

UNITED STATES PATENT AND TRADEMARK OFFICE

---

BEFORE THE PATENT TRIAL AND APPEAL BOARD

---

SONY CORPORATION,  
Petitioner

v.

ARRIS ENTERPRISES LLC,  
Patent Owner

---

Case IPR2017-01961  
Patent 6,934,148

---

**PATENT OWNER ARRIS ENTERPRISES LLC'S  
MANDATORY NOTICES**

**PATENT OWNER'S MANDATORY NOTICES  
UNDER 37 C.F.R. § 42.8**

Pursuant to 37 C.F.R. § 42.8, the patent owner, ARRIS Enterprises LLC (“Patent Owner”), hereby submits the following Mandatory Notices in response to the Petition for *Inter Partes* Review of U.S. Patent No. 6,934,148.

**1. Real Party-In-Interest under 37 CFR § 42.8(b)(1)**

ARRIS Enterprises LLC is the Patent Owner and the Real Party-In-Interest in this proceeding. ARRIS International plc is also a Real Party-In-Interest in this proceeding.

**2. Related Matters under 37 CFR § 42.8(b)(2)**

The following judicial or administrative matters may affect or be affected by a decision in this proceeding:

*ARRIS Enters. LLC v. Sony Corp. et al.*, Case No. 3:17-cv-02669-NC (N.D. Cal., filed May 9, 2017), now stayed.

*Certain Consumer Electronic Devices, Including Televisions, Gaming Consoles, Mobile Phones and Tablets, and Network-Enabled DVD and Blu-Ray Players*, Inv. No. 337-TA-1060 (USITC May 9, 2017).

Patent Owner believes that the '148 patent is not and has not been involved in any other litigations, or any proceedings before the patent trial and appeal board.

**3. Lead and Back-Up Counsel, under 37 CFR § 42.8(b)(3)**

The Patent Owner designates the following lead and back-up counsel:


LEAD COUNSEL	BACK-UP COUNSEL
Christopher O. Green, Reg. No. 52,964 3200 RBC Plaza 60 South Sixth Street Minneapolis, MN 55402 Tel: (404) 724-2777 Email: <a href="mailto:IPR39328-0012IP3@fr.com">IPR39328-0012IP3@fr.com</a> Fax: 877-769-7945	Jeremy Monaldo, Reg. No. 58,680 3200 RBC Plaza 60 South Sixth Street Minneapolis, MN 55402 Tel: 202-783-5070 Email: <a href="mailto:PTABInbound@fr.com">PTABInbound@fr.com</a> Fax: 877-769-7945  Erin Alper, Reg. No. 67,205 3200 RBC Plaza 60 South Sixth Street Minneapolis, MN 55402 Tel: (404) 724-2848 Email: <a href="mailto:PTABInbound@fr.com">PTABInbound@fr.com</a> Fax: 877-769-7945

**4. Service Information under 37 CFR § 42.8(b)(4)(i) *et seq.***

Please address all correspondence and service to both counsel as listed above. Patent Owner consents to service by email at [IPR39328-0012IP3@fr.com](mailto:IPR39328-0012IP3@fr.com) (ref.: Docket No. 39328-0012IP3), with a copy to [cgreen@fr.com](mailto:cgreen@fr.com), [monaldo@fr.com](mailto:monaldo@fr.com) and [alper@fr.com](mailto:alper@fr.com).

Respectfully submitted,

Date: 10 / 10 / 2017

  
\_\_\_\_\_  
Erin Alper  
Reg. No. 67,205

Customer Number 26171  
Fish & Richardson P.C.  
Telephone: (404) 724-2777  
Facsimile: (877) 769-7945

## CERTIFICATE OF SERVICE

Pursuant to 37 CFR § 42.6(e), the undersigned certifies that on October 10, 2017, a complete and entire copy of this Patent Owners Mandatory Notices and Powers of Attorney were provided via email, to the Petitioner by serving the correspondence addresses of record as follows:

Clifford A. Ulrich  
Andrews Kurth Kenyon LLP  
One Broadway  
New York, NY 10004

Email: [culrich@andrewskurthkenyon.com](mailto:culrich@andrewskurthkenyon.com)

\_\_\_\_\_/Diana Bradley/  
Diana Bradley  
Fish & Richardson P.C.  
60 South Sixth Street, Suite 3200  
Minneapolis, MN 55402  
(858) 678-5667