AO 120 (Rev. 08/10)

TO:

#### Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexandria, VA 22313-1450		TRADEMARK			
filed in the U.S. Distr		rn Distric	§ 1116 you are hereby advised that a court action has been on the following es 35 U.S.C. § 292.):		
DOCKET NO. 2:16-cv-777	DATE FILED 7/15/2016	U.S. DI	ISTRICT COURT Eastern District of Texas, Marshall Division		
PLAINTIFF	7/13/2016		DEFENDANT		
UNILOC USA, INC., and UNILOC LUXEMBOURG			AVAYA INC.,		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1 7,535,890	5/19/2009	UNI	LOC LUXEMBOURG, S.A.		
2 8,995,433	3/31/2015	UNI	LOC LUXEMBOURG, S.A.		
3 8,724,622	5/13/2014	UNI	LOC LUXEMBOURG, S.A.		
4 8,243,723	8/14/2012	UNI	LOC LUXEMBOURG, S.A.		
5 8,199,747	6/12/2012	UNI	LOC LUXEMBOURG, S.A.		
	In the above—entitled case, the	e following	g patent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY	endment	☐ Answer ☐ Cross Bill ☐ Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1					
2					
3					
4					
5					
	e—entitled case, the following	decision h	as been rendered or judgement issued:		
DECISION/JUDGEMENT					
CLERK	I(BY	() DEPUTY	Y CLERK DATE		

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

AO 120 (Rev. 08/10)

TO:

# Mail Stop 8 Director of the U.S. Patent and Trademark Office

# REPORT ON THE FILING OR DETERMINATION OF AN

P.O. Box 1450 Alexandria, VA 22313-1450		ACTION REGARDING A TRADEMAI			
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division on the following  ☐ Trademarks or Patents. (☐ the patent action involves 35 U.S.C. § 292.):					
DOCKET NO. 2:16-cv-779 DATE FILED U.S. DISTRICT COURT Eastern District of Texas, Marshall Division					
PLAINTIFF  UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A.			DEFENDANT SHORETEL, INC.	Tall 5 Wold.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	ADEMARK	
1 7,535,890	5/19/2009	UNII	LOC LUXEMBOURG, S.A.		
2 8,995,433	3/31/2015	UNIL	LOC LUXEMBOURG, S.A.		
3 8,724,622	5/13/2014	UNIL	LOC LUXEMBOURG, S.A.		
4 8,243,723	8/14/2012	UNIL	UNILOC LUXEMBOURG, S.A.		
5					
	In the above—entitled case	e the following	patent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY	Amendment	☐ Answer ☐ Cross Bill [	Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	-	
1					
2					
3					
4					
5					
In the above—entitled case, the following decision has been rendered or judgement issued:					
DECISION/JUDGEMENT					
CLERK		(BY) DEPUTY	CLERK	DATE	

 $Copy\ 1-Upon\ initiation\ of\ action,\ mail\ this\ copy\ to\ Director\quad Copy\ 3-Upon\ termination\ of\ action,\ mail\ this\ copy\ to\ Director\quad Copy\ 4-Case\ file\ copy$ 



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEFARMENT OF A COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PARCHARD AND AUGUST 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 10/740,030 12/18/2003 EMP0021-US Michael J. Rojas

67050 KASHA LAW LLC 14532 Dufief Mill Road North Potomac, MD 20878

**CONFIRMATION NO. 1731 POA ACCEPTANCE LETTER** 



Date Mailed: 09/10/2013

#### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 08/27/2013.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/sharris/ Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

page 1 of 1



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEFARMENT OF A COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PARCHARD AND AUGUST 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 10/740,030 12/18/2003 Michael J. Rojas 17188

23389 SCULLY SCOTT MURPHY & PRESSER, PC 400 GARDEN CITY PLAZA SUITE 300 GARDEN CITY, NY 11530

**CONFIRMATION NO. 1731 POWER OF ATTORNEY NOTICE** 



Date Mailed: 09/10/2013

#### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 08/27/2013.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/sharris/			

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

PTC/SB/81 (01-08)
Approved for use Scroop's 11/90/2011, CARD 0851-0035
U.S. Paters and Trademark Office, U.S. DEPARTMENT OF COMMISSION

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a ballection of information unless in depleys a valid OMS control number

# POWER OF ATTORNEY RO REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY CHANGE OF CORRESPONDENCE ADDRESS

Application Number	10740090
Filing Date	2003-12-18
First Named Inventor	Michael J. Rojas
Title	System and Method for Instant Vol? Messager
Art Unit	2814
Examiner Name	Creighton H. Smith
Attorney Docket Number	EMPOCALUS /

I hereby revoke all	previous powers of altomey given in the	above-dentif	led application
A Power of Alto	may is a common herewith.		
OR Chereby appoint Number as my/o identified above	OR I hereby appoint Preditioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office corrected therewith:		67050
[m] Thereby appoint	Preditioner(s) named below as mylour attorney( mineso in the United States Potent and Tradema		
	Practitionar(s) Name		Registration Number
Pleasa recooniza o	r change the correspondence address :	for the above-a	dentified application to
	activities with the above-mentioned Customer Nor		
OR OR	P		
The address ass	ociated with Customer Number:		
OR	L		
C Firm or Individual Name			
Address			
City		Siste	[ 7,0 ]
Country Telephone		Email	
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Officers/Invent	yr.		
	ed of the entire interest. See 37 CFR 3.74 37 CFR 3.73(b) (Form FTO/SB/96) submitted for	rewith or filed on .	······································
	SIGNATURE of Applicant	or Assignes of R	ecord .
Sgrature			Date 32. 1
Name	Daniel Milify		Telegricos
Title and Company	Principal Empire IP LLC		
			efs) are request. Sommit must be formed more than one
X 700001	forms are submitted.		

This cosection of information is required by 37 CPP 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is in the (and by the USPTC to process) an application. Confidentiality is governed by 36 U.S.C. 122 and 37 CPP 1.11 and 1.14. This obtains in estimated to take 3 natures to complete. ADDRESS SEND TO. Commissioner for Patents, P.O. Box 1450, Alexandris, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and setted cation 2.

Approved for use through 07/31/2012, CMS 9851-0031 U.S. Patent and Trademann Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of referentian unless it displays a valid CMS control number.

STATEMENT UNDER 37 CFR 2 73(b)						
Applicant/Patent Owner: Empire IP LLC	Applicant/Patent Owner: Empire IP LLC					
Application No./Patent No.: 7,535,890 Filed/(sure Date: 2009-05-19						
Titled: System and Method for Instant VolP N						
Gypton and made ou meant 400 m	and and the state of the state					
Empire IP LLC	a Corporation					
(Name of Assignee)	(Type of Assign	ver, e.g., corporation, partitionalis, university, government agency, etc.				
states that it is						
1. X the assignee of the entire right, title, and	enterest in:					
an assignee of less than the entire right,     (The extent (by percentage) of its owner.)	60e, and interest in ship interest is					
3. The assignee of an undivided interest in t	he entirety of (a comple	nte assignment from one of the joint inventors was made)				
the patent application/patent identified above, by viri	ue of either:					
An assignment from the inventor(s) of the the United States Patent and Trademark copy therefore is attached.  OR	e patent application/pat Office at Reet	ent identified above. The assignment was recorded in, or for which a				
8 🐰 A chain of tille from the inventor(s), of the	a patent application/pat	ent identified above, to the current assignes as follows:				
1, From: Rojas, Michael J.		To: Ayalogic, Inc.				
The document was recorded in		ent and Trademark Office at				
Reel <u>014827</u>	Frame 0059	or for which a copy thereof is sitisched.				
2. From: <u>Ayalogic, inc.</u>		To: Empire IP LLC				
The document was recorded in						
Red <u>030922</u>	Frame 0335	or for which a copy thereof is attached.				
3. From:		To:				
The document was recorded in						
Roel	Frame	or for which a copy thereof is strached.				
Additional documents in the chain of title are listed on a supplemental sheat(s).						
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.						
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]						
The undersigned (whose title is supplied below) is a	dhariaed to act on beh					
		<u> </u>				
		Date				
Daniel Mitry		Principal				
Printed or Typed Norre		7.86				

This collection of information is required by 37 CFR 3.73(s). The information is required to obtain or retain a benefit by the public which is to (is (and by the UIPTO to provided by a population). Confidentiality is governed by 35 U.S.C. (22 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including galbering, providing, and information first completed application form to the USPTO. This will vary depending uson the manyidual case. Any comments on the annuals of size you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Sox 1450, Alexandria, VA. 22013-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ACCIDES. SEND YO: Commissionar for Patents, P.O. Sox 1456, Alexandria, VA. 22313-1456,

Electronic Acknowledgement Receipt				
EFS ID:	16696004			
Application Number:	10740030			
International Application Number:				
Confirmation Number:	1731			
Title of Invention:	SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING			
First Named Inventor/Applicant Name:	Michael J. Rojas			
Customer Number:	23389			
Filer:	John Kasha			
Filer Authorized By:				
Attorney Docket Number:	17188			
Receipt Date:	27-AUG-2013			
Filing Date:	18-DEC-2003			
Time Stamp:	13:36:56			
Application Type:	Utility under 35 USC 111(a)			

# Payment information:

Submitted with Payment	no
File Listing:	

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	EMP0021-US poa signed1.pdf	384208	no	2
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Warnings					

#### **Warnings:**

Information:

#### Total Files Size (in bytes):

384208

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



#### UNITED STATES PATENT AND TRADEMARK OFFICE

04/29/2009

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P. O. BOX 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/7/0.030	05/10/2000	7535800	17199	1731

23389 7590

SCULLY SCOTT MURPHY & PRESSER, PC 400 GARDEN CITY PLAZA SUITE 300 GARDEN CITY, NY 11530

### **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 799 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Michael J. Rojas, North Canton, OH;



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/740,030	12/18/2003 Michael J. Rojas		17188 1731		
	7590 04/22/200 TT MURPHY & PRES	EXAMINER			
400 GARDEN CITY PLAZA			SMITH, CREIGHTON H		
SUITE 300 GARDEN CITY, NY 11530		ART UNIT	PAPER NUMBER		
			2614		
		MAIL DATE	DELIVERY MODE		
			04/22/2009	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)	
	10/740,030	ROJAS, MICHAEL	J.
Notice of Allowability	Examiner	Art Unit	
	CREIGHTON SMITH	2614	
The MAILING DATE of this communication apperature All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313 1.   1.   This communication is responsive to ids filed on 02.27.09.	(OR REMAINS) CLOSED or other appropriate comm IGHTS. This application is	in this application. If not includ nunication will be mailed in due	led course. <b>THIS</b>
2. X The allowed claim(s) is/are 1-5,7-20,22-35,37-45,47-57,59	-69 and 71-76.		
3. Acknowledgment is made of a claim for foreign priority ur  a) All b) Some* c) None of the:  1. Certified copies of the priority documents have  2. Certified copies of the priority documents have  3. Copies of the certified copies of the priority documents have  International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:	nder 35 U.S.C. § 119(a)-(d) be been received. be been received in Applicat	ion No	ation from the
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		e a reply complying with the re	equirements
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			NOTICE OF
<ol> <li>CORRECTED DRAWINGS ( as "replacement sheets") must (a) including changes required by the Notice of Draftspers 1) hereto or 2) to Paper No./Mail Date</li> <li>(b) including changes required by the attached Examiner's Paper No./Mail Date</li> <li>Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in the should be labeled as should be labeled as such in the should be labeled as should</li></ol>	on's Patent Drawing Revie s Amendment / Comment o	or in the Office action of the drawings in the front (not the	e back) of
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT			Note the
Attachment(s)	C Matter of	of annual Dataset Application	
<ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftperson's Patent Drawing Review (PTO-948)</li> </ol>	<u>=</u>	nformal Patent Application Summary (PTO-413),	
	Paper No	./Mail Datè	
<ul> <li>3.  \( \sum \) Information Disclosure Statements (PTO/SB/08),         Paper No./Mail Date <u>02.27.09</u></li> <li>4.  \( \sum \) Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> </ul>	_	s Amendment/Comment s Statement of Reasons for Allo	owance
or biological Material	9. 🔲 Other	<u>_</u> .	
/CREIGHTON SMITH/ Primary Examiner, Art Unit 2614	15 APR '09		

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE			Atty. Docket No. (Optional)	Application Number				
		ON DISCLOSURE veral sheets if neces		17188	10/740,030			
		·		Applicant(s) Michael Rojas				
				Filing Date December 18, 2003		Group Art U 2614	nit	
			U.S. PA	TENT DOCUMENTS				
EXAMINER INITIAL*		DOCUMENT NUMB	ER DATE	NAME	CLASS	SUBCLASS		G DATE ropriate)
	AA							
	AB							
	AC							
	<b>s</b>	The state of the s	FOREIGN	PATENT DOCUMEN	TS			
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
		NOMBER					YES	NO
	kemaan mana manaha		OTHE	R DOCUMENTS (Including	ig Author, Title,	Date, Pertinent	Pages, Etc.)	)
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			-	sh/?zone=3100-V21P; "				
		http://www.linuxo		ticles/AT5199947519.1	<u>ıtml</u> ; "Devi	ce Profile:	snom 10	00 VoIP
		SIP phone; Decen	nber 8, 2003.	ssa.jsp; "No limits with				
		AudioCoded Enal copyright 2003.	bling Technolo	ogy Products, TPM-110	0 VoP Medi	a Gateway N	Modules,	
EXAMINER		ighton Smith/		DATE CONSIDERED	04/15/2009			atu diwinami i
* EXAMINEI	R: Initial	if reference considered, when with ne	hether or not citation	   is in conformance with MPEP 6   applicant	09; draw line thro	ough citation if ne	ot in conforn	nance and

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# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/740,030	12/18/2003	Michael J. Rojas	17188	1731
	7590 04/16/200 TT MURPHY & PRES		EXAM	IINER
400 GARDEN SUITE 300			SMITH, CRI	EIGHTON H
GARDEN CIT	Y, NY 11530		ART UNIT	PAPER NUMBER
			2614	
			MAIL DATE	DELIVERY MODE
			04/16/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)					
supplemental							
Notice of Allowability	10/740,030 <b>Examiner</b>	ROJAS, MICHAEL J.  Art Unit					
-	CREIGHTON SMITH	2614					
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication IGHTS. This application is subject to and MPEP 1308.	plication. If not included will be mailed in due course. <b>THIS</b>					
1. This communication is responsive to ids filed on 27 FEB '0	<u>9</u> .						
2. The allowed claim(s) is/are <u>1-5,7-20,22-35,37-45,47-57,59</u>	- <u>69 and 71-76</u> .						
Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some* c) None of the:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.							
<ul> <li>THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.</li> <li>4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give</li> </ul>							
<ul> <li>(a) ☐ including changes required by the Notice of Draftspers</li> <li>1) ☐ hereto or 2) ☐ to Paper No./Mail Date</li> <li>(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date</li> <li>Identifying indicia such as the application number (see 37 CFR 1.</li> </ul>	<ul> <li>5. ☐ CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.</li> <li>(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached</li> <li>1) ☐ hereto or 2) ☐ to Paper No./Mail Date</li> <li>(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of</li> </ul>						
each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).  6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.							
<ul> <li>Attachment(s)</li> <li>1. ☐ Notice of References Cited (PTO-892)</li> <li>2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>3. ☑ Information Disclosure Statements (PTO/SB/08),</li></ul>	5. ☐ Notice of Informal P 6. ☐ Interview Summary Paper No./Mail Dat 7. ☐ Examiner's Amenda 8. ☐ Examiner's Stateme 9. ☐ Other	(PTO-413), e					
/CREIGHTON SMITH/ Primary Examiner, Art Unit 2614	15 APR '09						

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

Notice of Allowability

Part of Paper No./Mail Date 20090406

Form PTO-1449 (REV, 7-80) PATE	om PTO-1449 U.S. DEPARTMENT OF COMMERCE REV. 7-80) PATENT AND TRADEMARK OFFICE			Atty. Docket No. (Optional)			Application Number		
		ON DISCLOSURE veral sheets if neces		17188		10/740,030			
				Applicant(s) Michael Rojas					
					•				
					ing Date ecember 18, 2003		Group Art Ui 2614	nit	
			U.S. PA		NT DOCUMENTS				
EXAMINER INITIAL*		DOCUMENT NUMB	ER DATE	, ,	NAME	CLASS	S SUBCLASS FILING DATE (if appropriate)		
	AA								
	AB								
	AC								
<del> </del>		La L	FOREIGN	PA'	TENT DOCUMENTS				
	REF	DOCUMENT NUMBER	DATE	T	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
		NONDER						YES	NO
			OTHE	R DC	CUMENTS (Including)	Author, Title,	Date, Pertinent	Pages, Etc.)	
Money and the second se					c/pd/nemnsw/callmn. ovember 22, 2002.	/prodlit/cm	n33_ds.htm;	"Data Sh	eet
					cts/hw/switches/ps192 co MGX 8000 Series'			09186	
		http://www.hstelia	ann.com/engli	sh/?z	zone=3100-V21P; "T	eliphone 3	100-V21P",	2003.	
		http://www.linuxo		ticle	es/AT5199947519.htr	nl; "Devi	ce Profile:	snom 10	00 VoIP
		http://www.pingtel.com/pr xpressa.jsp; "No limits with the advanced industry standard SIP phone; December 8, 2003.							
		AudioCoded Enabling Technology Products, TPM-1100 VoP Media Gateway Modules, copyright 2003.							
EXAMINER	/Cr	eighton Smith/		DA	TE CONSIDERED	03/04	1/2009		
* EXAMINER not considered	: Initial	if reference considered, when copy of this form with ne	hether or not citation xt communication to	n is in appli	conformance with MPEP 609 cant.	draw line thro	ough citation if no	t in conform	ance and

Please enter this IDS. CHS 04 MAR '09 J:\Work\1732\17188\Misc\supplmental1449.doc

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax
(571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as

indicated unless corrected maintenance fee notification	below or directed other	erwise in Block 1, by (	a) specifying a new cor	respondence address	; and/or (b) indicating a sep	varate "FEE ADDRESS" for	
CURRENT CORRESPONDEN	CE ADDRESS (Note: Use Blo	ck } for any change of address)	) F E	lote: A certificate of ec(s) Transmittal. The apers. Each additions	mailing can only be used f is certificate cannot be used al paper, such as an assignment	or domestic mailings of the for any other accompanying ent or formal drawing, must	
23389 75	590 12/05/	2008	រា				
SCULLY SCOT 400 GARDEN CIT SUITE 300	ry plaza	PRESSER, PC	l S a t	hereby certify that the tates Postal Service of the Mai ansmitted to the USP	rtificate of Mailing or Transis Fee(s) Transmittal is bein with sufficient postage for fit I Stop ISSUE FEE address TO (571) 273-2885, or the	smission ig deposited with the United of class mail in an envelope above, or being facsimile date indicated below.	
GARDEN CITY, 1	NY 11530					(Depositor's name)	
			1			(Signature)	
						(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENT	OR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/740,030	12/18/2003		Michael J. Rojas		17188	1731	
TITLE OF INVENTION: S'	131BM AND METH	DO FOR INSTANT VO	ir Messaging				
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DU	E PREV. PAID ISSU	E FEE TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	YES	\$755	\$300	\$0	\$1055	03/05/2009	
EXAMINE	er	ART UNIT	CLASS-SUBCLASS				
SMITH, CREIG	HTON H	2614	370-352000				
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  (1) the names of up to 3 registered patent attorneys or agents. If no name is 1 september a registered attorney or agents. If no name is 1 september a registered patent attorneys or agents. If no name is 1 september a registered patent attorneys or agents. If no name is 1 september a registered patent attorneys or agents. If no name is 1 september a registered patent attorneys or agents. If no name is 1 september a registered patent attorneys or agents. If no name is 1 september a registered patent attorneys or agents. If no name is 1 september a registered patent attorneys or agents. If no name is 1 september a registered patent attorneys or agents. If no name is 1 september a registered patent attorneys or agents. If no name is 1 september a registered patent attorneys or agents. If no name is 1 september a registered patent attorneys or agents. If no name is 1 september a registered patent attorneys or agents. If no name is 1 september a registered patent attorneys or agents. If no name is 1 september a registered patent attorneys or agents. If no name is 1 september a registered patent attorneys or agents. If no name is 1 september a registered patent attorneys or agents. If no name is 1 september a registered patent attorneys or agents. If no name is 1 september a registered patent attorneys or agents. If no name is 1 september attorneys or agents							
Advance Order - # of  Change in Entity Status  a. Applicant claims SNOTE: The Issue Fee and Pu	(from status indicated a	above) . See 37 CFR 1.27.	☐ b. Applicant is no l	onger claiming SMA	er (enclose :  LL ENTITY status. See 37 C istered attorney or agent; or t		
nterest as shown by the reco	rds of the United State	s Patent and Trademark	Office.	***************************************			
Authorized Signature				Date	larch 5,2009	And the second s	
Typed or printed name	····	Esatto, Jr.	Mante of the law of a	Registration 1			
rionalidia, Tirginia 22515	130,				the public which is to file (ar minutes to complete, includi omments on the amount of t Trademark Office, U.S. Dep S. SEND TO: Commissioner displays a valid OMB contro	nd by the USPTO to process) ing gathering, preparing, and time you require to complete partment of Commerce, P.O. r for Patents, P.O. Box 1450,	

Electronic Pate	nt App	olication Fee	e Transmi	ittal				
Application Number:	107	10740030						
Filing Date:	18-	18-Dec-2003						
Title of Invention:	SY	SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING						
First Named Inventor/Applicant Name:	Mic	Michael J. Rojas						
Filer:	Pai	Paul J. Esatto/Roseann Gallo						
Attorney Docket Number:	17	188						
Filed as Small Entity								
Utility under 35 USC 111(a) Filing Fees								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								
Utility Appl issue fee		2501	1	755	755			
Publ. Fee- early, voluntary, or normal		1504	1	300	300			

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	(\$)	1055		

Electronic Ack	Electronic Acknowledgement Receipt				
EFS ID:	4910739				
Application Number:	10740030				
International Application Number:					
Confirmation Number:	1731				
Title of Invention:	SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING				
First Named Inventor/Applicant Name:	Michael J. Rojas				
Customer Number:	23389				
Filer:	Paul J. Esatto/Roseann Gallo				
Filer Authorized By:	Paul J. Esatto				
Attorney Docket Number:	17188				
Receipt Date:	05-MAR-2009				
Filing Date:	18-DEC-2003				
Time Stamp:	14:46:57				
Application Type:	Utility under 35 USC 111(a)				

# **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1055
RAM confirmation Number	210
Deposit Account	191013
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	17188ISSUEFEE.pdf	110917	no	1
	issue ree rayment (r10-63b)	171001330EFEE.pui	e29ffedc3b5caca2f2525898457f5155a55a1 b48	110	1
Warnings:					
Information:					
2	Fac Worlshoot (PTO OC)	for info malf	31539	no	2
2	Fee Worksheet (PTO-06)	fee-info.pdf	54cde0d55e656e647b7e751ab3273ed08b 297f6b		2
Warnings:					
Information:					
Total Files Size (in bytes)			14	12456	

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

# Docket No. TRANSMITTAL OF FORMAL DRAWINGS 17188 In Re Application Of: Michael J. Rojas Application No. Filing Date Customer No. **Group Art Unit** Confirmation No. Examiner 10/740,030 December 18, 2003 Creighton H. Smith 23389 2614 1731 Invention: SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 Transmitted herewith are: sheets of formal drawing(s) for this application. X Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c). Dated: March 4, 2009 Seth Weinfeld Registration No.: 50,929 I hereby certify that this correspondence is being deposited with the United States Postal Service with Scully, Scott, Murphy & Presser, P.C. 400 Garden City Plaza - Suite 300 sufficient postage as first class mail in ap envelope Garden City, New York 11530 addressed to "Commissioner for Patents, P.O. Box 1450, (516) 742-4343 Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on (Date) Signature of Person Mailing Correspondence

P23B/REV03

Typed or Printed Name of Person Mailing Correspondence

Electronic Acknowledgement Receipt				
EFS ID:	4905745			
Application Number:	10740030			
International Application Number:				
Confirmation Number:	1731			
Title of Invention:	SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING			
First Named Inventor/Applicant Name:	Michael J. Rojas			
Customer Number:	23389			
Filer:	Seth Weinfeld/Roseann Gallo			
Filer Authorized By:	Seth Weinfeld			
Attorney Docket Number:	17188			
Receipt Date:	04-MAR-2009			
Filing Date:	18-DEC-2003			
Time Stamp:	18:20:16			
Application Type:	Utility under 35 USC 111(a)			

# Payment information:

Submitted wit	th Payment		no				
File Listing:							
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1	Drawings-only black and white line		17188formaldrawings.pdf	529689	no	9	
	drawings		17 Tooloimalarawings.par	3e39aeaac05cc87f5d8c9b440a417396ccc6 3d8d	110	,	
Warnings:							
Information:							

2	Miscellaneous Incoming Letter	17188Transmittal.pdf	38729	no	1
	Miscellancous incoming eccer	•	15edf4f36260aee585dd293d42104f24aceb b790		
Warnings:					
Information:					
	Total Files Size (in bytes)		568418		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### **New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING

Michael J. Rojas U.S. Serial No.: 10/740,030 Replacement Sheet

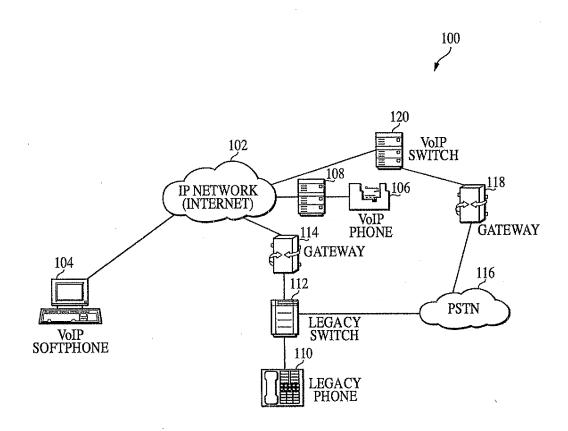


FIG. 1 (PRIOR ART)

SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING
Michael J. Rojas
U.S. Serial No.: 10/740,030
Replacement Sheet

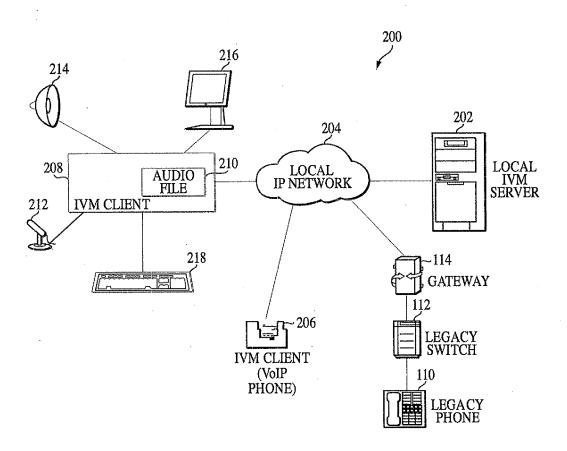
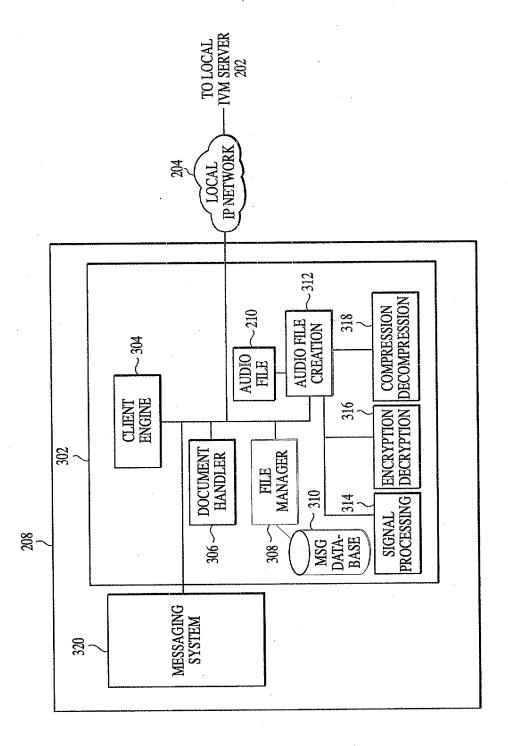
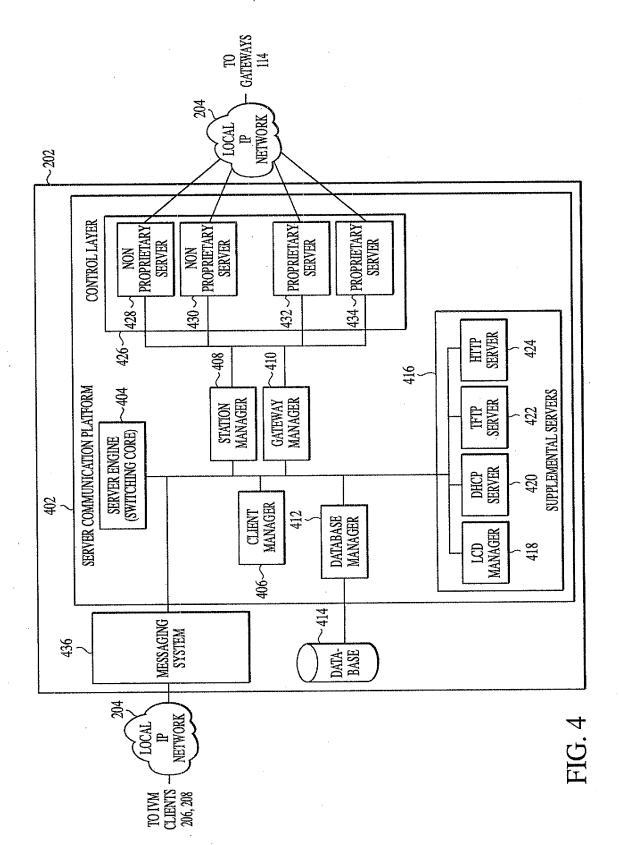


FIG. 2

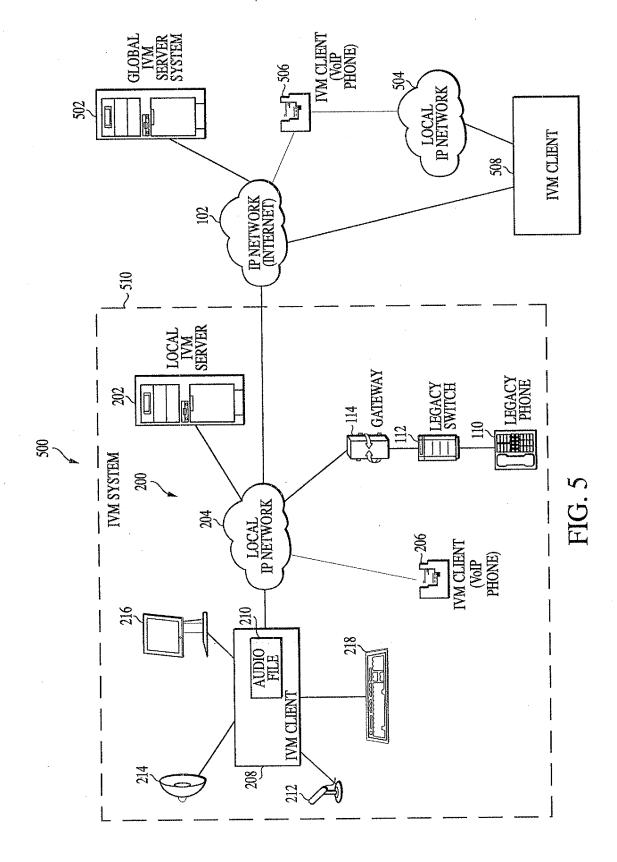


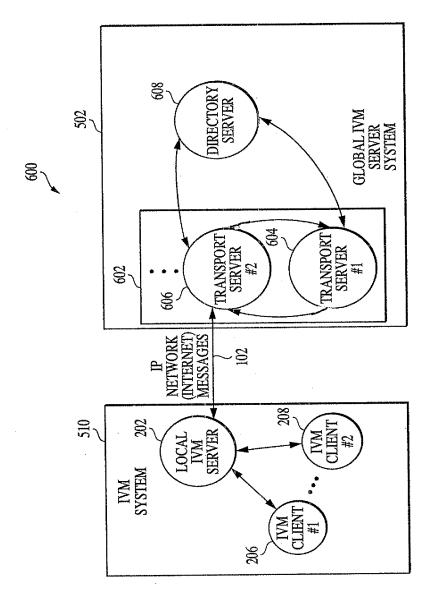
# SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING

Michael J. Rojas U.S. Serial No.: 10/740,030 Replacement Sheet

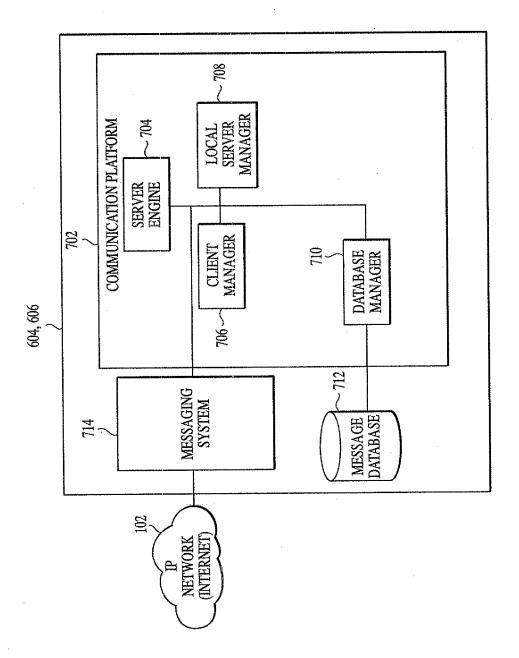


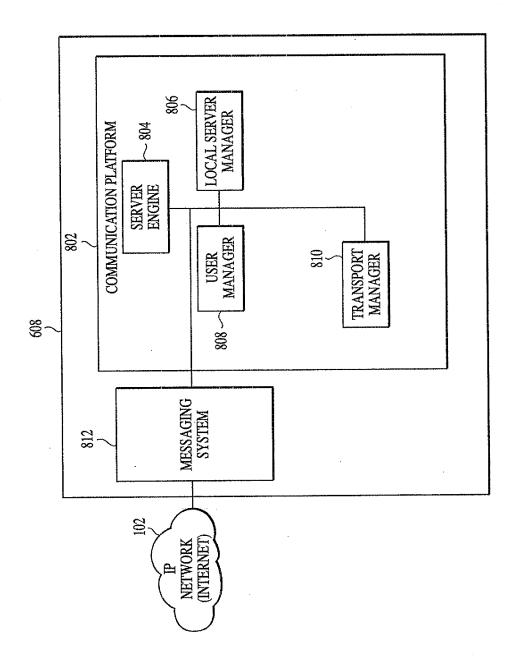
SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING Michael J. Rojas U.S. Serial No.: 10/740,030 Replacement Sheet





FIG





# SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING Michael J. Rojas

Michael J. Rojas U.S. Serial No.: 10/740,030 Replacement Sheet

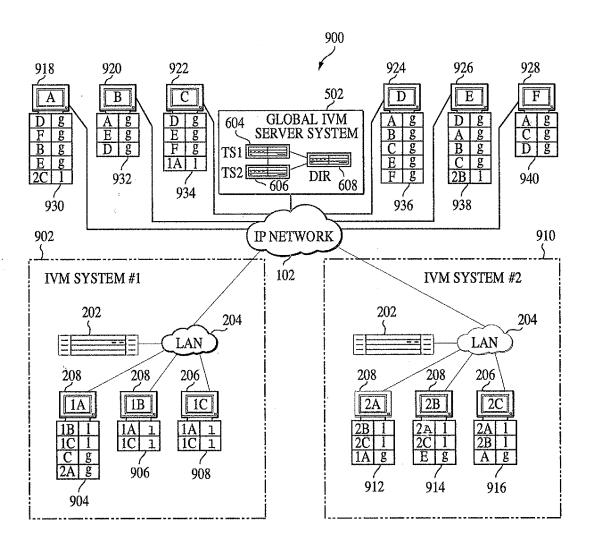


FIG. 9

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Michael J. Rojas

**Examiner:** 

Creighton H. Smith

Serial No:

10/740,030

**Art Unit:** 

2614

Filed:

December 18, 2003

Docket:

17188

For:

SYSTEM AND METHOD FOR INSTANT VoIP MESSAGING

Dated:

February 27, 2009

Confirmation No. 1731

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

### **SUBMISSION**

Sir:

Applicant respectfully submits a supplemental Information Disclosure Statement herewith. An Information Disclosure Statement was filed on August 19, 2004 in the aboveidentified application. The Information Disclosure Statement was filed with 6 references. Applicant submitted copies of the references with the Information Disclosure Statement along with PTO Form 1449. On September 18, 2007, Examiner Smith attached an initialized PTO

# CERTIFICATE OF ELECTRONIC TRANSMISSION

I hereby certify that this document is being electronically filed in the United States Patent and Trademark Office on the date shown below.

Dated: February 27, 2009

J:\Work\1732\17188\Amend\17188.submission.doc

Form 1449 to the First Office Action on the Merits indicating that all of the references were considered. The First Office Action did not include any of the references submitted in the Information Disclosure Statement in any of the rejections of the claims.

Subsequently, Examiner Smith attached the same PTO Form 1449 to the Notice of Allowability, however, the PTO Form 1449 had all of the references crossed off, indicating that the references were not considered. Applicant's representative conducted a series of Examiner interviews with both Examiner Smith and Examiner Fsang (Examiner Smith's Supervisor). The Examiners notified Applicant's representative that the PTO Form 1449 did not include a date for each of the references identified.

Applicant maintains that the Information Disclosure Statement should be considered, since the Examiner did in fact consider the Information Disclosure Statement during the prosecution of the application, and, therefore, the references should be listed on the face of the patent.

However, to expedite the issuance of the patent, Applicant is submitting the supplemental Information Disclosure Statement which includes the best available dates for the references, if known, with a replacement PTO Form 1449.

An archive website indicates that Reference 1 was posted on November 22, 2002.

Attached herewith is a copy of a document printed from an archive web site evidencing the post date.

An archive website indicates that Reference 3 was copyrighted in 2003. Attached herewith is a copy of a document printed from an archive website indicating a copyright date.

Reference 4 bears a date of May 15, 2002 on the reference.

Reference 5 was archived by a website on December 8, 2003. Attached herewith is a copy of a document printed from an archive website indicating the archive date for Reference 5.

Reference 6 bears a copyright date of 2003.

Applicant respectfully requests consideration of the Supplemental Information Disclosure Statement pursuant to the Examiner interview with Examiner Fsang.

The Director is hereby authorized to charge Deposit Account No. 19-1013/SSMP any additional fees if required.

Respectfully submitted,

Seth Weinfeld

Registration No. 50,929

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

SW:reg Enclosures

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael J. Rojas

Examiner:

Creighton H. Smith

Serial No:

10/740,030

**Art Unit:** 

2614

Filed:

December 18, 2003

Docket:

17188

For:

SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING

Dated:

February 27, 2009

Confirmation No. 1731

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached replacement Form PTO-1449, be made of record in the above-identified case.

- 1. http://www.cisco.com/warp/public/cc/pd/nemnsw/callmn/prodlit/cm33 ds.htm; "Data Sheet Cisco CallManager Version 3.3", November 22, 2002;
- 2. <a href="http://www.cisco.com/en/US/products/hw/switches/ps1925/products data">http://www.cisco.com/en/US/products/hw/switches/ps1925/products data</a> sheet 09186 a00800a3c3d.html; "Data Sheet Cisco MGX 8000 Series" (Date unknown).

#### CERTIFICATE OF ELECTRONIC TRANSMISSION

I hereby certify that this document is being electronically filed in the United States Patent and Trademark Office on the date shown below.

Dated: February 27, 2009

- 3. <a href="http://www.hsteliann.com/english/?zone=3100-V21P">http://www.hsteliann.com/english/?zone=3100-V21P</a>; "Teliphone 3100-V21P", 2003;
- 4. <a href="http://www.linuxdevices.com/articles/AT5199947519.html">http://www.linuxdevices.com/articles/AT5199947519.html</a>; "Device Profile: snom 100 VoIP phone", (May 15, 2002);
- http://www.pingtel.com/pr xpressa.jsp; "No limits with the advanced industry standard SIP phone, December 8, 2003; and
- AudioCoded Enabling Technology Products, TPM-1100 VoP Media Gateway Modules; 2003.

The above-identified references were previously submitted in an Information Disclosure Statement dated August 19, 2004. Therefore, Applicant is not submitting copies of the references. This Supplemental Information Disclosure Statement includes the best available dates for the references, if known.

Respectfully submitted.

Seth Weinfeld

Registration No. 50,929

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

SW:reg

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE			Atty. Docket No. (Optional)			Application Number			
(Use several sheets if necessary)			1718	88		10/740,030	)		
					cant(s) 1ael Rojas				
					ember 18, 2003		Group Art Ui 2614	nit	
			U.S. PA	TENT	DOCUMENTS				
EXAMINER INITIAL*		DOCUMENT NUMB	ER DATE		NAME	CLASS	SUBCLASS		G DATE opriate)
	AA								
	AB								
	AC								
			FOREIGN	PATI	ENT DOCUMENT	TS			
	REF	DOCUMENT NUMBER	DATE		COUNTRY CLASS	CLASS	SUBCLASS	TRANS	LATION
								YES	NO
	awa mamanandan		OTHER	R DOC	UMENTS (Includin	ng Author, Title,	Date, Pertinent	Pages, Etc.)	)
		http://www.cisco. Cisco CallManag				nn/prodlit/cm	33_ds.htm;	"Data Sl	neet
		http://www.cisco. a00800a3c3d.htm						<u>09186</u>	
		http://www.hsteli							
	http://www.linuxdevices.com/articles/AT5199947519.html; "Device Profile: snom 100 VoIP phone", May 15, 2002.							00 VoIP	
	http://www.pingtel.com/pr xpressa.jsp; "No limits with the advanced industry standard SIP phone; December 8, 2003.								
AudioCoded Enabling Technolog copyright 2003.					oducts, TPM-110	0 VoP Media	a Gateway N	Aodules,	
EXAMINER				DATE	CONSIDERED				SEE
* EXAMINER:	Initial	if reference considered, w	hether or not citation	is in cor	nformance with MPEP 6	09; draw line thro	ugh citation if no	ot in conforn	ance and

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Electronic Acl	knowledgement Receipt
EFS ID:	4876766
Application Number:	10740030
International Application Number:	
Confirmation Number:	1731
Title of Invention:	SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING
First Named Inventor/Applicant Name:	Michael J. Rojas
Customer Number:	23389
Filer:	Seth Weinfeld/Roseann Gallo
Filer Authorized By:	Seth Weinfeld
Attorney Docket Number:	17188
Receipt Date:	27-FEB-2009
Filing Date:	18-DEC-2003
Time Stamp:	16:28:44
Application Type:	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment			no						
File Listing	File Listing:								
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			
1	Miscellaneous Incoming Letter		17188 submission.pdf	1067171	no	20			
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Warnings:									
Information:									

Total	Files	Size	(in	bytes	١:

1067171

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### New Applications Under 35 U.S.C. 111

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### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

### NOTICE OF ALLOWANCE AND FEE(S) DUE

23389

7590

12/05/2008

SCULLY SCOTT MURPHY & PRESSER, PC 400 GARDEN CITY PLAZA SUITE 300 GARDEN CITY, NY 11530 EXAMINER SMITH, CREIGHTON H

PAPER NUMBER

ART UNIT

DATE MAILED: 12/05/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/740,030	12/18/2003	Michael J. Rojas	17188	1731

TITLE OF INVENTION: SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	03/05/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as

indicated unless corrected maintenance fee notificati		nerwise in Block 1, by (a	a) specifying a new corre	spondence address; a	and/or (b) indicating a sep	arate "FEE ADDRESS" for
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SCULLY SCOTT MURPHY & PRESSER, PC 400 GARDEN CITY PLAZA SUITE 300				reby certify that this es Postal Service wi ressed to the Mail smitted to the USPT	ficate of Mailing or Trans Fee(s) Transmittal is bein h sufficient postage for fir Stop ISSUE FEE address O (571) 273-2885, on the o	smission g deposited with the United st class mail in an envelope above, or being facsimile date indicated below.
GARDEN CITY,	, NY 11530					(Depositor's name)
						(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/740,030	12/18/2003		Michael J. Rojas	L	17188	1731
TITLE OF INVENTION:	SYSTEM AND METH	OD FOR INSTANT VO	IP MESSAGING			
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL FEE(S) DUE	E DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	03/05/2009
EXAMI	NER	ART UNIT	CLASS-SUBCLASS	1		
SMITH, CRE	IGHTON H	2614	370-352000	•		
☐ "Fee Address" indic	nce address or indication ondence address (or Cha /122) attached. cation (or "Fee Address' 2 or more recent) attach	nge of Correspondence	2. For printing on the p (1) the names of up to or agents OR, alternati (2) the name of a single registered attorney or 2 registered patent attorated in the content of the	o 3 registered patent vely, le firm (having as a r agent) and the names orneys or agents. If no	•	
PLEASE NOTE: Unle recordation as set forth (A) NAME OF ASSIG	ess an assignee is identi in 37 CFR 3.11. Comp	ified below, no assignee oletion of this form is NO	(B) RESIDENCE: (CITY	atent. If an assigned assignment. And STATE OR CO	OUNTRY)	oup entity Government
4a. The following fee(s) a  Issue Fee Publication Fee (No Advance Order - #	o small entity discount p		☐ A check is enclosed. ☐ Payment by credit can ☐ The Director is hereby	rd. Form PTO-2038	the required fee(s), any de	
5. Change in Entity State  a. Applicant claims	us (from status indicated SMALL ENTITY statu		☐ b. Applicant is no lon	ger claiming SMALI	. ENTITY status. See 37 C	EFR 1.27(g)(2).
NOTE: The Issue Fee and interest as shown by the re	Publication Fee (if requecords of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than to Office.	he applicant; a regist	ered attorney or agent; or t	he assignee or other party in
Authorized Signature _				Date		
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an application. Confidenti submitting the completed this form and/or suggestic Box 1450, Alexandria, Vi Alexandria, Virginia 2231	iality is governed by 35 application form to the ons for reducing this burginia 22313-1450. DC 3-1450.	U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the NOT SEND FEES OR	1.14. This collection is esto depending upon the individual of the chief Information Office COMPLETED FORMS TO THE COMPLETED FORMS	timated to take 12 m vidual case. Any con er, U.S. Patent and T O THIS ADDRESS.	e public which is to file (an inutes to complete, includi- iments on the amount of ti- rademark Office, U.S. Dep SEND TO: Commissioner splays a valid OMB contro	d by the USPTO to process) ng gathering, preparing, and me you require to complete partment of Commerce, P.O. for Patents, P.O. Box 1450, 1 number.

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.				
12/18/2003	Michael J. Rojas	17188	1731				
90 12/05/2008		EXAM	INER				
SCULLY SCOTT MURPHY & PRESSER, PC SMITH, CREIGHTON H							
Y PLAZA		ART UNIT	PAPER NUMBER				
IY 11530		2614 DATE MAIL ED: 12/05/200	_				
	12/18/2003 90 12/05/2008	12/18/2003 Michael J. Rojas  90 12/05/2008  F MURPHY & PRESSER, PC  Y PLAZA	12/18/2003 Michael J. Rojas 17188  90 12/05/2008 EXAM F MURPHY & PRESSER, PC Y PLAZA ART UNIT  12/1530 2614				

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 848 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 848 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)					
	10/740,030	ROJAS, MICHAEL J.					
Notice of Allowability	Examiner	Art Unit					
	CREIGHTON SMITH	2614					
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	(OR REMAINS) CLOSED in this appropriate communication GHTS. This application is subject to	olication. If not included will be mailed in due course. <b>THIS</b>					
1. $\boxtimes$ This communication is responsive to <u>remarks filed on 06 N</u>	<u>'OV '08</u> .						
2. $\boxtimes$ The allowed claim(s) is/are $\underline{1-5,7-20,22-35,37-45,47-57,59}$	-69 and 71-76.						
<ul> <li>a) ☐ All b) ☐ Some* c) ☐ None of the:</li> <li>1. ☐ Certified copies of the priority documents have</li> <li>2. ☐ Certified copies of the priority documents have</li> </ul>	<ol> <li>Certified copies of the priority documents have been received.</li> <li>Certified copies of the priority documents have been received in Application No</li> <li>Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).</li> </ol>						
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements					
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give							
5. ☐ CORRECTED DRAWINGS ( as "replacement sheets") mus  (a) ☐ including changes required by the Notice of Draftspers  1) ☐ hereto or 2) ☐ to Paper No./Mail Date  (b) ☐ including changes required by the attached Examiner's Paper No./Mail Date  Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in the second process.	on's Patent Drawing Review (PTO- s Amendment / Comment or in the C	office action of high size of the back) of					
6. DEPOSIT OF and/or INFORMATION about the depo- attached Examiner's comment regarding REQUIREMENT							
<ul> <li>Attachment(s)</li> <li>1. ☑ Notice of References Cited (PTO-892)</li> <li>2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>3. ☑ Information Disclosure Statements (PTO/SB/08),</li></ul>	5. ☐ Notice of Informal P 6. ☐ Interview Summary Paper No./Mail Dat 7. ☐ Examiner's Amenda 8. ☑ Examiner's Stateme 9. ☐ Other	(PTO-413), e					

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

Notice of Allowability

Part of Paper No./Mail Date 20081202

Application/Control Number: 10/740,030 Page 2

Art Unit: 2614

### **REASONS FOR ALLOWANCE**

The following is an examiner's statement of reasons for allowance: The prior art fails to teach/disclose applicant's instant voice messaging system having a server that temporarily stores an instant voice message if a recipient is unavailable and delivers the stored instant voice message when the recipient becomes available. No obvious combination of references found would have taught one of ordinary skill in the art to use applicant's system and method as claimed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CREIGHTON SMITH whose telephone number is (571)272-7546. The examiner can normally be reached on 5-4-9.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, fan tsang can be reached on 27548. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/740,030 Page 3

Art Unit: 2614

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

02 DEC '08

/ creighton smith/ for Primary, Examiner of Art Unit 2614

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Notice of References Cited	Application/Control No. 10/740,030	Applicant(s)/Patent Under Reexamination ROJAS, MICHAEL J.	
Notice of Keleferices Offed	Examiner	Art Unit	
	CREIGHTON SMITH	2614	Page 1 of 1

### U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-6,763,226	07-2004	McZeal, Jr., Alfred	455/90.2
*	В	US-2004/0252679	12-2004	Williams et al.	370/356
*	O	US-2004/0122906	06-2004	Goodman et al.	709/206
*	D	US-2005/0053230	03-2005	Gierachf, Karl	379/406.06
*	Е	US-2005/0105697	05-2005	Hollowell et al.	379/088.13
*	F	US-2003/0087632	05-2003	Sagi et al.	455/414
*	G	US-2006/0268750	11-2006	Weiner, Moshe	370/260
*	H	US-2004/0030046	02-2004	Schultes et al.	525/71
*	ı	US-2007/0112925	05-2007	Malik, Dale W.	709/206
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### FOREIGN PATENT DOCUMENTS

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### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

**Notice of References Cited** 

Part of Paper No. 20081202

## Application/Control No. Index of Claims 10740030 Examiner CREIGHTON SMITH Applicant(s)/Patent Under Reexamination ROJAS, MICHAEL J. Art Unit 2614

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U.S. Patent and Trademark Office

# Index of Claims 10740030 Examiner CREIGHTON SMITH Applicant(s)/Patent Under Reexamination ROJAS, MICHAEL J. Art Unit 2614

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U.S. Patent and Trademark Office

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	10740030	ROJAS, MICHAEL J.
	Examiner	Art Unit
	CREIGHTON SMITH	2614

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**Appeal** 

Cancelled

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Issue Classification	Application/Control No. 10740030	Applicant(s)/Patent Under Reexamination ROJAS, MICHAEL J.
	Examiner CREIGHTON SMITH	Art Unit 2614

	ORIGINAL							INTERNATIONAL CLASSIFICATION							
	CLASS		:	SUBCLASS		CLAIMED						NON-CLAIMED			
370			352			Н	0	4	L	12 / 66 (2006.01.01)					
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NONE		Total Clain	ns Allowed:
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/CREIGHTON SMITH/ Primary Examiner.Art Unit 2614	11.17.08	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1

U.S. Patent and Trademark Office Part of Paper No. 20081202

### Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
10740030	ROJAS, MICHAEL J.
Examiner	Art Unit
Creighton H Smith	2614

	SEARCHED		
Class	Subclass	Date	Examiner
370	352	17 NOV '08	

SEARCH NOTES		
Search Notes	Date	Examiner
EAST Search	05 AUG '08	chs
EAST	17 NOV '08	chs

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### OIPE COST

### THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael J. Rojas

Examiner:

Unassigned

Serial No:

10/740,030

**Art Unit:** 

2661

Filed:

December 18, 2003

**Docket:** 

17188

For:

SYSTEM AND METHOD FOR INSTANT VoIP MESSAGING

Dated:

August 19, 2004

Confirmation No. 1731

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

### **INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. http://www.cisco.com/warp/public/cc/pd/nemnsw/callmn/prodlit/cm33\_ds.htm; "Data Sheet Cisco CallManager Version 3.3".
- 2. <a href="http://www.cisco.com/en/US/products/hw/switches/ps1925/products\_data\_sheet\_09186">http://www.cisco.com/en/US/products/hw/switches/ps1925/products\_data\_sheet\_09186</a> <a href="mailto:a00800a3c3d.html">a00800a3c3d.html</a>; "Data Sheet Cisco MGX 8000 Series".
  - 3. <a href="http://www.hsteliann.com/english/?zone=3100-V21P">http://www.hsteliann.com/english/?zone=3100-V21P</a>; "Teliphone 3100-

V21P".

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner of Patents, P. O. Box 1450, Alexandria, VA<sub>A</sub>22313-1450 on August 19, 2004.

Dated: August 19, 2004

Paul J Esatto, Jr.

- 4. <a href="http://www.linuxdevices.com/articles/AT5199947519.html">http://www.linuxdevices.com/articles/AT5199947519.html</a>; "Device Profile: snom 100 VoIP phone".
- 5. <a href="http://www.pingtel.com/pr xpressa.jsp">http://www.pingtel.com/pr xpressa.jsp</a>; "No limits with the advanced industry standard SIP phone.
- AudioCoded Enabling Technology Products, TPM-1100 VoP Media Gateway
   Modules.

Applicant is submitting a copy of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

Paul J. Esatto, Jr.

Registration No. 30,749

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

PJE:ae

form PTO-1449 REV. 7-80) PATEN		DEPARTMENT OF COMMERCE ADEMARK OFFICE		Atty. Docket No. (Optional) Application Numbe		lumber		
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					11	cket No. 7188	
In Re App	In Re Application Of: Michael J. Rojas						
Applicati	ion No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.	
10/740	,030	December 18, 2003	Unassigned	23389	2661	1731	
Title: SYSTEM	I AND MI	ETHOD FOR INSTA	NT VoIP MESSAGING	;			
:	TENT	6 2 3 2004 III	Address to: Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-144 37 CFR 1.97(b)				
fi w ir	ling of a vithin thre nternation	national application e months of the da al application; before	tatement submitted herewith i other than a continued prosect ate of entry of the national state the mailing of a first Office A filing of a request for continue	cution applicati age as set for action on the m	on under 37 CF th in 37 CFR 1 erits, or before t	R 1.53(d); .491 in an the mailing	
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	☐ the	statement specified i	n 37 CFR 1.97(e);				
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	☐ the fee set forth in 37 CFR 1.17(p).						

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))  Docket No. 17188							
In Re Application: Michael J. Rojas							
Application No.	Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation No.						
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Paul J. Ésafto, Jr. Registration No. 30,749							
Scully, Scott, Murphy & Presser							
400 Garden City Plaza							
-	Garden City, New York 11530						
516-742-4343							
cc:							

### **UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Michael J. Rojas Examiner: Creighton H. Smith

**Serial No:** 10/740,030 **Art Unit:** 2614

**Filed:** December 18, 2003 **Docket:** 17188

For: SYSTEM AND METHOD FOR Dated: November 6, 2008

INSTANT VoIP MESSAGING

Confirmation No. 1731

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

### **RESPONSE UNDER 37 C.F.R. § 1.111**

Sir:

Applicant submits this Response in reply to the Official Action dated August 11, 2008. Applicant respectfully requests reconsideration of the application in view of the following remarks.

### **CERTIFICATE OF ELECTRONIC FILING**

I hereby certify that this correspondence is being deposited with the United States Patent & Trademark Office via Electronic Filing through the United States Patent and Trademark Office e-business website, on November 6, 2008.

Dated: November 6, 2008

Seth Weinfeld

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### **REMARKS**

Applicant has filed the present Response in reply to the outstanding Official Action of August 11, 2008. Applicant believes the Response is fully responsive to the Official Action for at least the reasons set forth herein.

At the onset, Applicant would like to thank the Examiner for taking the time to have a telephone interview with Applicant's representative on October 31, 2008.

During the interview, Bernstein, Williams and Gierachf references were discussed.

With respect to the Bernstein reference, Applicant noted that the reference fails to teach (i) any consideration of availability/unavailability; (ii) temporarily storing the instant voice message; and (iii) delivering the stored instant voice message to the selected recipient once the selected recipient becomes available. The Examiner agreed to take another look at Bernstein and update the search using additional search terms.

With respect to Williams, Applicant noted that the reference fails to teach that the client requests a list of recipients associated with the client from the server and the server transmits the list of recipients to the client for selection of the one or more recipients. Williams only teaches transmitting the list of recipients if a voice command is not recognized.

With respect to Gierachf, Applicant noted that the reference is not prior art and referred the Examiner to the inventor declaration under 37 C.F.R. § 1.131.

Claims 1-3, 5, 11-18, 26-29, 43, 45, 51-54, 65 and 66 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal (previously cited) and

Bernstein, U.S. Patent Publication No. 2004/00128356. Claims 4, 19, 20, and 44 stand rejected under 35 U.S.C. § 103(a) in view of McZeal, Bernstein and Williams. Claims 7, 22 and 47 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Bernstein, and Sagi. Claims 8, 23 and 48 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Bernstein and Goodman. Claims 9, 24 and 49 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Bernstein and Gierachf, U.S. Patent Publication No. 2005/0053230. Claims 10, 25 and 50 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Bernstein and Creamer et al., U.S. Pat. Pub 2003/0126207.

Claims 30-33, 35, 41, 55, 57, 63, 64, 67, 69 and 75 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Bernstein and Monroe, U.S. Patent No. 6,970,183. Claims 42 and 76 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Bernstein, Monroe and Boukobza. Claims 34, 56 and 68 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view McZeal, Bernstein, Williams and Monroe. Claims 37, 59 and 71 were rejected under 35 U.S.C. § 103(a) as being unpatentable in view McZeal, Bernstein, Sagi and Monroe.

Claims 38, 60 and 72 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Bernstein, Goodman and Monroe. Claims 39, 61 and 73 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Bernstein, Gierachf and Monroe. Claims 40, 62 and 74 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Bernstein, Creamer and Monroe.

Applicant respectfully disagrees with the rejection and traverses with at least the following remarks.

Applicant submits that all of the cited references, whether taken alone or in any combination thereof, fail to teach, suggest or render obvious the limitation of the server temporarily stores the instant voice message if a selected recipient is unavailable and delivers the stored instant voice message to the selected recipient once the selected recipient becomes available, as recited in each of the independent claims.

The Official Action asserts that Bernstein teaches this feature. Applicant respectfully disagrees. As noted above, the reference fails to teach (i) any consideration of availability/unavailability; (ii) temporarily storing the instant voice message; and (iii) delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

### (I) Storing only when unavailable

At best, Bernstein teaches storing all of the IM messages in a remote server, i.e., communication history or database. Bernstein teaches that the IM messages are stored for an IM session. The IM session implies that two parties are already available and IMing, i.e., a session is a flow of instant messages between at least two users.

Bernstein states that the server program system performs a step of maintained a database referencing a history of the instant messaging session with the universally unique identifier for the audience collection. *See* paragraphs 0086 and 0088. The audience collection is a list of users that **accepted** an invitation to the session. When and if a recipient responds to the instant messaging invitation email message, it becomes a

member of the audience collection 138. The first user sends an email invitation for the IM session and at least one other user replies to the invitation. *See* Paragraphs 0078-81.

At paragraph 0090, the reference states a step of sending the processed communication from the first member as content in the areas associated with the first member to the history of the instant message session with the universally unique identifier. The database receives the transferred communication from the first member to create a history-received communication from the first member.

In embodiments when there is a database 150, it includes a history 154 of the instant messaging session 130. History 154 includes the universally unique identifier 132 of instant messaging session 130. History 154 also includes an audience list 162 based upon audience collection 138 and a communication history 166, which further references communications records 168, each of which may be based upon at least one of the received communication 142, processed communication 144, and transferred communication 146. Additionally, in an embodiment, the server 100 may retain the complete transcript 166 of the Instant Messaging session.

In other words, when the store feature is active, every message is stored, without a determination of whether the recipient is available. In fact, since a session is active, the recipient must be available and online (emphasis added).

In stark contrast, in the claimed invention the IVM is only stored in the server if the recipient (IVM client) is not currently connected to the local IVM server. In fact, the reference only suggests that the IM is not stored if confidentiality cannot be maintained. *See* paragraph 0094.

### (II) Temporarily storing the instant voice message if a selected recipient is unavailable

Bernstein fails to teach that the IVM is temporarily stored.

Bernstein states that the *user whenever looking at that email at any time in the future*, will trigger the server 100 to attempt fetching all the Instant Messaging messages 168 has stored for that email. *See* paragraph 0100. At any time in the future implies that the messages are stored permanently.

### (III) Pushing IMV to recipient when available.

Additionally, the reference does not teach that the stored IVM is delivered to the client when the client connects to the IVM (after not being initiately connected).

Bernstein states that the *user whenever looking at that email at any time in the future*, will trigger the server 100 to attempt fetching all the Instant Messaging messages 168 has stored for that email. *See* paragraph 0100. In Bernstein, the user initiates the fetching process by sending a request, i.e., pulling the message data. In stark contrast, in the claimed invention, the server pushes or delivers the message when the recipient is determined to be available.

The other cited references fail to cure these deficiencies.

Therefore, the cited references fail to teach, suggest or render obvious each and every limitation of the claims; the claims are patentable over the cited combination.

Applicant further submits that claims 9, 24, 39, 49, 61, and 73 are patentable over the cited references based at least upon the following additional analysis. The Official Action cites Gierachf in the rejection of these claims. Applicant submits the Gierachf is not prior art. Notably, in Applicant's declaration pursuant to 1.131, Applicant at paragraph 3 stated that the Applicant conceived the claimed invention prior to August 15 2003. See Declaration Pursuant to §1.131 ¶ 3. Gierachf has a filing date of September 6, 2003. Therefore, Applicant completed the invention claimed in the instant application prior to the filing date of the reference.

Applicant further submits that claims 4, 19, 34, 44, 56 and 68 are patentable over the cited references based at least upon the following additional analysis. Each of these claims recites, *inter alia*, a limitation directed to requesting a list of recipients associated with the server from the server. Applicant submits that the cited references fail to teach this limitation. At best, Williams (cited as a teaching of this limitation) teaches that the server sends a list of potential recipients **after a voice command is not recognized**. Notably, Williams describes an embodiment, where if a first word of the voice message does not satisfy a predetermined condition, the message is saved and a list of recipients is transmitted to the local client. *See* paragraph 0055. Clearly, the client is not requesting the list; rather the server determines that a command is not understood and that all available options should be transmitted to the user. The client makes no request.

Additionally, Applicant notes that this function is not in the context of an instant voice message.

None of the other cited references cure the above-identified deficiency.

Therefore, claims 4, 19, 34, 44, 56 and 68 are patentable over the cited references, whether taken alone or in any combination thereof.

Applicant further submits that claims 7, 22, 37, 47, 59 and 71 are patentable over the cited references based at least upon the following additional analysis.

Applicant submits that the references, whether taken alone or in any combination thereof teach or suggest recording an instant voice message in an audio file, at the client, where the audio file is a instant voice message, as recited in each of these claims. At best, Sagi teaches that an audio file can be transmitted to a server. Sagi teaches that an instant text message is converted into an instant voice message and then transmitted (Steps 420 and 425). The server relays the voice message to a cellular telephone. Sagi then describes that at step 435, user b sends an instant message in a voice message format to user via the gateway. The voice message is converted into a text message. Notably, Sagi does not teach that the voice message from user B to user A is recorded on the user device. None of the other cited references cure the above-identified deficiency.

Therefore, claims 7, 22, 37, 47, 59 and 71 are patentable over the cited references, whether taken alone or in any combination thereof.

Based upon the foregoing, Applicant respectfully requests that the Examiner withdraw all of the pending rejections pursuant to 35 U.S.C. § 103(a).

In conclusion, the Applicant believes that the above-identified application is in condition for allowance and henceforth respectfully solicits the Examiner to allow the application. If the Examiner believes a telephone conference might expedite the

allowance of this application, the Applicant respectfully requests that the Examiner call the undersigned, Applicant's attorney, at the following telephone number: (516) 742-4343.

Respectfully submitted,

Seth Weinfeld

Registration No: 50,929

Scully, Scott, Murphy & Presser, P.C. 400 Garden City Plaza, Suite 300 Garden City, New York 11530 516-742-4343

SW:reg

### TRANSMITTAL LETTER Docket No. (General - Patent Pending) 17188 In Re Application Of: Michael J. Rojas Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation No. 10/740,030 December 18, 2003 Creighton H. Smith 23389 1731 2614 Title: SYSTEM AND METHOD FOR INSTANT VoIP MESSAGING **COMMISSIONER FOR PATENTS:** Transmitted herewith is: **RESPONSE UNDER 37 C.F.R. § 1.111** in the above identified application. No additional fee is required. A check in the amount of is attached. The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. Charge the amount of $\boxtimes$ Credit any overpayment. Charge any additional fee required. ☐ Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Dated: November 6, 2008 Seth Weinfeld ( Registration No: 50,929 Scully, Scott, Murphy & Presser, P.C. I hereby certify that this correspondence is being deposited with the United States Postal Service with 400 Garden City Plaza, Suite 300 sufficient postage as first class mail in an envelope Garden City, New York 11530 addressed to the "Commissioner for Patents, P.O. Box 516-742-4343 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on SW:reg (Date) Signature of Person Mailing Correspondence CC: Typed or Printed Name of Person Mailing Correspondence

P16A/REV04

Electronic Acknowledgement Receipt			
EFS ID:	4244655		
Application Number:	10740030		
International Application Number:			
Confirmation Number:	1731		
Title of Invention:	System and method for instant VoIP messaging		
First Named Inventor/Applicant Name:	Michael J. Rojas		
Customer Number:	23389		
Filer:	Paul J. Esatto/Roseann Gallo		
Filer Authorized By:	Paul J. Esatto		
Attorney Docket Number:	17188		
Receipt Date:	06-NOV-2008		
Filing Date:	18-DEC-2003		
Time Stamp:	15:20:12		
Application Type:	Utility under 35 USC 111(a)		

### Payment information:

Submitted wi	th Payment	no			
File Listin	g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		17188AM3.pdf	422767 c5cce47930f25917cf0d3096e12360a11e80	yes	10

	Multipart Description/PDF files in .zip description				
	Document Description	Start	End		
	Amendment/Req. Reconsideration-After Non-Final Reject	1	1		
	Applicant Arguments/Remarks Made in an Amendment	2	9		
	Miscellaneous Incoming Letter	10	10		
Warnings:					
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	Total Files Size (in bytes):	42	2767		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/740,030	10/740,030 12/18/2003 Michael J. Rojas		17188 1731		
	7590 08/11/200 TT MURPHY & PRES		EXAM	IINER	
400 GARDEN CITY PLAZA		SMITH, CREIGHTON H			
	SUITE 300 GARDEN CITY, NY 11530		ART UNIT	PAPER NUMBER	
			2614		
			MAIL DATE	DELIVERY MODE	
			08/11/2008	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)					
Office Action Summary		10/740,030	ROJAS, MICHAEL J.  Art Unit					
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Period for Reply								
WHIC - Exter after - If NC - Failu Any r	A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).							
Status								
1)🖂	Responsive to communication(s) filed on <u>07 JU</u>	<u>IL '08</u> .						
2a) <u></u> ☐	This action is <b>FINAL</b> . 2b)⊠ This	action is non-final.						
3)	Since this application is in condition for allowan							
	closed in accordance with the practice under E.	x parte Quayle, 1935 C.D. 11, 45	3 O.G. 213.					
Dispositi	on of Claims							
4)🖂	$Claim(s)$ $\underline{\textit{1-76}}$ is/are pending in the application.							
	4a) Of the above claim(s) is/are withdraw	vn from consideration.						
	Claim(s) is/are allowed.							
•	Claim(s) <u>1-76</u> is/are rejected. Claim(s) is/are objected to.							
	Claim(s) is/are objected to:  Claim(s) are subject to restriction and/or	election requirement						
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Applicati	on Papers							
•	The specification is objected to by the Examiner							
10)	The drawing(s) filed on is/are: a) ☐ acce							
	Applicant may not request that any objection to the or Replacement drawing sheet(s) including the correction		· ·					
11)	The oath or declaration is objected to by the Exa							
·	ınder 35 U.S.C. § 119							
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	Acknowledgment is made of a claim for foreign ☐ All b) ☐ Some * c) ☐ None of:	priority under 35 U.S.C. § 119(a)	-(a) or (t).					
ayı	1.☐ Certified copies of the priority documents	s have been received.						
	2. Certified copies of the priority documents		on No					
	3. Copies of the certified copies of the prior	ity documents have been receive	ed in this National Stage					
	application from the International Bureau	` ''						
* 5	See the attached detailed Office action for a list of	of the certified copies not receive	d.					
Attachmen	t(s)							
	e of References Cited (PTO-892)	4)						
3) Inform	e of Draftsperson's Patent Drawing Review (PTO-948) nation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date	5) Notice of Informal P 6) Other:						

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06) Application/Control Number: 10/740,030 Page 2

Art Unit: 2614

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-3, 5, 11-18, 26-29, 43, 45, 51-54, 65, 66 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal, Jr. '226 in view of Bernstein et al, U.S. Pat. App. Pub. #2004/00128356.

McZeal discloses in col. 4, lines 18 et seq. that until his invention there was no device which could take full advantage of the Internet and IM for voice quality purposes, and which uses computer data networks for voice. In col. 28, lines 5 et seq. McZeal discloses that his invention provides customers with instant IM which uses VoIP. In col. 16, lines 39 et seq. McZeal discloses that his invention can use both the Internet and the PSTN. Bernstein et al disclose in P.0050 that each IM session has a universally unique identifier, which the server computer uses to identify and store individual Instant Messages. To have provided Bernstein et al teaching of storing IM in a server in McZeal's communication system would have been obvious to a person having ordinary skill in the art, because the skilled practitioner in this communication art will realize the need to store messages if the called party lacked the present ability to receive the IM.

For claims 2 & 3, McZeal discloses in cols. 1 & 16, lines 42-43 & 25-30 that his invention can be used in local or wide area networks - LAN/WAN.

Regarding claim 11, see McZeal @ col. 16, lines 42 & 59-60. Pertaining to claim 20, with McZeal's disclosure that his device that his device can be used in either a WAN

Art Unit: 2614

(Internet) or LAN (local area network). If the voice message is to be routed out beyond a LAN, then an external serving system will have to be employed until the message reaches the recipient inside of the LAN, whereupon the LAN and its associated server will route the message to the intended recipient.

Claims 4, 19, 20, 44 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al as applied to claim 1 above, and further in view of Williams et al.

Williams et al disclose in P.0055 that a messaging server (105) will save a voice message and send a list of recipients to the user from an address book. To have provided Williams teaching of a server providing a user a calling list of recipients in McZeal's Instant Voice Messaging server system would have been obvious to a person having ordinary skill in the art because the skilled practitioner in the communications and server arts will readily realize that there are an unlimited amount of commands and information that a server can hold which can be communicated to anyone throughout the world that has proper equipment.

Claims 7, 22, 47 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al as applied to claim 1 above, and further in view of Sagi et al.

Sagi et al disclose in claim 24 where a server will receive an audio file from a subscriber, and then in claim 29 Sagi et al disclose that the transmission is sent to a 2<sup>nd</sup> subscriber. To have similarly used Sagi et al disclosure of transmitting an audio file to a server in McZeal"s device would have been obvious to a person having ordinary skill in

Art Unit: 2614

the art, because the skilled practitioner in communications art will realize that the sending party can either directly record a voice message or send an audio file. Either way, a called party will receive the voice message.

Claims 8, 23, 48 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al. as applied to claim 1 above, and further in view of Goodman et al.

Goodman et al disclose in P.0033 that an audio message can be transformed from any of encrypted, decrypted, compressed, or decompressed format. To have similarly provided Goodman's teaching of encrypting, decrypting, compressing, and decompressing audio into McZeal's device would have been obvious to a person having ordinary sill in the art, because by compressing the audio will take up less memory in the server.

Claims 9, 24, 49 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al as applied to claim 1 above, and further in view of Gierachf.

Gierachf discloses in P.0044 in Step- 266 that the audio data or voice message is sent to audio buffer 19B'. To have similarly used Gierachf's method of buffering the audio data in McZeal's apparatus would have been obvious to a person having ordinary skill in the art.

Claims 10, 25, 50 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al as applied to claim 1 above, and further in view of Creamer et al, U.S. Pat. App. Pub. #2003/0126207.

Art Unit: 2614

Creamer et al disclose in P.0006 that IM chat systems can also support the exchange of attachments. Attachments are electronic files such as images, documents, or binary objects which can be attached to an IM and transmitted therewith. To have used creamer et al teaching of attaching an electronic file to an IM in McZeal's instant voice messaging system would have been obvious to a person having ordinary skill in this art because the skilled practitioner will realize the efficiency of alerting a multitude of persons located throughout the world that an email/document from the sender is being sent to the recipients, such as the minutes of an important meeting.

Claims 30-33, 35, 41, 55, 57, 63, 64, 67, 69, 75 are rejected under 35

U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al as applied to claim1 above, and further in view of Monroe.

Monroe discloses in col. 20, lines 28 et seq. and in Fig. 9 a local server (460) connected to a LAN, which provides a gateway to a WAN like the Internet. In col. 32, lines 11 et seq. Monroe discloses that pre-recorded voice messages can be delivered to a modem and then delivered throughout the network. To have used Monroe's teaching of connecting a local server to an Internet server in McZeal's device would have been obvious to a person having ordinary skill in the art because a local server will only reach a few, select individuals in close proximity to each other, whereas the Internet will have global reach, thus insuring connectivity to clients worldwide.

Claims 42 & 76 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al and Monroe as applied to claim 30 above, and further in view of Boukobza, U.S. Pat. App. Pub. #2006/0167883.

Art Unit: 2614

Boukobza's method as disclosed in P.0020 is for load balancing databases within a network having a plurality of servers. To have provided Boukobza's method of load balancing servers in Monroe as applied to McZeal would have been obvious to a person having ordinary skill in the art, because the skilled practitioner would realize that as one server becomes filled with IM, or as one server is being inundated with high volume traffic, it would become necessary to route some of those IM to another server for storing.

Claims 34, 56, 68 are 4 rejected under 35 U.S.C. 103(a) as being unpatentable over Mczeal in view of Bernstein et al and Monroeas applied to claim 30 above, and further in view of Williams et al.

Claims 37, 59, 71 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al and Monroe as applied to claim 30 above, and further in view of Sagi et al.

Claims 38, 60, 72 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al and Monroe as applied to claim 30 above, and further in view of Goodman et al.

Claims 39, 61, 73 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al and Monroe as applied to claim 30 above, and further in view of Gierachf.

Claims 40, 62, 74 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Bernstein et al and Monroe as applied to claim 30 above, and further in view of Creamer et al.

Art Unit: 2614

04 AUG '08

Any inquiry concerning this communication should be directed to Creighton H. Smith at telephone number 571/272-7546.

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/Creighton H Smith/ Primary Examiner, Art Unit 2614

Notice of References Cited	Application/Control No. 10/740,030	Applicant(s)/Patent I Reexamination ROJAS, MICHAEL	
Notice of References Offen	Examiner	Art Unit	
	Creighton H. Smith	2614 F	Page 1 of 1

#### U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification							
*	Α	US-2004/0128356	07-2004	Bernstein et al.	709/206							
*	В	US-2003/0126207	07-2003	Creamer et al.	709/204							
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#### FOREIGN PATENT DOCUMENTS

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#### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

**Notice of References Cited** 

Part of Paper No. 20080804

# Index of Claims 10740030 Examiner Creighton H Smith Applicant(s)/Patent Under Reexamination ROJAS, MICHAEL J. Art Unit 2614

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U.S. Patent and Trademark Office

Part of Paper No.: 20080804

# Index of Claims 10740030 Examiner Creighton H Smith Applicant(s)/Patent Under Reexamination ROJAS, MICHAEL J. Art Unit 2614

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U.S. Patent and Trademark Office

Part of Paper No.: 20080804

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	10740030	ROJAS, MICHAEL J.
	Examiner	Art Unit
	Creighton H Smith	2614

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## Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
10740030	ROJAS, MICHAEL J.
Examiner	Art Unit
Creighton H Smith	2614

	SEARCHED		
Class	Subclass	Date	Examiner

SEARCH NOTES		
Search Notes	Date	Examiner
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#### **EAST Search History**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	207	(@ad<="20021218") and (stor\$3 with (im or instant adj messag\$3) with server\$1)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/08/04 13:09
L2	411	(@ad<="20021218") and ((attach\$3 or fasten\$3 or affix \$3 or connect\$3 or join\$3 or add\$3) with email with (audio or voice))	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/08/04 14:43
L3	3411	(@ad<="20021218") and ((attach\$3 or fasten\$3 or affix \$3 or connect\$3 or join\$3 or add\$3) with (file\$1 or email) with (audio or voice))	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/08/04 14:44
L4	17	(@ad<="20021218") and ((attach\$3 or fasten\$3 or affix \$3 or connect\$3 or join\$3 or add\$3) with email with (im or instant adj mesag\$3))	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/08/04 14:48
L5	44	(@ad<="20021218") and ((attach\$3 or fasten\$3 or affix \$3 or connect\$3 or join\$3 or add\$3) with (email or file\$1) with (im or instant adj mesag \$3))	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/08/04 15:08

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#### UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael J. Rojas

Examiner:

Creighton H. Smith

Serial No:

10/740,030

Art Unit:

2614

Filed:

December 18, 2003

Docket:

17188

For:

SYSTEM AND METHOD FOR

Dated:

July 7, 2008

INSTANT VoIP MESSAGING

Confirmation No. 1731

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

#### RESPONSE UNDER 37 C.F.R. § 1.111

Sir:

Applicant submits this Response in reply to the Official Action dated March 6, 2008. Applicant respectfully requests reconsideration of the application in view of the following remarks.

#### CERTIFICATE OF ELECTRONIC FILING

I hereby certify that this correspondence is being deposited with the United States Patent & Trademark Office via Electronic Filing through the United States Patent and Trademark Office e-business website, on July 7, 2008.

Dated: July 7, 2008

Seth Weinfeld

#### REMARKS

Applicant has filed the present Response in reply to the outstanding Official Action of March 6, 2008, and the Applicant believes the Response to be fully responsive to the Official Action for at least the reasons set forth herein.

Claims 1-3, 5, 11-18, 26-29, 43, 45, 51-54, 65 and 66 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal (previously cited) and Barry, U.S. Patent Publication No. 2007/0174403. Claims 4, 19, 20, and 44 stand rejected under 35 U.S.C. § 103(a) in view of McZeal, Barry and Williams. Claims 7, 22 and 47 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Barry, and Sagi. Claims 8, 23 and 48 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Barry and Goodman. Claims 9, 24 and 49 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Barry and Gierachf, U.S. Pat.ent Publication No. 2005/0053230. Claims 10, 25 and 50 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Barry and Hollowell et al., U.S. Pat. Pub 2005/0105697.

Claims 30-33, 35, 41, 55, 57, 63, 64, 67, 69 and 75 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Barry and Monroe, U.S. Patent No. 6,970,183. Claims 42 and 46 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Barry, Monroe and Boukobza. Claims 34, 56 and 68 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view McZeal, Barry, Williams and Monroe. Claims 37, 59 and 71 were rejected under 35 U.S.C. § 103(a) as being unpatentable in view McZeal, Barry, Sagi and Monroe.

Claims 38, 60 and 72 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal, Barry, Goodman and Monroe. Claims 39, 61 and 73 stand rejected under 35

U.S.C. § 103(a) as being unpatentable in view of McZeal, Barry, Gierachf and Monroe. Claims

40, 62 and 74 stand rejected under 35 U.S.C. § 103(a) as being unpatentable in view of McZeal,

Barry, Hollowell and Monroe.

Applicant respectfully disagrees with the rejection and traverses with at least the

following remarks and comments. Applicant submits that Barry and Hollowell are not prior art.

Annexed hereto is a declaration pursuant to 37 C.F.R. § 1.131 attesting to Applicant's prior

conception of the claimed invention. As asserted in paragraphs 2 and 3, Applicant completed the

invention claimed in the instant application prior to the filing dates of both references. Applicant

worked diligently with two different patent attorneys to file a patent application.

Based upon the foregoing, Applicant respectfully requests that the Examiner withdraw all

of the pending rejections pursuant to 35 U.S.C. § 103(a).

In conclusion, the Applicant believes that the above-identified application is in condition

for allowance and henceforth respectfully solicits the Examiner to allow the application. If the

Examiner believes a telephone conference might expedite the allowance of this application, the

Applicant respectfully requests that the Examiner call the undersigned, Applicant's attorney, at

the following telephone number: (516) 742-4343.

Respectfully submitted,

Registration No: 50,929

Scully, Scott, Murphy & Presser, P.C. 400 Garden City Plaza, Suite 300

Garden City, New York 11530

516-742-4343

SW:reg

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COMBINED AMENDMENT & PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a) (Small Entity)  Docket No. 17188					
In Re Application Of: Michael J. Rojas					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/740,030	December 18, 2003	Creighton H. Smith	23389	2614	1731
Invention: SYS1	EM AND METHOD	FOR INSTANT VoIP MESSA	AGIN		
		COMMISSIONER FOR PA	37 CFR 1.136(a		eriod for filing a
response to the O	ffice Action of	Iarch 6, 2008 in the above Date	e-identified appli	cation.	•
		check time period desired):			Five months
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irom:	from: June 6, 2008 until: July 6, 2008 (Sunday)  Date Date				name and a state of the state o
Applicant claims small entity status. See 37 CFR 1.27.  The fee for the amendment and extension of time has been calculated as shown below:					
		CLAIMS AS AMEND	ED		
	CLAIMS REMAINING AFTER AMENDMENT	300	MBER EXTRA	RATE	ADDITIONAL FEE
TOTAL CLAIMS	70 -	76 =	0	x \$25.00	\$0.00
INDEP. CLAIMS	14 -	14 =	0	x \$105.00	\$0.00
FEE FOR AMENDMENT \$0.00					
FEE FOR EXTENSION OF TIME \$60.00				\$60.00	
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# COMBINED AMENDMENT & PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a) (Small Entity)

Docket No. 17188

The	e fee for the amendment and extension of time is to be paid as follow	ws:			
	A check in the amount of for the amendment a	nd extension of time is enclosed.			
×	Please charge Deposit Account No. 19-1013/SSMP in the amo	ount of \$60.00			
X	The Director is hereby authorized to charge payment of the following communication or credit any overpayment to Deposit Account No.	<b>1</b>			
	Any additional filing fees required under 37 C.F.R. 1.16.  Any patent application processing fees under 37 CFR 1.17.				
X	If an additional extension of time is required, please consider this fees which may be required to Deposit Account No. 19-1013/SSM				
	Payment by credit card. Form PTO-2038 is attached.				
	WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
<u> </u>		Dated: July 7, 2008			
	Signature Weinfeld	hereby certify that this correspondence is being			
	tration No. 50,929	deposited with the United States Postal Service with sufficient postage as first class mail in an envelope			
00 G	Garden City Plaza - Suite 300	addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on			
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		Signature of Person Mailing Correspondence			
cc:		Tried or Printed Name of Person Mailing Correspondence			

P28SMALL/REV06

# UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael J. Rojas Examiner: Creighton H. Smith

Serial No: 10/740,030 Art Unit: 2614

Filed: December 18, 2003 Docket: 17188

For: SYSTEM AND METIIOD FOR

INSTANT VOIP MESSAGING

Confirmation No. 1731

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

# DECLARATION PURSUANT TO 37 C.F.R. § 1.131

Sir:

# I, MICHAEL ROJAS, hereby declare that:

- I am the Applicant of United States Patent Application No. 10/740,030, filed on December 18, 2003.
- 2. I completed the invention disclosed and claimed in United States Patent Application No. 10/740,030, prior to November 14, 2003, which is the filing date of United States Publication No. 2005/0105697 A1, cited as a reference under 35 U.S.C. § 103, against the present application by the Examiner.
- 3. I completed the invention disclosed and claimed in United States Patent Application No. 10/740,030, prior to August 15, 2003, which is the filing date of United States Publication No. 2007/0174403 A1, cited as a reference under 35 U.S.C. § 103, against the present application by the Examiner.
- 4. The completion of the present invention consisted of the timely preparation of an invention disclosure outlining the subject matter of the invention. As evidence thereof

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- annexed hereto and made a part of this Declaration is Exhibit A, which is a redacted copy of the invention entitled "Instant Voice Communication" and comprising nineteen (19) pages of description.
- All of the salient features of Applicant's United States Patent Application No. 10/740,030 are fully described in the annexed Exhibit A.
- 6. The material, as set forth in Exhibit A, fully and comprehensively describes the subject matter of the claims of the United States Patent Application No. 10/740,030, setting forth the features of the claimed invention.
- The invention disclosure was timely submitted to outside counsel, Bradley C.
   Corsello (hereinafter "Corsello"), to prepare and file a provisional patent application.
- A first draft of the provisional patent application was received from Corsello, prior to August 15, 2003.
- On August 11, 2003, Corsello and Applicant had a teleconference regarding drafting
  the application and visit by Corsello to Applicant's office scheduled for August 19,
   2003. Annexed herein as Exhibit B is a redacted email evidencing the teleconference.
- 10. On August 28, 2003, Corsello responded to a series of questions from Applicant regarding information needed to draft the application. Annexed herein as Exhibit C is a redacted email from Corsello.
- 11. On September 8, 2003, a representative of the assignee, Ayalogic, Neil Adams (hereinafter "Adams") inquired about the status of the application. Corsello informed Applicant that he was working on the revised draft. Annexed herein as Exhibit D is a redacted small regarding the inquiry and response.

- 12. On September 17, 2003, Adams emailed Applicant inquiring about information and material needed for the draft of the provisional application. Annexed herein as Exhibit E is a redacted email regarding the inquiry.
- 13. On September 22, 2003, Adams emailed Corsello information and material for the provisional application. The material is appended to the email as an attachment.
  Annexed herein as Exhibit F is a redacted email regarding the submission of material.
- 14. On October 3, 2003, assignee, Ayalogic (hereinafter "Ayalogic") decided to look for another law firm to file a patent application regarding the subject matter described in the invention disclosure.
- Between October 3, 2003-October 27, 2003, Ayalogic searched for a law firm to preparing the patent application.
- On October 28, 2003, Ayalogic engaging the firm Scully, Scott, Murphy and Presser,
   P.C., (hereinafter "Scully Scott") to preparing a patent application.
- 17. On October 30, 2003, Adams forwarded the latest draft of the provisional application to Scully Scott. Annexed herein as Exhibit G is a redacted email forwarding the document.
- On November 4, 2003, Adams and Scully Scott conducted a teleconference regarding drafting of the application. Annexed herein as Exhibit H is a reducted email reflecting the teleconference.
- 19. On November 6, 2003, Adams emailed Applicant a revised draft and forwarded draft to Scully Scott. Annexed herein as Exhibit I is a redacted email evidencing the submission of the draft to Scully Scott.

- 20. Between November 6, 2003 and December 1, 2003, Adams inquired about the status of the application no less than three times.
- 21. Scully Scott prepared a draft of the application in timely manner. A first draft of the application was sent from Scully Scott to Applicant on December 2, 2003. Annexed herein as Exhibit J is a redacted email enclosing the draft. A series of revisions to the application were emailed to Applicant between December 3 and 4, after a teleconference with Applicant.
- 22. Applicant diligently reviewed the drafts of the application and provided comments thereto on December 9, 2003. Annexed herein as Exhibit K is a redacted email reflecting the comments.
- 23. A final draft of the application was sent to Applicant on December 16, 2003.
- 24. The United States Patent Application No. 10/740,030 was filed on December 18,2003, after a timely and expedient review by the Applicant.
- 25. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

MICHAEL ROJAS

\_\_\_<u>7 - 3 - みのろ</u>\_\_\_ Dated:

A



# **Instant Voice Communications**

REDACTED

Michael Rojas Executive Vice President

REDACTED

Ayalogic, Inc.

530 South Main Street, Suite 1732 Akron, Ohio 44311-1010 voice 330.253.2700 fax 330.253.3055

www.ayalogic.com

# **Instant Voice Communications**

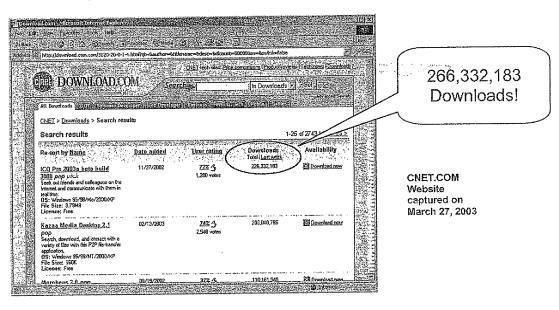
#### **Abstract**

This paper outlines the next step for communications systems – *instant voice communication* over internet protocol. With Ayalogic's <sup>TM</sup> new offering, QuickTalk<sup>TM</sup> business professionals will have the option to speak instantly with one another, revolutionizing the concept of telephone, voice mail and instant messaging. The IP technology behind QuickTalk will allow companies with this system to save dramatically on time, equipment and maintenance costs.

#### **Instant Voice Messaging**

QuickTalk<sup>TM</sup> offers instant connectedness – like an intercom that reaches everyone in the company, or a walkie talkie that spans the world. Touch a button and you can talk immediately with anyone anywhere the internet touches. The closest comparable technology is instant messaging – wildly popular, even with the significant handicap of using text instead of the clear, quality voice over IP that QuickTalk<sup>TM</sup> offers.

Instant messaging technology has been around in its most familiar form since 1996 and in recent years has become a common feature on PCs and cellular phones. It works like this: you create a "buddy list" of various people you may want to contact. When you want to communicate with a list member you simply type a message and it is instantly delivered to that person's desktop (usually in a pop-up window). How popular is instant messaging? CNET.COM, a prominent downloads site, reported the number of ICQ instant messaging software downloads just in a single week at over 500,000.



The substitution of voice for text makes QuickTalk<sup>TM</sup> infinitely more attractive. Nothing to type, just push a button and speak. Leave a voice mail message without dialing and check your own messages without lengthy punch pad scroll through. Ease of use and the comfort of voice communications set QuickTalk™ apart.

#### Innovation

No instant messaging vendor is concentrating on voice. We believe that by combining the best features of instant messaging with Voice over IP technology, we can provide a new form of communication - instant voice.

Messages are recorded, digitized, encrypted, and transmitted instantly to anywhere in the world. Since the digitization occurs at the time of recording, the voice quality will not suffer degradation as the message moves through the Internet. The voice quality will be superb every time - regardless of congestion on the global network.

#### New Levels of Privacy and Connectedness

QuickTalk<sup>TM</sup> promises to replace voice mail as we now know it with unprecedented levels of both connectedness and privacy. To leave a message with another user, simply push a button and speak. As for receiving messages, you may now choose in advance who can reach you instantly and which messages are sent automatically to voice mailwithout screening. Change your preferences whenever you like, based on your schedule or specific project needs. Screen all your messages if you like, or send all messages to be stored for later pick up.

When you wish to reach others, a QuickTalk™ display on your PC screen - or a display on certain types of phones - will tell you weather they are "in" or "out," again according to their preferences. This offers all of the connection of instant messaging with none of the productivity shattering intrusiveness.

#### Wherever You Go, There you Are

QuickTalk™ can reach you wherever you go, at whatever device you designate. Cellular phones, laptops, palm pilots - all can be used by the QuickTalk™ system whenever you designate that you wish to be reached somewhere other than at your desk. Using Ayalogic's TM proprietary gateway and software, you can now be reached (at whatever level of privacy you choose) instantly everywhere.

# **Voice over Internet Protocol (VoIP)**

Telephone technology has changed very little since its inception. It is still primarily an analog modulated electrical voltage running on copper wires to each home - exactly how Alexander Graham Bell designed it. Now the Internet has is forcing a change in this 100year-old technology. That change is called Voice over IP.

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Voice over IP (or IP telephony) is a method of voice transmission in which analog speech is converted to digital information and transported across a computer network. This technology enables the transmission of speech to anywhere in the world that the Internet touches. When the digital voice information arrives, it is converted back into its analog form using technology built directly into the phone or receiving device.



Cisco 7960 VolP Phone

The introduction of this technology, primarily by Cisco Systems, alarmed many traditional phone manufacturers. At first, they resisted the technology, citing that it was unreliable and of poor voice quality. However, as the technology's adoption rate grew, they began to incorporate it into their core products. Today, every vendor has some form of IP telephony offering.

Some manufacturers started from scratch creating new communication systems completely based on software, called *softswitches*. The philosophy was that once the voice was converted into digital packets, it could most easily be manipulated using computers and software. The goal was to speed the introduction of new phone services without having to upgrade expensive hardware. Cisco's CallManager product is an example of a softswitch.



Since Cisco had already cornered the Voice over IP enterprise market, the other softswitch vendors charged into the service provider market. Their customers were traditional phone companies, such as Verizon, and

competitive local exchange carriers known as CLECs. However, when the telecommunication sector slumped, the service providers cut drastically back in capital expenditures, all but evaporating the Voice over IP market for service providers.

In reaction to this, every softswitch vendor did an about-face, and introduced an enterprise-version of their carrier-class products. This means that the enterprise market has over 50 softswitch vendors vying for position in a market dominated by Cisco. To compete, prices on this technology are dropping precipitously.

### **New Phones**

Accelerating this price pressure is the weekly announcement of new devices that can leverage this technology. Companies such as Alcatel, Teliann, Lucent, Nortel, NEC, Cisco, Snom, Polycom, and Pingtel all offer VoIP phones. Up to now, the major growth inhibitor has been the cost the end device. In a normal communication system, the phones account for over 70% of the cost of the system.

Here is a sampling of the available phones as of March 27, 2003:





Vendor:

PingTel

Model:

expressa

Price:

\$599

Description:

The PingTel phone is intelligent, has a built-in java processor and uses industry standard Session Initiation Protocol (SIP).





Vendor:

Cisco Systems

Model: Price:

7905 \$230

Description:

This is Cisco's entry level IP phone based on the SIP standard.



Vendor:

Snom

Model:

100b

Price:

\$240

Description:

Entry level VoIP Phone, supporting multiple standards such as SIP and H.323/H.450.





Teliann

Model:

HS Teliann IP Phone

Price:

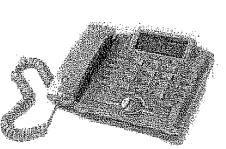
\$120

Description:

Korean-built, lowest cost VoIP phone on the market today – supports industry standard H.323 – SIP is planned.







The Teliann IP Phone was introduced at the Voice over Network conference (VON2002) in winter of 2002. Retailing at \$120, the phone has the potential of finally removing the price barrier to the market.

## Phone-Speak

Every one of these devices requires a signaling protocol to make them function properly. This protocol is a series of commands and response messages that control every aspect of the phone. Call Hold, Call Forward, Answer, Hang-Up, and other basic features are handled by this protocol. Until recently, another large inhibitor of the market was the lack of agreement as to a standard for this signaling.

Here is a brief list of the competing signal standards:

- H.323 This is actually an umbrella standard that covers a number of other standards. This collection originated in the International Telecommunication Union (ITU) and like most telecommunication standards, is large and complex.
- MGCP (Media Gateway Control Protocol) This standard was introduced by the Internet Engineering Task Force to control endpoint conversion devices, called gateways.
- MEGACO (MEdia GAteway COontrol Protocol) Similar to MGCP, this
  protocol attempts to provide additional functionality in controlling endpoint
  gateways.
- SIP (Session Initiation Protocol) A simple text-based protocol which has its roots in HTTP (Hypertext Transport Protocol), the protocol that drives every web page of the Internet today.
- SCCP (Skinny Client Control Protocol) This is a proprietary protocol that every Cisco phone uses to provide advanced services beyond the standard protocols.
   Only the Cisco CallManager product supports this protocol.

For the past few years, the industry wrestled with each standard, slowing the adoption of the technology. Many products were introduced that could not communicate with each other because of these different standards.

As of this writing, the standards war is ending, with SIP becoming the winner. Microsoft, Cisco, Alcatel, Lucent, Nortel, and other vendors have all introduced SIP-based products. SIP is favored because of the simple and extensible nature of the protocol. With the adoption of SIP as a standard across all products, the Voice over IP market has removed one more inhibitor.

However, the most important standard that Voice over IP introduces is not the signaling standard, but the *network technology* for the phone itself – Ethernet and TCP/IP.

## The Real Voice over IP Standard - Ethernet

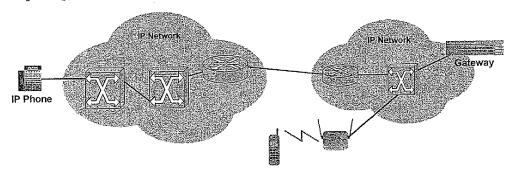
Every one of these devices shares a common characteristic. They all use TCP/IP protocol over Ethernet as the network standard to connect to the computer network.

This provides some very important benefits:

- Flexibility Because Ethernet and TCP/IP are so prevalent, the devices can be deployed in many networking environments. They can be part of Wireless Local Area Networks (WLAN) such as 802.11b and connect over broadband connections such as cable modem, and DSL.
- Cost Since Ethernet is widely available, the equipment to support such a
  network can enjoy the benefits of economies of scale. Networking gear is
  inexpensive, easy to obtain and install, allowing a wide audience to be reached.
- Mobility All Ethernet devices have a unique number called the Media Access Control address (MAC). This number represents a unique piece of hardware and is never duplicated. This means that no matter where the phone connects to the network, that particular phone can be located and has the *same* identity.
- Interoperability All the devices that deploy Ethernet inherently have the ability to communicate with one another. The devices may disagree on the *format* of the messages, but with additional software acting as a translator, these devices can communicate.

#### Flexibility

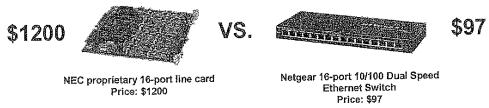
Ethernet provides for a wide variety of deployment possibilities. The networking standard can operate over twisted pair cabling, coax, and even wireless. Hundreds of network equipment manufacturers provide equipment for routing, switching, transporting, and configuring Ethernet-based systems.



This allows the customer to choose best solutions for their particular business goals – while maintaining compatibility and interoperability.

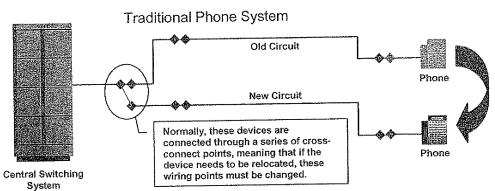
#### Cost

With so many vendors offering compatible equipment, Voice over IP using Ethernet provides for significant cost savings. For example, a proprietary, 16-port station line card for a typical phone system costs \$1200. This allows the system to be expanded by 16 endpoints. In contrast, to add an additional 16 endpoints to a Voice over IP system, an Ethernet switch could be installed which retails for \$97.

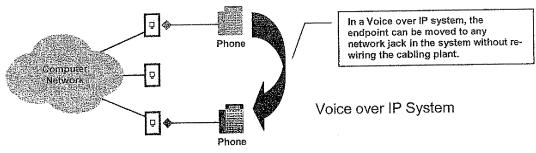


#### Mobility

In a traditional phone network, the typical business phone is a proprietary device using proprietary electrical signaling to connect to a central switching system. Even though the device may be located far from this system, its proprietary signaling limits where the device can be hooked up. It must be *directly connected*. This means that in order to move the device to a new location, the physical wiring must be changed.



However, when the device employs Ethernet, the customer has complete flexibility in the location of the endpoint. All jacks can be provisioned identically regardless of which physical device will ultimately be connected.

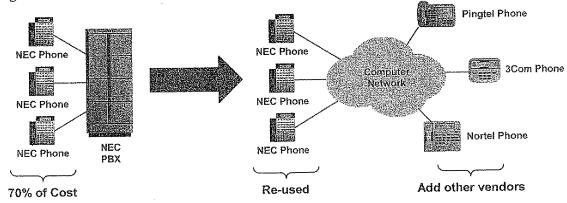


This is possible because each endpoint maintains its identity using the MAC address burned into the device. The *intelligence* in the system has been moved from a large

central device (PBX) into the endpoints itself. This give a Voice over IP system seamless *mobility* in relocating telephony equipment with a significant savings in administration costs.

#### Interoperability

Since the proprietary electrical signaling has been eliminated, it is possible to mix multiple vendor devices into the same network. This protects the customer's infrastructure investment and provides flexibility in determining the correct endpoint for a particular solution. Multiple systems can be combined into a single larger system regardless of whether the equipment is from the same vendor.



In the same way mainframes and *dumb* terminals gave way to personal computers and local area networks, the telecommunications industry can now move from cumbersome and costly switches and homogenious equipment to greater independence for end users and economical, as-needed equipment purchasing. All of this makes it possible to build a highly distributed and largely dispersed communication system that provides connectivity opportunities in ways that were not previously envisioned. We believe that this technology has paved the way to provide a new form of always-on, always-accessible, instant voice communications.

All that is required to connect one VoIP endpoint to another – instantly - is the software to control it.

## Why the PC is not a Phone

Most proponents of Voice over IP technology always arrive at the conclusion that the PC should be used as a *replacement* for the phone. After all, a personal computer has a processor, network card, and a sound card, so all you need is software and - voila! - you have an IP phone. In the VoIP industry, this type of software is known as a *softphone*.

Softphones have been slow to catch on because of several reasons:

- Reliability PCs are not always ready to receive calls, because of system reboots, lock-ups, and crashes. If the softphone software is not running at the time the call comes in, the call is lost.
- Latency Not all users are running the latest Windows OS with the latest processor speeds, making it hard to predict whether the system will be able to support real-time two-way audio. In addition, most PCs are used for other daily activities. In some cases, even running Microsoft Word could deprive the softphone of the necessary resources to provide quality audio streaming.
- Performance most audio needs real-time compression in order to be transmitted across the Internet. This compression can consume as much as 25% of most Pentium III processor cycles.
- Ergonomics A personal computer is somewhat uncomfortable to use as a phone. You will need to use a microphone and speakers at a minimum, making it impossible to have a private conversation. If you use a headset, you have a feeling of being tethered to the workstation.
- Interface Most softphones require dialing to be performed using the mouse or keyboard. This is an awkward situation at best. Even if you use the numeric keypad on the keyboard, the numbers are arranged upside-down of those on a telephone.
- Financial Some implementations require the addition of cards into the PC.
   Many IT departments balk at the task of opening every PC just to deploy a phone system, making this logistically and financially difficult.

According to a recent IDC report, 94% of all users prefer to talk using an actual phone rather than their PC. Any new communication technology must be able to interoperate with new and existing telephony devices.

However, let's look at another growing communication technology - instant messaging.

# Instant Messaging (IM) for the Business Market

While the consumer market is quite comfortable with instant messaging, the business market has viewed the technology with distrust, as problematic to manage and secure. Many corporations see the technology as *decreasing* productivity rather than enhancing it. However, whether individual IT groups sanction the use of the technology or not, instant messaging has invaded the workplace. The use of the technology can be broken down into several areas:

 Personal – While most companies have put into place strict phone abuse controls, instant messaging has effectively circumvented everything their IT groups have adopted. While most companies allow a reasonable amount of time for "calls to home", IM can quickly lead to abuse. If a corporation thinks IM decreases productivity, this is the most common reason provided.

- Co-Worker Usually a very legitimate use, leading to greater productivity if deployed properly. A classic example is the use of the technology in customer service centers. The caller can be kept on the line talking with the service agent, while the agent chats with the problem specialist (co-worker) using instant messaging. This enables the customer to be served without a transfer or being put on-hold.
- Customer Highly productive, convenient, low-cost way to serve your customer.
   Usually the biggest hurdle is getting the customer to use it.
- Vendor Also productive. Easier to convince vendors to use the technology, since they have a sales motivation.

Since the invasion of IM technology into the enterprise, many large and small companies have rushed into the market. Almost all are focusing on security, manageability, and control in order to satisfy the business environment.

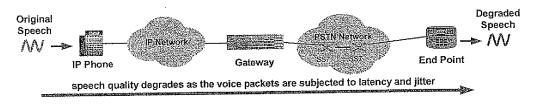
## **Current Instant Messaging Vendors**

Vendors	Products
All Instant, Inc.	LiveGate, LiveStudio/Live Manager, Live Tracker, Live Archive 2.0
America Online, Inc.	AGE Instant Messenger (AIM) 4.7 ICQ
Bantu, Inc.	Bantu Instant Messaging & Presence Platform 1.5
Flypaper Inc.	Open Web Services Platform 3.0
IBM	Lotus Sametime Server 2.5
Ikimbo	Omniprise 1.3.
Jabber, Inc.	Jabber Communications Platform 1.1
Microsoft Corp.	Microsoft MSN Messenger
Netscape Communications	Netscape Navigator Chat
Openwavė Systems, Inc.	Openwave IM
PeopleLink	OnLine Community Solutions-Msg. Boards, Chat, Instant Messaging
Rockliffe, Inc	MailSite DataCenter 4/5

		Sonork Instant Messaging Client 1.6	
Sor	ork S.R.L.	Sonork Instant Messaging Server 1.6	
	edred Software	ie/pop - Real-time Communication Software for	
WY	earea Sortware	Corporations 3.0	
Yah	noo!, Inc.	Yahoo! Instant Messenger	
Si .			

The big players are, of course, AOL and Microsoft. IBM has the most aggressive growth because they integrated their instant messaging platform into Lotus Notes.

However, even though these companies say they support VoIP conferencing (usually via Microsoft NetMeeting), they are primarily a text-based messaging system. If they do support voice, the only option is full, real-time communications — the same communication method as a phone, but with a noticeable reduction in voice quality. In Fact, they require the user to use the PC as a replacement for the phone. This approach has had very limited success, and recently Microsoft has announced they are dropping support for voice in their instant messaging product (MSN Messenger).



No instant messaging vendor is concentrating on voice. We believe that by combining the best features of instant messaging with Voice over IP technology, we can provide a new form of communication — *instant voice*. This technology allows the user to send and receive voice messages with a *push-to-talk* feel.

Messages are recorded, digitized, encrypted, and transmitted instantly to anywhere in the world. Since the digitization occurs at the time of recording, the voice quality will not suffer degradation as the message moves through the Internet. The voice quality will be superb every time – regardless of the currently congestion on the global network.



The user still has the option of controlling the *realtime-ness* of the communication – allowing instant messages, instant voice mails, paging, or full, two-way connections to be used.

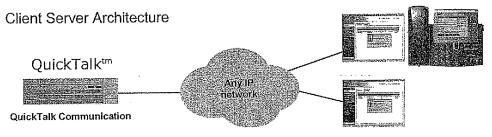
## **Our Technology**

Our product philosophy involves three key elements:

- Simplicity in use Above everything else, the product will be easy for ordinary people to use everyday. The product can be received with very little training. It must be obvious to the casual user how the product can be put to use.
- Powerful in function Through the creation of business enhancing features, the product will provide immediate, real-world productivity on a daily basis.
- Business class software The software is designed from the ground up by business people for business.

Our flagship product, the QuickTalk Communication Platform<sup>tm</sup>, is an enterprise class instant voice communication system designed to meet these goals. This system provides businesses with secure, manageable, and scalable instant voice communications. The product works with practically any existing phone system as an adjunct server providing advanced business-to-business collaborative communications.

Leveraging the latest software technologies, the server software is .NET managed code running on a Windows .NET Server platform with a Microsoft SQL Server database.

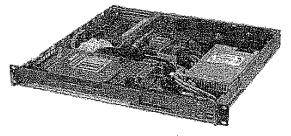


QuickTalk Clients with optional phones

The entire software platform is installed on a rack-mountable industrial-grade server.

This server features a front panel LCD which can control everything from assigning the network address, to rebooting the system.

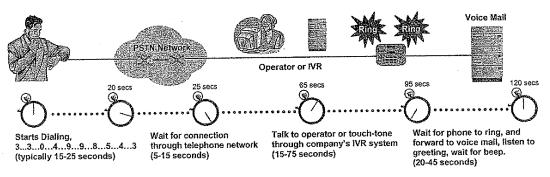
Multiple servers may be deployed for system redundancy and load balancing.



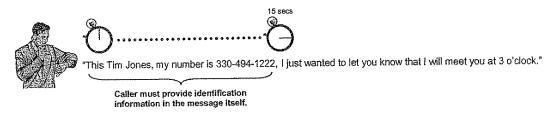
#### **Instant Voice Communications**

When using this form of communication, the end user simply designates a recipient, speaks the desired message and the audio is digitized, compressed, encrypted and immediately delivered using voice over IP technology. It is fast, easy and convenient. You can think of this as voice mail in reverse. No more waiting for the beep – just leave your message and go.

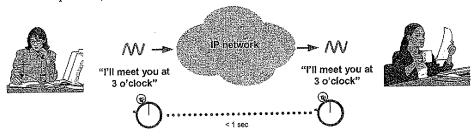
To see the benefit of using instant voice communication, look how an old-style voice mail message is delivered today:



After dialing, connecting, transferring, ringing, and listening to the voice mail greeting, the caller has wasted over 1-2 minutes. This is the businessperson's typical waiting time before a voice message can begin. In addition to this waiting time, the caller must also spend time providing identification information in the voice mail message itself, further increasing the time of the entire effort.



With instant voice communication, the caller simply presses a **push-to-talk** button on her PC keyboard or her phone and speaks her message. The message is delivered *instantly* via her telephone (which can be set directly on speaker or with a special ring signal).



If the receiving party of the message wishes to reply, they can do so instantly by replying hands-free to the incoming message. The reply is delivered immediately to the sender.

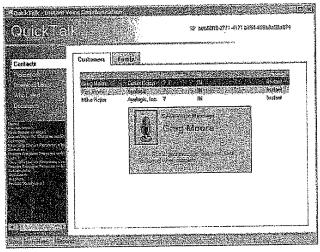


All of this occurred without dialing, transferring, connecting, or most importantly waiting. The productivity gains are enormous. Let's look at a hypothetical ball bearing company.

Number of Employees	2000 employees
Average calls placed or received per day per employee (station station and outside calls).	8 calls
Average salary of a employee	22.50 / hour
Percent of calls that reach voice mail or caller must wait for answer/callback	60% = 4.8 calls are "callbacks" or reach voice mail
Time wasted pericall waiting to leave message	2 minutes
Total Time wasted in company per day:	19,200 minutes per day
Total dollars saved using instant voice communications	\$7,200 / day = <b>\$1,872,000 / year</b>

Many companies spend hundreds of thousands of dollars on voice mail technologies so that they don't miss important messages. QuickTalk provides all the benefits of traditional voice messaging without the wait. The key to this technology is the patent-

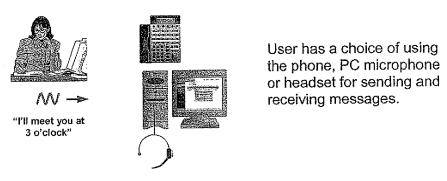
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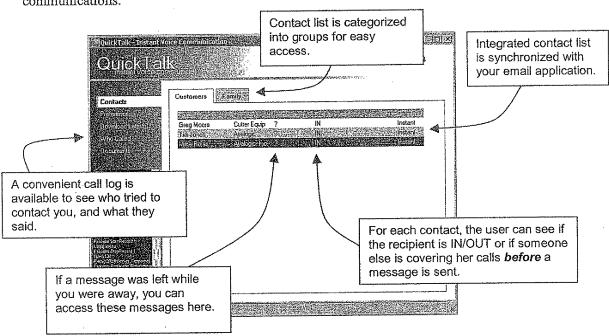
pending technology in the QuickTalk client software. This runs on Windows 95/98, 2000, NT, and XP and provides an easy-to-use interface to the product.

To use the technology, the user simply highlights the intended recipient, presses the space bar, and speaks the desired message. When the key is released, the message is instantly delivered to the intended contact.

If the user wishes to give or receive a more private conversation, the user may speak her message into a telephone instead of a PC microphone – handoff is seamless between the client software and the physical telephony device.



The client software provides a high-level view of all contacts using instant voice communications.



The client software can also be deployed on any system that utilitizes the Microsoft .NET framework. This provides the flexibility to deploy the client onto a number of different computing devices: Pocket PCs, Laptops, Tablet PCs, and desktop computers.

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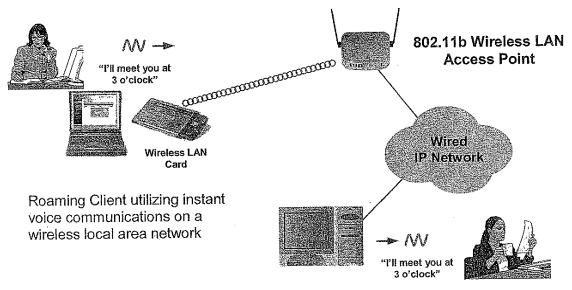




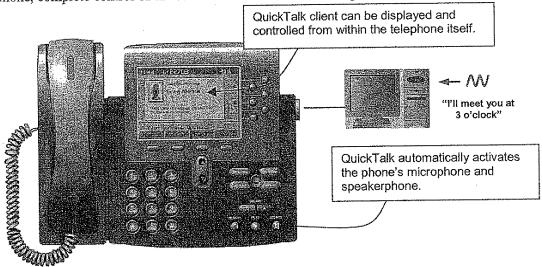




When a wireless LAN card is added to the device, the client software can be configured for *cordless* instant voice communications providing mobility to the user.



Another important aspect of the client software is interoperability with the actual telephone infrastructure. When the client software is configured to utilize an actual phone, complete control of the software can be handled through the device itself.



QuickTalk can support Voice over IP telephony hardware such as Cisco, Snom, Polycom, Teliann, and Pingtel, as well as legacy, circuit-based telephone infrastructure. This allows the product to provide instant voice communications on the customer's existing telephone system.

#### OuickTalk supports the following vendors:

- Avaya™ DEFINITY® ECS and MERLIN MAGIX®
- Nortel Meridian® and Norstar®
- NEC NEAX, Electra Elite and i-Series
- Toshiba Strata DK
- Ericsson MD110
- Alcatel 4200 and 4400
- Iwatsu ADIX APS
- · Panasonic DBS 576 and 576HD





NORTEL NETWORKS



Empowered by Innovation







Parasonio

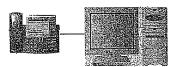
Here the allowable configuration modes of the client:

#### Stand-Alone (PC Only)



In this mode, communication is provided through the PC's speakers and microphone. The user can utilize an optional headset for a private conversation.

### Stand-Alone with locally controlled VolP phone



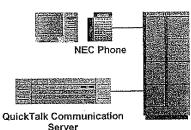
The user is free to use the phone and/or PC for instant voice communications. To transfer communication to the phone the user simply picks up the handset of the phone.

#### Remotely controlled VolP phone



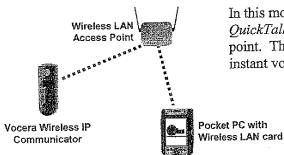
In this mode, the phone is remotely controlled from a virtual client on the *QuickTalk Communication Platform*. This allows the phone to be used independently from a PC.

### Non-VoIP phone controlled by QuickTalk Server



In this mode, the phone is remotely controlled by the *QuickTalk Communication Platform*. Control is accomplished by using integration technology to connect to the existing telephone system. This configuration allows existing infrastructure to be used for instant voice communications.

#### Wireless LAN IP devices



In this mode, the devices are remotely controlled by the *QuickTalk Communication Platform* via a wireless access point. This allows roaming clients to send and receive instant voice communications over a wireless network.

#### **A Different Voice**

QuickTalk<sup>TM</sup> offers a product unlike any other. No other company offers voice over IP technology in such a clear and convenient form. None works so completely with different machines (phones, PCs, Pocket PCs) and brands with such flexibility and mobility. Instant messaging is intrusive and voice mail as we know it can be cumbersome. QuickTalk<sup>TM</sup> with its patent pending *instant voice* technology promises to be the most convenient and cost-effective messaging solution for business people on the move.

В

### REDACTED

----Original Message----

REDACTED

From: Brad Corsello

Sent: Monday, August 11, 2003 11:45 AM

To: Michael J. Rojas

Subject: Meeting on Tuesday, August 19

Mike, following up on our phone conversation today, I've booked a flight for Tuesday, August 19 arriving at 11:35 at Akron-Canton. I'll just drive up from the airport and arrive at about 12:00-12:15 (or at a later time if that is more convenient for you).

REDACTED

REDACTED ...

This email is a confidential and privileged attorney-client communication.

BEDVCIED

This email is a confidential and privileged attorney-client communication.

" REDACTED "

## REDACTED \_\_\_

---- Original Message --From: "Brad Corsello" <

To: "Neil Adams" <nadams@ayalogic.com> Sent: Thursday, August 28, 2003 12:08 PM Subject: Re: CD with IMvox software

> Neil, '

\* REDACTED

# REDACTED

### REDACTED

But I think we will wrap things

> up next week.

> On Wed, 2003-08-27 at 16:26, neil adams wrote:

> > Brad,

>>

>>

>>,

REDACTED

" REDACTED "

## REDACTED

>> Do you have examples of prior patent submittals that answer these type
>> of questions?
>> Neil
>
REDACTED

> This email is a confidential and privileged attorney-client communication. >

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----Original Message----From: neil adams [mailto:nadams@ayalogic.com] Sent: Monday, September 08, 2003 3:37 PM To: mrojas@ayalogic.com Subject: Patents - status, Brad FY Neil ---- Original Message -----From: "Brad Corsello" <bcorsello@corsellolaw.com> To: "Neil Adams" <nadams@ayalogic.com> Sent: Monday, September 08, 2003 3:21 PM Subject: Re: CD with IMvox software > Neil, I am working on it now and will have it to you tonight or tomorrow > morning. > On Mon, 2003-09-08 at 10:04, neil adams wrote: > > Brad, > > What's the status on changes to the patent app'n? >> > > Neil >> ---- Original Message ----->> From: "Brad Corsello" <bcorsello@corsellolaw.com> >> To: "Neil Adams" <nadams@ayalogic.com> >> Sent: Thursday, August 28, 2003 12:08 PM > Subject: Re: CD with IMvox software >> > > \* REDACTED >>> REDACTED

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communication.
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## REDACTED (

From: neil adams [mailto:nadams@ayalogic.com] Sent: Wednesday, September 17, 2003 11:08 AM To: mrojas@ayalogic.com; misha@ayalogic.com Subject: CD for patents - questions

Mike,

Here's some additional information I need to add to the CD for Brad.

REDACTED

Questions

REDACTED

REDACTED

REDACTED \*\*

REDACTED

REDACTED

F REDACTED -

Neil

F

## REDACTED

From: neil adams [mailto:nadams@ayalogic.com] Sent: Monday, September 22, 2003 12:29 PM To: bcorsello@corsellolaw.com

To: bcorsello@corsellolaw.com Cc: mrojas@ayalogic.com Subject: CD folders/files

Brad,

Attached is a compressed copy of the IMvox software and a Readme document that gives a brief overview of the software and hardware requirements.

REDACTED

REDACTED ...

Neil

G

## « REDACTED .

## REDACTED

From: neil adams [mailto:nadams@ayalogic.com]
Sent: Thursday, October 30, 2003 1:03 PM
To: mrojas@ayalogic.com; Herbert Breger (E-mail); jbreger@ayalogic.com; bdiehl@ayalogic.com; misha@ayalogic.com
Subject: Prov patent forwarded to Paul Esatto at Scully et al.

The Provisional patent document was sent at 12:00 noon today.

REDACTED

Neil

REDACTED

From: neil adams [mailto:nadams@ayalogic.com] Sent: Tuesday, November 04, 2003 1:50 PM To: mrojas@ayalogic.com; Herbert Breger (E-mail) Subject: Scully contact/discussions

I talked with the person at Scully who will be responsible for supporting our patent application. He is Alex Vodovozov.

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Basically we went through a variety of questions about the patent draft

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Neil

From: neil adams [mailto:nadams@ayalogic.com] Sent: Thursday, November 06, 2003 2:28 PM To: mrojas@ayalogic.com

Subject: Status - Patent Draft

Mike,

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- REDACTED ...

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I am sending this version to Alex at Scully.

If you came up with a newer version please send it to me at nadams@sssnet.com.

I'll be back on Sunday and can review the changes prior to our 10:30 teleconference with Alex and Paul on Monday.

Neil

J

# REDACTED

----Original Message----

From: Alex Vodovozov [mailto:avodovozov@ssmp.com]

Sent: Tuesday, December 02, 2003 5:09 PM

To: mrojas@ayalogic.com Cc: Nadams@sssnet.com

Subject: IVM appl.

Dear Mike and Neil:

Please see a draft of the application.

REDACTED\_

Thank you for your assistance.

Regards,

Alexander G. Vodovozov, Esq. Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343 (telephone) (516) 742-4366 (facsimile) avodovozov@ssmp.com (email)

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K

REDACTED

From: neil adams [mailto:nadams@ayalogic.com] Sent: Tuesday, December 09, 2003 4:30 PM To: 'Herbert Breger'; mrojas@ayalogic.com Subject: Latest draft mods sent to Alex for review.

REDACTED

\* REDACTED J

Neil

			Electronic Patent Application Fee Transmittal							
Application Number:	10740030									
Filing Date:	18-Dec-2003									
Title of Invention:	System and method for instant VoIP messaging									
First Named Inventor/Applicant Name:	Michael J. Rojas									
Filer:	Paul J. Esatto/Roseann Gallo									
Attorney Docket Number:	Attorney Docket Number: 17188									
Filed as Small Entity										
Utility Filing Fees										
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)						
Basic Filing:										
Pages:										
Claims:										
Miscellaneous-Filing:										
Petition:										
Patent-Appeals-and-Interference:										
Post-Allowance-and-Post-Issuance:										
Extension-of-Time:										
Extension - 1 month with \$0 paid	2251	1	60	60						

Description	Fee Code	Fee Code Quantity Amount		Sub-Total in USD(\$)
Miscellaneous:				
	Tota	al in USE	) (\$)	60

Electronic Acknowledgement Receipt					
EFS ID:	3574061				
Application Number:	10740030				
International Application Number:					
Confirmation Number:	1731				
Title of Invention:	System and method for instant VoIP messaging				
First Named Inventor/Applicant Name:	Michael J. Rojas				
Customer Number:	23389				
Filer:	Paul J. Esatto/Roseann Gallo				
Filer Authorized By:	Paul J. Esatto				
Attorney Docket Number:	17188				
Receipt Date:	07-JUL-2008				
Filing Date:	18-DEC-2003				
Time Stamp:	15:59:25				
Application Type:	Utility under 35 USC 111(a)				

## Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$60
RAM confirmation Number	1347
Deposit Account	191013
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Document Number	Document Description File Name		File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
4		AMENDOCOT :: 45	272137		
1	1 AMEND2EOT.pdf		559af11695555820ed564ec18a03c5e9 b91852a6	yes	5
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	Amendment - After Nor	Amendment - After Non-Final Rejection			1
	Applicant Arguments/Remarks	2		3	
	Extension of Time		4	5	
Warnings:					
Information:					
2	Rule 130, 131 or 132 Affidavits	1131EXHIBIT.pdf	2419512	no	47
2	Title 130, 101 of 132 Allicavits	1101EXHIBIT.pai	343cb6c54645324fe787bcf9ad0412dc2 dcd7dec	110	
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3	Fee Worksheet (PTO-06)	fee-info.pdf	8143	no	2
3	ree worksheer (F10-00)	iee-iiiio.pai	1290891803c33abbdad5ae675d70fc0d 01936076	no	
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (07-06)
Approved for use through 1/31/2007. OMB 0651-0032
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PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875				Application or Docket Number 10/740,030		Filing Date 12/18/2003		To be Mailed			
	APPLICATION AS FILED – PART I (Column 1) (Column 2)					SMALL	ENTITY 🛛	OR		HER THAN	
	FOR		UMBER FIL		NUMBER EXTRA		RATE (\$)	FEE (\$)	<u> </u>	RATE (\$)	FEE (\$)
П	BASIC FEE (37 CFR 1.16(a), (b), (c)		N/A		N/A		N/A	. == (+)	1	N/A	. == (+)
П	SEARCH FEE	or (c))	N/A		N/A		N/A		1	N/A	
$\vdash$	(37 CFR 1.16(k), (i), o		N/A	_	+		N/A		l	N/A	
	(37 CFR 1.16(o), (p), (TAL CLAIMS	or (q))		us 20 = *	N/A		x \$ =		OR	x \$ =	
IND	CFR 1.16(i)) EPENDENT CLAIM	S		inus 3 = *		l	x \$ =			x \$ =	
(37	CFR 1.16(h))	If the		ation and drawin	as exceed 100	ł	, v		1	, <b>,</b>	
	APPLICATION SIZE (37 CFR 1.16(s))	shee is \$2 addit	ts of pape 50 (\$125 ional 50 s	er, the application for small entity) sheets or fractional (1)(G) and 37	on size fee due for each n thereof. See						
	MULTIPLE DEPEN	IDENT CLAIM PR	ESENT (3	7 CFR 1.16(j))		]			l		
* If	the difference in colu	umn 1 is less than	zero, ente	r "0" in column 2.			TOTAL		l	TOTAL	
	APPI	LICATION AS (Column 1)	AMENE	DED – PART II (Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ALL ENTITY
۲	07/07/2008	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
AMENDMENT	Total (37 CFR 1.16(i))	* 70	Minus	** 76	= 0	1	X \$25 =	0	OR	x \$ =	
N.	Independent (37 CFR 1.16(h))	* 14	Minus	***14	= 0	1	X \$105 =	0	OR	x \$ =	
ME		ze Fee (37 CFR 1	.16(s))			1					
_	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))				R 1.16(j))				OR		
							TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)		•			'	
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
MENT	Total (37 CFR 1.16(i))	*	Minus	**	=		x \$ =		OR	x \$ =	
DM	Independent (37 CFR 1.16(h))	*	Minus	***	=		x \$ =		OR	x \$ =	
Ш П	Application Si	ize Fee (37 CFR 1	.16(s))			]					
AMENDI	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						OR				
						4	TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
** If	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.										

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/740,030	12/18/2003	Michael J. Rojas	17188	1731
23389 SCHLLV SCO	7590 03/06/2008 TT MURPHY & PRESSEI	D DC	EXAM	INER
400 GARDEN		x, rc	SMITH, CRE	EIGHTON H
SUITE 300 GARDEN CIT	Y. NY 11530		ART UNIT	PAPER NUMBER
	,		2614	
			MAIL DATE	DELIVERY MODE
			03/06/2008	PAPER .

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)					
	10/740,030	ROJAS, MICHAEL J.					
Office Action Summary	Examiner	Art Unit					
	Creighton H. Smith	2614					
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address					
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).							
Status							
1) Responsive to communication(s) filed on  2a) This action is FINAL. 2b) This  3) Since this application is in condition for allowar closed in accordance with the practice under E	action is non-final.  nce except for formal matters, pro						
Disposition of Claims							
4) Claim(s) 1-5,7-20,22-35,37-45,47-57,59-69 and 4a) Of the above claim(s) 6, 21, 36, 46, 58, 70  5) Claim(s) is/are allowed. 6) Claim(s) 1-5, 7-20, 22-35, 37-45, 47-57, 59-69, 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or  Application Papers  9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) access Applicant may not request that any objection to the Replacement drawing sheet(s) including the correction of the original content of o	is/are withdrawn from consideral to the series of the seri	Examiner. e 37 CFR 1.85(a). jected to. See 37 CFR 1.121(d).					
Priority under 35 U.S.C. § 119							
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority documents 2. Certified copies of the priority documents 3. Copies of the certified copies of the prior application from the International Bureau * See the attached detailed Office action for a list	s have been received. s have been received in Applicati ity documents have been receive I (PCT Rule 17.2(a)).	on No ed in this National Stage					
Attachment(s)							
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date  U.S. Patent and Trademark Office	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	ate					

PTOL-326 (Rev. 08-06)

Application/Control Number: 10/740,030

Art Unit: 2614

Page 2

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-3, 5, 11-18, 26-29, 43, 45, 51-54, 65, 66 rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry, U.S. Pat. App. Pub. #2007/0174403.

McZeal discloses in col. 4, lines 18 et seq. that until his invention there was no device which would take full advantage of the Internet and instant messaging for voice quality purposes, and which uses computer data networks for voice.

In col. 28, lines 5 et seq., McZeal discloses that his invention provides customers with instant voice messaging which uses Voice over Internet Protocol (VoIP). In col. 16, lines 39 et seq., McZeal discloses that his invention can use both the Internet and the PSTN. Barry discloses in [0031] that instant messages/IM are stored in server 150. To have provided Barry's teaching of an IM server, that will store the IM until a user is ready to retrieve them, in McZeal's communication system would have been obvious to a person having ordinary skill in the art, because the skilled practitioner in this communications art would realize the need to store messages if the called party lacked the present ability to receive the IM.

For claims 2 & 3, McZeal discloses in cols. 1 & 16, lines 42-43 & 25-30 that his invention can be used in local or wide area networks, i.e., LAN/WAN.

Regarding claim 11, see McZeal @ col. 16, lines 42 & 59-60.

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Pertaining to claim 30, with McZeal's disclosure that his device can be used in either a WAN (internet) or LAN (local area network). If the voice message is to be routed out beyond a LAN, then an external serving system will be employed until the message reaches the recipient inside of the LAN, whereupon the LAN and its associated server will route the message to the intended recipient.

Claims 4, 19, 20, 44 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry, U.S. Pat. App. Pub. #2007/0174403 and Williams et al.

Williams et al disclose in ¶-0055 that a messaging server (105) will save a voice message and send a list of recipients to the user from an address book. To have provided Williams teaching of a server providing a user a calling list of recipients in McZeal's Instant Voice Messaging server system would have been obvious to a person having ordinary skill in the art, because the skilled practitioner in the communications and server arts will readily realize that there are an unlimited amount of commands and information that a server can hold which can be communicated to anyone throughout the world that has the proper equipment.

Claims 7, 22, 47, are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry, U.S. Pat. App. Pub. #2007/0174403 and to Sagi et al.

Sagi et al disclose in claim 24 where a server will receive an audio file from a subscriber, and then in claim 29 Sagi et al disclose that the transmission is sent to a second subscriber. To have similarly used Sagi et al disclosure of transmitting an audio file to a server in McZeal's device would have been obvious to a person having ordinary skill in the art, because the skilled practitioner in the communications art will realize that

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the sending party can either directly record a voice message or send an audio file.

Either way, a called party will receive the voice message.

Claims 8, 23, 48 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry, U.S. Pat. App. Pub. #2007/0174403 and Goodman et al.

Goodman et al disclose in ¶-0033 that an audio message can be transformed from any of encrypted, decrypted, compressed, or decompressed format. To have similarly provided Goodman's teaching of encrypting, decrypting, compressing, and decompressing audio into McZeal's device would have been obvious to a person having ordinary sill in the art, because by compressing the audio will take up less memory in the server.

Claims 9, 24, 49, are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry, U.S. Pat. App. Pub. #2007/01774403 and Gierachf.

Gierachf discloses in ¶-0044 in Step 266 that the audio data, or voice message, is sent to an audio buffer 19B'. To have similarly used Gierachf method of buffering the audio data in McZeal's device would have been obvious to a person having ordinary skill in the art.

Claims 10, 25, 50 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry, U.S. Pat. App. Pub. #2007/0174403 and Hollowell et al.

Hollowell et al teach in ¶-0031 attaching an email message to an audio message.

To have provided this teaching in McZeal would have been obvious to a person having ordinary skill in the art because the skilled practitioner in this communications art will realize the efficiency of alerting a multitude of persons located throughout the world that

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Page 5

an email from the sender is being sent to the recipients, such as the minutes of an important meeting.

Claims 30-33, 35, 41, 55, 57, 63, 64, 67, 69, 75 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry, U.S. Pat. App. Pub. 32007/0174403 and Monroe.

Monroe discloses in col. 20, lines 28 et seq. and in Fig. 9 a local server (460) connected to a LAN, which provides a gateway to a wide area network like the Internet. In col. 32, lines 11 et seq. Monroe discloses that pre-recorded voice messages can be delivered to a modem and then delivered throughout the Network. To have used Monroe's teaching of connecting a local server to an Internet server into McZeal's device would have been obvious to a person having ordinary skill in the art because a local server will only reach a few, select individuals in close proximity to each other, whereas the Internet will have global reach, thus insuring connectivity to clients worldwide.

Claims 42 and 76 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Barry and Monroe as applied to claim 30 above, and further in view of Boukobza, U.S. Pat. App. Pub. #2006/0167883.

Boukobza's method as disclosed in [0020] and claim 14 is for load balancing databases within a network having a plurality of servers. To have provided Boukobza's method of load balancing servers in Monroe as applied to McZeal would have been obvious to a person having ordinary skill in the art, because the skilled practitioner would realize that as one server becomes filled with IM, or as one server is being

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Art Unit: 2614

inundated with high traffic volume, it would be necessary to route some of those IM to

another server for storing.

Claims 34, 56, 68 are rejected under 35 U.S.C. 103(a) as being unpatentable

Page 6

over McZeal in view of Barry and Monroe as applied to claim 30 above, and further in

view of Williams et al.

Claims 37, 59, 71 are rejected under 35 U.S.C. 103(a) as being unpatentable

over McZeal in view of Barry and Monroe as applied to claim 30 above, and further in

view of Sagi et al.

Claims 38, 60, 72 are rejected under 35 U.S.C. 103(a) as being unpatentable

over McZeal in view of Barry and Monroe as applied to claim 30 above, and further in

view of Goodman et al.

Claims 39, 61, 73 are rejected under 35 U.S.C. 103(a) as being unpatentable

over McZeal, Jr. in view of Barry and Monroe as applied to claim 30 above, and further

in view of Gierachf.

Claims 40, 62, 74 are rejected under 35 U.S.C. 103(a) as being unpatentable

over McZeal, jr. in view of Barry and Monroe as applied to claim 30 above, and further

in view of Hollowell et al.

Any inquiry concerning this communication should be directed to Creighton H.

Smith at telephone number 571/272-7546.

02 MAR '08

**Primary Examiner** 

Art Unit 2614

#### Applicant(s)/Patent Under Reexamination ROJAS, MICHAEL J. Application/Control No. 10/740,030 Notice of References Cited Examiner Art Unit Page 1 of 1 2614 Creighton H. Smith

#### U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-2007/0174403	07-2007	Barry, Mona Elisabeth	709/207
*	В	US-2006/0167883	07-2006	Boukobza, Eric	707/010
	С	US-			
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#### FOREIGN PATENT DOCUMENTS

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#### **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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"A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

**Notice of References Cited** 

Part of Paper No. 20080303

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Search Notes						

Application/Control No.	Applicant(s)/Patent under Reexamination
10/740,030	ROJAS, MICHAEL J.
Examiner	Art Unit
Creighton H. Smith	2614

SEARCHED										
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SEARCH NOTES (INCLUDING SEARCH STRATEGY)								
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Part of Paper No. 20080303

# **EAST Search History**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	1127	((plural\$3 or multipl\$5) with servers with load near3 balanc\$3) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/03/03 09:46
L2	1032	((plural\$3 or multipl\$5) with servers with (load adj balanc\$3)) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/03/03 09:46
L3	0	((plural\$3 or multipl\$5) with servers with (load adj balanc\$3)) same (global with IM) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/03/03 09:51
L4	935	((plural\$3 or multipl\$5) near5 servers with (load adj balanc\$3)) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/03/03 09:57

## UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael J. Rojas Examiner: Creighton Smith

Serial No: 10/740,030 Art Unit: 2614

Filed: December 18, 2003 Docket: 17188

For: SYSTEM AND METHOD FOR Dated: February 19, 2008

INSTANT VoIP MESSAGING

Confirmation No. 1731

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

## AMENDMENT AND RESPONSE UNDER 37 C.F.R. § 1.111

Sir:

Applicant submits this Amendment and Response in reply to the Official Action dated September 18, 2007. Applicant respectfully requests reconsideration of the application in view of the following amendments and remarks.

## CERTIFICATE OF ELECTRONIC FILING

I hereby certify that this correspondence is being deposited with the United States Patent & Trademark Office via Electronic Filing through the United States Patent and Trademark Office e-business website, on February 19, 2008.

Dated: February 19, 2008

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Seth Weinfeld

## **IN THE CLAIMS**

This version of the claims replaces and supercedes all prior versions of the claims.

1. (Currently Amended) An instant voice messaging system for delivering instant messages over a packet-switched network, the system comprising:

a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the network; and

a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients enabled to audibly play the instant voice message, and the server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

- 2. (Original) The instant voice messaging system according to Claim 1, wherein the packet-switched network is a local network.
- (Original) The instant voice messaging system according to Claim 1,
   wherein the packet-switched network is the Internet.
- 4. (Original) The instant voice messaging system according to Claim 1, wherein the client requests a list of recipients associated with the client from the server

and the server transmits the list of recipients to the client for selection of the one or more recipients.

5. (Original) The instant voice messaging system according to Claim 1, wherein the server delivers the instant voice message to the selected recipients that are available.

## 6. Cancelled

- 7. (Original) The instant voice messaging system according to Claim 1, wherein the client records the instant voice message in an audio file, transmits the audio file to the server, and the server delivers the audio file to the selected recipients, the selected recipients being enabled to audibly play the audio file.
- 8. (Original) The instant voice messaging system according to Claim 7, wherein the client signal processes, compresses and encrypts the audio file, and the selected recipients being enabled to decrypt and decompress the audio file before audibly playing the audio file.
- 9. (Original) The instant voice messaging system according to Claim 1, wherein the client buffers each of a plurality of successive portions of the instant voice message as the instant message is recorded, and the client transmits each successive

buffered portion to the server for delivery to the to the selected recipients, the selected recipients being enabled to audibly playing each successive portion as it is delivered.

- 10. (Original) The instant voice messaging system according to Claim 1, wherein the client is enabled to attach one or more files to the instant voice message and the selected recipients are enabled to store or display the one or more attached files.
- 11. (Original) The instant voice messaging system according to Claim 1, the system further comprising a public switched telephone network (PSTN) telephone connected to the network to provide input audio of the instant voice message to the client.
- 12. (Original) The instant voice messaging system according to Claim 1, the system further comprising a voice-over-internet-protocol (VoIP) telephone connected to the network to provide input audio of the instant voice message to the client.
- 13. (Currently Amended) An instant voice messaging system for delivering instant messages over a packet-switched network enabling public switched telephone network (PSTN) support, the system comprising:
  - a PSTN telephone connected to the network for providing input audio;
- a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor using the input audio provided by the PSTN telephone, and transmitting the selected recipients and the instant voice message therefor over the network;

a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients being enabled to audibly play the instant voice message, and the server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

14. (Currently Amended) An instant voice messaging system for delivering instant messages over a packet-switched network, the system comprising:

a voice-over-internet-protocol (VoIP) telephone connected to the network for providing input audio;

a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor using the input audio provided by the VoIP telephone, and transmitting the selected recipients and the instant voice message therefor over the network;

a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients being enabled to audibly play the instant voice message, and the server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

15. (Currently Amended) An instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising:

a client connected to a local network, the client selecting one or more external recipients connected to an external network outside the local network, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the local network and the external network; and

a server connected to the external network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the external network, the selected recipients being enabled to audibly play the instant voice message, and the server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

16. (Original) The instant voice messaging system according to Claim 15, the client further selects one or more local recipients connected to the local network and transmits the selected local recipients and the instant voice message therefor over the local network, wherein the system further comprises:

a local server connected to the local network, the local server receiving the selected local recipients and the instant message therefor from the client, and delivering the instant voice message to the selected local recipients over the local network, the selected local recipients being enabled to audibly play the instant voice message.

- 17. (Original) The instant voice messaging system according to Claim 15, wherein the local network is a network within an enterprise.
- 18. (Original) The instant voice messaging system according to Claim 15, wherein the external network is the Internet.
- 19. (Original) The instant voice messaging system according to Claim 15, wherein the client requests a list of recipients associated with the client from the server and the server transmits the list of recipients to the client for selection of the one or more recipients.
- 20. (Original) The instant voice messaging system according to Claim 15, wherein the server delivers the instant voice message to the selected recipients that are available.

## 21. Cancelled

22. (Original) The instant voice messaging system according to Claim 15, wherein the client records the instant voice message in an audio file, transmits the audio file to the server, and the server delivers the audio file to the selected recipients, the selected recipients being enabled to audibly play the audio file.

- 23. (Original) The instant voice messaging system according to Claim 22, wherein the client signal processes, compresses and encrypts the audio file, and the selected recipients are enabled to decrypt and decompress the audio file before audibly playing the audio file.
- 24. (Original) The instant voice messaging system according to Claim 15, wherein the client buffers each of a plurality of successive portions of the instant voice message as the instant message is recorded, and the client transmits each successive portion to the server for delivery to the selected recipients, the selected recipients being enabled to audibly playing each successive portion as it is delivered.
- 25. (Original) The instant voice messaging system according to Claim 15, wherein the client is enabled to attach one or more files to the instant voice message and the selected recipients are enabled to store or display the one or more attached files.
- 26. (Original) The instant voice messaging system according to Claim 15, the system further comprising a public switched telephone network (PSTN) telephone connected to the local network to provide input audio of the instant voice message to the client.
- 27. (Original) The instant voice messaging system according to Claim 15, the system further comprising a voice-over-internet-protocol (VoIP) telephone connected to the local network to provide input audio of the instant voice message to the client.

28. (Currently Amended) An instant voice messaging system for delivering instant messages over a plurality of packet-switched networks enabling public switched telephone network (PSTN) support, the system comprising:

a PSTN telephone connected to a local network for providing input audio; a client connected to the local network, the client selecting one or more external recipients connected to an external network outside the local network, generating an instant voice message therefor using the input audio provided by the PSTN telephone, and transmitting the selected recipients and the instant voice message therefor over the local network and the external network;

a server connected to the external network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the external network, the selected recipients being enabled to audibly play the instant voice message, and the server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

29. (Currently Amended) An instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising:

a voice-over-internet-protocol (VoIP) telephone connected to a local network for providing input audio;

a client connected to the local network, the client selecting one or more external recipients connected to an external network outside the local network, generating an instant voice message therefor using the input audio provided by the VoIP telephone, and transmitting the selected recipients and the instant voice message therefor over the local network and the external network;

an server connected to the external network, the external server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the external network, the selected recipients being enabled to audibly play the instant voice message, and the server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

30. (Currently Amended) An instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising:

a client connected to an external network, the client selecting one or more recipients connected to a local network, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the external network; and

a external server system connected to the external network, the external server system receiving the selected recipients and the instant voice message, and routing

the selected recipients and the instant voice message over the external network and the local network;

a local server connected to the local network, the local server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the local network, the selected recipients being enabled to audibly play the instant voice message, and the local server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

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- 31. (Original) The instant voice messaging system according to Claim 30, the client further selects one or more external recipients connected to the external and transmits the selected external recipients over the external network to the external server, and the external server receives the selected external recipients and delivers the instant voice message to the selected external recipients over the external network, the selected external recipients being enabled to audibly play the instant voice message.
- 32. (Original) The instant voice messaging system according to Claim 30, wherein the local network is a network within an enterprise.
- 33. (Original) The instant voice messaging system according to Claim 30, wherein the external network is the Internet.

- 34. (Original) The instant voice messaging system according to Claim 30, wherein the client requests a list of recipients associated with the client from the external server system and the external server system transmits the list of recipients to the client for selection of the one or more recipients.
- 35. (Original) The instant voice messaging system according to Claim 30, wherein the local server delivers the instant voice message to the selected recipients that are available.

#### 36. Cancelled

- 37. (Original) The instant voice messaging system according to Claim 30, wherein the client records the instant voice message in an audio file, transmits the audio file to the external server, the external server system routes the audio file to the local server, and the local server delivers the audio file to the selected recipients, the selected recipients being enabled to audibly play the audio file.
- 38. (Original) The instant voice messaging system according to Claim 37, wherein the client signal processes, compresses and encrypts the audio file, and the selected recipients are enabled to decrypt and decompress the audio file before audibly playing the audio file.

- 39. (Original) The instant voice messaging system according to Claim 30, wherein the client buffers each of a plurality of successive portions of the instant voice message as the instant message is recorded, and the client transmits each successive buffered portion to the external server system, the external server system routes each successive portion to the local server, and the local server delivers each successive portion to the to the selected recipients, the selected recipients being enabled to audibly play each successive portion as it is delivered.
- 40. (Original) The instant voice messaging system according to Claim 30, wherein the client is enabled to attach one or more files to the instant voice message and the selected recipients are enabled to store or display the one or more attached files.
- 41. (Original) The instant voice messaging system according to Claim 30, the system further comprising a voice-over-internet-protocol (VoIP) telephone connected to the client via a local network, the client providing input audio of the instant voice message to the client via the local network.
- 42. (Original) The instant voice messaging system according to Claim 30, wherein the external server system comprises:
- a transport server mesh including a plurality of transport servers for routing instant voice messages;
- a directory server for maintaining the transport server mesh and facilitating load-balancing of the instant voice messages within the transport server mesh.

43. (Currently Amended) A method for instant voice messaging over a packet-switched network, the method comprising:

selecting one or more recipients for instant voice messaging at a client; generating an instant voice message for the selected recipients at the

transmitting the selected recipients and the instant voice message therefor over the network from the client to a server;

client;

receiving the selected recipients and the instant voice message therefor at the server;

delivering the instant voice message from the server to the selected recipients over the network;

temporarily storing at the server the instant voice message if a selected recipient is unavailable;

delivering from the server the stored instant voice message to the selected recipient once the selected recipient becomes available; and

audibly playing the instant voice message at the selected recipients.

44. (Original) The method for instant voice messaging according to Claim 43, wherein the method further comprises:

requesting from the client a list of recipients associated with the client from the server; and

transmitting from the server the list of recipients to the client for selection of the one or more recipients.

45. (Original) The method for instant voice messaging according to Claim43, wherein the method further comprises:

delivering the instant voice message from the server to the selected recipients that are available.

#### 46. Cancelled.

47. (Original) The method for instant voice messaging according to Claim 43, wherein the method further comprises:

recording the instant voice message at the client in an audio file; transmitting the audio file to the server; delivering the audio file from the server to the selected recipients; and

audibly playing the audio file at the least one of the selected recipients.

48. (Original) The method for instant voice messaging according to Claim 47, wherein the method further comprises:

signal processing, compressing and encrypting the audio file at the client; decrypting and decompressing the audio file at the at least one selected recipient; and

audibly playing the decrypted and decompressed audio file at the least one of the selected recipients.

49. (Original) The method for instant voice messaging according to Claim 43, further comprising:

buffering each of a plurality of successive portions of the instant voice message at the client as the instant message is recorded;

transmitting from the client each successive buffered portion to the server;

delivering each successive portion from the server to the selected
recipients, the selected recipients audibly playing each successive portion as it is
delivered.

50. (Original) The method for instant voice messaging according to Claim 43, wherein the method further comprises:

attaching one or more files to the instant voice message at the client; storing or displaying the one or more attached files at the selected recipients.

51. (Original) The method for instant voice messaging according to Claim 43, wherein the method further comprises:

providing input audio of the instant voice message to the client from a public switched telephone network (PSTN) telephone connected to the network.

52. (Original) The method for instant voice messaging according to Claim 43, wherein the method further comprises:

providing input audio of the instant voice message to the client from a voice-over-internet-protocol (VoIP) telephone connected to the network.

53. (Currently Amended) A method for instant voice messaging over a packet-switched network enabling public switched telephone network (PSTN) support, the method comprising:

providing input audio via a PSTN telephone connected over the network; selecting one or more recipients for instant voice messaging at a client; generating an instant voice message using the input audio from the PSTN telephone for the selected recipients at the client;

transmitting the selected recipients and the instant voice message therefor over the network from the client to a server;

receiving the selected recipients and the instant voice message therefor at the server;

delivering the instant voice message from the server to the selected recipients over the network;

temporarily storing at the server the instant voice message if a selected recipient is unavailable;

delivering from the server the stored instant voice message to the selected recipient once the selected recipient becomes available; and

audibly playing the instant voice message at selected recipients.

54. (Currently Amended) A method for instant voice messaging over a packet-switched network, the method comprising:

providing input audio via a voice-over-internet-protocol (VoIP) telephone connected over the network;

selecting one or more recipients for instant voice messaging at a client;
generating an instant voice message using the input audio from the VoIP
telephone for the selected recipients at the client;

transmitting the selected recipients and the instant voice message therefor over the network from the client to a server;

receiving the selected recipients and the instant voice message therefor at the server;

delivering the instant voice message from the server to the selected recipients over the network;

temporarily storing at the server the instant voice message if a selected recipient is unavailable;

delivering from the server the stored instant voice message to the selected recipient once the selected recipient becomes available; and

audibly playing the instant voice message at the selected recipients.

55. (Currently Amended) A method for instant voice messaging over a plurality of packet-switched networks, the method comprising:

selecting one or more external recipients for instant voice messaging at a client connected to a local network, the one or more external recipients connected to an external network outside the local network;

generating an instant voice message for the selected external recipients at the client;

transmitting the selected external recipients and the instant voice message therefor over the local network and the external network;

receiving the selected external recipients and the instant voice message therefor at an external server connected to the external network;

delivering the instant voice message to the selected external recipients over the external network;

temporarily storing the instant voice message at the external server if a selected recipient is unavailable;

delivering the stored instant voice message to the selected recipient once the selected recipient becomes available; and

audibly playing the instant voice message at the selected external recipients.

56. (Original) The method for instant voice messaging according to Claim55, wherein the method further comprises:

requesting from the external server a list of external recipients associated with the client; and

transmitting the list of external recipients from the external server to the client for selection of the one or more external recipients.

57. (Original) The method for instant voice messaging according to Claim55, wherein the method further comprises:

delivering the instant voice message from the external server to the selected recipients that are available.

#### 58. Cancelled.

59. (Original) The method for instant voice messaging according to Claim55, wherein the method further comprises:

recording the instant voice message in an audio file at the client; transmitting the audio file to the external server;

delivering the audio file to the selected recipients from the external server;

and

and

audibly playing the audio file at the selected recipients.

60. (Original) The method for instant voice messaging according to Claim 59, wherein the method further comprises:

signal processing, compressing and encrypting the audio file at the client;

decrypting and decompressing the audio file at the selected recipients; and

audibly playing the decrypted and decompressed audio file at the selected recipients.

61. (Original) The method for instant voice messaging according to Claim55, wherein the method further comprises:

buffering each of a plurality of successive portions of the instant voice message at the client as the instant message is recorded;

transmitting from the client each successive portion to the external server; delivering each successive portion from the external server to the selected external recipients,

audibly playing each successive portion at the selected external recipients as it is delivered.

62. (Original) The method for instant voice messaging according to Claim55, wherein the method further comprises:

attaching one or more files to the instant voice message;

storing or displaying the one or more attached files at the selected external recipients.

63. (Original) The method for instant voice messaging according to Claim 55, wherein the method further comprises providing input audio of the instant voice message to the client from a public switched telephone network (PSTN) telephone over the local network.

64. (Original) The method for instant voice messaging according to Claim 55, wherein the method further comprises providing input audio of the instant voice message to the client from a voice-over-internet-protocol (VoIP) telephone over the local network.

65. (Currently Amended) A method for instant voice messaging system over a plurality of packet-switched networks enabling public switched telephone network (PSTN) support, the method comprising:

providing input audio via a PSTN telephone connected to a local network; selecting one or more external recipients for instant voice messaging at a client, the one or more external recipients connected to an external network outside the local network;

generating an instant voice message for the one or more external recipients using the input audio provided by the PSTN telephone;

transmitting the selected recipients and the instant voice message therefor over the local network and the external network;

receiving the selected recipients and the instant voice message therefor at a server connected to the external network;

delivering the instant voice message to the selected recipients from the server over the external network;

temporarily storing at the server the instant voice message if a selected recipient is unavailable;

delivering from the server the stored instant voice message to the selected recipient once the selected recipient becomes available; and

audibly playing the instant voice message at the selected recipients.

66. (Currently Amended) A method for instant voice messaging system over a plurality of packet-switched networks, the method comprising:

providing input audio via a voice-over-internet-protocol (VoIP) telephone connected to a local network;

selecting one or more external recipients for instant voice messaging at a client, the one or more external recipients connected to an external network outside the local network;

generating an instant voice message for the one or more external recipients using the input audio provided by the VoIP telephone;

transmitting the selected recipients and the instant voice message therefor over the local network and the external network;

receiving the selected recipients and the instant voice message therefor at a server connected to the external network;

delivering the instant voice message to the selected recipients from the server over the external network;

temporarily storing at the server the instant voice message if a selected recipient is unavailable;

delivering from the server the stored instant voice message to the selected recipient once the selected recipient becomes available; and

audibly playing the instant voice message at the selected recipients.

67. (Currently Amended) A method for instant voice messaging over a plurality of a plurality of packet-switched networks, the method comprising:

selecting one or more recipients connected to a local network at a client connected to an external network;

generating an instant voice message for the selected recipients at the client;

transmitting the selected recipients and the instant voice message therefor over the external network from the client to an external server system;

receiving the selected recipients and the instant voice message at the external server system;

routing the selected recipients and the instant voice message over the external network and the local network;

receiving the selected recipients and the instant voice message therefor at a local server connected to the local network;

delivering the instant voice message to the selected recipients over the local network;

temporarily storing the instant voice message at the local server if a selected recipient is unavailable;

delivering the stored instant voice message to the selected recipient once the selected recipient becomes available; and

audibly playing the instant voice message at the selected recipients.

68. (Original) The method for instant voice messaging according to Claim 67, wherein the method further comprises:

requesting a list of recipients associated with the client from the external server system; and

transmitting the list of recipients from the external server system to the client for selection of the one or more recipients.

69. (Original) The method for instant voice messaging according to Claim 67, wherein the method further comprises:

delivering the instant voice message from the local server to the selected recipients that are available.

#### 70. Cancelled

and

71. (Original) The method for instant voice messaging according to Claim 67, wherein the method further comprises:

recording the instant voice message in an audio file at the client; transmitting the audio file from the client to the external server system; routing the audio file from the external server system to the local server; delivering the audio file from the local server to the selected recipients; and

audibly playing the audio file at the selected recipients.

72. (Original) The method for instant voice messaging according to Claim 71, wherein the method further comprises:

signal processing, compressing and encrypting the audio file at the client; decrypting and decompressing the audio file at the selected recipients; audibly playing the decrypted and decompressed audio file at the selected

73. (Original) The method for instant voice messaging according to Claim 67, wherein the method further comprises:

recipients.

buffering each of a plurality of successive portions of the instant voice message at the client as the instant message is recorded;

transmitting from the client each successive portion to the external server system;

routing each successive portion from the external server system to the local server;

delivering each successive portion from local server to the selected external recipients; and

audibly playing each successive portion at the selected recipients as it is delivered.

74. (Original) The method for instant voice messaging according to Claim67, wherein the method further comprises:

attaching one or more files to the instant voice message at the client; storing or displaying the one or more attached files at the selected recipients.

75. (Original) The method for instant voice messaging according to Claim 67, wherein the method further comprises:

providing input audio of the instant voice message from a voice-overinternet-protocol (VoIP) telephone to the client via a local network connecting the VoIP telephone to the client.

76. (Original) The method for instant voice messaging according to Claim 67, wherein the method further comprises:

maintaining a transport server mesh including a plurality of transport servers for routing instant voice messages; and

load-balancing the instant voice messages within the transport server mesh.

## <u>REMARKS</u>

Applicant has filed the present Amendment and Response in reply to the outstanding Official Action of September 18, 2007, and the Applicant believes the Amendment and Response to be fully responsive to the Official Action for at least the reasons set forth herein.

Applicant would like to thank the Examiner for indicating that claims 6, 21, 36, 42, 58, 70 and 76 have allowable subject matter and would be allowed if rewritten in independent form including all of the limitations of the base claim and all intervening claims. Accordingly, independent claims 1, 15, 30, 43, 55 and 67 have been rewritten incorporating the subject matter of allowable claims 6, 21, 36, 46, 58 and 70, respectively. Applicant submits that the independent claims should be allowed in view of the aforementioned amendments.

Additionally, Applicant notes that independent claims 13, 14, 28, 29, 53, 54, 65 and 66 have been amended. Each of the above-identified independent claims have been amended to recite similar limitations as the allowable claims, e.g., the server temporarily storing the instant voice message if a selected recipient is unavailable and delivering the stored instant voice message to the selected recipient once the selected recipient becomes available or temporarily storing at the server the instant voice message if a selected recipient is unavailable and delivering from the server the stored instant voice message to the selected recipient once the selected recipient becomes available. Claims 6, 21, 36, 46, 58 and 70 have been cancelled. No new matter has been added to the application by way of the aforementioned amendments. Applicant submits that all of the pending claims should be allowable in view of the aforementioned amendments.

Claims 1-3, 5, 11-18, 26-29, 43, 45, 51-54, 65, and 66 were rejected under 35 U.S.C. § 102(e) as being anticipated by McZeal Jr., U.S. Patent No. 6,763,226. Claims 4, 19, 20, and 44 were rejected under § 103(a) as being unpatentable over McZeal, U.S. Patent No. 6,763,226 in view of Williams et al., U.S. Pat. Pub 2004/0252679 (Williams). Claims 7, 22 and 47 were rejected under 35 U.S.C § 103(a) as being unpatentable over McZeal in view of Sagi et al., U.S. Pat. Pub. 2003/0087632. Claims 8, 23, and 48 were rejected under 35 U.S.C § 103(a) as being unpatentable over McZeal in view of Goodman et al., U.S. Pat. Pub 2004/0122906. Claims 9, 24 and 49 were rejected under 35 U.S.C § 103(a) as being unpatentable over McZeal in view of Gierachf, U.S. Pat. Pub 2005/0053230. Claims 10, 25 and 50 were rejected under 35 U.S.C. § 103(a) as being unpatentable over McZeal in view of Hollowell et al., U.S. Pat. Pub 2005/0105697.

Claims 30-33, 35, 41, 55, 57, 63, 64, 67, 69 and 75 were rejected under 35 U.S.C. § 103(a) as being unpatentable over McZeal in view of Monroe, U.S. Patent No. 6,970,183. Claims 34, 56 and 68 were rejected under 35 U.S.C. § 103(a) as being unpatentable over McZeal, Williams and Monroe. Claims 37, 59 and 71 were rejected under 35 U.S.C. § 103(a) as being unpatentable over McZeal, Sagi in view of Monroe.

Claims 38, 60 and 72 were rejected under 35 U.S.C. § 103(a) as being unpatentable over McZeal, Goodman and Monroe. Claims 39, 61 and 73 were rejected under 35 U.S.C. § 103(a) as being unpatentable over McZeal, Gierachf and Monroe. Claims 40, 62 and 74 were rejected under 35 U.S.C. § 103(a) as being unpatentable over McZeal, Hollowell in view of Monroe.

Applicant submits that the rejections set forth in the outstanding Official Action and listed above are rendered moot by the aforementioned amendments.

Based upon the foregoing, Applicant respectfully requests that the Examiner withdraw all of the pending rejections pursuant to either 35 U.S.C. § 102(e) or § 103(a).

In conclusion, the Applicant believes that the above-identified application is in condition for allowance and henceforth respectfully solicits the Examiner to allow the application. If the Examiner believes a telephone conference might expedite the allowance of this application, the Applicant respectfully requests that the Examiner call the undersigned, Applicant's attorney, at the following telephone number: (516) 742-4343.

Respectfully submitted,

Seth Weinfeld

Registration No: 50,929

Scully, Scott, Murphy & Presser, P.C. 400 Garden City Plaza, Suite 300 Garden City, New York 11530 516-742-4343

SW:reg

•		PETITION FOR EXTE R 1.136(a) (Small Entity		111	cket No. 7188
In Re Application	Of: Michael J. Rojas	\$			
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/740,030	December 18, 2003	Creighton Smith	23389	2614	1731
Invention: SYST	TEM AND METHOD	FOR INSTANT VoIP MESS	AGING		
This is a combined response to the O		COMMISSIONER FOR Partition under the provisions of tember 18, 2007 in the abov	37 CFR 1.136(a	) to extend the po	eriod for filing a
The requested ext	tension is as follows (	Date check time period desired):			
☐ One mo		<u> </u>	ns 🗆 Four	months $\Box$	Five months
from:	December 18, 2	007 until:	Febru	ary 18, 2007	
	small entity status. Se	ee 37 CFR 1.27. sion of time has been calcula	ited as shown be	elow:	
		CLAIMS AS AMEND	ED		
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST# NU	MBER EXTRA	RATE	ADDITIONAL FEE
TOTAL CLAIMS	70 -	76 =	0	x \$25.00	\$0.00
INDEP. CLAIMS	14 -	14 =	0	x \$105.00	\$0.00
			FEE FOR AM	MENDMENT	\$0.00
		FEE	FOR EXTENSION	ON OF TIME	\$230.00
	TOTA	AL FEE FOR AMENDMENT	AND EXTENSION	ON OF TIME	\$230.00

# COMBINED AMENDMENT & PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a) (Small Entity)

Docket No. 17188

The	fee for the amendment and extension of time is to be paid as fo	llows:
	A check in the amount of for the amendmen	t and extension of time is enclosed.
X	Please charge Deposit Account No. 19-1013/SSMP in the a	mount of \$230.00
X	The Director is hereby authorized to charge payment of the folio communication or credit any overpayment to Deposit Account N	
	<ul><li>Any additional filing fees required under 37 C.F.R. 1.16.</li><li>Any patent application processing fees under 37 CFR 1.1</li></ul>	7.
	If an additional extension of time is required, please consider the fees which may be required to Deposit Account No.	is a petition therefor and charge any additional
	Payment by credit card. Form PTO-2038 is attached.	
	WARNING: Information on this form may become public. C	
	included on this form. Provide credit card information and	authorization on PTO-2038.
	Seth wifell	
	Signature	Dated: February 19, 2008
	/einfeld	I hereby certify that this correspondence is being
-	ration No.: 50,929 Scott, Murphy & Presser, P.C.	deposited with the United States Postal Service with sufficient postage as first class mail in an envelope
100 Ga	arden City Plaza - Suite 300	addressed to the "Commissioner for Patents, P.O. Box
	n City, New York 11530 42-4343	1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on
31 <b>0)</b> /	######################################	(Date)
		Signature of Person Mailing Correspondence
cc:		Ayned or Printed Name of Person Mailing Correspondence

P28SMALL/REV08

Application Number: 10	740020				
l	10740030				
Filing Date: 18	3-Dec-2003				
Title of Invention:	System and method for instant VoIP messaging				
First Named Inventor/Applicant Name:	Michael J. Rojas				
Filer: Pa	Paul J. Esatto/Roseann Gallo				
Attorney Docket Number: 17188					
Filed as Small Entity					
Utility Filing Fees					
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					
Extension - 2 months with \$0 paid	2252	1	230	230	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Total in USD (\$)			230

Electronic Acknowledgement Receipt				
EFS ID:	2881556			
Application Number:	10740030			
International Application Number:				
Confirmation Number:	1731			
Title of Invention:	System and method for instant VoIP messaging			
First Named Inventor/Applicant Name:	Michael J. Rojas			
Customer Number:	23389			
Filer:	Paul J. Esatto/Roseann Gallo			
Filer Authorized By:	Paul J. Esatto			
Attorney Docket Number:	17188			
Receipt Date:	19-FEB-2008			
Filing Date:	18-DEC-2003			
Time Stamp:	18:43:01			
Application Type:	Utility under 35 USC 111(a)			

## Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$230
RAM confirmation Number	4208
Deposit Account	191013
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

File Listing	:				
Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		AMEND4 FOT pdf	1389410	V00	32
1		AMEND1EOT.pdf	23f5737b15542285efd889fd6d95dcda5 78688a6	yes	32
	Multipa	rt Description/PDF files in	.zip description		
	Document De	Start	E	nd	
	Amendment - After No	1		1	
	Claims	2	27		
	Applicant Arguments/Remarks	Made in an Amendment	28	30	
	Extension of	f Time	31	3	32
Warnings:					
Information:					
2	Fee Worksheet (PTO-06)	fac info ndf	8139	no	2
2	ree Worksheet (F10-00)	fee-info.pdf	395425fd3fcf9a5b8804651233797ab1b f789be7		
Warnings:					
Information:					
		Total Files Size (in bytes)	13:	97549	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application or Docket Number Filing Date PATENT APPLICATION FEE DETERMINATION RECORD 12/18/2003 To be Mailed 10/740.030 Substitute for Form PTO-875 APPLICATION AS FILED - PART I OTHER THAN (Column 1) SMALL ENTITY OR SMALL ENTITY (Column 2) NUMBER FILED NUMBER EXTRA RATE (\$) FEE (\$) RATE (\$) FEE (\$) FOR ☐ BASIC FEE N/A N/A N/A N/A ☐ SEARCH FEE N/A N/A N/A N/A 37 CFR 1.16(k), (i), or (m)) **EXAMINATION FEE** N/A N/A N/A N/A (37 CFR 1.16(o), (p), or (a)) TOTAL CLAIMS X \$ minus 20 = X \$ (37 CFR 1.16(i)) INDEPENDENT CLAIMS X \$ X \$ minus 3 = (37 CFR 1.16(h)) If the specification and drawings exceed 100 sheets of paper, the application size fee due ☐ APPLICATION SIZE FEE is \$250 (\$125 for small entity) for each (37 CFR 1.16(s)) additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s) MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) \* If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL **TOTAL** APPLICATION AS AMENDED - PART II OTHER THAN SMALL ENTITY SMALL ENTITY (Column 1) (Column 2) (Column 3) OR CLAIMS HIGHES1 REMAINING NUMBER PRESENT ADDITIONAL ADDITIONAL 02/19/2008 RATE (\$) RATE (\$) PREVIOUSLY **AFTER EXTRA** FEE (\$) FEE (\$) AMENDMENT **AMENDMENT** PAID FOR Total (37 CFR \* 70 Minus \*\* 76 = 0 X \$25 = 0 OR X \$ = 0 0 \* 14 Minus \*\*\*14 X \$105 = OR X \$ Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(i)) OR TOTAL TOTAL 0 OR ADD'L ADD'L (Column 1) (Column 2) (Column 3) HIGHES1 ADDITIONAL REMAINING PRESENT ADDITIONAL NUMBER RATE (\$) RATE (\$) PREVIOUSLY FEE (\$) **FXTRA** FEE (\$) **AFTER** AMENDMENT PAID FOR AMENDMEN<sup>-</sup> Total (37 CFR Minus OR X \$ X \$ Minus \*\*\* X \$ OR = X \$ Application Size Fee (37 CFR 1.16(s)) OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) TOTAL TOTAL ADD'L OR ADD'L **FFF** \* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. Legal Instrument Examiner: \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". /TŘINA L. RIDDICK/

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



### United States Patent and Trademark Office

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/740,030	12/18/2003	Michael J. Rojas	17188	1731
23389 SCULLY SCO	7590 09/18/200 TT MURPHY & PRES		EXAM	INER
400 GARDEN			SMITH, CRI	EIGHTON H
SUITE 300 GARDEN CIT	Y, NY 11530	,	ART UNIT	PAPER NUMBER
			2614	•
			MAIL DATE	DELIVERY MODE
			00/18/2007	DADED

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)						
	10/740,030	ROJAS, MICHAEL J.						
Office Action Summary	Examiner	Art Unit						
	Creighton H. Smith	2614						
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address						
WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute,	If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).							
Status								
1) Responsive to communication(s) filed on		·						
	action is non-final.							
3) Since this application is in condition for allowar	nce except for formal matters, pro	esecution as to the merits is						
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.								
Disposition of Claims		·						
4) Claim(s) is/are pending in the applicatio	n.							
4a) Of the above claim(s) is/are withdray		•						
5) Claim(s) is/are allowed.								
6) Claim(s) 1-5,7-20,22-35,37-41,43-45,47-57,59	- <u>69 and 71-75</u> is/are rejected.							
7) Claim(s) <u>6,21,36,42,46,58,70 and 76</u> is/are obj	ected to.							
8) Claim(s) are subject to restriction and/or	election requirement.							
Application Papers	•							
9) The specification is objected to by the Examine	г.							
10) The drawing(s) filed on is/are: a) acce		Examiner.						
Applicant may not request that any objection to the	drawing(s) be held in abeyance. See	e 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correct	ion is required if the drawing(s) is obj	ected to. See 37 CFR 1.121(d).						
11)☐ The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.						
Priority under 35 U.S.C. § 119								
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:	priority under 35 U.S.C. § 119(a)	)-(d) or (f)						
1. Certified copies of the priority documents	s have been received							
2. Certified copies of the priority documents	·	on No.						
3. Copies of the certified copies of the prior								
application from the International Bureau (PCT Rule 17.2(a)).								
* See the attached detailed Office action for a list	of the certified copies not receive	ed.						
•	•							
Attachment(s)		,						
1) Notice of References Cited (PTO-892)	4) Interview Summary							
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08)	Paper No(s)/Mail Da 5) Notice of Informal P							
Paper No(s)/Mail Date 23.08.04.	6) Other:	F.F						

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06)

Office Action Summary

Part of Paper No./Mail Date 20070911

Application/Control Number: 10/740,030

Art Unit: 2614

Page 2

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-3, 5, 11-18, 26-29, 43, 45, 51-54, 65, 66 are rejected under 35 U.S.C. 102(E) as being anticipated by McZeal, Jr., U.S. Patent #6,763,226.

McZeal discloses in col. 4, lines 18 et seq. that until his invention there was no device which would take full advantage of the Internet and instant messaging for voice quality purposes, and which uses computer data networks for voice.

In col. 28, lines 5 et seq., McZeal discloses that his invention provides customers with instant voice messaging which uses Voice over Internet Protocol (VoIP). In col. 16, lines 39 et seq., McZeal discloses that his invention can use both the Internet and the PSTN.

For claims 2 & 3, McZeal discloses in cols. 1 & 16, lines 42-43 & 25-30 that his invention can be used in local or wide area networks, i.e., LAN/WAN.

Regarding claim 11, see McZeal @ col. 16, lines 42 & 59-60.

Pertaining to claim 30, with McZeal's disclosure that his device can be used in either a WAN (internet) or LAN (local area network). If the voice message is to be routed out beyond a LAN, then an external serving system will be employed until the

Application/Control Number: 10/740,030

Art Unit: 2614

Page 3

message reaches the recipient inside of the LAN, whereupon the LAN and its associated server will route the message to the intended recipient.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 4, 19, 20, 44 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Williams et al, U.S. Patent Publication #2004/0252679.

Williams et al disclose in ¶-0055 that a messaging server (105) will save a voice message and send a list of recipients to the user from an address book. To have provided Williams teaching of a server providing a user a calling list of recipients in McZeal's Instant Voice Messaging server system would have been obvious to a person having ordinary skill in the art, because the skilled practitioner in the communications and server arts will readily realize that there are an unlimited amount of commands and information that a server can hold which can be communicated to anyone throughout the world that has the proper equipment.

Claims 7, 22, 47, are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Sagi et al, U.S. Patent Publication #2003/0087632.

Sagi et al disclose in claim 24 where a server will receive an audio file from a a subscriber, and then in claim 29 Sagi et al disclose that the transmission is sent to a second subscriber. To have similarly used Sagi et al disclosure of transmitting an audio file to a server in McZeal's device would have been obvious to a person having ordinary

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Art Unit: 2614

Page 4

skill in the art, because the skilled practitioner in the communications art will realize that the sending party can either directly record a voice message or send an audio file.

Either way, a called party will receive the voice message.

Claims 8, 23,48 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Goodman et al, U.S. Patent Publication #2004/0122906.

Goodman et al disclose in ¶-0033 that an audio message can be transformed from any of encrypted, decrypted, compressed, or decompressed format. To have similarly provided Goodman's teaching of encrypting, decrypting, compressing, and decompressing audio into McZeal's device would have been obvious to a person having ordinary sill in the art, because by compressing the audio will take up less memory in the server.

Claims 9, 24, 49, are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Gierachf, U.S. Patent Publication #2005/0053230.

Gierachf discloses in ¶-0044 in Step 266 that the audio data, or voice message, is sent to an audio buffer 19B'. To have similarly used Gierachf method of buffering the audio data in McZeal's device would have been obvious to a person having ordinary skill in the art.

Claims 10, 25, 50, are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Hollowell et al, U.S. Patent Publication #2005/0105697.

Hollowell et al teach in ¶-0031 attaching an email message to an audio message.

To have provided this teaching in McZeal would have been obvious to a person having ordinary skill in the art because the skilled practitioner in this communications art will

realize the efficiency of alerting a multitude of persons located throughout the world that an email from the sender is being sent to the recipients, such as the minutes of an important meeting.

Claims 30-33, 35, 41, 55, 57, 63, 64, 67, 69, 75 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Monroe, U.S. Patent #6970183.

Monroe discloses in col. 20, lines 28 et seq. and in Fig. 9 a local server (460) connected to a LAN, which provides a gateway to a wide area network like the Internet. In col. 32, lines 11 et seq. Monroe discloses that pre-recorded voice messages can be delivered to a modem and then delivered throughout the Network. To have used Monroe's teaching of connecting a local server to an Internet server into McZeal's device would have been obvious to a person having ordinary skill in the art because a local server will only reach a few, select individuals in close proximity to each other, whereas the Internet will have global reach, thus insuring connectivity to clients worldwide.

Claims 34, 56, 68 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Monroe as applied to claim 30 above, and further in view of Williams et al.

Claims 37, 59, 71 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Monroe as applied to claim30 above, and further in view of Sagi et al.

Art Unit: 2614

Claims 38, 60, 72 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal in view of Monroe as applied to claim 30 above, and further in view of Goodman et al.

Claims 39, 61, 73 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal, Jr. in view of Monroe as applied to claim30 above, and further in view of Gierachf.

Claims 40, 62, 74 are rejected under 35 U.S.C. 103(a) as being unpatentable over McZeal, jr. in view of Monroe as applied to claim30 above, and further in view of Hollowell et al.

Claims 6, 21, 36, 42, 46, 58, 70, 76 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Malik, Grabelsky et al, Weiner

Any inquiry concerning this communication should be directed to Creighton H.

Smith at telephone number 571/272-7546.

11 SEP '07

Creighton H Smith Primary Examiner

Art Unit 2614

### Sheet 1 of 1

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# Notice of References Cited Application/Control No. 10/740,030 Examiner Creighton H. Smith Applicati(s)/Patent Under Reexamination ROJAS, MICHAEL J. Art Unit Page 1 of 1

### U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-6,763,226	07-2004	McZeal, Jr., Alfred	455/90.2
*	В	US-2004/0252679	12-2004	Williams et al.	370/356
*	С	US-2004/0122906	06-2004	Goodman et al.	709/206
*	D	US-2005/0053230	03-2005	Gierachf, Karl	379/406.06
*	Ε	US-2005/0105697	05-2005	Hollowell et al.	379/088.13
*	F	US-2003/0087632	05-2003	Sagi et al.	455/414
*	G	US-2006/0268750	11-2006	Weiner, Moshe	370/260
*	Н	US-2004/0030046	02-2004	Schultes et al.	525/71
*	. 1	US-2007/0112925	05-2007	Malik, Dale W.	709/206
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#### **NON-PATENT DOCUMENTS**

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"A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20070911





### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addres: COMMISSIONER FOR PATENTS PO. Box 1430 Alexandria, Virginia 22313-1450 www.uspto.gov

Bib Data Sheet .

**CONFIRMATION NO. 1731** 

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Examiner

ROJAS, MICHAEL J.

Creighton H. Smith

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Part of Paper No. 20070911

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Applicant(s)/Patent under Reexamination

10/740,030 Examiner

ROJAS, MICHAEL J.
Art Unit

2614

Creighton H. Smith

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	Туре	Hits	Search Text	DBs
1	BRS	7	instant adj voice adj messag\$3 with (internet or packet- switch\$3 or packet adj switch\$3) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
2	BRS	1	server with stor\$3 with temporar\$3 with unavailabl\$3 and (voice adj messag\$3) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
3	BRS	128	((audio or voice) with compress\$3 with encrypt\$3 with decrypt\$3 with decompress\$3) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
4	BRS	7	server and S1	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM TDB
5	BRS	38	<pre>(voice near4 messag\$3) with ((list near7 recipients) or (calling near4 list)) and (@ad&lt;="20031218")</pre>	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
6	BRS	38	<pre>((voice near4 messag\$3) with ((list near7 recipients) or (calling near4 list))) and (@ad&lt;="20031218")</pre>	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
7	BRS	4	(lan or local adj network or local adj area adj network) and S2	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM TDB
8	BRS	0	recipient adj list and S2	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
9	BRS	1	recipient\$1 near4 list and S2	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM TDB
10	BRS	2	server with stor\$3 with temporar\$3 with message with unavailabl\$3 and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
11	BRS	124	<pre>(attach\$4 or add\$3 or suppl\$3) with file\$1 with (voice adj messag\$3) and (@ad&lt;="20031218")</pre>	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
12	BRS	15	(server with stor\$3 with temporar\$3 with unavailabl\$3) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
13	BRS	165	(buffer\$3 with (voice adj messag\$3)) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB

	Туре	Hits	Search Text	DBs
14	BRS	1	(buffer\$3 with (instant adj voice adj messag\$3)) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB
15	BRS	98	((voice adj messag\$3) with (audio adj file)) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM TDB
16	BRS	24	((voice adj messag\$3) with (audio adj file) with server) and (@ad<="20031218")	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM TDB

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### THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael J. Rojas

Examiner:

Unassigned

Serial No:

10/740,030

**Art Unit:** 

2661

Filed:

**December 18, 2003** 

Docket:

17188

For:

SYSTEM AND METHOD FOR INSTANT VoIP MESSAGING

Dated:

August 19, 2004

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Confirmation No. 1731

### **INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. http://www.cisco.com/warp/public/cc/pd/nemnsw/callmn/prodlit/cm33\_ds.htm; "Data Sheet Cisco CallManager Version 3.3".
- 2. <a href="http://www.cisco.com/en/US/products/hw/switches/ps1925/products\_data\_sheet\_09186">http://www.cisco.com/en/US/products/hw/switches/ps1925/products\_data\_sheet\_09186</a> <a href="mailto:a00800a3c3d.html">a00800a3c3d.html</a>; "Data Sheet Cisco MGX 8000 Series".
  - 3. <a href="http://www.hsteliann.com/english/?zone=3100-V21P">http://www.hsteliann.com/english/?zone=3100-V21P</a>; "Teliphone 3100-

V21P".

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner of Patents, P. O. Box 1450, Alexandria, VA<sub>2</sub>22313-1450 on August 19, 2004.

Dated: August 19, 2004

aul J/ Esatto, Jr.

- 4. <a href="http://www.linuxdevices.com/articles/AT5199947519.html">http://www.linuxdevices.com/articles/AT5199947519.html</a>; "Device Profile: snom 100 VoIP phone".
- 5. <a href="http://www.pingtel.com/pr xpressa.jsp">http://www.pingtel.com/pr xpressa.jsp</a>; "No limits with the advanced industry standard SIP phone.
- AudioCoded Enabling Technology Products, TPM-1100 VoP Media Gateway
   Modules.

Applicant is submitting a copy of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

Paul J. Esatto, Jr.

Registration No. 30,749

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

PJE:ae

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE			Atty. Docket No. (Optional)		Application Number				
INFORMA	ATIO	N DISCLOSURE	CITATION	17188			10/740,030		
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TRA	TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))  Docket No. 17188						
In Re A	pplication C	of: Michael J. Roja	<b>S</b> .				
Application No. Filing Date Examiner Customer No. Group						Confirmation No.	
10/7	740,030	December 18, 2003	Unassigned	23389	2661	1731	
Title:							
SYSTE	EM AND MI	ETHOD FOR INSTA	NT VoIP MESSAGING	,			
		OIPE					
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	☐ the	statement specified i	in 37 CFR 1.97(e);				
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TRANSMITTA	AL OF INFORMA (Under 37 CFI	TION DISCLOS R 1.97(b) or 1.97(		TEMENT	11	cket No. 7188
In Re Applicatio	n: Michael J. Roja	S				
Application No.	Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation I					Confirmation No.
10/740,030	December 18, 2003	Unassigne	d	23389	2661	1731
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Paul J. Esarto, Jr. Registration No. 30	).749					
Scully, Scott, Murp						
400 Garden City Pl	aza					
Garden City, New Y	York 11530					
516-742-4343						
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# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

Docket No. 17188

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

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### TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application	n for an
invention entitled:	

	1011 61	ntitled					
SYS	STEM	I ANI	METHOD FOR INST	FANT VoIP M	ESSAGING	•	U.S. PTO 10030
and in	vente	d by:					77
M	ІСНА	EL J	. ROJAS				22387
lf a C	ONT	NUA	TION APPLICATION,	check approp	riate box and supply	the requisite information:	
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				Арр	lication Elements		
1.	X	Filin	g fee as calculated an	d transmitted a	as described below		
2.	X	Spe	cification having	75	pages and i	ncluding the following:	
	a.	×	Descriptive Title of the	e Invention			
	b.		Cross References to	Related Applic	cations (if applicable)		
	C.		Statement Regarding	Federally-spo	nsored Research/De	velopment (if applicable)	
	d.		Reference to Sequen	ce Listing, a T	able, or a Computer	Program Listing Appendix	
	e.	X	Background of the Inv		•		
	f.	X	Brief Summary of the				
	g.	X	Brief Description of th		filed)		
	h.	X	Detailed Description		•		
	i.	×	Claim(s) as Classified	l Below			
	j.	X	Abstract of the Disclo			·	

Page 1 of 4

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(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 17188

Total Pages in this Submission

	Application Elements (Continued)								
3.	X	Drawing(s) (when necessary as prescribed by 35 USC 113)							
	a.	▼ Formal Number of Sheets 9							
	b.	☐ Informal Number of Sheets							
4.	X	Oath or Declaration							
	a.	■ Newly executed (original or copy)  □ Unexecuted							
	b.	Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)							
	C.	☑ With Power of Attorney ☐ Without Power of Attorney							
	d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).							
5.	<u>.</u>	Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.							
6.		CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)							
7.		Application Data Sheet (See 37 CFR 1.76)							
8.		Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)							
	a.	☐ Computer Readable Form (CFR)							
	b.								
		i. CD-ROM or CD-R (2 copies); or							
		ii. 🔲 Paper							
	C.	□ Statement(s) Verifying Identical Paper and Computer Readable Copy							
		Accompanying Application Parts							
9.	X	Assignment Papers (cover sheet & document(s))							
10.		37 CFR 3.73(B) Statement (when there is an assignee)							
11.		English Translation Document (if applicable)							
12.		Information Disclosure Statement/PTO-1449							
13.		Preliminary Amendment							
14.	X	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)							
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)							
16.	X	Certificate of Mailing							
		☐ First Class ☑ Express Mail (Specify Label No.): EV-244-125-044-US							

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 17188

Total Pages in this Submission

		Accompanying Application Parts (Continu d)
17.	X	Applicant claims small entity status. See 37 CFR 1.27.
		☐ (Optional) Small Entity Statement(s) - Specify Number of Statements Submitted:
18.	X	Additional Enclosures (please identify below):
		Assignee: Ayalogic, Inc. Akron, Ohio 44311
		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)
19.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.
		Warning
		An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 17188

Total Pages in this Submission 4

Correspondence Address:

Customer No. 23389

#### **Fee Calculation and Transmittal**

#### **CLAIMS AS FILED** Fee #Filed #Allowed #Extra Rate For \$504.00 \$9.00 **Total Claims** 76 - 20 = 56 \$473.00 Ind p. Claims 14 - 3 = 11 х \$43.00 \$0.00 Multiple Dependent Claims (check if applicable) \$385.00 **BASIC FEE** \$0.00 OTHER FEE (specify purpose) \$1,362.00 **TOTAL FILING FEE** ☐ A check in the amount of to cover the filing fee is enclosed. ☑ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013 SSMP as described below. M Charge the amount of \$1,362.00 as filing fee. ☑ Credit any overpayment. Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17. Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b). Dated: December 18, 2003 Paul J. Esatto, Jr. Registration No. 30,749

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Serial No. Filing Date unassigned herewith		Examiner unassigned	Group Art Unit unassigned	
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I hereby certify that this	NEW PATENT APPLICAT	[ION (Identify type of correspondence)		
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		Paul J. Esatto,		
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Docket No. 17188

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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## TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

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invention entitled:	

invention entitle	d:			
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and invented by				74
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2. 🗵 Spe	cification having75	pages and inclu	iding the following:	
a. 🛚	Descriptive Title of the Invention			
b. 🗖	Cross References to Related Applica	tions (if applicable)		
с. 🗆	Statement Regarding Federally-spor	sored Research/Develo	opment (if applicable)	
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Page 1 of 4

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(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 17188

Total Pages in this Submission

Application Elements (Continued)						
3.	X	Drawing(s) (when necessary as prescribed by 35 USC 113)				
	a.	▼ Formal Number of Sheets 9				
	b.	☐ Informal Number of Sheets				
4.	X	Oath or Declaration				
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13.		Preliminary Amendment				
14.	X	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)				
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16.	X	Certificate of Mailing				
		☐ First Class ☑ Express Mail (Specify Label No.): EV-244-125-044-US				

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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		Accompanying Application Parts (Continu d)
17.	X	Applicant claims small entity status. See 37 CFR 1.27.
		Optional) Small Entity Statement(s) - Specify Number of Statements Submitted:
18.	×	Additional Enclosures (please identify below):
		Assignee: Ayalogic, Inc. Akron, Ohio 44311
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19.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.
		Warning
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CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): MICHAEL J. ROJAS			Docket No.
			17188
Serial No.	Filing Date	Examiner	Group Art Unit
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Invention:			
SYSTEM AND METHOI	D FOR INSTANT VoIP MESS	SAGING	
I hereby certify that this	NEW PATENT APPLICAT		
		(Identify type of correspondence)	
is being deposited with	the United States Postal Sei	rvice "Express Mail Post Office to A	ddressee" service under
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1450, Alexandria, VA 2	22313-1450 on	December 18, 2003	
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# SYSTEM AND METHOD FOR INSTANT VoIP MESSAGING

### **BACKGROUND OF THE INVENTION**

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## Technical Field of the Invention

The present invention generally relates to Internet telephony (IP telephony). More particularly, the present invention is directed to a system and method for enabling local and global instant VoIP messaging over an IP network, such as the Internet, with PSTN support.

## Description of the Prior Art

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Traditional telephony is based on a public switched telephone network (i.e., "PSTN"). In the PSTN, a telephone terminal is electrically connected to a conventional or legacy switch. The telephone terminal and the legacy switch communicate via a proprietary protocol, which may be different depending on the vendor of the legacy switch. Circuit switching provides a communication path (i.e., dedicated circuit) for a telephone call from the telephone terminal to another device over the PSTN, including another telephone terminal. During the telephone call, voice communication takes place over that communication path.

An alternative to the PSTN is Voice over Internet Protocol (i.e., "VoIP"),
also known as IP telephony or Internet telephony. In the IP telephony, a VoIP terminal
device is connected to a packet-switched network (e.g., Internet) and voice

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communication from the VoIP terminal device is digitized, packetized and transmitted over the packet-switched network to a destination VoIP terminal device, which reconstructs the packets and audibly plays, stores or otherwise processes the transmission. The VoIP terminal device may be a VoIP telephone or a general-purpose personal computer (PC) enabled for IP telephony. More specifically, the PC is programmed with the software and equipped with audio input/output devices (e.g., a combination of microphone and speaker or a headset) to serve as a VoIP terminal device. The PC so enabled and equipped will herein be referred to as a VoIP terminal device or a VoIP softphone.

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Figure 1 is an illustrative example of a prior art IP telephony system 100. The IP telephony system 100 comprises a packet-switched IP network 102, such as the Internet, which transmits VoIP traffic from and to a plurality of terminal devices 104, 106 and 110. Terminal device 104 is a VoIP softphone that is enabled for IP telephony over the network 102. Terminal device 106 is a VoIP telephone, which is connected to the network 102 via a softswitch 108. The VoIP softswitch 108 is disposed on the packet-switched network (e.g., Internet) 102 between an origination terminal device (such as VoIP softphone 104) and a destination terminal device (such as VoIP telephone 106), and routes packets over the packet-switched IP network 102. The softswitch 108 may also manage and perform administrative functions for the terminal device or devices (e.g., VoIP telephone 106) to which it is connected. Whether the terminal device is a VoIP softphone 104 or a VoIP telephone 106, the terminal device is connected to the IP network 102 via a networking standard such as Ethernet, Bluetooth, IEEE 1394 (also

known as "Firewire"), IEEE 802.11 (also known as "WiFi"), or networking over serial communication channels such as the Universal Serial Bus (i.e., "USB"). Data communication over the network then takes place using a connection protocol, e.g., transfer control protocol/Internet protocol (i.e., "TCP/IP").

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Further regarding Fig. 1, terminal device 110 is a legacy telephone that is connected to a legacy switch 112 for (circuit-switched) voice communications over the PSTN 116 with other terminal devices. A media gateway 114 may be provided between the legacy switch 112 and the packet-switched network 102 to enable IP telephony between the legacy telephone 110 and a VoIP terminal device, such as a VoIP softphone 104 or VoIP telephone 106. More specifically, the media gateway 114 converts the audio signal carried over PSTN to packets carried over the packet-switched IP network 102. In addition, a media gateway 118 may be disposed over the PSTN 116 and connected to a softswitch 120 to convert the audio signal from the legacy telephone 110 to packets routed over the IP network 102 via the softswitch 120.

Voice messaging in both the VoIP and PSTN is known. More specifically, the foregoing systems may be provided with a facility to allow users to leave voice messages for recipients, which is a feature that is familiar to anyone who uses a telephone. Conventionally, leaving a voice message involves dialing the recipient's telephone number (often without knowing whether the recipient will answer), waiting for the connection to be established, speaking to an operator or navigating through a menu of options, listening to a greeting message, and recording the message for later pickup by

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the recipient. In that message, the user must typically identify himself or herself in order for the recipient to return the call.

Instant text messaging is likewise known. More specifically, a user is provided with a client terminal, which is typically a general-purpose PC programmed with instant text messaging software and in data communication over an IP network with an instant text-messaging server. The instant text-messaging server presents the user, via the client terminal, with a list of persons who are currently "online" and ready to receive text messages on their own client terminals. The user then uses the client terminal to select one or more persons to whom the message will be sent and types in a text message. The text message is sent immediately via the text-messaging server to the selected one or more persons and is displayed on their respective client terminals.

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However, notwithstanding the foregoing advances in the VoIP/PSTN voice communication and voice/text messaging, there is still a need in the art for providing a system and method for providing instant VoIP messaging over an IP network. More particularly, there is a need in the art for providing local and global instant voice messaging over VoIP with PSTN support.

# **SUMMARY OF THE INVENTION**

The present invention is directed to a system and method for enabling local and global instant VoIP messaging over an IP network, such as the Internet.

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According to an embodiment of the present invention, there is provided an instant voice messaging system for delivering instant messages over a packet-switched network, the system comprising: a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the network; and a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients being enabled to audibly play the instant voice message.

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According to another embodiment of the present invention, there is provided an instant voice messaging system for delivering instant messages over a packet-switched network enabling public switched telephone network (PSTN) support, the system comprising: a PSTN telephone connected to the network for providing input audio; a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor using the input audio provided by the PSTN telephone, and transmitting the selected recipients and the instant voice message therefor over the network; a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients being enabled to audibly play the instant voice message.

According to a further embodiment of the present invention, there is provided an instant voice messaging system for delivering instant messages over a packet-switched network, the system comprising: a voice-over-internet-protocol (VoIP) telephone connected to the network for providing input audio; a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor using the input audio provided by the VoIP telephone, and transmitting the selected recipients and the instant voice message therefor over the network; a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients being enabled to audibly play the instant voice message.

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According to still another embodiment of the present invention, there is provided an instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising: a client connected to a local network, the client selecting one or more external recipients connected to an external network outside the local network, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the local network and the external network; and a server connected to the external network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the external network, the selected recipients being enabled to audibly play the instant voice message.

According to yet another embodiment of the present invention, there is provided an instant voice messaging system for delivering instant messages over a plurality of packet-switched networks enabling public switched telephone network (PSTN) support, the system comprising: a PSTN telephone connected to a local network for providing input audio; a client connected to the local network, the client selecting one or more external recipients connected to an external network outside the local network, generating an instant voice message therefor using the input audio provided by the PSTN telephone, and transmitting the selected recipients and the instant voice message therefor over the local network and the external network; a server connected to the external network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the external network, the selected recipients being enabled to audibly play the instant voice message.

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According to yet a further embodiment of the present invention, there is provided an instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising: a voice-over-internet-protocol (VoIP) telephone connected to a local network for providing input audio; a client connected to the local network, the client selecting one or more external recipients connected to an external network outside the local network, generating an instant voice message therefor using the input audio provided by the VoIP telephone, and transmitting the selected recipients and the instant voice message therefor over the local network and the external network; an server connected to the external network, the external server

receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the external network, the selected recipients being enabled to audibly play the instant voice message.

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According to still a further embodiment of the present invention, there is provided an instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising: a client connected to an external network, the client selecting one or more recipients connected to a local network, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the external network; an external server system connected to the external network, the external server system receiving the selected recipients and the instant voice message, and routing the selected recipients and the instant voice message over the external network and the local network; a local server connected to the local network, the local server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the local network, the selected recipients being enabled to audibly play the instant voice message.

According to an embodiment of the present invention, there is provided a method for instant voice messaging over a packet-switched network, the method comprising: selecting one or more recipients for instant voice messaging at a client; generating an instant voice message for the selected recipients at the client; transmitting the selected recipients and the instant voice message therefor over the network from the

client to a server; receiving the selected recipients and the instant voice message therefor at the server; delivering the instant voice message from the server to the selected recipients over the network; and audibly playing the instant voice message at the selected recipients.

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According to another embodiment of the present invention, there is provided a method for instant voice messaging over a packet-switched network enabling public switched telephone network (PSTN) support, the method comprising: providing input audio via a PSTN telephone connected over the network; selecting one or more recipients for instant voice messaging at a client; generating an instant voice message using the input audio from the PSTN telephone for the selected recipients at the client; transmitting the selected recipients and the instant voice message therefor over the network from the client to a server; receiving the selected recipients and the instant voice message therefor at the server; delivering the instant voice message from the server to the selected recipients over the network; and audibly playing the instant voice message at the selected recipients.

According to a further embodiment of the present invention, there is provided a method for instant voice messaging over a packet-switched network, the method comprising: providing input audio via a voice-over-internet-protocol (VoIP) telephone connected over the network; selecting one or more recipients for instant voice messaging at a client; generating an instant voice message using the input audio from the VoIP telephone for the selected recipients at the client; transmitting the selected

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recipients and the instant voice message therefor over the network from the client to a server; receiving the selected recipients and the instant voice message therefor at the server; delivering the instant voice message from the server to the selected recipients over the network; and audibly playing the instant voice message at the selected recipients.

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According to still another embodiment of the present invention, there is provided a method for instant voice messaging over a plurality of packet-switched networks, the method comprising: selecting one or more external recipients for instant voice messaging at a client connected to a local network, the one or more external recipients connected to an external network outside the local network; generating an instant voice message for the selected external recipients at the client; transmitting the selected external recipients and the instant voice message therefor over the local network and the external network; receiving the selected external recipients and the instant voice message therefor at an external server connected to the external network; delivering the instant voice message to the selected external recipients over the external network; and audibly playing the instant voice message at the selected external recipients.

According to yet another embodiment of the present invention, there is provided a method for instant voice messaging system over a plurality of packet-switched networks enabling public switched telephone network (PSTN) support, the method comprising: providing input audio via a PSTN telephone connected to a local network; selecting one or more external recipients for instant voice messaging at a client, the one or more external recipients connected to an external network outside the local network;

generating an instant voice message for the one or more external recipients using the input audio provided by the PSTN telephone; transmitting the selected recipients and the instant voice message therefor over the local network and the external network; receiving the selected recipients and the instant voice message therefor at a server connected to the external network; delivering the instant voice message to the selected recipients from the server over the external network; and audibly playing the instant voice message at the selected recipients.

According to still a further embodiment of the present invention, there is provided a method for instant voice messaging system over a plurality of packet-switched networks, the method comprising: providing input audio via a voice-over-internet-protocol (VoIP) telephone connected to a local network; selecting one or more external recipients for instant voice messaging at a client, the one or more external recipients connected to an external network outside the local network; generating an instant voice message for the one or more external recipients using the input audio provided by the VoIP telephone; transmitting the selected recipients and the instant voice message therefor over the local network and the external network; receiving the selected recipients and the instant voice message therefor at a server connected to the external network; delivering the instant voice message to the selected recipients from the server over the external network; and audibly playing the instant voice message at the selected recipients.

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According to yet a further embodiment of the present invention, there is provided a method for instant voice messaging over a plurality of a plurality of packet-

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switched networks, the method comprising: selecting one or more recipients connected to a local network at a client connected to an external network; generating an instant voice message for the selected recipients at the client; transmitting the selected recipients and the instant voice message therefor over the external network from the client to an external server system; receiving the selected recipients and the instant voice message at the external server system; routing the selected recipients and the instant voice message over the external network and the local network; receiving the selected recipients and the instant voice message therefor at a local server connected to the local network; delivering the instant voice message to the selected recipients over the local network; audibly playing the instant voice message at the selected recipients.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

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The objects, features and advantages of the present invention will become

apparent to one skilled in the art, in view of the following detailed description taken in

combination with the attached drawings, in which:

Figure 1 illustrates an example of a prior art IP telephony system;

Figure 2 illustrates an exemplary local IVM system for enabling instant voice messaging according to the present invention;

Figure 3 illustrates an exemplary IVM client of Figure 2 for enabling instant voice messaging according to the present invention;

Figure 4 illustrates an exemplary IVM server of Figure 2 for enabling instant voice messaging according to the present invention;

Figure 5 illustrates an exemplary global IVM system comprising a local IVM system and global IVM clients, according to the present invention;

Fig. 6 illustrates an exemplary global IVM server system depicted in Fig. 5, according to the present invention;

Fig. 7 illustrates an exemplary transport server depicted in Fig. 6, according to the present invention;

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Fig. 8 illustrates an exemplary directory server depicted in Fig. 6, according to the present invention; and

Fig. 9 illustrates an exemplary global IVM system comprising a plurality of local IVM systems and global IVM clients, according to the present invention.

## <u>DETAILED DESCRIPTION OF THE</u> PREFERRED EMBODIMENT OF THE INVENTION

The present invention is directed to a system and method for enabling local and global instant VoIP messaging over an IP network with PSTN support.

Figure 2 is an exemplary illustration of a local instant voice messaging (IVM) system 200 according to the present invention. The instant voice messaging system 200 comprises a local IVM server 202 that provides the core functionality for enabling instant voice messaging with PSTN support according to the present invention. The architecture of the local IVM server 202 will be described in detail hereinbelow with reference to Fig. 4. According to the exemplary IVM system 200, the local IVM server 202 is enabled to provide instant voice messaging to one or more IVM clients 206 and 208, as well support instant voice messaging for PSTN legacy telephones 110. It is noted

that although Fig. 2 depicts one of each IVM client 206, 208 and legacy telephone 110 for clarity and brevity, the local IVM server 202 is enabled to support a plurality of each of the foregoing IVM clients 206, 208 and legacy telephone 110. The local packetswitched IP network 204 interconnects the IVM clients 206, 208 and the legacy telephone 110 to the local IVM server 202 as well as interconnecting the local IVM server 202 to the local IP network 204. The network 204 may be a local area network (LAN), a wide area network (WAN), or the like, which supports both wired and wireless devices. The exemplary IVM client 208 is a VoIP softphone, the architecture of which will be described in detail hereinbelow with reference to Fig. 3. A microphone 212 is connected to the IVM client 208 and enables the recording of an instant voice message according to the present invention into an audio file 210 for transmission to the local IVM server 202 over the network 204. An input device 218 (e.g., a keyboard) is connected to the IVM client 208 to select one or more recipients that are to receive the recorded instant voice message. Although not depicted in Fig. 2, the input device 218 may include a trackball, digitizing pad or mouse, or the like. A display device 216 is connected to the IVM client 208 to display instant voice messages recorded and/or received by a user of the IVM client 208. An audio device 214, such as external speaker, is connected to the IVM client 208 to play received instant voice messages. It is noted that the microphone 212, audio device 214, display device 216 and input device 218 may form integral parts of the IVM client 208.

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Further with reference to Fig. 2, IVM client 206 is interconnected via the network 204 to the local IVM server 202. An exemplary IVM client 206 is a VoIP

telephone, which comprises a screen display (not shown) capable of displaying instant voice messages recorded and/or received by a user of the IVM client 206 according to the present invention. The VoIP telephone 206 further comprises a handset and/or speakerphone for recording instant voice messages and listening to instant voice messages received at the VoIP telephone 206 according to the present invention. The VoIP telephones which may be implemented to provide instant voice messaging functionality according to the present invention are commercially available from many vendors, including Alcatel<sup>TM</sup>, Lucent<sup>TM</sup>, NEC<sup>TM</sup> and Cisco<sup>TM</sup>, to name just a few. In addition to the foregoing IVM clients 206, 208, the IVM system 200 supports a legacy telephone 110 for instant voice messaging according to the present invention. The legacy telephone 110 is connected to a legacy switch 112. The legacy switch 112 is further connected to a media gateway 114. Both the legacy switch 112 and the media gateway 114 interconnect the legacy telephone 110 via the network 204 to the local IVM server 202, thereby facilitating instant voice messaging according to the present invention. The media gateway 114 may be a gateway that supports trunk pack network control (i.e., "TPNCP") protocol, media gateway control protocol (i.e., "MGCP"), or a media gateway control H.428 protocol (i.e., "MEGACO"). As previously mentioned, the media gateway 114 converts the audio signal carried over PSTN to packets to be transmitted over a packet-switched IP network, such as the local network 204.

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The implementation of the instant voice messaging for IVM client 208 will be described first and will be followed by the implementations for IVM client 206 and legacy telephone 110, with reference to the local IVM system 200 depicted in Fig. 2.

These implementations implement a "record mode" of the instant voice messaging according to the present invention. There will further be described an "intercom mode" of the instant voice messaging according to the present invention. Therefore, in operation of the IVM client 208 according to Fig. 2, the IVM client (IVM softphone) 208 is connected over the network 204 to the IVM server 202, which as aforementioned enables instant voice messaging functionality over the network 204. The IVM client 208 displays a list of one or more IVM recipients on its display 216, provided and stored by the local IVM server 202, as will be particularly described hereinbelow with reference to Fig. 4. The user operates the IVM client 208 by using the input device 218 to indicate a selection of one or more IVM recipients from the list. The user selection is transmitted to the IVM server 202. The user selection also generates a start signal to the IVM client 208 that the user is ready to begin instant voice messaging according to the present invention. In response to the start signal, the IVM client (softphone) 208 listens to the input audio device 212 and records the user's speech into a digitized audio file 210 (i.e., instant voice message) stored on the IVM client 208. The audio file 210 at the IVM client 208 is finalized via a stop signal, which is generated by the user via the input device 218 or a preset time period without speech input via the input audio device 212 on the IVM client 208. Once the recording of the user's speech is finalized, IVM client 208 generates a send signal indicating that the digitized audio file 210 (instant voice message) is ready to be sent to the selected recipients. The user generates the send signal when the user operates the IVM client 208 via the input device 218, e.g., pressing a key on a keyboard or clicking a button on a mouse. The IVM client 208 transmits the digitized audio file 210 and the send signal to the local IVM server 202. In response to the send signal

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indicating that the instant voice message is ready to be sent, the IVM client 208 sends the recorded audio file 210 destined for the selected one or more recipients via local IVM server 202. After receiving the audio file 210, the IVM server 202 thereafter delivers the transmitted instant voice message to the selected one or more recipients via the local IP network 204. The one or more recipients are enabled to display an indication that the instant voice message has been received and audibly play the instant voice message to an associated user. It should be understood that only the available IVM recipients, currently connected to the IVM server 202, will receive the instant voice message. It is noted that if a recipient IVM client is not currently connected to the local IVM server 202 (i.e., is unavailable), the IVM server temporarily saves the instant voice message and delivers it to the IVM client when the IVM client connects to the local IVM server 202 (i.e., is available).

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There are several embodiments for the operation of the IVM client (VoIP telephone) 206 within the IVM system 200, according to the present invention. In the first embodiment, the VoIP telephone 206 is a standalone IVM client 206 enabled for instant voice messaging according to the present invention. In the second embodiment, the VoIP telephone 206 operates synchronously either with the IVM client 208 or IVM server 202 to enable instant voice messaging according to the present invention. Thus, in operation according to the first embodiment in Fig. 2, the IVM client (VoIP telephone) 206 is connected over the network 204 to the IVM server 202, which as aforementioned enables instant voice messaging functionality over the local network 204. The IVM client 206 displays a list of one or more IVM recipients on its associated display provided and stored by the local IVM server 202, as will be particularly described hereinbelow

with reference to Fig. 4. The user operates the IVM client 206 by using a keypad on the VoIP telephone 206 to indicate a selection of one or more IVM recipients from the list. The VoIP telephone 206 transmits the selection to the IVM server 202. The user selection also generates a start signal to the IVM client 206 indicating the user is ready to begin instant voice messaging according to the present invention. The user speaks into the handset of the IVM client 206 or a speakerphone on the IVM client 206. Although not shown in Fig. 2, the VoIP telephone 206 may provide a dedicated storage device, which in response to the start signal records an audio file, similar to the audio file 210 in the IVM client 208. The audio file is finalized via a stop signal. The stop signal is generated when the user presses a button on the keypad, a preset time period without speech input to the VoIP telephone 206, or when the user returns the handset to the cradle of the VoIP telephone 206. Once the recording of the user's speech is complete, a send signal is generated indicating that the instant voice message is ready to be sent to the selected recipients. The user generates the send signal when the user presses a button on the keypad or returns the handset of the VoIP telephone 206 to it cradle (on-hook). In response to the send signal, the IVM client 206 sends the recorded audio to the local IVM server 202 via the network 204. The IVM server 202 thereafter delivers the instant voice message to the selected one or more recipients via the IP network 204. As before, the one or more recipients are enabled to display an indication that the instant voice message has been received and audibly play the instant voice message. As aforementioned, if a recipient IVM client is not currently connected to the local IVM server 202, the IVM server 202 temporarily saves the instant voice message and delivers it to the IVM client when the IVM client connects to the local IVM server 202.

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In the second embodiment of the IVM client 206 according to Fig. 2, the VoIP telephone 206 operates synchronously either with the IVM client 208 or the IVM server 202 to enable instant voice messaging according to the present invention. Thus, in operation according to the second embodiment, the IVM client (VoIP telephone) 206 is still connected over the network 204 to the IVM server 202, which as aforementioned enables instant voice messaging functionality over the local network 204. However, VoIP telephone 206 cooperates with the IVM client 208 or IVM server 202 to record and send an instant voice message. More specifically, the VoIP telephone 206 is only used as a recording/listening device for recording or listing to instant voice messages, while the IVM client 208 is used for displaying and selecting instant voice message recipients as described hereinabove. In operation, the IVM client 208 displays a list of IVM recipients on the display device 216 provided and stored by the local IVM server 202. The user operates the IVM client 208 by using the input device 218 on the IVM client 208 to indicate a selection of one or more IVM recipients from the list. The user selection is transmitted to the IVM server 202. The user selection generates a start signal to the IVM server 202 indicating that the user is ready to begin instant voice messaging according to the present invention. In response to receiving the start signal, the IVM server 202 transmits a ring signal to the VoIP telephone 206, thereby indicating to the user the IVM system 200 is ready to record an instant voice message. The IVM server 202 also signals the IVM client 208 to generate audio file 210 to record the instant voice message. As the user picks up the handset of the VoIP telephone 206 (off-hook), a connection is established via the network 204 between the local IVM server 202 and the VoIP

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telephone 206. Thereafter, the IVM server 202 forwards the user's speech transmitted from VoIP telephone 206 to the IVM client 208 for storage into digitized audio file 210 on the IVM client 208. The audio file 210 is finalized by returning the handset its cradle (on-hook) or by pressing a designated button on the keypad VoIP telephone 206, which transmits the stop signal to the IVM server 202 and further from the IVM server 202 to the IVM client 208. Returning the handset to its cradle preferably generates a send signal to the IVM server 202, which transmits the signal to the IVM client 208. The IVM client thereafter transmits the recorded audio file 210 (instant voice message) to IVM server 202 for delivery to the selected one or more IVM recipients. Alternatively, the user may press a key on the keyboard 218 to initiate the send signal. In response to the send signal, the IVM client 206 sends the recorded audio to the local IVM server 202 via the network 204. The IVM server 202 thereafter delivers the instant voice message to the selected one or more recipients via the IP network 204. The one or more recipients are enabled to display an indication that the instant voice message has been received and audibly play the instant voice message. If a recipient IVM client is not currently connected to the local IVM server 202, the IVM server 202 temporarily saves the instant voice message and delivers it to the IVM client when the IVM client connects to the local IVM server 202.

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In operation of the legacy telephone 110 according to Fig. 2, the legacy telephone 110 is connected to the local IVM server 202 via media gateway 114 and legacy switch 112. The legacy telephone 110 cooperates with the IVM client 208 to record and send an instant voice message. More specifically, the legacy telephone 110 is

used as a recording/listening device for recording or listing to instant voice messages, while the IVM client 208 is used for displaying and selecting instant voice message recipients as described hereinabove. Thus, in operation the IVM client 208 displays a list of IVM recipients on the display device 216 provided and stored by the local IVM server 202. The user operates the IVM client 208 by using the input device 218 on the IVM client 208 to indicate a selection of one or more IVM recipients from the list. The user selection is transmitted to the IVM server 202. The user selection generates a start signal to the IVM server 202 indicating that the user is ready to begin instant voice messaging according to the present invention. In response to receiving the start signal, the IVM server 202 transmits an emulation code to the legacy telephone 110 to ring, thereby indicating to the user the IVM system 200 is ready to record an instant voice message. As the user picks up the handset of the legacy telephone 110 (off-hook), a connection is established via the network 204 between the legacy telephone 110 and the IVM server 202. Thereafter, the IVM server forwards the user's speech transmitted from the legacy telephone 110 to the IVM client 208 for storage into the digitized audio file 210 (i.e., instant voice message). The audio file on the IVM client 208 is finalized by returning the handset of the legacy telephone 110 to its cradle (on-hook) or by pressing a designated button on the keypad of the legacy telephone 110, which transmits a stop signal to the IVM server 202 and further to the IVM client 208. Returning the handset to its cradle also generates a send signal to the IVM server to transmit the recorded audio file (instant voice message) to the selected one or more IVM recipients. The IVM server 202 thereafter delivers the instant voice message to the selected one or more recipients via the IP network 204. The one or more recipients are enabled to display an indication that the

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received instant voice message has been received and audibly play the instant voice message. If a recipient IVM client is not currently connected to the local IVM server 202, the IVM server 202 temporarily saves the instant voice message and delivers it to the IVM client when the IVM client connects to the local IVM server 202.

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Regarding the operational embodiments described with reference to Fig. 2 for recoding and transmitting an instant voice message according to the present invention, the digitized audio file is preferably compressed by applying a compression algorithm before sending the audio file to the one or more selected recipients. The audio file is preferably compressed within the IVM clients 206, 208 before forwarding the audio file to the IVM server 202 for subsequent delivery to the one or more selected recipients. Alternatively, the compression may be implemented within the IVM server 202 before the audio file is transmitted to the one or more selected recipients. A Lempel-Ziv compression algorithm is preferably used to compress the audio file according to the present invention. It is noted that many suitable compression algorithms are known to persons of skill in the art, including Huffman encoding, audio compression standards promulgated by the Moving Pictures Experts Group ("MPEG"), G.722 wideband speech encoding standard, fractal compression, and wavelet compression. Any of the foregoing compression algorithms may be implemented within the scope of the present invention.

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Further regarding the operational embodiments described with reference to Fig. 2 for recoding and transmitting an instant voice message according to the present invention, the digitized audio file (which may or may not be compressed as described

above) is further preferably encrypted via an encryption algorithm before transmitting the audio file to the one or more selected recipients. The encryption is preferably implemented within the IVM clients 206, 208 before forwarding the audio file to the IVM server 202 for subsequent delivery to the one or more selected recipients.

Alternatively, the encryption may be implemented within the IVM server 202 before the audio file is transmitted to the one or more selected recipients. An AES (Rijndael) encryption algorithm is preferably used to encrypt the audio file according to the present invention. It is noted that many suitable encryption algorithms are known to persons skilled in the art, including DES, Triple DES, Blowfish, Twofish, Serpent, and the like.

Any of the foregoing encryption algorithms may be implemented within the scope of the

present invention.

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Lastly with reference to Fig. 2, in addition to the "record mode" of instant voice messaging, the instant voice messaging system 200 also supports an "intercom mode" of voice messaging. The "intercom mode" represents real-time instant voice messaging. In the "intercom mode," instead of creating an audio file 210, one or more buffers (not shown) of a predetermined size are generated in the IVM client 206, 208 or local IVM server 202. The one or more buffers are used to automatically write successive portions of the instant voice message. Once a first buffer is full, i.e., input audio of the predetermined size is written to the buffer, the content of the first buffer is automatically transmitted to the IVM server 202 for transmission to the one or more IVM recipients. A second buffer is meanwhile written with the next successive portion of input audio. Once, the second buffer is full, i.e., input audio of the predetermined size is

written to the buffer, the content of the second buffer is transmitted to the IVM server 202 for transmission to the one or more IVM recipients. If the entire instant voice message or a successive portion thereof (such as a last successive portion in the instant voice message) written to either buffer is smaller the predetermined size, then the buffered content of less than the predetermined size is automatically transmitted to the IVM server 202. The foregoing buffering using the first and second buffers is repeated until the entire instant voice message has been transmitted to the IVM server 202 for transmission to the one or more IVM recipients. It is noted that the invention is not limited to a particular number of buffers. The foregoing buffering and transmission allows a "real-time" instant voice message to be transmitted to the one or more IVM recipients. The "intercom mode" may be designated as a default mode when an IVM recipient is on-line, while the "record mode" may be designated as a default if the IVM recipient is unavailable, i.e., not on-line. The user may easily change the "intercom mode" to the "record mode" on the respective IVM client 206, 208. Finally, the audio contents of the buffers may be signal processed (for clarity), encrypted and compressed before transmission, as will be described in more detail hereinbelow with reference to Fig. 3.

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Fig 3. an exemplary illustration of the architecture in the IVM client 208

for enabling instant voice messaging according to the present invention. More specifically, the IVM client 208 comprises a client platform 302 for generating an instant voice message and a messaging system 320 for messaging between the IVM client 208 and the IVM server 202 for enabling instant voice messaging according to the present

invention. The IVM client 208 is a general-purpose programmable computer equipped with a network interface (not shown), such as an Ethernet card, to provide connectivity to the network 204. It is noted that any suitable networking protocol, not only Ethernet, could be used to connect the IVM client to a network 204 and thus is considered within the scope of the present invention. The client platform 302 comprises a client engine 304, which controls other components, namely the document handler 306, file manager 308, audio file creation 312, signal processing 314, encryption/decryption 316, and compression/decompression 318. The messaging system 320 and the client engine 304 communicate via standard inter-process communication. The messaging system 320 and client engine 304 also communicate with the IVM server 202 over the network interface via the network 204. The document handler 306 oversees the retrieving, sending, receiving and storing of one or more documents (or files) attached to instant voice messages from/to the one or more selected IVM recipients that may be communicating with the IVM client 208. More specifically, when an instant voice message is to be transmitted to the one or more IVM recipients, one or more documents may be attached to the instant voice message to be, stored or displayed by the one or more selected IVM recipients. The file manager accesses a message database 310, in which both the received and recorded instant voice messages are represented as database records, each record comprising a message identifier and the instant voice message. The file manager 308 services requests from the user to record, delete or retrieve messages to/from the message database 310. Audio file creation 312 creates an instant voice message as audio file 210, and is responsible for receiving input speech for the instant voice message from audio input device 212 or via network 204 and storing the input speech into audio file 210.

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Signal processing 314 performs noise removal and signal optimization in the audio file 210. Encryption/decryption 316 provides for respectively encrypting/decrypting of outgoing/incoming audio files (i.e., instant voice messages), and compression/decompression 318 respectively compresses/decompresses the outgoing/incoming audio files.

Further with reference to Fig. 3, the reception of an instant voice message is described as follows. It is assumed that the local IVM server 202 has determined that the IVM client 208 is available to receive an instant voice message by checking the IVM client's 208 current status, i.e., whether the IVM client 208 is "on-line." The local IVM server 202 maintains the current status of the IVM clients connected to the local IVM server 202, i.e., IVM clients 206, 208. It is further assumed that an IVM client has transmitted an instant voice message to the IVM client 208. The local IVM server 202 receives the instant voice message over the local IP network 204 and forwards the instant voice message to the IVM client 208. Upon receipt at the IVM client 208, the instant voice message is decrypted at 316, decompressed at 318, and stored in the message database 310 using the file manager 308. Any files attached to the instant voice message are also stored in the message database 310 using the file manager 308. A visual and/or sound effect is initiated to notify a user of the IVM client 208 that a new instant voice message has been received at the IVM client 208. At this point in time, the instant voice message and any file attachments are available to the user. The user can select the instant voice message from a listing of available instant voice messages displayed on the IVM client 208 and play the newly received instant voice message. The user may also open

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any file attachments and move or save the files to a separate location on the client using a drag-and-drop process.

Still further with reference to Fig. 3, the generation and transmission of an instant voice message is described as follows. The user selects the available one or more IVM recipients and initiates the creation of an instant voice message as described above with reference to Fig. 2. The client engine 304 detects the start signal and invokes audio file creation 312 of the audio file 210. The audio file 210 is initialized and captures the audio voice message input by the user. Once the client engine 304 detects a stop signal, the instant voice message is finalized in the audio file 210 via audio file creation 312. The audio file 210 is adjusted for gain, and noise is removed via signal processing 314. The audio file 210 is further compressed at 318 and encrypted at 316. The completion of these processes causes the client engine 304 to inform the user via display 216 that the instant voice message is available to be sent. After the client engine 304 detects the send signal from the user, the instant voice message (audio file 210) is transferred to the local IVM server 202. Before the transmission of the instant voice message (i.e., before the send signal), the user has the option to review the instant voice message, re-record the instant voice message, delete the instant voice, as well as attach one or more files (i.e., documents). The attachment of one or more files is enabled conventionally via a methodology such as "drag-and-drop" and the like, which invokes the document handler 306 to make the appropriate linkages to the one or more files and flags the messaging system 320 that the instant voice message also has the attached one or more files.

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Fig 4. an exemplary illustration of the local IVM server 202 for enabling instant voice messaging according to the present invention. The IVM server 202 is a general-purpose programmable computer equipped with a network interface, such as an Ethernet card, to provide connectivity to a network 204. It is noted that any suitable networking protocol may be implemented to connect the IVM server 202 to a network 204. The IVM server 202 comprises a server communication platform 402, a messaging system 436 and a database 414, thereby enabling instant voice messaging according to the present invention. The server communication platform 402 comprises a server engine 404, client manager 406, station manager 408, gateway manager 410, database manager 412 that accesses database 414, supplemental servers 416 (including particular server subsystems 418-424), as well as a control layer 426 (including non-proprietary server subsystems 428, 430 and proprietary server subsystems 432, 434). The messaging system 436 and the server engine 304 communicate via standard inter-process communication. The messaging system 436 and the server engine are also able to communicate with the IVM clients 206, 208 over the network interface via the network 204. The database 414 stores users (e.g., IVM clients as well as legacy telephone clients) that are known to the IVM server 202 via the database manager 412. The users are represented in the database as records, each record comprising a user name, a password, and a contact list (a list of other users with whom the user wishes to exchange instant voice messages), and other data relating to the user. The database manager 412 services requests to add, update, delete, or retrieve database records to/from the database 414. The password may be stored in the database 414 as plaintext, in encrypted form, or as a

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hash (e.g., MD5 hash). The messaging system 436 communicates to the server engine 404 via message objects.

A message object comprises an action field, an ID field, a source field, a destination field, and an object field. The content of the action field is selected from a list of permitted actions, which among other actions includes: connect, disconnect, subscribe, unsubscribe, and post message. In addition, the actions include: determining if an IVM client is awake (i.e., pinging), disconnecting from the IVM client, processing an IVM client message, and notifying IVM clients if the IVM server 202 goes down. The client messages include sending an instant voice message portions, checkin message, send message, set status message, send a phone command message, and send control parameters message. The content of the ID field represents a unique identifier for the message object. The content of the source field is a globally unique identifier ("GUID") that uniquely identifies the sender of the message. This unique identifier can be generated by any known way, including the Globally Unique ID function call available in the Microsoft Windows and Microsoft .NET environments. In some circumstances, the source field is set to a special value to indicate that the sender of the message object is entitled to special privileges. The senders with special privileges are in fact IVM servers. This allows the IVM servers to broadcast messages to one another, subscribe to special events, and directly send messages to specific IVM servers. These privileges can depend upon whether the IVM servers are local servers or global servers. As an example, there can exist more than one local IVM server, each of these local IVM servers automatically has privileges to communicate to other local IVM server. On a global server system, a directory server can communicate with one or more transport servers. The content of the

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destination field is a GUID of an intended IVM recipient of the instant voice message. The content of the object field is a block of data being carried by the message object, which may be, for example, a digitized instant voice message. Depending on the circumstances in which the message object is sent, some of the message object fields may be left blank or ignored. For example, the message object may merely require an action to be performed based upon the GUID supplied. In this case, the action does not necessarily require any data to be sent or received and some of the message object's fields may be left blank or ignored.

Connection objects maintain the logical connections between the IVM server 202 and IVM clients 206, 208 connected to the IVM server 202. More specifically, a connection object comprises data representing the state of the connection and code (one or more methods) for establishing and maintaining the logical connections between the IVM server 202 and the IVM clients 206, 208 within the IVM system 200 of Fig. 2. The connection object can contain both data and/or commands, including information that describes the socket, the size of the data to be transferred, and the priority of the transfer (e.g., high, normal, low, unknown). On start up the local IVM server 202 generates and maintains a list for each IVM client 206, 208. The local IVM server 202 then waits to receive connection objects from the IVM clients 206, 208 that are stored in the respective lists, decodes the received connection objects to obtain specific requests, and then services the specific requests from the IVM clients 206, 208.

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Further with reference to Fig. 4, the server engine 404 controls all other subsystems in the server communication platform 402, and it is responsible for startup

and shutdown of the IVM server 202 and the IVM system 200. The client manager 406 controls the IVM clients 206, 208, providing contact presence (connection) information and message scheduling and delivery. The station manager 408 controls the individual legacy telephone 110 and coordinates its activity to work synchronously with the IVM client 208 and server 202. The gateway manager 410 enables the IVM server 202 to communicate with the legacy telephones, such as legacy telephone 110. The control layer 426 comprises a plurality of server subsystems 428-434, each of which provides translation services to different proprietary and non-proprietary gateways 114, such as TPNCP, MGCP, and MEGACO gateways. The proprietary server subsystems 428, 430 and non-proprietary server subsystems 432, 434 are connected to respective gateways 114 via the local IP network 204. The supplemental server subsystems 416 provide a number of required services such as display manager subsystem 418, dynamic host configuration protocol (i.e., "DHCP") subsystem 420, trivial file transfer protocol (i.e., "TFTP") server subsystem 422, and hypertext transfer protocol (i.e., "HTTP"). Each of the supplemental servers 418-424 in the subsystem 416 is used during the initial set-up of the IVM system 200. The boot-up process and allocation of IP addresses to IVM clients 206, 208 are performed through an LCD panel (not shown) associated with the local IVM server 202. The LCD manager 418 supports this boot-up process. The DHCP server 420 is used to allocate IP addresses as required and allows the advanced configuration of network settings in the instant voice messaging system. The TFTP server 422 provides a TCP/IP file transfer capability. Lastly, the HTTP server 424 provides services for a web server.

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Figure 5 is an exemplary illustration of a global instant voice messaging (IVM) system 500, according to the present invention. In the global IVM system 500, the local IVM system 200 is depicted as a local IVM system 510, which is connected to a packet-switched network 102 (i.e., Internet). The global IVM system 500 comprises the local IVM system 510, global IVM server system 502, and global IVM clients 506 and 508 that are optionally connected via local IP network 504. The global IVM server system 502 is connected to the IP network (i.e., Internet) 102 for enabling the local IVM clients 206, 208 and legacy telephone 110 in the local IVM system 510 to generate and send instant voice messages to the global IVM clients 506, 508, as well as the local IVM clients 206, 208 to receive instant voice messages from the global IVM clients 506, 508. The implementation of the global instant voice messaging for the IVM client 208 will be described first and will be followed by the implementations for IVM client 206 and legacy telephone 110, with reference to the global IVM system 500 depicted in Fig. 5. Thereafter, instant voice messaging for global clients 506 and 508 will be described according to the present invention. These implementations implement a "record mode" of the instant voice messaging according to the present invention. Thereafter, there will lastly be described an "intercom mode" of the instant voice messaging according to the present invention.

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Therefore, in operation of the IVM client 208 according to Fig. 5, the IVM client 208 is connected via the networks 204, 102 to the global IVM server system 502, which enables the global instant voice messaging functionality outside the local IVM system 510 over the network (i.e., Internet) 102. More specifically, the IVM client 208

requests from the global IVM server system 502 a global contact list (not shown) of global one or more IVM recipients with which the IVM client 208 may exchange instant voice messages. For the purposes of illustration, it is assumed that global IVM clients 506, 508 are in the contact list. The global IVM server system 502 stores and maintains this contact list. Thus, the global IVM server system 502 responds by transmitting the contact list to the IVM client 208. The IVM client 208 displays the contact list on its display 216. Alternatively, the global contact list may be replicated to the local IVM server 202 within the local IVM system 510, in which case the local IVM client 208 obtains the global contact list from the local IVM server 202. The user operates the IVM client 208 by using the input device 218 to indicate a selection of one or more IVM recipients from the global contact list. Here, for the purposes of illustration it is again assumed that IVM client 208 selected global IVM clients 506, 508. The user selection is transmitted to the IVM server 202. The user selection also generates a start signal to the IVM client 208 that the user is ready to begin instant voice messaging. In response to the start signal, the IVM client 208 listens to the input audio device 212 and records the user's speech into a digitized audio file 210 (i.e., instant voice message) stored on the IVM client 208. The audio file 210 is finalized via a stop signal, which is generated by the user via the input device 218 or a preset time period without speech input via the input audio device 212. Once the recording is finalized, the IVM client 208 generates a send signal indicating that the digitized audio file 210 (instant voice message) is ready to be sent to the selected one or more IVM recipients. The user generates the send signal when the user operates the IVM client 208 via the input device 218. The IVM client 208 transmits the digitized audio file 210 and the send signal to the global IVM server system

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502 via the local IP network 204 and the global IP network 102. After receiving the audio file 210, the global IVM server system 502 delivers the transmitted instant voice message to the selected one or more recipients (e.g., IVM clients 506 and 508) via the IP network 102. The one or more recipients are enabled to display an indication that the instant voice message has been received and audibly play the instant voice message to an associated user. It is noted that if a recipient IVM client 506, 508 is not currently connected to the global IVM server system 502, the global IVM server system 502 temporarily saves the instant voice message and delivers it to the global IVM client 506, 508 when the IVM client connects to the global IVM server system 502.

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There are several embodiments for the operation of the IVM client (VoIP telephone) 206 within the global IVM system 500 of Fig. 5, according to the present invention. In the first embodiment, the VoIP telephone 206 is a standalone IVM client 206 enabled for instant voice messaging according to the present invention. In the second embodiment, the VoIP telephone 206 operates synchronously with the IVM client 208 to enable instant voice messaging according to the present invention. Thus, in operation according to the first embodiment in Fig. 5, the IVM client 206 is connected via the networks 204, 102 to the global IVM server system 502, which enables instant voice messaging functionality over the IP network (Internet) 102. As mentioned previously, the IVM client 206 is also connected to the local IVM server 202. The IVM client 208 requests from the global IVM server system 502 a global contact list (not shown) of the global one or more IVM recipients with which the IVM client 206 may exchange instant voice messages. For the purposes of illustration, it is assumed that the

global IVM clients 506, 508 are in the contact list. The global IVM server system 502 stores and maintains this contact list. Thus, the global IVM server system 502 responds by transmitting the global contact list to the IVM client 206. Alternatively, the global contact list may be replicated to the local IVM server 202 within the local IVM system 510, in which case the local IVM client 206 obtains the global contact list from the local IVM server 202. The IVM client 206 displays a list of the one or more IVM recipients on its associated display. The user operates the IVM client 206 by using a keypad on the VoIP telephone 206 to indicate a selection of one or more IVM recipients from the list. The VoIP telephone 206 transmits the selection to the global IVM server system 502. The user selection also generates a start signal to the IVM client 206 indicating the user is 10 ready to begin instant voice messaging according to the present invention. The user speaks into the handset of the IVM client 206 or a speakerphone on the IVM client 206. Although not shown in Fig. 5, the VoIP telephone 206 may provide a dedicated storage device, which in response to the start signal records an audio file, similar to the audio file 210 in the IVM client 208. The audio file is finalized via a stop signal. The stop signal is 15 generated when the user presses a button on the keypad, a preset time period without speech input to the VoIP telephone 206, or when the user returns the handset to the cradle of the VoIP telephone 206. Once the recording of the user's speech is complete, a send signal is generated indicating that the instant voice message is ready to be sent to the selected recipients. The user generates the send signal when the user presses a button on 20 the keypad or returns the handset of the VoIP telephone 206 to it cradle. In response to the send signal, the IVM client 206 sends the recorded audio file (instant voice message) to the global IVM server system 502 via the networks 204, 102 for delivery to the

selected one or more IVM recipients. The global IVM server 502 thereafter delivers the instant voice message to the selected one or more recipients (e.g., IVM clients 506 and 508) via the IP network 102. As before, the one or more recipients are enabled to display an indication that the instant voice message has been received and audibly play the instant voice message. If a recipient IVM client is not currently connected to the global IVM server system 502, the global IVM server system 502 temporarily saves the instant voice message and delivers it to the IVM client when the IVM client connects to the global IVM server system 502.

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In the second embodiment of the IVM client 206 according to Fig. 5, the VoIP telephone 206 operates synchronously with the IVM client 208 to enable global instant voice messaging according to the present invention. Thus, in operation according to the second embodiment in Fig. 5, the VoIP telephone 206 is connected over the network 204 to the IVM client 208 and the IVM client 208 is connected via the networks 204, 102 to the global IVM server system 502, which enables instant voice messaging functionality over the IP network (Internet) 102. The VoIP telephone 206 cooperates with the IVM client 208 to record and send a global instant voice message outside the local IVM system 510. The IVM client 208 displays a global contact list of IVM recipients (not shown) on the display device 216 provided by the global IVM server system 502, as described hereinabove. Alternatively, the global contact list may be replicated to the local IVM server 202 within the local IVM system 510, in which case the IVM client 208 obtains the global contact list from the local IVM server 202. The user operates the IVM client 208 by using the input device 218 to indicate a selection of

one or more IVM recipients from the contact list. The user selection generates a start signal in the IVM client 208 indicating that the user is ready to begin instant voice messaging according to the present invention. In response to the start signal, the IVM client 208 generates audio file 210 to record an instant voice message and transmits a ring signal to the VoIP telephone 206. As the user picks up the handset of the VoIP telephone 206 (off-hook), a connection is established via the network 204 between the local IVM client 208 and the VoIP telephone 206. Thereafter, the VoIP telephone 206 forwards the user's speech to the IVM client 208 for storage into the audio file 210. The audio file 210 is finalized by returning the handset its cradle (on-hook) or by pressing a designated button on the keypad VoIP telephone 206, which transmits the stop signal to the IVM client 208. Returning the handset to its cradle preferably generates a send signal to the IVM client 208. The IVM client thereafter transmits the recorded audio file 210 (instant voice message) to the global IVM server system 502 via networks 204, 102 for delivery to the selected one or more IVM recipients. Alternatively, the user may press a key on the keyboard 218 to initiate the send signal. In response to the send signal, the IVM client 208 sends the recorded audio file to the global IVM server system 502 for delivery to the selected one or more IVM recipients. The global IVM server system 502 thereafter delivers the instant voice message to the selected one or more recipients (e.g., IVM clients 506 and 508) via the IP network 102. As before, the one or more IVM recipients are enabled to display an indication that the instant voice message has been received and audibly play the instant voice message. If a recipient IVM client is not currently connected to the global IVM server system 502, the global IVM server system 502

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temporarily saves the instant voice message and delivers it to the IVM client when the IVM client connects to the global IVM server system 502.

In operation of the legacy telephone 110 according to Fig. 5, the legacy telephone 110 is connected to the local IVM client 208 via media gateway 114, legacy switch 112 and network 204. The legacy telephone 110 cooperates with the IVM client 208 to record and send an instant voice message outside the local IVM system 510. More specifically, the legacy telephone 110 is used as a recording/listening device for recording or listing to instant voice messages, while the IVM client 208 is used for displaying and selecting instant voice message recipients as described hereinabove. Thus, in operation the IVM client 208 requests from the global IVM server system 502 a global contact list of global one or more IVM recipients with which the IVM client 208 may exchange instant voice messages. Alternatively, the global contact list may be replicated to the local IVM server 202 within the local IVM system 510, in which case the IVM client 208 obtains the global contact list from the local IVM server 202. The IVM client 208 displays the global list of IVM recipients, as described hereinabove. The user operates the IVM client 208 to indicate a selection of one or more IVM recipients from the global contact list. The IVM client 208 transmits the user selection to the global IVM server system 502. The user selection generates a start signal in the IVM client 208 indicating that the user is ready to begin instant voice messaging according to the present invention. In response to the start signal, the IVM client 208 transmits an emulation code to the legacy telephone 110 to ring, thereby indicating to the user the global IVM system 500 is ready to record an instant voice message. As the user picks up the handset of the

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legacy telephone 110 (off-hook), a connection is established via the network 204 between the legacy telephone 110 and the IVM client 208. Thereafter, the user's speech is transmitted from the legacy telephone 110 to the IVM client 208 for storage into the digitized audio file 210 (i.e., instant voice message). The audio file 210 is finalized by returning the handset of the legacy telephone 110 to its cradle (on-hook) or by pressing a designated button on the keypad of the legacy telephone 110, which transmits a stop signal to the IVM client 208. Returning the handset to its cradle may also generate a send signal to the IVM client 208 to transmit the recorded audio file (instant voice message) to the global IVM server system 502 for delivery to the selected one or more IVM recipients. Alternatively, the send signal is preferably generated from the IVM client 208 as described hereinabove. The global IVM server system 502 thereafter delivers the instant voice message to the selected one or more IVM recipients via the IP network (Internet) 102. The one or more recipients are enabled to display an indication that the instant voice message has been received and audibly play the instant voice message. If a recipient IVM client is not currently connected to the global IVM server system 502, the global IVM server system 502 temporarily saves the instant voice message and delivers it to the IVM client when the IVM client connects to the global IVM server 502.

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Further with reference to Fig. 5, the instant voice messaging for global

clients 506 and 508 will be described according to the present invention. In a first

embodiment, each of the global IVM clients 506, 508 is enabled to independently send an

instant voice message. The IVM clients 506, 508 have like peripheral devices and

functionality described respectively with reference to local IVM clients 206, 208 in Fig.

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2. In second embodiment described below, the VoIP telephone 506 operates in conjunction with the IVM client 508 to send an instant voice message. Therefore, in operation of the global IVM clients 506 and 508 according the first embodiment in Fig. 5, the IVM clients 506, 508 are connected via the networks 204, 102 to the global IVM server system 502, which enables the global instant voice messaging functionality outside the local IVM system 510 over the network (i.e., Internet) 102. Each of the global IVM clients 506, 508 is enabled to request from the global IVM server system 502 a contact list (not shown) of global one or more IVM recipients with which each of the global IVM client 506, 508 may exchange instant voice messages. For the purposes of this illustration, it is assumed that the IVM clients 206 and 208 within the local IVM system 510 are in the contact list for each global IVM client 506, 508. The global IVM server system 502 stores and maintains the foregoing contact list for each global IVM client 506, 508. Upon request, the global IVM server system 502 responds by transmitting the contact list to each of the IVM clients 506, 508. Each of the IVM clients 506, 508 displays the contact list on its display. The user operates the IVM client 506, 508 to indicate a selection of one or more IVM recipients from the contact list. Each of the global IVM clients 506, 508 transmits the user selection to the global IVM server system 502. The user selection also generates a start signal to the IVM clients 506, 508 that the user is ready to begin instant voice messaging. In response to the start signal, the IVM clients 506, 508 record the user's speech into a digitized audio file (i.e., instant voice message) stored on the global IVM clients 506, 508. The audio file is finalized via a stop signal, which is generated by the user by operating the global IVM client 506, 508. Once the recording is finalized, the IVM client 506, 508 generates a send signal indicating that

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the digitized audio file (instant voice message) is ready to be sent to the selected one or more recipients. The user generates the send signal when the user operates the global IVM client 506, 508. The IVM client 208 transmits the digitized audio file and the send signal to the global IVM server system 502. After receiving the audio file, the global IVM server system 502 delivers the transmitted instant voice message to the local IVM server 202 in the local IVM system 510 for delivery to the selected one or more recipients (e.g., local IVM clients 206 and 208) via the local IP network 204. The one or more recipients IVM 206, 208 are enabled to display an indication that the instant voice message has been received and audibly play the instant voice message to an associated user. It is noted that if a recipient IVM client 206, 208 is not currently connected to the local IVM server 202, the IVM server 202 temporarily saves the instant voice message and delivers it to the local IVM client 206, 208 when the IVM client connects to the local IVM server 202.

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In the second embodiment of the IVM client 506 according to Fig. 5, the VoIP telephone 506 operates synchronously with the IVM client 508 to enable global instant voice messaging according to the present invention. In this embodiment, the VoIP telephone 506 and the IVM client 508 may be located in a user's residence and be connected to a local IP network 504. This local IP network 504 can be a WiFi network or a local area network (i.e., LAN), which is also within the user's residence. The local IP network 504 may be connected to the IP network (Internet) 102 via a digital subscriber line (i.e., DSL) connection, cable connection, dialup connection, or the like. As noted above, the IVM clients 506, 508 have like peripheral devices and functionality described

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respectively with reference to local IVM clients 206, 208 in Fig. 2. Thus, in operation according to this embodiment in Fig. 5, the global IVM client 508 requests from the global IVM server system 502 a contact list of global one or more IVM recipients with which each of the global IVM client 508 may exchange instant voice messages. For the purposes of this illustration, it is assumed that the IVM clients 206 and 208 within the local IVM system 510 are in the contact list for the global IVM client 508. The global IVM server system 502 stores and maintains the foregoing contact list for the global IVM client 508. The IVM client 508 displays a contact list of IVM recipients on the associated display device provided by the global IVM server system 502, as described hereinabove. The user operates the IVM client 508 by using the associated input device to indicate a selection of one or more IVM recipients from the contact list. The user selection generates a start signal in the IVM client 508 indicating that the user is ready to begin instant voice messaging according to the present invention. In response to the start signal, the IVM client 508 generates audio file to record an instant voice message and transmits a ring signal to the VoIP telephone 506 via local IP network 504. As the user picks up the handset of the VoIP telephone 206 (off-hook), a connection is established via the local network 504 between the local IVM client 508 and the VoIP telephone 506. Thereafter, the VoIP telephone 506 forwards the user's speech to the IVM client 508 for storage into the audio file at the IVM client 508. The audio file is finalized by returning the handset its cradle (on-hook) or by pressing a designated button on the keypad associated with the VoIP telephone 506, which transmits the stop signal to the IVM client 508. Returning the handset to its cradle preferably generates a send signal to the IVM client 508. The IVM client thereafter transmits the recorded audio file (instant voice

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message) to the global IVM server system 502 for delivery to the selected one or more IVM recipients. Alternatively, the user may press a key on the input device associated with the IVM client 508 to initiate the send signal. In response to the send signal, the IVM client 508 sends the recorded audio file to the global IVM server system 502 for delivery to the selected one or more IVM recipients. The global IVM server system 502 thereafter transmits the instant voice message to the local IVM server 202 for delivery selected one or more recipients (e.g., local IVM clients 206 and 208) via the local IP network 204. As before, the one or more recipients are enabled to display an indication that the instant voice message has been received and audibly play the instant voice message. If a recipient IVM client is not currently connected to the local IVM server 202, the local IVM server 202 temporarily saves the instant voice message and delivers it to the IVM client when the IVM client connects to the local IVM server 202.

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Lastly with reference to Fig. 5, in addition to the "record mode" of instant voice messaging as described above, the instant voice messaging system 500 also supports an "intercom mode" of the instant voice messaging. The "intercom mode" represents real-time instant voice messaging. In the "intercom mode," instead of creating an audio file as described hereinabove, one or more buffers (not shown) of a predetermined size are generated. The buffers may be generated in any one of the IVM clients 206, 208, 506 and 508, depending on how the global IVM system 500 is defined. The one or more buffers are used to automatically write successive portions of the instant voice message. Once a first buffer is full, i.e., input audio of the predetermined size is written to the buffer, the content of the first buffer is automatically transmitted. If the

transmission is generated at a local IVM client 206, 208 and destined for one or more local IVM recipients, the content of the first buffer is transmitted to the local IVM server 202 for delivery to the local one or more recipients. If the transmission is generated at a local IVM client 206, 208 and destined for one or more global IVM recipients 506, 508, the content of the first buffer is transmitted to the global IVM server system 502 for delivery to the one or more global recipients. In addition, if the transmission is generated at a global IVM client 506, 508 and destined for the other global IVM clients, the content of the first buffer is transmitted to the global IVM server system 502, such as for example clients 506, 508. Lastly, if the transmission is generated at a global IVM client 506, 508 and destined for the local IVM clients 206, 208, the content of the first buffer is transmitted to the global IVM server system 502 and further transmitted by the global IVM server 502 to the local IVM server 202 for delivery to clients 206, 208 within the local IVM system 510. A second buffer is meanwhile written with the next successive portion of input audio. Once, the second buffer is full, i.e., input audio of the predetermined size is written to the buffer, the content of the second buffer is transmitted in similar fashion to the first buffer. If the entire instant voice message or a successive portion thereof (such as a last successive portion in the instant voice message) written to either buffer is smaller the predetermined size, then the buffered content of less than the predetermined size is automatically transmitted to the IVM server 202. The foregoing buffering using the first and second buffers is repeated until the entire instant voice message has been transmitted as described above. It is noted that the invention is not limited to a particular number of buffers. The foregoing buffering and transmission allows a "real-time" instant voice message to be transmitted to the one or more local, as

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well as global, IVM recipients. The "intercom mode" may be designated as a default mode when an IVM recipient is on-line, while the "record mode" may be designated as a default if the IVM recipient is unavailable, i.e., not on-line. The user may easily change the "intercom mode" to the "record mode" on the respective IVM client 206, 208, 506, 508. Finally, the audio contents of the buffers may be signal processed (for clarity), encrypted and compressed before transmission, as was described previously.

Fig. 6 is an exemplary detailed illustration 600 of the global IVM server system 502 depicted in Fig. 5, according to the present invention. More specifically, the local IVM system 510 described in Fig. 5 is connected via the IP network (Internet) 102 to the global IVM server system 502. The global IVM server system 502 comprises an IVM transport server mesh 602 and an IVM directory server 608. The IVM transport server mesh 602 comprises a plurality of interconnected IVM transport servers 604, 606. Although the mesh 602 is depicted as having two IVM transport servers 604, 606, it is to be understood that as many IVM transport servers as are desired or required for redundancy and load balancing may be interconnected in a mesh. The IVM transport servers 604, 606 may be centrally located and configured to communicate (i.e., forward and receive messages) with local IVM clients 206, 208, local IVM server 202 and global IVM client 506, 508 (not depicted in Fig. 6). The plurality of IVM transport servers 604, 606 in the IVM transport server mesh 602 permits load balancing and redundancy in the global IVM system 500. The directory server 608 maintains a transport server list of all the IVM transport servers 604, 606 currently connecting to the mesh 602. Each of the IVM transport servers 604, 606 first connects to the directory server 608. The directory

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server 608 informs each of the connecting IVM transport servers 604, 606 of all the other IVM transport servers currently in the mesh 602 based on an active list (not shown) of transport servers 604, 606 in the mesh 602. The connecting IVM transport server then connects to each of the IVM transport servers in the transport server list, resulting in an interconnected mesh 602 of IVM transport servers 604, 606. The IVM transport servers 604, 606 and the IVM directory server 608 communicate via messages.

Further with reference to Fig. 6, the IVM transport servers 604, 606 connected in the mesh 602 share a database (not shown) of IVM clients, so that each IVM transport server 604, 606 refers to the same client database. It is preferable that each IVM transport server 604, 606 maintains its own copy of the client database, which is mirrored and replicated conventionally amongst the IVM transport servers 604, 606 in the mesh 602. The client database may further be replicated to the local IVM server 202. Alternatively, the client database is stored on a separate file server (not shown) in data communication with the IVM transport servers 604, 606 over a network (not shown).

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Fig. 7 is an exemplary detailed illustration of a transport server 604, 606 depicted in Fig. 6, according to the present invention. The IVM transport server 604, 606 is a general-purpose programmable computer comprising a network interface (not shown) connected to IP network (Internet) 102, a communication platform 702, a message database 712, and a messaging system 714. The communication platform 702 comprises a server engine 704, which controls a user manager 706, a local server manager 708, and a storage manager 710. The messaging system 714 and the server engine 704

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handles retrieving, sending, and storing of messages, including instant voice messages and attachments thereto, to/from the message database 712. The user manager 706 is responsible for creating/maintaining IVM clients 206, 208, 506, 508, identifying them and relaying their status to the server engine 704. When an IVM client communicates an instant voice message within the global IVM system 500, the user manager 706 notifies the server engine 704 whether the one or more recipients are unavailable, and thereby the instant voice message is saved in the message database 712. When the one or more IVM recipients become available, the user manager 706 notifies the server engine 704, which instructs the storage manager 710 to retrieve any undelivered instant voice messages for the one or more recipients and delivers the instant voice messages to the designated one or more IVM recipients. The local server manager 708 is responsible for creating/maintaining and providing the status of available local IVM servers, such as IVM server 202 in Fig. 2. The availability status of the local IVM servers is checked periodically and updated.

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Fig. 8 is an exemplary detailed illustration of a directory server 608 depicted in Fig. 6, according to the present invention. The directory server 608 is a general-purpose programmable computer equipped with a network interface (not shown) connected to IP network (Internet) 102, a messaging system 812, and a communication platform 802. The communication platform 802 comprises a server engine 804, which controls a local server manager 806, a user manager 808, and a transport manager 810. The messaging system 812 and the server engine 804 communicate via standard inter-

process communication. The transport manager 810 maintains the status of the IVM transport servers 604, 606 in the IVM transport server mesh 602 within the global IVM system 500 and using a load-balancing mechanism distributes instant voice messages to available transport server 604, 606 for routing to the one or more IVM recipients. The user manager 808 is responsible for creating/maintaining IVM clients 206, 208, 506, 508, identifying and relaying their status via the server engine 804 to the IVM transport server 604, 606 to be used. The local server manager 806 is responsible for creating/maintaining and providing the status of available local IVM servers, such as IVM server 202 in Fig. 2. The availability status of the local IVM servers is checked periodically and updated.

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Fig. 9 illustrates an exemplary a global instant voice messaging (IVM) system 900, which comprises a plurality of local IVM systems and a plurality of global IVM clients, according to the present invention. In the global IVM system 900, there are depicted a plurality of local IVM systems 902, 910 connected to the global IP network 102. The internal representation and functionality of each local IVM system 902, 904 is identical to the local IVM system 510 described with reference to Fig. 5. In global IVM system 900 of Fig. 9, there are also depicted a plurality of global IVM clients 918-928 and a global IVM server system 502 connected to the global IP network (i.e., Internet) 102. The internal representations of the global IVM client 918-928 and the global IVM server system 502 are identical to the respective IVM client 508 (and/or IVM client or 506) and the global IVM server system 502 described with reference to Fig. 5. In the local IVM system 902, each local IVM client 206, 208 is enabled to request local IVM

recipients from the local IVM server 202 and global IVM recipients from either the global IVM server system 502 or the local IVM server 202. For example, the local IVM client 1A 208 displays a list 904 to a user, comprising both local and global IVM recipients. More specifically, the list 904 enables IVM client 1A to send instant voice messages according to the present invention to local IVM clients 1B 208 and 1C 206, global IVM client C 922 and global IVM client 2A 208 in the local IVM system 910. Similar lists 906-916 are displayed to the users of the respective IVM clients 1B-1C in local IVM system 902, and 2A-2C in local IVM system 910. In addition, the global clients A-F 918-928 are enabled to request IVM recipients from the global IVM server system 502 and display the respective lists of IVM recipients 930-940 on the respective IVM clients 918-928.

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While the invention has been particularly shown and described with regard to preferred embodiments thereof, it will be understood by those skilled in the art that the foregoing and other changes in form and details may be made therein without departing from the spirit and scope of the invention.

## **CLAIMS:**

Having thus described our invention, what we claim as new, and desire to secure by Letters Patent is:

1. An instant voice messaging system for delivering instant messages over a packet-switched network, the system comprising:

a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the network; and

a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients enabled to audibly play the instant voice message.

- 2. The instant voice messaging system according to Claim 1, wherein the packet-switched network is a local network.
- 3. The instant voice messaging system according to Claim 1, wherein the packet-switched network is the Internet.
- 4. The instant voice messaging system according to Claim 1, wherein the client requests a list of recipients associated with the client from the server and the server transmits the list of recipients to the client for selection of the one or more recipients.

- 5. The instant voice messaging system according to Claim 1, wherein the server delivers the instant voice message to the selected recipients that are available.
- 6. The instant voice messaging system according to Claim 1, wherein the server temporarily stores the instant voice message if a selected recipient is unavailable and delivers the stored instant voice message to the selected recipient once the selected recipient becomes available.
- 7. The instant voice messaging system according to Claim 1, wherein the client records the instant voice message in an audio file, transmits the audio file to the server, and the server delivers the audio file to the selected recipients, the selected recipients being enabled to audibly play the audio file.
- 8. The instant voice messaging system according to Claim 7, wherein the client signal processes, compresses and encrypts the audio file, and the selected recipients being enabled to decrypt and decompress the audio file before audibly playing the audio file.
- 9. The instant voice messaging system according to Claim 1, wherein the client buffers each of a plurality of successive portions of the instant voice message as the instant message is recorded, and the client transmits each successive buffered portion to the server for delivery to the to the selected recipients, the selected recipients being enabled to audibly playing each successive portion as it is delivered.

- 10. The instant voice messaging system according to Claim 1, wherein the client is enabled to attach one or more files to the instant voice message and the selected recipients are enabled to store or display the one or more attached files.
- 11. The instant voice messaging system according to Claim 1, the system further comprising a public switched telephone network (PSTN) telephone connected to the network to provide input audio of the instant voice message to the client.
- 12. The instant voice messaging system according to Claim 1, the system further comprising a voice-over-internet-protocol (VoIP) telephone connected to the network to provide input audio of the instant voice message to the client.
- 13. An instant voice messaging system for delivering instant messages over a packet-switched network enabling public switched telephone network (PSTN) support, the system comprising:
- a PSTN telephone connected to the network for providing input audio;
  a client connected to the network, the client selecting one or more
  recipients, generating an instant voice message therefor using the input audio provided by
  the PSTN telephone, and transmitting the selected recipients and the instant voice
  message therefor over the network;
- a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice

message to the selected recipients over the network, the selected recipients being enabled to audibly play the instant voice message.

14. An instant voice messaging system for delivering instant messages over a packet-switched network, the system comprising:

a voice-over-internet-protocol (VoIP) telephone connected to the network for providing input audio;

a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor using the input audio provided by the VoIP telephone, and transmitting the selected recipients and the instant voice message therefor over the network;

a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients being enabled to audibly play the instant voice message.

15. An instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising:

a client connected to a local network, the client selecting one or more external recipients connected to an external network outside the local network, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the local network and the external network; and

a server connected to the external network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the external network, the selected recipients being enabled to audibly play the instant voice message.

16. The instant voice messaging system according to Claim 15, the client further selects one or more local recipients connected to the local network and transmits the selected local recipients and the instant voice message therefor over the local network, wherein the system further comprises:

a local server connected to the local network, the local server receiving the selected local recipients and the instant message therefor from the client, and delivering the instant voice message to the selected local recipients over the local network, the selected local recipients being enabled to audibly play the instant voice message.

- 17. The instant voice messaging system according to Claim 15, wherein the local network is a network within an enterprise.
- 18. The instant voice messaging system according to Claim 15, wherein the external network is the Internet.
- 19. The instant voice messaging system according to Claim 15, wherein the client requests a list of recipients associated with the client from the server and the

server transmits the list of recipients to the client for selection of the one or more recipients.

- 20. The instant voice messaging system according to Claim 15, wherein the server delivers the instant voice message to the selected recipients that are available.
- 21. The instant voice messaging system according to Claim 15, wherein the server temporarily stores the instant voice message if a selected recipient is unavailable and delivers the stored instant voice message to the selected recipient once the selected recipient becomes available.
- 22. The instant voice messaging system according to Claim 15, wherein the client records the instant voice message in an audio file, transmits the audio file to the server, and the server delivers the audio file to the selected recipients, the selected recipients being enabled to audibly play the audio file.
- 23. The instant voice messaging system according to Claim 22, wherein the client signal processes, compresses and encrypts the audio file, and the selected recipients are enabled to decrypt and decompress the audio file before audibly playing the audio file.
- 24. The instant voice messaging system according to Claim 15, wherein the client buffers each of a plurality of successive portions of the instant voice message as

the instant message is recorded, and the client transmits each successive portion to the server for delivery to the selected recipients, the selected recipients being enabled to audibly playing each successive portion as it is delivered.

- 25. The instant voice messaging system according to Claim 15, wherein the client is enabled to attach one or more files to the instant voice message and the selected recipients are enabled to store or display the one or more attached files.
- 26. The instant voice messaging system according to Claim 15, the system further comprising a public switched telephone network (PSTN) telephone connected to the local network to provide input audio of the instant voice message to the client.
- 27. The instant voice messaging system according to Claim 15, the system further comprising a voice-over-internet-protocol (VoIP) telephone connected to the local network to provide input audio of the instant voice message to the client.
- 28. An instant voice messaging system for delivering instant messages over a plurality of packet-switched networks enabling public switched telephone network (PSTN) support, the system comprising:
- a PSTN telephone connected to a local network for providing input audio; a client connected to the local network, the client selecting one or more external recipients connected to an external network outside the local network, generating an instant voice message therefor using the input audio provided by the PSTN telephone,

and transmitting the selected recipients and the instant voice message therefor over the local network and the external network;

a server connected to the external network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the external network, the selected recipients being enabled to audibly play the instant voice message.

29. An instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising:

a voice-over-internet-protocol (VoIP) telephone connected to a local network for providing input audio;

a client connected to the local network, the client selecting one or more external recipients connected to an external network outside the local network, generating an instant voice message therefor using the input audio provided by the VoIP telephone, and transmitting the selected recipients and the instant voice message therefor over the local network and the external network;

an server connected to the external network, the external server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the external network, the selected recipients being enabled to audibly play the instant voice message.

30. An instant voice messaging system for delivering instant messages over a plurality of packet-switched networks, the system comprising:

a client connected to an external network, the client selecting one or more recipients connected to a local network, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the external network; and

a external server system connected to the external network, the external server system receiving the selected recipients and the instant voice message, and routing the selected recipients and the instant voice message over the external network and the local network;

a local server connected to the local network, the local server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the local network, the selected recipients being enabled to audibly play the instant voice message.

- 31. The instant voice messaging system according to Claim 30, the client further selects one or more external recipients connected to the external and transmits the selected external recipients over the external network to the external server, and the external server receives the selected external recipients and delivers the instant voice message to the selected external recipients over the external network, the selected external recipients being enabled to audibly play the instant voice message.
- 32. The instant voice messaging system according to Claim 30, wherein the local network is a network within an enterprise.

- 33. The instant voice messaging system according to Claim 30, wherein the external network is the Internet.
- 34. The instant voice messaging system according to Claim 30, wherein the client requests a list of recipients associated with the client from the external server system and the external server system transmits the list of recipients to the client for selection of the one or more recipients.
- 35. The instant voice messaging system according to Claim 30, wherein the local server delivers the instant voice message to the selected recipients that are available.
- 36. The instant voice messaging system according to Claim 30, wherein the local server temporarily stores the instant voice message if a selected recipient is unavailable and delivers the stored instant voice message to the selected recipient once the selected recipient becomes available.
- 37. The instant voice messaging system according to Claim 30, wherein the client records the instant voice message in an audio file, transmits the audio file to the external server, the external server system routes the audio file to the local server, and the local server delivers the audio file to the selected recipients, the selected recipients being enabled to audibly play the audio file.

- 38. The instant voice messaging system according to Claim 37, wherein the client signal processes, compresses and encrypts the audio file, and the selected recipients are enabled to decrypt and decompress the audio file before audibly playing the audio file.
- 39. The instant voice messaging system according to Claim 30, wherein the client buffers each of a plurality of successive portions of the instant voice message as the instant message is recorded, and the client transmits each successive buffered portion to the external server system, the external server system routes each successive portion to the local server, and the local server delivers each successive portion to the to the selected recipients, the selected recipients being enabled to audibly play each successive portion as it is delivered.
- 40. The instant voice messaging system according to Claim 30, wherein the client is enabled to attach one or more files to the instant voice message and the selected recipients are enabled to store or display the one or more attached files.
- 41. The instant voice messaging system according to Claim 30, the system further comprising a voice-over-internet-protocol (VoIP) telephone connected to the client via a local network, the client providing input audio of the instant voice message to the client via the local network.

42. The instant voice messaging system according to Claim 30, wherein the external server system comprises:

a transport server mesh including a plurality of transport servers for routing instant voice messages;

a directory server for maintaining the transport server mesh and facilitating load-balancing of the instant voice messages within the transport server mesh.

43. A method for instant voice messaging over a packet-switched network, the method comprising:

selecting one or more recipients for instant voice messaging at a client; generating an instant voice message for the selected recipients at the

transmitting the selected recipients and the instant voice message therefor over the network from the client to a server;

client;

receiving the selected recipients and the instant voice message therefor at the server;

delivering the instant voice message from the server to the selected recipients over the network; and

audibly playing the instant voice message at the selected recipients.

44. The method for instant voice messaging according to Claim 43, wherein the method further comprises:

requesting from the client a list of recipients associated with the client from the server; and

transmitting from the server the list of recipients to the client for selection of the one or more recipients.

45. The method for instant voice messaging according to Claim 43, wherein the method further comprises:

delivering the instant voice message from the server to the selected recipients that are available.

46. The method for instant voice messaging according to Claim 43, wherein the method further comprises:

temporarily storing at the server the instant voice message if a selected recipient is unavailable; and

delivering from the server the stored instant voice message to the selected recipient once the selected recipient becomes available.

47. The method for instant voice messaging according to Claim 43, wherein the method further comprises:

recording the instant voice message at the client in an audio file; transmitting the audio file to the server;

delivering the audio file from the server to the selected recipients; and audibly playing the audio file at the least one of the selected recipients.

48. The method for instant voice messaging according to Claim 47, wherein the method further comprises:

signal processing, compressing and encrypting the audio file at the client; decrypting and decompressing the audio file at the at least one selected recipient; and

audibly playing the decrypted and decompressed audio file at the least one of the selected recipients.

49. The method for instant voice messaging according to Claim 43, further comprising:

buffering each of a plurality of successive portions of the instant voice message at the client as the instant message is recorded;

transmitting from the client each successive buffered portion to the server;

delivering each successive portion from the server to the selected
recipients, the selected recipients audibly playing each successive portion as it is
delivered.

50. The method for instant voice messaging according to Claim 43, wherein the method further comprises:

attaching one or more files to the instant voice message at the client; storing or displaying the one or more attached files at the selected recipients.

51. The method for instant voice messaging according to Claim 43, wherein the method further comprises:

providing input audio of the instant voice message to the client from a public switched telephone network (PSTN) telephone connected to the network.

52. The method for instant voice messaging according to Claim 43, wherein the method further comprises:

providing input audio of the instant voice message to the client from a voice-over-internet-protocol (VoIP) telephone connected to the network.

53. A method for instant voice messaging over a packet-switched network enabling public switched telephone network (PSTN) support, the method comprising:

providing input audio via a PSTN telephone connected over the network;

selecting one or more recipients for instant voice messaging at a client;

generating an instant voice message using the input audio from the PSTN telephone for the selected recipients at the client;

transmitting the selected recipients and the instant voice message therefor over the network from the client to a server;

receiving the selected recipients and the instant voice message therefor at the server;

delivering the instant voice message from the server to the selected recipients over the network; and

audibly playing the instant voice message at selected recipients.

54. A method for instant voice messaging over a packet-switched network, the method comprising:

providing input audio via a voice-over-internet-protocol (VoIP) telephone connected over the network;

selecting one or more recipients for instant voice messaging at a client;
generating an instant voice message using the input audio from the VoIP
telephone for the selected recipients at the client;

transmitting the selected recipients and the instant voice message therefor over the network from the client to a server;

receiving the selected recipients and the instant voice message therefor at the server;

delivering the instant voice message from the server to the selected recipients over the network; and

audibly playing the instant voice message at the selected recipients.

55. A method for instant voice messaging over a plurality of packetswitched networks, the method comprising:

selecting one or more external recipients for instant voice messaging at a client connected to a local network, the one or more external recipients connected to an external network outside the local network;

generating an instant voice message for the selected external recipients at the client;

transmitting the selected external recipients and the instant voice message therefor over the local network and the external network;

receiving the selected external recipients and the instant voice message therefor at an external server connected to the external network;

delivering the instant voice message to the selected external recipients over the external network; and

audibly playing the instant voice message at the selected external recipients.

56. The method for instant voice messaging according to Claim 55, wherein the method further comprises:

requesting from the external server a list of external recipients associated with the client; and

transmitting the list of external recipients from the external server to the client for selection of the one or more external recipients.

57. The method for instant voice messaging according to Claim 55, wherein the method further comprises:

delivering the instant voice message from the external server to the selected recipients that are available.

58. The method for instant voice messaging according to Claim 55, wherein the method further comprises:

temporarily storing the instant voice message at the external server if a selected recipient is unavailable;

delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

59. The method for instant voice messaging according to Claim 55, wherein the method further comprises:

recording the instant voice message in an audio file at the client; transmitting the audio file to the external server;

delivering the audio file to the selected recipients from the external server;

and

and

audibly playing the audio file at the selected recipients.

60. The method for instant voice messaging according to Claim 59, wherein the method further comprises:

signal processing, compressing and encrypting the audio file at the client;

decrypting and decompressing the audio file at the selected recipients; and audibly playing the decrypted and decompressed audio file at the selected recipients.

61. The method for instant voice messaging according to Claim 55, wherein the method further comprises:

buffering each of a plurality of successive portions of the instant voice message at the client as the instant message is recorded;

transmitting from the client each successive portion to the external server; delivering each successive portion from the external server to the selected external recipients,

audibly playing each successive portion at the selected external recipients as it is delivered.

62. The method for instant voice messaging according to Claim 55, wherein the method further comprises:

recipients.

attaching one or more files to the instant voice message; storing or displaying the one or more attached files at the selected external

63. The method for instant voice messaging according to Claim 55, wherein the method further comprises providing input audio of the instant voice message to the client from a public switched telephone network (PSTN) telephone over the local network.

- 64. The method for instant voice messaging according to Claim 55, wherein the method further comprises providing input audio of the instant voice message to the client from a voice-over-internet-protocol (VoIP) telephone over the local network.
- 65. A method for instant voice messaging system over a plurality of packet-switched networks enabling public switched telephone network (PSTN) support, the method comprising:

providing input audio via a PSTN telephone connected to a local network; selecting one or more external recipients for instant voice messaging at a client, the one or more external recipients connected to an external network outside the local network;

generating an instant voice message for the one or more external recipients using the input audio provided by the PSTN telephone;

transmitting the selected recipients and the instant voice message therefor over the local network and the external network;

receiving the selected recipients and the instant voice message therefor at a server connected to the external network;

delivering the instant voice message to the selected recipients from the server over the external network; and

audibly playing the instant voice message at the selected recipients.

66. A method for instant voice messaging system over a plurality of packet-switched networks, the method comprising:

providing input audio via a voice-over-internet-protocol (VoIP) telephone connected to a local network;

selecting one or more external recipients for instant voice messaging at a client, the one or more external recipients connected to an external network outside the local network;

generating an instant voice message for the one or more external recipients using the input audio provided by the VoIP telephone;

transmitting the selected recipients and the instant voice message therefor over the local network and the external network;

receiving the selected recipients and the instant voice message therefor at a server connected to the external network;

delivering the instant voice message to the selected recipients from the server over the external network; and

audibly playing the instant voice message at the selected recipients.

67. A method for instant voice messaging over a plurality of a plurality of packet-switched networks, the method comprising:

selecting one or more recipients connected to a local network at a client connected to an external network;

generating an instant voice message for the selected recipients at the client;

transmitting the selected recipients and the instant voice message therefor over the external network from the client to an external server system;

receiving the selected recipients and the instant voice message at the external server system;

routing the selected recipients and the instant voice message over the external network and the local network;

receiving the selected recipients and the instant voice message therefor at a local server connected to the local network;

delivering the instant voice message to the selected recipients over the local network;

audibly playing the instant voice message at the selected recipients.

68. The method for instant voice messaging according to Claim 67, wherein the method further comprises:

requesting a list of recipients associated with the client from the external server system; and

transmitting the list of recipients from the external server system to the client for selection of the one or more recipients.

69. The method for instant voice messaging according to Claim 67, wherein the method further comprises:

delivering the instant voice message from the local server to the selected recipients that are available.

70. The method for instant voice messaging according to Claim 67, wherein the method further comprises:

temporarily storing the instant voice message at the local server if a selected recipient is unavailable; and

delivering the stored instant voice message to the selected recipient once the selected recipient becomes available.

71. The method for instant voice messaging according to Claim 67, wherein the method further comprises:

recording the instant voice message in an audio file at the client; transmitting the audio file from the client to the external server system; routing the audio file from the external server system to the local server;

delivering the audio file from the local server to the selected recipients; and

audibly playing the audio file at the selected recipients.

and

recipients.

72. The method for instant voice messaging according to Claim 71, wherein the method further comprises:

signal processing, compressing and encrypting the audio file at the client; decrypting and decompressing the audio file at the selected recipients; audibly playing the decrypted and decompressed audio file at the selected

73. The method for instant voice messaging according to Claim 67, wherein the method further comprises:

buffering each of a plurality of successive portions of the instant voice message at the client as the instant message is recorded;

transmitting from the client each successive portion to the external server system;

routing each successive portion from the external server system to the local server;

delivering each successive portion from local server to the selected external recipients; and

audibly playing each successive portion at the selected recipients as it is delivered.

74. The method for instant voice messaging according to Claim 67, wherein the method further comprises:

attaching one or more files to the instant voice message at the client; storing or displaying the one or more attached files at the selected recipients.

75. The method for instant voice messaging according to Claim 67, wherein the method further comprises:

providing input audio of the instant voice message from a voice-over-internet-protocol (VoIP) telephone to the client via a local network connecting the VoIP telephone to the client.

76. The method for instant voice messaging according to Claim 67, wherein the method further comprises:

maintaining a transport server mesh including a plurality of transport servers for routing instant voice messages; and

load-balancing the instant voice messages within the transport server mesh.

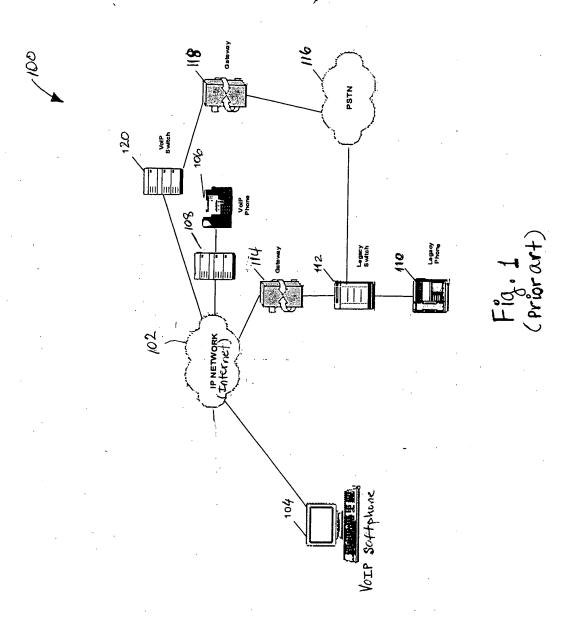
### SYSTEM AND METHOD FOR INSTANT VoIP MESSAGING

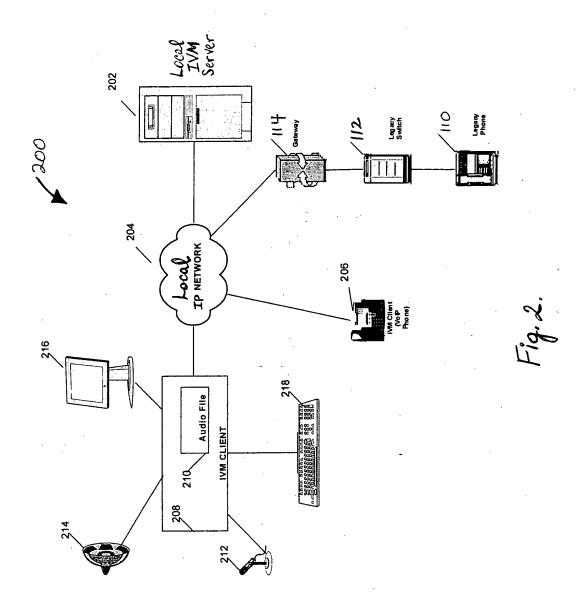
### ABSTRACT OF THE DISCLOSURE

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There is provided an instant voice messaging system (and method) for delivering instant messages over a packet-switched network, the system comprising: a client connected to the network, the client selecting one or more recipients, generating an instant voice message therefor, and transmitting the selected recipients and the instant voice message therefor over the network; and a server connected to the network, the server receiving the selected recipients and the instant voice message therefor, and delivering the instant voice message to the selected recipients over the network, the selected recipients being enabled to audibly play the instant voice message.





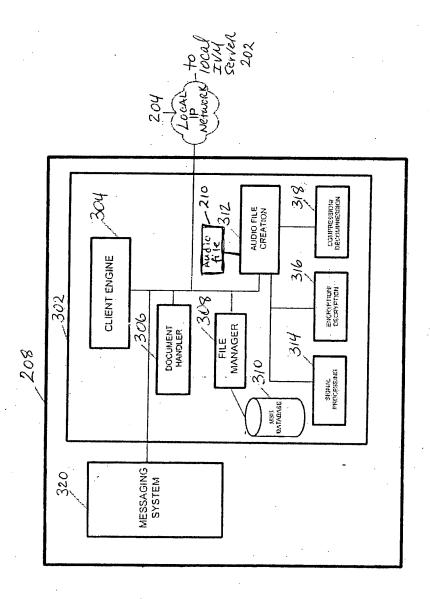


FIG. 3 Client Software Architecture

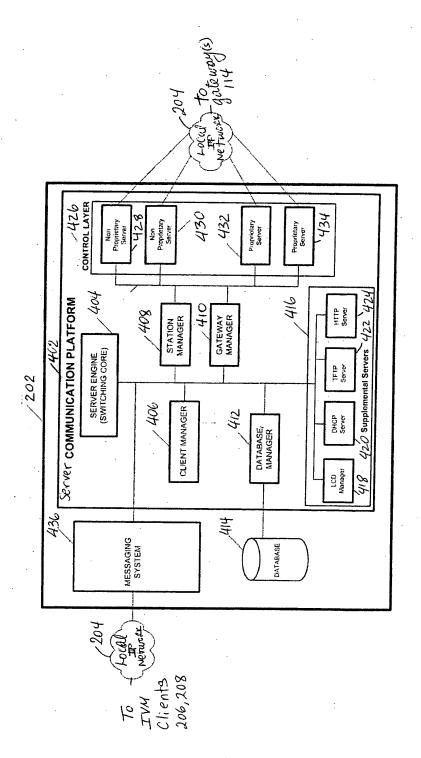
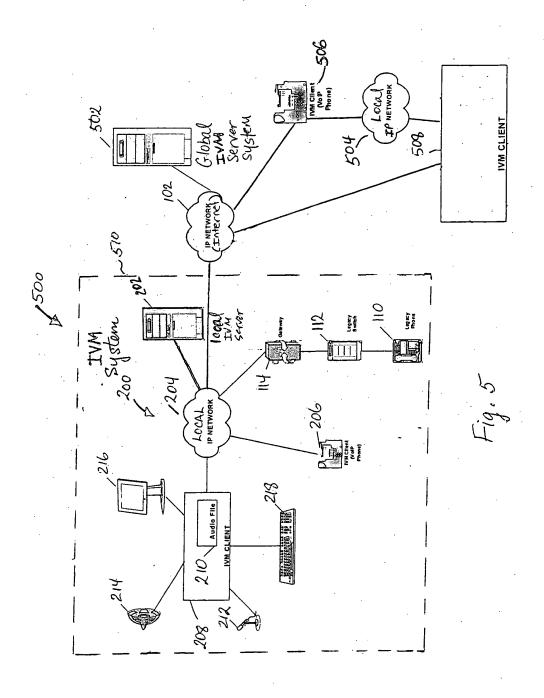
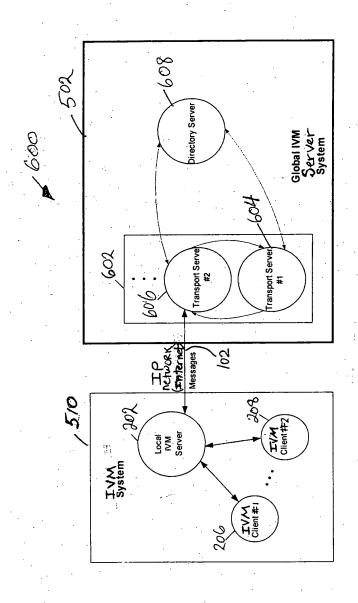


FIG 4 Local Server (IVM) Architecture





7. 9.

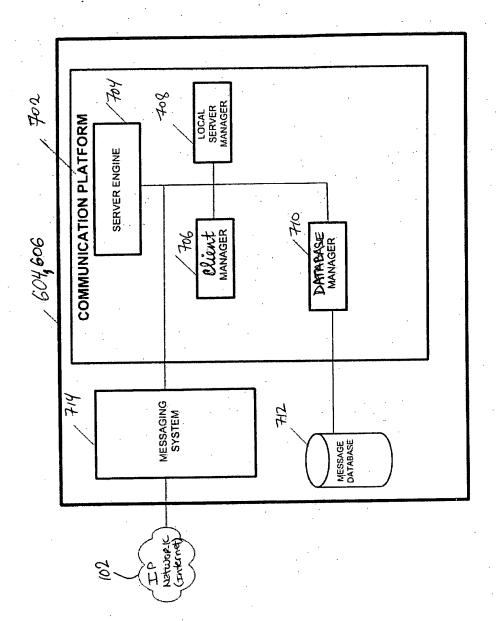
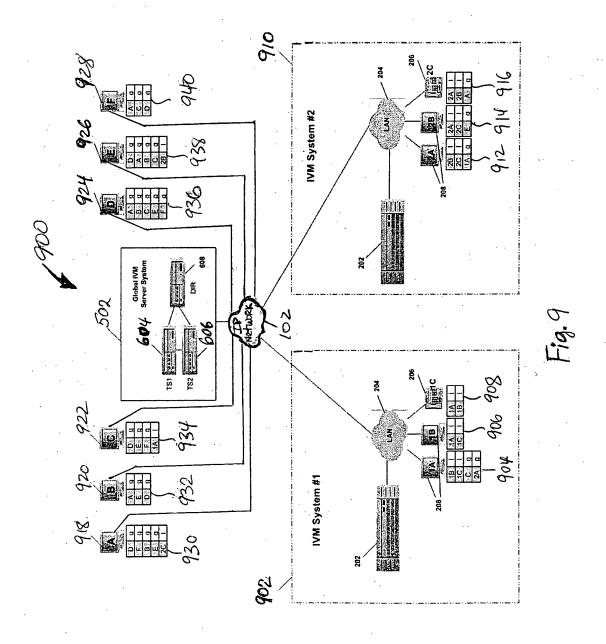


FIG. 7 TRANSPORT SERVER ARCHITECTURE

FIG. & Directory Server Architecture



Express Mail Label No.

Page 1 of 3

Docket No. 17188

### D clarati n and Power of Attorn y For Patent Application English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING

(check one)			
is attached here     was filed on _ Application Null     is attached here     is attached on _      is attached here     is attached on _      is attached here     is attached here     is attached on _      is attached here     is		as United States Application No.	or PCT International
and was amen			
		(if applicable)	
-		nderstand the contents of the above i amendment referred to above.	dentified specification
		ipplications, material information wh	
continuation-in-par I hereby claim for application(s) for p application which below and have a inventor's or plant	t application.  reign priority benefits upatent, or plant breede designated at least on also identified below,	ation and the national or PCT international or PCT international or PCT international 35 U.S.C. 119(a)-(d) or (f), or ar's rights certificate(s), or 365(a) of a country other than the United State by checking the box, any foreign a cate(s), or any PCT international appoint priority is claimed.	365(b) of any foreign any PCT International tes of America, listed application for patent
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Form PTQ-88-01 (9-95) (Modified)

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Petent and Trademark Office-U.S. DEPARTMENT OF COMMERCE

Page 2 of 3

(Application Serial No.)	(Filing Date)	
(Application Serial No.)	(Filing Date)	_
(Application Serial No.)	(Filing Date)	_
Section 1.56 which became availab or PCT International filing date of the (Application Serial No.)	le between the filing date on its application:  (Filing Date)	f the prior application and the national  (Status)  (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
statements made on information ar	nd belief are believed to be at willful false statements ar ar Section 1001 of Title 18 or	own knowledge are true and that all true; and further that these statements and the like so made are punishable by f the United States Code and that such ation or any patent issued thereon.

Form PTO-88-01 (6-95) (ModRed)

בבר\_ום-במסק -- י ישיבומא דרוי

Potent and Trademark Office-U.S. DEPARTMENT OF COMMERCE

POWER OF ATTORNEY: As a named inventor, I h reby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (Ilst name and registration number)

Frank S. DiGigilo; Reg. No. 31,346 Leopold Fressser; Reg. No. 19,827 William C. Roch; Reg. No. 24,972 Rdward W. Grolz; Reg. No. 33,705 Paul J. Esatto, Jr.; Reg. No. 30,749 John S. Sensny; Reg. No. 28,757 Mark J. Cohen; Reg. No. 32,211 Steven Fischman; Reg. No. 34,594 Peter I. Bernstein; Reg. No. 43,497 Thomas Spinelli, Reg. No. 39,533

Send Correspondence to: Paul J. Esatto, Jr.

SCULLY, SCOTT, MURPHY & PRESSER

400 Garden City Plaza

Garden City, New York 11530

Direct Telephone Calls to: (name and telephone number)

Paul J. Esatto, Jr. (516) 742-4343

Michael J. Rojas Sole or first inventor's standard	Date 12-18-2003
Residence 2828 Barciay Circle, North Canton, Starte County, Ohio 44720 Citizenshp U.S.A.	
Post Office Address Same as above	

	Date
econd inventor's signature	<del></del>
esidence	
itizenship	
ost Office Address	

Form PTO-8B-01 (8-35) (Modified)

Patent and Trademurk Office-U.S. DEPARTMENT OF COMMERCE

PATENT	APPLICATION	SERIAL	. NO	

## U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

12/24/2003 MMEKONEN 00000010 191013 10740030

01 FC:2001 385.00 DA 02 FC:2201 473.00 DA 03 FC:2202 504.00 DA

PTO-1556 (5/87)

\*U.S. Government Printing Office: 2002 -- 489-267/69033

### PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2003

 ${\it Application\ or\ } \underline{{\it Docket\ Number}}$ 

17188

	Effective October 1, 2003											
CLAIMS AS FILED - PART I (Column 1) (Column 2)					mn 2)	SMALI TYPE	EN	ITITY	OR	OTHER SMALL		
TC	OTAL CLAIMS		76				RAT	E	FEE	]	RATE	FEE
FC	PR		NUMBER F	FILED	NUMBI	ER EXTRA	BASIC	FEE	385.00	OR	BASIC FEE	770.00
то	TAL CHARGEA	BLE CLAIMS	76 min	us 20= *		56	XS 9	=		OR	X\$18=	504
IND	EPENDENT CL	AIMS	<i>J (J</i> mir	nus 3 = *	/	/	X43	=		OR	X86=	473
MU	ILTIPLE DEPEN	DENT CLAIM PE	RESENT		<u>.</u>		+145	=		OR	+290=	
* If	the difference	in column 1 is	less than ze	ro, enter "(	0" in c	olumn 2	TOTA	۱L		OR	TOTAL	1362
	C	LAIMS AS A	MENDED	- PART (Column		(Column 3)	SMA	LL E	ENTITY	OR	OTHER SMALL	
ENT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHES NUMBE PREVIOU PAID FO	R ISLY	PRESENT EXTRA	RATI	Ξ	ADDI- TIONAL FEE		RATE	ADDI- TIONAL FEE
AMENDMENT	Total	*	Minus	**		=	X\$ 9	=	i	OR	X\$18=	
ME	Independent	*	Minus	***		=	X43:	=	-"	OR	X86=	
Ľ	FIRST PRESE	NTATION OF MU	JLTIPLE DEP	PENDENT	CLAIM		+145	=		OR	+290=	
							TO			OR	TOTAL ADDIT. FEE	
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ENT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHES NUMBE PREVIOL PAID FO	ST ER JSLY	PRESENT EXTRA	RAT	Ξ	ADDI- TIONAL FEE		RATE	ADDI- TIONAL FEE
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		(Column 1)		(Colum	n 2)	(Column 3)	ADDII. I					
AMENDMENT C		CLAIMS REMAINING AFTER AMENDMENT		HIGHE NUMBE PREVIOL PAID FO	ER JSLY	PRESENT EXTRA	RAT	Ε	ADDI- TIONAL FEE		RATE	ADDI- TIONAL FEE
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ME	Independent	*	Minus	***	<b></b>	=	X43	=		OR	X86=	
Ľ	FIRST PRESE	NTATION OF MI	JLTIPLE DEF	PENDENT	CLAIM		+145	_		OR	+290=	
	If the entry in colu	mn 1 is less than th	ne entry in colu	mn 2, write "	'0" in co	lumn 3.	TO			ł	TOTAL	
**	If the "Highest Nu	mber Previously Pa	aid For" IN THI	S SPACE is I IS SPACE is	less that	ın 20, enter "20." an 3, enter "3."	ADDIT. F	EE		OR	ADDIT. FEE	<u> </u>
	The "Highest Nun	ber Previously Pa	id For" (Total o	r Independer	nt) is the	e highest number	r tound in th	e app	propriate bo	x in cc	olumn 1.	

FORM PTO-875 (Rev 10/03)

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES IDEFARIMENT OF A COMMUNICATION OF THE ADDRESS OF A COMMUNICATION OF PATENTS PARENTS PARE

APPLICATION NUMBER 14/224,125

FILING OR 371(C) DATE 03/25/2014

FIRST NAMED APPLICANT Michael J. Rojas

ATTY. DOCKET NO./TITLE UN-NP-IT-196

**CONFIRMATION NO. 5407** 

POA ACCEPTANCE LETTER

96051 Uniloc USA Inc. Legacy Town Center 7160 Dallas Parkway Suite 380 Plano, TX 75024

Date Mailed: 09/27/2016

### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/15/2016.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

> Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

dtvernon/		



67050

### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES IDEFARIMENT OF A COMMUNICATION OF THE ADDRESS OF A COMMUNICATION OF PATENTS PARENTS PARE

APPLICATION NUMBER 14/224,125

14532 Dufief Mill Road North Potomac, MD 20878

KASHA LAW LLC

FILING OR 371(C) DATE 03/25/2014

FIRST NAMED APPLICANT Michael J. Rojas

ATTY. DOCKET NO./TITLE **EMP0025-US** 

**CONFIRMATION NO. 5407 POWER OF ATTORNEY NOTICE** 



Date Mailed: 09/27/2016

### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/15/2016.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

> Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/dtvernon/	

page 1 of 1

# TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexa	ndria, VA 22313-1450	TRADEMARK
filed in the U.S. Dist	trict Court Eastern	5 U.S.C. § 1116 you are hereby advised that a court action has been n District of Texas, Marshall Division on the following
	Patents. (  the patent action	
DOCKET NO. 2:16-cv-989	DATE FILED 9/6/2016	U.S. DISTRICT COURT  Eastern District of Texas, Marshall Division
PLAINTIFF		DEFENDANT
UNILOC USA, INC., and UNILOC LUXEMBOUR		HTC AMERICA, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 7,535,890	5/19/2009	UNILOC LUXEMBOURG, S.A.
2 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.
3 8, 724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.
4 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.
5		
DATE INCLUDED	In the above—entitled case, the INCLUDED BY	following patent(s)/ trademark(s) have been included:
	☐ Amer	ndment Answer Cross Bill Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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T .1 .1		
DECISION/JUDGEMENT	ve—entitied case, the following d	decision has been rendered or judgement issued:
DECISION/JODGENIENT		
CLERK	(BY)	DEPUTY CLERK DATE

# TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

	P.O. Box 1450 ndria, VA 22313-1450	ACTION REGARDING A PATENT OR TRADEMARK			
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court    Eastern District of Texas, Marshall Division   on the following					
DOCKET NO. 2:16-cv-990	2:16-cv-990 9/6/2016 Eastern District of Texas, Marshall Division				
PLAINTIFF  UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A.			DEFENDANT  KYOCERA AMERICA, INC. and §  KYOCERA COMMUNICATIONS, INC.,		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
1 7,535,890	5/19/2009	UNIL	LOC LUXEMBOURG, S.A.		
2 8,199,747	6/12/2012	UNIL	LOC LUXEMBOURG, S.A.		
3 8, 724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.			
4 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.			
5					
	In the above—entitled case, the	following	patent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY	ndment	☐ Answer ☐ Cross Bill ☐ Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1					
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3					
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5					
In the above—entitled case, the following decision has been rendered or judgement issued:					
DECISION/JUDGEMENT					
CLERK (BY) DEPUTY CLERK DATE					

# TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

	P.O. Box 1450 ndria, VA 22313-1450	ACTION REGARDING A PATENT OR TRADEMARK			
filed in the U.S. Dist		5 U.S.C. § 1116 you are hereby advised that a court action has been on District of Texas, Marshall Division on the following on involves 35 U.S.C. § 292.):			
DOCKET NO. 2:16-cv-991 DATE FILED U.S. DISTRICT COURT Eastern District of Texas, Marshall Division					
2:16-cv-991 PLAINTIFF	Eastern District of Texas, Marshall Division DEFENDANT				
UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A.		LG ELECTRONICS U.S.A., INC.,			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
1 7,535,890	5/19/2009	UNILOC LUXEMBOURG, S.A.			
2 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.			
3 8, 724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.			
4 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.			
5					
	In the above—entitled case, the f	following patent(s)/ trademark(s) have been included:			
DATE INCLUDED	INCLUDED BY	ndment Answer Cross Bill Other Pleading			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
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In the above—entitled case, the following decision has been rendered or judgement issued:					
DECISION/JUDGEMENT					
CLERK	(BY) I	DEPUTY CLERK DATE			

# TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

	P.O. Box 1450 ndria, VA 22313-1450		ACTION REGARDING A PATENT OR TRADEMARK			
filed in the U.S. Dist		District of Tex	ou are hereby advised that a court action has been  (as, Marshall Division on the following			
DOCKET NO. DATE FILED U.S. DISTRICT COURT						
2:16-cv-992	9/6/2016	Eastern District of Texas, Marshall Division				
PLAINTIFF  UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A.		DEFEN MOT	OROLA MOBILITY LLC			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	•	HOLDER OF PATENT OR TRADEMARK			
1 7,535,890	5/19/2009	UNILOC LU	JXEMBOURG, S.A.			
2 8,199,747	6/12/2012	UNILOC L	JXEMBOURG, S.A.			
3 8, 724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.				
4 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.				
5						
	In the above—entitled case, the f	llowing patent(s	)/ trademark(s) have been included:			
DATE INCLUDED	INCLUDED BY		Answer			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	<u> </u>	HOLDER OF PATENT OR TRADEMARK			
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In the abov	e—entitled case, the following de	rision has been r	endered or judgement issued:			
DECISION/JUDGEMENT						
CLERK	(BY) I	EPUTY CLERI	DATE			

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### "FEE ADDRESS" INDICATION FORM

Address to: Mail Stop M Correspondence Commissioner for Patents - OR - P.O. Box 1450 Alexandria, VA 22313-1450	Fax to: 571-273-6500
fee purposes (hereafter, fee address). A fee address	can be established as the fee address for maintenance should be established when correspondence related to ess than the correspondence address for the application. In the stable of the
For the following listed application(s), please recognize a 1.363 the address associated with:	as the "Fee Address" under the provisions of 37 CFR
Customer Number: 96051	
OR	
The attached Request for Customer Number (PTC	D/SB/125) form.
PATENT NUMBER (if known)	APPLICATION NUMBER
8,995,433	14/224,125
Completed by (check one):	*
Applicant/Inventor	Signature
Attorney or Agent of record 51,513	Sean D. Burdick
(Reg. No.)	Typed or printed name
Assignee of record of the entire interest. See 37 CFF Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)	R 3.71. 972-905-9580 x227  Requester's telephone number
Assignee recorded at Reel Frame	September 15, 2016
<del>-</del>	Date
NOTE: Signatures of all the inventors or assignees of record of the entire interessignature is required, see below*.	et or their representative(s) are required. Submit multiple forms if more that one
* Total of forms are submitted.	

This collection of information is required by 37 CFR 1.363. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND COMPLETE D FORMS TO THIS A DDRESS. SEND TO: Mail Stop M Correspondence, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/96 (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)					
Applicant/Patent Owner: Uniloc Luxembourg S.A.					
Application No./Patent No.: 8,995,433	Filed/Issue Date: March 31, 2015				
Titled: SYSTEM AND METHOD FOR INSTANT VOIP M	ESSAGING				
Uniloc Luxembourg S.A. , a corpo	ration				
	signee, e.g., corporation, partnership, university, government agency, etc.				
states that it is:					
1. the assignee of the entire right, title, and interest in;					
2. an assignee of less than the entire right, title, and interest in (The extent (by percentage) of its ownership interest is	%); or				
3.	plete assignment from one of the joint inventors was made)				
the patent application/patent identified above, by virtue of either:					
A. An assignment from the inventor(s) of the patent application/ the United States Patent and Trademark Office at Reel copy therefore is attached.	patent identified above. The assignment was recorded in, Frame, or for which a				
B. A chain of title from the inventor(s), of the patent application/	patent identified above, to the current assignee as follows:				
1. From: Michael J. ROJAS	то: Ayalogic, Inc.				
	, or for which a copy thereof is attached.				
2. From: Ayalogic, Inc.	To: Empire IP LLC				
The document was recorded in the United States F					
Reel <u>032319</u> , Frame <u>008/</u>	, or for which a copy thereof is attached.				
3. From: Empire IP LLC	To: Uniloc Luxembourg S.A.				
The document was recorded in the United States F					
Reel $038963$ , Frame $0343$	, or for which a copy thereof is attached.				
Additional documents in the chain of title are listed on a supplemental sheet(s).					
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.					
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]					
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.					
Jan mark Thomas The market the said	September 15, 2016				
∜ Signature™	Date				
Sean D. Burdick	IP Counsel for Uniloc Luxembourg S.A.				
Printed or Typed Name	Title				

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner** for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Electronic Acknowledgement Receipt				
EFS ID:	26942782			
Application Number:	14224125			
International Application Number:				
Confirmation Number:	5407			
Title of Invention:	System and Method for Instant VoIP Messaging			
First Named Inventor/Applicant Name:	Michael J. Rojas			
Customer Number:	67050			
Filer:	Sean Dylan Burdick/Kris Pangan			
Filer Authorized By:	Sean Dylan Burdick			
Attorney Docket Number:	EMP0025-US			
Receipt Date:	15-SEP-2016			
Filing Date:	25-MAR-2014			
Time Stamp:	19:16:14			
Application Type:	Utility under 35 USC 111(a)			

### **Payment information:**

Submitted with Payment			no			
File Listin	g:					
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
				37828		
1	Power of Attorney	ı	T-196_Executed_POA.pdf	4b4db22e3b09350c1339fa13332f3245643 39565	no	1
Warnings:				'		

Information:						
			268642		1	
2	Change of Address	IT-196_Fee_Address_Indication _Form.pdf	9b1b6ea2107621549795660689b7de703e 7043f8	no		
Warnings:						
Information:						
			528029			
3	Assignee showing of ownership per 37 CFR 3.73	IT-196_Statement_Under_37_C FR.pdf	c0d4ae6cad3ffce4a694269f584185ff68b13 6d1	no	1	
Warnings:						
Information:	Information:					
		Total Files Size (in bytes)	8.	34499		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### **New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Approved for use through 01/31/2018, OMB 0651-0035 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid QMB control number

# PATENT - POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS

	spond to a collection of infor	mation unless it displays a valid QMB control number
	Patent Number	8,995,433
	Issue Date	March 31, 2015
I	First Named Inventor	Michael J. ROJAS
	Title	SYSTEM AND METHOD FOR INSTANT VOIP MESSAGING
	Attorney Docket No.	UN-NP-IT-196

3	- COMMESS CHECK ADDRESS	Attorney D	ocket No.	UN-NP-IT-196		
I hereby revoke all previous powers of attorney given in the above-identified patent.						
A Power of Attorney is submitted herewith.  OR I hereby appoint Practitioner(s) associated with the Customer Number identified in the box at right as my/our attorney(s) or agent(s) with respect to the patent identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:  I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) with respect to the patent identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:						
100	Practitioner(s) Name	-	Regi	stration Number		
	-			···-		
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Please recognize or change the correspondence address for the above-identified patent to:  The address associated with the above-identified Customer Number.  OR  The address associated with the Customer Number identified in the box at right:  OR  Firm or Individual Name  Address						
Country					Zip	
Telephone			Email			
lam the: Applicant.  OR Patent owner.  Statement under 37 CFR 3.73(c) (Form PTO/AIA/96) submitted herewith or filed on						
Signature	SIGNATORE OF APPRIC	ant or Paten	Cowner	Date		
Name	Plaig S.Elettegoyen			Telephone		
Title and Company	CEO of Uniloc Luxembaurg S.A.	-		reseptione		
NOTE: Signatures of all the applicants or patent owners of the entire interest or their representative(s) are required. If more than one signature is required, submit multiple forms, check the box below, and identify the total number of forms submitted in the blank below.  A total of						

This collection of information is required by 37 CFR 1.31, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public, which is to update (and by the USPTO to process) the file of a patent or reexamination proceeding. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

# TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A PATENT OR TRADEMARK		
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court  Eastern District of Texas, Marshall Division on the following  Trademarks or Patents. (  the patent action involves 35 U.S.C. § 292.):					
DOCKET NO. 2:16-cv-638  DATE FILED U.S. DISTRICT COURT Eastern District of Texas, Marshall Division					
PLAINTIFF  UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A.		]	DEFENDANT APPLE INC.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1 7,535,890	5/19/2009	UNIL	OC LUXEMBOURG, S.A.		
2 8,995,433	3/31/2015	UNIL	OC LUXEMBOURG, S.A.		
3 8,724,622	5/31/2014	UNIL	OC LUXEMBOURG, S.A.		
4 8,243,723	8/14/2012	UNIL	OC LUXEMBOURG, S.A.		
5					
	In the above—entitled case, the f	ollowing p	patent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY	dment	☐ Answer ☐ Cross Bill ☐ Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
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In the abov	e—entitled case, the following de	ecision has	been rendered or judgement issued:		
DECISION/JUDGEMENT					
CLERK	(BY) I	DEPUTY (	CLERK DATE		

#### REPORT ON THE Mail Stop 8 TO: FILING OR DETERMINATION OF AN Director of the U.S. Patent and Trademark Office ACTION REGARDING A PATENT OR P.O. Box 1450 Alexandria, VA 22313-1450 **TRADEMARK** In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been Eastern District of Texas, Marshall Division on the following filed in the U.S. District Court ✓ Patents. ( ☐ the patent action involves 35 U.S.C. § 292.): ☐ Trademarks or DATE FILED U.S. DISTRICT COURT DOCKET NO. Eastern District of Texas, Marshall Division 2:16-cv-722 7/5/2016 DEFENDANT **PLAINTIFF** AOL INC. UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A. DATE OF PATENT PATENT OR HOLDER OF PATENT OR TRADEMARK TRADEMARK NO. OR TRADEMARK UNILOC LUXEMBOURG, S.A. 1 7,535,890 5/19/2009 UNILOC LUXEMBOURG, S.A. 6/12/2012 2 8,199,747 UNILOC LUXEMBOURG, S.A. 8/14/2012 3 8.243.723 5/13/2014 UNILOC LUXEMBOURG, S.A. 4 8, 724,622 UNILOC LUXEMBOURG, S.A. 3/31/2015 5 8,995,433 In the above—entitled case, the following patent(s)/ trademark(s) have been included: INCLUDED BY DATE INCLUDED ☐ Answer ☐ Cross Bill ☐ Other Pleading ☐ Amendment DATE OF PATENT PATENT OR HOLDER OF PATENT OR TRADEMARK OR TRADEMARK TRADEMARK NO. 3 In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT (BY) DEPUTY CLERK DATE CLERK

TO:

### Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexandria, VA 22313-1450		TRADEMARK				
filed in the U.S. Dist						
DOCKET NO. 2:16-cv-725	DATE FILED 7/5/2016	U.S. DI	STRICT COURT Eastern D	istrict of Texas, Mars	hall Division	
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOUR			DEFENDANT BEETALK PF	RIVATE LTD.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDI	ER OF PATENT OR TRA	ADEMARK	
1 7,535,890	5/19/2009	UNI	LOC LUXEMBO	OURG, S.A.		
2 8,199,747	6/12/2012	UNI	LOC LUXEMBO	OURG, S.A.		
3 8,243,723	8/14/2012	UNI		OURG, S.A.		
4 8, 724,622	5/13/2014	UNI	LOC LUXEMBO	OURG, S.A.		
5 8,995,433	3/31/2015	UNI	LOC LUXEMBO	OURG, S.A.		
DATE INCLUDED	In the above—entitled case	the following	patent(s)/ tradema	rk(s) have been included:	☐ Other Pleading	
PATENT OR	DATE OF PATENT	· ]		ER OF PATENT OR TRA		
TRADEMARK NO.	OR TRADEMARK					
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In the abo	ve—entitled case, the follow	ving decision h	as been rendered or	r judgement issued:		
DECISION/JUDGEMENT						
CLERK	····	(BY) DEPUTY	CLERK		DATE	

AO 120 (Rev. 08/10) REPORT ON THE Mail Stop 8 FILING OR DETERMINATION OF AN TO: Director of the U.S. Patent and Trademark Office ACTION REGARDING A PATENT OR P.O. Box 1450 TRADEMARK Alexandria, VA 22313-1450 In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been Eastern District of Texas, Marshall Division on the following filed in the U.S. District Court ✓ Patents. ( ☐ the patent action involves 35 U.S.C. § 292.): ☐ Trademarks or U.S. DISTRICT COURT DATE FILED DOCKET NO.

DOCKET NO. 2:16-cv-893	8/11/2016	0.8. DI	Eastern Di	strict of Texas, Ma	arshall Division
PLAINTIFF			DEFENDANT		
UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A.			VONAGE HO VONAGE AM	LDINGS CORP. & ERICAS, INC.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	ER OF PATENT OR T	TRADEMARK
1 8,724,622	5/31/2014	UNI	LOC LUXEMBO	URG, S.A.	
2 8,995,433	3/31/2015	UNI	LOC LUXEMBO	URG, S.A.	
3 8,243,723	8/14/2012	UNI	LOC LUXEMBO	URG, S.A.	
4 7,535,890	5/19/2009	UNI	LOC LUXEMBO	URG, S.A.	
5					
	In the above—entitled case, the	e following	natent(s)/ tradema	rk(s) have been includ	led:
	INCLUDED BY	ic ionowing	g patent(s)/ tradema	(0)	
DATE INCLUDED		nendment	☐ Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDI	ER OF PATENT OR	TRADEMARK
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	ve—entitled case, the following	a desision l	has been rendered o	r indocement issued:	
	ve—entitled case, the following	g decision	mas been rendered of	1 Judgement 133uou.	
DECISION/JUDGEMENT					
CLERK	(B	Y) DEPUT	Y CLERK		DATE

### Mail Stop 8 Director of the U.S. Patent and Trademark Office

### REPORT ON THE FILING OR DETERMINATION OF AN

Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		FILING OR DETER ACTION REGARDI TRADE	NG A PATENT OR
filed in the U.S. Dist		S.C. § 1116 you are hereby advised that a costrict of Texas, Marshall Division volves 35 U.S.C. § 292.):	ourt action has been on the following
OOCKET NO.	DATE FILED 8/11/2016	S. DISTRICT COURT  Eastern District of Texas,	Marshall Division
2:16-cv-892 PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOURG	1	DEFENDANT TELEGRAM MESSENGER,	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT O	R TRADEMARK
1 8,724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.	
2 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.	
3 7,535,890	5/19/2009	UNILOC LUXEMBOURG, S.A.	
4 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.	
5 8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.	
DATE INCLUDED	In the above—entitled case, the INCLUDED BY	owing patent(s)/ trademark(s) have been inc	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT (	OR TRADEMARK
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In the abo	ove—entitled case, the following	ision has been rendered or judgement issued	l:
DECISION/JUDGEMENT			
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# TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

	P.O. Box 1450 ndria, VA 22313-1450	ACTION REGARDING A PATENT OR TRADEMARK			
filed in the U.S. Dist		5 U.S.C. § 1116 you are hereby advised that a court action has been on District of Texas, Marshall Division on the following on involves 35 U.S.C. § 292.):			
DOCKET NO. DATE FILED U.S. DISTRICT COURT Eastern District of Texas, Marshall Division					
PLAINTIFF UNILOC USA, INC., and UNILOC LUXEMBOUR	d	DEFENDANT WHATSAPP, INC.			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
1 7,535,890	5/19/2009	UNILOC LUXEMBOURG, S.A.			
2 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.			
3 8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.			
4 8, 724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.			
5 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.			
		following patent(s)/ trademark(s) have been included:			
DATE INCLUDED	INCLUDED BY	endment			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
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In the above	ve—entitled case, the following de	decision has been rendered or judgement issued:			
DECISION/JUDGEMENT					
CLERK	(BY) I	DATE DATE			

# TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

	P.O. Box 1450 ndria, VA 22313-1450	ACTION REGARDING A PATENT OR TRADEMARK				
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division on the following  ☐ Trademarks or Patents. (☐ the patent action involves 35 U.S.C. § 292.):						
DOCKET NO. 2:16-cv-641	DATE FILED 6/14/2016	U.S. DISTRICT COURT Eastern District of Texas, Marshall Division				
PLAINTIFF DEFENDANT						
UNILOC USA, INC., and UNILOC LUXEMBOURG		LINE EURO-AMERICAS CORP. & LINE CORPORATION				
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK				
1 8,724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.				
2 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.				
3 7,535,890	5/19/2009	UNILOC LUXEMBOURG, S.A.				
4 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.				
5 8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.				
	In the above—entitled case, the	e following patent(s)/ trademark(s) have been included:				
DATE INCLUDED	INCLUDED BY	endment				
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK				
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	re—entitled case, the following d	decision has been rendered or judgement issued:				
DECISION/JUDGEMENT						
CLERK	(BY)	DATE DATE				

### Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office

### REPORT ON THE FILING OR DETERMINATION OF AN

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A PATENT OR TRADEMARK			
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division on the following  ☐ Trademarks or Patents. (☐ the patent action involves 35 U.S.C. § 292.):						
DOCKET NO. 2:16-cv-639 DATE FILED U.S. DISTRICT COURT Eastern District of Texas, Marshall Division						
PLAINTIFF			DEFENDANT			
UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A.			BLACKBERRY CORPORATION & BLACKBERRY LIMITED			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK			
1 8,724,622	5/13/2014	UNIL	OC LUXEMBOURG, S.A.			
2 8,995,433	3/31/2015	UNIL	OC LUXEMBOURG, S.A.			
3 7,535,890	5/19/2009	UNIL	LOC LUXEMBOURG, S.A.			
4 8,199,747	6/12/2012	UNIL	LOC LUXEMBOURG, S.A.			
5 8,243,723	8/14/2012	UNIL	UNILOC LUXEMBOURG, S.A.			
	In the above—entitled case.	, the following	patent(s)/ trademark(s) have been included:			
DATE INCLUDED	INCLUDED BY	Amendment	☐ Answer ☐ Cross Bill ☐ Other Pleading			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK			
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In the above—entitled case, the following decision has been rendered or judgement issued:						
DECISION/JUDGEMENT						
CLERK (BY) DEPUTY CLERK DATE						

TO:

### Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450

# REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexandria, VA 22313-1450			TRADEMARK			
filed in the U.S. Dis	ce with 35 U.S.C. § 290 and/or trict Court Easter Patents. ( the patent act	rn Distric	t of Texas, Mars	shall Division	on the following	
DOCKET NO. 2:16-cv-728	DATE FILED 7/5/2016	U.S. DI	STRICT COURT Eastern D	istrict of Texas, M	arshall Division	
PLAINTIFF	1,0,2010		DEFENDANT			
UNILOC USA, INC., an UNILOC LUXEMBOUR			FACEBOOK,	INC.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDI	ER OF PATENT OR 1	TRADEMARK	
1 7,535,890	5/19/2009	UNI	LOC LUXEMBO	URG, S.A.		
2 8,199,747	6/12/2012	UNI	LOC LUXEMBO	OURG, S.A.		
3 8,243,723	8/14/2012	UNI	LOC LUXEMBO	OURG, S.A.		
4 8, 724,622	5/13/2014	UNI	LOC LUXEMBO	DURG, S.A.		
5 8,995,433	3/31/2015	UNI	UNILOC LUXEMBOURG, S.A.			
DATE INCLUDED	In the above—entitled case, th	e following	patent(s)/ tradema	rk(s) have been includ	led:	
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TRADEMARK NO.	OR TRADEMARK					
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		4-4-1-1	hoon no ddd	indooment issued:		
In the abo	eve-entitled case, the following	decision n	as oven rendered of	Judgement issued:		
DECISION/ODGENENT				and the second s	l an	
CLERK	(B <sup>v</sup>	Y) DEPUT	CLERK		DATE	

# TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

	P.O. Box 1450 ndria, VA 22313-1450	ACTION REGARDING A PATENT OR TRADEMARK				
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division on the following  Trademarks or Patents. (  the patent action involves 35 U.S.C. § 292.):						
DOCKET NO. 2:16-cv-644	DATE FILED 6/14/2016	U.S. DISTRICT COURT Eastern District of Texas, Marshall Division				
PLAINTIFF  UNILOC USA, INC., and UNILOC LUXEMBOURG		DEFENDANT VOXERNET LLC				
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK				
1 8,724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.				
2 8,995,433	3/31/2015	UNILOC LUXEMBOURG, S.A.				
3 7,535,890	5/19/2009	UNILOC LUXEMBOURG, S.A.				
4 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.				
5 8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.				
	In the above—entitled case, the f	Collowing patent(s)/ trademark(s) have been included:				
DATE INCLUDED	INCLUDED BY	dment Answer Cross Bill Other Pleading				
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK				
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In the abov	e—entitled case, the following de	ecision has been rendered or judgement issued:				
DECISION/JUDGEMENT						
CLERK	(BY) I	DEPUTY CLERK DATE				

# TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A PATENT OR TRADEMARK			
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court  Eastern District of Texas, Marshall Division on the following  Trademarks or Patents. ( the patent action involves 35 U.S.C. § 292.):						
DOCKET NO. DATE FILED U.S. DISTRICT COURT Eastern District of Texas, Marshall Division						
PLAINTIFF  UNILOC USA, INC., and UNILOC LUXEMBOURG, S.A.			DEFENDANT VIBER MEDIA S.A.R.L.,			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK			
1 8,724,622	5/13/2014	UNIL	LOC LUXEMBOURG, S.A.			
2 8,995,433	3/31/2015	UNIL	LOC LUXEMBOURG, S.A.			
3 7,535,890	5/19/2009	UNIL	LOC LUXEMBOURG, S.A.			
4 8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.				
5 8,243,723	8/14/2012	UNIL	UNILOC LUXEMBOURG, S.A.			
		efollowing	patent(s)/ trademark(s) have been included:			
DATE INCLUDED BY  Amendment Answer Cross Bill Other Pleading						
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK				
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In the above—entitled case, the following decision has been rendered or judgement issued:						
DECISION/JUDGEMENT						
CLERK (BY) DEPUTY CLERK DATE						

# TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexandria, VA 22313-1450			TRADEMARK				
filed in the U.S. Dist	of Texas, Marshall Division on the following						
DOCKET NO. 2:16-cv-642	DATE FILED 6/14/2016	U.S. DIS	U.S. DISTRICT COURT Eastern District of Texas, Marshall Division				
PLAINTIFF			DEFENDANT				
UNILOC USA, INC., and UNILOC LUXEMBOURG			SAMSUNG ELECTRONICS AMERICA, INC.				
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK				
1 8,724,622	5/31/2014	UNIL	OC LUXEMBOURG, S.A.				
2 8,995,433	3/31/2015	UNIL	OC LUXEMBOURG, S.A.				
3 8,243,723	8/14/2012	UNIL	OC LUXEMBOURG, S.A.				
4 7,535,890	5/19/2009	UNIL	OC LUXEMBOURG, S.A.				
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	In the above—entitled case, th	ne following	patent(s)/ trademark(s) have been included:				
DATE INCLUDED	INCLUDED BY						
PATENT OR	DATE OF PATENT	nendment	Answer Cross Bill Other Pleading				
TRADEMARK NO.	OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK				
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In the abov	ve—entitled case, the following	g decision ha	s been rendered or judgement issued:				
DECISION/JUDGEMENT		9					
CLERK	Iæ	Y) DEPUTY	CLERK DATE				

TO:

### Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDIN TRADEM			
filed in the U.S. Distr	rict Court East	ern Distric	1116 you are hereby advised that a count of Texas, Marshall Division	t action has been on the following		
☐ Trademarks or ☑	Patents. (  the patent ac					
DOCKET NO. 2:16-cv-732	DATE FILED 7/5/2016	U.S. DI	U.S. DISTRICT COURT Eastern District of Texas, Marshall Division			
PLAINTIFF  UNILOC USA, INC., and UNILOC LUXEMBOURG			DEFENDANT SONY INTERACTIVE ENTER	FAINMENT LLC		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR	TRADEMARK		
1 7,535,890	5/19/2009	UNI	LOC LUXEMBOURG, S.A.			
2 8,243,723	8/14/2012	UNI	LOC LUXEMBOURG, S.A.			
3 8,995,433	3/31/2015	UNI	LOC LUXEMBOURG, S.A.			
4 8, 724,622	5/13/2014	UNI	UNILOC LUXEMBOURG, S.A.			
5						
DATE INCLUDED	INCLUDED BY	the following	patent(s)/ trademark(s) have been included and a patent (s)/ trademark(s)/ trademark(s	ded:		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR	TRADEMARK		
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In the abo	ve—entitled case, the following	ng decision h	as been rendered or judgement issued:			
DECISION/JUDGEMENT						
CLERK	(I	3Y) DEPUT	Y CLERK	DATE		

AO 120 (Rev. 08/10)				
P	Mail Stop 8 5. Patent and Trademark Of 9.O. Box 1450 dria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK		
filed in the U.S. Distr	ict Court Eastern	Distric	1116 you are hereby advised that a court acti t of Texas, Marshall Division	on has been on the following
☐ Trademarks or <b>☑</b>	Patents. (  the patent action	n involve	s 35 U.S.C. § 292.):	
DOCKET NO. 2:16-cv-779	DATE FILED 7/15/2016	U.S. DI	STRICT COURT Eastern District of Texas, Marsh	all Division
PLAINTIFF	1,10,20.0		DEFENDANT	
UNILOC USA, INC., and UNILOC LUXEMBOURG	6, S.A.		SHORETEL, INC.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	DEMARK
1 7,535,890	5/19/2009	UN	LOC LUXEMBOURG, S.A.	
2 8,995,433	3/31/2015	UN		
3 8,724,622	5/13/2014	UNILOC LUXEMBOURG, S.A.		
4 8,243,723	8/14/2012	UNILOC LUXEMBOURG, S.A.		
5				
	In the above—entitled case, the	followin	g patent(s)/ trademark(s) have been included:	
DATE INCLUDED	INCLUDED BY			Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	DEMARK
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In the abo	ve—entitled case, the following	decision	has been rendered or judgement issued:	
	I/DV	) DEDI	TY CLERK	DATE
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Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			FILING ACTIO	REPORT ON THE GOR DETERMINATION OF AN IN REGARDING A PATENT OR TRADEMARK	
filed in the U.S. Distr		District of	Texas, Marsh	advised that a court action has been on the following	
OCKET NO. 2:16-cv-777	DATE FILED 7/15/2016	U.S. DISTR	ICT COURT Eastern Dis	strict of Texas, Marshall Division	
AINTIFF JNILOC USA, INC., and JNILOC LUXEMBOURG			VAYA INC.,		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDEI	R OF PATENT OR TRADEMARK	
7,535,890	5/19/2009	UNILO	UNILOC LUXEMBOURG, S.A.		
8,995,433	3/31/2015	UNILO	UNILOC LUXEMBOURG, S.A.		
8,724,622	5/13/2014	UNILO	UNILOC LUXEMBOURG, S.A.		
8,243,723	8/14/2012	UNILO	UNILOC LUXEMBOURG, S.A.		
8,199,747	6/12/2012	UNILOC LUXEMBOURG, S.A.			
ATE INCLUDED	<u> </u>	following pat	ent(s)/ trademar	k(s) have been included:	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	R OF PATENT OR TRADEMARK	
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	ove—entitled case, the following	decision has b	oeen rendered or	judgement issued:	

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

(BY) DEPUTY CLERK

CLERK

DATE

TO:

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# REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A PATENT OR TRADEMARK		
filed in the U.S. Distr	e with 35 U.S.C. § 290 and rict Court East	stern Distric	of Texas, Mars	shall Division	action has been on the following
DOCKET NO.	DATE FILED		STRICT COURT	istrict of Texas, Ma	rehall Division
2:16-cv-733 PLAINTIFF	7/5/2016		DEFENDANT	ISTRICT OF TEXAS, IVIA	ISTIALI DIVISION
UNILOC USA, INC., and UNILOC LUXEMBOURG			TANGOME, I	NC. d/b/a TANGO	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	<b>I</b>	HOLDI	ER OF PATENT OR T	RADEMARK
1 7,535,890	5/19/2009	UNI	LOC LUXEMBO	OURG, S.A.	
2 8,199,747	6/12/2012	UNI	LOC LUXEMBO	OURG, S.A.	
3 8,243,723	8/14/2012	UNI	LOC LUXEMBO	OURG, S.A.	
4 8, 724,622	5/13/2014	UNI	UNILOC LUXEMBOURG, S.A.		
5 8,995,433	3/31/2015	UNI	UNILOC LUXEMBOURG, S.A.		
	In the above—entitled case	e, the following	patent(s)/ tradema	rk(s) have been include	ed:
DATE INCLUDED	INCLUDED BY	Amendment	☐ Answer	☐ Cross Bill	☐ Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLD	ER OF PATENT OR T	RADEMARK
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In the above	ve—entitled case, the follow	ving decision h	as been rendered or	r judgement issued:	
DECISION/JUDGEMENT	ontitled case, the follow			- J G	
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CLERK		(BY) DEPUT	Y CLERK		DATE

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# REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450			TRADEMARK			
filed in the U.S. Dist	ce with 35 U.S.C. § 290 and trict Court Ea	stern Distric	t of Texas, Mars	hall Division	on the following	
				<b></b>		
DOCKET NO. 2:16-cv-731	DATE FILED 7/5/2016	U.S. DI	STRICT COURT Eastern Di	strict of Texas, Mar	shall Division	
PLAINTIFF			DEFENDANT			
UNILOC USA, INC., and UNILOC LUXEMBOUR			GREEN TOM/	ATO LIMITED		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	R OF PATENT OR TR	ADEMARK	
1 7,535,890	5/19/2009	UNI	LOC LUXEMBO	URG, S.A.		
2 8,199,747	6/12/2012	UNI	LOC LUXEMBO	URG, S.A.		
3 8,243,723	8/14/2012	UNI	LOC LUXEMBO	URG, S.A.		
4 8, 724,622	5/13/2014	UNI	LOC LUXEMBO	URG, S.A.		
5 8,995,433	3/31/2015	UNI	UNILOC LUXEMBOURG, S.A.			
	In the above—entitled case	e, the following	g patent(s)/ trademar	k(s) have been included	1:	
DATE INCLUDED	INCLUDED BY	Amendment	☐ Answer	☐ Cross Bill	☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	ER OF PATENT OR TE	RADEMARK	
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In the abo	ve—entitled case, the follow	ving decision h	as been rendered or	judgement issued:		
DECISION/JUDGEMENT				-		
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CLERK		(BY) DEPUT	T CLEKK		DATE	