docket No.: 5607



<u>850</u>

FIG. 53

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SEARCH NOTES (INCLUDING SEARCH STRATEGY)

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INDEX OF CLAIMS

~	Rejected	N	Non-elected
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08/24/01

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

Docket No. 5607

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

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		b.		Cross	R	eferences to I	Relat	ted Applicatio	ns (if applicable)		
		C.		State	mei	nt Regarding	Fede	erally-sponso	red Research/De	velopment (if applicable)	
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Page 1 of 4

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UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 5607

Total Pages in this Submission

			Application Elements (Continued)
	3.	×	Drawing(s) (when necessary as prescribed by 35 USC 113)
		a.	□ Formal b. □ Informal Number of Sheets 55
	4.		Oath or Declaration
		a.	☐ Newly executed (original or copy) ☐ Unexecuted
		b.	☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
		C.	☐ With Power of Attorney ☐ Without Power of Attorney
		d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
() 'S 'S 'S 'S 'S 'S 'S 'S 'S 'S 'S 'S 'S	5.		Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
	6.		Computer Program in Microfiche
O E	7.		Genetic Sequence Submission (if applicable, all must be included)
F		a.	☐ Paper Copy
		b.	☐ Computer Readable Copy
		C.	□ Statement Verifying Identical Paper and Computer Readable Copy
			Accompanying Application Parts
	8.		Assignment Papers (cover sheet & documents)
	9.		37 CFR 3.73(b) Statement (when there is an assignee)
	10.		English Translation Document (if applicable)
	11.		Information Disclosure Statement/PTO-1449
	12.		Preliminary Amendment
	13.	×	Acknowledgment postcard
	14.		Certificate of Mailing
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Page 2 of 4

P01USML/REV04

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 5607

Total Pages in this Submission

		Accompanying Application Parts (Continued)
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.		Small Entity Statement(s) - Specify Number of Statements Submitted:
17.	×	Additional Enclosures (please identify below):
		Claim to Priority of U.S. Provisional Patent Application No.: 60/227,305 filed August 24, 2000.
		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)
18.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.
		Warning
		An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.
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UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 5607

Total Pages in this Submission

Fee Calculation and Transmittal

CLAIMS AS FILED									
For #Filed #Allowed #Extra Rate									
Total Claims	41	- 20 =	21	x	\$9.00	\$189.00			
Indep. Claims	8	- 3 =	5	x	\$40.00	\$200.00			
Multiple Dependen	t Claims (check	(if applicable)				\$0.00			
					BASIC FEE	\$355.00			
OTHER FEE (spec	cify purpose)					\$0.00			
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to cover the filing fee is enclosed.

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as filing fee.

☑ Credit any overpayment.

☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: August 24, 2001

Signature

Aldo Noto, Reg. No.: 35,628 DORSEY & WHITNEY LLP 1660 International Drive, Suite 300

McLean, VA 22102 Tel. (703) 288-5250 Fax (703) 288-5260

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Page 4 of 4

P01USML/REV04

Inventor(s): Joseph L. DeBelli Contact Name: Aldo Noto (703) 288-529

Attorney Docket No.: 5607

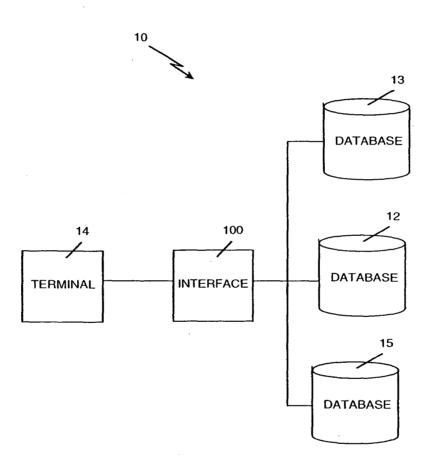
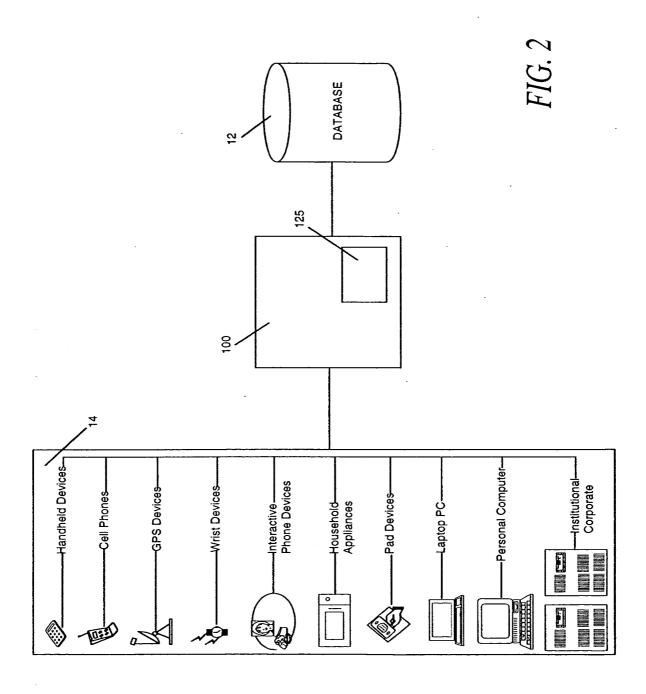


FIG. 1

Inventor(s): Joseph L. DeBellis ontact Name: Aldo Noto (703) 288-5.
Attorney Docket No.: 5607



Inventor(s): Joseph L. DeBellis contact Name: Aldo Noto (703) 288-52 Attorney Docket No.: 5607

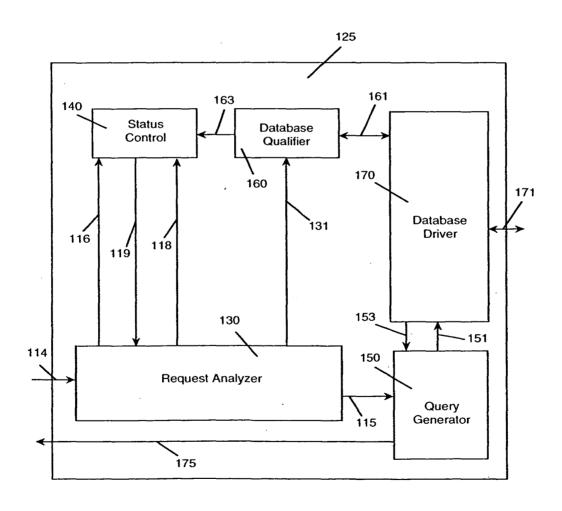


FIG. 3

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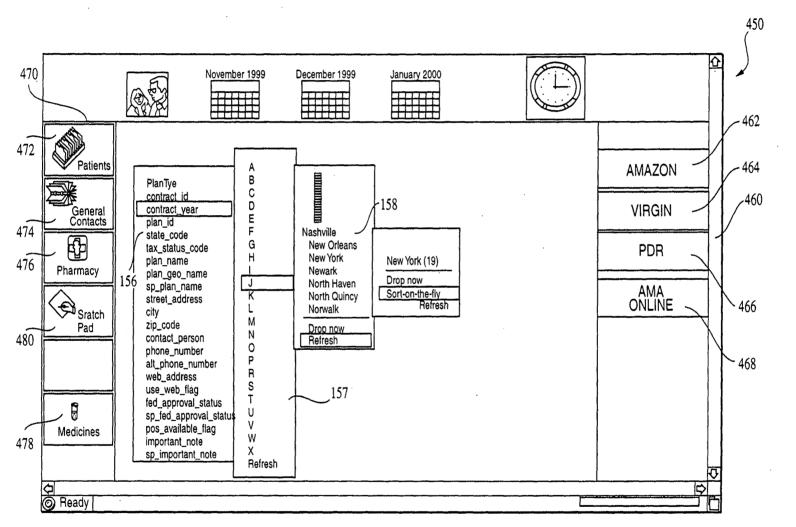


FIG. 4

Title:

SEARCH-ON-THE-FLY WITH MERGE FUNCTION Inventor(s): Joseph L. DeBellis Ontact Name: Aldo Noto (703) 288-522 Attorney Docket No.: 5607

Inventor(s): Joseph L. DeBellis ontact Name: Aldo Noto (703) 288-5

Attorney Docket No.: 5607

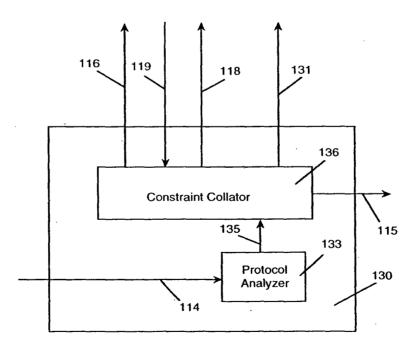


FIG. 5

Inventor(s): Joseph L. DeBellis contact Name: Aldo Noto (703) 288-52 Attorney Docket No.: 5607

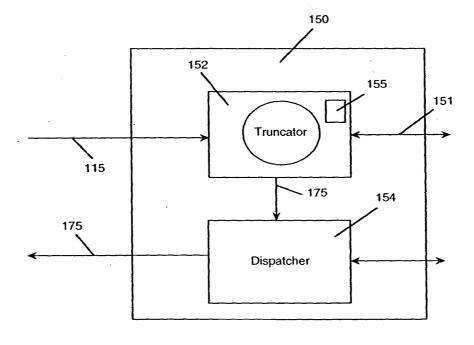


FIG. 6

Inventor(s): Joseph L. DeBellis contact Name: Aldo Noto (703) 288-52 Attorney Docket No.: 5607

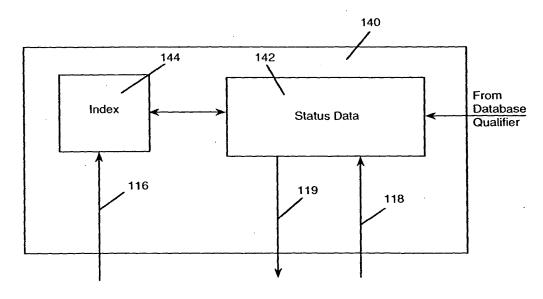


FIG. 7

Inventor(s): Joseph L. DeBellis Attorney Docket No.: 5607

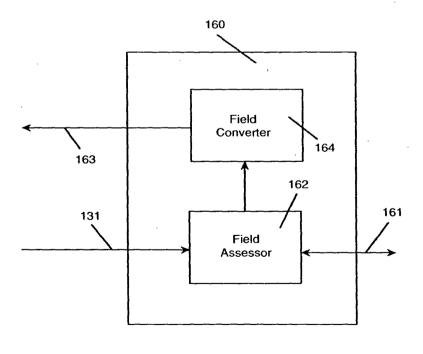


FIG. 8

Inventor(s): Joseph L. DeBellis
ontact Name: Aldo Noto (703) 288-5
Attorney Docket No.: 5607

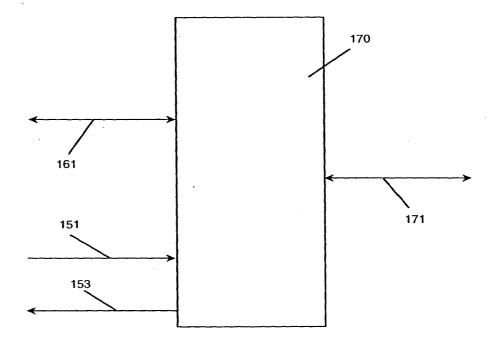
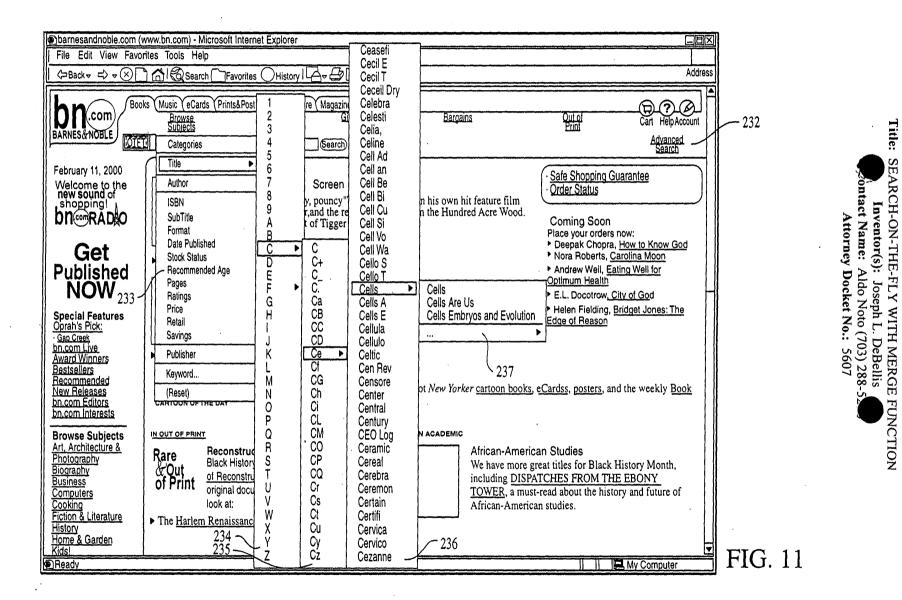


FIG. 9

Inventor(s): Joseph L. DeBellis
Ontact Name: Aldb Noto (703) 288-52

Attorney Docket No.: 5607

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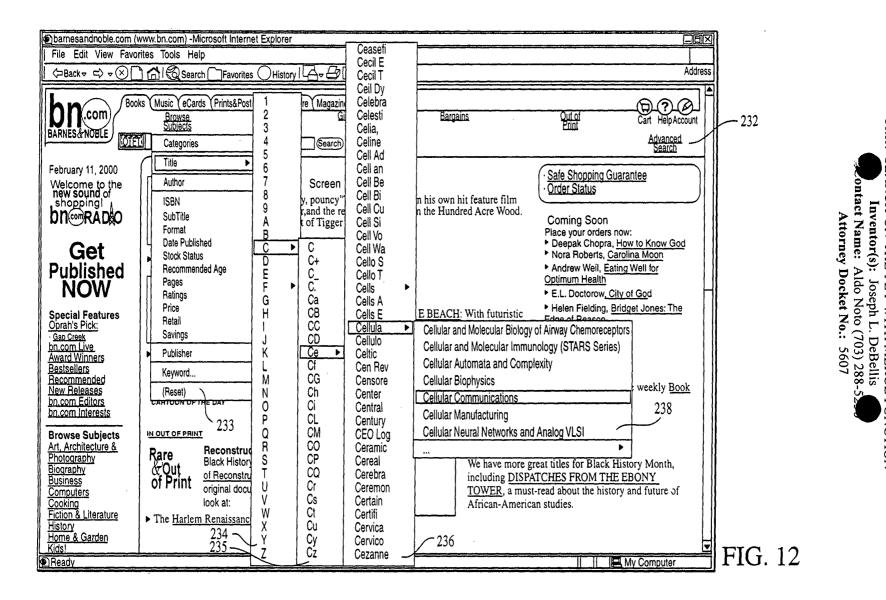


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Title:

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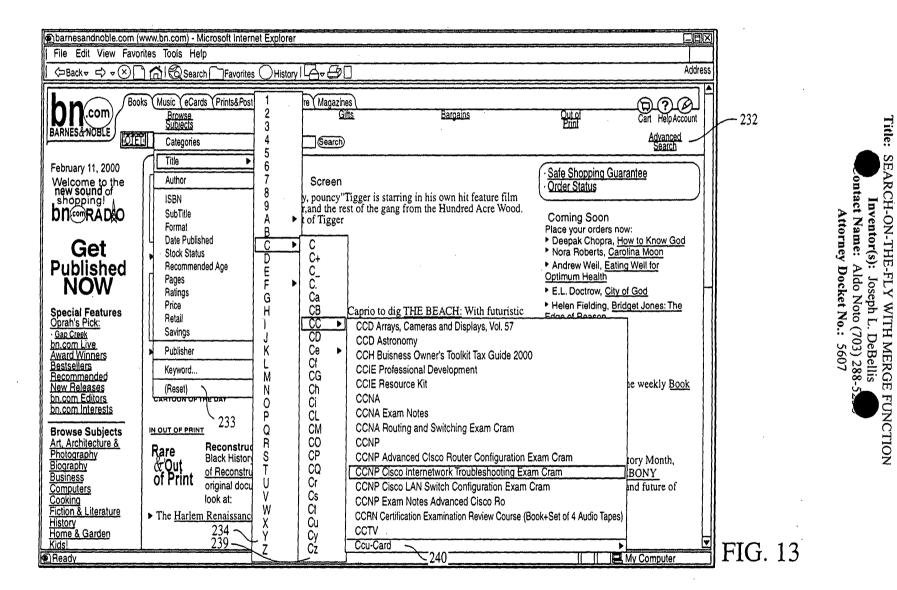
Ex. 1002 / Page 325 of 415

Title:

SEARCH-ON-THE-FLY WITH MERGE

FUNCTION

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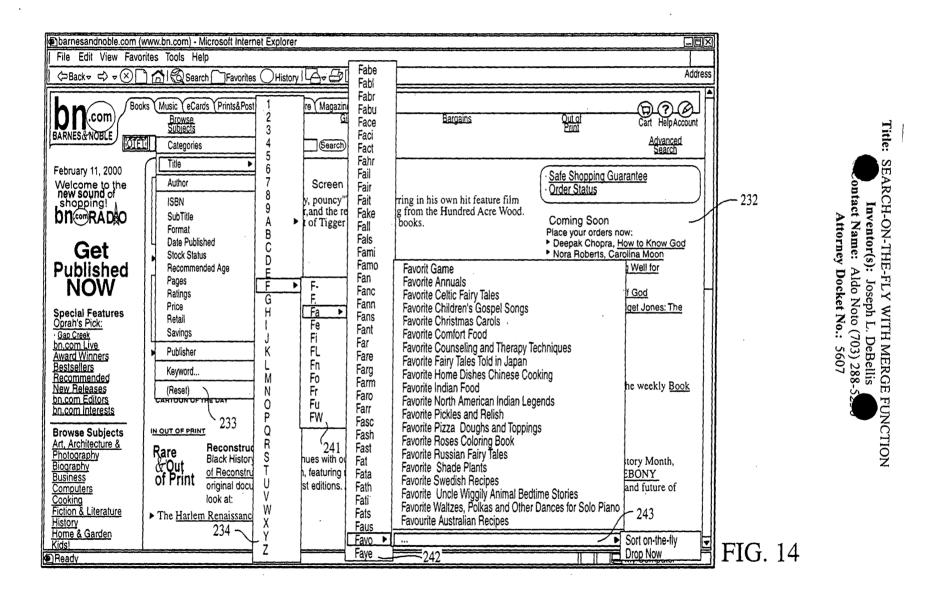


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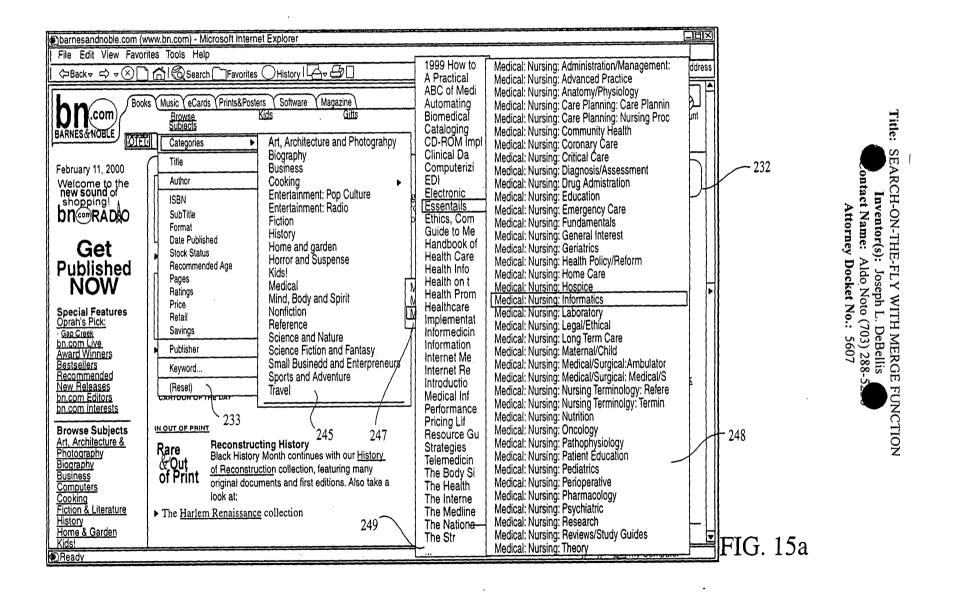
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TOHEBO" SESEEGGO



Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION
Inventor(s): Joseph L. DeBellis
ontact Name: Aldo Noto (703) 288-5

Attorney Docket No.: 5607

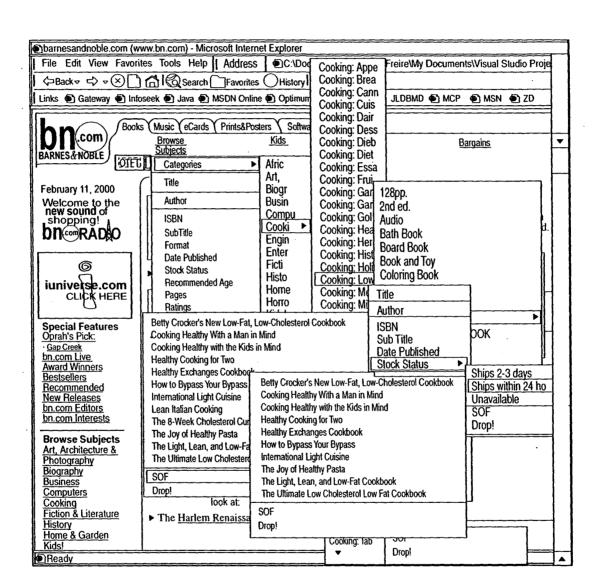


FIG. 15b

Inventor(s): Joseph L. DeBellis ontact Name: Aldo Noto (703) 288-52

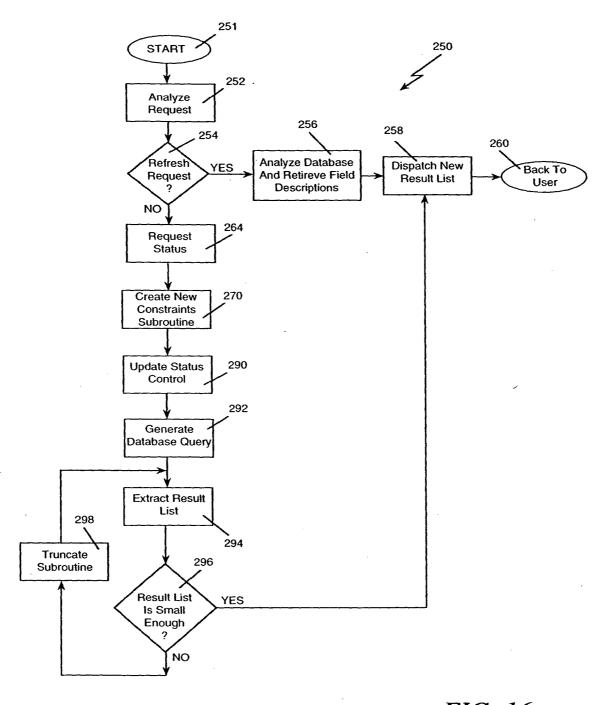
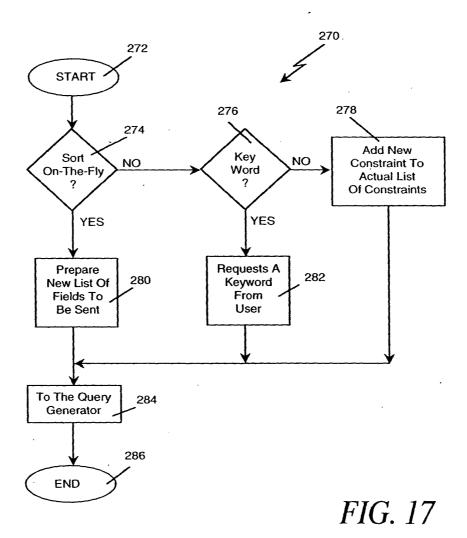


FIG. 16

Inventor(s): Joseph L. DeBellis
Contact Name: Aldo Noto (703) 288-52-



Inventor(s): Joseph L. DeBellis ontact Name: Aldo Noto (703) 288-52

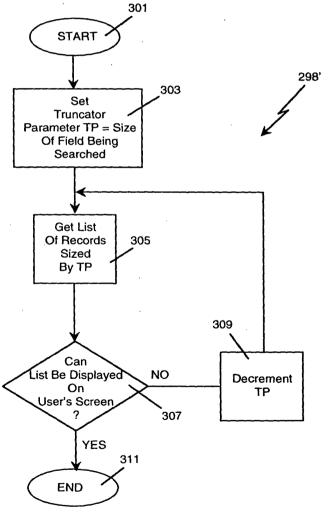


FIG. 18

Inventor(s): Joseph L. DeBellis ontact Name: Aldo Noto (703) 288-52

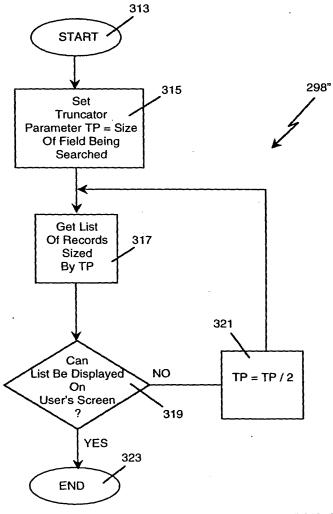


FIG. 19

Inventor(s): Joseph L. DeBellis ontact Name: Aldo Noto (703) 288-52-3 Attorney Docket No.: 5607

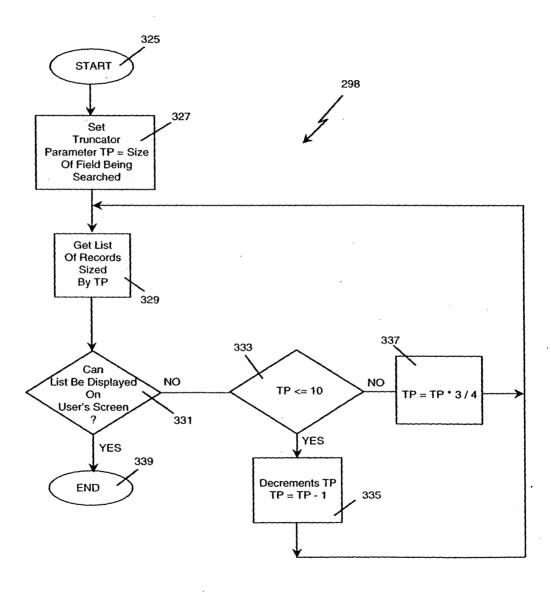
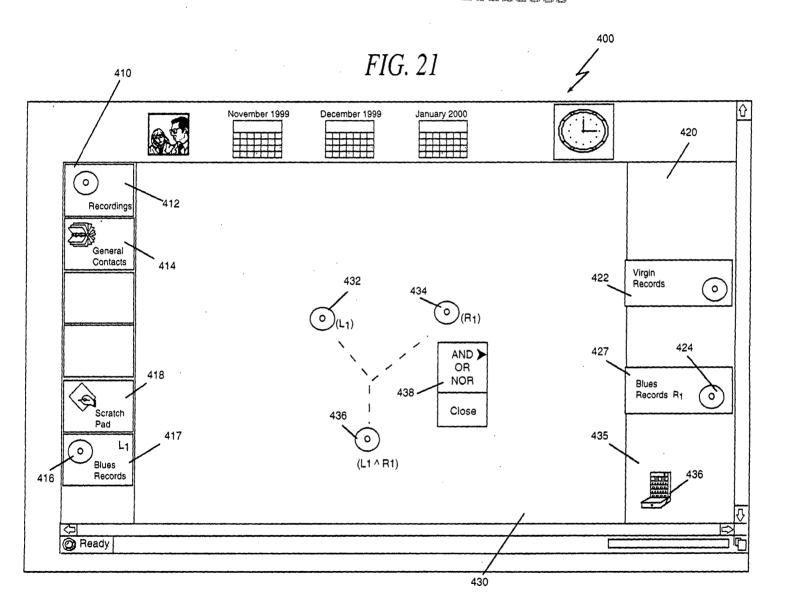


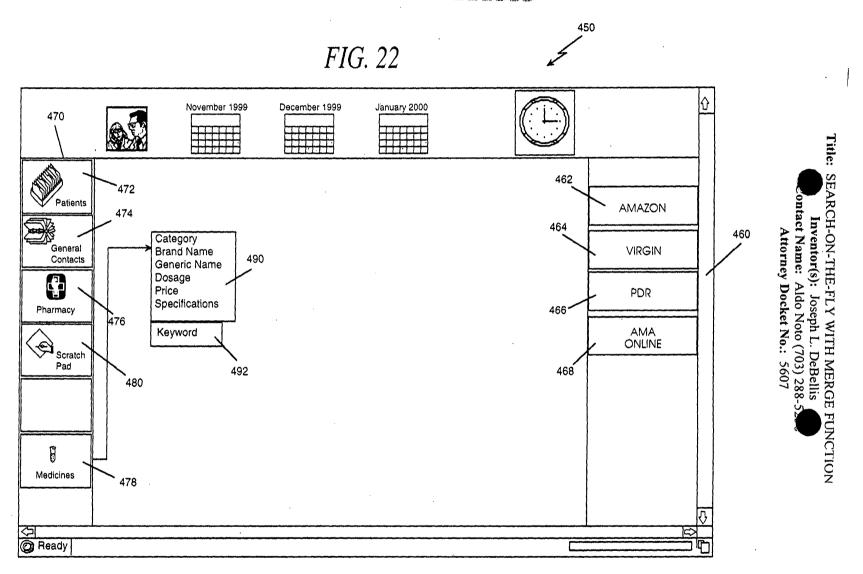
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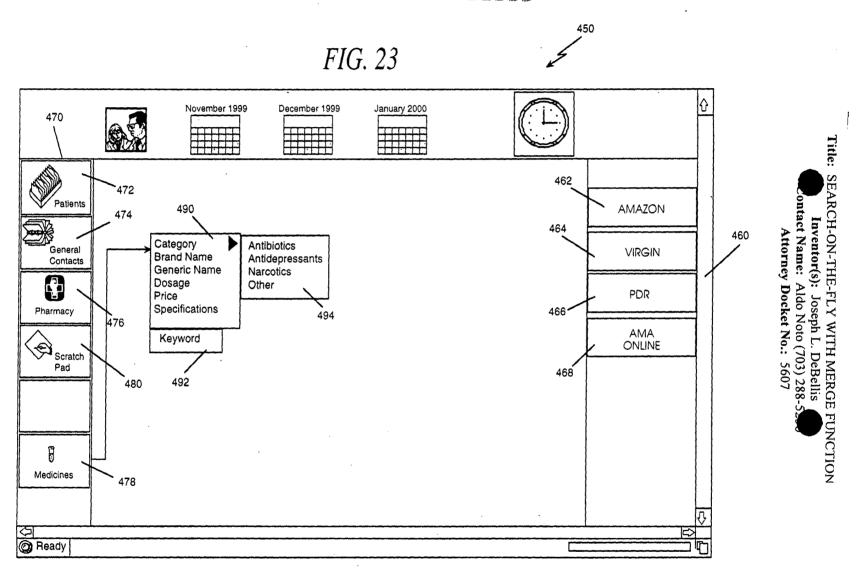


Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION
Inventor(s): Joseph L. DeBellis
ontact Name: Aldo Noto (703) 288-5
Attorney Docket No.: 5607

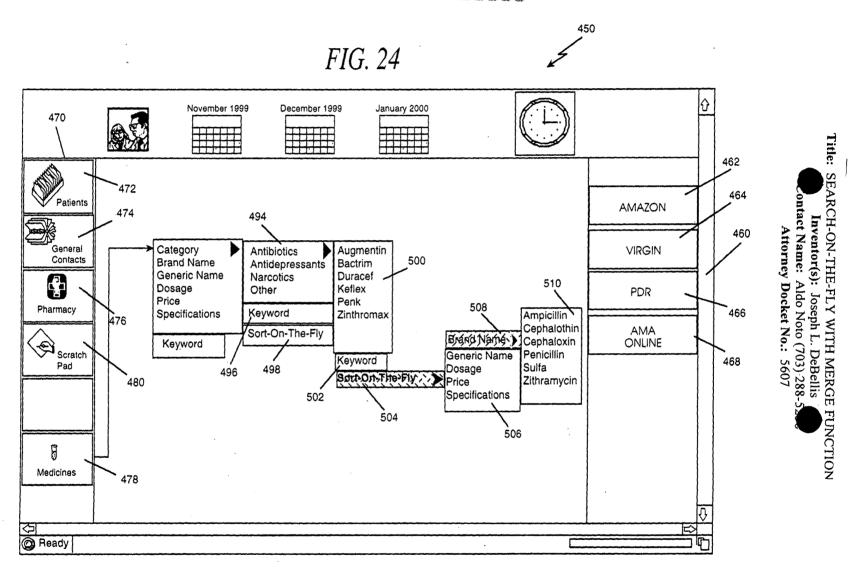
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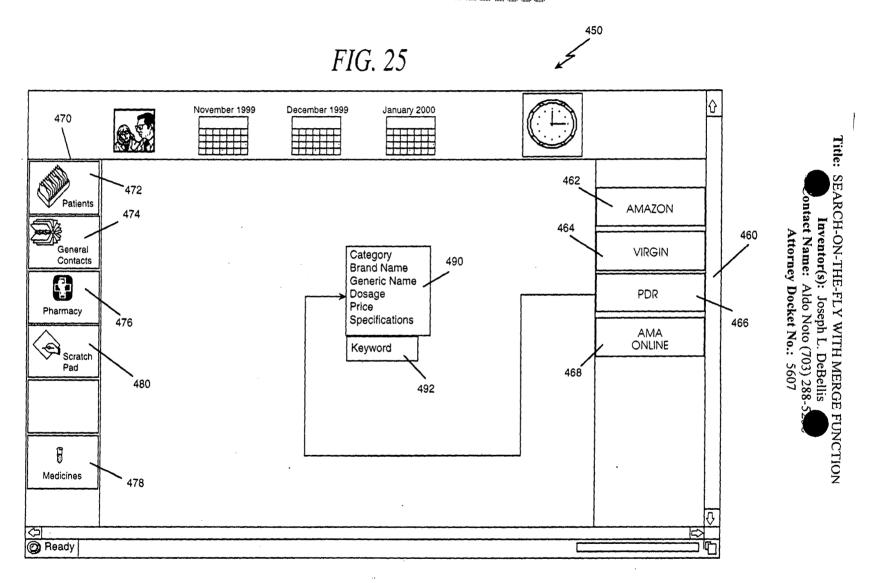
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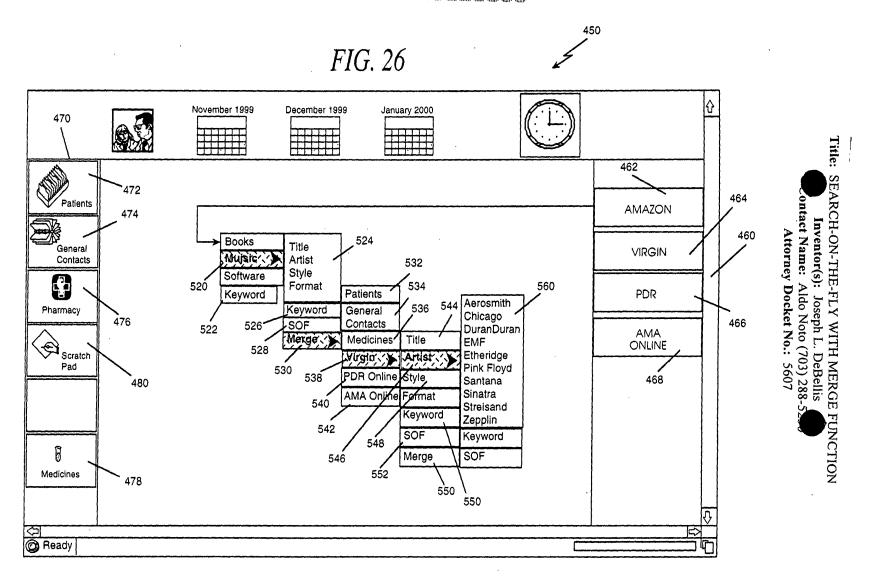
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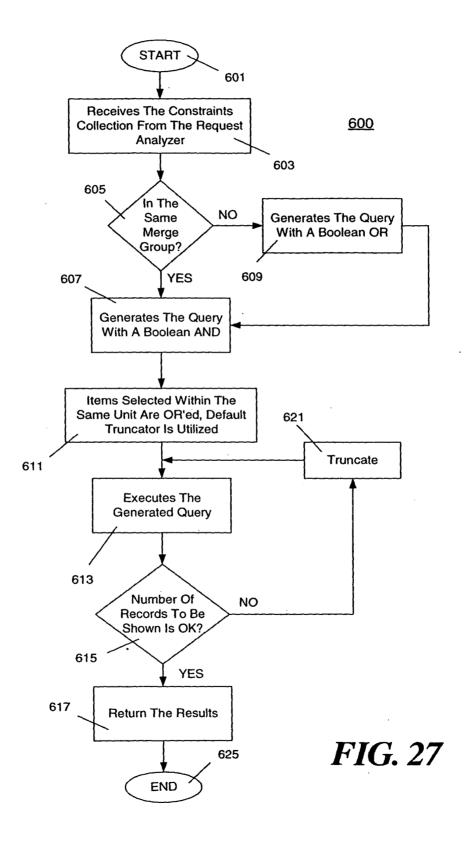
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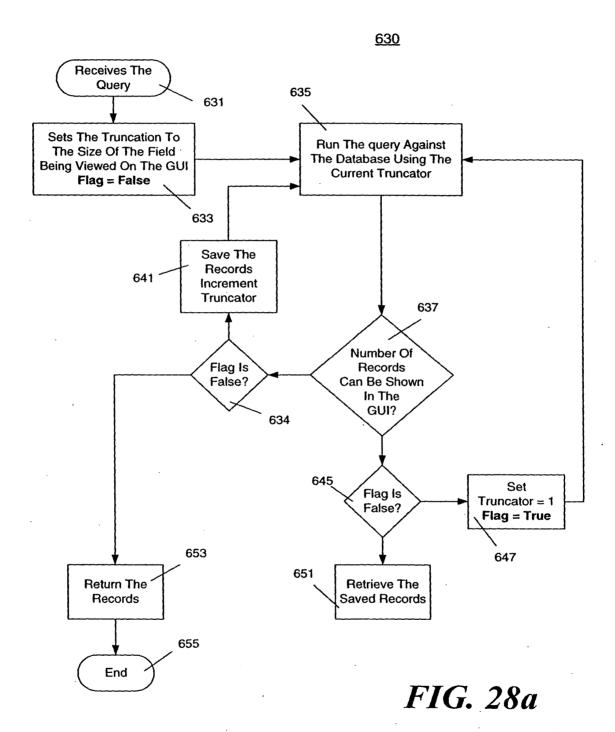
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Inventor(s): Joseph L. DeBellis ontact Name: Aldo Noto (703) 288-52



Inventor(s): Joseph L. DeBellis ontact Name: Aldo Noto (703) 288-52 Attorney Docket No.: 5607



Inventor(s): Joseph L. DeBellis ontact Name: Aldo Noto (703) 288-52

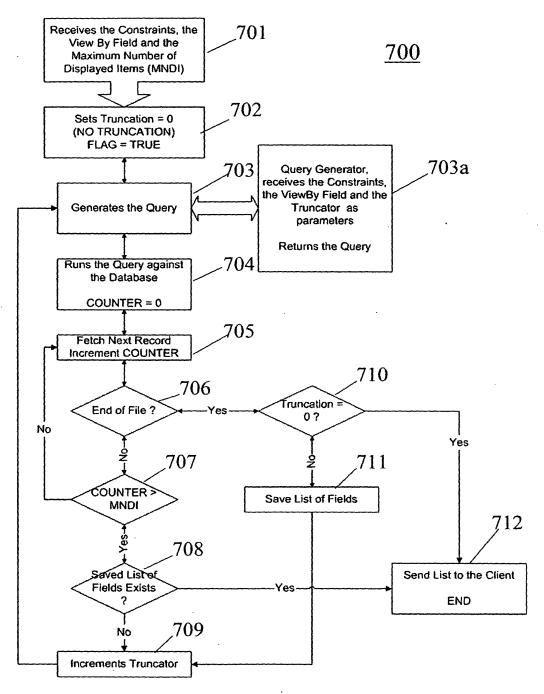


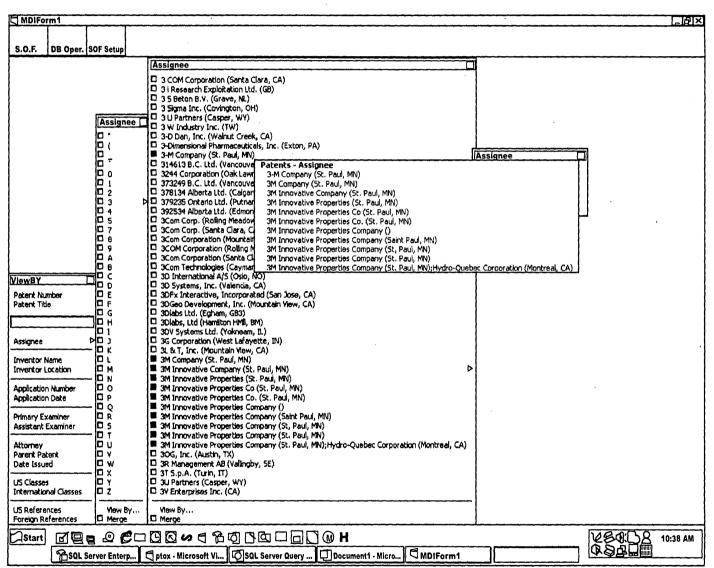
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Ittle: SEARCH-ON-THE-FLY WITH MERGE FUNCTION
Inventor(s): Joseph L. DeBellis
ontact Name: Aldo Noto (703) 288-52
Attorney Docket No.: 5607

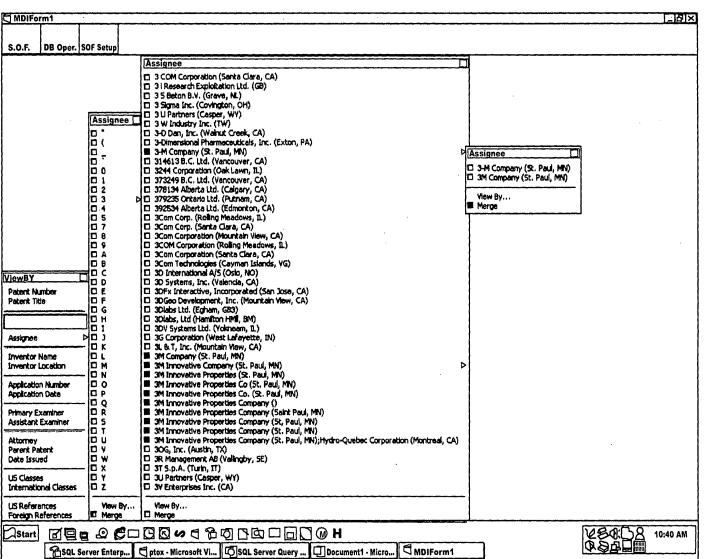
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Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION Inventor(s): Joseph L. DeBellis Ontact Name: Aldo Noto (703) 288-52-6

Attorney Docket No.: 5607

TCHREO" SOSEESO



Inventor(s): Joseph L. DeBellis
Ontact Name: Aldo Noto (703) 288-52-6
Attorney Docket No.: 5607

FIG. 31

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Inventor(s): Joseph L. DeBellis
Ontact Name: Aldo Noto (703) 288-524
Attorney Docket No.: 5607

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Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION Inventor(s): Joseph L. DeBellis Ontact Name: Aldo Noto (703) 288-5522

Attorney Docket No.: 5607

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SM Company (St. Paul, MN)	☐ Minamininon ☐ Mining Tech	Alexander, C., Sell, D. M., Edmund	☐ Rogers, James A. ☐ Schulte, Neil B.
☐ 3M Innovative Company (St. Paul, MN)	Minerik Cor Mining Tool Minerik Cor Mining Tool Minerik Cor Mining Tool	Alexander, C., Sell, D. M., Lilly, Alexander, C., Sell, D. M., Okubo,	☐ Sell, D. M., Chernivec, G. F.
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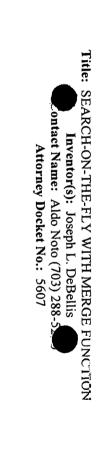
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Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION
Inventor(s): Joseph L. DeBellis
ontact Name: Aldo Noto (703) 288-52-4
Attorney Docket No.: 5607

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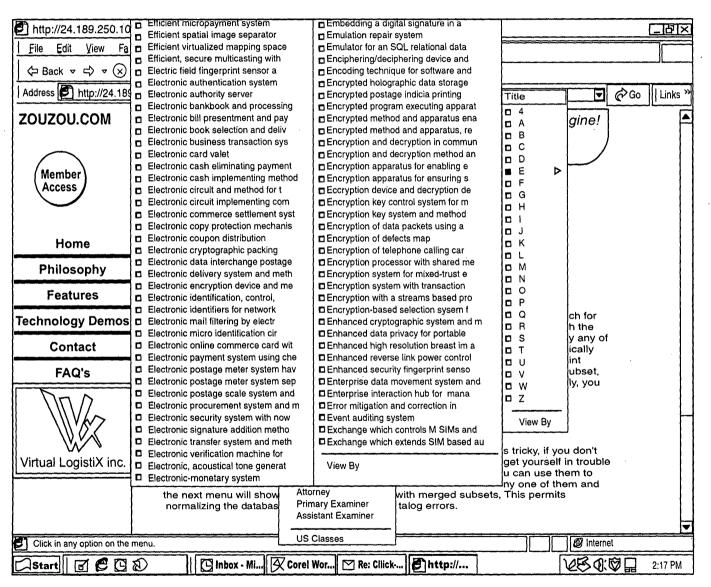
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Anisotropic retardation layers for display devices	□ Disk restraint
Dampener roll cover and methods of preparation and use thereof	☐ Diskette jacket
Damping unit for globular storage tank	Dispensable polypropylene adhesive-coated tape
Dark acrylic pressure-sensitive adhesive	Dispenser for a stack of note paper
Data accumulation system	Dispenser for adhesive coated sheet material Dispenser for protected write-on labels
Data cartridge with secondary tape guides	Dispenser peckage
Data processing form Data storage structure of garment patterns to enable subsequent computerized prealteration	☐ Dispersed imaging systems with tetra (hydrocarbyl) borate saks
DC Power supply for high power discharge devices	
Decolorizable imaging system	Patents - Assignee
Decorative ribbon or sheet material	3M Innovative Company (5t. Paul, MN) 3M Company (5t. Paul, MN)
Demand and timed renewing imaging media	3M Innovative Properties (St. Paul, MN)
Dental filling composition utilizing zinc-containing inorganic filler	3M Innovative Properties Co (St. Paul, MN)
Dentin and enamel adhesive	3M Innovative Properties Co. (St. Paul, MN)
Desensitizer for ferromagnetic markers used with electromagnetic article surveillance systems	3M Innovative Properties Company ()
Desensitizing dyes for photographic emulsions	3M Innovative Properties Company (Saint Paul, MN)
Detachable abrasive disk	3M Innovative Properties Company (St, Paul, MN)
Detecting system	3M Innovative Properties Company (St. Paul, MN)
Detection of articles	3M Innovative Properties Company (St. Paul, MN); Hydro-Quebec Corporation (Montreal, CA) 1/1/5/5
Developer compositions for silver halide photographic materials comprising cyclic amino methane dipho	
Developer compositions having layer of a pigment on the surface thereof	Patents - Assignee
Developer material level sensor	Minnesota M
Developer powder supply cartridge	Morgad Subset
Developing powder composition containing a fluorine-modified alkyl siloxane Developing powder composition containing fluoroaliphatic sulfonamido surface active agent	Patents - Title
Device and method for applying flexible balls to containing ruloroalipmant; suitonaming surface active agent.	D
Device for backing butt-welds between tubes	Drop wire connector
Device for cutting a support helix for a radially expanded resilient sleeve	Dry magnetic pressure-fixable developing powder
Device for exposing colorant to be transferred	Dry strip antihalation layer for photothermographic film
Device for forming graphics	Dry transfer article
Device for fusing lengths of film over the open ends of cups	Dry transfer graphics article and methods of preparation and use thereof
Device for restraining an object or objects therein	Dry transfer graphics article method of preparation
Device to slow solenoid actuation motion	☐ Dual grooved Fresnel lens for overhead projection
Diagnostic radio-labeled polysaccharide derivatives	Cl Dual particle population magnetic recording medium
Diaper closure utilizing pressure-sensitive adhesive tape having textured foll backing	Duel status magnetic marker having magnetically blasable flux collectors for use
Diezonium imaging system	☐ Durable glass elements
Dielectric stress relief at a high voltage cable termination	☐ Durable mek-blown particle-loaded sheet material
Diffractive lens	☐ Durable, poishable direct filling material
Digital communications system with automatic frame synchronization and detector circuitry	Durably stain-repellant and soil-resistant pile fabric and process
Digital frame synchronizing circuit	Dust mop
Digital motor control system	Dived aqueous air foams
Dimensionally-controlled cobalt-containing precision molded metal article Direct positive silver halide emulsions containing quaternated merocyanine dyes	Dyse suitable for sensitization of photoconductive systems
Directional radiation detector	Electrical connector table
Disc cartridge	C Electrically conductive metal oxide coatings
Disc dispenser	☐ Method for writing arbitrary index perturbations in a wave-guiding structure
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Disk cartridge	□ Merge
Disk locking mechanism for disk cartridge	
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Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION
Inventor(s): Joseph L. DeBellis
ontact Name: Aldo Noto (703) 288-5224
Attorney Docket No.: 5607



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ontact Name: Aldo Noto (703) 288-5
Attorney Docket No.: 5607

Inventor(s): Joseph L. DeBellis Contact Name: Aldo Noto (703) 288-52-50

Attorney Docket No.: 5607

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Patent: 5935246

Electronic copy protection mechanism using challenge and response to prevent unauthorized execution of software

Date Filled:

Date issued:

Application Number:

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4/11/1997

8/10/1999

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USPTO

Abstract:

A copy protection mechanism for protecting software against copying, consists of a challenge mechanism embedded in each protected item of software. The challenge mechanism has no access to the customer's private keying material. In operation, the challenge mechanism sends a random challenge to the customer's signature server. The signature server signs the challenge, using the customer's private keying material and then returns the signed challenge to the challenge mechanism. The challenge mechanism then verifies the signed challenge, using the customer's public keying material, and prohibits the customer from using some or all of the protected item of software unless the verification is successful. The mechanism permits every customer to receive an identical copy of the copy protected program with the embedded challenge mechanism.

Inventors:

Inventor Location:

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US Classes:

International Classes:

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US References:

Foreign References:

4558176 4926480

4947430

5109413

5146575 5224163

5315657 5371794

5436972

5568552 5724425

Primary Examiner:

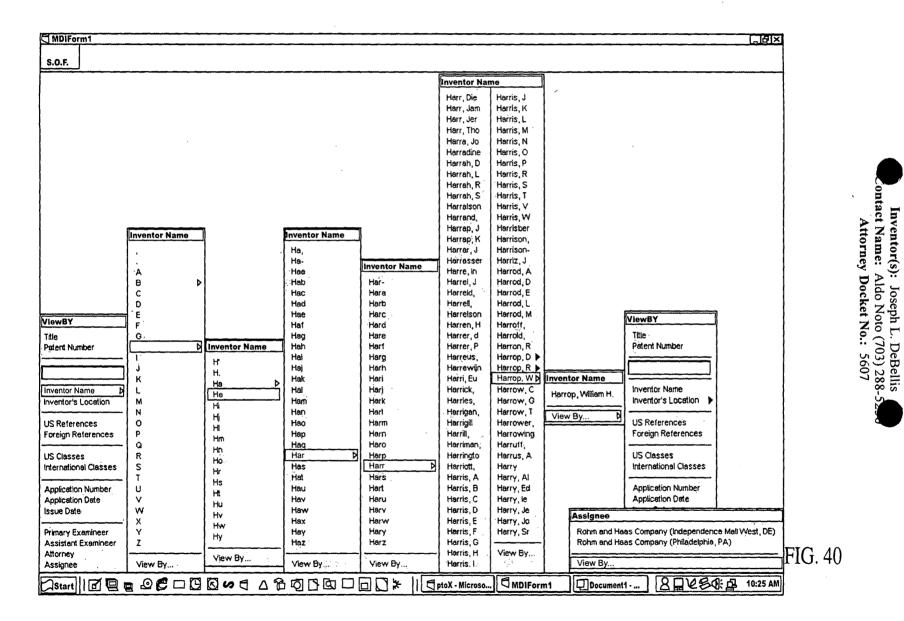
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Lee, Mann, Smith, McWilliams, Sweeney & Ohlson Claims:

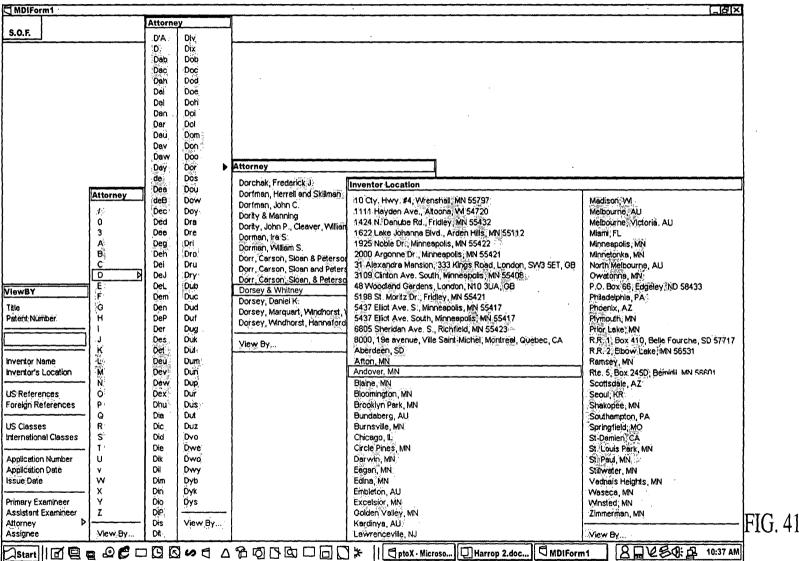


Ex. 1002 / Page 355 of 415

Title:

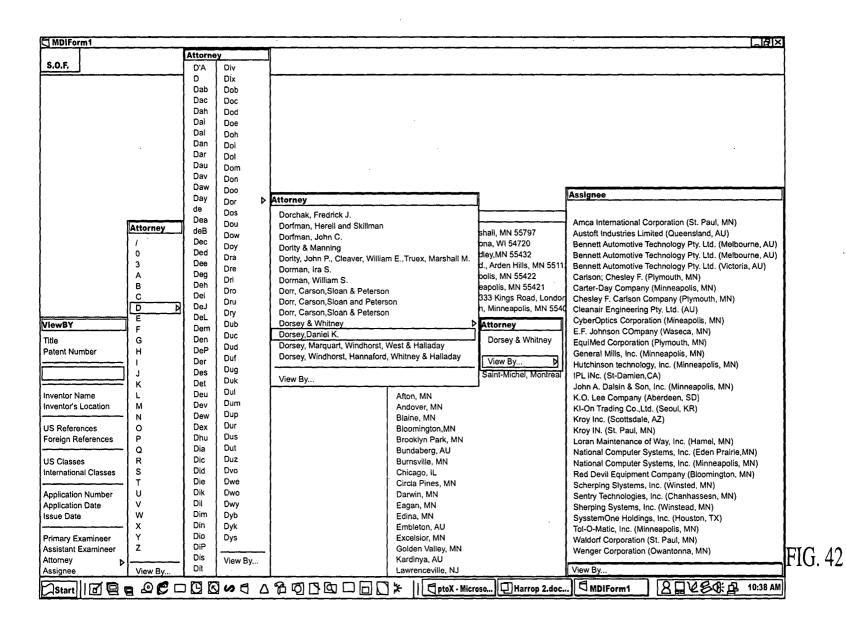
SEARCH-ON-THE-FLY WITH MERGE FUNCTION

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Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION ontact Name: Inventor(s): Attorney Docket No.: 5607 s): Joseph L. DeBellis Aldo Noto (703) 288-5

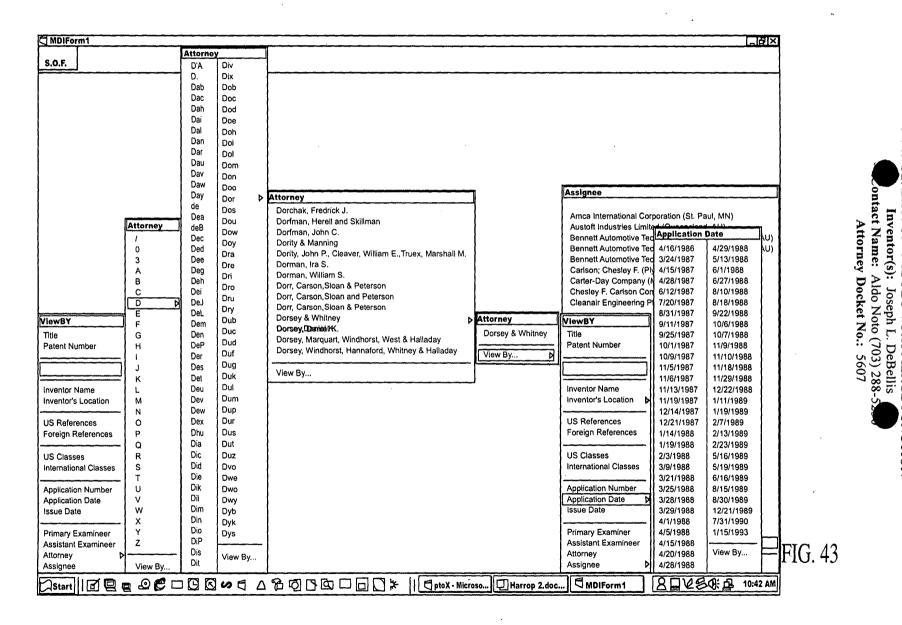
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Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION
Inventor(s): Joseph L. DeBellis
ontact Name: Aldo Noto (703) 288-52-52
Attorney Docket No.: 5607

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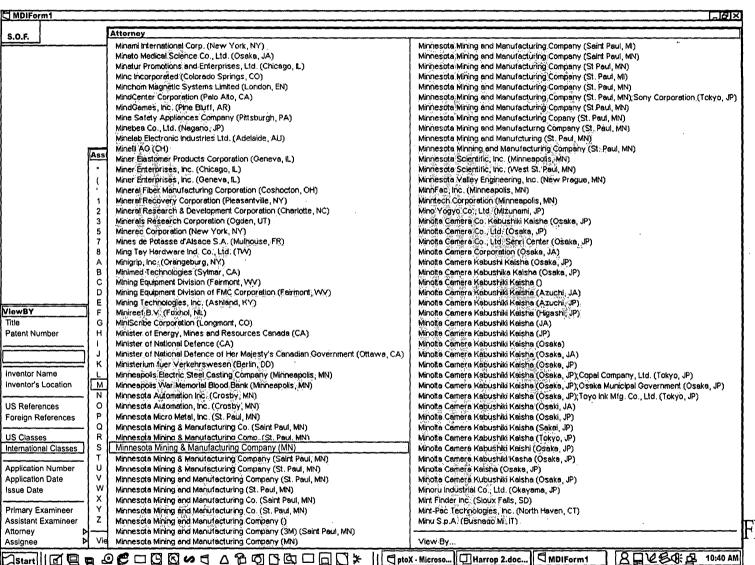


Ex. 1002 / Page 358 of 415

Title:

SEARCH-ON-THE-FLY WITH MERGE FUNCTION

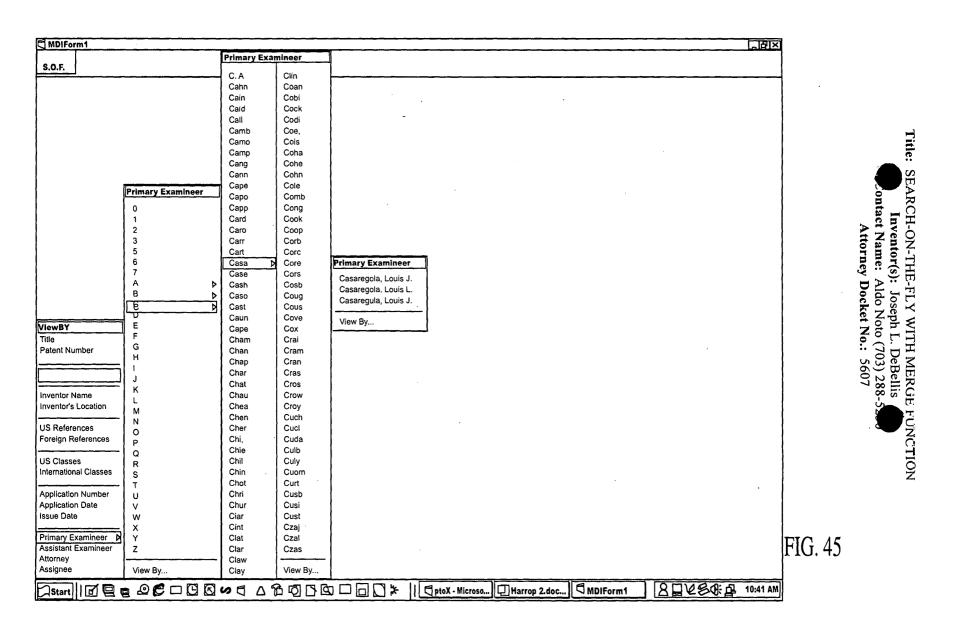
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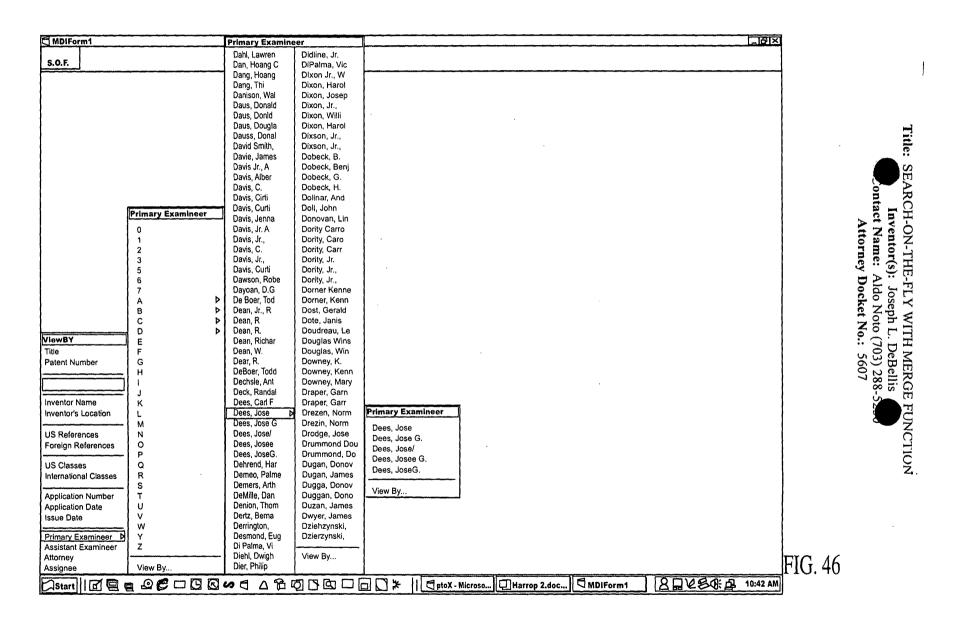
Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION Inventor(s): Joseph L. DeBellis Contact Name: Aldo Noto (703) 288-5234

Attorney Docket No.: 5607

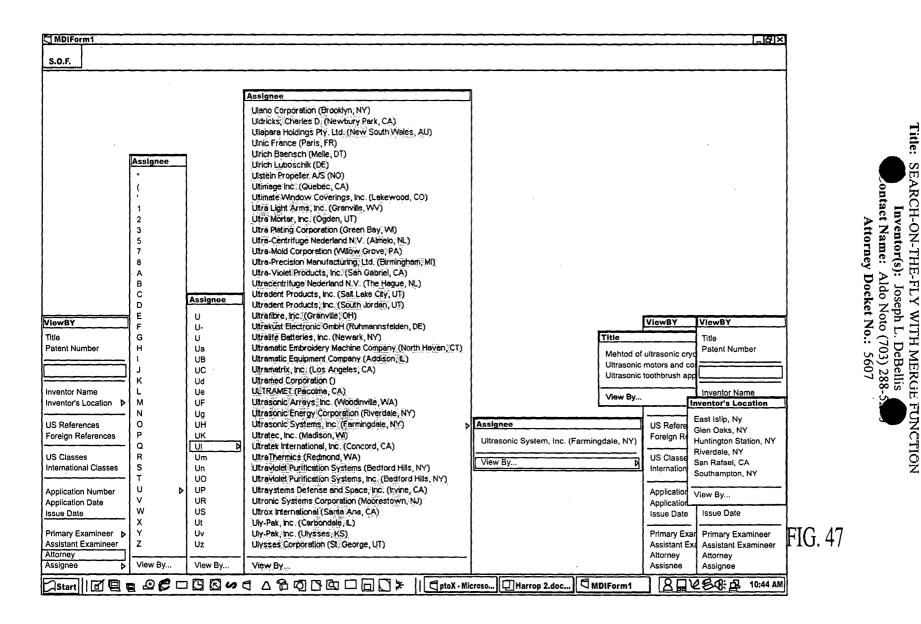
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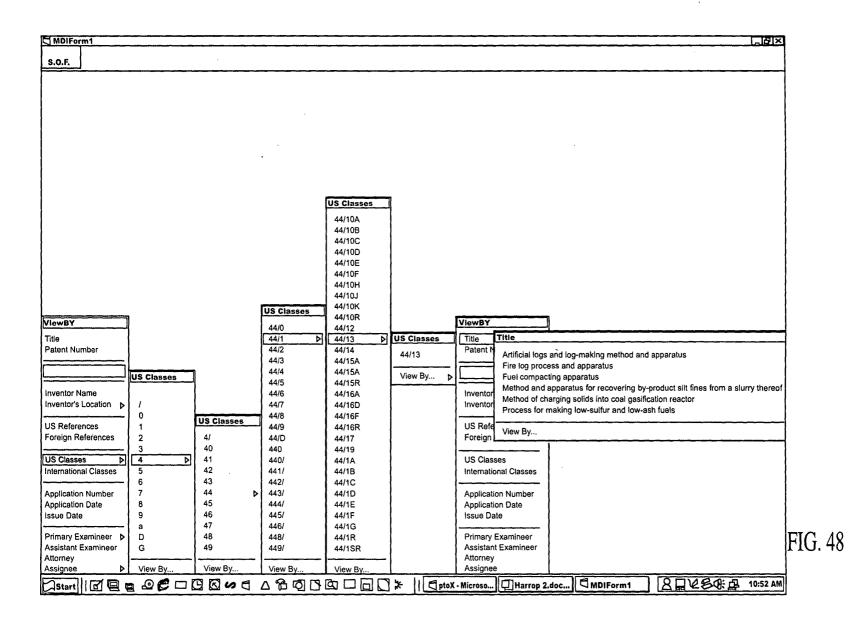


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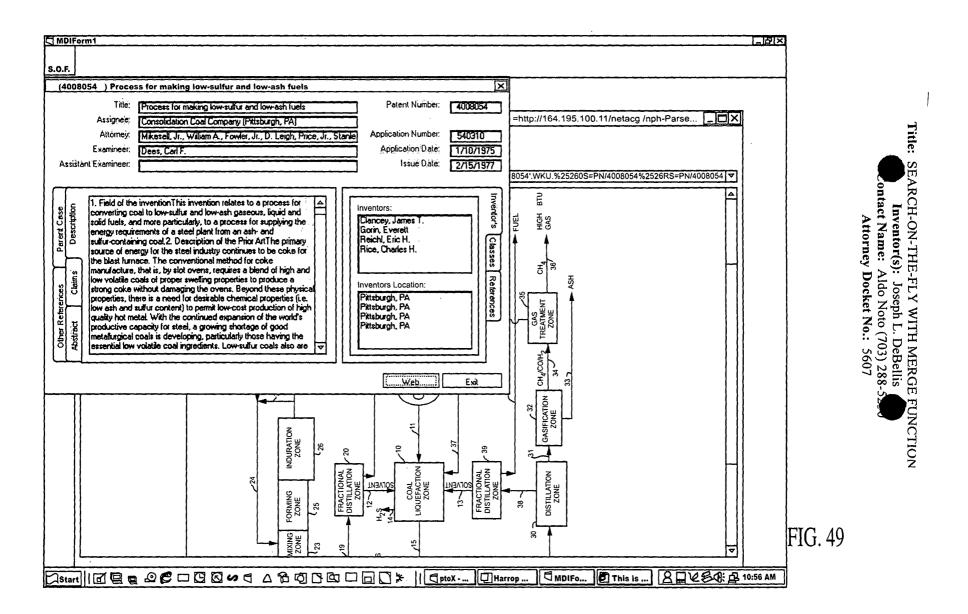


SEARCH-ON-THE-FLY WITH MERGE FUNCTION Inventor(s): Joseph L. DeBellis Ontact Name: Aldo Noto (703) 288-52-64 Attorney Docket No.: 5607

Title:

Ex. 1002 / Page 363 of 415

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Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION

Inventor(s): Joseph L. DeBellis ontact Name: Aldo Noto (703) 288-5

Attorney Docket No.: 5607

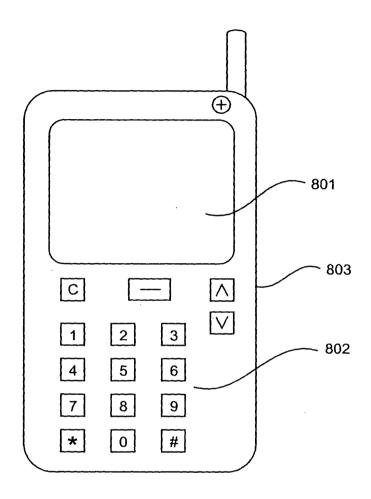
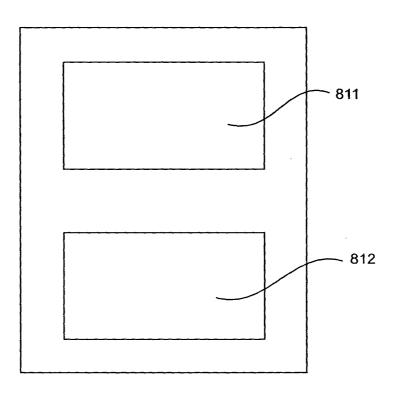


FIG. 50

Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION
Inventor(s): Joseph L. DeBellis
ontact Name: Aldo Noto (703) 288-5
Attorney Docket No.: 5607



<u>810</u>

FIG. 51

Title: SEARCH-ON-THE-FLY WITH MERGE FUNCTION

Inventor(s): Joseph L. DeBellis ontact Name: Aldo Noto (703) 288-5

Attorney Docket No.: 5607

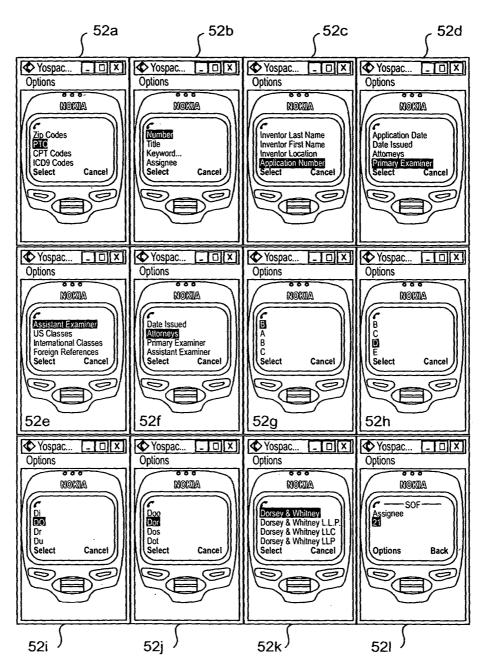
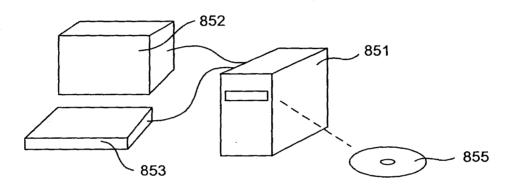


FIG. 52

Title: SEARCH-ON-THE-FLY WITH MERGE TUNCTION

Inventor(s): Joseph L. DeBellis Contact Name: Aldo Noto (703) 288-52 Attorney Docket No.: 5607



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FIG. 53

SEARCH-ON-THE-FLY WITH MERGE FUNCTION

Related Applications

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This non-provisional application claims the benefit of U.S. provisional patent Application Number 60/227,305, entitled "SEARCH-ON-THE-FLY WITH MERGE FUNCTION," filed on August 24, 2000. The provisional application is hereby incorporated by reference.

This application is a continuation-in-part of Application Number 09/513,340, filed February 25, 2000, entitled Search-On-The-Fly/Sort-On-The-Fly Search Engine, which is hereby incorporated by reference.

Technical Field

The technical field is information management systems, interfaces, and mechanisms, and methods for searching one or more databases.

Background

In the most general sense, a database is a collection of data. Various architectures have been devised to organize data in a computerized database. computerized database includes data stored in mass storage devices, such as tape drives, magnetic hard disk drives and optical drives. Three main database architectures are termed hierarchical, network and relational. A hierarchical database assigns different data types to different levels of the hierarchy. Links between data items on one level and data items on a different level are simple and direct. However, a single data item can appear multiple times in a hierarchical database and this creates data redundancy. To eliminate data redundancy, a network database stores data in nodes having direct access to any other node in the database. There is no need to duplicate data since all nodes are universally accessible. In a relational database, the basic unit of data is a relation. A relation corresponds to a table having rows, with each row called a tuple, and columns, with each column called an attribute. From a practical standpoint, rows represent records of related data and columns identify individual data elements. The order in which the rows and columns appear in a table has no significance. In a relational database, one can add a new column to a table without having to modify older applications that access other columns in the table. Relational databases thus provide flexibility to accommodate changing needs.

All databases require a consistent structure, termed a schema, to organize and manage the information. In a relational database, the schema is a collection of tables. Similarly, for each table, there is generally one schema to which it belongs. Once the

schema is designed, a tool, known as a database management system (DBMS), is used to build the database and to operate on data within the database. The DBMS stores, retrieves and modifies data associated with the database. Lastly, to the extent possible, the DBMS protects data from corruption and unauthorized access.

A human user controls the DBMS by providing a sequence of commands selected from a data sublanguage. The syntax of data sublanguages varies widely. The American National Standards Institute (ANSI) and the International Organization for Standardization (ISO) have adopted Structured English Query Language (SQL) as a standard data sublanguage for relational databases. SQL comprises a data definition language (DDL), a data manipulation language (DML), and a data control language (DCL). The DDL allows users to define a database, to modify its structure and to destroy it. The DML provides the tools to enter, modify and extract data from the database. The DCL provides tools to protect data from corruption and unauthorized access. Although SQL is standardized, most implementations of the ANSI standard have subtle differences. Nonetheless, the standardization of SQL has greatly increased the utility of relational databases for many applications.

Although access to relational databases is facilitated by standard data sublanguages, users still must have detailed knowledge of the schema to obtain needed information from a database since one can design many different schemas to represent the storage of a given collection of information. For example, in an electronic commerce system, product information, such as product SKU, product name, product description, price, and tax code, may be stored in a single table within a relational database. In another electronic commerce system, product SKU, product name, description, and tax code may be stored in one table while product SKU and product price are stored in a separate table. In this situation, a SQL query designed to retrieve a product price from a database of the first electronic commerce system is not useful for retrieving the price for the same product in the other electronic system's database because the differences in schemas require the use of different SQL queries to retrieve product price. As a consequence, developers of retail applications accessing product information from relational databases may have to adapt their SQL queries to each individual schema. This, in turn, prevents their applications from being used in environments where there are a wide variety of databases having different schemas, such as the World Wide Web.

A further problem with conventional searches, search engines, data access and data retrieval is a tendency to return very large amounts of data, or to require the search

parameters to be narrowed. When large amounts of data are presented, the display may take many "pages" before all data is seen by the user. The time and expense involved in such a data review may be significant, inconvenient, not user friendly or efficient.

Summary

Sort-on-the-Fly/Search-on-the-Fly data retrieval methods and apparatus (hereafter, search-on-the-fly) provide an intuitive means for accessing or searching databases, allowing a user to access or obtain information about data in the database without having to know anything about the database structure. Sort-on-the-Fly/Search-on-the-Fly is an information gathering process or analysis process about data stored in one or more databases. The on-the-fly methods and apparatus often use or include sorting and searching. While Sort-on-the-Fly/Search-on-the-Fly may be a search engine or part of a search engine, it may also stand alone or make calls to a search engine. For example, database search engines may be used in conjunction with on-the-fly methods and apparatus.

Using Sort-on-the-Fly/Search-on-the-Fly, a user selects a desired term, and the user is delivered all instances of the desired term, even if a specific file or table does not contain the instance. For example, if a user wants to enter a database using the name of a specific individual as a database entry point, a database manager or other software will access the database using the desired name, and will organize the results so that all entries associated with that name are displayed. The database need not have a specific file (in a flat database) or a table (in a relational database) of names. The user may perform further on-the-fly searches or information retrieval to narrow or focus the results, or for other reasons. For example, given results for all names that include the name "Smith," the user may then decide to obtain information for all "Smiths" that include an association to an address in New Jersey. Search-on-the-fly then conducts a further information gathering using this criteria and produces a second result. Further narrowing or broadening of the analysis is permitted, with search-on-the-fly returning results based on any new criteria.

In an embodiment, search-on-the-fly uses graphical user interfaces (GUIs) and one or more icons to make the information gathering process as efficient as possible. The GUIs may incorporate one or more pull down menus of available sorting terms. As a user selects an item from a first pulldown menu, a subsequent pulldown menu displays choices that are available for sorting or searching. The process may be continued or repeated until Sort-on-the-Fly/Search-on-the-Fly has retrieved or displayed a discrete data entry from the database. The pulldown menus are not pre-formatted. Instead, the pulldown

1	menus are created "on-the-fly" as the user steps through the sort and/or search process.
2	Thus, search-on-the-fly is inherently intuitive, and allows a user with little or no
3	knowledge of the database contents, its organization, or a search engine search routine to
4	execute comprehensive analysis, sorting and/or searches that return generally accurate
5	results.
6	Search-on-the-fly also searches on key words specified by the user. Search-on-
7	the-fly can be used to exclude certain items. Search-on-the-fly incorporates other
8	advanced features such as saving results by attaching a cookie to a user's computer, and
9	associating icons with the results.
10	Search-on-the-fly may be used with both internal and external databases. For
11	example, Search-on-the-fly may be used with a company internal database and one or
12	more databases accessible through the Internet.
13	Search-on-the-fly is user-friendly. With one interface, many different types of
14	databases or database schemas may be searched or sorted.
15	Finally, the search-on-the-fly technique, and other techniques discussed above
16	may be used in conjunction with a method of doing business, particularly a business
17	method that uses the Internet as a communications backbone.
18	Description of the Drawings
19	The detailed description will refer to the following figures, in which like numerals
20	refer to like objects, and in which:
21	Figure 1 is a block diagram of a system that uses a search-on-the-fly/sort-on-the-
22	fly process;
23	Figure 2 is another overall block diagram of the system of Figure 1;
24	Figure 3 is a detailed block diagram of the search engine used with the system of
25	Figure 2;
26	Figure 4 is an example of a search-on-the-fly using the search engine of Figure 3;
27	Figures 5 - 9 are detailed block diagrams of components of the search engine of
28	Figure 3;
29	Figure 10 is another example of a search-on-the-fly using the search engine of
30	Figure 3;
-31	Figures 11 - 15b are additional examples of a search-on-the-fly using the search
32	engine of Figure 3;

Figure 3;

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Figures 16 - 20 are flow charts illustrating operations of the search engine of

1	Figure 21 illustrates a further function of the search engine of Figure 3 in which
2	results of more than one search are combined;
3	Figures 22 - 26 illustrate graphical user interfaces that may be displayed in
4	conjunction with operation of the system of Figure 1;
5	Figure 27 is a flowchart illustrating an alternate operation of a query generator
6	used with the search engine of Figure 3;
7	Figure 28 is a flowchart illustrating an alternate operation of the truncator used
8	with the search engine of Figure 3;
9	Figures 29 - 36 illustrate user interfaces with search results from a search on the
10	fly and a merge function;
11	Figures 37 - 39 illustrate a keyword search result form a search on the fly with the
12	merge function;
13	Figures 40-49 illustrate additional search results;
14	Figure 50 illustrates a cellular phone incorporating the search-on-the fly with
15	merge function;
16	Figure 51 illustrates a personal data assistant incorporating the search-on-
17	the-fly with merge function;
18	Figures 52a - 52l illustrate search-on-the-fly as displayed on the cellular phone of
19	Figure 50; and
20	Figure 53 illustrates a computer-readable medium having the search-on-the-fly
21	with merge function loaded thereon.
22	Detailed Description
23	Ordinary search engines place constraints on any search. In particular, a partial
24	ordering of available search criteria limits application of the search engine only to certain
25	search sequences. The user is given a choice of search sequences, and the order in which
26	individual search steps in the search sequence become available limits the direction of the
27	search. A user who desires to take a vacation cruise may use an Internet search engine to
28	find a desired vacation package. The search begins with presentation of a list of general

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categories, and the user clicks on "travel," which produces a list of subcategories. The user then clicks on "cruises" from the resulting list of subcategories, and so on in a

cumulative narrowing of possibilities until the user finds the desired destination, date,

cruise line, and price. The order in which choices become available amounts to a predefined "search tree," and the unspoken assumption of the search engine designer is

that the needs and thought processes of any user will naturally conform to this predefined search tree.

To an extent, predefined constraints are helpful in that predefined constraints allow a search engine to logically and impersonally order the user's thoughts in such a way that if the user has a clear idea of what object the user wants, and if the object is there to be found, then the user is assured of finding the object. Indeed, the user may want to know that choosing any available category in a search sequence will produce an exhaustive and disjunctive list of subcategories from which another choice can be made. Unfortunately, an unnecessarily high cost is too often paid for this knowledge: The user is unnecessarily locked into a limited set of choice sequences, and without sufficient prior knowledge of the object being sought, this limitation can become a hindrance. Specifically, where prescribed search constraints are incompatible with the associative relationships in the user's mind, a conflict can arise between the thought processes of the user and the function of the search engine.

At one time, such conflicts were written off to the unavoidable differences between computers and the human mind. However, some "differences" are neither unavoidable nor problematic. In the case of search engine design, the solution is elegant: upon selecting a category or entering a keyword, the user can be given not only a list of subcategories, but the option to apply previously available categories as well. In slightly more technical terms, the open topology of the search tree can be arbitrarily closed by permitting search sequences to loop and converge. Previous lists can be accessed and used as points of divergence from which new sub-sequences branch off, and the attributes corresponding to distinct sub-sequences can later be merged.

Sort-on-the-fly/search-on-the-fly data analysis, sorting access and retrieval methods and apparatus (hereafter, search-on-the-fly search engine) provide an intuitive means for analyzing various types of databases, allowing a user to obtain information about and/or access data in the database without having to know anything about the database structure. A user selects a desired term, and a database manager reviews the database for all instances of the desired term, even if a specific file or table does not contain the instance. For example, if a user wants to analyze the database using the name of a specific individual as a database entry point, the database manager will search the database or index using the desired name, and will organize the results so that all entries associated with that name are displayed. The database need not have a specific file (in a flat database) or a table (in a relational database) of names. The user may perform further

on-the-fly searches to narrow the search results, or for other reasons. The search engine then conducts a further search using this criteria and produces a second search result. Further narrowing or broadening of the search are permitted, with the search engine returning results based on any new criteria.

This on-the-fly method or process can be used to simply analyze data or gather information about data stored in a database. The actual data itself does not need to be fetched, displayed, printed or even sorted. The user may simply wish to use this tool to "clean-up" data or understand how data could be sorted or for other reasons.

Figure 1 is a block diagram of a system 10 that uses search-on-the-fly. In Figure 1, a database 12 is accessed using a hardware/software interface device 100 to provide data to a user terminal 14. Additional databases 13 and 15 may also be accessed by the terminal 14 using the device 100. The databases 12, 13 and 15 may use different schemas, or may use a same schema. As will be described later, the device 100 may include the search-on-the-fly search apparatus. In an alternative embodiment, the searchon-the-fly search engine may be co-located with the terminal 14. In yet another embodiment, the search-on-the-fly search engine may be incorporated into the structure of one or more of the databases 12, 13 and 15. The device 100 may interface with any one or more of the databases 12, 13 and 15 using a network connection such as through the Internet, for example. Other communications mediums may also be used between the terminal 14, the device 100 and any one or more of the databases 12, 13 and 15. These mediums may include the public switched telephone network (PSTN), cable television delivery networks, Integrated Services Digital Networks (ISDN), digital subscriber lines (DSL), wireless means, including microwave and radio communications networks, satellite distribution networks, and any other medium capable of carrying digital data.

The system shown in Figure 1 is but one of many possible variations. The search-on-the-fly search engine could also be incorporated within a single computer, such as a personal computer, a computer network with a host server and one or more user stations, an intranet, and an Internet-based system, as shown in Figure 2. Referring again to Figure 2, the terminal 14 may be any device capable of displaying digital data including handheld devices, cellular phones, geosynchronous positioning satellite (GPS) devices, wrist-worn devices, interactive phone devices, household appliances, televisions, television set top boxes, handheld computers, and other computers.

Figure 3 is a detailed block diagram of an exemplary search-on-the-fly search engine 125. The search engine 125 includes a request analyzer 130 that receives search

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32 33 requests 114 from the terminal 14 (not shown in Figure 3) and sends out updated requests 115 to a query generator 150. A status control 140 receives a status update signal 116 and a request status control signal 118 and sends out a request status response 119 to the request analyzer 130. The status control 140 also keeps track of search cycles, that is, the number of search iterations performed. The query generator 150 receives the updated requests 115 from the request analyzer 130 and sends a database access signal 151 to a database driver 170. The query generator 150 receives results 153 of a search of the database 12 (not shown in Figure 3) from the database driver 170. The query generator 150 provides a display signal 175 to the terminal 14. The database driver 170 sends a database access signal 171 to the database 12. Finally, a database qualifier 160 receives information 161 from the database driver 170 and provides a list 163 of available data fields from the database 12. As will be described later, the list of available data fields 163 may be displayed to a user at the terminal 14, and may be sorted and processed using the request analyzer 130 in conjunction with the database qualifier 160. The database qualifier 160 also receives search information and other commands 131 from the request analyzer 130.

The search engine 125 may identify a database schema by simply using a trial and error process. Alternatively, the search engine 125 may use other techniques know in the art. Such techniques are described, for example, in U.S. Patent 5,522,066, "Interface for Accessing Multiple Records Stored in Different File System Formats," and U.S. Patent 5,974,407, "Method and Apparatus for Implementing a Hierarchical Database Management System (HDBMS) Using a Relational Database Management System (RDBMS) ad the Implementing Apparatus," the disclosures of which is hereby incorporated by reference.

The search engine 125 provides search-on-the-fly search capabilities and more conventional search capabilities. In either case, the search engine 125 may perform a preliminary database access function to determine if the user has access to the database 12. The search engine 125 also determines the database schema to decide if the schema is compatible with the user's data processing system. If the database schema is not compatible with the user's processing system, the search engine 125 may attempt to perform necessary translations so that the user at the terminal 14 may access and view data in the database 12. Alternatively, the search engine 125 may provide a prompt for the user indicating incompatibility between the terminal 14 and a selected database.

The search engine 125 may conduct a search using one or more search cycles. A search cycle includes receipt of a request 114, any necessary formatting of the request 114, and any necessary truncation steps. The search cycle ends when a result list 175 is provided to the terminal 14. The search engine 125 may retain a status of each past and current search cycle so that the user can modify the search at a later time. The user may also use this feature of retaining a status of past and current search cycles to combine results of multiple searches, using, for example, a Boolean AND function, a Boolean OR function, or other logic function. The above listed functions will be described in more detail later.

The search-on-the-fly function of the search engine 125 begins by determining available data fields of the database 12. The database 12 may have its data organized in one or more data fields, tables, or other structures, and each such data field may be identified by a data field descriptor. In many cases, the data field descriptor includes enough text for the user at the terminal 14 to determine the general contents of the data field. The list of data fields may then be presented at the terminal 14, for example, in a pull down list. An example of such a data field result list is shown in Figure 4, which is from a federal database showing data related to managed health care organizations. This database is available at http://tobaccopapers.org/dnld.htm. In Figure 4, the first data field listed is "PlanType," which is shown in result list 156. Other data field descriptors show the general categories of data in the database.

Using the terminal 14, the user may select one of the data field descriptors to be searched. For example, the user could select "city." If a number of entries, or records, in the city data field is short, a further result list of complete city names may be displayed. If the entries are too numerous to be displayed within a standard screen size, for example, the search engine 125 may, in an iterative fashion, attempt to reduce, or truncate, the result list until the result list may be displayed. In the example shown in Figure 4, entries in the city data field are so numerous (the database includes all U.S. cities that have a managed health care organization) that the search engine 125 has produced a result list 157 that shows only a first letter of the city. Based on the available database data fields, the user may then perform a further search-on-the-fly. In this case, the user may choose cities whose first initial is "N." The search engine 125 then returns a result list 158 of cities whose names start with the letter "N." Because in this instance the result list 158 is short, no further truncation is necessary to produce a manageable list.

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Figure 5 is a more detailed block diagram of the request analyzer 130. A protocol analyzer 133 receives the request 114 and provides an output 135 to a constraint collator 136. The protocol analyzer 133 examines the received request 114, determines a format of the request 114, and performs any necessary translations to make the request format compatible with the database to be accessed. If the database to be accessed by the terminal 14 is part of a same computer system as the terminal 14, then the protocol analyzer 133 may not be required to perform any translations or to reformat the request 114. If the database to be accessed is not part of the same computer system as the terminal 14, then the protocol analyzer 133 may be required to reformat the request 114. The reformatting may be needed, for example, when a request 114 is transmitted over a network, such as the Internet, to a database coupled to the network.

The constraint collator 136 provides the updated request 115 (which may be an initial request, or a subsequent request) to the query generator 150. The constraint collator 136 is responsible for interpreting the request 114. The constraint collator 136 performs this function by comparing the request 114 against information stored in the status control 140. In particular, the constraint collator 136 sends the request status control signal 118 to the status control 140 and receives the request status response 119. The constraint collator 136 then compares the request status response 119 to constraint information provided with the request 114 to determine if the constraint status should be updated (e.g., because the request 114 includes a new constraint). In an embodiment, the constraint collator 136 compares constraint information in a current request 114 to constraint information residing in the status control 140, and if the current request 114 includes a new constraint, such as a new narrowing request (for example, when the user clicks, touches or points over a field shown in a last search cycle), then the constraint collator 136 adds the updated information and sends the updated request 115 to the query generator 150. If the constraint status should be updated, the constraint collator 136 sends the status update 118 to the status control 140. If the request 114 is a refresh request, the constraint collator 136 sends a reset command 131 to the database qualifier 160. The updated request 115 (possibly with a new constraint) is then sent to the query analyzer 150 for further processing.

Figure 6 is a block diagram of the query generator 150. The overall functions of the query generator 150 are to scan a database, such as the database 12, using the database driver 170, and to collect search results based on constraints supplied by the request

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analyzer 130. The query generator 150 then returns the search results 175 to the terminal

14.

The query generator 150 includes a truncator 152 and a dispatcher 154. The truncator 152 receives the updated request 115, including a new constraint, if applicable. The truncator 152 creates new queries, based on new constraints, and applies the new requests 151 to the database 12 using the database driver 170. Many different methods of truncating for display or viewing may be used by truncator 152. The truncator 152 may include a variable limit 155 that is set, for example, according to a capacity of the terminal 14 to display the search results 175. If data retrieved from the database 12 exceed the limit value, the truncator 152 adjusts a size (e.g., a number of entries or records) of the data until a displayable result list is achieved. One method of adjusting the size is by cycling (looping). Other methods may also be used to adjust the size of the result list. For example, the terminal 14 may be limited to displaying 20 lines of data (entries, records) from the database 12. The truncator 152 will cycle until the displayed result list is at most 20 lines. In an embodiment, the truncation process used by the truncator 152 assumes that if the user requests all values in a particular data field from the database 12, and there are no other constraints provided with the request 114, and if the size of the resulting result list is larger than some numeric parameter related to a display size of the terminal 14, then the constraints may be modified by the truncator 152 so that the result list can accommodated (e.g., displayed on one page) by the terminal 14. For example, instead of a full name of a city, some part of the name - the first n letters - is checked against the database 12 again, and n is reduced until the result list is small enough for the capacity of the terminal 14. If the maximum number of displayable results is three (3), and the database 12 contains the names of six cities "Armandia, Armonk, New Orleans, New York, Riverhead, Riverdale," then the first attempt to "resolve" the result list will stop after a result list display is created with the full name of the cities:

- 27 Armandia, Armonk, New Orleans... (the limit was reached)
- 28 Try again with 7 characters:
- 29 Armandia, Armonk, New Orl, New Yor, (limit reached again)
- 30 Again with 5 characters:
- 31 Armandia, Armonk, New O, New Y, (limit reached again)
- 32 Again with 3 characters:
- 33 Arm (...), New (...), Riv (...). These results may now be displayed on the terminal 14.
- 34 The display of Arm, New, Riv can then be used to conduct a further search-on-the-fly.

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For example, a user could then select Riv for a further search-on-the-fly. The result list returned would then list two cities, namely Riverhead and Riverdale.

In another embodiment, a fixed format is imposed such that all queries generated against a database will have preset limits corresponding to the capacity of the terminal 14.

In yet another embodiment, the truncator 152 may adjust the field size by division or other means. For example, if the display limit has been reached, the truncator 125 may reduce the field size, X by a specified amount. In an embodiment, X may be divided by two. Alternatively, X may be multiplied by a number less than 1, such as 3/4, for example. Adjusting the field size allows the search engine 125 to perform more focused searches and provides more accurate search results.

In another embodiment, the truncator first attempts to display information without truncation. If that is not appropriate, the truncator may attempt truncation by beginning with one character (26 letters and perhaps 10 digits) and incrementing to two characters and then three, four, until a failure to display is reached.

In still another embodiment, the user may select a limit that will cause the truncator 152 to adjust the field size. For example, the user could specify that a maximum of ten entries should be displayed.

For certain data fields, a terminal of a hand-held device, may have a very limited display capacity. For example, a personal data assistant (POA - see Figure 52) or a cellular phone (see Figure 50) may be used to search a database, with the results displayed on a small screen. Alternatively a user may specify a limit on the number of entries for display. In the illustrated cases, the search engine 125 may return a result list 175 of the request 114 on multiple display pages, and the user may toggle between these multiple display pages. As an example, if the terminal 14 is limited to displaying a maximum of ten entries, and if the request 114 results in a return of a data field comprising the 400 largest cities in the United States, the truncator 152 will produce a list of 23 entries comprising 23 alphabetical characters (no cities that begin with Q, Y or Z see Figure 4). The search engine 125 may then display the results on three pages. Alternatively, the truncator 152 could produce a list of letter groups into which the cities would fall, such as A-D, E-G, H-M, N-R, and R-X, for example. In another alternative, the search engine 125 may send a notice to the terminal that the request 114 cannot be accommodated on the terminal 14 and may prompt the user to add an additional constraint to the request 114, so that a search result may be displayed at the terminal 14.

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Adjusting the data field size also provides more convenient search results for the user. For example, if a user were to access an Internet-based database for books for sale, and were to request a list of all book titles beginning with the letter "F," a common search engine might return several hundred titles or more, displaying perhaps twenty titles (entries) at a time. The user would then have to look through each of many pages to find a desired title. This process could be very time-consuming and expensive. Furthermore, if the search results were too large, the common search engine might return a notice saying the results were too large for display and might prompt the user to select an alternative search request. However, performing the same search using the search engine 125 allows the truncator 152 to reduce the size of the information displayed to a manageable level. In this example, if the request 114 includes the constraint "F," the truncator 152 will loop through the data in a data field that includes book titles starting with the letter "F" until a list is available that can fit within the display limits of the terminal 14, or that fits within a limit set by the user, for example. The first list returned to the terminal 14 as a result of this request 114 may be a two letter combination with "F" as the first letter and a second letter of a book title as the second letter. For example, the fist list may include the entries "Fa," "Fe," "Fi," "Fo," and "Fu," all of which represent titles of books. The user could then select one of the entries "Fa," "Fe," "Fi," "Fo," and "Fu" to perform a further search, continuing the process until one or more desired titles are displayed. An example of a similar truncation result is shown in Figure 14.

When a parameter related to the search results is adequately truncated, the parameter is directed to the dispatcher 154, which retrieves the data from database 12 using the database driver 170. The dispatcher 154 then directs the final, truncated search results 175 back to the terminal 14 as a response to the request 114.

Figure 7 is a block diagram showing the status control 140, which is responsible for monitoring the status of a current search. Due to the nature of the search engine 125, the user can choose any combination of constraints, fields or keywords, including those from past and current search cycles. The status control 140 may keep track of all past cycles of the search, as well as all information necessary to return to any of those past search cycles. The status control 140 includes a status data module 142, and an index module 144. The status data module 142 contains data related to each such search cycle, including the constraint(s) entered during the search cycle, any truncation steps taken, and the results of such truncation, for example. The index module 144 provides access to these data. When the request 114 is being analyzed by the request analyzer 130, the

constraint collator 136 sends a request status query 116 to the index module 144. The status data module 142 contains information related to all past and current search cycles, which are referenced by the index module 144, and delivers a status response 119 for the most recent search cycle to the constraint collator 136. When a new constraint is sent to the query generator 150, the status data module 142 is updated 118 by the constraint collator 136. Specific structures of the request 114, the request status query 116, the status response 119 and the request status control 118 will be provided later.

The status data module 142 may be reset by the database qualifier 160 with all available fields when a refresh function is used. In an embodiment, the refresh function may be used to clear all past search cycles and the current search cycle from the status control 140. In such an event, the search results, such as the search results shown in Figure 4, will no longer be displayed at the terminal 14, and data related to the past and the current search cycles may not be used for future search cycles. In effect, the refresh function may cause the entire search to be discarded. The refresh function may be activated when a user selects a refresh button (see Figure 4) on a displayed result list, or on another portion of a GUI. Alternatively, the refresh function may discard selected search cycles. In this alternative embodiment, the user may, for example, move a cursor to a desired result list from a past search cycle and activate a refresh, reset, back, or drop button. All data associated with search cycles subsequent to the selected search cycle, including all displayed result lists may then be discarded.

Figure 8 is a block diagram showing the database qualifier 160. The database qualifier 160 provides data field information at the start of a search or when the search engine 125 is refreshed. A field assessor 162 access the database 12 using the database driver 170, and identifies and accesses discrete data fields and other information in the database 12. A field converter 164 structures the data field information into a usable (searchable/sortable) structure and sends 163 the formatted data field information to the status control 140. Techniques for identifying and accessing the data fields, and for formatting the data field information are well known in the art. Such techniques are described, for example, in U.S. Patent 5,222,066, Interface for Accessing Multiple Records Stored in Different File System Formats, the disclosure of which is hereby incorporated by reference.

Figure 9 is a block diagram of the database driver 170. The database driver 170 is the universal interface with the database 12, which can be a local or a remote database.

Figure 10 is an example of a search-on-the-fly using the search engine 125. In Figure 10, a database 200 includes information related to a number of individuals. The information in the database 200 may be presented at the terminal 14 using a series of screens or menus 201 - 230. The user first accesses the database 200 and is presented with a list 201 of the information or data fields contained in the database 200. The result list 201 is generated by the field assessor 162, and is provided for display at the terminal 14 by the query generator 150. As shown in Figure 10, a user has selected the data field "City" for display of information. However, the number of "cities" listed in the database 200 is too large to conveniently display at one time (i.e., on one page) at the terminal 14. Accordingly, the truncator 152 will loop a required number of times until an adequate display is available. In Figure 10, the menu 203 shows the results of the truncation with only the first letter of a city name displayed.

Using the menu 203, the user has selected cities beginning with the letter "A." The results are shown in menu 205. Now, the user elects to conduct another search-on-the-fly, by selecting the "sort-on-the-fly" option 206. The query generator 150 displays all the information fields available from the database 200, except for the information field already displayed, namely "City." The results are displayed in menu 207. The user then elects to further search on the data field "State." The query generator 150 returns the requested information as displayed in menu 209, listing five states by their common two-letter abbreviation. The user then chooses New York from the menu 209, and the query generator 150 returns a list of cities in New York, menu 211.

Next, the user elects to conduct another search-on-the-fly, option 212, and the query generator 150 returns only the remaining data fields for display in menu 215. From the menu 215, the user selects "Address" for the next data field to search, and the query generator 150 returns an menu 217 showing only first letters of the address. This signifies that the data field "Address" was too large to be easily displayed on the terminal 14. The user then elects to search on all addresses that begin with "C." The query generator 150 returns a list of addresses by displaying only street names, menu 219.

The user then elects to conduct a further search-on-the-fly, option 220, and the remaining two data fields, "Name" and "Phone" are displayed as options in menu 221. The user selects name, and the query generator returns a further breakdown of the data by last name and by first name, menu 223. This process continues, with further menus being used to select a last name and a first name from the database 200. When the final

selection is made, information from the database 200 related to the individual is displayed in window 230.

In the example shown in Figure 10, the user could have refreshed the search engine 125 at any time, and the search would have recommenced at the beginning. Alternatively, the user could, by simply selecting a prior menu, such as the menu 215, have changed the course of the search. In this alternative, if the user had gone back to the menu 215 and instead of selecting "Address" selected "Phone," then the menus 217 - 229 would be removed from display at the terminal 14, and the search would begin over from the point of the menu 215.

Figures 11 – 15b illustrate exemplary searches of a remote database, such as the database 13 shown in Figure 1. The database in the illustrated example is for an Internet website 232 that sells books. The examples illustrated are based on a Barnes & NobleTM website. In Figure 11, the user has applied the search engine 125 to the website 232 database, and the query generator 150 has returned a list 233 of data fields from which the user may select to access data from the website 232 database. The list 233, and other lists described below, may be displayed as overlays on the website 232. In the example illustrated, the user selects "Title" for the first search cycle. Because the list of titles is too large to easily display at the terminal 14, the truncator 152 loops until an alphanumeric list 234 is created. The list 234 is then returned to the terminal 14. For the next search cycle, the user selects titles that begin with the letter "C." Again, the data field contains too many entries to conveniently display at the terminal 14, and the truncator 152 loops as appropriate until list 235 is created. The process continues with subsequent lists 236 and 237 being returned to the terminal 14.

Figures 12 - 15b illustrate alternate searches that may be completed using the website 232 database.

For the search results shown in Figures 11 - 15b, the status control 140 may iterate as follows:

28 Status Control Started...

29 Key: Title1 Option: Title Level: 1 Filter: Field: Title

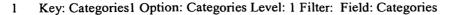
30 Key: A2 Option: A Level: 2 Filter: SUBSTRING([Title],1,1) = 'A' Field:

31 Title

32 Key: AA3 Option: AA Level: 3 Filter: SUBSTRING([Title],1,2) = 'AA'

33 AND SUBSTRING([Title], 1, 1) = 'A' Field: Title

```
Key: F4 Option: F Level: 4 Filter: SUBSTRING([Title],1,1) = 'F' Field:
 1
 2
     Title
                   Key: Fa5 Option: Fa Level: 5 Filter: SUBSTRING([Title],1,2) = 'Fa'
 3
     AND SUBSTRING([Title],1,1) = 'F' Field: Title
 4
 5
                   Key: Favo6 Option: Favo Level: 6 Filter: SUBSTRING([Title],1,4) =
 6
     'Favo' AND SUBSTRING([Title],1,2) = 'Fa' AND SUBSTRING([Title],1,1) = 'F'
 7
     Field: Title
 8
                   Key: C7 Option: C Level: 7 Filter: SUBSTRING([Title],1,1) = 'C' Field:
 9
     Title
10
                   Key: Ce8 Option: Ce Level: 8 Filter: SUBSTRING([Title],1,2) = 'Ce'
     AND SUBSTRING([Title],1,1) = 'C' Field: Title
11
                   Key: Cells Option: Cells Level: 9 Filter: SUBSTRING([Title],1,5) =
12
     'Cells' AND SUBSTRING([Title],1,2) = 'Ce' AND SUBSTRING([Title],1,1) = 'C'
13
14
     Field: Title
                   Key: Cellula10 Option: Cellula Level: 10 Filter: SUBSTRING([Title],1,7)
15
            'Cellula' AND SUBSTRING([Title],1,2) = 'Ce' AND SUBSTRING([Title],1,1)
16
17
     = 'C' Field: Title
18
                   Key: CC11 Option: CC Level: 11 Filter: SUBSTRING([Title],1,2) = 'CC'
19
     AND SUBSTRING([Title],1,1) = 'C' Field: Title
20
            Status Control Terminated.
21
            Figure 15b shows the results for a search for a low-fat cookbook using the search
22
     engine 125 as applied to a remote database. In this example, the remote database is
23
     coupled to a Barnes & Noble web page. The first query, and resulting message strings,
24
     are illustrated by the following:
25
     Query Analyzer
26
     Message Received: ACK
     Status Control: Refresh
27
28
     Dispatcher
29
     Message
                   Sent:
                              Categories --- Title --- Author --- ISBN - SubTitle -- Format -- Date
30
     Published~Stock
                                                                   Status~Recommended
     Age~Pages~Ratings~Price~Retail~Savings~-~Publisher
31
32
     Query Analyzer
33
     Message Received: CLK#0#1#Categories
34
     Status Control received an update:
```



- 2 Query Generator
- 3 Request is not cached, processing
- 4 Generated Query: SELECT DISTINCT [Categories] FROM Books ORDER BY
- 5 [Categories]
- 6 Number of Matching Records: 2032
- 7 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,82) FROM Books
- 8 ORDER BY SUBSTRING([Categories],1,82)
- 9 Number of Matching Records: 2022
- 10 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,61) FROM Books
- 11 ORDER BY SUBSTRING([Categories],1,61)
- 12 Number of Matching Records: 1995
- 13 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,45) FROM Books
- 14 ORDER BY SUBSTRING([Categories],1,45)
- 15 Number of Matching Records: 1751
- 16 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,33) FROM Books
- 17 ORDER BY SUBSTRING([Categories],1,33)
- 18 Number of Matching Records: 1251
- 19 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,24) FROM Books
- 20 ORDER BY SUBSTRING([Categories],1,24)
- 21 Number of Matching Records: 799
- 22 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,18) FROM Books
- 23 ORDER BY SUBSTRING([Categories],1,18)
- 24 Number of Matching Records: 425
- 25 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,13) FROM Books
- 26 ORDER BY SUBSTRING([Categories],1,13)
- 27 Number of Matching Records: 319
- 28 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,9) FROM Books
- 29 ORDER BY SUBSTRING([Categories],1,9)
- 30 Number of Matching Records: 147
- 31 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,8) FROM Books
- 32 ORDER BY SUBSTRING([Categories],1,8)
- 33 Number of Matching Records: 111

- 1 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,7) FROM Books
- 2 ORDER BY SUBSTRING([Categories],1,7)
- 3 Number of Matching Records: 78
- 4 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,6) FROM Books
- 5 ORDER BY SUBSTRING([Categories], 1,6)
- 6 Number of Matching Records: 44
- 7 Generated Query: SELECT DISTINCT SUBSTRING([Categories],1,5) FROM Books
- 8 ORDER BY SUBSTRING([Categories], 1,5)
- 9 Number of Matching Records: 26
- 10 Truncator finished, took 15 seconds to make 13 iterations
- 11 Caching this request...
- 12 Dispatcher
- 13 Message Sent: Afric~Art,
- 14 ~Biogr~Busin~Compu~Cooki~Engin~Enter~Ficti~Histo~Home ~Horro~Kids!~Law:
- 15 ~Medic~Mind,~Nonfi~Paren~Poetr~Refer~Relig~Scien~Small~Sport~Trave~Write~
- 16 Ouery Analyzer
- 17 Message Received: CLKCategories
- In the example illustrated by Figure 15b and the above-listed message strings, an initial request would have returned 2032 book titles for cook books. This number of
- 20 entries may be too large. Accordingly, the truncator 152, through 13 iterations, reduces
- 21 the entries in a result list to 26. The entries in the truncated result list can then be easily
- 22 reviewed by the user, and further searches may be performed to identify a desired book.
- As can be seen in Figure 15b, the user has selected "Categories" as a data field to search.
- 24 As is also shown in Figure 15b, the search engine 125 may display other information
- 25 windows, such as book availability, ordering and shipping information windows. With a
- 26 simple drag-and-drop cursor operation, for example, the user may then order and pay for
- 27 the desired book.
- Figure 16 20 are flow charts illustrating operations of the search engine 125.
- 29 Figure 16 is a flowchart of an overall search routine 250. The process starts in block 251.
- 30 The request analyzer 130 receives the request 114, block 252. The request 114 may be
- 31 made using a hierarchical menu-based display or a graphical user interface, with one or
- 32 more layers. Using either the menu or the GUI, the user may enter specific details by
- 33 typing, selection of iconic symbols or pre-formatted text, and by using well-known data
- 34 entry techniques, for example. The request 114 may also comprise a simple text or voice

query. Use of voice recognition may be particularly useful in mobile environments, and to speed access to the database 12. Use of voice recognition may include simple commands, such as UP, DOWN, and SELECT, to select search terms from a preformatted list that is presented to the user at the terminal 14. More sophisticated use of voice recognition may include actually speaking letters or numbers, or full search terms, such as speaking a key word for a key word search, for example.

The protocol analyzer 133 provides an output 135 to the constraint collator 136, and the constraint collator 136 determines the nature of the request, block 254. If the request 114 is a refresh request (i.e., a command to initiate the refresh function), the constraint collator 136 sends a reset command 131 to the database qualifier 160. The updated request 115 (possibly with a new constraint) is then sent to the query analyzer 150 for further processing, including analyzing the database 12, retrieving field descriptors, and formatting, block 256. The result of the data field descriptor retrieval and formatting are shown as an available data fields result list, block 258, and is returned to the terminal 14, block 260.

In block 254, if the request 114 is not a refresh request, the constraint collator 136 provides the updated request 115 (which may be an initial request, or a subsequent request) to the query generator 150, block 264. The constraint collator 136 compares the request 114 against information stored in the status control 140. In particular, the constraint collator 136 sends the request status control signal 118 to the status control 140 and receives the request status response 119. The constraint collator 136 then compares the request status response 119 to constraint information provided with the request 114 to determine if the constraint status should be updated (e.g., because the request 114 includes a new constraint). If the constraint status should be updated, the constraint collator 136 calls create new constraint subroutine 270, and creates new constraints.

The create new constraints subroutine 270 is shown as a flowchart in Figure 17. The subroutine starts at 272. In block 274, the constraint collator 136 determines if the request is for a sort-on-the-fly operation. If sort-on-the-fly has been selected, field assessor 162 prepares a new set of data fields, block 280. The new set of data fields are then sent to the query generator 150, block 284, and the subroutine 270 ends, block 286.

In block 274, if sort-on-the-fly was not selected, the request analyzer 130 may receive a key word constraint, block 276. The query generator 150 will then generate an input window in which the user may enter a desired key word, block 282. Alternatively, the query generator 150 may prompt the user to enter a key word using voice recognition

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techniques, or any other way of entering data. The process then moves to block 284. In block 276, if a key word search option was not selected, the constraint collator 136 enters the new constraint to the existing list of constraints, block 278. The process then moves to block 284.

Returning to Figure 16, the constraint collator 136 next updates the status control 140, block 290. In block 292, using the updated constraints, the query generator 150 generates a next query of the database 12, block 292. The database driver 170 then extracts the result list from the database 12, according to the latest query, block 294. In block 296, the truncator 152 determines if the result list may be displayed at the terminal 14. If the result list cannot be displayed, the process moves to block 298, and a truncation routine is executed. The process then returns to block 294. If the result list in block 296 is small enough, the result list is provided by the dispatcher 154 to the terminal 14, block 258.

As noted above, the request analyzer 130 determines the nature of the request, including any special commands. A special command may include a command to conduct a search-on-the-fly. Alternatively, the search engine 125 may adopt a search-on-the-fly mechanism as a default value. The search engine 125 also may incorporate other special search commands, such as a Boolean search, for example.

Figures 18 - 20 are flowcharts illustrating alternate truncation subroutines 298. In Figure 18, the subroutine 298 adjusts a size of a data field by decrementing a parameter TP related to entries in a selected data field. For example, if the data field comprises a list of U.S. cities by name, the parameter TP may be the number of alphabetical characters in a name. The results of such a truncation is shown in the example of Figure 4. The subroutine 298 starts at block 301. In block 303, the parameter TP is set to equal a size of the data field being searched. The truncator 152 then determines the list of records sized by the parameter TP, block 305. In block 307, the truncator 152 determines if the result list can be displayed at the terminal 14, the truncator 152 decrements the parameter TP, block 309. Processing then returns to block 305, and the truncator 152 gets a reduced result list using the truncated parameter TP. If the result list can be displayed at the terminal 14, the process moves to block 311 and the subroutine 298 ends.

Figure 19 is a flowchart illustrating an alternate truncation routine 298. The process starts in block 313. In block 315, the truncator 152 sets the parameter TP to a size of the data field being searched. In block 317, the truncator 152 determines the list

of records sized by the parameter TP. In block 319, the truncator 152 determines if the result list can be displayed at the terminal 14. If the result list cannot be displayed, the truncator 152 adjusts the size of the data field by dividing the parameter TP by a set amount, for example, by dividing the parameter TP by two, block 321. Processing then returns to block 317, and repeats. If the result list can be displayed at the terminal 14, the process moves to block 323 and the subroutine 298' ends.

Figure 20 shows yet another alternative truncation subroutine 298" The process starts in block 325. In block 327, the truncator 152 sets the parameter TP to equal the size of the data field being searched. In block 329, the truncator 152 determines the list of records sized by the parameter TP. The truncator 152 then determines if the result list can be displayed at the terminal 14, block 331. If the result list cannot be displayed at the terminal 14, the truncator 152 determines if the parameter TP is less then ten, block 333. If the parameter TP is not less than ten, the truncator 152 adjusts the parameter TP by multiplying the parameter TP by a number less than one, block 337. In an embodiment, the number may be 3/4. The process then returns to block 329 and repeats. In block 333, if the value of the parameter TP is less than ten, the truncator 152 decrements the parameter TP by one, block 335. Processing then returns to block 329 and repeats. In block 331, if the list can be displayed at the terminal 14, the process moves to block 339 and the subroutine 298"ends.

The examples illustrated in Figures 18 - 20 are but a few examples of the truncations subroutine. One of ordinary skill in the art could conceive of other methods to adjust the field size. In addition to using a truncation subroutine, the user may specify a limit for the field size.

As noted above, the search engine 125 may be used for multiple searches and may be used to search multiple databases, including databases with different schemas. The results of individual searches, including the control data provided in the status control 140, are saved. The search engine 125 may then be used to further sort (search), or otherwise operate on, the results of these multiple searches. In an embodiment, the search engine 125 may perform a Boolean AND operation on two search results. The result of the Boolean AND operation would be a list of records, or entries, that are common to the two search results. Figure 21 illustrates such a Boolean AND operation.

In Figure 21, a GUI 400 displays local database selections 410, including a database of recordings (compact discs - CDs) 412 and a database of contacts 414. The databases 412 and 414 may be shown by text descriptions and an appropriate icon, for

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example. The database selections in this example are resident on a user's terminal, such as the terminal 14 shown in Figure 1. Also displayed on the GUI 400 is a remote database selection 420 that represents databases, such as the databases 13 and 15 shown in Figure 1, that are located remotely from the terminal 14. In the example shown in Figure 21, the remote database selection 420 includes a database 422 for online record sales, which is represented by an icon (a CD) and a text title of the online retailer. The remote databases shown in the remote database selection 420 may include those databases for which the user has already established a link. In the example shown, the user may already have entered an Internet address for the online retailer. In addition to any returned web pages from the online retailer, the terminal 14 may then display a representation of the database 422.

Continuing with the example, the user may use the search engine 125 to conduct a search-on-the-fly of the recordings database 412 and the Virgin RecordsTM database 422. The user may search both databases 412 and 422 for titles of recordings that are classified as "blues." The search engine 125 may return search results 416 and 424 for searches of both databases 412 and 422, respectively. The search results 416 and 424 may be displayed in a window section 430 of the GUI 400. The results 416 and 424 may also be represented by CD icons, such as the icons 432 and 434. The search results 416 and 424 may be stored as lists in one or more temporary databases, as represented by the windows 417 and 427. The search results 416 and 424 may also be stored in a scratch pad database 418. At this point, the user may wish to determine which recordings from the list 424 are contained in the list 416. The search engine may support this function by performing a Boolean AND operation of the lists 416 and 424. The results of the Boolean AND operation are represented by the icon 436 displayed in the window 430. To execute the Boolean AND operation, the user may simply drag the icon 432 over the icon 434, and then select AND from a pop-up menu 438 that appears when the icons 432 and 434 intersect. Other techniques to execute the Boolean AND (or another Boolean function) may include typing in a command in a window, using voice recognition techniques, and other methods. In addition, other Boolean functions may be used.

The result represented by the icon 436 of the Boolean AND operation may then be stored in a database at the terminal 14, such as in the scratch pad database 418 or may be stored at another location. The result may then be subjected to further search-on-the-fly operations.

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Also shown in Figure 21 is an online-purchase module 435 that may be used to consummate purchase of a product referenced in an online database such as the database 422. To initiate such a purchase, the user may drag an iconic or text representation of a desired product listed in the search result 424 over an icon 436 in the online-purchase module 435. This drag-and-drop overlaying these icon may initiate and complete the online purchase for the desired product.

Use of the search engine 125 may be facilitated by one or more GUIs that are displayed on the terminal 14. Figures 22 - 26 are examples of such GUIs. In Figure 22, a GUI 450 includes a display section 452 and one or more database sections such as local database section 470 and remote database section 460. The local database section 470 includes databases local to the terminal 14. In the example shown, the local databases include a patients database 472, a general contacts database 474, a pharmacy database 476, a medicines database 478 and a scratch pad database 480. The remote databases include an Amazon.com database 462, an online record retailer database 464, a Physician's Desk Reference database 466 and an American Medical Association (AMA) online database 468. The remote and local databases may be represented by a text title and an icon, both contained in a small window as shown. A user may access one of the remote or local databases by moving a cursor over the desired window and then selecting the database. In the example shown, the local medicines database 478 has been selected, and a list 490 of data fields in the medicines database 478 is displayed in the display section 452. Also included on the display section 452 is a keyword button 492 that may be used to initiate a key word search of the medicines database 478.

Figure 23 shows the GUI 450 with a user selection of a category data field from the list 490. The category data field is indicated as selected by an arrow adjacent to the data field name. When the category data field is selected, a category list 494 is displayed on display section 452. The category list 494 includes four entries, as shown.

The user may continue to search the medicines database 478 using key word techniques and search-on-the-fly techniques. Figure 24 shows the GUI 450 with results of several search cycles displayed.

Figure 25 illustrates a search of the PDR database 466. Such a search may be initiated by dragging a cursor to the window having the PDR 466 symbol (text or icon), and then operating a "select" button. Figure 26 shows a search of the Amazon database 462. This search may also be initiated by a "drag-and-drop" operation.

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The SOTF search engine 125 may accommodate merging of one or more sets of search results. The multiple search results may be derived from a common database, or from more than one database. A search using the search engine 125 may be controlled through a user interface by one or more icons that can represent (1) filters or (2) the images of filters. Thus, the icon may represent spatial or temporal attributes, or sets of objects or procedures. Merging the icons thus has two interpretations corresponding to (1) and (2): either filters are added ("apply every filter in every icon to every image to which it can be applied"), or image sets are added. In an alternative embodiment, the addition (union or join) operator may be any other relational operator, e.g. divide, difference.

Use of the merge function may be explained by reference to Boolean lattices. A collection of entities can have attributes A or B or both. If {A} is the set of all A entities and {B} is the set of all B entities; the set whose elements all possess both attributes A and B may now be written {A and B}, and the set whose elements all possess either attribute A or attribute B or both may be written {A or B}. The elements of {A and B} can be considered to possess a new, less inclusive or specific attribute C, and the elements of {A or B} to possess a new, more inclusive or general attribute D. In a lattice, the nodes are attributes; the most inclusive attribute (in this case D) is always at the top and is called the join of those attributes (nodes) immediately below it, and the most exclusive attribute (in this case C) is always at the bottom and is called the meet of those attributes (nodes) immediately above it. In other words, the OR operation yields the join of two attributes, while the AND operation yields their meet. Thus, the OR operator is upward or inductive (yielding the more inclusive join of the operands), while the AND operation is downward or deductive (yielding the more exclusive meet of the operands). The nodal attributes of such a lattice are analogous to filters; but since a principle called CF duality states that attributes and sets are to some extent interchangeable because every attribute characterizes a set and every set is characterized by an attribute, these attributes are logically equivalent to the sets they characterize.

In an example optical context, the downward AND operator corresponds to stacking colored filters, while the upward OR operator corresponds to mixing colored paints or filters. In color optics, stacking and unstacking colored lenses is called a subtractive process, while mixing or unmixing paints is called an additive process. Unfortunately, while combining or "adding" filters is subtractive with respect to the sets they characterize, it is additive with respect to the filters themselves, and adding sets is

subtractive with respect to the filters. So it is better to refer to operations among attributes (filters, lenses, etc.) as "filtrative" or "infonegative, and to those among sets (paints, lights, etc.) as "constructive" or "infopositive". CF duality can now be rephrased as follows: every infonegative entity (attribute) descriptively characterizes an associated infopositive entity (set/object), and every infopositive entity instantiates or is descriptively characterized by an associated infonegative entity.

The search engine 125 may include iconization (iconic representation) of an algebra or calculus of relations defined on Boolean lattices. This representation begins with a set of primitive icons extracted from base tables and defines new icons (derived tables, virtual databases) by means of simple user-executed operations. The icons can be effortlessly translated into lists of data corresponding to the icons, and it is these lists that comprise the real substance of any search procedure.

When search chains are branched into to chains A and B, the filters subsequently applied to each chain can be the same or different, and merging can signify any of two or more Boolean relationships (relational operations) defined on a relational database. Specifically, when chains merge, sets of filters can be added or intersected. Since filters are constraints, adding them amounts to intersecting their images, while adding their images amounts to intersecting the filters (infopositive-infonegative distinction). Equivalently, one may consider positive and negative filters effecting deduction and induction respectively; the filters are descriptive, while the images are substantive. The extent to which the images of filters can intersect depends on the commonality (predicative non-exclusivity) of domains. Icon algebras (of iconic operators) are "object-oriented" on the GUI level; they are UI extensions of the innate object-orientation of relational databases themselves, wherein the objects are records, attributes, tables, virtual databases and so on, and the operations are those of any relational algebra.

The looping and merging of search chains is to some extent algebraic. First, since actual topology is being changed, such transformations do not directly form a topological homeomorphism group; the algebra remains Boolean, and the "homeomorphism" is defined on the operator graph of the Boolean algebra (of which the <u>initial</u> search tree is generally only a subspace). Icons representing sets of nested predicates are "Boolean objects"; when decision chains converge or diverge, objects merge or split, and these objects represent (combinatorially) unique search paths. Thus, operations among paths can be reduced to operations among objects; e.g., regress-diverge is just an object-splitting operation. Continuous looping applies "inverse deductive filters" to achieve

induction by descriptive intersection of filter constraints, permitting the retrograde convergence of paths to identical ancestral objects (inductive merging of objects), while inductive looping is just direct regression to an ancestral object preparatory to splitting it and thus effecting divergence of paths (deductive splitting of objects). Deductive convergence of paths is "natural" if iconic image sets intersect and "forced" if not; if natural, then there has been non-exclusivity of subobjects, and paths are not unique (even though identical filters can apply to divergent paths without impairing uniqueness). So all deductive merging is forced, and this entails a decision regarding which filters are to be conserved and which discarded. Any such operation will effectively "rewrite the paths", and doing this optimally is NP-complete.

More specifically, icons are subject to CF duality. The merge control thus has a "switch" toggling between "Qualities / Objects". When the switch is in the "qualities" position, merging icons performs a qualified deductive conjunction of filters and yields a set intersect; when it is in the "objects" position, merging the icons performs a disjunction of filters and an inductive union of sets, yielding a more general attribute (the general qualities created by the object-merge operation will be produced by sets of filters applied disjunctively). The search engine 125 is therefore capable of inductive and deductive information processing. A quality-merge in which filters do not cross the line between composite icons equates to an object merge; the set thus selected is characterized by a more general quality which amounts to the descriptive (filtrative) union. There is also a modified quality-merge in which filters in either icon applicable to both iconized sets are applied to both, thus crossing the line between icons. In this case, a true merging of paths occurs, as opposed to path icons. The search engine 125 allows users to choose which filters are to cross the inter-icon line and which are not, resulting in complex Boolean expressions and the sets they characterize (determining consistency of complex expressions can amount to LSAT; sets of inconsistent expressions will simply yield a null return.

Icons may reside in the first menu box to appear, being transferred from menu to menu as the path is generated and filters are accumulated. When a direct regress occurs, the path is regarded as "complete" and is stored in a holding module. Prior to the merging operation, the quality/object switch is set; and icon subfilters or subsets individually displayed. A "lattice navigator" will keep track of position and equivalence, folding the search graph in case a node of the original tree is inductively encountered in the course of an object-merge; otherwise, the icon remains in "internodal space" (which is

 to be regarded as a virtual space realized only in the event that the search tree is nondisjunctive in its nodes and therefore incomplete with respect to the semantic net generated by the tree).

Figure 27 is a flow chart illustrating an alternative operation 600 of the query generator 150 of Figure 6. In the illustrated operation, the query generator 150 is adapted to receive multiple selections of items within a same menu function and within a same merge function. To provide this functionality of the query generatory 150, the request analyzer 130 (see Figure 5) may be adapted to receive a collection of user choices.

The operation 600 begins in block 601. In block 603, the request analyzer 130 receives constraints collected from the constraint collator 136, and the updated request 115, which may be an initial request or a subsequent request, is provided to the query generator 150. In block 605, the query generator 150 determines if the constraints (the request 115) are in the same merge group. If the query generator 150 determines that the request 115 is in the same merge group, the process moves to block 607 and the query generator 150 generates the query with a Boolean AND. If the request is not in the same merge group, the query generator 150 generates the query with a Boolean OR, block 609.

In block 611, the items selected within the same unit are Or'ed and the default truncator may be used depending on the size of the returned items. In block 613, the generated query is executed. In block 615, the number of records to be displayed is checked. If the number is within a specified limit, the process moves to block 617 and the search results are returned for display. The operation 600 then ends, block 625. In block 625, if the number of records to be displayed is too large, the process moves to block 621, and a truncation routine is executed.

The truncation routine may be any of the previously-described truncation routines illustrated in Figures 18-20. Figure 28a illustrates an alternate truncation routine 630. The routine 630 begins in block 631 with the truncator 152 receiving the request 115. In block 633, the truncation is set to the size of the field being viewed on the GUI, and sets the False Flag. The query is then run against the database using the selected truncator, block 635. In block 635, the truncator 152 determines if the number of records that would be retrieved from the database can be displayed on the existing GUI. If the records can be displayed, the process moves to block 639, and the truncator 152 determines if the Flag is set False. If the Flag is set False, the process moves to block 653 and the records are returned (displayed on the GUI). The process then ends, block 655. In block 637, if the number of records exceeds the display size of the GUI, the status of the Flag is

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checked as False. If false, the truncator is set to 1, and the flag is set to true, block 647, and the process returns to block 635. If in block 637. If the flag is not set false, the process moves to block 651, and saved records are retrieved. The retrieved records are then displayed, block 653.

In block 639, if the Flag is not set to false, the retrieved records are saved, and the truncator 152 is incremented. The process then returns to block 635.

Figure 28b illustrates another alternative truncation routine 700. In block 701, the truncator 152 receives the constraints, the view by field and the maximum of number of display items (MNDI). In block 702, the truncation is set to zero (no trunction), and the Flag is set to True. Next, the query is generated in block 702. In block 703a, query generator receives the constraints, the view by field, and the truncator as parameters, and the query generator returns the query. The query is then run against the database, and the counter is set to zero, block 704. In block 705, the truncator 152 fetches the next record and increments the counter. If the end of file is reached, block 706, and the truncation equals zero, block 710, the truncator 152 sends the list of fields to the client, block 712. However, if the truncation is not zero, block 710, the truncator 152 is incremented, block 709, and the process returns to block 703. On the other hand, if the end of file is not reached, block 706, and the counter is smaller than MNDI, block 707, the process goes back to block 705, in which the truncator 152 fetches the next record and increments the counter. However, if the counter is larger than MNDI, block 707, and the saved list of fields exist, block 708, the truncator sends the list to the client, block 712. Conversely, if the saved list of fields do not exist, block 708, the truncator 152 is incremented, block 709, and the process goes back to block 703 again.

Table 1 illustrates an example of the alternate truncation routine 700. This routine begins by attempting not to truncate the records.

1 Table 1

	Records		1st Round		2nd Round	ì	3 rd Round
1	Armandia	1	Armandia	1	Α	1	AR
2	Armonk	2	Armonk	2	N	2	NE
3	Armonk	3	New Orleans	3	R	3	RI
4	New Orleans	4	New York			4	RO
5	New Orleans					,	
6	New York						
7	New York						
8	New York						
9	Riverdale						
10	Riverdale						
11	Riverdale						
12	Rockfort						

In this example, the maximum number (n) of displayable results is three, and the database contains twelve instances of six different cities. First, the database is queried for the full city field with no truncation, and records are fetched. Records are fetched until four (n+1) records are fetched from the database. Since the number of different cities (4) is greater than n, fetching is halted and the process moves to truncation. Then the database is queried for only the first letter of the cities (truncation is incremented so that it equals one). For this query the database manager may simply review its index. The compiled list from the query is saved as "A", "N", and "R". Next, the database is queried for the first two letters of the city field (truncation is incremented so that it equals two). Again, the database manager may simply review its index to locate this information about the data field. This query for two letters or characters is continued until the number of two letter combinations exceeds n. When the number of different combinations (4) is again greater than n, the routine halts and nothing is saved. The system now returns to the previous saved list. Therefore, the saved list ("A", "N", and "R") is returned to the client for display or process.

Figures 29 - 38 illustrate graphical user interfaces and search on the fly results using the search engine 125 with a merge function. In Figure 29, a search of a patent database has been executed to search for patents by primary examiner. The Primary Examiner results table lists the arabic numerals 0 - 7 and the letters A-Z, indicating that the database contains names of primary examiners beginning with these numerals/letters. To quickly narrow the search, the user selects the letter O, and results are returned listing last and first names all primary examiners whose last name begins with O. As can be seen by the returned results, the database lists several primary examiner instances of O'Dea. This could indicate an error in the database. The search engine 125 allows these

errors to be detected and corrected. The correction may be made by selecting the incorrect instances, right-clicking the correct instance, and then choosing a 'correct all other's based on this instance" function.

Figure 30 shows how multiple-select capabilities of the search engine 125 may be used to enhance a search. In the illustrated example, the user searches for 3M Company. Different versions of the company name are then displayed with the returned results. In this way, the user may select the different versions of the company that the user wants to use for the search. The pop-up pane shows a current status control for the GUI.

Figure 31 shows the results of subsequent menus showing the aggregation, or merge, of two previous constraints, "3m" and 3-M." Figure 32 shows a merge execution. The user first selects the '3-M" and the "3M" company names using the check boxes in the previous menu. The user then selects the merge option, placing the menu on hold, and going to the "M", "MIN" and "MINNESOTA M" menus. The merge option is then selected on the menu and the merged menu is displayed showing the merge of searches between "3M" and "Minnesota Mining and Manufacturing Co." Figures 32 - 36 show other search engine 125 features including data mining and database correction.

Figures 37 - 39 show the results of a full text search of a patent database using the keyword "encryption" and searching on all fields. The initial search results are truncated to display by first letter/numeral of the patent title. From this intermediate search result menu, the user selects all patents whose title begins with the letter "E", and a subsequent search result menu is displayed listing partial titles of all such patents. From the next intermediate list, the user selects the patent whose title begins "Electronic copy protection mechanis." (see Figure 38) The search engine 125 then returns this specific patent, the first page of which is shown in Figure 39. The displayed patent includes the keyword "encryption" highlighted wherever it occurs. The display also indicates the number of instances of the keyword in the patent.

Figures 40-49 illustrates additional search results.

In the examples shown in Figures 37-49, search results are displayed on a "large-format" screen, such as available with a desktop personal computer. When a user is in a mobile environment (e.g., on foot, in a car) the user may still be able to access the search-on-the-fly search engine and have search results returned to a mobile display device such as a cellular telephone or a personal data assistant.

Figure 50 illustrates a standard cellular telephone 800 that may use the search-onthe-fly search engine 125. The cellular telephone 800 includes a display 801, a keypad

802, and other controls 803 that may be used to navigate one or more data buses using the search-on-the-fly search engine 125.

Figure 51 illustrates a personal data assistant (PDA) 800 that may use the search-on-the-fly search engine 125. The PDA 800 includes a display area 811 and an input area 812.

Figures 52a – 52l illustrate a search sequence using the cellular telephone 800 configured to use the search-on-the-fly search engine 125. In the example illustrated, the U.S. Patent and Trademark Office patent database is selected. Using the cellular telephone 800, the user conducts a search of the U.S. Patent and Trademark Office database using a series of filters. Each time a filter is applied, a search result may be returned and displayed on the display 801. Using the controls 802, the user may add or subtract filters. The display 801 shows the accumulative result of the filtering process. When the data to be returned is too large to fit the display 801, the returned data may be truncated as illustrated in Figures 52f-52k.

Figure 53 illustrates a general purpose personal computer system 850 that may be used for search-on-the-fly of a plurality of databases. The system 850 includes a processor section 851, a display and a control section coupled to the processor section 851, and a computer readable medium 855, which may be read by components of the processor section 851. The computer readable medium 855 may include the software routine required to implement the search-on-the-fly with merge function method.

In specific embodiments, the search engine 125 is implemented as a program executed on a general purpose computer, such as a personal computer. The search engine may also be implemented as a routine attached to a database structure. In addition, the search engine may be implemented on any processor capable of executing the routines of the program. In alternative embodiments, the search engine 125 may be implemented as a single special purpose integrated circuit (e.g., ASIC) having a main or central processor section for overall, system level control, and separate circuits dedicated to performing various different specific functions, computations and other processes under control of the central processor section. Those of ordinary skill in the art will appreciate that the search engine 125 may also be implemented using a plurality of separated dedicated or programmable integrated circuits, or other electronic circuits or devices (e.g., hardwired electronic or logic circuits such as discrete elements circuits, or programmable logic devices, such as PLDs, PLAs, or PALs). In general, any device or assembly of devices

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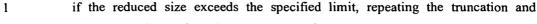
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on which a finite state machine capable of implementing flowcharts similar to the flowcharts of Figures 16 - 20, 27 and 28 can be used to implement the search engine 125.

While using search on the fly has been described in detail for an end result of printing, viewing or displaying data, search on the fly can be useful for other purposes. Search on the fly does not require obtaining the underlying data in the database or the display of the underlying data to be useful. Search on the fly can be used for gathering information or characteristics about data in a database with or without downloading the data itself. This gathered information about the data can be used to analyze the data, sorting, correct or clean data, verifications and confirmations. For example, search on the fly can be used to determine whether there is existing data in a database within certain ranges or parameters (date ranges, numerical, alphanumerical and other characteristics). If there is data within certain parameters, the number of datapoints within those parameters can also be determined. This information about the data can be gathered using search on the fly with queries to the database manager (which may simply need to query its index and not access the data itself). Another example is correcting data. Data may need to be corrected or cleaned for various reasons including spelling errors. Search on the fly can locate these errors without necessarily accessing and downloading the data itself. Certain combinations of characters or truncations will be obvious spelling errors. Also, data that is out of range can be located and corrected or eliminated from the database using search on the fly. Another example is data from one database can be confirmed or verified against data in a second database using search on the fly. Those skilled in the art will find many uses and specific applications for search on the fly.

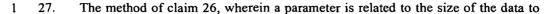
The terms and descriptions used herein are set forth by way of illustration only and are not meant as limitations. Those skilled in the art will recognize that many variations are possible within the spirit and scope of the invention as defined in the following claims, and there equivalents, in which all terms are to be understood in their broadest possible sense unless otherwise indicated.

1	In the	claims:
2	1.	A method for displaying data comprising:
3		determining a database schema for a database;
4		providing a list of database fields, wherein the list includes a descriptor indicating
5	a data	category;
6		receiving a search selection for a database field on the provided list of database
7	fields	;
8		determining a quantity of entries in the selected database field;
9		if the quantity exceed a specified amount,
10		truncating data, and
11		displaying the truncated data; and
12		if the quantity does not exceed the specified amount, displaying content from the
13	databa	ase field.
14	2.	The method of claim 1, further comprising providing a key word search.
15	3.	A method for formatting data for display, comprising:
16		generating a list of data fields;
17		receiving a first data field selection from the list of data fields;
18		determining a first quantity indicative of a number of entries of the selected data
19	field;	
20		if the first quantity exceeds a specified limit, reducing a size of data to be
21	displa	yed from the selected data field; and
22		displaying data from the selected data field.
23	4.	The method of claim 3, wherein the specified limit is fixed.
24	5.	The method of claim 3, wherein the specified limit is variable.
25	6.	The method of claim 3, wherein the data are displayed on a terminal, and wherein
26	the sp	ecified limit is determined dynamically, based on a characteristic of the terminal.
27	7.	The method of claim 3, wherein the specified limit is a user-determined limit.
28	8.	The method of claim 3, wherein the method for reducing the size of the data to be
29	displa	yed from the selected data field comprises:
30		performing a truncation that reduces the size of the data to be displayed from the
31	select	ed data field;
32		comparing the reduced size to the specified limit; and



- 2 comparing steps until the size of the data to be displayed from the selected data field is
- 3 less than or equal to the specified limit.
- 4 9. The method of claim 8, wherein a parameter is related to the size of the data to be
- 5 displayed from the selected data field, and wherein the truncation comprises
- 6 decrementing the parameter.
- 7 10. The method of claim 9, wherein the parameter is decremented or incremented by a
- 8 value of one.
- 9 11. The method of claim 8, wherein a parameter is related to the size of the data to be
- 10 displayed from the selected data field, and wherein the truncation comprises dividing the
- 11 parameter by a value.
- 12 12. The method of claim 11, wherein the value is two.
- 13. The method of claim 8, wherein a parameter is related to the size of the data to be
- 14 displayed from the selected data field, and wherein the truncation comprises multiplying
- 15 the parameter by a value.
- 16 14. The method of claim 3, further comprising:
- 17 receiving a first constraint, wherein the first constraint is related to a data element
- 18 in a data field; and
- receiving one or more subsequent constraints, wherein search results are generated
- 20 based on a combination of the first and the one or more subsequent constraints.
- 21 15. A method for searching a database, comprising:
- selecting a first search term;
- sending the first search term to a search engine;
- 24 receiving a first search result;
- 25 selecting and sending a second search term to the search engine; and
- 26 receiving a second search result, wherein the second search results represents a
- 27 combination of the first and the second search terms.
- 28 16. The method of claim 15, further comprising:
- 29 selecting and sending a third search term to the search engine;
- dropping a prior search term, wherein the dropped prior search term in one of the
- 31 first and the second search terms; and
- 32 receiving a third search result comprising a combination of the third search term
- and one of the first and the second search terms.

- 1 17. The method of claim 15, wherein the first search term is directed to a first
- 2 database and wherein the second search term is directed to a second database.
- 3 18. The method of claim 15, wherein the first search result is displayed as a truncated
- 4 result list.
- 5 19. The method of claim 18, further comprising specifying a size of the truncation.
- 6 20. A method for searching a database, comprising:
- 7 generating a list of data fields;
- 8 receiving a first data field selection from the list of data fields;
- 9 receiving a first constraint, wherein the first constraint is related to a data element
- in a data field; and
- 11 receiving one or more subsequent constraints, wherein search results are generated
- 12 based on a combination of the first and the one or more subsequent constraints.
- 13 21. The method of claim 20, further comprising:
- determining a first quantity indicative of a number of entries of the selected data
- 15 field;
- if the first quantity exceeds a specified limit, reducing a size of data to be
- 17 displayed from the selected data field; and
- displaying data from the selected data field.
- 19 22. The method of claim 21, wherein the specified limit is fixed.
- 20 23. The method of claim 21, wherein the specified limit is variable.
- 21 24. The method of claim 21, wherein the data are displayed on a terminal, and
- 22 wherein the specified limit is determined dynamically, based on a characteristic of the
- 23 terminal.
- 24 25. The method of claim 21, wherein the specified limit is a user-determined limit.
- 25 26. The method of claim 21, wherein the method for reducing the size of the data to
- 26 be displayed from the selected data field comprises:
- 27 performing a truncation that reduces the size of the data to be displayed from the
- 28 selected data field;
- 29 comparing the reduced size to the specified limit; and
- 30 if the reduced size exceeds the specified limit, repeating the truncation and
- 31 comparing steps until the size of the data to be displayed from the selected data field is
- 32 less than or equal to the specified limit.



- 2 be displayed from the selected data field, and wherein the truncation comprises
- 3 decrementing or incrementing the parameter.
- 4 28. The method of claim 27, wherein the parameter is decremented or incremented by
- 5 a value of one.
- 6 29. The method of claim 26, wherein a parameter is related to the size of the data to
- 7 be displayed from the selected data field, and wherein the truncation comprises dividing
- 8 the parameter by a value.
- 9 30. The method of claim 29, wherein the value is two.
- 10 31. The method of claim 26, wherein a parameter is related to the size of the data to
- 11 be displayed from the selected data field, and wherein the truncation comprises
- multiplying the parameter by a value.
- 13 32. A method for providing search functions in one or more databases, comprising:
- 14 receiving a first search term;
- searching at least a first database using the first search term;
- returning a first search result, wherein the first search result comprises a first list
- 17 of elements in the first database;
- 18 receiving a second search term;
- conducting a second search by applying the second search term to one of the first
- 20 list of elements and a second database; and
- 21 returning a second search result, wherein the second search results represents a
- 22 combination of the first and the second search terms.
- 23 33. The method of claim 32, further comprising:
- 24 receiving a third search term;
- 25 receiving a signal to drop one of the first and the second search terms;
- dropping the selected one of the first and the second search terms, wherein
- 27 dropping the selected one of the first and the second search terms provides a revised list
- 28 of elements;
- searching one of the revised list of elements and one of the second or subsequent
- 30 databases using the third search term; and
- 31 returning a third list of elements comprising a combination of the third search
- term and the non-selected one of the first and the second search terms.
- 33 34. The method of claim 32, wherein the first search result is returned as a truncated
- 34 list of elements.

1	35.	A method for navigating one or more databases, comprising:
2		receiving a first attribute associated with elements in one or more of the databases,
3	where	in the first attribute comprises a first search term;
4		retuning a first search result based on the first attribute;
5		receiving a second attribute associated with elements in one or more of the
6	databa	ses, wherein the second attributes comprises a second search term;

- 7 generating a second search result based on the second attribute;
- 8 merging the first and the second search results to provide a merged search result;
- 9 and
- 10 returning the merged search result.
- 11 36. The method of claim 35, further comprising:
- truncating the merged search result based on a display size of a device receiving
- 13 the merged search result.
- 14 37. A method for retrieving data from one or more databases; comprising:
- receiving a first constraint, wherein the first constraint relates to a first data attribute;
- receiving a second constraint, wherein the second constraint relates to a second data attribute;
- determining if the first and the second constraint are in a same merge group;
- 20 generating a database query based on the determining step; and
- 21 returning a first merged search result.
- 22 38. The method of claim 37, wherein the first and the second constraints are in the
- 23 same merge group, further comprising:
- 24 generating a Boolean AND as the database query.
- 25 39. The method of claim 37, wherein the first and the second constraint are in
- 26 different merge groups, further comprising:
- generating a Boolean OR as the database query.
- 28 40. The method of claim 37, wherein the first and the second constraints are recovered
- 29 using a wireless connector, and wherein the first merged search result is returned using
- 30 the wireless connection.
- 31 41. A method for searching one or more databases, wherein each of the one or more
- 32 databases comprises a plurality of fields, comprising:
- 33 getting a first list of fields of a first database;

1	applying a first filter to the final list of fields, wherein the final filter comprises a
2	first search constraint;
3	applying a second filter to the first list of fields, wherein the second filter
4	comprises a second search constraint;
5	applying a third filter to the first list of filters, wherein the third filter comprises a
6	third search constraint;
7	removing at least one of the first, second and third filters, whereby a search result
8	is generated; and
. 9	displaying the search result.

ABSTRACT

Sort-on-the-Fly/Search-on-the-Fly data retrieval or analysis provides an intuitive means for accessing databases, allowing a user to access or obtain information about data in the database without having to know anything about the database structure. A user selects a desired term, and the method or apparatus delivers all instances of the desired term, even if a specific file or table does not contain the instance. The database need not have a specific file (in a flat database) or a table (in a relational database) of names. The user may specify other criteria, or constraints to narrow the search results, or for other reasons. The method or apparatus then conducts further analysis or searching using this criteria and produces a second result. Further narrowing or broadening of the process is permitted, with search-on-the-fly returning results based on any new constraints. If the returned information would be too large to be conveniently displayed at a terminal, the process executes a truncation routine so that the returned data is easily displayed.

Docket No. 5607

Declaration and Power of Attorney For Patent Application English Language Declaration

As a below an adviventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled SEARCH-ON-THE-FLY WITH MERGE FUNCTION

the specification of whi	ich			
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☐ is attached hereto.				
	ıst 24, 2001	as United States Ap	olication No	or PCT International
Application Numbe	er 09/935,565			
and was amended	on			
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I	hereby	claim	the	benefit	under	35	U.S.C.	Section	119(e)	of	any	United	States	provisiona
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60/227,305	August 24, 2000
(Application Serial No.)	(Filing Date)
(Application Serial No.)	(Filing Date)
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number) All Attorneys listed under Customer Number 27082 Send Correspondence to: Dorsey & Whitney LLP Ō 1001 Pennsylvania Avenue, N.W., Suite 300 South Washington, D.C. 20004 Direct Telephone Calls to: (name and telephone number) M John K. Harrop (202) 824-8800/(703) 288-5247 M n **E**: Full name of sole or first inventor Joseph DE BELLIS Sole or first inventor's sig Į4 W Southampton, New York 11968 Citizenship U.S.A. Post Office Address N/A Full name of second inventor, if any Date Second inventor's signature Residence Citizenship Post Office Address

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Bib Data Sheet

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SERIAL NUMBER 09/935,565	FILING DATE 08/24/2001 RULE	(CLASS 707	GRO	UP AR ⁻ 2177	T UNIT		ATTORNEY OCKET NO. 5607	
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