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#### UNITED STATES PATENT AND TRADEMARK OFFICE

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#### BEFORE THE PATENT TRIAL AND APPEAL BOARD

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TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD.
Petitioner

v.

GODO KAISHA IP BRIDGE 1 Patent Owner.

Case IPR2017-01841<sup>1</sup>

## PETITIONER'S SUR-SUR-REPLY PURSUANT TO JULY 20, 2018 ORDER

<sup>&</sup>lt;sup>1</sup> Case IPR2017-01842 has been consolidated with this proceeding.



Petitioner's Sur-Sur-Reply Pursuant to July 20, 2018 Order

Claim 1 recites a transistor (MISFET) that includes conventional features such as an active region, a source, a drain, and a gate. Pet., 1, 8, 14. The Petition demonstrated how Igarashi's Fig. 12 MISFETs include these elements. Pet., 17, 23-33, 39-41. This is true whether Igarashi's Fig. 12 is viewed as having one active region or more than one active region. Pet. 24-33; Reply, 19-22. PO attempted to distinguish Igarashi by arguing "active region" requires one-to-one correspondence between a MISFET and an active region. E.g., POR, 74-75; POPR, 29-30. Agata (Ex. 1025) and Rashed (Ex. 1026) unambiguously show multiple transistors formed in an "active region," refuting PO's position. Reply, 10-13. PO does not dispute this. Sur-reply, 1-3. Likewise, Dr. Glew backed away from his prior testimony and testified he had no opinion on the term "active region." *Compare* Ex. 2007, ¶62-85, 148-149 with Ex. 1029, 46:1-47:6.

PO's sur-reply instead argues the MISFETs in Igarashi's Fig. 12 do not "include" an active region. The Board has rightly rejected this argument. DI, 9. PO's sur-reply does not alter this conclusion.

First, PO's argument that the Reply mischaracterized Dr. Glew's testimony fails. Sur-reply, 2. It did not. Compare Reply, 20-21 with Ex. 1024 at 94:13-95:7. Dr. Glew confirms that he stands by his testimony that "includes" is an open-ended term like comprises. Ex. 1029, 93:15-22; Ex. 1024 at 94:13-95:7. The term "includes" does not prevent the MISFET from including other features or prevent



Petitioner's Sur-Sur-Reply Pursuant to July 20, 2018 Order

other MISFETS from being formed in the same active region. Moreover, PO's supposed "one-to-one correspondence" (Sur-reply, 1-3) is directly contradicted by PO's infringement contentions. Reply, 6-7, *citing* Ex. 1021, 32. Ex. 1027, ¶11. PO's contradictory positions cannot be reconciled, nor does PO even try.

Second, Dr. Glew's inability to answer basic questions on cross shows his attempts to reconcile his testimony with Agata and Rashed are not credible. For example, when Dr. Glew's drawing of a MISFET that "includes" an active region (Ex. 1028, Fig. 1) was reproduced with the isolation regions spaced further apart (Ex. 1028, Fig. 2), Dr. Glew was unable to say whether the same MISFET still "included" an active region. Ex. 1029, 16:4-23. Similarly, when Petitioner attempted to obtain Dr. Glew's opinion of whether the MISFET would still "include" an active region if a second transistor were added, Dr. Glew refused to draw a second transistor and testified he had no opinion. Ex. 1029, 19:3-11.

Dr. Glew was also unable to say whether the device in Igarashi's Fig. 12 "includes" an active region, demonstrating that his attempts to distinguish Agata and Rashed are not credible. Ex. 1029, 62:5-63:9. Dr. Glew's answers on cross-examination also reveal the superficial nature of his analysis. Dr. Glew previously testified that "the active region is the region where the transistor is formed." Ex. 1024, 43:10-14. When asked on cross whether "each transistor in Figure 12



includes an area where the transistor is formed," he testified, "[T]his is not an opinion I've given or analyzed." Ex. 1029, 63:10-20.

*Third*, Dr. Glew's testimony confirms PO's position "doesn't make physical sense." When asked whether, under his interpretation, the active region of claim 1 could be divided by isolation regions (as recited in claim 10), Dr. Glew testified that "it doesn't make physical sense." Ex. 1029, 66:23-67:3; Ex. 1001, claim 10.

Fourth, Dr. Glew confirms that Igarashi's Fig. 12 MISFETs "include" an active region. When asked what components are in an active region, Dr. Glew testified that it "would include, at least... the source channel and drain regions for a typical transistor." Ex. 1029, 70:5-12. There is no dispute that Igarashi's identified "active region" includes these same components. See e.g., Petition, 16-17, 23, 39-41, citing Ex. 1002, ¶¶47-48, 88-90, Ex. 1004, Fig. 12, [0044], [0068].

Fifth, PO's attempt to rebut Dr. Shanfield's showing that "all functional MOSFET transistors have an active region" fails. PO has not identified a single reference that describes a MISFET as *not* including an active region—because *all* functional transistors include an active region. E.g., Reply, 10-11; Pet. 7-13.

Dated: August 8, 2018 Respectfully Submitted,

/Michael Smith/ Michael H. Smith, Reg. No. 71,190



### <u>PETITIONER'S LIST OF EXHIBITS FOR</u> <u>IPR2017-01841</u>

Exhibit	Description
1001	U.S. Patent No. 7,893,501
1002	Declaration of Stanley R. Shanfield, Ph.D. Regarding U.S. Patent No. 7,893,501, Claims 1, 4, 7, 9-11, 14, 16-18, and 23-25 ("Shanfield Decl.")
1003	Applicant's Amendment and Response dated August 6, 2010
1004	U.S. Patent Publication No. 2002/0145156 to Igarashi et al. ("Igarashi")
1005	U.S. Patent No. 5,960,270 to Misra et al. ("Misra")
1006	U.S. Patent No. 6,406,963 to Woerlee et al. ("Woerlee")
1007	Notice of Allowance dated October 15, 2010
1008	J. Plummer et al., Silicon VLSI Technology: Fundamentals, Practice and Modeling, (1st ed. 2000)
1009	W.O. Publication No. 2002/043151 with certified English translation ("Shimizu")
1010	J. Rabaey et al., <i>Digital Integrated Circuits</i> , at 40-44 (2d ed. 2003) ("Rabaey")
1011	S. Kang and Y. Leblebici, <i>CMOS Digital Integrated Circuits: Analysis and Design</i> , (2d. ed. 2003) ("Kang")
1012	K. Maex, <i>Simply irresistible silicides</i> , Physics World, at 35-39 (Nov. 1995)
1013	U.S. Patent No. 6,806,584



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