Docket No. 17188

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

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TO THE COMMISSIONER FOR PATENTS Mail Stop Patent Application

P.O. Box 1450 Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

SYSTEM AND METHOD FOR INSTANT VoIP MESSAGING

And invented by:

MICHAEL J. ROJAS

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior application No.:

Which is a:

C ntinuation Divisional Continuation-in-part (CIP) of prior application No.:

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Enclosed are: Application Elements

- 1. X Filing fee as calculated and transmitted as described below
- 2.
 Specification having 75 pages and including the following:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

- a.

 Descriptive Title of the Invention
- b.

 Cross References to Related Applications (if applicable)
- c.

 Statement Regarding Federally-sponsored Research/Development (if applicable)
- d.

 Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
- e.

 Background of the Invention
- f.

 Brief Summary of the Invention
- g.

 Brief Description of the Drawings (if filed)
- h.

 Detailed Description
- i.

 Claim(s) as Classified Below
- j. Abstract of the Disclosure

P01USML/REV06

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 17188

Total Pages in this Submission

	Application Elements (Continued)						
3.	X	Dra	ring(s) (when necessary as prescribed by 35 USC 113)				
	a.	X	Formal Number of Sheets 9				
	b.		Informal Number of Sheets				
4.	X	Oat	or Declaration				
	a.	X	Newly executed (original or copy)				
	b.		Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application	only)			
	C.	X	With Power of Attorney				
	d.		<u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).				
5.	Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.						
6.		CD	ROM or CD-R in duplicate, large table or Computer Program (Appendix)				
7.			cation Data Sheet (See 37 CFR 1.76)	n			
8.		Nuc	eotide and/or Amino Acid Sequence Submission (if applicable, all must be included)			
	a.		Computer Readable Form (CFR)				
	b.		Specification Sequence Listing on:				
			i. CD-ROM or CD-R (2 copies); or				
			ii. 🔲 Paper				
	C.		Statement(s) Verifying Identical Paper and Computer Readable Copy				
			Accompanying Application Parts				
9.	X	Ass	gnment Papers (cover sheet & document(s))				
10.		37 (FR 3.73(B) Statement (when there is an assignee)				
11.		_	sh Translation Document (if applicable)				
12.			mation Disclosure Statement/PTO-1449				
13.			minary Amendment				
14.	X		rn Receipt Postcard (MPEP 503) (Should be specifically itemized)				
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		Accompanying Application Parts (Continu d)
17.	X	Applicant claims small entity status. See 37 CFR 1.27.
		☐ (Optional) Small Entity Statement(s) - Specify Number of Statements Submitted:
18.	X	Additional Enclosures (please identify below):
		Assignee: Ayalogic, Inc. Akron, Ohio 44311
		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)
19.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.
		Warning
		An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 17188

Total Pages in this Submission
4

Correspondence Address:

Customer No. 23389

Fee Calculation and Transmittal

CLAIMS AS FILED Fee For #Filed #Allowed #Extra Rate \$504.00 - 20 = \$9.00 **Total Claims** 76 56 \$473.00 Ind p. Claims 14 - 3 = 11 х \$43.00 \$0.00 Multiple Dependent Claims (check if applicable) \$385.00 **BASIC FEE** \$0.00 OTHER FEE (specify purpose) **TOTAL FILING FEE** \$1,362.00 ☐ A check in the amount of to cover the filing fee is enclosed. ☑ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013 SSMP as described below. M Charge the amount of as filing fee. \$1,362.00 Credit any overpayment. Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17. ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b). Dated: December 18, 2003 Paul J. Esatto, Jr. Registration No. 30,749

Page 4 of 4

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Invention:			
SYSTEM AND METHO	D FOR INSTANT VoIP MESSA	GING	
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Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application	for an
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and in	vented by	y :				74
M	ICHAEL	J. ROJAS				22387
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			Арр	lication Elements		
1.	🛛 Fili	ng fee as calculated ar	nd transmitted a	as described below		
2.	⊠ Sp	ecification having	75	pages and i	ncluding the following:	
	a. 🛚	Descriptive Title of the	ne Invention			
	b. 🔲	Cross References to	Related Applic	cations (if applicable)		
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	f. ⊠	Brief Summary of the				
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	g. 🔀	Brief Description of t	ne Drawings (ii	niea)		
	h. 🔀	Detailed Description				
	i. 🔀	Claim(s) as Classifie	d Below			
	j. 🔀	Abstract of the Discle	osure			

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	_	ii. Paper					
	C.						
		Accompanying Application Parts					
9.	X	Assignment Papers (cover sheet & document(s))					
10.		37 CFR 3.73(B) Statement (when there is an assignee)					
11.		English Translation Document (if applicable)					
12.		Information Disclosure Statement/PTO-1449					
13.		Preliminary Amendment					
14.	X	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
15. 16		Certified Copy of Priority Document(s) (if foreign priority is claimed)					
16.	X	Certificate of Mailing					
		☐ First Class ☑ Express Mail (Specify Label No.): EV-244-125-044-US					

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18.	X	Additional Enclosures (please identify below):
		Assignee: Ayalogic, Inc. Akron, Ohio 44311
		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)
19.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.
		Warning
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Applicant(s): MICHAEL . Serial No.		I	17188
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Invention: SYSTEM AND METHOD	FOR INSTANT VoIP MESSA	AGING	
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SYSTEM AND METHOD FOR INSTANT VoIP MESSAGING

BACKGROUND OF THE INVENTION

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Technical Field of the Invention

The present invention generally relates to Internet telephony (IP telephony). More particularly, the present invention is directed to a system and method for enabling local and global instant VoIP messaging over an IP network, such as the Internet, with PSTN support.

Description of the Prior Art

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Traditional telephony is based on a public switched telephone network (i.e., "PSTN"). In the PSTN, a telephone terminal is electrically connected to a conventional or legacy switch. The telephone terminal and the legacy switch communicate via a proprietary protocol, which may be different depending on the vendor of the legacy switch. Circuit switching provides a communication path (i.e., dedicated circuit) for a telephone call from the telephone terminal to another device over the PSTN, including another telephone terminal. During the telephone call, voice communication takes place over that communication path.

An alternative to the PSTN is Voice over Internet Protocol (i.e., "VoIP"),
also known as IP telephony or Internet telephony. In the IP telephony, a VoIP terminal
device is connected to a packet-switched network (e.g., Internet) and voice

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communication from the VoIP terminal device is digitized, packetized and transmitted over the packet-switched network to a destination VoIP terminal device, which reconstructs the packets and audibly plays, stores or otherwise processes the transmission. The VoIP terminal device may be a VoIP telephone or a general-purpose personal computer (PC) enabled for IP telephony. More specifically, the PC is programmed with the software and equipped with audio input/output devices (e.g., a combination of microphone and speaker or a headset) to serve as a VoIP terminal device. The PC so enabled and equipped will herein be referred to as a VoIP terminal device or a VoIP softphone.

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Figure 1 is an illustrative example of a prior art IP telephony system 100. The IP telephony system 100 comprises a packet-switched IP network 102, such as the Internet, which transmits VoIP traffic from and to a plurality of terminal devices 104, 106 and 110. Terminal device 104 is a VoIP softphone that is enabled for IP telephony over the network 102. Terminal device 106 is a VoIP telephone, which is connected to the network 102 via a softswitch 108. The VoIP softswitch 108 is disposed on the packet-switched network (e.g., Internet) 102 between an origination terminal device (such as VoIP softphone 104) and a destination terminal device (such as VoIP telephone 106), and routes packets over the packet-switched IP network 102. The softswitch 108 may also manage and perform administrative functions for the terminal device or devices (e.g., VoIP telephone 106) to which it is connected. Whether the terminal device is a VoIP softphone 104 or a VoIP telephone 106, the terminal device is connected to the IP network 102 via a networking standard such as Ethernet, Bluetooth, IEEE 1394 (also

known as "Firewire"), IEEE 802.11 (also known as "WiFi"), or networking over serial communication channels such as the Universal Serial Bus (i.e., "USB"). Data communication over the network then takes place using a connection protocol, e.g., transfer control protocol/Internet protocol (i.e., "TCP/IP").

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Further regarding Fig. 1, terminal device 110 is a legacy telephone that is connected to a legacy switch 112 for (circuit-switched) voice communications over the PSTN 116 with other terminal devices. A media gateway 114 may be provided between the legacy switch 112 and the packet-switched network 102 to enable IP telephony between the legacy telephone 110 and a VoIP terminal device, such as a VoIP softphone 104 or VoIP telephone 106. More specifically, the media gateway 114 converts the audio signal carried over PSTN to packets carried over the packet-switched IP network 102. In addition, a media gateway 118 may be disposed over the PSTN 116 and connected to a softswitch 120 to convert the audio signal from the legacy telephone 110 to packets routed over the IP network 102 via the softswitch 120.

Voice messaging in both the VoIP and PSTN is known. More specifically, the foregoing systems may be provided with a facility to allow users to leave voice messages for recipients, which is a feature that is familiar to anyone who uses a telephone. Conventionally, leaving a voice message involves dialing the recipient's telephone number (often without knowing whether the recipient will answer), waiting for the connection to be established, speaking to an operator or navigating through a menu of options, listening to a greeting message, and recording the message for later pickup by

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the recipient. In that message, the user must typically identify himself or herself in order for the recipient to return the call.

Instant text messaging is likewise known. More specifically, a user is provided with a client terminal, which is typically a general-purpose PC programmed with instant text messaging software and in data communication over an IP network with an instant text-messaging server. The instant text-messaging server presents the user, via the client terminal, with a list of persons who are currently "online" and ready to receive text messages on their own client terminals. The user then uses the client terminal to select one or more persons to whom the message will be sent and types in a text message. The text message is sent immediately via the text-messaging server to the selected one or more persons and is displayed on their respective client terminals.

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However, notwithstanding the foregoing advances in the VoIP/PSTN voice communication and voice/text messaging, there is still a need in the art for providing a system and method for providing instant VoIP messaging over an IP network. More particularly, there is a need in the art for providing local and global instant voice messaging over VoIP with PSTN support.

SUMMARY OF THE INVENTION

The present invention is directed to a system and method for enabling local and global instant VoIP messaging over an IP network, such as the Internet.

According to an embodiment of the present invention, there is provided an instant voice messaging system for delivering instant messages over a packet-switched network, the system comprising: a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the network; and a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients being enabled to audibly play the instant voice message.

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According to another embodiment of the present invention, there is provided an instant voice messaging system for delivering instant messages over a packet-switched network enabling public switched telephone network (PSTN) support, the system comprising: a PSTN telephone connected to the network for providing input audio; a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor using the input audio provided by the PSTN telephone, and transmitting the selected recipients and the instant voice message therefor over the network; a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients being enabled to audibly play the instant voice message.

According to a further embodiment of the present invention, there is provided an instant voice messaging system for delivering instant messages over a packet-switched network, the system comprising: a voice-over-internet-protocol (VoIP) telephone connected to the network for providing input audio; a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor using the input audio provided by the VoIP telephone, and transmitting the selected recipients and the instant voice message therefor over the network; a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients being enabled to audibly play the instant voice message.

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According to still another embodiment of the present invention, there is provided an instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising: a client connected to a local network, the client selecting one or more external recipients connected to an external network outside the local network, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the local network and the external network; and a server connected to the external network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the external network, the selected recipients being enabled to audibly play the instant voice message.

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According to yet another embodiment of the present invention, there is provided an instant voice messaging system for delivering instant messages over a plurality of packet-switched networks enabling public switched telephone network (PSTN) support, the system comprising: a PSTN telephone connected to a local network for providing input audio; a client connected to the local network, the client selecting one or more external recipients connected to an external network outside the local network, generating an instant voice message therefor using the input audio provided by the PSTN telephone, and transmitting the selected recipients and the instant voice message therefor over the local network and the external network; a server connected to the external network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the external network, the selected recipients being enabled to audibly play the instant voice message.

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According to yet a further embodiment of the present invention, there is provided an instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising: a voice-over-internet-protocol (VoIP) telephone connected to a local network for providing input audio; a client connected to the local network, the client selecting one or more external recipients connected to an external network outside the local network, generating an instant voice message therefor using the input audio provided by the VoIP telephone, and transmitting the selected recipients and the instant voice message therefor over the local network and the external network; an server connected to the external network, the external server

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receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the external network, the selected recipients being enabled to audibly play the instant voice message.

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According to still a further embodiment of the present invention, there is provided an instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising: a client connected to an external network, the client selecting one or more recipients connected to a local network, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the external network; an external server system connected to the external network, the external server system receiving the selected recipients and the instant voice message, and routing the selected recipients and the instant voice message over the external network and the local network; a local server connected to the local network, the local server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the local network, the selected recipients being enabled to audibly play the instant voice message.

According to an embodiment of the present invention, there is provided a method for instant voice messaging over a packet-switched network, the method comprising: selecting one or more recipients for instant voice messaging at a client; generating an instant voice message for the selected recipients at the client; transmitting the selected recipients and the instant voice message therefor over the network from the

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client to a server; receiving the selected recipients and the instant voice message therefor at the server; delivering the instant voice message from the server to the selected recipients over the network; and audibly playing the instant voice message at the selected recipients.

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According to another embodiment of the present invention, there is provided a method for instant voice messaging over a packet-switched network enabling public switched telephone network (PSTN) support, the method comprising: providing input audio via a PSTN telephone connected over the network; selecting one or more recipients for instant voice messaging at a client; generating an instant voice message using the input audio from the PSTN telephone for the selected recipients at the client; transmitting the selected recipients and the instant voice message therefor over the network from the client to a server; receiving the selected recipients and the instant voice message therefor at the server; delivering the instant voice message from the server to the selected recipients over the network; and audibly playing the instant voice message at the selected recipients.

According to a further embodiment of the present invention, there is provided a method for instant voice messaging over a packet-switched network, the method comprising: providing input audio via a voice-over-internet-protocol (VoIP) telephone connected over the network; selecting one or more recipients for instant voice messaging at a client; generating an instant voice message using the input audio from the VoIP telephone for the selected recipients at the client; transmitting the selected

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recipients and the instant voice message therefor over the network from the client to a server; receiving the selected recipients and the instant voice message therefor at the server; delivering the instant voice message from the server to the selected recipients over the network; and audibly playing the instant voice message at the selected recipients.

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According to still another embodiment of the present invention, there is provided a method for instant voice messaging over a plurality of packet-switched networks, the method comprising: selecting one or more external recipients for instant voice messaging at a client connected to a local network, the one or more external recipients connected to an external network outside the local network; generating an instant voice message for the selected external recipients at the client; transmitting the selected external recipients and the instant voice message therefor over the local network and the external network; receiving the selected external recipients and the instant voice message therefor at an external server connected to the external network; delivering the instant voice message to the selected external recipients over the external network; and audibly playing the instant voice message at the selected external recipients.

According to yet another embodiment of the present invention, there is provided a method for instant voice messaging system over a plurality of packet-switched networks enabling public switched telephone network (PSTN) support, the method comprising: providing input audio via a PSTN telephone connected to a local network; selecting one or more external recipients for instant voice messaging at a client, the one or more external recipients connected to an external network outside the local network;

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generating an instant voice message for the one or more external recipients using the input audio provided by the PSTN telephone; transmitting the selected recipients and the instant voice message therefor over the local network and the external network; receiving the selected recipients and the instant voice message therefor at a server connected to the external network; delivering the instant voice message to the selected recipients from the server over the external network; and audibly playing the instant voice message at the selected recipients.

According to still a further embodiment of the present invention, there is provided a method for instant voice messaging system over a plurality of packet-switched networks, the method comprising: providing input audio via a voice-over-internet-protocol (VoIP) telephone connected to a local network; selecting one or more external recipients for instant voice messaging at a client, the one or more external recipients connected to an external network outside the local network; generating an instant voice message for the one or more external recipients using the input audio provided by the VoIP telephone; transmitting the selected recipients and the instant voice message therefor over the local network and the external network; receiving the selected recipients and the instant voice message therefor at a server connected to the external network; delivering the instant voice message to the selected recipients from the server over the external network; and audibly playing the instant voice message at the selected recipients.

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According to yet a further embodiment of the present invention, there is provided a method for instant voice messaging over a plurality of a plurality of packet-

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switched networks, the method comprising: selecting one or more recipients connected to a local network at a client connected to an external network; generating an instant voice message for the selected recipients at the client; transmitting the selected recipients and the instant voice message therefor over the external network from the client to an external server system; receiving the selected recipients and the instant voice message at the external server system; routing the selected recipients and the instant voice message over the external network and the local network; receiving the selected recipients and the instant voice message therefor at a local server connected to the local network; delivering the instant voice message to the selected recipients over the local network; audibly playing the instant voice message at the selected recipients.

BRIEF DESCRIPTION OF THE DRAWINGS

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The objects, features and advantages of the present invention will become apparent to one skilled in the art, in view of the following detailed description taken in combination with the attached drawings, in which:

Figure 1 illustrates an example of a prior art IP telephony system;

Figure 2 illustrates an exemplary local IVM system for enabling instant voice messaging according to the present invention;

Figure 3 illustrates an exemplary IVM client of Figure 2 for enabling instant voice messaging according to the present invention;

Figure 4 illustrates an exemplary IVM server of Figure 2 for enabling instant voice messaging according to the present invention;

Figure 5 illustrates an exemplary global IVM system comprising a local IVM system and global IVM clients, according to the present invention;

Fig. 6 illustrates an exemplary global IVM server system depicted in Fig. 5, according to the present invention;

Fig. 7 illustrates an exemplary transport server depicted in Fig. 6, according to the present invention;

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Fig. 8 illustrates an exemplary directory server depicted in Fig. 6, according to the present invention; and

Fig. 9 illustrates an exemplary global IVM system comprising a plurality of local IVM systems and global IVM clients, according to the present invention.

<u>DETAILED DESCRIPTION OF THE</u> PREFERRED EMBODIMENT OF THE INVENTION

The present invention is directed to a system and method for enabling local and global instant VoIP messaging over an IP network with PSTN support.

Figure 2 is an exemplary illustration of a local instant voice messaging (IVM) system 200 according to the present invention. The instant voice messaging system 200 comprises a local IVM server 202 that provides the core functionality for enabling instant voice messaging with PSTN support according to the present invention. The architecture of the local IVM server 202 will be described in detail hereinbelow with reference to Fig. 4. According to the exemplary IVM system 200, the local IVM server 202 is enabled to provide instant voice messaging to one or more IVM clients 206 and 208, as well support instant voice messaging for PSTN legacy telephones 110. It is noted

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that although Fig. 2 depicts one of each IVM client 206, 208 and legacy telephone 110 for clarity and brevity, the local IVM server 202 is enabled to support a plurality of each of the foregoing IVM clients 206, 208 and legacy telephone 110. The local packetswitched IP network 204 interconnects the IVM clients 206, 208 and the legacy telephone 110 to the local IVM server 202 as well as interconnecting the local IVM server 202 to the local IP network 204. The network 204 may be a local area network (LAN), a wide area network (WAN), or the like, which supports both wired and wireless devices. The exemplary IVM client 208 is a VoIP softphone, the architecture of which will be described in detail hereinbelow with reference to Fig. 3. A microphone 212 is connected to the IVM client 208 and enables the recording of an instant voice message according to the present invention into an audio file 210 for transmission to the local IVM server 202 over the network 204. An input device 218 (e.g., a keyboard) is connected to the IVM client 208 to select one or more recipients that are to receive the recorded instant voice message. Although not depicted in Fig. 2, the input device 218 may include a trackball, digitizing pad or mouse, or the like. A display device 216 is connected to the IVM client 208 to display instant voice messages recorded and/or received by a user of the IVM client 208. An audio device 214, such as external speaker, is connected to the IVM client 208 to play received instant voice messages. It is noted that the microphone 212, audio device 214, display device 216 and input device 218 may form integral parts of the IVM client 208.

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Further with reference to Fig. 2, IVM client 206 is interconnected via the network 204 to the local IVM server 202. An exemplary IVM client 206 is a VoIP

telephone, which comprises a screen display (not shown) capable of displaying instant voice messages recorded and/or received by a user of the IVM client 206 according to the present invention. The VoIP telephone 206 further comprises a handset and/or speakerphone for recording instant voice messages and listening to instant voice messages received at the VoIP telephone 206 according to the present invention. The VoIP telephones which may be implemented to provide instant voice messaging functionality according to the present invention are commercially available from many vendors, including AlcatelTM, LucentTM, NECTM and CiscoTM, to name just a few. In addition to the foregoing IVM clients 206, 208, the IVM system 200 supports a legacy telephone 110 for instant voice messaging according to the present invention. The legacy telephone 110 is connected to a legacy switch 112. The legacy switch 112 is further connected to a media gateway 114. Both the legacy switch 112 and the media gateway 114 interconnect the legacy telephone 110 via the network 204 to the local IVM server 202, thereby facilitating instant voice messaging according to the present invention. The media gateway 114 may be a gateway that supports trunk pack network control (i.e., "TPNCP") protocol, media gateway control protocol (i.e., "MGCP"), or a media gateway control H.428 protocol (i.e., "MEGACO"). As previously mentioned, the media gateway 114 converts the audio signal carried over PSTN to packets to be transmitted over a packet-switched IP network, such as the local network 204.

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The implementation of the instant voice messaging for IVM client 208 will be described first and will be followed by the implementations for IVM client 206 and legacy telephone 110, with reference to the local IVM system 200 depicted in Fig. 2.

These implementations implement a "record mode" of the instant voice messaging according to the present invention. There will further be described an "intercom mode" of the instant voice messaging according to the present invention. Therefore, in operation of the IVM client 208 according to Fig. 2, the IVM client (IVM softphone) 208 is connected over the network 204 to the IVM server 202, which as aforementioned enables instant voice messaging functionality over the network 204. The IVM client 208 displays a list of one or more IVM recipients on its display 216, provided and stored by the local IVM server 202, as will be particularly described hereinbelow with reference to Fig. 4. The user operates the IVM client 208 by using the input device 218 to indicate a selection of one or more IVM recipients from the list. The user selection is transmitted to the IVM server 202. The user selection also generates a start signal to the IVM client 208 that the user is ready to begin instant voice messaging according to the present invention. In response to the start signal, the IVM client (softphone) 208 listens to the input audio device 212 and records the user's speech into a digitized audio file 210 (i.e., instant voice message) stored on the IVM client 208. The audio file 210 at the IVM client 208 is finalized via a stop signal, which is generated by the user via the input device 218 or a preset time period without speech input via the input audio device 212 on the IVM client 208. Once the recording of the user's speech is finalized, IVM client 208 generates a send signal indicating that the digitized audio file 210 (instant voice message) is ready to be sent to the selected recipients. The user generates the send signal when the user operates the IVM client 208 via the input device 218, e.g., pressing a key on a keyboard or clicking a button on a mouse. The IVM client 208 transmits the digitized audio file 210 and the send signal to the local IVM server 202. In response to the send signal

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indicating that the instant voice message is ready to be sent, the IVM client 208 sends the recorded audio file 210 destined for the selected one or more recipients via local IVM server 202. After receiving the audio file 210, the IVM server 202 thereafter delivers the transmitted instant voice message to the selected one or more recipients via the local IP network 204. The one or more recipients are enabled to display an indication that the instant voice message has been received and audibly play the instant voice message to an associated user. It should be understood that only the available IVM recipients, currently connected to the IVM server 202, will receive the instant voice message. It is noted that if a recipient IVM client is not currently connected to the local IVM server 202 (i.e., is unavailable), the IVM server temporarily saves the instant voice message and delivers it to the IVM client when the IVM client connects to the local IVM server 202 (i.e., is available).

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There are several embodiments for the operation of the IVM client (VoIP telephone) 206 within the IVM system 200, according to the present invention. In the first embodiment, the VoIP telephone 206 is a standalone IVM client 206 enabled for instant voice messaging according to the present invention. In the second embodiment, the VoIP telephone 206 operates synchronously either with the IVM client 208 or IVM server 202 to enable instant voice messaging according to the present invention. Thus, in operation according to the first embodiment in Fig. 2, the IVM client (VoIP telephone) 206 is connected over the network 204 to the IVM server 202, which as aforementioned enables instant voice messaging functionality over the local network 204. The IVM client 206 displays a list of one or more IVM recipients on its associated display provided and stored by the local IVM server 202, as will be particularly described hereinbelow

with reference to Fig. 4. The user operates the IVM client 206 by using a keypad on the VoIP telephone 206 to indicate a selection of one or more IVM recipients from the list. The VoIP telephone 206 transmits the selection to the IVM server 202. The user selection also generates a start signal to the IVM client 206 indicating the user is ready to begin instant voice messaging according to the present invention. The user speaks into the handset of the IVM client 206 or a speakerphone on the IVM client 206. Although not shown in Fig. 2, the VoIP telephone 206 may provide a dedicated storage device, which in response to the start signal records an audio file, similar to the audio file 210 in the IVM client 208. The audio file is finalized via a stop signal. The stop signal is generated when the user presses a button on the keypad, a preset time period without speech input to the VoIP telephone 206, or when the user returns the handset to the cradle of the VoIP telephone 206. Once the recording of the user's speech is complete, a send signal is generated indicating that the instant voice message is ready to be sent to the selected recipients. The user generates the send signal when the user presses a button on the keypad or returns the handset of the VoIP telephone 206 to it cradle (on-hook). In response to the send signal, the IVM client 206 sends the recorded audio to the local IVM server 202 via the network 204. The IVM server 202 thereafter delivers the instant voice message to the selected one or more recipients via the IP network 204. As before, the one or more recipients are enabled to display an indication that the instant voice message has been received and audibly play the instant voice message. As aforementioned, if a recipient IVM client is not currently connected to the local IVM server 202, the IVM server 202 temporarily saves the instant voice message and delivers it to the IVM client when the IVM client connects to the local IVM server 202.

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In the second embodiment of the IVM client 206 according to Fig. 2, the VoIP telephone 206 operates synchronously either with the IVM client 208 or the IVM server 202 to enable instant voice messaging according to the present invention. Thus, in operation according to the second embodiment, the IVM client (VoIP telephone) 206 is still connected over the network 204 to the IVM server 202, which as aforementioned enables instant voice messaging functionality over the local network 204. However, VoIP telephone 206 cooperates with the IVM client 208 or IVM server 202 to record and send an instant voice message. More specifically, the VoIP telephone 206 is only used as a recording/listening device for recording or listing to instant voice messages, while the IVM client 208 is used for displaying and selecting instant voice message recipients as described hereinabove. In operation, the IVM client 208 displays a list of IVM recipients on the display device 216 provided and stored by the local IVM server 202. The user operates the IVM client 208 by using the input device 218 on the IVM client 208 to indicate a selection of one or more IVM recipients from the list. The user selection is transmitted to the IVM server 202. The user selection generates a start signal to the IVM server 202 indicating that the user is ready to begin instant voice messaging according to the present invention. In response to receiving the start signal, the IVM server 202 transmits a ring signal to the VoIP telephone 206, thereby indicating to the user the IVM system 200 is ready to record an instant voice message. The IVM server 202 also signals the IVM client 208 to generate audio file 210 to record the instant voice message. As the user picks up the handset of the VoIP telephone 206 (off-hook), a connection is established via the network 204 between the local IVM server 202 and the VoIP

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telephone 206. Thereafter, the IVM server 202 forwards the user's speech transmitted from VoIP telephone 206 to the IVM client 208 for storage into digitized audio file 210 on the IVM client 208. The audio file 210 is finalized by returning the handset its cradle (on-hook) or by pressing a designated button on the keypad VoIP telephone 206, which transmits the stop signal to the IVM server 202 and further from the IVM server 202 to the IVM client 208. Returning the handset to its cradle preferably generates a send signal to the IVM server 202, which transmits the signal to the IVM client 208. The IVM client thereafter transmits the recorded audio file 210 (instant voice message) to IVM server 202 for delivery to the selected one or more IVM recipients. Alternatively, the user may press a key on the keyboard 218 to initiate the send signal. In response to the send signal, the IVM client 206 sends the recorded audio to the local IVM server 202 via the network 204. The IVM server 202 thereafter delivers the instant voice message to the selected one or more recipients via the IP network 204. The one or more recipients are enabled to display an indication that the instant voice message has been received and audibly play the instant voice message. If a recipient IVM client is not currently connected to the local IVM server 202, the IVM server 202 temporarily saves the instant voice message and delivers it to the IVM client when the IVM client connects to the local IVM server 202.

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In operation of the legacy telephone 110 according to Fig. 2, the legacy telephone 110 is connected to the local IVM server 202 via media gateway 114 and legacy switch 112. The legacy telephone 110 cooperates with the IVM client 208 to record and send an instant voice message. More specifically, the legacy telephone 110 is

used as a recording/listening device for recording or listing to instant voice messages, while the IVM client 208 is used for displaying and selecting instant voice message recipients as described hereinabove. Thus, in operation the IVM client 208 displays a list of IVM recipients on the display device 216 provided and stored by the local IVM server 202. The user operates the IVM client 208 by using the input device 218 on the IVM client 208 to indicate a selection of one or more IVM recipients from the list. The user selection is transmitted to the IVM server 202. The user selection generates a start signal to the IVM server 202 indicating that the user is ready to begin instant voice messaging according to the present invention. In response to receiving the start signal, the IVM server 202 transmits an emulation code to the legacy telephone 110 to ring, thereby indicating to the user the IVM system 200 is ready to record an instant voice message. As the user picks up the handset of the legacy telephone 110 (off-hook), a connection is established via the network 204 between the legacy telephone 110 and the IVM server 202. Thereafter, the IVM server forwards the user's speech transmitted from the legacy telephone 110 to the IVM client 208 for storage into the digitized audio file 210 (i.e., instant voice message). The audio file on the IVM client 208 is finalized by returning the handset of the legacy telephone 110 to its cradle (on-hook) or by pressing a designated button on the keypad of the legacy telephone 110, which transmits a stop signal to the IVM server 202 and further to the IVM client 208. Returning the handset to its cradle also generates a send signal to the IVM server to transmit the recorded audio file (instant voice message) to the selected one or more IVM recipients. The IVM server 202 thereafter delivers the instant voice message to the selected one or more recipients via the IP network 204. The one or more recipients are enabled to display an indication that the

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received instant voice message has been received and audibly play the instant voice message. If a recipient IVM client is not currently connected to the local IVM server 202, the IVM server 202 temporarily saves the instant voice message and delivers it to the IVM client when the IVM client connects to the local IVM server 202.

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Regarding the operational embodiments described with reference to Fig. 2 for recoding and transmitting an instant voice message according to the present invention, the digitized audio file is preferably compressed by applying a compression algorithm before sending the audio file to the one or more selected recipients. The audio file is preferably compressed within the IVM clients 206, 208 before forwarding the audio file to the IVM server 202 for subsequent delivery to the one or more selected recipients. Alternatively, the compression may be implemented within the IVM server 202 before the audio file is transmitted to the one or more selected recipients. A Lempel-Ziv compression algorithm is preferably used to compress the audio file according to the present invention. It is noted that many suitable compression algorithms are known to persons of skill in the art, including Huffman encoding, audio compression standards promulgated by the Moving Pictures Experts Group ("MPEG"), G.722 wideband speech encoding standard, fractal compression, and wavelet compression. Any of the foregoing compression algorithms may be implemented within the scope of the present invention.

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Further regarding the operational embodiments described with reference to Fig. 2 for recoding and transmitting an instant voice message according to the present invention, the digitized audio file (which may or may not be compressed as described

above) is further preferably encrypted via an encryption algorithm before transmitting the audio file to the one or more selected recipients. The encryption is preferably implemented within the IVM clients 206, 208 before forwarding the audio file to the IVM server 202 for subsequent delivery to the one or more selected recipients.

Alternatively, the encryption may be implemented within the IVM server 202 before the audio file is transmitted to the one or more selected recipients. An AES (Rijndael) encryption algorithm is preferably used to encrypt the audio file according to the present invention. It is noted that many suitable encryption algorithms are known to persons skilled in the art, including DES, Triple DES, Blowfish, Twofish, Serpent, and the like.

Any of the foregoing encryption algorithms may be implemented within the scope of the

present invention.

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Lastly with reference to Fig. 2, in addition to the "record mode" of instant voice messaging, the instant voice messaging system 200 also supports an "intercom mode" of voice messaging. The "intercom mode" represents real-time instant voice messaging. In the "intercom mode," instead of creating an audio file 210, one or more buffers (not shown) of a predetermined size are generated in the IVM client 206, 208 or local IVM server 202. The one or more buffers are used to automatically write successive portions of the instant voice message. Once a first buffer is full, i.e., input audio of the predetermined size is written to the buffer, the content of the first buffer is automatically transmitted to the IVM server 202 for transmission to the one or more IVM recipients. A second buffer is meanwhile written with the next successive portion of input audio. Once, the second buffer is full, i.e., input audio of the predetermined size is

written to the buffer, the content of the second buffer is transmitted to the IVM server 202 for transmission to the one or more IVM recipients. If the entire instant voice message or a successive portion thereof (such as a last successive portion in the instant voice message) written to either buffer is smaller the predetermined size, then the buffered content of less than the predetermined size is automatically transmitted to the IVM server 202. The foregoing buffering using the first and second buffers is repeated until the entire instant voice message has been transmitted to the IVM server 202 for transmission to the one or more IVM recipients. It is noted that the invention is not limited to a particular number of buffers. The foregoing buffering and transmission allows a "real-time" instant voice message to be transmitted to the one or more IVM recipients. The "intercom mode" may be designated as a default mode when an IVM recipient is on-line, while the "record mode" may be designated as a default if the IVM recipient is unavailable, i.e., not on-line. The user may easily change the "intercom mode" to the "record mode" on the respective IVM client 206, 208. Finally, the audio contents of the buffers may be signal processed (for clarity), encrypted and compressed before transmission, as will be described in more detail hereinbelow with reference to Fig. 3.

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Fig 3. an exemplary illustration of the architecture in the IVM client 208

for enabling instant voice messaging according to the present invention. More specifically, the IVM client 208 comprises a client platform 302 for generating an instant voice message and a messaging system 320 for messaging between the IVM client 208 and the IVM server 202 for enabling instant voice messaging according to the present

invention. The IVM client 208 is a general-purpose programmable computer equipped with a network interface (not shown), such as an Ethernet card, to provide connectivity to the network 204. It is noted that any suitable networking protocol, not only Ethernet, could be used to connect the IVM client to a network 204 and thus is considered within the scope of the present invention. The client platform 302 comprises a client engine 304, which controls other components, namely the document handler 306, file manager 308, audio file creation 312, signal processing 314, encryption/decryption 316, and compression/decompression 318. The messaging system 320 and the client engine 304 communicate via standard inter-process communication. The messaging system 320 and client engine 304 also communicate with the IVM server 202 over the network interface via the network 204. The document handler 306 oversees the retrieving, sending, receiving and storing of one or more documents (or files) attached to instant voice messages from/to the one or more selected IVM recipients that may be communicating with the IVM client 208. More specifically, when an instant voice message is to be transmitted to the one or more IVM recipients, one or more documents may be attached to the instant voice message to be, stored or displayed by the one or more selected IVM recipients. The file manager accesses a message database 310, in which both the received and recorded instant voice messages are represented as database records, each record comprising a message identifier and the instant voice message. The file manager 308 services requests from the user to record, delete or retrieve messages to/from the message database 310. Audio file creation 312 creates an instant voice message as audio file 210, and is responsible for receiving input speech for the instant voice message from audio input device 212 or via network 204 and storing the input speech into audio file 210.

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Signal processing 314 performs noise removal and signal optimization in the audio file 210. Encryption/decryption 316 provides for respectively encrypting/decrypting of outgoing/incoming audio files (i.e., instant voice messages), and compression/decompression 318 respectively compresses/decompresses the outgoing/incoming audio files.

Further with reference to Fig. 3, the reception of an instant voice message is described as follows. It is assumed that the local IVM server 202 has determined that the IVM client 208 is available to receive an instant voice message by checking the IVM client's 208 current status, i.e., whether the IVM client 208 is "on-line." The local IVM server 202 maintains the current status of the IVM clients connected to the local IVM server 202, i.e., IVM clients 206, 208. It is further assumed that an IVM client has transmitted an instant voice message to the IVM client 208. The local IVM server 202 receives the instant voice message over the local IP network 204 and forwards the instant voice message to the IVM client 208. Upon receipt at the IVM client 208, the instant voice message is decrypted at 316, decompressed at 318, and stored in the message database 310 using the file manager 308. Any files attached to the instant voice message are also stored in the message database 310 using the file manager 308. A visual and/or sound effect is initiated to notify a user of the IVM client 208 that a new instant voice message has been received at the IVM client 208. At this point in time, the instant voice message and any file attachments are available to the user. The user can select the instant voice message from a listing of available instant voice messages displayed on the IVM client 208 and play the newly received instant voice message. The user may also open

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any file attachments and move or save the files to a separate location on the client using a drag-and-drop process.

Still further with reference to Fig. 3, the generation and transmission of an instant voice message is described as follows. The user selects the available one or more IVM recipients and initiates the creation of an instant voice message as described above with reference to Fig. 2. The client engine 304 detects the start signal and invokes audio file creation 312 of the audio file 210. The audio file 210 is initialized and captures the audio voice message input by the user. Once the client engine 304 detects a stop signal, the instant voice message is finalized in the audio file 210 via audio file creation 312. The audio file 210 is adjusted for gain, and noise is removed via signal processing 314. The audio file 210 is further compressed at 318 and encrypted at 316. The completion of these processes causes the client engine 304 to inform the user via display 216 that the instant voice message is available to be sent. After the client engine 304 detects the send signal from the user, the instant voice message (audio file 210) is transferred to the local IVM server 202. Before the transmission of the instant voice message (i.e., before the send signal), the user has the option to review the instant voice message, re-record the instant voice message, delete the instant voice, as well as attach one or more files (i.e., documents). The attachment of one or more files is enabled conventionally via a methodology such as "drag-and-drop" and the like, which invokes the document handler 306 to make the appropriate linkages to the one or more files and flags the messaging system 320 that the instant voice message also has the attached one or more files.

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Fig 4. an exemplary illustration of the local IVM server 202 for enabling instant voice messaging according to the present invention. The IVM server 202 is a general-purpose programmable computer equipped with a network interface, such as an Ethernet card, to provide connectivity to a network 204. It is noted that any suitable networking protocol may be implemented to connect the IVM server 202 to a network 204. The IVM server 202 comprises a server communication platform 402, a messaging system 436 and a database 414, thereby enabling instant voice messaging according to the present invention. The server communication platform 402 comprises a server engine 404, client manager 406, station manager 408, gateway manager 410, database manager 412 that accesses database 414, supplemental servers 416 (including particular server subsystems 418-424), as well as a control layer 426 (including non-proprietary server subsystems 428, 430 and proprietary server subsystems 432, 434). The messaging system 436 and the server engine 304 communicate via standard inter-process communication. The messaging system 436 and the server engine are also able to communicate with the IVM clients 206, 208 over the network interface via the network 204. The database 414 stores users (e.g., IVM clients as well as legacy telephone clients) that are known to the IVM server 202 via the database manager 412. The users are represented in the database as records, each record comprising a user name, a password, and a contact list (a list of other users with whom the user wishes to exchange instant voice messages), and other data relating to the user. The database manager 412 services requests to add, update, delete, or retrieve database records to/from the database 414. The password may be stored in the database 414 as plaintext, in encrypted form, or as a

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hash (e.g., MD5 hash). The messaging system 436 communicates to the server engine 404 via message objects.

A message object comprises an action field, an ID field, a source field, a destination field, and an object field. The content of the action field is selected from a list of permitted actions, which among other actions includes: connect, disconnect, subscribe, unsubscribe, and post message. In addition, the actions include: determining if an IVM client is awake (i.e., pinging), disconnecting from the IVM client, processing an IVM client message, and notifying IVM clients if the IVM server 202 goes down. The client messages include sending an instant voice message portions, checkin message, send message, set status message, send a phone command message, and send control parameters message. The content of the ID field represents a unique identifier for the message object. The content of the source field is a globally unique identifier ("GUID") that uniquely identifies the sender of the message. This unique identifier can be generated by any known way, including the Globally Unique ID function call available in the Microsoft Windows and Microsoft .NET environments. In some circumstances, the source field is set to a special value to indicate that the sender of the message object is entitled to special privileges. The senders with special privileges are in fact IVM servers. This allows the IVM servers to broadcast messages to one another, subscribe to special events, and directly send messages to specific IVM servers. These privileges can depend upon whether the IVM servers are local servers or global servers. As an example, there can exist more than one local IVM server, each of these local IVM servers automatically has privileges to communicate to other local IVM server. On a global server system, a directory server can communicate with one or more transport servers. The content of the

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destination field is a GUID of an intended IVM recipient of the instant voice message. The content of the object field is a block of data being carried by the message object, which may be, for example, a digitized instant voice message. Depending on the circumstances in which the message object is sent, some of the message object fields may be left blank or ignored. For example, the message object may merely require an action to be performed based upon the GUID supplied. In this case, the action does not necessarily require any data to be sent or received and some of the message object's fields may be left blank or ignored.

Connection objects maintain the logical connections between the IVM server 202 and IVM clients 206, 208 connected to the IVM server 202. More specifically, a connection object comprises data representing the state of the connection and code (one or more methods) for establishing and maintaining the logical connections between the IVM server 202 and the IVM clients 206, 208 within the IVM system 200 of Fig. 2. The connection object can contain both data and/or commands, including information that describes the socket, the size of the data to be transferred, and the priority of the transfer (e.g., high, normal, low, unknown). On start up the local IVM server 202 generates and maintains a list for each IVM client 206, 208. The local IVM server 202 then waits to receive connection objects from the IVM clients 206, 208 that are stored in the respective lists, decodes the received connection objects to obtain specific requests, and then services the specific requests from the IVM clients 206, 208.

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Further with reference to Fig. 4, the server engine 404 controls all other subsystems in the server communication platform 402, and it is responsible for startup

and shutdown of the IVM server 202 and the IVM system 200. The client manager 406 controls the IVM clients 206, 208, providing contact presence (connection) information and message scheduling and delivery. The station manager 408 controls the individual legacy telephone 110 and coordinates its activity to work synchronously with the IVM client 208 and server 202. The gateway manager 410 enables the IVM server 202 to communicate with the legacy telephones, such as legacy telephone 110. The control layer 426 comprises a plurality of server subsystems 428-434, each of which provides translation services to different proprietary and non-proprietary gateways 114, such as TPNCP, MGCP, and MEGACO gateways. The proprietary server subsystems 428, 430 and non-proprietary server subsystems 432, 434 are connected to respective gateways 114 via the local IP network 204. The supplemental server subsystems 416 provide a number of required services such as display manager subsystem 418, dynamic host configuration protocol (i.e., "DHCP") subsystem 420, trivial file transfer protocol (i.e., "TFTP") server subsystem 422, and hypertext transfer protocol (i.e., "HTTP"). Each of the supplemental servers 418-424 in the subsystem 416 is used during the initial set-up of the IVM system 200. The boot-up process and allocation of IP addresses to IVM clients 206, 208 are performed through an LCD panel (not shown) associated with the local IVM server 202. The LCD manager 418 supports this boot-up process. The DHCP server 420 is used to allocate IP addresses as required and allows the advanced configuration of network settings in the instant voice messaging system. The TFTP server 422 provides a TCP/IP file transfer capability. Lastly, the HTTP server 424 provides services for a web server.

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Figure 5 is an exemplary illustration of a global instant voice messaging (IVM) system 500, according to the present invention. In the global IVM system 500, the local IVM system 200 is depicted as a local IVM system 510, which is connected to a packet-switched network 102 (i.e., Internet). The global IVM system 500 comprises the local IVM system 510, global IVM server system 502, and global IVM clients 506 and 508 that are optionally connected via local IP network 504. The global IVM server system 502 is connected to the IP network (i.e., Internet) 102 for enabling the local IVM clients 206, 208 and legacy telephone 110 in the local IVM system 510 to generate and send instant voice messages to the global IVM clients 506, 508, as well as the local IVM clients 206, 208 to receive instant voice messages from the global IVM clients 506, 508. The implementation of the global instant voice messaging for the IVM client 208 will be described first and will be followed by the implementations for IVM client 206 and legacy telephone 110, with reference to the global IVM system 500 depicted in Fig. 5. Thereafter, instant voice messaging for global clients 506 and 508 will be described according to the present invention. These implementations implement a "record mode" of the instant voice messaging according to the present invention. Thereafter, there will lastly be described an "intercom mode" of the instant voice messaging according to the present invention.

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Therefore, in operation of the IVM client 208 according to Fig. 5, the IVM client 208 is connected via the networks 204, 102 to the global IVM server system 502, which enables the global instant voice messaging functionality outside the local IVM system 510 over the network (i.e., Internet) 102. More specifically, the IVM client 208

requests from the global IVM server system 502 a global contact list (not shown) of global one or more IVM recipients with which the IVM client 208 may exchange instant voice messages. For the purposes of illustration, it is assumed that global IVM clients 506, 508 are in the contact list. The global IVM server system 502 stores and maintains this contact list. Thus, the global IVM server system 502 responds by transmitting the contact list to the IVM client 208. The IVM client 208 displays the contact list on its display 216. Alternatively, the global contact list may be replicated to the local IVM server 202 within the local IVM system 510, in which case the local IVM client 208 obtains the global contact list from the local IVM server 202. The user operates the IVM client 208 by using the input device 218 to indicate a selection of one or more IVM recipients from the global contact list. Here, for the purposes of illustration it is again assumed that IVM client 208 selected global IVM clients 506, 508. The user selection is transmitted to the IVM server 202. The user selection also generates a start signal to the IVM client 208 that the user is ready to begin instant voice messaging. In response to the start signal, the IVM client 208 listens to the input audio device 212 and records the user's speech into a digitized audio file 210 (i.e., instant voice message) stored on the IVM client 208. The audio file 210 is finalized via a stop signal, which is generated by the user via the input device 218 or a preset time period without speech input via the input audio device 212. Once the recording is finalized, the IVM client 208 generates a send signal indicating that the digitized audio file 210 (instant voice message) is ready to be sent to the selected one or more IVM recipients. The user generates the send signal when the user operates the IVM client 208 via the input device 218. The IVM client 208 transmits the digitized audio file 210 and the send signal to the global IVM server system

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502 via the local IP network 204 and the global IP network 102. After receiving the audio file 210, the global IVM server system 502 delivers the transmitted instant voice message to the selected one or more recipients (e.g., IVM clients 506 and 508) via the IP network 102. The one or more recipients are enabled to display an indication that the instant voice message has been received and audibly play the instant voice message to an associated user. It is noted that if a recipient IVM client 506, 508 is not currently connected to the global IVM server system 502, the global IVM server system 502 temporarily saves the instant voice message and delivers it to the global IVM client 506, 508 when the IVM client connects to the global IVM server system 502.

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There are several embodiments for the operation of the IVM client (VoIP telephone) 206 within the global IVM system 500 of Fig. 5, according to the present invention. In the first embodiment, the VoIP telephone 206 is a standalone IVM client 206 enabled for instant voice messaging according to the present invention. In the second embodiment, the VoIP telephone 206 operates synchronously with the IVM client 208 to enable instant voice messaging according to the present invention. Thus, in operation according to the first embodiment in Fig. 5, the IVM client 206 is connected via the networks 204, 102 to the global IVM server system 502, which enables instant voice messaging functionality over the IP network (Internet) 102. As mentioned previously, the IVM client 206 is also connected to the local IVM server 202. The IVM client 208 requests from the global IVM server system 502 a global contact list (not shown) of the global one or more IVM recipients with which the IVM client 206 may exchange instant voice messages. For the purposes of illustration, it is assumed that the

global IVM clients 506, 508 are in the contact list. The global IVM server system 502 stores and maintains this contact list. Thus, the global IVM server system 502 responds by transmitting the global contact list to the IVM client 206. Alternatively, the global contact list may be replicated to the local IVM server 202 within the local IVM system 510, in which case the local IVM client 206 obtains the global contact list from the local IVM server 202. The IVM client 206 displays a list of the one or more IVM recipients on its associated display. The user operates the IVM client 206 by using a keypad on the VoIP telephone 206 to indicate a selection of one or more IVM recipients from the list. The VoIP telephone 206 transmits the selection to the global IVM server system 502. The user selection also generates a start signal to the IVM client 206 indicating the user is 10 ready to begin instant voice messaging according to the present invention. The user speaks into the handset of the IVM client 206 or a speakerphone on the IVM client 206. Although not shown in Fig. 5, the VoIP telephone 206 may provide a dedicated storage device, which in response to the start signal records an audio file, similar to the audio file 210 in the IVM client 208. The audio file is finalized via a stop signal. The stop signal is 15 generated when the user presses a button on the keypad, a preset time period without speech input to the VoIP telephone 206, or when the user returns the handset to the cradle of the VoIP telephone 206. Once the recording of the user's speech is complete, a send signal is generated indicating that the instant voice message is ready to be sent to the selected recipients. The user generates the send signal when the user presses a button on 20 the keypad or returns the handset of the VoIP telephone 206 to it cradle. In response to the send signal, the IVM client 206 sends the recorded audio file (instant voice message) to the global IVM server system 502 via the networks 204, 102 for delivery to the

selected one or more IVM recipients. The global IVM server 502 thereafter delivers the instant voice message to the selected one or more recipients (e.g., IVM clients 506 and 508) via the IP network 102. As before, the one or more recipients are enabled to display an indication that the instant voice message has been received and audibly play the instant voice message. If a recipient IVM client is not currently connected to the global IVM server system 502, the global IVM server system 502 temporarily saves the instant voice message and delivers it to the IVM client when the IVM client connects to the global IVM server system 502.

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In the second embodiment of the IVM client 206 according to Fig. 5, the VoIP telephone 206 operates synchronously with the IVM client 208 to enable global instant voice messaging according to the present invention. Thus, in operation according to the second embodiment in Fig. 5, the VoIP telephone 206 is connected over the network 204 to the IVM client 208 and the IVM client 208 is connected via the networks 204, 102 to the global IVM server system 502, which enables instant voice messaging functionality over the IP network (Internet) 102. The VoIP telephone 206 cooperates with the IVM client 208 to record and send a global instant voice message outside the local IVM system 510. The IVM client 208 displays a global contact list of IVM recipients (not shown) on the display device 216 provided by the global IVM server system 502, as described hereinabove. Alternatively, the global contact list may be replicated to the local IVM server 202 within the local IVM system 510, in which case the IVM client 208 obtains the global contact list from the local IVM server 202. The user operates the IVM client 208 by using the input device 218 to indicate a selection of

one or more IVM recipients from the contact list. The user selection generates a start signal in the IVM client 208 indicating that the user is ready to begin instant voice messaging according to the present invention. In response to the start signal, the IVM client 208 generates audio file 210 to record an instant voice message and transmits a ring signal to the VoIP telephone 206. As the user picks up the handset of the VoIP telephone 206 (off-hook), a connection is established via the network 204 between the local IVM client 208 and the VoIP telephone 206. Thereafter, the VoIP telephone 206 forwards the user's speech to the IVM client 208 for storage into the audio file 210. The audio file 210 is finalized by returning the handset its cradle (on-hook) or by pressing a designated button on the keypad VoIP telephone 206, which transmits the stop signal to the IVM client 208. Returning the handset to its cradle preferably generates a send signal to the IVM client 208. The IVM client thereafter transmits the recorded audio file 210 (instant voice message) to the global IVM server system 502 via networks 204, 102 for delivery to the selected one or more IVM recipients. Alternatively, the user may press a key on the keyboard 218 to initiate the send signal. In response to the send signal, the IVM client 208 sends the recorded audio file to the global IVM server system 502 for delivery to the selected one or more IVM recipients. The global IVM server system 502 thereafter delivers the instant voice message to the selected one or more recipients (e.g., IVM clients 506 and 508) via the IP network 102. As before, the one or more IVM recipients are enabled to display an indication that the instant voice message has been received and audibly play the instant voice message. If a recipient IVM client is not currently connected to the global IVM server system 502, the global IVM server system 502

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temporarily saves the instant voice message and delivers it to the IVM client when the IVM client connects to the global IVM server system 502.

In operation of the legacy telephone 110 according to Fig. 5, the legacy telephone 110 is connected to the local IVM client 208 via media gateway 114, legacy switch 112 and network 204. The legacy telephone 110 cooperates with the IVM client 208 to record and send an instant voice message outside the local IVM system 510. More specifically, the legacy telephone 110 is used as a recording/listening device for recording or listing to instant voice messages, while the IVM client 208 is used for displaying and selecting instant voice message recipients as described hereinabove. Thus, in operation the IVM client 208 requests from the global IVM server system 502 a global contact list of global one or more IVM recipients with which the IVM client 208 may exchange instant voice messages. Alternatively, the global contact list may be replicated to the local IVM server 202 within the local IVM system 510, in which case the IVM client 208 obtains the global contact list from the local IVM server 202. The IVM client 208 displays the global list of IVM recipients, as described hereinabove. The user operates the IVM client 208 to indicate a selection of one or more IVM recipients from the global contact list. The IVM client 208 transmits the user selection to the global IVM server system 502. The user selection generates a start signal in the IVM client 208 indicating that the user is ready to begin instant voice messaging according to the present invention. In response to the start signal, the IVM client 208 transmits an emulation code to the legacy telephone 110 to ring, thereby indicating to the user the global IVM system 500 is ready to record an instant voice message. As the user picks up the handset of the

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legacy telephone 110 (off-hook), a connection is established via the network 204 between the legacy telephone 110 and the IVM client 208. Thereafter, the user's speech is transmitted from the legacy telephone 110 to the IVM client 208 for storage into the digitized audio file 210 (i.e., instant voice message). The audio file 210 is finalized by returning the handset of the legacy telephone 110 to its cradle (on-hook) or by pressing a designated button on the keypad of the legacy telephone 110, which transmits a stop signal to the IVM client 208. Returning the handset to its cradle may also generate a send signal to the IVM client 208 to transmit the recorded audio file (instant voice message) to the global IVM server system 502 for delivery to the selected one or more IVM recipients. Alternatively, the send signal is preferably generated from the IVM client 208 as described hereinabove. The global IVM server system 502 thereafter delivers the instant voice message to the selected one or more IVM recipients via the IP network (Internet) 102. The one or more recipients are enabled to display an indication that the instant voice message has been received and audibly play the instant voice message. If a recipient IVM client is not currently connected to the global IVM server system 502, the global IVM server system 502 temporarily saves the instant voice message and delivers it to the IVM client when the IVM client connects to the global IVM server 502.

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Further with reference to Fig. 5, the instant voice messaging for global

clients 506 and 508 will be described according to the present invention. In a first

embodiment, each of the global IVM clients 506, 508 is enabled to independently send an

instant voice message. The IVM clients 506, 508 have like peripheral devices and

functionality described respectively with reference to local IVM clients 206, 208 in Fig.

2. In second embodiment described below, the VoIP telephone 506 operates in conjunction with the IVM client 508 to send an instant voice message. Therefore, in operation of the global IVM clients 506 and 508 according the first embodiment in Fig. 5, the IVM clients 506, 508 are connected via the networks 204, 102 to the global IVM server system 502, which enables the global instant voice messaging functionality outside the local IVM system 510 over the network (i.e., Internet) 102. Each of the global IVM clients 506, 508 is enabled to request from the global IVM server system 502 a contact list (not shown) of global one or more IVM recipients with which each of the global IVM client 506, 508 may exchange instant voice messages. For the purposes of this illustration, it is assumed that the IVM clients 206 and 208 within the local IVM system 510 are in the contact list for each global IVM client 506, 508. The global IVM server system 502 stores and maintains the foregoing contact list for each global IVM client 506, 508. Upon request, the global IVM server system 502 responds by transmitting the contact list to each of the IVM clients 506, 508. Each of the IVM clients 506, 508 displays the contact list on its display. The user operates the IVM client 506, 508 to indicate a selection of one or more IVM recipients from the contact list. Each of the global IVM clients 506, 508 transmits the user selection to the global IVM server system 502. The user selection also generates a start signal to the IVM clients 506, 508 that the user is ready to begin instant voice messaging. In response to the start signal, the IVM clients 506, 508 record the user's speech into a digitized audio file (i.e., instant voice message) stored on the global IVM clients 506, 508. The audio file is finalized via a stop signal, which is generated by the user by operating the global IVM client 506, 508. Once the recording is finalized, the IVM client 506, 508 generates a send signal indicating that

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the digitized audio file (instant voice message) is ready to be sent to the selected one or more recipients. The user generates the send signal when the user operates the global IVM client 506, 508. The IVM client 208 transmits the digitized audio file and the send signal to the global IVM server system 502. After receiving the audio file, the global IVM server system 502 delivers the transmitted instant voice message to the local IVM server 202 in the local IVM system 510 for delivery to the selected one or more recipients (e.g., local IVM clients 206 and 208) via the local IP network 204. The one or more recipients IVM 206, 208 are enabled to display an indication that the instant voice message has been received and audibly play the instant voice message to an associated user. It is noted that if a recipient IVM client 206, 208 is not currently connected to the local IVM server 202, the IVM server 202 temporarily saves the instant voice message and delivers it to the local IVM client 206, 208 when the IVM client connects to the local IVM server 202.

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In the second embodiment of the IVM client 506 according to Fig. 5, the VoIP telephone 506 operates synchronously with the IVM client 508 to enable global instant voice messaging according to the present invention. In this embodiment, the VoIP telephone 506 and the IVM client 508 may be located in a user's residence and be connected to a local IP network 504. This local IP network 504 can be a WiFi network or a local area network (i.e., LAN), which is also within the user's residence. The local IP network 504 may be connected to the IP network (Internet) 102 via a digital subscriber line (i.e., DSL) connection, cable connection, dialup connection, or the like. As noted above, the IVM clients 506, 508 have like peripheral devices and functionality described

respectively with reference to local IVM clients 206, 208 in Fig. 2. Thus, in operation according to this embodiment in Fig. 5, the global IVM client 508 requests from the global IVM server system 502 a contact list of global one or more IVM recipients with which each of the global IVM client 508 may exchange instant voice messages. For the purposes of this illustration, it is assumed that the IVM clients 206 and 208 within the local IVM system 510 are in the contact list for the global IVM client 508. The global IVM server system 502 stores and maintains the foregoing contact list for the global IVM client 508. The IVM client 508 displays a contact list of IVM recipients on the associated display device provided by the global IVM server system 502, as described hereinabove. The user operates the IVM client 508 by using the associated input device to indicate a selection of one or more IVM recipients from the contact list. The user selection generates a start signal in the IVM client 508 indicating that the user is ready to begin instant voice messaging according to the present invention. In response to the start signal, the IVM client 508 generates audio file to record an instant voice message and transmits a ring signal to the VoIP telephone 506 via local IP network 504. As the user picks up the handset of the VoIP telephone 206 (off-hook), a connection is established via the local network 504 between the local IVM client 508 and the VoIP telephone 506. Thereafter, the VoIP telephone 506 forwards the user's speech to the IVM client 508 for storage into the audio file at the IVM client 508. The audio file is finalized by returning the handset its cradle (on-hook) or by pressing a designated button on the keypad associated with the VoIP telephone 506, which transmits the stop signal to the IVM client 508. Returning the handset to its cradle preferably generates a send signal to the IVM client 508. The IVM client thereafter transmits the recorded audio file (instant voice

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message) to the global IVM server system 502 for delivery to the selected one or more IVM recipients. Alternatively, the user may press a key on the input device associated with the IVM client 508 to initiate the send signal. In response to the send signal, the IVM client 508 sends the recorded audio file to the global IVM server system 502 for delivery to the selected one or more IVM recipients. The global IVM server system 502 thereafter transmits the instant voice message to the local IVM server 202 for delivery selected one or more recipients (e.g., local IVM clients 206 and 208) via the local IP network 204. As before, the one or more recipients are enabled to display an indication that the instant voice message has been received and audibly play the instant voice message. If a recipient IVM client is not currently connected to the local IVM server 202, the local IVM server 202 temporarily saves the instant voice message and delivers it to the IVM client when the IVM client connects to the local IVM server 202.

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Lastly with reference to Fig. 5, in addition to the "record mode" of instant voice messaging as described above, the instant voice messaging system 500 also supports an "intercom mode" of the instant voice messaging. The "intercom mode" represents real-time instant voice messaging. In the "intercom mode," instead of creating an audio file as described hereinabove, one or more buffers (not shown) of a predetermined size are generated. The buffers may be generated in any one of the IVM clients 206, 208, 506 and 508, depending on how the global IVM system 500 is defined. The one or more buffers are used to automatically write successive portions of the instant voice message. Once a first buffer is full, i.e., input audio of the predetermined size is written to the buffer, the content of the first buffer is automatically transmitted. If the

transmission is generated at a local IVM client 206, 208 and destined for one or more local IVM recipients, the content of the first buffer is transmitted to the local IVM server 202 for delivery to the local one or more recipients. If the transmission is generated at a local IVM client 206, 208 and destined for one or more global IVM recipients 506, 508, the content of the first buffer is transmitted to the global IVM server system 502 for delivery to the one or more global recipients. In addition, if the transmission is generated at a global IVM client 506, 508 and destined for the other global IVM clients, the content of the first buffer is transmitted to the global IVM server system 502, such as for example clients 506, 508. Lastly, if the transmission is generated at a global IVM client 506, 508 and destined for the local IVM clients 206, 208, the content of the first buffer is transmitted to the global IVM server system 502 and further transmitted by the global IVM server 502 to the local IVM server 202 for delivery to clients 206, 208 within the local IVM system 510. A second buffer is meanwhile written with the next successive portion of input audio. Once, the second buffer is full, i.e., input audio of the predetermined size is written to the buffer, the content of the second buffer is transmitted in similar fashion to the first buffer. If the entire instant voice message or a successive portion thereof (such as a last successive portion in the instant voice message) written to either buffer is smaller the predetermined size, then the buffered content of less than the predetermined size is automatically transmitted to the IVM server 202. The foregoing buffering using the first and second buffers is repeated until the entire instant voice message has been transmitted as described above. It is noted that the invention is not limited to a particular number of buffers. The foregoing buffering and transmission allows a "real-time" instant voice message to be transmitted to the one or more local, as

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well as global, IVM recipients. The "intercom mode" may be designated as a default mode when an IVM recipient is on-line, while the "record mode" may be designated as a default if the IVM recipient is unavailable, i.e., not on-line. The user may easily change the "intercom mode" to the "record mode" on the respective IVM client 206, 208, 506, 508. Finally, the audio contents of the buffers may be signal processed (for clarity), encrypted and compressed before transmission, as was described previously.

Fig. 6 is an exemplary detailed illustration 600 of the global IVM server system 502 depicted in Fig. 5, according to the present invention. More specifically, the local IVM system 510 described in Fig. 5 is connected via the IP network (Internet) 102 to the global IVM server system 502. The global IVM server system 502 comprises an IVM transport server mesh 602 and an IVM directory server 608. The IVM transport server mesh 602 comprises a plurality of interconnected IVM transport servers 604, 606. Although the mesh 602 is depicted as having two IVM transport servers 604, 606, it is to be understood that as many IVM transport servers as are desired or required for redundancy and load balancing may be interconnected in a mesh. The IVM transport servers 604, 606 may be centrally located and configured to communicate (i.e., forward and receive messages) with local IVM clients 206, 208, local IVM server 202 and global IVM client 506, 508 (not depicted in Fig. 6). The plurality of IVM transport servers 604, 606 in the IVM transport server mesh 602 permits load balancing and redundancy in the global IVM system 500. The directory server 608 maintains a transport server list of all the IVM transport servers 604, 606 currently connecting to the mesh 602. Each of the IVM transport servers 604, 606 first connects to the directory server 608. The directory

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server 608 informs each of the connecting IVM transport servers 604, 606 of all the other IVM transport servers currently in the mesh 602 based on an active list (not shown) of transport servers 604, 606 in the mesh 602. The connecting IVM transport server then connects to each of the IVM transport servers in the transport server list, resulting in an interconnected mesh 602 of IVM transport servers 604, 606. The IVM transport servers 604, 606 and the IVM directory server 608 communicate via messages.

Further with reference to Fig. 6, the IVM transport servers 604, 606 connected in the mesh 602 share a database (not shown) of IVM clients, so that each IVM transport server 604, 606 refers to the same client database. It is preferable that each IVM transport server 604, 606 maintains its own copy of the client database, which is mirrored and replicated conventionally amongst the IVM transport servers 604, 606 in the mesh 602. The client database may further be replicated to the local IVM server 202. Alternatively, the client database is stored on a separate file server (not shown) in data communication with the IVM transport servers 604, 606 over a network (not shown).

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Fig. 7 is an exemplary detailed illustration of a transport server 604, 606 depicted in Fig. 6, according to the present invention. The IVM transport server 604, 606 is a general-purpose programmable computer comprising a network interface (not shown) connected to IP network (Internet) 102, a communication platform 702, a message database 712, and a messaging system 714. The communication platform 702 comprises a server engine 704, which controls a user manager 706, a local server manager 708, and a storage manager 710. The messaging system 714 and the server engine 704

handles retrieving, sending, and storing of messages, including instant voice messages and attachments thereto, to/from the message database 712. The user manager 706 is responsible for creating/maintaining IVM clients 206, 208, 506, 508, identifying them and relaying their status to the server engine 704. When an IVM client communicates an instant voice message within the global IVM system 500, the user manager 706 notifies the server engine 704 whether the one or more recipients are unavailable, and thereby the instant voice message is saved in the message database 712. When the one or more IVM recipients become available, the user manager 706 notifies the server engine 704, which instructs the storage manager 710 to retrieve any undelivered instant voice messages for the one or more recipients and delivers the instant voice messages to the designated one or more IVM recipients. The local server manager 708 is responsible for creating/maintaining and providing the status of available local IVM servers, such as IVM server 202 in Fig. 2. The availability status of the local IVM servers is checked periodically and updated.

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Fig. 8 is an exemplary detailed illustration of a directory server 608 depicted in Fig. 6, according to the present invention. The directory server 608 is a general-purpose programmable computer equipped with a network interface (not shown) connected to IP network (Internet) 102, a messaging system 812, and a communication platform 802. The communication platform 802 comprises a server engine 804, which controls a local server manager 806, a user manager 808, and a transport manager 810. The messaging system 812 and the server engine 804 communicate via standard inter-

process communication. The transport manager 810 maintains the status of the IVM transport servers 604, 606 in the IVM transport server mesh 602 within the global IVM system 500 and using a load-balancing mechanism distributes instant voice messages to available transport server 604, 606 for routing to the one or more IVM recipients. The user manager 808 is responsible for creating/maintaining IVM clients 206, 208, 506, 508, identifying and relaying their status via the server engine 804 to the IVM transport server 604, 606 to be used. The local server manager 806 is responsible for creating/maintaining and providing the status of available local IVM servers, such as IVM server 202 in Fig. 2. The availability status of the local IVM servers is checked periodically and updated.

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Fig. 9 illustrates an exemplary a global instant voice messaging (IVM) system 900, which comprises a plurality of local IVM systems and a plurality of global IVM clients, according to the present invention. In the global IVM system 900, there are depicted a plurality of local IVM systems 902, 910 connected to the global IP network 102. The internal representation and functionality of each local IVM system 902, 904 is identical to the local IVM system 510 described with reference to Fig. 5. In global IVM system 900 of Fig. 9, there are also depicted a plurality of global IVM clients 918-928 and a global IVM server system 502 connected to the global IP network (i.e., Internet) 102. The internal representations of the global IVM client 918-928 and the global IVM server system 502 are identical to the respective IVM client 508 (and/or IVM client or 506) and the global IVM server system 502 described with reference to Fig. 5. In the local IVM system 902, each local IVM client 206, 208 is enabled to request local IVM

recipients from the local IVM server 202 and global IVM recipients from either the global IVM server system 502 or the local IVM server 202. For example, the local IVM client 1A 208 displays a list 904 to a user, comprising both local and global IVM recipients. More specifically, the list 904 enables IVM client 1A to send instant voice messages according to the present invention to local IVM clients 1B 208 and 1C 206, global IVM client C 922 and global IVM client 2A 208 in the local IVM system 910. Similar lists 906-916 are displayed to the users of the respective IVM clients 1B-1C in local IVM system 902, and 2A-2C in local IVM system 910. In addition, the global clients A-F 918-928 are enabled to request IVM recipients from the global IVM server system 502 and display the respective lists of IVM recipients 930-940 on the respective IVM clients 918-928.

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While the invention has been particularly shown and described with regard to preferred embodiments thereof, it will be understood by those skilled in the art that the foregoing and other changes in form and details may be made therein without departing from the spirit and scope of the invention.

CLAIMS:

Having thus described our invention, what we claim as new, and desire to secure by Letters Patent is:

1. An instant voice messaging system for delivering instant messages over a packet-switched network, the system comprising:

a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the network; and

a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients enabled to audibly play the instant voice message.

- 2. The instant voice messaging system according to Claim 1, wherein the packet-switched network is a local network.
- 3. The instant voice messaging system according to Claim 1, wherein the packet-switched network is the Internet.
- 4. The instant voice messaging system according to Claim 1, wherein the client requests a list of recipients associated with the client from the server and the server transmits the list of recipients to the client for selection of the one or more recipients.

- 5. The instant voice messaging system according to Claim 1, wherein the server delivers the instant voice message to the selected recipients that are available.
- 6. The instant voice messaging system according to Claim 1, wherein the server temporarily stores the instant voice message if a selected recipient is unavailable and delivers the stored instant voice message to the selected recipient once the selected recipient becomes available.
- 7. The instant voice messaging system according to Claim 1, wherein the client records the instant voice message in an audio file, transmits the audio file to the server, and the server delivers the audio file to the selected recipients, the selected recipients being enabled to audibly play the audio file.
- 8. The instant voice messaging system according to Claim 7, wherein the client signal processes, compresses and encrypts the audio file, and the selected recipients being enabled to decrypt and decompress the audio file before audibly playing the audio file.
- 9. The instant voice messaging system according to Claim 1, wherein the client buffers each of a plurality of successive portions of the instant voice message as the instant message is recorded, and the client transmits each successive buffered portion to the server for delivery to the to the selected recipients, the selected recipients being enabled to audibly playing each successive portion as it is delivered.

- 10. The instant voice messaging system according to Claim 1, wherein the client is enabled to attach one or more files to the instant voice message and the selected recipients are enabled to store or display the one or more attached files.
- 11. The instant voice messaging system according to Claim 1, the system further comprising a public switched telephone network (PSTN) telephone connected to the network to provide input audio of the instant voice message to the client.
- 12. The instant voice messaging system according to Claim 1, the system further comprising a voice-over-internet-protocol (VoIP) telephone connected to the network to provide input audio of the instant voice message to the client.
- 13. An instant voice messaging system for delivering instant messages over a packet-switched network enabling public switched telephone network (PSTN) support, the system comprising:
- a PSTN telephone connected to the network for providing input audio;
 a client connected to the network, the client selecting one or more
 recipients, generating an instant voice message therefor using the input audio provided by
 the PSTN telephone, and transmitting the selected recipients and the instant voice
 message therefor over the network;
- a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice

message to the selected recipients over the network, the selected recipients being enabled to audibly play the instant voice message.

14. An instant voice messaging system for delivering instant messages over a packet-switched network, the system comprising:

a voice-over-internet-protocol (VoIP) telephone connected to the network for providing input audio;

a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor using the input audio provided by the VoIP telephone, and transmitting the selected recipients and the instant voice message therefor over the network;

a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients being enabled to audibly play the instant voice message.

15. An instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising:

a client connected to a local network, the client selecting one or more external recipients connected to an external network outside the local network, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the local network and the external network; and

a server connected to the external network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the external network, the selected recipients being enabled to audibly play the instant voice message.

16. The instant voice messaging system according to Claim 15, the client further selects one or more local recipients connected to the local network and transmits the selected local recipients and the instant voice message therefor over the local network, wherein the system further comprises:

a local server connected to the local network, the local server receiving the selected local recipients and the instant message therefor from the client, and delivering the instant voice message to the selected local recipients over the local network, the selected local recipients being enabled to audibly play the instant voice message.

- 17. The instant voice messaging system according to Claim 15, wherein the local network is a network within an enterprise.
- 18. The instant voice messaging system according to Claim 15, wherein the external network is the Internet.
- 19. The instant voice messaging system according to Claim 15, wherein the client requests a list of recipients associated with the client from the server and the

server transmits the list of recipients to the client for selection of the one or more recipients.

- 20. The instant voice messaging system according to Claim 15, wherein the server delivers the instant voice message to the selected recipients that are available.
- 21. The instant voice messaging system according to Claim 15, wherein the server temporarily stores the instant voice message if a selected recipient is unavailable and delivers the stored instant voice message to the selected recipient once the selected recipient becomes available.
- 22. The instant voice messaging system according to Claim 15, wherein the client records the instant voice message in an audio file, transmits the audio file to the server, and the server delivers the audio file to the selected recipients, the selected recipients being enabled to audibly play the audio file.
- 23. The instant voice messaging system according to Claim 22, wherein the client signal processes, compresses and encrypts the audio file, and the selected recipients are enabled to decrypt and decompress the audio file before audibly playing the audio file.
- 24. The instant voice messaging system according to Claim 15, wherein the client buffers each of a plurality of successive portions of the instant voice message as

the instant message is recorded, and the client transmits each successive portion to the server for delivery to the selected recipients, the selected recipients being enabled to audibly playing each successive portion as it is delivered.

- 25. The instant voice messaging system according to Claim 15, wherein the client is enabled to attach one or more files to the instant voice message and the selected recipients are enabled to store or display the one or more attached files.
- 26. The instant voice messaging system according to Claim 15, the system further comprising a public switched telephone network (PSTN) telephone connected to the local network to provide input audio of the instant voice message to the client.
- 27. The instant voice messaging system according to Claim 15, the system further comprising a voice-over-internet-protocol (VoIP) telephone connected to the local network to provide input audio of the instant voice message to the client.
- 28. An instant voice messaging system for delivering instant messages over a plurality of packet-switched networks enabling public switched telephone network (PSTN) support, the system comprising:
- a PSTN telephone connected to a local network for providing input audio;
 a client connected to the local network, the client selecting one or more
 external recipients connected to an external network outside the local network, generating
 an instant voice message therefor using the input audio provided by the PSTN telephone,

and transmitting the selected recipients and the instant voice message therefor over the local network and the external network;

a server connected to the external network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the external network, the selected recipients being enabled to audibly play the instant voice message.

29. An instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising:

a voice-over-internet-protocol (VoIP) telephone connected to a local network for providing input audio;

a client connected to the local network, the client selecting one or more external recipients connected to an external network outside the local network, generating an instant voice message therefor using the input audio provided by the VoIP telephone, and transmitting the selected recipients and the instant voice message therefor over the local network and the external network;

an server connected to the external network, the external server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the external network, the selected recipients being enabled to audibly play the instant voice message.

30. An instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising:

a client connected to an external network, the client selecting one or more recipients connected to a local network, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the external network; and

a external server system connected to the external network, the external server system receiving the selected recipients and the instant voice message, and routing the selected recipients and the instant voice message over the external network and the local network;

a local server connected to the local network, the local server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the local network, the selected recipients being enabled to audibly play the instant voice message.

- 31. The instant voice messaging system according to Claim 30, the client further selects one or more external recipients connected to the external and transmits the selected external recipients over the external network to the external server, and the external server receives the selected external recipients and delivers the instant voice message to the selected external recipients over the external network, the selected external recipients being enabled to audibly play the instant voice message.
- 32. The instant voice messaging system according to Claim 30, wherein the local network is a network within an enterprise.

- 33. The instant voice messaging system according to Claim 30, wherein the external network is the Internet.
- 34. The instant voice messaging system according to Claim 30, wherein the client requests a list of recipients associated with the client from the external server system and the external server system transmits the list of recipients to the client for selection of the one or more recipients.
- 35. The instant voice messaging system according to Claim 30, wherein the local server delivers the instant voice message to the selected recipients that are available.
- 36. The instant voice messaging system according to Claim 30, wherein the local server temporarily stores the instant voice message if a selected recipient is unavailable and delivers the stored instant voice message to the selected recipient once the selected recipient becomes available.
- 37. The instant voice messaging system according to Claim 30, wherein the client records the instant voice message in an audio file, transmits the audio file to the external server, the external server system routes the audio file to the local server, and the local server delivers the audio file to the selected recipients, the selected recipients being enabled to audibly play the audio file.

- 38. The instant voice messaging system according to Claim 37, wherein the client signal processes, compresses and encrypts the audio file, and the selected recipients are enabled to decrypt and decompress the audio file before audibly playing the audio file.
- 39. The instant voice messaging system according to Claim 30, wherein the client buffers each of a plurality of successive portions of the instant voice message as the instant message is recorded, and the client transmits each successive buffered portion to the external server system, the external server system routes each successive portion to the local server, and the local server delivers each successive portion to the to the selected recipients, the selected recipients being enabled to audibly play each successive portion as it is delivered.
- 40. The instant voice messaging system according to Claim 30, wherein the client is enabled to attach one or more files to the instant voice message and the selected recipients are enabled to store or display the one or more attached files.
- 41. The instant voice messaging system according to Claim 30, the system further comprising a voice-over-internet-protocol (VoIP) telephone connected to the client via a local network, the client providing input audio of the instant voice message to the client via the local network.

42. The instant voice messaging system according to Claim 30, wherein the external server system comprises:

a transport server mesh including a plurality of transport servers for routing instant voice messages;

a directory server for maintaining the transport server mesh and facilitating load-balancing of the instant voice messages within the transport server mesh.

43. A method for instant voice messaging over a packet-switched network, the method comprising:

selecting one or more recipients for instant voice messaging at a client; generating an instant voice message for the selected recipients at the

transmitting the selected recipients and the instant voice message therefor over the network from the client to a server;

client;

receiving the selected recipients and the instant voice message therefor at the server;

delivering the instant voice message from the server to the selected recipients over the network; and

audibly playing the instant voice message at the selected recipients.

44. The method for instant voice messaging according to Claim 43, wherein the method further comprises:

requesting from the client a list of recipients associated with the client from the server; and

transmitting from the server the list of recipients to the client for selection of the one or more recipients.

45. The method for instant voice messaging according to Claim 43, wherein the method further comprises:

delivering the instant voice message from the server to the selected recipients that are available.

46. The method for instant voice messaging according to Claim 43, wherein the method further comprises:

temporarily storing at the server the instant voice message if a selected recipient is unavailable; and

delivering from the server the stored instant voice message to the selected recipient once the selected recipient becomes available.

47. The method for instant voice messaging according to Claim 43, wherein the method further comprises:

recording the instant voice message at the client in an audio file; transmitting the audio file to the server;

delivering the audio file from the server to the selected recipients; and audibly playing the audio file at the least one of the selected recipients.

48. The method for instant voice messaging according to Claim 47, wherein the method further comprises:

signal processing, compressing and encrypting the audio file at the client; decrypting and decompressing the audio file at the at least one selected recipient; and

audibly playing the decrypted and decompressed audio file at the least one of the selected recipients.

49. The method for instant voice messaging according to Claim 43, further comprising:

buffering each of a plurality of successive portions of the instant voice message at the client as the instant message is recorded;

transmitting from the client each successive buffered portion to the server;

delivering each successive portion from the server to the selected
recipients, the selected recipients audibly playing each successive portion as it is
delivered.

50. The method for instant voice messaging according to Claim 43, wherein the method further comprises:

attaching one or more files to the instant voice message at the client; storing or displaying the one or more attached files at the selected recipients.

51. The method for instant voice messaging according to Claim 43, wherein the method further comprises:

providing input audio of the instant voice message to the client from a public switched telephone network (PSTN) telephone connected to the network.

52. The method for instant voice messaging according to Claim 43, wherein the method further comprises:

providing input audio of the instant voice message to the client from a voice-over-internet-protocol (VoIP) telephone connected to the network.

53. A method for instant voice messaging over a packet-switched network enabling public switched telephone network (PSTN) support, the method comprising:

providing input audio via a PSTN telephone connected over the network;

selecting one or more recipients for instant voice messaging at a client;

generating an instant voice message using the input audio from the PSTN telephone for the selected recipients at the client;

transmitting the selected recipients and the instant voice message therefor over the network from the client to a server;

receiving the selected recipients and the instant voice message therefor at the server;

delivering the instant voice message from the server to the selected recipients over the network; and

audibly playing the instant voice message at selected recipients.

54. A method for instant voice messaging over a packet-switched network, the method comprising:

providing input audio via a voice-over-internet-protocol (VoIP) telephone connected over the network;

selecting one or more recipients for instant voice messaging at a client;
generating an instant voice message using the input audio from the VoIP
telephone for the selected recipients at the client;

transmitting the selected recipients and the instant voice message therefor over the network from the client to a server;

receiving the selected recipients and the instant voice message therefor at the server;

delivering the instant voice message from the server to the selected recipients over the network; and

audibly playing the instant voice message at the selected recipients.

55. A method for instant voice messaging over a plurality of packetswitched networks, the method comprising:

selecting one or more external recipients for instant voice messaging at a client connected to a local network, the one or more external recipients connected to an external network outside the local network;

generating an instant voice message for the selected external recipients at the client;

transmitting the selected external recipients and the instant voice message therefor over the local network and the external network;

receiving the selected external recipients and the instant voice message therefor at an external server connected to the external network;

delivering the instant voice message to the selected external recipients over the external network; and

audibly playing the instant voice message at the selected external recipients.

56. The method for instant voice messaging according to Claim 55, wherein the method further comprises:

requesting from the external server a list of external recipients associated with the client; and

transmitting the list of external recipients from the external server to the client for selection of the one or more external recipients.

57. The method for instant voice messaging according to Claim 55, wherein the method further comprises:

delivering the instant voice message from the external server to the selected recipients that are available.

58. The method for instant voice messaging according to Claim 55, wherein the method further comprises:

temporarily storing the instant voice message at the external server if a selected recipient is unavailable;

delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

59. The method for instant voice messaging according to Claim 55, wherein the method further comprises:

recording the instant voice message in an audio file at the client; transmitting the audio file to the external server;

delivering the audio file to the selected recipients from the external server;

and

and

audibly playing the audio file at the selected recipients.

60. The method for instant voice messaging according to Claim 59, wherein the method further comprises:

signal processing, compressing and encrypting the audio file at the client;

decrypting and decompressing the audio file at the selected recipients; and audibly playing the decrypted and decompressed audio file at the selected recipients.

61. The method for instant voice messaging according to Claim 55, wherein the method further comprises:

buffering each of a plurality of successive portions of the instant voice message at the client as the instant message is recorded;

transmitting from the client each successive portion to the external server; delivering each successive portion from the external server to the selected external recipients,

audibly playing each successive portion at the selected external recipients as it is delivered.

62. The method for instant voice messaging according to Claim 55, wherein the method further comprises:

attaching one or more files to the instant voice message;

storing or displaying the one or more attached files at the selected external

recipients.

63. The method for instant voice messaging according to Claim 55, wherein the method further comprises providing input audio of the instant voice message to the client from a public switched telephone network (PSTN) telephone over the local network.

- 64. The method for instant voice messaging according to Claim 55, wherein the method further comprises providing input audio of the instant voice message to the client from a voice-over-internet-protocol (VoIP) telephone over the local network.
- 65. A method for instant voice messaging system over a plurality of packet-switched networks enabling public switched telephone network (PSTN) support, the method comprising:

providing input audio via a PSTN telephone connected to a local network; selecting one or more external recipients for instant voice messaging at a client, the one or more external recipients connected to an external network outside the local network:

generating an instant voice message for the one or more external recipients using the input audio provided by the PSTN telephone;

transmitting the selected recipients and the instant voice message therefor over the local network and the external network;

receiving the selected recipients and the instant voice message therefor at a server connected to the external network;

delivering the instant voice message to the selected recipients from the server over the external network; and

audibly playing the instant voice message at the selected recipients.

66. A method for instant voice messaging system over a plurality of packet-switched networks, the method comprising:

providing input audio via a voice-over-internet-protocol (VoIP) telephone connected to a local network;

selecting one or more external recipients for instant voice messaging at a client, the one or more external recipients connected to an external network outside the local network;

generating an instant voice message for the one or more external recipients using the input audio provided by the VoIP telephone;

transmitting the selected recipients and the instant voice message therefor over the local network and the external network;

receiving the selected recipients and the instant voice message therefor at a server connected to the external network;

delivering the instant voice message to the selected recipients from the server over the external network; and

audibly playing the instant voice message at the selected recipients.

67. A method for instant voice messaging over a plurality of a plurality of packet-switched networks, the method comprising:

selecting one or more recipients connected to a local network at a client connected to an external network;

generating an instant voice message for the selected recipients at the client;

transmitting the selected recipients and the instant voice message therefor over the external network from the client to an external server system;

receiving the selected recipients and the instant voice message at the external server system;

routing the selected recipients and the instant voice message over the external network and the local network;

receiving the selected recipients and the instant voice message therefor at a local server connected to the local network;

delivering the instant voice message to the selected recipients over the local network;

audibly playing the instant voice message at the selected recipients.

68. The method for instant voice messaging according to Claim 67, wherein the method further comprises:

requesting a list of recipients associated with the client from the external server system; and

transmitting the list of recipients from the external server system to the client for selection of the one or more recipients.

69. The method for instant voice messaging according to Claim 67, wherein the method further comprises:

delivering the instant voice message from the local server to the selected recipients that are available.

70. The method for instant voice messaging according to Claim 67, wherein the method further comprises:

temporarily storing the instant voice message at the local server if a selected recipient is unavailable; and

delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

71. The method for instant voice messaging according to Claim 67, wherein the method further comprises:

recording the instant voice message in an audio file at the client; transmitting the audio file from the client to the external server system; routing the audio file from the external server system to the local server;

delivering the audio file from the local server to the selected recipients; and

audibly playing the audio file at the selected recipients.

and

recipients.

72. The method for instant voice messaging according to Claim 71, wherein the method further comprises:

signal processing, compressing and encrypting the audio file at the client; decrypting and decompressing the audio file at the selected recipients; audibly playing the decrypted and decompressed audio file at the selected

73. The method for instant voice messaging according to Claim 67, wherein the method further comprises:

buffering each of a plurality of successive portions of the instant voice message at the client as the instant message is recorded;

transmitting from the client each successive portion to the external server system;

routing each successive portion from the external server system to the local server;

delivering each successive portion from local server to the selected external recipients; and

audibly playing each successive portion at the selected recipients as it is delivered.

74. The method for instant voice messaging according to Claim 67, wherein the method further comprises:

attaching one or more files to the instant voice message at the client; storing or displaying the one or more attached files at the selected recipients.

75. The method for instant voice messaging according to Claim 67, wherein the method further comprises:

providing input audio of the instant voice message from a voice-overinternet-protocol (VoIP) telephone to the client via a local network connecting the VoIP telephone to the client.

76. The method for instant voice messaging according to Claim 67, wherein the method further comprises:

maintaining a transport server mesh including a plurality of transport servers for routing instant voice messages; and

load-balancing the instant voice messages within the transport server mesh.

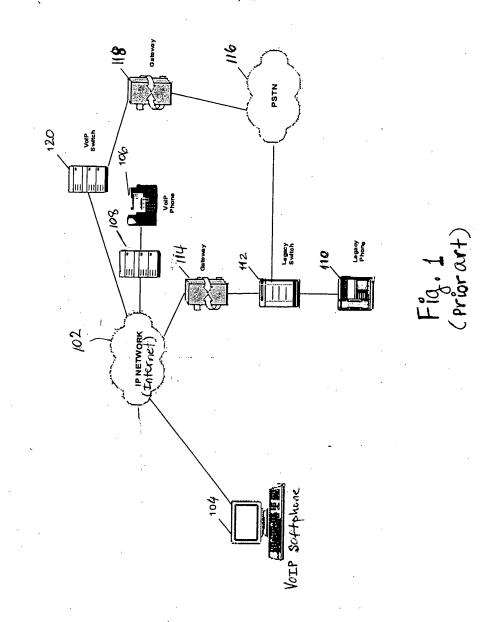
SYSTEM AND METHOD FOR INSTANT VoIP MESSAGING

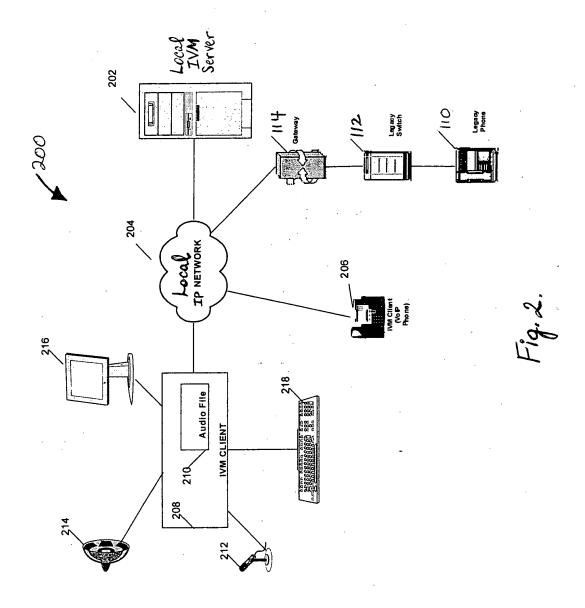
ABSTRACT OF THE DISCLOSURE

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There is provided an instant voice messaging system (and method) for delivering instant messages over a packet-switched network, the system comprising: a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the network; and a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients being enabled to audibly play the instant voice message.





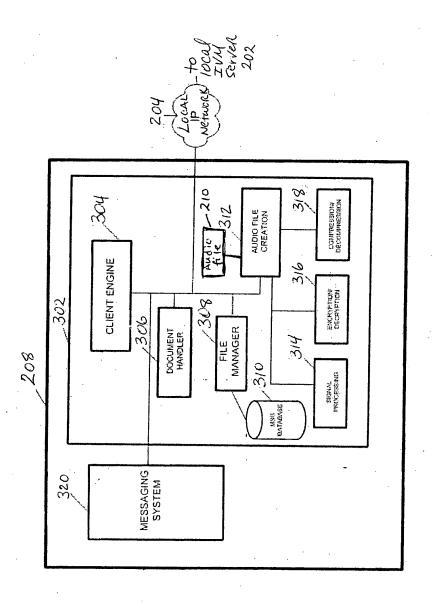


FIG. 3 Client Software Architecture

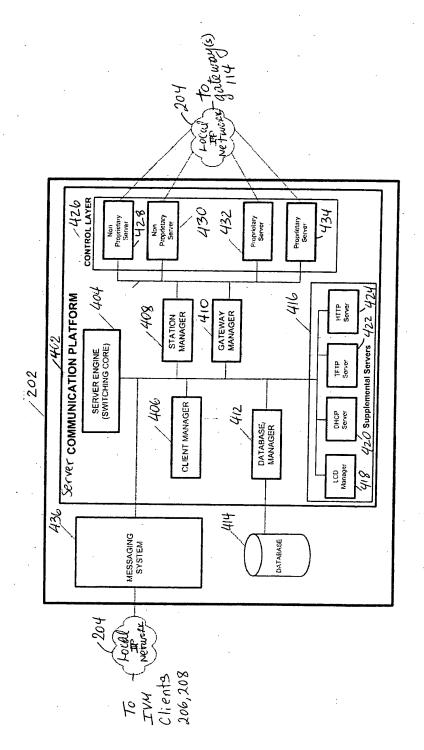
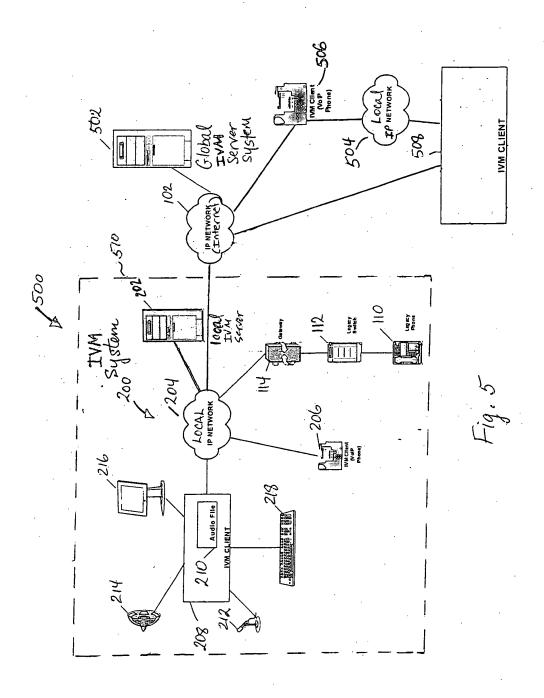
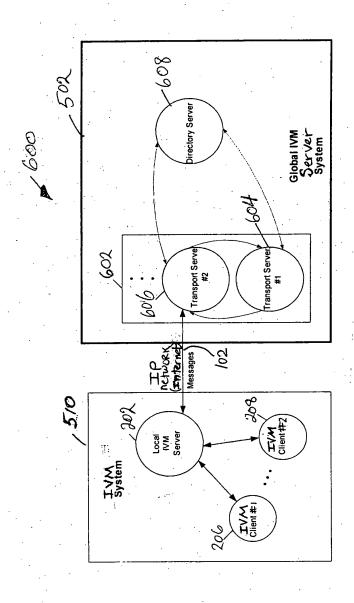


FIG. 4 Local Server (IVM) Architecture





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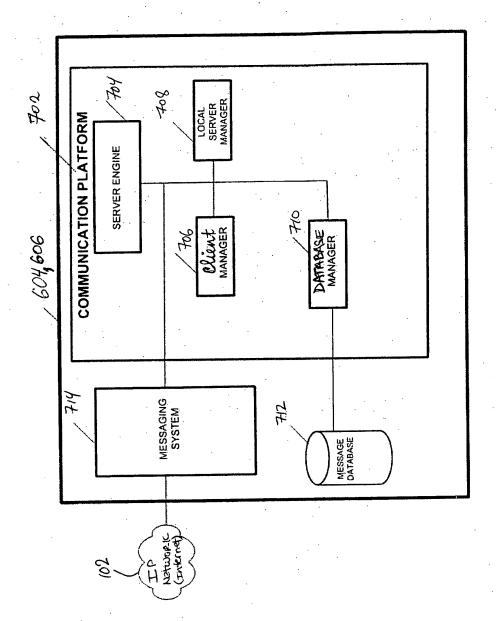


FIG. 7 TRANSPORT SERVER ARCHITECTURE

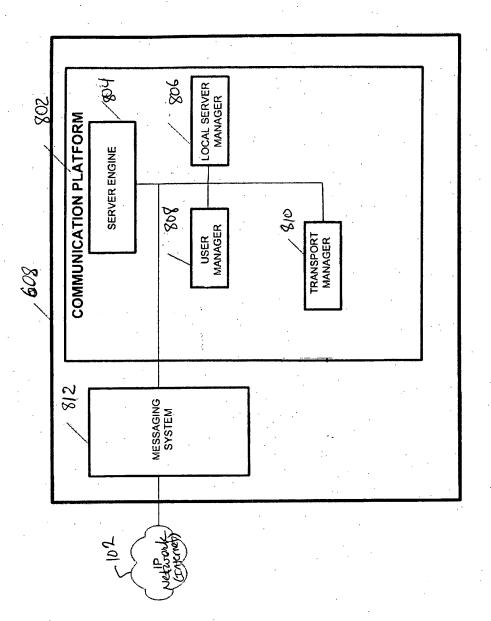
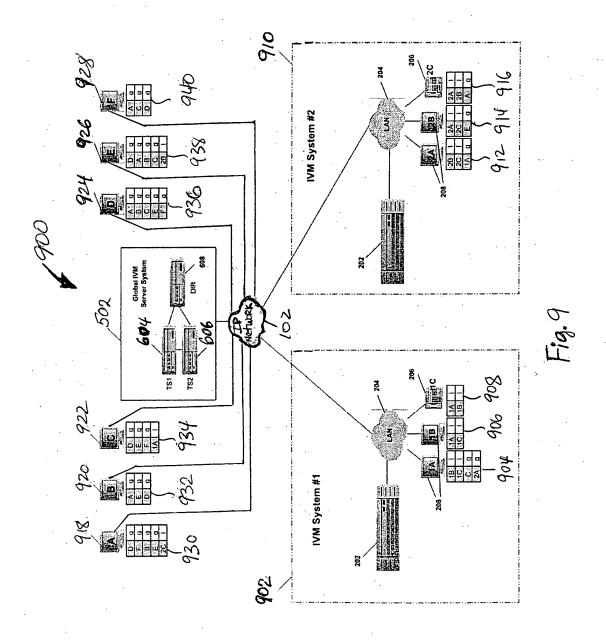


FIG. & Directory Server Architecture



Express Mail Label No.

Page 1 of 3

Docket No. 17188

D clarati n and Power of Attorn y For Patent Application English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING

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statements made on information ar	nd belief are believed to be it willful false statements a r Section 1001 of Title 18 o	own knowledge are true and that all true; and further that these statements nd the like so made are punishable by if the United States Code and that such action or any patent issued thereon.

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Residence 2828 Barcisy Circle, North Canton Stark County, Ohio 44720 Litzenshp U.S.A.	
Post Office Address Same as above	

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

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PTO-1556 (5/87)

*U.S. Government Printing Office: 2002 -- 489-267/69033

PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2003

 ${\bf Application\ or\ } \underline{{\bf Docket}\ Number}$

17188

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	The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.											

FORM PTO-875 (Rev 10/03)

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

AUG 2 3 2004 35 T

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael J. Rojas

Examiner:

Unassigned

Serial No:

10/740,030

Art Unit:

2661

Filed:

December 18, 2003

Docket:

17188

For:

SYSTEM AND METHOD FOR

Dated:

August 19, 2004

INSTANT VoIP MESSAGING

Confirmation No. 1731

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. http://www.cisco.com/warp/public/cc/pd/nemnsw/callmn/prodlit/cm33 ds.htm; "Data Sheet Cisco CallManager Version 3.3".
- 2. http://www.cisco.com/en/US/products/hw/switches/ps1925/products_data_sheet_09186 a00800a3c3d.html; "Data Sheet Cisco MGX 8000 Series".
 - 3. http://www.hsteliann.com/english/?zone=3100-V21P; "Teliphone 3100-

V21P".

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner of Patents, P. O. Box 1450, Alexandria, VA_A22313-1450 on August 19, 2004.

Dated: August 19, 2004

aul J/ Esatto, Jr.

- 4. http://www.linuxdevices.com/articles/AT5199947519.html; "Device Profile: snom 100 VoIP phone".
- 5. http://www.pingtel.com/pr xpressa.jsp; "No limits with the advanced industry standard SIP phone.
- AudioCoded Enabling Technology Products, TPM-1100 VoP Media Gateway
 Modules.

Applicant is submitting a copy of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

Paul J. Esatto, Jr.

Registration No. 30,749

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

PJE:ae

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE			Atty. Docket No. (Optional)		Application Number				
INFORM	INFORMATION DISCLOSURE CITATION		CITATION	17188		10/740,030			
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 17188 In Re Application Of: Michael J. Rojas Application No. Filing Date Examiner Group Art Unit | Confirmation No. Customer No. December 18, 2003 10/740,030 1731 Unassigned 23389 2661 Title: SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) 1. M The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); OR ☐ the fee set forth in 37 CFR 1.17(p).

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TRANSMITT	AL OF INFORMA (Under 37 CFF	TEMENT	Docket No. 17188					
In Re Application	n: Michael J. Roja	S						
Application No.	Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation							
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SYSTEM AND INSTANT VOIP MESSAGING								
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1 '	Paul J. Esarto, Jr.							
1 -	Registration No. 30,749 Scully, Scott, Murphy & Presser							
400 Garden City Pl	•							
Garden City, New								
516-742-4343								
cc:								

	Туре	Hits	Search Text	DBs
1	BRS	7	switch\$3 or packet adi	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
2	BRS	1	server with stor\$3 with temporar\$3 with unavailabl\$3 and (voice adj messag\$3) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
3	BRS	128	((audio or voice) with compress\$3 with encrypt\$3 with decrypt\$3 with decompress\$3) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
4	BRS	7	server and S1	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM TDB
5	BRS	38	<pre>(voice near4 messag\$3) with ((list near7 recipients) or (calling near4 list)) and (@ad<="20031218")</pre>	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
6	BRS	38	<pre>((voice near4 messag\$3) with ((list near7 recipients) or (calling near4 list))) and (@ad<="20031218")</pre>	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
7	BRS	4	(lan or local adj network or local adj area adj network) and S2	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM TDB
8	BRS	0	recipient adj list and S2	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
9	BRS	1	recipient\$1 near4 list and S2	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM TDB
10	BRS	2	server with stor\$3 with temporar\$3 with message with unavailabl\$3 and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
11	BRS	124	<pre>(attach\$4 or add\$3 or suppl\$3) with file\$1 with (voice adj messag\$3) and (@ad<="20031218")</pre>	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
12	BRS	15	(server with stor\$3 with temporar\$3 with unavailabl\$3) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
13	BRS	165	(buffer\$3 with (voice adj messag\$3)) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB

	Туре	Hits	Search Text	DBs
14	BRS	1	(buffer\$3 with (instant adj voice adj messag\$3)) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
15	BRS	98	((voice adj messag\$3) with (audio adj file)) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM TDB
16	BRS	24	<pre>((voice adj messag\$3) with (audio adj file) with server) and (@ad<="20031218")</pre>	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM TDB



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/740,030	12/18/2003	Michael J. Rojas	17188	1731
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SUITE 300 GARDEN CIT	V NV 11530	•	ART UNIT	PAPER NUMBER
GARDEN CIT			2614	•
			MAIL DATE	DELIVERY MODE
			MAIL DATE	DELIVERY MODE
			09/18/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)						
	10/7 4 0,030	ROJAS, MICHAEL J.						
Office Action Summary	Examiner	Art Unit						
	Creighton H. Smith	2614						
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).								
Status								
1) Responsive to communication(s) filed on		·						
	action is non-final.							
3) Since this application is in condition for allowan		secution as to the merits is						
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	53 O.G. 213.						
Disposition of Claims								
4) Claim(s) is/are pending in the application	n.							
4a) Of the above claim(s) is/are withdraw		·						
5) Claim(s) is/are allowed.								
6) Claim(s) <u>1-5,7-20,22-35,37-41,43-45,47-57,59-</u>	- <u>69 and 71-75</u> is/are rejected.							
7)⊠ Claim(s) <u>6,21,36,42,46,58,70 and 76</u> is/are obj	ected to.							
8) Claim(s) are subject to restriction and/or	r election requirement.							
Application Papers								
9) The specification is objected to by the Examine	r.							
10) The drawing(s) filed on is/are: a) acce	epted or b) objected to by the b	Examiner.						
Applicant may not request that any objection to the	drawing(s) be held in abeyance. See	e 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correcti								
11) The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.						
Priority under 35 U.S.C. § 119								
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:	priority under 35 U.S.C. § 119(a))-(d) or (f).						
1. Certified copies of the priority documents	s have been received.							
2. Certified copies of the priority documents	s have been received in Applicati	on No						
3. Copies of the certified copies of the prior	ity documents have been receive	ed in this National Stage						
application from the International Bureau								
* See the attached detailed Office action for a list	of the certified copies not receive	ed.						
Attachment(s)	,, -							
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	4) ∭ Interview Summary Paper No(s)/Mail Da							
3) Information Disclosure Statement(s) (PTO/SB/08)	5) Notice of Informal P							
Paper No(s)/Mail Date 23.08.04.	6)							

PTOL-326 (Rev. 08-06)

Office Action Summary

Part of Paper No./Mail Date 20070911

Application/Control Number: 10/740,030

Art Unit: 2614

Page 2

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-3, 5, 11-18, 26-29, 43, 45, 51-54, 65, 66 are rejected under 35 U.S.C. 102(E) as being anticipated by McZeal, Jr., U.S. Patent #6,763,226.

McZeal discloses in col. 4, lines 18 et seq. that until his invention there was no device which would take full advantage of the Internet and instant messaging for voice quality purposes, and which uses computer data networks for voice.

In col. 28, lines 5 et seq., McZeal discloses that his invention provides customers with instant voice messaging which uses Voice over Internet Protocol (VoIP). In col. 16, lines 39 et seq., McZeal discloses that his invention can use both the Internet and the PSTN.

For claims 2 & 3, McZeal discloses in cols. 1 & 16, lines 42-43 & 25-30 that his invention can be used in local or wide area networks, i.e., LAN/WAN.

Regarding claim 11, see McZeal @ col. 16, lines 42 & 59-60.

Pertaining to claim 30, with McZeal's disclosure that his device can be used in either a WAN (internet) or LAN (local area network). If the voice message is to be routed out beyond a LAN, then an external serving system will be employed until the

Application/Control Number: 10/740,030

Art Unit: 2614

Page 3

message reaches the recipient inside of the LAN, whereupon the LAN and its associated server will route the message to the intended recipient.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 4, 19, 20, 44 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Williams et al, U.S. Patent Publication #2004/0252679.

Williams et al disclose in ¶-0055 that a messaging server (105) will save a voice message and send a list of recipients to the user from an address book. To have provided Williams teaching of a server providing a user a calling list of recipients in McZeal's Instant Voice Messaging server system would have been obvious to a person having ordinary skill in the art, because the skilled practitioner in the communications and server arts will readily realize that there are an unlimited amount of commands and information that a server can hold which can be communicated to anyone throughout the world that has the proper equipment.

Claims 7, 22, 47, are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Sagi et al, U.S. Patent Publication #2003/0087632.

Sagi et al disclose in claim 24 where a server will receive an audio file from a a subscriber, and then in claim 29 Sagi et al disclose that the transmission is sent to a second subscriber. To have similarly used Sagi et al disclosure of transmitting an audio file to a server in McZeal's device would have been obvious to a person having ordinary

Application/Control Number: 10/740,030

Art Unit: 2614

Page 4

skill in the art, because the skilled practitioner in the communications art will realize that the sending party can either directly record a voice message or send an audio file.

Either way, a called party will receive the voice message.

Claims 8, 23,48 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Goodman et al, U.S. Patent Publication #2004/0122906.

Goodman et al disclose in ¶-0033 that an audio message can be transformed from any of encrypted, decrypted, compressed, or decompressed format. To have similarly provided Goodman's teaching of encrypting, decrypting, compressing, and decompressing audio into McZeal's device would have been obvious to a person having ordinary sill in the art, because by compressing the audio will take up less memory in the server.

Claims 9, 24, 49, are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Gierachf, U.S. Patent Publication #2005/0053230.

Gierachf discloses in ¶-0044 in Step 266 that the audio data, or voice message, is sent to an audio buffer 19B'. To have similarly used Gierachf method of buffering the audio data in McZeal's device would have been obvious to a person having ordinary skill in the art.

Claims 10, 25, 50, are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Hollowell et al, U.S. Patent Publication #2005/0105697.

Hollowell et al teach in ¶-0031 attaching an email message to an audio message.

To have provided this teaching in McZeal would have been obvious to a person having ordinary skill in the art because the skilled practitioner in this communications art will

Art Unit: 2614

realize the efficiency of alerting a multitude of persons located throughout the world that an email from the sender is being sent to the recipients, such as the minutes of an important meeting.

Claims 30-33, 35, 41, 55, 57, 63, 64, 67, 69, 75 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Monroe, U.S. Patent #6970183.

Monroe discloses in col. 20, lines 28 et seq. and in Fig. 9 a local server (460) connected to a LAN, which provides a gateway to a wide area network like the Internet. In col. 32, lines 11 et seq. Monroe discloses that pre-recorded voice messages can be delivered to a modem and then delivered throughout the Network. To have used Monroe's teaching of connecting a local server to an Internet server into McZeal's device would have been obvious to a person having ordinary skill in the art because a local server will only reach a few, select individuals in close proximity to each other, whereas the Internet will have global reach, thus insuring connectivity to clients worldwide.

Claims 34, 56, 68 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Monroe as applied to claim 30 above, and further in view of Williams et al.

Claims 37, 59, 71 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Monroe as applied to claim30 above, and further in view of Sagi et al.

Art Unit: 2614

Claims 38, 60, 72 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Monroe as applied to claim 30 above, and further in view of Goodman et al.

Claims 39, 61, 73 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal, Jr. in view of Monroe as applied to claim30 above, and further in view of Gierachf.

Claims 40, 62, 74 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal, jr. in view of Monroe as applied to claim30 above, and further in view of Hollowell et al.

Claims 6, 21, 36, 42, 46, 58, 70, 76 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Malik, Grabelsky et al, Weiner

Any inquiry concerning this communication should be directed to Creighton H.

Smith at telephone number 571/272-7546.

11 SEP '07

Creighton H Smith Primary Examiner Art Unit 2614

Sheet 1 of 1

Form PTO-1449 (REV. 7-80) PAT		J.S. DEPARTMENT OF COMMERCE TRADEMARK OFFICE		Atty	. Docket No. (Optional)		Application Number						
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Notice of References Cited Application/Control No. 10/740,030 Examiner Art Unit Page 1 of 1 Creighton H. Smith 2614 Applicant(s)/Patent Under Reexamination ROJAS, MICHAEL J. Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-6,763,226	07-2004	McZeal, Jr., Alfred	455/90.2
*	В	US-2004/0252679	12-2004	Williams et al.	370/356
*	С	US-2004/0122906	06-2004	Goodman et al.	709/206
*	D	US-2005/0053230	03-2005	Gierachf, Karl	379/406.06
*	Ε	US-2005/0105697	05-2005	Hollowell et al.	379/088.13
*	F	US-2003/0087632	05-2003	Sagi et al.	455/414
*	G	US-2006/0268750	11-2006	Weiner, Moshe	370/260
*	Н	US-2004/0030046	02-2004	Schultes et al.	525/71
*	ı	US-2007/0112925	05-2007	Malik, Dale W.	709/206
	J	US-			
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FOREIGN PATENT DOCUMENTS

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U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20070911



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CONFIRMATION NO. 1731

SERIAL NUMB 10/740,030	FILING OR 371(c) DATE 12/18/2003 RULE	GRO	GROUP ART UNIT 2614 ATTORNEY DOCKET NO. 17188						
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Application/Control No.

Applicant(s)/Patent under Reexamination

10/740,030

Examiner

ROJAS, MICHAEL J.

Creighton H. Smith

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Application/Control No

Applicant(s)/Patent under Reexamination

10/740,030

ROJAS, MICHAEL J.

Art Unit

Examiner

2614

Creighton H. Smith

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U.S. Patent and Trademark Office

Part of Paper No. 20070911

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael J. Rojas Examiner: Creighton Smith

Serial No: 10/740,030 Art Unit: 2614

Filed: December 18, 2003 Docket: 17188

For: SYSTEM AND METHOD FOR Dated: February 19, 2008

INSTANT VoIP MESSAGING

Confirmation No. 1731

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE UNDER 37 C.F.R. § 1.111

Sir:

Applicant submits this Amendment and Response in reply to the Official Action dated September 18, 2007. Applicant respectfully requests reconsideration of the application in view of the following amendments and remarks.

CERTIFICATE OF ELECTRONIC FILING

I hereby certify that this correspondence is being deposited with the United States Patent & Trademark Office via Electronic Filing through the United States Patent and Trademark Office e-business website, on February 19, 2008.

Dated: February 19, 2008

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Seth Weinfeld

IN THE CLAIMS

This version of the claims replaces and supercedes all prior versions of the claims.

1. (Currently Amended) An instant voice messaging system for delivering instant messages over a packet-switched network, the system comprising:

a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the network; and

a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients enabled to audibly play the instant voice message, and the server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

- 2. (Original) The instant voice messaging system according to Claim 1, wherein the packet-switched network is a local network.
- 3. (Original) The instant voice messaging system according to Claim 1, wherein the packet-switched network is the Internet.
- 4. (Original) The instant voice messaging system according to Claim 1, wherein the client requests a list of recipients associated with the client from the server

and the server transmits the list of recipients to the client for selection of the one or more recipients.

5. (Original) The instant voice messaging system according to Claim 1, wherein the server delivers the instant voice message to the selected recipients that are available.

6. Cancelled

- 7. (Original) The instant voice messaging system according to Claim 1, wherein the client records the instant voice message in an audio file, transmits the audio file to the server, and the server delivers the audio file to the selected recipients, the selected recipients being enabled to audibly play the audio file.
- 8. (Original) The instant voice messaging system according to Claim 7, wherein the client signal processes, compresses and encrypts the audio file, and the selected recipients being enabled to decrypt and decompress the audio file before audibly playing the audio file.
- 9. (Original) The instant voice messaging system according to Claim 1, wherein the client buffers each of a plurality of successive portions of the instant voice message as the instant message is recorded, and the client transmits each successive

buffered portion to the server for delivery to the to the selected recipients, the selected recipients being enabled to audibly playing each successive portion as it is delivered.

- 10. (Original) The instant voice messaging system according to Claim 1, wherein the client is enabled to attach one or more files to the instant voice message and the selected recipients are enabled to store or display the one or more attached files.
- 11. (Original) The instant voice messaging system according to Claim 1, the system further comprising a public switched telephone network (PSTN) telephone connected to the network to provide input audio of the instant voice message to the client.
- 12. (Original) The instant voice messaging system according to Claim 1, the system further comprising a voice-over-internet-protocol (VoIP) telephone connected to the network to provide input audio of the instant voice message to the client.
- 13. (Currently Amended) An instant voice messaging system for delivering instant messages over a packet-switched network enabling public switched telephone network (PSTN) support, the system comprising:
 - a PSTN telephone connected to the network for providing input audio;
- a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor using the input audio provided by the PSTN telephone, and transmitting the selected recipients and the instant voice message therefor over the network;

a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients being enabled to audibly play the instant voice message, and the server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

14. (Currently Amended) An instant voice messaging system for delivering instant messages over a packet-switched network, the system comprising:

a voice-over-internet-protocol (VoIP) telephone connected to the network for providing input audio;

a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor using the input audio provided by the VoIP telephone, and transmitting the selected recipients and the instant voice message therefor over the network;

a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients being enabled to audibly play the instant voice message, and the server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

15. (Currently Amended) An instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising:

a client connected to a local network, the client selecting one or more external recipients connected to an external network outside the local network, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the local network and the external network; and

a server connected to the external network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the external network, the selected recipients being enabled to audibly play the instant voice message, and the server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

16. (Original) The instant voice messaging system according to Claim 15, the client further selects one or more local recipients connected to the local network and transmits the selected local recipients and the instant voice message therefor over the local network, wherein the system further comprises:

a local server connected to the local network, the local server receiving the selected local recipients and the instant message therefor from the client, and delivering the instant voice message to the selected local recipients over the local network, the selected local recipients being enabled to audibly play the instant voice message.

- 17. (Original) The instant voice messaging system according to Claim 15, wherein the local network is a network within an enterprise.
- 18. (Original) The instant voice messaging system according to Claim 15, wherein the external network is the Internet.
- 19. (Original) The instant voice messaging system according to Claim 15, wherein the client requests a list of recipients associated with the client from the server and the server transmits the list of recipients to the client for selection of the one or more recipients.
- 20. (Original) The instant voice messaging system according to Claim 15, wherein the server delivers the instant voice message to the selected recipients that are available.

21. Cancelled

22. (Original) The instant voice messaging system according to Claim 15, wherein the client records the instant voice message in an audio file, transmits the audio file to the server, and the server delivers the audio file to the selected recipients, the selected recipients being enabled to audibly play the audio file.

- 23. (Original) The instant voice messaging system according to Claim 22, wherein the client signal processes, compresses and encrypts the audio file, and the selected recipients are enabled to decrypt and decompress the audio file before audibly playing the audio file.
- 24. (Original) The instant voice messaging system according to Claim 15, wherein the client buffers each of a plurality of successive portions of the instant voice message as the instant message is recorded, and the client transmits each successive portion to the server for delivery to the selected recipients, the selected recipients being enabled to audibly playing each successive portion as it is delivered.
- 25. (Original) The instant voice messaging system according to Claim 15, wherein the client is enabled to attach one or more files to the instant voice message and the selected recipients are enabled to store or display the one or more attached files.
- 26. (Original) The instant voice messaging system according to Claim 15, the system further comprising a public switched telephone network (PSTN) telephone connected to the local network to provide input audio of the instant voice message to the client.
- 27. (Original) The instant voice messaging system according to Claim 15, the system further comprising a voice-over-internet-protocol (VoIP) telephone connected to the local network to provide input audio of the instant voice message to the client.

28. (Currently Amended) An instant voice messaging system for delivering instant messages over a plurality of packet-switched networks enabling public switched telephone network (PSTN) support, the system comprising:

a PSTN telephone connected to a local network for providing input audio; a client connected to the local network, the client selecting one or more external recipients connected to an external network outside the local network, generating an instant voice message therefor using the input audio provided by the PSTN telephone, and transmitting the selected recipients and the instant voice message therefor over the local network and the external network;

a server connected to the external network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the external network, the selected recipients being enabled to audibly play the instant voice message, and the server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

29. (Currently Amended) An instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising:

a voice-over-internet-protocol (VoIP) telephone connected to a local network for providing input audio;

a client connected to the local network, the client selecting one or more external recipients connected to an external network outside the local network, generating an instant voice message therefor using the input audio provided by the VoIP telephone, and transmitting the selected recipients and the instant voice message therefor over the local network and the external network;

an server connected to the external network, the external server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the external network, the selected recipients being enabled to audibly play the instant voice message, and the server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

30. (Currently Amended) An instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising:

a client connected to an external network, the client selecting one or more recipients connected to a local network, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the external network; and

a external server system connected to the external network, the external server system receiving the selected recipients and the instant voice message, and routing

the selected recipients and the instant voice message over the external network and the local network;

a local server connected to the local network, the local server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the local network, the selected recipients being enabled to audibly play the instant voice message, and the local server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

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- 31. (Original) The instant voice messaging system according to Claim 30, the client further selects one or more external recipients connected to the external and transmits the selected external recipients over the external network to the external server, and the external server receives the selected external recipients and delivers the instant voice message to the selected external recipients over the external network, the selected external recipients being enabled to audibly play the instant voice message.
- 32. (Original) The instant voice messaging system according to Claim 30, wherein the local network is a network within an enterprise.
- 33. (Original) The instant voice messaging system according to Claim 30, wherein the external network is the Internet.

- 34. (Original) The instant voice messaging system according to Claim 30, wherein the client requests a list of recipients associated with the client from the external server system and the external server system transmits the list of recipients to the client for selection of the one or more recipients.
- 35. (Original) The instant voice messaging system according to Claim 30, wherein the local server delivers the instant voice message to the selected recipients that are available.

36. Cancelled

- 37. (Original) The instant voice messaging system according to Claim 30, wherein the client records the instant voice message in an audio file, transmits the audio file to the external server, the external server system routes the audio file to the local server, and the local server delivers the audio file to the selected recipients, the selected recipients being enabled to audibly play the audio file.
- 38. (Original) The instant voice messaging system according to Claim 37, wherein the client signal processes, compresses and encrypts the audio file, and the selected recipients are enabled to decrypt and decompress the audio file before audibly playing the audio file.

- 39. (Original) The instant voice messaging system according to Claim 30, wherein the client buffers each of a plurality of successive portions of the instant voice message as the instant message is recorded, and the client transmits each successive buffered portion to the external server system, the external server system routes each successive portion to the local server, and the local server delivers each successive portion to the to the selected recipients, the selected recipients being enabled to audibly play each successive portion as it is delivered.
- 40. (Original) The instant voice messaging system according to Claim 30, wherein the client is enabled to attach one or more files to the instant voice message and the selected recipients are enabled to store or display the one or more attached files.
- 41. (Original) The instant voice messaging system according to Claim 30, the system further comprising a voice-over-internet-protocol (VoIP) telephone connected to the client via a local network, the client providing input audio of the instant voice message to the client via the local network.
- 42. (Original) The instant voice messaging system according to Claim 30, wherein the external server system comprises:
- a transport server mesh including a plurality of transport servers for routing instant voice messages;
- a directory server for maintaining the transport server mesh and facilitating load-balancing of the instant voice messages within the transport server mesh.

43. (Currently Amended) A method for instant voice messaging over a packet-switched network, the method comprising:

selecting one or more recipients for instant voice messaging at a client; generating an instant voice message for the selected recipients at the

transmitting the selected recipients and the instant voice message therefor over the network from the client to a server;

client;

receiving the selected recipients and the instant voice message therefor at the server;

delivering the instant voice message from the server to the selected recipients over the network;

temporarily storing at the server the instant voice message if a selected recipient is unavailable;

delivering from the server the stored instant voice message to the selected recipient once the selected recipient becomes available; and

audibly playing the instant voice message at the selected recipients.

44. (Original) The method for instant voice messaging according to Claim 43, wherein the method further comprises:

requesting from the client a list of recipients associated with the client from the server; and

transmitting from the server the list of recipients to the client for selection of the one or more recipients.

45. (Original) The method for instant voice messaging according to Claim43, wherein the method further comprises:

delivering the instant voice message from the server to the selected recipients that are available.

46. Cancelled.

47. (Original) The method for instant voice messaging according to Claim43, wherein the method further comprises:

recording the instant voice message at the client in an audio file; transmitting the audio file to the server; delivering the audio file from the server to the selected recipients; and

audibly playing the audio file at the least one of the selected recipients.

48. (Original) The method for instant voice messaging according to Claim 47, wherein the method further comprises:

signal processing, compressing and encrypting the audio file at the client; decrypting and decompressing the audio file at the at least one selected recipient; and

audibly playing the decrypted and decompressed audio file at the least one of the selected recipients.

49. (Original) The method for instant voice messaging according to Claim 43, further comprising:

buffering each of a plurality of successive portions of the instant voice message at the client as the instant message is recorded;

transmitting from the client each successive buffered portion to the server;

delivering each successive portion from the server to the selected
recipients, the selected recipients audibly playing each successive portion as it is
delivered.

50. (Original) The method for instant voice messaging according to Claim 43, wherein the method further comprises:

attaching one or more files to the instant voice message at the client; storing or displaying the one or more attached files at the selected recipients.

51. (Original) The method for instant voice messaging according to Claim43, wherein the method further comprises:

providing input audio of the instant voice message to the client from a public switched telephone network (PSTN) telephone connected to the network.

52. (Original) The method for instant voice messaging according to Claim 43, wherein the method further comprises:

providing input audio of the instant voice message to the client from a voice-over-internet-protocol (VoIP) telephone connected to the network.

53. (Currently Amended) A method for instant voice messaging over a packet-switched network enabling public switched telephone network (PSTN) support, the method comprising:

providing input audio via a PSTN telephone connected over the network; selecting one or more recipients for instant voice messaging at a client; generating an instant voice message using the input audio from the PSTN telephone for the selected recipients at the client;

transmitting the selected recipients and the instant voice message therefor over the network from the client to a server;

receiving the selected recipients and the instant voice message therefor at the server;

delivering the instant voice message from the server to the selected recipients over the network;

temporarily storing at the server the instant voice message if a selected recipient is unavailable;

delivering from the server the stored instant voice message to the selected recipient once the selected recipient becomes available; and

audibly playing the instant voice message at selected recipients.

54. (Currently Amended) A method for instant voice messaging over a packet-switched network, the method comprising:

providing input audio via a voice-over-internet-protocol (VoIP) telephone connected over the network;

selecting one or more recipients for instant voice messaging at a client;
generating an instant voice message using the input audio from the VoIP
telephone for the selected recipients at the client;

transmitting the selected recipients and the instant voice message therefor over the network from the client to a server;

receiving the selected recipients and the instant voice message therefor at the server;

delivering the instant voice message from the server to the selected recipients over the network;

temporarily storing at the server the instant voice message if a selected recipient is unavailable;

delivering from the server the stored instant voice message to the selected recipient once the selected recipient becomes available; and

audibly playing the instant voice message at the selected recipients.

55. (Currently Amended) A method for instant voice messaging over a plurality of packet-switched networks, the method comprising:

selecting one or more external recipients for instant voice messaging at a client connected to a local network, the one or more external recipients connected to an external network outside the local network;

generating an instant voice message for the selected external recipients at the client;

transmitting the selected external recipients and the instant voice message therefor over the local network and the external network;

receiving the selected external recipients and the instant voice message therefor at an external server connected to the external network;

delivering the instant voice message to the selected external recipients over the external network;

temporarily storing the instant voice message at the external server if a selected recipient is unavailable;

delivering the stored instant voice message to the selected recipient once the selected recipient becomes available; and

audibly playing the instant voice message at the selected external recipients.

56. (Original) The method for instant voice messaging according to Claim55, wherein the method further comprises:

requesting from the external server a list of external recipients associated with the client; and

transmitting the list of external recipients from the external server to the client for selection of the one or more external recipients.

57. (Original) The method for instant voice messaging according to Claim55, wherein the method further comprises:

delivering the instant voice message from the external server to the selected recipients that are available.

58. Cancelled.

59. (Original) The method for instant voice messaging according to Claim55, wherein the method further comprises:

recording the instant voice message in an audio file at the client; transmitting the audio file to the external server;

delivering the audio file to the selected recipients from the external server;

and

and

audibly playing the audio file at the selected recipients.

60. (Original) The method for instant voice messaging according to Claim 59, wherein the method further comprises:

signal processing, compressing and encrypting the audio file at the client;

decrypting and decompressing the audio file at the selected recipients; and

audibly playing the decrypted and decompressed audio file at the selected recipients.

61. (Original) The method for instant voice messaging according to Claim55, wherein the method further comprises:

buffering each of a plurality of successive portions of the instant voice message at the client as the instant message is recorded;

transmitting from the client each successive portion to the external server;

delivering each successive portion from the external server to the selected external recipients,

audibly playing each successive portion at the selected external recipients as it is delivered.

62. (Original) The method for instant voice messaging according to Claim55, wherein the method further comprises:

attaching one or more files to the instant voice message;

storing or displaying the one or more attached files at the selected external recipients.

63. (Original) The method for instant voice messaging according to Claim 55, wherein the method further comprises providing input audio of the instant voice message to the client from a public switched telephone network (PSTN) telephone over the local network.

64. (Original) The method for instant voice messaging according to Claim 55, wherein the method further comprises providing input audio of the instant voice message to the client from a voice-over-internet-protocol (VoIP) telephone over the local network.

65. (Currently Amended) A method for instant voice messaging system over a plurality of packet-switched networks enabling public switched telephone network (PSTN) support, the method comprising:

providing input audio via a PSTN telephone connected to a local network; selecting one or more external recipients for instant voice messaging at a client, the one or more external recipients connected to an external network outside the local network;

generating an instant voice message for the one or more external recipients using the input audio provided by the PSTN telephone;

transmitting the selected recipients and the instant voice message therefor over the local network and the external network;

receiving the selected recipients and the instant voice message therefor at a server connected to the external network;

delivering the instant voice message to the selected recipients from the server over the external network;

temporarily storing at the server the instant voice message if a selected recipient is unavailable;

delivering from the server the stored instant voice message to the selected recipient once the selected recipient becomes available; and

audibly playing the instant voice message at the selected recipients.

66. (Currently Amended) A method for instant voice messaging system over a plurality of packet-switched networks, the method comprising:

providing input audio via a voice-over-internet-protocol (VoIP) telephone connected to a local network;

selecting one or more external recipients for instant voice messaging at a client, the one or more external recipients connected to an external network outside the local network;

generating an instant voice message for the one or more external recipients using the input audio provided by the VoIP telephone;

transmitting the selected recipients and the instant voice message therefor over the local network and the external network;

receiving the selected recipients and the instant voice message therefor at a server connected to the external network;

delivering the instant voice message to the selected recipients from the server over the external network;

temporarily storing at the server the instant voice message if a selected recipient is unavailable;

delivering from the server the stored instant voice message to the selected recipient once the selected recipient becomes available; and

audibly playing the instant voice message at the selected recipients.

67. (Currently Amended) A method for instant voice messaging over a plurality of a plurality of packet-switched networks, the method comprising:

selecting one or more recipients connected to a local network at a client connected to an external network;

generating an instant voice message for the selected recipients at the client;

transmitting the selected recipients and the instant voice message therefor over the external network from the client to an external server system;

receiving the selected recipients and the instant voice message at the external server system;

routing the selected recipients and the instant voice message over the external network and the local network;

receiving the selected recipients and the instant voice message therefor at a local server connected to the local network;

delivering the instant voice message to the selected recipients over the local network;

temporarily storing the instant voice message at the local server if a selected recipient is unavailable;

delivering the stored instant voice message to the selected recipient once the selected recipient becomes available; and

audibly playing the instant voice message at the selected recipients.

68. (Original) The method for instant voice messaging according to Claim 67, wherein the method further comprises:

requesting a list of recipients associated with the client from the external server system; and

transmitting the list of recipients from the external server system to the client for selection of the one or more recipients.

69. (Original) The method for instant voice messaging according to Claim 67, wherein the method further comprises:

delivering the instant voice message from the local server to the selected recipients that are available.

70. Cancelled

and

71. (Original) The method for instant voice messaging according to Claim 67, wherein the method further comprises:

recording the instant voice message in an audio file at the client; transmitting the audio file from the client to the external server system; routing the audio file from the external server system to the local server; delivering the audio file from the local server to the selected recipients; and

audibly playing the audio file at the selected recipients.

72. (Original) The method for instant voice messaging according to Claim 71, wherein the method further comprises:

signal processing, compressing and encrypting the audio file at the client; decrypting and decompressing the audio file at the selected recipients; audibly playing the decrypted and decompressed audio file at the selected

73. (Original) The method for instant voice messaging according to Claim67, wherein the method further comprises:

recipients.

buffering each of a plurality of successive portions of the instant voice message at the client as the instant message is recorded;

transmitting from the client each successive portion to the external server system;

routing each successive portion from the external server system to the local server;

delivering each successive portion from local server to the selected external recipients; and

audibly playing each successive portion at the selected recipients as it is delivered.

74. (Original) The method for instant voice messaging according to Claim67, wherein the method further comprises:

attaching one or more files to the instant voice message at the client; storing or displaying the one or more attached files at the selected recipients.

75. (Original) The method for instant voice messaging according to Claim 67, wherein the method further comprises:

providing input audio of the instant voice message from a voice-overinternet-protocol (VoIP) telephone to the client via a local network connecting the VoIP telephone to the client.

76. (Original) The method for instant voice messaging according to Claim 67, wherein the method further comprises:

maintaining a transport server mesh including a plurality of transport servers for routing instant voice messages; and

load-balancing the instant voice messages within the transport server mesh.

REMARKS

Applicant has filed the present Amendment and Response in reply to the outstanding Official Action of September 18, 2007, and the Applicant believes the Amendment and Response to be fully responsive to the Official Action for at least the reasons set forth herein.

Applicant would like to thank the Examiner for indicating that claims 6, 21, 36, 42, 58, 70 and 76 have allowable subject matter and would be allowed if rewritten in independent form including all of the limitations of the base claim and all intervening claims. Accordingly, independent claims 1, 15, 30, 43, 55 and 67 have been rewritten incorporating the subject matter of allowable claims 6, 21, 36, 46, 58 and 70, respectively. Applicant submits that the independent claims should be allowed in view of the aforementioned amendments.

Additionally, Applicant notes that independent claims 13, 14, 28, 29, 53, 54, 65 and 66 have been amended. Each of the above-identified independent claims have been amended to recite similar limitations as the allowable claims, e.g., the server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available or temporarily storing at the server the instant voice message if a selected recipient is unavailable and delivering from the server the stored instant voice message to the selected recipient once the selected recipient becomes available. Claims 6, 21, 36, 46, 58 and 70 have been cancelled. No new matter has been added to the application by way of the aforementioned amendments. Applicant submits that all of the pending claims should be allowable in view of the aforementioned amendments.

Claims 1-3, 5, 11-18, 26-29, 43, 45, 51-54, 65, and 66 were rejected under 35 U.S.C. § 102(e) as being anticipated by McZeal Jr., U.S. Patent No. 6,763,226. Claims 4, 19, 20, and 44 were rejected under § 103(a) as being unpatentable over McZeal, U.S. Patent No. 6,763,226 in view of Williams et al., U.S. Pat. Pub 2004/0252679 (Williams). Claims 7, 22 and 47 were rejected under 35 U.S.C § 103(a) as being unpatentable over McZeal in view of Sagi et al., U.S. Pat. Pub. 2003/0087632. Claims 8, 23, and 48 were rejected under 35 U.S.C § 103(a) as being unpatentable over McZeal in view of Goodman et al., U.S. Pat. Pub 2004/0122906. Claims 9, 24 and 49 were rejected under 35 U.S.C § 103(a) as being unpatentable over McZeal in view of Gierachf, U.S. Pat. Pub 2005/0053230. Claims 10, 25 and 50 were rejected under 35 U.S.C. § 103(a) as being unpatentable over McZeal in view of Gierachf, U.S. Pat. Pub 2005/0053230. Claims 10, 25 and 50 were rejected under 35 U.S.C. § 103(a) as being unpatentable over McZeal in view of Hollowell et al., U.S. Pat. Pub 2005/0105697.

Claims 30-33, 35, 41, 55, 57, 63, 64, 67, 69 and 75 were rejected under 35 U.S.C. § 103(a) as being unpatentable over McZeal in view of Monroe, U.S. Patent No. 6,970,183. Claims 34, 56 and 68 were rejected under 35 U.S.C. § 103(a) as being unpatentable over McZeal, Williams and Monroe. Claims 37, 59 and 71 were rejected under 35 U.S.C. § 103(a) as being unpatentable over McZeal, Sagi in view of Monroe.

Claims 38, 60 and 72 were rejected under 35 U.S.C. § 103(a) as being unpatentable over McZeal, Goodman and Monroe. Claims 39, 61 and 73 were rejected under 35 U.S.C. § 103(a) as being unpatentable over McZeal, Gierachf and Monroe. Claims 40, 62 and 74 were rejected under 35 U.S.C. § 103(a) as being unpatentable over McZeal, Hollowell in view of Monroe.

Applicant submits that the rejections set forth in the outstanding Official Action and listed above are rendered moot by the aforementioned amendments.

Based upon the foregoing, Applicant respectfully requests that the Examiner withdraw all of the pending rejections pursuant to either 35 U.S.C. § 102(e) or § 103(a).

In conclusion, the Applicant believes that the above-identified application is in condition for allowance and henceforth respectfully solicits the Examiner to allow the application. If the Examiner believes a telephone conference might expedite the allowance of this application, the Applicant respectfully requests that the Examiner call the undersigned, Applicant's attorney, at the following telephone number: (516) 742-4343.

Respectfully submitted,

Seth Weinfeld

Registration No: 50,929

Scully, Scott, Murphy & Presser, P.C. 400 Garden City Plaza, Suite 300 Garden City, New York 11530 516-742-4343

SW:reg

	AMENDMENT & 1E UNDER 37 CF	Docket No. 17188							
In Re Application	Of: Michael J. Roja	\$							
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.				
10/740,030	December 18, 2003	Creighton Smith	23389	2614	1731				
Invention: SYSTEM AND METHOD FOR INSTANT VoIP MESSAGING									
response to the O	fice Action of Sep	tition under the provisions of tember 18, 2007 in the above Date check time period desired):	37 CFR 1.136(a) to extend the pocation.	eriod for filing a				
☐ One moi		•	ns 🗆 Four	months \Box	Five months				
from:	December 18, 2	007 until:	Febru	ary 18, 2007					
	small entity status. So	ee 37 CFR 1.27. sion of time has been calcula	ited as shown be	elow:					
		CLAIMS AS AMEND	ED						
	CLAIMS REMAINING AFTER AMENDMENT		MBER EXTRA	RATE	ADDITIONAL FEE				
TOTAL CLAIMS	70 -	76 =	0 :	x \$25.00	\$0.00				
INDEP. CLAIMS	14 -	14 =	0	x \$105.00	\$0.00				
			FEE FOR AM	MENDMENT	\$0.00				
		FEE	FOR EXTENSION	ON OF TIME	\$230.00				
	TOTA	AL FEE FOR AMENDMENT	AND EXTENSIO	ON OF TIME	\$230.00				

COMBINED AMENDMENT & PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a) (Small Entity)

Docket No. 17188

The	fee for the amendment and extension of time is to be paid as fol	ows:
	A check in the amount of for the amendment	and extension of time is enclosed.
X	Please charge Deposit Account No. 19-1013/SSMP in the ar	nount of \$230.00
X	The Director is hereby authorized to charge payment of the follo communication or credit any overpayment to Deposit Account N	
	Any additional filing fees required under 37 C.F.R. 1.16.Any patent application processing fees under 37 CFR 1.17	
	If an additional extension of time is required, please consider thi fees which may be required to Deposit Account No.	s a petition therefor and charge any additional
	Payment by credit card. Form PTO-2038 is attached.	
	WARNING: Information on this form may become public. Co	
	included on this form. Provide credit card information and	authorization on P10-2038.
	Seth John Jall	Dated: February 19, 2008
	Signature	Dateu. February 19, 2000
	Veinfeld	I hereby certify that this correspondence is being
	ration No.: 50,929 , Scott, Murphy & Presser, P.C.	deposited with the United States Postal Service with sufficient postage as first class mail in an envelope
	arden City Plaza - Suite 300	addressed to the "Commissioner for Patents, P.O. Box
	n City, New York 11530	1450, Alexandria, VA 22313-1450"[37 CFR 1.8(a)] on
516) 7	742-4343	(Date)
		Signature of Person Mailing Correspondence
cc:		Anned or Printed Name of Person Mailing Correspondence

P28SMALL/REV08

Electronic Patent Application Fee Transmittal								
Application Number:	10740030							
Filing Date:	18	-Dec-2003						
Title of Invention:	for instant Vo	IP messaging						
First Named Inventor/Applicant Name:	Mi	chael J. Rojas						
Filer:	Pa	ul J. Esatto/Rosea	ann Gallo					
Attorney Docket Number:	Attorney Docket Number: 17188							
Filed as Small Entity								
Utility Filing Fees								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:	Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:								
Extension-of-Time:								
Extension - 2 months with \$0 paid		2252	1	230	230			

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tota	al in USI) (\$)	230

Electronic Ack	Electronic Acknowledgement Receipt						
EFS ID:	2881556						
Application Number:	10740030						
International Application Number:							
Confirmation Number:	1731						
Title of Invention:	System and method for instant VoIP messaging						
First Named Inventor/Applicant Name:	Michael J. Rojas						
Customer Number:	23389						
Filer:	Paul J. Esatto/Roseann Gallo						
Filer Authorized By:	Paul J. Esatto						
Attorney Docket Number:	17188						
Receipt Date:	19-FEB-2008						
Filing Date:	18-DEC-2003						
Time Stamp:	18:43:01						

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$230
RAM confirmation Number	4208
Deposit Account	191013
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

File Listing	:						
Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)		
1		AMEND1EOT.pdf	1389410	yes	32		
'		AMENDTEOT.pdf	23f5737b15542285efd889fd6d95doda5 78688a6	yes	32		
Multipart Description/PDF files in .zip description							
	Document De	scription	Start	E	nd		
	Amendment - After No	1	1				
	Claims	2	27				
	Applicant Arguments/Remarks	Made in an Amendment	28	28 30			
	Extension of	f Time	31	3	32		
Warnings:							
Information:							
2	Fee Worksheet (PTO-06)	fee-info.pdf	8139	no	2		
2	r ee worksneet (r 10-00)	395425fd3fcf9a5b8804651233797ab1b f789be7	110	2			
Warnings:							
Information:							
		Total Files Size (in bytes)	13	97549			

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (07-06)
Approved for use through 1/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

P	PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875								ing Date 18/2003	To be Mailed	
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	FOR		JMBER FIL	<u> </u>	MBER EXTRA		RATE (\$)	FEE (\$)	9	RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A	.,,		N/A	(.)
	SEARCH FEE		N/A	i	N/A	1	N/A		1	N/A	
	(37 CFR 1.16(k), (i), (i), (ii), (iii), (iiii), (iii), (iii), (iiii), (iiii), (iiii), (iii), (iii),	Ε	N/A		N/A		N/A			N/A	
	AL CLAIMS CFR 1.16(i))	OI (4/)	mir	us 20 = *		i	x \$ =		OR	x \$ =	
İND	EPENDENT CLAIM	IS	m	inus 3 = *		ı	x \$ =			x \$ =	
	CFR 1.16(h)) APPLICATION SIZE 37 CFR 1.16(s))	sheed is \$25 additi 35 U.	specificates of pape 50 (\$125 onal 50 s S.C. 41(ation and drawing er, the application for small entity) sheets or fraction a)(1)(G) and 37	n size fee due for each n thereof. See						
Ш	MULTIPLE DEPEN										
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	APP	(Column 1)	AMEND	(Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ALL ENTITY
AMENDMENT	02/19/2008	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
ME	Total (37 CFR 1.16(i))	* 70	Minus	** 76	= 0		X \$25 =	0	OR	x \$ =	
붊	Independent (37 CFR 1.16(h))	* 14	Minus	***14	= 0		X \$105 =	0	OR	x \$ =	
AMI	Application S	ize Fee (37 CFR 1	.16(s))								
`	FIRST PRESEN	NTATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CF	R 1.16(j))				OR		
							TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)					'	
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
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AMENI	Application S	ize Fee (37 CFR 1	.16(s))								
ΑN	FIRST PRESEN	NTATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CF	R 1.16(j))				OR		
* If	the entry in column	1 is less than the e	ntry in col	umn 2, write "0" in	column 3.		TOTAL ADD'L FEE	octrument Ex	OR	TOTAL ADD'L FEE	
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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	1127	((plural\$3 or multipl\$5) with servers with load near3 balanc\$3) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/03/03 09:46
L2	1032	((plural\$3 or multipl\$5) with servers with (load adj balanc\$3)) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/03/03 09:46
L3	0	((plural\$3 or multipl\$5) with servers with (load adj balanc\$3)) same (global with IM) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/03/03 09:51
L4	935	((plural\$3 or multipl\$5) near5 servers with (load adj balanc\$3)) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/03/03 09:57



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/740,030	12/18/2003	Michael J. Rojas	17188	1731
23389 SCHLLY SCO	7590 03/06/2008 TT MURPHY & PRESSEI	2 PC	EXAM	INER
400 GARDEN		λ, Γ Ο	SMITH, CRE	EIGHTON H
SUITE 300 GARDEN CIT	Y. NY 11530		ART UNIT	PAPER NUMBER
	,		2614	
			MAIL DATE	DELIVERY MODE
	•		03/06/2008	PAPER .

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)
	10/740,030	ROJAS, MICHAEL J.
Office Action Summary	Examiner	Art Unit
	Creighton H. Smith	2614
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	correspondence address
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) filed on 2a) This action is FINAL. 2b) This 3) Since this application is in condition for allowar closed in accordance with the practice under E	action is non-final. nce except for formal matters, pro	
Disposition of Claims		
4) Claim(s) 1-5,7-20,22-35,37-45,47-57,59-69 and 4a) Of the above claim(s) 6, 21, 36, 46, 58, 70 5) Claim(s) is/are allowed. 6) Claim(s) 1-5, 7-20, 22-35, 37-45, 47-57, 59-69, 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or Application Papers 9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) access Applicant may not request that any objection to the Replacement drawing sheet(s) including the correction of the other contents of the other corrections.	is/are withdrawn from consideral to the second repeted. The election requirement. The election requirement of the second required to by the second required in abeyance. Second required if the drawing(s) is objected to by the second required if the drawing(s) is objected to by the second required if the drawing(s) is objected to by the second required if the drawing(s) is objected to by the second required if the drawing(s) is objected to by the second required if the drawing(s) is objected to by the second required if the drawing(s) is objected to be second required if the drawing(s) is objected to be second required if the drawing(s) is objected to be second required if the drawing(s) is objected to be second required if the drawing(s) is objected to be second required in the drawing(s).	Examiner. e 37 CFR 1.85(a). jected to. See 37 CFR 1.121(d).
Priority under 35 U.S.C. § 119		
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of: 1. Certified copies of the priority documents 2. Certified copies of the priority documents 3. Copies of the certified copies of the prior application from the International Bureau * See the attached detailed Office action for a list	s have been received. s have been received in Applicati ity documents have been receive I (PCT Rule 17.2(a)).	on No ed in this National Stage
Attachment(s)		
1) Notice of References Cited (PTO-892)	4) Interview Summary	
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date U.S. Patent and Trademark Office	Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	

PTOL-326 (Rev. 08-06)

Art Unit: 2614

Page 2

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-3, 5, 11-18, 26-29, 43, 45, 51-54, 65, 66 rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry, U.S. Pat. App. Pub. #2007/0174403.

McZeal discloses in col. 4, lines 18 et seq. that until his invention there was no device which would take full advantage of the Internet and instant messaging for voice quality purposes, and which uses computer data networks for voice.

In col. 28, lines 5 et seq., McZeal discloses that his invention provides customers with instant voice messaging which uses Voice over Internet Protocol (VoIP). In col. 16, lines 39 et seq., McZeal discloses that his invention can use both the Internet and the PSTN. Barry discloses in [0031] that instant messages/IM are stored in server 150. To have provided Barry's teaching of an IM server, that will store the IM until a user is ready to retrieve them, in McZeal's communication system would have been obvious to a person having ordinary skill in the art, because the skilled practitioner in this communications art would realize the need to store messages if the called party lacked the present ability to receive the IM.

For claims 2 & 3, McZeal discloses in cols. 1 & 16, lines 42-43 & 25-30 that his invention can be used in local or wide area networks, i.e., LAN/WAN.

Regarding claim 11, see McZeal @ col. 16, lines 42 & 59-60.

Art Unit: 2614

Page 3

Pertaining to claim 30, with McZeal's disclosure that his device can be used in either a WAN (internet) or LAN (local area network). If the voice message is to be routed out beyond a LAN, then an external serving system will be employed until the message reaches the recipient inside of the LAN, whereupon the LAN and its associated server will route the message to the intended recipient.

Claims 4, 19, 20, 44 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry, U.S. Pat. App. Pub. #2007/0174403 and Williams et al.

Williams et al disclose in ¶-0055 that a messaging server (105) will save a voice message and send a list of recipients to the user from an address book. To have provided Williams teaching of a server providing a user a calling list of recipients in McZeal's Instant Voice Messaging server system would have been obvious to a person having ordinary skill in the art, because the skilled practitioner in the communications and server arts will readily realize that there are an unlimited amount of commands and information that a server can hold which can be communicated to anyone throughout the world that has the proper equipment.

Claims 7, 22, 47, are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry, U.S. Pat. App. Pub. #2007/0174403 and to Sagi et al.

Sagi et al disclose in claim 24 where a server will receive an audio file from a subscriber, and then in claim 29 Sagi et al disclose that the transmission is sent to a second subscriber. To have similarly used Sagi et al disclosure of transmitting an audio file to a server in McZeal's device would have been obvious to a person having ordinary skill in the art, because the skilled practitioner in the communications art will realize that

Art Unit: 2614

the sending party can either directly record a voice message or send an audio file.

Either way, a called party will receive the voice message.

Claims 8, 23, 48 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry, U.S. Pat. App. Pub. #2007/0174403 and Goodman et al.

Page 4

Goodman et al disclose in ¶-0033 that an audio message can be transformed from any of encrypted, decrypted, compressed, or decompressed format. To have similarly provided Goodman's teaching of encrypting, decrypting, compressing, and decompressing audio into McZeal's device would have been obvious to a person having ordinary sill in the art, because by compressing the audio will take up less memory in the server.

Claims 9, 24, 49, are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry, U.S. Pat. App. Pub. #2007/01774403 and Gierachf.

Gierachf discloses in ¶-0044 in Step 266 that the audio data, or voice message, is sent to an audio buffer 19B'. To have similarly used Gierachf method of buffering the audio data in McZeal's device would have been obvious to a person having ordinary skill in the art.

Claims 10, 25, 50 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry, U.S. Pat. App. Pub. #2007/0174403 and Hollowell et al.

Hollowell et al teach in ¶-0031 attaching an email message to an audio message.

To have provided this teaching in McZeal would have been obvious to a person having ordinary skill in the art because the skilled practitioner in this communications art will realize the efficiency of alerting a multitude of persons located throughout the world that

Art Unit: 2614

an email from the sender is being sent to the recipients, such as the minutes of an important meeting.

Page 5

Claims 30-33, 35, 41, 55, 57, 63, 64, 67, 69, 75 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry, U.S. Pat. App. Pub. 32007/0174403 and Monroe.

Monroe discloses in col. 20, lines 28 et seq. and in Fig. 9 a local server (460) connected to a LAN, which provides a gateway to a wide area network like the Internet. In col. 32, lines 11 et seq. Monroe discloses that pre-recorded voice messages can be delivered to a modem and then delivered throughout the Network. To have used Monroe's teaching of connecting a local server to an Internet server into McZeal's device would have been obvious to a person having ordinary skill in the art because a local server will only reach a few, select individuals in close proximity to each other, whereas the Internet will have global reach, thus insuring connectivity to clients worldwide.

Claims 42 and 76 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry and Monroe as applied to claim 30 above, and further in view of Boukobza, U.S. Pat. App. Pub. #2006/0167883.

Boukobza's method as disclosed in [0020] and claim 14 is for load balancing databases within a network having a plurality of servers. To have provided Boukobza's method of load balancing servers in Monroe as applied to McZeal would have been obvious to a person having ordinary skill in the art, because the skilled practitioner would realize that as one server becomes filled with IM, or as one server is being

Art Unit: 2614

Page 6

inundated with high traffic volume, it would be necessary to route some of those IM to another server for storing.

Claims 34, 56, 68 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry and Monroe as applied to claim 30 above, and further in view of Williams et al.

Claims 37, 59, 71 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry and Monroe as applied to claim 30 above, and further in view of Sagi et al.

Claims 38, 60, 72 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry and Monroe as applied to claim 30 above, and further in view of Goodman et al.

Claims 39, 61, 73 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal, Jr. in view of Barry and Monroe as applied to claim 30 above, and further in view of Gierachf.

Claims 40, 62, 74 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal, jr. in view of Barry and Monroe as applied to claim 30 above, and further in view of Hollowell et al.

Any inquiry concerning this communication should be directed to Creighton H.

Smith at telephone number 571/272-7546.

02 MAR '08

Creighton H Smith Primary Examiner Art Unit 2614

Applicant(s)/Patent Under Reexamination ROJAS, MICHAEL J. Application/Control No. 10/740,030 **Notice of References Cited** Examiner Art Unit Page 1 of 1 2614 Creighton H. Smith

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY·	Name	Classification
*	Α	US-2007/0174403	07-2007	Barry, Mona Elisabeth	709/207
*	В	US-2006/0167883	07-2006	Boukobza, Eric	707/010
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FOREIGN PATENT DOCUMENTS

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NON-PATENT DOCUMENTS

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"A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20080303

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U.S. Patent and Trademark Office

Search Notes					

Application/Control No.	Applicant(s)/Patent under Reexamination						
10/740,030	ROJAS, MICHAEL J.						
Examiner	Art Unit						
Creighton H. Smith	2614						

SEARCHED						
Class	Subclass	Date	Examiner			
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INTERFERENCE SEARCHED									
Class	Subclass	Date	Examiner						
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SEARCH NOTES (INCLUDING SEARCH STRATEGY)							
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U.S. Patent and Trademark Office

Part of Paper No. 20080303

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael J. Rojas

Examiner:

Creighton H. Smith

Serial No:

10/740,030

Art Unit:

2614

Filed:

December 18, 2003

Docket:

17188

For:

SYSTEM AND METHOD FOR

Dated:

July 7, 2008

INSTANT VoIP MESSAGING

Confirmation No. 1731

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

RESPONSE UNDER 37 C.F.R. § 1.111

Sir:

Applicant submits this Response in reply to the Official Action dated March 6, 2008. Applicant respectfully requests reconsideration of the application in view of the following remarks.

CERTIFICATE OF ELECTRONIC FILING

I hereby certify that this correspondence is being deposited with the United States Patent & Trademark Office via Electronic Filing through the United States Patent and Trademark Office e-business website, on July 7, 2008.

Dated: July 7, 2008

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REMARKS

Applicant has filed the present Response in reply to the outstanding Official Action of March 6, 2008, and the Applicant believes the Response to be fully responsive to the Official Action for at least the reasons set forth herein.

Claims 1-3, 5, 11-18, 26-29, 43, 45, 51-54, 65 and 66 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal (previously cited) and Barry, U.S. Patent Publication No. 2007/0174403. Claims 4, 19, 20, and 44 stand rejected under 35 U.S.C. § 103(a) in view of McZeal, Barry and Williams. Claims 7, 22 and 47 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Barry, and Sagi. Claims 8, 23 and 48 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Barry and Goodman. Claims 9, 24 and 49 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Barry and Gierachf, U.S. Pat.ent Publication No. 2005/0053230. Claims 10, 25 and 50 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Barry and Hollowell et al., U.S. Pat. Pub 2005/0105697.

Claims 30-33, 35, 41, 55, 57, 63, 64, 67, 69 and 75 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Barry and Monroe, U.S. Patent No. 6,970,183. Claims 42 and 46 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Barry, Monroe and Boukobza. Claims 34, 56 and 68 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view McZeal, Barry, Williams and Monroe. Claims 37, 59 and 71 were rejected under 35 U.S.C. § 103(a) as being unpatentable in view McZeal, Barry, Sagi and Monroe.

Claims 38, 60 and 72 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Barry, Goodman and Monroe. Claims 39, 61 and 73 stand rejected under 35

U.S.C. § 103(a) as being unpatentable in view of McZeal, Barry, Gierachf and Monroe. Claims

40, 62 and 74 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal,

Barry, Hollowell and Monroe.

Applicant respectfully disagrees with the rejection and traverses with at least the

following remarks and comments. Applicant submits that Barry and Hollowell are not prior art.

Annexed hereto is a declaration pursuant to 37 C.F.R. § 1.131 attesting to Applicant's prior

conception of the claimed invention. As asserted in paragraphs 2 and 3, Applicant completed the

invention claimed in the instant application prior to the filing dates of both references. Applicant

worked diligently with two different patent attorneys to file a patent application.

Based upon the foregoing, Applicant respectfully requests that the Examiner withdraw all

of the pending rejections pursuant to 35 U.S.C. § 103(a).

In conclusion, the Applicant believes that the above-identified application is in condition

for allowance and henceforth respectfully solicits the Examiner to allow the application. If the

Examiner believes a telephone conference might expedite the allowance of this application, the

Applicant respectfully requests that the Examiner call the undersigned, Applicant's attorney, at

the following telephone number: (516) 742-4343.

Respectfully submitted,

Seth Weinfeld

Registration No: 50,929

Scully, Scott, Murphy & Presser, P.C. 400 Garden City Plaza, Suite 300

Garden City, New York 11530

516-742-4343

SW:reg

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COMBINED AMENDMENT & PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a) (Small Entity) Docket N 17188									
In Re Application Of: Michael J. Rojas									
Application No.	Filing Date	Examiner	Customer No	. Group Art Unit	Confirmation No.				
10/740,030									
Invention: SYS	TEM AND METHOD	FOR INSTANT VoIP M	ESSAGIN						
COMMISSIONER FOR PATENTS:									
This is a combine response to the C		tition under the provision <u>farch 6, 2008</u> in the a Date	ns of 37 CFR 1.136(above-identified app		eriod for filing a				
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TOTAL CLAIMS	70 -	76 =	0	x \$25.00	\$0.00 \$0.00				
INDEP. CLAIMS	14 -	14 =	0	x \$105.00					
FEE FOR AMENDMENT \$0.00									
FEE FOR EXTENSION OF TIME \$60.00									
TOTAL FEE FOR AMENDMENT AND EXTENSION OF TIME \$60.00									

COMBINED AMENDMENT & PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a) (Small Entity)

Docket No. 17188

The	fee for the amendment and extension of time is to be	paid as follows:
	A check in the amount of for the	amendment and extension of time is enclosed.
X	Please charge Deposit Account No. 19-1013/SSM	P in the amount of \$60.00
X	The Director is hereby authorized to charge payment communication or credit any overpayment to Deposit	
	Any additional filing fees required under 37 C.FAny patent application processing fees under 3	
×	If an additional extension of time is required, please fees which may be required to Deposit Account No.	consider this a petition therefor and charge any additional 19-1013/SSMP
	Payment by credit card. Form PTO-2038 is attached	
	WARNING: Information on this form may become included on this form. Provide credit card inform	
>	Seth Wenteld	Dated: July 7, 2008
	Signature	Dulou. July 1, 2000
Regist cully, 00 Ga Garde	Veinfeld ration No. 50,929 , Scott, Murphy & Presser, P. C. arden City Plāza - Suite 300 n City, New York 11530 742-4343	I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on
		(Date)
oc:		Signature of Ferson Mailing Correspondence
		Typed or Printed Name of Person Mailing Correspondence

P28SMALL/REV06

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael J. Rojas Examiner: Creighton H. Smith

Serial No: 10/740,030 Art Unit: 2614

Filed: December 18, 2003 Docket: 17188

For: SYSTEM AND METIIOD FOR

INSTANT VOIP MESSAGING

Confirmation No. 1731

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

DECLARATION PURSUANT TO 37 C.F.R. § 1.131

Sir:

I, MICHAEL ROJAS, hereby declare that:

- I am the Applicant of United States Patent Application No. 10/740,030, filed on December 18, 2003.
- 2. I completed the invention disclosed and claimed in United States Patent Application No. 10/740,030, prior to November 14, 2003, which is the filing date of United States Publication No. 2005/0105697 A1, cited as a reference under 35 U.S.C. § 103, against the present application by the Examiner.
- 3. I completed the invention disclosed and claimed in United States Patent Application No. 10/740,030, prior to August 15, 2003, which is the filing date of United States Publication No. 2007/0174403 A1, cited as a reference under 35 U.S.C. § 103, against the present application by the Examiner.
- 4. The completion of the present invention consisted of the timely preparation of an invention disclosure outlining the subject matter of the invention. As evidence thereof

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- annexed hereto and made a part of this Declaration is Exhibit A, which is a redacted copy of the invention entitled "Instant Voice Communication" and comprising nineteen (19) pages of description.
- All of the salient features of Applicant's United States Patent Application No. 10/740,030 are fully described in the annexed Exhibit A.
- 6. The material, as set forth in Exhibit A, fully and comprehensively describes the subject matter of the claims of the United States Patent Application No. 10/740.030, setting forth the features of the claimed invention.
- The invention disclosure was timely submitted to outside counsel, Bradley C.
 Corsello (hereinafter "Corsello"), to prepare and file a provisional patent application.
- A first draft of the provisional patent application was received from Corsello, prior to August 15, 2003.
- On August 11, 2003, Corsello and Applicant had a teleconference regarding drafting
 the application and visit by Corsello to Applicant's office scheduled for August 19,
 2003. Annexed herein as Exhibit B is a redacted email evidencing the teleconference.
- 10. On August 28, 2003, Corsello responded to a series of questions from Applicant regarding information needed to draft the application. Annexed herein as Exhibit C is a redacted email from Corsello.
- On September 8, 2003, a representative of the assignee, Ayalogic, Neil Adams
 (hereinafter "Adams") inquired about the status of the application. Corsello informed
 Applicant that he was working on the revised draft. Annexed herein as Exhibit D is a
 redacted small regarding the inquiry and response.

- 12. On September 17, 2003, Adams emailed Applicant inquiring about information and material needed for the draft of the provisional application. Annexed herein as Exhibit E is a redacted email regarding the inquiry.
- 13. On September 22, 2003, Adams emailed Corsello information and material for the provisional application. The material is appended to the email as an attachment. Annexed herein as Exhibit F is a reducted email regarding the submission of material.
- 14. On October 3, 2003, assignee, Ayalogic (hereinafter "Ayalogic") decided to look for another law firm to file a patent application regarding the subject matter described in the invention disclosure.
- Between October 3, 2003-October 27, 2003, Ayalogic searched for a law firm to preparing the patent application.
- On October 28, 2003, Ayalogic engaging the firm Scully, Scott, Murphy and Presser,
 P.C., (hereinafter "Scully Scott") to preparing a patent application.
- 17. On October 30, 2003, Adams forwarded the latest draft of the provisional application to Scully Scott. Annexed herein as Exhibit G is a redacted email forwarding the document.
- On November 4, 2003, Adams and Scully Scott conducted a teleconference regarding drafting of the application. Annexed herein as Exhibit H is a reducted email reflecting the teleconference.
- 19. On November 6, 2003, Adams emailed Applicant a revised draft and forwarded draft to Scully Scott. Annexed herein as Exhibit I is a redacted email evidencing the submission of the draft to Scully Scott.

- 20. Between November 6, 2003 and December 1, 2003, Adams inquired about the status of the application no less than three times.
- 21. Scully Scott prepared a draft of the application in timely manner. A first draft of the application was sent from Scully Scott to Applicant on December 2, 2003. Annexed herein as Exhibit J is a redacted email enclosing the draft. A series of revisions to the application were emailed to Applicant between December 3 and 4, after a teleconference with Applicant.
- 22. Applicant diligently reviewed the drafts of the application and provided comments thereto on December 9, 2003. Annexed herein as Exhibit K is a redacted email reflecting the comments.
- A final draft of the application was sent to Applicant on December 16, 2003.
- 24. The United States Patent Application No. 10/740,030 was filed on December 18,2003, after a timely and expedient review by the Applicant.
- 25. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

MICHAEL ROJAS

___<u>7 - 3 - みのろ</u>___ Dated:

Д



Instant Voice Communications

REDACTED

Michael Rojas Executive Vice President

REDACTED

Ayalogic, Inc.

530 South Main Street, Suite 1732 Akron, Ohio 44311-1010 voice 330.253.2700 fax 330.253.3055

www.ayalogic.com

Instant Voice Communications

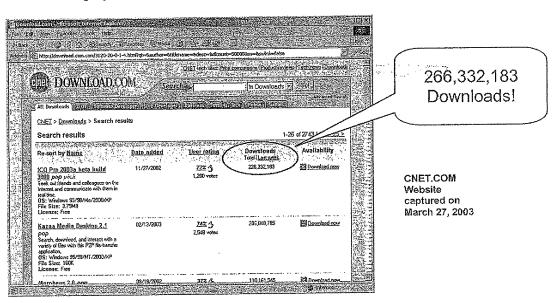
Abstract

This paper outlines the next step for communications systems – *instant voice communication* over internet protocol. With Ayalogic's TM new offering, QuickTalkTM business professionals will have the option to speak instantly with one another, revolutionizing the concept of telephone, voice mail and instant messaging. The IP technology behind QuickTalk will allow companies with this system to save dramatically on time, equipment and maintenance costs.

Instant Voice Messaging

QuickTalkTM offers instant connectedness – like an intercom that reaches everyone in the company, or a walkie talkie that spans the world. Touch a button and you can talk immediately with anyone anywhere the internet touches. The closest comparable technology is instant messaging – wildly popular, even with the significant handicap of using text instead of the clear, quality voice over IP that QuickTalkTM offers.

Instant messaging technology has been around in its most familiar form since 1996 and in recent years has become a common feature on PCs and cellular phones. It works like this: you create a "buddy list" of various people you may want to contact. When you want to communicate with a list member you simply type a message and it is instantly delivered to that person's desktop (usually in a pop-up window). How popular is instant messaging? CNET.COM, a prominent downloads site, reported the number of ICQ instant messaging software downloads just in a single week at over 500,000.



The substitution of voice for text makes QuickTalkTM infinitely more attractive. Nothing to type, just push a button and speak. Leave a voice mail message without dialing and check your own messages without lengthy punch pad scroll through. Ease of use and the comfort of voice communications set QuickTalkTM apart.

Innovation

No instant messaging vendor is concentrating on voice. We believe that by combining the best features of instant messaging with Voice over IP technology, we can provide a new form of communication – *instant voice*.

Messages are recorded, digitized, encrypted, and transmitted instantly to anywhere in the world. Since the digitization occurs at the time of recording, the voice quality will not suffer degradation as the message moves through the Internet. The voice quality will be superb every time – regardless of congestion on the global network.

New Levels of Privacy and Connectedness

QuickTalkTM promises to replace voice mail as we now know it with unprecedented levels of both connectedness and privacy. To leave a message with another user, simply push a button and speak. As for receiving messages, you may now choose *in advance* who can reach you instantly and which messages are sent automatically to voice mail—without screening. Change your preferences whenever you like, based on your schedule or specific project needs. Screen all your messages if you like, or send all messages to be stored for later pick up.

When you wish to reach others, a QuickTalkTM display on your PC screen – or a display on certain types of phones – will tell you weather they are "in" or "out," again according to their preferences. This offers all of the connection of instant messaging with none of the productivity shattering intrusiveness.

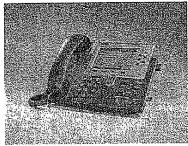
Wherever You Go, There you Are

QuickTalkTM can reach you wherever you go, at whatever device you designate. Cellular phones, laptops, palm pilots – all can be used by the QuickTalkTM system whenever you designate that you wish to be reached somewhere other than at your desk. Using Ayalogic'sTM proprietary gateway and software, you can now be reached (at whatever level of privacy you choose) instantly everywhere.

Voice over Internet Protocol (VoIP)

Telephone technology has changed very little since its inception. It is still primarily an analog modulated electrical voltage running on copper wires to each home – exactly how Alexander Graham Bell designed it. Now the Internet has is forcing a change in this 100-year-old technology. That change is called Voice over IP.

Voice over IP (or IP telephony) is a method of voice transmission in which analog speech is converted to digital information and transported across a computer network. This technology enables the transmission of speech to anywhere in the world that the Internet touches. When the digital voice information arrives, it is converted back into its analog form using technology built directly into the phone or receiving device.



Cisco 7960 VolP Phone

The introduction of this technology, primarily by Cisco Systems, alarmed many traditional phone manufacturers. At first, they resisted the technology, citing that it was unreliable and of poor voice quality. However, as the technology's adoption rate grew, they began to incorporate it into their core products. Today, every vendor has some form of IP telephony offering.

Some manufacturers started from scratch creating new communication systems completely based on software, called *softswitches*. The philosophy was that once the voice was converted into digital packets, it could most easily be manipulated using computers and software. The goal was to speed the introduction of new phone services without having to upgrade expensive hardware. Cisco's CallManager product is an example of a softswitch.



Since Cisco had already cornered the Voice over IP enterprise market, the other softswitch vendors charged into the service provider market. Their customers were traditional phone companies, such as Verizon, and lexchange carriers known as CLECs. However, when the

competitive local exchange carriers known as CLECs. However, when the telecommunication sector slumped, the service providers cut drastically back in capital expenditures, all but evaporating the Voice over IP market for service providers.

In reaction to this, every softswitch vendor did an about-face, and introduced an enterprise-version of their carrier-class products. This means that the enterprise market has over 50 softswitch vendors vying for position in a market dominated by Cisco. To compete, prices on this technology are dropping precipitously.

New Phones

Accelerating this price pressure is the weekly announcement of new devices that can leverage this technology. Companies such as Alcatel, Teliann, Lucent, Nortel, NEC, Cisco, Snom, Polycom, and Pingtel all offer VoIP phones. Up to now, the major growth inhibitor has been the cost the end device. In a normal communication system, the phones account for over 70% of the cost of the system.

Here is a sampling of the available phones as of March 27, 2003:





Vendor:

PingTel

Model:

expressa

Price:

\$599

Description:

The PingTel phone is intelligent, has a built-in java processor and uses industry standard Session Initiation Protocol (SIP).





Vendor:

Cisco Systems

Model:

7905 \$230

Price:

Description:

This is Cisco's entry level IP phone based on the SIP standard.



Vendor:

Snom

Model:

100b

Price:

\$240

Description:

Entry level VoIP Phone, supporting multiple standards such as SIP and H.323/H.450.





Teliann

Model:

HS Teliann IP Phone

Price:

\$120

Description:

Korean-built, lowest cost VoIP phone on the market today – supports industry standard H.323 – SIP is planned.

The Teliann IP Phone was introduced at the Voice over Network conference (VON2002) in winter of 2002. Retailing at \$120, the phone has the potential of finally removing the price barrier to the market.

Phone-Speak

Every one of these devices requires a signaling protocol to make them function properly. This protocol is a series of commands and response messages that control every aspect of the phone. Call Hold, Call Forward, Answer, Hang-Up, and other basic features are handled by this protocol. Until recently, another large inhibitor of the market was the lack of agreement as to a standard for this signaling.

Here is a brief list of the competing signal standards:

- H.323 This is actually an umbrella standard that covers a number of other standards. This collection originated in the International Telecommunication Union (ITU) and like most telecommunication standards, is large and complex.
- MGCP (Media Gateway Control Protocol) This standard was introduced by the Internet Engineering Task Force to control endpoint conversion devices, called gateways.
- MEGACO (MEdia GAteway COontrol Protocol) Similar to MGCP, this
 protocol attempts to provide additional functionality in controlling endpoint
 gateways.
- SIP (Session Initiation Protocol) A simple text-based protocol which has its roots in HTTP (Hypertext Transport Protocol), the protocol that drives every web page of the Internet today.
- SCCP (Skinny Client Control Protocol) This is a proprietary protocol that every Cisco phone uses to provide advanced services beyond the standard protocols.
 Only the Cisco CallManager product supports this protocol.

For the past few years, the industry wrestled with each standard, slowing the adoption of the technology. Many products were introduced that could not communicate with each other because of these different standards.

As of this writing, the standards war is ending, with SIP becoming the winner. Microsoft, Cisco, Alcatel, Lucent, Nortel, and other vendors have all introduced SIP-based products. SIP is favored because of the simple and extensible nature of the protocol. With the adoption of SIP as a standard across all products, the Voice over IP market has removed one more inhibitor.

However, the most important standard that Voice over IP introduces is not the signaling standard, but the *network technology* for the phone itself – Ethernet and TCP/IP.

The Real Voice over IP Standard - Ethernet

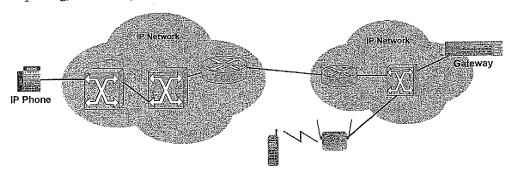
Every one of these devices shares a common characteristic. They all use TCP/IP protocol over Ethernet as the network standard to connect to the computer network.

This provides some very important benefits:

- Flexibility Because Ethernet and TCP/IP are so prevalent, the devices can be deployed in many networking environments. They can be part of Wireless Local Area Networks (WLAN) such as 802.11b and connect over broadband connections such as cable modem, and DSL.
- Cost Since Ethernet is widely available, the equipment to support such a
 network can enjoy the benefits of economies of scale. Networking gear is
 inexpensive, easy to obtain and install, allowing a wide audience to be reached.
- Mobility All Ethernet devices have a unique number called the Media Access Control address (MAC). This number represents a unique piece of hardware and is never duplicated. This means that no matter where the phone connects to the network, that particular phone can be located and has the *same* identity.
- Interoperability All the devices that deploy Ethernet inherently have the ability to communicate with one another. The devices may disagree on the *format* of the messages, but with additional software acting as a translator, these devices can communicate.

Flexibility

Ethernet provides for a wide variety of deployment possibilities. The networking standard can operate over twisted pair cabling, coax, and even wireless. Hundreds of network equipment manufacturers provide equipment for routing, switching, transporting, and configuring Ethernet-based systems.



This allows the customer to choose best solutions for their particular business goals – while maintaining compatibility and interoperability.

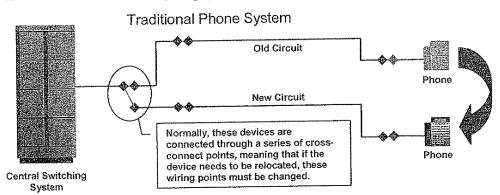
Cost

With so many vendors offering compatible equipment, Voice over IP using Ethernet provides for significant cost savings. For example, a proprietary, 16-port station line card for a typical phone system costs \$1200. This allows the system to be expanded by 16 endpoints. In contrast, to add an additional 16 endpoints to a Voice over IP system, an Ethernet switch could be installed which retails for \$97.

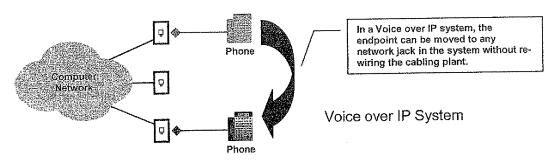


Mobility

In a traditional phone network, the typical business phone is a proprietary device using proprietary electrical signaling to connect to a central switching system. Even though the device may be located far from this system, its proprietary signaling limits where the device can be hooked up. It must be *directly connected*. This means that in order to move the device to a new location, the physical wiring must be changed.



However, when the device employs Ethernet, the customer has complete flexibility in the location of the endpoint. All jacks can be provisioned identically regardless of which physical device will ultimately be connected.

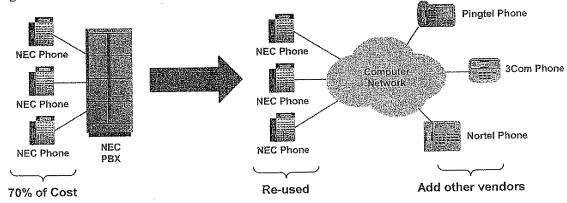


This is possible because each endpoint maintains its identity using the MAC address burned into the device. The *intelligence* in the system has been moved from a large

central device (PBX) into the endpoints itself. This give a Voice over IP system seamless *mobility* in relocating telephony equipment with a significant savings in administration costs.

Interoperability

Since the proprietary electrical signaling has been eliminated, it is possible to mix multiple vendor devices into the same network. This protects the customer's infrastructure investment and provides flexibility in determining the correct endpoint for a particular solution. Multiple systems can be combined into a single larger system regardless of whether the equipment is from the same vendor.



In the same way mainframes and *dumb* terminals gave way to personal computers and local area networks, the telecommunications industry can now move from cumbersome and costly switches and homogenious equipment to greater independence for end users and economical, as-needed equipment purchasing. All of this makes it possible to build a highly distributed and largely dispersed communication system that provides connectivity opportunities in ways that were not previously envisioned. We believe that this technology has paved the way to provide a new form of always-on, always-accessible, instant voice communications.

All that is required to connect one VoIP endpoint to another – instantly - is the software to control it.

Why the PC is not a Phone

Most proponents of Voice over IP technology always arrive at the conclusion that the PC should be used as a *replacement* for the phone. After all, a personal computer has a processor, network card, and a sound card, so all you need is software and - voila! - you have an IP phone. In the VoIP industry, this type of software is known as a *softphone*.

Softphones have been slow to catch on because of several reasons:

9

- Reliability PCs are not always ready to receive calls, because of system reboots, lock-ups, and crashes. If the softphone software is not running at the time the call comes in, the call is lost.
- Latency Not all users are running the latest Windows OS with the latest
 processor speeds, making it hard to predict whether the system will be able to
 support real-time two-way audio. In addition, most PCs are used for other daily
 activities. In some cases, even running Microsoft Word could deprive the
 softphone of the necessary resources to provide quality audio streaming.
- Performance most audio needs real-time compression in order to be transmitted across the Internet. This compression can consume as much as 25% of most Pentium III processor cycles.
- Ergonomics A personal computer is somewhat uncomfortable to use as a phone. You will need to use a microphone and speakers at a minimum, making it impossible to have a private conversation. If you use a headset, you have a feeling of being tethered to the workstation.
- Interface Most softphones require dialing to be performed using the mouse or keyboard. This is an awkward situation at best. Even if you use the numeric keypad on the keyboard, the numbers are arranged upside-down of those on a telephone.
- Financial Some implementations require the addition of cards into the PC.

 Many IT departments balk at the task of opening every PC just to deploy a phone system, making this logistically and financially difficult.

According to a recent IDC report, 94% of all users prefer to talk using an actual phone rather than their PC. Any new communication technology must be able to interoperate with new and existing telephony devices.

However, let's look at another growing communication technology - instant messaging.

Instant Messaging (IM) for the Business Market

While the consumer market is quite comfortable with instant messaging, the business market has viewed the technology with distrust, as problematic to manage and secure. Many corporations see the technology as *decreasing* productivity rather than enhancing it. However, whether individual IT groups sanction the use of the technology or not, instant messaging has invaded the workplace. The use of the technology can be broken down into several areas:

 Personal – While most companies have put into place strict phone abuse controls, instant messaging has effectively circumvented everything their IT groups have adopted. While most companies allow a reasonable amount of time for "calls to home", IM can quickly lead to abuse. If a corporation thinks IM decreases productivity, this is the most common reason provided.

- Co-Worker Usually a very legitimate use, leading to greater productivity if deployed properly. A classic example is the use of the technology in customer service centers. The caller can be kept on the line talking with the service agent, while the agent chats with the problem specialist (co-worker) using instant messaging. This enables the customer to be served without a transfer or being put on-hold.
- Customer Highly productive, convenient, low-cost way to serve your customer. Usually the biggest hurdle is getting the customer to use it.
- Vendor Also productive. Easier to convince vendors to use the technology, since they have a sales motivation.

Since the invasion of IM technology into the enterprise, many large and small companies have rushed into the market. Almost all are focusing on security, manageability, and control in order to satisfy the business environment.

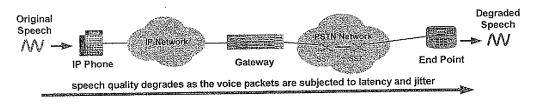
Current Instant Messaging Vendors

Vendors	Products
All Instant, Inc.	LiveGate, LiveStudio/Live Manager, Live Tracker, Live Archive 2.0
America Online, Iric.	AÖL İnstant Messenger (AIM) 4,7 ICQ
Bantu, Inc.	Bantu Instant Messaging & Presence Platform 1.5
Flypaper Inc.	Open Web Services Platform 3.0
IBM	Lotus Sametime Server 2.5
Ikimba :	Omniprise 1.3 . The 1.3 1.0
Jabber, Inc.	Jabber Communications Platform 1.1
Microsoft Corp	Microsoft MSN Messenger
Netscape Communications	Netscape Navigator Chat
Openwave Systems, Inc.	Openwave IM
PeopleLink	OnLine Community Solutions-Msg. Boards, Chat, Instant Messaging
Rockliffe, Inc.	MailSite DataCenter 4/5

	Sonork Instant Messaging Client 1.6	
	Sonork Instant Messaging Server 1.6	
	e/pop - Real-time Communication Software for	
Wiredred Software	Corporations 3:0	
Yahoo!, Inc.	Yahoo! Instant Messenger	
10100.7 2110.		

The big players are, of course, AOL and Microsoft. IBM has the most aggressive growth because they integrated their instant messaging platform into Lotus Notes.

However, even though these companies say they support VoIP conferencing (usually via Microsoft NetMeeting), they are primarily a text-based messaging system. If they do support voice, the only option is full, real-time communications – the same communication method as a phone, but with a noticeable reduction in voice quality. In Fact, they require the user to use the PC as a replacement for the phone. This approach has had very limited success, and recently Microsoft has announced they are dropping support for voice in their instant messaging product (MSN Messenger).



No instant messaging vendor is concentrating on voice. We believe that by combining the best features of instant messaging with Voice over IP technology, we can provide a new form of communication — *instant voice*. This technology allows the user to send and receive voice messages with a *push-to-talk* feel.

Messages are recorded, digitized, encrypted, and transmitted instantly to anywhere in the world. Since the digitization occurs at the time of recording, the voice quality will not suffer degradation as the message moves through the Internet. The voice quality will be superb every time – regardless of the currently congestion on the global network.



The user still has the option of controlling the *realtime-ness* of the communication – allowing instant messages, instant voice mails, paging, or full, two-way connections to be used.

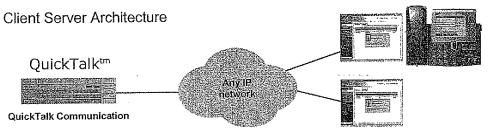
Our Technology

Our product philosophy involves three key elements:

- Simplicity in use Above everything else, the product will be easy for ordinary people to use everyday. The product can be received with very little training. It must be obvious to the casual user how the product can be put to use.
- Powerful in function Through the creation of business enhancing features, the product will provide immediate, real-world productivity on a daily basis.
- Business class software The software is designed from the ground up by business people for business.

Our flagship product, the QuickTalk Communication Platformtm, is an enterprise class instant voice communication system designed to meet these goals. This system provides businesses with secure, manageable, and scalable instant voice communications. The product works with practically any existing phone system as an adjunct server providing advanced business-to-business collaborative communications.

Leveraging the latest software technologies, the server software is .NET managed code running on a Windows .NET Server platform with a Microsoft SQL Server database.

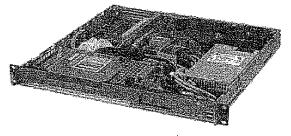


QuickTalk Clients with optional phones

The entire software platform is installed on a rack-mountable industrial-grade server.

This server features a front panel LCD which can control everything from assigning the network address, to rebooting the system.

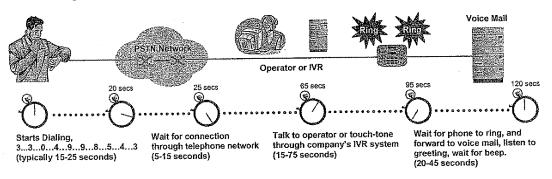
Multiple servers may be deployed for system redundancy and load balancing.



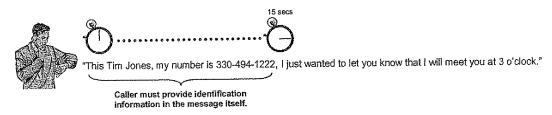
Instant Voice Communications

When using this form of communication, the end user simply designates a recipient, speaks the desired message and the audio is digitized, compressed, encrypted and immediately delivered using voice over IP technology. It is fast, easy and convenient. You can think of this as voice mail in reverse. No more waiting for the beep – just leave your message and go.

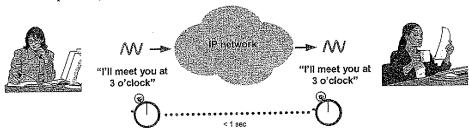
To see the benefit of using instant voice communication, look how an old-style voice mail message is delivered today:



After dialing, connecting, transferring, ringing, and listening to the voice mail greeting, the caller has wasted over 1-2 minutes. This is the businessperson's typical waiting time before a voice message can begin. In addition to this waiting time, the caller must also spend time providing identification information in the voice mail message itself, further increasing the time of the entire effort.



With instant voice communication, the caller simply presses a **push-to-talk** button on her PC keyboard or her phone and speaks her message. The message is delivered *instantly* via her telephone (which can be set directly on speaker or with a special ring signal).



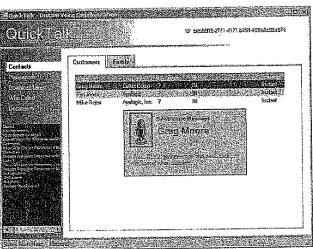
If the receiving party of the message wishes to reply, they can do so *instantly* by replying hands-free to the incoming message. The reply is delivered immediately to the sender.



All of this occurred without dialing, transferring, connecting, or most importantly – waiting. The productivity gains are enormous. Let's look at a hypothetical ball bearing company.

Number of Employees	2000 employees
Average calls placed or received per day per employee (station station and outside calls)	8 calls
Average salary of a employee	22.50 / hour
Percent of calls that reach voice mail or caller must wait for answer/callback	60% = 4.8 calls are "callbacks" or reach voice mail
Time wasted per call waiting to leave message	2 minutes
Total Time wasted in company per day	19,200 minutes per day
Total dollars saved using linstant voice.	\$7,200 / day = \$1,872,000 / year

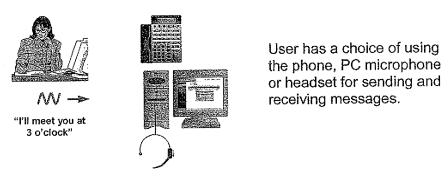
Many companies spend hundreds of thousands of dollars on voice mail technologies so that they don't miss important messages. QuickTalk provides all the benefits of traditional voice messaging without the wait. The key to this technology is the patent-



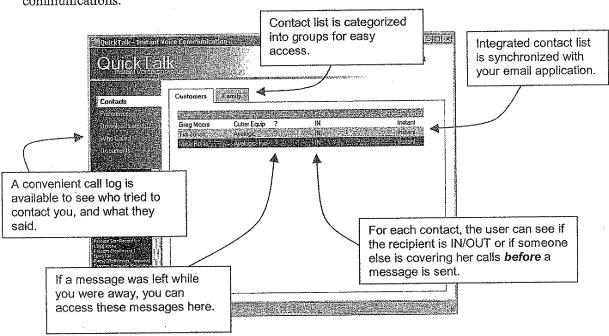
pending technology in the QuickTalk client software. This runs on Windows 95/98, 2000, NT, and XP and provides an easy-to-use interface to the product.

To use the technology, the user simply highlights the intended recipient, presses the space bar, and speaks the desired message. When the key is released, the message is instantly delivered to the intended contact.

If the user wishes to give or receive a more private conversation, the user may speak her message into a telephone instead of a PC microphone – handoff is seamless between the client software and the physical telephony device.



The client software provides a high-level view of all contacts using instant voice communications.



The client software can also be deployed on any system that utilitizes the Microsoft .NET framework. This provides the flexibility to deploy the client onto a number of different computing devices: Pocket PCs, Laptops, Tablet PCs, and desktop computers.

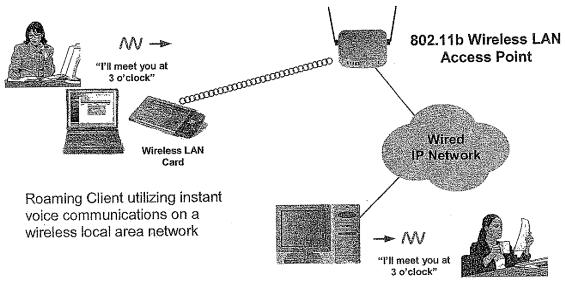




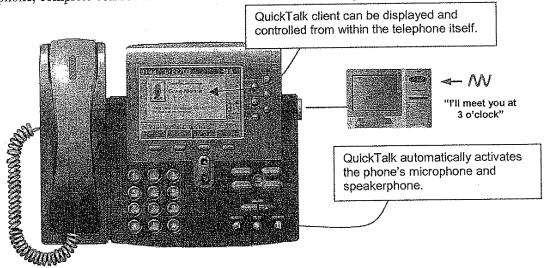




When a wireless LAN card is added to the device, the client software can be configured for *cordless* instant voice communications providing mobility to the user.



Another important aspect of the client software is interoperability with the actual telephone infrastructure. When the client software is configured to utilize an actual phone, complete control of the software can be handled through the device itself.



QuickTalk can support Voice over IP telephony hardware such as Cisco, Snom, Polycom, Teliann, and Pingtel, as well as legacy, circuit-based telephone infrastructure. This allows the product to provide instant voice communications on the customer's existing telephone system.

QuickTalk supports the following vendors:

- Avaya™ DEFINITY® ECS and MERLIN MAGIX®
- Nortel Meridian® and Norstar®
- NEC NEAX, Electra Elite and i-Series
- Toshiba Strata DK
- Ericsson MD110
- Alcate! 4200 and 4400
- Iwatsu ADIX APS
- Panasonic DBS 576 and 576HD





NORTEL NETWORKS



Empowered by Innovation







Panasonio

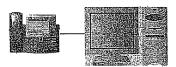
Here the allowable configuration modes of the client:

Stand-Alone (PC Only)



In this mode, communication is provided through the PC's speakers and microphone. The user can utilize an optional headset for a private conversation.

Stand-Alone with locally controlled VolP phone



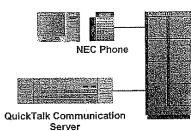
The user is free to use the phone and/or PC for instant voice communications. To transfer communication to the phone the user simply picks up the handset of the phone.

Remotely controlled VolP phone



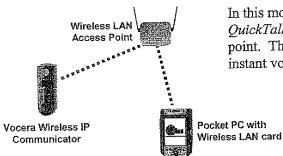
In this mode, the phone is remotely controlled from a virtual client on the *QuickTalk Communication Platform*. This allows the phone to be used independently from a PC.

Non-VoIP phone controlled by QuickTalk Server



In this mode, the phone is remotely controlled by the *QuickTalk Communication Platform*. Control is accomplished by using integration technology to connect to the existing telephone system. This configuration allows existing infrastructure to be used for instant voice communications.

Wireless LAN IP devices



In this mode, the devices are remotely controlled by the *QuickTalk Communication Platform* via a wireless access point. This allows roaming clients to send and receive instant voice communications over a wireless network.

A Different Voice

QuickTalkTM offers a product unlike any other. No other company offers voice over IP technology in such a clear and convenient form. None works so completely with different machines (phones, PCs, Pocket PCs) and brands with such flexibility and mobility. Instant messaging is intrusive and voice mail as we know it can be cumbersome. QuickTalkTM with its patent pending *instant voice* technology promises to be the most convenient and cost-effective messaging solution for business people on the move.

В

----Original Message-----

REDACTED

From: Brad Corsello

Sent: Monday, August 11, 2003 11:45 AM To: Michael J. Rojas

Subject: Meeting on Tuesday, August 19

Mike, following up on our phone conversation today, I've booked a flight for Tuesday, August 19 arriving at 11:35 at Akron-Canton. I'll just drive up from the airport and arrive at about 12:00-12:15 (or at a later time if that is more convenient for you).

REDACTED

REDACTED ...

This email is a confidential and privileged attorney-client communication.

GETOAG3R

This email is a confidential and privileged attorney-client communication.

* REDACTED **

REDACTED

---- Original Message --From: "Brad Corsello" <

To: "Neil Adams" <nadams@ayalogic.com> Sent: Thursday, August 28, 2003 12:08 PM Subject: Re: CD with IMvox software

> Neil, '

* REDACTED

REDACTED

REDACTED

But I think we will wrap things

> up next week.

> On Wed, 2003-08-27 at 16:26, neil adams wrote:

> > Brad,

>>

>>

>>,

REDACTED

" REDACTED "

REDACTED

>> Do you have examples of prior patent submittals that answer these type
>> of questions?
>> Neil
>
REDACTED

> This email is a confidential and privileged attorney-client communication. >

Locari

----Original Message----From: neil adams [mailto:nadams@ayalogic.com] Sent: Monday, September 08, 2003 3:37 PM To: mrojas@ayalogic.com Subject: Patents - status, Brad FY Neil ---- Original Message -----From: "Brad Corsello" <bcorsello@corsellolaw.com> To: "Neil Adams" <nadams@ayalogic.com> Sent: Monday, September 08, 2003 3:21 PM Subject: Re: CD with IMvox software > Neil, I am working on it now and will have it to you tonight or tomorrow > morning. > On Mon, 2003-09-08 at 10:04, neil adams wrote: >> What's the status on changes to the patent app'n? >> >> Neil >> ---- Original Message ----->> From: "Brad Corsello" <bcorsello@corsellolaw.com> >> To: "Neil Adams" <nadams@ayalogic.com> >> Sent: Thursday, August 28, 2003 12:08 PM > Subject: Re: CD with IMvox software >> > > 1 * REDACTED >>> REDACTED

REDACTED

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> > On Wed, 2003-08-27 at 16:26, neil adams wrote:
>>> Brad,
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>>> Neil
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>>:
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>>>. REDACTED **
>>>}
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>>> This email is a confidential and privileged attorney-client
communication.
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> This email is a confidential and privileged attorney-client communication.
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REDACTED (

From: neil adams [mailto:nadams@ayalogic.com] Sent: Wednesday, September 17, 2003 11:08 AM To: mrojas@ayalogic.com; misha@ayalogic.com Subject: CD for patents - questions

Mike,

Here's some additional information I need to add to the CD for Brad.

REDACTED

Questions

REDACTED

REDACTED

REDACTED :

REDACTED

REDACTED

F REDACTED -

F

REDACTED

From: neil adams [mailto:nadams@ayalogic.com] Sent: Monday, September 22, 2003 12:29 PM To: bcorsello@corsellolaw.com

To: bcorsello@corsellolaw.com Cc: mrojas@ayalogic.com Subject: CD folders/files

Brad,

Attached is a compressed copy of the IMvox software and a Readme document that gives a brief overview of the software and hardware requirements.

REDACTED

REDACTED ...

G

« REDACTED .

REDACTED

From: neil adams [mailto:nadams@ayalogic.com]
Sent: Thursday, October 30, 2003 1:03 PM
To: mrojas@ayalogic.com; Herbert Breger (E-mail); jbreger@ayalogic.com; bdiehl@ayalogic.com; misha@ayalogic.com
Subject: Prov patent forwarded to Paul Esatto at Scully et al.

The Provisional patent document was sent at 12:00 noon today.

REDACTED

REDACTED

From: neil adams [mailto:nadams@ayalogic.com] Sent: Tuesday, November 04, 2003 1:50 PM To: mrojas@ayalogic.com; Herbert Breger (E-mail) Subject: Scully contact/discussions

I talked with the person at Scully who will be responsible for supporting our patent application. He is Alex Vodovozov.

REDACTED

Basically we went through a variety of questions about the patent draft

REDACTED

REDACTED

T REDACTED

From: neil adams [mailto:nadams@ayalogic.com] Sent: Thursday, November 06, 2003 2:28 PM To: mrojas@ayalogic.com Subject: Status - Patent Draft

Mike,

REDACTED

- REDACTED ...

REDACTED

I am sending this version to Alex at Scully.

If you came up with a newer version please send it to me at nadams@sssnet.com.

I'll be back on Sunday and can review the changes prior to our 10:30 teleconference with Alex and Paul on Monday.

J

REDACTED

.---Original Message----

From: Alex Vodovozov [mailto:avodovozov@ssmp.com]

Sent: Tuesday, December 02, 2003 5:09 PM

To: mrojas@ayalogic.com Cc: Nadams@sssnet.com

Subject: IVM appl.

Dear Mike and Neil:

Please see a draft of the application.

REDACTED_

Thank you for your assistance.

Regards,

Alexander G. Vodovozov, Esq. Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343 (telephone) (516) 742-4366 (facsimile) avodovozov@ssmp.com (email)

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K

REDACTED

REDACTED

From: neil adams [mailto:nadams@ayalogic.com] Sent: Tuesday, December 09, 2003 4:30 PM To: 'Herbert Breger'; mrojas@ayalogic.com Subject: Latest draft mods sent to Alex for review.

REDACTED

* REDACTED J

Neil

Electronic Patent Application Fee Transmittal						
Application Number:	10	740030				
Filing Date:	18	-Dec-2003				
Title of Invention:	Sy	stem and method	for instant Vo	IP messaging		
First Named Inventor/Applicant Name:	Mic	chael J. Rojas				
Filer:	Paul J. Esatto/Roseann Gallo					
Attorney Docket Number:	17	188				
Filed as Small Entity						
Utility Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						
Extension - 1 month with \$0 paid		2251	1	60	60	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tota	al in USI	(\$)	60

Electronic Acknowledgement Receipt				
EFS ID:	3574061			
Application Number:	10740030			
International Application Number:				
Confirmation Number:	1731			
Title of Invention:	System and method for instant VoIP messaging			
First Named Inventor/Applicant Name:	Michael J. Rojas			
Customer Number:	23389			
Filer:	Paul J. Esatto/Roseann Gallo			
Filer Authorized By:	Paul J. Esatto			
Attorney Docket Number:	17188			
Receipt Date:	07-JUL-2008			
Filing Date:	18-DEC-2003			
Time Stamp:	15:59:25			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$60
RAM confirmation Number	1347
Deposit Account	191013
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
		AMENDOFOT - 4	272137		
1		AMEND2EOT.pdf	559af11695555820ed564ec18a03c5e9 b91852a6	yes	5
	Multipa	rt Description/PDF files in	n .zip description		
	Document Des	Start	E	nd	
	Amendment - After Nor	1		1	
	Applicant Arguments/Remarks	2	3		
	Extension of	4	5		
Warnings:					
Information:					
2	Rule 130, 131 or 132 Affidavits	1121EYUIRIT ndf	2419512	no	47
2	nule 130, 131 of 132 Afficavits	1131EXHIBIT.pdf	343cb6c54645324fe787bcf9ad0412dc2 dcd7dec	110	
Warnings:					
Information:					
3	Fee Worksheet (PTO-06)	fee-info.pdf	8143	no	2
			1290891803c33abbdad5ae675d70fc0d 01936076		
Warnings:					
Information:					
		Total Files Size (in bytes	5): 269	99792	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (07-06)
Approved for use through 1/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

P	PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875							Docket Number 0,030		ing Date 18/2003	To be Mailed
	AI	Column 2)		SMALL	ENTITY 🛛	OR		HER THAN ALL ENTITY			
H	FOR		(Column 1	, ,			RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A		1	N/A	
	SEARCH FEE (37 CFR 1.16(k), (i),		N/A		N/A		N/A		1	N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),	Ε	N/A		N/A		N/A		1	N/A	
	TAL CLAIMS CFR 1.16(i))		mir	us 20 = *		1	x \$ =		OR	x \$ =	
İND	EPENDENT CLAIM	ıs	m	inus 3 = *		1	x \$ =		1	x \$ =	
	(37 CFR 1.16(h)) APPLICATION SIZE FEE (37 CFR 1.16(s)) APPLICATION SIZE FEE (37 CFR 1.16(s)) If the specification and drawings excessed paper, the application size is \$250 (\$125 for small entity) for ea additional 50 sheets or fraction there 35 U.S.C. 41(a)(1)(G) and 37 CFR 1										
<u> </u>	MULTIPLE DEPEN						TOTAL			TOTAL	
1111	he difference in colu						TOTAL		ı	TOTAL	
	АРР	(Column 1)	AMENL	(Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ALL ENTITY
AMENDMENT	07/07/2008	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
)ME	Total (37 CFR 1.16(i))	* 70	Minus	** 76	= 0		X \$25 =	0	OR	x \$ =	
	Independent (37 CFR 1.16(h))	* 14	Minus	***14	= 0		X \$105 =	0	OR	x \$ =	
AM	Application S	ize Fee (37 CFR 1	.16(s))								
	FIRST PRESEN	NTATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CF	R 1.16(j))				OR		
							TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)				_		
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
MENT	Total (37 CFR 1.16(i))	*	Minus	**	=		x \$ =		OR	x \$ =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=		x \$ =		OR	x \$ =	
AMENI	Application S	ize Fee (37 CFR 1	.16(s))								
AM	FIRST PRESEN	NTATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CF	R 1.16(j))				OR		
	the entry in column		•				TOTAL ADD'L FEE Legal II	nstrument Fx	OR (amin	TOTAL ADD'L FEE er:	
***	** If the entry in column 1 is less than the entry in column 2, write "0" in column 3. ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.										

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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APPLICATION NO. FILING DATE FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.		
10/740,030	12/18/2003	Michael J. Rojas	17188	1731	
	7590 08/11/200 TT MURPHY & PRES	EXAM	IINER		
400 GARDEN SUITE 300		SMITH, CREIGHTON H			
GARDEN CIT	Y, NY 11530		ART UNIT PAPER NUMBE		
		2614			
			MAIL DATE	DELIVERY MODE	
			08/11/2008	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)					
Office Action Comments	10/740,030	ROJAS, MICHAEL J.					
Office Action Summary	Examiner	Art Unit					
	Creighton H. Smith	2614					
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the o	correspondence address					
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).							
Status							
1)⊠ Responsive to communication(s) filed on <u>07 JU</u>	<u>JL '08</u> .						
2a) This action is FINAL . 2b) ☑ This	action is non-final.						
3) Since this application is in condition for allowar	nce except for formal matters, pre	osecution as to the merits is					
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 4	53 O.G. 213.					
Disposition of Claims							
4)⊠ Claim(s) <u>1-76</u> is/are pending in the application.							
4a) Of the above claim(s) is/are withdraw	vn from consideration.						
5) Claim(s) is/are allowed.							
6)⊠ Claim(s) <u>1-76</u> is/are rejected.							
7) Claim(s) is/are objected to.							
8) Claim(s) are subject to restriction and/or	r election requirement.						
Application Papers							
9) The specification is objected to by the Examine	r.						
10) The drawing(s) filed on is/are: a) acce	epted or b) objected to by the	Examiner.					
Applicant may not request that any objection to the	drawing(s) be held in abeyance. Se	ee 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correcti	- · ·						
11)☐ The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.					
Priority under 35 U.S.C. § 119							
12) ☐ Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a	ı)-(d) or (f).					
a) ☐ All b) ☐ Some * c) ☐ None of:							
 Certified copies of the priority documents 	s have been received.						
2. Certified copies of the priority documents							
3. Copies of the certified copies of the prior		ed in this National Stage					
application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received.							
See the attached detailed Office action for a list	or the certified copies not receive	₽U.					
Attachment(s)							
 Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948) 	4)						
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	5) Notice of Informal F 6) Other:						

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06)

Art Unit: 2614

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-3, 5, 11-18, 26-29, 43, 45, 51-54, 65, 66 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal, Jr. '226 in view of Bernstein et al, U.S. Pat. App. Pub. #2004/00128356.

McZeal discloses in col. 4, lines 18 et seq. that until his invention there was no device which could take full advantage of the Internet and IM for voice quality purposes, and which uses computer data networks for voice. In col. 28, lines 5 et seq. McZeal discloses that his invention provides customers with instant IM which uses VoIP. In col. 16, lines 39 et seq. McZeal discloses that his invention can use both the Internet and the PSTN. Bernstein et al disclose in P.0050 that each IM session has a universally unique identifier, which the server computer uses to identify and store individual Instant Messages. To have provided Bernstein et al teaching of storing IM in a server in McZeal's communication system would have been obvious to a person having ordinary skill in the art, because the skilled practitioner in this communication art will realize the need to store messages if the called party lacked the present ability to receive the IM.

For claims 2 & 3, McZeal discloses in cols. 1 & 16, lines 42-43 & 25-30 that his invention can be used in local or wide area networks - LAN/WAN.

Regarding claim 11, see McZeal @ col. 16, lines 42 & 59-60. Pertaining to claim 20, with McZeal's disclosure that his device that his device can be used in either a WAN

Art Unit: 2614

(Internet) or LAN (local area network). If the voice message is to be routed out beyond a LAN, then an external serving system will have to be employed until the message reaches the recipient inside of the LAN, whereupon the LAN and its associated server will route the message to the intended recipient.

Claims 4, 19, 20, 44 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al as applied to claim 1 above, and further in view of Williams et al.

Williams et al disclose in P.0055 that a messaging server (105) will save a voice message and send a list of recipients to the user from an address book. To have provided Williams teaching of a server providing a user a calling list of recipients in McZeal's Instant Voice Messaging server system would have been obvious to a person having ordinary skill in the art because the skilled practitioner in the communications and server arts will readily realize that there are an unlimited amount of commands and information that a server can hold which can be communicated to anyone throughout the world that has proper equipment.

Claims 7, 22, 47 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al as applied to claim 1 above, and further in view of Sagi et al.

Sagi et al disclose in claim 24 where a server will receive an audio file from a subscriber, and then in claim 29 Sagi et al disclose that the transmission is sent to a 2nd subscriber. To have similarly used Sagi et al disclosure of transmitting an audio file to a server in McZeal"s device would have been obvious to a person having ordinary skill in

Art Unit: 2614

the art, because the skilled practitioner in communications art will realize that the sending party can either directly record a voice message or send an audio file. Either way, a called party will receive the voice message.

Claims 8, 23, 48 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al. as applied to claim 1 above, and further in view of Goodman et al.

Goodman et al disclose in P.0033 that an audio message can be transformed from any of encrypted, decrypted, compressed, or decompressed format. To have similarly provided Goodman's teaching of encrypting, decrypting, compressing, and decompressing audio into McZeal's device would have been obvious to a person having ordinary sill in the art, because by compressing the audio will take up less memory in the server.

Claims 9, 24, 49 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al as applied to claim 1 above, and further in view of Gierachf.

Gierachf discloses in P.0044 in Step- 266 that the audio data or voice message is sent to audio buffer 19B'. To have similarly used Gierachf's method of buffering the audio data in McZeal's apparatus would have been obvious to a person having ordinary skill in the art.

Claims 10, 25, 50 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al as applied to claim 1 above, and further in view of Creamer et al, U.S. Pat. App. Pub. #2003/0126207.

Art Unit: 2614

Creamer et al disclose in P.0006 that IM chat systems can also support the exchange of attachments. Attachments are electronic files such as images, documents, or binary objects which can be attached to an IM and transmitted therewith. To have used creamer et al teaching of attaching an electronic file to an IM in McZeal's instant voice messaging system would have been obvious to a person having ordinary skill in this art because the skilled practitioner will realize the efficiency of alerting a multitude of persons located throughout the world that an email/document from the sender is being sent to the recipients, such as the minutes of an important meeting.

Claims 30-33, 35, 41, 55, 57, 63, 64, 67, 69, 75 are rejected under 35

U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al as applied to claim1 above, and further in view of Monroe.

Monroe discloses in col. 20, lines 28 et seq. and in Fig. 9 a local server (460) connected to a LAN, which provides a gateway to a WAN like the Internet. In col. 32, lines 11 et seq. Monroe discloses that pre-recorded voice messages can be delivered to a modem and then delivered throughout the network. To have used Monroe's teaching of connecting a local server to an Internet server in McZeal's device would have been obvious to a person having ordinary skill in the art because a local server will only reach a few, select individuals in close proximity to each other, whereas the Internet will have global reach, thus insuring connectivity to clients worldwide.

Claims 42 & 76 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al and Monroe as applied to claim 30 above, and further in view of Boukobza, U.S. Pat. App. Pub. #2006/0167883.

Art Unit: 2614

Boukobza's method as disclosed in P.0020 is for load balancing databases within a network having a plurality of servers. To have provided Boukobza's method of load balancing servers in Monroe as applied to McZeal would have been obvious to a person having ordinary skill in the art, because the skilled practitioner would realize that as one server becomes filled with IM, or as one server is being inundated with high volume traffic, it would become necessary to route some of those IM to another server for storing.

Claims 34, 56, 68 are 4 rejected under 35 U.S.C. 103(a) as being unpatentable over Mczeal in view of Bernstein et al and Monroeas applied to claim 30 above, and further in view of Williams et al.

Claims 37, 59, 71 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al and Monroe as applied to claim 30 above, and further in view of Sagi et al.

Claims 38, 60, 72 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al and Monroe as applied to claim 30 above, and further in view of Goodman et al.

Claims 39, 61, 73 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al and Monroe as applied to claim 30 above, and further in view of Gierachf.

Claims 40, 62, 74 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al and Monroe as applied to claim 30 above, and further in view of Creamer et al.

Art Unit: 2614

Any inquiry concerning this communication should be directed to Creighton H.

Smith at telephone number 571/272-7546.

04 AUG '08 /Creighton H Smith/

Primary Examiner, Art Unit 2614

Notice of References Cited	Application/Control No. 10/740,030	Applicant(s)/Patent Under Reexamination ROJAS, MICHAEL J.	
	Examiner	Art Unit	
	Creighton H. Smith	2614	Page 1 of 1

U.S. PATENT DOCUMENTS

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification		
*	Α	US-2004/0128356	07-2004	Bernstein et al.	709/206		
*	В	US-2003/0126207	07-2003	Creamer et al.	709/204		
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FOREIGN PATENT DOCUMENTS

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NON-PATENT DOCUMENTS

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"A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20080804

Index of Claims 10740030 Examiner Creighton H Smith Applicant(s)/Patent Under Reexamination ROJAS, MICHAEL J. Art Unit 2614

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Part of Paper No.: 20080804

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	10740030	ROJAS, MICHAEL J.
	Examiner	Art Unit
	Creighton H Smith	2614

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U.S. Patent and Trademark Office

Part of Paper No.: 20080804

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	10740030	ROJAS, MICHAEL J.
	Examiner	Art Unit
	Creighton H Smith	2614

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Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
10740030	ROJAS, MICHAEL J.
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Examiner Art Unit

Creighton H Smith 2614

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SEARCH NOTES		
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	INTERFERENCE SEARCH		
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EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	207	(@ad<="20021218") and (stor\$3 with (im or instant adj messag\$3) with server\$1)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/08/04 13:09
L2	411	(@ad<="20021218") and ((attach\$3 or fasten\$3 or affix \$3 or connect\$3 or join\$3 or add\$3) with email with (audio or voice))	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/08/04 14:43
L3	3411	(@ad<="20021218") and ((attach\$3 or fasten\$3 or affix \$3 or connect\$3 or join\$3 or add\$3) with (file\$1 or email) with (audio or voice))	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/08/04 14:44
L4	17	(@ad<="20021218") and ((attach\$3 or fasten\$3 or affix \$3 or connect\$3 or join\$3 or add\$3) with email with (im or instant adj mesag\$3))	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/08/04 14:48
L5	44	(@ad<="20021218") and ((attach\$3 or fasten\$3 or affix \$3 or connect\$3 or join\$3 or add\$3) with (email or file\$1) with (im or instant adj mesag \$3))	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/08/04 15:08

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UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael J. Rojas Examiner: Creighton H. Smith

Serial No: 10/740,030 **Art Unit:** 2614

Filed: December 18, 2003 **Docket:** 17188

For: SYSTEM AND METHOD FOR Dated: November 6, 2008 INSTANT VOIP MESSAGING

Confirmation No. 1731

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

RESPONSE UNDER 37 C.F.R. § 1.111

Sir:

Applicant submits this Response in reply to the Official Action dated August 11, 2008. Applicant respectfully requests reconsideration of the application in view of the following remarks.

CERTIFICATE OF ELECTRONIC FILING

I hereby certify that this correspondence is being deposited with the United States Patent & Trademark Office via Electronic Filing through the United States Patent and Trademark Office e-business website, on November 6, 2008.

Dated: November 6, 2008

Seth Weinfeld

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REMARKS

Applicant has filed the present Response in reply to the outstanding Official Action of August 11, 2008. Applicant believes the Response is fully responsive to the Official Action for at least the reasons set forth herein.

At the onset, Applicant would like to thank the Examiner for taking the time to have a telephone interview with Applicant's representative on October 31, 2008.

During the interview, Bernstein, Williams and Gierachf references were discussed.

With respect to the Bernstein reference, Applicant noted that the reference fails to teach (i) any consideration of availability/unavailability; (ii) temporarily storing the instant voice message; and (iii) delivering the stored instant voice message to the selected recipient once the selected recipient becomes available. The Examiner agreed to take another look at Bernstein and update the search using additional search terms.

With respect to Williams, Applicant noted that the reference fails to teach that the client requests a list of recipients associated with the client from the server and the server transmits the list of recipients to the client for selection of the one or more recipients. Williams only teaches transmitting the list of recipients if a voice command is not recognized.

With respect to Gierachf, Applicant noted that the reference is not prior art and referred the Examiner to the inventor declaration under 37 C.F.R. § 1.131.

Claims 1-3, 5, 11-18, 26-29, 43, 45, 51-54, 65 and 66 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal (previously cited) and

Bernstein, U.S. Patent Publication No. 2004/00128356. Claims 4, 19, 20, and 44 stand rejected under 35 U.S.C. § 103(a) in view of McZeal, Bernstein and Williams. Claims 7, 22 and 47 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Bernstein, and Sagi. Claims 8, 23 and 48 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Bernstein and Goodman. Claims 9, 24 and 49 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Bernstein and Gierachf, U.S. Patent Publication No. 2005/0053230. Claims 10, 25 and 50 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Bernstein and Creamer et al., U.S. Pat. Pub 2003/0126207.

Claims 30-33, 35, 41, 55, 57, 63, 64, 67, 69 and 75 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Bernstein and Monroe, U.S. Patent No. 6,970,183. Claims 42 and 76 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Bernstein, Monroe and Boukobza. Claims 34, 56 and 68 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view McZeal, Bernstein, Williams and Monroe. Claims 37, 59 and 71 were rejected under 35 U.S.C. § 103(a) as being unpatentable in view McZeal, Bernstein, Sagi and Monroe.

Claims 38, 60 and 72 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Bernstein, Goodman and Monroe. Claims 39, 61 and 73 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Bernstein, Gierachf and Monroe. Claims 40, 62 and 74 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Bernstein, Creamer and Monroe.

Applicant respectfully disagrees with the rejection and traverses with at least the following remarks.

Applicant submits that all of the cited references, whether taken alone or in any combination thereof, fail to teach, suggest or render obvious the limitation of the server temporarily stores the instant voice message if a selected recipient is unavailable and delivers the stored instant voice message to the selected recipient once the selected recipient becomes available, as recited in each of the independent claims.

The Official Action asserts that Bernstein teaches this feature. Applicant respectfully disagrees. As noted above, the reference fails to teach (i) any consideration of availability/unavailability; (ii) temporarily storing the instant voice message; and (iii) delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

(I) Storing only when unavailable

At best, Bernstein teaches storing all of the IM messages in a remote server, i.e., communication history or database. Bernstein teaches that the IM messages are stored for an IM session. The IM session implies that two parties are already available and IMing, i.e., a session is a flow of instant messages between at least two users.

Bernstein states that the server program system performs a step of maintained a database referencing a history of the instant messaging session with the universally unique identifier for the audience collection. *See* paragraphs 0086 and 0088. The audience collection is a list of users that **accepted** an invitation to the session. When and if a recipient responds to the instant messaging invitation email message, it becomes a

member of the audience collection 138. The first user sends an email invitation for the IM session and at least one other user replies to the invitation. *See* Paragraphs 0078-81.

At paragraph 0090, the reference states a step of sending the processed communication from the first member as content in the areas associated with the first member to the history of the instant message session with the universally unique identifier. The database receives the transferred communication from the first member to create a history-received communication from the first member.

In embodiments when there is a database 150, it includes a history 154 of the instant messaging session 130. History 154 includes the universally unique identifier 132 of instant messaging session 130. History 154 also includes an audience list 162 based upon audience collection 138 and a communication history 166, which further references communications records 168, each of which may be based upon at least one of the received communication 142, processed communication 144, and transferred communication 146. Additionally, in an embodiment, the server 100 may retain the complete transcript 166 of the Instant Messaging session.

In other words, when the store feature is active, every message is stored, without a determination of whether the recipient is available. In fact, since a session is active, the recipient must be available and online (emphasis added).

In stark contrast, in the claimed invention the IVM is only stored in the server if the recipient (IVM client) is not currently connected to the local IVM server. In fact, the reference only suggests that the IM is not stored if confidentiality cannot be maintained. *See* paragraph 0094.

(II) Temporarily storing the instant voice message if a selected recipient is unavailable

Bernstein fails to teach that the IVM is temporarily stored.

Bernstein states that the *user whenever looking at that email at any time in the future*, will trigger the server 100 to attempt fetching all the Instant Messaging messages 168 has stored for that email. *See* paragraph 0100. At any time in the future implies that the messages are stored permanently.

(III) Pushing IMV to recipient when available.

Additionally, the reference does not teach that the stored IVM is delivered to the client when the client connects to the IVM (after not being initiately connected).

Bernstein states that the *user whenever looking at that email at any time in the future*, will trigger the server 100 to attempt fetching all the Instant Messaging messages 168 has stored for that email. *See* paragraph 0100. In Bernstein, the user initiates the fetching process by sending a request, i.e., pulling the message data. In stark contrast, in the claimed invention, the server pushes or delivers the message when the recipient is determined to be available.

The other cited references fail to cure these deficiencies.

Therefore, the cited references fail to teach, suggest or render obvious each and every limitation of the claims; the claims are patentable over the cited combination.

Applicant further submits that claims 9, 24, 39, 49, 61, and 73 are patentable over the cited references based at least upon the following additional analysis. The Official Action cites Gierachf in the rejection of these claims. Applicant submits the Gierachf is

not prior art. Notably, in Applicant's declaration pursuant to 1.131, Applicant at paragraph 3 stated that the Applicant conceived the claimed invention prior to August 15 2003. See Declaration Pursuant to §1.131 ¶ 3. Gierachf has a filing date of September 6, 2003. Therefore, Applicant completed the invention claimed in the instant application prior to the filing date of the reference.

Applicant further submits that claims 4, 19, 34, 44, 56 and 68 are patentable over the cited references based at least upon the following additional analysis. Each of these claims recites, *inter alia*, a limitation directed to requesting a list of recipients associated with the server from the server. Applicant submits that the cited references fail to teach this limitation. At best, Williams (cited as a teaching of this limitation) teaches that the server sends a list of potential recipients **after a voice command is not recognized**. Notably, Williams describes an embodiment, where if a first word of the voice message does not satisfy a predetermined condition, the message is saved and a list of recipients is transmitted to the local client. *See* paragraph 0055. Clearly, the client is not requesting the list; rather the server determines that a command is not understood and that all available options should be transmitted to the user. The client makes no request.

Additionally, Applicant notes that this function is not in the context of an instant voice message.

None of the other cited references cure the above-identified deficiency.

Therefore, claims 4, 19, 34, 44, 56 and 68 are patentable over the cited references, whether taken alone or in any combination thereof.

Applicant further submits that claims 7, 22, 37, 47, 59 and 71 are patentable over the cited references based at least upon the following additional analysis.

Applicant submits that the references, whether taken alone or in any combination thereof teach or suggest recording an instant voice message in an audio file, at the client, where the audio file is a instant voice message, as recited in each of these claims. At best, Sagi teaches that an audio file can be transmitted to a server. Sagi teaches that an instant text message is converted into an instant voice message and then transmitted (Steps 420 and 425). The server relays the voice message to a cellular telephone. Sagi then describes that at step 435, user b sends an instant message in a voice message format to user via the gateway. The voice message is converted into a text message. Notably, Sagi does not teach that the voice message from user B to user A is recorded on the user device. None of the other cited references cure the above-identified deficiency.

Therefore, claims 7, 22, 37, 47, 59 and 71 are patentable over the cited references, whether taken alone or in any combination thereof.

Based upon the foregoing, Applicant respectfully requests that the Examiner withdraw all of the pending rejections pursuant to 35 U.S.C. § 103(a).

In conclusion, the Applicant believes that the above-identified application is in condition for allowance and henceforth respectfully solicits the Examiner to allow the application. If the Examiner believes a telephone conference might expedite the

allowance of this application, the Applicant respectfully requests that the Examiner call the undersigned, Applicant's attorney, at the following telephone number: (516) 742-4343.

Respectfully submitted,

Seth Weinfeld

Registration No: 50,929

Scully, Scott, Murphy & Presser, P.C. 400 Garden City Plaza, Suite 300 Garden City, New York 11530 516-742-4343

SW:reg

TRANSMITTAL LETTER Docket No. (General - Patent Pending) 17188 In Re Application Of: Michael J. Rojas Application No. Filing Date Examiner Customer No. Group Art Unit | Confirmation No. 10/740,030 December 18, 2003 Creighton H. Smith 23389 1731 2614 Title: SYSTEM AND METHOD FOR INSTANT VoIP MESSAGING **COMMISSIONER FOR PATENTS:** Transmitted herewith is: RESPONSE UNDER 37 C.F.R. § 1.111 in the above identified application. No additional fee is required. A check in the amount of is attached. The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. Charge the amount of \boxtimes Credit any overpayment. Charge any additional fee required. □ Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Dated: November 6, 2008 Seth Weinfeld Registration No: 50,929 Scully, Scott, Murphy & Presser, P.C. I hereby certify that this correspondence is being deposited with the United States Postal Service with 400 Garden City Plaza, Suite 300 sufficient postage as first class mail in an envelope Garden City, New York 11530 addressed to the "Commissioner for Patents, P.O. Box 516-742-4343 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on SW:reg (Date) Signature of Person Mailing Correspondence CC: Typed or Printed Name of Person Mailing Correspondence

P16A/REV04

Electronic Ack	knowledgement Receipt
EFS ID:	4244655
Application Number:	10740030
International Application Number:	
Confirmation Number:	1731
Title of Invention:	System and method for instant VoIP messaging
First Named Inventor/Applicant Name:	Michael J. Rojas
Customer Number:	23389
Filer:	Paul J. Esatto/Roseann Gallo
Filer Authorized By:	Paul J. Esatto
Attorney Docket Number:	17188
Receipt Date:	06-NOV-2008
Filing Date:	18-DEC-2003
Time Stamp:	15:20:12
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment			no				
File Listing:							
Document Number	Document Description	File Name File Size(Bytes)/ Multi Message Digest Part /.zi				Pages (if appl.)	
1			17188AM3.pdf	422767	yes	10	
				c5cce47930f25917cf0d3096e12360a11e80 3ab8			

	Multipart Description/PDF files in .zip description				
	Document Description	Start	End		
	Amendment/Req. Reconsideration-After Non-Final Reject	1	1		
	Applicant Arguments/Remarks Made in an Amendment	2	9		
	Miscellaneous Incoming Letter	10	10		
Warnings:					
Information:					
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

23389

7590

12/05/2008

SCULLY SCOTT MURPHY & PRESSER, PC 400 GARDEN CITY PLAZA SUITE 300 GARDEN CITY, NY 11530 EXAMINER

SMITH, CREIGHTON H

ART UNIT PAPER NUMBER

2614

DATE MAILED: 12/05/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/740 030	12/18/2003	Michael I Rojas	17188	1731

TITLE OF INVENTION: SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	03/05/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUIL.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as

Fee(s) Transmittal. The papers. Each additional have its own certificate. Cer	mailing can only be used for its certificate cannot be used for a paper, such as an assignment of mailing or transmission. tificate of Mailing or Transis Fee(s) Transmittal is bein.	for any other accompanying ent or formal drawing, must		
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	Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.			
		(Depositor's name)		
		(Signature)		
		(Date)		
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PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

OMB 0651-0033

 $U.S.\ Patent\ and\ Trademark\ Office;\ U.S.\ DEPARTMENT\ OF\ COMMERCE$



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/740,030	12/18/2003	Michael J. Rojas	17188	1731
23389	7590 12/05/2008		EXAM	INER
SCULLY SCOTT MURPHY & PRESSER, PC			SMITH, CRI	EIGHTON H
400 GARDEN CI	TY PLAZA		ART UNIT	PAPER NUMBER
SUITE 300 GARDEN CITY,	NY 11530		2614 DATE MAILED: 12/05/200	ō

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 848 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 848 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	T	T
	Application No.	Applicant(s)
Netter of Allemakility	10/740,030	ROJAS, MICHAEL J.
Notice of Allowability	Examiner	Art Unit
	CREIGHTON SMITH	2614
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	(OR REMAINS) CLOSED in this ap or other appropriate communication IGHTS. This application is subject to 3 and MPEP 1308.	plication. If not included n will be mailed in due course. THIS
1. This communication is responsive to <u>remarks filed on 06 N</u>	<u>10V '08</u> .	
2. X The allowed claim(s) is/are 1-5,7-20,22-35,37-45,47-57,59	1 <u>-69 and 71-76</u> .	
 Acknowledgment is made of a claim for foreign priority ur a) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 3. ☐ Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)). * Certified copies not received:	e been received. e been received in Application No	
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give		
 5. ☐ CORRECTED DRAWINGS (as "replacement sheets") mus (a) ☐ including changes required by the Notice of Draftspers 1) ☐ hereto or 2) ☐ to Paper No./Mail Date (b) ☐ including changes required by the attached Examiner's Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in to DEPOSIT OF and/or INFORMATION about the depoattached Examiner's comment regarding REQUIREMENT 	son's Patent Drawing Review (PTO s Amendment / Comment or in the C .84(c)) should be written on the drawing the header according to 37 CFR 1.121(sit of BIOLOGICAL MATERIAL research	Office action of ngs in the front (not the back) of d). must be submitted. Note the
 Attachment(s) 1. ☑ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 8.23.04 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material 	5. ☐ Notice of Informal F 6. ☐ Interview Summary Paper No./Mail Da 7. ☐ Examiner's Amendr 8. ☒ Examiner's Stateme 9. ☐ Other	(PTO-413), te

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

Notice of Allowability

Part of Paper No./Mail Date 20081202

Application/Control Number: 10/740,030 Page 2

Art Unit: 2614

REASONS FOR ALLOWANCE

The following is an examiner's statement of reasons for allowance: The prior art fails to teach/disclose applicant's instant voice messaging system having a server that temporarily stores an instant voice message if a recipient is unavailable and delivers the stored instant voice message when the recipient becomes available. No obvious combination of references found would have taught one of ordinary skill in the art to use applicant's system and method as claimed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CREIGHTON SMITH whose telephone number is (571)272-7546. The examiner can normally be reached on 5-4-9.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, fan tsang can be reached on 27548. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/740,030 Page 3

Art Unit: 2614

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

02 DEC '08

/ creighton smith/ for Primary, Examiner of Art Unit 2614

Notice of References Cited	Application/Control No. 10/740,030	Applicant(s)/Patent Under Reexamination ROJAS, MICHAEL J.		
Notice of Neierences Offed	Examiner	Art Unit		
	CREIGHTON SMITH	2614	Page 1 of 1	

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-6,763,226	07-2004	McZeal, Jr., Alfred	455/90.2
*	В	US-2004/0252679	12-2004	Williams et al.	370/356
*	C	US-2004/0122906	06-2004	Goodman et al.	709/206
*	D	US-2005/0053230	03-2005	Gierachf, Karl	379/406.06
*	Е	US-2005/0105697	05-2005	Hollowell et al.	379/088.13
*	F	US-2003/0087632	05-2003	Sagi et al.	455/414
*	G	US-2006/0268750	11-2006	Weiner, Moshe	370/260
*	Н	US-2004/0030046	02-2004	Schultes et al.	525/71
*	Ι	US-2007/0112925	05-2007	Malik, Dale W.	709/206
	J	US-			
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FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20081202

Index of Claims 10740030 Examiner CREIGHTON SMITH Applicant(s)/Patent Under Reexamination ROJAS, MICHAEL J. Art Unit 2614

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U.S. Patent and Trademark Office

Index of Claims 10740030 Examiner CREIGHTON SMITH Applicant(s)/Patent Under Reexamination ROJAS, MICHAEL J. Art Unit 2614

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U.S. Patent and Trademark Office

Part of Paper No.: 20081117

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	10740030	ROJAS, MICHAEL J.
	Examiner	Art Unit
	CREIGHTON SMITH	2614

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	10740030	ROJAS, MICHAEL J.
	Examiner	Art Unit
	CREIGHTON SMITH	2614

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NONE	Total Clain	ns Allowed:	
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/CREIGHTON SMITH/ Primary Examiner.Art Unit 2614	11.17.08	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1

U.S. Patent and Trademark Office Part of Paper No. 20081202

Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
10740030	ROJAS, MICHAEL J.
Examiner	Art Unit
Creighton H Smith	2614

	SEARCHED		
Class	Subclass	Date	Examiner
370	352	17 NOV '08	

SEARCH NOTES		
Search Notes	Date	Examiner
EAST Search	05 AUG '08	chs
EAST	17 NOV '08	chs

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael J. Rojas

Examiner:

Unassigned

Serial No:

10/740,030

Art Unit:

2661

Filed:

December 18, 2003

Docket:

17188

For:

SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING

Dated:

August 19, 2004

Confirmation No. 1731

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. http://www.cisco.com/warp/public/cc/pd/nemnsw/callmn/prodlit/cm33 ds.htm; "Data Sheet Cisco CallManager Version 3.3".
- 2. http://www.cisco.com/en/US/products/hw/switches/ps1925/products_data_sheet_09186 a00800a3c3d.html; "Data Sheet Cisco MGX 8000 Series".
 - 3. http://www.hsteliann.com/english/?zone=3100-V21P; "Teliphone 3100-

V21P".

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner of Patents, P. O. Box 1450, Alexandria, VA₂22313-1450 on August 19, 2004.

Dated: August 19, 2004

Paul J. Esatto, Jr.

- 4. http://www.linuxdevices.com/articles/AT5199947519.html; "Device Profile: snom 100 VoIP phone".
- 5. http://www.pingtel.com/pr xpressa.jsp; "No limits with the advanced industry standard SIP phone.
- AudioCoded Enabling Technology Products, TPM-1100 VoP Media Gateway
 Modules.

Applicant is submitting a copy of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

Paul J. Esatto, Jr.

Registration No. 30,749

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

PJE:ae

Form PTO-1449 REV. 7-80) PATEN		DEPARTMENT OF COMMERCE RADEMARK OFFICE		Atty. Docket No. (Optional))	Application Number			
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 17188 In Re Application Of: Michael J. Rojas Application No. Examiner Group Art Unit | Confirmation No. Filing Date Customer No. 10/740,030 1731 December 18, 2003 Unassigned 23389 2661 Title: SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) 1. M The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); OR ☐ the fee set forth in 37 CFR 1.17(p).

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TRANSMITTA	AL OF INFORMA (Under 37 CFF	TION DISCLOS R 1.97(b) or 1.97(TEMENT	11	cket No. 17188			
In Re Applicatio	n: Michael J. Roja	S	.=						
Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation									
10/740,030 December 18, 2003 Unassigned 23389 2661 1731									
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Registration No. 30 Scully, Scott, Murp									
400 Garden City Pl	•								
Garden City, New 1									
516-742-4343									
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Michael J. Rojas

Examiner:

Creighton H. Smith

Serial No:

10/740,030

Art Unit:

2614

Filed:

December 18, 2003

Docket:

17188

For:

SYSTEM AND METHOD FOR

INSTANT VoIP MESSAGING

Dated:

February 27, 2009

Confirmation No. 1731

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

SUBMISSION

Sir:

Applicant respectfully submits a supplemental Information Disclosure Statement herewith. An Information Disclosure Statement was filed on August 19, 2004 in the aboveidentified application. The Information Disclosure Statement was filed with 6 references. Applicant submitted copies of the references with the Information Disclosure Statement along with PTO Form 1449. On September 18, 2007, Examiner Smith attached an initialized PTO

CERTIFICATE OF ELECTRONIC TRANSMISSION

I hereby certify that this document is being electronically filed in the United States Patent and Trademark Office on the date shown below.

Dated: February 27, 2009

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Form 1449 to the First Office Action on the Merits indicating that all of the references were considered. The First Office Action did not include any of the references submitted in the Information Disclosure Statement in any of the rejections of the claims.

Subsequently, Examiner Smith attached the same PTO Form 1449 to the Notice of Allowability, however, the PTO Form 1449 had all of the references crossed off, indicating that the references were not considered. Applicant's representative conducted a series of Examiner interviews with both Examiner Smith and Examiner Fsang (Examiner Smith's Supervisor). The Examiners notified Applicant's representative that the PTO Form 1449 did not include a date for each of the references identified.

Applicant maintains that the Information Disclosure Statement should be considered, since the Examiner did in fact consider the Information Disclosure Statement during the prosecution of the application, and, therefore, the references should be listed on the face of the patent.

However, to expedite the issuance of the patent, Applicant is submitting the supplemental Information Disclosure Statement which includes the best available dates for the references, if known, with a replacement PTO Form 1449.

An archive website indicates that Reference 1 was posted on November 22, 2002.

Attached herewith is a copy of a document printed from an archive web site evidencing the post date.

An archive website indicates that Reference 3 was copyrighted in 2003. Attached herewith is a copy of a document printed from an archive website indicating a copyright date.

Reference 4 bears a date of May 15, 2002 on the reference.

Reference 5 was archived by a website on December 8, 2003. Attached herewith is a copy of a document printed from an archive website indicating the archive date for Reference 5.

Reference 6 bears a copyright date of 2003.

Applicant respectfully requests consideration of the Supplemental Information Disclosure Statement pursuant to the Examiner interview with Examiner Fsang.

The Director is hereby authorized to charge Deposit Account No. 19-1013/SSMP any additional fees if required.

Respectfully submitted,

Seth Weinfeld

Registration No. 50,929

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

SW:reg Enclosures

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael J. Rojas

Examiner:

Creighton H. Smith

Serial No:

10/740,030

Art Unit:

2614

Filed:

December 18, 2003

Docket:

17188

For:

SYSTEM AND METHOD FOR

Dated: INSTANT VoIP MESSAGING

February 27, 2009

Confirmation No. 1731

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached replacement Form PTO-1449, be made of record in the above-identified case.

- 1. http://www.cisco.com/warp/public/cc/pd/nemnsw/callmn/prodlit/ cm33 ds.htm; "Data Sheet Cisco CallManager Version 3.3", November 22, 2002;
- 2. http://www.cisco.com/en/US/products/hw/switches/ps1925/products data sheet 09186 a00800a3c3d.html; "Data Sheet Cisco MGX 8000 Series" (Date unknown).

CERTIFICATE OF ELECTRONIC TRANSMISSION

I hereby certify that this document is being electronically filed in the United States Patent and Trademark Office on the date shown below.

Dated: February 27, 2009

- 3. http://www.hsteliann.com/english/?zone=3100-V21P; "Teliphone 3100-V21P", 2003;
- 4. http://www.linuxdevices.com/articles/AT5199947519.html; "Device Profile: snom 100 VoIP phone", (May 15, 2002);
- http://www.pingtel.com/pr xpressa.jsp; "No limits with the advanced industry standard SIP phone, December 8, 2003; and
- AudioCoded Enabling Technology Products, TPM-1100 VoP Media Gateway Modules; 2003.

The above-identified references were previously submitted in an Information Disclosure Statement dated August 19, 2004. Therefore, Applicant is not submitting copies of the references. This Supplemental Information Disclosure Statement includes the best available dates for the references, if known.

Respectfully submitted,

Seth Weinfeld

Registration No. 50,929

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

SW:reg

Form PTO-1449 (REV, 7-80) PATE	om PTO-1449 U.S. DEPARTMENT OF COMMERCE REV. 7-80) PATENT AND TRADEMARK OFFICE			Atty.	Docket No. (Optional)		Application Number			
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Electronic Acl	knowledgement Receipt				
EFS ID:	4876766				
Application Number:	10740030				
International Application Number:					
Confirmation Number:	1731				
Title of Invention:	SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING				
First Named Inventor/Applicant Name:	Michael J. Rojas				
Customer Number:	23389				
Filer:	Seth Weinfeld/Roseann Gallo				
Filer Authorized By:	Seth Weinfeld				
Attorney Docket Number:	17188				
Receipt Date:	27-FEB-2009				
Filing Date:	18-DEC-2003				
Time Stamp:	16:28:44				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

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Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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Warnings:					
Information:					

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Docket No. TRANSMITTAL OF FORMAL DRAWINGS 17188 In Re Application Of: Michael J. Rojas Application No. Filing Date Customer No. **Group Art Unit** Confirmation No. Examiner 10/740,030 **December 18, 2003** Creighton H. Smith 23389 2614 1731 Invention: SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 Transmitted herewith are: sheets of formal drawing(s) for this application. X Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c). Dated: March 4, 2009 Seth Weinfeld Registration No.: 50,929 I hereby certify that this correspondence is being deposited with the United States Postal Service with Scully, Scott, Murphy & Presser, P.C. 400 Garden City Plaza - Suite 300 sufficient postage as first class mail in an envelope Garden City, New York 11530 addressed to "Commissioner for Patents, P.O. Box 1450, (516) 742-4343 Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on (Date) Signature of Person Mailing Correspondence

P23B/REV03

Typed or Printed Name of Person Mailing Correspondence

Electronic Ack	knowledgement Receipt
EFS ID:	4905745
Application Number:	10740030
International Application Number:	
Confirmation Number:	1731
Title of Invention:	SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING
First Named Inventor/Applicant Name:	Michael J. Rojas
Customer Number:	23389
Filer:	Seth Weinfeld/Roseann Gallo
Filer Authorized By:	Seth Weinfeld
Attorney Docket Number:	17188
Receipt Date:	04-MAR-2009
Filing Date:	18-DEC-2003
Time Stamp:	18:20:16
Application Type:	Utility under 35 USC 111(a)

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

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New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING

Michael J. Rojas U.S. Serial No.: 10/740,030 Replacement Sheet

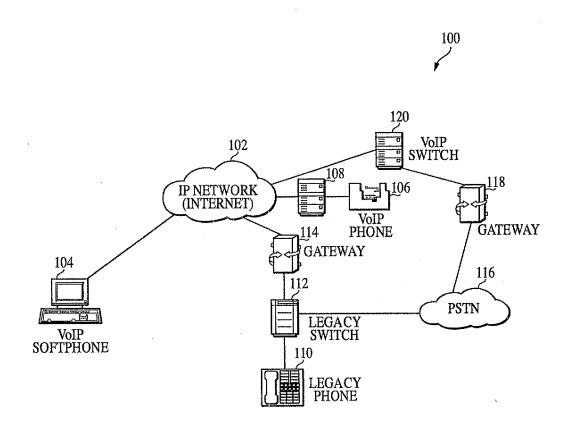


FIG. 1 (PRIOR ART)

SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING
Michael J. Rojas
U.S. Serial No.: 10/740,030
Replacement Sheet

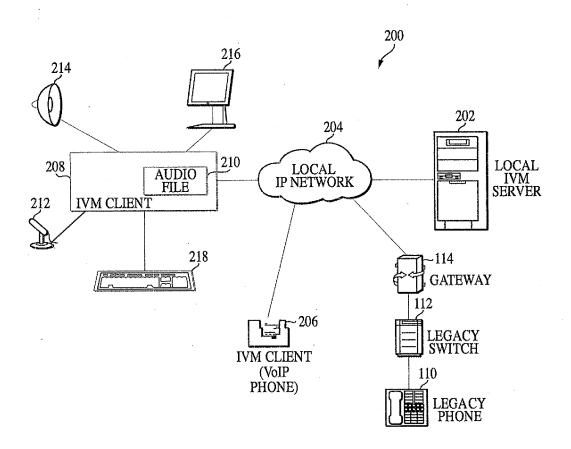
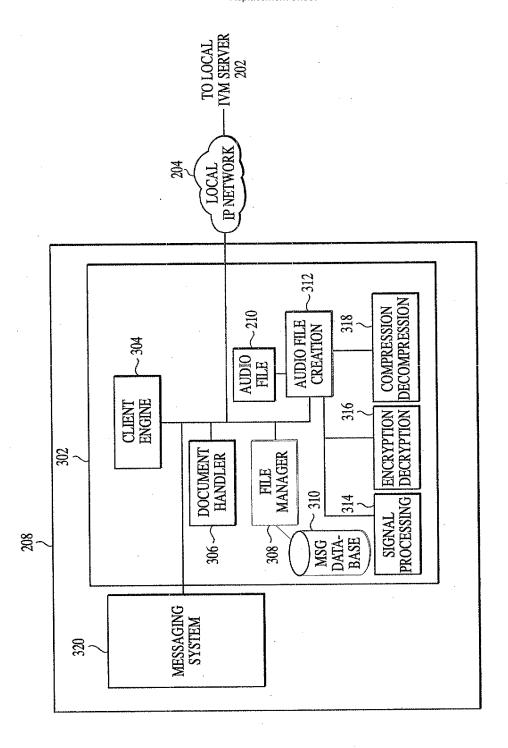
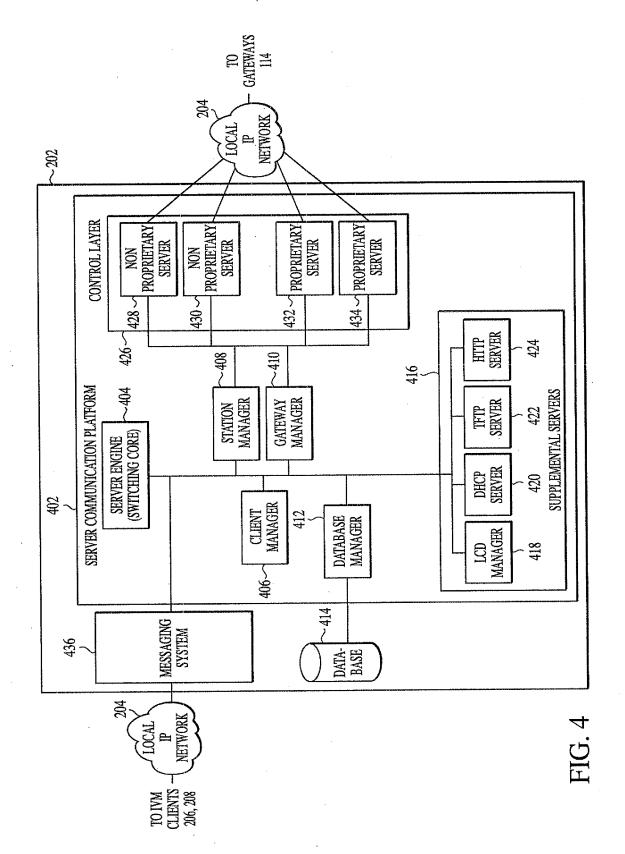


FIG. 2



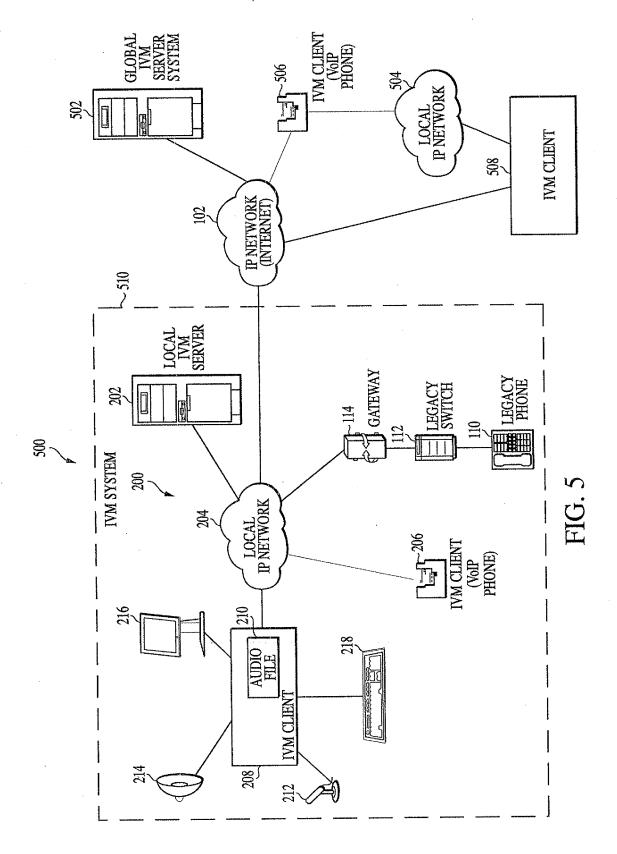
SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING

Michael J. Rojas U.S. Serial No.: 10/740,030 Replacement Sheet



SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING

Michael J. Rojas U.S. Serial No.: 10/740,030 Replacement Sheet



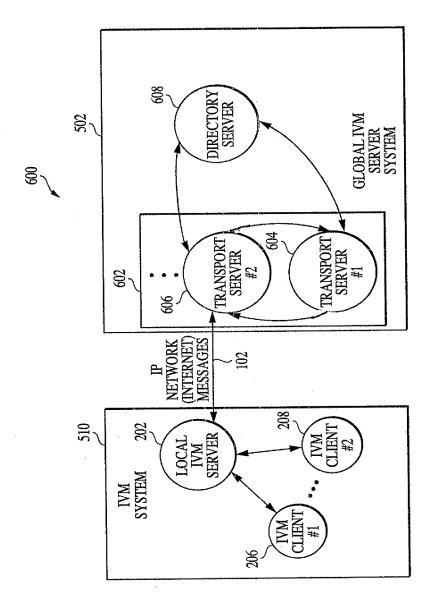
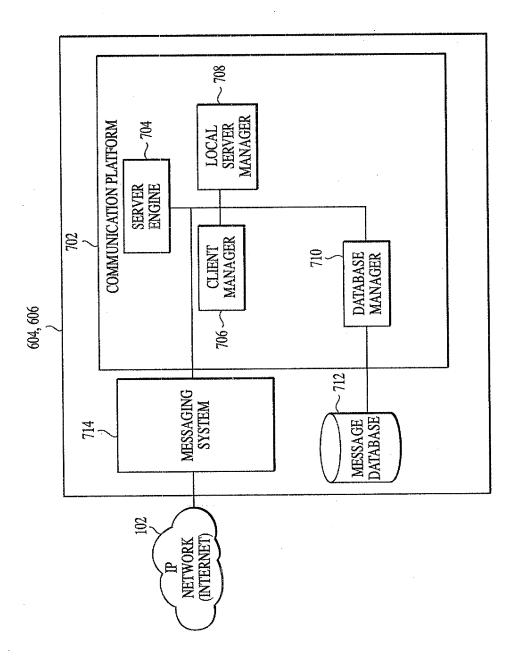
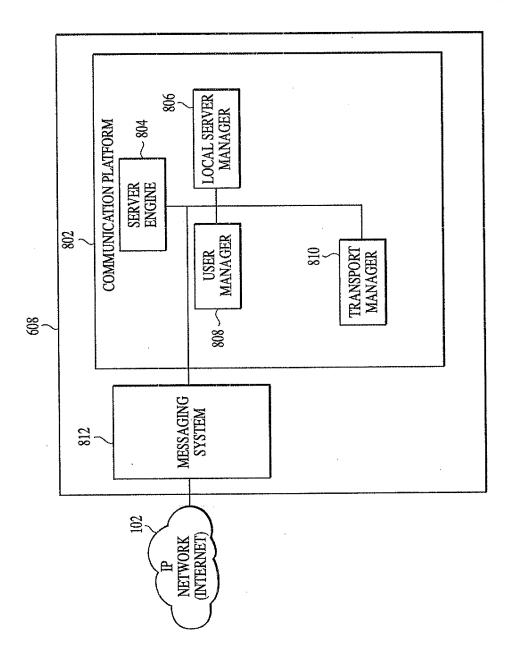


FIG. 6





SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING Michael J. Rojas U.S. Serial No.: 10/740,030

Replacement Sheet

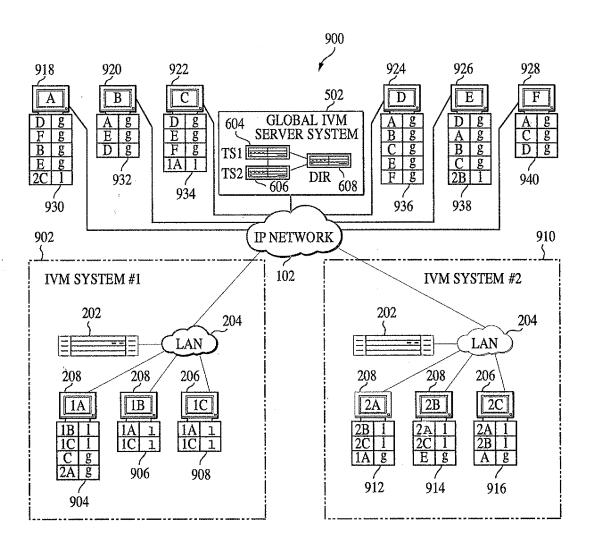


FIG. 9

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE
Commissioner for Patents
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APPLICATION NO.	FILING DAT	Е	FIRST NAMED INVEN	NTOR	1	ATTOR	NEY DOCKET NO.	CONFIRMATION NO.
10/740,030	12/18/2003		Michael J. Rojas	s			17188	1731
TITLE OF INVENTION	SYSTEM AND MET	'HOD FOR INSTANT V	VOIP MESSAGING					
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE I	DUE	PREV. PAID ISSUE	FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$ 755	\$300		\$0		\$1055	03/05/2009
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		itified below, no assign apletion of this form is N	cc data will appear on t NOT a substitute for filin	the pa	tent. If an assigne ssignment.	e is ide	entified below, the d	locument has been filed for
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Ayalogic,	Inc.		Akron, Ohio					
Please check the appropria	ate assignee category of	or categories (will not be	printed on the patent):	0	Individual 🖄 Co	rporatio	n or other private gr	oup entity Government
4a. The following fec(s) a Signal Issue Fee Publication Fee (No Advance Order - #	small entity discount	permitted)	4b. Payment of Fee(s): A check is enclosed: Payment by cred The Director is hoverpayment, to	sed. it card	l. Form PTO-2038	is attac	hed.	shown above) cficiency, or credit any the extra copy of this form).
5. Change in Entity State			☐ b. Applicant is n					
NOTE: The Issue Fee and interest as shown by the re	Publication Fee (if records of the United St	quired) will not be accepates Patent and Tradem						he assignee or other party in
Authorized Signature	[1][***************************************	· · · · · · · · · · · · · · · · · · ·		5,2009	
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								d by the USPTO to process) ng gathering, preparing, and me you require to complete nartment of Commerce, P.O. for Patents, P.O. Box 1450,
Under the Paperwork Redi	action Act of 1995, no	persons are required to	respond to a collection of	of info	rmation unless it d	lisplays	a valid OMB contro	I number,

Electronic Pate	ent App	olication Fee	e Transmi	ittal	
Application Number:	101	740030			
Filing Date:	18-	-Dec-2003			
Title of Invention:	SY:	STEM AND METHOI	D FOR INSTANT	VOIP MESSAGING	
First Named Inventor/Applicant Name:	Mid	chael J. Rojas			
Filer:	Pa	ul J. Esatto/Roseanr	n Gallo		
Attorney Docket Number:	17	188			
Filed as Small Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Utility Appl issue fee		2501	1	755	755
Publ. Fee- early, voluntary, or normal		1504	1	300	300

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Extension-of-Time:							
Miscellaneous:							
Total in USD (\$)				1055			

Electronic Acknowledgement Receipt				
EFS ID:	4910739			
Application Number:	10740030			
International Application Number:				
Confirmation Number:	1731			
Title of Invention:	SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING			
First Named Inventor/Applicant Name:	Michael J. Rojas			
Customer Number:	23389			
Filer:	Paul J. Esatto/Roseann Gallo			
Filer Authorized By:	Paul J. Esatto			
Attorney Docket Number:	17188			
Receipt Date:	05-MAR-2009			
Filing Date:	18-DEC-2003			
Time Stamp:	14:46:57			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1055
RAM confirmation Number	210
Deposit Account	191013
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Issue Fee Payment (PTO-85B)	17188ISSUEFEE.pdf	110917	no	1		
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2	Fee Worksheet (PTO-06)	fee-info.pdf	54cde0d55e656e647b7e751ab3273ed08b 297f6b	no	2		
Warnings:							
Information:							
		Total Files Size (in bytes)	14	12456			

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/740,030	12/18/2003	Michael J. Rojas	17188	1731
	7590 04/16/200 TT MURPHY & PRES		EXAM	IINER
400 GARDEN SUITE 300			SMITH, CRE	EIGHTON H
GARDEN CIT	Y, NY 11530		ART UNIT	PAPER NUMBER
			2614	
			MAIL DATE	DELIVERY MODE
			04/16/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)					
supplemental	10/740,030	ROJAS, MICHAEL J.					
Notice of Allowability	Examiner	Art Unit					
	CREIGHTON SMITH	2614					
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	(OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to	olication. If not included will be mailed in due course. THIS					
1. This communication is responsive to ids filed on 27 FEB '09.							
2. The allowed claim(s) is/are <u>1-5,7-20,22-35,37-45,47-57,59</u>	-69 and 71-76.						
 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some* c) None of the: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). * Certified copies not received: 							
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements					
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give							
 5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must (a) ☐ including changes required by the Notice of Draftspers 1) ☐ hereto or 2) ☐ to Paper No./Mail Date (b) ☐ including changes required by the attached Examiner's Paper No./Mail Date 	on's Patent Drawing Review(PTO-9	,					
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t							
6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.							
 Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 02.27.09 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material 	5. ☐ Notice of Informal Pa 6. ☐ Interview Summary Paper No./Mail Dat 7. ☐ Examiner's Amendn 8. ☐ Examiner's Stateme 9. ☐ Other	(PTO-413), e					
/CREIGHTON SMITH/							
Primary Examiner, Art Unit 2614							

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

Notice of Allowability

Part of Paper No./Mail Date 20090406

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE		Atty. Docket No. (Optional) Application Number							
INFORM	ATIO	N DISCLOSURE	CITATION	17188 10/740,030					
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					olicant(s) chael Rojas				
					ng Date cember 18, 2003		Group Art U	nit	
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EXAMINER INITIAL*		DOCUMENT NUMB	ER DATE		NAME	CLASS	SUBCLASS	FILING (if appr	
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FOREIGN PATENT DOCUMENTS									
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http://www.linuxdevices.com/articles/AT5199947519.html; "Device Profile: snom 100 VoIP phone", May 15, 2002.									
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EXAMINER	/Gr	eighton Smith/		1	TE CONSIDERED		/2009		
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/740,030	12/18/2003	Michael J. Rojas	17188	1731
	7590 04/22/200 TT MURPHY & PRES		EXAM	IINER
400 GARDEN SUITE 300		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	SMITH, CRI	EIGHTON H
GARDEN CIT	Y, NY 11530		ART UNIT	PAPER NUMBER
	,		2614	
			MAIL DATE	DELIVERY MODE
			04/22/2000	DADED

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)						
	10/740,030	ROJAS, MICHAEL	J.					
Notice of Allowability	Examiner	Art Unit						
	CREIGHTON SMITH	2614						
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this ap or other appropriate communication GHTS. This application is subject to	pplication. If not include n will be mailed in due o	ed course. THIS					
1. This communication is responsive to ids filed on 02.27.09.								
2. X The allowed claim(s) is/are <u>1-5,7-20,22-35,37-45,47-57,59-</u>	- <u>69 and 71-76</u> .							
 Acknowledgment is made of a claim for foreign priority un a) All b) Some* c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)). * Certified copies not received:	been received. been received in Application No		ion from the					
Applicant has THREE MONTHS FROM THE "MAILING DATE" of noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the req	uirements					
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 5. ☐ CORRECTED DRAWINGS (as "replacement sheets") muss (a) ☐ including changes required by the Notice of Draftsperson (b) ☐ hereto or 2) ☐ to Paper No./Mail Date (b) ☐ including changes required by the attached Examiner's Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in the property of the sheet of the property of the sheet of the property of the pro	on's Patent Drawing Review (PTOs Amendment / Comment or in the 684(c)) should be written on the drawi	Office action of ings in the front (not the	back) of					
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1. Notice of References Cited (PTO-892)	5. Notice of Informal F							
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6.							
 Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 02.27.09 	7. 🔲 Examiner's Amend	ment/Comment						
Examiner's Comment Regarding Requirement for Deposit of Biological Material	8. Examiner's Statem	ent of Reasons for Allo	wance					
/CDEIGHTON SMITH/	9. Other							
/CREIGHTON SMITH/ Primary Examiner, Art Unit 2614	15 APR '09							

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

Notice of Allowability

Part of Paper No./Mail Date 20090415

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE				Atty. Docket No. (Optional) Application Number						
INFORM	IATIO	ON DISCLOSURE	CITATIO	ON	17188 10/740,030					
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						hael Rojas				
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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/740 030	05/19/2009	7535890	17188	1731

23389 7590

04/29/2009

SCULLY SCOTT MURPHY & PRESSER, PC 400 GARDEN CITY PLAZA SUITE 300 GARDEN CITY, NY 11530

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 799 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Michael J. Rojas, North Canton, OH;

IR103 (Rev. 11/05)

PTC/SB/81 (01-08) Approved for use Brough 11/97/2011 CMB 9851-0036 U.S. Pistert and Trademark Office: U.S. DEPARTMENT OF COMMISSION

POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS

Under the Paperwork Reduction Act of 1995, no persons are requir	ad to respond to a collection of this	amatica casess il displays a valid OMS control numbe
POWER OF ATTORNEY	Application Number	10740090
OR OCATION OF POWER OF ATTORNEY	Filing Date	2003-12-19
	First Named Inventor	Michael J. Rojes
THA NEW POWER OF ATTORNEY	Yisie	System and Method for instant York Messager
IT A NEW POWER OF ATTORNET AND GE OF CORRESPONDENCE ADDRESS	Art Unit	2814
	Examiner Name	Craighton H. Smith
	Altorney Docket Number	EMP0021-US

Thereby revoke all	previous powers of altomey given in	the above identif	lied application				
A Power of Atto	mey is submitted herewith.						
O Number as my/o identified above and Trademerk	Practitioner(s) associated with the following is sur-sitemey(s) or agent(s) to prosecute the ag , and to transact all business in the United Str Office connected therewith:	pleation	67050				
OR Thereby appoint Practitioner(a) named below as my/our attorney(a) or agent(a) to prosecute the application identified above, and to bransant all business in the United States Patent and Trademark Office connected therewith.							
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Title and Company	Daniel Mitřy Principal, Empire IP LLC		Telephone				
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This collection of information is mergined by 37 CPR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is is the family the USPTO to proceed an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CPR 1.11 and 1.34. This collection is estimated to take 3 menutes to complete including gathering, preparing, and submitting the completed spatication form to the USPTO. Time will any depending upon the individual case. Any comments on the armount of time you require to complete this form and/or suggestions for reclaining the burden, should be sent to the Chief Information Officer, U.S. Peterd and Trackement Officer, U.S. Department of Commerces, P.C. that 1400 Alexandria, VAI 22313-1460, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ACCRESS. SEND TO: Commissioner for Patents, P.O. Box 1459, Alexandria, VA 22313-1459.

If you need existance in completing the form, call 1-800-PTO-9199 and salest colour 2.

Approved for use through 07/31/2012, CMS 985 1-0031 U.S. Patent and Trademann Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Radioston Act of 1995, no persons are required to respond to a collection of externation unless it displays a valid CMS control number.

SIA	TEMENT UNDER 3	I.CER 3.73(b)
Applicant/Patent Owner: Empire IP LLC		
i weekaan	······	Sertificació Dato: 2009-05-19
Titled: System and Method for Instant VolP I	Vessaging	
Empire IP LLC	Corporatio	n
(Name of Assignee)	(Type of Ass	ignee, e.g., corporation, partitioning, university, government againty, etc.
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an assignee of less than the entire right. (The extent (by percentage) of its owner.	, title, and interest in ship interest is	
3 the assignee of an undivided interest in	the entirety of (a comp	siate assignment from one of the joint inventors was made)
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OR B. X A chain of little from the inventor(s), of th	a national contraction in	alent identified above, to the current assignee as follows:
Shina Stinbourt		N. A. A. A. A. A. A. A. A. A. A. A. A. A.
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Additional documents in the chain of (it	le are listed on a supp	lemental sheet(s).
As required by 37 CFR 3.73(b)(1)(i), the do- or concurrently is being, submitted for record		f the chain of title from the original owner to the assignee was, 3FR 3.11.
[NOTE: A separate copy (i.e., a true copy of accordance with 37 CFR Part 3, to record to		mi document(s)) must be submitted to Assignment Division in cords of the USPTO. <u>See</u> MPEP 302.08)
The undersigned (whose title is supplied below) is a	uthorized to act on be	half of the assignee.
		\$-23-2013 Date
Signature		Date
Daniel Mitry		Principal
Printed or Typed Norne		Tille

This collection of information is required by 37 CFR 3.73(s). The information is required to obtain or retain a benefit by the public which is is the card by the USFTO to proceed an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.13 and 1.14. This collection is estimated to be 12 another to complete, including government of the including proceeding, proceeding uses the individual case. Any comments on this encount of time you require to complete that form and/or suggestions for reducing this terms, should be sent to the Chief Information Offices U.S. Patient and Trademark Office. U.S. Department of Commerce, P.O. Sox 14(5), Alexandria, VA. 22313-1460. DO NOT SEND FEET OR COMPLETED FORMS TO THIS ACCRESS. SEND TO: Commissioner for Patients, P.O. Sox 14(6), Alexandria, VA. 22313-1450.

Electronic Acknowledgement Receipt				
EFS ID:	16696004			
Application Number:	10740030			
International Application Number:				
Confirmation Number:	1731			
Title of Invention:	SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING			
First Named Inventor/Applicant Name:	Michael J. Rojas			
Customer Number:	23389			
Filer:	John Kasha			
Filer Authorized By:				
Attorney Docket Number:	17188			
Receipt Date:	27-AUG-2013			
Filing Date:	18-DEC-2003			
Time Stamp:	13:36:56			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	no
File Listings	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	EMP0021-US_poa_signed1.pdf	384208 	no	2
Warnings:					

Information:

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



67050

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF A COMMUNICATION OF THE ADDRESS OF A COMMUNICATION OF PATENTS PARENTS PPLICATION NUMBER 10/740,030

14532 Dufief Mill Road North Potomac, MD 20878

KASHA LAW LLC

FILING OR 371(C) DATE 12/18/2003

FIRST NAMED APPLICANT Michael J. Rojas

ATTY. DOCKET NO./TITLE EMP0021-US

CONFIRMATION NO. 1731 POA ACCEPTANCE LETTER



Date Mailed: 09/10/2013

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 08/27/2013.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/sharris/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF A COMMUNICATION OF THE ADDRESS OF A COMMUNICATION OF PATENTS PARENTS PPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 10/740,030 12/18/2003 Michael J. Rojas 17188

23389 SCULLY SCOTT MURPHY & PRESSER, PC 400 GARDEN CITY PLAZA SUITE 300 GARDEN CITY, NY 11530

CONFIRMATION NO. 1731 POWER OF ATTORNEY NOTICE



Date Mailed: 09/10/2013

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 08/27/2013.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/sharris/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A PATENT OR TRADEMARK		
filed in the U.S. Dista Trademarks or		n District n involves			
DOCKET NO. 2:16-cv-777	DATE FILED 7/15/2016	U.S. DIS	TRICT COURT Eastern District of Texas, Marshall Division		
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG			DEFENDANT AVAYA INC.,		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1 7,535,890	5/19/2009	UNIL	OC LUXEMBOURG, S.A.		
2 8,995,433	3/31/2015	UNIL	OC LUXEMBOURG, S.A.		
3 8,724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.			
4 8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.			
5 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.			
	In the above—entitled case, the f	following 1	patent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY	dment	☐ Answer ☐ Cross Bill ☐ Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
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In the abov	e—entitled case, the following de	ecision ha	s been rendered or judgement issued:		
DECISION/JUDGEMENT					
CLERK	(BY) I	DEPUTY	CLERK DATE		

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

1	P.O. Box 1450 ndria, VA 22313-1450	l .	ARDING A PATENT OR KADEMARK	
filed in the U.S. Dist	Tender rict Court Eastern Patents. (☐ the patent action	•		
DOCKET NO. 2:16-cv-779	DATE FILED 7/15/2016	S. DISTRICT COURT Eastern District of To	exas, Marshall Division	
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG		DEFENDANT SHORETEL, INC.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATE	ENT OR TRADEMARK	
1 7,535,890	5/19/2009	JNILOC LUXEMBOURG, S.A		
2 8,995,433	3/31/2015	JNILOC LUXEMBOURG, S.A		
3 8,724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.		
4 8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.		
5				
DATE INCLUDED	INCLUDED BY	wing patent(s)/ trademark(s) have be		
PATENT OR	DATE OF PATENT	-	s Bill Other Pleading ENT OR TRADEMARK	
TRADEMARK NO.	OR TRADEMARK	HOLDER OF TAIL	ENT OR TRADEMENT	
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	re—entitled case, the following de	on has been rendered or judgement i	ssued:	
DECISION/JUDGEMENT				
CLERK (BY) DEPUTY CLERK DATE				

	Mail Stop 8 ector of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK		
filed in the U.S. Di		n District	of Texas, Marsha	advised that a court actional Division	on has been on the following
	✓ Patents. (the patent action		TRICT COURT		
OCKET NO. 2:16-cv-779	DATE FILED 7/15/2016	0.8. DI	Eastern Dist	rict of Texas, Marsh	all Division
AINTIFF NILOC USA, INC., ar NILOC LUXEMBOUF	nd RG, S.A.		SHORETEL, IN	C.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER	OF PATENT OR TRAI	DEMARK
7,535,890	5/19/2009	UNI	LOC LUXEMBOU	RG, S.A.	
8,995,433	3/31/2015	UNI	LOC LUXEMBOL	RG, S.A.	
8,724,622	5/13/2014	UNI	UNILOC LUXEMBOURG, S.A.		
8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.			
5					
	In the above—entitled case, the	e followin	g patent(s)/ trademark	(s) have been included:	
DATE INCLUDED	INCLUDED BY	endment	☐ Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	R OF PATENT OR TRA	DEMARK
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In the a	bove—entitled case, the following	g decision	has been rendered or	judgement issued:	
DECISION/JUDGEMENT					
		W DEDL	TY CLERK		DATE
CLERK	I(B	I) DEFU.	LICELIUN		1

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division Trademarks or Patents. (the patent action involves 35 U.S.C. § 292.): DOCKET NO. 2:16-cv-777 PATENTOR T/15/2016 PATENTOR OR TRADEMARK DEFENDANT AVAYA INC., PATENT OR OR TRADEMARK DEFENDANT HOLDER OF PATENT OR TRADEMARK 1 7,535,890 DATE OF PATENT OR TRADEMARK 1 7,535,890 DATE OF PATENT UNILOC LUXEMBOURG, S.A. DATE OF PATENT UNILOC LUXEMBOURG, S.A. UNILOC LUXEMBOURG, S.A. 1 7,535,890 DATE OF PATENT UNILOC LUXEMBOURG, S.A. UNILOC LUXEMBOURG, S.A. 1 8,724,622 DATE OF PATENT UNILOC LUXEMBOURG, S.A. UNILOC LUXEMBOURG, S.A. In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE DICTUDED UNCLUDED BY		cv-00777 Document 2	z File	u 07/13/10 F	age 1 of 1 i ag		
filed in the U.S. District Court	Director of the U.S. Patent and Trademark Office P.O. Box 1450			FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR			
DATE FILED 2:16-cv-777 DATE FILED 7/15/2016 U.S. DISTRICT COURT Eastern District of Texas, Marshall Division DEFENDANT UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A. DATE OF PATENT TRADEMARK NO. 1 7,535,890 5/19/2009 UNILOC LUXEMBOURG, S.A. UNILOC LUXEMBOURG, S.A. 3 8,724,622 5/13/2014 UNILOC LUXEMBOURG, S.A. UNILOC LUXEMBOURG, S.A. 4 8,243,723 8/14/2012 UNILOC LUXEMBOURG, S.A. UNILOC LUXEMBOURG, S.A. In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED BY Amendment Answer Cross Bill Other Plear PATENT OR TRADEMARK OR TRADEMARK HOLDER OF PATENT OR TRADEMARK	filed in the U.S. Distr	rict Court Eastern	District	of Texas, Marsh	advised that a court a	on the following	
2:16-cv-777 7/15/2016 Eastern District of Texas, Marshall Division PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A. PATENT OR TRADEMARK NO. 1 7,535,890 5/19/2009 UNILOC LUXEMBOURG, S.A. 2 8,995,433 3/31/2015 UNILOC LUXEMBOURG, S.A. 3 8,724,622 5/13/2014 UNILOC LUXEMBOURG, S.A. 4 8,243,723 8/14/2012 UNILOC LUXEMBOURG, S.A. 5 8,199,747 6/12/2012 UNILOC LUXEMBOURG, S.A. In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED PATENT OR TRADEMARK NO. DATE OF PATENT OR TRADEMARK 1 2 3 4				ETRICT COURT			
UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A. PATENT OR TRADEMARK 1 7,535,890 5/19/2009 UNILOC LUXEMBOURG, S.A. 2 8,995,433 3/31/2015 UNILOC LUXEMBOURG, S.A. 3 8,724,622 5/13/2014 UNILOC LUXEMBOURG, S.A. 4 8,243,723 8/14/2012 UNILOC LUXEMBOURG, S.A. 5 8,199,747 6/12/2012 UNILOC LUXEMBOURG, S.A. In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED DATE OF PATENT OR TRADEMARK 1 PATENT OR TRADEMARK 1 1 2 3 4		7/15/2016		Eastern Dis	trict of Texas, Ma	rshall Division	
TRADEMARK NO. OR TRADEMARK 1 7,535,890 5/19/2009 UNILOC LUXEMBOURG, S.A. 2 8,995,433 3/31/2015 UNILOC LUXEMBOURG, S.A. UNILOC LUXEMBOURG, S.A. 4 8,243,723 8/14/2012 UNILOC LUXEMBOURG, S.A. UNILOC LUXEMBOURG, S.A. In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED BY Amendment Answer Cross Bill Other Please PATENT OR TRADEMARK OR TRADEMARK 1 2 3 4	PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A.						
1 7,535,890 5/19/2009 UNILOC LUXEMBOURG, S.A. 2 8,995,433 3/31/2015 UNILOC LUXEMBOURG, S.A. 3 8,724,622 5/13/2014 UNILOC LUXEMBOURG, S.A. 4 8,243,723 8/14/2012 UNILOC LUXEMBOURG, S.A. 5 8,199,747 6/12/2012 UNILOC LUXEMBOURG, S.A. In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED BY				HOLDE	R OF PATENT OR TI	RADEMARK	
3 8,724,622 5/13/2014 UNILOC LUXEMBOURG, S.A. 4 8,243,723 8/14/2012 UNILOC LUXEMBOURG, S.A. 5 8,199,747 6/12/2012 UNILOC LUXEMBOURG, S.A. In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED BY Amendment Answer Cross Bill Other Plear PATENT OR TRADEMARK OR TRADEMARK 1		5/19/2009	UNII	NILOC LUXEMBOURG, S.A.			
8/14/2012 UNILOC LUXEMBOURG, S.A. 5 8,199,747 6/12/2012 UNILOC LUXEMBOURG, S.A. In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED BY Amendment Answer Cross Bill Other Plear PATENT OR TRADEMARK OR TRADEMARK 1 2 3 4	2 8,995,433	3/31/2015	UNII	NILOC LUXEMBOURG, S.A.			
In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED BY Amendment PATENT OR TRADEMARK NO. DATE OF PATENT OR TRADEMARK OR TRADEMARK 1 2 3 4	3 8,724,622	5/13/2014	UNII	LOC LUXEMBO	URG, S.A.		
In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED BY Amendment Answer Cross Bill Other Plear PATENT OR TRADEMARK NO. OR TRADEMARK HOLDER OF PATENT OR TRADEMARK 1 2 3 4	4 8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.				
PATENT OR TRADEMARK 1 2 3 4	5 8,199,747	6/12/2012	UNI	LOC LUXEMBO	URG, S.A.		
PATENT OR TRADEMARK NO. DATE OF PATENT OR TRADEMARK OR TRADEMARK HOLDER OF PATENT OR TRADEMARK 1 2 3 4		In the above—entitled case, the	following	g patent(s)/ trademar	k(s) have been include	ed:	
TRADEMARK NO. OR TRADEMARK 1 2 3 4	DATE INCLUDED		ndment	☐ Answer	☐ Cross Bill	☐ Other Pleading	
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In the above—entitled case, the following decision has been rendered or judgement issued:	In the abo	ove—entitled case, the following	decision l	nas been rendered or	judgement issued:		
DECISION/JUDGEMENT							

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

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DATE

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A PATENT OR TRADEMARK			
filed in the U.S. Distr	e with 35 U.S.C. § 290 and rict Court Ea	stern District	t of Texas, Mars	hall Division	on the following	
DOCKET NO. 2:16-cv-733	DATE FILED 7/5/2016	U.S. DI	STRICT COURT Eastern Di	istrict of Texas, Ma	arshall Division	
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG			DEFENDANT	NC. d/b/a TANGO		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	ER OF PATENT OR T	TRADEMARK	
1 7,535,890	5/19/2009	UNII	LOC LUXEMBO	URG, S.A.		
2 8,199,747	6/12/2012	UNI	LOC LUXEMBO	URG, S.A.		
3 8,243,723	8/14/2012	UNII	LOC LUXEMBO	URG, S.A.		
4 8, 724,622	5/13/2014	UNI	UNILOC LUXEMBOURG, S.A.			
5 8,995,433	3/31/2015	UNI	LOC LUXEMBO	OURG, S.A.		
DATE INCLUDED PATENT OR TRADEMARK NO. 1	In the above—entitled case INCLUDED BY DATE OF PATENT OR TRADEMARK	Amendment	☐ Answer	rk(s) have been includ Cross Bill ER OF PATENT OR	☐ Other Pleading	
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In the above	ve—entitled case, the follow	wing decision h	as been rendered or	r judgement issued:		
DECISION/JUDGEMENT						
CLERK		(BY) DEPUT	Y CLERK		DATE	

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Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A TRADEMAR	
filed in the U.S. Distr		District	1116 you are hereby advised that a court act of Texas, Marshall Division 35 U.S.C. § 292.):	on the following
DOCKET NO.	DATE FILED		TRICT COURT	
2:16-cv-731 PLAINTIFF	7/5/2016	<u> </u>	Eastern District of Texas, Marsh DEFENDANT	nall Division
UNILOC USA, INC., and UNILOC LUXEMBOURG			GREEN TOMATO LIMITED	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	DEMARK
1 7,535,890	5/19/2009	UNIL	OC LUXEMBOURG, S.A.	
2 8,199,747	6/12/2012	UNIL	OC LUXEMBOURG, S.A.	
3 8,243,723	8/14/2012	UNIL	OC LUXEMBOURG, S.A.	
4 8, 724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.		
5 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.		
	In the above—entitled case, the f	following	patent(s)/ trademark(s) have been included:	
DATE INCLUDED	INCLUDED BY	dment	☐ Answer ☐ Cross Bill [Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	DEMARK
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In the abov	re—entitled case, the following d	ecision ha	s been rendered or judgement issued:	
DECISION/JUDGEMENT				
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TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexandria, VA 22313-1450			TRADEMARK			
filed in the U.S. Dist	rict Court Ear	stern Distric	t of Texas, Mars	shall Division	on the following	
DOCKET NO. 2:16-cv-728	DATE FILED 7/5/2016		STRICT COURT	istrict of Texas, Mars	hall Division	
PLAINTIFF	173/2010	I	DEFENDANT	ioniocor roxac, mare		
UNILOC USA, INC., and UNILOC LUXEMBOURO			FACEBOOK,	INC.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	ER OF PATENT OR TRA	DEMARK	
1 7,535,890	5/19/2009	UNI	LOC LUXEMBO	URG, S.A.		
2 8,199,747	6/12/2012	UNI	LOC LUXEMBO	URG, S.A.		
3 8,243,723	8/14/2012	UNI	LOC LUXEMBO	URG, S.A.		
4 8, 724,622	5/13/2014	UNI	UNILOC LUXEMBOURG, S.A.			
5 8,995,433	3/31/2015	UNI	UNILOC LUXEMBOURG, S.A.			
DATE INCLUDED PATENT OR	DATE OF PATENT	Amendment	☐ Answer		☐ Other Pleading	
TRADEMARK NO.	OR TRADEMARK					
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In the above	ve—entitled case, the follow	ving decision h	as been rendered or	judgement issued:		
DECISION/JUDGEMENT						
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Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

	P.O. Box 1450 ndria, VA 22313-1450		ACTION REGARDING A PATENT OR TRADEMARK		
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division on the following ☐ Trademarks or					
DOCKET NO. 2:16-cv-644	DATE FILED 6/14/2016	U.S. DIS	STRICT COURT Eastern District of Texas, Marshall Division		
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG	I	•	DEFENDANT VOXERNET LLC		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1 8,724,622	5/13/2014	UNIL	OC LUXEMBOURG, S.A.		
2 8,995,433	3/31/2015	UNIL	OC LUXEMBOURG, S.A.		
3 7,535,890	5/19/2009	UNIL	OC LUXEMBOURG, S.A.		
4 8,199,747	6/12/2012	UNIL	OC LUXEMBOURG, S.A.		
5 8,243,723	8/14/2012	UNIL	OC LUXEMBOURG, S.A.		
	In the above—entitled case	, the following	patent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY	Amendment	☐ Answer ☐ Cross Bill ☐ Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
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In the abov	re—entitled case, the follow	ing decision ha	s been rendered or judgement issued:		
DECISION/JUDGEMENT					
CLERK		(BY) DEPUTY	CLERK DATE		

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

	P.O. Box 1450 ndria, VA 22313-1450	ACTION REGARDING A PATENT OR TRADEMARK
filed in the U.S. Dist		15 U.S.C. § 1116 you are hereby advised that a court action has been rn District of Texas, Marshall Division on the following ion involves 35 U.S.C. § 292.):
DOCKET NO.	DATE FILED	U.S. DISTRICT COURT
2:16-cv-643 PLAINTIFF	6/14/2016	Eastern District of Texas, Marshall Division DEFENDANT
UNILOC USA, INC., and UNILOC LUXEMBOURG		VIBER MEDIA S.A.R.L.,
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 8,724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.
2 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.
3 7,535,890	5/19/2009	UNILOC LUXEMBOURG, S.A.
4 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.
5 8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.
		following patent(s)/ trademark(s) have been included:
DATE INCLUDED	INCLUDED BY ☐ Amen	endment Answer Cross Bill Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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DECISION/JUDGEMENT		
CLERK	(BY) I	DATE DATE

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

	P.O. Box 1450 ndria, VA 22313-1450		ACTION REGARDING A PATENT OR TRADEMARK
filed in the U.S. Dist		District of	on the following 35 U.S.C. § 292.):
DOCKET NO.	DATE FILED	U.S. DIST	TRICT COURT
2:16-cv-642 PLAINTIFF	6/14/2016		Eastern District of Texas, Marshall Division DEFENDANT
UNILOC USA, INC., and UNILOC LUXEMBOUR(SAMSUNG ELECTRONICS AMERICA, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 8,724,622	5/31/2014	UNILC	OC LUXEMBOURG, S.A.
2 8,995,433	3/31/2015	UNILC	OC LUXEMBOURG, S.A.
3 8,243,723	8/14/2012	UNILO	OC LUXEMBOURG, S.A.
4 7,535,890	5/19/2009	UNILO	OC LUXEMBOURG, S.A.
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	In the above—entitled case, the f	ollowing p	atent(s)/ trademark(s) have been included:
DATE INCLUDED	INCLUDED BY	dment	☐ Answer ☐ Cross Bill ☐ Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
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In the abov	re—entitled case, the following de	ecision has	been rendered or judgement issued:
DECISION/JUDGEMENT			
CLERK	(BY) I	DEPUTY (CLERK DATE

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

	ndria, VA 22313-1450		TRADEMARK
filed in the U.S. Dist	trict Court Easte	ern District	of Texas, Marshall Division on the following
	Patents. (the patent ac	tion involves	35 U.S.C. § 292.):
DOCKET NO. 2:16-cv-638	DATE FILED 6/14/2016	U.S. DIS	TRICT COURT Eastern District of Texas, Marshall Division
PLAINTIFF	•		DEFENDANT
UNILOC USA, INC., and UNILOC LUXEMBOUR(APPLE INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 7,535,890	5/19/2009	UNIL	OC LUXEMBOURG, S.A.
2 8,995,433	3/31/2015	UNIL	OC LUXEMBOURG, S.A.
3 8,724,622	5/31/2014	UNIL	OC LUXEMBOURG, S.A.
4 8,243,723	8/14/2012	UNIL	OC LUXEMBOURG, S.A.
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DATE INCLUDED	In the above—entitled case, th	ne following	patent(s)/ trademark(s) have been included:
	☐ Am	nendment	☐ Answer ☐ Cross Bill ☐ Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
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In the above	ve—entitled case, the following	g decision has	s been rendered or judgement issued:
DECISION/JUDGEMENT			
CLERK	(BY	Y) DEPUTY	CLERK DATE

REPORT ON THE Mail Stop 8 TO: FILING OR DETERMINATION OF AN Director of the U.S. Patent and Trademark Office ACTION REGARDING A PATENT OR P.O. Box 1450 Alexandria, VA 22313-1450 **TRADEMARK** In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been Eastern District of Texas, Marshall Division on the following filed in the U.S. District Court ✓ Patents. (☐ the patent action involves 35 U.S.C. § 292.): ☐ Trademarks or DATE FILED U.S. DISTRICT COURT DOCKET NO. Eastern District of Texas, Marshall Division 7/5/2016 2:16-cv-722 DEFENDANT **PLAINTIFF** AOL INC. UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A. DATE OF PATENT PATENT OR HOLDER OF PATENT OR TRADEMARK TRADEMARK NO. OR TRADEMARK UNILOC LUXEMBOURG, S.A. 1 7,535,890 5/19/2009 UNILOC LUXEMBOURG, S.A. 6/12/2012 2 8,199,747 UNILOC LUXEMBOURG, S.A. 8/14/2012 3 8.243.723 5/13/2014 UNILOC LUXEMBOURG, S.A. 4 8, 724,622 UNILOC LUXEMBOURG, S.A. 3/31/2015 5 8,995,433 In the above—entitled case, the following patent(s)/ trademark(s) have been included: INCLUDED BY DATE INCLUDED ☐ Answer ☐ Cross Bill ☐ Other Pleading ☐ Amendment DATE OF PATENT PATENT OR HOLDER OF PATENT OR TRADEMARK OR TRADEMARK TRADEMARK NO. 3 In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT (BY) DEPUTY CLERK DATE CLERK

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexa	ndria, VA 22313-1450		Acm	TRADEM	ARK
filed in the U.S. Dist	ce with 35 U.S.C. § 290 and/ trict Court East Patents. (the patent	stern Distric	t of Texas, Mars	shall Division	action has been on the following
DOCKET NO.	DATE FILED		STRICT COURT		t all Division
2:16-cv-725 PLAINTIFF	7/5/2016		Eastern D DEFENDANT	istrict of Texas, Ma	arshall Division
UNILOC USA, INC., and UNILOC LUXEMBOUR			BEETALK PR	RIVATE LTD.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDI	ER OF PATENT OR T	RADEMARK
1 7,535,890	5/19/2009	UNI	LOC LUXEMBO	OURG, S.A.	
2 8,199,747	6/12/2012	UNI	LOC LUXEMBO	OURG, S.A.	
3 8,243,723	8/14/2012	UNI	LOC LUXEMBO	URG, S.A.	
4 8, 724,622	5/13/2014	UNI	LOC LUXEMBO	OURG, S.A.	
5 8,995,433	3/31/2015	UNI	LOC LUXEMBO	OURG, S.A.	
DATE INCLUDED	In the above—entitled case				
PATENT OR	DATE OF PATENT	Amendment	Answer	Cross Bill	Other Pleading
TRADEMARK NO.	OR TRADEMARK		HOLDI	ER OF PATENT OR T	TRADEMARK
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In the abo	ve—entitled case, the follow	ving decision h	as been rendered or	judgement issued:	
DECISION/JUDGEMENT					
CLERK	I	(BY) DEPUT	Y CLERK		DATE
CDERIN		(= 1, 22, 01			

AO 120 (Rev. 08/10) REPORT ON THE Mail Stop 8 FILING OR DETERMINATION OF AN TO: Director of the U.S. Patent and Trademark Office ACTION REGARDING A PATENT OR P.O. Box 1450 TRADEMARK Alexandria, VA 22313-1450 In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been Eastern District of Texas, Marshall Division on the following filed in the U.S. District Court \blacksquare Patents. ($\ \square$ the patent action involves 35 U.S.C. § 292.): ☐ Trademarks or U.S. DISTRICT COURT DATE FILED DOCKET NO. Eastern District of Texas, Marshall Division 2:16-cv-893 8/11/2016 DEFENDANT **PLAINTIFF VONAGE HOLDINGS CORP. &** UNILOC USA, INC., and **VONAGE AMERICAS, INC.** UNILOC LUXEMBOURG, S.A. DATE OF PATENT HOLDER OF PATENT OR TRADEMARK PATENT OR OR TRADEMARK TRADEMARK NO. UNILOC LUXEMBOURG, S.A. 5/31/2014 1 8,724,622 UNILOC LUXEMBOURG, S.A. 3/31/2015 2 8,995,433 UNILOC LUXEMBOURG, S.A. 8/14/2012 3 8,243,723 UNILOC LUXEMBOURG, S.A. 5/19/2009 4 7,535,890

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED

INCLUDED BY

DATE OF PATENT OR TRADEMARK	HOLDER OF PATE	NT OR TRADEMARK

In the above—entitled case, the following decision has been rendered or judgement issued:

ERK (BY) DEPUTY CLERK DATE	ECISION/JUDGEMENT		
ERK (BY) DEPUTY CLERK DATE			
	LERK	(BY) DEPUTY CLERK	DATE

Mail Stop 8 Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

	S. Patent and Trademark O P.O. Box 1450 ndria, VA 22313-1450	office	FILING OR DETERMIN ACTION REGARDING TRADEMA	A PATENT OR
filed in the U.S. Dist		n Distric	1116 you are hereby advised that a court act of Texas, Marshall Division s 35 U.S.C. § 292.):	on the following
DOCKET NO.	DATE FILED	U.S. DI	STRICT COURT Eastern District of Texas, Mars	shall Division
2:16-cv-892 PLAINTIFF	8/11/2016		DEFENDANT	That British
UNILOC USA, INC., and UNILOC LUXEMBOUR			TELEGRAM MESSENGER, LLP	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TR	ADEMARK
1 8,724,622	5/13/2014	UNI	LOC LUXEMBOURG, S.A.	
2 8,995,433	3/31/2015	UNI	LOC LUXEMBOURG, S.A.	
3 7,535,890	5/19/2009	UN	LOC LUXEMBOURG, S.A.	
4 8,199,747	6/12/2012	UN	LOC LUXEMBOURG, S.A.	
5 8,243,723	8/14/2012	UN	LOC LUXEMBOURG, S.A.	
DATE INCLUDED	INCLUDED BY	e followin	g patent(s)/ trademark(s) have been included Answer Cross Bill	l: Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TE	RADEMARK
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In the abo	ove—entitled case, the following	g decision	has been rendered or judgement issued:	
DECISION/JUDGEMENT				
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CLERK	(5)			

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

	P.O. Box 1450 ndria, VA 22313-1450	ACTION REGARDING A PATENT OR TRADEMARK
filed in the U.S. Dist		15 U.S.C. § 1116 you are hereby advised that a court action has been rn District of Texas, Marshall Division on the following ion involves 35 U.S.C. § 292.):
DOCKET NO. 2:16-cv-645	DATE FILED 6/14/2016	U.S. DISTRICT COURT Eastern District of Texas, Marshall Division
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG	I	DEFENDANT WHATSAPP, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 7,535,890	5/19/2009	UNILOC LUXEMBOURG, S.A.
2 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.
3 8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.
4 8, 724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.
5 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.
	In the above—entitled case, the	e following patent(s)/ trademark(s) have been included:
DATE INCLUDED	INCLUDED BY	endment Answer Cross Bill Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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	re—entitled case, the following d	decision has been rendered or judgement issued:
DECISION/JUDGEMENT		
CLERK	(BY)	DATE DATE

Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

	P.O. Box 1450 ndria, VA 22313-1450	, ince	ACTION REGARDING A PATENT OR TRADEMARK
filed in the U.S. Dist		n District	of Texas, Marshall Division on the following 35 U.S.C. § 292.):
DOCKET NO. 2:16-cv-641	DATE FILED 6/14/2016	U.S. DIS	TRICT COURT Eastern District of Texas, Marshall Division
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG		I	DEFENDANT LINE EURO-AMERICAS CORP. & LINE CORPORATION
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	•	HOLDER OF PATENT OR TRADEMARK
1 8,724,622	5/13/2014	UNIL	OC LUXEMBOURG, S.A.
2 8,995,433	3/31/2015	UNIL	OC LUXEMBOURG, S.A.
3 7,535,890	5/19/2009	UNIL	OC LUXEMBOURG, S.A.
4 8,199,747	6/12/2012	UNIL	OC LUXEMBOURG, S.A.
5 8,243,723	8/14/2012	UNIL	OC LUXEMBOURG, S.A.
	In the above—entitled case, the	following p	patent(s)/ trademark(s) have been included:
DATE INCLUDED	INCLUDED BY ☐ Ame	ndment	☐ Answer ☐ Cross Bill ☐ Other Pleading
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In the abov	re—entitled case, the following of	lecision has	been rendered or judgement issued:
DECISION/JUDGEMENT			
CLERK	(BY)	DEPUTY (CLERK DATE

Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

	P.O. Box 1450 ndria, VA 22313-1450		ACTION REGARDING A PATENT OR TRADEMARK		
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court ☐ Trademarks or ☐ Patents. ☐ the patent action involves 35 U.S.C. § 292.): ☐ Trademarks or ☐ Patents. ☐ the patent action involves 35 U.S.C. § 292.):					
DOCKET NO. 2:16-cv-639	DATE FILED 6/14/2016	U.S. DIS	STRICT COURT Eastern District of Texas, Marshall Division		
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG			DEFENDANT BLACKBERRY CORPORATION & BLACKBERRY LIMITED		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	•	HOLDER OF PATENT OR TRADEMARK		
1 8,724,622	5/13/2014	UNIL	OC LUXEMBOURG, S.A.		
2 8,995,433	3/31/2015	UNIL	OC LUXEMBOURG, S.A.		
3 7,535,890	5/19/2009	UNIL	OC LUXEMBOURG, S.A.		
4 8,199,747	6/12/2012	UNIL	OC LUXEMBOURG, S.A.		
5 8,243,723	8/14/2012	UNIL	OC LUXEMBOURG, S.A.		
	In the above—entitled case, the	following	patent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY	endment	☐ Answer ☐ Cross Bill ☐ Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
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DECISION/JUDGEMENT					
CLERK	(BY) DEPUTY	CLERK DATE		

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

	P.O. Box 1450 ndria, VA 22313-1450	ACTION REGARDING A PATENT OR TRADEMARK	
filed in the U.S. Dist		U.S.C. § 1116 you are hereby advised that a court action has been n District of Texas, Marshall Division on the following n involves 35 U.S.C. § 292.):	
DOCKET NO.	DATE FILED 9/6/2016	U.S. DISTRICT COURT	
2:16-cv-989 PLAINTIFF	9/6/2016	Eastern District of Texas, Marshall Division DEFENDANT	
UNILOC USA, INC., and UNILOC LUXEMBOURG		HTC AMERICA, INC.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1 7,535,890	5/19/2009	UNILOC LUXEMBOURG, S.A.	
2 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.	
3 8, 724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.	
4 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.	
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	In the above—entitled case, the fo	following patent(s)/ trademark(s) have been included:	
DATE INCLUDED	INCLUDED BY	dment Answer Cross Bill Other Pleading	
PATENT OR	DATE OF PATENT	HOLDER OF PATENT OR TRADEMARK	
TRADEMARK NO.	OR TRADEMARK		
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In the abov	re—entitled case, the following de	ecision has been rendered or judgement issued:	
DECISION/JUDGEMENT	_		
CLERK	(BY) I	DEPUTY CLERK DATE	

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A PATENT OR TRADEMARK	
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court □ Trademarks or □ Patents. (□ the patent action involves 35 U.S.C. § 292.): □ Trademarks or □ Patents. (□ the patent action involves 35 U.S.C. § 292.):				
DOCKET NO. 2:16-cv-990	DATE FILED 9/6/2016	U.S. DISTRICT COURT Eastern District of Texas, Marshall Division		
PLAINTIFF		DE	FENDANT	
UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A.			YOCERA AMERICA, INC. and § YOCERA COMMUNICATIONS, INC.,	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		
1 7,535,890	5/19/2009	UNILOC LUXEMBOURG, S.A.		
2 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.		
3 8, 724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.		
4 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.		
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	In the above—entitled case, the	following pat	ent(s)/ trademark(s) have been included:	
DATE INCLUDED BY Amendment Answer Cross Bill Other Pleading			☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
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In the above—entitled case, the following decision has been rendered or judgement issued:				
DECISION/JUDGEMENT				
CLERK (BY) DEF			ERK DATE	

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

	P.O. Box 1450 ndria, VA 22313-1450	ACTION REGARDING A PATENT OR TRADEMARK	
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division on the following Trademarks or Patents. (the patent action involves 35 U.S.C. § 292.):			
DOCKET NO.	DATE FILED	U.S. DISTRICT COURT	
2:16-cv-991 PLAINTIFF	9/6/2016	Eastern District of Texas, Marshall Division DEFENDANT	
UNILOC USA, INC., and UNILOC LUXEMBOURG		LG ELECTRONICS U.S.A., INC.,	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1 7,535,890	5/19/2009	UNILOC LUXEMBOURG, S.A.	
2 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.	
3 8, 724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.	
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	In the above—entitled case, the f	following patent(s)/ trademark(s) have been included:	
DATE INCLUDED	INCLUDED BY	ndment Answer Cross Bill Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
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DECISION/JUDGEMENT			
CLERK	(BY) I	DEPUTY CLERK DATE	

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

"FEE ADDRESS" INDICATION FORM

Address to: Mail Stop M Correspondence Commissioner for Patents - OR - P.O. Box 1450 Alexandria, VA 22313-1450	Fax to: 571-273-6500
INSTRUCTIONS: The issue fee must have been paid only an address represented by a Customer Number of fee purposes (hereafter, fee address). A fee address signaintenance fees should be mailed to a different address. When to check the first box below: If you have a Customerk the second box below: If you have no Customer Numbers are completed Request for Customer Numbers information on Customer Numbers, see the Manual Customer Numbers.	an be established as the fee address for maintenance should be established when correspondence related to ess than the correspondence address for the application stomer Number to represent the fee address. When omer Number representing the desired fee address, ber (PTO/SB/125) must be attached to this form. For
For the following listed application(s), please recognize a 1.363 the address associated with:	s the "Fee Address" under the provisions of 37 CFR
Customer Number: 96051	
OR	
The attached Request for Customer Number (PTC)/SB/125) form.
PATENT NUMBER (if known)	APPLICATION NUMBER
7,535,890	10/740,030
Completed by (check one):	
Applicant/Inventor	
	Signature
Attorney or Agent of record 51,513	Sean D. Burdick
(Reg. No.)	Typed or printed name
Assignee of record of the entire interest. See 37 CFF Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)	R 3.71. 972-905-9580 x227 Requester's telephone number
Assignee recorded at Reel Frame	September 15, 2016 Date
NOTE: Signatures of all the inventors or assignees of record of the entire interessignature is required, see below*.	
* Total offorms are submitted.	

This collection of information is required by 37 CFR 1.363. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND COMPLETE D FORMS TO THIS A DDRESS. SEND TO: Mail Stop M Correspondence, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/96 (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	STATEMENT	UNDER 37 CFR 3.7	<u>3(b)</u>
Applicant/Patent Owner: Unil	oc Luxembourg S.A.		
Application No./Patent No.: 7,	535,890	Filed/Issue [Date: May 19, 2009
Titled: SYSTEM AND ME	ETHOD FOR INSTANT	VOIP MESSAGING	Ĵ
Uniloc Luxembourg S.A.	, a	corporation	
(Name of Assignee)		(Type of Assignee, e.g., cor	poration, partnership, university, government agency, etc.
states that it is:			
1. the assignee of the e	entire right, title, and interest i	n;	
2. an assignee of less to (The extent (by percond)	than the entire right, title, and entage) of its ownership inter	interest in est is %); c	or
3. the assignee of an u	ndivided interest in the entire	ty of (a complete assign	ment from one of the joint inventors was made)
the patent application/patent ide	entified above, by virtue of eith	ner:	
A. An assignment from the United States Pacopy therefore is atta	atent and Trademark Office a	application/patent identii : Reel	fied above. The assignment was recorded in, Frame, or for which a
#	the inventor(s), of the patent a	application/patent identif	ied above, to the current assignee as follows:
1. From: Micha	iel J. ROJAS	To: _A	yalogic, Inc.
Reel <u>01</u>	ment was recorded in the Uni 4827 , Frame gic, Inc.	, 0059	or for which a copy thereof is attached.
	ment was recorded in the Uni		
			or for which a copy thereof is attached.
			Uniloc Luxembourg S.A.
The docur	ment was recorded in the Uni	ted States Patent and Ti	rademark Office at
Reel <u>03</u>	. Frame	0343	or for which a copy thereof is attached.
Additional documen	nts in the chain of title are liste	ed on a supplemental sh	eet(s).
	3.73(b)(1)(i), the documentary submitted for recordation pure		of title from the original owner to the assignee was,
			nt(s)) must be submitted to Assignment Division in USPTO. <u>See</u> MPEP 302.08]
The undersigned (whose title is	supplied below) is authorized	to act on behalf of the a	assignee.
Lockens Thomas II	Au.		September 15, 2016
∜ Signature [™]			Date
Sean D. Burdick			IP Counsel for Uniloc Luxembourg S.A.
Printed or Typed Name			Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner** for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Electronic Acknowledgement Receipt				
EFS ID:	26942493			
Application Number:	10740030			
International Application Number:				
Confirmation Number:	1731			
Title of Invention:	SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING			
First Named Inventor/Applicant Name:	Michael J. Rojas			
Customer Number:	67050			
Filer:	Sean Dylan Burdick/Kris Pangan			
Filer Authorized By:	Sean Dylan Burdick			
Attorney Docket Number:	EMP0021-US			
Receipt Date:	15-SEP-2016			
Filing Date:	18-DEC-2003			
Time Stamp:	18:48:50			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	no
File Listing:	

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			37608		
1	Power of Attorney	IT-192_Executed_POA.pdf	208cf9b8f86571561a5aeb145b2f29ef8b91 edec	no	1
Warnings:				•	

Information:					
			317687		
2	Change of Address	IT-192_Fee_Address_Indication _Form.pdf	ff1497553406466285f0680a188858ab5380 4385	no	1
Warnings:					
Information:					
			4209259		
3	Assignee showing of ownership per 37 CFR 3.73	IT-192_Statement_Under_37_C FR.pdf	d6adb4ec1c162c850ce2b6ee0a0dd3737e0 24c4d	no	1
Warnings:					
Information:					
		Total Files Size (in bytes)	45	64554	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/AIA/81A (02-15) Approved for use through 01/31/2018. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

PATENT - POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS

pond to a collection of infor	mation unless it displays a valid OMB control number
Patent Number	7,535,890
Issue Date	May 19, 2009
First Named Inventor	Michael J. ROJAS
Title	SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING
Attorney Docket No.	UN-NP-IT-192

CHANGE OF CORRESPONDENCE ADDR	Attorney Docket No.	UN-NP-IT-192		
I hereby revoke all previous powers of attorney given in the above-identified patent.				
A Power of Attorney is submitted herewith. OR I hereby appoint Practitioner(s) associated with the Customer Number identified in the box at right as my/our attorney(s) or agent(s) with respect to the patent identified above, and to transact all business in the United States Patent and Trademark Office connected therewith: OR I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) with respect to the patent identified above, and to transact all business in the United States Patent and Trademark Office connected therewith: Practitioner(s) Name Registration Number				
Please recognize or change the correspondence address for the above-identified patent to: X The address associated with the above-identified Customer Number. OR The address associated with the Customer Number identified in the box at right: OR Customer Number Customer				
Firm or Individual Name				
Address				
Country	State	Zip		
Telephone	Email			
I am the: Applicant. OR Patent owner. Statement under 37 CFR 3.73(c) (Form PIO/AHA/96) submitted herewith or filed on				
Signature	of Applicant or Patent Owner	Date		
Name Craig S.Etchegoyen		Telephone Telephone		
Title and Company CEO of Uniloc Luxembourg S.A.		·		
NOTE: Signatures of all the applicants or patent owners of the entire interest or their representative(s) are required. If more than one signature is required, submit multiple forms, check the box below, and identify the total number of forms submitted in the blank below. A total of forms are submitted.				

This collection of information is required by 37 CFR 1.31, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public, which is to update (and by the USPTO to process) the file of a patent or reexamination proceeding. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF A COMMUNICATION OF THE ADDRESS OF A COMMUNICATION OF PATENTS PARENTS PPLICATION NUMBER 10/740,030

FILING OR 371(C) DATE 12/18/2003

FIRST NAMED APPLICANT Michael J. Rojas

ATTY. DOCKET NO./TITLE UN-NP-IT-192

CONFIRMATION NO. 1731 POA ACCEPTANCE LETTER

96051 Uniloc USA Inc. Legacy Town Center 7160 Dallas Parkway Suite 380 Plano, TX 75024

Date Mailed: 09/21/2016

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/15/2016.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

> Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/rmturner myles/	



67050

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF A COMMUNICATION OF THE ADDRESS OF A COMMUNICATION OF PATENTS PARENTS PPLICATION NUMBER 10/740,030

KASHA LAW LLC

14532 Dufief Mill Road North Potomac, MD 20878 FILING OR 371(C) DATE 12/18/2003

FIRST NAMED APPLICANT Michael J. Rojas

ATTY. DOCKET NO./TITLE UN-NP-IT-192

CONFIRMATION NO. 1731 POWER OF ATTORNEY NOTICE

Date Mailed: 09/21/2016

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/15/2016.

• The Power of Attorney to you in this application has been revoked by the applicant. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

> Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/rmturner myles/

Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A TRADEMAI	
filed in the U.S. Distr		District	1116 you are hereby advised that a court act of Texas, Marshall Division s 35 U.S.C. § 292.):	tion has been on the following
DOCKET NO. 2:16-cv-893	DATE FILED 8/11/2016	U.S. DI	STRICT COURT Eastern District of Texas, Mars	hall Division
PLAINTIFF	G/11/2010	ı	DEFENDANT	
UNILOC USA, INC., and UNILOC LUXEMBOURC			VONAGE HOLDINGS CORP. & VONAGE AMERICAS, INC.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	ADEMARK
1 8,724,622	5/31/2014	UNII	LOC LUXEMBOURG, S.A.	
2 8,995,433	3/31/2015	UNII	LOC LUXEMBOURG, S.A.	
3 8,243,723	8/14/2012	UNII	LOC LUXEMBOURG, S.A.	
4 7,535,890	5/19/2009	UNII	LOC LUXEMBOURG, S.A.	
5				
		ollowing	patent(s)/ trademark(s) have been included:	
DATE INCLUDED	INCLUDED BY	dment	☐ Answer ☐ Cross Bill [Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	ADEMARK
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In the abov	e—entitled case, the following de	ecision ha	is been rendered or judgement issued:	
DECISION/JUDGEMENT				
Defendants Vonage Holdings Corp. and Von	age Americas, Inc. are disn	nissed v	vith prejudice	
CLERK	(RV) I)EDITTV	CLERK	DATE
David A. O")IA () I I	CLIMA	11/17/16

Case 2:16-cv-00994 Document 2 Filed 09/06/16 Page 1 of 1 PageID #: 134 AO 120 (Rev. 08/10) REPORT ON THE Mail Stop 8 FILING OR DETERMINATION OF AN TO: Director of the U.S. Patent and Trademark Office ACTION REGARDING A PATENT OR P.O. Box 1450 TRADEMARK Alexandria, VA 22313-1450 In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been Eastern District of Texas, Marshall Division on the following filed in the U.S. District Court ☑ Patents. (☐ the patent action involves 35 U.S.C. § 292.): ☐ Trademarks or U.S. DISTRICT COURT DATE FILED DOCKET NO. Eastern District of Texas, Marshall Division 2:16-cv-994 9/6/2016 DEFENDANT PLAINTIFF HUAWEI DEVICE USA, INC. and UNILOC USA, INC., and HUAWEI TECHNOLOGIES USA, INC., UNILOC LUXEMBOURG, S.A. DATE OF PATENT HOLDER OF PATENT OR TRADEMARK PATENT OR OR TRADEMARK TRADEMARK NO. UNILOC LUXEMBOURG, S.A. 5/19/2009 1 7,535,890 UNILOC LUXEMBOURG, S.A. 3/31/2015 2 8,995,433 UNILOC LUXEMBOURG, S.A. 5/13/2014 3 8, 724,622 UNILOC LUXEMBOURG, S.A. 6/12/2012 4 8,199,747 5 In the above—entitled case, the following patent(s)/ trademark(s) have been included: INCLUDED BY DATE INCLUDED ☐ Other Pleading ☐ Cross Bill ☐ Amendment ☐ Answer DATE OF PATENT HOLDER OF PATENT OR TRADEMARK PATENT OR OR TRADEMARK TRADEMARK NO. 5 In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

(BY) DEPUTY CLERK

CLERK

DATE

AO 120 (Rev. 08/10) REPORT ON THE Mail Stop 8 FILING OR DETERMINATION OF AN TO: Director of the U.S. Patent and Trademark Office ACTION REGARDING A PATENT OR P.O. Box 1450

Alexandria, VA 22313-1450		TRADEMARK	
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Trademarks or Patents. (the patent action involves 35 U.S.C. § 292.):			
DOCKET NO.	DATE FILED 9/6/2016	U.S. DISTRICT COURT Eastern District of Texas, Marshall Division	
2:16-cv-993 PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOUR	d	DEFENDANT ZTE (USA), INC. and ZTE (TX), INC.,	
I ONIEGO EGALIMEGO.			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1 7,535,890	5/19/2009	UNILOC LUXEMBOURG, S.A.	
2 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.	
3 8, 724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.	
4 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.	
5			
DATE INCLUDED	In the above—entitled case, the INCLUDED BY	following patent(s)/ trademark(s) have been included: ndment	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1			
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In the abo	ove—entitled case, the following	decision has been rendered or judgement issued:	
DECISION/JUDGEMENT	or only are ready		
CLERK (BY) DEPUTY CLERK DATE			

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A TRADEMAR		
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Trademarks or Patents. (the patent action involves 35 U.S.C. § 292.):					
DOCKET NO.	DATE FILED		STRICT COURT		
2:16-cv-992	9/6/2016	0.5. Di	Eastern District of Texas, Marsh	nall Division	
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG			MOTOROLA MOBILITY LLC		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRAI	DEMARK	
1 7,535,890	5/19/2009	UNII	LOC LUXEMBOURG, S.A.		
2 8,199,747	6/12/2012	UNII	OC LUXEMBOURG, S.A.		
3 8, 724,622	5/13/2014	UNII	OC LUXEMBOURG, S.A.		
4 8,995,433	3/31/2015	UNI	OC LUXEMBOURG, S.A.		
5					
DATE INCLUDED	INCLUDED BY	***	patent(s)/ trademark(s) have been included:	7. Other Next	
PATENT OR	DATE OF PATENT	Amendment	Answer Cross Bill	Other Pleading	
TRADEMARK NO.	OR TRADEMARK		HOLDER OF PATENT OR TRA	DEMAKK	
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In the abov	ve—entitled case, the follow	ving decision h	as been rendered or judgement issued:		
DECISION/JUDGEMENT					
CLERK		(BY) DEPUTY	CLERK	DATE	
CLEAK		~ -y = 2. 5 * ·			

Mail Stop 8 TO: Director of the U.S. Potent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A TRADEMAR	A PATENT OR	
filed in the U.S. Distr		astern Distric	1116 you are hereby advised that a court act tof Texas, Marshall Division s 35 U.S.C. § 292.):	on the following	
DOCKET NO. 2:16-cv-733	DATE FILED 7/5/2016	ATE FILED U.S. DISTRICT COURT Eastern District of Texas, Marshall Division			
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG			DEFENDANT TANGOME, INC. d/b/a TANGO		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	DEMARK	
1 7,535,890	5/19/2009	UNIL	LOC LUXEMBOURG, S.A.		
2 8,199,747	6/12/2012	UNIL	LOC LUXEMBOURG, S.A.		
3 8,243,723	8/14/2012	UNIL	LOC LUXEMBOURG, S.A.		
4 8, 724,622	5/13/2014	UNIL	LOC LUXEMBOURG, S.A.		
5 8,995,433	3/31/2015	UNIL	LOC LUXEMBOURG, S.A.		
DATE INCLUDED	INCLUDED BY		patent(s)/ trademark(s) have been included:		
PATENT OR	☐ Amendment DATE OF PATENT		☐ Answer ☐ Cross Bill ☐ HOLDER OF PATENT OR TRA	Other Pleading DEMARK	
TRADEMARK NO.	OR TRADEMARK				
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In the above—entitled case, the following decision has been rendered or judgement issued:					
DECISION/JUDGEMENT					
ORDER OF DISMISSAL WITH PREJUDICE OF ALL CLAIMS BY UNILOC AGAINST TANGOME, INC. d/b/a TANGO					
CLERK	<u> </u>	(BY) DEPUTY	CLERK	DATE	
les in order		Nakish	a Love	1/11/17	

Mail Stop 8 TO: Director of the U.S. Potent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

	P.O. Box 1450 ndria, VA 22313-1450	ACTION REGARDING TRADEMA	G A PATENT OR		
filed in the U.S. Dist		n Distric	1116 you are hereby advised that a court tof Texas, Marshall Division s 35 U.S.C. § 292.):	action has been on the following	
DOCKET NO. 2:16-cv-643	DATE FILED 6/14/2016	U.S. DI	STRICT COURT Eastern District of Texas, Ma	rshall Division	
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG	<u>.</u>		DEFENDANT VIBER MEDIA S.A.R.L.,		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR T	RADEMARK	
1 8,724,622	5/13/2014	UNII	LOC LUXEMBOURG, S.A.		
2 8,995,433	3/31/2015	UNII	LOC LUXEMBOURG, S.A.		
3 7,535,890	5/19/2009 UNIL		LOC LUXEMBOURG, S.A.		
4 8,199,747	6/12/2012	UNII	LOC LUXEMBOURG, S.A.		
5 8,243,723	8/14/2012 UNI		NILOC LUXEMBOURG, S.A.		
	In the above—entitled case, the f	following	patent(s)/ trademark(s) have been include	d:	
DATE INCLUDED	INCLUDED BY	ndment	☐ Answer ☐ Cross Bill	☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
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In the abov	ve—entitled case, the following d	ecision ha	as been rendered or judgement issued:		
DECISION/JUDGEMENT Having considered the S Procedure 41.	Stipulation, the Court finds t	hat the	case should be DISMISSED under	r Federal Rule of Civil	
CLERK	(BY)	DEPUTY		DATE	
David A. O' Poole M. Martin 1/19/17					

Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A TRADEMAR	
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division on the following Trademarks or Patents. (the patent action involves 35 U.S.C. § 292.):				
DOCKET NO. 2:16-cv-640	DATE FILED 6/14/2016	U.S. DI	STRICT COURT Eastern District of Texas, Marsh	all Division
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG	I		DEFENDANT KAKAO CORPORATION	an Division
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRAI	DEMARK
1 7,535,890	5/19/2009	UNII	LOC LUXEMBOURG, S.A.	
2 8,199,747	6/12/2012	UNII	LOC LUXEMBOURG, S.A.	
3 8, 724,622	5/13/2014	UNII	LOC LUXEMBOURG, S.A.	
4 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.		
5 8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.		
DATE INCLUDED	INCLUDED BY	following ndment	patent(s)/ trademark(s) have been included:	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		DEMARK
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In the above	re—entitled case, the following d	ecision ha	s been rendered or judgement issued:	
DECISION/JUDGEMENT Kakao Corp. ("Kakao") s PREJUDICE	should be DISMISSED WIT	Ή		
CLERK Daniel A. O'	Toole (BY)	DEPUTY	CLERK	ОАТЕ 3/17/17

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A PATENT OR TRADEMARK		
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas on the following Trademarks or Patents. (the patent action involves 35 U.S.C. § 292.):					
DOCKET NO.	DATE FILED	U.S. DIS	TRICT COURT		
2:17-cv-0214-JRG PLAINTIFF	3/20/2017	<u></u>	Eastern District of Texas DEFENDANT		
Uniloc USA, Inc. and Ur	niloc Luxembourg S.A.		Google, Inc.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1 8,724,622	5/13/2014	Unilo	c Luxembourg S.A.		
2 8,995,433	5/31/2015	Unilo	c Luxembourg S.A.		
3 7,535,890	5/19/2009	Unilo	c Luxembourg S.A.		
4 8,199,747	6/12/2012	Uniloc Luxembourg S.A.			
5					
	In the above—entitled case, the f	following	patent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY		Answer Cross Bill Other Pleading		
PATENT OR	DATE OF PATENT	T	HOLDER OF PATENT OR TRADEMARK		
TRADEMARK NO. 1	OR TRADEMARK				
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In the abov	ve-entitled case, the following de	ecision ha	s been rendered or judgement issued:		
DECISION/JUDGEMENT					
CLERK	(BY) I	DEPUTY	CLERK DATE		

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A PATENT OR TRADEMARK		
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas on the following ☐ Trademarks or ☐ Patents. ☐ the patent action involves 35 U.S.C. § 292.):					
DOCKET NO. 2:17-cv-0224-JRG	DOCKET NO. DATE FILED U.S. DISTRICT COURT 2:17-cv-0224-JRG 3/22/2017 Eastern District of Texas				
PLAINTIFF			DEFENDANT		
Uniloc USA, Inc. and Un	iloc Luxembourg S.A.		Google, Inc.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1 8,724,622	5/13/2014	Unilo	c Luxembourg S.A.		
2 8,995,433	5/31/2015	Unilo	c Luxembourg S.A.		
3 7,535,890	5/19/2009	Unilo	c Luxembourg S.A.		
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***************************************		ollowing	patent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY	dment	Answer Cross Bill Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
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In the abov	e—entitled case, the following de	ecision ha	s been rendered or judgement issued:		
DECISION/JUDGEMENT					
CLERK	(BY) I	DEPUTY	CLERK DATE		

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A PATENT OR TRADEMARK		
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division on the following Trademarks or Patents. (the patent action involves 35 U.S.C. § 292.):					
DOCKET NO. 2:16-cv-893	DATE FILED 8/11/2016	U.S. DI	STRICT COURT Eastern District of Texas, Marshall Division		
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A.			VONAGE HOLDINGS CORP. & VONAGE AMERICAS, INC.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1 8,724,622	5/31/2014	UNIL	LOC LUXEMBOURG, S.A.		
2 8,995,433	3/31/2015	UNIL	LOC LUXEMBOURG, S.A.		
3 8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.			
4 7,535,890	5/19/2009	UNILOC LUXEMBOURG, S.A.			
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	In the above—entitled case, the	following	patent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY	ndment	☐ Answer ☐ Cross Bill ☐ Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
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In the above—entitled case, the following decision has been rendered or judgement issued:					
DECISION/JUDGEMENT					
CLERK	(BY)	DEPUTY	CLERK DATE		

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A PATENT OR TRADEMARK	
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division on the following Trademarks or Patents. (the patent action involves 35 U.S.C. § 292.):				
DOCKET NO. 2:16-cv-992	DATE FILED 9/6/2016 U.S. DISTRICT COURT Eastern District of Texas, Marshall Division			
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A.			DEFENDANT MOTOROLA MOBILITY LLC	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
1 7,535,890	5/19/2009	UNIL	LOC LUXEMBOURG, S.A.	
2 8,199,747	6/12/2012	UNIL	LOC LUXEMBOURG, S.A.	
3 8, 724,622	5/13/2014	UNIL	LOC LUXEMBOURG, S.A.	
4 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.		
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	In the above—entitled case, the	following	patent(s)/ trademark(s) have been included:	
DATE INCLUDED	INCLUDED BY	ndment	☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		
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In the above—entitled case, the following decision has been rendered or judgement issued:				
DECISION/JUDGEMENT				
CLERK	(BY)	DEPUTY	CLERK DATE	

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

	P.O. Box 1450 ndria, VA 22313-1450		RDING A PATENT OR DEMARK		
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court ☐ Trademarks or Patents. ☐ the patent action involves 35 U.S.C. § 292.): ☐ Trademarks or Image: Patents of Texas, Marshall Division on the following the patent action involves 35 U.S.C. § 292.):					
DOCKET NO.					
2:16-cv-993 PLAINTIFF	9/6/2016	Eastern District of Texa	as, Marshall Division		
UNILOC USA, INC., and UNILOC LUXEMBOUR(ZTE (USA), INC. and ZTE	E (TX), INC.,		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATEN	Г OR TRADEMARK		
1 7,535,890	5/19/2009	JNILOC LUXEMBOURG, S.A.			
2 8,199,747	6/12/2012	JNILOC LUXEMBOURG, S.A.			
3 8, 724,622	5/13/2014	JNILOC LUXEMBOURG, S.A.			
4 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.			
5					
	In the above—entitled case, the f	wing patent(s)/ trademark(s) have been	included:		
DATE INCLUDED	INCLUDED BY	nt □ Answer □ Cross B	ill Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
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	re—entitled case, the following de	on has been rendered or judgement issu	ied:		
DECISION/JUDGEMENT					
CLERK	(BY) I	UTY CLERK	DATE		

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

	P.O. Box 1450 ndria, VA 22313-1450	ACTION REGARDS TRADE	ING A PATENT OR EMARK		
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division on the following Trademarks or Patents. (the patent action involves 35 U.S.C. § 292.):					
DOCKET NO. DATE FILED U.S. DISTRICT COURT Eastern District of Texas, Marshall Division					
PLAINTIFF	0,0,2010	DEFENDANT	TWATCHAIN DIVIDION		
UNILOC USA, INC., and UNILOC LUXEMBOURG		HUAWEI DEVICE USA, INC. HUAWEI TECHNOLOGIES (
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT O	R TRADEMARK		
1 7,535,890	5/19/2009	UNILOC LUXEMBOURG, S.A.			
2 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.			
3 8, 724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.			
4 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.			
5					
		wing patent(s)/ trademark(s) have been inc	luded:		
DATE INCLUDED	INCLUDED BY	ent	☐ Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT O			
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In the above—entitled case, the following decision has been rendered or judgement issued:					
DECISION/JUDGEMENT					
CLERK	(BY)	PUTY CLERK	DATE		

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A PATENT OR TRADEMARK	
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Trademarks or Patents. Trademarks or Patents. (the patent action involves 35 U.S.C. § 292.):				
DOCKET NO. DATE FILED U.S. DISTRICT COURT 2:16-cv-696 6/30/2016 Eastern District of Texas				
PLAINTIFF UNILOC USA, INC. and UNILOC LUXEMBOURG, S.A.			DEFENDANT SNAPCHAT, INC.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
1 8,724,622	5/13/2014	Unilo	oc Luxembourg S.A.	
2 8,995,433	5/31/2015	Unilo	oc Luxembourg S.A.	
3 7,535,890	5/19/2009	Unilo	oc Luxembourg S.A.	
4 8,199,747	6/12/2012	Uniloc Luxembourg S.A.		
5				
	In the above—entitled case, the	following	patent(s)/ trademark(s) have been included:	
DATE INCLUDED	INCLUDED BY	ıdment	Answer Cross Bill Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		
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In the abov	e—entitled case, the following d	ecision ha	s been rendered or judgement issued:	
DECISION/JUDGEMENT				
CLERK	(BY)	DEPUTY	CLERK DATE	

Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A PATENT OR TRADEMARK		
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas					
DOCKET NO. 2:16-cv-694	DATE FILED 6/30/2016	FILED U.S. DISTRICT COURT 6/30/2016 Eastern District of Texas			
PLAINTIFF			DEFENDANT		
UNILOC USA, INC. and UNILOC LUXEMBOURG	∋, S.A.		TENCENT AMERICA LLC and TENCENT HOLDINGS LIMITED		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1 8,724,622	5/13/2014	Unilo	c Luxembourg S.A.		
2 8,995,433	5/31/2015	Unilo	c Luxembourg S.A.		
3 7,535,890	5/19/2009	Uniloc Luxembourg S.A.			
4 8,199,747	6/12/2012	Uniloc Luxembourg S.A.			
5		***************************************			
		following _l	patent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY	idment	Answer Cross Bill Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
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	e—entitled case, the following d	ecision ha	s been rendered or judgement issued:		
DECISION/JUDGEMENT					
CLERK	(BY)	DEPUTY	CLERK DATE		

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REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450		ACTION REGARDING A PATENT OR TRADEMARK			
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division on the following ☐ Trademarks or Patents. (☐ the patent action involves 35 U.S.C. § 292.):					
DOCKET NO.	DATE FILED	U.S. DISTRICT COURT			
2:16-cv-731 PLAINTIFF	7/5/2016	Eastern District of Texas, Marshall Division DEFENDANT			
UNILOC USA, INC., and UNILOC LUXEMBOUR(GREEN TOMATO LIMITED			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
1 7,535,890	5/19/2009	UNILOC LUXEMBOURG, S.A.			
2 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.			
3 8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.			
4 8, 724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.			
5 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.			
	In the above—entitled case, the f	following patent(s)/ trademark(s) have been included:			
DATE INCLUDED	INCLUDED BY	ndment Answer Cross Bill Other Pleading			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
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In the abov	re—entitled case, the following de	decision has been rendered or judgement issued:			
DECISION/JUDGEMENT					
CLERK	(BY)	DEPUTY CLERK DATE			

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexandria, VA 22313-1450			TRADEMARK		
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division on the following					
☐ Trademarks or					
DOCKET NO. 2:16-cv-732	DATE FILED 7/5/2016	U.S. DI	STRICT COURT Eastern District of Texas, Marshall Division		
PLAINTIFF			DEFENDANT		
UNILOC USA, INC., and UNILOC LUXEMBOURG			SONY INTERACTIVE ENTERTAINMENT LLC		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1 7,535,890	5/19/2009	UNII	LOC LUXEMBOURG, S.A.		
2 8,243,723	8/14/2012	UNIL	LOC LUXEMBOURG, S.A.		
3 8,995,433	3/31/2015	UNIL	LOC LUXEMBOURG, S.A.		
4 8, 724,622	5/13/2014	UNII	LOC LUXEMBOURG, S.A.		
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	In the above—entitled case, the	he following	patent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY				
PATENT OR	DATE OF PATENT	nendment	Answer Cross Bill Other Pleading		
TRADEMARK NO.	OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
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In the above	re entitled case the following	a decision ha	s been rendered or judgement issued:		
DECISION/JUDGEMENT	e—entified case, the following	g decision in	is been rendered of judgement issued.		
CLERK	(B	Y) DEPUTY	CLERK DATE		

Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

	P.O. Box 1450 ndria, VA 22313-1450	ACTION REGARDING A PATENT OR TRADEMARK				
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division on the following ☐ Trademarks or Patents. (☐ the patent action involves 35 U.S.C. § 292.):						
DOCKET NO. 2:16-cv-728	DOCKET NO. DATE FILED U.S. DISTRICT COURT 2:16-cv-728 7/5/2016 Eastern District of Texas, Marshall Division					
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A. DEFENDANT FACEBOOK, INC.						
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK				
1 7,535,890	5/19/2009	UNILOC LUXEMBOURG, S.A.				
2 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.				
3 8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.				
4 8, 724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.				
5 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.				
	In the above—entitled case, the	e following patent(s)/ trademark(s) have been included:				
DATE INCLUDED	INCLUDED BY	endment				
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK				
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In the abov	re—entitled case, the following d	decision has been rendered or judgement issued:				
DECISION/JUDGEMENT						
CLERK	(BY)	DATE DATE				

TO:

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P.O. Box 1450 Alexandria, VA 22313-1450		ACTION REGARDING A PATENT OR TRADEMARK				
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Trademarks or Patents. (the patent action involves 35 U.S.C. § 292.):						
DOCKET NO. 2:16-cv-722	DATE FILED 7/5/2016	U.S. DISTRICT COURT Eastern District of Texas, Marshall Division				
PLAINTIFF	1/3/2010	DEFENDANT				
UNILOC USA, INC., and UNILOC LUXEMBOURO		AOL INC.				
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK				
1 7,535,890	5/19/2009	UNILOC LUXEMBOURG, S.A.				
2 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.				
3 8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.				
4 8, 724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.				
5 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.				
	In the above—entitled case, the f	following patent(s)/ trademark(s) have been included:				
DATE INCLUDED	INCLUDED BY	ndment Answer Cross Bill Other Pleading				
PATENT OR	DATE OF PATENT	HOLDER OF PATENT OR TRADEMARK				
TRADEMARK NO.	OR TRADEMARK					
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In the above—entitled case, the following decision has been rendered or judgement issued:						
DECISION/JUDGEMENT						
CLERK	(BY) I	DEPUTY CLERK DATE				

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450		ACTION REGARDING A PATENT OR TRADEMARK			
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division on the following ☐ Trademarks or Patents. (☐ the patent action involves 35 U.S.C. § 292.):					
DOCKET NO. 2:16-cv-733	DATE FILED 7/5/2016	U.S. DIS	TRICT COURT Eastern District of Texas, Marshall Division		
PLAINTIFF	173/2010	I	DEFENDANT		
UNILOC USA, INC., and UNILOC LUXEMBOURC			TANGOME, INC. d/b/a TANGO		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1 7,535,890	5/19/2009	UNIL	OC LUXEMBOURG, S.A.		
2 8,199,747	6/12/2012	UNIL	OC LUXEMBOURG, S.A.		
3 8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.			
4 8, 724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.			
5 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.			
	In the above—entitled case, the f	following p	patent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY	dment	☐ Answer ☐ Cross Bill ☐ Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
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In the above—entitled case, the following decision has been rendered or judgement issued:					
DECISION/JUDGEMENT					
CLERK	(BY) I	DEPUTY (CLERK DATE		

Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

P.O. Box 1450 Alexandria, VA 22313-1450		ACTION REGARDING A PATENT OR TRADEMARK			
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court ☐ Trademarks or ☐ Patents. ☐ the patent action involves 35 U.S.C. § 292.): ☐ the patent action involves 35 U.S.C. § 292.): ☐ Trademarks or					
DOCKET NO. 2:16-cv-644	DATE FILED 6/14/2016	U.S. DI	STRICT COURT Eastern District of Texas, Mars	hall Division	
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG		•	DEFENDANT VOXERNET LLC		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	ADEMARK	
1 8,724,622	5/13/2014	UNII	LOC LUXEMBOURG, S.A.		
2 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.			
3 7,535,890	5/19/2009	UNII	LOC LUXEMBOURG, S.A.		
4 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.			
5 8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.			
DATE INCLUDED	INCLUDED BY		patent(s)/ trademark(s) have been included:	Other Blanding	
PATENT OR	DATE OF PATENT	dment	Answer Cross Bill C	Other Pleading	
TRADEMARK NO.	OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
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In the abov	e—entitled case, the following d	ecision ha	us been rendered or judgement issued:		
DECISION/JUDGEMENT Any and all claims	by Uniloc against Voxer	net are	dismissed with prejudice.		
CLERK	(BY)	DEPUTY	CLERK	DATE	
David A. O'	looken	Nakisł	na Love	12/28/16	

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

P.O. Box 1450 Alexandria, VA 22313-1450		ACTION REGARDING A PATENT OR TRADEMARK			
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division on the following ☐ Trademarks or Patents. (☐ the patent action involves 35 U.S.C. § 292.):					
DOCKET NO. 2:16-cv-892	DOCKET NO. DATE FILED U.S. DISTRICT COURT 2:16-cv-892 8/11/2016 Eastern District of Texas, Marshall Division				
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG		DEFENDANT TELEGRAM MESSENGER, LLP			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
1 8,724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.			
2 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.			
3 7,535,890	5/19/2009	UNILOC LUXEMBOURG, S.A.			
4 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.			
5 8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.			
	In the above—entitled case, the	e following patent(s)/ trademark(s) have been included:			
DATE INCLUDED	INCLUDED BY ☐ Amer	endment			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
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In the above—entitled case, the following decision has been rendered or judgement issued:					
DECISION/JUDGEMENT					
CLERK	(BY)	DATE DATE			

O: Director of the U.:	Mail Stop 8 Director of the U.S. Patent and Trademark Offi P.O. Box 1450 Alexandria, VA 22313-1450			REPORT O G OR DETERM ON REGARDIN TRADEM	INATION OF AN G A PATENT OR
filed in the U.S. Distr	e with 35 U.S.C. § 290 and/or 1 rict Court Easter Patents. (the patent action	n District	of Texas, Marsh	y advised that a cour nall Division	t action has been on the following
	DATE FILED		TRICT COURT		
OCKET NO. 2:16-cv-1313	11/28/2016		Eastern Dis	strict of Texas, M	arshall Division
AINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG	I G, S.A.		DEFENDANT HEYWIRE, INC.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	R OF PATENT OR	TRADEMARK
1 7,535,890	5/19/2009	UNIL	OC LUXEMBO	JRG, S.A.	
2 8,199,747	6/12/2012	UNIL	OC LUXEMBO	URG, S.A.	
3 8, 724,622	5/13/2014	UNIL	UNILOC LUXEMBOURG, S.A.		
4 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.			
5					
	In the above—entitled case, the	e following	patent(s)/ trademar	k(s) have been inclu	ded:
DATE INCLUDED	INCLUDED BY	endment	☐ Answer	☐ Cross Bill	☐ Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	ER OF PATENT OR	TRADEMARK
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	ove—entitled case, the following	decision h	as been rendered or	judgement issued:	
DECISION/JUDGEMENT					
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Mail Stop 8 Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK		
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas on the following Trademarks or Patents. (the patent action involves 35 U.S.C. § 292.):					
DOCKET NO.	DATE FILED		STRICT COURT		
2:16-cv-696 PLAINTIFF	6/30/2016			strict of Texas	
UNILOC USA, INC. and UNILOC LUXEMBOUR			SNAPCHAT, INC.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATE	ENT OR TRADEMARK	
1 8,724,622	5/13/2014	Unilo	oc Luxembourg S.A.		
2 8,995,433	5/31/2015	Unik	oc Luxembourg S.A.		
3 7,535,890	5/19/2009	Unilo	oc Luxembourg S.A.		
4 8,199,747	6/12/2012	Unilo	oc Luxembourg S.A.		
5					
	In the above—entitled case,	the following	patent(s)/ trademark(s) have be	en included:	
DATE INCLUDED	INCLUDED BY	Amendment	☐ Answer ☐ Cros	Bill Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATE	ONT OR TRADEMARK	
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In the abo	ve—entitled case, the follow	ing decision ha	s been rendered or judgement i	ssued:	
DECISION/JUDGEMENT					
CLERK		BY) DEPUTY	CLERK	DATE	

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexar	ndria, VA 22313-1450		TRAD	DEMARK
filed in the U.S. Dist		tern Distric	1116 you are hereby advised that a of Texas, Marshall Division s 35 U.S.C. § 292.):	court action has been on the following
DOCKET NO. 2:16-cv-642	DATE FILED 6/14/2016	U.S. DI	STRICT COURT Eastern District of Texa	s, Marshall Division
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG			DEFENDANT SAMSUNG ELECTRONIC	S AMERICA, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT	OR TRADEMARK
1 8,724,622	5/31/2014	UNII	OC LUXEMBOURG, S.A.	
2 8,995,433	3/31/2015	UNII	OC LUXEMBOURG, S.A.	
3 8,243,723	8/14/2012	UNII	OC LUXEMBOURG, S.A.	
4 7,535,890	5/19/2009	UNII	OC LUXEMBOURG, S.A.	
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DATE INCLUDED	INCLUDED BY		patent(s)/ trademark(s) have been in	
PATENT OR	DATE OF PATENT	mendment	Answer Cross Bi	ll Other Pleading
TRADEMARK NO.	OR TRADEMARK		HOLDER OF PATENT	OR TRADEMARK
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	e—entitled case, the following	ng decision ha	s been rendered or judgement issue	d:
DECISION/JUDGEMENT				
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AO 120 (Rev. 08/10) REPORT ON THE Mail Stop 8 FILING OR DETERMINATION OF AN TO: Director of the U.S. Patent and Trademark Office ACTION REGARDING A PATENT OR P.O. Box 1450 TRADEMARK Alexandria, VA 22313-1450 In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been Eastern District of Texas, Marshall Division on the following filed in the U.S. District Court ✓ Patents. (☐ the patent action involves 35 U.S.C. § 292.): ☐ Trademarks or U.S. DISTRICT COURT DATE FILED DOCKET NO. Eastern District of Texas, Marshall Division 6/14/2016 2:16-cv-645 DEFENDANT PLAINTIFF WHATSAPP, INC. UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A. DATE OF PATENT PATENT OR HOLDER OF PATENT OR TRADEMARK OR TRADEMARK TRADEMARK NO. UNILOC LUXEMBOURG, S.A. 5/19/2009 1 7,535,890 UNILOC LUXEMBOURG, S.A. 6/12/2012 2 8,199,747 8/14/2012 UNILOC LUXEMBOURG, S.A. 3 8,243,723 5/13/2014 UNILOC LUXEMBOURG, S.A. 4 8, 724,622 UNILOC LUXEMBOURG, S.A. 3/31/2015 5 8,995,433 In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED BY ☐ Other Pleading ☐ Cross Bill ☐ Amendment ☐ Answer PATENT OR DATE OF PATENT HOLDER OF PATENT OR TRADEMARK TRADEMARK NO. OR TRADEMARK 3 4 In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT DATE CLERK (BY) DEPUTY CLERK

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2 8,995,433	3/31/2015	UNI	LOC LUXEMBOURG, S.A.	
3 7,535,890	5/19/2009	UNI	LOC LUXEMBOURG, S.A.	
4 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.		
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PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG			DEFENDANT VIBER MEDIA S.	A.R.L.,		
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3 7,535,890	5/19/2009	UNII	LOC LUXEMBOURG, S.A.		
4 8,199,747	6/12/2012	UNII	LOC LUXEMBOURG, S.A.		
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PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG	o, S.A.		DEFENDANT BLACKBERRY CORPORATION & BLACKBERRY LIMITED		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1 8,724,622	5/13/2014	UNI	IILOC LUXEMBOURG, S.A.		
2 8,995,433	3/31/2015	UNI	IILOC LUXEMBOURG, S.A.		
3 7,535,890	5/19/2009	UNI	IILOC LUXEMBOURG, S.A.		
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PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG			DEFENDANT APPLE INC.	
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2 8,995,433	3/31/2015	UNII	OC LUXEMBOURG, S.A.	
3 8,724,622	5/31/2014	UNII	LOC LUXEMBOURG, S.A.	1
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PLAINTIFF			DEFENDANT	
Uniloc USA, Inc. and Ur	niloc Luxembourg S.A.		Google, Inc.	
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1 8,724,622	5/13/2014	Unilo	c Luxembourg S.A.	
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Paper No. 9 Filed: May 25, 2017

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

APPLE INC., Petitioner,

٧.

UNILOC USA, INC. and UNILOC LUXEMBOURG S.A.,¹ Patent Owner.

Case IPR2017-00220 Patent 7,535,890 B2

Before MIRIAM L. QUINN, KERRY BEGLEY, and CHARLES J. BOUDREAU, *Administrative Patent Judges*.

BEGLEY, Administrative Patent Judge.

DECISION
Denying Institution of *Inter Partes* Review
37 C.F.R. § 42.108

¹ Patent Owner's Mandatory Notice, filed pursuant to 37 C.F.R. § 42.8, and Preliminary Response identify Uniloc USA, Inc. and Uniloc Luxembourg S.A. as patent owners. Paper 4; Paper 6 ("Prelim. Resp.") at caption. Therefore, we adjust the case caption to include Uniloc USA, Inc.

Apple Inc. ("Petitioner") filed a Petition requesting *inter partes* review of claims 1–6, 14, 15, 17–20, 28, 29, 31–34, 40–43, 51–54, 62–65, and 68 ("challenged claims") of U.S. Patent No. 7,535,890 B2 (Ex. 1001, "the '890 patent"). Paper 2 ("Pet."). Uniloc USA, Inc. and Uniloc Luxembourg S.A. (collectively, "Patent Owner") filed a Preliminary Response. Prelim. Resp.

Pursuant to 35 U.S.C. § 314(a), an *inter partes* review may not be instituted unless "the information presented in the petition . . . and any response . . . shows that there is a reasonable likelihood that the petitioner would prevail with respect to at least 1 of the claims challenged in the petition." Having considered the Petition and the Preliminary Response, we determine that the information presented does not show that there is a reasonable likelihood that Petitioner would prevail in establishing the unpatentability of any of the challenged claims of the '890 patent. Accordingly, we deny institution of an *inter partes* review.

I. BACKGROUND

A. RELATED MATTERS

Petitioner represents that the '890 patent is the subject of numerous ongoing actions before the U.S. District Court for the Eastern District of Texas, including an action filed against Petitioner (Case No. 2-16-cv-00638). Pet. 72–74; see Paper 4, 2. Before the Office, the '890 patent also is the subject of IPR2017-00221, which Petitioner filed concurrently with the instant proceeding. See Pet. 2–3; Prelim. Resp. 1 & n.1.

B. The '890 Patent

The '890 patent explains that "[v]oice messaging" and "instant text messaging" in both the Voice over Internet Protocol ("VoIP") and public

IPR2017-00220 Patent 7,535,890 B2

switched telephone network environments are known. Ex. 1001, 2:11–35. In prior art instant text messaging systems, a server presents a user of a client terminal with a "list of persons who are currently 'online' and ready to receive text messages," the user "select[s] one or more" recipients and types the message, and the server immediately sends the message to the respective client terminals. *Id.* at 2:23–35. According to the '890 patent, however, "there is still a need in the art for . . . a system and method for providing instant VoIP messaging over an IP network," such as the Internet. *Id.* at 1:6–11, 2:36–48, 6:37–39.

In one embodiment, the '890 patent discloses local instant voice messaging ("IVM") system 200, depicted in Figure 2 below. *Id.* at 6:12–14.

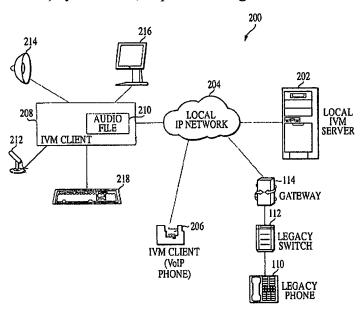


FIG. 2

As illustrated in Figure 2, local packet-switched IP network 204, which may be a local area network ("LAN"), "interconnects" IVM clients 206, 208 and legacy telephone 110 to local IVM server 202. *Id.* at 6:40–61; *see id.*

at 7:13–14, 7:51–55. Local IVM server 202 enables instant voice messaging functionality over network 204. *Id.* at 7:53–55.

In "record mode," IVM client 208, exemplified as a VoIP softphone in Figure 2, "displays a list of one or more IVM recipients," provided and stored by local IVM server 202, and the user selects recipients from the list. *Id.* at 7:47–49, 7:55–61. IVM client 208 then transmits the selections to IVM server 202 and "records the user's speech into . . . digitized audio file 210 (i.e., an instant voice message)." *Id.* at 7:61–8:1.

When the recording is complete, IVM client 208 transmits audio file 210 to local IVM server 202, which delivers the message to the selected recipients via local IP network 204. *Id.* at 8:5–19. "[O]nly the available IVM recipients, currently connected to . . . IVM server 202, will receive the instant voice message." *Id.* at 8:23–25. IVM server 202 "temporarily saves the instant voice message" for any IVM client that is "not currently connected to . . . local IVM server 202 (i.e., is unavailable)" and "delivers it . . . when the IVM client connects to . . . local IVM server 202 (i.e., is available)." *Id.* at 8:24–29; *see id.* at 9:7–11. Upon receiving the instant voice message, the recipients can audibly play the message. *Id.* at 8:19–22.

In another embodiment, the '890 patent discusses global IVM system 500. *Id.* at 15:24–28, Fig. 5. Global IVM system 500 includes a local IVM system, such as local IVM system 200, and global IVM server system 502, with global IVM clients 506, 508. *Id.* at 15:25–33. Both the local and global IVM systems are connected to "packet-switched network 102 (i.e., Internet)" to enable the local and global IVM clients to be able to exchange instant voice messages with one another. *Id.* at 15:25–38.

C. ILLUSTRATIVE CLAIM

Of the challenged claims, claims 1, 14, 28, 40, 51, and 62 of the '890 patent are independent. Claim 1, reproduced below, is illustrative of the recited subject matter:

- 1. An instant voice messaging system for delivering instant messages over a packet-switched network, the system comprising:
 - a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the network; and
 - a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients enabled to audibly play the instant voice message, and the server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

Id. at 23:55–24:3.

D. EVIDENCE OF RECORD

The Petition relies upon the following asserted prior art references:

- U.S. Patent Application Publication No. 2002/0146097 A1 (published Oct. 10, 2002) (Ex. 1005, "Vuori");
- International Application Publication No. WO 02/17658 A1 (published Feb. 28, 2002) (Ex. 1008, "Väänänen");
- U.S. Patent Application Publication No. 2003/0046273 A1 (published Mar. 6, 2003) (Ex. 1009, "Deshpande");
- U.S. Patent Application Publication No. 2004/0068545 A1 (filed Dec. 19, 2002) (published Apr. 8, 2004) (Ex. 1010, "Daniell"); and
- U.S. Patent Application Publication No. 2003/0147512 A1 (published Aug. 7, 2003) (Ex. 1015, "Abburi").

IPR2017-00220 Patent 7,535,890 B2

In addition, Petitioner supports its contentions with the Declaration of Leonard J. Forys, Ph.D. (Ex. 1003).

E. ASSERTED GROUNDS OF UNPATENTABILITY

Petitioner asserts the following grounds of unpatentability under 35 U.S.C. § 103.² Pet. 2–3.

Challenged Claim(s)	Basis	References
1–3, 5, 14, 15, 17, 19, 28, 29,	§ 103	Vuori and Väänänen
31, 33, 40, 42, 51, 53, 62, 64		
4, 18, 32, 41, 52, 63	§ 103	Vuori, Väänänen, and
		Deshpande
6, 20, 34, 43, 54, 65	§ 103	Vuori, Väänänen, and Abburi
68	§ 103	Vuori, Väänänen, Abburi,
		and Daniell

II. ANALYSIS

A. LEVEL OF ORDINARY SKILL

Petitioner argues and Dr. Forys opines that a person of ordinary skill in the art would have had "the equivalent of a Bachelor degree in Electrical Engineering, Computer Science, or an equivalent field as well as at least 3–5 years of academic or industry experience in communications systems," particularly messaging systems and data networks, including VoIP and mobile telephony, "or comparable industry experience." Pet. 9; Ex. 1003 ¶ 30. Patent Owner does not respond to Petitioner's proffered level of ordinary skill in the art or offer a proposal of its own.

Based on Dr. Forys's testimony as well as our review of the '890 patent and the asserted prior art, including the problems and solutions

² The Leahy-Smith America Invents Act ("AIA"), Pub. L. No. 112–29, (2011), revised 35 U.S.C. § 103, effective March 16, 2013. Because the application resulting in the '890 patent was filed before the effective date of the relevant section of the AIA, we refer to the pre-AIA version of § 103.

described therein, we are persuaded, on this record, that Petitioner's proposal is consistent with the level of ordinary skill reflected by the prior art of record. *See In re GPAC Inc.*, 57 F.3d 1573, 1579 (Fed. Cir. 1995). For purposes of this decision, we adopt Petitioner's proposed level of skill.

B. CLAIM CONSTRUCTION

The Board interprets claim terms of an unexpired patent using the "broadest reasonable construction in light of the specification of the patent." 37 C.F.R. § 42.100(b); *Cuozzo Speed Techs., LLC v. Lee*, 136 S. Ct. 2131, 2144–46 (2016). Under this standard, we presume a claim term carries its "ordinary and customary meaning," which is the meaning the term would have to a person of ordinary skill in the art at the time of the invention. *In re Translogic Tech., Inc.*, 504 F.3d 1249, 1257 (Fed. Cir. 2007).

Petitioner proposes a construction of "external network," as recited in challenged claims 14, 17, 28, 31, 51, and 62 of the '890 patent. Pet. 9–12. Patent Owner responds that no construction of this claim term is necessary. Prelim. Resp. 18–19. Patent Owner does not proffer any terms for construction. Based on our review of the record before us and the dispositive issues in our determination of whether to institute *inter partes* review, we determine that no claim terms require an express construction to resolve the issues presented by the patentability challenges. *See Vivid Techs., Inc. v. Am. Sci. & Eng'g, Inc.*, 200 F.3d 795, 803 (Fed. Cir. 1999) (holding that only claim terms that "are in controversy").

C. ALLEGED OBVIOUSNESS OVER VUORI AND VÄÄNÄNEN

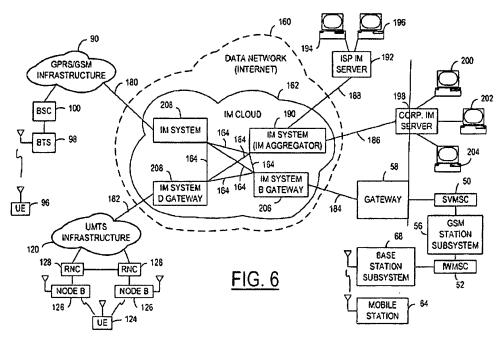
Petitioner argues Vuori and Väänänen render obvious claims 1–3, 5, 14, 15, 17, 19, 28, 29, 31, 33, 40, 42, 51, 53, 62, and 64. Pet. 20–61. Patent Owner disputes Petitioner's obviousness assertions. Prelim. Resp. 22–35.

1. Overview of Vuori

Vuori discloses a method for sending voice-type short messages using a short voice message ("SVM") service. Ex. 1005, [57], ¶ 31. In Vuori, short voice messages "are sent as [Short Message Service ("SMS")] messages, SMS-like messages, or as instant messages." *Id.* ¶ 31.

In one embodiment, a user initiates a short voice message by pressing a menu key on a user equipment, which prepares to receive the message and may emit a sound to alert the user to commence speaking. *Id.* ¶ 32, Figs. 1–2. The user equipment then receives and stores the short voice message. *Id.* Next, the user "select[s] one or more intended recipients" and initiates the transfer. *Id.* ¶ 33. The short voice message is then sent to an SVM service center ("SVMSC"), which "check[s]" and "determines the availability of the one or more intended recipients." *Id.* ¶¶ 34, 50; *see id.* ¶ 37. The SVMSC sends the short voice message "immediately to the intended recipients who are available." *Id.* ¶ 34; *see id.* ¶ 50. For recipients who are not available, however, the SVMSC "temporarily stor[es]" the message and "continue[s] attempting to send [the message] . . . until the[recipients] become available or until a time out occurs." *Id.* ¶¶ 34, 50. Upon delivery of the short voice message, the recipient may play back the message. *Id.* ¶ 35, Figs. 1–2.

Vuori discloses an embodiment of its short voice message service method using an open instant messaging architecture proposed by AOL. *See id.* ¶¶ 40–41. Figure 6, depicting this embodiment, is reproduced below. *Id.*



As shown in Figure 6, the instant messaging architecture proposed by AOL is included in public instant messaging ("IM") cloud 162 within data network 160, such as the Internet. *Id.* ¶ 41; *see id.* ¶¶ 39–40. According to the AOL proposal, "companies, IM services, or [Internet service providers (ISPs)] can run their own IM system by either being right in the cloud or connecting to the cloud through an IM aggregator." *Id.* ¶ 41. IM systems within IM cloud 162 communicate with one another using an open IMX protocol, depicted in Figure 6 by open IMX protocol lines 164, and communicate outside the cloud using "proprietary or vendor-specific client protocols 180, 182, 184, 186, 188." *Id.*

Consistent with the AOL proposal, IM aggregator 190, as illustrated in Figure 6, is connected to ISP IM server 192 via line 188, and to corporate IM server 198 via line 186. *Id.* ISP IM server 192 "can provide instant message services to IM clients 194, 196 which can be independent of each other." *Id.* Corporate IM server 198, in turn, "provide[s] IM services to its

own clients 200, 202, 204, within and without the enterprise." *Id.* In addition, according to Vuori's disclosed invention, "short voice messages can be provided from, to, or between the clients 194, 196, 200, 202, 204 via the data network 160[,] which may include the IM cloud 162." *Id.* ¶ 42.

Moreover, the network architecture depicted in Figure 6 includes a Global System for Mobile communications ("GSM") network, featuring gateway 58, SVMSC 50, and mobile station 64. *Id.* ¶¶ 5, 37–38, Figs. 3, 6. The architecture further includes General Packet Radio Service ("GPRS") infrastructure 90 with user equipment 96 as well as Universal Mobile Telecommunications System ("UMTS") infrastructure 120 with user equipment 124. *Id.* ¶¶ 39–41, Figs. 4–5. IM systems 206, 208, 210 in IM cloud 162 "interconnect[]" wireless user equipment 96, 124 and mobile station 64 to IM cloud 162 to "interchang[e] short voice messages" between wireless and land-based systems. *Id.*

2. Overview of Väänänen

Väänänen discloses a "server centric method" for instant voicemail messaging. Ex. 1008, [57], 1:13–16. In one disclosed method of sending voice messages, the user first chooses one or several message recipients at the subscriber terminal (phase 110). *Id.* at 6:5–11, Fig. 1. Then, the subscriber terminal may establish a packet-switched connection to the server, which may be implemented using, for example, LAN, TCP/IP, or GPRS (phase 120). *Id.* at 6:17–23. Next, the recipient contact information, such as IP address, is transferred to the server (phase 130). *Id.* at 6:25–32; *see id.* at 2:31–32. The voice message is then recorded and written to a data file (phase 140). *Id.* at 7:10–13; *see id.* at 3:3–5. After the message is completed (phase 150), the server relays the message to the recipient(s)

IPR2017-00220 Patent 7,535,890 B2

(phase 160) and the recipient(s) receive the message (phase 170). *Id.* at 7:16–26, 8:9–10. "If the recipient is unavailable, the message may be stored on the server for some time, and attempts to deliver the message may be taken at timely intervals." *Id.* at 8:17–18.

Väänänen also discloses a "more scalable messaging method," which utilizes a Store and Forward Server Network ("SFSN"). *Id.* at 5:17–18, 9:1–10, Fig. 2. "The SFSN is typically a network of servers linked together through the Internet . . . or some other communications or signal[]ing network." *Id.* at 9:14–18. The communications connections within the SFSN as well as between the terminals and the servers are typically compliant with, for example, GPRS, LAN, or TCP/IP. *Id.* at 16:19–23. "[T]he servers in the SFSN typically deliver a copy of the message to a server near a recipient, and this server attempts to relay the message to the . . . recipient" over, for example, a packet-switched connection (phase 270). *Id.* at 9:20–25. Undelivered messages are stored on the SFSN (phase 280) and resent (phase 290). *Id.* at 9:25–29, Fig. 2.

3. Discussion

a. Legal Standards

A patent claim is unpatentable as obvious under 35 U.S.C. § 103(a) if "the differences between" the claimed subject matter "and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains." 35 U.S.C. § 103(a). An invention "composed of several elements is not proved obvious merely by demonstrating that each of its elements was, independently, known in the prior art." KSR Int'l Co. v. Teleflex Inc., 550 U.S. 398, 418 (2007).

Rather, to establish obviousness in an *inter partes* review, it is petitioner's "burden to demonstrate both that a skilled artisan would have been motivated to combine the teachings of the prior art references to achieve the claimed invention, and that the skilled artisan would have had a reasonable expectation of success in doing so." *In re Magnum Oil Tools Int'l, Ltd.*, 829 F.3d 1364, 1381 (Fed. Cir. 2016) (internal citation and quotation omitted); *see KSR*, 550 U.S. at 418. Moreover, a petitioner cannot satisfy this burden by "employ[ing] mere conclusory statements" and "must instead articulate specific reasoning, based on evidence of record" to support an obviousness determination. *Magnum Oil*, 829 F.3d at 1380. Stated differently, there must be "articulated reasoning with some rational underpinning to support the legal conclusion of obviousness." *KSR*, 550 U.S. at 418 (quoting *In re Kahn*, 441 F.3d 977, 988 (Fed. Cir. 2006)).

The "factual inquiry" into the reasons for "combin[ing] references must be thorough and searching, and the need for specificity pervades"

In re Nuvasive, Inc., 842 F.3d 1376, 1381–82 (Fed. Cir. 2016) (internal quotations and citations omitted). A determination of obviousness cannot be reached where the record lacks "explanation as to how or why the references would be combined to produce the claimed invention." TriVascular, Inc. v. Samuels, 812 F.3d 1056, 1066 (Fed. Cir. 2016); see Personal Web Techs., LLC v. Apple, Inc., 848 F.3d 987, 994 (Fed. Cir. 2017) (holding an obviousness determination improper where there was a lack of explanation and cited evidence to show "how the combination of the two references" would work, i.e., a "clear, evidence-supported account of the contemplated workings of the combination") (emphasis added); Nuvasive, 842 F.3d at 1382–85 (holding that an obviousness determination cannot be reached

IPR2017-00220 Patent 7,535,890 B2

where there is no "articulat[ion of] a *reason why* a [person having ordinary skill in the art] would combine" and modify the prior art references).

b. Independent Claims 1, 14, 28, 40, 51, and 62

Challenged independent claims 1, 14, 28, 40, 51, and 62 of the '890 patent each recite a "server" with several requirements. In particular, claim 1 recites a "server" that is "connected to" "a packet-switched network," "receiv[es] the selected recipients and the instant voice message therefor," "deliver[s] the instant voice message to the selected recipients over the network," "temporarily stor[es] the instant voice message if a selected recipient is unavailable and deliver[s] the stored instant voice message to the selected recipient once the selected recipient becomes available." Ex. 1001, 23:55–24:3. The method recited in claim 40 likewise includes a "server" with requirements nearly identical to claim 1 other than omitting claim 1's requirement that the "server" be "connected to the network." Id. at 23:62, 28:21-40. In addition, claims 14 and 51 recite a "server" with substantially similar requirements to claim 1, but both claims specify that the network to which the server is connected and over which it delivers messages is an "external network," and claim 51 specifies that the server is an "external server." Id. at 25:21–40, 30:8–30. Moreover, claims 28 and 62 each recite a "local server" with requirements substantially similar to claim 1 except that the local server must be connected to and deliver messages over a "local network." *Id.* at 27:6–38, 32:6–31.

According to Petitioner, Vuori discloses that its SVMSC 50 performs several of the functions that independent claims 1, 14, 28, 40, 51, and 62 require of the recited "server," "local server," and "external server." Pet. 23, 30–32, 35–36. Specifically, Petitioner and Dr. Forys represent: "Vuori

describes the server functionalities (*e.g.*, checking recipient availability, temporarily storing SVMs, delivering SVMs to recipients) with respect to a ... SVMSC." *Id.* at 32 (citing Ex. 1005 ¶¶ 50–51); Ex. 1003 ¶ 138 (citing Ex. 1005 ¶¶ 50–51); *see* Pet. 23; Ex. 1003 ¶ 116. In addition, for the limitations of claim 1, and corresponding limitations of claims 14, 28, 40, 51, and 62, requiring that the "server" "receiv[es] the selected recipients and the instant voice message therefor" and "temporarily stor[es] the instant voice message if a selected recipient is unavailable and deliver[s] the stored instant voice message to the selected recipient once the selected recipient becomes available," the disclosures of Vuori to which Petitioner cites relate specifically to SVMSC 50. *E.g.*, Pet. 30–32 (limitation 1.2b) (referring to Vuori's SVMSC and citing Ex. 1005 ¶¶ 13, 50); *id.* at 35–37 (limitation 1.2e) (referring to Vuori's SVMSC and citing Ex. 1005 ¶¶ 8, 34, 50–51).

However, in Vuori and specifically in the architecture of Figure 6—on which Petitioner's unpatentability arguments for the "server" limitations rely—there is only one SVMSC 50. Ex. 1005, Fig. 6; *see id.* at Figs. 3, 11, 12; Pet. 30–35, 42, 49. Petitioner concedes this point, stating, "F[igure] 6 of Vuori only labels one IM server as a SVMSC. (FIG. 6, block 50.) Vuori does not explicitly disclose or label other IM servers (such as IM system 208) as," or "to function as[,] additional SVMSCs." Pet. 23–24, 32–33; Ex. 1003 ¶¶ 116, 138; *see* Pet. at 24–25, 34 ("architecture of F[igure] 6 in Vuori, with one SVMSC 50"); Prelim. Resp. 31–32. Petitioner does not argue or demonstrate sufficiently that this SVMSC 50 satisfies all of the requirements of the "server" of claims 1, 14, and 40, "external server" of claim 51, and "local server" of claims 28 and 62, including, for example, being connected to and delivering an instant voice message over a

packet-switched network, local network, or external network.³ Instead, Petitioner's unpatentability arguments rely on Vuori's IM system 208 as the "server" of claims 1, 14, and 40 and the "external server" of claim 51; corporate IM server 198 as the "local server" of claims 28 and 62 and as an alternative for the "server" of claims 1 and 40; and ISP IM server 192⁴ as another alternative for the "server" of claims 1 and 40. Pet. 23–25, 31–36, 38–39, 42–44, 49, 52–53, 55–60. Petitioner's arguments can be divided into two categories.

³ E.g., Pet. 23–25, 31–35 (limitation 1.2c) (arguing that Vuori's IM system 208, modified to "function as another SVMSC," satisfies "the server . . . delivering the instant voice message" limitation); id. at 32 n.12, 38–39 (limitation 1.2c, claim 2) (arguing that Vuori's corporate IM server 198, altered to "function as a SVMSC," meets the recited "server"); id. at 39 (claim 3) (referring to Vuori's system 208 and ISP IM server 192); id. at 42– 44 (annotated Figure 6 of Vuori for claim 14 and analysis of limitations 14.2a, 14.2c) (relying on IM System 208, modified to "function as a SVMSC," as the alleged "server"); id. at 49, 52–53 (annotated Figure 6 of Vuori for claim 28 and analysis of limitations 28.3a-c) (arguing that corporate IM server 198, "function[ing] as a SVMSC," meets the recited "local server"); id. at 55–60 (asserting claims 40, 51, and 62 would have been obvious for the "same reasons" as claims 1, 14, and 28, respectively). ⁴ The Petition refers to Vuori's ISP IM server 192 only once in its analysis of independent claims 1 and 40, yet appears to rely on this server as an alternative for satisfying the "server" of claim 3, which depends from claim 1. Pet. 30, 39, 55-56. Because the Petition does not address ISP IM server 192 in its analysis of several requirements for the "server" of claims 1 and 40, Petitioner has not alleged adequately that server 192 constitutes the "server" of claims 1 and 40, as well as their challenged dependent claims. Thus, we deny Petitioner's assertions regarding ISP IM server 192 as the "server" of these claims for non-compliance with the requirements of 37 C.F.R. §§ 42.22(a)(2) and 42.104(b)(4)–(5). Nonetheless, in our analysis, we also discuss the merits of Petitioner's assertions as to ISP IM server 192.

First, Petitioner proffers arguments that Vuori's disclosures would have conveyed to a person of ordinary skill in the art that IM system 208 and corporate IM server 198 already function as SVMSCs and perform the functions that Vuori discloses SVMSC 50 performs, including delivering SVMs to available recipients and temporarily storing SVMs for unavailable recipients—as the challenged independent claims require of their respective "server." Specifically, Petitioner asserts that "to the extent [Patent Owner] may argue that Vuori does not explicitly state that IM system 208 is a SVMSC," a person of ordinary skill would have understood that IM system 208 "would" and "could" "function as a SVMSC (e.g., checking recipient availability, delivering SVMs)" because Vuori discloses that "IM system 208 provides functionalities 'for the purpose of interconnecting various wireless user equipments 96, 124' and 'for purposes of interchanging short voice messages between wireless systems." Id. at 24, 33 (quoting Ex. 1005 ¶ 42, citing Ex. 1003 ¶¶ 117, 139) (emphases omitted). As support, Dr. Forys opines that a person of ordinary skill would have understood that IM system 208 "could function as a SVMSC" and that IM system 208 "provides short voice message services (e.g., detecting recipient availability, delivering the messages) to clients." Ex. 1003 ¶¶ 117, 138 n.6, 139 (citing Ex. 1005 ¶ 42).

Similarly, as to corporate IM server 198, Petitioner contends and Dr. Forys testifies that although Patent Owner may argue that Vuori "does not explicitly state that Corporate IM Server 198 functions as a SVMSC (i.e., storing-or-forwarding message based on recipient availability, etc.)," corporate IM server 198 "could be an IM server functioning as another SVMSC because Vuori discloses that Corporate IM Server 198 provides

SVM," or "short voice message," "services for its IM clients." Pet. 38 (citing Ex. 1005 ¶¶ 41–42, Ex. 1003 ¶ 153) (emphasis omitted); Ex. 1003 ¶ 153; see Pet. 32 n.12. Petitioner also more directly argues that "Corporate IM Server 198 functions as a SVMSC (e.g., check recipient availability, store or deliver SVMs based on recipient availability)." Pet. 52 (citing Ex. 1003 ¶ 196, Petition's analysis of claim 2).

We disagree with Petitioner's arguments that Vuori's disclosures would have conveyed to a person of ordinary skill that IM system 208 and corporate IM server 198 function as SVMSCs. To start, as Patent Owner points out, these arguments contradict Petitioner's own concessions, noted above, that Vuori only "labels one" SVMSC and does not "explicitly disclose or label" any other IM servers as SVMSCs. *Id.* at 23–25, 32–34; Ex. 1003 ¶¶ 116, 138; *see* Prelim. Resp. 31–32. Vuori's disclosures are consistent with and support Petitioner's concessions in this regard, as SVMSC 50 is the only SVMSC that Vuori includes in the architecture of Figure 6, all other figures of Vuori that feature a SVMSC depict a single SVMSC, and Vuori does not refer to either IM system 208 or corporate IM server 198 as a SVMSC. See Ex. 1005 ¶¶ 41–42, Figs. 3, 6, 11, 12; see also id. at Figs. 4, 5 (depicting SVM service 110 or 146). We agree with Patent Owner that nothing in Vuori suggests that the disclosed features of SVMSC 50 "can be imputed to other components that Vuori distinctly names and separately describes," such as IM system 208 and corporate IM server 192, or that these components "are somehow interchangeable." Prelim. Resp. 31–32 & n.16.

Specifically with respect to IM system 208, the relevant passage of cited paragraph 42 of Vuori states:

other IM systems 206, 208, 210 can be provided for the purpose of interconnecting various wireless user equipments 96, 124 and other mobile stations such as the mobile station 72 to the IM cloud 162 for purposes of interchanging short voice messages between wireless systems or between various systems including SVM exchanges between wireless and land based systems.

Ex. 1005 ¶ 42. We are not persuaded that such general language explaining that IM system 208's purpose is to "interconnect[]" clients to IM cloud 162 to allow for the "interchang[e]" of short voice messages between wireless and land-based systems would have conveyed to one of ordinary skill that IM system 208 functions as a SVMSC or performs the specific functionality SVMSC 50 is disclosed to perform, including delivering short voice messages to available recipients and temporarily storing short voice messages for unavailable recipients. Id. ¶¶ 34–35, 42, 50–51. Indeed, the relevant passage refers not only to IM system 208 but also to IM system 206, which Vuori depicts in Figure 6 as interfacing with gateway 58 and SVMSC 50 in a GSM subnetwork—undermining Petitioner's position that this disclosure somehow means that IM system 208 functions as a SVMSC. See id. ¶ 42, Fig. 6. The conclusory testimony of Dr. Forys in this regard lacks adequate explanation and factual support, in Vuori or elsewhere in the record, to convince us otherwise. See Ex. 1003 ¶¶ 117, 138 n.6, 139 (citing Ex. 1005 ¶ 42); 37 C.F.R. § 42.65(a); In re Am. Acad. of Sci. Tech Ctr., 367 F.3d 1359, 1368 (Fed. Cir. 2004) (explaining that "the Board has broad discretion" to weigh declarations and "conclude that the lack of factual corroboration warrants discounting the opinions expressed"); Ashland Oil, Inc. v. Delta Resins & Refractories, Inc., 776 F.2d 281, 294 (Fed. Cir. 1985)

IPR2017-00220 Patent 7,535,890 B2

("Lack of factual support for expert opinion going to factual determinations . . . may render the testimony of little probative value").

Turning to corporate IM server 198, cited paragraphs 41 and 42 of Vuori explain that "corporate IM server 198 can . . . provid[e] IM services to its own clients 200, 202, 204, within and without the enterprise," and additionally, according to the disclosed invention, "short voice messages can be provided from, to, or between the clients 194, 196, 200, 202, 204 via the data network 160 which may include the IM cloud 162." Ex. 1005 ¶¶ 41–42 (emphasis added). We are not persuaded that such generic statements regarding corporate IM server 198 itself "providing IM" (instant messaging)—not SVM (short voice message)—services would have conveyed to a person of ordinary skill that corporate IM server 198, as disclosed in Vuori, acts as a SVMSC or performs the particular functions regarding storage and delivery of short voice messages based on recipient availability that SVMSC 50 is disclosed to perform. *Id.* ¶¶ 41–42; see id. ¶¶ 35 ("conventional . . . instant messaging (IM) context"), 41 ("instant messaging (IM)"); infra pp. 25–27. Dr. Forys's supporting testimony, stating that a person of ordinary skill "would have recognized that Corporate IM server 198 could be an IM Server functioning as another SVMSC," is conclusory and lacks sufficient explanation and factual support to demonstrate that a person of ordinary skill would have understood that corporate IM server 198 so functions in Vuori. Ex. 1003 ¶ 153; see id. ¶ 196; 37 C.F.R. § 42.65(a).

Second, in addition to Petitioner's arguments asserting that Vuori's IM system 208 and corporate IM server 198 already function as SVMSCs, addressed above, Petitioner contends that it would have been obvious to

"modify Vuori's architecture in F[igure] 6 (with one SVMSC 50) to a distributed SVMSC architecture so that other IM servers," such as IM system 208 and corporate IM server 198, "could function as additional SVMSCs (e.g., checking recipient availability, temporarily storing SVMs, delivering SVMs to recipients)." Pet. 24–25, 33–35, 39 (citing Ex. 1003) ¶¶ 118, 120, 140, 154). According to Petitioner, an ordinarily skilled artisan would have been motivated to make this modification to "improve scalability," as suggested by Väänänen's teaching of "a scalable network architecture" in which a server near the recipient delivers the voice message. *Id.* at 24–25, 34–35 (quoting Ex. 1008, 9:10–22, citing Ex. 1003 ¶¶ 118, 120, 140, 142) (emphasis omitted); see id. at 39. Petitioner argues that having only one SVMSC 50 in Vuori's Figure 6 architecture, which can include a large data network such as the Internet, "would scale poorly," "wast[e] unnecessary network bandwidth," and "unnecessarily burden[] SVMSC 50," given that all of the short voice messages exchanged among a potentially large number of users would go through the same SVMSC 50. Id. at 24–25, 34 (citing Ex. 1003 \P 119, 141). Petitioner contends that the proposed modification "to form a network of SVMSC servers would be flexible yet practical to implement." Id. at 25, 35, 39 (citing Ex. 1003) ¶¶ 142, 154). Moreover, Petitioner asserts that this modification "would amount to no more than applying a known technique (Väänänen's network of server[s] where the server near the recipient delivers the message to the recipient) to a known system" (Vuori's Figure 6 architecture) "ready for improvement . . . to yield predictable results" (the architecture of Vuori's Figure 6 "with distributed SVMSCs running on multiple IM servers"). *Id.* at 25 (citing KSR, 550 U.S. at 417, Ex. 1003 ¶ 120); see id. at 35, 39.

In response, Patent Owner argues that Petitioner's proposed modifications of Vuori employ improper hindsight, using the claims as a blueprint to modify IM system 208 with features of the "distinct and expressly distinguished" SVMSC 50. Prelim. Resp. 31. Patent Owner asserts that Vuori describes IM system 208 "as operating within a data network (160) and communicating using proprietary network-specific protocols," yet describes SVMSC 50 as having "unique and distinct features, architecture, communication protocols, etc., which enable it . . . to interface with circuit-switched GSM networks" and as requiring gateway 58, or equivalent functionality, to translate "circuit-switched GSM signals into data packets" that can be routed over a packet-switched data network. *Id.* at 30– 32. According to Patent Owner, the distinctions between SVMSC 50 and IM System 208 and "the completely different environments in which they operate vitiates" Petitioner's argument that they are "interchangeable" and prevents any suggestion that IM system 208, modified as Petitioner proposes, could "still otherwise operate as intended." *Id.* at 32.

Patent Owner also argues that the Petition's assertion, relying on an alleged suggestion in Väänänen, that it would have been obvious that Vuori's IM system 208 could function as another SVMSC to improve scalability is "conclusory" and lacks citations to Väänänen that support modifying Vuori's IM system 208 "according to the distinct features of the SVMSC (50)." *Id.* at 34. Moreover, according to Patent Owner, "the more practical solution" to improve scalability "would be to simply add one or more SVMSCs as needed, rather than risk modifying other expressly distinguished components in a way that could negatively impact their intended and distinct operation within their different environment." *Id.* In

addition to its arguments focusing on IM system 208, Patent Owner contends that modifying Vuori's ISP IM server 192 and corporate IM server 198 "with the distinct features of" SVMSC 50, as Petitioner proposes, would not have been obvious for "analogous reasons." *Id.* at 32 n.16.

To start, Petitioner's arguments, along with Dr. Forys's supporting testimony, regarding the proposed modifications to Vuori are deficient, because they are vague and lack clarity as to the modifications being proposed, i.e., whether Petitioner is proposing to incorporate a SVMSC or the functionality of a SVMSC into IM system 208 and IM servers 192 and 198, or to replace system 208 and servers 192, 198 with a SVMSC. See Harmonic Inc. v. Avid Tech., Inc., 815 F.3d 1356, 1363 (Fed. Cir. 2016) ("In an [inter partes review], the petitioner has the burden from the onset to show with particularity why the patent it challenges is unpatentable.") (citing 35 U.S.C. § 312(a)(3)); 35 U.S.C. § 312(a)(3); 37 C.F.R. §§ 42.22(a)(2), 42.104(b)(4)–(5). Nonetheless, we are not persuaded that Petitioner has made a showing sufficient to support a reasonable likelihood of establishing obviousness under any plausible reading of Petitioner's arguments.

Rather, even accepting Petitioner's assertion that one of ordinary skill would have been motivated to "improve scalability" in Vuori's Figure 6 architecture—based on Väänänen's teachings of a "scalable network

⁵ E.g., compare Pet. 24–25, 34, 38–39 (proposing "to modify" Vuori's Figure 6 architecture "to a distributed SVMSC architecture so that other IM servers "function as additional SVMSCs"), 44, 52, and id. at 25, 35 (proffering a modification of "Vuori to have other IM servers . . . function as additional SVMSCs to form a network of SVMSC servers"), with id. at 25, 39 (referring to "implementing SVMSC functionalities on other IM servers"), and id. at 25, 39 (asserting predictable result of "distributed SVMSCs running on multiple" or "many IM servers").

architecture" where "a server near [the] recipient . . . relay[s] the message to the" recipient (Ex. 1008, 9:10–22)—Petitioner has not made a sufficient showing that it would have been obvious to modify Vuori to achieve the systems and methods recited in independent claims 1, 14, 28, 40, 51, and 62. See Pet. 24–25, 34–35, 39. In particular, Petitioner has not adequately articulated, with sufficient evidentiary support, why and how a person of ordinary skill in the art would have modified the architecture in Vuori's Figure 6 in the manner Petitioner proposes.

Beginning with IM system 208, in Vuori's disclosed network architecture depicted in Figure 6, IM system 208 is "within" public IM cloud 162, which is "[w]ithin" data network 160, i.e., the Internet, and communicates with other IM systems within the cloud using the open IMX protocol and outside the cloud using "proprietary" "server-to-server protocols." Ex. 1005 ¶ 41, Fig. 6; see id. ¶¶ 39–40, 42. SVMSC 50, in contrast, is described and depicted as interfacing with or included in mobile networks, particularly circuit-switched GSM networks. See id. ¶¶ 37–40, 49–57, Figs. 3, 6, 11–12. We agree with Patent Owner that particularly given the disparity in the disclosed environment and functionality of IM system 208 and SVMSC 50, Petitioner's conclusory argument and evidence, including supporting testimony of Dr. Forys, fails to explain and support sufficiently why and how an ordinarily skilled artisan would have moved the functionality of the distinct SVMSC 50 to IM system 208, and had a reasonable expectation of success in doing so. See Prelim. Resp. 30–32, 34.

Moreover, in arguing that an ordinarily skilled artisan would have moved SVMSC functionality to IM system 208, the Petition and Dr. Forys's supporting testimony do not address adequately Vuori's relevant disclosures that support placing SVMSC functionality downstream near or within the subnetworks in the architecture of Figure 6—rather than in data network or Internet 160 where IM system 208 is located. As illustrated in Vuori's Figure 6, the only SVMSC 50 in the disclosed network architecture is placed downstream from both IM system B gateway 206 in data network 160, i.e., the Internet, and gateway 58 for interconnecting the packet-switched Internet with the circuit-switched GSM subnetwork. See Ex. 1005 ¶¶ 37–38, 41–42, 49, Fig. 6; see also id. ¶¶ 39-40, 50-57, Figs. 3, 11-12. In addition to this GSM subnetwork with SVMSC 50, Figure 6 includes GPRS infrastructure 90 and UMTS infrastructure 182, which are separately described and depicted in Vuori's Figure 4 and Figure 5, respectively, as connected to a data network such as the Internet. See id. ¶¶ 39–41, Figs. 4– 6. Yet when Vuori discusses implementing functionality similar to SVMSC 50 for these GPRS and UMTS networks, Vuori discloses that a node in each network may "be connected to an SVM service" 110, 146 "similar to the SVM service center 50." *Id.* ¶¶ 39–40, Figs. 4–5. In other words, Vuori does not disclose or support placing SVMSC functionality within the data network or Internet, as Petitioner proposes, and instead consistently discloses placing SVMSC functionality further downstream.

Based on our review of the record and our analysis above, we determine that Petitioner does not explain and support adequately why a person of ordinary skill in the art, having reviewed Vuori and Väänänen and seeking to improve the scalability of Vuori's architecture in Figure 6, would have been motivated to place the SVMSC functionality, including checking the availability of subnetwork clients and delivering short voice messages to available clients, in IM system 208 within the IM cloud within the Internet—

rather than, for example, further downstream near or within the subnetworks. Petitioner's generic arguments and conclusory supporting testimony from Dr. Forys lack the specificity and evidentiary support required to show that a person of ordinary skill would have had reason, with rational underpinning, to move the SVMSC functionality to the particular location of IM system 208 within Vuori's architecture. *See KSR*, 550 U.S. at 418; 37 C.F.R. § 42.65(a); Pet. 24–25, 33–35; Ex. 1003 ¶¶ 118–121, 140–143.

Nor does the Petition or Dr. Forys's supporting testimony explain, with any detail or specificity, how a person of ordinary skill would have modified IM system 208 to incorporate the distinct functionality of SVMSC 50, and reasonably expected success in doing so. In this regard, the Petition's and Dr. Forys's vague representations, such as the proposed modification would have been "flexible yet practical to implement," are insufficient to support the proposed modification. *See* Pet. 25, 35; Ex. 1003

Turning to Vuori's corporate IM server 198 and ISP IM server 192, Petitioner does not adequately explain, with supporting evidence, how a person of ordinary skill in the art would have modified these IM servers to include the SVMSC functionality, as Petitioner proposes. Vuori refers to these two servers in paragraphs 41 and 42, stating:

[A]n ISP IM server 192 is connected by the line 188 to the IM system aggregator 190. This ISP 192 can provide *instant message services* to IM clients 194, 196 which can be independent of each other. Similarly, a corporate IM server 198 can be connected to the aggregator 190 by the line 186 for providing *IM services* to its own clients 200, 202, 204, within and without the enterprise.

According to the present invention, in addition to the above-mentioned ISP 192 and corporate IM server 198, for

example, short voice messages can be provided from, to, or between the clients 194, 196, 200, 202, 204 via the data network 160 which may include the IM cloud 162.

Ex. 1005 ¶¶ 41–42 (emphases added). As noted above with respect to corporate IM server 198, this passage expressly discloses that corporate IM server 198 and ISP IM server 192 each specifically handle instant messages or instant messaging (IM)—not short voice messages (SVM). See id.; see also id. ¶ 35 (referring to "a conventional . . . instant messaging (IM) context where the sender first determines whether the intended recipient is available by means of a presence service"); id. ¶ 41 (discussing "an instant messaging (IM) cloud 162 which contains an instant messaging architecture"); id. ¶ 43 (referring to an "instant message service . . . adapted to SVM" to be an "SVM presence service"); Ex. 1011 (article proposing the AOL instant messaging architecture that Vuori incorporates into its Figure 6 (Ex. 1005) ¶ 41), which repeatedly refers to "instant messages" but never refers to any type of voice message). And it is only through, or via, the Internet or data network 160 that short voice messages "can be provided from, to, or between" the clients of these two servers. Ex. 1005 ¶ 42, Fig. 6. In light of Vuori's disclosures, we disagree with and are not persuaded by Petitioner's representations and Dr. Forys's testimony that corporate IM server 198 and ISP IM server 192 each "provide SVM services" or "short voice message services," because these allegations are not supported by the cited disclosures of Vuori⁶ and lack other evidentiary support or persuasive

⁶ Although not cited for this proposition, we note that Vuori's paragraph 31, to which Petitioner elsewhere cites, does not persuade us otherwise. *See*, e.g., Pet. 14, 20, 26 (citing, *inter alia*, Ex. 1005 ¶ 31); Ex. 1003 ¶¶ 75, 106, 122 (citing, *inter alia*, Ex. 1005 ¶ 31). Paragraph 31 explains that "[a]ccording to the present invention, short voice messages (SVMs) are sent

explanation. Pet. 37 (citing Ex. 1005 $\P\P$ 41–42); *id.* at 38 (citing Ex. 1005 $\P\P$ 41–42, Ex. 1003 \P 153); *id.* at 39 (citing Ex. 1005 \P 41, Ex. 1003 \P 156); Ex. 1003 \P 148–149, 153, 156; *see* 37 C.F.R. § 42.65(a).

Modifying corporate IM server 198 and ISP IM server 192 to perform the disclosed SVMSC functionality, including delivering short voice messages to available recipients and temporarily storing short voice messages when a recipient is unavailable—as Petitioner proposes and as required for these servers to satisfy the "server" limitations—is a substantial upgrade to the disclosed functionality of these IM servers in Vuori. Based on our review of the Petition, including its allegations that storage and delivery of instant voice messages were known in the art generally, Petitioner has not clearly and sufficiently explained, with adequate evidentiary support, how an ordinarily skilled artisan would have altered, so significantly, Vuori's corporate IM server 198 and ISP IM server 192, in particular, to arrive at the "server" limitations, with a reasonable expectation of success. See Pet. 13–15, 38–39; Ex. 1003 ¶¶ 72–77, 153–156.

as SMS messages, SMS-like messages, or as instant messages." Ex. 1005 ¶ 31. Petitioner has not shown that based on this disclosure that short voice messages can be sent as instant messages, all references to instant messages or instant messaging, abbreviated as IM (including Vuori's explanation that IM servers 192, 198 provide instant message or IM services) encompass short voice messages, or SVMs, particularly given that Vuori expressly distinguishes between short voice messages and instant messages, or messaging, throughout its disclosure, including in discussing the architecture of Figure 6 and IM servers 192, 198. *E.g.*, *id.* ¶¶ 35, 41–43.

The voice messages in the voice messages are represented in the properties of Figure 6 and IM servers 192, 198. *E.g.*, *id.* ¶¶ 35, 41–43.

We note that in addressing dependent claim 2's recitation of a "local"

We note that in addressing dependent claim 2's recitation of a "local network," Petitioner argues that it would have been obvious to "incorporate Väänänen's teaching of LAN into Vuori." Pet. 38. Petitioner does not propose, and therefore we do not address, any potential combination of Väänänen's servers with Vuori's architecture in Figure 6.

In sum, Petitioner does not articulate adequately, with sufficient factual and evidentiary basis, why and how a person of ordinary skill in the art would have combined Vuori and Väänänen to produce the systems and methods of independent claims 1, 14, 28, 40, 51, and 62, including the recited "server," "local server," and "external server."

c. Dependent Claims 2, 3, 5, 15, 17, 19, 29, 31, 33, 42, 53, and 64
Dependent claims 2, 3, 5, 15, 17, 19, 29, 31, 33, 42, 53, and 64 of the '890 patent each directly depend from one of the challenged independent claims. See Ex. 1001, 23:55–32:43. Accordingly, the deficiencies discussed above with respect to Petitioner's showing regarding the "server" of independent claims 1, 14, and 40, the "local server" of independent claims 28 and 62, and the "external server" of independent claim 51 also apply to these claims. Petitioner's specific arguments directed to the additional limitations of these dependent claims do not cure the deficiencies. See Pet. 36–40, 44–47, 53–56, 59, 61.

d. Conclusion

For the reasons given, we determine that the Petition does not demonstrate a reasonable likelihood that Petitioner would prevail in showing that claims 1–3, 5, 14, 15, 17, 19, 28, 29, 31, 33, 40, 42, 51, 53, 62, and 64 of the '890 patent would have been obvious over Vuori and Väänänen.

D. OTHER ASSERTED GROUNDS

In addition to the asserted ground of obviousness relying on Vuori and Väänänen, Petitioner alleges three other obviousness grounds that rely on Vuori and Väänänen—in addition to other references. *See* Pet. 61–72. Specifically, Petitioner adds Deshpande to challenge dependent claims 4, 18, 32, 41, 52, and 63 (*id.* at 61–65), Abburi to challenge dependent claims 6,

20, 34, 43, 54, and 65 (*id.* at 66–69), and Abburi and Daniell to challenge dependent claim 68 (*id.* at 70–72). The Petition's analysis of each of these alleged grounds of unpatentability relies on its analysis of the asserted ground of obviousness over Vuori and Väänänen for the limitations of the independent claims, and discusses the additional reference(s) (Deshpande, Abburi, Daniell) only to address the additional limitations of the relevant dependent claims. *See id.* at 61–72. Thus, the deficiencies in Petitioner's showing that independent claims 1, 14, 28, 40, 51, and 62 would have been obvious remain and have not been cured. Accordingly, for the reasons given above in our analysis of independent claims 1, 14, 28, 40, 51, and 62, we determine that the Petition does not demonstrate a reasonable likelihood that Petitioner would prevail in showing that dependent claims 4, 6, 18, 20, 32, 34, 41, 43, 52, 54, 63, 65, and 68 of the '890 patent are unpatentable.

III. CONCLUSION

As explained in our analysis above, we determine that the information presented in the Petition does not establish a reasonable likelihood that Petitioner would prevail in showing that any of the challenged claims of the '890 patent—claims 1–6, 14, 15, 17–20, 28, 29, 31–34, 40–43, 51–54, 62–65, and 68—are unpatentable. Therefore, we do not institute an *inter partes* review of any of the challenged claims on any of the asserted grounds.

IV. ORDER

For the reasons given, it is:

ORDERED that pursuant to 35 U.S.C. § 314(a), the Petition is *denied*, and no trial is instituted with respect to any claim of U.S. Patent No. 7,535,890 B2.

IPR2017-00220 Patent 7,535,890 B2

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Paper No. 9 Filed: May 25, 2017

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

APPLE INC., Petitioner,

v.

UNILOC USA, INC. and UNILOC LUXEMBOURG S.A., Patent Owner.

Case IPR2017-00221 Patent 7,535,890 B2

Before MIRIAM L. QUINN, KERRY BEGLEY, and CHARLES J. BOUDREAU, Administrative Patent Judges.

BEGLEY, Administrative Patent Judge.

DECISION
Institution of *Inter Partes* Review
37 C.F.R. § 42.108

¹ Patent Owner's Mandatory Notice, filed pursuant to 37 C.F.R. § 42.8, and Preliminary Response identify Uniloc USA, Inc. and Uniloc Luxembourg S.A. as patent owners. Paper 4; Paper 6 ("Prelim. Resp.") at caption. Therefore, we adjust the case caption to include Uniloc USA, Inc.

IPR2017-00221 Patent 7,535,890 B2

Apple Inc. ("Petitioner") filed a Petition requesting *inter partes* review of claims 1–6, 14, 15, 17–20, 28, 29, 31–34, 40–43, 51–54, 62–65, and 68 ("challenged claims") of U.S. Patent No. 7,535,890 B2 (Ex. 1001, "the '890 patent"). Paper 2 ("Pet."). Uniloc USA, Inc. and Uniloc Luxembourg S.A. (collectively, "Patent Owner") filed a Preliminary Response. Prelim. Resp.

Pursuant to 35 U.S.C. § 314(a), an *inter partes* review may not be instituted unless "the information presented in the petition . . . and any response . . . shows that there is a reasonable likelihood that the petitioner would prevail with respect to at least 1 of the claims challenged in the petition." For the reasons given below, we determine that Petitioner has demonstrated a reasonable likelihood that it would prevail in establishing that all challenged claims of the '890 patent are unpatentable, and we institute an *inter partes* review of the challenged claims.

I. BACKGROUND

A. RELATED MATTERS

Petitioner represents that the '890 patent is the subject of numerous ongoing actions before the U.S. District Court for the Eastern District of Texas, including an action filed against Petitioner (Case No. 2-16-cv-00638). Pet. 71–72; see Paper 4, 2. Before the Office, the '890 patent also is the subject of IPR2017-00220, which Petitioner filed concurrently with the instant proceeding. See Pet. 2–3; Prelim. Resp. 1 & n.1.

B. THE '890 PATENT

The '890 patent explains that "[v]oice messaging" and "instant text messaging" in both the Voice over Internet Protocol ("VoIP") and public switched telephone network environments are known. Ex. 1001, 2:11–35.

In prior art instant text messaging systems, a server presents a user of a client terminal with a "list of persons who are currently 'online' and ready to receive text messages," the user "select[s] one or more" recipients and types the message, and the server immediately sends the message to the respective client terminals. *Id.* at 2:23–35. According to the '890 patent, however, "there is still a need in the art for . . . a system and method for providing instant VoIP messaging over an IP network," such as the Internet. *Id.* at 1:6–11, 2:36–48, 6:37–39.

In one embodiment, the '890 patent discloses local instant voice messaging ("IVM") system 200, depicted in Figure 2 below. *Id.* at 6:12–14.

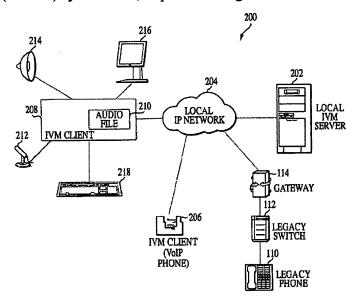


FIG. 2

As illustrated in Figure 2, local packet-switched IP network 204, which may be a local area network ("LAN"), "interconnects" IVM clients 206, 208 and legacy telephone 110 to local IVM server 202. *Id.* at 6:40–61; *see id.* at 7:13–14, 7:51–55. Local IVM server 202 enables instant voice messaging functionality over network 204. *Id.* at 7:53–55.

In "record mode," IVM client 208, exemplified as a VoIP softphone in Figure 2, "displays a list of one or more IVM recipients," provided and stored by local IVM server 202, and the user selects recipients from the list. *Id.* at 7:47–49, 7:55–61. IVM client 208 then transmits the selections to IVM server 202 and "records the user's speech into . . . digitized audio file 210 (i.e., an instant voice message)." *Id.* at 7:61–8:1.

When the recording is complete, IVM client 208 transmits audio file 210 to local IVM server 202, which delivers the message to the selected recipients via local IP network 204. *Id.* at 8:5–19. "[O]nly the available IVM recipients, currently connected to . . . IVM server 202, will receive the instant voice message." *Id.* at 8:23–25. IVM server 202 "temporarily saves the instant voice message" for any IVM client that is "not currently connected to . . . local IVM server 202 (i.e., is unavailable)" and "delivers it . . . when the IVM client connects to . . . local IVM server 202 (i.e., is available)." *Id.* at 8:24–29; *see id.* at 9:7–11. Upon receiving the instant voice message, the recipients can audibly play the message. *Id.* at 8:19–22.

In another embodiment, the '890 patent discusses global IVM system 500. *Id.* at 15:24–28, Fig. 5. Global IVM system 500 includes a local IVM system, such as local IVM system 200, and global IVM server system 502, with global IVM clients 506, 508. *Id.* at 15:25–33. Both the local and global IVM systems are connected to "packet-switched network 102 (i.e., Internet)" to enable the local and global IVM clients to be able to exchange instant voice messages with one another. *Id.* at 15:25–38.

C. ILLUSTRATIVE CLAIMS

Of the challenged claims, claims 1, 14, 28, 40, 51, and 62 of the '890 patent are independent. Claims 1 and 28, reproduced below, are illustrative of the recited subject matter:

- 1. An instant voice messaging system for delivering instant messages over a packet-switched network, the system comprising:
 - a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the network; and
 - a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients enabled to audibly play the instant voice message, and the server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.
- 28. An instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising:
 - a client connected to an external network, the client selecting one or more recipients connected to a local network, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the external network; and
 - a external server system connected to the external network, the external server system receiving the selected recipients and the instant voice message, and routing the selected recipients and the instant voice message over the external network and the local network;
 - a local server connected to the local network, the local server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message

to the selected recipients over the local network, the selected recipients being enabled to audibly play the instant voice message, and the local server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

Id. at 23:55-24:3, 27:6-28.

D. EVIDENCE OF RECORD

The Petition relies upon the following asserted prior art references:

- U.S. Patent No. 7,123,695 B2 (filed Aug. 19, 2002) (issued Oct. 17, 2006) (Ex. 1007, "Malik");
- International Application Publication No. WO 02/17658 A1 (published Feb. 28, 2002) (Ex. 1008, "Väänänen");
- U.S. Patent Application Publication No. 2003/0046273 A1 (published Mar. 6, 2003) (Ex. 1009, "Deshpande");
- U.S. Patent Application Publication No. 2004/0068545 A1 (filed Dec. 19, 2002) (published Apr. 8, 2004) (Ex. 1010, "Daniell"); and
- U.S. Patent Application Publication No. 2003/0147512 A1 (published Aug. 7, 2003) (Ex. 1015, "Abburi").

In addition, Petitioner supports its contentions with the Declaration of Leonard J. Forys, Ph.D. (Ex. 1003).

E. ASSERTED GROUNDS OF UNPATENTABILITY

Petitioner asserts the following grounds of unpatentability under 35 U.S.C. § 103.² Pet. 3.

² The Leahy-Smith America Invents Act ("AIA"), Pub. L. No. 112–29, 125 Stat. 284, 287–88 (2011), revised 35 U.S.C. § 103, effective March 16, 2013. Because the patent application resulting in the '890 patent was filed before the effective date of the relevant section of the AIA, we refer to the pre-AIA version of § 103 throughout this decision.

Challenged Claim(s)	Basis	References
1-3, 5, 14, 15, 17, 19, 28, 29,	§ 103	Malik and Väänänen
31, 33, 40, 42, 51, 53, 62, 64		
4, 18, 32, 41, 52, 63	§ 103	Malik, Väänänen, and
		Deshpande
6, 20, 34, 43, 54, 65	§ 103	Malik, Väänänen, and Abburi
68	§ 103	Malik, Väänänen, Abburi,
		and Daniell

II. ANALYSIS

A. LEVEL OF ORDINARY SKILL

Petitioner argues and Dr. Forys opines that a person of ordinary skill in the art would have had "the equivalent of a Bachelor degree in Electrical Engineering, Computer Science, or an equivalent field as well as at least 3–5 years of academic or industry experience in communications systems," particularly messaging systems and data networks, including VoIP and mobile telephony, "or comparable industry experience." Pet. 9; Ex. 1003 ¶ 30. Patent Owner does not respond to Petitioner's proffered level of ordinary skill in the art or offer a proposal of its own.

Based on Dr. Forys's testimony as well as our review of the '890 patent and the asserted prior art, including the problems and solutions described therein, we are persuaded, on this record, that Petitioner's proposal is consistent with the level of ordinary skill reflected by the prior art of record. See In re GPAC Inc., 57 F.3d 1573, 1579 (Fed. Cir. 1995). For purposes of this decision, we adopt Petitioner's proposed level of skill.

B. CLAIM CONSTRUCTION

The Board interprets claim terms of an unexpired patent using the "broadest reasonable construction in light of the specification of the patent." 37 C.F.R. § 42.100(b); Cuozzo Speed Techs., LLC v. Lee, 136 S. Ct. 2131,

2144–46 (2016). Under this standard, we presume a claim term carries its "ordinary and customary meaning," which is the meaning the term would have to a person of ordinary skill in the art at the time of the invention. *In re Translogic Tech.*, *Inc.*, 504 F.3d 1249, 1257 (Fed. Cir. 2007).

Petitioner proposes a construction of "external network," as recited in challenged claims 14, 17, 28, 31, 51, and 62 of the '890 patent. Pet. 9–12. Patent Owner responds that no construction of this claim term is necessary. Prelim. Resp. 19–21. Patent Owner does not proffer any terms for construction. Based on our review of the record before us, we determine that no claim terms require an express construction to resolve the issues currently presented by the patentability challenges. See Vivid Techs., Inc. v. Am. Sci. & Eng'g, Inc., 200 F.3d 795, 803 (Fed. Cir. 1999) (holding that only claim terms that "are in controversy" need to be construed and "only to the extent necessary to resolve the controversy").

C. DISCRETION TO DECLINE TO INSTITUTE (35 U.S.C. §§ 314(A), 325(D); 37 C.F.R. § 42.108(A))

Patent Owner urges us to decline to institute the Petition for two reasons. Prelim. Resp. 9–18. First, Patent Owner contends that the Petition is nearly identical to the petition filed in IPR2017-00220, which challenges the same claims of the '890 patent based on the same prior art references except that Malik is replaced with U.S. Patent Application Publication No. 2002/0146097 A1 (Exhibit 1005, "Vuori"). *Id.* at 9–11. Patent Owner argues that although Petitioner represents that Malik teaches the "local server" recited in independent claims 28 and 62 and dependent claim 15 more clearly than Vuori, this is merely a "contrived explanation for its redundancy" for a subset of the challenged claims that does not justify dedicating the Board's resources to the duplicative two asserted grounds per

claim. *Id.* at 12–13, 16–17. Patent Owner also argues that Petitioner's backup arguments within the instant Petition, which assert that alternative prior art references teach various limitations of the challenged claims, create an unnecessary multiplication of work for the Board and Patent Owner. *Id.* at 13–15.

Here, across the concurrently filed petitions in the instant proceeding and IPR2017-00220, Petitioner asserts a total of two grounds of unpatentability for each of the twenty-nine challenged claims. E.g., Pet. 2-4. We are not persuaded by Patent Owner's arguments that these cases present an unjustified duplication of asserted grounds, given that each petition explicitly addresses relative strengths and weaknesses of Malik, the main asserted prior art reference in the instant case, and Vuori, the main asserted prior art reference in IPR2017-00220. E.g., id. More importantly, in our institution decision in IPR2017-00220, issued simultaneously with this decision, we determine Petitioner has not met its burden for institution as to any of the challenged claims, and do not institute inter partes review in that case. In light of the denial of institution in IPR2017-00220, Patent Owner's allegations of an unnecessary multiplicity of asserted grounds, however unpersuasive before, are now a moot concern. Moreover, we are not aware of any other proceedings challenging the '890 patent before the Office. Under the circumstances of this case and having considered Patent Owner's assertions on the issue, we decline to exercise our discretion not to institute the Petition. See, e.g., 37 C.F.R. § 42.108(a); 35 U.S.C. § 314(a).

Second, Patent Owner asks us to decline to institute under 35 U.S.C. § 325(d), because a continuation application of Malik, which shares Malik's specification, was considered during prosecution of the '890 patent and is

listed on the face of the '890 patent. Prelim. Resp. 15–16; see Ex. 1001, [56]. Pursuant to 35 U.S.C. § 325(d), "[i]n determining whether to institute ... a proceeding ..., the Director may take into account whether, and reject the petition or request because, the same or substantially the same prior art or arguments previously were presented to the Office."

Here, Patent Owner provides no details regarding the extent to which the continuation application of Malik was considered and relied upon during prosecution. Based on our review of the prosecution history, we find that the application was cited twice in a Notice of References Cited and mentioned once in an Office Action in which the Examiner stated that the reference was "not relied upon" but was "considered pertinent to the applicant's disclosure." Ex. 1002, 47, 195, 197. Having considered these citations to—without any evident substantive analysis or reliance upon—the continuation application of Malik during prosecution, we determine that rejection of the Petition under 35 U.S.C. § 325(d) is not warranted.

D. ALLEGED OBVIOUSNESS OVER MALIK AND VÄÄNÄNEN
Petitioner argues Malik and Väänänen render obvious claims 1–3, 5,
14, 15, 17, 19, 28, 29, 31, 33, 40, 42, 51, 53, 62, and 64. Pet. 19–58.

1. Overview of Malik

Malik explains that in many prior art instant-messaging ("IM") systems, including Jabber, when an instant message is sent to a user that is not present on the network, servers have the capability to hold the message in a queue and deliver it "to the user as soon as the user is present." Ex. 1007, 2:40–41, 2:60–67, 3:16–23. Figure 2 of Malik is reproduced below.

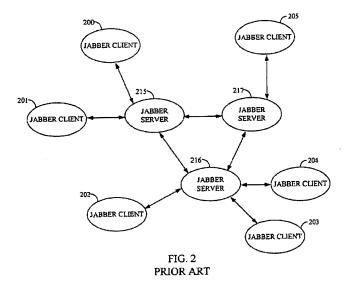


Figure 2 depicts a prior art IM network using the Jabber "client-server" architecture, which contains "distributed network servers," namely local Jabber servers 215–217, and Jabber clients 200–205. *Id.* at 2:49–3:1, 3:66–67. Clients 200–205 send and receive messages, and Jabber servers 215–217 deliver the messages in "real time." *Id.* at 2:56–67. "Each local Jabber server 200–205 performs two main functions: listening for and communicating directly with Jabber client applications 200–205, and communicating with other Jabber servers 215–217" that are "connected to the Internet." *Id.* at 3:5–12; *see id.* at 2:58–59.

According to Malik, prior art IM systems do not allow non-text instant messages, such as voice instant messages ("VIM"), to be "stored in a queue for later immediate delivery" when the recipient becomes available. *Id.* at 3:24–31. Malik seeks to address this alleged need in the art with its disclosed systems and methods for generating and sending voice instant messages. *Id.* at [57], 3:24–46. Figure 3 of Malik is included below.

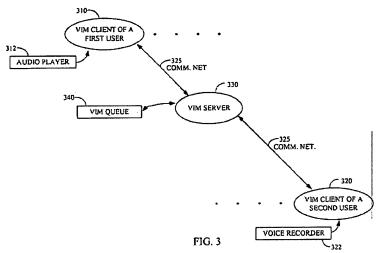


Figure 3 is a block diagram of a representative embodiment of voice message delivery system 300, which includes VIM client 310 of a first user, VIM client 320 of a second user, and VIM server 330. *Id.* at 4:25–35. "Each VIM client 310, 320 communicates with . . . VIM server 330 via the Internet or some other communication network 325." *Id.* at 4:42–44.

The VIM clients are configured to "receive and play a voice recording . . . in a voice instant message" as well as "to generate a voice recording . . . and include the voice recording in an instant message upon accepting a VIM invitation." *Id.* at 4:29–37; *see id.* at 6:65–67, Fig. 5. A VIM invitation is a message transmitted from one VIM client to another that "invites or prompts" the generation of a voice recording, for example, from VIM client 310 of the first user to VIM client 320 of the second user "invit[ing] or prompt[ing] . . . VIM client 320 . . . to generate a voice recording for the first user." *Id.* at 4:37–41.

VIM server 330 "may act as a single IM server . . . or a local IM server, such as . . . Jabber Server 215 of F[igure] 2." *Id.* at 4:45–47. "VIM server 330 includes the capabilities of conventional IM servers and the

additional capabilities for handling VIM . . . delivery and storage." *Id.* at 4:51–53. In a preferred embodiment, when a user is not present and available, a voice instant message may be stored in queue 340 of VIM server 330 and "delivered to the user the next time that the user connects to" the network. *Id.* at 5:20–24.

Malik explains "the functionality of a representative implementation" of voice message delivery system 300. *Id.* at 4:4–6; *see id.* at 5:43–6:64, Fig. 4. A first user "authorizes" users from whom it "will accept voice messages," for example, by specifying users in its contact list (block 410). *Id.* at 4:56–63, Fig. 4. As a result of this authorization, if an authorized user attempts to send an instant message to the first user when the first user "is not present and/or available, the authorized user may be given the opportunity to generate a voice instant message for the first user." *Id.* at 5:66–6:4.

"[L]ater," VIM client 320 of the second user "receives a request or prompt by the second user to send an instant message to the first user" (block 420). *Id.* at 5:66–6:9, Fig. 4; *see id.* at 12:51–52, 14:12–13. Such a request typically "is generated after the second user attempts to initiate the transmission of the instant message." *Id.* at 6:9–11. VIM client 320 detects that the first user "is not present and/or available" and then checks if it is "capable of generating a voice recording" as well as the voice contact parameters of the first user to see if "the second user is authorized . . . to leave a voice instant message for the first user" (blocks 425, 430, 435, 440, 445). *Id.* at 6:11–23, Fig. 4. If so, VIM client 320 "invites or prompts the second user to leave a voice message" for the first user (block 450). *Id.* at 6:31–35, Fig. 4. "[I]f the second user accepts the VIM invitation," the

second user "generates a voice recording" (block 460). *Id.* at 6:39–46. After a voice instant message is generated from the recording (block 470), the message is sent to VIM server 330 and stored in VIM queue 340 (block 475). *Id.* at 6:47–59. Next, "VIM server 350 detects when the first user is present and/or available to receive instant messages again" (block 480). *Id.* at 6:59–62; *see id.* at 7:1–3. After the first user becomes present, VIM server 330 "delivers the voice instant message" from its queue 340 to VIM client 310 of the first user (block 485). *Id.* at 6:62–64, 7:3–5, Fig. 5.

2. Overview of Väänänen

Väänänen discloses a "server centric method" for instant voicemail messaging. Ex. 1008, [57], 1:13–16. In one disclosed method of sending voice messages, the user first chooses one or several message recipients at the subscriber terminal (phase 110). *Id.* at 6:5–11, Fig. 1. This recipient selection may occur through the "simple press of a button," "labeling the recipient with a pointer from the 'contacts' file of the terminal device," "speech recognition, a dedicated keyboard accelerator, hot key, dedicated key," or any combination thereof. *Id.* at 6:5–11; *see id.* at 2:28–29. Then, the subscriber terminal may establish a packet-switched connection to the server, which may be implemented using, for example, LAN, TCP/IP, or General Packet Radio Service ("GPRS") (phase 120). *Id.* at 6:17–23. Next, the recipient contact information, such as IP address, is transferred to the server (phase 130). *Id.* at 6:25–32; *see id.* at 2:31–32. The voice message is then recorded and written to a data file, such as a Waveform Audio File Format ("WAV") file (phase 140). *Id.* at 7:10–13; *see id.* at 3:3–5.

After the message is completed and the connection is disconnected (phase 150), the server relays the message to the recipient(s) (phase 160). *Id.* at 7:16–26. "If the recipient terminal is capable of receiving the data file, which means typically having a packet[-]switched access to the recipient device," as opposed to a circuit-switched connection, "the message may be sent with a[] packet[-]switched connection, like IP, through the Internet, or through some other closed network." *Id.* at 7:25–32. Next, the recipient(s) receive the message (phase 170). *Id.* at 8:9–10. "If the recipient is unavailable, the message may be stored on the server for some time, and attempts to deliver the message may be taken at timely intervals." *Id.* at 8:17–18. The server may send delivery status information to the sending terminal, such as "which messages got delivered, which did not, and how long will the messages remain in the network." *Id.* at 8:20–24.

Väänänen also discloses a "more scalable messaging method," which utilizes a Store and Forward Server Network ("SFSN"). *Id.* at 5:17–18, 9:1–10, Fig. 2. "The SFSN is typically a network of servers linked together through the Internet . . . or some other communications or signal[]ing network." *Id.* at 9:14–18. The communications connections within the SFSN as well as between the terminals and the servers are typically compliant with, for example, GPRS, LAN, or TCP/IP. *Id.* at 16:19–23. "[T]he servers in the SFSN typically deliver a copy of the message to a server near a recipient, and this server attempts to relay the message to the . . . recipient" over, for example, a packet-switched connection (phase 270). *Id.* at 9:20–25. Undelivered messages are stored on the SFSN (phase 280) and resent (phase 290). *Id.* at 9:25–29, Fig. 2.

3. Discussion

A patent claim is unpatentable as obvious under 35 U.S.C. § 103(a) if "the differences between" the claimed subject matter "and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains." 35 U.S.C. § 103(a). As the Supreme Court explained in KSR International Co. v. Teleflex Inc., 550 U.S. 398 (2007), an invention "composed of several elements is not proved obvious merely by demonstrating that each of its elements was, independently, known in the prior art." 550 U.S. at 418. Rather, "it can be important to identify a reason that would have prompted a person of ordinary skill in the relevant field to combine the elements in the way the claimed new invention does." Id. In other words, "there must be some articulated reasoning with some rational underpinning to support the legal conclusion of obviousness." Id. (quoting In re Kahn, 441 F.3d 977, 988 (Fed. Cir. 2006)); see In re Magnum Oil Tools Int'l, Ltd., 829 F.3d 1364, 1380–81 (Fed. Cir. 2016).

a. Disputed Limitations

Patent Owner substantively addresses and disputes only limitations of challenged independent claims 28 and 62 and dependent claim 15 of the '890 patent. Prelim. Resp. 18, 21, 23, 26, 28. Patent Owner, however, contends that the substantive deficiencies it alleges apply to other challenged claims. See id. at 18 ("Notably, the substantive deficiencies identified herein similarly apply to most, if not all, of the other challenged claims"); id. at 23, 28. Accordingly, in our analysis below, we treat Patent Owner's arguments as applying to each challenged claim that recites limitations

corresponding to those of claims 15, 28, and 62 for which Patent Owner specifically contests Petitioner's showing.

i. Selecting One or More Recipients (Independent Claims 1, 14, 28, 40, 51, and 62, Dependent Claims 15 and 29)

Challenged independent claims 1, 14, 28, 40, 51, and 62 and dependent claims 15 and 29 each recite a limitation directed to recipient selection by the client. In particular, claims 1 and 28 each recite "the client selecting one or more recipients." Ex. 1001, 23:58–60, 27:9–10. Claim 40 similarly includes the step of "selecting one or more recipients for instant voice messaging at a client." *Id.* at 28:23–24. Independent claims 14, 51, and 62, as well as dependent claims 15 and 29, feature similar recipient selection limitations that specify the recipient location, such as "external recipients connected to an external network" or local "recipients connected to a local network." *Id.* at 25:24–43, 27:29–31, 30:10–11, 32:9–10.

In addressing these limitations, Petitioner refers to Malik's disclosure that "VIM client 320 of the second user receives a request or prompt by the second user to send" a message to the first user. Pet. 23, 27 (quoting Ex. 1007, 6:7–9); see id. at 36–39, 41–47, 50–58. According to Petitioner and Dr. Forys, given that "Malik does not explain in detail" how the second user "could input information to VIM client 320 to designate the first user" as the message recipient, one of ordinary skill in the art would have been motivated to seek out ways in which a user could do so, such as Väänänen's teachings. Id. at 23, 27; Ex. 1003 ¶ 261, 269. Petitioner asserts and Dr. Forys opines that Väänänen discloses various well-known techniques to input recipient information by selection, i.e., "to select at least one recipient" for an instant voice message. Pet. 23–24, 27–28 (quoting Ex. 1008, 2:28–29, 6:5–11); Ex. 1003 ¶ 261–262, 269–270.

Petitioner and Dr. Forys represent that it would have been obvious to incorporate Väänänen's teaching of recipient selection into Malik's system because not only do the references apply similar techniques to instant voice message delivery, but also a person of ordinary skill in the art would have understood that such incorporation would "improve usability" and be "more convenient." Pet. 24, 28; Ex. 1003 ¶¶ 263–265, 271–272. As support, Petitioner refers to Väänänen's statement that recipient selection can consist of a "simple press of a button." Pet. 24, 28 (quoting Ex. 1008, 2:31–32); Ex. 1003 ¶¶ 263, 271–272. In addition, Petitioner argues and Dr. Forys opines that incorporating Väänänen's recipient selection techniques into Malik's system would have been nothing more than applying a known technique to a "known method (Malik's request or prompt to send a message to a recipient" ready for improvement "to yield predictable results (request or prompt to send a message to a recipient by selection)," making it obvious under KSR. Pet. 24 (citing KSR, 550 U.S. at 417); Ex. 1003 ¶¶ 263, 272.

Patent Owner disputes Petitioner's proffered motivation to combine Väänänen's teaching of recipient selection with Malik's system. Prelim. Resp. 23, 25. Patent Owner focuses on Malik's disclosures regarding a VIM invitation in column 4, lines 33–41, which state that VIM client 320 "generate[s] a voice recording . . . upon accepting a VIM invitation," i.e., a message "transmitted by the VIM client 310 of the first user to the VIM client 320 of the second user, wherein the message invites or prompts the VIM client 320 of the second user to generate a voice recording for the first user." *Id.* at 24 (quoting Ex. 1007, 4:33–41). Patent Owner interprets this passage to mean that Malik's system "requires the *recipient* (or 'first user') to request a VIM from a particular sender (or 'second user')." *Id.*

According to Patent Owner, the recipient in Malik "is granted sole control over when and from whom" a VIM is received and "[t]he sender may . . . only send the VIM where directed"—"without having the option" to select recipients. *Id.* at 24–25. Patent Owner asserts that it would not have been obvious to modify Malik as Petitioner proposes because, in contrast to Petitioner's representations, Malik does not "disclose," "contemplate," or "even suggest" allowing the sender to select recipients and instead "teaches away from such a concept." *Id.* at 25.

To begin, we note that Patent Owner does not dispute Petitioner's contention that Väänänen teaches the recipient selection required by independent claims 1, 14, 28, 40, 51, and 62 and dependent claims 15 and 29 of the '890 patent. See id. at 23-26. At this stage of the proceeding, we agree with Petitioner's showing that Väänänen teaches the requisite recipient selection based on its disclosures regarding a user at a subscriber terminal "select[ing]" or "choos[ing]" "at least one recipient" for an instant voice message, as well as several methods to do so including "simpl[y] press[ing] ... a button" to designate the recipients in the terminal's "contacts book." Ex. 1008, 2:28-29, 6:5-11, Figs. 1-2; see Pet. 23-24, 27-28; Ex. 1003 ¶¶ 262, 270. We also are persuaded by Petitioner's assertions and Dr. Forys's testimony that such selection of a message recipient was well known in the art. Pet. 23, 27; Ex. 1003 ¶¶ 262, 270. Indeed, the '890 patent acknowledges that having a user at a client terminal "select one or more persons to whom [a] message will be sent" was known. Ex. 1001, 2:31-33; see id. at 1:12, 2:23; Constant v. Advanced Micro-Devices Inc., 848 F.2d 1560, 1570 (Fed. Cir. 1988) ("A statement in a patent that something is in

the prior art is binding on the applicant and patentee for determinations of ... obviousness.").

Turning to Malik and Petitioner's proposed incorporation of Väänänen's teachings into Malik's system, we are persuaded, on the present record, that Malik's disclosures suggest a client's user selecting message recipients and are consistent with Väänänen's teaching thereof, such that one of ordinary skill would have had reason to incorporate Väänänen's recipient selection into Malik's system. See Pet. 23–24, 27–28; Ex. 1003 ¶¶ 261–265, 269–272. As Malik explains in the passage discussing Figure 4 on which Petitioner relies, "the second user attempts to initiate the transmission of [an] instant message" and "request[s] or prompt[s]" its VIM client 320 "to send an instant message to the first user." Ex. 1007, 6:7–11, Fig. 4 (block 420); see Pet. 23, 27 (quoting Ex. 1007, 6:7–9); Ex. 1003 ¶¶ 261, 269. Similarly, Malik elsewhere refers to a "user attempt[ing] to send an instant message to the first user," Ex. 1007, 5:66–6:4, and a client "receiv[ing] a request from the second user to send an instant message to the first user," id. at 14:10–13; see id. at 12:51–52. Based on these disclosures, we are persuaded by Petitioner's argument and Dr. Forys's testimony that in Malik, the client's user (e.g., the second user of VIM client 320) designates the message recipient. Pet. 23, 27 (quoting Ex. 1007, 6:7–9); Ex. 1003 ¶¶ 261, 269.

Malik further explains that—after the user of the client has decided upon the recipient of an instant message and initiates sending the message—the user may be "invite[d]," "prompt[ed]," or otherwise "given the opportunity" to generate and send a *voice* instant message, when certain conditions are met. Ex. 1007, 5:66–6:4; *see id.* at 6:7–46, Fig. 4. For example, as detailed above in § II.D.1, where the second user requests or

prompts its VIM client 320 to send a message to the first user, Malik explains and depicts in Figure 4 that if the first user "is not present and/or available"; VIM client 320 is "capable of generating a voice recording"; and VIM client 320's check of the voice contact parameters of the first user shows that the first user authorized the second user to leave a voice message, the second user of VIM client 320 may be "invite[d] or prompte[d]" with a "VIM invitation" to generate and send a voice instant message to the first user. *Id.* at 5:54–6:4, 6:11–46, Fig. 4 (blocks 425, 430, 435, 440, 445, 450); *see id.* at 4:33–41. On the present record, we are persuaded that these disclosures are consistent with a client's user designating or selecting a message recipient, as Petitioner asserts.

We do not agree, at this stage of the proceeding, with Patent Owner's arguments that Malik does not "contemplate" or "suggest"—and instead, "teaches away from"—allowing the sender to designate or select recipients. Prelim. Resp. 25. In particular, we are not persuaded that Malik's column 4, lines 33–41, on which Patent Owner's arguments rely, undermine Petitioner's proffered reasoning based on column 6, lines 7–9 of Malik. *Id.* at 24 (quoting Ex. 1007, 4:33–41); Pet. 23, 27 (quoting Ex. 1007, 6:7–9). Rather, column 4, lines 33–41, which discuss Figure 3, should be read consistently with Malik's more detailed disclosures regarding Figure 4, including column 6, lines 7–9 on which Petitioner relies, because Malik describes Figure 4 as a "flowchart describing the functionality of a *representative implementation of the voice message delivery system of F[igure] 3.*" Ex. 1007, 4:4–6 (emphasis added); *see id.* at 4:1–3, 4:25–41, 5:43–56, 6:6–11, Figs. 3–4. As we explain above, we are persuaded, on the present record, by Petitioner's position that Malik's disclosures regarding

Figure 4 suggest and are consistent with a client's user selecting a message recipient. At this stage of the proceeding, we do not agree that column 4, lines 33–41 dictate to the contrary, as Patent Owner contends. Nor do we agree with Patent Owner's corresponding characterization of the operation and functionality of Malik's system based on this passage. For example, because Malik explains that the user of the client already has decided upon and designated the message recipient before being "invite[d]," "prompt[ed]," or otherwise "given the opportunity" to send a voice instant message, as explained above, we disagree with Patent Owner's assertions that in Malik, "[t]he sender may . . . only send the VIM where directed, without having the option to 'select on[e] or more recipients." Prelim. Resp. 24; Ex. 1007, 5:66–6:46, Fig. 4; see id. at 4:33–41.

For the reasons given, on the present record, we are persuaded by Petitioner's showing that Malik's system is consistent with Väänänen's teachings regarding a client's user selecting a message recipient. Petitioner has provided reasoning, with sufficient evidentiary basis for purposes of institution, to support that one of ordinary skill would have had reason to incorporate Väänänen's techniques of selecting the recipient of an instant voice message into Malik's system to improve its usability and convenience. See Pet. 23–24, 27–28; Ex. 1003 ¶ 261–265, 269–272; see also Ex. 1001, 1:12, 2:23, 2:31–33. In sum, at this juncture, Petitioner has articulated adequate "reasoning," with "rational underpinning," to support the proposed combination of Malik and Väänänen to reach the recipient selection limitations of independent claims 1, 14, 28, 40, 51, and 62 and dependent claims 15 and 29. See KSR, 550 U.S. at 418.

ii. "local network" and "external network" (Independent Claims 14, 28, 51, and 62)

In each of independent claims 14, 28, 51, and 62, the preamble features the recitation "a plurality of packet-switched networks" and the body of the claim requires both a "local network" and an "external network." Ex. 1001, 25:25:21–39, 27:6–28, 30:8–30, 32:6–31. Petitioner assumes that the preamble is limiting and correspondingly takes the position that each of the "local network" and "external network" must be a packet-switched network. See Pet. 7 (explaining that claims 14, 28, 51, and 62 "relate to a system/method for delivering an instant voice message over a plurality of packet-switched networks (including 'local network' and 'external network')"); id. at 38, 46 (analyzing how prior art meets "[a]dditional limitations" in claims 14 and 28, including preamble (emphasis omitted)). Patent Owner argues that the "local network" and "external network" must be included within the "plurality of packet-switched networks" of the preamble, but offers no supporting analysis beyond Petitioner's alleged concession of this point. Prelim. Resp. 20–21, 26 & n.15.

Accordingly, the parties appear to agree that the preambles of claims 14, 28, 51, and 62 are limiting and that the "local network" and "external network" recited in the bodies of these claims fall within the "plurality of packet-switched networks"—yet neither party proffers any supporting analysis. See generally Catalina Mktg. Int'l, Inc. v. Coolsavings.com, Inc., 289 F.3d 801, 807–10 (Fed. Cir. 2002) (explaining that an analysis of whether a preamble is treated as a limitation requires consideration of the patent "to gain an understanding of what the inventors actually invented and intended to encompass by the claim" as well as several "guideposts," including whether the preamble provides "antecedent basis"

for the claim body (internal citations omitted)). Thus, we assume, without deciding, for purposes of this decision that the preambles of these claims are limiting and the recited "local network" and "external network" must be packet-switched.

For claims 14, 28, 51, and 62, Petitioner's arguments rely on the architecture of Malik's Figure 2 delivering voice instant messages, as disclosed for the VIM system of Figure 3, based on Malik's disclosure that the VIM server in Figure 3 "may act as . . . a local IM server, such as a Jabber server 215 of F[igure] 2" and has "additional capabilities for handling VIM . . . delivery and storage." Ex. 1007, 3:66–4:3, 4:45–53, Figs. 2–3; Pet. 21 (citing Ex. 1007, 2:55-56, 4:45-46, 4:51-53) ("In addition to a single server, Malik contemplates delivering VIMs utilizing the Jabber architecture containing 'distributed network servers.'"); id. at 37-40, 45-47, 55-58; Ex. $1003 \, \P \, 84$, 296-302, 317-321, 343-347. With respect to the "local network," Petitioner points out that Malik refers to server 215 of Figure 2 as a "local IM server." Pet. 34 (quoting Ex. 1007, 4:45–47); id. at 36-38, 45-48, 55-56, 58; Ex. 1003 ¶ 287. Petitioner and Dr. Forys represent that to the extent Malik's reference to a local server is insufficient to disclose a local network, a person of ordinary skill in the art "would have found it obvious to connect Malik's VIM clients to their local VIM server," and particularly, client 200 to local server 215, over a LAN, because such a connection was well known in the art, as Väänänen demonstrates. Pet. 19, 34, 38, 46; Ex. 1003 ¶¶ 86–87, 288–289, 298, 321. Specifically, Petitioner notes that Väänänen discloses its client terminals and servers "are typically compliant with . . . LAN." Pet. 34 (quoting Ex. 1008, 16:19-23); Ex. 1003 ¶ 81, 289; see Pet. 22 (citing Ex. 1008, 6:19–23). Petitioner also refers to

Väänänen's explanation that the connection between the client terminal and server may be "packet[-]switched" and that such a "packet[-]switched connection" (e.g., a "closed network") typically is required for the recipient to be "capable of receiving the data file." Pet. 16, 22 (citing Ex. 1008, 6:17–28, 7:25–29); *id.* at 26–27 (quoting Ex. 1008, 7:25–29); Ex. 1003 ¶¶ 81, 267 (quoting Ex. 1008, 7:25–29).

According to Petitioner and Dr. Forys, a person of ordinary skill in the art would have found it obvious to incorporate Väänänen's teaching of a LAN connecting a server and its clients into Malik's system "whe[re] the server and its clients are dispersed over a small area such as within a school or company with one building," given that a "LAN was a well-known technique to connect devices dispersed over a relatively limited area." Pet. 34 (emphasis omitted); Ex. 1003 ¶ 289 (emphasis omitted) (citing Ex. 1012, 304). Petitioner argues and Dr. Forys testifies that this proposed incorporation would have been merely "combining prior art elements (Malik's *local* VIM server communicating with its VIM clients, and Väänänen's clients and server communicating over a LAN) according to known methods to yield predictable results." Pet. 34–35; Ex. 1003 ¶ 289.

Turning to the recited "external network," Petitioner represents that the network connecting client 203 and server 216 in Malik's Figure 2 satisfies the recited "external network," because "it is outside of the LAN connecting Client 200 and its Server 215," with the "two networks separated by the Internet." Pet. 39–40, 46–47 (citing Ex. 1007, 3:5–9); Ex. 1003 ¶¶ 299, 319. Alternatively, Petitioner contends, with supporting testimony from Dr. Forys, that one of ordinary skill "would have found it obvious" to connect server 216 and its clients, such as client 203, using the Internet—

which would constitute an "external network." Pet. 39-40, 46-47; Ex. 1003 ¶¶ 301–302, 320. Petitioner refers to Malik's explanation that in the system of Figure 3, VIM clients communicate with a VIM server "via the Internet," which Petitioner argues and Dr. Forys testifies was a known packet-switched network. Pet. 19 (citing, inter alia, Ex. 1012, 386), id. at 39 (quoting Ex. 1007, 4:42–45) (emphasis omitted); Ex. 1003 ¶¶ 86–87, 301. According to Petitioner and Dr. Forys, it would have been obvious to combine this use of the Internet in Malik's Figure 3 with the architecture in Figure 2 "where Server 216 and its clients (e.g., Client 203) are far apart (for example, Server 216 could be located in a company headquarter, and Clients 203 and 204 could be located in a branch office at another site)." Pet. 39–40; Ex. 1003 ¶ 302. Petitioner and Dr. Forys represent that this would be merely a combination of "prior art elements (F[igure] 3's server and clients communicating over the Internet, F[igure] 2's Server 216 communicating with its clients) according to known methods to yield predictable results (F[igure] 2's Server 216 communicating with its clients over the Internet if they are far apart)." Pet. 40; Ex. 1003 ¶ 302.

In response, Patent Owner argues Petitioner has not shown that Malik and Väänänen render obvious the recited "local network" and "external network," which the claim language, by "expressly distinguish[ing]" the two networks, makes clear "cannot be one and the same." Prelim. Resp. 26–28. Patent Owner specifically takes issue with the Petition's statements, in addressing claim 28, that "it would have been obvious that Server 215 would provide VIM services to its Client 200 ('recipient') over a LAN" ("local network"), and "it would have been obvious that the network connecting Client 203 and Server 216 *could be* the [I]nternet" ("external network"). *Id*.

at 26 (quoting Pet. 46–47) (emphasis added in Preliminary Response). According to Patent Owner, Petitioner does not, and could not, cite any part of Malik "affirmatively stating that the identified interconnections in Figure 2 represent a 'LAN' and the 'Internet,' respectively." *Id.* at 26–27. Patent Owner argues Petitioner's assertions are "nothing more than a thinly-veiled inherency argument, yet Petitioner's speculative "could be" language fails to show that the identified interconnections "necessarily represent two distinct packet-switched networks," as required to establish inherency. *Id.* at 27. Moreover, Patent Owner contends that language in Malik expressly referring to Figure 2, in its entirety, as a single network bars any inherency argument. *Id.* (quoting Ex. 1007, 2:49–51).

Based on our review of the present record, Petitioner makes a sufficient showing, for purposes of institution, that Malik and Väänänen render obvious the "local network" and "external network" of claims 14, 28, 51, and 62. For example,³ as to the "local network," Petitioner provides evidence to support that Väänänen teaches connecting a server to clients with a packet-switched LAN. *See* Ex. 1008, 6:17–23, 7:25–29, 16:19–23; Pet. 16, 22, 26–27, 34; Ex. 1003 ¶¶ 81, 267, 289. Moreover, Petitioner has proffered argument and evidence, adequate under the reasonable likelihood standard for institution, that one of ordinary skill would have had reason to incorporate such a network into the architecture of Malik's Figure 2 to connect server 215 to its clients, including client 200, given that Malik describes server 215 as a "local . . . server"; Väänänen explains that a

³ As outlined above, the Petition proffers alternative arguments to reach both the "external network" and "internal network." In determining whether Petitioner's showing is sufficient for institution of *inter partes* review in this decision, we need only address one alternative for each network.

"packet[-]switched connection" (e.g., a "closed network"), instead of a circuit-switched connection, "typically" is required for a recipient to be "capable of receiving [a] data file"; and a LAN was a well-known technique for connecting devices dispersed over a relatively-limited area (e.g., "within a school or company with one building"). *See* Ex. 1008, 7:25–29; Ex. 1007, 4:45–47; Pet. 19, 34–35, 38, 46; Ex. 1003 ¶¶ 81, 86–87, 287–289, 298, 321; Ex. 1012, 304.

Similarly, with respect to the "external network," Petitioner has shown adequately for institution that it would have been obvious to connect server 216 in Malik's Figure 2 to its clients, including client 203, using the Internet, a packet-switched network, and that this proposed modification satisfies the claimed "external network." Pet. 19, 39–40, 46–47; Ex. 1012, 386; Ex. 1003 ¶ 86–87, 301–302, 320; see Ex. 1001, 1:26–27, 1:40–48. At this stage of the proceeding, Petitioner, with supporting testimony from Dr. Forys, has provided reasoning sufficient to support this proposed modification, given that the Internet was commonly used to connect devices, including a server and its clients, that are "far apart" from one another and Malik explains the Internet connects the servers in the architecture of Figure 2 in addition to the VIM server and clients in the system of Figure 3. See Ex. 1007, 2:55–58, 3:5–12, 4:41–45; Pet. 19, 39–40, 46–47; Ex. 1003 ¶ 86–87, 301–302, 320.

We do not agree, at this juncture, with Patent Owner's assertions contesting Petitioner's showing as to the "local network" and "external network." See Prelim. Resp. 26–28. To start, Patent Owner's quotations of the Petition's discussion of claim 28—used as the basis for Patent Owner's allegations of deficiency—omit the Petition's cross-references to its

previous more detailed analysis of similar limitations of claim 2 ("internal network") and claim 14 ("external network") that appear immediately before and after the language Patent Owner quotes. *Compare id.* at 26, *with*Pet. 46–47 (stating "[a]s discussed regarding claim 2" twice, with a citation to "§ VI.E.1" that analyzes claim 2, and "as discussed regarding . . . element [14.1b]," with a citation to "§ VI.F.1.c" that discusses the element Petitioner characterizes as 14.1b, which recites "an external network outside the local network"). The Petition's analysis of claim 2's "local network" and claim 14's "external network" discusses disclosures of Malik as well as Väänänen that allegedly teach or suggest these networks, proposed combinations of these teachings and modifications of Malik's system that allegedly reach the claim language, and proffered reasons why one of ordinary skill would have implemented these modifications and combinations—as discussed above. Pet. 34–35, 38–40.

Therefore, although the Petition's "could be" language used in referring to the Internet as an alternative for satisfying the recited "external network" of claim 28—to which Patent Owner quotes—itself may not support sufficiently Petitioner's obviousness showing, the Petition's full discussion of this proposed alternative means by which Malik and Väänänen render obvious the "external network"—expressly referenced and cited in the discussion of claim 28—adequately supports Petitioner's obviousness showing for purposes of institution, as we have explained above. See id. at 38–40, 47; Belden Inc. v. Berk-Tek LLC, 805 F.3d 1064, 1073 (Fed. Cir. 2015) ("[O]bviousness concerns whether a skilled artisan not only could have made but would have been motivated to make the combinations or modifications of prior art to arrive at the claimed invention." (internal

citation omitted)). In addition, because the Petition makes an obviousness argument, Petitioner need not demonstrate that Malik "affirmatively stat[es] the identified interconnections in [Malik's] Figure 2 represent a 'LAN' and the Internet,' respectively," as Patent Owner attempts to fault Petitioner for failing to do. Prelim. Resp. 26–27. Moreover, at this stage of the proceeding, we are not persuaded that the Petition's discussion of how Malik and Väänänen render obvious the claimed "local network" and "external network" supports Patent Owner's assertion that Petitioner is making an inherency argument, making Patent Owner's argument that the Petition fails to meet the standards for inherency misplaced. *See id*.

We also do not agree with Patent Owner's argument that because Malik states that Figure 2 represents a "network," in the singular, the architecture of Figure 2 cannot constitute two packet-switched networks, as independent claims 14, 28, 51, and 62 require. Id. at 27 (quoting Ex. 1007, 2:49-51). Rather, at this stage of the proceeding, we are persuaded that a person of ordinary skill would have understood that one network can contain multiple subnetworks that are themselves networks. See, e.g., Ex. 3001 (Microsoft Computer Dictionary (5th ed. 2002)), 502 (defining "subnetwork" as "[a] network that is part of another, larger network"). Indeed, Malik expressly states that Jabber servers 215–217 in Figure 2 are "connected to the Internet"—which a person of ordinary skill would understand consists of multiple networks. Ex. 1007, 3:5-9; see id. at 2:55-59; see, e.g., Ex. 3001, 281 (defining "Internet" as "[t]he worldwide collection of networks and gateways that use the TCP/IP suite of protocols to communicate with one another" (emphasis added)). In addition, even if Patent Owner were correct that Malik contemplates Figure 2 as one network,

this would not preclude Petitioner's assertions that modifying that architecture in a manner that reaches the recited "local network" and "external network"—for example, to include a LAN connecting server 215 and its clients, and the Internet connecting server 216 and its clients—would have been obvious to a person of ordinary skill in the art reviewing Malik.

Accordingly, having considered Patent Owner's arguments to the contrary, we determine Petitioner has made a sufficient showing, for purposes of institution of *inter partes* review, that the combination of Malik and Väänänen render obvious the "local network" and "external network" of independent claims 14, 28, 51, and 62.

b. Undisputed Limitations of Challenged Claims

We have considered Petitioner's argument and supporting evidence, including Dr. Forys's testimony, regarding the remaining limitations of independent claims 1, 14, 28, 40, 51, and 62, as well as dependent claims 2, 3, 5, 15, 17, 19, 29, 31, 33, 42, 53, and 64, of the '890 patent. Pet. 19–58; Ex. 1003 ¶¶ 257–347. At this stage of the proceeding, we are persuaded Petitioner has proffered adequate evidence that these limitations are taught or suggested by Malik and Väänänen and has provided sufficiently "articulated reasoning," with "rational underpinning" and evidentiary support, to combine the teachings of these references to predictably yield the recited systems and methods. *See KSR*, 550 U.S. at 418 (quoting *Kahn*, 441 F.3d at 988).

c. Conclusion

In sum, Petitioner has shown a reasonable likelihood of establishing that claims 1–3, 5, 14, 15, 17, 19, 28, 29, 31, 33, 40, 42, 51, 53, 62, and 64 of the '890 patent would have been obvious over Malik and Väänänen.

E. ALLEGED OBVIOUSNESS OVER MALIK, VÄÄNÄNEN, AND DESHPANDE
Petitioner contends that claims 4, 18, 32, 41, 52, and 63 of the
'890 patent would have been obvious over Malik, Väänänen, and
Deshpande. Pet. 59–64. Patent Owner does not specifically dispute
Petitioner's contentions regarding this asserted ground, and instead relies on
its arguments contesting Petitioner's showing that Malik and Väänänen
render obvious the challenged independent claims, addressed above. *See*Prelim. Resp. 18, 21 & n.14.

1. Overview of Deshpande

Deshpande discloses an instant messaging apparatus and method for mobile devices that includes a buddy list, which "may contain a respective list of user names and other information of interest to each respective mobile device." Ex. 1009, [57], ¶¶ 1, 25. Buddy list 275 may be stored in storage device 240 within instant-messaging server 160. *Id.* ¶¶ 18–19, 23, Fig. 2. Mobile device 140, in turn, may download the contents of buddy list 275 from instant-messaging server 160. *Id.* ¶ 46, Fig. 4; *see id.* at [57]. Mobile device 140 may then display buddy list 405 featuring information regarding "selected users" of interest to a user, such as name, location, and reachability status (i.e., online or offline). *Id.*

2. Discussion

Claim 4 of the '890 patent, which depends from independent claim 1, recites: "wherein the client requests a list of recipients associated with the client from the server and the server transmits the list of recipients to the client for selection of the one or more recipients." Ex. 1001, 24:8–12. Dependent claims 18, 32, 41, 52, and 63 feature limitations very similar to those in claim 4. *Id.* at 25:56–60, 27:43–45, 28:41–46, 30:31–37, 32:32–38.

Based on our review of the present record, we agree with Petitioner's showing that Deshpande's disclosures regarding a device downloading a buddy list, containing information of interest to the particular device, from an instant-messaging server and a user selecting users of interest from the list teach the additional limitations of claims 4, 18, 32, 41, 52, and 63. *See* Ex. 1009, [57], ¶¶ 1, 18–19, 23, 25, 46, Figs. 2–4; Pet. 59–60; Ex. 1003 ¶¶ 350–351, 355, 358–364. On this record, we credit Dr. Forys's testimony that a client downloading a buddy list from a server normally involves the client requesting the list and the server transmitting the list. Ex. 1003 ¶ 351 (citing Ex. 1012, 175 (definition of "download")).

We likewise are persuaded, at this stage of the proceeding, by Petitioner's argument and evidence that one of ordinary skill in the art would have been prompted to incorporate Deshpande's buddy list into the combination of Malik and Väänänen, proposed by Petitioner and discussed above in the previous asserted ground, to reach the systems and methods recited in claims 4, 18, 32, 41, 52, and 63. Pet. 59–64; Ex. 1003 ¶¶ 349, 352–360. As Petitioner and Dr. Forys explain, Väänänen discloses that an instant voice message "recipient may be chosen by labeling the recipient with a pointer from the 'contacts' file of the terminal device," i.e., client. Ex. 1008, 6:5-11; Pet. 59; Ex. 1003 ¶ 349. Moreover, in Malik's system, a centralized storage medium, which may be accessible by the client, stores contact parameters, including criteria about whom a user wants to receive presence information. Ex. 1007, 4:54-67; Pet. 60-61; Ex. 1003 ¶ 352. According to Petitioner and Dr. Forys, these teachings of Malik and Väänänen would have motivated one of ordinary skill to seek out means to create and provide a contact list or file of users for recipient selection.

Pet. 59–61; Ex. 1003 ¶¶ 349, 352. In addition, Petitioner argues and Dr. Forys opines that an ordinarily skilled artisan would have recognized that incorporating Deshpande's teachings regarding a buddy list, including the client requesting and the server transmitting the list to the client for recipient selection, would provide "flexibility, mobility, and reliability" and allow a user to maintain an address book without having to manually enter contacts when using a new or different device. Pet. 61–62; Ex. 1003 ¶ 353.

In light of this argument and evidence, we conclude the Petition shows a reasonable likelihood that Petitioner would prevail in showing that claims 4, 18, 32, 41, 52, and 63 of the '890 patent are unpatentable as obvious over Malik, Väänänen, and Deshpande.

F. ALLEGED OBVIOUSNESS OVER MALIK, VÄÄNÄNEN, AND ABBURI Petitioner contends that claims 6, 20, 34, 43, 54, and 65 of the '890 patent would have been obvious over Malik, Väänänen, and Abburi. Pet. 64–68. Patent Owner does not contest Petitioner's assertions regarding these claims beyond its arguments disputing the asserted ground relying on Malik and Väänänen, addressed above. See Prelim. Resp. 18, 21 & n.14.

1. Overview of Abburi

Abburi is directed to a system and method for sending "audio (including voice) messag[es]." Ex. 1015, [57], ¶¶ 1, 32. Abburi explains that a client device "may be configured to record the audio message locally" as an "audio file" or more specifically, a WAV file. *Id.* ¶¶ 38, 40. The client device then transmits the message to the disclosed system and the system delivers the message "to its intended recipients," which "can store and subsequently playback" the message. *Id.* ¶¶ 32, 38, 40, Fig. 1. During

playback, the system may play the audio message as well as a message prerecorded by the user, e.g., stating the user's name. *Id.* ¶ 49.

2. Discussion

Claim 6 of the '890 patent depends from independent claim 1 and further recites: "wherein the client records the instant voice message in an audio file, transmits the audio file to the server, and the server delivers the audio file to the selected recipients, the selected recipients being enabled to audibly play the audio file." Ex. 1001, 24:16–20 (emphases added).

Dependent claims 20, 34, 43, 54, and 65 include similar limitations. Id. at 25:65–26:2, 27:51–57, 28:51–59, 30:42–50, 32:32–38. The main difference between the limitations of each of these claims and the independent claim from which it depends is the addition of the recited "audio file."

Petitioner argues and Dr. Forys testifies that a person of ordinary skill in the art would have recognized that the voice recording generated by the user in Malik "would have been an audio file." Pet. 65 (citing Ex. 1007, 4:33–36; Ex. 1003 ¶ 366); Ex. 1003 ¶ 366. At this stage of the proceeding, we credit Dr. Forys's testimony on this issue. In light of this evidence supporting that Malik would have conveyed the recited "audio file" to one of ordinary skill as well as Petitioner's showing regarding the obviousness of the independent challenged claims over Malik and Väänänen, Petitioner has made a sufficient showing under the reasonable likelihood standard for institution that dependent claims 6, 20, 34, 43, 54, and 65 would have been obvious over Malik and Väänänen. Pet. 65–68; Ex. 1003 ¶¶ 365–366, 368, 372–378. Accordingly, although the Petition does not explicitly include claims 6, 20, 34, 43, 54, and 65 in the asserted ground relying on Malik and

Väänänen, addressed above (see Pet. 3, 19–59), we exercise our discretion to institute review of these claims on this ground. See SightSound Techs., LLC v. Apple Inc., 809 F.3d 1307, 1313–14 (Fed. Cir. 2015); In re Cuozzo Speed Techs., LLC, 793 F.3d 1268, 1272–75 (Fed. Cir. 2015); infra § IV.

In addition, to the extent that Malik and Väänänen do not render obvious an "instant voice message in an audio file" and recording, transmitting, delivering, and playing such a file, as recited in claims 6, 20, 34, 43, 54, and 65, Petitioner contends these limitations were well known in the art and taught by Abburi. Pet. 65–68; Ex. 1003 ¶¶ 367–368, 372–378. At this stage of the proceeding, we agree with Petitioner that the disclosures of Abburi regarding recording, transmitting, delivering, and playing an audio message as an audio file, outlined above, teach the additional limitations of these claims. See Ex. 1015, [57], ¶¶ 1, 32, 38, 40, Fig. 1; Pet. 65–68; Ex. 1003 ¶ 367, 372–378. We likewise find adequate, under the reasonable likelihood standard for institution, Petitioner's argument and evidence that incorporating these teachings of Abburi, regarding audio messaging with audio files, into the combination of Malik and Väänänen, proposed by Petitioner and addressed above, would have been obvious, given that it amounts to no more than applying a known technique to a known system and method ready for improvement to yield predictable results. Pet. 66-68; Ex. 1003 ¶¶ 369–378; see KSR, 550 U.S. at 416–17.

Accordingly, Petitioner has shown a reasonable likelihood of establishing that claims 6, 20, 34, 43, 54, and 65 of the '890 patent would have been obvious over Malik, Väänänen, and Abburi, as well as Malik and Väänänen, and we institute *inter partes* review of these claims on both asserted grounds.

G. ALLEGED OBVIOUSNESS OVER MALIK, VÄÄNÄNEN, ABBURI, AND DANIELL

Petitioner contends that claim 68 of the '890 patent would have been obvious over Malik, Väänänen, Abburi, and Daniell. Pet. 68–70. Patent Owner relies on its arguments contesting Petitioner's obviousness assertions as to independent claim 62, from which claim 68 depends, and does not dispute Petitioner's arguments directed to the additional limitations of claim 68. Prelim. Resp. 18, 21 & n.14.

1. Overview of Daniell

Daniell discusses systems and methods for organizing and managing attachments from electronic messages. Ex. 1010, [57]. Daniell explains that electronic messages may comprise instant messages and may contain electronic files, such as sounds. *Id.* ¶ 3. Daniell further explains that "an attachment is an electronic file that can accompany an electronic mail message" and that the attachment can be of any file format, including a sound file. *Id.* ¶ 5; *see id.* ¶ 3. Daniell discloses an instant messaging client receiving "an electronic message with at least one attachment." *Id.* ¶ 62; *see id.* ¶¶ 59, 63. A copy of the attachment is then saved or stored at the location designated by the recipient user. *Id.* ¶ 68; *see id.* ¶¶ 4, 62, Fig. 11.

2. Discussion

Dependent claim 68 recites: "attaching one or more files to the instant voice message at the client; storing or displaying the one or more attached files at the selected recipients." Ex. 1001, 33:9–14. At this stage of the proceeding, we agree with Petitioner that these additional limitations are taught by Daniell's disclosures, outlined above, regarding attaching electronic files to instant messages, which may contain sounds, and saving

the attachments at the receiving client. Ex. 1010, [57], $\P\P$ 3–5, 59, 62–63, 68, Fig. 11; see Pet. 68–69; Ex. 1003 $\P\P$ 381–382.

Moreover, on the record before us, Petitioner has proffered evidence and argument sufficient to show, for purposes of institution, that one of ordinary skill would have had reason to incorporate these teachings of Daniell into the proposed combination of Malik and Väänänen, discussed above in our analysis of independent claim 62, as well as the proposed combination of Malik, Väänänen, and Abburi, discussed above in our analysis of the asserted ground relying on these references, to reach the method of claim 68. Pet. 68–72; Ex. 1003 ¶¶ 380, 383–385. Petitioner argues and Dr. Forys opines that the motivation to do so would have been to improve efficiency and usability. Pet. 71; Ex. 1003 ¶ 383. According to Petitioner and Dr. Forys, incorporating Daniell's teachings regarding attaching files to instant messages eliminates the need to transmit redundant header information (e.g., sender and recipient addresses) multiple times and allows the recipient to easily correlate related files sent together in one message, as opposed to separate messages. Pet. 71; Ex. 1003 ¶ 383.

For the reasons given above and based on our review of the current record, we determine that Petitioner has demonstrated a reasonable likelihood of showing that Malik, Väänänen, and Daniell—with or without Abburi—render obvious claim 68 and we institute review of claim 68 based on both sets of prior art references. *See SightSound Techs.*, 809 F.3d at 1313–14; *Cuozzo Speed Techs.*, 793 F.3d at 1272–75.

III. CONCLUSION

For the reasons given, having considered the information in the Preliminary Response, we determine that the information in the Petition

establishes a reasonable likelihood that Petitioner would prevail in showing that all challenged claims of the '890 patent—claims 1–6, 14, 15, 17–20, 28, 29, 31–34, 40–43, 51–54, 62–65, and 68—are unpatentable.

Any discussion of facts in this decision is made only for the purpose of institution of *inter partes* review. The Board's final determination will be based on the record as fully developed during trial.

IV. ORDER

Accordingly, it is

ORDERED that pursuant to 35 U.S.C. § 314(a), an *inter partes* review of claims 1–6, 14, 15, 17–20, 28, 29, 31–34, 40–43, 51–54, 62–65, and 68 of U.S. Patent No. 7,535,890 B2 is instituted, commencing on the entry date of this decision;

FURTHER ORDERED that pursuant to 35 U.S.C. § 314(c) and 37 C.F.R. § 42.4, notice is hereby given of the institution of a trial; and FURTHER ORDERED that the trial is limited to the following grounds of unpatentability:

- Claims 1–3, 5, 6, 14, 15, 17, 19, 20, 28, 29, 31, 33, 34, 40, 42, 43, 51, 53, 54, 62, 64, and 65 under 35 U.S.C. § 103 as obvious over Malik and Väänänen;
- Claims 4, 18, 32, 41, 52, and 63 under 35 U.S.C. § 103 as obvious over Malik, Väänänen, and Deshpande;
- Claims 6, 20, 34, 43, 54, and 65 under 35 U.S.C. § 103 as obvious over Malik, Väänänen, and Abburi, and
- Claim 68 under 35 U.S.C. § 103 as obvious over Malik, Väänänen, Abburi, and Daniell, as well as over Malik, Väänänen, and Daniell.

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In Compliance	with 35 U.S.C. § 290 and/or 15 U	U.S.C. § 1116 you are hereby advised that a court action has been Eastern District of Texas on the following		
filed in the U.S. District Court				
		The Transpiret COURT		
OCKET NO. 2:17-cv-0231-JRG	DATE FILED 3/26/2017	Eastern District of Texas		
LAINTIFF		DEFENDANT		
Uniloc USA, Inc. and Uni	iloc Luxembourg S.A.	Google, Inc.		
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PATENT OR	DATE OF PATENT	HOLDER OF PATENT OR TRADEMARK		
TRADEMARK NO.	OR TRADEMARK	Uniloc Luxembourg S.A.		
1 8,724,622	5/13/2014			
2 8,995,433	5/31/2015	Uniloc Luxembourg S.A.		
	5/19/2009	Uniloc Luxembourg S.A.		
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DOCKET NO. DATE FILED U.S. DISTRICT COURT Eastern District of Texas, Marshall Division				hall Division	
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG	l		DEFENDANT SHORETEL, INC.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1 7,535,890	5/19/2009	UNIL	OC LUXEMBOURG, S.A.		
2 8,995,433	3/31/2015	UNIL	UNILOC LUXEMBOURG, S.A.		
3 8,724,622	5/13/2014	UNIL	UNILOC LUXEMBOURG, S.A.		
4 8,243,723	8/14/2012	UNIL	UNILOC LUXEMBOURG, S.A.		
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DECISION/JUDGEMENT Any and all claims by Uniloc USA, Inc. and Uniloc Luxembourg S.A. (collectively, "Uniloc") against ShoreTel, Inc. ("ShoreTel") are DISMISSED WITH PREJUDICE					
CLERK (BY) DEPUTY CLERK DATE Nakisha Love 4/19/17					

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DOCKET NO. 2:16-cv-641 DATE FILED U.S. DISTRICT COURT Eastern District of Texas, Marshall Division				hall Division
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A.			DEFENDANT LINE EURO-AMERICAS CORP. & LINE CORPORATION	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMAR	
1 8,724,622	5/13/2014	UNII	UNILOC LUXEMBOURG, S.A.	
2 8,995,433	3/31/2015	UNIL	UNILOC LUXEMBOURG, S.A.	
3 7,535,890	5/19/2009	UNIL	UNILOC LUXEMBOURG, S.A.	
4 8,199,747	6/12/2012	UNIL	UNILOC LUXEMBOURG, S.A.	
5 8,243,723	8/14/2012	UNII	UNILOC LUXEMBOURG, S.A.	
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DECISION/JUDGEMENT Any and all claims by Uniloc against Line Euro-Americas Corporation and Line Corporation (together, "Line") are DISMISSED WITH PREJUDICE				
CLERK	,	Y) DEPUTY		DATE
Nakisha Love 4/18/17				4/18/17

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DOCKET NO. DATE FILED U.S. DISTRICT COURT 2:17-cv-349 4/21/2017 Eastern District of Texas					
PLAINTIFF UNILOC USA, INC. and UNILOC LUXEMBOURG	······································	DEFENDANT HIKE LTD.			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
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DOCKET NO. DATE FILED U.S. DISTRICT COURT 2:17-cv-347 4/21/2017 Eastern District of Texas				
PLAINTIFF UNILOC USA, INC. and UNILOC LUXEMBOURG, S.A.			DEFENDANT KIK INTERACTIVE, INC.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		
1 8,724,622	5/13/2014	Uniloc Luxembourg S.A.		
2 8,995,433	5/31/2015	Uniloc Luxembourg S.A.		
3 7,535,890	5/19/2009	Uniloc Luxembourg S.A.		
4 8,199,747	6/12/2012	Uniloc Luxembourg S.A.		
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