

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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OLYMPUS CORPORATION, OLYMPUS AMERICA INC.,  
SAMSUNG ELECTRONICS CO., LTD., AND  
SAMSUNG ELECTRONICS AMERICA, INC.,  
Petitioner,

v.

PAPST LICENSING GMBH & CO. KG,  
Patent Owner.

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Case IPR2017-01808  
Patent 6,470,399 B2

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**PAPST LICENSING GMBH & CO. KG'S  
MANDATORY NOTICES  
UNDER 37 C.F.R. § 42.8(4)**

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United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, Virginia 22313-145

Patent Owner Papst Licensing GmbH & Co. KG, hereby files its Mandatory Notices for the above-captioned proceeding.

**I. Real Parties-In-Interest Under 37 C.F.R. § 42.8(b)(1)**

The real party-in-interest is Papst Licensing GmbH & Co. KG.

**II. Related Matters Under 37 C.F.R. § 42.8(b)(2)**

Patent Owner notes the following matters that may affect or be affected by a decision in this proceeding:

**A. Proceedings Before the USPTO**

<b>U.S. Pat. No. 6,895,449</b>	<b>First Named Petitioner</b>
IPR2017-00415	Huawei Device Co., Ltd
IPR2017-00448	Huawei Device Co., Ltd.
IPR2017-00713	ZTE (USA) Inc.
IPR2017-01617	Olympus Corp.
<b>U.S. Pat. No. 6,470,399</b>	<b>First Named Petitioner</b>
IPR2016-01839	Apple Inc.
IPR2016-01843	Apple Inc.
IPR2016-01864	Apple Inc.
IPR2016-00443	Huawei Device Co., Ltd.
IPR2017-00714	ZTE (USA) Inc.
IPR2017-01682	Olympus Corp.
<b>U.S. Pat. No. 8,504,746</b>	<b>First Named Petitioner</b>
IPR2016-01200	FUJIFILM Corp.
IPR2016-01206	Olympus Corp.
IPR2016-01211	Canon Inc.
IPR2016-01213	JVC Kenwood Corp.
IPR2016-01223	Panasonic Corp.
IPR2016-01224	Canon Inc.
IPR2016-01862	Apple Inc.

IPR2016-01863	Apple Inc.
IPR2017-00158	Apple Inc.
IPR2017-00449	Huawei Technologies Co., Ltd.
IPR2017-00678	LG Electronics, Inc.
IPR2017-00710	Huawei Technologies Co., Ltd.
<b>U.S. Pat. No. 8,966,144</b>	<b>First Named Petitioner</b>
IPR2016-01199	FUJIFILM Corp.
IPR2016-01202	Olympus Corp.
IPR2016-01212	Canon Inc.
IPR2016-01214	JVC Kenwood Corporation
IPR2016-01216	Canon Inc.
IPR2016-01222	Canon Inc.
IPR2016-01225	Panasonic Corp.
IPR2016-01849	Apple Inc.
IPR2016-01860	Apple Inc.
IPR2017-00154	Apple Inc.
IPR2017-00670	Apple Inc.
IPR2017-00672	LG Electronics Inc.
IPR2017-00679	Apple Inc.
IPR2017-00711	ZTE (USA) Inc.
<b>U.S. Pat. No. 9,189,437</b>	<b>First Named Petitioner</b>
IPR2016-01733	Samsung Electronics Co., Ltd.
IPR2016-01840	Apple Inc.
IPR2016-01841	Apple Inc.
IPR2016-01842	Apple Inc.
IPR2016-01844	Apple Inc.
IPR2017-00156	Apple Inc.
IPR2017-00712	ZTE (USA) Inc.
IPR2017-01038	LG Electronics, Inc.

## B. Related Litigations

Case Name	Case No.	Court	Filed
<i>In re: Papst Licensing Digital Camera Patent Litigation—MDL No. 1880</i>	1:07-mc-00493	D.D.C.	Nov. 16, 2007
<i>Papst Licensing GmbH &amp; Co. KG v.</i>	6:15-cv-01095	E.D. Tex.	Nov. 30, 2015

<i>Apple, Inc.</i>			
<i>Papst Licensing GmbH &amp; Co. KG v. LG Electronics, Inc. et al</i>	6:15-cv-01099	E.D. Tex.	Nov. 30, 2015
<i>Papst Licensing GmbH &amp; Co. KG v. ZTE Corporation et al</i>	6:15-cv-01100	E.D. Tex.	Nov. 30, 2015
<i>Papst Licensing GmbH &amp; Co. KG v. Samsung Electronics Co. Ltd. et al.</i>	6:15-cv-01102	E.D. Tex.	Nov. 30, 2015
<i>Papst Licensing GmbH &amp; Co., KG v. Lenovo (United States) Inc et al.</i>	6:15-cv-01111	E.D. Tex.	Nov. 30, 2015
<i>Papst Licensing GmbH &amp; Co. KG v. Huawei Technologies Co., Ltd. et al.</i>	6:15-cv-01115	E.D. Tex.	Nov. 30, 2015
<i>Hewlett-Packard Company v. Papst Licensing GmbH &amp; Co., KG</i>	3:15-cv-02101	N.D. Cal.	May 8, 2015
<i>Papst Licensing GmbH &amp; Co., KG v. HP Inc.</i>	3:16-cv-00575	N.D. Cal.	Feb. 5, 2016
<i>Papst Licensing GmbH &amp; Co., KG v. Canon Inc. et al</i>	1:15-cv-01692	D.D.C.	Oct. 5, 2015
<i>Papst Licensing GmbH &amp; Co., KG v. FUJIFILM Corporation et al</i>	1:15-cv-01693	D.D.C.	Oct. 5, 2015
<i>Papst Licensing GmbH &amp; Co., KG v. JVC Kenwood Corporation et al</i>	1:15-cv-01747	D.D.C.	Oct. 5, 2015
<i>Papst Licensing GmbH &amp; Co., KG v. Nikon Corporation et al</i>	1:15-cv-01748	D.D.C.	Oct. 5, 2015
<i>Papst Licensing GmbH &amp; Co., KG v. Olympus Corporation et al</i>	1:15-cv-01749	D.D.C.	Oct. 5, 2015
<i>Papst Licensing GmbH &amp; Co., KG v. Panasonic Corporation et al</i>	1:15-cv-01750	D.D.C.	Oct. 5, 2015
<i>Papst Licensing GmbH &amp; Co., KG v. Canon Inc. et al</i>	1:15-cv-00495	D. Del.	June 15, 2015
<i>Papst Licensing GmbH &amp; Co., KG v. FUJIFILM Corporation et al</i>	1:15-cv-00496	D. Del.	June 15, 2015
<i>Papst Licensing GmbH &amp; Co., KG v. HP Inc.</i>	1:15-cv-00497	D. Del.	June 15, 2015
<i>Papst Licensing GmbH &amp; Co., KG v. JVC Kenwood Corporation et al</i>	1:15-cv-00498	D. Del.	June 15, 2015
<i>Papst Licensing GmbH &amp; Co., KG v. Nikon Corporation et al</i>	1:15-cv-00499	D. Del.	June 15, 2015
<i>Papst Licensing GmbH &amp; Co., KG</i>	1:15-cv-00500	D. Del.	June 15, 2015

<i>v. Olympus Corporation et al</i>			
<i>Papst Licensing GmbH &amp; Co., KG</i> <i>v. Panasonic Corporation et al</i>	1:15-cv-00501	D. Del.	June 15, 2015

### **C. Related Administrative Matters**

The applications that resulted in U.S. Patents 6,895,449, 9,189,437, 8,966,144, and 8,504,746, which are the subject of the IPR petitions listed above in Section II.A, and U.S. Patent Application No. 14/859,266, each claim the benefit of the filing date of U.S. Patent No. 6,470,399.

### **III. Lead and Back-Up Counsel Under 37 C.F.R. § 42.8(b)(3)**

Patent Owner identifies lead and back-up counsel as follows:

#### **A. Lead Counsel**

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