

The OpenGL<sup>®</sup> Graphics System:  
A Specification  
(Version 1.2.1)

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# Chapter 1

## Introduction

This document describes the OpenGL graphics system: what it is, how it acts, and what is required to implement it. We assume that the reader has at least a rudimentary understanding of computer graphics. This means familiarity with the essentials of computer graphics algorithms as well as familiarity with basic graphics hardware and associated terms.

### 1.1 Formatting of Optional Features

Starting with version 1.2 of OpenGL, some features in the specification are considered optional; an OpenGL implementation may or may not choose to provide them (see section 3.6.2).

Portions of the specification which are optional are so labelled where they are defined. Additionally, those portions are typeset in gray, and state table entries which are optional are typeset against a gray background.

### 1.2 What is the OpenGL Graphics System?

OpenGL (for “Open Graphics Library”) is a software interface to graphics hardware. The interface consists of a set of several hundred procedures and functions that allow a programmer to specify the objects and operations involved in producing high-quality graphical images, specifically color images of three-dimensional objects.

Most of OpenGL requires that the graphics hardware contain a frame-buffer. Many OpenGL calls pertain to drawing objects such as points, lines, polygons, and bitmaps, but the way that some of this drawing occurs (such as when antialiasing or texturing is enabled) relies on the existence of a

framebuffer. Further, some of OpenGL is specifically concerned with framebuffer manipulation.

### 1.3 Programmer's View of OpenGL

To the programmer, OpenGL is a set of commands that allow the specification of geometric objects in two or three dimensions, together with commands that control how these objects are rendered into the framebuffer. For the most part, OpenGL provides an immediate-mode interface, meaning that specifying an object causes it to be drawn.

A typical program that uses OpenGL begins with calls to open a window into the framebuffer into which the program will draw. Then, calls are made to allocate a GL context and associate it with the window. Once a GL context is allocated, the programmer is free to issue OpenGL commands. Some calls are used to draw simple geometric objects (i.e. points, line segments, and polygons), while others affect the rendering of these primitives including how they are lit or colored and how they are mapped from the user's two- or three-dimensional model space to the two-dimensional screen. There are also calls to effect direct control of the framebuffer, such as reading and writing pixels.

### 1.4 Implementor's View of OpenGL

To the implementor, OpenGL is a set of commands that affect the operation of graphics hardware. If the hardware consists only of an addressable framebuffer, then OpenGL must be implemented almost entirely on the host CPU. More typically, the graphics hardware may comprise varying degrees of graphics acceleration, from a raster subsystem capable of rendering two-dimensional lines and polygons to sophisticated floating-point processors capable of transforming and computing on geometric data. The OpenGL implementor's task is to provide the CPU software interface while dividing the work for each OpenGL command between the CPU and the graphics hardware. This division must be tailored to the available graphics hardware to obtain optimum performance in carrying out OpenGL calls.

OpenGL maintains a considerable amount of state information. This state controls how objects are drawn into the framebuffer. Some of this state is directly available to the user: he or she can make calls to obtain its value. Some of it, however, is visible only by the effect it has on what is drawn. One of the main goals of this specification is to make OpenGL state

*1.5. OUR VIEW*

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information explicit, to elucidate how it changes, and to indicate what its effects are.

**1.5 Our View**

We view OpenGL as a state machine that controls a set of specific drawing operations. This model should engender a specification that satisfies the needs of both programmers and implementors. It does not, however, necessarily provide a model for implementation. An implementation must produce results conforming to those produced by the specified methods, but there may be ways to carry out a particular computation that are more efficient than the one specified.

## Chapter 2

# OpenGL Operation

### 2.1 OpenGL Fundamentals

OpenGL (henceforth, the “GL”) is concerned only with rendering into a framebuffer (and reading values stored in that framebuffer). There is no support for other peripherals sometimes associated with graphics hardware, such as mice and keyboards. Programmers must rely on other mechanisms to obtain user input.

The GL draws *primitives* subject to a number of selectable modes. Each primitive is a point, line segment, polygon, or pixel rectangle. Each mode may be changed independently; the setting of one does not affect the settings of others (although many modes may interact to determine what eventually ends up in the framebuffer). Modes are set, primitives specified, and other GL operations described by sending *commands* in the form of function or procedure calls.

Primitives are defined by a group of one or more *vertices*. A vertex defines a point, an endpoint of an edge, or a corner of a polygon where two edges meet. Data (consisting of positional coordinates, colors, normals, and texture coordinates) are associated with a vertex and each vertex is processed independently, in order, and in the same way. The only exception to this rule is if the group of vertices must be *clipped* so that the indicated primitive fits within a specified region; in this case vertex data may be modified and new vertices created. The type of clipping depends on which primitive the group of vertices represents.

Commands are always processed in the order in which they are received, although there may be an indeterminate delay before the effects of a command are realized. This means, for example, that one primitive must be

drawn completely before any subsequent one can affect the framebuffer. It also means that queries and pixel read operations return state consistent with complete execution of all previously invoked GL commands. In general, the effects of a GL command on either GL modes or the framebuffer must be complete before any subsequent command can have any such effects.

In the GL, data binding occurs on call. This means that data passed to a command are interpreted when that command is received. Even if the command requires a pointer to data, those data are interpreted when the call is made, and any subsequent changes to the data have no effect on the GL (unless the same pointer is used in a subsequent command).

The GL provides direct control over the fundamental operations of 3D and 2D graphics. This includes specification of such parameters as transformation matrices, lighting equation coefficients, antialiasing methods, and pixel update operators. It does not provide a means for describing or modeling complex geometric objects. Another way to describe this situation is to say that the GL provides mechanisms to describe how complex geometric objects are to be rendered rather than mechanisms to describe the complex objects themselves.

The model for interpretation of GL commands is client-server. That is, a program (the client) issues commands, and these commands are interpreted and processed by the GL (the server). The server may or may not operate on the same computer as the client. In this sense, the GL is “network-transparent.” A server may maintain a number of GL *contexts*, each of which is an encapsulation of current GL state. A client may choose to *connect* to any one of these contexts. Issuing GL commands when the program is not *connected* to a *context* results in undefined behavior.

The effects of GL commands on the framebuffer are ultimately controlled by the window system that allocates framebuffer resources. It is the window system that determines which portions of the framebuffer the GL may access at any given time and that communicates to the GL how those portions are structured. Therefore, there are no GL commands to configure the framebuffer or initialize the GL. Similarly, display of framebuffer contents on a CRT monitor (including the transformation of individual framebuffer values by such techniques as gamma correction) is not addressed by the GL. Framebuffer configuration occurs outside of the GL in conjunction with the window system; the initialization of a GL context occurs when the window system allocates a window for GL rendering.

The GL is designed to be run on a range of graphics platforms with varying graphics capabilities and performance. To accommodate this variety, we specify ideal behavior instead of actual behavior for certain GL operations.

In cases where deviation from the ideal is allowed, we also specify the rules that an implementation must obey if it is to approximate the ideal behavior usefully. This allowed variation in GL behavior implies that two distinct GL implementations may not agree pixel for pixel when presented with the same input even when run on identical framebuffer configurations.

Finally, command names, constants, and types are prefixed in the GL (by `gl`, `GL_`, and `GL`, respectively in C) to reduce name clashes with other packages. The prefixes are omitted in this document for clarity.

### 2.1.1 Floating-Point Computation

The GL must perform a number of floating-point operations during the course of its operation. We do not specify how floating-point numbers are to be represented or how operations on them are to be performed. We require simply that numbers' floating-point parts contain enough bits and that their exponent fields are large enough so that individual results of floating-point operations are accurate to about 1 part in  $10^5$ . The maximum representable magnitude of a floating-point number used to represent positional or normal coordinates must be at least  $2^{32}$ ; the maximum representable magnitude for colors or texture coordinates must be at least  $2^{10}$ . The maximum representable magnitude for all other floating-point values must be at least  $2^{32}$ .  $x \cdot 0 = 0 \cdot x = 0$  for any non-infinite and non-NaN  $x$ .  $1 \cdot x = x \cdot 1 = x$ .  $x + 0 = 0 + x = x$ .  $0^0 = 1$ . (Occasionally further requirements will be specified.) Most single-precision floating-point formats meet these requirements.

Any representable floating-point value is legal as input to a GL command that requires floating-point data. The result of providing a value that is not a floating-point number to such a command is unspecified, but must not lead to GL interruption or termination. In IEEE arithmetic, for example, providing a negative zero or a denormalized number to a GL command yields predictable results, while providing a NaN or an infinity yields unspecified results.

Some calculations require division. In such cases (including implied divisions required by vector normalizations), a division by zero produces an unspecified result but must not lead to GL interruption or termination.

## 2.2 GL State

The GL maintains considerable state. This document enumerates each state variable and describes how each variable can be changed. For purposes of discussion, state variables are categorized somewhat arbitrarily by their

function. Although we describe the operations that the GL performs on the framebuffer, the framebuffer is not a part of GL state.

We distinguish two types of state. The first type of state, called GL *server state*, resides in the GL server. The majority of GL state falls into this category. The second type of state, called GL *client state*, resides in the GL client. Unless otherwise specified, all state referred to in this document is GL server state; GL client state is specifically identified. Each instance of a GL context implies one complete set of GL server state; each connection from a client to a server implies a set of both GL client state and GL server state.

While an implementation of the GL may be hardware dependent, this discussion is independent of the specific hardware on which a GL is implemented. We are therefore concerned with the state of graphics hardware only when it corresponds precisely to GL state.

## 2.3 GL Command Syntax

GL commands are functions or procedures. Various groups of commands perform the same operation but differ in how arguments are supplied to them. To conveniently accommodate this variation, we adopt a notation for describing commands and their arguments.

GL commands are formed from a *name* followed, depending on the particular command, by up to 4 characters. The first character indicates the number of values of the indicated type that must be presented to the command. The second character or character pair indicates the specific type of the arguments: 8-bit integer, 16-bit integer, 32-bit integer, single-precision floating-point, or double-precision floating-point. The final character, if present, is *v*, indicating that the command takes a pointer to an array (a vector) of values rather than a series of individual arguments. Two specific examples come from the **Vertex** command:

```
void Vertex3f( float x, float y, float z );
```

and

```
void Vertex2sv( short v[2] );
```

These examples show the ANSI C declarations for these commands. In general, a command declaration has the form<sup>1</sup>

---

<sup>1</sup>The declarations shown in this document apply to ANSI C. Languages such as C++

Letter	Corresponding GL Type
<b>b</b>	byte
<b>s</b>	short
<b>i</b>	int
<b>f</b>	float
<b>d</b>	double
<b>ub</b>	ubyte
<b>us</b>	ushort
<b>ui</b>	uint

Table 2.1: Correspondence of command suffix letters to GL argument types. Refer to Table 2.2 for definitions of the GL types.

$$rtype \text{ Name}\{\epsilon 1234\}\{\epsilon \mathbf{b} \mathbf{s} \mathbf{i} \mathbf{f} \mathbf{d} \mathbf{ub} \mathbf{us} \mathbf{ui}\}\{\epsilon \mathbf{v}\}$$

$$(\ [args \ ,] \ T \ arg1 \ , \ \dots \ , \ T \ argN \ [ \ , \ args] \ );$$

*rtype* is the return type of the function. The braces ({} ) enclose a series of characters (or character pairs) of which one is selected.  $\epsilon$  indicates no character. The arguments enclosed in brackets (*[args ,]* and *[ , args]*) may or may not be present. The *N* arguments *arg1* through *argN* have type *T*, which corresponds to one of the type letters or letter pairs as indicated in Table 2.1 (if there are no letters, then the arguments' type is given explicitly). If the final character is not **v**, then *N* is given by the digit **1**, **2**, **3**, or **4** (if there is no digit, then the number of arguments is fixed). If the final character is **v**, then only *arg1* is present and it is an array of *N* values of the indicated type. Finally, we indicate an unsigned type by the shorthand of prepending a **u** to the beginning of the type name (so that, for instance, unsigned char is abbreviated uchar).

For example,

```
void Normal3{fd}( T arg );
```

indicates the two declarations

```
void Normal3f( float arg1, float arg2, float arg3 );
void Normal3d( double arg1, double arg2, double arg3 );
```

while

---

and Ada that allow passing of argument type information admit simpler declarations and fewer entry points.



```
void Normal3{fd}v( T arg );
```

means the two declarations

```
void Normal3fv( float arg[3] );
void Normal3dv( double arg[3] );
```

Arguments whose type is fixed (i.e. not indicated by a suffix on the command) are of one of 14 types (or pointers to one of these). These types are summarized in Table 2.2.

## 2.4 Basic GL Operation

Figure 2.1 shows a schematic diagram of the GL. Commands enter the GL on the left. Some commands specify geometric objects to be drawn while others control how the objects are handled by the various stages. Most commands may be accumulated in a *display list* for processing by the GL at a later time. Otherwise, commands are effectively sent through a processing pipeline.

The first stage provides an efficient means for approximating curve and surface geometry by evaluating polynomial functions of input values. The next stage operates on geometric primitives described by vertices: points, line segments, and polygons. In this stage vertices are transformed and lit, and primitives are clipped to a viewing volume in preparation for the next stage, rasterization. The rasterizer produces a series of framebuffer addresses and values using a two-dimensional description of a point, line segment, or polygon. Each *fragment* so produced is fed to the next stage that performs operations on individual fragments before they finally alter the framebuffer. These operations include conditional updates into the framebuffer based on incoming and previously stored depth values (to effect depth buffering), blending of incoming fragment colors with stored colors, as well as masking and other logical operations on fragment values.

Finally, there is a way to bypass the vertex processing portion of the pipeline to send a block of fragments directly to the individual fragment operations, eventually causing a block of pixels to be written to the framebuffer; values may also be read back from the framebuffer or copied from one portion of the framebuffer to another. These transfers may include some type of decoding or encoding.

This ordering is meant only as a tool for describing the GL, not as a strict rule of how the GL is implemented, and we present it only as a means to

GL Type	Minimum Number of Bits	Description
<code>boolean</code>	1	Boolean
<code>byte</code>	8	signed 2's complement binary integer
<code>ubyte</code>	8	unsigned binary integer
<code>short</code>	16	signed 2's complement binary integer
<code>ushort</code>	16	unsigned binary integer
<code>int</code>	32	signed 2's complement binary integer
<code>uint</code>	32	unsigned binary integer
<code>sizei</code>	32	Non-negative binary integer size
<code>enum</code>	32	Enumerated binary integer value
<code>bitfield</code>	32	Bit field
<code>float</code>	32	Floating-point value
<code>clampf</code>	32	Floating-point value clamped to [0, 1]
<code>double</code>	64	Floating-point value
<code>clampd</code>	64	Floating-point value clamped to [0, 1]

Table 2.2: GL data types. GL types are not C types. Thus, for example, GL type `int` is referred to as `GLint` outside this document, and is not necessarily equivalent to the C type `int`. An implementation may use more bits than the number indicated in the table to represent a GL type. Correct interpretation of integer values outside the minimum range is not required, however.

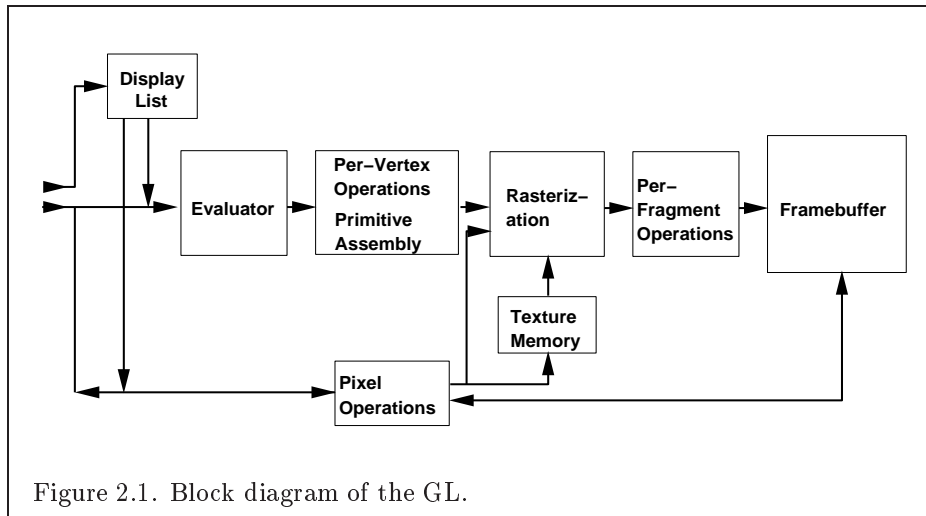


Figure 2.1. Block diagram of the GL.

organize the various operations of the GL. Objects such as curved surfaces, for instance, may be transformed before they are converted to polygons.

## 2.5 GL Errors

The GL detects only a subset of those conditions that could be considered errors. This is because in many cases error checking would adversely impact the performance of an error-free program.

The command

```
enum GetError( void );
```

is used to obtain error information. Each detectable error is assigned a numeric code. When an error is detected, a flag is set and the code is recorded. Further errors, if they occur, do not affect this recorded code. When **GetError** is called, the code is returned and the flag is cleared, so that a further error will again record its code. If a call to **GetError** returns **NO\_ERROR**, then there has been no detectable error since the last call to **GetError** (or since the GL was initialized).

To allow for distributed implementations, there may be several flag-code pairs. In this case, after a call to **GetError** returns a value other than **NO\_ERROR** each subsequent call returns the non-zero code of a distinct flag-code pair (in unspecified order), until all non-**NO\_ERROR** codes have been

returned. When there are no more non-`NO_ERROR` error codes, all flags are reset. This scheme requires some positive number of pairs of a flag bit and an integer. The initial state of all flags is cleared and the initial value of all codes is `NO_ERROR`.

Table 2.3 summarizes GL errors. Currently, when an error flag is set, results of GL operation are undefined only if `OUT_OF_MEMORY` has occurred. In other cases, the command generating the error is ignored so that it has no effect on GL state or framebuffer contents. If the generating command returns a value, it returns zero. If the generating command modifies values through a pointer argument, no change is made to these values. These error semantics apply only to GL errors, not to system errors such as memory access errors. This behavior is the current behavior; the action of the GL in the presence of errors is subject to change.

Three error generation conditions are implicit in the description of every GL command. First, if a command that requires an enumerated value is passed a symbolic constant that is not one of those specified as allowable for that command, the error `INVALID_ENUM` results. This is the case even if the argument is a pointer to a symbolic constant if that value is not allowable for the given command. Second, if a negative number is provided where an argument of type `sizei` is specified, the error `INVALID_VALUE` results. Finally, if memory is exhausted as a side effect of the execution of a command, the error `OUT_OF_MEMORY` may be generated. Otherwise errors are generated only for conditions that are explicitly described in this specification.

## 2.6 Begin/End Paradigm

In the GL, most geometric objects are drawn by enclosing a series of coordinate sets that specify vertices and optionally normals, texture coordinates, and colors between **Begin/End** pairs. There are ten geometric objects that are drawn this way: points, line segments, line segment loops, separated line segments, polygons, triangle strips, triangle fans, separated triangles, quadrilateral strips, and separated quadrilaterals.

Each vertex is specified with two, three, or four coordinates. In addition, a *current normal*, *current texture coordinates*, and *current color* may be used in processing each vertex. Normals are used by the GL in lighting calculations; the current normal is a three-dimensional vector that may be set by sending three coordinates that specify it. Texture coordinates determine how a texture image is mapped onto a primitive.

Primary and secondary colors are associated with each vertex (see sec-

Error	Description	Offending command ignored?
INVALID_ENUM	enum argument out of range	Yes
INVALID_VALUE	Numeric argument out of range	Yes
INVALID_OPERATION	Operation illegal in current state	Yes
STACK_OVERFLOW	Command would cause a stack overflow	Yes
STACK_UNDERFLOW	Command would cause a stack underflow	Yes
OUT_OF_MEMORY	Not enough memory left to execute command	Unknown
TABLE_TOO_LARGE	The specified table is too large	Yes

Table 2.3: Summary of GL errors

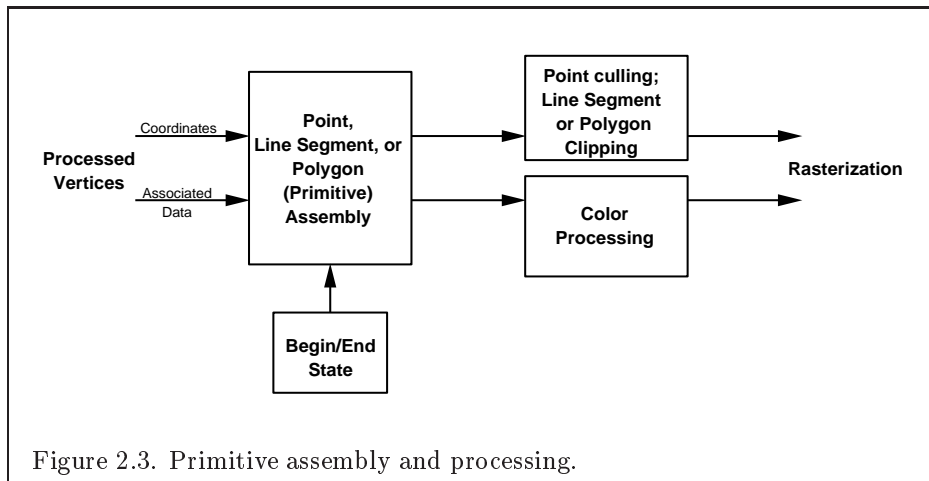
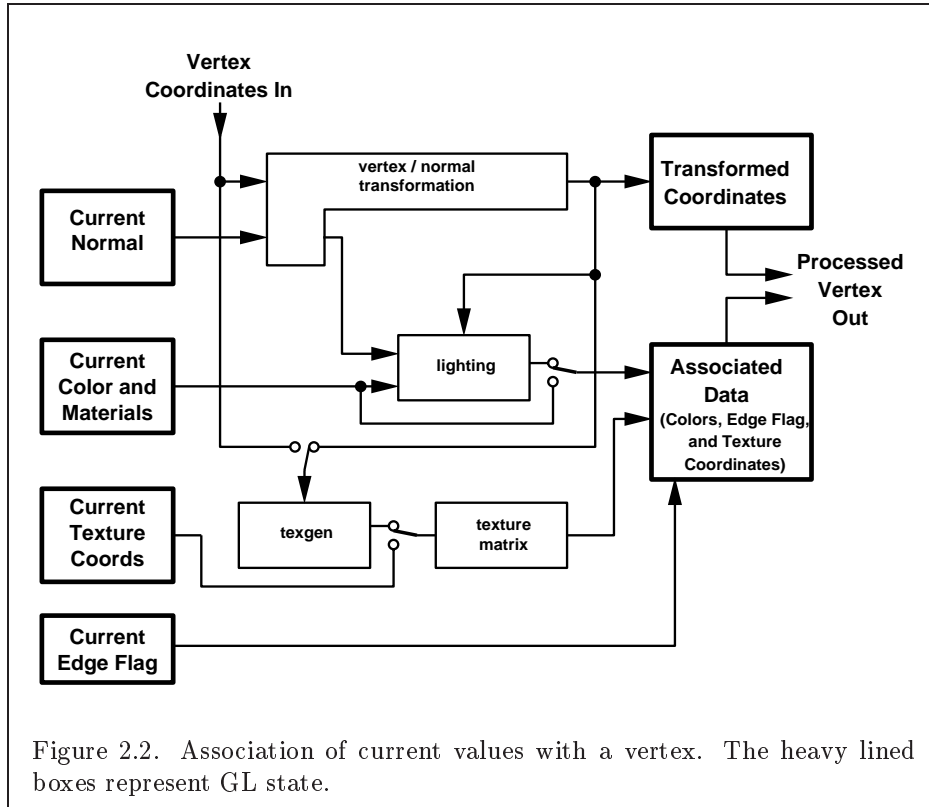
tion 3.9). These *associated* colors are either based on the current color or produced by lighting, depending on whether or not lighting is enabled. Texture coordinates are similarly associated with each vertex. Figure 2.2 summarizes the association of auxiliary data with a transformed vertex to produce a *processed vertex*.

The current values are part of GL state. Vertices and normals are transformed, colors may be affected or replaced by lighting, and texture coordinates are transformed and possibly affected by a texture coordinate generation function. The processing indicated for each current value is applied for each vertex that is sent to the GL.

The methods by which vertices, normals, texture coordinates, and colors are sent to the GL, as well as how normals are transformed and how vertices are mapped to the two-dimensional screen, are discussed later.

Before colors have been assigned to a vertex, the state required by a vertex is the vertex's coordinates, the current normal, the current edge flag (see section 2.6.2), the current material properties (see section 2.13.2), and the current texture coordinates. Because color assignment is done vertex-by-vertex, a processed vertex comprises the vertex's coordinates, its edge flag, its assigned colors, and its texture coordinates.

Figure 2.3 shows the sequence of operations that builds a *primitive* (point, line segment, or polygon) from a sequence of vertices. After a primi-



tive is formed, it is clipped to a viewing volume. This may alter the primitive by altering vertex coordinates, texture coordinates, and colors. In the case of a polygon primitive, clipping may insert new vertices into the primitive. The vertices defining a primitive to be rasterized have texture coordinates and colors associated with them.

### 2.6.1 Begin and End Objects

**Begin** and **End** require one state variable with eleven values: one value for each of the ten possible **Begin/End** objects, and one other value indicating that no **Begin/End** object is being processed. The two relevant commands are

```
void Begin( enum mode );
void End( void );
```

There is no limit on the number of vertices that may be specified between a **Begin** and an **End**.

**Points.** A series of individual points may be specified by calling **Begin** with an argument value of **POINTS**. No special state need be kept between **Begin** and **End** in this case, since each point is independent of previous and following points.

**Line Strips.** A series of one or more connected line segments is specified by enclosing a series of two or more endpoints within a **Begin/End** pair when **Begin** is called with **LINE\_STRIP**. In this case, the first vertex specifies the first segment's start point while the second vertex specifies the first segment's endpoint and the second segment's start point. In general, the  $i$ th vertex (for  $i > 1$ ) specifies the beginning of the  $i$ th segment and the end of the  $i - 1$ st. The last vertex specifies the end of the last segment. If only one vertex is specified between the **Begin/End** pair, then no primitive is generated.

The required state consists of the processed vertex produced from the last vertex that was sent (so that a line segment can be generated from it to the current vertex), and a boolean flag indicating if the current vertex is the first vertex.

**Line Loops.** Line loops, specified with the **LINE\_LOOP** argument value to **Begin**, are the same as line strips except that a final segment is added from the final specified vertex to the first vertex. The additional state consists of the processed first vertex.

**Separate Lines.** Individual line segments, each specified by a pair of vertices, are generated by surrounding vertex pairs with **Begin** and **End**

when the value of the argument to **Begin** is `LINES`. In this case, the first two vertices between a **Begin** and **End** pair define the first segment, with subsequent pairs of vertices each defining one more segment. If the number of specified vertices is odd, then the last one is ignored. The state required is the same as for lines but it is used differently: a vertex holding the first vertex of the current segment, and a boolean flag indicating whether the current vertex is odd or even (a segment start or end).

**Polygons.** A polygon is described by specifying its boundary as a series of line segments. When **Begin** is called with `POLYGON`, the bounding line segments are specified in the same way as line loops. Depending on the current state of the GL, a polygon may be rendered in one of several ways such as outlining its border or filling its interior. A polygon described with fewer than three vertices does not generate a primitive.

Only convex polygons are guaranteed to be drawn correctly by the GL. If a specified polygon is nonconvex when projected onto the window, then the rendered polygon need only lie within the convex hull of the projected vertices defining its boundary.

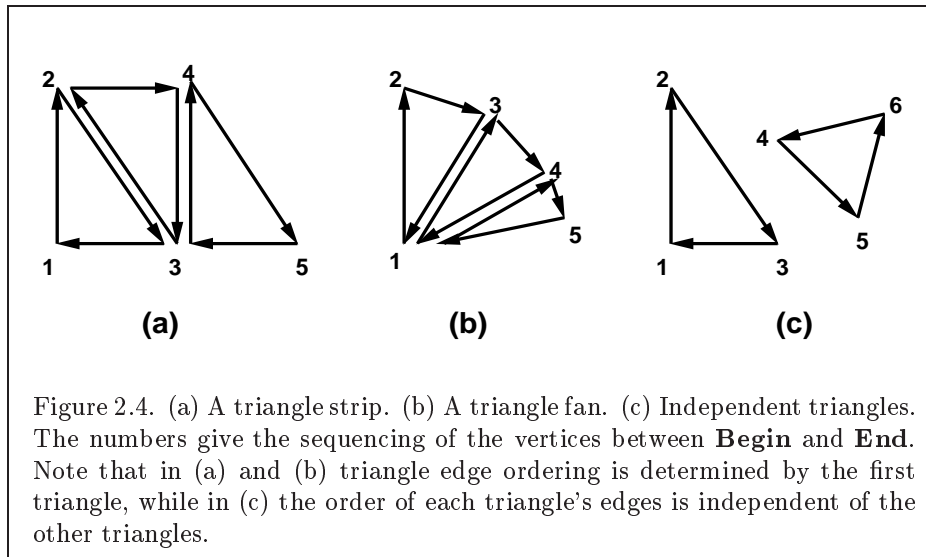
The state required to support polygons consists of at least two processed vertices (more than two are never required, although an implementation may use more); this is because a convex polygon can be rasterized as its vertices arrive, before all of them have been specified. The order of the vertices is significant in lighting and polygon rasterization (see sections 2.13.1 and 3.5.1).

**Triangle strips.** A triangle strip is a series of triangles connected along shared edges. A triangle strip is specified by giving a series of defining vertices between a **Begin/End** pair when **Begin** is called with `TRIANGLE_STRIP`. In this case, the first three vertices define the first triangle (and their order is significant, just as for polygons). Each subsequent vertex defines a new triangle using that point along with two vertices from the previous triangle. A **Begin/End** pair enclosing fewer than three vertices, when `TRIANGLE_STRIP` has been supplied to **Begin**, produces no primitive. See Figure 2.4.

The state required to support triangle strips consists of a flag indicating if the first triangle has been completed, two stored processed vertices, (called vertex A and vertex B), and a one bit pointer indicating which stored vertex will be replaced with the next vertex. After a **Begin**(`TRIANGLE_STRIP`), the pointer is initialized to point to vertex A. Each vertex sent between a **Begin/End** pair toggles the pointer. Therefore, the first vertex is stored as vertex A, the second stored as vertex B, the third stored as vertex A, and so on. Any vertex after the second one sent forms a triangle from vertex A, vertex B, and the current vertex (in that order).

**Triangle fans.** A triangle fan is the same as a triangle strip with one



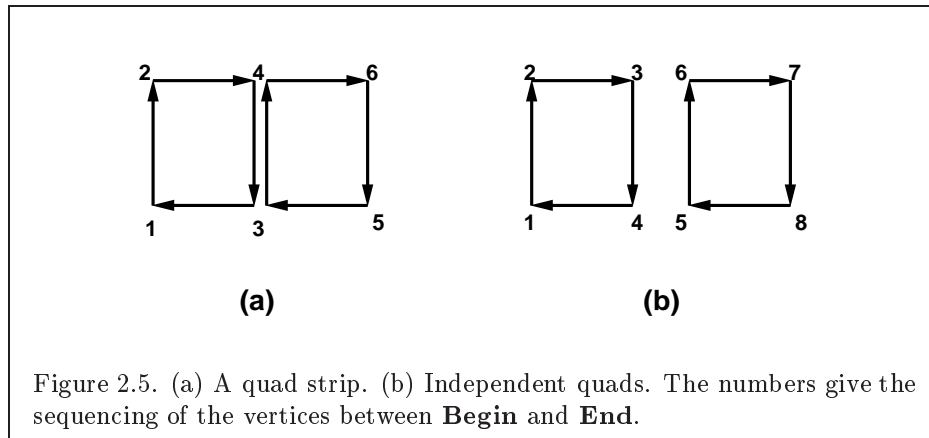


exception: each vertex after the first always replaces vertex B of the two stored vertices. The vertices of a triangle fan are enclosed between **Begin** and **End** when the value of the argument to **Begin** is **TRIANGLE\_FAN**.

**Separate Triangles.** Separate triangles are specified by placing vertices between **Begin** and **End** when the value of the argument to **Begin** is **TRIANGLES**. In this case, The  $3i + 1$ st,  $3i + 2$ nd, and  $3i + 3$ rd vertices (in that order) determine a triangle for each  $i = 0, 1, \dots, n - 1$ , where there are  $3n + k$  vertices between the **Begin** and **End**.  $k$  is either 0, 1, or 2; if  $k$  is not zero, the final  $k$  vertices are ignored. For each triangle, vertex A is vertex  $3i$  and vertex B is vertex  $3i + 1$ . Otherwise, separate triangles are the same as a triangle strip.

The rules given for polygons also apply to each triangle generated from a triangle strip, triangle fan or from separate triangles.

**Quadrilateral (quad) strips.** Quad strips generate a series of edge-sharing quadrilaterals from vertices appearing between **Begin** and **End**, when **Begin** is called with **QUAD\_STRIP**. If the  $m$  vertices between the **Begin** and **End** are  $v_1, \dots, v_m$ , where  $v_j$  is the  $j$ th specified vertex, then quad  $i$  has vertices (in order)  $v_{2i}, v_{2i+1}, v_{2i+3}$ , and  $v_{2i+2}$  with  $i = 0, \dots, \lfloor m/2 \rfloor$ . The state required is thus three processed vertices, to store the last two vertices of the previous quad along with the third vertex (the first new vertex) of the current quad, a flag to indicate when the first quad has been completed, and a one-bit counter to count members of a vertex pair. See Figure 2.5.



A quad strip with fewer than four vertices generates no primitive. If the number of vertices specified for a quadrilateral strip between **Begin** and **End** is odd, the final vertex is ignored.

**Separate Quadrilaterals** Separate quads are just like quad strips except that each group of four vertices, the  $4j + 1$ st, the  $4j + 2$ nd, the  $4j + 3$ rd, and the  $4j + 4$ th, generate a single quad, for  $j = 0, 1, \dots, n - 1$ . The total number of vertices between **Begin** and **End** is  $4n + k$ , where  $0 \leq k \leq 3$ ; if  $k$  is not zero, the final  $k$  vertices are ignored. Separate quads are generated by calling **Begin** with the argument value **QUADS**.

The rules given for polygons also apply to each quad generated in a quad strip or from separate quads.

## 2.6.2 Polygon Edges

Each edge of each primitive generated from a polygon, triangle strip, triangle fan, separate triangle set, quadrilateral strip, or separate quadrilateral set, is flagged as either *boundary* or *non-boundary*. These classifications are used during polygon rasterization; some modes affect the interpretation of polygon boundary edges (see section 3.5.4). By default, all edges are boundary edges, but the flagging of polygons, separate triangles, or separate quadrilaterals may be altered by calling

```
void EdgeFlag( boolean flag );
void EdgeFlagv( boolean *flag );
```

to change the value of a flag bit. If *flag* is zero, then the flag bit is set to **FALSE**; if *flag* is non-zero, then the flag bit is set to **TRUE**.

When **Begin** is supplied with one of the argument values **POLYGON**, **TRIANGLES**, or **QUADS**, each vertex specified within a **Begin** and **End** pair begins an edge. If the edge flag bit is **TRUE**, then each specified vertex begins an edge that is flagged as boundary. If the bit is **FALSE**, then induced edges are flagged as non-boundary.

The state required for edge flagging consists of one current flag bit. Initially, the bit is **TRUE**. In addition, each processed vertex of an assembled polygonal primitive must be augmented with a bit indicating whether or not the edge beginning on that vertex is boundary or non-boundary.

### 2.6.3 GL Commands within Begin/End

The only GL commands that are allowed within any **Begin/End** pairs are the commands for specifying vertex coordinates, vertex color, normal coordinates, and texture coordinates (**Vertex**, **Color**, **Index**, **Normal**, **TexCoord**), the **ArrayElement** command (see section 2.8), the **EvalCoord** and **EvalPoint** commands (see section 5.1), commands for specifying lighting material parameters (**Material** commands; see section 2.13.2), display list invocation commands (**CallList** and **CallLists**; see section 5.4), and the **EdgeFlag** command. Executing any other GL command between the execution of **Begin** and the corresponding execution of **End** results in the error **INVALID\_OPERATION**. Executing **Begin** after **Begin** has already been executed but before an **End** is executed generates the **INVALID\_OPERATION** error, as does executing **End** without a previous corresponding **Begin**.

Execution of the commands **EnableClientState**, **DisableClientState**, **PushClientAttrib**, **PopClientAttrib**, **EdgeFlagPointer**, **TexCoordPointer**, **ColorPointer**, **IndexPointer**, **NormalPointer**, **VertexPointer**, **InterleavedArrays**, and **PixelStore**, is not allowed within any **Begin/End** pair, but an error may or may not be generated if such execution occurs. If an error is not generated, GL operation is undefined. (These commands are described in sections 2.8, 3.6.1, and Chapter 6.)

## 2.7 Vertex Specification

Vertices are specified by giving their coordinates in two, three, or four dimensions. This is done using one of several versions of the **Vertex** command:

```
void Vertex{234}{sifd}( T coords );
void Vertex{234}{sifd}v( T coords );
```

A call to any **Vertex** command specifies four coordinates:  $x$ ,  $y$ ,  $z$ , and  $w$ . The  $x$  coordinate is the first coordinate,  $y$  is second,  $z$  is third, and  $w$  is fourth. A call to **Vertex2** sets the  $x$  and  $y$  coordinates; the  $z$  coordinate is implicitly set to zero and the  $w$  coordinate to one. **Vertex3** sets  $x$ ,  $y$ , and  $z$  to the provided values and  $w$  to one. **Vertex4** sets all four coordinates, allowing the specification of an arbitrary point in projective three-space. Invoking a **Vertex** command outside of a **Begin/End** pair results in undefined behavior.

Current values are used in associating auxiliary data with a vertex as described in section 2.6. A current value may be changed at any time by issuing an appropriate command. The commands

```
void TexCoord{1234}{sifd}( T coords );
void TexCoord{1234}{sifd}v( T coords );
```

specify the current homogeneous texture coordinates, named  $s$ ,  $t$ ,  $r$ , and  $q$ . The **TexCoord1** family of commands set the  $s$  coordinate to the provided single argument while setting  $t$  and  $r$  to 0 and  $q$  to 1. Similarly, **TexCoord2** sets  $s$  and  $t$  to the specified values,  $r$  to 0 and  $q$  to 1; **TexCoord3** sets  $s$ ,  $t$ , and  $r$ , with  $q$  set to 1, and **TexCoord4** sets all four texture coordinates.

The current normal is set using

```
void Normal3{bsifd}( T coords );
void Normal3{bsifd}v( T coords );
```

Byte, short, or integer values passed to **Normal** are converted to floating-point values as indicated for the corresponding (signed) type in Table 2.6.

Finally, there are several ways to set the current color. The GL stores both a current single-valued *color index*, and a current four-valued RGBA color. One or the other of these is significant depending as the GL is in *color index mode* or *RGBA mode*. The mode selection is made when the GL is initialized.

The command to set RGBA colors is

```
void Color{34}{bsifd ubusui}( T components );
void Color{34}{bsifd ubusui}v( T components );
```

The **Color** command has two major variants: **Color3** and **Color4**. The four value versions set all four values. The three value versions set R, G, and B to the provided values; A is set to 1.0. (The conversion of integer color components (R, G, B, and A) to floating-point values is discussed in section 2.13.)

Versions of the **Color** command that take floating-point values accept values nominally between 0.0 and 1.0. 0.0 corresponds to the minimum while 1.0 corresponds to the maximum (machine dependent) value that a component may take on in the framebuffer (see section 2.13 on colors and coloring). Values outside  $[0, 1]$  are not clamped.

The command

```
void Index{sifd ub}( T index );
void Index{sifd ub}v( T index );
```

updates the current (single-valued) color index. It takes one argument, the value to which the current color index should be set. Values outside the (machine-dependent) representable range of color indices are not clamped.

The state required to support vertex specification consists of four floating-point numbers to store the current texture coordinates  $s$ ,  $t$ ,  $r$ , and  $q$ , three floating-point numbers to store the three coordinates of the current normal, four floating-point values to store the current RGBA color, and one floating-point value to store the current color index. There is no notion of a current vertex, so no state is devoted to vertex coordinates. The initial values of  $s$ ,  $t$ , and  $r$  of the current texture coordinates are zero; the initial value of  $q$  is one. The initial current normal has coordinates  $(0, 0, 1)$ . The initial RGBA color is  $(R, G, B, A) = (1, 1, 1, 1)$ . The initial color index is 1.

## 2.8 Vertex Arrays

The vertex specification commands described in section 2.7 accept data in almost any format, but their use requires many command executions to specify even simple geometry. Vertex data may also be placed into arrays that are stored in the client's address space. Blocks of data in these arrays may then be used to specify multiple geometric primitives through the execution of a single GL command. The client may specify up to six arrays: one each to store edge flags, texture coordinates, colors, color indices, normals, and vertices. The commands

```
void EdgeFlagPointer( sizei stride, void *pointer );

void TexCoordPointer( int size, enum type, sizei stride,
    void *pointer );

void ColorPointer( int size, enum type, sizei stride,
    void *pointer );
```

Command	Sizes	Types
<b>VertexPointer</b>	2,3,4	short, int, float, double
<b>NormalPointer</b>	3	byte, short, int, float, double
<b>ColorPointer</b>	3,4	byte, ubyte, short, ushort, int, uint, float, double
<b>IndexPointer</b>	1	ubyte, short, int, float, double
<b>TexCoordPointer</b>	1,2,3,4	short, int, float, double
<b>EdgeFlagPointer</b>	1	boolean

Table 2.4: Vertex array sizes (values per vertex) and data types.

```
void IndexPointer( enum type, sizei stride,
                  void *pointer );
```

```
void NormalPointer( enum type, sizei stride,
                   void *pointer );
```

```
void VertexPointer( int size, enum type, sizei stride,
                   void *pointer );
```

describe the locations and organizations of these arrays. For each command, *type* specifies the data type of the values stored in the array. Because edge flags are always type **boolean**, **EdgeFlagPointer** has no *type* argument. *size*, when present, indicates the number of values per vertex that are stored in the array. Because normals are always specified with three values, **NormalPointer** has no *size* argument. Likewise, because color indices and edge flags are always specified with a single value, **IndexPointer** and **EdgeFlagPointer** also have no *size* argument. Table 2.4 indicates the allowable values for *size* and *type* (when present). For *type* the values **BYTE**, **SHORT**, **INT**, **FLOAT**, and **DOUBLE** indicate types **byte**, **short**, **int**, **float**, and **double**, respectively; and the values **UNSIGNED\_BYTE**, **UNSIGNED\_SHORT**, and **UNSIGNED\_INT** indicate types **ubyte**, **ushort**, and **uint**, respectively. The error **INVALID\_VALUE** is generated if *size* is specified with a value other than that indicated in the table.

The one, two, three, or four values in an array that correspond to a single vertex comprise an array *element*. The values within each array element are stored sequentially in memory. If *stride* is specified as zero, then array elements are stored sequentially as well. Otherwise pointers to the *i*th and (*i* + 1)st elements of an array differ by *stride* basic machine units (typically

unsigned bytes), the pointer to the  $(i + 1)$ st element being greater. For each command, *pointer* specifies the location in memory of the first value of the first element of the array being specified.

An individual array is enabled or disabled by calling one of

```
void EnableClientState( enum array );
void DisableClientState( enum array );
```

with *array* set to `EDGE_FLAG_ARRAY`, `TEXTURE_COORD_ARRAY`, `COLOR_ARRAY`, `INDEX_ARRAY`, `NORMAL_ARRAY`, or `VERTEX_ARRAY`, for the edge flag, texture coordinate, color, color index, normal, or vertex array, respectively.

The *i*th element of every enabled array is transferred to the GL by calling

```
void ArrayElement( int i );
```

For each enabled array, it is as though the corresponding command from section 2.7 or section 2.6.2 were called with a pointer to element *i*. For the vertex array, the corresponding command is `Vertex[size][type]v`, where *size* is one of [2,3,4], and *type* is one of [s,i,f,d], corresponding to array types `short`, `int`, `float`, and `double` respectively. The corresponding commands for the edge flag, texture coordinate, color, color index, and normal arrays are `EdgeFlagv`, `TexCoord[size][type]v`, `Color[size][type]v`, `Index[type]v`, and `Normal[type]v`, respectively. If the vertex array is enabled, it is as though `Vertex[size][type]v` is executed last, after the executions of the other corresponding commands.

Changes made to array data between the execution of `Begin` and the corresponding execution of `End` may affect calls to `ArrayElement` that are made within the same `Begin/End` period in non-sequential ways. That is, a call to `ArrayElement` that precedes a change to array data may access the changed data, and a call that follows a change to array data may access original data.

The command

```
void DrawArrays( enum mode, int first, sizei count );
```

constructs a sequence of geometric primitives using elements *first* through *first+count-1* of each enabled array. *mode* specifies what kind of primitives are constructed; it accepts the same token values as the *mode* parameter of the `Begin` command. The effect of

```
DrawArrays (mode, first, count);
```

is the same as the effect of the command sequence

```

if (mode or count is invalid )
    generate appropriate error
else {
    int i;
    Begin(mode);
    for (i=0; i < count ; i++)
        ArrayElement(first+ i);
    End();
}

```

with one exception: the current edge flag, texture coordinates, color, color index, and normal coordinates are each indeterminate after the execution of **DrawArrays**, if the corresponding array is enabled. Current values corresponding to disabled arrays are not modified by the execution of **DrawArrays**.

The command

```

void DrawElements( enum mode, sizei count, enum type,
void *indices );

```

constructs a sequence of geometric primitives using the *count* elements whose indices are stored in *indices*. *type* must be one of `UNSIGNED_BYTE`, `UNSIGNED_SHORT`, or `UNSIGNED_INT`, indicating that the values in *indices* are indices of GL type `ubyte`, `ushort`, or `uint` respectively. *mode* specifies what kind of primitives are constructed; it accepts the same token values as the *mode* parameter of the **Begin** command. The effect of

```

DrawElements (mode, count, type, indices);

```

is the same as the effect of the command sequence

```

if (mode, count, or type is invalid )
    generate appropriate error
else {
    int i;
    Begin(mode);
    for (i=0; i < count ; i++)
        ArrayElement(indices[i]);
    End();
}

```



with one exception: the current edge flag, texture coordinates, color, color index, and normal coordinates are each indeterminate after the execution of **DrawElements**, if the corresponding array is enabled. Current values corresponding to disabled arrays are not modified by the execution of **DrawElements**.

The command

```
void DrawRangeElements( enum mode, uint start,
                        uint end, sizei count, enum type, void *indices );
```

is a restricted form of **DrawElements**. *mode*, *count*, *type*, and *indices* match the corresponding arguments to **DrawElements**, with the additional constraint that all values in the array *indices* must lie between *start* and *end* inclusive.

Implementations denote recommended maximum amounts of vertex and index data, which may be queried by calling **GetIntegerv** with the symbolic constants `MAX_ELEMENTS_VERTICES` and `MAX_ELEMENTS_INDICES`. If  $end - start + 1$  is greater than the value of `MAX_ELEMENTS_VERTICES`, or if *count* is greater than the value of `MAX_ELEMENTS_INDICES`, then the call may operate at reduced performance. There is no requirement that all vertices in the range  $[start, end]$  be referenced. However, the implementation may partially process unused vertices, reducing performance from what could be achieved with an optimal index set.

The error `INVALID_VALUE` is generated if  $end < start$ . Invalid *mode*, *count*, or *type* parameters generate the same errors as would the corresponding call to **DrawElements**. It is an error for indices to lie outside the range  $[start, end]$ , but implementations may not check for this. Such indices will cause implementation-dependent behavior.

The command

```
void InterleavedArrays( enum format, sizei stride,
                       void *pointer );
```

efficiently initializes the six arrays and their enables to one of 14 configurations. *format* must be one of 14 symbolic constants: `V2F`, `V3F`, `C4UB_V2F`, `C4UB_V3F`, `C3F_V3F`, `N3F_V3F`, `C4F_N3F_V3F`, `T2F_V3F`, `T4F_V4F`, `T2F_C4UB_V3F`, `T2F_C3F_V3F`, `T2F_N3F_V3F`, `T2F_C4F_N3F_V3F`, or `T4F_C4F_N3F_V4F`.

The effect of

```
InterleavedArrays( format, stride, pointer );
```

is the same as the effect of the command sequence

<i>format</i>	<i>e<sub>t</sub></i>	<i>e<sub>c</sub></i>	<i>e<sub>n</sub></i>	<i>s<sub>t</sub></i>	<i>s<sub>c</sub></i>	<i>s<sub>v</sub></i>	<i>t<sub>c</sub></i>
V2F	<i>False</i>	<i>False</i>	<i>False</i>			2	
V3F	<i>False</i>	<i>False</i>	<i>False</i>			3	
C4UB_V2F	<i>False</i>	<i>True</i>	<i>False</i>		4	2	UNSIGNED_BYTE
C4UB_V3F	<i>False</i>	<i>True</i>	<i>False</i>		4	3	UNSIGNED_BYTE
C3F_V3F	<i>False</i>	<i>True</i>	<i>False</i>		3	3	FLOAT
N3F_V3F	<i>False</i>	<i>False</i>	<i>True</i>			3	
C4F_N3F_V3F	<i>False</i>	<i>True</i>	<i>True</i>		4	3	FLOAT
T2F_V3F	<i>True</i>	<i>False</i>	<i>False</i>	2		3	
T4F_V4F	<i>True</i>	<i>False</i>	<i>False</i>	4		4	
T2F_C4UB_V3F	<i>True</i>	<i>True</i>	<i>False</i>	2	4	3	UNSIGNED_BYTE
T2F_C3F_V3F	<i>True</i>	<i>True</i>	<i>False</i>	2	3	3	FLOAT
T2F_N3F_V3F	<i>True</i>	<i>False</i>	<i>True</i>	2		3	
T2F_C4F_N3F_V3F	<i>True</i>	<i>True</i>	<i>True</i>	2	4	3	FLOAT
T4F_C4F_N3F_V4F	<i>True</i>	<i>True</i>	<i>True</i>	4	4	4	FLOAT

<i>format</i>	<i>p<sub>c</sub></i>	<i>p<sub>n</sub></i>	<i>p<sub>v</sub></i>	<i>s</i>
V2F			0	2 <i>f</i>
V3F			0	3 <i>f</i>
C4UB_V2F	0		<i>c</i>	<i>c</i> + 2 <i>f</i>
C4UB_V3F	0		<i>c</i>	<i>c</i> + 3 <i>f</i>
C3F_V3F	0		3 <i>f</i>	6 <i>f</i>
N3F_V3F		0	3 <i>f</i>	6 <i>f</i>
C4F_N3F_V3F	0	4 <i>f</i>	7 <i>f</i>	10 <i>f</i>
T2F_V3F			2 <i>f</i>	5 <i>f</i>
T4F_V4F			4 <i>f</i>	8 <i>f</i>
T2F_C4UB_V3F	2 <i>f</i>		<i>c</i> + 2 <i>f</i>	<i>c</i> + 5 <i>f</i>
T2F_C3F_V3F	2 <i>f</i>		5 <i>f</i>	8 <i>f</i>
T2F_N3F_V3F		2 <i>f</i>	5 <i>f</i>	8 <i>f</i>
T2F_C4F_N3F_V3F	2 <i>f</i>	6 <i>f</i>	9 <i>f</i>	12 <i>f</i>
T4F_C4F_N3F_V4F	4 <i>f</i>	8 <i>f</i>	11 <i>f</i>	15 <i>f</i>

Table 2.5: Variables that direct the execution of **InterleavedArrays**. *f* is sizeof(FLOAT). *c* is 4 times sizeof(UNSIGNED\_BYTE), rounded up to the nearest multiple of *f*. All pointer arithmetic is performed in units of sizeof(UNSIGNED\_BYTE).

```

if (format or stride is invalid)
    generate appropriate error
else {
    int str;
    set  $e_t, e_c, e_n, s_t, s_c, s_v, t_c, p_c, p_n, p_v$ , and  $s$  as a function
        of Table 2.5 and the value of format.
    str = stride;
    if (str is zero)
        str =  $s$ ;
    DisableClientState(EDGE_FLAG_ARRAY);
    DisableClientState(INDEX_ARRAY);
    if ( $e_t$ ) {
        EnableClientState(TEXTURE_COORD_ARRAY);
        TexCoordPointer( $s_t$ , FLOAT, str, pointer);
    } else {
        DisableClientState(TEXTURE_COORD_ARRAY);
    }
    if ( $e_c$ ) {
        EnableClientState(COLOR_ARRAY);
        ColorPointer( $s_c, t_c, \mathbf{str}, \mathit{pointer} + p_c$ );
    } else {
        DisableClientState(COLOR_ARRAY);
    }
    if ( $e_n$ ) {
        EnableClientState(NORMAL_ARRAY);
        NormalPointer(FLOAT, str, pointer +  $p_n$ );
    } else {
        DisableClientState(NORMAL_ARRAY);
    }
    EnableClientState(VERTEX_ARRAY);
    VertexPointer( $s_v, \mathbf{str}, \mathit{pointer} + p_v$ );
}

```

The client state required to implement vertex arrays consists of six boolean values, six memory pointers, six integer stride values, five symbolic constants representing array types, and three integers representing values per element. In the initial state the boolean values are each disabled, the memory pointers are each null, the strides are each zero, the array types are each FLOAT, and the integers representing values per element are each four.

## 2.9 Rectangles

There is a set of GL commands to support efficient specification of rectangles as two corner vertices.

```
void Rect{sifd}( T x1, T y1, T x2, T y2 );
void Rect{sifd}v( T v1[2], T v2[2] );
```

Each command takes either four arguments organized as two consecutive pairs of  $(x, y)$  coordinates, or two pointers to arrays each of which contains an  $x$  value followed by a  $y$  value. The effect of the **Rect** command

```
Rect (x1, y1, x2, y2);
```

is exactly the same as the following sequence of commands:

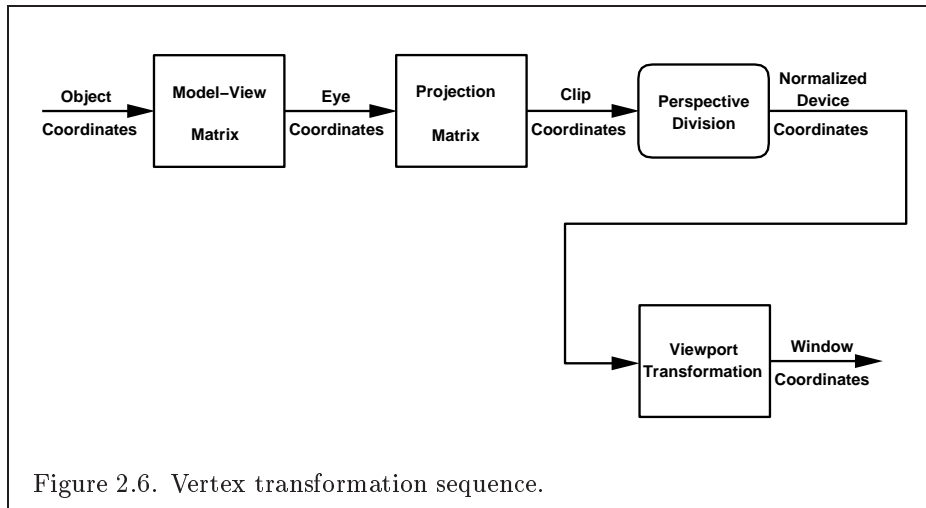
```
Begin(POLYGON);
  Vertex2(x1, y1);
  Vertex2(x2, y1);
  Vertex2(x2, y2);
  Vertex2(x1, y2);
End();
```

The appropriate **Vertex2** command would be invoked depending on which of the **Rect** commands is issued.

## 2.10 Coordinate Transformations

Vertices, normals, and texture coordinates are transformed before their coordinates are used to produce an image in the framebuffer. We begin with a description of how vertex coordinates are transformed and how this transformation is controlled.

Figure 2.6 diagrams the sequence of transformations that are applied to vertices. The vertex coordinates that are presented to the GL are termed *object coordinates*. The *model-view* matrix is applied to these coordinates to yield *eye coordinates*. Then another matrix, called the *projection* matrix, is applied to eye coordinates to yield *clip coordinates*. A perspective division is carried out on clip coordinates to yield *normalized device coordinates*. A final *viewport* transformation is applied to convert these coordinates into *window coordinates*.



Object coordinates, eye coordinates, and clip coordinates are four-dimensional, consisting of  $x$ ,  $y$ ,  $z$ , and  $w$  coordinates (in that order). The model-view and perspective matrices are thus  $4 \times 4$ .

If a vertex in object coordinates is given by  $\begin{pmatrix} x_o \\ y_o \\ z_o \\ w_o \end{pmatrix}$  and the model-view matrix is  $M$ , then the vertex's eye coordinates are found as

$$\begin{pmatrix} x_e \\ y_e \\ z_e \\ w_e \end{pmatrix} = M \begin{pmatrix} x_o \\ y_o \\ z_o \\ w_o \end{pmatrix}.$$

Similarly, if  $P$  is the projection matrix, then the vertex's clip coordinates are

$$\begin{pmatrix} x_c \\ y_c \\ z_c \\ w_c \end{pmatrix} = P \begin{pmatrix} x_e \\ y_e \\ z_e \\ w_e \end{pmatrix}.$$

The vertex's normalized device coordinates are then

$$\begin{pmatrix} x_d \\ y_d \\ z_d \end{pmatrix} = \begin{pmatrix} x_c/w_c \\ y_c/w_c \\ z_c/w_c \end{pmatrix}.$$

### 2.10.1 Controlling the Viewport

The viewport transformation is determined by the viewport's width and height in pixels,  $p_x$  and  $p_y$ , respectively, and its center  $(o_x, o_y)$  (also in pixels). The vertex's window coordinates,  $\begin{pmatrix} x_w \\ y_w \\ z_w \end{pmatrix}$ , are given by

$$\begin{pmatrix} x_w \\ y_w \\ z_w \end{pmatrix} = \begin{pmatrix} (p_x/2)x_d + o_x \\ (p_y/2)y_d + o_y \\ [(f - n)/2]z_d + (n + f)/2 \end{pmatrix}.$$

The factor and offset applied to  $z_d$  encoded by  $n$  and  $f$  are set using

```
void DepthRange( clampd n, clampd f );
```

Each of  $n$  and  $f$  are clamped to lie within  $[0, 1]$ , as are all arguments of type `clampd` or `clampf`.  $z_w$  is taken to be represented in fixed-point with at least as many bits as there are in the depth buffer of the framebuffer. We assume that the fixed-point representation used represents each value  $k/(2^m - 1)$ , where  $k \in \{0, 1, \dots, 2^m - 1\}$ , as  $k$  (e.g. 1.0 is represented in binary as a string of all ones).

Viewport transformation parameters are specified using

```
void Viewport( int x, int y, sizei w, sizei h );
```

where  $x$  and  $y$  give the  $x$  and  $y$  window coordinates of the viewport's lower-left corner and  $w$  and  $h$  give the viewport's width and height, respectively. The viewport parameters shown in the above equations are found from these values as  $o_x = x + w/2$  and  $o_y = y + h/2$ ;  $p_x = w$ ,  $p_y = h$ .

Viewport width and height are clamped to implementation-dependent maximums when specified. The maximum width and height may be found by issuing an appropriate **Get** command (see Chapter 6). The maximum viewport dimensions must be greater than or equal to the visible dimensions of the display being rendered to. `INVALID_VALUE` is generated if either  $w$  or  $h$  is negative.

The state required to implement the viewport transformation is 6 integers. In the initial state,  $w$  and  $h$  are set to the width and height, respectively, of the window into which the GL is to do its rendering.  $o_x$  and  $o_y$  are set to  $w/2$  and  $h/2$ , respectively.  $n$  and  $f$  are set to 0.0 and 1.0, respectively.

### 2.10.2 Matrices

The projection matrix and model-view matrix are set and modified with a variety of commands. The affected matrix is determined by the current matrix mode. The current matrix mode is set with

```
void MatrixMode( enum mode );
```

which takes one of the pre-defined constants `TEXTURE`, `MODELVIEW`, `COLOR`, or `PROJECTION` as the argument value. `TEXTURE` is described later in section 2.10.2, and `COLOR` is described in section 3.6.3. If the current matrix mode is `MODELVIEW`, then matrix operations apply to the model-view matrix; if `PROJECTION`, then they apply to the projection matrix.

The two basic commands for affecting the current matrix are

```
void LoadMatrix{fd}( T m[16] );
void MultMatrix{fd}( T m[16] );
```

**LoadMatrix** takes a pointer to a  $4 \times 4$  matrix stored in column-major order as 16 consecutive floating-point values, i.e. as

$$\begin{pmatrix} a_1 & a_5 & a_9 & a_{13} \\ a_2 & a_6 & a_{10} & a_{14} \\ a_3 & a_7 & a_{11} & a_{15} \\ a_4 & a_8 & a_{12} & a_{16} \end{pmatrix}.$$

(This differs from the standard row-major C ordering for matrix elements. If the standard ordering is used, all of the subsequent transformation equations are transposed, and the columns representing vectors become rows.)

The specified matrix replaces the current matrix with the one pointed to. **MultMatrix** takes the same type argument as **LoadMatrix**, but multiplies the current matrix by the one pointed to and replaces the current matrix with the product. If  $C$  is the current matrix and  $M$  is the matrix pointed to by **MultMatrix**'s argument, then the resulting current matrix,  $C'$ , is

$$C' = C \cdot M.$$

The command

```
void LoadIdentity( void );
```

effectively calls **LoadMatrix** with the identity matrix:

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

There are a variety of other commands that manipulate matrices. **Rotate**, **Translate**, **Scale**, **Frustum**, and **Ortho** manipulate the current matrix. Each computes a matrix and then invokes **MultMatrix** with this matrix. In the case of

```
void Rotate{fd}( T  $\theta$ , T  $x$ , T  $y$ , T  $z$  );
```

$\theta$  gives an angle of rotation in degrees; the coordinates of a vector  $\mathbf{v}$  are given by  $\mathbf{v} = (x \ y \ z)^T$ . The computed matrix is a counter-clockwise rotation about the line through the origin with the specified axis when that axis is pointing up (i.e. the right-hand rule determines the sense of the rotation angle). The matrix is thus

$$\begin{pmatrix} & & & 0 \\ & R & & 0 \\ & & & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

Let  $\mathbf{u} = \mathbf{v}/\|\mathbf{v}\| = (x' \ y' \ z')^T$ . If

$$S = \begin{pmatrix} 0 & -z' & y' \\ z' & 0 & -x' \\ -y' & x' & 0 \end{pmatrix}$$

then

$$R = \mathbf{u}\mathbf{u}^T + \cos \theta (I - \mathbf{u}\mathbf{u}^T) + \sin \theta S.$$

The arguments to

```
void Translate{fd}( T  $x$ , T  $y$ , T  $z$  );
```

give the coordinates of a translation vector as  $(x \ y \ z)^T$ . The resulting matrix is a translation by the specified vector:

$$\begin{pmatrix} 1 & 0 & 0 & x \\ 0 & 1 & 0 & y \\ 0 & 0 & 1 & z \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$



```
void Scale{fd}( T x, T y, T z );
```

produces a general scaling along the  $x$ -,  $y$ -, and  $z$ - axes. The corresponding matrix is

$$\begin{pmatrix} x & 0 & 0 & 0 \\ 0 & y & 0 & 0 \\ 0 & 0 & z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

For

```
void Frustum( double l, double r, double b, double t,
             double n, double f );
```

the coordinates  $(l \ b \ -n)^T$  and  $(r \ t \ -n)^T$  specify the points on the near clipping plane that are mapped to the lower-left and upper-right corners of the window, respectively (assuming that the eye is located at  $(0 \ 0 \ 0)^T$ ).  $f$  gives the distance from the eye to the far clipping plane. If either  $n$  or  $f$  is less than or equal to zero,  $l$  is equal to  $r$ ,  $b$  is equal to  $t$ , or  $n$  is equal to  $f$ , the error `INVALID_VALUE` results. The corresponding matrix is

$$\begin{pmatrix} \frac{2n}{r-l} & 0 & \frac{r+l}{r-l} & 0 \\ 0 & \frac{2n}{t-b} & \frac{t+b}{t-b} & 0 \\ 0 & 0 & -\frac{f+n}{f-n} & -\frac{2fn}{f-n} \\ 0 & 0 & -1 & 0 \end{pmatrix}.$$

```
void Ortho( double l, double r, double b, double t,
           double n, double f );
```

describes a matrix that produces parallel projection.  $(l \ b \ -n)^T$  and  $(r \ t \ -n)^T$  specify the points on the near clipping plane that are mapped to the lower-left and upper-right corners of the window, respectively.  $f$  gives the distance from the eye to the far clipping plane. If  $l$  is equal to  $r$ ,  $b$  is equal to  $t$ , or  $n$  is equal to  $f$ , the error `INVALID_VALUE` results. The corresponding matrix is

$$\begin{pmatrix} \frac{2}{r-l} & 0 & 0 & -\frac{r+l}{r-l} \\ 0 & \frac{2}{t-b} & 0 & -\frac{t+b}{t-b} \\ 0 & 0 & -\frac{2}{f-n} & -\frac{f+n}{f-n} \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

There is another  $4 \times 4$  matrix that is applied to texture coordinates. This matrix is applied as

$$\begin{pmatrix} m_1 & m_5 & m_9 & m_{13} \\ m_2 & m_6 & m_{10} & m_{14} \\ m_3 & m_7 & m_{11} & m_{15} \\ m_4 & m_8 & m_{12} & m_{16} \end{pmatrix} \begin{pmatrix} s \\ t \\ r \\ q \end{pmatrix},$$

where the left matrix is the current texture matrix. The matrix is applied to the coordinates resulting from texture coordinate generation (which may simply be the current texture coordinates), and the resulting transformed coordinates become the texture coordinates associated with a vertex. Setting the matrix mode to **TEXTURE** causes the already described matrix operations to apply to the texture matrix.

There is a stack of matrices for each of the matrix modes. For **MODELVIEW** mode, the stack depth is at least 32 (that is, there is a stack of at least 32 model-view matrices). For the other modes, the depth is at least 2. The current matrix in any mode is the matrix on the top of the stack for that mode.

```
void PushMatrix( void );
```

pushes the stack down by one, duplicating the current matrix in both the top of the stack and the entry below it.

```
void PopMatrix( void );
```

pops the top entry off of the stack, replacing the current matrix with the matrix that was the second entry in the stack. The pushing or popping takes place on the stack corresponding to the current matrix mode. Popping a matrix off a stack with only one entry generates the error **STACK\_UNDERFLOW**; pushing a matrix onto a full stack generates **STACK\_OVERFLOW**.

The state required to implement transformations consists of a four-valued integer indicating the current matrix mode, a stack of at least two  $4 \times 4$  matrices for each of **COLOR**, **PROJECTION**, and **TEXTURE** with associated stack pointers, and a stack of at least 32  $4 \times 4$  matrices with an associated stack pointer for **MODELVIEW**. Initially, there is only one matrix on each stack, and all matrices are set to the identity. The initial matrix mode is **MODELVIEW**.

### 2.10.3 Normal Transformation

Finally, we consider how the model-view matrix and transformation state affect normals. Before use in lighting, normals are transformed to eye coordinates by a matrix derived from the model-view matrix. Rescaling and normalization operations are performed on the transformed normals to make

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them unit length prior to use in lighting. Rescaling and normalization are controlled by

```
void Enable( enum target );
```

and

```
void Disable( enum target );
```

with *target* equal to `RESCALE_NORMAL` or `NORMALIZE`. This requires two bits of state. The initial state is for normals not to be rescaled or normalized.

If the model-view matrix is  $M$ , then the normal is transformed to eye coordinates by:

$$(n_x' \ n_y' \ n_z' \ q') = (n_x \ n_y \ n_z \ q) \cdot M^{-1}$$

where, if  $\begin{pmatrix} x \\ y \\ z \\ w \end{pmatrix}$  are the associated vertex coordinates, then

$$q = \begin{cases} 0, & w = 0, \\ \frac{-(n_x \ n_y \ n_z) \begin{pmatrix} x \\ y \\ z \end{pmatrix}}{w}, & w \neq 0 \end{cases} \quad (2.1)$$

Implementations may choose instead to transform  $(n_x \ n_y \ n_z)$  to eye coordinates using

$$(n_x' \ n_y' \ n_z') = (n_x \ n_y \ n_z) \cdot M_u^{-1}$$

where  $M_u$  is the upper leftmost 3x3 matrix taken from  $M$ .

Rescale multiplies the transformed normals by a scale factor

$$(n_x'' \ n_y'' \ n_z'') = f(n_x' \ n_y' \ n_z')$$

If rescaling is disabled, then  $f = 1$ . If rescaling is enabled, then  $f$  is computed as ( $m_{ij}$  denotes the matrix element in row  $i$  and column  $j$  of  $M^{-1}$ , numbering the topmost row of the matrix as row 1 and the leftmost column as column 1)

$$f = \frac{1}{\sqrt{m_{31}^2 + m_{32}^2 + m_{33}^2}}$$

Note that if the normals sent to GL were unit length and the model-view matrix uniformly scales space, then rescale makes the transformed normals unit length.

Alternatively, an implementation may chose  $f$  as

$$f = \frac{1}{\sqrt{n_x'^2 + n_y'^2 + n_z'^2}}$$

recomputing  $f$  for each normal. This makes all non-zero length normals unit length regardless of their input length and the nature of the model-view matrix.

After rescaling, the final transformed normal used in lighting,  $n_f$ , is computed as

$$n_f = m (n_x'' \quad n_y'' \quad n_z'')$$

If normalization is disabled, then  $m = 1$ . Otherwise

$$m = \frac{1}{\sqrt{n_x''^2 + n_y''^2 + n_z''^2}}$$

Because we specify neither the floating-point format nor the means for matrix inversion, we cannot specify behavior in the case of a poorly-conditioned (nearly singular) model-view matrix  $M$ . In case of an exactly singular matrix, the transformed normal is undefined. If the GL implementation determines that the model-view matrix is uninvertible, then the entries in the inverted matrix are arbitrary. In any case, neither normal transformation nor use of the transformed normal may lead to GL interruption or termination.

#### 2.10.4 Generating Texture Coordinates

Texture coordinates associated with a vertex may either be taken from the current texture coordinates or generated according to a function dependent on vertex coordinates. The command

```
void TexGen{ifd}( enum coord, enum pname, T param );
void TexGen{ifd}v( enum coord, enum pname, T params );
```

controls texture coordinate generation. *coord* must be one of the constants S, T, R, or Q, indicating that the pertinent coordinate is the *s*, *t*, *r*, or *q*

coordinate, respectively. In the first form of the command, *param* is a symbolic constant specifying a single-valued texture generation parameter; in the second form, *params* is a pointer to an array of values that specify texture generation parameters. *pname* must be one of the three symbolic constants `TEXTURE_GEN_MODE`, `OBJECT_PLANE`, or `EYE_PLANE`. If *pname* is `TEXTURE_GEN_MODE`, then either *params* points to or *param* is an integer that is one of the symbolic constants `OBJECT_LINEAR`, `EYE_LINEAR`, or `SPHERE_MAP`.

If `TEXTURE_GEN_MODE` indicates `OBJECT_LINEAR`, then the generation function for the coordinate indicated by *coord* is

$$g = p_1x_o + p_2y_o + p_3z_o + p_4w_o.$$

$x_o$ ,  $y_o$ ,  $z_o$ , and  $w_o$  are the object coordinates of the vertex.  $p_1, \dots, p_4$  are specified by calling **TexGen** with *pname* set to `OBJECT_PLANE` in which case *params* points to an array containing  $p_1, \dots, p_4$ . There is a distinct group of plane equation coefficients for each texture coordinate; *coord* indicates the coordinate to which the specified coefficients pertain.

If `TEXTURE_GEN_MODE` indicates `EYE_LINEAR`, then the function is

$$g = p'_1x_e + p'_2y_e + p'_3z_e + p'_4w_e$$

where

$$(p'_1 \ p'_2 \ p'_3 \ p'_4) = (p_1 \ p_2 \ p_3 \ p_4) M^{-1}$$

$x_e$ ,  $y_e$ ,  $z_e$ , and  $w_e$  are the eye coordinates of the vertex.  $p_1, \dots, p_4$  are set by calling **TexGen** with *pname* set to `EYE_PLANE` in correspondence with setting the coefficients in the `OBJECT_PLANE` case.  $M$  is the model-view matrix in effect when  $p_1, \dots, p_4$  are specified. Computed texture coordinates may be inaccurate or undefined if  $M$  is poorly conditioned or singular.

When used with a suitably constructed texture image, calling **TexGen** with `TEXTURE_GEN_MODE` indicating `SPHERE_MAP` can simulate the reflected image of a spherical environment on a polygon. `SPHERE_MAP` texture coordinates are generated as follows. Denote the unit vector pointing from the origin to the vertex (in eye coordinates) by  $\mathbf{u}$ . Denote the current normal, after transformation to eye coordinates, by  $\mathbf{n}'$ . Let  $\mathbf{r} = (r_x \ r_y \ r_z)^T$ , the reflection vector, be given by

$$\mathbf{r} = \mathbf{u} - 2\mathbf{n}'^T (\mathbf{n}'\mathbf{u}),$$

and let  $m = 2\sqrt{r_x^2 + r_y^2 + (r_z + 1)^2}$ . Then the value assigned to an *s* coordinate (the first **TexGen** argument value is `S`) is  $s = r_x/m + \frac{1}{2}$ ; the value

assigned to a  $t$  coordinate is  $t = r_y/m + \frac{1}{2}$ . Calling **TexGen** with a *coord* of either **R** or **Q** when *pname* indicates **SPHERE\_MAP** generates the error **INVALID\_ENUM**.

A texture coordinate generation function is enabled or disabled using **Enable** and **Disable** with an argument of **TEXTURE\_GEN\_S**, **TEXTURE\_GEN\_T**, **TEXTURE\_GEN\_R**, or **TEXTURE\_GEN\_Q** (each indicates the corresponding texture coordinate). When enabled, the specified texture coordinate is computed according to the current **EYE\_LINEAR**, **OBJECT\_LINEAR** or **SPHERE\_MAP** specification, depending on the current setting of **TEXTURE\_GEN\_MODE** for that coordinate. When disabled, subsequent vertices will take the indicated texture coordinate from the current texture coordinates.

The state required for texture coordinate generation comprises a three-valued integer for each coordinate indicating coordinate generation mode, and a bit for each coordinate to indicate whether texture coordinate generation is enabled or disabled. In addition, four coefficients are required for the four coordinates for each of **EYE\_LINEAR** and **OBJECT\_LINEAR**. The initial state has the texture generation function disabled for all texture coordinates. The initial values of  $p_i$  for  $s$  are all 0 except  $p_1$  which is one; for  $t$  all the  $p_i$  are zero except  $p_2$ , which is 1. The values of  $p_i$  for  $r$  and  $q$  are all 0. These values of  $p_i$  apply for both the **EYE\_LINEAR** and **OBJECT\_LINEAR** versions. Initially all texture generation modes are **EYE\_LINEAR**.

## 2.11 Clipping

Primitives are clipped to the *clip volume*. In clip coordinates, the *view volume* is defined by

$$\begin{aligned} -w_c &\leq x_c \leq w_c \\ -w_c &\leq y_c \leq w_c \ . \\ -w_c &\leq z_c \leq w_c \end{aligned}$$

This view volume may be further restricted by as many as  $n$  client-defined clip planes to generate the clip volume. ( $n$  is an implementation dependent maximum that must be at least 6.) Each client-defined plane specifies a half-space. The clip volume is the intersection of all such half-spaces with the view volume (if there no client-defined clip planes are enabled, the clip volume is the view volume).

A client-defined clip plane is specified with

```
void ClipPlane( enum p, double eqn[4] );
```

The value of the first argument,  $p$ , is a symbolic constant, `CLIP_PLANE $i$` , where  $i$  is an integer between 0 and  $n - 1$ , indicating one of  $n$  client-defined clip planes.  $eqn$  is an array of four double-precision floating-point values. These are the coefficients of a plane equation in object coordinates:  $p_1$ ,  $p_2$ ,  $p_3$ , and  $p_4$  (in that order). The inverse of the current model-view matrix is applied to these coefficients, at the time they are specified, yielding

$$(p'_1 \ p'_2 \ p'_3 \ p'_4) = (p_1 \ p_2 \ p_3 \ p_4) M^{-1}$$

(where  $M$  is the current model-view matrix; the resulting plane equation is undefined if  $M$  is singular and may be inaccurate if  $M$  is poorly-conditioned) to obtain the plane equation coefficients in eye coordinates. All points with eye coordinates  $(x_e \ y_e \ z_e \ w_e)^T$  that satisfy

$$(p'_1 \ p'_2 \ p'_3 \ p'_4) \begin{pmatrix} x_e \\ y_e \\ z_e \\ w_e \end{pmatrix} \geq 0$$

lie in the half-space defined by the plane; points that do not satisfy this condition do not lie in the half-space.

Client-defined clip planes are enabled with the generic **Enable** command and disabled with the **Disable** command. The value of the argument to either command is `CLIP_PLANE $i$`  where  $i$  is an integer between 0 and  $n$ ; specifying a value of  $i$  enables or disables the plane equation with index  $i$ . The constants obey `CLIP_PLANE $i$`  = `CLIP_PLANE0` +  $i$ .

If the primitive under consideration is a point, then clipping passes it unchanged if it lies within the clip volume; otherwise, it is discarded. If the primitive is a line segment, then clipping does nothing to it if it lies entirely within the clip volume and discards it if it lies entirely outside the volume. If part of the line segment lies in the volume and part lies outside, then the line segment is clipped and new vertex coordinates are computed for one or both vertices. A clipped line segment endpoint lies on both the original line segment and the boundary of the clip volume.

This clipping produces a value,  $0 \leq t \leq 1$ , for each clipped vertex. If the coordinates of a clipped vertex are  $\mathbf{P}$  and the original vertices' coordinates are  $\mathbf{P}_1$  and  $\mathbf{P}_2$ , then  $t$  is given by

$$\mathbf{P} = t\mathbf{P}_1 + (1 - t)\mathbf{P}_2.$$

The value of  $t$  is used in color and texture coordinate clipping (section 2.13.8).

If the primitive is a polygon, then it is passed if every one of its edges lies entirely inside the clip volume and either clipped or discarded otherwise. Polygon clipping may cause polygon edges to be clipped, but because polygon connectivity must be maintained, these clipped edges are connected by new edges that lie along the clip volume's boundary. Thus, clipping may require the introduction of new vertices into a polygon. Edge flags are associated with these vertices so that edges introduced by clipping are flagged as boundary (edge flag `TRUE`), and so that original edges of the polygon that become cut off at these vertices retain their original flags.

If it happens that a polygon intersects an edge of the clip volume's boundary, then the clipped polygon must include a point on this boundary edge. This point must lie in the intersection of the boundary edge and the convex hull of the vertices of the original polygon. We impose this requirement because the polygon may not be exactly planar.

A line segment or polygon whose vertices have  $w_c$  values of differing signs may generate multiple connected components after clipping. GL implementations are not required to handle this situation. That is, only the portion of the primitive that lies in the region of  $w_c > 0$  need be produced by clipping.

Primitives rendered with clip planes must satisfy a complementarity criterion. Suppose a single clip plane with coefficients  $(p'_1 \ p'_2 \ p'_3 \ p'_4)$  (or a number of similarly specified clip planes) is enabled and a series of primitives are drawn. Next, suppose that the original clip plane is respecified with coefficients  $(-p'_1 \ -p'_2 \ -p'_3 \ -p'_4)$  (and correspondingly for any other clip planes) and the primitives are drawn again (and the GL is otherwise in the same state). In this case, primitives must not be missing any pixels, nor may any pixels be drawn twice in regions where those primitives are cut by the clip planes.

The state required for clipping is at least 6 sets of plane equations (each consisting of four double-precision floating-point coefficients) and at least 6 corresponding bits indicating which of these client-defined plane equations are enabled. In the initial state, all client-defined plane equation coefficients are zero and all planes are disabled.

## 2.12 Current Raster Position

The *current raster position* is used by commands that directly affect pixels in the framebuffer. These commands, which bypass vertex transformation and primitive assembly, are described in the next chapter. The current raster position, however, shares some of the characteristics of a vertex.



The state required for the current raster position consists of three window coordinates  $x_w$ ,  $y_w$ , and  $z_w$ , a clip coordinate  $w_c$  value, an eye coordinate distance, a valid bit, and associated data consisting of a color and texture coordinates. It is set using one of the **RasterPos** commands:

```
void RasterPos{234}{sifd}( T coords );
void RasterPos{234}{sifd}v( T coords );
```

**RasterPos4** takes four values indicating  $x$ ,  $y$ ,  $z$ , and  $w$ . **RasterPos3** (or **RasterPos2**) is analogous, but sets only  $x$ ,  $y$ , and  $z$  with  $w$  implicitly set to 1 (or only  $x$  and  $y$  with  $z$  implicitly set to 0 and  $w$  implicitly set to 1).

The coordinates are treated as if they were specified in a **Vertex** command. The  $x$ ,  $y$ ,  $z$ , and  $w$  coordinates are transformed by the current model-view and perspective matrices. These coordinates, along with current values, are used to generate a color and texture coordinates just as is done for a vertex. The color and texture coordinates so produced replace the color and texture coordinates stored in the current raster position's associated data. The distance from the origin of the eye coordinate system to the vertex as transformed by only the current model-view matrix replaces the current raster distance. This distance can be approximated (see section 3.10).

The transformed coordinates are passed to clipping as if they represented a point. If the "point" is not culled, then the projection to window coordinates is computed (section 2.10) and saved as the current raster position, and the valid bit is set. If the "point" is culled, the current raster position and its associated data become indeterminate and the valid bit is cleared. Figure 2.7 summarizes the behavior of the current raster position.

The current raster position requires five single-precision floating-point values for its  $x_w$ ,  $y_w$ , and  $z_w$  window coordinates, its  $w_c$  clip coordinate, and its eye coordinate distance, a single valid bit, a color (RGBA and color index), and texture coordinates for associated data. In the initial state, the coordinates and texture coordinates are both (0, 0, 0, 1), the eye coordinate distance is 0, the valid bit is set, the associated RGBA color is (1, 1, 1, 1) and the associated color index color is 1. In RGBA mode, the associated color index always has its initial value; in color index mode, the RGBA color always maintains its initial value.

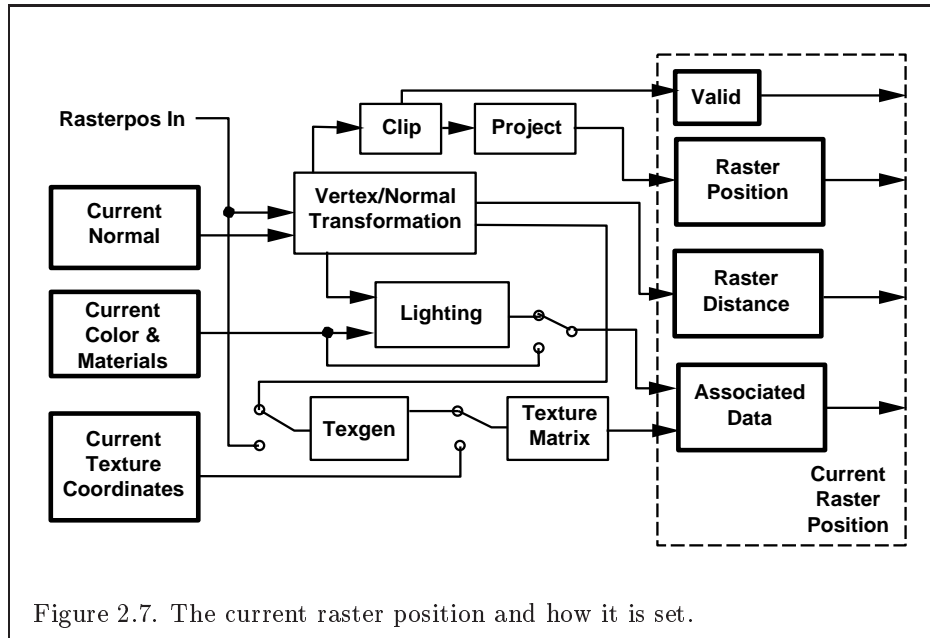


Figure 2.7. The current raster position and how it is set.

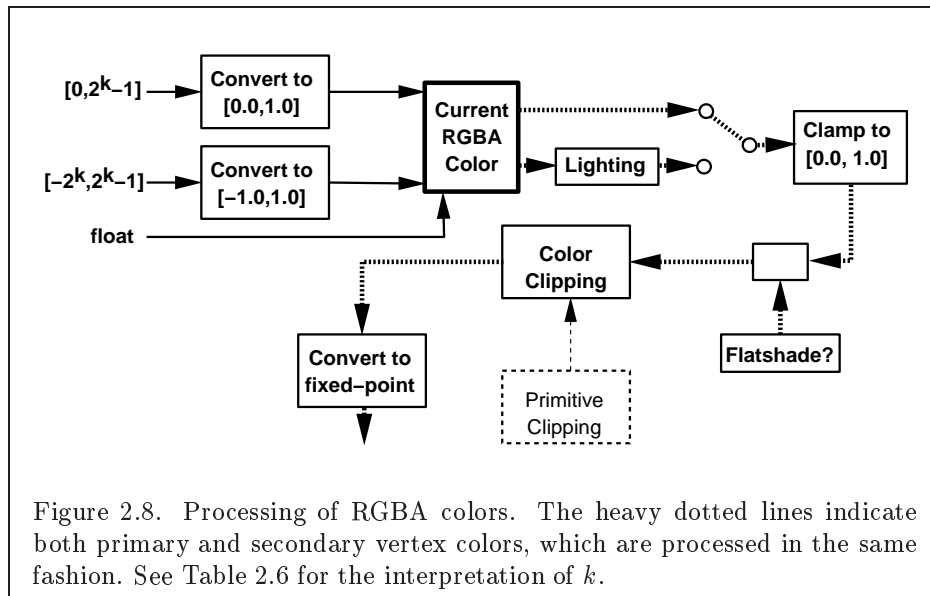
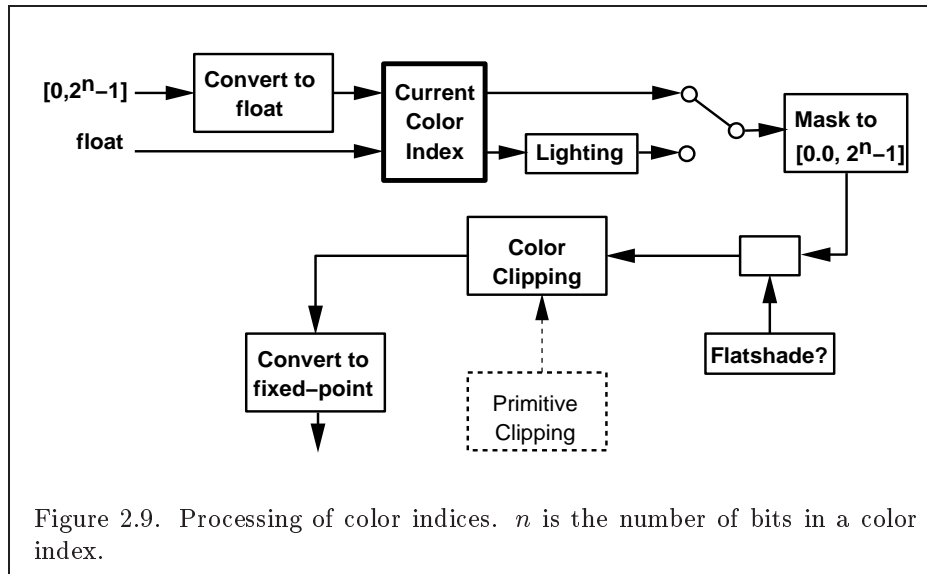


Figure 2.8. Processing of RGBA colors. The heavy dotted lines indicate both primary and secondary vertex colors, which are processed in the same fashion. See Table 2.6 for the interpretation of  $k$ .



## 2.13 Colors and Coloring

Figures 2.8 and 2.9 diagram the processing of RGBA colors and color indices before rasterization. Incoming colors arrive in one of several formats. Table 2.6 summarizes the conversions that take place on R, G, B, and A components depending on which version of the **Color** command was invoked to specify the components. As a result of limited precision, some converted values will not be represented exactly. In color index mode, a single-valued color index is not mapped.

Next, lighting, if enabled, produces either a color index or primary and secondary colors. If lighting is disabled, the current color index or color is used in further processing (the current color is the primary color, and the secondary color is (0, 0, 0, 0)). After lighting, RGBA colors are clamped to the range [0, 1]. A color index is converted to fixed-point and then its integer portion is masked (see section 2.13.6). After clamping or masking, a primitive may be *flatshaded*, indicating that all vertices of the primitive are to have the same color. Finally, if a primitive is clipped, then colors (and texture coordinates) must be computed at the vertices introduced or modified by clipping.

GL Type	Conversion
ubyte	$c/(2^8 - 1)$
byte	$(2c + 1)/(2^8 - 1)$
ushort	$c/(2^{16} - 1)$
short	$(2c + 1)/(2^{16} - 1)$
uint	$c/(2^{32} - 1)$
int	$(2c + 1)/(2^{32} - 1)$
float	$c$
double	$c$

Table 2.6: Component conversions. Color, normal, and depth components, ( $c$ ), are converted to an internal floating-point representation, ( $f$ ), using the equations in this table. All arithmetic is done in the internal floating point format. These conversions apply to components specified as parameters to GL commands and to components in pixel data. The equations remain the same even if the implemented ranges of the GL data types are greater than the minimum required ranges. (Refer to table 2.2)

### 2.13.1 Lighting

GL lighting computes colors for each vertex sent to the GL. This is accomplished by applying an equation defined by a client-specified lighting model to a collection of parameters that can include the vertex coordinates, the coordinates of one or more light sources, the current normal, and parameters defining the characteristics of the light sources and a current material. The following discussion assumes that the GL is in RGBA mode. (Color index lighting is described in section 2.13.5.)

Lighting may be in one of two states:

1. **Lighting Off.** In this state, the current color is assigned to the vertex primary color. The secondary color is (0, 0, 0, 0).
2. **Lighting On.** In this state, the vertex primary and secondary colors are computed from the current lighting parameters.

Lighting is turned on or off using the generic **Enable** or **Disable** commands with the symbolic value `LIGHTING`.

### Lighting Operation

A lighting parameter is of one of five types: color, position, direction, real, or boolean. A color parameter consists of four floating-point values, one for each of R, G, B, and A, in that order. There are no restrictions on the allowable values for these parameters. A position parameter consists of four floating-point coordinates ( $x$ ,  $y$ ,  $z$ , and  $w$ ) that specify a position in object coordinates ( $w$  may be zero, indicating a point at infinity in the direction given by  $x$ ,  $y$ , and  $z$ ). A direction parameter consists of three floating-point coordinates ( $x$ ,  $y$ , and  $z$ ) that specify a direction in object coordinates. A real parameter is one floating-point value. The various values and their types are summarized in Table 2.7. The result of a lighting computation is undefined if a value for a parameter is specified that is outside the range given for that parameter in the table.

There are  $n$  light sources, indexed by  $i = 0, \dots, n-1$ . ( $n$  is an implementation dependent maximum that must be at least 8.) Note that the default values for  $\mathbf{d}_{cli}$  and  $\mathbf{s}_{cli}$  differ for  $i = 0$  and  $i > 0$ .

Before specifying the way that lighting computes colors, we introduce operators and notation that simplify the expressions involved. If  $\mathbf{c}_1$  and  $\mathbf{c}_2$  are colors without alpha where  $\mathbf{c}_1 = (r_1, g_1, b_1)$  and  $\mathbf{c}_2 = (r_2, g_2, b_2)$ , then define  $\mathbf{c}_1 * \mathbf{c}_2 = (r_1 r_2, g_1 g_2, b_1 b_2)$ . Addition of colors is accomplished by addition of the components. Multiplication of colors by a scalar means multiplying each component by that scalar. If  $\mathbf{d}_1$  and  $\mathbf{d}_2$  are directions, then define

$$\mathbf{d}_1 \odot \mathbf{d}_2 = \max\{\mathbf{d}_1 \cdot \mathbf{d}_2, 0\}.$$

(Directions are taken to have three coordinates.) If  $\mathbf{P}_1$  and  $\mathbf{P}_2$  are (homogeneous, with four coordinates) points then let  $\overrightarrow{\mathbf{P}_1 \mathbf{P}_2}$  be the unit vector that points from  $\mathbf{P}_1$  to  $\mathbf{P}_2$ . Note that if  $\mathbf{P}_2$  has a zero  $w$  coordinate and  $\mathbf{P}_1$  has non-zero  $w$  coordinate, then  $\overrightarrow{\mathbf{P}_1 \mathbf{P}_2}$  is the unit vector corresponding to the direction specified by the  $x$ ,  $y$ , and  $z$  coordinates of  $\mathbf{P}_2$ ; if  $\mathbf{P}_1$  has a zero  $w$  coordinate and  $\mathbf{P}_2$  has a non-zero  $w$  coordinate then  $\overrightarrow{\mathbf{P}_1 \mathbf{P}_2}$  is the unit vector that is the negative of that corresponding to the direction specified by  $\mathbf{P}_1$ . If both  $\mathbf{P}_1$  and  $\mathbf{P}_2$  have zero  $w$  coordinates, then  $\overrightarrow{\mathbf{P}_1 \mathbf{P}_2}$  is the unit vector obtained by normalizing the direction corresponding to  $\mathbf{P}_2 - \mathbf{P}_1$ .

If  $\mathbf{d}$  is an arbitrary direction, then let  $\hat{\mathbf{d}}$  be the unit vector in  $\mathbf{d}$ 's direction. Let  $\|\mathbf{P}_1 \mathbf{P}_2\|$  be the distance between  $\mathbf{P}_1$  and  $\mathbf{P}_2$ . Finally, let  $\mathbf{V}$  be the point corresponding to the vertex being lit, and  $\mathbf{n}$  be the corresponding normal. Let  $\mathbf{P}_e$  be the eyepoint  $((0, 0, 0, 1)$  in eye coordinates).

Lighting produces two colors at a vertex: a primary color  $\mathbf{c}_{pri}$  and a secondary color  $\mathbf{c}_{sec}$ . The values of  $\mathbf{c}_{pri}$  and  $\mathbf{c}_{sec}$  depend on the light model

Parameter	Type	Default Value	Description
Material Parameters			
$\mathbf{a}_{cm}$	color	(0.2, 0.2, 0.2, 1.0)	ambient color of material
$\mathbf{d}_{cm}$	color	(0.8, 0.8, 0.8, 1.0)	diffuse color of material
$\mathbf{s}_{cm}$	color	(0.0, 0.0, 0.0, 1.0)	specular color of material
$\mathbf{e}_{cm}$	color	(0.0, 0.0, 0.0, 1.0)	emissive color of material
$s_{rm}$	real	0.0	specular exponent (range: [0.0, 128.0])
$a_m$	real	0.0	ambient color index
$d_m$	real	1.0	diffuse color index
$s_m$	real	1.0	specular color index
Light Source Parameters			
$\mathbf{a}_{cli}$	color	(0.0, 0.0, 0.0, 1.0)	ambient intensity of light $i$
$\mathbf{d}_{cli}(i = 0)$	color	(1.0, 1.0, 1.0, 1.0)	diffuse intensity of light 0
$\mathbf{d}_{cli}(i > 0)$	color	(0.0, 0.0, 0.0, 1.0)	diffuse intensity of light $i$
$\mathbf{s}_{cli}(i = 0)$	color	(1.0, 1.0, 1.0, 1.0)	specular intensity of light 0
$\mathbf{s}_{cli}(i > 0)$	color	(0.0, 0.0, 0.0, 1.0)	specular intensity of light $i$
$\mathbf{P}_{pli}$	position	(0.0, 0.0, 1.0, 0.0)	position of light $i$
$\mathbf{s}_{dli}$	direction	(0.0, 0.0, -1.0)	direction of spotlight for light $i$
$s_{rli}$	real	0.0	spotlight exponent for light $i$ (range: [0.0, 128.0])
$c_{rli}$	real	180.0	spotlight cutoff angle for light $i$ (range: [0.0, 90.0], 180.0)
$k_{0i}$	real	1.0	constant attenuation factor for light $i$ (range: [0.0, $\infty$ ))
$k_{1i}$	real	0.0	linear attenuation factor for light $i$ (range: [0.0, $\infty$ ))
$k_{2i}$	real	0.0	quadratic attenuation factor for light $i$ (range: [0.0, $\infty$ ))
Lighting Model Parameters			
$\mathbf{a}_{cs}$	color	(0.2, 0.2, 0.2, 1.0)	ambient color of scene
$v_{bs}$	boolean	FALSE	viewer assumed to be at (0, 0, 0) in eye coordinates (TRUE) or (0, 0, $\infty$ ) (FALSE)
$c_{es}$	enum	SINGLE_COLOR	controls computation of colors
$t_{bs}$	boolean	FALSE	use two-sided lighting mode

Table 2.7: Summary of lighting parameters. The range of individual color components is  $(-\infty, +\infty)$ .

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color control,  $c_{es}$ . If  $c_{es} = \text{SINGLE\_COLOR}$ , then the equations to compute  $\mathbf{c}_{pri}$  and  $\mathbf{c}_{sec}$  are

$$\begin{aligned} \mathbf{c}_{pri} &= \mathbf{e}_{cm} \\ &+ \mathbf{a}_{cm} * \mathbf{a}_{cs} \\ &+ \sum_{i=0}^{n-1} (att_i)(spot_i) [\mathbf{a}_{cm} * \mathbf{a}_{cli} \\ &\quad + (\mathbf{n} \odot \overline{\mathbf{VP}}_{pli}) \mathbf{d}_{cm} * \mathbf{d}_{cli} \\ &\quad + (f_i)(\mathbf{n} \odot \hat{\mathbf{h}}_i)^{s_{rm}} \mathbf{s}_{cm} * \mathbf{s}_{cli}] \\ \mathbf{c}_{sec} &= (0, 0, 0, 0) \end{aligned}$$

If  $c_{es} = \text{SEPARATE\_SPECULAR\_COLOR}$ , then

$$\begin{aligned} \mathbf{c}_{pri} &= \mathbf{e}_{cm} \\ &+ \mathbf{a}_{cm} * \mathbf{a}_{cs} \\ &+ \sum_{i=0}^{n-1} (att_i)(spot_i) [\mathbf{a}_{cm} * \mathbf{a}_{cli} \\ &\quad + (\mathbf{n} \odot \overline{\mathbf{VP}}_{pli}) \mathbf{d}_{cm} * \mathbf{d}_{cli}] \\ \mathbf{c}_{sec} &= \sum_{i=0}^{n-1} (att_i)(spot_i)(f_i)(\mathbf{n} \odot \hat{\mathbf{h}}_i)^{s_{rm}} \mathbf{s}_{cm} * \mathbf{s}_{cli} \end{aligned}$$

where

$$f_i = \begin{cases} 1, & \mathbf{n} \odot \overline{\mathbf{VP}}_{pli} \neq 0, \\ 0, & \text{otherwise,} \end{cases} \quad (2.2)$$

$$\mathbf{h}_i = \begin{cases} \overline{\mathbf{VP}}_{pli} + \overline{\mathbf{VP}}_e, & v_{bs} = \text{TRUE}, \\ \overline{\mathbf{VP}}_{pli} + (0 \ 0 \ 1)^T, & v_{bs} = \text{FALSE}, \end{cases} \quad (2.3)$$

$$att_i = \begin{cases} \frac{1}{k_{0i} + k_{1i} \|\overline{\mathbf{VP}}_{pli}\| + k_{2i} \|\overline{\mathbf{VP}}_{pli}\|^2}, & \text{if } \mathbf{P}_{pli}'s \ w \neq 0, \\ 1.0, & \text{otherwise.} \end{cases} \quad (2.4)$$

$$spot_i = \begin{cases} (\overrightarrow{\mathbf{P}_{pli}\mathbf{V}} \odot \hat{\mathbf{s}}_{dli})^{s_{rli}}, & c_{rli} \neq 180.0, \overrightarrow{\mathbf{P}_{pli}\mathbf{V}} \odot \hat{\mathbf{s}}_{dli} \geq \cos(c_{rli}), \\ 0.0, & c_{rli} \neq 180.0, \overrightarrow{\mathbf{P}_{pli}\mathbf{V}} \odot \hat{\mathbf{s}}_{dli} < \cos(c_{rli}), \\ 1.0, & c_{rli} = 180.0. \end{cases} \quad (2.6)$$

All computations are carried out in eye coordinates.

The value of  $A$  produced by lighting is the alpha value associated with  $\mathbf{d}_{cm}$ .  $A$  is always associated with the primary color  $\mathbf{c}_{pri}$ ; the alpha component of  $\mathbf{c}_{sec}$  is 0. Results of lighting are undefined if the  $w_e$  coordinate ( $w$  in eye coordinates) of  $\mathbf{V}$  is zero.

Lighting may operate in *two-sided* mode ( $t_{bs} = \text{TRUE}$ ), in which a *front* color is computed with one set of material parameters (the *front material*) and a *back* color is computed with a second set of material parameters (the *back material*). This second computation replaces  $\mathbf{n}$  with  $-\mathbf{n}$ . If  $t_{bs} = \text{FALSE}$ , then the back color and front color are both assigned the color computed using the front material with  $\mathbf{n}$ .

The selection between back color and front color depends on the primitive of which the vertex being lit is a part. If the primitive is a point or a line segment, the front color is always selected. If it is a polygon, then the selection is based on the sign of the (clipped or unclipped) polygon's signed area computed in window coordinates. One way to compute this area is

$$a = \frac{1}{2} \sum_{i=0}^{n-1} x_w^i y_w^{i \oplus 1} - x_w^{i \oplus 1} y_w^i \quad (2.7)$$

where  $x_w^i$  and  $y_w^i$  are the  $x$  and  $y$  window coordinates of the  $i$ th vertex of the  $n$ -vertex polygon (vertices are numbered starting at zero for purposes of this computation) and  $i \oplus 1$  is  $(i + 1) \bmod n$ . The interpretation of the sign of this value is controlled with

```
void FrontFace( enum dir );
```

Setting *dir* to **CCW** (corresponding to counter-clockwise orientation of the projected polygon in window coordinates) indicates that if  $a \leq 0$ , then the color of each vertex of the polygon becomes the back color computed for that vertex while if  $a > 0$ , then the front color is selected. If *dir* is **CW**, then  $a$  is replaced by  $-a$  in the above inequalities. This requires one bit of state; initially, it indicates **CCW**.



### 2.13.2 Lighting Parameter Specification

Lighting parameters are divided into three categories: material parameters, light source parameters, and lighting model parameters (see Table 2.7). Sets of lighting parameters are specified with

```
void Material{if}( enum face, enum pname, T param );
void Material{if}v( enum face, enum pname, T params );
void Light{if}( enum light, enum pname, T param );
void Light{if}v( enum light, enum pname, T params );
void LightModel{if}( enum pname, T param );
void LightModel{if}v( enum pname, T params );
```

*pname* is a symbolic constant indicating which parameter is to be set (see Table 2.8). In the vector versions of the commands, *params* is a pointer to a group of values to which to set the indicated parameter. The number of values pointed to depends on the parameter being set. In the non-vector versions, *param* is a value to which to set a single-valued parameter. (If *param* corresponds to a multi-valued parameter, the error `INVALID_ENUM` results.) For the **Material** command, *face* must be one of `FRONT`, `BACK`, or `FRONT_AND_BACK`, indicating that the property *name* of the front or back material, or both, respectively, should be set. In the case of **Light**, *light* is a symbolic constant of the form `LIGHTi`, indicating that light *i* is to have the specified parameter set. The constants obey `LIGHTi = LIGHT0 + i`.

Table 2.8 gives, for each of the three parameter groups, the correspondence between the pre-defined constant names and their names in the lighting equations, along with the number of values that must be specified with each. Color parameters specified with **Material** and **Light** are converted to floating-point values (if specified as integers) as indicated in Table 2.6 for signed integers. The error `INVALID_VALUE` occurs if a specified lighting parameter lies outside the allowable range given in Table 2.7. (The symbol “ $\infty$ ” indicates the maximum representable magnitude for the indicated type.)

The current model-view matrix is applied to the position parameter indicated with **Light** for a particular light source when that position is specified. These transformed values are the values used in the lighting equation.

The spotlight direction is transformed when it is specified using only the upper leftmost 3x3 portion of the model-view matrix. That is, if  $\mathbf{M}_u$  is the upper left 3x3 matrix taken from the current model-view matrix  $M$ , then

Parameter	Name	Number of values
<b>Material Parameters (Material)</b>		
$\mathbf{a}_{cm}$	AMBIENT	4
$\mathbf{d}_{cm}$	DIFFUSE	4
$\mathbf{a}_{cm}, \mathbf{d}_{cm}$	AMBIENT_AND_DIFFUSE	4
$\mathbf{s}_{cm}$	SPECULAR	4
$\mathbf{e}_{cm}$	EMISSION	4
$s_{rm}$	SHININESS	1
$a_m, d_m, s_m$	COLOR_INDEXES	3
<b>Light Source Parameters (Light)</b>		
$\mathbf{a}_{cli}$	AMBIENT	4
$\mathbf{d}_{cli}$	DIFFUSE	4
$\mathbf{s}_{cli}$	SPECULAR	4
$\mathbf{P}_{pli}$	POSITION	4
$\mathbf{s}_{dli}$	SPOT_DIRECTION	3
$s_{rli}$	SPOT_EXPONENT	1
$c_{rli}$	SPOT_CUTOFF	1
$k_0$	CONSTANT_ATTENUATION	1
$k_1$	LINEAR_ATTENUATION	1
$k_2$	QUADRATIC_ATTENUATION	1
<b>Lighting Model Parameters (LightModel)</b>		
$\mathbf{a}_{cs}$	LIGHT_MODEL_AMBIENT	4
$v_{bs}$	LIGHT_MODEL_LOCAL_VIEWER	1
$t_{bs}$	LIGHT_MODEL_TWO_SIDE	1
$c_{es}$	LIGHT_MODEL_COLOR_CONTROL	1

Table 2.8: Correspondence of lighting parameter symbols to names. AMBIENT\_AND\_DIFFUSE is used to set  $\mathbf{a}_{cm}$  and  $\mathbf{d}_{cm}$  to the same value.

the spotlight direction

$$\begin{pmatrix} d_x \\ d_y \\ d_z \end{pmatrix}$$

is transformed to

$$\begin{pmatrix} d'_x \\ d'_y \\ d'_z \end{pmatrix} = M_u \begin{pmatrix} d_x \\ d_y \\ d_z \end{pmatrix}.$$

An individual light is enabled or disabled by calling **Enable** or **Disable** with the symbolic value `LIGHTi` (*i* is in the range 0 to *n* - 1, where *n* is the implementation-dependent number of lights). If light *i* is disabled, the *i*th term in the lighting equation is effectively removed from the summation.

### 2.13.3 ColorMaterial

It is possible to attach one or more material properties to the current color, so that they continuously track its component values. This behavior is enabled and disabled by calling **Enable** or **Disable** with the symbolic value `COLOR_MATERIAL`.

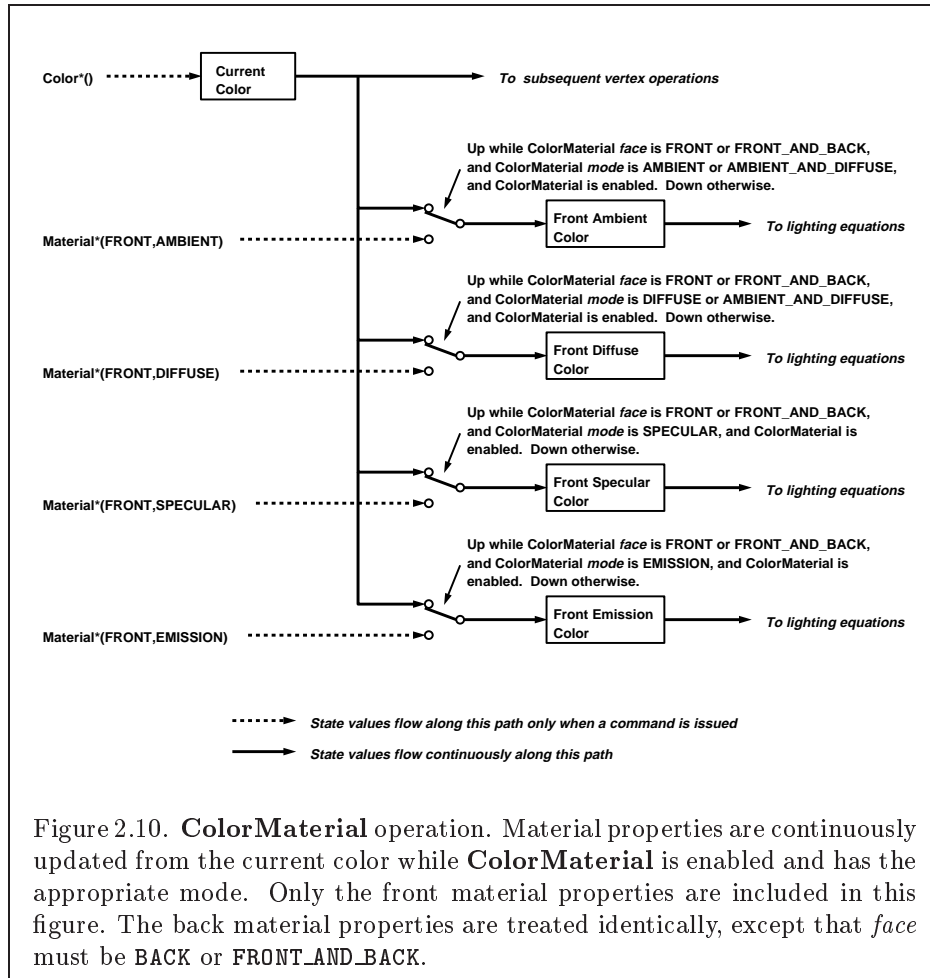
The command that controls which of these modes is selected is

```
void ColorMaterial( enum face, enum mode );
```

*face* is one of `FRONT`, `BACK`, or `FRONT_AND_BACK`, indicating whether the front material, back material, or both are affected by the current color. *mode* is one of `EMISSION`, `AMBIENT`, `DIFFUSE`, `SPECULAR`, or `AMBIENT_AND_DIFFUSE` and specifies which material property or properties track the current color. If *mode* is `EMISSION`, `AMBIENT`, `DIFFUSE`, or `SPECULAR`, then the value of  $\mathbf{e}_{cm}$ ,  $\mathbf{a}_{cm}$ ,  $\mathbf{d}_{cm}$  or  $\mathbf{s}_{cm}$ , respectively, will track the current color. If *mode* is `AMBIENT_AND_DIFFUSE`, both  $\mathbf{a}_{cm}$  and  $\mathbf{d}_{cm}$  track the current color. The replacements made to material properties are permanent; the replaced values remain until changed by either sending a new color or by setting a new material value when **ColorMaterial** is not currently enabled to override that particular value. When `COLOR_MATERIAL` is enabled, the indicated parameter or parameters always track the current color. For instance, calling

```
ColorMaterial(FRONT, AMBIENT)
```

while `COLOR_MATERIAL` is enabled sets the front material  $\mathbf{a}_{cm}$  to the value of the current color.



### 2.13.4 Lighting State

The state required for lighting consists of all of the lighting parameters (front and back material parameters, lighting model parameters, and at least 8 sets of light parameters), a bit indicating whether a back color distinct from the front color should be computed, at least 8 bits to indicate which lights are enabled, a five-valued variable indicating the current **ColorMaterial** mode, a bit indicating whether or not **COLOR\_MATERIAL** is enabled, and a single bit to indicate whether lighting is enabled or disabled. In the initial state, all lighting parameters have their default values. Back color evaluation does not take place, **ColorMaterial** is **FRONT\_AND\_BACK** and **AMBIENT\_AND\_DIFFUSE**, and both lighting and **COLOR\_MATERIAL** are disabled.

### 2.13.5 Color Index Lighting

A simplified lighting computation applies in color index mode that uses many of the parameters controlling RGBA lighting, but none of the RGBA material parameters. First, the RGBA diffuse and specular intensities of light  $i$  ( $\mathbf{d}_{cli}$  and  $\mathbf{s}_{cli}$ , respectively) determine color index diffuse and specular light intensities,  $d_{li}$  and  $s_{li}$  from

$$d_{li} = (.30)R(\mathbf{d}_{cli}) + (.59)G(\mathbf{d}_{cli}) + (.11)B(\mathbf{d}_{cli})$$

and

$$s_{li} = (.30)R(\mathbf{s}_{cli}) + (.59)G(\mathbf{s}_{cli}) + (.11)B(\mathbf{s}_{cli}).$$

$R(\mathbf{x})$  indicates the R component of the color  $\mathbf{x}$  and similarly for  $G(\mathbf{x})$  and  $B(\mathbf{x})$ .

Next, let

$$s = \sum_{i=0}^n (att_i)(spot_i)(s_{li})(f_i)(\mathbf{n} \odot \hat{\mathbf{h}}_i)^{s_{rm}}$$

where  $att_i$  and  $spot_i$  are given by equations 2.4 and 2.5, respectively, and  $f_i$  and  $\hat{\mathbf{h}}_i$  are given by equations 2.2 and 2.3, respectively. Let  $s' = \min\{s, 1\}$ . Finally, let

$$d = \sum_{i=0}^n (att_i)(spot_i)(d_{li})(\mathbf{n} \odot \overrightarrow{\mathbf{VP}}_{pli}).$$

Then color index lighting produces a value  $c$ , given by

$$c = a_m + d(1 - s')(d_m - a_m) + s'(s_m - a_m).$$

The final color index is

$$c' = \min\{c, s_m\}.$$

The values  $a_m$ ,  $d_m$  and  $s_m$  are material properties described in Tables 2.7 and 2.8. Any ambient light intensities are incorporated into  $a_m$ . As with RGBA lighting, disabled lights cause the corresponding terms from the summations to be omitted. The interpretation of  $t_{bs}$  and the calculation of front and back colors is carried out as has already been described for RGBA lighting.

The values  $a_m$ ,  $d_m$ , and  $s_m$  are set with **Material** using a *pname* of **COLOR\_INDEXES**. Their initial values are 0, 1, and 1, respectively. The additional state consists of three floating-point values. These values have no effect on RGBA lighting.

### 2.13.6 Clamping or Masking

After lighting (whether enabled or not), all components of both primary and secondary colors are clamped to the range  $[0, 1]$ .

For a color index, the index is first converted to fixed-point with an unspecified number of bits to the right of the binary point; the nearest fixed-point value is selected. Then, the bits to the right of the binary point are left alone while the integer portion is masked (bitwise ANDed) with  $2^n - 1$ , where  $n$  is the number of bits in a color in the color index buffer (buffers are discussed in chapter 4).

### 2.13.7 Flatshading

A primitive may be *flatshaded*, meaning that all vertices of the primitive are assigned the same color index or the same primary and secondary colors. These colors are the colors of the vertex that spawned the primitive. For a point, these are the colors associated with the point. For a line segment, they are the colors of the second (final) vertex of the segment. For a polygon, they come from a selected vertex depending on how the polygon was generated. Table 2.9 summarizes the possibilities.

Flatshading is controlled by

```
void ShadeModel( enum mode );
```

*mode* value must be either of the symbolic constants **SMOOTH** or **FLAT**. If *mode* is **SMOOTH** (the initial state), vertex colors are treated individually. If *mode* is **FLAT**, flatshading is turned on. **ShadeModel** thus requires one bit of state.

Primitive type of polygon $i$	Vertex
single polygon ( $i \equiv 1$ )	1
triangle strip	$i + 2$
triangle fan	$i + 2$
independent triangle	$3i$
quad strip	$2i + 2$
independent quad	$4i$

Table 2.9: Polygon flatshading color selection. The colors used for flatshading the  $i$ th polygon generated by the indicated **Begin/End** type are derived from the current color (if lighting is disabled) in effect when the indicated vertex is specified. If lighting is enabled, the colors are produced by lighting the indicated vertex. Vertices are numbered 1 through  $n$ , where  $n$  is the number of vertices between the **Begin/End** pair.

### 2.13.8 Color and Texture Coordinate Clipping

After lighting, clamping or masking and possible flatshading, colors are clipped. Those colors associated with a vertex that lies within the clip volume are unaffected by clipping. If a primitive is clipped, however, the colors assigned to vertices produced by clipping are clipped colors.

Let the colors assigned to the two vertices  $\mathbf{P}_1$  and  $\mathbf{P}_2$  of an unclipped edge be  $\mathbf{c}_1$  and  $\mathbf{c}_2$ . The value of  $t$  (section 2.11) for a clipped point  $\mathbf{P}$  is used to obtain the color associated with  $\mathbf{P}$  as

$$\mathbf{c} = t\mathbf{c}_1 + (1 - t)\mathbf{c}_2.$$

(For a color index color, multiplying a color by a scalar means multiplying the index by the scalar. For an RGBA color, it means multiplying each of R, G, B, and A by the scalar. Both primary and secondary colors are treated in the same fashion.) Polygon clipping may create a clipped vertex along an edge of the clip volume's boundary. This situation is handled by noting that polygon clipping proceeds by clipping against one plane of the clip volume's boundary at a time. Color clipping is done in the same way, so that clipped points always occur at the intersection of polygon edges (possibly already clipped) with the clip volume's boundary.

Texture coordinates must also be clipped when a primitive is clipped. The method is exactly analogous to that used for color clipping.

### 2.13.9 Final Color Processing

For an RGBA color, each color component (which lies in  $[0, 1]$ ) is converted (by rounding to nearest) to a fixed-point value with  $m$  bits. We assume that the fixed-point representation used represents each value  $k/(2^m - 1)$ , where  $k \in \{0, 1, \dots, 2^m - 1\}$ , as  $k$  (e.g. 1.0 is represented in binary as a string of all ones).  $m$  must be at least as large as the number of bits in the corresponding component of the framebuffer.  $m$  must be at least 2 for A if the framebuffer does not contain an A component, or if there is only 1 bit of A in the framebuffer. A color index is converted (by rounding to nearest) to a fixed-point value with at least as many bits as there are in the color index portion of the framebuffer.

Because a number of the form  $k/(2^m - 1)$  may not be represented exactly as a limited-precision floating-point quantity, we place a further requirement on the fixed-point conversion of RGBA components. Suppose that lighting is disabled, the color associated with a vertex has not been clipped, and one of **Colorub**, **Colorus**, or **Colorui** was used to specify that color. When these conditions are satisfied, an RGBA component must convert to a value that matches the component as specified in the **Color** command: if  $m$  is less than the number of bits  $b$  with which the component was specified, then the converted value must equal the most significant  $m$  bits of the specified value; otherwise, the most significant  $b$  bits of the converted value must equal the specified value.



## Chapter 3

# Rasterization

Rasterization is the process by which a primitive is converted to a two-dimensional image. Each point of this image contains such information as color and depth. Thus, rasterizing a primitive consists of two parts. The first is to determine which squares of an integer grid in window coordinates are occupied by the primitive. The second is assigning a color and a depth value to each such square. The results of this process are passed on to the next stage of the GL (per-fragment operations), which uses the information to update the appropriate locations in the framebuffer. Figure 3.1 diagrams the rasterization process.

A grid square along with its parameters of assigned color,  $z$  (depth), and texture coordinates is called a *fragment*; the parameters are collectively dubbed the fragment's *associated data*. A fragment is located by its lower-left corner, which lies on integer grid coordinates. Rasterization operations also refer to a fragment's *center*, which is offset by  $(1/2, 1/2)$  from its lower-left corner (and so lies on half-integer coordinates).

Grid squares need not actually be square in the GL. Rasterization rules are not affected by the actual aspect ratio of the grid squares. Display of non-square grids, however, will cause rasterized points and line segments to appear fatter in one direction than the other. We assume that fragments are square, since it simplifies antialiasing and texturing.

Several factors affect rasterization. Lines and polygons may be stippled. Points may be given differing diameters and line segments differing widths. A point, line segment, or polygon may be antialiased.

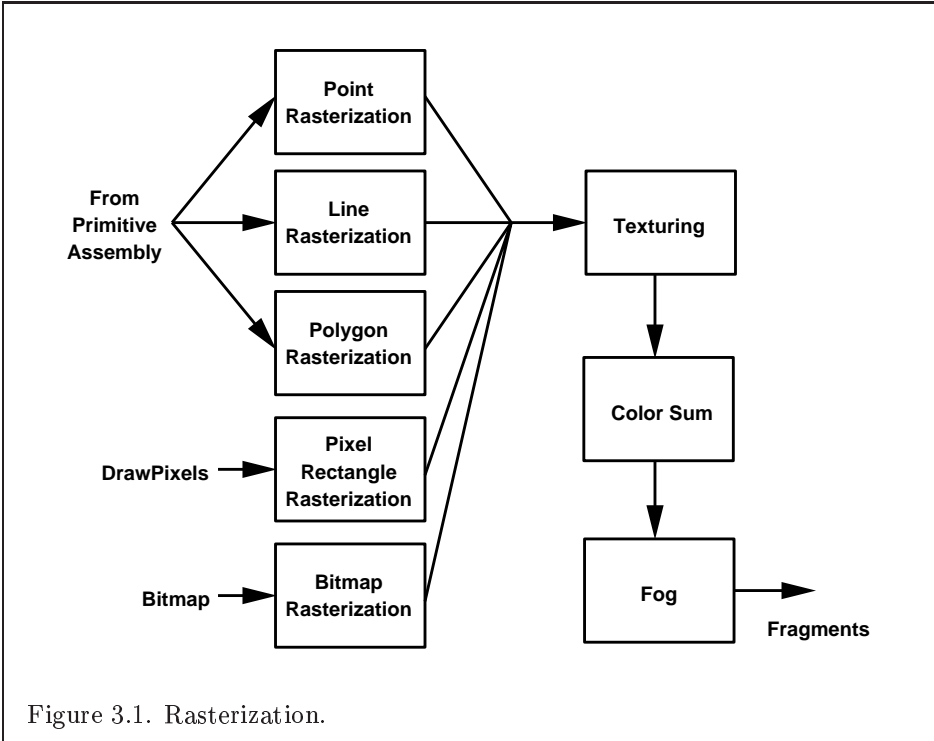


Figure 3.1. Rasterization.

## 3.1 Invariance

Consider a primitive  $p'$  obtained by translating a primitive  $p$  through an offset  $(x, y)$  in window coordinates, where  $x$  and  $y$  are integers. As long as neither  $p'$  nor  $p$  is clipped, it must be the case that each fragment  $f'$  produced from  $p'$  is identical to a corresponding fragment  $f$  from  $p$  except that the center of  $f'$  is offset by  $(x, y)$  from the center of  $f$ .

## 3.2 Antialiasing

Antialiasing of a point, line, or polygon is effected in one of two ways depending on whether the GL is in RGBA or color index mode.

In RGBA mode, the R, G, and B values of the rasterized fragment are left unaffected, but the A value is multiplied by a floating-point value in the range  $[0, 1]$  that describes a fragment's screen pixel coverage. The per-fragment stage of the GL can be set up to use the A value to blend the incoming fragment with the corresponding pixel already present in the framebuffer.

In color index mode, the least significant  $b$  bits (to the left of the binary point) of the color index are used for antialiasing;  $b = \min\{4, m\}$ , where  $m$  is the number of bits in the color index portion of the framebuffer. The antialiasing process sets these  $b$  bits based on the fragment's coverage value: the bits are set to zero for no coverage and to all ones for complete coverage.

The details of how antialiased fragment coverage values are computed are difficult to specify in general. The reason is that high-quality antialiasing may take into account perceptual issues as well as characteristics of the monitor on which the contents of the framebuffer are displayed. Such details cannot be addressed within the scope of this document. Further, the coverage value computed for a fragment of some primitive may depend on the primitive's relationship to a number of grid squares neighboring the one corresponding to the fragment, and not just on the fragment's grid square. Another consideration is that accurate calculation of coverage values may be computationally expensive; consequently we allow a given GL implementation to approximate true coverage values by using a fast but not entirely accurate coverage computation.

In light of these considerations, we chose to specify the behavior of exact antialiasing in the prototypical case that each displayed pixel is a perfect square of uniform intensity. The square is called a *fragment square* and has lower left corner  $(x, y)$  and upper right corner  $(x + 1, y + 1)$ . We recognize

that this simple box filter may not produce the most favorable antialiasing results, but it provides a simple, well-defined model.

A GL implementation may use other methods to perform antialiasing, subject to the following conditions:

1. If  $f_1$  and  $f_2$  are two fragments, and the portion of  $f_1$  covered by some primitive is a subset of the corresponding portion of  $f_2$  covered by the primitive, then the coverage computed for  $f_1$  must be less than or equal to that computed for  $f_2$ .
2. The coverage computation for a fragment  $f$  must be local: it may depend only on  $f$ 's relationship to the boundary of the primitive being rasterized. It may not depend on  $f$ 's  $x$  and  $y$  coordinates.

Another property that is desirable, but not required, is:

3. The sum of the coverage values for all fragments produced by rasterizing a particular primitive must be constant, independent of any rigid motions in window coordinates, as long as none of those fragments lies along window edges.

In some implementations, varying degrees of antialiasing quality may be obtained by providing GL hints (section 5.6), allowing a user to make an image quality versus speed tradeoff.

### 3.3 Points

The rasterization of points is controlled with

```
void PointSize( float size );
```

*size* specifies the width or diameter of a point. The default value is 1.0. A value less than or equal to zero results in the error `INVALID_VALUE`.

Point antialiasing is enabled or disabled by calling **Enable** or **Disable** with the symbolic constant `POINT_SMOOTH`. The default state is for point antialiasing to be disabled.

In the default state, a point is rasterized by truncating its  $x_w$  and  $y_w$  coordinates (recall that the subscripts indicate that these are  $x$  and  $y$  window coordinates) to integers. This  $(x, y)$  address, along with data derived from the data associated with the vertex corresponding to the point, is sent as a single fragment to the per-fragment stage of the GL.

The effect of a point width other than 1.0 depends on the state of point antialiasing. If antialiasing is disabled, the actual width is determined by rounding the supplied width to the nearest integer, then clamping it to the implementation-dependent maximum non-antialiased point width. This implementation-dependent value must be no less than the implementation-dependent maximum antialiased point width, rounded to the nearest integer value, and in any event no less than 1. If rounding the specified width results in the value 0, then it is as if the value were 1. If the resulting width is odd, then the point

$$(x, y) = (\lfloor x_w \rfloor + \frac{1}{2}, \lfloor y_w \rfloor + \frac{1}{2})$$

is computed from the vertex's  $x_w$  and  $y_w$ , and a square grid of the odd width centered at  $(x, y)$  defines the centers of the rasterized fragments (recall that fragment centers lie at half-integer window coordinate values). If the width is even, then the center point is

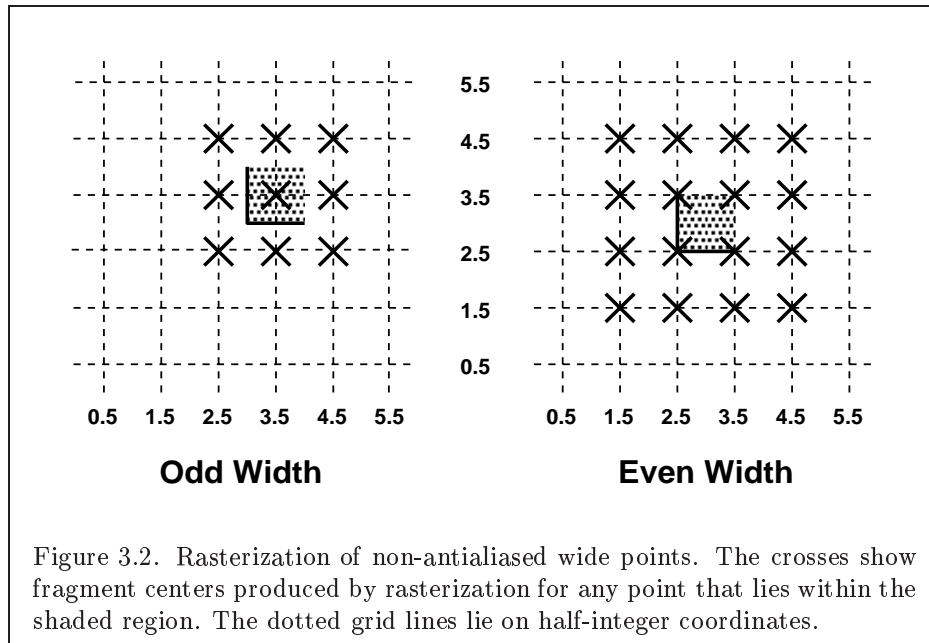
$$(x, y) = (\lfloor x_w + \frac{1}{2} \rfloor, \lfloor y_w + \frac{1}{2} \rfloor);$$

the rasterized fragment centers are the half-integer window coordinate values within the square of the even width centered on  $(x, y)$ . See figure 3.2.

All fragments produced in rasterizing a non-antialiased point are assigned the same associated data, which are those of the vertex corresponding to the point, with texture coordinates  $s$ ,  $t$ , and  $r$  replaced with  $s/q$ ,  $t/q$ , and  $r/q$ , respectively. If  $q$  is less than or equal to zero, the results are undefined.

If antialiasing is enabled, then point rasterization produces a fragment for each fragment square that intersects the region lying within the circle having diameter equal to the current point width and centered at the point's  $(x_w, y_w)$  (figure 3.3). The coverage value for each fragment is the window coordinate area of the intersection of the circular region with the corresponding fragment square (but see section 3.2). This value is saved and used in the final step of rasterization (section 3.11). The data associated with each fragment are otherwise the data associated with the point being rasterized, with texture coordinates  $s$ ,  $t$ , and  $r$  replaced with  $s/q$ ,  $t/q$ , and  $r/q$ , respectively. If  $q$  is less than or equal to zero, the results are undefined.

Not all widths need be supported when point antialiasing is on, but the width 1.0 must be provided. If an unsupported width is requested, the nearest supported width is used instead. The range of supported widths and the width of evenly-spaced gradations within that range are implementation dependent. The range and gradations may be obtained using the query



mechanism described in Chapter 6. If, for instance, the width range is from 0.1 to 2.0 and the gradation width is 0.1, then the widths 0.1, 0.2, ..., 1.9, 2.0 are supported.

### 3.3.1 Point Rasterization State

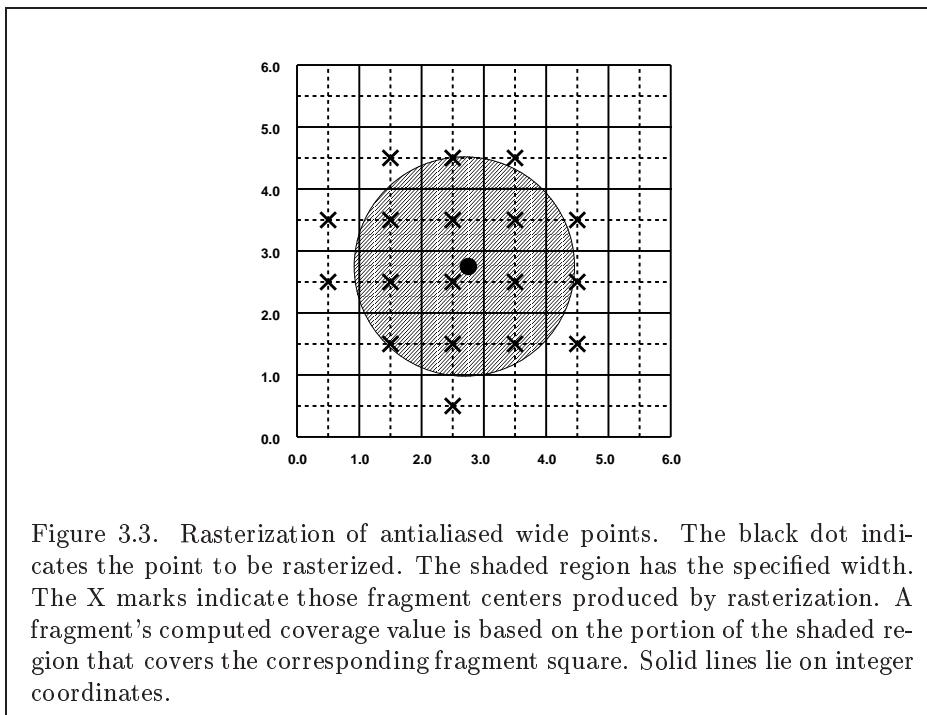
The state required to control point rasterization consists of the floating-point point width and a bit indicating whether or not antialiasing is enabled.

## 3.4 Line Segments

A line segment results from a line strip **Begin/End** object, a line loop, or a series of separate line segments. Line segment rasterization is controlled by several variables. Line width, which may be set by calling

```
void LineWidth( float width );
```

with an appropriate positive floating-point width, controls the width of rasterized line segments. The default width is 1.0. Values less than or equal



to 0.0 generate the error `INVALID_VALUE`. Antialiasing is controlled with **Enable** and **Disable** using the symbolic constant `LINE_SMOOTH`. Finally, line segments may be stippled. Stippling is controlled by a GL command that sets a *stipple pattern* (see below).

### 3.4.1 Basic Line Segment Rasterization

Line segment rasterization begins by characterizing the segment as either *x-major* or *y-major*. *x-major* line segments have slope in the closed interval  $[-1, 1]$ ; all other line segments are *y-major* (slope is determined by the segment's endpoints). We shall specify rasterization only for *x-major* segments except in cases where the modifications for *y-major* segments are not self-evident.

Ideally, the GL uses a “diamond-exit” rule to determine those fragments that are produced by rasterizing a line segment. For each fragment  $f$  with center at window coordinates  $x_f$  and  $y_f$ , define a diamond-shaped region that is the intersection of four half planes:

$$R_f = \{ (x, y) \mid |x - x_f| + |y - y_f| < 1/2. \}$$

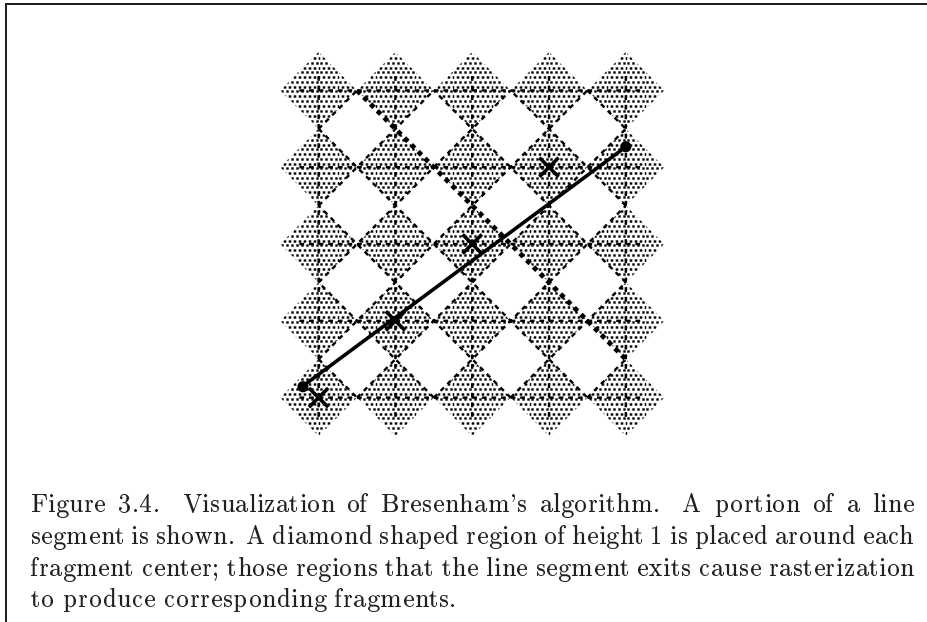
Essentially, a line segment starting at  $\mathbf{p}_a$  and ending at  $\mathbf{p}_b$  produces those fragments  $f$  for which the segment intersects  $R_f$ , except if  $\mathbf{p}_b$  is contained in  $R_f$ . See figure 3.4.

To avoid difficulties when an endpoint lies on a boundary of  $R_f$  we (in principle) perturb the supplied endpoints by a tiny amount. Let  $\mathbf{p}_a$  and  $\mathbf{p}_b$  have window coordinates  $(x_a, y_a)$  and  $(x_b, y_b)$ , respectively. Obtain the perturbed endpoints  $\mathbf{p}'_a$  given by  $(x_a, y_a) - (\epsilon, \epsilon^2)$  and  $\mathbf{p}'_b$  given by  $(x_b, y_b) - (\epsilon, \epsilon^2)$ . Rasterizing the line segment starting at  $\mathbf{p}_a$  and ending at  $\mathbf{p}_b$  produces those fragments  $f$  for which the segment starting at  $\mathbf{p}'_a$  and ending on  $\mathbf{p}'_b$  intersects  $R_f$ , except if  $\mathbf{p}'_b$  is contained in  $R_f$ .  $\epsilon$  is chosen to be so small that rasterizing the line segment produces the same fragments when  $\delta$  is substituted for  $\epsilon$  for any  $0 < \delta \leq \epsilon$ .

When  $\mathbf{p}_a$  and  $\mathbf{p}_b$  lie on fragment centers, this characterization of fragments reduces to Bresenham's algorithm with one modification: lines produced in this description are “half-open,” meaning that the final fragment (corresponding to  $\mathbf{p}_b$ ) is not drawn. This means that when rasterizing a series of connected line segments, shared endpoints will be produced only once rather than twice (as would occur with Bresenham's algorithm).

Because the initial and final conditions of the diamond-exit rule may be difficult to implement, other line segment rasterization algorithms are allowed, subject to the following rules:





1. The coordinates of a fragment produced by the algorithm may not deviate by more than one unit in either  $x$  or  $y$  window coordinates from a corresponding fragment produced by the diamond-exit rule.
2. The total number of fragments produced by the algorithm may differ from that produced by the diamond-exit rule by no more than one.
3. For an  $x$ -major line, no two fragments may be produced that lie in the same window-coordinate column (for a  $y$ -major line, no two fragments may appear in the same row).
4. If two line segments share a common endpoint, and both segments are either  $x$ -major (both left-to-right or both right-to-left) or  $y$ -major (both bottom-to-top or both top-to-bottom), then rasterizing both segments may not produce duplicate fragments, nor may any fragments be omitted so as to interrupt continuity of the connected segments.

Next we must specify how the data associated with each rasterized fragment are obtained. Let the window coordinates of a produced fragment center be given by  $\mathbf{p}_r = (x_d, y_d)$  and let  $\mathbf{p}_a = (x_a, y_a)$  and  $\mathbf{p}_b = (x_b, y_b)$ . Set

$$t = \frac{(\mathbf{p}_r - \mathbf{p}_a) \cdot (\mathbf{p}_b - \mathbf{p}_a)}{\|\mathbf{p}_b - \mathbf{p}_a\|^2}. \quad (3.1)$$

(Note that  $t = 0$  at  $\mathbf{p}_a$  and  $t = 1$  at  $\mathbf{p}_b$ .) The value of an associated datum  $f$  for the fragment, whether it be R, G, B, or A (in RGBA mode) or a color index (in color index mode), or the  $s$ ,  $t$ , or  $r$  texture coordinate (the depth value, window  $z$ , must be found using equation 3.3, below), is found as

$$f = \frac{(1-t)f_a/w_a + tf_b/w_b}{(1-t)\alpha_a/w_a + t\alpha_b/w_b} \quad (3.2)$$

where  $f_a$  and  $f_b$  are the data associated with the starting and ending endpoints of the segment, respectively;  $w_a$  and  $w_b$  are the clip  $w$  coordinates of the starting and ending endpoints of the segments, respectively.  $\alpha_a = \alpha_b = 1$  for all data except texture coordinates, in which case  $\alpha_a = q_a$  and  $\alpha_b = q_b$  ( $q_a$  and  $q_b$  are the homogeneous texture coordinates at the starting and ending endpoints of the segment; results are undefined if either of these is less than or equal to 0). Note that linear interpolation would use

$$f = (1-t)f_a/\alpha_a + tf_b/\alpha_b. \quad (3.3)$$

The reason that this formula is incorrect (except for the depth value) is that it interpolates a datum in window space, which may be distorted by perspective. What is actually desired is to find the corresponding value when interpolated in clip space, which equation 3.2 does. A GL implementation may choose to approximate equation 3.2 with 3.3, but this will normally lead to unacceptable distortion effects when interpolating texture coordinates.

### 3.4.2 Other Line Segment Features

We have just described the rasterization of non-antialiased line segments of width one using the default line stipple of  $FFFF_{16}$ . We now describe the rasterization of line segments for general values of the line segment rasterization parameters.

#### Line Stipple

The command

```
void LineStipple( int factor, ushort pattern );
```

defines a *line stipple*. *pattern* is an unsigned short integer. The *line stipple* is taken from the lowest order 16 bits of *pattern*. It determines those fragments that are to be drawn when the line is rasterized. *factor* is a count that is used to modify the effective line stipple by causing each bit in *line stipple* to be used *factor* times. *factor* is clamped to the range [1, 256]. Line stippling may be enabled or disabled using **Enable** or **Disable** with the constant `LINE_STIPPLE`. When disabled, it is as if the line stipple has its default value.

Line stippling masks certain fragments that are produced by rasterization so that they are not sent to the per-fragment stage of the GL. The masking is achieved using three parameters: the 16-bit line stipple *p*, the line repeat count *r*, and an integer stipple counter *s*. Let

$$b = \lfloor s/r \rfloor \bmod 16,$$

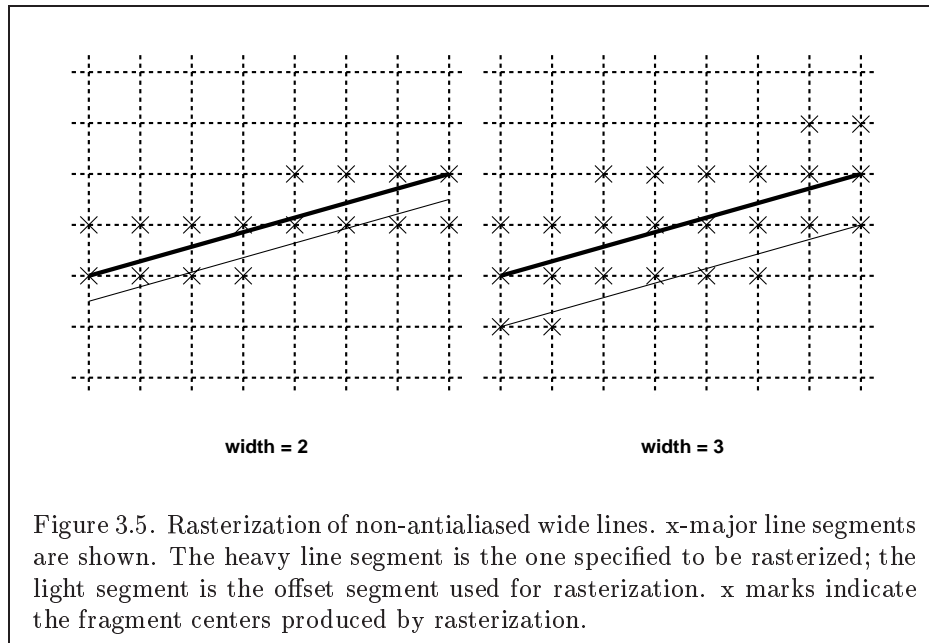
Then a fragment is produced if the *b*th bit of *p* is 1, and not produced otherwise. The bits of *p* are numbered with 0 being the least significant and 15 being the most significant. The initial value of *s* is zero; *s* is incremented after production of each fragment of a line segment (fragments are produced in order, beginning at the starting point and working towards the ending point). *s* is reset to 0 whenever a **Begin** occurs, and before every line segment in a group of independent segments (as specified when **Begin** is invoked with `LINES`).

If the line segment has been clipped, then the value of *s* at the beginning of the line segment is indeterminate.

### Wide Lines

The actual width of non-antialiased lines is determined by rounding the supplied width to the nearest integer, then clamping it to the implementation-dependent maximum non-antialiased line width. This implementation-dependent value must be no less than the implementation-dependent maximum antialiased line width, rounded to the nearest integer value, and in any event no less than 1. If rounding the specified width results in the value 0, then it is as if the value were 1.

Non-antialiased line segments of width other than one are rasterized by offsetting them in the minor direction (for an *x*-major line, the minor direction is *y*, and for a *y*-major line, the minor direction is *x*) and replicating fragments in the minor direction (see figure 3.5). Let *w* be the width rounded to the nearest integer (if *w* = 0, then it is as if *w* = 1). If the line segment has endpoints given by (*x*<sub>0</sub>, *y*<sub>0</sub>) and (*x*<sub>1</sub>, *y*<sub>1</sub>) in window coordinates, the segment with endpoints (*x*<sub>0</sub>, *y*<sub>0</sub> - (*w* - 1)/2) and (*x*<sub>1</sub>, *y*<sub>1</sub> - (*w* - 1)/2) is rasterized, but



instead of a single fragment, a column of fragments of height  $w$  (a row of fragments of length  $w$  for a  $y$ -major segment) is produced at each  $x$  ( $y$  for  $y$ -major) location. The lowest fragment of this column is the fragment that would be produced by rasterizing the segment of width 1 with the modified coordinates. The whole column is not produced if the stipple bit for the column's  $x$  location is zero; otherwise, the whole column is produced.

### Antialiasing

Rasterized antialiased line segments produce fragments whose fragment squares intersect a rectangle centered on the line segment. Two of the edges are parallel to the specified line segment; each is at a distance of one-half the current width from that segment: one above the segment and one below it. The other two edges pass through the line endpoints and are perpendicular to the direction of the specified line segment. Coverage values are computed for each fragment by computing the area of the intersection of the rectangle with the fragment square (see figure 3.6; see also section 3.2). Equation 3.2 is used to compute associated data values just as with non-antialiased lines; equation 3.1 is used to find the value of  $t$  for each fragment whose square is intersected by the line segment's rectangle. Not all widths need be sup-

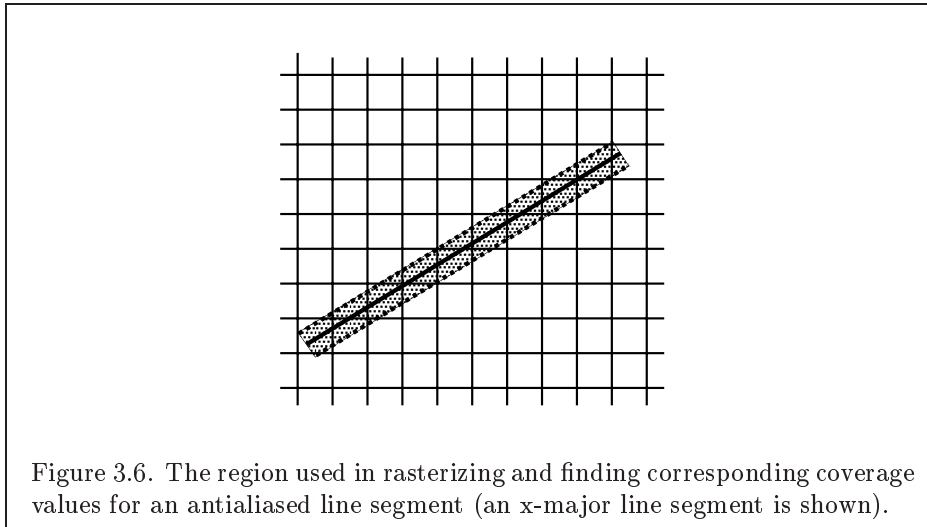


Figure 3.6. The region used in rasterizing and finding corresponding coverage values for an antialiased line segment (an x-major line segment is shown).

ported for line segment antialiasing, but width 1.0 antialiased segments must be provided. As with the point width, a GL implementation may be queried for the range and number of gradations of available antialiased line widths.

For purposes of antialiasing, a stippled line is considered to be a sequence of contiguous rectangles centered on the line segment. Each rectangle has width equal to the current line width and length equal to 1 pixel (except the last, which may be shorter). These rectangles are numbered from 0 to  $n$ , starting with the rectangle incident on the starting endpoint of the segment. Each of these rectangles is either eliminated or produced according to the procedure given under **Line Stipple**, above, where “fragment” is replaced with “rectangle.” Each rectangle so produced is rasterized as if it were an antialiased polygon, described below (but culling, non-default settings of **PolygonMode**, and polygon stippling are not applied).

### 3.4.3 Line Rasterization State

The state required for line rasterization consists of the floating-point line width, a 16-bit line stipple, the line stipple repeat count, a bit indicating whether stippling is enabled or disabled, and a bit indicating whether line antialiasing is on or off. In addition, during rasterization, an integer stipple counter must be maintained to implement line stippling. The initial value of the line width is 1.0. The initial value of the line stipple is  $FFF_{16}$  (a stipple of all ones). The initial value of the line stipple repeat count is one.

The initial state of line stippling is disabled. The initial state of line segment antialiasing is disabled.

## 3.5 Polygons

A polygon results from a polygon **Begin/End** object, a triangle resulting from a triangle strip, triangle fan, or series of separate triangles, or a quadrilateral arising from a quadrilateral strip, series of separate quadrilaterals, or a **Rect** command. Like points and line segments, polygon rasterization is controlled by several variables. Polygon antialiasing is controlled with **Enable** and **Disable** with the symbolic constant **POLYGON\_SMOOTH**. The analog to line segment stippling for polygons is polygon stippling, described below.

### 3.5.1 Basic Polygon Rasterization

The first step of polygon rasterization is to determine if the polygon is *back facing* or *front facing*. This determination is made by examining the sign of the area computed by equation 2.7 of section 2.13.1 (including the possible reversal of this sign as indicated by the last call to **FrontFace**). If this sign is positive, the polygon is frontfacing; otherwise, it is back facing. This determination is used in conjunction with the **CullFace** enable bit and mode value to decide whether or not a particular polygon is rasterized. The **CullFace** mode is set by calling

```
void CullFace( enum mode );
```

*mode* is a symbolic constant: one of **FRONT**, **BACK** or **FRONT\_AND\_BACK**. Culling is enabled or disabled with **Enable** or **Disable** using the symbolic constant **CULL\_FACE**. Front facing polygons are rasterized if either culling is disabled or the **CullFace** mode is **BACK** while back facing polygons are rasterized only if either culling is disabled or the **CullFace** mode is **FRONT**. The initial setting of the **CullFace** mode is **BACK**. Initially, culling is disabled.

The rule for determining which fragments are produced by polygon rasterization is called *point sampling*. The two-dimensional projection obtained by taking the *x* and *y* window coordinates of the polygon's vertices is formed. Fragment centers that lie inside of this polygon are produced by rasterization. Special treatment is given to a fragment whose center lies on a polygon boundary edge. In such a case we require that if two polygons lie on either side of a common edge (with identical endpoints) on which a fragment center lies, then exactly one of the polygons results in the production of the fragment during rasterization.

As for the data associated with each fragment produced by rasterizing a polygon, we begin by specifying how these values are produced for fragments in a triangle. Define *barycentric coordinates* for a triangle. Barycentric coordinates are a set of three numbers,  $a$ ,  $b$ , and  $c$ , each in the range  $[0, 1]$ , with  $a + b + c = 1$ . These coordinates uniquely specify any point  $p$  within the triangle or on the triangle's boundary as

$$p = ap_a + bp_b + cp_c,$$

where  $p_a$ ,  $p_b$ , and  $p_c$  are the vertices of the triangle.  $a$ ,  $b$ , and  $c$  can be found as

$$a = \frac{A(pp_b p_c)}{A(p_a p_b p_c)}, \quad b = \frac{A(pp_a p_c)}{A(p_a p_b p_c)}, \quad c = \frac{A(pp_a p_b)}{A(p_a p_b p_c)},$$

where  $A(lmn)$  denotes the area in window coordinates of the triangle with vertices  $l$ ,  $m$ , and  $n$ .

Denote a datum at  $p_a$ ,  $p_b$ , or  $p_c$  as  $f_a$ ,  $f_b$ , or  $f_c$ , respectively. Then the value  $f$  of a datum at a fragment produced by rasterizing a triangle is given by

$$f = \frac{af_a/w_a + bf_b/w_b + cf_c/w_c}{a\alpha_a/w_a + b\alpha_b/w_b + c\alpha_c/w_c} \quad (3.4)$$

where  $w_a$ ,  $w_b$  and  $w_c$  are the clip  $w$  coordinates of  $p_a$ ,  $p_b$ , and  $p_c$ , respectively.  $a$ ,  $b$ , and  $c$  are the barycentric coordinates of the fragment for which the data are produced.  $\alpha_a = \alpha_b = \alpha_c = 1$  except for texture  $s$ ,  $t$ , and  $r$  coordinates, for which  $\alpha_a = q_a$ ,  $\alpha_b = q_b$ , and  $\alpha_c = q_c$  (if any of  $q_a$ ,  $q_b$ , or  $q_c$  are less than or equal to zero, results are undefined).  $a$ ,  $b$ , and  $c$  must correspond precisely to the exact coordinates of the center of the fragment. Another way of saying this is that the data associated with a fragment must be sampled at the fragment's center.

Just as with line segment rasterization, equation 3.4 may be approximated by

$$f = af_a/\alpha_a + bf_b/\alpha_b + cf_c/\alpha_c;$$

this may yield acceptable results for color values (it *must* be used for depth values), but will normally lead to unacceptable distortion effects if used for texture coordinates.

For a polygon with more than three edges, we require only that a convex combination of the values of the datum at the polygon's vertices can be used to obtain the value assigned to each fragment produced by the rasterization

algorithm. That is, it must be the case that at every fragment

$$f = \sum_{i=1}^n a_i f_i$$

where  $n$  is the number of vertices in the polygon,  $f_i$  is the value of the  $f$  at vertex  $i$ ; for each  $i$   $0 \leq a_i \leq 1$  and  $\sum_{i=1}^n a_i = 1$ . The values of the  $a_i$  may differ from fragment to fragment, but at vertex  $i$ ,  $a_j = 0, j \neq i$  and  $a_i = 1$ .

One algorithm that achieves the required behavior is to triangulate a polygon (without adding any vertices) and then treat each triangle individually as already discussed. A scan-line rasterizer that linearly interpolates data along each edge and then linearly interpolates data across each horizontal span from edge to edge also satisfies the restrictions (in this case, the numerator and denominator of equation 3.4 should be iterated independently and a division performed for each fragment).

### 3.5.2 Stippling

Polygon stippling works much the same way as line stippling, masking out certain fragments produced by rasterization so that they are not sent to the next stage of the GL. This is the case regardless of the state of polygon antialiasing. Stippling is controlled with

```
void PolygonStipple( ubyte *pattern );
```

*pattern* is a pointer to memory into which a  $32 \times 32$  pattern is packed. The pattern is unpacked from memory according to the procedure given in section 3.6.4 for **DrawPixels**; it is as if the *height* and *width* passed to that command were both equal to 32, the *type* were BITMAP, and the *format* were COLOR\_INDEX. The unpacked values (before any conversion or arithmetic would have been performed) form a stipple pattern of zeros and ones.

If  $x_w$  and  $y_w$  are the window coordinates of a rasterized polygon fragment, then that fragment is sent to the next stage of the GL if and only if the bit of the pattern ( $x_w \bmod 32, y_w \bmod 32$ ) is 1.

Polygon stippling may be enabled or disabled with **Enable** or **Disable** using the constant POLYGON\_STIPPLE. When disabled, it is as if the stipple pattern were all ones.

### 3.5.3 Antialiasing

Polygon antialiasing rasterizes a polygon by producing a fragment wherever the interior of the polygon intersects that fragment's square. A coverage



value is computed at each such fragment, and this value is saved to be applied as described in section 3.11. An associated datum is assigned to a fragment by integrating the datum's value over the region of the intersection of the fragment square with the polygon's interior and dividing this integrated value by the area of the intersection. For a fragment square lying entirely within the polygon, the value of a datum at the fragment's center may be used instead of integrating the value across the fragment.

Polygon stippling operates in the same way whether polygon antialiasing is enabled or not. The polygon point sampling rule defined in section 3.5.1, however, is not enforced for antialiased polygons.

#### 3.5.4 Options Controlling Polygon Rasterization

The interpretation of polygons for rasterization is controlled using

```
void PolygonMode( enum face, enum mode );
```

*face* is one of **FRONT**, **BACK**, or **FRONT\_AND\_BACK**, indicating that the rasterizing method described by *mode* replaces the rasterizing method for front facing polygons, back facing polygons, or both front and back facing polygons, respectively. *mode* is one of the symbolic constants **POINT**, **LINE**, or **FILL**. Calling **PolygonMode** with **POINT** causes certain vertices of a polygon to be treated, for rasterization purposes, just as if they were enclosed within a **Begin(POINT)** and **End** pair. The vertices selected for this treatment are those that have been tagged as having a polygon boundary edge beginning on them (see section 2.6.2). **LINE** causes edges that are tagged as boundary to be rasterized as line segments. (The line stipple counter is reset at the beginning of the first rasterized edge of the polygon, but not for subsequent edges.) **FILL** is the default mode of polygon rasterization, corresponding to the description in sections 3.5.1, 3.5.2, and 3.5.3. Note that these modes affect only the final rasterization of polygons: in particular, a polygon's vertices are lit, and the polygon is clipped and possibly culled before these modes are applied.

Polygon antialiasing applies only to the **FILL** state of **PolygonMode**. For **POINT** or **LINE**, point antialiasing or line segment antialiasing, respectively, apply.

#### 3.5.5 Depth Offset

The depth values of all fragments generated by the rasterization of a polygon may be offset by a single value that is computed for that polygon. The

function that determines this value is specified by calling

```
void PolygonOffset( float factor, float units );
```

*factor* scales the maximum depth slope of the polygon, and *units* scales an implementation dependent constant that relates to the usable resolution of the depth buffer. The resulting values are summed to produce the polygon offset value. Both *factor* and *units* may be either positive or negative.

The maximum depth slope  $m$  of a triangle is

$$m = \sqrt{\left(\frac{\partial z_w}{\partial x_w}\right)^2 + \left(\frac{\partial z_w}{\partial y_w}\right)^2} \quad (3.5)$$

where  $(x_w, y_w, z_w)$  is a point on the triangle.  $m$  may be approximated as

$$m = \max \left\{ \left| \frac{\partial z_w}{\partial x_w} \right|, \left| \frac{\partial z_w}{\partial y_w} \right| \right\}. \quad (3.6)$$

If the polygon has more than three vertices, one or more values of  $m$  may be used during rasterization. Each may take any value in the range  $[min, max]$ , where  $min$  and  $max$  are the smallest and largest values obtained by evaluating Equation 3.5 or Equation 3.6 for the triangles formed by all three-vertex combinations.

The minimum resolvable difference  $r$  is an implementation constant. It is the smallest difference in window coordinate  $z$  values that is guaranteed to remain distinct throughout polygon rasterization and in the depth buffer. All pairs of fragments generated by the rasterization of two polygons with otherwise identical vertices, but  $z_w$  values that differ by  $r$ , will have distinct depth values.

The offset value  $o$  for a polygon is

$$o = m * factor + r * units. \quad (3.7)$$

$m$  is computed as described above, as a function of depth values in the range  $[0,1]$ , and  $o$  is applied to depth values in the same range.

Boolean state values `POLYGON_OFFSET_POINT`, `POLYGON_OFFSET_LINE`, and `POLYGON_OFFSET_FILL` determine whether  $o$  is applied during the rasterization of polygons in `POINT`, `LINE`, and `FILL` modes. These boolean state values are enabled and disabled as argument values to the commands **Enable** and **Disable**. If `POLYGON_OFFSET_POINT` is enabled,  $o$  is added to the depth value of each fragment produced by the rasterization of a polygon in `POINT` mode. Likewise, if `POLYGON_OFFSET_LINE` or `POLYGON_OFFSET_FILL` is enabled,  $o$

is added to the depth value of each fragment produced by the rasterization of a polygon in **LINE** or **FILL** modes, respectively.

Fragment depth values are always limited to the range [0,1], either by clamping after offset addition is performed (preferred), or by clamping the vertex values used in the rasterization of the polygon.

### 3.5.6 Polygon Rasterization State

The state required for polygon rasterization consists of a polygon stipple pattern, whether stippling is enabled or disabled, the current state of polygon antialiasing (enabled or disabled), the current values of the **PolygonMode** setting for each of front and back facing polygons, whether point, line, and fill mode polygon offsets are enabled or disabled, and the factor and bias values of the polygon offset equation. The initial stipple pattern is all ones; initially stippling is disabled. The initial setting of polygon antialiasing is disabled. The initial state for **PolygonMode** is **FILL** for both front and back facing polygons. The initial polygon offset factor and bias values are both 0; initially polygon offset is disabled for all modes.

## 3.6 Pixel Rectangles

Rectangles of color, depth, and certain other values may be converted to fragments using the **DrawPixels** command (described in section 3.6.4). Some of the parameters and operations governing the operation of **DrawPixels** are shared by **ReadPixels** (used to obtain pixel values from the framebuffer) and **CopyPixels** (used to copy pixels from one framebuffer location to another); the discussion of **ReadPixels** and **CopyPixels**, however, is deferred until Chapter 4 after the framebuffer has been discussed in detail. Nevertheless, we note in this section when parameters and state pertaining to **DrawPixels** also pertain to **ReadPixels** or **CopyPixels**.

A number of parameters control the encoding of pixels in client memory (for reading and writing) and how pixels are processed before being placed in or after being read from the framebuffer (for reading, writing, and copying). These parameters are set with three commands: **PixelStore**, **PixelTransfer**, and **PixelMap**.

### 3.6.1 Pixel Storage Modes

Pixel storage modes affect the operation of **DrawPixels** and **ReadPixels** (as well as other commands; see sections 3.5.2, 3.7, and 3.8) when one of

Parameter Name	Type	Initial Value	Valid Range
UNPACK_SWAP_BYTES	boolean	FALSE	TRUE/FALSE
UNPACK_LSB_FIRST	boolean	FALSE	TRUE/FALSE
UNPACK_ROW_LENGTH	integer	0	[0, ∞)
UNPACK_SKIP_ROWS	integer	0	[0, ∞)
UNPACK_SKIP_PIXELS	integer	0	[0, ∞)
UNPACK_ALIGNMENT	integer	4	1,2,4,8
UNPACK_IMAGE_HEIGHT	integer	0	[0, ∞)
UNPACK_SKIP_IMAGES	integer	0	[0, ∞)

Table 3.1: **PixelStore** parameters pertaining to one or more of **DrawPixels**, **TexImage1D**, **TexImage2D**, and **TexImage3D**.

these commands is issued. This may differ from the time that the command is executed if the command is placed in a display list (see section 5.4). Pixel storage modes are set with

```
void PixelStore{if}( enum pname, T param );
```

*pname* is a symbolic constant indicating a parameter to be set, and *param* is the value to set it to. Table 3.1 summarizes the pixel storage parameters, their types, their initial values, and their allowable ranges. Setting a parameter to a value outside the given range results in the error `INVALID_VALUE`.

The version of **PixelStore** that takes a floating-point value may be used to set any type of parameter; if the parameter is boolean, then it is set to `FALSE` if the passed value is 0.0 and `TRUE` otherwise, while if the parameter is an integer, then the passed value is rounded to the nearest integer. The integer version of the command may also be used to set any type of parameter; if the parameter is boolean, then it is set to `FALSE` if the passed value is 0 and `TRUE` otherwise, while if the parameter is a floating-point value, then the passed value is converted to floating-point.

### 3.6.2 The Imaging Subset

Some pixel transfer and per-fragment operations are only made available in GL implementations which incorporate the optional *imaging subset*. The imaging subset includes both new commands, and new enumerants allowed as parameters to existing commands. If the subset is supported, *all* of these

calls and enumerants must be implemented as described later in the GL specification. If the subset is not supported, calling any of the new commands generates the error `INVALID_OPERATION`, and using any of the new enumerants generates the error `INVALID_ENUM`.

The individual operations available only in the imaging subset are described in section 3.6.3, except for blending features, which are described in chapter 4. Imaging subset operations include:

1. Color tables, including all commands and enumerants described in subsections **Color Table Specification**, **Alternate Color Table Specification Commands**, **Color Table State and Proxy State**, **Color Table Lookup**, **Post Convolution Color Table Lookup**, and **Post Color Matrix Color Table Lookup**, as well as the query commands described in section 6.1.7.
2. Convolution, including all commands and enumerants described in subsections **Convolution Filter Specification**, **Alternate Convolution Filter Specification Commands**, and **Convolution**, as well as the query commands described in section 6.1.8.
3. Color matrix, including all commands and enumerants described in subsections **Color Matrix Specification** and **Color Matrix Transformation**, as well as the simple query commands described in section 6.1.6.
4. Histogram and minmax, including all commands and enumerants described in subsections **Histogram Table Specification**, **Histogram State and Proxy State**, **Histogram**, **Minmax Table Specification**, and **Minmax**, as well as the query commands described in section 6.1.9 and section 6.1.10.
5. The subset of blending features described by **BlendEquation**, **BlendColor**, and the **BlendFunc** *modes* `CONSTANT_COLOR`, `ONE_MINUS_CONSTANT_COLOR`, `CONSTANT_ALPHA`, and `ONE_MINUS_CONSTANT_ALPHA`. These are described separately in section 4.1.6.

The imaging subset is supported only if the `EXTENSIONS` string includes the substring `"ARB_imaging"`. Querying `EXTENSIONS` is described in section 6.1.11.

If the imaging subset is not supported, the related pixel transfer operations are not performed; pixels are passed unchanged to the next operation.

Parameter Name	Type	Initial Value	Valid Range
MAP_COLOR	boolean	FALSE	TRUE/FALSE
MAP_STENCIL	boolean	FALSE	TRUE/FALSE
INDEX_SHIFT	integer	0	$(-\infty, \infty)$
INDEX_OFFSET	integer	0	$(-\infty, \infty)$
<i>x</i> _SCALE	float	1.0	$(-\infty, \infty)$
DEPTH_SCALE	float	1.0	$(-\infty, \infty)$
<i>x</i> _BIAS	float	0.0	$(-\infty, \infty)$
DEPTH_BIAS	float	0.0	$(-\infty, \infty)$
POST_CONVOLUTION_ <i>x</i> _SCALE	float	1.0	$(-\infty, \infty)$
POST_CONVOLUTION_ <i>x</i> _BIAS	float	0.0	$(-\infty, \infty)$
POST_COLOR_MATRIX_ <i>x</i> _SCALE	float	1.0	$(-\infty, \infty)$
POST_COLOR_MATRIX_ <i>x</i> _BIAS	float	0.0	$(-\infty, \infty)$

Table 3.2: **PixelTransfer** parameters. *x* is RED, GREEN, BLUE, or ALPHA.

### 3.6.3 Pixel Transfer Modes

Pixel transfer modes affect the operation of **DrawPixels** (section 3.6.4), **ReadPixels** (section 4.3.2), and **CopyPixels** (section 4.3.3) at the time when one of these commands is executed (which may differ from the time the command is issued). Some pixel transfer modes are set with

```
void PixelTransfer{if}( enum param, T value );
```

*param* is a symbolic constant indicating a parameter to be set, and *value* is the value to set it to. Table 3.2 summarizes the pixel transfer parameters that are set with **PixelTransfer**, their types, their initial values, and their allowable ranges. Setting a parameter to a value outside the given range results in the error **INVALID\_VALUE**. The same versions of the command exist as for **PixelStore**, and the same rules apply to accepting and converting passed values to set parameters.

The pixel map lookup tables are set with

```
void PixelMap{ui us f}v( enum map, sizei size, T values );
```

*map* is a symbolic map name, indicating the map to set, *size* indicates the size of the map, and *values* is a pointer to an array of *size* map values.

Map Name	Address	Value	Init. Size	Init. Value
PIXEL_MAP_I_TO_I	color idx	color idx	1	0.0
PIXEL_MAP_S_TO_S	stencil idx	stencil idx	1	0
PIXEL_MAP_I_TO_R	color idx	R	1	0.0
PIXEL_MAP_I_TO_G	color idx	G	1	0.0
PIXEL_MAP_I_TO_B	color idx	B	1	0.0
PIXEL_MAP_I_TO_A	color idx	A	1	0.0
PIXEL_MAP_R_TO_R	R	R	1	0.0
PIXEL_MAP_G_TO_G	G	G	1	0.0
PIXEL_MAP_B_TO_B	B	B	1	0.0
PIXEL_MAP_A_TO_A	A	A	1	0.0

Table 3.3: **PixelMap** parameters.

The entries of a table may be specified using one of three types: single-precision floating-point, unsigned short integer, or unsigned integer, depending on which of the three versions of **PixelMap** is called. A table entry is converted to the appropriate type when it is specified. An entry giving a color component value is converted according to table 2.6. An entry giving a color index value is converted from an unsigned short integer or unsigned integer to floating-point. An entry giving a stencil index is converted from single-precision floating-point to an integer by rounding to nearest. The various tables and their initial sizes and entries are summarized in table 3.3. A table that takes an index as an address must have  $size = 2^n$  or the error `INVALID_VALUE` results. The maximum allowable  $size$  of each table is specified by the implementation dependent value `MAX_PIXEL_MAP_TABLE`, but must be at least 32 (a single maximum applies to all tables). The error `INVALID_VALUE` is generated if a  $size$  larger than the implemented maximum, or less than one, is given to **PixelMap**.

### Color Table Specification

Color lookup tables are specified with

```
void ColorTable( enum target, enum internalformat,
                sizei width, enum format, enum type, void *data );
```

*target* must be one of the *regular* color table names listed in table 3.4 to define the table. A *proxy* table name is a special case discussed later in

Table Name	Type
COLOR_TABLE POST_CONVOLUTION_COLOR_TABLE POST_COLOR_MATRIX_COLOR_TABLE	regular
PROXY_COLOR_TABLE PROXY_POST_CONVOLUTION_COLOR_TABLE PROXY_POST_COLOR_MATRIX_COLOR_TABLE	proxy

Table 3.4: Color table names. Regular tables have associated image data. Proxy tables have no image data, and are used only to determine if an image can be loaded into the corresponding regular table.

this section. *width*, *format*, *type*, and *data* specify an image in memory with the same meaning and allowed values as the corresponding arguments to **DrawPixels** (see section 3.6.4), with *height* taken to be 1. The maximum allowable *width* of a table is implementation-dependent, but must be at least 32. The *formats* COLOR\_INDEX, DEPTH\_COMPONENT, and STENCIL\_INDEX and the *type* BITMAP are not allowed.

The specified image is taken from memory and processed just as if **DrawPixels** were called, stopping after the final expansion to RGBA. The R, G, B, and A components of each pixel are then scaled by the four COLOR\_TABLE\_SCALE parameters, biased by the four COLOR\_TABLE\_BIAS parameters, and clamped to [0, 1]. These parameters are set by calling **ColorTableParameterfv** as described below.

Components are then selected from the resulting R, G, B, and A values to obtain a table with the *base internal format* specified by (or derived from) *internalformat*, in the same manner as for textures (section 3.8.1). *internalformat* must be one of the formats in table 3.15 or table 3.16.

The color lookup table is redefined to have *width* entries, each with the specified internal format. The table is formed with indices 0 through *width* - 1. Table location *i* is specified by the *i*th image pixel, counting from zero.

The error INVALID\_VALUE is generated if *width* is not zero or a non-negative power of two. The error TABLE\_TOO\_LARGE is generated if the specified color lookup table is too large for the implementation.

The scale and bias parameters for a table are specified by calling

```
void ColorTableParameter{if}v( enum target,
    enum pname, T params );
```



*target* must be a regular color table name. *pname* is one of `COLOR.TABLE.SCALE` or `COLOR.TABLE.BIAS`. *params* points to an array of four values: red, green, blue, and alpha, in that order.

A GL implementation may vary its allocation of internal component resolution based on any `ColorTable` parameter, but the allocation must not be a function of any other factor, and cannot be changed once it is established. Allocations must be invariant; the same allocation must be made each time a color table is specified with the same parameter values. These allocation rules also apply to proxy color tables, which are described later in this section.

### Alternate Color Table Specification Commands

Color tables may also be specified using image data taken directly from the framebuffer, and portions of existing tables may be respecified.

The command

```
void CopyColorTable( enum target, enum internalformat,
                    int x, int y, sizei width );
```

defines a color table in exactly the manner of `ColorTable`, except that table data are taken from the framebuffer, rather than from client memory. *target* must be a regular color table name. *x*, *y*, and *width* correspond precisely to the corresponding arguments of `CopyPixels` (refer to section 4.3.3); they specify the image's *width* and the lower left (*x*, *y*) coordinates of the framebuffer region to be copied. The image is taken from the framebuffer exactly as if these arguments were passed to `CopyPixels` with argument *type* set to `COLOR` and *height* set to 1, stopping after the final expansion to RGBA.

Subsequent processing is identical to that described for `ColorTable`, beginning with scaling by `COLOR.TABLE.SCALE`. Parameters *target*, *internalformat* and *width* are specified using the same values, with the same meanings, as the equivalent arguments of `ColorTable`. *format* is taken to be RGBA.

Two additional commands,

```
void ColorSubTable( enum target, sizei start,
                  sizei count, enum format, enum type, void *data );
void CopyColorSubTable( enum target, sizei start,
                      int x, int y, sizei count );
```

respecify only a portion of an existing color table. No change is made to the *internalformat* or *width* parameters of the specified color table, nor is any

change made to table entries outside the specified portion. *target* must be a regular color table name.

**ColorSubTable** arguments *format*, *type*, and *data* match the corresponding arguments to **ColorTable**, meaning that they are specified using the same values, and have the same meanings. Likewise, **CopyColorSubTable** arguments *x*, *y*, and *count* match the *x*, *y*, and *width* arguments of **CopyColorTable**. Both of the **ColorSubTable** commands interpret and process pixel groups in exactly the manner of their **ColorTable** counterparts, except that the assignment of R, G, B, and A pixel group values to the color table components is controlled by the *internalformat* of the table, not by an argument to the command.

Arguments *start* and *count* of **ColorSubTable** and **CopyColorSubTable** specify a subregion of the color table starting at index *start* and ending at index  $start + count - 1$ . Counting from zero, the *n*th pixel group is assigned to the table entry with index  $count + n$ . The error `INVALID_VALUE` is generated if  $start + count > width$ .

### Color Table State and Proxy State

The state necessary for color tables can be divided into two categories. For each of the three tables, there is an array of values. Each array has associated with it a width, an integer describing the internal format of the table, six integer values describing the resolutions of each of the red, green, blue, alpha, luminance, and intensity components of the table, and two groups of four floating-point numbers to store the table scale and bias. Each initial array is null (zero width, internal format `RGBA`, with zero-sized components). The initial value of the scale parameters is (1,1,1,1) and the initial value of the bias parameters is (0,0,0,0).

In addition to the color lookup tables, partially instantiated proxy color lookup tables are maintained. Each proxy table includes width and internal format state values, as well as state for the red, green, blue, alpha, luminance, and intensity component resolutions. Proxy tables do not include image data, nor do they include scale and bias parameters. When **ColorTable** is executed with *target* specified as one of the proxy color table names listed in table 3.4, the proxy state values of the table are recomputed and updated. If the table is too large, no error is generated, but the proxy format, width and component resolutions are set to zero. If the color table would be accommodated by **ColorTable** called with *target* set to the corresponding regular table name (`COLOR_TABLE` is the regular name corresponding to `PROXY_COLOR_TABLE`, for example), the proxy state values are set exactly as

though the regular table were being specified. Calling **ColorTable** with a proxy *target* has no effect on the image or state of any actual color table.

There is no image associated with any of the proxy targets. They cannot be used as color tables, and they must never be queried using **GetColorTable**. The error `INVALID_ENUM` is generated if this is attempted.

### Convolution Filter Specification

A two-dimensional convolution filter image is specified by calling

```
void ConvolutionFilter2D( enum target,
                          enum internalformat, sizei width, sizei height,
                          enum format, enum type, void *data );
```

*target* must be `CONVOLUTION_2D`. *width*, *height*, *format*, *type*, and *data* specify an image in memory with the same meaning and allowed values as the corresponding parameters to **DrawPixels**. The *formats* `COLOR_INDEX`, `DEPTH_COMPONENT`, and `STENCIL_INDEX` and the *type* `BITMAP` are not allowed.

The specified image is extracted from memory and processed just as if **DrawPixels** were called, stopping after the final expansion to RGBA. The R, G, B, and A components of each pixel are then scaled by the four two-dimensional `CONVOLUTION_FILTER_SCALE` parameters and biased by the four two-dimensional `CONVOLUTION_FILTER_BIAS` parameters. These parameters are set by calling **ConvolutionParameterfv** as described below. No clamping takes place at any time during this process.

Components are then selected from the resulting R, G, B, and A values to obtain a table with the *base internal format* specified by (or derived from) *internalformat*, in the same manner as for textures (section 3.8.1). *internalformat* must be one of the formats in table 3.15 or table 3.16.

The red, green, blue, alpha, luminance, and/or intensity components of the pixels are stored in floating point, rather than integer format. They form a two-dimensional image indexed with coordinates *i, j* such that *i* increases from left to right, starting at zero, and *j* increases from bottom to top, also starting at zero. Image location *i, j* is specified by the *N*th pixel, counting from zero, where

$$N = i + j * width$$

The error `INVALID_VALUE` is generated if *width* or *height* is greater than the maximum supported value. These values are queried with **GetConvolutionParameteriv**, setting *target* to `CONVOLUTION_2D` and *pname* to `MAX_CONVOLUTION_WIDTH` or `MAX_CONVOLUTION_HEIGHT`, respectively.

The scale and bias parameters for a two-dimensional filter are specified by calling

```
void ConvolutionParameter{if}v( enum target,
                               enum pname, T params );
```

with *target* CONVOLUTION\_2D. *pname* is one of CONVOLUTION\_FILTER\_SCALE or CONVOLUTION\_FILTER\_BIAS. *params* points to an array of four values: red, green, blue, and alpha, in that order.

A one-dimensional convolution filter is defined using

```
void ConvolutionFilter1D( enum target,
                        enum internalformat, sizei width, enum format,
                        enum type, void *data );
```

*target* must be CONVOLUTION\_1D. *internalformat*, *width*, *format*, and *type* have identical semantics and accept the same values as do their two-dimensional counterparts. *data* must point to a one-dimensional image, however.

The image is extracted from memory and processed as if **ConvolutionFilter2D** were called with a *height* of 1, except that it is scaled and biased by the one-dimensional CONVOLUTION\_FILTER\_SCALE and CONVOLUTION\_FILTER\_BIAS parameters. These parameters are specified exactly as the two-dimensional parameters, except that **ConvolutionParameterfv** is called with *target* CONVOLUTION\_1D.

The image is formed with coordinates *i* such that *i* increases from left to right, starting at zero. Image location *i* is specified by the *i*th pixel, counting from zero.

The error INVALID\_VALUE is generated if *width* is greater than the maximum supported value. This value is queried using **GetConvolutionParameteriv**, setting *target* to CONVOLUTION\_1D and *pname* to MAX\_CONVOLUTION\_WIDTH.

Special facilities are provided for the definition of two-dimensional *separable* filters – filters whose image can be represented as the product of two one-dimensional images, rather than as full two-dimensional images. A two-dimensional separable convolution filter is specified with

```
void SeparableFilter2D( enum target, enum internalformat,
                      sizei width, sizei height, enum format, enum type,
                      void *row, void *column );
```

*target* must be `SEPARABLE_2D`. *internalformat* specifies the formats of the table entries of the two one-dimensional images that will be retained. *row* points to a *width* pixel wide image of the specified *format* and *type*. *column* points to a *height* pixel high image, also of the specified *format* and *type*.

The two images are extracted from memory and processed as if `ConvolutionFilter1D` were called separately for each, except that each image is scaled and biased by the two-dimensional separable `CONVOLUTION_FILTER_SCALE` and `CONVOLUTION_FILTER_BIAS` parameters. These parameters are specified exactly as the one-dimensional and two-dimensional parameters, except that `ConvolutionParameteriv` is called with *target* `SEPARABLE_2D`.

### Alternate Convolution Filter Specification Commands

One and two-dimensional filters may also be specified using image data taken directly from the framebuffer.

The command

```
void CopyConvolutionFilter2D( enum target,
                             enum internalformat, int x, int y, sizei width,
                             sizei height );
```

defines a two-dimensional filter in exactly the manner of `ConvolutionFilter2D`, except that image data are taken from the framebuffer, rather than from client memory. *target* must be `CONVOLUTION_2D`. *x*, *y*, *width*, and *height* correspond precisely to the corresponding arguments of `CopyPixels` (refer to section 4.3.3); they specify the image's *width* and *height*, and the lower left (*x*, *y*) coordinates of the framebuffer region to be copied. The image is taken from the framebuffer exactly as if these arguments were passed to `CopyPixels` with argument *type* set to `COLOR`, stopping after the final expansion to `RGBA`.

Subsequent processing is identical to that described for `ConvolutionFilter2D`, beginning with scaling by `CONVOLUTION_FILTER_SCALE`. Parameters *target*, *internalformat*, *width*, and *height* are specified using the same values, with the same meanings, as the equivalent arguments of `ConvolutionFilter2D`. *format* is taken to be `RGBA`.

The command

```
void CopyConvolutionFilter1D( enum target,
                              enum internalformat, int x, int y, sizei width );
```

defines a one-dimensional filter in exactly the manner of **ConvolutionFilter1D**, except that image data are taken from the framebuffer, rather than from client memory. *target* must be `CONVOLUTION_1D`. *x*, *y*, and *width* correspond precisely to the corresponding arguments of **CopyPixels** (refer to section 4.3.3); they specify the image's *width* and the lower left (*x*, *y*) coordinates of the framebuffer region to be copied. The image is taken from the framebuffer exactly as if these arguments were passed to **CopyPixels** with argument *type* set to `COLOR` and *height* set to 1, stopping after the final expansion to `RGBA`.

Subsequent processing is identical to that described for **ConvolutionFilter1D**, beginning with scaling by `CONVOLUTION_FILTER_SCALE`. Parameters *target*, *internalformat*, and *width* are specified using the same values, with the same meanings, as the equivalent arguments of **ConvolutionFilter2D**. *format* is taken to be `RGBA`.

### Convolution Filter State

The required state for convolution filters includes a one-dimensional image array, two one-dimensional image arrays for the separable filter, and a two-dimensional image array. The two-dimensional array has associated with it a height. Each array has associated with it a width, an integer describing the internal format of the table, and six integer values describing the resolutions of each of the red, green, blue, alpha, luminance, and intensity components of the table. Each filter (one-dimensional, two-dimensional, and two-dimensional separable) also has associated with it two groups of four floating-point numbers to store the filter scale and bias.

Each initial convolution filter is null (zero width and height, internal format `RGBA`, with zero-sized components). The initial value of all scale parameters is (1,1,1,1) and the initial value of all bias parameters is (0,0,0,0).

### Color Matrix Specification

Setting the matrix mode to `COLOR` causes the matrix operations described in section 2.10.2 to apply to the top matrix on the color matrix stack. All matrix operations have the same effect on the color matrix as they do on the other matrices.

### Histogram Table Specification

The histogram table is specified with

```
void Histogram( enum target, sizei width,
               enum internalformat, boolean sink );
```

*target* must be `HISTOGRAM` if a histogram table is to be specified. *target* value `PROXY_HISTOGRAM` is a special case discussed later in this section. *width* specifies the number of entries in the histogram table, and *internalformat* specifies the format of each table entry. The maximum allowable *width* of the histogram table is implementation-dependent, but must be at least 32. *sink* specifies whether pixel groups will be consumed by the histogram operation (`TRUE`) or passed on to the minmax operation (`FALSE`).

If no error results from the execution of `Histogram`, the specified histogram table is redefined to have *width* entries, each with the specified internal format. The entries are indexed 0 through *width* - 1. Each component in each entry is set to zero. The values in the previous histogram table, if any, are lost.

The error `INVALID_VALUE` is generated if *width* is not zero or a non-negative power of 2. The error `TABLE_TOO_LARGE` is generated if the specified histogram table is too large for the implementation. The error `INVALID_ENUM` is generated if *internalformat* is not one of the values accepted by the corresponding parameter of `TexImage2D`, or is 1, 2, 3, 4, `INTENSITY`, `INTENSITY4`, `INTENSITY8`, `INTENSITY12`, or `INTENSITY16`.

A GL implementation may vary its allocation of internal component resolution based on any `Histogram` parameter, but the allocation must not be a function of any other factor, and cannot be changed once it is established. In particular, allocations must be invariant; the same allocation must be made each time a histogram is specified with the same parameter values. These allocation rules also apply to the proxy histogram, which is described later in this section.

### Histogram State and Proxy State

The state necessary for histogram operation is an array of values, with which is associated a width, an integer describing the internal format of the histogram, five integer values describing the resolutions of each of the red, green, blue, alpha, and luminance components of the table, and a flag indicating whether or not pixel groups are consumed by the operation. The initial array is null (zero width, internal format `RGBA`, with zero-sized components). The initial value of the flag is false.

In addition to the histogram table, a partially instantiated proxy histogram table is maintained. It includes width, internal format, and red,

green, blue, alpha, and luminance component resolutions. The proxy table does not include image data or the flag. When **Histogram** is executed with *target* set to `PROXY_HISTOGRAM`, the proxy state values are recomputed and updated. If the histogram array is too large, no error is generated, but the proxy format, width, and component resolutions are set to zero. If the histogram table would be accommodated by **Histogram** called with *target* set to `HISTOGRAM`, the proxy state values are set exactly as though the actual histogram table were being specified. Calling **Histogram** with *target* `PROXY_HISTOGRAM` has no effect on the actual histogram table.

There is no image associated with `PROXY_HISTOGRAM`. It cannot be used as a histogram, and its image must never be queried using **GetHistogram**. The error `INVALID_ENUM` results if this is attempted.

### Minmax Table Specification

The minmax table is specified with

```
void Minmax( enum target, enum internalformat,
             boolean sink );
```

*target* must be `MINMAX`. *internalformat* specifies the format of the table entries. *sink* specifies whether pixel groups will be consumed by the minmax operation (`TRUE`) or passed on to final conversion (`FALSE`).

The error `INVALID_ENUM` is generated if *internalformat* is not one of the values accepted by the corresponding parameter of **TexImage2D**, or is 1, 2, 3, 4, `INTENSITY`, `INTENSITY4`, `INTENSITY8`, `INTENSITY12`, or `INTENSITY16`. The resulting table always has 2 entries, each with values corresponding only to the components of the internal format.

The state necessary for minmax operation is a table containing two elements (the first element stores the minimum values, the second stores the maximum values), an integer describing the internal format of the table, and a flag indicating whether or not pixel groups are consumed by the operation. The initial state is a minimum table entry set to the maximum representable value and a maximum table entry set to the minimum representable value. Internal format is set to `RGBA` and the initial value of the flag is false.

### 3.6.4 Rasterization of Pixel Rectangles

The process of drawing pixels encoded in host memory is diagrammed in figure 3.7. We describe the stages of this process in the order in which they occur.



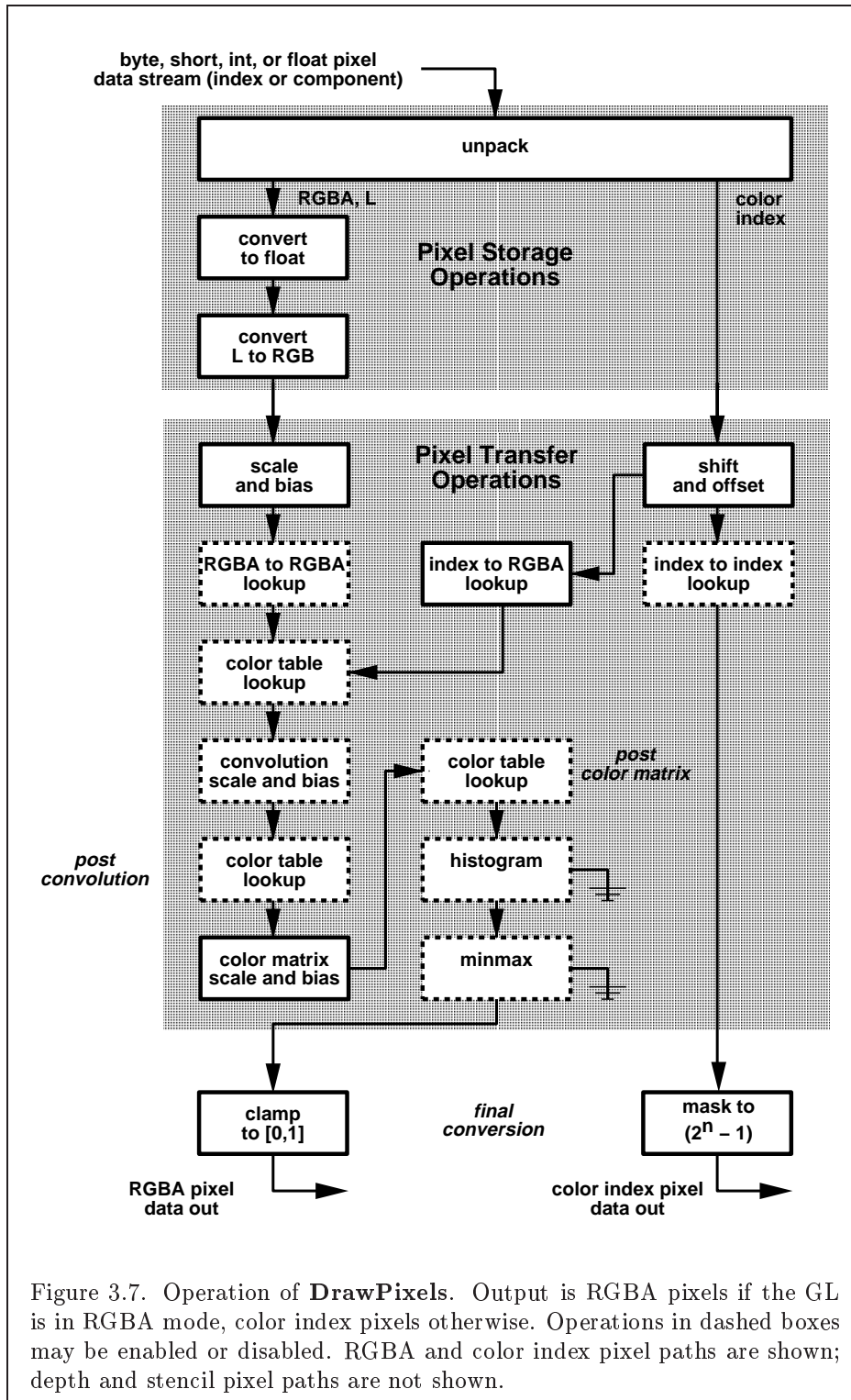


Figure 3.7. Operation of **DrawPixels**. Output is RGBA pixels if the GL is in RGBA mode, color index pixels otherwise. Operations in dashed boxes may be enabled or disabled. RGBA and color index pixel paths are shown; depth and stencil pixel paths are not shown.

Pixels are drawn using

```
void DrawPixels( sizei width, sizei height, enum format,
                enum type, void *data );
```

*format* is a symbolic constant indicating what the values in memory represent. *width* and *height* are the width and height, respectively, of the pixel rectangle to be drawn. *data* is a pointer to the data to be drawn. These data are represented with one of seven GL data types, specified by *type*. The correspondence between the twenty *type* token values and the GL data types they indicate is given in table 3.5. If the GL is in color index mode and *format* is not one of `COLOR_INDEX`, `STENCIL_INDEX`, or `DEPTH_COMPONENT`, then the error `INVALID_OPERATION` occurs. If *type* is `BITMAP` and *format* is not `COLOR_INDEX` or `STENCIL_INDEX` then the error `INVALID_ENUM` occurs. Some additional constraints on the combinations of *format* and *type* values that are accepted is discussed below.

### Unpacking

Data are taken from host memory as a sequence of signed or unsigned bytes (GL data types `byte` and `ubyte`), signed or unsigned short integers (GL data types `short` and `ushort`), signed or unsigned integers (GL data types `int` and `uint`), or floating point values (GL data type `float`). These elements are grouped into sets of one, two, three, or four values, depending on the *format*, to form a group. Table 3.6 summarizes the format of groups obtained from memory; it also indicates those formats that yield indices and those that yield components.

By default the values of each GL data type are interpreted as they would be specified in the language of the client's GL binding. If `UNPACK_SWAP_BYTES` is enabled, however, then the values are interpreted with the bit orderings modified as per table 3.7. The modified bit orderings are defined only if the GL data type `ubyte` has eight bits, and then for each specific GL data type only if that type is represented with 8, 16, or 32 bits.

The groups in memory are treated as being arranged in a rectangle. This rectangle consists of a series of *rows*, with the first element of the first group of the first row pointed to by the pointer passed to `DrawPixels`. If the value of `UNPACK_ROW_LENGTH` is not positive, then the number of groups in a row is *width*; otherwise the number of groups is `UNPACK_ROW_LENGTH`. If *p* indicates the location in memory of the first element of the first row, then the first element of the *N*th row is indicated by

<i>type</i> Parameter Token Name	Corresponding GL Data Type	Special Interpretation
UNSIGNED_BYTE	ubyte	No
BITMAP	ubyte	Yes
BYTE	byte	No
UNSIGNED_SHORT	ushort	No
SHORT	short	No
UNSIGNED_INT	uint	No
INT	int	No
FLOAT	float	No
UNSIGNED_BYTE_3_3_2	ubyte	Yes
UNSIGNED_BYTE_2_3_3_REV	ubyte	Yes
UNSIGNED_SHORT_5_6_5	ushort	Yes
UNSIGNED_SHORT_5_6_5_REV	ushort	Yes
UNSIGNED_SHORT_4_4_4_4	ushort	Yes
UNSIGNED_SHORT_4_4_4_4_REV	ushort	Yes
UNSIGNED_SHORT_5_5_5_1	ushort	Yes
UNSIGNED_SHORT_1_5_5_5_REV	ushort	Yes
UNSIGNED_INT_8_8_8_8	uint	Yes
UNSIGNED_INT_8_8_8_8_REV	uint	Yes
UNSIGNED_INT_10_10_10_2	uint	Yes
UNSIGNED_INT_2_10_10_10_REV	uint	Yes

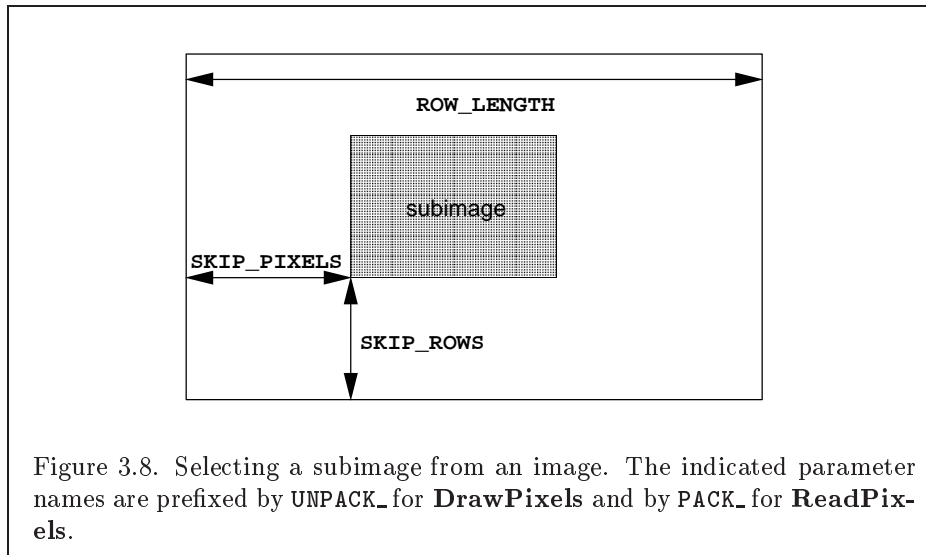
Table 3.5: **DrawPixels** and **ReadPixels** *type* parameter values and the corresponding GL data types. Refer to table 2.2 for definitions of GL data types. Special interpretations are described near the end of section 3.6.4.

Format Name	Element Meaning and Order	Target Buffer
COLOR_INDEX	Color Index	Color
STENCIL_INDEX	Stencil Index	Stencil
DEPTH_COMPONENT	Depth	Depth
RED	R	Color
GREEN	G	Color
BLUE	B	Color
ALPHA	A	Color
RGB	R, G, B	Color
RGBA	R, G, B, A	Color
BGR	B, G, R	Color
BGRA	B, G, R, A	Color
LUMINANCE	Luminance	Color
LUMINANCE_ALPHA	Luminance, A	Color

Table 3.6: **DrawPixels** and **ReadPixels** formats. The second column gives a description of and the number and order of elements in a group. Unless specified as an index, formats yield components.

Element Size	Default Bit Ordering	Modified Bit Ordering
8 bit	[7..0]	[7..0]
16 bit	[15..0]	[7..0][15..8]
32 bit	[31..0]	[7..0][15..8][23..16][31..24]

Table 3.7: Bit ordering modification of elements when `UNPACK_SWAP_BYTES` is enabled. These reorderings are defined only when GL data type `ubyte` has 8 bits, and then only for GL data types with 8, 16, or 32 bits. Bit 0 is the least significant.



$$p + Nk \quad (3.8)$$

where  $N$  is the row number (counting from zero) and  $k$  is defined as

$$k = \begin{cases} nl & s \geq a, \\ a/s \lceil snl/a \rceil & s < a \end{cases} \quad (3.9)$$

where  $n$  is the number of elements in a group,  $l$  is the number of groups in the row,  $a$  is the value of UNPACK\_ALIGNMENT, and  $s$  is the size, in units of GL ubytes, of an element. If the number of bits per element is not 1, 2, 4, or 8 times the number of bits in a GL ubyte, then  $k = nl$  for all values of  $a$ .

There is a mechanism for selecting a sub-rectangle of groups from a larger containing rectangle. This mechanism relies on three integer parameters: UNPACK\_ROW\_LENGTH, UNPACK\_SKIP\_ROWS, and UNPACK\_SKIP\_PIXELS. Before obtaining the first group from memory, the pointer supplied to **DrawPixels** is effectively advanced by  $(\text{UNPACK\_SKIP\_PIXELS})n + (\text{UNPACK\_SKIP\_ROWS})k$  elements. Then *width* groups are obtained from contiguous elements in memory (without advancing the pointer), after which the pointer is advanced by  $k$  elements. *height* sets of *width* groups of values are obtained this way. See figure 3.8.

Calling **DrawPixels** with a *type* of UNSIGNED\_BYTE\_3\_3\_2, UNSIGNED\_BYTE\_2\_3\_3\_REV, UNSIGNED\_SHORT\_5\_6\_5, UNSIGNED\_SHORT\_5\_6\_5\_REV,

<i>type</i> Parameter Token Name	GL Data Type	Number of Components	Matching Pixel Formats
UNSIGNED_BYTE_3_3_2	ubyte	3	RGB
UNSIGNED_BYTE_2_3_3_REV	ubyte	3	RGB
UNSIGNED_SHORT_5_6_5	ushort	3	RGB
UNSIGNED_SHORT_5_6_5_REV	ushort	3	RGB
UNSIGNED_SHORT_4_4_4_4	ushort	4	RGBA,BGRA
UNSIGNED_SHORT_4_4_4_4_REV	ushort	4	RGBA,BGRA
UNSIGNED_SHORT_5_5_5_1	ushort	4	RGBA,BGRA
UNSIGNED_SHORT_1_5_5_5_REV	ushort	4	RGBA,BGRA
UNSIGNED_INT_8_8_8_8	uint	4	RGBA,BGRA
UNSIGNED_INT_8_8_8_8_REV	uint	4	RGBA,BGRA
UNSIGNED_INT_10_10_10_2	uint	4	RGBA,BGRA
UNSIGNED_INT_2_10_10_10_REV	uint	4	RGBA,BGRA

Table 3.8: Packed pixel formats.

UNSIGNED\_SHORT\_4\_4\_4\_4, UNSIGNED\_SHORT\_4\_4\_4\_4\_REV, UNSIGNED\_SHORT\_5\_5\_5\_1, UNSIGNED\_SHORT\_1\_5\_5\_5\_REV, UNSIGNED\_INT\_8\_8\_8\_8, UNSIGNED\_INT\_8\_8\_8\_8\_REV, UNSIGNED\_INT\_10\_10\_10\_2, or UNSIGNED\_INT\_2\_10\_10\_10\_REV is a special case in which all the components of each group are packed into a single unsigned byte, unsigned short, or unsigned int, depending on the type. The number of components per packed pixel is fixed by the type, and must match the number of components per group indicated by the *format* parameter, as listed in table 3.8. The error `INVALID_OPERATION` is generated if a mismatch occurs. This constraint also holds for all other functions that accept or return pixel data using *type* and *format* parameters to define the type and format of that data.

Bitfield locations of the first, second, third, and fourth components of each packed pixel type are illustrated in tables 3.9, 3.10, and 3.11. Each bitfield is interpreted as an unsigned integer value. If the base GL type is supported with more than the minimum precision (e.g. a 9-bit byte) the packed components are right-justified in the pixel.

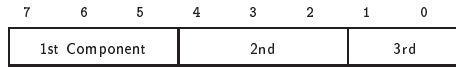
Components are normally packed with the first component in the most significant bits of the bitfield, and successive component occupying progressively less significant locations. Types whose token names end with `_REV` reverse the component packing order from least to most significant locations. In all cases, the most significant bit of each component is packed in

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the most significant bit location of its location in the bitfield.

UNSIGNED\_BYTE\_3\_3\_2:



UNSIGNED\_BYTE\_2\_3\_3\_REV:

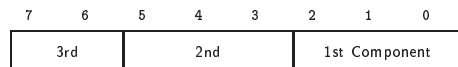
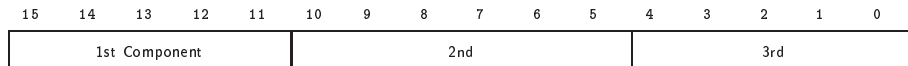
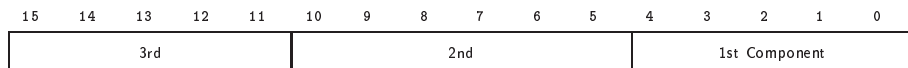


Table 3.9: UNSIGNED\_BYTE formats. Bit numbers are indicated for each component.

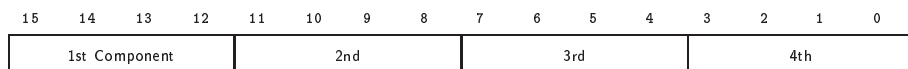
UNSIGNED\_SHORT\_5\_6\_5:



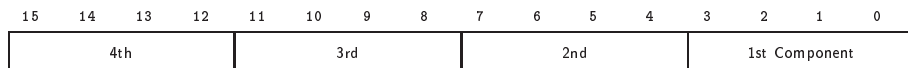
UNSIGNED\_SHORT\_5\_6\_5\_REV:



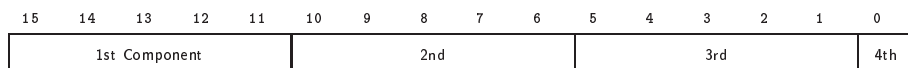
UNSIGNED\_SHORT\_4\_4\_4\_4:



UNSIGNED\_SHORT\_4\_4\_4\_4\_REV:



UNSIGNED\_SHORT\_5\_5\_5\_1:



UNSIGNED\_SHORT\_1\_5\_5\_5\_REV:

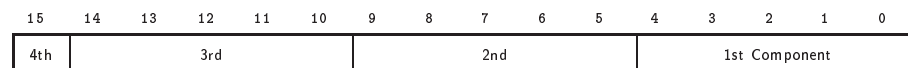
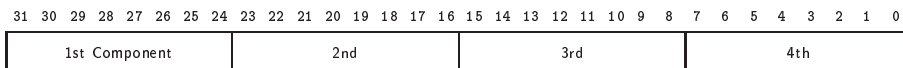


Table 3.10: UNSIGNED\_SHORT formats

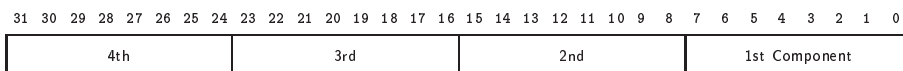


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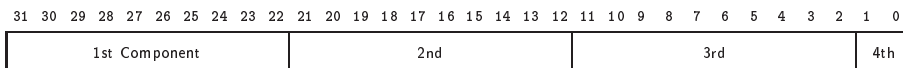
UNSIGNED\_INT\_8\_8\_8\_8:



UNSIGNED\_INT\_8\_8\_8\_8\_REV:



UNSIGNED\_INT\_10\_10\_10\_2:



UNSIGNED\_INT\_2\_10\_10\_10\_REV:

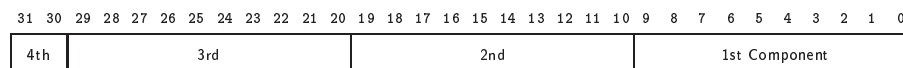


Table 3.11: UNSIGNED\_INT formats

Format	First Component	Second Component	Third Component	Fourth Component
RGB	red	green	blue	
RGBA	red	green	blue	alpha
BGRA	blue	green	red	alpha

Table 3.12: Packed pixel field assignments

The assignment of component to fields in the packed pixel is as described in table 3.12

Byte swapping, if enabled, is performed before the component are extracted from each pixel. The above discussions of row length and image extraction are valid for packed pixels, if “group” is substituted for “component” and the number of components per group is understood to be one.

Calling **DrawPixels** with a *type* of `BITMAP` is a special case in which the data are a series of GL `ubyte` values. Each `ubyte` value specifies 8 1-bit elements with its 8 least-significant bits. The 8 single-bit elements are ordered from most significant to least significant if the value of `UNPACK_LSB_FIRST` is `FALSE`; otherwise, the ordering is from least significant to most significant. The values of bits other than the 8 least significant in each `ubyte` are not significant.

The first element of the first row is the first bit (as defined above) of the `ubyte` pointed to by the pointer passed to **DrawPixels**. The first element of the second row is the first bit (again as defined above) of the `ubyte` at location  $p + k$ , where  $k$  is computed as

$$k = a \left\lceil \frac{l}{8a} \right\rceil \quad (3.10)$$

There is a mechanism for selecting a sub-rectangle of elements from a `BITMAP` image as well. Before obtaining the first element from memory, the pointer supplied to **DrawPixels** is effectively advanced by `UNPACK_SKIP_ROWS * k` `ubytes`. Then `UNPACK_SKIP_PIXELS` 1-bit elements are ignored, and the subsequent *width* 1-bit elements are obtained, without advancing the `ubyte` pointer, after which the pointer is advanced by *k* `ubytes`. *height* sets of *width* elements are obtained this way.

### Conversion to floating-point

This step applies only to groups of components. It is not performed on indices. Each element in a group is converted to a floating-point value according to the appropriate formula in table 2.6 (section 2.13). For packed pixel types, each element in the group is converted by computing  $c / (2^N - 1)$ , where  $c$  is the unsigned integer value of the bitfield containing the element and  $N$  is the number of bits in the bitfield.

### Conversion to RGB

This step is applied only if the *format* is LUMINANCE or LUMINANCE\_ALPHA. If the *format* is LUMINANCE, then each group of one element is converted to a group of R, G, and B (three) elements by copying the original single element into each of the three new elements. If the *format* is LUMINANCE\_ALPHA, then each group of two elements is converted to a group of R, G, B, and A (four) elements by copying the first original element into each of the first three new elements and copying the second original element to the A (fourth) new element.

### Final Expansion to RGBA

This step is performed only for non-depth component groups. Each group is converted to a group of 4 elements as follows: if a group does not contain an A element, then A is added and set to 1.0. If any of R, G, or B is missing from the group, each missing element is added and assigned a value of 0.0.

### Pixel Transfer Operations

This step is actually a sequence of steps. Because the pixel transfer operations are performed equivalently during the drawing, copying, and reading of pixels, and during the specification of texture images (either from memory or from the framebuffer), they are described separately in section 3.6.5. After the processing described in that section is completed, groups are processed as described in the following sections.

### Final Conversion

For a color index, final conversion consists of masking the bits of the index to the left of the binary point by  $2^n - 1$ , where  $n$  is the number of bits in an index buffer. For RGBA components, each element is clamped to  $[0, 1]$ . The

resulting values are converted to fixed-point according to the rules given in section 2.13.9 (Final Color Processing).

For a depth component, an element is first clamped to  $[0, 1]$  and then converted to fixed-point as if it were a window  $z$  value (see section 2.10.1, Controlling the Viewport).

Stencil indices are masked by  $2^n - 1$ , where  $n$  is the number of bits in the stencil buffer.

### Conversion to Fragments

The conversion of a group to fragments is controlled with

```
void PixelZoom( float  $z_x$ , float  $z_y$  );
```

Let  $(x_{rp}, y_{rp})$  be the current raster position (section 2.12). (If the current raster position is invalid, then **DrawPixels** is ignored; pixel transfer operations do not update the histogram or minmax tables, and no fragments are generated. However, the histogram and minmax tables are updated even if the corresponding fragments are later rejected by the pixel ownership (section 4.1.1) or scissor (section 4.1.2) tests.) If a particular group (index or components) is the  $n$ th in a row and belongs to the  $m$ th row, consider the region in window coordinates bounded by the rectangle with corners

$$(x_{rp} + z_x n, y_{rp} + z_y m) \quad \text{and} \quad (x_{rp} + z_x(n + 1), y_{rp} + z_y(m + 1))$$

(either  $z_x$  or  $z_y$  may be negative). Any fragments whose centers lie inside of this rectangle (or on its bottom or left boundaries) are produced in correspondence with this particular group of elements.

A fragment arising from a group consisting of color data takes on the color index or color components of the group; the depth and texture coordinates are taken from the current raster position's associated data. A fragment arising from a depth component takes the component's depth value; the color and texture coordinates are given by those associated with the current raster position. In both cases texture coordinates  $s$ ,  $t$ , and  $r$  are replaced with  $s/q$ ,  $t/q$ , and  $r/q$ , respectively. If  $q$  is less than or equal to zero, the results are undefined. Groups arising from **DrawPixels** with a *format* of **STENCIL\_INDEX** are treated specially and are described in section 4.3.1.

### 3.6.5 Pixel Transfer Operations

The GL defines four kinds of pixel groups:

1. *RGBA component*: Each group comprises four color components: red, green, blue, and alpha.
2. *Depth component*: Each group comprises a single depth component.
3. *Color index*: Each group comprises a single color index.
4. *Stencil index*: Each group comprises a single stencil index.

Each operation described in this section is applied sequentially to each pixel group in an image. Many operations are applied only to pixel groups of certain kinds; if an operation is not applicable to a given group, it is skipped.

#### Arithmetic on Components

This step applies only to RGBA component and depth component groups. Each component is multiplied by an appropriate signed scale factor: `RED_SCALE` for an R component, `GREEN_SCALE` for a G component, `BLUE_SCALE` for a B component, and `ALPHA_SCALE` for an A component, or `DEPTH_SCALE` for a depth component. Then the result is added to the appropriate signed bias: `RED_BIAS`, `GREEN_BIAS`, `BLUE_BIAS`, `ALPHA_BIAS`, or `DEPTH_BIAS`.

#### Arithmetic on Indices

This step applies only to color index and stencil index groups. If the index is a floating-point value, it is converted to fixed-point, with an unspecified number of bits to the right of the binary point and at least  $\lceil \log_2(\text{MAX\_PIXEL\_MAP\_TABLE}) \rceil$  bits to the left of the binary point. Indices that are already integers remain so; any fraction bits in the resulting fixed-point value are zero.

The fixed-point index is then shifted by `|INDEX_SHIFT|` bits, left if `INDEX_SHIFT > 0` and right otherwise. In either case the shift is zero-filled. Then, the signed integer offset `INDEX_OFFSET` is added to the index.

#### RGBA to RGBA Lookup

This step applies only to RGBA component groups, and is skipped if `MAP_COLOR` is `FALSE`. First, each component is clamped to the range `[0, 1]`. There is a table associated with each of the R, G, B, and A component elements: `PIXEL_MAP_R_TO_R` for R, `PIXEL_MAP_G_TO_G` for G, `PIXEL_MAP_B_TO_B` for B, and `PIXEL_MAP_A_TO_A` for A. Each element is multiplied by an integer one less than the size of the corresponding table, and, for each element, an

address is found by rounding this value to the nearest integer. For each element, the addressed value in the corresponding table replaces the element.

### Color Index Lookup

This step applies only to color index groups. If the GL command that invokes the pixel transfer operation requires that RGBA component pixel groups be generated, then a conversion is performed at this step. RGBA component pixel groups are required if

1. The groups will be rasterized, and the GL is in RGBA mode, or
2. The groups will be loaded as an image into texture memory, or
3. The groups will be returned to client memory with a format other than `COLOR_INDEX`.

If RGBA component groups are required, then the integer part of the index is used to reference 4 tables of color components: `PIXEL_MAP_I_TO_R`, `PIXEL_MAP_I_TO_G`, `PIXEL_MAP_I_TO_B`, and `PIXEL_MAP_I_TO_A`. Each of these tables must have  $2^n$  entries for some integer value of  $n$  ( $n$  may be different for each table). For each table, the index is first rounded to the nearest integer; the result is ANDed with  $2^n - 1$ , and the resulting value used as an address into the table. The indexed value becomes an R, G, B, or A value, as appropriate. The group of four elements so obtained replaces the index, changing the group's type to RGBA component.

If RGBA component groups are not required, and if `MAP_COLOR` is enabled, then the index is looked up in the `PIXEL_MAP_I_TO_I` table (otherwise, the index is not looked up). Again, the table must have  $2^n$  entries for some integer  $n$ . The index is first rounded to the nearest integer; the result is ANDed with  $2^n - 1$ , and the resulting value used as an address into the table. The value in the table replaces the index. The floating-point table value is first rounded to a fixed-point value with unspecified precision. The group's type remains color index.

### Stencil Index Lookup

This step applies only to stencil index groups. If `MAP_STENCIL` is enabled, then the index is looked up in the `PIXEL_MAP_S_TO_S` table (otherwise, the index is not looked up). The table must have  $2^n$  entries for some integer  $n$ . The integer index is ANDed with  $2^n - 1$ , and the resulting value used as an address into the table. The integer value in the table replaces the index.

Base Internal Format	R	G	B	A
ALPHA				$A_t$
LUMINANCE	$L_t$	$L_t$	$L_t$	
LUMINANCE_ALPHA	$L_t$	$L_t$	$L_t$	$A_t$
INTENSITY	$I_t$	$I_t$	$I_t$	$I_t$
RGB	$R_t$	$G_t$	$B_t$	
RGBA	$R_t$	$G_t$	$B_t$	$A_t$

Table 3.13: Color table lookup.  $R_t$ ,  $G_t$ ,  $B_t$ ,  $A_t$ ,  $L_t$ , and  $I_t$  are color table values that are assigned to pixel components  $R$ ,  $G$ ,  $B$ , and  $A$  depending on the table format. When there is no assignment, the component value is left unchanged by lookup.

### Color Table Lookup

This step applies only to RGBA component groups. Color table lookup is only done if `COLOR_TABLE` is enabled. If a zero-width table is enabled, no lookup is performed.

The internal format of the table determines which components of the group will be replaced (see table 3.13). The components to be replaced are converted to indices by clamping to  $[0, 1]$ , multiplying by an integer one less than the width of the table, and rounding to the nearest integer. Components are replaced by the table entry at the index.

The required state is one bit indicating whether color table lookup is enabled or disabled. In the initial state, lookup is disabled.

### Convolution

This step applies only to RGBA component groups. If `CONVOLUTION_1D` is enabled, the one-dimensional convolution filter is applied only to the one-dimensional texture images passed to `TexImage1D`, `TexSubImage1D`, `CopyTexImage1D`, and `CopyTexSubImage1D`, and returned by `GetTexImage` (see section 6.1.4) with target `TEXTURE_1D`. If `CONVOLUTION_2D` is enabled, the two-dimensional convolution filter is applied only to the two-dimensional images passed to `DrawPixels`, `CopyPixels`, `ReadPixels`, `TexImage2D`, `TexSubImage2D`, `CopyTexImage2D`, `CopyTexSubImage2D`, and `CopyTexSubImage3D`, and returned by `GetTexImage` with target `TEXTURE_2D`. If `SEPARABLE_2D` is enabled, and `CONVOLUTION_2D` is disabled, the separable two-dimensional convolution filter is instead ap-

Base Filter Format	R	G	B	A
ALPHA	$R_s$	$G_s$	$B_s$	$A_s * A_f$
LUMINANCE	$R_s * L_f$	$G_s * L_f$	$B_s * L_f$	$A_s$
LUMINANCE_ALPHA	$R_s * L_f$	$G_s * L_f$	$B_s * L_f$	$A_s * A_f$
INTENSITY	$R_s * I_f$	$G_s * I_f$	$B_s * I_f$	$A_s * I_f$
RGB	$R_s * R_f$	$G_s * G_f$	$B_s * B_f$	$A_s$
RGBA	$R_s * R_f$	$G_s * G_f$	$B_s * B_f$	$A_s * A_f$

Table 3.14: Computation of filtered color components depending on filter image format.  $C * F$  indicates the convolution of image component  $C$  with filter  $F$ .

plied these images.

The convolution operation is a sum of products of source image pixels and convolution filter pixels. Source image pixels always have four components: red, green, blue, and alpha, denoted in the equations below as  $R_s$ ,  $G_s$ ,  $B_s$ , and  $A_s$ . Filter pixels may be stored in one of five formats, with 1, 2, 3, or 4 components. These components are denoted as  $R_f$ ,  $G_f$ ,  $B_f$ ,  $A_f$ ,  $L_f$ , and  $I_f$  in the equations below. The result of the convolution operation is the 4-tuple R,G,B,A. Depending on the internal format of the filter, individual color components of each source image pixel are convolved with one filter component, or are passed unmodified. The rules for this are defined in table 3.14.

The convolution operation is defined differently for each of the three convolution filters. The variables  $W_f$  and  $H_f$  refer to the dimensions of the convolution filter. The variables  $W_s$  and  $H_s$  refer to the dimensions of the source pixel image.

The convolution equations are defined as follows, where  $C$  refers to the filtered result,  $C_f$  refers to the one- or two-dimensional convolution filter, and  $C_{row}$  and  $C_{column}$  refer to the two one-dimensional filters comprising the two-dimensional separable filter.  $C'_s$  depends on the source image color  $C_s$  and the convolution border mode as described below.  $C_r$ , the filtered output image, depends on all of these variables and is described separately for each border mode. The pixel indexing nomenclature is described in the **Convolution Filter Specification** subsection of section 3.6.3.

**One-dimensional filter:**

$$C[i'] = \sum_{n=0}^{W_f-1} C'_s[i' + n] * C_f[n]$$



**Two-dimensional filter:**

$$C[i', j'] = \sum_{n=0}^{W_f-1} \sum_{m=0}^{H_f-1} C'_s[i' + n, j' + m] * C_f[n, m]$$

**Two-dimensional separable filter:**

$$C[i', j'] = \sum_{n=0}^{W_f-1} \sum_{m=0}^{H_f-1} C'_s[i' + n, j' + m] * C_{row}[n] * C_{column}[m]$$

If  $W_f$  of a one-dimensional filter is zero, then  $C[i]$  is always set to zero. Likewise, if either  $W_f$  or  $H_f$  of a two-dimensional filter is zero, then  $C[i, j]$  is always set to zero.

The convolution border mode for a specific convolution filter is specified by calling

```
void ConvolutionParameter{if}( enum target,
    enum pname, T param );
```

where *target* is the name of the filter, *pname* is `CONVOLUTION_BORDER_MODE`, and *param* is one of `REDUCE`, `CONSTANT_BORDER` or `REPLICATE_BORDER`.

#### Border Mode `REDUCE`

The width and height of source images convolved with border mode `REDUCE` are reduced by  $W_f - 1$  and  $H_f - 1$ , respectively. If this reduction would generate a resulting image with zero or negative width and/or height, the output is simply null, with no error generated. The coordinates of the image that results from a convolution with border mode `REDUCE` are zero through  $W_s - W_f$  in width, and zero through  $H_s - H_f$  in height. In cases where errors can result from the specification of invalid image dimensions, it is these resulting dimensions that are tested, not the dimensions of the source image. (A specific example is `TexImage1D` and `TexImage2D`, which specify constraints for image dimensions. Even if `TexImage1D` or `TexImage2D` is called with a null pixel pointer, the dimensions of the resulting texture image are those that would result from the convolution of the specified image).

When the border mode is `REDUCE`,  $C'_s$  equals the source image color  $C_s$  and  $C_r$  equals the filtered result  $C$ .

For the remaining border modes, define  $C_w = \lfloor W_f/2 \rfloor$  and  $C_h = \lfloor H_f/2 \rfloor$ . The coordinates  $(C_w, C_h)$  define the center of the convolution filter.

**Border Mode** `CONSTANT_BORDER`

If the convolution border mode is `CONSTANT_BORDER`, the output image has the same dimensions as the source image. The result of the convolution is the same as if the source image were surrounded by pixels with the same color as the current convolution border color. Whenever the convolution filter extends beyond one of the edges of the source image, the constant-color border pixels are used as input to the filter. The current convolution border color is set by calling `ConvolutionParameterfv` or `ConvolutionParameteriv` with *pname* set to `CONVOLUTION_BORDER_COLOR` and *params* containing four values that comprise the RGBA color to be used as the image border. Integer color components are interpreted linearly such that the most positive integer maps to 1.0, and the most negative integer maps to -1.0. Floating point color components are not clamped when they are specified.

For a one-dimensional filter, the result color is defined by

$$C_r[i] = C[i - C_w]$$

where  $C[i']$  is computed using the following equation for  $C'_s[i']$ :

$$C'_s[i'] = \begin{cases} C_s[i'], & 0 \leq i' < W_s \\ C_c, & \textit{otherwise} \end{cases}$$

and  $C_c$  is the convolution border color.

For a two-dimensional or two-dimensional separable filter, the result color is defined by

$$C_r[i, j] = C[i - C_w, j - C_h]$$

where  $C[i', j']$  is computed using the following equation for  $C'_s[i', j']$ :

$$C'_s[i', j'] = \begin{cases} C_s[i', j'], & 0 \leq i' < W_s, 0 \leq j' < H_s \\ C_c, & \textit{otherwise} \end{cases}$$

**Border Mode** `REPLICATE_BORDER`

The convolution border mode `REPLICATE_BORDER` also produces an output image with the same dimensions as the source image. The behavior of this mode is identical to that of the `CONSTANT_BORDER` mode except for the treatment of pixel locations where the convolution filter extends beyond the edge of the source image. For these locations, it is as if the outermost one-pixel border of the source image was replicated. Conceptually, each pixel in

the leftmost one-pixel column of the source image is replicated  $C_w$  times to provide additional image data along the left edge, each pixel in the rightmost one-pixel column is replicated  $C_w$  times to provide additional image data along the right edge, and each pixel value in the top and bottom one-pixel rows is replicated to create  $C_h$  rows of image data along the top and bottom edges. The pixel value at each corner is also replicated in order to provide data for the convolution operation at each corner of the source image.

For a one-dimensional filter, the result color is defined by

$$C_r[i] = C[i - C_w]$$

where  $C[i']$  is computed using the following equation for  $C'_s[i']$ :

$$C'_s[i'] = C_s[\text{clamp}(i', W_s)]$$

and the clamping function  $\text{clamp}(val, max)$  is defined as

$$\text{clamp}(val, max) = \begin{cases} 0, & val < 0 \\ val, & 0 \leq val < max \\ max - 1, & val \geq max \end{cases}$$

For a two-dimensional or two-dimensional separable filter, the result color is defined by

$$C_r[i, j] = C[i - C_w, j - C_h]$$

where  $C[i', j']$  is computed using the following equation for  $C'_s[i', j']$ :

$$C'_s[i', j'] = C_s[\text{clamp}(i', W_s), \text{clamp}(j', H_s)]$$

After convolution, each component of the resulting image is scaled by the corresponding **PixelTransfer** parameters: `POST_CONVOLUTION_RED_SCALE` for an R component, `POST_CONVOLUTION_GREEN_SCALE` for a G component, `POST_CONVOLUTION_BLUE_SCALE` for a B component, and `POST_CONVOLUTION_ALPHA_SCALE` for an A component. The result is added to the corresponding bias: `POST_CONVOLUTION_RED_BIAS`, `POST_CONVOLUTION_GREEN_BIAS`, `POST_CONVOLUTION_BLUE_BIAS`, or `POST_CONVOLUTION_ALPHA_BIAS`.

The required state is three bits indicating whether each of one-dimensional, two-dimensional, or separable two-dimensional convolution is enabled or disabled, an integer describing the current convolution border mode, and four floating-point values specifying the convolution border color. In the initial state, all convolution operations are disabled, the border mode is `REDUCE`, and the border color is (0, 0, 0, 0).

### Post Convolution Color Table Lookup

This step applies only to RGBA component groups. Post convolution color table lookup is enabled or disabled by calling **Enable** or **Disable** with the symbolic constant `POST_CONVOLUTION_COLOR_TABLE`. The post convolution table is defined by calling **ColorTable** with a *target* argument of `POST_CONVOLUTION_COLOR_TABLE`. In all other respects, operation is identical to color table lookup, as defined earlier in section 3.6.5.

The required state is one bit indicating whether post convolution table lookup is enabled or disabled. In the initial state, lookup is disabled.

### Color Matrix Transformation

This step applies only to RGBA component groups. The components are transformed by the color matrix. Each transformed component is multiplied by an appropriate signed scale factor: `POST_COLOR_MATRIX_RED_SCALE` for an R component, `POST_COLOR_MATRIX_GREEN_SCALE` for a G component, `POST_COLOR_MATRIX_BLUE_SCALE` for a B component, and `POST_COLOR_MATRIX_ALPHA_SCALE` for an A component. The result is added to a signed bias: `POST_COLOR_MATRIX_RED_BIAS`, `POST_COLOR_MATRIX_GREEN_BIAS`, `POST_COLOR_MATRIX_BLUE_BIAS`, or `POST_COLOR_MATRIX_ALPHA_BIAS`. The resulting components replace each component of the original group.

That is, if  $M_c$  is the color matrix, a subscript of  $s$  represents the scale term for a component, and a subscript of  $b$  represents the bias term, then the components

$$\begin{pmatrix} R \\ G \\ B \\ A \end{pmatrix}$$

are transformed to

$$\begin{pmatrix} R' \\ G' \\ B' \\ A' \end{pmatrix} = \begin{pmatrix} R_s & 0 & 0 & 0 \\ 0 & G_s & 0 & 0 \\ 0 & 0 & B_s & 0 \\ 0 & 0 & 0 & A_s \end{pmatrix} M_c \begin{pmatrix} R \\ G \\ B \\ A \end{pmatrix} + \begin{pmatrix} R_b \\ G_b \\ B_b \\ A_b \end{pmatrix}.$$

### Post Color Matrix Color Table Lookup

This step applies only to RGBA component groups. Post color matrix color table lookup is enabled or disabled by calling **Enable** or **Disable**

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with the symbolic constant `POST_COLOR_MATRIX_COLOR_TABLE`. The post color matrix table is defined by calling **ColorTable** with a *target* argument of `POST_COLOR_MATRIX_COLOR_TABLE`. In all other respects, operation is identical to color table lookup, as defined in section 3.6.5.

The required state is one bit indicating whether post color matrix lookup is enabled or disabled. In the initial state, lookup is disabled.

**Histogram**

This step applies only to RGBA component groups. Histogram operation is enabled or disabled by calling **Enable** or **Disable** with the symbolic constant `HISTOGRAM`.

If the width of the table is non-zero, then indices  $R_i$ ,  $G_i$ ,  $B_i$ , and  $A_i$  are derived from the red, green, blue, and alpha components of each pixel group (without modifying these components) by clamping each component to  $[0, 1]$ , multiplying by one less than the width of the histogram table, and rounding to the nearest integer. If the format of the `HISTOGRAM` table includes red or luminance, the red or luminance component of histogram entry  $R_i$  is incremented by one. If the format of the `HISTOGRAM` table includes green, the green component of histogram entry  $G_i$  is incremented by one. The blue and alpha components of histogram entries  $B_i$  and  $A_i$  are incremented in the same way. If a histogram entry component is incremented beyond its maximum value, its value becomes undefined; this is not an error.

If the **Histogram** *sink* parameter is `FALSE`, histogram operation has no effect on the stream of pixel groups being processed. Otherwise, all RGBA pixel groups are discarded immediately after the histogram operation is completed. Because histogram precedes minmax, no minmax operation is performed. No pixel fragments are generated, no change is made to texture memory contents, and no pixel values are returned. However, texture object state is modified whether or not pixel groups are discarded.

**Minmax**

This step applies only to RGBA component groups. Minmax operation is enabled or disabled by calling **Enable** or **Disable** with the symbolic constant `MINMAX`.

If the format of the minmax table includes red or luminance, the red component value replaces the red or luminance value in the minimum table element if and only if it is less than that component. Likewise, if the format includes red or luminance and the red component of the group is greater

than the red or luminance value in the maximum element, the red group component replaces the red or luminance maximum component. If the format of the table includes green, the green group component conditionally replaces the green minimum and/or maximum if it is smaller or larger, respectively. The blue and alpha group components are similarly tested and replaced, if the table format includes blue and/or alpha. The internal type of the minimum and maximum component values is floating point, with at least the same representable range as a floating point number used to represent colors (section 2.1.1). There are no semantics defined for the treatment of group component values that are outside the representable range.

If the **Minmax** *sink* parameter is **FALSE**, minmax operation has no effect on the stream of pixel groups being processed. Otherwise, all RGBA pixel groups are discarded immediately after the minmax operation is completed. No pixel fragments are generated, no change is made to texture memory contents, and no pixel values are returned. However, texture object state is modified whether or not pixel groups are discarded.

### 3.7 Bitmaps

Bitmaps are rectangles of zeros and ones specifying a particular pattern of fragments to be produced. Each of these fragments has the same associated data. These data are those associated with the *current raster position*.

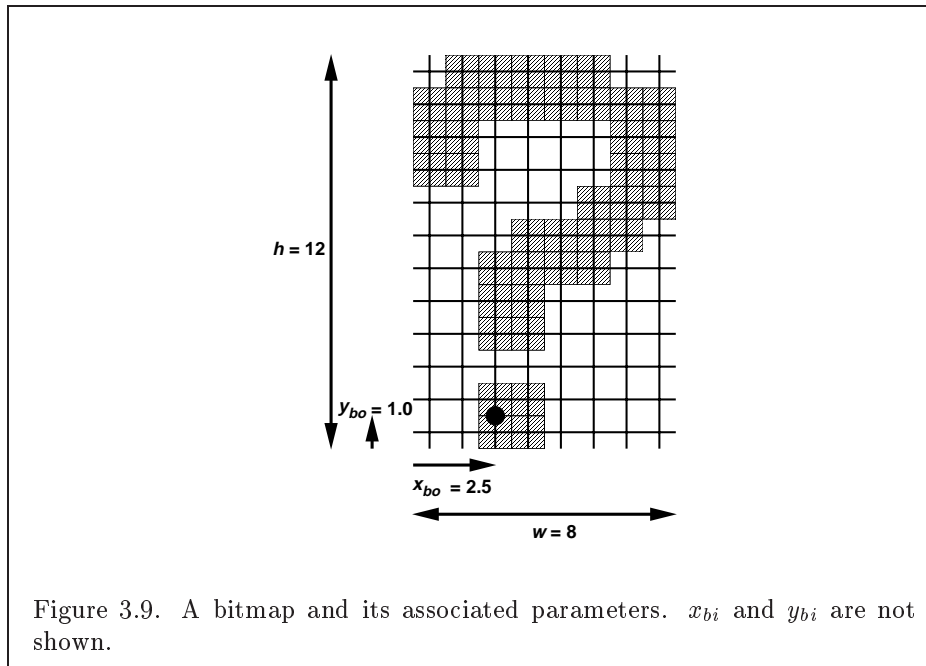
Bitmaps are sent using

```
void Bitmap( sizei w, sizei h, float xbo, float ybo,
             float xbi, float ybi, ubyte *data );
```

*w* and *h* comprise the integer width and height of the rectangular bitmap, respectively. (*x<sub>bo</sub>*, *y<sub>bo</sub>*) gives the floating-point *x* and *y* values of the bitmap's origin. (*x<sub>bi</sub>*, *y<sub>bi</sub>*) gives the floating-point *x* and *y* increments that are added to the raster position after the bitmap is rasterized. *data* is a pointer to a bitmap.

Like a polygon pattern, a bitmap is unpacked from memory according to the procedure given in section 3.6.4 for **DrawPixels**; it is as if the *width* and *height* passed to that command were equal to *w* and *h*, respectively, the *type* were **BITMAP**, and the *format* were **COLOR\_INDEX**. The unpacked values (before any conversion or arithmetic would have been performed) form a stipple pattern of zeros and ones. See figure 3.9.

A bitmap sent using **Bitmap** is rasterized as follows. First, if the current raster position is invalid (the valid bit is reset), the bitmap is ignored.



Otherwise, a rectangular array of fragments is constructed, with lower left corner at

$$(x_{ll}, y_{ll}) = (\lfloor x_{rp} - x_{bo} \rfloor, \lfloor y_{rp} - y_{bo} \rfloor)$$

and upper right corner at  $(x_{ll} + w, y_{ll} + h)$  where  $w$  and  $h$  are the width and height of the bitmap, respectively. Fragments in the array are produced if the corresponding bit in the bitmap is 1 and not produced otherwise. The associated data for each fragment are those associated with the current raster position, with texture coordinates  $s$ ,  $t$ , and  $r$  replaced with  $s/q$ ,  $t/q$ , and  $r/q$ , respectively. If  $q$  is less than or equal to zero, the results are undefined. Once the fragments have been produced, the current raster position is updated:

$$(x_{rp}, y_{rp}) \leftarrow (x_{rp} + x_{bi}, y_{rp} + y_{bi}).$$

The  $z$  and  $w$  values of the current raster position remain unchanged.

### 3.8 Texturing

Texturing maps a portion of a specified image onto each primitive for which texturing is enabled. This mapping is accomplished by using the color of

an image at the location indicated by a fragment's  $(s, t, r)$  coordinates to modify the fragment's primary RGBA color. Texturing does not affect the secondary color.

Texturing is specified only for RGBA mode; its use in color index mode is undefined.

The GL provides a means to specify the details of how texturing of a primitive is effected. These details include specification of the image to be texture mapped, the means by which the image is filtered when applied to the primitive, and the function that determines what RGBA value is produced given a fragment color and an image value.

### 3.8.1 Texture Image Specification

The command

```
void TexImage3D( enum target, int level,
                 int internalformat, sizei width, sizei height,
                 sizei depth, int border, enum format, enum type,
                 void *data );
```

is used to specify a three-dimensional texture image. *target* must be either `TEXTURE_3D`, or `PROXY_TEXTURE_3D` in the special case discussed in section 3.8.7. *format*, *type*, and *data* match the corresponding arguments to `DrawPixels` (refer to section 3.6.4); they specify the format of the image data, the type of those data, and a pointer to the image data in host memory. The *formats* `STENCIL_INDEX` and `DEPTH_COMPONENT` are not allowed.

The groups in memory are treated as being arranged in a sequence of adjacent rectangles. Each rectangle is a two-dimensional image, whose size and organization are specified by the *width* and *height* parameters to `TexImage3D`. The values of `UNPACK_ROW_LENGTH` and `UNPACK_ALIGNMENT` control the row-to-row spacing in these images in the same manner as `DrawPixels`. If the value of the integer parameter `UNPACK_IMAGE_HEIGHT` is not positive, then the number of rows in each two-dimensional image is *height*; otherwise the number of rows is `UNPACK_IMAGE_HEIGHT`. Each two-dimensional image comprises an integral number of rows, and is exactly adjacent to its neighbor images.

The mechanism for selecting a sub-volume of a three-dimensional image relies on the integer parameter `UNPACK_SKIP_IMAGES`. If `UNPACK_SKIP_IMAGES` is positive, the pointer is advanced by `UNPACK_SKIP_IMAGES` times the number of elements in one two-dimensional image before obtaining the first group from



memory. Then *depth* two-dimensional images are processed, each having a subimage extracted in the same manner as **DrawPixels**.

The selected groups are processed exactly as for **DrawPixels**, stopping just before final conversion. Each R, G, B, and A value so generated is clamped to  $[0, 1]$ .

Components are then selected from the resulting R, G, B, and A values to obtain a texture with the *base internal format* specified by (or derived from) *internalformat*. Table 3.15 summarizes the mapping of R, G, B, and A values to texture components, as a function of the base internal format of the texture image. *internalformat* may be specified as one of the six base internal format symbolic constants listed in table 3.15, or as one of the *sized internal format* symbolic constants listed in table 3.16. *internalformat* may (for backwards compatibility with the 1.0 version of the GL) also take on the integer values 1, 2, 3, and 4, which are equivalent to symbolic constants LUMINANCE, LUMINANCE\_ALPHA, RGB, and RGBA respectively. Specifying a value for *internalformat* that is not one of the above values generates the error INVALID\_VALUE.

The *internal component resolution* is the number of bits allocated to each value in a texture image. If *internalformat* is specified as a base internal format, the GL stores the resulting texture with internal component resolutions of its own choosing. If a sized internal format is specified, the mapping of the R, G, B, and A values to texture components is equivalent to the mapping of the corresponding base internal format's components, as specified in table 3.15, and the memory allocation per texture component is assigned by the GL to match the allocations listed in table 3.16 as closely as possible. (The definition of closely is left up to the implementation. Implementations are not required to support more than one resolution for each base internal format.)

A GL implementation may vary its allocation of internal component resolution based on any **TexImage3D**, **TexImage2D** (see below), or **TexImage1D** (see below) parameter (except *target*), but the allocation must not be a function of any other state, and cannot be changed once it is established. Allocations must be invariant; the same allocation must be made each time a texture image is specified with the same parameter values. These allocation rules also apply to proxy textures, which are described in section 3.8.7.

The image itself (pointed to by *data*) is a sequence of groups of values. The first group is the lower left back corner of the texture image. Subsequent groups fill out rows of width *width* from left to right; *height* rows are stacked from bottom to top forming a single two-dimensional image slice; and *depth* slices are stacked from back to front. When the final R, G, B,

Base Internal Format	RGBA Values	Internal Components
ALPHA	A	$A$
LUMINANCE	R	$L$
LUMINANCE_ALPHA	R,A	$L,A$
INTENSITY	R	$I$
RGB	R,G,B	$R,G,B$
RGBA	R,G,B,A	$R,G,B,A$

Table 3.15: Conversion from RGBA pixel components to internal texture, table, or filter components. See section 3.8.9 for a description of the texture components  $R$ ,  $G$ ,  $B$ ,  $A$ ,  $L$ , and  $I$ .

and  $A$  components have been computed for a group, they are assigned to components of a *texel* as described by table 3.15. Counting from zero, each resulting  $N$ th texel is assigned internal integer coordinates  $(i, j, k)$ , where

$$i = (N \bmod width) - b_s$$

$$j = (\lfloor \frac{N}{width} \rfloor \bmod height) - b_s$$

$$k = (\lfloor \frac{N}{width \times height} \rfloor \bmod depth) - b_s$$

and  $b_s$  is the specified *border* width. Thus the last two-dimensional image slice of the three-dimensional image is indexed with the highest value of  $k$ .

Each color component is converted (by rounding to nearest) to a fixed-point value with  $n$  bits, where  $n$  is the number of bits of storage allocated to that component in the image array. We assume that the fixed-point representation used represents each value  $k/(2^n - 1)$ , where  $k \in \{0, 1, \dots, 2^n - 1\}$ , as  $k$  (e.g. 1.0 is represented in binary as a string of all ones).

The *level* argument to **TexImage3D** is an integer *level-of-detail* number. Levels of detail are discussed below, under **Mipmapping**. The main texture image has a level of detail number of 0. If a level-of-detail less than zero is specified, the error `INVALID_VALUE` is generated.

The *border* argument to **TexImage3D** is a border width. The significance of borders is described below. The border width affects the required dimensions of the texture image: it must be the case that

$$w_s = 2^n + 2b_s \tag{3.11}$$

Sized Internal Format	Base Internal Format	<i>R</i> bits	<i>G</i> bits	<i>B</i> bits	<i>A</i> bits	<i>L</i> bits	<i>I</i> bits
ALPHA4	ALPHA				4		
ALPHA8	ALPHA				8		
ALPHA12	ALPHA				12		
ALPHA16	ALPHA				16		
LUMINANCE4	LUMINANCE					4	
LUMINANCE8	LUMINANCE					8	
LUMINANCE12	LUMINANCE					12	
LUMINANCE16	LUMINANCE					16	
LUMINANCE4_ALPHA4	LUMINANCE_ALPHA				4	4	
LUMINANCE6_ALPHA2	LUMINANCE_ALPHA				2	6	
LUMINANCE8_ALPHA8	LUMINANCE_ALPHA				8	8	
LUMINANCE12_ALPHA4	LUMINANCE_ALPHA				4	12	
LUMINANCE12_ALPHA12	LUMINANCE_ALPHA				12	12	
LUMINANCE16_ALPHA16	LUMINANCE_ALPHA				16	16	
INTENSITY4	INTENSITY						4
INTENSITY8	INTENSITY						8
INTENSITY12	INTENSITY						12
INTENSITY16	INTENSITY						16
R3_G3_B2	RGB	3	3	2			
RGB4	RGB	4	4	4			
RGB5	RGB	5	5	5			
RGB8	RGB	8	8	8			
RGB10	RGB	10	10	10			
RGB12	RGB	12	12	12			
RGB16	RGB	16	16	16			
RGBA2	RGBA	2	2	2	2		
RGBA4	RGBA	4	4	4	4		
RGB5_A1	RGBA	5	5	5	1		
RGBA8	RGBA	8	8	8	8		
RGB10_A2	RGBA	10	10	10	2		
RGBA12	RGBA	12	12	12	12		
RGBA16	RGBA	16	16	16	16		

Table 3.16: Correspondence of sized internal formats to base internal formats, and *desired* component resolutions for each sized internal format.

$$h_s = 2^m + 2b_s \quad (3.12)$$

$$d_s = 2^l + 2b_s \quad (3.13)$$

for some integers  $n$ ,  $m$ , and  $l$ , where  $w_s$ ,  $h_s$ , and  $d_s$  are the specified image *width*, *height*, and *depth*. If any one of these relationships cannot be satisfied, then the error `INVALID_VALUE` is generated.

Currently, the maximum border width  $b_t$  is 1. If  $b_s$  is less than zero, or greater than  $b_t$ , then the error `INVALID_VALUE` is generated.

The maximum allowable width, height, or depth of a three-dimensional texture image is an implementation dependent function of the level-of-detail and internal format of the resulting image array. It must be at least  $2^{k-lod} + 2b_t$  for image arrays of level-of-detail 0 through  $k$ , where  $k$  is the log base 2 of `MAX_3D_TEXTURE_SIZE`,  $lod$  is the level-of-detail of the image array, and  $b_t$  is the maximum border width. It may be zero for image arrays of any level-of-detail greater than  $k$ . The error `INVALID_VALUE` is generated if the specified image is too large to be stored under any conditions.

In a similar fashion, the maximum allowable width of a one- or two-dimensional texture image, and the maximum allowable height of a two-dimensional texture image, must be at least  $2^{k-lod} + 2b_t$  for image arrays of level 0 through  $k$ , where  $k$  is the log base 2 of `MAX_TEXTURE_SIZE`.

Furthermore, an implementation may allow a one-, two-, or three-dimensional image array of level 1 or greater to be created only if a complete<sup>1</sup> set of image arrays consistent with the requested array can be supported. Likewise, an implementation may allow an image array of level 0 to be created only if that single image array can be supported.

The command

```
void TexImage2D( enum target, int level,
                int internalformat, sizei width, sizei height,
                int border, enum format, enum type, void *data );
```

is used to specify a two-dimensional texture image. *target* must be either `TEXTURE_2D`, or `PROXY_TEXTURE_2D` in the special case discussed in section 3.8.7. The other parameters match the corresponding parameters of `TexImage3D`.

<sup>1</sup>For this purpose the definition of “complete”, as provided under **Mipmapping**, is augmented as follows: 1) it is as though `TEXTURE_BASE_LEVEL` is 0 and `TEXTURE_MAX_LEVEL` is 1000. 2) Excluding borders, the dimensions of the next lower numbered array are all understood to be twice the corresponding dimensions of the specified array.

For the purposes of decoding the texture image, **TexImage2D** is equivalent to calling **TexImage3D** with corresponding arguments and *depth* of 1, except that

- The *depth* of the image is always 1 regardless of the value of *border*.
- Convolution will be performed on the image (possibly changing its *width* and *height*) if `SEPARABLE_2D` or `CONVOLUTION_2D` is enabled.
- `UNPACK_SKIP_IMAGES` is ignored.

Finally, the command

```
void TexImage1D( enum target, int level,
                 int internalformat, size_t width, int border,
                 enum format, enum type, void *data );
```

is used to specify a one-dimensional texture image. *target* must be either `TEXTURE_1D`, or `PROXY_TEXTURE_1D` in the special case discussed in section 3.8.7.)

For the purposes of decoding the texture image, **TexImage1D** is equivalent to calling **TexImage2D** with corresponding arguments and *height* of 1, except that

- The *height* of the image is always 1 regardless of the value of *border*.
- Convolution will be performed on the image (possibly changing its *width*) only if `CONVOLUTION_1D` is enabled.

An image with zero width, height (**TexImage2D** and **TexImage3D** only), or depth (**TexImage3D** only) indicates the null texture. If the null texture is specified for the level-of-detail specified by `TEXTURE_BASE_LEVEL`, it is as if texturing were disabled.

The image indicated to the GL by the image pointer is decoded and copied into the GL's internal memory. This copying effectively places the decoded image inside a border of the maximum allowable width  $b_t$  whether or not a border has been specified (see figure 3.10)<sup>2</sup>. If no border or a border smaller than the maximum allowable width has been specified, then the image is still stored as if it were surrounded by a border of the maximum possible width. Any excess border (which surrounds the specified image,

<sup>2</sup>Figure 3.10 needs to show a three-dimensional texture image.

including any border) is assigned unspecified values. A two-dimensional texture has a border only at its left, right, top, and bottom ends, and a one-dimensional texture has a border only at its left and right ends.

We shall refer to the (possibly border augmented) decoded image as the *texture array*. A three-dimensional texture array has width, height, and depth

$$w_t = 2^n + 2b_t$$

$$h_t = 2^m + 2b_t$$

$$d_t = 2^l + 2b_t$$

where  $b_t$  is the maximum allowable border width and  $n$ ,  $m$ , and  $l$  are defined in equations 3.11, 3.12, and 3.13. A two-dimensional texture array has depth  $d_t = 1$ , with height  $h_t$  and width  $w_t$  as above, and a one-dimensional texture array has depth  $d_t = 1$ , height  $h_t = 1$ , and width  $w_t$  as above.

An element  $(i, j, k)$  of the texture array is called a *texel* (for a two-dimensional texture,  $k$  is irrelevant; for a one-dimensional texture,  $j$  and  $k$  are both irrelevant). The *texture value* used in texturing a fragment is determined by that fragment's associated  $(s, t, r)$  coordinates, but may not correspond to any actual texel. See figure 3.10.

If the *data* argument of **TexImage1D**, **TexImage2D**, or **TexImage3D** is a null pointer (a zero-valued pointer in the C implementation), a one-, two-, or three-dimensional texture array is created with the specified *target*, *level*, *internalformat*, *width*, *height*, and *depth*, but with unspecified image contents. In this case no pixel values are accessed in client memory, and no pixel processing is performed. Errors are generated, however, exactly as though the *data* pointer were valid.

### 3.8.2 Alternate Texture Image Specification Commands

Two-dimensional and one-dimensional texture images may also be specified using image data taken directly from the framebuffer, and rectangular subregions of existing texture images may be respecified.

The command

```
void CopyTexImage2D( enum target, int level,
                    enum internalformat, int x, int y, sizei width,
                    sizei height, int border );
```

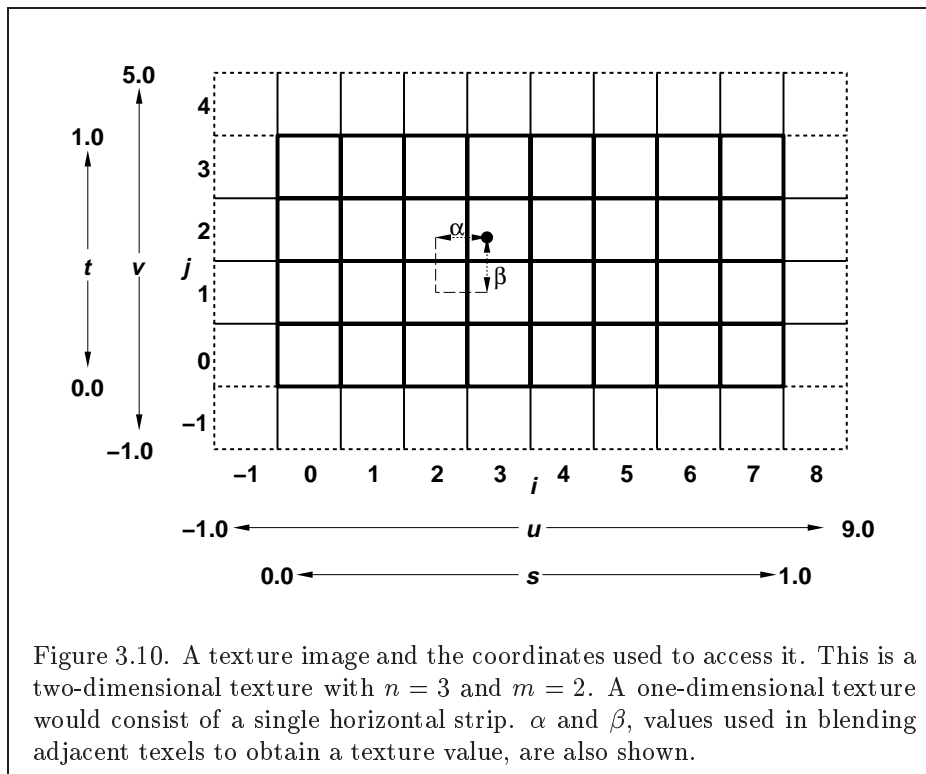


Figure 3.10. A texture image and the coordinates used to access it. This is a two-dimensional texture with  $n = 3$  and  $m = 2$ . A one-dimensional texture would consist of a single horizontal strip.  $\alpha$  and  $\beta$ , values used in blending adjacent texels to obtain a texture value, are also shown.

defines a two-dimensional texture array in exactly the manner of **TexImage2D**, except that the image data are taken from the framebuffer rather than from client memory. Currently, *target* must be `TEXTURE_2D`. *x*, *y*, *width*, and *height* correspond precisely to the corresponding arguments to **CopyPixels** (refer to section 4.3.3); they specify the image's *width* and *height*, and the lower left (*x*, *y*) coordinates of the framebuffer region to be copied. The image is taken from the framebuffer exactly as if these arguments were passed to **CopyPixels**, with argument *type* set to `COLOR`, stopping after pixel transfer processing is complete. Subsequent processing is identical to that described for **TexImage2D**, beginning with clamping of the R, G, B, and A values from the resulting pixel groups. Parameters *level*, *internalformat*, and *border* are specified using the same values, with the same meanings, as the equivalent arguments of **TexImage2D**, except that *internalformat* may not be specified as 1, 2, 3, or 4. An invalid value specified for *internalformat* generates the error `INVALID_ENUM`. The constraints on *width*, *height*, and *border* are exactly those for the equivalent arguments of **TexImage2D**.

The command

```
void CopyTexImage1D( enum target, int level,
                    enum internalformat, int x, int y, sizei width,
                    int border );
```

defines a one-dimensional texture array in exactly the manner of **TexImage1D**, except that the image data are taken from the framebuffer, rather than from client memory. Currently, *target* must be `TEXTURE_1D`. For the purposes of decoding the texture image, **CopyTexImage1D** is equivalent to calling **CopyTexImage2D** with corresponding arguments and *height* of 1, except that the *height* of the image is always 1, regardless of the value of *border*. *level*, *internalformat*, and *border* are specified using the same values, with the same meanings, as the equivalent arguments of **TexImage1D**, except that *internalformat* may not be specified as 1, 2, 3, or 4. The constraints on *width* and *border* are exactly those of the equivalent arguments of **TexImage1D**.

Six additional commands,

```
void TexSubImage3D( enum target, int level, int xoffset,
                   int yoffset, int zoffset, sizei width, sizei height,
                   sizei depth, enum format, enum type, void *data );
void TexSubImage2D( enum target, int level, int xoffset,
                   int yoffset, sizei width, sizei height, enum format,
                   enum type, void *data );
```



```

void TexSubImage1D( enum target, int level, int xoffset,
    sizei width, enum format, enum type, void *data );
void CopyTexSubImage3D( enum target, int level,
    int xoffset, int yoffset, int zoffset, int x, int y,
    sizei width, sizei height );
void CopyTexSubImage2D( enum target, int level,
    int xoffset, int yoffset, int x, int y, sizei width,
    sizei height );
void CopyTexSubImage1D( enum target, int level,
    int xoffset, int x, int y, sizei width );

```

respecify only a rectangular subregion of an existing texture array. No change is made to the *internalformat*, *width*, *height*, *depth*, or *border* parameters of the specified texture array, nor is any change made to texel values outside the specified subregion. Currently the *target* arguments of **TexSubImage1D** and **CopyTexSubImage1D** must be `TEXTURE_1D`, the *target* arguments of **TexSubImage2D** and **CopyTexSubImage2D** must be `TEXTURE_2D`, and the *target* arguments of **TexSubImage3D** and **CopyTexSubImage3D** must be `TEXTURE_3D`. The *level* parameter of each command specifies the level of the texture array that is modified. If *level* is less than zero or greater than the base 2 logarithm of the maximum texture width or height, the error `INVALID_VALUE` is generated.

**TexSubImage3D** arguments *width*, *height*, *depth*, *format*, *type*, and *data* match the corresponding arguments to **TexImage3D**, meaning that they are specified using the same values, and have the same meanings. Likewise, **TexSubImage2D** arguments *width*, *height*, *format*, *type*, and *data* match the corresponding arguments to **TexImage2D**, and **TexSubImage1D** arguments *width*, *format*, *type*, and *data* match the corresponding arguments to **TexImage1D**.

**CopyTexSubImage3D** and **CopyTexSubImage2D** arguments *x*, *y*, *width*, and *height* match the corresponding arguments to **CopyTexImage2D**<sup>3</sup>. **CopyTexSubImage1D** arguments *x*, *y*, and *width* match the corresponding arguments to **CopyTexImage1D**. Each of the **TexSubImage** commands interprets and processes pixel groups in exactly the manner of its **TexImage** counterpart, except that the assignment of R, G, B, and A pixel group values to the texture components is controlled by the *internalformat* of the texture array, not by an argument to the command.

---

<sup>3</sup>Because the framebuffer is inherently two-dimensional, there is no **CopyTexImage3D** command.

Arguments *xoffset*, *yoffset*, and *zoffset* of **TexSubImage3D** and **CopyTexSubImage3D** specify the lower left texel coordinates of a *width*-wide by *height*-high by *depth*-deep rectangular subregion of the texture array. The *depth* argument associated with **CopyTexSubImage3D** is always 1, because framebuffer memory is two-dimensional - only a portion of a single *s, t* slice of a three-dimensional texture is replaced by **CopyTexSubImage3D**.

Negative values of *xoffset*, *yoffset*, and *zoffset* correspond to the coordinates of border texels, addressed as in figure 3.10. Taking  $w_s$ ,  $h_s$ ,  $d_s$ , and  $b_s$  to be the specified width, height, depth, and border width of the texture array, (not the actual array dimensions  $w_t$ ,  $h_t$ ,  $d_t$ , and  $b_t$ ), and taking  $x$ ,  $y$ ,  $z$ ,  $w$ ,  $h$ , and  $d$  to be the *xoffset*, *yoffset*, *zoffset*, *width*, *height*, and *depth* argument values, any of the following relationships generates the error **INVALID\_VALUE**:

$$\begin{aligned}x &< -b_s \\x + w &> w_s - b_s \\y &< -b_s \\y + h &> h_s - b_s \\z &< -b_s \\z + d &> d_s - b_s\end{aligned}$$

(Recall that  $d_s$ ,  $w_s$ , and  $h_s$  include twice the specified border width  $b_s$ .) Counting from zero, the  $n$ th pixel group is assigned to the texel with internal integer coordinates  $[i, j, k]$ , where

$$\begin{aligned}i &= x + (n \bmod w) \\j &= y + (\lfloor \frac{n}{w} \rfloor \bmod h) \\k &= z + (\lfloor \frac{n}{width * height} \rfloor \bmod d)\end{aligned}$$

Arguments *xoffset* and *yoffset* of **TexSubImage2D** and **CopyTexSubImage2D** specify the lower left texel coordinates of a *width*-wide by *height*-high rectangular subregion of the texture array. Negative values of *xoffset* and *yoffset* correspond to the coordinates of border texels, addressed as in figure 3.10. Taking  $w_s$ ,  $h_s$ , and  $b_s$  to be the specified width, height, and border width of the texture array, (not the actual array dimensions  $w_t$ ,  $h_t$ , and  $b_t$ ), and taking  $x$ ,  $y$ ,  $w$ , and  $h$  to be the *xoffset*, *yoffset*, *width*, and

*height* argument values, any of the following relationships generates the error `INVALID_VALUE`:

$$\begin{aligned}x &< -b_s \\x + w &> w_s - b_s \\y &< -b_s \\y + h &> h_s - b_s\end{aligned}$$

(Recall that  $w_s$  and  $h_s$  include twice the specified border width  $b_s$ .) Counting from zero, the  $n$ th pixel group is assigned to the texel with internal integer coordinates  $[i, j]$ , where

$$\begin{aligned}i &= x + (n \bmod w) \\j &= y + (\lfloor \frac{n}{w} \rfloor \bmod h)\end{aligned}$$

The *xoffset* argument of `TexSubImage1D` and `CopyTexSubImage1D` specifies the left texel coordinate of a *width*-wide subregion of the texture array. Negative values of *xoffset* correspond to the coordinates of border texels. Taking  $w_s$  and  $b_s$  to be the specified width and border width of the texture array, and  $x$  and  $w$  to be the *xoffset* and *width* argument values, either of the following relationships generates the error `INVALID_VALUE`:

$$\begin{aligned}x &< -b_s \\x + w &> w_s - b_s\end{aligned}$$

Counting from zero, the  $n$ th pixel group is assigned to the texel with internal integer coordinates  $[i]$ , where

$$i = x + (n \bmod w)$$

### 3.8.3 Texture Parameters

Various parameters control how the texture array is treated when applied to a fragment. Each parameter is set by calling

```
void TexParameter{if}( enum target, enum pname,
    T param );
void TexParameter{if}v( enum target, enum pname,
    T params );
```

Name	Type	Legal Values
TEXTURE_WRAP_S	integer	CLAMP, CLAMP_TO_EDGE, REPEAT
TEXTURE_WRAP_T	integer	CLAMP, CLAMP_TO_EDGE, REPEAT
TEXTURE_WRAP_R	integer	CLAMP, CLAMP_TO_EDGE, REPEAT
TEXTURE_MIN_FILTER	integer	NEAREST, LINEAR, NEAREST_MIPMAP_NEAREST, NEAREST_MIPMAP_LINEAR, LINEAR_MIPMAP_NEAREST, LINEAR_MIPMAP_LINEAR,
TEXTURE_MAG_FILTER	integer	NEAREST, LINEAR
TEXTURE_BORDER_COLOR	4 floats	any 4 values in $[0, 1]$
TEXTURE_PRIORITY	float	any value in $[0, 1]$
TEXTURE_MIN_LOD	float	any value
TEXTURE_MAX_LOD	float	any value
TEXTURE_BASE_LEVEL	integer	any non-negative integer
TEXTURE_MAX_LEVEL	integer	any non-negative integer

Table 3.17: Texture parameters and their values.

*target* is the target, either TEXTURE\_1D, TEXTURE\_2D, or TEXTURE\_3D. *pname* is a symbolic constant indicating the parameter to be set; the possible constants and corresponding parameters are summarized in table 3.17. In the first form of the command, *param* is a value to which to set a single-valued parameter; in the second form of the command, *params* is an array of parameters whose type depends on the parameter being set. If the values for TEXTURE\_BORDER\_COLOR are specified as integers, the conversion for signed integers from table 2.6 is applied to convert the values to floating-point. Each of the four values set by TEXTURE\_BORDER\_COLOR is clamped to lie in  $[0, 1]$ .

### 3.8.4 Texture Wrap Modes

If TEXTURE\_WRAP\_S, TEXTURE\_WRAP\_T, or TEXTURE\_WRAP\_R is set to REPEAT, then the GL ignores the integer part of *s*, *t*, or *r* coordinates, respectively, using only the fractional part. (For a number *f*, the fractional part is  $f - \lfloor f \rfloor$ , regardless of the sign of *f*; recall that the *floor* function truncates towards  $-\infty$ .) CLAMP causes *s*, *t*, or *r* coordinates to be clamped to the range  $[0, 1]$ .

The initial state is for all of  $s$ ,  $t$ , and  $r$  behavior to be that given by REPEAT.

CLAMP\_TO\_EDGE clamps texture coordinates at all mipmap levels such that the texture filter never samples a border texel. The color returned when clamping is derived only from texels at the edge of the texture image.

Texture coordinates are clamped to the range  $[min, max]$ . The minimum value is defined as

$$min = \frac{1}{2N}$$

where  $N$  is the size of the one-, two-, or three-dimensional texture image in the direction of clamping. The maximum value is defined as

$$max = 1 - min$$

so that clamping is always symmetric about the  $[0, 1]$  mapped range of a texture coordinate.

### 3.8.5 Texture Minification

Applying a texture to a primitive implies a mapping from texture image space to framebuffer image space. In general, this mapping involves a reconstruction of the sampled texture image, followed by a homogeneous warping implied by the mapping to framebuffer space, then a filtering, followed finally by a resampling of the filtered, warped, reconstructed image before applying it to a fragment. In the GL this mapping is approximated by one of two simple filtering schemes. One of these schemes is selected based on whether the mapping from texture space to framebuffer space is deemed to *magnify* or *minify* the texture image.

#### Scale Factor and Level of Detail

The choice is governed by a scale factor  $\rho(x, y)$  and the *level of detail* parameter  $\lambda(x, y)$ , defined as

$$\lambda'(x, y) = \log_2[\rho(x, y)]$$

$$\lambda = \begin{cases} \text{TEXTURE\_MAX\_LOD}, & \lambda' > \text{TEXTURE\_MAX\_LOD} \\ \lambda', & \text{TEXTURE\_MIN\_LOD} \leq \lambda' \leq \text{TEXTURE\_MAX\_LOD} \\ \text{TEXTURE\_MIN\_LOD}, & \lambda' < \text{TEXTURE\_MIN\_LOD} \\ \text{undefined}, & \text{TEXTURE\_MIN\_LOD} > \text{TEXTURE\_MAX\_LOD} \end{cases} \quad (3.14)$$

If  $\lambda(x, y)$  is less than or equal to the constant  $c$  (described below in section 3.8.6) the texture is said to be magnified; if it is greater, the texture is minified.

The initial values of `TEXTURE_MIN_LOD` and `TEXTURE_MAX_LOD` are chosen so as to never clamp the normal range of  $\lambda$ . They may be respecified for a specific texture by calling `TexParameter[if]`.

Let  $s(x, y)$  be the function that associates an  $s$  texture coordinate with each set of window coordinates  $(x, y)$  that lie within a primitive; define  $t(x, y)$  and  $r(x, y)$  analogously. Let  $u(x, y) = 2^n s(x, y)$ ,  $v(x, y) = 2^m t(x, y)$ , and  $w(x, y) = 2^l r(x, y)$ , where  $n$ ,  $m$ , and  $l$  are as defined by equations 3.11, 3.12, and 3.13 with  $w_s$ ,  $h_s$ , and  $d_s$  equal to the width, height, and depth of the image array whose level is `TEXTURE_BASE_LEVEL`. For a one-dimensional texture, define  $v(x, y) \equiv 0$  and  $w(x, y) \equiv 0$ ; for a two-dimensional texture, define  $w(x, y) \equiv 0$ . For a polygon,  $\rho$  is given at a fragment with window coordinates  $(x, y)$  by

$$\rho = \max \left\{ \sqrt{\left(\frac{\partial u}{\partial x}\right)^2 + \left(\frac{\partial v}{\partial x}\right)^2 + \left(\frac{\partial w}{\partial x}\right)^2}, \sqrt{\left(\frac{\partial u}{\partial y}\right)^2 + \left(\frac{\partial v}{\partial y}\right)^2 + \left(\frac{\partial w}{\partial y}\right)^2} \right\} \quad (3.15)$$

where  $\partial u/\partial x$  indicates the derivative of  $u$  with respect to window  $x$ , and similarly for the other derivatives.

For a line, the formula is

$$\rho = \sqrt{\left(\frac{\partial u}{\partial x}\Delta x + \frac{\partial u}{\partial y}\Delta y\right)^2 + \left(\frac{\partial v}{\partial x}\Delta x + \frac{\partial v}{\partial y}\Delta y\right)^2 + \left(\frac{\partial w}{\partial x}\Delta x + \frac{\partial w}{\partial y}\Delta y\right)^2} / l, \quad (3.16)$$

where  $\Delta x = x_2 - x_1$  and  $\Delta y = y_2 - y_1$  with  $(x_1, y_1)$  and  $(x_2, y_2)$  being the segment's window coordinate endpoints and  $l = \sqrt{\Delta x^2 + \Delta y^2}$ . For a point, pixel rectangle, or bitmap,  $\rho \equiv 1$ .

While it is generally agreed that equations 3.15 and 3.16 give the best results when texturing, they are often impractical to implement. Therefore, an implementation may approximate the ideal  $\rho$  with a function  $f(x, y)$  subject to these conditions:

1.  $f(x, y)$  is continuous and monotonically increasing in each of  $|\partial u/\partial x|$ ,  $|\partial u/\partial y|$ ,  $|\partial v/\partial x|$ ,  $|\partial v/\partial y|$ ,  $|\partial w/\partial x|$ , and  $|\partial w/\partial y|$
2. Let

$$m_u = \max \left\{ \left| \frac{\partial u}{\partial x} \right|, \left| \frac{\partial u}{\partial y} \right| \right\}$$

$$m_v = \max \left\{ \left| \frac{\partial v}{\partial x} \right|, \left| \frac{\partial v}{\partial y} \right| \right\}$$

$$m_w = \max \left\{ \left| \frac{\partial w}{\partial x} \right|, \left| \frac{\partial w}{\partial y} \right| \right\}.$$

Then  $\max\{m_u, m_v, m_w\} \leq f(x, y) \leq m_u + m_v + m_w$ .

When  $\lambda$  indicates minification, the value assigned to `TEXTURE_MIN_FILTER` is used to determine how the texture value for a fragment is selected. When `TEXTURE_MIN_FILTER` is `NEAREST`, the texel in the image array of level `TEXTURE_BASE_LEVEL` that is nearest (in Manhattan distance) to that specified by  $(s, t, r)$  is obtained. This means the texel at location  $(i, j, k)$  becomes the texture value, with  $i$  given by

$$i = \begin{cases} \lfloor u \rfloor, & s < 1 \\ 2^n - 1, & s = 1 \end{cases} \quad (3.17)$$

(Recall that if `TEXTURE_WRAP_S` is `REPEAT`, then  $0 \leq s < 1$ .) Similarly,  $j$  is found as

$$j = \begin{cases} \lfloor v \rfloor, & t < 1 \\ 2^m - 1, & t = 1 \end{cases} \quad (3.18)$$

and  $k$  is found as

$$k = \begin{cases} \lfloor w \rfloor, & r < 1 \\ 2^l - 1, & r = 1 \end{cases} \quad (3.19)$$

For a one-dimensional texture,  $j$  and  $k$  are irrelevant; the texel at location  $i$  becomes the texture value. For a two-dimensional texture,  $k$  is irrelevant; the texel at location  $(i, j)$  becomes the texture value.

When `TEXTURE_MIN_FILTER` is `LINEAR`, a  $2 \times 2 \times 2$  cube of texels in the image array of level `TEXTURE_BASE_LEVEL` is selected. This cube is obtained by first clamping texture coordinates as described above under **Texture Wrap Modes** (if the wrap mode for a coordinate is `CLAMP` or `CLAMP_TO_EDGE`) and computing

$$i_0 = \begin{cases} \lfloor u - 1/2 \rfloor \bmod 2^n, & \text{TEXTURE_WRAP_S is REPEAT} \\ \lfloor u - 1/2 \rfloor, & \text{otherwise} \end{cases}$$

$$j_0 = \begin{cases} \lfloor v - 1/2 \rfloor \bmod 2^m, & \text{TEXTURE\_WRAP\_T is REPEAT} \\ \lfloor v - 1/2 \rfloor, & \textit{otherwise} \end{cases}$$

and

$$k_0 = \begin{cases} \lfloor w - 1/2 \rfloor \bmod 2^l, & \text{TEXTURE\_WRAP\_R is REPEAT} \\ \lfloor w - 1/2 \rfloor, & \textit{otherwise} \end{cases}$$

Then

$$i_1 = \begin{cases} (i_0 + 1) \bmod 2^n, & \text{TEXTURE\_WRAP\_S is REPEAT} \\ i_0 + 1, & \textit{otherwise} \end{cases}$$

$$j_1 = \begin{cases} (j_0 + 1) \bmod 2^m, & \text{TEXTURE\_WRAP\_T is REPEAT} \\ j_0 + 1, & \textit{otherwise} \end{cases}$$

and

$$k_1 = \begin{cases} (k_0 + 1) \bmod 2^l, & \text{TEXTURE\_WRAP\_R is REPEAT} \\ k_0 + 1, & \textit{otherwise} \end{cases}$$

Let

$$\alpha = \text{frac}(u - 1/2)$$

$$\beta = \text{frac}(v - 1/2)$$

$$\gamma = \text{frac}(w - 1/2)$$

where  $\text{frac}(x)$  denotes the fractional part of  $x$ .

For a three-dimensional texture, the texture value  $\tau$  is found as

$$\begin{aligned} \tau = & (1 - \alpha)(1 - \beta)(1 - \gamma)\tau_{i_0 j_0 k_0} + \alpha(1 - \beta)(1 - \gamma)\tau_{i_1 j_0 k_0} \\ & + (1 - \alpha)\beta(1 - \gamma)\tau_{i_0 j_1 k_0} + \alpha\beta(1 - \gamma)\tau_{i_1 j_1 k_0} \\ & + (1 - \alpha)(1 - \beta)\gamma\tau_{i_0 j_0 k_1} + \alpha(1 - \beta)\gamma\tau_{i_1 j_0 k_1} \\ & + (1 - \alpha)\beta\gamma\tau_{i_0 j_1 k_1} + \alpha\beta\gamma\tau_{i_1 j_1 k_1} \end{aligned}$$

where  $\tau_{ijk}$  is the texel at location  $(i, j, k)$  in the three-dimensional texture image.

For a two-dimensional texture,



$$\tau = (1 - \alpha)(1 - \beta)\tau_{i_0j_0} + \alpha(1 - \beta)\tau_{i_1j_0} + (1 - \alpha)\beta\tau_{i_0j_1} + \alpha\beta\tau_{i_1j_1} \quad (3.20)$$

where  $\tau_{ij}$  is the texel at location  $(i, j)$  in the two-dimensional texture image.

And for a one-dimensional texture,

$$\tau = (1 - \alpha)\tau_{i_0} + \alpha\tau_{i_1}$$

where  $\tau_i$  is the texel at location  $i$  in the one-dimensional texture.

If any of the selected  $\tau_{ijk}$ ,  $\tau_{ij}$ , or  $\tau_i$  in the above equations refer to a border texel with  $i < -b_s$ ,  $j < -b_s$ ,  $k < -b_s$ ,  $i \geq w_s - b_s$ ,  $j \geq h_s - b_s$ , or  $j \geq d_s - b_s$ , then the border color given by the current setting of `TEXTURE_BORDER_COLOR` is used instead of the unspecified value or values. The RGBA values of the `TEXTURE_BORDER_COLOR` are interpreted to match the texture's internal format in a manner consistent with table 3.15.

### Mipmapping

`TEXTURE_MIN_FILTER` values `NEAREST_MIPMAP_NEAREST`, `NEAREST_MIPMAP_LINEAR`, `LINEAR_MIPMAP_NEAREST`, and `LINEAR_MIPMAP_LINEAR` each require the use of a *mipmap*. A mipmap is an ordered set of arrays representing the same image; each array has a resolution lower than the previous one. If the image array of level `TEXTURE_BASE_LEVEL`, excluding its border, has dimensions  $2^n \times 2^m \times 2^l$ , then there are  $\max\{n, m, l\} + 1$  image arrays in the mipmap. Each array subsequent to the array of level `TEXTURE_BASE_LEVEL` has dimensions

$$\sigma(i - 1) \times \sigma(j - 1) \times \sigma(k - 1)$$

where the dimensions of the previous array are

$$\sigma(i) \times \sigma(j) \times \sigma(k)$$

and

$$\sigma(x) = \begin{cases} 2^x & x > 0 \\ 1 & x \leq 0 \end{cases}$$

until the last array is reached with dimension  $1 \times 1 \times 1$ .

Each array in a mipmap is defined using `TexImage3D`, `TexImage2D`, `CopyTexImage2D`, `TexImage1D`, or `CopyTexImage1D`; the array being set is indicated with the level-of-detail argument *level*. Level-of-detail numbers proceed from `TEXTURE_BASE_LEVEL` for the original texture array

through  $p = \max\{n, m, l\} + \text{TEXTURE\_BASE\_LEVEL}$  with each unit increase indicating an array of half the dimensions of the previous one as already described. If texturing is enabled (and `TEXTURE_MIN_FILTER` is one that requires a mipmap) at the time a primitive is rasterized and if the set of arrays `TEXTURE_BASE_LEVEL` through  $q = \min\{p, \text{TEXTURE\_MAX\_LEVEL}\}$  is incomplete, then it is as if texture mapping were disabled. The set of arrays `TEXTURE_BASE_LEVEL` through  $q$  is incomplete if the internal formats of all the mipmap arrays were not specified with the same symbolic constant, if the border widths of the mipmap arrays are not the same, if the dimensions of the mipmap arrays do not follow the sequence described above, if `TEXTURE_MAX_LEVEL` < `TEXTURE_BASE_LEVEL`, or if `TEXTURE_BASE_LEVEL` >  $p$ . Array levels  $k$  where  $k < \text{TEXTURE\_BASE\_LEVEL}$  or  $k > q$  are insignificant.

The values of `TEXTURE_BASE_LEVEL` and `TEXTURE_MAX_LEVEL` may be re-specified for a specific texture by calling `TexParameter[if]`. The error `INVALID_VALUE` is generated if either value is negative.

The mipmap is used in conjunction with the level of detail to approximate the application of an appropriately filtered texture to a fragment. Let  $c$  be the value of  $\lambda$  at which the transition from minification to magnification occurs (since this discussion pertains to minification, we are concerned only with values of  $\lambda$  where  $\lambda > c$ ). In the following equations, let

$$b = \text{TEXTURE\_BASE\_LEVEL}$$

For mipmap filters `NEAREST_MIPMAP_NEAREST` and `LINEAR_MIPMAP_NEAREST`, the  $d$ th mipmap array is selected, where

$$d = \begin{cases} b, & \lambda \leq \frac{1}{2} \\ \lceil b + \lambda + \frac{1}{2} \rceil - 1, & \lambda > \frac{1}{2}, b + \lambda \leq q + \frac{1}{2} \\ q, & \lambda > \frac{1}{2}, b + \lambda > q + \frac{1}{2} \end{cases} \quad (3.21)$$

The rules for `NEAREST` or `LINEAR` filtering are then applied to the selected array.

For mipmap filters `NEAREST_MIPMAP_LINEAR` and `LINEAR_MIPMAP_LINEAR`, the level  $d_1$  and  $d_2$  mipmap arrays are selected, where

$$d_1 = \begin{cases} q, & b + \lambda \geq q \\ \lceil b + \lambda \rceil, & \text{otherwise} \end{cases} \quad (3.22)$$

$$d_2 = \begin{cases} q, & b + \lambda \geq q \\ d_1 + 1, & \text{otherwise} \end{cases} \quad (3.23)$$

The rules for `NEAREST` or `LINEAR` filtering are then applied to each of the selected arrays, yielding two corresponding texture values  $\tau_1$  and  $\tau_2$ . The final texture value is then found as

$$\tau = [1 - \text{frac}(\lambda)]\tau_1 + \text{frac}(\lambda)\tau_2.$$

### 3.8.6 Texture Magnification

When  $\lambda$  indicates magnification, the value assigned to `TEXTURE_MAG_FILTER` determines how the texture value is obtained. There are two possible values for `TEXTURE_MAG_FILTER`: `NEAREST` and `LINEAR`. `NEAREST` behaves exactly as `NEAREST` for `TEXTURE_MIN_FILTER` (equations 3.17, 3.18, and 3.19 are used); `LINEAR` behaves exactly as `LINEAR` for `TEXTURE_MIN_FILTER` (equation 3.20 is used). The level-of-detail `TEXTURE_BASE_LEVEL` texture array is always used for magnification.

Finally, there is the choice of  $c$ , the minification vs. magnification switch-over point. If the magnification filter is given by `LINEAR` and the minification filter is given by `NEAREST_MIPMAP_NEAREST` or `NEAREST_MIPMAP_LINEAR`, then  $c = 0.5$ . This is done to ensure that a minified texture does not appear “sharper” than a magnified texture. Otherwise  $c = 0$ .

### 3.8.7 Texture State and Proxy State

The state necessary for texture can be divided into two categories. First, there are the three sets of mipmap arrays (one-, two-, and three-dimensional) and their number. Each array has associated with it a width, height (two- or three-dimensional only), and depth (three-dimensional only), a border width, an integer describing the internal format of the image, and six integer values describing the resolutions of each of the red, green, blue, alpha, luminance, and intensity components of the image. Each initial texture array is null (zero width, height, and depth, zero border width, internal format 1, with zero-sized components). Next, there are the two sets of texture properties; each consists of the selected minification and magnification filters, the wrap modes for  $s$ ,  $t$  (two- and three-dimensional only), and  $r$  (three-dimensional only), the `TEXTURE_BORDER_COLOR`, two integers describing the minimum and maximum level of detail, two integers describing the base and maximum mipmap array, a boolean flag indicating whether the texture is resident and the priority associated with each set of properties. The value of the resident flag is determined by the GL and may change as a result of other GL operations. The flag may only be queried, not set, by applications. See section 3.8.8). In the initial state, the value assigned to `TEXTURE_MIN_FILTER` is `NEAREST_MIPMAP_LINEAR`, and the value for `TEXTURE_MAG_FILTER` is `LINEAR`.  $s$ ,  $t$ , and  $r$  wrap modes are all set to `REPEAT`.

The values of `TEXTURE_MIN_LOD` and `TEXTURE_MAX_LOD` are -1000 and 1000 respectively. The values of `TEXTURE_BASE_LEVEL` and `TEXTURE_MAX_LEVEL` are 0 and 1000 respectively. `TEXTURE_PRIORITY` is 1.0, and `TEXTURE_BORDER_COLOR` is (0,0,0,0). The initial value of `TEXTURE_RESIDENT` is determined by the GL.

In addition to the one-, two-, and three-dimensional sets of image arrays, partially instantiated one-, two-, and three-dimensional sets of proxy image arrays are maintained. Each proxy array includes width, height (two- and three-dimensional arrays only), depth (three-dimensional arrays only), border width, and internal format state values, as well as state for the red, green, blue, alpha, luminance, and intensity component resolutions. Proxy arrays do not include image data, nor do they include texture properties. When **TexImage3D** is executed with *target* specified as `PROXY_TEXTURE_3D`, the three-dimensional proxy state values of the specified level-of-detail are recomputed and updated. If the image array would not be supported by **TexImage3D** called with *target* set to `TEXTURE_3D`, no error is generated, but the proxy width, height, depth, border width, and component resolutions are set to zero. If the image array would be supported by such a call to **TexImage3D**, the proxy state values are set exactly as though the actual image array were being specified. No pixel data are transferred or processed in either case.

One- and two-dimensional proxy arrays are operated on in the same way when **TexImage1D** is executed with *target* specified as `PROXY_TEXTURE_1D`, or **TexImage2D** is executed with *target* specified as `PROXY_TEXTURE_2D`.

There is no image associated with any of the proxy textures. Therefore `PROXY_TEXTURE_1D`, `PROXY_TEXTURE_2D`, and `PROXY_TEXTURE_3D` cannot be used as textures, and their images must never be queried using **GetTexImage**. The error `INVALID_ENUM` is generated if this is attempted. Likewise, there is no nonlevel-related state associated with a proxy texture, and **GetTexParameteriv** or **GetTexParameterfv** may not be called with a proxy texture *target*. The error `INVALID_ENUM` is generated if this is attempted.

### 3.8.8 Texture Objects

In addition to the default textures `TEXTURE_1D`, `TEXTURE_2D`, and `TEXTURE_3D` named one-, two-, and three-dimensional texture objects can be created and operated upon. The name space for texture objects is the unsigned integers, with zero reserved by the GL.

A texture object is created by *binding* an unused name to `TEXTURE_1D`, `TEXTURE_2D`, or `TEXTURE_3D`. The binding is effected by calling

```
void BindTexture( enum target, uint texture );
```

with *target* set to the desired texture target and *texture* set to the unused name. The resulting texture object is a new state vector, comprising all the state values listed in section 3.8.7, set to the same initial values. If the new texture object is bound to `TEXTURE_1D`, `TEXTURE_2D`, or `TEXTURE_3D` respectively, it is and remains a one-, two-, or three-dimensional texture until it is deleted.

**BindTexture** may also be used to bind an existing texture object to either `TEXTURE_1D`, `TEXTURE_2D`, or `TEXTURE_3D`. The error `INVALID_OPERATION` is generated if an attempt is made to bind a texture object of different dimensionality than the specified *target*. If the bind is successful no change is made to the state of the bound texture object, and any previous binding to *target* is broken.

While a texture object is bound, GL operations on the target to which it is bound affect the bound object, and queries of the target to which it is bound return state from the bound object. If texture mapping of the dimensionality of the target to which a texture object is bound is enabled, the state of the bound texture object directs the texturing operation.

In the initial state, `TEXTURE_1D`, `TEXTURE_2D`, and `TEXTURE_3D` have one-, two-, and three-dimensional texture state vectors associated with them. In order that access to these initial textures not be lost, they are treated as texture objects all of whose names are 0. The initial one-, two-, or three-dimensional texture is therefore operated upon, queried, and applied as `TEXTURE_1D`, `TEXTURE_2D`, or `TEXTURE_3D` respectively while 0 is bound to the corresponding targets.

Texture objects are deleted by calling

```
void DeleteTextures( sizei n, uint *textures );
```

*textures* contains *n* names of texture objects to be deleted. After a texture object is deleted, it has no contents or dimensionality, and its name is again unused. If a texture that is currently bound to one of the targets `TEXTURE_1D`, `TEXTURE_2D`, or `TEXTURE_3D` is deleted, it is as though **BindTexture** had been executed with the same *target* and *texture* zero. Unused names in *textures* are silently ignored, as is the value zero.

The command

```
void GenTextures( sizei n, uint *textures );
```

returns  $n$  previously unused texture object names in *textures*. These names are marked as used, for the purposes of **GenTextures** only, but they acquire texture state and a dimensionality only when they are first bound, just as if they were unused.

An implementation may choose to establish a working set of texture objects on which binding operations are performed with higher performance. A texture object that is currently part of the working set is said to be *resident*. The command

```
boolean AreTexturesResident( sizei n, uint *textures,
                             boolean *residences );
```

returns **TRUE** if all of the  $n$  texture objects named in *textures* are resident, or if the implementation does not distinguish a working set. If at least one of the texture objects named in *textures* is not resident, then **FALSE** is returned, and the residence of each texture object is returned in *residences*. Otherwise the contents of *residences* are not changed. If any of the names in *textures* are unused or are zero, **FALSE** is returned, the error **INVALID\_VALUE** is generated, and the contents of *residences* are indeterminate. The residence status of a single bound texture object can also be queried by calling **GetTexParameteriv** or **GetTexParameterfv** with *target* set to the target to which the texture object is bound, and *pname* set to **TEXTURE\_RESIDENT**.

**AreTexturesResident** indicates only whether a texture object is currently resident, not whether it could not be made resident. An implementation may choose to make a texture object resident only on first use, for example. The client may guide the GL implementation in determining which texture objects should be resident by specifying a priority for each texture object. The command

```
void PrioritizeTextures( sizei n, uint *textures,
                        clampf *priorities );
```

sets the priorities of the  $n$  texture objects named in *textures* to the values in *priorities*. Each priority value is clamped to the range [0,1] before it is assigned. Zero indicates the lowest priority, with the least likelihood of being resident. One indicates the highest priority, with the greatest likelihood of being resident. The priority of a single bound texture object may also be changed by calling **TexParameterI**, **TexParameterf**, **TexParameteriv**, or **TexParameterfv** with *target* set to the target to which the texture object is bound, *pname* set to **TEXTURE\_PRIORITY**, and *param* or *params*

specifying the new priority value (which is clamped to the range [0,1] before being assigned). **PrioritizeTextures** silently ignores attempts to prioritize unused texture object names or zero (default textures).

### 3.8.9 Texture Environments and Texture Functions

The command

```
void TexEnv{if}( enum target, enum pname, T param );
void TexEnv{if}v( enum target, enum pname, T params );
```

sets parameters of the *texture environment* that specifies how texture values are interpreted when texturing a fragment. *target* must currently be the symbolic constant `TEXTURE_ENV`. *pname* is a symbolic constant indicating the parameter to be set. In the first form of the command, *param* is a value to which to set a single-valued parameter; in the second form, *params* is a pointer to an array of parameters: either a single symbolic constant or a value or group of values to which the parameter should be set. The possible environment parameters are `TEXTURE_ENV_MODE` and `TEXTURE_ENV_COLOR`. `TEXTURE_ENV_MODE` may be set to one of `REPLACE`, `MODULATE`, `DECAL`, or `BLEND`; `TEXTURE_ENV_COLOR` is set to an RGBA color by providing four single-precision floating-point values in the range [0, 1] (values outside this range are clamped to it). If integers are provided for `TEXTURE_ENV_COLOR`, then they are converted to floating-point as specified in table 2.6 for signed integers.

The value of `TEXTURE_ENV_MODE` specifies a *texture function*. The result of this function depends on the fragment and the texture array value. The precise form of the function depends on the base internal formats of the texture arrays that were last specified. In the following two tables,  $R_f$ ,  $G_f$ ,  $B_f$ , and  $A_f$  are the primary color components of the incoming fragment;  $R_t$ ,  $G_t$ ,  $B_t$ ,  $A_t$ ,  $L_t$ , and  $I_t$  are the filtered texture values;  $R_c$ ,  $G_c$ ,  $B_c$ , and  $A_c$  are the texture environment color values; and  $R_v$ ,  $G_v$ ,  $B_v$ , and  $A_v$  are the primary color components computed by the texture function. All of these color values are in the range [0, 1]. The `REPLACE` and `MODULATE` texture functions are specified in table 3.18, and the `DECAL` and `BLEND` texture functions are specified in table 3.19.

The state required for the current texture environment consists of the four-valued integer indicating the texture function and four floating-point `TEXTURE_ENV_COLOR` values. In the initial state, the texture function is given by `MODULATE` and `TEXTURE_ENV_COLOR` is (0, 0, 0, 0).

Base Internal Format	REPLACE Texture Function	MODULATE Texture Function
ALPHA	$R_v = R_f$ $G_v = G_f$ $B_v = B_f$ $A_v = A_t$	$R_v = R_f$ $G_v = G_f$ $B_v = B_f$ $A_v = A_f A_t$
LUMINANCE (or 1)	$R_v = L_t$ $G_v = L_t$ $B_v = L_t$ $A_v = A_f$	$R_v = R_f L_t$ $G_v = G_f L_t$ $B_v = B_f L_t$ $A_v = A_f$
LUMINANCE_ALPHA (or 2)	$R_v = L_t$ $G_v = L_t$ $B_v = L_t$ $A_v = A_t$	$R_v = R_f L_t$ $G_v = G_f L_t$ $B_v = B_f L_t$ $A_v = A_f A_t$
INTENSITY	$R_v = I_t$ $G_v = I_t$ $B_v = I_t$ $A_v = I_t$	$R_v = R_f I_t$ $G_v = G_f I_t$ $B_v = B_f I_t$ $A_v = A_f I_t$
RGB (or 3)	$R_v = R_t$ $G_v = G_t$ $B_v = B_t$ $A_v = A_f$	$R_v = R_f R_t$ $G_v = G_f G_t$ $B_v = B_f B_t$ $A_v = A_f$
RGBA (or 4)	$R_v = R_t$ $G_v = G_t$ $B_v = B_t$ $A_v = A_t$	$R_v = R_f R_t$ $G_v = G_f G_t$ $B_v = B_f B_t$ $A_v = A_f A_t$

Table 3.18: Replace and modulate texture functions.



Base Internal Format	DECAL Texture Function	BLEND Texture Function
ALPHA	undefined	$R_v = R_f$ $G_v = G_f$ $B_v = B_f$ $A_v = A_f A_t$
LUMINANCE (or 1)	undefined	$R_v = R_f(1 - L_t) + R_c L_t$ $G_v = G_f(1 - L_t) + G_c L_t$ $B_v = B_f(1 - L_t) + B_c L_t$ $A_v = A_f$
LUMINANCE_ALPHA (or 2)	undefined	$R_v = R_f(1 - L_t) + R_c L_t$ $G_v = G_f(1 - L_t) + G_c L_t$ $B_v = B_f(1 - L_t) + B_c L_t$ $A_v = A_f A_t$
INTENSITY	undefined	$R_v = R_f(1 - I_t) + R_c I_t$ $G_v = G_f(1 - I_t) + G_c I_t$ $B_v = B_f(1 - I_t) + B_c I_t$ $A_v = A_f(1 - I_t) + A_c I_t$
RGB (or 3)	$R_v = R_t$ $G_v = G_t$ $B_v = B_t$ $A_v = A_f$	$R_v = R_f(1 - R_t) + R_c R_t$ $G_v = G_f(1 - G_t) + G_c G_t$ $B_v = B_f(1 - B_t) + B_c B_t$ $A_v = A_f$
RGBA (or 4)	$R_v = R_f(1 - A_t) + R_t A_t$ $G_v = G_f(1 - A_t) + G_t A_t$ $B_v = B_f(1 - A_t) + B_t A_t$ $A_v = A_f$	$R_v = R_f(1 - R_t) + R_c R_t$ $G_v = G_f(1 - G_t) + G_c G_t$ $B_v = B_f(1 - B_t) + B_c B_t$ $A_v = A_f A_t$

Table 3.19: Decal and blend texture functions.

### 3.8.10 Texture Application

Texturing is enabled or disabled using the generic **Enable** and **Disable** commands, respectively, with the symbolic constants `TEXTURE_1D`, `TEXTURE_2D`, or `TEXTURE_3D` to enable the one-, two-, or three-dimensional texture, respectively. If both two- and one-dimensional textures are enabled, the two-dimensional texture is used. If the three-dimensional and either of the two- or one-dimensional textures is enabled, the three-dimensional texture is used. If all texturing is disabled, a rasterized fragment is passed on unaltered to the next stage of the GL (although its texture coordinates may be discarded). Otherwise, a texture value is found according to the parameter values of the currently bound texture image of the appropriate dimensionality using the rules given in sections 3.8.5 and 3.8.6. This texture value is used along with the incoming fragment in computing the texture function indicated by the currently bound texture environment. The result of this function replaces the incoming fragment's primary R, G, B, and A values. These are the color values passed to subsequent operations. Other data associated with the incoming fragment remain unchanged, except that the texture coordinates may be discarded.

The required state is three bits indicating whether each of one-, two-, or three-dimensional texturing is enabled or disabled. In the initial state, all texturing is disabled.

## 3.9 Color Sum

At the beginning of color sum, a fragment has two RGBA colors: a primary color  $\mathbf{c}_{pri}$  (which texturing, if enabled, may have modified) and a secondary color  $\mathbf{c}_{sec}$ . The components of these two colors are summed to produce a single post-texturing RGBA color  $\mathbf{c}$ . The components of  $\mathbf{c}$  are then clamped to the range  $[0, 1]$ .

Color sum has no effect in color index mode.

## 3.10 Fog

If enabled, fog blends a fog color with a rasterized fragment's post-texturing color using a blending factor  $f$ . Fog is enabled and disabled with the **Enable** and **Disable** commands using the symbolic constant `FOG`.

This factor  $f$  is computed according to one of three equations:

$$f = \exp(-d \cdot z), \quad (3.24)$$

$$f = \exp(-(d \cdot z)^2), \text{ or} \quad (3.25)$$

$$f = \frac{e - z}{e - s} \quad (3.26)$$

( $z$  is the eye-coordinate distance from the eye,  $(0, 0, 0, 1)$  in eye coordinates, to the fragment center). The equation, along with either  $d$  or  $e$  and  $s$ , is specified with

```
void Fog{if}( enum pname, T param );
void Fog{if}v( enum pname, T params );
```

If  $pname$  is `FOG_MODE`, then  $param$  must be, or  $params$  must point to an integer that is one of the symbolic constants `EXP`, `EXP2`, or `LINEAR`, in which case equation 3.24, 3.25, or 3.26, respectively, is selected for the fog calculation (if, when 3.26 is selected,  $e = s$ , results are undefined). If  $pname$  is `FOG_DENSITY`, `FOG_START`, or `FOG_END`, then  $param$  is or  $params$  points to a value that is  $d$ ,  $s$ , or  $e$ , respectively. If  $d$  is specified less than zero, the error `INVALID_VALUE` results.

An implementation may choose to approximate the eye-coordinate distance from the eye to each fragment center by  $|z_e|$ . Further,  $f$  need not be computed at each fragment, but may be computed at each vertex and interpolated as other data are.

No matter which equation and approximation is used to compute  $f$ , the result is clamped to  $[0, 1]$  to obtain the final  $f$ .

$f$  is used differently depending on whether the GL is in `RGBA` or color index mode. In `RGBA` mode, if  $C_r$  represents a rasterized fragment's R, G, or B value, then the corresponding value produced by fog is

$$C = fC_r + (1 - f)C_f.$$

(The rasterized fragment's A value is not changed by fog blending.) The R, G, B, and A values of  $C_f$  are specified by calling `Fog` with  $pname$  equal to `FOG_COLOR`; in this case  $params$  points to four values comprising  $C_f$ . If these are not floating-point values, then they are converted to floating-point using the conversion given in table 2.6 for signed integers. Each component of  $C_f$  is clamped to  $[0, 1]$  when specified.

In color index mode, the formula for fog blending is

$$I = i_r + (1 - f)i_f$$

where  $i_r$  is the rasterized fragment's color index and  $i_f$  is a single-precision floating-point value.  $(1 - f)i_f$  is rounded to the nearest fixed-point value

with the same number of bits to the right of the binary point as  $i_r$ , and the integer portion of  $I$  is masked (bitwise ANDed) with  $2^n - 1$ , where  $n$  is the number of bits in a color in the color index buffer (buffers are discussed in chapter 4). The value of  $i_f$  is set by calling **Fog** with *pname* set to **FOG\_INDEX** and *param* being or *params* pointing to a single value for the fog index. The integer part of  $i_f$  is masked with  $2^n - 1$ .

The state required for fog consists of a three valued integer to select the fog equation, three floating-point values  $d$ ,  $e$ , and  $s$ , an RGBA fog color and a fog color index, and a single bit to indicate whether or not fog is enabled. In the initial state, fog is disabled, **FOG\_MODE** is **EXP**,  $d = 1.0$ ,  $e = 1.0$ , and  $s = 0.0$ ;  $C_f = (0, 0, 0, 0)$  and  $i_f = 0$ .

### 3.11 Antialiasing Application

Finally, if antialiasing is enabled for the primitive from which a rasterized fragment was produced, then the computed coverage value is applied to the fragment. In RGBA mode, the value is multiplied by the fragment's alpha (A) value to yield a final alpha value. In color index mode, the value is used to set the low order bits of the color index value as described in section 3.2.

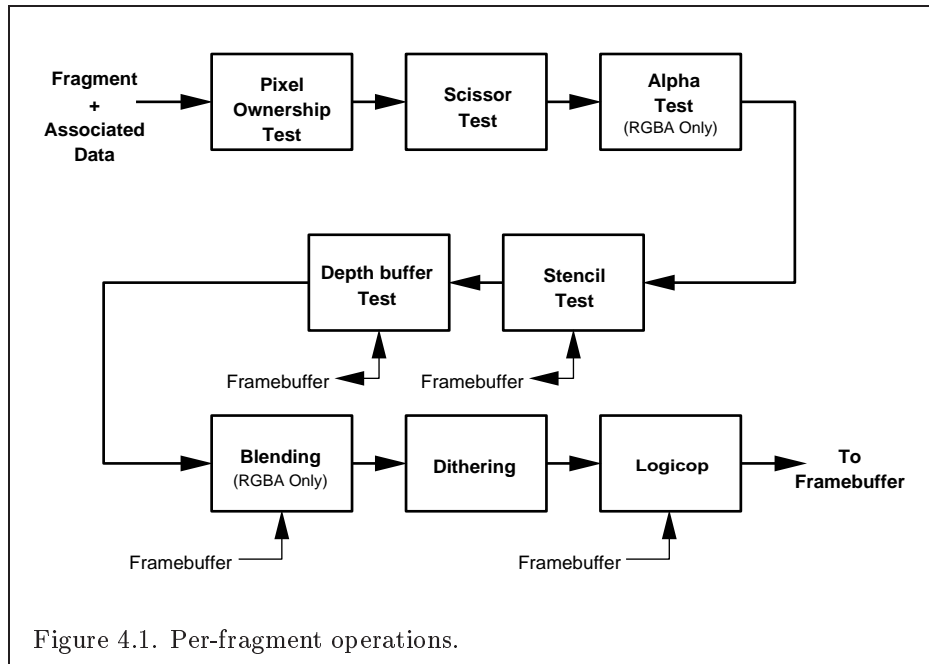
## Chapter 4

# Per-Fragment Operations and the Framebuffer

The framebuffer consists of a set of pixels arranged as a two-dimensional array. The height and width of this array may vary from one GL implementation to another. For purposes of this discussion, each pixel in the framebuffer is simply a set of some number of bits. The number of bits per pixel may also vary depending on the particular GL implementation or context.

Corresponding bits from each pixel in the framebuffer are grouped together into a *bitplane*; each bitplane contains a single bit from each pixel. These bitplanes are grouped into several *logical buffers*. These are the *color*, *depth*, *stencil*, and *accumulation* buffers. The color buffer actually consists of a number of buffers: the *front left* buffer, the *front right* buffer, the *back left* buffer, the *back right* buffer, and some number of *auxiliary* buffers. Typically the contents of the front buffers are displayed on a color monitor while the contents of the back buffers are invisible. (Monoscopic contexts display only the front left buffer; stereoscopic contexts display both the front left and the front right buffers.) The contents of the auxiliary buffers are never visible. All color buffers must have the same number of bitplanes, although an implementation or context may choose not to provide right buffers, back buffers, or auxiliary buffers at all. Further, an implementation or context may not provide depth, stencil, or accumulation buffers.

Color buffers consist of either unsigned integer color indices or R, G, B, and, optionally, A unsigned integer values. The number of bitplanes in each of the color buffers, the depth buffer, the stencil buffer, and the accumulation buffer is fixed and window dependent. If an accumulation buffer is provided,



it must have at least as many bitplanes per R, G, and B color component as do the color buffers.

The initial state of all provided bitplanes is undefined.

## 4.1 Per-Fragment Operations

A fragment produced by rasterization with window coordinates of  $(x_w, y_w)$  modifies the pixel in the framebuffer at that location based on a number of parameters and conditions. We describe these modifications and tests, diagrammed in Figure 4.1, in the order in which they are performed. Figure 4.1 diagrams these modifications and tests.

### 4.1.1 Pixel Ownership Test

The first test is to determine if the pixel at location  $(x_w, y_w)$  in the framebuffer is currently owned by the GL (more precisely, by this GL context). If it is not, the window system decides the fate the incoming fragment. Possible results are that the fragment is discarded or that some subset of the subsequent per-fragment operations are applied to the fragment. This test

allows the window system to control the GL's behavior, for instance, when a GL window is obscured.

#### 4.1.2 Scissor test

The scissor test determines if  $(x_w, y_w)$  lies within the scissor rectangle defined by four values. These values are set with

```
void Scissor( int left, int bottom, sizei width,
             sizei height );
```

If  $left \leq x_w < left + width$  and  $bottom \leq y_w < bottom + height$ , then the scissor test passes. Otherwise, the test fails and the fragment is discarded. The test is enabled or disabled using **Enable** or **Disable** using the constant `SCISSOR_TEST`. When disabled, it is as if the scissor test always passes. If either *width* or *height* is less than zero, then the error `INVALID_VALUE` is generated. The state required consists of four integer values and a bit indicating whether the test is enabled or disabled. In the initial state  $left = bottom = 0$ ; *width* and *height* are determined by the size of the GL window. Initially, the scissor test is disabled.

#### 4.1.3 Alpha test

This step applies only in RGBA mode. In color index mode, proceed to the next step. The alpha test discards a fragment conditional on the outcome of a comparison between the incoming fragment's alpha value and a constant value. The comparison is enabled or disabled with the generic **Enable** and **Disable** commands using the symbolic constant `ALPHA_TEST`. When disabled, it is as if the comparison always passes. The test is controlled with

```
void AlphaFunc( enum func, clampf ref );
```

*func* is a symbolic constant indicating the alpha test function; *ref* is a reference value. *ref* is clamped to lie in  $[0, 1]$ , and then converted to a fixed-point value according to the rules given for an A component in section 2.13.9. For purposes of the alpha test, the fragment's alpha value is also rounded to the nearest integer. The possible constants specifying the test function are `NEVER`, `ALWAYS`, `LESS`, `LEQUAL`, `EQUAL`, `GEQUAL`, `GREATER`, or `NOTEQUAL`, meaning pass the fragment never, always, if the fragment's alpha value is less than, less than or equal to, equal to, greater than or equal to, greater than, or not equal to the reference value, respectively.

The required state consists of the floating-point reference value, an eight-valued integer indicating the comparison function, and a bit indicating if the comparison is enabled or disabled. The initial state is for the reference value to be 0 and the function to be **ALWAYS**. Initially, the alpha test is disabled.

#### 4.1.4 Stencil test

The stencil test conditionally discards a fragment based on the outcome of a comparison between the value in the stencil buffer at location  $(x_w, y_w)$  and a reference value. The test is controlled with

```
void StencilFunc( enum func, int ref, uint mask );
void StencilOp( enum sfail, enum dpsfail, enum dppass );
```

The test is enabled or disabled with the **Enable** and **Disable** commands, using the symbolic constant **STENCIL\_TEST**. When disabled, the stencil test and associated modifications are not made, and the fragment is always passed.

*ref* is an integer reference value that is used in the unsigned stencil comparison. It is clamped to the range  $[0, 2^s - 1]$ , where  $s$  is the number of bits in the stencil buffer. *func* is a symbolic constant that determines the stencil comparison function; the eight symbolic constants are **NEVER**, **ALWAYS**, **LESS**, **LEQUAL**, **EQUAL**, **GEQUAL**, **GREATER**, or **NOTEQUAL**. Accordingly, the stencil test passes never, always, if the reference value is less than, less than or equal to, equal to, greater than or equal to, greater than, or not equal to the masked stored value in the stencil buffer. The  $s$  least significant bits of *mask* are bitwise ANDed with both the reference and the stored stencil value. The ANDed values are those that participate in the comparison.

**StencilOp** takes three arguments that indicate what happens to the stored stencil value if this or certain subsequent tests fail or pass. *sfail* indicates what action is taken if the stencil test fails. The symbolic constants are **KEEP**, **ZERO**, **REPLACE**, **INCR**, **DECR**, and **INVERT**. These correspond to keeping the current value, setting it to zero, replacing it with the reference value, incrementing it, decrementing it, or bitwise inverting it. For purposes of increment and decrement, the stencil bits are considered as an unsigned integer; values clamp at 0 and the maximum representable value. The same symbolic values are given to indicate the stencil action if the depth buffer test (below) fails (*dpsfail*), or if it passes (*dppass*).

If the stencil test fails, the incoming fragment is discarded. The state required consists of the most recent values passed to **StencilFunc** and **StencilOp**, and a bit indicating whether stencil testing is enabled or disabled.



In the initial state, stenciling is disabled, the stencil reference value is zero, the stencil comparison function is **ALWAYS**, and the stencil *mask* is all ones. Initially, all three stencil operations are **KEEP**. If there is no stencil buffer, no stencil modification can occur, and it is as if the stencil tests always pass, regardless of any calls to **StencilOp**.

#### 4.1.5 Depth buffer test

The depth buffer test discards the incoming fragment if a depth comparison fails. The comparison is enabled or disabled with the generic **Enable** and **Disable** commands using the symbolic constant **DEPTH\_TEST**. When disabled, the depth comparison and subsequent possible updates to the depth buffer value are bypassed and the fragment is passed to the next operation. The stencil value, however, is modified as indicated below as if the depth buffer test passed. If enabled, the comparison takes place and the depth buffer and stencil value may subsequently be modified.

The comparison is specified with

```
void DepthFunc( enum func );
```

This command takes a single symbolic constant: one of **NEVER**, **ALWAYS**, **LESS**, **LEQUAL**, **EQUAL**, **GREATER**, **GEQUAL**, **NOTEQUAL**. Accordingly, the depth buffer test passes never, always, if the incoming fragment's  $z_w$  value is less than, less than or equal to, equal to, greater than, greater than or equal to, or not equal to the depth value stored at the location given by the incoming fragment's  $(x_w, y_w)$  coordinates.

If the depth buffer test fails, the incoming fragment is discarded. The stencil value at the fragment's  $(x_w, y_w)$  coordinates is updated according to the function currently in effect for depth buffer test failure. Otherwise, the fragment continues to the next operation and the value of the depth buffer at the fragment's  $(x_w, y_w)$  location is set to the fragment's  $z_w$  value. In this case the stencil value is updated according to the function currently in effect for depth buffer test success.

The necessary state is an eight-valued integer and a single bit indicating whether depth buffering is enabled or disabled. In the initial state the function is **LESS** and the test is disabled.

If there is no depth buffer, it is as if the depth buffer test always passes.

### 4.1.6 Blending

Blending combines the incoming fragment's R, G, B, and A values with the R, G, B, and A values stored in the framebuffer at the incoming fragment's  $(x_w, y_w)$  location.

This blending is dependent on the incoming fragment's alpha value and that of the corresponding currently stored pixel. Blending applies only in RGBA mode; in color index mode it is bypassed. Blending is enabled or disabled using **Enable** or **Disable** with the symbolic constant **BLEND**. If it is disabled, or if logical operation on color values is enabled (section 4.1.8), proceed to the next stage.

In the following discussion,  $C_s$  refers to the source color for an incoming fragment,  $C_d$  refers to the destination color at the corresponding framebuffer location, and  $C_c$  refers to a constant color in the GL state. Individual RGBA components of these colors are denoted by subscripts of  $s$ ,  $d$ , and  $c$  respectively.

Destination (framebuffer) components are taken to be fixed-point values represented according to the scheme given in section 2.13.9 (Final Color Processing), as are source (fragment) components. Constant color components are taken to be floating point values.

Prior to blending, each fixed-point color component undergoes an implied conversion to floating point. This conversion must leave the values 0 and 1 invariant. Blending computations are treated as if carried out in floating point.

The commands that control blending are

```
void BlendColor( clampf red, clampf green, clampf blue,
                clampf alpha );
void BlendEquation( enum mode );

void BlendFunc( enum src, enum dst );
```

#### Using BlendColor

The constant color  $C_c$  to be used in blending is specified with **BlendColor**. The four parameters are clamped to the range  $[0, 1]$  before being stored. The constant color can be used in both the source and destination blending factors.

**BlendColor** is an imaging subset feature (see section 3.6.2), and is only allowed when the imaging subset is supported.

### Using BlendEquation

Blending capability is defined by the *blend equation*. **BlendEquation** *mode* **FUNC\_ADD** defines the blending equation as

$$C = C_s S + C_d D$$

where  $C_s$  and  $C_d$  are the source and destination colors, and  $S$  and  $D$  are quadruplets of weighting factors as specified by **BlendFunc**.

If *mode* is **FUNC\_SUBTRACT**, the blending equation is defined as

$$C = C_s S - C_d D$$

If *mode* is **FUNC\_REVERSE\_SUBTRACT**, the blending equation is defined as

$$C = C_d D - C_s S$$

If *mode* is **MIN**, the blending equation is defined as

$$C = \min(C_s, C_d)$$

Finally, if *mode* is **MAX**, the blending equation is defined as

$$C = \max(C_s, C_d)$$

The blending equation is evaluated separately for each color component and the corresponding weighting factors.

**BlendEquation** is an imaging subset feature (see section 3.6.2). If the imaging subset is not available, then blending always uses the blending equation **FUNC\_ADD**.

### Using BlendFunc

**BlendFunc** *src* indicates how to compute a source blending factor, while *dst* indicates how to compute a destination factor. The possible arguments and their corresponding computed source and destination factors are summarized in Tables 4.1 and 4.2. Addition or subtraction of quadruplets means adding or subtracting them component-wise.

The computed source and destination blending quadruplets are applied to the source and destination R, G, B, and A values to obtain a new set of values that are sent to the next operation. Let the source and destination blending quadruplets be  $S$  and  $D$ , respectively. Then a quadruplet of values is computed using the blend equation specified by **BlendEquation**. Each

Value	Blend Factors
ZERO	$(0, 0, 0, 0)$
ONE	$(1, 1, 1, 1)$
DST_COLOR	$(R_d, G_d, B_d, A_d)$
ONE_MINUS_DST_COLOR	$(1, 1, 1, 1) - (R_d, G_d, B_d, A_d)$
SRC_ALPHA	$(A_s, A_s, A_s, A_s)$
ONE_MINUS_SRC_ALPHA	$(1, 1, 1, 1) - (A_s, A_s, A_s, A_s)$
DST_ALPHA	$(A_d, A_d, A_d, A_d)$
ONE_MINUS_DST_ALPHA	$(1, 1, 1, 1) - (A_d, A_d, A_d, A_d)$
CONSTANT_COLOR	$(R_c, G_c, B_c, A_c)$
ONE_MINUS_CONSTANT_COLOR	$(1, 1, 1, 1) - (R_c, G_c, B_c, A_c)$
CONSTANT_ALPHA	$(A_c, A_c, A_c, A_c)$
ONE_MINUS_CONSTANT_ALPHA	$(1, 1, 1, 1) - (A_c, A_c, A_c, A_c)$
SRC_ALPHA_SATURATE	$(f, f, f, 1)$

Table 4.1: Values controlling the source blending function and the source blending values they compute.  $f = \min(A_s, 1 - A_d)$ .

Value	Blend factors
ZERO	$(0, 0, 0, 0)$
ONE	$(1, 1, 1, 1)$
SRC_COLOR	$(R_s, G_s, B_s, A_s)$
ONE_MINUS_SRC_COLOR	$(1, 1, 1, 1) - (R_s, G_s, B_s, A_s)$
SRC_ALPHA	$(A_s, A_s, A_s, A_s)$
ONE_MINUS_SRC_ALPHA	$(1, 1, 1, 1) - (A_s, A_s, A_s, A_s)$
DST_ALPHA	$(A_d, A_d, A_d, A_d)$
ONE_MINUS_DST_ALPHA	$(1, 1, 1, 1) - (A_d, A_d, A_d, A_d)$
CONSTANT_COLOR	$(R_c, G_c, B_c, A_c)$
ONE_MINUS_CONSTANT_COLOR	$(1, 1, 1, 1) - (R_c, G_c, B_c, A_c)$
CONSTANT_ALPHA	$(A_c, A_c, A_c, A_c)$
ONE_MINUS_CONSTANT_ALPHA	$(1, 1, 1, 1) - (A_c, A_c, A_c, A_c)$

Table 4.2: Values controlling the destination blending function and the destination blending values they compute.

floating-point value in this quadruplet is clamped to  $[0, 1]$  and converted back to a fixed-point value in the manner described in section 2.13.9. The resulting four values are sent to the next operation.

**BlendFunc** arguments **CONSTANT\_COLOR**, **ONE\_MINUS\_CONSTANT\_COLOR**, **CONSTANT\_ALPHA**, and **ONE\_MINUS\_CONSTANT\_ALPHA** are imaging subset features (see section 3.6.2), and are only allowed when the imaging subset is provided.

### Blending State

The state required for blending is an integer indicating the blending equation, two integers indicating the source and destination blending functions, four floating-point values to store the RGBA constant blend color, and a bit indicating whether blending is enabled or disabled. The initial blending equation is **FUNC\_ADD**. The initial blending functions are **ONE** for the source function and **ZERO** for the destination function. The initial constant blend color is  $(R, G, B, A) = (0, 0, 0, 0)$ . Initially, blending is disabled.

Blending occurs once for each color buffer currently enabled for writing (section 4.2.1) using each buffer's color for  $C_d$ . If a color buffer has no A value, then  $A_d$  is taken to be 1.

#### 4.1.7 Dithering

Dithering selects between two color values or indices. In RGBA mode, consider the value of any of the color components as a fixed-point value with  $m$  bits to the left of the binary point, where  $m$  is the number of bits allocated to that component in the framebuffer; call each such value  $c$ . For each  $c$ , dithering selects a value  $c_1$  such that  $c_1 \in \{\max\{0, \lceil c \rceil - 1\}, \lceil c \rceil\}$  (after this selection, treat  $c_1$  as a fixed point value in  $[0,1]$  with  $m$  bits). This selection may depend on the  $x_w$  and  $y_w$  coordinates of the pixel. In color index mode, the same rule applies with  $c$  being a single color index.  $c$  must not be larger than the maximum value representable in the framebuffer for either the component or the index, as appropriate.

Many dithering algorithms are possible, but a dithered value produced by any algorithm must depend only the incoming value and the fragment's  $x$  and  $y$  window coordinates. If dithering is disabled, then each color component is truncated to a fixed-point value with as many bits as there are in the corresponding component in the framebuffer; a color index is rounded to the nearest integer representable in the color index portion of the framebuffer.

Dithering is enabled with **Enable** and disabled with **Disable** using the

symbolic constant `DITHER`. The state required is thus a single bit. Initially, dithering is enabled.

#### 4.1.8 Logical Operation

Finally, a logical operation is applied between the incoming fragment's color or index values and the color or index values stored at the corresponding location in the framebuffer. The result replaces the values in the framebuffer at the fragment's  $(x, y)$  coordinates. The logical operation on color indices is enabled or disabled with **Enable** or **Disable** using the symbolic constant `INDEX_LOGIC_OP`. (For compatibility with GL version 1.0, the symbolic constant `LOGIC_OP` may also be used.) The logical operation on color values is enabled or disabled with **Enable** or **Disable** using the symbolic constant `COLOR_LOGIC_OP`. If the logical operation is enabled for color values, it is as if blending were disabled, regardless of the value of `BLEND`.

The logical operation is selected by

```
void LogicOp( enum op );
```

*op* is a symbolic constant; the possible constants and corresponding operations are enumerated in Table 4.3. In this table, *s* is the value of the incoming fragment and *d* is the value stored in the framebuffer. The numeric values assigned to the symbolic constants are the same as those assigned to the corresponding symbolic values in the X window system.

Logical operations are performed independently for each color index buffer that is selected for writing, or for each red, green, blue, and alpha value of each color buffer that is selected for writing. The required state is an integer indicating the logical operation, and two bits indicating whether the logical operation is enabled or disabled. The initial state is for the logic operation to be given by `COPY`, and to be disabled.

## 4.2 Whole Framebuffer Operations

The preceding sections described the operations that occur as individual fragments are sent to the framebuffer. This section describes operations that control or affect the whole framebuffer.

### 4.2.1 Selecting a Buffer for Writing

The first such operation is controlling the buffer into which color values are written. This is accomplished with

Argument value	Operation
CLEAR	0
AND	$s \wedge d$
AND_REVERSE	$s \wedge \neg d$
COPY	$s$
AND_INVERTED	$\neg s \wedge d$
NOOP	$d$
XOR	$s \text{ xor } d$
OR	$s \vee d$
NOR	$\neg(s \vee d)$
EQUIV	$\neg(s \text{ xor } d)$
INVERT	$\neg d$
OR_REVERSE	$s \vee \neg d$
COPY_INVERTED	$\neg s$
OR_INVERTED	$\neg s \vee d$
NAND	$\neg(s \wedge d)$
SET	all 1's

Table 4.3: Arguments to **LogicOp** and their corresponding operations.

```
void DrawBuffer( enum buf );
```

*buf* is a symbolic constant specifying zero, one, two, or four buffers for writing. The constants are NONE, FRONT\_LEFT, FRONT\_RIGHT, BACK\_LEFT, BACK\_RIGHT, FRONT, BACK, LEFT, RIGHT, FRONT\_AND\_BACK, and AUX0 through AUX $n$ , where  $n + 1$  is the number of available auxiliary buffers.

The constants refer to the four potentially visible buffers *front\_left*, *front\_right*, *back\_left*, and *back\_right*, and to the *auxiliary* buffers. Arguments other than AUX $i$  that omit reference to LEFT or RIGHT refer to both left and right buffers. Arguments other than AUX $i$  that omit reference to FRONT or BACK refer to both front and back buffers. AUX $i$  enables drawing only to *auxiliary* buffer  $i$ . Each AUX $i$  adheres to  $\text{AUX}i = \text{AUX}0 + i$ . The constants and the buffers they indicate are summarized in Table 4.4. If **DrawBuffer** is supplied with a constant (other than NONE) that does not indicate any of the color buffers allocated to the GL context, the error INVALID\_OPERATION results.

Indicating a buffer or buffers using **DrawBuffer** causes subsequent pixel color value writes to affect the indicated buffers. If more than one color buffer is selected for drawing, blending and logical operations are computed

symbolic constant	front left	front right	back left	back right	aux <i>i</i>
NONE					
FRONT_LEFT	•				
FRONT_RIGHT		•			
BACK_LEFT			•		
BACK_RIGHT				•	
FRONT	•	•			
BACK			•	•	
LEFT	•		•		
RIGHT		•		•	
FRONT_AND_BACK	•	•	•	•	
AUX <i>i</i>					•

Table 4.4: Arguments to **DrawBuffer** and the buffers that they indicate.

and applied independently for each buffer. Calling **DrawBuffer** with a value of **NONE** inhibits the writing of color values to any buffer.

Monoscopic contexts include only left buffers, while stereoscopic contexts include both left and right buffers. Likewise, single buffered contexts include only front buffers, while double buffered contexts include both front and back buffers. The type of context is selected at GL initialization.

The state required to handle buffer selection is a set of up to  $4 + n$  bits. 4 bits indicate if the front left buffer, the front right buffer, the back left buffer, or the back right buffer, are enabled for color writing. The other  $n$  bits indicate which of the auxiliary buffers is enabled for color writing. In the initial state, the front buffer or buffers are enabled if there are no back buffers; otherwise, only the back buffer or buffers are enabled.

### 4.2.2 Fine Control of Buffer Updates

Four commands are used to mask the writing of bits to each of the logical framebuffers after all per-fragment operations have been performed. The commands

```
void IndexMask( uint mask );
void ColorMask( boolean r, boolean g, boolean b,
                boolean a );
```



control the color buffer or buffers (depending on which buffers are currently indicated for writing). The least significant  $n$  bits of *mask*, where  $n$  is the number of bits in a color index buffer, specify a mask. Where a 1 appears in this mask, the corresponding bit in the color index buffer (or buffers) is written; where a 0 appears, the bit is not written. This mask applies only in color index mode. In RGBA mode, **ColorMask** is used to mask the writing of R, G, B and A values to the color buffer or buffers. *r*, *g*, *b*, and *a* indicate whether R, G, B, or A values, respectively, are written or not (a value of TRUE means that the corresponding value is written). In the initial state, all bits (in color index mode) and all color values (in RGBA mode) are enabled for writing.

The depth buffer can be enabled or disabled for writing  $z_w$  values using

```
void DepthMask( boolean mask );
```

If *mask* is non-zero, the depth buffer is enabled for writing; otherwise, it is disabled. In the initial state, the depth buffer is enabled for writing.

The command

```
void StencilMask( uint mask );
```

controls the writing of particular bits into the stencil planes. The least significant  $s$  bits of *mask* comprise an integer mask ( $s$  is the number of bits in the stencil buffer), just as for **IndexMask**. The initial state is for the stencil plane mask to be all ones.

The state required for the various masking operations is two integers and a bit: an integer for color indices, an integer for stencil values, and a bit for depth values. A set of four bits is also required indicating which color components of an RGBA value should be written. In the initial state, the integer masks are all ones as are the bits controlling depth value and RGBA component writing.

### 4.2.3 Clearing the Buffers

The GL provides a means for setting portions of every pixel in a particular buffer to the same value. The argument to

```
void Clear( bitfield buf );
```

is the bitwise OR of a number of values indicating which buffers are to be cleared. The values are COLOR\_BUFFER\_BIT, DEPTH\_BUFFER\_BIT,

`STENCIL_BUFFER_BIT`, and `ACCUM_BUFFER_BIT`, indicating the buffers currently enabled for color writing, the depth buffer, the stencil buffer, and the accumulation buffer (see below), respectively. The value to which each buffer is cleared depends on the setting of the clear value for that buffer. If the mask is not a bitwise OR of the specified values, then the error `INVALID_VALUE` is generated.

```
void ClearColor( clampf r, clampf g, clampf b,
                clampf a );
```

sets the clear value for the color buffers in RGBA mode. Each of the specified components is clamped to  $[0, 1]$  and converted to fixed-point according to the rules of section 2.13.9.

```
void ClearIndex( float index );
```

sets the clear color index. *index* is converted to a fixed-point value with unspecified precision to the left of the binary point; the integer part of this value is then masked with  $2^m - 1$ , where  $m$  is the number of bits in a color index value stored in the framebuffer.

```
void ClearDepth( clampd d );
```

takes a floating-point value that is clamped to the range  $[0, 1]$  and converted to fixed-point according to the rules for a window  $z$  value given in section 2.10.1. Similarly,

```
void ClearStencil( int s );
```

takes a single integer argument that is the value to which to clear the stencil buffer. *s* is masked to the number of bitplanes in the stencil buffer.

```
void ClearAccum( float r, float g, float b, float a );
```

takes four floating-point arguments that are the values, in order, to which to set the R, G, B, and A values of the accumulation buffer (see the next section). These values are clamped to the range  $[-1, 1]$  when they are specified.

When **Clear** is called, the only per-fragment operations that are applied (if enabled) are the pixel ownership test, the scissor test, and dithering. The masking operations described in the last section (4.2.2) are also effective. If a buffer is not present, then a **Clear** directed at that buffer has no effect.

The state required for clearing is a clear value for each of the color buffer, the depth buffer, the stencil buffer, and the accumulation buffer. Initially, the RGBA color clear value is (0,0,0,0), the clear color index is 0, and the stencil buffer and accumulation buffer clear values are all 0. The depth buffer clear value is initially 1.0.

#### 4.2.4 The Accumulation Buffer

Each portion of a pixel in the accumulation buffer consists of four values: one for each of R, G, B, and A. The accumulation buffer is controlled exclusively through the use of

```
void Accum( enum op, float value );
```

(except for clearing it). *op* is a symbolic constant indicating an accumulation buffer operation, and *value* is a floating-point value to be used in that operation. The possible operations are **ACCUM**, **LOAD**, **RETURN**, **MULT**, and **ADD**.

When the scissor test is enabled (section 4.1.2), then only those pixels within the current scissor box are updated by any **Accum** operation; otherwise, all pixels in the window are updated. The accumulation buffer operations apply identically to every affected pixel, so we describe the effect of each operation on an individual pixel. Accumulation buffer values are taken to be signed values in the range  $[-1, 1]$ . Using **ACCUM** obtains R, G, B, and A components from the buffer currently selected for reading (section 4.3.2). Each component, considered as a fixed-point value in  $[0, 1]$ . (see section 2.13.9), is converted to floating-point. Each result is then multiplied by *value*. The results of this multiplication are then added to the corresponding color component currently in the accumulation buffer, and the resulting color value replaces the current accumulation buffer color value.

The **LOAD** operation has the same effect as **ACCUM**, but the computed values replace the corresponding accumulation buffer components rather than being added to them.

The **RETURN** operation takes each color value from the accumulation buffer, multiplies each of the R, G, B, and A components by *value*, and clamps the results to the range  $[0, 1]$ . The resulting color value is placed in the buffers currently enabled for color writing as if it were a fragment produced from rasterization, except that the only per-fragment operations that are applied (if enabled) are the pixel ownership test, the scissor test (section 4.1.2), and dithering (section 4.1.7). Color masking (section 4.2.2) is also applied.

The **MULT** operation multiplies each R, G, B, and A in the accumulation buffer by *value* and then returns the scaled color components to their corresponding accumulation buffer locations. **ADD** is the same as **MULT** except that *value* is added to each of the color components.

The color components operated on by **Accum** must be clamped only if the operation is **RETURN**. In this case, a value sent to the enabled color buffers is first clamped to  $[0, 1]$ . Otherwise, results are undefined if the result of an operation on a color component is out of the range  $[-1, 1]$ . If there is no accumulation buffer, or if the GL is in color index mode, **Accum** generates the error **INVALID\_OPERATION**.

No state (beyond the accumulation buffer itself) is required for accumulation buffering.

### 4.3 Drawing, Reading, and Copying Pixels

Pixels may be written to and read from the framebuffer using the **DrawPixels** and **ReadPixels** commands. **CopyPixels** can be used to copy a block of pixels from one portion of the framebuffer to another.

#### 4.3.1 Writing to the Stencil Buffer

The operation of **DrawPixels** was described in section 3.6.4, except if the *format* argument was **STENCIL\_INDEX**. In this case, all operations described for **DrawPixels** take place, but window  $(x, y)$  coordinates, each with the corresponding stencil index, are produced in lieu of fragments. Each coordinate-stencil index pair is sent directly to the per-fragment operations, bypassing the texture, fog, and antialiasing application stages of rasterization. Each pair is then treated as a fragment for purposes of the pixel ownership and scissor tests; all other per-fragment operations are bypassed. Finally, each stencil index is written to its indicated location in the framebuffer, subject to the current setting of **StencilMask**.

The error **INVALID\_OPERATION** results if there is no stencil buffer.

#### 4.3.2 Reading Pixels

The method for reading pixels from the framebuffer and placing them in client memory is diagrammed in Figure 4.2. We describe the stages of the pixel reading process in the order in which they occur.

Pixels are read using

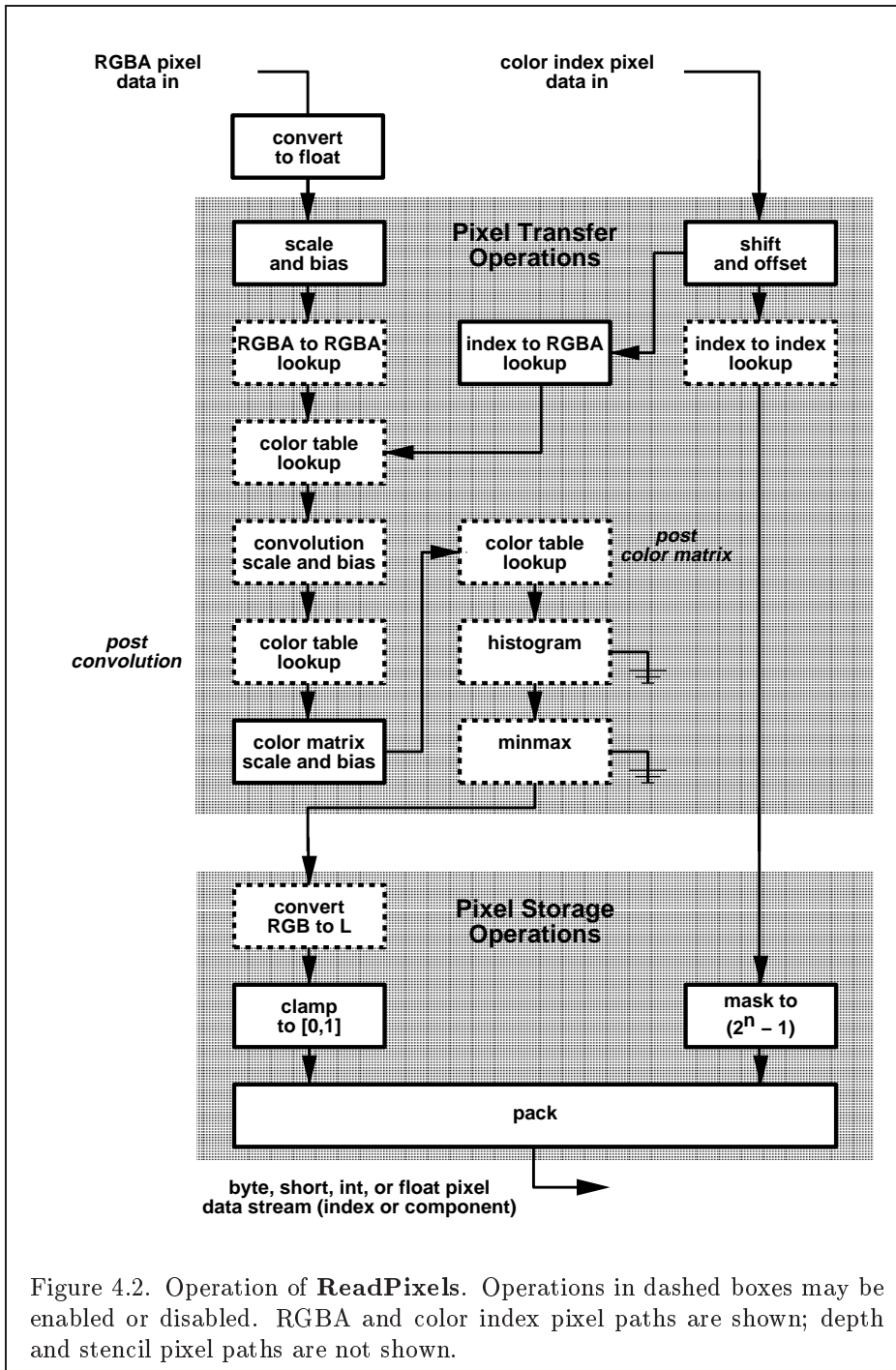


Figure 4.2. Operation of **ReadPixels**. Operations in dashed boxes may be enabled or disabled. RGBA and color index pixel paths are shown; depth and stencil pixel paths are not shown.

Parameter Name	Type	Initial Value	Valid Range
PACK_SWAP_BYTES	boolean	FALSE	TRUE/FALSE
PACK_LSB_FIRST	boolean	FALSE	TRUE/FALSE
PACK_ROW_LENGTH	integer	0	[0, ∞)
PACK_SKIP_ROWS	integer	0	[0, ∞)
PACK_SKIP_PIXELS	integer	0	[0, ∞)
PACK_ALIGNMENT	integer	4	1,2,4,8
PACK_IMAGE_HEIGHT	integer	0	[0, ∞)
PACK_SKIP_IMAGES	integer	0	[0, ∞)

Table 4.5: **PixelStore** parameters pertaining to **ReadPixels**, **GetTexImage1D**, **GetTexImage2D**, **GetTexImage3D**, **GetColorTable**, **GetConvolutionFilter**, **GetSeparableFilter**, **GetHistogram**, and **GetMinmax**.

```
void ReadPixels( int x, int y, sizei width, sizei height,
                enum format, enum type, void *data );
```

The arguments after  $x$  and  $y$  to **ReadPixels** correspond to those of **DrawPixels**. The pixel storage modes that apply to **ReadPixels** and other commands that query images (see section 6.1) are summarized in Table 4.5.

### Obtaining Pixels from the Framebuffer

If the *format* is **DEPTH\_COMPONENT**, then values are obtained from the depth buffer. If there is no depth buffer, the error **INVALID\_OPERATION** occurs.

If the *format* is **STENCIL\_INDEX**, then values are taken from the stencil buffer; again, if there is no stencil buffer, the error **INVALID\_OPERATION** occurs.

For all other formats, the buffer from which values are obtained is one of the color buffers; the selection of color buffer is controlled with **ReadBuffer**.

The command

```
void ReadBuffer( enum src );
```

takes a symbolic constant as argument. The possible values are **FRONT\_LEFT**, **FRONT\_RIGHT**, **BACK\_LEFT**, **BACK\_RIGHT**, **FRONT**, **BACK**, **LEFT**, **RIGHT**, and **AUX0** through **AUXn**. **FRONT** and **LEFT** refer to the front left buffer, **BACK** refers to the back left buffer, and **RIGHT** refers to the front right buffer. The other constants correspond directly to the buffers that they name. If the requested

buffer is missing, then the error `INVALID_OPERATION` is generated. The initial setting for `ReadBuffer` is `FRONT` if there is no back buffer and `BACK` otherwise.

`ReadPixels` obtains values from the selected buffer from each pixel with lower left hand corner at  $(x + i, y + j)$  for  $0 \leq i < width$  and  $0 \leq j < height$ ; this pixel is said to be the  $i$ th pixel in the  $j$ th row. If any of these pixels lies outside of the window allocated to the current GL context, the values obtained for those pixels are undefined. Results are also undefined for individual pixels that are not owned by the current context. Otherwise, `ReadPixels` obtains values from the selected buffer, regardless of how those values were placed there.

If the GL is in `RGBA` mode, and *format* is one of `RED`, `GREEN`, `BLUE`, `ALPHA`, `RGB`, `RGBA`, `BGR`, `BGRA`, `LUMINANCE`, or `LUMINANCE_ALPHA`, then red, green, blue, and alpha values are obtained from the selected buffer at each pixel location. If the framebuffer does not support alpha values then the A that is obtained is 1.0. If *format* is `COLOR_INDEX` and the GL is in `RGBA` mode then the error `INVALID_OPERATION` occurs. If the GL is in color index mode, and *format* is not `DEPTH_COMPONENT` or `STENCIL_INDEX`, then the color index is obtained at each pixel location.

#### Conversion of `RGBA` values

This step applies only if the GL is in `RGBA` mode, and then only if *format* is neither `STENCIL_INDEX` nor `DEPTH_COMPONENT`. The R, G, B, and A values form a group of elements. Each element is taken to be a fixed-point value in  $[0, 1]$  with  $m$  bits, where  $m$  is the number of bits in the corresponding color component of the selected buffer (see section 2.13.9).

#### Conversion of `Depth` values

This step applies only if *format* is `DEPTH_COMPONENT`. An element is taken to be a fixed-point value in  $[0, 1]$  with  $m$  bits, where  $m$  is the number of bits in the depth buffer (see section 2.10.1).

#### Pixel Transfer Operations

This step is actually the sequence of steps that was described separately in section 3.6.5. After the processing described in that section is completed, groups are processed as described in the following sections.

<i>type</i> Parameter	Index Mask
UNSIGNED_BYTE	$2^8 - 1$
BITMAP	1
BYTE	$2^7 - 1$
UNSIGNED_SHORT	$2^{16} - 1$
SHORT	$2^{15} - 1$
UNSIGNED_INT	$2^{32} - 1$
INT	$2^{31} - 1$

Table 4.6: Index masks used by **ReadPixels**. Floating point data are not masked.

### Conversion to L

This step applies only to RGBA component groups, and only if the *format* is either LUMINANCE or LUMINANCE\_ALPHA. A value L is computed as

$$L = R + G + B$$

where *R*, *G*, and *B* are the values of the R, G, and B components. The single computed L component replaces the R, G, and B components in the group.

### Final Conversion

For an index, if the *type* is not FLOAT, final conversion consists of masking the index with the value given in Table 4.6; if the *type* is FLOAT, then the integer index is converted to a GL float data value.

For an RGBA color, each component is first clamped to [0, 1]. Then the appropriate conversion formula from table 4.7 is applied to the component.

### Placement in Client Memory

Groups of elements are placed in memory just as they are taken from memory for **DrawPixels**. That is, the *i*th group of the *j*th row (corresponding to the *i*th pixel in the *j*th row) is placed in memory just where the *i*th group of the *j*th row would be taken from for **DrawPixels**. See **Unpacking** under section 3.6.4. The only difference is that the storage mode parameters whose names begin with PACK\_ are used instead of those whose names begin with UNPACK\_. If the *format* is RED, GREEN, BLUE, ALPHA, or LUMINANCE,



<i>type</i> Parameter	GL Data Type	Component Conversion Formula
UNSIGNED_BYTE	ubyte	$c = (2^8 - 1)f$
BYTE	byte	$c = [(2^8 - 1)f - 1]/2$
UNSIGNED_SHORT	ushort	$c = (2^{16} - 1)f$
SHORT	short	$c = [(2^{16} - 1)f - 1]/2$
UNSIGNED_INT	uint	$c = (2^{32} - 1)f$
INT	int	$c = [(2^{32} - 1)f - 1]/2$
FLOAT	float	$c = f$
UNSIGNED_BYTE_3_3_2	ubyte	$c = (2^N - 1)f$
UNSIGNED_BYTE_2_3_3_REV	ubyte	$c = (2^N - 1)f$
UNSIGNED_SHORT_5_6_5	ushort	$c = (2^N - 1)f$
UNSIGNED_SHORT_5_6_5_REV	ushort	$c = (2^N - 1)f$
UNSIGNED_SHORT_4_4_4_4	ushort	$c = (2^N - 1)f$
UNSIGNED_SHORT_4_4_4_4_REV	ushort	$c = (2^N - 1)f$
UNSIGNED_SHORT_5_5_5_1	ushort	$c = (2^N - 1)f$
UNSIGNED_SHORT_1_5_5_5_REV	ushort	$c = (2^N - 1)f$
UNSIGNED_INT_8_8_8_8	uint	$c = (2^N - 1)f$
UNSIGNED_INT_8_8_8_8_REV	uint	$c = (2^N - 1)f$
UNSIGNED_INT_10_10_10_2	uint	$c = (2^N - 1)f$
UNSIGNED_INT_2_10_10_10_REV	uint	$c = (2^N - 1)f$

Table 4.7: Reversed component conversions - used when component data are being returned to client memory. Color, normal, and depth components are converted from the internal floating-point representation ( $f$ ) to a datum of the specified GL data type ( $c$ ) using the equations in this table. All arithmetic is done in the internal floating point format. These conversions apply to component data returned by GL query commands and to components of pixel data returned to client memory. The equations remain the same even if the implemented ranges of the GL data types are greater than the minimum required ranges. (See Table 2.2.) Equations with  $N$  as the exponent are performed for each bitfield of the packed data type, with  $N$  set to the number of bits in the bitfield.

only the corresponding single element is written. Likewise if the *format* is `LUMINANCE_ALPHA`, `RGB`, or `BGR`, only the corresponding two or three elements are written. Otherwise all the elements of each group are written.

### 4.3.3 Copying Pixels

**CopyPixels** transfers a rectangle of pixel values from one region of the framebuffer to another. Pixel copying is diagrammed in Figure 4.3.

```
void CopyPixels( int x, int y, sizei width, sizei height,
                enum type );
```

*type* is a symbolic constant that must be one of `COLOR`, `STENCIL`, or `DEPTH`, indicating that the values to be transferred are colors, stencil values, or depth values, respectively. The first four arguments have the same interpretation as the corresponding arguments to **ReadPixels**.

Values are obtained from the framebuffer, converted (if appropriate), then subjected to the pixel transfer operations described in section 3.6.5, just as if **ReadPixels** were called with the corresponding arguments. If the *type* is `STENCIL` or `DEPTH`, then it is as if the *format* for **ReadPixels** were `STENCIL_INDEX` or `DEPTH_COMPONENT`, respectively. If the *type* is `COLOR`, then if the GL is in `RGBA` mode, it is as if the *format* were `RGBA`, while if the GL is in color index mode, it is as if the *format* were `COLOR_INDEX`.

The groups of elements so obtained are then written to the framebuffer just as if **DrawPixels** had been given *width* and *height*, beginning with final conversion of elements. The effective *format* is the same as that already described.

### 4.3.4 Pixel Draw/Read state

The state required for pixel operations consists of the parameters that are set with **PixelStore**, **PixelTransfer**, and **PixelMap**. This state has been summarized in Tables 3.1, 3.2, and 3.3. The current setting of **ReadBuffer**, an integer, is also required, along with the current raster position (section 2.12). State set with **PixelStore** is GL client state.

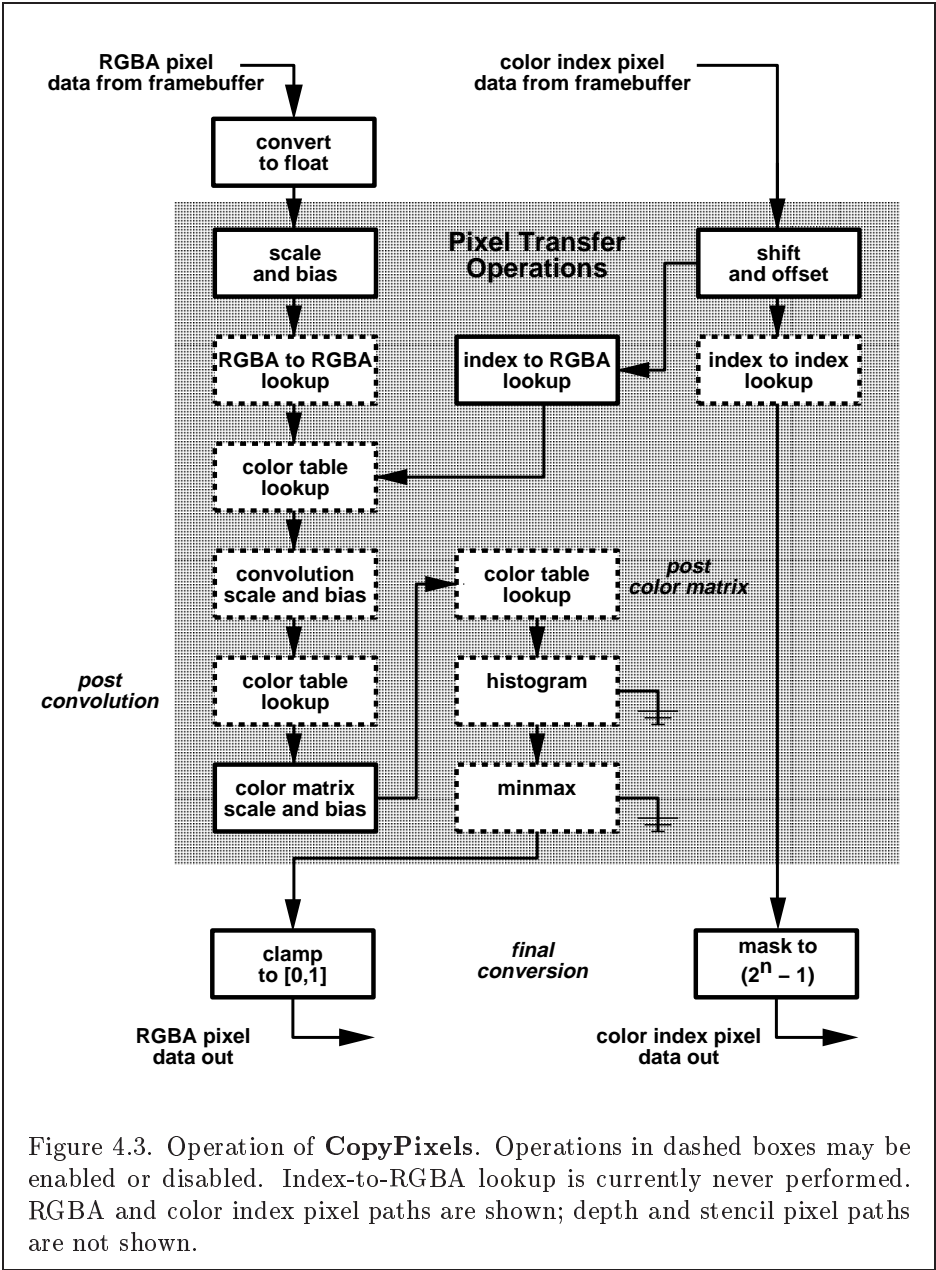


Figure 4.3. Operation of CopyPixels. Operations in dashed boxes may be enabled or disabled. Index-to-RGBA lookup is currently never performed. RGBA and color index pixel paths are shown; depth and stencil pixel paths are not shown.

## Chapter 5

# Special Functions

This chapter describes additional GL functionality that does not fit easily into any of the preceding chapters. This functionality consists of evaluators (used to model curves and surfaces), selection (used to locate rendered primitives on the screen), feedback (which returns GL results before rasterization), display lists (used to designate a group of GL commands for later execution by the GL), flushing and finishing (used to synchronize the GL command stream), and hints.

### 5.1 Evaluators

Evaluators provide a means to use a polynomial or rational polynomial mapping to produce vertex, normal, and texture coordinates, and colors. The values so produced are sent on to further stages of the GL as if they had been provided directly by the client. Transformations, lighting, primitive assembly, rasterization, and per-pixel operations are not affected by the use of evaluators.

Consider the  $R^k$ -valued polynomial  $\mathbf{p}(u)$  defined by

$$\mathbf{p}(u) = \sum_{i=0}^n B_i^n(u) \mathbf{R}_i \quad (5.1)$$

with  $\mathbf{R}_i \in R^k$  and

$$B_i^n(u) = \binom{n}{i} u^i (1-u)^{n-i},$$

the  $i$ th Bernstein polynomial of degree  $n$  (recall that  $0^0 \equiv 1$  and  $\binom{n}{0} \equiv 1$ ). Each  $\mathbf{R}_i$  is a *control point*. The relevant command is

<i>target</i>	<i>k</i>	Values
MAP1_VERTEX_3	3	<i>x, y, z</i> vertex coordinates
MAP1_VERTEX_4	4	<i>x, y, z, w</i> vertex coordinates
MAP1_INDEX	1	color index
MAP1_COLOR_4	4	R, G, B, A
MAP1_NORMAL	3	<i>x, y, z</i> normal coordinates
MAP1_TEXTURE_COORD_1	1	<i>s</i> texture coordinate
MAP1_TEXTURE_COORD_2	2	<i>s, t</i> texture coordinates
MAP1_TEXTURE_COORD_3	3	<i>s, t, r</i> texture coordinates
MAP1_TEXTURE_COORD_4	4	<i>s, t, r, q</i> texture coordinates

Table 5.1: Values specified by the *target* to **Map1**. Values are given in the order in which they are taken.

```
void Map1{fd}( enum type, T u1, T u2, int stride,
               int order, T points );
```

*type* is a symbolic constant indicating the range of the defined polynomial. Its possible values, along with the evaluations that each indicates, are given in Table 5.1. *order* is equal to  $n + 1$ ; The error `INVALID_VALUE` is generated if *order* is less than one or greater than `MAX_EVAL_ORDER`. *points* is a pointer to a set of  $n + 1$  blocks of storage. Each block begins with *k* single-precision floating-point or double-precision floating-point values, respectively. The rest of the block may be filled with arbitrary data. Table 5.1 indicates how *k* depends on *type* and what the *k* values represent in each case.

*stride* is the number of single- or double-precision values (as appropriate) in each block of storage. The error `INVALID_VALUE` results if *stride* is less than *k*. The order of the polynomial, *order*, is also the number of blocks of storage containing control points.

$u_1$  and  $u_2$  give two floating-point values that define the endpoints of the pre-image of the map. When a value  $u'$  is presented for evaluation, the formula used is

$$\mathbf{p}'(u') = \mathbf{p}\left(\frac{u' - u_1}{u_2 - u_1}\right).$$

The error `INVALID_VALUE` results if  $u_1 = u_2$ .

**Map2** is analogous to **Map1**, except that it describes bivariate poly-

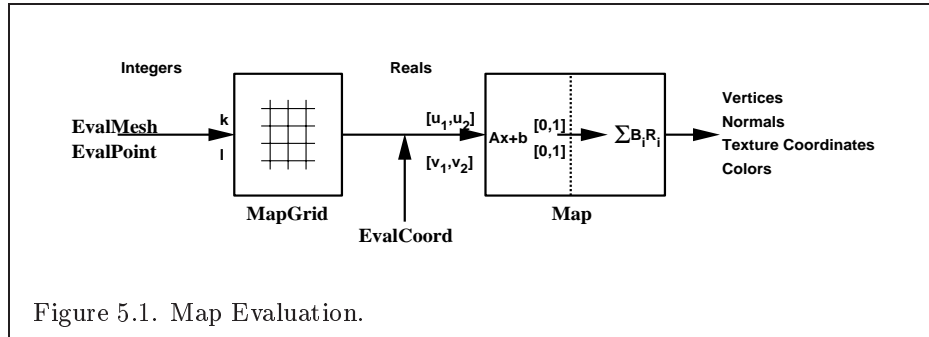


Figure 5.1. Map Evaluation.

mials of the form

$$\mathbf{p}(u, v) = \sum_{i=0}^n \sum_{j=0}^m B_i^n(u) B_j^m(v) \mathbf{R}_{ij}.$$

The form of the `Map2` command is

```
void Map2{fd}( enum target, T u1, T u2, int ustride,
               int vorder, T v1, T v2, int vstride, int vorder, T points );
```

`target` is a range type selected from the same group as is used for `Map1`, except that the string `MAP1` is replaced with `MAP2`. `points` is a pointer to  $(n+1)(m+1)$  blocks of storage (`uorder` =  $n+1$  and `vorder` =  $m+1$ ; the error `INVALID_VALUE` is generated if either `uorder` or `vorder` is less than one or greater than `MAX_EVAL_ORDER`). The values comprising  $\mathbf{R}_{ij}$  are located

$$(ustride)i + (vstride)j$$

values (either single- or double-precision floating-point, as appropriate) past the first value pointed to by `points`.  $u_1$ ,  $u_2$ ,  $v_1$ , and  $v_2$  define the pre-image rectangle of the map; a domain point  $(u', v')$  is evaluated as

$$\mathbf{p}'(u', v') = \mathbf{p}\left(\frac{u' - u_1}{u_2 - u_1}, \frac{v' - v_1}{v_2 - v_1}\right).$$

The evaluation of a defined map is enabled or disabled with `Enable` and `Disable` using the constant corresponding to the map as described above. The error `INVALID_VALUE` results if either `ustride` or `vstride` is less than  $k$ , or if  $u_1$  is equal to  $u_2$ , or if  $v_1$  is equal to  $v_2$ .

Figure 5.1 describes map evaluation schematically; an evaluation of enabled maps is effected in one of two ways. The first way is to use

```
void EvalCoord{12}{fd}( T arg );
void EvalCoord{12}{fd}v( T arg );
```

**EvalCoord1** causes evaluation of the enabled one-dimensional maps. The argument is the value (or a pointer to the value) that is the domain coordinate,  $u'$ . **EvalCoord2** causes evaluation of the enabled two-dimensional maps. The two values specify the two domain coordinates,  $u'$  and  $v'$ , in that order.

When one of the **EvalCoord** commands is issued, all currently enabled maps of the indicated dimension are evaluated. Then, for each enabled map, it is as if a corresponding GL command were issued with the resulting coordinates, with one important difference. The difference is that when an evaluation is performed, the GL uses evaluated values instead of current values for those evaluations that are enabled (otherwise, the current values are used). The order of the effective commands is immaterial, except that **Vertex** (for vertex coordinate evaluation) must be issued last. Use of evaluators has no effect on the current color, normal, or texture coordinates. If **ColorMaterial** is enabled, evaluated color values affect the result of the lighting equation as if the current color was being modified, but no change is made to the tracking lighting parameters or to the current color.

No command is effectively issued if the corresponding map (of the indicated dimension) is not enabled. If more than one evaluation is enabled for a particular dimension (e.g. **MAP1\_TEXTURE\_COORD\_1** and **MAP1\_TEXTURE\_COORD\_2**), then only the result of the evaluation of the map with the highest number of coordinates is used.

Finally, if either **MAP2\_VERTEX\_3** or **MAP2\_VERTEX\_4** is enabled, then the normal to the surface is computed. Analytic computation, which sometimes yields normals of length zero, is one method which may be used. If automatic normal generation is enabled, then this computed normal is used as the normal associated with a generated vertex. Automatic normal generation is controlled with **Enable** and **Disable** with symbolic the constant **AUTO\_NORMAL**. If automatic normal generation is disabled, then a corresponding normal map, if enabled, is used to produce a normal. If neither automatic normal generation nor a normal map are enabled, then no normal is sent with a vertex resulting from an evaluation (the effect is that the current normal is used).

For **MAP\_VERTEX\_3**, let  $\mathbf{q} = \mathbf{p}$ . For **MAP\_VERTEX\_4**, let  $\mathbf{q} = (x/w, y/w, z/w)$ , where  $(x, y, z, w) = \mathbf{p}$ . Then let

$$\mathbf{m} = \frac{\partial \mathbf{q}}{\partial u} \times \frac{\partial \mathbf{q}}{\partial v}.$$

Then the generated analytic normal,  $\mathbf{n}$ , is given by  $\mathbf{n} = \mathbf{m}/\|\mathbf{m}\|$ .

The second way to carry out evaluations is to use a set of commands that provide for efficient specification of a series of evenly spaced values to be mapped. This method proceeds in two steps. The first step is to define a grid in the domain. This is done using

```
void MapGrid1{fd}( int n, T u1', T u2' );
```

for a one-dimensional map or

```
void MapGrid2{fd}( int nu, T u1', T u2', int nv, T v1',
    T v2' );
```

for a two-dimensional map. In the case of **MapGrid1**  $u_1'$  and  $u_2'$  describe an interval, while  $n$  describes the number of partitions of the interval. The error `INVALID_VALUE` results if  $n \leq 0$ . For **MapGrid2**,  $(u_1', v_1')$  specifies one two-dimensional point and  $(u_2', v_2')$  specifies another.  $n_u$  gives the number of partitions between  $u_1'$  and  $u_2'$ , and  $n_v$  gives the number of partitions between  $v_1'$  and  $v_2'$ . If either  $n_u \leq 0$  or  $n_v \leq 0$ , then the error `INVALID_VALUE` occurs.

Once a grid is defined, an evaluation on a rectangular subset of that grid may be carried out by calling

```
void EvalMesh1( enum mode, int p1, int p2 );
```

*mode* is either `POINT` or `LINE`. The effect is the same as performing the following code fragment, with  $\Delta u' = (u_2' - u_1')/n$ :

```
Begin( type );
  for i = p1 to p2 step 1.0
    EvalCoord1( i * Δu' + u1' );
End();
```

where **EvalCoord1f** or **EvalCoord1d** is substituted for **EvalCoord1** as appropriate. If *mode* is `POINT`, then *type* is `POINTS`; if *mode* is `LINE`, then *type* is `LINE_STRIP`. The one requirement is that if either  $i = 0$  or  $i = n$ , then the value computed from  $i * \Delta u' + u_1'$  is precisely  $u_1'$  or  $u_2'$ , respectively.

The corresponding commands for two-dimensional maps are

```
void EvalMesh2( enum mode, int p1, int p2, int q1,
    int q2 );
```



*mode* must be **FILL**, **LINE**, or **POINT**. When *mode* is **FILL**, then these commands are equivalent to the following, with  $\Delta u' = (u'_2 - u'_1)/n$  and  $\Delta v' = (v'_2 - v'_1)/m$ :

```

for  $i = q_1$  to  $q_2 - 1$  step 1.0
  Begin(QUAD_STRIP);
  for  $j = p_1$  to  $p_2$  step 1.0
    EvalCoord2( $j * \Delta u' + u'_1$  ,  $i * \Delta v' + v'_1$ );
    EvalCoord2( $j * \Delta u' + u'_1$  ,  $(i + 1) * \Delta v' + v'_1$ );
  End();

```

If *mode* is **LINE**, then a call to **EvalMesh2** is equivalent to

```

for  $i = q_1$  to  $q_2$  step 1.0
  Begin(LINE_STRIP);
  for  $j = p_1$  to  $p_2$  step 1.0
    EvalCoord2( $j * \Delta u' + u'_1$  ,  $i * \Delta v' + v'_1$ );
  End();;
for  $i = p_1$  to  $p_2$  step 1.0
  Begin(LINE_STRIP);
  for  $j = q_1$  to  $q_2$  step 1.0
    EvalCoord2( $i * \Delta u' + u'_1$  ,  $j * \Delta v' + v'_1$ );
  End();

```

If *mode* is **POINT**, then a call to **EvalMesh2** is equivalent to

```

Begin(POINTS);
  for  $i = q_1$  to  $q_2$  step 1.0
    for  $j = p_1$  to  $p_2$  step 1.0
      EvalCoord2( $j * \Delta u' + u'_1$  ,  $i * \Delta v' + v'_1$ );
  End();

```

Again, in all three cases, there is the requirement that  $0 * \Delta u' + u'_1 = u'_1$ ,  $n * \Delta u' + u'_1 = u'_2$ ,  $0 * \Delta v' + v'_1 = v'_1$ , and  $m * \Delta v' + v'_1 = v'_2$ .

An evaluation of a single point on the grid may also be carried out:

```

void EvalPoint1( int  $p$  );

```

Calling it is equivalent to the command

```

EvalCoord1( $p * \Delta u' + u'_1$ );

```

with  $\Delta u'$  and  $u'_1$  defined as above.

```
void EvalPoint2( int p, int q );
```

is equivalent to the command

```
EvalCoord2(p * Δu' + u'₁ , q * Δv' + v'₁);
```

The state required for evaluators potentially consists of 9 one-dimensional map specifications and 9 two-dimensional map specifications, as well as corresponding flags for each specification indicating which are enabled. Each map specification consists of one or two orders, an appropriately sized array of control points, and a set of two values (for a one-dimensional map) or four values (for a two-dimensional map) to describe the domain. The maximum possible order, for either  $u$  or  $v$ , is implementation dependent (one maximum applies to both  $u$  and  $v$ ), but must be at least 8. Each control point consists of between one and four floating-point values (depending on the type of the map). Initially, all maps have order 1 (making them constant maps). All vertex coordinate maps produce the coordinates  $(0, 0, 0, 1)$  (or the appropriate subset); all normal coordinate maps produce  $(0, 0, 1)$ ; RGBA maps produce  $(1, 1, 1, 1)$ ; color index maps produce 1.0; texture coordinate maps produce  $(0, 0, 0, 1)$ ; In the initial state, all maps are disabled. A flag indicates whether or not automatic normal generation is enabled for two-dimensional maps. In the initial state, automatic normal generation is disabled. Also required are two floating-point values and an integer number of grid divisions for the one-dimensional grid specification and four floating-point values and two integer grid divisions for the two-dimensional grid specification. In the initial state, the bounds of the domain interval for 1-D is 0 and 1.0, respectively; for 2-D, they are  $(0, 0)$  and  $(1.0, 1.0)$ , respectively. The number of grid divisions is 1 for 1-D and 1 in both directions for 2-D. If any evaluation command is issued when no vertex map is enabled, nothing happens.

## 5.2 Selection

Selection is used by a programmer to determine which primitives are drawn into some region of a window. The region is defined by the current model-view and perspective matrices.

Selection works by returning an array of integer-valued *names*. This array represents the current contents of the *name stack*. This stack is controlled with the commands

```

void InitNames( void );
void PopName( void );
void PushName( uint name );
void LoadName( uint name );

```

**InitNames** empties (clears) the name stack. **PopName** pops one name off the top of the name stack. **PushName** causes *name* to be pushed onto the name stack. **LoadName** replaces the value on the top of the stack with *name*. Loading a name onto an empty stack generates the error `INVALID_OPERATION`. Popping a name off of an empty stack generates `STACK_UNDERFLOW`; pushing a name onto a full stack generates `STACK_OVERFLOW`. The maximum allowable depth of the name stack is implementation dependent but must be at least 64.

In selection mode, no fragments are rendered into the framebuffer. The GL is placed in selection mode with

```

int RenderMode( enum mode );

```

*mode* is a symbolic constant: one of `RENDER`, `SELECT`, or `FEEDBACK`. `RENDER` is the default, corresponding to rendering as described until now. `SELECT` specifies selection mode, and `FEEDBACK` specifies feedback mode (described below). Use of any of the name stack manipulation commands while the GL is not in selection mode has no effect.

Selection is controlled using

```

void SelectBuffer( sizei n, uint *buffer );

```

*buffer* is a pointer to an array of unsigned integers (called the selection array) to be potentially filled with names, and *n* is an integer indicating the maximum number of values that can be stored in that array. Placing the GL in selection mode before **SelectBuffer** has been called results in an error of `INVALID_OPERATION` as does calling **SelectBuffer** while in selection mode.

In selection mode, if a point, line, polygon, or the valid coordinates produced by a **RasterPos** command intersects the clip volume (section 2.11) then this primitive (or **RasterPos** command) causes a selection *hit*. In the case of polygons, no hit occurs if the polygon would have been culled, but selection is based on the polygon itself, regardless of the setting of **PolygonMode**. When in selection mode, whenever a name stack manipulation command is executed or **RenderMode** is called and there has been a hit since the last time the stack was manipulated or **RenderMode** was called, then a *hit record* is written into the selection array.

A hit record consists of the following items in order: a non-negative integer giving the number of elements on the name stack at the time of the hit, a minimum depth value, a maximum depth value, and the name stack with the bottommost element first. The minimum and maximum depth values are the minimum and maximum taken over all the window coordinate  $z$  values of each (post-clipping) vertex of each primitive that intersects the clipping volume since the last hit record was written. The minimum and maximum (each of which lies in the range  $[0, 1]$ ) are each multiplied by  $2^{32} - 1$  and rounded to the nearest unsigned integer to obtain the values that are placed in the hit record. No depth offset arithmetic (section 3.5.5) is performed on these values.

Hit records are placed in the selection array by maintaining a pointer into that array. When selection mode is entered, the pointer is initialized to the beginning of the array. Each time a hit record is copied, the pointer is updated to point at the array element after the one into which the topmost element of the name stack was stored. If copying the hit record into the selection array would cause the total number of values to exceed  $n$ , then as much of the record as fits in the array is written and an overflow flag is set.

Selection mode is exited by calling **RenderMode** with an argument value other than **SELECT**. Whenever **RenderMode** is called in selection mode, it returns the number of hit records copied into the selection array and resets the **SelectBuffer** pointer to its last specified value. Values are not guaranteed to be written into the selection array until **RenderMode** is called. If the selection array overflow flag was set, then **RenderMode** returns  $-1$  and clears the overflow flag. The name stack is cleared and the stack pointer reset whenever **RenderMode** is called.

The state required for selection consists of the address of the selection array and its maximum size, the name stack and its associated pointer, a minimum and maximum depth value, and several flags. One flag indicates the current **RenderMode** value. In the initial state, the GL is in the **RENDER** mode. Another flag is used to indicate whether or not a hit has occurred since the last name stack manipulation. This flag is reset upon entering selection mode and whenever a name stack manipulation takes place. One final flag is required to indicate whether the maximum number of copied names would have been exceeded. This flag is reset upon entering selection mode. This flag, the address of the selection array, and its maximum size are GL client state.

### 5.3 Feedback

Feedback, like selection, is a GL mode. The mode is selected by calling **RenderMode** with **FEEDBACK**. When the GL is in feedback mode, no fragments are written to the framebuffer. Instead, information about primitives that would have been rasterized is fed back to the application using the GL.

Feedback is controlled using

```
void FeedbackBuffer( sizei n, enum type, float *buffer );
```

*buffer* is a pointer to an array of floating-point values into which feedback information will be placed, and *n* is a number indicating the maximum number of values that can be written to that array. *type* is a symbolic constant describing the information to be fed back for each vertex (see Figure 5.2). The error **INVALID\_OPERATION** results if the GL is placed in feedback mode before a call to **FeedbackBuffer** has been made, or if a call to **FeedbackBuffer** is made while in feedback mode.

While in feedback mode, each primitive that would be rasterized (or bitmap or call to **DrawPixels** or **CopyPixels**, if the raster position is valid) generates a block of values that get copied into the feedback array. If doing so would cause the number of entries to exceed the maximum, the block is partially written so as to fill the array (if there is any room left at all). The first block of values generated after the GL enters feedback mode is placed at the beginning of the feedback array, with subsequent blocks following. Each block begins with a code indicating the primitive type, followed by values that describe the primitive's vertices and associated data. Entries are also written for bitmaps and pixel rectangles. Feedback occurs after polygon culling (section 3.5.1) and **PolygonMode** interpretation of polygons (section 3.5.4) has taken place. It may also occur after polygons with more than three edges are broken up into triangles (if the GL implementation renders polygons by performing this decomposition). *x*, *y*, and *z* coordinates returned by feedback are window coordinates; if *w* is returned, it is in clip coordinates. No depth offset arithmetic (section 3.5.5) is performed on the *z* values. In the case of bitmaps and pixel rectangles, the coordinates returned are those of the current raster position.

The texture coordinates and colors returned are these resulting from the clipping operations described in Section 2.13.8. The colors returned are the primary colors.

The ordering rules for GL command interpretation also apply in feedback mode. Each command must be fully interpreted and its effects on both GL

Type	coordinates	color	texture	total values
2D	$x, y$	–	–	2
3D	$x, y, z$	–	–	3
3D_COLOR	$x, y, z$	$k$	–	$3 + k$
3D_COLOR_TEXTURE	$x, y, z$	$k$	4	$7 + k$
4D_COLOR_TEXTURE	$x, y, z, w$	$k$	4	$8 + k$

Table 5.2: Correspondence of feedback type to number of values per vertex.  $k$  is 1 in color index mode and 4 in RGBA mode.

state and the values to be written to the feedback buffer completed before a subsequent command may be executed.

The GL is taken out of feedback mode by calling **RenderMode** with an argument value other than **FEEDBACK**. When called while in feedback mode, **RenderMode** returns the number of values placed in the feedback array and resets the feedback array pointer to be *buffer*. The return value never exceeds the maximum number of values passed to **FeedbackBuffer**.

If writing a value to the feedback buffer would cause more values to be written than the specified maximum number of values, then the value is not written and an overflow flag is set. In this case, **RenderMode** returns  $-1$  when it is called, after which the overflow flag is reset. While in feedback mode, values are not guaranteed to be written into the feedback buffer before **RenderMode** is called.

Figure 5.2 gives a grammar for the array produced by feedback. Each primitive is indicated with a unique identifying value followed by some number of vertices. A vertex is fed back as some number of floating-point values determined by the feedback *type*. Table 5.2 gives the correspondence between feedback *buffer* and the number of values returned for each vertex.

The command

```
void PassThrough( float token );
```

may be used as a marker in feedback mode. *token* is returned as if it were a primitive; it is indicated with its own unique identifying value. The ordering of any **PassThrough** commands with respect to primitive specification is maintained by feedback. **PassThrough** may not occur between **Begin** and **End**. It has no effect when the GL is not in feedback mode.

The state required for feedback is the pointer to the feedback array, the maximum number of values that may be placed there, and the feedback *type*.

An overflow flag is required to indicate whether the maximum allowable number of feedback values has been written; initially this flag is cleared. These state variables are GL client state. Feedback also relies on the same mode flag as selection to indicate whether the GL is in feedback, selection, or normal rendering mode.

## 5.4 Display Lists

A display list is simply a group of GL commands and arguments that has been stored for subsequent execution. The GL may be instructed to process a particular display list (possibly repeatedly) by providing a number that uniquely specifies it. Doing so causes the commands within the list to be executed just as if they were given normally. The only exception pertains to commands that rely upon client state. When such a command is accumulated into the display list (that is, when issued, not when executed), the client state in effect at that time applies to the command. Only server state is affected when the command is executed. As always, pointers which are passed as arguments to commands are dereferenced when the command is issued. (Vertex array pointers are dereferenced when the commands **ArrayElement**, **DrawArrays**, or **DrawElements** are accumulated into a display list.)

A display list is begun by calling

```
void NewList( uint n, enum mode );
```

*n* is a positive integer to which the display list that follows is assigned, and *mode* is a symbolic constant that controls the behavior of the GL during display list creation. If *mode* is **COMPILE**, then commands are not executed as they are placed in the display list. If *mode* is **COMPILE\_AND\_EXECUTE** then commands are executed as they are encountered, then placed in the display list. If *n* = 0, then the error **INVALID\_VALUE** is generated.

After calling **NewList** all subsequent GL commands are placed in the display list (in the order the commands are issued) until a call to

```
void EndList( void );
```

occurs, after which the GL returns to its normal command execution state. It is only when **EndList** occurs that the specified display list is actually associated with the index indicated with **NewList**. The error **INVALID\_OPERATION** is generated if **EndList** is called without a previous matching **NewList**,

```

feedback-list:
    feedback-item feedback-list
    feedback-item

feedback-item:
    point
    line-segment
    polygon
    bitmap
    pixel-rectangle
    passthrough

point:
    POINT_TOKEN vertex

line-segment:
    LINE_TOKEN vertex vertex
    LINE_RESET_TOKEN vertex vertex

polygon:
    POLYGON_TOKEN n polygon-spec

polygon-spec:
    polygon-spec vertex
    vertex vertex vertex

bitmap:
    BITMAP_TOKEN vertex

pixel-rectangle:
    DRAW_PIXEL_TOKEN vertex
    COPY_PIXEL_TOKEN vertex

passthrough:
    PASS_THROUGH_TOKEN f

vertex:
2D:
    f f
3D:
    f f f
3D_COLOR:
    f f f color
3D_COLOR_TEXTURE:
    f f f color tex
4D_COLOR_TEXTURE:
    f f f f color tex

color:
    f f f f
    f

tex:
    f f f f

```

Figure 5.2: Feedback syntax.  $f$  is a floating-point number.  $n$  is a floating-point integer giving the number of vertices in a polygon. The symbols ending with `_TOKEN` are symbolic floating-point constants. The labels under the “vertex” rule show the different data returned for vertices depending on the feedback *type*. `LINE_TOKEN` and `LINE_RESET_TOKEN` are identical except that the latter is returned only when the line stipple is reset for that line segment.



or if **NewList** is called a second time before calling **EndList**. The error **OUT\_OF\_MEMORY** is generated if **EndList** is called and the specified display list cannot be stored because insufficient memory is available. In this case GL implementations of revision 1.1 or greater insure that no change is made to the previous contents of the display list, if any, and that no other change is made to the GL state, except for the state changed by execution of GL commands when the display list mode is **COMPILE\_AND\_EXECUTE**.

Once defined, a display list is executed by calling

```
void CallList( uint n );
```

*n* gives the index of the display list to be called. This causes the commands saved in the display list to be executed, in order, just as if they were issued without using a display list. If *n* = 0, then the error **INVALID\_VALUE** is generated.

The command

```
void CallLists( sizei n, enum type, void *lists );
```

provides an efficient means for executing a number of display lists. *n* is an integer indicating the number of display lists to be called, and *lists* is a pointer that points to an array of offsets. Each offset is constructed as determined by *lists* as follows. First, *type* may be one of the constants **BYTE**, **UNSIGNED\_BYTE**, **SHORT**, **UNSIGNED\_SHORT**, **INT**, **UNSIGNED\_INT**, or **FLOAT** indicating that the array pointed to by *lists* is an array of bytes, unsigned bytes, shorts, unsigned shorts, integers, unsigned integers, or floats, respectively. In this case each offset is found by simply converting each array element to an integer (floating point values are truncated). Further, *type* may be one of **2\_BYTES**, **3\_BYTES**, or **4\_BYTES**, indicating that the array contains sequences of 2, 3, or 4 unsigned bytes, in which case each integer offset is constructed according to the following algorithm:

```
offset ← 0
for i = 1 to b
    offset ← offset shifted left 8 bits
    offset ← offset + byte
    advance to next byte in the array
```

*b* is 2, 3, or 4, as indicated by *type*. If *n* = 0, **CallLists** does nothing.

Each of the *n* constructed offsets is taken in order and added to a display list base to obtain a display list number. For each number, the indicated display list is executed. The base is set by calling

```
void ListBase( uint base );
```

to specify the offset.

Indicating a display list index that does not correspond to any display list has no effect. **CallList** or **CallLists** may appear inside a display list. (If the *mode* supplied to **NewList** is **COMPILE\_AND\_EXECUTE**, then the appropriate lists are executed, but the **CallList** or **CallLists**, rather than those lists' constituent commands, is placed in the list under construction.) To avoid the possibility of infinite recursion resulting from display lists calling one another, an implementation dependent limit is placed on the nesting level of display lists during display list execution. This limit must be at least 64.

Two commands are provided to manage display list indices.

```
uint GenLists( sizei s );
```

returns an integer  $n$  such that the indices  $n, \dots, n + s - 1$  are previously unused (i.e. there are  $s$  previously unused display list indices starting at  $n$ ). **GenLists** also has the effect of creating an empty display list for each of the indices  $n, \dots, n + s - 1$ , so that these indices all become used. **GenLists** returns 0 if there is no group of  $s$  contiguous previously unused display list indices, or if  $s = 0$ .

```
boolean IsList( uint list );
```

returns **TRUE** if *list* is the index of some display list.

A contiguous group of display lists may be deleted by calling

```
void DeleteLists( uint list, sizei range );
```

where *list* is the index of the first display list to be deleted and *range* is the number of display lists to be deleted. All information about the display lists is lost, and the indices become unused. Indices to which no display list corresponds are ignored. If  $range = 0$ , nothing happens.

Certain commands, when called while compiling a display list, are not compiled into the display list but are executed immediately. These are: **IsList**, **GenLists**, **DeleteLists**, **FeedbackBuffer**, **SelectBuffer**, **RenderMode**, **VertexPointer**, **NormalPointer**, **ColorPointer**, **IndexPointer**, **TexCoordPointer**, **EdgeFlagPointer**, **InterleavedArrays**, **EnableClientState**, **DisableClientState**, **PushClientAttrib**, **PopClientAttrib**, **ReadPixels**, **PixelStore**, **GenTextures**, **DeleteTextures**, **AreTexturesResident**, **IsTexture**, **Flush**, **Finish**, as well as **IsEnabled** and all of the **Get** commands (see Chapter 6).

**TexImage3D**, **TexImage2D**, **TexImage1D**, **Histogram**, and **ColorTable** are executed immediately when called with the corresponding proxy arguments **PROXY\_TEXTURE\_3D**, **PROXY\_TEXTURE\_2D**, **PROXY\_TEXTURE\_1D**, **PROXY\_HISTOGRAM**, and **PROXY\_COLOR\_TABLE**, **PROXY\_POST\_CONVOLUTION\_COLOR\_TABLE**, or **PROXY\_POST\_COLOR\_MATRIX\_COLOR\_TABLE**.

Display lists require one bit of state to indicate whether a GL command should be executed immediately or placed in a display list. In the initial state, commands are executed immediately. If the bit indicates display list creation, an index is required to indicate the current display list being defined. Another bit indicates, during display list creation, whether or not commands should be executed as they are compiled into the display list. One integer is required for the current **ListBase** setting; its initial value is zero. Finally, state must be maintained to indicate which integers are currently in use as display list indices. In the initial state, no indices are in use.

## 5.5 Flush and Finish

The command

```
void Flush( void );
```

indicates that all commands that have previously been sent to the GL must complete in finite time.

The command

```
void Finish( void );
```

forces all previous GL commands to complete. **Finish** does not return until all effects from previously issued commands on GL client and server state and the framebuffer are fully realized.

## 5.6 Hints

Certain aspects of GL behavior, when there is room for variation, may be controlled with hints. A hint is specified using

```
void Hint( enum target, enum hint );
```

*target* is a symbolic constant indicating the behavior to be controlled, and *hint* is a symbolic constant indicating what type of behavior is desired. *target* may be one of `PERSPECTIVE_CORRECTION_HINT`, indicating the desired quality of parameter interpolation; `POINT_SMOOTH_HINT`, indicating the desired sampling quality of points; `LINE_SMOOTH_HINT`, indicating the desired sampling quality of lines; `POLYGON_SMOOTH_HINT`, indicating the desired sampling quality of polygons; and `FOG_HINT`, indicating whether fog calculations are done per pixel or per vertex. *hint* must be one of `FASTEST`, indicating that the most efficient option should be chosen; `NICEST`, indicating that the highest quality option should be chosen; and `DONT_CARE`, indicating no preference in the matter.

The interpretation of hints is implementation dependent. An implementation may ignore them entirely.

The initial value of all hints is `DONT_CARE`.

## Chapter 6

# State and State Requests

The state required to describe the GL machine is enumerated in section 6.2. Most state is set through the calls described in previous chapters, and can be queried using the calls described in section 6.1.

### 6.1 Querying GL State

#### 6.1.1 Simple Queries

Much of the GL state is completely identified by symbolic constants. The values of these state variables can be obtained using a set of **Get** commands. There are four commands for obtaining simple state variables:

```
void GetBooleanv( enum value, boolean *data );
void GetIntegerv( enum value, int *data );
void GetFloatv( enum value, float *data );
void GetDoublev( enum value, double *data );
```

The commands obtain boolean, integer, floating-point, or double-precision state variables. *value* is a symbolic constant indicating the state variable to return. *data* is a pointer to a scalar or array of the indicated type in which to place the returned data. In addition

```
boolean IsEnabled( enum value );
```

can be used to determine if *value* is currently enabled (as with **Enable**) or disabled.

### 6.1.2 Data Conversions

If a **Get** command is issued that returns value types different from the type of the value being obtained, a type conversion is performed. If **GetBooleanv** is called, a floating-point or integer value converts to **FALSE** if and only if it is zero (otherwise it converts to **TRUE**). If **GetIntegerv** (or any of the **Get** commands below) is called, a boolean value is interpreted as either 1 or 0, and a floating-point value is rounded to the nearest integer, unless the value is an **RGBA** color component, a **DepthRange** value, a depth buffer clear value, or a normal coordinate. In these cases, the **Get** command converts the floating-point value to an integer according the **INT** entry of Table 4.7; a value not in  $[-1, 1]$  converts to an undefined value. If **GetFloatv** is called, a boolean value is interpreted as either 1.0 or 0.0, an integer is coerced to floating-point, and a double-precision floating-point value is converted to single-precision. Analogous conversions are carried out in the case of **GetDoublev**. If a value is so large in magnitude that it cannot be represented with the requested type, then the nearest value representable using the requested type is returned.

Unless otherwise indicated, multi-valued state variables return their multiple values in the same order as they are given as arguments to the commands that set them. For instance, the two **DepthRange** parameters are returned in the order  $n$  followed by  $f$ . Similarly, points for evaluator maps are returned in the order that they appeared when passed to **Map1**. **Map2** returns  $\mathbf{R}_{ij}$  in the  $[(uorder)i + j]$ th block of values (see page 166 for  $i$ ,  $j$ ,  $uorder$ , and  $\mathbf{R}_{ij}$ ).

### 6.1.3 Enumerated Queries

Other commands exist to obtain state variables that are identified by a category (clip plane, light, material, etc.) as well as a symbolic constant. These are

```
void GetClipPlane( enum plane, double eqn[4] );
void GetLight{if}v( enum light, enum value, T data );
void GetMaterial{if}v( enum face, enum value, T data );
void GetTexEnv{if}v( enum env, enum value, T data );
void GetTexGen{if}v( enum coord, enum value, T data );
void GetTexParameter{if}v( enum target, enum value,
    T data );
void GetTexLevelParameter{if}v( enum target, int lod,
    enum value, T data );
```

```
void GetPixelMap{ui us f}v( enum map, T data );
void GetMap{ifd}v( enum map, enum value, T data );
```

**GetClipPlane** always returns four double-precision values in *eqn*; these are the coefficients of the plane equation of *plane* in eye coordinates (these coordinates are those that were computed when the plane was specified).

**GetLight** places information about *value* (a symbolic constant) for *light* (also a symbolic constant) in *data*. **POSITION** or **SPOT\_DIRECTION** returns values in eye coordinates (again, these are the coordinates that were computed when the position or direction was specified).

**GetMaterial**, **GetTexGen**, **GetTexEnv**, and **GetTexParameter** are similar to **GetLight**, placing information about *value* for the target indicated by their first argument into *data*. The *face* argument to **GetMaterial** must be either **FRONT** or **BACK**, indicating the front or back material, respectively. The *env* argument to **GetTexEnv** must currently be **TEXTURE\_ENV**. The *coord* argument to **GetTexGen** must be one of **S**, **T**, **R**, or **Q**. For **GetTexGen**, **EYE\_LINEAR** coefficients are returned in the eye coordinates that were computed when the plane was specified; **OBJECT\_LINEAR** coefficients are returned in object coordinates.

**GetTexParameter** and **GetTexLevelParameter** parameter *target* may be one of **TEXTURE\_1D**, **TEXTURE\_2D**, or **TEXTURE\_3D**, indicating the currently bound one-, two-, or three-dimensional texture object. For **GetTexLevelParameter**, *target* may also be one of **PROXY\_TEXTURE\_1D**, **PROXY\_TEXTURE\_2D**, or **PROXY\_TEXTURE\_3D**, indicating the one-, two-, or three-dimensional proxy state vector. *value* is a symbolic value indicating which texture parameter is to be obtained. The *lod* argument to **GetTexLevelParameter** determines which level-of-detail's state is returned. If the *lod* argument is less than zero or if it is larger than the maximum allowable level-of-detail then the error **INVALID\_VALUE** occurs. Queries of **TEXTURE\_RED\_SIZE**, **TEXTURE\_GREEN\_SIZE**, **TEXTURE\_BLUE\_SIZE**, **TEXTURE\_ALPHA\_SIZE**, **TEXTURE\_LUMINANCE\_SIZE**, and **TEXTURE\_INTENSITY\_SIZE** return the actual resolutions of the stored image array components, not the resolutions specified when the image array was defined. Queries of **TEXTURE\_WIDTH**, **TEXTURE\_HEIGHT**, **TEXTURE\_DEPTH**, and **TEXTURE\_BORDER** return the width, height, depth, and border as specified when the image array was created. The internal format of the image array is queried as **TEXTURE\_INTERNAL\_FORMAT**, or as **TEXTURE\_COMPONENTS** for compatibility with GL version 1.0.

For **GetPixelMap**, the *map* must be a map name from Table 3.3. For **GetMap**, *map* must be one of the map types described in section 5.1, and

*value* must be one of `ORDER`, `COEFF`, or `DOMAIN`.

#### 6.1.4 Texture Queries

The command

```
void GetTexImage( enum tex, int lod, enum format,
                  enum type, void *img );
```

is used to obtain texture images. It is somewhat different from the other get commands; *tex* is a symbolic value indicating which texture is to be obtained. `TEXTURE_1D` indicates a one-dimensional texture, `TEXTURE_2D` indicates a two-dimensional texture, and `TEXTURE_3D` indicates a three-dimensional texture. *lod* is a level-of-detail number, *format* is a pixel format from Table 3.6, *type* is a pixel type from Table 3.5, and *img* is a pointer to a block of memory.

**GetTexImage** obtains component groups from a texture image with the indicated level-of-detail. The components are assigned among R, G, B, and A according to Table 6.1, starting with the first group in the first row, and continuing by obtaining groups in order from each row and proceeding from the first row to the last, and from the first image to the last for three-dimensional textures. These groups are then packed and placed in client memory. No pixel transfer operations are performed on this image, but pixel storage modes that are applicable to **ReadPixels** are applied.

For three-dimensional textures, pixel storage operations are applied as if the image were two-dimensional, except that the additional pixel storage state values `PACK_IMAGE_HEIGHT` and `PACK_SKIP_IMAGES` are applied. The correspondence of texels to memory locations is as defined for **TexImage3D** in section 3.8.1.

The row length, number of rows, image depth, and number of images are determined by the size of the texture image (including any borders). Calling **GetTexImage** with *lod* less than zero or larger than the maximum allowable causes the error `INVALID_VALUE`. Calling **GetTexImage** with *format* of `COLOR_INDEX`, `STENCIL_INDEX`, or `DEPTH_COMPONENT` causes the error `INVALID_ENUM`.

The command

```
boolean IsTexture( uint texture );
```

returns `TRUE` if *texture* is the name of a texture object. If *texture* is zero, or is a non-zero value that is not the name of a texture object, or if an error condition occurs, **IsTexture** returns `FALSE`. A name returned by **GenTextures**, but not yet bound, is not the name of a texture object.



Base Internal Format	R	G	B	A
ALPHA	0	0	0	$A_i$
LUMINANCE (or 1)	$L_i$	0	0	1
LUMINANCE_ALPHA (or 2)	$L_i$	0	0	$A_i$
INTENSITY	$I_i$	0	0	1
RGB (or 3)	$R_i$	$G_i$	$B_i$	1
RGBA (or 4)	$R_i$	$G_i$	$B_i$	$A_i$

Table 6.1: Texture, table, and filter return values.  $R_i$ ,  $G_i$ ,  $B_i$ ,  $A_i$ ,  $L_i$ , and  $I_i$  are components of the internal format that are assigned to pixel values R, G, B, and A. If a requested pixel value is not present in the internal format, the specified constant value is used.

### 6.1.5 Stipple Query

The command

```
void GetPolygonStipple( void *pattern );
```

obtains the polygon stipple. The pattern is packed into memory according to the procedure given in section 4.3.2 for **ReadPixels**; it is as if the *height* and *width* passed to that command were both equal to 32, the *type* were BITMAP, and the *format* were COLOR\_INDEX.

### 6.1.6 Color Matrix Query

The scale and bias variables are queried using **GetFloatv** with *pname* set to the appropriate variable name. The top matrix on the color matrix stack is returned by **GetFloatv** called with *pname* set to COLOR\_MATRIX. The depth of the color matrix stack, and the maximum depth of the color matrix stack, are queried with **GetIntegerv**, setting *pname* to COLOR\_MATRIX\_STACK\_DEPTH and MAX\_COLOR\_MATRIX\_STACK\_DEPTH respectively.

### 6.1.7 Color Table Query

The current contents of a color table are queried using

```
void GetColorTable( enum target, enum format, enum type,
void *table );
```

*target* must be one of the *regular* color table names listed in table 3.4. *format* and *type* accept the same values as do the corresponding parameters of **GetTexImage**. The one-dimensional color table image is returned to client memory starting at *table*. No pixel transfer operations are performed on this image, but pixel storage modes that are applicable to **ReadPixels** are performed. Color components that are requested in the specified *format*, but which are not included in the internal format of the color lookup table, are returned as zero. The assignments of internal color components to the components requested by *format* are described in Table 6.1.

The functions

```
void GetColorTableParameter{if}v( enum target,
    enum pname, T params );
```

are used for integer and floating point query.

*target* must be one of the regular or proxy color table names listed in table 3.4. *pname* is one of COLOR\_TABLE\_SCALE, COLOR\_TABLE\_BIAS, COLOR\_TABLE\_FORMAT, COLOR\_TABLE\_WIDTH, COLOR\_TABLE\_RED\_SIZE, COLOR\_TABLE\_GREEN\_SIZE, COLOR\_TABLE\_BLUE\_SIZE, COLOR\_TABLE\_ALPHA\_SIZE, COLOR\_TABLE\_LUMINANCE\_SIZE, or COLOR\_TABLE\_INTENSITY\_SIZE. The value of the specified parameter is returned in *params*.

### 6.1.8 Convolution Query

The current contents of a convolution filter image are queried with the command

```
void GetConvolutionFilter( enum target, enum format,
    enum type, void *image );
```

*target* must be CONVOLUTION\_1D or CONVOLUTION\_2D. *format* and *type* accept the same values as do the corresponding parameters of **GetTexImage**. The one-dimensional or two-dimensional images is returned to client memory starting at *image*. Pixel processing and component mapping are identical to those of **GetTexImage**.

The current contents of a separable filter image are queried using

```
void GetSeparableFilter( enum target, enum format,
    enum type, void *row, void *column, void *span );
```

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*target* must be `SEPARABLE_2D`. *format* and *type* accept the same values as do the corresponding parameters of `GetTexImage`. The row and column images are returned to client memory starting at *row* and *column* respectively. *span* is currently unused. Pixel processing and component mapping are identical to those of `GetTexImage`.

The functions

```
void GetConvolutionParameter{if}v( enum target,
    enum pname, T params );
```

are used for integer and floating point query. *target* must be `CONVOLUTION_1D`, `CONVOLUTION_2D`, or `SEPARABLE_2D`. *pname* is one of `CONVOLUTION_BORDER_COLOR`, `CONVOLUTION_BORDER_MODE`, `CONVOLUTION_FILTER_SCALE`, `CONVOLUTION_FILTER_BIAS`, `CONVOLUTION_FORMAT`, `CONVOLUTION_WIDTH`, `CONVOLUTION_HEIGHT`, `MAX_CONVOLUTION_WIDTH`, or `MAX_CONVOLUTION_HEIGHT`. The value of the specified parameter is returned in *params*.

## 6.1.9 Histogram Query

The current contents of the histogram table are queried using

```
void GetHistogram( enum target, boolean reset,
    enum format, enum type, void* values );
```

*target* must be `HISTOGRAM`. *type* and *format* accept the same values as do the corresponding parameters of `GetTexImage`. The one-dimensional histogram table image is returned to *values*. Pixel processing and component mapping are identical to those of `GetTexImage`.

If *reset* is `TRUE`, then all counters of all elements of the histogram are reset to zero. Counters are reset whether returned or not.

No counters are modified if *reset* is `FALSE`.

Calling

```
void ResetHistogram( enum target );
```

resets all counters of all elements of the histogram table to zero. *target* must be `HISTOGRAM`.

It is not an error to reset or query the contents of a histogram table with zero entries.

The functions

```
void GetHistogramParameter{if}v( enum target,
    enum pname, T params );
```

are used for integer and floating point query. *target* must be HISTOGRAM or PROXY\_HISTOGRAM. *pname* is one of HISTOGRAM\_FORMAT, HISTOGRAM\_WIDTH, HISTOGRAM\_RED\_SIZE, HISTOGRAM\_GREEN\_SIZE, HISTOGRAM\_BLUE\_SIZE, HISTOGRAM\_ALPHA\_SIZE, or HISTOGRAM\_LUMINANCE\_SIZE. *pname* may be HISTOGRAM\_SINK only for *target* HISTOGRAM. The value of the specified parameter is returned in *params*.

### 6.1.10 Minmax Query

The current contents of the minmax table are queried using

```
void GetMinmax( enum target, boolean reset,
    enum format, enum type, void* values );
```

*target* must be MINMAX. *type* and *format* accept the same values as do the corresponding parameters of **GetTexImage**. A one-dimensional image of width 2 is returned to *values*. Pixel processing and component mapping are identical to those of **GetTexImage**.

If *reset* is TRUE, then each minimum value is reset to the maximum representable value, and each maximum value is reset to the minimum representable value. All values are reset, whether returned or not.

No values are modified if *reset* is FALSE.

Calling

```
void ResetMinmax( enum target );
```

resets all minimum and maximum values of *target* to to their maximum and minimum representable values, respectively, *target* must be MINMAX.

The functions

```
void GetMinmaxParameter{if}v( enum target,
    enum pname, T params );
```

are used for integer and floating point query. *target* must be MINMAX. *pname* is MINMAX\_FORMAT or MINMAX\_SINK. The value of the specified parameter is returned in *params*.

### 6.1.11 Pointer and String Queries

The command

```
void GetPointerv( enum pname, void **params );
```

obtains the pointer or pointers named *pname* in the array *params*. The possible values for *pname* are `SELECTION_BUFFER_POINTER`, `FEEDBACK_BUFFER_POINTER`, `VERTEX_ARRAY_POINTER`, `NORMAL_ARRAY_POINTER`, `COLOR_ARRAY_POINTER`, `INDEX_ARRAY_POINTER`, `TEXTURE_COORD_ARRAY_POINTER`, and `EDGE_FLAG_ARRAY_POINTER`. Each returns a single pointer value.

Finally,

```
ubyte *GetString( enum name );
```

returns a pointer to a static string describing some aspect of the current GL connection. The possible values for *name* are `VENDOR`, `RENDERER`, `VERSION`, and `EXTENSIONS`. The format of the `RENDERER` and `VERSION` strings is implementation dependent. The `EXTENSIONS` string contains a space separated list of extension names (The extension names themselves do not contain any spaces); the `VERSION` string is laid out as follows:

```
<version number><space><vendor-specific information>
```

The version number is either of the form *major\_number.minor\_number* or *major\_number.minor\_number.release\_number*, where the numbers all have one or more digits. The vendor specific information is optional. However, if it is present then it pertains to the server and the format and contents are implementation dependent.

**GetString** returns the version number (returned in the `VERSION` string) and the extension names (returned in the `EXTENSIONS` string) that can be supported on the connection. Thus, if the client and server support different versions and/or extensions, a compatible version and list of extensions is returned.

### 6.1.12 Saving and Restoring State

Besides providing a means to obtain the values of state variables, the GL also provides a means to save and restore groups of state variables. The **PushAttrib**, **PushClientAttrib**, **PopAttrib** and **PopClientAttrib** commands are used for this purpose. The commands

```
void PushAttrib( bitfield mask );
void PushClientAttrib( bitfield mask );
```

take a bitwise OR of symbolic constants indicating which groups of state variables to push onto an attribute stack. **PushAttrib** uses a server attribute stack while **PushClientAttrib** uses a client attribute stack. Each constant refers to a group of state variables. The classification of each variable into a group is indicated in the following tables of state variables. The error `STACK_OVERFLOW` is generated if **PushAttrib** or **PushClientAttrib** is executed while the corresponding stack depth is `MAX_ATTRIB_STACK_DEPTH` or `MAX_CLIENT_ATTRIB_STACK_DEPTH` respectively. The commands

```
void PopAttrib( void );
void PopClientAttrib( void );
```

reset the values of those state variables that were saved with the last corresponding **PushAttrib** or **PopClientAttrib**. Those not saved remain unchanged. The error `STACK_UNDERFLOW` is generated if **PopAttrib** or **PopClientAttrib** is executed while the respective stack is empty.

Table 6.2 shows the attribute groups with their corresponding symbolic constant names and stacks.

When **PushAttrib** is called with `TEXTURE_BIT` set, the priorities, border colors, filter modes, and wrap modes of the currently bound texture objects, as well as the current texture bindings and enables, are pushed onto the attribute stack. (Unbound texture objects are not pushed or restored.) When an attribute set that includes texture information is popped, the bindings and enables are first restored to their pushed values, then the bound texture objects' priorities, border colors, filter modes, and wrap modes are restored to their pushed values.

The depth of each attribute stack is implementation dependent but must be at least 16. The state required for each attribute stack is potentially 16 copies of each state variable, 16 masks indicating which groups of variables are stored in each stack entry, and an attribute stack pointer. In the initial state, both attribute stacks are empty.

In the tables that follow, a type is indicated for each variable. Table 6.3 explains these types. The type actually identifies all state associated with the indicated description; in certain cases only a portion of this state is returned. This is the case with all matrices, where only the top entry on the stack is returned; with clip planes, where only the selected clip plane is returned, with parameters describing lights, where only the value pertaining

Stack	Attribute	Constant
server	accum-buffer	ACCUM_BUFFER_BIT
server	color-buffer	COLOR_BUFFER_BIT
server	current	CURRENT_BIT
server	depth-buffer	DEPTH_BUFFER_BIT
server	enable	ENABLE_BIT
server	eval	EVAL_BIT
server	fog	FOG_BIT
server	hint	HINT_BIT
server	lighting	LIGHTING_BIT
server	line	LINE_BIT
server	list	LIST_BIT
server	pixel	PIXEL_MODE_BIT
server	point	POINT_BIT
server	polygon	POLYGON_BIT
server	polygon-stipple	POLYGON_STIPPLE_BIT
server	scissor	SCISSOR_BIT
server	stencil-buffer	STENCIL_BUFFER_BIT
server	texture	TEXTURE_BIT
server	transform	TRANSFORM_BIT
server	viewport	VIEWPORT_BIT
server		ALL_ATTRIB_BITS
client	vertex-array	CLIENT_VERTEX_ARRAY_BIT
client	pixel-store	CLIENT_PIXEL_STORE_BIT
client	select	can't be pushed or pop'd
client	feedback	can't be pushed or pop'd
client		ALL_CLIENT_ATTRIB_BITS

Table 6.2: Attribute groups

Type code	Explanation
$B$	Boolean
$C$	Color (floating-point R, G, B, and A values)
$CI$	Color index (floating-point index value)
$T$	Texture coordinates (floating-point $s$ , $t$ , $r$ , $q$ values)
$N$	Normal coordinates (floating-point $x$ , $y$ , $z$ values)
$V$	Vertex, including associated data
$Z$	Integer
$Z^+$	Non-negative integer
$Z_k, Z_{k*}$	$k$ -valued integer ( $k*$ indicates $k$ is minimum)
$R$	Floating-point number
$R^+$	Non-negative floating-point number
$R^{[a,b]}$	Floating-point number in the range $[a, b]$
$R^k$	$k$ -tuple of floating-point numbers
$P$	Position ( $x$ , $y$ , $z$ , $w$ floating-point coordinates)
$D$	Direction ( $x$ , $y$ , $z$ floating-point coordinates)
$M^4$	$4 \times 4$ floating-point matrix
$I$	Image
$A$	Attribute stack entry, including mask
$Y$	Pointer (data type unspecified)
$n \times type$	$n$ copies of type $type$ ( $n*$ indicates $n$ is minimum)

Table 6.3: State variable types

to the selected light is returned; with textures, where only the selected texture or texture parameter is returned; and with evaluator maps, where only the selected map is returned. Finally, a “-” in the attribute column indicates that the indicated value is not included in any attribute group (and thus can not be pushed or popped with **PushAttrib**, **PushClientAttrib**, **PopAttrib**, or **PopClientAttrib**).

The  $M$  and  $m$  entries for initial minmax table values represent the maximum and minimum possible representable values, respectively.



## 6.2 State Tables

The tables on the following pages indicate which state variables are obtained with what commands. State variables that can be obtained using any of **GetBooleanv**, **GetIntegerv**, **GetFloatv**, or **GetDoublev** are listed with just one of these commands – the one that is most appropriate given the type of the data to be returned. These state variables cannot be obtained using **IsEnabled**. However, state variables for which **IsEnabled** is listed as the query command can also be obtained using **GetBooleanv**, **GetIntegerv**, **GetFloatv**, and **GetDoublev**. State variables for which any other command is listed as the query command can be obtained only by using that command.

State table entries which are required only by the imaging subset (see section 3.6.2) are typeset against a gray background.

Get value	Type	Get Cmd	Initial Value	Description	Sec.	Attribute
-	$Z_{11}$	-	0	When $\neq 0$ , indicates <b>begin/end</b> object	2.6.1	-
-	$V$	-	-	Previous vertex in <b>Begin/End line</b>	2.6.1	-
-	$B$	-	-	Indicates if <i>line-vertex</i> is the first	2.6.1	-
-	$V$	-	-	First vertex of a <b>Begin/End line loop</b>	2.6.1	-
-	$Z^+$	-	-	Line stipple counter	3.4	-
-	$n \times V$	-	-	Vertices inside of <b>Begin/End polygon</b>	2.6.1	-
-	$Z^+$	-	-	Number of <i>polygon-vertices</i>	2.6.1	-
-	$2 \times V$	-	-	Previous two vertices in a <b>Begin/End triangle strip</b>	2.6.1	-
-	$Z_3$	-	-	Number of vertices so far in triangle strip: 0, 1, or more	2.6.1	-
-	$Z_2$	-	-	Triangle strip A/B vertex pointer	2.6.1	-
-	$3 \times V$	-	-	Vertices of the quad under construction	2.6.1	-
-	$Z_4$	-	-	Number of vertices so far in quad strip: 0, 1, 2, or more	2.6.1	-

Table 6.4. GL Internal begin-end state variables (inaccessible)

Get value	Type	Get Cmd	Initial Value	Description	Sec.	Attribute
CURRENT_COLOR	<i>C</i>	GetIntegerv, GetFloatv	1,1,1,1	Current color	2.7	current
CURRENT_INDEX	<i>CI</i>	GetIntegerv, GetFloatv	1	Current color index	2.7	current
CURRENT_TEXTURE_COORDS	<i>T</i>	GetFloatv	0,0,0,1	Current texture coordinates	2.7	current
CURRENT_NORMAL	<i>N</i>	GetFloatv	0,0,1	Current normal	2.7	current
-	<i>C</i>	-	-	Color associated with last vertex	2.6	-
-	<i>CI</i>	-	-	Color index associated with last vertex	2.6	-
-	<i>T</i>	-	-	Texture coordinates associated with last vertex	2.6	-
CURRENT_RASTER_POSITION	<i>R<sup>4</sup></i>	GetFloatv	0,0,0,1	Current raster position	2.12	current
CURRENT_RASTER_DISTANCE	<i>R<sup>+</sup></i>	GetFloatv	0	Current raster distance	2.12	current
CURRENT_RASTER_COLOR	<i>C</i>	GetIntegerv, GetFloatv	1,1,1,1	Color associated with raster position	2.12	current
CURRENT_RASTER_INDEX	<i>CI</i>	GetIntegerv, GetFloatv	1	Color index associated with raster position	2.12	current
CURRENT_RASTER_TEXTURE_COORDS	<i>T</i>	GetFloatv	0,0,0,1	Texture coordinates associated with raster position	2.12	current
CURRENT_RASTER_POSITION_VALID	<i>B</i>	GetBooleanv	<i>True</i>	Raster position valid bit	2.12	current
EDGE_FLAG	<i>B</i>	GetBooleanv	<i>True</i>	Edge flag	2.6.2	current

Table 6.5. Current Values and Associated Data

Get value	Type	Get Cmd	Initial Value	Description	Sec.	Attribute
VERTEX_ARRAY	<i>B</i>	<b>IsEnabled</b>	<i>False</i>	Vertex array enable	2.8	vertex-array
VERTEX_ARRAY_SIZE	<i>Z</i> <sup>+</sup>	<b>GetIntegerv</b>	4	Coordinates per vertex	2.8	vertex-array
VERTEX_ARRAY_TYPE	<i>Z</i> <sub>4</sub>	<b>GetIntegerv</b>	FLOAT	Type of vertex coordinates	2.8	vertex-array
VERTEX_ARRAY_STRIDE	<i>Z</i> <sup>+</sup>	<b>GetIntegerv</b>	0	Stride between vertices	2.8	vertex-array
VERTEX_ARRAY_POINTER	<i>Y</i>	<b>GetPointerv</b>	0	Pointer to the vertex array	2.8	vertex-array
NORMAL_ARRAY	<i>B</i>	<b>IsEnabled</b>	<i>False</i>	Normal array enable	2.8	vertex-array
NORMAL_ARRAY_TYPE	<i>Z</i> <sub>5</sub>	<b>GetIntegerv</b>	FLOAT	Type of normal coordinates	2.8	vertex-array
NORMAL_ARRAY_STRIDE	<i>Z</i> <sup>+</sup>	<b>GetIntegerv</b>	0	Stride between normals	2.8	vertex-array
NORMAL_ARRAY_POINTER	<i>Y</i>	<b>GetPointerv</b>	0	Pointer to the normal array	2.8	vertex-array
COLOR_ARRAY	<i>B</i>	<b>IsEnabled</b>	<i>False</i>	Color array enable	2.8	vertex-array
COLOR_ARRAY_SIZE	<i>Z</i> <sup>+</sup>	<b>GetIntegerv</b>	4	Colors per vertex	2.8	vertex-array
COLOR_ARRAY_TYPE	<i>Z</i> <sub>8</sub>	<b>GetIntegerv</b>	FLOAT	Type of color components	2.8	vertex-array
COLOR_ARRAY_STRIDE	<i>Z</i> <sup>+</sup>	<b>GetIntegerv</b>	0	Stride between colors	2.8	vertex-array
COLOR_ARRAY_POINTER	<i>Y</i>	<b>GetPointerv</b>	0	Pointer to the color array	2.8	vertex-array
INDEX_ARRAY	<i>B</i>	<b>IsEnabled</b>	<i>False</i>	Index array enable	2.8	vertex-array
INDEX_ARRAY_TYPE	<i>Z</i> <sub>4</sub>	<b>GetIntegerv</b>	FLOAT	Type of indices	2.8	vertex-array
INDEX_ARRAY_STRIDE	<i>Z</i> <sup>+</sup>	<b>GetIntegerv</b>	0	Stride between indices	2.8	vertex-array
INDEX_ARRAY_POINTER	<i>Y</i>	<b>GetPointerv</b>	0	Pointer to the index array	2.8	vertex-array
TEXTURE_COORD_ARRAY	<i>B</i>	<b>IsEnabled</b>	<i>False</i>	Texture coordinate array enable	2.8	vertex-array
TEXTURE_COORD_ARRAY_SIZE	<i>Z</i> <sup>+</sup>	<b>GetIntegerv</b>	4	Coordinates per element	2.8	vertex-array
TEXTURE_COORD_ARRAY_TYPE	<i>Z</i> <sub>4</sub>	<b>GetIntegerv</b>	FLOAT	Type of texture coordinates	2.8	vertex-array
TEXTURE_COORD_ARRAY_STRIDE	<i>Z</i> <sup>+</sup>	<b>GetIntegerv</b>	0	Stride between texture coordinates	2.8	vertex-array
TEXTURE_COORD_ARRAY_POINTER	<i>Y</i>	<b>GetPointerv</b>	0	Pointer to the texture coordinate array	2.8	vertex-array
EDGE_FLAG_ARRAY	<i>B</i>	<b>IsEnabled</b>	<i>False</i>	Edge flag array enable	2.8	vertex-array
EDGE_FLAG_ARRAY_STRIDE	<i>Z</i> <sup>+</sup>	<b>GetIntegerv</b>	0	Stride between edge flags	2.8	vertex-array
EDGE_FLAG_ARRAY_POINTER	<i>Y</i>	<b>GetPointerv</b>	0	Pointer to the edge flag array	2.8	vertex-array

Table 6.6. Vertex Array Data

Get value	Type	Get Cmnnd	Initial Value	Description	Sec.	Attribute
COLOR_MATRIX	$2 * \times M^4$	<b>GetFloatv</b>	Identity	Color matrix stack	3.6.3	-
MODELVIEW_MATRIX	$32 * \times M^4$	<b>GetFloatv</b>	Identity	Model-view matrix stack	2.10.2	-
PROJECTION_MATRIX	$2 * \times M^4$	<b>GetFloatv</b>	Identity	Projection matrix stack	2.10.2	-
TEXTURE_MATRIX	$2 * \times M^4$	<b>GetFloatv</b>	Identity	Texture matrix stack	2.10.2	-
VIEWPORT	$4 \times Z$	<b>GetIntegerv</b>	see 2.10.1	Viewport origin & extent	2.10.1	viewport
DEPTH_RANGE	$2 \times R^+$	<b>GetFloatv</b>	0,1	Depth range near & far	2.10.1	viewport
COLOR_MATRIX_STACK_DEPTH	$Z^+$	<b>GetIntegerv</b>	1	Color matrix stack pointer	3.6.3	-
MODELVIEW_STACK_DEPTH	$Z^+$	<b>GetIntegerv</b>	1	Model-view matrix stack pointer	2.10.2	-
PROJECTION_STACK_DEPTH	$Z^+$	<b>GetIntegerv</b>	1	Projection matrix stack pointer	2.10.2	-
TEXTURE_STACK_DEPTH	$Z^+$	<b>GetIntegerv</b>	1	Texture matrix stack pointer	2.10.2	-
MATRIX_MODE	$Z_4$	<b>GetIntegerv</b>	MODELVIEW	Current matrix mode	2.10.2	transform
NORMALIZE	$B$	<b>IsEnabled</b>	<i>False</i>	Current normal normalization on/off	2.10.3	transform/enable
RESCALE_NORMAL	$B$	<b>IsEnabled</b>	<i>False</i>	Current normal rescaling on/off	2.10.3	transform/enable
CLIP_PLANE <sub>i</sub>	$6 * \times R^4$	<b>GetClipPlane</b>	0,0,0,0	User clipping plane coefficients	2.11	transform
CLIP_PLANE <sub>i</sub>	$6 * \times B$	<b>IsEnabled</b>	<i>False</i>	<i>i</i> th user clipping plane enabled	2.11	transform/enable

Table 6.7. Transformation state

Get value	Type	Get Cmd	Initial Value	Description	Sec.	Attribute
FOG-COLOR	<i>C</i>	<b>GetFloatv</b>	0,0,0,0	Fog color	3.10	fog
FOG-INDEX	<i>C/I</i>	<b>GetFloatv</b>	0	Fog index	3.10	fog
FOG-DENSITY	<i>R</i>	<b>GetFloatv</b>	1.0	Exponential fog density	3.10	fog
FOG-START	<i>R</i>	<b>GetFloatv</b>	0.0	Linear fog start	3.10	fog
FOG-END	<i>R</i>	<b>GetFloatv</b>	1.0	Linear fog end	3.10	fog
FOG-MODE	<i>Z<sub>3</sub></i>	<b>GetIntegerv</b>	EXP	Fog mode	3.10	fog
FOG	<i>B</i>	<b>IsEnabled</b>	<i>False</i>	True if fog enabled	3.10	fog/enable
SHADE_MODEL	<i>Z<sup>+</sup></i>	<b>GetIntegerv</b>	SMOOTH	ShadeModel setting	2.13.7	lighting

Table 6.8. Coloring

Get value	Type	Get Cmnnd	Initial Value	Description	Sec.	Attribute
LIGHTING	<i>B</i>	<b>IsEnabled</b>	<i>False</i>	True if lighting is enabled	2.13.1	lighting/enable
COLOR_MATERIAL	<i>B</i>	<b>IsEnabled</b>	<i>False</i>	True if color tracking is enabled	2.13.3	lighting/enable
COLOR_MATERIAL_PARAMETER	$Z_5$	<b>GetIntegerv</b>	AMBIENT_AND_DIFFUSE	Material properties tracking current color	2.13.3	lighting
COLOR_MATERIAL_FACE	$Z_3$	<b>GetIntegerv</b>	FRONT_AND_BACK	Face(s) affected by color tracking	2.13.3	lighting
AMBIENT	$2 \times C$	<b>GetMaterialfv</b>	(0.2,0.2,0.2,1.0)	Ambient material color	2.13.1	lighting
DIFFUSE	$2 \times C$	<b>GetMaterialfv</b>	(0.8,0.8,0.8,1.0)	Diffuse material color	2.13.1	lighting
SPECULAR	$2 \times C$	<b>GetMaterialfv</b>	(0.0,0.0,0.0,1.0)	Specular material color	2.13.1	lighting
EMISSION	$2 \times C$	<b>GetMaterialfv</b>	(0.0,0.0,0.0,1.0)	Emissive mat. color	2.13.1	lighting
SHININESS	$2 \times R$	<b>GetMaterialfv</b>	0.0	Specular exponent of material	2.13.1	lighting
LIGHT_MODEL_AMBIENT	<i>C</i>	<b>GetFloatv</b>	(0.2,0.2,0.2,1.0)	Ambient scene color	2.13.1	lighting
LIGHT_MODEL_LOCAL_VIEWER	<i>B</i>	<b>GetBooleanv</b>	<i>False</i>	Viewer is local	2.13.1	lighting
LIGHT_MODEL_TWO_SIDE	<i>B</i>	<b>GetBooleanv</b>	<i>False</i>	Use two-sided lighting	2.13.1	lighting
LIGHT_MODEL_COLOR_CONTROL	$Z_2$	<b>GetIntegerv</b>	SINGLE_COLOR	Color control	2.13.1	lighting

Table 6.9. Lighting (see also Table 2.7 for defaults)

Get value	Type	Get Cmd	Initial Value	Description	Sec.	Attribute
AMBIENT	$8 \times C$	<b>GetLightfv</b>	(0.0,0.0,0.0,1.0)	Ambient intensity of light $i$	2.13.1	lighting
DIFFUSE	$8 \times C$	<b>GetLightfv</b>	see 2.5	Diffuse intensity of light $i$	2.13.1	lighting
SPECULAR	$8 \times C$	<b>GetLightfv</b>	see 2.5	Specular intensity of light $i$	2.13.1	lighting
POSITION	$8 \times P$	<b>GetLightfv</b>	(0.0,0.0,1.0,0.0)	Position of light $i$	2.13.1	lighting
CONSTANT_ATTENUATION	$8 \times R^+$	<b>GetLightfv</b>	1.0	Constant atten. factor	2.13.1	lighting
LINEAR_ATTENUATION	$8 \times R^+$	<b>GetLightfv</b>	0.0	Linear atten. factor	2.13.1	lighting
QUADRATIC_ATTENUATION	$8 \times R^+$	<b>GetLightfv</b>	0.0	Quadratic atten. factor	2.13.1	lighting
SPOT_DIRECTION	$8 \times D$	<b>GetLightfv</b>	(0.0,0.0,-1.0)	Spotlight direction of light $i$	2.13.1	lighting
SPOT_EXPONENT	$8 \times R^+$	<b>GetLightfv</b>	0.0	Spotlight exponent of light $i$	2.13.1	lighting
SPOT_CUTOFF	$8 \times R^+$	<b>GetLightfv</b>	180.0	Spot. angle of light $i$	2.13.1	lighting
LIGHT <sub><math>i</math></sub>	$8 \times B$	<b>IsEnabled</b>	<i>False</i>	True if light $i$ enabled	2.13.1	lighting/enable
COLOR_INDEXES	$2 \times 3 \times R$	<b>GetMaterialfv</b>	0,1,1	$a_m, d_m,$ and $s_m$ for color index lighting	2.13.1	lighting

Table 6.10. Lighting (cont.)



Get value	Type	Get Cmnnd	Initial Value	Description	Sec.	Attribute
POINT.SIZE	$R^+$	GetFloatv	1.0	Point size	3.3	point
POINT.SMOOTH	$B$	IsEnabled	False	Point antialiasing on	3.3	point/enable
LINE.WIDTH	$R^+$	GetFloatv	1.0	Line width	3.4	line
LINE.SMOOTH	$B$	IsEnabled	False	Line antialiasing on	3.4	line/enable
LINE.STIPPLE.PATTERN	$Z^+$	GetIntegerv	1's	Line stipple	3.4.2	line
LINE.STIPPLE.REPEAT	$Z^+$	GetIntegerv	1	Line stipple repeat	3.4.2	line
LINE.STIPPLE	$B$	IsEnabled	False	Line stipple enable	3.4.2	line/enable
CULL.FACE	$B$	IsEnabled	False	Polygon culling enabled	3.5.1	polygon/enable
CULL.FACE.MODE	$Z_3$	GetIntegerv	BACK	Cull front/back facing polygons	3.5.1	polygon
FRONT.FACE	$Z_2$	GetIntegerv	CCW	Polygon frontface CW/CCW indicator	3.5.1	polygon
POLYGON.SMOOTH	$B$	IsEnabled	False	Polygon antialiasing on	3.5	polygon/enable
POLYGON.MODE	$2 \times Z_3$	GetIntegerv	FILL	Polygon rasterization mode (front & back)	3.5.4	polygon
POLYGON.OFFSET.FACTOR	$R$	GetFloatv	0	Polygon offset factor	3.5.5	polygon
POLYGON.OFFSET.UNITS	$R$	GetFloatv	0	Polygon offset bias	3.5.5	polygon
POLYGON.OFFSET.POINT	$B$	IsEnabled	False	Polygon offset enable for POINT mode rasterization	3.5.5	polygon/enable
POLYGON.OFFSET.LINE	$B$	IsEnabled	False	Polygon offset enable for LINE mode rasterization	3.5.5	polygon/enable
POLYGON.OFFSET.FILL	$B$	IsEnabled	False	Polygon offset enable for FILL mode rasterization	3.5.5	polygon/enable
-	$I$	GetPolygonStipple	1's	Polygon stipple	3.5	polygon-stipple
POLYGON.STIPPLE	$B$	IsEnabled	False	Polygon stipple enable	3.5.2	polygon/enable

Table 6.11. Rasterization

Get value	Type	Get Cmd	Initial Value	Description	Sec.	Attribute
TEXTURE_ <i>x</i> D	$3 \times B$	IsEnabled	<i>False</i>	True if <i>x</i> D texturing is enabled; <i>x</i> is 1, 2, or 3	3.8.10	texture/enable
TEXTURE_BINDING_ <i>x</i> D	$3 \times Z^+$	GetIntegerv	0	Texture object bound to TEXTURE_ <i>x</i> D	3.8.8	texture
TEXTURE_ <i>x</i> D	$n \times I$	GetTexImage	see 3.8	<i>x</i> D texture image at l.o.d. <i>i</i>	3.8	—
TEXTURE_WIDTH	$n \times Z^+$	GetTexLevelParameter	0	<i>x</i> D texture image <i>i</i> 's specified width	3.8	—
TEXTURE_HEIGHT	$n \times Z^+$	GetTexLevelParameter	0	2D texture image <i>i</i> 's specified height	3.8	—
TEXTURE_DEPTH	$n \times Z^+$	GetTexLevelParameter	0	3D texture image <i>i</i> 's specified depth	3.8	—
TEXTURE_BORDER	$n \times Z^+$	GetTexLevelParameter	0	<i>x</i> D texture image <i>i</i> 's specified border width	3.8	—
TEXTURE_INTERNAL_FORMAT (TEXTURE_COMPONENTS)	$n \times Z_{42}$	GetTexLevelParameter	1	<i>x</i> D texture image <i>i</i> 's internal image format	3.8	—
TEXTURE_RED_SIZE	$n \times Z^+$	GetTexLevelParameter	0	<i>x</i> D texture image <i>i</i> 's red resolution	3.8	—
TEXTURE_GREEN_SIZE	$n \times Z^+$	GetTexLevelParameter	0	<i>x</i> D texture image <i>i</i> 's green resolution	3.8	—
TEXTURE_BLUE_SIZE	$n \times Z^+$	GetTexLevelParameter	0	<i>x</i> D texture image <i>i</i> 's blue resolution	3.8	—
TEXTURE_ALPHA_SIZE	$n \times Z^+$	GetTexLevelParameter	0	<i>x</i> D texture image <i>i</i> 's alpha resolution	3.8	—
TEXTURE_LUMINANCE_SIZE	$n \times Z^+$	GetTexLevelParameter	0	<i>x</i> D texture image <i>i</i> 's luminance resolution	3.8	—
TEXTURE_INTENSITY_SIZE	$n \times Z^+$	GetTexLevelParameter	0	<i>x</i> D texture image <i>i</i> 's intensity resolution	3.8	—

Table 6.12. Texture Objects

Get value	Type	Get Cmnnd	Initial Value	Description	Sec.	Attribute
TEXTURE_BORDER_COLOR	$2^+ \times C$	<b>GetTexParameter</b>	0,0,0,0	Texture border color	3.8	texture
TEXTURE_MIN_FILTER	$2^+ \times Z_6$	<b>GetTexParameter</b>	see 3.8	Texture minification function	3.8.5	texture
TEXTURE_MAG_FILTER	$2^+ \times Z_2$	<b>GetTexParameter</b>	see 3.8	Texture magnification function	3.8.6	texture
TEXTURE_WRAP_S	$3^+ \times Z_3$	<b>GetTexParameter</b>	REPEAT	Texture wrap mode S	3.8	texture
TEXTURE_WRAP_T	$2^+ \times Z_3$	<b>GetTexParameter</b>	REPEAT	Texture wrap mode T	3.8	texture
TEXTURE_WRAP_R	$1^+ \times Z_3$	<b>GetTexParameter</b>	REPEAT	Texture wrap mode R	3.8	texture
TEXTURE_PRIORITY	$2^+ \times R^{[0,1]}$	<b>GetTexParameterfv</b>	1	Texture object priority	3.8.8	texture
TEXTURE_RESIDENT	$2^+ \times B$	<b>GetTexParameteriv</b>	see 3.8.8	Texture residency	3.8.8	texture
TEXTURE_MIN_LOD	$n \times R$	<b>GetTexParameterfv</b>	-1000	Minimum level of detail	3.8	texture
TEXTURE_MAX_LOD	$n \times R$	<b>GetTexParameterfv</b>	1000	Maximum level of detail	3.8	texture
TEXTURE_BASE_LEVEL	$n \times R$	<b>GetTexParameterfv</b>	0	Base texture array	3.8	texture
TEXTURE_MAX_LEVEL	$n \times R$	<b>GetTexParameterfv</b>	1000	Maximum texture array level	3.8	texture

Table 6.13. Texture Objects (cont.)

Get value	Type	Get Cmd	Initial Value	Description	Sec.	Attribute
TEXTURE_ENV_MODE	$Z_4$	<b>GetTexEnviv</b>	MODULATE	Texture application function	3.8.9	texture
TEXTURE_ENV_COLOR	$C$	<b>GetTexEnvfv</b>	0,0,0,0	Texture environment color	3.8.9	texture
TEXTURE_GEN_*	$4 \times B$	<b>IsEnabled</b>	<i>False</i>	Texgen enabled ( $x$ is S, T, R, or Q)	2.10.4	texture/enable
EYE_PLANE	$4 \times R^4$	<b>GetTexGenfv</b>	see 2.10.4	Texgen plane equation coefficients (for S, T, R, and Q)	2.10.4	texture
OBJECT_PLANE	$4 \times R^4$	<b>GetTexGenfv</b>	see 2.10.4	Texgen object linear coefficients (for S, T, R, and Q)	2.10.4	texture
TEXTURE_GEN_MODE	$4 \times Z_3$	<b>GetTexGeniv</b>	EYE_LINEAR	Function used for texgen (for S, T, R, and Q)	2.10.4	texture

Table 6.14. Texture Environment and Generation

Get value	Type	Get Cmd	Initial Value	Description	Sec.	Attribute
SCISSOR_TEST	<i>B</i>	<b>IsEnabled</b>	<i>False</i>	Scissoring enabled	4.1.2	scissor/enable
SCISSOR_BOX	$4 \times Z$	<b>GetIntegerv</b>	see 4.1.2	Scissor box	4.1.2	scissor
ALPHA_TEST	<i>B</i>	<b>IsEnabled</b>	<i>False</i>	Alpha test enabled	4.1.3	color-buffer/enable
ALPHA_TEST_FUNC	$Z_8$	<b>GetIntegerv</b>	ALWAYS	Alpha test function	4.1.3	color-buffer
ALPHA_TEST_REF	$R^+$	<b>GetIntegerv</b>	0	Alpha test reference value	4.1.3	color-buffer
STENCIL_TEST	<i>B</i>	<b>IsEnabled</b>	<i>False</i>	Stenciling enabled	4.1.4	stencil-buffer/enable
STENCIL_FUNC	$Z_8$	<b>GetIntegerv</b>	ALWAYS	Stencil function	4.1.4	stencil-buffer
STENCIL_VALUE_MASK	$Z^+$	<b>GetIntegerv</b>	1's	Stencil mask	4.1.4	stencil-buffer
STENCIL_REF	$Z^+$	<b>GetIntegerv</b>	0	Stencil reference value	4.1.4	stencil-buffer
STENCIL_FAIL	$Z_6$	<b>GetIntegerv</b>	KEEP	Stencil fail action	4.1.4	stencil-buffer
STENCIL_PASS_DEPTH_FAIL	$Z_6$	<b>GetIntegerv</b>	KEEP	Stencil depth buffer fail action	4.1.4	stencil-buffer
STENCIL_PASS_DEPTH_PASS	$Z_6$	<b>GetIntegerv</b>	KEEP	Stencil depth buffer pass action	4.1.4	stencil-buffer
DEPTH_TEST	<i>B</i>	<b>IsEnabled</b>	<i>False</i>	Depth buffer enabled	4.1.5	depth-buffer/enable
DEPTH_FUNC	$Z_8$	<b>GetIntegerv</b>	LESS	Depth buffer test function	4.1.5	depth-buffer
BLEND	<i>B</i>	<b>IsEnabled</b>	<i>False</i>	Blending enabled	4.1.6	color-buffer/enable
BLEND_SRC	$Z_{13}$	<b>GetIntegerv</b>	ONE	Blending source function	4.1.6	color-buffer
BLEND_DST	$Z_{12}$	<b>GetIntegerv</b>	ZERO	Blending destination function	4.1.6	color-buffer
BLEND_EQUATION	$Z_5$	<b>GetIntegerv</b>	FUNC_ADD	Blending equation	4.1.6	color-buffer
BLEND_COLOR	<i>C</i>	<b>GetFloatv</b>	0,0,0,0	Constant blend color	4.1.6	color-buffer
DITHER	<i>B</i>	<b>IsEnabled</b>	<i>True</i>	Dithering enabled	4.1.7	color-buffer/enable
INDEX_LOGIC_OP (v1.0: GL_LOGIC_OP)	<i>B</i>	<b>IsEnabled</b>	<i>False</i>	Index logic op enabled	4.1.8	color-buffer/enable
COLOR_LOGIC_OP	<i>B</i>	<b>IsEnabled</b>	<i>False</i>	Color logic op enabled	4.1.8	color-buffer/enable
LOGIC_OP_MODE	$Z_{16}$	<b>GetIntegerv</b>	COPY	Logic op function	4.1.8	color-buffer

Table 6.15. Pixel Operations

Get value	Type	Get Cmd	Initial Value	Description	Sec.	Attribute
DRAW_BUFFER	$Z_{10}^*$	<b>GetInteger</b>	see 4.2.1	Buffers selected for drawing	4.2.1	color-buffer
INDEX_WRITEMASK	$Z^+$	<b>GetInteger</b>	1's	Color index writemask	4.2.2	color-buffer
COLOR_WRITEMASK	$4 \times B$	<b>GetBoolean</b>	<i>True</i>	Color write enables; R, G, B, or A	4.2.2	color-buffer
DEPTH_WRITEMASK	$B$	<b>GetBoolean</b>	<i>True</i>	Depth buffer enabled for writing	4.2.2	depth-buffer
STENCIL_WRITEMASK	$Z^+$	<b>GetInteger</b>	1's	Stencil buffer writemask	4.2.2	stencil-buffer
COLOR_CLEAR_VALUE	$C$	<b>GetFloatv</b>	0,0,0,0	Color buffer clear value (RGBA mode)	4.2.3	color-buffer
INDEX_CLEAR_VALUE	$CI$	<b>GetFloatv</b>	0	Color buffer clear value (color index mode)	4.2.3	color-buffer
DEPTH_CLEAR_VALUE	$R^+$	<b>GetInteger</b>	1	Depth buffer clear value	4.2.3	depth-buffer
STENCIL_CLEAR_VALUE	$Z^+$	<b>GetInteger</b>	0	Stencil clear value	4.2.3	stencil-buffer
ACCUM_CLEAR_VALUE	$4 \times R^+$	<b>GetFloatv</b>	0	Accumulation buffer clear value	4.2.3	accum-buffer

Table 6.16. Framebuffer Control

Get value	Type	Get Cmnd	Initial Value	Description	Sec.	Attribute
UNPACK_SWAP_BYTES	B	GetBooleanv	False	Value of UNPACK_SWAP_BYTES	4.3	pixel-store
UNPACK_LSB_FIRST	B	GetBooleanv	False	Value of UNPACK_LSB_FIRST	4.3	pixel-store
UNPACK_IMAGE_HEIGHT	Z <sup>+</sup>	GetInterv	0	Value of UNPACK_IMAGE_HEIGHT	4.3	pixel-store
UNPACK_SKIP_IMAGES	Z <sup>+</sup>	GetInterv	0	Value of UNPACK_SKIP_IMAGES	4.3	pixel-store
UNPACK_ROW_LENGTH	Z <sup>+</sup>	GetInterv	0	Value of UNPACK_ROW_LENGTH	4.3	pixel-store
UNPACK_SKIP_ROWS	Z <sup>+</sup>	GetInterv	0	Value of UNPACK_SKIP_ROWS	4.3	pixel-store
UNPACK_SKIP_PIXELS	Z <sup>+</sup>	GetInterv	0	Value of UNPACK_SKIP_PIXELS	4.3	pixel-store
UNPACK_ALIGNMENT	Z <sup>+</sup>	GetInterv	4	Value of UNPACK_ALIGNMENT	4.3	pixel-store
PACK_SWAP_BYTES	B	GetBooleanv	False	Value of PACK_SWAP_BYTES	4.3	pixel-store
PACK_LSB_FIRST	B	GetBooleanv	False	Value of PACK_LSB_FIRST	4.3	pixel-store
PACK_IMAGE_HEIGHT	Z <sup>+</sup>	GetInterv	0	Value of PACK_IMAGE_HEIGHT	4.3	pixel-store
PACK_SKIP_IMAGES	Z <sup>+</sup>	GetInterv	0	Value of PACK_SKIP_IMAGES	4.3	pixel-store
PACK_ROW_LENGTH	Z <sup>+</sup>	GetInterv	0	Value of PACK_ROW_LENGTH	4.3	pixel-store
PACK_SKIP_ROWS	Z <sup>+</sup>	GetInterv	0	Value of PACK_SKIP_ROWS	4.3	pixel-store
PACK_SKIP_PIXELS	Z <sup>+</sup>	GetInterv	0	Value of PACK_SKIP_PIXELS	4.3	pixel-store
PACK_ALIGNMENT	Z <sup>+</sup>	GetInterv	4	Value of PACK_ALIGNMENT	4.3	pixel-store

Table 6.17. Pixels

Get value	Type	Get Cmd	Initial Value	Description	Sec.	Attribute
MAP_COLOR	$B$	GetBooleanv	<i>False</i>	True if colors are mapped	4.3	pixel
MAP_STENCIL	$B$	GetBooleanv	<i>False</i>	True if stencil values are mapped	4.3	pixel
INDEX_SHIFT	$Z$	GetIntegerv	0	Value of INDEX_SHIFT	4.3	pixel
INDEX_OFFSET	$Z$	GetIntegerv	0	Value of INDEX_OFFSET	4.3	pixel
$x$ _SCALE	$R$	GetFloatv	1	Value of $x$ _SCALE; $x$ is RED, GREEN, BLUE, ALPHA, or DEPTH	4.3	pixel
$x$ _BIAS	$R$	GetFloatv	0	Value of $x$ _BIAS; $x$ is one of RED, GREEN, BLUE, ALPHA, or DEPTH	4.3	pixel
COLOR_TABLE	$B$	IsEnabled	<i>False</i>	True if color table lookup is done	3.6.3	pixel/enable
POST_CONVOLUTION_COLOR_TABLE	$B$	IsEnabled	<i>False</i>	True if post convolution color table lookup is done	3.6.3	pixel/enable
POST_COLOR_MATRIX_COLOR_TABLE	$B$	IsEnabled	<i>False</i>	True if post color matrix color table lookup is done	3.6.3	pixel/enable
COLOR_TABLE	$3 \times I$	GetColorTable	<i>empty</i>	Color tables	3.6.3	–
COLOR_TABLE_FORMAT	$2 \times 3 \times Z_{42}$	GetColorTableParameteriv	RGBA	Color tables' internal image format	3.6.3	–
COLOR_TABLE_WIDTH	$2 \times 3 \times Z^+$	GetColorTableParameteriv	0	Color tables' specified width	3.6.3	–
COLOR_TABLE_ $x$ _SIZE	$6 \times 2 \times 3 \times Z^+$	GetColorTableParameteriv	0	Color table component resolution; $x$ is RED, GREEN, BLUE, ALPHA, LUMINANCE, or INTENSITY	3.6.3	–
COLOR_TABLE_SCALE	$3 \times R^4$	GetColorTableParameterfv	1,1,1,1	Scale factors applied to color table entries	3.6.3	pixel
COLOR_TABLE_BIAS	$3 \times R^4$	GetColorTableParameterfv	0,0,0,0	Bias factors applied to color table entries	3.6.3	pixel

Table 6.18. Pixels (cont.)  
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Get value	Type	Get Cmd	Initial Value	Description	Sec.	Attribute
CONVOLUTION_1D	$B$	<b>IsEnabled</b>	<i>False</i>	True if 1D convolution is done	3.6.3	pixel/enable
CONVOLUTION_2D	$B$	<b>IsEnabled</b>	<i>False</i>	True if 2D convolution is done	3.6.3	pixel/enable
SEPARABLE_2D	$B$	<b>IsEnabled</b>	<i>False</i>	True if separable 2D convolution is done	3.6.3	pixel/enable
CONVOLUTION	$2 \times I$	<b>GetConvolution-Filter</b>	<i>empty</i>	Convolution filters	3.6.3	–
CONVOLUTION	$2 \times I$	<b>GetSeparable-Filter</b>	<i>empty</i>	Separable convolution filter	3.6.3	–
CONVOLUTION_BORDER_COLOR	$3 \times C$	<b>GetConvolution-Parameterfv</b>	0,0,0,0	Convolution border color	4.3	pixel
CONVOLUTION_BORDER_MODE	$3 \times Z_4$	<b>GetConvolution-Parameteriv</b>	REDUCE	Convolution border mode	4.3	pixel
CONVOLUTION_FILTER_SCALE	$3 \times R^4$	<b>GetConvolution-Parameterfv</b>	1,1,1,1	Scale factors applied to convolution filter entries	3.6.3	pixel
CONVOLUTION_FILTER_BIAS	$3 \times R^4$	<b>GetConvolution-Parameterfv</b>	0,0,0,0	Bias factors applied to convolution filter entries	3.6.3	pixel
CONVOLUTION_FORMAT	$3 \times Z_{42}$	<b>GetConvolution-Parameteriv</b>	RGBA	Convolution filter internal format	4.3	–
CONVOLUTION_WIDTH	$3 \times Z^+$	<b>GetConvolution-Parameteriv</b>	0	Convolution filter width	4.3	–
CONVOLUTION_HEIGHT	$2 \times Z^+$	<b>GetConvolution-Parameteriv</b>	0	Convolution filter height	4.3	–

Table 6.19. Pixels (cont.)

Get value	Type	Get Cmd	Initial Value	Description	Sec.	Attribute
POST_CONVOLUTION_ <i>x</i> _SCALE	<i>R</i>	GetFloatv	1	Component scale factors after convolution; <i>x</i> is RED, GREEN, BLUE, or ALPHA	3.6.3	pixel
POST_CONVOLUTION_ <i>x</i> _BIAS	<i>R</i>	GetFloatv	0	Component bias factors after convolution; <i>x</i> is RED, GREEN, BLUE, or ALPHA	3.6.3	pixel
POST_COLOR_MATRIX_ <i>x</i> _SCALE	<i>R</i>	GetFloatv	1	Component scale factors after color matrix; <i>x</i> is RED, GREEN, BLUE, or ALPHA	3.6.3	pixel
POST_COLOR_MATRIX_ <i>x</i> _BIAS	<i>R</i>	GetFloatv	0	Component bias factors after color matrix; <i>x</i> is RED, GREEN, BLUE, or ALPHA	3.6.3	pixel
HISTOGRAM	<i>B</i>	IsEnabled	False	True if histogramming is enabled	3.6.3	pixel/enable
HISTOGRAM	<i>I</i>	GetHistogram	<i>empty</i>	Histogram table	3.6.3	–
HISTOGRAM.WIDTH	$2 \times Z^+$	GetHistogram-Parameteriv	0	Histogram table width	3.6.3	–
HISTOGRAM.FORMAT	$2 \times Z_{42}$	GetHistogram-Parameteriv	RGBA	Histogram table internal format	3.6.3	–
HISTOGRAM_ <i>x</i> _SIZE	$5 \times 2 \times Z^+$	GetHistogram-Parameteriv	0	Histogram table component resolution; <i>x</i> is RED, GREEN, BLUE, ALPHA, or LUMINANCE	3.6.3	–
HISTOGRAM_SINK	<i>B</i>	GetHistogram-Parameteriv	False	True if histogramming consumes pixel groups	3.6.3	–

Table 6.20. Pixels (cont.)

Get value	Type	Get Cmmnd	Initial Value	Description	Sec.	Attribute
MINMAX	$B$	IsEnabled	False	True if minmax is enabled	3.6.3	pixel/enable
MINMAX	$R^n$	GetMinMax	(M,M,M,M),(m,m,m,m)	Minmax table	3.6.3	-
MINMAX_FORMAT	$Z_{42}$	GetMinMax-Parameteriv	RGBA	Minmax table internal format	3.6.3	-
MINMAX_SINK	$B$	GetMinMax-Parameteriv	False	True if minmax consumes pixel groups	3.6.3	-
ZOOM_X	$R$	GetFloatv	1.0	$x$ zoom factor	4.3	pixel
ZOOM_Y	$R$	GetFloatv	1.0	$y$ zoom factor	4.3	pixel
$x$	$8 \times 32 * \times R$	GetPixelFormat	0's	RGBA <b>PixelFormat</b> translation tables; $x$ is a map name from Table 3.3	4.3	-
$x$	$2 \times 32 * \times Z$	GetPixelFormat	0's	Index <b>PixelFormat</b> translation tables; $x$ is a map name from Table 3.3	4.3	-
$x$ .SIZE	$Z^+$	GetIntegerv	1	Size of table $x$	4.3	-
READ_BUFFER	$Z_3$	GetIntegerv	see 4.3.2	Read source buffer	4.3	pixel

Table 6.21. Pixels (cont.)

Get value	Type	Get Cmnnd	Initial Value	Description	Sec.	Attribute
ORDER	$9 \times Z_{8^*}$	<b>GetMapiv</b>	1	1d map order	5.1	-
ORDER	$9 \times 2 \times Z_{8^*}$	<b>GetMapiv</b>	1,1	2d map orders	5.1	-
COEFF	$9 \times 8^* \times R^n$	<b>GetMapfv</b>	see 5.1	1d control points	5.1	-
COEFF	$9 \times 8^* \times 8^* \times R^n$	<b>GetMapfv</b>	see 5.1	2d control points	5.1	-
DOMAIN	$9 \times 2 \times R$	<b>GetMapfv</b>	see 5.1	1d domain endpoints	5.1	-
DOMAIN	$9 \times 4 \times R$	<b>GetMapfv</b>	see 5.1	2d domain endpoints	5.1	-
MAP1_ <i>x</i>	$9 \times B$	<b>IsEnabled</b>	<i>False</i>	1d map enables: <i>x</i> is map type	5.1	eval/enable
MAP2_ <i>x</i>	$9 \times B$	<b>IsEnabled</b>	<i>False</i>	2d map enables: <i>x</i> is map type	5.1	eval/enable
MAP1_GRID_DOMAIN	$2 \times R$	<b>GetFloatv</b>	0,1	1d grid endpoints	5.1	eval
MAP2_GRID_DOMAIN	$4 \times R$	<b>GetFloatv</b>	0,1;0,1	2d grid endpoints	5.1	eval
MAP1_GRID_SEGMENTS	$Z^+$	<b>GetFloatv</b>	1	1d grid divisions	5.1	eval
MAP2_GRID_SEGMENTS	$2 \times Z^+$	<b>GetFloatv</b>	1,1	2d grid divisions	5.1	eval
AUTO_NORMAL	$B$	<b>IsEnabled</b>	<i>False</i>	True if automatic normal generation enabled	5.1	eval/enable

Table 6.22. Evaluators (**GetMap** takes a map name)

Get value	Type	Get Cmd	Initial Value	Description	Sec.	Attribute
PERSPECTIVE-CORRECTION-HINT	Z <sub>3</sub>	GetIntegerv	DONT_CARE	Perspective correction hint	5.6	hint
POINT-SMOOTH-HINT	Z <sub>3</sub>	GetIntegerv	DONT_CARE	Point smooth hint	5.6	hint
LINE-SMOOTH-HINT	Z <sub>3</sub>	GetIntegerv	DONT_CARE	Line smooth hint	5.6	hint
POLYGON-SMOOTH-HINT	Z <sub>3</sub>	GetIntegerv	DONT_CARE	Polygon smooth hint	5.6	hint
FOG-HINT	Z <sub>3</sub>	GetIntegerv	DONT_CARE	Fog hint	5.6	hint

Table 6.23. Hints

Get value	Type	Get Cmd	Minimum Value	Description	Sec.	Attribute
MAX_LIGHTS	Z <sup>+</sup>	GetIntegerv	8	Maximum number of lights	2.13.1	-
MAX_CLIP_PLANES	Z <sup>+</sup>	GetIntegerv	6	Maximum number of user clipping planes	2.11	-
MAX_COLOR_MATRIX_STACK_DEPTH	Z <sup>+</sup>	GetIntegerv	2	Maximum color matrix stack depth	3.6.3	-
MAX_MODELVIEW_STACK_DEPTH	Z <sup>+</sup>	GetIntegerv	32	Maximum model-view stack depth	2.10.2	-
MAX_PROJECTION_STACK_DEPTH	Z <sup>+</sup>	GetIntegerv	2	Maximum projection matrix stack depth	2.10.2	-
MAX_TEXTURE_STACK_DEPTH	Z <sup>+</sup>	GetIntegerv	2	Maximum number depth of texture matrix stack	2.10.2	-
SUBPIXEL_BITS	Z <sup>+</sup>	GetIntegerv	4	Number of bits of subpixel precision in screen $x_w$ and $y_w$	3	-
MAX_3D_TEXTURE_SIZE	Z <sup>+</sup>	GetIntegerv	16	See the discussion in Section 3.8.	3.8	-
MAX_TEXTURE_SIZE	Z <sup>+</sup>	GetIntegerv	64	See the discussion in Section 3.8.	3.8	-
MAX_PIXEL_MAP_TABLE	Z <sup>+</sup>	GetIntegerv	32	Maximum size of a PixelMap translation table	3.6.3	-
MAX_NAME_STACK_DEPTH	Z <sup>+</sup>	GetIntegerv	64	Maximum selection name stack depth	5.2	-
MAX_LIST_NESTING	Z <sup>+</sup>	GetIntegerv	64	Maximum display list call nesting	5.4	-
MAX_EVAL_ORDER	Z <sup>+</sup>	GetIntegerv	8	Maximum evaluator polynomial order	5.1	-
MAX_VIEWPORT_DIMS	2 × Z <sup>+</sup>	GetIntegerv	see 2.10.1	Maximum viewport dimensions	2.10.1	-
MAX_ATTRIB_STACK_DEPTH	Z <sup>+</sup>	GetIntegerv	16	Maximum depth of the server attribute stack	6	-
MAX_CLIENT_ATTRIB_STACK_DEPTH	Z <sup>+</sup>	GetIntegerv	16	Maximum depth of the client attribute stack	6	-
-	3 × Z <sup>+</sup>	-	32	Maximum size of a color table	3.6.3	-
-	Z <sup>+</sup>	-	32	Maximum size of the histogram table	3.6.3	-

Table 6.24. Implementation Dependent Values

Get value	Type	Get Cmd	Minimum Value	Description	Sec.	Attribute
AUX_BUFFERS	$Z^+$	GetIntegerv	0	Number of auxiliary buffers	4.2.1	-
RGBA_MODE	$B$	GetBooleanv	-	True if color buffers store rgba	2.7	-
INDEX_MODE	$B$	GetBooleanv	-	True if color buffers store indexes	2.7	-
DOUBLEBUFFER	$B$	GetBooleanv	-	True if front & back buffers exist	4.2.1	-
STEREO	$B$	GetBooleanv	-	True if left & right buffers exist	6	-
ALIASED_POINT_SIZE_RANGE	$2 \times R^+$	GetFloatv	1,1	Range (lo to hi) of aliased point sizes	3.3	-
SMOOTH_POINT_SIZE_RANGE (v1.1: POINT_SIZE_RANGE)	$2 \times R^+$	GetFloatv	1,1	Range (lo to hi) of antialiased point sizes	3.3	-
SMOOTH_POINT_SIZE_GRANULARITY (v1.1: POINT_SIZE_GRANULARITY)	$R^+$	GetFloatv	-	Antialiased point size granularity	3.3	-
ALIASED_LINE_WIDTH_RANGE	$2 \times R^+$	GetFloatv	1,1	Range (lo to hi) of aliased line widths	3.4	-
SMOOTH_LINE_WIDTH_RANGE (v1.1: LINE_WIDTH_RANGE)	$2 \times R^+$	GetFloatv	1,1	Range (lo to hi) of antialiased line widths	3.4	-
SMOOTH_LINE_WIDTH_GRANULARITY (v1.1: LINE_WIDTH_GRANULARITY)	$R^+$	GetFloatv	-	Antialiased line width granularity	3.4	-
MAX_CONVOLUTION_WIDTH	$3 \times Z^+$	GetConvolutionParameteriv	3	Maximum width of convolution filter	4.3	-
MAX_CONVOLUTION_HEIGHT	$2 \times Z^+$	GetConvolutionParameteriv	3	Maximum height of convolution filter	4.3	-
MAX_ELEMENTS_INDICES	$Z^+$	GetIntegerv	-	Recommended maximum number of DrawRangeElements indices	2.8	-
MAX_ELEMENTS_VERTICES	$Z^+$	GetIntegerv	-	Recommended maximum number of DrawRangeElements vertices	2.8	-

Table 6.25. More Implementation Dependent Values

Get value	Type	Get Cmd	Initial Value	Description	Sec.	Attribute
$x$ _BITS	$Z^+$	<b>GetIntegerv</b>	-	Number of bits in $x$ color buffer component; $x$ is one of RED, GREEN, BLUE, ALPHA, or INDEX	4	-
DEPTH_BITS	$Z^+$	<b>GetIntegerv</b>	-	Number of depth buffer planes	4	-
STENCIL_BITS	$Z^+$	<b>GetIntegerv</b>	-	Number of stencil planes	4	-
ACCUM_ $x$ _BITS	$Z^+$	<b>GetIntegerv</b>	-	Number of bits in $x$ accumulation buffer component ( $x$ is RED, GREEN, BLUE, or ALPHA)	4	-

Table 6.26. Implementation Dependent Pixel Depths



Get value	Type	Get Cmd	Initial Value	Description	Sec.	Attribute
LIST_BASE	Z <sup>+</sup>	GetInteger	0	Setting of <b>ListBase</b>	5.4	list
LIST_INDEX	Z <sup>+</sup>	GetInteger	0	number of display list under construction; 0 if none	5.4	-
LIST_MODE	Z <sup>+</sup>	GetInteger	0	Mode of display list under construction; undefined if none	5.4	-
-	16 * A	-	empty	Server attribute stack	6	-
ATTRIB_STACK_DEPTH	Z <sup>+</sup>	GetInteger	0	Server attribute stack pointer	6	-
-	16 * A	-	empty	Client attribute stack	6	-
CLIENT_ATTRIB_STACK_DEPTH	Z <sup>+</sup>	GetInteger	0	Client attribute stack pointer	6	-
NAME_STACK_DEPTH	Z <sup>+</sup>	GetInteger	0	Name stack depth	5.2	-
RENDER_MODE	Z <sub>3</sub>	GetInteger	RENDER	<b>RenderMode</b> setting	5.2	-
SELECTION_BUFFER_POINTER	Y	GetPointer	0	Selection buffer pointer	5.2	select
SELECTION_BUFFER_SIZE	Z <sup>+</sup>	GetInteger	0	Selection buffer size	5.2	select
FEEDBACK_BUFFER_POINTER	Y	GetPointer	0	Feedback buffer pointer	5.3	feedback
FEEDBACK_BUFFER_SIZE	Z <sup>+</sup>	GetInteger	0	Feedback buffer size	5.3	feedback
FEEDBACK_BUFFER_TYPE	Z <sub>5</sub>	GetInteger	2D	Feedback type	5.3	feedback
-	n * Z <sub>8</sub>	GetError	0	Current error code(s)	2.5	-
-	n * B	-	False	True if there is a corresponding error	2.5	-

Table 6.27. Miscellaneous

## Appendix A

# Invariance

The OpenGL specification is not pixel exact. It therefore does not guarantee an exact match between images produced by different GL implementations. However, the specification does specify exact matches, in some cases, for images produced by the same implementation. The purpose of this appendix is to identify and provide justification for those cases that require exact matches.

### A.1 Repeatability

The obvious and most fundamental case is repeated issuance of a series of GL commands. For any given GL and framebuffer state *vector*, and for any GL command, the resulting GL and framebuffer state must be identical whenever the command is executed on that initial GL and framebuffer state.

One purpose of repeatability is avoidance of visual artifacts when a double-buffered scene is redrawn. If rendering is not repeatable, swapping between two buffers rendered with the same command sequence may result in visible changes in the image. Such false motion is distracting to the viewer. Another reason for repeatability is testability.

Repeatability, while important, is a weak requirement. Given only repeatability as a requirement, two scenes rendered with one (small) polygon changed in position might differ at every pixel. Such a difference, while within the law of repeatability, is certainly not within its spirit. Additional invariance rules are desirable to ensure useful operation.

## A.2 Multi-pass Algorithms

Invariance is necessary for a whole set of useful multi-pass algorithms. Such algorithms render multiple times, each time with a different GL mode vector, to eventually produce a result in the framebuffer. Examples of these algorithms include:

- “Erasing” a primitive from the framebuffer by redrawing it, either in a different color or using the XOR logical operation.
- Using stencil operations to compute capping planes.

On the other hand, invariance rules can greatly increase the complexity of high-performance implementations of the GL. Even the weak repeatability requirement significantly constrains a parallel implementation of the GL. Because GL implementations are required to implement ALL GL capabilities, not just a convenient subset, those that utilize hardware acceleration are expected to alternate between hardware and software modules based on the current GL mode vector. A strong invariance requirement forces the behavior of the hardware and software modules to be identical, something that may be very difficult to achieve (for example, if the hardware does floating-point operations with different precision than the software).

What is desired is a compromise that results in many compliant, high-performance implementations, and in many software vendors choosing to port to OpenGL.

## A.3 Invariance Rules

For a given instantiation of an OpenGL rendering context:

**Rule 1** *For any given GL and framebuffer state vector, and for any given GL command, the resulting GL and framebuffer state must be identical each time the command is executed on that initial GL and framebuffer state.*

**Rule 2** *Changes to the following state values have no side effects (the use of any other state value is not affected by the change):*

**Required:**

- *Framebuffer contents (all bitplanes)*
- *The color buffers enabled for writing*

- *The values of matrices other than the top-of-stack matrices*
- *Scissor parameters (other than enable)*
- *Writemasks (color, index, depth, stencil)*
- *Clear values (color, index, depth, stencil, accumulation)*
- *Current values (color, index, normal, texture coords, edgeflag)*
- *Current raster color, index and texture coordinates.*
- *Material properties (ambient, diffuse, specular, emission, shininess)*

**Strongly suggested:**

- *Matrix mode*
- *Matrix stack depths*
- *Alpha test parameters (other than enable)*
- *Stencil parameters (other than enable)*
- *Depth test parameters (other than enable)*
- *Blend parameters (other than enable)*
- *Logical operation parameters (other than enable)*
- *Pixel storage and transfer state*
- *Evaluator state (except as it affects the vertex data generated by the evaluators)*
- *Polygon offset parameters (other than enables, and except as they affect the depth values of fragments)*

**Corollary 1** *Fragment generation is invariant with respect to the state values marked with • in Rule 2.*

**Corollary 2** *The window coordinates (x, y, and z) of generated fragments are also invariant with respect to*

**Required:**

- *Current values (color, color index, normal, texture coords, edgeflag)*
- *Current raster color, color index, and texture coordinates*
- *Material properties (ambient, diffuse, specular, emission, shininess)*

**Rule 3** *The arithmetic of each per-fragment operation is invariant except with respect to parameters that directly control it (the parameters that control the alpha test, for instance, are the alpha test enable, the alpha test function, and the alpha test reference value).*

**Corollary 3** *Images rendered into different color buffers sharing the same framebuffer, either simultaneously or separately using the same command sequence, are pixel identical.*

## A.4 What All This Means

Hardware accelerated GL implementations are expected to default to software operation when some GL state vectors are encountered. Even the weak repeatability requirement means, for example, that OpenGL implementations cannot apply hysteresis to this swap, but must instead guarantee that a given mode vector implies that a subsequent command *always* is executed in either the hardware or the software machine.

The stronger invariance rules constrain when the switch from hardware to software rendering can occur, given that the software and hardware renderers are not pixel identical. For example, the switch can be made when blending is enabled or disabled, but it should not be made when a change is made to the blending parameters.

Because floating point values may be represented using different formats in different renderers (hardware and software), many OpenGL state values may change subtly when renderers are swapped. This is the type of state value change that Rule 1 seeks to avoid.

# Appendix B

## Corollaries

The following observations are derived from the body and the other appendixes of the specification. Absence of an observation from this list in no way impugns its veracity.

1. The `CURRENT_RASTER_TEXTURE_COORDS` must be maintained correctly at all times, including periods while texture mapping is not enabled, and when the GL is in color index mode.
2. When requested, texture coordinates returned in feedback mode are always valid, including periods while texture mapping is not enabled, and when the GL is in color index mode.
3. The error semantics of upward compatible OpenGL revisions may change. Otherwise, only additions can be made to upward compatible revisions.
4. GL query commands are not required to satisfy the semantics of the **Flush** or the **Finish** commands. All that is required is that the queried state be consistent with complete execution of all previously executed GL commands.
5. Application specified point size and line width must be returned as specified when queried. Implementation dependent clamping affects the values only while they are in use.
6. Bitmaps and pixel transfers do not cause selection hits.
7. The mask specified as the third argument to **StencilFunc** affects the operands of the stencil comparison function, but has no direct effect on

the update of the stencil buffer. The mask specified by **StencilMask** has no effect on the stencil comparison function; it limits the effect of the update of the stencil buffer.

8. Polygon shading is completed before the polygon mode is interpreted. If the shade model is **FLAT**, all of the points or lines generated by a single polygon will have the same color.
9. A display list is just a group of commands and arguments, so errors generated by commands in a display list must be generated when the list is executed. If the list is created in **COMPILE** mode, errors should not be generated while the list is being created.
10. **RasterPos** does not change the current raster index from its default value in an **RGBA** mode **GL** context. Likewise, **RasterPos** does not change the current raster color from its default value in a color index **GL** context. Both the current raster index and the current raster color can be queried, however, regardless of the color mode of the **GL** context.
11. A material property that is attached to the current color via **ColorMaterial** always takes the value of the current color. Attempts to change that material property via **Material** calls have no effect.
12. **Material** and **ColorMaterial** can be used to modify the **RGBA** material properties, even in a color index context. Likewise, **Material** can be used to modify the color index material properties, even in an **RGBA** context.
13. There is no atomicity requirement for **OpenGL** rendering commands, even at the fragment level.
14. Because rasterization of non-antialiased polygons is point sampled, polygons that have no area generate no fragments when they are rasterized in **FILL** mode, and the fragments generated by the rasterization of “narrow” polygons may not form a continuous array.
15. **OpenGL** does not force left- or right-handedness on any of its coordinates systems. Consider, however, the following conditions: (1) the object coordinate system is right-handed; (2) the only commands used to manipulate the model-view matrix are **Scale** (with positive scaling values only), **Rotate**, and **Translate**; (3) exactly one of either **Frustum** or **Ortho** is used to set the projection matrix; (4) the near value

is less than the far value for **DepthRange**. If these conditions are all satisfied, then the eye coordinate system is right-handed and the clip, normalized device, and window coordinate systems are left-handed.

16. ColorMaterial has no effect on color index lighting.
17. (No pixel dropouts or duplicates.) Let two polygons share an identical edge (that is, there exist vertices A and B of an edge of one polygon, and vertices C and D of an edge of the other polygon, and the coordinates of vertex A (resp. B) are identical to those of vertex C (resp. D), and the state of the the coordinate transformations is identical when A, B, C, and D are specified). Then, when the fragments produced by rasterization of both polygons are taken together, each fragment intersecting the interior of the shared edge is produced exactly once.
18. OpenGL state continues to be modified in **FEEDBACK** mode and in **SELECT** mode. The contents of the framebuffer are not modified.
19. The current raster position, the user defined clip planes, the spot directions and the light positions for **LIGHT<sub>i</sub>**, and the eye planes for texgen are transformed when they are specified. They are not transformed during a **PopAttrib**, or when copying a context.
20. Dithering algorithms may be different for different components. In particular, alpha may be dithered differently from red, green, or blue, and an implementation may choose to not dither alpha at all.
21. For any GL and framebuffer state, and for any group of GL commands and arguments, the resulting GL and framebuffer state is identical whether the GL commands and arguments are executed normally or from a display list.



## Appendix C

### Version 1.1

OpenGL version 1.1 is the first revision since the original version 1.0 was released on 1 July 1992. Version 1.1 is upward compatible with version 1.0, meaning that any program that runs with a 1.0 GL implementation will also run unchanged with a 1.1 GL implementation. Several additions were made to the GL, especially to the texture mapping capabilities, but also to the geometry and fragment operations. Following are brief descriptions of each addition.

#### C.1 Vertex Array

Arrays of vertex data may be transferred to the GL with many fewer commands than were previously necessary. Six arrays are defined, one each storing vertex positions, normal coordinates, colors, color indices, texture coordinates, and edge flags. The arrays may be specified and enabled independently, or one of the pre-defined configurations may be selected with a single command.

The primary goal was to decrease the number of subroutine calls required to transfer non-display listed geometry data to the GL. A secondary goal was to improve the efficiency of the transfer; especially to allow direct memory access (DMA) hardware to be used to effect the transfer. The additions match those of the `EXT_vertex_array` extension, except that static array data are not supported (because they complicated the interface, and were not being used), and the pre-defined configurations are added (both to reduce subroutine count even further, and to allow for efficient transfer of array data).

## C.2 Polygon Offset

Depth values of fragments generated by the rasterization of a polygon may be shifted toward or away from the origin, as an affine function of the window coordinate depth slope of the polygon. Shifted depth values allow coplanar geometry, especially facet outlines, to be rendered without depth buffer artifacts. They may also be used by future shadow generation algorithms.

The additions match those of the `EXT_polygon_offset` extension, with two exceptions. First, the offset is enabled separately for `POINT`, `LINE`, and `FILL` rasterization modes, all sharing a single affine function definition. (Shifting the depth values of the outline fragments, instead of the fill fragments, allows the contents of the depth buffer to be maintained correctly.) Second, the offset bias is specified in units of depth buffer resolution, rather than in the  $[0,1]$  depth range.

## C.3 Logical Operation

Fragments generated by RGBA rendering may be merged into the framebuffer using a logical operation, just as color index fragments are in GL version 1.0. Blending is disabled during such operation because it is rarely desired, because many systems could not support it, and to match the semantics of the `EXT_blend_logic_op` extension, on which this addition is loosely based.

## C.4 Texture Image Formats

Stored texture arrays have a format, known as the *internal format*, rather than a simple count of components. The internal format is represented as a single enumerated value, indicating both the organization of the image data (`LUMINANCE`, `RGB`, etc.) and the number of bits of storage for each image component. Clients can use the internal format specification to suggest the desired storage precision of texture images. New *base formats*, `ALPHA` and `INTENSITY`, provide new texture environment operations. These additions match those of a subset of the `EXT_texture` extension.

## C.5 Texture Replace Environment

A common use of texture mapping is to replace the color values of generated fragments with texture color data. This could be specified only indirectly

in GL version 1.0, which required that client specified “white” geometry be modulated by a texture. GL version 1.1 allows such replacement to be specified explicitly, possibly improving performance. These additions match those of a subset of the `EXT_texture` extension.

## C.6 Texture Proxies

Texture proxies allow a GL implementation to advertise different maximum texture image sizes as a function of some other texture parameters, especially of the internal image format. Clients may use the proxy query mechanism to tailor their use of texture resources at run time. The proxy interface is designed to allow such queries without adding new routines to the GL interface. These additions match those of a subset of the `EXT_texture` extension, except that implementations return allocation information consistent with support for complete mipmap arrays.

## C.7 Copy Texture and Subtexture

Texture array data can be specified from framebuffer memory, as well as from client memory, and rectangular subregions of texture arrays can be redefined either from client or framebuffer memory. These additions match those defined by the `EXT_copy_texture` and `EXT_subtexture` extensions.

## C.8 Texture Objects

A set of texture arrays and their related texture state can be treated as a single object. Such treatment allows for greater implementation efficiency when multiple arrays are used. In conjunction with the subtexture capability, it also allows clients to make gradual changes to existing texture arrays, rather than completely redefining them. These additions match those of the `EXT_texture_object` extension, with slight additions to the texture residency semantics.

## C.9 Other Changes

1. Color indices may now be specified as unsigned bytes.

2. Texture coordinates  $s$ ,  $t$ , and  $r$  are divided by  $q$  during the rasterization of points, pixel rectangles, and bitmaps. This division was documented only for lines and polygons in the 1.0 version.
3. The line rasterization algorithm was changed so that vertical lines on pixel borders rasterize correctly.
4. Separate pixel transfer discussions in chapter 3 and chapter 4 were combined into a single discussion in chapter 3.
5. Texture alpha values are returned as 1.0 if there is no alpha channel in the texture array. This behavior was unspecified in the 1.0 version, and was incorrectly documented in the reference manual.
6. Fog start and end values may now be negative.
7. Evaluated color values direct the evaluation of the lighting equation if **ColorMaterial** is enabled.

## C.10 Acknowledgements

OpenGL 1.1 is the result of the contributions of many people, representing a cross section of the computer industry. Following is a partial list of the contributors, including the company that they represented at the time of their contribution:

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## Appendix D

### Version 1.2

OpenGL version 1.2, released on March 16, 1998, is the second revision since the original version 1.0. Version 1.2 is upward compatible with version 1.1, meaning that any program that runs with a 1.1 GL implementation will also run unchanged with a 1.2 GL implementation.

Several additions were made to the GL, especially to texture mapping capabilities and the pixel processing pipeline. Following are brief descriptions of each addition.

#### D.1 Three-Dimensional Texturing

Three-dimensional textures can be defined and used. In-memory formats for three-dimensional images, and pixel storage modes to support them, are also defined. The additions match those of the `EXT_texture3D` extension.

One important application of three-dimensional textures is rendering volumes of image data.

#### D.2 BGRA Pixel Formats

`BGRA` extends the list of host-memory color formats. Specifically, it provides a component order matching file and framebuffer formats common on Windows platforms. The additions match those of the `EXT_bgra` extension.

#### D.3 Packed Pixel Formats

Packed pixels in host memory are represented entirely by one unsigned byte, one unsigned short, or one unsigned integer. The fields with the packed pixel

are not proper machine types, but the pixel as a whole is. Thus the pixel storage modes and their unpacking counterparts all work correctly with packed pixels.

The additions match those of the `EXT_packed_pixels` extension, with the further addition of reversed component order packed formats.

## D.4 Normal Rescaling

Normals may be rescaled by a constant factor derived from the modelview matrix. Rescaling can operate faster than renormalization in many cases, while resulting in the same unit normals.

The additions are based on the `EXT_rescale_normal` extension.

## D.5 Separate Specular Color

Lighting calculations are modified to produce a primary color consisting of emissive, ambient and diffuse terms of the usual GL lighting equation, and a secondary color consisting of the specular term. Only the primary color is modified by the texture environment; the secondary color is added to the result of texturing to produce a single post-texturing color. This allows highlights whose color is based on the light source creating them, rather than surface properties.

The additions match those of the `EXT_separate_specular_color` extension.

## D.6 Texture Coordinate Edge Clamping

GL normally clamps such that the texture coordinates are limited to exactly the range  $[0, 1]$ . When a texture coordinate is clamped using this algorithm, the texture sampling filter straddles the edge of the texture image, taking half its sample values from within the texture image, and the other half from the texture border. It is sometimes desirable to clamp a texture without requiring a border, and without using the constant border color.

A new texture clamping algorithm, `CLAMP_TO_EDGE`, clamps texture coordinates at all mipmap levels such that the texture filter never samples a border texel. The color returned when clamping is derived only from texels at the edge of the texture image.

The additions match those of the `SGIS_texture_edge_clamp` extension.

## D.7 Texture Level of Detail Control

Two constraints related to the texture level of detail parameter  $\lambda$  are added. One constraint clamps  $\lambda$  to a specified floating point range. The other limits the selection of mipmap image arrays to a subset of the arrays that would otherwise be considered.

Together these constraints allow a large texture to be loaded and used initially at low resolution, and to have its resolution raised gradually as more resolution is desired or available. Image array specification is necessarily integral, rather than continuous. By providing separate, continuous clamping of the  $\lambda$  parameter, it is possible to avoid "popping" artifacts when higher resolution images are provided.

The additions match those of the `SGIS_texture_lod` extension.

## D.8 Vertex Array Draw Element Range

A new form of `DrawElements` that provides explicit information on the range of vertices referred to by the index set is added. Implementations can take advantage of this additional information to process vertex data without having to scan the index data to determine which vertices are referenced.

The additions match those of the `EXT_draw_range_elements` extension.

## D.9 Imaging Subset

The remaining new features are primarily intended for advanced image processing applications, and may not be present in all GL implementations. They are collectively referred to as the *imaging subset*.

### D.9.1 Color Tables

A new RGBA-format color lookup mechanism is defined in the pixel transfer process, providing additional lookup capabilities beyond the existing lookup. The key difference is that the new lookup tables are treated as one-dimensional images with internal formats, like texture images and convolution filter images. Thus the new tables can operate on a subset of the components of passing pixel groups. For example, a table with internal format `ALPHA` modifies only the A component of each pixel group, leaving the R, G, and B components unmodified.



Three independent lookups may be performed: prior to convolution; after convolution and prior to color matrix transformation; after color matrix transformation and prior to gathering pipeline statistics.

Methods to initialize the color lookup tables from the framebuffer, in addition to the standard memory source mechanisms, are provided.

Portions of a color lookup table may be redefined without reinitializing the entire table. The affected portions may be specified either from host memory or from the framebuffer.

The additions match those of the `EXT_color_table` and `EXT_color_subtable` extensions.

### D.9.2 Convolution

One- or two-dimensional convolution operations are executed following the first color table lookup in the pixel transfer process. The convolution kernels are themselves treated as one- and two-dimensional images, which can be loaded from application memory or from the framebuffer.

The convolution framework is designed to accommodate three-dimensional convolution, but that API is left for a future extension.

The additions match those of the `EXT_convolution` and `HP_convolution_border_modes` extensions.

### D.9.3 Color Matrix

A 4x4 matrix transformation and associated matrix stack are added to the pixel transfer path. The matrix operates on RGBA pixel groups, using the equation

$$C' = MC,$$

where

$$C = \begin{pmatrix} R \\ G \\ B \\ A \end{pmatrix}$$

and  $M$  is the  $4 \times 4$  matrix on the top of the color matrix stack. After the matrix multiplication, each resulting color component is scaled and biased by a programmed amount. Color matrix multiplication follows convolution.

The color matrix can be used to reassign and duplicate color components. It can also be used to implement simple color space conversions.

The additions match those of the `SGI_color_matrix` extension.

#### D.9.4 Pixel Pipeline Statistics

Pixel operations that count occurrences of specific color component values (histogram) and that track the minimum and maximum color component values (minmax) are performed at the end of the pixel transfer pipeline. An optional mode allows pixel data to be discarded after the histogram and/or minmax operations are completed. Otherwise the pixel data continues on to the next operation unaffected.

The additions match those of the `EXT_histogram` extension.

#### D.9.5 Constant Blend Color

A constant color that can be used to define blend weighting factors may be defined. A typical usage is blending two RGB images. Without the constant blend factor, one image must have an alpha channel with each pixel set to the desired blend factor.

The additions match those of the `EXT_blend_color` extension.

#### D.9.6 New Blending Equations

Blending equations other than the normal weighted sum of source and destination components may be used.

Two of the new equations produce the minimum (or maximum) color components of the source and destination colors. Taking the maximum is useful for applications such as maximum projection in medical imaging.

The other two equations are similar to the default blending equation, but produce the difference of its left and right hand sides, rather than the sum. Image differences are useful in many image processing applications.

The additions match those of the `EXT_blend_minmax` and `EXT_blend_subtract` extensions.

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## Appendix E

### Version 1.2.1

OpenGL version 1.2.1, released on October 14, 1998, introduced ARB extensions (see Appendix F). The only ARB extension defined in this version is multitexture, allowing application of multiple textures to a fragment in one rendering pass. Multitexture is based on the `SGIS_multitexture` extension, simplified by removing the ability to route texture coordinate sets to arbitrary texture units.

A new corollary discussing display list and immediate mode invariance was added to Appendix B on April 1, 1999.

## Appendix F

# ARB Extensions

OpenGL extensions that have been approved by the OpenGL Architectural Review Board (ARB) are described in this chapter. These extensions are not required to be supported by a conformant OpenGL implementation, but are expected to be widely available; they define functionality that is likely to move into the required feature set in a future revision of the specification.

In order not to compromise the readability of the core specification, ARB extensions are not integrated into the core language; instead, they are presented in this chapter, as changes to the core.

### F.1 Naming Conventions

To distinguish ARB extensions from core OpenGL features and from vendor-specific extensions, the following naming conventions are used:

- A unique *name string* of the form "GL\_ARB\_*name*" is associated with each extension. If the extension is supported by an implementation, this string will be present in the EXTENSIONS string described in section 6.1.11.
- All functions defined by the extension will have names of the form ***FunctionARB***
- All enumerants defined by the extension will have names of the form ***NAME\_ARB***.

## F.2 Multitexture

Multitexture adds support for multiple texture units. The capabilities of the multiple texture units are identical, except that evaluation and feedback are supported only for texture unit 0. Each texture unit has its own state vector which includes texture vertex array specification, texture image and filtering parameters, and texture environment application.

The texture environments of the texture units are applied in a pipelined fashion whereby the output of one texture environment is used as the input fragment color for the next texture environment. Changes to texture client state and texture server state are each routed through one of two selectors which control which instance of texture state is affected.

The specification is written using four texture units though the actual number supported is implementation dependent and can be larger or smaller than four.

The name string for multitexture is `GL_ARB_multitexture`.

### F.2.1 Dependencies

Multitexture requires features of OpenGL 1.1.

### F.2.2 Issues

The extension currently requires a separate texture coordinate input for each texture unit. Modification to allow routing and/or broadcasting texcoords and **TexGen** output would be useful, possibly as a future extension layered on multitexture.

### F.2.3 Changes to Section 2.6 (Begin/End Paradigm)

*Amend paragraphs 2 and 3*

Each vertex is specified with two, three, or four coordinates. In addition, a *current normal*, multiple *current texture coordinate sets*, and *current color* may be used in processing each vertex. Normals are used by the GL in lighting calculations; the current normal is a three-dimensional vector that may be set by sending three coordinates that specify it. Texture coordinates determine how a texture image is mapped onto a primitive. Multiple sets of texture coordinates may be used to specify how multiple texture images are mapped onto a primitive. The number of texture units supported is implementation dependent but must be at least one. The number of active textures supported can be queried with the state `MAX_TEXTURE_UNITS_ARB`.



Primary and secondary colors are associated with each vertex (see section 3.9). These *associated* colors are either based on the current color or produced by lighting, depending on whether or not lighting is enabled. Texture coordinates are similarly associated with each vertex. Multiple sets of texture coordinates may be associated with a vertex. Figure F.1 summarizes the association of auxiliary data with a transformed vertex to produce a *processed vertex*.

*Amend paragraph 6*

Before colors have been assigned to a vertex, the state required by a vertex is the vertex's coordinates, the current normal, the current edge flag (see section 2.6.2), the current material properties (see section 2.13.2), and the multiple current texture coordinate sets. Because color assignment is done vertex-by-vertex, a processed vertex comprises the vertex's coordinates, its edge flag, its assigned colors, and its multiple texture coordinate sets.

#### F.2.4 Changes to Section 2.7 (Vertex Specification)

*Amend paragraph 2*

Current values are used in associating auxiliary data with a vertex as described in section 2.6. A current value may be changed at any time by issuing an appropriate command. The commands

```
void TexCoord{1234}{sifd}( T coords );
void TexCoord{1234}{sifd}v( T coords );
```

specify the current homogeneous texture coordinates, named *s*, *t*, *r*, and *q*. The **TexCoord1** family of commands set the *s* coordinate to the provided single argument while setting *t* and *r* to 0 and *q* to 1. Similarly, **TexCoord2** sets *s* and *t* to the specified values, *r* to 0 and *q* to 1; **TexCoord3** sets *s*, *t*, and *r*, with *q* set to 1, and **TexCoord4** sets all four texture coordinates.

Implementations may support more than one texture unit, and thus more than one set of texture coordinates. The commands

```
void MultiTexCoord{1234}{sifd}ARB(enum texture, T
    coords)
void MultiTexCoord{1234}{sifd}vARB(enum texture, T
    coords)
```

take the coordinate set to be modified as the *texture* parameter. *texture* is a symbolic constant of the form **TEXTURE<sub>i</sub>\_ARB**, indicating that texture coordinate set *i* is to be modified. The constants obey **TEXTURE<sub>i</sub>\_ARB** =

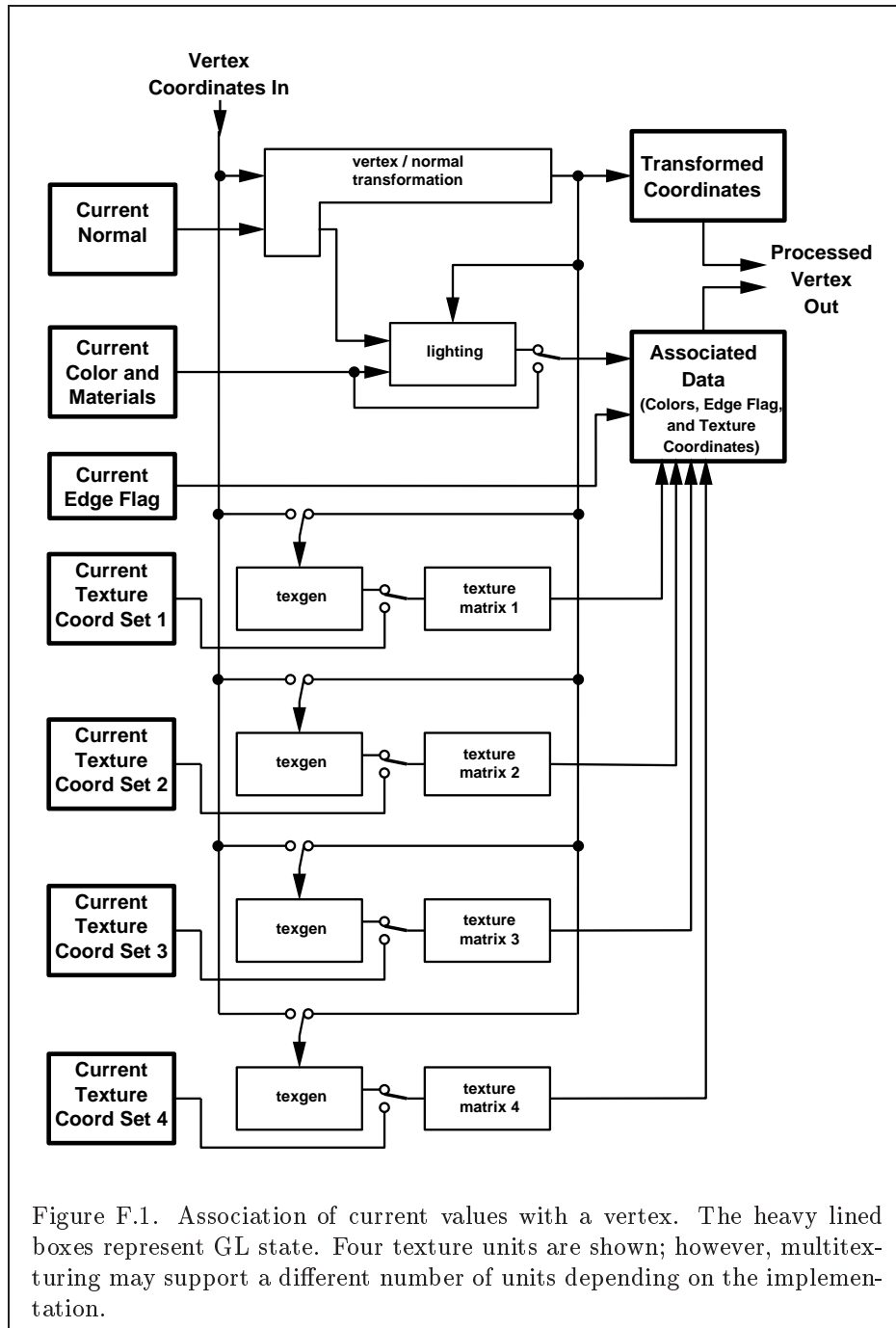


Figure F.1. Association of current values with a vertex. The heavy lined boxes represent GL state. Four texture units are shown; however, multitexturing may support a different number of units depending on the implementation.

`TEXTURE0_ARB + i` ( $i$  is in the range 0 to  $k - 1$ , where  $k$  is the implementation-dependent number of texture units defined by `MAX_TEXTURE_UNITS_ARB`).

The **TexCoord** commands are exactly equivalent to the corresponding **MultiTexCoordARB** commands with *texture* set to `TEXTURE0_ARB`.

**Gets** of `CURRENT_TEXTURE_COORDS` return the texture coordinate set defined by the value of `ACTIVE_TEXTURE_ARB`.

Specifying an invalid texture coordinate set for the *texture* argument of **MultiTexCoordARB** results in undefined behavior.

### F.2.5 Changes to Section 2.8 (Vertex Arrays)

*Amend paragraph 1*

The vertex specification commands described in section 2.7 accept data in almost any format, but their use requires many command executions to specify even simple geometry. Vertex data may also be placed into arrays that are stored in the client's address space. Blocks of data in these arrays may then be used to specify multiple geometric primitives through the execution of a single GL command. The client may specify up to 5 plus the value of `MAX_TEXTURE_UNITS_ARB` arrays: one each to store vertex coordinates, edge flags, colors, color indices, normals, and one or more texture coordinate sets. The commands . . .

*Insert between paragraph 2 and 3*

In implementations which support more than one texture unit, the command

```
void ClientActiveTextureARB( enum texture );
```

is used to select the vertex array client state parameters to be modified by the **TexCoordPointer** command and the array affected by **EnableClientState** and **DisableClientState** with parameter `TEXTURE_COORD_ARRAY`. This command sets the client state variable `CLIENT_ACTIVE_TEXTURE_ARB`. Each texture unit has a client state vector which is selected when this command is invoked. This state vector includes the vertex array state. This call also selects which texture units' client state vector is used for queries of client state.

Specifying an invalid *texture* generates the error `INVALID_ENUM`. Valid values of *texture* are the same as for the **MultiTexCoordARB** commands described in section 2.7.

*Amend final paragraph*

If the number of supported texture units (the value of `MAX_TEXTURE_UNITS_ARB`) is  $k$ , then the client state required to implement vertex arrays consists of  $5 + k$  boolean values,  $5 + k$  memory pointers,  $5 + k$  integer stride values,  $4 + k$  symbolic constants representing array types, and  $3 + k$  integers representing values per element. In the initial state, the boolean values are each disabled, the memory pointers are each null, the strides are each zero, the array types are each `FLOAT`, and the integers representing values per element are each four.

### F.2.6 Changes to Section 2.10.2 (Matrices)

*Amend paragraph 8*

For each texture unit, a  $4 \times 4$  matrix is applied to the corresponding texture coordinates. This matrix is applied as

$$\begin{pmatrix} m_1 & m_5 & m_9 & m_{13} \\ m_2 & m_6 & m_{10} & m_{14} \\ m_3 & m_7 & m_{11} & m_{15} \\ m_4 & m_8 & m_{12} & m_{16} \end{pmatrix} \begin{pmatrix} s \\ t \\ r \\ q \end{pmatrix},$$

where the left matrix is the current texture matrix. The matrix is applied to the coordinates resulting from texture coordinate generation (which may simply be the current texture coordinates), and the resulting transformed coordinates become the texture coordinates associated with a vertex. Setting the matrix mode to `TEXTURE` causes the already described matrix operations to apply to the texture matrix.

There is also a corresponding texture matrix stack for each texture unit. To change the stack affected by matrix operations, set the *active texture unit selector* by calling

```
void ActiveTextureARB( enum texture );
```

The selector also affects calls modifying texture environment state, texture coordinate generation state, texture binding state, and queries of all these state values as well as current texture coordinates and current raster texture coordinates.

Specifying an invalid *texture* generates the error `INVALID_ENUM`. Valid values of *texture* are the same as for the `MultiTexCoordARB` commands described in section 2.7.

The active texture unit selector may be queried by calling `GetIntegerv` with *pname* set to `ACTIVE_TEXTURE_ARB`.

There is a stack of matrices for each of matrix modes `MODELVIEW`, `PROJECTION`, and `COLOR`, and for each texture unit. For `MODELVIEW` mode, the stack depth is at least 32 (that is, there is a stack of at least 32 model-view matrices). For the other modes, the depth is at least 2. Texture matrix stacks for all texture units have the same depth. The current matrix in any mode is the matrix on the top of the stack for that mode.

```
void PushMatrix( void );
```

pushes the stack down by one, duplicating the current matrix in both the top of the stack and the entry below it.

```
void PopMatrix( void );
```

pops the top entry off of the stack, replacing the current matrix with the matrix that was the second entry in the stack. The pushing or popping takes place on the stack corresponding to the current matrix mode. Popping a matrix off a stack with only one entry generates the error `STACK_UNDERFLOW`; pushing a matrix onto a full stack generates `STACK_OVERFLOW`.

When the current matrix mode is `TEXTURE`, the texture matrix stack of the active texture unit is pushed or popped.

The state required to implement transformations consists of a four-valued integer indicating the current matrix mode, one stack of at least two  $4 \times 4$  matrices for each of `COLOR`, `PROJECTION`, each texture unit, `TEXTURE`, and a stack of at least 32  $4 \times 4$  matrices for `MODELVIEW`. Each matrix stack has an associated stack pointer. Initially, there is only one matrix on each stack, and all matrices are set to the identity. The initial matrix mode is `MODELVIEW`. The initial value of `ACTIVE_TEXTURE_ARB` is `TEXTURE0_ARB`.

### F.2.7 Changes to Section 2.10.4 (Generating Texture Coordinates)

*Amend paragraph 4*

The state required for texture coordinate generation for each texture unit comprises a three-valued integer for each coordinate indicating coordinate generation mode, and a bit for each coordinate to indicate whether texture coordinate generation is enabled or disabled. In addition, four coefficients are required for the four coordinates for each of `EYE_LINEAR` and `OBJECT_LINEAR`. The initial state has the texture generation function disabled for all texture coordinates. The initial values of  $p_i$  for  $s$  are all 0 except  $p_1$  which is one; for  $t$  all the  $p_i$  are zero except  $p_2$ , which is 1. The values of  $p_i$  for  $r$  and  $q$  are all 0. These values of  $p_i$  apply for both

the `EYE_LINEAR` and `OBJECT_LINEAR` versions. Initially all texture generation modes are `EYE_LINEAR`.

For implementations which support more than one texture unit, there is texture coordinate generation state for each unit. The texture coordinate generation state which is affected by the `TexGen`, `Enable`, and `Disable` operations is set with `ActiveTextureARB`.

### F.2.8 Changes to Section 2.12 (Current Raster Position)

*Amend paragraph 2*

The state required for the current raster position consists of three window coordinates  $x_w$ ,  $y_w$ , and  $z_w$ , a clip coordinate  $w_c$  value, an eye coordinate distance, a valid bit, and associated data consisting of a color and multiple texture coordinate sets. It is set using one of the `RasterPos` commands:

```
void RasterPos{234}{sifd}( T coords );
void RasterPos{234}{sifd}v( T coords );
```

`RasterPos4` takes four values indicating  $x$ ,  $y$ ,  $z$ , and  $w$ . `RasterPos3` (or `RasterPos2`) is analogous, but sets only  $x$ ,  $y$ , and  $z$  with  $w$  implicitly set to 1 (or only  $x$  and  $y$  with  $z$  implicitly set to 0 and  $w$  implicitly set to 1).

`Gets` of `CURRENT_RASTER_TEXTURE_COORDS` are affected by the setting of the state `ACTIVE_TEXTURE_ARB`.

*Modify figure 2.7*

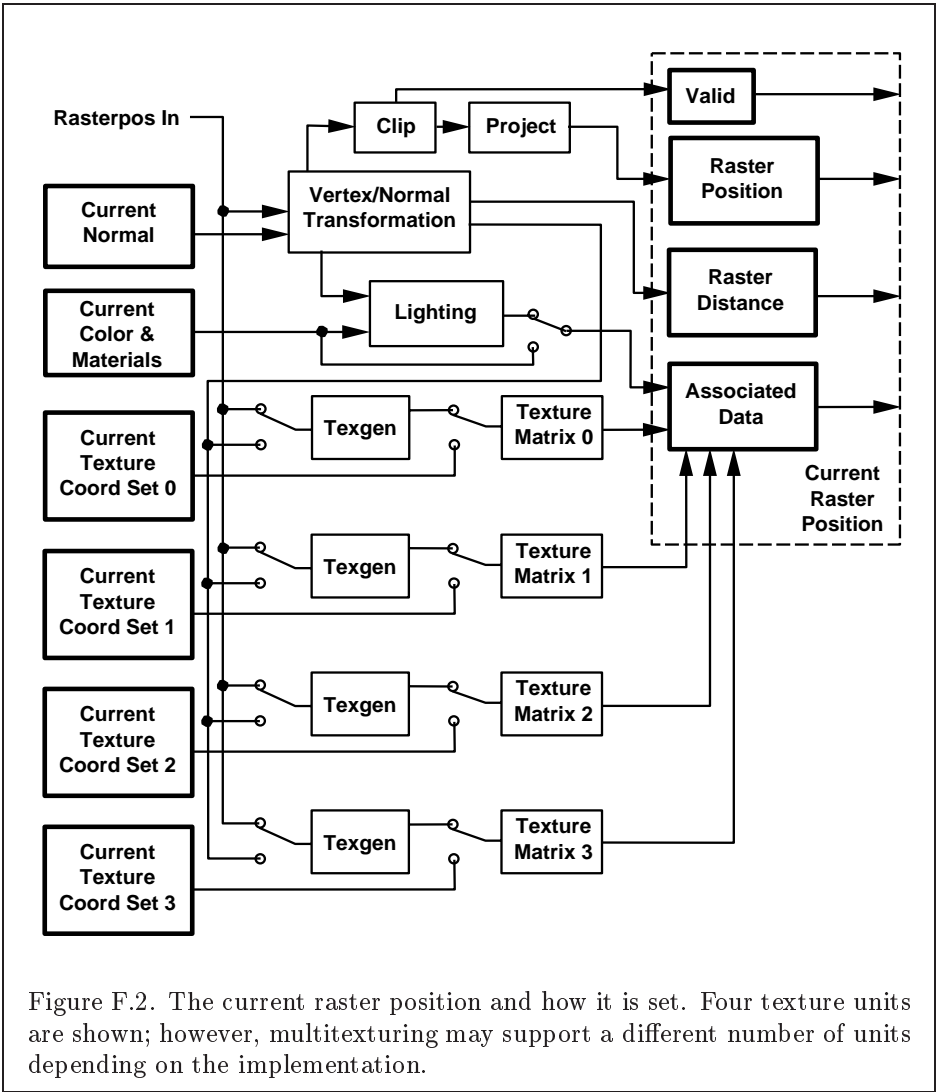
*Amend paragraph 5*

The current raster position requires five single-precision floating-point values for its  $x_w$ ,  $y_w$ , and  $z_w$  window coordinates, its  $w_c$  clip coordinate, and its eye coordinate distance, a single valid bit, a color (RGBA and color index), and texture coordinates for each texture unit. In the initial state, the coordinates and texture coordinates are all (0, 0, 0, 1), the eye coordinate distance is 0, the valid bit is set, the associated RGBA color is (1, 1, 1, 1) and the associated color index color is 1. In RGBA mode, the associated color index always has its initial value; in color index mode, the RGBA color always maintains its initial value.

### F.2.9 Changes to Section 3.8 (Texturing)

*Amend paragraphs 1 and 2*

Texturing maps a portion of one or more specified images onto each primitive for which texturing is enabled. This mapping is accomplished by using the color of an image at the location indicated by a fragment's  $(s, t, r)$



coordinates to modify the fragment's primary RGBA color. Texturing does not affect the secondary color.

An implementation may support texturing using more than one image at a time. In this case the fragment carries multiple sets of texture coordinates  $(s, t, r)$  which are used to index separate images to produce color values which are collectively used to modify the fragment's RGBA color. Texturing is specified only for RGBA mode; its use in color index mode is undefined. The following subsections (up to and including Section 3.8.5) specify the GL operation with a single texture and Section 3.8.10 specifies the details of how multiple texture units interact.

### F.2.10 Changes to Section 3.8.5 (Texture Minification)

*Amend second paragraph under the Mipmapping subheading*

Each array in a mipmap is defined using **TexImage3D**, **TexImage2D**, **CopyTexImage2D**, **TexImage1D**, or **CopyTexImage1D**; the array being set is indicated with the level-of-detail argument *level*. Level-of-detail numbers proceed from **TEXTURE\_BASE\_LEVEL** for the original texture array through  $p = \max\{n, m, l\} + \text{TEXTURE\_BASE\_LEVEL}$  with each unit increase indicating an array of half the dimensions of the previous one as already described. If texturing is enabled (and **TEXTURE\_MIN\_FILTER** is one that requires a mipmap) at the time a primitive is rasterized and if the set of arrays **TEXTURE\_BASE\_LEVEL** through  $q = \min\{p, \text{TEXTURE\_MAX\_LEVEL}\}$  is incomplete, then it is as if texture mapping were disabled for that texture unit. The set of arrays **TEXTURE\_BASE\_LEVEL** through  $q$  is incomplete if the internal formats of all the mipmap arrays were not specified with the same symbolic constant, if the border widths of the mipmap arrays are not the same, if the dimensions of the mipmap arrays do not follow the sequence described above, if  $\text{TEXTURE\_MAX\_LEVEL} < \text{TEXTURE\_BASE\_LEVEL}$ , or if  $\text{TEXTURE\_BASE\_LEVEL} > p$ . Array levels  $k$  where  $k < \text{TEXTURE\_BASE\_LEVEL}$  or  $k > q$  are insignificant.

### F.2.11 Changes to Section 3.8.8 (Texture Objects)

*Insert following the last paragraph*

The texture object name space, including the initial one-, two-, and three-dimensional texture objects, is shared among all texture units. A texture object may be bound to more than one texture unit simultaneously. After a texture object is bound, any GL operations on that target object affect any other texture units to which the same texture object is bound.

Texture binding is affected by the setting of the state **ACTIVE\_TEXTURE\_ARB**.



If a texture object is deleted, it as if all texture units which are bound to that texture object are rebound to texture object zero.

### F.2.12 Changes to Section 3.8.10 (Texture Application)

*Amend second paragraph*

Each texture unit is enabled and bound to texture objects independently from the other texture units. Each texture unit follows the precedence rules for one-, two-, and three-dimensional textures. Thus texture units can be performing texture mapping of different dimensionalities simultaneously. Each unit has its own enable and binding states.

Each texture unit is paired with an environment function, as shown in figure F.3. The second texture function is computed using the texture value from the second texture, the fragment resulting from the first texture function computation and the second texture unit's environment function. If there is a third texture, the fragment resulting from the second texture function is combined with the third texture value using the third texture unit's environment function and so on. The texture unit selected by **ActiveTextureARB** determines which texture unit's environment is modified by **TexEnv** calls.

Texturing is enabled and disabled individually for each texture unit. If texturing is disabled for one of the units, then the fragment resulting from the previous unit, is passed unaltered to the following unit.

The required state, per texture unit, is three bits indicating whether each of one-, two-, or three-dimensional texturing is enabled or disabled. In the initial state, all texturing is disabled for all texture units.

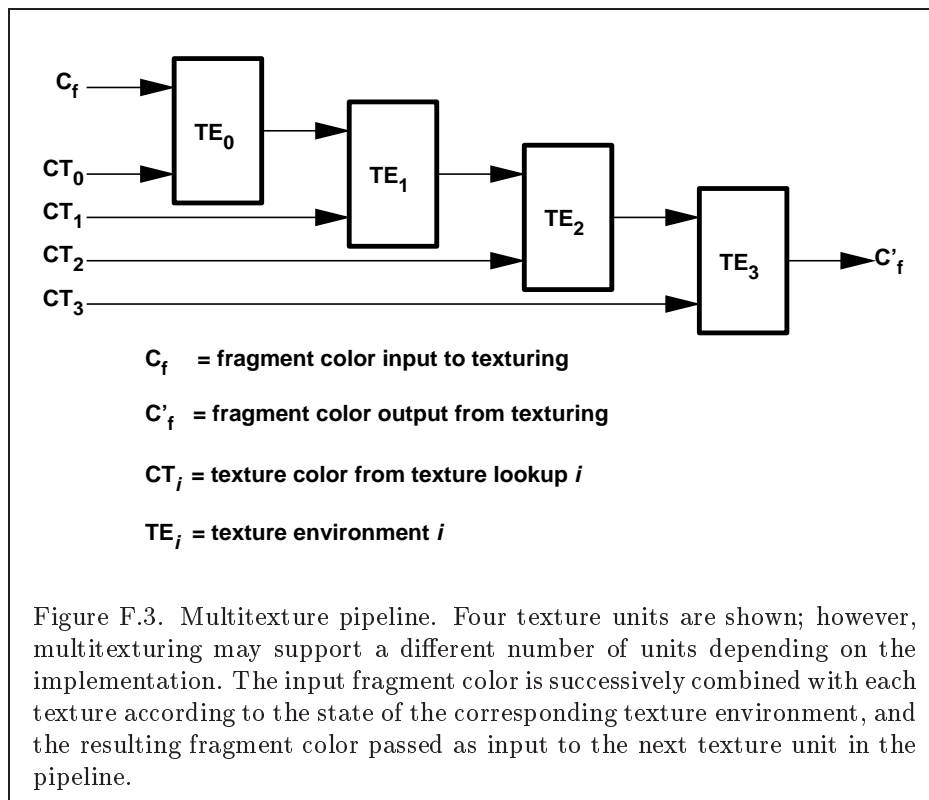
### F.2.13 Changes to Section 5.1 (Evaluators)

*Amend paragraph 7*

The evaluation of a defined map is enabled or disabled with **Enable** and **Disable** using the constant corresponding to the map as described above. The evaluator map generates only coordinates for texture unit **TEXTURE0\_ARB**. The error **INVALID\_VALUE** results if either *ustride* or *vstride* is less than *k*, or if  $u_1$  is equal to  $u_2$ , or if  $v_1$  is equal to  $v_2$ . If the value of **ACTIVE\_TEXTURE\_ARB** is not **TEXTURE0\_ARB**, calling **Map[12]** generates the error **INVALID\_OPERATION**.

### F.2.14 Changes to Section 5.3 (Feedback)

*Amend paragraph 4*



The texture coordinates and colors returned are those resulting from the clipping operations described in Section 2.13.8. Only coordinates for texture unit `TEXTURE0_ARB` are returned even for implementations which support multiple texture units. The colors returned are the primary colors.

### F.2.15 Changes to Section 6.1.2 (Data Conversions)

*Insert following the last paragraph*

Most texture state variables are qualified by the value of `ACTIVE_TEXTURE_ARB` to determine which server texture state vector is queried. Client texture state variables such as texture coordinate array pointers are qualified by the value of `CLIENT_ACTIVE_TEXTURE_ARB`. Tables 6.5, 6.6, 6.7, 6.12, 6.14, and 6.25 indicate those state variables which are qualified by `ACTIVE_TEXTURE_ARB` or `CLIENT_ACTIVE_TEXTURE_ARB` during state queries.

### F.2.16 Changes to Section 6.1.12 (Saving and Restoring State)

*Insert following paragraph 3*

Operations on groups containing replicated texture state push or pop texture state within that group for all texture units. When state for a group is pushed, all state corresponding to `TEXTURE0_ARB` is pushed first, followed by state corresponding to `TEXTURE1_ARB`, and so on up to and including the state corresponding to `TEXTURE $k$ _ARB` where  $k + 1$  is the value of `MAX_TEXTURE_UNITS_ARB`. When state for a group is popped, the replicated texture state is restored in the opposite order that it was pushed, starting with state corresponding to `TEXTURE $k$ _ARB` and ending with `TEXTURE0_ARB`. Identical rules are observed for client texture state push and pop operations. Matrix stacks are never pushed or popped with **PushAttrib**, **PushClientAttrib**, **PopAttrib**, or **PopClientAttrib**.

Get value	Type	Get Cmnnd	Initial Value	Description	Sec.	Attribute
<b>Modified state in table 6.5</b>						
CURRENT_TEXTURE_COORDS	$1 * \times T$	<b>GetFloatv</b>	0,0,0,1	Current texture coordinates	2.7	current
CURRENT_RASTER_TEXTURE_COORDS	$1 * \times T$	<b>GetFloatv</b>	0,0,0,1	Texture coordinates associated with raster position	2.12	current
<b>Modified state in table 6.6</b>						
TEXTURE_COORD_ARRAY	$1 * \times B$	<b>IsEnabled</b>	<i>False</i>	Texture coordinate array enable	2.8	vertex-array
TEXTURE_COORD_ARRAY_SIZE	$1 * \times Z^+$	<b>GetIntegerv</b>	4	Coordinates per element	2.8	vertex-array
TEXTURE_COORD_ARRAY_TYPE	$1 * \times Z_4$	<b>GetIntegerv</b>	FLOAT	Type of texture coordinates	2.8	vertex-array
TEXTURE_COORD_ARRAY_STRIDE	$1 * \times Z^+$	<b>GetIntegerv</b>	0	Stride between texture coordinates	2.8	vertex-array
TEXTURE_COORD_ARRAY_POINTER	$1 * \times Y$	<b>GetPointerv</b>	0	Pointer to the texture coordinate array	2.8	vertex-array

Table F.1. Changes to State Tables

Get value	Type	Get Cmdnd	Initial Value	Description	Sec.	Attribute
Modified state in table 6.7						
TEXTURE_MATRIX	$1 * \times 2 * \times M^4$	<b>GetFloatv</b>	Identity	Texture matrix stack	2.10.2	–
TEXTURE_STACK_DEPTH	$1 * \times Z^+$	<b>GetIntegerv</b>	1	Texture matrix stack pointer	2.10.2	–
Modified state in table 6.12						
TEXTURE_xD	$1 * \times 3 \times B$	<b>IsEnabled</b>	<i>False</i>	True if xD texturing is enabled; x is 1, 2, or 3	3.8.10	texture/enable
TEXTURE_BINDING_xD	$1 * \times 3 \times Z^+$	<b>GetIntegerv</b>	0	Texture object bound to TEXTURE_xD	3.8.8	texture
Modified state in table 6.14						
TEXTURE_ENV_MODE	$1 * \times Z_4$	<b>GetTexEnviv</b>	MODULATE	Texture application function	3.8.9	texture
TEXTURE_ENV_COLOR	$1 * \times C$	<b>GetTexEnvfv</b>	0,0,0,0	Texture environment color	3.8.9	texture
TEXTURE_GEN_x	$1 * \times 4 \times B$	<b>IsEnabled</b>	<i>False</i>	Texgen enabled (x is S, T, R, or Q)	2.10.4	texture/enable
EYE_PLANE	$1 * \times 4 \times R^4$	<b>GetTexGenfv</b>	see 2.10.4	Texgen plane equation coefficients (for S, T, R, and Q)	2.10.4	texture
OBJECT_PLANE	$1 * \times 4 \times R^4$	<b>GetTexGenfv</b>	see 2.10.4	Texgen object linear coefficients (for S, T, R, and Q)	2.10.4	texture
TEXTURE_GEN_MODE	$1 * \times 4 \times Z_3$	<b>GetTexGeniv</b>	EYE_LINEAR	Function used for texgen (for S, T, R, and Q)	2.10.4	texture

Table F.2. Changes to State Tables (cont.)

Get value	Type	Get Cmnd	Initial Value	Description	Sec.	Attribute
Added to table 6.6 CLIENT_ACTIVE_TEXTURE_ARB	Z <sub>1</sub> *	<b>Get Integerv</b>	TEXTURE0_ARB	Client active texture unit selector	2.7	vertex-array
Added to table 6.14 ACTIVE_TEXTURE_ARB	Z <sub>1</sub> *	<b>Get Integerv</b>	TEXTURE0_ARB	Active texture unit selector	2.7	texture

Table F.3. New State Introduced by Multitexture

Get value	Type	Get Cmd	Minimum Value	Description	Sec.	Attribute
Added to table 6.25 MAX_TEXTURE_UNITS_ARB	Z <sup>+</sup>	<b>GetIntegerv</b>	1	Number of texture units (not to exceed 32)	2.6	-

Table F.4. New Implementation-Dependent Values Introduced by Multitexture

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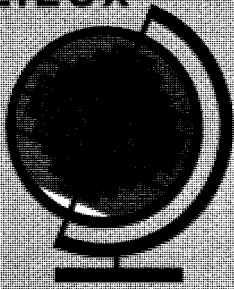
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COMPUTING  
MILIEUX

**Computers are typically configured for use in a single location. The shift toward mobility and wireless communication is testing the abilities of designers to adapt traditional system structures.**

# The Challenges of Mobile Computing

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Recent advances in technology have provided portable computers with wireless interfaces that allow networked communication even while a user is mobile. Whereas today's first-generation notebook computers and personal digital assistants (PDAs) are self-contained, networked mobile computers are part of a greater computing infrastructure. Mobile computing — the use of a portable computer capable of wireless networking — will very likely revolutionize the way we use computers.

Wireless networking greatly enhances the utility of a portable computing device. It allows mobile users versatile communication with other people and expedient notification of important events, yet with much more flexibility than with cellular phones or pagers. It also permits continuous access to the services and resources of land-based networks. The combination of networking and mobility will engender new applications and services, such as collaborative software to support impromptu meetings, electronic bulletin boards whose contents adapt to the current viewers, lighting and heating that adjust to the needs of those present, and navigation software to guide users in unfamiliar places and on tours.<sup>1</sup>

The technical challenges that mobile computing must surmount to achieve this potential are hardly trivial, however. Some of the challenges in designing software for mobile computing systems are quite different from those involved in the design of software for today's stationary networked systems. In this article we focus on the issues pertinent to software designers without delving into the lower level details of the hardware realization of mobile computers. We look at some promising approaches under investigation and also consider their limitations.

The many issues to be dealt with stem from three essential properties of mobile computing: communication, mobility, and portability. Of course, special-purpose systems may avoid some design pressures by doing without certain desirable properties. For instance, portability would be less of a concern for mobile computers installed in the dashboards of cars than with hand-held mobile computers. However, we concentrate on the goal of large-scale, hand-held mobile computing as a way to reveal a wide assortment of issues.

## Wireless communication

Mobile computers require wireless network access, although sometimes — when in meeting rooms or at a user's desk — they may remain stationary long enough to be physically attached to the network for a better or cheaper connection.

Wireless networks communicate by modulating radio waves or pulsing infrared light. Wireless communication is linked to the wired network infrastructure by stationary transceivers. The area covered by an individual transceiver's signal is known as a cell. Cell sizes vary widely; for example, an infrared transceiver can cover a small meeting room, a cellular telephone transceiver has a range of a few miles, and a satellite beam can cover an area more than 400 miles in diameter.

Wireless communication faces more obstacles than wired communication because the surrounding environment interacts with the signal, blocking signal paths and introducing noise and echoes. As a result, wireless communication is characterized by lower bandwidths, higher error rates, and more frequent spurious disconnections. These factors can in turn increase communication latency resulting from retransmissions, retransmission time-out delays, error-control protocol processing, and short disconnections.

Mobility can also cause wireless connections to be lost or degraded. Users may travel beyond the coverage of network transceivers or enter areas of high interference. Unlike typical wired networks, the number of devices in a network cell varies dynamically, and large concentrations of mobile users, say, at conventions and public events, may overload network capacity.

The need for wireless communication leads to design challenges in several areas.

**Disconnection.** Today's computer systems often depend heavily on a network and may cease to function during network failures. For example, distributed file systems may lock up waiting for other servers, and application processes may

## Portable terminal versus stand-alone computer

The role of a portable device has considerable latitude within the notion of mobile computing. Is it a terminal or an independent, stand-alone computer? How many purposes should the device serve? Should it incorporate a telephone like the AT&T EO? Should its work environment be that of a general-purpose workstation or of something more restrictive, say, the Apple Newton MessagePad? These design choices greatly affect the way we approach the important issues of communication, mobility, and portabil-

ity. For example, a portable terminal such as Xerox PARC's Tab<sup>1</sup> is more dependent on a network but less prone to loss of storage media than a stand-alone computer. Such questions require careful consideration when designing software for mobile computing.

### Reference

1. M. Weiser, "Some Computer Science Issues in Ubiquitous Computing," *Comm. ACM*, Vol. 36, No. 7, July 1993, pp. 75-84.

fail altogether if the network stays down too long.

Network failure is a greater concern in mobile computing than in traditional computing because wireless communication is so susceptible to disconnection. Designers must decide whether to spend available resources on the network, trying to prevent disconnections, or to spend them trying to enable systems to cope with disconnections more gracefully and work around them where possible.

The more autonomous a mobile computer, the better it can tolerate network disconnection. For example, certain applications can reduce communication by running entirely locally on the mobile unit rather than by splitting the application and the user interface across the network. In environments with frequent disconnections, it is better for a mobile device to operate as a stand-alone computer than as a portable terminal.

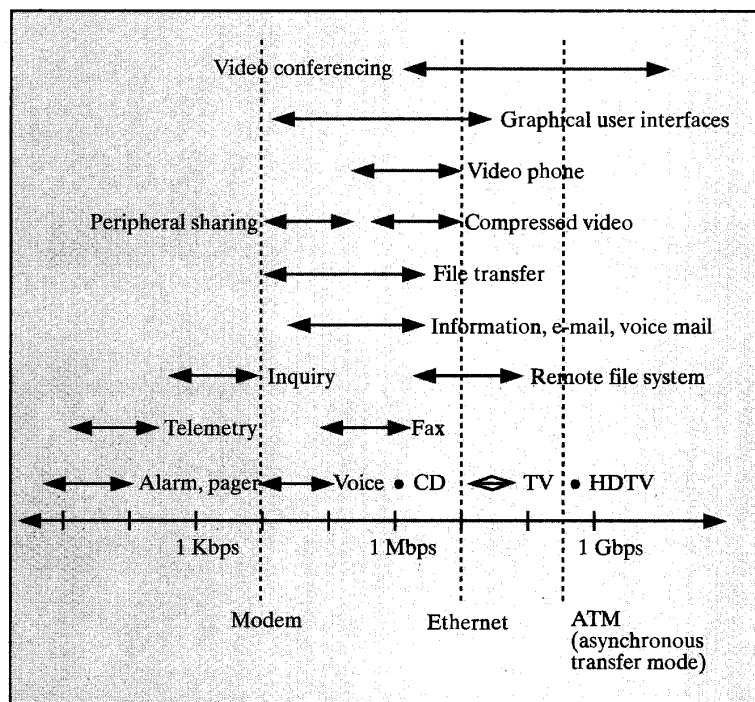
In some cases, both round-trip latency and short disconnections can be hidden by asynchronous operation. The X11 Window System uses this technique to achieve good performance. With the synchronous remote procedure call paradigm, the client waits for a reply after each request; in asynchronous operation, a client sends multiple requests before asking for acknowledgment. Similarly, prefetching and delayed write-back also decouple the act of com-

munication from the actual time a program consumes or produces data, allowing it to proceed during network disconnections. These techniques, therefore, have the potential to mask some network failures.

The Coda file system provides a good example of how to handle network disconnections, although it is designed for today's notebook computers in which disconnections may be less frequent, more predictable, and longer lasting than in mobile computing.<sup>2</sup> Information from the user's profile helps in keeping the best selection of files in an on-board cache. It is important to cache whole files rather than blocks of files so that entire files can be read during a disconnection. When the network reconnects, Coda attempts to reconcile the cache with the replicated master repository.

With Coda, files can be modified even during disconnections. More conservative file systems disallow this to prevent multiple users from making inconsistent changes. Coda's optimism is justified by studies showing that only rarely are files actually shared in a distributed system; fewer than 1 percent of all writes are followed by a write from a different user.<sup>2</sup> If strong consistency guarantees are needed, clients can ask for them explicitly. Hence, providing flexible consistency semantics can allow greater autonomy.

Of course, not all network disconnec-



**Figure 1. Application bandwidth requirements in bits per second. The vertical dashed lines show the bandwidth capability of certain network technologies. Cellular modems are becoming fast enough for mobile users' everyday information needs, such as e-mail, and someday may be able to support remote file systems.**

tions can be masked. In these cases, good user interfaces can help by providing feedback about which operations are unavailable because of network disconnections.

**Low bandwidth.** Mobile computing designs need to reflect a greater concern for bandwidth consumption and constraints than do designs for stationary computing. Wireless networks deliver lower bandwidth than wired networks: Cutting-edge products for portable wireless communications achieve only 1 megabit per second for infrared communication, 2 Mbps for radio communication, and 9-14 kilobits per second for cellular telephony, while Ethernet provides 10 Mbps, fast Ethernet and FDDI 100 Mbps, and ATM (asynchronous transfer mode) 155 Mbps (see Figure 1). Even nonportable wireless networks, such as the Motorola Altair, barely achieve 5.7 Mbps.

Network bandwidth is divided among

the users sharing a cell. The deliverable bandwidth *per user*, therefore, is an important measure of network capacity in addition to the raw transmission bandwidth. But because this measure depends on the size and distribution of a user population, Weiser and others recommend measuring a wireless network's capacity by its bandwidth per cubic meter.<sup>1</sup>

Improving network capacity means installing more wireless cells to service a user population. There are two ways to do this: Overlap cells on different wavelengths, or reduce transmission ranges so that more cells fit in a given area (see Figure 2).

The scalability of the first technique is limited because the electromagnetic spectrum available for public consumption is scarce. This technique is more flexible, however, because it allows (in fact, requires) software to allocate bandwidth among users.

The second technique is generally pre-

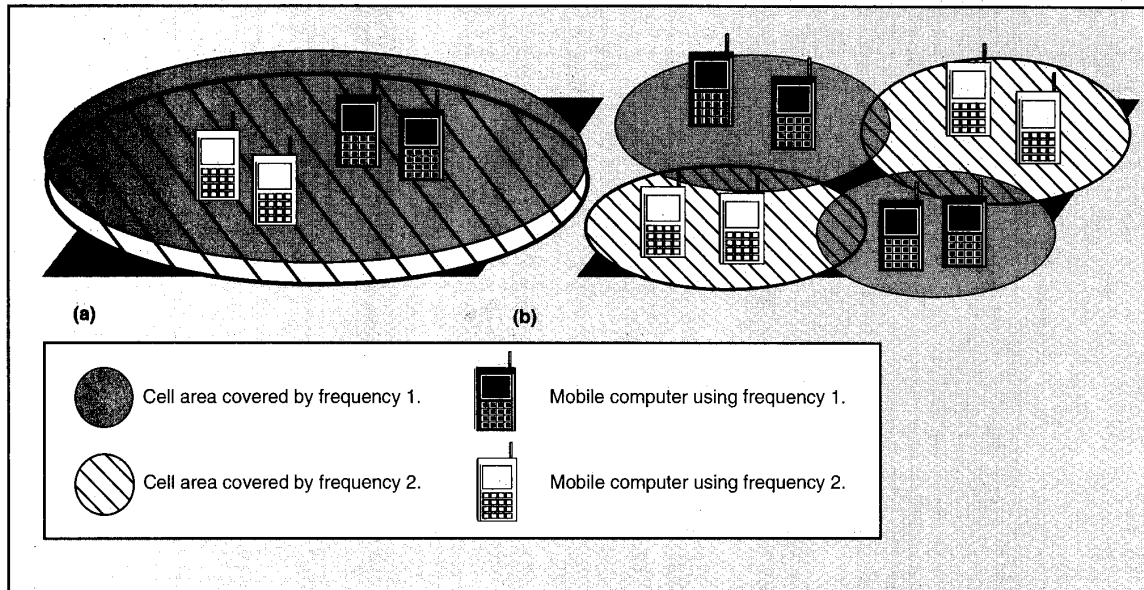
ferred. It is arguably simpler, reduces power requirements, and may decrease signal corruption because there are fewer objects in the environment to interact with. Also, it involves a hardware trade-off between bandwidth and coverage area: Transceivers covering less area can achieve higher bandwidths.

Certain software techniques can also help cope with the low bandwidth of wireless links. Modems typically use compression to increase their effective bandwidth, sometimes almost doubling throughput. Because bulk operations are usually more efficient than many short transfers, buffering can improve bandwidth usage by making large requests out of many short ones. Buffering in conjunction with compression can further improve throughput because larger blocks compress better.

Certain software techniques for coping with disconnection can also help cope with low bandwidth. Network usage typically occurs in bursts, and disconnections are similar to bursts in that demand temporarily exceeds available bandwidth. For example, delayed write-back and prefetching use the periods of low network activity to reduce demand at the peaks. Delayed write-back can even reduce overall communication if the data to be transmitted is further mutated or deleted before it is transmitted. Prefetching involves knowing or guessing which files will be needed soon and downloading them over the network before they are demanded.<sup>3</sup> Bad guesses can waste network bandwidth, however.

System performance can be improved by scheduling communication intelligently. When available bandwidth does not satisfy the demand, processes the user is waiting for should be given priority. Backups should be performed only with "leftover" bandwidth. Mail can be trickle fed onto the mobile computer slowly before the user is notified. Although these techniques do not increase effective bandwidth, they improve user satisfaction just the same.

**High bandwidth variability.** Mobile computing designs also contend with much greater variation in network bandwidth than do traditional designs. Band-



**Figure 2.** Suppose that a single frequency provides only enough wireless bandwidth for two users. Then two frequencies can support (a) four users with two large coincident cells or (b) eight users with four small noninterfering cells that use the same frequency in nonadjacent cells. The latter scheme requires more transceivers and installation effort but is more scalable and allows higher bandwidth technology and lower transmission power.

width can shift one to four orders of magnitude, depending on whether the system is plugged in or using wireless access. Fluctuant traffic load seldom causes this much variation in available bandwidth on today's networks.

An application can approach this variability in one of three ways: It can assume high-bandwidth connections and operate only while plugged in, it can assume low-bandwidth connections and not take advantage of higher bandwidth when it is available, or it can adapt to currently available resources, providing the user with a variable level of detail or quality. For example, a video-conferencing application could display only the current speaker or all the participants, depending on the available bandwidth. Different choices make sense for different applications.

**Heterogeneous network.** In contrast to most stationary computers, which stay connected to a single network, mobile computers encounter more heterogeneous network connections in several ways. First, as they leave the range of one

network transceiver and switch to another, they may also need to change transmission speeds and protocols. Second, in some situations a mobile computer may have access to several network connections at once, for example, where adjacent cells overlap or where it can be plugged in for concurrent wired access.

Also, mobile computers may need to switch interfaces, for example, when going between indoors and outdoors. Infrared interfaces cannot be used outside because sunlight drowns out the signal. Even with radio frequency transmission, the interface may still need to change access protocols for different networks, for example, when switching from cellular coverage in a city to satellite coverage in the country. This heterogeneity makes mobile networking more complex than traditional networking.

**Security risks.** Precisely because connection to a wireless link is so easy, the security of wireless communication can be compromised much more easily than that of wired communication, especially if

transmission extends over a large area. This increases pressure on mobile computing software designers to include security measures.

Security is further complicated if users are allowed to cross security domains. For example, a hospital may allow patients with mobile computers to use nearby printers but prohibit access to distant printers and resources designated for hospital personnel only.

Secure communication over insecure channels is accomplished by encryption, which can be done in software or, more quickly, by specialized hardware such as the recently proposed Clipper chip. Security depends on a secret encryption key known only to the authorized parties. Managing these keys securely is difficult, but it can be automated by software such as the Massachusetts Institute of Technology's Kerberos.<sup>4</sup>

Kerberos provides secure authentication services, as long as the Kerberos server itself is trusted. It authenticates users without exposing their passwords on the network and generates secret en-



ryption keys that can be selectively shared between mutually suspicious parties. It also allows mobile units to authenticate themselves in domains where they are unknown, thus enhancing the scale of mobility. Methods have also been devised to use Kerberos for authorization control and accounting. Its security is limited, however. For example, the current version is susceptible to off-line password-guessing attacks and to replay attacks for a limited time window.

## Mobility

The ability to change locations while connected to the network increases the volatility of some information. Certain data considered static for stationary computing becomes dynamic for mobile computing. For example, a stationary computer can be configured statically to prefer the nearest server, but a mobile computer needs a mechanism for determining which server to use.

As volatility increases, cost-benefit trade-off points shift, calling for appropriate modifications in the design. For example, a highly volatile data object has fewer uses per modification. For such objects it makes little sense to cache the data. As another example, consider static information, which is often managed by hand; to handle higher rates of change, automated methods are required. However, even where such methods exist, they may be ill-suited for the dynamism of mobile computing.

Mobility introduces several problems: A mobile computer's network address changes dynamically, its current location affects configuration parameters as well as answers to user queries, and the communication path grows as it wanders away from a nearby server.

**Address migration.** As people move, their mobile computers will use different network access points, or "addresses." Today's networking is not designed for dynamically changing addresses. Active network connections usually cannot be moved to a new address. Once an address for a host name is known to a system, it is typically cached with a long expiration

time and with no way to invalidate out-of-date entries. In the Internet Protocol, for example, a host IP name is inextricably bound with its network address; moving to a new location means acquiring a new IP name. Human intervention is commonly required to coordinate the use of addresses.

To communicate with a mobile computer, messages must be sent to its most recent address. Four basic mechanisms determine a mobile computer's current address: broadcast,<sup>5,6</sup> central services,<sup>7</sup> home base,<sup>8</sup> and forwarding pointers.<sup>5</sup>

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### As people move, their mobile computers will use different network access points, or "addresses."

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These are the building blocks of the current proposals for "mobile-IP" schemes.

**Selective broadcast.** With the broadcast method, a message is sent to all network cells asking the mobile computer sought to reply with its current address. This becomes too expensive for frequent use in a large network, but if the mobile computer is known to be in some small set of cells, selectively broadcasting in just those cells is workable. Hence, the methods described below can use selective broadcast to obtain the current address when only approximate location information is known. For example, a slightly out-of-date cell address may suffice if adjacent cells are known.

**Central services.** With the central service method, the current address for each mobile computer is maintained in a logically centralized database. Each time a mobile computer changes its address, it sends a message to update the database. Even with the database's centralized location, the common techniques of distri-

bution, replication, and caching can be used to improve availability and response time.

**Home bases.** The home base method is essentially the limiting case of distributing a central service; that is, the location of a given mobile computer is known by a single server. This aggressive distribution without replication can lead to low availability of information. For example, if a home base is down or inaccessible, the mobile computers it tracks cannot be contacted. If users sometimes change home bases, the address migration problem arises again, albeit with much lower volatility.

**Forwarding pointers.** With the forwarding pointer method, each time a mobile computer changes its address, a copy of the new address is deposited at the old location. Each message is forwarded along the chain of pointers until it reaches the mobile computer. To avoid the inefficient routing that can result from long chains, pointers at message forwarders can be updated gradually to reflect more recent addresses.

Although the forwarding pointer method is among the fastest, it is prone to failures anywhere along the trail of pointers, and in its simplest form it does not allow forwarding pointers to be deleted unless all possible message sources have been updated. Hence, forwarding pointers are often used only to speed the common case, and another method is used to fall back on for failures and to allow reclamation of old pointers.

The forwarding pointer method requires an active entity at the old address to receive and forward messages. This does not fit standard networking models, where either a network address is a passive entity, such as an Ethernet cable, or it's specific to the mobile computer, which cannot remain to forward its own messages. This mismatch introduces subtle difficulties in implementing forwarding efficiently (for example, with intracell traffic or when multiple gateways are attached to a network address).

**Location-dependent information.** Because traditional computers do not move,



information that depends on location, such as the local name server, available printers, and the time zone, is typically configured statically. One challenge for mobile computing is to factor out this information intelligently and provide mechanisms for obtaining configuration data appropriate to each location. Additionally, a mobile computer carried with a user is likely to be used in a wide variety of administrative domains. Dealing with the multitude of conventions that current computing systems rely on is another challenge to building mobile systems.

Besides this dynamic configuration problem, mobile computers need access to more location-sensitive information than stationary computers do. If they are to serve as guides in places unfamiliar to their users, mobile computers may need to answer queries such as “where is the fiction section (in this particular library)?” or “where is the nearest open gas station heading north?”

Queries of this sort require static location information about the world. Other information needs can be even more complex: Badrinath and Imielinski are studying a related class of queries that depend on the dynamic locations of other mobile objects, for example, the location of the nearest taxi.<sup>6</sup>

*Privacy.* Answering dynamic location queries requires knowing the location of another mobile user. In some cases this may be sensitive information, more so if given at a fine resolution. Even where it is not particularly sensitive, such information should be protected against misuse; for example, we do not want a burglar to be able to determine when the inhabitants of a house are far away.

Privacy can be ensured by denying users the ability to know another’s location. The challenge for mobile computing is to allow more flexible access to this information without violating privacy. Legitimate uses of location information include contacting colleagues, routing telephone calls, logging meetings in personal diaries, and tailoring the content of electronic announcement displays to the current viewers.<sup>1</sup>

**Migrating locality.** Mobile computing engenders a new kind of locality that migrates as users move. Even if a mobile computer is equipped to find the nearest server for a given service, over time migration may alter this condition. Because the physical distance between two points does not necessarily reflect the network distance, the communication path can grow disproportionately to actual movement. For example, a small movement can result in a much longer path when crossing network administrative boundaries, and a longer network path means

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### Mobile computers need access to more location-sensitive information than do stationary computers.

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communication traverses more intermediaries, resulting in longer latency and greater risk of disconnection. A longer communication path also consumes more network capacity, even though the bandwidth between the mobile unit and the server may not degrade.

To avoid these disadvantages, service connections may be dynamically transferred to servers that are closer.<sup>9</sup> When many mobile units converge, during meetings, for example, load-balancing concerns may outweigh the importance of communication locality.

### Portability

Today’s desktop computers are not meant to be carried, so designers take a liberal approach to space, power, cabling, and heat dissipation. In contrast, designers of hand-held mobile computers should strive for the properties of a wrist-watch: small, light, durable, operational under wide environmental conditions, and requiring minimal power usage for long battery life. Concessions can be

made in each of these areas to enhance functionality, but ultimately the user must receive value that exceeds the trouble of carrying the device. Similarly, any specialized hardware to offload such tasks as data compression or encryption from the CPU should justify its consumption of power and space.

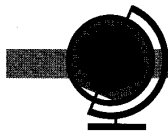
Below, we describe the design pressures caused by portability constraints. These pressures are evident in the designs of the recent PDA products listed in Table 1.

**Low power.** Batteries are the largest single source of weight in a portable computer. While reducing battery weight is important, too small a battery can undermine the value of portability by causing users to recharge frequently, carry spare batteries, or use their mobile computers less. Minimizing power consumption can improve portability by reducing battery weight and lengthening the life of a charge.

Power consumption of dynamic components is proportional to  $CV^2F$ , where  $C$  is the capacitance of the circuit,  $V$  is the voltage swing, and  $F$  is the clock frequency. This function suggests three ways to save power:

- (1) Capacitance can be reduced by greater levels of VLSI integration and multichip module technology.
- (2) Voltage can be reduced by redesigning chips to operate at lower voltages. Historically, chips operate at 5 volts, but some, like those in the Apple MessagePad, save power by operating at 3 volts. Manufacturers are rapidly developing a line of low-power chip sets for 2.5V and 3.3V operation.
- (3) Clock frequency can be reduced, thereby trading computational speed for power savings. PDA products have adopted this concession, as shown in Table 1. In some notebook computers, the clock frequency can be changed dynamically, providing a flexible trade-off; for example, the Sharp PC 6785 can save power by dynamically shifting its clock from 25 MHz to 10 MHz or even 5 MHz, as shown in Table 2. To





**Table 1. Characteristics of personal digital assistant products and the AT&T EO tablet computer. Each has a pen interface and a black-and-white reflective LCD screen. The portable PC is included for comparison.**

Product	RAM	MHz	CPU	Batteries		Weight (lbs.)	Display	
				(No. hours)	(type)		(pixels)	(sq. in.)
Amstrad Pen Pad PDA600	128 Kbytes	20	Z-80	40	3 AA's	0.9	240 × 320	10.4
Apple Newton MessagePad	640 Kbytes	20	ARM*	6-8	4 AAA's	0.9	240 × 336	11.2
Apple Newton MessagePad 110	1 Mbyte	20	ARM*	50	4 AA's	1.25	240 × 320	11.8
Casio Z-7000 PDA	1 Mbyte	7.4	8086	100	3 AA's	1.0	320 × 256	12.4
Sharp Expert Pad	640 Kbytes	20	ARM*	20	4 AAA's	0.9	240 × 336	11.2
Tandy Z-550 Zoomer PDA	1 Mbyte	8	8086	100	3 AA's	1.0	320 × 256	12.4
AT&T EO 440 Personal Communicator	4-12 Mbytes	20	Hobbit	1-6	NiCad	2.2	640 × 480	25.7
Portable PC	4-16 Mbytes	33-66	486	1-6	NiCad	5-10	640 × 480 to 1,024 × 768 (color)	40

\*Advanced RISC microprocessor

retain more computational power at lower frequencies, processors are being designed that perform more work on each clock cycle.<sup>10</sup>

Power can be conserved not only by the design but also by efficient operation. Power management software can power down individual components when they are idle, for example, spinning down the internal disk or turning off screen lighting. Recently, Li et al. determined that for today's notebook computing it is worthwhile to spin down the internal disk drive after it has been idle for just a few seconds.<sup>11</sup>

Applications can conserve power by reducing their appetite for computation, communication, and memory, and by performing their periodic operations infrequently to amortize the start-up over-

head. Since radio modem transmission typically requires about 10 times as much power as reception, power can be saved by trading transmission for reception. For example, base stations might periodically broadcast information that otherwise would have to be requested frequently. In this way, mobile computers can obtain this information without expending power to transmit a request.

The potential savings of these techniques can be evaluated using Tables 2 and 3, which break down power consumption in notebook computers by component and subsystem, respectively. Although screen lighting consumes a large amount of power, it has been found to greatly improve readability; for example, on EO models it enhances contrast from 6:1 to 13:1. Nevertheless, PDA products have elected to omit

screen lighting in favor of longer battery life.

**Risks to data.** Making computers portable increases the risk of physical damage, unauthorized access, loss, and theft. Breaches of privacy or total loss of data become more likely. These risks can be reduced by minimizing the essential data kept on board. Obviously, a mobile device that serves only as a portable terminal is less prone to data loss than a stand-alone computer. This is the approach taken for Xerox PARC's Tabs and the portable multimedia terminal project at the University of California, Berkeley.<sup>10</sup>

To help prevent unauthorized disclosure of information, data stored on disks and removable memory cards can be encrypted. For this to be effective, users

must not leave authenticated sessions (logins) unattended.

Keeping a copy that does not reside on the portable unit can safeguard against data loss. However, users often neglect to make backup copies, and even when they do, data modified between backups is not protected. With the addition of wireless networks to portable computers, new or modified data can be copied immediately to secure, remote media. This can be accomplished with replicated file systems such as Echo and Coda.<sup>2</sup>

**Small user interface.**

Size constraints on a portable computer require a small user interface. Desktop windowing environments may be sufficient for today's notebook computers, but for smaller, more portable devices, current windowing technology is inadequate. On small displays it is impractical to have several windows open at a time regardless of screen resolution, and it can be difficult to locate windows or icons deeply stacked one on another. Also, window title bars and borders either consume significant portions of screen space or, if reduced, become difficult to operate with the pointing device.

Duchamp, Feiner, and Maguire investigated the use of head-mounted virtual reality displays for portable computers.<sup>9</sup> As the user's head turns, the image displayed to the eye shifts to give the sensation of a surrounding screen. This effectively increases the screen area available for windowing systems; however, wearing head gear is cumbersome, resolution

is low (one-tenth that of conventional displays), eyes succumb to fatigue, and dim lighting is required.

*Buttons versus analog input.* The shortage of surface area on a small computer leads designers to sacrifice buttons in favor of analog input devices for communicating user commands. These communication mechanisms include handwriting recognition, gesture recognition, and voice recognition. Although on average

**Table 2. Power consumption of portable-computer components and accessories.\***

Device	Power (watts)
Base system (2 Mbytes, 25-MHz CPU)	3.650
Base system (2 Mbytes, 10-MHz CPU)	3.150
Base system (2 Mbytes, 5-MHz CPU)	2.800
Screen backlight	1.425
Hard drive motor	1.100
Math coprocessor	0.650
Floppy drive	0.500
External keyboard	0.490
LCD screen	0.315
Hard drive active (head seeks)	0.125
IC card slot	0.100
Additional memory (per Mbyte)	0.050
Parallel port	0.035
Serial port	0.030
<b>Accessories</b>	
1.8-inch PCMCIA hard drive	0.7-3.0
Cellular telephone (active)	5.400
Cellular telephone (standby)	0.300
Infrared network, 1 Mbit per second**	0.250
PCMCIA modem, 14,400 bits per second	1.365
PCMCIA modem, 9,600 bits per second	0.625
PCMCIA modem, 2,400 bits per second	0.565
Global positioning receiver**	0.670

\*Data for computer components was derived from the Sharp PC 6785 manual; data for accessories was obtained from the manufacturers.  
\*\*Estimate for soon-to-be-released product.

handwriting is about three times slower than typing, it allows the keyboard to be eliminated, thus reducing size and improving durability. This approach has been adopted by all the PDA products listed in Table 1.

Handwriting recognition rates for high-end systems are typically 96-98 percent accurate when trained to a specific user.<sup>12</sup> With context information, recognition rates can be enhanced effectively to 100 percent, but context constraints do not help for all kinds of input, for example, when entering words that are not in the on-line dictionary. The Apple Newton's handwriting recognition, while among the best of the PDAs, is nevertheless reputedly a source of frustration. Recognizing a user's intention in a general setting is inherently hard because the interpretation of pen strokes is ambiguous. For example, a user drawing a circle may intend to select an object or an area, or write a zero, a degree sign, or the letter *o*.

Speech generation and rec-

**Table 3. Power consumption of the major components in a portable computer.\***

System	Power (percent)
Display edge-light	35
CPU/memory	31
Hard disk	10
Floppy disk	8
Display	5
Keyboard	1

\*Data was obtained from the Compaq LTE 386/s20 manual.



ognition seem an ideal user interface for a mobile computer in that they require no surface area and allow hands-free and even eye-free operation. The voice-commanded VCR programmer by Voice Powered Technology demonstrates the feasibility of speech input to a hand-held device for a narrow domain. The Sphinx research project at Carnegie Mellon University has reported speaker-independent recognition rates of nearly 96 percent, and 98 percent for speaker-trained recognition. However, general-purpose speech input and output places substantial storage and processing demands on a mobile device. Also, speech may often be inappropriate: It disturbs others in quiet environments, it cannot be recognized clearly in noisy environments, and it can compromise privacy. Finally, because of its sequential nature, speech is ill-suited for skimming data.

*Pointing devices.* The mouse is the standard pointing device for desktop computers, but it doesn't suit mobile computers. Pens have become the standard input device for PDAs because of their ease of use while mobile, their versatility, and their ability to supplant the keyboard.

Switching to pens requires changing both the user interface and the software interface because a mouse and a pen are really quite different.<sup>9</sup> Users can jump to absolute screen positions and enter path information more easily with a pen than with a mouse, and it is nearly impossible to write with a mouse. Pen-positioning resolution on current tablet computers is several times more accurate than screen resolution; for example, pen resolution on the EO is 0.10 mm, while screen resolution is 0.23-0.30 mm. Also, parallax between the pen tip and the screen image can mislead when pointing; with a mouse there is no parallax because the mouse cursor provides feedback in the image plane. Finally, the mouse cursor obscures much less of the screen than the user's hand does when writing with a pen.

**Small storage capacity.** Storage space on a portable computer is limited by physical size and power requirements. Traditionally, disks provide large amounts of nonvolatile storage. In a mobile com-

puter, however, disk drives are a liability. They consume more power than memory chips, except when off line, and they may not really be nonvolatile when subject to the indelicate treatment a portable device receives. Hence, none of the PDA products have disk drives.

Coping with limited storage is not a new problem. Solutions include compressing files automatically, accessing remote storage over the network, sharing code libraries, and compressing virtual memory pages. Although today's networked computers have had great success with distributed file systems and remote paging, mobile computers that regularly encounter network disconnections are less capable of relying on a network.

A novel approach to reducing the size of program code is to interpret script languages instead of executing compiled object codes, which are typically many times larger than the source code. This approach is embodied by General Magic's Telescript and Apple Technology Group's Dylan and NewtonScript. An equally important goal of such languages is to enhance portability by supporting a common programming model across different machines.

**M**obile computing is a technology that enables access to digital resources at any time, from any location. From a narrow viewpoint, mobile computing represents a convenient addition to wire-based local area distributed systems. Taken more broadly, mobile computing represents the elimination of time-and-place restrictions imposed by desktop computers and wired networks.

In forecasting the impact of mobile technology, we would do well to observe recent trends in the use of the wired infrastructure, in particular, the Internet. In the past year, the advent of convenient mechanisms for browsing Internet resources has engendered an explosive growth in the use of those resources. The ability to access them at all times through mobile computing will allow their use to be integrated into all aspects of life and will accelerate the demand for network services. The challenge for computing de-

signers is to adapt the system structures that have worked well for traditional computing so that mobile computing can be integrated as well. ■

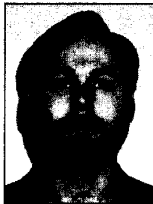
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April 1994

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## A Network Architecture for Mobile Computing\*

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September 7, 1995

**Submitted for publication****Abstract**

In this paper we report on an ongoing project to design and build the network and transport layers for mobile networking. The network architecture used is unique in that it separates the mobile network(s) from fixed networks and provides connectivity between the two via special gateways. These gateways provide QOS guarantees to mobile users for all their open connections. We provide summaries of all the protocols we are implementing (or have implemented) and discuss possible improvements.

Technical area : Wireless Networks and  
Protocols

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**1 Introduction**

*Mobile computing* is an emerging new computing environment incorporating both wireless and high-speed networking technologies. Users equipped with *personal digital assistants* or PDAs

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\*Funding for this work was provided by the NSF under grant number NCR-9410357.

(palm-top computers) will have access to a wide variety of services that will be made available over national and international communication networks. Mobile users will be able to access their data and other services such as electronic mail, electronic news, yellow pages, map services, electronic banking and videotelephony services while on the move. To receive these services, mobile users will be connected to fixed networks via wireless networks (or mobile networks).

The **goal** of this paper is to present a comprehensive solution to the problem of wireless networking for mobile computing. We propose a mobile network architecture, network layer design and transport protocols that, we believe, will make it possible to offer all of the above services in an integrated manner. Such a system is currently being built at University of S. Carolina and, in this paper, we discuss the design in detail.

## 1.1 Challenges in Mobile Networking

Providing the type of services discussed above to mobile users requires high data rates on the wireless link and several authors (see for example Goodman[7, 8] Joseph[11]) have proposed an average data rate of between 1–2Mbps per mobile user. The third generation cellular system being developed in Europe (UMTS – Universal Mobile Communication System), for instance, also propose bandwidth in the same range (see DaSilva[4]). In order to support such high data rates, a *microcellular* network architecture has been proposed, see Goodman[7] and Duchamp[5]. Here, a geographical region such as a campus is divided into *microcells* with a diameter of the order of hundreds of meters. All mobile users within a microcell communicate with a central host machine within that cell who serves as a gateway to the wired networks; this machine is called a *mobile support station* (MSS).

What are some of the *networking issues* we need to address in order to provide the different types of service discussed above? Two broad issues that need to be considered are the following:

- Design of an efficient network architecture to support mobility and corresponding network layer protocols. The problems here include,
  - Tracking mobile users as they roam,
  - Routing messages and forwarding them to the current location of the mobile user,
  - Providing flow-control and buffering for open connections.
- Developing transport layer protocols that mesh easily with protocols that will be made available over high-speed networks. Some requirements here include,
  - Developing mobile network analogues of commonly used protocols such as TCP and UDP,
  - Developing protocols that support real-time applications such as voice and video or on-line data services,

- Maintaining quality of service guarantees for applications even in the presence of mobility.

Some of the problems mentioned above have been addressed by other researchers as we discuss below.

*Routing in Mobile Networks:* In mobile networks, since the hosts are mobile, routing is a problem. Ioanidis[9] proposes a solution called the **IPIP** (“IP-within-IP”) protocol. Here every MH has a unique IP address called its ‘home address’. To deliver a packet to a remote MH, the source MSS first broadcasts an ARP to all other MSS nodes to locate the MH. Eventually some MSS responds. The source MSS then encapsulates each packet from the source MH within another packet containing the IP address of the MSS in whose cell the destination MH is located. Upon receiving this packet the destination MSS extracts the original packet and attempts to deliver it to the destination MH. If the MH has moved away, the destination MSS attempts to locate it by broadcasting an ARP request. As discussed in Teraoka[15] this method is not easily scalable.

Teraoka[15] proposes a more flexible solution to the problem called – the Virtual Internet Protocol or **VIP**. Here every host has a *virtual network address* (VIP address) that is unchanging. In addition, hosts have associated *physical network addresses* (traditional IP addresses) that may change as the host moves around. At the transport layer, the target node is always specified by its VIP address only. The address resolution from the VIP address to the IP address takes place at either the network layer of the same machine or at a gateway. Both, the host machines and gateways, maintain a cache of VIP to IP mappings with associated time stamps. This information is in the form of a table and is called AMT (or *address mapping table*). Routing is achieved by referring to these AMT tables.

*Transport Protocols for Mobile Networks:* Since mobile hosts will expect the same services that are offered to fixed hosts, it is necessary to implement transport services in the mobile domain that are similar to those offered in the fixed networks. TCP is one such protocol. If we use TCP without any modification in mobile networks we have a serious problem of efficiency. This is because in TCP the sender begins retransmission of packets if they are not acknowledged within a short amount of time (hundreds of milliseconds). In a mobile environment, as a user moves between cells, there is a brief blackout period while the mobile unit performs a ‘handshake’ with the new MSS. These blackout periods may also be caused by physical obstacles in the environment that interfere with radio signals. These periods can be of the order of 1 second thus delaying the transmission of acknowledgements for packets received. This results in the TCP sender timing out and retransmitting the unacknowledged packets thus greatly reducing the efficiency of the connection. A solution to this problem is the I-TCP protocol (Indirect-TCP), implemented at Rutgers University as part of the DataMan project (see Barke[1]), that provides efficient reliable communication for the wireless environment. A benefit of the above implementation is that it allows mobile hosts to be connected over the Internet. We examine the I-TCP protocol in more

detail in section 3.2.1 where we compare it against our own proposal.

## 1.2 Summary of Paper

We are currently building a mobile network from the ground up and this paper discusses our design and initial experience. Specifically, we propose a design for the network layer and transport layers for 3rd generation wireless systems and provide arguments in support of this design. The implementation of the protocol stack is been done under Unix (we use NetBSD) running on Pentium PCs.

- In section 2 we discuss our architecture for the mobile subnetwork that, we feel, best addresses the various issues raised in section 1.1.
- Our network layer design is presented in section 3.1. The sketch of our transport layer is presented in section 3.2. We also address management and control questions specific to the mobile environment (e.g., feedback of dynamic bandwidth changes to applications, etc.). Special protocols for notification applications (e.g., pager service) and continuous media are also incorporated.

## 2 Overview of our Proposed Architecture

The solutions discussed in section 1.1 use a microcellular architecture where the base station for each cell is a node on the internet. The solutions proposed for routing and TCP implementations thus assume that the underlying subnetwork is a datagram network. We believe that this assumption has only one justification – compatibility with existing technology – which, in our view, is insufficient. Some of the problems with this approach are,

- The base stations (or MSSs) are responsible for tracking mobile users and forwarding packets to their new locations. This adds to the *cost* and *complexity* of the base stations and since we expect cell sizes to be small (100m), in order to accommodate high-bandwidth applications, the total cost of a mobile network will be very high.
- As a user roams between cells, the bandwidth available in each cell may also vary. If the user has open connections, it will have to renegotiate QOS parameters frequently. This is clearly an undesirable situation.
- Cell latency times (staying time in a cell) are typically small (several seconds). This exacerbates both of the above problems.

Our architecture is discussed in detail in Singh[14]. We summarize the main points in this section. Our architecture may be viewed as a three-level hierarchy (see Figure 1). At the lowest level are the mobile hosts (MH) who communicate with MSS nodes in each cell. Several MSSs are



controlled by a machine called the Supervisor Host (SH). The SH is connected to the wired network and it handles most of the routing and other protocol details for the mobile users. In addition it maintains connections for mobile users, handles flow-control and is responsible for maintaining the negotiated quality of service. A single SH may thus control all MSS nodes within a small building. Our architecture separates the mobile network from the high-speed wired network and provides connectivity between the two via supervisor hosts (SHs) who serve the function of a **gateway**.

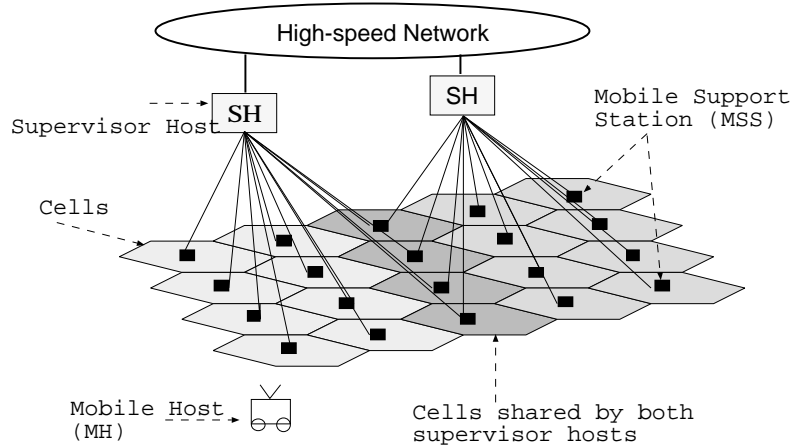
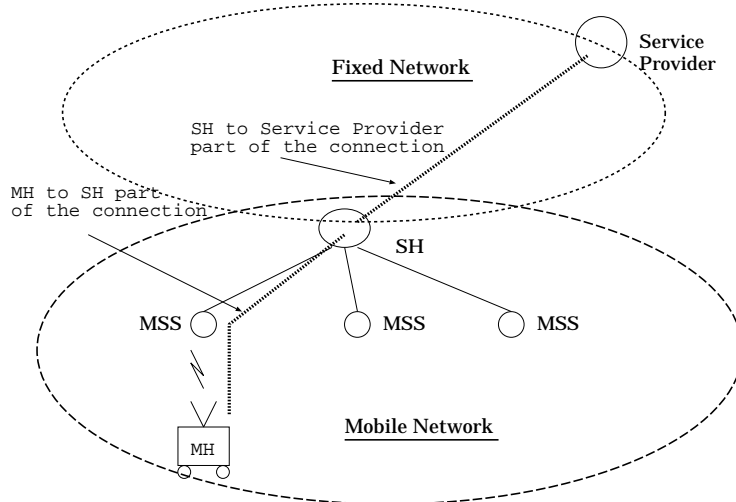


Figure 1: Proposed Architecture.

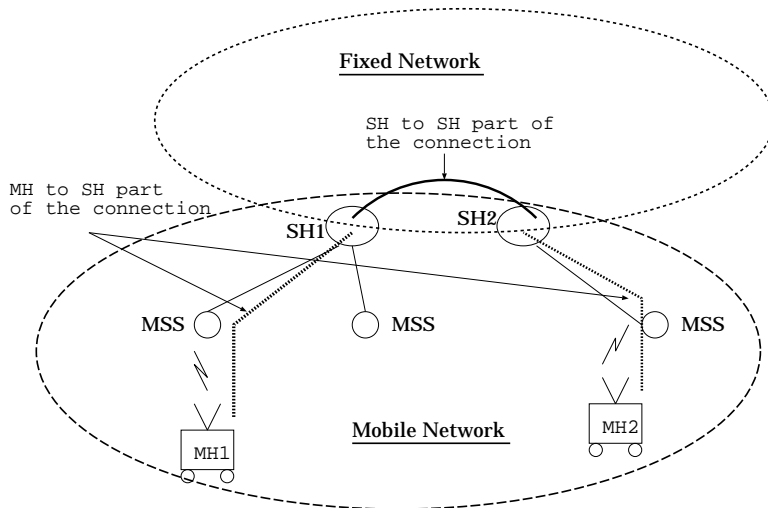
A mobile user may set up connections where the other end-point is either another mobile user or a fixed host (e.g., a service-provider) in the fixed network. In either case the connection is managed by the current SH of the mobile host(s) (see Figure 2). The reason for splitting the connection between the MH and the service-provider is to shield fixed nodes from the idiosyncrasies of the mobile environment. Thus, the service-provider sets up a connection with the SH assuming the SH is the other end-point of the connection. The SH sets up another connection to the MH. Thus for every **MH – service-provider** connection the QOS parameters are defined *separately* for the **MH – SH** part and for the **SH – service-provider** part of the connection. Connections between two MHs are broken in three (if the two MHs are controlled by different SHs) – **MH1 – SH1**, **SH1 – SH2** and **SH2 – MH2**. Note that the SH–SH part of any connection is established over the fixed network. The benefits of our architecture, thus, are:

- The MSSs are simple and cheap devices because they merely serve as a point of attachment for MHs.
- Since several MSSs are controlled by a single SH, the roaming MH remains within the domain of the same SH for long time periods<sup>1</sup>. This makes it easier to guarantee QOS

<sup>1</sup>e.g., a MH may roam frequently between rooms in an office building but remain for many hours within the building – each room is a cell and all cells in the building are controlled by one SH.



(a) Connection between MH and service provider



(b) Connection between two MH nodes

Figure 2: Connections for MHs are managed by SHs.

parameters for MH connections.

### 3 Network and Transport Layer Protocols

Our view of the mobile network in relation to fixed wired networks is illustrated in Figure 3. The mobile network is actually composed of many sub-networks each of which is connected to the fixed networks via a SH node. SH nodes communicate with one another over the fixed network. Each SH controls several MSS nodes. Physical communication between a SH node and its MSS nodes is accomplished either over a dedicated network consisting of dedicated wiring (perhaps several MSSs are connected via twisted-pair to a hub and several hubs are connected directly to the SH) or over the fixed network itself – i.e., the MSS nodes are regular hosts on the fixed network and

can be addressed with IP addresses. The latter is a cheaper solution and provides a *migration* path to having dedicated mobile networks.

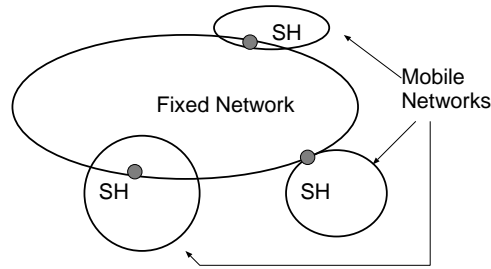


Figure 3: Relationship of mobile networks to fixed networks.

### 3.1 Network Layer Design

#### 3.1.1 Routing and Tracking

Recall that all connections set up by a MH pass through its SH. For instance, a connection between MH  $M_1$  and  $M_2$  located within the same mobile network (i.e., same SH  $S$ ) is set up as  $M_1-S-M_2$ . If the two MHs are in different mobile networks  $S_1$  and  $S_2$  the connection is  $M_1-S_1-S_2-M_2$ . The  $S_1-S_2$  portion of the connection passes over the fixed network. A connection from  $M_1$  to a fixed host  $F$  is set up as,  $M_1-S-F$ <sup>2</sup>. In all of these cases, notice that routing consists of two components – routing within a given mobile network from the SH to the MH and routing over the fixed network between SHs or between SHs and fixed hosts. Let us consider each of these two components separately.

In our design we implement *virtual circuits* at the network layer *in each mobile sub-network*. This means that the network layer will deliver all packets *in order* to the current MSS of the MH. Thus even if the MH moves between cells, so long as the MH remains within the same mobile sub-network, all packets will be delivered to its MSS in order. It is important to observe that the network layer *does not* guarantee delivery of packets *to the* MH; it only guarantees delivery to the MSS. This is because the wireless link is very unreliable and error recovery is best left to the transport layer which is responsible for implementing service guarantees. We discuss this point in greater detail in section 3.2. Moreover, if the MH moves into the domain of another SH, there are no guarantees made regarding the delivery of packets in transit.

To route within one mobile sub-network (i.e., within the domain of one SH), the network layer at the SH maintains a location table consisting of entries for each MH currently within its domain and the location of the MH (i.e., the identity of its MSS). This table is updated via control messages passed between the MSS and SH every time a MH moves. If the MSS nodes are

<sup>2</sup>The reason for routing all connections through a SH is that the SH can provide network level buffering and flow-control. If we set up a connection directly between two MHs, for instance, flow-control and retransmission of lost packets will require an exchange of control messages between the MHs consuming scarce wireless bandwidth.

connected via dedicated links to the SH, there is no need for them to have IP numbers. The SH simply transmits on the appropriate port. If the MSS nodes are nodes on the fixed network, then the SH needs to route messages to the MSS nodes on the fixed network. In our implementation all MH nodes have unique IP addresses (with some ‘home’ network as part of the address). Here the SH nodes route messages to the MH by using the IP *loose source routing option* (following the work of Johnson[10]). The destination address in the header is set to the IP address of the MSS and the MH IP address is contained as the first IP address in the option part of the header. The MSS examines the datagram and delivers it to the MH (if it is present in its cell). If it has moved away, the MSS discards the datagram.

To implement reliable delivery (in the sense discussed earlier), every datagram is given a sequence number. A MSS sends an ACK for each datagram transmitted to the MH (note that the datagram may not be received by the MH because of fading or other interference). Until a datagram is ACKed, it is buffered at the network layer of the SH. If a MH moves away from its current MSS to a new MSS, the old MSS discards all messages but simultaneously informs the SH of the sequence numbers of these discarded messages. These messages are then retransmitted to the new location of the MH. A detailed protocol is presented in Gahi[6] (though our current implementation contains many changes).

For routing over the fixed network (e.g., between SHs or between an SH and a service provider), the existing routing protocol provided over the fixed network is used. Thus the network layer shown in Figure 4 is local to the mobile sub-networks only. The network layer shown in Figure 4 consists of two sub-layers – a tracking and VC maintenance sub-layer sitting on top of IP. The tracking sub-layer is responsible for maintaining location information for each MH currently in that mobile sub-network. VC maintenance refers to the task of guaranteeing reliable delivery of datagrams. It is noteworthy that we currently use IP for routing purposes. This choice is dictated more by budgetary constraints than scientific ones.

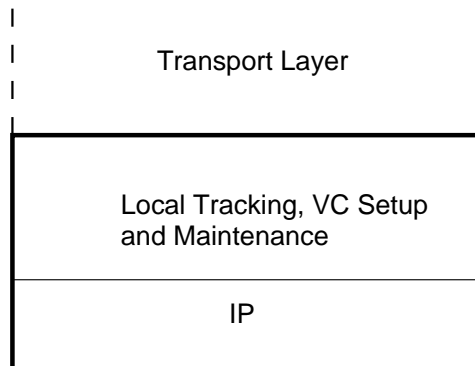


Figure 4: Network Layer.

### 3.2 Transport Layer Design

When developing transport layer protocols for the mobile environment, it is important to keep the following constraints in mind:

- The wireless link is very fragile and error-prone. This means that any reliable protocol must perform a significant amount of error-recovery.
- The bandwidth of the wireless link will always be a limited resource. Thus, all protocols need to be ‘lean’. For instance, in the case of TCP-like protocols, it is not a good idea to have end-to-end flow control (where one end is mobile) because of the high number of control messages that will be sent.
- Available bandwidth within a cell may change dynamically (because it is impossible to control the number of users per cell). This leads to all kinds of problems in guaranteeing QOS parameters such as delay bounds and bandwidth guarantees.
- Mobile hosts frequently encounter extended periods of disconnection (caused due to hand-shake or due to physical interference with the signal) and this will result in significant losses of data for UDP-like protocols. We need to redefine best-effort service for such cases.
- Mobile hosts may move between SHs after opening transport connections. Should the old SH continue to be responsible for these connections or should control be transferred to the new SH?

All of the above issues can be summarized in the form of two questions. The answer to these questions will determine the transport layer design.

**(1) Should the transport layer be aware of the mobility of MHs?**

**(2) Should the transport layer be aware of bandwidth fluctuations at the wireless link?**

If we were to strictly follow the layering idea of the OSI hierarchy, mobility and bandwidth fluctuations will have to be concealed from the transport layer. For mobile networks, however, even though we can adhere to this philosophy, it will result in degraded performance and increased message overhead. To see why this is the case, let us consider two scenarios that will be commonplace in a mobile environment. In the first, a MH with open data connection(s) moves into a cell where there are many other MHs. It is likely that the negotiated QOS for the connections of this MH can no longer be satisfied and will have to be renegotiated. Since renegotiation of QOS parameters involves the transport layer (and the network layer), it is not possible to conceal bandwidth fluctuations from the transport layer. We discuss this problem further in section 3.2.4.

For the second scenario, consider what happens if a MH with an open TCP connection moves from its current SH (where the TCP connection was established) to another SH. In this case it is not necessary to re-establish the TCP connection (because the network layer can forward datagrams from the old SH to the new SH). However, the efficiency of the TCP connection will be degraded for reasons discussed in section 1.1 (recall that I-TCP attempts to alleviate this efficiency problem by breaking the TCP connection in two). In our architecture, as we discuss in section 3.2.1 and section 4, since we perform a great deal of bandwidth management within each mobile sub-network (controlled by a single SH), it becomes necessary to re-establish connections when a MH moves from the sub-network of one SH into the sub-network of another. Thus, we believe, the transport layer will need to be aware of the mobility of MHs and bandwidth fluctuations for each open connection.

Our view for the transport layer of the mobile sub-network is shown in Figure 5. The prefix M stands for ‘mobile’. Thus we have a version of mobile-TCP and mobile-UDP. M-CM refers to the mobile-continuous media protocol and is useful for implementing real-time services such as voice or video. It is loosely based on the continuous media protocol of Moran[12]. The mobility management module deals with the problems of mobility (i.e., re-establishing transport connections when a MH moves between sub-networks and renegotiating QOS parameters).

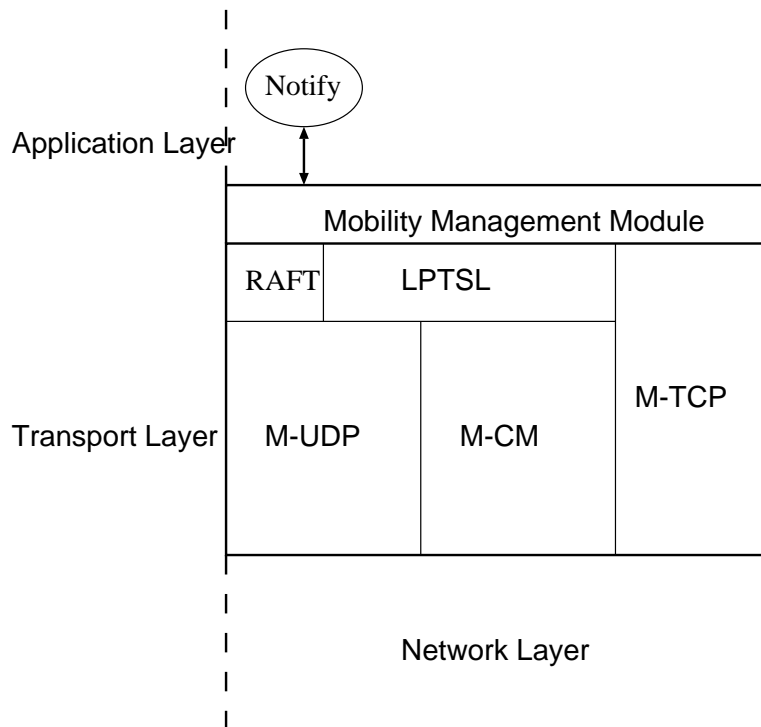


Figure 5: Transport Layer.

### 3.2.1 M-TCP

In section 1.1 we touched upon the problems associated with providing TCP-like service over mobile networks. Specifically, if the receiver is a MH, the TCP sender times out frequently because of the ‘blackout’ periods in mobile networks. The solution of Barke[1] breaks the connection in two (similar to our idea with the difference that they consider mobile networks to be part of the internet) – from the fixed host to the MSS and from the MSS to the MH. The MSS effectively serves as the TCP connection end-point from the point of view of the fixed host. The MSS is then responsible for forwarding all data reliably to the MH. Note that the *semantics* of this implementation differ from TCP semantics – it is possible for the sender to think that all data has been correctly delivered to the MH (since the MSS has sent ACKs) even if this is not the case.

In our design, the TCP connection is broken in two – fixed host to SH and SH to MH. The fixed host to SH part of the connection uses regular TCP while M-TCP is used for the SH to MH part of the connection. Since M-TCP is layered on top of a reliable virtual circuit connection (reliable within one mobile sub-network), its design is relatively simplified. Even though this design looks similar to I-TCP, unlike Brake[1], we implement almost TCP-like semantics that make it easier for the sending application to recover from the type of error described above. The TCP client on the SH always ACKs *all but the last byte* of data received from the sender. The last byte is ACKed only after it has been successfully sent to the MH by M-TCP at the SH. In this scenario, if the MH disconnects before receiving all data from the SH, the sender will never receive an ACK for the last byte. Thus, the sending application will know that the connection has failed and can take remedial action. In addition to the above change, we ensure that the buffers at the SH are not exhausted (which can happen, for instance, if the MH has been temporarily disconnected) by linking buffer availability (i.e., receiver window size) to the *available bandwidth on the wireless link*. This causes the TCP client to automatically choke the sender when the MH is in a crowded cell! This linkage between expected wireless bandwidth and TCP buffers is another unique feature of our design.

It is important to note, however, that M-TCP semantics are still slightly different from TCP semantics. This is best illustrated by considering a *talk* application. The application displays data on the user screen as and when it is received over the TCP connection. If the SH crashes, it is possible for the sender to think that almost all the lines it has typed thus far have appeared on the receiver’s screen (because ACKs have been received for all but the last byte) even though this may not be the case (i.e., the SH crashed before that data was sent to the MH). This situation is not completely hopeless, however, because the sender will eventually realize that the SH has crashed and it can then take remedial action.

What happens when a MH moves from the sub-network of one SH into the sub-network of another? In our implementation this is handled in a manner similar to I-TCP. The old SH transfers TCP state to the new SH after it has been informed by the mobility management module about the move (recall that whenever a MH enters a new cell, it performs a handshake procedure

with the new MSS. The information exchanged during this handshake includes the identity of the previous SH and information about all open connections, see Ghai[6]). Meanwhile all datagrams arriving at the old SH are sent on to the new SH via IP loose source routing.

In our implementation, we require the MH to maintain the identity of the original SH (who first set up the TCP connection). If the MH moves from  $SH_{original}$  to  $SH_1$  to  $SH_2$ , after  $SH_1$  has transferred TCP-state to  $SH_2$ ,  $SH_{original}$  is given the IP address of  $SH_2$  so that it can route datagrams directly to  $SH_2$  without going through  $SH_1$  first. This method keeps the cost of forwarding datagrams small. In the future, if IP is replaced by a protocol like VIP, we will not have to worry about this particular problem. This is because the intermediate routers in the fixed network will automatically associate the IP address of  $SH_2$  with the VIP address of the MH (see Singh[14] for more details) and route datagrams accordingly.

### 3.2.2 M-UDP

If we were to implement UDP in the mobile network without any changes, the performance seen by a MH would be very poor. This is because packets transmitted while the MH is moving between cells or is blocked by some physical obstruction are lost (note that in TCP these packets would be retransmitted). Only a small percentage of loss is due to lack of bandwidth. A high-level view of our M-UDP protocol as implemented is the following (a detailed protocol may be found in Brown[2]).

- Every UDP packet is buffered at the SH.
- The SH discards a packet if it has run out of buffer space or if it has been transmitted  $n$  times to the MSS.

The semantics of M-UDP are almost identical to UDP in the sense that delivery is not guaranteed. However, M-UDP attempts a ‘best effort’ service that is constrained only by buffer space availability at the SH and by bandwidth availability on the wireless link. In our experiments we observed a 2 to 3 fold improvement in the number of packets delivered by M-UDP in comparison to UDP (for mobile hosts).

### 3.2.3 M-CM

A large percentage of future applications will require transmission of data at regular intervals. This kind of data is referred to as continuous media and some examples include voice communication, video communication, etc. Continuous media applications have severe time deadlines and bandwidth requirements and thus cannot be implemented on top of message-based transport protocols such as M-TCP or M-UDP. Following Moran[12] we propose a separate transport protocol suite called M-CM (mobile-continuous media) that will provide the functionality required by such applications. Unfortunately, however, the protocols proposed in Moran[12] and other solutions



proposed for the high-speed network domain cannot be adapted to the mobile networks because of two reasons:

- bandwidth availability varies in an unpredictable manner as hosts roam,
- fading and handoff cause periods of disconnection.

All proposals for CM-type protocols require the network layer to provide strict guarantees regarding bandwidth availability and delay bounds for each connection. Because of the above reasons, however, this is not possible in the mobile domain.

Our approach is to provide a “best-possible” service to M-CM connections. Intuitively, this means that the SH will arbitrate between various MH connections to determine how much bandwidth must be allocated to each open connection. Thus, if a MH has an open ftp connection (via M-TCP) and an open video connection (via M-CM), a *scheduler* process (CS process, see section 4) will starve the ftp connection in favor of the video connection if the available bandwidth, within the current cell of the MH, gets reduced. The scheduler also interfaces with LPTSL in case some fraction of data along the M-CM connection(s) need to be discarded. This M-CM protocol has not yet been fully specified.

### 3.2.4 LPTSL (*Loss Profile Transport Sub-Layer*)

Future applications to be provided to mobile users will include audio (e.g., telephone, audio conferencing, etc.) and video applications (e.g., map information, viewing movies, etc.). These applications have real-time constraints and therefore need to be implemented over M-CM. However, we have a unique problem of *dynamic bandwidth changes during the lifetime of a connection* caused due to the **unpredictable mobility** of mobile hosts.

To illustrate a consequence of this unpredictable mobility, consider a situation where several mobile users have opened high-bandwidth connections. When these connections are set up, the network ensures that the users receive some guaranteed bandwidth. Since these users are all mobile, it is possible that many of them could move into the same cell. In such a situation, it is very likely that the requested bandwidth of the cell will exceed available bandwidth resulting in the original QOS (quality of service) parameters being violated. This situation does not arise in high-speed networks because users are not mobile during the life-time of a connection.

To deal with this situation, we propose that most open connections, *than can tolerate losses*, within the choked cell be penalized (either equally or differentially, based on some need-based policy). Thus, a penalized connection will see a  $x\%$  reduction in available bandwidth. The question now is – does the MH renegotiate the QOS parameters to force the sender to reduce the connection bandwidth (e.g., use a higher compression ratio for a video connection) or is data for that connection discarded by the SH? The first option sounds attractive but is not necessarily the right choice for the following reasons:

- The bandwidth crunch is probably temporary and will be alleviated as soon as a MH roams out of the cell. When this happens, the QOS parameters will have to be renegotiated.
- The cell latency of a MH is of the order of several seconds. The end-to-end renegotiation process is time consuming and thus the bandwidth available may change even before this renegotiation is completed.
- While the renegotiation is going on, data will continue to be sent at the old rate. Since the wireless bandwidth is small, the buffers at the SH will possibly overflow.

We propose that the SH *judiciously* discard data for each penalized connection. Since the SH operates at the transport layer (it is a gateway), it can do this. Note, however, that indiscriminate discarding of data may result in garbage at the MH (e.g., if data is randomly thrown out of a compressed video stream, no video can be reconstructed). To solve this problem, we have proposed a new sub-layer called the Loss Profile Transport Sub-Layer. The sending application puts *flags* in the data stream by making calls to LPTSL. All data between a pair of flags represents a *logical segment* (e.g., one logical segment may be a single compressed frame in JPEG-video). The LPTSL at the SH discards entire logical segments in the event of a bandwidth crunch to ensure a  $x\%$  reduction in bandwidth (note that the application at the MH can be informed of the location of the discarded segments in case that information is required – as in MPEG-2 video). A detailed protocol is presented in Seal[13]. See Figure 6 for an explanation of the operation of this layer. Here the data stream at the sender is broken into data segments (logical segments) separated by special flags. These flags are inserted by the LPTSL layer at the sender. The LPTSL layer at the SH is informed of the available bandwidth in the current cell of the MH and determines if any data needs to be discarded. If so, it discards entire logical segments (all data between consecutive flags). The LPTSL at the MH passes up the arriving data to its receiving application *and indicates the location and size of the discarded segments*.

A reason for discarding data at the LPTSL is because LPTSL provides different *discarding functions*. When a connection is set up, a QOS parameter negotiated is the discarding function(s) to be used in the event of a bandwidth crunch. For instance, if the connection is an audio connection, the user may prefer uniform random loss as opposed to bursty loss. On the other hand, if the data is compressed video, random loss will prove to be disastrous. In this case the user may opt for bursty loss (i.e., discard entire frames rather than random bytes from several frames). These discarding functions are provided in the form of a indexed library of sub-routines at the LPTSL layer of the SH.

### 3.2.5 RAFT (*Repetitive Almost-reliable Fast Transport*)

In addition to applications discussed in section 2, PDAs will be used as devices whereby critical data can be transmitted to the user quickly – much like today’s pagers or beepers but with a

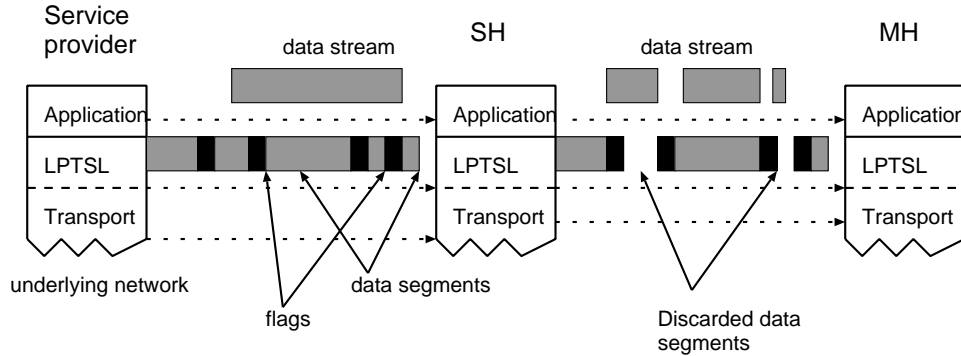


Figure 6: Loss Profile Transport Sub-Layer.

greater degree of sophistication. These type of *notification* applications need to be able to transmit data *quickly* and *reliably* to the mobile user. To facilitate the development of such applications we propose a transport sub-layer called RAFT that is built on top of M-UDP. The approach we use is the following – RAFT at the SH sends data several times. When the MH eventually receives all data correctly it sends a *shut-off* message to RAFT at the SH. RAFT data takes precedence over all other connections.

## 4 Management and Control

In Figure 7, we show the transport and network layer control and management functions at the SH. The SH needs to ensure that QOS parameters for open connections are maintained. This implies appropriate bandwidth management within each cell and buffer allocation for each connection at the SH. In Figure 7 the management and control functions of the protocol stack for the mobile sub-network is shown in detail. The different arrows indicate control paths, management paths, data paths and QOS negotiation paths.

At the network layer we have a process (CBM) that monitors the bandwidth utilization within each cell controlled by the SH and passes this information up to a transport layer process (CS) via a management path. The CS process arbitrates bandwidth within each cell between all open connections. Thus, if there is a real-time connection (e.g., audio) and a data connection into a cell, the CS may choose to starve the data connection in order to ensure the delay bounds for the real-time connection are met. Another control process at the network layer (NL-QOS) monitors the QOS being delivered to each VC and sends this information to the CS as well. This management path is required to ensure that QOS parameters (such as bandwidth usage or delays) for M-CM connections are met. If some M-CM connection has not been receiving its negotiated QOS, the CS process allocates more bandwidth to that connection.

CS is the process which is responsible for maintaining QOS for all M-CM connections and ensuring that data connections (M-UDP or M-TCP) do not get starved in the process. The CS

receives information for each M-CM connection's QOS contract. Note that this contract may be renegotiated during the lifetime of the connection and thus CS needs to be informed of this change via the management path. M-UDP connections are subject to data discarding (via LPTSL) in the event of a bandwidth crunch. Thus, we assume that some QOS negotiation also takes place for UDP connections and this information is passed on to the CS process as well. CS *periodically* informs M-CM, M-UDP and M-TCP of the available bandwidth for each connection via control paths. It is up to these protocols to ensure that they control each open connection (either choke the sender as in M-TCP, or discard data via LPTSL as in M-CM) adequately.

QOS negotiations take place between M-CM's QOS process, NL\_QOS and possibly the QOS process on the fixed network (in case the connection is to a fixed host) via QOS negotiation paths. QOS control information is also exchanged between LPTSL and the QOS processes of M-UDP and M-CM. Finally, the buffer manager processes at both, the network layer and the transport layer, are responsible for buffer allocation to different connections. The data paths followed for some typical connections are illustrated in the figure as well.

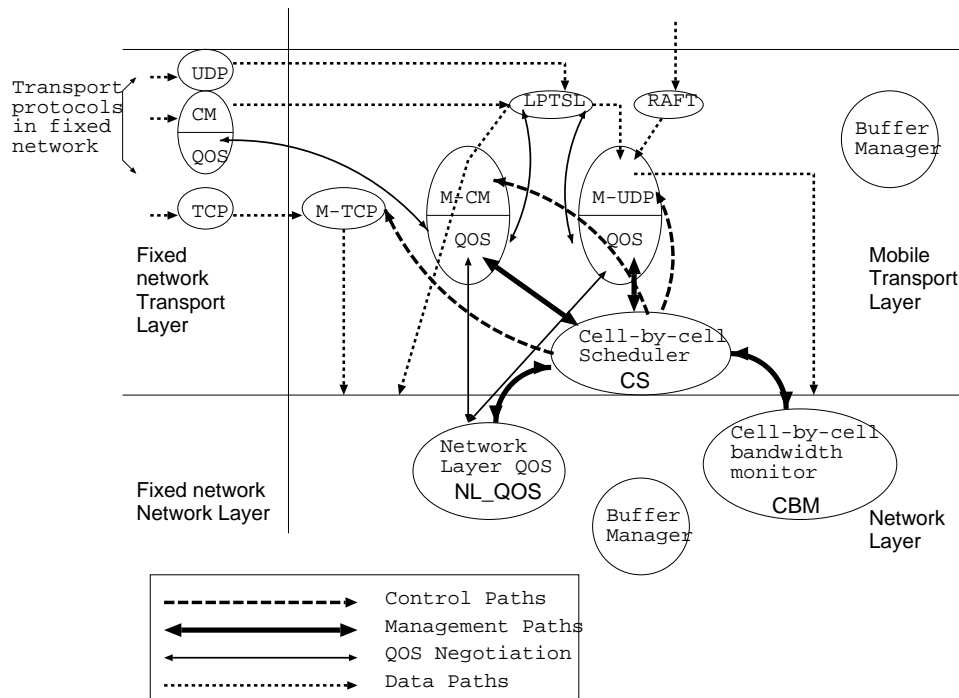


Figure 7: Management and Data flow at the transport and network layers of the SH.

## 5 Conclusions

In this paper we have proposed a complete design of the network layer and transport layer in a manner that best addresses the problems of the mobile environment. Our design is a radical departure from other researchers in that we propose that mobile networks be viewed as separate

from wired networks with connectivity being provided by special gateway nodes. These nodes provide a variety of transport level services that best meet the constraints of the mobile environment. A complete implementation of this architecture is underway and Figure 8 indicates the current status of this implementation.

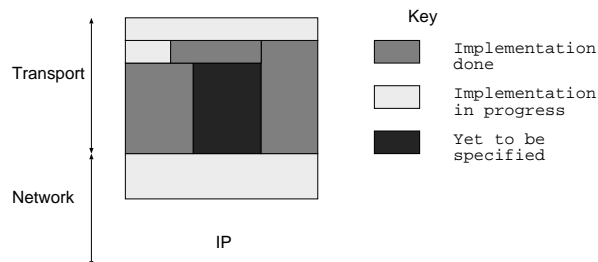


Figure 8: Current status of implementation.

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## Abstract

The ongoing European ACTS project *OnTheMove* provides support services for distributed mobile multimedia applications. The project defines, implements, and demonstrates a mobile middleware called a Mobile Application Support Environment (MASE) which is based on UMTS concepts. The mobile application programming interface (mobile API) of MASE, which will be submitted for standardization, allows common access to the underlying operating systems and network infrastructure, and facilitates the development of new, mobile-aware, multimedia applications.

# UMTS: A Middleware Architecture and Mobile API Approach

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Any information at any time, at any place, in any form. This promise of mobile multimedia will be realized through third-generation mobile communication networks, which will offer high-bit-rate data services, guaranteed on-demand bandwidth, and low delays. The European Telecommunication Standards Institute (ETSI) is working on the Universal Mobile Telecommunications System (UMTS) [1, 2], which belongs to the family of similar or compatible standards developed within the International Telecommunication Union (ITU) called International Mobile Telecommunication in the year 2000 (IMT-2000) [3].

Today, mobile users already utilize a wide variety of mobile terminals ranging from simple mobile phones and personal digital assistants (PDA) to high-end multimedia notebooks. UMTS and the Mobile Broadband System (MBS) will offer suitable bandwidth and global connectivity to enable true mobile multimedia. As an early contribution to the UMTS service specifications, and in order to provide a smooth evolution path from second- to third-generation communication systems, the Advanced Communications Technologies and Services (ACTS) project *OnTheMove* has developed a mobile middleware system called the Mobile Application Support Environment (MASE). Along with the MASE, an application programming interface (API) has been defined that allows applications to access the MASE components. This API, called the *mobile API*, will be submitted for standardization. The purpose of the MASE middleware is to ease the development of mobile-aware applications by providing a common underlying platform. Furthermore, the middleware approach enables a smooth transition from current wireless networks, such as Global System for Mobile Communications (GSM) and Digital European Cordless Telecommunications (DECT) to future UMTS networks. Instead of directly accessing the operating system, mobile-aware applications make use of the *mobile API* and benefit from simple access to MASE services, which hide the complexity of heterogeneous networks and operating systems from the applications. Thus, MASE simplifies the development of mobile-aware applications and frees them from the complex

processing caused by additional needs when accessing heterogeneous networks and running on mobile devices. Furthermore, MASE eases the evolution of multimedia applications toward UMTS [4] and enables legacy applications to benefit from a subset of its functionality.

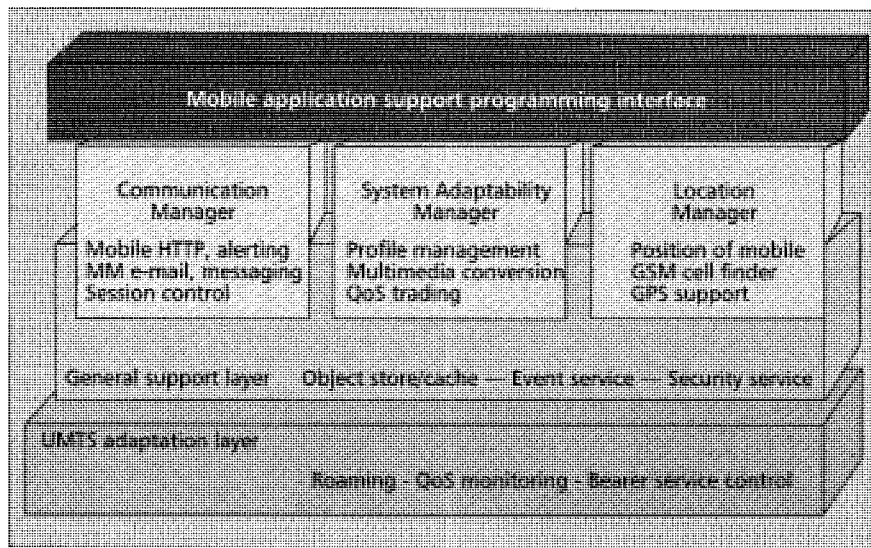
In this article we focus on the services provided by MASE and their relation to UMTS. We demonstrate the interworking between different parts of MASE through a typical mobile-aware application, the CityGuide. The CityGuide uses geographical information provided by the MASE Location Manager module to display a map of the current geographical surroundings of the mobile user. Several different layers of interesting places (e.g., public transportation, administration buildings, accommodation, restaurants, and much more) are shown on the map and are linked to corresponding Web pages.

We will first elaborate on the generic MASE architecture. We will outline a possible scenario for the ongoing UMTS service definitions and then explain, step by step, the MASE components accessed by the CityGuide implementation.

## MASE

The Mobile Application Support Environment is a distributed system that runs on both the mobile device and the so-called mobility gateway. The latter acts as a mediator between the wireless and fixed network infrastructures. It works as an agent for mobile clients which are typically connected over unreliable wireless access networks with low bandwidth. MASE enables access to the UMTS adaptation layer (UAL), which provides applications and middleware components unified access to all possible underlying networks. An additional general support layer provides the functionality required for distributed systems. On top of both layers several manager components are installed, providing different dedicated services. Figure 1 shows the overall MASE architecture with its corresponding building blocks. All the components shown have been implemented.

MASE is built around the concepts of awareness, adapta-



■ Figure 1. Overall architecture of MASE.

tion, and abstraction. MASE applications are aware of the current network quality of service (QoS) through sophisticated monitoring and management facilities which are provided by the UAL. The UAL hides network specific details by selecting the appropriate bearer service and protocol stack according to the requested QoS. The general functional features of the UAL are:

- Selecting appropriate transport protocols and configuring them for efficient use (e.g., adjusting packet size and timers to the bearer service parameters)
- Selecting and configuring an appropriate bearer service
- Managing roaming between different networks and bearer services as well as bearer service switching

Details of the UAL have been published elsewhere (e.g., [5]). The General support layer implements object storing and caching as well as event handling and security services. These services will also not be described in detail here.

**Awareness** – End terminal characteristics and user preferences are stored in profiles. They are managed by the Profile Manager, a part of the System Adaptability Manager (SAM), and are available on demand at all nodes of the system.

**Adaptation** – Profile information and monitored QoS are used by the MASE communication facilities to adapt their usage to the current QoS situation and user requirements. This adaptation is transparent to the application.

**Abstraction** – The MASE provides high-level abstractions, for example, an alerting function or location management. An alert is an abstraction of an important short message which has to be sent to the user. Depending on the current network situation, the alert manager maps an alert to different network services. If the user is connected to the network over TCP/IP, the message will be delivered directly to an alert server on the mobile device messaging service, or as a GSM short message service (SMS) notification if no such connection is available. The final version of MASE will deal with adaptation to varying degrees of QoS, robustness in the face of disconnected links, roaming between different operators and network types, personalized information filtering, and location-aware applications using various location trackers.

MASE also integrates legacy communication applications and improves communication over wireless networks. In this way, the MASE takes

up the challenges of mobile multimedia and prepares for UMTS.

## The CityGuide Application Scenario

The CityGuide is part of a set of mobile-aware applications (Fig. 2). It is a typical mobile user application providing access to a map of the surroundings of the mobile user. This map displays several information layers, such as hotels, restaurants, automated teller machines, bus stops, and phone booths. These information sources are linked to Web pages to allow instant access to further information about a particular location.

The CityGuide runs as a Java applet within a Web browser and provides access to maps describing the current surroundings. This application uses the *mobile* API to ask the MASE Location Manager for the actual coordinates (longitude and latitude) of the user. This information will be checked against the coordinates stored in the server. The most suitable map and the associated geographical objects will be loaded using HTTP. Since the browser is a legacy application and normal HTTP is not well suited to mobile communication, the MASE integrates these calls using an HTTP proxy system. In the following we describe the MASE components participating in this process.

### Location Manager

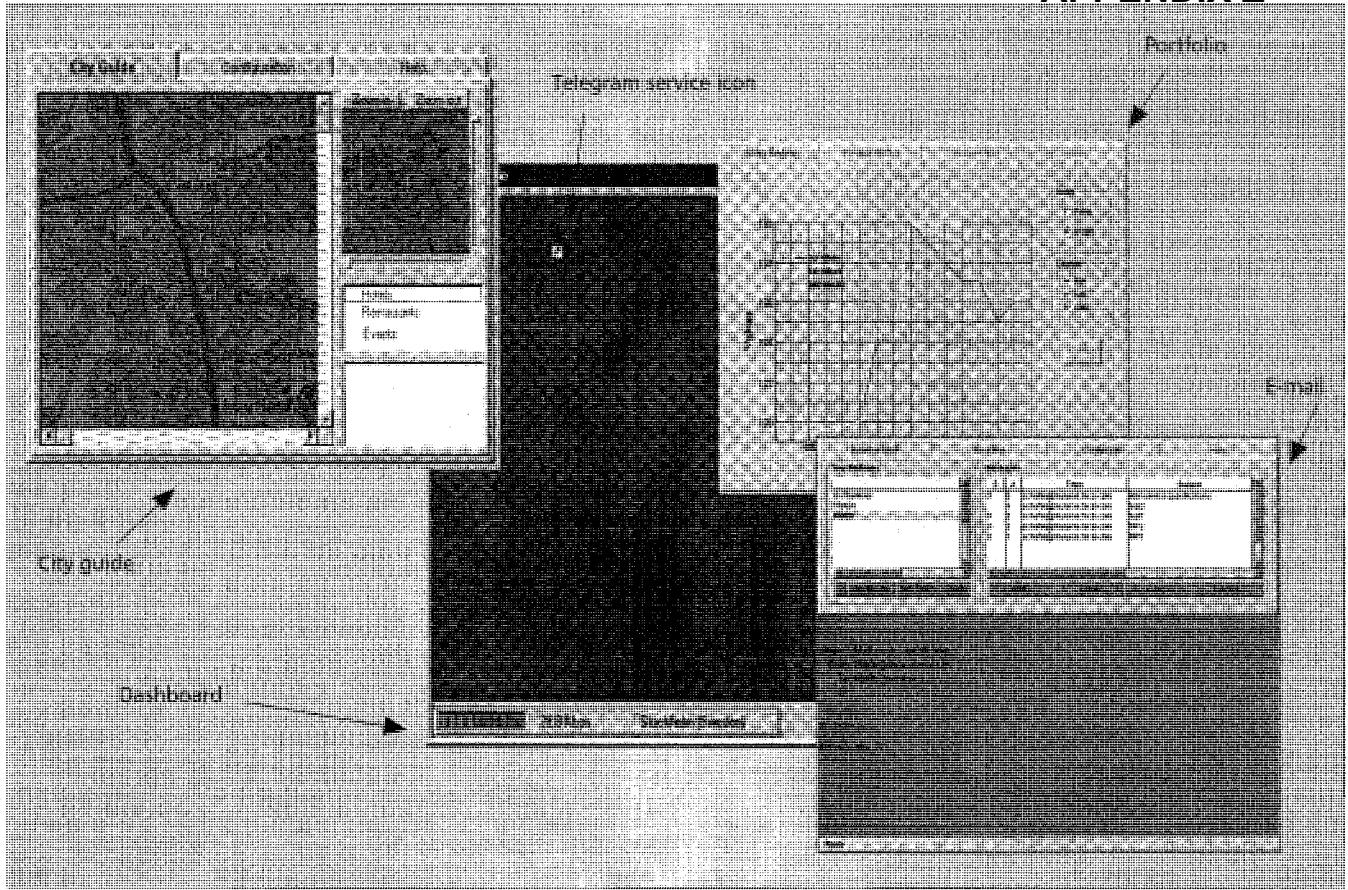
The Location Manager (LM) helps users navigate in new environments. It enables applications and other MASE components to determine the parameters of the current geographical position of a mobile device as well as the accuracy of these values. This is another example of the abstractions provided by MASE because the geographical information is accessible through a simple uniform API and independent of the mechanism used by the LM to gather location data. A subset of the LM API calls is shown in Table 1.

The current LM implementation supports the Global Positioning System (GPS), a satellite-based radio navigation system developed and operated by the U.S. Department of Defense [6], and uses WaveLAN (a wireless LAN device from Lucent Technology [7]) cell identifier information. GPS provides latitude and longitude coordinates, velocity, and the user's moving direction with an accuracy of about 10–300 m.

Method	Description
getAccuracy	Returns the position error of the device
getLastDateOfUpdate	Returns information about last date of update of GPS location information
getLastTimeOfUpdate	Returns information about last time of update of GPS location information
getLatitude	Returns the latitude in WGS84 format
getLongitude	Returns the longitude in WGS84 format
getVelocity	Returns the speed of the device

■ Table 1. A subset of the Location Manager API.





■ **Figure 2.** OnTheMove desktop and mobile-aware applications.

In wireless communication systems cell identifiers can help determine the position of the mobile device. The accuracy depends on the network cell size and varies from about 30 m (wireless LAN) up to a few kilometers using GSM. A table lookup maps these cell identifiers to the physical position of the terminal. A central LM process communicates with the available location information sources. The retrieved values are made available to MASE-aware applications and other MASE components by stub interfaces to the central process. This architecture is shown in Fig. 3.

### Communication Manager

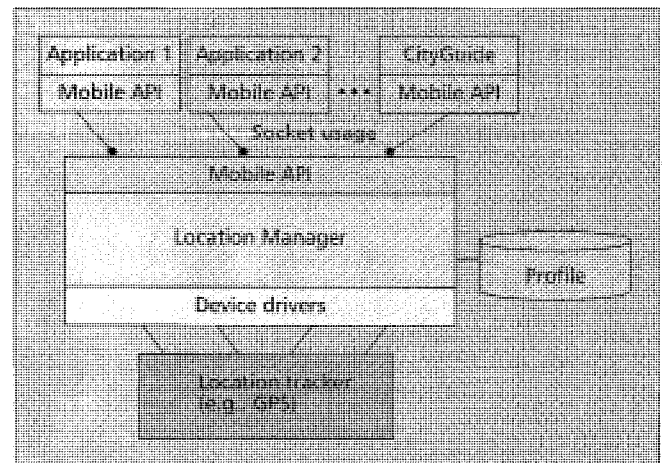
The Communication Manager (CM) of the MASE architecture supports HTTP and e-mail communication, HTTP pre-fetching, alerting, messaging, and disconnected operations. In the following we will focus on the HTTP proxy. The HTTP proxy system improves the performance and usability of HTTP over low-bandwidth network connections (cellular, radio LAN, modem). Requested multimedia objects can be processed/converted by MASE to match the current network bandwidth, terminal characteristics (display capability, storage capacity, etc.), and user requirements. This adaptation is performed at the mobility gateway before transmitting the data to the mobile terminal. The distribution of the HTTP proxy functionality is shown in Fig. 4.

Multiple users simultaneously access Web-based information using the HTTP protocol. Each mobile terminal runs a client-side HTTP proxy (CSP) that requests Web objects from the server-side HTTP proxy (SSP). SSP can serve multiple client connections in parallel, with each connection being handled by a separate connection handler thread. Each such handler communicates with a peer process on the CSP over a multiplexed logical communication session. Multiple sessions

run on top of a single TCP/IP connection between a mobile terminal and the mobility gateway. The multiplexing scheme allows data transfer for all logical sessions from one terminal to be transmitted in parallel over a single full-duplex TCP/IP connection.

In a typical scenario, an HTTP client on the mobile terminal (such as the CityGuide application) will request HTML pages and related graphic objects from a remote HTTP server. The HTTP Proxy system intercepts these calls and communicates with the SAM to adapt the requested objects to the current QoS and user requirements. The application (in this case the browser) is configured to use the CSP as an HTTP proxy server.

As shown in Fig. 4, for each HTTP request the client will



■ **Figure 3.** Location Manager architecture.

establish a TCP/IP connection to the CSP ①. The CSP checks whether the requested object is available locally by calling the `checkCache()` methods of the SAM ②. See Table 2 for the description of the SAM API. If the SAM indicates local availability, the object will be returned by the CSP to the requesting client.

Otherwise, the CSP will create a logical communication session to the SSP side and will pass the HTTP request to the SSP ③. Another `checkCache()` call ④ checks whether the requested object is available on the Mobility Gateway. If not, the object is fetched from the HTTP server ⑤ and inserted into the local cache using the `createMMO()` method. The SSP now calls the `trade()` method to adapt the multimedia object. This trading process is described in the next section. The SAM creates a variant of the original object and inserts it into the family of related objects managed by the local cache. The variant together with instructions for the post trading phase (e.g., which decompression method to use) is returned and transferred to the CSP. Here a post trading phase is initiated and the resulting object passed to the requesting client.

### System Adaptability Manager

The System Adaptability Manager (SAM) is responsible for the provision of optimized and personalized mobile services. User-, network-, and terminal-specific QoS parameters are managed in profiles handled by the Profile Manager. These profiles are used to compute the best adaptation of the MASE communication services, taking into account the current network and end system QoS parameters as well as to the user's personal preferences. The SAM has several adaptation possibilities:

- It can make a choice between different available networks based on the available bandwidth, bandwidth guarantees, and cost.
- It can compress, convert, transcode, or reduce the multimedia objects prior to transmission.

For example, an image is supposed to be transmitted to a terminal with a black and white screen. In this case color information can be eliminated by the mobility gateway. Other reasons for adaptation can arise, for example, from the available network bandwidth and the cost involved. These decisions are made by the QoS trader within the SAM.

Method	Description
<code>trade()</code>	Performs trading
<code>postTrade()</code>	Performs required processing steps on the receiver side (e.g., decompression)
<code>checkCache()</code>	Searches cache for a local available MMObject
<code>createMMO()</code>	Creates an initial MMObject
<code>insertVariant()</code>	Inserts an MMObject variant into the family of related objects
<code>removeVariant()</code>	Removes variant from the family

■ Table 2. A subset of the QoS trader API.

<code>user.image.reductionAllowed</code>	True
<code>user.image.maxTransmissionSize</code>	20,000 bytes
<code>user.image.maxWaitTime</code>	5 s
<code>user.image.resolutionReduction</code>	Yes
<code>system.terminal.display</code>	Black and white
<code>system.terminal.memory</code>	2 MB
<code>system.terminal.diskSize</code>	20 MB
<code>network.currentBearer</code>	GSM
<code>network.currentBearer.expThroughput</code>	9600 b/s

■ Table 3. Profile values.

### Profile Manager

The terminal characteristics of the mobile device are stored in a *terminal profile*. The network characteristics of the mobile device's current (wireless) connections are stored in the *network profile*. The network profile is constantly updated by the UAL. User-specific preferences are stored in a *user profile*. A profile generally contains a hierarchical tree of properties (name/value pairs), each describing a certain property. Profiles are replicated on demand and stored persistently throughout the MASE system.

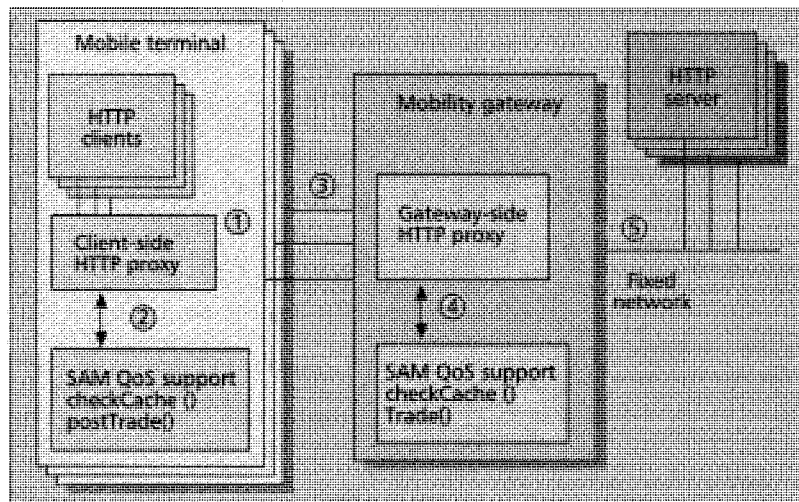
A MASE-aware application can access the profile values at either the mobile device or the mobility gateway. Consistency can be enforced independently at every host and for each node to reduce the communication overhead. Table 3 shows examples of profile values for the user, system and network tree.

### QoS Trader

The QoS trader adapts the MASE communication services according to the user's preferences and the current terminal/network situation as reflected in the profile. Instead of transmitting multimedia objects directly to the mobile client, the HTTP proxy calls the QoS Trader to perform a trading process. This process consists of the steps illustrated in Fig. 5.

During the *QoS gathering* phase the QoS trader accesses the current terminal and network QoS which are stored in the profile. The profile also contains the user's preferences, which are later used to compute filters and preferences for the planning process. It further examines the properties of the current multimedia object, and then enters the *planning* phase, during which it decides about the actions to perform on the current multimedia object. This process generates a "new" plan from a knowledge base (*plan generation*) and the gathered QoS parameters.

A plan consists of one or more compression, conversion or reduction steps. During the *plan*



■ Figure 4. The partitioning of the HTTP proxy.

filtering phase the static properties of the new plan are matched against the user preferences and terminal requirements. Small devices, for instance, might have implemented only one or two decompression methods. The plan filtering phase will only select plans suitable for this particular device.

Subsequently, the QoS trader predicts the prospective outcome of the current plan using knowledge provided by the multimedia conversion (MMC) routines. MMC works *online*. It offers lookup functions that enable it to estimate conversion time, and execute conversions if appropriate. MMC provides some general-purpose methods for image manipulation, such as conversion of images to other image formats by means of scaling, graining, and color reductions.

Two methods that support the QoS prediction and filtering phases of the QoS trader, by estimating the required conversion time and the size of the reduced objects, are important for the SAM, which checks whether varying the reduction  $R$  of an object  $x$  can fulfill Eq. 1.

$$T_{MaxWait} > T_{Seek}(x_{org}) + T_{Reduce}(x_{org}, R) + T_{Trans}(x_{red}, B) \quad (1)$$

$T_{Trans}(x, B)$  is the transmission time of an object  $x$  at bandwidth  $B$  (b/s),  $T_{Reduce}(x, R)$  is the estimated reduction time of the original object  $x$  at reduction  $R$ .  $T_{Seek}(x)$  is the time used to estimate the reduction time of an object  $x$  to the requested file size (planning process).  $T_{MaxWait}$  (stored in the profile under `user.image.maxWaitTime`) is a user preference parameter which defines the maximal time the mobile client wants to wait for an image. If  $T_{MaxWait} > T_{Trans}(x_{org}, B)$ , there is no need to reduce the file size, and the SAM transmits the original image.

A set of suitable conversion commands have been selected for our purpose. For images we use scaling, reducing colors, dithering, and converting to black and white to reduce image sizes. Some formats like JPEG allow conversion by scaling and reducing the overall quality. All those commands are "lossy"; they reduce the quality of an image and hence reduce the file size. To obtain a table of relative val-

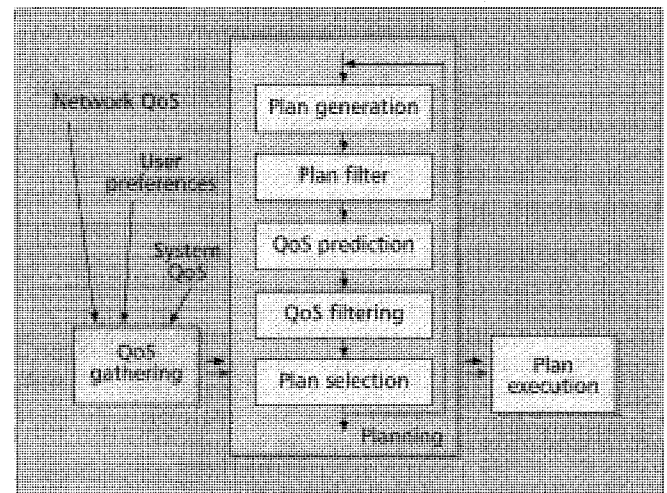


Figure 5. The QoS trading process.

ues for conversion predictions we have measured a set of images with all available conversion commands.

Using this knowledge the trader can estimate the QoS parameters resulting from converting and transmitting the converted multimedia object (e.g., the overall processing time). These predicted QoS parameters are matched against the filters derived from the profile setting. During the *plan selection* phase a preference index is computed for each plan which has passed the filters. The used preference function is selected according to a user-defined criterion (e.g., the smallest resulting object size, the shortest time required for converting and transmitting the result, the best quality remaining).

When the planning is finished, the best plan with the highest preference index is executed. The resulting object is either returned to the application (local trading) or stored locally and will be marshaled for transmission.

**Example** – The *plan generation* phase generated the plan “SCF(0.5); TRA; SCF(2),” which defines a scaling operation by the factor of 0.5, the transfer of the image, and the rescaling of the image to the original size during the `postTrading()` call on the mobile terminal. During the *plan filtering* phase this plan is compared with user preferences stored in the profile. For example, the parameter `user.image.resolutionReduction` defines whether or not the user accepts resolution reductions (scaling). If the user does not allow scaling, the filter derived from that value will prevent the above plan to be selected.

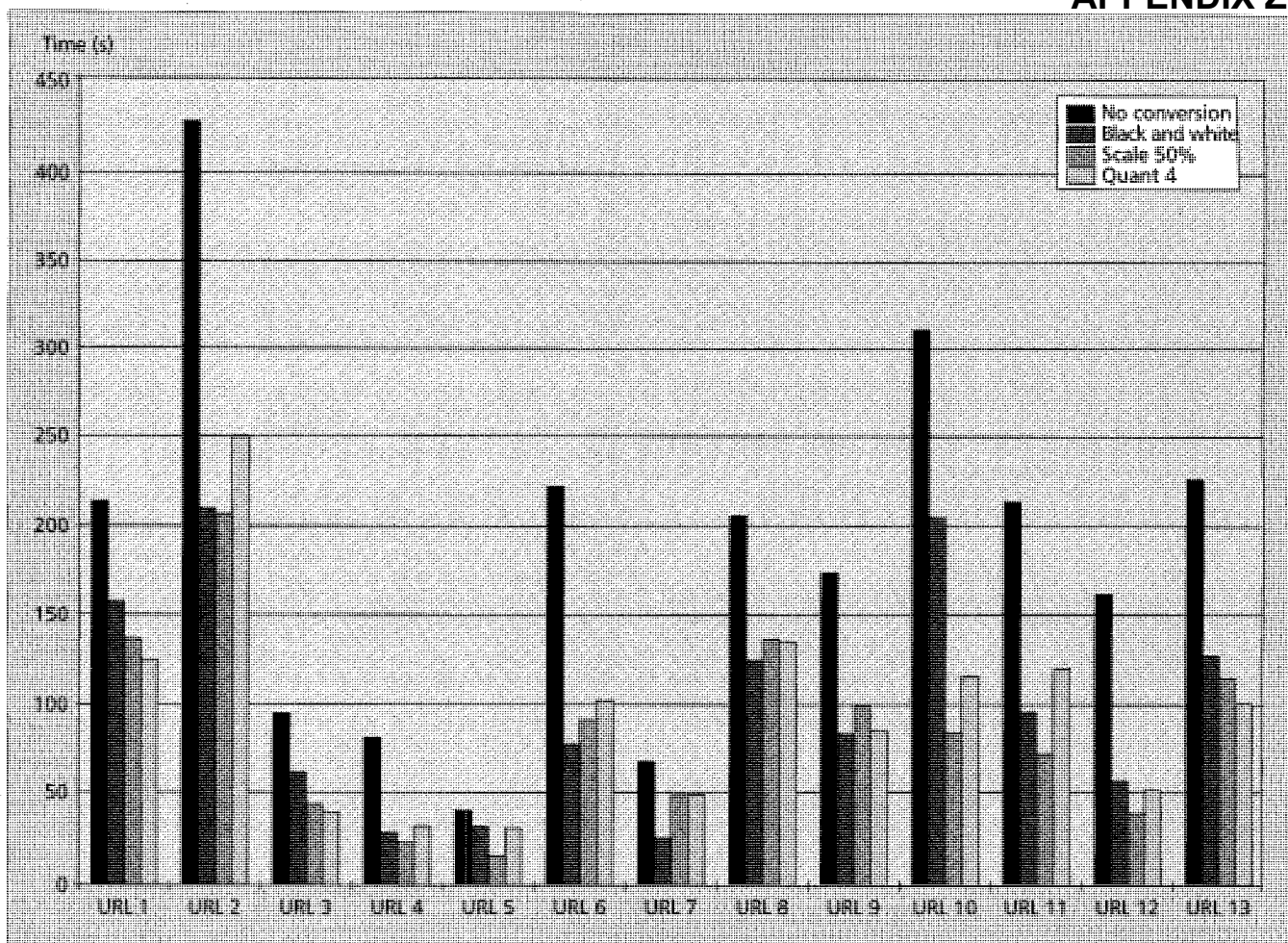
During the *QoS prediction* phase, the time required to execute the above plan will be computed by predicting the time required for the conversion SCF (0.5), for the transmission of the reduced image, and for the reconversion (SCF (2)) on the mobile device. The time and resulting image size for both conversions are computed by using average results derived from former conversions which were initially performed offline. Furthermore, the computing power of the mobile device is taken into account by using relative computing indices from the terminal profile for this device. In addition, the time to transfer the original image and a user-specific quality factor are computed.

During the *QoS filtering* phase, these results are used in Eq. 1 to check whether the user’s

Method	Description
compress	Compresses a file
decompress	Decompresses a file
estimateReductionTime	Returns estimated time to perform a desired reduction
reduceFileSize	Reduces file size by the desired reduction
convert	Converts an image into a given type
colorRedQuant	Reduces the number depth of colors
colorRedDepth	Reduces the depth of color
colorRedDither	Reduces the number of shades per color
getHeight	Returns the height of an image
getMaxVal	Returns the max value of shades per color
getSize	Returns the file size in bytes
getType	Returns the image type as String
getWidth	Returns the width of the image
isCompressed	Returns true if the image is compressed
isImage	Returns true if the instance is an image

Table 4. Subset of multimedia object conversion API.





■ Figure 6. Measurements of a MASE-supported browser over GSM.

requirement `maxTransmissionTime` is fulfilled and whether the reduction will result in a faster transmission. If the plan is still valid, a preference index will be computed. Several preference functions are possible, ranging from a selection based purely on the processing time to a mixture of processing time and the quality of the reduced image. Finally, the plan with the best preference index will be executed.

Figure 6 shows the achieved measurements over the actual cellular system, GSM, that has data transport capabilities of up to 9600 b/s. The results show the advantage of the QoS trading and multimedia conversion. Depending on the automatically chosen conversion method the interaction of CM, SAM, and UAL achieve up to 70 percent acceleration of the HTTP transmission time. Table 4 represents a small subset of the SAM API that application programmers may use to realize conversions offline, or even during online connections.

### Future Work

Future work will deal with the downsizing of the current architecture and implementation to fit the requirements of small mobile devices (e.g., PDAs and PICs), which usually have only limited memory and CPU power. The implementation of the Location Manager will be extended by supporting more input devices, such as an interface to a DECT system which holds information concerning the actual interworking unit. Further development will be done on a Session Manager that provides a higher abstraction of connections than TCP/IP does. The Session Manager controls the ongoing communica-

tion sessions and allows scheduling of different MASE operations like prefetching and caching. Results of the project's field trials will be used to improve the current status.

### Conclusions

The data services of future third-generation mobile telecommunication systems play a critical role in facilitating new and innovative mobile-aware applications and services. UMTS will define a set of services enabling seamless roaming between different networks, QoS monitoring, and bandwidth on demand. In this article we have introduced the OnTheMove approach, which employs middleware to support the special needs of mobile-aware applications. This middleware not only allows the development of mobile-aware applications in an easy way, it also shields today's application developer from the ongoing developments toward UMTS. The MASE mobile middleware will pave the road to future mobile telecommunication systems.

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## Real-time synthetic vision cockpit display for general aviation

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MetaVR, Inc.  
<http://www.metavr.com><sup>1</sup>

### ABSTRACT

Low cost, high performance graphics solutions based on PC hardware platforms are now capable of rendering synthetic vision of a pilot's out-the-window view during all phases of flight. When coupled to a GPS navigation payload the virtual image can be fully correlated to the physical world. In particular, differential GPS services such as the Wide Area Augmentation System WAAS will provide all aviation users with highly accurate 3D navigation. As well, short baseline GPS attitude systems are becoming a viable and inexpensive solution. A glass cockpit display rendering geographically specific imagery draped terrain in real-time can be coupled with high accuracy (7m 95% positioning, sub degree pointing), high integrity (99.99999% position error bound) differential GPS navigation/attitude solutions to provide both situational awareness and 3D guidance to (auto) pilots throughout en route, terminal area, and precision approach phases of flight.

This paper describes the technical issues addressed when coupling GPS and glass cockpit displays including the navigation/display interface, real-time 60Hz rendering of terrain with multiple levels of detail under demand paging, and construction of verified terrain databases draped with geographically specific satellite imagery. Further, on-board recordings of the navigation solution and the cockpit display provide a replay facility for post-flight simulation based on live landings as well as synchronized multiple display channels with different views from the same flight. PC-based solutions which integrate GPS navigation and attitude determination with 3D visualization provide the aviation community, and general aviation in particular, with low cost high performance guidance and situational awareness in all phases of flight.

**Keywords:** situational awareness, real-time visualization, correlated terrain databases, geographically specific satellite imagery

### 1. INTRODUCTION

A remarkable transition in state-of-the-art image generation is taking place as single purpose, specialized rendering hardware is being replaced with off the shelf components driven by PC-based processors. The dramatic performance improvements realized by the PC graphics industry in the last two years has leveraged the broad base of innovation across the industry. The rich mix of focused development efforts in chip design, bus architecture, software driver standards, and processor technology feeds the continuous improvement in PC graphics capability that is reaching the upper echelons of visualization-simulation (VizSim) performance standards. This paper focuses on the underlying capabilities needed to render the virtual environment in a mobile platform such as an aircraft. Primarily these are the image generator hardware and software implementation and the generation of a three-dimensional database of the environment including terrain, aircraft, and cultural features. It does not address symbology and information content that should be displayed and interested readers are referred to [1,2].

These low cost, high performance graphics solutions based on PC hardware platforms are now capable of rendering both moving map displays and synthetic out-the-window views of a moving vehicle with an extremely high degree of realism. By coupling with a GPS navigation/attitude payload the virtual image can be fully correlated to the physical world in real time. In particular, differential GPS services such as the FAA's Wide Area Augmentation System (WAAS) [4] will provide users with highly accurate 3D navigation information. The WAAS position solution is specified to have accuracy better than 7.5m 95% and a guaranteed (99.99999%) confidence interval [5]. Prototype implementations of WAAS are achieving nominal accuracy of about 1-2m 1-sigma in all three dimensions [6]. Carrier phase GPS based attitude heading references system (AHRS) prototypes are also being implemented [7,8,9] which can provide sub degree accuracy in all three axes, roll/pitch/yaw. Integration of accurate position/velocity/attitude state information and a highly capable rendering engine enables synthetic image generation of the physical scene.

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The underlying resource that ties these two pieces together is an accurate and reliable geographic database that describes the physical environment. It must be accessed efficiently to serve the real time application but also have the fidelity to enhance rather than detract from the pilot's situational awareness. Our solution incorporates geographically specific satellite imagery and cultural features into an efficient terrain database. The satellite imagery provides contextual information for situational awareness while specific cultural features such as runways can be inserted as additional objects with higher resolution and special properties. For run time flexibility, the resulting database can be stored in memory or demand paged off of a storage device using a "look ahead" algorithm. Real time performance for extremely high-resolution terrain (100m post) and imagery (5m) is supported using hierarchical level of detail switching. In addition the render engine has variable field of view and far horizon clipping plane parameters so that the necessary display refresh rates can be maintained. The solution we describe below borrows heavily from the visual simulation concepts developed in distributed interactive simulation (DIS) research with the new twist that high fidelity high performance can be achieved on PC platforms. The economies of scale in this arena provide low cost systems and the impetus to move toward embedded solutions. While all of the features currently supported by 3D VizSim applications may not be appropriate in the cockpit, we identify them here as candidates for use and leave their designation to the community at large.

The remainder of this paper first touches briefly on the linkage of position/velocity/attitude state information and the virtual environment in the computer. We then focus on the visualization hardware and the innovations in the graphics industry which now provide the power to render one or more 3D out-the-window scenes or 2D top down moving maps (so called plan view displays). The next section focuses on our database construction process, database storage requirements, and its correlation to truth. We close with some comments on the opportunity to extend the cockpit display to a networked solution where, given a low bandwidth communication channel, information from multiple entities could be included in the display. In this mode the display could provide additional situational awareness vis-a-vis TCAS I/II systems that have a cockpit display of traffic information (CDTI).

## 2. LINKING AIRCRAFT STATE TO VIRTUAL ENVIRONMENT

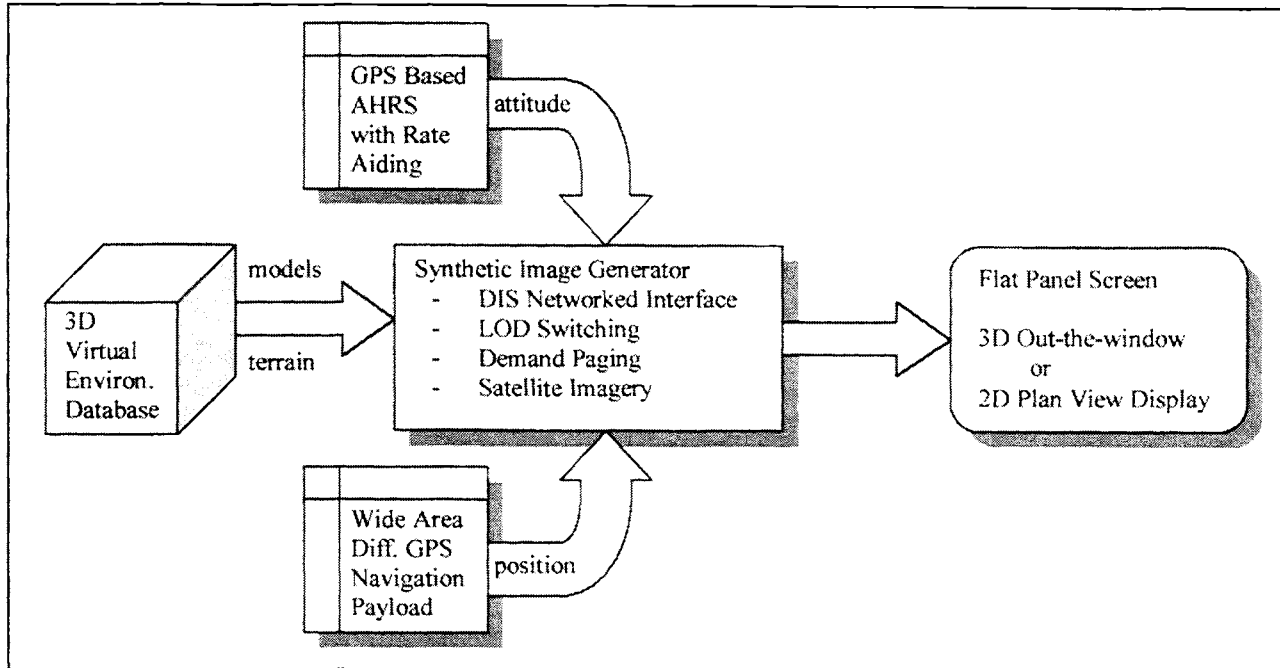
### 2.1 Navigation: Position, Velocity, and Attitude

In order to place the virtual aircraft at the appropriate position and orientation in the virtual environment, sensor system outputs of the aircraft's position, velocity, and attitude must be available to the graphical render engine in real time. Differential GPS navigation and attitude determination is a low cost option for obtaining these states in the aircraft. The geographical extents covered in aviation applications are well served by wide area differential GPS (WADGPS) systems for real time positioning. Likewise the global coverage of GPS allows a user with multiple antennas to compute an attitude solution at any position within aviation capability.

The FAA is specifically developing the Wide Area Augmentation System (WAAS) for seamless, high integrity navigation in all phases of flight. Successful prototype signal-in-space flight tests have already been implemented and carried out by the FAA Technical Center with Stanford Telecommunications [13] and Stanford University [5]. The WAAS uses a geosynchronous satellite broadcast channel for continental scale coverage and high data link availability. In cooperation with the FAA and industrial representatives, RTCA, Inc. has written the WAAS Minimum Operational Performance Standards [12] (WAAS MOPS) to specify the WAAS signal structure and the application of the differential corrections to stand alone GPS measurements. The WAAS navigation payload includes a GPS receiver capable of receiving an additional 250 bps WAAS data stream from a geosynchronous satellite. The WAAS message stream is unpacked to form differential corrections for satellite clock and ephemeris errors as well as a differential ionospheric correction. These corrections are then applied to the standard GPS measurements for each satellite in view. The differentially corrected signals form the basis for the navigation solution and its associated confidence interval. This navigation solution, which contains both position and velocity, is fed directly to the image generator in the form of WGS84 coordinates.

Synthetic vision applications are very sensitive to errors in attitude determination because the entire field of view is controlled by the orientation of the viewpoint. Low cost AHRS based on carrier phase GPS are now incorporating rate or inertial aiding [7,8] to provide the accuracy and noise performance necessary to drive cockpit displays. Strapdown AHRSs are also shrinking the antenna baselines needed to achieve sub degree accuracy in all three directions [9]. The resulting attitude solution in body coordinates can be input directly to the image generator. Adequate systems require an update rate on navigation and attitude of at least 10 Hz [1] in order to reach suspension of disbelief for the operator. Of course the faster the better, but in any case if the sensor inputs do not update at the frame rate of the display system a model of the aircraft is propagated forward to update the synthetic vision viewpoint to maintain the 60 Hz visual update rate.

In flight, updating the aircraft model which must reside in the same coordinate system as the terrain database requires a transformation of the navigation solution from WGS84 coordinates to the local coordinates of the database. This does require some additional but necessary computation. The virtual environment database needs sufficient fidelity to fully



**Figure 1. The image generator ingests the 3D-database and real time data from position/attitude sensors to determine the viewpoint for the synthetic scene and pushed onto graphics card for rendering.**

correlate the sensor states with the terrain in the rendered image. Spheroidal coordinate systems such as WGS84 cannot provide that level of fidelity and the database must use local coordinate system based on a geoid.

### 2.1 Distributed Interactive Simulation Protocol

As briefly mentioned above the sensor states may need to be propagated forward some number of epochs as the render engine may update faster than the navigation and attitude updates are available. Our implementation abstracts the input linkage from the sensor systems to the render engine. We utilize the IEEE standardized [14] Distributed Interactive Simulation (DIS) protocol for inserting new sensor updates into the virtual environment. This DIS protocol exists at the application layer of the communications stack. It is built upon User Datagram Protocol (UDP) packets called Protocol Data Units (PDUs). These PDUs are well defined in the DIS standard and include necessary elements such as kinematic model parameters as well as graphical information in the form of texture and polygonal models.

One added benefit to the DIS approach is that multiple views from the same entity can be added simply by plugging in another render engine. Another, and we believe more powerful benefit, is that multiple entities can appear in the same virtual environment exactly as they do in the physical environment. An entire suite of functionality including multicasting, loss tolerance, forward state prediction, and communication protocol is already defined and implemented by the VizSim community. DIS is abstracted from the physical layer so that the network could be a high speed wired intranet or just as easily a low bandwidth wireless LAN so far as the application is concerned. In fact, DIS is expressly designed for a heterogeneous network where some paths have much greater bandwidth than others. This flexibility has direct benefits for future applications that include multiple entities (other air traffic).

An additional feature of the DIS network solution is the ability to log PDU packets being transmitted. By logging the state information in PDU form, the entire flight can be captured for playback. This is particularly useful for experimental or



testing purposes in early development of operational systems to replay and view from any vantage point using a six-degree-of-freedom pointing device.

### 3. IMAGE GENERATION

The image generator is the core of the cockpit display. As shown in Figure 1 it ingests the terrain database and aircraft models and accepts input from the navigation and attitude payloads. One or more graphics engines slaved to the image generator can then render images to the screen with one viewpoint for each engine. The baseline mode is a single 3D out-the-window view out the front of the aircraft showing the terrain and cultural features in the environment. Adding an additional graphics engine or switching modes to the plan view display provides the pilot with a top down moving map. This approach is very appealing as it can immediately utilize advances in hardware performance offered by the industry as it continues to improve.

The image generator is currently hosted on a PC platform. It requires a graphics card that supports the DirectX API and can utilize either the PCI or AGP bus as the graphics pipeline. A host platform consisting of a 450MHz Pentium II processor with 512Mb of RAM and a Canopus Spectra 2500 with 16Mb of VRAM consistently maintains 60Hz frame rates for fields of view covering a 50 km radius at velocities up to Mach 3. Note that these frame rates are significantly higher than the update rates that the navigation/attitude sensors support. An important consideration in the software development of the image generator was the graceful degradation in performance as either the host platform is scaled back or the fidelity of the database is scaled up. We have already implemented laptop PCs rendering 3D out-the-window views of the virtual world.

DirectX and OpenGL capable graphics cards are designed to render polygonal shapes as triangular patches in hardware. Textures may also be stored in memory and applied to these polygons as part of the hardware processing of the render engine. The image generator is responsible for pushing the textures up into video memory and then pipelining the polygonal shapes from the terrain database up to the graphics card using in our case either the PCI or AGP bus under the DirectX protocol. As such there is a balance that needs to be struck to ensure that the central processor and the graphics chip set are reasonably well matched in performance. The CPU must index and arrange the terrain polygons based on the current aircraft state and the graphics card is responsible for rendering the textured polygons.

The importance of the level of detail (LOD) switching and demand paging now becomes clear. LOD switching aids in balancing the load between the CPU and graphics card. If the current field of view has too many textured polygons for the graphics card to handle then the CPU can switch some of the far field regions to lower resolution and thereby reduce the number of polygons being rendered. This LOD switching is an improvement over the simplest form of switching which is the insertion of a clipping plane that limits the field of view. To accomplish LOD switching the image generator and database must be intimately coupled as not only does the polygon resolution switch but also the textures applied to them. For platforms that have memory limitations the image generator can invoke demand paging of regions of the database that are coming into the field of view. Knowing velocity states allows the image generator to look ahead in the database to see if upcoming regions are loaded into memory and ingest them in the event that they are not.

The core process in the image generator is to continuously update the viewpoint of the virtual aircraft at each epoch. Under the DIS paradigm the aircraft state is propagated forward from the last PDU update. In the host platform this is nominally never longer than 20 msec. The local region of the terrain database which is stored in memory is then interrogated to assemble the textured polygons for pipelining up to the graphics renderer. If other entities besides the host aircraft are in view they are also updated and their virtual representation is pushed up the graphics pipeline.

There are many other features available from VizSim applications that are probably not useful in the cockpit such as variable visible spectrum, DirectSound output, atmospheric emulation of fog and clouds, and six degree of freedom input device compatibility. However, the existence of these features demonstrates the head room available in this implementation which can be converted into other more pertinent features such as situational awareness symbology, tunnel-in-the-sky guidance [2], and traffic information.

Neglecting the navigation and attitude platforms, the hardware necessary for the image generator is currently on the order of \$4000. Once available, projections for WAAS and AHRS system prices are in the ones of thousands of dollars. This places hardware costs for first generation integrated cockpit displays at around \$10-15k plus installation. Our expectation on cost trends is that they would follow the precedence set in the rest of the VizSim market: continual improvement in the price/performance point at market. There are also certification and recurring costs associated with any avionics systems which we do not have sufficient experience to comment on with the exception of generating and updating the 3D databases.

Because of the proliferation of the underlying resources (elevation data and satellite imagery) and competing utilities for database construction we also expect the database generation costs to decline. The ultimate goal for a cockpit display is the integration of the attitude/navigation/renderer into a single embedded system which could drive a flat panel display

#### 4. TERRAIN AND IMAGERY RESOURCES

Terrain information is typically available in raw form as digital elevation maps (DEMs) or digital terrain elevation data (DTED) at various levels of resolution. In order to create a terrain database that can be rendered on a computer this information must be converted into polygonal surfaces that represent the surface of the terrain. These polygonal elements are well suited to image generation as the industry has optimized graphics chip sets to handle them in hardware. This optimized hardware is now readily available on PC platforms. One of the most important considerations in this conversion is the need for extreme efficiency in both the size and accessibility of the resulting database so that the image generator can ingest and render the virtual scene. For even medium fidelity terrain information, say 125m, post, and a reasonable coverage area for aviation, say 5° x 5° cells, the raw data for elevation information alone can run into the hundreds of megabytes in size. We defer the discussion of our conversion process and the resulting database to the next section.

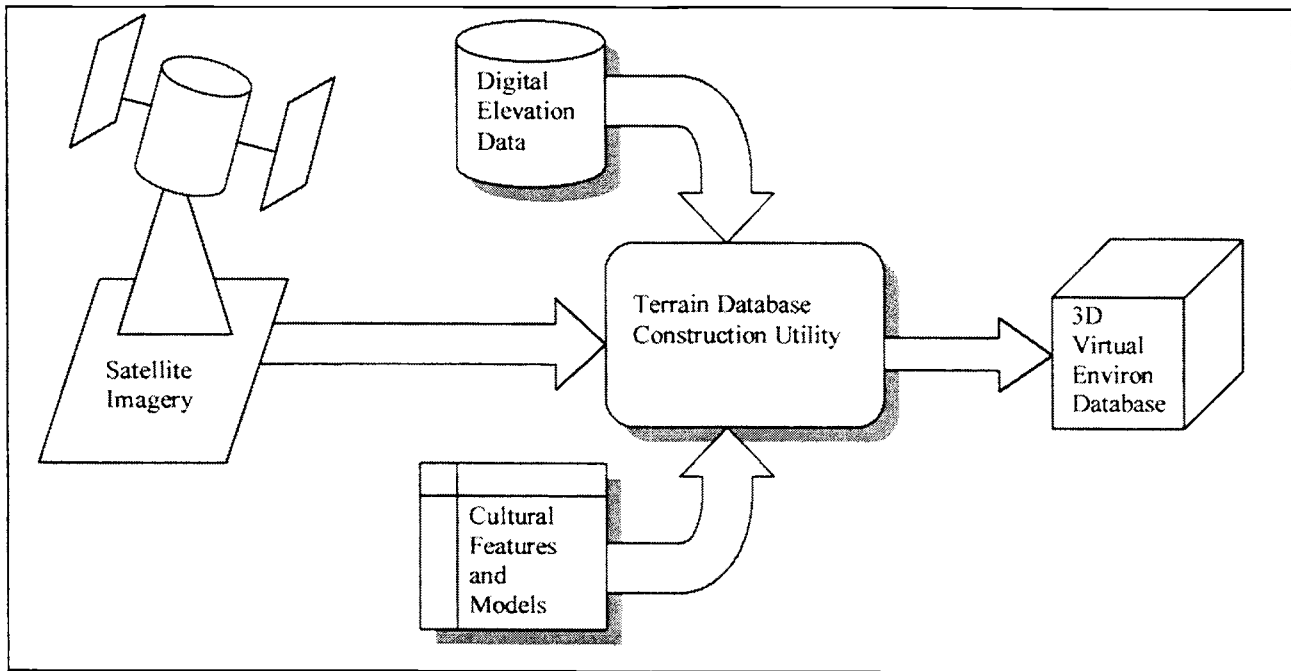
Another important part of generating a convincing image for the user is the texture overlay on the terrain surface. Our approach is to apply geographically specific satellite imagery on the terrain polygons. By overlaying real imagery that is coordinated directly to the terrain data, the scene that is eventually rendered by the visualization engine has a very high degree of realism. The sources for satellite imagery are increasing rapidly and we anticipate that the vast majority if not all of the national air space (NAS) will be covered and in fact frequently and continuously renewed with world wide coverage soon to follow. Even at this time custom imagery for any particular location can be ordered directly off of the World Wide Web from commercial vendors.

Our secondary approach to overlays follows the standard approach of texture mapping each surface. Here synthetic textures are created and used in place of the satellite imagery where it is not available. In either case, real imagery or synthetic textures, rendering of terrain polygons is treated exactly the same by the render engine as the database is responsible for arbitrating the virtual world including the overlays.

There are several sources of elevation maps in digital form. NIMA outputs Digital Terrain Elevation Data (DTED) at various levels of resolution, typically only the lowest level is openly available. The USGS supplies elevation data in the form of Digital Elevation Maps (DEMs) which can be purchased on the web. ERDAS Imagine, CTDB, and other formats commonly used for GIS applications are also available commercially. We utilize primarily DTED, CTDB, and soon DEM data formats as the raw elevation resource for constructing the terrain database.

Satellite an aerial imagery is the other commodity we rely on for generating high fidelity databases. The commercial availability of high-resolution geographically specific imagery is growing. Individual providers are already offering photo to order imagery purchases over the web. We have already worked with products from ImageLinks in the 10-50m range. Although not openly available classified customers also have access to high resolution (1m) satellite imagery from NIMA. The important and necessary condition of the imagery is that it be geographically specific, that is ortho-rectified and pin-pointed to a reference in a standard coordinate system. This real imagery can then be draped onto the terrain surface and replace older approaches using synthetic textures.

For application specific information such as that needed for aviation, cultural features may be inserted into the database as explicit objects. An example is the runway and markings at a specific airport. These types of features can be created in a number of different formats. The industry standard is the OpenFlight format from MultiGen, Inc. but many other new and heritage formats such as VMAP, AGRD formats. The importance of the OpenFlight format is that it also supports models for dynamic entities such as aircraft. We invoke the OpenFlight format to ingest cultural features generated from graphics and modeling tools available in the industry as well as the ARDG format for cultural features on the plan view display. Although some models are already available commercially, most of the creation of cultural features and object models is carried out on a specific project basis. We see this approach eventually converging to a pool of models openly available to the community.



**Figure 2. The database construction process assembles a 3D environmental database suitable for input to the image generator from three basic resources: digital elevation data, geospecific imagery, and designated cultural features.**

## 5. DATABASE CONSTRUCTION

The elegance of our terrain database construction which uses geographically specific imagery is that it provides a real world source for constructing cultural features such as buildings, road networks, vegetation, bridges, even power grids if the image resolution is high enough. We are currently developing a palette base utility for constructing 3D terrain databases that integrate these three elements, digital elevation maps, geographically specific imagery, and automated cultural feature generation into one umbrella application. This utility will be capable of directly feeding the image generator during database design for viewing the construction on the fly. As such it will provide a suitable facility for mission planning, mission briefing, and mission rehearsal. The current database construction utility functions as a wizard type application which allows the user to enter raw data resource file names and then automatically generates the resulting database.

The three fundamental components of our database construction are the ingest of the digital elevation information, ingest of bitmap based geographically specific imagery, recognition and conversion of imagery details into cultural features (not yet available), and export to any of four database formats: MDB, MDX (both MetaVR specific), CTDB, and OpenFlight. To support the highest levels of image rendering, the MDX database format supports hierarchical levels of detail with switching controlled by range thresholds on both terrain and textures. This allows the central processor in the image generator to match the rendering capabilities of the highest end graphics cards that have 180Mpixel fill rates. The database format also allows terrain information to be loaded by the render engine incrementally using look-ahead demand paging.

The most important consideration is full correlation between entity state coordinates and the terrain database. As noted by Barrows [2] and Ourston [10], lack of correlation in some current implementations is unacceptable, particularly in exercises as the virtual scene is not convincing and detracts heavily from the qualitative performance of the simulation. MetaVR's

MDB and MDX database formats are fully correlated terrain databases that eliminate any such anomalous behavior. By using a local geoid coordinate system and transforming the WGS84 coordinate aircraft states in the image generator the entities are guaranteed to be consistent with the terrain. This of course does not mitigate errors due to the level of resolution for the terrain elevation data.

The raw elevation data is tessellated into triangular patches [11] using a Delaunay triangulation where the vertices of the triangulation are the locations of the elevation data in the local coordinate system. This is a fast routine and is computed repeatedly on sub sampled intervals to generate various levels of detail. The geographically specific imagery is then cut into patches corresponding to the levels and indexed to the appropriate triangular surfaces.

The incorporation of cultural features is currently supported on an internal format (MetaVR CLT). Development of a VMAP capable module is in process to support interoperable ingest/export of cultural features. This will provide a clear path for an imagery to cultural feature format that is sharable. We envision the downstream capability, given adequate imagery, to professionally construct and modify scenarios for training and planning.

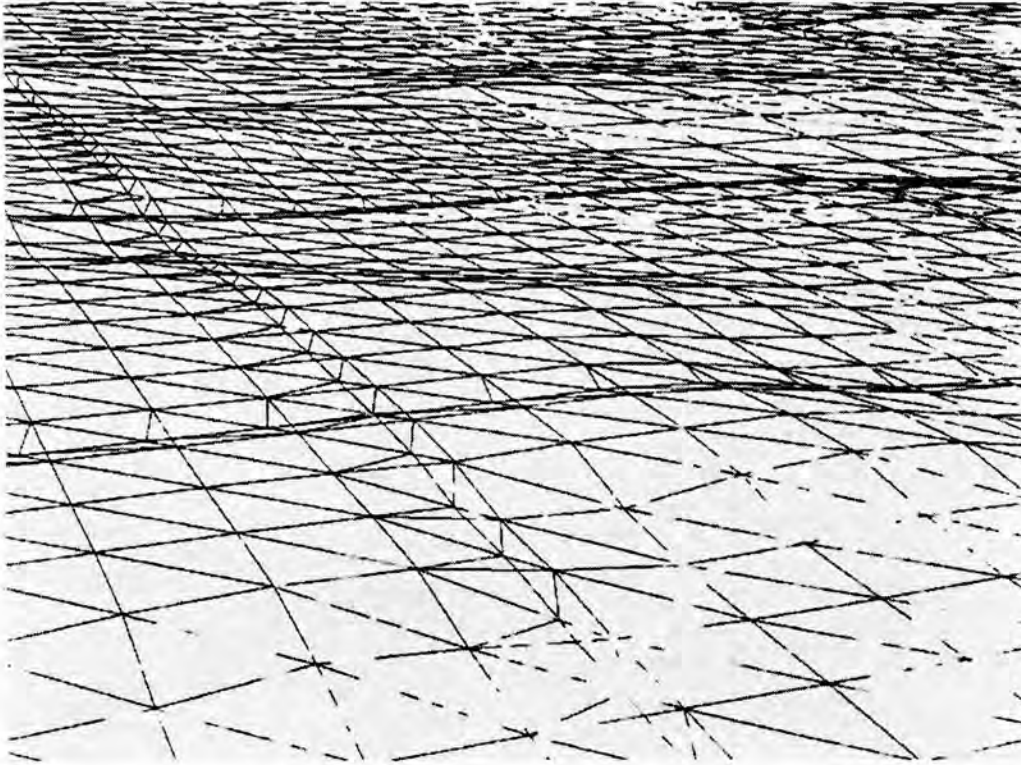
The 3D virtual environment database also contains the models of physical entities, e.g. aircraft. These are critical for the image generator as they provide the mechanism by which the virtual scene can be propagated at very high rates (60 Hz) for rendering to the screen. At each epoch, if new state information is not available from the navigation or attitude subsystem, the states are propagated according to the properties specified in the aircraft model. This of course leads to models which are specific to the type of aircraft being simulated, e.g. Cessna 152 versus Boeing 737, in order to capture the pertinent physical properties. The inclusion of such models is particularly important if one desires to render other aircraft in the virtual scene as we describe in the next section.

The following four figures depict the underlying terrain database and its full rendering with the satellite imagery overlay. Figures 3 and 4 show a relatively flat region with the satellite imagery capturing the road network and surrounding buildings. The contextual information in the satellite imagery provides very strong situational awareness that is not available in texture mapping and extremely user intensive to design by hand in graphical models. The pair of images in Figure 5 is the wire frame and full imagery of a coastal region in Alaska, Prince William Sound, and demonstrate the LOD capability. The geographically specific satellite imagery is 25m at highest resolution. Figure 6 is a screen capture of the 2D plan view display mode of the graphical render engine. It is most useful in applications that require a moving map display with a great deal of cultural information and possibly other traffic information for situational awareness.

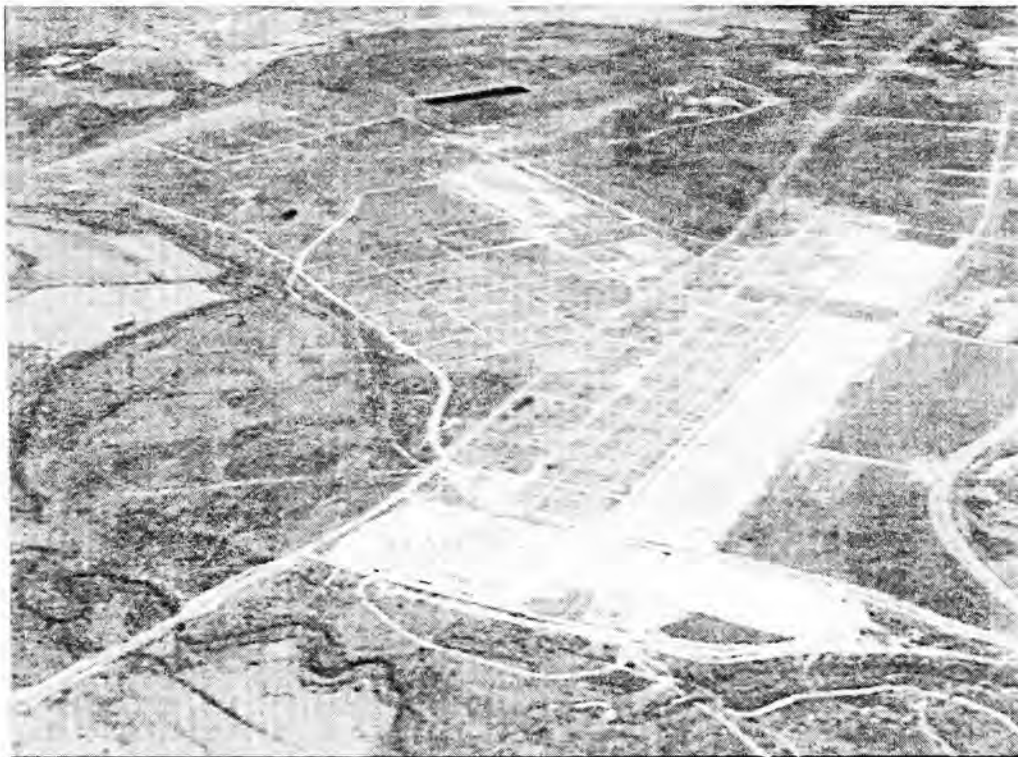
## 6. POTENTIAL FOR COLLISION AVOIDANCE APPLICATIONS

The network capable image generator described in Sections 3 and 4 provides the possibility of displaying other entities on the cockpit display to realize a CDTI. That is, given a low bandwidth communications channel upon which other aircraft could transmit time tagged state information the display could render those aircraft on the display. The DIS protocol mentioned above is well suited for such an application because it codifies the packet format and content necessary to propagate entities in the virtual environment. Indeed this was its designated purpose in simulated training applications for the U.S. military where it originated.

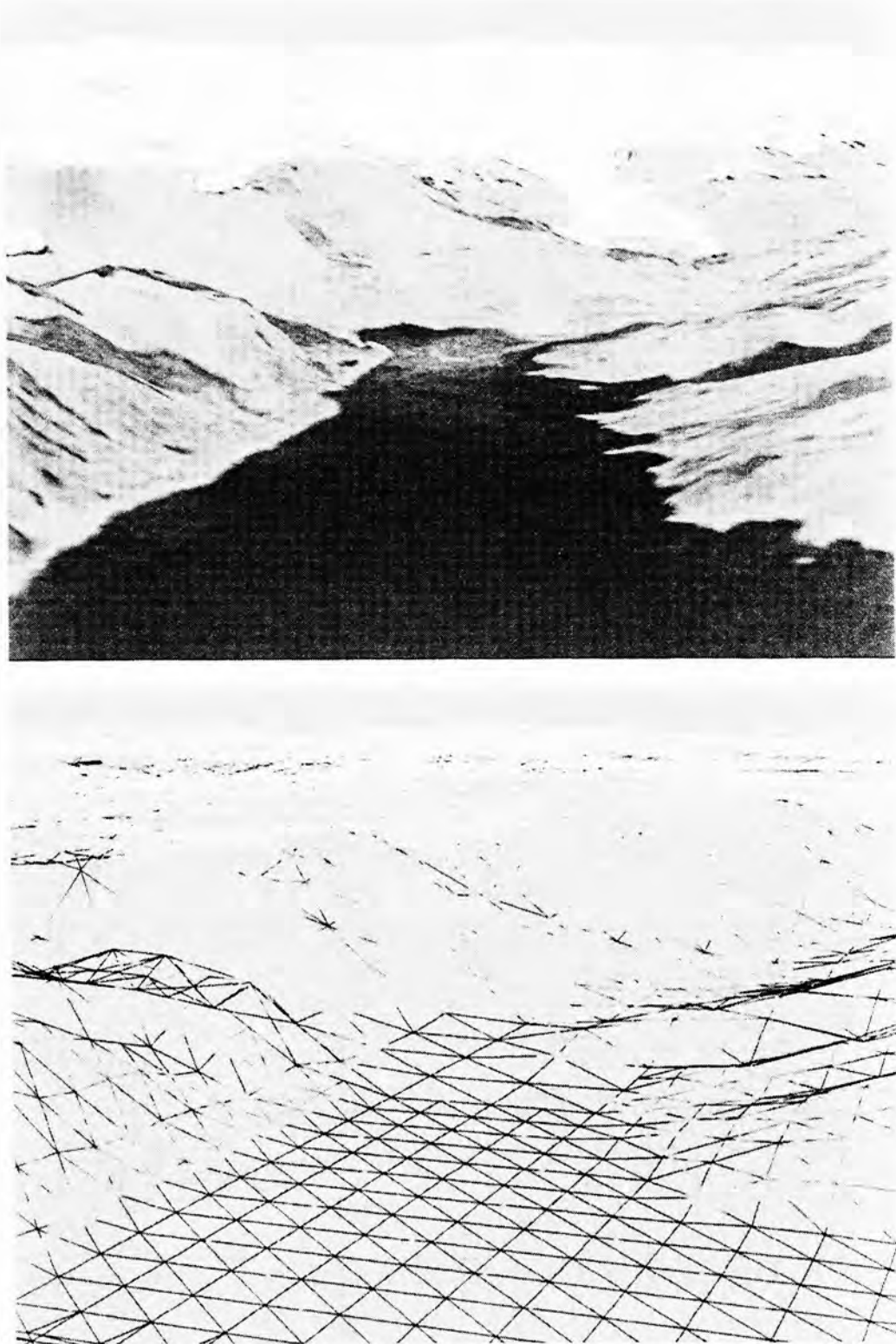
In the current application each aircraft would broadcast its identity, type, and state information over a given communication channel, eventually say the automatic dependent surveillance ADS-B data link. Gazit [11] gives general overview of this type of improved aircraft tracking and avoidance as well as the data link implications. The image generator would have an internal model of all other aircraft types or at least the capability to request and incorporate such a model. An instantiation of any one of these models may be propagated by the image generator for each unique aircraft broadcasting state information intermittently in the local region. The high level of fidelity already available in aircraft models reduces the bandwidth burden of updating other entities in the virtual environment. Unlike the host aircraft whose state information must be tightly coupled to the image generator, other traffic would require much lower update rates to realistically render their modeled entities.



**Figure 3.** The wire frame image of the underlying terrain polygons shows the varying levels of detail that are stored within the virtual environment database. This image is a screen capture from the real time image generator.



**Figure 4.** Geographically specific satellite imagery is applied to the terrain polygons in patches. Multiple patch sizes are encoded into the database for varying levels of detail. This image is a B/W screen capture from the real time image generator.



**Figure 5. Terrain with extreme amounts of structure can be accommodated with high fidelity. The bottom graphic is a wire frame image of the Alaskan coastline. On the top is the fully rendered scene with imagery.**



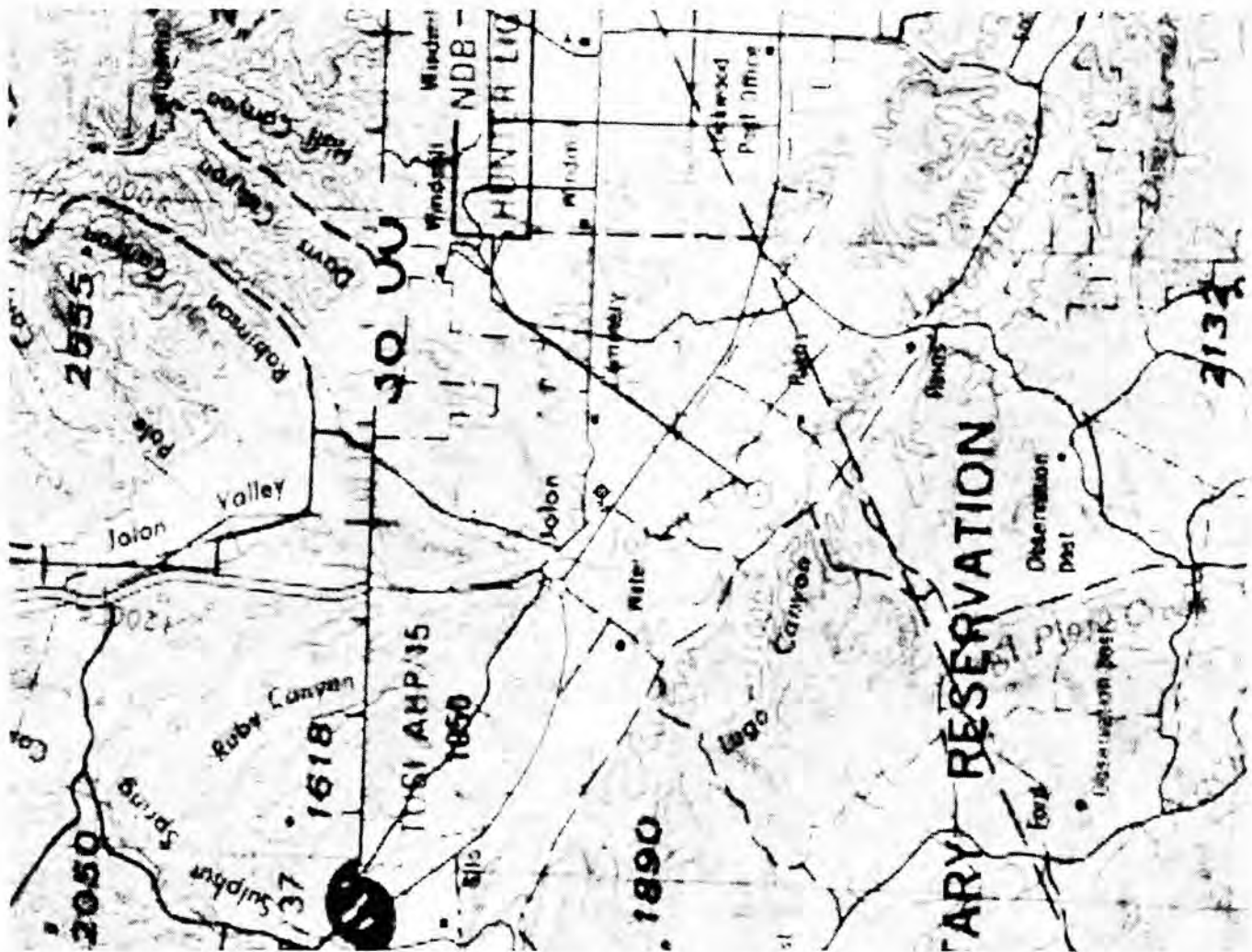


Figure 6. Top down views of additional cultural features are also possible using the plan view display mode. This image displays a moving map that is shifting underneath the aircraft's viewpoint.

## 7. CONCLUSIONS

The integration of fully capable low-cost image generators, high fidelity terrain databases, and differential GPS navigation/attitude determination provides a viable path to the production of 3D glass cockpit displays for aviation applications, and general aviation in particular. The end goal of such a system is ultimately to aid the pilot by providing enhanced situational awareness. We have described here the basic components of the underlying system: low cost/high accuracy navigation and attitude sensors that are reliable, fully capable image generators that degrade gracefully, and high fidelity virtual environment databases that have complete correlation with the navigation system.

In the fullness of time the FAA's WAAS will provide high accuracy navigation solution with integrity to all equipped aircraft. The continuous, incremental improvement in PC graphics capability will, we predict, push this type of prototype implementation into the realm of an embedded system. At that point the economies of scale would again dramatically reduce the cost of an integrated solution.

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**United States Patent** [19]  
**Migdal et al.**

[11] **Patent Number:** **5,760,783**  
 [45] **Date of Patent:** **Jun. 2, 1998**

- [54] **METHOD AND SYSTEM FOR PROVIDING TEXTURE USING A SELECTED PORTION OF A TEXTURE MAP**
- [75] Inventors: **Christopher Joseph Migdal**, Mt. View; **James L. Foran**, Milpitas; **Michael Timothy Jones**, Los Altos; **Christopher Clark Tanner**, San Jose, all of Calif.
- [73] Assignee: **Silicon Graphics, Inc.**, Mountain View, Calif.
- [21] Appl. No.: **554,047**
- [22] Filed: **Nov. 6, 1995**
- [51] **Int. Cl.<sup>6</sup>** ..... **G06T 11/00**
- [52] **U.S. Cl.** ..... **345/430**
- [58] **Field of Search** ..... 395/128-132, 395/125-127; 345/430

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*Primary Examiner*—Almis R. Jankus  
*Attorney, Agent, or Firm*—Sterne, Kessler, Goldstein & Fox P.L.L.C.

[57] **ABSTRACT**

An apparatus and method for quickly and efficiently providing texel data relevant for displaying a textured image. A large amount of texture source data, such as photographic terrain texture, is stored as a two-dimensional or three-dimensional texture MIP-map on one or more mass storage devices. Only a relatively small clip-map representing selected portions of the complete texture MIP-map is loaded into faster, more expensive memory. These selected texture MIP-map portions forming the clip-map consist of tiles which contain those texel values at each respective level of detail that are most likely to be mapped to pixels being rendered for display based upon the viewer's eyepoint and field of view. To efficiently update the clip-map in real-time, texel data is loaded and discarded from the edges of tiles. Attempts to access a texel lying outside of a particular clip-map tile are accommodated by utilizing a substitute texel value obtained from the next coarser resolution clip-map tile which encompasses the sought texel.

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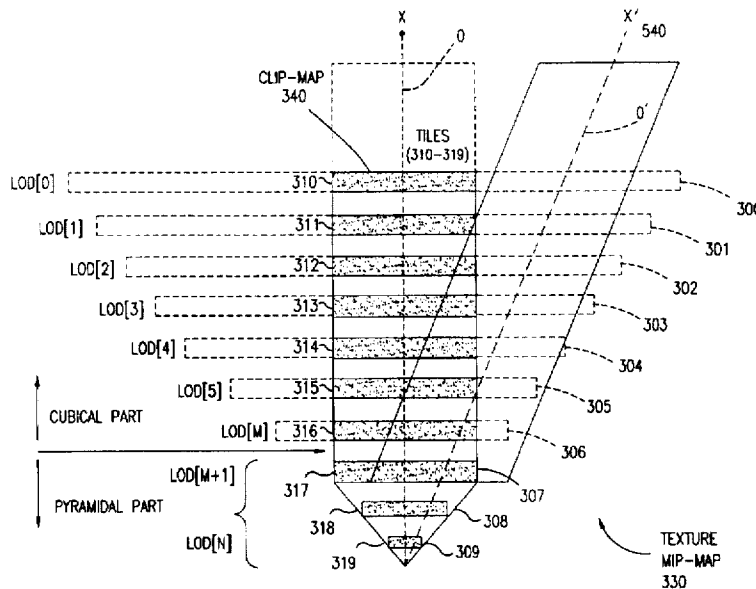
**FOREIGN PATENT DOCUMENTS**

0 447 227 A2	9/1991	European Pat. Off.
0 513 474 A1	11/1992	European Pat. Off.

**OTHER PUBLICATIONS**

Blinn, Jim, "Jim Blinn's Corner: The Truth About Texture Mapping," *IEEE Computer Graphics & Applications*, Mar., 1990, pp. 78-83.  
 Foley et al., "17.4.3 Other Pattern Mapping Techniques," *Computer Graphics: Principles and Practice*, 1990, pp. 826-828.

**28 Claims, 14 Drawing Sheets**



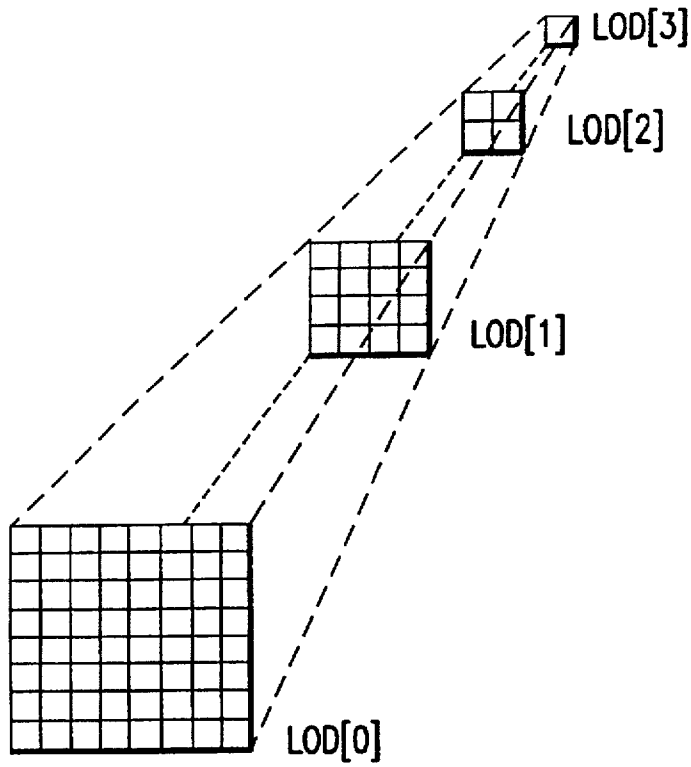


FIG.1A

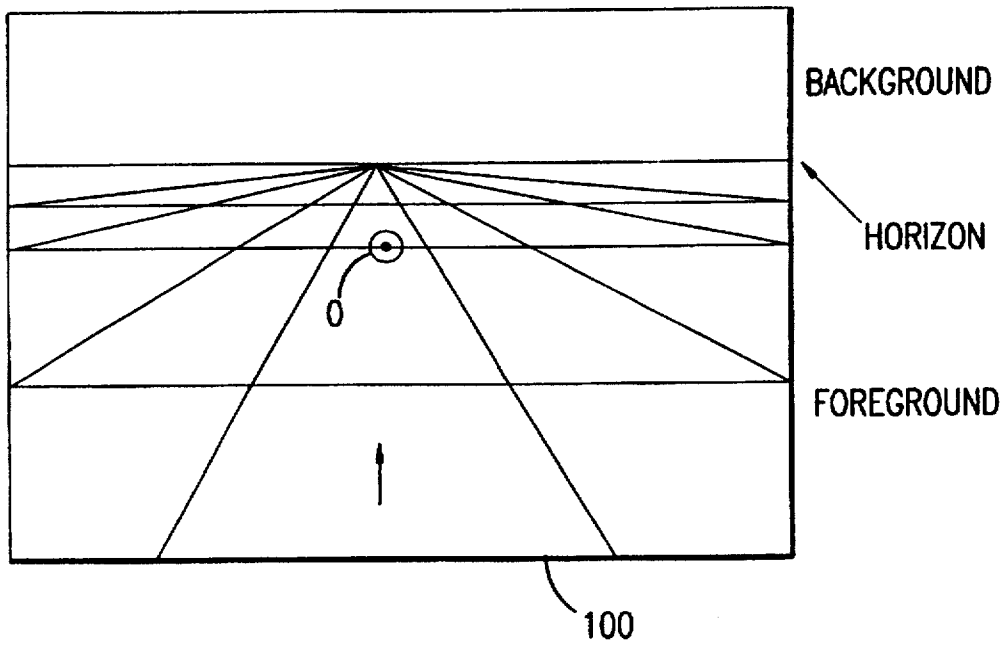


FIG.1B

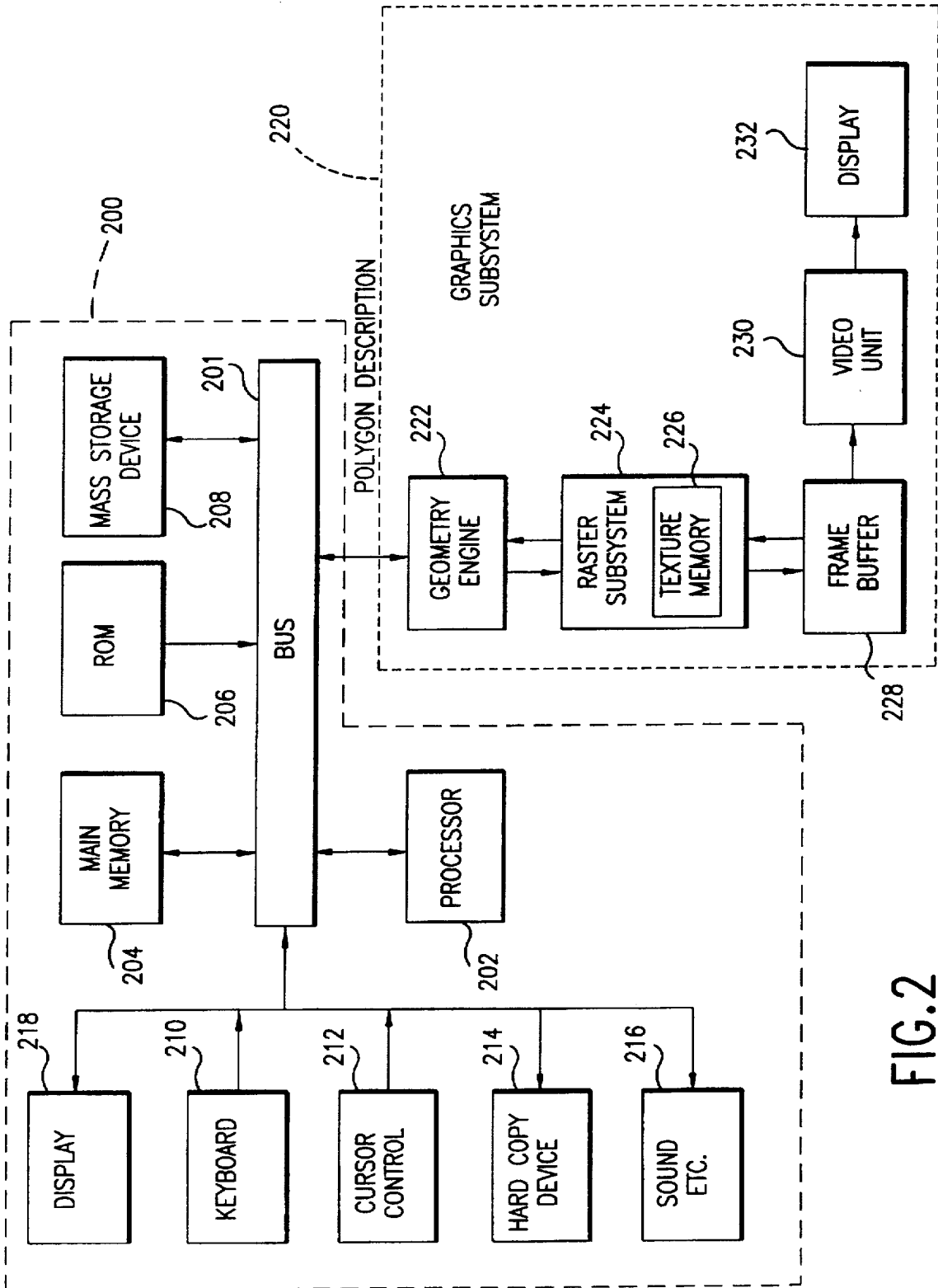


FIG.2

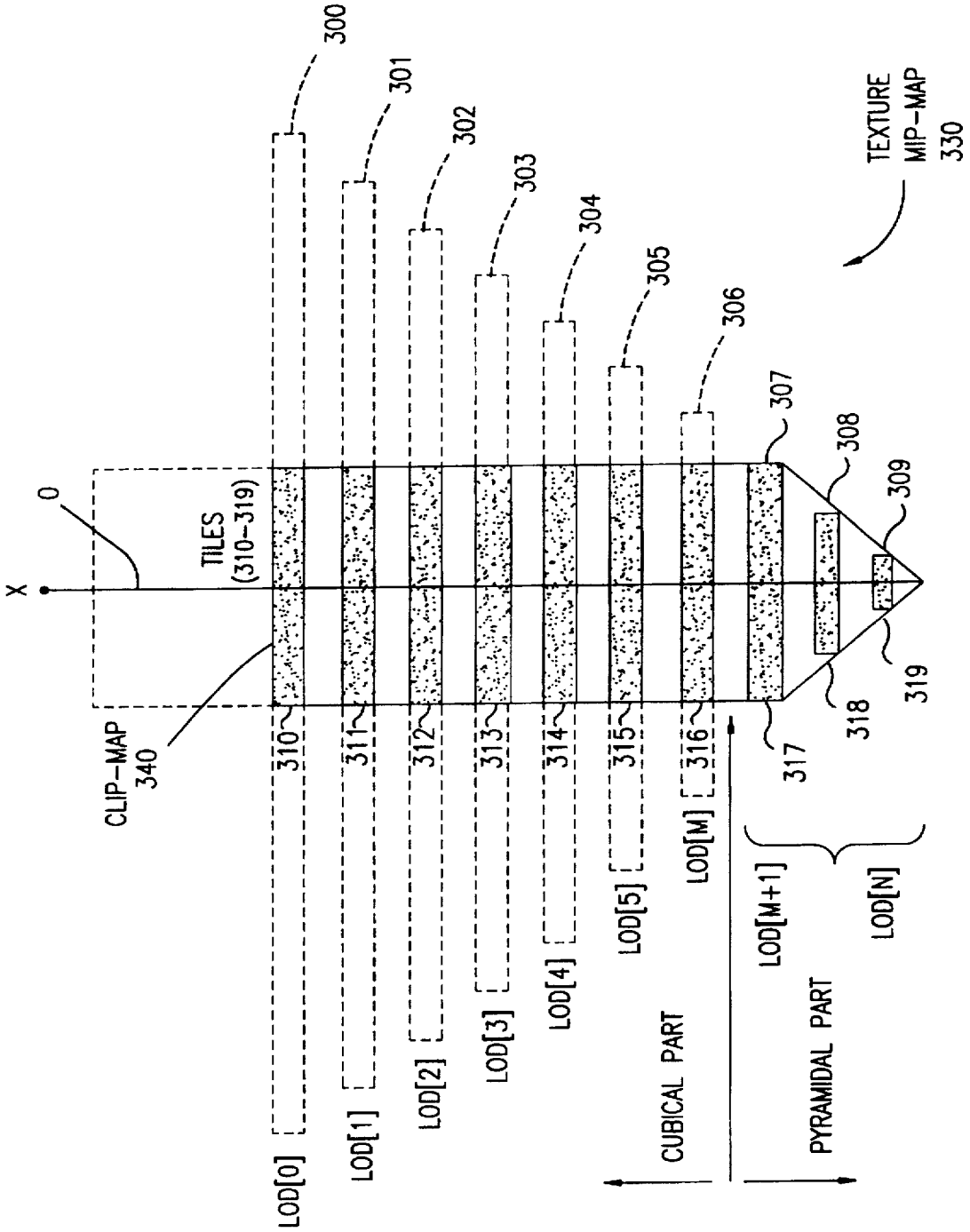


FIG.3

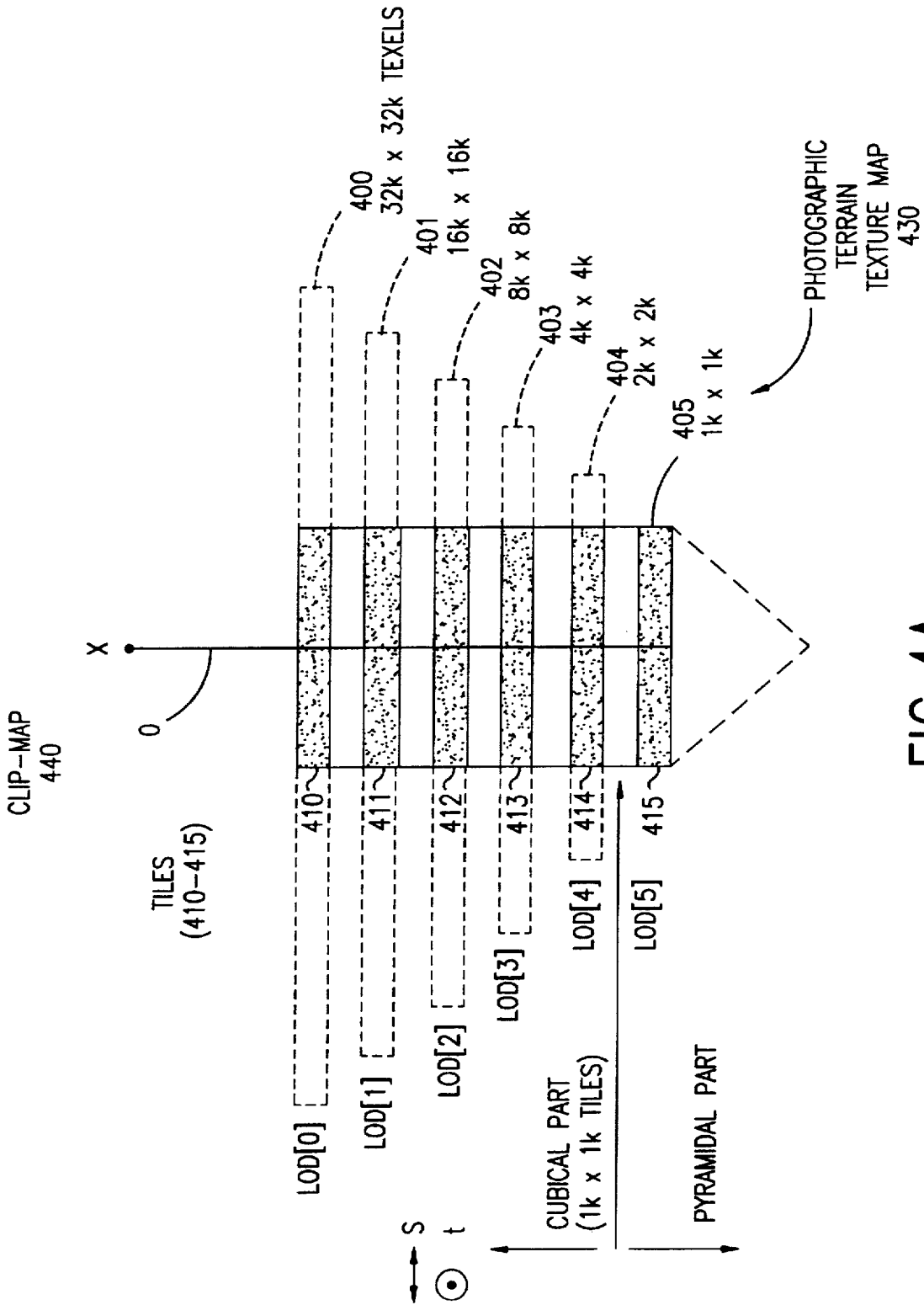


FIG.4A

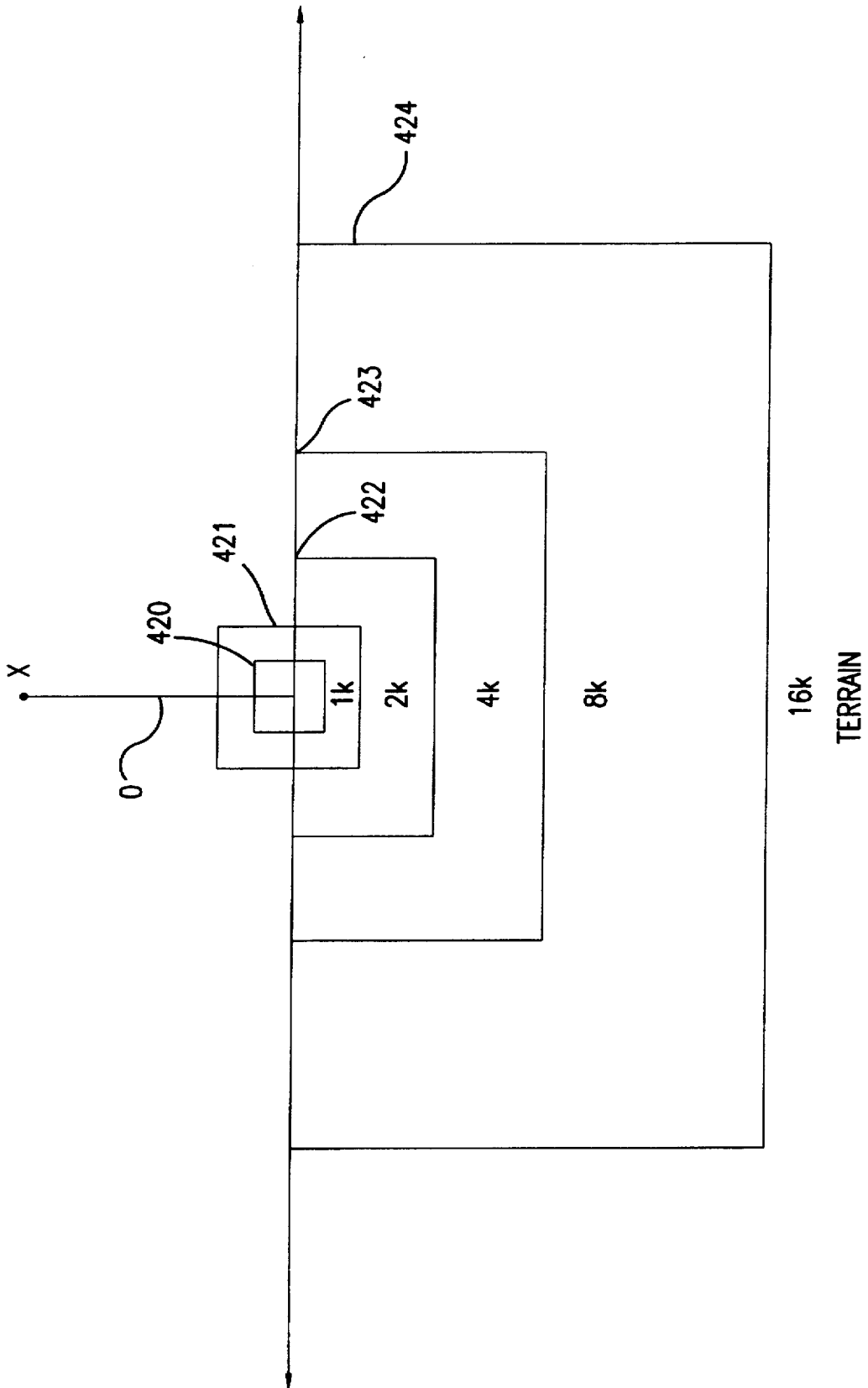


FIG. 4B

TERRAIN

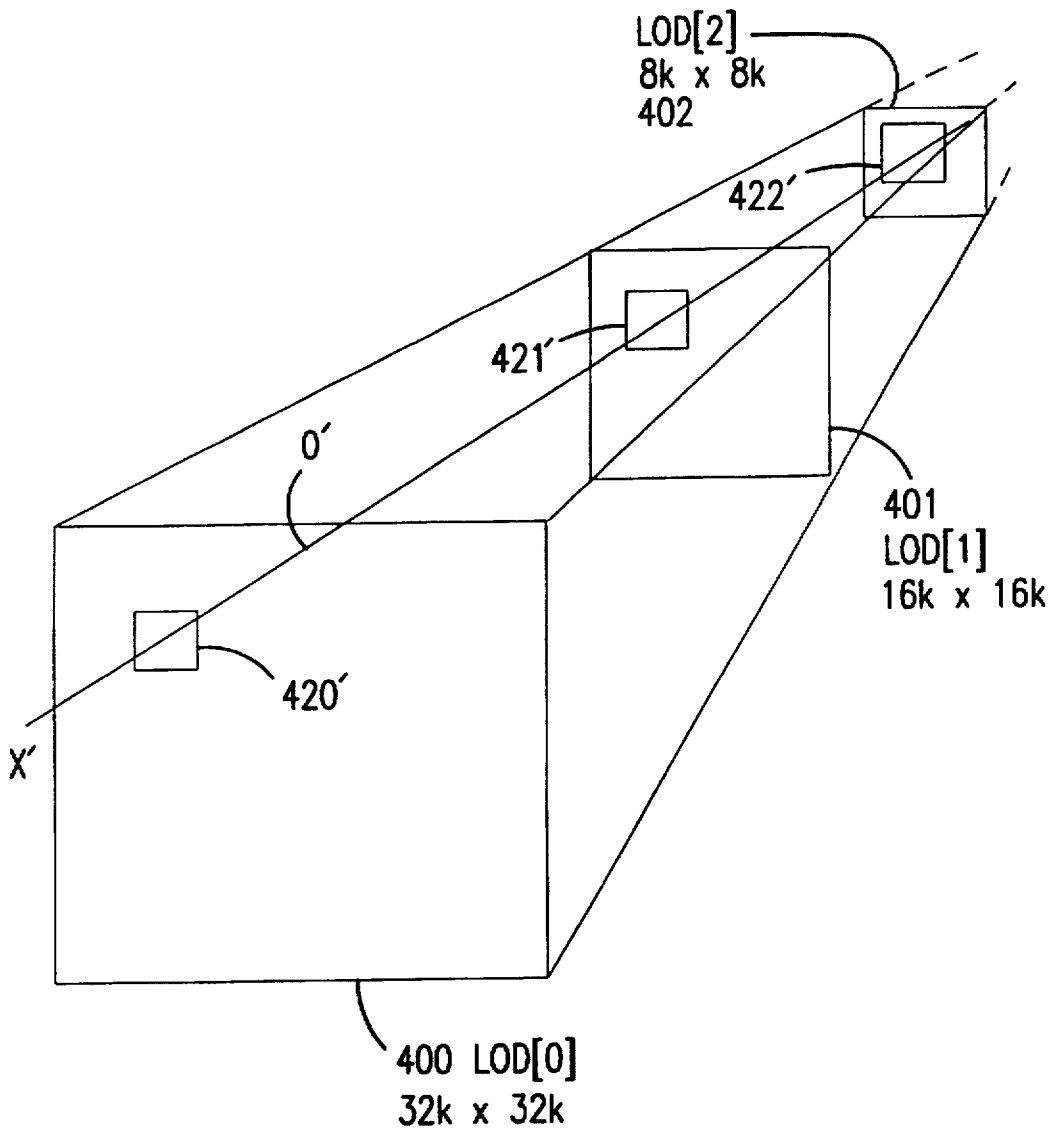


FIG.4C

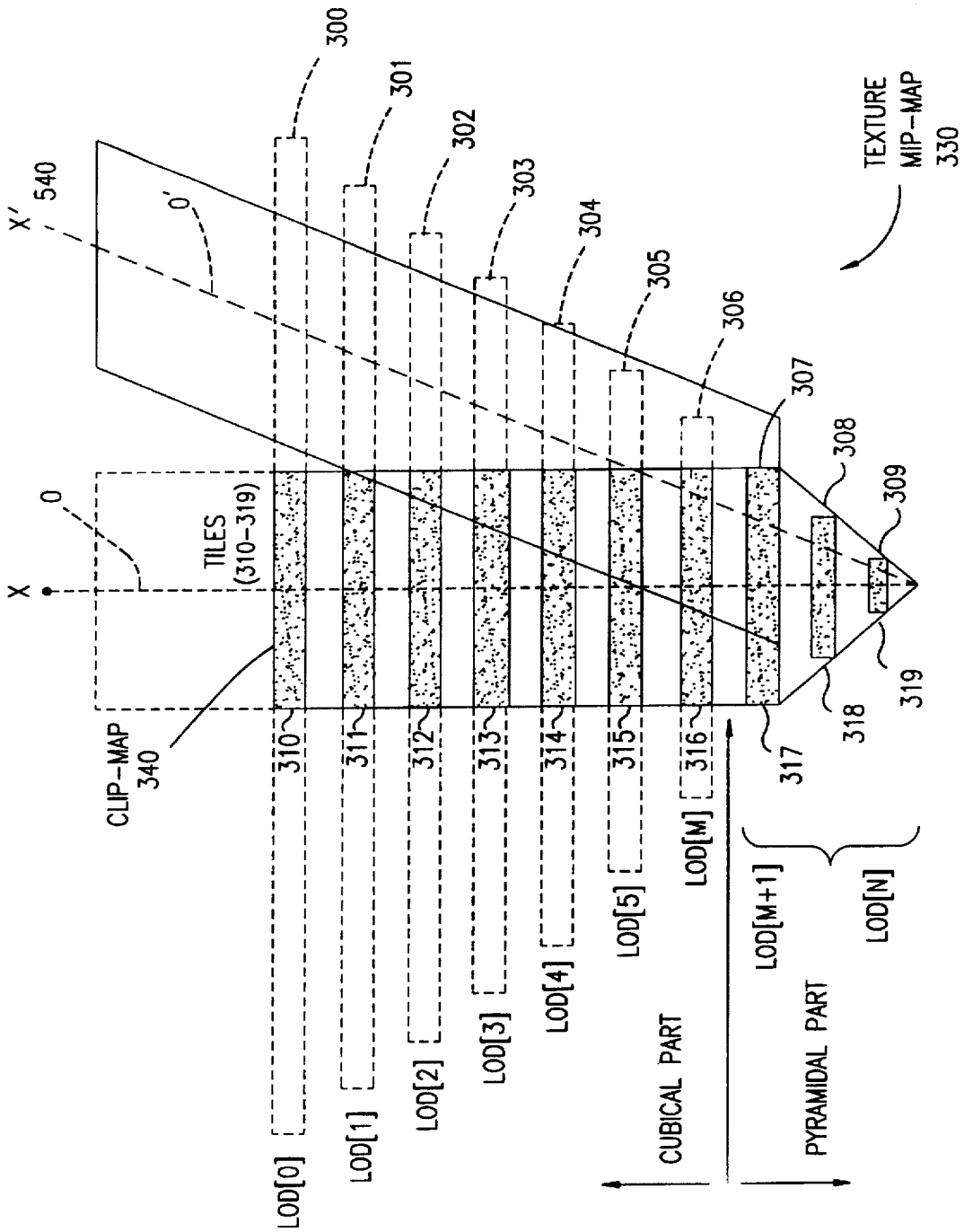


FIG.5



$\Delta X =$   
 ONE PIXEL-WIDTH (ONE TEXEL)  
 AT HIGH RESOLUTION

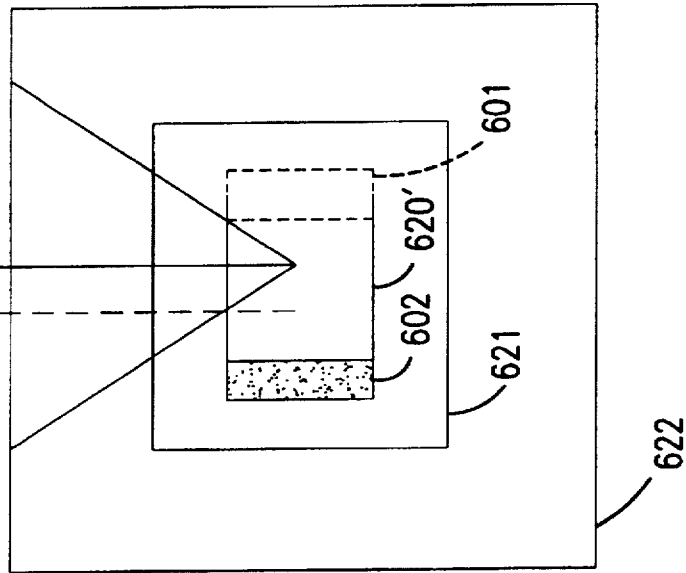
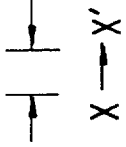


FIG. 6B

X (S CENTER, t CENTER)

0

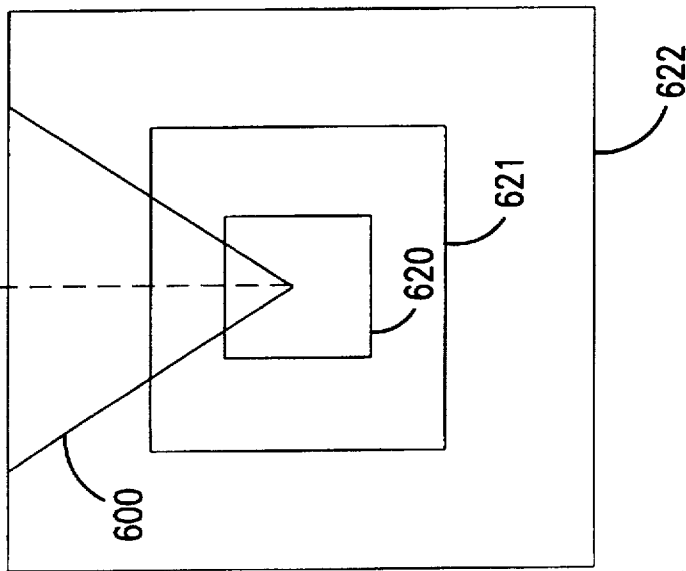
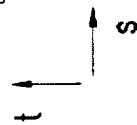


FIG. 6A



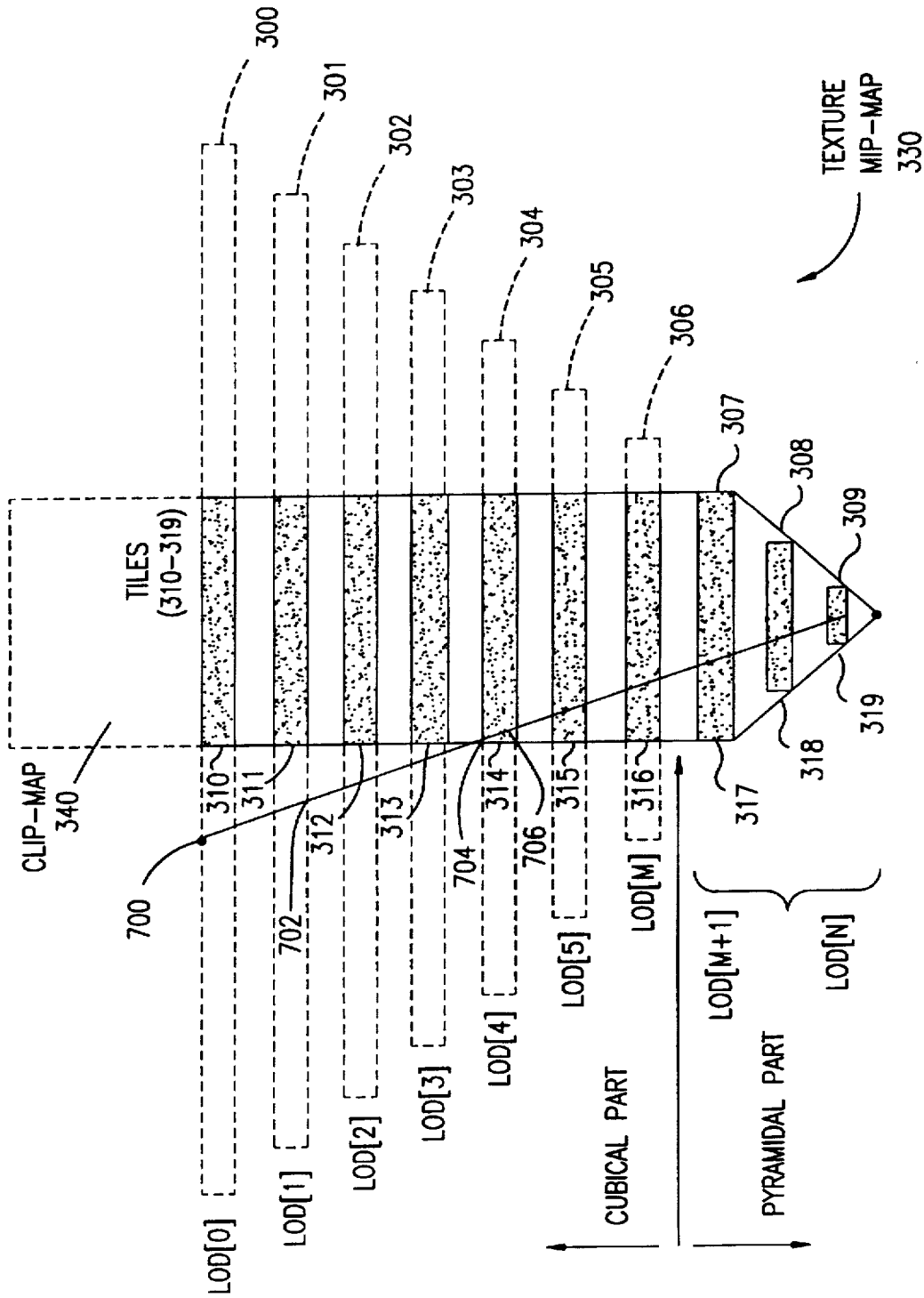


FIG. 7

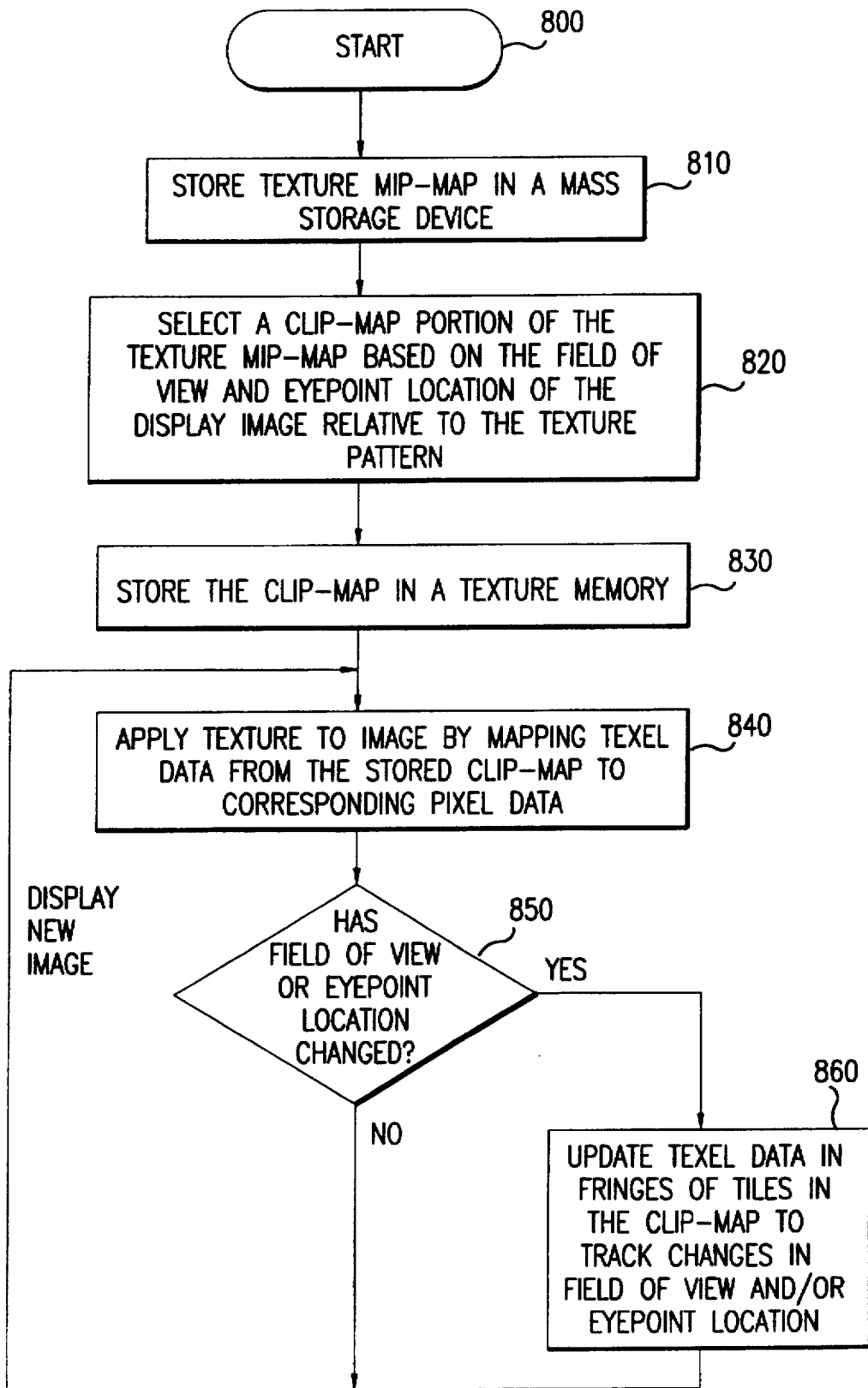


FIG.8A

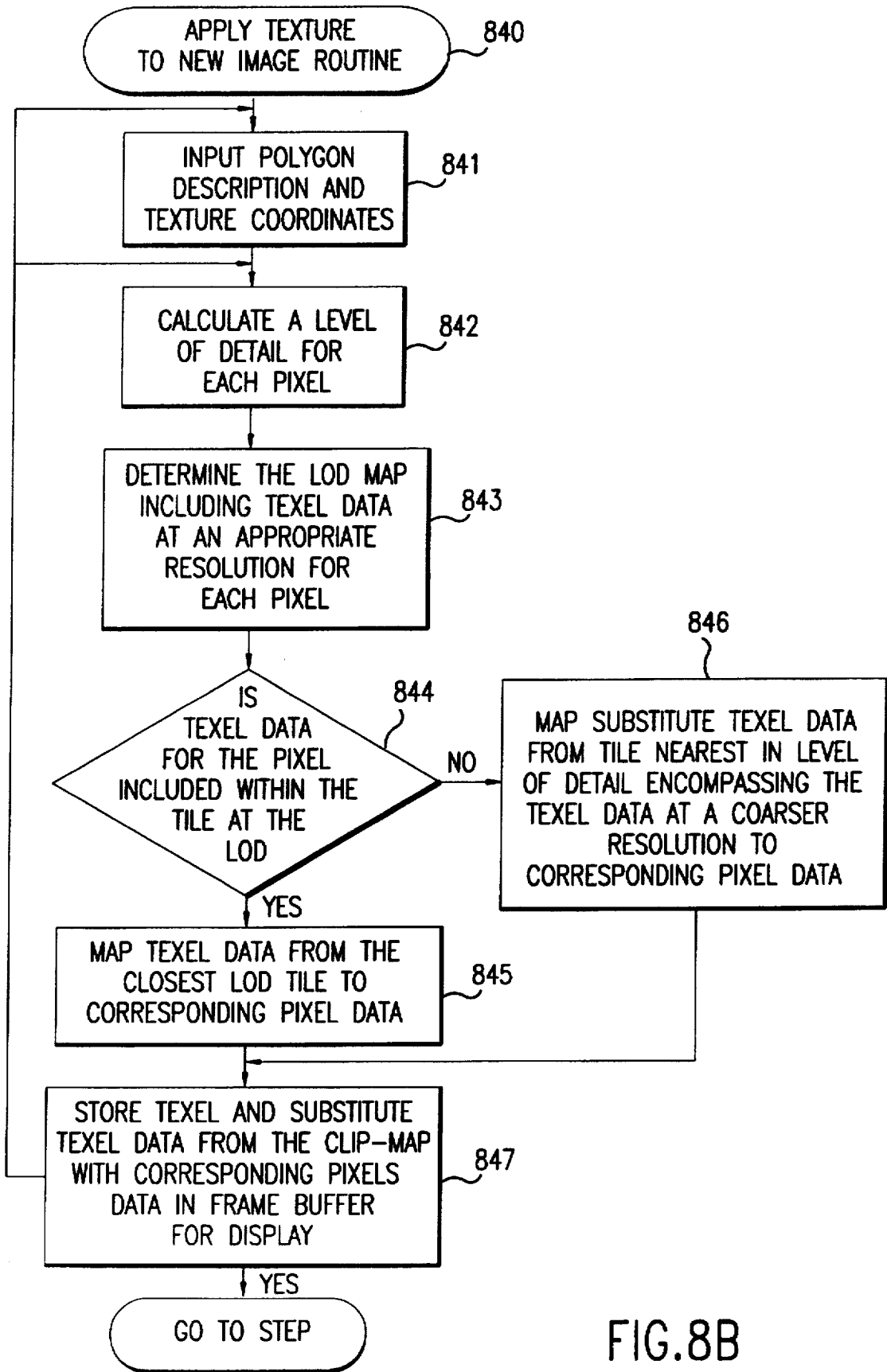


FIG.8B

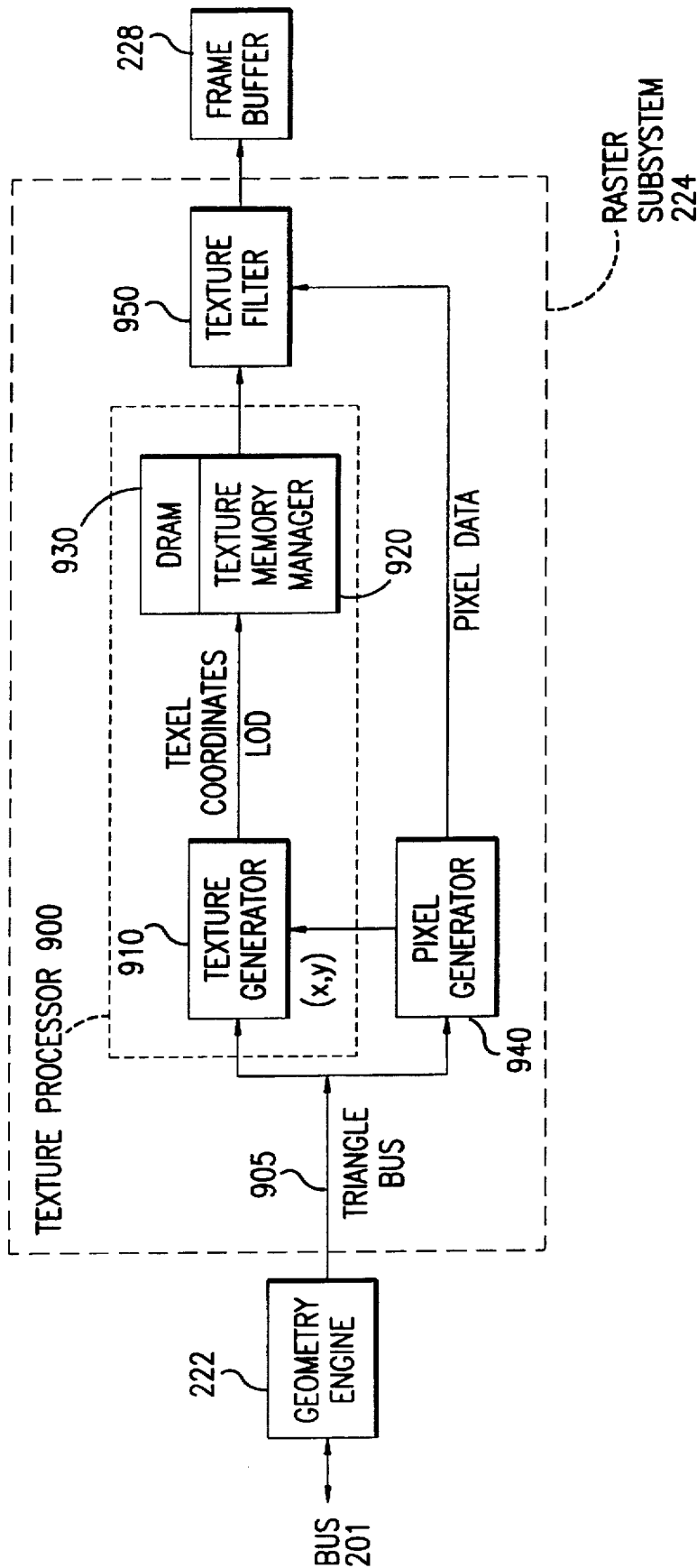


FIG.9

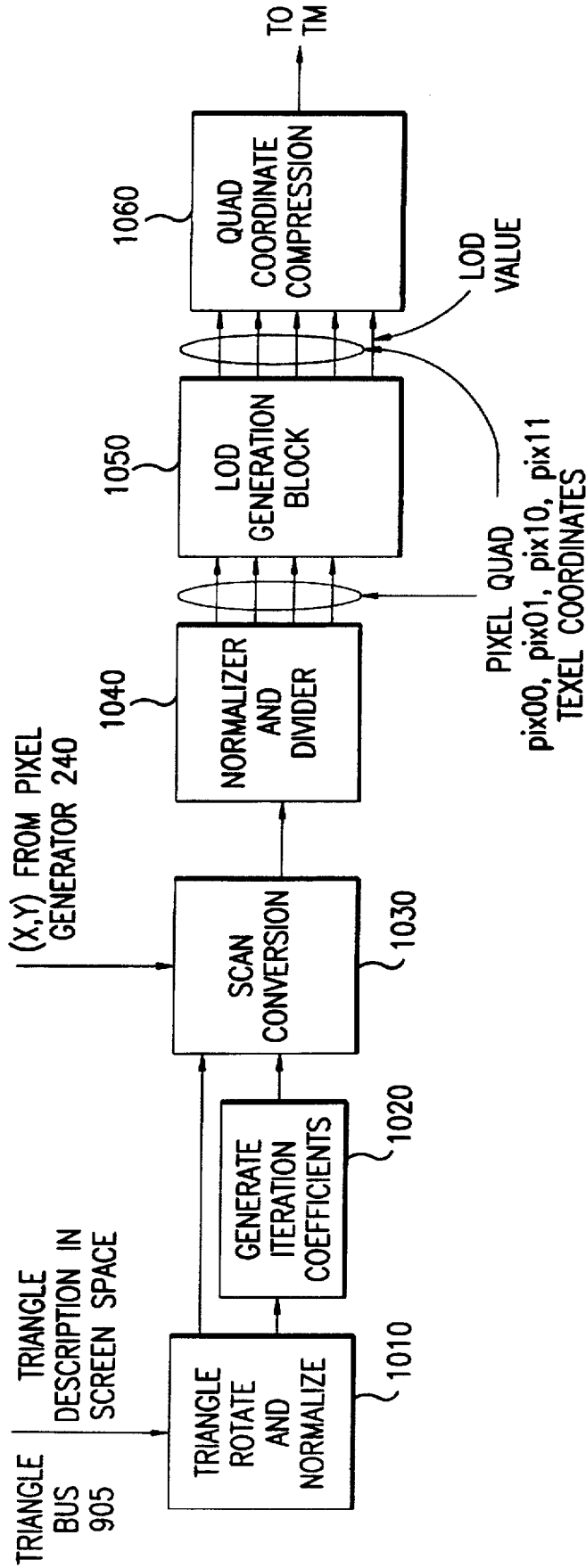


FIG. 10

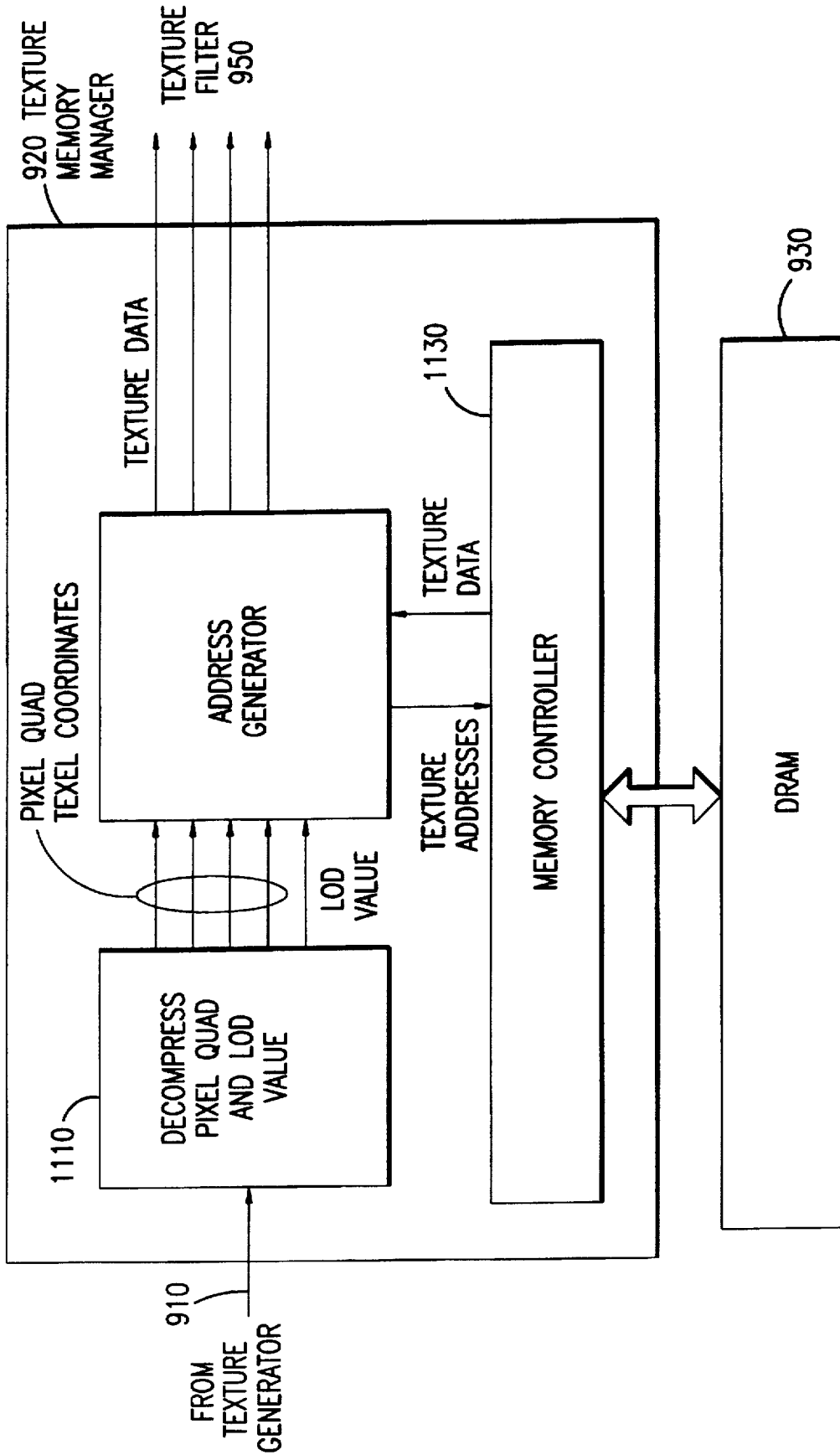


FIG. 11

## METHOD AND SYSTEM FOR PROVIDING TEXTURE USING A SELECTED PORTION OF A TEXTURE MAP

### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

The present invention pertains to the field of computer graphics. More particularly, the present invention relates to an apparatus and method for providing texel data from selected portions of a texture MIP-map (referred to herein as a clip-map).

#### 2. Related Art

Computer systems are commonly used for displaying graphical objects on a display screen. These graphical objects include points, lines, polygons, and three dimensional solid objects. By utilizing texture mapping techniques, color and other details can be applied to areas and surfaces of these objects. In texture mapping, a pattern image, also referred to as a "texture map," is combined with an area or surface of an object to produce a modified object with the added texture detail. For example, given the outline of a featureless cube and a texture map defining a wood grain pattern, texture mapping techniques can be used to "map" the wood grain pattern onto the cube. The resulting display is that of a cube that appears to be made of wood. In another example, vegetation and trees can be added by texture mapping to an otherwise barren terrain model. Likewise, labels can be applied onto packages or cans for visually conveying the appearance of an actual product. Textures mapped onto geometric surfaces provide motion and spatial cues that surface shading alone might not provide. For example, a sphere rotating about its center appears static until an irregular texture or pattern is affixed to its surface.

The resolution of a texture varies, depending on the viewpoint of the observer. The texture of a block of wood displayed up close has a different appearance than if that same block of wood were to be displayed far away. Consequently, there needs to be some method for varying the resolution of the texture (e.g., magnification and minification). One approach is to compute the variances of texture in real time, but this filtering is too slow for complex textures and/or requires expensive hardware to implement.

A more practical approach first creates and stores a MIP-map (multum in parvo meaning "many things in a small place"). The MIP-map consists of a texture pattern pre-filtered at progressively lower or coarser resolutions and stored in varying levels of detail (LOD) maps. See, e.g., the explanation of conventional texture MIP-mapping in Foley et al., *Computer Graphics Principles and Practice*, Second Edition, Addison-Wesley Publishing Company, Reading, Mass. (1990), pages 742-43 and 826-828 (incorporated by reference herein).

FIG. 1A shows a conventional set of texture LOD maps having pre-filtered texel data associated with a particular texture. Four different levels of detail (LOD[0]-LOD[3]m) are shown. Each successive coarser texture LOD has a resolution half that of the preceding LOD until a unitary LOD is reached representing an average of the entire high resolution base texture map LOD[0]. Thus, in FIG. 1A, LOD[0] is an 8x8 texel array; LOD[1] is a 4x4 texel array; LOD[2] is a 2x2 texel array; and LOD [3] is a single 1x1 texel array. Of course, in practice each LOD can contain many more texels, for instance, LOD[0] can be 8kx8k, LOD[1] 4kx4k, and so forth depending upon particular hardware or processing limits.

The benefit of MIP-mapping is that filtering is only performed once on texel data when the MIP-map is initially created and stored in LOD maps. Thereafter, texels having a dimension commensurate with pixel size are obtained by selecting the closest LOD map having an appropriate resolution. By obtaining texels from the pre-filtered LOD maps, filtering does not have to be performed during run-time. More sophisticated filtering operations can be executed beforehand during modeling without delaying real-time operation speed.

To render a display at the appropriate image resolution, a texture LOD is selected based on the relationship between the smallest texel dimension and the display pixel size. For a perspective view of a landscape 100, as shown in FIG. 1B, the displayed polygonal image is "magnified" in a foreground region relative to polygonal regions located closer to the center horizon and background along the direction indicated by the arrow. To provide texture for pixels in the closest foreground region, then, texels are mapped from the finest resolution map LOD[0]. Appropriate coarser LODs are used to map texel data covering pixels located further away from the viewer's eyepoint. Such multi-resolution texture MIP-mapping ensures that texels of the appropriate texture LOD gets selected during pixel sampling. To avoid discontinuities between images at varying resolutions, well-known techniques such as linear interpolation are used to blend the texel values of two LODs nearest a particular image pixel.

One significant drawback to conventional MIP-mapping, however, is the amount of memory consumed by the various texture LOD maps. Main memory in the form of a dynamic random access memory (DRAM) or a static random access memory (SRAM) is an expensive and inefficient site for a large texture MIP-map. Each additional level of detail map at a higher level of detail requires four times more memory. For example, a 16x16 texture array having 256 texture picture elements (texels), is four times bigger than an 8x8 texture array which has 64 texels. To put this increase in perspective, a texture MIP-map having six levels of detail requires over 4,096 times more memory than the texture map at the finest resolution. Implementing large texture MIP-maps quickly becomes an expensive luxury. In addition, for large texture MIP-maps, many portions of the stored MIP-map are not used in a display image.

Memory costs become especially prohibitive in photographic texture applications where the source texture, such as, satellite data or aerial photographs, occupy a large storage area. Creating a pre-filtered MIP-map representation of such source texture data further increases memory consumption.

This problem is further exacerbated by the fact that in order to increase the speed at which images are rendered for display, many of the high-performance computer systems contain multiple processors. A parallel, multiple processor architecture typically stores individual copies of the entire MIP-map in each processor memory.

Thus, there is a need to efficiently implement large texture maps for display purposes so as to minimize attendant memory and data retrieval costs. Visual quality must not be sacrificed for memory savings. Final images in an improved texture mapping system need to be virtually indistinguishable from that of images generated by a traditional MIP-map approach.

There is also a need to maintain real-time display speeds even when navigating through displays drawn from large texture maps. For example, flight simulations must still be



performed in real-time even when complex and voluminous source data such as satellite images of the earth or moon, are used to form large texture motifs.

### SUMMARY OF THE INVENTION

The present invention pertains to an apparatus and method for providing texture by using selected portions of a texture MIP-map. The selected portions are referred to herein as a clip-map. Texel data relevant to a display image is stored, accessed, and updated efficiently in a clip-map in texture memory.

Entire texture MIP-maps are stored onto one or more mass storage devices, such as hard disk drives, optical disk drives, tape drives, CD drives, etc. According to the present invention, however, only a clip-map needs to be loaded into a more expensive but quicker texture memory (e.g., DRAM). Two dimensional or three dimensional texture data can be used. The clip-map is identified and selected from within a texture MIP-map based upon the display viewer's current eyepoint and field of view. The clip-map is composed of a set of selected tiles. Each tile corresponds to the respective portion of a texture level of detail map at or near the current field of view being rendered for display.

Virtually unlimited, large amounts of texture source data can be accommodated as texture MIP-maps in cheap, mass storage devices while the actual textured image displayed at any given time is readily drawn from selected tiles of corresponding clip-maps stored in one or more texture memories. In one example, the clip-map consists of only 6 million texels out of a total of 1.365 billion texels in a complete texture MIP-map—a savings of 1.36 billion texels! Where texture information is represented as a 8-bit color value, a texture memory savings of 10.9 gigabits (99.6%) is obtained.

According to another feature of the present invention, real-time flight over a large texture map is obtained through efficient updating of the selected clip-maps. When the eyepoint of a viewer shifts, the edges of appropriate clip-map tiles stored in the texture memory are updated along the direction of the eyepoint movement. New texel data for each clip-map tile is read from the mass storage device and loaded into the texture memory to keep the selected clip-map tiles in line with the shifting eyepoint and field of view. In one particularly efficient embodiment, when the eyepoint moves a distance equal to one texel for a particular LOD, one texel row of new texture LOD data is added to the respective clip-map tile to keep pace with the direction of the eyepoint movement. The texel row in the clip-map tile which encompasses texel data furthest from the moving eyepoint is discarded.

In a further feature of the present invention, a substitute texel value is used when an attempt is made to access a texel lying outside of a particular clip-map tile at the most appropriate resolution. The substitute texel value is obtained from the next coarser resolution clip-map tile which encompasses the texel being sought. The substitution texel that is chosen is the one closest to the location of the texel being accessed. Thus, this approach returns usable texel data from a clip-map even when mapping wayward pixels lying outside of a particular clip-map tile. Of course, for a given screen size, the tile size and tile center position can be calculated to guarantee that there would be no wayward pixels.

Finally, in one specific implementation of the present invention, texture processing is divided between a texture generator and a texture memory manager in a computer

graphics raster subsystem. Equal-sized square tiles simplify texel addressing. The texture generator includes a LOD generation block for generating an LOD value identifying a clip-map tile for each pixel quad. A texture memory manager readily accesses the texel data from the clip-map using tile offset and update offset information.

Further embodiments, features, and advantages of the present inventions, as well as the structure and operation of the various embodiments of the present invention, are described in detail below with reference to the accompanying drawings.

### BRIEF DESCRIPTION OF THE FIGURES

The accompanying drawings, which are incorporated herein and form a part of the specification, illustrate the present invention and, together with the description, further serve to explain the principles of the invention and to enable a person skilled in the pertinent art to make and use the invention.

In the drawings:

FIG. 1A shows a conventional multi-resolution MIP-map covering four levels of detail.

FIG. 1B shows a conventional example of a polygon perspective of a landscape to which texture MIP-mapping can be applied.

FIG. 2 shows a block diagram of an example computer graphics system implementing the present invention.

FIG. 3 shows a side view of a ten-level texture MIP-map and the selected tiles that constitute a clip-map according to the present invention.

FIG. 4A shows a side view of the first six levels of a clip-map for photographic terrain texture in one example of the present invention.

FIG. 4B shows the progressively larger areas of a terrain texture covered by coarser tiles in the present invention.

FIG. 4C shows three LOD-maps and associated clip-map tile areas relative to an observer's field of view.

FIG. 5 shows the shifting of selected tiles in a clip-map to track a change in the viewer eyepoint.

FIGS. 6A and 6B illustrate an efficient updating of clip-map tiles according to the present invention to follow eyepoint changes.

FIG. 7 shows obtaining a substitute texel value from the next closest clip-map tile having the highest resolution according to the present invention.

FIGS. 8A and 8B are flowcharts describing steps for obtaining a textured display image using a texture clip-map according to the present invention.

FIGS. 9 to 11 are block diagrams illustrating one example of a computer graphics subsystem implementing the present invention.

FIG. 9 shows a raster subsystem including a texture processor having a texture generator and a texture memory manager according to the present invention.

FIG. 10 shows a block diagram of the texture generator in FIG. 9.

FIG. 11 shows a block diagram of the texture memory manager in FIG. 9.

The present invention will now be described with reference to the accompanying drawings. In the drawings, like reference numbers indicate identical or functionally similar elements. Additionally, the left-most digit(s) of a reference number identifies the drawing in which the reference number first appears.

### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

#### I. Overview and Discussion

#### II. Terminology

III. Example Environment
IV. Computer Graphics System
V. Texture MIP-Mapping
VI. Selecting Portions of a Texture MIP-Map
VII. Photographic Terrain Texture
VIII. Updating the Clip-Map During Real-Time Operation
IX. Efficiently Updating the Clip-Map
X. Substitute Texel Data
XI. Overall Clip-Map Operation
XII. Specific Implementation
XIII. Square Clip-Map Tiles Example
XIV. Conclusion

### I. Overview and Discussion

The present invention provides an apparatus and method for efficiently storing and quickly accessing texel data relevant for displaying a textured image. A large amount of texture source data is stored as a multi-resolution texture MIP-map on one or more mass storage devices. Only a relatively small clip-map representing selected portions of the complete texture MIP-map is loaded into faster, more expensive texture memory. These selected texture MIP-map portions include tiles which contain those texel values at each respective level of detail that are most likely to be mapped to pixels being rendered for display.

When the eyepoint or field of view is changed, the tiles stored in the texture memory are updated accordingly. In one efficient embodiment for updating the clip-map in real-time, new texel data is read from the mass storage device and loaded into the fringes of tiles to track shifts in the eyepoint. To maintain the size of the clip-map, tile texel data corresponding to locations furthest away from a new eyepoint is discarded. Anomalous attempts to access a texel lying outside of a particular clip-map tile are accommodated by utilizing a substitute texel value obtained from the next coarser resolution clip-map tile which encompasses the texel being sought.

### II. Terminology

To more clearly delineate the present invention, an effort is made throughout the specification to adhere to the following term definitions as consistently as possible.

The term "texture map" refers to source data representing a particular texture motif at its highest resolution. A "texture MIP-map" and equivalents thereof such as a "MIP-map of texel data" are used to refer to conventional multum in parvo MIP-map representations of a texture map at successive multiple levels of details (LOD), that is, varying degrees of resolution.

On the other hand, "clip-map" is used to refer to portions of a MIP-map selected according to the present invention. Thus, a clip-map is a multi-resolution map made up of a series of tiles wherein at least some of the tiles represent smaller, selected portions of different levels of detail in a MIP-map. When two-dimensional texture data sets are used, these tiles are two-dimensional texel arrays. When three-dimensional texture data sets are used, these tiles are three-dimensional texel arrays, i.e. cubes.

Finally, texel array dimensions are given in convenient 1k×1k, 2k×2k, etc., shorthand notation. In actual implementations using digital processing, 1k equals 1,024, 2k equals 2,048, etc.

### III. Example Environment

The present invention is described in terms of a computer graphics display environment for displaying images having

textures drawn from multi-resolution texture MIP-maps. Moreover, sophisticated texture motifs covering a large area, such as satellite data and aerial photographs, are preferred to fully exploit the advantages of the clip-map system and method described herein. As would be apparent to a person skilled in the pertinent art, the present invention applies generally to different sizes and types of texture patterns limited only by the imagination and resources of the user.

Although the present invention is described herein with respect to two-dimensional texture mapping, the present invention can be extended to three-dimensional texture mapping when the requisite additional software and/or hardware resources are added. See e.g. the commonly-assigned, U.S. patent application Ser. No. 08/088,716, now U.S. Pat. No. 5,490,240 (Attorney Docket No. 15-4-99.00 (1452.0140000), filed Jul. 9, 1993, entitled "A System and Method of Generating Interactive Computer Graphic Images Incorporating Three Dimensional Textures," by James L. Foran et al. (incorporated herein by reference in its entirety).

### IV. Computer Graphics System

Referring to FIG. 2, a block diagram of a computer graphics display system 200 is shown. System 200 drives a graphics subsystem 220 for generating textured display images according to the present invention. In a preferred implementation, the graphics subsystem 220 is utilized as a high-end, interactive computer graphics workstation.

System 200 includes a host processor 202 coupled through a data bus 201 to a main memory 204, read only memory (ROM) 206, and mass storage device 208. Mass storage device 208 is used to store vast amounts of digital data relatively cheaply. For example, the mass storage device 208 can consist of one or more hard disk drives, floppy disk drives, optical disk drives, tape drives, CD ROM drives, or any number of other types of storage devices having media for storing data digitally.

Different types of input and/or output (I/O) devices are also coupled to processor 202 for the benefit of an interactive user. An alphanumeric keyboard 210 and a cursor control device 212 (e.g., a mouse, trackball, joystick, etc.) are used to input commands and information. The output devices include a hard copy device 214 (e.g., a laser printer) for printing data or other information onto a tangible medium. A sound recording or video option 216 and a display screen 218 can be coupled to the system 200 to provide for multimedia capabilities.

Graphics data (i.e. a polygonal description of a display image or scene) is provided from processor 202 through data bus 201 to the graphics subsystem 220. Alternatively, as would be apparent to one skilled in the art, at least some of the functionality of generating a polygonal description could be transferred to the computer graphics subsystem as desired.

Processor 202 also passes texture data from mass storage device 208 to texture memory 226 to generate and manage a clip-map as described below. Including the software and/or hardware in processor 202 for generating and managing clip-maps is one example for implementing the present invention. Separate modules or processor units for generating and managing a texture clip-map could be provided along data bus 201 or in graphics subsystem 220, as would be apparent to one skilled in the art considering this description.

The graphics subsystem 220 includes a geometry engine 222, a raster subsystem 224 coupled to a texture memory 226, a frame buffer 228, video board 230, and display 232.

Processor 202 provides the geometry engine 222 with a polygonal description (i.e. triangles) of a display image in object space. The geometry engine 222 essentially transforms the polygonal description of the image (and the objects displayed therein) from object space (also known as world or global space) into screen space.

Raster subsystem 224 maps texture data from texture memory 226 to pixel data in the screen space polygonal description received from the geometry engine 222. Pixel data and texture data are eventually filtered, accumulated, and stored in frame buffer 228. Depth comparison and other display processing techniques can be performed in either the raster subsystem 224 or the frame buffer 228. Video unit 230 reads the combined texture and pixel data from the frame buffer 228 and outputs data for a textured image to screen display 232. Of course, as would be apparent to one skilled in the art, the output image can be displayed on display 218, in addition to or instead of display 232. The digital data representing the output textured display image can also be saved, transmitted over a network, or sent to other applications.

The present invention is described in terms of this example high-end computer graphics system environment. Description in these terms is provided for convenience only. It is not intended that the invention be limited to application in this example environment. In fact, after reading the following description, it will become apparent to a person skilled in the relevant art how to implement the invention in alternative environments.

#### V. Texture MIP-Mapping

In the currently preferred embodiment of the present invention, large texture maps are stored on one or more mass storage devices 208. A MIP-map representation of the texture maps can either be pre-loaded onto the mass storage device 208 or can be computed by the processor 202 and then stored onto mass storage device 208. Two-dimensional or three-dimensional texture data sets are accommodated.

As is well-known in computer graphics design, the filtering and MIP-structure development necessary to derive and efficiently store the successive levels of detail for a texture MIP-map can be effectuated off-line prior to run-time operation. In this way, high-quality filtering algorithms can be utilized over a large texture map or database without hindering on-line image display speed and performance. Alternatively, if a less flexible but fast approach is acceptable, hardware can be used to produce the successive coarser levels of detail directly from input texture source data.

Under conventional texture mapping techniques, even if texture data were to be accessed from a remote, large texture MIP-map, the rendering of a textured image for display in real-time would be impractical, if not impossible. The present invention, however, realizes the advantages of accommodating large texture MIP-maps in one or more mass storage devices 208 without reducing texture access time. A relatively small clip-map representing only selected portions of a complete texture MIP-map is stored in a texture memory 226 having a fast rate of data return. In this way, texture memory 226 acts as a cache to provide texture rapidly to the raster subsystem 224.

This hierarchical texture mapping storage scheme allows huge texture MIP-maps to be stored rather inexpensively on the mass storage device 208. Based on the viewer eye point and/or field of view, only selected portions of a texture MIP-map corresponding to the texture motif to be rendered

for display need to be loaded into the texture memory 226. In this manner, large 2-D or 3-D texture MIP-maps can be used to provide texture rather inexpensively, yet the textured images can be rendered in real-time.

#### VI. Selecting Portions of a Texture MIP-Map

The process for determining which portions of a complete texture MIP-map are to be loaded from mass storage devices 208 into texture memory 226 to form a clip-map will now be described in more detail. FIG. 3 shows a side view of a complete texture MIP-map 330 having ten conventional levels of details (not shown to actual geometric scale). The levels of detail 300 to 309 each correspond to successively coarser resolutions of a texture map. The highest level of detail LOD[0] corresponds to the finest resolution texel map 300. Each subsequent level of detail map 301 to 309 are filtered to have half the resolution of the preceding level of detail. Thus, each coarser level of detail covers an area of the texture map four times greater than the preceding level of detail.

FIG. 3 further illustrates the selected portions of the texture MIP-map 330 constituting a clip-map 340 according to the present invention. Clip-map 340 consists of relatively small tiles 310-319 which are regions of the levels of detail maps 300-309. The actual size and shape of these tiles depends, inter alia, on the eye point and/or field of view of the display viewer. Each of these tiles must substantially encompass a potential field of view for a display view. To simplify addressing and other design considerations, equal-sized square tiles, i.e. square texel arrays, are used which can each be addressed relative to a common, fixed central eye point X and a center line O running through the clip map. For 3-D texture, square cubes consisting of a 3-D texel array are used.

Clip-map 340 essentially consists of a set of tiles, including a cubical part (310-316) and a pyramidal part (317-319). The cubical part consists of a shaft of tiles (310-316) of equal size. In the pyramidal part, the tiles consist of the actual level of detail maps (LOD[M+1]-LOD[N]). The pyramidal part begins at the first level of detail map (LOD[M+1]) which is equal to or smaller than a tile in the cubical part and extends down to a 1x1 texel (LOD[N]).

The reduced memory requirements for storing a clip-map instead of a complete texture MIP-map are clear. A complete, conventional 2-D texture MIP-map having dimensions given by "size in s" and "size in t" uses at least the following memory M:

$$M_{\text{Texture MIP-map}} = 4/3 * (\text{size in s}) * (\text{size in t}) * \text{texel size (in bytes)}$$

The smaller, clip-map example having equal-sized, square tiles in the cubical part only uses the following memory M:

$$M_{\text{Texture clip-map}} = [(\text{number of levels in cubical part} * (\text{tile size})^2) + 4/3 * (\text{size in s of pyramidal part}) * (\text{size in t of pyramidal part})] * \text{texel size (in bytes)}$$

Ten levels of detail are shown in FIG. 3 to illustrate the principle of the present invention. However, a smaller or greater number of levels of detail can be utilized. In a preferred example of the present invention, 16 levels of detail are supported in a high-end interactive computer graphics display workstation.

#### VII. Photographic Terrain Texture

Substantial reductions in memory costs and great improvements in real-time display capability are immedi-

ately realized by using a clip-map to render textured images. These advantages are quite pronounced when large texture maps such as a photographic terrain texture are implemented.

For example, source data from satellites covering 32 or more square kilometers of a planet or lunar surface is available. Such terrain can be adequately represented by a photographic texture MIP-map **430** having sixteen level of detail maps. The six highest resolution LOD maps **400-405** and tiles **410-415** are shown in FIG. 4A. The highest resolution level LOD[0] consists of a 32k×32k array of texels. Successive level of details LOD[1]-LOD[5] correspond to the following texel array sizes: 16k×16k, 8k×8k, 4k×4k, 2k×2k, and 1k×1k. The remaining pyramidal part not shown consists of texel arrays 512×512, 256×256, . . . 1X1. Thus, a total of 1.365 billion texels must be stored in mass storage device **208**.

The size of the clip-map, however, is a function of the field of view and how close the observer is to the terrain. Generally, a narrow field of view requires a relatively small tile size increasing the memory savings. For example, the higher resolutions in the cubical part of clip-map **440** need only consist of 1k×1k tiles **410-414** for most close perspective images. The entire clip-map **440** then contains 6 million texels—a savings of 1.36 billion texels! Where texture information is represented as a 8-bit color value, a memory savings of 10.9 gigabits (99.6%) is obtained.

By storing the smaller clip-map **440** in texture memory **226**, further advantages inherent in a hierarchical memory system can be realized. The complete texture MIP-map **430** of 1.365 billion texels can be stored in cheap mass storage device **208** while the small clip-map **440** is held in a faster texture memory **226**, such as DRAM or SRAM. Sophisticated texel data can then be used to render rich textured images in real-time from the easily-accessed clip-map **440**. For example, a screen update rate of 30 to 60 Hz, i.e. 1/30 to 1/60 sec., is realized. The transport delay or latency of 1 to 3 frames of pixel data is approximately 10 to 50 msec. The above screen update rates and latency are illustrative of a real-time display. Faster or slower rates can be used depending on what is considered real-time in a particular application.

As shown in FIG. 4B, the texel data stored in clip-map **440** can provide texture over a large display image area. For example, each high resolution texel of LOD[0] in a 32k×32k texel array can cover one square meter of geographic area in the display image. The 1k×1k tile **410** contains texel data capable of providing texture for a display image located within one square kilometer **420**.

Moreover, typical images are displayed at a perspective as described with respect to FIG. 1B. The highest texel resolution included in tile **410** need only be used for the smaller areas magnified in a foreground region. Because of their progressively coarser resolution, each successive tile **411-414** covers the following broader areas 4 square kilometers (**421**), 16 square kilometers (**422**), 64 square kilometers (**423**), and 256 square kilometers (**424**), respectively. The complete 1,024 square kilometer area covered by texel data in tile **415** is not shown due to space limitations.

Even though the tiles may be equal-sized texel arrays, each tile covers a geometrically large area of a texture map because of filtering, albeit at a coarser level of detail. FIG. 4C shows a perspective view of regions **420'** to **422'** covered by tiles **410** to **412** within the respective first three level of detail maps **400** to **402**. Each of the regions **420'** to **422'** are aligned along a center line O' stemming from an eyesight

location X' to the center of the coarsest 11X1 tile (not shown) in the pyramidal part of the clip-map **440**.

Thus, the clip-map **440** contains sufficient texel data to cover larger minified areas in the background of a display where coarser texture detail is appropriate. As a result, high quality textured display images, in perspective or warped, are still obtained for large texture patterns by using texel data from a clip-map.

#### VIII. Updating the Clip-Map During Real-Time Operation

The discussion thus far has considered only stationary eyepoints (X or X'). Many graphics display applications, such as flight applications over a textured terrain, present a constantly changing display view. As is well-known in graphics design, the display view can simulate flight by constantly moving the eyepoint along a terrain or landscape being viewed. Such flight can be performed automatically as part of a program application, or manually in response to user input such as mouse or joystick movement. Hyperlinks or jumps can be selected by a user to abruptly select a new viewpoint and/or field of view.

Regardless of the type of movement, today's user demands that new views be displayed in real-time. Delays in mapping texture data directly from large texture maps are intolerable. Reloading an entire new texture MIP-map for a new display viewpoint is often impractical.

As shown in FIG. 5, when the eyepoint X shifts to a new point X' for a new display view, the texel data forming the clip-map **340** must similarly shift to track the new field of view along the axis O'. According to one feature of the present invention, portions of the texture MIP-map **330** forming a new "slanted" clip-map **540** are loaded into the texture memory **226**. The "slanted" clip-map **540** is necessarily drawn in a highly stylized and exaggerated fashion in FIG. 5 in the interest of clarity. Actual changes from one display view to the next are likely less dramatic. The actual tiles in the slanted clip-map would also be more staggered if the level of detail maps were drawn in true geometric proportion.

New tiles can be calculated and stored when the eyepoint and/or field of view changes to ensure that clip-map **540** contains the texel data which is most likely to be rendered for display. Likewise, the size and/or shape of the tiles can be altered to accommodate a new display view.

Texel data can be updated by loading an entire new slanted clip-map **540** from mass storage device **208** into texture memory **226**. Full texture loads are especially helpful for dramatic changes in eyepoint location and/or field of view.

#### IX. Efficiently Updating the Clip-Map

According to a further feature of the present invention, subtexture loads are performed to efficiently update texel data at the edges of tiles on an on-going basis. For example, as the eyepoint shifts, a new row of texel data is added to a tile in the direction of the eyepoint movement. A row located away from a new eyepoint location is discarded. Coarser tiles need not be updated until the eyepoint has moved sufficiently far to require a new row of texel data. Thus, the relatively small amount of texel data involved in a subtexture loads allows clip-map tiles to be updated in real-time while maintaining alignment with a moving eyepoint X.

For example, FIGS. 6A and 6B illustrate, respectively, the areas of texel data covered by clip-map tiles before and after

a subtexture load in the highest resolution tile 410. Each of the areas 620 to 622 correspond to the regions of a texture map covered by 1k×1k tiles 410-412, as described earlier with respect to the terrain of FIG. 4B. A field of view 600 along the direction O marks the display area which must be covered by texture detail. Hence, only those texels residing within triangle 600 need to be stored or retained in the texture memory 226. Texels around the fringes of triangle 600, of course, can be added to provide additional texture data near the edges of a display image.

As shown in FIG. 6B, each time the eyepoint advances one pixel-width (the pixel-width is exaggerated relative to the overall tile size to better illustrate the updating operation), a new texel row 601 located forward of the eyepoint is loaded from mass storage device 208 into the highest resolution tile 410 in texture memory 226. The texel row 602 furthest from the new eyepoint X' is then discarded. In this way, tile 410 contains texel data for an area 620' covering the new display area 600. For small changes, then, coarser tiles (411, 412, etc.) do not have to be updated. Because an equal amount of texels are discarded and loaded, the tile size (and amount of texture memory consumed by the clip-map) remains constant.

When the eyepoint moves a greater distance, texture data is updated similarly for the tiles at coarser LODs. Because two texels from an LOD are filtered to one texel in each direction s or t in texture space to form a successive LOD, the minimum resolution length for each LOD[n] is 2<sup>n</sup> pixels, where n=0 to N. Accordingly, the tiles for LOD[1], LOD[2] . . . LOD[4] in the cubical part of a clip-map 440 are only updated when the eyepoint has moved two, four, eight, and sixteen pixels respectively. Because each level of detail in the pyramidal part is already fully included in the tile 415, no updating is necessary in theory. To simplify an updating algorithm, however, when tiles in either the cubical part or the pyramidal part reach the end of a level of detail map, garbage or useless data can be considered to be loaded. Substitute texel data drawn from a coarser tile would be used instead of the garbage data to provide texture detail in those regions.

According to the present invention, then, the amount of texel data which must be loaded at any given time to update clip-map 540 is minimal. Real-time display operation is not sacrificed.

Texel data can be updated automatically and/or in response to a user-provided interrupt. Subtexture loads are further made in advance of when the texel data is actually rendered for display.

Finally, a check can be made to prevent attempts to draw an image using texel data which is being updated. Fringe regions are defined at the edges of tiles in the cubical part of the clip-map. The fringes include at least those texels being updated. To better accommodate digital addressing, it is preferred that the fringes consist of a multiple of eight texels. For example, in a 1k×1k tile having 1,024 texels on a side, eight texels at each edge form the fringe regions leaving 1,008 texels available to provide texture. Any attempt to access a texel in the fringe is halted and a substitute texel from the next coarsest level of detail is used instead. In this way, accesses by the raster subsystem 224 to specific texel data do not conflict with any texel updating operation.

#### X. Substitute Texel Data

According to a further feature of the present invention, substitute texel data is returned for situations where pixel data lying outside of a clip-map tile at a desired level of

detail is to be mapped. When the raster subsystem 224 seeks to map a pixel not included in a clip-map tile corresponding to the desired level of detail, there is a problem in that the texture memory 226 cannot provide texel data at the most appropriate resolution at that particular pixel. The likelihood of such a situation arising can be minimized by brutishly mandating larger tile sizes. Of course, for a given screen size, the tile size and center position can be calculated to guarantee that there would be no wayward pixels.

The inventors, however, have discovered a more elegant solution which does not require an unnecessary expansion of the clip-map to accommodate wayward pixels. As shown in FIG. 7, substitute texel data is derived for a pixel 700 lying outside of a clip-map 340. A line 702 is first determined between the out-of-bounds pixel 700 and the apex of the pyramid part (center of the coarsest 1×1 texel tile LOD[N]). At some point 704, this line 702 intersects the shaft of the clip-map. Substitute texel data 706, covering pixel 700, is then drawn from the nearest, coarser tile 314.

In practice, when the resolution between levels of detail varies by a factor of 2, substitute texel data is easily drawn from the next coarser level of detail by shifting a texel address one bit. Operations for obtaining memory addresses for a texel located in a clip-map tile at the desired level of detail are further described below. Arithmetic and shifting operations to obtain a substitute texel memory address from the tile at the next nearest level of detail which covers the sought pixel is also described below.

By returning substitute texel having the next best level of detail, the overall texture detail remains rich as potential image degradation from pixels lying outside the clip-map is reduced. Moreover, by accommodating wayward pixels, greater latitude is provided in setting tile size, thereby, reducing the storage capacity required of texture memory 226.

#### XI. Overall Clip-Map Operation

FIGS. 8A and 8B are flowcharts illustrating the operation of the present invention in providing texture data from a clip-map to display images.

First, a texture MIP-map representation of a texture map is stored in an economical memory such as, a mass storage device 208 (step 810). Processor 202 can perform pre-filtering to calculate a texture MIP-map based on a texture map supplied by the user. Alternatively, the texture MIP-map can be loaded and stored directly into the mass storage device 208.

Portions of the MIP-maps are then selected based on a particular field of view and/or eyepoint location to form a clip-map (step 820). The clip-map is stored in a faster texture memory 226 (step 830). Using texel data stored in the clip-map, texture can be mapped quickly and efficiently to corresponding pixel data to display a new textured image (step 840).

To track changes in the field of view and/or eyepoint location of a display view, only the fringes of the tiles in the clip-map are updated (steps 850 and 860). Such subtexture loads can be performed in real-time with minimal processor overhead. Unlike conventional systems, an entire texture load operation need not be performed.

FIG. 8B shows the operation in step 840 for processing texture for a new image in greater detail. In step 841, a description of polygonal primitives and texture coordinates is input. Triangle vertices are typically provided in screen space by a geometry engine 222. A raster subsystem 224 then maps texture coordinates at the vertices to pixels.

Texture coordinates can be calculated for two-dimensional or three-dimensional texture LOD maps.

In step 842, an appropriate level of detail is calculated for each pixel according to standard LOD calculation techniques based on the pixel dimension and texel dimension. A level of detail map closest to this appropriate level of detail is determined for the pixel (step 843).

Texture closest to the appropriate level of detail is then obtained from the finest resolution tile in the clip-map which actually encompasses a texel corresponding to the pixel (steps 844 to 847). First, a check is made to determine whether texel data for the pixel is included within a tile corresponding to the appropriate level of detail map determined in step 843. Because the tiles are determined based on eyepoint location and/or field of view, texel data for a pixel is likely found within a tile at an appropriate level of detail. In this case, a texel is accessed from the corresponding tile and mapped to a corresponding pixel (step 845).

As described earlier with respect to FIG. 7, when a texel at the appropriate level of detail is not included within a corresponding tile, a coarser substitute texel is accessed. The substitute texel is chosen from the tile at the nearest level of detail which encompasses the originally-sought texel (step 846). Texels mapped to pixels in step 845 and substitute texels mapped to corresponding pixels in step 846 are accumulated, filtered, and stored in a frame buffer 228 for subsequent display (step 847).

Steps 841 to 847 are repeated for each input polygon description until a complete display image has been mapped to texel data and stored in the frame buffer 228.

As would be apparent to one skilled in computer-generated textured graphics, the "clip-map" process described with respect to FIGS. 8A and 8B, can be carried out through firmware, hardware, software executed by a processor, or any combination thereof.

## XII. Specific Implementation

FIGS. 9 to 11 illustrate one preferred example of implementing texture processing using a clip-map within computer graphics subsystem 220 according to the present invention. FIG. 9 shows a block diagram of a texture processor 900 within raster subsystem 224. Texture processor 900 includes a texture generator 910 and a texture memory manager 920. FIG. 10 shows a block diagram of the texture generator 910. FIG. 11 shows a block diagram of a texture memory manager 920. The operation of texture processor 900 in managing a clip-map to provide a texture display image will be made even more clear by the following description.

As shown in FIG. 9, raster subsystem 224 includes texture processor 900, pixel generator 940, and texture filter 950. The texture processor 900 includes a texture generator 910 coupled to a texture memory manager 920. Texture memory manager 920 is further coupled to texture memory (DRAM) 930.

Both the texture generator 910 and the pixel generator 940 are coupled to the geometry engine 222 via a triangle bus 905. As explained earlier with respect to FIG. 2, polygonal primitives (i.e. triangles) of an image in screen space (x,y), are output from the geometry engine 222. Texture generator 910 outputs specific texel coordinates for a pixel quad and an appropriate LOD value based on the triangle description received from geometry engine 222 and the (x,y) screen space coordinates of the pixel quad received from pixel generator 940. The LOD value identifies the clip-map tile in DRAM 930 which includes a texel at the desired level of

detail. When a substitute texel must be used as described above, the LOD value identifies the clip-map tile at the closest coarser level of detail which covers the sought pixel data.

Texture memory manager 920 retrieves the texel or substitute texel from the clip-map stored in DRAM 930. The retrieved texel data is then sent to a texture filter 950.

Texture filter 950 filters texel data sent by the texture memory according to conventional techniques. For example, bi-linear and higher order interpolations, blending, smoothing, and texture sharpening techniques can be applied to textures to improve the overall quality of the displayed image. Texture filter 950 (or alternatively the frame buffer 228) further combines and accumulates the texel data output from texture memory manager 930 and the corresponding pixel data output by the pixel generator 940 for storage in frame buffer 228.

FIG. 10 shows component modules 1010-1060 forming texture generator 910. Blocks 1010 to 1040 represent graphics processing modules for scan converting primitives. For purposes of this example, it is presumed that the primitive description consists of triangles and that pixel data is processed as 2x2 pixel quads. Module 1010 rotates and normalizes the input triangles received across triangle bus 905. Module 1020 generates iteration coefficients. Scan conversion module 1030 then scan converts the triangles based on the outputs of modules 1010 and 1020 and the (x,y) coordinates output from a stepper (not shown) in pixel generator 940. Texture coordinates for each pixel quad are ultimately output from scan conversion module 1030. Normalizer and divider 1040 outputs normalized texture coordinates for the pixel quad. Such scan conversion processing is well-known for both two-dimensional and three-dimensional texture mapping and need not be described in further detail.

LOD generation block 1050 determines an LOD value for texture coordinates associated with a pixel quad. Compared to LOD generation blocks used in conventional texture MIP-mapping, LOD generation block 1050 is tailored to consider the contents of the clip-map and whether a substitute texel is used. The LOD value output from LOD generation block 1050 identifies the clip-map tile which includes texels or substitute texels covering a pixel quad.

LOD generation block 1050 essentially performs two calculations to derive the LOD value, as described previously with respect to steps 842 to 846. LOD generation block 1050 first calculates an appropriate level of detail for the pixel quad (or pixel) according to standard LOD generation methods based on the individual pixel size and texel dimension. A level of detail map closest to the calculated level of detail is determined.

According to the present invention, then, a check is made to determine whether texel data for the pixel quad is included within a tile corresponding to the appropriate level of detail. When a texel is included within a tile at the appropriate level of detail, a LOD value corresponding to this tile is output. Otherwise, a LOD value is output identifying a tile at a lower level of detail which includes a substitute texel, as described earlier.

The above discussion largely refers to a pixel quad (i.e. a 2x2 pixel array). However, as would be apparent to one skilled in the art, the invention is not limited to use of a pixel quad. Using a pixel quad merely reduces the number of calculations and the amount of data which must be tracked. If desired, a separate LOD value could be calculated by LOD block 1050 for each pixel.

Other modules (not shown) can further use the pixel quad and LOD value output from LOD generation block 1050 to

perform supersampling, clamping, or other graphics display optimizing processes.

The present invention takes further advantage of the use of a pixel quad to reduce the amount of data required to be sent from the texture generator 910 to the texture memory manager 920. Quad coordinate compression module 1060 compresses the texture coordinates of a pixel quad and the LOD value data sent to one or more texture memory managers 920. In particular, in a 2x2 pixel quad, texture coordinates for one pixel are needed but the other three pixels can be defined relative to the first pixel. In this way, only the differences (i.e. the offsets) between the centers of the other three pixels relative to the center of the first pixel need to be transmitted.

FIG. 11 shows component modules forming texture memory manager 920. Module 1110 decompresses texture coordinates of a pixel quad and LOD value information received from texture generator 910. Texture coordinates for one pixel are received in full. Texture coordinates for the other three pixels can be determined by the offsets to the first pixel texture coordinates. The LOD value is associated with each pixel.

The texture coordinates sent from texture generator 910 are preferably referenced to the global texture MIP-map stored and addressed in mass storage device 208. Address generator 1120 translates the texture coordinates for the pixel quad from the global texture MIP-map space of mass storage device 208 to texture coordinates specific to the clip-map stored and addressed in DRAM 930. Alternatively, such translation could be carried out in the texture generator 910 depending upon how processing was desired to be distributed.

Address generator 1120 first identifies a specific tile at the level of detail indicated by the LOD value. To translate texture coordinates from global texture MIP-map space to the specific tile, the address generator 1120 considers both (1) the offset of the specific tile region relative to a complete level of detail map (i.e. tile offset) and (2) the center eyepoint location of a tile (i.e. update offset).

Memory addresses corresponding to the specific texture coordinates are then sent to a memory controller 1130. Memory controller 1130 reads and returns texture data from DRAM 930, through address generator 1120, to texture filter 950.

As would be apparent to one skilled in the art from the foregoing description, a conventional LOD value can be sent from the LOD generation block 1050 without regard to the selected portions of the texture MIP-map stored in the clip-map. The steps for determining whether a texel is within a tile and for determining a LOD value for a substitute texel would then be carried out at the texture memory manager 920.

XIII. Square Clip-Map Tiles Example

Selecting, managing and accessing texel data in a clip-map will now be discussed with respect to the specific square clip-map 440. According to another feature of the present invention, each tile in DRAM 930 is configured as a square centered about a common axis stemming from the eyepoint location. Each tile has a progressively coarser resolution varying by factor of 2. These restrictions simplify texel addressing for each tile in the cubical part of a clip-map considerably. The additional cost in hardware and/or software to address the tiles is minimal both when the tiles are initially selected and after any subtexture loads update the tiles.

By selecting square tiles to form the initial clip-map stored in DRAM 930, the work of a processor 202 (or a dedicated processor unit in the graphics subsystem 220) is straightforward. First, the center of the finest level (LOD[0]) is chosen. Preferably the center ( $s_{center}, t_{center}$ ) is defined as integers in global (s,t) texture coordinates. A finest resolution tile 410 is then made up from the surrounding texel data in LOD[0] map 400 within a predetermined distance d from the center point. All the other tiles for the levels of the cubical part (LOD[1]-LOD[M]) are established by shifting the center position down along the eyepoint. Thus, the texture coordinates ( $s_{center}, t_{center}$ ) for a tile at level of detail LOD[n] are given by:

$$s_{center} = s_{center} \gg n$$

$$t_{center} = t_{center} \gg n$$

where  $\gg n$  denotes n shift operations. Texel data for the other tiles 401-404 is likewise swept in from regions a predetermined distance d in the s and t direction surrounding each center point.

Simple subtraction and comparison operations are carried out in texture generator 910 to determine whether a texel for a pixel quad is within a tile at an appropriate LOD. The finest, appropriate level of detail is determined by conventional techniques (see steps 842 and 843). The additional step of checking whether the desired texel for a pixel quad is actually included within a tile at that LOD (step 844) can be performed by calculating the maximum distance from four sample points in a pixel quad to the center of the tile. To be conservative, s and t distances for each of four sample points ( $s_0, t_0$ ) . . . ( $s_3, t_3$ ) can be calculated within a LOD generation block 1050 as follows:

$$s_0 \text{ dist} = |s_{center} - s_0|$$

$$t_0 \text{ dist} = |t_{center} - t_0|$$

...

$$s_3 \text{ dist} = |s_{center} - s_3|$$

$$t_3 \text{ dist} = |t_{center} - t_3|$$

where the tile center point at a LOD value n is given by ( $s_{center}, t_{center}$ )

Because the four samples of a pixel quad are strongly related, performing only two of the above subtractions is generally sufficient. Maximum distances  $s_{max}$  and  $t_{max}$  for a pixel quad are then determined by comparison. The use of square tiles means only one maximum distance in s or t needs to be calculated.

Based on the maximum distances in s and t, the finest available tile including texel or substitute texel data for the pixel quad is determined in a few arithmetic operations. If the constant size of the tiles is defined by  $s_{tile}$  and  $t_{tile}$  where the tile size equals ( $2^{s_{tile}}, 2^{t_{tile}}$ ) the finest available LOD value is given by the number of significant bits (sigbits) as follows:

$$LOD \ s \ \text{finest} = \text{sigbits}(s_{max}) - s_{tile}$$

$$LOD \ t \ \text{finest} = \text{sigbits}(t_{max}) - t_{tile}$$

As would be apparent to one skilled in the art, LOD generation block 1050 can perform the above calculations and output the greater of the two numbers as the LOD value identifying the appropriate finest resolution tile containing texel or substitute data.

Finally, in addition to reducing the work of LOD generation block 1050, the restrictive use of equal-sized square



tiles and power of two changes in resolution between tiles simplifies the work of the texture memory manager 920. Address generator 1120 can translate global texture coordinates referencing LOD maps to specific tile texture coordinates in the cubical part of the clip-map by merely subtracting a tile offset and an update offset. The tile offset represents the offset from the corner of an LOD map to the corner of a tile. The update offset accounts for any updates in the tile regions which perform subtexture loads to track changes in the eyepoint location and/or field of view.

Thus, an address generator 1120 can obtain specific s and t tile coordinates ( $s_{fine}$ ,  $t_{fine}$ ) for a fine tile having a level of detail equal to the LOD value provided by the texture generator 910 as follows:

$$s_{fine} = s_{TC} - s \text{ tile offset}_{LOD \text{ value}} - s \text{ update offset}_{LOD \text{ value}}$$

$$t_{fine} = t_{TC} - t \text{ tile offset}_{LOD \text{ value}} - t \text{ update offset}_{LOD \text{ value}}$$

where  $s_{TC}$  and  $t_{TC}$  represent the global s and t texture coordinates provided by a texture generator 910, s and t tile offset  $_{LOD \text{ value}}$  represent tile offset values in s and t for a tile at the LOD value provided by the texture generator 910, and s and t update offset  $_{LOD \text{ value}}$  represent update offset values in s and t for a tile at the LOD value.

Some texture filters and subsequent processors also use texel data from the next coarser level of detail. In this case, texture memory manager 920 needs to provide texel data from the next coarser tile as well. Once the specific  $s_{fine}$  or  $t_{fine}$  coordinates are calculated as described above s and t coordinates ( $s_{coarse}$ ,  $t_{coarse}$ ) for the next coarser tile are easily calculated.

In particular, the global texture coordinates ( $s_{TC}$ ,  $t_{TC}$ ) are shifted one bit and reduced by 0.5 to account for the coarser resolution. The tile offset and update offset values (tile offset  $_{LODcoarse}$  and update offset  $_{LODcoarse}$ ) for the next coarser tile are also subtracted for each s and t coordinate. Thus, address generator 1120 determines specific texture coordinate in the next coarser tile as follows:

$$s_{coarse} = \text{trunc}[(s_{TC} \gg 1) - 0.5] - s \text{ tile offset}_{LODcoarse} - s \text{ update offset}_{LODcoarse}; \text{ and}$$

$$t_{coarse} = \text{trunc}[(t_{TC} \gg 1) - 0.5] - t \text{ tile offset}_{LODcoarse} - t \text{ update offset}_{LODcoarse}$$

XIV. Conclusion

While specific embodiments of the present invention have been described above, it should be understood that they have been presented by way of example only, and not limitation. It will be understood by those skilled in the art that various changes in form and details may be made therein without departing from the spirit and scope of the invention as defined in the appended claims. Thus, the breadth and scope of the present invention should not be limited by any of the above-described exemplary embodiments, but should be defined only in accordance with the following claims and their equivalents.

What is claimed is:

1. A computer graphics raster subsystem for providing texture from a texture pattern to an image to be rendered for display in real-time comprising:

texture memory for storing a select portion of a texture map representation of said texture pattern, said select texture map portion containing texture data at multiple levels of detail to substantially cover said image in a display view;

texture mapping means for mapping texture data from said select texture map portion stored in said texture

memory to corresponding pixel data defining said display image; and

clip-map updating means for updating edges of said select texture map portion to track changes in the location of the eyepoint in real-time.

2. The system of claim 1, wherein said texture memory stores said texture data in a two-dimensional or a three-dimensional texel array.

3. A computer graphics processing system for providing texture from a texture pattern in a display image, comprising:

first texture memory for storing a texture map, said texture map having multiple level of detail maps for storing texels representing the texture pattern filtered at successively coarser resolutions;

clip-map selecting means for selecting a clip-map within said texture map, said clip-map consisting of a set of tiles corresponding to regions of said level of detail maps substantially covering the display image;

second texture memory for storing said clip-map;

texture processor means for retrieving texels from said clip-map which map to pixel data forming the display image; and

clip-map updating means for updating texels at edges of said tiles stored in said second texture memory to track changes in the location of an eyepoint.

4. The computer graphics system of claim 3, wherein said first and second texture memory constitute a hierarchical memory arrangement relative to said texture processor means, said texture processor means accesses texels stored in said second texture memory faster than said first texture memory.

5. The computer graphics system of claim 3, wherein: said first texture memory comprises a mass storage device, and

said second texture memory comprises at least one of a static random access memory (SRAM) device and a dynamic random access memory (DRAM) device.

6. The computer graphics system of claim 3, wherein said clip-map selecting means determines the area of the texture pattern covered by each tile based on the location of an eyepoint of the display image.

7. The computer graphics system of claim 3, wherein said clip-map updating means updates texels at edges of said tiles stored in said second texture memory to track changes in the location of a new eyepoint for a new display image.

8. The computer graphics system of claim 7, wherein said clip-map updating means discards texels from said second texture memory and loads texels from said first texture memory into said second texture memory; said texels being discarded from at least one tile edge located furthest from said new eyepoint and said texels being loaded into at least one tile edge located closer to said new eyepoint.

9. The computer graphics subsystem of claim 3, wherein, said texture processor means further retrieves coarser substitute texels from said clip-map.

10. The computer graphics system of claim 3, wherein textured display images are output for display in real-time.

11. The computer graphics system of claim 3, wherein said clip-map contains over 99% less texels than said texture map.

12. The computer graphics system of claim 3, wherein said texture processor means comprises:

a texture generator; and

a texture memory manager coupled between said texture generator and said second texture memory;



wherein said texture generator includes a texture coordinate generator for generating texel coordinates for each pixel and a LOD generator for generating a LOD value for each pixel, said LOD value identifies the tile which covers the pixel and has texel dimensions closest in size to the pixel, said texel coordinates and LOD value being output for each pixel to said texture memory manager; and

wherein said texture memory manager retrieves texels from said clip-map for combining with said pixels to form a textured display image.

13. The system of claim 3, wherein said second texture memory stores texels in said clip-map in a two-dimensional or a three-dimensional texel array.

14. A computer graphics processing system for providing texture from a texture pattern in a display image, comprising:

first texture memory for storing a texture map said texture map having multiple level of detail maps for storing texels representing the texture pattern filtered at successively coarser resolutions;

clip-map selecting means for selecting a clip-map within said texture map, said clip-map consisting of a set of tiles corresponding to regions of said level of detail maps substantially covering the display image;

second texture memory for storing said clip-map; and

texture processor means for retrieving texels from said clip-map which map to pixel data forming the display image, wherein said texture processor means comprises:

texture coordinate generator for generating at least one texture coordinate identifying where a pixel in the display image maps to the texture pattern.

LOD generator for generating a LOD value, and

a memory controller for retrieving at least one texel from said clip-map stored in said second texture memory based on said at least one texture coordinate and said LOD value, wherein, said LOD generator includes:

LOD identifying means for identifying an appropriate level of detail representing the level of detail map amongst said multiple level of detail maps where texture dimension is closest in size to said pixel.

texel determining means for determining whether a texel at said at least one texture coordinate is included in a first tile at said appropriate level of detail, said LOD value being set to said appropriate level of detail when said texel is included in said first tile, and

substitute texel determining means for determining a substitute texel in a second tile which includes said at least one texture coordinate, said LOD value being set to the level of detail of said second tile.

15. A computer graphics processing system for providing texture from a texture pattern in a display image, comprising:

first texture memory for storing a texture map, said texture map having multiple level of detail maps for storing texels representing the texture pattern filtered at successively coarser resolutions;

clip-map selecting means for selecting a clip-map within said texture map, said clip-map consisting of a set of tiles corresponding to regions of said level of detail maps substantially covering the display image;

second texture memory for storing said clip-map; and texture processor means for retrieving texels from said clip-map which map to pixel data forming the display image, wherein said set of tiles comprises a cubical part and a pyramidal part;

said one or more tiles in said cubical part comprising one or more arrays of texels, respectively, within said regions of said level of detail maps, and

said one or more tiles in said pyramidal part comprising one or more arrays of texels, respectively, said one or more arrays of texels in said pyramidal part consisting of said level of detail maps which are equal to or smaller than said one or more tiles in said cubical part.

16. A method for providing texture from a texture pattern in a display image comprising the steps of:

storing a texture map in a first texture memory, said texture map having multiple level of detail maps for storing texels representing the texture pattern filtered at successively coarser resolutions;

selecting a clip-map within said texture map, said clip-map consisting of a set of tiles corresponding to regions of said level of detail maps, said set of tiles being centered in a field of view extending from an eyepoint location of the display image to substantially cover the display image;

storing said clip-map in a second texture memory;

retrieving texels from said clip-map stored in said second texture memory which map to pixels forming the display image; and

updating texels at edges of said tiles stored in said second texture memory to track changes in the location of the eyepoint location.

17. The method of claim 16, wherein said updating step updates said clip-map to track a change in said eyepoint location for a new display image.

18. The method of claim 17, further comprising the step of checking whether a texel to be accessed is located in a fringe portion of a tile, said fringe portion including the edges where texels are updated, and using a substitute texel when said texel is located in said fringe portion.

19. The method of claim 16, wherein said updating step update texels at edges of said tiles stored in said second texture memory to track a change to a new eyepoint location for a new display image.

20. The method of claim 19, wherein said updating step includes the steps of:

discarding texels from an edge of a tile located furthest from said new eyepoint location; and

loading texels from said first texture memory to said second texture memory, said texels being loaded next to an edge of a tile closer to the new eyepoint location wherein the number of texels loaded equals the number of texels discarded to maintain the size of said tile constant.

21. The method of claim 16, further comprising the step of retrieving coarser substitute texels from said clip-map stored in said second texture memory.

22. The method of claim 16, wherein said storing step stores texels in said clip-map in a two-dimensional or a three-dimensional texel array.

23. A method for providing texture from a texture pattern in a display image comprising the steps of:

storing a texture map in a first texture memory, said texture map having multiple level of detail maps for storing texels representing the texture pattern filtered at successively coarser resolutions;

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selecting a clip-map within said texture map, said clip-map consisting of a set of tiles corresponding to regions of said level of detail maps, said set of tiles being centered in a field of view extending from an eyepoint location of the display image to substantially cover the display image;

5 storing said clip-map in a second texture memory; and

retrieving texels from said clip-map stored in said second texture memory which map to pixels forming the display image, wherein said retrieving step includes the following steps:

10 generating at least one texture coordinate identifying where a pixel in the display image maps to the texture pattern.

15 generating a LOD value, and

retrieving at least one texel from said clip-map stored in said second texture memory based on said at least one texture coordinate and said LOD value, wherein, said LOD value generating step includes:

20 identifying an appropriate level of detail representing the level of detail map amongst said multiple level of detail maps where texel dimension is closest in size to said pixel,

25 determining whether a texel at said at least one texture coordinate is included in a first tile at said appropriate level of detail and setting said LOD value to said appropriate level of detail when said texel is included in said first tile, and

30 when said texel is not included in said first tile, determining a substitute texel in a coarser second tile which includes said at least one texture coordinate and setting said LOD value to the level of detail of said second tile.

24. A texture processor for mapping texels from a clip-map to corresponding pixels, wherein the clip-map consists

a set of tiles representing a selected portion of a texture MIP-map which substantially covers the pixels, said texture processor comprising:

- a texture memory storing the clip-map;
- a texture generator; and
- a texture memory manager coupled between said texture generator and said texture memory, wherein said texture generator includes a texture coordinate generator for generating texel coordinates for each pixel and a LOD generator for generating a LOD value for each pixel, said LOD value identifies the tile which covers the pixel and has texel dimensions closest in size to the pixel, said texel coordinates and LOD value being output for each pixel to said texture memory manager; and
- said texture memory manager retrieves texels from the clip-map stored in said texture memory for combining with said pixels to form a textured display image.

25. The texture processor of claim 24, wherein said texture memory stores said texels in two-dimensional or three-dimensional texel arrays.

26. The texture processor of claim 24, wherein said texture memory manager includes an address generator for subtracting at least one of a tile offset and an update offset.

27. The texture processor of claim 24, wherein 2x2 groups of pixels are processed as pixel quads.

28. The texture processor of claim 27, wherein said texture generator includes a quad coordinate compression block for compressing texel coordinates for each pixel quad sent to said texture memory manager, and said texture memory manager includes a quad coordinate decompression block to decompress the compressed texel coordinates for each pixel quad.

\* \* \* \* \*

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# A Commentary on GeoVRML: A Tool for 3D Representation of GeoReferenced Data on the Web

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## Abstract:

GeoVRML techniques have the potential to provide functional and transparent communication between geographic information and 3D Web visualization tools. This report outlines recommended practices and modifications to the VRML 97 standard to consider pre-existing cartographic projections and georeferenced data. The concepts outlined for incorporating georeferenced coordinate systems in VRML worlds have generic applicability to 3D Web technologies like MPEG-4, Java3D and Chrome.

## Introduction:

The interactive three dimensional (3D) representation of georeferenced data on the World Wide Web (Web) is achieved with tools like the Virtual Reality Modeling Language (VRML). VRML97 is the approved International Standard (ISO/IEC 14772) file format for describing interactive multimedia on the Internet. In general, a VRML file is also called a "world". Users explore these "worlds" with Web browsers that support the viewing of VRML files. More information on VRML can be found at the Web3D Consortium Web pages, see: (<http://www.web3d.org>).

The VRML97 standard was designed primarily by the computer graphics community. Typical computer graphics imagery focuses on locally bounded regions and small screen sizes where maximum pixel ranges are approximately 1600 by 1280 pixels. As a result, VRML97 relies on single-precision (32 bit) IEEE floating point data values. The coordinate system for VRML97 is based on the simple Cartesian local (X,Y,Z) coordinate system with the origin being at (0,0,0) and Y representing up. This coordinate system is often sufficient for many computer graphics problems.

These two parameters of the VRML 97 standard provide limitations for the representation of geographic and cartographic data as well as georeferenced computational modeling simulations in VRML. For example, since the earth's diameter approximates 12 million meters, it is not possible to present geographic data resolutions greater than 10 to 100 meters with single-precision data values. This means that data obtained from global positioning systems (GPS) with absolute locations within 1

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meter resolution cannot be accurately presented in VRML97. The heavy reliance on Cartesian coordinates also poses difficulties with data in Geodetic (GDC or latitude/longitude), Universal Transverse Mercator (UTM), Lambert Conformal Conic (LCC) or other pre-existing cartographic projections. In February 1998, the VRML Consortium approved the formation of the GeoVRML Working Group to discuss and develop tools, recommended practices and standards necessary to generate, display and exchange georeferenced data in VRML, (Iverson & GeoVRML, 1998). In December 1998, the VRML Consortium expanded its charter and renamed itself as the "Web 3D Consortium".

This report reviews the major recommended practices and modifications to the VRML standard under consideration and development by the GeoVRML Working Group. Additional emerging 3D Web technologies and their relation to geospatial data visualization will also be highlighted. GeoVRML techniques have the potential to provide functional and transparent communication between geographic information and 3D visualization tools, (Rhyne, 1997).

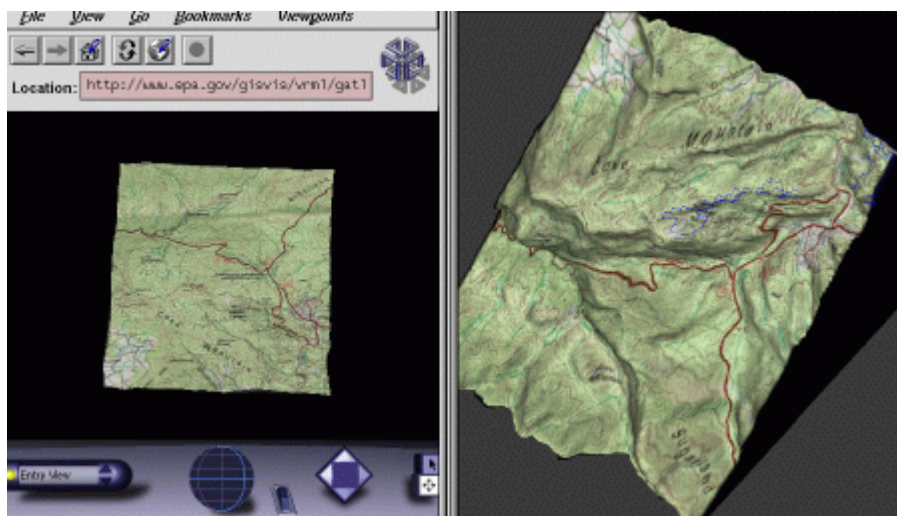


Figure #1: Example VRML world with a TIFF image of a USGS map draped over the 3D surface is shown on the left. On the right is a similar image made with a visualization toolkit package. Notice how the map is inverted in the VRML browser. We hope to improve this situation with GeoVRML Coordinate systems. Images developed by Theresa-Marie Rhyne and Thomas Fowler of Lockheed Martin Technical Services at the United States Environmental Protection Agency's Scientific Visualization Center. See: (<http://www.epa.gov/gisvis>).

### Moving towards GeoVRML Coordinate Systems:

The geographic information systems, cartographic and military simulation communities have developed a number of standards for the representation of geospatial information and georeferencing of arbitrary data, (Rhyne, 1998). The Open GIS Consortium is presently moving forward with efforts to support the full integration of geospatial data into mainstream computing and the widespread usage of interoperable commercial geoprocessing software, see: (<http://www.opengis.org/>). There are also International Organization for Standardization (ISO) efforts in the Geographic information/Geomatics arenas, see: (<http://www.iso.ch/meme/TC211.html>).

In order to attempt to include a methodology for supporting georeferenced data in the upcoming (1999) revision to the VRML97 standard, the GeoVRML Working Group decided to base its efforts on a currently existing reference model and software package entitled the SEDRIS Geographic

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Reference Model (GRM). The Synthetic Environment Data Representation & Interchange Specification (SEDRIS) is a project funded by the United States' Defense Modeling and Simulation Office. The SEDRIS GRM supports twelve different coordinate systems and provides tools to automatically convert reference marks between them. The software source for GRM is publically available and is currently implemented in the C programming language. More information on the SEDRIS GRM can be found on the SEDRIS Web site at: (<http://www.sedris.org/>).

The GeoVRML Working Group is thus recommending a Level 1 practice whereby geographical coordinates based on the SEDRIS GRM are converted into a local Cartesian coordinate system for improved level of detail in GeoVRML visualizations. The GeoVRML Working Group is also exploring a Level 2 practice whereby geo-referenced data is transparently and seamlessly converted from a wider and multiple variety of sources, (Iverson & GeoVRML, 1998).

Researchers at the SRI International - Artificial Intelligence Center, have recently developed, for public release, the GeoTransform Java class file hierarchy based on the SEDRIS GRM. With the GeoTransform Java package, it is possible to perform efficient and accurate geographic coordinate transformations for the Geodetic Coordinate System (GDC), GeoCentric Coordinate System (GCC), and Universal Transverse Mercator (UTM) System. GeoTransform allows for authoring VRML worlds that read coordinates in any of these systems and transparently convert the geographic data into Cartesian Coordinates for display in a VRML browser. More information on the GeoTranform Java package can be found at: (<http://www.ai.sri.com/~reddy/geovrml/geotransform/>).

**Defining the GeoOrigin Node:**

In order to build a georeferenced VRML world, a GeoOrigin node is defined in the VRML file. This GeoOrigin allows for converting coordinates from cartographic earth-based coordinate systems into the existing VRML97 Cartesian reference frame, (Iverson & GeoVRML, 1998). A single GeoOrigin node, representing a single georeferenced point, becomes the reference frame identified with the VRML world's zero-based (0,0,0) origin.

**GeoOrigin**

```
EXTERNPROTO GeoOrigin [
  field MFString geoSystem ["GDC"]
  field SFString geoCoords ""
] "urn:geovrml:protos#GeoOrigin"
```

The geoSystem field selects a geographic reference system from the naming conventions based on the SEDRIS GRM. Some of these georeference coordinate systems require additional arguments to fully designate the coordinates. As an example, the Geodetic (GDC) system involves the selection of ellipsoid, geoid, and datum references. Additional strings in the geoSystem field support this requirement.

The geoCoords field is a sequence of 64-bit precision values separated by spaces that define an absolute location using the coordinate system selected in the geoSystem field. Optional strings in the geoSystem field determine the interpretation of the geoCoords field. As an example, "DMS" can specify that the geoCoords string will include degree, minute and second fields for each latitude and longitude value in a GDC coordinate. Every geospatial location determined by a geoSystem and geoCoords pair defines an implicit orthogonal Cartesian reference frame indexed by x,y,z in meters

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with the designated geospatial location at the origin and with y being the up direction. This allows for conformance with the VRML97 standard.

A more detailed discussion about the GeoOrigin node can be found in the Request for Comment document on GeoVRML Coordinate Systems. This discussion is located at the GeoVRML Working Group's Web site at: (<http://www.ai.sri.com/~leei/geovrml/>).

During the past year, SRI International developed a series of VRML97 nodes for improved support of terrain visualization. These contributions were developed as part of the GeoVRML Working Group and are in the public domain. A comprehensive discussion of these efforts can be found in the SRI International - Artificial Intelligence Center Report No. 559, which is cited in the references below, (Reddy, et. al., 1998). These new GeoVRML nodes can be accessed on the Web at: (<http://www.ai.sri.com/geovrml/protos>).

### **Integrating Spatial Data Repositories and GeoVRML Visualizations:**

There are a number of efforts underway to examine the use of the Virtual Reality Modeling Language (VRML) for the interactive exploration of geospatial data repositories (Rhyne & Fowler, 1996). In the United States, some of this work is being done in conjunction with the Federal Geographic Data Committee (FGDC) 's National Geospatial Data Clearinghouse (see: (<http://fgdc.er.usgs.gov/>)). In addition to the use of intelligent agents, data mining techniques are being employed to assist with the retrieval of spatial data. The development of GeoOrigin nodes in VRML will support the use of agent and data mining technology for rapid creation of interactive web-based visualizations. This will greatly facilitate visual information retrieval of geospatial data.

### **Reaching out to other Interactive 3D Web Technologies:**

In addition to VRML, there are other 3D Web technologies under development. Three examples include (a) the development of the MPEG-4 standard; (b) Java 3D and (c) Chrome. In early 1998, the International Organization for Standardization (ISO) announced that it will use Apple Computer's QuickTime file format as the basis for a unified digital media storage format for the MPEG-4 standard for graphics content on the Web. The VRML Consortium has established a Working Group to examine MPEG-4 and VRML integration. Java3D, from Sun Microsystems, supports the development of 3D computer graphics applications in the Java programming language. This includes the development of VRML browsers with Java 3D. Another emerging 3D Web technology is Chrome from Microsoft Corporation. Chrome is a Windows 98 add-on that uses the Extensible Markup Language (XML) to access Windows 98 multimedia capabilities for creating 3D content on the Web. The concepts outlined above for incorporating georeferenced coordinate systems in VRML worlds have generic applicability to 3D Web technologies like MPEG-4, Java3D and Chrome. Details about the QuickTime file format and its adoption by ISO as the starting point for MPEG-4 can be found at the Apple Computer web site, see: (<http://www.apple.com/quicktime/>). More information on Java and Java 3D can be found at the Javasoft Web site, see: (<http://www.javasoft.com/products/java-media/3D/>). Additional information on Chrome can be found by searching the Microsoft web site at: (<http://www.microsoft.com>).

### **Concluding Remarks:**

The use of VRML for cartographic and geographic presentation is currently being examined by research groups participating in the International Cartographic Association's Commission on Visualization, (Fairburn and Parsley, 1997). Preliminary definitions of the needs for geofunctions in



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virtual reality and VRML were done at Leicester University in July 1997, (Moore, et. al.). The Commission has also explored other multimedia and web-based technologies for developing mapping products, (Cartwright, 1998) and (Andrienko & Andrienko, 1998). The Association for Computing Machinery's Special Interest Group on Graphics (ACM - SIGGRAPH)'s collaboration with the ICA Commission on Visualization has attempted to examine how computer graphics technology can be effectively adapted to meet cartographic needs and requirements. This project, entitled the ACM SIGGRAPH Carto Project, is pleased that the VRML Consortium chose to create the GeoVRML Working Group to actualize effective exchange of georeferenced data in VRML. We anticipate GeoVRML techniques expanding to address many 3D Web Technologies as the VRML Consortium redefines itself as the Web 3D Consortium. The issues discussed here are important steps toward functional integration of geographic information and 3D visualization tools. We hope similar efforts will continue to emerge in the future.

### Acknowledgements:

We would like to acknowledge the efforts of Lee Iverson, founding Chair of the GeoVRML Working Group of the Web 3D Consortium, Don Brutzman, Vice President for Technology of the Web 3D Consortium, and Martin Reddy (who built many of the new GeoVRML nodes for VRML97). We are also appreciative to Judy Brown, Past Chair of Special Projects for ACM SIGGRAPH, for all the encouragement she provided during the first two years of the ACM SIGGRAPH Carto Project.

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Theresa-Marie Rhyne is a Director at Large of the ACM SIGGRAPH Executive Committee and is the Project Director of the ACM SIGGRAPH Carto Project. She is a lead scientific visualization researcher for Lockheed Martin Technical Services at the United States Environmental Protection Agency's Scientific Visualization Center.

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# GeoTIFF Format Specification

## GeoTIFF Revision 1.0

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Specification Version: 1.8.1  
Last Modified: 31 October, 1995

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TIFF implementations.

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regarding projections, projection code databases and geodetics.

GeoTIFF Mailing list:

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(send message "subscribe geotiff your-name-here").

### Disclaimers and Notes for This Version:

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## Concurrence

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The following members of the GeoTIFF working group have reviewed and approved of this revision.

Name	Organization	Representing
Niles Ritter	Jet Propulsion Labs	JPL Carto Group
Mike Ruth	SPOT Image Corp. (USA)	SPOT Image Corp. (USA)

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## Table of Contents

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## 1 Introduction

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### 1.1 About this Specification

This is a description of a proposal to specify the content and structure of a group of industry-standard tag sets for the management of georeference or geocoded raster imagery using Aldus-Adobe's public domain Tagged-Image File Format (TIFF).

This specification closely follows the organization and structure of the TIFF specification document.

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### 1.1.1 Background

TIFF has emerged as one of the world's most popular raster file formats. But TIFF remains limited in cartographic applications, since no publicly available, stable structure for conveying geographic information presently exists in the public domain.

Several private solutions exist for recording cartographic information in TIFF tags. Intergraph has a mature and sophisticated geotie tag implementation, but this remains within the private TIFF tagset registered exclusively to Intergraph. Other companies (such as ESRI, and Island Graphics) have geographic solutions which are also proprietary or limited by specific application to their software's architecture.

Many GIS companies, raster data providers, and their clients have requested that the companies concerned with delivery and exploitation of raster geographic imagery develop a publicly available, platform interoperable standard for the support of geographic TIFF imagery. Such TIFF imagery would originate from satellite imaging platforms, aerial platforms, scans of aerial photography or paper maps, or as a result of geographic analysis. TIFF images which were supported by the public "geotie" tagset would be able to be read and positioned correctly in any GIS or digital mapping system which supports the "GeoTIFF" standard, as proposed in this document.

The savings to the users and providers of raster data and exploitation softwares are potentially significant. With a platform interoperable GeoTIFF file, companies could stop spending excessive development resource in support of any and all proprietary formats which are invented. Data providers may be able to produce off-the-shelf imagery products which can be delivered in the "generic" TIFF format quickly and possibly at lower cost. End-users will have the advantage of developed software that exploits the GeoTIFF tags transparently. Most importantly, the same raster TIFF image which can be read and modified in one GIS environment may be equally exploitable in another GIS environment without requiring any file duplication or import/export operation.

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### 1.1.2 History

The initial efforts to define a TIFF "geotie" specification began under the leadership of Ed Grissom at Intergraph, and others in the early 1990's. In 1994 a formal GeoTIFF mailing-list was created and maintained by Niles Ritter at JPL, which quickly grew to over 140 subscribers from government and industry. The purpose of the list is to discuss common goals and interests in developing an industry-wide GeoTIFF standard, and culminated in a conference in March of 1995 hosted by SPOT Image, with representatives from USGS, Intergraph, ESRI, ERDAS, SoftDesk, MapInfo, NASA/JPL, and others, in which the current working proposal for GeoTIFF was

outlined. The outline was condensed into a prerelease GeoTIFF specification document by Niles Ritter, and Mike Ruth of SPOT Image.

Following discussions with Dr. Roger Lott of the European Petroleum Survey Group (EPSG), the GeoTIFF projection parametrization method was extensively modified, and brought into compatibility with both the POSC Epicentre model, and the Federal Geographic Data Committee (FGDC) metadata approaches.

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### 1.1.3 Scope

The GeoTIFF spec defines a set of TIFF tags provided to describe all "Cartographic" information associated with TIFF imagery that originates from satellite imaging systems, scanned aerial photography, scanned maps, digital elevation models, or as a result of geographic analyses. Its aim is to allow means for tying a raster image to a known model space or map projection, and for describing those projections.

GeoTIFF does not intend to become a replacement for existing geographic data interchange standards, such as the USGS SDTS standard or the FGDC metadata standard. Rather, it aims to augment an existing popular raster-data format to support georeferencing and geocoding information.

The tags documented in this spec are to be considered completely orthogonal to the raster-data descriptions of the TIFF spec, and impose no restrictions on how the standard TIFF tags are to be interpreted, which color spaces or compression types are to be used, etc.

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### 1.1.4 Features

GeoTIFF fully complies with the TIFF 6.0 specifications, and its extensions do not in any way go against the TIFF recommendations, nor do they limit the scope of raster data supported by TIFF.

GeoTIFF uses a small set of reserved TIFF tags to store a broad range of georeferencing information, catering to geographic as well as projected coordinate systems needs. Projections include UTM, US State Plane and National Grids, as well as the underlying projection types such as Transverse Mercator, Lambert Conformal Conic, etc. No information is stored in private structures, IFD's or other mechanisms which would hide information from naive TIFF reading software.

GeoTIFF uses a "MetaTag" (GeoKey) approach to encode dozens of information elements into just 6 tags, taking advantage of TIFF platform-independent data format representation to avoid cross-platform interchange difficulties. These keys are designed in a manner parallel to standard TIFF tags, and closely follow the TIFF discipline in their structure and layout. New keys may be defined as needs arise, within the current framework, and without requiring the allocation of new tags from Aldus/Adobe.

GeoTIFF uses numerical codes to describe projection types, coordinate systems, datums, ellipsoids, etc. The projection, datums and ellipsoid codes are derived

from the EPSG list compiled by the Petrotechnical Open Software Corporation (POSC), and mechanisms for adding further international projections, datums and ellipsoids has been established. The GeoTIFF information content is designed to be compatible with the data decomposition approach used by the National Spatial Data Infrastructure (NSDI) of the U.S. Federal Geographic Data Committee (FGDC).

While GeoTIFF provides a robust framework for specifying a broad class of existing Projected coordinate systems, it is also fully extensible, permitting internal, private or proprietary information storage. However, since this standard arose from the need to avoid multiple proprietary encoding systems, use of private implementations is to be discouraged.

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## 1.2 Revision Notes

This is the final release of GeoTIFF Revision 1.0, supporting the new EPSG 2.x codes.

Changes from 1.8 document: minor spelling and typo corrections.

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### 1.2.1 Revision Nomenclature

A Revision of GeoTIFF specifications will be denoted by two integers separated by a decimal, indicating the Major and Minor revision numbers. GeoTIFF stores most of its information using a "Key-Code" pairing system; the Major revision number will only be incremented when a substantial addition or modification is made to the list of information Keys, while the Minor Revision number permits incremental augmentation of the list of valid codes.

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### 1.2.2 New Features

Revision 1.0 New Transformation Matrix Tag.

Index Table added in Section 6.4 to assist in looking up geodesy codes.

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### 1.2.3 Clarifications

Revision 1.0:

- o The former ModelTransformationTag (33920) conflicts with an internal Intergraph implementation and is being deprecated, in favor of a new tag (34264, registered to JPL).
- o The "Origin" keys have been renamed with "Natural" or "Nat" prefixes, to distinguish from "False" origins, and to have a closer match to EPSG/POSC terminology. All Revision 0.2 names shall be recognized in a backward-compatible fashion.
- o The GeoTIFF/Cartlab web page addresses have been moved out of the author's ~ndr/ personal directory, and may now be found at:

<http://www-mipl.jpl.nasa.gov/cartlab/geotiff/geotiff.html>

Revision 0.2:

- o South Oriented Gauss Conformal is Transverse Mercator with South pointing up, and so has been given a distinct code, rather than aliased to Transverse Mercator.

Revision 0.1:

- o GeoTIFF-writers shall store the GeoKey entries in key-sorted order within the GeoKeyDirectoryTag. This is a change from preliminary discussions which permitted arbitrary order, and more closely follows the TIFF discipline.
- o The third value "ScaleZ" in ModelPixelScaleTag = (ScaleX, ScaleY, ScaleZ) shall by default be set to 0, not 1, as suggested in preliminary discussions. This is because most standard model spaces are 2-dimensional (flat), and therefore its vertical shape is independent of the pixel-value.
- o The code 32767 shall be used to imply "user-defined", rather than 16384. This avoids breaking up the reserved public GeoKey code space into two discontinuous ranges, 0-16383 and 16385-32767.
- o If a GeoKey is coded "undefined", then it is exactly that; no parameters should be provided (e.g. EllipsoidSemiMajorAxis, etc). To provide parameters for a non-coded attribute, use "user-defined".

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## 1.2.4 Organizational changes

None.

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## 1.2.5 Changes in Requirements

Changes to this preliminary revision:

- o Support for new transformation matrix tag (34264) required.

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## 1.2.6 Agenda for Future Development

Revision 1.0, which is the first true "Baseline" revision, is proposed to support well-documented, public, relatively simple Projected Coordinate Systems (PCS), including most commonly used and supported in the international public domains today, together with their underlying map-projection systems. Following the critiques of the 0.x Revision phase, the 1.0 Revision spec is hereby released in Sept '95.

In the coming year, incremental 1.x augmentations to the "codes" list will be established, as well as discussions regarding the future "2.0" requirements.

The Revision 2.0 phase is proposed to extend the capability of the GeoTIFF tagsets beyond PCS projections into more complex map projection geometries, including single-project, single-vendor, or proprietary cartographic solutions.

TBD: Sounding Datums and related parameters for Digital Elevation Models (DEM's) and bathymetry -- Revision 2?

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## 1.3 Administration

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### 1.3.1 Information and Support:

The most recent version of the GeoTIFF spec, EPSG/POSC tables, and source code is available via anonymous FTP at:

`ftp://mtritter.jpl.nasa.gov/pub/tiff/geotiff/`

and is mirrored at the USGS:

`ftp://ftpmcmc.cr.usgs.gov/release/geotiff/jpl_mirror/`

There are several subdirectories called `spec/` `tables/` and `code/`.

The USGS also has an archive of prototype GeoTIFF images at:

`ftp://ftpmcmc.cr.usgs.gov/release/geotiff/images/`

Information and a hypertext version of the GeoTIFF spec is available via WWW at the following site:

`http://www-mipl.jpl.nasa.gov/cartlab/geotiff/geotiff.html`

A mailing-list is currently active to discuss the on-going development of this standard. To subscribe to this list, send e-mail to:

`GeoTIFF-request@tazboy.jpl.nasa.gov`

with no subject and the body of the message reading:

`subscribe geotiff your-name-here`

To post inquiries directly to the list, send email to:

`geotiff@tazboy.jpl.nasa.gov`

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### 1.3.2 Private Keys and Codes:

As with TIFF, in GeoTIFF private "GeoKeys" and codes may be used, starting with 32768 and above. Unlike the TIFF spec, however, these private key-spaces will not be reserved, and are only to be used for private, internal purposes.

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### 1.3.3 Proposed Revisions to GeoTIFF

Should a feature arise which is not currently supported, it should be formally proposed for addition to the GeoTIFF spec, through the official mailing-list.

The current maintainer of the GeoTIFF specification is Niles Ritter, though this may change at a later time. Projection codes are maintained through EPSG/POSC, and a mechanism for change/additions will be established through the GeoTIFF mailing list.

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## 2 Baseline GeoTIFF

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### 2.1 Notation

This spec follows the notation remarks of the TIFF 6.0 spec, regarding "is", "shall", "should", and "may"; the first two indicate mandatory requirements, "should" indicates a strong recommendation, while "may" indicates an option.

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### 2.2 GeoTIFF Design Considerations

Every effort has been made to adhere to the philosophy of TIFF data abstraction. The GeoTIFF tags conform to a hierarchical data structure of tags and keys, similar to the tags which have been implemented in the "basic" and "extended" TIFF tags already supported in TIFF Version 6 specification. The following are some points considered in the design of GeoTIFF:

- o Private binary structures, while permitted under the TIFF spec, are in general difficult to maintain, and are intrinsically platform- dependent. Whenever possible, information should be sorted into their intrinsic data-types, and placed into appropriately named tags. Also, implementors of TIFF readers would be more willing to honor a new tag specification if it does not require parsing novel binary structures.

- o Any Tag value which is to be used as a "keyword" switch or modifier should be a SHORT type, rather than an ASCII string. This avoids common mistakes of mis-spelling a keyword, as well as facilitating an implementation in code using the "switch/case" features of most languages. In general, scanning ASCII strings for keywords (CaseINSensitive?) is a hazardous (not to mention slower and more complex) operation.



o True "Extensibility" strongly suggests that the Tags defined have a sufficiently abstract definition so that the same tag and its values may be used and interpreted in different ways as more complex information spaces are developed. For example, the old SubFileType tag (255) had to be obsoleted and replaced with a NewSubFileType tag, because images began appearing which could not fit into the narrowly defined classes for that Tag. Conversely, the YCbCrSubsampling Tag has taken on new meaning and importance as the JPEG compression standard for TIFF becomes finalized.

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## 2.3 GeoTIFF Software Requirements

GeoTIFF requires support for all documented TIFF 6.0 tag data-types, and in particular requires the IEEE double-precision floating point "DOUBLE" type tag. Most of the parameters for georeferencing will not have sufficient accuracy with single-precision IEEE, nor with RATIONAL format storage. The only other alternative for storing high-precision values would be to encode as ASCII, but this does not conform to TIFF recommendations for data encoding.

It is worth emphasizing here that the TIFF spec indicates that TIFF-compliant readers shall honor the 'byte-order' indicator, meaning that 4-byte integers from files created on opposite order machines will be swapped in software, and that 8-byte DOUBLE's will be 8-byte swapped.

A GeoTIFF reader/writer, in addition to supporting the standard TIFF tag types, must also have an additional module which can parse the "Geokey" MetaTag information. A public-domain software package for performing this function is now available; see the "References" in section 5 for the location.

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## 2.4 GeoTIFF File and "Key" Structure

This section describes the abstract file-format and "GeoKey" data storage mechanism used in GeoTIFF. Uses of this mechanism for implementing georeferencing and geocoding is detailed in section 2.6 and section 2.7 .

A GeoTIFF file is a TIFF 6.0 file, and inherits the file structure as described in the corresponding portion of the TIFF spec. All GeoTIFF specific information is encoded in several additional reserved TIFF tags, and contains no private Image File Directories (IFD's), binary structures or other private information invisible to standard TIFF readers.

The number and type of parameters that would be required to describe most popular projection types would, if implemented as separate TIFF tags, likely require dozens or even hundred of tags, exhausting the limited resources of the TIFF tag-space. On the other hand, a private IFD, while providing thousands of free tags, is limited in that its tag-values are invisible to non-savvy TIFF readers (which don't know that the IFD\_OFFSET tag value points to a private IFD).

To avoid these problems, a GeoTIFF file stores projection parameters in a set of "Keys" which are virtually identical in function to a "Tag", but has one more level of abstraction above TIFF. Effectively, it is a sort of "Meta-Tag". A Key works with formatted tag-values of a TIFF file the way that a TIFF file deals with the raw bytes of a data file. Like a tag, a Key has an ID number ranging from 0 to 65535,

but unlike TIFF tags, all key ID's are available for use in GeoTIFF parameter definitions.

The Keys in GeoTIFF (also call "GeoKeys") are all referenced from the GeoKeyDirectoryTag, which defined as follows:

```
GeoKeyDirectoryTag:
  Tag = 34735 (87AF.H)
  Type = SHORT (2-byte unsigned short)
  N = variable, >= 4
  Alias: ProjectionInfoTag, CoordSystemInfoTag
  Owner: SPOT Image, Inc.
```

This tag may be used to store the GeoKey Directory, which defines and references the "GeoKeys", as described below.

The tag is an array of unsigned SHORT values, which are primarily grouped into blocks of 4. The first 4 values are special, and contain GeoKey directory header information. The header values consist of the following information, in order:

```
Header={KeyDirectoryVersion, KeyRevision, MinorRevision, NumberOfKeys}
```

where

"KeyDirectoryVersion" indicates the current version of Key implementation, and will only change if this Tag's Key structure is changed. (Similar to the TIFFVersion (42)). The current DirectoryVersion number is 1. This value will most likely never change, and may be used to ensure that this is a valid Key-implementation.

"KeyRevision" indicates what revision of Key-Sets are used.

"MinorRevision" indicates what set of Key-codes are used. The complete revision number is denoted <KeyRevision>.<MinorRevision>

"NumberOfKeys" indicates how many Keys are defined by the rest of this Tag.

This header is immediately followed by a collection of <NumberOfKeys> KeyEntry sets, each of which is also 4-SHORTS long. Each KeyEntry is modeled on the "TIFFEntry" format of the TIFF directory header, and is of the form:

```
KeyEntry = { KeyID, TIFFTagLocation, Count, Value_Offset }
```

where

"KeyID" gives the key-ID value of the Key (identical in function to TIFF tag ID, but completely independent of TIFF tag-space),

"TIFFTagLocation" indicates which TIFF tag contains the value(s) of the Key: if TIFFTagLocation is 0, then the value is SHORT, and is contained in the "Value\_Offset" entry. Otherwise, the type (format) of the value is implied by the TIFF-Type of the tag containing the value.

"Count" indicates the number of values in this key.

"Value\_Offset" Value\_Offset indicates the index-offset \*into\* the TagArray indicated by TIFFTagLocation, if it is nonzero. If TIFFTagLocation=0, then Value\_Offset contains the actual (SHORT) value of the Key, and Count=1 is implied. Note that the offset is not a byte-offset, but rather an index based on the natural data type of the specified tag array.

Following the KeyEntry definitions, the KeyDirectory tag may also contain additional values. For example, if a Key requires multiple SHORT values, they shall be placed at the end of this tag, and the KeyEntry will set TIFFTagLocation=GeoKeyDirectoryTag, with the Value\_Offset pointing to the location of the value(s).

All key-values which are not of type SHORT are to be stored in one of the following two tags, based on their format:

GeoDoubleParamsTag:  
 Tag = 34736 (87B0.H)  
 Type = DOUBLE (IEEE Double precision)  
 N = variable  
 Owner: SPOT Image, Inc.

This tag is used to store all of the DOUBLE valued GeoKeys, referenced by the GeoKeyDirectoryTag. The meaning of any value of this double array is determined from the GeoKeyDirectoryTag reference pointing to it. FLOAT values should first be converted to DOUBLE and stored here.

GeoAsciiParamsTag:  
 Tag = 34737 (87B1.H)  
 Type = ASCII  
 Owner: SPOT Image, Inc.  
 N = variable

This tag is used to store all of the ASCII valued GeoKeys, referenced by the GeoKeyDirectoryTag. Since keys use offsets into tags, any special comments may be placed at the beginning of this tag. For the most part, the only keys that are ASCII valued are "Citation" keys, giving documentation and references for obscure projections, datums, etc.

Note on ASCII Keys:

Special handling is required for ASCII-valued keys. While it is true that TIFF 6.0 permits multiple NULL-delimited strings within a single ASCII tag, the secondary strings might not appear in the output of naive "tiffdump" programs. For this reason, the null delimiter of each ASCII Key value shall be converted to a "|" (pipe) character before being installed back into the ASCII holding tag, so that a dump of the tag will look like this.

```
AsciiTag="first_value|second_value|etc...last_value|"
```

A baseline GeoTIFF-reader must check for and convert the final "|" pipe character of a key back into a NULL before returning it to the client software.

GeoKey Sort Order:

In the TIFF spec it is required that TIFF tags be written out to the file in tag-ID sorted order. This is done to avoid forcing software to perform N-squared sort operations when reading and writing tags.

To follow the TIFF philosophy, GeoTIFF-writers shall store the GeoKey entries in key-sorted order within the CoordSystemInfoTag.

Example:

```
GeoKeyDirectoryTag=( 1,      1, 2,      6,
                    1024,   0, 1,      2,
                    1026, 34737,12,    0,
                    2048,   0, 1, 32767,
                    2049, 34737,14,    12,
                    2050,   0, 1,      6,
                    2051, 34736, 1,    0 )
GeoDoubleParamsTag(34736)=(1.5)
GeoAsciiParamsTag(34737)=("Custom File|My Geographic|")
```

The first line indicates that this is a Version 1 GeoTIFF GeoKey directory, the keys are Rev. 1.2, and there are 6 Keys defined in this tag.

The next line indicates that the first Key (ID=1024 = GTModelTypeGeoKey) has the value 2 (Geographic), explicitly placed in the entry list (since TIFFTagLocation=0). The next line indicates that the Key 1026 (the GTCitationGeoKey) is listed in the GeoAsciiParamsTag (34737) array, starting at offset 0 (the first in array), and running for 12 bytes and so has the value "Custom File" (the "|" is converted to a null delimiter at the end). Going further down the list, the Key 2051 (GeogLinearUnitSizeGeoKey) is located in the GeoDoubleParamsTag (34736), at offset 0 and has the value 1.5; the value of key 2049 (GeogCitationGeoKey) is "My Geographic".

The TIFF layer handles all the problems of data structure, platform independence, format types, etc, by specifying byte-offsets, byte-order format and count, while the Key describes its key values at the TIFF level by specifying Tag number, array-index, and count. Since all TIFF information occurs in TIFF arrays of some sort, we have a robust method for storing anything in a Key that would occur in a Tag.

With this Key-value approach, there are 65536 Keys which have all the flexibility of TIFF tag, with the added advantage that a TIFF dump will provide all the information that exists in the GeoTIFF implementation.

This GeoKey mechanism will be used extensively in section 2.7, where the numerous parameters for defining Coordinate Systems and their underlying projections are defined.

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## 2.5 Coordinate Systems in GeoTIFF

Geotiff has been designed so that standard map coordinate system definitions can be readily stored in a single registered TIFF tag. It has also been designed to allow the description of coordinate system definitions which are non-standard, and for the description of transformations between coordinate systems, through the use of three or four additional TIFF tags.

However, in order for the information to be correctly exchanged between various clients and providers of GeoTIFF, it is important to establish a common system for describing map projections.

In the TIFF/GeoTIFF framework, there are essentially three different spaces upon which coordinate systems may be defined. The spaces are:

- 1) The raster space (Image space) R, used to reference the pixel values in an image,
- 2) The Device space D, and
- 3) The Model space, M, used to reference points on the earth.

In the sections that follow we shall discuss the relevance and use of each of these spaces, and their corresponding coordinate systems, from the standpoint of GeoTIFF.

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## 2.5.1 Device Space and GeoTIFF

In standard TIFF 6.0 there are tags which relate raster space R with device space D, such as monitor, scanner or printer. The list of such tags consists of the following:

```
ResolutionUnit (296)
XResolution    (282)
YResolution    (283)
Orientation    (274)
XPosition      (286)
YPosition      (287)
```

In Geotiff, provision is made to identify earth-referenced coordinate systems (model space M) and to relate M space with R space. This provision is independent of and can co-exist with the relationship between raster and device spaces. To emphasize the distinction, this spec shall not refer to "X" and "Y" raster coordinates, but rather to raster space "J" (row) and "I" (column) coordinate variables instead, as defined in section 2.5.2.2.

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## 2.5.2 Raster Coordinate Systems

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### 2.5.2.1 Raster Data

Raster data consists of spatially coherent, digitally stored numerical data, collected from sensors, scanners, or in other ways numerically derived. The manner in which this storage is implemented in a TIFF file is described in the standard TIFF specification.

Raster data values, as read in from a file, are organized by software into two dimensional arrays, the indices of the arrays being used as coordinates. There may

also be additional indices for multispectral data, but these indices do not refer to spatial coordinates but spectral, and so of not of concern here.

Many different types of raster data may be georeferenced, and there may be subtle ways in which the nature of the data itself influences how the coordinate system (Raster Space) is defined for raster data. For example, pixel data derived from imaging devices and sensors represent aggregate values collected over a small, finite, geographic area, and so it is natural to define coordinate systems in which the pixel value is thought of as filling an area. On the other hand, digital elevations models may consist of discrete "postings", which may best be considered as point measurements at the vertices of a grid, and not in the interior of a cell.

### 2.5.2.2 Raster Space

The choice of origin for raster space is not entirely arbitrary, and depends upon the nature of the data collected. Raster space coordinates shall be referred to by their pixel types, i.e., as "PixelIsArea" or "PixelIsPoint".

Note: For simplicity, both raster spaces documented below use a fixed pixel size and spacing of 1. Information regarding the visual representation of this data, such as pixels with non-unit aspect ratios, scales, orientations, etc, are best communicated with the TIFF 6.0 standard tags.

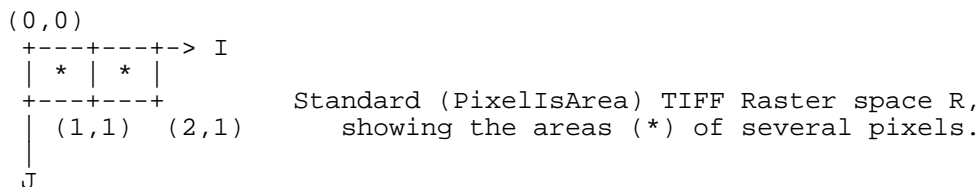
+-----+

#### "PixelIsArea" Raster Space

The "PixelIsArea" raster grid space R, which is the default, uses coordinates I and J, with (0,0) denoting the upper-left corner of the image, and increasing I to the right, increasing J down. The first pixel-value fills the square grid cell with the bounds:

top-left = (0,0), bottom-right = (1,1)

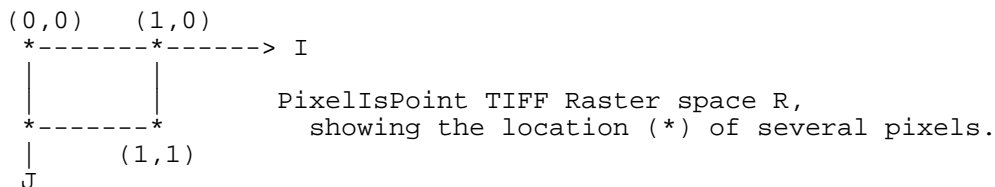
and so on; by extension this one-by-one grid cell is also referred to as a pixel. An N by M pixel image covers an are with the mathematically defined bounds (0,0),(N,M).



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#### "PixelIsPoint" Raster Space

The PixelIsPoint raster grid space R uses the same coordinate axis names as used in PixelIsArea Raster space, with increasing I to the right, increasing J down. The first pixel-value however, is realized as a point value located at (0,0). An N by M pixel image consists of points which fill the mathematically defined bounds (0,0),(N-1,M-1).



If a point-pixel image were to be displayed on a display device with pixel cells having the same size as the raster spacing, then the upper-left corner of the displayed image would be located in raster space at (-0.5, -0.5).

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### 2.5.3 Model Coordinate Systems

The following methods of describing spatial model locations (as opposed to raster) are recognized in Geotiff:

- Geographic coordinates
- Geocentric coordinates
- Projected coordinates
- Vertical coordinates

Geographic, geocentric and projected coordinates are all imposed on models of the earth. To describe a location uniquely, a coordinate set must be referenced to an adequately defined coordinate system. If a coordinate system is from the Geotiff standard definitions, the only reference required is the standard coordinate system code/name. If the coordinate system is non-standard, it must be defined. The required definitions are described below.

Projected coordinates, local grid coordinates, and (usually) geographical coordinates, form two dimensional horizontal coordinate systems (i.e., horizontal with respect to the earth's surface). Height is not part of these systems. To describe a position in three dimensions it is necessary to consider height as a second one dimensional vertical coordinate system.

To georeference an image in GeoTIFF, you must specify a Raster Space coordinate system, choose a horizontal model coordinate system, and a transformation between these two, as will be described in section 2.6

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#### 2.5.3.1 Geographic Coordinate Systems

Geographic Coordinate Systems are those that relate angular latitude and longitude (and optionally geodetic height) to an actual point on the earth. The process by

which this is accomplished is rather complex, and so we describe the components of the process in detail here.

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## Ellipsoidal Models of the Earth

The geoid - the earth stripped of all topography - forms a reference surface for the earth. However, because it is related to the earth's gravity field, the geoid is a very complex surface; indeed, at a detailed level its description is not well known. The geoid is therefore not used in practical mapping.

It has been found that an oblate ellipsoid (an ellipse rotated about its minor axis) is a good approximation to the geoid and therefore a good model of the earth. Many approximations exist: several hundred ellipsoids have been defined for scientific purposes and about 30 are in day to day use for mapping. The size and shape of these ellipsoids can be defined through two parameters. Geotiff requires one of these to be

the semi-major axis (a),

and the second to be either

the inverse flattening (1/f)

or

the semi-minor axis (b).

Historical models exist which use a spherical approximation; such models are not recommended for modern applications, but if needed the size of a model sphere may be defined by specifying identical values for the semimajor and semiminor axes; the inverse flattening cannot be used as it becomes infinite for perfect spheres.

Other ellipsoid parameters needed for mapping applications, for example the square of the eccentricity, can easily be calculated by an application from the two defining parameters. Note that Geotiff uses the modern geodesy convention for the symbol (b) for the semi-minor axis. No provision is made for mapping other planets in which a tri-dimensional (triaxial) ellipsoid might be required, where (b) would represent the semi-median axis and (c) the semi-minor axis.

Numeric codes for ellipsoids regularly used for earth-mapping are included in the Geotiff reference lists.

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## Latitude and Longitude

The coordinate axes of the system referencing points on an ellipsoid are called latitude and longitude. More precisely, **geodetic** latitude and longitude are required in this Geotiff standard. A discussion of the several other types of latitude and longitude is beyond the scope of this document as they are not required for conventional mapping.

Latitude is defined to be the angle subtended with the ellipsoid's equatorial plane by a perpendicular through the surface of the ellipsoid from a point. Latitude is positive if north of the equator, negative if south.



Longitude is defined to be the angle measured about the minor (polar) axis of the ellipsoid from a prime meridian (see below) to the meridian through a point, positive if east of the prime meridian and negative if west. Unlike latitude which has a natural origin at the equator, there is no feature on the ellipsoid which forms a natural origin for the measurement of longitude. The zero longitude can be any defined meridian. Historically, nations have used the meridian through their national astronomical observatories, giving rise to several prime meridians. By international convention, the meridian through Greenwich, England is the standard prime meridian. Longitude is only unambiguous if the longitude of its prime meridian relative to Greenwich is given. Prime meridians other than Greenwich which are sometimes used for earth mapping are included in the Geotiff reference lists.

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## Geodetic Datums

As well as there being several ellipsoids in use to model the earth, any one particular ellipsoid can have its location and orientation relative to the earth defined in different ways. If the relationship between the ellipsoid and the earth is changed, then the geographical coordinates of a point will change.

Conversely, for geographical coordinates to uniquely describe a location the relationship between the earth and the ellipsoid must be defined. This relationship is described by a geodetic datum. An exact geodetic definition of geodetic datums is beyond the current scope of Geotiff. However the Geotiff standard requires that the geodetic datum being utilized be identified by numerical code. If required, defining parameters for the geodetic datum can be included as a citation.

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## Defining Geographic Coordinate Systems

In summary, geographic coordinates are only unique if qualified by the code of the geographic coordinate system to which they belong. A geographic coordinate system has two axes, latitude and longitude, which are only unambiguous when both of the related prime meridian and geodetic datum are given, and in turn the geodetic datum definition includes the definition of an ellipsoid. The Geotiff standard includes a list of frequently used geographic coordinate systems and their component ellipsoids, geodetic datums and prime meridians. Within the Geotiff standard a geographic coordinate system can be identified either by

the code of a standard geographic coordinate system  
 or by  
 a user-defined system.

The user is expected to provide geographic coordinate system code/name, geodetic datum code/name, ellipsoid code (if in standard) or ellipsoid name and two defining parameters (a) and either (1/f) or (b), and prime meridian code (if in standard) or name and longitude relative to Greenwich.

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### 2.5.3.2 Geocentric Coordinate Systems

A geocentric coordinate system is a 3-dimensional coordinate system with its origin at or near the center of the earth and with 3 orthogonal axes. The Z-axis is in or parallel to the earth's axis of rotation (or to the axis around which the rotational axis precesses). The X-axis is in or parallel to the plane of the equator and passes through its intersection with the Greenwich meridian, and the Y-axis is in the plane of the equator forming a right-handed coordinate system with the X and Z axes.

Geocentric coordinate systems are not frequently used for describing locations, but they are often utilized as an intermediate step when transforming between geographic coordinate systems. (Coordinate system transformations are described in section 2.6 below).

In the Geotiff standard, a geocentric coordinate system can be identified, either through the geographic code (which in turn implies a datum), or through a user-defined name.

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### 2.5.3.3 Projected Coordinate Systems

Although a geographical coordinate system is mathematically two dimensional, it describes a three dimensional object and cannot be represented on a plane surface without distortion. Map projections are transformations of geographical coordinates to plane coordinates in which the characteristics of the distortions are controlled. A map projection consists of a coordinate system transformation method and a set of defining parameters. A projected coordinate system (PCS) is a two dimensional (horizontal) coordinate set which, for a specific map projection, has a single and unambiguous transformation to a geographic coordinate system.

In GeoTIFF PCS's are defined using the POSC/EPSC system, in which the PCS planar coordinate system, the Geographic coordinate system, and the transformation between them, are broken down into simpler logical components. Here are schematic formulas showing how the Projected Coordinate Systems and Geographic Coordinates Systems are encoded:

```
Projected_CS = Geographic_CS + Projection
Geographic_CS = Angular_Unit + Geodetic_Datum + Prime_Meridian
Projection = Linear_Unit + Coord_Transf_Method + CT_Parameters
Coord_Transf_Method = { TransverseMercator | LambertCC | ... }
CT_Parameters = { OriginLatitude + StandardParallel+... }
```

(See also the Reference Parameters documentation in section 2.5.4).

Notice that "Transverse Mercator" is not referred to as a "Projection", but rather as a "Coordinate Transformation Method"; in GeoTIFF, as in EPSG/POSC, the word "Projection" is reserved for particular, well-defined systems in which both the coordinate transformation method, its defining parameters, and their linear units are established.

Several tens of coordinate transformation methods have been developed. Many are very similar and for practical purposes can be considered to give identical results. For example in the Geotiff standard Gauss-Kruger and Gauss-Boaga projection types are considered to be of the type Transverse Mercator. Geotiff includes a listing of commonly used projection defining parameters.

Different algorithms require different defining parameters. A future version of Geotiff will include formulas for specific map projection algorithms recommended for use with listed projection parameters.

To limit the magnitude of distortions of projected coordinate systems, the boundaries of usage are sometimes restricted. To cover more extensive areas, two or more projected coordinate systems may be required. In some cases many of the defining parameters of a set of projected coordinate systems will be held constant.

The Geotiff standard does not impose a strict hierarchy onto such zoned systems such as US State Plane or UTM, but considers each zone to be a discrete projected coordinate system; the ProjectedCSTypeGeoKey code value alone is sufficient to identify the standard coordinate systems.

Within the Geotiff standard a projected coordinate system can be identified either by

- the code of a standard projected coordinate system
- or by
  - a user-defined system.

User-define projected coordinate systems may be defined by defining the Geographic Coordinate System, the coordinate transformation method and its associated parameters, as well as the planar system's linear units.

### 2.5.3.4 Vertical Coordinate Systems

Many uses of Geotiff will be limited to a two-dimensional, horizontal, description of location for which geographic coordinate systems and projected coordinate systems are adequate. If a three-dimensional description of location is required Geotiff allows this either through the use of a geocentric coordinate system or by defining a vertical coordinate system and using this together with a geographic or projected coordinate system.

In general usage, elevations and depths are referenced to a surface at or close to the geoid. Through increasing use of satellite positioning systems the ellipsoid is increasingly being used as a vertical reference surface. The relationship between the geoid and an ellipsoid is in general not well known, but is required when coordinate system transformations are to be executed.

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### 2.5.4 Reference Parameters

Most of the numerical coding systems and coordinate system definitions are based on the hierarchical system developed by EPSG/POSC. The complete set of EPSG tables used in GeoTIFF is available at:

ftp://ftpmcmc.cr.usgs.gov/release/geotiff/jpl-mirror/tables

or:

ftp://mtritter.jpl.nasa.gov/pub/tiff/geotiff/tables

Appended below is the README.TXT file that accompanies the tables of defining parameters for those codes:

```

+-----+
|               |
|   EPSG Geodesy Parameters   |
|   version 2.1, 2nd June 1995.   |
|               |
+-----+

```

The European Petroleum Survey Group (EPSG) has compiled and is distributing this set of parameters defining various geodetic and cartographic coordinate systems to encourage standardisation across the Exploration and Production segment of the oil industry. The data is included as reference data in the Geotiff data exchange specification, in Iris21 the Petroconsultants data model, and in Epicentre, the POSC data model. Parameters map directly to the POSC Epicentre model v2.0, except for data item codes which are included in the files for data management purposes. Geodetic datum parameters are embedded within the geographic coordinate system file. This has been done to ease parameter maintenance as there is a high correlation between geodetic datum names and geographic coordinate system names. The Projected Coordinate System v2.0 tabulation consists of systems associated with locally used projections. Systems utilising the popular UTM grid system have also been included.

Criteria used for material in these lists include:

- information must be in the public domain: "private" data is not included.
- data must be in current use.
- parameters are given to a precision consistent with coordinates being to a precision of one centimetre.

The user assumes the entire risk as to the accuracy and the use of this data. The data may be copied and distributed subject to the following conditions:

- 1) All data must then be copied without modification and all pages must be included;
- 2) All components of this data set must be distributed together;
- 3) The data may not be distributed for profit by any third party; and
- 4) Acknowledgement to the original source must be given.

INFORMATION PROVIDED IN THIS DOCUMENT IS PROVIDED "AS IS" WITHOUT WARRANTY OF ANY KIND, EITHER EXPRESSED OR IMPLIED, INCLUDING BUT NOT LIMITED TO THE IMPLIED WARRANTIES

OF MERCHANTABILITY AND/OR FITNESS FOR A PARTICULAR PURPOSE.

Data is distributed on MS-DOS formatted diskette in comma-separated record format. Additional copies may be obtained from Jean-Patrick Girbig at the address below at a cost of US\$100 to cover media and shipping, payment to be made in favour of Petroconsultants S.A at Union Banque Suisses, 1211 Geneve 11, Switzerland (compte number 403 458 60 K).

The data is to be made available on a bulletin board shortly.

Shipping List

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This data set consists of 8 files:

PROJCS.CSV Tabulation of Projected Coordinate Systems to which map grid coordinates may be referenced.

GEOGCS.CSV Tabulation of Geographic Coordinate Systems to which latitude and longitude coordinates may be referenced. This table includes the equivalent geocentric coordinate systems and also the geodetic datum, reference to which allows latitude and longitude or geocentric XYZ to uniquely describe a location on the earth.

VERTCS.CSV Tabulation of Vertical Coordinate Systems to which heights or depths may be referenced. This table is currently in an early form.

PROJ.CSV Tabulation of transformation methods and parameters through which Projected Coordinate Systems are defined and related to Geographic Coordinate Systems.

ELLIPS.CSV Tabulation of reference ellipsoids upon which geodetic datums are based.

PMERID.CSV Tabulation of prime meridians upon which geodetic datums are based.

UNITS.CSV Tabulation of length units used in Projected and Vertical Coordinate Systems and angle units used in Geographic Coordinate Systems.

README.TXT This file.

+-----+

## 2.6 Coordinate Transformations

The purpose of Geotiff is to allow the definitive identification of georeferenced locations within a raster dataset. This is generally accomplished through tying raster space coordinates to a model space coordinate system, when no further information is required. In the GeoTIFF nomenclature, "georeferencing" refers to

tying raster space to a model space M, while "geocoding" refers to defining how the model space M assigns coordinates to points on the earth.

The three tags defined below may be used for defining the relationship between R and M, and the relationship may be diagrammed as:

```

      ModelPixelScaleTag
      ModelTiepointTag
R  ----- OR -----> M
(I,J,K) ModelTransformationTag (X,Y,Z)

```

The next section describes these Baseline georeferencing tags in detail.

+-----+

## 2.6.1 GeoTIFF Tags for Coordinate Transformations

For most common applications, the transformation between raster and model space may be defined with a set of raster-to-model tiepoints and scaling parameters. The following two tags may be used for this purpose:

```

ModelTiepointTag:
  Tag = 33922 (8482.H)
  Type = DOUBLE (IEEE Double precision)
  N = 6*K, K = number of tiepoints
  Alias: GeoreferenceTag
  Owner: Intergraph

```

This tag stores raster->model tiepoint pairs in the order

```

ModelTiepointTag = (... ,I,J,K, X,Y,Z...),

```

where (I,J,K) is the point at location (I,J) in raster space with pixel-value K, and (X,Y,Z) is a vector in model space. In most cases the model space is only two-dimensional, in which case both K and Z should be set to zero; this third dimension is provided in anticipation of future support for 3D digital elevation models and vertical coordinate systems.

A raster image may be georeferenced simply by specifying its location, size and orientation in the model coordinate space M. This may be done by specifying the location of three of the four bounding corner points. However, tiepoints are only to be considered exact at the points specified; thus defining such a set of bounding tiepoints does **not** imply that the model space locations of the interior of the image may be exactly computed by a linear interpolation of these tiepoints.

However, since the relationship between the Raster space and the model space will often be an exact, affine transformation, this relationship can be defined using one set of tiepoints and the "ModelPixelScaleTag", described below, which gives the vertical and horizontal raster grid cell size, specified in model units.

If possible, the first tiepoint placed in this tag shall be the one establishing the location of the point (0,0) in raster space. However, if this is not possible (for example, if (0,0) is goes to a part of model space in which the projection

is ill-defined), then there is no particular order in which the tiepoints need be listed.

For orthorectification or mosaicking applications a large number of tiepoints may be specified on a mesh over the raster image. However, the definition of associated grid interpolation methods is not in the scope of the current GeoTIFF spec.

Remark: As mentioned in section 2.5.1, all GeoTIFF information is independent of the XPosition, YPosition, and Orientation tags of the standard TIFF 6.0 spec.

The next two tags are optional tags provided for defining exact affine transformations between raster and model space; baseline GeoTIFF files may use either, but shall never use both within the same TIFF image directory.

#### ModelPixelScaleTag:

```
Tag = 33550
Type = DOUBLE (IEEE Double precision)
N = 3
Owner: SoftDesk
```

This tag may be used to specify the size of raster pixel spacing in the model space units, when the raster space can be embedded in the model space coordinate system without rotation, and consists of the following 3 values:

$$\text{ModelPixelScaleTag} = (\text{ScaleX}, \text{ScaleY}, \text{ScaleZ})$$

where ScaleX and ScaleY give the horizontal and vertical spacing of raster pixels. The ScaleZ is primarily used to map the pixel value of a digital elevation model into the correct Z-scale, and so for most other purposes this value should be zero (since most model spaces are 2-D, with Z=0).

A single tiepoint in the ModelTiepointTag, together with this tag, completely determine the relationship between raster and model space; thus they comprise the two tags which Baseline GeoTIFF files most often will use to place a raster image into a "standard position" in model space.

Like the Tiepoint tag, this tag information is independent of the XPosition, YPosition, Resolution and Orientation tags of the standard TIFF 6.0 spec. However, simple reversals of orientation between raster and model space (e.g. horizontal or vertical flips) may be indicated by reversal of sign in the corresponding component of the ModelPixelScaleTag. GeoTIFF compliant readers must honor this sign-reversal convention.

This tag must not be used if the raster image requires rotation or shearing to place it into the standard model space. In such cases the transformation shall be defined with the more general ModelTransformationTag, defined below.

#### ModelTransformationTag

```
Tag = 34264 (85D8.H)
Type = DOUBLE
N = 16
Owner: JPL Cartographic Applications Group
```

This tag may be used to specify the transformation matrix between the raster space (and its dependent pixel-value space) and the (possibly 3D) model space. If specified, the tag shall have the following organization:

ModelTransformationTag = (a,b,c,d,e...m,n,o,p).

where

$$\begin{array}{c} \text{model} \\ \text{coords} \end{array} = \begin{array}{c} \text{matrix} \\ * \end{array} \begin{array}{c} \text{image} \\ \text{coords} \end{array}$$

$$\begin{array}{|c|} \hline - \\ \hline X \\ \hline Y \\ \hline Z \\ \hline 1 \\ \hline - \\ \hline \end{array} = \begin{array}{|c|} \hline - \\ \hline a & b & c & d \\ \hline e & f & g & h \\ \hline i & j & k & l \\ \hline m & n & o & p \\ \hline - \\ \hline \end{array} \begin{array}{|c|} \hline - \\ \hline I \\ \hline J \\ \hline K \\ \hline 1 \\ \hline - \\ \hline \end{array}$$

By convention, and without loss of generality, the following parameters are currently hard-coded and will always be the same (but must be specified nonetheless):

$$m = n = o = 0, \quad p = 1.$$

For Baseline GeoTIFF, the model space is always 2-D, and so the matrix will have the more limited form:

$$\begin{array}{|c|} \hline - \\ \hline X \\ \hline Y \\ \hline Z \\ \hline 1 \\ \hline - \\ \hline \end{array} = \begin{array}{|c|} \hline - \\ \hline a & b & 0 & d \\ \hline e & f & 0 & h \\ \hline 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 1 \\ \hline - \\ \hline \end{array} \begin{array}{|c|} \hline - \\ \hline I \\ \hline J \\ \hline K \\ \hline 1 \\ \hline - \\ \hline \end{array}$$

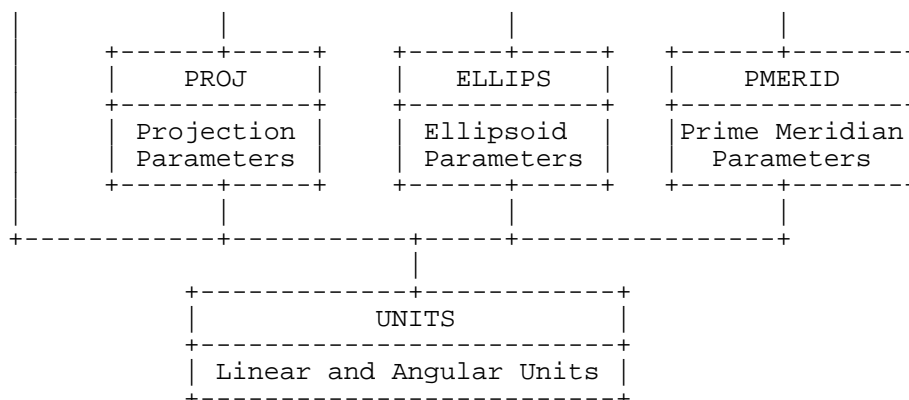
Values "d" and "h" will often be used to represent translations in X and Y, and so will not necessarily be zero. All 16 values should be specified, in all cases. Only the raster-to-model transformation is defined; if the inverse transformation is required it must be computed by the client, to the desired accuracy.

This matrix tag should not be used if the ModelTiepointTag and the ModelPixelScaleTag are already defined. If only a single tiepoint (I,J,K,X,Y,Z) is specified, and the ModelPixelScale = (Sx, Sy, Sz) is specified, then the corresponding transformation matrix may be computed from them as:

$$\begin{array}{|c|} \hline - \\ \hline Sx & 0.0 & 0.0 & Tx \\ \hline - \\ \hline \end{array}$$







The parameter listings are "living documents" and will be updated by the EPSG from time to time. Any comment or suggestions for improvements should be directed to:

<p>Jean-Patrick Girbig,  Manager Cartography,  Petroconsultants S.A.,  PO Box 152,  24 Chemin de la Marie,  1258 Perly-Geneva,  Switzerland.</p>	<p>or</p>	<p>Roger Lott,  Head of Survey,  BP Exploration,  Uxbridge One,  Harefield Road,  Uxbridge,  Middlesex UB8 1PD,  England.</p>
--------------------------------------------------------------------------------------------------------------------------------------------------------------------------	-----------	-----------------------------------------------------------------------------------------------------------------------------------------------------------

Internet:  
lottrj@txpcap.hou.xwh.bp.com

Requests for the inclusion of new data should include supporting documentation. Requests for changing existing data should include reference to both the name and code of the item.

+-----+

### 2.6.3 Cookbook for Defining Transformations

Here is a 4-step guide to producing a set of Baseline GeoTIFF tags for defining coordinate transformation information of a raster dataset.

- Step 1: Establish the Raster Space coordinate system used:  
RasterPixelIsArea or RasterPixelIsPoint.
- Step 2: Establish/define the model space Type in which the image is to be georeferenced. Usually this will be a Projected Coordinate system (PCS). If you are geocoding this data set, then the model space is defined to be the corresponding geographic, geocentric or Projected coordinate system (skip to the "Cookbook" section 2.7.3 first to do determine this).
- Step 3: Identify the nature of the transformations needed to tie the raster data down to the model space coordinate system:
- Case 1: The model-location of a raster point (x,y) is known, but not the scale or orientations:
- Use the ModelTiepointTag to define the (X,Y,Z) coordinates

of the known raster point.

Case 2: The location of three non-collinear raster points are known exactly, but the linearity of the transformation is not known.

Use the ModelTiepointTag to define the (X,Y,Z) coordinates of all three known raster points. Do not compute or define the ModelPixelScale or ModelTransformation tag.

Case 3: The position and scale of the data is known exactly, and no rotation or shearing is needed to fit into the model space.

Use the ModelTiepointTag to define the (X,Y,Z) coordinates of the known raster point, and the ModelPixelScaleTag to specify the scale.

Case 4: The raster data requires rotation and/or lateral shearing to fit into the defined model space:

Use the ModelTransformation matrix to define the transformation.

Case 5: The raster data cannot be fit into the model space with a simple affine transformation (rubber-sheeting required).

Use only the ModelTiepoint tag, and specify as many tiepoints as your application requires. Note, however, that this is not a Baseline GeoTIFF implementation, and should not be used for interchange; it is recommended that the image be geometrically rectified first, and put into a standard projected coordinate system.

Step 4: Install the defined tag values in the TIFF file and close it.

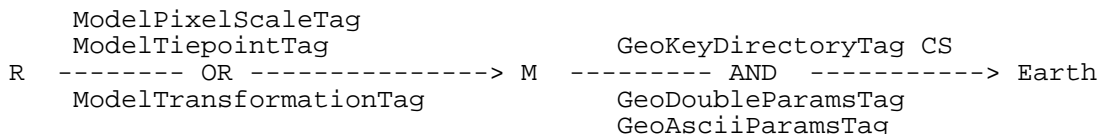
+-----+

## 2.7 Geocoding Raster Data

+-----+

### 2.7.1 General Approach

A geocoded image is a georeferenced image as described in section 2.6, which also specifies a model space coordinate system (CS) between the model space M (to which the raster space has been tied) and the earth. The relationship can be diagrammed, including the associated TIFF tags, as follows:



The geocoding coordinate system is defined by the GeoKeyDirectoryTag, while the Georeferencing information (T) is defined by the ModelTiepointTag and the ModelPixelScale, or ModelTransformationTag. Since these two systems are independent of each other, the tags used to store the parameters are separated from each other in the GeoTIFF file to emphasize the orthogonality.

+-----+

## 2.7.2 GeoTIFF GeoKeys for Geocoding

As mentioned above, all information regarding the Model Coordinate System used in the raster data is referenced from the GeoKeyDirectoryTag, which stores all of the GeoKey entries. In the Appendix, section 6.2 summarizes all of the GeoKeys defined for baseline GeoTIFF, and their corresponding codes are documented in section 6.3. Only the Keys themselves are documented here.

+-----+

### Common Features

+-----+

### Public and Private Key and Code Ranges

GeoTIFF GeoKey ID's may take any value between 0 and 65535. Following TIFF general approach, the GeoKey ID's from 32768 and above are available for private implementations. However, no registry will be established for these keys or codes, so developers are warned to use them at their own risk.

The Key ID's from 0 to 32767 are reserved for use by the official GeoTIFF spec, and are broken down into the following sub-domains:

[ 0, 1023]	Reserved
[ 1024, 2047]	GeoTIFF Configuration Keys
[ 2048, 3071]	Geographic/Geocentric CS Parameter Keys
[ 3072, 4095]	Projected CS Parameter Keys
[ 4096, 5119]	Vertical CS Parameter Keys
[ 5120, 32767]	Reserved
[32768, 65535]	Private use

GeoKey codes, like keys and tags, also range from 0 to 65535. Following the TIFF approach, all codes from 32768 and above are available for private user implementation. There will be no registry for these codes, however, and so developers must be sure that these tags will only be used internally. Use private codes at your own risk.

The codes from 0 to 32767 for all public GeoKeys are reserved by this GeoTIFF specification.

### Common Public Code Values

For consistency, several key codes have the same meaning in all implemented GeoKeys possessing a SHORT numerical coding system:

0 = undefined  
32767 = user-defined

The "undefined" code means that this parameter is intentionally omitted, for whatever reason. For example, the datum used for a given map may be unknown, or the accuracy of a aerial photo is so low that to specify a particular datum would imply a higher accuracy than is in the data.

The "user-defined" code means that a feature is not among the standard list, and is being explicitly defined. In cases where this is meaningful, Geokey parameters have been supplied for the user to define this feature.

"User-Defined" requirements: In each section below a specification of the additional GeoKeys required for the "user-defined" option is given. In all cases the corresponding "Citation" key is strongly recommended, as per the FGDC Metadata standard regarding "local" types.

+-----+

## **GeoTIFF Configuration GeoKeys**

+-----+

These keys are to be used to establish the general configuration of this file's coordinate system, including the types of raster coordinate systems, model coordinate systems, and citations if any.

+-----+

### **GTModelTypeGeoKey**

Key ID = 1024  
 Type: SHORT (code)  
 Values: Section 6.3.1.1 Codes

This GeoKey defines the general type of model Coordinate system used, and to which the raster space will be transformed: unknown, Geocentric (rarely used), Geographic, Projected Coordinate System, or user-defined. If the coordinate system is a PCS, then only the PCS code need be specified. If the coordinate system does not fit into one of the standard registered PCS'S, but it uses one of the standard projections and datums, then its should be documented as a PCS model with "user-defined" type, requiring the specification of projection parameters, etc.

GeoKey requirements for User-Defined Model Type (not advisable):

    GTCitationGeoKey

+-----+

### **GTRasterTypeGeoKey**

Key ID = 1025  
 Type = Section 6.3.1.2 codes

This establishes the Raster Space coordinate system used; there are currently only two, namely RasterPixelIsPoint and RasterPixelIsArea. No user-defined raster

spaces are currently supported. For variance in imaging display parameters, such as pixel aspect-ratios, use the standard TIFF 6.0 device-space tags instead.

---

## **GT**CitationGeoKey

Key ID = 1026  
Type = ASCII

As with all the "Citation" GeoKeys, this is provided to give an ASCII reference to published documentation on the overall configuration of this GeoTIFF file.

---

## **Geographic CS Parameter GeoKeys**

---

In general, the geographic coordinate system used will be implied by the projected coordinate system code. If however, this is a user-defined PCS, or the ModelType was chosen to be Geographic, then the system must be explicitly defined here, using the Horizontal datum code.

---

## **GeographicTypeGeoKey**

Key ID = 2048  
Type = SHORT (code)  
Values = Section 6.3.2.1 Codes

This key may be used to specify the code for the geographic coordinate system used to map lat-long to a specific ellipsoid over the earth.

GeoKey Requirements for User-Defined geographic CS:

GeogCitationGeoKey  
GeogGeodeticDatumGeoKey  
GeogAngularUnitsGeoKey (if not degrees)  
GeogPrimeMeridianGeoKey (if not Greenwich)

---

## **GeogCitationGeoKey**

Key ID = 2049  
Type = ASCII  
Values = text

General citation and reference for all Geographic CS parameters.

---

## **GeogGeodeticDatumGeoKey**

Key ID = 2050  
Type = SHORT (code)  
Values = Section 6.3.2.2 Codes

This key may be used to specify the horizontal datum, defining the size, position and orientation of the reference ellipsoid used in user-defined geographic coordinate systems.

GeoKey Requirements for User-Defined Horizontal Datum:  
 GeogCitationGeoKey  
 GeogEllipsoidGeoKey

+-----+

### **GeogPrimeMeridianGeoKey**

Key ID = 2051  
 Type = SHORT (code)  
 Units: Section 6.3.2.4 code

Allows specification of the location of the Prime meridian for user-defined geographic coordinate systems. The default standard is Greenwich, England.

+-----+

### **GeogPrimeMeridianLongGeoKey**

Key ID = 2061  
 Type = DOUBLE  
 Units = GeogAngularUnits

This key allows definition of user-defined Prime Meridians, the location of which is defined by its longitude relative to Greenwich.

+-----+

### **GeogLinearUnitsGeoKey**

Key ID = 2052  
 Type = DOUBLE  
 Values: Section 6.3.1.3 Codes

Allows the definition of geocentric CS linear units for user-defined GCS.

+-----+

### **GeogLinearUnitSizeGeoKey**

Key ID = 2053  
 Type = DOUBLE  
 Units: meters

Allows the definition of user-defined linear geocentric units, as measured in meters.

+-----+

### **GeogAngularUnitsGeoKey**

Key ID = 2054  
 Type = SHORT (code)  
 Values = Section 6.3.1.4 Codes

Allows the definition of **geocentric** CS Linear units for user-defined GCS and for ellipsoids.

GeoKey Requirements for "user-defined" units:  
 GeogCitationGeoKey

GeogAngularUnitSizeGeoKey

---

### GeogAngularUnitSizeGeoKey

Key ID = 2055  
 Type = DOUBLE  
 Units: radians

Allows the definition of user-defined angular geographic units, as measured in radians.

---

### GeogEllipsoidGeoKey

Key ID = 2056  
 Type = SHORT (code)  
 Values = Section 6.3.2.3 Codes

This key may be used to specify the coded ellipsoid used in the geodetic datum of the Geographic Coordinate System.

GeoKey Requirements for User-Defined Ellipsoid:

GeogCitationGeoKey  
 [GeogSemiMajorAxisGeoKey,  
   [GeogSemiMinorAxisGeoKey | GeogInvFlatteningGeoKey] ]

---

### GeogSemiMajorAxisGeoKey

Key ID = 2057  
 Type = DOUBLE  
 Units: Geocentric CS Linear Units

Allows the specification of user-defined Ellipsoid Semi-Major Axis (a).

---

### GeogSemiMinorAxisGeoKey

Key ID = 2058  
 Type = DOUBLE  
 Units: Geocentric CS Linear Units

Allows the specification of user-defined Ellipsoid Semi-Minor Axis (b).

---

### GeogInvFlatteningGeoKey

Key ID = 2059  
 Type = DOUBLE  
 Units: none.

Allows the specification of the **inverse** of user-defined Ellipsoid's flattening parameter (f). The eccentricity-squared  $e^2$  of the ellipsoid is related to the non-inverted f by:

$$e^2 = 2*f - f^2$$



Note: if the ellipsoid is spherical the inverse-flattening becomes infinite; use the GeogSemiMinorAxisGeoKey instead, and set it equal to the semi-major axis length.

---

### **GeogAzimuthUnitsGeoKey**

Key ID = 2060  
 Type = SHORT (code)  
 Values = Section 6.3.1.4 Codes

This key may be used to specify the angular units of measurement used to defining azimuths, in geographic coordinate systems. These may be used for defining azimuthal parameters for some projection algorithms, and may not necessarily be the same angular units used for lat-long.

---

### **Projected CS Parameter GeoKeys**

The PCS range of GeoKeys includes the projection and coordinate transformation keys as well. The projection keys are included in this block since they can only be used to define projected coordinate systems.

---

### **ProjectedCSTypeGeoKey**

Key ID = 3072  
 Type = SHORT (codes)  
 Values: Section 6.3.3.1 codes

This code is provided to specify the projected coordinate system.

GeoKey requirements for "user-defined" PCS families:  
 PCSCitationGeoKey  
 ProjectionGeoKey

---

### **PCSCitationGeoKey**

Key ID = 3073  
 Type = ASCII

As with all the "Citation" GeoKeys, this is provided to give an ASCII reference to published documentation on the Projected Coordinate System particularly if this is a "user-defined" PCS.

## Projection Definition GeoKeys

+-----+

+-----+

With the exception of the first two keys, these are mostly projection-specific parameters, and only a few will be required for any particular projection type. Projected coordinate systems automatically imply a specific projection type, as well as specific parameters for that projection, and so the keys below will only be necessary for user-defined projected coordinate systems.

+-----+

### ProjectionGeoKey

Key ID = 3074  
 Type = SHORT (code)  
 Values: Section 6.3.3.2 codes

Allows specification of the coordinate transformation method and projection zone parameters. Note : when associated with an appropriate Geographic Coordinate System, this forms a Projected Coordinate System.

GeoKeys Required for "user-defined" Projections:

PCSCitationGeoKey  
 ProjCoordTransGeoKey  
 ProjLinearUnitsGeoKey  
 (additional parameters depending on ProjCoordTransGeoKey).

+-----+

### ProjCoordTransGeoKey

Key ID = 3075  
 Type = SHORT (code)  
 Values: Section 6.3.3.3 codes

Allows specification of the coordinate transformation method used. Note: this does not include the definition of the corresponding Geographic Coordinate System to which the projected CS is related; only the transformation method is defined here.

GeoKeys Required for "user-defined" Coordinate Transformations:

PCSCitationGeoKey  
 <additional parameter geokeys depending on the Coord. Trans. specified).

+-----+

### ProjLinearUnitsGeoKey

Key ID = 3076  
 Type = SHORT (code)  
 Values: Section 6.3.1.3 codes

Defines linear units used by this projection.

+-----+

**ProjLinearUnitSizeGeoKey**

Key ID = 3077  
 Type = DOUBLE  
 Units: meters

Defines size of user-defined linear units in meters.

+-----+

**ProjStdParallel1GeoKey**

Key ID = 3078  
 Type = DOUBLE  
 Units: GeogAngularUnit  
 Alias: ProjStdParallelGeoKey (from Rev 0.2)

Latitude of primary Standard Parallel.

+-----+

**ProjStdParallel2GeoKey**

Key ID = 3079  
 Type = DOUBLE  
 Units: GeogAngularUnit

Latitude of second Standard Parallel.

+-----+

**ProjNatOriginLongGeoKey**

Key ID = 3080  
 Type = DOUBLE  
 Units: GeogAngularUnit  
 Alias: ProjOriginLongGeoKey

Longitude of map-projection Natural origin.

+-----+

**ProjNatOriginLatGeoKey**

Key ID = 3081  
 Type = DOUBLE  
 Units: GeogAngularUnit  
 Alias: ProjOriginLatGeoKey

Latitude of map-projection Natural origin.

+-----+

**ProjFalseEastingGeoKey**

Key ID = 3082  
 Type = DOUBLE  
 Units: ProjLinearUnit

Gives the easting coordinate of the map projection Natural origin.

+-----+

**ProjFalseNorthingGeoKey**

Key ID = 3083  
 Type = DOUBLE

Units: ProjLinearUnit

Gives the northing coordinate of the map projection Natural origin.

+-----+

### **ProjFalseOriginLongGeoKey**

Key ID = 3084

Type = DOUBLE

Units: GeogAngularUnit

Gives the longitude of the False origin.

+-----+

### **ProjFalseOriginLatGeoKey**

Key ID = 3085

Type = DOUBLE

Units: GeogAngularUnit

Gives the latitude of the False origin.

+-----+

### **ProjFalseOriginEastingGeoKey**

Key ID = 3086

Type = DOUBLE

Units: ProjLinearUnit

Gives the easting coordinate of the false origin. This is NOT the False Easting, which is the easting attached to the Natural origin.

+-----+

### **ProjFalseOriginNorthingGeoKey**

Key ID = 3087

Type = DOUBLE

Units: ProjLinearUnit

Gives the northing coordinate of the False origin. This is NOT the False Northing, which is the northing attached to the Natural origin.

+-----+

### **ProjCenterLongGeoKey**

Key ID = 3088

Type = DOUBLE

Units: GeogAngularUnit

Longitude of Center of Projection. Note that this is not necessarily the origin of the projection.

+-----+

### **ProjCenterLatGeoKey**

Key ID = 3089

Type = DOUBLE

Units: GeogAngularUnit

Latitude of Center of Projection. Note that this is not necessarily the origin of the projection.

---

### **ProjCenterEastingGeoKey**

Key ID = 3090  
 Type = DOUBLE  
 Units: ProjLinearUnit

Gives the easting coordinate of the center. This is NOT the False Easting.

---

### **ProjFalseOriginNorthingGeoKey**

Key ID = 3091  
 Type = DOUBLE  
 Units: ProjLinearUnit

Gives the northing coordinate of the center. This is NOT the False Northing.

---

### **ProjScaleAtNatOriginGeoKey**

Key ID = 3092  
 Type = DOUBLE  
 Units: none  
 Alias: ProjScaleAtOriginGeoKey (Rev. 0.2)

Scale at Natural Origin. This is a ratio, so no units are required.

---

### **ProjScaleAtCenterGeoKey**

Key ID = 3093  
 Type = DOUBLE  
 Units: none

Scale at Center. This is a ratio, so no units are required.

---

### **ProjAzimuthAngleGeoKey**

Key ID = 3094  
 Type = DOUBLE  
 Units: GeogAzimuthUnit

Azimuth angle east of true north of the central line passing through the projection center (for elliptical (Hotine) Oblique Mercator). Note that this is the standard method of measuring azimuth, but is opposite the usual mathematical convention of positive indicating counter-clockwise.

---

### **ProjStraightVertPoleLongGeoKey**

Key ID = 3095  
 Type = DOUBLE  
 Units: GeogAngularUnit

Longitude at Straight Vertical Pole. For polar stereographic.

---

### **GeogAzimuthUnitsGeoKey**

Key ID = 2060  
 Type = SHORT (code)  
 Values = Section 6.3.1.4 Codes

This key is actually part of the "Geographic CS Parameter Keys" section, but is mentioned here as it is useful for defining units used in the azimuthal projection parameters.

---



---

### **Vertical CS Parameter Keys**

---

Note: Vertical coordinate systems are not yet implemented. These sections are provided for future development, and any vertical coordinate systems in the current revision must be defined using the VerticalCitationGeoKey.

---

### **VerticalCSTypeGeoKey**

Key ID = 4096  
 Type = SHORT (code)  
 Values = Section 6.3.4.1 Codes

This key may be used to specify the vertical coordinate system.

---

### **VerticalCitationGeoKey**

Key ID = 4097  
 Type = ASCII  
 Values = text

This key may be used to document the vertical coordinate system used, and its parameters.

---

### **VerticalDatumGeoKey**

Key ID = 4098  
 Type = SHORT (code)  
 Values = Section 6.3.4.2 codes

This key may be used to specify the vertical datum for the vertical coordinate system.

---

### **VerticalUnitsGeoKey**

Key ID = 4099  
 Type = SHORT (code)  
 Values = Section 6.3.1.3 Codes

This key may be used to specify the vertical units of measurement used in the geographic coordinate system, in cases where geographic CS's need to reference the vertical coordinate. This, together with the Citation key, comprise the only fully implemented keys in this section, at present.

+-----+

### 2.7.3 Cookbook for Geocoding Data

Step 1: Determine the Coordinate system type of the raster data, based on the nature of the data: pixels derived from scanners or other optical devices represent areas, and most commonly will use the RasterPixelIsArea coordinate system. Pixel data such as digital elevation models represent points, and will probably use RasterPixelIsPoint coordinates.

Store in: GTRasterTypeGeoKey

Step 2: Determine which class of model space coordinates are most natural for this dataset: Geographic, Geocentric, or Projected Coordinate System. Usually this will be PCS.

Store in: GTModelTypeGeoKey

Step 3: This step depends on the GTModelType:

case PCS: Determine the PCS projection system. Most of the PCS's used in standard State Plane and national grid systems are defined, so check this list first; the EPSG index in section 6.4 may be useful for this purpose.

Store in: ProjectedCSTypeGeoKey, ProjectedCSTypeGeoKey

If coded, it will not be necessary to specify the Projection datum, etc for this case, since all of those parameters are determined by the ProjectedCSTypeGeoKey code. Skip to step 4 from here.

If none of the coded PCS's match your system, then this is a user-defined PCS. Use the Projection code list to check for standard projection systems.

Store in: ProjectionGeoKey and skip to Geographic CS case.

If none of the Projection codes match your system, then this is a user-defined projection. Use the ProjCoordTransGeoKey to specify the coordinate transformation method (e.g. Transverse Mercator), and all of the associated parameters of that method. Also define the linear units used in the planar coordinate system.

Store in: ProjCoordTransGeoKey, ProjLinearUnitsGeoKey  
<and other CT related parameter keys>

Now continue on to define the Geographic CS, below.

case GEOCENTRIC:

case GEOGRAPHIC: Check the list of standard GCS's and use the corresponding code. To use a code both the Datum, Prime Meridian, and angular units must match those of the code.

Store in: GeographicTypeGeoKey and skip to Step 4.

If none of the coded GCS's match exactly, then this is a user-defined GCS. Check the list of standard datums, Prime Meridians, and angular units to define your system.

Store in: GeogGeodeticDatumGeoKey, GeogAngularUnitsGeoKey, GeogPrimeMeridianGeoKey and skip to Step 4.

If none of the datums match your system, you have a user-defined datum, which is an odd system, indeed. Use the GeogEllipsoidGeoKey to select the appropriate ellipsoid or use the GeogSemiMajorAxisGeoKey, GeogInvFlatteningGeoKey to define, and give a reference using the GeogCitationGeoKey.

Store in: GeogEllipsoidGeoKey, etc. and go to Step 4.

Step 4: Install the GeoKeys/codes into the GeoKeyDirectoryTag, and the DOUBLE and ASCII key values into the corresponding value-tags.

Step 5: Having completely defined the Raster & Model coordinate system, go to Cookbook section 2.6.2 and use the Georeferencing Tags to tie the raster image down onto the Model space.

+-----+

## 3 Examples

---

+-----+

Here are some examples of how GeoTIFF may be implemented at the Tag and GeoKey level, following the general "Cookbook" approach above.

+-----+

### 3.1 Common Examples

+-----+

#### 3.1.1. UTM Projected Aerial Photo

We have an aerial photo which has been orthorectified and resampled to a UTM grid, zone 60, using WGS84 datum; the coordinates of the upper-left corner of the image is are given in easting/northing, as 350807.4m, 5316081.3m. The scanned map pixel scale is 100 meters/pixels (the actual dpi scanning ratio is irrelevant).

```

ModelTiepointTag      = (0, 0, 0, 350807.4, 5316081.3, 0.0)
ModelPixelScaleTag    = (100.0, 100.0, 0.0)
GeoKeyDirectoryTag:
  GTModelTypeGeoKey   = 1      (ModelTypeProjected)
  GTRasterTypeGeoKey  = 1      (RasterPixelIsArea)

```



```

ProjectedCSTypeGeoKey    = 32660 (PCS_WGS84_UTM_zone_60N)
PCSCitationGeoKey      = "UTM Zone 60 N with WGS84"

```

Notes:

- 1) We did not need to specify the GCS lat-long, since the PCS\_WGS84\_UTM\_zone\_60N codes implies particular GCS and units already (WGS\_84 and meters). The citation was added just for documentation.
- 2) The "GeoKeyDirectoryTag" is expressed using the "GeoKey" structure defined above. At the TIFF level the tags look like this:

```

GeoKeyDirectoryTag=( 1,      0,      2,      4,
                    1024,    0,      1,      1,
                    1025,    0,      1,      1,
                    3072,    0,      1,      32660,
                    3073, 34737, 25,      0 )
GeoAsciiParamsTag(34737)="UTM Zone 60 N with WGS84|" )

```

For the rest of these examples we will only show the GeoKey-level dump, with the understanding that the actual TIFF-level tag representation can be determined from the documentation.

+-----+

### 3.1.2. Standard State Plane

We have a USGS State Plane Map of Texas, Central Zone, using NAD83, correctly oriented. The map resolution is 1000 meters/pixel, at origin. There is a grid intersection line in the image at pixel location (50,100), and corresponds to the projected coordinate system easting/northing of (949465.0, 3070309.1).

```

ModelTiepointTag      = ( 50, 100, 0, 949465.0, 3070309.1, 0)
ModelPixelScaleTag    = (1000, 1000, 0)
GeoKeyDirectoryTag:
  GTModelTypeGeoKey   = 1 (ModelTypeProjected)
  GTRasterTypeGeoKey  = 1 (RasterPixelIsArea)
  ProjectedCSTypeGeoKey = 32139 (PCS_NAD83_Texas_Central)

```

Notice that in this case, since the PCS is a standard code, we do not need to define the GCS, datum, etc, since those are implied by the PCS code. Also, since this is NAD83, meters are used rather than US Survey feet (as in NAD 27).

+-----+

### 3.1.3. Lambert Conformal Conic Aeronautical Chart

We have a 500 x 500 scanned aeronautical chart of Seattle, WA, using Lambert Conformal Conic projection, correctly oriented. The central meridian is at 120 degrees west. The map resolution is 1000 meters/pixel, at origin, and uses NAD27 datum. The standard parallels of the projection are at 41d20m N and 48d40m N. The latitude of the origin is at 45 degrees North, and occurs in the image at the raster

coordinates (80,100). The origin is given a false easting and northing of 200000m, 1500000m.

```

ModelTiepointTag      = ( 80, 100, 0, 200000, 1500000, 0)
ModelPixelScaleTag    = (1000, 1000, 0)
GeoKeyDirectoryTag:
  GTModelTypeGeoKey   = 1      (ModelTypeProjected)
  GTRasterTypeGeoKey  = 1      (RasterPixelIsArea)
  GeographicTypeGeoKey = 4267   (GCS_NAD27)
  ProjectedCSTypeGeoKey = 32767 (user-defined)
  ProjectionGeoKey     = 32767 (user-defined)
  ProjLinearUnitsGeoKey = 9001   (Linear_Meter)
  ProjCoordTransGeoKey = 8      (CT_LambertConfConic_2SP)
    ProjStdParallel1GeoKey = 41.333
    ProjStdParallel2GeoKey = 48.666
    ProjCenterLongGeoKey   = -120.0
    ProjNatOriginLatGeoKey = 45.0
    ProjFalseEastingGeoKey, = 200000.0
    ProjFalseNorthingGeoKey, = 1500000.0

```

Notice that the Tiepoint takes the false easting and northing into account when tying the raster point (50,100) to the projection origin.

+-----+

### 3.1.4. DMA ADRG Raster Graphic Map

The U.S. Defense Mapping Agency produces ARC digitized raster graphics datasets by scanning maps and geometrically resampling them into an equirectangular projection, so that they may be directly indexed with WGS84 geographic coordinates. The scale for one map is 0.2 degrees per pixel horizontally, 0.1 degrees per pixel vertically. If stored in a GeoTIFF file it contains the following information:

```

ModelTiepointTag=(0.0, 0.0, 0.0, -120.0, 32.0, 0.0)
ModelPixelScale = (0.2, 0.1, 0.0)
GeoKeyDirectoryTag:
  GTModelTypeGeoKey   = 2      (ModelTypeGeographic)
  GTRasterTypeGeoKey  = 1      (RasterPixelIsArea)
  GeographicTypeGeoKey = 4326   (GCS_WGS_84)

```

+-----+

## 3.2 Less Common Examples

+-----+

### 3.2.1. Unrectified Aerial photo, known tiepoints, in degrees.

We have an aerial photo, and know only the WGS84 GPS location of several points in the scene: the upper left corner is 120 degrees West, 32 degrees North, the lower-left corner is at 120 degrees West, 30 degrees 20 minutes North, and the lower-right hand corner of the image is at 116 degrees 40 minutes West, 30 degrees 20 minutes North. The photo is not geometrically corrected, however, and the complete projection is therefore not known.

```

ModelTiepointTag=( 0.0, 0.0, 0.0, -120.0, 32.0, 0.0,
                  0.0, 1000.0, 0.0, -120.0, 30.33333, 0.0,
                  1000.0, 1000.0, 0.0, -116.6666667, 30.33333, 0.0)
GeoKeyDirectoryTag:
  GTModelTypeGeoKey      = 1 (ModelTypeGeographic)
  GTRasterTypeGeoKey     = 1 (RasterPixelIsArea)
  GeographicTypeGeoKey   = 4326 (GCS_WGS_84)

```

Remark: Since we have not specified the ModelPixelScaleTag, clients reading this GeoTIFF file are not permitted to infer that there is a simple linear relationship between the raster data and the geographic model coordinate space. The only points that are known to be exact are the ones specified in the tiepoint tag.

+-----+

### 3.2.2. Rotated Scanned Map

We have a scanned standard British National Grid, covering the 100km grid zone NZ. Consulting documentation for BNG we find that the southwest corner of the NZ zone has an easting, northing of 400000m, 500000m, relative to the BNG standard false origin. This scanned map has a resolution of 100 meter pixels, and was rotated 90 degrees to fit onto the scanner, so that the southwest corner is now the northwest corner. In this case we must use the ModelTransformation tag rather than the tiepoint/scale pair to map the raster data into model space:

```

ModelTransformationTag = ( 0, 100.0, 0, 400000.0,
                          100.0, 0, 0, 500000.0,
                          0, 0, 0, 0,
                          0, 0, 0, 1)
GeoKeyDirectoryTag:
  GTModelTypeGeoKey      = 1 ( ModelTypeProjected)
  GTRasterTypeGeoKey     = 1 (RasterPixelIsArea)
  ProjectedCSTypeGeoKey  = 27700 (PCS_British_National_Grid)
  PCSCitationGeoKey     = "British National Grid, Zone NZ"

```

Remark: the matrix has 100.0 in the off-diagonals due to the 90 degree rotation; increasing I points north, and increasing J points east.

+-----+

### 3.2.3. Digital Elevation Model

The DMA stores digital elevation models using an equirectangular projection, so that it may be indexed with WGS84 geographic coordinates. Since elevation postings are point-values, the pixels should not be considered as filling areas, but as point-values at grid vertices. To accommodate the base elevation of the Angeles Crest forest, the pixel value of 0 corresponds to an elevation of 1000 meters relative to WGS84 reference ellipsoid. The upper left corner is at 120 degrees West, 32 degrees North, and has a pixel scale of 0.2 degrees/pixel longitude, 0.1 degrees/pixel latitude.

```

ModelTiepointTag=(0.0, 0.0, 0.0, -120.0, 32.0, 1000.0)
ModelPixelScale = (0.2, 0.1, 1.0)
GeoKeyDirectoryTag:
  GTModelTypeGeoKey      = 2 (ModelTypeGeographic)
  GTRasterTypeGeoKey     = 2 (RasterPixelIsPoint)

```

```

GeographicTypeGeoKey      = 4326  (GCS_WGS_84)
VerticalCSTypeGeoKey      = 5030  (VertCS_WGS_84_ellipsoid)
VerticalCitationGeoKey    = "WGS 84 Ellipsoid"
VerticalUnitsGeoKey       = 9001   (Linear_Meter)

```

## Remarks:

- 1) Note the "RasterPixelIsPoint" raster space, indicating that the DEM posting of the first pixel is at the raster point (0,0,0), and therefore corresponds to 120W,32N exactly.
- 2) The third value of the "PixelScale" is 1.0 to indicate that a single pixel-value unit corresponds to 1 meter, and the last tiepoint value indicates that base value zero indicates 1000m above the reference surface.

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## 4 Extended GeoTIFF

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This section is for future development TBD.

Possible additional GeoKeys for Revision 2.0:

```

PerspectHeightGeoKey      (General Vertical Nearsided Perspective)
SOMInclinAngleGeoKey     (SOM)
SOMAscendLongGeoKey      (SOM)
SOMRevPeriodGeoKey       (SOM)
SOMEndOfPathGeoKey       (SOM) ? is this needed ? SHORT
SOMRatioGeoKey           (SOM)
SOMPathNumGeoKey         (SOM) SHORT
SOMSatelliteNumGeoKey    (SOM) SHORT
OEAShapeMGeoKey          (Oblated Equal Area)
OEAShapeNGeoKey          (Oblated Equal Area)
OEARotationAngleGeoKey   (Oblated Equal Area)

```

Other items for consideration:

- o Digital Elevation Model information, such as Vertical Datums, Sounding Datums.
- o Accuracy Keys for linear, circular, and spherical errors, etc.
- o Source information, such as details of an original coordinate system and of transformations between it and the coordinate system in which data is being exchanged.

+-----+

## 5 References

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+-----+

1. EPSG/POSC Projection Coding System Tables. Available via FTP to:

```
ftp://mtritter.jpl.nasa.gov/pub/tiff/geotiff/tables
```

or its USGS mirror site:

```
ftp://ftpmcmc.cr.usgs.gov/release/geotiff/jpl-mirror/tables
```

2. TIFF Revision 6.0 Specification: A PDF formatted version is available via FTP to:  
<ftp://ftp.adobe.com/pub/adobe/DeveloperSupport/TechNotes/PDFfiles/TIFF6.pdf>  
PostScript formatted text versions available at:  
<ftp://sgi.com/graphics/tiff/TIFF6.ps.Z> (compressed)  
<ftp://sgi.com/graphics/tiff/TIFF6.ps> (uncompressed)
3. LIBGEOTIFF -- Public Domain GeoTIFF library, available via anonymous FTP to:  
<ftp://mtritter.jpl.nasa.gov/pub/tiff/geotiff/code>  
or its USGS mirror site:  
<ftp://ftpmcmc.cr.usgs.gov/release/geotiff/jpl-mirror/code>
4. LIBTIFF -- Public Domain TIFF library, available via anonymous FTP to:  
<ftp://sgi.com/graphics/tiff/>
5. Spatial Data Transfer Standard (SDTS) of the USGS. (Federal Information Processing Standard (FIPS) 173):  
<ftp://sdts.er.usgs.gov/pub/sdts/>  
SDTS Task Force  
U.S. Geological Survey  
526 National Center  
Reston, VA 22092  
E-mail: [sdts@usgs.gov](mailto:sdts@usgs.gov)
6. Map use: reading, analysis, interpretation.  
Muehrcke, Phillip C. 1986. Madison, WI: JP Publications.
7. Map projections: a working manual. Snyder, John P. 1987. USGS Professional Paper 1395. Washington, DC: United States Government Printing Office.
8. Notes for GIS and The Geographer's Craft at U. Texas, on the World Wide Web (WWW) (current as of 10 April 1995):  
<http://wwwhost.cc.utexas.edu/ftp/pub/grg/gcraft/notes/notes.html>
9. Digital Geographic Information Exchange Standard (DIGEST). Allied Geographic Publication No 3, Edition 1.2 (AGeoP-3) (NATO Unclassified).
10. POSC Petrotechnical Open Software Corporation Web site:  
<http://www.posc.org/>

---

## 6 Appendices

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### 6.1 Tag ID Summary

Here are all of the TIFF tags (and their owners) that are used to store GeoTIFF information of any type. It is very unlikely that any other tags will be necessary in the future (since most additional information will be encoded as a GeoKey).

```

ModelPixelScaleTag      = 33550 (SoftDesk)
ModelTransformationTag  = 34264 (JPL Carto Group)
ModelTiepointTag       = 33922 (Intergraph)
GeoKeyDirectoryTag     = 34735 (SPOT)
GeoDoubleParamsTag     = 34736 (SPOT)
GeoAsciiParamsTag      = 34737 (SPOT)

```

Obsoleted Implementation:

```

IntergraphMatrixTag = 33920 (Intergraph) -- Use ModelTransformationTag.

```

### 6.2 Key ID Summary

#### 6.2.1 GeoTIFF Configuration Keys

```

GTModelTypeGeoKey      = 1024 /* Section 6.3.1.1 Codes      */
GTRasterTypeGeoKey    = 1025 /* Section 6.3.1.2 Codes      */
GTCitationGeoKey      = 1026 /* documentation */

```

#### 6.2.2 Geographic CS Parameter Keys

```

GeographicTypeGeoKey   = 2048 /* Section 6.3.2.1 Codes      */
GeogCitationGeoKey     = 2049 /* documentation              */
GeogGeodeticDatumGeoKey = 2050 /* Section 6.3.2.2 Codes      */
GeogPrimeMeridianGeoKey = 2051 /* Section 6.3.2.4 codes      */
GeogLinearUnitsGeoKey  = 2052 /* Section 6.3.1.3 Codes      */
GeogLinearUnitSizeGeoKey = 2053 /* meters                    */
GeogAngularUnitsGeoKey = 2054 /* Section 6.3.1.4 Codes      */
GeogAngularUnitSizeGeoKey = 2055 /* radians                   */
GeogEllipsoidGeoKey    = 2056 /* Section 6.3.2.3 Codes      */
GeogSemiMajorAxisGeoKey = 2057 /* GeogLinearUnits           */
GeogSemiMinorAxisGeoKey = 2058 /* GeogLinearUnits           */
GeogInvFlatteningGeoKey = 2059 /* ratio                      */

```

```

GeogAzimuthUnitsGeoKey      = 2060 /* Section 6.3.1.4 Codes */
GeogPrimeMeridianLongGeoKey = 2061 /* GeogAngularUnit */

```

+-----+

## 6.2.3 Projected CS Parameter Keys

```

ProjectedCSTypeGeoKey      = 3072 /* Section 6.3.3.1 codes */
PCSCitationGeoKey        = 3073 /* documentation */
ProjectionGeoKey          = 3074 /* Section 6.3.3.2 codes */
ProjCoordTransGeoKey     = 3075 /* Section 6.3.3.3 codes */
ProjLinearUnitsGeoKey    = 3076 /* Section 6.3.1.3 codes */
ProjLinearUnitSizeGeoKey = 3077 /* meters */
ProjStdParallel1GeoKey   = 3078 /* GeogAngularUnit */
ProjStdParallel2GeoKey   = 3079 /* GeogAngularUnit */
ProjNatOriginLongGeoKey  = 3080 /* GeogAngularUnit */
ProjNatOriginLatGeoKey   = 3081 /* GeogAngularUnit */
ProjFalseEastingGeoKey   = 3082 /* ProjLinearUnits */
ProjFalseNorthingGeoKey  = 3083 /* ProjLinearUnits */
ProjFalseOriginLongGeoKey = 3084 /* GeogAngularUnit */
ProjFalseOriginLatGeoKey = 3085 /* GeogAngularUnit */
ProjFalseOriginEastingGeoKey = 3086 /* ProjLinearUnits */
ProjFalseOriginNorthingGeoKey = 3087 /* ProjLinearUnits */
ProjCenterLongGeoKey     = 3088 /* GeogAngularUnit */
ProjCenterLatGeoKey      = 3089 /* GeogAngularUnit */
ProjCenterEastingGeoKey  = 3090 /* ProjLinearUnits */
ProjCenterNorthingGeoKey = 3091 /* ProjLinearUnits */
ProjScaleAtNatOriginGeoKey = 3092 /* ratio */
ProjScaleAtCenterGeoKey  = 3093 /* ratio */
ProjAzimuthAngleGeoKey   = 3094 /* GeogAzimuthUnit */
ProjStraightVertPoleLongGeoKey = 3095 /* GeogAngularUnit */

```

Aliases:

```

ProjStdParallelGeoKey    = ProjStdParallel1GeoKey
ProjOriginLongGeoKey     = ProjNatOriginLongGeoKey
ProjOriginLatGeoKey      = ProjNatOriginLatGeoKey
ProjScaleAtOriginGeoKey  = ProjScaleAtNatOriginGeoKey

```

+-----+

## 6.2.4 Vertical CS Keys

```

VerticalCSTypeGeoKey      = 4096 /* Section 6.3.4.1 codes */
VerticalCitationGeoKey   = 4097 /* documentation */
VerticalDatumGeoKey      = 4098 /* Section 6.3.4.2 codes */
VerticalUnitsGeoKey      = 4099 /* Section 6.3.1.3 codes */

```

+-----+

+-----+

## 6.3 Key Code Summary

+-----+

### 6.3.1 GeoTIFF General Codes

This section includes the general "Configuration" key codes, as well as general codes which are used by more than one key (e.g. units codes).

+-----+

#### 6.3.1.1 Model Type Codes

Ranges:

```
0           = undefined
[  1, 32766] = GeoTIFF Reserved Codes
32767      = user-defined
[32768, 65535] = Private User Implementations
```

GeoTIFF defined CS Model Type Codes:

```
ModelTypeProjected   = 1  /* Projection Coordinate System      */
ModelTypeGeographic  = 2  /* Geographic latitude-longitude System */
ModelTypeGeocentric  = 3  /* Geocentric (X,Y,Z) Coordinate System */
```

Notes:

1. ModelTypeGeographic and ModelTypeProjected correspond to the FGDC metadata Geographic and Planar-Projected coordinate system types.

+-----+

#### 6.3.1.2 Raster Type Codes

Ranges:

```
0           = undefined
[  1, 1023] = Raster Type Codes (GeoTIFF Defined)
[1024, 32766] = Reserved
32767      = user-defined
[32768, 65535] = Private User Implementations
```

Values:

```
RasterPixelIsArea = 1
RasterPixelIsPoint = 2
```

Note: Use of "user-defined" or "undefined" raster codes is not recommended.

+-----+

#### 6.3.1.3 Linear Units Codes

There are several different kinds of units that may be used in geographically related raster data: linear units, angular units, units of time (e.g. for radar-return), CCD-voltages, etc. For this reason there will be a single, unique range for each kind of unit, broken down into the following currently defined ranges:

Ranges:



```

0 = undefined
[ 1, 2000] = Obsolete GeoTIFF codes
[2001, 8999] = Reserved by GeoTIFF
[9000, 9099] = EPSG Linear Units.
[9100, 9199] = EPSG Angular Units.
32767 = user-defined unit
[32768, 65535] = Private User Implementations

```

Linear Unit Values (See the ESPG/POSC tables for definition):

```

Linear_Meter = 9001
Linear_Foot = 9002
Linear_Foot_US_Survey = 9003
Linear_Foot_Modified_American = 9004
Linear_Foot_Clarke = 9005
Linear_Foot_Indian = 9006
Linear_Link = 9007
Linear_Link_Benoit = 9008
Linear_Link_Sears = 9009
Linear_Chain_Benoit = 9010
Linear_Chain_Sears = 9011
Linear_Yard_Sears = 9012
Linear_Yard_Indian = 9013
Linear_Fathom = 9014
Linear_Mile_International_Nautical = 9015

```

+-----+

### 6.3.1.4 Angular Units Codes

These codes shall be used for any key that requires specification of an angular unit of measurement.

Angular Units

```

Angular_Radian = 9101
Angular_Degree = 9102
Angular_Arc_Minute = 9103
Angular_Arc_Second = 9104
Angular_Grad = 9105
Angular_Gon = 9106
Angular_DMS = 9107
Angular_DMS_Hemisphere = 9108

```

+-----+

### 6.3.2 Geographic CS Codes

+-----+

#### 6.3.2.1 Geographic CS Type Codes

Note: A Geographic coordinate system consists of both a datum and a Prime Meridian. Some of the names are very similar, and differ only in the Prime Meridian, so be

sure to use the correct one. The codes beginning with GCSE\_xxx are unspecified GCS which use ellipsoid (xxx); it is recommended that only the codes beginning with GCS\_ be used if possible.

Ranges:

```

0 = undefined
[ 1, 1000] = Obsolete EPSG/POSC Geographic Codes
[ 1001, 3999] = Reserved by GeoTIFF
[ 4000, 4199] = EPSG GCS Based on Ellipsoid only
[ 4200, 4999] = EPSG GCS Based on EPSG Datum
[ 5000, 32766] = Reserved by GeoTIFF
32767 = user-defined GCS
[32768, 65535] = Private User Implementations

```

Values:

Note: Geodetic datum using Greenwich PM have codes equal to the corresponding Datum code - 2000.

```

GCS_Adindan = 4201
GCS_AGD66 = 4202
GCS_AGD84 = 4203
GCS_Ain_el_Abd = 4204
GCS_Afgooye = 4205
GCS_Agadez = 4206
GCS_Lisbon = 4207
GCS_Aratu = 4208
GCS_Arc_1950 = 4209
GCS_Arc_1960 = 4210
GCS_Batavia = 4211
GCS_Barbados = 4212
GCS_Beduaram = 4213
GCS_Beijing_1954 = 4214
GCS_Belge_1950 = 4215
GCS_Bermuda_1957 = 4216
GCS_Bern_1898 = 4217
GCS_Bogota = 4218
GCS_Bukit_Rimpah = 4219
GCS_Camacupa = 4220
GCS_Campo_Inchauspe = 4221
GCS_Cape = 4222
GCS_Carthage = 4223
GCS_Chua = 4224
GCS_Corrego_Alegre = 4225
GCS_Cote_d_Ivoire = 4226
GCS_Deir_ez_Zor = 4227
GCS_Douala = 4228
GCS_Egypt_1907 = 4229
GCS_ED50 = 4230
GCS_ED87 = 4231
GCS_Fahud = 4232
GCS_Gandajika_1970 = 4233
GCS_Garoua = 4234
GCS_Guyane_Francaise = 4235
GCS_Hu_Tzu_Shan = 4236
GCS_HD72 = 4237
GCS_ID74 = 4238
GCS_Indian_1954 = 4239
GCS_Indian_1975 = 4240
GCS_Jamaica_1875 = 4241
GCS_JAD69 = 4242
GCS_Kalianpur = 4243
GCS_Kandawala = 4244
GCS_Kertau = 4245

```

GCS_KOC =	4246	
GCS_La_Canoa =	4247	
GCS_PSAD56 =	4248	
GCS_Lake =	4249	
GCS_Leigon =	4250	
GCS_Liberia_1964 =	4251	
GCS_Lome =	4252	
GCS_Luzon_1911 =	4253	
GCS_Hito_XVIII_1963 =		4254
GCS_Herat_North =	4255	
GCS_Mahe_1971 =	4256	
GCS_Makassar =	4257	
GCS_EUREF89 =	4258	
GCS_Malongo_1987 =	4259	
GCS_Manoca =	4260	
GCS_Merchich =	4261	
GCS_Massawa =	4262	
GCS_Minna =	4263	
GCS_Mhast =	4264	
GCS_Monte_Mario =	4265	
GCS_M_poraloko =	4266	
GCS_NAD27 =	4267	
GCS_NAD_Michigan =	4268	
GCS_NAD83 =	4269	
GCS_Nahrwan_1967 =	4270	
GCS_Naparima_1972 =		4271
GCS_GD49 =	4272	
GCS_NGO_1948 =	4273	
GCS_Datum_73 =	4274	
GCS_NTF =	4275	
GCS_NSWC_9Z_2 =	4276	
GCS_OSGB_1936 =	4277	
GCS_OSGB70 =	4278	
GCS_OS_SN80 =	4279	
GCS_Padang =	4280	
GCS_Palestine_1923 =		4281
GCS_Pointe_Noire =	4282	
GCS_GDA94 =	4283	
GCS_Pulkovo_1942 =	4284	
GCS_Qatar =	4285	
GCS_Qatar_1948 =	4286	
GCS_Qornoq =	4287	
GCS_Loma_Quintana =		4288
GCS_Amersfoort =	4289	
GCS_RT38 =	4290	
GCS_SAD69 =	4291	
GCS_Sapper_Hill_1943 =		4292
GCS_Schwarzeck =	4293	
GCS_Segora =	4294	
GCS_Serindung =	4295	
GCS_Sudan =	4296	
GCS_Tananarive =	4297	
GCS_Timbalai_1948 =		4298
GCS_TM65 =	4299	
GCS_TM75 =	4300	
GCS_Tokyo =	4301	
GCS_Trinidad_1903 =		4302
GCS_TC_1948 =	4303	
GCS_Voirol_1875 =	4304	
GCS_Voirol_Unifie =		4305
GCS_Bern_1938 =	4306	
GCS_Nord_Sahara_1959 =		4307
GCS_Stockholm_1938 =		4308
GCS_Yacare =	4309	

GCS\_Yoff = 4310  
 GCS\_Zanderij = 4311  
 GCS\_MGI = 4312  
 GCS\_Belge\_1972 = 4313  
 GCS\_DHDN = 4314  
 GCS\_Conakry\_1905 = 4315  
 GCS\_WGS\_72 = 4322  
 GCS\_WGS\_72BE = 4324  
 GCS\_WGS\_84 = 4326  
 GCS\_Bern\_1898\_Bern = 4801  
 GCS\_Bogota\_Bogota = 4802  
 GCS\_Lisbon\_Lisbon = 4803  
 GCS\_Makassar\_Jakarta = 4804  
 GCS\_MGI\_Ferro = 4805  
 GCS\_Monte\_Mario\_Rome = 4806  
 GCS\_NTF\_Paris = 4807  
 GCS\_Padang\_Jakarta = 4808  
 GCS\_Belge\_1950\_Brussels = 4809  
 GCS\_Tananarive\_Paris = 4810  
 GCS\_Voirol\_1875\_Paris = 4811  
 GCS\_Voirol\_Unifie\_Paris = 4812  
 GCS\_Batavia\_Jakarta = 4813  
 GCS\_ATF\_Paris = 4901  
 GCS\_NDG\_Paris = 4902

Ellipsoid-Only GCS:

Note: the numeric code is equal to the code of the corresponding EPSG ellipsoid, minus 3000.

GCSE\_Airy1830 = 4001  
 GCSE\_AiryModified1849 = 4002  
 GCSE\_AustralianNationalSpheroid = 4003  
 GCSE\_Bessel1841 = 4004  
 GCSE\_BesselModified = 4005  
 GCSE\_BesselNamibia = 4006  
 GCSE\_Clarkel1858 = 4007  
 GCSE\_Clarkel1866 = 4008  
 GCSE\_Clarkel1866Michigan = 4009  
 GCSE\_Clarkel1880\_Benoit = 4010  
 GCSE\_Clarkel1880\_IGN = 4011  
 GCSE\_Clarkel1880\_RGS = 4012  
 GCSE\_Clarkel1880\_Arc = 4013  
 GCSE\_Clarkel1880\_SGA1922 = 4014  
 GCSE\_Everest1830\_1937Adjustment = 4015  
 GCSE\_Everest1830\_1967Definition = 4016  
 GCSE\_Everest1830\_1975Definition = 4017  
 GCSE\_Everest1830Modified = 4018  
 GCSE\_GRS1980 = 4019  
 GCSE\_Helmert1906 = 4020  
 GCSE\_IndonesianNationalSpheroid = 4021  
 GCSE\_International1924 = 4022  
 GCSE\_International1967 = 4023  
 GCSE\_Krassowsky1940 = 4024  
 GCSE\_NWL9D = 4025  
 GCSE\_NWL10D = 4026  
 GCSE\_Plessis1817 = 4027  
 GCSE\_Struvel1860 = 4028  
 GCSE\_WarOffice = 4029  
 GCSE\_WGS84 = 4030  
 GCSE\_GEM10C = 4031  
 GCSE\_OSU86F = 4032  
 GCSE\_OSU91A = 4033  
 GCSE\_Clarkel1880 = 4034

GCSE\_Sphere = 4035

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### 6.3.2.2 Geodetic Datum Codes

Note: these codes do not include the Prime Meridian; if possible use the GCS codes above if the datum and Prime Meridian are on the list. Also, as with the GCS codes, the codes beginning with DatumE\_xxx refer only to the specified ellipsoid (xxx); if possible use instead the named datums beginning with Datum\_xxx

Ranges:

0 = undefined  
 [ 1, 1000] = Obsolete EPSG/POSC Datum Codes  
 [ 1001, 5999] = Reserved by GeoTIFF  
 [ 6000, 6199] = EPSG Datum Based on Ellipsoid only  
 [ 6200, 6999] = EPSG Datum Based on EPSG Datum  
 [ 6322, 6327] = WGS Datum  
 [ 6900, 6999] = Archaic Datum  
 [ 7000, 32766] = Reserved by GeoTIFF  
 32767 = user-defined GCS  
 [32768, 65535] = Private User Implementations

Values:

Datum\_Adindan = 6201  
 Datum\_Australian\_Geodetic\_Datum\_1966 = 6202  
 Datum\_Australian\_Geodetic\_Datum\_1984 = 6203  
 Datum\_Ain\_el\_Abd\_1970 = 6204  
 Datum\_Afgooye = 6205  
 Datum\_Agadez = 6206  
 Datum\_Lisbon = 6207  
 Datum\_Aratu = 6208  
 Datum\_Arc\_1950 = 6209  
 Datum\_Arc\_1960 = 6210  
 Datum\_Batavia = 6211  
 Datum\_Barbados = 6212  
 Datum\_Beduaram = 6213  
 Datum\_Beijing\_1954 = 6214  
 Datum\_Reseau\_National\_Belge\_1950 = 6215  
 Datum\_Bermuda\_1957 = 6216  
 Datum\_Bern\_1898 = 6217  
 Datum\_Bogota = 6218  
 Datum\_Bukit\_Rimpah = 6219  
 Datum\_Camacupa = 6220  
 Datum\_Campo\_Inchauspe = 6221  
 Datum\_Cape = 6222  
 Datum\_Carthage = 6223  
 Datum\_Chua = 6224  
 Datum\_Corrego\_Alegre = 6225  
 Datum\_Cote\_d\_Ivoire = 6226  
 Datum\_Deir\_ez\_Zor = 6227  
 Datum\_Douala = 6228  
 Datum\_Egypt\_1907 = 6229  
 Datum\_European\_Datum\_1950 = 6230  
 Datum\_European\_Datum\_1987 = 6231  
 Datum\_Fahud = 6232  
 Datum\_Gandajika\_1970 = 6233  
 Datum\_Garoua = 6234  
 Datum\_Guyane\_Francaise = 6235  
 Datum\_Hu\_Tzu\_Shan = 6236  
 Datum\_Hungarian\_Datum\_1972 = 6237

Datum\_Indonesian\_Datum\_1974 = 6238  
 Datum\_Indian\_1954 = 6239  
 Datum\_Indian\_1975 = 6240  
 Datum\_Jamaica\_1875 = 6241  
 Datum\_Jamaica\_1969 = 6242  
 Datum\_Kalianpur = 6243  
 Datum\_Kandawala = 6244  
 Datum\_Kertau = 6245  
 Datum\_Kuwait\_Oil\_Company = 6246  
 Datum\_La\_Canoa = 6247  
 Datum\_Provisional\_S\_American\_Datum\_1956 = 6248  
 Datum\_Lake = 6249  
 Datum\_Leigon = 6250  
 Datum\_Liberia\_1964 = 6251  
 Datum\_Lome = 6252  
 Datum\_Luzon\_1911 = 6253  
 Datum\_Hito\_XVIII\_1963 = 6254  
 Datum\_Herat\_North = 6255  
 Datum\_Mahe\_1971 = 6256  
 Datum\_Makassar = 6257  
 Datum\_European\_Reference\_System\_1989 = 6258  
 Datum\_Malongo\_1987 = 6259  
 Datum\_Manoca = 6260  
 Datum\_Merchich = 6261  
 Datum\_Massawa = 6262  
 Datum\_Minna = 6263  
 Datum\_Mhast = 6264  
 Datum\_Monte\_Mario = 6265  
 Datum\_M\_poraloko = 6266  
 Datum\_North\_American\_Datum\_1927 = 6267  
 Datum\_NAD\_Michigan = 6268  
 Datum\_North\_American\_Datum\_1983 = 6269  
 Datum\_Nahrwan\_1967 = 6270  
 Datum\_Naparima\_1972 = 6271  
 Datum\_New\_Zealand\_Geodetic\_Datum\_1949 = 6272  
 Datum\_NGO\_1948 = 6273  
 Datum\_Datum\_73 = 6274  
 Datum\_Nouvelle\_Triangulation\_Francaise = 6275  
 Datum\_NSWC\_9Z\_2 = 6276  
 Datum\_OSGB\_1936 = 6277  
 Datum\_OSGB\_1970\_SN = 6278  
 Datum\_OS\_SN\_1980 = 6279  
 Datum\_Padang\_1884 = 6280  
 Datum\_Palestine\_1923 = 6281  
 Datum\_Pointe\_Noire = 6282  
 Datum\_Geocentric\_Datum\_of\_Australia\_1994 = 6283  
 Datum\_Pulkovo\_1942 = 6284  
 Datum\_Qatar = 6285  
 Datum\_Qatar\_1948 = 6286  
 Datum\_Qornoq = 6287  
 Datum\_Loma\_Quintana = 6288  
 Datum\_Amersfoort = 6289  
 Datum\_RT38 = 6290  
 Datum\_South\_American\_Datum\_1969 = 6291  
 Datum\_Sapper\_Hill\_1943 = 6292  
 Datum\_Schwarzeck = 6293  
 Datum\_Segora = 6294  
 Datum\_Serindung = 6295  
 Datum\_Sudan = 6296  
 Datum\_Tananarive\_1925 = 6297  
 Datum\_Timbalai\_1948 = 6298  
 Datum\_TM65 = 6299  
 Datum\_TM75 = 6300  
 Datum\_Tokyo = 6301

Datum\_Trinidad\_1903 = 6302  
 Datum\_Trucial\_Coast\_1948 =6303  
 Datum\_Voirol\_1875 = 6304  
 Datum\_Voirol\_Unifie\_1960 =6305  
 Datum\_Bern\_1938 = 6306  
 Datum\_Nord\_Sahara\_1959 = 6307  
 Datum\_Stockholm\_1938 = 6308  
 Datum\_Yacare = 6309  
 Datum\_Yoff = 6310  
 Datum\_Zanderij = 6311  
 Datum\_Militar\_Geographische\_Institut = 6312  
 Datum\_Reseau\_National\_Belge\_1972 = 6313  
 Datum\_Deutsche\_Hauptdreiecksnetz = 6314  
 Datum\_Conakry\_1905 = 6315  
 Datum\_WGS72 = 6322  
 Datum\_WGS72\_Transit\_Broadcast\_Ephemeris = 6324  
 Datum\_WGS84 = 6326  
 Datum\_Ancienne\_Triangulation\_Francaise = 6901  
 Datum\_Nord\_de\_Guerre = 6902

Ellipsoid-Only Datum:

Note: the numeric code is equal to the corresponding ellipsoid code, minus 1000.

DatumE\_Airy1830 = 6001  
 DatumE\_AiryModified1849 = 6002  
 DatumE\_AustralianNationalSpheroid = 6003  
 DatumE\_Bessel1841 = 6004  
 DatumE\_BesselModified = 6005  
 DatumE\_BesselNamibia = 6006  
 DatumE\_Clarke1858 = 6007  
 DatumE\_Clarke1866 = 6008  
 DatumE\_Clarke1866Michigan = 6009  
 DatumE\_Clarke1880\_Benoit =6010  
 DatumE\_Clarke1880\_IGN = 6011  
 DatumE\_Clarke1880\_RGS = 6012  
 DatumE\_Clarke1880\_Arc = 6013  
 DatumE\_Clarke1880\_SGA1922 = 6014  
 DatumE\_Everest1830\_1937Adjustment = 6015  
 DatumE\_Everest1830\_1967Definition = 6016  
 DatumE\_Everest1830\_1975Definition = 6017  
 DatumE\_Everest1830Modified = 6018  
 DatumE\_GRS1980 = 6019  
 DatumE\_Helmert1906 = 6020  
 DatumE\_IndonesianNationalSpheroid = 6021  
 DatumE\_International1924 =6022  
 DatumE\_International1967 =6023  
 DatumE\_Krassowsky1960 = 6024  
 DatumE\_NWL9D = 6025  
 DatumE\_NWL10D = 6026  
 DatumE\_Plessis1817 = 6027  
 DatumE\_Struvel1860 = 6028  
 DatumE\_WarOffice = 6029  
 DatumE\_WGS84 = 6030  
 DatumE\_GEM10C = 6031  
 DatumE\_OSU86F = 6032  
 DatumE\_OSU91A = 6033  
 DatumE\_Clarke1880 = 6034  
 DatumE\_Sphere = 6035

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### 6.3.2.3 Ellipsoid Codes

Ranges:

```

0 = undefined
[ 1, 1000] = Obsolete EPSG/POSC Ellipsoid codes
[1001, 6999] = Reserved by GeoTIFF
[7000, 7999] = EPSG Ellipsoid codes
[8000, 32766] = Reserved by GeoTIFF
32767 = user-defined
[32768, 65535] = Private User Implementations

```

Values:

```

Ellipse_Airy_1830 = 7001
Ellipse_Airy_Modified_1849 = 7002
Ellipse_Australian_National_Spheroid = 7003
Ellipse_Bessel_1841 = 7004
Ellipse_Bessel_Modified = 7005
Ellipse_Bessel_Namibia = 7006
Ellipse_Clarke_1858 = 7007
Ellipse_Clarke_1866 = 7008
Ellipse_Clarke_1866_Michigan = 7009
Ellipse_Clarke_1880_Benoit = 7010
Ellipse_Clarke_1880_IGN = 7011
Ellipse_Clarke_1880_RGS = 7012
Ellipse_Clarke_1880_Arc = 7013
Ellipse_Clarke_1880_SGA_1922 = 7014
Ellipse_Everest_1830_1937_Adjustment = 7015
Ellipse_Everest_1830_1967_Definition = 7016
Ellipse_Everest_1830_1975_Definition = 7017
Ellipse_Everest_1830_Modified = 7018
Ellipse_GRS_1980 = 7019
Ellipse_Helmert_1906 = 7020
Ellipse_Indonesian_National_Spheroid = 7021
Ellipse_International_1924 = 7022
Ellipse_International_1967 = 7023
Ellipse_Krassowsky_1940 = 7024
Ellipse_NWL_9D = 7025
Ellipse_NWL_10D = 7026
Ellipse_Plessis_1817 = 7027
Ellipse_Struve_1860 = 7028
Ellipse_War_Office = 7029
Ellipse_WGS_84 = 7030
Ellipse_GEM_10C = 7031
Ellipse_OSU86F = 7032
Ellipse_OSU91A = 7033
Ellipse_Clarke_1880 = 7034
Ellipse_Sphere = 7035

```

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### 6.3.2.4 Prime Meridian Codes

Ranges:

```

0 = undefined
[ 1, 100] = Obsolete EPSG/POSC Prime Meridian codes
[ 101, 7999] = Reserved by GeoTIFF

```



```
[ 8000,  8999] = EPSG Prime Meridian Codes
[ 9000, 32766] = Reserved by GeoTIFF
32767         = user-defined
[32768, 65535] = Private User Implementations
```

Values:

```
PM_Greenwich = 8901
PM_Lisbon    = 8902
PM_Paris     = 8903
PM_Bogota    = 8904
PM_Madrid    = 8905
PM_Rome      = 8906
PM_Bern      = 8907
PM_Jakarta   = 8908
PM_Ferro     = 8909
PM_Brussels  = 8910
PM_Stockholm = 8911
```

+-----+

### 6.3.3 Projected CS Codes

+-----+

#### 6.3.3.1 Projected CS Type Codes

Ranges:

```
[ 1, 1000] = Obsolete EPSG/POSC Projection System Codes
[20000, 32760] = EPSG Projection System codes
32767         = user-defined
[32768, 65535] = Private User Implementations
```

Special Ranges:

1. For PCS utilising GeogCS with code in range 4201 through 4321 (i.e. geodetic datum code 6201 through 6319): As far as is possible the PCS code will be of the format gggzz where ggg is (geodetic datum code -2000) and zz is zone.
2. For PCS utilising GeogCS with code out of range 4201 through 4321 (i.e. geodetic datum code 6201 through 6319). PCS code 20xxx where xxx is a sequential number.
3. Other:
  - WGS72 / UTM northern hemisphere: 322zz where zz is UTM zone number
  - WGS72 / UTM southern hemisphere: 323zz where zz is UTM zone number
  - WGS72BE / UTM northern hemisphere: 324zz where zz is UTM zone number
  - WGS72BE / UTM southern hemisphere: 325zz where zz is UTM zone number
  - WGS84 / UTM northern hemisphere: 326zz where zz is UTM zone number
  - WGS84 / UTM southern hemisphere: 327zz where zz is UTM zone number
  - US State Plane (NAD27): 267xx/320xx
  - US State Plane (NAD83): 269xx/321xx

Values:

```
PCS_Adindan_UTM_zone_37N =20137
PCS_Adindan_UTM_zone_38N =20138
PCS_AGD66_AMG_zone_48   = 20248
```

PCS\_AGD66\_AMG\_zone\_49 = 20249  
 PCS\_AGD66\_AMG\_zone\_50 = 20250  
 PCS\_AGD66\_AMG\_zone\_51 = 20251  
 PCS\_AGD66\_AMG\_zone\_52 = 20252  
 PCS\_AGD66\_AMG\_zone\_53 = 20253  
 PCS\_AGD66\_AMG\_zone\_54 = 20254  
 PCS\_AGD66\_AMG\_zone\_55 = 20255  
 PCS\_AGD66\_AMG\_zone\_56 = 20256  
 PCS\_AGD66\_AMG\_zone\_57 = 20257  
 PCS\_AGD66\_AMG\_zone\_58 = 20258  
 PCS\_AGD84\_AMG\_zone\_48 = 20348  
 PCS\_AGD84\_AMG\_zone\_49 = 20349  
 PCS\_AGD84\_AMG\_zone\_50 = 20350  
 PCS\_AGD84\_AMG\_zone\_51 = 20351  
 PCS\_AGD84\_AMG\_zone\_52 = 20352  
 PCS\_AGD84\_AMG\_zone\_53 = 20353  
 PCS\_AGD84\_AMG\_zone\_54 = 20354  
 PCS\_AGD84\_AMG\_zone\_55 = 20355  
 PCS\_AGD84\_AMG\_zone\_56 = 20356  
 PCS\_AGD84\_AMG\_zone\_57 = 20357  
 PCS\_AGD84\_AMG\_zone\_58 = 20358  
 PCS\_Ain\_el\_Abd\_UTM\_zone\_37N = 20437  
 PCS\_Ain\_el\_Abd\_UTM\_zone\_38N = 20438  
 PCS\_Ain\_el\_Abd\_UTM\_zone\_39N = 20439  
 PCS\_Ain\_el\_Abd\_Bahrain\_Grid = 20499  
 PCS\_Afgooye\_UTM\_zone\_38N =20538  
 PCS\_Afgooye\_UTM\_zone\_39N =20539  
 PCS\_Lisbon\_Portugese\_Grid = 20700  
 PCS\_Aratu\_UTM\_zone\_22S = 20822  
 PCS\_Aratu\_UTM\_zone\_23S = 20823  
 PCS\_Aratu\_UTM\_zone\_24S = 20824  
 PCS\_Arc\_1950\_Lo13 = 20973  
 PCS\_Arc\_1950\_Lo15 = 20975  
 PCS\_Arc\_1950\_Lo17 = 20977  
 PCS\_Arc\_1950\_Lo19 = 20979  
 PCS\_Arc\_1950\_Lo21 = 20981  
 PCS\_Arc\_1950\_Lo23 = 20983  
 PCS\_Arc\_1950\_Lo25 = 20985  
 PCS\_Arc\_1950\_Lo27 = 20987  
 PCS\_Arc\_1950\_Lo29 = 20989  
 PCS\_Arc\_1950\_Lo31 = 20991  
 PCS\_Arc\_1950\_Lo33 = 20993  
 PCS\_Arc\_1950\_Lo35 = 20995  
 PCS\_Batavia\_NEIEZ = 21100  
 PCS\_Batavia\_UTM\_zone\_48S =21148  
 PCS\_Batavia\_UTM\_zone\_49S =21149  
 PCS\_Batavia\_UTM\_zone\_50S =21150  
 PCS\_Beijing\_Gauss\_zone\_13 = 21413  
 PCS\_Beijing\_Gauss\_zone\_14 = 21414  
 PCS\_Beijing\_Gauss\_zone\_15 = 21415  
 PCS\_Beijing\_Gauss\_zone\_16 = 21416  
 PCS\_Beijing\_Gauss\_zone\_17 = 21417  
 PCS\_Beijing\_Gauss\_zone\_18 = 21418  
 PCS\_Beijing\_Gauss\_zone\_19 = 21419  
 PCS\_Beijing\_Gauss\_zone\_20 = 21420  
 PCS\_Beijing\_Gauss\_zone\_21 = 21421  
 PCS\_Beijing\_Gauss\_zone\_22 = 21422  
 PCS\_Beijing\_Gauss\_zone\_23 = 21423  
 PCS\_Beijing\_Gauss\_13N = 21473  
 PCS\_Beijing\_Gauss\_14N = 21474  
 PCS\_Beijing\_Gauss\_15N = 21475  
 PCS\_Beijing\_Gauss\_16N = 21476  
 PCS\_Beijing\_Gauss\_17N = 21477  
 PCS\_Beijing\_Gauss\_18N = 21478

PCS\_Beijing\_Gauss\_19N = 21479  
 PCS\_Beijing\_Gauss\_20N = 21480  
 PCS\_Beijing\_Gauss\_21N = 21481  
 PCS\_Beijing\_Gauss\_22N = 21482  
 PCS\_Beijing\_Gauss\_23N = 21483  
 PCS\_Belge\_Lambert\_50 = 21500  
 PCS\_Bern\_1898\_Swiss\_Old = 21790  
 PCS\_Bogota\_UTM\_zone\_17N = 21817  
 PCS\_Bogota\_UTM\_zone\_18N = 21818  
 PCS\_Bogota\_Colombia\_3W = 21891  
 PCS\_Bogota\_Colombia\_Bogota = 21892  
 PCS\_Bogota\_Colombia\_3E = 21893  
 PCS\_Bogota\_Colombia\_6E = 21894  
 PCS\_Camacupa\_UTM\_32S = 22032  
 PCS\_Camacupa\_UTM\_33S = 22033  
 PCS\_C\_Inchauspe\_Argentina\_1 = 22191  
 PCS\_C\_Inchauspe\_Argentina\_2 = 22192  
 PCS\_C\_Inchauspe\_Argentina\_3 = 22193  
 PCS\_C\_Inchauspe\_Argentina\_4 = 22194  
 PCS\_C\_Inchauspe\_Argentina\_5 = 22195  
 PCS\_C\_Inchauspe\_Argentina\_6 = 22196  
 PCS\_C\_Inchauspe\_Argentina\_7 = 22197  
 PCS\_Carthage\_UTM\_zone\_32N = 22332  
 PCS\_Carthage\_Nord\_Tunisie = 22391  
 PCS\_Carthage\_Sud\_Tunisie = 22392  
 PCS\_Corrego\_Alegre\_UTM\_23S = 22523  
 PCS\_Corrego\_Alegre\_UTM\_24S = 22524  
 PCS\_Douala\_UTM\_zone\_32N = 22832  
 PCS\_Egypt\_1907\_Red\_Belt = 22992  
 PCS\_Egypt\_1907\_Purple\_Belt = 22993  
 PCS\_Egypt\_1907\_Ext\_Purple = 22994  
 PCS\_ED50\_UTM\_zone\_28N = 23028  
 PCS\_ED50\_UTM\_zone\_29N = 23029  
 PCS\_ED50\_UTM\_zone\_30N = 23030  
 PCS\_ED50\_UTM\_zone\_31N = 23031  
 PCS\_ED50\_UTM\_zone\_32N = 23032  
 PCS\_ED50\_UTM\_zone\_33N = 23033  
 PCS\_ED50\_UTM\_zone\_34N = 23034  
 PCS\_ED50\_UTM\_zone\_35N = 23035  
 PCS\_ED50\_UTM\_zone\_36N = 23036  
 PCS\_ED50\_UTM\_zone\_37N = 23037  
 PCS\_ED50\_UTM\_zone\_38N = 23038  
 PCS\_Fahud\_UTM\_zone\_39N = 23239  
 PCS\_Fahud\_UTM\_zone\_40N = 23240  
 PCS\_Garoua\_UTM\_zone\_33N = 23433  
 PCS\_ID74\_UTM\_zone\_46N = 23846  
 PCS\_ID74\_UTM\_zone\_47N = 23847  
 PCS\_ID74\_UTM\_zone\_48N = 23848  
 PCS\_ID74\_UTM\_zone\_49N = 23849  
 PCS\_ID74\_UTM\_zone\_50N = 23850  
 PCS\_ID74\_UTM\_zone\_51N = 23851  
 PCS\_ID74\_UTM\_zone\_52N = 23852  
 PCS\_ID74\_UTM\_zone\_53N = 23853  
 PCS\_ID74\_UTM\_zone\_46S = 23886  
 PCS\_ID74\_UTM\_zone\_47S = 23887  
 PCS\_ID74\_UTM\_zone\_48S = 23888  
 PCS\_ID74\_UTM\_zone\_49S = 23889  
 PCS\_ID74\_UTM\_zone\_50S = 23890  
 PCS\_ID74\_UTM\_zone\_51S = 23891  
 PCS\_ID74\_UTM\_zone\_52S = 23892  
 PCS\_ID74\_UTM\_zone\_53S = 23893  
 PCS\_ID74\_UTM\_zone\_54S = 23894  
 PCS\_Indian\_1954\_UTM\_47N = 23947  
 PCS\_Indian\_1954\_UTM\_48N = 23948

PCS\_Indian\_1975\_UTM\_47N = 24047  
 PCS\_Indian\_1975\_UTM\_48N = 24048  
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```

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### 6.3.3.2 Projection Codes

Note: Projections do not include GCS or PCS definitions. If possible, use the PCS code for standard projected coordinate systems, and use this code only if nonstandard datums are required.

Ranges:

```

0 = undefined
[ 1, 9999] = Obsolete EPSG/POSC Projection codes
[10000, 19999] = EPSG/POSC Projection codes
32767 = user-defined
[32768, 65535] = Private User Implementations

```

Special Ranges:

```

US State Plane Format: 1sszz
    where ss is USC&GS State code
    zz is USC&GS zone code for NAD27 zones
    zz is (USC&GS zone code + 30) for NAD83 zones

```

```

Larger zoned systems (16000-17999)
UTM (North) Format: 160zz
UTM (South) Format: 161zz
zoned Universal Gauss-Kruger Format: 162zz
Universal Gauss-Kruger (unzoned) Format: 163zz
Australian Map Grid Format: 174zz
Southern African STM Format: 175zz

```

```

Smaller zoned systems: Format: 18ssz
    where ss is sequential system number
    z is zone code

```

```

Single zone projections Format: 199ss
    where ss is sequential system number

```

Values:

```

Proj_Alabama_CS27_East = 10101
Proj_Alabama_CS27_West = 10102
Proj_Alabama_CS83_East = 10131
Proj_Alabama_CS83_West = 10132
Proj_Arizona_Coordinate_System_east = 10201
Proj_Arizona_Coordinate_System_Central = 10202
Proj_Arizona_Coordinate_System_west = 10203

```

Proj\_Arizona\_CS83\_east = 10231  
 Proj\_Arizona\_CS83\_Central = 10232  
 Proj\_Arizona\_CS83\_west = 10233  
 Proj\_Arkansas\_CS27\_North =10301  
 Proj\_Arkansas\_CS27\_South =10302  
 Proj\_Arkansas\_CS83\_North =10331  
 Proj\_Arkansas\_CS83\_South =10332  
 Proj\_California\_CS27\_I = 10401  
 Proj\_California\_CS27\_II = 10402  
 Proj\_California\_CS27\_III =10403  
 Proj\_California\_CS27\_IV = 10404  
 Proj\_California\_CS27\_V = 10405  
 Proj\_California\_CS27\_VI = 10406  
 Proj\_California\_CS27\_VII =10407  
 Proj\_California\_CS83\_1 = 10431  
 Proj\_California\_CS83\_2 = 10432  
 Proj\_California\_CS83\_3 = 10433  
 Proj\_California\_CS83\_4 = 10434  
 Proj\_California\_CS83\_5 = 10435  
 Proj\_California\_CS83\_6 = 10436  
 Proj\_Colorado\_CS27\_North =10501  
 Proj\_Colorado\_CS27\_Central = 10502  
 Proj\_Colorado\_CS27\_South =10503  
 Proj\_Colorado\_CS83\_North =10531  
 Proj\_Colorado\_CS83\_Central = 10532  
 Proj\_Colorado\_CS83\_South =10533  
 Proj\_Connecticut\_CS27 = 10600  
 Proj\_Connecticut\_CS83 = 10630  
 Proj\_Delaware\_CS27 = 10700  
 Proj\_Delaware\_CS83 = 10730  
 Proj\_Florida\_CS27\_East = 10901  
 Proj\_Florida\_CS27\_West = 10902  
 Proj\_Florida\_CS27\_North = 10903  
 Proj\_Florida\_CS83\_East = 10931  
 Proj\_Florida\_CS83\_West = 10932  
 Proj\_Florida\_CS83\_North = 10933  
 Proj\_Georgia\_CS27\_East = 11001  
 Proj\_Georgia\_CS27\_West = 11002  
 Proj\_Georgia\_CS83\_East = 11031  
 Proj\_Georgia\_CS83\_West = 11032  
 Proj\_Idaho\_CS27\_East = 11101  
 Proj\_Idaho\_CS27\_Central = 11102  
 Proj\_Idaho\_CS27\_West = 11103  
 Proj\_Idaho\_CS83\_East = 11131  
 Proj\_Idaho\_CS83\_Central = 11132  
 Proj\_Idaho\_CS83\_West = 11133  
 Proj\_Illinois\_CS27\_East = 11201  
 Proj\_Illinois\_CS27\_West = 11202  
 Proj\_Illinois\_CS83\_East = 11231  
 Proj\_Illinois\_CS83\_West = 11232  
 Proj\_Indiana\_CS27\_East = 11301  
 Proj\_Indiana\_CS27\_West = 11302  
 Proj\_Indiana\_CS83\_East = 11331  
 Proj\_Indiana\_CS83\_West = 11332  
 Proj\_Iowa\_CS27\_North = 11401  
 Proj\_Iowa\_CS27\_South = 11402  
 Proj\_Iowa\_CS83\_North = 11431  
 Proj\_Iowa\_CS83\_South = 11432  
 Proj\_Kansas\_CS27\_North = 11501  
 Proj\_Kansas\_CS27\_South = 11502  
 Proj\_Kansas\_CS83\_North = 11531  
 Proj\_Kansas\_CS83\_South = 11532  
 Proj\_Kentucky\_CS27\_North =11601  
 Proj\_Kentucky\_CS27\_South =11602

Proj\_Kentucky\_CS83\_North =11631  
 Proj\_Kentucky\_CS83\_South =11632  
 Proj\_Louisiana\_CS27\_North = 11701  
 Proj\_Louisiana\_CS27\_South = 11702  
 Proj\_Louisiana\_CS83\_North = 11731  
 Proj\_Louisiana\_CS83\_South = 11732  
 Proj\_Maine\_CS27\_East = 11801  
 Proj\_Maine\_CS27\_West = 11802  
 Proj\_Maine\_CS83\_East = 11831  
 Proj\_Maine\_CS83\_West = 11832  
 Proj\_Maryland\_CS27 = 11900  
 Proj\_Maryland\_CS83 = 11930  
 Proj\_Massachusetts\_CS27\_Mainland = 12001  
 Proj\_Massachusetts\_CS27\_Island = 12002  
 Proj\_Massachusetts\_CS83\_Mainland = 12031  
 Proj\_Massachusetts\_CS83\_Island = 12032  
 Proj\_Michigan\_State\_Plane\_East = 12101  
 Proj\_Michigan\_State\_Plane\_Old\_Central = 12102  
 Proj\_Michigan\_State\_Plane\_West = 12103  
 Proj\_Michigan\_CS27\_North =12111  
 Proj\_Michigan\_CS27\_Central = 12112  
 Proj\_Michigan\_CS27\_South =12113  
 Proj\_Michigan\_CS83\_North =12141  
 Proj\_Michigan\_CS83\_Central = 12142  
 Proj\_Michigan\_CS83\_South =12143  
 Proj\_Minnesota\_CS27\_North = 12201  
 Proj\_Minnesota\_CS27\_Central = 12202  
 Proj\_Minnesota\_CS27\_South = 12203  
 Proj\_Minnesota\_CS83\_North = 12231  
 Proj\_Minnesota\_CS83\_Central = 12232  
 Proj\_Minnesota\_CS83\_South = 12233  
 Proj\_Mississippi\_CS27\_East = 12301  
 Proj\_Mississippi\_CS27\_West = 12302  
 Proj\_Mississippi\_CS83\_East = 12331  
 Proj\_Mississippi\_CS83\_West = 12332  
 Proj\_Missouri\_CS27\_East = 12401  
 Proj\_Missouri\_CS27\_Central = 12402  
 Proj\_Missouri\_CS27\_West = 12403  
 Proj\_Missouri\_CS83\_East = 12431  
 Proj\_Missouri\_CS83\_Central = 12432  
 Proj\_Missouri\_CS83\_West = 12433  
 Proj\_Montana\_CS27\_North = 12501  
 Proj\_Montana\_CS27\_Central = 12502  
 Proj\_Montana\_CS27\_South = 12503  
 Proj\_Montana\_CS83 = 12530  
 Proj\_Nebraska\_CS27\_North =12601  
 Proj\_Nebraska\_CS27\_South =12602  
 Proj\_Nebraska\_CS83 = 12630  
 Proj\_Nevada\_CS27\_East = 12701  
 Proj\_Nevada\_CS27\_Central =12702  
 Proj\_Nevada\_CS27\_West = 12703  
 Proj\_Nevada\_CS83\_East = 12731  
 Proj\_Nevada\_CS83\_Central =12732  
 Proj\_Nevada\_CS83\_West = 12733  
 Proj\_New\_Hampshire\_CS27 = 12800  
 Proj\_New\_Hampshire\_CS83 = 12830  
 Proj\_New\_Jersey\_CS27 = 12900  
 Proj\_New\_Jersey\_CS83 = 12930  
 Proj\_New\_Mexico\_CS27\_East = 13001  
 Proj\_New\_Mexico\_CS27\_Central = 13002  
 Proj\_New\_Mexico\_CS27\_West = 13003  
 Proj\_New\_Mexico\_CS83\_East = 13031  
 Proj\_New\_Mexico\_CS83\_Central = 13032  
 Proj\_New\_Mexico\_CS83\_West = 13033

Proj\_New\_York\_CS27\_East = 13101  
 Proj\_New\_York\_CS27\_Central = 13102  
 Proj\_New\_York\_CS27\_West = 13103  
 Proj\_New\_York\_CS27\_Long\_Island = 13104  
 Proj\_New\_York\_CS83\_East = 13131  
 Proj\_New\_York\_CS83\_Central = 13132  
 Proj\_New\_York\_CS83\_West = 13133  
 Proj\_New\_York\_CS83\_Long\_Island = 13134  
 Proj\_North\_Carolina\_CS27 =13200  
 Proj\_North\_Carolina\_CS83 =13230  
 Proj\_North\_Dakota\_CS27\_North = 13301  
 Proj\_North\_Dakota\_CS27\_South = 13302  
 Proj\_North\_Dakota\_CS83\_North = 13331  
 Proj\_North\_Dakota\_CS83\_South = 13332  
 Proj\_Ohio\_CS27\_North = 13401  
 Proj\_Ohio\_CS27\_South = 13402  
 Proj\_Ohio\_CS83\_North = 13431  
 Proj\_Ohio\_CS83\_South = 13432  
 Proj\_Oklahoma\_CS27\_North =13501  
 Proj\_Oklahoma\_CS27\_South =13502  
 Proj\_Oklahoma\_CS83\_North =13531  
 Proj\_Oklahoma\_CS83\_South =13532  
 Proj\_Oregon\_CS27\_North = 13601  
 Proj\_Oregon\_CS27\_South = 13602  
 Proj\_Oregon\_CS83\_North = 13631  
 Proj\_Oregon\_CS83\_South = 13632  
 Proj\_Pennsylvania\_CS27\_North = 13701  
 Proj\_Pennsylvania\_CS27\_South = 13702  
 Proj\_Pennsylvania\_CS83\_North = 13731  
 Proj\_Pennsylvania\_CS83\_South = 13732  
 Proj\_Rhode\_Island\_CS27 = 13800  
 Proj\_Rhode\_Island\_CS83 = 13830  
 Proj\_South\_Carolina\_CS27\_North = 13901  
 Proj\_South\_Carolina\_CS27\_South = 13902  
 Proj\_South\_Carolina\_CS83 =13930  
 Proj\_South\_Dakota\_CS27\_North = 14001  
 Proj\_South\_Dakota\_CS27\_South = 14002  
 Proj\_South\_Dakota\_CS83\_North = 14031  
 Proj\_South\_Dakota\_CS83\_South = 14032  
 Proj\_Tennessee\_CS27 = 14100  
 Proj\_Tennessee\_CS83 = 14130  
 Proj\_Texas\_CS27\_North = 14201  
 Proj\_Texas\_CS27\_North\_Central = 14202  
 Proj\_Texas\_CS27\_Central = 14203  
 Proj\_Texas\_CS27\_South\_Central = 14204  
 Proj\_Texas\_CS27\_South = 14205  
 Proj\_Texas\_CS83\_North = 14231  
 Proj\_Texas\_CS83\_North\_Central = 14232  
 Proj\_Texas\_CS83\_Central = 14233  
 Proj\_Texas\_CS83\_South\_Central = 14234  
 Proj\_Texas\_CS83\_South = 14235  
 Proj\_Utah\_CS27\_North = 14301  
 Proj\_Utah\_CS27\_Central = 14302  
 Proj\_Utah\_CS27\_South = 14303  
 Proj\_Utah\_CS83\_North = 14331  
 Proj\_Utah\_CS83\_Central = 14332  
 Proj\_Utah\_CS83\_South = 14333  
 Proj\_Vermont\_CS27 = 14400  
 Proj\_Vermont\_CS83 = 14430  
 Proj\_Virginia\_CS27\_North =14501  
 Proj\_Virginia\_CS27\_South =14502  
 Proj\_Virginia\_CS83\_North =14531  
 Proj\_Virginia\_CS83\_South =14532  
 Proj\_Washington\_CS27\_North = 14601

Proj\_Washington\_CS27\_South = 14602  
 Proj\_Washington\_CS83\_North = 14631  
 Proj\_Washington\_CS83\_South = 14632  
 Proj\_West\_Virginia\_CS27\_North = 14701  
 Proj\_West\_Virginia\_CS27\_South = 14702  
 Proj\_West\_Virginia\_CS83\_North = 14731  
 Proj\_West\_Virginia\_CS83\_South = 14732  
 Proj\_Wisconsin\_CS27\_North = 14801  
 Proj\_Wisconsin\_CS27\_Central = 14802  
 Proj\_Wisconsin\_CS27\_South = 14803  
 Proj\_Wisconsin\_CS83\_North = 14831  
 Proj\_Wisconsin\_CS83\_Central = 14832  
 Proj\_Wisconsin\_CS83\_South = 14833  
 Proj\_Wyoming\_CS27\_East = 14901  
 Proj\_Wyoming\_CS27\_East\_Central = 14902  
 Proj\_Wyoming\_CS27\_West\_Central = 14903  
 Proj\_Wyoming\_CS27\_West = 14904  
 Proj\_Wyoming\_CS83\_East = 14931  
 Proj\_Wyoming\_CS83\_East\_Central = 14932  
 Proj\_Wyoming\_CS83\_West\_Central = 14933  
 Proj\_Wyoming\_CS83\_West = 14934  
 Proj\_Alaska\_CS27\_1 = 15001  
 Proj\_Alaska\_CS27\_2 = 15002  
 Proj\_Alaska\_CS27\_3 = 15003  
 Proj\_Alaska\_CS27\_4 = 15004  
 Proj\_Alaska\_CS27\_5 = 15005  
 Proj\_Alaska\_CS27\_6 = 15006  
 Proj\_Alaska\_CS27\_7 = 15007  
 Proj\_Alaska\_CS27\_8 = 15008  
 Proj\_Alaska\_CS27\_9 = 15009  
 Proj\_Alaska\_CS27\_10 = 15010  
 Proj\_Alaska\_CS83\_1 = 15031  
 Proj\_Alaska\_CS83\_2 = 15032  
 Proj\_Alaska\_CS83\_3 = 15033  
 Proj\_Alaska\_CS83\_4 = 15034  
 Proj\_Alaska\_CS83\_5 = 15035  
 Proj\_Alaska\_CS83\_6 = 15036  
 Proj\_Alaska\_CS83\_7 = 15037  
 Proj\_Alaska\_CS83\_8 = 15038  
 Proj\_Alaska\_CS83\_9 = 15039  
 Proj\_Alaska\_CS83\_10 = 15040  
 Proj\_Hawaii\_CS27\_1 = 15101  
 Proj\_Hawaii\_CS27\_2 = 15102  
 Proj\_Hawaii\_CS27\_3 = 15103  
 Proj\_Hawaii\_CS27\_4 = 15104  
 Proj\_Hawaii\_CS27\_5 = 15105  
 Proj\_Hawaii\_CS83\_1 = 15131  
 Proj\_Hawaii\_CS83\_2 = 15132  
 Proj\_Hawaii\_CS83\_3 = 15133  
 Proj\_Hawaii\_CS83\_4 = 15134  
 Proj\_Hawaii\_CS83\_5 = 15135  
 Proj\_Puerto\_Rico\_CS27 = 15201  
 Proj\_St\_Croix = 15202  
 Proj\_Puerto\_Rico\_Virgin\_Is = 15230  
 Proj\_BLM\_14N\_feet = 15914  
 Proj\_BLM\_15N\_feet = 15915  
 Proj\_BLM\_16N\_feet = 15916  
 Proj\_BLM\_17N\_feet = 15917  
 Proj\_Map\_Grid\_of\_Australia\_48 = 17348  
 Proj\_Map\_Grid\_of\_Australia\_49 = 17349  
 Proj\_Map\_Grid\_of\_Australia\_50 = 17350  
 Proj\_Map\_Grid\_of\_Australia\_51 = 17351  
 Proj\_Map\_Grid\_of\_Australia\_52 = 17352  
 Proj\_Map\_Grid\_of\_Australia\_53 = 17353

```

Proj_Map_Grid_of_Australia_54 = 17354
Proj_Map_Grid_of_Australia_55 = 17355
Proj_Map_Grid_of_Australia_56 = 17356
Proj_Map_Grid_of_Australia_57 = 17357
Proj_Map_Grid_of_Australia_58 = 17358
Proj_Australian_Map_Grid_48 = 17448
Proj_Australian_Map_Grid_49 = 17449
Proj_Australian_Map_Grid_50 = 17450
Proj_Australian_Map_Grid_51 = 17451
Proj_Australian_Map_Grid_52 = 17452
Proj_Australian_Map_Grid_53 = 17453
Proj_Australian_Map_Grid_54 = 17454
Proj_Australian_Map_Grid_55 = 17455
Proj_Australian_Map_Grid_56 = 17456
Proj_Australian_Map_Grid_57 = 17457
Proj_Australian_Map_Grid_58 = 17458
Proj_Argentina_1 = 18031
Proj_Argentina_2 = 18032
Proj_Argentina_3 = 18033
Proj_Argentina_4 = 18034
Proj_Argentina_5 = 18035
Proj_Argentina_6 = 18036
Proj_Argentina_7 = 18037
Proj_Colombia_3W = 18051
Proj_Colombia_Bogota = 18052
Proj_Colombia_3E = 18053
Proj_Colombia_6E = 18054
Proj_Egypt_Red_Belt = 18072
Proj_Egypt_Purple_Belt = 18073
Proj_Extended_Purple_Belt = 18074
Proj_New_Zealand_North_Island_Nat_Grid = 18141
Proj_New_Zealand_South_Island_Nat_Grid = 18142
Proj_Bahrain_Grid = 19900
Proj_Netherlands_E_Indies_Equatorial = 19905
Proj_RSO_Borneo = 19912

```

+-----+

### 6.3.3.3 Coordinate Transformation Codes

Ranges:

```

0 = undefined
[ 1, 16383] = GeoTIFF Coordinate Transformation codes
[16384, 32766] = Reserved by GeoTIFF
32767 = user-defined
[32768, 65535] = Private User Implementations

```

Values:

```

CT_TransverseMercator = 1
CT_TransvMercator_Modified_Alaska = 2
CT_ObliqueMercator = 3
CT_ObliqueMercator_Laborde = 4
CT_ObliqueMercator_Rosenmund = 5
CT_ObliqueMercator_Spherical = 6
CT_Mercator = 7
CT_LambertConfConic_2SP = 8
CT_LambertConfConic_Helmert = 9
CT_LambertAzimEqualArea = 10
CT_AlbersEqualArea = 11

```

```

CT_AzimuthalEquidistant = 12
CT_EquidistantConic = 13
CT_Stereographic = 14
CT_PolarStereographic = 15
CT_ObliqueStereographic = 16
CT_Equirectangular = 17
CT_CassiniSoldner = 18
CT_Gnomonic = 19
CT_MillerCylindrical = 20
CT_Orthographic = 21
CT_Polyconic = 22
CT_Robinson = 23
CT_Sinusoidal = 24
CT_VanDerGrinten = 25
CT_NewZealandMapGrid = 26
CT_TransvMercator_SouthOriented= 27

```

## Aliases:

```

CT_AlaskaConformal = CT_TransvMercator_Modified_Alaska
CT_TransvEquidistCylindrical = CT_CassiniSoldner
CT_ObliqueMercator_Hotine = CT_ObliqueMercator
CT_SwissObliqueCylindrical = CT_ObliqueMercator_Rosenmund
CT_GaussBoaga = CT_TransverseMercator
CT_GaussKruger = CT_TransverseMercator
CT_LambertConfConic = CT_LambertConfConic_2SP
CT_LambertConfConic_Helmert = CT_LambertConfConic_1SP
CT_SouthOrientedGaussConformal = CT_TransvMercator_SouthOriented

```

+-----+

### 6.3.4 Vertical CS Codes

+-----+

#### 6.3.4.1 Vertical CS Type Codes

## Ranges:

```

0 = undefined
[ 1, 4999] = Reserved
[ 5000, 5099] = EPSG Ellipsoid Vertical CS Codes
[ 5100, 5199] = EPSG Orthometric Vertical CS Codes
[ 5200, 5999] = Reserved EPSG
[ 6000, 32766] = Reserved
32767 = user-defined
[32768, 65535] = Private User Implementations

```

## Values:

```

VertCS_Airy_1830_ellipsoid = 5001
VertCS_Airy_Modified_1849_ellipsoid = 5002
VertCS_ANS_ellipsoid = 5003
VertCS_Bessel_1841_ellipsoid = 5004
VertCS_Bessel_Modified_ellipsoid = 5005
VertCS_Bessel_Namibia_ellipsoid = 5006
VertCS_Clarke_1858_ellipsoid = 5007
VertCS_Clarke_1866_ellipsoid = 5008
VertCS_Clarke_1880_Benoit_ellipsoid = 5010
VertCS_Clarke_1880_IGN_ellipsoid = 5011
VertCS_Clarke_1880_RGS_ellipsoid = 5012
VertCS_Clarke_1880_Arc_ellipsoid = 5013
VertCS_Clarke_1880_SGA_1922_ellipsoid = 5014

```

```

VertCS_Everest_1830_1937_Adjustment_ellipsoid = 5015
VertCS_Everest_1830_1967_Definition_ellipsoid = 5016
VertCS_Everest_1830_1975_Definition_ellipsoid = 5017
VertCS_Everest_1830_Modified_ellipsoid = 5018
VertCS_GRS_1980_ellipsoid = 5019
VertCS_Helmert_1906_ellipsoid = 5020
VertCS_INS_ellipsoid = 5021
VertCS_International_1924_ellipsoid = 5022
VertCS_International_1967_ellipsoid = 5023
VertCS_Krassowsky_1940_ellipsoid = 5024
VertCS_NWL_9D_ellipsoid = 5025
VertCS_NWL_10D_ellipsoid = 5026
VertCS_Plessis_1817_ellipsoid = 5027
VertCS_Struve_1860_ellipsoid = 5028
VertCS_War_Office_ellipsoid = 5029
VertCS_WGS_84_ellipsoid = 5030
VertCS_GEM_10C_ellipsoid = 5031
VertCS_OSU86F_ellipsoid = 5032
VertCS_OSU91A_ellipsoid = 5033

```

Orthometric Vertical CS;

```

VertCS_Newlyn = 5101
VertCS_North_American_Vertical_Datum_1929 = 5102
VertCS_North_American_Vertical_Datum_1988 = 5103
VertCS_Yellow_Sea_1956 = 5104
VertCS_Baltic_Sea = 5105
VertCS_Caspian_Sea = 5106

```

+-----+

### 6.3.4.2 Vertical CS Datum Codes

Ranges:

```

0 = undefined
[ 1, 16383] = Vertical Datum Codes
[16384, 32766] = Reserved
32767 = user-defined
[32768, 65535] = Private User Implementations

```

No vertical datum codes are currently defined, other than those implied by the corresponding Vertical CS code.

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## 6.4 EPSG Geodesy Parameter Index

+-----+

Here is a summary of the index ranges for the various coding systems used by EPSG in their tables. A copy of this index may be acquired at the FTP sites mentioned in the references in section 5. The "value" table entries below describe how values from one table are related to codes from another table.

Summary

-----



Entity	digit	Range
Prime Meridian	8	8000 thru 8999
Ellipsoid	7	7000 thru 7999
Geodetic Datum	6	6000 thru 6999
Vertical datum	5	5000 thru 5999
Geographic Coordinate System	4	4000 thru 4999
Projected Coordinate Systems	2 or 3	20000 thru 32760
Map Projection	1	10000 - 19999

Geodetic Datum Codes

Datum Type	Value	Range	Currently Defined
Unspecified Geodetic Datum [EC-1000]		6000 thru 6099	6001 thru 6035
Geodetic Datum		6100 thru 6321	6200 thru 6315
WGS 72; WGS 72BE and WGS84		6322 thru 6327	6322 thru 6327
Geodetic Datum (ancient)		6900 thru 6999	6901 thru 6902

Note for Values: EC = corresponding Ellipsoid Code.

Vertical Datum Codes

Datum Type	Value	Range	Currently Defined
Ellipsoidal	[EC-1000]	5000 thru 5099	5001 thru 5035
Orthometric		5100 thru 5899	5101 thru 5106

Note for Values: EC = corresponding Ellipsoid Code.

Geographic Coordinate System Codes

GCS Type	Value	Range	Currently Defined
Unknown geodetic datum	[GDC-2000]	4000 thru 4099	4001 thru 4045
Known datum (Greenwich)	[GDC-2000]	4100 thru 4321	4200 thru 4315
WGS 72; WGS 72BE and WGS84		4322 thru 4327	4322 thru 4327
Known datum (not Greenwich)		4800 thru 4899	4801 thru 4812
Known datum (ancient)	[GDC-2000]	4900 thru 4999	4901 thru 4902

Note for Values: GDC = corresponding Geodetic Datum Code

Map Projection System Codes

US State Plane ( 10000-15999 )

Format: 1sszz  
 where ss is USC&GS State code 01 thru 59  
 zz is (USC&GS zone code) for NAD27 zones  
 zz is (USC&GS zone code + 30) for NAD83 zones

Larger zoned systems ( 16000-17999 )

System	Format	zz	Range
UTM (North)	160zz	01	60
UTM (South)	161zz	01	60
zoned Universal Gauss-Kruger	162zz	04	32

Universal Gauss-Kruger (unzoned)	163zz	04	3
Australian Map Grid	174zz	48	58
Southern African STM	175zz	13	35

Smaller zoned systems ( 18000-18999 )  
 Format: 18ssz  
 where ss is sequential system number 01 18  
 z is zone code

Single zone projections ( 19900-19999 )  
 Format: 199ss  
 where ss is sequential system number 00 25

#### Projected Coordinate Systems

-----

For PCS utilising GeogCS with code in range 4201 through 4321  
 (i.e. geodetic datum code 6201 through 6319):

As far as is possible the PCS code will be of the format  
 gggzz where ggg is (geodetic datum code -6000) and zz is zone.

For PCS utilising GeogCS with code out of range 4201 through 4321  
 (i.e. geodetic datum code 6201 through 6319):

PCS code 20xxx where xxx is a sequential number

WGS72 / UTM North	322zz where zz is UTM zone number	32201	32260
WGS72 / UTM South	323zz where zz is UTM zone number	32301	32360
WGS72BE / UTM North	324zz where zz is UTM zone number	32401	32460
WGS72BE / UTM South	325zz where zz is UTM zone number	32501	32560
WGS84 / UTM North	326zz where zz is UTM zone number	32601	32660
WGS84 / UTM South	327zz where zz is UTM zone number	32701	32760
US State Plane (NAD27)	267xx or 320xx where xx is a sequential number		
US State Plane (NAD83)	269xx or 321xx where xx is a sequential number		

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## 7 Glossary

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ASCII: [American Standard Code for Information Interchange]  
 The predominant character set encoding of present-day computers.

Cell: A rectangular area in Raster space, in which a single pixel value is filled.

Code: In GeoTIFF, a code is a value assigned to a GeoKey, and has one of 65536 possible values.

Coordinate System:	A systematic way of assigning real (x,y,z..) coordinates to a surface or volume. In Geodetics the surface is an ellipsoid used to model the earth.
Datum:	a mathematical approximation to all or part of the earth's surface. Defining a datum requires the definition of an ellipsoid, its location and orientation, as well as the area for which the datum is valid.
Device Space	A coordinate space referencing scanner, printers and display devices.
DOUBLE:	8-byte IEEE double precision floating point.
Ellipsoid:	A mathematically defined quadratic surface used to model the earth.
EPSG:	European Petroleum Survey Group.
Flattening:	For an ellipsoid with major and minor axis lengths (a,b), the flattening is defined by:  $f = (a - b) / a$ For the earth, the value of f is approximately 1/298.3
Geocoding:	An image is geocoded if a precise algorithm for determining the earth-location of each point in the image is defined.
Geographic Coordinate System:	A Geographic CS consists of a well-defined ellipsoidal datum, a Prime Meridian, and an angular unit, allowing the assignment of a Latitude-Longitude (and optionally, geodetic height) vector to a location on earth.
GeoKey	In GeoTIFF, a GeoKey is equivalent in function to a TIFF tag, but uses a different storage mechanism.

Georeferencing:	An image is georeferenced if the location of its pixels in some model space is defined, but the transformation tying model space to the earth is not known.
GeoTIFF:	A standard for storing georeference and geocoding information in a TIFF 6.0 compliant raster file.
Grid	A coordinate mesh upon which pixels are placed
IEEE	Institute of Electrical and Electronics Engineers, Inc.
IFD:	In TIFF format, an Image File Directory, containing all the TIFF tags for one image in the file (there may be more than one).
Meridian:	Arc of constant longitude, passing through the poles.
Model Space	A flat geometrical space used to model a portion of the earth.
Parallel:	Lines of constant latitude, parallel to the equator.
Pixel:	A dimensionless point-measurement, stored in a raster file.
POSC:	Petrotechnical Open Software Corporation.
Prime Meridian:	An arbitrarily chosen meridian, used as reference for all others, and defined as 0 degrees longitude.
Projection	A projection in GeoTIFF consists of a linear (X,Y) coordinate system, and a coordinate transformation method (such as Transverse Mercator) to tie this system to an unspecified Geographic CS..

Projected Coordinate System

The result of the application of a projection transformation of a Geographic coordinate system

Raster Space:

A continuous planar space in which pixel values are visually realized.

RATIONAL:

In TIFF format, a RATIONAL value is a fractional value represented by the ratio of two unsigned 4-byte integers.

SDTS

The USGS Spatial Data Transmission Standard.

Tag:

In TIFF format, a tag is packet of numerical or ASCII values, which have a numerical "Tag" ID indicating their information content.

TIFF:

Acronym for Tagged Image File Format; a platform-independent, extensive specification for storing raster data and ancillary information in a single file.

USGS

US Geological Survey

+-----+ ,

**END OF SPECIFICATION**

+-----+

# TIFF™

## Revision 6.0

Final — June 3, 1992

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**A copy of this specification can be found in**

<http://www.adobe.com/Support/TechNotes.html>  
and

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## ***Production Notes***

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# Introduction

## About this Specification

This document describes TIFF, a tag-based file format for storing and interchanging raster images.

### *History*

---

The first version of the TIFF specification was published by Aldus Corporation in the fall of 1986, after a series of meetings with various scanner manufacturers and software developers. It did not have a revision number but should have been labeled Revision 3.0 since there were two major earlier draft releases.

Revision 4.0 contained mostly minor enhancements and was released in April 1987. Revision 5.0, released in October 1988, added support for palette color images and LZW compression.

### *Scope*

---

TIFF describes image data that typically comes from scanners, frame grabbers, and paint- and photo-retouching programs.

TIFF is not a printer language or page description language. The purpose of TIFF is to describe and store raster image data.

A primary goal of TIFF is to provide a rich environment within which applications can exchange image data. This richness is required to take advantage of the varying capabilities of scanners and other imaging devices.

Though TIFF is a rich format, it can easily be used for simple scanners and applications as well because the number of required fields is small.

TIFF will be enhanced on a continuing basis as new imaging needs arise. A high priority has been given to structuring TIFF so that future enhancements can be added without causing unnecessary hardship to developers.

## ***Features***

---

- TIFF is capable of describing bilevel, grayscale, palette-color, and full-color image data in several color spaces.
- TIFF includes a number of compression schemes that allow developers to choose the best space or time tradeoff for their applications.
- TIFF is not tied to specific scanners, printers, or computer display hardware.
- TIFF is portable. It does not favor particular operating systems, file systems, compilers, or processors.
- TIFF is designed to be extensible—to evolve gracefully as new needs arise.
- TIFF allows the inclusion of an unlimited amount of private or special-purpose information.

# Revision Notes

## ***Minor changes to TIFF 6.0, March 1995***

---

*Updated contact information and TIFF administration policies, since Aldus Corporation merged with Adobe Systems Incorporated on September 1, 1994.*

*The technical content and pagination are unchanged from the original June 3, 1992 release.*

## ***TIFF 5.0 to TIFF 6.0***

---

This revision replaces TIFF Revision 5.0.

In the main body of the document, paragraphs that contain new or substantially-changed information are shown in italics.

## ***New Features in Revision 6.0***

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Major enhancements to TIFF 6.0 are described in Part 2. They include:

- CMYK image definition
- A revised RGB Colorimetry section.
- YCbCr image definition
- CIE L\*a\*b\* image definition
- Tiled image definition
- JPEG compression

## ***Clarifications***

---

- The LZW compression section more clearly explains when to switch the coding bit length.
- The interaction between Compression=2 (CCITT Huffman) and PhotometricInterpretation was clarified.
- The data organization of uncompressed data (Compression=1) when BitsPerSample is greater than 8 was clarified. See the Compression field description.
- The discussion of CCITT Group 3 and Group 4 bilevel image encodings was clarified and expanded, and Group3Options and Group4Options fields were renamed T4Options and T6Options. See Section 11.

## ***Organizational Changes***

---

- To make the organization more consistent and expandable, appendices were transformed into numbered sections.
- The document was divided into two parts—Baseline and Extensions—to help developers make better and more consistent implementation choices. Part 1, the Baseline section, describes those features that all general-purpose TIFF readers should support. Part 2, the Extensions section, describes a number of features that can be used by special or advanced applications.
- An index and table of contents were added.

## ***Changes in Requirements***

---

- To illustrate a Baseline TIFF file earlier in the document, the material from Appendix G (“TIFF Classes”) in Revision 5 was integrated into the main body of the specification. As part of this integration, the TIFF Classes terminology was replaced by the more monolithic Baseline TIFF terminology. The intent was to further encourage all mainstream TIFF readers to support the Baseline TIFF requirements for bilevel, grayscale, RGB, and palette-color images.
- Due to licensing issues, LZW compression support was moved out of the “Part 1: Baseline TIFF” and into “Part 2: Extensions.”
- Baseline TIFF requirements for bit depths in palette-color images were weakened a bit.

## ***Changes in Terminology***

---

In previous versions of the specification, the term “tag” referred both to the identifying number of a TIFF field and to the entire field. In this version, the term “tag” refers only to the identifying number. The term “field” refers to the entire field, including the value.

## ***Compatibility***

---

Every attempt has been made to add functionality in such a way as to minimize compatibility problems with files and software that were based on earlier versions of the TIFF specification. The goal is that TIFF files should never become obsolete and that TIFF software should not have to be revised more frequently than absolutely necessary. In particular, Baseline TIFF 6.0 files will generally be readable even by older applications that assume TIFF 5.0 or an earlier version of the specification.

However, TIFF 6.0 files that use one of the major new extensions, such as a new compression scheme or color space, will not be successfully read by older software. In such cases, the older applications must gracefully give up and refuse to import the image, providing the user with a reasonably informative message.

# TIFF Administration

## *Information and Support*

---

The most recent version of the TIFF specification is available in PDF format on the Adobe WWW and ftp servers. See the cover page of the specification for the required addresses.

Because of the widespread use of TIFF for in many environments, Adobe is unable to provide a general consulting service for TIFF implementors. TIFF developers are encouraged to study sample TIFF files, read TIFF documentation thoroughly, and work with developers of other products that are important to you.

If your TIFF question specifically concerns compatibility with an Adobe Systems product, please contact Adobe Developer Support at [devsup-person@adobe.com](mailto:devsup-person@adobe.com).

Most companies that use TIFF can answer questions about support for TIFF in their products. Contact the appropriate product manager or developer support service group.

## *Private Fields and Values*

---

An organization might wish to store information meaningful to only that organization in a TIFF file. Tags numbered 32768 or higher, sometimes called private tags, are reserved for that purpose.

Upon request, the TIFF administrator (send email to [devsup-person@adobe.com](mailto:devsup-person@adobe.com)) will allocate and register one or more private tags for an organization, to avoid possible conflicts with other organizations. You do not need to tell the TIFF administrator what you plan to use them for, but giving us this information may help other developers to avoid some duplication of effort. We will likely make the tag database public at some point.

Private enumerated values can be accommodated in a similar fashion. For example, you may wish to experiment with a new compression scheme within TIFF. Enumeration constants numbered 32768 or higher are reserved for private usage. Upon request, the administrator will allocate and register one or more enumerated values for a particular field (Compression, in our example), to avoid possible conflicts.

Tags and values allocated in the private number range are not prohibited from being included in a future revision of this specification. Several such instances exist in the current TIFF specification.

Do not choose your own tag numbers. Doing so could cause serious compatibility problems in the future. However, if there is little or no chance that your TIFF files will escape your private environment, please consider using TIFF tags in the “reusable” 65000-65535 range. You do not need to contact Adobe when using numbers in this range.

If you need more than 10 tags, we suggest that you reserve a single private tag, define it as a LONG TIFF data type, and use its value as a pointer (offset) to a private IFD or other data structure of your choosing. Within that IFD, you can use whatever tags you want, since no one else will know that it is an IFD unless you tell them.

## ***Submitting a Proposal***

---

Any person or group that wants to propose a change or addition to the TIFF specification should prepare a proposal that includes the following information:

- Name of the person or group making the request, and your affiliation.
- The reason for the request.
- A list of changes exactly as you propose that they appear in the specification. Use inserts, callouts, or other obvious editorial techniques to indicate areas of change, and number each change.
- Discussion of the potential impact on the installed base.
- A list of contacts outside your company that support your position. Include their affiliation.

Please send your proposal to [devsup-person@adobe.com](mailto:devsup-person@adobe.com).

## ***The TIFF Advisory Committee***

---

The TIFF Advisory Committee is a working group of TIFF experts from a number of hardware and software manufacturers. It was formed in the spring of 1991 to provide a forum for debating and refining proposals for the 6.0 release of the TIFF specification.

If you are a TIFF expert and think you have the time and interest to work on this committee, contact [devsup-person@adobe.com](mailto:devsup-person@adobe.com) for further information. For the TIFF 6.0 release, the group met every two or three months, usually on the west coast of the U.S. Accessibility via Internet email is a requirement for membership, since that has proven to be an invaluable means for getting work done between meetings.

## ***Other TIFF Extensions***

---

~~The Aldus TIFF sections on CompuServe and AppleLink~~ (new location is under construction; check the Adobe WWW home page (<http://www.adobe.com>) for future developments) will contain proposed TIFF extensions from other companies that are not approved by Adobe as part of Baseline TIFF.

These proposals typically represent specialized uses of TIFF that do not fall within the domain of publishing or general graphics or picture interchange. Generally, these features will not be widely supported. If you do write files that incorporate these extensions, be sure to either not call them TIFF files or mark them in some way so that they will not be confused with mainstream TIFF files.

If you have such a document, send it to [devsup-person@adobe.com](mailto:devsup-person@adobe.com). All submissions must be PDF documents or simple text. Be sure to include contact information—at least an email address.

# Part 1: Baseline TIFF

The TIFF specification is divided into two parts. Part 1 describes *Baseline TIFF*. Baseline TIFF is the core of TIFF, the essentials that all mainstream TIFF developers should support in their products.



# Section 1: Notation

## *Decimal and Hexadecimal*

---

Unless otherwise noted, all numeric values in this document are expressed in decimal. (“H” is appended to hexadecimal values.)

## *Compliance*

---

*Is* and *shall* indicate mandatory requirements. All compliant writers and readers must meet the specification.

*Should* indicates a recommendation.

*May* indicates an option.

*Features designated ‘not recommended for general data interchange’ are considered extensions to Baseline TIFF. Files that use such features shall be designated “Extended TIFF 6.0” files, and the particular extensions used should be documented. A Baseline TIFF 6.0 reader is not required to support any extensions.*

## Section 2: TIFF Structure

TIFF is an image file format. In this document, a *file* is defined to be a sequence of 8-bit bytes, where the bytes are numbered from 0 to N. The largest possible TIFF file is  $2^{32}$  bytes in length.

A TIFF file begins with an 8-byte *image file header* that points to an *image file directory (IFD)*. An image file directory contains information about the image, as well as pointers to the actual image data.

The following paragraphs describe the image file header and IFD in more detail.

See Figure 1.

### Image File Header

A TIFF file begins with an 8-byte image file header, containing the following information:

Bytes 0-1: The byte order used within the file. Legal values are:

“II” (4949.H)

“MM” (4D4D.H)

In the “II” format, byte order is always from the least significant byte to the most significant byte, for both 16-bit and 32-bit integers. This is called *little-endian* byte order. In the “MM” format, byte order is always from most significant to least significant, for both 16-bit and 32-bit integers. This is called *big-endian* byte order.

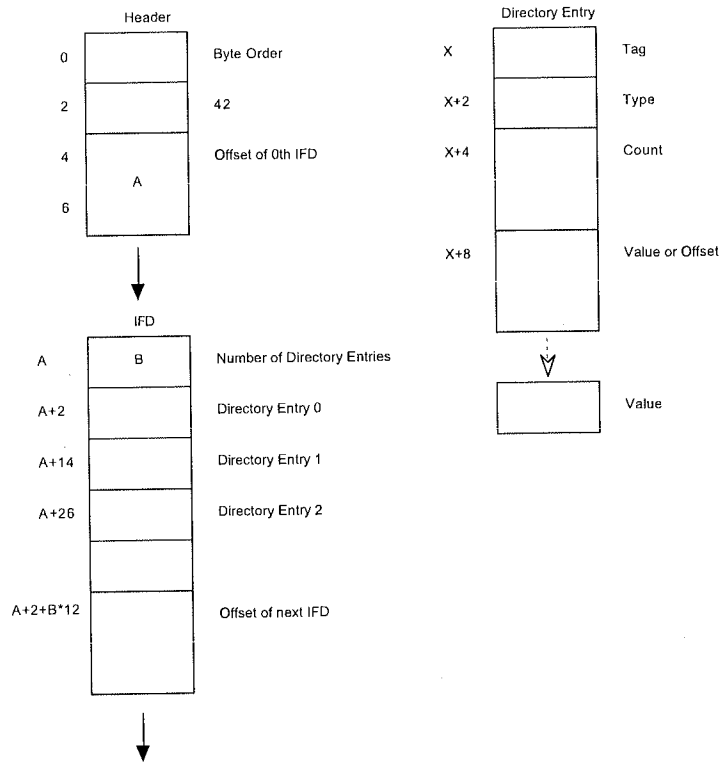
Bytes 2-3: An arbitrary but carefully chosen number (42) that further identifies the file as a TIFF file.

The byte order depends on the value of Bytes 0-1.

Bytes 4-7: The offset (in bytes) of the first IFD. The directory may be at any location in the file after the header but *must begin on a word boundary*. In particular, an Image File Directory may follow the image data it describes. Readers must follow the pointers wherever they may lead.

The term *byte offset* is always used in this document to refer to a location with respect to the beginning of the TIFF file. The first byte of the file has an offset of 0.

Figure 1



## Image File Directory

An *Image File Directory (IFD)* consists of a 2-byte count of the number of directory entries (i.e., the number of fields), followed by a sequence of 12-byte field entries, followed by a 4-byte offset of the next IFD (or 0 if none). (Do not forget to write the 4 bytes of 0 after the last IFD.)

There must be at least 1 IFD in a TIFF file and each IFD must have at least one entry.

See Figure 1.

### IFD Entry

Each 12-byte IFD entry has the following format:

Bytes 0-1 The Tag that identifies the field.

Bytes 2-3 The field Type.

Bytes 4-7 The number of values, *Count* of the indicated Type.

Bytes 8-11 The Value Offset, the file offset (in bytes) of the Value for the field. The Value is expected to begin on a word boundary; the corresponding Value Offset will thus be an even number. This file offset may point anywhere in the file, even after the image data.

### *IFD Terminology*

A *TIFF field* is a logical entity consisting of TIFF tag and its value. This logical concept is implemented as an *IFD Entry*, plus the actual value if it doesn't fit into the value/offset part, the last 4 bytes of the IFD Entry. The terms *TIFF field* and *IFD entry* are interchangeable in most contexts.

### *Sort Order*

The entries in an IFD must be sorted in ascending order by Tag. Note that this is not the order in which the fields are described in this document. The Values to which directory entries point need not be in any particular order in the file.

### *Value/Offset*

To save time and space the Value Offset contains the Value instead of pointing to the Value if and only if the Value fits into 4 bytes. If the Value is shorter than 4 bytes, it is left-justified within the 4-byte Value Offset, i.e., stored in the lower-numbered bytes. Whether the Value fits within 4 bytes is determined by the Type and Count of the field.

### *Count*

Count—called *Length* in previous versions of the specification—is the number of values. Note that Count is not the total number of bytes. For example, a single 16-bit word (SHORT) has a Count of 1; not 2.

### *Types*

The field types and their sizes are:

1 = BYTE	8-bit unsigned integer.
2 = ASCII	8-bit byte that contains a 7-bit ASCII code; the last byte must be NUL (binary zero).
3 = SHORT	16-bit (2-byte) unsigned integer.
4 = LONG	32-bit (4-byte) unsigned integer.
5 = RATIONAL	Two LONGs: the first represents the numerator of a fraction; the second, the denominator.

The value of the Count part of an ASCII field entry includes the NUL. If padding is necessary, the Count does not include the pad byte. Note that there is no initial “count byte” as in Pascal-style strings.

*Any ASCII field can contain multiple strings, each terminated with a NUL. A single string is preferred whenever possible. The Count for multi-string fields is the number of bytes in all the strings in that field plus their terminating NUL bytes. Only one NUL is allowed between strings, so that the strings following the first string will often begin on an odd byte.*

The reader must check the type to verify that it contains an expected value. TIFF currently allows more than 1 valid type for some fields. For example, ImageWidth and ImageLength are usually specified as having type SHORT. But images with more than 64K rows or columns must use the LONG field type.

*TIFF readers should accept BYTE, SHORT, or LONG values for any unsigned integer field. This allows a single procedure to retrieve any integer value, makes reading more robust, and saves disk space in some situations.*

*In TIFF 6.0, some new field types have been defined:*

6 = SBYTE	An 8-bit signed (twos-complement) integer.
7 = UNDEFINED	An 8-bit byte that may contain anything, depending on the definition of the field.
8 = SSHORT	A 16-bit (2-byte) signed (twos-complement) integer.
9 = SLONG	A 32-bit (4-byte) signed (twos-complement) integer.
10 = SRATIONAL	Two SLONG's: the first represents the numerator of a fraction, the second the denominator.
11 = FLOAT	Single precision (4-byte) IEEE format.
12 = DOUBLE	Double precision (8-byte) IEEE format.

*These new field types are also governed by the byte order (II or MM) in the TIFF header.*

***Warning: It is possible that other TIFF field types will be added in the future. Readers should skip over fields containing an unexpected field type.***

### **Fields are arrays**

*Each TIFF field has an associated Count. This means that all fields are actually one-dimensional arrays, even though most fields contain only a single value.*

*For example, to store a complicated data structure in a single private field, use the UNDEFINED field type and set the Count to the number of bytes required to hold the data structure.*

## **Multiple Images per TIFF File**

There may be more than one IFD in a TIFF file. Each IFD defines a *subfile*. One potential use of subfiles is to describe related images, such as the pages of a facsimile transmission. A Baseline TIFF reader is not required to read any IFDs beyond the first one.

## Section 3: Bilevel Images

Now that the overall TIFF structure has been described, we can move on to filling the structure with actual fields (tags and values) that describe raster image data.

To make all of this clearer, the discussion will be organized according to the four Baseline TIFF image types: bilevel, grayscale, palette-color, and full-color images. This section describes bilevel images.

Fields required to describe bilevel images are introduced and described briefly here. Full descriptions of each field can be found in Section 8.

### **Color**

---

A bilevel image contains two colors—black and white. TIFF allows an application to write out bilevel data in either a white-is-zero or black-is-zero format. The field that records this information is called *PhotometricInterpretation*.

#### ***PhotometricInterpretation***

Tag = 262 (106.H)

Type = SHORT

Values:

- 0 = *WhiteIsZero*. For bilevel and grayscale images: 0 is imaged as white. The maximum value is imaged as black. This is the normal value for *Compression=2*.
- 1 = *BlackIsZero*. For bilevel and grayscale images: 0 is imaged as black. The maximum value is imaged as white. If this value is specified for *Compression=2*, the image should display and print reversed.

### **Compression**

---

Data can be stored either compressed or uncompressed.

#### ***Compression***

Tag = 259 (103.H)

Type = SHORT

Values:

- 1 = No compression, but pack data into bytes as tightly as possible, leaving no unused bits (except at the end of a row). The component values are stored as an array of type BYTE. Each scan line (row) is padded to the next BYTE boundary.
- 2 = CCITT Group 3 1-Dimensional Modified Huffman run length encoding. See

Section 10 for a description of Modified Huffman Compression.

32773 = PackBits compression, a simple byte-oriented run length scheme. See the PackBits section for details.

Data compression applies only to raster image data. All other TIFF fields are unaffected.

*Baseline TIFF readers must handle all three compression schemes.*

## **Rows and Columns**

---

An image is organized as a rectangular array of pixels. The dimensions of this array are stored in the following fields:

### **ImageLength**

Tag = 257 (101.H)

Type = SHORT or LONG

The number of rows (sometimes described as *scanlines*) in the image.

### **ImageWidth**

Tag = 256 (100.H)

Type = SHORT or LONG

The number of columns in the image, i.e., the number of pixels per scanline.

## **Physical Dimensions**

---

Applications often want to know the size of the picture represented by an image. This information can be calculated from ImageWidth and ImageLength given the following resolution data:

### **ResolutionUnit**

Tag = 296 (128.H)

Type = SHORT

Values:

- 1 = No absolute unit of measurement. Used for images that may have a non-square aspect ratio but no meaningful absolute dimensions.
  - 2 = Inch.
  - 3 = Centimeter.
- Default = 2 (inch).

***XResolution***

Tag = 282 (11A.H)

Type = RATIONAL

The number of pixels per ResolutionUnit in the ImageWidth (typically, horizontal - see Orientation) direction.

***YResolution***

Tag = 283 (11B.H)

Type = RATIONAL

The number of pixels per ResolutionUnit in the ImageLength (typically, vertical) direction.

***Location of the Data***

---

Compressed or uncompressed image data can be stored almost anywhere in a TIFF file. TIFF also supports breaking an image into separate strips for increased editing flexibility and efficient I/O buffering. The location and size of each strip is given by the following fields:

***RowsPerStrip***

Tag = 278 (116.H)

Type = SHORT or LONG

The number of rows in each strip (except possibly the last strip.)

For example, if ImageLength is 24, and RowsPerStrip is 10, then there are 3 strips, with 10 rows in the first strip, 10 rows in the second strip, and 4 rows in the third strip. (The data in the last strip is not padded with 6 extra rows of dummy data.)

***StripOffsets***

Tag = 273 (111.H)

Type = SHORT or LONG

For each strip, the byte offset of that strip.

***StripByteCounts***

Tag = 279 (117.H)

Type = SHORT or LONG

For each strip, the number of bytes in that strip *after any compression*.



Putting it all together (along with a couple of less-important fields that are discussed later), a sample bilevel image file might contain the following fields:

### *A Sample Bilevel TIFF File*

Offset (hex)	Description	Value (numeric values are expressed in hexadecimal notation)
<b>Header:</b>		
0000	Byte Order	4D4D
0002	42	002A
0004	1st IFD offset	00000014
<b>IFD:</b>		
0014	Number of Directory Entries	000C
0016	NewSubfileType	00FE 0004 00000001 00000000
0022	ImageWidth	0100 0004 00000001 000007D0
002E	ImageLength	0101 0004 00000001 00000BB8
003A	Compression	0103 0003 00000001 8005 0000
0046	PhotometricInterpretation	0106 0003 00000001 0001 0000
0052	StripOffsets	0111 0004 000000BC 000000B6
005E	RowsPerStrip	0116 0004 00000001 00000010
006A	StripByteCounts	0117 0003 000000BC 000003A6
0076	XResolution	011A 0005 00000001 00000696
0082	YResolution	011B 0005 00000001 0000069E
008E	Software	0131 0002 0000000E 000006A6
009A	DateTime	0132 0002 00000014 000006B6
00A6	Next IFD offset	00000000
<b>Values longer than 4 bytes:</b>		
00B6	StripOffsets	Offset0, Offset1, ... Offset187
03A6	StripByteCounts	Count0, Count1, ... Count187
0696	XResolution	0000012C 00000001
069E	YResolution	0000012C 00000001
06A6	Software	"PageMaker 4.0"
06B6	DateTime	"1988:02:18 13:59:59"
<b>Image Data:</b>		
00000700		Compressed data for strip 10
xxxxxxx		Compressed data for strip 179
xxxxxxx		Compressed data for strip 53
xxxxxxx		Compressed data for strip 160

*End of example*

## ***Comments on the Bilevel Image Example***

---

- The IFD in this example starts at 14h. It could have started anywhere in the file providing the offset was an even number greater than or equal to 8 (since the TIFF header is always the first 8 bytes of a TIFF file).
- With 16 rows per strip, there are 188 strips in all.
- The example uses a number of optional fields such as DateTime. TIFF readers must safely skip over these fields if they do not understand or do not wish to use the information. Baseline TIFF readers must not require that such fields be present.
- To make a point, this example has highly-fragmented image data. The strips of the image are not in sequential order. The point of this example is to illustrate that strip offsets must not be ignored. Never assume that strip N+1 follows strip N on disk. It is not required that the image data follow the IFD information.

## ***Required Fields for Bilevel Images***

---

Here is a list of required fields for Baseline TIFF bilevel images. The fields are listed in numerical order, as they would appear in the IFD. Note that the previous example omits some of these fields. This is permitted because the fields that were omitted each have a default and the default is appropriate for this file.

TagName	Decimal	Hex	Type	Value
ImageWidth	256	100	SHORT or LONG	
ImageLength	257	101	SHORT or LONG	
Compression	259	103	SHORT	1, 2 or 32773
PhotometricInterpretation	262	106	SHORT	0 or 1
StripOffsets	273	111	SHORT or LONG	
RowsPerStrip	278	116	SHORT or LONG	
StripByteCounts	279	117	LONG or SHORT	
XResolution	282	11A	RATIONAL	
YResolution	283	11B	RATIONAL	
ResolutionUnit	296	128	SHORT	1, 2 or 3

Baseline TIFF bilevel images were called TIFF Class B images in earlier versions of the TIFF specification.

## Section 4: Grayscale Images

Grayscale images are a generalization of bilevel images. Bilevel images can store only black and white image data, but grayscale images can also store shades of gray.

To describe such images, you must add or change the following fields. The other required fields are the same as those required for bilevel images.

### *Differences from Bilevel Images*

---

**Compression = 1 or 32773 (PackBits).** In Baseline TIFF, grayscale images can either be stored as uncompressed data or compressed with the PackBits algorithm.

Caution: PackBits is often ineffective on continuous tone images, including many grayscale images. In such cases, it is better to leave the image uncompressed.

#### ***BitsPerSample***

Tag = 258 (102.H)

Type = SHORT

The number of bits per component.

Allowable values for Baseline TIFF grayscale images are 4 and 8, allowing either 16 or 256 distinct shades of gray.

### *Required Fields for Grayscale Images*

---

These are the required fields for grayscale images (in numerical order):

TagName	Decimal	Hex	Type	Value
ImageWidth	256	100	SHORT or LONG	
ImageLength	257	101	SHORT or LONG	
BitsPerSample	258	102	SHORT	4 or 8
Compression	259	103	SHORT	1 or 32773
PhotometricInterpretation	262	106	SHORT	0 or 1
StripOffsets	273	111	SHORT or LONG	
RowsPerStrip	278	116	SHORT or LONG	
StripByteCounts	279	117	LONG or SHORT	
XResolution	282	11A	RATIONAL	
YResolution	283	11B	RATIONAL	
ResolutionUnit	296	128	SHORT	1 or 2 or 3

Baseline TIFF grayscale images were called TIFF Class G images in earlier versions of the TIFF specification.

## Section 5: Palette-color Images

Palette-color images are similar to grayscale images. They still have one component per pixel, but the component value is used as an index into a full RGB-lookup table. To describe such images, you need to add or change the following fields. The other required fields are the same as those for grayscale images.

### Differences from Grayscale Images

**PhotometricInterpretation = 3 (Palette Color).**

#### **ColorMap**

Tag = 320 (140.H)

Type = SHORT

N = 3 \* (2\*\*BitsPerSample)

This field defines a Red-Green-Blue color map (often called a lookup table) for palette color images. In a palette-color image, a pixel value is used to index into an RGB-lookup table. For example, a palette-color pixel having a value of 0 would be displayed according to the 0th Red, Green, Blue triplet.

In a TIFF ColorMap, all the Red values come first, followed by the Green values, then the Blue values. In the ColorMap, black is represented by 0,0,0 and white is represented by 65535, 65535, 65535.

### Required Fields for Palette Color Images

These are the required fields for palette-color images (in numerical order):

TagName	Decimal	Hex	Type	Value
ImageWidth	256	100	SHORT or LONG	
ImageLength	257	101	SHORT or LONG	
BitsPerSample	258	102	SHORT	4 or 8
Compression	259	103	SHORT	1 or 32773
PhotometricInterpretation	262	106	SHORT	3
StripOffsets	273	111	SHORT or LONG	
RowsPerStrip	278	116	SHORT or LONG	
StripByteCounts	279	117	LONG or SHORT	
XResolution	282	11A	RATIONAL	
YResolution	283	11B	RATIONAL	
ResolutionUnit	296	128	SHORT	1 or 2 or 3
ColorMap	320	140	SHORT	

Baseline TIFF palette-color images were called TIFF Class P images in earlier versions of the TIFF specification.

## Section 6: RGB Full Color Images

In an RGB image, each pixel is made up of three components: red, green, and blue. There is no ColorMap.

To describe an RGB image, you need to add or change the following fields and values. The other required fields are the same as those required for palette-color images.

### *Differences from Palette Color Images*

---

**BitsPerSample = 8,8,8.** Each component is 8 bits deep in a Baseline TIFF RGB image.

**PhotometricInterpretation = 2 (RGB).**

There is no ColorMap.

#### ***SamplesPerPixel***

Tag = 277 (115.H)

Type = SHORT

The number of components per pixel. This number is 3 for RGB images, unless extra samples are present. See the ExtraSamples field for further information.

### *Required Fields for RGB Images*

---

These are the required fields for RGB images (in numerical order):

TagName	Decimal	Hex	Type	Value
ImageWidth	256	100	SHORT or LONG	
ImageLength	257	101	SHORT or LONG	
BitsPerSample	258	102	SHORT	8,8,8
Compression	259	103	SHORT	1 or 32773
PhotometricInterpretation	262	106	SHORT	2
StripOffsets	273	111	SHORT or LONG	
SamplesPerPixel	277	115	SHORT	3 or more
RowsPerStrip	278	116	SHORT or LONG	
StripByteCounts	279	117	LONG or SHORT	
XResolution	282	11A	RATIONAL	
YResolution	283	11B	RATIONAL	
ResolutionUnit	296	128	SHORT	1, 2 or 3

The BitsPerSample values listed above apply only to the main image data. If ExtraSamples are present, the appropriate BitsPerSample values for those samples must also be included.

Baseline TIFF RGB images were called TIFF Class R images in earlier versions of the TIFF specification.

## Section 7: Additional Baseline TIFF Requirements

This section describes characteristics required of all Baseline TIFF files.

### General Requirements

**Options.** Where there are options, TIFF writers can use whichever they want. Baseline TIFF readers must be able to handle all of them.

**Defaults.** TIFF writers may, but are not required to, write out a field that has a default value, if the default value is the one desired. TIFF readers must be prepared to handle either situation.

**Other fields.** TIFF readers must be prepared to encounter fields other than those required in TIFF files. TIFF writers are allowed to write optional fields such as Make, Model, and DateTime, and TIFF readers may use such fields if they exist. TIFF readers must not, however, refuse to read the file if such optional fields do not exist. *TIFF readers must also be prepared to encounter and ignore private fields not described in the TIFF specification.*

**'MM' and 'II' byte order.** TIFF readers must be able to handle both byte orders. TIFF writers can do whichever is most convenient or efficient.

**Multiple subfiles.** TIFF readers must be prepared for multiple images (subfiles) per TIFF file, although they are not required to do anything with images after the first one. TIFF writers are required to write a long word of 0 after the last IFD (to signal that this is the last IFD), as described earlier in this specification.

If multiple subfiles are written, the first one must be the full-resolution image. Subsequent images, such as reduced-resolution images, may be in any order in the TIFF file. If a reader wants to use such images, it must scan the corresponding IFD's before deciding how to proceed.

**TIFF Editors.** Editors—applications that modify TIFF files—have a few additional requirements:

- TIFF editors must be especially careful about subfiles. If a TIFF editor edits a full-resolution subfile, but does not update an accompanying reduced-resolution subfile, a reader that uses the reduced-resolution subfile for screen display will display the wrong thing. So TIFF editors must either create a new reduced-resolution subfile when they alter a full-resolution subfile or they must delete any subfiles that they aren't prepared to deal with.
- A similar situation arises with the fields in an IFD. It is unnecessary—and possibly dangerous—for an editor to copy fields it does not understand because the editor might alter the file in a way that is incompatible with the unknown fields.

**No Duplicate Pointers.** *No data should be referenced from more than one place. TIFF readers and editors are under no obligation to detect this condition and handle it properly. This would not be a problem if TIFF files were read-only enti-*

ties, but they are not. This warning covers both TIFF field value offsets and fields that are defined as offsets, such as *StripOffsets*.

**Point to real data.** All strip offsets must reference valid locations. (It is not legal to use an offset of 0 to mean something special.)

**Beware of extra components.** Some TIFF files may have more components per pixel than you think. A Baseline TIFF reader must skip over them gracefully, using the values of the *SamplesPerPixel* and *BitsPerSample* fields. For example, it is possible that the data will have a *PhotometricInterpretation* of RGB but have 4 *SamplesPerPixel*. See *ExtraSamples* for further details.

**Beware of new field types.** Be prepared to handle unexpected field types such as floating-point data. A Baseline TIFF reader must skip over such fields gracefully. Do not expect that *BYTE*, *ASCII*, *SHORT*, *LONG*, and *RATIONAL* will always be a complete list of field types.

**Beware of new pixel types.** Some TIFF files may have pixel data that consists of something other than unsigned integers. If the *SampleFormat* field is present and the value is not 1, a Baseline TIFF reader that cannot handle the *SampleFormat* value must terminate the import process gracefully.

## Notes on Required Fields

---

**ImageWidth, ImageLength.** Both “SHORT” and “LONG” TIFF field types are allowed and must be handled properly by readers. TIFF writers can use either type. TIFF readers are not required to read arbitrarily large files however. Some readers will give up if the entire image cannot fit into available memory. (In such cases the reader should inform the user about the problem.) Others will probably not be able to handle *ImageWidth* greater than 65535.

**RowsPerStrip.** SHORT or LONG. Readers must be able to handle any value between 1 and  $2^{32}-1$ . However, some readers may try to read an entire strip into memory at one time. If the entire image is one strip, the application may run out of memory. Recommendation: Set *RowsPerStrip* such that the size of each strip is about 8K bytes. Do this even for uncompressed data because it is easy for a writer and makes things simpler for readers. Note that extremely wide high-resolution images may have rows larger than 8K bytes; in this case, *RowsPerStrip* should be 1, and the strip will be larger than 8K.

**StripOffsets.** SHORT or LONG.

**StripByteCounts.** SHORT or LONG.

**XResolution, YResolution.** RATIONAL. Note that the X and Y resolutions may be unequal. A TIFF reader must be able to handle this case. Typically, TIFF pixel-editors do not care about the resolution, but applications (such as page layout programs) do care.

**ResolutionUnit.** SHORT. TIFF readers must be prepared to handle all three values for *ResolutionUnit*.



## Section 8: Baseline Field Reference Guide

This section contains detailed information about all the Baseline fields defined in this version of TIFF. A *Baseline field* is any field commonly found in a Baseline TIFF file, whether required or not.

For convenience, fields that were defined in earlier versions of the TIFF specification but are no longer generally recommended have also been included in this section.

New fields that are associated with optional features are not listed in this section. See Part 2 for descriptions of these new fields. There is a complete list of all fields described in this specification in Appendix A, and there are entries for all TIFF fields in the index.

More fields may be added in future versions. Whenever possible they will be added in a way that allows old TIFF readers to read newer TIFF files.

The documentation for each field contains:

- the name of the field
- the Tag number
- the field Type
- the required Number of Values (N); i.e., the Count
- comments describing the field
- the default, if any

If the field does not exist, readers must assume the default value for the field.

Most of the fields described in this part of the document are not required or are required only for particular types of TIFF files. See the preceding sections for lists of required fields.

Before defining the fields, you must understand these basic concepts: A Baseline TIFF *image* is defined to be a two-dimensional array of *pixels*, each of which consists of one or more color *components*. Monochromatic data has one color component per pixel, while RGB color data has three color components per pixel.

---

### ***The Fields***

#### ***Artist***

Person who created the image.

Tag = 315 (13B.H)

Type = ASCII

Note: some older TIFF files used this tag for storing Copyright information.

**BitsPerSample**

Number of bits per component.

Tag = 258 (102.H)

Type = SHORT

N = SamplesPerPixel

Note that this field allows a different number of bits per component for each component corresponding to a pixel. For example, RGB color data could use a different number of bits per component for each of the three color planes. Most RGB files will have the same number of BitsPerSample for each component. Even in this case, the writer must write all three values.

Default = 1. See also SamplesPerPixel.

**CellLength**

The length of the dithering or halftoning matrix used to create a dithered or halftoned bilevel file.

Tag = 265 (109.H)

Type = SHORT

N = 1

This field should only be present if Thresholding = 2

No default. See also Thresholding.

**CellWidth**

The width of the dithering or halftoning matrix used to create a dithered or halftoned bilevel file. Tag = 264 (108.H)

Type = SHORT

N = 1

No default. See also Thresholding.

**ColorMap**

A color map for palette color images.

Tag = 320 (140.H)

Type = SHORT

N = 3 \* (2\*\*BitsPerSample)

This field defines a Red-Green-Blue color map (often called a lookup table) for palette-color images. In a palette-color image, a pixel value is used to index into an RGB lookup table. For example, a palette-color pixel having a value of 0 would be displayed according to the 0th Red, Green, Blue triplet.

In a TIFF ColorMap, all the Red values come first, followed by the Green values, then the Blue values. The number of values for each color is  $2^{**}BitsPerSample$ . Therefore, the ColorMap field for an 8-bit palette-color image would have  $3 * 256$  values.

The width of each value is 16 bits, as implied by the type of SHORT. 0 represents the minimum intensity, and 65535 represents the maximum intensity. Black is represented by 0,0,0, and white by 65535, 65535, 65535.

See also PhotometricInterpretation—palette color.

No default. ColorMap must be included in all palette-color images.

### Compression

Compression scheme used on the image data.

Tag = 259 (103.H)

Type = SHORT

N = 1

- 1 = No compression, but pack data into bytes as tightly as possible leaving no unused bits except at the end of a row.

If Then the sample values are stored as an array of type:

BitsPerSample = 16 for all samples                      SHORT

BitsPerSample = 32 for all samples                      LONG

Otherwise                                                      BYTE

*Each row is padded to the next BYTE/SHORT/LONG boundary, consistent with the preceding BitsPerSample rule.*

If the image data is stored as an array of SHORTs or LONGs, the byte ordering must be consistent with that specified in bytes 0 and 1 of the TIFF file header. Therefore, little-endian format files will have the least significant bytes preceding the most significant bytes, while big-endian format files will have the opposite order.

If the number of bits per component is not a power of 2, and you are willing to give up some space for better performance, use the next higher power of 2. For example, if your data can be represented in 6 bits, set BitsPerSample to 8 instead of 6, and then convert the range of the values from [0,63] to [0,255].

Rows must begin on byte boundaries. (*SHORT boundaries if the data is stored as SHORTs, LONG boundaries if the data is stored as LONGs*).

Some graphics systems require image data rows to be word-aligned or double-word-aligned, and padded to word-boundaries or double-word boundaries. Uncompressed TIFF rows will need to be copied into word-aligned or double-word-aligned row buffers before being passed to the graphics routines in these environments.

- 2 = CCITT Group 3 1-Dimensional Modified Huffman run-length encoding. See Section 10. BitsPerSample must be 1, since this type of compression is defined only for bilevel images.

32773 = PackBits compression, a simple byte-oriented run-length scheme. See Section 9 for details.

Data compression applies only to the image data, pointed to by StripOffsets.

Default = 1.

### **Copyright**

Copyright notice.

Tag = 33432 (8298.H)

Type = ASCII

Copyright notice of the person or organization that claims the copyright to the image. The complete copyright statement should be listed in this field including any dates and statements of claims. For example, "Copyright, John Smith, 19xx. All rights reserved."

### **DateTime**

Date and time of image creation.

Tag = 306 (132.H)

Type = ASCII

N = 20

The format is: "YYYY:MM:DD HH:MM:SS", with hours like those on a 24-hour clock, and one space character between the date and the time. The length of the string, including the terminating NUL, is 20 bytes.

### **ExtraSamples**

Description of extra components.

Tag = 338 (152.H)

Type = SHORT

N =  $m$

Specifies that each pixel has  $m$  extra components whose interpretation is defined by one of the values listed below. When this field is used, the SamplesPerPixel field has a value greater than the PhotometricInterpretation field suggests.

For example, full-color RGB data normally has SamplesPerPixel=3. If SamplesPerPixel is greater than 3, then the ExtraSamples field describes the meaning of the extra samples. If SamplesPerPixel is, say, 5 then ExtraSamples will contain 2 values, one for each extra sample.

ExtraSamples is typically used to include non-color information, such as opacity, in an image. The possible values for each item in the field's value are:

0 = Unspecified data

1 = Associated alpha data (with pre-multiplied color)

## 2 = Unassociated alpha data

Associated alpha data is opacity information; it is fully described in Section 21. Unassociated alpha data is transparency information that logically exists independent of an image; it is commonly called a soft matte. Note that including both unassociated and associated alpha is undefined because associated alpha specifies that color components are pre-multiplied by the alpha component, while unassociated alpha specifies the opposite.

By convention, extra components that are present must be stored as the “last components” in each pixel. For example, if `SamplesPerPixel` is 4 and there is 1 extra component, then it is located in the last component location (`SamplesPerPixel-1`) in each pixel.

Components designated as “extra” are just like other components in a pixel. In particular, the size of such components is defined by the value of the `BitsPerSample` field.

With the introduction of this field, TIFF readers must not assume a particular `SamplesPerPixel` value based on the value of the `PhotometricInterpretation` field. For example, if the file is an RGB file, `SamplesPerPixel` may be greater than 3.

The default is no extra samples. This field must be present if there are extra samples.

See also `SamplesPerPixel`, `AssociatedAlpha`.

## FillOrder

The logical order of bits within a byte.

Tag = 266 (10A.H)

Type = SHORT

N = 1

- 1 = pixels are arranged within a byte such that pixels with lower column values are stored in the higher-order bits of the byte.

1-bit uncompressed data example: Pixel 0 of a row is stored in the high-order bit of byte 0, pixel 1 is stored in the next-highest bit, ..., pixel 7 is stored in the low-order bit of byte 0, pixel 8 is stored in the high-order bit of byte 1, and so on.

CCITT 1-bit compressed data example: The high-order bit of the first compression code is stored in the high-order bit of byte 0, the next-highest bit of the first compression code is stored in the next-highest bit of byte 0, and so on.

- 2 = pixels are arranged within a byte such that pixels with lower column values are stored in the lower-order bits of the byte.

We recommend that `FillOrder=2` be used only in special-purpose applications. It is easy and inexpensive for writers to reverse bit order by using a 256-byte lookup table. *FillOrder = 2 should be used only when BitsPerSample = 1 and the data is either uncompressed or compressed using CCITT 1D or 2D compression, to avoid potentially ambiguous situations.*

Support for `FillOrder=2` is not required in a Baseline TIFF compliant reader

Default is `FillOrder = 1`.

**FreeByteCounts**

For each string of contiguous unused bytes in a TIFF file, the number of bytes in the string.

Tag = 289 (121.H)

Type = LONG

Not recommended for general interchange.

See also FreeOffsets.

**FreeOffsets**

For each string of contiguous unused bytes in a TIFF file, the byte offset of the string.

Tag = 288 (120.H)

Type = LONG

Not recommended for general interchange.

See also FreeByteCounts.

**GrayResponseCurve**

For grayscale data, the optical density of each possible pixel value.

Tag = 291 (123.H)

Type = SHORT

N =  $2^{**} \text{BitsPerSample}$

The 0th value of GrayResponseCurve corresponds to the optical density of a pixel having a value of 0, and so on.

This field may provide useful information for sophisticated applications, but it is currently ignored by most TIFF readers.

See also GrayResponseUnit, PhotometricInterpretation.

**GrayResponseUnit**

The precision of the information contained in the GrayResponseCurve.

Tag = 290 (122.H)

Type = SHORT

N = 1

Because optical density is specified in terms of fractional numbers, this field is necessary to interpret the stored integer information. For example, if GrayScaleResponseUnits is set to 4 (ten-thousandths of a unit), and a GrayScaleResponseCurve number for gray level 4 is 3455, then the resulting actual value is 0.3455.

Optical densitometers typically measure densities within the range of 0.0 to 2.0.

- 1 = Number represents tenths of a unit.
- 2 = Number represents hundredths of a unit.
- 3 = Number represents thousandths of a unit.
- 4 = Number represents ten-thousandths of a unit.
- 5 = Number represents hundred-thousandths of a unit.

Modifies `GrayResponseCurve`.

See also `GrayResponseCurve`.

For historical reasons, the default is 2. However, for greater accuracy, 3 is recommended.

### ***HostComputer***

The computer and/or operating system in use at the time of image creation.

Tag = 316 (13C.H)

Type = ASCII

See also `Make`, `Model`, `Software`.

### ***ImageDescription***

A string that describes the subject of the image.

Tag = 270 (10E.H)

Type = ASCII

For example, a user may wish to attach a comment such as “1988 company picnic” to an image.

### ***ImageLength***

The number of rows of pixels in the image.

Tag = 257 (101.H)

Type = SHORT or LONG

N = 1

No default. See also `ImageWidth`.

### ***ImageWidth***

The number of columns in the image, i.e., the number of pixels per row.

Tag = 256 (100.H)

Type = SHORT or LONG

N = 1

No default. See also `ImageLength`.

**Make**

The scanner manufacturer.

Tag = 271 (10F.H)

Type = ASCII

Manufacturer of the scanner, video digitizer, or other type of equipment used to generate the image. Synthetic images should not include this field.

See also Model, Software.

**MaxSampleValue**

The maximum component value used.

Tag = 281 (119.H)

Type = SHORT

N = SamplesPerPixel

This field is not to be used to affect the visual appearance of an image when it is displayed or printed. Nor should this field affect the interpretation of any other field; it is used only for statistical purposes.

Default is  $2^{**}(\text{BitsPerSample}) - 1$ .

**MinSampleValue**

The minimum component value used.

Tag = 280 (118.H)

Type = SHORT

N = SamplesPerPixel

See also MaxSampleValue.

Default is 0.

**Model**

The scanner model name or number.

Tag = 272 (110.H)

Type = ASCII

The model name or number of the scanner, video digitizer, or other type of equipment used to generate the image.

See also Make, Software.



### ***NewSubfileType***

A general indication of the kind of data contained in this subfile.

Tag = 254 (FE.H)

Type = LONG

N = 1

Replaces the old SubfileType field, due to limitations in the definition of that field.

NewSubfileType is mainly useful when there are multiple subfiles in a single TIFF file.

This field is made up of a set of 32 flag bits. Unused bits are expected to be 0. Bit 0 is the low-order bit.

Currently defined values are:

- Bit 0 is 1 if the image is a reduced-resolution version of another image in this TIFF file; else the bit is 0.
  - Bit 1 is 1 if the image is a single page of a multi-page image (see the PageNumber field description); else the bit is 0.
  - Bit 2 is 1 if the image defines a transparency mask for another image in this TIFF file. The PhotometricInterpretation value must be 4, designating a transparency mask.
- These values are defined as bit flags because they are independent of each other.
- Default is 0.

### ***Orientation***

The orientation of the image with respect to the rows and columns.

Tag = 274 (112.H)

Type = SHORT

N = 1

- 1 = The 0th row represents the visual top of the image, and the 0th column represents the visual left-hand side.
- 2 = The 0th row represents the visual top of the image, and the 0th column represents the visual right-hand side.
- 3 = The 0th row represents the visual bottom of the image, and the 0th column represents the visual right-hand side.
- 4 = The 0th row represents the visual bottom of the image, and the 0th column represents the visual left-hand side.
- 5 = The 0th row represents the visual left-hand side of the image, and the 0th column represents the visual top.
- 6 = The 0th row represents the visual right-hand side of the image, and the 0th column represents the visual top.
- 7 = The 0th row represents the visual right-hand side of the image, and the 0th column represents the visual bottom.

- 8 = The 0th row represents the visual left-hand side of the image, and the 0th column represents the visual bottom.

Default is 1.

*Support for orientations other than 1 is not a Baseline TIFF requirement.*

### **PhotometricInterpretation**

The color space of the image data.

Tag = 262 (106.H)

Type = SHORT

N = 1

- 0 = WhiteIsZero. For bilevel and grayscale images: 0 is imaged as white.  $2 \times \text{BitsPerSample} - 1$  is imaged as black. This is the normal value for Compression=2.
- 1 = BlackIsZero. For bilevel and grayscale images: 0 is imaged as black.  $2 \times \text{BitsPerSample} - 1$  is imaged as white. If this value is specified for Compression=2, the image should display and print reversed.
- 2 = RGB. In the RGB model, a color is described as a combination of the three primary colors of light (red, green, and blue) in particular concentrations. For each of the three components, 0 represents minimum intensity, and  $2 \times \text{BitsPerSample} - 1$  represents maximum intensity. Thus an RGB value of (0,0,0) represents black, and (255,255,255) represents white, assuming 8-bit components. For PlanarConfiguration = 1, the components are stored in the indicated order: first Red, then Green, then Blue. For PlanarConfiguration = 2, the StripOffsets for the component planes are stored in the indicated order: first the Red component plane StripOffsets, then the Green plane StripOffsets, then the Blue plane StripOffsets.
- 3 = Palette color. In this model, a color is described with a single component. The value of the component is used as an index into the red, green and blue curves in the ColorMap field to retrieve an RGB triplet that defines the color. When PhotometricInterpretation=3 is used, ColorMap must be present and SamplesPerPixel must be 1.
- 4 = Transparency Mask.
- This means that the image is used to define an irregularly shaped region of another image in the same TIFF file. SamplesPerPixel and BitsPerSample must be 1. PackBits compression is recommended. The 1-bits define the interior of the region; the 0-bits define the exterior of the region.
- A reader application can use the mask to determine which parts of the image to display. Main image pixels that correspond to 1-bits in the transparency mask are imaged to the screen or printer, but main image pixels that correspond to 0-bits in the mask are not displayed or printed.
- The image mask is typically at a higher resolution than the main image, if the main image is grayscale or color so that the edges can be sharp.*
- There is no default for PhotometricInterpretation, *and it is required*. Do not rely on applications defaulting to what you want.

## PlanarConfiguration

How the components of each pixel are stored.

Tag = 284 (11C.H)

Type = SHORT

N = 1

- 1 = *Chunky* format. The component values for each pixel are stored contiguously. The order of the components within the pixel is specified by PhotometricInterpretation. For example, for RGB data, the data is stored as RGBRGBRGB...
- 2 = *Planar* format. The components are stored in separate “component planes.” The values in StripOffsets and StripByteCounts are then arranged as a 2-dimensional array, with SamplesPerPixel rows and StripsPerImage columns. (All of the columns for row 0 are stored first, followed by the columns of row 1, and so on.) PhotometricInterpretation describes the type of data stored in each component plane. For example, RGB data is stored with the Red components in one component plane, the Green in another, and the Blue in another.

*PlanarConfiguration=2 is not currently in widespread use and it is not recommended for general interchange. It is used as an extension and Baseline TIFF readers are not required to support it.*

If SamplesPerPixel is 1, PlanarConfiguration is irrelevant, and need not be included.

If a row interleave effect is desired, a writer might write out the data as PlanarConfiguration=2—separate sample planes—but break up the planes into multiple strips (one row per strip, perhaps) and interleave the strips.

Default is 1. See also BitsPerSample, SamplesPerPixel.

## ResolutionUnit

The unit of measurement for XResolution and YResolution.

Tag = 296 (128.H)

Type = SHORT

N = 1

To be used with XResolution and YResolution.

- 1 = No absolute unit of measurement. Used for images that may have a non-square aspect ratio, but no meaningful absolute dimensions.

The drawback of ResolutionUnit=1 is that different applications will import the image at different sizes. Even if the decision is arbitrary, it might be better to use dots per inch or dots per centimeter, and to pick XResolution and YResolution so that the aspect ratio is correct and the maximum dimension of the image is about four inches (the “four” is arbitrary.)

- 2 = Inch.  
3 = Centimeter.

Default is 2.

**RowsPerStrip**

The number of rows per strip.

Tag = 278 (116.H)

Type = SHORT or LONG

N = 1

TIFF image data is organized into strips for faster random access and efficient I/O buffering.

RowsPerStrip and ImageLength together tell us the number of strips in the entire image. The equation is:

$$\text{StripsPerImage} = \text{floor}((\text{ImageLength} + \text{RowsPerStrip} - 1) / \text{RowsPerStrip}).$$

StripsPerImage is *not* a field. It is merely a value that a TIFF reader will want to compute because it specifies the number of StripOffsets and StripByteCounts for the image.

Note that either SHORT or LONG values can be used to specify RowsPerStrip. SHORT values may be used for small TIFF files. It should be noted, however, that earlier TIFF specification revisions required LONG values and that some software may not accept SHORT values.

The default is  $2^{*}32 - 1$ , which is effectively infinity. That is, the entire image is one strip.

Use of a single strip is not recommended. Choose RowsPerStrip such that each strip is about 8K bytes, even if the data is not compressed, since it makes buffering simpler for readers. The “8K” value is fairly arbitrary, but seems to work well.

See also ImageLength, StripOffsets, StripByteCounts, TileWidth, TileLength, TileOffsets, TileByteCounts.

**SamplesPerPixel**

The number of components per pixel.

Tag = 277 (115.H)

Type = SHORT

N = 1

SamplesPerPixel is *usually* 1 for bilevel, grayscale, and palette-color images. SamplesPerPixel is *usually* 3 for RGB images.

Default = 1. See also BitsPerSample, PhotometricInterpretation, *ExtraSamples*.

**Software**

Name and version number of the software package(s) used to create the image.

Tag = 305 (131.H)

Type = ASCII

See also Make, Model.

### **StripByteCounts**

For each strip, the number of bytes in the strip after compression.

Tag = 279 (117.H)

Type = SHORT or LONG

N = StripsPerImage for PlanarConfiguration equal to 1.

= SamplesPerPixel \* StripsPerImage for PlanarConfiguration equal to 2

*This tag is required for Baseline TIFF files.*

No default.

See also StripOffsets, RowsPerStrip, TileOffsets, TileByteCounts.

### **StripOffsets**

For each strip, the byte offset of that strip.

Tag = 273 (111.H)

Type = SHORT or LONG

N = StripsPerImage for PlanarConfiguration equal to 1.

= SamplesPerPixel \* StripsPerImage for PlanarConfiguration equal to 2

The offset is specified with respect to the beginning of the TIFF file. Note that this implies that each strip has a location independent of the locations of other strips. This feature may be useful for editing applications. This required field is the only way for a reader to find the image data. (*Unless TileOffsets is used; see TileOffsets.*)

Note that either SHORT or LONG values may be used to specify the strip offsets. SHORT values may be used for small TIFF files. It should be noted, however, that earlier TIFF specifications required LONG strip offsets and that some software may not accept SHORT values.

*For maximum compatibility with operating systems such as MS-DOS and Windows, the StripOffsets array should be less than or equal to 64K bytes in length, and the strips themselves, in both compressed and uncompressed forms, should not be larger than 64K bytes.*

No default. See also StripByteCounts, RowsPerStrip, TileOffsets, TileByteCounts.

### **SubfileType**

A general indication of the kind of data contained in this subfile.

Tag = 255 (FF.H)

Type = SHORT

N = 1

Currently defined values are:

- 1 = full-resolution image data
- 2 = reduced-resolution image data
- 3 = a single page of a multi-page image (see the PageNumber field description).

Note that several image types may be found in a single TIFF file, with each subfile described by its own IFD.

No default.

This field is deprecated. The NewSubfileType field should be used instead.

### ***Thresholding***

For black and white TIFF files that represent shades of gray, the technique used to convert from gray to black and white pixels.

Tag = 263 (107.H)

Type = SHORT

N = 1

- 1 = No dithering or halftoning has been applied to the image data.
- 2 = An ordered dither or halftone technique has been applied to the image data.
- 3 = A randomized process such as error diffusion has been applied to the image data.

Default is Thresholding = 1. See also CellWidth, CellLength.

### ***XResolution***

The number of pixels per ResolutionUnit in the ImageWidth direction.

Tag = 282 (11A.H)

Type = RATIONAL

N = 1

It is not mandatory that the image be actually displayed or printed at the size implied by this parameter. It is up to the application to use this information as it wishes.

No default. See also YResolution, ResolutionUnit.

### ***YResolution***

The number of pixels per ResolutionUnit in the ImageLength direction.

Tag = 283 (11B.H)

Type = RATIONAL

N = 1

No default. See also XResolution, ResolutionUnit.

## Section 9: PackBits Compression

This section describes TIFF compression type 32773, a simple byte-oriented run-length scheme.

### *Description*

---

In choosing a simple byte-oriented run-length compression scheme, we arbitrarily chose the Apple Macintosh PackBits scheme. It has a good worst case behavior (at most 1 extra byte for every 128 input bytes). For Macintosh users, the toolbox utilities PackBits and UnPackBits will do the work for you, but it is easy to implement your own routines.

A pseudo code fragment to unpack might look like this:

```

Loop until you get the number of unpacked bytes you are expecting:
  Read the next source byte into n.
  If n is between 0 and 127 inclusive, copy the next n+1 bytes literally.
  Else if n is between -127 and -1 inclusive, copy the next byte -n+1
  times.
  Else if n is -128, noop.
Endloop

```

In the inverse routine, it is best to encode a 2-byte repeat run as a replicate run except when preceded and followed by a literal run. In that case, it is best to merge the three runs into one literal run. Always encode 3-byte repeats as replicate runs.

That is the essence of the algorithm. Here are some additional rules:

- Pack each row separately. Do not compress across row boundaries.
- The number of uncompressed bytes per row is defined to be  $(\text{ImageWidth} + 7) / 8$ . If the uncompressed bitmap is required to have an even number of bytes per row, decompress into word-aligned buffers.
- If a run is larger than 128 bytes, encode the remainder of the run as one or more additional replicate runs.

When PackBits data is decompressed, the result should be interpreted as per compression type 1 (no compression).

## Section 10: Modified Huffman Compression

This section describes TIFF compression scheme 2, a method for compressing bilevel data based on the CCITT Group 3 1D facsimile compression scheme.

### References

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- “Standardization of Group 3 facsimile apparatus for document transmission,” Recommendation T.4, Volume VII, Fascicle VII.3, Terminal Equipment and Protocols for Telematic Services, The International Telegraph and Telephone Consultative Committee (CCITT), Geneva, 1985, pages 16 through 31.
- “Facsimile Coding Schemes and Coding Control Functions for Group 4 Facsimile Apparatus,” Recommendation T.6, Volume VII, Fascicle VII.3, Terminal Equipment and Protocols for Telematic Services, The International Telegraph and Telephone Consultative Committee (CCITT), Geneva, 1985, pages 40 through 48.

We do not believe that these documents are necessary in order to implement Compression=2. We have included (verbatim in most places) all the pertinent information in this section. However, if you wish to order the documents, you can write to ANSI, Attention: Sales, 1430 Broadway, New York, N.Y., 10018. Ask for the publication listed above—it contains both Recommendation T.4 and T.6.

### Relationship to the CCITT Specifications

---

The CCITT Group 3 and Group 4 specifications describe communications protocols for a particular class of devices. They are not by themselves sufficient to describe a disk data format. Fortunately, however, the CCITT coding schemes can be readily adapted to this different environment. The following is one such adaptation. Most of the language is copied directly from the CCITT specifications.

*See Section 11 for additional CCITT compression options.*

### Coding Scheme

---

A line (row) of data is composed of a series of variable length code words. Each code word represents a run length of all white or all black. (Actually, more than one code word may be required to code a given run, in a manner described below.) White runs and black runs alternate.

To ensure that the receiver (decompressor) maintains color synchronization, all data lines begin with a white run-length code word set. If the actual scan line begins with a black run, a white run-length of zero is sent (written). Black or white run-lengths are defined by the code words in Tables 1 and 2. The code words are of two types: Terminating code words and Make-up code words. Each run-length is represented by zero or more Make-up code words followed by exactly one Terminating code word.



Run lengths in the range of 0 to 63 pels (pixels) are encoded with their appropriate Terminating code word. Note that there is a different list of code words for black and white run-lengths.

Run lengths in the range of 64 to 2623 (2560+63) pels are encoded first by the Make-up code word representing the run-length that is nearest to, not longer than, that required. This is then followed by the Terminating code word representing the difference between the required run-length and the run-length represented by the Make-up code.

Run lengths in the range of lengths longer than or equal to 2624 pels are coded first by the Make-up code of 2560. If the remaining part of the run (after the first Make-up code of 2560) is 2560 pels or greater, additional Make-up code(s) of 2560 are issued until the remaining part of the run becomes less than 2560 pels. Then the remaining part of the run is encoded by Terminating code or by Make-up code plus Terminating code, according to the range mentioned above.

It is considered an unrecoverable error if the sum of the run-lengths for a line does not equal the value of the ImageWidth field.

New rows always begin on the next available byte boundary.

No EOL code words are used. No fill bits are used, except for the ignored bits at the end of the last byte of a row. RTC is not used.

*An encoded CCITT string is self-photometric, defined in terms of white and black runs. Yet TIFF defines a tag called PhotometricInterpretation that also purports to define what is white and what is black. Somewhat arbitrarily, we adopt the following convention:*

*The "normal" PhotometricInterpretation for bilevel CCITT compressed data is WhiteIsZero. In this case, the CCITT "white" runs are to be interpreted as white, and the CCITT "black" runs are to be interpreted as black. However, if the PhotometricInterpretation is BlackIsZero, the TIFF reader must reverse the meaning of white and black when displaying and printing the image.*

Table 1/T.4 Terminating codes

White run length	Code word	Black run length	Code word
0	00110101	0	0000110111
1	000111	1	010
2	0111	2	11
3	1000	3	10
4	1011	4	011
5	1100	5	0011
6	1110	6	0010
7	1111	7	00011
8	10011	8	000101
9	10100	9	000100
10	00111	10	0000100
11	01000	11	0000101
12	001000	12	0000111
13	000011	13	00000100
14	110100	14	00000111
15	110101	15	000011000
16	101010	16	0000010111
17	101011	17	0000011000
18	0100111	18	0000001000
19	0001100	19	00001100111
20	0001000	20	00001101000
21	0010111	21	00001101100
22	0000011	22	00000110111
23	0000100	23	00000101000
24	0101000	24	00000010111
25	0101011	25	00000011000
26	0010011	26	000011001010
27	0100100	27	000011001011
28	0011000	28	000011001100
29	00000010	29	000011001101
30	00000011	30	000001101000
31	00011010	31	000001101001
32	00011011	32	000001101010
33	00010010	33	000001101011
34	00010011	34	000011010010
35	00010100	35	000011010011
36	00010101	36	000011010100
37	00010110	37	000011010101
38	00010111	38	000011010110
39	00101000	39	000011010111
40	00101001	40	000001101100
41	00101010	41	000001101101
42	00101011	42	000011011010
43	00101100	43	000011011011
44	00101101	44	000001010100
45	00000100	45	000001010101
46	00000101	46	000001010110
47	00001010	47	000001010111
48	00001011	48	000001100100
49	01010010	49	000001100101
50	01010011	50	000001010010
51	01010100	51	000001010011

White run length	Code word	Black run length	Code word
52	01010101	52	000000100100
53	00100100	53	000000110111
54	00100101	54	000000111000
55	01011000	55	000000100111
56	01011001	56	000000101000
57	01011010	57	000001011000
58	01011011	58	000001011001
59	01001010	59	000000101011
60	01001011	60	000000101100
61	00110010	61	000001011010
62	00110011	62	000001100110
63	00110100	63	000001100111

Table 2/T.4 Make-up codes

White run length	Code word	Black run length	Code word
64	11011	64	0000001111
128	10010	128	000011001000
192	010111	192	000011001001
256	0110111	256	000001011011
320	00110110	320	000000110011
384	00110111	384	000000110100
448	01100100	448	000000110101
512	01100101	512	0000001101100
576	01101000	576	0000001101101
640	01100111	640	0000001001010
704	011001100	704	0000001001011
768	011001101	768	0000001001100
832	011010010	832	0000001001101
896	011010011	896	0000001110010
960	011010100	960	0000001110011
1024	011010101	1024	0000001110100
1088	011010110	1088	0000001110101
1152	011010111	1152	0000001110110
1216	011011000	1216	0000001110111
1280	011011001	1280	0000001010010
1344	011011010	1344	0000001010011
1408	011011011	1408	0000001010100
1472	010011000	1472	0000001010101
1536	010011001	1536	0000001011010
1600	010011010	1600	0000001011011
1664	011000	1664	0000001100100
1728	010011011	1728	0000001100101
EOL	000000000001	EOL	00000000000

*Additional make-up codes*

White and Black run length	Make-up code word
1792	00000001000
1856	00000001100
1920	00000001101
1984	000000010010
2048	000000010011
2112	000000010100
2176	000000010101
2240	000000010110
2304	000000010111
2368	000000011100
2432	000000011101
2496	000000011110
2560	000000011111

## Part 2: TIFF Extensions

Part 2 contains extensions to Baseline TIFF. TIFF Extensions are TIFF features that may not be supported by all TIFF readers. TIFF creators who use these features will have to work closely with TIFF readers in their part of the industry to ensure successful interchange.

The features described in this part were either contained in earlier versions of the specification, or have been approved by the TIFF Advisory Committee.

## Section 11: CCITT Bilevel Encodings

The following fields are used when storing binary pixel arrays using one of the encodings adopted for raster-graphic interchange in numerous CCITT and ISO (International Organization for Standards) recommendations and standards. These encodings are often spoken of as “Group III compression” and “Group IV compression” because their application in facsimile transmission is the most widely known.

For the specialized use of these encodings in storing facsimile-transmission images, further guidelines can be obtained from the TIFF Class F document, available on-line in the same locations as this specification. This document is administered by another organization; paper copies are not available from Adobe.

### ***Compression***

Tag = 259 (103.H)

Type = SHORT

N = 1

- 3 = T4-encoding: CCITT T.4 bi-level encoding as specified in section 4, Coding, of CCITT Recommendation T.4: “Standardization of Group 3 Facsimile apparatus for document transmission.” International Telephone and Telegraph Consultative Committee (CCITT, Geneva: 1988).

See the T4Options field for T4-encoding options such as 1D vs 2D coding.

- 4 = T6-encoding: CCITT T.6 bi-level encoding as specified in section 2 of CCITT Recommendation T.6: “Facsimile coding schemes and coding control functions for Group 4 facsimile apparatus.” International Telephone and Telegraph Consultative Committee (CCITT, Geneva: 1988).

See the T6Options field for T6-encoding options such as escape into uncompressed mode to avoid negative-compression cases.

### ***Application in Image Interchange***

CCITT Recommendations T.4 and T.6 are specified in terms of the serial bit-by-bit creation and processing of a variable-length binary string that encodes bi-level (black and white) pixels of a rectangular image array. Generally, the encoding schemes are described in terms of bit-serial communication procedures and the end-to-end coordination that is required to gain reliable delivery over inherently unreliable data links. The Group 4 procedures, with their T6-encoding, represent a significant simplification because it is assumed that a reliable communication medium is employed, whether ISDN or X.25 or some other trustworthy transport vehicle. Because image-storage systems and computers achieve data integrity and communication reliability in other ways, the T6-encoding tends to be preferred for imaging applications. When computer storage and retrieval and interchange of facsimile material are of interest, the T4-encodings provide a better match to the

current generation of Group 3 facsimile products and their defenses against data corruption as the result of transmission defects.

Whichever form of encoding is preferable for a given application, there are a number of adjustments that need to be made to account for the capture of the CCITT binary-encoding strings as part of electronically-stored material and digital-image interchange.

*PhotometricInterpretation.* An encoded CCITT string is self-photometric, defined in terms of white and black runs. Yet TIFF defines a tag called *PhotometricInterpretation* that also purports to define what is white and what is black. Somewhat arbitrarily, we adopt the following convention:

The “normal” *PhotometricInterpretation* for bilevel CCITT compressed data is *WhiteIsZero*. In this case, the CCITT “white” runs are to be interpreted as white, and the CCITT “black” runs are to be interpreted as black. However, if the *PhotometricInterpretation* is *BlackIsZero*, the TIFF reader must reverse the meaning of white and black when displaying and printing the image.

*FillOrder.* When CCITT encodings are used directly over a typical serial communication link, the order of the bits in the encoded string is the sequential order of the string, bit-by-bit, from beginning to end. This poses the following question: In which order should consecutive blocks of eight bits be assembled into octets (standard data bytes) for use within a computer system? The answer differs depending on whether we are concerned about preserving the serial-transmission sequence or preserving only the format of byte-organized sequences in memory and in stored files.

From the perspective of electronic interchange, as long as a receiver’s reassembly of bits into bytes properly mirrors the way in which the bytes were disassembled by the transmitter, no one cares which order is seen on the transmission link because each multiple of 8 bits is transparently transmitted.

Common practice is to record arbitrary binary strings into storage sequences such that the first sequential bit of the string is found in the high-order bit of the first octet of the stored byte sequence. This is the standard case specified by TIFF *FillOrder = 1*, used in most bitmap interchange and the only case required in Baseline TIFF. This is also the approach used for the octets of standard 8-bit character data, with little attention paid to the fact that the most common forms of data communication transmit and reassemble individual 8-bit frames with the low-order-bit first!

For bit-serial transmission to a distant unit whose approach to assembling bits into bytes is unknown and supposed to be irrelevant, it is necessary to satisfy the expected sequencing of bits over the transmission link. This is the normal case for communication between facsimile units and also for computers and modems emulating standard Group 3 facsimile units. In this case, if the CCITT encoding is captured directly off of the link via standard communication adapters, TIFF *FillOrder = 2* will usually apply to that stored data form.

Consequently, different TIFF *FillOrder* cases may arise when CCITT encodings are obtained by synthesis within a computer (including Group 4 transmission, which is treated more like computer data) instead of by capture from a Group 3 facsimile unit.

Because this is such a subtle situation, with surprisingly disruptive consequences for *FillOrder* mismatches, the following practice is urged whenever CCITT bilevel encodings are used:

- a. TIFF FillOrder (tag 266) should always be explicitly specified.
- b. FillOrder = 1 should be employed wherever possible in persistent material that is intended for interchange. This is the only reliable case for widespread interchange among computer systems, and it is important to explicitly confirm the honoring of standard assumptions.
- c. FillOrder = 2 should occur only in highly-localized and preferably-transient material, as in a facsimile server supporting group 3 facsimile equipment. The tag should be present as a safeguard against the CCITT encoding “leaking” into an unsuspecting application, allowing readers to detect and warn against the occurrence.

There are interchange situations where fill order is not distinguished, as when filtering the CCITT encoding into a PostScript level 2 image operation. In this case, as in most other cases of computer-based information interchange, FillOrder=1 is assumed, and any padding to a multiple of 8 bits is accomplished by adding a sufficient number of 0-bits to the end of the sequence.

*Strips and Tiles.* When CCITT bi-level encoding is employed, interaction with stripping (Section 3) and tiling (Section 15) is as follows:

- a. Decompose the image into segments—individual pixel arrays representing the desired strip or tile configuration. The CCITT encoding procedures are applied most flexibly if the segments each have a multiple of 4 lines.
- b. Individually encode each segment according to the specified CCITT bi-level encoding, as if each segment is a separate raster-graphic image.

The reason for this general rule is that CCITT bi-level encodings are generally progressive. That is, the initial line of pixels is encoded, and then subsequent lines, according to a variety of options, are encoded in terms of changes that need to be made to the preceding (unencoded) line. For strips and tiles to be individually usable, they must each start as fresh, independent encodings.

*Miscellaneous features.* There are provisions in CCITT encoding that are mostly meaningful during facsimile-transmission procedures. There is generally no significant application when storing images in TIFF or other data interchange formats, although TIFF applications should be tolerant and flexible in this regard. These features tend to have significance only when facilitating transfer between facsimile and non-facsimile applications of the encoded raster-graphic images. Further considerations for fill sequences, end-of-line flags, return-to-control (end-of-block) sequences and byte padding are introduced in discussion of the individual encoding options.

### **T4Options**

Tag = 292 (124.H)

Type = LONG

N = 1

See *Compression=3*. This field is made up of a set of 32 flag bits. Unused bits must be set to 0. Bit 0 is the low-order bit.

Bit 0 is 1 for 2-dimensional coding (otherwise 1-dimensional is assumed). For 2-D coding, if more than one strip is specified, each strip must begin with a 1-



dimensionally coded line. That is, RowsPerStrip should be a multiple of “Parameter K,” as documented in the CCITT specification.

Bit 1 is 1 if uncompressed mode is used.

Bit 2 is 1 if fill bits have been added as necessary before EOL codes such that EOL always ends on a byte boundary, thus ensuring an EOL-sequence of 1 byte preceded by a zero nibble: xxxx-0000 0000-0001.

Default is 0, for basic 1-dimensional coding. See also Compression.

### **T6Options**

Tag = 293 (125.H)

Type = LONG

N = 1

See *Compression* = 4. This field is made up of a set of 32 flag bits. Unused bits must be set to 0. Bit 0 is the low-order bit. The default value is 0 (all bits 0).

bit 0 is unused and always 0.

bit 1 is 1 if uncompressed mode is allowed in the encoding.

In earlier versions of TIFF, this tag was named Group4Options. The significance has not changed and the present definition is compatible. The name of the tag has been changed to be consistent with the nomenclature of other T.6-encoding applications.

Readers should honor this option tag, and only this option tag, whenever T.6-Encoding is specified for Compression.

For T.6-Encoding, each segment (strip or tile) is encoded as if it were a separate image. The encoded string from each segment starts a fresh byte.

There are no one-dimensional line encodings in T.6-Encoding. Instead, even the first row of the segment’s pixel array is encoded two-dimensionally by always assuming an invisible preceding row of all-white pixels. The 2-dimensional procedure for encoding the body of individual rows is the same as that used for 2-dimensional T.4-encoding and is described fully in the CCITT specifications.

The beginning of the encoding for each row of a strip or tile is conducted as if there is an imaginary preceding (0-width) white pixel, that is as if a fresh run of white pixels has just commenced. The completion of each line is encoded as if there are imaginary pixels beyond the end of the current line, and of the preceding line, in effect, of colors chosen such that the line is exactly completable by a code word, making the imaginary next pixel a changing element that’s not actually used.

The encodings of successive lines follow contiguously in the binary T.6-Encoding stream with no special initiation or separation codewords. There are no provisions for fill codes or explicit end-of-line indicators. The encoding of the last line of the pixel array is followed immediately, in place of any additional line encodings, by a 24-bit End-of-Facsimile Block (EOFB).

00000000001000000000001.B.

The EOFB sequence is immediately followed by enough 0-bit padding to fit the entire stream into a sequence of 8-bit bytes.

*General Application.* Because of the single uniform encoding procedure, without disruptions by end-of-line codes and shifts into one-dimensional encodings, T.6-encoding is very popular for compression of bi-level images in document imaging systems. T.6-encoding trades off redundancy for minimum encoded size, relying on the underlying storage and transmission systems for reliable retention and communication of the encoded stream.

TIFF readers will operate most smoothly by always ignoring bits beyond the EOFB. Some writers may produce additional bytes of pad bits beyond the byte containing the final bit of the EOFB. Robust readers will not be disturbed by this prospect.

It is not possible to correctly decode a T.6-Encoding without knowledge of the exact number of pixels in each line of the pixel array. ImageWidth (or TileWidth, if used) must be stated exactly and accurately. If an image or segment is overscanned, producing extraneous pixels at the beginning or ending of lines, these pixels must be counted. Any cropping must be accomplished by other means. It is not possible to recover from a pixel-count deviation, even when one is detected. Failure of any row to be completed as expected is cause for abandoning further decoding of the entire segment. There is no requirement that ImageWidth be a multiple of eight, of course, and readers must be prepared to pad the final octet bytes of decoded bitmap rows with additional bits.

If a TIFF reader encounters EOFB before the expected number of lines has been extracted, it is appropriate to assume that the missing rows consist entirely of white pixels. Cautious readers might produce an unobtrusive warning if such an EOFB is followed by anything other than pad bits.

Readers that successfully decode the RowsPerStrip (or TileLength or residual ImageLength) number of lines are not required to verify that an EOFB follows. That is, it is generally appropriate to stop decoding when the expected lines are decoded or the EOFB is detected, whichever occurs first. Whether error indications or warnings are also appropriate depends upon the application and whether more precise troubleshooting of encoding deviations is important.

TIFF writers should always encode the full, prescribed number of rows, with a proper EOFB immediately following in the encoding. Padding should be by the least number of 0-bits needed for the T.6-encoding to exactly occupy a multiple of 8 bits. Only 0-bits should be used for padding, and StripByteCount (or TileByteCount) should not extend to any bytes not containing properly-formed T.6-encoding. In addition, even though not required by T.6-encoding rules, successful interchange with a large variety of readers and applications will be enhanced if writers can arrange for the number of pixels per line and the number of lines per strip to be multiples of eight.

*Uncompressed Mode.* Although T.6-encodings of simple bi-level images result in data compressions of 10:1 and better, some pixel-array patterns have T.6-encodings that require more bits than their simple bi-level bitmaps. When such cases are detected by encoding procedures, there is an optional extension for shifting to a form of uncompressed coding within the T.6-encoding string.

Uncompressed mode is not well-specified and many applications discourage its usage, preferring alternatives such as different compressions on a segment-by-segment (strip or tile) basis, or by simply leaving the image uncompressed in its

entirety. The main complication for readers is in properly restoring T.6-encoding after the uncompressed sequence is laid down in the current row.

Readers that have no provision for uncompressed mode will generally reject any case in which the flag is set. Readers that are able to process uncompressed-mode content within T.6-encoding strings can safely ignore this flag and simply process any uncompressed-mode occurrences correctly.

Writers that are unable to guarantee the absence of uncompressed-mode material in any of the T.6-encoded segments must set the flag. The flag should be cleared (or defaulted) only when absence of uncompressed-mode material is assured. Writers that are able to inhibit the generation of uncompressed-mode extensions are encouraged to do so in order to maximize the acceptability of their T.6-encoding strings in interchange situations.

Because uncompressed-mode is not commonly used, the following description is best taken as suggestive of the general machinery. Interpolation of fine details can easily vary between implementations.

Uncompressed mode is signalled by the occurrence of the 10-bit extension code string

```
0000001111.B
```

outside of any run-length make-up code or extension. Original unencoded image information follows. In this unencoded information, a 0-bit evidently signifies a white pixel, a 1-bit signifies a black pixel, and the TIFF PhotometricInterpretation will influence how these bits are mapped into any final uncompressed bitmap for use. The only modification made to the unencoded information is insertion of a 1-bit after every block of five consecutive 0-bits from the original image information. This is a transparency device that allows longer sequences of 0-bits to be reserved for control conditions, especially ending the uncompressed-mode sequence. When it is time to return to compressed mode, the 8-bit exit sequence

```
0000001t.B
```

is appended to the material. The 0-bits of the exit sequence are not considered in applying the 1-bit insertion rule; up to four information 0-bits can legally precede the exit sequence. The trailing bit, 't,' specifies the color (via 0 or 1) that is understood in the next run of compressed-mode encoding. This lets a color other than white be assumed for the 0-width pixel on the left of the edge between the last uncompressed pixel and the resumed 2-dimensional scan.

Writers should confine uncompressed-mode sequences to the interiors of individual rows, never attempting to "wrap" from one row to the next. Readers must operate properly when the only encoding for a single row consists of an uncompressed-mode escape, a complete row of (proper 1-inserted) uncompressed information, and the extension exit. Technically, the exit pixel, 't,' should probably then be the opposite color of the last true pixel of the row, but readers should be generous in this case.

In handling these complex encodings, the encounter of material from a defective source or a corrupted file is particularly unsettling and mysterious. Robust readers will do well to defend against falling off the end of the world; e.g., unexpected EOFB sequences should be handled, and attempted access to data bytes that are not within the bounds of the present segment (or the TIFF file itself) should be avoided.

## Section 12: Document Storage and Retrieval

These fields may be useful for document storage and retrieval applications. They will very likely be ignored by other applications.

### ***DocumentName***

The name of the document from which this image was scanned.

Tag = 269 (10D.H)

Type = ASCII

See also PageName.

### ***PageName***

The name of the page from which this image was scanned.

Tag = 285 (11D.H)

Type = ASCII

See also DocumentName.

No default.

### ***PageNumber***

The page number of the page from which this image was scanned.

Tag = 297 (129.H)

Type = SHORT

N = 2

This field is used to specify page numbers of a multiple page (e.g. facsimile) document. PageNumber[0] is the page number; PageNumber[1] is the total number of pages in the document. If PageNumber[1] is 0, the total number of pages in the document is not available.

Pages need not appear in numerical order.

The first page is numbered 0 (zero).

No default.

### ***XPosition***

X position of the image.

Tag = 286 (11E.H)

Type = RATIONAL

N = 1

The X offset in ResolutionUnits of the left side of the image, with respect to the left side of the page.

No default. See also YPosition.

### ***YPosition***

Y position of the image.

Tag = 287 (11F.H)

Type = RATIONAL

N = 1

The Y offset in ResolutionUnits of the top of the image, with respect to the top of the page. In the TIFF coordinate scheme, the positive Y direction is down, so that YPosition is always positive.

No default. See also XPosition.

## Section 13: LZW Compression

This section describes TIFF compression scheme 5, an adaptive compression scheme for raster images.

### Restrictions

---

When LZW compression was added to the TIFF specification, in Revision 5.0, it was thought to be public domain. This is, apparently, not the case.

The following paragraph has been approved by the Unisys Corporation:

“The LZW compression method is said to be the subject of United States patent number 4,558,302 and corresponding foreign patents owned by the Unisys Corporation. Software and hardware developers may be required to license this patent in order to develop and market products using the TIFF LZW compression option. Unisys has agreed that developers may obtain such a license on reasonable, non-discriminatory terms and conditions. Further information can be obtained from: Welch Licensing Department, Office of the General Counsel, M/S C1SW19, Unisys Corporation, Blue Bell, Pennsylvania, 19424.”

Reportedly, there are also other companies with patents that may affect LZW implementors.

### Reference

---

Terry A. Welch, “A Technique for High Performance Data Compression”, IEEE Computer, vol. 17 no. 6 (June 1984). Describes the basic Lempel-Ziv & Welch (LZW) algorithm in very general terms. The author’s goal is to describe a hardware-based compressor that could be built into a disk controller or database engine and used on all types of data. There is no specific discussion of raster images. This section gives sufficient information so that the article is not required reading.

### Characteristics

---

LZW compression has the following characteristics:

- LZW works for images of various bit depths.
- LZW has a reasonable worst-case behavior.
- LZW handles a wide variety of repetitive patterns well.
- LZW is reasonably fast for both compression and decompression.
- LZW does not require floating point software or hardware.

- LZW is lossless. All information is preserved. But if noise or information is removed from an image, perhaps by smoothing or zeroing some low-order bitplanes, LZW compresses images to a smaller size. Thus, 5-bit, 6-bit, or 7-bit data masquerading as 8-bit data compresses better than true 8-bit data. Smooth images also compress better than noisy images, and simple images compress better than complex images.
- LZW works quite well on bilevel images, too. On our test images, it almost always beat PackBits and generally tied CCITT 1D (Modified Huffman) compression. LZW also handles halftoned data better than most bilevel compression schemes.

## The Algorithm

---

Each strip is compressed independently. We strongly recommend that RowsPerStrip be chosen such that each strip contains about 8K bytes before compression. We want to keep the strips small enough so that the compressed and uncompressed versions of the strip can be kept entirely in memory, even on small machines, but are large enough to maintain nearly optimal compression ratios.

The LZW algorithm is based on a translation table, or string table, that maps strings of input characters into codes. The TIFF implementation uses variable-length codes, with a maximum code length of 12 bits. This string table is different for every strip and does not need to be retained for the decompressor. The trick is to make the decompressor automatically build the same table as is built when the data is compressed. We use a C-like pseudocode to describe the coding scheme:

```
InitializeStringTable();
WriteCode(ClearCode);
Ω = the empty string;
for each character in the strip {
    K = GetNextCharacter();
    if Ω+K is in the string table {
        Ω = Ω+K; /* string concatenation */
    } else {
        WriteCode (CodeFromString(Ω));
        AddTableEntry (Ω+K);
        Ω = K;
    }
} /* end of for loop */
WriteCode (CodeFromString(Ω));
WriteCode (EndOfInformation);
```

That's it. The scheme is simple, although it is challenging to implement efficiently. But we need a few explanations before we go on to decompression.

The "characters" that make up the LZW strings are bytes containing TIFF uncompressed (Compression=1) image data, in our implementation. For example, if BitsPerSample is 4, each 8-bit LZW character will contain two 4-bit pixels. If BitsPerSample is 16, each 16-bit pixel will span two 8-bit LZW characters.

It is also possible to implement a version of LZW in which the LZW character depth equals BitsPerSample, as described in Draft 2 of Revision 5.0. But there is a major problem with this approach. If BitsPerSample is greater than 11, we can not

use 12-bit-maximum codes and the resulting LZW table is unacceptably large. Fortunately, due to the adaptive nature of LZW, we do not pay a significant compression ratio penalty for combining several pixels into one byte before compressing. For example, our 4-bit sample images compressed about 3 percent worse, and our 1-bit images compressed about 5 percent better. And it is easier to write an LZW compressor that always uses the same character depth than it is to write one that handles varying depths.

We can now describe some of the routine and variable references in our pseudocode:

InitializeStringTable() initializes the string table to contain all possible single-character strings. There are 256 of them, numbered 0 through 255, since our characters are bytes.

WriteCode() writes a code to the output stream. The first code written is a ClearCode, which is defined to be code #256.

$\Omega$  is our “prefix string.”

GetNextCharacter() retrieves the next character value from the input stream. This will be a number between 0 and 255 because our characters are bytes.

The “+” signs indicate string concatenation.

AddTableEntry() adds a table entry. (InitializeStringTable() has already put 256 entries in our table. Each entry consists of a single-character string, and its associated code value, which, in our application, is identical to the character itself. That is, the 0th entry in our table consists of the string <0>, with a corresponding code value of <0>, the 1st entry in the table consists of the string <1>, with a corresponding code value of <1> and the 255th entry in our table consists of the string <255>, with a corresponding code value of <255>.) So, the first entry that added to our string table will be at position 256, right? Well, not quite, because we reserve code #256 for a special “Clear” code. We also reserve code #257 for a special “EndOfInformation” code that we write out at the end of the strip. So the first multiple-character entry added to the string table will be at position 258.

For example, suppose we have input data that looks like this:

Pixel 0:<7>

Pixel 1:<7>

Pixel 2:<7>

Pixel 3:<8>

Pixel 4:<8>

Pixel 5:<7>

Pixel 6:<7>

Pixel 7:<6>

Pixel 8:<6>

First, we read Pixel 0 into K.  $\Omega$ K is then simply <7>, because  $\Omega$  is an empty string at this point. Is the string <7> already in the string table? Of course, because all single character strings were put in the table by InitializeStringTable(). So set  $\Omega$  equal to <7>, and then go to the top of the loop.



Read Pixel 1 into K. Does  $\Omega K$  ( $\langle 7 \rangle \langle 7 \rangle$ ) exist in the string table? No, so we write the code associated with  $\Omega$  to output (write  $\langle 7 \rangle$  to output) and add  $\Omega K$  ( $\langle 7 \rangle \langle 7 \rangle$ ) to the table as entry 258. Store K ( $\langle 7 \rangle$ ) into  $\Omega$ . Note that although we have added the string consisting of Pixel 0 and Pixel 1 to the table, we “re-use” Pixel 1 as the beginning of the next string.

Back at the top of the loop, we read Pixel 2 into K. Does  $\Omega K$  ( $\langle 7 \rangle \langle 7 \rangle$ ) exist in the string table? Yes, the entry we just added, entry 258, contains exactly  $\langle 7 \rangle \langle 7 \rangle$ . So we add K to the end of  $\Omega$  so that  $\Omega$  is now  $\langle 7 \rangle \langle 7 \rangle$ .

Back at the top of the loop, we read Pixel 3 into K. Does  $\Omega K$  ( $\langle 7 \rangle \langle 7 \rangle \langle 8 \rangle$ ) exist in the string table? No, so we write the code associated with  $\Omega$  ( $\langle 258 \rangle$ ) to output and then add  $\Omega K$  to the table as entry 259. Store K ( $\langle 8 \rangle$ ) into  $\Omega$ .

Back at the top of the loop, we read Pixel 4 into K. Does  $\Omega K$  ( $\langle 8 \rangle \langle 8 \rangle$ ) exist in the string table? No, so we write the code associated with  $\Omega$  ( $\langle 8 \rangle$ ) to output and then add  $\Omega K$  to the table as entry 260. Store K ( $\langle 8 \rangle$ ) into  $\Omega$ .

Continuing, we get the following results:

After reading:	We write to output:	And add table entry:
Pixel 0		
Pixel 1	$\langle 7 \rangle$	258: $\langle 7 \rangle \langle 7 \rangle$
Pixel 2		
Pixel 3	$\langle 258 \rangle$	259: $\langle 7 \rangle \langle 7 \rangle \langle 8 \rangle$
Pixel 4	$\langle 8 \rangle$	260: $\langle 8 \rangle \langle 8 \rangle$
Pixel 5	$\langle 8 \rangle$	261: $\langle 8 \rangle \langle 7 \rangle$
Pixel 6		
Pixel 7	$\langle 258 \rangle$	262: $\langle 7 \rangle \langle 7 \rangle \langle 6 \rangle$
Pixel 8	$\langle 6 \rangle$	263: $\langle 6 \rangle \langle 6 \rangle$

WriteCode() also requires some explanation. In our example, the output code stream,  $\langle 7 \rangle \langle 258 \rangle \langle 8 \rangle \langle 8 \rangle \langle 258 \rangle \langle 6 \rangle$  should be written using as few bits as possible. When we are just starting out, we can use 9-bit codes, since our new string table entries are greater than 255 but less than 512. *After adding table entry 511, switch to 10-bit codes (i.e., entry 512 should be a 10-bit code.) Likewise, switch to 11-bit codes after table entry 1023, and 12-bit codes after table entry 2047.* We will arbitrarily limit ourselves to 12-bit codes, so that our table can have at most 4096 entries. The table should not be any larger.

*Whenever you add a code to the output stream, it “counts” toward the decision about bumping the code bit length. This is important when writing the last code word before an EOI code or ClearCode, to avoid code length errors.*

What happens if we run out of room in our string table? This is where the ClearCode comes in. As soon as we use entry 4094, we write out a (12-bit) ClearCode. (If we wait any longer to write the ClearCode, the decompressor might try to interpret the ClearCode as a 13-bit code.) At this point, the compressor reinitializes the string table and then writes out 9-bit codes again.

Note that whenever you write a code and add a table entry,  $\Omega$  is not left empty. It contains exactly one character. Be careful not to lose it when you write an end-of-table ClearCode. You can either write it out as a 12-bit code before writing the ClearCode, in which case you need to do it right after adding table entry 4093, or

you can write it as a 9-bit code after the ClearCode. Decompression gives the same result in either case.

To make things a little simpler for the decompressor, we will require that each strip begins with a ClearCode and ends with an EndOfInformation code. Every LZW-compressed strip must begin on a byte boundary. It need not begin on a word boundary. LZW compression codes are stored into bytes in high-to-low-order fashion, i.e., FillOrder is assumed to be 1. The compressed codes are written as bytes (not words) so that the compressed data will be identical whether it is an 'II' or 'MM' file.

Note that the LZW string table is a continuously updated history of the strings that have been encountered in the data. Thus, it reflects the characteristics of the data, providing a high degree of adaptability.

## LZW Decoding

---

The procedure for decompression is a little more complicated:

```

while ((Code = GetNextCode()) != EoiCode) {
    if (Code == ClearCode) {
        InitializeTable();
        Code = GetNextCode();
        if (Code == EoiCode)
            break;
        WriteString(StringFromCode(Code));
        OldCode = Code;
    } /* end of ClearCode case */
    else {
        if (IsInTable(Code)) {
            WriteString(StringFromCode(Code));
            AddStringToTable(StringFromCode(OldCode
)+FirstChar(StringFromCode(Code)));
            OldCode = Code;
        } else {
            OutString = StringFromCode(OldCode) +
            FirstChar(StringFromCode(OldCode));
            WriteString(OutString);
            AddStringToTable(OutString);
            OldCode = Code;
        }
    } /* end of not-ClearCode case */
} /* end of while loop */

```

The function GetNextCode() retrieves the next code from the LZW-coded data. It must keep track of bit boundaries. It knows that the first code that it gets will be a 9-bit code. We add a table entry each time we get a code. So, GetNextCode() must switch over to 10-bit codes as soon as string #510 is stored into the table. *Similarly, the switch is made to 11-bit codes after #1022 and to 12-bit codes after #2046.*

The function `StringFromCode()` gets the string associated with a particular code from the string table.

The function `AddStringToTable()` adds a string to the string table. The “+” sign joining the two parts of the argument to `AddStringToTable` indicates string concatenation.

`StringFromCode()` looks up the string associated with a given code.

`WriteString()` adds a string to the output stream.

## ***When SamplesPerPixel Is Greater Than 1***

---

So far, we have described the compression scheme as if `SamplesPerPixel` were always 1, as is the case with palette-color and grayscale images. But what do we do with RGB image data?

Tests on our sample images indicate that the LZW compression ratio is nearly identical whether `PlanarConfiguration=1` or `PlanarConfiguration=2`, for RGB images. So, use whichever configuration you prefer and simply compress the bytes in the strip.

Note: Compression ratios on our test RGB images were disappointingly low: between 1.1 to 1 and 1.5 to 1, depending on the image. Vendors are urged to do what they can to remove as much noise as possible from their images. Preliminary tests indicate that significantly better compression ratios are possible with less-noisy images. Even something as simple as zeroing-out one or two least-significant bitplanes can be effective, producing little or no perceptible image degradation.

## ***Implementation***

---

The exact structure of the string table and the method used to determine if a string is already in the table are probably the most significant design decisions in the implementation of a LZW compressor and decompressor. Hashing has been suggested as a useful technique for the compressor. We have chosen a tree-based approach, with good results. The decompressor is more straightforward and faster because no search is involved—strings can be accessed directly by code value.

## ***LZW Extensions***

---

Some images compress better using LZW coding if they are first subjected to a process wherein each pixel value is replaced by the difference between the pixel and the preceding pixel. See the following Section.

## ***Acknowledgments***

---

See the first page of this section for the LZW reference.

The use of ClearCode as a technique for handling overflow was borrowed from the compression scheme used by the Graphics Interchange Format (GIF), a small-color-paint-image-file format used by CompuServe that also uses an adaptation of the LZW technique.

## Section 14: Differencing Predictor

This section defines a Predictor that greatly improves compression ratios for some images.

### **Predictor**

Tag = 317 (13D.H)

Type = SHORT

N = 1

A predictor is a mathematical operator that is applied to the image data before an encoding scheme is applied. Currently this field is used only with LZW (Compression=5) encoding because LZW is probably the only TIFF encoding scheme that benefits significantly from a predictor step. See Section 13.

The possible values are:

- 1 = No prediction scheme used before coding.
- 2 = Horizontal differencing.

Default is 1.

### **The algorithm**

---

Make use of the fact that many continuous-tone images rarely vary much in pixel value from one pixel to the next. In such images, if we replace the pixel values by differences between consecutive pixels, many of the differences should be 0, plus or minus 1, and so on. This reduces the apparent information content and allows LZW to encode the data more compactly.

Assuming 8-bit grayscale pixels for the moment, a basic C implementation might look something like this:

```
char    image[ ][ ];
int     row, col;

/* take horizontal differences:
*/
for (row = 0; row < nrows; row++)
    for (col = ncols - 1; col >= 1; col--)
        image[row][col] -= image[row][col-1];
```

If we don't have 8-bit components, we need to work a little harder to make better use of the architecture of most CPUs. Suppose we have 4-bit components packed two per byte in the normal TIFF uncompressed (i.e., Compression=1) fashion. To find differences, we want to first expand each 4-bit component into an 8-bit byte, so that we have one component per byte, low-order justified. We then perform the horizontal differencing illustrated in the example above. Once the differencing has been completed, we then repack the 4-bit differences two to a byte, in the normal TIFF uncompressed fashion.

If the components are greater than 8 bits deep, expanding the components into 16-bit words instead of 8-bit bytes seems like the best way to perform the subtraction on most computers.

Note that we have not lost any data up to this point, nor will we lose any data later on. It might seem at first that our differencing might turn 8-bit components into 9-bit differences, 4-bit components into 5-bit differences, and so on. But it turns out that we can completely ignore the “overflow” bits caused by subtracting a larger number from a smaller number and still reverse the process without error. Normal two’s complement arithmetic does just what we want. Try an example by hand if you need more convincing.

Up to this point we have implicitly assumed that we are compressing bilevel or grayscale images. An additional consideration arises in the case of color images.

If PlanarConfiguration is 2, there is no problem. Differencing works the same as it does for grayscale data.

If PlanarConfiguration is 1, however, things get a little trickier. If we didn’t do anything special, we would subtract red component values from green component values, green component values from blue component values, and blue component values from red component values. This would not give the LZW coding stage much redundancy to work with. So, we will do our horizontal differences with an offset of SamplesPerPixel (3, in the RGB case). In other words, we will subtract red from red, green from green, and blue from blue. The LZW coding stage is identical to the SamplesPerPixel=1 case. We require that BitsPerSample be the same for all 3 components.

## ***Results and Guidelines***

---

LZW without differencing works well for 1-bit images, 4-bit grayscale images, and many palette-color images. But natural 24-bit color images and some 8-bit grayscale images do much better with differencing.

Although the combination of LZW coding with horizontal differencing does not result in any loss of data, it may be worthwhile in some situations to give up some information by removing as much noise as possible from the image data before doing the differencing, especially with 8-bit components. The simplest way to get rid of noise is to mask off one or two low-order bits of each 8-bit component. On our 24-bit test images, LZW with horizontal differencing yielded an average compression ratio of 1.4 to 1. When the low-order bit was masked from each component, the compression ratio climbed to 1.8 to 1; the compression ratio was 2.4 to 1 when masking two bits, and 3.4 to 1 when masking three bits. Of course, the more you mask, the more you risk losing useful information along with the noise. We encourage you to experiment to find the best compromise for your device. For some applications, it may be useful to let the user make the final decision.

Incidentally, we tried taking both horizontal and vertical differences, but the extra complexity of two-dimensional differencing did not appear to pay off for most of our test images. About one third of the images compressed slightly better with two-dimensional differencing, about one third compressed slightly worse, and the rest were about the same.

## Section 15: Tiled Images

### *Introduction*

---

#### ***Motivation***

This section describes how to organize images into tiles instead of strips.

For low-resolution to medium-resolution images, the standard TIFF method of breaking the image into strips is adequate. However high-resolution images can be accessed more efficiently—and compression tends to work better—if the image is broken into roughly square tiles instead of horizontally-wide but vertically-narrow strips.

#### ***Relationship to existing fields***

**When the tiling fields described below are used, they replace the StripOffsets, StripByteCounts, and RowsPerStrip fields.** Use of tiles will therefore cause older TIFF readers to give up because they will have no way of knowing where the image data is or how it is organized. **Do not** use both strip-oriented and tile-oriented fields in the same TIFF file.

#### ***Padding***

Tile size is defined by TileWidth and TileLength. All tiles in an image are the same size; that is, they have the same pixel dimensions.

Boundary tiles are padded to the tile boundaries. For example, if TileWidth is 64 and ImageWidth is 129, then the image is 3 tiles wide and 63 pixels of padding must be added to fill the rightmost column of tiles. The same holds for TileLength and ImageLength. It doesn't matter what value is used for padding, because good TIFF readers display only the pixels defined by ImageWidth and ImageLength and ignore any padded pixels. Some compression schemes work best if the padding is accomplished by replicating the last column and last row instead of padding with 0's.

The price for padding the image out to tile boundaries is that some space is wasted. But compression usually shrinks the padded areas to almost nothing. Even if data is not compressed, remember that tiling is intended for large images. Large images have lots of comparatively small tiles, so that the percentage of wasted space will be very small, generally on the order of a few percent or less.

The advantages of padding an image to the tile boundaries are that implementations can be simpler and faster and that it is more compatible with tile-oriented compression schemes such as JPEG. See Section 22.

Tiles are compressed individually, just as strips are compressed. *That is, each row of data in a tile is treated as a separate "scanline" when compressing.* Compress-

sion includes any padded areas of the rightmost and bottom tiles so that all the tiles in an image are the same size when uncompressed.

All of the following fields are required for tiled images:

## **Fields**

---

### **TileWidth**

Tag = 322 (142.H)

Type = SHORT or LONG

N = 1

The tile width in pixels. This is the number of columns in each tile.

Assuming integer arithmetic, three computed values that are useful in the following field descriptions are:

$$\text{TilesAcross} = (\text{ImageWidth} + \text{TileWidth} - 1) / \text{TileWidth}$$

$$\text{TilesDown} = (\text{ImageLength} + \text{TileLength} - 1) / \text{TileLength}$$

$$\text{TilesPerImage} = \text{TilesAcross} * \text{TilesDown}$$

These computed values are not TIFF fields; they are simply values determined by the ImageWidth, TileWidth, ImageLength, and TileLength fields.

TileWidth and ImageWidth together determine the number of tiles that span the width of the image (TilesAcross). TileLength and ImageLength together determine the number of tiles that span the length of the image (TilesDown).

We recommend choosing TileWidth and TileLength such that the resulting tiles are about 4K to 32K bytes before compression. This seems to be a reasonable value for most applications and compression schemes.

TileWidth must be a multiple of 16. This restriction improves performance in some graphics environments and enhances compatibility with compression schemes such as JPEG.

Tiles need not be square.

Note that ImageWidth can be less than TileWidth, although this means that the tiles are too large or that you are using tiling on really small images, neither of which is recommended. The same observation holds for ImageLength and TileLength.

No default. See also TileLength, TileOffsets, TileByteCounts.

### **TileLength**

Tag = 323 (143.H)

Type = SHORT or LONG

N = 1



The tile length (height) in pixels. This is the number of rows in each tile.

TileLength must be a multiple of 16 for compatibility with compression schemes such as JPEG.

Replaces RowsPerStrip in tiled TIFF files.

No default. See also TileWidth, TileOffsets, TileByteCounts.

### ***TileOffsets***

Tag = 324 (144.H)

Type = LONG

N = TilesPerImage for PlanarConfiguration = 1

= SamplesPerPixel \* TilesPerImage for PlanarConfiguration = 2

For each tile, the byte offset of that tile, as compressed and stored on disk. The offset is specified with respect to the beginning of the TIFF file. Note that this implies that each tile has a location independent of the locations of other tiles.

Offsets are ordered left-to-right and top-to-bottom. For PlanarConfiguration = 2, the offsets for the first component plane are stored first, followed by all the offsets for the second component plane, and so on.

No default. See also TileWidth, TileLength, TileByteCounts.

### ***TileByteCounts***

Tag = 325 (145.H)

Type = SHORT or LONG

N = TilesPerImage for PlanarConfiguration = 1

= SamplesPerPixel \* TilesPerImage for PlanarConfiguration = 2

For each tile, the number of (compressed) bytes in that tile.

See TileOffsets for a description of how the byte counts are ordered.

No default. See also TileWidth, TileLength, TileOffsets.

## Section 16: CMYK Images

### *Motivation*

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This section describes how to store separated (usually CMYK) image data in a TIFF file.

In a separated image, each pixel consists of  $N$  components. Each component represents the amount of a particular ink that is to be used to represent the image at that location, typically using a halftoning technique.

For example, in a CMYK image, each pixel consists of 4 components. Each component represents the amount of cyan, magenta, yellow, or black process ink that is to be used to represent the image at that location.

The fields described in this section can be used for more than simple 4-color process (CMYK) printing. They can also be used for describing an image made up of more than 4 inks, such an image made up of a cyan, magenta, yellow, red, green, blue, and black inks. Such an image is sometimes called a high-fidelity image and has the advantage of slightly extending the printed color gamut.

Since separated images are quite device-specific and are restricted to color prepress use, they should not be used for general image data interchange. Separated images are to be used only for prepress applications in which the imagesetter, paper, ink, and printing press characteristics are known by the creator of the separated image.

Note: there is no single method of converting RGB data to CMYK data and back. In a perfect world, something close to cyan = 255-red, magenta = 255-green, and yellow = 255-blue should work; but characteristics of printing inks and printing presses, economics, and the fact that the meaning of RGB itself depends on other parameters combine to spoil this simplicity.

### *Requirements*

---

In addition to satisfying the normal Baseline TIFF requirements, a separated TIFF file must have the following characteristics:

- **SamplesPerPixel =  $N$ .** SHORT. The number of inks. (For example,  $N=4$  for CMYK, because we have one component each for cyan, magenta, yellow, and black.)
- **BitsPerSample = 8,8,8,8 (for CMYK).** SHORT. For now, only 8-bit components are recommended. The value "8" is repeated SamplesPerPixel times.
- **PhotometricInterpretation = 5 (Separated - usually CMYK).** SHORT. The components represent the desired percent dot coverage of each ink, where the larger component values represent a higher percentage of ink dot coverage and smaller values represent less coverage.

## Fields

---

In addition, there are some new fields, all of which are optional.

### ***InkSet***

Tag = 332 (14C.H)

Type = SHORT

N = 1

The set of inks used in a separated (PhotometricInterpretation=5) image.

- 1 = CMYK. The order of the components is cyan, magenta, yellow, black. Usually, a value of 0 represents 0% ink coverage and a value of 255 represents 100% ink coverage for that component, but see DotRange below. The InkNames field should not exist when InkSet=1.
- 2 = not CMYK. See the InkNames field for a description of the inks to be used.  
Default is 1 (CMYK).

### ***NumberOfInks***

Tag = 334 (14E.H)

Type = SHORT

N = 1

The number of inks. Usually equal to SamplesPerPixel, unless there are extra samples.

See also ExtraSamples.

Default is 4.

### ***InkNames***

Tag = 333 (14D.H)

Type = ASCII

N = total number of characters in all the ink name strings, including the NULs.

The name of each ink used in a separated (PhotometricInterpretation=5) image, written as a list of concatenated, NUL-terminated ASCII strings. The number of strings must be equal to NumberOfInks.

The samples are in the same order as the ink names.

See also InkSet, NumberOfInks.

No default.

### **DotRange**

Tag = 336 (150.H)

Type = BYTE or SHORT

N = 2, or 2\*SamplesPerPixel

The component values that correspond to a 0% dot and 100% dot. DotRange[0] corresponds to a 0% dot, and DotRange[1] corresponds to a 100% dot.

If a DotRange pair is included for each component, the values for each component are stored together, so that the pair for Cyan would be first, followed by the pair for Magenta, and so on. *Use of multiple dot ranges is, however, strongly discouraged in the interests of simplicity and compatibility with ANSI IT8 standards.*

A number of prepress systems like to keep some “headroom” and “footroom” on both ends of the range. What to do with components that are less than the 0% aim point or greater than the 100% aim point is not specified and is application-dependent.

It is strongly recommended that a CMYK TIFF writer not attempt to use this field to reverse the sense of the pixel values so that smaller values mean more ink instead of less ink. That is, DotRange[0] should be less than DotRange[1].

DotRange[0] and DotRange[1] must be within the range  $[0, (2^{**}BitsPerSample) - 1]$ .

Default: a component value of 0 corresponds to a 0% dot, and a component value of 255 (assuming 8-bit pixels) corresponds to a 100% dot. That is, DotRange[0] = 0 and DotRange[1] =  $(2^{**}BitsPerSample) - 1$ .

### **TargetPrinter**

Tag = 337 (151.H)

Type = ASCII

N = any

A description of the printing environment for which this separation is intended.

---

## **History**

This Section has been expanded from earlier drafts, with the addition of the **InkSet**, **InkNames**, **NumberOfInks**, **DotRange**, and **TargetPrinter**, but is backward-compatible with earlier draft versions.

Possible future enhancements: definition of the characterization information so that the CMYK data can be retargeted to a different printing environment and so that display on a CRT or proofing device can more accurately represent the color. ANSI IT8 is working on such a proposal.

## Section 17: HalftoneHints

This section describes a scheme for properly placing highlights and shadows in halftoned images.

### *Introduction*

---

The single most easily recognized failing of continuous tone images is the incorrect placement of highlight and shadow. It is critical that a halftone process be capable of printing the lightest areas of the image as the smallest halftone spot capable of the output device, at the specified printer resolution and screen ruling. Specular highlights (small ultra-white areas) as well as the shadow areas should be printable as paper only.

Consistency in highlight and shadow placement allows the user to obtain predictable results on a wide variety of halftone output devices. Proper implementation of the `HalftoneHints` field will provide a significant step toward device independent imaging, such that low cost printers may to be used as effective proofing devices for images which will later be halftoned on a high-resolution imagesetter.

### *The HalftoneHints Field*

---

#### ***HalftoneHints***

Tag = 321 (141.H)

Type = SHORT

N = 2

The purpose of the `HalftoneHints` field is to convey to the halftone function the range of gray levels within a colorimetrically-specified image that should retain tonal detail. The field contains two values of sixteen bits each and, therefore, is contained wholly within the field itself; no offset is required. The first word specifies the highlight gray level which should be halftoned at the lightest printable tint of the final output device. The second word specifies the shadow gray level which should be halftoned at the darkest printable tint of the final output device. Portions of the image which are whiter than the highlight gray level will quickly, if not immediately, fade to specular highlights. There is no default value specified, since the highlight and shadow gray levels are a function of the subject matter of a particular image.

Appropriate values may be derived algorithmically or may be specified by the user, either directly or indirectly.

The `HalftoneHints` field, as defined here, defines an achromatic function. It can be used just as effectively with color images as with monochrome images. When used with opponent color spaces such as CIE  $L^*a^*b^*$  or YCbCr, it refers to the achromatic component only;  $L^*$  in the case of CIELab, and Y in the case of

YCbCr. When used with tri-stimulus spaces such as RGB, it suggests to retain tonal detail for all colors with an NTSC gray component within the bounds of the  $R=G=B=Highlight$  to  $R=G=B=Shadow$  range.

## ***Comments for TIFF Writers***

---

TIFF writers are encouraged to include the `HalftoneHints` field in all color or grayscale images where `BitsPerSample > 1`. Although no default value is specified, prior to the introduction of this field it has been common practice to implicitly specify the highlight and shadow gray levels as 1 and  $2^{**}BitsperSample-2$  and manipulate the image data to this definition. There are some disadvantages to this technique, and it is not feasible for a fixed gamut colorimetric image type. Appropriate values may be derived algorithmically or may be specified by the user directly or indirectly. Automatic algorithms exist for analyzing the histogram of the achromatic intensity of an image and defining the minimum and maximum values as the highlight and shadow settings such that tonal detail is retained throughout the image. This kind of algorithm may try to impose a highlight or shadow where none really exists in the image, which may require user controls to override the automatic setting.

It should be noted that the choice of the highlight and shadow values is somewhat output dependent. For instance, in situations where the dynamic range of the output medium is very limited (as in newsprint and, to a lesser degree, laser output), it may be desirable for the user to clip some of the lightest or darkest tones to avoid the reduced contrast resulting from compressing the tone of the entire image. Different settings might be chosen for 150-line halftone printed on coated stock. Keep in mind that these values may be adjusted later (which might not be possible unless the image is stored as a colorimetric, fixed, full-gamut image), and that more sophisticated page-layout applications may be capable of presenting a user interface to consider these decisions at a point where the halftone process is well understood.

It should be noted that although CCDs are linear intensity detectors, TIFF writers may choose to manipulate the image to store gamma-compensated data. Gamma-compensated data is more efficient at encoding an image than is linear intensity data because it requires fewer `BitsPerPixel` to eliminate banding in the darker tones. It also has the advantage of being closer to the tone response of the display or printer and is, therefore, less likely to produce poor results from applications that are not rigorous about their treatment of images. Be aware that the `PhotometricInterpretation` value of 0 or 1 (grayscale) implies linear data because no gamma is specified. The `PhotometricInterpretation` value of 2 (RGB data) specifies the NTSC gamma of 2.2 as a default. If data is written as something other than the default, then a `GrayResponseCurve` field or a `TransferFunction` field must be present to define the deviation. For grayscale data, be sure that the densities in the `GrayResponseCurve` are consistent with the `PhotometricInterpretation` field and the `HalftoneHints` field.

## Comments for TIFF Readers

---

TIFF readers that send a grayscale image to a halftone output device, whether it is a binary laser printer or a PostScript imagesetter should make an effort to maintain the highlight and shadow placement. This requires two steps. First, determine the highlight and shadow gray level of a particular image. Second, communicate that information to the halftone engine.

To determine the highlight and shadow gray levels, begin by looking for a HalftoneHints field. If it exists, it takes precedence. The first word represents the gray level of the highlight and the second word represents the gray level of the shadow. If the image is a colorimetric image (i.e. it has a GrayResponseCurve field or a TransferFunction field) but does not contain a HalftoneHints field, then the gamut mapping techniques described earlier should be used to determine the highlight and shadow values. If neither of these conditions are true, then the file should be treated as if a HalftoneHints field had indicated a highlight at gray level 1 and a shadow at gray level  $2^{**}BitsPerPixel-2$  (or vice-versa depending on the PhotometricInterpretation field). Once the highlight and shadow gray levels have been determined, the next step is to communicate this information to the halftone module. The halftone module may exist within the same application as the TIFF reader, it may exist within a separate printer driver, or it may exist within the Raster Image Processor (RIP) of the printer itself. Whether the halftone process is a simple dither pattern or a general purpose spot function, it has some gray level at which the lightest printable tint will be rendered. The HalftoneHint concept is best implemented in an environment where this lightest printable tint is easily and consistently specified.

There are several ways in which an application can communicate the highlight and shadow to the halftone function. Some environments may allow the application to pass the highlight and shadow to the halftone module explicitly along with the image. This is the best approach, but many environments do not yet provide this capability. Other environments may provide fixed gray levels at which the highlight and shadow will be rendered. For these cases, the application should build a tone map that matches the highlight and shadow specified in the image to the highlight and shadow gray level of the halftone module. This approach requires more work by the application software, but will provide excellent results. Some environments will not have any consistent concept of highlight and shadow at all. In these environments, the best an application can do is characterize each of the supported printers and save the observed highlight and shadow gray levels. The application can then use these values to achieve the desired results, providing the environment doesn't change.

Once the highlight and shadow areas are selected, care should be taken to appropriately map intermediate gray levels to those expected by the halftone engine, which may or may not be linear Reflectance. Note that although CCDs are linear intensity detectors and many TIFF files are stored as linear intensity, most output devices require significant tone compensation (sometimes called gamma correction) to correctly display or print linear data. Be aware that the PhotometricInterpretation value of 0, 1 implies linear data because no gamma is specified. The PhotometricInterpretation value of 2 (RGB data) specifies the NTSC gamma of 2.2 as a default. If a GrayResponseCurve field or a TransferFunction field is present, it may define something other than the default.

## ***Some Background on the Halftone Process***

---

To obtain the best results when printing a continuous-tone raster image, it is seldom desirable to simply reproduce the tones of the original on the printed page. Most often there is some gamut mapping required. Often this is because the tonal range of the original extends beyond the tonal range of the output medium. In some cases, the tone range of the original is within the gamut of the output medium, but it may be more pleasing to expand the tone of the image to fill the range of the output. Given that the tone of the original is to be adjusted, there is a whole range of possibilities for the level of sophistication that may be undertaken by a software application.

Printing monochrome output is far less sophisticated than printing color output. For monochrome output the first priority is to control the placement of the highlight and the shadow. Ideally, a quality halftone will have sufficient levels of gray so that a standard observer cannot distinguish the interface between any two adjacent levels of gray. In practice, however, there is often a significant step between the tone of the paper and the tone of the lightest printable tint. Although usually less severe, the problem is similar between solid ink and the darkest printable tint. Since the dynamic range between the lightest printable tint and the darkest printable tint is usually less than one would like, it is common to maximize the tone of the image within these bounds. Not all images will have a highlight (an area of the image which is desirable to print as light as possible while still retaining tonal detail). If one exists, it should be carefully controlled to print at the lightest printable tint of the output medium. Similarly, the darkest areas of the image to retain tonal detail should be printed as the darkest printable tint of the output medium. Tones lighter or darker than these may be clipped at the limits of the paper and ink. Satisfactory results may be obtained in monochrome work by doing nothing more than a perceptually-linear mapping of the image between these rigorously controlled endpoints. This level of sophistication is sufficient for many mid-range applications, although the results often appear flatter (i.e. lower in contrast) than desired.

The next step is to increase contrast slightly in the tonal range of the image that contains the most important subject matter. To perform this step well requires considerably more information about the image and about the press. To know where to add contrast, the algorithm must have access to first the keyness of the image; the tone range which the user considers most important. To know how much contrast to add, the algorithm must have access to the absolute tone of the original and the dynamic range of the output device so that it may calculate the amount of tone compression to which the image is actually subjected.

Most images are called normal key. The important subject areas of a normal key image are in the midtones. These images do well when a so-called “sympathetic curve” is applied, which increases the contrast in midtones slightly at the expense of contrast in the lighter and darker tones. White china on a white tablecloth is an example of a high key image. High key images benefit from higher contrast in lighter tones, with less contrast needed in the midtones and darker tones. Low key images have important subject matter in the darker tones and benefit from increasing the contrast in the darker tones. Specifying the keyness of an image might be attempted by automatic techniques, but it will likely fail without user input. For example, a photo of a bride in a white wedding dress it may be a high key image if



you are selling wedding dresses, but may be a normal key image if you are the parents of the bride and are more interested in her smile.

Sophisticated color reproduction employs all of these principles, and then applies them in three dimensions. The mapping of the highlight and shadow becomes only one small, albeit critical, portion of the total issue of mapping colors that are too saturated for the output medium. Here again, automatic techniques may be employed as a first pass, with the user becoming involved in the clip or compress mapping decision. The HalftoneHints field is still useful in communicating which portions of the intensity of the image must be retained and which may be clipped. Again, a sophisticated application may override these settings if later user input is received.

## Section 18: Associated Alpha Handling

This section describes a scheme for handling images with alpha data.

### *Introduction*

---

A common technique in computer graphics is to assemble an image from one or more elements that are rendered separately. When elements are combined using compositing techniques, matte or coverage information must be present for each pixel to create a properly anti-aliased accumulation of the full image [Porter84]. This matting information is an example of additional per-pixel data that must be maintained with an image. This section describes how to use the ExtraSamples field to store the requisite matting information, commonly called the associated alpha or just alpha. This scheme enables efficient manipulation of image data during compositing operations.

Images with matting information are stored in their natural format but with an additional component per pixel. The ExtraSample field is included with the image to indicate that an extra component of each pixel contains associated alpha data. In addition, when associated alpha data are included with RGB data, the RGB components must be stored premultiplied by the associated alpha component and component values in the range  $[0, 2^{BitsPerSample}-1]$  are implicitly mapped onto the  $[0, 1]$  interval. That is, for each pixel  $(r, g, b)$  and opacity  $A$ , where  $r$ ,  $g$ ,  $b$ , and  $A$  are in the range  $[0, 1]$ ,  $(A*r, A*g, A*b, A)$  must be stored in the file. If  $A$  is zero, then the color components should be interpreted as zero. Storing data in this pre-multiplied format, allows compositing operations to be implemented most efficiently. In addition, storing pre-multiplied data makes it possible to specify colors with components outside the normal  $[0, 1]$  interval. The latter is useful for defining certain operations that effect only the luminescence [Porter84].

### *Fields*

---

#### ***ExtraSamples***

Tag = 338 (152.H)

Type = SHORT

N = 1

This field must have a value of 1 (associated alpha data with pre-multiplied color components). The associated alpha data stored in component SamplesPerPixel-1 of each pixel contains the opacity of that pixel, and the color information is pre-multiplied by alpha.

## Comments

---

Associated alpha data is just another component added to each pixel. Thus, for example, its size is defined by the value of the BitsPerSample field.

Note that since data is stored with RGB components already multiplied by alpha, naive applications that want to display an RGBA image on a display can do so simply by displaying the RGB component values. This works because it is effectively the same as merging the image with a black background. That is, to merge one image with another, the color of resultant pixels are calculated as:

$$C_r = C_{over} * A_{over} + C_{under} * (1 - A_{over})$$

Since the “under image” is a black background, this equation reduces to

$$C_r = C_{over} * A_{over}$$

which is exactly the pre-multiplied color; i.e. what is stored in the image.

On the other hand, to print an RGBA image, one must composite the image over a suitable background page color. For a white background, this is easily done by adding  $1 - A$  to each color component. For an arbitrary background color  $C_{back}$ , the *printed color* of each pixel is

$$C_{print} = C_{image} + C_{back} * (1 - A_{image})$$

(since  $C_{image}$  is pre-multiplied).

Since the ExtraSamples field is independent of other fields, this scheme permits alpha information to be stored in whatever organization is appropriate. In particular, components can be stored packed (PlanarConfiguration=1); this is important for good I/O performance and for good memory access performance on machines that are sensitive to data locality. However, if this scheme is used, TIFF readers must not derive the SamplesPerPixel from the value of the PhotometricInterpretation field (e.g., if RGB, then SamplesPerPixel is 3).

In addition to being independent of data storage-related fields, the field is also independent of the PhotometricInterpretation field. This means, for example, that it is easy to use this field to specify grayscale data and associated matte information. Note that a palette-color image with associated alpha will not have the colormap indices pre-multiplied; rather, the RGB colormap values will be pre-multiplied.

## Unassociated Alpha and Transparency Masks

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Some image manipulation applications support notions of transparency masks and soft-edge masks. The associated alpha information described in this section is different from this *unassociated alpha* information in many ways, most importantly:

- Associated alpha describes opacity or coverage at each pixel, while clipping-related alpha information describes a boolean relationship. That is, associated alpha can specify fractional coverage at a pixel, while masks specify either 0 or 100 percent coverage.
- Once defined, associated alpha is not intended to be removed or edited, except as a result of compositing the image; it is an integral part of an image.

Unassociated alpha, on the other hand, is designed as an ancillary piece of information.

## ***References***

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[Porter84] "Compositing Digital Images". Thomas Porter, Tom Duff; Lucasfilm Ltd. ACM SIGGRAPH Proceedings Volume 18, Number 3. July, 1984.

## Section 19: Data Sample Format

This section describes a scheme for specifying data sample type information.

TIFF implicitly types all data samples as unsigned integer values. Certain applications, however, require the ability to store image-related data in other formats such as floating point. This section presents a scheme for describing a variety of data sample formats.

### **Fields**

---

#### **SampleFormat**

Tag = 339 (153.H)

Type = SHORT

N = SamplesPerPixel

This field specifies how to interpret each data sample in a pixel. Possible values are:

- 1 = unsigned integer data
- 2 = two's complement signed integer data
- 3 = IEEE floating point data [IEEE]
- 4 = undefined data format

Note that the SampleFormat field does not specify the size of data samples; this is still done by the BitsPerSample field.

A field value of "undefined" is a statement by the writer that it did not know how to interpret the data samples; for example, if it were copying an existing image. A reader would typically treat an image with "undefined" data as if the field were not present (i.e. as unsigned integer data).

Default is 1, unsigned integer data.

#### **SMinSampleValue**

Tag = 340 (154.H)

Type = the field type that best matches the sample data

N = SamplesPerPixel

This field specifies the minimum sample value. Note that a value should be given for each data sample. That is, if the image has 3 SamplesPerPixel, 3 values must be specified.

The default for SMinSampleValue and SMaxSampleValue is the full range of the data type.

***SMaxSampleValue***

Tag = 341 (155.H)

Type = the field type that best matches the sample data

N = SamplesPerPixel

This new field specifies the maximum sample value.

***Comments***

---

The SampleFormat field allows more general imaging (such as image processing) applications to employ TIFF as a valid file format.

SMinSampleValue and SMaxSampleValue become more meaningful when image data is typed. The presence of these fields makes it possible for readers to assume that data samples are bound to the range [SMinSampleValue, SMaxSampleValue] without scanning the image data.

***References***

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[IEEE] "IEEE Standard 754 for Binary Floating-point Arithmetic".

## Section 20: RGB Image Colorimetry

Without additional information, RGB data is device-specific; that is, without an absolute color meaning. This section describes a scheme for describing and characterizing RGB image data.

### Introduction

---

Color printers, displays, and scanners continue to improve in quality and availability while they drop in price. Now the problem is to display color images so that they appear to be identical on different hardware.

The key to reproducing the same color on different devices is to use the CIE 1931 XYZ color-matching functions, the international standard for color comparison. Using CIE XYZ, an image's colorimetry information can fully describe its color interpretation. The approach taken here is essentially calibrated RGB. It implies a transformation from the RGB color space of the pixels to CIE 1931 XYZ.

The appearance of a color depends not only on its absolute tristimulus values, but also on the conditions under which it is viewed, including the nature of the surround and the adaptation state of the viewer. Colors having the same absolute tristimulus values appear the same in identical viewing conditions. The more complex issue of color appearance under different viewing conditions is addressed by [4]. The colorimetry information presented here plays an important role in color appearance under different viewing conditions.

Assuming identical viewing conditions, an application using the tags described below can display an image on different hardware and achieve colorimetrically identical results. The process of using this colorimetry information for displaying an image is straightforward on a color monitor but it is more complex for color printers. Also, the results will be limited by the color gamut and other characteristics of the display or printing device.

The following fields describe the image colorimetry information of a TIFF image:

<i>WhitePoint</i>	chromaticity of the white point of the image
<i>PrimaryChromaticities</i>	chromaticities of the primaries of the image
<i>TransferFunction</i>	transfer function for the pixel data
<i>TransferRange</i>	extends the range of the transfer function
<i>ReferenceBlackWhite</i>	pixel component headroom and footroom parameters

The *TransferFunction*, *TransferRange*, and *ReferenceBlackWhite* fields have defaults based on industry standards. An image has a colorimetric interpretation if and only if both the *WhitePoint* and *PrimaryChromaticities* fields are present. An image without these colorimetry fields will be displayed in an application and hardware dependent manner.

Note: In the following definitions, *BitsPerSample* is used as if it were a single number when in fact it is an array of *SamplesPerPixel* numbers. The elements of

this array may not always be equal, for example: 5/6/5 16-bit pixels. BitsPerSample should be interpreted as the BitsPerSample value associated with a particular component. In the case of unequal BitsPerSample values, the definitions below can be extended in a straightforward manner.

This section has the following differences with Appendix H in TIFF 5.0:

- removed the use of image colorimetry defaults
- renamed the ColorResponseCurves field as TransferFunction
- optionally allowed a single TransferFunction table to describe all three channels
- described the use of the TransferFunction field for YCbCr, Palette, WhiteIsZero and BlackIsZero PhotometricInterpretation types
- added the TransferRange tag to expand the range of the TransferFunction below black and above white
- added the ReferenceBlackWhite field
- addressed the issue of color appearance

## ***Colorimetry Field Definitions***

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### ***WhitePoint***

Tag = 318 (13E.H)

Type = RATIONAL

N = 2

The chromaticity of the white point of the image. This is the chromaticity when each of the primaries has its ReferenceWhite value. The value is described using the 1931 CIE xy chromaticity diagram and only the chromaticity is specified. This value can correspond to the chromaticity of the alignment white of a monitor, the filter set and light source combination of a scanner or the imaging model of a rendering package. The ordering is white[x], white[y].

For example, the CIE Standard Illuminant D65 used by CCIR Recommendation 709 and Kodak PhotoYCC is:

3127/10000,3290/10000

No default.

### ***PrimaryChromaticities***

Tag = 319 (13F.H)

Type = RATIONAL

N = 6



The chromaticities of the primaries of the image. This is the chromaticity for each of the primaries when it has its ReferenceWhite value and the other primaries have their ReferenceBlack values. These values are described using the 1931 CIE xy chromaticity diagram and only the chromaticities are specified. These values can correspond to the chromaticities of the phosphors of a monitor, the filter set and light source combination of a scanner or the imaging model of a rendering package. The ordering is red[x], red[y], green[x], green[y], blue[x], and blue[y].

For example the CCIR Recommendation 709 primaries are:

640/1000,330/1000,  
300/1000, 600/1000,  
150/1000, 60/1000

No default.

### ***TransferFunction***

Tag =301 (12D.H)

Type =SHORT

N = {1 or 3} \* (1 << BitsPerSample)

Describes a transfer function for the image in tabular style. Pixel components can be gamma-compensated, companded, non-uniformly quantized, or coded in some other way. The TransferFunction maps the pixel components from a non-linear BitsPerSample (e.g. 8-bit) form into a 16-bit linear form without a perceptible loss of accuracy.

If  $N = 1 \ll \text{BitsPerSample}$ , the transfer function is the same for each channel and all channels share a single table. Of course, this assumes that each channel has the same BitsPerSample value.

If  $N = 3 * (1 \ll \text{BitsPerSample})$ , there are three tables, and the ordering is the same as it is for pixel components of the PhotometricInterpretation field. These tables are separate and not interleaved. For example, with RGB images all red entries come first, followed by all green entries, followed by all blue entries.

The length of each component table is  $1 \ll \text{BitsPerSample}$ . The width of each entry is 16 bits as implied by the type SHORT. Normally the value 0 represents the minimum intensity and 65535 represents the maximum intensity and the values [0, 0, 0] represent black and [65535,65535, 65535] represent white. If the TransferRange tag is present then it is used to determine the minimum and maximum values, and a scaling normalization.

The TransferFunction can be applied to images with a PhotometricInterpretation value of RGB, Palette, YCbCr, WhiteIsZero, and BlackIsZero. The TransferFunction is not used with other PhotometricInterpretation types.

For RGB PhotometricInterpretation, ReferenceBlackWhite expands the coding range, TransferRange expands the range of the TransferFunction, and the TransferFunction tables decompand the RGB value. The WhitePoint and PrimaryChromaticities further describe the RGB colorimetry.

For Palette color PhotometricInterpretation, the Colormap maps the pixel into three 16-bit values that when scaled to BitsPerSample-bits serve as indices into the TransferFunction tables which decompand the RGB value. The WhitePoint and PrimaryChromaticities further describe the underlying RGB colorimetry.

A Palette value can be scaled into a TransferFunction index by:

$$\text{index} = (\text{value} * ((1 \ll \text{BitsPerSample}) - 1)) / 65535;$$

A TransferFunction index can be scaled into a Palette color value by:

$$\text{value} = (\text{index} * 65535) / ((1 \ll \text{BitsPerSample}) - 1);$$

Be careful if you intend to create Palette images with a TransferFunction. If the Colormap tag is directly converted from a hardware colormap, it may have a device gamma already incorporated into the DAC values.

For YCbCr PhotometricInterpretation, ReferenceBlackWhite expands the coding range, the YCbCrCoefficients describe the decoding matrix to transform YCbCr into RGB, TransferRange expands the range of the TransferFunction, and the TransferFunction tables decompand the RGB value. The WhitePoint and PrimaryChromaticities fields provide further description of the underlying RGB colorimetry.

After coding range expansion by ReferenceBlackWhite and TransferFunction expansion by TransferRange, RGB values may be outside the domain of the TransferFunction. Also, the display device matrix can transform RGB values into display device RGB values outside the domain of the device. These values are handled in an application-dependent manner.

For RGB images with non-default ReferenceBlackWhite coding range expansion and for YCbCr images, the resolution of the TransferFunction may be insufficient. For example, after the YCbCr transformation matrix, the decoded RGB values must be rounded to index into the TransferFunction tables. Applications needing the extra accuracy should interpolate between the elements of the TransferFunction tables. Linear interpolation is recommended.

For WhiteIsZero and BlackIsZero PhotometricInterpretation, the TransferFunction decompands the grayscale pixel value to a linear 16-bit form. Note that a TransferFunction value of 0 represents black and 65535 represents white regardless of whether a grayscale image is WhiteIsZero or BlackIsZero. For example, the zeroth element of a WhiteIsZero TransferFunction table will likely be 65535. This extension of the TransferFunction field for grayscale images is intended to replace the GrayResponseCurve field.

The TransferFunction does not describe a transfer characteristic outside of the range for ReferenceBlackWhite.

Default is a single table corresponding to the NTSC standard gamma value of 2.2. This table is used for each channel. It can be generated by:

```
NValues = 1 << BitsPerSample;
for (TF[0] = 0, i = 1; i < NValues; i++)
    TF[i] = floor(pow(i / (NValues - 1.0), 2.2) * 65535 + 0.5);
```

**TransferRange**

Tag = 342 (156.H)

Type = SHORT

N = 6

Expands the range of the TransferFunction. The first value within a pair is associated with TransferBlack and the second is associated with TransferWhite. The ordering of pairs is the same as for pixel components of the PhotometricInterpretation type. By default, the TransferFunction is defined over a range from a minimum intensity, 0 or nominal black, to a maximum intensity,  $(1 \ll \text{BitsPerSample}) - 1$  or nominal white. Kodak PhotoYCC uses an extended range TransferFunction in order to describe highlights, saturated colors and shadow detail beyond this range. The TransferRange expands the TransferFunction to support these values. It is defined only for RGB and YCbCr PhotometricInterpretations.

After ReferenceBlackWhite and/or YCbCr decoding has taken place, an RGB value can be represented as a real number. It is then rounded to create an index into the TransferFunction table. In the absence of a TransferRange tag, or if the tag has the default values, the rounded value is an index and the normalized intensity value is:

```
index = (int) (value + (value < 0.0? -0.5 : 0.5));
intensity = TF[index] / 65535;
```

If the TransferRange tag is present and has non-default values, it provides an offset to be used with the rounded index. It also describes a scaling. The normalized intensity value is:

```
index = (int) (value + (value < 0.0? -0.5 : 0.5));
intensity = (TF[index + TransferRange[Black]] -
             TF[TransferRange[Black]])
            / (TF[TransferRange[White]] - TF[TransferRange[Black]]);
```

An application can write a TransferFunction with a non-default TransferRange as follows:

```
black_offset = scale_factor * Transfer(-TransferRange[Black]) /
              (TransferRange[White] - TransferRange[Black]);
for (i = 0; i < (1 << BitsPerSample); i++)
    TF[i] = floor(0.5 - black_offset + scale_factor
                 * Transfer((i - TransferRange[Black])
                           / (TransferRange[White] - TransferRange[Black])));
```

The TIFF writer chooses scale\_factor such that the TransferFunction fits into a 16-bit unsigned short, and chooses the TransferRange so that the most important part of the TransferFunction fits into the table.

Default is {0, NV, 0, NV, 0, NV} where  $NV = (1 \ll \text{BitsPerSample}) - 1$ .

**ReferenceBlackWhite**

Tag = 532 (214.H)

Type = RATIONAL

N = 6

Specifies a pair of headroom and footroom image data values (codes) for each pixel component. The first component code within a pair is associated with ReferenceBlack, and the second is associated with ReferenceWhite. The ordering of pairs is the same as those for pixel components of the PhotometricInterpretation type. ReferenceBlackWhite can be applied to images with a PhotometricInterpretation value of RGB or YCbCr. ReferenceBlackWhite is not used with other PhotometricInterpretation values.

Computer graphics commonly places black and white at the extremities of the binary representation of image data; for example, black at code 0 and white at code 255. In other disciplines, such as printing, film, and video, there are practical reasons to provide footroom codes below ReferenceBlack and headroom codes above ReferenceWhite.

In film applications, they correspond to the densities Dmax and Dmin. In video applications, ReferenceBlack corresponds to 7.5 IRE and 0 IRE in systems with and without setup respectively, and ReferenceWhite corresponds to 100 IRE units.

Using YCbCr (See Section 21) and the CCIR Recommendation 601.1 video standard as an example, code 16 represents ReferenceBlack, and code 235 represents ReferenceWhite for the luminance component (Y). For the chrominance components, Cb and Cr, code 128 represents ReferenceBlack, and code 240 represents ReferenceWhite. With Cb and Cr, the ReferenceWhite value is used to code reference blue and reference red respectively.

The full range component value is converted from the code by:

$$\text{FullRangeValue} = (\text{code} - \text{ReferenceBlack}) * \text{CodingRange} / (\text{ReferenceWhite} - \text{ReferenceBlack});$$

The code is converted from the full-range component value by:

$$\text{code} = (\text{FullRangeValue} * (\text{ReferenceWhite} - \text{ReferenceBlack}) / \text{CodingRange}) + \text{ReferenceBlack};$$

For RGB images and the Y component of YCbCr images, CodingRange is defined as:

$$\text{CodingRange} = 2 ** \text{BitsPerSample} - 1;$$

For the Cb and Cr components of YCbCr images, CodingRange is defined as:

$$\text{CodingRange} = 127;$$

For RGB images, in the default special case of no headroom or footroom, this conversion can be skipped because the scaling multiplier equals 1.0 and the value equals the code.

For YCbCr images, in the case of no headroom or footroom, the conversion for Y can be skipped because the value equals the code. For Cb and Cr, ReferenceBlack must still be subtracted from the code. In the general case, the scaling multiplication for the Cb and Cr component codes can be factored into the YCbCr transform matrix.

Useful ReferenceBlackWhite values for YCbCr images are:

[0/1, 255/1, 128/1, 255/1, 128/1, 255/1]

no headroom/footroom

[15/1, 235/1, 128/1, 240/1, 128/1, 240/1]

CCIR Recommendation 601.1 headroom/footroom

Useful ReferenceBlackWhite values for BitsPerSample = 8,8,8 Class R images are:

[0/1, 255/1, 0/1, 255/1, 0/1, 255/1]

no headroom/footroom

[16/1, 235/1, 16/1, 235/1, 16/1, 235/1]

CCIR Recommendation 601.1 headroom/footroom

Default is [0/NV/1, 0/1, NV/1, 0/1, NV/1] where  $NV = 2^{**} \text{BitsPerSample} - 1$ .

### References

- [1] *The Reproduction of Colour in Photography, Printing and Television*, R. W. G. Hunt, Fountain Press, Tolworth, England, 1987.
- [2] *Principles of Color Technology*, Billmeyer and Saltzman, Wiley-Interscience, New York, 1981.
- [3] *Colorimetric Properties of Video Displays*, William Cowan, University of Waterloo, Waterloo, Canada, 1989.
- [4] *TIFF Color Appearance Guidelines*, Dave Farber, Eastman Kodak Company, Rochester, New York.

## Section 21: $Y C_b C_r$ Images

### Introduction

---

Digitizers of video sources that create RGB data are becoming more capable and less expensive. The RGB color space is adequate for this purpose. However, for both digital video and image compression applications a color difference color space is needed. The television industry depends on  $Y C_b C_r$  for digital video. For image compression, subsampling the chrominance components allows for greater compression. TIFF  $Y C_b C_r$  (which we shall call *Class Y*) supports these images and applications.

Class Y is based on CCIR Recommendation 601-1, "Encoding Parameters of Digital Television for Studios." Class Y also has parameters that allow the description of related standards such as CCIR Recommendation 709 and technological variations such as component-sample positioning.

$Y C_b C_r$  is a distinct Photometric Interpretation type. RGB pixels are converted to and from  $Y C_b C_r$  for storage and display.

Class Y defines the following fields:

$Y C_b C_r$ Coefficients	transformation from RGB to $Y C_b C_r$
$Y C_b C_r$ SubSampling	subsampling of the chrominance components
$Y C_b C_r$ Positioning	positioning of chrominance component samples relative to the luminance samples

In addition, ReferenceBlackWhite, which specifies coding range expansion, is required by Class Y. See Section 20.

Class Y  $Y C_b C_r$  images have three components: Y, the luminance component, and  $C_b$  and  $C_r$ , two chrominance components. Class Y uses the international standard notation  $Y C_b C_r$  for color-difference component coding. This is often incorrectly called YUV, which properly applies only to composite coding.

The transformations between  $Y C_b C_r$  and RGB are linear transformations of uninterpreted RGB sample data, typically gamma-corrected values. The  $Y C_b C_r$  Coefficients field describes the parameters of this transformation.

Another feature of Class Y comes from subsampling the chrominance components. A Class Y image can be compressed by reducing the spatial resolution of chrominance components. This takes advantage of the relative insensitivity of the human visual system to chrominance detail. The  $Y C_b C_r$  SubSampling field describes the degree of subsampling which has taken place.

When a Class Y image is subsampled, each  $C_b$  and  $C_r$  sample is associated with a group of luminance samples. The  $Y C_b C_r$  Positioning field describes the position of the chrominance component samples relative to the group of luminance samples: centered or cosited.

Class Y requires use of the ReferenceBlackWhite field. This field expands the coding range by describing the reference black and white values for the different components that allow headroom and footroom for digital video images. Since the

default for ReferenceBlackWhite is inappropriate for Class Y, it must be used explicitly.

At first, it might seem that the information conveyed by Class Y and the RGB Colorimetry section is redundant. However, decoding  $YC_bC_r$  to RGB primaries requires the  $YC_bC_r$  fields, and interpretation of the resulting RGB primaries requires the colorimetry and transfer function information. See the RGB Colorimetry section for details.

## Extensions to Existing Fields

---

Class Y images use a distinct PhotometricInterpretation Field value:

### PhotometricInterpretation

Tag = 262 (106.H)

Type = SHORT

N = 1

This Field indicates the color space of the image. The new value is:

6 =  $YC_bC_r$

A value of 6 indicates that the image data is in the  $YC_bC_r$  color space. TIFF uses the international standard notation  $YC_bC_r$  for color-difference sample coding. Y is the luminance component.  $C_b$  and  $C_r$  are the two chrominance components. RGB pixels are converted to and from  $YC_bC_r$  form for storage and display.

## Fields Defined in Class Y

---

### $YC_bC_r$ Coefficients

Tag = 529 (211.H)

Type = RATIONAL

N = 3

The transformation from RGB to  $YC_bC_r$  image data. The transformation is specified as three rational values that represent the coefficients used to compute luminance, Y.

The three rational coefficient values, *LumaRed*, *LumaGreen* and *LumaBlue*, are the proportions of red, green, and blue respectively in luminance, Y.

Y,  $C_b$ , and  $C_r$  may be computed from RGB using the luminance coefficients specified by this field as follows:

$$Y = (LumaRed * R + LumaGreen * G + LumaBlue * B)$$

$$C_b = (B - Y) / (2 - 2 * LumaBlue)$$

$$C_r = (R - Y) / (2 - 2 * LumaRed)$$

R, G, and B may be computed from  $YC_bC_r$  as follows:

$$R = C_r * (2 - 2 * LumaRed) + Y$$

$$G = (Y - LumaBlue * B - LumaRed * R) / LumaGreen$$

$$B = C_b * (2 - 2 * LumaBlue) + Y$$

In disciplines such as printing, film, and video, there are practical reasons to provide footroom codes below the ReferenceBlack code and headroom codes above ReferenceWhite code. In such cases the values of the transformation matrix used to convert from  $YC_bC_r$  to RGB must be multiplied by a scale factor to produce full-range RGB values. These scale factors depend on the reference ranges specified by the ReferenceBlackWhite field. See the ReferenceBlackWhite and TransferFunction fields for more details.

The values coded by this field will typically reflect the transformation specified by a standard for  $YC_bC_r$  encoding. The following table contains examples of commonly used values.

Standard	<i>LumaRed</i>	<i>LumaGreen</i>	<i>LumaBlue</i>
CCIR Recommendation 601-1	299 / 1000	587 / 1000	114 / 1000
CCIR Recommendation 709	2125 / 10000	7154 / 10000	721 / 10000

The default values for this field are those defined by CCIR Recommendation 601-1: 299/1000, 587/1000 and 114/1000, for *LumaRed*, *LumaGreen* and *LumaBlue*, respectively.

### ***YC\_bC\_r* SubSampling**

Tag = 530 (212.H)

Type = SHORT

N = 2

Specifies the subsampling factors used for the chrominance components of a  $YC_bC_r$  image. The two fields of this field, *YC\_bC\_rSubsampleHoriz* and *YC\_bC\_rSubsampleVert*, specify the horizontal and vertical subsampling factors respectively.

The two fields of this field are defined as follows:

Short 0: *YC\_bC\_rSubsampleHoriz*:

1 = ImageWidth of this chroma image is equal to the ImageWidth of the associated luma image.

2 = ImageWidth of this chroma image is half the ImageWidth of the associated luma image.

4 = ImageWidth of this chroma image is one-quarter the ImageWidth of the associated luma image.

Short 1: *YC\_bC\_rSubsampleVert*:

1 = ImageLength (height) of this chroma image is equal to the ImageLength of the associated luma image.



- 2 = ImageLength (height) of this chroma image is half the ImageLength of the associated luma image.
- 4 = ImageLength (height) of this chroma image is one-quarter the ImageLength of the associated luma image.

Both  $C_b$  and  $C_r$  have the same subsampling ratio. Also,  $YC_bC_rSubsampleVert$  shall always be less than or equal to  $YC_bC_rSubsampleHoriz$ .

ImageWidth and ImageLength are constrained to be integer multiples of  $YC_bC_rSubsampleHoriz$  and  $YC_bC_rSubsampleVert$  respectively. TileWidth and TileLength have the same constraints. RowsPerStrip must be an integer multiple of  $YC_bC_rSubsampleVert$ .

The default values of this field are [ 2, 2 ].

### ***YCbCr* Positioning**

Tag = 531 (213.H)

Type = SHORT

N = 1

Specifies the positioning of subsampled chrominance components relative to luminance samples.

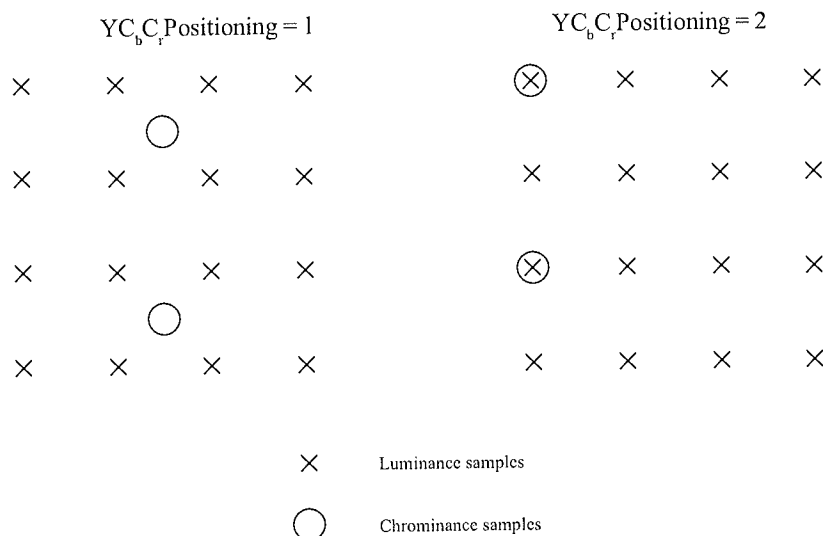
Specification of the spatial positioning of pixel samples relative to the other samples is necessary for proper image post processing and accurate image presentation. In Class Y files, the position of the subsampled chrominance components are defined with respect to the luminance component. Because components must be sampled orthogonally (along rows and columns), the spatial position of the samples in a given subsampled component may be determined by specifying the horizontal and vertical offsets of the first sample (i.e. the sample in the upper-left corner) with respect to the luminance component. The horizontal and vertical offsets of the first chrominance sample are denoted  $Xoffset[0,0]$  and  $Yoffset[0,0]$  respectively.  $Xoffset[0,0]$  and  $Yoffset[0,0]$  are defined in terms of the number of samples in the luminance component.

The values for this field are defined as follows:

Tag value	$YCbCr$ Positioning	X and Y offsets of first chrominance sample
1	centered	$Xoffset[0,0] = ChromaSubsampleHoriz / 2 - 0.5$ $Yoffset[0,0] = ChromaSubsampleVert / 2 - 0.5$
2	cosited	$Xoffset[0,0] = 0$ $Yoffset[0,0] = 0$

Field value 1 (centered) must be specified for compatibility with industry standards such as PostScript Level 2 and QuickTime. Field value 2 (cosited) must be specified for compatibility with most digital video standards, such as CCIR Recommendation 601-1.

As an example, for  $ChromaSubsampleHoriz = 4$  and  $ChromaSubsampleVert = 2$ , the centers of the samples are positioned as illustrated below:



Luminance samples

Chrominance samples

Proper subsampling of the chrominance components incorporates an anti-aliasing filter that reduces the spectral bandwidth of the full-resolution samples. The type of filter used for subsampling determines the value of the YCbCrPositioning field.

For YCbCrPositioning = 1 (centered), subsampling of the chrominance components can easily be accomplished using a symmetrical digital filter with an even number of taps (coefficients). A commonly used filter for 2:1 subsampling utilizes two taps (1/2, 1/2).

For YCbCrPositioning = 2 (cosited), subsampling of the chrominance components can easily be accomplished using a symmetrical digital filter with an odd number of taps. A commonly used filter for 2:1 subsampling utilizes three taps (1/4, 1/2, 1/4).

The default value of this field is 1.

## Ordering of Component Samples

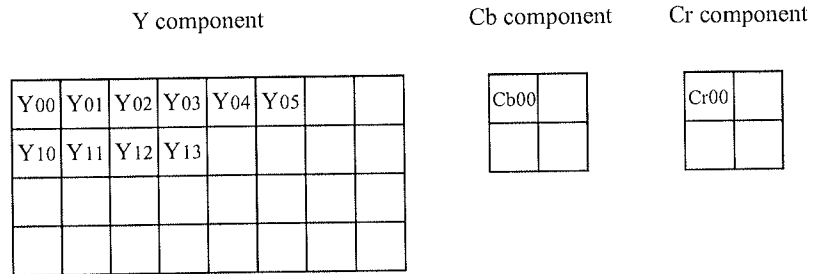
This section defines the ordering convention used for Y, C<sub>b</sub>, and C<sub>r</sub> component samples when the PlanarConfiguration field value = 1 (interleaving). For PlanarConfiguration = 2, component samples are stored as 3 separate planes, and the ordering is the same as that used for other PhotometricInterpretation field values.

For PlanarConfiguration = 1, the component sample order is based on the subsampling factors, ChromaSubsampleHoriz and ChromaSubsampleVert, defined by the YCbCrSubSampling field. The image data within a TIFF file is comprised of one or more "data units", where a data unit is defined to be a sequence of samples:

- one or more Y samples
- a C<sub>b</sub> sample
- a C<sub>r</sub> sample

The Y samples within a data unit are specified as a two-dimensional array having ChromaSubsampleVert rows of ChromaSubsampleHoriz samples.

Expanding on the example in the previous section, consider a  $Y C_b C_r$  image having  $ChromaSubsampleHoriz = 4$  and  $ChromaSubsampleVert = 2$ :



For  $PlanarConfiguration = 1$ , the sample order is:

$$Y_{00}, Y_{01}, Y_{02}, Y_{03}, Y_{10}, Y_{11}, Y_{12}, Y_{13}, Cb_{00}, Cr_{00}, Y_{04}, Y_{05} \dots$$

### Minimum Requirements for YCbCr Images

In addition to satisfying the general Baseline TIFF requirements, a YCbCr file must have the following characteristics:

- $SamplesPerPixel = 3$ . SHORT. Three components representing Y, Cb and Cr.
- $BitsPerSample = 8,8,8$ . SHORT.
- $Compression = none (1), LZW (5) or JPEG (6)$ . SHORT.
- $PhotometricInterpretation = Y C_b C_r (6)$ . SHORT.
- $ReferenceBlackWhite = 6$  RATIONALS. Specify the reference values for black and white.

If the conversion from RGB is not according to CCIR Recommendation 601-1, code  $Y C_b C_r$  Coefficients.

## Section 22: JPEG Compression

### *Introduction*

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Image compression reduces the storage requirements of pictorial data. In addition, it reduces the time required for access to, communication with, and display of images. To address the standardization of compression techniques an international standards group was formed: the Joint Photographic Experts Group (JPEG). JPEG has as its objective to create a joint ISO/CCITT standard for continuous tone image compression (color and grayscale).

JPEG decided that because of the broad scope of the standard, no one algorithmic procedure was able to satisfy the requirements of all applications. It was decided to specify different algorithmic processes, where each process is targeted to satisfy the requirements of a class of applications. Thus, the JPEG standard became a “toolkit” whereby the particular algorithmic “tools” are selected according to the needs of the application environment.

The algorithmic processes fall into two classes: lossy and lossless. Those based on the Discrete Cosine Transform (DCT) are lossy and typically provide for substantial compression without significant degradation of the reconstructed image with respect to the source image.

The simplest DCT-based coding process is the baseline process. It provides a capability that is sufficient for most applications. There are additional DCT-based processes that extend the baseline process to a broader range of applications.

The second class of coding processes is targeted for those applications requiring lossless compression. The lossless processes are not DCT-based and are utilized independently of any of the DCT-based processes.

This Section describes the JPEG baseline, the JPEG lossless processes, and the extensions to TIFF defined to support JPEG compression.

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### *JPEG Baseline Process*

---

The baseline process is a DCT-based algorithm that compresses images having 8 bits per component. The baseline process operates only in sequential mode. In sequential mode, the image is processed from left to right and top to bottom in a single pass by compressing the first row of data, followed by the second row, and continuing until the end of image is reached. Sequential operation has minimal buffering requirements and thus permits inexpensive implementations.

The JPEG baseline process is an algorithm which inherently introduces error into the reconstructed image and cannot be utilized for lossless compression. The algorithm accepts as input only those images having 8 bits per component. Images with fewer than 8 bits per component may be compressed using the baseline process algorithm by left justifying each input component within a byte before compression.

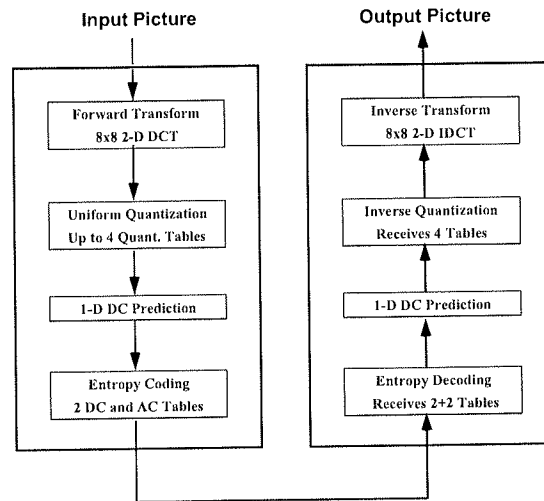


Figure 1. Baseline Process Encoder and Decoder

A functional block diagram of the Baseline encoding and decoding processes is contained in Figure 1. Encoder operation consists of dividing each component of the input image into 8x8 blocks, performing the two-dimensional DCT on each block, quantizing each DCT coefficient uniformly, subtracting the quantized DC coefficient from the corresponding term in the previous block, and then entropy coding the quantized coefficients using variable length codes (VLCs). Decoding is performed by inverting each of the encoder operations in the reverse order.

### The DCT

Before performing the forward DCT, input pixels are level-shifted so that they range from -128 to +127. Blocks of 8x8 pixels are transformed with the two-dimensional 8x8 DCT:

$$F(u,v) = \frac{1}{4} C(u)C(v) \sum \sum f(x,y) \cos \frac{\pi(2x+1)u}{16} \cos \frac{\pi(2y+1)v}{16}$$

and blocks are inverse transformed by the decoder with the Inverse DCT:

$$f(x,y) = \frac{1}{4} \sum \sum C(u)C(v) F(u,v) \cos \frac{\pi(2x+1)u}{16} \cos \frac{\pi(2y+1)v}{16}$$

with  $u, v, x, y = 0, 1, 2, \dots, 7$

where  $x, y =$  spatial coordinates in the pel domain

$u, v =$  coordinates in the transform domain

$$C(u), C(v) = 1 / \text{sqrt}(2) \quad \text{for } u, v = 0$$

$$1 \quad \text{otherwise}$$

Although the exact method for computation of the DCT and IDCT is not subject to standardization and will not be specified by JPEG, it is probable that JPEG will adopt DCT-conformance specifications that designate the accuracy to which the DCT must be computed. The DCT-conformance specifications will assure that any two JPEG implementations will produce visually-similar reconstructed images.

### Quantization

The coefficients of the DCT are quantized to reduce their magnitude and increase the number of zero-value coefficients. The DCT coefficients are independently quantized by uniform quantizers. A uniform quantizer divides the real number line into steps of equal size, as shown in Figure 2. The quantization step-size applied to each coefficient is determined from the contents of a 64-element quantization table.

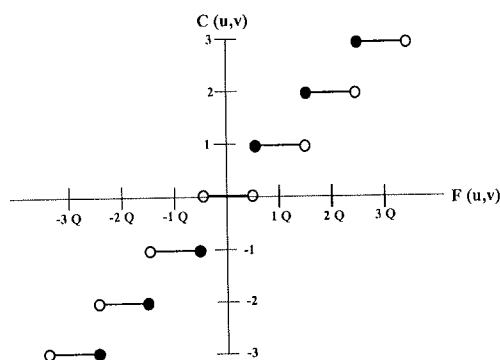


Figure 2. Uniform Quantization

The baseline process provides for up to 4 different quantization tables to be defined and assigned to separate interleaved components within a single scan of the input image. Although the values of each quantization table should ideally be determined through rigorous subjective testing which estimates the human psycho-visual thresholds for each DCT coefficient and for each color component of the input image, JPEG has developed quantization tables which work well for CCIR 601 resolution images and has published these in the informational section of the proposed standard.

### DC Prediction

The DCT coefficient located in the upper-left corner of the transformed block represents the average spatial intensity of the block and is referred to as the "DC coefficient". After the DCT coefficients are quantized, but before they are entropy coded, DC prediction is performed. DC prediction simply means that the DC term of the previous block is subtracted from the DC term of the current block prior to encoding.

### Zig-Zag Scan

Prior to entropy coding, the DCT coefficients are ordered into a one-dimensional sequence according to a “zig-zag” scan. The DC coefficient is coded first, followed by AC coefficient coding, proceeding in the order illustrated in Figure 3.

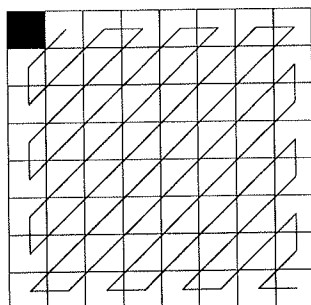


Figure 3. Zig-Zag Scan of DCT Coefficients

### Entropy Coding

The quantized DCT coefficients are further compressed using entropy coding. The baseline process performs entropy coding using variable length codes (VLCs) and variable length integers (VLIs).

VLCs, commonly known as Huffman codes, compress data symbols by creating shorter codes to represent frequently-occurring symbols and longer codes for occasionally-occurring symbols. One reason for using VLCs is that they are easily implemented by means of lookup tables.

Separate code tables are provided for the coding of DC and AC coefficients. The following paragraphs describe the respective coding methods used for coding DC and AC coefficients.

### DC Coefficient Coding

DC prediction produces a “differential DC coefficient” that is typically small in magnitude due to the high correlation of neighboring DC coefficients. Each differential DC coefficient is encoded by a VLC which represents the number of significant bits in the DC term followed by a VLI representing the value itself. The VLC is coded by first determining the number of significant bits, SSSS, in the differential DC coefficient through the following table:

SSSS	Differential DC Value
0	0
1	-1, 1
2	-3,-2, 2,3
3	-7,..-4, 4..7
4	-15,..-8, 8..15
5	-31,..-16, 16..31

6	-63..-32, 32..63
7	-127..-64, 64..127
8	-255..-128, 128..255
9	-511..-256, 256..511
10	-1023..-512, 512..1023
11	-2047..-1024, 1024..2047
12	-4095..-2048, 2048..4095

SSSS is then coded from the selected DC VLC table. The VLC is followed by a VLI having SSSS bits that represents the value of the differential DC coefficient itself. If the coefficient is positive, the VLI is simply the low-order bits of the coefficient. If the coefficient is negative, then the VLI is the low-order bits of the coefficient-1.

### AC Coefficient Coding

In a similar fashion, AC coefficients are coded with alternating VLC and VLI codes. The VLC table, however, is a two-dimensional table that is indexed by a composite 8-bit value. The lower 4 bits of the 8-bit value, i.e. the column index, is the number of significant bits, SSSS, of a non-zero AC coefficient. SSSS is computed through the same table as that used for coding the DC coefficient. The higher-order 4 bits, the row index, is the number of zero coefficients, NNNN, that precede the non-zero AC coefficient. The first column of the two-dimensional coding table contains codes that represent control functions. Figure 4 illustrates the general structure of the AC coding table.

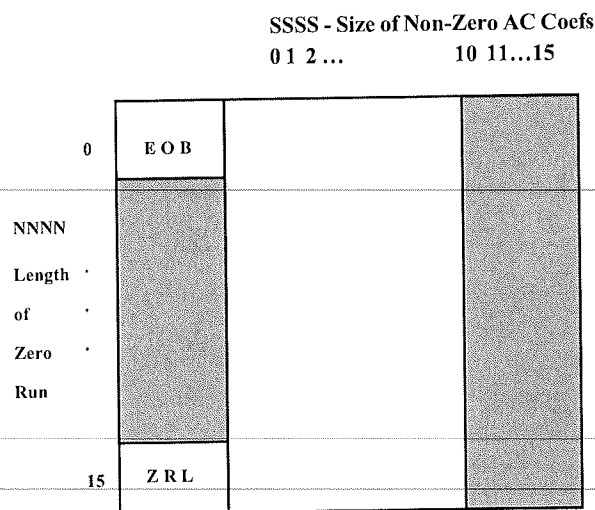


Figure 4. 2-D Run-Size Value Array for AC Coefs  
The shaded portions are undefined in the baseline process

The flow chart in Figure 5 specifies the AC coefficient coding procedure. AC coefficients are coded by traversing the block in the zig-zag sequence and count-



ing the number of zero coefficients until a non-zero AC coefficient is encountered. If the count of consecutive zero coefficients exceeds 15, then a ZRL code is coded and the zero run-length count is reset. When a non-zero AC coefficient is found, the number of significant bits in the non-zero coefficient, SSSS, is combined with the zero run-length that precedes the coefficient, NNNN, to form an index into the two-dimensional VLC table. The selected VLC is then coded. The VLC is followed by a VLI that represents the value of the AC coefficient. This process is repeated until the end of the block is reached. If the last AC coefficient is zero, then an End of Block (EOB) VLC is encoded.

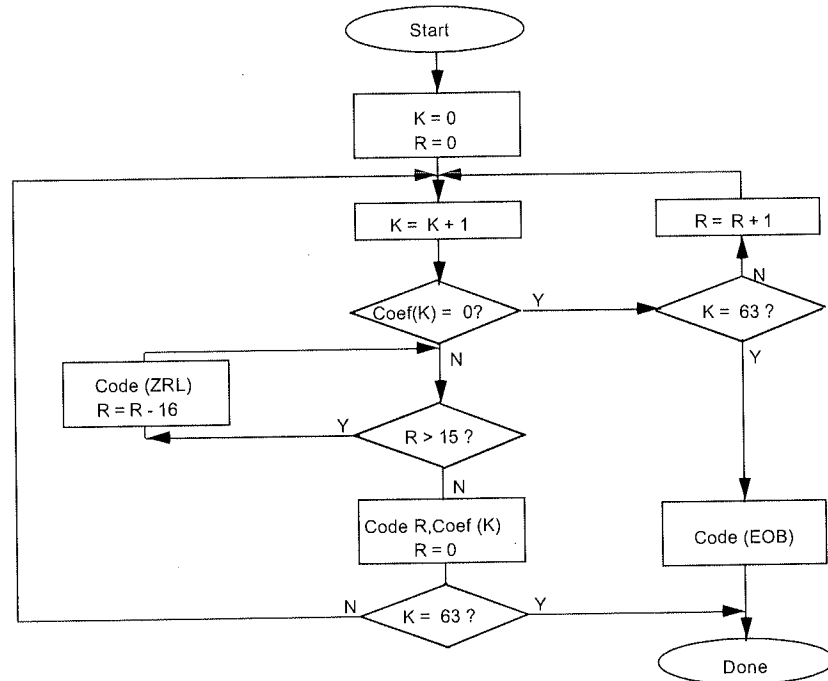


Figure 5. Encoding Procedure for AC Coefs

## JPEG Lossless Processes

The JPEG lossless coding processes utilize a spatial-prediction algorithm based upon a two-dimensional Differential Pulse Code Modulation (DPCM) technique. They are compatible with a wider range of input pixel precision than the DCT-based algorithms (2 to 16 bits per component). Although the primary motivation for specifying a spatial algorithm is to provide a method for lossless compression, JPEG allows for quantization of the input data, resulting in lossy compression and higher compression rates.

Although JPEG provides for use of either the Huffman or Arithmetic entropy-coding models by the processes for lossless coding, only the Huffman coding model is supported by this version of TIFF. The following is a brief overview of the lossless process with Huffman coding.

## Control Structure

Much of the control structure developed for the sequential DCT procedures is also used for sequential lossless coding. Either interleaved or non-interleaved data ordering may be used.

## Coding Model

The coding model developed for coding the DC coefficients of the DCT is extended to allow a number of one-dimensional and two-dimensional predictors for the lossless coding function. Each component uses an independent predictor.

## Prediction

Figure 6 shows the relationship between the neighboring values used for prediction and the sample being coded.

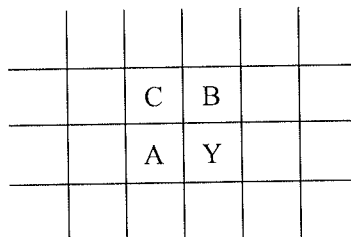


Figure 6. Relationship between sample and prediction samples

Y is the sample to be coded and A, B, and C are the samples immediately to the left, immediately above, and diagonally to the left and above.

The allowed predictors are listed in the following table.

Selection-value	Prediction
0	no prediction (differential coding)
1	A
2	B
3	C
4	$A+B-C$
5	$A+((B-C)/2)$
6	$B+((A-C)/2)$
7	$(A+B)/2$

Selection-value 0 shall only be used for differential coding in the hierarchical mode. Selections 1, 2 and 3 are one-dimensional predictors and selections 4, 5, 6, and 7 are two dimensional predictors. The divide by 2 in the prediction equations is done by a arithmetic-right-shift of the integer values.

The difference between the prediction value and the input is calculated modulo  $2^{**}16$ . Therefore, the prediction can also be treated as a modulo  $2^{**}16$  value. In the decoder the difference is decoded and added, modulo  $2^{**}16$ , to the prediction.

### ***Huffman Coding of the Prediction Error***

The Huffman coding procedures defined for coding the DC coefficients are used to code the modulo  $2^{**}16$  differences. The table for DC coding is extended to 17 entries that allows for coding of the modulo  $2^{**}16$  differences.

### ***Point Transformation Prior to Lossless Coding***

For the lossless processes only, the input image data may optionally be scaled (quantized) prior to coding by specifying a nonzero value in the point transformation parameter. Point transformation is defined to be division by a power of 2.

If the point transformation field is nonzero for a component, a point transformation of the input is performed prior to the lossless coding. The input is divided by  $2^{**}Pt$ , where  $Pt$  is the value of the point transform signaling field. The output of the decoder is rescaled to the input range by multiplying by  $2^{**}Pt$ . Note that the scaling of input and output can be performed by arithmetic shifts.

## ***Overview of the JPEG Extension to TIFF***

---

In extending the TIFF definition to include JPEG compressed data, it is necessary to note the following:

- JPEG is effective only on continuous-tone color spaces:

Grayscale (Photometric Interpretation = 1)

RGB (Photometric Interpretation = 2)

CMYK (Photometric Interpretation = 5) (See the CMYK Images section.)

$YCbCr$  (Photometric Interpretation = 6) (See the  $YCbCr$  images section.)

- Color conversion to  $YCbCr$  is often used as part of the compression process because the chrominance components can be subsampled and compressed to a greater degree without significant visual loss of quality. Fields are defined to describe how this conversion has taken place and the degree of subsampling employed (see the  $YCbCr$  Images section).
- New fields have been defined to specify the JPEG parameters used for compression and to allow quantization tables and Huffman code tables to be incorporated into the TIFF file.

- TIFF is compatible with compressed image data that conforms to the syntax of the JPEG interchange format for compressed image data. Fields are defined that may be utilized to facilitate conversion from TIFF to interchange format.
- The PlanarConfiguration Field is used to specify whether or not the compressed data is interleaved as defined by JPEG. For any of the JPEG DCT-based processes, the interleaved data units are coded 8x8 blocks rather than component samples.
- Although JPEG codes consecutive image blocks in a single contiguous bitstream, it is extremely useful to employ the concept of tiles in an image. The TIFF Tiles section defines some new fields for tiles. These fields should be stored in place of the older fields for strips. The concept of tiling an image in both dimensions is important because JPEG hardware may be limited in the size of each block that is handled.
- Note that the nomenclature used in the TIFF specification is different from the JPEG Draft International Standardittee Draft (ISO DIS 10918-1) in some respects. The following terms should be equated when reading this Section:

TIFF name	JPEG DIS name
ImageWidth	Number of Pixels
ImageLength	Number of Lines
SamplesPerPixel	Number of Components
JPEGQTable	Quantization Table
JPEGDCTable	Huffman Table for DC coefficients
JPEGACTable	Huffman Table for AC coefficients

## ***Strips and Tiles***

The JPEG extension to TIFF has been designed to be consistent with the existing TIFF strip and tile structures and to allow quick conversion to and from the stream-oriented compressed image format defined by JPEG.

Compressed images conforming to the syntax of the JPEG interchange format can be converted to TIFF simply by defining a single strip or tile for the entire image and then concatenating the TIFF image description fields to the JPEG compressed image data. The strip or tile offset field points directly to the start of the entropy coded data (not to a JPEG marker).

Multiple strips or tiles are supported in JPEG compressed images using restart markers. Restart markers, inserted periodically into the compressed image data, delineate image segments known as restart intervals. At the start of each restart interval, the coding state is reset to default values, allowing every restart interval to be decoded independently of previously decoded data. TIFF strip and tile offsets shall always point to the start of a restart interval. Equivalently, each strip or

tile contains an integral number of restart intervals. Restart markers need not be present in a TIFF file; they are implicitly coded at the start of every strip or tile.

To maximize interchangeability of TIFF files with other formats, a restriction is placed on tile height for files containing JPEG-compressed image data conforming to the JPEG interchange format syntax. The restriction, imposed only when the tile width is shorter than the image width and when the JPEGInterchangeFormat Field is present and non-zero, states that the tile height must be equal to the height of one JPEG Minimum Coded Unit (MCU). This restriction ensures that TIFF files may be converted to JPEG interchange format without undergoing decompression.

## ***Extensions to Existing Fields***

---

### ***Compression***

Tag = 259 (103.H)

Type = SHORT

N = 1

This Field indicates the type of compression used. The new value is:

6 = JPEG

## ***JPEG Fields***

---

### ***JPEGProc***

Tag = 512 (200.H)

Type = SHORT

N = 1

This Field indicates the JPEG process used to produce the compressed data. The values for this field are defined to be consistent with the numbering convention used in ISO DIS 10918-2. Two values are defined at this time.

- 1= Baseline sequential process
- 14= Lossless process with Huffman coding

When the lossless process with Huffman coding is selected by this Field, the Huffman tables used to encode the image are specified by the JPEGDCTables field, and the JPEGACTables field is not used.

Values indicating JPEG processes other than those specified above will be defined in the future.

Not all of the fields described in this section are relevant to the JPEG process selected by this Field. The following table specifies the fields that are applicable to each value defined by this Field.

Tag Name	JPEGProc=1	JPEGProc=14
JPEGInterchangeFormat	X	X
JPEGInterchangeFormatLength	X	X
JPEGRestartInterval	X	X
JPEGLosslessPredictors		X
JPEGPointTransforms		X
JPEGQTables	X	
JPEGDCTables	X	X
JPEGACTables	X	

This Field is mandatory whenever the Compression Field is JPEG (no default).

### ***JPEGInterchangeFormat***

Tag = 513 (201.H)

Type = LONG

N = 1

This Field indicates whether a JPEG interchange format bitstream is present in the TIFF file. If a JPEG interchange format bitstream is present, then this Field points to the Start of Image (SOI) marker code.

If this Field is zero or not present, a JPEG interchange format bitstream is not present.

### ***JPEGInterchangeFormatLength***

Tag = 514 (202.H)

Type = LONG

N = 1

This Field indicates the length in bytes of the JPEG interchange format bitstream. This Field is useful for extracting the JPEG interchange format bitstream without parsing the bitstream.

This Field is relevant only if the JPEGInterchangeFormat Field is present and is non-zero.

### ***JPEGRestartInterval***

Tag = 515 (203.H)

Type = SHORT

N = 1

This Field indicates the length of the restart interval used in the compressed image data. The restart interval is defined as the number of Minimum Coded Units (MCUs) between restart markers.

Restart intervals are used in JPEG compressed images to provide support for multiple strips or tiles. At the start of each restart interval, the coding state is reset to default values, allowing every restart interval to be decoded independently of previously decoded data. TIFF strip and tile offsets shall always point to the start of a restart interval. Equivalently, each strip or tile contains an integral number of restart intervals. Restart markers need not be present in a TIFF file; they are implicitly coded at the start of every strip or tile.

See the JPEG Draft International Standard (ISO DIS 10918-1) for more information about the restart interval and restart markers.

If this Field is zero or is not present, the compressed data does not contain restart markers.

### ***JPEGLosslessPredictors***

Tag = 517 (205.H)

Type = SHORT

N = SamplesPerPixel

This Field points to a list of lossless predictor-selection values, one per component.

The allowed predictors are listed in the following table.

Selection-value	Prediction
1	A
2	B
3	C
4	A+B-C
5	$A + ((B - C) / 2)$
6	$B + ((A - C) / 2)$
7	$(A + B) / 2$

A, B, and C are the samples immediately to the left, immediately above, and diagonally to the left and above the sample to be coded, respectively.

See the JPEG Draft International Standard (ISO DIS 10918-1) for more details.

This Field is mandatory whenever the JPEGProc Field specifies one of the lossless processes (no default).

### ***JPEGPointTransforms***

Tag = 518 (206.H)

Type = SHORT

N = SamplesPerPixel

This Field points to a list of point transform values, one per component. This Field is relevant only for lossless processes.

If the point transformation value is nonzero for a component, a point transformation of the input is performed prior to the lossless coding. The input is divided by  $2^{Pt}$ , where Pt is the point transform value. The output of the decoder is rescaled to the input range by multiplying by  $2^{Pt}$ . Note that the scaling of input and output can be performed by arithmetic shifts.

See the JPEG Draft International Standard (ISO DIS 10918-1) for more details. The default value of this Field is 0 for each component (no scaling).

### **JPEGQTables**

Tag = 519 (207.H)

Type = LONG

N = SamplesPerPixel

This Field points to a list of offsets to the quantization tables, one per component. Each table consists of 64 BYTES (one for each DCT coefficient in the 8x8 block). The quantization tables are stored in zigzag order.

See the JPEG Draft International Standard (ISO DIS 10918-1) for more details.

It is strongly recommended that, within the TIFF file, each component be assigned separate tables. This Field is mandatory whenever the JPEGProc Field specifies a DCT-based process (no default).

### **JPEGDCTables**

Tag = 520 (208.H)

Type = LONG

N = SamplesPerPixel

This Field points to a list of offsets to the DC Huffman tables or the lossless Huffman tables, one per component.

The format of each table is as follows:

16 BYTES of "BITS", indicating the number of codes of lengths 1 to 16;

Up to 17 BYTES of "VALUES", indicating the values associated with those codes, in order of length.

See the JPEG Draft International Standard (ISO DIS 10918-1) for more details.

It is strongly recommended that, within the TIFF file, each component be assigned separate tables. This Field is mandatory for all JPEG processes (no default).

### **JPEGACTables**

Tag = 521 (209.H)

Type = LONG

N = SamplesPerPixel



This Field points to a list of offsets to the Huffman AC tables, one per component. The format of each table is as follows:

16 BYTES of "BITS", indicating the number of codes of lengths 1 to 16;

Up to 256 BYTES of "VALUES", indicating the values associated with those codes, in order of length.

See the JPEG Draft International Standard (ISO DIS 10918-1) for more details.

It is strongly recommended that, within the TIFF file, each component be assigned separate tables. This Field is mandatory whenever the JPEGProc Field specifies a DCT-based process (no default).

## Minimum Requirements for TIFF with JPEG Compression

The table on the following page shows the minimum requirements of a TIFF file that uses tiling and contains JPEG data compressed with the Baseline process.

Tag = NewSubFileType (254) Type = Long Length = 1 Value = 0	Single image
Tag = ImageWidth (256) Type = Long Length = 1 Value = ?	
Tag = ImageLength (257) Type = Long Length = 1 Value = ?	
Tag = BitsPerSample (258) Type = Short Length = SamplesPerPixel Value = ?	8 : Monochrome 8,8,8 : RGB 8,8,8 : YCbCr 8,8,8,8 : CMYK
Tag = Compression (259) Type = Long Length = 1 Value = 6	6 : JPEG compression
Tag = PhotometricInterpretation (262) Type = Short Length = 1 Value = ?	0,1 : Monochrome 2 : RGB 5 : CMYK 6 : YCbCr
Tag = SamplesPerPixel (277) Type = Short Length = 1 Value = ?	1 : Monochrome 3 : RGB 3 : YCbCr 4 : CMYK
Tag = XResolution (282) Type = Rational Length = 1 Value = ?	
Tag = YResolution (283) Type = Rational Length = 1 Value = ?	
Tag = PlanarConfiguration (284) Type = Short Length = 1 Value = ?	1 : Block interleaved 2 : Not interleaved
Tag = ResolutionUnit (296) Type = Short Length = 1 Value = ?	
Tag = TileWidth (322) Type = Short Length = 1 Value = ?	Multiple of 8
Tag = TileLength (323) Type = Short Length = 1 Value = ?	Multiple of 8
Tag = TileOffsets (324) Type = Long Length = Number of tiles Value = ?	
Tag = TileByteCounts (325) Type = Long Length = Number of tiles Value = ?	
Tag = JPEGProc (512) Type = Short Length = 1 Value = ?	1 : Baseline process
Tag = JPEGQTables (519) Type = Long Length = SamplesPerPixel Value = ?	Offsets to tables
Tag = JPEGDCTables (520) Type = Long Length = SamplesPerPixel Value = ?	Offsets to tables
Tag = JPEGACTables (521) Type = Long Length = SamplesPerPixel Value = ?	Offsets to tables

## References

- [1] Wallace, G., "Overview of the JPEG Still Picture Compression Algorithm", Electronic Imaging East '90.
- [2] ISO/IEC DIS 10918-1, "Digital Compression and Coding of Continuous-tone Still Images", Sept. 1991.

## Section 23: CIE L\*a\*b\* Images

### What is CIE L\*a\*b\*?

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CIE L\*a\*b\* is a color space that is colorimetric, has separate lightness and chroma channels, and is approximately perceptually uniform. It has excellent applicability for device-independent manipulation of continuous tone images. These attributes make it an excellent choice for many image editing functions.

1976 CIE L\*a\*b\* is represented as a Euclidean space with the following three quantities plotted along axes at right angles:  $L^*$  representing lightness,  $a^*$  representing the red/green axis, and  $b^*$  representing the yellow/blue axis. The formulas for 1976 CIE L\*a\*b\* follow:

$$L^* = 116(Y/Y_n)^{1/3} - 16 \quad \text{for } Y/Y_n > 0.008856$$

$$L^* = 903.3(Y/Y_n) \quad \text{for } Y/Y_n \leq 0.008856 \quad \text{*see note below.}$$

$$a^* = 500[(X/X_n)^{1/3} - (Y/Y_n)^{1/3}]$$

$$b^* = 200[(Y/Y_n)^{1/3} - (Z/Z_n)^{1/3}].$$

where  $X_n$ ,  $Y_n$ , and  $Z_n$  are the CIE  $X$ ,  $Y$ , and  $Z$  tristimulus values of an *appropriate* reference white. Also, if any of the ratios  $X/X_n$ ,  $Y/Y_n$ , or  $Z/Z_n$  is equal to or less than 0.008856, it is replaced in the formulas with

$$7.787F + 16/116,$$

where  $F$  is  $X/X_n$ ,  $Y/Y_n$ , or  $Z/Z_n$ , as appropriate (note: these low-light conditions are of no relevance for most document-imaging applications). Tiff is defined such that each quantity be encoded with 8 bits. This provides 256 levels of  $L^*$  lightness; 256 levels (+/- 127) of  $a^*$ , and 256 levels (+/- 127) of  $b^*$ . Dividing the 0-100 range of  $L^*$  into 256 levels provides lightness steps that are less than half the size of a "just noticeable difference". This eliminates banding, even under conditions of substantial tonal manipulation. Limiting the theoretically unbounded  $a^*$  and  $b^*$  ranges to +/- 127 allows encoding in 8 bits without eliminating any but the most saturated self-luminous colors. It is anticipated that the rare specialized applications requiring support of these extreme cases would be unlikely to use CIELAB anyway. All object colors, in fact all colors within the theoretical MacAdam limits, fall within the +/- 127  $a^*/b^*$  range.

## The TIFF CIELAB Fields

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### Photometric Interpretation

Tag = 262 (106.H)

Type = SHORT

N = 1

8 = 1976 CIE  $L^*a^*b^*$

### Usage of other Fields.

BitsPerSample: 8

SamplesPerPixel - ExtraSamples: 3 for  $L^*a^*b^*$ , 1 implies  $L^*$  only, for monochrome data.

Compression: same as other multi-bit formats. JPEG compression applies.

PlanarConfiguration: both chunky and planar data could be supported.

WhitePoint: does not apply

PrimaryChromaticities: does not apply.

TransferFunction: does not apply

Alpha Channel information will follow the lead of other data types.

The reference white for this data type is the *perfect reflecting diffuser* (100% diffuse reflectance at all visible wavelengths). The  $L^*$  range is from 0 (perfect absorbing black) to 100 (perfect reflecting diffuse white). The  $a^*$  and  $b^*$  ranges will be represented as signed 8 bit values having the range -127 to +127.

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## Converting between RGB and CIELAB, a Caveat

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The above CIELAB formulae are derived from CIE  $XYZ$ . Converting from CIELAB to  $RGB$  requires an additional set of formulae for converting between  $RGB$  and  $XYZ$ . For standard NTSC primaries these are:

0.60700.17400.2000		R		X
0.29900.58700.1140	*	G	=	Y
0.00000.06601.1110		B		Z

Generally, D65 illumination is used and a perfect reflecting diffuser is used for the reference white.

Since CIELAB is not a directly displayable format, some conversion to  $RGB$  will be required. While look-up table accelerated CIELAB to  $RGB$  conversion is certainly possible and fast, TIFF writers may choose to include a low resolution  $RGB$  subfile as an integral part of TIFF CIELAB.

## Color Difference Measurements in CIELAB

The differences between two colors in  $L^*$ ,  $a^*$ , and  $b^*$  are denoted by  $DL^*$ ,  $Da^*$ , and  $Db^*$ , respectively, with the total (3-dimensional) color difference represented as:

$$\Delta E^*_{ab} = [(\Delta E^*)^2 + (\Delta a^*)^2 + (\Delta b^*)^2]^{1/2}.$$

This color difference can also be expressed in terms of  $L^*$ ,  $C^*$ , and a measure of hue. In this case,  $h_{ab}$  is *not* used because it is an angular measure and cannot be combined with  $L^*$  and  $C^*$  directly. A linear-distance form of hue is used instead:

*CIE 1976 a,b hue-difference,  $\Delta H^*_{ab}$*

$$\Delta H^*_{ab} = [(\Delta E^*)^2 - (\Delta L^*)^2 - (\Delta C^*)^2]^{1/2}.$$

where  $DC^*$  is the chroma difference between the two colors. The total color difference expression using this hue-difference is:

$$\Delta E^*_{ab} = [(\Delta L^*)^2 + (\Delta H^*)^2 + (\Delta b^*)^2]^{1/2}.$$

It is important to remember that color difference is 3-dimensional: much more can be learned from a  $DL^*a^*b^*$  triplet than from a single DE value. The  $DL^*C^*H^*$  form is often the most useful since it gives the error information in a form that has more familiar perception correlates. Caution is in order, however, when using  $DH^*$  for large hue differences since it is a straight-line approximation of a curved hue distance.

## The Merits of CIELAB

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### **Colorimetric.**

First and foremost, CIELAB is colorimetric. It is traceable to the internationally-recognized standard CIE 1931 Standard Observer. This insures that it encodes color in a manner that is accurately modeled after the human vision system. Colors seen as matching are encoded identically, and colors seen as not matching are encoded differently. CIELAB provides an unambiguous definition of color without the necessity of additional information such as with RGB (primary chromaticities, white point, and gamma curves).

### **Device Independent.**

Unlike RGB spaces which associate closely with physical phosphor colors, CIELAB contains no device association. CIELAB is not tailored for one device or device type at the expense of all others.

### ***Full Color Gamut.***

Any one image or imaging device usually encounters a very limited subset of the entire range of humanly-perceptible color. Collectively, however, these images and devices span a much larger gamut of color. A truly versatile exchange color space should encompass all of these colors, ideally providing support for all visible color. RGB, PhotoYCC, YCbCr, and other display spaces suffer from gamut limitations that exclude significant regions of easily printable colors. CIELAB is defined for all visible color.

### ***Efficiency***

A good exchange space will maximize accuracy of translations between itself and other spaces. It will represent colors compactly for a given accuracy. These attributes are provided through visual uniformity. One of the greatest disadvantages of the classic CIE system (and RGB systems as well) is that colors within it are not equally spaced visually. Encoding full-color images in a linear-intensity space, such as the typical RGB space or, especially, the XYZ space, requires a very large range (greater than 8-bits/primary) to eliminate banding artifacts. Adopting a *non-linear* RGB space improves the efficiency but not nearly to the extent as with a perceptually uniform space where these problems are nearly eliminated. A uniform space is also more efficiently compressed (see below).

### ***Public Domain / Single Standard***

CIELAB maintains no preferential attachments to any private organization. Its existence as a single standard leaves no room for ambiguity. Since 1976, CIELAB has continually gained popularity as a widely-accepted and heavily-used standard.

### ***Luminance/Chrominance Separation.***

The advantages for image size compression made possible by having a separate lightness or luminance channel are immense. Many such spaces exist. The degree to which the luminance information is fully-isolated into a single channel is an important consideration. Recent studies (Kasson and Plouffe of IBM) support CIELAB as a leading candidate placing it above CIELUV, YIQ, YUV, YCC, and XYZ.

Other advantages support a separate lightness or luminance channel. Tone and contrast editing and detail enhancement are most easily accomplished with such a channel. Conversion to a black and white representation is also easiest with this type of space.

When the chrominance channels are encoded as opponents as with CIELAB, there are other compression, image manipulation, and white point handling advantages.

### ***Compressibility (Data).***

Opponent spaces such as CIELAB are inherently more compressible than tristimulus spaces such as RGB. The chroma content of an image can be compressed to a greater extent, without objectionable loss, than can the lightness content. The opponent arrangement of CIELAB allows for spatial subsampling and efficient compression using JPEG.

### ***Compressibility (Gamut).***

Adjusting the color range of an image to match the capabilities of the intended output device is a critical function within computational color reproduction. Luminance/chrominance separation, especially when provided in a polar form, is desirable for facilitating gamut compression. Accurate gamut compression in a tri-linear color space is difficult.

CIELAB has a polar form (*metric hue angle*, and *metric chroma*, described below) that serves compression needs fairly well. Because CIELAB is not perfectly uniform, problems can arise when compressing along constant hue lines. Noticeable hue errors are sometimes introduced. This problem is no less severe with other contending color spaces.

This polar form also provides advantages for local color editing of images. The polar form is not proposed as part of the TIFF addition.

## ***Getting the Most from CIELAB***

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### ***Image Editors***

The advantages of image editing within a perceptually uniform polar color space are tremendous. A detailed description of these advantages is beyond the scope of this section. As previously mentioned, many common tonal manipulation tasks are most efficiently performed when only a single channel is affected. Edge enhancement, contrast adjustment, and general tone-curve manipulation all ideally affect only the lightness component of an image.

A perceptual polar space works excellently for specifying a color range for masking purposes. For example, a red shirt can be quickly changed to a green shirt without drawing an outline mask. The operation can be performed with a loosely, quickly-drawn mask region combined with a hue (and perhaps chroma) range that encompasses the shirt's colors. The hue component of the shirt can then be adjusted, leaving the lightness and chroma detail in place.

Color cast adjustment is easily realized by shifting either or both of the chroma channels over the entire image or blending them over the region of interest.

### ***Converting from CIELAB to a device specific space***

For fast conversion to an RGB display, CIELAB can be decoded using 3x3 matrixing followed by gamma correction. The computational complexity required

for accurate CRT display is the same with CIELAB as with extended luminance-chrominance spaces.

Converting CIELAB for accurate printing on CMYK devices requires computational complexity no greater than with *accurate* conversion from any other colorimetric space. Gamut compression becomes one of the more significant tasks for any such conversion.



# Part 3: Appendices

Part 3 contains additional information that is not part of the TIFF specification, but may be of use to developers.

## Appendix A: TIFF Tags Sorted by Number

TagName	Decimal	Hex	Type	Number of values
NewSubfileType	254	FE	LONG	1
SubfileType	255	FF	SHORT	1
ImageWidth	256	100	SHORT or LONG	1
ImageLength	257	101	SHORT or LONG	1
BitsPerSample	258	102	SHORT	SamplesPerPixel
Compression	259	103	SHORT	1
Uncompressed	1			
CCITT 1D	2			
Group 3 Fax	3			
Group 4 Fax	4			
LZW	5			
JPEG	6			
PackBits	32773			
PhotometricInterpretation	262	106	SHORT	1
WhiteIsZero	0			
BlackIsZero	1			
RGB	2			
RGB Palette	3			
Transparency mask	4			
CMYK	5			
YCbCr	6			
CIELab	8			
Thresholding	263	107	SHORT	1
CellWidth	264	108	SHORT	1
CellLength	265	109	SHORT	1
FillOrder	266	10A	SHORT	1
DocumentName	269	10D	ASCII	
ImageDescription	270	10E	ASCII	
Make	271	10F	ASCII	
Model	272	110	ASCII	
StripOffsets	273	111	SHORT or LONG	StripsPerImage
Orientation	274	112	SHORT	1
SamplesPerPixel	277	115	SHORT	1
RowsPerStrip	278	116	SHORT or LONG	1
StripByteCounts	279	117	LONG or SHORT	StripsPerImage
MinSampleValue	280	118	SHORT	SamplesPerPixel
MaxSampleValue	281	119	SHORT	SamplesPerPixel
XResolution	282	11A	RATIONAL	1
YResolution	283	11B	RATIONAL	1
PlanarConfiguration	284	11C	SHORT	1
PageName	285	11D	ASCII	
XPosition	286	11E	RATIONAL	
YPosition	287	11F	RATIONAL	
FreeOffsets	288	120	LONG	
FreeByteCounts	289	121	LONG	
GrayResponseUnit	290	122	SHORT	1

GrayResponseCurve	291	123	SHORT	2**BitsPerSample
T4Options	292	124	LONG	1
T6Options	293	125	LONG	1
ResolutionUnit	296	128	SHORT	1
PageNumber	297	129	SHORT	2
TransferFunction	301	12D	SHORT	{1 or SamplesPerPixel}* 2** BitsPerSample
Software	305	131	ASCII	
DateTime	306	132	ASCII	20
Artist	315	13B	ASCII	
HostComputer	316	13C	ASCII	
Predictor	317	13D	SHORT	1
WhitePoint	318	13E	RATIONAL	2
PrimaryChromaticities	319	13F	RATIONAL	6
ColorMap	320	140	SHORT	3 * (2**BitsPerSample)
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Copyright	33432	8298	ASCII	Any

## Appendix B: Operating System Considerations

### *Extensions and Filetypes*

---

The recommended MS-DOS, UNIX, and OS/2 file extension for TIFF files is “.TIF”.

On an Apple Macintosh computer, the recommended Filetype is “TIFF”. It is a good idea to also name TIFF files with a “.TIF” extension so that they can easily be imported if transferred to a different operating system.

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*FlashPix Format  
Specification*

**Version 1.0**

September 11, 1996

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For change requests, please send e-mail to “format\_change\_request@kodak.com”

A public listserver has been established for interested parties to share information and ideas regarding the *FlashPix* format. To subscribe to this listserver do the following:

1. Compose an e-mail message to “maillist@pixel.kodak.com”
2. The note should not contain a subject line and should have only one line in the body as follows:  
  
subscribe FORMAT firstname lastname (i.e. subscribe FORMAT John Doe)
3. Whatever address you send this note from will be the address all listserver messages are sent to (i.e. john\_doe@kodak.com)
4. A confirmation message will be sent back to you containing instructions on how to communicate with the listserver.



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# SECTION 1

## *Introduction*

---

### 1.1 Purpose

---

This document is the technical specification that defines the image file format for *FlashPix* images. The effort to define the *FlashPix* format is a cooperative endeavor that includes the Eastman Kodak Company, Microsoft Corporation, the Hewlett-Packard Company, and Live Picture, Inc. The *FlashPix* format builds on the best features of existing formats (Kodak Image Pac, Live Picture IVUE, Hewlett-Packard JPEG, TIFF, TIFF/EP, and so on), and combines these features with an object orientation and other powerful new capabilities. The *FlashPix* format enables the industry to deliver desktop computer solutions that make it easy, enjoyable, and commonplace to use digital color photographic images in offices and homes.

### 1.2 Specification Organization

---

This document is divided into several sections, each isolating one aspect of the *FlashPix* specification. The sections are as follows:

- *Section 1: Introduction* defines structured storage.
- *Section 2: Image Data Representation* describes the resolution hierarchy and the organization of image data into tiles.
- *Section 3: The FlashPix Image Object* describes the format, in terms of Structured Storage, of the *FlashPix* image object.

- *Section 4: Image Data Format* describes the format of the individual streams used to store the actual image data.
- *Section 5: Color Space Specifications* defines the PhotoYCC and NIF RGB color spaces.
- *Section 6: Image Info Property Set* describes the non-image information in a *FlashPix* image.
- *Section 7: FlashPix Image View Object* defines the *FlashPix* image view object. This object allows a default view (including orientation, crop and color adjustment) to be specified for a *FlashPix* image without modifying pixel values.
- *Appendix A: Structured Storage* defines the binary format of structured storage files and relevant data structures.

---

## 1.3 Conventions

---

The following conventions are used in this document.

- All numbers starting with a “0x” are in hexadecimal.
- Special characters in strings are indicated using the octal format, where any three digit number preceded by a “\” represents the ASCII value of the character in octal. For example, a newline character would be represented by “\012.”
- Spaces in strings are explicitly indicated using their octal representation, “\040.”
- Stream and storage names are specified in standard C language “printf” syntax.

---

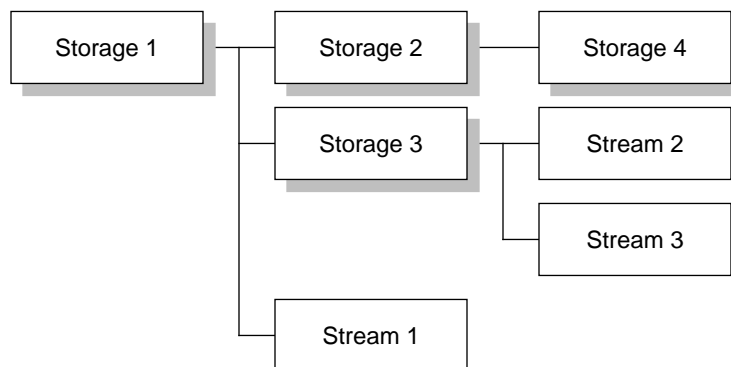
## 1.4 Structured Storage

---

The *FlashPix* format is based on a compound object storage model called structured storage. A file in structured storage format contains two types of objects: storages and streams. Storages are analogous to directories in a file system; streams are analogous to files. A storage may contain both zero or more additional storages and zero or more streams. The streams and storages in a *FlashPix* file are individually addressable. Figure 1.1 shows the convention used in this document to illustrate storages and streams.

FIGURE 1.1

## Conventions for storages and streams in illustrations



The entire structured storage file appears in the host file system as one file. In this example, storage 1 represents the root storage. It is the highest level storage of the file and is the entity that is visible in the host file system. It contains two storages (2 and 3) and one stream (1). Storage 2 contains one empty storage (4). Storage 3 contains two streams (2 and 3).

All entities in a *FlashPix* file have a class ID that identifies the type of the object. Class IDs are defined as globally unique identifiers (GUID's). They are represented as 128 bit numbers that are considered impossible to duplicate. GUID's are generated using the algorithm specified for the generation of universal unique identifiers for remote procedure calls[17].

### 1.4.1 Property Sets

Structured storage defines property sets as a stream for storing tagged data. The *FlashPix* format uses this mechanism extensively for storing data other than actual pixel values.

As defined, property sets are very flexible. All property sets must be in Windows Format. Windows format is indicated in the property set header by setting the *wByteOrder* field to 0xFFFFE and the *wFormat* field to 0x0. Furthermore, the codepage must be written into the requisite property (PID = 1) in each and every *FlashPix* property set as described below. A binary specification of property sets is include in this specification in Section A.2.

With the sole exception of the OLE standard Summary Information Property Set each and every *FlashPix* property set must be in the Unicode (1200) codepage, and all strings in that set must be stored as wide 16-bit characters (LPWSTR). Due to its origin and use in non-*FlashPix* applications, the Summary Information Property set has different conditions than all other property sets. This property set must be in the Western European ANSI (1252) code page, and all strings in it must be stored as 8-bit characters (LPSTR). For legacy reasons, all *FlashPix* property set readers must be able to handle a 1200 codepage in the Summary Information Property set as if it was 1252. (Note - this

last restriction will not introduce any character shifts since the 8-bit subsection of the Unicode codepage is exactly the 1252 codepage.)

The properties defined for each property set are listed in the property set definition. All property ID codes not explicitly listed for the property set are reserved for registered extensions. Where valid property values are listed, those not explicitly listed are reserved for registered extensions.

## 1.4.2 Summary Information Property Set

Stream name:            \005SummaryInformation  
 Class ID:               F29F85E0-4FF9-1068-AB91-08002B27B3D9  
 Format ID:               F29F85E0-4FF9-1068-AB91-08002B27B3D9

Structured storage defines one property set that can be found in every *FlashPix* object to provide a basic level of information about the object. This summary information property set is used in *FlashPix* image objects and *FlashPix* image view objects. This property set is in general use in OLE. It must be in the Western European ANSI (1252) codepage. Because of this restriction, this property set is not truly internationalizable in version 1.0 of the *FlashPix* specification.

The property set must also have at least one section that has a format ID the same as the class ID. The properties of the summary information property set are listed in Table 1.1.

### **Title property (optional)**

This property is available for the application to record the object title.

### **Subject property (optional)**

This property is available for the application to record the subject of the object.

### **Author property (optional)**

This property is available for the application to record the author of the object.

### **Keywords property (optional)**

This property is available for the application to record keywords about the object.

### **Comments property (optional)**

This property is available for the application to record comments about the object.

### **Template property (optional)**

This property is not used with *FlashPix* objects.

### **Last saved by property (optional)**

This property is available for the application to record the name of the user who last saved the object.

### **Revision number property (optional)**

This property is available for the application to record the number of times the object has been saved.

TABLE 1.1

Valid properties of the summary information property set

Property Name	ID Code	Type
Title	0x00000002	VT_LPSTR
Subject	0x00000003	VT_LPSTR
Author	0x00000004	VT_LPSTR
Keywords	0x00000005	VT_LPSTR
Comments	0x00000006	VT_LPSTR
Template	0x00000007	VT_LPSTR
Last saved by	0x00000008	VT_LPSTR
Revision number	0x00000009	VT_LPSTR
Total editing time	0x0000000A	VT_FILETIME
Last printed	0x0000000B	VT_FILETIME
Create time/date	0x0000000C	VT_FILETIME
Last saved time/date	0x0000000D	VT_FILETIME
Number of pages	0x0000000E	VT_I4
Number of words	0x0000000F	VT_I4
Number of characters	0x00000010	VT_I4
Thumbnail	0x00000011	VT_CF
Name of creating application	0x00000012	VT_LPSTR
Security	0x00000013	VT_I4

**Total editing time property (optional)**

This property is available for the application to record the duration of an object editing session.

**Last printed property (optional)**

This property is available for the application to record when the object was last printed.

**Create date/time property (optional)**

This property is available for the application to record the creation date and time for the object. This value should not be updated after it is initially written.

**Last saved date/time property (optional)**

This property is available for the application to record the date and time that the object is saved. It is strongly recommended that this property be used in *FlashPix* objects.

**Number of pages property (optional)**

This property is not used in *FlashPix* objects.

**Number of words property (optional)**

This property is not used in *FlashPix* objects.

**Number of characters property (optional)**

This property is not used in *FlashPix* objects.

**Thumbnail property (required in some situations)**

This property is available for the application to record a small bitmap representation of the *FlashPix* object. For the *FlashPix* image view object, the thumbnail property is optional for the summary information property set if this *FlashPix* image view points to a non-hierarchical source image object written in an embedded capture environment. The thumbnail is otherwise required for *FlashPix* image view objects. The thumbnail is optional for the source and result *FlashPix* image objects.

- Thumbnail data should reflect image contents within the thumbnail format limits and must be oriented the same way as the object it is contained in. Refer to Sections 3.1.2 and 7.1 for additional information about how the thumbnail property is used.
- The thumbnail image is stored in CF\_DIB format which is a simple rectangular array of pixels with a small header as defined in [23].
- For single channel images (including opacity only images), treat them as monochrome without an opacity channel for purposes of the thumbnail.
- For multicolored images, all pixels are stored in 24 bit (bi.BitCount = 24) BGR format (in the NIF RGB color space). For single channel images, all pixels are stored in 8 bit (bi.BitCount = 8) format.
- Palettized color representations are not allowed for 24 bit DIB's. However, for single channel thumbnails, a palette entry must be provided which serves as the 8 to 24 bit identity lookup table. It is highly suggested that this palette be a pure grayscale ramp of exactly 256 RGBQUAD elements (e.g. biClrUsed = 0) running from black to white. The palette should consist of a sequence of 256 32-bit RGBQUAD structures [x,x,x,0] for all x running from 0 to 255. Note that DIB palettes require the fourth (reserved) channel to be identically zero as defined in [23].
- The thumbnail image data is stored uncompressed.
- For images with an opacity channel in addition to image data channels, the thumbnail should be stored as if it had been composited on a fully opaque white background.
- The larger of the thumbnail stored height and width must be 96 pixels. The image should be resized to this dimension instead of padding a smaller image. It is not required to pad the smaller dimension to 96 pixels.

**Name of creating application property (optional)**

This property is available to the application to record the name of the application that created the object. It is strongly recommended that this property be used in *FlashPix* objects.

**Security property (optional)**

This property is not used in *FlashPix* objects.

### 1.4.3 File identification

A *FlashPix* file must be an image view object and its object type class ID must be stored in the root storage header. Many object based systems (e.g. OLE) will use the class ID found in the header of the root storage as a key for launching an application. In this way an application can be designated to handle all files of this object type by default, regardless of their creator.

The *FlashPix* image view object and *FlashPix* image object storages are required to have a CompObj type stream. Their object type class ID is required to be stored in the clipboard format field of that stream as well as in the header of the storage. The clipboard format field is what should be used to determine the class ID of these objects.

In non-OLE environments, *FlashPix* format files are identified by other means; including file name extensions and file types.

Macintosh systems use a file type designation to identify file content [24]. The file type is a 4-character code stored with each file. For example, the code "TEXT" indicates that the file contains ASCII text. The Macintosh also associates a "file creator" with each file. This is also a 4-character code. It identifies the application that created the file.

These two codes are stored in the Finder Desktop Database [24]. When a file is double-clicked, the Finder uses the file's creator ID to determine its associated application. On the Macintosh, *FlashPix* files have been registered with a file type of "FPix" (Hex) 46506978.

On platforms (e.g., UNIX) that do not support GUIDs or file types as a means of associating files and applications/components, file extensions should be used. These are specified by the user as a period (".") followed by up to 3 letters. For example, an extension of (".FPX") can be used to indicate that the file contains a *FlashPix* file.

### 1.4.4 OS-level file treatment in Windows or with OLE

It is recommended that in Windows or OLE-enabled environments, core *FlashPix* files be managed independent of their creating application and that the user receives some control over which *FlashPix* reader becomes the server for *FlashPix* files.

The former can be accomplished by writing and saving core *FlashPix* files using the class ID of the *FlashPix* object type in the header portion of the root storage. Such files should also use a '.FPX' extension. Cases where it is more appropriate to use the creating application's class ID as the root storage class ID include: images with significant use of an application's extensions, user approval via explicit prompting or preference setting, or for images used in a closed system.

In OLE-enabled environments, core *FlashPix* reader applications should be able to register as the server application for the *FlashPix* image view object class ID. If all core *FlashPix* readers did this, the last installed application would become the default server application. Due to the confusion this can cause the user, it is strongly recommended

that the installation procedure for a core *FlashPix* reader application offer it as the default *FlashPix* application. The installation would not insert the new application as the server of the *FlashPix* image view object class ID unless the user agreed. Whenever possible, the installation procedure would also register the application as serving the .FPX extension.

### 1.4.5 *FlashPix* Streams

*FlashPix* class IDs are used to identify the type of an entity. Unfortunately, standard structured storage streams do not have a class ID. To deal with this problem a *FlashPix* stream differs from an OLE stream in that the first 28 bytes of the actual stream contain header information, part of which is the class ID of the stream. These bytes are not counted when determining offsets into the stream nor when determining the stream length. For example, the first byte of data in a *FlashPix* stream is stored in byte 28 of the actual stream (the first byte is byte 0). These 28 bytes are in the format of a property set header, as defined in Section A.2.1.1.

Note that property sets, on the other hand, do have a class ID field, and thus do not need modification. Property sets in the *FlashPix* format are not stored as *FlashPix* streams, but as standard streams. However, the header of a *FlashPix* stream is the same as the header of a property set.

### 1.4.6 String and Character Representation

There are numerous fields in the *FlashPix* format that contain character strings. These may be broken down into two general classes: structured storage related names such as stream and storage pathnames, and descriptive strings stored in property sets such as image title, film type and keywords.

To promote the ability to transfer *FlashPix* images internationally, nearly all strings in the *FlashPix* format are stored in the Unicode format. However, to promote the ability to transfer *FlashPix* files among different operating systems, all structured storage related names are required to be in the 7-bit ASCII compatible part of Unicode. Descriptive strings are allowed a much wider range, since they can be ignored outside the language they were generated in.

#### 1.4.6.1 Storage and Stream Names

The *FlashPix* file is built on top of structured storage files, which in turn are built on top of the host file system. Hence, it must follow the conventions placed on stream and storage names, and the file system names.

Each structured storage file has a single root storage. The name of the file root storage may be any valid storage name. However, it is recommended that the file name in the host file system be used.

Names of *FlashPix* streams contained within storage objects are managed by the implementation of the particular storage object in question. Names are stored case-preserving,



but are compared case-insensitive. As a result, all *FlashPix* writers which define storage and stream names must choose names which will work in either situation. *FlashPix* streams and storage names may be up to 31 characters in length.

Although storage and stream names are actually stored as 16-bit Unicode characters, the characters must be within the lower 7-bit ASCII range with the following additional restrictions:

- The names “.” and “..” are reserved for future use.
- The four characters “\”, “/”, “:”, or “!” are not allowed.

Restricting such string names to 7-bit ASCII greatly promotes interoperability across different platforms without significantly impacting internationalization, as these stream and storage names are rarely exposed to the user.

In addition, the name space in a storage is partitioned into different areas of ownership. Different pieces of code have the right to create elements in each area of the name space:

- Storage and stream names beginning with characters “\001” through “\004” are reserved for OLE.
- Property set names must begin with the “\005” character.
- Storage and stream names beginning with character “\006” through “\037” are reserved for OLE or for future use.
- Any other character may be used to begin a storage or stream name.

#### 1.4.6.2 Property Set Code Page and Strings

The other major area string names kept in the *FlashPix* format are in property sets. Property sets are defined with a “code page” specifying the type of characters allowed, and numerous strings. All strings in the property set share the same code page.

All property sets in the *FlashPix* format must belong to the Unicode code page, as specified in Section 1.4.1. The code page ID must be stored in property ID 0x00000001 in all *FlashPix* property sets. These property sets are defined in the rest of this document. Hence it is an error to not specify the code page, or to specify it with any other value than 0x04B0, in any property set in a *FlashPix* file, as described in Section A.2.2.2. However, applications may write application specific property sets in a *FlashPix* file. Only the stream names for the private extensions are not required to be in the Unicode code page, although this is strongly recommended. Note that the count at the start of VT\_LPWSTR is to be interpreted as a character count, not a byte count. The count includes the null character at the end of the string.

Descriptive strings such as names, camera types, and subjects are not required to be within the 7-bit ASCII subset of Unicode. They are more often displayed and manipulated by the user, and it is a requirement that the storage of the full character set is supported.

However, it is not required that a non-internationalized application be able to display and manipulate characters outside the ASCII and local character set. It is perfectly

acceptable for a German *FlashPix* reader/writer, for instance, to display question marks or similar symbols if passed Kanji characters. However, it is required that all Unicode characters be preserved on copying. If that string was not modified by the German user, it must remain readable under a Japanese version of the application.

String properties may be stored as either 8-bit strings (VT\_LPSTR) or 16-bit strings (VT\_LPWSTR). Note because of Unicode compatibility, the upper 128 elements in an 8-bit string fall into the Western-European 8-bit character range in the Unicode code page[20]. Hence, strings in those languages may be adequately stored as 8-bit strings. Characters from other languages (Greek, Russian, Japanese, for example) must be stored in 16-bit strings.

All *FlashPix* property set readers must be able to read either 8 or 16 bit (Unicode) strings for any property. This imposes a requirement that all property set readers be able to convert 8 or 16 bit strings into the desired internal format for the application. It is advised that 16-bit strings be utilized whenever possible.

## 1.5 Format Compliance

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The *FlashPix* image format has a core definition and defined extensions. *FlashPix* files, reader software, and writer software must be compliant with the core definition and may optionally support one or more extensions.

The core *FlashPix* format definition specifies the required and optional data elements and allowed data values that compose *FlashPix* files and default actions of *FlashPix* reader software:

- Core *FlashPix* files must contain all required core *FlashPix* data elements and any of the core *FlashPix* optional data elements using only those values enumerated in the core *FlashPix* definition.
- Core *FlashPix* reader software must read all valid core *FlashPix* file permutations and take all default actions defined in the core *FlashPix* specification.
- Core *FlashPix* writer software must write at least one valid core *FlashPix* file permutation.

Extensions to the core *FlashPix* format may be defined to add features that are not supported in the core *FlashPix* definition. Each extension must be defined in a way which does not prevent core *FlashPix* reader software from productively interpreting *FlashPix* files with the extension present. Core *FlashPix* reader software that doesn't support a particular extension will ignore its added data elements and will resort to default actions when non-core values are present in core data elements.

Extensions to the *FlashPix* format are characterized by the feature capability being added and defined by the required and optional data elements and values associated with the feature. An extended *FlashPix* file meets the definition of core *FlashPix* files, but has additional data elements for the extensions present in the file. Extended *FlashPix*

reader software is core *FlashPix* reader software with additional capability to productively interpret one or more defined *FlashPix* extensions. Extended *FlashPix* writer software is core *FlashPix* writer software with additional capability to create the data elements associated with one or more defined *FlashPix* extensions.

*FlashPix* extensions may either be registered or private. Registered extensions are collaboratively defined via the the *FlashPix* format Advisory Council and published publicly as separate documents from the core *FlashPix* format specification. Private extensions are defined by any interested party and shared at their discretion.

## 1.6 *FlashPix* File Overview

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A core *FlashPix* file is composed of a *FlashPix* image view object which contains scriptable image transforms, a source *FlashPix* image object, and, optionally, a result *FlashPix* image object containing the result of applying the transforms to the source *FlashPix* image object. The *FlashPix* image object is defined in *Section 3: The FlashPix Image Object* and the remainder of the *FlashPix* image view object is defined in *Section 7: FlashPix Image View Object*. Those storages and streams in italics are optional or optional under specific circumstances.

Figure 1.2 is an overview of a *FlashPix* file, a *FlashPix* image view object. Figure 1.3 describes the content of each *FlashPix* image object in the *FlashPix* image view object and Figure 1.4 describes the content of each resolution storage in the *FlashPix* image objects.

FIGURE 1.2

FlashPix image view object

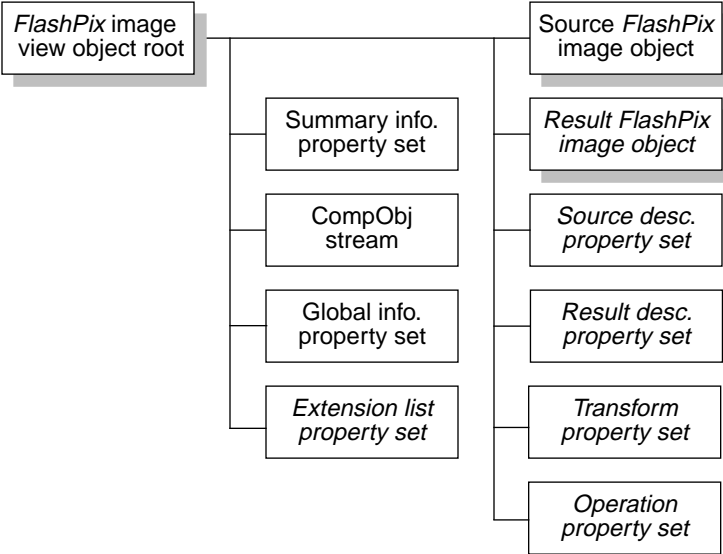


FIGURE 1.3

FlashPix image object

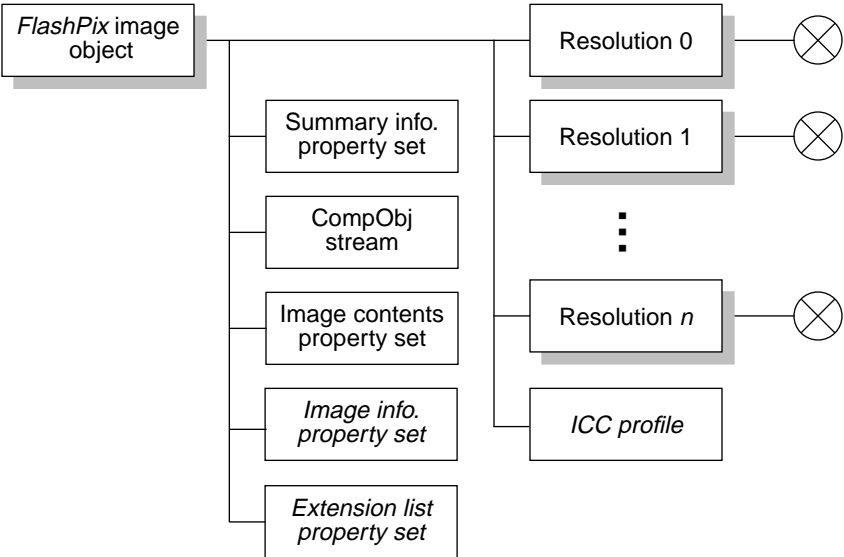
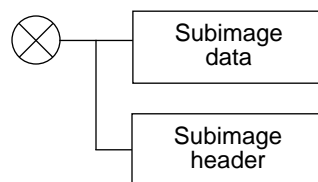


FIGURE 1.4

## Contents of a resolution storage



### 1.6.1 Extension management

Both the *FlashPix* image view object and the *FlashPix* image objects may be independently extended. The extensions associated with each object are recorded in that object's extension list property set. Extensions to the *FlashPix* image view object may be located anywhere within the *FlashPix* file, even within a *FlashPix* image object. It is, however, not recommended that a *FlashPix* image view object extension place all of its data elements within a *FlashPix* image object. Extensions to a *FlashPix* image object must be entirely within the *FlashPix* image object.

*FlashPix* reader software must be able to interpret the extension list property sets and may also be an extended reader with the capability to interpret specific extensions which may be present in extended objects.

A particular *FlashPix* extension may not be valid if the core *FlashPix* data elements are edited. Each extension must have its persistence defined by the authoring application. The extension is marked as either: valid independent of any edits, invalid upon edits, or potentially invalid upon edits.

When opening a *FlashPix* file, the reader software should determine if there are any extensions present in the file which it does not support and which will become invalid or potentially invalid upon editing. If there are such extensions in the file, the user should be informed that the file is extended and that edits to the file may cause the loss of some of the extensions. For each extension that the reader software supports and which is marked as potentially invalid upon edits, the modification date of the extended object (in its summary info property set) must be compared to the extension modification date. If the extended object's modification date is more recent than that of the extension, the reader must determine if the extension is still valid. If it is not, it must either be updated or all of its data elements listed in the extension list property set must be deleted.

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SECTION  
**2** *Image Data  
Representation*

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## 2.1 Coordinate systems

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*FlashPix* files store image data in a hierarchy of resolutions from the highest available for an image down to the lowest defined in the format. Image editing operations need to be supported within an individual resolution, but must also be applied to all other resolutions.

Therefore, two different coordinate systems are defined: resolution-independent and resolution-dependent.

### 2.1.1 Resolution-Independent Coordinates

In some situations, the image must be described by a coordinate system independent of the pixel.

Figure 2.1 shows a resolution-independent coordinate system. The image is described in a Cartesian system, with the X-axis horizontal and pointing to the right, the Y-axis vertical and pointing downward, and the origin at the upper left corner. The scale is such that the height of the image is normalized to 1.0. To keep the scale of the X-axis and the Y-axis the same, the image width is its aspect ratio (width/height). Thus, a square part of any image has equal width and height in this coordinate system.

FIGURE 2.1



### 2.1.2 Resolution-Dependent Coordinates

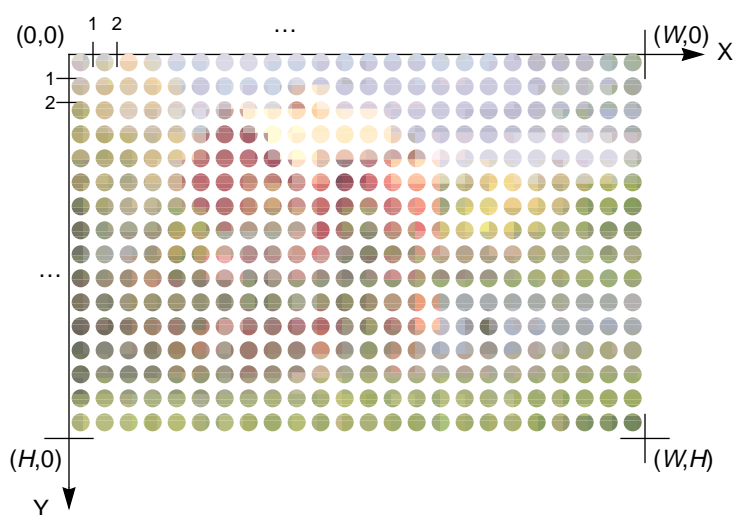
At a given resolution, the normalized coordinate system described above must be converted to a set of discrete pixels. Then the continuous resolution-dependent coordinate system in Figure 2.2 is used. This is simply a scaled version of the previous coordinates. The values  $(x, y)$  in this coordinate system are still real (floating point) numbers.

To define the actual pixels of the image, an integer grid is overlaid on the coordinate system. The discrete pixel referred to by  $(i, j)$ , where  $i$  and  $j$  are integers, is centered at location  $(i+0.5, j+0.5)$ . The half-unit shift makes the conversion between discrete and continuous descriptions simple. The point  $(x, y)$  falls in the unit square labeled  $(\lfloor x \rfloor, \lfloor y \rfloor)$  and containing the pixel at  $(\lfloor x \rfloor + 0.5, \lfloor y \rfloor + 0.5)$ . No rounding is required.



FIGURE 2.2

Resolution-dependent coordinates



## 2.2 Multiple resolutions

A *FlashPix* file must contain either a single resolution or the entire multi-resolution hierarchy. Each resolution in the full hierarchy is separated from the next higher resolution version by a spatial factor of  $2\times$  in both the  $x$  and  $y$  directions.

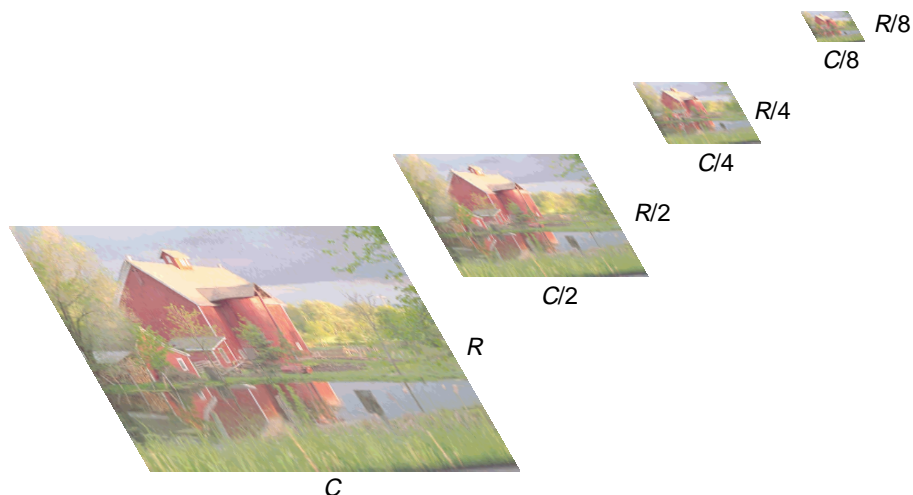
The series of resolutions continues until both the width and height of the smallest resolution are less than or equal to the width and height of a tile, 64 pixels. In the Figure 2.3 example, the tile width is smaller than  $R/4$  but no smaller than  $R/8$ .

In Figure 2.3, the full resolution image is  $R$  rows  $\times$   $C$  columns. The actual spatial resolution (in pixels per inch, for example) is irrelevant, since neither the desired output size nor the output resolution is known. Each successively smaller resolution has half the number of rows and columns as the previous resolution. In this example, the second resolution is  $R/2$  rows  $\times$   $C/2$  columns.

Note that the *FlashPix* format uses centered subsampling. The pixels in resolution  $i-1$  fall between the pixels in resolution  $i$ .

FIGURE 2.3

Sample resolution hierarchy



## 2.2.1 Resolution sizes

The size of a decimated image is determined from equation 2.1, where  $(w_0, h_0)$  is the width and height of the larger resolution and  $(w_1, h_1)$  are the width and height of the smaller resolution:

$$(w_1, h_1) = \left( \left\lfloor \frac{(w_0 + 1)}{2} \right\rfloor, \left\lfloor \frac{(h_0 + 1)}{2} \right\rfloor \right) \quad (2.1)$$

Note that this rounding affects the size of the image in resolution independent coordinates. The height of the largest resolution image is defined to be 1.0. Using the rounding method in Equation 2.1, the height of one resolution given the height of the next largest resolution can be determined as follows, where  $h_0$  is the height of the larger resolution in resolution independent coordinates,  $p_0$  is the height of the larger resolution in pixels,  $h_1$  is the height of the next smaller resolution in resolution independent coordinates, and  $p_1$  is the height of the next smaller resolution in pixels, as defined by Equation 2.1:

$$h_1 = \frac{2p_1}{p_0} \times h_0 \quad (2.2)$$

Failing to make this correction to the height and width of the image (in resolution independent coordinates) when dealing with resolutions other than the largest resolution may cause slight errors in the alignment of the multiple resolutions of the image.

### 2.2.2 Non-Hierarchical *FlashPix* Images

This specification also defines a non-hierarchical version of *FlashPix* images. In some cases, such as in the case of a digital camera, the system has neither the computing power to generate the full hierarchy nor the space to store the additional resolutions. In these cases, only the full-resolution image is stored. However, when this image can be used in an interactive manner, the full hierarchy must be constructed. This may happen in an acquire module accessing the device, in a separate converter program, or when an interactive core reader application opens the image and finds that its hierarchy does not exist. A result image object, as defined in Section 7.1, also must be hierarchical when used in an interactive environment.

Non-hierarchical *FlashPix* images differ from fully hierarchical *FlashPix* images in that there is only one resolution. This distinction is specified in Section 3.1.5.1.

## 2.3 Tiling

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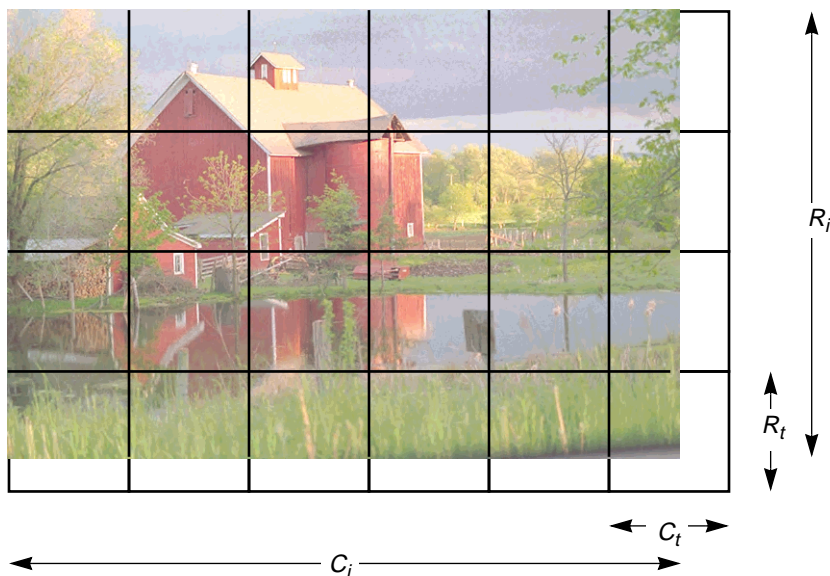
In addition to providing the image at several resolutions, each resolution image is organized into tiles to provide more efficient access to any portion of the image.

### 2.3.1 Breaking an Image into Tiles

Figure 2.4 shows an image divided into tiles.

FIGURE 2.4

A tiled image



The example image is  $R_i$  rows  $\times$   $C_i$  columns, organized into  $R_t$  row  $\times$   $C_t$  column tiles. For any image, the number of tiles per row ( $N_R$ ) and the number of tiles per column ( $N_C$ ) are:

$$N_R = \left\lceil \frac{R_i}{R_t} \right\rceil \quad N_C = \left\lceil \frac{C_i}{C_t} \right\rceil \quad (2.3)$$

For the image shown in Figure 2.4,  $N_R = 4$  and  $N_C = 6$ .

However, it is unlikely that the image size will be a multiple of the tile size. As illustrated in Figure 2.4, the tiles on the right and bottom of the image will only partially contain valid image data. Since only full tiles can be stored in a *FlashPix* image, incomplete tiles must be padded to the full tile width and height. Tiles should be padded with values extruded from the image itself. For example, pixels to the right of the image should be padded with the value of the rightmost pixel in each row. Pixels specifying opacity data should be padded just as if they were image data, as the actual image size is specified by the actual width and height, not by the opacity data.

To access a particular pixel, the tile containing that pixel must first be located. To calculate the tile, described by the coordinate pair  $(T_C, T_R)$ , where the upper left tile is  $(0, 0)$  and the lower right tile is  $(N_C - 1, N_R - 1)$ , containing the pixel  $(c+0.5, r+0.5)$ , use the following formulas:

$$T_R = \left\lfloor \frac{r}{R_t} \right\rfloor \quad T_C = \left\lfloor \frac{c}{C_t} \right\rfloor \quad (2.4)$$

## Section 2: Image Data Representation

The tiles are numbered sequentially, starting at the upper left tile and proceeding from left to right and top to bottom. The number of the tile ( $T_C, T_R$ ),  $T$ , is given by the following formula:

$$T = T_R \times N_C + T_C \quad (2.5)$$

Once the correct tile has been located, the location ( $c', r'$ ) of the unit square containing the desired pixel, with respect to the tile, can be determined:

$$r' = r \% R_T \quad c' = c \% C_T \quad (2.6)$$

where '%' represents the modulus operator.

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SECTION  
**3** *The FlashPix Image  
Object*

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### 3.1 *FlashPix* Image Object Structure

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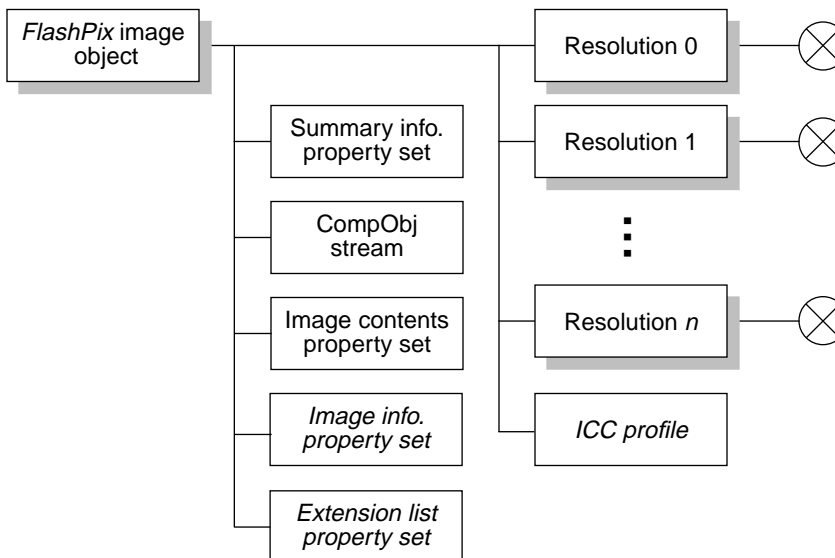
The *FlashPix* image object is a storage whose contents are together treated as an image in the *FlashPix* format. Figure 3.1 shows the storages and streams in a *FlashPix* image object.

Storage name: Data\040Object\040Store\040%06d  
Class ID: 56616000-C154-11CE-8553-00AA00A1F95B

The single numeric parameter in the storage name represents the index of the image as described in Section 7.1.2. This class ID is to be used for all *FlashPix* image objects whether or not they contain any extensions.

FIGURE 3.1

FlashPix image object storages and streams



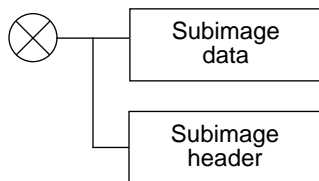
### 3.1.1 Resolution Storages

Storage name: Resolution\040%04d  
 Class ID: 56616100-C154-11CE-8553-00AA00A1F95B

The decimal parameter in the storage name is the resolution number. Resolutions are numbered in sequence starting at 0, which is the lowest resolution.

FIGURE 3.2

Contents of a resolution storage



This series of storages contains the image data for each resolution. Storage contents are the same for every resolution, as implied by the connection point in Figure 3.1 and Figure 3.2.



Future extensions to the *FlashPix* format may include additional subimages, but in the core definition, only one subimage is allowed. The format includes provisions for multiple subimages, which is accomplished by treating the one subimage in the core definition as subimage 0.

Each resolution storage contains a header stream and a data stream that contain all the image data for that resolution. (*Section 4: Image Data Format* describes the format of the header and data streams.) The subimage must be in the same color space and numerical format for all resolutions.

### 3.1.2 Summary Info Property Set (required)

This property set is an instance of the standard Summary Information property set, as described in Section 1.4.2. This property set must adhere to the definition specified in Section 1.4.1.

The thumbnail property value, if defined, must be representative of the image. Therefore, in the result instance of the image object, the thumbnail must have had all transforms applied to it.

### 3.1.3 CompObj Stream (required)

The CompObj stream is a standard Structured Storage stream and is not a *FlashPix* stream. The header of the stream is not extended for storage of a stream class ID. This stream is required and is defined in Section A.3. The Unicode versions of the CompObj stream fields are required.

The CompObj stream Clipboard Format field is used to store the class ID of the *FlashPix* image object. The *FlashPix* image object class ID is converted to a string for storage in the Clipboard Format field and must be bracketed by the bracket characters '{' and '}' just as returned by the OLE function StringFromGUID2.

The CompObj stream User Type field is generally used to store the User Type information from the OLE registry for the class ID. In OLE-enabled environments, the string contents should be retrieved from the OLE registry. In non-OLE-enabled environments, a string which is a user-understandable brief description of the object contents should be used.

The CompObj stream ProgID field is generally used to store the ProgID information from the OLE registry for the class ID. In OLE-enabled environments, the string contents should be retrieved from the OLE registry. In non-OLE-enabled environments, a string which identifies the program associated with the class ID should be used. This string cannot contain any spaces.

### 3.1.4 Image Info Property Set (optional)

Stream name:            \005Image\040Info  
 Class ID:               56616500-C154-11CE-8553-00AA00A1F95B  
 Format ID:              56616500-C154-11CE-8553-00AA00A1F95B

The image info property set contains properties that describe the actual image. (See *Section 6: Image Info Property Set* for a definition of this property set.)

### 3.1.5 Image Contents Property Set (required)

Stream name:            \005Image\040Contents  
 Class ID:               56616400-C154-11CE-8553-00AA00A1F95B  
 Format ID:              56616400-C154-11CE-8553-00AA00A1F95B

The image contents property set contains properties that describe how the image data is stored. The properties may appear in any order, but they are conceptually divided into three groups. The *primary description group* describes the *FlashPix* image as a whole, specifying the number of resolutions, the size of the largest resolution, etc. The *resolution description group* describes the subimage at each resolution. The *compression description group* contains image compression information.

The image contents property set is stored in standard property set format, adhering to the restrictions in Section 1.4.1. All property ID codes not explicitly listed are reserved for registered extensions.

#### 3.1.5.1 Primary description group

This group contains properties (Table 3.1) describing the *FlashPix* image object as a whole.

TABLE 3.1

Valid properties in the primary description group

Property name	ID Code	Type
Number of resolutions	0x01000000	VT_UI4
Highest resolution width	0x01000002	VT_UI4
Highest resolution height	0x01000003	VT_UI4
Default display height	0x01000004	VT_R4
Default display width	0x01000005	VT_R4
Display height/width units	0x01000006	VT_UI4

#### Number of resolutions property (required)

This property specifies the number of resolutions contained in the *FlashPix* image. This property is required. The value must be the number of resolutions in the fully populated hierarchy or one for a non-hierarchical *FlashPix* image. That single stored resolution must be the highest resolution image. Its resolution number must be the number that would be assigned to the highest resolution image if the hierarchy were fully populated

(number of resolutions property value - 1 since lowest resolution is stored as resolution 0). The number of resolutions stored for a fully populated hierarchy can be calculated as shown in Equation 3.1, where tile size is 64.

$$\left\lceil \log_2 \frac{\max(\text{width}, \text{height})}{\text{TileSize}} \right\rceil \quad (3.1)$$

#### Highest resolution width and height properties (required)

These properties specify in pixels the height and width of the highest resolution image. Values do not include the padded area if the image data is padded to tile boundaries.

#### Default display height and width properties (optional)

These properties specify the default height and width for displaying the image.

#### Display height/width units property (optional)

If the default display height and width properties are present, this property is used to define their unit of measurement. Legal values are listed in Table 3.2. If this property is not present, *FlashPix* reader software must treat the image as though the value were Inches (0x0).

TABLE 3.2

Legal display height/width units property values

Value	Meaning
0x0	Inches
0x1	Meters
0x2	Centimeters
0x3	Millimeters

#### 3.1.5.2 Resolution Description Groups

These groups, one for each stored resolution, contain properties to describe the subimage at that resolution. Table 3.3 lists the properties, where “*ii*” in the ID code is the resolution number.

TABLE 3.3

Valid properties in a resolution description group

Property name	ID code	Type
Subimage width	0x02 <i>ii</i> 0000	VT_UI4
Subimage height	0x02 <i>ii</i> 0001	VT_UI4
Subimage color	0x02 <i>ii</i> 0002	VT_BLOB
Subimage numerical format	0x02 <i>ii</i> 0003	VT_UI4   VT_VECTOR
Decimation method	0x02 <i>ii</i> 0004	VT_I4
Decimation prefilter width	0x02 <i>ii</i> 0005	VT_R4
Subimage ICC profile	0x02 <i>ii</i> 0007	VT_UI2   VT_VECTOR

**Subimage width and height properties (required)**

These properties specify the width and height of subimage in pixels. Values do not include the padded area if the image data is padded to tile boundaries.

**Subimage color property (required)**

This property specifies the color of the subimage channels at this resolution. The format of the data portion of the VT\_BLOB is shown in Table 3.4.

TABLE 3.4

**Format and fields of the subimage color property**

Field name	Length	Byte(s)
Number of subimages	4	0-3
Number of channels of subimage	4	4-7
Color of channel 0 of subimage	4	8-11
...		
Color of channel <i>last</i> of subimage	4	variable

Each color code is divided into two sections: the color space and the color. The upper 16 bits of the field specify the color space. The lower 16 bits specify the color.

Valid values for each of the color space subfields are shown in Table 3.5. If the most sig-

TABLE 3.5

**Valid color space subfield values**

Value	Meaning
0x0	Colorless (CL)
0x1	Monochrome (M)
0x2	PhotoYCC (YCC)
0x3	NIF RGB (NRGB)

nificant bit of the color space subfield is not set, then the image is calibrated to the color space as defined in *Section 5: Color Space Specifications*. If the most significant bit of the color space subfield is set, then the image channel definitions are that of the color space, but the image is not calibrated. Core reader software should provide a means for warning the application user that the image color is non-standard and unpredictable color results may occur.

Each color space is defined in *Section 5: Color Space Specifications*.

All the channels in the subimage must have the same color space value, including the most significant bit. It is not legal to create the subimage with a PhotoYCC luminance channel and a NIF RGB green channel. Core reader software must verify that the entire subimage color property value is the same for each resolution of the *FlashPix* image object.

If the subimage contains an opacity channel in addition to other color channels, the opacity channel color space codes should be that of the other channels in the subimage. For example, if an opacity channel is placed in the subimage with NIF RGB data, the complete color code for the opacity channel should be 0x00037FFE. If the subimage contains only an opacity channel, the color space should be colorless (0x0000). For example, an opacity channel alone in the subimage should have a complete color code of 0x00007FFE.

Valid values for each of the color subfields are shown in Table 3.6.

TABLE 3.6

Valid color subfield values

Color	Value	Allowed color spaces
Monochrome (M)	0x0	M
Red (R)	0x0	NRGB
Green (G)	0x1	NRGB
Blue (B)	0x2	NRGB
PhotoYCC luminance (Y)	0x0	YCC
PhotoYCC chrominance1 (C1)	0x1	YCC
PhotoYCC chrominance2 (C2)	0x2	YCC
Opacity (A)	0x7FFE	all

If the most significant bit of the color subfield (0x8000) is set, that channel is part of a subimage containing opacity data, which, in addition to being included as an additional channel, has been premultiplied into the color channels. If one color channel has been premultiplied, all channels except the opacity channel must be premultiplied. An opacity channel may never have the premultiplied flag set. In color character codes (such as R-NRGB), a lowercase “a” at the beginning of the character code indicates a channel with premultiplied opacity (for example, aR-NRGB). If the primary subimage contains an opacity channel, it must be premultiplied into the other channels.

Table 3.7 shows the valid subimage color value combinations. The channel color defini-

TABLE 3.7

**Legal subimage color values**

Description	Color codes
PhotoYCC	Y-YCC, C1-YCC, C2-YCC
PhotoYCC with premultiplied opacity	aY-YCC, aC1-YCC, aC2-YCC, A-YCC
NIF RGB	R-NRGB, G-NRGB, B-NRGB
NIF RGB with premultiplied opacity	aR-NRGB, aG-NRGB, aB-NRGB, A-NRGB
Monochrome	M-M
Monochrome with premultiplied opacity	aM-M, A-M
Opacity	A-CL

tions are expected to appear in the order in which they are listed under Color codes in the table.

**Subimage numerical format property (required)**

This property specifies the numerical formats of the image data at this resolution. The value and the image data may only be of the type VT\_UI1 (8-bit unsigned integer). All channels in the subimage must have the same numerical format. Core readers must verify that the same subimage numerical format value is given for all channels of each resolution of each subimage.

**Decimation method property (required)**

This property characterizes the quality of the decimation performed to create the images at this resolution from the next higher resolution. If the value is 0x7FFFFFFF, this resolution was decimated from the next larger resolution using the following 8-point decimation prefilter:

(-0.046734,-0.059009,0.156544,0.449199,0.449199,0.156544,-0.059009,-0.046734).

Other values indicate the number of elements in the prefilter. For example, if a 6-point filter was used to prefilter the image before decimation, this value would be 6. If this resolution is the full resolution image, the decimation method should have a value of zero.

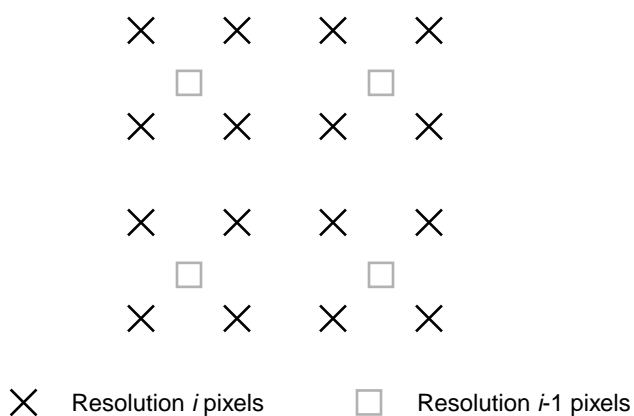
If this resolution was artificially created by interpolating from a smaller resolution (it is not recommended for images to be interchanged with other applications), the value of the decimation method property should be negative. The absolute value is the width of the filter applied to sharpen the image prior to interpolating the data. Then -1 indicates a simple interpolation without sharpening.

Correct decimation filter design depends on the choice of pixel location (Figure 3.3). The *FlashPix* format convention requires that the positions of pixels in a resolution layer (except for the full resolution layer) are offset by one half a pixel unit with respect to the resolution layer above. This can be obtained by using an even-width symmetric filter to prefilter the image before decimating. Note that most convolution code places the center of the filter at the location of the filtered pixel and that a nearest neighbor dec-

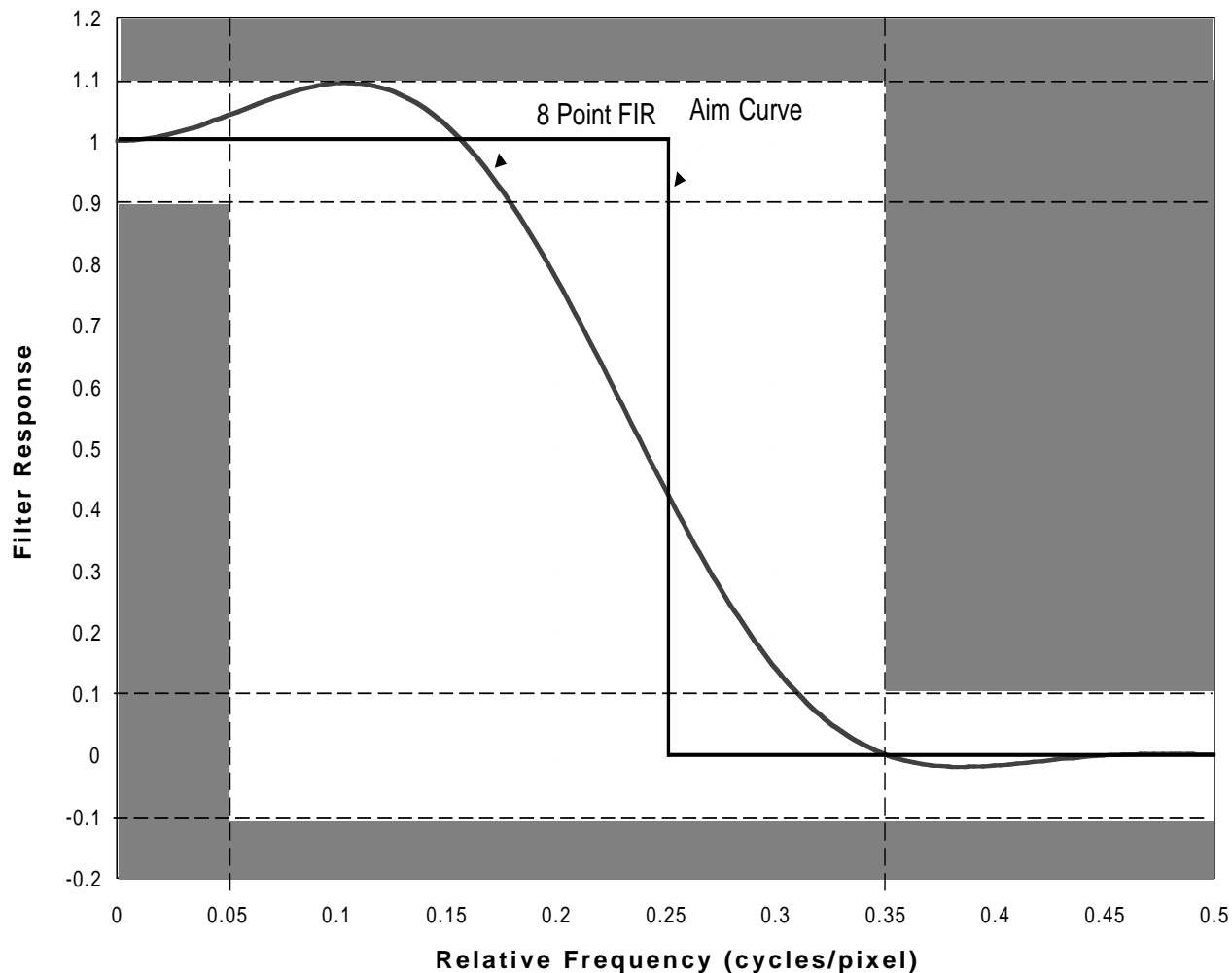
imation (such as the Windows StretchBlt function) produces incorrect pixel alignment and should not be used.

FIGURE 3.3

Example of pixel-centered alignment between adjacent resolutions



A developer may choose to design their own decimation prefilter. The filter must be a finite impulse response filter (FIR) and should be designed according to the aim frequency response curve and error bounds shown in Figure 3.4. The filter design process should achieve a prefilter frequency response curve which approximates the aim curve without ever entering the shaded region shown in the figure. A reader should assume that the image was decimated by a filter approximating this frequency response; and a writer should use a filter having the same aim frequency response.

**FIGURE 3.4** Frequency response curve and error bounds

**Decimation prefilter width property (optional)**

To perform resolution-independent image filtering, the algorithm must know the decimation prefilter degree of blurring, which is expressed as the effective filter width,  $q$ . This property specifies the value  $q$ .

The procedure is as follows: Approximate the prefilter MTF by the form  $e^{-qs^2}$ , where  $q$  is the width and  $s$  is the spatial frequency measured in cycles per pixel of the image before filtering. If the MTF is far from a Gaussian form, fit the low-frequency portion best. If this property is not found, it is assumed that the prefilter used had an aim frequency response as specified in the decimation method property.



**Subimage ICC profile property (optional)**

This property specifies an optional ICC profile for the subimage. It is a 1-element array whose value must be 1. The existence of this property indicates that an ICC profile exists in the *FlashPix* image object. The profile specifies the conversion of the subimage into the ICC-PCS (Profile Connection Space). If an ICC profile is identified for the subimage, the same profile must be associated with the subimage at all resolutions and core reader software must verify that this is the case.

**3.1.5.3 Compression Description Group**

This group of the image contents property set contains compression header information. Only those properties that contain valid data must be present.

Table 3.8 specifies the properties in the compression description group. In the table, “*ii*” in the ID code is the index of a JPEG table set selection.

TABLE 3.8

**Valid properties in the compression information properties group**

Property name	ID code	Type
JPEG tables	0x03 <i>ii</i> 0001	VT_BLOB
Maximum JPEG table index	0x03000002	VT_UI4

**JPEG tables property (optional)**

This property (as specified in Table 3.8) contains the JPEG quantization tables and the JPEG Huffman tables used across all resolutions of the *FlashPix* image. The format of the data in each of these properties should conform to the JPEG abbreviated format for table specification data, consisting of JPEG markers surrounding the actual table data, per the JPEG specification[9] Annex B.5 and Annex C. Note that it is possible to use quantizers or Huffman tables not defined here by including them in the JPEG data stream for the tile in which to apply them (as described in Section 4.1.2). The format of a JPEG abbreviated header table is shown in Table 3.9.

TABLE 3.9

**Format and entries of a JPEG abbreviated header table**

Field name	Length	Byte(s)	Value
Start of image marker (SOI)	2	0-1	0xFFD8
Define quantization table segment marker (DQT)	2	2-3	0xFFDB
Quantization table data	variable	4-variable	variable
Define Huffman table segment marker (DHT)	2	variable	0xFFC4
Huffman table data	variable	variable	variable
End of image marker (EOI)	2	variable	0xFFD9

Each *FlashPix* image tile using JPEG compression must define at least one quantization table and two Huffman tables. A typical JPEG abbreviated table stream includes two quantization tables (numbered 0 and 1) for the luminance and chrominance components, and two Huffman tables (numbered 0 and 1) for the DC and AC entropy coder. The stan-

Standard JPEG table specification syntax allows the definition of up to four quantization tables and four Huffman tables.

By storing multiple JPEG abbreviated header tables (each in a uniquely identified property), different sets of tables can be used by different tiles and multiple tiles can utilize the same table. Up to 255 table streams, with indices ranging from 1 to 255, may be defined in the compression property group.

#### **Maximum JPEG table index property (optional)**

This field specifies the maximum JPEG table index for the JPEG table properties. This property is optional, but must exist if there are any JPEG table properties in this *FlashPix* image object. It is recommended that when a JPEG table property is added that the index used be this property's value + 1. When a JPEG table property is added, the maximum JPEG table index property must be adjusted if the new index value in use is greater than the current property value.

### **3.1.6 ICC Profile (optional)**

Stream name: ICC\040Profile\0400001  
Class ID: 56616600-C154-11CE-8553-00AA00A1F95B

This stream contains an ICC profile describing the conversion between the *FlashPix* image color space and the ICC PCS. The data portion of the *FlashPix* stream is stored in standard ICC profile format[8]. The ICC profile may contain only standard PhotoYCC to PCS or NIF RGB to PCS transforms.

### **3.1.7 Extension List Property Set (optional)**

Stream name: \005Extension\040List  
Class ID: 56616010-C154-11CE-8553-00AA00A1F95B  
Format ID: 56616010-C154-11CE-8553-00AA00A1F95B

This property set identifies extensions present in the *FlashPix* image object by class ID, name, and description as well as the data elements changed or added by each extension. The property set is optional, however, if the *FlashPix* image object contains any extensions, the extension list property set must be present and all extension, registered and private, in the *FlashPix* image object must be described.

The way in which the data associated with an extension is structured can take one or more of the following forms:

- New storage(s) may be added
- New stream(s) may be added
- New *FlashPix* stream(s) may be added
- New subimage(s) may be added to a *FlashPix* image object
- New property set(s) may be added
- New property(s) may be added to an existing property set section

## Section 3: The FlashPix Image Object

- Element(s) may be added to core *FlashPix* property set vector properties that are defined as variable length
- Value of a core *FlashPix* stream field may be changed
- Value of a core property set property may be changed

There are five restrictions to structuring the data elements of an extension. First, new fields may not be added to existing *FlashPix* streams. Second, due to the inability to independently ensure property ID code uniqueness, only registered extensions may add properties to an existing property set section. Third, private extensions may not change the value of a core *FlashPix* stream field or a core property set property. Fourth, extensions can only add vector elements that are not already used by core or other extensions present in the file. Upon removal of an extension, the vector element values associated with the extension must be replaced with NULL and the vector must not be reordered. Fifth, only registered extensions can add elements to core property set vector properties.

Although there are a few practical examples where reasonable core reader actions could be defined for when an extension has changed the value of a core *FlashPix* stream field or a core property set property, these core reader actions must be considered in defining the core *FlashPix* specification. It is impractical to expect all core reader software to be updated to incorporate default actions identified in the course of developing new extensions. The definition of extensions must not impact the core definition unless some compelling feature set is identified which the *FlashPix* format Advisory Council agrees to include in a revised definition of the core *FlashPix* format. Therefore, efforts to define public extensions will avoid impacting core *FlashPix* stream field and core property set property values.

The valid properties of the extension list property set are listed in Table 3.10. The extensions present in the *FlashPix* image object are numbered for the convenience of grouping the descriptive information about each extension. Property ID codes 0xiiiixxxx describe the extension numbered 0xiii.

#### **Used extension numbers property (required)**

This property lists all extension numbers *iiii* used in the extension list property set for the *FlashPix* image object. The property value is an unordered array of *iiii* values.

All applications must update this property each time an extension is added to or removed from a *FlashPix* image object.

#### **Extension name property (required)**

This property identifies the name of the extension. If the extension is registered, the name used must be that which is published in the official *FlashPix* Extension Specification. For private extensions, the name is the whatever short, descriptive label the authoring application chooses.

All applications must retain this property upon a save or copy function by default, or in accordance with the extension persistence.

TABLE 3.10

Valid properties for the extension list property set

Property name	ID code	Type
Used extension numbers	0x10000000	VT_UI2   VT_VECTOR
Extension name	0xiiii0001	VT_LPWSTR
Extension class ID	0xiiii0002	VT_CLSID
Extension persistence	0xiiii0003	VT_UI2
Extension creation date	0xiiii0004	VT_FILETIME
Extension modification date	0xiiii0005	VT_FILETIME
Creating application	0xiiii0006	VT_LPWSTR
Extension description	0xiiii0007	VT_LPWSTR
Storage / stream pathname	0xiiii1000	VT_LPWSTR   VT_VECTOR
FlashPix stream pathname	0xiiii2000	VT_LPWSTR   VT_VECTOR
FlashPix stream field offset	0xiiii2001	VT_UI4   VT_VECTOR
Property set pathname	0xiiii3000	VT_LPWSTR   VT_VECTOR
Property set ID codes	0xiiii3jj1	VT_LPWSTR   VT_VECTOR
Property vector elements	0xiiii3jj2	VT_LPWSTR   VT_VECTOR
Subimage number/resolution	0xiiii4000	VT_LPWSTR   VT_VECTOR

**Extension class ID property (required)**

This property identifies a unique class ID for the extension. If the extension is registered, the class ID must be that which is published in the official *FlashPix* Extension Specification. For private extensions, the class ID is assigned by the authoring application.

All applications must retain this property upon a save or copy function by default, or in accordance with the extension persistence.

**Extension persistence property (required)**

This property identifies the persistence of the extension with respect to edits to the core data elements of the *FlashPix* image object. The legal values for the extension persistence property are defined in Table 3.11.

All applications must retain this property upon a save or copy function by default, or in accordance with the extension persistence.

It is the responsibility of the reader/writer application upon save or copy functions to retain the extension data elements by default, or in accordance with the extension persistence property.

TABLE 3.11

**Legal values of the existence persistence property**

Value	Meaning
0x0	Extension is valid independent of core element modifications
0x1	Extension is invalid upon core element modifications
0x2	Extension is potentially invalid upon core element modifications

**Extension creation date property (optional unless extension persistence property is 0x2)**

This property specifies the time and date the authoring application added the extension to the *FlashPix* image object. If the property exists, all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence value.

**Extension modification date property (optional unless extension persistence property is 0x2)**

This property specifies the time and date of the last modification to the extension. If the property exists, all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence value.

**Creating application property (optional)**

This property specifies the name of the application that authored the extension in the file. If the property exists, any application editing the extension must update the value and all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence value.

**Extension description property (optional)**

The description property is a short (<80 character) description of the extension. If the property exists, all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence value.

**Storage/stream pathname property (optional)**

This property lists the full storage or non-*FlashPix* stream name, including the path in the structured storage file from the *FlashPix* image object storage, for each storage or non-*FlashPix* stream the extension added to the *FlashPix* image object. The path is specified using the standard Unix file specification tokens: "/" represents a directory separator and must be the first character of the property value. Wildcard characters "\*" and "?" (where "\*" matches any 0 or more characters and "?" matches any 1 character) are permitted in the path portion of the property value. If a storage is listed in the extension list property set, its contents should not also be listed as they are assumed to also be associated with that extension. If this property is omitted it is assumed that no storages are added to the *FlashPix* image object for the extension. If the property exists, all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence property value.

**FlashPix stream pathname property (optional)**

This property lists the full *FlashPix* stream name, including the path from the *FlashPix* image object storage, for each *FlashPix* stream the extension added to or modified in the

*FlashPix* image object. The path is specified using the standard Unix file specification tokens: "/" represents a directory separator and must be the first character of the property value. Wildcard characters "\*" and "?" (where "\*" matches any 0 or more characters and "?" matches any 1 character) are permitted in the path portion of the property value. The array of values for the *FlashPix* stream pathname property and the *FlashPix* stream field offset property array of values for extension *iiii* are associated as described in Table 3.12. If this property is omitted it is assumed that no *FlashPix* streams are added to or modified in the *FlashPix* image object for the extension. If the property exists, all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence property value.

#### **FlashPix stream field offset property (optional)**

This property lists the byte offsets (after the header) into the *FlashPix* stream identified with the *FlashPix* stream pathname property array of fields modified by the extension. The array of values for the *FlashPix* stream field offset property and the *FlashPix* stream pathname property array of values for extension *0xiiii* are associated as described in Table 3.12. This property is required only if the *FlashPix* stream pathname property exists. If this property exists, all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence property value.

In the Table 3.12 example, there are two *FlashPix* stream data elements associated with extension *0x17*. The first, at Index = 0, is an added *FlashPix* stream as there is a *FlashPix* stream pathname value but the *FlashPix* stream field offset is *0xFFFFFFFF*. The second, at index *0xjj = 1*, is a field in a core *FlashPix* stream whose value is not among those defined in core the *FlashPix* format. This is indicated by the presence of a non-*0xFFFFFFFF* *FlashPix* stream field offset value in addition to a *FlashPix* stream pathname value.

TABLE 3.12

Example values of *FlashPix* stream identification

Property	Index = 0	Index = 1
0x00172000	stream x pathname	stream y pathname
0x00172001	0xFFFFFFFF	64

#### **Property set pathname property (optional)**

This property is an array that lists the full property set name, including the path from the *FlashPix* image object storage, for each property set the extension *0xiiii* added, added to, or modified in the *FlashPix* image object. The path is specified using the standard Unix file specification tokens: "/" represents a directory separator and must be the first character of the property value. Wildcard characters "\*" and "?" (where "\*" matches any 0 or more characters and "?" matches any 1 character) are permitted in the path portion of the property value. Table 3.13 shows an example of how the property set pathname, property set ID codes, and property set vector elements for extension *0xiiii* are associated. The array index of the property set pathname property corresponds to *0xjj* in the properties *0xiiii3jj1* and *0xiiii3jj2*.

This property set is optional and if omitted it is assumed that no property sets are added, added to, or modified in the *FlashPix* image object for the extensions. If the property

exists all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence property value.

**Property set ID codes property (optional)**

This property lists the ID codes of properties which have been added to a core property set, or defined with non-core values by an extension to the *FlashPix* image object. The value of each array position of the property is a VT\_LPWSTR that may be composed of comma separated values each of which are either an individual property ID code or hyphen-separated pair of property ID codes. The array of values for the property set ID codes and the property vector elements for particular property set 0xjj and extension 0xiii are associated as described in Table 3.13. When a new property set is added by an extension, the property set ID codes property is not required. This property is required if an extension adds properties to a core property set or modifies core property set properties. If the property exists, all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence property value.

**Property vector elements property (optional)**

Extensions can add vector elements to core properties that are defined as variable length vectors. This property lists the vector index for the values added to a particular vector property. The value of each array position of the property is a VT\_LPWSTR that may be composed of comma separated values each of which are either an individual vector element or hyphen-separated pair of vector elements. The array of values for the property vector elements and the property set ID codes for a particular property set 0xjj and extension 0xiii are associated as described in Table 3.13. This property is only required when an extension adds vector elements to a core property set property. If the vector elements property is present, and vector elements have not been added to its associated property set ID code(s), then the value of this property must be NULL. If the property exists, all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence property value.

In the Table 3.13 example, there are three property sets associated with extension 0x19. The first index of the property 0x000193000, which corresponds to 0xjj=00, is a new property set being added by the extension as there is a property set pathname value, but the property set ID codes and property vector element properties for 0xjj=00 are not listed. The second index of the property 0x00193000, which corresponds to 0xjj=01, is a core property set in which property ID Codes 0x00011001-0x00011005 and 0x00001200 are being added by the extension. The third index of the property 0x00193000, which corresponds to 0xjj=02, is a core property set in which property ID code 0x00033000 is of type VT\_VECTOR and the extension has added values in elements 3,4, and 5 of that vector. Property ID codes \$00044001-\$00044004 are new ID codes being added to the property set by the extension as well. In this case since the property ID codes are new, the value of 0x00193022 for this array position is assigned

to NULL. This example also shows that the extension has added a value in element 2 of both the vectors defined by existing property ID codes, 0x00055000 and 0x00066000.

TABLE 3.13

Example values of property set identification

Property	Index=0	Index=1	Index=2
000193000	PS x pathname (0xjj=00)	PS y pathname (0xjj=01)	PS z pathname (0xjj=02)
000193011	\$000011001-\$000011005, \$000012000		
000193021	\$000033000	\$000044001- \$000044004	\$000055000, \$000066000
000193022	3,4,5	NULL	2

#### Subimage number/resolution property (optional)

This property is used to indicate that an extension has added new subimages to a *FlashPix* image object. It must be not used to indicate that an extension has modified core *FlashPix* subimage 0. The value of each array position is a VT\_LPWSTR that is composed of comma-separated values each of which is either an individual subimage number or a hyphen-separated pair of subimage numbers. The array element position indicates the resolution indices to which the particular subimage(s) are added. If subimages are not added to a particular resolution, the value of the corresponding array element must be set to NULL.

The extension list must also list any additional storages, streams, property sets and properties added by the extension to store the subimage. This property is required if an extension adds a subimage to a *FlashPix* image object. All applications must retain this property upon a save or copy function by default, or in accordance with the extension persistence. Table 3.14 is an example of an extension that adds subimages to the *FlashPix* image object. This example shows that extension 0x49 has added subimages to resolution 0, 1, and 2 of the core *FlashPix* image object. The first element, at index 0xjj=0, shows that subimages 1 and 3 have been added to resolution 0x00. No subimages have been added to resolution 0x01. The third element, at index 0xjj=2, shows that the extension has added subimages 1, 3, and 4 through 6 to resolution 0x02.

TABLE 3.14

Example of subimage identification

Property	0xjj=0	0xjj=1	0xjj=2
0x00494000	1,3	NULL	1,3,4-6



September 11, 1996

SECTION *Image Data Format*

## 4

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The subimage is stored as a separate entity within the *FlashPix* image object. This chapter specifies the format of the subimage, as described in Section 3.1.1.

The subimage is stored in two *FlashPix* streams: a header stream and a data stream.

## 4.1 The Subimage Header Stream

---

Stream name: Subimage\0400000\040Header  
Class ID: 00010000-C154-11CE-8553-00AA00A1F95B

The subimage header stream determines image data location in the data stream and contains information for decoding the data stream into uncompressed pixel values. Values are stored in little endian byte order.

### 4.1.1 Subimage Header Stream Data

The format of the data portion of the subimage header stream is given in Table 4.1.

TABLE 4.1

Format and fields of the subimage header stream

Field name	Length	Byte(s)
Length of header stream header	4	0-3
Image width	4	4-7
Image height	4	8-11
Number of tiles	4	12-15
Tile width	4	16-19
Tile height	4	20-23
Number of channels	4	24-27
Offset to tile header table	4	28-31
Length of tile header entry	4	32-35
Tile header table	variable	variable

#### Length of header stream header field

This field specifies the length of the header stream header (from the beginning of the length field to the end of the length of tile header entry field) in bytes.

#### Image width and height field

These fields specify the width and height of the subimage in pixels. These values do not include any padding at the right or bottom of the image to fill partial tiles. The values of these fields must be the same as the values of the subimage width and height in the primary description group of the image contents stream (Section 3.1.5.1, Table 3.1).

#### Number of tiles field

This field specifies the number of tiles in the subimage.

#### Tile width field

This field specifies the width of a tile in pixels. The tile width must be 64 pixels.

#### Tile height field

This field specifies the height of a tile in pixels. The tile height must be 64 pixels.

#### Number of channels field

This field specifies the number of channels in the subimage. This value is also specified in the image contents property set (Section 3.1.5, Table 3.4).

#### Offset to tile header table field

This field specifies the offset in bytes from the beginning of the data portion of the *FlashPix* stream to the tile header table (Section 4.1.2).

**Length of a tile header entry field**

This field specifies the length of a single entry in the tile header table (Section 4.1.2).

**Tile header table field**

This field specifies the header data for each tile. The format of a tile header table is specified in Section 4.1.2.

## 4.1.2 Tile header table

The format of the tile header table is given in Table 4.2.

TABLE 4.2

Format and fields in the tile header table

Field Name	Length	Byte(s)
Tile header 0	16	0-15
...		
Tile header <i>last</i>	16	variable

**Tile header 0-*last***

These fields specify the location and encoded form of the image data tiles. Tiles are ordered from top to bottom, left to right, in row major order. The tile containing pixel (0, 0) is first, followed by the tile containing pixel (*tile width*, 0). This order continues across the row, through the tile containing pixel (*width - tile width*, 0). Subsequent rows of tiles follow in the same order. Table 4.3 specifies the format of a tile header.

TABLE 4.3

Format and fields of a tile header

Field name	Length	Byte(s)
Tile offset	4	0-3
Tile size	4	4-7
Compression type	4	8-11
Compression subtype	4	12-15

**Tile offset field**

This field specifies the offset of the tile data from the beginning of data portion of the subimage data *FlashPix* stream in bytes. This value is zero if the compression algorithm requires no data other than the compression type and compression subtype fields.

**Tile size field**

This field specifies the size of the tile data for this tile, in bytes. This value is zero if the compression algorithm requires no data other than the compression type and compression subtype fields.

**Compression type field**

This field specifies the compression algorithm used to encode the data for this tile. Valid compression type values are given in Table 4.4.

**TABLE 4.4****Valid compression type values**

<b>Value</b>	<b>Meaning</b>
0x0	Uncompressed data
0x1	Single color compression (4-byte)
0x2	JPEG (8-bit)
0xFFFFFFFF	Invalid tile

The invalid tile compression type may be used to temporarily indicate that the tile has no valid data. Situations where marking a tile as invalid may be useful are during resolution hierarchy regeneration or during a partial resynchronize operation between resolutions. Images with invalid tiles should not be saved permanently.

Images with any tile having the invalid tile compression type are considered to be invalid. If reader software encounters the invalid tile compression type when preparing to access a tile, it has no responsibility to attempt to create usable image data from higher resolutions. It is permitted to respond as though a read error occurred.

**Compression subtype field**

This field specifies compression algorithm information for this tile. The format of this field depends on the value of the compression type field. The different formats are described below.

If the compression type is set to uncompressed data (0x0) or invalid tile (0xFFFFFFFF), the compression subtype is unused and must be set to 0x0.

If the compression type is set to single color compression (0x1), the compression subtype identifies the actual color value of all pixels in the tile. Individual channel values are stored in little endian format, in the same order and bit depth as specified by the sub-image color and subimage numerical format properties (Section 3.1.5.3), aligned at the 0th bit of the field.

If the compression type is set to JPEG compression (0x2), the compression subtype field

TABLE 4.5

**Format and entries of the compression subtype field for JPEG compressed tiles**

Field name	Length	Byte(s)
Interleave type	1	0
Chroma subsampling	1	1
Internal color conversion	1	2
JPEG tables selector	1	3

will contain additional information needed by the reader to process the JPEG compressed data. The format of the compression subtype subfields is shown in Table 4.5.

#### **Interleave type subfield**

This field specifies the interleaving of the data within the JPEG data stream. If the value is 0x0, all channels in the tile are stored in a single scan with the 8x8 blocks for each channel interleaved. If the value is 0x1, each channel is stored as a separate scan. All other values are illegal. In either case, the channels are found in the same order as specified by the subimage color property of the image contents property set.

#### **Chroma subsampling subfield**

This field specifies the amount of subsampling performed on chroma components of the image (either the native components of some YCrCb image or those generated by rotating some RGB image through the standard JPEG CCIR 601 RGB to YCrCb conversion). The most significant nibble of the field indicates the horizontal subsampling ratio. The least significant nibble of the field indicates the vertical subsampling ratio. Legal values of the subsampling fields are 1 and 2.

Both the values for horizontal and vertical subsampling must be either 1 or 2, and if horizontal subsampling is 1, then vertical subsampling must also be 1. The specific horizontal and vertical subsampling pairs (h.v) allowed are (2,2), (2,1), and (1,1). Subsampling in the horizontal direction by 2x and the vertical direction by 1x is allowed for compatibility with digital video standards.

Under no circumstances should an Opacity channel be subsampled.

#### **Internal color conversion subfield**

This field specifies whether a color conversion was performed in the JPEG compression process. Valid values are 0x0 and 0x1. All other values are illegal.

If the value is 0x0, no color conversion was performed and the pixel values output from the JPEG decoder are in the color space specified by the subimage color value from the Image Contents property set. If the field value is 0x1, the effect of this field is dependent on the existence of an Opacity channel as described below.

For NIF RGB subimage color value:

If the color space specified by the subimage color value (Table 3.7) is NIF RGB (whether calibrated or not calibrated), i.e. NIF RGB with no opacity channel, then the following standard RGB to YCrCb conversion (or an equivalent integer implementation) is performed on the input data in the JPEG encoding process:

$$Y = 0.29900 * R + 0.58700 * G + 0.11400 * B \quad (4.1)$$

$$Cb = (B - Y) / 1.772 + 0.5 = -0.16874 * R - 0.33126 * G + 0.50000 * B + 0.5 \quad (4.2)$$

$$Cr = (R - Y) / 1.402 + 0.5 = 0.50000 * R - 0.41869 * G - 0.08131 * B + 0.5 \quad (4.3)$$

where R, G, B, Y, Cb and Cr values are in the 0 ... 1 range.

When decoding, the inverse transformation from YCrCb to RGB is done according to the following equations (or an equivalent integer implementation):

$$R = Y + 1.40200 * Cr - 0.70100 \quad (4.4)$$

$$G = Y - 0.34414 * Cb - 0.71414 * Cr + 0.52914 \quad (4.5)$$

$$B = Y + 1.77200 * Cb - 0.88600 \quad (4.6)$$

For NIF RGB with premultiplied opacity subimage color value:

If the value is 0x1 and the color space specified by the subimage color value is NIF RGB with premultiplied opacity (whether calibrated or not calibrated), then to retain interoperability with early *FlashPix* applications, the RGB input was 'inverted' before the standard RGB to YCbCr transform was applied. Please note that the opacity channel is not affected by this operation and must not be inverted or color converted. The sequence of conversion steps is:

(a) Invert the RGB values, i.e., for RGB encoded with 8 bits calculate new color values  $R' = (255-R)$ ,  $G' = (255-G)$  and  $B' = (255-B)$ .

(b) Transform R'G'B' to the new space Y'Cb'Cr' using equations (4.1), (4.2), (4.3).

Compression is done in the Y'Cb'Cr' space. On the decoder side, the inverse transformation should take place, i.e.,

(c) Transform Y'Cb'Cr' to R'G'B' using equations (4.4), (4.5), (4.6).

(d) Transform R'G'B' to RGB, e.g.,  $R = (255-R')$ .

Please note that the current requirement for this legacy 'inversion' results in minor differences when compared to compression done to RGB without opacity channels, but may preclude the use of some kinds of hardware acceleration.

**JPEG tables selector subfield**

This byte selects a set of quantizer and Huffman tables to use to decompress this tile. If the index is 0x0, the tables are included at the beginning of the tile data stream and it is not necessary to load a separate tile stream into the JPEG decompressor to decompress the tile. A value from 1 to 255 indicates that this tile uses a set of tables stored in one of the JPEG tables properties in the compression property group (Section 3.1.5.3). Specifically, if the value is 0xii, a *FlashPix* reader should load the value of property 0x03ii0001 into the JPEG decompressor.

## 4.2 The Subimage Data Stream

---

Stream name: Subimage\0400000\040Data

Class ID: 00010100-C154-11CE-8553-00AA00A1F95B

The subimage data stream contains the data referenced by the tile headers in the header stream.

### 4.2.1 Channel Ordering

The channels of multi-channel tiles are ordered as specified in the color space property in the image contents property set (Section 3.1.5.2).

### 4.2.2 Tile Data Format

#### 4.2.2.1 Uncompressed

Data in uncompressed tiles is stored in row major order in a pixel interleaved fashion. Pixel channels are ordered as specified by the color space property in the image contents property set. Pixel values are stored in little endian format in the type specified by the numerical format property.

#### 4.2.2.2 Single Color Compressed

No tile data is needed for single-color compressed tiles. Both the tile data offset and tile size in the tile header table must be set to zero.

#### 4.2.2.3 JPEG Compressed

The format of compressed tile data conforms to the “Abbreviated Format for Compressed Image Data” described in Annex B, Section B.4 of the ISO JPEG Specifications [9]. At a minimum, this format contains the following JPEG markers and marker segments, as well as the entropy-coded data for the tile (Table 4.6).

Quantizer tables and Huffman table marker segments are not required, but may be included to force a decoder to use tables other than those defined in Section 3.1.5.3.

TABLE 4.6

Format and entries of a JPEG abbreviated format stream for tile data

Field name	Length	Byte(s)	Value
Start of image marker (SOI)	2	0-1	0xFFD8
Start of frame marker (SOF)	2	2-3	0xFFC0
Frame header	variable	4-variable	variable
Start of scan marker (SOS) 0	2	variable	0xFFDA
Scan header 0	variable	variable	variable
Entropy coded data 0	variable	variable	variable
...			
Start of scan marker (SOS) <i>last</i>	2	variable	0xFFDA
Scan header <i>last</i>	variable	variable	variable
Entropy coded data <i>last</i>	variable	variable	variable
End of image marker (EOI)	2	variable	0xFFD9

Note that some JPEG CODECS may identify the encoded color space through JPEG specific markers. The *FlashPix* format provides other mechanisms for identifying color in the Image Contents property set which are the subimage color property and the color space subfield value. No other mechanism or values, besides those found in the Image Contents property set, can be utilized to make any decisions about what color space is intended for a given *FlashPix* file.



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# SECTION 5 *Color Space Specifications*

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## 5.1 Introduction

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The method of encoding for color imagery is critical to how consistently the colors in an image will be reproduced across different systems and different media types. The *FlashPix* format defines two colorspaces, PhotoYCC and NIF RGB, along with respective reference viewing environments for the flexible and unambiguous encoding. The *FlashPix* format also provides a well-defined monochrome encoding space for the storage of greyscale imagery and optional support for InterColor Consortium (ICC) color management used in conjunction with the *FlashPix* color encoding.

PhotoYCC and NIF RGB color values represent color appearance with respect to a defined reference viewing environment. For color stimuli that are meant to be viewed in the reference viewing environment, PhotoYCC and NIF RGB values are computed by a series of simple mathematical operations from standard CIE colorimetric values. For color stimuli that are meant to be viewed in an actual viewing environment that is different from the reference environment, it is necessary to include appropriate colorimetric transformations to determine visually corresponding CIE colorimetric values for the reference environment (the corresponding CIE colorimetric values define a stimulus that, if viewed in the reference viewing environment, would produce the same color appearance as the actual stimulus viewed in the actual environment). These transformations account for differences in the amount of viewing flare in the actual and reference environments, as well as for alterations in observer perception that would be induced by the differences in the environments. The corresponding CIE colorimetric values resulting from these transformations are then encoded in terms of PhotoYCC or encoded in terms of NIF RGB.

## 5.2 PhotoYCC and NIF RGB Reference Viewing Environments

Reference viewing environments are defined for both PhotoYCC and NIF RGB in Table 5.1. The reference viewing environments are provided to give a single aim for each color space to allow for the unambiguous definition of color for use in interchange. The two definitions serve different purposes, yet with proper colorimetric and color appearance transformations, it is possible to encode values in one space which were originally encoded in the other.

TABLE 5.1

Comparison of PhotoYCC and NIF RGB viewing environments

Condition	PhotoYCC	NIF RGB
Viewing flare	None	1.0%
Image surround	Average	20%
Illuminance level/Luminance level	> 5,000 lux	80 cd/m <sup>2</sup>
Adaptive white	$x = 0.3127, y = 0.3290$	$x = 0.3127, y = 0.3290$

### 5.2.1 PhotoYCC Reference Viewing Environment

The PhotoYCC reference viewing environment corresponds to conditions typical of outdoor scenes.

- *Viewing flare* is specified as “none.” Any flare light in an original-scene environment is part of the scene itself.
- The *image surround* is defined as “average.” Scene objects typically are surrounded by other similarly illuminated objects.
- The *illuminance level* is representative of typical daylight levels. Note that the illuminance is at least an order of magnitude higher than average indoor levels.
- The chromaticities of the *adaptive white* are those of CIE D65. An adaptive white is defined here as a color stimulus that an observer would judge as perfectly achromatic, with a luminance corresponding to that of a perfect white diffuser. While the chromaticities of the adaptive white will most often be those of the scene illuminant, they may, in certain cases, also be quite different. For example, the observer may be only partially adapted to the illuminant. The adaptive white therefore defines only the chromatic adaptive state of the observer. The adaptive white does *not* define the chromaticities or the spectral power distribution of the scene illuminant.

### 5.2.2 NIF RGB Reference Viewing Environment

The NIF RGB reference viewing environment corresponds to conditions typical of indoor viewing of computer CRT monitors.

- *Viewing flare* is specified to be 1.0% of the maximum white-luminance level.
- The *image surround* is defined as “20%” of the maximum white luminance. This is close to a CIELAB L\* value of 50, while maintaining computational simplicity. The areas surrounding the image being viewed are similar in luminance and chrominance to the image itself. This surround condition would correspond, for example, to an image displayed on a computer monitor where the image on the CRT screen is surrounded by a gray background equivalent to twenty percent of the maximum white.
- The *luminance level* is representative of typical CRT display levels. Note that the illuminance is at least an order of magnitude lower than average outdoor levels.
- The chromaticities of the *adaptive white* are those of CIE D65. An adaptive white is defined here as a color stimulus that an observer would judge as perfectly achromatic, with a luminance corresponding to that of a perfect white diffuser. While the chromaticities of the adaptive white will most often be those of the viewing illuminant, they may also, in certain cases, be quite different. For example, the observer may be only partially adapted to the illuminant. The adaptive white therefore defines only the chromatic adaptive state of the observer. It does *not* define the chromaticities or the spectral power distribution of the viewing illuminant.

## 5.3 Colorimetric Definitions and Digital Encodings

---

PhotoYCC and NIF RGB in combination with their reference viewing environments can be defined from standard CIE colorimetric values through simple mathematical transformations. Resulting colorimetric values can then be encoded in terms of digital code values for storage in a *FlashPix* Image.

While NIF RGB and PhotoYCC encode colors using similar standards and equations, the definitions presented in this specification do not constitute a means of conversion between the two color spaces. The conversions are an implementation topic.

### 5.3.1 PhotoYCC Colorimetric Definition and Digital Encoding

PhotoYCC is defined from standard CIE colorimetric values and the PhotoYCC reference viewing environment which corresponds to daylight-illuminated outdoor scenes. This definition describes the encoding of a daylight-illuminated scene, captured using a Photo CD Reference Image-Capture Device, when the observer adaptive white corresponds to D65 chromaticities. Examples of the encoding of colors not represented by this definition are given in the *FlashPix* Implementation Guide.

The red, green, and blue spectral responsivities of the Reference Image-Capture Device in Figure 5.1 correspond to the color-matching functions for the reference primaries defined in CCIR Recommendation 709[1]. The CIE chromaticities for the red, green, and blue CCIR-709 reference primaries, and for CIE Standard Illuminant D65, are given in Table 5.2.

FIGURE 5.1

Spectral responsivities of the Reference Image-Capture Device

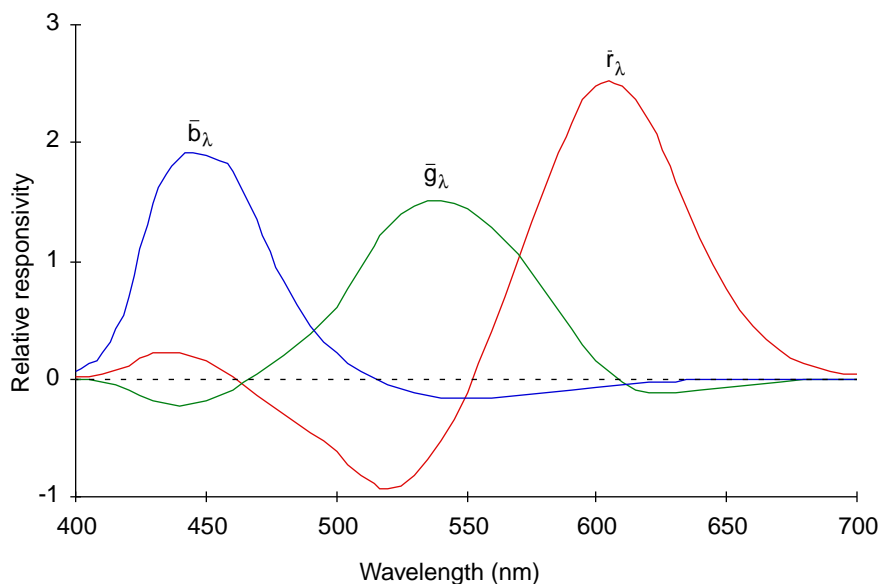


TABLE 5.2

CIE chromaticities for CCIR-709 reference primaries and CIE standard illuminant

	Red	Green	Blue	D65
$x$	0.6400	0.3000	0.1500	0.3127
$y$	0.3300	0.6000	0.0600	0.3290
$z$	0.0300	0.1000	0.7900	0.3583
$u'$	0.4507	0.1250	0.1754	0.1978
$v'$	0.5229	0.5625	0.1579	0.4683

Reference Image-Capture Device  $RGB_{709}$  tristimulus values for the illuminated objects of the scene can be calculated using the spectral responsivities of the Reference Image-Capture Device:

$$\begin{aligned} R_{709} &= k_r \sum_{\lambda} P_{\lambda} R_{\lambda} \bar{r}_{\lambda} \\ G_{709} &= k_g \sum_{\lambda} P_{\lambda} R_{\lambda} \bar{g}_{\lambda} \\ B_{709} &= k_b \sum_{\lambda} P_{\lambda} R_{\lambda} \bar{b}_{\lambda} \end{aligned} \quad (5.1)$$

where  $P_{\lambda}$  is the spectral power of the scene illuminant at each wavelength  $\lambda$ ;  $R_{\lambda}$  is the spectral reflectance or transmittance of a scene object; and  $\bar{r}_{\lambda}$ ,  $\bar{g}_{\lambda}$ , and  $\bar{b}_{\lambda}$  are the spectral responsivities of the Reference Image-Capture Device. Normalizing factors  $k_r$ ,  $k_g$ , and  $k_b$  are determined such that  $R$ ,  $G$ , and  $B$  tristimulus values of 1.00 will result for a perfect white diffuser. It is assumed that the reference image capture device produces flareless measurements; it is therefore unnecessary to adjust the resulting  $RGB$  values for instrument flare.

Since the spectral responsivities of the Reference Image-Capture Device are simply linear combinations of the 1931 CIE color-matching functions,  $\bar{x}_{\lambda}$ ,  $\bar{y}_{\lambda}$  and  $\bar{z}_{\lambda}$  [3] its  $RGB$  tristimulus values can also be computed using the following relationship:

$$\begin{bmatrix} R_{709} \\ G_{709} \\ B_{709} \end{bmatrix} = \begin{bmatrix} 3.2410 & -1.5374 & -0.4986 \\ -0.9692 & 1.8760 & 0.0416 \\ 0.0556 & -0.2040 & 1.0570 \end{bmatrix} \begin{bmatrix} X_{\text{scene}} \\ Y_{\text{scene}} \\ Z_{\text{scene}} \end{bmatrix} \quad (5.2)$$

where

$$\begin{aligned} X_{\text{scene}} &= k_r \sum_{\lambda} P_{\lambda} R_{\lambda} \bar{x}_{\lambda} \\ Y_{\text{scene}} &= k_g \sum_{\lambda} P_{\lambda} R_{\lambda} \bar{y}_{\lambda} \\ Z_{\text{scene}} &= k_b \sum_{\lambda} P_{\lambda} R_{\lambda} \bar{z}_{\lambda} \end{aligned} \quad (5.3)$$

In the PhotoYCC encoding process, negative  $RGB_{709}$  tristimulus values, and  $RGB_{709}$  tristimulus values greater than 1.00 are retained. The luminance dynamic range and the color gamut defined by the  $RGB$  tristimulus values of the Reference Image-Capture Device are therefore unlimited.

Reference Image-Capture Device  $RGB_{709}$  tristimulus values are next transformed to nonlinear  $R'G'B'_{709}$  values as follows:

For  $R_{709}, G_{709}, B_{709} \geq 0.018$ :

$$\begin{aligned} R'_{709} &= 1.099 \times R_{709}^{0.45} - 0.099 \\ G'_{709} &= 1.099 \times G_{709}^{0.45} - 0.099 \\ B'_{709} &= 1.099 \times B_{709}^{0.45} - 0.099 \end{aligned} \quad (5.4a)$$

For  $R_{709}, G_{709}, B_{709} \leq -0.018$ :

$$\begin{aligned} R'_{709} &= -1.099 \times |R_{709}|^{0.45} + 0.099 \\ G'_{709} &= -1.099 \times |G_{709}|^{0.45} + 0.099 \\ B'_{709} &= -1.099 \times |B_{709}|^{0.45} + 0.099 \end{aligned} \quad (5.4b)$$

For  $-0.018 < R_{709}, G_{709}, B_{709} < 0.018$ :

$$\begin{aligned} R'_{709} &= 4.50 \times R_{709} \\ G'_{709} &= 4.50 \times G_{709} \\ B'_{709} &= 4.50 \times B_{709} \end{aligned} \quad (5.4c)$$

For PhotoYCC, the nonlinear  $R'G'B'_{709}$  values are rotated to luma and chromas as in Equation 5.5:

$$\begin{bmatrix} \text{Luma} \\ \text{Chroma}_1 \\ \text{Chroma}_2 \end{bmatrix} = \begin{bmatrix} 0.299 & 0.587 & 0.114 \\ -0.299 & -0.587 & 0.886 \\ 0.701 & -0.587 & -0.114 \end{bmatrix} \begin{bmatrix} R'_{709} \\ G'_{709} \\ B'_{709} \end{bmatrix} \quad (5.5)$$

For PhotoYCC, luma/chroma signals are converted to digital values. For 24-bit (8-bits/channel) encoding, PhotoYCC values are formed according to Equation 5.6:

$$\begin{aligned} Y &= (255/1.402) \times \text{Luma} \\ C_1 &= 111.40 \times \text{Chroma}_1 + 156 \\ C_2 &= 135.64 \times \text{Chroma}_2 + 137 \end{aligned} \quad (5.6)$$

### 5.3.2 NIFRGB Colorimetric Definition and Digital Encoding

NIFRGB is defined from standard CIE colorimetric values and the NIFRGB reference viewing environment which corresponds to indoor viewing of computer CRT displays. This definition describes the encoding of the appearance of the colors displayed on a reference monitor based on the reference primaries and transfer function implied in

## Section 5: Color Space Specifications

CCIR Recommendation 709[1] when the observer adaptive white corresponds to D65 chromaticities. This transfer function is consistent with a large variety of legacy images including video and Microsoft Windows based imagery.

The CIE chromaticities for the red, green, and blue CCIR-709 reference primaries, and for CIE Standard Illuminant D65[2], are given in Table 5.2.

For NIFRGB, the goal is to communicate the appearance of the presentation of the appearance of the colors as displayed on a reference monitor in terms of 8-bit digital code values. Given the CIE  $XYZ_{D65}$  tristimulus values for the colors represented on the monitor, a transformation can be made to reference monitor  $RGB_{NIF}$  tristimulus values.

$$\begin{bmatrix} R_{NIF} \\ G_{NIF} \\ B_{NIF} \end{bmatrix} = \begin{bmatrix} 3.2410 & -1.5374 & -0.4986 \\ -0.9692 & 1.8760 & 0.0416 \\ 0.0556 & -0.2040 & 1.0570 \end{bmatrix} \begin{bmatrix} X_{D65} \\ Y_{D65} \\ Z_{D65} \end{bmatrix} \quad (5.7)$$

In the NIFRGB encoding process,  $RGB_{NIF}$  values between 0.0 and 1.0 are encoded, while values outside that range are clipped and not retained. Therefore the luminance dynamic range and color gamut defined by the RGB tristimulus values of the reference monitor are limited.

Reference monitor  $RGB_{NIF}$  tristimulus values are next transformed to nonlinear  $RGB'_{NIF}$  values as follows:

For  $R_{NIF}, G_{NIF}, B_{NIF} \geq 0.00304$ :

$$\begin{aligned} R'_{NIF} &= 1.055 \times R_{NIF}^{0.42} - 0.055 \\ G'_{NIF} &= 1.055 \times G_{NIF}^{0.42} - 0.055 \\ B'_{NIF} &= 1.055 \times B_{NIF}^{0.42} - 0.055 \end{aligned} \quad (5.8a)$$

For  $0.0 < R_{709}, G_{709}, B_{709} < 0.00304$ :

$$\begin{aligned} R'_{NIF} &= 12.92 \times R_{NIF} \\ G'_{NIF} &= 12.92 \times G_{NIF} \\ B'_{NIF} &= 12.92 \times B_{NIF} \end{aligned} \quad (5.8b)$$

For NIF RGB, the nonlinear  $R'G'B'_{NIF}$  values are converted to digital code values. For 24-bit (8-bits/channel) encoding, NIF RGB values are formed according to the following Equation 5.9:

$$\begin{aligned} R_{8bit} &= 255.0 \times R'_{NIF} \\ G_{8bit} &= 255.0 \times G'_{NIF} \\ B_{8bit} &= 255.0 \times B'_{NIF} \end{aligned} \quad (5.9)$$

## 5.4 Monochrome Encoding Definition

---

The *FlashPix* format supports the encoding and storage of 8-bit monochrome imagery. NIF monochrome is defined in terms of luminance,  $Luma_{NIF}$ , and is defined in terms of luminance,  $Luma_{NIF}$ , and is designed to encode the appearance of a monochrome image on a reference monitor based on the primaries and tone transfer function defined in CCIR Recommendation 709.

Section 2.1 of CCIR Recommendation 601-4 defines a relationship between an analog luminance signal,  $E'_y$  and red, green, and blue analog color signals ( $E'_R$ ,  $E'_G$ ,  $E'_B$ ) and that relationship is given here as Equation 5.10.

$$E'_y = 0.299E'_R + 0.587E'_G + 0.114E'_B \quad (5.10)$$

The definition of NIF monochrome uses this relationship, however, the definitions and values of  $E'_y$ ,  $E'_R$ ,  $E'_G$ ,  $E'_B$  are not used from CCIR Recommendation 601-4. Instead, NIF monochrome is defined in terms of a luminance,  $Luma_{NIF}$ , and builds from the non-linear,  $R'_{NIF}$ ,  $G'_{NIF}$ , and  $B'_{NIF}$  signals given in Equation 5.8a and Equation 5.8b of the definitions of NIFRGB. The relationship is given in Equation 5.11.

$$Luma_{NIF} = 0.299R'_{NIF} + 0.587G'_{NIF} + 0.114B'_{NIF} \quad (5.11)$$

The 8-bit digital encoding of  $Luma$  for NIF Monochrome is given in Equation 5.12.

$$Y_{NIF} = 255.0 \times Luma_{NIF} \quad (5.12)$$



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# SECTION 6 *Image Info Property Set*

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In addition to image data, a *FlashPix* image object also contains information to enhance the use of the image (information, for example, about the image itself, as well as how it was captured and how it might be used). This non-image data is stored in the image info property set in the *FlashPix* image object storage.

Stream name:            \005Image\040Info  
Class ID:               56616500-C154-11CE-8553-00AA00A1F95B  
Format ID:              56616500-C154-11CE-8553-00AA00A1F95B

## 6.1 Informational Groups

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Though the properties may appear in any order, the property set is divided into several conceptual groups, each describing a different aspect of the image. The property groups are:

- File source
- Intellectual property
- Content description
- Camera information
- Per picture camera settings
- Digital camera characterization
- Film description
- Original document scan description
- Scan device

The information in these groups provides the framework to document facts about image capture, intellectual property concerns, and descriptive information about the image itself. With some images, users need to know who is in the picture, where and when it was taken, and so on, to understand the significance of the image.

For instance, a photograph of an automobile accident is useless to an insurance company unless it is known to which accident the picture applies. Similarly, an old family picture is far more interesting if it is known which ancestor is in the picture, and when and where it was taken. One problem with traditional methods of dealing with images is that it is easy for this data to become separated from the images, greatly diminishing the value of the images.

A fundamental concept of the *FlashPix* format is that an image should be as self-describing as possible. As an image moves across a network, or is written to various types of media, the self-describing data should move with the image.

Any property may be omitted. If omitted, that property should be treated as if the value is unknown. All property ID codes not explicitly listed are reserved for registered extensions.

## 6.2 File Source Group

This group of properties specify how the image was created. Table 6.1 lists the properties in this group:

TABLE 6.1

Properties in the file source group

Property name	ID code	Type
File source	0x21000000	VT_UI4
Scene type	0x21000001	VT_UI4
Creation path vector	0x21000002	VT_UI4   VT_VECTOR
Software Name/Manufacturer/Release	0x21000003	VT_LPWSTR
User defined ID	0x21000004	VT_LPWSTR
Sharpness approximation	0x21000005	VT_R4

### File source property (optional)

This property specifies the device source of the digital file, such as a film scanner, reflection print scanner, or digital camera. Possible values are listed in Table 6.2. Values greater than 0x5 must be handled by core reader software as though they were Unidentified (0x0).

TABLE 6.2

Valid file source property values

Value	Meaning
0x0	Unidentified
0x1	Film scanner
0x2	Reflection print scanner
0x3	Digital camera
0x4	Still from video
0x5	Computer graphics

**Scene type property (optional)**

This property specifies the type of scene that was captured. It differentiates “original scenes” (direct capture of real-world scenes) from “second generation scenes” (images captured from pre-existing hardcopy images). It provides further differentiation for scenes that are digitally composed. Values greater than 0x3 must be handled by core reader software as though they were Unidentified (0x0). Possible values are listed in Table 6.3.

TABLE 6.3

Valid scene type property values

Value	Meaning
0x0	Unidentified
0x1	Original scene
0x2	Second generation scene
0x3	Digital scene generation

**Creation path vector property (optional)**

This property encodes the conversion path that an image takes as defined by both analog and digital capture processes. Each element of the vector is a property ID that corresponds to a property in the non-image data *FlashPix* properties. The first element of the vector is the *last* capture of the scene, corresponding to the property for File Source. Some examples are as follows.

A reflection print from an original scene would be listed as “File source, Type of reflection original, Film type, Camera model name, Scene type.”

A reflection print from a second generation scene would be listed as “File source, Type of reflection original, Film type, Camera model name, Original medium, Scene type.”

Film from the original scene would be listed as “File source, Film type, Camera model name, Scene type.”

Film from a second generation scene would be listed as “File source, Film type, Camera model name, Original medium, Scene type.”

An image from a digital camera capture of the original scene would be listed as “File source, Camera model name, Scene type.”

An image from a digital camera capture of a second generation scene would be listed as “File source, Camera model name, Original medium, Scene type.”

A still from a video camera would be listed as “File source, Camera model name, Scene type.”

A computer generated image would be listed as “File source, Software name/manufacturer/release.”

**Software name/release property (optional)**

This property encodes the name of the software, its manufacturer’s name, and the version of the software used to create the *FlashPix* image.

**User defined ID property (optional)**

This property encodes the values of an identification system assigned to an image by the user. This property is useful when users have their *own* filing or accounting scheme with an identification system already in place, and enables users to cross-reference their digital files to a pre-existing analog one.

**Sharpness approximation property (optional)**

To perform image filtering in a resolution independent manner (Section 7.3.2), the algorithm must have information on the degree of blurring introduced by the system components which generated the digital image (digital camera, scanner, etc.). This is expressed as the effective filter width,  $q$ . Approximate the total capture MTF by the form  $e^{-qs}$ , where  $q$  is the width and  $s$  is the spatial frequency measured in cycles per pixel at the captured resolution delivered by the input device. If the MTF is far from Gaussian form, fit the low-frequency portion best. This property specifies the value  $q$ .

## 6.3 Intellectual Property Group

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The intellectual property group contains information about the ownership and copyright status of the image. Rights for an original artifact may be stated, along with the rights for the digital file. Table 6.4 lists the properties in this group.

**Copyright message property (optional)**

This property encodes the copyright notice of the Legal Broker for the digital file. The complete copyright statement should be listed in this field, including any dates and statements of claims. If desired, this property can also list details concerning the Legal Broker.

TABLE 6.4

**Properties in the intellectual property group**

Property name	ID code	Type
Copyright message	0x22000000	VT_LPWSTR
Legal broker for the original image	0x22000001	VT_LPWSTR
Legal broker for the digital image	0x22000002	VT_LPWSTR
Authorship	0x22000003	VT_LPWSTR
Intellectual property notes	0x22000004	VT_LPWSTR

**Legal broker for the original image property (optional)**

This property encodes the name of the person or organization that holds the legal right to grant permissions or restrict use of the original image. The original image is either the analog source scanned to create the digital file or the original digital capture of a scene.

**Legal broker for the digital image property (optional)**

This property encodes the name of the person or organization that holds the legal right to grant permissions or restrict use of the digital file.

**Authorship property (optional)**

This property encodes the name of the camera owner, photographer or image creator.

**Intellectual property notes property (optional)**

This property encodes additional information beyond the scope of other properties in this group.

## 6.4 Content Description Group

These properties describe the content of the image. Typically it is text that the user enters, either when the pictures are taken or later in the process. Table 6.5 lists the properties in this group.

**Test target in the image property (optional)**

This property encodes information about the type of scale or test target that is captured within the image frame. The values are in Table 6.6.

**Group caption property (optional)**

This property is text that describes the subject or purpose of a group of images (e.g., a roll of film). The image in the digital file is one member of the “group.”

**Caption text property (optional)**

This property is text that describes the subject or purpose of the image. It may be additionally used to provide any other type of information related to the image.

TABLE 6.5

Properties in the content description group

Property name	ID code	Type
Test target in the image	0x23000000	VT_UI4
Group caption	0x23000002	VT_LPWSTR
Caption text	0x23000003	VT_LPWSTR
People in the image	0x23000004	VT_LPWSTR   VT_VECTOR
Things in the image	0x23000007	VT_LPWSTR   VT_VECTOR
Date of the original image	0x2300000A	VT_FILETIME
Events in the image	0x2300000B	VT_LPWSTR   VT_VECTOR
Places in the image	0x2300000C	VT_LPWSTR   VT_VECTOR
Content description notes	0x2300000F	VT_LPWSTR

TABLE 6.6

Valid test target in the image property values

Value	Meaning
0x0	Unidentified
0x1	Color chart
0x2	Grey card
0x3	Greyscale
0x4	Resolution chart
0x5	Inch scale
0x6	Centimeter scale
0x7	Millimeter scale
0x8	Micrometer scale

**People in the image property (optional)**

This property encodes the personal or “role” names of people in the image. Personal names are any variation of FirstName, Initial, LastName, Titles of Address denotations (for example, Dr. Jane Smith). Roles may be occupational or situational denotations (for example, doctor). Multiple entries are allowed.

**Things in the image property (optional)**

This property encodes the names of tangible objects depicted in the image, (Washington Monument, for example). Multiple entries are allowed.

**Date of the original image property (optional)**

This property encodes the date and time the image was originally captured. In the case of a scanned photograph, this would be the date and time of the original photograph, not the date and time it was scanned. The date and time the digital file was created is stored in the property Scan Date. In the case of other printed materials, this would be the date the item was originally published.

**Events in the image property (optional)**

This property encodes the events depicted in the image. Events may be personal or societal (e.g., birthday, anniversary, New Year's Eve). Editorial applications may use this property to describe historical, political, or natural events (e.g., a coronation, the Crimean War, Hurricane Andrew).

**Places in the image property (optional)**

This property encodes the place depicted in the image (Chicago, Illinois). Multiple entries are allowed (e.g., the image may contain a map or an aerial view of a region).

**Content description notes property (optional)**

This property encodes additional user/application defined information beyond the scope of other properties in this group.

## 6.5 Camera Information Group

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This group of properties describes the camera used to take a photograph. Table 6.7 lists the properties in this group.

TABLE 6.7

**Properties in the camera information group**

Property name	ID code	Type
Camera manufacturer name	0x24000000	VT_LPWSTR
Camera model name	0x24000001	VT_LPWSTR
Camera serial number	0x24000002	VT_LPWSTR

**Camera manufacturer name property (optional)**

This property encodes the name of the manufacturer or vendor of the camera or original-scene capture device.

**Camera model name property (optional)**

This property encodes the model name or number of the camera, and can include the serial number of the camera.

**Camera serial number property (optional)**

This property encodes the manufacturer's serial number of the camera as a text string.

## 6.6 Per Picture Camera Settings Group

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This group of properties describes the camera settings used when the image was captured.

New generations of digital and film cameras make it possible to capture more information about the conditions under which a picture was taken. This may include information about the lens aperture and exposure time, whether a flash was used, which lens was used, etc. This technical information is useful to professional and serious amateur photographers. In addition, some of these properties are useful to image database applications for populating values useful to image analysis and retrieval. Table 6.8 lists the properties in this group.

TABLE 6.8

Properties in the per picture camera settings group

Property name	ID code	Type
Capture date	0x25000000	VT_FILETIME
Exposure time	0x25000001	VT_R4
F-number	0x25000002	VT_R4
Exposure program	0x25000003	VT_UI4
Brightness value	0x25000004	VT_R4   VT_VECTOR
Exposure bias value	0x25000005	VT_R4
Subject distance	0x25000006	VT_R4   VT_VECTOR
Metering mode	0x25000007	VT_UI4
Scene illuminant	0x25000008	VT_UI4
Focal length	0x25000009	VT_R4
Maximum aperture value	0x2500000A	VT_R4
Flash	0x2500000B	VT_UI4
Flash energy	0x2500000C	VT_R4
Flash return	0x2500000D	VT_UI4
Back light	0x2500000E	VT_UI4
Subject location	0x2500000F	VT_R4   VT_VECTOR
Exposure index	0x25000010	VT_R4
Special effects optical filter	0x25000011	VT_UI4   VT_VECTOR
Per picture notes	0x25000012	VT_LPWSTR

**Capture date property (optional)**

This property encodes the date and time the image was captured.

**Exposure time property (optional)**

This property encodes the exposure time used when the image was captured. The units are seconds.

**F-number property (optional)**

This property encodes the lens f-number (ratio of lens aperture to focal length) used when the image was captured.



**Exposure program property (optional)**

This property encodes the class of exposure program that the camera used at the time the image was captured. Typical exposure programs include normal-program (general-purpose auto-exposure), aperture-priority (user sets aperture, camera selects shutter speed to properly expose), shutter-priority (user sets shutter speed, camera selects aperture to properly expose), etc. Values greater than 0x8 must be handled by core reader software as though they were Unidentified (0x0). Possible values are listed in Table 6.9.

TABLE 6.9

Valid exposure program property values

Value	Meaning
0x0	Unidentified
0x1	Manual
0x2	Program normal
0x3	Aperture priority
0x4	Shutter priority
0x5	Program creative (biased toward greater depth of field)
0x6	Program action (biased toward faster shutter speed)
0x7	Portrait mode (intended for close-up photos with the background out of focus)
0x8	Landscape mode (intended for landscapes with the background in good focus)

**Brightness value property (optional)**

This property encodes the Brightness Value (BV) measured when the image was captured, using APEX units. The expected maximum value is approximately 13.00 corresponding to a picture taken of a snow scene on a sunny day, and the expected minimum value is approximately -3.00 corresponding to a night scene.

If the value supplied by the capture device represents a range of values rather than a single value, it is encoded as a VT\_VECTOR of two VT\_R4 real numbers. The first value represents the lower value of the range, and the second represents the higher value. If the capture device supplies an exact value, it is encoded as a VT\_VECTOR with a single VT\_R4 value in the vector.

**Exposure bias value property (optional)**

This property encodes the actual exposure bias (the amount of over- or under-exposure relative to a normal exposure, as determined by the camera's exposure system) used when capturing the image, using APEX units. The range is between -99.99 and 99.99.

The value is the number of exposure values (stops). For example, -1.00 indicates 1 eV (1 stop) underexposure, or half the normal exposure.

**Subject distance property (optional)**

This property encodes the distance (in meters) between the front nodal plane of the lens and the position at which the camera was focusing when the image was captured. Note that the camera may have focused on a subject within the scene which may not have been the primary subject.

If the value supplied by the capture device represents a range of values rather than a single value, it is encoded as a VT\_VECTOR of two VT\_R4 real numbers. The first value represents the lower value of the range, and the second represents the higher value. If the capture device supplies an exact value, it is encoded as a VT\_VECTOR with a single VT\_R4 value in the vector. Focus at infinity is encoded as -1.

#### **Metering mode property (optional)**

This property encodes the metering mode (the camera's method of spatially weighting the scene luminance values to determine the sensor exposure) used when capturing the image. Values greater than 0x4 must be handled by core reader software as though they were Unidentified (0x0). Possible values are listed in Table 6.10.

TABLE 6.10

Valid metering mode property values

Value	Meaning
0x0	Unidentified
0x1	Average
0x2	Center weighted average
0x3	Spot
0x4	Multi-spot

#### **Scene illuminant property (optional)**

This property encodes the light source (scene illuminant) that was present when the image was captured. Values between 0xB and 0x7FFF must be handled by core reader software as though they were Unidentified (0x0).

Note: Bit 15 of this 16-bit word is used as the key to whether or not a color temperature value is being stored. If bit 15 is 0, the value described within bits 0-14 will provide one of the prescribed color values depicted within the table below. If bit 15 is 1, bits 0-14 contain the actual color temperature value stored in units of Kelvin. In this case, color temperatures are limited to values in the range of 0 to 32767 Kelvin. Valid values are listed in Table 6.11. Values between 0xB and 0x7FFF must be handled by core reader software as though they were Unidentified (0x0).

#### **Focal length property (optional)**

This property encodes the lens focal length (in millimeters) used to capture the image.

#### **Max aperture value property (optional)**

This property encodes the maximum possible aperture opening (minimum lens f-number) of the camera or image capturing device, using APEX units. The allowed range is 1.00 to 99.99.

#### **Flash property (optional)**

This property encodes whether flash was used. Possible values are listed in Table 6.12. Values greater than 0x2 must be handled by core reader software as though they were Unidentified (0x0).

TABLE 6.11

Valid scene illuminant property values

Value	Meaning
0x0	Unidentified
0x1	Daylight
0x2	Fluorescent light
0x3	Tungsten lamp
0x4	Flash
0x5	Standard illuminant A
0x6	Standard illuminant B
0x7	Standard illuminant C
0x8	D55 illuminant
0x9	D65 illuminant
0xA	D75 illuminant
> 0x7FFF	The encoded actual temperature

TABLE 6.12

Valid flash property values

Value	Meaning
0x0	Unidentified
0x1	No flash used
0x2	Flash used

**Flash energy property (optional)**

This property encodes the amount of flash energy that was used. The measurement units are Beam Candle Power Seconds (BCPS).

**Flash return property (optional)**

This property encodes whether the camera judged that the flash was not effective at the time of exposure. Values greater than 0x2 must be handled by core reader software as though they were Unidentified (0x0). Possible values are listed in Table 6.13.

TABLE 6.13

Valid flash return property values

Value	Meaning
0x0	Unidentified
0x1	Subject outside flash range
0x2	Subject inside flash range

**Back light property (optional)**

This property encodes the camera's evaluation of the lighting conditions at the time of exposure. The definitions of the conditions are:

- Front lit: the subject is illuminated from the front side.
- Back lit 1: The brightness value difference between the subject center and the surrounding area is greater than one full step (APEX). The frame is exposed for the subject center.
- Back lit 2: The brightness value difference between the subject center and the surrounding area is greater than one full step (APEX). The frame is exposed for the surrounding area.

Values greater than 0x3 must be handled by core reader software as though they were Unidentified (0x0). Possible values are listed in Table 6.14.

TABLE 6.14

Valid back light property values

Value	Meaning
0x0	Unidentified
0x1	Front lit
0x2	Back lit 1
0x3	Back lit 2

**Subject location property (optional)**

This property identifies the approximate location of the subject in the scene. It provides an X column number and Y row number that corresponds to the center of the subject location. It is stored as a VT\_VECTOR of two VT\_R4 values, where the first value of the vector is the X location and the second value of the vector is the Y location, in resolution-independent coordinates where the height of the image is 1.0 and the width is the aspect ratio.

**Exposure index property (optional)**

This property encodes the exposure index setting the camera selected.

**Special effects optical filter property (optional)**

This property encodes the type of filter used. The property contains an array of filter values, where the order of the elements in the array indicates the stacking order of the filters. The first value in the array is the filter closest to the original scene. Possible values are listed in Table 6.15. Values greater than 0x7 must be handled by core reader software as though they were Unidentified (0x0).

**Per picture camera settings notes property (optional)**

This property encodes additional information not provided by the other properties. Both professional and amateur photographers may want to keep track of a variety of miscellaneous technical information, such as the use of extension tubes, bellows, close-up lenses, and other specialized accessories.

TABLE 6.15

Valid special effects optical filter property values

Value	Meaning
0x0	Unidentified
0x1	None
0x2	Colored
0x3	Diffusion
0x4	Multi-image
0x5	Polarizing
0x6	Split-field
0x7	Star

## 6.7 Digital Camera Characterization Group

This group of properties stores technical data specific to digital cameras. Table 6.16 lists the properties in the group.

TABLE 6.16

Properties in the digital camera characterization group

Property name	ID code	Type
Sensing method	0x26000000	VT_UI4
Focal plane X resolution	0x26000001	VT_R4
Focal plane Y resolution	0x26000002	VT_R4
Focal plane resolution unit	0x26000003	VT_UI4
Spatial frequency response	0x26000004	VT_VARIANT   VT_VECTOR
CFA pattern	0x26000005	VT_VARIANT   VT_VECTOR
Spectral sensitivity	0x26000006	VT_LPWSTR
ISO speed ratings	0x26000007	VT_UI2   VT_VECTOR
OECF	0x26000008	VT_VARIANT   VT_VECTOR

### Sensing method property (optional)

This property encodes the type of image sensor used in the camera or image capturing device. Possible values are listed in Table 6.17. Values greater than 0x8 must be handled by core reader software as though they were Unidentified (0x0).

TABLE 6.17

Valid sensing method property values

Value	Meaning
0x0	Undefined
0x1	Monochrome area sensor
0x2	One-chip color area sensor
0x3	Two-chip color area sensor
0x4	Three-chip color area sensor
0x5	Color sequential area sensor
0x6	Monochrome linear sensor
0x7	Trilinear sensor
0x8	Color sequential linear sensor

**Focal plane X resolution property (optional)**

This property encodes the number of pixels per *FocalPlaneResolutionUnit* in the *ImageWidth* direction for the main image. This property specifies the actual *FocalPlaneXResolution* at the focal plane of the camera. If this property is stored, the Focal length property in the per picture camera settings group must also be stored.

**Focal plane Y resolution property (optional)**

This property encodes the number of pixels per *FocalPlaneResolutionUnit* in the *ImageLength* direction for the main image. This property specifies the actual *FocalPlaneYResolution* at the focal plane of the camera. If this property is stored, the Focal length property in the per picture camera settings group must also be stored.

**Focal plane resolution unit property (optional)**

This property encodes the unit of measurement for the *FocalPlaneXResolution* and *FocalPlaneYResolution*. This property is mandatory if *FocalPlaneXResolution* or *FocalPlaneYResolution* exist. If this property is stored, the Focal length property in the per picture camera settings group must also be stored. Values other than those explicitly listed in Table 6.18 are not supported.

TABLE 6.18

Valid focal plane resolution unit property values

Value	Meaning
0x0	Inches
0x1	Meters
0x2	Centimeters
0x3	Millimeters

**Spatial frequency response property (optional)**

This property encodes the spatial frequency response (SFR) of the camera or image capturing device. The camera measured SFR data, described in ISO/TC42/WG18 Work Item [188] Working Draft 6.0, “Photography - Electronic still picture cameras - Resolution measurements,” can be stored as a table of spatial frequencies, horizontal SFR values, vertical SFR values, and diagonal SFR values. The following is a simple example of measured SFR data table (Table 6.19):

TABLE 6.19

**Sample frequency response**

Spatial frequency (lw/ph <sup>a</sup> )	Horizontal SFR	Vertical SFR
0.1	1.00	1.00
0.2	0.90	0.95
0.3	0.80	0.85

a. line widths per picture height

The spatial frequency response is stored as a VT\_VARIANT | VT\_VECTOR in the format shown in Table 6.20.

TABLE 6.20

**Structure and entries of spatial frequency response VT\_VARIANT | VT\_VECTOR block**

Field	Type
Number of columns	VT_UI4
Number of rows	VT_UI4
Column headings	VT_LPWSTR   VT_VECTOR
Data	VT_R4   VT_VECTOR

The number of entries in the column headings vector is the same as the number of columns, and the number of entries in the data field is the product of the number of rows and columns. Data entries are stored in row major order.

**CFA pattern property (optional)**

This property encodes the actual color filter array (CFA) geometric pattern of the image sensor used to capture a single-sensor color image. It is not relevant for all sensing methods.

The first value, *CFARepatRows*, encodes the number of rows in the vertical direction needed to uniquely define the repeat pattern of the CFA. The second value, *CFARepatCols*, encodes the number of columns in the horizontal direction that are needed to uniquely define the repeat pattern of the CFA. These two values are followed by a list of integer values of length (*CFARepatRows* x *CFARepatCols*) that define the color filter pattern, using the integers given in Table 6.21.

TABLE 6.21

Valid CFA pattern property values

Value	Meaning
0x0	Red
0x1	Green
0x2	Blue
0x3	Cyan
0x4	Magenta
0x5	Yellow
0x6	White

This property is stored in the form of a VT\_VARIANT | VT\_VECTOR, as shown in Table 6.20.

TABLE 6.22

Structure and entries of CFA pattern VT\_VARIANT | VT\_VECTOR block

Field	Type
<i>CFARepeatRows</i>	VT_UI2
<i>CFARepeatCols</i>	VT_UI2
<i>CFAArray</i>	VT_UI1   VT_VECTOR

where *CFARepeatRows* and *CFARepeatCols* are the minimum number of rows and columns, respectively, needed to uniquely define the CFA pattern, and where *CFAArray* is a list of unsigned 1 byte integers, in row major order that define the pattern. For example, the property:

```

CFARepeatRow    = 2
CFARepeatCol    = 2
CFAArray        = 1 0 2 1

```

corresponds to the Bayer CFA pattern shown below:

```

Line 0          = G R G R G R ...
Line 1          = B G B G B G ...
Line 2          = G R G R G R ...
Line 1          = B G B G B G ...

```

#### Spectral sensitivity property (optional)

This property field can be used to describe the spectral sensitivity of each channel of the camera used to capture the image. It is useful for certain scientific applications.

The property field is an ASCII string compatible with the “New Standard Practice for the Electronic Interchange of Color and Appearance Data” being developed within an ASTM Technical Committee. The ASCII string consists of a mandatory keyword list



followed by the associated data values. Mandatory keywords include NUMBER\_OF\_FIELDS, which equals the number of channels (spectral bands) + 1, and NUMBER\_OF\_SETS, which specifies the number of spectral frequency (wavelength) entries.

**ISO speed ratings property (optional)**

The property field is a VT\_VECTOR of two VT\_UI2 values. The first value is the ISO saturation speed rating classification and the second value is the ISO noise-based speed rating classification as defined in [21] tables 1 and 2.

**OECF property (optional)**

This property encodes the “Opto-Electronic Conversion Function” (OECF). The OECF is the relationship between the optical input and the image file code value outputs of an electronic camera. The property allows OECF values defined in [22] to be stored as a table of values. Table 6.23 shows a simple example of measured OECF data.

TABLE 6.23

**An example of measured OECF data**

Log exposure	Red output level	Green output level	Blue output level
-3.0	10.2	12.5	8.9
-2.0	48.1	47.5	48.3
-1.0	150.2	152.0	149.8

The OECF is stored as a VT\_VARIANT | VT\_VECTOR in the following format (Table 6.20).

TABLE 6.24

**Structure and entries of OECF VT\_VARIANT | VT\_VECTOR block**

Field	Type
Number of columns	VT_UI2
Number of rows	VT_UI2
Column headings	VT_LPWSTR   VT_VECTOR
Data	VT_R4   VT_VECTOR

The number of entries in the column headings vector is the same as the number of columns, and the number of entries in the data field is the product of the number of rows and columns. Data entries are stored in row major order.

## 6.8 Film Description Group

This group of properties is used for images originating on photographic film. Table 6.25 lists the properties in the group.

TABLE 6.25

**Properties in the film description group**

Property name	ID code	Type
Film brand	0x27000000	VT_LPWSTR
Film category	0x27000001	VT_UI4
Film size	0x27000002	VT_VARIANT   VT_VECTOR
Film roll number	0x27000003	VT_UI4
Film frame number	0x27000004	VT_UI4

### Film brand property (optional)

This property encodes the name of the film manufacturer, the brand name, product code and generation code (for example, Kodak Gold100, Kodak Aerial 100).

### Film category property (optional)

This property encodes the category of film used. Legal values are listed in Table 6.26.

TABLE 6.26

**Valid film category property values**

Value	Meaning
0x0	Unidentified
0x1	Negative B/W
0x2	Negative color
0x3	Reversal B/W
0x4	Reversal color
0x5	Chromagenic
0x6	Internegative B/W
0x7	Internegative color

Values greater than 0x7 must be handled by core reader software as though they were Unidentified (0x0).

Note: Chromagenic refers to B/W negative film that is developed with a C41 process (i.e., color negative chemistry).

### Film size property (optional)

This property encodes the size of the X and Y dimension of the film used, and the unit of measurement. These properties are encoded as VT\_VARIANT | VT\_VECTOR, and

internally consists of two VT\_R4 dimensions and one VT\_UI2 unit value indicator as shown in Table 6.27.

TABLE 6.27

**Structure and entries of original scanned image size VT\_VARIANT | VT\_VECTOR block**

Field	Type
Film size X	VT_R4
Film size Y	VT_R4
Film size unit	VT_UI2

Film size X and Y are the width and height of the original film used, respectively, represented in the unit specified by Film size unit. Film size unit has the same values as the focal plane resolution unit (Table 6.18).

**Film roll number property (optional)**

This property encodes the roll number of the film. For some film, this number is encoded on the film cartridge as a bar code.

**Film frame number property (optional)**

This property encodes the frame number from the roll of film.

## 6.9 Original Document Scan Description Group

This group of properties is used for images originating as documents or prints. Table 6.28 lists the properties in the group.

TABLE 6.28

**Properties in the original document scan description group**

Property name	ID code	Type
Original scanned image size	0x29000000	VT_VARIANT   VT_VECTOR
Original document size	0x29000001	VT_VARIANT   VT_VECTOR
Original medium	0x29000002	VT_UI4
Type of original	0x29000003	VT_UI4

**Original scanned image size property (optional)**

This property encodes the lengths of the X and Y dimension of the scanned area, and the unit of measurement. These properties are encoded as VT\_VARIANT | VT\_VECTOR, and internally consists of two VT\_R4 dimensions and one VT\_UI2 unit value indicator as shown in Table 6.29.

TABLE 6.29

**Structure and entries of original scanned image size VT\_VARIANT | VT\_VECTOR block**

Field	Type
Original size X	VT_R4
Original size Y	VT_R4
Original size unit	VT_UI2

Original size X and Y are the width and height of the original scanned image, respectively, represented in the unit specified by Original size unit. Original size unit has the same values as the focal plane resolution unit (Table 6.18).

**Original document size property (optional)**

This property encodes the lengths of the X and Y dimension of the original photograph or document, and the unit of measurement. These values are encoded as VT\_VARIANT | VT\_VECTOR, and internally consist of two VT\_R4 dimensions and one VT\_UI2 unit value indicator. It has the same format as the original scanned image size property (Table 6.29).

**Original medium property (optional)**

This property encodes the medium of the original photograph, document, or artifact. Possible values are shown in Table 6.30.

TABLE 6.30

**Valid original medium property values**

Value	Meaning
0x0	Unidentified
0x1	Continuous tone image
0x2	Halftone image
0x3	Line art

**Type of reflection original property (optional)**

This property encodes the type of the original document or photographic print. Possible values are shown in Table 6.31.

TABLE 6.31

**Valid type of reflection original property values**

Value	Meaning
0x0	Unidentified
0x1	B/W print
0x2	Color print
0x3	B/W document
0x4	Color document

## 6.10 Scan Device Property Group

This group of properties is used for images scanned from reflection prints, documents, photographic slides, or negatives. It contains the properties listed in Table 6.32.

TABLE 6.32

**Properties in the scan device property group**

Property name	ID code	Type
Scanner manufacturer name	0x28000000	VT_LPWSTR
Scanner model name	0x28000001	VT_LPWSTR
Scanner serial number	0x28000002	VT_LPWSTR
Scan software	0x28000003	VT_LPWSTR
Scan software revision date	0x28000004	VT_DATE
Service bureau/organization name	0x28000005	VT_LPWSTR
Scan operator ID	0x28000006	VT_LPWSTR
Scan date	0x28000008	VT_FILETIME
Last modified date	0x28000009	VT_FILETIME
Scanner pixel size	0x2800000A	VT_R4

**Scanner manufacturer name property (optional)**

This property encodes the manufacturer or vendor of the scanner.

**Scanner model name property (optional)**

This property encodes model name or number of the scanner. It can also include the serial number of the scanner.

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*FlashPix* Format Specification**Scanner serial number property (optional)**

This property encodes the manufacturer's serial number of the scanner as a text string.

**Scan software property (optional)**

This property encodes the name and version of the scanner software or firmware.

**Scan software revision date property (optional)**

This property encodes the revision date of the scanner software or firmware. The date should be in GMT.

**Service bureau/organization name property (optional)**

This property encodes the name of the service bureau, photofinisher, or organization performing the scan.

**Scan operator ID property (optional)**

This property encodes a name or ID for the person operating the scanner.

**Scan date property (optional)**

This property encodes the date and time the image was originally captured and digitized. This property should never be changed after it is written in the image capture device.

**Last modified date property (optional)**

This property encodes the last modification date of the scanned data.

**Scanner pixel size property (optional)**

This property specifies the pixel size, in micrometers, of the scanner.

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S E C T I O N  
**7** *FlashPix Image View  
Object*

---

## 7.1 *FlashPix* Image View Object

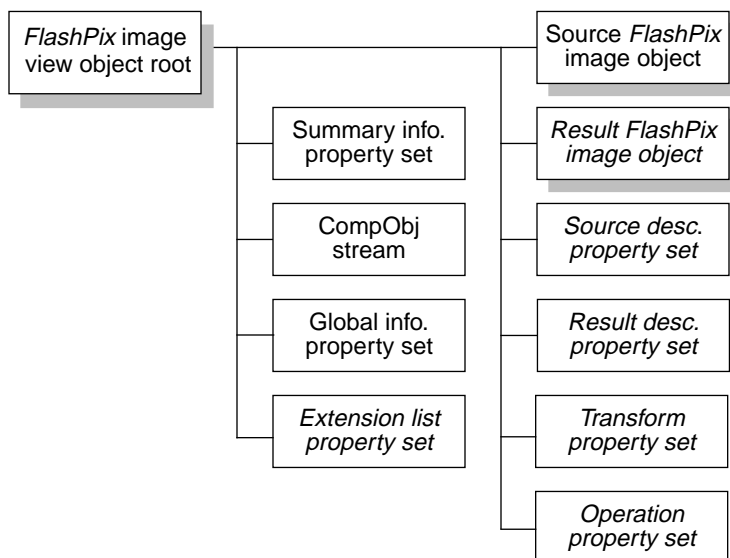
---

The *FlashPix* format allows the specification of a viewing transform through a *FlashPix* image view object which references a *FlashPix* image. The viewing transform enables applications to represent a set of simple edits as a list of “commands” which are applied to the image in real time without altering the original image.

Storage name: Any valid storage name (recommend the file name in host file system)  
Class ID: 56616700-C154-11CE-8553-00AA00A1F95B

This class ID is to be used for all *FlashPix* image view objects whether or not they contain any extensions. Figure 7.1 shows the storages and streams in a *FlashPix* image view. Those streams and storages in italics are optional or optional under certain circumstances.

FIGURE 7.1

**FlashPix image view storages and streams**

A *FlashPix* image view object contains the following storages and streams which are defined in more detail in subsequent sections. All property sets must abide by the restrictions specified in Section 1.4.1.

**Source *FlashPix* image (required)**

This storage is an instance of a *FlashPix* image object as defined in *Section 3: The FlashPix Image Object*. Transforms may be applied to the source (original) image to produce the result image (below).

**Result *FlashPix* image (optional)**

This storage is an instance of a *FlashPix* image object as defined in *Section 3: The FlashPix Image Object*. Transforms may be applied to the source image (above) to produce the result image.

**Summary info property set (required)**

This property set is an instance of the standard Summary Information property set, as described in Section 1.4.2. The viewing transform must be applied in creating the thumbnail image which is optional if the *FlashPix* file is authored with a non-hierarchical source image object in an embedded capture environment. It is otherwise required.

**CompObj stream (required)**

This stream contains the class ID of the *FlashPix* image view object.

**Global info property set (required)**

This property set contains generic information about the image view.



**Source description property set (required)**

This property set describes location and type of the source *FlashPix* image.

**Result description property set (optional)**

This property set describes the location and type of the result *FlashPix* images. It is required if the result *FlashPix* image object exists or if there is a viewing transform specified.

**Transform property set (optional)**

This property set describes the viewing transform specified for this image view.

**Operation property set (optional)**

This property set describes the actual view transform operation.

**Extension list property set (optional)**

This property set identifies extensions present in the *FlashPix* image view object including the data structures modified or added by each extension.

**7.1.1 CompObj Stream (required)**

The CompObj stream is a standard Structured Storage stream and is not a *FlashPix* stream. The header of the stream is not extended for storage of a stream class ID. This stream is required and is defined in Section A.3. The unicode versions of the CompObj stream fields are required.

The CompObj stream Clipboard Format field is used to store the class ID of the *FlashPix* image view object. The *FlashPix* image view object class ID is converted to a string for storage in the Clipboard Format field and must be bracketed by the bracket characters '{' and '}' just as returned by the OLE function StringFromGUID2.

The CompObj stream User Type field is generally used to store the User Type information from the OLE registry for the class ID. In OLE-enabled environments, the string contents should be retrieved from the OLE registry. In non-OLE-enabled environments, a string which is a user-understandable brief description of the object contents should be used.

The CompObj stream ProgID field is generally used to store the ProgID information from the OLE registry for the class ID. In OLE-enabled environments, the string contents should be retrieved from the OLE registry. In non-OLE-enabled environments, a string which identifies the program associated with the class ID should be used. This string cannot contain any spaces.

**7.1.2 Source and Result *FlashPix* Image Objects**

Names:	Data\040Object\040Store\040%06d
Class ID:	56616000-C154-11CE-8553-00AA00A1F95B

The source and result *FlashPix* image objects are instances of the *FlashPix* image object as defined in *Section 3: The FlashPix Image Object*. The source *FlashPix* image object is required and the result *FlashPix* image object is optional. The single numeric parameter in the name represents the index of the source and result image objects. Upon creation, the index used must be unique in the *FlashPix* image view object. The maximum image index property of the global info property set must always be the maximum *FlashPix* image object index in the *FlashPix* image view.

The source image object represents the image to be processed through the viewing transform. For example, it may be an image that needs to be cropped and rotated or its color balance adjusted. The result image object is the image generated by applying the viewing transform to the source image object. The result image object cannot exist unless the *FlashPix* image view contains a viewing transform.

### 7.1.3 Source and Result Description Property Sets

Name:	\005Data\040Object\040%06d
Class ID (for both):	56616080-C154-11CE-8553-00AA00A1F95B
Format ID:	56616080-C154-11CE-8553-00AA00A1F95B

These property sets have only one section, which has a format ID that is the same as the property set class ID.

These property sets are associated with the source and result image objects in this image view object. This association is indicated by matching index values in the *FlashPix* image object storage and description property set names.

Source description properties describe the source image object. Result description properties describe the result image object. Both property sets have the same format, as described below.

If the *FlashPix* image view does not contain a viewing transform, the result description property set is unused and may not exist.

The *FlashPix* image object to be used as the input to the image view must be characterized in the source description property set. After applying the viewing transform to the source image object, an actual *FlashPix* image may be stored in a result *FlashPix* image object. Even if the result image object is not stored, the result description property set must exist if there is a viewing transform to specify how the result is created.

The valid properties for these property sets are shown in Table 7.1.

#### Data object ID property (required)

This property specifies a unique ID used to identify the associated *FlashPix* image object. These values may be used, for example, in a networked system, to determine if a local copy of the images exist or if they must be pulled across the network.

TABLE 7.1

Valid properties for the source and result description property sets

Property name	ID code	Type
Data object ID	0x00010000	VT_CLSID
Locked property list	0x00010002	VT_UI4   VT_VECTOR
Data object title	0x00010003	VT_LPWSTR
Last modifier	0x00010004	VT_LPWSTR
Revision number	0x00010005	VT_UI4
Creation time and date	0x00010006	VT_FILETIME
Modification time and date	0x00010007	VT_FILETIME
Creating application	0x00010008	VT_LPWSTR
Status	0x00010100	VT_UI4
Creator	0x00010101	VT_UI4
Users	0x00010102	VT_UI4   VT_VECTOR
Cached image height	0x10000000	VT_UI4
Cached image width	0x10000001	VT_UI4

**Locked property list property (optional)**

This property specifies a list of properties that are locked. Each value in the list is taken as a property ID of a property found in this instance of the property set. If an editing application finds a property which is also found in the locked property list, it may not modify the value of the property. If the value of a locked property is modified, the results of rendering the *FlashPix* image view from another application will be undefined. If this property exists, it may not be deleted. This property is used to provide guidance to an editing application in situations where the *FlashPix* image view is a template to be “filled out” by the user.

**Data object title (optional)**

This property specifies a title for the associated image object. If this property exists, an editing application must keep the value updated.

**Last modifier property (optional)**

This property specifies the name of the last person (or system if the last modification was made by an automatic editing system) to modify the contents of the associated image object. If this property exists, an editing application must keep the value updated.

**Revision number property (optional)**

This property specifies the number of times the associated image object has been modified since its creation. If this property exists, an editing application must keep the value updated.

**Creation time and date property (optional)**

This property specifies the time and date of creation of the associated image object. If this property exists, an editing application must keep the value updated.

**Modification time and date property (optional)**

This property specifies the time and date of the last modification to the associated image object. If this property exists, an editing application must keep the value updated.

**Creating application property (optional)**

This property specifies the name of the application that created the associated image object. If this property exists, an editing application may not delete it.

**Status property (required)**

This property (Table 7.2) indicates the status of the value of the associated image. Possi-

**TABLE 7.2****Structure and entries of the status property**

Field name	Length	Byte(s)
Existence data	2	0-1
Permissions set for data	2	2-3

ble values of existence/location field are shown in Table 7.3.

**TABLE 7.3****Valid status property values of the existence/location field**

Value	Meaning
0x0	The data object associated with this property set does not exist.
0x1	The data object associated with this property set does exist.

The existence field indicates whether the associated image object exists or is stored for direct application access. If the existence field is 0x0 (not cached), the permissions field is ignored. The source image object must be cached (the value of the existence field must be 0x1). The result image object may or may not be cached, at the discretion of the writer. Possible values of the permissions field are shown in Table 7.4.

**TABLE 7.4****Valid status property permissions field values**

Value	Meaning
0x0	The data object is purgeable
0x1	The data object is not purgeable

If the associated image object is marked purgeable, a clean-up utility may delete the image object to recover storage space if it can be recreated from a source transform. Therefore, the source image object must be set to not purgeable (0x1). The result image object should be set to purgeable (0x0).

**Creator property (required)**

This property specifies the number of the transform node that created the image object. For the source image object, the creator should be set to zero (NULL). For the result image object, the creator should be set to the index of the viewing transform.

**Users property (required)**

This property specifies a list of transforms that take this image object as an input. Each entry in the list specifies the index of a transform. The array is unordered. If the associated image object is not used by any transforms, the number of elements in the array should be zero. Therefore, the users property must be zero for the result image object.

In the source description property set, the users property must be an array with one and only one element, the index used to name the transform property set. For the result description, a *FlashPix* image view writer should write an array with zero elements.

**Cached image height and width properties (required if associated image exists)**

These properties specify the height and width, respectively, of the image cached in the associated *FlashPix* image object. These properties are the height and width of the largest resolution in the associated *FlashPix* image object. These properties are required if the status property existence flag is set to cached (0x1). If the status property existence flag is set to not cached (0x0), these properties may not exist. Note that the height property precedes the width property contrary to other height and width property pairs in the format. Note also that the cached image height and width properties for the result *FlashPix* image object take precedence over the rectangle of interest and result aspect ratio properties should they exist in the transform property set.

**7.1.4 Transform Property Set (optional)**

Name:	\005Transform\040%06d
Class ID:	56616A00-C154-11CE-8553-00AA00A1F95B
Format ID:	56616A00-C154-11CE-8553-00AA00A1F95B

This property set describes the viewing transform specified for the image view. The single numeric parameter in the name represents the index of the transform. In a *FlashPix* image view, this array has only one element, the viewing transform. Upon creation, the index used must be unique in the *FlashPix* image view object. The maximum transform index property of the global info property set must always be the maximum transform index in use in the *FlashPix* image view object. The index is referenced by both the creator property of the result description property set and the users property of the source description property set, which must both have the same value. The transform property set is unused and must not exist if the *FlashPix* image view does not contain a viewing transform. Table 7.5 lists the possible properties of the transform list property set.

**Transform node ID property (required)**

This property specifies a unique ID used to identify the viewing transform. Note that this identifies the transform itself, not the value of the parameters. For example, this ID specifies that this transform node is performing the viewing transform on a particular

TABLE 7.5

Valid properties for the transform property set

Property name	ID code	Type
Transform node ID	0x00010000	VT_CLSID
Operation Class ID	0x00010001	VT_CLSID
Locked property list	0x00010002	VT_UI4   VT_VECTOR
Transform title	0x00010003	VT_LPWSTR
Last modifier	0x00010004	VT_LPWSTR
Revision number	0x00010005	VT_UI4
Creation time and date	0x00010006	VT_FILETIME
Modification time and date	0x00010007	VT_FILETIME
Creating application	0x00010008	VT_LPWSTR
Input data object list	0x00010100	VT_UI4   VT_VECTOR
Output data object list	0x00010101	VT_UI4   VT_VECTOR
Operation number	0x00010102	VT_UI4
Result aspect ratio	0x10000000	VT_R4
Rectangle of interest	0x10000001	VT_R4   VT_VECTOR
Filtering	0x10000002	VT_R4
Spatial orientation	0x10000003	VT_R4   VT_VECTOR
Colortwist matrix	0x10000004	VT_R4   VT_VECTOR
Contrast adjustment	0x10000005	VT_R4

source image. This ID does not change if the actual viewing parameters change (the transform is reexecuted).

#### Operation class ID property (required)

This property specifies the class ID of the operation to be performed by this transform node. This property (along with the class ID of this stream) specifies the code that actually executes the viewing transform. This property must have the value 56616A00-C154-11CE-8553-00AA00A1F95B.

#### Locked property list property (optional)

This property specifies a list of properties that are locked for the viewing transform. Each value in the list is a property ID of a property found in the transform property set. Editing applications may not modify the value of properties found in the locked property list. If the value of a locked property is modified, the results of rendering the *FlashPix* image view from another application will be undefined. If this property exists, it may not be deleted. This property is used to provide guidance to an editing application in situations where the *FlashPix* image view is a template to be “filled out” by the user.

#### Transform title property (optional)

This property specifies a title for the viewing transform. If this property exists, an editing application must keep the value updated.

**Last modifier property (optional)**

This property specifies the name of the last person (or system if the last modification was made by an automatic editing system) to modify the contents of the viewing transform. If this property exists, an editing application must keep the value updated.

**Revision number property (optional)**

This property specifies the number of times the viewing transform has been modified since its creation. If this property exists, an editing application must keep the value updated.

**Creation time and date property (optional)**

This property specifies the time and date of creation of the viewing transform. If this property exists, an editing application may not delete it.

**Modification time and date property (optional)**

This property specifies the time and date of the last modification to the viewing transform. If this property exists, an editing application must keep the value updated.

**Creating application property (optional)**

This property specifies the index of the application that created the viewing transform. If this property exists, an editing application must keep the value updated.

**Input data object list property (required)**

This property specifies the index used in naming the source *FlashPix* image that is input to the viewing transform. There may be only one element in the array.

**Output data object list property (required)**

This property specifies the index of the result image (in the sparse array of images). There may be only one element in the array.

**Operation number property (required)**

This property specifies the index used in naming the viewing operation.

**Result aspect ratio property (optional)**

The result aspect ratio property allows applications to specify the desired aspect ratio for image output. The value is an IEEE 4-byte floating point number.

The value (R) defines a rectangle with the top-left corner at (0,0) and the bottom-right corner at (R,1). The result aspect ratio must be applied to the output of the spatial orientation matrix as a cropping function. Pixels outside the rectangle are cropped and 100% transparent. If the property is not present, applications must operate as though the result aspect ratio is the same as the raw image aspect ratio. Note that the cached image height and width properties in the result *FlashPix* image object result description property set take precedence over the rectangle of interest and result aspect ratio properties.

**Rectangle of interest property (optional)**

The rectangle of interest property lets applications select part of the image. Only a rectangular region with sides parallel to the edges of the image can be specified. Each element of the rectangle of interest property array is an IEEE 4-byte floating point number. The format of the rectangle of interest property is given in Table 7.6. It is discussed in

more detail in Section 7.2.1. Applications must operate as though this property is defined with the array values (0,0,R,1) if the property is not present. This indicates that the entire image is selected. Note that the cached image height and width properties in

TABLE 7.6

Format and fields of the rectangle of interest property

Field	Length	Vector Element
left edge ( $x$ )	4	0
top edge ( $y$ )	4	1
width ( $w$ )	4	2
height ( $h$ )	4	3

the result *FlashPix* image object result description property set take precedence over the rectangle of interest and result aspect ratio properties.

#### Filtering property (optional)

The filtering property specifies the degree of filtering (sharpening / blurring) applied to the raw image data. The value is an IEEE 4-byte floating point number. The interpretation of the value is discussed in Section 7.2.2. Applications must operate as though this property has a zero value if it is not present to indicate that the raw image is not filtered.

#### Spatial orientation property (optional)

The spatial orientation property allows applications to rotate, flip, stretch, and shear an image. The value is an array of 16 IEEE 4-byte floating point numbers, with the first number starting at vector element 0. The position of the sixteen elements is as follows:

$$a_{ij} \equiv \text{ARRAY}[k] \quad (7.1)$$

$$k = (i - 1) \times 4 + j - 1$$

The interpretation of the value is discussed in Section 7.2.3. Applications must operate as though this property is defined with the array value (1,0,0,0,0,1,0,0,0,0,1,0,0,0,0,1) if the property is not present. This indicates that there is no spatial transformation applied to the raw image.

#### Colortwist matrix property (optional)

The colortwist matrix property allows applications to make minor changes to the tone and color of a raw image. It is not intended to support correcting faults in the imaging chain. The value is an array of 16 IEEE 4-byte floating point numbers, with the first number starting at vector element 0. The position of the sixteen elements is as follows:

$$a_{ij} \equiv \text{ARRAY}[k] \quad (7.2)$$

$$k = (i - 1) \times 4 + j - 1$$

The interpretation of the value is discussed in Section 7.2.4. Applications must operate as though this property is defined with the array value (1,0,0,0,0,1,0,0,0,0,1,0,0,0,0,1) if



the property is not present. This indicates that there is no tone or color correction applied to the raw image.

#### **Contrast adjustment property (optional)**

The contrast adjustment property allows applications to modify the contrast of a raw image. The value is an IEEE 4-byte floating point number. The interpretation of the value is discussed in Section 7.2.5. Applications must operate as though this property has a value of 1.0 if the property is not present. This indicates that there is no contrast adjustment applied to the raw image.

### **7.1.5 Operation Property Set (optional)**

Name: \005Operation\040%06d  
 Class ID: 56616E00-C154-11CE-8553-00AA00A1F95B  
 Format ID: 56616E00-C154-11CE-8553-00AA00A1F95B

The operation property set specifies the software to execute the viewing transform. The single numeric parameter in the name represents the index of the operations in a sparse array. Upon creation, the index used must be greater than the maximum operation index property of the Global Info property set. In a *FlashPix* image view, the array has only one element, the viewing operation. The index is referenced by the operation number property in the transform property set.

If this *FlashPix* image view does not contain a viewing transform, the operation property set is unused and may not exist. Table 7.7 lists the possible properties for the operation property set.

**TABLE 7.7**

**Valid properties for the operation property set**

Property name	ID code	Type
Operation ID	0x00010000	VT_CLSID

#### **Operation ID property (required)**

This property specifies the class ID of the viewing operation. This value is used by either the *FlashPix* reader, an OLE server or an OpenDoc part to identify the actual software to implement the viewing transform. For a *FlashPix* image view, the value of this property must be 56616A00-C154-11CE-8553-00AA00A1F95B. This value specifies the actual code that executes the viewing transform.

### **7.1.6 Global Info Property Set (required)**

Stream name: \005Global\040Info  
 Class ID: 56616F00-C154-11CE-8553-00AA00A1F95B  
 Format ID: 56616F00-C154-11CE-8553-00AA00A1F95B

This property set provides global information about the image view. Table 7.8 lists the possible properties for the global info property set.

TABLE 7.8

Valid properties in the global info property set

Property name	ID code	Type
Locked property list	0x00010002	VT_UI4   VT_VECTOR
Transformed image title	0x00010003	VT_LPWSTR
Last modifier	0x00010004	VT_LPWSTR
Visible outputs	0x00010100	VT_UI4   VT_VECTOR
Maximum image index	0x00010101	VT_UI4
Maximum transform index	0x00010102	VT_UI4
Maximum operation index	0x00010103	VT_UI4

#### Locked property list property (optional)

This property specifies a list of properties that are locked for this property set. Each value in the list is a property ID of a property found in this property set. Editing applications may not modify the value of properties found in the locked property list. If the value of a locked property is modified, the results of rendering the *FlashPix* image view from another application will be undefined. If this property exists, it may not be deleted. This property is used to provide guidance to an editing application in situations where the *FlashPix* image view is a template to be “filled out” by the user.

#### Transformed image title property (optional)

This property specifies a title for the viewing transform. If this property exists, an editing application must keep the value updated.

#### Last modifier property (optional)

This property specifies the name of the last person (or system if the last modification was made by an automatic editing system) to modify the contents of this *FlashPix* image view. If this property exists, an editing application must keep the value updated.

#### Visible outputs property (required)

This property specifies the output of the image view. The value of this property indicates the image that is to be considered the output of this file. There may be only one value in this array. If the *FlashPix* image view contains a viewing transform, this value must be the index used to name the result image. If a viewing transform is not specified, this value must be the index used to name the source image.

#### Maximum image, transform node, and operation index properties (required)

These properties specify the highest index in use for data objects, transforms, and operations. When an application creates a new entity, it is recommended that it use the value of the appropriate of these index properties + 1 as the index value for that entity. Then the appropriate index property must be updated if necessary so that it is the maximum of the indices in use for the type of entity. The values of these properties are 0 prior to creating any image, transform, and operation entities.

### 7.1.7 Extension List Property Set (optional)

Stream name:            \005Image\040Contents  
 Class ID:               56616010-C154-11CE-8553-00AA00A1F95B  
 Format ID:              56616010-C154-11CE-8553-00AA00A1F95B

This property set identifies extensions present in the *FlashPix* image view object by class ID, name, and description as well as the data elements changed or added by each extension. The property set is optional, however, if the *FlashPix* image view object contains any extensions, the extension list property set must be present and all extensions, registered and private, in the *FlashPix* image view object must be described. The way in which the data associated with an extension is structured can take one or more of the following forms:

- New storage(s) may be added
- New stream(s) may be added
- New *FlashPix* stream(s) may be added
- New subimage(s) may be added to a *FlashPix* image object
- New property set(s) may be added
- New property(s) may be added to an existing property set section
- Element(s) may be added to core *FlashPix* property set vector properties that are defined as variable length
- Value of a core *FlashPix* stream field may be changed
- Value of a core property set property may be changed

There are five restrictions to structuring the data elements of an extension. First, new fields may not be added to existing *FlashPix* streams. Second, due to the inability to independently ensure property ID code uniqueness, only registered extensions may add properties to an existing property set section. Third, private extensions may not change the value of a core *FlashPix* stream field or a core property set property. Fourth, extensions can only add vector elements that are not already used by core or other extensions present in the file. Upon removal of an extension, the vector element values associated with the extension must be replaced with NULL and the vector must not be reordered. Fifth, only registered extensions can add elements to core property set vector properties.

Although there are a few practical examples where reasonable core reader actions could be defined for when an extension has changed the value of a core *FlashPix* stream field or a core property set property, these core reader actions must be considered in defining the core *FlashPix* specification. It is impractical to expect all core reader software to be updated to incorporate default actions identified in the course of developing new extensions. The definition of extensions must not impact the core definition unless some compelling feature set is identified which the *FlashPix* format Advisory Council agrees to include in a revised definition of the core *FlashPix* format. Therefore, efforts to define public extensions will avoid impacting core *FlashPix* stream field and core property set property values.

If the *FlashPix* image view object contains an extended *FlashPix* image object, the extended *FlashPix* image object must be listed in the extension list of the *FlashPix*

image view object. The details of how the *FlashPix* image object is extended are left to the extension list property set of the *FlashPix* image object itself. The extension list entry for the extended *FlashPix* image object must use the *FlashPix* image object class ID as the extension ID and the *FlashPix* image object storage name as the extension description.

If an extension is present in the *FlashPix* image view object which affects the output image appearance, an intermediate core *FlashPix* data object must be created as an intermediate source image object for core reader use. The creator transform of this source image object may not be a core viewing transform so it is clear to a core reader that this image object is truly its source and it will not attempt to resolve the creating transform. The intermediate source image object must be hierarchical, but is not required to be at the full resolution potential of images from which it is created. The extended authoring application may choose the resolution to make available. As core reader software cannot access data of a higher resolution than provided in the intermediate source image object, it is strongly recommended that data corresponding to at least 200dpi is provided. Further, the intermediate source image object does not have to be an exact representation of the output that is created from a reader supporting the extension. That may not be possible. Although the image content of the intermediate source image is also at the discretion of the authoring application, it is recommended that the closest feasible representation is provided.

The valid properties of the extension list property set are listed in Table 7.9. The

TABLE 7.9

Valid properties for the extension property list property set

Property name	ID code	Type
Used extension numbers	0x10000000	VT_UI2   VT_VECTOR
Extension name	0xiiii0001	VT_LPWSTR
Extension class ID	0xiiii0002	VT_CLSID
Extension persistence	0xiiii0003	VT_UI2
Extension creation date	0xiiii0004	VT_FILETIME
Extension modification date	0xiiii0005	VT_FILETIME
Creating application	0xiiii0006	VT_LPWSTR
Extension description	0xiiii0007	VT_LPWSTR
Storage / stream pathname	0xiiii1000	VT_LPWSTR   VT_VECTOR
<i>FlashPix</i> stream pathname	0xiiii2000	VT_LPWSTR   VT_VECTOR
<i>FlashPix</i> stream field offset	0xiiii2001	VT_UI4   VT_VECTOR
Property set pathname	0xiiii3000	VT_LPWSTR   VT_VECTOR
Property set ID codes	0xiiii3jj1	VT_LPWSTR   VT_VECTOR
Property vector elements	0xiiii3jj2	VT_LPWSTR   VT_VECTOR

extensions present in the *FlashPix* image view object are numbered for the convenience of grouping the descriptive information about each extension. Property ID codes

0xiiiixxxx describe the extension numbered 0xiiii.

**Used extension numbers property (required)**

This property lists all extension numbers 0xiiii used in the extension list property set for the *FlashPix* image view object. The property value is an unordered array of 0xiiii values.

All applications must update this property each time an extension is added to or removed from a *FlashPix* image view object.

**Extension name property (required)**

This property identifies the name of the extension. If the extension is registered, the name used must be that which is published in the official *FlashPix* Extension Specification. For private extensions, the name is the whatever short, descriptive label the authoring application chooses.

All applications must retain this property upon a save or copy function by default, or in accordance with the extension persistence.

**Extension class ID property (required)**

This property identifies a unique class ID for the extension. If the extension is registered, the class ID must be that which is published in the official *FlashPix* Extension Specification. For private extensions, the class ID is assigned by the authoring application.

All applications must retain this property upon a save or copy function by default, or in accordance with the extension persistence.

**Extension persistence property (required)**

This property identifies the persistence of the extension with respect to edits to the core data elements of the *FlashPix* image view object. The legal values for the extension persistence property are defined in Table 7.10.

It is the responsibility of the reader/writer application upon save or copy functions to retain the extension data elements by default, or in accordance with the extension persistence property.

All applications must retain this property upon a save or copy function by default, or in accordance with the extension persistence.

**Extension creation date property (optional unless extension persistence property is 0x2)**

This property specifies the time and date the authoring application added the extension to the *FlashPix* image view object. If the property exists, all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence value.

TABLE 7.10

**Legal values of the existence persistence property**

Value	Meaning
0x0	Extension is valid independent of core element edits
0x1	Extension is invalid upon core element edits
0x2	Extension is potentially invalid upon core element edits

**Extension modification date property (optional unless extension persistence property is 0x2)**

This property specifies the time and date of the last modification to the extension. If the property exists, all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence value.

**Creating application property (optional)**

This property specifies the name of the application that authored the extension in the file. If the property exists, any application editing the extension must update the value and all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence value.

**Extension description property (optional)**

The description property is a short (<80 character) description of the extension. If the property exists, all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence value.

**Storage/stream pathname property (optional)**

This property lists the full storage or non-FlashPix stream name, including the path in the structured storage file from the FlashPix image view object storage, for each storage or non-FlashPix stream the extension added to the FlashPix image view object. The path is specified using the standard Unix file specification tokens: "/" represents a directory separator and must be the first character of the property value. Wildcard characters "\*" and "?" (where "\*" matches any 0 or more characters and "?" matches any 1 character) are permitted in the path portion of the property value. If a storage is listed in the extension list property set, its contents should not also be listed as they are assumed to also be associated with that extension. If this property is omitted it is assumed that no storages are added to the FlashPix image view object for the extension. If the property exists, all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence property value.

**FlashPix stream pathname property (optional)**

This property lists the full FlashPix stream name, including the path from the FlashPix image view object storage, for each FlashPix stream the extension added to or modified in the FlashPix image view object. The path is specified using the standard Unix file specification tokens: "/" represents a directory separator and must be the first character of the property value. Wildcard characters "\*" and "?" (where "\*" matches any 0 or more characters and "?" matches any 1 character) are permitted in the path portion of

the property value. The array of values for the *FlashPix* stream pathname property and the *FlashPix* stream field offset property array of values for extension *iiii* are associated as described in Table 7.11. If this property is omitted it is assumed that no *FlashPix* streams are added to or modified in the *FlashPix* image view object for the extension. If the property exists, all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence property value.

***FlashPix* stream field offset property (optional)**

This property lists the byte offsets (after the header) into the *FlashPix* stream identified with the *FlashPix* stream pathname property array of fields modified by the extension. The array of values for the *FlashPix* stream field offset property and the *FlashPix* stream pathname property array of values for extension *0xiiii* are associated as described in Table 7.11. This property is required only if the *FlashPix* stream pathname property exists. If this property exists, all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence property value.

In the Table 7.11 example, there are two *FlashPix* stream data elements associated with

TABLE 7.11

Example values of *FlashPix* stream identification

Property	Index = 0	Index = 1
0x00172000	stream x pathname	stream y pathname
0x00172001	0xFFFFFFFF	64

extension *0x17*. The first, at Index = 0, is an added *FlashPix* stream as there is a *FlashPix* stream pathname value but the *FlashPix* stream field offset is 0xFFFFFFFF. The second, at index *0xjj* = 1, is a field in a core *FlashPix* stream whose value is not among those defined in the core *FlashPix* format. This is indicated by the presence of a non-0xFFFFFFFF *FlashPix* stream field offset value in addition to a *FlashPix* stream pathname value.

**Property set pathname property (optional)**

This property is an array that lists the full property set name, including the path from the *FlashPix* image object storage, for each property set the extension *0xiiii* added, added to, or modified in the *FlashPix* image object. The path is specified using the standard Unix file specification tokens: "/" represents a directory separator and must be the first character of the property value. Wildcard characters "\*" and "?" (where "\*" matches any 0 or more characters and "?" matches any 1 character) are permitted in the path portion of the property value. Table 7.12 shows an example of how the property set pathname, property set ID codes, and property set vector elements for extension *0xiiii* are associated. The array index of the property set pathname property corresponds to *0xjj* in the properties *0xiiii3jj1* and *0xiiii3jj2*.

This property set is optional and if omitted it is assumed that no property sets are added, added to, or modified in the *FlashPix* image object for the extensions. If the property exists all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence property value.

**Property set ID codes property (optional)**

This property lists the ID codes of properties which have been added to a core property set, or defined with non-core values by an extension to the *FlashPix* image object. The value of each array position of the property is a VT\_LPWSTR that may be composed of comma separated values each of which are either an individual property ID code or hyphen-separated pair of property ID codes. The array of values for the property set ID codes and the property vector elements for particular property set 0xjj and extension 0xiii are associated as described in Table 7.12. When a new property set is added by an extension, the property set ID codes property is not required. This property is required if an extension adds properties to a core property set or modifies core property set properties. If the property exists, all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence property value.

**Property vector elements property (optional)**

Extensions can add vector elements to core properties that are defined as variable length vectors. This property lists the vector index for the values added to a particular vector property. The value of each array position of the property is a VT\_LPWSTR that may be composed of comma separated values each of which are either an individual vector element or hyphen-separated pair of vector elements. The array of values for the property vector elements and the property set ID codes for a particular property set 0xjj and extension 0xiii are associated as described in Table 7.12. This property is only required when an extension adds vector elements to a core property set property. If the vector elements property is present, and vector elements have not been added to its associated property set ID code(s), then the value of this property must be NULL. If the property exists, all applications must retain it upon save or copy functions by default, or in accordance with the extension persistence property value.

In the Table 7.12 example, there are three property sets associated with extension 0x19. The first index of the property 0x000193000, which corresponds to 0xjj=00, is a new property set being added by the extension as there is a property set pathname value, but the property set ID codes and property vector element properties for 0xjj=00 are not listed. The second index of the property 0x00193000, which corresponds to 0xjj=01, is a core property set in which property ID Codes 0x00011001-0x00011005 and 0x00001200 are being added by the extension. The third index of the property 0x00193000, which corresponds to 0xjj=02, is a core property set in which property ID code 0x00033000 is of type VT\_VECTOR and the extension has added values in elements 3,4, and 5 of that vector. Property ID codes \$00044001-\$00044004 are new ID codes being added to the property set by the extension as well. In this case since the property ID codes are new, the value of 0x00193022 for this array position is assigned



to NULL. This example also shows that the extension has added a value in element 2 of both the vectors defined by existing property ID codes, 0x00055000 and 0x00066000.

TABLE 7.12

Example values of property set identification

Property	Index=0	Index=1	Index=2
000193000	PS x pathname (0xjj=00)	PS y pathname (0xjj=01)	PS z pathname (0xjj=02)
000193011	\$000011001-\$000011005, \$000012000		
000193021	\$000033000	\$000044001- \$000044004	\$000055000, \$000066000
000193022	3,4,5	NULL	2

## 7.2 Viewing Transform Parameters

The viewing transform parameters allow a view other than the raw image data itself. There are four classes of viewing parameters: selection, filtering, spatial orientation, and color reproduction. The application must provide a user interface that will help users work with and preview the viewing parameters.

### 7.2.1 Selection via Rectangle of Interest

The rectangle of interest property lets applications specify which part of the image to retain. Only a rectangular region with sides applications specify parallel to the edges of the image can be specified.

The rectangle of interest is specified in the rectangle of interest property in the transform property set as a horizontal, rectangular box. Four values are needed to specify the rectangle: left edge ( $x$ ), top edge ( $y$ ), width ( $w$ ), and height ( $h$ ). Each of these values is specified as a floating point number in the resolution-independent coordinate system described in Section 2.1.1.

The rectangle of interest is always interpreted in the context of a specific resolution layer. For example, if the layer has  $N$  pixels across (in  $x$ ), numbered 0 to  $N-1$ , the range of columns is given by:

$$\lfloor N \times x + 0.5 \rfloor \leq \text{columns} \leq \lfloor N \times (x + w) + 0.5 \rfloor \quad (7.3)$$

Note that while pixel locations should be used to identify a pixel neighborhood for spatial operations, the rectangle of interest box should run from the left edge of the unit square surrounding the first pixel to the right edge of the unit square surrounding the last pixel. This combination of parameters provides a rectangle of interest which is robust to

resolution changes. If the user selects a region manually at a specific resolution, the rectangle parameters should be calculated as follows, using the left edge as an example: calculate the location of the leftmost pixel in the continuous, resolution-dependent coordinate system described in Section 2.1.2. Scale this value to the resolution-independent system described in Section 2.1.1. This process will provide a stable description of the region when it is expressed at a higher or lower resolution.

The rectangle of interest does not imply any scaling or shifting into a “standard coordinate space.” The rectangle only specifies that pixels outside the rectangle should be considered fully transparent. If the user desires that the area inside the rectangle of interest be displayed as “the whole image,” the spatial orientation matrix (described in Section 7.2.3) should map the top-left corner of the rectangle to (0,0) and the bottom-right of the rectangle to (w/h,1).

## 7.2.2 Filtering

The *FlashPix* format viewing parameter that sharpens or blurs the image is referred to as image filtering. The degree of filtering is controlled by the filtering property in the transform property set. Positive values produce a sharper image; negative values produce a smoother, more blurry image, with less detail. A value of 0 leaves the image unchanged. Control is scaled so that one unit of filtering makes a just-noticeable change. Values between -20 and 20 may be expected to produce reasonable results. The default value of the filtering parameter is zero. It is stored as an IEEE 4-byte floating point number.

The influence of the filtering control is independent of image resolution. Proper operation of image filtering is closely tied to the resolution-independent rendering algorithms of the *FlashPix* format. When a reader requests actual *FlashPix* image data, it selects the best resolution layer to build the data from, and creates a digital filter to apply the requested degree of sharpening or blurring to that data.

To provide a degree of filtering independent of resolution, a reader also needs information about the imaging capabilities of the physical subsystems that generated the digital image and the subsystem that will be used to print the image. If this information is not available, a reader will use defaults that will yield good results in non-critical situations. Peripheral manufacturers could add value to systems that use the *FlashPix* format by providing this information with their devices (as part of their device driver or profiles).

### 7.2.2.1 The Measure

Filtering is defined as the change in the acutance of the complete imaging system. Acutance is a “one number” description of system sharpness. A number of definitions have been used, but in general acutance is a measure of the degree of blur introduced by all the elements of an imaging system, including the human eye that views the image. The only element missing from acutance is the degree of detail in the scene itself. Most modern measures of acutance are based on some integral of the overall MTF (modulation transfer function) of the system, including some eye MTF, scaled so that one unit of acutance change is a just-noticeable difference. Higher acutances are associated with

sharper imaging systems. A specific definition of acutance has been adopted for the *FlashPix* format.

The digital image is an intermediate result in the imaging chain that starts with image capture and ends with physical reproduction. Acutance is a measure of the ability of the chain to produce a sharp image. The customer can specify an increase or decrease in the acutance of this system. This will determine the digital filter used to increase or decrease the sharpness of the image.

#### 7.2.2.2 Subsystem information

To precisely calculate the change in acutance of the system, some information about real devices is required. In particular, a reader requires an estimate of the capability of the input and output devices to reproduce fine image details. It also helps to have some estimate of how large the image will appear to the viewer, since the human eye is the final element in the imaging system.

Information on device sharpness is not generally available on the desktop today, even though manufacturers have measured it. An estimate of relative image size can often be made based on how the image is being used in a composition. In any case, the system is relatively insensitive to these factors, and the internal default values generally give good results.

Accurate information passed to a reader will improve the predictability and reliability of filtering as a change in acutance. Knowledge of these parameters also may permit a reader to work from a lower resolution level of the hierarchy, enabling faster rendering with no loss in image quality.

Four pieces of information may be utilized by a reader to determine acutance:

- A number describing the MTF (sharpness capability) of the capture operation sequence (stored in the sharpness approximation property of the file source group of the image info property set, Section 6.2)
- A number describing the MTF (sharpness capability) of the printer
- A number describing the MTF (blurring) of the prefilter used in building the *FlashPix* format hierarchy (stored for each resolution in the decimation prefilter width property of the resolution description groups of the image contents property set, Section 3.1.5.2).
- A number describing the relative size of the image as used by the customer

#### 7.2.2.3 User Sharpening Adjustment

One challenge with providing image sharpening on the desktop is the difficulty novice users have in selecting the correct value. Generally, some sharpening is required to correct for the input/output devices, and some is added by the user for artistic effect. (A reader does not automatically add sharpening to correct for input and output devices, even when it has that information, but an application could do so, for example, by suggesting a filtering parameter setting.)

Handling sharpening adjustment for artistic effect is more difficult. However, the *FlashPix* filtering system can enable a very simple means for users to preview the sharpening effects if the subsystem information listed above is known. Specifically, numbers describing the MTFs of the printer and the monitor must be available.

### 7.2.3 Spatial Orientation

The *FlashPix* format provides spatial orientation parameters to rotate, scale, shear, and translate an image. These parameters are stated in terms of a 4×4 matrix, which maps image points from the displayed form of the image to the original image. In this form, the source points for resampling can be directly calculated.

Two-dimensional affine transformations are used to implement the spatial changes needed for image viewing. The general transform requires interpolation of the image data. A subset of the operations can be executed much faster because there is no change in the definition of the pixels (they only move around). It is the responsibility of the viewing engine to recognize these operations and to execute them efficiently.

The spatial orientation viewing parameters are specified by a 4×4 matrix as described by Equation 7.4:

$$\begin{bmatrix} x' \\ y' \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \\ 1 \end{bmatrix} \quad (7.4)$$

Each parameter is a floating point number. The 2-D affine transformations that map from the displayed form of the image to the original image are encoded in the six matrix elements  $a_{11}$ ,  $a_{12}$ ,  $a_{14}$ ,  $a_{21}$ ,  $a_{22}$ ,  $a_{24}$

In general, applying the affine transformations to the image will require resampling of the image. Note that the offset parameters  $a_{14}$  and  $a_{24}$  do matter for rotations and flips. In particular, rapid execution of these operations depends on certain values of the offsets.

The 4x4 matrix is stored, in the spatial orientation property of the Transform Property Set (Section 7.1.4), as an array of 16 IEEE 4-byte floating point numbers.

### 7.2.4 Tone and Color Corrections

The *FlashPix* format provides a colortwist matrix property in the transform property set to allow users to make small changes to the tone and color of an image. The changes are not designed to correct specific faults in the imaging chain, but to provide the user a simple way to specify common color corrections.

### 7.2.4.1 Color Images

Tone and color changes are applied in two ways. First, an affine matrix (colortwist matrix) on the vector ( $Luma$ ,  $Chroma_1$ ,  $Chroma_2$ , 1) of normalized PhotoYCC values is used to adjust lightness, saturation, and color balance. Many other kinds of simple changes can be effected with this matrix. The use of a matrix for this operation makes it easy to combine color operations done at different levels of an image composite. The matrix elements may be identified as follows:

$$\begin{bmatrix} Luma' \\ Chroma'_1 \\ Chroma'_2 \end{bmatrix} = \begin{bmatrix} b_{YY} & b_{YC_1} & b_{YC_2} & b_{Yoff} \\ b_{C_1Y} & b_{C_1C_1} & b_{C_1C_2} & b_{C_1off} \\ b_{C_2Y} & b_{C_2C_1} & b_{C_2C_2} & b_{C_2off} \\ 0 & 0 & 0 & b_{off} \end{bmatrix} \begin{bmatrix} Luma \\ Chroma_1 \\ Chroma_2 \\ 1 \end{bmatrix} \quad (7.5)$$

Each element of the matrix is a floating point number. No limitation is placed on the matrix elements except for the left most three elements on the bottom row, which should all be zero. The input data to and the output data from the colortwist matrix MUST be normalized PhotoYCC. Although matrices for conversion into and out of normalized PhotoYCC may be concatenated with the colortwist matrix for efficiency, the colortwist matrix stored in the *FlashPix* image view object must NOT contain the matrix component for those conversions. The terms of the vector resulting from the matrix multiplication should be clipped to the correct limits for the desired output color space.

The values in the matrix are defined in terms of modifications to normalized PhotoYCC. Note that the tone and color correction matrix maps the source image to the destination image, which is different than the spatial orientation affine matrix.

### 7.2.4.2 Monochrome Images

Monochrome images should be treated as NIF RGB images where  $R = G = B = X$ . This allows a “tint” to be applied to a monochrome image using the viewing parameters, without requiring multichannel data to be stored in the *FlashPix* image.

## 7.2.5 Contrast adjustments

Contrast adjustments are controlled by the contrast adjustment property in the transform property set. It specifies a function through which the image data is passed. This operation may be implemented as a 1D lookup table or an equation applied to the image data once transformed to the proper color representation. The contrast change must be performed on an RGB representation of the image data which depends on the implementation method chosen. Additional (fixed) matrix operations (aside from the colortwist matrix) will be required to convert to and from the RGB values used in the contrast adjustment.

The first matrix implements the conversion from the input color space to normalized PhotoYCC where the colortwist matrix is applied. The matrices following the colortwist converts normalized PhotoYCC data to the proper RGB representation for application

of the contrast parameters. The choice of RGB representations includes normalized RGB(*rgb*) and a greater than 8 bit integer RGB<sub>m</sub> representation. Once applied, some additional matrices are required to return the image data back to its pre-color/tone correction representation which can then be converted to a different output color space if desired.

The color and tone corrections can be applied to both NIFRGB and PhotoYCC data. While the colortwist matrix is applied to a normalized PhotoYCC data representation and contrast is applied in one of two RGB data representations, the matrices provided to do these conversions alone are not sufficient to convert from the NIFRGB color space to the PhotoYCC space or visa versa. They are sufficient to do the metric conversions required for the tone and color corrections, but are not for color space transformations. Therefore when the tone and color corrections are applied, the modified image data should be in the same color space as the original input color space at which point additional processing can be performed to convert the color space if needed. It may be possible, however, to concatenate some of the additional color space transformation processing with the color and tone correction matrices and LUTs to simplify the processing.

The desired contrast is specified by a single floating point number. A value of 1.0 indicates no contrast change; values greater than 1 provide higher contrast.

The contrast adjustment is stored as an IEEE 4-byte floating point number. Its default value is 1.0.

If the contrast parameter is other than 1.0 and the equation method for contrast is used, the procedure for implementing tone and color viewing parameters is as follows:

1. Combine a normalized PhotoYCC→normalized RGB conversion matrix with the given colortwist matrix and the input→normalized PhotoYCC matrix, producing a new matrix  $M'$ .
2. Pass the image data through  $M'$
3. Apply the contrast modification through equation (7.6) to the image data resulting from  $M'$ .
4. Pass the contrast modified data through a series of matrices to convert the contrast modified normalized RGB back to the original color space which can then be converted to a different output space if needed.

If the contrast parameter is other than 1.0 and the LUT method for contrast is used, the procedure for implementing tone and color viewing parameters is as follows:

1. Combine a normalized PhotoYCC→normalized RGB conversion matrix and a normalized RGB→RGB<sub>m</sub> with the given colortwist matrix and the input→normalized PhotoYCC matrix, producing a new matrix  $M'$ .
2. Pass the image data through  $M'$  limiting the RGB<sub>m</sub> values to the range  $0 \leq X < 2M$ .
3. Create the LUT,  $K_{LUT}$  using equation (7.7). The output of  $K_{LUT}$  is normalized RGB. If the RGB<sub>m</sub> representation is preferred, cascade equation (7.8) with equation (7.7) to create  $K'_{LUT}$ .
4. Apply the contrast modification through either  $K_{LUT}$  or  $K'_{LUT}$ .

5. Pass the contrast modified data through a series of matrices to convert the contrast modified normalized RGB or  $RGB_m$  data back to the original color space which can then be converted to a different output space if needed.

For example, to increase the contrast by 20%,  $K = 1.2$ , where  $K$  is the contrast parameter. The following equations specify the contrast modification function,  $f_K$ , to be applied to  $rgb$  data:

$$p = 0.43$$

$$f_K(K, j) = \begin{cases} j < 0 & -p \times \left(\frac{-j}{p}\right)^K \\ j = 0 & 0 \\ 0 < j & p \times \left(\frac{j}{p}\right)^K \end{cases} \quad (7.6)$$

If the contrast modification is to be applied as a LUT, the input data must be converted to  $RGB_m$  space. The following equation can then be used to generate the LUT,  $K_{LUT}$ , where  $j'$  is the input pixel value in  $RGB_m$  space, where  $M = 2^{k-1}$ :

$$K_{LUT}[j'] = f_K\left(K, \frac{j' - \frac{M}{2}}{M}\right) \quad (7.7)$$

The output of  $K_{LUT}$  is  $rgb$  space. If  $RGB_m$  space is desired, the following equation can be cascaded with the equation for  $K_{LUT}$ , creating  $K'_{LUT}$ :

$$K'_{LUT}[j'] = K_{LUT}[j'] \times M + \frac{M}{2} \quad (7.8)$$

Note that if the “nine LUT method” is used for executing matrix multiplications, the 1D LUT and the final matrix can be combined into a single step.

## 7.3 Sequence of Viewing Parameters

The viewing parameters are not commutative. They must be applied in the following order: selection, filtering, spatial orientation, specification of result aspect ratio. The tone and color operation can be applied at any stage after the filtering step.

An application must carefully record edits to the image once it has been loaded. If the application wishes to modify the viewing parameters of the original image to reflect the edits, it must determine how to express the changes in terms of the allowed parameters.

### 7.3.1 Coordinate System

Both the selection and spatial orientation operations require clear specification of a coordinate system for the image. A *FlashPix* image can have any size, but the viewing parameters have no knowledge of the number of pixels in the image. The image is stored with an implicit orientation. The upper-left hand corner of the image has the coordinates (0, 0). The height of the image is 1.0, so that the lower-left corner has the coordinates (0, 1.0). The lower-right corner has the coordinates ( $R$ , 1.0), where  $R$  is the aspect ratio of the image.

The pixel locations are specified exactly for spatial operations: in a layer with  $N$  pixels in the x direction, the first pixel is centered at  $0.5/N$ , the second is centered at  $1.5/N$ , etc.

The affine spatial transform may rotate and shift the image data. Still, the coordinates implied by the specification of the result aspect ratio refer to the original coordinate system of the image.

For each pixel in the result rectangle (specified by the result aspect ratio), apply the affine transform to identify the corresponding location in the original image.

IF this point falls within the rectangle of interest,

THEN: This point will probably not fall in the middle of an original pixel. Use an interpolation scheme to calculate the values for the new pixel. Map these values through the tone and color transform to get the final image values.

ELSE: The point is outside of the defined image area. In the context of a viewer, nothing should be displayed—the viewer must define its background level. In the context of compositing, this pixel has 100% transparency.

### 7.3.2 Image Size and Limits

The *FlashPix* format is a resolution-independent format. There is no implicit scale to the image. The image should be displayed fully at whatever size and resolution suits the viewing device.

After a spatial orientation change leaves the image tilted or sheared, the resulting image is defined to be the area in the rectangle (0,0) to ( $R_{result}$ , 1), even though there may be legitimate image data outside this region. If the application wants the image to be rotated and displayed in its entirety, the affine matrix must also perform a scale to shrink the image such that it fits entirely inside the output rectangle.



September 11, 1996

APPENDIX *Structured Storage***A**

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Note: This document is meant to accompany the Microsoft OLE Structured Storage Reference Implementation, hereafter referred to as the 'Software'. If this document and functionality of the Software conflict, the actual functionality of the Software represents the correct functionality. Microsoft assumes no responsibility for any damages that might occur either directly or indirectly from these discrepancies or inaccuracies. Microsoft may have trademarks, copyrights, patents or pending patent applications, or other intellectual property rights covering subject matter in this document and in the Software. The furnishing of this document does not give you a license to these trademarks, copyrights, patents, or other intellectual property rights and any license rights granted are limited to those set forth in the End User License Agreement accompanying this document.

## A.1 Compound File Binary Format

### A.1.0 Overview

A Compound File is made up of a number of **virtual streams**. These are collections of data that behave as a linear stream, although their on-disk format may be fragmented. Virtual streams can be user data, or they can be control structures used to maintain the file. Note that the file itself can also be considered a virtual stream.

All allocations of space within a Compound File are done in units called **sectors**. The size of a sector is definable at creation time of a Compound File, but for the purposes of this document will be 512 bytes. A virtual stream is made up of a sequence of sectors.

The Compound File uses several different types of sector: *Fat*, *Directory*, *Minifat*, *DIF*, and *Storage*. A separate type of 'sector' is a *Header*, the primary difference being that a Header is always 512 bytes long (regardless of the sector size of the rest of the file) and is always located at offset zero (0). With the exception of the header, sectors of any type can be placed anywhere within the file. The function of the various sector types is discussed below.

In the discussion below, the term **SECT** is used to describe the location of a sector within a virtual stream (in most cases this virtual stream is the file itself). Internally, a SECT is represented as a ULONG.

### A.1.1 Sector Types

```

[4 bytes] typedef unsigned long ULONG;
[2 bytes] typedef unsigned short USHORT;
[2 bytes] typedef short OFFSET;
[4 bytes] typedef ULONG SECT;
[4 bytes] typedef ULONG FSINDEX;
[2 bytes] typedef USHORT FSOFFSET;
[4 bytes] typedef ULONG DFSIGNATURE;
[1 byte] typedef unsigned char BYTE;
[2 bytes] typedef unsigned short WORD;
[4 bytes] typedef unsigned long DWORD;
[2 bytes] typedef WORD DFPROPTYPE;
[4 bytes] typedef ULONG SID;
[16 bytes] typedef CLSID GUID;

[8 bytes] typedef struct tagFILETIME {
    DWORD dwLowDateTime;
    DWORD dwHighDateTime;
} FILETIME, TIME_T;

[4 bytes] const SECT DIFSECT= 0xFFFFFFFFC;
[4 bytes] const SECT FATSECT= 0xFFFFFFFFD;
[4 bytes] const SECT ENDOFCHAIN= 0xFFFFFFFFE;
[4 bytes] const SECT FREESECT= 0xFFFFFFFFF;

```

## A.1.1.1 Header

```

struct StructuredStorageHeader{ // [offset from start in bytes, length
                                // in bytes]
    BYTE        _abSig[8];        // [000H,08] {0xd0, 0xcf, 0x11, 0xe0,
                                // 0xa1, 0xb1, 0x1a, 0xe1} for current
                                // version, was {0x0e, 0x11, 0xfc,
                                // 0x0d, 0xd0, 0xcf, 0x11, 0xe0} on old,
                                // beta 2 files (late '92) which are also
                                // supported by the reference
                                // implementation
    CLSID        _clid;           // [008H,16] class id (set with
                                // WriteClassStg, retrieved with
                                // GetClassFile/ReadClassStg)
    USHORT       _uMinorVersion; // [018H,02] minor version of the
                                // format: 33 is written by reference
                                // implementation
    USHORT       _uDllVersion;   // [01AH,02] major version of the dll/
                                // format: 3 is written by reference
                                // implementation
    USHORT       _uByteOrder;    // [01CH,02] 0xFFFE: indicates Intel
                                // byte-ordering
    USHORT       _uSectorShift;  // [01EH,02] size of sectors in power-
                                // of-two (typically 9, indicating 512-
                                // byte sectors)
    USHORT       _uMiniSectorShift; // [020H,02] size of mini-sectors
                                // in power-of-two (typically 6,
                                // indicating 64-byte mini-sectors)
    USHORT       _usReserved;    // [022H,02] reserved, must be zero
    ULONG        _ulReserved1;   // [024H,04] reserved, must be zero
    ULONG        _ulReserved2;   // [028H,04] reserved, must be zero
    FSINDEX      _csectFat;      // [02CH,04] number of SECTs in the FAT
                                // chain
    SECT         _sectDirStart;   // [030H,04] first SECT in the FAT
                                // Directory chain
    DFSIGNATURE  _signature;     // [034H,04] signature used for transac
                                // tioning must be zero. The reference
                                // implementation does not support
                                // transactioning
    ULONG        _ulMiniSectorCutoff; // [038H,04] maximum size for
                                // mini-streams: typically 4096 bytes
    SECT         _sectMiniFatStart; // [03CH,04] first SECT in the
                                // mini-FAT chain
    FSINDEX      _csectMiniFat;  // [040H,04] number of SECTs in the
                                // mini-FAT chain
    SECT         _sectDifStart;   // [044H,04] first SECT in the DIF
                                // chain
    FSINDEX      _csectDif;      // [048H,04] number of SECTs in the DIF
                                // chain
    SECT         _sectFat[109];   // [04CH,436] the SECTs of the first
                                // 109 FAT sectors
};

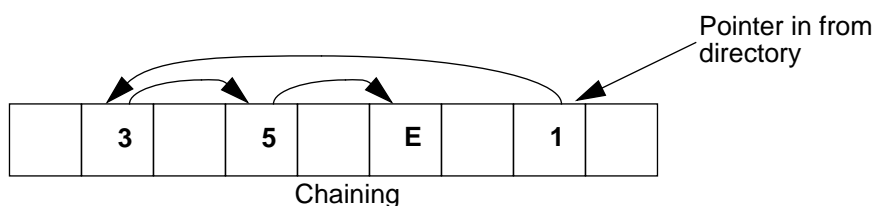
```

The *Header* contains vital information for the instantiation of a Compound File. Its total length is 512 bytes. There is exactly one *Header* in any Compound File, and it is always located beginning at offset zero in the file.

### A.1.1.2 Fat Sectors

The **Fat** is the main allocator for space within a Compound File. Every sector in the file is represented within the Fat in some fashion, including those sectors that are unallocated (free). The Fat is a virtual stream made up of one or more Fat Sectors.

Fat sectors are arrays of SECTs that represent the allocation of space within the file. Each stream is represented in the Fat by a **chain**, in much the same fashion as a DOS file-allocation-table (FAT). To elaborate, the set of Fat Sectors can be considered together to be a single array -- each cell in that array contains the SECT of the next sector in the chain, and this SECT can be used as an index into the Fat array to continue along the chain. Special values are reserved for chain terminators (ENDOFCHAIN = 0xFFFFFFFF), free sectors (FREESECT = 0xFFFFFFFF), and sectors that contain storage for Fat Sectors (FATSECT = 0xFFFFFFFF) or DIF Sectors (DIFSECT = 0xFFFFFC), which are not chained in the same way as the others.



The locations of Fat Sectors are read from the DIF (Double-indirect Fat), which is described below. The Fat is represented in itself, but not by a chain -- a special reserved SECT value (FATSECT = 0xFFFFFFFF) is used to mark sectors allocated to the Fat.

A SECT can be converted into a byte offset into the file by using the following formula:  $\text{SECT} \ll \text{ssheader\_uSectorShift} + \text{sizeof}(\text{ssheader})$ . This implies that sector 0 of the file begins at byte offset 512, not at 0.

### A.1.1.3 MiniFat Sectors

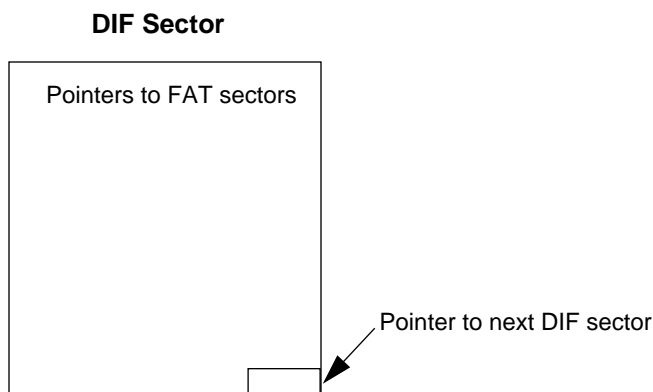
Since space for streams is always allocated in sector-sized blocks, there can be considerable waste when storing objects much smaller than sectors (typically 512 bytes). As a solution to this problem, we introduced the concept of the **MiniFat**. The MiniFat is structurally equivalent to the Fat, but is used in a different way. The virtual sector size for objects represented in the Minifat is  $1 \ll \text{ssheader\_uMiniSectorShift}$  (typically 64 bytes) instead of  $1 \ll \text{ssheader\_uSectorShift}$  (typically 512 bytes). The storage for these objects comes from a virtual stream within the Multistream (called the **Ministream**).

The locations for MiniFat sectors are stored in a standard chain in the Fat, with the beginning of the chain stored in the header.

A Minifat sector number can be converted into a byte offset into the ministream by using the following formula:  $\text{SECT} \ll \text{ssheader\_uMiniSectorShift}$ . (This formula is different from the formula used to convert a SECT into a byte offset in the file, since no header is stored in the Ministream)

The Ministream is chained within the Fat in exactly the same fashion as any normal stream. It is referenced by the first Directory Entry (SID 0).

## A.1.1.4 DIF Sectors



The **Double-Indirect Fat** is used to represent storage of the Fat. The DIF is also represented by an array of SECTs, and is chained by the terminating cell in each sector array (see the diagram above). As an optimization, the first 109 Fat Sectors are represented within the header itself, so no DIF sectors will be found in a small (< 7 MB) Compound File.

The DIF represents the Fat in a different manner than the Fat represents a chain. A given index into the DIF will contain the SECT of the Fat Sector found at that offset in the Fat virtual stream. For instance, index 3 in the DIF would contain the SECT for Sector #3 of the Fat.

The storage for DIF Sectors is reserved in the Fat, but is not chained there (space for it is reserved by a special SECT value, DIFSECT=0xFFFFFFFFC). The location of the first DIF sector is stored in the header.

A value of ENDOFCHAIN=0xFFFFFFFFE is stored in the pointer to the next DIF sector of the last DIF sector.

## A.1.1.5 Directory Sectors

```
typedef enum tagSTGTY {
    STGTY_INVALID= 0,
    STGTY_STORAGE= 1,
    STGTY_STREAM= 2,
    STGTY_LOCKBYTES= 3,
    STGTY_PROPERTY= 4,
    STGTY_ROOT= 5,
} STGTY;

typedef enum tagDECOLOR {
    DE_RED= 0,
    DE_BLACK= 1,
} DECOLOR;

struct StructuredStorageDirectoryEntry { // [offset from start in bytes,
                                        // length in bytes]
    BYTE    _ab[32*sizeof(WCHAR)];      // [000H,64] 64 bytes. The
                                        // Element name in Unicode,
                                        // padded with zeros to fill
                                        // this byte array
    WORD    _cb;                        // [040H,02] Length of the
                                        // Element name in characters,
                                        // not bytes
    BYTE    _mse;                       // [042H,01] Type of object:
```

```

// value taken from the STGTY
// enumeration
BYTE    _bflags;           // [043H,01] Value taken from
// DECOLOR enumeration.
SID     _sidLeftSib;      // [044H,04] SID of the left-
// sibling of this entry in the
// directory tree
SID     _sidRightSib;     // [048H,04] SID of the right-
// sibling of this entry in the
// directory tree
SID     _sidChild;       // [04CH,04] SID of the first
// child acting as the root of
// all the children of this el-
// ement (if_mse=STGTY_STORAGE)
GUID    _clsId;           // [050H,16] CLSID of this stor-
// age (if_mse=STGTY_STORAGE)
DWORD   _dwUserFlags;    // [060H,04] User flags of this
// storage
// (if_mse=STGTY_STORAGE)
TIME    _T_time[2];      // [064H,16] Create/Modify
// time-stamps
// (if_mse=STGTY_STORAGE)
SECT    _sectStart;      // [074H,04] starting SECT of
// the stream
// (if_mse=STGTY_STREAM)
ULONG   _ulSize;         // [078H,04] size of stream in
// bytes (if_mse=STGTY_STREAM)
DFPROPTYPE _dptPropType; // [07CH,02] Reserved for fu-
// ture use. Must be zero.
};

```

The **Directory** is a structure used to contain per-stream information about the streams in a Compound File, as well as to maintain a tree-styled containment structure. It is a virtual stream made up of one or more Directory Sectors. The Directory is represented as a standard chain of sectors within the Fat. The first sector of the Directory chain (the Root Directory Entry)

Each level of the containment hierarchy (i.e. each set of siblings) is represented as a red-black tree. The parent of this set of siblings will have a pointer to the top of this tree. This red-black tree must maintain the following conditions in order for it to be valid:

1. The root node must always be black.
2. No two consecutive nodes may both be red.
3. The left child must always be less than the right child. This relationship is defined as:
  - A node with a shorter name is less than a node with a longer name (i.e. compare the length of the name)
  - For nodes with the same length names, compare the two names.

The simplest implementation of the above invariants would be to mark every node as black, in which case the tree is simply a binary tree.

A Directory Sector is an array of Directory Entries, a structure represented in the diagram below. Each user stream within a Compound File is represented by a single Directory Entry. The Directory is considered as a large array of Directory Entries. It is useful to note that the Directory Entry for a stream remains at the same index in the Directory array for the life of the stream – thus, this index (called an **SID**) can be used to readily identify a given stream.

The directory entry is then padded out with zeros to make a total size of 128 bytes.

Directory entries are grouped into blocks of four to form Directory Sectors.

#### A.1.1.5.1 Root Directory Entry

The first sector of the Directory chain (also referred to as the first element of the Directory array, or SID 0) is known as the **Root Directory Entry** and is reserved for two purposes: First, it provides a root parent for all objects stationed at the root of the multi-stream. Second, its function is overloaded to store the size and starting sector for the Mini-stream.

The Root Directory Entry behaves as both a stream and a storage. All of the fields in the Directory Entry are valid for the root. The Root Directory Entry's Name field typically contains the string "RootEntry" in Unicode, although some versions of structured storage (particularly the preliminary reference implementation and the Macintosh version) store only the first letter of this string, "R" in the name. This string is always ignored, since the Root Directory Entry is known by its position at SID 0 rather than by its name, and its name is not otherwise used. New implementations should write "RootEntry" properly in the Root Directory Entry for consistency and support manipulating files created with only the "R" name.

#### A.1.1.5.2 Other Directory Entries

Non-root directory entries are marked as either stream (STGTY\_STREAM) or storage (STGTY\_STORAGE) elements. Storage elements have a `_clsid`, `_time[]`, and `_sidChild` values; stream elements may not. Stream elements have valid `_sectStart` and `_ulSize` members, whereas these fields are set to zero for storage elements (except as noted above for the Root Directory Entry).

To determine the physical file location of actual stream data from a stream directory entry, it is necessary to determine which FAT (normal or mini) the stream exists within. Streams whose `_ulSize` member is less than the `_ulMiniSectorCutoff` value for the file exist in the ministream, and so the `_startSect` is used as an index into the MiniFat (which starts at `_sectMiniFatStart`) to track the chain of mini-sectors through the mini-stream (which is, as noted earlier, the standard (non-mini) stream referred to by the Root Directory Entry's `_sectStart` value). Streams whose `_ulSize` member is greater than the `_ulMiniSectorCutoff` value for the file exist as standard streams – their `_sectStart` value is used as an index into the standard FAT which describes the chain of full sectors containing their data).

#### A.1.1.6 Storage Sectors

Storage sectors are simply collections of arbitrary bytes. They are the building blocks of user streams, and no restrictions are imposed on their contents. Storage sectors are represented as chains in the Fat, and each storage chain (stream) will have a single Directory Entry associated with it.

## A.1.2 Examples

This section contains a hexadecimal dump of an example structured storage file to clarify the binary file format.

```

-----
A.1.2.1 Sector 0: Header
  _abSig      = DOCF 11E0 A1B1 1AE1
  _clid       = 0000 0000 0000 0000 0000 0000 0000 0000
  _uMinorVersion= 003B
  _uDllVersion= 3
  _uByteOrder= FFFE (Intel byte order)
  _uSectorShift= 9 (512 bytes)
  _uMiniSectorShift= 6 (64 bytes)
  _usReserved= 0000
  _ulReserved1= 00000000
  _ulReserved2= 00000000
  _csectFat   = 00000001
  _sectDirStart= 00000001
  _signature  = 00000000
  _ulMiniSectorCutoff= 00001000 (4096 bytes)
  _sectMiniFatStart= 00000002
  _csectMiniFat= 00000001
  _sectDifStart= FFFFFFFE (no DIF, file is < 7Mb)
  _csectDIF   = 00000000
  _sectFat[]  = 00000000 FFFFFFFF...(continues with FFFFFFFF)

000000: DOCF 11E0 A1B1 1AE1 0000 0000 0000 0000 .....
000010: 0000 0000 0000 0000 3B00 0300 FFFF 0900 .....
000020: 0600 0000 0000 0000 0000 0000 0100 0000 .....
000030: 0100 0000 0000 0000 0010 0000 0200 0000 .....
000040: 0100 0000 FFFF FFFF 0000 0000 0000 0000 .....
000050: FFFF FFFF FFFF FFFF FFFF FFFF FFFF FFFF .....
...
0001F0: FFFF FFFF FFFF FFFF FFFF FFFF FFFF FFFF .....
-----

A.1.2.2 SECT 0: First (Only) FAT Sector
SECT 0: FFFFFFFD = FATSECT: marks this sector as a FAT sector.
        Referred to in header by _sectFat[0]
SECT 1: FFFFFFFE = ENDOFCHAIN: marks the end of the directory chain,
        referred to in header by _sectDirStart
SECT 2: FFFFFFFE = ENDOFCHAIN: marks the end of the mini-fat, re
        ferred to in header by _sectMiniFatStart
SECT 3: 00000004 = pointer to the next sector in the "Stream 1" data.
        This sector is the first sector of "Stream 1", it is re
        ferred to by the Directory Entry
SECT 4: ENDOFCHAIN (0xFFFFFFFF): marks the end of the "Stream 1"
        stream data. Further Entries are empty (FREESECT =
        0xFFFFFFFF)

000200: FDFE FFFF FFFF FFFF FFFF FFFF 0400 0000 .....
000210: FFFF FFFF FFFF FFFF FFFF FFFF FFFF FFFF .....
...
0003F0: FFFF FFFF FFFF FFFF FFFF FFFF FFFF FFFF .....
-----

```



### A.1.2.3 SECT 1: First (Only) Directory Sector

```
SID 0: Root SID: Root Name = "R"
SID 1: Element 1 SID: Name = "Storage 1"
SID 2: Element 2 SID: Name = "Stream 1"
SID 3: Unused
```

#### A.1.2.3.1 SID 0: Root Directory Entry

```
_ab      = ("R")(this should be "Root Entry")
_cb      = 00042(42 bytes, does not include double-null terminator)
_mse     = 05 (STGTY_ROOT)
_bflags  = 00 (DE_RED)
_sidLeftSib= FFFFFFFF (none)
_sidRightSib= FFFFFFFF (none)
_sidChild = 00000001 (SID 1: "Storage 1")
_clsId   = 0067 6156 54C1 CE11 8553 00AA 00A1 F95B
_dwUserFlags= 00000000 (n/a for STGTY_ROOT)
_time[0] = CreateTime   = 0000 0000 0000 0000 (none set)
_time[1] = ModifyTime   = 801E 9213 4BB4 BA01 (??)
_sectStart = 00000003 (starting sector of MiniStream)
_ulSize   = 00000240 (length of MiniStream in bytes)
_dptPropType= 0000 (n/a)

000400: 0052 0000 0000 0000 0000 0000 0000 0000 .R.....
000410: 0000 0000 0000 0000 0000 0000 0000 0000 .....
000420: 0000 0000 0000 0000 0000 0000 0000 0000 .....
000430: 0000 0000 0000 0000 0000 0000 0000 0000 .....
000440: 04200 0500 FFFF FFFF FFFF FFFF 0100 0000 .....
000450: 0067 6156 54C1 CE11 8553 00AA 00A1 F95B .gaVT....S.....[
000460: 0000 0000 0000 0000 0000 0000 801E 9213 .....
000470: 4BB4 BA01 0300 0000 4002 0000 0000 0000 K.....@.....
```

#### A.1.2.3.2 SID 1: "Storage 1"

```
_ab      = ("Storage 1")
_cb      = 0014 (20 bytes, including double-null terminator)
_mse     = 01 (STGTY_STORAGE)
_bflags  = 01 (DE_BLACK)
_sidLeftSib= FFFFFFFF (none)
_sidRightSib= FFFFFFFF (none)
_sidChild = 00000002 (SID 2: "Stream 1")
_clsId   = 0000 0000 0000 0000 0000 0000 0000 0000 (none set)
_dwUserFlags= 00000000 (none set)
_time[0] = CreateTime   = 00000000 00000000 (none set)
_time[1] = ModifyTime   = 00000000 00000000 (none set)
_sectStart = 00000000 (n/a)
_ulSize   = 00000000 (n/a)
_dptPropType= 0000 (n/a)

000480: 5300 7400 6F00 7200 6100 6700 6500 2000 S.t.o.r.a.g.e. .
000490: 3100 0000 0000 0000 0000 0000 0000 0000 1.....
0004A0: 0000 0000 0000 0000 0000 0000 0000 0000 .....
0004B0: 0000 0000 0000 0000 0000 0000 0000 0000 .....
0004C0: 1400 0101 FFFF FFFF FFFF FFFF 0200 0000 .....
0004D0: 0061 6156 54C1 CE11 8553 00AA 00A1 F95B .aaVT....S.....[
0004E0: 0000 0000 0088 F912 4BB4 BA01 801E 9213 .....K.....
0004F0: 4BB4 BA01 0000 0000 0000 0000 0000 0000 K.....
```

A.1.2.3.SID 2: "Stream 1"

```

-----
 _ab      = ("Stream 1")
 _cb      = 0012 (18 bytes, including double-null terminator)
 _mse     = 02 (STGTY_STREAM)
 _bflags  = 01 (DE_BLACK)
 _sidLeftSib= FFFFFFFF (none)
 _sidRightSib= FFFFFFFF (none)
 _sidChild = FFFFFFFF (n/a for STGTY_STREAM)
 _clsid   = 0000 0000 0000 0000 0000 0000 0000 0000 (n/a)
 _dwUserFlags= 00000000 (n/a)
 _time[0] = CreateTime   = 00000000 00000000 (n/a)
 _time[1] = ModifyTime   = 00000000 00000000 (n/a)
 _startSect = 00000000 (SECT in mini-fat, since _ulSize is
 smaller than _ulMiniSectorCutoff)
 _ulSize   = 00000220 (< ssheader._ulMiniSectorCutoff, so
 _sectStart is in Mini)
 _dptPropType= 0000 (n/a)

000500: 5300 7400 7200 6500 6100 6D00 2000 3100  S.t.r.e.a.m. .1.
000510: 0000 0000 0000 0000 0000 0000 0000 0000  .....
000520: 0000 0000 0000 0000 0000 0000 0000 0000  .....
000530: 0000 0000 0000 0000 0000 0000 0000 0000  .....
000540: 1200 0201 FFFF FFFF FFFF FFFF FFFF FFFF  .....
000550: 0000 0000 0000 0000 0000 0000 0000 0000  .....
000560: 0000 0000 0000 0000 0000 0000 0000 0000  .....
000570: 0000 0000 0000 0000 2002 0000 0000 0000  .....
000580: 0000 0000 0000 0000 0000 0000 0000 0000  .....
-----

```

A.1.2.3.4 SID 3: Unused

```

-----
000590: 0000 0000 0000 0000 0000 0000 0000 0000  .....
0005A0: 0000 0000 0000 0000 0000 0000 0000 0000  .....
0005B0: 0000 0000 0000 0000 0000 0000 0000 0000  .....
0005C0: 0000 0000 FFFF FFFF FFFF FFFF FFFF FFFF  .....
0005D0: 0000 0000 0000 0000 0000 0000 0000 0000  .....
0005E0: 0000 0000 0000 0000 0000 0000 0000 0000  .....
0005F0: 0000 0000 0000 0000 0000 0000 0000 0000  .....
-----

```

A.1.2.4 SECT 3: MiniFat Sector

```

-----
SECT 0: 00000001: pointer to the second sector in the "Stream 1"
data. This sector is the first sector of "Stream 1",
it is referred to by _sectStart of SID 2
SECT 1: 00000002: pointer to the third sector in the "Stream 1"
data. This sector is the second sector of "Stream 1",
it is referred to in MiniFat SECT 0, above.
...
SECT 8: FFFFFFFE = ENDOFCHAIN: marks the end of the "Stream 1"
data.

Further Entries are empty (FREESECT = 0xFFFFFFFF)

000600: 0100 0000 0200 0000 0300 0000 0400 0000  .....
000610: 0500 0000 0600 0000 0700 0000 0800 0000  .....
000620: FEFF FFFF FFFF FFFF FFFF FFFF FFFF FFFF  .....
...
0007F0: FFFF FFFF FFFF FFFF FFFF FFFF FFFF FFFF  .....
-----

```

## Section A: Structured Storage

## A.1.2.5 SECT 4: MiniStream (Data of "Stream 1")

```

// referred to by SECTs in MiniFat of SECT 3, above

| 000800: 4461 7461 2066 6F72 2073 7472 6561 6D20 Data for stream
| 000810: 3144 6174 6120 666F 7220 7374 7265 616D 1Data for stream
| 000820: 2031 4461 7461 2066 6F72 2073 7472 6561 1Data for strea
| ...
| 000A00: 7461 2066 6F72 2073 7472 6561 6D20 3144 ta for stream 1D
| 000A10: 6174 6120 666F 7220 7374 7265 616D 2031 ata for stream 1

// data ends at 000A1F, MiniSector is filled to the end with known data
// (a copy of the header or FFFFFFFF to prevent random disk or memory
// contents from contaminating the file on-disk.

| 000A20: 0000 0000 0000 0000 3B00 03FF FE00 0900 .....i.....
| 000A30: 0600 0000 0000 0000 0000 0000 0000 0100 .....
| 000A40: D0CF 11E0 A1B1 1AE1 0000 0000 0000 0000 .....
| 000A50: 0000 0000 0000 0000 003B 0003 FFFE 0009 .....i.....
| 000A60: 0006 0000 0000 0000 0000 0000 0000 0001 .....
| 000A70: 0000 0001 0000 0000 0000 1000 0000 0002 .....
| 000A80: 0000 0001 FFFF FFFE 0000 0000 0000 0000 .....
| 000A90: FFFF FFFF FFFF FFFF FFFF FFFF FFFF FFFF .....
| ...
| 000BF0: FFFF FFFF FFFF FFFF FFFF FFFF FFFF FFFF .....

```

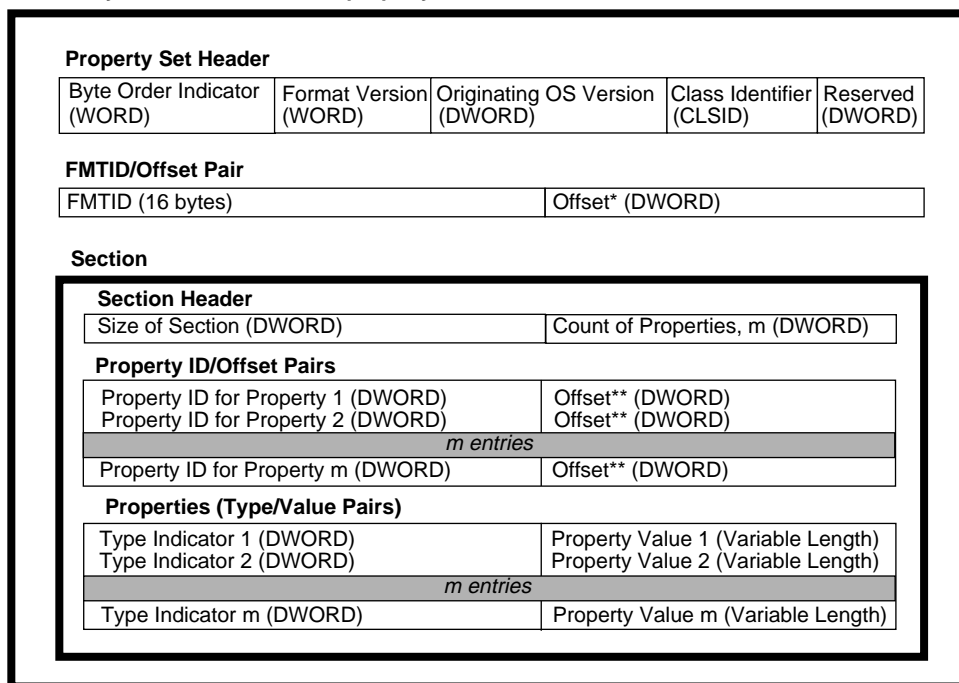
## A.2 OLE Property Set Binary Format

### A.2.0 Document Properties in Storage

In an IStorage, a serialized property set is stored in either a single stream or in a nested IStorage instance. In the latter case, the contained stream named "Contents" is the primary stream containing property values. The format of the primary stream, the same in either case, is described in the next section below. None of the property types VT\_STREAM, VT\_STORAGE, VT\_STREAMED\_OBJECT, or VT\_STORED\_OBJECT may be used in a stream-based property set; these types may only be used in storage-based sets. It is the person who invents / defines a new property set who gets to choose whether the set is always stream-based, is always storage-based, or at times can be either.

Names in an IStorage that begin with the value '\0x05' are reserved exclusively for the storage of property sets. Streams or storages that begin with '\0x05' must therefore be in the format described below; storages so named must contain a "Contents" stream in the format.<sup>1</sup> One of the things that a person who invents a new standard property set does is specify the standard string name under which instances of that type are stored. For example, the summary information property set defined by OLE2 is always found under the name "\005SummaryInformation". OLE2 provided no conventions for choosing this name; however, a convention for choosing such names is now strongly recommended below.

Primary stream of a serialized property set



\*Offset in bytes from the start of the stream to the start of the section  
 \*\*Offset in bytes from the start of the section to the start of the type/value pair

Figure 1. Stream containing a serialized property set

1. Properties may of course be stored in streams or storages that do not begin with '\0x05', but such properties are completely private to the application manipulating the storage; there is little reason to do this.

## A.2.1 Format of the primary property set stream

The overall structure of a stream containing a serialized property set is as illustrated in Figure 2. The format consists of a property set header, a sequence of size exactly one of format id / offset pair, and a corresponding sequence of sections containing the actual property values.<sup>1</sup>

Absolutely all the fields of a serialized property set specified here are *always* stored in storage in little-endian (Intel) byte order.<sup>2</sup>

The overall length of this property set stream is limited to 256k bytes.

### A.2.1.1 Property Set Header

At the beginning of the property set stream is a header. The following structure illustrates the header:

```
typedef struct PROPERTYSETHEADER {
    WORD    wByteOrder; // Always 0xFFFFE
    WORD    wFormat; // Should be 0
    DWORD   dwOSVer; // System version
    CLSID   clsid; // Application CLSID
    DWORD   reserved; // Should be 1
} PROPERTYSETHEADER;
```

The definition of the members of this structure as as follows.

Member	Meaning
wByteOrder	The byte-order indicator is a WORD and should always hold the value 0xFFFFE. This is the same as the Unicode© byte-order indicator. When written in little-endian (Intel) byte order, as is always done, this appears in the stream as 0xFE, 0xFF.
wFormat	The format version is a WORD and indicates the format version of this stream. Property set writers should write zero for this value. Property set readers should check this value; if it is non-zero, then they should refuse to read the set, for it is in a format that they don't in fact understand.
dwOSVer	The OS version number is encoded as OS kind in the high order word (0 for Windows on DOS, 1 for Macintosh, 2 for Windows 32-bit, 3 for UNIX) and the OS-supplied version number in the low order word. For Windows on DOS and Windows 32-bit, the latter is the low order word of the result of GetVersion().
clsid	The class identifier is the CLSID of a class that can display and/or provide programmatic access to the property values. If there is no such class, it is recommended that the Format ID be used (see below), though a value of all zeros is also acceptable; the former simply allows for greater future extensibility.
reserved	Reserved for future use. A writer of a property set should write the value one here; a reader of a property set should only however check that the value is at least one.

1. The original OLE2 format allowed for more than one section, but use of that functionality is discouraged and no longer supported.

2. Notwithstanding the fact that there is a byte-order tag of 0xFFFFE at the start of the format. This tag was intended to allow for future extensibility that has been subsequently determined to be very unlikely to be done.

### A.2.1.2 Format ID / Offset Pairs

This part of the serialized property set indicates two things: the FMTID that scopes the property values contained in the set, and the location within the stream at which those values are stored.

```
typedef struct FORMATIDOFFSET {
    FMTID   fmtid; // semantic name of a section
    DWORD   dwOffset; // offset from start of whole
                //property set stream to the
                //section
} FORMATIDOFFSET;
```

The offset is the distance of bytes from the start of the whole stream to where the section begins. The format id (FMTID) is the semantic name of its corresponding section, telling how to interpret the property values therein.

### A.2.1.3 Sections

Each section is made of up a property section header followed by an array that locates each property value within the section. It is specifically *not* the case that the properties in this array are sorted in any particular order. Offsets within this array are the distance from the start of the section to the start of the property (type, value) pair. This allows entire sections to be copied as an array of bytes without any translation of internal structure.

```
typedef struct PROPERTYSECTIONHEADER {
    DWORD   cbSection; // size of section in
                //bytes, which is
                //inclusive of the byte
                //count itself
    DWORD   cProperties; // count of properties
                //in section
    PROPERTYIDOFFSET* prop[]; // array of
                //property
                //locations
} PROPERTYSECTIONHEADER;
```

```
typedef struct PROPERTYIDOFFSET {
    DWORD   propid; // name of a property
    DWORD   dwOffset; // offset from the start
                //of the section to that
                //property
} PROPERTYIDOFFSET;
```

Each property value contains a type tag followed by the bytes of the actual property value (at last!). All type/value pairs begin on a 32-bit boundary. Thus values may be followed with null bytes to align the subsequent pair on a 32-bit boundary (note though that there is no guarantee that property values are in fact as tightly packed in a section as this restriction permits; that is, there may be additional gratuitous padding).

```
typedef struct SERIALIZEDPROPERTYVALUE {
    DWORD   dwType; // type tag
    BYTE    rgb[]; // the actual property
                //value
} SERIALIZEDPROPERTYVALUE;
```

A consequence of these rules is that the smallest legal section, one containing zero properties, contains the following eight bytes: 08 00 00 00 00 00 00 00.

## A.2.2 Special property ids

A couple of property ids have special significance in all property sets.

### A.2.2.1 Property Id zero: Dictionary of property names

To enable users of property sets to attach meaning to properties beyond those provided by the type indicator, property id zero is reserved in all property sets for an optional dictionary giving human readable names for the properties in the set and for the property set itself. The value will be an array of (property id, string) pairs.

The value of property id zero is an array of propid / string pairs. Entries in the array are the ids and corresponding names of the properties; these are not in any particular order with respect to their property ids. Not all of the names of the properties in the set need appear in the dictionary: the dictionary may omit entries for properties that are assumed to be universally known by clients that manipulate the property set. Typically names for the base property sets for widely accepted standards will be omitted.

Property names that begin with the binary Unicode characters 0x0001 through 0x001F are reserved for future use.

The name indicated as corresponding to property id zero is to be interpreted as the human readable name of the property set itself; like all property names, this may or may not be present.

The dictionary is stored as a list of Property ID/string pairs; the code page for the strings involved is as indicated in property id one. This can be illustrated using the following pseudo-structure definition for a dictionary entry (it's a pseudo-structure because the sz[] member is variable size).

```
typedef struct tagENTRY {
    DWORD    propid;    // Property ID
    DWORD    cb;        // Count of bytes in the string,
                        //including the null at the end
    tchar    tsz[cb];  // Zero-terminated string. Code
                        //page as indicated by property id
                        //one.
} ENTRY;

typedef struct tagDICTIONARY {
    DWORD    cEntries; // Count of entries in the list
    ENTRY    rgEntry[cEntries];
} DICTIONARY;
```

Note the following:

- Property ID zero does not have a type indicator. The DWORD that indicates the count of entries sits in the usual type indicator position.
- The count of bytes in the string (cb) includes the zero character that terminates the string.
- If the code page indicator is not 1200 (Unicode), there is no padding between entries to achieve reasonable alignment (sigh). However, if the code page indicator is Unicode, then each entry should be aligned on a DWORD boundary.
- If the code page indicator is not 1200 (Unicode), property names are stored dbcs strings. If the code page indicator does indicate Unicode, property name strings are stored as Unicode.
- Property name strings are restricted in length to 128 characters including the NULL terminating character.

### A.2.2.2 Property Id One: Code Page Indicator

Property id one (1) is reserved as an indicator of which code page or script any not-always-Unicode strings in the property set originated from (code pages are used in Windows and scripts are from the Macintosh world). All such string values in the entire property set, such as VT\_LPSTRs, VT\_BSTRs, and the names in the property name dictionary found in code page zero use characters from this one code page. If the code page indicator is not present, the prevailing code page on the reader's machine must be assumed. If an application cannot understand the indicated code page, it should not try to modify strings stored in the property set.

When an application that is not the author of a property set changes a property of type string in the set, it should examine the code page indicator and take one of the following courses of action:

1. Write the new value using the code page found in the code page indicator.
2. Rewrite all string values in the property set using the new code page (including the new value), and modify the code page indicator to reflect the new code page.

Possible values for the code page indicator are given in the Win32 API reference (see the NLSAPI functions, and specifically the GetACP function) and Inside Macintosh Volume VI, §14-111. For example, the code page US ANSI is represented by 0x04e4 (or 1252 in decimal); the code page for Unicode is 1200. Whether a Windows code page or a Macintosh script is found in property id one is determined by the "originating OS version" (PROPERTYSETHDR::dwOSVer) of the property set as a whole. Note that there exist Windows code page equivalents for the Macintosh scripts numbers (Windows code page 10000, for example, is the Macintosh Roman script).

By far, if it is at all possible, it is recommended that the Unicode code page (1200) be used. This is the only practical way to in fact achieve worldwide interoperable property sets. In code page 1200, note especially that the count at the start of a VT\_LPSTR or VT\_BSTR is to be interpreted as a *byte* count, not a character count. The byte count includes the two zero bytes at the end of the string.

Property id one is of type VT\_I2, and therefore consists of a DWORD containing VT\_I2 followed by a USHORT indicating the code page. For example, the type/value pair for property ID one representing the US ANSI code page is the following six bytes:

```
02 00 00 00 e4 04
```

plus any necessary padding.



### A.2.2.3 Property Id 0x80000000: Locale Indicator

Property Id 0x80000000 (PID\_LOCALE) is reserved as an indication of which locale the property set was written in. The default locale for a property set, in the event that PID\_LOCALE does not exist in the property set will be the system's default locale (LOCALE\_SYSTEM\_DEFAULT).

Applications can choose to support locale or just get the default behaviour. Applications that allow users to specify a working locale should write that locale identifier to this property. Applications that use the user's default locale (LOCALE\_USER\_DEFAULT) should write the user's default locale identifier.

Applications should be concerned with the possibility of getting information from a property set which is of a different locale than the app's locale or the user's or the system's (i.e. a foreign object).

There is no provision in the OLE Property Set interfaces defined above to specifically read and write PID\_LOCALE; in other words this property can be treated just like any property. Likewise the system will not attempt to automatically add or modify this property.

Property Id PID\_LOCALE is of type VT\_U4, and therefore consists of a DWORD containing VT\_U4 followed by a DWORD containing the Locale Identifier (LCID) as defined by Appendix C of the Win32 SDK.

### A.2.2.4 Reserved property ids

Property ids with the high bit set (that is, which are negative) are reserved for future definition by Microsoft.

## A.2.3 Property Type Representations

A property (type, value) pair is a DWORD type indicator, followed by a value whose representation depends on the type. The serialized representations of each of the different types of values are as follows:

Type indicator	Value Representation
VT_EMPTY	no bytes
VT_NULL	no bytes
VT_I2	2 byte signed integer
VT_I4	4 byte signed integer
VT_R4	32bit IEEE Floating point value
VT_R8	64bit IEEE Floating point value
VT_CY	8 byte two's complement integer (scaled by 10,000)
VT_DATE	A 64bit floating point number representing the number of days (not seconds) since December 31, 1899 (thus, January 1, 1900 is 2.0, January 2, 1900 is 3.0, and so on). This is stored in the same representation as VT_R8.

VT_BSTR	Counted, null terminated binary string; represented as a DWORD byte count of the number of bytes in the string (including the terminating null) followed by the bytes of the string. Character set is as indicated by the code page indicator.
VT_ERROR	A DWORD containing a status code.
VT_BOOL	Boolean value, a WORD containing 0 (false) or -1 (true).
VT_VARIANT	A type indicator (a DWORD) followed by the corresponding value. VT_VARIANT is only used in conjunction with VT_VECTOR: see below.
VT_UI1	1 byte unsigned integer
VT_UI2	2 byte unsigned integer
VT_UI4	4 byte unsigned integer
VT_I8	8 byte signed integer
VT_UI8	8 byte unsigned integer
VT_LPSTR	This is the representation of many strings. Stored in the same representation as VT_BSTR. Note therefore that the serialized representation of VT_LPSTR in fact has a preceding byte count, whereas the in-memory representation does not. Character set is as indicated by the code page indicator.
VT_LPWSTR	A counted and null terminated Unicode string; a DWORD character count (where the count includes the terminating null) followed by that many Unicode (16bit) characters. Note that the count is a character count, not a byte count.
VT_FILETIME	64bit FILETIME structure as defined by Win32
VT_BLOB	A DWORD count of bytes, followed by that many bytes of data; the byte count does not include the four bytes for the length of the count itself: an empty blob would have a count of zero, followed by zero bytes. Thus, the serialized representation of a VT_BLOB is similar to that of a VT_BSTR but does not guarantee a null byte at the end of the data.
VT_STREAM	Indicates the value is stored in a stream which is sibling to the "Contents" stream. Following this type indicator is data in the format of a serialized VT_LPSTR which names the stream containing the data.
VT_STORAGE	Indicates the value is stored in an IStorage which is sibling to the "Contents" stream. Following this type indicator is data in the format of a serialized VT_LPSTR which names the IStorage containing the data.
VT_STREAMED_OBJECT	As in VT_STREAM but indicates that the stream contains a serialized object, which is a class id followed by initialization data for the class.
VT_STORED_OBJECT	As in VT_STORAGE but indicates that the designated IStorage contains a loadable object.

## Section A: Structured Storage

VT_BLOB_OBJECT	<p>A BLOB containing a serialized object in the same representation as would appear in a VT_STREAMED_OBJECT. That is, following the VT_BLOB_OBJECT tag is a DWORD byte count of the remaining data (where the byte count does not include the size of itself) which is in the format of a class id followed by initialization data for that class.</p> <p>The only significant difference between VT_BLOB_OBJECT and VT_STREAMED_OBJECT is that the former does not have the system-level storage overhead that the latter would have, and is therefore more suitable for scenarios involving numbers of small objects.</p>
VT_CF	<p>A BLOB containing a clipboard format identifier followed by the data in that format. That is, following the VT_CF tag is data in the format of a VT_BLOB: a DWORD count of bytes, followed by that many bytes of data in the format of a packed VTCFREP described just below, followed immediately by an array of bytes as appropriate for data in the clipboard format (text, metafile, or whatever).</p>
VT_CLSID	<p>A class ID (or other GUID).</p>
VT_VECTOR	<p>If the type indicator is one of the above values with this bit on in addition, then the value is a DWORD count of elements, followed by that many repetitions of the value.</p> <p>As an example, a type indicator of VT_LPSTR VT_VECTOR has a DWORD element count, a DWORD byte count, the first string data, a DWORD byte count, the second string data, and so on.</p>

Clipboard format identifiers, stored with the tag VT\_CF, use one of five different representations:

```
typedef struct VTCFREP {
    LONG    lTag;
    BYTE    rgb[];
} VTCFREP;
```

The values for *rgb* are determined by the different values for *ITag*:

<b>ITag Value</b>	<b>rgb value</b>
-1L	a DWORD containing a built-in Windows clipboard format value.
-2L	a DWORD containing a Macintosh clipboard format value.
-3L	a GUID containing a format identifier (this is in little usage).
any positive value	a null-terminated string containing a Windows clipboard format name, one suitable for passing to <code>RegisterClipboardFormat</code> . The code page used for characters in the string is per the code page indicator. The “positive value” here is the length of the string, including the null byte at the end.
0L	no data (very rare usage)

As was mentioned above, all *type/value* pairs begin on a 32-bit boundary. It follows that in turn, the *type* indicators and values of a *type value* pair are so aligned. This means that values may be necessarily followed by null bytes to align a subsequent *type/value* pair.

However, *within* a vector of values, each repetition of a value is to be aligned with its *natural* alignment rather than with 32-bit alignment. In practice, this is only significant for types `VT_I2` and `VT_BOOL` (which have 2-byte natural alignment); all other types have 4-byte natural alignment. Therefore, a value with *type tag* `VT_I2 | VT_VECTOR` would be

- a DWORD element count, followed by
- an sequence of packed 2-byte integers with *no* padding between them, whereas a value of with *type tag* `VT_LPSTR | VT_VECTOR` would be a DWORD element count, followed by
- a sequence of (DWORD *cch*, char *rgch*[]) strings, each of which may be followed by null padding to round to a 32-bit boundary.

## A.3 'CompObj' Stream Binary Format

### A.3.0 Overview

The 'CompObj' stream in a storage object provides generic information regarding the native data contained in this storage object. This generic information is manipulated through the OLE API functions WriteFmtUserTypeStg and ReadFmtUserTypeStg and includes:

- User Type: a user readable string that indicates the type of the object.
- Clipboard Format: implies the names and structure of streams and sub-storages.

This document exposes the binary format of the data written by WriteFmtUserTypeStg and interpreted by ReadFmtUserTypeStg.

### A.3.1 Format

The format consists of three basic parts, that represent versions of the stream written by different versions of the OLE2 libraries:

- Header, User Type (ANSI), Clipboard format (ANSI)
- ProgID (ANSI): optional, if not present, not Unicode information may follow
- Unicode versions of User Type, Clipboard format and ProgID: optional, if any Unicode information is present all three items have to be valid. Presence of the Unicode information is indicated by a "magic DWORD" value following the ANSI ProgID.

The following is a detailed description of the format using a pseudo C++ syntax where applicable.

#### A.3.1.1 Mandatory part

##### A.3.1.1.1 Stream name

```
[
  //Stream name: L"\1CompObj"
]
```

### A.3.1.1.2 Header

```

struct CompObjHdr// The leading data in the CompObj stream
{
  DWORDdwVersionAndByteOrder;// First DWORD: LOWORD Ver
                                sion=0x0001, HIWORD=FFFE (ignored by
                                reader!)
  DWORDdwFormat = 0x00000a03;    // OS Version: always Win 3.1
  DWORDunused=-1L;              // Always a -1L in the stream

  CLSIDclsidClass;             // Class ID of this object, identical
                                to the CLSID in the parent storage of
                                the stream
};

```

### A.3.1.1.3 User Type

```

struct ANSIUserType
{
  DWORDdwLenBytes;// length of User Type string in bytes
                  including terminating 0
  charszUserType[dwLenBytes];// User Type string (ANSI) terminated
                              with '\0'
}

```

### A.3.1.1.4 Clipboard Format (ANSI)

```

LONGdwCFLen;// Length of clipboard format name
// special values:
// 0 no clipboard format
// -1 DWORD with standard Windows CF
//follows:
//DWORD cfStdWin;
// -2 DWORD with standard Apple Mac
//intosh CF follows:
//DWORD cfStdMac;
// >0 Length in bytes of clipboard
//format name including terminating 0
char szCFName[dwCFLen]; // Clipboard Format Name (ANSI) te
                        // minated with '\0'

```

### A.3.1.2 Optional: ProgID (ANSI)

The stream may end at this point. Versions of OLE before 2.01 provided only the data described in section 2.1.

If more data follows it is to be interpreted as follows:

```

struct ANSIProgID
{
  DWORDdwLenBytes;// length of ProgID stream in bytes.
                  // dwLenBytes<=40
  charszProgID[dwLenBytes];// ProgID string (ANSI) terminated with '\0'
}

```

### A.3.1.3 Optional: Unicode versions

Only if a ANSI ProgID was provided (possibly with ANSIProgID::dwLenBytes=0), the following data may follow:

### A.3.1.3.1 Magic Number

```

DWORD dwMagicNumber =0x71B239F4; // indicates Unicode UserType, CF
// and ProgID follow (all three!)

```

### A.3.1.3.2 User Type (Unicode)

```

struct UNICODEUserType
{
DWORD dwLenBytes; // Size of Unicode User
Type in bytes (not cha
acters!) including te
minating 0.
WCHARwszUserType[dwLenBytes/sizeof(WCHAR)]; // Unicode User Type
//string, terminated with
// '\0'.
};

```

### A.3.1.3.3 Clipboard Format (Unicode)

```

LONGdwUnicodeCFLen; // Length of Unicode clipboard format
name in bytes
// special values:
// 0 no clipboard format
// -1 DWORD with standard Windows CF
//follows:
//DWORD cfStdWin;
// -2 DWORD with standard Apple Mac
//intosh CF follows:
//DWORD cfStdMac;
// >0 Length in bytes of clipboard
//format name including terminating 0
WCHARszCFName[dwUnicodeCFLen/sizeof(WCHAR)]; // Clipboard Format
//Name (Unicode) terminated with '\0'

```

### A.3.1.3.4 ProgID (Unicode)

```

struct UNICODEProgID
{
DWORD dwLenBytes; // Size of Unicode ProgID in bytes (not characters!) including
// terminating '\0'.
WCHARwszProgID[dwLenBytes/sizeof(WCHAR)]; // Unicode ProgID string, terminated
// with '\0'.
};

```

September 11, 1996

*FlashPix* Format Specification



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# The Virtual Reality Modeling Language

**International Standard ISO/IEC 14772-1:1997**

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# The Virtual Reality Modeling Language

## International Standard ISO/IEC 14772-1:1997

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This document is part 1 of ISO/IEC 14772-1:1997, the Virtual Reality Modeling Language (VRML), also referred to as "VRML97". The full title of this part of the International Standard is: *Information technology -- Computer graphics and image processing -- The Virtual Reality Modeling Language (VRML) -- Part 1: Functional specification and UTF-8 encoding.*

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The **Foreword** provides background on the standards process for VRML. The **Introduction** describes the purpose, design criteria, and characteristics of VRML. The following clauses define part 1 of ISO/IEC 14772:

- a. **Scope** defines the problem area that VRML addresses.
- b. **Normative references** lists the normative standards referenced in this part of ISO/IEC 14772.
- c. **Definitions** contains the glossary of terminology used in this part of ISO/IEC 14772.
- d. **Concepts** describes various fundamentals of VRML.
- e. **Field and event reference** specifies the datatypes used by nodes.
- f. **Node reference** defines the syntax and semantics of VRML nodes.
- g. **Conformance and minimum support requirements** describes the conformance requirements for VRML implementations.

There are several annexes included in the specification:

- A. *Grammar definition* presents the grammar for the VRML file format.
- B. *Java platform scripting reference* describes how VRML scripting integrates with the Java platform.
- C. *ECMAScript scripting reference* describes how VRML scripting integrates with ECMAScript.
- D. *Examples* includes a variety of VRML example files.
- E. *Bibliography* lists the informative, non-standard topics referenced in this part of ISO/IEC 14772.
- F. *Recommendations for non-normative extensions* lists informative recommendations for extensions to VRML.



Questions or comments should be sent to [rikk@wasabisoft.com](mailto:rikk@wasabisoft.com).

# Foreword



## Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form a specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. See <http://www.iso.ch> for information on ISO and <http://www.iec.ch> for information on IEC.

In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1. Draft International Standards adopted by the joint technical committee are circulated to national bodies for voting. Publication as an International Standard requires approval by at least 75% of the national bodies casting a vote. See <http://www.iso.ch/meme/JTC1.html> for information on JTC 1.

International Standard ISO/IEC 14772 was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee 24, *Computer graphics and image processing*, in collaboration with The VRML Consortium, Inc. (<http://www.vrml.org>) and the VRML moderated email list ([www-vrml@vrml.org](mailto:www-vrml@vrml.org)).

ISO/IEC 14772 consists of the following part, under the general title *Information technology -- Computer graphics and image processing -- The Virtual Reality Modeling Language*:

*Part 1: Functional specification and UTF-8 encoding.*

Further parts will follow.

Annexes A to C form an integral part of this part of ISO/IEC 14772. Annexes D to F are for information only.





# Introduction



## Purpose

The Virtual Reality Modeling Language (VRML) is a file format for describing interactive 3D objects and worlds. VRML is designed to be used on the Internet, intranets, and local client systems. VRML is also intended to be a universal interchange format for integrated 3D graphics and multimedia. VRML may be used in a variety of application areas such as engineering and scientific visualization, multimedia presentations, entertainment and educational titles, web pages, and shared virtual worlds.

## Design Criteria

VRML has been designed to fulfill the following requirements:

### Authorability

*Enable the development of computer programs capable of creating, editing, and maintaining VRML files, as well as automatic translation programs for converting other commonly used 3D file formats into VRML files.*

### Composability

*Provide the ability to use and combine dynamic 3D objects within a VRML world and thus allow re-usability.*

### Extensibility

*Provide the ability to add new object types not explicitly defined in VRML.*

### Be capable of implementation

*Capable of implementation on a wide range of systems.*

### Performance

*Emphasize scalable, interactive performance on a wide variety of computing platforms.*

### Scalability

*Enable arbitrarily large dynamic 3D worlds.*

## Characteristics of VRML

VRML is capable of representing static and animated dynamic 3D and multimedia objects with hyperlinks to other media such as text, sounds, movies, and images. VRML browsers, as well as authoring tools for the creation of VRML files, are widely available for many different platforms.

VRML supports an extensibility model that allows new dynamic 3D objects to be defined allowing application communities to develop interoperable extensions to the base standard. There are mappings between VRML objects and commonly used 3D application programmer interface (API) features.





**Information technology --  
Computer graphics and image processing --  
The Virtual Reality Modeling Language --  
Part 1: Functional specification and UTF-8 encoding**

## 1 Scope



ISO/IEC 14772, the Virtual Reality Modeling Language (VRML), defines a file format that integrates 3D graphics and multimedia. Conceptually, each VRML file is a 3D time-based space that contains graphic and aural objects that can be dynamically modified through a variety of mechanisms. This part of ISO/IEC 14772 defines a primary set of objects and mechanisms that encourage composition, encapsulation, and extension.

The semantics of VRML describe an abstract functional behaviour of time-based, interactive 3D, multimedia information. ISO/IEC 14772 does not define physical devices or any other implementation-dependent concepts (e.g., screen resolution and input devices). ISO/IEC 14772 is intended for a wide variety of devices and applications, and provides wide latitude in interpretation and implementation of the functionality. For example, ISO/IEC 14772 does not assume the existence of a mouse or 2D display device.

Each VRML file:

- a. implicitly establishes a world coordinate space for all objects defined in the file, as well as all objects included by the file;
- b. explicitly defines and composes a set of 3D and multimedia objects;
- c. can specify hyperlinks to other files and applications;
- d. can define object behaviours.

An important characteristic of VRML files is the ability to compose files together through inclusion and to relate files together through hyperlinking. For example, consider the file *earth.wrl* which specifies a world that contains a sphere representing the earth. This file may also contain references to a variety of other VRML files representing cities on the earth (e.g., file *paris.wrl*). The enclosing file, *earth.wrl*, defines the coordinate system that all the cities reside in. Each city file defines the world coordinate system that the city resides in but that becomes a local coordinate system when contained by the earth file.

Hierarchical file inclusion enables the creation of arbitrarily large, dynamic worlds. Therefore, VRML ensures that each file is completely described by the objects contained within it.

Another essential characteristic of VRML is that it is intended to be used in a distributed environment such as the World Wide Web. There are various objects and mechanisms built into the language that support multiple distributed files, including:

- g. in-lining of other VRML files;
- h. hyperlinking to other files;
- i. using established Internet and ISO standards for other file formats;
- j. defining a compact syntax.



## 2 Normative references



The following normative documents contain provisions which, through reference in this text, constitute provisions of this part of ISO/IEC 14772. For dated references, subsequent amendments to, or revisions of, any of these publications do not apply. However, parties to agreements based on this part of ISO/IEC 14772 are encouraged to investigate the possibility of applying the most recent editions of the normative documents indicated below. For undated references, the latest edition of the normative document referred to applies. Members of ISO and IEC maintain registers of currently valid International Standards.

[Annex E, Bibliography](#), contains a list of informative documents and technology.

Identifier	Reference
1766	IETF RFC 1766, Tags for the Identification of Languages, Internet standards track protocol. <a href="http://ds.internic.net/rfc/rfc1766.txt">http://ds.internic.net/rfc/rfc1766.txt</a>
CGM	ISO/IEC 8632:1992 (all parts) Information technology -- Computer graphics -- Metafile for the storage and transfer of picture description information. <a href="http://www.iso.ch/isob/switch-engine-cate.pl?searchtype=refnumber&amp;KEYWORDS=8632">http://www.iso.ch/isob/switch-engine-cate.pl?searchtype=refnumber&amp;KEYWORDS=8632</a>
ESCR	ISO/IEC DIS 16262 Information technology -- ECMAScript: A general purpose, cross-platform programming language. <a href="http://www.ecma.ch">http://www.ecma.ch</a> <a href="http://www.iso.ch/isob/switch-engine-cate.pl?searchtype=refnumber&amp;KEYWORDS=16262">http://www.iso.ch/isob/switch-engine-cate.pl?searchtype=refnumber&amp;KEYWORDS=16262</a>
HTML	HTML 3.2 Reference Specification. <a href="http://www.w3.org/TR/REC-html32.html">http://www.w3.org/TR/REC-html32.html</a>
I639	ISO 639:1988 Code for the representation of names of languages. <a href="http://www.iso.ch/isob/switch-engine-cate.pl?KEYWORDS=10918&amp;searchtype=refnumber">http://www.iso.ch/isob/switch-engine-cate.pl?KEYWORDS=10918&amp;searchtype=refnumber</a> , <a href="http://www.chemie.fu-berlin.de/diverse/doc/ISO_639.html">http://www.chemie.fu-berlin.de/diverse/doc/ISO_639.html</a>
I3166	ISO 3166:1997 (all parts) Codes for the representation of names of countries and their subdivisions. <a href="http://www.iso.ch/isob/switch-engine-cate.pl?searchtype=refnumber&amp;KEYWORDS=3166">http://www.iso.ch/isob/switch-engine-cate.pl?searchtype=refnumber&amp;KEYWORDS=3166</a>
I8859	ISO/IEC 8859-1:1987 Information technology -- 8-bit single-byte coded graphic character sets -- Part 1: Latin alphabet No. 1. <a href="http://www.iso.ch/isob/switch-engine-cate.pl?searchtype=refnumber&amp;KEYWORDS=8859">http://www.iso.ch/isob/switch-engine-cate.pl?searchtype=refnumber&amp;KEYWORDS=8859</a>

ISOC	ISO/IEC 9899:1990 Programming languages -- C. <a href="http://www.iso.ch/isob/switch-engine-cate.pl?searchtype=refnumber&amp;KEYWORDS=9899">http://www.iso.ch/isob/switch-engine-cate.pl?searchtype=refnumber&amp;KEYWORDS=9899</a>
ISOG	ISO/IEC 10641:1993 Information technology -- Computer graphics and image processing -- Conformance testing of implementations of graphics standards. <a href="http://www.iso.ch/isob/switch-engine-cate.pl?KEYWORDS=10641&amp;searchtype=refnumber">http://www.iso.ch/isob/switch-engine-cate.pl?KEYWORDS=10641&amp;searchtype=refnumber</a>
JAVA	"The Java Language Specification" by James Gosling, Bill Joy and Guy Steele, Addison Wesley, Reading Massachusetts, 1996, ISBN 0-201-63451-1. <a href="http://java.sun.com/docs/books/jls/index.html">http://java.sun.com/docs/books/jls/index.html</a> "The Java Virtual Machine Specification" by Tim Lindhold and Frank Yellin, Addison Wesley, Reading Massachusetts, 1996, ISBN 0-201-63452-X. <a href="http://java.sun.com/docs/books/vmspec/index.html">http://java.sun.com/docs/books/vmspec/index.html</a>
JPEG	"JPEG File Interchange Format," JFIF, Version 1.02, 1992. <a href="http://www.w3.org/pub/WWW/Graphics/JPEG/jfif.txt">http://www.w3.org/pub/WWW/Graphics/JPEG/jfif.txt</a> ISO/IEC 10918-1:1994 Information technology -- Digital compression and coding of continuous-tone still images: Requirements and guidelines. <a href="http://www.iso.ch/isob/switch-engine-cate.pl?KEYWORDS=10918&amp;searchtype=refnumber">http://www.iso.ch/isob/switch-engine-cate.pl?KEYWORDS=10918&amp;searchtype=refnumber</a>
MIDI	Complete MIDI 1.0 Detailed Specification, MIDI Manufacturers Association, P.O. Box 3173, La Habra, CA 90632 USA 1996. <a href="http://www.midi.org">http://www.midi.org</a>
MPEG	ISO/IEC 11172-1:1993 Information technology -- Coding of moving pictures and associated audio for digital storage media at up to about 1,5 Mbit/s -- Part 1: Systems. <a href="http://www.iso.ch/isob/switch-engine-cate.pl?searchtype=refnumber&amp;KEYWORDS=11172">http://www.iso.ch/isob/switch-engine-cate.pl?searchtype=refnumber&amp;KEYWORDS=11172</a>
PNG	PNG (Portable Network Graphics), Specification Version 1.0, W3C Recommendation, 1 October 1996. <a href="http://www.w3.org/pub/WWW/TR/REC-png-multi.html">http://www.w3.org/pub/WWW/TR/REC-png-multi.html</a>
RURL	IETF RFC 1808 Relative Uniform Resource Locator, Internet standards track protocol. <a href="http://ds.internic.net/rfc/rfc1808.txt">http://ds.internic.net/rfc/rfc1808.txt</a>
URL	IETF RFC 1738 Uniform Resource Locator, Internet standards track protocol. <a href="http://ds.internic.net/rfc/rfc1738.txt">http://ds.internic.net/rfc/rfc1738.txt</a>

UTF8	ISO/IEC 10646-1:1993 Information technology -- Universal Multiple-Octet Coded Character Set (UCS) - Part 1: Architecture and Basic Multilingual Plane, Internet standards track protocol. <a href="http://www.iso.ch/isob/switch-engine-cate.pl?searchtype=refnumber&amp;KEYWORDS=10646">http://www.iso.ch/isob/switch-engine-cate.pl?searchtype=refnumber&amp;KEYWORDS=10646</a> , <a href="http://ds.internic.net/rfc/rfc2044.txt">http://ds.internic.net/rfc/rfc2044.txt</a>
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## 3 Definitions



For the purposes of this part of ISO/IEC 14722, the following definitions apply.

### 3.1 activate

To cause a [sensor node](#) to generate an "isActive" [event](#). The various types of sensor nodes are "activated" by [user](#) interactions, the passage of [time](#), or other events. Only active sensor nodes affect the [user's](#) experience. A Script [node](#) is activated when it receives an event. A pointing device such as a [mouse](#) is activated when one of its buttons is depressed by a user. See [4.12.2, Script execution](#), for details.

### 3.2 ancestor

A [node](#) which is an antecedent of another node in the [transformation hierarchy](#).

### 3.3 author

A person or agent that creates [VRML files](#). Authors typically use [generators](#) to assist them.

### 3.4 authoring tool

See [generator](#).

### 3.5 avatar

The abstract representation of the [user](#) in a VRML [world](#). The physical dimensions of the avatar are used for collision detection and terrain following. See [6.29, NavigationInfo](#), for details.

### 3.6 bearing

A straight line passing through the [pointer](#) location in the direction of the pointer. If multiple sensors' geometry intersect this line, only the sensor nearest the viewer will be eligible to generate [events](#) regardless of material and texture properties (e.g., transparency).

### 3.7 bindable node

A [node](#) that may have many [instances](#) in a [scene graph](#), but only one instance may be active at any instant of [time](#). A node of type Background, Fog, NavigationInfo, or Viewpoint. See [4.6.10, Bindable children nodes](#), for details.

### 3.8 browser

A computer program that interprets [VRML files](#), presents their content to a [user](#) on a [display device](#), and allows the user to interact with [worlds](#) defined by VRML files by means of a user interface.



### 3.9 browser extension

*Nodes* defined using the prototyping mechanism that are understood only by certain *browsers*. See [4.9.3, Browser extensions](#), for details.

### 3.10 built-in node

A *node* of a *type* explicitly defined in this part of ISO/IEC 14772.

### 3.11 callback

A function defined in a *scripting language* to which *events* are passed. See [4.12.8, EventIn handling](#), for details.

### 3.12 candidate

One of potentially several choices. The *user* or the *browser* will select none or one of the choices when all candidates are identified. See [4.6.10, Bindable children nodes](#), and [6.2, Anchor](#), for details.

### 3.13 child

An instance of a *children node*.

### 3.14 children node

One of a set of *node types*, instances of which can be collected in a group to share specific properties dependent on the type of the *grouping node*. See [4.6.5, Grouping and children nodes](#), for a list of allowable children nodes.

### 3.15 client system

A computer system, attached to a *network*, that relies on another computer (the server) for essential processing functions. Many client systems also function as stand-alone computers.

### 3.16 collision proxy

A *node* used as a substitute for all of a Collision node's children during collision detection. See [6.8, Collision](#), for details.

### 3.17 colour model

Characterization of a colour space in terms of explicit parameters. ISO/IEC 14772 allows colours to be defined only with the RGB colour model. However, colour interpolation is performed in the HSV colour space.

### 3.18 culling

The process of identifying *objects* or parts of objects which do not need to be processed further by the *browser* in order to produce the desired view of a *world*.

### 3.19 descendant

A [node](#) which descends from another node in the [transformation hierarchy](#). A [children node](#).

### 3.20 display device

A graphics device on which VRML [worlds](#) may be rendered.

### 3.21 drag sensor

A [pointing device sensor](#) that causes [events](#) to be generated in response to sensor-dependent pointer motions. For example, the SphereSensor generates spherical rotation events. A [node](#) of type CylinderSensor, PlaneSensor, or SphereSensor. See [4.6.7, Sensor nodes](#), and [4.6.7.4, Drag sensors](#), for details.

### 3.22 environmental sensor

A sensor [node](#) that generates [events](#) based on the location of the viewpoint in the [world](#) or in relation to [objects](#) in the world. The TimeSensor node generates events at regular intervals in [time](#). A node of type Collision, ProximitySensor, TimeSensor, or VisibilitySensor. See [4.6.7.2, Environmental sensors](#), for details.

### 3.23 event

A [message](#) sent from one [node](#) to another as defined by a [route](#). [Events](#) signal external stimuli, changes to [field](#) values, and interactions between nodes. An event consists of a [timestamp](#) and a field value.

### 3.24 event cascade

A sequence of [events](#) initiated by a script or sensor event and propagated from [node](#) to node along one or more [routes](#). All events in an event cascade are considered to have occurred simultaneously. See [4.10.3, Execution model](#), for details.

### 3.25 eventIn

A logical receptor attached to a [node](#) which receives [events](#).

### 3.26 eventOut

A logical output terminal attached to a [node](#) from which [events](#) are sent. The eventOut also stores the event most recently sent.

### 3.27 execution model

The rules governing how [events](#) are processed by [browsers](#) and scripts.

### 3.28 exposed field

A [field](#) that is capable of receiving [events](#) via an [eventIn](#) to change its value(s), and generating events via an [eventOut](#) when its value(s) change.

### 3.29 external prototype

A [prototype](#) defined in an external file and referenced by a [URL](#).

### 3.30 field

A property or attribute of a [node](#). Each [node type](#) has a fixed set of fields. Fields may contain various kinds of data and one or many values. Each field has a default value.

### 3.31 field name

The identifier of a [field](#). Field names are unique within the scope of the [node](#).

### 3.32 file

A collection of related data. A file may be stored on physical media or may exist as a data stream or as data within a computer program.

### 3.33 frame

A single rendering of a [world](#) on a [display device](#) or a single time-step in a simulation.

### 3.34 generator

A computer program which creates [VRML files](#). A generator may be used by a person or operate automatically. Synonymous with [authoring tool](#).

### 3.35 geometric property node

A [node](#) defining the properties of a specific geometry node. A node of type Color, Coordinate, Normal, or TextureCoordinate. See [4.6.3.2, Geometric property nodes](#), for details.

### 3.36 geometric sensor node

A [node](#) that generates [events](#) based on [user](#) actions, such as a [mouse](#) click or navigating close to a particular [object](#). A node of type CylinderSensor, PlaneSensor, ProximitySensor, SphereSensor, TouchSensor, VisibilitySensor, or Collision. See [4.6.7.1, Introduction to sensors](#), for details.

### 3.37 geometry node

A *node* containing mathematical descriptions of three-dimensional (3D) points, lines, surfaces, text strings and solids. A node of type Box, Cone, Cylinder, ElevationGrid, Extrusion, IndexedFaceSet, IndexedLineSet, PointSet, Sphere, or Text. See [4.6.3, Shapes and geometry](#), for details.

### 3.38 grab

To receive *events* from activated pointing devices (e.g., *mouse* or *wand*). A *pointing device sensor* becomes the exclusive recipient of pointing device events when one or more pointing devices are activated simultaneously.

### 3.39 gravity

In the context of ISO/IEC 14772, gravity may be simulated by constraining the motion of the viewpoint to the lowest possible path (smallest Y-coordinate in the local coordinate system of the viewpoint) consistent with following the surface of encountered *objects*. See [6.29, NavigationInfo](#), for details.

### 3.40 grouping node

One of a set of *node types* which include a list of nodes, referred to as its *children nodes*. These children nodes are collected together to share specific properties dependent on the type of the grouping node. Each grouping node defines a coordinate space for its children relative to its own coordinate space. The children may themselves be instances of grouping nodes, thus forming a *transformation hierarchy*. See [4.6.5, Grouping and children nodes](#), for details.

### 3.41 HSV

Hue, Saturation, and Value colour model. See [E.\[FOLE\]](#).

### 3.42 HTML

HyperText Markup Language. See [2.\[HTML\]](#).

### 3.43 hyperlink

A reference to a *URL* that is associated with an Anchor *node*. See [6.2, Anchor](#), for details.

### 3.44 ideal VRML implementation

An implementation of VRML that presents all *objects* and simulates movement without approximation. Not realizable in practice.

### 3.45 IEC

International Electrotechnical Commission. See <http://www.iec.ch>.

### 3.46 IETF

Internet Engineering Task Force. The organization which develops [Internet](#) standards. See <http://www.ietf.org/overview.html>.

### 3.47 image

A two-dimensional (2D) rectangular array of pixel values. Pixel values may have from one to four components. See [5.5, SImage](#), for details.

### 3.48 in-lining

The mechanism by which one [VRML file](#) is hierarchically included in another.

### 3.49 Internet

The world-wide named [network](#) of computers which communicate with each other using a common set of communication protocols known as TCP/IP. See [IETF](#). The [World Wide Web](#) is implemented on the Internet.

### 3.50 instance

A reference to a previously defined and named [node](#). Nodes are named by means of the DEF syntax and reference by USE syntax (see [4.6.2, DEF/USE semantics](#)). Instances of nodes may be used in any context in which the defining node may be used.

### 3.51 interpolator node

A [node](#) that defines a piece-wise linear interpolation. A node of type ColorInterpolator, CoordinateInterpolator, NormalInterpolator, OrientationInterpolator, PositionInterpolator, or ScalarInterpolator. See [4.6.8, Interpolator nodes](#), for details.

### 3.52 intranet

A private [network](#) that uses the same protocols and standards as the [Internet](#).

### 3.53 ISO

International Organization for Standardization. See <http://www.iso.ch/infoe/intro.html>.

### 3.54 JPEG

Joint Photographic Experts Group. See [2.\[JPEG\]](#).

### 3.55 JTC 1

[ISO/IEC](#) Joint Technical Committee 1. See <http://www.iso.ch/meme/JTC1.html>.

### 3.56 level of detail

The amount of detail or complexity which is displayed at any particular *time* for any particular *object*. The level of detail for an object is controllable as a function of the distance of the object from the viewer. See [6.26, LOD](#), for details. (Abbreviated LOD)

### 3.57 line terminator

A linefeed character (0x0A) or a carriage return character (0x0D).

### 3.58 loop

A sequence of *events* which would result in a specific *eventOut* sending more than one event with the same *timestamp*.

### 3.59 message

A data string sent between *nodes* upon the occurrence of an *event*. See [4.10, Event processing](#), for details.

### 3.60 MIDI

Musical Instrument Digital Interface. A standard for digital music representation. See [2.\[MIDI\]](#).

### 3.61 MIME

Multipurpose Internet Mail Extension. Used to specify filetyping rules for *Internet* applications, including *browsers*. See [4.5.1, File extension and MIME types](#), for details. See also [E.\[MIME\]](#).

### 3.62 mouse

A pointing device that moves in two dimensions and that enables a *user* to move a cursor on a *display device* in order to point at displayed *objects*. One or more push buttons on the mouse allow the user to indicate to the computer program that some action is to be taken.

### 3.63 MPEG

Moving Picture Experts Group. See <http://drogo.cselt.stet.it/mpeg/>.

### 3.64 multimedia

An integrated presentation, typically on a computer, of content of various types, such as computer graphics, audio, and video.

### 3.65 network

Set of interconnected computers.

### 3.66 node

The fundamental component of a [scene graph](#) in ISO/IEC 14772. Nodes are abstractions of various real-world objects and concepts. Examples include spheres, lights, and material descriptions. Nodes contain [fields](#) and [events](#). [Messages](#) may be sent between nodes along [routes](#).

### 3.67 node type

A characteristic of each [node](#) that describes, in general, its particular semantics. For example, Box, Group, Sound, and SpotLight are node types. See [4.6, Node semantics](#), and [6, Node reference](#), for details.

### 3.68 now

The present [time](#) as perceived by the [user](#).

### 3.69 object

A collection of data and procedures, packaged according to the rules and syntax defined in ISO/IEC 14772. "Object" is usually synonymous with [node](#).

### 3.70 object space

The coordinate system in which an [object](#) is defined.

### 3.71 panorama

A background texture that is placed behind all geometry in the scene and in front of the ground and sky. See [6.5, Background](#), for details.

### 3.72 parent

A [node](#) which is an instance of a [grouping node](#).

### 3.73 PNG

Portable Network Graphics. A specification for representing two-dimensional images in [files](#). See [2.\[PNG\]](#).

### 3.74 pointer

A location and direction in the [virtual world](#) defined by the [pointing device](#) which the [user](#) is currently using to interact with the virtual world.

### 3.75 pointing device

A hardware device connected to the [user's](#) computer by which the user directly controls the location and direction of the [pointer](#). Pointing devices may be either two-dimensional or three-dimensional and may have one or more control buttons. See [4.6.7.5, Activating and manipulating sensors](#), for details.

### 3.76 pointing device sensor

A sensor *node* that generates *events* based on *user* actions, such as *pointing device* motions or button activations. A node of type `Anchor`, `CylinderSensor`, `PlaneSensor`, `SphereSensor`, or `TouchSensor`. See [4.6.7.3, Pointing device sensors](#), for details.

### 3.77 polyline

A sequence of straight line segments where the end point of the first segment is coincident with the start point of the second segment, the endpoint of the second segment is coincident with the start point of the third segment, and so on. A piecewise linear curve.

### 3.78 profile

A named collection of criteria for functionality and conformance that defines an implementable subset of a standard.

### 3.79 prototype

The definition of a new *node type* in terms of the *nodes* defined in this part of ISO/IEC 14772. See [4.8, Prototype semantics](#), for details.

### 3.80 prototyping

The mechanism for extending the set of *node types* from within a *VRML file*.

### 3.81 public interface

The formal definition of a *node type* in this part of ISO/IEC 14772.

### 3.82 RGB

The colour model used within ISO/IEC 14772 for the specification of colours. Each colour is represented as a combination of the three primary colours red, green, and blue. See [E.\[FOLE\]](#).

### 3.83 route

The connection between a *node* generating an *event* and a node receiving the event. See [4.3.9, Route statement syntax](#), and [4.10.2, Route semantics](#), for details.

### 3.84 route graph

The set of connections between *eventOuts* and *eventIns* formed by ROUTE statements or addRoute method invocations.



### 3.85 run-time name scope

The extent to which a name defined within a VRML file applies and is visible. Several different run-time name scopes are recognized and are defined in [4.4.6, Run-time name scope](#).

### 3.86 RURL

Relative Uniform Resource Locator. See [2.\[RURL\]](#).

### 3.87 scene graph

An ordered collection of [grouping nodes](#) and other nodes. Grouping nodes, (such as LOD, Switch, and Transform nodes) may have [children nodes](#). See [4.2.3, Scene graph](#), and [4.4.2, Scene graph hierarchy](#), for details.

### 3.88 script

A set of procedural functions normally executed as part of an [event cascade](#) (see [6.40, Script](#)). A script function may also be executed asynchronously (see [4.12.6, Asynchronous scripts](#)).

### 3.89 scripting

The process of creating or referring to a script.

### 3.90 scripting language

A system of syntactical and semantic constructs used to define and automate procedures and processes on a computer. Typically, scripting languages are interpreted and executed sequentially on a statement-by-statement basis whereas programming languages are generally compiled prior to execution.

### 3.91 sensor node

A [node](#) that enables the [user](#) to interact with the [world](#) in the scene graph hierarchy. Sensor nodes respond to user interaction with geometric [objects](#) in the world, the movement of the user through the world, or the passage of [time](#). See [4.6.7, Sensor nodes](#), for details.

### 3.92 separator character

A [UTF-8](#) character used to separate syntactical entities in a [VRML file](#). Specifically, commas, spaces, tabs, linefeeds, and carriage-returns are separator characters wherever they appear outside of string [fields](#). See [4.3.1, Clear text \(UTF-8\) encoding](#), for details.

### 3.93 sibling

A [node](#) which shares a [parent](#) with other nodes.

### 3.94 simulation tick

The smallest time unit capable of being identified in a digital simulation of analog time. [Time](#) in the context of ISO/IEC 14772 is conceptually analog but is realized by an implementation as a digital simulation of abstract analog time. See [4.11, Time](#), for details.

### 3.95 special group node

A [grouping node](#) that exhibits special behaviour. Examples of such special behaviour include selecting one of many [children nodes](#) to be rendered based on a dynamically changing parameter value and dynamically loading children nodes from an external file. A node of type Inline, LOD (level of detail), or Switch. See [4.6.5, Grouping and children nodes](#), for details.

### 3.96 texture

An [image](#) used in a [texture map](#) to create visual appearance effects when applied to [geometry nodes](#).

### 3.97 texture coordinates

The set of two-dimensional coordinates used by some vertex-based [geometry nodes](#) (e.g., IndexedFaceSet and ElevationGrid) and specified in the TextureCoordinate node to map textures to the vertices of those nodes. Texture coordinates range from 0 to 1 across each axis of the texture image. See [4.6.11, Texture maps](#), and [6.48, TextureCoordinate](#), for details.

### 3.98 texture map

A [texture](#) plus the general parameters necessary for mapping the texture to geometry.

### 3.99 time

A monotonically increasing value generated by a node. Time (0.0) starts at 00:00:00 GMT January 1, 1970. See [4.11, Time](#), for details.

### 3.100 timestamp

The part of a [message](#) that describes the [time](#) the [event](#) occurred and that caused the message to be sent. See [4.11, Time](#), for details.

### 3.101 transformation hierarchy

The subset of the [scene graph](#) consisting of [nodes](#) that have well-defined coordinate systems. The transformation hierarchy excludes nodes that are not [descendants](#) of the scene graph root nodes and nodes in SFNode or MFNode fields of Script nodes.

### 3.102 transparency chunk

A section of a PNG file containing transparency information (derived from [2.\[PNG\]](#)).

### 3.103 traverse

To process the [nodes](#) in a [scene graph](#) in the correct order.

### 3.104 UCS

Universal multiple-octet coded Character Set. See [2.\[UTF8\]](#).

### 3.105 URL

Uniform Resource Locator. See [2.\[URL\]](#).

### 3.106 URN

Universal Resource Name. See [E.\[URN\]](#).

### 3.107 UTF-8

The character set used to encode [VRML files](#). The 8-bit UCS Transformation Format. See [2.\[UTF8\]](#).

### 3.108 user

A person or agent who uses and interacts with [VRML files](#) by means of a [browser](#).

### 3.109 viewer

A location, direction, and viewing angle in a [virtual world](#) that determines the portion of the virtual world presented by the [browser](#) to the [user](#).

### 3.110 virtual world

See [world](#).

### 3.111 VRML browser

See [browser](#).

### 3.112 VRML document server

A computer program that locates and transmits [VRML files](#) and supporting files in response to requests from [browsers](#).

### 3.113 VRML file

A set of VRML nodes and statements as defined in this part of ISO/IEC 14772. This set of VRML nodes and statements may be in the form of a file, a data stream, or an in-line sequence of VRML information as defined by a particular VRML encoding.

### 3.114 wand

A pointing device that moves in three dimensions and that enables a [user](#) to indicate a position in the three-dimensional coordinate system of a world in order to point at displayed [objects](#). One or more push buttons on the wand allow the user to indicate to the computer program that some action is to be taken.

### 3.115 white space

One or more consecutive occurrences of a [separator character](#). See [4.3.1, Clear text \(UTF-8\) encoding](#), for details.

### 3.116 world

A collection of one or more [VRML files](#) and other multimedia content that, when interpreted by a [VRML browser](#), presents an interactive experience to the [user](#) consistent with the [author's](#) intent.

### 3.117 world coordinate space

The coordinate system in which each VRML [world](#) is defined. The world coordinate space is an orthogonal right-handed Cartesian coordinate system. The units of length are metres.

### 3.118 World Wide Web

The collection of documents, data, and content typically encoded in HTML pages and accessible via the [Internet](#) using the HTTP protocol.

### 3.119 XY plane

The plane perpendicular to the Z-axis that passes through the point  $Z = 0.0$ .

### 3.120 YZ plane

The plane perpendicular to the X-axis that passes through the point  $X = 0.0$ .

### 3.121 ZX plane

The plane perpendicular to the Y-axis that passes through the point  $Y = 0.0$ .



# 4 Concepts



## 4.1 Introduction and table of contents

### 4.1.1 Introduction

This clause describes key concepts in ISO/IEC 14772. This includes how nodes are combined into scene graphs, how nodes receive and generate events, how to create node types using prototypes, how to add node types to VRML and export them for use by others, how to incorporate scripts into a *VRML file*, and various general topics on nodes.

### 4.1.2 Table of contents

See [Table 4.1](#) for the table of contents for this clause.

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<a href="#">4.4.5 Standard units and coordinate system</a> <a href="#">4.4.6 Run-time name scope</a> <b><a href="#">4.5 VRML and the World Wide Web</a></b> <a href="#">4.5.1 File extension and MIME type</a> <a href="#">4.5.2 URLs</a> <a href="#">4.5.3 Relative URLs</a> <a href="#">4.5.4 Scripting language protocols</a> <b><a href="#">4.6 Node semantics</a></b> <a href="#">4.6.1 Introduction</a> <a href="#">4.6.2 DEF/USE semantics</a> <a href="#">4.6.3 Shapes and geometry</a> <a href="#">4.6.4 Bounding boxes</a> <a href="#">4.6.5 Grouping and children nodes</a> <a href="#">4.6.6 Light sources</a> <a href="#">4.6.7 Sensor nodes</a> <a href="#">4.6.8 Interpolator nodes</a> <a href="#">4.6.9 Time-dependent nodes</a> <a href="#">4.6.10 Bindable children nodes</a> <a href="#">4.6.11 Texture maps</a>	<a href="#">4.12.7 Script languages</a> <a href="#">4.12.8 EventIn handling</a> <a href="#">4.12.9 Accessing fields and events</a> <a href="#">4.12.10 Browser script interface</a> <b><a href="#">4.13 Navigation</a></b> <a href="#">4.13.1 Introduction</a> <a href="#">4.13.2 Navigation paradigms</a> <a href="#">4.13.3 Viewing model</a> <a href="#">4.13.4 Collision detection and terrain following</a> <b><a href="#">4.14 Lighting model</a></b> <a href="#">4.14.1 Introduction</a> <a href="#">4.14.2 Lighting 'off'</a> <a href="#">4.14.3 Lighting 'on'</a> <a href="#">4.14.4 Lighting equations</a> <a href="#">4.14.5 References</a>
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### 4.1.3 Conventions used

The following conventions are used throughout this part of ISO/IEC 14772:

*Italics* are used for event and field names, and are also used when new terms are introduced and equation variables are referenced.

A fixed-space font is used for URL addresses and source code examples. ISO/IEC 14772 UTF-8 encoding examples appear in **bold, fixed-space** font.

Node type names are appropriately capitalized (e.g., "The Billboard node is a grouping node..."). However, the concept of the node is often referred to in lower case in order to refer to the semantics of the node, not the node itself (e.g., "To rotate the billboard...").

The form "0xhh" expresses a byte as a hexadecimal number representing the bit configuration for that byte.

Throughout this part of ISO/IEC 14772, references are denoted using the "x.[ABCD]" notation, where "x" denotes which clause or annex the reference is described in and "[ABCD]" is an abbreviation of the reference title. For example, 2.[ABCD] refers to a reference described in clause 2 and E.[ABCD] refers to a reference described in annex E.



## 4.2 Overview

### 4.2.1 The structure of a VRML file

A *VRML file* consists of the following major functional components: the header, the *scene graph*, the prototypes, and *event routing*. The contents of this file are processed for presentation and interaction by a program known as a *browser*.

## 4.2.2 Header

For easy identification of VRML files, every VRML file shall begin with:

```
#VRML V2.0 <encoding type> [optional comment] <line terminator>
```

The header is a single line of UTF-8 text identifying the file as a VRML file and identifying the encoding type of the file. It may also contain additional semantic information. There shall be exactly one space separating "**#VRML**" from "**V2.0**" and "**v2.0**" from "<encoding type>". Also, the "<encoding type>" shall be followed by a linefeed (0x0a) or carriage-return (0x0d) character, or by one or more space (0x20) or tab (0x09) characters followed by any other characters, which are treated as a comment, and terminated by a linefeed or carriage-return character.

The <encoding type> is either "**utf8**" or any other authorized values defined in other parts of ISO/IEC 14772. The identifier "**utf8**" indicates a clear text encoding that allows for international characters to be displayed in ISO/IEC 14772 using the UTF-8 encoding defined in ISO/IEC 10646-1 (otherwise known as Unicode); see [2.\[UTF8\]](#). The usage of UTF-8 is detailed in [6.47, Text](#), node. The header for a UTF-8 encoded VRML file is

```
#VRML V2.0 utf8 [optional comment] <line terminator>
```

Any characters after the <encoding type> on the first line may be ignored by a browser. The header line ends at the occurrence of a <line terminator>. A <line terminator> is a linefeed character (0x0a) or a carriage-return character (0x0d).

## 4.2.3 Scene graph

The scene graph contains nodes which describe objects and their properties. It contains hierarchically grouped geometry to provide an audio-visual representation of objects, as well as nodes that participate in the event generation and routing mechanism.

## 4.2.4 Prototypes

Prototypes allow the set of VRML node types to be extended by the user. Prototype definitions can be included in the file in which they are used or defined externally. Prototypes may be defined in terms of other VRML nodes or may be defined using a browser-specific extension mechanism. While ISO/IEC 14772 has a standard format for identifying such extensions, their implementation is browser-dependent.

## 4.2.5 Event routing

Some VRML nodes generate events in response to environmental changes or user interaction. Event routing gives authors a mechanism, separate from the scene graph hierarchy, through which these events can be propagated to effect changes in other nodes. Once generated, events are sent to their routed destinations in time order and processed by the receiving node. This processing can change the state of the node, generate additional events, or change the structure of the scene graph.

Script nodes allow arbitrary, author-defined event processing. An event received by a Script node causes the execution of a function within a script which has the ability to send events through the normal event routing mechanism, or bypass this mechanism and send events directly to any node to which the Script node has a reference. Scripts can also dynamically add or delete routes and thereby changing the event-routing topology.

The ideal event model processes all events instantaneously in the order that they are generated. A timestamp serves two purposes. First, it is a conceptual device used to describe the chronological flow of the event mechanism. It ensures that deterministic results can be achieved by real-world implementations that address processing delays and asynchronous interaction with external devices. Second, timestamps are also made available to Script nodes to allow events to be processed based on the order of user actions or the elapsed time between events.

## 4.2.6 Generating VRML files

A *generator* is a human or computerized creator of VRML files. It is the responsibility of the generator to ensure the correctness of the VRML file and the availability of supporting assets (e.g., images, audio clips, other VRML files) referenced therein.

## 4.2.7 Presentation and interaction

The interpretation, execution, and presentation of VRML files will typically be undertaken by a mechanism known as a browser, which displays the shapes and sounds in the scene graph. This presentation is known as a *virtual world* and is navigated in the browser by a human or mechanical entity, known as a *user*. The world is displayed as if experienced from a particular location; that position and orientation in the world is known as the *viewer*. The browser provides navigation paradigms (such as walking or flying) that enable the user to move the viewer through the virtual world.

In addition to navigation, the browser provides a mechanism allowing the user to interact with the world through sensor nodes in the scene graph hierarchy. Sensors respond to user interaction with geometric objects in the world, the movement of the user through the world, or the passage of time.

The visual presentation of geometric objects in a VRML world follows a conceptual model designed to resemble the physical characteristics of light. The VRML lighting model describes how appearance properties and lights in the world are combined to produce displayed colours (see [4.14, Lighting Model](#), for details).

[Figure 4.1](#) illustrates a conceptual model of a VRML browser. The browser is portrayed as a presentation application that accepts user input in the forms of file selection (explicit and implicit) and user interface gestures (e.g., manipulation and navigation using an input device). The three main components of the browser are: Parser, Scene Graph, and Audio/Visual Presentation. The Parser component reads the VRML file and creates the Scene Graph. The Scene Graph component consists of the Transformation Hierarchy (the nodes) and the Route Graph. The Scene Graph also includes the Execution Engine that processes events, reads and edits the Route Graph, and makes changes to the Transform Hierarchy (nodes). User input generally affects sensors and navigation, and thus is wired to the Route Graph component (sensors) and the Audio/Visual Presentation component (navigation). The Audio/Visual Presentation component performs the graphics and audio rendering of the Transform Hierarchy that feeds back to the user.

## 4.2.8 Profiles

ISO/IEC 14772 supports the concept of profiles. A profile is a named collection of functionality and requirements which shall be supported in order for an implementation to conform to that profile. Only one profile is defined in this part of ISO/IEC 14772. The functionality and minimum support requirements described in ISO/IEC 14772-1 form the *Base* profile. Additional profiles may be defined in other parts of ISO/IEC 14772. Such profiles shall incorporate the entirety of the Base profile.



## 4.3 UTF-8 file syntax

### 4.3.1 Clear text (UTF-8) encoding

This section describes the syntax of UTF-8-encoded, human-readable VRML files. A more formal description of the syntax may be found in [annex A, Grammar definition](#). The semantics of VRML in terms of the UTF-8 encoding are



presented in this part of ISO/IEC 14772. Other encodings may be defined in other parts of ISO/IEC 14772. Such encodings shall describe how to map the UTF-8 descriptions to and from the corresponding encoding elements.

For the UTF-8 encoding, the # character begins a comment. The first line of the file, the header, also starts with a # character. Otherwise, all characters following a "#", until the next line terminator, are ignored. The only exception is within double-quoted SFString and MFString fields where the "#" character is defined to be part of the string.

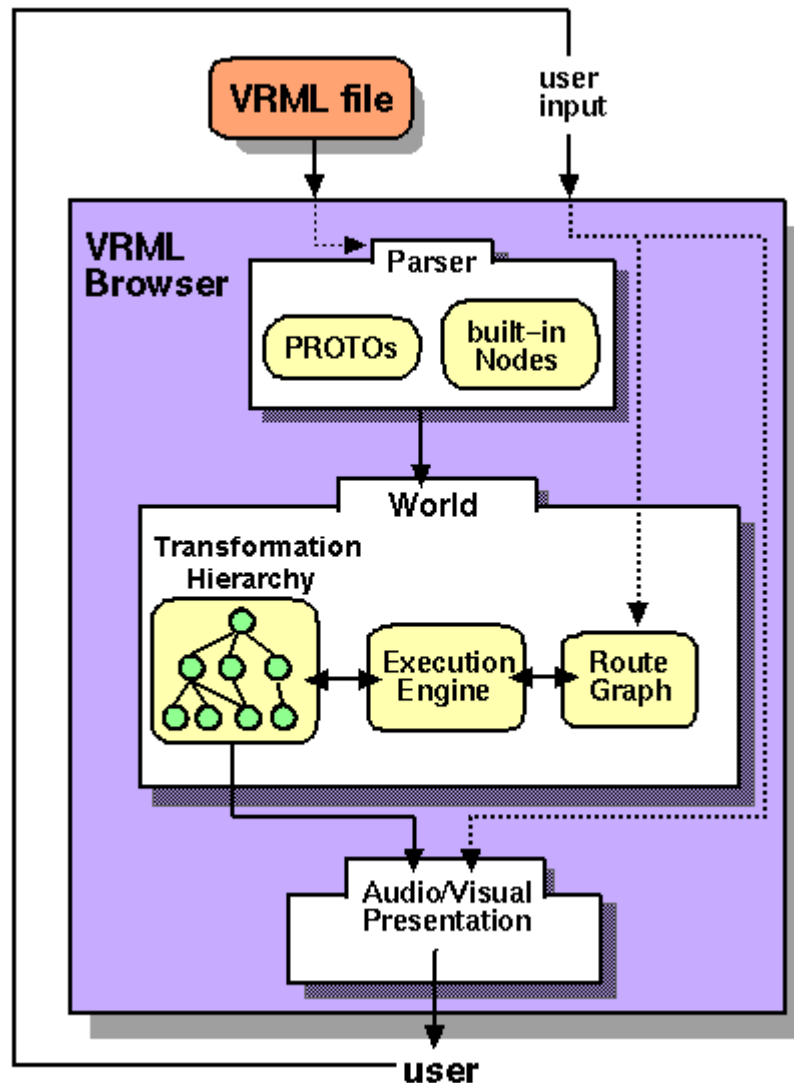


Figure 4.1 -- Conceptual model of a VRML browser

Commas, spaces, tabs, linefeeds, and carriage-returns are separator characters wherever they appear outside of string fields. Separator characters and comments are collectively termed *whitespace*.

A VRML document server may strip comments and extra separators including the comment portion of the header line from a VRML file before transmitting it. [WorldInfo](#) nodes should be used for persistent information such as copyrights or author information.

Field, event, PROTO, EXTERNPROTO, and node names shall not contain control characters (0x0-0x1f, 0x7f), space (0x20), double or single quotes (0x22: ", 0x27: '), sharp (0x23: #), comma (0x2c: ,), period (0x2e: .), brackets (0x5b, 0x5d: []), backslash (0x5c: \) or braces (0x7b, 0x7d: { }). Further, their first character shall not be a digit (0x30-0x39), plus (0x2b: +), or minus (0x2d: -) character. Otherwise, names may contain any ISO 10646 character encoded using UTF-8. VRML is case-sensitive; "Sphere" is different from "sphere" and "BEGIN" is different from "begin."

The following reserved keywords shall not be used for field, event, PROTO, EXTERNPROTO, or node names:

- DEF
- EXTERNPROTO
- FALSE
- IS
- NULL
- PROTO
- ROUTE
- TO
- TRUE
- USE
- eventIn
- eventOut
- exposedField
- field

### 4.3.2 Statements

After the required header, a VRML file may contain any combination of the following:

- a. Any number of PROTO or EXTERNPROTO statements (see [4.8, Prototype semantics](#));
- b. Any number of root node statements (see [4.4.1, Root nodes](#));
- c. Any number of USE statements (see [4.6.2, DEF/USE semantics](#));
- d. Any number of ROUTE statements (see [4.10.2, Route semantics](#)).

### 4.3.3 Node statement syntax

A node statement consists of an optional name for the node followed by the node's type and then the body of the node. A node is given a name using the keyword DEF followed by the name of the node. The node's body is enclosed in matching braces ("{ }"). Whitespace shall separate the DEF, name of the node, and node type, but is not required before or after the curly braces that enclose the node's body. See [A.3, Nodes](#), for details on node grammar rules.

```
[DEF <name>] <nodeType> { <body> }
```

A node's body consists of any number of field statements, IS statements, ROUTE statements, PROTO statements or EXTERNPROTO statements, in any order.

See [4.6.2, DEF/USE](#), semantics for more details on node naming. See [4.3.4, Field statement syntax](#), for a description of field statement syntax and [4.7, Field, eventIn, and eventOut semantics](#), for a description of field statement semantics. See [4.6, Node semantics](#), for a description of node statement semantics.

### 4.3.4 Field statement syntax

A field statement consists of the name of the field followed by the field's value(s). The following illustrates the syntax for a single-valued field:

```
<fieldName> <fieldValue>
```

The following illustrates the syntax for a multiple-valued field:

```
<fieldName> [ <fieldValues> ]
```

See [A.4, Fields](#), for details on field statement grammar rules.

Each node type defines the names and types of the fields that each node of that type contains. The same field name may be used by multiple node types. See [5, Field and event reference](#), for the definition and syntax of specific field types.

See [4.7, Field, eventIn, and eventOut semantics](#), for a description of field statement semantics.

### 4.3.5 PROTO statement syntax

A PROTO statement consists of the PROTO keyword, followed in order by the prototype name, prototype interface declaration, and prototype definition:

```
PROTO <name> [ <declaration> ] { <definition> }
```

See [A.2, General](#), for details on prototype statement grammar rules.

A prototype interface declaration consists of eventIn, eventOut, field, and exposedField declarations (see [4.7, Field, eventIn, and eventOut semantics](#)) enclosed in square brackets. Whitespace is not required before or after the brackets.

EventIn declarations consist of the keyword "eventIn" followed by an event type and a name:

```
eventIn <eventType> <name>
```

EventOut declarations consist of the keyword "eventOut" followed by an event type and a name:

```
eventOut <eventType> <name>
```

Field and exposedField declarations consist of either the keyword "field" or "exposedField" followed by a field type, a name, and an initial field value of the given field type.

```
field <fieldType> <name> <initial field value>
```

```
exposedField <fieldType> <name> <initial field value>
```

Field, eventIn, eventOut, and exposedField names shall be unique in each PROTO statement, but are not required to be unique between different PROTO statements. If a PROTO statement contains an exposedField with a given name (e.g., *zzz*), it shall not contain eventIns or eventOuts with the prefix *set\_* or the suffix *\_changed* and the given name (e.g., *set\_zzz* or *zzz\_changed*).

A prototype definition consists of at least one node statement and any number of ROUTE statements, PROTO statements, and EXTERNPROTO statements in any order.

See [4.8, Prototype semantics](#), for a description of prototype semantics.

### 4.3.6 IS statement syntax

The body of a node statement that is inside a prototype definition may contain IS statements. An IS statement consists of the name of a field, exposedField, eventIn or eventOut from the node's public interface followed by the keyword IS followed by the name of a field, exposedField, eventIn or eventOut from the prototype's interface declaration:

```
<field/eventName> IS <field/eventName>
```

See [A.3, Nodes](#), for details on prototype node body grammar rules. See [4.8, Prototype semantics](#), for a description of IS statement semantics.

### 4.3.7 EXTERNPROTO statement syntax

An EXTERNPROTO statement consists of the EXTERNPROTO keyword followed in order by the prototype's name, its interface declaration, and a list (possibly empty) of double-quoted strings enclosed in square brackets. If there is only one member of the list, the brackets are optional.

```
EXTERNPROTO <name> [ <external declaration> ] URL or [ URLs ]
```

See [A.2, General](#), for details on external prototype statement grammar rules.

An EXTERNPROTO interface declaration is the same as a PROTO interface declaration, with the exception that field and exposedField initial values are not specified and the prototype definition is specified in a separate VRML file to which the URL(s) refer.

### 4.3.8 USE statement syntax

A USE statement consists of the USE keyword followed by a node name:

```
USE <name>
```

See [A.2, General](#), for details on USE statement grammar rules.

### 4.3.9 ROUTE statement syntax

A ROUTE statement consists of the ROUTE keyword followed in order by a node name, a period character, a field name, the TO keyword, a node name, a period character, and a field name. Whitespace is allowed but not required before or after the period characters:

```
ROUTE <name>.<field/eventName> TO <name>.<field/eventName>
```

See [A.2, General](#), for details on ROUTE statement grammar rules.



## 4.4 Scene graph structure

### 4.4.1 Root nodes

A VRML file contains zero or more root nodes. The root nodes for a VRML file are those nodes defined by the node statements or USE statements that are not contained in other node or PROTO statements. Root nodes shall be children nodes (see [4.6.5, Grouping and children nodes](#)).

### 4.4.2 Scene graph hierarchy

A VRML file contains a directed acyclic graph. Node statements can contain SFNode or MFNode field statements that, in turn, contain node (or USE) statements. This hierarchy of nodes is called the *scene graph*. Each arc in the graph from A to B means that node A has an SFNode or MFNode field whose value directly contains node B. See [E.\[FOLE\]](#) for details on hierarchical scene graphs.

### 4.4.3 Descendant and ancestor nodes

The *descendants* of a node are all of the nodes in its SFNode or MFNode fields, as well as all of those nodes' descendants. The *ancestors* of a node are all of the nodes that have the node as a descendant.

### 4.4.4 Transformation hierarchy

The transformation hierarchy includes all of the root nodes and root node descendants that are considered to have one or more particular locations in the virtual world. VRML includes the notion of *local coordinate systems*, defined in terms of transformations from ancestor coordinate systems (using Transform or Billboard nodes). The coordinate system in which the root nodes are displayed is called the *world coordinate system*.

A VRML browser's task is to present a VRML file to the user; it does this by presenting the transformation hierarchy to the user. The transformation hierarchy describes the directly perceptible parts of the virtual world.

The following node types are in the scene graph but not affected by the transformation hierarchy: ColorInterpolator, CoordinateInterpolator, NavigationInfo, NormalInterpolator, OrientationInterpolator, PositionInterpolator, Script, ScalarInterpolator, TimeSensor, and WorldInfo. Of these, only Script nodes may have descendants. A descendant of a Script node is not part of the transformation hierarchy unless it is also the descendant of another node that is part of the transformation hierarchy or is a root node.

Nodes that are descendants of LOD or Switch nodes are affected by the transformation hierarchy, even if the settings of a Switch node's *whichChoice* field or the position of the viewer with respect to a LOD node makes them imperceptible.

The transformation hierarchy shall be a directed acyclic graph; results are undefined if a node in the transformation hierarchy is its own ancestor.

### 4.4.5 Standard units and coordinate system

ISO/IEC 14772 defines the unit of measure of the world coordinate system to be metres. All other coordinate systems are built from transformations based from the world coordinate system. [Table 4.2](#) lists standard units for ISO/IEC 14772.

Table 4.2 -- Standard units

Category	Unit
Linear distance	Metres
Angles	Radians
Time	Seconds
Colour space	RGB ([0.,1.], [0.,1.], [0., 1.])

ISO/IEC 14772 uses a Cartesian, right-handed, three-dimensional coordinate system. By default, the viewer is on the Z-axis looking down the -Z-axis toward the origin with +X to the right and +Y straight up. A modelling transformation (see [6.52, Transform](#), and [6.6, Billboard](#)) or viewing transformation (see [6.53, Viewpoint](#)) can be used to alter this default projection.

#### 4.4.6 Run-time name scope

Each VRML file defines a run-time name scope that contains all of the root nodes of the file and all of the descendent nodes of the root nodes, with the exception of:

- a. descendent nodes that are inside Inline nodes;
- b. descendent nodes that are inside a prototype instance and are not part of the prototype's interface (i.e., are not in an SF/MFNode field or eventOut of the prototype).

Each Inline node and prototype instance also defines a run-time name scope, consisting of all of the root nodes of the file referred to by the Inline node or all of the root nodes of the prototype definition, restricted as above.

Nodes created dynamically (using a Script node invoking the Browser.createVrml methods) are not part of any name scope, until they are added to the scene graph, at which point they become part of the same name scope of their parent node(s). A node may be part of more than one run-time name scope. A node shall be removed from a name scope when it is removed from the scene graph.



## 4.5 VRML and the World Wide Web

### 4.5.1 File extension and MIME types

The file extension for VRML files is `.wrl` (for *world*).

The official MIME type for VRML files is defined as:

`model/vrml`

where the MIME major type for 3D data descriptions is `model`, and the minor type for VRML documents is `vrml`.

For compatibility with earlier versions of VRML, the following MIME type shall also be supported:

**x-world/x-vrml**

where the MIME major type is `x-world`, and the minor type for VRML documents is `x-vrml`.

See [E.\[MIME\]](#) for details.

## 4.5.2 URLs

A *URL* (Uniform Resource Locator), described in [2.\[URL\]](#), specifies a file located on a particular server and accessed through a specified protocol (e.g., `http`). In ISO/IEC 14772, the upper-case term URL refers to a Uniform Resource Locator, while the italicized lower-case version *url* refers to a field which may contain URLs or in-line encoded data.

All *url* fields are of type MFString. The strings in these fields indicate multiple locations to search for data in decreasing order of preference. If the browser cannot locate or interpret the data specified by the first location, it shall try the second and subsequent locations in order until a URL containing interpretable data is encountered. If no interpretable URL's are located, the node type defines the resultant default behaviour. The *url* field entries are delimited by double quotation marks `" "`. Due to [4.5.4, Scripting language protocols](#), *url* fields use a superset of the standard URL syntax defined in [2.\[URL\]](#). Details on the string field are located in [5.9, SFString and MFString](#).

More general information on URLs is described in [2.\[URL\]](#).

## 4.5.3 Relative URLs

Relative URLs are handled as described in [2.\[RURL\]](#). The base document for EXTERNPROTO statements or nodes that contain URL fields is:

- a. The VRML file in which the prototype is instantiated, if the statement is part of a prototype definition.
- b. The file containing the script code, if the statement is part of a string passed to the `createVrmlFromURL()` or `createVrmlFromString()` browser calls in a Script node.
- c. Otherwise, the VRML file from which the statement is read, in which case the RURL information provides the data itself.

## 4.5.4 Scripting language protocols

The Script node's *url* field may also support custom protocols for the various scripting languages. For example, a script *url* prefixed with *javascript:* shall contain ECMAScript source, with line terminators allowed in the string. The details of each language protocol are defined in the annex for each language. Browsers are not required to support any specific scripting language. However, browsers shall adhere to the protocol defined in the corresponding annex of ISO/IEC 14772 for any scripting language which is supported. The following example illustrates the use of mixing custom protocols and standard protocols in a single *url* field (order of precedence determines priority):

```
#VRML V2.0 utf8
Script {
  url [ "javascript: ...",          # custom protocol ECMAScript
        "http://bar.com/foo.js",   # std protocol ECMAScript
        "http://bar.com/foo.class" ] # std protocol Java platform bytecode
}
```

In the example above, the `"..."` represents in-line ECMAScript source code.



## 4.6 Node semantics

### 4.6.1 Introduction

Each node has the following characteristics:

- a. **A type name.** Examples include Box, Color, Group, Sphere, Sound, or SpotLight.
- b. **Zero or more fields that define how each node differs from other nodes of the same type.** Field values are stored in the VRML file along with the nodes, and encode the state of the virtual world.
- c. **A set of events that it can receive and send.** Each node may receive zero or more different kinds of events which will result in some change to the node's state. Each node may also generate zero or more different kinds of events to report changes in the node's state.
- d. **An implementation.** The implementation of each node defines how it reacts to events it can receive, when it generates events, and its visual or auditory appearance in the virtual world (if any). The VRML standard defines the semantics of built-in nodes (i.e., nodes with implementations that are provided by the VRML browser). The PROTO statement may be used to define new types of nodes, with behaviours defined in terms of the behaviours of other nodes.
- e. **A name.** Nodes can be named. This is used by other statements to reference a specific instantiation of a node.

### 4.6.2 DEF/USE semantics

A node given a name using the DEF keyword may be referenced by name later in the same file with USE or ROUTE statements. The USE statement does not create a copy of the node. Instead, the same node is inserted into the scene graph a second time, resulting in the node having multiple parents. Using an instance of a node multiple times is called *instantiation*.

Node names are limited in scope to a single VRML file, prototype definition, or string submitted to either the CreateVrmlFromString browser extension or a construction mechanism for SFNodes within a script. Given a node named "NewNode" (i.e., DEF NewNode), any "USE NewNode" statements in SFNode or MFNode fields inside NewNode's scope refer to NewNode (see [4.4.4, Transformation hierarchy](#), for restrictions on self-referential nodes).

If multiple nodes are given the same name, each USE statement refers to the closest node with the given name preceding it in either the VRML file or prototype definition.

### 4.6.3 Shapes and geometry

#### 4.6.3.1 Introduction

The [Shape](#) node associates a geometry node with nodes that define that geometry's appearance. Shape nodes shall be part of the transformation hierarchy to have any visible result, and the transformation hierarchy shall contain Shape nodes for any geometry to be visible (the only nodes that render visible results are Shape nodes and the [Background](#) node). A Shape node contains exactly one geometry node in its *geometry* field. The following node types are *geometry* nodes:



- [Box](#)
- [Cone](#)
- [Cylinder](#)
- [ElevationGrid](#)
- [Extrusion](#)
- [IndexedFaceSet](#)
- [IndexedLineSet](#)
- [PointSet](#)
- [Sphere](#)
- [Text](#)

#### 4.6.3.2 Geometric property nodes

Several geometry nodes contain [Coordinate](#), [Color](#), [Normal](#), and [TextureCoordinate](#) as geometric property nodes. The geometric property nodes are defined as individual nodes so that instancing and sharing is possible between different geometry nodes.

#### 4.6.3.3 Appearance nodes

Shape nodes may specify an [Appearance](#) node that describes the appearance properties (material and texture) to be applied to the Shape's geometry. Nodes of the following type may be specified in the *material* field of the Appearance node:

- [Material](#)

Nodes of the following types may be specified by the *texture* field of the Appearance node:

- [ImageTexture](#)
- [PixelTexture](#)
- [MovieTexture](#)

Nodes of the following types may be specified in the *textureTransform* field of the Appearance node:

- [TextureTransform](#)

The interaction between such appearance nodes and the Color node is described in [4.14, Lighting Model](#).

#### 4.6.3.4 Shape hint fields

The Extrusion and IndexedFaceSet nodes each have three SBoolean fields that provide hints about the geometry. These hints specify the vertex ordering, if the shape is solid, and if the shape contains convex faces. These fields are *ccw*, *solid*, and *convex*, respectively. The ElevationGrid node has the *ccw* and *solid* fields.

The *ccw* field defines the ordering of the vertex coordinates of the geometry with respect to user-given or automatically generated normal vectors used in the lighting model equations. If *ccw* is TRUE, the normals shall follow the right hand rule; the orientation of each normal with respect to the vertices (taken in order) shall be such that the vertices appear to be oriented in a counterclockwise order when the vertices are viewed (in the local coordinate system of the Shape) from the opposite direction as the normal. If *ccw* is FALSE, the normals shall be oriented in the opposite direction. If normals are not generated but are supplied using a Normal node, and the orientation of the normals does not match the setting of the *ccw* field, results are undefined.

The *solid* field determines whether one or both sides of each polygon shall be displayed. If *solid* is FALSE, each polygon shall be visible regardless of the viewing direction (i.e., no backface culling shall be done, and two-sided lighting shall be performed to illuminate both sides of lit surfaces). If *solid* is TRUE, the visibility of each polygon shall be determined as follows: Let  $V$  be the position of the viewer in the local coordinate system of the geometry. Let  $N$  be the geometric normal vector of the polygon, and let  $P$  be any point (besides the local origin) in the plane defined by the polygon's vertices. Then if  $(V \cdot N) - (N \cdot P)$  is greater than zero, the polygon shall be visible; if it is less than or equal to zero, the polygon shall be invisible (backface culled).

The *convex* field indicates whether all polygons in the shape are convex (TRUE). A polygon is convex if it is planar, does not intersect itself, and all of the interior angles at its vertices are less than 180 degrees. Non-planar and self-intersecting polygons may produce undefined results even if the *convex* field is FALSE.

#### 4.6.3.5 Crease angle field

The *creaseAngle* field, used by the ElevationGrid, Extrusion, and IndexedFaceSet nodes, affects how default normals are generated. If the angle between the geometric normals of two adjacent faces is less than the crease angle, normals shall be calculated so that the faces are smooth-shaded across the edge; otherwise, normals shall be calculated so that a lighting discontinuity across the edge is produced. For example, a crease angle of 0.5 radians means that an edge between two adjacent polygonal faces will be smooth shaded if the geometric normals of the two faces form an angle that is less than 0.5 radians. Otherwise, the faces will appear faceted. Crease angles shall be greater than or equal to 0.0.

#### 4.6.4 Bounding boxes

Several of the nodes include a bounding box specification comprised of two fields, *bboxSize* and *bboxCenter*. A bounding box is a rectangular parallelepiped of dimension *bboxSize* centred on the location *bboxCenter* in the local coordinate system. This is typically used by grouping nodes to provide a hint to the browser on the group's approximate size for culling optimizations. The default size for bounding boxes (-1, -1, -1) indicates that the user did not specify the bounding box and the effect shall be as if the bounding box were infinitely large. A *bboxSize* value of (0, 0, 0) is valid and represents a point in space (i.e., an infinitely small box). Specified *bboxSize* field values shall be  $\geq 0.0$  or equal to (-1, -1, -1). The *bboxCenter* fields specify a position offset from the local coordinate system.

The *bboxCenter* and *bboxSize* fields may be used to specify a maximum possible bounding box for the objects inside a grouping node (e.g., Transform). These are used as hints to optimize certain operations such as determining whether or not the group needs to be drawn. The bounding box shall be large enough at all times to enclose the union of the group's children's bounding boxes; it shall not include any transformations performed by the group itself (i.e., the bounding box is defined in the local coordinate system of the children). Results are undefined if the specified bounding box is smaller than the true bounding box of the group.

#### 4.6.5 Grouping and children nodes

Grouping nodes have a field that contains a list of children nodes. Each grouping node defines a coordinate space for its children. This coordinate space is relative to the coordinate space of the node of which the group node is a child. Such a node is called a *parent* node. This means that transformations accumulate down the scene graph hierarchy.

The following node types are grouping nodes:

- [Anchor](#)
- [Billboard](#)
- [Collision](#)
- [Group](#)
- [Inline](#)

- [LOD](#)
- [Switch](#)
- [Transform](#)

The following node types are children nodes:

- |                                          |                                           |                                    |
|------------------------------------------|-------------------------------------------|------------------------------------|
| • <a href="#">Anchor</a>                 | • <a href="#">LOD</a>                     | • <a href="#">Sound</a>            |
| • <a href="#">Background</a>             | • <a href="#">NavigationInfo</a>          | • <a href="#">SpotLight</a>        |
| • <a href="#">Billboard</a>              | • <a href="#">NormalInterpolator</a>      | • <a href="#">SphereSensor</a>     |
| • <a href="#">Collision</a>              | • <a href="#">OrientationInterpolator</a> | • <a href="#">Switch</a>           |
| • <a href="#">ColorInterpolator</a>      | • <a href="#">PlaneSensor</a>             | • <a href="#">TimeSensor</a>       |
| • <a href="#">CoordinateInterpolator</a> | • <a href="#">PointLight</a>              | • <a href="#">TouchSensor</a>      |
| • <a href="#">CylinderSensor</a>         | • <a href="#">PositionInterpolator</a>    | • <a href="#">Transform</a>        |
| • <a href="#">DirectionalLight</a>       | • <a href="#">ProximitySensor</a>         | • <a href="#">Viewpoint</a>        |
| • <a href="#">Fog</a>                    | • <a href="#">ScalarInterpolator</a>      | • <a href="#">VisibilitySensor</a> |
| • <a href="#">Group</a>                  | • <a href="#">Script</a>                  | • <a href="#">WorldInfo</a>        |
| • <a href="#">Inline</a>                 | • <a href="#">Shape</a>                   |                                    |

The following node types are not valid as children nodes:

- |                              |                                  |                                     |
|------------------------------|----------------------------------|-------------------------------------|
| • <a href="#">Appearance</a> | • <a href="#">ElevationGrid</a>  | • <a href="#">Normal</a>            |
| • <a href="#">AudioClip</a>  | • <a href="#">Extrusion</a>      | • <a href="#">PointSet</a>          |
| • <a href="#">Box</a>        | • <a href="#">ImageTexture</a>   | • <a href="#">Sphere</a>            |
| • <a href="#">Color</a>      | • <a href="#">IndexedFaceSet</a> | • <a href="#">Text</a>              |
| • <a href="#">Cone</a>       | • <a href="#">IndexedLineSet</a> | • <a href="#">TextureCoordinate</a> |
| • <a href="#">Coordinate</a> | • <a href="#">Material</a>       | • <a href="#">TextureTransform</a>  |
| • <a href="#">Cylinder</a>   | • <a href="#">MovieTexture</a>   |                                     |

All grouping nodes except [Inline](#), [LOD](#), and [Switch](#) also have *addChildren* and *removeChildren* eventIn definitions. The *addChildren* event appends nodes to the grouping node's *children* field. Any nodes passed to the *addChildren* event that are already in the group's children list are ignored. For example, if the *children* field contains the nodes Q, L and S (in order) and the group receives an *addChildren* eventIn containing (in order) nodes A, L, and Z, the result is a *children* field containing (in order) nodes Q, L, S, A, and Z.

The *removeChildren* event removes nodes from the grouping node's *children* field. Any nodes in the *removeChildren* event that are not in the grouping node's *children* list are ignored. If the *children* field contains the nodes Q, L, S, A and Z and it receives a *removeChildren* eventIn containing nodes A, L, and Z, the result is Q, S.

Note that a variety of node types reference other node types through fields. Some of these are parent-child relationships, while others are not (there are node-specific semantics). [Table 4.3](#) lists all node types that reference other nodes through fields.

Table 4.3 -- Nodes with SFNode or MFNode fields

Node Type	Field	Valid Node Types for Field
Anchor	<i>children</i>	Valid children nodes
Appearance	<i>material</i>	Material
	<i>texture</i>	ImageTexture, MovieTexture, Pixel Texture
Billboard	<i>children</i>	Valid children nodes
Collision	<i>children</i>	Valid children nodes
ElevationGrid	<i>color</i>	Color
	<i>normal</i>	Normal
	<i>texCoord</i>	TextureCoordinate
Group	<i>children</i>	Valid children nodes
IndexedFaceSet	<i>color</i>	Color
	<i>coord</i>	Coordinate
	<i>normal</i>	Normal
	<i>texCoord</i>	TextureCoordinate
IndexedLineSet	<i>color</i>	Color
	<i>coord</i>	Coordinate
LOD	<i>level</i>	Valid children nodes
Shape	<i>appearance</i>	Appearance
	<i>geometry</i>	Box, Cone, Cylinder, ElevationGrid, Extrusion, IndexedFaceSet, IndexedLineSet, PointSet, Sphere, Text
Sound	<i>source</i>	AudioClip, MovieTexture
Switch	<i>choice</i>	Valid children nodes
Text	<i>fontStyle</i>	FontStyle

Transform	<i>children</i>	Valid children nodes
-----------	-----------------	----------------------

## 4.6.6 Light sources

Shape nodes are illuminated by the sum of all of the lights in the world that affect them. This includes the contribution of both the direct and ambient illumination from light sources. Ambient illumination results from the scattering and reflection of light originally emitted directly by light sources. The amount of ambient light is associated with the individual lights in the scene. This is a gross approximation to how ambient reflection actually occurs in nature.

The following node types are light source nodes:

- [DirectionalLight](#)
- [PointLight](#)
- [SpotLight](#)

All light source nodes contain an *intensity*, a *color*, and an *ambientIntensity* field. The *intensity* field specifies the brightness of the direct emission from the light, and the *ambientIntensity* specifies the intensity of the ambient emission from the light. Light intensity may range from 0.0 (no light emission) to 1.0 (full intensity). The *color* field specifies the spectral colour properties of both the direct and ambient light emission as an RGB value.

PointLight and SpotLight illuminate all objects in the world that fall within their volume of lighting influence regardless of location within the transformation hierarchy. PointLight defines this volume of influence as a sphere centred at the light (defined by a radius). SpotLight defines the volume of influence as a solid angle defined by a radius and a cutoff angle. DirectionalLight nodes illuminate only the objects descended from the light's parent grouping node, including any descendent children of the parent grouping nodes.

## 4.6.7 Sensor nodes

### 4.6.7.1 Introduction to sensors

The following node types are sensor nodes:

- [Anchor](#)
- [Collision](#)
- [CylinderSensor](#)
- [PlaneSensor](#)
- [ProximitySensor](#)
- [SphereSensor](#)
- [TimeSensor](#)
- [TouchSensor](#)
- [VisibilitySensor](#)

Sensors are children nodes in the hierarchy and therefore may be parented by grouping nodes as described in [4.6.5, Grouping and children nodes](#).

Each type of sensor defines when an event is generated. The state of the scene graph after several sensors have generated events shall be as if each event is processed separately, in order. If sensors generate events at the same time, the state of the scene graph will be undefined if the results depend on the ordering of the events.

It is possible to create dependencies between various types of sensors. For example, a `TouchSensor` may result in a change to a `VisibilitySensor` node's transformation, which in turn may cause the `VisibilitySensor` node's visibility status to change.

The following two sections classify sensors into two categories: *environmental sensors* and *pointing-device sensors*.

#### 4.6.7.2 Environmental sensors

The following node types are environmental sensors:

- [Collision](#)
- [ProximitySensor](#)
- [TimeSensor](#)
- [VisibilitySensor](#)

The [ProximitySensor](#) detects when the user navigates into a specified region in the world. The `ProximitySensor` itself is not visible. The [TimeSensor](#) is a clock that has no geometry or location associated with it; it is used to start and stop time-based nodes such as interpolators. The [VisibilitySensor](#) detects when a specific part of the world becomes visible to the user. The [Collision](#) grouping node detects when the user collides with objects in the virtual world. Proximity, time, collision, and visibility sensors are each processed independently of whether others exist or overlap.

When environmental sensors are inserted into the transformation hierarchy and before the presentation is updated (i.e., read from file or created by a script), they shall generate events indicating any conditions which the sensor is intended to detect (see [4.10.3, Execution model](#)). The conditions for individual sensor types to generate these initial events are defined in the individual node specifications in [6, Node reference](#).

#### 4.6.7.3 Pointing-device sensors

Pointing-device sensors detect user pointing events such as the user clicking on a piece of geometry (i.e., `TouchSensor`). The following node types are pointing-device sensors:

- [Anchor](#)
- [CylinderSensor](#)
- [PlaneSensor](#)
- [SphereSensor](#)
- [TouchSensor](#)

A pointing-device sensor is activated when the user locates the pointing device over geometry that is influenced by that specific pointing-device sensor. Pointing-device sensors have influence over all geometry that is descended from the sensor's parent groups. In the case of the `Anchor` node, the `Anchor` node itself is considered to be the parent group. Typically, the pointing-device sensor is a sibling to the geometry that it influences. In other cases, the sensor is a sibling to groups which contain geometry (i.e., are influenced by the pointing-device sensor).

The appearance properties of the geometry do not affect activation of the sensor. In particular, transparent materials or textures shall be treated as opaque with respect to activation of pointing-device sensors.

For a given user activation, the lowest enabled pointing-device sensor in the hierarchy is activated. All other pointing-device sensors above the lowest enabled pointing-device sensor are ignored. The hierarchy is defined by

the geometry node over which the pointing-device sensor is located and the entire hierarchy upward. If there are multiple pointing-device sensors tied for lowest, each of these is activated simultaneously and independently, possibly resulting in multiple sensors activating and generating output simultaneously. This feature allows combinations of pointing-device sensors (e.g., *TouchSensor* and *PlaneSensor*). If a pointing-device sensor appears in the transformation hierarchy multiple times (DEF/USE), it shall be tested for activation in all of the coordinate systems in which it appears.

If a pointing-device sensor is not enabled when the pointing-device button is activated, it will not generate events related to the pointing device until after the pointing device is deactivated and the sensor is enabled (i.e., enabling a sensor in the middle of dragging does not result in the sensor activating immediately).

The [Anchor](#) node is considered to be a pointing-device sensor when trying to determine which sensor (or Anchor node) to activate. For example, a click on *Shape3* is handled by *SensorD*, a click on *Shape2* is handled by *SensorC* and the *AnchorA*, and a click on *Shape1* is handled by *SensorA* and *SensorB*:

```

Group {
  children [
    DEF Shape1 Shape { ... }
    DEF SensorA TouchSensor { ... }
    DEF SensorB PlaneSensor { ... }
    DEF AnchorA Anchor {
      url "...
      children [
        DEF Shape2 Shape { ... }
        DEF SensorC TouchSensor { ... }
        Group {
          children [
            DEF Shape3 Shape { ... }
            DEF SensorD TouchSensor { ... }
          ]
        }
      ]
    }
  ]
}

```

#### 4.6.7.4 Drag sensors

*Drag sensors* are a subset of pointing-device sensors. There are three types of drag sensors: [CylinderSensor](#), [PlaneSensor](#), and [SphereSensor](#). Drag sensors have two eventOuts in common, *trackPoint\_changed* and *<value>\_changed*. These eventOuts send events for each movement of the activated pointing device according to their "virtual geometry" (e.g., cylinder for *CylinderSensor*). The *trackPoint\_changed* eventOut sends the intersection point of the *bearing* with the drag sensor's virtual geometry. The *<value>\_changed* eventOut sends the sum of the relative change since activation plus the sensor's *offset* field. The type and name of *<value>\_changed* depends on the drag sensor type: *rotation\_changed* for *CylinderSensor*, *translation\_changed* for *PlaneSensor*, and *rotation\_changed* for *SphereSensor*.

To simplify the application of these sensors, each node has an *offset* and an *autoOffset* exposed field. When the sensor generates events as a response to the activated pointing device motion, *<value>\_changed* sends the sum of the relative change since the initial activation plus the *offset* field value. If *autoOffset* is TRUE when the pointing-device is deactivated, the *offset* field is set to the sensor's last *<value>\_changed* value and *offset* sends an *offset\_changed* eventOut. This enables subsequent grabbing operations to accumulate the changes. If *autoOffset* is FALSE, the sensor does not set the *offset* field value at deactivation (or any other time).

#### 4.6.7.5 Activating and manipulating sensors

The pointing device controls a pointer in the virtual world. While activated by the pointing device, a sensor will generate events as the pointer moves. Typically the pointing device may be categorized as either 2D (e.g., conventional mouse) or 3D (e.g., wand). It is suggested that the pointer controlled by a 2D device is mapped onto a plane a fixed distance from the viewer and perpendicular to the line of sight. The mapping of a 3D device may describe a 1:1 relationship between movement of the pointing device and movement of the pointer.

The position of the pointer defines a bearing which is used to determine which geometry is being indicated. When implementing a 2D pointing device it is suggested that the bearing is defined by the vector from the viewer position through the location of the pointer. When implementing a 3D pointing device it is suggested that the bearing is defined by extending a vector from the current position of the pointer in the direction indicated by the pointer.

In all cases the pointer is considered to be indicating a specific geometry when that geometry is intersected by the bearing. If the bearing intersects multiple sensors' geometries, only the sensor nearest to the pointer will be eligible for activation.

#### 4.6.8 Interpolator nodes

Interpolator nodes are designed for linear keyframed animation. An interpolator node defines a piecewise-linear function,  $f(t)$ , on the interval  $(-\infty, +\infty)$ . The piecewise-linear function is defined by  $n$  values of  $t$ , called *key*, and the  $n$  corresponding values of  $f(t)$ , called *keyValue*. The keys shall be monotonically non-decreasing, otherwise the results are undefined. The keys are not restricted to any interval.

An interpolator node evaluates  $f(t)$  given any value of  $t$  (via the *set\_fraction* eventIn) as follows: Let the  $n$  keys  $t_0, t_1, t_2, \dots, t_{n-1}$  partition the domain  $(-\infty, +\infty)$  into the  $n+1$  subintervals given by  $(-\infty, t_0), [t_0, t_1), [t_1, t_2), \dots, [t_{n-1}, +\infty)$ . Also, let the  $n$  values  $v_0, v_1, v_2, \dots, v_{n-1}$  be the values of  $f(t)$  at the associated key values. The piecewise-linear interpolating function,  $f(t)$ , is defined to be

$$\begin{aligned} f(t) &= v_0, \text{ if } t \leq t_0, \\ &= v_{n-1}, \text{ if } t \geq t_{n-1}, \\ &= \text{linterp}(t, v_i, v_{i+1}), \text{ if } t_i \leq t < t_{i+1} \end{aligned}$$

where  $\text{linterp}(t, x, y)$  is the linear interpolant,  
 $i$  belongs to  $\{0, 1, \dots, n-2\}$ .

The third conditional value of  $f(t)$  allows the defining of multiple values for a single key, (i.e., limits from both the left and right at a discontinuity in  $f(t)$ ). The first specified value is used as the limit of  $f(t)$  from the left, and the last specified value is used as the limit of  $f(t)$  from the right. The value of  $f(t)$  at a multiply defined key is indeterminate, but should be one of the associated limit values.

The following node types are interpolator nodes, each based on the type of value that is interpolated:

- [ColorInterpolator](#)
- [CoordinateInterpolator](#)
- [NormalInterpolator](#)
- [OrientationInterpolator](#)
- [PositionInterpolator](#)
- [ScalarInterpolator](#)

All interpolator nodes share a common set of fields and semantics:



eventIn	SFFloat	set_fraction	
exposedField	MFFloat	<b>key</b>	[...]
exposedField	MF<type>	<b>keyValue</b>	[...]
eventOut	[S M]F<type>	value_changed	

The type of the *keyValue* field is dependent on the type of the interpolator (e.g., the ColorInterpolator's *keyValue* field is of type MFColor).

The *set\_fraction* eventIn receives an SFFloat event and causes the interpolator function to evaluate, resulting in a *value\_changed* eventOut with the same timestamp as the *set\_fraction* event.

ColorInterpolator, OrientationInterpolator, PositionInterpolator, and ScalarInterpolator output a single-value field to *value\_changed*. Each value in the *keyValue* field corresponds in order to the parameter value in the *key* field. Results are undefined if the number of values in the *key* field of an interpolator is not the same as the number of values in the *keyValue* field.

CoordinateInterpolator and NormalInterpolator send multiple-value results to *value\_changed*. In this case, the *keyValue* field is an  $n \times m$  array of values, where  $n$  is the number of values in the *key* field and  $m$  is the number of values at each keyframe. Each  $m$  values in the *keyValue* field correspond, in order, to a parameter value in the *key* field. Each *value\_changed* event shall contain  $m$  interpolated values. Results are undefined if the number of values in the *keyValue* field divided by the number of values in the *key* field is not a positive integer.

If an interpolator node's *value* eventOut is read before it receives any inputs, *keyValue*[0] is returned if *keyValue* is not empty. If *keyValue* is empty (i.e., [ ]), the initial value for the eventOut type is returned (e.g., (0, 0, 0) for SFVec3f); see [5, Field and event reference](#), for initial event values.

The location of an interpolator node in the transformation hierarchy has no effect on its operation. For example, if a parent of an interpolator node is a Switch node with *whichChoice* set to -1 (i.e., ignore its children), the interpolator continues to operate as specified (receives and sends events).

## 4.6.9 Time-dependent nodes

[AudioClip](#), [MovieTexture](#), and [TimeSensor](#) are *time-dependent* nodes that activate and deactivate themselves at specified times. Each of these nodes contains the exposedFields: *startTime*, *stopTime*, and *loop*, and the eventOut: *isActive*. The values of the exposedFields are used to determine when the node becomes active or inactive. Also, under certain conditions, these nodes ignore events to some of their exposedFields. A node ignores an eventIn by not accepting the new value and not generating an eventOut\_*changed* event. In this subclause, an abstract time-dependent node can be any one of AudioClip, MovieTexture, or TimeSensor.

Time-dependent nodes can execute for 0 or more cycles. A cycle is defined by field data within the node. If, at the end of a cycle, the value of *loop* is FALSE, execution is terminated (see below for events at termination). Conversely, if *loop* is TRUE at the end of a cycle, a time-dependent node continues execution into the next cycle. A time-dependent node with *loop* TRUE at the end of every cycle continues cycling forever if *startTime*  $\geq$  *stopTime*, or until *stopTime* if *startTime*  $<$  *stopTime*.

A time-dependent node generates an *isActive* TRUE event when it becomes active and generates an *isActive* FALSE event when it becomes inactive. These are the only times at which an *isActive* event is generated. In particular, *isActive* events are not sent at each tick of a simulation.

A time-dependent node is inactive until its *startTime* is reached. When time *now* becomes greater than or equal to *startTime*, an *isActive* TRUE event is generated and the time-dependent node becomes active (*now* refers to the time at which the browser is simulating and displaying the virtual world). When a time-dependent node is read from a VRML file and the ROUTEs specified within the VRML file have been established, the node should determine if it is active and, if so, generate an *isActive* TRUE event and begin generating any other necessary events. However, if a node would have become inactive at any time before the reading of the VRML file, no events are generated upon the completion of the read.

An active time-dependent node will become inactive when *stopTime* is reached if  $stopTime > startTime$ . The value of *stopTime* is ignored if  $stopTime \leq startTime$ . Also, an active time-dependent node will become inactive at the end of the current cycle if *loop* is FALSE. If an active time-dependent node receives a *set\_loop* FALSE event, execution continues until the end of the current cycle or until *stopTime* (if  $stopTime > startTime$ ), whichever occurs first. The termination at the end of cycle can be overridden by a subsequent *set\_loop* TRUE event.

Any *set\_startTime* events to an active time-dependent node are ignored. Any *set\_stopTime* event where  $stopTime \leq startTime$  sent to an active time-dependent node is also ignored. A *set\_stopTime* event where  $startTime < stopTime \leq now$  sent to an active time-dependent node results in events being generated as if *stopTime* has just been reached. That is, final events, including an *isActive* FALSE, are generated and the node becomes inactive. The *stopTime\_changed* event will have the *set\_stopTime* value. Other final events are node-dependent (c.f., TimeSensor).

A time-dependent node may be restarted while it is active by sending a *set\_stopTime* event equal to the current time (which will cause the node to become inactive) and a *set\_startTime* event, setting it to the current time or any time in the future. These events will have the same time stamp and should be processed as *set\_stopTime*, then *set\_startTime* to produce the correct behaviour.

The default values for each of the time-dependent nodes are specified such that any node with default values is already inactive (and, therefore, will generate no events upon loading). A time-dependent node can be defined such that it will be active upon reading by specifying *loop* TRUE. This use of a non-terminating time-dependent node should be used with caution since it incurs continuous overhead on the simulation.

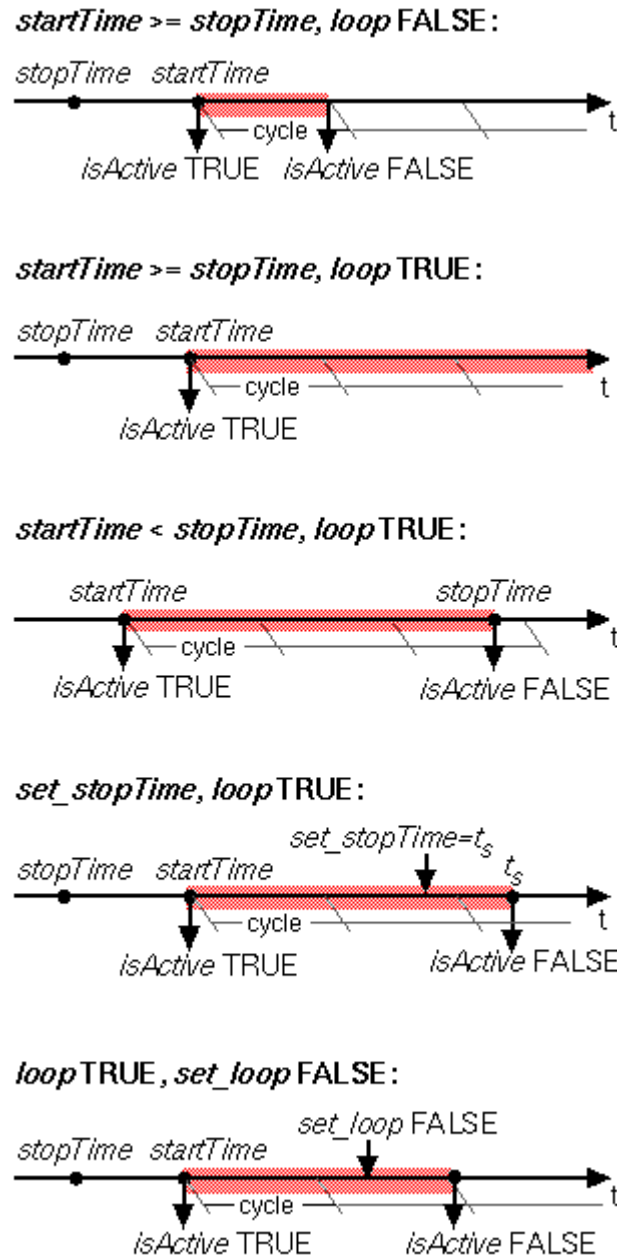
[Figure 4.2](#) illustrates the behavior of several common cases of time-dependent nodes. In each case, the initial conditions of *startTime*, *stopTime*, *loop*, and the time-dependent node's cycle interval are labelled, the red region denotes the time period during which the time-dependent node is active, the arrows represent eventIns received by and eventOuts sent by the time-dependent node, and the horizontal axis represents time.

#### 4.6.10 Bindable children nodes

The [Background](#), [Fog](#), [NavigationInfo](#), and [Viewpoint](#) nodes have the unique behaviour that only one of each type can be bound (i.e., affecting the user's experience) at any instant in time. The browser shall maintain an independent, separate stack for each type of bindable node. Each of these nodes includes a *set\_bind* eventIn and an *isBound* eventOut. The *set\_bind* eventIn is used to move a given node to and from its respective top of stack. A TRUE value sent to the *set\_bind* eventIn moves the node to the top of the stack; sending a FALSE value removes it from the stack. The *isBound* event is output when a given node is:

- a. moved to the top of the stack;
- b. removed from the top of the stack;
- c. pushed down from the top of the stack by another node being placed on top.

That is, *isBound* events are sent when a given node becomes, or ceases to be, the active node. The node at the top of stack, (the most recently bound node), is the active node for its type and is used by the browser to set the world state. If the stack is empty (i.e., either the VRML file has no bindable nodes for a given type or the stack has been popped until empty), the default field values for that node type are used to set world state. The results are undefined if a multiply instanced (DEF/USE) bindable node is bound.



**Figure 4.2 -- Examples of time-dependent node execution**

The following rules describe the behaviour of the binding stack for a node of type *<bindable node>*, (Background, Fog, NavigationInfo, or Viewpoint):

- a. During read, the first encountered *<bindable node>* is bound by pushing it to the top of the *<bindable node>* stack. Nodes contained within [Inlines](#), within the strings passed to the `Browser.createVrmlFromString()` method, or within VRML files passed to the `Browser.createVrmlFromURL()` method (see [4.12.10, Browser script interface](#)) are not candidates for the first encountered *<bindable node>*. The first node within a prototype instance is a valid candidate for the first encountered *<bindable node>*. The first encountered *<bindable node>* sends an *isBound* TRUE event.

- b. When a *set\_bind* TRUE event is received by a *<bindable node>*,
  - 1. If it is not on the top of the stack: the current top of stack node sends an *isBound* FALSE event. The new node is moved to the top of the stack and becomes the currently bound *<bindable node>*. The new *<bindable node>* (top of stack) sends an *isBound* TRUE event.
  - 2. If the node is already at the top of the stack, this event has no effect.
- c. When a *set\_bind* FALSE event is received by a *<bindable node>* in the stack, it is removed from the stack. If it was on the top of the stack,
  - 1. it sends an *isBound* FALSE event;
  - 2. the next node in the stack becomes the currently bound *<bindable node>* (i.e., pop) and issues an *isBound* TRUE event.
- d. If a *set\_bind* FALSE event is received by a node not in the stack, the event is ignored and *isBound* events are not sent.
- e. When a node replaces another node at the top of the stack, the *isBound* TRUE and FALSE eventOuts from the two nodes are sent simultaneously (i.e., with identical timestamps).
- f. If a bound node is deleted, it behaves as if it received a *set\_bind* FALSE event (see f above).

## 4.6.11 Texture maps

### 4.6.11.1 Texture map formats

Four node types specify texture maps: [Background](#), [ImageTexture](#), [MovieTexture](#), and [PixelTexture](#). In all cases, texture maps are defined by 2D images that contain an array of colour values describing the texture. The texture map values are interpreted differently depending on the number of components in the texture map and the specifics of the image format. In general, texture maps may be described using one of the following forms:

- a. *Intensity textures* (one-component)
- b. *Intensity plus alpha opacity textures* (two-component)
- c. *Full RGB textures* (three-component)
- d. *Full RGB plus alpha opacity textures* (four-component)

Note that most image formats specify an alpha opacity, not transparency (where alpha = 1 - transparency).

See [Table 4.5](#) and [Table 4.6](#) for a description of how the various texture types are applied.

### 4.6.11.2 Texture map image formats

Texture nodes that require support for the PNG (see [2.\[PNG\]](#)) image format ([6.5, Background](#), and [6.22, ImageTexture](#)) shall interpret the PNG pixel formats in the following way:

- a. Greyscale pixels without alpha or simple transparency are treated as intensity textures.
- b. Greyscale pixels with alpha or simple transparency are treated as intensity plus alpha textures.

- c. RGB pixels without alpha channel or simple transparency are treated as full RGB textures.
- d. RGB pixels with alpha channel or simple transparency are treated as full RGB plus alpha textures.

If the image specifies colours as indexed-colour (i.e., palettes or colourmaps), the following semantics should be used (note that 'greyscale' refers to a palette entry with equal red, green, and blue values):

- a. If all the colours in the palette are greyscale and there is no transparency chunk, it is treated as an intensity texture.
- b. If all the colours in the palette are greyscale and there is a transparency chunk, it is treated as an intensity plus opacity texture.
- c. If any colour in the palette is not grey and there is no transparency chunk, it is treated as a full RGB texture.
- d. If any colour in the palette is not grey and there is a transparency chunk, it is treated as a full RGB plus alpha texture.

Texture nodes that require support for JPEG files (see [2.\[JPEG\]](#), [6.5, Background](#), and [6.22, ImageTexture](#)) shall interpret JPEG files as follows:

- i. Greyscale files (number of components equals 1) are treated as intensity textures.
- j. YCbCr files are treated as full RGB textures.
- k. No other JPEG file types are required. It is recommended that other JPEG files are treated as a full RGB textures.

Texture nodes that support MPEG files (see [2.\[MPEG\]](#) and [6.28, MovieTexture](#)) shall treat MPEG files as full RGB textures.

Texture nodes that recommend support for GIF files (see [E.\[GIF\]](#), [6.5, Background](#), and [6.22, ImageTexture](#)) shall follow the applicable semantics described above for the PNG format.



## 4.7 Field, eventIn, and eventOut semantics

Fields are placed inside node statements in a VRML file, and define the persistent state of the virtual world. Results are undefined if multiple values for the same field in the same node (e.g., `Sphere { radius 1.0 radius 2.0 }`) are declared.

EventIns and eventOuts define the types and names of events that each type of node may receive or generate. Events are transient and event values are not written to VRML files. Each node interprets the values of the events sent to it or generated by it according to its implementation.

Field, eventIn, and eventOut types, and field encoding syntax, are described in [5, Field and event reference](#).

An *exposedField* can receive events like an eventIn, can generate events like an eventOut, and can be stored in VRML files like a field. An exposedField named *zzz* can be referred to as '*set\_zzz*' and treated as an eventIn, and can be referred to as '*zzz\_changed*' and treated as an eventOut. The initial value of an exposedField is its value in the VRML file, or the default value for the node in which it is contained, if a value is not specified. When an exposedField receives an event it shall generate an event with the same value and timestamp. The following sources, in precedence order, shall be used to determine the initial value of the exposedField:

- a. the user-defined value in the instantiation (if one is specified);
- b. the default value for that field as specified in the node or prototype definition.

The rules for naming fields, exposedFields, eventOuts, and eventIns for the built-in nodes are as follows:

- c. All names containing multiple words start with a lower case letter, and the first letter of all subsequent words is capitalized (e.g., *addChildren*), with the exception of *set\_* and *\_changed*, as described below.
- d. All eventIns have the prefix "*set\_*", with the exception of the *addChildren* and *removeChildren* eventIns.
- e. Certain eventIns and eventOuts of type SFTime do not use the "*set\_*" prefix or "*\_changed*" suffix.
- f. All other eventOuts have the suffix "*\_changed*" appended, with the exception of eventOuts of type SFBool. Boolean eventOuts begin with the word "*is*" (e.g., *isFoo*) for better readability.



## 4.8 Prototype semantics

### 4.8.1 Introduction

The PROTO statement defines a new node type in terms of already defined (built-in or prototyped) node types. Once defined, prototyped node types may be instantiated in the scene graph exactly like the built-in node types.

Node type names shall be unique in each VRML file. The results are undefined if a prototype is given the same name as a built-in node type or a previously defined prototype in the same scope.

### 4.8.2 PROTO interface declaration semantics

The prototype interface defines the fields, eventIns, and eventOuts for the new node type. The interface declaration includes the types and names for the eventIns and eventOuts of the prototype, as well as the types, names, and default values for the prototype's fields.

The interface declaration may contain exposedField declarations, which are a convenient way of defining a field, eventIn, and eventOut at the same time. If an exposedField named *zzz* is declared, it is equivalent to declaring a field named *zzz*, an eventIn named *set\_zzz*, and an eventOut named *zzz\_changed*.

Each prototype instance can be considered to be a complete copy of the prototype, with its own fields, events, and copy of the prototype definition. A prototyped node type is instantiated using standard node syntax. For example, the following prototype (which has an empty interface declaration):

```
PROTO Cube [ ] { Box { } }
```

may be instantiated as follows:

```
Shape { geometry Cube { } }
```

It is recommended that user-defined field or event names defined in PROTO interface declarations statements follow the naming conventions described in [4.7, Field, eventIn, and eventOut semantics](#).

If an eventOut in the prototype declaration is associated with an exposedField in the prototype definition, the initial value of the eventOut shall be the initial value of the exposedField. If the eventOut is associated with multiple exposedFields, the results are undefined.

### 4.8.3 PROTO definition semantics

A prototype definition consists of one or more nodes, nested PROTO statements, and ROUTE statements. The first node type determines how instantiations of the prototype can be used in a VRML file. An instantiation is created by filling in the parameters of the prototype declaration and inserting copies of the first node (and its scene graph) wherever the prototype instantiation occurs. For example, if the first node in the prototype definition is a Material node, instantiations of the prototype can be used wherever a Material node can be used. Any other nodes and accompanying scene graphs are not part of the transformation hierarchy, but may be referenced by ROUTE statements or Script nodes in the prototype definition.

Nodes in the prototype definition may have their fields, eventIns, or eventOuts associated with the fields, eventIns, and eventOuts of the prototype interface declaration. This is accomplished using IS statements in the body of the node. When prototype instances are read from a VRML file, field values for the fields of the prototype interface may be given. If given, the field values are used for all nodes in the prototype definition that have IS statements for those fields. Similarly, when a prototype instance is sent an event, the event is delivered to all nodes that have IS statements for that event. When a node in a prototype instance generates an event that has an IS statement, the event is sent to any eventIns connected (via ROUTE) to the prototype instance's eventOut.

IS statements may appear inside the prototype definition wherever fields may appear. IS statements shall refer to fields or events defined in the prototype declaration. Results are undefined if an IS statement refers to a non-existent declaration. Results are undefined if the type of the field or event being associated by the IS statement does not match the type declared in the prototype's interface declaration. For example, it is illegal to associate an SFColor with an SFVec3f. It is also illegal to associate an SFColor with an MFColor or *vice versa*.

Results are undefined if an IS statement:

- eventIn is associated with a field or an eventOut;
- eventOut is associated with a field or eventIn;
- field is associated with an eventIn or eventOut.

An exposedField in the prototype interface may be associated only with an exposedField in the prototype definition, but an exposedField in the prototype definition may be associated with either a field, eventIn, eventOut or exposedField in the prototype interface. When associating an exposedField in a prototype definition with an eventIn or eventOut in the prototype declaration, it is valid to use either the shorthand exposedField name (e.g., *translation*) or the explicit event name (e.g., *set\_translation* or *translation\_changed*). [Table 4.4](#) defines the rules for mapping between the prototype declarations and the primary scene graph's nodes (*yes* denotes a legal mapping, *no* denotes an error).

**Table 4.4 -- Rules for mapping PROTOTYPE declarations to node instances**

		Prototype declaration			
		<u>exposedField</u>	<u>field</u>	<u>eventIn</u>	<u>eventOut</u>
Prototype definition	<u>exposedField</u>	yes	yes	yes	yes
	<u>field</u>	no	yes	no	no
	<u>eventIn</u>	no	no	yes	no
	<u>eventOut</u>	no	no	no	yes



Results are undefined if a field, eventIn, or eventOut of a node in the prototype definition is associated with more than one field, eventIn, or eventOut in the prototype's interface (i.e., multiple IS statements for a field, eventIn, and eventOut in a node in the prototype definition), but multiple IS statements for the fields, eventIns, and eventOuts in the prototype interface declaration is valid. Results are undefined if a field of a node in a prototype definition is both defined with initial values (i.e., field statement) and associated by an IS statement with a field in the prototype's interface. If a prototype interface has an eventOut  $E$  associated with multiple eventOuts in the prototype definition  $ED_i$ , the value of  $E$  is the value of the eventOut that generated the event with the greatest timestamp. If two or more of the eventOuts generated events with identical timestamps, results are undefined.

#### 4.8.4 Prototype scoping rules

Prototype definitions appearing inside a prototype definition (i.e., nested) are local to the enclosing prototype. IS statements inside a nested prototype's implementation may refer to the prototype declarations of the innermost prototype.

A PROTO statement establishes a DEF/USE name scope separate from the rest of the scene and separate from any nested PROTO statements. Nodes given a name by a DEF construct inside the prototype may not be referenced in a USE construct outside of the prototype's scope. Nodes given a name by a DEF construct outside the prototype scope may not be referenced in a USE construct inside the prototype scope.

A prototype may be instantiated in a file anywhere after the completion of the prototype definition. A prototype may not be instantiated inside its own implementation (i.e., recursive prototypes are illegal).



### 4.9 External prototype semantics

#### 4.9.1 Introduction

The EXTERNPROTO statement defines a new node type. It is equivalent to the PROTO statement, with two exceptions. First, the implementation of the node type is stored externally, either in a VRML file containing an appropriate PROTO statement or using some other implementation-dependent mechanism. Second, default values for fields are not given since the implementation will define appropriate defaults.

#### 4.9.2 EXTERNPROTO interface semantics

The semantics of the EXTERNPROTO are exactly the same as for a PROTO statement, except that default field and exposedField values are not specified locally. In addition, events sent to an instance of an externally prototyped node may be ignored until the implementation of the node is found.

Until the definition has been loaded, the browser shall determine the initial value of exposedFields using the following rules (in order of precedence):

- a. the user-defined value in the instantiation (if one is specified);
- b. the default value for that field type.

For eventOuts, the initial value on startup will be the default value for that field type. During the loading of an EXTERNPROTO, if an initial value of an eventOut is found, that value is applied to the eventOut and no event is generated.



The names and types of the fields, `exposedFields`, `eventIns`, and `eventOuts` of the interface declaration shall be a subset of those defined in the implementation. Declaring a field or event with a non-matching name is an error, as is declaring a field or event with a matching name but a different type.

It is recommended that user-defined field or event names defined in EXTERNPROTO interface statements follow the naming conventions described in [4.7, Field, eventIn, and eventOut semantics](#).

### 4.9.3 EXTERNPROTO URL semantics

The string or strings specified after the interface declaration give the location of the prototype's implementation. If multiple strings are specified, the browser searches in the order of preference (see [4.5.2, URLs](#)).

If a URL in an EXTERNPROTO statement refers to a VRML file, the first PROTO statement found in the VRML file (excluding EXTERNPROTOS) is used to define the external prototype's definition. The name of that prototype does not need to match the name given in the EXTERNPROTO statement. Results are undefined if a URL in an EXTERNPROTO statement refers to a non-VRML file

To enable the creation of libraries of reusable PROTO definitions, browsers shall recognize EXTERNPROTO URLs that end with "`#name`" to mean the PROTO statement for "name" in the given VRML file. For example, a library of standard materials might be stored in a VRML file called "materials.wrl" that looks like:

```
#VRML V2.0 utf8
PROTO Gold [] { Material { ... } }
PROTO Silver [] { Material { ... } }
...etc.
```

A material from this library could be used as follows:

```
#VRML V2.0 utf8
EXTERNPROTO GoldFromLibrary [] "http://.../materials.wrl#Gold"
...
Shape {
  appearance Appearance { material GoldFromLibrary {} }
  geometry ...
}
...
```



## 4.10 Event processing

### 4.10.1 Introduction

Most node types have at least one `eventIn` definition and thus can receive *events*. Incoming events are data messages sent by other nodes to change some state within the receiving node. Some nodes also have `eventOut` definitions. These are used to send data messages to destination nodes that some state has changed within the source node.

If an `eventOut` is read before it has sent any events, the *initial value* as specified in [5, Field and event reference](#), for each field/event type is returned.

## 4.10.2 Route semantics

The connection between the node generating the event and the node receiving the event is called a *route*. Routes are not nodes. The ROUTE statement is a construct for establishing event paths between nodes. ROUTE statements may either appear at the top level of a VRML file, in a prototype definition, or inside a node wherever fields may appear. Nodes referenced in a ROUTE statement shall be defined before the ROUTE statement.

The types of the eventIn and the eventOut shall match exactly. For example, it is illegal to route from an SFFloat to an SFInt32 or from an SFFloat to an MFFloat.

Routes may be established only from eventOuts to eventIns. For convenience, when routing to or from an eventIn or eventOut (or the eventIn or eventOut part of an exposedField), the *set\_* or *\_changed* part of the event's name is optional. If the browser is trying to establish a ROUTE to an eventIn named *zzz* and an eventIn of that name is not found, the browser shall then try to establish the ROUTE to the eventIn named *set\_zzz*. Similarly, if establishing a ROUTE from an eventOut named *zzz* and an eventOut of that name is not found, the browser shall try to establish the ROUTE from *zzz\_changed*.

Redundant routing is ignored. If a VRML file repeats a routing path, the second and subsequent identical routes are ignored. This also applies for routes created dynamically via a scripting language supported by the browser.

## 4.10.3 Execution model

Once a sensor or Script has generated an *initial event*, the event is propagated from the eventOut producing the event along any ROUTEs to other nodes. These other nodes may respond by generating additional events, continuing until all routes have been honoured. This process is called an *event cascade*. All events generated during a given event cascade are assigned the same timestamp as the initial event, since all are considered to happen instantaneously.

Some sensors generate multiple events simultaneously. Similarly, it is possible that asynchronously generated events could arrive at the identical time as one or more sensor generated event. In these cases, all events generated are part of the same initial event cascade and each event has the same timestamp.

After all events of the initial event cascade are honored, post-event processing performs actions stimulated by the event cascade. The entire sequence of events occurring in a single timestamp are:

- a. Perform event cascade evaluation.
- b. Call *shutdown( )* on scripts that have received *set\_url* events or are being removed from the scene.
- c. Send final events from environmental sensors being removed from the transformation hierarchy.
- d. Add or remove routes specified in *addRoute( )* or *deleteRoute( )* from any script execution in the preceding event cascade.
- e. Call *eventsProcessed( )* for scripts that have sent events in the just ended event cascade.
- f. Send initial events from any dynamically created environmental sensors.
- g. Call *initialize( )* of newly loaded script code.
- h. If any events were generated from steps 2 through 7, go to step 2 and continue.

[Figure 4.3](#) provides a conceptual illustration of the execution model.

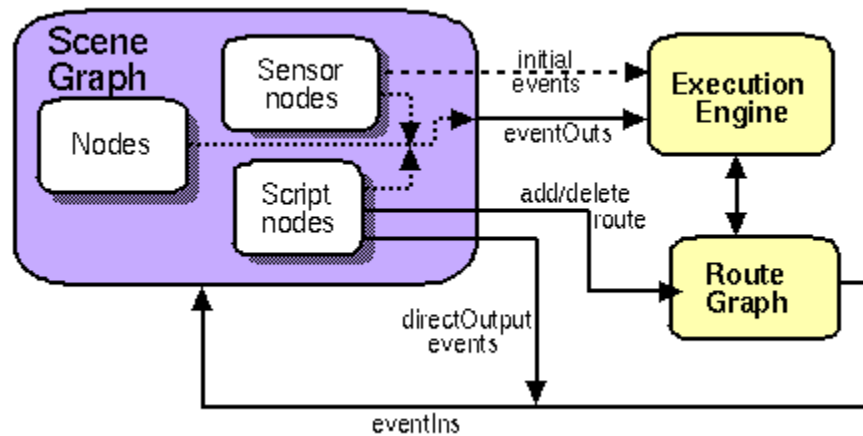


Figure 4.3 -- Conceptual execution model

Nodes that contain eventOuts or exposedFields shall produce at most one event per timestamp. If a field is connected to another field via a ROUTE, an implementation shall send only one event per ROUTE per timestamp. This also applies to scripts where the rules for determining the appropriate action for sending eventOuts are defined in [4.12.9.3, Sending eventOuts](#).

[D.19, Execution model](#), provides an example that demonstrates the execution model. [Figure 4.4](#) illustrates event processing for a single timestamp in example in [D.19, Execution model](#):

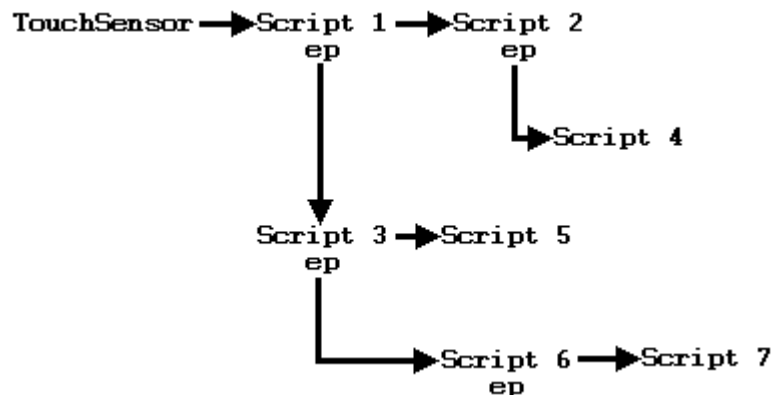


Figure 4.4 -- Example D.19, event processing order

In [Figure 4.4](#), arrows coming out of a script at **ep** are events generated during the *eventsProcessed()* call for the script. The other arrows are events sent during an eventIn method. One possible compliant order of execution is as follows:

- i. User activates **TouchSensor**
- j. Run initial event cascade (step 1)
  - 1. **Script 1** runs, generates an event for **Script 2**
  - 2. **Script 2** runs

3. end of initial event cascade
- k. Execute *eventsProcessed* calls (step 5)
  1. *eventsProcessed* for **Script 1** runs, sends event to **Script 3**
  2. **Script 3** runs, generates events for **Script 5**
  3. **Script 5** runs
  4. *eventsProcessed* for **Script 2** runs, sends events to **Script 4**
  5. **Script 4** runs
  6. end of *eventsProcessed* processing
- l. Go to step 2 for generated events (step 8)
- m. Execute *eventsProcessed* calls (step 5)
  1. *eventsProcessed* for **Script 3** runs, sends event to **Script 6**
  2. **Script 6** runs, sends event to **Script 7**
  3. **Script 7** runs
  4. *eventsProcessed* for **Script 4** runs, does not generate any events
  5. *eventsProcessed* for **Script 5** runs, does not generate any events
  6. end of *eventsProcessed* processing
- n. Go to step 2 for generated events (step 8)
- o. Execute *eventsProcessed* calls (step 5)
  1. *eventsProcessed* for **Script 6** runs, does not generate any events
  2. *eventsProcessed* for **Script 7** runs, does not generate any events
  3. end of *eventsProcessed* processing
- p. No more events to handle.

The above is not the only possible compliant order of execution. If multiple *eventsProcessed()* methods are pending when step 4 is executed, the order in which these methods is called is not defined. For instance, in the third step of the example, the *eventsProcessed* method is pending for both **Script 1** and **Script 2**. The order of execution in this case is not defined, so executing the *eventsProcessed* method of **Script 2** before that of **Script 1** would have been compliant. However, executing the *eventsProcessed* method for **Script 3** before that of **Script 2** would not have been compliant because any methods made pending during processing must wait until the next iteration of the event cascade for execution.

#### 4.10.4 Loops

Event cascades may contain *loops* where an event *E* is routed to a node that generates an event that eventually results in *E* being generated again. See [4.10.3, Execution model](#), for the loop breaking rule that limits each eventOut to one event per timestamp. This rule shall also be used to break loops created by cyclic dependencies between different sensor nodes.

### 4.10.5 Fan-in and fan-out

*Fan-in* occurs when two or more routes write to the same eventIn. Events coming into an eventIn from different eventOuts with the same timestamp shall be processed, but the order of evaluation is implementation dependent.

*Fan-out* occurs when one eventOut routes to two or more eventIns. This results in sending any event generated by the eventOut to all of the eventIns.



## 4.11 Time

### 4.11.1 Introduction

The browser controls the passage of time in a world by causing TimeSensors to generate events as time passes. Specialized browsers or authoring applications may cause time to pass more quickly or slowly than in the real world, but typically the times generated by TimeSensors will approximate "real" time. A world's creator should make no assumptions about how often a TimeSensor will generate events but can safely assume that each time event generated will have a timestamp greater than any previous time event.

### 4.11.2 Time origin

Time (0.0) is equivalent to 00:00:00 GMT January 1, 1970. Absolute times are specified in SFTIME or MFTIME fields as double-precision floating point numbers representing seconds. Negative absolute times are interpreted as happening before 1970.

Processing an event with timestamp  $t$  may only result in generating events with timestamps greater than or equal to  $t$ .

### 4.11.3 Discrete and continuous changes

ISO/IEC 14772 does not distinguish between discrete events (such as those generated by a TouchSensor) and events that are the result of sampling a conceptually continuous set of changes (such as the fraction events generated by a TimeSensor). An ideal VRML implementation would generate an infinite number of samples for continuous changes, each of which would be processed infinitely quickly.

Before processing a discrete event, all continuous changes that are occurring at the discrete event's timestamp shall behave as if they generate events at that same timestamp.

Beyond the requirements that continuous changes be up-to-date during the processing of discrete changes, the sampling frequency of continuous changes is implementation dependent. Typically a TimeSensor affecting a visible (or otherwise perceptible) portion of the world will generate events once per *frame*, where a frame is a single rendering of the world or one time-step in a simulation.



## 4.12 Scripting

### 4.12.1 Introduction

Authors often require that VRML worlds change dynamically in response to user inputs, external events, and the current state of the world. The proposition "if the vault is currently closed AND the correct combination is entered, open the vault" illustrates the type of problem which may need addressing. These kinds of decisions are expressed as Script nodes (see [6.40, Script](#)) that receive events from other nodes, process them, and send events to other nodes. A Script node can also keep track of information between subsequent executions (i.e., retaining internal state over time).

This subclause describes the general mechanisms and semantics of all scripting language access protocols. Note that no scripting language is required by ISO/IEC 14772. Details for two scripting languages are in [annex B, Java platform scripting reference](#), and [annex C, ECMAScript scripting reference](#), respectively. If either of these scripting languages are implemented, the Script node implementation shall conform with the definition described in the corresponding annex.

Event processing is performed by a program or script contained in (or referenced by) the Script node's *url* field. This program or script may be written in any programming language that the browser supports.

### 4.12.2 Script execution

A Script node is activated when it receives an event. The browser shall then execute the program in the Script node's *url* field (passing the program to an external interpreter if necessary). The program can perform a wide variety of actions including sending out events (and thereby changing the scene), performing calculations, and communicating with servers elsewhere on the Internet. A detailed description of the ordering of event processing is contained in [4.10, Event processing](#).

Script nodes may also be executed after they are created (see [4.12.3, Initialize\(\) and shutdown\(\)](#)). Some scripting languages may allow the creation of separate processes from scripts, resulting in continuous execution (see [4.12.6, Asynchronous scripts](#)).

Script nodes receive events in timestamp order. Any events generated as a result of processing an event are given timestamps corresponding to the event that generated them. Conceptually, it takes no time for a Script node to receive and process an event, even though in practice it does take some amount of time to execute a Script.

When a *set\_url* event is received by a Script node that contains a script that has been previously initialized for a different URL, the *shutdown()* method of the current script is called (see [4.12.3, Initialize\(\) and shutdown\(\)](#)). Until the new script becomes available, the script shall behave as though it has no executable content. When the new script becomes available, the *Initialize()* method is invoked as defined in [4.10.3, Execution model](#). The limiting case is when the URL contains inline code that can be immediately executed upon receipt of the *set\_url* event (e.g., javascript: protocol). In this case, it can be assumed that the old code is unloaded and the new code loaded instantaneously, after any dynamic route requests have been performed.

### 4.12.3 Initialize() and shutdown()

The scripting language binding may define an *initialize()* method. This method shall be invoked before the browser presents the world to the user and before any events are processed by any nodes in the same VRML file as the Script node containing this script. Events generated by the *initialize()* method shall have timestamps less than any other

events generated by the Script node. This allows script initialization tasks to be performed prior to the user interacting with the world.

Likewise, the scripting language binding may define a *shutdown()* method. This method shall be invoked when the corresponding Script node is deleted or the world containing the Script node is unloaded or replaced by another world. This method may be used as a clean-up operation, such as informing external mechanisms to remove temporary files. No other methods of the script may be invoked after the *shutdown()* method has completed, though the *shutdown()* method may invoke methods or send events while shutting down. Events generated by the *shutdown()* method that are routed to nodes that are being deleted by the same action that caused the *shutdown()* method to execute will not be delivered. The deletion of the Script node containing the *shutdown()* method is not complete until the execution of its *shutdown()* method is complete.

#### 4.12.4 *EventsProcessed()*

The scripting language binding may define an *eventsProcessed()* method that is called after one or more events are received. This method allows Scripts that do not rely on the order of events received to generate fewer events than an equivalent Script that generates events whenever events are received. If it is used in some other time-dependent way, *eventsProcessed()* may be nondeterministic, since different browser implementations may call *eventsProcessed()* at different times.

For a single event cascade, a given Script node's *eventsProcessed* method shall be called at most once. Events generated from an *eventsProcessed()* method are given the timestamp of the last event processed.

#### 4.12.5 Scripts with direct outputs

Scripts that have access to other nodes (via SFNode/MFNode fields or eventIns) and that have their *directOutput* field set to TRUE may directly post eventIns to those nodes. They may also read the last value sent from any of the node's eventOuts.

When setting a value in another node, implementations are free to either immediately set the value or to defer setting the value until the Script is finished. When getting a value from another node, the value returned shall be up-to-date; that is, it shall be the value immediately before the time of the current timestamp (the current timestamp returned is the timestamp of the event that caused the Script node to execute).

If multiple *directOutput* Scripts read from and/or write to the same node, the results are undefined.

#### 4.12.6 Asynchronous scripts

Some languages supported by VRML browsers may allow Script nodes to spontaneously generate events, allowing users to create Script nodes that function like new Sensor nodes. In these cases, the Script is generating the initial events that causes the event cascade, and the scripting language and/or the browser shall determine an appropriate timestamp for that initial event. Such events are then sorted into the event stream and processed like any other event, following all of the same rules including those for looping.

#### 4.12.7 Script languages

The Script node's *url* field may specify a URL which refers to a file (e.g., using protocol http:) or incorporates scripting language code directly in-line. The MIME-type of the returned data defines the language type. Additionally, instructions can be included in-line using [4.5.4, Scripting language protocol](#), defined for the specific language (from which the language type is inferred).

For example, the following Script node has one eventIn field named *start* and three different URL values specified in the *url* field: Java, ECMAScript, and inline ECMAScript:

```

Script {
  eventIn SFBool start
  url [ "http://foo.com/fooBar.class",
        "http://foo.com/fooBar.js",
        "javascript:function start(value, timestamp) { ... }"
  ]
}

```

In the above example when a *start* eventIn is received by the Script node, one of the scripts found in the *url* field is executed. The Java platform bytecode is the first choice, the ECMAScript code is the second choice, and the inline ECMAScript code the third choice. A description of order of preference for multiple valued URL fields may be found in [4.5.2, URLs](#).

## 4.12.8 EventIn handling

Events received by the Script node are passed to the appropriate scripting language method in the script. The method's name depends on the language type used. In some cases, it is identical to the name of the eventIn; in others, it is a general callback method for all eventIns (see the scripting language annexes for details). The method is passed two arguments: the event value and the event timestamp.

## 4.12.9 Accessing fields and events

The fields, eventIns, and eventOuts of a Script node are accessible from scripting language methods. Events can be routed to eventIns of Script nodes and the eventOuts of Script nodes can be routed to eventIns of other nodes. Another Script node with access to this node can access the eventIns and eventOuts just like any other node (see [4.12.5, Scripts with direct outputs](#)).

It is recommended that user-defined field or event names defined in Script nodes follow the naming conventions described in [4.7, Field, eventIn, and eventOut semantics](#).

### 4.12.9.1 Accessing fields and eventOuts of the script

Fields defined in the Script node are available to the script through a language-specific mechanism (e.g., a variable is automatically defined for each field and event of the Script node). The field values can be read or written and are persistent across method calls. EventOuts defined in the Script node may also be read; the returned value is the last value sent to that eventOut.

### 4.12.9.2 Accessing eventIns and eventOuts of other nodes

The script can access any eventIn or eventOut of any node to which it has access. The syntax of this mechanism is language dependent. The following example illustrates how a Script node accesses and modifies an exposed field of another node (i.e., sends a *set\_translation* eventIn to the Transform node) using ECMAScript:

```

DEF SomeNode Transform { }
Script {
  field SFNode tnode USE SomeNode
  eventIn SFVec3f pos
  directOutput TRUE
  url "javascript:
    function pos(value, timestamp) {
      tnode.set_translation = value;
    }"
}

```



The language-dependent mechanism for accessing eventIns or eventOuts (or the eventIn or eventOut part of an exposedField) shall support accessing them without their "set\_" or "\_changed" prefix or suffix, to match the ROUTE statement semantics. When accessing an eventIn named "zzz" and an eventIn of that name is not found, the browser shall try to access the eventIn named "set\_zzz". Similarly, if accessing an eventOut named "zzz" and an eventOut of that name is not found, the browser shall try to access the eventOut named "zzz\_changed".

#### 4.12.9.3 Sending eventOuts

Each scripting language provides a mechanism for allowing scripts to send a value through an eventOut defined by the Script node. For example, one scripting language may define an explicit method for sending each eventOut, while another language may use assignment statements to automatically defined eventOut variables to implicitly send the eventOut. Sending multiple values through an eventOut during a single script execution will result in the "last" event being sent, where "last" is determined by the semantics of the scripting language being used.

### 4.12.10 Browser script interface

#### 4.12.10.1 Introduction

The browser interface provides a mechanism for scripts contained by Script nodes to get and set browser state (e.g., the URL of the current world). This subclause describes the semantics of methods that the browser interface supports. An arbitrary syntax is used to define the type of parameters and returned values. The specific annex for a language contains the actual syntax required. In this abstract syntax, types are given as VRML field types. Mapping of these types into those of the underlying language (as well as any type conversion needed) is described in the appropriate language annex.

#### 4.12.10.2 SFString getName() and SFString getVersion()

The **getName()** and **getVersion()** methods return a string representing the "name" and "version" of the browser currently in use. These values are defined by the browser writer, and identify the browser in some (unspecified) way. They are not guaranteed to be unique or to adhere to any particular format and are for information only. If the information is unavailable these methods return empty strings.

#### 4.12.10.3 SFFloat getCurrentSpeed()

The **getCurrentSpeed()** method returns the average navigation speed for the currently bound [NavigationInfo](#) node in meters per second, in the coordinate system of the currently bound [Viewpoint](#) node. If speed of motion is not meaningful in the current navigation type, or if the speed cannot be determined for some other reason, 0.0 is returned.

#### 4.12.10.4 SFFloat getCurrentFrameRate()

The **getCurrentFrameRate()** method returns the current frame rate in frames per second. The way in which frame rate is measured and whether or not it is supported at all is browser dependent. If frame rate measurement is not supported or cannot be determined, 0.0 is returned.

#### 4.12.10.5 SFString getWorldURL()

The **getWorldURL()** method returns the URL for the root of the currently loaded world.

**4.12.10.6 void replaceWorld( MFNode nodes )**

The **replaceWorld()** method replaces the current world with the world represented by the passed nodes. An invocation of this method will usually not return since the world containing the running script is being replaced. Scripts that may call this method shall have *mustEvaluate* set to TRUE.

**4.12.10.7 void loadURL( MFString url, MFString parameter )**

The **loadURL()** method loads the first recognized URL from the specified *url* field with the passed parameters. The *parameter* and *url* arguments are treated identically to the Anchor node's *parameter* and *url* fields (see [6.2, Anchor](#)). This method returns immediately. However, if the URL is loaded into this browser window (e.g., there is no TARGET parameter to redirect it to another frame), the current world will be terminated and replaced with the data from the specified URL at some time in the future. Scripts that may call this method shall set *mustEvaluate* to TRUE. If **loadUrl()** is invoked with a URL of the form "#name", the Viewpoint node with the given name ("name") in the Script' node's run-time name scope(s) shall be bound. However, if the Script node containing the script that invokes **loadURL("#name")** is not part of any run-time name scope or is part of more than one run-time name scope, results are undefined. See [4.4.6, Run-time name scope](#), for a description of run-time name scope.

**4.12.10.8 void setDescription( SFString description )**

The **setDescription()** method sets the passed string as the current description. This message is displayed in a browser dependent manner. An empty string clears the current description. Scripts that call this method shall have *mustEvaluate* set to TRUE.

**4.12.10.9 MFNode createVrmlFromString( SFString vrmlSyntax )**

The **createVrmlFromString()** method parses a string consisting of VRML statements, establishes any PROTO and EXTERNPROTO declarations and routes, and returns an MFNode value containing the set of nodes in those statements. The string shall be self-contained (i.e., USE statements inside the string may refer only to nodes DEF'ed in the string, and non-built-in node types used by the string shall be prototyped using EXTERNPROTO or PROTO statements inside the string).

**4.12.10.10 void createVrmlFromURL( MFString url, SFNode node, SFString event )**

The **createVrmlFromURL()** instructs the browser to load a VRML scene description from the given URL or URLs. The VRML file referred to shall be self-contained (i.e., USE statements inside the string may refer only to nodes DEF'ed in the string, and non-built-in node types used by the string shall be prototyped using EXTERNPROTO or PROTO statements inside the string). After the scene is loaded, *event* is sent to the passed *node* returning the root nodes of the corresponding VRML scene. The *event* parameter contains a string naming an MFNode eventIn on the passed node.

**4.12.10.11 void addRoute(...) and void deleteRoute(...)**

```
void addRoute( SFNode fromNode, SFString fromEventOut,  
              SFNode toNode, SFString toEventIn );
```

```
void deleteRoute( SFNode fromNode, SFString fromEventOut,  
                 SFNode toNode, SFString toEventIn );
```

These methods respectively add and delete a route between the given event names for the given nodes. Scripts that call this method shall have *directOutput* set to TRUE. Routes that are added and deleted shall obey the execution order defined in [4.10.3, Execution model](#).



## 4.13 Navigation

### 4.13.1 Introduction

Conceptually speaking, every VRML world contains a *viewpoint* from which the world is currently being viewed. Navigation is the action taken by the user to change the position and/or orientation of this viewpoint thereby changing the user's view. This allows the user to move through a world or examine an object. The [NavigationInfo](#) node (see [6.29, NavigationInfo](#)) specifies the characteristics of the desired navigation behaviour, but the exact user interface is browser-dependent. The [Viewpoint](#) node (see [6.53, Viewpoint](#)) specifies key locations and orientations in the world to which the user may be moved via scripts or browser-specific user interfaces.

### 4.13.2 Navigation paradigms

The browser may allow the user to modify the location and orientation of the viewer in the virtual world using a navigation paradigm. Many different navigation paradigms are possible, depending on the nature of the virtual world and the task the user wishes to perform. For instance, a walking paradigm would be appropriate in an architectural walkthrough application, while a flying paradigm might be better in an application exploring interstellar space. Examination is another common use for VRML, where the world is considered to be a single object which the user wishes to view from many angles and distances.

The [NavigationInfo](#) node has a *type* field that specifies the navigation paradigm for this world. The actual user interface provided to accomplish this navigation is browser-dependent. See [6.29, NavigationInfo](#), for details.

### 4.13.3 Viewing model

The browser controls the location and orientation of the viewer in the world, based on input from the user (using the browser-provided navigation paradigm) and the motion of the currently bound [Viewpoint](#) node (and its coordinate system). The VRML author can place any number of viewpoints in the world at important places from which the user might wish to view the world. Each viewpoint is described by a [Viewpoint](#) node. Viewpoint nodes exist in their parent's coordinate system, and both the viewpoint and the coordinate system may be changed to affect the view of the world presented by the browser. Only one viewpoint is bound at a time. A detailed description of how the [Viewpoint](#) node operates is described in [4.6.10, Bindable children nodes](#), and [6.53, Viewpoint](#).

Navigation is performed relative to the [Viewpoint](#)'s location and does not affect the location and orientation values of a [Viewpoint](#) node. The location of the viewer may be determined with a [ProximitySensor](#) node (see [6.38, ProximitySensor](#)).

### 4.13.4 Collision detection and terrain following

A VRML file can contain [Collision](#) nodes (see [6.8, Collision](#)) and [NavigationInfo](#) nodes that influence the browser's navigation paradigm. The browser is responsible for detecting collisions between the viewer and the objects in the virtual world, and is also responsible for adjusting the viewer's location when a collision occurs. Browsers shall not disable collision detection except for the special cases listed below. [Collision](#) nodes can be used to generate events when viewer and objects collide, and can be used to designate that certain objects should be treated as transparent to collisions. Support for inter-object collision is not specified. The [NavigationInfo](#) types of WALK, FLY, and NONE shall strictly support collision detection. However, the [NavigationInfo](#) types ANY and EXAMINE may temporarily disable collision detection during navigation, but shall not disable collision detection during the normal execution of the world. See [6.29, NavigationInfo](#), for details on the various navigation types.

NavigationInfo nodes can be used to specify certain parameters often used by browser navigation paradigms. The size and shape of the viewer's avatar determines how close the avatar may be to an object before a collision is considered to take place. These parameters can also be used to implement *terrain following* by keeping the avatar a certain distance above the ground. They can additionally be used to determine how short an object must be for the viewer to automatically step up onto it instead of colliding with it.



## 4.14 Lighting model

### 4.14.1 Introduction

The VRML lighting model provides detailed equations which define the colours to apply to each geometric object. For each object, the values of the Material node, Color node and texture currently being applied to the object are combined with the lights illuminating the object and the currently bound Fog node. These equations are designed to simulate the physical properties of light striking a surface.

### 4.14.2 Lighting 'off'

A Shape node is unlit if either of the following is true:

- a. The shape's *appearance* field is NULL (default).
- b. The *material* field in the Appearance node is NULL (default).

×Note the special cases of geometry nodes that do not support lighting (see [6.24, IndexedLineSet](#), and [6.36, PointSet](#), for details).

If the shape is unlit, the colour ( $I_{rgb}$ ) and alpha ( $A$ , 1-transparency) of the shape at each point on the shape's geometry is given in [Table 4.5](#).

**Table 4.5 -- Unlit colour and alpha mapping**

Texture type	Colour per-vertex or per-face	Colour NULL
No texture	$I_{rgb} = I_{Crgb}$ $A = 1$	$I_{rgb} = (1, 1, 1)$ $A = 1$
Intensity (one-component)	$I_{rgb} = I_T \times I_{Crgb}$ $A = 1$	$I_{rgb} = (I_T, I_T, I_T)$ $A = 1$
Intensity+Alpha (two-component)	$I_{rgb} = I_T \times I_{Crgb}$ $A = A_T$	$I_{rgb} = (I_T, I_T, I_T)$ $A = A_T$
RGB (three-component)	$I_{rgb} = I_{Trgb}$ $A = 1$	$I_{rgb} = I_{Trgb}$ $A = 1$
RGBA (four-component)	$I_{rgb} = I_{Trgb}$ $A = A_T$	$I_{rgb} = I_{Trgb}$ $A = A_T$

where:

$A_T$  = normalized [0, 1] alpha value from 2 or 4 component texture image

$I_{Crgb}$  = interpolated per-vertex colour, or per-face colour, from Color node

$I_T$  = normalized [0, 1] intensity from 1 or 2 component texture image

$I_{Trgb}$  = colour from 3-4 component texture image

### 4.14.3 Lighting 'on'

If the shape is lit (i.e., a Material and an Appearance node are specified for the Shape), the Color and Texture nodes determine the diffuse colour for the lighting equation as specified in [Table 4.6](#).

**Table 4.6 -- Lit colour and alpha mapping**

Texture type	Colour per-vertex or per-face	Color node NULL
No texture	$O_{Drgb} = I_{Crgb}$ $A = 1 - T_M$	$O_{Drgb} = I_{Drgb}$ $A = 1 - T_M$
Intensity texture (one-component)	$O_{Drgb} = I_T \times I_{Crgb}$ $A = 1 - T_M$	$O_{Drgb} = I_T \times I_{Drgb}$ $A = 1 - T_M$
Intensity+Alpha texture (two-component)	$O_{Drgb} = I_T \times I_{Crgb}$ $A = A_T$	$O_{Drgb} = I_T \times I_{Drgb}$ $A = A_T$
RGB texture (three-component)	$O_{Drgb} = I_{Trgb}$ $A = 1 - T_M$	$O_{Drgb} = I_{Trgb}$ $A = 1 - T_M$
RGBA texture (four-component)	$O_{Drgb} = I_{Trgb}$ $A = A_T$	$O_{Drgb} = I_{Trgb}$ $A = A_T$

where:

$I_{Drgb}$  = material *diffuseColor*

$O_{Drgb}$  = diffuse factor, used in lighting equations below

$T_M$  = material *transparency*

All other terms are as defined in [4.14.2, Lighting `off`](#).

### 4.14.4 Lighting equations

An ideal VRML implementation will evaluate the following lighting equation at each point on a lit surface. RGB intensities at each point on a geometry ( $I_{rgb}$ ) are given by:

$$I_{rgb} = I_{Frgb} \times (1 - f_0) + f_0 \times (O_{Ergb} + \text{SUM}(on_i \times \text{attenuation}_i \times \text{spot}_i \times I_{Lrgb} \times (\text{ambient}_i + \text{diffuse}_i + \text{specular}_i)))$$

where:

$$\text{attenuation}_i = 1 / \max(c_1 + c_2 \times d_L + c_3 \times d_L^{\text{sup}2}, 1)$$

$$\text{ambient}_i = I_{ia} \times O_{Drgb} \times O_a$$

$$\text{diffuse}_i = I_i \times O_{Drgb} \times (\mathbf{N} \bullet \mathbf{L})$$

$$\text{specular}_i = I_i \times O_{Srgb} \times (\mathbf{N} \bullet ((\mathbf{L} + \mathbf{v}) / |\mathbf{L} + \mathbf{v}|))^{\text{shininess} \times 128}$$

and:

$\bullet$  = modified vector dot product: if dot product < 0, then 0.0, otherwise, dot product

$c_1, c_2, c_3$  = light  $i$  attenuation

$d_v$  = distance from point on geometry to viewer's position, in coordinate system of current fog node

$d_L$  = distance from light to point on geometry, in light's coordinate system

$f_0$  = Fog interpolant, see [Table 4.8](#) for calculation

$I_{Frgb}$  = currently bound fog's color

$I_{Lrgb}$  = light  $i$  color

$I_i$  = light  $i$  intensity

$I_{ia}$  = light  $i$  ambientIntensity

$\mathbf{L}$  = (Point/SpotLight) normalized vector from point on geometry to light source  $i$  position

$\mathbf{L}$  = (DirectionalLight) -direction of light source  $i$

$\mathbf{N}$  = normalized normal vector at this point on geometry (interpolated from vertex normals specified in Normal node or calculated by browser)

$O_a$  = Material ambientIntensity

$O_{Drgb}$  = diffuse colour, from Material node, Color node, and/or texture node

$O_{Ergb}$  = Material emissiveColor

$O_{Srgb}$  = Material specularColor

$on_i = 1$ , if light source  $i$  affects this point on the geometry,

0, if light source  $i$  does not affect this geometry (if farther away than radius for PointLight or SpotLight, outside of enclosing Group/Transform for DirectionalLights, or on field is FALSE)

shininess = Material shininess

spotAngle =  $\text{acos}(-\mathbf{L} \bullet \text{spotDir}_i)$

spot<sub>BW</sub> = SpotLight  $i$  beamWidth

spot<sub>CO</sub> = SpotLight  $i$  cutOffAngle

spot <sub>$i$</sub>  = spotlight factor, see [Table 4.7](#) for calculation

spotDir <sub>$i$</sub>  = normalized SpotLight  $i$  direction

SUM: sum over all light sources  $i$

$\mathbf{v}$  = normalized vector from point on geometry to viewer's position

Table 4.7 -- Calculation of the spotlight factor

Condition (in order)	spot <sub><math>i</math></sub> =
light <sub><math>i</math></sub> is PointLight or DirectionalLight	1
spotAngle >= spot <sub>CO</sub>	0
spotAngle <= spot <sub>BW</sub>	1
spot <sub>BW</sub> < spotAngle < spot <sub>CO</sub>	(spotAngle - spot <sub>CO</sub> ) / (spot <sub>BW</sub> - spot <sub>CO</sub> )

Table 4.8 -- Calculation of the fog interpolant

Condition	$f_0 =$
no fog	1
fogType "LINEAR", $d_v < \text{fogVisibility}$	$(\text{fogVisibility} - d_v) / \text{fogVisibility}$
fogType "LINEAR", $d_v \geq \text{fogVisibility}$	0
fogType "EXPONENTIAL", $d_v < \text{fogVisibility}$	$\exp(-d_v / (\text{fogVisibility} - d_v))$
fogType "EXPONENTIAL", $d_v \geq \text{fogVisibility}$	0

#### 4.14.5 References

The VRML lighting equations are based on the simple illumination equations given in [E.\[FOLE\]](#) and [E.\[OPEN\]](#).



# 5 Field and event reference



## 5.1 Introduction

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### 5.1.2 Description

This clause describes the syntax and general semantics of *fields* and *events*, the elemental data types used by VRML nodes to define objects (see [6, Node reference](#)). Nodes are composed of fields and events (see [4, Concepts](#)). The types defined in this annex are used by both fields and events.

There are two general classes of fields and events: fields and events that contain a single value (where a value may be a single number, a vector, or even an image), and fields and events that contain an ordered list of multiple values. Single-valued fields and events have names that begin with **SF**. Multiple-valued fields and events have names that begin with **MF**.

Multiple-valued fields/events are written as an ordered list of values enclosed in square brackets and separated by whitespace. If the field or event has zero values, only the square brackets ("[]") are written. The last value may optionally be followed by whitespace. If the field has exactly one value, the brackets may be omitted. For example, all of the following are valid for a multiple-valued MFInt32 field named *foo* containing the single integer value 1:

```
foo 1
foo [1, ]
foo [ 1 ]
```





## 5.2 SFBool

The *SFBool* field or event specifies a single boolean value. *SFBools* are written as *TRUE* or *FALSE*. For example,

```
fooBool FALSE
```

is an *SFBool* field, *fooBool*, defining a *FALSE* value.

The initial value of an *SFBool* eventOut is *FALSE*.



## 5.3 SFColor and MFColor

The *SFColor* field or event specifies one RGB (red-green-blue) colour triple. *MFColor* specifies zero or more RGB triples. Each colour is written to the VRML file as an RGB triple of floating point numbers in ISO C floating point format (see [2.\[ISOC\]](#)) in the range 0.0 to 1.0. For example:

```
fooColor [ 1.0 0. 0.0, 0 1 0, 0 0 1 ]
```

is an *MFColor* field, *fooColor*, containing the three primary colours red, green, and blue.

The initial value of an *SFColor* eventOut is (0 0 0). The initial value of an *MFColor* eventOut is [ ].



## 5.4 SFFloat and MFFloat

The *SFFloat* field or event specifies one single-precision floating point number. *MFFloat* specifies zero or more single-precision floating point numbers. *SFFloats* and *MFFloats* are written to the VRML file in ISO C floating point format (see [2.\[ISOC\]](#)). For example:

```
fooFloat [ 3.1415926, 12.5e-3, .0001 ]
```

is an *MFFloat* field, *fooFloat*, containing three floating point values.

The initial value of an *SFFloat* eventOut is 0.0. The initial value of an *MFFloat* eventOut is [ ].



## 5.5 SFImage

The *SFImage* field or event specifies a single uncompressed 2-dimensional pixel image. *SFImage* fields and events are written to the VRML file as three integers representing the width, height and number of components in the image, followed by width\*height hexadecimal or integer values representing the pixels in the image, separated by whitespace:

```
fooImage <width> <height> <num components> <pixels values>
```

Pixel values are limited to 256 levels of intensity (i.e., 0-255 decimal or 0x00-0xFF hexadecimal). A one-component image specifies one-byte hexadecimal or integer values representing the intensity of the image. For example, 0xFF is full intensity in hexadecimal (255 in decimal), 0x00 is no intensity (0 in decimal). A two-component image specifies the intensity in the first (high) byte and the alpha opacity in the second (low) byte. Pixels in a three-component image specify the red component in the first (high) byte, followed by the green and blue components (e.g., 0xFF0000 is red, 0x00FF00 is green, 0x0000FF is blue). Four-component images specify the alpha opacity byte after red/green/blue (e.g., 0x0000FF80 is semi-transparent blue). A value of 0x00 is completely transparent, 0xFF is completely opaque. Note that alpha equals (1.0 - transparency), if alpha and transparency range from 0.0 to 1.0.

Each pixel is read as a single unsigned number. For example, a 3-component pixel with value 0x0000FF may also be written as 0xFF (hexadecimal) or 255 (decimal). Pixels are specified from left to right, bottom to top. The first hexadecimal value is the lower left pixel and the last value is the upper right pixel.

For example,

```
fooImage 1 2 1 0xFF 0x00
```

is a 1 pixel wide by 2 pixel high one-component (i.e., greyscale) image, with the bottom pixel white and the top pixel black. As another example,

```
fooImage 2 4 3 0xFF0000 0xFF00 0 0 0 0 0xFFFFFFFF 0xFFFFF00
           # red   green black.. white   yellow
```

is a 2 pixel wide by 4 pixel high RGB image, with the bottom left pixel red, the bottom right pixel green, the two middle rows of pixels black, the top left pixel white, and the top right pixel yellow.

The initial value of an SFIImage eventOut is (0 0 0).



## 5.6 SFInt32 and MFInt32

The SFInt32 field and event specifies one 32-bit integer. The MFInt32 field and event specifies zero or more 32-bit integers. SFInt32 and MFInt32 fields and events are written to the VRML file as an integer in decimal or hexadecimal (beginning with '0x') format. For example:

```
fooInt32 [ 17, -0xE20, -518820 ]
```

is an MFInt32 field containing three values.

The initial value of an SFInt32 eventOut is 0. The initial value of an MFInt32 eventOut is [ ].



## 5.7 SFNode and MFNode

The SFNode field and event specifies a VRML node. The MFNode field and event specifies zero or more nodes. The following example illustrates valid syntax for an MFNode field, *fooNode*, defining four nodes:

```
fooNode [ Transform { translation 1 0 0 }
         DEF CUBE Box { }
         USE CUBE
         USE SOME_OTHER_NODE ]
```

The SFNode field and event may contain the keyword NULL to indicate that it is empty.

The initial value of an SFNode eventOut is NULL. The initial value of an MFNode eventOut is [ ].



## 5.8 SFRotation and MFRotation

The SFRotation field and event specifies one arbitrary rotation. The MFRotation field and event specifies zero or more arbitrary rotations. An SFRotation is written to the VRML file as four ISO C floating point values (see [2.\[ISOC\]](#)) separated by whitespace. The first three values specify a normalized rotation axis vector about which the rotation takes place. The fourth value specifies the amount of right-handed rotation about that axis in radians. For example, an SFRotation containing a PI radians rotation about the Y axis is:

```
fooRot 0.0 1.0 0.0 3.14159265
```

The 3x3 matrix representation of a rotation (x y z a) is

$$\begin{bmatrix} tx^2+c & txy+sz & txz-sy \\ txy-sz & ty^2+c & tyz+sx \\ txz+sy & tyz-sx & tz^2+c \end{bmatrix}$$

where  $c = \cos(a)$ ,  $s = \sin(a)$ , and  $t = 1-c$

The initial value of an SFRotation eventOut is (0 0 1 0). The initial value of an MFRotation eventOut is [ ].



## 5.9 SFString and MFString

The SFString and MFString fields and events contain strings formatted with the UTF-8 universal character set (see [2.\[UTF8\]](#)). SFString specifies a single string. The MFString specifies zero or more strings. Strings are written to the VRML file as a sequence of UTF-8 octets enclosed in double quotes (e.g., "**string**").

Any characters (including linefeeds and '#') may appear within the quotes. A double quote character within the string is preceded with a backslash. A backslash character within the string is also preceded with a backslash forming two backslashes. For example:

```
fooString [ "One, Two, Three", "He said, \"Immel did it!\"" ]
```

is an MFString field, *fooString*, with two valid strings.

The initial value of an SFString eventOut is "" (the empty string). The initial value of an MFString eventOut is [ ].



## 5.10 SFTIME and MFTIME

The SFTIME field or event specifies a single time value. The MFTIME field or event specifies zero or more time values. Time values are written to the VRML file as a double-precision floating point number in ISO C floating point format (see [2.\[ISOC\]](#)). Time values are specified as the number of seconds from a specific time origin. Typically, SFTIME fields and events represent the number of seconds since Jan 1, 1970, 00:00:00 GMT. For example:

```
fooTime 0.0
```

is an SFTIME field, *fooTime*, representing a time of 0.0 seconds.

The initial value of an SFTIME eventOut is -1. The initial value of an MFTIME eventOut is [ ].



## 5.11 SFVec2f and MFVec2f

The SFVec2f field or event specifies a two-dimensional (2D) vector. An MFVec2f field or event specifies zero or more 2D vectors. SFVec2f's and MFVec2f's are written to the VRML file as a pair of ISO C floating point values (see [2.\[ISOC\]](#)) separated by whitespace. For example:

```
fooVec2f [ 42 666, 7 94 ]
```

is an MFVec2f field, *fooVec2f*, with two valid vectors.

The initial value of an SFVec2f eventOut is (0 0). The initial value of an MFVec2f eventOut is [ ].



## 5.12 SFVec3f and MFVec3f

The SFVec3f field or event specifies a three-dimensional (3D) vector. An MFVec3f field or event specifies zero or more 3D vectors. SFVec3f's and MFVec3f's are written to the VRML file as three ISO C floating point values (see [2.\[ISOC\]](#)) separated by whitespace. For example:

```
fooVec3f [ 1 42 666, 7 94 0 ]
```

is an MFVec3f field, *fooVec3f*, with two valid vectors.

The initial value of an SFVec3f eventOut is (0 0 0). The initial value of an MFVec3f eventOut is [ ].



# 6 Node reference



## 6.1 Introduction

This clause provides a detailed definition of the syntax and semantics of each node in this part of ISO/IEC 14772. [Table 6.1](#) lists the topics in this clause.

**Table 6.1 -- Table of contents**

<a href="#">6.1 Introduction</a>	<a href="#">6.20 FontStyle</a>	<a href="#">6.39 ScalarInterpolator</a>
<a href="#">6.2 Anchor</a>	<a href="#">6.21 Group</a>	<a href="#">6.40 Script</a>
<a href="#">6.3 Appearance</a>	<a href="#">6.22 ImageTexture</a>	<a href="#">6.41 Shape</a>
<a href="#">6.4 AudioClip</a>	<a href="#">6.23 IndexedFaceSet</a>	<a href="#">6.42 Sound</a>
<a href="#">6.5 Background</a>	<a href="#">6.24 IndexedLineSet</a>	<a href="#">6.43 Sphere</a>
<a href="#">6.6 Billboard</a>	<a href="#">6.25 Inline</a>	<a href="#">6.44 SphereSensor</a>
<a href="#">6.7 Box</a>	<a href="#">6.26 LOD</a>	<a href="#">6.45 SpotLight</a>
<a href="#">6.8 Collision</a>	<a href="#">6.27 Material</a>	<a href="#">6.46 Switch</a>
<a href="#">6.9 Color</a>	<a href="#">6.28 MovieTexture</a>	<a href="#">6.47 Text</a>
<a href="#">6.10 ColorInterpolator</a>	<a href="#">6.29 NavigationInfo</a>	<a href="#">6.48 TextureCoordinate</a>
<a href="#">6.11 Cone</a>	<a href="#">6.30 Normal</a>	<a href="#">6.49 TextureTransform</a>
<a href="#">6.12 Coordinate</a>	<a href="#">6.31 NormalInterpolator</a>	<a href="#">6.50 TimeSensor</a>
<a href="#">6.13 CoordinateInterpolator</a>	<a href="#">6.32 OrientationInterpolator</a>	<a href="#">6.51 TouchSensor</a>
<a href="#">6.14 Cylinder</a>	<a href="#">6.33 PixelTexture</a>	<a href="#">6.52 Transform</a>
<a href="#">6.15 CylinderSensor</a>	<a href="#">6.34 PlaneSensor</a>	<a href="#">6.53 Viewpoint</a>
<a href="#">6.16 DirectionalLight</a>	<a href="#">6.35 PointLight</a>	<a href="#">6.54 VisibilitySensor</a>
<a href="#">6.17 ElevationGrid</a>	<a href="#">6.36 PointSet</a>	<a href="#">6.55 WorldInfo</a>
<a href="#">6.18 Extrusion</a>	<a href="#">6.37 PositionInterpolator</a>	
<a href="#">6.19 Fog</a>	<a href="#">6.38 ProximitySensor</a>	

In this clause, the first item in each subclass presents the public declaration for the node. This syntax is not the actual UTF-8 encoding syntax. The parts of the interface that are identical to the UTF-8 encoding syntax are in **bold**. The node declaration defines the names and types of the fields and events for the node, as well as the default values for the fields.

The node declarations also include value ranges for the node's fields and exposedFields (where appropriate). Parentheses imply that the range bound is exclusive, while brackets imply that the range value is inclusive. For example, a range of  $(-\infty, 1]$  defines the lower bound as  $-\infty$  exclusively and the upper bound as 1 inclusively.

For example, the following defines the Collision node declaration:

```
Collision {
  eventIn      MFNode   addChildren
  eventIn      MFNode   removeChildren
  exposedField MFNode   children    []
  exposedField SFBool  collide     TRUE
  field        SFVec3f  bboxCenter  0 0 0      #  $(-\infty, \infty)$ 
  field        SFVec3f  bboxSize    -1 -1 -1    #  $(0, \infty)$  or  $-1, -1, -1$ 
  field        SFNode   proxy      NULL
  eventOut     SFTime   collideTime
}
```

The fields and events contained within the node declarations are ordered as follows:

- e. eventIns, in alphabetical order;
- f. exposedFields, in alphabetical order;
- g. fields, in alphabetical order;
- h. eventOuts, in alphabetical order.



## 6.2 Anchor

```
Anchor {
  eventIn      MFNode    addChildren
  eventIn      MFNode    removeChildren
  exposedField MFNode    children      []
  exposedField SFString description    ""
  exposedField MFString parameter     []
  exposedField MFString url           []
  field        SFVec3f   bboxCenter    0 0 0      # (-∞,∞)
  field        SFVec3f   bboxSize      -1 -1 -1   # (0,∞) or -1,-1,-1
}
```

The Anchor grouping node retrieves the content of a URL when the user activates (e.g., clicks) some geometry contained within the Anchor node's children. If the URL points to a valid VRML file, that world replaces the world of which the Anchor node is a part (except when the *parameter* field, described below, alters this behaviour). If non-VRML data is retrieved, the browser shall determine how to handle that data; typically, it will be passed to an appropriate non-VRML browser.

Exactly how a user activates geometry contained by the Anchor node depends on the pointing device and is determined by the VRML browser. Typically, clicking with the pointing device will result in the new scene replacing the current scene. An Anchor node with an empty *url* does nothing when its children are chosen. A description of how multiple Anchors and pointing-device sensors are resolved on activation is contained in [4.6.7, Sensor nodes](#).

More details on the *children*, *addChildren*, and *removeChildren* fields and eventIns can be found in [4.6.5, Grouping and children nodes](#).

The *description* field in the Anchor node specifies a textual description of the Anchor node. This may be used by browser-specific user interfaces that wish to present users with more detailed information about the Anchor.

The *parameter* exposed field may be used to supply any additional information to be interpreted by the browser. Each string shall consist of "keyword=value" pairs. For example, some browsers allow the specification of a 'target' for a link to display a link in another part of an HTML document. The *parameter* field is then:

```
Anchor {
  parameter [ "target=name_of_frame" ]
  ...
}
```

An Anchor node may be used to bind the initial [Viewpoint](#) node in a world by specifying a URL ending with "#ViewpointName" where "ViewpointName" is the name of a viewpoint defined in the VRML file. For example:

```
Anchor {
  url "http://www.school.edu/vrml/someScene.wrl#OverView"
  children Shape { geometry Box {} }
}
```

specifies an anchor that loads the VRML file "someScene.wrl" and binds the initial user view to the Viewpoint node named "OverView" when the Anchor node's geometry (Box) is activated. If the named Viewpoint node is not found in the VRML file, the VRML file is loaded using the default Viewpoint node binding stack rules (see [6.53, Viewpoint](#)).

If the *url* field is specified in the form "#ViewpointName" (i.e. no file name), the Viewpoint node with the given name ("ViewpointName") in the Anchor's run-time name scope(s) shall be bound (*set\_bind* TRUE). The results are undefined if there are multiple Viewpoints with the same name in the Anchor's run-time name scope(s). The results are undefined if the Anchor node is not part of any run-time name scope or is part of more than one run-time name scope. See [4.4.6, Run-time name scope](#), for a description of run-time name scopes. See [6.53, Viewpoint](#), for the Viewpoint transition rules that specify how browsers shall interpret the transition from the old Viewpoint node to the new one. For example:

```
Anchor {
  url "#Doorway"
  children Shape { geometry Sphere {} }
}
```

binds the viewer to the viewpoint defined by the "Doorway" viewpoint in the current world when the sphere is activated. In this case, if the Viewpoint is not found, no action occurs on activation.

More details on the *url* field are contained in [4.5, VRML and the World Wide Web](#).

The *bboxCenter* and *bboxSize* fields specify a bounding box that encloses the Anchor's children. This is a hint that may be used for optimization purposes. The results are undefined if the specified bounding box is smaller than the actual bounding box of the children at any time. The default *bboxSize* value, (-1, -1, -1), implies that the bounding box is not specified and if needed shall be calculated by the browser. More details on the *bboxCenter* and *bboxSize* fields can be found in [4.6.4, Bounding boxes](#).



## 6.3 Appearance

```
Appearance {
  exposedField SFNode material          NULL
  exposedField SFNode texture           NULL
  exposedField SFNode textureTransform NULL
}
```

The Appearance node specifies the visual properties of geometry. The value for each of the fields in this node may be NULL. However, if the field is non-NULL, it shall contain one node of the appropriate type.

The *material* field, if specified, shall contain a [Material](#) node. If the *material* field is NULL or unspecified, lighting is off (all lights are ignored during rendering of the object that references this Appearance) and the unlit object colour is (1, 1, 1). Details of the VRML lighting model are in [4.14, Lighting model](#).

The *texture* field, if specified, shall contain one of the various types of texture nodes ([ImageTexture](#), [MovieTexture](#), or [PixelTexture](#)). If the texture node is NULL or the *texture* field is unspecified, the object that references this Appearance is not textured.

The *textureTransform* field, if specified, shall contain a [TextureTransform](#) node. If the *textureTransform* is NULL or unspecified, the *textureTransform* field has no effect.



## 6.4 AudioClip

```

AudioClip {
  exposedField SFString description      ""
  exposedField SFBool  loop              FALSE
  exposedField SFFloat pitch            1.0          # ( 0, ∞)
  exposedField SFTIME  startTime         0            # (-∞, ∞)
  exposedField SFTIME  stopTime          0            # (-∞, ∞)
  exposedField MFString url              [ ]
  eventOut SFTIME      duration_changed
  eventOut SFBool      isActive
}

```

An **AudioClip** node specifies audio data that can be referenced by [Sound](#) nodes.

The *description* field specifies a textual description of the audio source. A browser is not required to display the *description* field but may choose to do so in addition to playing the sound.

The *url* field specifies the URL from which the sound is loaded. Browsers shall support at least the *wavefile* format in uncompressed PCM format (see [E.\[WAV\]](#)). It is recommended that browsers also support the MIDI file type 1 sound format (see [2.\[MIDI\]](#)); MIDI files are presumed to use the General MIDI patch set. Subclause [4.5, VRML and the World Wide Web](#), contains details on the *url* field. The results are undefined when no URLs refer to supported data types

The *loop*, *startTime*, and *stopTime* exposedFields and the *isActive* eventOut, and their effects on the **AudioClip** node, are discussed in detail in [4.6.9, Time-dependent nodes](#). The "cycle" of an **AudioClip** is the length of time in seconds for one playing of the audio at the specified *pitch*.

The *pitch* field specifies a multiplier for the rate at which sampled sound is played. Values for the *pitch* field shall be greater than zero. Changing the *pitch* field affects both the pitch and playback speed of a sound. A *set\_pitch* event to an active **AudioClip** is ignored and no *pitch\_changed* eventOut is generated. If *pitch* is set to 2.0, the sound shall be played one octave higher than normal and played twice as fast. For a sampled sound, the *pitch* field alters the sampling rate at which the sound is played. The proper implementation of pitch control for MIDI (or other note sequence sound clips) is to multiply the tempo of the playback by the *pitch* value and adjust the MIDI Coarse Tune and Fine Tune controls to achieve the proper pitch change.

A *duration\_changed* event is sent whenever there is a new value for the "normal" duration of the clip. Typically, this will only occur when the current *url* in use changes and the sound data has been loaded, indicating that the clip is playing a different sound source. The duration is the length of time in seconds for one cycle of the audio for a *pitch* set to 1.0. Changing the *pitch* field will not trigger a *duration\_changed* event. A duration value of "-1" implies that the sound data has not yet loaded or the value is unavailable for some reason. A *duration\_changed* event shall be generated if the **AudioClip** node is loaded when the VRML file is read or the **AudioClip** node is added to the scene graph.

The *isActive* eventOut may be used by other nodes to determine if the clip is currently active. If an **AudioClip** is active, it shall be playing the sound corresponding to the sound time (i.e., in the sound's local time system with sample 0 at time 0):

$$t = (\text{now} - \text{startTime}) \text{ modulo } (\text{duration} / \text{pitch})$$





## 6.5 Background

```

Background {
  eventIn      SFBool    set_bind
  exposedField MFFloat   groundColor  []          # [0,π/2]
  exposedField MFColor   groundColor  []          # [0,1]
  exposedField MFString  backUrl       []
  exposedField MFString  bottomUrl    []
  exposedField MFString  frontUrl     []
  exposedField MFString  leftUrl      []
  exposedField MFString  rightUrl    []
  exposedField MFString  topUrl       []
  exposedField MFFloat   skyAngle     []          # [0,π]
  exposedField MFColor   skyColor     0 0 0       # [0,1]
  eventOut     SFBool    isBound
}

```

The Background node is used to specify a colour backdrop that simulates ground and sky, as well as a background texture, or *panorama*, that is placed behind all geometry in the scene and in front of the ground and sky. Background nodes are specified in the local coordinate system and are affected by the accumulated rotation of their ancestors as described below.

Background nodes are bindable nodes as described in [4.6.10, Bindable children nodes](#). There exists a Background stack, in which the top-most Background on the stack is the currently active Background. To move a Background to the top of the stack, a TRUE value is sent to the *set\_bind* eventIn. Once active, the Background is then bound to the browser's view. A FALSE value sent to *set\_bind* removes the Background from the stack and unbinds it from the browser's view. More detail on the bind stack is described in [4.6.10, Bindable children nodes](#).

The backdrop is conceptually a partial sphere (the ground) enclosed inside of a full sphere (the sky) in the local coordinate system with the viewer placed at the centre of the spheres. Both spheres have infinite radius and each is painted with concentric circles of interpolated colour perpendicular to the local Y-axis of the sphere. The Background node is subject to the accumulated rotations of its ancestors' transformations. Scaling and translation transformations are ignored. The sky sphere is always slightly farther away from the viewer than the ground partial sphere causing the ground to appear in front of the sky where they overlap.

The *skyColor* field specifies the colour of the sky at various angles on the sky sphere. The first value of the *skyColor* field specifies the colour of the sky at 0.0 radians representing the zenith (i.e., straight up from the viewer). The *skyAngle* field specifies the angles from the zenith in which concentric circles of colour appear. The zenith of the sphere is implicitly defined to be 0.0 radians, the natural horizon is at  $\pi/2$  radians, and the nadir (i.e., straight down from the viewer) is at  $\pi$  radians. *skyAngle* is restricted to non-decreasing values in the range  $[0.0, \pi]$ . There shall be one more *skyColor* value than there are *skyAngle* values. The first colour value is the colour at the zenith, which is not specified in the *skyAngle* field. If the last *skyAngle* is less than  $\pi$ , then the colour band between the last *skyAngle* and the nadir is clamped to the last *skyColor*. The sky colour is linearly interpolated between the specified *skyColor* values.

The *groundColor* field specifies the colour of the ground at the various angles on the ground partial sphere. The first value of the *groundColor* field specifies the colour of the ground at 0.0 radians representing the nadir (i.e., straight down from the user). The *groundColor* field specifies the angles from the nadir that the concentric circles of colour appear. The nadir of the sphere is implicitly defined at 0.0 radians. *groundColor* is restricted to non-decreasing values in the range  $[0.0, \pi/2]$ . There shall be one more *groundColor* value than there are *groundColor* values. The first colour value is for the nadir which is not specified in the *groundColor* field. If the last *groundColor* is less than  $\pi/2$ , the region between the last *groundColor* and the equator is non-existent. The ground colour is linearly interpolated between the specified *groundColor* values.

The *backUrl*, *bottomUrl*, *frontUrl*, *leftUrl*, *rightUrl*, and *topUrl* fields specify a set of images that define a background panorama between the ground/sky backdrop and the scene's geometry. The panorama consists of six images, each of which is mapped onto a face of an infinitely large cube contained within the backdrop spheres and centred in the local coordinate system. The images are applied individually to each face of the cube. On the front, back, right, and left faces of the cube, when viewed from the origin looking down the negative Z-axis with the Y-axis as the view up direction, each image is mapped onto the corresponding face with the same orientation as if the image were displayed normally in 2D (*backUrl* to back face, *frontUrl* to front face, *leftUrl* to left face, and *rightUrl* to right face). On the top face of the cube, when viewed from the origin looking along the +Y-axis with the +Z-axis as the view up direction, the *topUrl* image is mapped onto the face with the same orientation as if the image were displayed normally in 2D. On the bottom face of the box, when viewed from the origin along the negative Y-axis with the negative Z-axis as the view up direction, the *bottomUrl* image is mapped onto the face with the same orientation as if the image were displayed normally in 2D.

Figure 6.1 illustrates the Background node backdrop and background textures.

Alpha values in the panorama images (i.e., two or four component images) specify that the panorama is semi-transparent or transparent in regions, allowing the *groundColor* and *skyColor* to be visible.

See 4.6.11, [Texture maps](#), for a general description of texture maps.

Often, the *bottomUrl* and *topUrl* images will not be specified, to allow sky and ground to show. The other four images may depict surrounding mountains or other distant scenery. Browsers shall support the JPEG (see 2.[\[JPEG\]](#)) and PNG (see 2.[\[PNG\]](#)) image file formats, and in addition, may support any other image format (e.g., CGM) that can be rendered into a 2D image. Support for the GIF (see [E.\[GIF\]](#)) format is recommended (including transparency). More detail on the *url* fields can be found in [4.5, VRML and the World Wide Web](#).

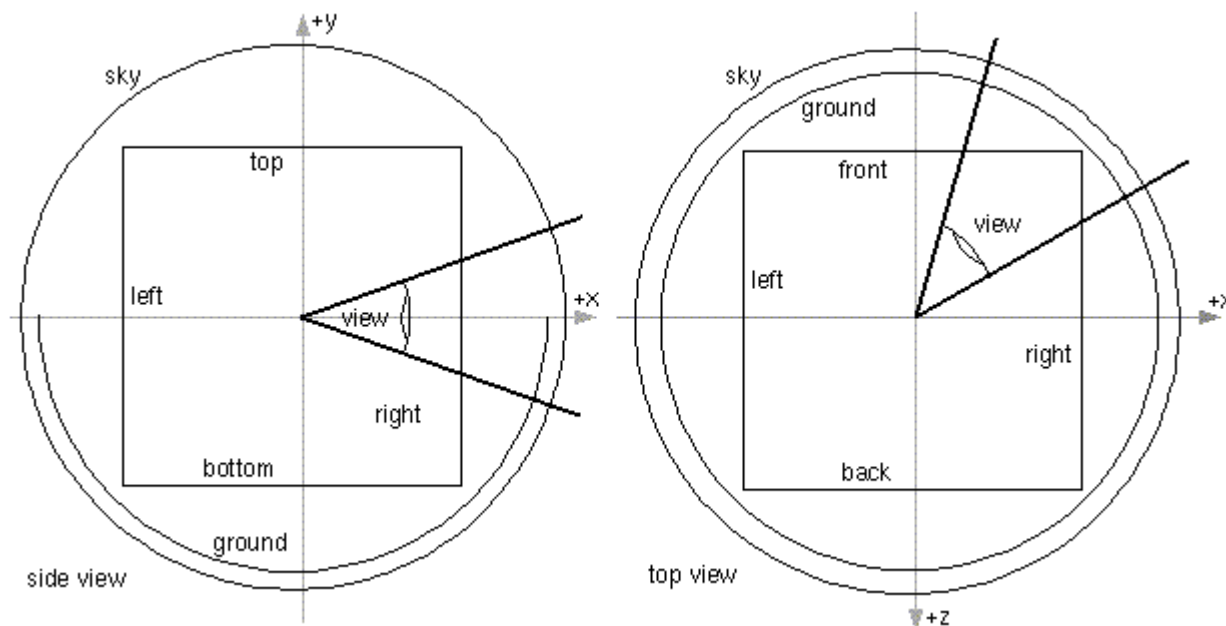


Figure 6.1 -- Background node

Panorama images may be one component (greyscale), two component (greyscale plus alpha), three component (full RGB colour), or four-component (full RGB colour plus alpha).

Ground colours, sky colours, and panoramic images do not translate with respect to the viewer, though they do rotate with respect to the viewer. That is, the viewer can never get any closer to the background, but can turn to examine all sides of the panorama cube, and can look up and down to see the concentric rings of ground and sky (if visible).

Background nodes are not affected by [Fog](#) nodes. Therefore, if a Background node is active (i.e., bound) while a Fog node is active, then the Background node will be displayed with no fogging effects. It is the author's responsibility to set the Background values to match the Fog values (e.g., ground colours fade to fog colour with distance and panorama images tinted with fog colour). Background nodes are not affected by light sources.



## 6.6 Billboard

```

Billboard {
  eventIn      MFNode  addChildren
  eventIn      MFNode  removeChildren
  exposedField SFVec3f  axisOfRotation 0 1 0      # (-∞,∞)
  exposedField MFNode   children      []
  field        SFVec3f  bboxCenter    0 0 0      # (-∞,∞)
  field        SFVec3f  bboxSize      -1 -1 -1   # (0,∞) or -1,-1,-1
}

```

The Billboard node is a grouping node which modifies its coordinate system so that the Billboard node's local Z-axis turns to point at the viewer. The Billboard node has children which may be other children nodes.

The *axisOfRotation* field specifies which axis to use to perform the rotation. This axis is defined in the local coordinate system.

When the *axisOfRotation* field is not (0, 0, 0), the following steps describe how to rotate the billboard to face the viewer:

- Compute the vector from the Billboard node's origin to the viewer's position. This vector is called the *billboard-to-viewer* vector.
- Compute the plane defined by the *axisOfRotation* and the billboard-to-viewer vector.
- Rotate the local Z-axis of the billboard into the plane from b., pivoting around the *axisOfRotation*.

When the *axisOfRotation* field is set to (0, 0, 0), the special case of *viewer-alignment* is indicated. In this case, the object rotates to keep the billboard's local Y-axis parallel with the Y-axis of the viewer. This special case is distinguished by setting the *axisOfRotation* to (0, 0, 0). The following steps describe how to align the billboard's Y-axis to the Y-axis of the viewer:

- Compute the billboard-to-viewer vector.
- Rotate the Z-axis of the billboard to be collinear with the billboard-to-viewer vector and pointing towards the viewer's position.
- Rotate the Y-axis of the billboard to be parallel and oriented in the same direction as the Y-axis of the viewer.

If the *axisOfRotation* and the billboard-to-viewer line are coincident, the plane cannot be established and the resulting rotation of the billboard is undefined. For example, if the *axisOfRotation* is set to (0,1,0) (Y-axis) and the viewer flies over the billboard and peers directly down the Y-axis, the results are undefined.

Multiple instances of Billboard nodes (DEF/USE) operate as expected: each instance rotates in its unique coordinate system to face the viewer.

Subclause [4.6.5, Grouping and children nodes](#), provides a description of the *children*, *addChildren*, and *removeChildren* fields and eventIns.

The *bbboxCenter* and *bbboxSize* fields specify a bounding box that encloses the Billboard node's children. This is a hint that may be used for optimization purposes. The results are undefined if the specified bounding box is smaller than the actual bounding box of the children at any time. A default *bbboxSize* value, (-1, -1, -1), implies that the bounding box is not specified and if needed shall be calculated by the browser. A description of the *bbboxCenter* and *bbboxSize* fields is contained in [4.6.4, Bounding boxes](#).



## 6.7 Box

```
Box {
  field      SFVec3f size 2 2 2      # (0,∞)
}
```

The Box node specifies a rectangular parallelepiped box centred at (0, 0, 0) in the local coordinate system and aligned with the local coordinate axes. By default, the box measures 2 units in each dimension, from -1 to +1. The *size* field specifies the extents of the box along the X-, Y-, and Z-axes respectively and each component value shall be greater than zero. [Figure 6.2](#) illustrates the Box node.

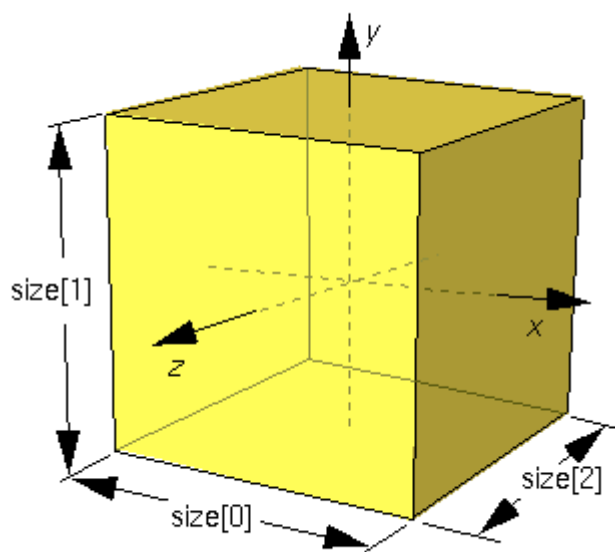


Figure 6.2 -- Box node

Textures are applied individually to each face of the box. On the front (+Z), back (-Z), right (+X), and left (-X) faces of the box, when viewed from the outside with the +Y-axis up, the texture is mapped onto each face with the same orientation as if the image were displayed normally in 2D. On the top face of the box (+Y), when viewed from above and looking down the Y-axis toward the origin with the -Z-axis as the view up direction, the texture is mapped onto the face with the same orientation as if the image were displayed normally in 2D. On the bottom face of the box (-Y), when viewed from below looking up the Y-axis toward the origin with the +Z-axis as the view up direction, the texture is mapped onto the face with the same orientation as if the image were displayed normally in 2D. [TextureTransform](#) affects the texture coordinates of the Box.

The Box node's geometry requires outside faces only. When viewed from the inside the results are undefined.



## 6.8 Collision

```
Collision {
  eventIn      MFNode  addChildren
  eventIn      MFNode  removeChildren
  exposedField MFNode  children      []
  exposedField SFBool  collide       TRUE
  field        SFVec3f  bboxCenter    0 0 0      # (-∞,∞)
  field        SFVec3f  bboxSize      -1 -1 -1    # (0,∞) or -1,-1,-1
  field        SFNode   proxy         NULL
  eventOut     SFTIME   collideTime
}
```

The Collision node is a grouping node that specifies the collision detection properties for its children (and their descendants), specifies surrogate objects that replace its children during collision detection, and sends events signalling that a collision has occurred between the avatar and the Collision node's geometry or surrogate. By default, all geometric nodes in the scene are collidable with the viewer except IndexedLineSet, PointSet, and Text. Browsers shall detect geometric collisions between the avatar (see [6.29, NavigationInfo](#)) and the scene's geometry and prevent the avatar from 'entering' the geometry. See [4.13.4, Collision detection and terrain following](#), for general information on collision detection.

If there are no Collision nodes specified in a VRML file, browsers shall detect collisions between the avatar and all objects during navigation.

Subclause [4.6.5, Grouping and children nodes](#), contains a description of the *children*, *addChildren*, and *removeChildren* fields and eventIns.

The Collision node's *collide* field enables and disables collision detection. If *collide* is set to FALSE, the children and all descendants of the Collision node shall not be checked for collision, even though they are drawn. This includes any descendent Collision nodes that have *collide* set to TRUE (i.e., setting *collide* to FALSE turns collision off for every node below it).

Collision nodes with the *collide* field set to TRUE detect the nearest collision with their descendent geometry (or proxies). When the nearest collision is detected, the collided Collision node sends the time of the collision through its *collideTime* eventOut. If a Collision node contains a child, descendant, or proxy (see below) that is a Collision node, and both Collision nodes detect that a collision has occurred, both send a *collideTime* event at the same time. A *collideTime* event shall be generated if the avatar is colliding with collidable geometry when the Collision node is read from a VRML file or inserted into the transformation hierarchy.

The *bboxCenter* and *bboxSize* fields specify a bounding box that encloses the Collision node's children. This is a hint that may be used for optimization purposes. The results are undefined if the specified bounding box is smaller than the actual bounding box of the children at any time. A default *bboxSize* value, (-1, -1, -1), implies that the bounding box is not specified and if needed shall be calculated by the browser. More details on the *bboxCenter* and *bboxSize* fields can be found in [4.6.4, Bounding boxes](#).

The collision proxy, defined in the *proxy* field, is any legal children node as described in [4.6.5, Grouping and children nodes](#), that is used as a substitute for the Collision node's children during collision detection. The proxy is used strictly for collision detection; it is not drawn.

If the value of the *collide* field is TRUE and the *proxy* field is non-NULL, the *proxy* field defines the scene on which collision detection is performed. If the *proxy* value is NULL, collision detection is performed against the *children* of the Collision node.

If *proxy* is specified, any descendent children of the Collision node are ignored during collision detection. If *children* is empty, *collide* is TRUE, and *proxy* is specified, collision detection is performed against the proxy but nothing is displayed. In this manner, invisible collision objects may be supported.

The *collideTime* eventOut generates an event specifying the time when the avatar (see [6.29, NavigationInfo](#)) makes contact with the collidable children or proxy of the Collision node. An ideal implementation computes the exact time of collision. Implementations may approximate the ideal by sampling the positions of collidable objects and the user. The [NavigationInfo](#) node contains additional information for parameters that control the avatar size.



## 6.9 Color

```
Color {
  exposedField MFColor color [] # [0,1]
}
```

This node defines a set of RGB colours to be used in the fields of another node.

Color nodes are only used to specify multiple colours for a single geometric shape, such as colours for the faces or vertices of an IndexedFaceSet. A Material node is used to specify the overall material parameters of lit geometry. If both a Material node and a Color node are specified for a geometric shape, the colours shall replace the diffuse component of the material.

RGB or RGBA textures take precedence over colours; specifying both an RGB or RGBA texture and a Color node for geometric shape will result in the Color node being ignored. Details on lighting equations can be found in [4.14, Lighting model](#).



## 6.10 ColorInterpolator

```
ColorInterpolator {
  eventIn SFFloat set_fraction # (-∞,∞)
  exposedField MFFloat key [] # (-∞,∞)
  exposedField MFColor keyValue [] # [0,1]
  eventOut SFCOLOR value_changed
}
```

This node interpolates among a list of MFColor key values to produce an SFCOLOR (RGB) *value\_changed* event. The number of colours in the *keyValue* field shall be equal to the number of keyframes in the *key* field. The *keyValue* field and *value\_changed* events are defined in RGB colour space. A linear interpolation using the value of *set\_fraction* as input is performed in HSV space (see [E.\[FOLE\]](#) for description of RGB and HSV colour spaces). The results are undefined when interpolating between two consecutive keys with complementary hues.

[4.6.8, Interpolator nodes](#), contains a detailed discussion of interpolators.



## 6.11 Cone

```

Cone {
  field SFFloat  bottomRadius 1      # (0,∞)
  field SFFloat  height 2          # (0,∞)
  field SFBool   side TRUE
  field SFBool   bottom TRUE
}

```

The Cone node specifies a cone which is centred in the local coordinate system and whose central axis is aligned with the local Y-axis. The *bottomRadius* field specifies the radius of the cone's base, and the *height* field specifies the height of the cone from the centre of the base to the apex. By default, the cone has a radius of 1.0 at the bottom and a height of 2.0, with its apex at  $y = height/2$  and its bottom at  $y = -height/2$ . Both *bottomRadius* and *height* shall be greater than zero. [Figure 6.3](#) illustrates the Cone node.

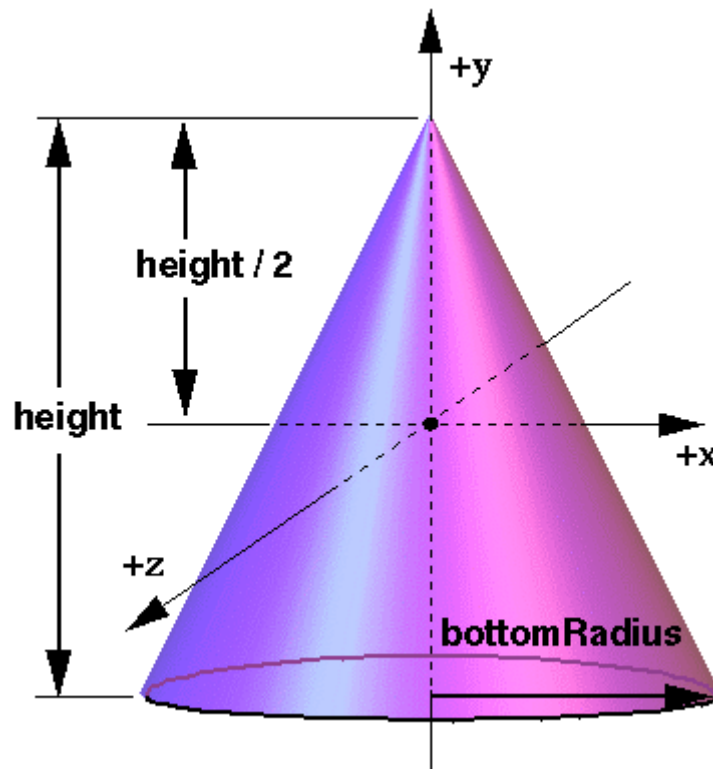


Figure 6.3 -- Cone node

The *side* field specifies whether sides of the cone are created and the *bottom* field specifies whether the bottom cap of the cone is created. A value of TRUE specifies that this part of the cone exists, while a value of FALSE specifies that this part does not exist (not rendered or eligible for collision or sensor intersection tests).

When a texture is applied to the sides of the cone, the texture wraps counterclockwise (from above) starting at the back of the cone. The texture has a vertical seam at the back in the  $X=0$  plane, from the apex  $(0, height/2, 0)$  to the point  $(0, -height/2, -bottomRadius)$ . For the bottom cap, a circle is cut out of the texture square centred at  $(0, -height/2, 0)$  with dimensions  $(2 \times bottomRadius)$  by  $(2 \times bottomRadius)$ . The bottom cap texture appears right



side up when the top of the cone is rotated towards the -Z-axis. [TextureTransform](#) affects the texture coordinates of the Cone.

The Cone geometry requires outside faces only. When viewed from the inside the results are undefined.



## 6.12 Coordinate

```
Coordinate {
  exposedField MFVec3f point [] # (-∞,∞)
}
```

This node defines a set of 3D coordinates to be used in the *coord* field of vertex-based geometry nodes including IndexedFaceSet, IndexedLineSet, and PointSet.



## 6.13 CoordinateInterpolator

```
CoordinateInterpolator {
  eventIn SFFloat set_fraction # (-∞,∞)
  exposedField MFFloat key [] # (-∞,∞)
  exposedField MFVec3f keyValue [] # (-∞,∞)
  eventOut MFVec3f value_changed
}
```

This node linearly interpolates among a list of MFVec3f values. The number of coordinates in the *keyValue* field shall be an integer multiple of the number of keyframes in the *key* field. That integer multiple defines how many coordinates will be contained in the *value\_changed* events.

[4.6.8. Interpolator nodes](#), contains a more detailed discussion of interpolators.



## 6.14 Cylinder

```
Cylinder {
  field SFBool bottom TRUE
  field SFFloat height 2 # (0,∞)
  field SFFloat radius 1 # (0,∞)
  field SFBool side TRUE
  field SFBool top TRUE
}
```

The Cylinder node specifies a capped cylinder centred at (0,0,0) in the local coordinate system and with a central axis oriented along the local Y-axis. By default, the cylinder is sized at "-1" to "+1" in all three dimensions. The *radius* field specifies the radius of the cylinder and the *height* field specifies the height of the cylinder along the central axis. Both *radius* and *height* shall be greater than zero. [Figure 6.4](#) illustrates the Cylinder node.



The cylinder has three *parts*: the *side*, the *top* ( $Y = +\text{height}/2$ ) and the *bottom* ( $Y = -\text{height}/2$ ). Each part has an associated SBoolean field that indicates whether the part exists (TRUE) or does not exist (FALSE). Parts which do not exist are not rendered and not eligible for intersection tests (e.g., collision detection or sensor activation).

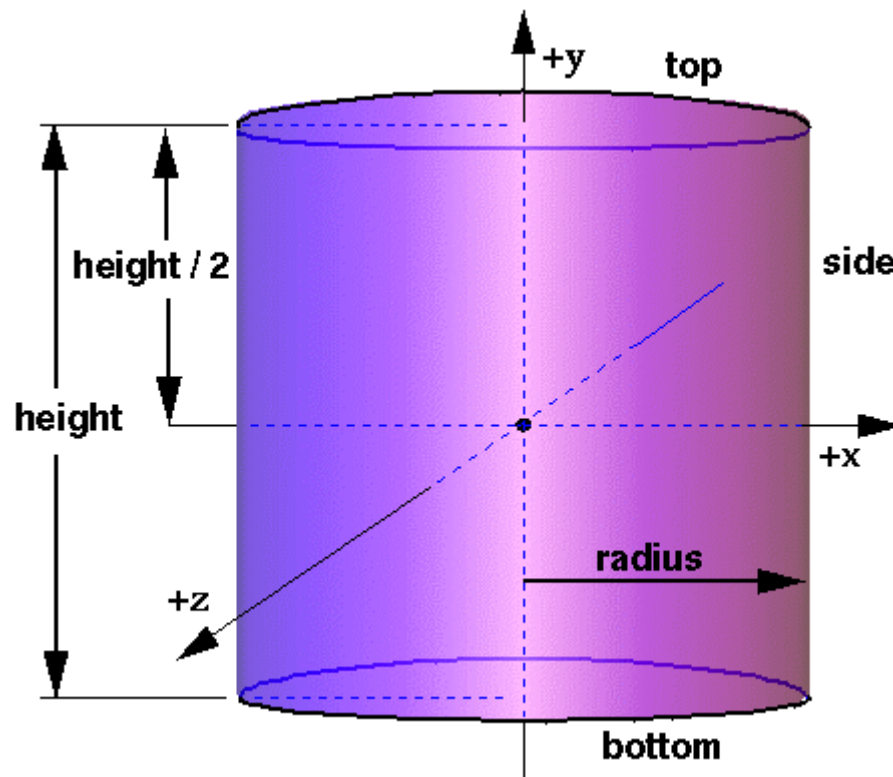


Figure 6.4 -- Cylinder node

When a texture is applied to a cylinder, it is applied differently to the sides, top, and bottom. On the sides, the texture wraps counterclockwise (from above) starting at the back of the cylinder. The texture has a vertical seam at the back, intersecting the  $X=0$  plane. For the top and bottom caps, a circle is cut out of the unit texture squares centred at  $(0, \pm \text{height}/2, 0)$  with dimensions  $2 \times \text{radius}$  by  $2 \times \text{radius}$ . The top texture appears right side up when the top of the cylinder is tilted toward the +Z-axis, and the bottom texture appears right side up when the top of the cylinder is tilted toward the -Z-axis. [TextureTransform](#) affects the texture coordinates of the Cylinder node.

The Cylinder node's geometry requires outside faces only. When viewed from the inside the results are undefined.



## 6.15 CylinderSensor

```

CylinderSensor {
  exposedField SFBool      autoOffset TRUE
  exposedField SFFloat     diskAngle 0.262      # (0, π/2)
  exposedField SFBool      enabled    TRUE
  exposedField SFFloat     maxAngle  -1         # [-2π, 2π]
  exposedField SFFloat     minAngle   0         # [-2π, 2π]
  exposedField SFFloat     offset     0         # (-∞, ∞)
  eventOut      SFBool      isActive
  eventOut      SFRotation  rotation_changed
  eventOut      SFVec3f     trackPoint_changed
}

```

The `CylinderSensor` node maps pointer motion (e.g., a mouse or wand) into a rotation on an invisible cylinder that is aligned with the Y-axis of the local coordinate system. The `CylinderSensor` uses the descendent geometry of its parent node to determine whether it is liable to generate events.

The *enabled* exposed field enables and disables the `CylinderSensor` node. If `TRUE`, the sensor reacts appropriately to user events. If `FALSE`, the sensor does not track user input or send events. If *enabled* receives a `FALSE` event and *isActive* is `TRUE`, the sensor becomes disabled and deactivated, and outputs an *isActive* `FALSE` event. If *enabled* receives a `TRUE` event the sensor is enabled and ready for user activation.

A `CylinderSensor` node generates events when the pointing device is activated while the pointer is indicating any descendent geometry nodes of the sensor's parent group. See [4.6.7.5, Activating and manipulating sensors](#), for more details on using the pointing device to activate the `CylinderSensor`.

Upon activation of the pointing device while indicating the sensor's geometry, an *isActive* `TRUE` event is sent. The initial acute angle between the bearing vector and the local Y-axis of the `CylinderSensor` node determines whether the sides of the invisible cylinder or the caps (disks) are used for manipulation. If the initial angle is less than the *diskAngle*, the geometry is treated as an infinitely large disk lying in the local  $Y=0$  plane and coincident with the initial intersection point. Dragging motion is mapped into a rotation around the local +Y-axis vector of the sensor's coordinate system. The perpendicular vector from the initial intersection point to the Y-axis defines zero rotation about the Y-axis. For each subsequent position of the bearing, a *rotation\_changed* event is sent that equals the sum of the rotation about the +Y-axis vector (from the initial intersection to the new intersection) plus the *offset* value. *trackPoint\_changed* events reflect the unclamped drag position on the surface of this disk. When the pointing device is deactivated and *autoOffset* is `TRUE`, *offset* is set to the last value of *rotation\_changed* and an *offset\_changed* event is generated. See [4.6.7.4, Drag sensors](#), for a more general description of *autoOffset* and *offset* fields.

If the initial acute angle between the bearing vector and the local Y-axis of the `CylinderSensor` node is greater than or equal to *diskAngle*, then the sensor behaves like a cylinder. The shortest distance between the point of intersection (between the bearing and the sensor's geometry) and the Y-axis of the parent group's local coordinate system determines the radius of an invisible cylinder used to map pointing device motion and marks the zero rotation value. For each subsequent position of the bearing, a *rotation\_changed* event is sent that equals the sum of the right-handed rotation from the original intersection about the +Y-axis vector plus the *offset* value. *trackPoint\_changed* events reflect the unclamped drag position on the surface of the invisible cylinder. When the pointing device is deactivated and *autoOffset* is `TRUE`, *offset* is set to the last rotation angle and an *offset\_changed* event is generated. More details are available in [4.6.7.4, Drag sensors](#).

When the sensor generates an *isActive* `TRUE` event, it grabs all further motion events from the pointing device until it is released and generates an *isActive* `FALSE` event (other pointing-device sensors shall not generate events during this time). Motion of the pointing device while *isActive* is `TRUE` is referred to as a "drag." If a 2D pointing device is in use, *isActive* events will typically reflect the state of the primary button associated with the device (i.e., *isActive* is

TRUE when the primary button is pressed and FALSE when it is released). If a 3D pointing device (e.g., a wand) is in use, *isActive* events will typically reflect whether the pointer is within or in contact with the sensor's geometry.

While the pointing device is activated, *trackPoint\_changed* and *rotation\_changed* events are output and are interpreted from pointing device motion based on the sensor's local coordinate system at the time of activation. *trackPoint\_changed* events represent the unclamped intersection points on the surface of the invisible cylinder or disk. If the initial angle results in cylinder rotation (as opposed to disk behaviour) and if the pointing device is dragged off the cylinder while activated, browsers may interpret this in a variety of ways (e.g., clamp all values to the cylinder and continuing to rotate as the point is dragged away from the cylinder). Each movement of the pointing device while *isActive* is TRUE generates *trackPoint\_changed* and *rotation\_changed* events.

The *minAngle* and *maxAngle* fields clamp *rotation\_changed* events to a range of values. If *minAngle* is greater than *maxAngle*, *rotation\_changed* events are not clamped. The *minAngle* and *maxAngle* fields are restricted to the range  $[-2\pi, 2\pi]$ .

More information about this behaviour is described in [4.6.7.3, Pointing-device sensors](#), [4.6.7.4, Drag sensors](#), and [4.6.7.5, Activating and manipulating sensors](#).



## 6.16 DirectionalLight

```
DirectionalLight {
  exposedField SFFloat  ambientIntensity  0          # [0,1]
  exposedField SFColor   color           1 1 1       # [0,1]
  exposedField SFVec3f   direction       0 0 -1      # (-∞,∞)
  exposedField SFFloat   intensity      1          # [0,1]
  exposedField SFBool    on              TRUE
}
```

The *DirectionalLight* node defines a directional light source that illuminates along rays parallel to a given 3-dimensional vector. A description of the *ambientIntensity*, *color*, *intensity*, and *on* fields is in [4.6.6, Light sources](#).

The *direction* field specifies the direction vector of the illumination emanating from the light source in the local coordinate system. Light is emitted along parallel rays from an infinite distance away. A directional light source illuminates only the objects in its enclosing parent group. The light may illuminate everything within this coordinate system, including all children and descendants of its parent group. The accumulated transformations of the parent nodes affect the light.

*DirectionalLight* nodes do not attenuate with distance. A precise description of VRML's lighting equations is contained in [4.14, Lighting model](#).



## 6.17 ElevationGrid

```

ElevationGrid {
  eventIn      MFFloat   set_height
  exposedField SFNode    color          NULL
  exposedField SFNode    normal         NULL
  exposedField SFNode    texCoord       NULL
  field        MFFloat   height          [ ]      # (-∞, ∞)
  field        SFBool    ccw             TRUE
  field        SFBool    colorPerVertex  TRUE
  field        SFFloat   creaseAngle     0        # [0, ∞)
  field        SFBool    normalPerVertex TRUE
  field        SFBool    solid           TRUE
  field        SFInt32   xDimension      0        # [0, ∞)
  field        SFFloat   xSpacing        1.0      # [0, ∞)
  field        SFInt32   zDimension      0        # [0, ∞)
  field        SFFloat   zSpacing        1.0      # [0, ∞)
}

```

The `ElevationGrid` node specifies a uniform rectangular grid of varying height in the  $Y=0$  plane of the local coordinate system. The geometry is described by a scalar array of height values that specify the height of a surface above each point of the grid.

The `xDimension` and `zDimension` fields indicate the number of elements of the grid `height` array in the X and Z directions. Both `xDimension` and `zDimension` shall be greater than or equal to zero. If either the `xDimension` or the `zDimension` is less than two, the `ElevationGrid` contains no quadrilaterals. The vertex locations for the rectangles are defined by the `height` field and the `xSpacing` and `zSpacing` fields:

- The `height` field is an `xDimension` by `zDimension` array of scalar values representing the height above the grid for each vertex.
- The `xSpacing` and `zSpacing` fields indicate the distance between vertices in the X and Z directions respectively, and shall be greater than zero.

Thus, the vertex corresponding to the point  $P[i, j]$  on the grid is placed at:

$$\begin{aligned}
 P[i, j].x &= xSpacing \times i \\
 P[i, j].y &= height[ i + j \times xDimension ] \\
 P[i, j].z &= zSpacing \times j
 \end{aligned}$$

where  $0 \leq i < xDimension$  and  $0 \leq j < zDimension$ ,  
and  $P[0,0]$  is `height[0]` units above/below the origin of the local coordinate system

The `set_height` eventIn allows the height MFFloat field to be changed to support animated `ElevationGrid` nodes.

The `color` field specifies per-vertex or per-quadrilateral colours for the `ElevationGrid` node depending on the value of `colorPerVertex`. If the `color` field is NULL, the `ElevationGrid` node is rendered with the overall attributes of the `Shape` node enclosing the `ElevationGrid` node (see [4.14, Lighting model](#)).

The `colorPerVertex` field determines whether colours specified in the `color` field are applied to each vertex or each quadrilateral of the `ElevationGrid` node. If `colorPerVertex` is FALSE and the `color` field is not NULL, the `color` field

shall specify a Color node containing at least  $(xDimension-1) \times (zDimension-1)$  colours; one for each quadrilateral, ordered as follows:

```
QuadColor[i,j] = Color[ i + j × (xDimension-1)]
```

where  $0 \leq i < xDimension-1$  and  $0 \leq j < zDimension-1$ ,  
and QuadColor[i,j] is the colour for the quadrilateral defined  
by height[i+j×xDimension], height[(i+1)+j×xDimension],  
height[(i+1)+(j+1)×xDimension] and height[i+(j+1)×xDimension]

If *colorPerVertex* is TRUE and the *color* field is not NULL, the *color* field shall specify a Color node containing at least  $xDimension \times zDimension$  colours, one for each vertex, ordered as follows:

```
VertexColor[i,j] = Color[ i + j × xDimension]
```

where  $0 \leq i < xDimension$  and  $0 \leq j < zDimension$ ,  
and VertexColor[i,j] is the colour for the vertex defined by  
height[i+j×xDimension]

The *normal* field specifies per-vertex or per-quadrilateral normals for the ElevationGrid node. If the *normal* field is NULL, the browser shall automatically generate normals, using the *creaseAngle* field to determine if and how normals are smoothed across the surface (see [4.6.3.5, Crease angle field](#)).

The *normalPerVertex* field determines whether normals are applied to each vertex or each quadrilateral of the ElevationGrid node depending on the value of *normalPerVertex*. If *normalPerVertex* is FALSE and the *normal* node is not NULL, the *normal* field shall specify a Normal node containing at least  $(xDimension-1) \times (zDimension-1)$  normals; one for each quadrilateral, ordered as follows:

```
QuadNormal[i,j] = Normal[ i + j × (xDimension-1)]
```

where  $0 \leq i < xDimension-1$  and  $0 \leq j < zDimension-1$ ,  
and QuadNormal[i,j] is the normal for the quadrilateral defined  
by height[i+j×xDimension], height[(i+1)+j×xDimension],  
height[(i+1)+(j+1)×xDimension] and height[i+(j+1)×xDimension]

If *normalPerVertex* is TRUE and the *normal* field is not NULL, the *normal* field shall specify a Normal node containing at least  $xDimension \times zDimension$  normals; one for each vertex, ordered as follows:

```
VertexNormal[i,j] = Normal[ i + j × xDimension]
```

where  $0 \leq i < xDimension$  and  $0 \leq j < zDimension$ ,  
and VertexNormal[i,j] is the normal for the vertex defined  
by height[i+j×xDimension]

The *texCoord* field specifies per-vertex texture coordinates for the ElevationGrid node. If *texCoord* is NULL, default texture coordinates are applied to the geometry. The default texture coordinates range from (0,0) at the first vertex to (1,1) at the last vertex. The S texture coordinate is aligned with the positive X-axis, and the T texture coordinate with positive Z-axis. If *texCoord* is not NULL, it shall specify a TextureCoordinate node containing at least  $(xDimension) \times (zDimension)$  texture coordinates; one for each vertex, ordered as follows:

```
VertexTexCoord[i,j] = TextureCoordinate[ i + j × xDimension]
```

where  $0 \leq i < xDimension$  and  $0 \leq j < zDimension$ ,  
and VertexTexCoord[i,j] is the texture coordinate for the vertex  
defined by height[i+j×xDimension]

The *ccw*, *solid*, and *creaseAngle* fields are described in [4.6.3, Shapes and geometry](#).

By default, the quadrilaterals are defined with a counterclockwise ordering. Hence, the Y-component of the normal is positive. Setting the *ccw* field to FALSE reverses the normal direction. Backface culling is enabled when the *solid* field is TRUE.

See [Figure 6.5](#) for a depiction of the ElevationGrid node.

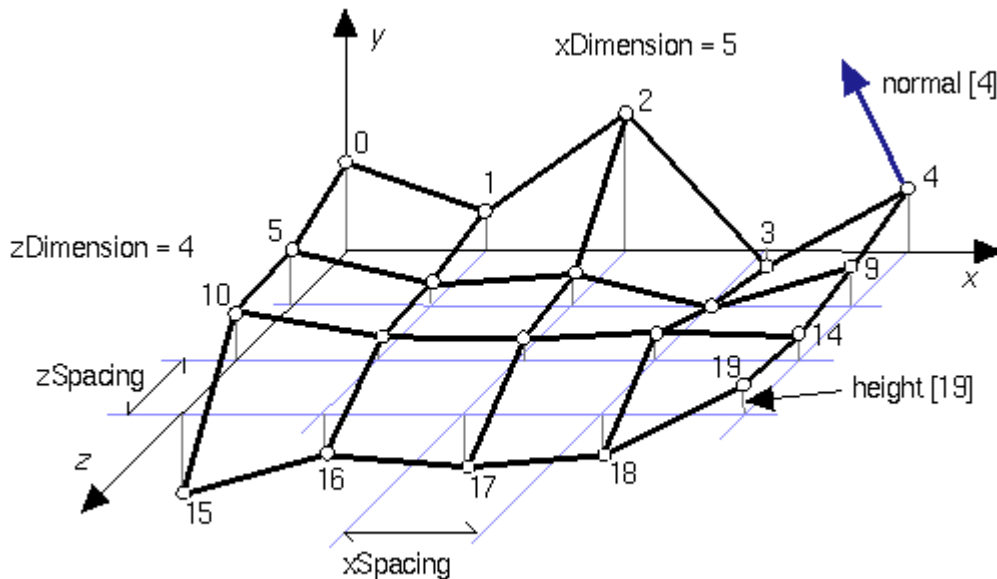


Figure 6.5 -- ElevationGrid node



## 6.18 Extrusion

```

Extrusion {
  eventIn MFVec2f      set_crossSection
  eventIn MFRotation  set_orientation
  eventIn MFVec2f      set_scale
  eventIn MFVec3f      set_spine
  field SFBool         beginCap          TRUE
  field SFBool         ccw                TRUE
  field SFBool         convex             TRUE
  field SFFloat        creaseAngle        0 # [0,∞)
  field MFVec2f        crossSection      [ 1 1, 1 -1, -1 -1,
                                         -1 1, 1 1 ] # (-∞,∞)

  field SFBool         endCap            TRUE
  field MFRotation     orientation      0 0 1 0 # [-1,1],(-∞,∞)
  field MFVec2f        scale             1 1 # (0,∞)
  field SFBool         solid             TRUE
  field MFVec3f        spine            [ 0 0 0, 0 1 0 ] # (-∞,∞)
}

```

### 6.18.1 Introduction

The Extrusion node specifies geometric shapes based on a two dimensional cross-section extruded along a three dimensional spine in the local coordinate system. The cross-section can be scaled and rotated at each spine point to produce a wide variety of shapes.

An Extrusion node is defined by:

- a. a 2D *crossSection* piecewise linear curve (described as a series of connected vertices);
- b. a 3D *spine* piecewise linear curve (also described as a series of connected vertices);
- c. a list of 2D *scale* parameters;
- d. a list of 3D *orientation* parameters.

### 6.18.2 Algorithmic description

Shapes are constructed as follows. The cross-section curve, which starts as a curve in the  $Y=0$  plane, is first scaled about the origin by the first *scale* parameter (first value scales in X, second value scales in Z). It is then translated by the first spine point and oriented using the first *orientation* parameter (as explained later). The same procedure is followed to place a cross-section at the second spine point, using the second scale and orientation values. Corresponding vertices of the first and second cross-sections are then connected, forming a quadrilateral polygon between each pair of vertices. This same procedure is then repeated for the rest of the spine points, resulting in a surface extrusion along the spine.

The final orientation of each cross-section is computed by first orienting it relative to the spine segments on either side of point at which the cross-section is placed. This is known as the *spine-aligned cross-section plane* (SCP), and is designed to provide a smooth transition from one spine segment to the next (see [Figure 6.6](#)). The SCP is then rotated by the corresponding *orientation* value. This rotation is performed relative to the SCP. For example, to impart twist in the cross-section, a rotation about the Y-axis (0 1 0) would be used. Other orientations are valid and rotate the cross-section out of the SCP.

The SCP is computed by first computing its Y-axis and Z-axis, then taking the cross product of these to determine the X-axis. These three axes are then used to determine the rotation value needed to rotate the  $Y=0$  plane to the SCP. This results in a plane that is the approximate tangent of the spine at each point, as shown in [Figure 6.6](#). First the Y-axis is determined, as follows:

Let  $n$  be the number of spines and let  $i$  be the index variable satisfying  $0 \leq i < n$ :

- a. *For all points other than the first or last:* The Y-axis for *spine*[ $i$ ] is found by normalizing the vector defined by (*spine*[ $i+1$ ] - *spine*[ $i-1$ ]).
- b. *If the spine curve is closed:* The SCP for the first and last points is the same and is found using (*spine*[1] - *spine*[ $n-2$ ]) to compute the Y-axis.
- c. *If the spine curve is not closed:* The Y-axis used for the first point is the vector from *spine*[0] to *spine*[1], and for the last it is the vector from *spine*[ $n-2$ ] to *spine*[ $n-1$ ].



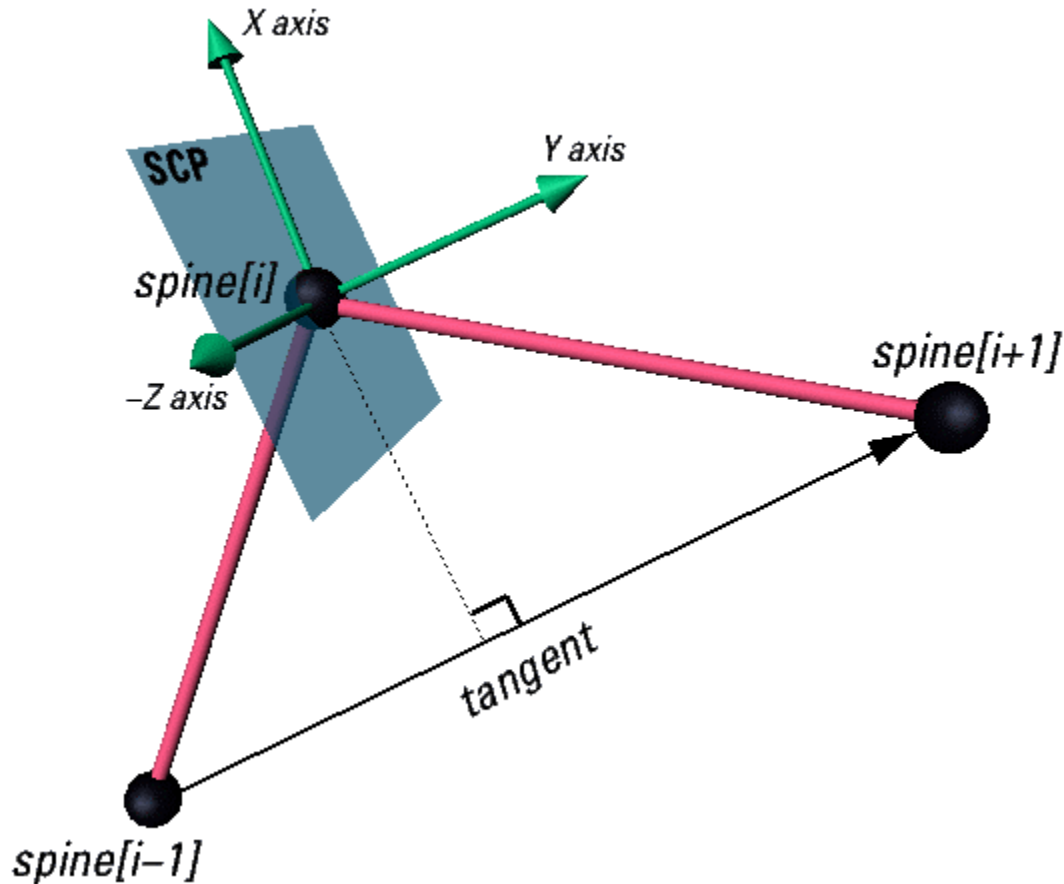


Figure 6.6 -- Spine-aligned cross-section plane at a spine point.

The Z-axis is determined as follows:

- d. For all points other than the first or last: Take the following cross-product:  

$$Z = (\text{spine}[i+1] - \text{spine}[i]) \times (\text{spine}[i-1] - \text{spine}[i])$$
- e. If the spine curve is closed: The SCP for the first and last points is the same and is found by taking the following cross-product:  

$$Z = (\text{spine}[1] - \text{spine}[0]) \times (\text{spine}[n-2] - \text{spine}[0])$$
- f. If the spine curve is not closed: The Z-axis used for the first spine point is the same as the Z-axis for spine[1]. The Z-axis used for the last spine point is the same as the Z-axis for spine[n-2].
- g. After determining the Z-axis, its dot product with the Z-axis of the previous spine point is computed. If this value is negative, the Z-axis is flipped (multiplied by -1). In most cases, this prevents small changes in the spine segment angles from flipping the cross-section 180 degrees.

Once the Y- and Z-axes have been computed, the X-axis can be calculated as their cross-product.

### 6.18.3 Special cases

If the number of *scale* or *orientation* values is greater than the number of spine points, the excess values are ignored. If they contain one value, it is applied at all spine points. The results are undefined if the number of scale or orientation values is greater than one but less than the number of spine points. The *scale* values shall be positive.



If the three points used in computing the Z-axis are collinear, the cross-product is zero so the value from the previous point is used instead.

If the Z-axis of the first point is undefined (because the spine is not closed and the first two spine segments are collinear) then the Z-axis for the first spine point with a defined Z-axis is used.

If the entire spine is collinear, the SCP is computed by finding the rotation of a vector along the positive Y-axis ( $\nu 1$ ) to the vector formed by the spine points ( $\nu 2$ ). The  $Y=0$  plane is then rotated by this value.

If two points are coincident, they both have the same SCP. If each point has a different orientation value, then the surface is constructed by connecting edges of the cross-sections as normal. This is useful in creating revolved surfaces.

*Note: combining coincident and non-coincident spine segments, as well as other combinations, can lead to interpenetrating surfaces which the extrusion algorithm makes no attempt to avoid.*

#### 6.18.4 Common cases

The following common cases are among the effects which are supported by the Extrusion node:

Surfaces of revolution:

*If the cross-section is an approximation of a circle and the spine is straight, the Extrusion is equivalent to a surface of revolution, where the scale parameters define the size of the cross-section along the spine.*

Uniform extrusions:

*If the scale is (1, 1) and the spine is straight, the cross-section is extruded uniformly without twisting or scaling along the spine. The result is a cylindrical shape with a uniform cross section.*

Bend/twist/taper objects:

*These shapes are the result of using all fields. The spine curve bends the extruded shape defined by the cross-section, the orientation parameters (given as rotations about the Y-axis) twist it around the spine, and the scale parameters taper it (by scaling about the spine).*

#### 6.18.5 Other fields

Extrusion has three *parts*: the sides, the *beginCap* (the surface at the initial end of the spine) and the *endCap* (the surface at the final end of the spine). The caps have an associated SFBool field that indicates whether each exists (TRUE) or doesn't exist (FALSE).

When the *beginCap* or *endCap* fields are specified as TRUE, planar cap surfaces will be generated regardless of whether the *crossSection* is a closed curve. If *crossSection* is not a closed curve, the caps are generated by adding a final point to *crossSection* that is equal to the initial point. An open surface can still have a cap, resulting (for a simple case) in a shape analogous to a soda can sliced in half vertically. These surfaces are generated even if *spine* is also a closed curve. If a field value is FALSE, the corresponding cap is not generated.

Texture coordinates are automatically generated by Extrusion nodes. Textures are mapped so that the coordinates range in the U direction from 0 to 1 along the *crossSection* curve (with 0 corresponding to the first point in *crossSection* and 1 to the last) and in the V direction from 0 to 1 along the *spine* curve (with 0 corresponding to the first listed *spine* point and 1 to the last). If either the *endCap* or *beginCap* exists, the *crossSection* curve is uniformly scaled and translated so that the larger dimension of the cross-section (X or Z) produces texture coordinates that range from 0.0 to 1.0. The *beginCap* and *endCap* textures' S and T directions correspond to the X and Z directions in which the *crossSection* coordinates are defined.

The browser shall automatically generate normals for the Extrusion node, using the *creaseAngle* field to determine if and how normals are smoothed across the surface. Normals for the caps are generated along the Y-axis of the SCP, with the ordering determined by viewing the cross-section from above (looking along the negative Y-axis of the SCP). By default, a *beginCap* with a counterclockwise ordering shall have a normal along the negative Y-axis. An *endCap* with a counterclockwise ordering shall have a normal along the positive Y-axis.

Each quadrilateral making up the sides of the extrusion are ordered from the bottom cross-section (the one at the earlier spine point) to the top. So, one quadrilateral has the points:

```
spine[0](crossSection[0], crossSection[1])
spine[1](crossSection[1], crossSection[0])
```

in that order. By default, normals for the sides are generated as described in [4.6.3, Shapes and geometry](#).

For instance, a circular crossSection with counter-clockwise ordering and the default spine form a cylinder. With *solid* TRUE and *ccw* TRUE, the cylinder is visible from the outside. Changing *ccw* to FALSE makes it visible from the inside.

The *ccw*, *solid*, *convex*, and *creaseAngle* fields are described in [4.6.3, Shapes and geometry](#).



## 6.19 Fog

```
Fog {
  exposedField SFColor   color           1 1 1      # [0,1]
  exposedField SFString  fogType        "LINEAR"
  exposedField SFFloat   visibilityRange 0          # [0,∞)
  eventIn      SFBool    set_bind
  eventOut     SFBool    isBound
}
```

The Fog node provides a way to simulate atmospheric effects by blending objects with the colour specified by the *color* field based on the distances of the various objects from the viewer. The distances are calculated in the coordinate space of the Fog node. The *visibilityRange* specifies the distance in metres (in the local coordinate system) at which objects are totally obscured by the fog. Objects located outside the *visibilityRange* from the viewer are drawn with a constant colour of *color*. Objects very close to the viewer are blended very little with the fog *color*. A *visibilityRange* of 0.0 disables the Fog node. The *visibilityRange* is affected by the scaling transformations of the Fog node's parents; translations and rotations have no effect on *visibilityRange*. Values of the *visibilityRange* field shall be in the range [0,∞).

Since Fog nodes are bindable children nodes (see [4.6.10, Bindable children nodes](#)), a Fog node stack exists, in which the top-most Fog node on the stack is currently active. To push a Fog node onto the top of the stack, a TRUE value is sent to the *set\_bind* eventIn. Once active, the Fog node is bound to the browser view. A FALSE value sent to *set\_bind*, pops the Fog node from the stack and unbinds it from the browser viewer. More details on the Fog node stack can be found in [4.6.10, Bindable children nodes](#).

The *fogType* field controls how much of the fog colour is blended with the object as a function of distance. If *fogType* is "LINEAR", the amount of blending is a linear function of the distance, resulting in a depth cueing effect. If *fogType* is "EXPONENTIAL," an exponential increase in blending is used, resulting in a more natural fog appearance.

The effect of fog on lighting calculations is described in [4.14, Lighting model](#).



## 6.20 FontStyle

```
FontStyle {
  field MFString  family      "SERIF"
  field SFBool    horizontal  TRUE
  field MFString  justify     "BEGIN"
  field SFString  language    ""
  field SFBool    leftToRight TRUE
  field SFFloat   size        1.0      # ( 0, ∞)
  field SFFloat   spacing     1.0      # [ 0, ∞)
  field SFString  style       "PLAIN"
  field SFBool    topToBottom TRUE
}
```

### 6.20.1 Introduction

The `FontStyle` node defines the size, family, and style used for `Text` nodes, as well as the direction of the text strings and any language-specific rendering techniques used for non-English text. See [6.47, Text](#), for a description of the `Text` node.

The *size* field specifies the nominal height, in the local coordinate system of the `Text` node, of glyphs rendered and determines the spacing of adjacent lines of text. Values of the *size* field shall be greater than zero.

The *spacing* field determines the line spacing between adjacent lines of text. The distance between the baseline of each line of text is ( $spacing \times size$ ) in the appropriate direction (depending on other fields described below). The effects of the *size* and *spacing* field are depicted in [Figure 6.7](#) (*spacing* greater than 1.0). Values of the *spacing* field shall be non-negative.

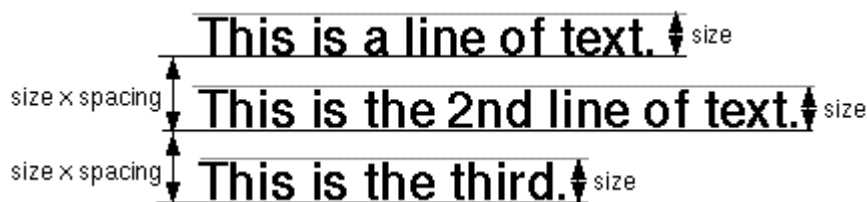


Figure 6.7 -- Text *size* and *spacing* fields

### 6.20.2 Font family and style

Font attributes are defined with the *family* and *style* fields. The browser shall map the specified font attributes to an appropriate available font as described below.

The *family* field contains a case-sensitive `MFString` value that specifies a sequence of font family names in preference order. The browser shall search the `MFString` value for the first font family name matching a supported font family. If none of the string values matches a supported font family, the default font family "`SERIF`" shall be used. All browsers shall support at least "`SERIF`" (the default) for a serif font such as Times Roman; "`SANS`" for a sans-serif font such as Helvetica; and "`TYPEWRITER`" for a fixed-pitch font such as Courier. An empty *family* value is identical to [`SERIF`].

The *style* field specifies a case-sensitive SFString value that may be **"PLAIN"** (the default) for default plain type; **"BOLD"** for boldface type; **"ITALIC"** for italic type; or **"BOLDITALIC"** for bold and italic type. An empty *style* value ("" ) is identical to **"PLAIN"**.

### 6.20.3 Direction and justification

The *horizontal*, *leftToRight*, and *topToBottom* fields indicate the direction of the text. The *horizontal* field indicates whether the text advances horizontally in its major direction (*horizontal* = TRUE, the default) or vertically in its major direction (*horizontal* = FALSE). The *leftToRight* and *topToBottom* fields indicate direction of text advance in the major (characters within a single string) and minor (successive strings) axes of layout. Which field is used for the major direction and which is used for the minor direction is determined by the *horizontal* field.

For horizontal text (*horizontal* = TRUE), characters on each line of text advance in the positive X direction if *leftToRight* is TRUE or in the negative X direction if *leftToRight* is FALSE. Characters are advanced according to their natural advance width. Each line of characters is advanced in the negative Y direction if *topToBottom* is TRUE or in the positive Y direction if *topToBottom* is FALSE. Lines are advanced by the amount of *size* × *spacing*.

For vertical text (*horizontal* = FALSE), characters on each line of text advance in the negative Y direction if *topToBottom* is TRUE or in the positive Y direction if *topToBottom* is FALSE. Characters are advanced according to their natural advance height. Each line of characters is advanced in the positive X direction if *leftToRight* is TRUE or in the negative X direction if *leftToRight* is FALSE. Lines are advanced by the amount of *size* × *spacing*.

The *justify* field determines alignment of the above text layout relative to the origin of the object coordinate system. The *justify* field is an MFString which can contain 2 values. The first value specifies alignment along the major axis and the second value specifies alignment along the minor axis, as determined by the *horizontal* field. An empty *justify* value ("" ) is equivalent to the default value. If the second string, minor alignment, is not specified, minor alignment defaults to the value **"FIRST"**. Thus, *justify* values of "", **"BEGIN"**, and [**"BEGIN"** **"FIRST"**] are equivalent.

The major alignment is along the X-axis when *horizontal* is TRUE and along the Y-axis when *horizontal* is FALSE. The minor alignment is along the Y-axis when *horizontal* is TRUE and along the X-axis when *horizontal* is FALSE. The possible values for each enumerant of the *justify* field are **"FIRST"**, **"BEGIN"**, **"MIDDLE"**, and **"END"**. For major alignment, each line of text is positioned individually according to the major alignment enumerant. For minor alignment, the block of text representing all lines together is positioned according to the minor alignment enumerant. [Tables 6.2-6.5](#) describe the behaviour in terms of which portion of the text is at the origin

**Table 6.2 -- Major Alignment, *horizontal* = TRUE**

<i>justify</i> Enumerant	<i>leftToRight</i> = TRUE	<i>leftToRight</i> = FALSE
FIRST	Left edge of each line	Right edge of each line
BEGIN	Left edge of each line	Right edge of each line
MIDDLE	Centred about X-axis	Centred about X-axis
END	Right edge of each line	Left edge of each line

Table 6.3 -- Major Alignment, *horizontal* = FALSE

<i>justify</i> Enumerant	<i>topToBottom</i> = TRUE	<i>topToBottom</i> = FALSE
FIRST	Top edge of each line	Bottom edge of each line
BEGIN	Top edge of each line	Bottom edge of each line
MIDDLE	Centred about Y-axis	Centre about Y-axis
END	Bottom edge of each line	Top edge of each line

Table 6.4 -- Minor Alignment, *horizontal* = TRUE

<i>justify</i> Enumerant	<i>topToBottom</i> = TRUE	<i>topToBottom</i> = FALSE
FIRST	Baseline of first line	Baseline of first line
BEGIN	Top edge of first line	Bottom edge of first line
MIDDLE	Centred about Y-axis	Centred about Y-axis
END	Bottom edge of last line	Top edge of last line

Table 6.5 -- Minor Alignment, *horizontal* = FALSE

<i>justify</i> Enumerant	<i>leftToRight</i> = TRUE	<i>leftToRight</i> = FALSE
FIRST	Left edge of first line	Right edge of first line
BEGIN	Left edge of first line	Right edge of first line
MIDDLE	Centred about X-axis	Centred about X-axis
END	Right edge of last line	Left edge of last line

The default minor alignment is "**FIRST**". This is a special case of minor alignment when *horizontal* is TRUE. Text starts at the baseline at the Y-axis. In all other cases, "**FIRST**" is identical to "**BEGIN**". In [Tables 6.6 and 6.7](#), each colour-coded cross-hair indicates where the X-axis and Y-axis shall be in relation to the text. [Figure 6.8](#) describes the symbols used in [Tables 6.6 and 6.7](#).

<b>Key</b>			
⊕	minor = "FIRST"	+	minor = "BEGIN"
⊞	minor = "MIDDLE"	*	minor = "END"

Figure 6.8 -- Key for Tables 6.6 and 6.7

Table 6.6 -- *horizontal = TRUE*

		major = "BEGIN" or "FIRST"		major = "MIDDLE"		major = "END"	
		leftToRight		leftToRight		leftToRight	
		TRUE	FALSE	TRUE	FALSE	TRUE	FALSE
topToBottom	TRUE	+ ⊕ Read ⊞ some text * today!	daeR ⊞ txet emos !yadot *	+ ⊕ Read ⊞ some text * today!	+ ⊕ daeR ⊞ txet emos !yadot *	+ ⊕ Read ⊞ some text * today!	+ ⊕ daeR ⊞ txet emos !yadot *
	FALSE	* today! ⊞ some text ⊕ Read	!yadot * txet emos ⊞ daeR ⊕	* today! ⊞ some text ⊕ Read	* !yadot ⊞ txet emos ⊕ daeR	* today! ⊞ some text ⊕ Read	* !yadot ⊞ txet emos ⊕ daeR

Note: The "FIRST" minor axis marker ⊕ is offset from the "BEGIN" minor axis marker + in cases that they are coincident for presentation purposes only.

Table 6.7 -- *horizontal = FALSE*

		major = "BEGIN" or "FIRST"		major = "MIDDLE"		major = "END"	
		leftToRight		leftToRight		leftToRight	
		TRUE	FALSE	TRUE	FALSE	TRUE	FALSE
topToBottom	TRUE	⊕+ R ⊕ t * e o o a m d d e a t ! e x t	* t ⊕ R ⊕+ o o e d m a a e d y ! t e x t	s o R e e t ⊕+ a ⊕ d * d e e y ! t	s o t m e R * d ⊕ e a ⊕+ a y ! t x t	s o m e t o R t d e e a a x y ⊕+ d ⊕ t ! *	s o m t e o d t R a e e y x a ! ⊕ t d ⊕+
	FALSE	t x e t ! d e a a m d e o o ⊕+ R ⊕ t *	t x e ! t y a e d d m a o o e * t ⊕ R ⊕+	t x d e t ! y a ⊕+ a ⊕ d * R e e d o o t s	t x ! e t d * a d ⊕ e a ⊕+ o m R t o s	⊕+ d ⊕ t ! * a x y e e a R t d e t m o s	* ! ⊕ t d ⊕+ y x a a e e d t R o t e m o s

Note: In every case, the "FIRST" minor axis marker ⊕ is coincident with the "BEGIN" minor axis marker + (and is offset for presentation purposes only).

6.20.4 Language

The *language* field specifies the context of the language for the text string. Due to the multilingual nature of the ISO/IEC 10646-1:1993, the *language* field is needed to provide a proper language attribute of the text string. The format is based on RFC 1766: language[\_territory] 2.[1766]. The value for the language tag is based on ISO 639:1988 (e.g., 'zh' for Chinese, 'jp' for Japanese, and 'sc' for Swedish.) The territory tag is based on ISO 3166:1993 country codes (e.g., 'TW' for Taiwan and 'CN' for China for the 'zh' Chinese language tag). If the *language* field is empty (""), local language bindings are used.

See 2, Normative references, for more information on RFC 1766 (2.[1766]), ISO/IEC 10646:1993 (2.[UTF8]), ISO/IEC 639:1998 (2.[I639]), and ISO 3166:1993 (2.[I3166]).



## 6.21 Group

```

Group {
  eventIn      MFNode  addChildren
  eventIn      MFNode  removeChildren
  exposedField MFNode  children      []
  field        SFVec3f bboxCenter    0 0 0      # (-∞,∞)
  field        SFVec3f bboxSize      -1 -1 -1   # (0,∞) or -1,-1,-1
}

```

A Group node contains children nodes without introducing a new transformation. It is equivalent to a Transform node containing an identity transform.

More details on the *children*, *addChildren*, and *removeChildren* fields and eventIns can be found in [4.6.5, Grouping and children nodes](#).

The *bboxCenter* and *bboxSize* fields specify a bounding box that encloses the Group node's children. This is a hint that may be used for optimization purposes. The results are undefined if the specified bounding box is smaller than the actual bounding box of the children at any time. A default *bboxSize* value, (-1, -1, -1), implies that the bounding box is not specified and, if needed, is calculated by the browser. A description of the *bboxCenter* and *bboxSize* fields is contained in [4.6.4, Bounding boxes](#).



## 6.22 ImageTexture

```

ImageTexture {
  exposedField MFString url          []
  field        SFBool   repeatS      TRUE
  field        SFBool   repeatT      TRUE
}

```

The ImageTexture node defines a texture map by specifying an image file and general parameters for mapping to geometry. Texture maps are defined in a 2D coordinate system (s, t) that ranges from [0.0, 1.0] in both directions. The bottom edge of the image corresponds to the S-axis of the texture map, and left edge of the image corresponds to the T-axis of the texture map. The lower-left pixel of the image corresponds to s=0, t=0, and the top-right pixel of the image corresponds to s=1, t=1. These relationships are depicted in [Figure 6.9](#).



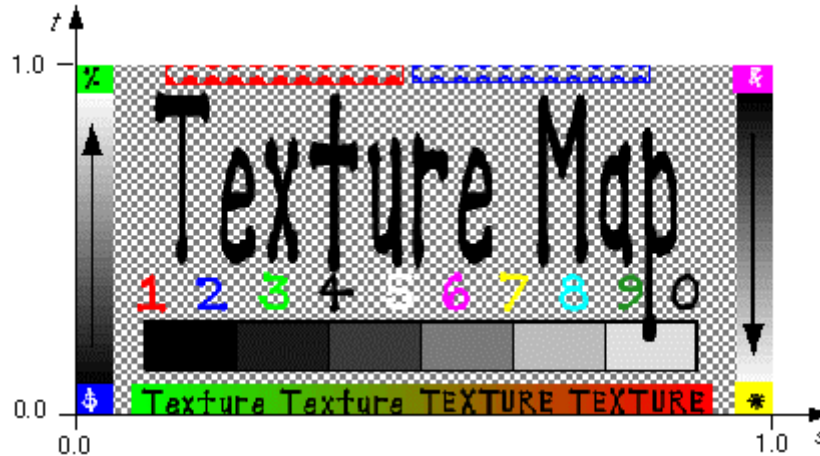


Figure 6.9 -- Texture map coordinate system

The texture is read from the URL specified by the *url* field. When the *url* field contains no values ([ ]), texturing is disabled. Browsers shall support the JPEG (see [2. \[JPEG\]](#)) and PNG (see [2. \[PNG\]](#)) image file formats. In addition, browsers may support other image formats (e.g. CGM, [2. \[CGM\]](#)) which can be rendered into a 2D image. Support for the GIF format (see [E. \[GIF\]](#)) is also recommended (including transparency). Details on the *url* field can be found in [4.5, VRML and the World Wide Web](#).

See [4.6.11, Texture maps](#), for a general description of texture maps.

See [4.14, Lighting model](#), for a description of lighting equations and the interaction between textures, materials, and geometry appearance.

The *repeatS* and *repeatT* fields specify how the texture wraps in the S and T directions. If *repeatS* is TRUE (the default), the texture map is repeated outside the [0.0, 1.0] texture coordinate range in the S direction so that it fills the shape. If *repeatS* is FALSE, the texture coordinates are clamped in the S direction to lie within the [0.0, 1.0] range. The *repeatT* field is analogous to the *repeatS* field.



## 6.23 IndexedFaceSet

```

IndexedFaceSet {
  eventIn      MFInt32  set_colorIndex
  eventIn      MFInt32  set_coordIndex
  eventIn      MFInt32  set_normalIndex
  eventIn      MFInt32  set_texCoordIndex
  exposedField SFNode   color           NULL
  exposedField SFNode   coord          NULL
  exposedField SFNode   normal         NULL
  exposedField SFNode   texCoord       NULL
  field        SFBool   ccw           TRUE
  field        MFInt32  colorIndex     []          # [-1,∞)
  field        SFBool   colorPerVertex TRUE
  field        SFBool   convex        TRUE
  field        MFInt32  coordIndex     []          # [-1,∞)
  field        SFFloat  creaseAngle    0          # [0,∞)
  field        MFInt32  normalIndex    []          # [-1,∞)
  field        SFBool   normalPerVertex TRUE
  field        SFBool   solid         TRUE
  field        MFInt32  texCoordIndex  []          # [-1,∞)
}

```

The `IndexedFaceSet` node represents a 3D shape formed by constructing faces (polygons) from vertices listed in the `coord` field. The `coord` field contains a `Coordinate` node that defines the 3D vertices referenced by the `coordIndex` field. `IndexedFaceSet` uses the indices in its `coordIndex` field to specify the polygonal faces by indexing into the coordinates in the `Coordinate` node. An index of "-1" indicates that the current face has ended and the next one begins. The last face may be (but does not have to be) followed by a "-1" index. If the greatest index in the `coordIndex` field is N, the `Coordinate` node shall contain N+1 coordinates (indexed as 0 to N). Each face of the `IndexedFaceSet` shall have:

- a. at least three non-coincident vertices;
- b. vertices that define a planar polygon;
- c. vertices that define a non-self-intersecting polygon.

Otherwise, The results are undefined.

The `IndexedFaceSet` node is specified in the local coordinate system and is affected by the transformations of its ancestors.

Descriptions of the `coord`, `normal`, and `texCoord` fields are provided in the [Coordinate](#), [Normal](#), and [TextureCoordinate](#) nodes, respectively.

Details on lighting equations and the interaction between `color` field, `normal` field, textures, materials, and geometries are provided in [4.14, Lighting model](#).

If the `color` field is not `NULL`, it shall contain a `Color` node whose colours are applied to the vertices or faces of the `IndexedFaceSet` as follows:

- d. If *colorPerVertex* is FALSE, colours are applied to each face, as follows:
1. If the *colorIndex* field is not empty, then one colour is used for each face of the IndexedFaceSet. There shall be at least as many indices in the *colorIndex* field as there are faces in the IndexedFaceSet. If the greatest index in the *colorIndex* field is N, then there shall be N+1 colours in the Color node. The *colorIndex* field shall not contain any negative entries.
  2. If the *colorIndex* field is empty, then the colours in the Color node are applied to each face of the IndexedFaceSet in order. There shall be at least as many colours in the Color node as there are faces.
- e. If *colorPerVertex* is TRUE, colours are applied to each vertex, as follows:
1. If the *colorIndex* field is not empty, then colours are applied to each vertex of the IndexedFaceSet in exactly the same manner that the *coordIndex* field is used to choose coordinates for each vertex from the Coordinate node. The *colorIndex* field shall contain at least as many indices as the *coordIndex* field, and shall contain end-of-face markers (-1) in exactly the same places as the *coordIndex* field. If the greatest index in the *colorIndex* field is N, then there shall be N+1 colours in the Color node.
  2. If the *colorIndex* field is empty, then the *coordIndex* field is used to choose colours from the Color node. If the greatest index in the *coordIndex* field is N, then there shall be N+1 colours in the Color node.

If the *color* field is NULL, the geometry shall be rendered normally using the Material and texture defined in the Appearance node (see [4.14, Lighting model](#), for details).

If the *normal* field is not NULL, it shall contain a Normal node whose normals are applied to the vertices or faces of the IndexedFaceSet in a manner exactly equivalent to that described above for applying colours to vertices/faces (where *normalPerVertex* corresponds to *colorPerVertex* and *normalIndex* corresponds to *colorIndex*). If the *normal* field is NULL, the browser shall automatically generate normals, using *creaseAngle* to determine if and how normals are smoothed across shared vertices (see [4.6.3.5, Crease angle field](#)).

If the *texCoord* field is not NULL, it shall contain a [TextureCoordinate](#) node. The texture coordinates in that node are applied to the vertices of the IndexedFaceSet as follows:

- f. If the *texCoordIndex* field is not empty, then it is used to choose texture coordinates for each vertex of the IndexedFaceSet in exactly the same manner that the *coordIndex* field is used to choose coordinates for each vertex from the Coordinate node. The *texCoordIndex* field shall contain at least as many indices as the *coordIndex* field, and shall contain end-of-face markers (-1) in exactly the same places as the *coordIndex* field. If the greatest index in the *texCoordIndex* field is N, then there shall be N+1 texture coordinates in the TextureCoordinate node.
- g. If the *texCoordIndex* field is empty, then the *coordIndex* array is used to choose texture coordinates from the TextureCoordinate node. If the greatest index in the *coordIndex* field is N, then there shall be N+1 texture coordinates in the TextureCoordinate node.

If the *texCoord* field is NULL, a default texture coordinate mapping is calculated using the local coordinate system bounding box of the shape. The longest dimension of the bounding box defines the S coordinates, and the next longest defines the T coordinates. If two or all three dimensions of the bounding box are equal, ties shall be broken by choosing the X, Y, or Z dimension in that order of preference. The value of the S coordinate ranges from 0 to 1, from one end of the bounding box to the other. The T coordinate ranges between 0 and the ratio of the second greatest dimension of the bounding box to the greatest dimension. [Figure 6.10](#) illustrates the default texture coordinates for a simple box shaped IndexedFaceSet with an X dimension twice as large as the Z dimension and four times as large as the Y dimension. [Figure 6.11](#) illustrates the original texture image used on the IndexedFaceSet used in [Figure 6.10](#).





## 6.24 IndexedLineSet

```

IndexedLineSet {
  eventIn      MFInt32  set_colorIndex
  eventIn      MFInt32  set_coordIndex
  exposedField SFNode   color           NULL
  exposedField SFNode   coord          NULL
  field        MFInt32  colorIndex      [ ]      # [-1, ∞)
  field        SFBool   colorPerVertex  TRUE
  field        MFInt32  coordIndex      [ ]      # [-1, ∞)
}

```

The `IndexedLineSet` node represents a 3D geometry formed by constructing polylines from 3D vertices specified in the `coord` field. `IndexedLineSet` uses the indices in its `coordIndex` field to specify the polylines by connecting vertices from the `coord` field. An index of "-1" indicates that the current polyline has ended and the next one begins. The last polyline may be (but does not have to be) followed by a "-1". `IndexedLineSet` is specified in the local coordinate system and is affected by the transformations of its ancestors.

The `coord` field specifies the 3D vertices of the line set and contains a [Coordinate](#) node.

Lines are not lit, are not texture-mapped, and do not participate in collision detection. The width of lines is implementation dependent and each line segment is solid (i.e., not dashed).

If the `color` field is not `NULL`, it shall contain a `Color` node. The colours are applied to the line(s) as follows:

- a. If `colorPerVertex` is `FALSE`:
  1. If the `colorIndex` field is not empty, one colour is used for each polyline of the `IndexedLineSet`. There shall be at least as many indices in the `colorIndex` field as there are polylines in the `IndexedLineSet`. If the greatest index in the `colorIndex` field is  $N$ , there shall be  $N+1$  colours in the `Color` node. The `colorIndex` field shall not contain any negative entries.
  2. If the `colorIndex` field is empty, the colours from the `Color` node are applied to each polyline of the `IndexedLineSet` in order. There shall be at least as many colours in the `Color` node as there are polylines.
- b. If `colorPerVertex` is `TRUE`:
  1. If the `colorIndex` field is not empty, colours are applied to each vertex of the `IndexedLineSet` in exactly the same manner that the `coordIndex` field is used to supply coordinates for each vertex from the `Coordinate` node. The `colorIndex` field shall contain at least as many indices as the `coordIndex` field and shall contain end-of-polyline markers (-1) in exactly the same places as the `coordIndex` field. If the greatest index in the `colorIndex` field is  $N$ , there shall be  $N+1$  colours in the `Color` node.
  2. If the `colorIndex` field is empty, the `coordIndex` field is used to choose colours from the `Color` node. If the greatest index in the `coordIndex` field is  $N$ , there shall be  $N+1$  colours in the `Color` node.

If the `color` field is `NULL` and there is a `Material` defined for the `Appearance` affecting this `IndexedLineSet`, the `emissiveColor` of the `Material` shall be used to draw the lines. Details on lighting equations as they affect `IndexedLineSet` nodes are described in [4.14, Lighting model](#).



## 6.25 Inline

```

Inline {
  exposedField MFString url          [ ]
  field          SFVec3f  bboxCenter 0 0 0    # (-∞,∞)
  field          SFVec3f  bboxSize   -1 -1 -1  # (0,∞) or -1,-1,-1
}

```

The **Inline** node is a grouping node that reads its children data from a location in the World Wide Web. Exactly when its children are read and displayed is not defined (e.g. reading the children may be delayed until the **Inline** node's bounding box is visible to the viewer). The *url* field specifies the URL containing the children. An **Inline** node with an empty URL does nothing.

Each specified URL shall refer to a valid VRML file that contains a list of children nodes, prototypes, and routes at the top level as described in [4.6.5, Grouping and children nodes](#). The results are undefined if the URL refers to a file that is not VRML or if the VRML file contains non-children nodes at the top level.

If multiple URLs are specified, the browser may display a URL of a lower preference VRML file while it is obtaining, or if it is unable to obtain, the higher preference VRML file. Details on the *url* field and preference order can be found in [4.5, VRML and the World Wide Web](#).

The results are undefined if the contents of the URL change after it has been loaded.

The *bboxCenter* and *bboxSize* fields specify a bounding box that encloses the **Inline** node's children. This is a hint that may be used for optimization purposes. The results are undefined if the specified bounding box is smaller than the actual bounding box of the children at any time. A default *bboxSize* value, (-1, -1, -1), implies that the bounding box is not specified and if needed shall be calculated by the browser. A description of the *bboxCenter* and *bboxSize* fields is in [4.6.4, Bounding boxes](#).



## 6.26 LOD

```

LOD {
  exposedField MFNode  level         [ ]
  field          SFVec3f center       0 0 0    # (-∞,∞)
  field          MFFloat range        [ ]      # (0,∞)
}

```

The **LOD** node specifies various levels of detail or complexity for a given object, and provides hints allowing browsers to automatically choose the appropriate version of the object based on the distance from the user. The *level* field contains a list of nodes that represent the same object or objects at varying levels of detail, ordered from highest level of detail to the lowest level of detail. The *range* field specifies the ideal distances at which to switch between the levels. Subclause [4.6.5, Grouping and children nodes](#), contains details on the types of nodes that are legal values for *level*.

The *center* field is a translation offset in the local coordinate system that specifies the centre of the **LOD** node for distance calculations.

The number of nodes in the *level* field shall exceed the number of values in the *range* field by one (i.e., N+1 *level* values for N *range* values). The *range* field contains monotonic increasing values that shall be greater than zero. In

order to calculate which level to display, first the distance is calculated from the viewer's location, transformed into the local coordinate system of the LOD node (including any scaling transformations), to the *center* point of the LOD node. Then, the LOD node evaluates the step function  $L(d)$  to choose a level for a given value of  $d$  (where  $d$  is the distance from the viewer position to the centre of the LOD node).

Let  $n$  ranges,  $R_0, R_1, R_2, \dots, R_{n-1}$ , partition the domain  $(0, +infinity)$  into  $n+1$  subintervals given by  $(0, R_0), [R_0, R_1) \dots, [R_{n-1}, +infinity)$ . Also, let  $n$  levels  $L_0, L_1, L_2, \dots, L_{n-1}$  be the values of the step function  $L(d)$ . The level node,  $L(d)$ , for a given distance  $d$  is defined as follows:

$$L(d) = \begin{cases} L_0, & \text{if } d < R_0, \\ L_{i+1}, & \text{if } R_i \leq d < R_{i+1}, \text{ for } -1 < i < n-1, \\ L_{n-1}, & \text{if } d \geq R_{n-1}. \end{cases}$$

Specifying too few levels will result in the last level being used repeatedly for the lowest levels of detail. If more levels than ranges are specified, the extra levels are ignored. An empty range field is an exception to this rule. This case is a hint to the browser that it may choose a level automatically to maintain a constant display rate. Each value in the *range* field shall be greater than the previous value.

LOD nodes are evaluated top-down in the scene graph. Only the descendants of the currently selected level are rendered. All nodes under an LOD node continue to receive and send events regardless of which LOD node's *level* is active. For example, if an active TimeSensor node is contained within an inactive level of an LOD node, the TimeSensor node sends events regardless of the LOD node's state.



## 6.27 Material

```
Material {
  exposedField SFFloat ambientIntensity 0.2 # [0,1]
  exposedField SFColor diffuseColor 0.8 0.8 0.8 # [0,1]
  exposedField SFColor emissiveColor 0 0 0 # [0,1]
  exposedField SFFloat shininess 0.2 # [0,1]
  exposedField SFColor specularColor 0 0 0 # [0,1]
  exposedField SFFloat transparency 0 # [0,1]
}
```

The Material node specifies surface material properties for associated geometry nodes and is used by the VRML lighting equations during rendering. Subclause [4.14, Lighting model](#), contains a detailed description of the VRML lighting model equations.

All of the fields in the Material node range from 0.0 to 1.0.

The fields in the Material node determine how light reflects off an object to create colour:

- The *ambientIntensity* field specifies how much ambient light from light sources this surface shall reflect. Ambient light is omnidirectional and depends only on the number of light sources, not their positions with respect to the surface. Ambient colour is calculated as  $ambientIntensity \times diffuseColor$ .
- The *diffuseColor* field reflects all VRML light sources depending on the angle of the surface with respect to the light source. The more directly the surface faces the light, the more diffuse light reflects.
- The *emissiveColor* field models "glowing" objects. This can be useful for displaying pre-lit models (where the light energy of the room is computed explicitly), or for displaying scientific data.
- The *specularColor* and *shininess* fields determine the specular highlights (e.g., the shiny spots on an apple). When the angle from the light to the surface is close to the angle from the surface to the viewer, the



*specularColor* is added to the diffuse and ambient colour calculations. Lower shininess values produce soft glows, while higher values result in sharper, smaller highlights.

- e. The *transparency* field specifies how "clear" an object is, with 1.0 being completely transparent, and 0.0 completely opaque.



## 6.28 MovieTexture

```

MovieTexture {
  exposedField SFBool   loop           FALSE
  exposedField SFFloat  speed          1.0      # (-∞, ∞)
  exposedField STime    startTime       0        # (-∞, ∞)
  exposedField STime    stopTime        0        # (-∞, ∞)
  exposedField MFString url            []
  field SFBool          repeats         TRUE
  field SFBool          repeatT        TRUE
  eventOut STime        duration_changed
  eventOut SFBool      isActive
}

```

The **MovieTexture** node defines a time dependent texture map (contained in a movie file) and parameters for controlling the movie and the texture mapping. A **MovieTexture** node can also be used as the source of sound data for a **Sound** node. In this special case, the **MovieTexture** node is not used for rendering.

Texture maps are defined in a 2D coordinate system (s, t) that ranges from 0.0 to 1.0 in both directions. The bottom edge of the image corresponds to the S-axis of the texture map, and left edge of the image corresponds to the T-axis of the texture map. The lower-left pixel of the image corresponds to s=0.0, t=0.0, and the top-right pixel of the image corresponds to s=1.0, t=1.0. [Figure 6.12](#) depicts the texture map coordinate system of the **MovieTexture**.

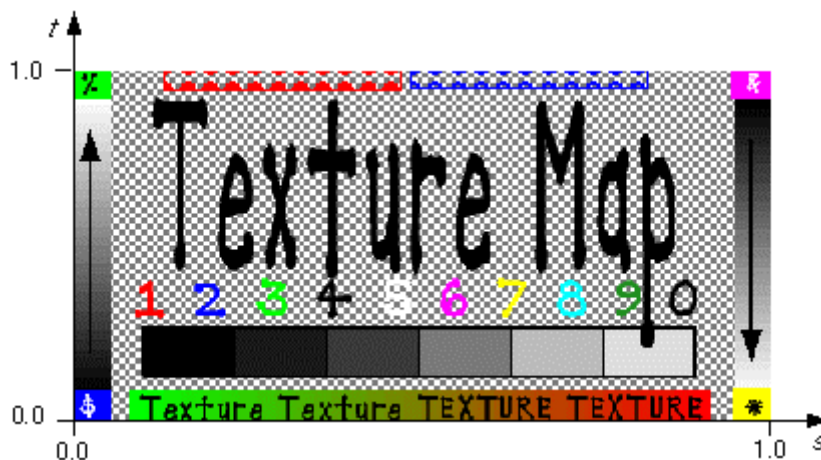


Figure 6.12 -- **MovieTexture** node coordinate system

The *url* field that defines the movie data shall support MPEG1-Systems (audio and video) or MPEG1-Video (video-only) movie file formats [2.\[MPEG\]](#). Details on the *url* field can be found in [4.5, VRML and the World Wide Web](#).

**MovieTexture** nodes can be referenced by an **Appearance** node's *texture* field (as a movie texture) and by a **Sound** node's *source* field (as an audio source only).



See [4.6.11, Texture maps](#), for a general description of texture maps.

[4.14, Lighting model](#), contains details on lighting equations and the interaction between textures, materials, and geometries.

As soon as the movie is loaded, a *duration\_changed* eventOut is sent. This indicates the duration of the movie in seconds. This eventOut value can be read (for instance, by a Script node) to determine the duration of a movie. A value of "-1" implies the movie has not yet loaded or the value is unavailable for some reason.

The *loop*, *startTime*, and *stopTime* exposedFields and the *isActive* eventOut, and their effects on the MovieTexture node, are discussed in detail in the [4.6.9, Time-dependent nodes](#), section. The cycle of a MovieTexture node is the length of time in seconds for one playing of the movie at the specified *speed*.

The *speed* exposedField indicates how fast the movie shall be played. A *speed* of 2 indicates the movie plays twice as fast. The *duration\_changed* output is not affected by the *speed* exposedField. *set\_speed* events are ignored while the movie is playing. A negative *speed* implies that the movie will play backwards.

If a MovieTexture node is inactive when the movie is first loaded, frame 0 of the movie texture is displayed if *speed* is non-negative or the last frame of the movie texture is shown if *speed* is negative (see [4.11.3, Discrete and continuous changes](#)). A MovieTexture node shall display frame 0 if *speed* = 0. For positive values of *speed*, an active MovieTexture node displays the frame at movie time *t* as follows (i.e., in the movie's local time system with frame 0 at time 0 with *speed* = 1):

$$t = (\text{now} - \text{startTime}) \text{ modulo } (\text{duration}/\text{speed})$$

If *speed* is negative, the MovieTexture node displays the frame at movie time:

$$t = \text{duration} - ((\text{now} - \text{startTime}) \text{ modulo } |\text{duration}/\text{speed}|)$$

When a MovieTexture node becomes inactive, the frame corresponding to the time at which the MovieTexture became inactive will remain as the texture.



## 6.29 NavigationInfo

```
NavigationInfo {
  eventIn      SFBool   set_bind
  exposedField MFFloat  avatarSize   [0.25, 1.6, 0.75] # [0,∞)
  exposedField SFBool   headlight    TRUE
  exposedField SFFloat  speed        1.0                # [0,∞)
  exposedField MFString type         ["WALK", "ANY"]
  exposedField SFFloat  visibilityLimit 0.0                # [0,∞)
  eventOut     SFBool   isBound
}
```

The NavigationInfo node contains information describing the physical characteristics of the viewer's avatar and viewing model. NavigationInfo node is a bindable node (see [4.6.10, Bindable children nodes](#)). Thus, there exists a NavigationInfo node stack in which the top-most NavigationInfo node on the stack is the currently bound NavigationInfo node. The current NavigationInfo node is considered to be a child of the current Viewpoint node regardless of where it is initially located in the VRML file. Whenever the current Viewpoint nodes changes, the current NavigationInfo node shall be re-parented to it by the browser. Whenever the current NavigationInfo node changes, the new NavigationInfo node shall be re-parented to the current Viewpoint node by the browser.

If a TRUE value is sent to the *set\_bind* eventIn of a NavigationInfo node, the node is pushed onto the top of the NavigationInfo node stack. When a NavigationInfo node is bound, the browser uses the fields of the NavigationInfo

node to set the navigation controls of its user interface and the `NavigationInfo` node is conceptually re-parented under the currently bound `Viewpoint` node. All subsequent scaling changes to the current [Viewpoint](#) node's coordinate system automatically change aspects (see below) of the `NavigationInfo` node values used in the browser (e.g., scale changes to any ancestors' transformations). A `FALSE` value sent to `set_bind` pops the `NavigationInfo` node from the stack, results in an `isBound FALSE` event, and pops to the next entry in the stack which shall be re-parented to the current `Viewpoint` node. [4.6.10, Bindable children nodes](#), has more details on binding stacks.

The `type` field specifies an ordered list of navigation paradigms that specify a combination of navigation types and the initial navigation type. The navigation type of the currently bound `NavigationInfo` node determines the user interface capabilities of the browser. For example, if the currently bound `NavigationInfo` node's `type` is "WALK", the browser shall present a WALK navigation user interface paradigm (see below for description of WALK). Browsers shall recognize and support at least the following navigation types: "ANY", "WALK", "EXAMINE", "FLY", and "NONE".

If "ANY" does not appear in the `type` field list of the currently bound `NavigationInfo`, the browser's navigation user interface shall be restricted to the recognized navigation types specified in the list. In this case, browsers shall not present a user interface that allows the navigation type to be changed to a type not specified in the list. However, if any one of the values in the `type` field are "ANY", the browser may provide any type of navigation interface, and allow the user to change the navigation type dynamically. Furthermore, the first recognized type in the list shall be the initial navigation type presented by the browser's user interface.

ANY navigation specifies that the browser may choose the navigation paradigm that best suits the content and provide a user interface to allow the user to change the navigation paradigm dynamically. The results are undefined if the currently bound `NavigationInfo`'s `type` value is "ANY" and `Viewpoint` transitions (see [6.53, Viewpoint](#)) are triggered by the `Anchor` node (see [6.2, Anchor](#)) or the `loadURL()` scripting method (see [4.12.10, Browser script interface](#)).

WALK navigation is used for exploring a virtual world on foot or in a vehicle that rests on or hovers above the ground. It is strongly recommended that WALK navigation define the up vector in the +Y direction and provide some form of terrain following and gravity in order to produce a walking or driving experience. If the bound `NavigationInfo`'s `type` is "WALK", the browser shall strictly support collision detection (see [6.8, Collision](#)).

FLY navigation is similar to WALK except that terrain following and gravity may be disabled or ignored. There shall still be some notion of "up" however. If the bound `NavigationInfo`'s `type` is "FLY", the browser shall strictly support collision detection (see [6.8, Collision](#)).

EXAMINE navigation is used for viewing individual objects and often includes (but does not require) the ability to spin around the object and move the viewer closer or further away.

NONE navigation disables and removes all browser-specific navigation user interface forcing the user to navigate using only mechanisms provided in the scene, such as `Anchor` nodes or scripts that include `loadURL()`.

If the `NavigationInfo` type is "WALK", "FLY", "EXAMINE", or "NONE" or a combination of these types (i.e., "ANY" is not in the list), `Viewpoint` transitions (see [6.53, Viewpoint](#)) triggered by the `Anchor` node (see [6.2, Anchor](#)) or the `loadURL()` scripting method (see [4.12.10, Browser script interface](#)) shall be implemented as a jump cut from the old `Viewpoint` to the new `Viewpoint` with transition effects that shall not trigger events besides the exit and enter events caused by the jump.

Browsers may create browser-specific navigation type extensions. It is recommended that extended `type` names include a unique suffix (e.g., `HELICOPTER_mydomain.com`) to prevent conflicts. `Viewpoint` transitions (see [6.53, Viewpoint](#)) triggered by the `Anchor` node (see [6.2, Anchor](#)) or the `loadURL()` scripting method (see [4.12.10, Browser script interface](#)) are undefined for extended navigation types. If none of the types are recognized by the browser, the default "ANY" is used. These strings values are case sensitive ("any" is not equal to "ANY").

The `speed` field specifies the rate at which the viewer travels through a scene in metres per second. Since browsers may provide mechanisms to travel faster or slower, this field specifies the default, average speed of the viewer when the `NavigationInfo` node is bound. If the `NavigationInfo` `type` is EXAMINE, `speed` shall not affect the viewer's

rotational speed. Scaling in the transformation hierarchy of the currently bound [Viewpoint](#) node (see above) scales the *speed*; parent translation and rotation transformations have no effect on *speed*. Speed shall be non-negative. Zero speed indicates that the avatar's position is stationary, but its orientation and field of view may still change. If the navigation *type* is "NONE", the *speed* field has no effect.

The *avatarSize* field specifies the user's physical dimensions in the world for the purpose of collision detection and terrain following. It is a multi-value field allowing several dimensions to be specified. The first value shall be the allowable distance between the user's position and any collision geometry (as specified by a [Collision](#) node) before a collision is detected. The second shall be the height above the terrain at which the browser shall maintain the viewer. The third shall be the height of the tallest object over which the viewer can move. This allows staircases to be built with dimensions that can be ascended by viewers in all browsers. The transformation hierarchy of the currently bound [Viewpoint](#) node scales the *avatarSize*. Translations and rotations have no effect on *avatarSize*.

For purposes of terrain following, the browser maintains a notion of the *down* direction (down vector), since gravity is applied in the direction of the down vector. This down vector shall be along the negative Y-axis in the local coordinate system of the currently bound Viewpoint node (i.e., the accumulation of the Viewpoint node's ancestors' transformations, not including the Viewpoint node's *orientation* field).

Geometry beyond the *visibilityLimit* may not be rendered. A value of 0.0 indicates an infinite visibility limit. The *visibilityLimit* field is restricted to be greater than or equal to zero.

The *speed*, *avatarSize* and *visibilityLimit* values are all scaled by the transformation being applied to the currently bound [Viewpoint](#) node. If there is no currently bound Viewpoint node, the values are interpreted in the world coordinate system. This allows these values to be automatically adjusted when binding to a Viewpoint node that has a scaling transformation applied to it without requiring a new NavigationInfo node to be bound as well. The results are undefined if the scale applied to the Viewpoint node is non-uniform.

The *headlight* field specifies whether a browser shall turn on a headlight. A headlight is a directional light that always points in the direction the user is looking. Setting this field to TRUE allows the browser to provide a headlight, possibly with user interface controls to turn it on and off. Scenes that enlist precomputed lighting (e.g., radiosity solutions) can turn the headlight off. The headlight shall have *intensity* = 1, *color* = (1 1 1), *ambientIntensity* = 0.0, and *direction* = (0 0 -1).

It is recommended that the near clipping plane be set to one-half of the collision radius as specified in the *avatarSize* field (setting the near plane to this value prevents excessive clipping of objects just above the collision volume, and also provides a region inside the collision volume for content authors to include geometry intended to remain fixed relative to the viewer). Such geometry shall not be occluded by geometry outside of the collision volume.



## 6.30 Normal

```
Normal {
  exposedField MFVec3f vector [] # (-∞,∞)
}
```

This node defines a set of 3D surface normal vectors to be used in the *vector* field of some geometry nodes (e.g., IndexedFaceSet and ElevationGrid). This node contains one multiple-valued field that contains the normal vectors. Normals shall be of unit length.



## 6.31 NormalInterpolator

```
NormalInterpolator {
  eventIn      SFFloat  set_fraction      # (-∞,∞)
  exposedField MFFloat  key               [] # (-∞,∞)
  exposedField MFVec3f  keyValue          [] # (-∞,∞)
  eventOut     MFVec3f  value_changed
}
```

The NormalInterpolator node interpolates among a list of normal vector sets specified by the *keyValue* field. The output vector, *value\_changed*, shall be a set of normalized vectors.

Values in the *keyValue* field shall be of unit length. The number of normals in the *keyValue* field shall be an integer multiple of the number of keyframes in the *key* field. That integer multiple defines how many normals will be contained in the *value\_changed* events.

Normal interpolation shall be performed on the surface of the unit sphere. That is, the output values for a linear interpolation from a point P on the unit sphere to a point Q also on the unit sphere shall lie along the shortest arc (on the unit sphere) connecting points P and Q. Also, equally spaced input fractions shall result in arcs of equal length. The results are undefined if P and Q are diagonally opposite.

A more detailed discussion of interpolators is provided in [4.6.8, Interpolator nodes](#).



## 6.32 OrientationInterpolator

```
OrientationInterpolator {
  eventIn      SFFloat  set_fraction      # (-∞,∞)
  exposedField MFFloat  key               [] # (-∞,∞)
  exposedField MFRotation  keyValue       [] # [-1,1], (-∞,∞)
  eventOut     SFRotation  value_changed
}
```

The OrientationInterpolator node interpolates among a list of rotation values specified in the *keyValue* field. These rotations are absolute in object space and therefore are not cumulative. The *keyValue* field shall contain exactly as many rotations as there are keyframes in the *key* field.

An orientation represents the final position of an object after a rotation has been applied. An OrientationInterpolator interpolates between two orientations by computing the shortest path on the unit sphere between the two orientations. The interpolation is linear in arc length along this path. The results are undefined if the two orientations are diagonally opposite.

If two consecutive *keyValue* values exist such that the arc length between them is greater than  $\pi$ , the interpolation will take place on the arc complement. For example, the interpolation between the orientations (0, 1, 0, 0) and (0, 1, 0, 5.0) is equivalent to the rotation between the orientations (0, 1, 0,  $2\pi$ ) and (0, 1, 0, 5.0).

A more detailed discussion of interpolators is contained in [4.6.8, Interpolator nodes](#).



## 6.33 PixelTexture

```
PixelTexture {
  exposedField SFImage  image      0 0 0  # see 5.5, SFImage
  field          SFBool   repeatS   TRUE
  field          SFBool   repeatT   TRUE
}
```

The PixelTexture node defines a 2D image-based texture map as an explicit array of pixel values (*image* field) and parameters controlling tiling repetition of the texture onto geometry.

Texture maps are defined in a 2D coordinate system (s, t) that ranges from 0.0 to 1.0 in both directions. The bottom edge of the pixel image corresponds to the S-axis of the texture map, and left edge of the pixel image corresponds to the T-axis of the texture map. The lower-left pixel of the pixel image corresponds to s=0.0, t=0.0, and the top-right pixel of the image corresponds to s = 1.0, t = 1.0.

See [4.6.11, Texture maps](#), for a general description of texture maps. [Figure 6.13](#) depicts an example PixelTexture.

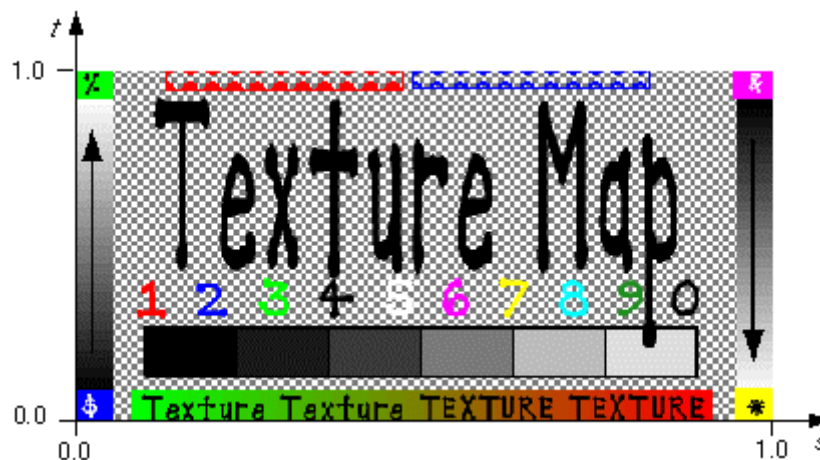


Figure 6.13 -- PixelTexture node

See [4.14, Lighting model](#), for a description of how the texture values interact with the appearance of the geometry. [5.5, SFImage](#), describes the specification of an image.

The *repeatS* and *repeatT* fields specify how the texture wraps in the S and T directions. If *repeatS* is TRUE (the default), the texture map is repeated outside the 0-to-1 texture coordinate range in the S direction so that it fills the shape. If *repeatS* is FALSE, the texture coordinates are clamped in the S direction to lie within the 0.0 to 1.0 range. The *repeatT* field is analogous to the *repeatS* field.



## 6.34 PlaneSensor

```
PlaneSensor {
  exposedField SFBool  autoOffset      TRUE
  exposedField SFBool  enabled         TRUE
  exposedField SFVec2f  maxPosition    -1 -1    # (-∞,∞)
  exposedField SFVec2f  minPosition    0 0      # (-∞,∞)
  exposedField SFVec3f  offset         0 0 0     # (-∞,∞)
  eventOut      SFBool  isActive
  eventOut      SFVec3f  trackPoint_changed
  eventOut      SFVec3f  translation_changed
}
```

The `PlaneSensor` node maps pointing device motion into two-dimensional translation in a plane parallel to the  $Z=0$  plane of the local coordinate system. The `PlaneSensor` node uses the descendent geometry of its parent node to determine whether it is liable to generate events.

The *enabled* exposedField enables and disables the `PlaneSensor`. If *enabled* is `TRUE`, the sensor reacts appropriately to user events. If *enabled* is `FALSE`, the sensor does not track user input or send events. If *enabled* receives a `FALSE` event and *isActive* is `TRUE`, the sensor becomes disabled and deactivated, and outputs an *isActive* `FALSE` event. If *enabled* receives a `TRUE` event, the sensor is enabled and made ready for user activation.

The `PlaneSensor` node generates events when the pointing device is activated while the pointer is indicating any descendent geometry nodes of the sensor's parent group. See [4.6.7.5, Activating and manipulating sensors](#), for details on using the pointing device to activate the `PlaneSensor`.

Upon activation of the pointing device (e.g., mouse button down) while indicating the sensor's geometry, an *isActive* `TRUE` event is sent. Pointer motion is mapped into relative translation in the *tracking plane*, (a plane parallel to the sensor's local  $Z=0$  plane and coincident with the initial point of intersection). For each subsequent movement of the bearing, a *translation\_changed* event is output which corresponds to the sum of the relative translation from the original intersection point to the intersection point of the new bearing in the plane plus the *offset* value. The sign of the translation is defined by the  $Z=0$  plane of the sensor's coordinate system. *trackPoint\_changed* events reflect the unclamped drag position on the surface of this plane. When the pointing device is deactivated and *autoOffset* is `TRUE`, *offset* is set to the last *translation\_changed* value and an *offset\_changed* event is generated. More details are provided in [4.6.7.4, Drag sensors](#).

When the sensor generates an *isActive* `TRUE` event, it grabs all further motion events from the pointing device until it is deactivated and generates an *isActive* `FALSE` event. Other pointing-device sensors shall not generate events during this time. Motion of the pointing device while *isActive* is `TRUE` is referred to as a "drag." If a 2D pointing device is in use, *isActive* events typically reflect the state of the primary button associated with the device (i.e., *isActive* is `TRUE` when the primary button is pressed, and is `FALSE` when it is released). If a 3D pointing device (e.g., wand) is in use, *isActive* events typically reflect whether the pointer is within or in contact with the sensor's geometry.

*minPosition* and *maxPosition* may be set to clamp *translation\_changed* events to a range of values as measured from the origin of the  $Z=0$  plane. If the X or Y component of *minPosition* is greater than the corresponding component of *maxPosition*, *translation\_changed* events are not clamped in that dimension. If the X or Y component of *minPosition* is equal to the corresponding component of *maxPosition*, that component is constrained to the given value. This technique provides a way to implement a line sensor that maps dragging motion into a translation in one dimension.

While the pointing device is activated and moved, *trackPoint\_changed* and *translation\_changed* events are sent. *trackPoint\_changed* events represent the unclamped intersection points on the surface of the tracking plane. If the



pointing device is dragged off of the tracking plane while activated (e.g., above horizon line), browsers may interpret this in a variety of ways (e.g., clamp all values to the horizon). Each movement of the pointing device, while *isActive* is TRUE, generates *trackPoint\_changed* and *translation\_changed* events.

Further information about this behaviour can be found in [4.6.7.3, Pointing-device sensors](#), [4.6.7.4, Drag sensors](#), and [4.6.7.5, Activating and manipulating sensors](#).



## 6.35 PointLight

```
PointLight {
  exposedField SFFloat ambientIntensity 0 # [0,1]
  exposedField SFVec3f attenuation 1 0 0 # [0,∞)
  exposedField SFColor color 1 1 1 # [0,1]
  exposedField SFFloat intensity 1 # [0,1]
  exposedField SFVec3f location 0 0 0 # (-∞,∞)
  exposedField SFBool on TRUE
  exposedField SFFloat radius 100 # [0,∞)
}
```

The PointLight node specifies a point light source at a 3D location in the local coordinate system. A point light source emits light equally in all directions; that is, it is omnidirectional. PointLight nodes are specified in the local coordinate system and are affected by ancestor transformations.

Subclause [4.6.6, Light sources](#), contains a detailed description of the *ambientIntensity*, *color*, and *intensity* fields.

A PointLight node illuminates geometry within *radius* metres of its *location*. Both radius and location are affected by ancestors' transformations (scales affect *radius* and transformations affect *location*). The *radius* field shall be greater than or equal to zero.

PointLight node's illumination falls off with distance as specified by three *attenuation* coefficients. The attenuation factor is  $1/\max(\text{attenuation}[0] + \text{attenuation}[1] \times r + \text{attenuation}[2] \times r^2, 1)$ , where  $r$  is the distance from the light to the surface being illuminated. The default is no attenuation. An *attenuation* value of (0, 0, 0) is identical to (1, 0, 0). Attenuation values shall be greater than or equal to zero. A detailed description of VRML's lighting equations is contained in [4.14, Lighting model](#).



## 6.36 PointSet

```
PointSet {
  exposedField SFNode color NULL
  exposedField SFNode coord NULL
}
```

The PointSet node specifies a set of 3D points, in the local coordinate system, with associated colours at each point. The *coord* field specifies a [Coordinate](#) node (or instance of a Coordinate node). The results are undefined if the *coord* field specifies any other type of node. PointSet uses the coordinates in order. If the *coord* field is NULL, the point set is considered empty.

PointSet nodes are not lit, not texture-mapped, nor do they participate in collision detection. The size of each point is implementation-dependent.

If the *color* field is not NULL, it shall specify a [Color](#) node that contains at least the number of points contained in the *coord* node. The results are undefined if the *color* field specifies any other type of node. Colours shall be applied to each point in order. The results are undefined if the number of values in the Color node is less than the number of values specified in the Coordinate node.

If the *color* field is NULL and there is a Material node defined for the Appearance node affecting this PointSet node, the *emissiveColor* of the Material node shall be used to draw the points. More details on lighting equations can be found in [4.14, Lighting model](#).



## 6.37 PositionInterpolator

```
PositionInterpolator {
  eventIn      SFFloat set_fraction      # (-∞,∞)
  exposedField MFFloat key             [ ] # (-∞,∞)
  exposedField MFVec3f keyValue        [ ] # (-∞,∞)
  eventOut     SFVec3f value_changed
}
```

The PositionInterpolator node linearly interpolates among a list of 3D vectors. The *keyValue* field shall contain exactly as many values as in the *key* field.

[4.6.8, Interpolator nodes](#), contains a more detailed discussion of interpolators.



## 6.38 ProximitySensor

```
ProximitySensor {
  exposedField SFVec3f center         0 0 0 # (-∞,∞)
  exposedField SFVec3f size           0 0 0 # [0,∞)
  exposedField SFBool enabled         TRUE
  eventOut      SFBool isActive
  eventOut      SFVec3f position_changed
  eventOut      SFRotation orientation_changed
  eventOut      SFTime enterTime
  eventOut      SFTime exitTime
}
```

The ProximitySensor node generates events when the viewer enters, exits, and moves within a region in space (defined by a box). A proximity sensor is enabled or disabled by sending it an *enabled* event with a value of TRUE or FALSE. A disabled sensor does not send events.

A ProximitySensor node generates *isActive* TRUE/FALSE events as the viewer enters and exits the rectangular box defined by its *center* and *size* fields. Browsers shall interpolate viewer positions and timestamp the *isActive* events with the exact time the viewer first intersected the proximity region. The *center* field defines the centre point of the proximity region in object space. The *size* field specifies a vector which defines the width (x), height (y), and depth



(z) of the box bounding the region. The components of the *size* field shall be greater than or equal to zero. ProximitySensor nodes are affected by the hierarchical transformations of their parents.

The *enterTime* event is generated whenever the *isActive* TRUE event is generated (user enters the box), and *exitTime* events are generated whenever an *isActive* FALSE event is generated (user exits the box).

The *position\_changed* and *orientation\_changed* eventOuts send events whenever the user is contained within the proximity region and the position and orientation of the viewer changes with respect to the ProximitySensor node's coordinate system including enter and exit times. The viewer movement may be a result of a variety of circumstances resulting from browser navigation, ProximitySensor node's coordinate system changes, or bound Viewpoint node's position or orientation changes.

Each ProximitySensor node behaves independently of all other ProximitySensor nodes. Every enabled ProximitySensor node that is affected by the viewer's movement receives and sends events, possibly resulting in multiple ProximitySensor nodes receiving and sending events simultaneously. Unlike TouchSensor nodes, there is no notion of a ProximitySensor node lower in the scene graph "grabbing" events.

Instanced (DEF/USE) ProximitySensor nodes use the union of all the boxes to check for enter and exit. A multiply instanced ProximitySensor node will detect enter and exit for all instances of the box and send enter/exit events appropriately. However, the results are undefined if the any of the boxes of a multiply instanced ProximitySensor node overlap.

A ProximitySensor node that surrounds the entire world has an *enterTime* equal to the time that the world was entered and can be used to start up animations or behaviours as soon as a world is loaded. A ProximitySensor node with a box containing zero volume (i.e., any *size* field element of 0.0) cannot generate events. This is equivalent to setting the *enabled* field to FALSE.

A ProximitySensor read from a VRML file shall generate *isActive* TRUE, *position\_changed*, *orientation\_changed* and *enterTime* events if the sensor is enabled and the viewer is inside the proximity region. A ProximitySensor inserted into the transformation hierarchy shall generate *isActive* TRUE, *position\_changed*, *orientation\_changed* and *enterTime* events if the sensor is enabled and the viewer is inside the proximity region. A ProximitySensor removed from the transformation hierarchy shall generate *isActive* FALSE, *position\_changed*, *orientation\_changed* and *exitTime* events if the sensor is enabled and the viewer is inside the proximity region.



## 6.39 ScalarInterpolator

```
ScalarInterpolator {
  eventIn      SFFloat set_fraction      # (-∞, ∞)
  exposedField MFFloat  key              [ ]   # (-∞, ∞)
  exposedField MFFloat  keyValue        [ ]   # (-∞, ∞)
  eventOut     SFFloat  value_changed
}
```

This node linearly interpolates among a list of SFFloat values. This interpolator is appropriate for any parameter defined using a single floating point value. Examples include width, radius, and intensity fields. The *keyValue* field shall contain exactly as many numbers as there are keyframes in the *key* field.

A more detailed discussion of interpolators is available in [4.6.8, Interpolator nodes](#).



## 6.40 Script

```
Script {
  exposedField MFString url          []
  field          SFFloat  directOutput FALSE
  field          SFFloat  mustEvaluate FALSE
  # And any number of:
  eventIn       eventType eventName
  field         fieldType fieldName initialValue
  eventOut      eventType eventName
}
```

The Script node is used to program behaviour in a scene. Script nodes typically

- a. signify a change or user action;
- b. receive events from other nodes;
- c. contain a program module that performs some computation;
- d. effect change somewhere else in the scene by sending events.

Each Script node has associated programming language code, referenced by the *url* field, that is executed to carry out the Script node's function. That code is referred to as the "script" in the rest of this description. Details on the *url* field can be found in [4.5, VRML and the World Wide Web](#).

Browsers are not required to support any specific language. Detailed information on scripting languages is described in [4.12, Scripting](#). Browsers supporting a scripting language for which a language binding is specified shall adhere to that language binding.

Sometime before a script receives the first event it shall be initialized (any language-dependent or user-defined `initialize()` is performed). The script is able to receive and process events that are sent to it. Each event that can be received shall be declared in the Script node using the same syntax as is used in a prototype definition:

```
eventIn type name
```

The *type* can be any of the standard VRML fields (as defined in [5, Field and event reference](#)). *Name* shall be an identifier that is unique for this Script node.

The Script node is able to generate events in response to the incoming events. Each event that may be generated shall be declared in the Script node using the following syntax:

```
eventOut type name
```

With the exception of the *url* field, exposedFields are not allowed in Script nodes.

If the Script node's *mustEvaluate* field is FALSE, the browser may delay sending input events to the script until its outputs are needed by the browser. If the *mustEvaluate* field is TRUE, the browser shall send input events to the script as soon as possible, regardless of whether the outputs are needed. The *mustEvaluate* field shall be set to TRUE only if the Script node has effects that are not known to the browser (such as sending information across the network). Otherwise, poor performance may result.

Once the script has access to a VRML node (via an SFNode or MFNode value either in one of the Script node's fields or passed in as an eventIn), the script is able to read the contents of that node's exposed fields. If the Script node's *directOutput* field is TRUE, the script may also send events directly to any node to which it has access, and

may dynamically establish or break routes. If *directOutput* is FALSE (the default), the script may only affect the rest of the world via events sent through its eventOuts. The results are undefined if *directOutput* is FALSE and the script sends events directly to a node to which it has access.

A script is able to communicate directly with the VRML browser to get information such as the current time and the current world URL. This is strictly defined by the API for the specific scripting language being used.

The location of the Script node in the scene graph has no effect on its operation. For example, if a parent of a Script node is a Switch node with *whichChoice* set to "-1" (i.e., ignore its children), the Script node continues to operate as specified (i.e., it receives and sends events).



## 6.41 Shape

```
Shape {
  exposedField SFNode  appearance  NULL
  exposedField SFNode  geometry   NULL
}
```

The Shape node has two fields, *appearance* and *geometry*, which are used to create rendered objects in the world. The *appearance* field contains an [Appearance](#) node that specifies the visual attributes (e.g., material and texture) to be applied to the geometry. The *geometry* field contains a geometry node. The specified geometry node is rendered with the specified appearance nodes applied. See [4.6.3, Shapes and geometry](#), and [6.3, Appearance](#), for more information.

[4.14, Lighting model](#), contains details of the VRML lighting model and the interaction between Appearance nodes and geometry nodes.

If the *geometry* field is NULL, the object is not drawn.



## 6.42 Sound

```
Sound {
  exposedField SFVec3f  direction    0 0 1    # (-∞,∞)
  exposedField SFFloat  intensity    1        # [0,1]
  exposedField SFVec3f  location     0 0 0    # (-∞,∞)
  exposedField SFFloat  maxBack      10       # [0,∞)
  exposedField SFFloat  maxFront     10       # [0,∞)
  exposedField SFFloat  minBack      1        # [0,∞)
  exposedField SFFloat  minFront     1        # [0,∞)
  exposedField SFFloat  priority     0        # [0,1]
  exposedField SFNode   source       NULL
  field           SFBool  spatialize  TRUE
}
```

The Sound node specifies the spatial presentation of a sound in a VRML scene. The sound is located at a point in the local coordinate system and emits sound in an elliptical pattern (defined by two ellipsoids). The ellipsoids are oriented in a direction specified by the *direction* field. The shape of the ellipsoids may be modified to provide more or less directional focus from the location of the sound.

The *source* field specifies the sound source for the Sound node. If the *source* field is not specified, the Sound node will not emit audio. The *source* field shall specify either an AudioClip node or a MovieTexture node. If a MovieTexture node is specified as the sound source, the MovieTexture shall refer to a movie format that supports sound (e.g., MPEG1-Systems, see [2.\[MPEG\]](#)).

The *intensity* field adjusts the loudness (decibels) of the sound emitted by the Sound node (note: this is different from the traditional definition of intensity with respect to sound; see [E.\[SNDA\]](#)). The *intensity* field has a value that ranges from 0.0 to 1.0 and specifies a factor which shall be used to scale the normalized sample data of the sound source during playback. A Sound node with an intensity of 1.0 shall emit audio at its maximum loudness (before attenuation), and a Sound node with an intensity of 0.0 shall emit no audio. Between these values, the loudness should increase linearly from a -20 dB change approaching an *intensity* of 0.0 to a 0 dB change at an *intensity* of 1.0.

The *priority* field provides a hint for the browser to choose which sounds to play when there are more active Sound nodes than can be played at once due to either limited system resources or system load. [7.3.4, Sound priority, attenuation, and spatialization](#), describes a recommended algorithm for determining which sounds to play under such circumstances. The *priority* field ranges from 0.0 to 1.0, with 1.0 being the highest priority and 0.0 the lowest priority.

The *location* field determines the location of the sound emitter in the local coordinate system. A Sound node's output is audible only if it is part of the traversed scene. Sound nodes that are descended from LOD, Switch, or any grouping or prototype node that disables traversal (i.e., drawing) of its children are not audible unless they are traversed. If a Sound node is disabled by a Switch or LOD node, and later it becomes part of the traversal again, the sound shall resume where it would have been had it been playing continuously.

The Sound node has an inner ellipsoid that defines a volume of space in which the maximum level of the sound is audible. Within this ellipsoid, the normalized sample data is scaled by the *intensity* field and there is no attenuation. The inner ellipsoid is defined by extending the *direction* vector through the *location*. The *minBack* and *minFront* fields specify distances behind and in front of the *location* along the *direction* vector respectively. The inner ellipsoid has one of its foci at *location* (the second focus is implicit) and intersects the *direction* vector at *minBack* and *minFront*.

The Sound node has an outer ellipsoid that defines a volume of space that bounds the audibility of the sound. No sound can be heard outside of this outer ellipsoid. The outer ellipsoid is defined by extending the *direction* vector through the *location*. The *maxBack* and *maxFront* fields specify distances behind and in front of the *location* along the *direction* vector respectively. The outer ellipsoid has one of its foci at *location* (the second focus is implicit) and intersects the *direction* vector at *maxBack* and *maxFront*.

The *minFront*, *maxFront*, *minBack*, and *maxBack* fields are defined in local coordinates, and shall be greater than or equal to zero. The *minBack* field shall be less than or equal to *maxBack*, and *minFront* shall be less than or equal to *maxFront*. The ellipsoid parameters are specified in the local coordinate system but the ellipsoids' geometry is affected by ancestors' transformations.

Between the two ellipsoids, there shall be a linear attenuation ramp in loudness, from 0 dB at the minimum ellipsoid to -20 dB at the maximum ellipsoid:

$$\text{attenuation} = -20 \times (d' / d'')$$

where *d'* is the distance along the location-to-viewer vector, measured from the transformed minimum ellipsoid boundary to the viewer, and *d''* is the distance along the location-to-viewer vector from the transformed minimum ellipsoid boundary to the transformed maximum ellipsoid boundary (see [Figure 6.14](#)).

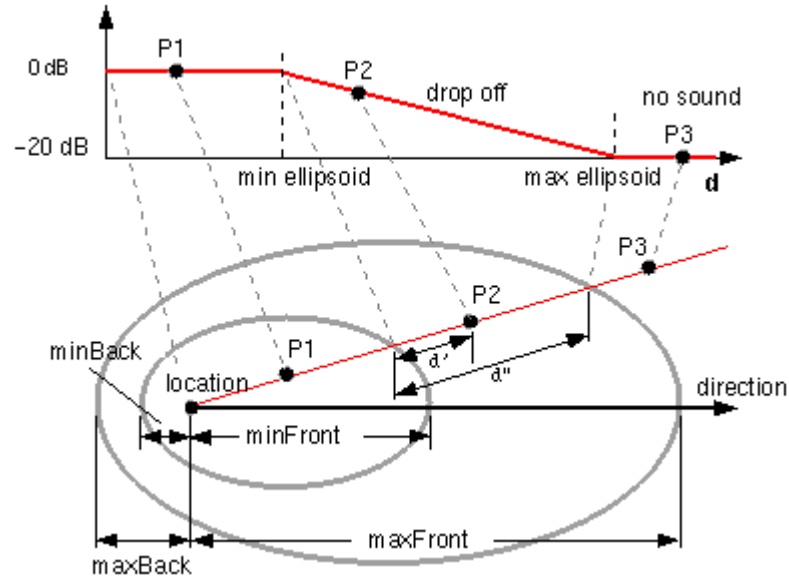


Figure 6.14 -- Sound node geometry

The *spatialize* field specifies if the sound is perceived as being directionally located relative to the viewer. If the *spatialize* field is TRUE and the viewer is located between the transformed inner and outer ellipsoids, the viewer's direction and the relative location of the Sound node should be taken into account during playback. Details outlining the minimum required spatialization functionality can be found in [7.3.4, Sound priority, attenuation, and spatialization](#). If the *spatialize* field is FALSE, then directional effects are ignored, but the ellipsoid dimensions and *intensity* will still affect the loudness of the sound. If the sound source is multi-channel (e.g., stereo), then the source should retain its channel separation during playback.



## 6.43 Sphere

```
Sphere {
  field SFFloat radius 1 # (0,∞)
}
```

The Sphere node specifies a sphere centred at (0, 0, 0) in the local coordinate system. The *radius* field specifies the radius of the sphere and shall be greater than zero. [Figure 6.15](#) depicts the fields of the Sphere node.

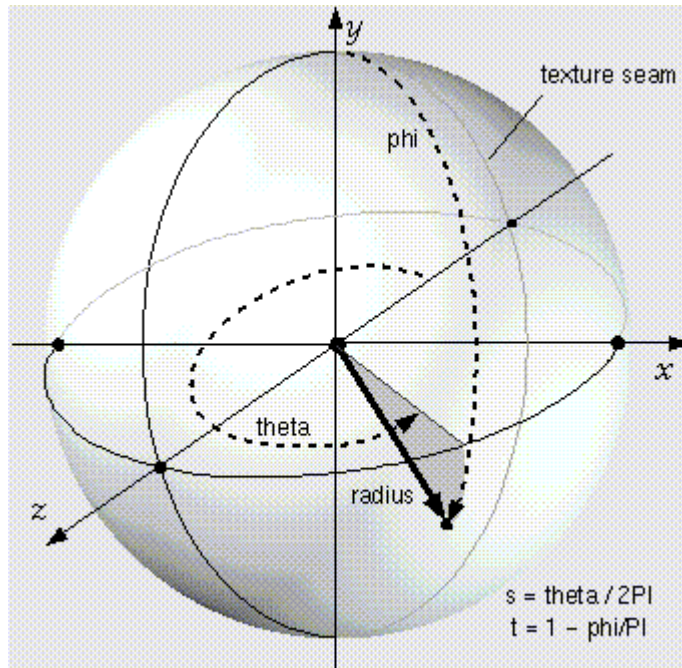


Figure 6.15 -- Sphere node

When a texture is applied to a sphere, the texture covers the entire surface, wrapping counterclockwise from the back of the sphere (i.e., longitudinal arc intersecting the -Z-axis) when viewed from the top of the sphere. The texture has a seam at the back where the X=0 plane intersects the sphere and Z values are negative. [TextureTransform](#) affects the texture coordinates of the Sphere.

The Sphere node's geometry requires outside faces only. When viewed from the inside the results are undefined.



## 6.44 SphereSensor

```

SphereSensor {
  exposedField SFBool    autoOffset    TRUE
  exposedField SFBool    enabled        TRUE
  exposedField SFRotation offset        0 1 0 0 # [-1,1], (-∞,∞)
  eventOut SFBool        isActive
  eventOut SFRotation    rotation_changed
  eventOut SFVec3f       trackPoint_changed
}

```

The SphereSensor node maps pointing device motion into spherical rotation about the origin of the local coordinate system. The SphereSensor node uses the descendent geometry of its parent node to determine whether it is liable to generate events.

The *enabled* exposed field enables and disables the SphereSensor node. If *enabled* is TRUE, the sensor reacts appropriately to user events. If *enabled* is FALSE, the sensor does not track user input or send events. If *enabled* receives a FALSE event and *isActive* is TRUE, the sensor becomes disabled and deactivated, and outputs an *isActive* FALSE event. If *enabled* receives a TRUE event the sensor is enabled and ready for user activation.

The SphereSensor node generates events when the pointing device is activated while the pointer is indicating any descendent geometry nodes of the sensor's parent group. See [4.6.7.5, Activating and manipulating sensors](#), for details on using the pointing device to activate the SphereSensor.

Upon activation of the pointing device (e.g., mouse button down) over the sensor's geometry, an *isActive* TRUE event is sent. The vector defined by the initial point of intersection on the SphereSensor's geometry and the local origin determines the radius of the sphere that is used to map subsequent pointing device motion while dragging. The virtual sphere defined by this radius and the local origin at the time of activation is used to interpret subsequent pointing device motion and is not affected by any changes to the sensor's coordinate system while the sensor is active. For each position of the bearing, a *rotation\_changed* event is sent which corresponds to the sum of the relative rotation from the original intersection point plus the *offset* value. *trackPoint\_changed* events reflect the unclamped drag position on the surface of this sphere. When the pointing device is deactivated and *autoOffset* is TRUE, *offset* is set to the last *rotation\_changed* value and an *offset\_changed* event is generated. See [4.6.7.4, Drag sensors](#), for more details.

When the sensor generates an *isActive* TRUE event, it grabs all further motion events from the pointing device until it is released and generates an *isActive* FALSE event (other pointing-device sensors shall not generate events during this time). Motion of the pointing device while *isActive* is TRUE is termed a "drag". If a 2D pointing device is in use, *isActive* events will typically reflect the state of the primary button associated with the device (i.e., *isActive* is TRUE when the primary button is pressed and FALSE when it is released). If a 3D pointing device (e.g., wand) is in use, *isActive* events will typically reflect whether the pointer is within (or in contact with) the sensor's geometry.

While the pointing device is activated, *trackPoint\_changed* and *rotation\_changed* events are output. *trackPoint\_changed* events represent the unclamped intersection points on the surface of the invisible sphere. If the pointing device is dragged off the sphere while activated, browsers may interpret this in a variety of ways (e.g., clamp all values to the sphere or continue to rotate as the point is dragged away from the sphere). Each movement of the pointing device while *isActive* is TRUE generates *trackPoint\_changed* and *rotation\_changed* events.

Further information about this behaviour can be found in [4.6.7.3, Pointing-device sensors](#), [4.6.7.4, Drag sensors](#), and [4.6.7.5, Activating and manipulating sensors](#).



## 6.45 SpotLight

```
SpotLight {
  exposedField SFFloat ambientIntensity 0 # [0,1]
  exposedField SFVec3f attenuation 1 0 0 # [0,∞)
  exposedField SFFloat beamWidth 1.570796 # (0,π/2]
  exposedField SFColor color 1 1 1 # [0,1]
  exposedField SFFloat cutOffAngle 0.785398 # (0,π/2]
  exposedField SFVec3f direction 0 0 -1 # (-∞,∞)
  exposedField SFFloat intensity 1 # [0,1]
  exposedField SFVec3f location 0 0 0 # (-∞,∞)
  exposedField SFBool on TRUE
  exposedField SFFloat radius 100 # [0,∞)
}
```

The SpotLight node defines a light source that emits light from a specific point along a specific direction vector and constrained within a solid angle. Spotlights may illuminate geometry nodes that respond to light sources and intersect the solid angle defined by the SpotLight. Spotlight nodes are specified in the local coordinate system and are affected by ancestors' transformations.



A detailed description of *ambientIntensity*, *color*, *intensity*, and VRML's lighting equations is provided in [4.6.6, Light sources](#). More information on lighting concepts can be found in [4.14, Lighting model](#), including a detailed description of the VRML lighting equations.

The *location* field specifies a translation offset of the centre point of the light source from the light's local coordinate system origin. This point is the apex of the solid angle which bounds light emission from the given light source. The *direction* field specifies the direction vector of the light's central axis defined in the local coordinate system.

The *on* field specifies whether the light source emits light. If *on* is TRUE, the light source is emitting light and may illuminate geometry in the scene. If *on* is FALSE, the light source does not emit light and does not illuminate any geometry.

The *radius* field specifies the radial extent of the solid angle and the maximum distance from *location* that may be illuminated by the light source. The light source does not emit light outside this radius. The *radius* shall be greater than or equal to zero.

Both radius and location are affected by ancestors' transformations (scales affect *radius* and transformations affect *location*).

The *cutOffAngle* field specifies the outer bound of the solid angle. The light source does not emit light outside of this solid angle. The *beamWidth* field specifies an inner solid angle in which the light source emits light at uniform full intensity. The light source's emission intensity drops off from the inner solid angle (*beamWidth*) to the outer solid angle (*cutOffAngle*) as described in the following equations:

```

angle = the angle between the Spotlight's direction vector
        and the vector from the Spotlight location to the point
        to be illuminated

if (angle >= cutOffAngle):
    multiplier = 0
else if (angle <= beamWidth):
    multiplier = 1
else:
    multiplier = (angle - cutOffAngle) / (beamWidth - cutOffAngle)

intensity(angle) = SpotLight.intensity × multiplier

```

If the *beamWidth* is greater than the *cutOffAngle*, *beamWidth* is defined to be equal to the *cutOffAngle* and the light source emits full intensity within the entire solid angle defined by *cutOffAngle*. Both *beamWidth* and *cutOffAngle* shall be greater than 0.0 and less than or equal to  $\pi/2$ . [Figure 6.16](#) depicts the *beamWidth*, *cutOffAngle*, *direction*, *location*, and *radius* fields of the *SpotLight* node.

*SpotLight* illumination falls off with distance as specified by three *attenuation* coefficients. The attenuation factor is  $1/\max(\text{attenuation}[0] + \text{attenuation}[1] \times r + \text{attenuation}[2] \times r^2, 1)$ , where  $r$  is the distance from the light to the surface being illuminated. The default is no attenuation. An *attenuation* value of (0, 0, 0) is identical to (1, 0, 0). Attenuation values shall be greater than or equal to zero. A detailed description of VRML's lighting equations is contained in [4.14, Lighting model](#).



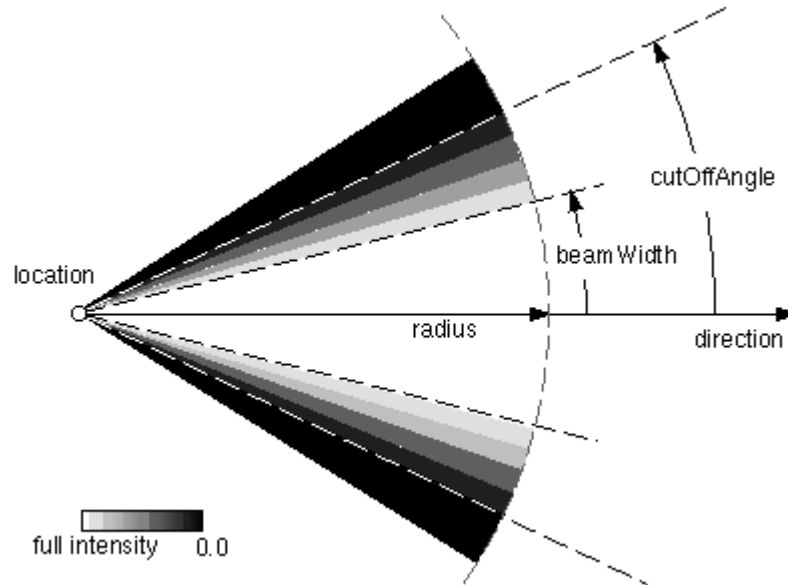


Figure 6.16 -- SpotLight node



## 6.46 Switch

```
Switch {
  exposedField MFNode choice []
  exposedField SFInt32 whichChoice -1 # [-1, ∞)
}
```

The Switch grouping node traverses zero or one of the nodes specified in the *choice* field.

[4.6.5. Grouping and children nodes](#), describes details on the types of nodes that are legal values for *choice*.

The *whichChoice* field specifies the index of the child to traverse, with the first child having index 0. If *whichChoice* is less than zero or greater than the number of nodes in the *choice* field, nothing is chosen.

All nodes under a Switch continue to receive and send events regardless of the value of *whichChoice*. For example, if an active TimeSensor is contained within an inactive choice of an Switch, the TimeSensor sends events regardless of the Switch's state.



## 6.47 Text

```
Text {
  exposedField MFString  string    []
  exposedField SFNode    fontStyle NULL
  exposedField MFFloat   length    []      # [0,∞)
  exposedField SFFloat   maxExtent 0.0    # [0,∞)
}
```

### 6.47.1 Introduction

The Text node specifies a two-sided, flat text string object positioned in the Z=0 plane of the local coordinate system based on values defined in the `fontStyle` field (see [6.20, FontStyle](#)). Text nodes may contain multiple text strings specified using the UTF-8 encoding as specified by ISO 10646-1:1993 (see [2.\[UTF8\]](#)). The text strings are stored in the order in which the text mode characters are to be produced as defined by the parameters in the `FontStyle` node.

The text strings are contained in the `string` field. The `fontStyle` field contains one [FontStyle](#) node that specifies the font size, font family and style, direction of the text strings, and any specific language rendering techniques used for the text.

The `maxExtent` field limits and compresses all of the text strings if the length of the maximum string is longer than the maximum extent, as measured in the local coordinate system. If the text string with the maximum length is shorter than the `maxExtent`, then there is no compressing. The maximum extent is measured horizontally for horizontal text ([FontStyle](#) node: `horizontal=TRUE`) and vertically for vertical text ([FontStyle](#) node: `horizontal=FALSE`). The `maxExtent` field shall be greater than or equal to zero.

The `length` field contains an MFFloat value that specifies the length of each text string in the local coordinate system. If the string is too short, it is stretched (either by scaling the text or by adding space between the characters). If the string is too long, it is compressed (either by scaling the text or by subtracting space between the characters). If a length value is missing (for example, if there are four strings but only three length values), the missing values are considered to be 0. The `length` field shall be greater than or equal to zero.

Specifying a value of 0 for both the `maxExtent` and `length` fields indicates that the string may be any length.

### 6.47.2 ISO 10646-1:1993 Character Encodings

Characters in ISO 10646 (see [2.\[UTF8\]](#)) are encoded in multiple octets. Code space is divided into four units, as follows:

```
+-----+-----+-----+-----+
| Group-octet | Plane-octet | Row-octet | Cell-octet |
+-----+-----+-----+-----+
```

ISO 10646-1:1993 allows two basic forms for characters:

- a. UCS-2 (Universal Coded Character Set-2). This form is also known as the Basic Multilingual Plane (BMP). Characters are encoded in the lower two octets (row and cell).
- b. UCS-4 (Universal Coded Character Set-4). Characters are encoded in the full four octets.

In addition, three transformation formats (UCS Transformation Format or UTF) are accepted: UTF-7, UTF-8, and UTF-16. Each represents the nature of the transformation: 7-bit, 8-bit, or 16-bit. UTF-7 and UTF-16 are referenced in [2.\[UTF8\]](#).

UTF-8 maintains transparency for all ASCII code values (0...127). It allows ASCII text (0x0..0x7F) to appear without any changes and encodes all characters from 0x80.. 0x7FFFFFFF into a series of six or fewer bytes.

If the most significant bit of the first character is 0, the remaining seven bits are interpreted as an ASCII character. Otherwise, the number of leading 1 bits indicates the number of bytes following. There is always a zero bit between the count bits and any data.

The first byte is one of the following. The X indicates bits available to encode the character:

0XXXXXXXX	only one byte	0..0x7F (ASCII)
110XXXXXX	two bytes	Maximum character value is 0x7FF
1110XXXXX	three bytes	Maximum character value is 0xFFFF
11110XXXX	four bytes	Maximum character value is 0x1FFFFFF
111110XXX	five bytes	Maximum character value is 0x3FFFFFFF
1111110XX	six bytes	Maximum character value is 0x7FFFFFFF

All following bytes have the format 10XXXXXX.

As a two byte example, the symbol for a register trade mark is &REG; or 174 in ISO Latin-1 (see [2.\[I8859\]](#)). It is encoded as 0x00AE in UCS-2 of ISO 10646. In UTF-8, it has the following two byte encoding: 0xC2, 0xAE.

### 6.47.3 Appearance

Textures are applied to text as follows. The texture origin is at the origin of the first string, as determined by the justification. The texture is scaled equally in both S and T dimensions, with the font height representing 1 unit. S increases to the right, and T increases up.

[4.14, Lighting model](#), has details on VRML lighting equations and how Appearance, Material and textures interact with lighting.

The Text node does not participate in collision detection.



## 6.48 TextureCoordinate

```
TextureCoordinate {
  exposedField MFVec2f point [] # (-∞,∞)
}
```

The TextureCoordinate node specifies a set of 2D texture coordinates used by vertex-based geometry nodes (e.g., [IndexedFaceSet](#) and [ElevationGrid](#)) to map textures to vertices. Textures are two dimensional colour functions that, given an  $(s, t)$  coordinate, return a colour value  $colour(s, t)$ . Texture map values ([ImageTexture](#), [MovieTexture](#), and [PixelTexture](#)) range from [0.0, 1.0] along the S-axis and T-axis. However, TextureCoordinate values, specified by the *point* field, may be in the range  $(-\infty, \infty)$ . Texture coordinates identify a location (and thus a colour value) in the texture map. The horizontal coordinate  $s$  is specified first, followed by the vertical coordinate  $t$ .

If the texture map is repeated in a given direction (S-axis or T-axis), a texture coordinate  $C$  ( $s$  or  $t$ ) is mapped into a texture map that has  $N$  pixels in the given direction as follows:

$$\text{Texture map location} = (C - \text{floor}(C)) \times N$$

If the texture map is not repeated, the texture coordinates are clamped to the 0.0 to 1.0 range as follows:

```

Texture map location = N,      if C > 1.0,
                      = 0.0,   if C < 0.0,
                      = C × N, if 0.0 ≤ C ≤ 1.0.

```

Details on repeating textures are specific to texture map node types described in [6.22, ImageTexture](#), [6.28, MovieTexture](#), and [6.33, PixelTexture](#).



## 6.49 TextureTransform

```

TextureTransform {
  exposedField SFVec2f  center      0 0    # (-∞,∞)
  exposedField SFFloat  rotation    0      # (-∞,∞)
  exposedField SFVec2f  scale       1 1    # (-∞,∞)
  exposedField SFVec2f  translation 0 0    # (-∞,∞)
}

```

The `TextureTransform` node defines a 2D transformation that is applied to texture coordinates (see [6.48, TextureCoordinate](#)). This node affects the way textures coordinates are applied to the geometric surface. The transformation consists of (in order):

- a translation;
- a rotation about the centre point;
- a non-uniform scale about the centre point.

These parameters support changes to the size, orientation, and position of textures on shapes. Note that these operations appear reversed when viewed on the surface of geometry. For example, a *scale* value of (2 2) will scale the texture coordinates and have the net effect of shrinking the texture size by a factor of 2 (texture coordinates are twice as large and thus cause the texture to repeat). A translation of (0.5 0.0) translates the texture coordinates +.5 units along the S-axis and has the net effect of translating the texture -.5 along the S-axis on the geometry's surface. A rotation of  $\pi/2$  of the texture coordinates results in a  $-\pi/2$  rotation of the texture on the geometry.

The *center* field specifies a translation offset in texture coordinate space about which the *rotation* and *scale* fields are applied. The *scale* field specifies a scaling factor in S and T of the texture coordinates about the *center* point. *scale* values shall be in the range  $(-\infty, \infty)$ . The *rotation* field specifies a rotation in radians of the texture coordinates about the *center* point after the scale has been applied. A positive rotation value makes the texture coordinates rotate counterclockwise about the centre, thereby rotating the appearance of the texture itself clockwise. The *translation* field specifies a translation of the texture coordinates.

In matrix transformation notation, where  $T_c$  is the untransformed texture coordinate,  $T_c'$  is the transformed texture coordinate,  $C$  (*center*),  $T$  (*translation*),  $R$  (*rotation*), and  $S$  (*scale*) are the intermediate transformation matrices,

$$T_c' = -C \times S \times R \times C \times T \times T_c$$

Note that this transformation order is the reverse of the `Transform` node transformation order since the texture coordinates, not the texture, are being transformed (i.e., the texture coordinate system).



## 6.50 TimeSensor

```

TimeSensor {
  exposedField SFTime   cycleInterval 1      # ( 0, ∞)
  exposedField SFBool   enabled             TRUE
  exposedField SFBool   loop                 FALSE
  exposedField SFTIME   startTime           0      # (-∞, ∞)
  exposedField SFTIME   stopTime            0      # (-∞, ∞)
  eventOut      SFTIME  cycleTime
  eventOut      SFFloat  fraction_changed     # [ 0, 1]
  eventOut      SFBool   isActive
  eventOut      SFTIME  time
}

```

TimeSensor nodes generate events as time passes. TimeSensor nodes can be used for many purposes including:

- a. driving continuous simulations and animations;
- b. controlling periodic activities (e.g., one per minute);
- c. initiating single occurrence events such as an alarm clock.

The TimeSensor node contains two discrete eventOuts: *isActive* and *cycleTime*. The *isActive* eventOut sends TRUE when the TimeSensor node begins running, and FALSE when it stops running. The *cycleTime* eventOut sends a time event at *startTime* and at the beginning of each new cycle (useful for synchronization with other time-based objects). The remaining eventOuts generate continuous events. The *fraction\_changed* eventOut, an SFFloat in the closed interval [0,1], sends the completed fraction of the current cycle. The *time* eventOut sends the absolute time for a given *simulation tick*.

If the *enabled* exposedField is TRUE, the TimeSensor node is enabled and may be running. If a *set\_enabled* FALSE event is received while the TimeSensor node is running, the sensor performs the following actions:

- d. evaluates and sends all relevant outputs;
- e. sends a FALSE value for *isActive*;
- f. disables itself.

Events on the exposedFields of the TimeSensor node (e.g., *set\_startTime*) are processed and their corresponding eventOuts (e.g., *startTime\_changed*) are sent regardless of the state of the *enabled* field. The remaining discussion assumes *enabled* is TRUE.

The *loop*, *startTime*, and *stopTime* exposedFields and the *isActive* eventOut and their effects on the TimeSensor node are discussed in detail in [4.6.9, Time-dependent nodes](#). The "cycle" of a TimeSensor node lasts for *cycleInterval* seconds. The value of *cycleInterval* shall be greater than zero.

A *cycleTime* eventOut can be used for synchronization purposes such as sound with animation. The value of a *cycleTime* eventOut will be equal to the time at the beginning of the current cycle. A *cycleTime* eventOut is generated at the beginning of every cycle, including the cycle starting at *startTime*. The first *cycleTime* eventOut for a TimeSensor node can be used as an alarm (single pulse at a specified time).

When a TimeSensor node becomes active, it generates an *isActive* = TRUE event and begins generating *time*, *fraction\_changed*, and *cycleTime* events which may be routed to other nodes to drive animation or simulated behaviours. The behaviour at read time is described below. The *time* event sends the absolute time for a given tick of

the TimeSensor node ([time](#) fields and events represent the number of seconds since midnight GMT January 1, 1970).

*fraction\_changed* events output a floating point value in the closed interval [0, 1]. At *startTime* the value of *fraction\_changed* is 0. After *startTime*, the value of *fraction\_changed* in any cycle will progress through the range (0.0, 1.0]. At  $startTime + N \times cycleInterval$ , for  $N = 1, 2, \dots$ , that is, at the end of every cycle, the value of *fraction\_changed* is 1.

Let *now* represent the time at the current simulation tick. Then the *time* and *fraction\_changed* eventOuts can then be computed as:

```
time = now
temp = (now - startTime) / cycleInterval
f     = fractionalPart(temp)
if (f == 0.0 && now > startTime) fraction_changed = 1.0
else fraction_changed = f
```

where `fractionalPart(x)` is a function that returns the fractional part, (that is, the digits to the right of the decimal point), of a nonnegative floating point number.

A TimeSensor node can be set up to be active at read time by specifying *loop* TRUE (not the default) and *stopTime* less than or equal to *startTime* (satisfied by the default values). The *time* events output absolute times for each tick of the TimeSensor node simulation. The *time* events shall start at the first simulation tick greater than or equal to *startTime*. *time* events end at *stopTime*, or at  $startTime + N \times cycleInterval$  for some positive integer value of  $N$ , or loop forever depending on the values of the other fields. An active TimeSensor node shall stop at the first simulation tick when  $now \geq stopTime > startTime$ .

No guarantees are made with respect to how often a TimeSensor node generates time events, but a TimeSensor node shall generate events at least at every simulation tick. TimeSensor nodes are guaranteed to generate final *time* and *fraction\_changed* events. If *loop* is FALSE at the end of the  $N$ th *cycleInterval* and was TRUE at  $startTime + M \times cycleInterval$  for all  $0 < M < N$ , the final *time* event will be generated with a value of  $(startTime + N \times cycleInterval)$  or *stopTime* (if  $stopTime > startTime$ ), whichever value is less. If *loop* is TRUE at the completion of every cycle, the final event is generated as evaluated at *stopTime* (if  $stopTime > startTime$ ) or never.

An active TimeSensor node ignores *set\_cycleInterval* and *set\_startTime* events. An active TimeSensor node also ignores *set\_stopTime* events for *set\_stopTime* less than or equal to *startTime*. For example, if a *set\_startTime* event is received while a TimeSensor node is active, that *set\_startTime* event is ignored (the *startTime* field is not changed, and a *startTime\_changed* eventOut is not generated). If an active TimeSensor node receives a *set\_stopTime* event that is less than the current time, and greater than *startTime*, it behaves as if the *stopTime* requested is the current time and sends the final events based on the current time (note that *stopTime* is set as specified in the eventIn).

A TimeSensor read from a VRML file shall generate *isActive* TRUE, *time* and *fraction\_changed* events if the sensor is enabled and all conditions for a TimeSensor to be active are met.



## 6.51 TouchSensor

```

TouchSensor {
  exposedField SFBool  enabled TRUE
  eventOut      SFVec3f hitNormal_changed
  eventOut      SFVec3f hitPoint_changed
  eventOut      SFVec2f hitTexCoord_changed
  eventOut      SFBool  isActive
  eventOut      SFBool  isOver
  eventOut      SFTime  touchTime
}

```

A `TouchSensor` node tracks the location and state of the pointing device and detects when the user points at geometry contained by the `TouchSensor` node's parent group. A `TouchSensor` node can be enabled or disabled by sending it an *enabled* event with a value of `TRUE` or `FALSE`. If the `TouchSensor` node is disabled, it does not track user input or send events.

The `TouchSensor` generates events when the pointing device points toward any geometry nodes that are descendants of the `TouchSensor`'s parent group. See [4.6.7.5, Activating and manipulating sensors](#), for more details on using the pointing device to activate the `TouchSensor`.

The *isOver* eventOut reflects the state of the pointing device with regard to whether it is pointing towards the `TouchSensor` node's geometry or not. When the pointing device changes state from a position such that its bearing does not intersect any of the `TouchSensor` node's geometry to one in which it does intersect geometry, an *isOver* `TRUE` event is generated. When the pointing device moves from a position such that its bearing intersects geometry to one in which it no longer intersects the geometry, or some other geometry is obstructing the `TouchSensor` node's geometry, an *isOver* `FALSE` event is generated. These events are generated only when the pointing device has moved and changed 'over' state. Events are not generated if the geometry itself is animating and moving underneath the pointing device.

As the user moves the bearing over the `TouchSensor` node's geometry, the point of intersection (if any) between the bearing and the geometry is determined. Each movement of the pointing device, while *isOver* is `TRUE`, generates *hitPoint\_changed*, *hitNormal\_changed* and *hitTexCoord\_changed* events. *hitPoint\_changed* events contain the 3D point on the surface of the underlying geometry, given in the `TouchSensor` node's coordinate system. *hitNormal\_changed* events contain the surface normal vector at the *hitPoint*. *hitTexCoord\_changed* events contain the texture coordinates of that surface at the *hitPoint*. The values of *hitTexCoord\_changed* and *hitNormal\_changed* events are computed as appropriate for the associated shape.

If *isOver* is `TRUE`, the user may activate the pointing device to cause the `TouchSensor` node to generate *isActive* events (e.g., by pressing the primary mouse button). When the `TouchSensor` node generates an *isActive* `TRUE` event, it grabs all further motion events from the pointing device until it is released and generates an *isActive* `FALSE` event (other pointing-device sensors will not generate events during this time). Motion of the pointing device while *isActive* is `TRUE` is termed a "drag." If a 2D pointing device is in use, *isActive* events reflect the state of the primary button associated with the device (i.e., *isActive* is `TRUE` when the primary button is pressed and `FALSE` when it is released). If a 3D pointing device is in use, *isActive* events will typically reflect whether the pointing device is within (or in contact with) the `TouchSensor` node's geometry.

The eventOut field *touchTime* is generated when all three of the following conditions are true:

- The pointing device was pointing towards the geometry when it was initially activated (*isActive* is `TRUE`).
- The pointing device is currently pointing towards the geometry (*isOver* is `TRUE`).
- The pointing device is deactivated (*isActive* `FALSE` event is also generated).



More information about this behaviour is described in [4.6.7.3, Pointing-device sensors](#), [4.6.7.4, Drag sensors](#), and [4.6.7.5, Activating and manipulating sensors](#).



## 6.52 Transform

```

Transform {
  eventIn      MFNode      addChilden
  eventIn      MFNode      removeChildren
  exposedField SFVec3f      center          0 0 0      # (-∞,∞)
  exposedField MFNode      children        []
  exposedField SFRotation   rotation       0 0 1 0     # [-1,1], (-∞,∞)
  exposedField SFVec3f      scale          1 1 1      # (0,∞)
  exposedField SFRotation   scaleOrientation 0 0 1 0     # [-1,1], (-∞,∞)
  exposedField SFVec3f      translation    0 0 0      # (-∞,∞)
  field        SFVec3f      bboxCenter     0 0 0      # (-∞,∞)
  field        SFVec3f      bboxSize      -1 -1 -1   # (0,∞) or -1,-1,-1
}

```

The Transform node is a grouping node that defines a coordinate system for its children that is relative to the coordinate systems of its ancestors. See [4.4.4, Transformation hierarchy](#), and [4.4.5, Standard units and coordinate system](#), for a description of coordinate systems and transformations.

[4.6.5, Grouping and children nodes](#), provides a description of the *children*, *addChilden*, and *removeChildren* fields and eventIn's.

The *bboxCenter* and *bboxSize* fields specify a bounding box that encloses the children of the Transform node. This is a hint that may be used for optimization purposes. The results are undefined if the specified bounding box is smaller than the actual bounding box of the children at any time. A default *bboxSize* value, (-1, -1, -1), implies that the bounding box is not specified and, if needed, shall be calculated by the browser. The bounding box shall be large enough at all times to enclose the union of the group's children's bounding boxes; it shall not include any transformations performed by the group itself (i.e., the bounding box is defined in the local coordinate system of the children). The results are undefined if the specified bounding box is smaller than the true bounding box of the group. A description of the *bboxCenter* and *bboxSize* fields is provided in [4.6.4, Bounding boxes](#).

The *translation*, *rotation*, *scale*, *scaleOrientation* and *center* fields define a geometric 3D transformation consisting of (in order):

- a. a (possibly) non-uniform scale about an arbitrary point;
- b. a rotation about an arbitrary point and axis;
- c. a translation.

The *center* field specifies a translation offset from the origin of the local coordinate system (0,0,0). The *rotation* field specifies a rotation of the coordinate system. The *scale* field specifies a non-uniform scale of the coordinate system. *scale* values shall be greater than zero. The *scaleOrientation* specifies a rotation of the coordinate system before the scale (to specify scales in arbitrary orientations). The *scaleOrientation* applies only to the scale operation. The *translation* field specifies a translation to the coordinate system.

Given a 3-dimensional point **P** and Transform node, **P** is transformed into point **P'** in its parent's coordinate system by a series of intermediate transformations. In matrix transformation notation, where C (*center*), SR (*scaleOrientation*), T (*translation*), R (*rotation*), and S (*scale*) are the equivalent transformation matrices,



$$P' = T \times C \times R \times SR \times S \times -SR \times -C \times P$$

The following Transform node:

```

Transform {
  center          C
  rotation        R
  scale           S
  scaleOrientation SR
  translation      T
  children        [...]
}

```

is equivalent to the nested sequence of:

```

Transform {
  translation T
  children Transform {
    translation C
    children Transform {
      rotation R
      children Transform {
        rotation SR
        children Transform {
          scale S
          children Transform {
            rotation -SR
            children Transform {
              translation -C
              children [...]
            }
          }
        }
      }
    }
  }
}

```



## 6.53 Viewpoint

```

Viewpoint {
  eventIn          SFFloat      set_bind
  exposedField     SFBool        fieldOfView    0.785398 # (0,π)
  exposedField     SFBool        jump            TRUE
  exposedField     SFRotation    orientation     0 0 1 0 # [-1,1], (-∞,∞)
  exposedField     SFVec3f       position        0 0 10 # (-∞,∞)
  field            SFString      description     ""
  eventOut         SFTime        bindTime
  eventOut         SFBool        isBound
}

```

The Viewpoint node defines a specific location in the local coordinate system from which the user may view the scene. Viewpoint nodes are bindable children nodes (see [4.6.10, Bindable children nodes](#)) and thus there exists a Viewpoint node stack in the browser in which the top-most Viewpoint node on the stack is the currently active Viewpoint node. If a TRUE value is sent to the *set\_bind* eventIn of a Viewpoint node, it is moved to the top of the Viewpoint node stack and activated. When a Viewpoint node is at the top of the stack, the user's view is conceptually re-parented as a child of the Viewpoint node. All subsequent changes to the Viewpoint node's coordinate system change the user's view (e.g., changes to any ancestor transformation nodes or to the Viewpoint node's *position* or *orientation* fields). Sending a *set\_bind* FALSE event removes the Viewpoint node from the stack

and produces *isBound* FALSE and *bindTime* events. If the popped Viewpoint node is at the top of the viewpoint stack, the user's view is re-parented to the next entry in the stack. More details on binding stacks can be found in [4.6.10, Bindable children nodes](#). When a Viewpoint node is moved to the top of the stack, the existing top of stack Viewpoint node sends an *isBound* FALSE event and is pushed down the stack.

An author can automatically move the user's view through the world by binding the user to a Viewpoint node and then animating either the Viewpoint node or the transformations above it. Browsers shall allow the user view to be navigated relative to the coordinate system defined by the Viewpoint node (and the transformations above it) even if the Viewpoint node or its ancestors' transformations are being animated.

The *bindTime* eventOut sends the time at which the Viewpoint node is bound or unbound. This can happen:

- a. during loading;
- b. when a *set\_bind* event is sent to the Viewpoint node;
- c. when the browser binds to the Viewpoint node through its user interface described below.

The *position* and *orientation* fields of the Viewpoint node specify relative locations in the local coordinate system. *Position* is relative to the coordinate system's origin (0,0,0), while *orientation* specifies a rotation relative to the default orientation. In the default position and orientation, the viewer is on the Z-axis looking down the -Z-axis toward the origin with +X to the right and +Y straight up. Viewpoint nodes are affected by the transformation hierarchy.

Navigation types (see [6.29, NavigationInfo](#)) that require a definition of a down vector (e.g., terrain following) shall use the negative Y-axis of the coordinate system of the currently bound Viewpoint node. Likewise, navigation types that require a definition of an up vector shall use the positive Y-axis of the coordinate system of the currently bound Viewpoint node. The *orientation* field of the Viewpoint node does not affect the definition of the down or up vectors. This allows the author to separate the viewing direction from the gravity direction.

The *jump* field specifies whether the user's view "jumps" to the position and orientation of a bound Viewpoint node or remains unchanged. This jump is instantaneous and discontinuous in that no collisions are performed and no ProximitySensor nodes are checked in between the starting and ending jump points. If the user's position before the jump is inside a ProximitySensor the *exitTime* of that sensor shall send the same timestamp as the bind eventIn. Similarly, if the user's position after the jump is inside a ProximitySensor the *enterTime* of that sensor shall send the same timestamp as the bind eventIn. Regardless of the value of *jump* at bind time, the relative viewing transformation between the user's view and the current Viewpoint node shall be stored with the current Viewpoint node for later use when *un-jumping* (i.e., popping the Viewpoint node binding stack from a Viewpoint node with *jump* TRUE). The following summarizes the bind stack rules (see [4.6.10, Bindable children nodes](#)) with additional rules regarding Viewpoint nodes (displayed in boldface type):

- d. During read, the first encountered Viewpoint node is bound by pushing it to the top of the Viewpoint node stack. If a Viewpoint node name is specified in the URL that is being read, this named Viewpoint node is considered to be the first encountered Viewpoint node. Nodes contained within [Inline](#) nodes, within the strings passed to the `Browser.createVrmlFromString()` method, or within files passed to the `Browser.createVrmlFromURL()` method (see [4.12.10, Browser script interface](#)) are not candidates for the first encountered Viewpoint node. The first node within a prototype instance is a valid candidate for the first encountered Viewpoint node. The first encountered Viewpoint node sends an *isBound* TRUE event.
- e. When a *set\_bind* TRUE event is received by a Viewpoint node,
  1. If it is not on the top of the stack: **The relative transformation from the current top of stack Viewpoint node to the user's view is stored with the current top of stack Viewpoint node.** The current top of stack node sends an *isBound* FALSE event. The new node is moved to the top of the stack and becomes the currently bound Viewpoint node. The new Viewpoint node (top of stack) sends an *isBound* TRUE event. **If *jump* is TRUE for the new Viewpoint node, the user's view is**

**instantaneously "jumped" to match the values in the *position* and *orientation* fields of the new Viewpoint node.**

2. If the node is already at the top of the stack, this event has no affect.
- f. When a *set\_bind* FALSE event is received by a Viewpoint node in the stack, it is removed from the stack. If it was on the top of the stack,
  1. it sends an *isBound* FALSE event,
  2. the next node in the stack becomes the currently bound Viewpoint node (i.e., pop) and issues an *isBound* TRUE event,
  3. **if its *jump* field value is TRUE, the user's view is instantaneously "jumped" to the *position* and *orientation* of the next Viewpoint node in the stack with the stored relative transformation of this next Viewpoint node applied.**
- g. If a *set\_bind* FALSE event is received by a node not in the stack, the event is ignored and *isBound* events are not sent.
- h. When a node replaces another node at the top of the stack, the *isBound* TRUE and FALSE events from the two nodes are sent simultaneously (i.e., with identical timestamps).
- i. If a bound node is deleted, it behaves as if it received a *set\_bind* FALSE event (see c.).

The *jump* field may change after a Viewpoint node is bound. The rules described above still apply. If *jump* was TRUE when the Viewpoint node is bound, but changed to FALSE before the *set\_bind* FALSE is sent, the Viewpoint node does not *un-jump* during unbind. If *jump* was FALSE when the Viewpoint node is bound, but changed to TRUE before the *set\_bind* FALSE is sent, the Viewpoint node does perform the *un-jump* during unbind.

Note that there are two other mechanisms that result in the binding of a new Viewpoint:

- j. An Anchor node's *url* field specifies a "#ViewpointName".
- k. A script invokes the `loadURL( )` method and the URL argument specifies a "#ViewpointName".

Both of these mechanisms override the *jump* field value of the specified Viewpoint node (#ViewpointName) and assume that *jump* is TRUE when binding to the new Viewpoint. The behaviour of the viewer transition to the newly bound Viewpoint depends on the currently bound NavigationInfo node's *type* field value (see [6.29, NavigationInfo](#)).

The *fieldOfView* field specifies a preferred minimum viewing angle from this viewpoint in radians. A small field of view roughly corresponds to a telephoto lens; a large field of view roughly corresponds to a wide-angle lens. The field of view shall be greater than zero and smaller than  $\pi$ . The value of *fieldOfView* represents the minimum viewing angle in any direction axis perpendicular to the view. For example, a browser with a rectangular viewing projection shall have the following relationship:

$$\frac{\text{display width}}{\text{display height}} = \frac{\tan(\text{FOV}_{\text{horizontal}}/2)}{\tan(\text{FOV}_{\text{vertical}}/2)}$$

where the smaller of display width or display height determines which angle equals the *fieldOfView* (the larger angle is computed using the relationship described above). The larger angle shall not exceed  $\pi$  and may force the smaller angle to be less than *fieldOfView* in order to sustain the aspect ratio.

The *description* field specifies a textual description of the Viewpoint node. This may be used by browser-specific user interfaces. If a Viewpoint's *description* field is empty it is recommended that the browser not present this Viewpoint in its browser-specific user interface.

The URL syntax ".../scene.wrl#ViewpointName" specifies the user's initial view when loading "scene.wrl" to be the first Viewpoint node in the VRML file that appears as **DEF ViewpointName Viewpoint {...}**. This overrides the first Viewpoint node in the VRML file as the initial user view, and a *set\_bind* TRUE message is sent to the Viewpoint node named "ViewpointName". If the Viewpoint node named "ViewpointName" is not found, the browser shall use the first Viewpoint node in the VRML file (i.e. the normal default behaviour). The URL syntax "#ViewpointName" (i.e. no file name) specifies a viewpoint within the existing VRML file. If this URL is loaded (e.g. Anchor node's *url* field or *loadURL()* method is invoked by a Script node), the Viewpoint node named "ViewpointName" is bound (a *set\_bind* TRUE event is sent to this Viewpoint node).

The results are undefined if a Viewpoint node is bound and is the child of an LOD, Switch, or any node or prototype that disables its children. If a Viewpoint node is bound that results in collision with geometry, the browser shall perform its self-defined navigation adjustments as if the user navigated to this point (see [6.8, Collision](#)).



## 6.54 VisibilitySensor

```
VisibilitySensor {
  exposedField SFVec3f  center    0 0 0      # (-∞,∞)
  exposedField SFBool   enabled   TRUE
  exposedField SFVec3f  size     0 0 0      # [0,∞)
  eventOut      SFTime   enterTime
  eventOut      SFTime   exitTime
  eventOut      SFBool   isActive
}
```

The VisibilitySensor node detects visibility changes of a rectangular box as the user navigates the world. VisibilitySensor is typically used to detect when the user can see a specific object or region in the scene in order to activate or deactivate some behaviour or animation. The purpose is often to attract the attention of the user or to improve performance.

The *enabled* field enables and disables the VisibilitySensor node. If *enabled* is set to FALSE, the VisibilitySensor node does not send events. If *enabled* is TRUE, the VisibilitySensor node detects changes to the visibility status of the box specified and sends events through the *isActive* eventOut. A TRUE event is output to *isActive* when any portion of the box impacts the rendered view. A FALSE event is sent when the box has no effect on the view. Browsers shall guarantee that, if *isActive* is FALSE, the box has absolutely no effect on the rendered view. Browsers may err liberally when *isActive* is TRUE. For example, the box may affect the rendering.

The exposed fields *center* and *size* specify the object space location of the box centre and the extents of the box (i.e., width, height, and depth). The VisibilitySensor node's box is affected by hierarchical transformations of its parents. The components of the *size* field shall be greater than or equal to zero.

The *enterTime* event is generated whenever the *isActive* TRUE event is generated, and *exitTime* events are generated whenever *isActive* FALSE events are generated. A VisibilitySensor read from a VRML file shall generate *isActive* TRUE and *enterTime* events if the sensor is enabled and the visibility box is visible. A VisibilitySensor inserted into the transformation hierarchy shall generate *isActive* TRUE and *enterTime* events if the sensor is enabled and the visibility box is visible. A VisibilitySensor removed from the transformation hierarchy shall generate *isActive* FALSE and *exitTime* events if the sensor is enabled and the visibility box is visible.

Each VisibilitySensor node behaves independently of all other VisibilitySensor nodes. Every enabled VisibilitySensor node that is affected by the user's movement receives and sends events, possibly resulting in multiple VisibilitySensor nodes receiving and sending events simultaneously. Unlike TouchSensor nodes, there is no notion of a VisibilitySensor node lower in the scene graph "grabbing" events. Multiply instanced VisibilitySensor

nodes (i.e., DEF/USE) use the union of all the boxes defined by their instances. An instanced VisibilitySensor node shall detect visibility changes for all instances of the box and send events appropriately.



## 6.55 WorldInfo

```
WorldInfo {  
  field MFString info []  
  field SFString title ""  
}
```

The WorldInfo node contains information about the world. This node is strictly for documentation purposes and has no effect on the visual appearance or behaviour of the world. The *title* field is intended to store the name or title of the world so that browsers can present this to the user (perhaps in the window border). Any other information about the world can be stored in the *info* field, such as author information, copyright, and usage instructions.



# 7 Conformance and minimum support requirements



## 7.1 Introduction

### 7.1.1 Table of contents

- 7.1 [Introduction](#)
  - 7.1.1 [Table of contents](#)
  - 7.1.2 [Objectives](#)
  - 7.1.3 [Scope](#)
- 7.2 [Conformance](#)
  - 7.2.1 [Conformance of VRML files](#)
  - 7.2.2 [Conformance of VRML generators](#)
  - 7.2.3 [Conformance of VRML browsers](#)
- 7.3 [Minimum support requirements](#)
  - 7.3.1 [Minimum support requirements for generators](#)
  - 7.3.2 [Minimum support requirements for browsers](#)
  - 7.3.3 [VRML requirements for conforming to the base profile](#)
  - 7.3.4 [Sound priority, attenuation, and spatialization](#)

### 7.1.2 Objectives

This clause addresses conformance of VRML files, VRML generators and VRML browsers.

The primary objectives of the specifications in this clause are:

- a. to promote interoperability by eliminating arbitrary subsets of, or extensions to, ISO/IEC 14772;
- b. to promote uniformity in the development of conformance tests;
- c. to promote consistent results across VRML browsers;
- d. to facilitate automated test generation.

### 7.1.3 Scope

Conformance is defined for VRML files and for VRML browsers. For VRML generators, conformance guidelines are presented for enhancing the likelihood of successful interoperability.

A concept of *base profile conformance* is defined to ensure interoperability of VRML generators and VRML browsers. Base profile conformance is based on a set of limits and minimal requirements. Base profile conformance is intended to provide a functional level of reasonable utility for VRML generators while limiting the complexity and resource requirements of VRML browsers. Base profile conformance may not be adequate for all uses of VRML.

This clause addresses the VRML data stream and implementation requirements. Implementation requirements include the latitude allowed for VRML generators and VRML browsers. This clause does not directly address the environmental, performance, or resource requirements of the generator or browser.

This clause does not define the application requirements or dictate application functional content within a VRML file.

The scope of this clause is limited to rules for the open interchange of VRML content.



## 7.2 Conformance

### 7.2.1 Conformance of VRML files

A VRML file is *syntactically correct* according to ISO/IEC 14772 if the following conditions are met:

- a. The VRML file contains as its first element a VRML header comment (see [4.2.2, Header](#)).
- b. All entities contained therein match the functional specification of the corresponding entities of ISO/IEC 14772-1. The VRML file shall obey the relationships defined in the formal grammar and all other syntactic requirements.
- c. The sequence of entities in the VRML file obeys the relationships specified in ISO/IEC 14772-1 producing the structure specified in ISO/IEC 14772-1.
- d. All field values in the VRML file obey the relationships specified in ISO/IEC 14772-1 producing the structure specified in ISO/IEC 14772-1.
- e. No nodes appear in the VRML file other than those specified in ISO/IEC 14772-1 unless required for the encoding technique or those defined by the PROTO or EXTERNPROTO entities.
- f. The VRML file is encoded according to the rules of ISO/IEC 14772.
- g. It does not contain behaviour described as undefined elsewhere in this specification.

A VRML file conforms to the *base profile* if:

- h. It is syntactically correct.
- i. It meets the restrictions of [Table 7.1](#).

### 7.2.2 Conformance of VRML generators

A VRML generator is conforming to this part of ISO/IEC 14772 if all VRML files that are generated are syntactically correct.

A VRML generator conforms to the base profile if it can be configured such that all VRML files generated conform to the base profile.

### 7.2.3 Conformance of VRML browsers

A VRML browser conforms to the base profile if:

- a. It is able to read any VRML file that conforms to the base profile.
- b. It presents the graphical and audio characteristics of the VRML nodes in any VRML file that conforms to the base profile, within the latitude defined in this clause.
- c. It correctly handles user interaction and generation of events as specified in ISO/IEC 14772, within the latitude defined in this clause.
- d. It satisfies the requirements of [7.3.2, Minimum support requirements for browsers](#), as enumerated in [Table 7.1](#).



## 7.3 Minimum support requirements

### 7.3.1 Minimum support requirements for generators

There is no minimum complexity which is required of (or appropriate for) VRML generators. Any compliant set of nodes of arbitrary complexity may be generated, as appropriate to represent application content.

### 7.3.2 Minimum support requirements for browsers

This subclause defines the minimum complexity which shall be supported by a VRML browser. Browser implementations may choose to support greater limits but may not reduce the limits described in [Table 7.1](#). When the VRML file contains nodes which exceed the limits implemented by the browser, the results are undefined. Where latitude is specified in [Table 7.1](#) for a particular node, full support is required for other aspects of that node.

### 7.3.3 VRML requirements for conforming to the base profile

In the following table, the first column defines the item for which conformance is being defined. In some cases, general limits are defined but are later overridden in specific cases by more restrictive limits. The second column defines the requirements for a VRML file conforming to the base profile; if a VRML file contains any items that exceed these limits, it may not be possible for a VRML browser conforming to the base profile to successfully parse that VRML file. The third column defines the minimum complexity for a VRML scene that a VRML browser conforming to the base profile shall be able to present to the user. The word "ignore" in the minimum browser support column refers only to the display of the item; in particular, *set\_* events to ignored exposedFields must still generate corresponding *\_changed* events.



Table 7.1 -- Specifications for VRML browsers conforming to the base profile

<i>Item</i>	<b>VRML File Limit</b>	<b>Minimum Browser Support</b>
All groups	500 children.	500 children. Ignore <i>bboxCenter</i> and <i>bboxSize</i> .
All interpolators	1000 key-value pairs.	1000 key-value pairs.
All lights	8 simultaneous lights.	8 simultaneous lights.
Names for DEF/PROTO/field	50 utf8 octets.	50 utf8 octets.
All <i>url</i> fields	10 URLs.	10 URLs. URN's ignored. Support `http`, `file`, and `ftp` protocols. Support relative URLs where relevant.
PROTO/ EXTERNPROTO	30 fields, 30 eventIns, 30 eventOuts, 30 exposedFields.	30 fields, 30 eventIns, 30 eventOuts, 30 exposedFields.
EXTERNPROTO	n/a	URL references VRML files conforming to the base profile
PROTO definition nesting depth	5 levels.	5 levels.
SFBool	No restrictions.	Full support.
SFColor	No restrictions.	Full support.
SFFloat	No restrictions.	Full support.
SFImage	256 width. 256 height.	256 width. 256 height.
SFInt32	No restrictions.	Full support.
SFNode	No restrictions.	Full support.
SFRotation	No restrictions.	Full support.
SFString	30,000 utf8 octets.	30,000 utf8 octets.
SFTime	No restrictions.	Full support.
SFVec2f	15,000 values.	15,000 values.
SFVec3f	15,000 values.	15,000 values.

MFColor	15,000 values.	15,000 values.
MFFloat	1,000 values.	1,000 values.
MFInt32	20,000 values.	20,000 values.
MFNode	500 values.	500 values.
MFRotation	1,000 values.	1,000 values.
MFString	30,000 utf8 octets per string, 10 strings.	30,000 utf8 octets per string, 10 strings.
MFTime	1,000 values.	1,000 values.
MFVec2f	15,000 values.	15,000 values.
MFVec3f	15,000 values.	15,000 values.
Anchor	No restrictions.	Ignore <i>parameter</i> . Ignore <i>description</i> .
Appearance	No restrictions.	Full support.
AudioClip	30 second uncompressed PCM WAV.	30 second uncompressed PCM WAV. Ignore <i>description</i> .
Background	No restrictions.	One <i>skyColor</i> , one <i>groundColor</i> , panorama images as per <i>ImageTexture</i> .
Billboard	Restrictions as for all groups.	Full support except as for all groups.
Box	No restrictions.	Full support.
Collision	Restrictions as for all groups.	Full support except as for all groups. Any navigation behaviour acceptable when collision occurs.
Color	15,000 colours.	15,000 colours.
ColorInterpolator	Restrictions as for all interpolators.	Full support except as for all interpolators.
Cone	No restrictions.	Full support.
Coordinate	15,000 points.	15,000 points.
CoordinateInterpolator	15,000 coordinates per	15,000 coordinates per <i>keyValue</i> . Support as for all

	<i>keyValue</i> . Restrictions as for all interpolators.	interpolators.
Cylinder	No restrictions.	Full support.
CylinderSensor	No restrictions.	Full support.
DirectionalLight	No restrictions.	Not scoped by parent Group or Transform.
ElevationGrid	16,000 heights.	16,000 heights.
Extrusion	(# <i>crossSection</i> points)*(# <i>spine</i> points) <= 2,500.	(# <i>crossSection</i> points)*(# <i>spine</i> points) <= 2,500.
Fog	No restrictions.	"EXPONENTIAL" treated as "LINEAR"
FontStyle	No restrictions.	If the values of the text aspects character set, <i>family</i> , <i>style</i> cannot be simultaneously supported, the order of precedence shall be: 1) character set 2) <i>family</i> 3) <i>style</i> . Browser must display all characters in ISO 8859-1 character set <a href="#">2.[I8859]</a> .
Group	Restrictions as for all groups.	Full support except as for all groups.
ImageTexture	JPEG and PNG format. Restrictions as for PixelTexture.	JPEG and PNG format. Support as for PixelTexture.
IndexedFaceSet	10 vertices per face. 5000 faces. Less than 15,000 indices.	10 vertices per face. 5000 faces. 15,000 indices in any index field.
IndexedLineSet	15,000 total vertices. 15,000 indices in any index field.	15,000 total vertices. 15,000 indices in any index field.
Inline	No restrictions.	Full support except as for all groups. <i>url</i> references VRML files conforming to the base profile
LOD	Restrictions as for all groups.	At least first 4 <i>level/range</i> combinations interpreted, and support as for all groups. Implementations may disregard <i>level</i> distances.
Material	No restrictions.	Ignore ambient intensity. Ignore specular colour. Ignore emissive colour. One-bit transparency; transparency values >= 0.5 transparent.

MovieTexture	MPEG1-Systems and MPEG1-Video formats.	MPEG1-Systems and MPEG1-Video formats. Display one active movie texture. Ignore <i>speed</i> field.
NavigationInfo	No restrictions.	Ignore <i>avatarSize</i> . Ignore <i>visibilityLimit</i> .
Normal	15,000 normals	15,000 normals
NormalInterpolator	15,000 normals per <i>keyValue</i> . Restrictions as for all interpolators.	15,000 normals per <i>keyValue</i> . Support as for all interpolators.
OrientationInterpolator	Restrictions as for all interpolators.	Full support except as for all interpolators.
PixelTexture	256 width. 256 height.	256 width. 256 height. Display fully transparent and fully opaque pixels.
PlaneSensor	No restrictions.	Full support.
PointLight	No restrictions.	Ignore <i>radius</i> . Linear attenuation.
PointSet	5000 points.	5000 points.
PositionInterpolator	Restrictions as for all interpolators.	Full support except as for all interpolators.
ProximitySensor	No restrictions.	Full support.
ScalarInterpolator	Restrictions as for all interpolators.	Full support except as for all interpolators.
Script	25 eventIns. 25 eventOuts. 25 fields.	25 eventIns. 25 eventOuts. 25 fields. No scripting language support required.
Shape	No restrictions.	Full support.
Sound	No restrictions.	2 active sounds. Linear distance attenuation. No spatialization. See 7.3.4.
Sphere	No restrictions.	Full support.
SphereSensor	No restrictions.	Full support.
SpotLight	No restriction	Ignore <i>beamWidth</i> . Ignore <i>radius</i> . Linear attenuation.
Switch	Restrictions as for all groups.	Full support except as for all groups.

Text	100 characters per string. 100 strings.	100 characters per string. 100 strings.
TextureCoordinate	15,000 coordinates.	15,000 coordinates.
TextureTransform	No restrictions.	Full support.
TimeSensor	No restrictions.	Ignored if <i>cycleInterval</i> < 0.01 second.
TouchSensor	No restrictions.	Full support.
Transform	Restrictions as for all groups.	Full support except as for all groups.
Viewpoint	No restrictions.	Ignore <i>fieldOfView</i> . Ignore <i>description</i> .
VisibilitySensor	No restrictions.	Always visible.
WorldInfo	No restrictions.	Ignored.

### 7.3.4 Sound priority, attenuation, and spatialization

#### 7.3.4.1 Sound priority

If the browser does not have the resources to play all of the currently active sounds, it is recommended that the browser sort the active sounds into an ordered list using the following sort keys in the order specified:

- a. decreasing *priority*;
- b. for sounds with *priority* > 0.5, increasing (now-*startTime*);
- c. decreasing *intensity* at viewer location (*intensity* &times; intensity attenuation);

where *priority* is the *priority* field of the Sound node, now represents the current time, *startTime* is the *startTime* field of the audio source node specified in the *source* field, and intensity attenuation refers to the intensity multiplier derived from the linear decibel attenuation ramp between inner and outer ellipsoids.

It is important that sort key 2 be used for the high priority (event and cue) sounds so that new cues will be heard even when the browser is "full" of currently active high priority sounds. Sort key 2 should not be used for normal priority sounds, so selection among them will be based on sort key 3 (intensity at the location of the viewer).

The browser shall play as many sounds from the beginning of this sorted list as it can given available resources and allowable latency between rendering. On most systems, the resources available for MIDI streams are different from those for playing sampled sounds, thus it may be beneficial to maintain a separate list to handle MIDI data.

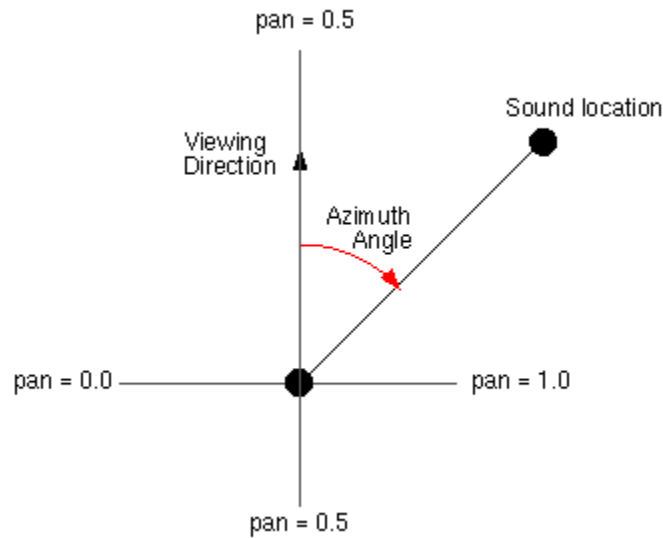
#### 7.3.4.2 Sound attenuation and spatialization

In order to create a linear decrease in loudness as the viewer moves from the inner to the outer ellipsoid of the sound, the attenuation must be based on a linear decibel ramp. To make the falloff consistent across browsers, the decibel ramp is to vary from 0 dB at the minimum ellipsoid to -20 dB at the outer ellipsoid. Sound nodes with an outer ellipsoid that is ten times larger than the minimum will display the inverse square intensity dropoff that approximates sound attenuation in an anechoic environment.

Browsers may support spatial localization of sounds whose *spatialize* field is TRUE as well as their underlying sound libraries will allow. Browsers shall at least support stereo panning of non-MIDI sounds based on the angle between the viewer and the source. This angle is obtained by projecting the Sound *location* (in global space) onto the XZ plane of the viewer. Determine the angle between the Z-axis and the vector from the viewer to the transformed *location*, and assign a pan value in the range [0.0, 1.0] as depicted in [Figure 7.1](#). Given this pan value, left and right channel levels can be obtained using the following equations:

$$\text{leftPanFactor} = 1 - \text{pan}^2$$

$$\text{rightPanFactor} = 1 - (1 - \text{pan})^2$$



**Figure 7.1: Stereo Panning**

Using this technique, the loudness of the sound is modified by the *intensity* field value, then distance attenuation to obtain the unspatialized audio output. The values in the unspatialized audio output are then scaled by *leftPanFactor* and *rightPanFactor* to determine the final left and right output signals. The use of more sophisticated localization techniques is encouraged, but not required (see [E.\[SNDB\]](#)).



# Annex A

## (normative)

# Grammar definition



## A.1 Table of contents and introduction

### A.1.1 Table of contents

This annex provides a detailed description of the grammar for each syntactic element in this part of ISO/IEC 14772. The following table of contents lists the topics in this clause:

[A.1 Table of contents and introduction](#)

[A.1.1 Table of contents](#)

[A.1.2 Introduction](#)

[A.2 General](#)

[A.3 Nodes](#)

[A.4 Fields](#)

### A.1.2 Introduction

It is not possible to parse VRML files using a context-free grammar. Semantic knowledge of the names and types of fields, eventIns, and eventOuts for each node type (either built-in or user-defined using **PROTO** or **EXTERNPROTO**) shall be used during parsing so that the parser knows which field type is being parsed.

The '#' (0x23) character begins a comment wherever it appears outside of the first line of the VRML file or quoted SFString or MFString fields. The '#' character and all characters until the next line terminator comprise the comment and are treated as whitespace.

The carriage return (0x0d), linefeed (0x0a), space (0x20), tab (0x09), and comma (0x2c) characters are whitespace characters wherever they appear outside of quoted SFString or MFString fields. Any number of whitespace characters and comments may be used to separate the syntactic entities of a VRML file. All reserved keywords are displayed in boldface type.

Any characters (including linefeed and '#') may appear within the quotes of SFString and MFString fields. A double quote character within a string shall be preceded with a backslash (e.g. "Each double quotes character\" shall have a backslash."). A backslash character within a string shall be preceded with a backslash forming two backslashes (e.g., "One backslash \\ character").

Clause [6, Nodes reference](#), contains a description of the allowed fields, eventIns and eventOuts for all pre-defined node types. The *double*, *float*, and *int32* symbols are expressed using Perl regular expression syntax; see [E.\[PERL\]](#) for details. The *IdFirstChar*, *IdRestChars*, and *string* symbols have not been formally specified; Clause [5, Fields and events reference](#), contains a more complete description of their syntax.

The following conventions are used in the semi-formal grammar specified in this clause:

- a. Keywords and terminal symbols which appear literally in the VRML file, are specified in **bold**.
- b. Nonterminal symbols used in the grammar are specified in *italic*.
- c. Production rules begin with a nonterminal symbol and the sequence of characters "::<=", and end with a semi-colon (";").
- d. Alternation for production rules is specified using the vertical-bar symbol ("|").

[Table A.1](#) contains the complete list of lexical elements for the grammar in this part of ISO/IEC 14772.

**Table A.1 -- VRML lexical elements**

<b>Keywords</b>	<b>Terminal symbols</b>	<b>Other symbols</b>
<b>DEF</b>	period (.)	<i>Id</i>
<b>EXTERNPROTO</b>	open brace ({)	<i>double</i>
<b>FALSE</b>	close brace (})	<i>fieldType</i>
<b>IS</b>	open bracket ([)	<i>float</i>
<b>NULL</b>	close bracket (])	<i>int32</i>
<b>PROTO</b>		<i>string</i>
<b>ROUTE</b>		
<b>TO</b>		
<b>TRUE</b>		
<b>USE</b>		
<b>eventIn</b>		
<b>eventOut</b>		
<b>exposedField</b>		
<b>field</b>		

Terminal symbols and the string symbol may be separated by one or more whitespace characters. Keywords and the *Id*, *fieldType*, *float*, *int32*, and *double* symbols shall be separated by one or more whitespace characters.



## A.2 General

```

vrmScene ::=
    statements ;

statements ::=
    statement |
    statement statements |
    empty ;

statement ::=
    nodeStatement |
    protoStatement |
    routeStatement ;

nodeStatement ::=
    node |
    DEF nodeNameId node |
    USE nodeNameId ;

```



```

rootNodeStatement ::=
    node / DEF nodeNameId node ;

protoStatement ::=
    proto /
    externproto ;

protoStatements ::=
    protoStatement /
    protoStatement protoStatements /
    empty ;

proto ::=
    PROTO nodeTypeId [ interfaceDeclarations ] { protoBody } ;

protoBody ::=
    protoStatements rootNodeStatement statements ;

interfaceDeclarations ::=
    interfaceDeclaration /
    interfaceDeclaration interfaceDeclarations /
    empty ;

restrictedInterfaceDeclaration ::=
    eventIn fieldType eventInId /
    eventOut fieldType eventOutId /
    field fieldType fieldId fieldValue ;

interfaceDeclaration ::=
    restrictedInterfaceDeclaration /
    exposedField fieldType fieldId fieldValue ;

externproto ::=
    EXTERNPROTO nodeTypeId [ externInterfaceDeclarations ] URLList ;

externInterfaceDeclarations ::=
    externInterfaceDeclaration /
    externInterfaceDeclaration externInterfaceDeclarations /
    empty ;

externInterfaceDeclaration ::=
    eventIn fieldType eventInId /
    eventOut fieldType eventOutId /
    field fieldType fieldId /
    exposedField fieldType fieldId ;

routeStatement ::=
    ROUTE nodeNameId . eventOutId TO nodeNameId . eventInId ;

URLList ::=
    mfstringValue ;

empty ::=
    ;

```



## **A.3 Nodes**

```

node ::=
  nodeTypeId { nodeBody } /
  Script { scriptBody } ;

nodeBody ::=
  nodeBodyElement /
  nodeBodyElement nodeBody /
  empty ;

scriptBody ::=
  scriptBodyElement /
  scriptBodyElement scriptBody /
  empty ;

scriptBodyElement ::=
  nodeBodyElement /
  restrictedInterfaceDeclaration /
  eventIn fieldType eventInId IS eventInId /
  eventOut fieldType eventOutId IS eventOutId /
  field fieldType fieldId IS fieldId ;

nodeBodyElement ::=
  fieldId fieldValue /
  fieldId IS fieldId /
  eventInId IS eventInId /
  eventOutId IS eventOutId /
  routeStatement /
  protoStatement ;

nodeNameId ::=
  Id ;

nodeTypeId ::=
  Id ;

fieldId ::=
  Id ;

eventInId ::=
  Id ;

eventOutId ::=
  Id ;

Id ::=
  IdFirstChar /
  IdFirstChar IdRestChars ;

IdFirstChar ::=
  Any ISO-10646 character encoded using UTF-8 except: 0x30-0x39, 0x0-0x20, 0x22, 0x23, 0x27, 0x2b, 0x2c,
  0x2d, 0x2e, 0x5b, 0x5c, 0x5d, 0x7b, 0x7d, 0x7f ;

IdRestChars ::=
  Any number of ISO-10646 characters except: 0x0-0x20, 0x22, 0x23, 0x27, 0x2c, 0x2e, 0x5b, 0x5c, 0x5d, 0x7b,
  0x7d, 0x7f ;

```



## A.4 Fields

*fieldType ::=*

**MFColor** |  
**MFFloat** |  
**MFInt32** |  
**MFNode** |  
**MFRotation** |  
**MFString** |  
**MFTime** |  
**MFVec2f** |  
**MFVec3f** |  
**SFBool** |  
**SFColor** |  
**SFFloat** |  
**SFImage** |  
**SFInt32** |  
**SFNode** |  
**SFRotation** |  
**SFString** |  
**SFTime** |  
**SFVec2f** |  
**SFVec3f** ;

*fieldValue ::=*

*sfboolValue* /  
*sfcolorValue* /  
*sffloatValue* /  
*sfimageValue* /  
*sfint32Value* /  
*sfnodeValue* /  
*sfrotationValue* /  
*sfstringValue* /  
*sftimeValue* /  
*sfvec2fValue* /  
*sfvec3fValue* /  
*mfcolorValue* /  
*mffloatValue* /  
*mfint32Value* /  
*mfnodeValue* /  
*mfrotationValue* /  
*mfstringValue* /  
*mftimeValue* /  
*mfvec2fValue* /  
*mfvec3fValue* ;

*sfboolValue ::=*

**TRUE** |  
**FALSE** ;

*sfcolorValue ::=*

*float float float* ;

*sffloatValue ::=*

*float* ;

*float* ::=  
 ([+/-]?(((0-9)+(.)?)([0-9]\*\.[0-9]+)))([eE][+/-]?[0-9]+)?).

*sfimageValue* ::=  
*int32 int32 int32 ...*

*sfint32Value* ::=  
*int32* ;

*int32* ::=  
 ([+/-]?((0-9+)|(0[xX][0-9a-fA-F]+)))

*sfnodeValue* ::=  
*nodeStatement* /  
**NULL** ;

*sfrotationValue* ::=  
*float float float float* ;

*sfstringValue* ::=  
*string* ;

*string* ::=  
 ".\*" ... double-quotes must be \", backslashes must be \\...

*sftimeValue* ::=  
*double* ;

*double* ::=  
 ([+/-]?(((0-9)+(.)?)([0-9]\*\.[0-9]+)))([eE][+/-]?[0-9]+)?)

*mftimeValue* ::=  
*sftimeValue* /  
 [ ] /  
 [ *sftimeValues* ] ;

*sftimeValues* ::=  
*sftimeValue* /  
*sftimeValue sftimeValues* ;

*sfvec2fValue* ::=  
*float float* ;

*sfvec3fValue* ::=  
*float float float* ;

*mfcolorValue* ::=  
*sfcolorValue* /  
 [ ] /  
 [ *sfcolorValues* ] ;

*sfcolorValues* ::=  
*sfcolorValue* /  
*sfcolorValue sfcolorValues* ;

*mffloatValue* ::=  
*sffloatValue* /  
 [ ] /  
 [ *sffloatValues* ] ;

```

sffloatValues ::=
  sffloatValue /
  sffloatValue sffloatValues ;

mfint32Value ::=
  sfint32Value /
  [ ] /
  [ sfint32Values ] ;

sfint32Values ::=
  sfint32Value /
  sfint32Value sfint32Values ;

mfnodeValue ::=
  nodeStatement /
  [ ] /
  [ nodeStatements ] ;

nodeStatements ::=
  nodeStatement /
  nodeStatement nodeStatements ;

mfrotationValue ::=
  sfrotationValue /
  [ ] /
  [ sfrotationValues ] ;

sfrotationValues ::=
  sfrotationValue /
  sfrotationValue sfrotationValues ;

mfstringValue ::=
  sfstringValue /
  [ ] /
  [ sfstringValueValues ] ;

sfstringValueValues ::=
  sfstringValue /
  sfstringValue sfstringValueValues ;

mfvec2fValue ::=
  sfvec2fValue /
  [ ] /
  [ sfvec2fValues ] ;

sfvec2fValues ::=
  sfvec2fValue /
  sfvec2fValue sfvec2fValues ;

mfvec3fValue ::=
  sfvec3fValue /
  [ ] /
  [ sfvec3fValues ] ;

sfvec3fValues ::=
  sfvec3fValue /
  sfvec3fValue sfvec3fValues ;

```

# Annex B

(normative)

## Java platform scripting reference



### B.1 Introduction

This annex describes the Java platform classes and methods that enable Script nodes (see [6.40, Script](#)) to interact with VRML scenes. See [4.12, Scripting](#), for a general description of scripting languages in ISO/IEC 14772. Note that support for the Java platform is not required by ISO/IEC 14772, but any access of the Java platform from within VRML Script nodes shall conform with the requirements specified in this annex.

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## B.2 Platform

The [Javatm platform](#) is an object-oriented, hardware and operating system independent, multi-threaded, general-purpose application environment developed by [Sun Microsystems, Inc.](#) The Java platform consists of the language, the virtual machine, and a set of core class libraries. A conforming Java platform implements all three components according to their specifications. See [2.\[JAVA\]](#) for a description of the language, the virtual machine, and the three core classes `java.lang`, `java.util`, and `java.io`. The other core class libraries, which are not used in this annex, are described in [E.\[JAPI\]](#).



## B.3 Supported protocol in the script node's *url* field

### B.3.1 *url* field

The *url* field of the Script node may contain [URL](#) references to Java bytecode as illustrated below:

```
Script {
  url "http://foo.co.jp/Example.class"
  eventIn SFBool start
}
```

### B.3.2 File extension

The file extension for Java bytecode is `.class`.

### B.3.3 MIME type

The MIME type for Java bytecode is defined as follows:

```
application/x-java
```



## ● B.4 EventIn handling

### B.4.1 Description

Events to the Script node are passed to the corresponding Java platform method ([processEvents\(\)](#) or [processEvent\(\)](#)) in the script. The script is specified in the *url* field of the Script node.

For a Java bytecode file specified in the *url* field, the following three conditions hold:

- a. it shall contain the class definition whose name is exactly the same as the body of the file name
- b. it shall be a subclass of the Script class (see [B.9.2.3, vrml.node package](#))
- c. it shall be declared as a "public" class

For example, the following Script node has one eventIn whose name is *start*.

```
Script {
  url "http://foo.co.jp/Example1.class"
  eventIn SFBool start
}
```

This node points to the script file Example1.class. Its source (Example1.java) looks like this:

```
import vrml.*;
import vrml.field.*;
import vrml.node.*;

public class Example1 extends Script {
  ...
  // This method is called when any event is received
  public void processEvent(Event e){
    // ... perform some operation ...
  }
}
```

In the above example, when the *start* eventIn is sent the processEvent() method receives the eventIn and is executed.

### B.4.2 Parameter passing with Event objects

When a Script node receives an eventIn, a processEvent() or processEvents() method in the file specified in the url field of the Script node is called, which receives the eventIn as a Java platform object (Event object, see [B.4.3, processEvents\(\) and processEvent\(\) methods](#)).

The Event object has three fields of information associated with it: name, value, and timestamp, whose values are passed by the eventIn. These can be retrieved using the corresponding method on the Event object.

```
public class Event implements Cloneable {
  public String getName();
  public ConstField getValue();
  public double getTimeStamp();
  // other methods ...
}
```

Suppose that the eventIn type is SFXXX and eventIn name is eventInYYY, then



- a. getName() shall return the string "eventInYYY "
- b. getValue() shall return ConstField containing the value of the eventIn
- c. getTimeStamp() shall return a double (in seconds) containing the timestamp when the eventIn occurred (see [4.11, Time](#))

In the example below, the eventIn name is *start* and the eventIn value is cast to ConstSFBool. Also, the timestamp for the time when the eventIn occurred is available as a double. These are passed as an Event object to the processEvent() method:

```
public void processEvent(Event e){
    if(e.getName().equals("start")){
        ConstSFBool v = (ConstSFBool)e.getValue();
        if(v.getValue()==true){
            // ... perform some operation with e.getTimeStamp()...
        }
    }
}
```

## B.4.3 processEvents() and processEvent() methods

### B.4.3.1 processEvents() method

Authors can define a processEvents() method within a class that is called when the script receives some set of events. The prototype of the processEvents() method is **public void processEvents(int count, Event events[]);**

*count* indicates the number of events delivered. *events* is the array of events delivered. Its default behaviour is to iterate over each event, calling processEvent() on each one as follows:

```
public void processEvents(int count, Event events[])
{
    for (int i = 0; i < count; i++){
        processEvent(events[i]);
    }
}
```

Although authors might change this operation by giving a user-defined processEvents() method, in most cases, they only change the processEvent() method and the eventsProcessed() method as described below.

When multiple eventIns are routed from a single node to a single Script node and eventIns which have the same timestamp are received, processEvents() receives multiple events as an event array. Otherwise, each incoming event invokes separate processEvents().

For example, the processEvents() method receives two events in the following case, when the TouchSensor is activated:

```
Transform {
    children [
        DEF TS TouchSensor {}
        Shape { geometry Cone {} }
    ]
}
DEF SC Script {
    url "Example.class"
    eventIn SFBool isActive
    eventIn SFTIME touchTime
}
```

```

}
ROUTE TS.isActive TO SC.isActive
ROUTE TS.touchTime TO SC.touchTime

```

#### B.4.3.2 processEvent() method

Authors can define a processEvent() method within a class. The prototype of the processEvent() is **public void processEvent(Event event);**

Its default behaviour is no operation.

#### B.4.4 eventsProcessed() method

Authors may define an eventsProcessed() method within a class that is called after some set of events has been received. This allows Script nodes that do not rely on the ordering of events received to generate fewer events than an equivalent Script node that generates events whenever events are received (see [B.4.3.1, processEvents\(\) method](#)).

The prototype of the eventsProcessed() method is **public void eventsProcessed();**

Its default behaviour is no operation.

#### B.4.5 shutdown() method

Authors may define a shutdown() method within the Script class that is called when the corresponding Script node is deleted or the world containing the Script node is unloaded or replaced by another world (see [4.12.3, Initialize\(\) and shutdown\(\)](#)).

The prototype of the shutdown() method is **public void shutdown();**

Its default behaviour is no operation.

#### B.4.6 initialize() method

Authors may define an initialize() method within the Script class that is called before the browser presents the world to the user and before any events are processed by any nodes in the same VRML file as the Script node containing this script (see [4.12.3, Initialize\(\) and shutdown\(\)](#)). The various methods of the Script class such as getEventIn(), getEventOut(), getExposedField(), and getField() are not guaranteed to return correct values before the initialize() method has been executed. The initialize() method is called once during the life of the Script object.

The prototype of the initialize() method is **public void initialize();**

Its default behaviour is no operation. See [Example2.java](#) in B.5.1 for an example of a user-specified initialize() method.



## ● B.5 Accessing fields and events

### B.5.1 Accessing fields, eventIns and eventOuts of the script

The fields, eventIns, and eventOuts of a Script node are accessible from its corresponding Script class. Each field defined in the Script node is available to the Script class by using its name. Its value can be read-from or written-into. This value is persistent across function calls. EventOuts defined in the Script node can be read. EventIns defined in the Script node can be written to.

Accessing the fields of the Script node can be done by using the following three types of Script class methods:

- a. **Field getField(String fieldName)**  
is the method to get the reference to the Script node's field whose name is *fieldName*. The return value can be converted to the appropriate subclass of the Field class, (see [B.6.2, Field class and ConstField class](#)).
- b. **Field getEventOut(String eventOutName)**  
is the method to get the reference to the Script node's eventOut whose name is *eventOutName*. The return value can be converted to the appropriate subclass of the Field class, (see [B.6.2, Field class and ConstField class](#)).
- c. **Field getEventIn(String eventInName)**  
is the method to get the reference to the Script node's eventIn whose name is *eventInName*. The return value can be converted to the appropriate subclass of the Field class, (see [B.6.2, Field class and ConstField class](#)). EventIn is a write-only field. When the `getValue()` method is invoked on a Field object obtained by the `getEventIn()` method, the return value is unspecified.

When the `setValue()`, `set1Value()`, `addValue()`, `insertValue()`, `delete()` or `clear()` methods are invoked on a Field object obtained by the `getField()` method, the new value is stored in the corresponding VRML node's field (see also [B.6.2, Field class and ConstField class](#), and [B.6.3, Array handling](#)). In the case of the `set1Value()`, `addValue()`, `insertValue()` or `delete()` methods, all elements of the VRML node's field are retrieved, then the value specified as an argument is set, added, inserted, deleted (as appropriate) to/from the elements, and then stored as the elements in the corresponding VRML node's field. In the case of the `clear()` method, all elements of a VRML node's field are cleared (see [the definition of the clear\(\) method](#)).

When the `setValue()`, `set1Value()`, `addValue()`, `insertValue()`, `delete()` or `clear()` methods are invoked on a Field object obtained by the `getEventOut()` method, the call generates an eventOut in the VRML scene (see also [B.6.2, Field class and ConstField class](#), and [B.6.3, Array handling](#)). The effect of this eventOut is specified by the associated Route(s) in the VRML scene. In the case of the `set1Value()`, `addValue()`, `insertValue()` or `delete()` methods, all elements of the VRML node's eventOut are retrieved, then the value specified as an argument is set, added, inserted or deleted (as appropriate) to/from the elements, then stored as the elements in the corresponding VRML node's eventOut, and then the eventOut is sent. In the case of the `clear()` method, all elements of VRML node's eventOut are cleared and an eventOut with zero elements is sent (see [the definition of the clear\(\) method](#)).

When the `setValue()` or `clear()` methods are invoked on a Field object obtained by the `getEventIn()` method, the call generates an eventIn to the Script node. When the `set1Value()`, `addValue()`, `insertValue()` or `delete()` methods are invoked on a Field object obtained by the `getEventIn()` method, the exception (`InvalidFieldChangeException`) is thrown.

For example, the following Script node (Example2) defines an eventIn *start*, a field *state*, and an eventOut *on*. The method `initialize()` is invoked before any events are received, and the method `processEvent()` is invoked when *start* receives an event:

```
Script {
```

```

url      "Example2.class"
eventIn  SFBool start
field    SFBool state TRUE
eventOut SFBool on
}

```

Example2.java:

```

// Example2 toggles a persistent field variable "state" in the VRML
// Script node each time an eventIn "start" is received, then sets
// eventOut "on" equal to the value of "state"
import vrml.*;
import vrml.field.*;
import vrml.node.*;

public class Example2 extends Script {
    private SFBool state; // field
    private SFBool on;    // eventOut

    public void initialize(){
        state = (SFBool) getField("state");
        on = (SFBool) getEventOut("on");
    }

    public void processEvent(Event e){
        if(state.getValue()==true){
            on.setValue(false); // set false to eventOut 'on'
            state.setValue(false);
        }
        else {
            on.setValue(true); // set true to eventOut 'on'
            state.setValue(true);
        }
    }
}

```

**B.5.2 Accessing fields, eventIns and eventOuts of other nodes**

If a script program has an access to a node, any eventIn, eventOut or exposedField of that node is accessible by using the `getEventIn()`, `getEventOut()` or `getExposedField()` method defined in the node's class (see [B.6.4, Node class](#)).

The typical way for a Script node to have an access to another VRML node is to have an SFNode field which provides a reference to the other node. The following Example3 shows how this is done:

```

DEF SomeNode Transform {}
Script {
    field SFNode node USE SomeNode # SomeNode is a Transform node
    eventIn SFVec3f pos             # new value to be inserted in
                                    # SomeNode's translation field

    url "Example3.class"
}

```

Example3.java:

```

import vrml.*;
import vrml.field.*;
import vrml.node.*;

public class Example3 extends Script {
    private SFNode node; // field
    private SFVec3f trans; // translation field captured from remote
                          // Transform node

    public void initialize(){
        node = (SFNode) getField("node");
    }
}

```

```

    }

    public void processEvent(Event e){
        // get the reference to the 'translation' field of the Transform node
        trans = (SFVec3f)((Node) node.getValue()).getExposedField("translation");
        // reset translation to value given in Event e, which is eventIn pos
        // in the VRML Script node.
        trans.setValue((ConstSFVec3f)e.getValue());
    }
}

```

### B.5.3 Sending eventOuts or eventIns

Assume that the thread which executes `processEvent()` (or `processEvents()`) is called 'main' thread and any other thread spawned by the Script, except for the 'main' thread, is called 'sub' thread. Sending eventOuts/eventIns in the 'main' thread follows the model described in [4.10.3, Execution model](#), and sending eventOuts/eventIns in any 'sub' thread follows the model described in [4.12.6, Asynchronous scripts](#).

**In the 'main' thread:** Calling one of the `setValue()`, `set1Value`, `addValue()`, `insertValue()`, `clear()` or `delete()` methods on an eventOut/eventIn sends that event at that time. Calling the methods multiple times during one execution of the thread still only sends one event which corresponds to the first call of the method. All other calls are ignored. The event is assigned the same timestamp as the initial event which caused the main thread to execute.

**In the 'sub' thread:** Calling one of the `setValue()`, `set1Value`, `addValue()`, `insertValue()`, `clear()` or `delete()` method on an eventOut/eventIn sends that event at that time. Calling the methods multiple times during one execution of the thread sends one event per call of the method. The browser assigns the timestamp to the event.

**Note:** sending eventIns is ordinarily performed by the VRML scene, not by Java platform scripts. Exceptions are possible as specified in [B.5.1, Accessing fields, eventIns and eventOuts of the script](#).



## B.6 Exposed classes and methods for nodes and fields

### B.6.1 Introduction

Java platform classes for VRML are defined in the packages: *vrml*, *vrml.node* and *vrml.field*.

The Field class extends the Java platform's [Object class](#) by default; thus, Field has the full functionality of the Object class, including the [getClass\(\)](#) method. The rest of the package defines a "Const" read-only class for each VRML [field type](#), with a `getValue()` method for each class; and another read/write class for each VRML field type, with both `getValue()` and `setValue()` methods for each class. A `getValue()` method converts a VRML type value into a Java platform type value. A `setValue()` method converts a Java platform type value into a VRML type value and sets it to the VRML field.

Some methods are listed as "[throws exception](#)," meaning that errors are possible. It may be necessary to write exception handlers (using the Java platform's `catch()` method) when those methods are used. Any method not listed as "throws exception" is guaranteed to generate no exceptions. Each method that throws an exception includes a prototype showing which exception(s) can be thrown.

### B.6.2 Field class and ConstField class

All VRML data types have equivalent Java platform classes. The Field class is the root of all field types.

```
public abstract class Field implements Cloneable {
```

```

    // methods
}

```

This class has two types of subclasses: read-only classes and read/write classes

a. **Read-only classes**

These classes support the `getValue()` method. Some classes support additional convenience methods to get value(s) from the object.

[ConstSFBool](#), [ConstSFColor](#), [ConstMFColor](#), [ConstSFFloat](#), [ConstMFFloat](#), [ConstSFImage](#),  
[ConstSFInt32](#), [ConstMFInt32](#), [ConstSFNode](#), [ConstMFNode](#), [ConstSFRotation](#), [ConstMFRotation](#),  
[ConstSFString](#), [ConstMFString](#), [ConstSFVec2f](#), [ConstMFVec2f](#), [ConstSFVec3f](#), [ConstMFVec3f](#),  
[ConstSFTime](#), [ConstMFTime](#)

b. **Read/write classes**

These classes support both `getValue()` and `setValue()` methods. If the class name is prefixed with **MF** (meaning that it is a multiple valued field class), the class also supports the `set1Value()`, `addValue()` and `insertValue()` methods. Some classes support additional convenience methods to get and set value(s) from the object.

[SFBool](#), [SFColor](#), [MFColor](#), [SFFloat](#), [MFFloat](#), [SFImage](#), [SFInt32](#), [MFInt32](#), [SFNode](#), [MFNode](#),  
[SFRotation](#), [MFRotation](#), [SFString](#), [MFString](#), [SFVec2f](#), [MFVec2f](#), [SFVec3f](#), [MFVec3f](#), [SFTime](#), [MFTime](#)

The VRML Field class and its subclasses have several methods to get and set value(s): `getSize()`, `getValue()`, `get1Value()`, `setValue()`, `set1Value()`, `addValue()`, `insertValue()`, `clear()`, `delete()` and `toString()`. In these methods, `getSize()`, `get1Value()`, `set1Value()`, `addValue()`, `insertValue()`, `clear()` and `delete()` are only available for multiple value field classes (MF classes).

c. **getSize()**

is the method to return the number of elements of each multiple value field class (MF class).

d. **getValue()**

is the method to convert a VRML type value into a Java platform type value and return it.

e. **get1Value(int index)**

is the method to convert a single VRML type value (*index*-th element of an array) and return it as a single Java platform type value. The index of the first element is 0. Attempting to get an element beyond the length of the element array throws an exception (`ArrayIndexOutOfBoundsException`).

f. **setValue(value)**

is the method to convert a Java platform type *value* into a VRML type value and copy it to the target object.

g. **set1Value(int index, value)**

is the method to convert from a Java platform type *value* to a VRML type value and copy it to the *index*-th element of the target object. The index of the first element is 0. Attempting to set an element beyond the length of the element array throws an exception (`ArrayIndexOutOfBoundsException`).

h. **addValue(value)**

is the method to convert from a Java platform type *value* to a VRML type value and append it to the target object, thus adding an element.

i. **insertValue(int index, value)**

is the method to convert from a Java platform type *value* to a VRML type value and insert it as a new element at the *index*-th position, thus adding an element. The index of the first element is 0. Attempting to insert the element beyond the length of the element array throws an exception (`ArrayIndexOutOfBoundsException`).

- j. **clear()**  
is the method to clear all elements in the target object so that it has no more elements in it.
- k. **delete(int index)**  
is the method to delete the *index*-th element from the target object, thus decreasing the length of the element array by one. The index of the first element is 0. Attempting to delete the element beyond the length of the element array throws an exception (ArrayIndexOutOfBoundsException).
- l. **toString()**  
is the method to return a String containing the VRML utf8 encoded value (or values) of the equivalent of the field. In the case of the SFNode(ConstSFNode) and MFNode (ConstMFNode),
  - **SFNode(ConstSFNode)**: the method returns the VRML utf8 string that, if parsed as the value of an SFNode field, would produce this node. If the browser is unable to reproduce this node, the name of the node followed by the open brace and close brace shall be returned. Additional information may be included as one or more VRML comment strings.
  - **MFNode(ConstMFNode)**: the method returns the VRML utf8 string that, if parsed as the value of a MFNode field, would produce this array of nodes. If the browser is unable to reproduce this node, the name of the nodes followed by the open brace and close brace shall be returned. Additional information may be included as one or more VRML comment strings

See also [B.5.1, Accessing fields, eventIns and eventOuts of the Script](#), [B.6.3, Array handling](#), [B.6.4, Node class](#), and [B.9.2.1, vrmf package](#), for each class' methods definition.

## B.6.3 Array handling

### B.6.3.1 Format

Some constructors and other methods of the field classes take an array as an argument.

#### a. A single-dimensional array

Some constructors and other methods of the following classes take a single-dimensional array as an argument. The array is treated as follows:

##### 1. ConstSFColor, ConstMFColor, SFColor and MFColor

```
float colors[]
```

colors[] consists of a set of three float-values (representing red, green and blue).

##### 2. ConstSFRotation, ConstMFRotation, SFRotation and MFRotation

```
float rotations[]
```

rotations[] consists of a set of four float-values (representing axisX, axisY, axisZ and angle).

##### 3. ConstSFVec2f, ConstMFVec2f, SFVec2f and MFVec2f

```
float vec2s[]
```

vec2s[] consists of a set of two float-values (representing x and y).

##### 4. ConstSFVec3f, ConstMFVec3f, SFVec3f and MFVec3f

```
float vec3s[]
```

vec3s[] consists of a set of three float-values (representing x, y and z).

#### 5. **ConstSfImage and SfImage**

```
byte pixels[]
```

pixels[] consists of 2-dimensional pixel image. The ordering of the individual components for an individual pixel within the array of bytes will be as follows:

# Comp.	byte[i]	byte[i + 1]	byte[i + 2]	byte[i + 3]
1	intensity1	intensity2	intensity3	intensity4
2	intensity1	alpha1	intensity2	alpha2
3	red1	green1	blue1	red2
4	red1	green1	blue1	alpha1

The order of pixels in the array are to follow that defined in [5.5, SfImage](#). byte 0 is pixel 0, starting from the bottom left corner.

#### b. **A single integer and a single-dimensional array**

Some constructors and other methods take a single integer value (called *size*) and a single-dimensional array as arguments: for example, MFFloat(int size, float values[]). The *size* parameter specifies the number of valid elements in the array, from 0-th element to (*size* - 1)-th element, all other values are ignored. This means that the method may be passed an array of length *size* or larger. The same [rule for a single-dimensional array](#) is applied to the valid elements.

#### c. **An array of arrays**

Some constructors and other methods alternatively take an array of arrays as an argument. The array is treated as follows:

##### 1. **ConstMFColor and MFColor**

```
float colors[][]
```

colors[][] consists of an array of sets of three float-values (representing red, green and blue).

##### 2. **ConstMFRotation and MFRotation**

```
float rotations[][]
```

rotations[][] consists of an array of sets of four float-values (representing axisX, axisY, axisZ and angle).

##### 3. **ConstMFVec2f and MFVec2f**

```
float vec2s[][]
```

vec2s[][] consists of an array of sets of two float-values (representing x and y).

##### 4. **ConstMFVec3f and MFVec3f**

```
float vec3s[][]
```

vec3s[][] consists of an array of sets of three float-values (representing x, y and z).

### B.6.3.2 Constructors and methods

The following describes how arrays are interpreted in detail for each constructor and method.



Suppose  $NA$  represents the number of elements in the array specified as an argument of some constructors and other methods, and  $NT$  represents the number of elements which the target object requires or has. For example, if the target object is `SFColor`, it requires exactly 3 float values.

In the following description, suppose  $SF^*$  represents subclasses of `Field` class,  $ConstSF^*$  represents subclasses of `ConstField` class,  $MF^*$  represents subclasses of `MField` class and  $ConstMF^*$  represents subclasses of `ConstMField` class.

a. **A single-dimensional array**

In the following description, if the target object is:

- `ConstSFColor` and `SFColor`,  $NT$  is exactly 3
- `ConstMFCColor` and `MFCColor`,  $NT$  is a multiple of 3, and  $NA$  is rounded down to a multiple of 3
- `ConstSFRotation` and `SFRotation`,  $NT$  is exactly 4
- `ConstMFRotation` and `MFRotation`,  $NT$  is a multiple of 4, and  $NA$  is rounded down to a multiple of 4
- `ConstSFVec2f` and `SFVec2f`,  $NT$  is exactly 2
- `ConstMFVec2f` and `MFVec2f`,  $NT$  is a multiple of 2, and  $NA$  is rounded down to a multiple of 2
- `ConstSFVec3f` and `SFVec3f`,  $NT$  is exactly 3
- `ConstMFVec3f` and `MFVec3f`,  $NT$  is a multiple of 3, and  $NA$  is rounded down to a multiple of 3
- `ConstSFImage` and `SFImage`,  $NT$  is exactly  $width * height * components$  ( $width$ ,  $height$  and number of  $components$  in the image, see [5.5, SFImage](#))

1. **For  $ConstSF^*$  objects and  $SF^*$  objects**

For all constructors and methods which take a single-dimensional array as an argument, the following rules are applied.  $NA$  shall be larger than or equal to  $NT$ . If  $NA$  is larger than  $NT$ , the elements from the 0-th to the  $(NT - 1)$ -th element are used and remaining elements are ignored. Otherwise, an `exception(ArrayIndexOutOfBoundsException)` is thrown.

For example, when the array is used as an argument of the `setValue()` for `SFColor`, the array shall contain at least 3 float values. If the array contains more than 3 float values, the first 3 values are used.

2. **For  $ConstMF^*$  objects and  $MF^*$  objects**

- **For constructor.**

The same [rule for  \$ConstSF^\*\$  and  \$SF^\*\$  objects](#) is applied.

For example, when the array is used as an argument of the constructor for `MFCColor`, the array shall contain at least 3 float values. If the array contains  $3N$ ,  $3N + 1$  or  $3N + 2$  float values, the first  $3N$  values are used.

- **For setValue() method.**

If *NT* is smaller than or equal to *NA*, *NT* is increased to *NA* and then all elements of the array are copied into the target object. If *NT* is larger than *NA*, *NT* is decreased to *NA* and then all elements of the array are copied into the target object.

- **For getValue() method.**

If *NT* is smaller than or equal to *NA*, all elements of the target object are copied into the first *NT* elements of the array. If *NT* is larger than *NA*, an exception (`ArrayIndexOutOfBoundsException`) is thrown.

- **For set1Value() method.**

The target element (the *index*-th element) is treated as an SF\* object. So the same [rule for ConstSF\\* and SF\\* objects](#) is applied.

- **For get1Value() method.**

The target element (the *index*-th element) is treated as an SF\* (or ConstSF\*) object. So the same [rule for ConstSF\\* and SF\\* objects](#) is applied.

- **For addValue() and insertValue() method.**

The corresponding SF\* object is created using the argument, and then added to the target object or inserted into the target object.

b. **A single integer and a single-dimensional array**

For all constructors and methods which take a single integer value (called *size*) and a single-dimensional array as arguments, for example, `MFFloat(int size, float values[])`, the following rule is applied.

The *size* parameter specifies the number of valid elements in the array from the 0-th element to the (*size* - 1)-th element; all other values are ignored. This means that the method may be passed an array of length *size* or larger.

The valid elements are copied to a new array and [the rules for a single-dimensional array](#) are applied to the new array for all methods.

c. **An array of arrays**

This argument is used only for MF\* objects and ConstMF\* objects. In the following case, suppose *NA* is the number of arrays (for example `float f[4][3]`, *NA* is 4) specified as an argument of some constructors and other methods and *NT* is the return value of [getSize\(\)](#) method of each object.

- **For constructor.**

The object which has *NA* elements is created.

- **For setValue() method.**

If *NT* is smaller than or equal to *NA*, *NT* is increased to *NA* and then all elements of the array are copied into the target object. If *NT* is larger than *NA*, *NT* is decreased to *NA* and then all elements of the array are copied into the target object.

- **For getValue() method.**

If *NT* is smaller than or equal to *NA*, all elements of the target object are copied into the array. If *NT* is larger than *NA*, an exception (`ArrayIndexOutOfBoundsException`) is thrown.

## B.6.4 Node class

The [Node](#) class has several methods:

- a. **String getType()**  
is the method to return the type of the node.
- b. **ConstField getEventOut(String eventOutName)**  
is the method to get the reference to the node's eventOut whose name is *eventOutName*. The return value can be converted to the appropriate subclass of the Field class, (see [B.6.2, Field class and ConstField class](#)).
- c. **Field getEventIn(String eventInName)**  
is the method to get the reference to the node's eventIn whose name is *eventInName*. The return value can be converted to the appropriate subclass of the Field class, (see [B.6.2, Field class and ConstField class](#)). EventIn is a write-only field. When the getValue() method is invoked on a Field object obtained by the getEventIn() method, the return value is unspecified.
- d. **Field getExposedField(String exposedFieldName)**  
is the method to get the reference to the node's exposedField whose name is *exposedFieldName*. The return value can be converted to the appropriate subclass of the Field class, (see [B.6.2, Field class and ConstField class](#)).
- e. **Browser getBrowser()**  
is the method to get the browser object that this node is contained in (see [B.6.5, Browser class](#)).
- f. **String toString()**  
is the same as the [toString\(\)](#) method of SFNode (ConstSFNode).

When the setValue(), set1Value(), addValue(), insertValue(), delete() or clear() methods are invoked on a Field object obtained by the **getExposedField()** method, the call generates an eventOut in the VRML scene (see also [B.6.2, Field class and ConstField class](#), and [B.6.3, Array handling](#)). The effect of this eventOut is specified by the associated Route(s) in the VRML scene. In the case of the set1Value(), addValue(), insertValue() or delete() methods, all elements of the VRML node's exposedField are retrieved, then the value specified as an argument is set, added, inserted or deleted (as appropriate) to/from the elements, then stored as the elements in the corresponding VRML node's exposedField, and then the eventOut is sent. In the case of the clear() method, all elements of VRML node's exposedField are cleared and an eventOut with zero elements is sent (see [the definition of the clear\(\) method](#)).

When the setValue() or clear() methods are invoked on a Field object obtained by the **getEventIn()** method, the call generates an eventIn in the VRML scene. When the set1Value(), addValue(), insertValue() or delete() methods are invoked on the Field object, an exception (InvalidFieldChangeException) is thrown.

## B.6.5 Browser class

This section lists the public Java platform interfaces to the [Browser](#) class, which allows scripts to get and set browser information. For descriptions of the following methods, see [4.12.10, Browser script interface](#). [Table B.1](#) lists the Browser class methods.

Table B.1 -- Browser class methods

Return value	Method name
String	<code>getName()</code>
String	<code>getVersion()</code>
float	<code>getCurrentSpeed()</code>
float	<code>getCurrentFrameRate()</code>
String	<code>getWorldURL()</code>
void	<code>replaceWorld(BaseNode[] nodes)</code>
BaseNode[]	<code>createVrmlFromString(String vrmlSyntax)</code>
void	<code>createVrmlFromURL(String[] url, BaseNode node, String event)</code>
void	<code>addRoute(BaseNode fromNode, String fromEventOut, BaseNode toNode, String toEventIn)</code>
void	<code>deleteRoute(BaseNode fromNode, String fromEventOut, BaseNode toNode, String toEventIn)</code>
void	<code>loadURL(String[] url, String[] parameter)</code>
void	<code>setDescription(String description)</code>

See [B.9.2.1, vrml package](#), for each method's definition.

[Table B.2](#) contains conversions from the types used in Browser class to Java platform types.

Table B.2 -- VRML and Java platform types

VRML type	Java platform type
SFString	String
SFFloat	float
MFFString	String[]
MFNode	BaseNode[]

When a relative URL is specified as an argument of the `loadURL()` and `createVrmlFromURL()` method, the path is relative to the script file containing these methods (see [4.5.3, Relative URLs](#)).

### B.6.6 User-defined classes and packages

The Java platform classes defined by a user can be used in the Java program. They are first searched from the directories specified in the `CLASSPATH` environment variable and then the directory where the Java program's class file is placed.

If the Java platform class is in a package, this package is searched from the directories specified in the `CLASSPATH` environment variable and then the directory where the Java program's class file is placed.

### B.6.7 Standard Java platform packages

Java programs have access to the full set of classes available in `java.*`. All parts of the Java platform are required to work as "normal" for the Java platform. So all methods specified in this annex are required to be thread-safe. The security model is browser specific.



## B.7 Exceptions

Java platform methods may throw the following exceptions:

- a. **InvalidFieldException**  
is thrown at the time `getField()` is executed and the field name is invalid.
- b. **InvalidEventInException**  
is thrown at the time `getEventIn()` is executed and the eventIn name is invalid.
- c. **InvalidEventOutException**  
is thrown at the time `getEventOut()` is executed and the eventOut name is invalid.
- d. **InvalidExposedFieldException**  
is thrown at the time `getExposedField()` is executed and the exposedField name is invalid.
- e. **InvalidVRMLSyntaxException**  
is thrown at the time `createVrmlFromString()`, `createVrmlFromURL()` or `loadURL()` is executed and the vrml syntax is invalid.
- f. **InvalidRouteException**  
is thrown at the time `addRoute()` or `deleteRoute()` is executed and one or more of the arguments is invalid.
- g. **InvalidFieldChangeException**  
may be thrown as a result of all sorts of illegal field changes, for example:
  1. Adding a node from one World as the child of a node in another World.
  2. Creating a circularity in a scene graph.
  3. Setting an invalid string on enumerated fields, such as the `fogType` field of the Fog node.

4. Calling the `setValue()`, `addValue()` or `delete()` on a `Field` object obtained by the `getEventIn()` method.
- h. **ArrayIndexOutOfBoundsException**  
is generated at the time `getValue()`, `setValue()`, `insertValue()` or `delete()` is executed and the index is out of bound (see [B.6.2, Field class and ConstField class](#)). This is the standard exception defined in the Java platform `Array` class.
- i. **IllegalArgumentException**  
is generated at the time `loadURL()` or `createVrmlFromURL()` is executed and an error is occurred before retrieving the content of the url (see [B.6.5, Browser class](#)). This is the standard exception defined by the Java platform.

If exceptions are not caught by authors, a browser's behaviour is unspecified (see [B.10, Example of exception class](#)).



## B.8 Examples

The following is an example of a [Script](#) node which determines whether a given color contains a lot of red. The `Script` node exposes a field, an eventIn, and an eventOut:

```
Script {
  field      SFColor  currentColor 0 0 0
  eventIn    SFColor  colorIn
  eventOut   SFBool   isRed
  url        "Example4.class"
}
```

The following is the source code for the `Example4.java` file that gets called every time an eventIn is routed to the above `Script` node:

Example4.java:

```
import vrml.*;
import vrml.field.*;
import vrml.node.*;

public class Example4 extends Script {
  // Declare field(s)
  private SFColor currentColor;

  // Declare eventOut
  private SFBool isRed;

  // buffer for SFColor.getValue().
  private float colorBuff[] = new float[3];

  public void initialize(){
    currentColor = (SFColor) getField("currentColor");
    isRed = (SFBool) getEventOut("isRed");
  }

  public void processEvent(Event e){
    // This method is called when a colorIn event is received
    currentColor.setValue((ConstSFColor)e.getValue());
  }
}
```

```

    public void eventsProcessed(){
        currentColor.getValue(colorBuff);
        if (colorBuff[0] >= 0.5) // if red is at or above 50%
            isRed.setValue(true);
    }
}

```

Details on when the methods defined in Example4.java are called may be found in [4.10.3, Execution model](#).

---

#### [Example5: createVrmlFromUrl\(\)](#)

```

Script {
    url "Example5.class"
    field MFString target_url "foo.wrl"
    eventIn MFNode nodesLoaded
    eventIn SFBool trigger_event
}

```

#### Example5.java:

```

import vrml.*;
import vrml.field.*;
import vrml.node.*;

public class Example5 extends Script {
    private MFString target_url; // field
    private Browser browser;

    public void initialize(){
        target_url = (MFString)getField("target_url");
        browser = this.getBrowser();
    }

    public void processEvent(Event e){
        if(e.getName().equals("trigger_event")){
            // do something and then fetch values
            String[] urls;
            urls = new String[target_url.getSize()];
            target_url.getValue(urls);
            browser.createVrmlFromURL(urls, this, "nodesLoaded");
        }
        if(e.getName().equals("nodesLoaded")){
            // do something
        }
    }
}

```

---

#### [Example6: addRoute\(\)](#)

```

DEF TS TouchSensor {}
Script {
    url "Example6.class"
    field SFNode fromNode USE TS
    eventIn SFBool clicked
    eventIn SFBool trigger_event
}

```







## B.9.2 VRML packages

### B.9.2.1 vrml package

```

package vrml;

public class Event implements Cloneable
{
    public String getName();
    public double getTimeStamp();
    public ConstField getValue();
    public Object clone();

    public String toString(); // This overrides a method in Object
}

public class Browser
{
    private Browser();
    public String toString(); // This overrides a method in Object

    // Browser interface
    public String getName();
    public String getVersion();

    public float getCurrentSpeed();

    public float getCurrentFrameRate();

    public String getWorldURL();
    public void replaceWorld(BaseNode[] nodes);

    public BaseNode[] createVrmlFromString(String vrmlSyntax)
        throws InvalidVRMLSyntaxException;

    public void createVrmlFromURL(String[] url, BaseNode node, String event)
        throws InvalidVRMLSyntaxException;

    public void addRoute(BaseNode fromNode, String fromEventOut,
        BaseNode toNode, String toEventIn);

    public void deleteRoute(BaseNode fromNode, String fromEventOut,
        BaseNode toNode, String toEventIn);

    public void loadURL(String[] url, String[] parameter)
        throws InvalidVRMLSyntaxException;

    public void setDescription(String description);
}

public abstract class Field implements Cloneable
{
    public Object clone();
}

```

```

public abstract class MField extends Field
{
    public abstract int getSize();
    public abstract void clear();
    public abstract void delete(int index);
}

public abstract class ConstField extends Field
{
}

public abstract class ConstMField extends ConstField
{
    public abstract int getSize();
}

//
// This is the general BaseNode class
//
public abstract class BaseNode
{
    // Returns the type of the node.  If the node is a prototype
    // it returns the name of the prototype.
    public String getType();

    // Get the Browser that this node is contained in.
    public Browser getBrowser();
}

```

### B.9.2.2 vrml.field package

```

package vrml.field;

public class SFBool extends Field
{
    public SFBool();
    public SFBool(boolean value);

    public boolean getValue();

    public void setValue(boolean b);
    public void setValue(ConstSFBool b);
    public void setValue(SFBool b);

    public String toString(); // This overrides a method in Object
}

public class SFColor extends Field
{
    public SFColor();
    public SFColor(float red, float green, float blue);

    public void getValue(float colors[]);
    public float getRed();
    public float getGreen();
}

```

```

    public float getBlue();

    public void setValue(float colors[]);
    public void setValue(float red, float green, float blue);
    public void setValue(ConstSFColor color);
    public void setValue(SFColor color);

    public String toString();    // This overrides a method in Object
}

public class SFFloat extends Field
{
    public SFFloat();
    public SFFloat(float f);

    public float getValue();

    public void setValue(float f);
    public void setValue(ConstSFFloat f);
    public void setValue(SFFloat f);

    public String toString();    // This overrides a method in Object
}

public class SFImage extends Field
{
    public SFImage();
    public SFImage(int width, int height, int components, byte pixels[]);

    public int getWidth();
    public int getHeight();
    public int getComponents();
    public void getPixels(byte pixels[]);

    public void setValue(int width, int height, int components,
                        byte pixels[]);
    public void setValue(ConstSFImage image);
    public void setValue(SFImage image);

    public String toString();    // This overrides a method in Object
}

public class SFInt32 extends Field
{
    public SFInt32();
    public SFInt32(int value);

    public int getValue();

    public void setValue(int i);
    public void setValue(ConstSFInt32 i);
    public void setValue(SFInt32 i);

    public String toString();    // This overrides a method in Object
}

```

```
public class SFNode extends Field
{
    public SFNode();
    public SFNode(BaseNode node);

    public BaseNode getValue();

    public void setValue(BaseNode node);
    public void setValue(ConstSFNode node);
    public void setValue(SFNode node);

    public String toString(); // This overrides a method in Object
}

public class SFRotation extends Field
{
    public SFRotation();
    public SFRotation(float axisX, float axisY, float axisZ, float angle);

    public void getValue(float rotations[]);

    public void setValue(float rotations[]);
    public void setValue(float axisX, float axisY, float axisZ,
                          float angle);
    public void setValue(ConstSFRotation rotation);
    public void setValue(SFRotation rotation);

    public String toString(); // This overrides a method in Object
}

public class SFString extends Field
{
    public SFString();
    public SFString(String s);

    public String getValue();

    public void setValue(String s);
    public void setValue(ConstSFString s);
    public void setValue(SFString s);

    public String toString(); // This overrides a method in Object
}

public class SFTime extends Field
{
    public SFTime();
    public SFTime(double time);

    public double getValue();

    public void setValue(double time);
    public void setValue(ConstSFTime time);
    public void setValue(SFTime time);

    public String toString(); // This overrides a method in Object
}
```

```

public class SFVec2f extends Field
{
    public SFVec2f();
    public SFVec2f(float x, float y);

    public void getValue(float vec2s[]);
    public float getX();
    public float getY();

    public void setValue(float vec2s[]);
    public void setValue(float x, float y);
    public void setValue(ConstSFVec2f vec);
    public void setValue(SFVec2f vec);

    public String toString(); // This overrides a method in Object
}

```

```

public class SFVec3f extends Field
{
    public SFVec3f();
    public SFVec3f(float x, float y, float z);

    public void getValue(float vec3s[]);
    public float getX();
    public float getY();
    public float getZ();

    public void setValue(float vec3s[]);
    public void setValue(float x, float y, float z);
    public void setValue(ConstSFVec3f vec);
    public void setValue(SFVec3f vec);

    public String toString(); // This overrides a method in Object
}

```

```

public class MFCColor extends MField
{
    public MFCColor();
    public MFCColor(float colors[][]);
    public MFCColor(float colors[]);
    public MFCColor(int size, float colors[]);

    public void getValue(float colors[][]);
    public void getValue(float colors[]);

    public void get1Value(int index, float colors[]);
    public void get1Value(int index, SFColor color);

    public void setValue(float colors[][]);
    public void setValue(float colors[]);
    public void setValue(int size, float colors[]);
    /*****
    color[0] ... color[size - 1] are used as color data
    in the way that color[0], color[1], and color[2]
    represent the first color. The number of colors
    is defined as "size / 3".
    *****/
}

```

```

        *****/
public void setValue(MFColor colors);
public void setValue(ConstMFColor colors);

public void set1Value(int index, ConstSFColor color);
public void set1Value(int index, SFColor color);
public void set1Value(int index, float red, float green, float blue);

public void addValue(ConstSFColor color);
public void addValue(SFColor color);
public void addValue(float red, float green, float blue);

public void insertValue(int index, ConstSFColor color);
public void insertValue(int index, SFColor color);
public void insertValue(int index, float red, float green, float blue);

public String toString(); // This overrides a method in Object
}

public class MFFloat extends MField
{
    public MFFloat();
    public MFFloat(int size, float values[]);
    public MFFloat(float values[]);

    public void getValue(float values[]);

    public float get1Value(int index);

    public void setValue(float values[]);
    public void setValue(int size, float values[]);
    public void setValue(MFFloat value);
    public void setValue(ConstMFFloat value);

    public void set1Value(int index, float f);
    public void set1Value(int index, ConstSFFloat f);
    public void set1Value(int index, SFFloat f);

    public void addValue(float f);
    public void addValue(ConstSFFloat f);
    public void addValue(SFFloat f);

    public void insertValue(int index, float f);
    public void insertValue(int index, ConstSFFloat f);
    public void insertValue(int index, SFFloat f);

    public String toString(); // This overrides a method in Object
}

public class MFInt32 extends MField
{
    public MFInt32();
    public MFInt32(int size, int values[]);
    public MFInt32(int values[]);

    public void getValue(int values[]);

```

```

    public int get1Value(int index);

    public void setValue(int values[]);
    public void setValue(int size, int values[]);
    public void setValue(MFInt32 value);
    public void setValue(ConstMFInt32 value);

    public void set1Value(int index, int i);
    public void set1Value(int index, ConstSFInt32 i);
    public void set1Value(int index, SFInt32 i);

    public void addValue(int i);
    public void addValue(ConstSFInt32 i);
    public void addValue(SFInt32 i);

    public void insertValue(int index, int i);
    public void insertValue(int index, ConstSFInt32 i);
    public void insertValue(int index, SFInt32 i);

    public String toString(); // This overrides a method in Object
}

public class MFNode extends MField
{
    public MFNode();
    public MFNode(int size, BaseNode node[]);
    public MFNode(BaseNode node[]);

    public void getValue(BaseNode node[]);

    public BaseNode get1Value(int index);

    public void setValue(BaseNode node[]);
    public void setValue(int size, BaseNode node[]);
    public void setValue(MFNode node);
    public void setValue(ConstMFNode node);

    public void set1Value(int index, BaseNode node);
    public void set1Value(int index, ConstSFNode node);
    public void set1Value(int index, SFNode node);

    public void addValue(BaseNode node);
    public void addValue(ConstSFNode node);
    public void addValue(SFNode node);

    public void insertValue(int index, BaseNode node);
    public void insertValue(int index, ConstSFNode node);
    public void insertValue(int index, SFNode node);

    public String toString(); // This overrides a method in Object
}

public class MFRotation extends MField
{
    public MFRotation();
    public MFRotation(float rotations[][]);

```



```

    public MFRotation(float rotations[]);
    public MFRotation(int size, float rotations[]);

    public void getValue(float rotations[][]);
    public void getValue(float rotations[]);

    public void get1Value(int index, float rotations[]);
    public void get1Value(int index, SFRotation rotation);

    public void setValue(float rotations[][]);
    public void setValue(float rotations[]);
    public void setValue(int size, float rotations[]);
    public void setValue(MFRotation rotations);
    public void setValue(ConstMFRotation rotations);

    public void set1Value(int index, ConstSFRotation rotation);
    public void set1Value(int index, SFRotation rotation);
    public void set1Value(int index, float axisX, float axisY, float axisZ,
float angle);

    public void addValue(ConstSFRotation rotation);
    public void addValue(SFRotation rotation);
    public void addValue(float axisX, float axisY, float axisZ, float angle);

    public void insertValue(int index, ConstSFRotation rotation);
    public void insertValue(int index, SFRotation rotation);
    public void insertValue(int index, float axisX, float axisY, float axisZ,
float angle);

    public String toString(); // This overrides a method in Object
}

public class MFString extends MField
{
    public MFString();
    public MFString(int size, String s[]);
    public MFString(String s[]);

    public void getValue(String s[]);

    public String get1Value(int index);

    public void setValue(String s[]);
    public void setValue(int size, String s[]);
    public void setValue(MFString s);
    public void setValue(ConstMFString s);

    public void set1Value(int index, String s);
    public void set1Value(int index, ConstSFString s);
    public void set1Value(int index, SFString s);

    public void addValue(String s);
    public void addValue(ConstSFString s);
    public void addValue(SFString s);

    public void insertValue(int index, String s);
    public void insertValue(int index, ConstSFString s);

```

```

    public void insertValue(int index, SFString s);

    public String toString();    // This overrides a method in Object
}

```

```

public class MFTime extends MField
{
    public MFTime();
    public MFTime(int size, double times[]);
    public MFTime(double times[]);

    public void getValue(double times[]);

    public double get1Value(int index);

    public void setValue(double times[]);
    public void setValue(int size, double times[]);
    public void setValue(MFTime times);
    public void setValue(ConstMFTime times);

    public void set1Value(int index, double time);
    public void set1Value(int index, ConstSFTime time);
    public void set1Value(int index, SFTime time);

    public void addValue(double time);
    public void addValue(ConstSFTime time);
    public void addValue(SFTime time);

    public void insertValue(int index, double time);
    public void insertValue(int index, ConstSFTime time);
    public void insertValue(int index, SFTime time);

    public String toString();    // This overrides a method in Object
}

```

```

public class MFVec2f extends MField
{
    public MFVec2f();
    public MFVec2f(float vec2s[][]);
    public MFVec2f(float vec2s[]);
    public MFVec2f(int size, float vec2s[]);

    public void getValue(float vec2s[][]);
    public void getValue(float vec2s[]);

    public void get1Value(int index, float vec2s[]);
    public void get1Value(int index, SFVec2f vec);

    public void setValue(float vec2s[][]);
    public void setValue(float vec2s[]);
    public void setValue(int size, float vec2s[]);
    public void setValue(MFVec2f vecs);
    public void setValue(ConstMFVec2f vecs);

    public void set1Value(int index, float x, float y);
    public void set1Value(int index, ConstSFVec2f vec);
    public void set1Value(int index, SFVec2f vec);
}

```

```

    public void addValue(float x, float y);
    public void addValue(ConstSFVec2f vec);
    public void addValue(SFVec2f vec);

    public void insertValue(int index, float x, float y);
    public void insertValue(int index, ConstSFVec2f vec);
    public void insertValue(int index, SFVec2f vec);

    public String toString(); // This overrides a method in Object
}

public class MFVec3f extends MField
{
    public MFVec3f();
    public MFVec3f(float vec3s[][]);
    public MFVec3f(float vec3s[]);
    public MFVec3f(int size, float vec3s[]);

    public void getValue(float vec3s[][]);
    public void getValue(float vec3s[]);

    public void get1Value(int index, float vec3s[]);
    public void get1Value(int index, SFVec3f vec);

    public void setValue(float vec3s[][]);
    public void setValue(float vec3s[]);
    public void setValue(int size, float vec3s[]);
    public void setValue(MFVec3f vecs);
    public void setValue(ConstMFVec3f vecs);

    public void set1Value(int index, float x, float y, float z);
    public void set1Value(int index, ConstSFVec3f vec);
    public void set1Value(int index, SFVec3f vec);

    public void addValue(float x, float y, float z);
    public void addValue(ConstSFVec3f vec);
    public void addValue(SFVec3f vec);

    public void insertValue(int index, float x, float y, float z);
    public void insertValue(int index, ConstSFVec3f vec);
    public void insertValue(int index, SFVec3f vec);

    public String toString(); // This overrides a method in Object
}

public class ConstSFBool extends ConstField
{
    public ConstSFBool(boolean value);

    public boolean getValue();

    public String toString(); // This overrides a method in Object
}

```

```
public class ConstSFColor extends ConstField
{
    public ConstSFColor(float red, float green, float blue);

    public void getValue(float colors[]);
    public float getRed();
    public float getGreen();
    public float getBlue();

    public String toString(); // This overrides a method in Object
}

public class ConstSFFloat extends ConstField
{
    public ConstSFFloat(float value);

    public float getValue();

    public String toString(); // This overrides a method in Object
}

public class ConstSFImage extends ConstField
{
    public ConstSFImage(int width, int height, int components, byte pixels[]);

    public int getWidth();
    public int getHeight();
    public int getComponents();
    public void getPixels(byte pixels[]);

    public String toString(); // This overrides a method in Object
}

public class ConstSFInt32 extends ConstField
{
    public ConstSFInt32(int value);

    public int getValue();

    public String toString(); // This overrides a method in Object
}

public class ConstSFNode extends ConstField
{
    public ConstSFNode(BaseNode node);

    public BaseNode getValue();

    public String toString(); // This overrides a method in Object
}

public class ConstSFRotation extends ConstField
{
    public ConstSFRotation(float axisX, float axisY, float axisZ, float angle);

    public void getValue(float rotations[]);
}
```

```

    public String toString(); // This overrides a method in Object
}

public class ConstSFString extends ConstField
{
    public ConstSFString(String value);

    public String getValue();

    public String toString(); // This overrides a method in Object
}

public class ConstSFTime extends ConstField
{
    public ConstSFTime(double time);

    public double getValue();

    public String toString(); // This overrides a method in Object
}

public class ConstSFVec2f extends ConstField
{
    public ConstSFVec2f(float x, float y);

    public void getValue(float vec2s[]);
    public float getX();
    public float getY();

    public String toString(); // This overrides a method in Object
}

public class ConstSFVec3f extends ConstField
{
    public ConstSFVec3f(float x, float y, float z);

    public void getValue(float vec3s[]);
    public float getX();
    public float getY();
    public float getZ();

    public String toString(); // This overrides a method in Object
}

public class ConstMFColor extends ConstMField
{
    public ConstMFColor(float colors[][]);
    public ConstMFColor(float colors[]);
    public ConstMFColor(int size, float colors[]);

    public void getValue(float colors[][]);
    public void getValue(float colors[]);

    public void get1Value(int index, float colors[]);
    public void get1Value(int index, SFCOLOR color);

    public String toString(); // This overrides a method in Object
}

```

```

}

public class ConstMFFloat extends ConstMField
{
    public ConstMFFloat(int size, float values[]);
    public ConstMFFloat(float values[]);

    public void getValue(float values[]);

    public float get1Value(int index);

    public String toString(); // This overrides a method in Object
}

public class ConstMFInt32 extends ConstMField
{
    public ConstMFInt32(int size, int values[]);
    public ConstMFInt32(int values[]);

    public void getValue(int values[]);

    public int get1Value(int index);

    public String toString(); // This overrides a method in Object
}

public class ConstMFNode extends ConstMField
{
    public ConstMFNode(int size, BaseNode node[]);
    public ConstMFNode(BaseNode node[]);

    public void getValue(BaseNode node[]);

    public BaseNode get1Value(int index);

    public String toString(); // This overrides a method in Object
}

public class ConstMFRotation extends ConstMField
{
    public ConstMFRotation(float rotations[][]);
    public ConstMFRotation(float rotations[]);
    public ConstMFRotation(int size, float rotations[]);

    public void getValue(float rotations[][]);
    public void getValue(float rotations[]);

    public void get1Value(int index, float rotations[]);
    public void get1Value(int index, SFRotation rotation);

    public String toString(); // This overrides a method in Object
}

public class ConstMFString extends ConstMField
{
    public ConstMFString(int size, String s[]);
    public ConstMFString(String s[]);
}

```

```

    public void getValue(String values[]);

    public String get1Value(int index);

    public String toString();    // This overrides a method in Object
}

public class ConstMFTime extends ConstMField
{
    public ConstMFTime(int size, double times[]);
    public ConstMFTime(double times[]);

    public void getValue(double times[]);

    public double get1Value(int index);

    public String toString();    // This overrides a method in Object
}

public class ConstMFVec2f extends ConstMField
{
    public ConstMFVec2f(float vec2s[][]);
    public ConstMFVec2f(float vec2s[]);
    public ConstMFVec2f(int size, float vec2s[]);

    public void getValue(float vec2s[][]);
    public void getValue(float vec2s[]);

    public void get1Value(int index, float vec2s[]);
    public void get1Value(int index, SFVec2f vec);

    public String toString();    // This overrides a method in Object
}

public class ConstMFVec3f extends ConstMField
{
    public ConstMFVec3f(float vec3s[][]);
    public ConstMFVec3f(float vec3s[]);
    public ConstMFVec3f(int size, float vec3s[]);

    public void getValue(float vec3s[][]);
    public void getValue(float vec3s[]);

    public void get1Value(int index, float vec3s[]);
    public void get1Value(int index, SFVec3f vec);

    public String toString();    // This overrides a method in Object
}

```

**B.9.2.3 vrml.node package**

```

package vrml.node;

//
// This is the general Node class
//
public abstract class Node extends BaseNode
{
    // Get an EventIn by name. Return value is write-only.
    // Throws an InvalidEventInException if eventInName isn't a valid
    // eventIn name for a node of this type.
    public final Field getEventIn(String eventInName);

    // Get an EventOut by name. Return value is read-only.
    // Throws an InvalidEventOutException if eventOutName isn't a valid
    // eventOut name for a node of this type.
    public final ConstField getEventOut(String eventOutName);

    // Get an exposed field by name.
    // Throws an InvalidExposedFieldException if exposedFieldName isn't a
valid
    // exposedField name for a node of this type.
    public final Field getExposedField(String exposedFieldName);

    public String toString(); // This overrides a method in Object
}

//
// This is the general Script class, to be subclassed by all scripts.
// Note that the provided methods allow the script author to explicitly
// throw tailored exceptions in case something goes wrong in the
// script.
//
public abstract class Script extends BaseNode
{
    // This method is called before any event is generated
    public void initialize();

    // Get a Field by name.
    // Throws an InvalidFieldException if fieldName isn't a valid
    // field name for a node of this type.
    protected final Field getField(String fieldName);

    // Get an EventOut by name.
    // Throws an InvalidEventOutException if eventOutName isn't a valid
    // eventOut name for a node of this type.
    protected final Field getEventOut(String eventOutName);

    // Get an EventIn by name.
    // Throws an InvalidEventInException if eventInName isn't a valid
    // eventIn name for a node of this type.
    protected final Field getEventIn(String eventInName);

    // processEvents() is called automatically when the script receives

```



```

// some set of events. It shall not be called directly except by its
// subclass.
// count indicates the number of events delivered.
public void processEvents(int count, Event events[]);

// processEvent() is called automatically when the script receives
// an event.
public void processEvent(Event event);

// eventsProcessed() is called after every invocation of processEvents().
public void eventsProcessed()

// shutdown() is called when this Script node is deleted.
public void shutdown();

public String toString(); // This overrides a method in Object
}

```



## **B.10 Example of exception class**

```
public class InvalidEventInException extends IllegalArgumentException
```

```

{
  /**
   * Constructs an InvalidEventInException with no detail message.
   */
  public InvalidEventInException(){
    super();
  }
  /**
   * Constructs an InvalidEventInException with the specified detail
   * message.
   * A detail message is a String that describes this particular exception.
   * @param s the detail message
   */
  public InvalidEventInException(String s){
    super(s);
  }
}

```

```

public class InvalidEventOutException extends IllegalArgumentException
{
  public InvalidEventOutException(){
    super();
  }
  public InvalidEventOutException(String s){
    super(s);
  }
}

```

```
public class InvalidExposedFieldException extends IllegalArgumentException
{
    public InvalidExposedFieldException(){
        super();
    }
    public InvalidExposedFieldException(String s){
        super(s);
    }
}
```

```
public class InvalidFieldChangeException extends IllegalArgumentException
{
    public InvalidFieldChangeException(){
        super();
    }
    public InvalidFieldChangeException(String s){
        super(s);
    }
}
```

```
public class InvalidFieldException extends IllegalArgumentException
{
    public InvalidFieldException(){
        super();
    }
    public InvalidFieldException(String s){
        super(s);
    }
}
```

```
public class InvalidRouteException extends IllegalArgumentException
{
    public InvalidRouteException(){
        super();
    }
    public InvalidRouteException(String s){
        super(s);
    }
}
```

```
public class InvalidVRMLSyntaxException extends Exception
{
    public InvalidVRMLSyntaxException(){
        super();
    }
    public InvalidVRMLSyntaxException(String s){
        super(s);
    }

    public String getMessage(); // This overrides a method in Exception
}
```



# Annex C

(normative)

## ECMAScript scripting reference



### C.1 Introduction and table of contents

This annex describes the ECMAScript programming language that enables Script nodes (see [6.40, Script](#)) to interact with VRML scenes. See [4.12, Scripting](#), for a general description of scripting languages in ISO/IEC 14772. Note that support for the ECMAScript is not required by ISO/IEC 14772, but any access of ECMAScript from within VRML Script nodes shall conform with the requirements specified in this annex.

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[C.7 Examples](#)



## ● C.2 Language

ECMAScript is a general purpose, cross-platform programming language that can be used with ISO/IEC 14772 to provide scripting of events, objects, and actions. ECMAScript is fully described in [2.\[ESCR\]](#). Prior to standardization as ECMA-262, ECMAScript was known as Netscape JavaScript. Several syntactic entities in this annex reflect this origin.



## ● C.3 Supported protocol in the Script node's *url* field

### C.3.1 *url* field

The *url* field of the Script node may contain URL references to ECMAScript code as illustrated below:

```
Script { url "http://foo.com/myScript.js" }
```

The [javascript: protocol](#) allows the script to be placed inline as follows:

```
Script { url "javascript: function foo( ) { ... }" }
```

Browsers supporting the ECMAScript scripting language shall support the javascript: protocol as well as the other required protocols (see [7, Conformance and minimum support requirements](#)).

The *url* field may contain multiple URL's referencing either a remote file or in-line code as shown in the following example:

```
Script {
  url [ "http://foo.com/myScript.js",
        "javascript: function foo( ) { ... }" ]
}
```

### C.3.2 File extension

The file extension for ECMAScript source code is '.js', unless a protocol returning mime types is used (such as HTTP). In that case, any suffix is allowed as long as the proper mime type is returned (see [C.3.3, Mime type](#)).

### C.3.3 MIME type

The MIME type for ECMAScript source code is defined as follows:

```
application/x-javascript
```



## ● C.4 eventIn handling

### C.4.1 Receiving eventIns

Events sent to the Script node are passed to the corresponding ECMAScript function in the script. The script is specified in the *url* field of the Script node. The function's name is the same as the eventIn and is passed two arguments, the event value and its timestamp (see [C.4.2, Parameter passing and the eventIn function](#)). If there is no corresponding ECMAScript function in the script, the browser's behaviour is undefined.

For example, the following Script node has one eventIn field whose name is *start*:

```
Script {
  eventIn SFBool start
  url "javascript: function start(value, timestamp) { ... }"
}
```

In the above example, when the *start* eventIn is sent, the start( ) function is executed.

### C.4.2 Parameter passing and the eventIn function

When a Script node receives an eventIn, a corresponding function in the file specified in the *url* field of the Script node is called. This function has two arguments. The value of the eventIn is passed as the first argument and the timestamp of the eventIn is passed as the second argument. The type of the value is the same as the type of the eventIn and the type of the timestamp is SFTime. [C.6.1, VRML field to ECMAScript variable conversion](#), provides a description of how VRML types appear in ECMAScript. The values of the parameters have no visibility outside the function.

### C.4.3 eventsProcessed( ) function

Authors may define an eventsProcessed() function that is called after some set of events has been received. This allows Script nodes that do not rely on the ordering of events received to generate fewer events than an equivalent Script node that generates events whenever events are received (see [C.4.1, Receiving eventIns](#)).

The eventsProcessed( ) function takes no parameters. Events generated from it are given the timestamp of the last event processed.

### C.4.4 initialize( ) function

Authors may define a function named initialize( ) which is invoked before the browser presents the world to the user and before any events are processed by any nodes in the same VRML file as the Script node containing this script (see [4.12.3, Initialize\(\) and shutdown\(\)](#)).

The initialize( ) function has no parameters. Events generated from initialize( ) are given the timestamp of when the Script node was loaded.

### C.4.5 shutdown( ) function

Authors may define a function named shutdown( ) which is invoked when the corresponding Script node is deleted or when the world containing the Script node is unloaded or replaced by another world (see [4.12.3, Initialize\(\) and shutdown\(\)](#)).

The shutdown() function has no parameters. Events generated from shutdown() are given the timestamp of when the Script node was deleted.



## ● C.5 Accessing fields and events

### C.5.1 Accessing fields and eventOuts of the Script

The fields and eventOuts of a Script node are accessible from its ECMAScript functions. As in all other nodes, the fields are accessible only within the Script. The eventIns are not accessible. The Script node's eventIns can be routed to and its eventOuts can be routed from. Another Script node with a reference to this node can access its eventIns and eventOuts as for any other node.

A field defined in a Script node is available to the script by using its name. Its value can be read or written. This value is persistent across function calls. EventOuts defined in the script node can also be read. The value is the last value assigned.

### C.5.2 Accessing fields and eventOuts of other nodes

The script can access any exposedField, eventIn or eventOut of any node to which it has access:

```
DEF SomeNode Transform { }
  Script {
    field SFNode node USE SomeNode
    eventIn SFVec3f pos
    directOutput TRUE
    url "javascript:
      function pos(value) {
        node.set_translation = value;
      }"
  }
```

This example sends a set\_translation eventIn to the Transform node. An eventIn on a passed node can appear only on the left side of the assignment. An eventOut in the passed node can appear only on the right side, which reads the last value sent out. Fields in the passed node cannot be accessed. However, exposedFields can either send an event to the "set\_..." eventIn or read the current value of the "...\_changed" eventOut. This follows the routing model of the rest of ISO/IEC 14772.

Events generated by setting an eventIn on a node are sent at the completion of the currently executing function. The eventIn shall be assigned a value of the same datatype; no partial assignments are allowed. For example, it is not possible to assign the red value of an SFColor eventIn. Since eventIns are strictly write-only, the remainder of the partial assignment would have invalid field values. Assigning to the eventIn field multiple times during one execution of the function still only sends one event and that event is the last value assigned.

### C.5.3 Sending eventOuts

Assigning to an eventOut of a Script node, or a component of an eventOut (i.e. MF eventOut or a property of an SF eventOut), sends an event to that eventOut. Events are sent at the end of script execution. An eventOut may be assigned a value multiple times within the script, but the value sent shall be the last value assigned to the eventOut. If the value of individual components of an eventOut are changed, the last value given to each component shall be sent. Components that are not changed in the script, send their initial value determined at the beginning of the script

execution. For example, the following script segment produces an eventOut value of (4, 3, 1) for the eventOut SFVec3f *foo\_changed* with an initial value of (6, 6, 6):

```
a = foo_changed; // copy by reference a(6,6,6)
a.x = 5;        // foo_changed(5,6,6)
a.z = 1;        // foo_changed(5,6,1)
b = foo_changed; // copy by reference b(5,6,1)
b.x = 4;        // foo_changed(4,6,1)
c = a;          // copy by reference c(4,6,1)
c.y = 3;        // foo_changed(4,3,1)
```



## ● C.6 ECMAScript objects

### C.6.1 Notational conventions

Since ECMAScript is an untyped language it has no language constructs to describe the types of parameters passed to, or values returned from, functions. Therefore this annex uses a notational convention to describe these types. Parameters passed are preceded by their type, and the type of any return value precedes the function name. Normally these types correspond to VRML field types, so those names are used. In the case of no return value, the identifier *void* is used. In the case of a ECMAScript numeric value or numeric array return, the identifier *numeric* or *numeric[ ]* is used. In the case of a string return, the identifier *String* is used.

### C.6.2 VRML field to ECMAScript variable conversion

ECMAScript native datatypes consist of boolean, numeric and string. The language is not typed, so datatypes are implicit upon assignment. The VRML SFBool is mapped to the ECMAScript boolean. In addition to the ECMAScript *true* and *false* constants, the VRML TRUE and FALSE values may be used. The VRML SFInt32, SFFloat and SFTime fields are mapped to the numeric datatype and will be maintained in double precision accuracy. These types are passed by value in function calls. All other VRML fields are mapped to ECMAScript objects. ECMAScript objects are passed by reference.

The ECMAScript boolean, numeric and string are automatically converted to other datatypes when needed. See [2.\[ESCR\]](#) for more details.

In ECMAScript, assigning a new value to a variable gives the variable the datatype of the new value, in addition to the value. Scalar values (boolean and numeric) are assigned by copying the value. Other objects are assigned by reference.

When assignments are made to eventOuts and fields, the values are converted to the VRML field type. Values assigned are always copied. This contrasts with normal assignment in ECMAScript where all assignments except for scalar are performed by reference.

For eventOut objects, assignment copies the value to the eventOut, which will be sent upon completion of the current function. Assigning an eventOut to an internal variable copies by reference. Subsequent assignments to that internal variable will behave like assignments to the eventOut (i.e., an event will be sent at the end of the function). Field objects behave identically to eventOut objects, except that no event is sent upon completion of the function.

Assigning an element of an MF object to an internal variable creates a reference to that element. The type shall be the corresponding SF object type. If the MF object is an eventOut and an assignment is made to the internal variable, an event will be sent at the end of the function. Assigning an SF object to an element of an MF object which is a

field or eventOut (which shall be of the corresponding type) copies the value of the SF object into the MF object element. If the MF object is an eventOut an event will be sent at the end of the function.

### C.6.3 Browser object

This subclause lists the class static functions available in the *Browser* object which allow scripts to get and set browser information. Descriptions of the functions are provided in [4.12.10, Browser script interface](#). The syntax for a call is:

```
myMFnode = Browser.createVrmlFromString('Sphere {}');
```

[Table C.1](#) describes the Browser object's functions, parameters, and return values.

**Table C.1 -- Browser object functions**

<b>Return value</b>	<b>Function</b>
String	<b>getName( )</b>
String	<b>getVersion( )</b>
numeric	<b>getCurrentSpeed( )</b>
numeric	<b>getCurrentFrameRate( )</b>
String	<b>getWorldURL( )</b>
void	<b>replaceWorld( MFNode nodes )</b>
MFNode	<b>createVrmlFromString( String vrmlSyntax )</b>
void	<b>createVrmlFromURL( MFString url, Node node, String event )</b>
void	<b>addRoute( SFNode fromNode, String fromEventOut, SFNode toNode, String toEventIn)</b>
void	<b>deleteRoute( SFNode fromNode, String fromEventOut, SFNode toNode, String toEventIn )</b>
void	<b>loadURL( MFString url, MFString parameter )</b>
void	<b>setDescription( String description )</b>

### C.6.4 SFCOLOR object

#### C.6.4.1 Description

The SFCOLOR object corresponds to a VRML SFCOLOR field. All properties are accessed using the syntax *sfColorObjectName.<property>*, where *sfColorObjectName* is an instance of an SFCOLOR object. The properties may



also be accessed by the indices [0] for red, [1] for green and [2] blue. All functions are invoked using the syntax *sfColorObjectName.method(<argument-list>)*, where *sfColorObjectName* is an instance of an SFCOLOR object.

#### C.6.4.2 Instance creation function

*sfColorObjectName* = new SFCOLOR(float *r*, float *g*, float *b*)

where

*r*, *g*, and *b* are the red, green, and blue values of the colour. Missing values will be filled by 0.0.

#### C.6.4.3 Properties

The properties of the SFCOLOR object are described in [Table C.2](#).

**Table C.2 -- SFCOLOR properties**

Property	Description
numeric <i>r</i>	red component of the colour
numeric <i>g</i>	green component of the colour
numeric <i>b</i>	blue component of the colour

#### C.6.4.4 Functions

The functions of the SFCOLOR object are described in [Table C.3](#).

**Table C.3 -- SFCOLOR functions**

Function	Description
void setHSV(float <i>h</i> , float <i>s</i> , float <i>v</i> )	Sets the value of the colour by specifying the values of <i>hue</i> , <i>saturation</i> , and <i>value</i> .
numeric[3] getHSV( )	Returns the value of the colour in a 3 element numeric array, with <i>hue</i> at index 0, <i>saturation</i> at index 1, and <i>value</i> at index 2.
String toString( )	Returns a String containing the ISO/IEC 14772 UTF-8 encoded value of <i>r</i> , <i>g</i> and <i>b</i> .

### C.6.5 SFImage object

#### C.6.5.1 Description

The SFImage object corresponds to a VRML SFImage field.

### C.6.5.2 Instance creation function

*SfImageObjectName* = new SfImage(numeric *x*, numeric *y*, numeric *comp*, MFInt32 *array*)

where

*x* is the x-dimension of the image. *y* is the y-dimension of the image. *comp* is the number of components of the image (1 for greyscale, 2 for greyscale+alpha, 3 for rgb, 4 for rgb+alpha). *Array* contains the  $x \times y$  values for the pixels of the image. The format of each pixel is an SfImage as in the [PixelTexture](#) node.

### C.6.5.3 Properties

The properties of the SfImage object are listed in [Table C.4](#).

**Table C.4 -- SfImage properties**

Property	Description
numeric <i>x</i>	x dimension of the image
numeric <i>y</i>	y dimension of the image
numeric <i>comp</i>	<i>number of components of the image:</i> 1: greyscale 2: greyscale + alpha 3: rgb 4: rgb + alpha
MFInt32 <i>array</i>	image data

### C.6.5.4 Functions

The function of the SfImage object is described in [Table C.5](#).

**Table C.5 -- SfImage function**

Function	Description
String toString( )	Returns a String containing the ISO/IEC 14772 UTF-8 encoded value of <i>x</i> , <i>y</i> , <i>comp</i> and <i>array</i> .

## C.6.6 SFNode object

### C.6.6.1 Description

The SFNode object corresponds to a VRML SFNode field.

**C.6.6.2 Instance creation function**

*sfNodeObjectName* = new SFNode(String *vrmlstring*)

where

*vrmlstring* is an ISO 10646 string containing a legal VRML string as described in [4.12.10.9, MFNode createVrmlFromString\( SFString vrmlSyntax \)](#). If the string produces other than one top-level node, the results are undefined. The string may contain any number of ROUTE's, PROTO's, and EXTERNPROTO's in accordance with [4.12.10.9, MFNode createVrmlFromString\( SFString vrmlSyntax \)](#).

**C.6.6.3 Properties**

Each node may assign values to its eventIns and obtain the last output values of its eventOuts using the *sfNodeObjectName.eventName* syntax.

**C.6.6.4 functions**

The function of the SFNode object is described in [Table C.6](#).

**Table C.6 -- SFNode function**

Function	Description
String toString( )	Returns the VRML UTF-8 string that, if parsed as the value of an SFNode field, would produce this node. If the browser is unable to reproduce this node, the name of the node followed by the open brace and close brace shall be returned. Additional information may be included as one or more VRML comment strings.

**C.6.7 SFRotation object**

**C.6.7.1 Description**

The SFRotation object corresponds to a VRML SFRotation field. It has four numeric properties: x, y, z (the axis of rotation) and angle. These may also be addressed by indices [0] through [3].

**C.6.7.2 Instance creation functions**

*sfRotationObjectName* = new SFRotation(numeric *x*, numeric *y*, numeric *z*, numeric *angle*)

where

*x*, *y*, and *z* are the axis of the rotation. *angle* is the angle of the rotation (in radians). Missing values default to 0.0, except *y*, which defaults to 1.0.

*sfRotationObjectName* = new SFRotation(SFVec3f *axis*, numeric *angle*)

where

*axis* is the axis of rotation. *angle* is the angle of the rotation (in radians)

*sfRotationObjectName* = new SFRotation(SFVec3f *fromVector*, SFVec3f *toVector*)

where

*fromVector* and *toVector* are normalized and the rotation value that would rotate from the *fromVector* to the *toVector* is stored in the object.

### C.6.7.3 Properties

The properties of the SFRotation object are described in [Table C.7](#).

**Table C.7 -- SFRotation properties**

Property	Description
numeric <i>x</i>	first value of the axis vector
numeric <i>y</i>	second value of the axis vector
numeric <i>z</i>	third value of the axis vector
numeric <i>angle</i>	the angle of the rotation (in radians)

### C.6.7.4 Functions

The functions of the SFRotation object are described in [Table C.8](#).

**Table C.8 -- SFRotation functions**

Function	Description
SFVec3f getAxis( )	Returns the axis of rotation.
SFRotation inverse( )	Returns the inverse of this object's rotation.
SFRotation multiply(SFRotation <i>rot</i> )	Returns the object multiplied by the passed value.
SFVec3f multVec(SFVec3f <i>vec</i> )	Returns the value of <i>vec</i> multiplied by the matrix corresponding to this object's rotation.
void setAxis(SFVec3f <i>vec</i> )	Sets the axis of rotation to the value passed in <i>vec</i> .
SFRotation slerp(SFRotation <i>dest</i> , numeric <i>t</i> )	Returns the value of the spherical linear interpolation between this object's rotation and <i>dest</i> at value $0 \leq t \leq 1$ . For $t = 0$ , the value is this object's rotation. For $t = 1$ , the value is <i>dest</i> .
String toString( )	Returns a String containing the ISO/IEC 14772 UTF-8 encoded value of <i>x</i> , <i>y</i> , <i>z</i> , and <i>angle</i> .

## C.6.8 SFVec2f object

### C.6.8.1 Description

The SFVec2f object corresponds to a VRML SFVec2f field. Each component of the vector can be accessed using the  $x$  and  $y$  properties or using C-style array dereferencing (i.e.,  $sfVec2fObjectName[0]$  or  $sfVec2fObjectName[1]$ ).

### C.6.8.2 Instance creation function

$sfVec2fObjectName = \text{new SFVec2f}(\text{numeric } x, \text{numeric } y)$

Missing values default to 0.0.

### C.6.8.3 Properties

The properties of the SFVec2f object are described in [Table C.9](#).

**Table C.9 -- SFVec2f properties**

Property	Description
numeric $x$	First value of the vector.
numeric $y$	Second value of the vector.

### C.6.8.4 Functions

The functions of the SFVec2f object are described in [Table C.10](#).

**Table C.10 -- SFVec2f functions**

Function	Description
SFVec2f add(SFVec2f $vec$ )	Returns the value of the passed value added, component-wise, to the object.
SFVec2f divide(numeric $n$ )	Returns the value of the object divided by the passed value.
numeric dot(SFVec2f $vec$ )	Returns the dot product of this vector and the passed value.
numeric length( )	Returns the geometric length of this vector.
SFVec2f multiply(numeric $n$ )	Returns the value of the object multiplied by the passed value.
SFVec2f normalize( )	Returns the object converted to unit length .
SFVec2f subtract(SFVec2f $vec$ )	Returns the value of the passed value subtracted, component-wise, from the object.
String toString( )	Returns a String containing the ISO/IEC 14772 UTF-8 encoded value of $x$ and $y$ .

## C.6.9 SFVec3f object

### C.6.9.1 Description

The SFVec3f object corresponds to a VRML SFVec3f field. Each component of the vector can be accessed using the *x*, *y*, and *z* properties or using C-style array dereferencing (i.e., *sfVec3fObjectName[0]*, *sfVec3fObjectName[1]* or *sfVec3fObjectName[2]*).

### C.6.9.2 Instance creation function

*sfVec3fObjectName* = new SFVec3f(numeric *x*, numeric *y*, numeric *z*)

Missing values default to 0.0.

### C.6.9.3 Properties

The properties of the SFVec3f object are described in [Table C.11](#).

Table C.11 -- SFVec2f properties

Property	Description
numeric <i>x</i>	First value of the vector.
numeric <i>y</i>	Second value of the vector.
numeric <i>z</i>	Third value of the vector.

### C.6.9.4 Functions

The functions of the SFVec3f object are described in [Table C.12](#).

Table C.12 -- SFVec3f functions

Function	Description
SFVec3f add(SFVec3f <i>vec</i> )	Returns the value of the passed value added, component-wise, to the object.
SFVec3f cross(SFVec3f <i>vec</i> )	Returns the cross product of the object and the passed value.
SFVec3f divide(numeric <i>n</i> )	Returns the value of the object divided by the passed value.
numeric dot(SFVec3f <i>vec</i> )	Returns the dot product of this vector and the passed value.
numeric length( )	Returns the geometric length of this vector.

SFVec3f multiply( <i>numeric n</i> )	Returns the value of the object multiplied by the passed value.
SFVec3f negate( )	Returns the value of the component-wise negation of the object.
SFVec3f normalize( )	Returns the object converted to unit length .
SFVec3f subtract(SFVec3f <i>vec</i> )	Returns the value of the passed value subtracted, component-wise, from the object.
String toString( )	Returns a String containing the ISO/IEC 14772 UTF-8 encoded value of x, y, and z.

## C.6.10 MFColor object

### C.6.10.1 Description

The MFColor object corresponds to a VRML MFColor field. It is used to store a one-dimensional array of SFColor objects. Individual elements of the array can be referenced using the standard C-style dereferencing operator (e.g., *mfColorObjectName[index]*, where *index* is an integer-valued expression with  $0 \leq index < length$  and *length* is the number of elements in the array). Assigning to an element with *index*  $\geq length$  results in the array being dynamically expanded to contain *length* elements. All elements not explicitly initialized are set to SFColor (0, 0, 0).

### C.6.10.2 Instance creation function

*mfColorObjectName* = new MFColor(SFColor *c1*, SFColor *c2*, ...)

The creation function shall initialize the array using 0 or more SFColor-valued expressions passed as parameters.

### C.6.10.3 Property

The property of the MFColor object is described in [Table C.13](#).

Table C.13 -- MFColor properties

Property	Description
<i>numeric length</i>	property for getting/setting the number of elements in the array.

### C.6.10.4 Function

The single function of the MFColor object is described in [Table C.14](#).

Table C.14 -- MFColor functions

Function	Description
----------	-------------

String toString( )	Returns a String containing the ISO/IEC 14772 utf 8 encoded value of the MFColor array.
--------------------	-----------------------------------------------------------------------------------------

## C.6.11 MFFloat object

### C.6.11.1 Description

The MFFloat object corresponds to a VRML MFFloat field. It is used to store a one-dimensional array of SFFloat values. Individual elements of the array can be referenced using the standard C-style dereferencing operator (e.g., *mfFloatObjectName[index]*, where *index* is an integer-valued expression with  $0 \leq index < length$  and *length* is the number of elements in the array). Assigning to an element with *index*  $\geq length$  results in the array being dynamically expanded to contain *length* elements. All elements not explicitly initialized are set to 0.0.

### C.6.11.2 Instance creation function

*mfFloatObjectName* = new MFFloat(numeric *n1*, numeric *n2*, ...)

where

The creation function shall initialize the array using 0 or more numeric-valued expressions passed as parameters.

### C.6.11.3 Property

The property of the MFFloat object is described in [Table C.15](#).

**Table C.15 -- MFFloat properties**

Property	Description
numeric <i>length</i>	property for getting/setting the number of elements in the array.

### C.6.11.4 Function

The single function of the MFFloat object is described in [Table C.16](#).

**Table C.16 -- MFFloat function**

Function	Description
String toString( )	Returns a String containing the ISO/IEC 14772 utf 8 encoded value of the MFFloat array.

## C.6.12 MFInt32 object

### C.6.12.1 Description

The MFInt32 object corresponds to a VRML MFInt32 field. It is used to store a one-dimensional array of SFInt32 values. Individual elements of the array can be referenced using the standard C-style dereferencing operator (e.g., *mfInt32ObjectName[index]*, where *index* is an integer-valued expression with  $0 \leq index < length$  and *length* is



the number of elements in the array). Assigning to an element with *index*  $\geq$  *length* results in the array being dynamically expanded to contain *length* elements. All elements not explicitly initialized are set to 0.

### C.6.12.2 Instance creation function

*mfInt32ObjectName* = new MFInt32(numeric *n1*, numeric *n2*, ...)

where

The creation function shall initialize the array using 0 or more integer-valued expressions passed as parameters.

### C.6.12.3 Property

The property of the MFInt32 object is described in [Table C.17](#).

**Table C.17 -- MFInt32 property**

Property	Description
numeric <i>length</i>	property for getting/setting the number of elements in the array.

### C.6.12.4 Function

The single function of the MFInt32 object is described in [Table C.18](#).

**Table C.18 -- MFInt32 function**

Function	Description
String toString()	Returns a String containing the ISO/IEC 14772 utf 8 encoded value of the MFInt32 array.

## C.6.13 MFNode object

### C.6.13.1 Description

The MFNode object corresponds to a VRML MFNode field. It is used to store a one-dimensional array of SFNode objects. Individual elements of the array can be referenced using the standard C-style dereferencing operator (e.g., *mfNodeObjectName*[*index*], where *index* is an integer-valued expression with  $0 \leq \textit{index} < \textit{length}$  and *length* is the number of elements in the array). Assigning to an element with *index*  $\geq$  *length* results in the array being dynamically expanded to contain *length* elements. All elements not explicitly initialized are set to NULL.

### C.6.13.2 Instance creation function

*mfNodeObjectName* = new MFNode(SFNode *n1*, SFNode *n2*, ...)

where

The creation function shall initialize the array using 0 or more SFNode-valued expressions passed as parameters.

### C.6.13.3 Property

The property of the MFNode object is described in [Table C.19](#).

**Table C.19 -- MFNode property**

Property	Description
numeric <i>length</i>	property for getting/setting the number of elements in the array.

### C.6.13.4 Function

The single function of the MFNode object is described in [Table C.20](#).

**Table C.20 -- MFNode function**

Function	Description
String toS tring( )	Returns the VRML UTF-8 string that, if parsed as the value of a MFNode field, would produce this array of nodes. If the browser is unable to reproduce this node, the name of the node followed by the open brace and close brace shall be returned. Additional information may be included as one or more VRML comment strings

## C.6.14 MFRotation object

### C.6.14.1 Description

The MFRotation object corresponds to a VRML MFRotation field. It is used to store a one-dimensional array of SFRotation objects. Individual elements of the array can be referenced using the standard C-style dereferencing operator (e.g., *mfRotationObjectName[index]*, where *index* is an integer-valued expression with  $0 \leq index < length$  and *length* is the number of elements in the array). Assigning to an element with *index*  $\geq length$  results in the array being dynamically expanded to contain *length* elements. All elements not explicitly initialized are set to SFRotation (0, 0, 1, 0).

### C.6.14.2 Instance creation function

*mfRotationObjectName* = new MFRotation(SFRotation *r1*, SFRotation *r2*, ...)

where

The creation function shall initialize the array using 0 or more SFRotation-valued expressions passed as parameters.

### C.6.14.3 Property

The property of the MFRotation object is described in [Table C.21](#).

**Table C.21 -- MFRotation property**

Property	Description
----------	-------------

<i>numeric length</i>	property for getting/setting the number of elements in the array.
-----------------------	-------------------------------------------------------------------

#### C.6.14.4 Function

The single function of the MFRotation object is described in [Table C.22](#).

**Table C.22 -- MFRotation function**

Function	Description
String toString( )	Returns a String containing the ISO/IEC 14772 utf 8 encoded value of the MFRotation array.

### C.6.15 MFString object

#### C.6.15.1 Description

The MFString object corresponds to a VRML 2.0 MFString field. It is used to store a one-dimensional array of String objects. Individual elements of the array can be referenced using the standard C-style dereferencing operator (e.g., *mfStringObjectName*[*index*], where *index* is an integer-valued expression with  $0 \leq index < length$  and *length* is the number of elements in the array). Assigning to an element with *index*  $\geq length$  results in the array being dynamically expanded to contain *length* elements. All elements not explicitly initialized are set to the empty string.

#### C.6.15.2 Instance creation function

*mfStringObjectName* = new MFString(String *s1*, String *s2*, ...)

where

The creation function shall initialize the array using 0 or more String-valued expressions passed as parameters.

#### C.6.15.3 Property

The property of the MFString object is described in [Table C.23](#).

**Table C.23 -- MFString property**

Property	Description
<i>numeric length</i>	property for getting/setting the number of elements in the array.

#### C.6.15.4 Function

The single function of the MFString object is described in [Table C.24](#).

**Table C.24 -- MFString function**

Function	Description
----------	-------------

String toString()	Returns a String containing the ISO/IEC 14772 utf 8 encoded value of the MFString array.
-------------------	------------------------------------------------------------------------------------------

## C.6.16 MFTIME object

### C.6.16.1 Description

The MFTIME object corresponds to a VRML MFTIME field. It is used to store a one-dimensional array of SFTIME values. Individual elements of the array can be referenced using the standard C-style dereferencing operator (e.g., *mfTimeObjectName[index]*, where *index* is an integer-valued expression with  $0 \leq index < length$  and *length* is the number of elements in the array). Assigning to an element with *index*  $\geq length$  results in the array being dynamically expanded to contain *length* elements. All elements not explicitly initialized are set to 0.0.

### C.6.16.2 Instance creation function

*mfTimeObjectName* = new MFTIME(numeric *n1*, numeric *n2*, ...)

The creation function shall initialize the array using 0 or more numeric-valued expressions passed as parameters.

### C.6.16.3 Property

The property of the MFTIME object is described in [Table C.25](#).

**Table C.25 -- MFTIME property**

Property	Description
numeric <i>length</i>	property for getting/setting the number of elements in the array.

### C.6.16.4 Function

The function of the MFTIME object is described in [Table C.26](#).

**Table C.26 -- MFTIME function**

Function	Description
String toString()	Returns a String containing the ISO/IEC 14772 utf 8 encoded value of the MFTIME array.

## C.6.17 MFVec2f object

### C.6.17.1 Description

The MFVec2f object corresponds to a VRML MFVec2f field. It is used to store a one-dimensional array of SFVec2f objects. Individual elements of the array can be referenced using the standard C-style dereferencing operator (e.g., *mfVec2fObjectName[index]*, where *index* is an integer-valued expression with  $0 \leq index < length$  and *length* is the number of elements in the array). Assigning to an element with *index*  $\geq length$  results in the array being dynamically expanded to contain *length* elements. All elements not explicitly initialized are set to SFVec2f (0, 0).

**C.6.17.2 Instance creation function**

*mfVec2fObjectName* = new MFVec2f(SFVec2f *v1*, SFVec2f *v2*, ...)

The creation function shall initialize the array using 0 or more SFVec2f-valued expressions passed as parameters.

**C.6.17.3 Property**

The property of the MFVec2f object is described in [Table C.27](#).

**Table C.27 -- MFVec2f property**

Property	Description
numeric <i>length</i>	property for getting/setting the number of elements in the array.

**C.6.17.4 Function**

The single function of the MFVec2f object is described in [Table C.28](#).

**Table C.28 -- MFVec2f function**

Function	Description
String toString()	Returns a String containing the ISO/IEC 14772 utf 8 encoded value of the MFVec2f array.

**C.6.18 MFVec3f object****C.6.18.1 Description**

The MFVec3f object corresponds to a VRML MFVec3f field. It is used to store a one-dimensional array of SFVec3f objects. Individual elements of the array can be referenced using the standard C-style dereferencing operator (e.g., *mfVec3fObjectName*[*index*], where *index* is an integer-valued expression with  $0 \leq index < length$  and *length* is the number of elements in the array). Assigning to an element with *index*  $\geq length$  results in the array being dynamically expanded to contain *length* elements. All elements not explicitly initialized are set to SFVec3f (0, 0, 0).

**C.6.18.2 Instance creation function**

*mfVec3fObjectName* = new MFVec3f(SFVec3f *v1*, SFVec3f *v2*,...)

where

The creation function shall initialize the array using 0 or more SFVec3f-valued expressions passed as parameters.

**C.6.18.3 Property**

The property of the MFVec3f object is described in [Table C.29](#).

**Table C.29 -- MFVec3f property**

Property	Description
numeric <i>length</i>	property for getting/setting the number of elements in the array.

**C.6.18.4 Function**

The single function of the MFVec3f object is described in [Table C.30](#).

**Table C.30 -- MFVec3f function**

Function	Description
String toString( )	Returns a String containing the ISO/IEC 14772 utf 8 encoded value of the MFVec3f array.

**C.6.19 VrmlMatrix object****C.6.19.1 Description**

The VrmlMatrix object provides many useful functions for performing manipulations on 4x4 matrices. Each of element of the matrix can be accessed using C-style array dereferencing (i.e., `vrmlMatrixObjectName[0][1]` is the element in row 0, column 1). The results of dereferencing a VrmlMatrix object using a single index (i.e., `vrmlMatrixObjectName[0]`) are undefined. The translation elements are in the fourth row. For example, `vrmlMatrixObjectName[3][0]` is the X offset.

**C.6.19.2 Instance creation functions**

```
VrmlMatrixObjectName = new VrmlMatrix(
    numeric f11, numeric f12, numeric f13, numeric f14,
    numeric f21, numeric f22, numeric f23, numeric f24,
    numeric f31, numeric f32, numeric f33, numeric f34,
    numeric f41, numeric f42, numeric f43, numeric f44)
```

A new matrix initialized with the values in *f11* through *f44* is created and returned. The translation values will be *f41*, *f42*, and *f43*.

```
VrmlMatrixObjectName = new VrmlMatrix( )
```

A new matrix initialized with the identity matrix is created and returned.

**C.6.19.3 Properties**

The VRMLMatrix object has no properties.

**C.6.19.4 Functions**

The functions of the VRMLMatrix object are listed in [Table C.31](#).

Table C.31 -- VRMLMatrix functions

Function	Description
void setTransform(SFVec3f <i>translation</i> , SFRotation <i>rotation</i> , SFVec3f <i>scale</i> , SFRotation <i>scaleOrientation</i> , SFVec3f <i>center</i> )	Sets the VrmlMatrix to the passed values. Any of the rightmost parameters may be omitted. The function has 0 to 5 parameters. For example, specifying 0 parameters results in an identity matrix while specifying 1 parameter results in a translation and specifying 2 parameters results in a translation and a rotation. Any unspecified parameter is set to its default as specified for the <a href="#">Transform</a> node.
void getTransform(SFVec3f <i>translation</i> , SFRotation <i>rotation</i> , SFVec3f <i>scale</i> )	Decomposes the VrmlMatrix and returns the components in the passed <i>translation</i> , <i>rotation</i> , and <i>scale</i> objects. The types of these passed objects is the same as the first three arguments to <b>setTransform</b> . If any passed object is not sent, or if the null object is sent for any value, that value is not returned. Any projection or shear information in the matrix is ignored.
VrmlMatrix inverse( )	Returns a VrmlMatrix whose value is the inverse of this object.
VrmlMatrix transpose( )	Returns a VrmlMatrix whose value is the transpose of this object.
VrmlMatrix multLeft(VrmlMatrix <i>matrix</i> )	Returns a VrmlMatrix whose value is the object multiplied by the passed <i>matrix</i> on the left.
VrmlMatrix multRight(VrmlMatrix <i>matrix</i> )	Returns a VrmlMatrix whose value is the object multiplied by the passed <i>matrix</i> on the right.
SFVec3f multVecMatrix(SFVec3f <i>vec</i> )	Returns an SFVec3f whose value is the object multiplied by the passed row vector.
SFVec3f multMatrixVec(SFVec3f <i>vec</i> )	Returns an SFVec3f whose value is the object multiplied by the passed column vector.
String toString( )	Returns a String containing the values of the VrmlMatrix.



## C.7 Examples

The following is an example of a [Script](#) node which determines whether a given colour contains a lot of red. The Script node exposes a Color field, an eventIn, and an eventOut:

```
DEF Example_1 Script {
    field    SFColor currentColor 0 0 0
    eventIn  SFColor colorIn
```

```

eventOut SFBool  isRed

url "javascript:
function colorIn(newColor, ts) {
    // This function is called when a colorIn event is received
    currentColor = newColor;
}

function eventsProcessed( ) {
    if (currentColor[0] >= 0.5)
        // if red is at or above 50%
        isRed = true;
}"
}

```

Details on when the functions defined in Example\_1 Script are called are provided in [4.12.2, Script execution](#).

The following example illustrate use of the createVrmlFromURL() function:

```

DEF Example_2 Script {
    field  SFNode myself USE Example_2
    field  SFNode root  USE ROOT_TRANSFORM
    field  MFString url  "foo.wrl"
    eventIn MFNode  nodesLoaded
    eventIn SFBool  trigger_event

    url "javascript:
function trigger_event(value, ts){
    // do something and then fetch values
    Browser.createVRMLFromURL(url, myself, 'nodesLoaded');
}

function nodesLoaded(value, timestamp){
    if (value.length > 5) {
        // do something more than 5 nodes in this MFNode...
    }
    root.addChildren = value;
}"
}

```

The following example illustrates use of the addRoute() function:

```

DEF Sensor TouchSensor {}

DEF Baa Script {
    field  SFNode myself USE Baa
    field  SFNode fromNode USE Sensor
    eventIn SFBool clicked
    eventIn SFBool trigger_event

    url "javascript:
function trigger_event(eventIn_value){
    // do something and then add routing
    Browser.addRoute(fromNode, 'isActive', myself, 'clicked');
}

function clicked(value){

```



```

        // do something
    }"
}

```

The following example illustrates assigning with references and assigning by copying:

```

Script {
  eventIn SFBool eI
  eventOut SFVec3f eO
  field MFVec3f f []

  url "javascript:
    function eI( ) {
      eO = new SFVec3f(0,1,2); // 'eO' contains the value
                               // (0,1,2) which will be sent
                               // out when the function
                               // is complete.

      a = eO; // 'a' references the eventOut
              // 'eO'

      b = a; // 'a' and 'b' now both reference
              // 'eO'

      a.x = 3; // 'eO' will send (3,1,2) at the
               // end of the function

      f[1] = a; // 'f[1]' contains the value
                // (3,1,2).

      c = f[1]; // 'c' reference the field
                // element f[1]

      f[1].y = 4; // 'f[1]' and 'c' both contain
                  // (3,4,2)

    }"
}

```

The following example illustrates uses of the fields and functions of SFVec3f and MFVec3f:

```

DEF SCR-VEC3F Script {
  eventIn SFTIME touched1
  eventIn SFTIME touched2
  eventIn SFTIME touched3
  eventIn SFTIME touched4
  eventOut SFVec3f new_translation
  field SFInt32 count 1
  field MFVec3f verts []

  url "javascript:
    function initialize( ) {
      verts[0] = new SFVec3f(0, 0, 0);
      verts[1] = new SFVec3f(1, 1.732, 0);
      verts[2] = new SFVec3f(2, 0, 0);
      verts[3] = new SFVec3f(1, 0.577, 1.732);
    }

    function touched1 (value) {
      new_translation = verts[count]; // move sphere around tetra
      count++;
      if (count >= verts.length) count = 1;
    }

    function touched2 (value) {

```

```
    var tVec;
    tVec = new_translation.divide(2); // Zeno's paradox to origin
    new_translation = new_translation.subtract(tVec);
}

function touched4 (value) {
    new_translation = new_translation.negate( );
}

function touched3 (value) {
    var a;
    a = verts[1].length( );
    a = verts[3].dot(verts[2].cross(verts[1]));
    a = verts[1].x;
    new_translation = verts[2].normalize( );
    new_translation = new_translation.add(new_translation);
}"
}
```



# Annex D

(informative)

## Examples



### D.1 Introduction and table of contents

This annex provides a variety of VRML examples.

- [D.1 Introduction and table of contents](#)
- [D.2 Simple example](#)
- [D.3 Instancing \(sharing\)](#)
- [D.4 Prototype example](#)
- [D.5 Scripting example](#)
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- [D.18 Elevator](#)
- [D.19 Execution model](#)



### D.2 Simple example

This example contains a simple scene defining a view of a red sphere and a blue box, lit by a directional light:

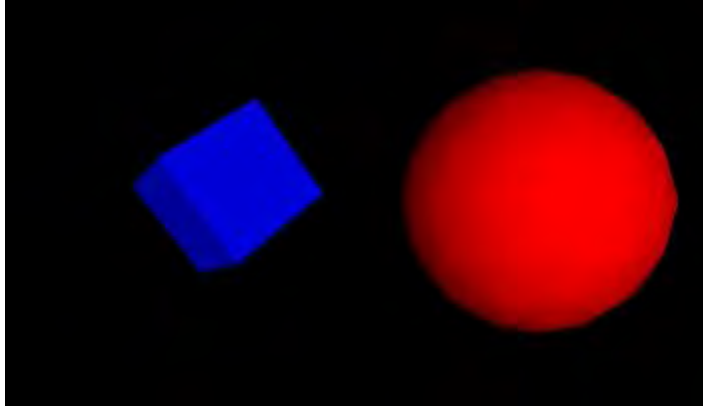


Figure D.1: Red sphere meets blue box

```

#VRML V2.0 utf8
Transform {
  children [
    NavigationInfo { headlight FALSE } # We'll add our own light

    DirectionalLight {           # First child
      direction 0 0 -1           # Light illuminating the scene
    }

    Transform {                  # Second child - a red sphere
      translation 3 0 1
      children [
        Shape {
          geometry Sphere { radius 2.3 }
          appearance Appearance {
            material Material { diffuseColor 1 0 0 } # Red
          }
        }
      ]
    }
  ]
}

Transform {                      # Third child - a blue box
  translation -2.4 .2 1
  rotation    0 1 1 .9
  children [
    Shape {
      geometry Box {}
      appearance Appearance {
        material Material { diffuseColor 0 0 1 } # Blue
      }
    }
  ]
}

] # end of children for world
}

```

[Click here to view this example in a VRML browser.](#)



## D.3 Instancing (sharing)

Reading the following file results in three spheres being drawn. The first sphere defines a unit sphere at the origin named "Joe", the second sphere defines a smaller sphere translated along the +x axis, the third sphere is a reference to the second sphere and is translated along the -x axis. If any changes occur to the second sphere (e.g. radius changes), then the third sphere, will change too:

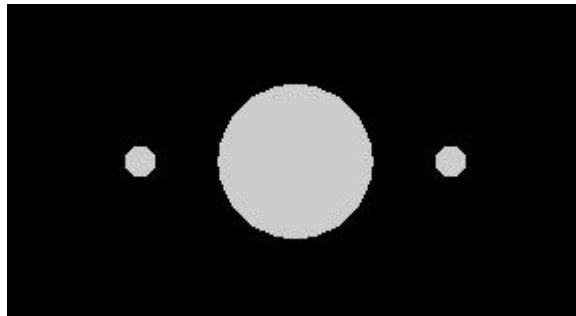


Figure D.2: Instancing

```
#VRML V2.0 utf8
Transform {
  children [
    DEF Joe Shape { geometry Sphere {} }
    Transform {
      translation 2 0 0
      children DEF Joe Shape { geometry Sphere { radius .2 } }
    }
    Transform {
      translation -2 0 0
      children USE Joe
    }
  ]
}
```

[Click here to view this example in a VRML browser.](#) (Note that the spheres are unlit because no appearance was specified.)



## D.4 Prototype example

A simple table with variable colours for the legs and top might be prototyped as:



Figure D.3: Prototype

```

#VRML V2.0 utf8
PROTO TwoColorTable [ field SFCOLOR legColor .8 .4 .7
                      field SFCOLOR topColor .6 .6 .1 ]
{
  Transform {
    children [
      Transform { # table top
        translation 0 0.6 0
        children
          Shape {
            appearance Appearance {
              material Material { diffuseColor IS topColor }
            }
            geometry Box { size 1.2 0.2 1.2 }
          }
        ]
      }

      Transform { # first table leg
        translation -.5 0 -.5
        children
          DEF Leg Shape {
            appearance Appearance {
              material Material { diffuseColor IS legColor }
            }
            geometry Cylinder { height 1 radius .1 }
          }
        ]
      }

      Transform { # another table leg
        translation .5 0 -.5
        children USE Leg
      }

      Transform { # another table leg
        translation -.5 0 .5
        children USE Leg
      }
    ]
  }
}

```

```

    }
    Transform { # another table leg
        translation .5 0 .5
        children USE Leg
    }
] # End of root Transform's children
} # End of root Transform
} # End of prototype

# The prototype is now defined. Although it contains a
# number of nodes, only the legColor and topColor fields
# are public. Instead of using the default legColor and
# topColor, this instance of the table has red legs and
# a green top:

TwoColorTable {
    legColor 1 0 0 topColor 0 1 0
}
NavigationInfo { type "EXAMINE" } # Use the Examine viewer
Click here to view this example in a VRML browser.

```



## D.5 Scripting example

This Script node decides whether or not to open a bank vault given `openVault` and `combinationEntered` messages. To do this, it remembers whether or not the correct combination has been entered. The Script node combined with a Sphere, a TouchSensor and a Sound node to show how it works. When the pointing device is over the sphere, the `combinationEntered` eventIn of the Script is sent. Then, when the Sphere is touched (typically when the mouse button is pressed) the Script is sent the `openVault` eventIn. This generates the `vaultUnlocked` eventOut which starts a 'click' sound. Here is the example:

```

#VRML V2.0 utf8

DEF OpenVault Script {
    # Declarations of what's in this Script node:
    eventIn SFTime openVault
    eventIn SFBool combinationEntered
    eventOut SFTime vaultUnlocked
    field SFBool unlocked FALSE

    # Implementation of the logic:
    url "javascript:
        function combinationEntered(value) { unlocked = value; }
        function openVault(value) {
            if (unlocked) vaultUnlocked = value;
        }"
}

Shape {
    appearance Appearance {
        material Material { diffuseColor 1 0 0 }
    }
}

```

```

    }
    geometry Sphere { }
  }

  Sound {
    source      DEF Click AudioClip {
      url       "click.wav"
      stopTime  1
    }

    minFront   1000
    maxFront   1000
    minBack    1000
    maxBack    1000
  }

```

```
DEF TS TouchSensor { }
```

```
ROUTE TS.isOver TO OpenVault.combinationEntered
ROUTE TS.touchTime TO OpenVault.openVault
ROUTE OpenVault.vaultUnlocked TO Click.startTime
```

Note that the *openVault* eventIn and the *vaultUnlocked* eventOut are of type SFTIME, which allows them to be wired directly to a TouchSensor or TimeSensor.

[Click here to view this example in a VRML browser.](#)



## D.6 Geometric properties

The following IndexedFaceSet (contained in a Shape node) uses all four of the geometric property nodes to specify vertex coordinates, colours per vertex, normals per vertex, and texture coordinates per vertex (note that the material sets the overall transparency):

```
#VRML V2.0 utf8
```

```

Shape {
  geometry IndexedFaceSet {
    coordIndex [ 0, 1, 3, -1, 0, 2, 3, -1 ]
    coord Coordinate {
      point [ 0 0 0, 1 0 0, 1 0 -1, 0.5 1 0 ]
    }
    color Color {
      color [ 0.2 0.7 0.8, 0.5 0 0, 0.1 0.8 0.1, 0 0 0.7 ]
    }
    normal Normal {
      vector [ 0 0 1, 0 0 1, 0 0 1, 0 0 1 ]
    }
    texCoord TextureCoordinate {
      point [ 0 0, 1 0, 1 0.4, 1 1 ]
    }
  }
  appearance Appearance {

```



```

    material Material { transparency 0.5 }
    texture PixelTexture {
      image 2 2 1 0xFF 0x80 0x80 0xFF
    }
  }
}

```

[Click here to view this example in a VRML browser.](#)



## D.7 Prototypes and alternate representations

VRML 2.0 has the capability to define new nodes. The following is an example of a new node `RefractiveMaterial`. This node behaves as a `Material` node with an added field, *indexOfRefraction*. The list of URLs for the EXTERNPROTO are searched in order. If the browser recognizes the URN,

```
urn:inet:foo.com:types:RefractiveMaterial,
```

it may treat it as a native type (or load the implementation). Otherwise, the URL,

```
http://www.myCompany.com/vrmlNodes/RefractiveMaterial.wrl,
```

is used as a backup to ensure that the node is supported on any browsers. See below for the PROTO implementation that treats `RefractiveMaterial` as a `Material` (and ignores the *refractiveIndex* field).

```
#VRML V2.0 utf8
```

```
# external prototype definition
```

```
EXTERNPROTO RefractiveMaterial [
  exposedField SFFloat ambientIntensity
  exposedField SFCOLOR diffuseColor
  exposedField SFCOLOR specularColor
  exposedField SFCOLOR emissiveColor
  exposedField SFFloat shininess
  exposedField SFFloat transparency
  exposedField SFFloat indexOfRefraction ]
[
  "urn:inet:foo.com:types:RefractiveMaterial",
  "http://www.myCompany.com/vrmlNodes/RefractiveMaterial.wrl",
  "refractivematerial.wrl",
]
```

```
Shape {
  geometry Sphere { }
  appearance Appearance {
    # Instance of a RefractiveMaterial
    material RefractiveMaterial {
      ambientIntensity 0.2
      diffuseColor 1 0 0
      indexOfRefraction 0.3
    }
  }
}
```

The URL `http://www.myCompany.com/vrmlNodes/RefractiveMaterial.wrl` contains the following:

```
#VRML V2.0 utf8

PROTO RefractiveMaterial [           # prototype definition
  exposedField SFFloat ambientIntensity 0
  exposedField SFCOLOR diffuseColor    0.5 0.5 0.5
  exposedField SFCOLOR specularColor   0 0 0
  exposedField SFCOLOR emissiveColor   0 0 0
  exposedField SFFloat shininess       0
  exposedField SFFloat transparency    0
  exposedField SFFloat indexOfRefraction 0.1 ]
{
  Material {
    ambientIntensity IS ambientIntensity
    diffuseColor     IS diffuseColor
    specularColor    IS specularColor
    emissiveColor    IS emissiveColor
    shininess        IS shininess
    transparency     IS transparency
  }
}
```

Note that the name of the new node type, **RefractiveMaterial**, is not used by the browser to decide if the node is native or not; the URL/URN names determine the node's implementation.

[Click here to view this example in a VRML browser.](#)



## D.8 Anchor

The *target* parameter can be used by the anchor node to send a request to load a URL into another frame:

```
Anchor {
  url "http://somehost/somefile.html"
  parameter [ "target=name_of_frame" ]
  children Shape { geometry Cylinder {} }
}
```

An Anchor may be used to bind the viewer to a particular *viewpoint* in a virtual world by specifying a URL ending with *#viewpointName*, where *viewpointName* is the DEF name of a viewpoint defined in the world. For example:

```
Anchor {
  url "http://www.school.edu/vrml/someScene.wrl#OverView"
  children Shape { geometry Box {} }
}
```

specifies an anchor that puts the viewer in the *someScene* world bound to the viewpoint named *OverView* when the box is chosen (note that *OverView* is the DEF name of the viewpoint, not the value of the viewpoint's description field).

If no world is specified, the current scene is implied. For example:

```
Anchor {
  url "#Doorway"
  children Shape { geometry Sphere { } }
}
```

binds the user's view to the viewpoint with the DEF name *Doorway* in the current scene.



## D.9 Directional light

A directional light source illuminates only the objects in its enclosing grouping node. The light illuminates everything within this coordinate system including the objects that precede it in the scene graph as shown below:

```
#VRML V2.0 utf8

Group {
  children [
    DEF UnlitShapeOne Transform {
      translation -3 0 0

      children Shape {
        appearance DEF App Appearance {
          material Material {
            diffuseColor 0.8 0.4 0.2
          }
        }
        geometry Box { }
      }
    ]
}

DEF LitParent Group {
  children [
    DEF LitShapeOne Transform {
      translation 0 2 0

      children Shape {
        appearance USE App
        geometry Sphere { }
      }
    ]

    # lights the shapes under LitParent
    DirectionalLight { }
    DEF LitShapeTwo Transform {
      translation 0 -2 0

      children Shape {
        appearance USE App
        geometry Cylinder { }
      }
    ]
  ]
}

1
```

```

    }
    DEF UnlitShapeTwo Transform {
        translation 3 0 0

        children Shape {
            appearance USE App
            geometry Cone { }
        }
    }
]
}

```

[Click here to view this example in a VRML browser.](#)



## D.10 PointSet

This simple example defines a PointSet composed of 3 points. The first point is red (1 0 0), the second point is green (0 1 0), and the third point is blue (0 0 1). The second PointSet instances the Coordinate node defined in the first PointSet, but defines different colours:

```

#VRML V2.0 utf8

Shape {
    geometry PointSet {
        coord DEF mypts Coordinate {
            point [ 0 0 0, 2 2 2, 3 3 3 ]
        }
        color Color { color [ 1 0 0, 0 1 0, 0 0 1 ] }
    }
}

Transform {
    translation 2 0 0

    children Shape {
        geometry PointSet {
            coord USE mypts
            color Color { color [ .5 .5 0, 0 .5 .5, 1 1 1 ] }
        }
    }
}

```

[Click here to view this example in a VRML browser.](#)



## D.11 Level of detail

The LOD node is typically used for switching between different versions of geometry at specified distances from the viewer. However, if the range field is left at its default value, the browser selects the most appropriate child from the

list given. It can make this selection based on performance or perceived importance of the object. Children should be listed with most detailed version first just as for the normal case. This "performance LOD" feature can be combined with the normal LOD function to give the browser a selection of children from which to choose at each distance.

In this example, the browser is free to choose either a detailed or a less-detailed version of the object when the viewer is closer than 10 meters (as measured in the coordinate space of the LOD). The browser should display the less detailed version of the object if the viewer is between 10 and 50 meters and should display nothing at all if the viewer is farther than 50 meters. Browsers should try to honor the hints given by authors, and authors should try to give browsers as much freedom as they can to choose levels of detail based on performance.

```
#VRML V2.0 utf8
```

```
LOD {
  range [ 10, 50 ]
  level [
    LOD {
      level [
        Shape { geometry Sphere { } }
        DEF LoRes Shape { geometry Box { } }
      ]
    }
    USE LoRes,
    Shape { } # Display nothing
  ]
}
```

For best results, ranges should be specified only where necessary and LOD nodes should be nested with and without ranges.

[Click here to view this example in a VRML browser.](#)



## D.12 Color interpolator

This example interpolates from red to green to blue in a 10 second cycle:

```
#VRML V2.0 utf8
```

```
DEF myColor ColorInterpolator {
  key      [ 0.0, 0.5, 1.0 ]
  keyValue [ 1 0 0, 0 1 0, 0 0 1 ] # red, green, blue
}

DEF myClock TimeSensor {
  cycleInterval 10.0 # 10 second animation
  loop          TRUE # infinitely cycling animation
}

Shape {
  appearance Appearance {
    material DEF myMaterial Material { }
  }
  geometry Sphere { }
```

}

```
ROUTE myClock.fraction_changed TO myColor.set_fraction
ROUTE myColor.value_changed TO myMaterial.set_diffuseColor
```

[Click here to view this example in a VRML browser.](#)



## D.13 TimeSensor

### D.13.1 Introduction

The TimeSensor is very flexible. The following are some of the many ways in which it can be used:

- e. a TimeSensor can be triggered to run continuously by setting *cycleInterval* > 0, and *loop* = TRUE, and then routing a time output from another node that triggers the loop (e.g., the *touchTime* eventOut of a TouchSensor can be routed to the TimeSensor's *startTime* to start the TimeSensor running).
- f. a TimeSensor can be made to run continuously upon reading by setting *cycleInterval* > 0, *startTime* > 0, *stopTime* = 0, and *loop* = TRUE.

### D.13.2 Click to animate

The first example animates a box when the user clicks on it:

```
#VRML V2.0 utf8

DEF XForm Transform {
  children [
    Shape {
      appearance Appearance {
        material Material { diffuseColor 1 0 0 }
      }
      geometry Box {}
    }
  ]
  DEF Clicker TouchSensor {}

  # Run once for 2 sec.
  DEF TimeSource TimeSensor { cycleInterval 2.0 }

  # Animate one full turn about Y axis:
  DEF Animation OrientationInterpolator {
    key [ 0, .33, .66, 1.0 ]
    keyValue [ 0 1 0 0, 0 1 0 2.1, 0 1 0 4.2, 0 1 0 0 ]
  }
}

ROUTE Clicker.touchTime TO TimeSource.startTime
ROUTE TimeSource.fraction_changed TO Animation.set_fraction
ROUTE Animation.value_changed TO Xform.rotation
```

[Click here to view this example in a VRML browser.](#)

### D.13.3 Alarm clock

The second example plays chimes once an hour:

```
#VRML V2.0 utf8

Group {
  children [
    DEF Hour TimeSensor {
      loop          TRUE
      cycleInterval 3600.0 # 60*60 seconds == 1 hour
    }

    Sound {
      source DEF Sounder AudioClip {
        url "click.wav" }
      }
    ]
}

ROUTE Hour.cycleTime TO Sounder.startTime
```

[Click here to view this example in a VRML browser.](#)



## D.14 Shuttles and pendulums

Shuttles and pendulums are great building blocks for composing interesting animations. This shuttle translates its children back and forth along the X axis, from -1 to 1 (by default). The *distance* field can be used to change this default. The pendulum rotates its children about the Z axis, from 0 to 3.14159 radians and back again (by default). The *maxAngle* field can be used to change this default.

```
#VRML V2.0 utf8

PROTO Shuttle [
  field          SFFloat  rate          1
  field          SFFloat  distance      1
  field          MFNode   children     [ ]
  exposedField SFFloat  startTime     0
  exposedField SFFloat  stopTime      0
  field          SFFloat  loop          TRUE
] {
  DEF F Transform { children IS children }
  DEF T TimeSensor {
    cycleInterval IS rate
    startTime IS startTime
    stopTime IS stopTime
    loop IS loop
  }

  DEF S Script {
    field          SFFloat  distance IS distance
  }
}
```

```

    eventOut MFVec3f    position

    url "javascript:
        function initialize() {
            // constructor:setup interpolator,
            pos1 = new SFVec3f(-distance, 0, 0);
            pos2 = new SFVec3f(distance, 0, 0);
            position = new MFVec3f(pos1, pos2, pos1);
        }",

}

DEF I PositionInterpolator {
    key [ 0, 0.5, 1 ]
    keyValue [ -1 0 0, 1 0 0, -1 0 0 ]
}

ROUTE T.fraction_changed TO I.set_fraction
ROUTE I.value_changed TO F.set_translation
ROUTE S.position TO I.set_keyValue
}

PROTO Pendulum [
    field          SFFloat  rate          1
    field          SFFloat  maxAngle     3.14159
    field          MFNode   children     [ ]
    exposedField  SFFloat  startTime    0
    exposedField  SFFloat  stopTime     0
    field          SFBool   loop         TRUE
] {
    DEF F Transform { children IS children }
    DEF T TimeSensor {
        cycleInterval IS rate
        startTime IS startTime
        stopTime IS stopTime
        loop IS loop
    }
    DEF S Script {
        field          SFFloat  maxAngle IS maxAngle
        eventOut MFRotation rotation

        url "javascript:
            function initialize() {
                // constructor:setup interpolator,
                rot1 = new SFRotation(0, 0, 1, 0);
                rot2 = new SFRotation(0, 0, 1, maxAngle/2);
                rot3 = new SFRotation(0, 0, 1, maxAngle);
                rotation = new MFRotation(rot1, rot2, rot3,
                    rot2, rot1);
            }",

        }
    DEF I OrientationInterpolator {
        key [ 0, 0.25, 0.5, 0.75, 1 ]
        keyValue [ 0 0 1 0,
            0 0 1 1.57,
            0 0 1 3.14,
            0 0 1 1.57,
            0 0 1 0 ]
    }
}

```



```

    }

    ROUTE T.fraction_changed TO I.set_fraction
    ROUTE I.value_changed TO F.set_rotation
    ROUTE S.rotation TO I.set_keyValue
}

Transform {
  translation -3 0 0
  children Pendulum {
    rate 3
    maxAngle 6.28
    children Shape { geometry Cylinder { height 5 } }
  }
}

Transform {
  translation 3 0 0
  children Shuttle {
    rate 2
    children Shape { geometry Sphere { } }
  }
}

```

[Click here to view this example in a VRML browser.](#)

These nodes can be used to do a continuous animation when *loop* is TRUE. When *loop* is FALSE they can perform a single cycle under control of the *startTime* and *stopTime* fields. The *rate* field controls the speed of the animation. The *children* field holds the children to be animated.



## D.15 Robot

This example is a simple implementation of a robot. This robot has very simple body parts: a cube for his head, a sphere for his body and cylinders for arms (he hovers so he has no feet!). He is something of a sentry--he walks forward and walks back across a path. He does this whenever the viewer is near. This makes use of the Shuttle and Pendulum of [D.14](#).

```

#VRML V2.0 utf8

EXTERNPROTO Shuttle [
  field          SFTime  rate
  field          SFFloat distance
  field          MFNode  children
  exposedField  SFTime  startTime
  exposedField  SFTime  stopTime
  field          SFBool  loop
]
"exampleD.14.wrl#Shuttle"

EXTERNPROTO Pendulum [
  field          SFTime  rate
  field          SFFloat maxAngle
  field          MFNode  children

```

```

    exposedField SFTime  startTime
    exposedField SFTime  stopTime
    field        SFBool  loop
]
"exampleD.14.wrl#Pendulum"

Viewpoint {
  position 0 0 150
}

DEF Near ProximitySensor { size 200 200 200 }

DEF Walk Shuttle {
  stopTime 1
  rate 10
  distance 20

  children [
    # The Robot
    Transform {
      rotation 0 1 0 1.57

      children [
        Shape {
          appearance DEF A Appearance {
            material Material {
              diffuseColor 0 0.5 0.7
            }
          }
          geometry Box { } # head
        }
        Transform {
          scale 1 5 1
          translation 0 -5 0
          children Shape {
            appearance USE A
            geometry Sphere { }
          } # body
        }
        Transform {
          rotation 0 1 0 1.57
          translation 1.5 0 0

          children DEF Arm Pendulum {
            stopTime 1
            rate 1
            maxAngle 0.52 # 30 degrees

            children [
              Transform {
                translation 0 -3 0

                children Shape {
                  appearance USE A
                  geometry Cylinder {
                    height 4
                    radius 0.5

```

```

    }
  }
}

# duplicate arm on other side and flip so
# it swings in opposition
Transform {
  rotation 0 -1 0 1.57
  translation -1.5 0 0
  children USE Arm
}
}
}
}

ROUTE Near.enterTime TO Walk.startTime
ROUTE Near.enterTime TO Arm.startTime
ROUTE Near.exitTime TO Walk.stopTime
ROUTE Near.exitTime TO Arm.stopTime

```

[Click here to view this example in a VRML browser.](#)

Move closer to the robot to start the animation.



## D.16 Chopper

This example of a helicopter demonstrates how to do simple animation triggered by a TouchSensor. It uses an EXTERNPROTO to include a Rotor node from the Internet which does the actual animation.

```

#VRML V2.0 utf8

EXTERNPROTO Rotor [
  field SFTime rate
  field MFNode children
  exposedField SFTime startTime
  exposedField SFTime stopTime
]
"rotor.wrl"

PROTO Chopper [
  field SFTime rotorSpeed 1
] {
  Group {
    children [
      DEF Touch TouchSensor { } # Gotta get touch events
      Inline { url "chopperbody.wrl" }
      DEF Top Rotor {
        # initially, the rotor should not spin
        stopTime 1
      }
    ]
  }
}

```

```

        rate IS rotorSpeed
        children Inline { url "chopperrotor.wrl" }
    }
]
}

DEF RotorScript Script {
    eventIn  SFTime startOrStopEngine
    eventOut SFTime startEngine
    eventOut SFTime stopEngine
    field    SFBool engineStarted FALSE

    url "javascript:
        function startOrStopEngine(value) {
            // start or stop engine:
            if (!engineStarted) {
                startEngine = value;
                engineStarted = TRUE;
            }
            else {
                stopEngine = value;
                engineStarted = FALSE;
            }
        }"
}

ROUTE Touch.touchTime TO RotorScript.startOrStopEngine
ROUTE RotorScript.startEngine TO Top.startTime
ROUTE RotorScript.stopEngine TO Top.stopTime
}

Viewpoint { position 0 0 5 }
DEF MyScene Group {
    children DEF MikesChopper Chopper { }
}

```

[Click here to view this example in a VRML browser.](#)



## D.17 Guided tour

VRML provides control of the viewer's camera through use of a script. This is useful for things such as guided tours, merry-go-round rides, and transportation devices such as buses and elevators. These next two examples show a couple of ways to use this feature.

This example is a simple guided tour through the world. Upon entry, a guide orb hovers in front of the viewer. Click on this and a tour through the world begins. The orb follows the user around on his tour. A ProximitySensor ensures that the tour is started only if the user is close to the initial starting point. Note that this is done without scripts thanks to the *touchTime* output of the TouchSensor.

```
#VRML V2.0 utf8
```

```
Group {
  children [
    Transform {
      translation 0 -1 0

      children Shape {
        appearance Appearance {
          material Material { }
        }
        geometry Box { size 30 0.2 30 }
      }
    }
    Transform {
      translation -1 0 0

      children Shape {
        appearance Appearance {
          material Material {
            diffuseColor 0.5 0.8 0
          }
        }
        geometry Cone { }
      }
    }
    Transform {
      translation 1 0 0

      children Shape {
        appearance Appearance {
          material Material {
            diffuseColor 0 0.2 0.7
          }
        }
        geometry Cylinder { }
      }
    }
  ]
}

DEF GuideTransform Transform {
  children [
    DEF TourGuide Viewpoint { jump FALSE },
    DEF ProxSensor ProximitySensor { size 50 50 50 }
    DEF StartTour TouchSensor { },
    Transform {
      translation 0.6 0.4 8

      children Shape {
        appearance Appearance {
          material Material {
            diffuseColor 1 0.6 0
          }
        }
        geometry Sphere { radius 0.2 }
      } # the guide orb
    }
  ]
}

1
```

```

    }
  ]
}

DEF GuidePI PositionInterpolator {
  key [ 0, 0.2, 0.3, 0.5, 0.6, 0.8, 0.9, 1 ]
  keyValue [ 0 0 0, 0 0 -5,
            2 0 -5, 2 6 -15
            -4 6 -15, -4 0 -5,
            0 0 -5, 0 0 0
  ]
}

DEF GuideRI OrientationInterpolator {
  key [ 0, 0.2, 0.3, 0.5, 0.6, 0.8, 0.9, 1 ]
  keyValue [ 0 1 0 0, 0 1 0 0,
            0 1 0 1.2, 0 1 0 3,
            0 1 0 3.5, 0 1 0 5,
            0 1 0 0, 0 1 0 0,
  ]
}

DEF TS TimeSensor { cycleInterval 30 } # 60 second tour

ROUTE ProxSensor.isActive TO StartTour.set_enabled
ROUTE StartTour.touchTime TO TS.startTime
ROUTE TS.isActive TO TourGuide.set_bind
ROUTE TS.fraction_changed TO GuidePI.set_fraction
ROUTE TS.fraction_changed TO GuideRI.set_fraction
ROUTE GuidePI.value_changed TO GuideTransform.set_translation
ROUTE GuideRI.value_changed TO GuideTransform.set_rotation

```

[Click here to view this example in a VRML browser.](#)



## D.18 Elevator

This is another example of animating the camera by depicting an elevator to ease access to a multi-storey building. For this example, a 2 storey building is shown and it is assumed that the elevator is already at the ground floor. To go up, the user just steps onto the elevator platform. A ProximitySensor fires and starts the elevator up automatically. Additional features such as call buttons for outside the elevator, elevator doors, and floor selector buttons could be added to make the elevator easier to use.

```
#VRML V2.0 utf8
```

```

Transform {
  translation 0 0 -3.5

  children Shape {
    appearance Appearance {
      material Material {
        diffuseColor 0 1 0
      }
    }
  }
}

```

```

    }
    geometry Cone { }
  }
}

Transform {
  translation 0 4 -3.5

  children Shape {
    appearance Appearance {
      material Material {
        diffuseColor 1 0 0
      }
    }
    geometry Cone { }
  }
}

Transform {
  translation 0 8 -3.5

  children Shape {
    appearance Appearance {
      material Material {
        diffuseColor 0 0 1
      }
    }
    geometry Cone { }
  }
}

Group {
  children [
    DEF ETransform Transform {
      children [
        DEF EViewpoint Viewpoint { jump FALSE }
        DEF EProximity ProximitySensor { size 2 5 5 }
        Transform {
          translation 0 -1 0

          children Shape {
            appearance Appearance {
              material Material { }
            }
            geometry Box { size 2 0.2 5 }
          }
        }
      ]
    }
  ]
}

DEF ElevatorPI PositionInterpolator {
  key [ 0, 1 ]
  keyValue [ 0 0 0, 0 8 0 ] # a floor is 4 meters high
}

DEF TS TimeSensor { cycleInterval 10 } # 10 second travel time

```

```
ROUTE EProximity.enterTime TO TS.startTime
ROUTE TS.isActive TO EViewpoint.set_bind
ROUTE TS.fraction_changed TO ElevatorPI.set_fraction
ROUTE ElevatorPI.value_changed TO ETransform.set_translation
```

[Click here to view this example in a VRML browser.](#)



## D.19

This example illustrates the execution model example described in [4.10.3, Execution model](#).

```
#VRML V2.0 utf8
DEF TS TouchSensor { }
DEF Script1 Script {
  eventIn STime touchTime
  eventOut SFBool toScript2
  eventOut SFBool toScript3
  eventOut SFString string
  url "javascript:
    function touchTime() {
      toScript2 = TRUE;
    }
    function eventsProcessed() {
      string = 'Script1.eventsProcessed';
      toScript3 = TRUE;
    }"
}
DEF Script2 Script {
  eventIn SFBool fromScript1
  eventOut SFBool toScript4
  eventOut SFString string
  url "javascript:
    function fromScript1() {
    }
    function eventsProcessed() {
      string = 'Script2.eventsProcessed';
      toScript4 = TRUE;
    }"
}
DEF Script3 Script {
  eventIn SFBool fromScript1
  eventOut SFBool toScript5
  eventOut SFBool toScript6
  eventOut SFString string
  url "javascript:
    function fromScript1() {
      toScript5 = TRUE;
    }
    function eventsProcessed() {
      string = 'Script3.eventsProcessed';
      toScript6 = TRUE;
    }"
}
```



```

DEF Script4 Script {
  eventIn SFBool fromScript2
  url "javascript:
    function fromScript2() {
    }"
}
DEF Script5 Script {
  eventIn SFBool fromScript3
  url "javascript:
    function fromScript3() {
    }"
}
DEF Script6 Script {
  eventIn SFBool fromScript3
  eventOut SFBool toScript7
  eventOut SFString string
  url "javascript:
    function fromScript3() {
      toScript7 = TRUE;
    }
    function eventsProcessed() {
      string = 'Script6.eventsProcessed';
    }"
}
DEF Script7 Script {
  eventIn SFBool fromScript6
  url "javascript:
    function fromScript6() {
    }"
}
ROUTE TS.touchTime TO Script1.touchTime
ROUTE Script1.toScript2 TO Script2.fromScript1
ROUTE Script1.toScript3 TO Script3.fromScript1
ROUTE Script2.toScript4 TO Script4.fromScript2
ROUTE Script3.toScript5 TO Script5.fromScript3
ROUTE Script3.toScript6 TO Script6.fromScript3
ROUTE Script6.toScript7 TO Script7.fromScript6

# Display the results
DEF Collector Script {
  eventOut MFString string
  eventIn SFString fromString
  url "javascript:
    function initialize() { string[0] = 'Event Sequence: '; }
    function fromString(s) {
      i = string.length;
      string[i] = '    '+i+') '+s+' occurred';
    }"
}
Transform {
  translation 0 2 0
  children Shape {
    appearance Appearance {
      material Material { diffuseColor 0 0.6 0 }
    }
    geometry Sphere { }
  }
}

```

```
}  
Shape { geometry DEF Result Text { } }  
Viewpoint { position 7 -1 18 }  
ROUTE Script1.string TO Collector.fromString  
ROUTE Script2.string TO Collector.fromString  
ROUTE Script3.string TO Collector.fromString  
ROUTE Script6.string TO Collector.fromString  
ROUTE Collector.string TO Result.string
```

[Click here to view this example in a VRML browser.](#)

Clicking on the green sphere should display a text string for each eventsProcessed event. The two possible correct displays for this example are:

Event Sequence:

- 1) Script1.eventsProcessed occurred
- 2) Script2.eventsProcessed occurred
- 3) Script3.eventsProcessed occurred
- 4) Script6.eventsProcessed occurred

or

Event Sequence:

- 1) Script2.eventsProcessed occurred
- 2) Script1.eventsProcessed occurred
- 3) Script3.eventsProcessed occurred
- 4) Script6.eventsProcessed occurred



# Annex E

## (informative)

# Bibliography

This annex contains the informative references in this part of ISO/IEC 14772. These are references to unofficial standards or documents. All official standards are referenced in [2, Normative references](#).

Identifier	Reference
<b>DATA</b>	"The Data: URL scheme," IETF Internet Draft working document. <a href="http://ds.internic.net/internet-drafts/draft-masinter-url-data-03.txt">http://ds.internic.net/internet-drafts/draft-masinter-url-data-03.txt</a>
<b>FOLE</b>	Foley, van Dam, Feiner and Hughes, <i>Computer Graphics Principles and Practice</i> , 2nd Edition, Addison Wesley, Reading, MA, 1990. <a href="http://www.awl.com">http://www.awl.com</a>
<b>GIF</b>	"GIF™ - Graphics Interchange Format™" - A standard defining a mechanism for the storage and transmission of raster-based graphics information, Version 89a, CompuServe. <a href="http://www.w3.org/pub/WWW/Graphics/GIF/spec-gif89a.txt">http://www.w3.org/pub/WWW/Graphics/GIF/spec-gif89a.txt</a>
<b>JAPI</b>	"The Java™ Application Programming Interface, Volume 1 Core Packages" by James Gosling, Frank Yellin and The Java Team, Addison Wesley, Reading Massachusetts, 1996, ISBN 0-201-63453-8. <a href="http://java.sun.com/docs/books/apis/index.html">http://java.sun.com/docs/books/apis/index.html</a> "The Java™ Application Programming Interface, Volume 2 Window Toolkit and Applets" by James Gosling, Frank Yellin and The Java Team, Addison Wesley, Reading Massachusetts, 1996, ISBN 0-201-63459-7. <a href="http://java.sun.com/docs/books/apis/index.html">http://java.sun.com/docs/books/apis/index.html</a>
<b>MIME</b>	"The Model Primary Content Type for Multipurpose Internet Mail Extensions," IETF Internet standards track protocol. <a href="http://ds.internic.net/rfc/rfc2077.txt">http://ds.internic.net/rfc/rfc2077.txt</a>
<b>OPEN</b>	"The OpenGL Graphics System: A Specification (Version 1.1)," Silicon Graphics, Inc., 1995. <a href="http://www.sgi.com/Technology/OpenGL/glspec1.1/glspec.html">http://www.sgi.com/Technology/OpenGL/glspec1.1/glspec.html</a>
<b>PERL</b>	"Programming Perl" by Larry Wall, Tom Christiansen and Randal L. Schwartz, O'Reilly & Associates, Sebastapol, CA, 1996. <a href="http://www.oreilly.com/">http://www.oreilly.com/</a>
<b>SNDA</b>	"Fundamentals of Computer Music", Dodge & Jerse, Shirmer Books, New York, 1985, pp 20-21.
<b>SNDB</b>	Spatial Audio Work in the Multimedia Computing Group, Graphics, Visualization, and Usability Center, Georgia Institute of Technology, Atlanta, GA. <a href="http://www.cc.gatech.edu/gvu/multimedia/spatsound/spatsound.html">http://www.cc.gatech.edu/gvu/multimedia/spatsound/spatsound.html</a>

<b>URN</b>	"Universal Resource Name," IETF Internet standards track protocol. <a href="http://ds.internic.net/rfc/rfc2141.txt">http://ds.internic.net/rfc/rfc2141.txt</a> , <a href="http://services.bunyip.com:8000/research/ietf/urn-ietf/">http://services.bunyip.com:8000/research/ietf/urn-ietf/</a>
<b>WAV</b>	"Waveform Audio File Format, Multimedia Programming Interface and Data Specification v1.0", Issued by IBM & Microsoft, 1991. <a href="ftp://ftp.cwi.nl/pub/audio/RIFF-format">ftp://ftp.cwi.nl/pub/audio/RIFF-format</a> , <a href="http://keck.ucsf.edu/~jwright/RIFF-format.html">http://keck.ucsf.edu/~jwright/RIFF-format.html</a> , <a href="http://www.seanet.com/HTML/Users/matts/riffmci/riffmci.htm">http://www.seanet.com/HTML/Users/matts/riffmci/riffmci.htm</a>



# Annex F

## (informative)

# Recommendations for non-normative extensions



## F.1 Introduction

This annex describes recommended practice for non-normative extensions to ISO/IEC 14772.

F.1 [Introduction](#)

F.2 [URNs](#)

F.3 [Browser extensions](#)



## F.2 URNs

URNs are location-independent pointers to a file or to different representations of the same content. In most ways, URNs can be used like URLs except that, when fetched, a smart browser should fetch them from the closest source. URN resolution over the Internet has not yet been standardized. However, URNs may be used now as persistent unique identifiers for referenced entities such as files, EXTERNPROTOs, and textures. General information on URNs is available at [E.\[URN\]](#).

URNs may be assigned by anyone with a domain name. For example, if the company Foo owns foo.com, it may allocate URNs that begin with "urn:inet:foo.com:". An example of such usage is

```
"urn:inet:foo.com:texture:wood001".
```

See the draft specification referenced in [E.\[URN\]](#) for a description of the legal URN syntax.

To reference a texture, EXTERNPROTO, or other file by a URN, the URN is included in the *url* field of another node. For example:

```
ImageTexture {
  url [ "http://www.foo.com/textures/woodblock_floor.gif",
        "urn:inet:foo.com:textures:wood001" ]
}
```

specifies a URL file as the first choice and a URN as the second choice.



## F.3 Browser extensions

Browsers that wish to add functionality beyond the capabilities of ISO/IEC 14772 can do so by creating [prototypes](#) or [external prototypes](#). If the new node cannot be expressed using the prototyping mechanism (i.e., it cannot be expressed in the form of a VRML scene graph), it can be defined as an external prototype with a unique URN specification. Authors who use the extended functionality may provide multiple, alternative URLs or URNs to represent content to ensure it is viewable on all browsers.

For example, suppose a browser wants to create a native Torus geometry node implementation:

```
EXTERNPROTO Torus [ field SFFloat bigR, field SFFloat smallR ]
  ["urn:inet:browser.com:library:Torus",
   "http://.../proto_torus.wrl" ]
```

This browser will recognize the URN and use the URN resource's own private implementation of the Torus node. Other browsers may not recognize the URN, and skip to the next entry in the URL list and search for the specified prototype file. If no URLs are found, the Torus is assumed to be an empty node.

The prototype name "Torus" in the above example has no meaning whatsoever. The URN/URL uniquely and precisely defines the name/location of the node implementation. The prototype name is strictly a convention chosen by the author and shall not be interpreted in any semantic manner. The following example uses both "Ring" and "Donut" to name the torus node. However, the URN/URL pair "urn:browser.com:library:Torus, http://.../proto\_torus.wrl" specifies the actual definitions of the Torus node:

```
#VRML V2.0 utf8
EXTERNPROTO Ring [field SFFloat bigR, field SFFloat smallR ]
  ["urn:browser.com:library:Torus", "http://.../proto_torus.wrl" ]
EXTERNPROTO Donut [field SFFloat bigR, field SFFloat smallR ]
  ["urn:browser.com:library:Torus", "http://.../proto_torus.wrl" ]

Transform { ... children Shape { geometry Ring { } } }
Transform { ... children Shape { geometry Donut { } } }
```





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## DeckScape: an experimental Web browser

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### Abstract

This paper describes DeckScape, an experimental World-Wide Web browser based on a “deck” metaphor. A deck consists of a collection of Web pages, and multiple decks may exist on the screen at once. As the user traverses links, new pages appear on top of the current deck. Retrievals are done using a background thread, so all visible pages in any deck are active at all times. Users can move and copy pages between decks, and decks can be used as a general-purpose way to organize material, such as hotlists, query results, and breadth-first expansions.

*Keywords:* WWW; Web; World-Wide Web; Browsers; Internet; Mosaic; Netscape

---

### 1. Overview

Mosaic [1] and the various Web browsers it has inspired [5,6] use a depth-first navigational model. At any point in time, the user is “at” a particular node on the Web, having arrived there by following a path of nodes from some root. The user may choose to leave the current node either by following an outgoing link or by going back to the previous node in the path from the root. After going back, the user may also choose to go forward to the next node on the most recent path from the root.

Most Mosaic-inspired browsers support other navigation methods in addition to these primitives; for instance, the user may jump to different URLs using the “Hotlist” and “Open URL” dialogs. Most browsers also offer multiple open windows, each with its own depth-first visitation stack. However,

with the exception of Netscape [4] and Internet-Works [3], the browsers are single-threaded, so while one window is downloading a page, all of the windows owned by the browser become inactive.

We have developed DeckScape, an experimental browser for exploring new methods of navigating and organizing pages on the Web. DeckScape centers on the metaphor of a *deck*: a collection of Web pages, of which only one is visible at a time. When the user clicks a link on a page, a new Web page appears on top of the deck, obscuring the page that was previously visible. The user may leaf through a deck’s pages one at a time, jump to the top or bottom of a deck, or move to any particular page by choosing its name from a list of the deck’s current contents. The browser itself consists of multiple decks, all in a single top-level window. Users may move, resize or iconify decks, move or copy pages between

<sup>1</sup> mhb@src.dec.com; [http://www.research.digital.com/SRC/people/Marc\\_Brown/bio.html](http://www.research.digital.com/SRC/people/Marc_Brown/bio.html)

<sup>2</sup> ras@cs.princeton.edu; <http://www.cs.princeton.edu/ras/>

decks, start new decks, delete decks or pages, and so on. The contents of decks persist between invocations of DeckScape.

The key benefit of the deck abstraction is that it provides a way to organize material. For example, a user may keep the home pages of all of his or her colleagues together in a deck named “Colleagues”, or keep several Mosaic-style hotlists, each in its own deck. DeckScape further uses decks to return the results of certain operations, such as “expand all the links on this page”.

DeckScape is also multi-threaded. In particular, fetching a new page occurs in the background, in a separate thread. Thus, unlike single-threaded browsers, traversing a slow link or downloading a large file does not freeze the entire application. All decks remain active and ready for browsing, and multiple links may be traversed concurrently.

(Of course, one could start up multiple instances of a single-threaded browser. This would have the advantage that, when traversing a slow link or downloading a large file, the other instances would remain active. However, multiple instances have the drawback of increasing the amount of computer resources consumed. Also, multiple instances are completely independent of each other, so there is no possibility to share the information among instances.)

## 2. A tour of DeckScape

### 2.1. Deck basics

When DeckScape is first run, the user sees a window containing a menu bar and a large open area. This window forms the *workspace* in which the user positions decks and pages.

Choosing “New Deck” from the File menu produces a new deck with a default name, containing a single document: the user’s home page. Clicking links adds more pages to the deck. Buttons let the user shuffle through the deck’s contents or go to the top or bottom of the deck. The user may create more decks and use them to follow different links. This behavior is similar to that of a traditional browser: creating windows, clicking links and moving forward and back.

In Fig. 1 we see DeckScape with a single deck.

The deck, named “WWW’95”, has six pages in it. The user is looking at the second page in the deck, whose URL is <http://www.igd.fhg.de/www/www95/program.html><sup>3</sup>:

DeckScape retains all pages until the user explicitly discards them, while a traditional browser retains only those pages on the path from the root to the current page. For example, if a user starts at page A, then traverses some pages (including B) and ends at C, both DeckScape and a conventional browser keep copies of all the pages from A to C. However, if the user then backs up to B and chooses a new link, a traditional browser discards all of the pages after B up to and including C. On the other hand, DeckScape keeps all of those pages and inserts the new page into the deck just after B.

This design allows users to quickly switch back and forth between two or more pages which do not lie on one convenient path from the root, but rather lie on different branches of a tree. Traditional browsers would have to download and parse each page on each traversal, while DeckScape allows the user to flip quickly through the deck’s contents without refetching any pages. (DeckScape has a “Reload” command to refetch a page, rather than use the page from its internal cache.)

Once a deck has been built up, a user can modify the contents of a deck in several ways. Clicking a document’s “D” button deletes the document from its deck. Dragging a document from one deck to another moves the document between decks. Holding down an appropriate modifier key while dragging copies the document. Clicking a deck’s “Merge” button and dragging to another deck merges two decks by adding all of the pages from the first deck into the second one, immediately after the second deck’s current page.

### 2.2. “Away” pages

DeckScape also offers the ability to temporarily remove a page from its home deck. To pull a page away from a deck, the user drags the page into the workspace background; the page then appears in a window separate from its home deck. The page is

<sup>3</sup> <http://www.igd.fhg.de/www/www95/program.html>





“away” page in rapid succession, causing many new documents to appear on the deck. Since DeckScape is multi-threaded, the user need not wait for one download to complete before clicking another link. The user can browse through the resulting new documents immediately, even before all of the downloads have completed. No traditional browser can support this type of Web exploration because in a traditional browser clicking a link always makes a new document cover up the page containing the link.

Browsing in this manner is particularly effective when combined with a deck’s “Make Link Index” command. This command finds all of the links on each page in a deck, then adds to the deck a new page containing all of the links in alphabetical order. The new page provides an index of all the links accessible from any page in the deck. The user may

then drag the index page away from the deck and click a series of links, browsing through the resulting documents as they appear on the main body of the deck.

Another use for “away” pages is for creating new decks. If a user wants to start a new deck from a particular page, he or she can drag the page away from its home deck, then issue the page’s “Make New Deck” command. A new deck appears, containing the page.

### 2.3. Organizing information with decks

Decks can be used to organize information found in the Web. Since decks’ contents are automatically saved and restored when the DeckScape application exits and restarts, users can use decks to help find

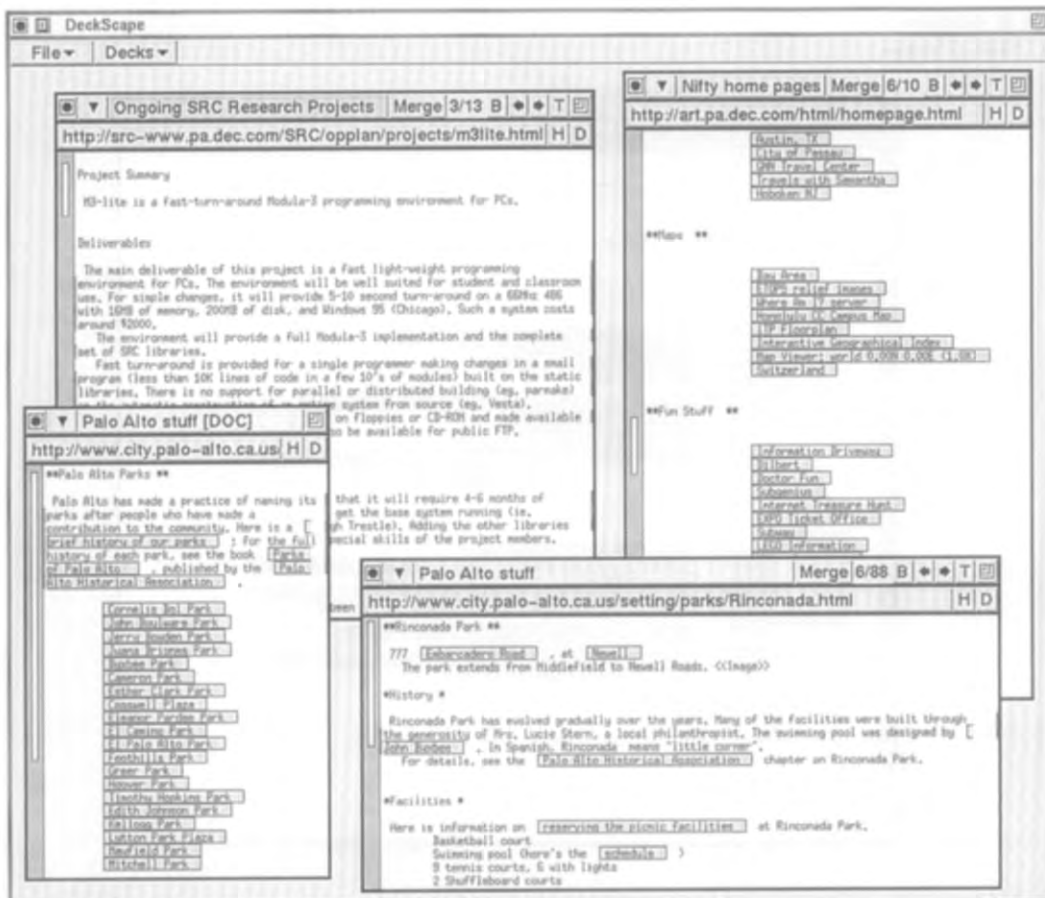


Fig. 2. “Away” page.

pages that they have visited before. For instance, if a user frequently visits a particular Web server, he or she can set up a deck to contain pages from that site, and use the deck to access the site, rather than follow a series of links from a home page.

Another use of decks is to organize hotlists. DeckScape has a special hotlist deck, and each document has a "copy to hotlist" button. When a user comes across an interesting page, he or she can click the "H" button to copy the page into the hotlist deck. Users can also use ordinary decks as hotlists by manually copying interesting documents into them; thus, each user may have many hotlists, organized by whatever criteria are appropriate.

In Fig.3, the hotlist deck is in the lower center. The hotlist is like any other deck, except that it

cannot be renamed or deleted by the user. The "Goto Page.." dialog allows the user to select and jump to any document in a deck, either by its title or by its index.

#### 2.4. Acquiring information with decks

DeckScape also uses decks to return the results of certain operations. For example, issuing a page's "Expand One Level" command causes DeckScape to traverse each link on the page and place the resulting documents in a new deck. The link traversals all occur in the background, so the user retains control of the application and may even begin browsing pages and traversing links in the new deck before all of the pages have been fetched.

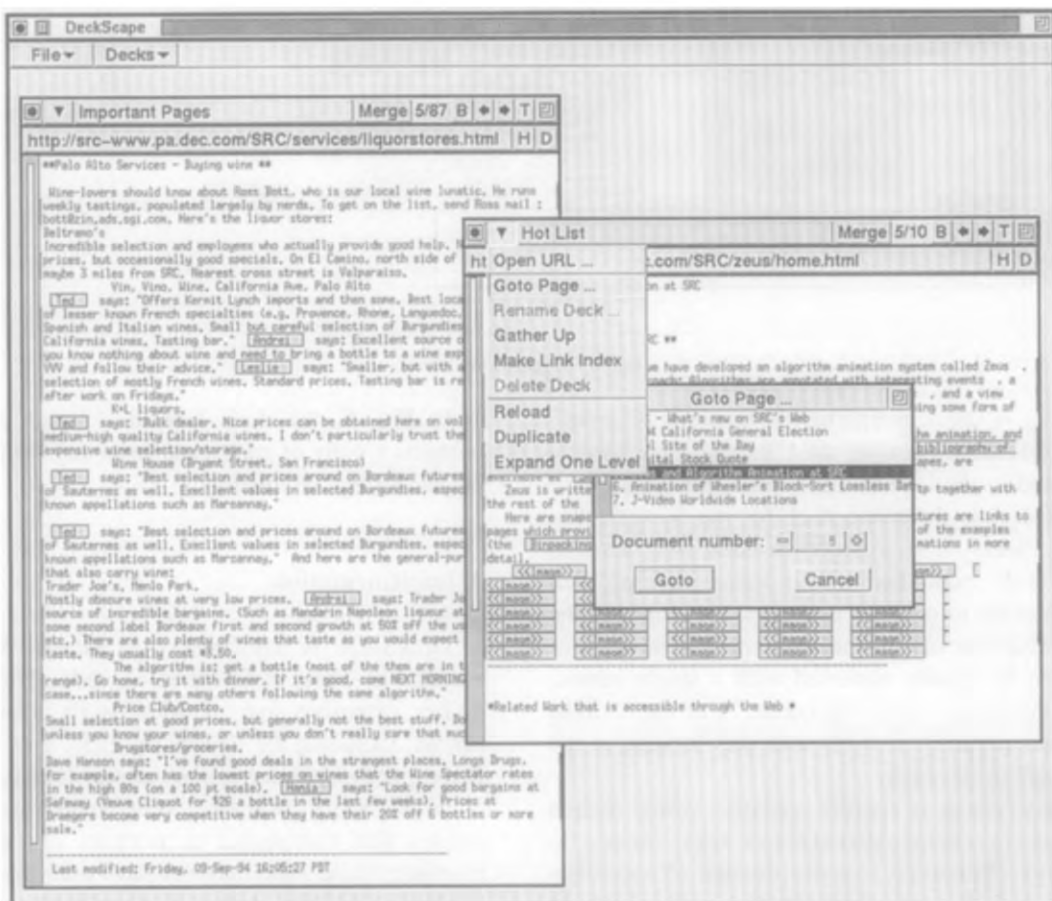


Fig. 3. Hotlist.

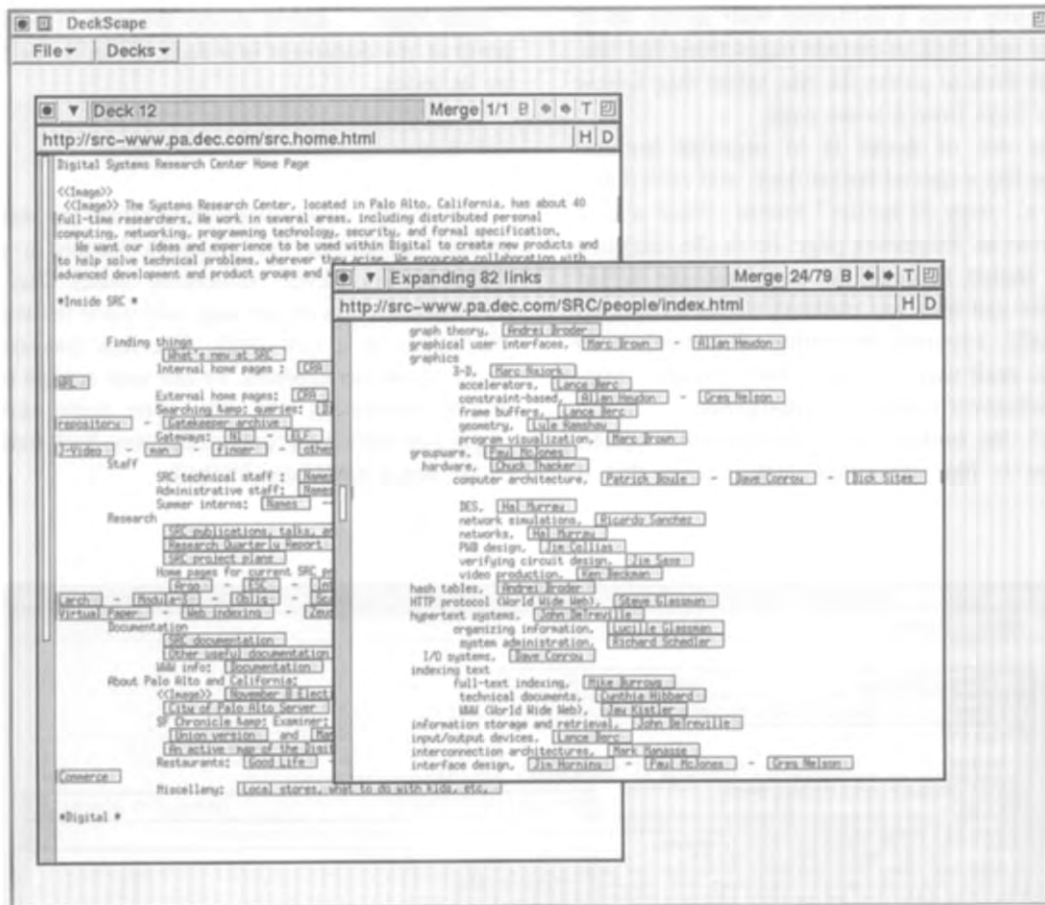


Fig. 4. "Expand One Level".

In Fig. 4, the deck entitled "Expanding 82 links" is the created by issuing the "Expand One Level" command in the "Deck 12" deck. This page in the "Deck 12" is the home page for the Systems Research Center. It is common to issue the "Expand One Level" command on the home page of Web sites in order to create a new deck that contains the pages most relevant to the home page. These pages can then be rapidly traversed with a single button. When the screen dump was taken, 79 of the 82 links had been retrieved, and the user was looking at the 24th page in the deck.

Global search is another operation which returns its results in a deck. After the user enters the text to be found, DeckScape searches through all the pages in each deck. It copies the pages that contain hits and makes a new deck containing the copied pages.

In Fig. 5, the deck labeled "Search Results" contains a copy of each page from any deck matching the string "animation".

### 3. Implementation

DeckScape is implemented in Modula-3 [2] and consists of about 3500 lines of code. The system makes extensive use of Modula-3's standard libraries, including the threads package, user interface toolkit, and persistent data structures facility.

DeckScape's primary components are object classes that correspond to portions of the visual interface; these visual classes have names ending in "VBT". The VBT classes, along with other non-visual classes, constitute a hierarchy of abstractions

which make it possible to easily integrate the browser's functionality into other Modula-3 applications.

The remainder of this section describes the modules comprising the implementation.

- A **WorkspaceVBT** is the main application window; only one is ever created and it is installed in a top-level window in the user's desktop. A **WorkspaceVBT** provides the global menu bar and the space where the user positions decks and documents. The **WorkspaceVBT** maintains lists of all of the decks and "away" documents.
- A **WSObjectVBT** is an abstract class whose subtypes are objects that may appear in the workspace, namely decks and "away" documents. No objects of type **WSObjectVBT** are ever created; **WSObjectVBT** exists so that opera-

tions that are common to both decks and "away" documents (such as iconify, raise and lower) can be defined.

- A **DeckVBT** (a subclass of **WSObject**) is a deck: it contains the deck's title bar, browsing controls, sizing, iconifying and dragging widgets, and a menu of miscellaneous commands, as well as space for displaying a document.
- An **AwayVBT** (a subclass of **WSObject**) represents a document away from its deck: it contains a title bar for repositioning the "away" document, sizing, iconifying and dragging widgets, and a menu of miscellaneous commands, as well as a space for displaying a document.
- A **DocVBT** displays Web page. It contains the buttons to delete itself or copy itself to the hotlist, as well as the draggable banner used to move the

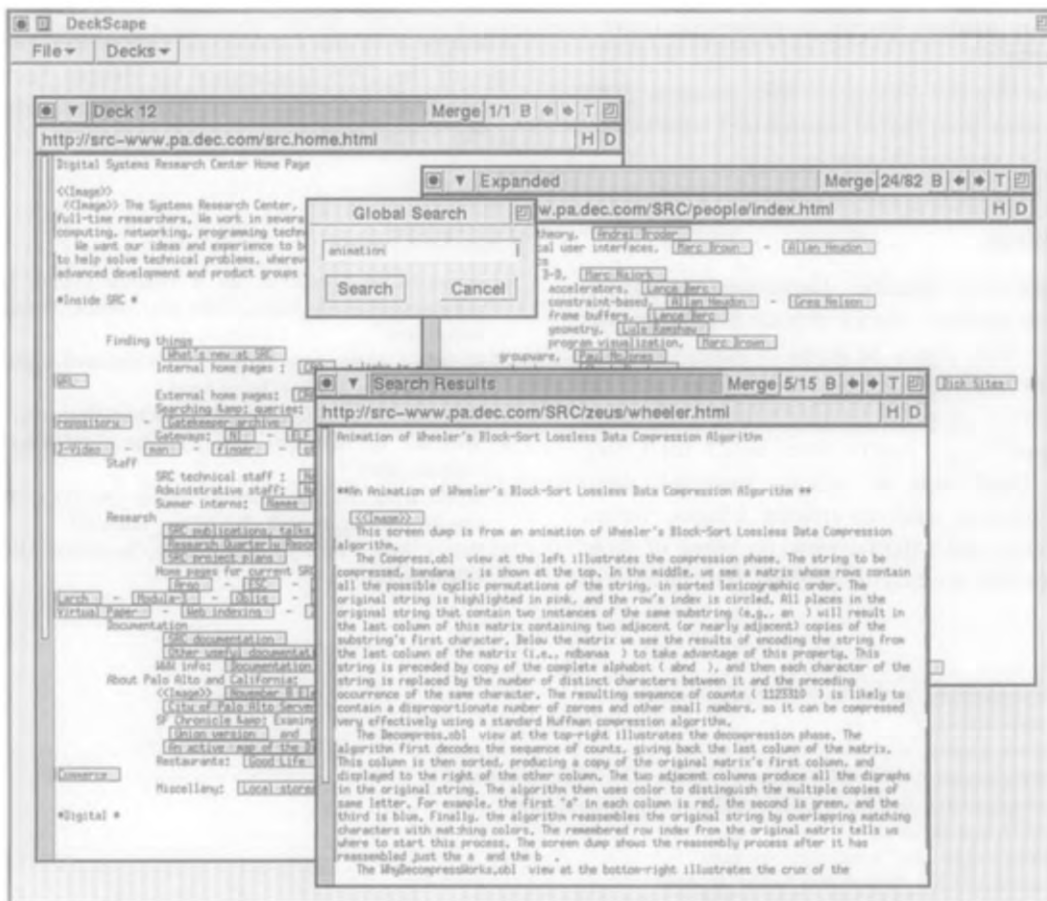


Fig. 5. Global search.

document between decks or “away” from its home deck. The `DocVBT` also contains space for the document’s contents, displayed by a `URLVBT`.

- A `URLVBT` is an abstract class for displaying data fetched from a URL. At present, only two subtypes are defined: one to display plain text (called a `PlainVBT`) and one to display HTML (called an `HTMLVBT`).
- A `PlainVBT` displays a plain text document.
- An `HTMLVBT` displays the contents of an HTML page. It allows the user to scroll through the page and traverse links by clicking. `HTMLVBT` is still in the very early prototype stage; it does not support multiple fonts, sizes and styles, nor does it support inline images and forms.
- An `HTML` object is an abstract syntax tree for an HTML document. `HTML` objects are produced by the `Parser` module and used by `HTMLVBT` objects.
- The `Web` module fetches a document from a given URL.
- The `Parser` and `Lexer` modules produce `HTML` syntax trees from HTML source text retrieved by `Web`.

#### 4. Conclusions

This paper has described DeckScape, an experimental Web browser. Decks provide a flexible way to organize Web pages, in many of the same ways that modern folder-based mail readers (e.g., `xmh` for Unix) improve on previous generation tty-oriented mail programs (e.g., `/usr/ucb/mail` for Unix). However, DeckScape is lacking essential Web-browsing features such as inlined images, forms, multiple fonts, and external viewers. Some of these deficiencies will be easy to address, but others will

take quite a bit of effort. Because of these deficiencies, DeckScape is not in daily use, even for the authors.

We do not claim that DeckScape is *the* correct way to browse the Web and organize pages in the Web. Discovering and quantifying the strengths and weaknesses of decks are challenges for the future. We hope that the ideas introduced in this paper will help to advance the standard for navigational and organizational capabilities of Web browsers.

#### Acknowledgements

Bill Wehl, Paul McJones, and Lucille Glassman helped improve the clarity of this paper. Lucille also implemented the `Web` module. Steve Feiner provided a wealth of ideas for improving the system, most of which are still on our “todo” list unfortunately.

A two-page summary of the DeckScape system will appear in the Conference Companion proceedings of the 1995 Conference on Human Factors in Computing Systems (**CHI’95**)<sup>4</sup>, sponsored by **ACM**<sup>5</sup>/**SIGCHI**<sup>6</sup>.

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<sup>12</sup> <http://info.cern.ch/hypertext/WWW/Clients.html>

1	<p>1 UNITED STATES PATENT AND TRADEMARK OFFICE</p> <p>2 _____</p> <p>3 BEFORE THE PATENT TRIAL AND APPEAL BOARD</p> <p>4 _____</p> <p>5 MICROSOFT CORPORATION,</p> <p>6 Petitioner,</p> <p>7 v.</p> <p>8 BRADIUM TECHNOLOGIES, LLC,</p> <p>9 Patent Owner</p> <p>10 _____</p> <p>11 Case IPR 2016-00448 (Patent 7,908,343 B2)</p> <p>12 Case IPR 2016-00449 (Patent 8,924,506 B2)</p> <p>13 _____</p> <p>14 Before BRYAN F. MOORE, BRIAN J. MCNAMARA, and MINN CHUNG,</p> <p>15 Administrative Patent Judges</p> <p>16 _____</p> <p>17 The above-entitled matter came on for hearing on</p> <p>18 Tuesday, April 18, 2017, commencing at 1:32 p.m., at the</p> <p>19 U.S. Patent and Trademark Office, 600 Dulany Street,</p> <p>20 Alexandria, Virginia 22314.</p> <p>21 Job No.: 140053</p> <p>22 Pages: 1 - 89</p> <p>Reported By: Christina S. Hotsko, RPR</p>	3
2	<p>1 A P P E A R A N C E S</p> <p>2 ON BEHALF OF PETITIONER:</p> <p>3 MATTHEW C. BERNSTEIN, ESQUIRE</p> <p>4 EVAN S. DAY, ESQUIRE</p> <p>5 11988 El Camino Real, Suite 350</p> <p>6 San Diego, California 92130-2594</p> <p>7 (858) 720-5721</p> <p>8</p> <p>9 CHUN M. NG, ESQUIRE</p> <p>10 Perkins Coie</p> <p>11 1201 Third Avenue, Suite 4900</p> <p>12 Seattle, Washington 98101-3099</p> <p>13 (206) 359-6488</p> <p>14</p> <p>15 ON BEHALF OF PATENT OWNER:</p> <p>16 CHRIS J. COULSON, ESQUIRE</p> <p>17 IAN MOORE, ESQUIRE</p> <p>18 Andrews Kurth Kenyon, LLP</p> <p>19 One Broadway</p> <p>20 New York, New York 10004-1007</p> <p>21 (212) 908-6409</p> <p>22</p>	4
1	<p>1 P R O C E E D I N G S</p> <p>2 JUDGE MCNAMARA: Good afternoon everyone. I am</p> <p>3 Judge McNamara. With me is Judge Moore. And Judge Chung</p> <p>4 is participating remotely, so I would urge everyone today</p> <p>5 to make sure they speak into the microphones from the</p> <p>6 podiums so that Judge Chung can hear you. And the other</p> <p>7 thing is refer to any slides or demonstratives or anything</p> <p>8 you may be using today so that he can access them because</p> <p>9 he may not be able to see them otherwise.</p> <p>10 To begin, this is the oral hearing in --</p> <p>11 consolidated hearing, actually, for case</p> <p>12 IPR 2016-00448-00449. Microsoft Corporation versus Bradium</p> <p>13 Technologies.</p> <p>14 Each side today will have 60 minutes of total</p> <p>15 argument time. And let me do a couple of housekeeping</p> <p>16 things at the beginning here.</p> <p>17 First, the petitioner will present its case in</p> <p>18 chief on the grounds in which we institute it, and then it</p> <p>19 will argue its motion to exclude. The patent owner will</p> <p>20 then oppose or argue -- make its arguments in opposition to</p> <p>21 petitioner's case and make arguments in support of its</p> <p>22 motion to exclude.</p>	3
2	<p>1 The petitioner may then rebut the patent owner's</p> <p>2 opposition and oppose the patent owner's motion to exclude,</p> <p>3 so reserve some time for that. And the patent owner then</p> <p>4 may, if he chooses to reserve some time, rebut the</p> <p>5 petitioner's opposition to the motion to exclude. That's</p> <p>6 all you get to do on that last part.</p> <p>7 Today's hearing is public. And we are aware of</p> <p>8 the e-mail that we received from the parties earlier this</p> <p>9 week concerning the potential for some confidential subject</p> <p>10 matter. We'd like to avoid a lot of popping up and down</p> <p>11 and having to, you know, switch between a confidential</p> <p>12 proceeding and a public proceeding.</p> <p>13 So the way we're going to do that is I'm going</p> <p>14 to urge you all to stay on the -- to make everything -- to</p> <p>15 not use any confidential subject matter. But if it's</p> <p>16 absolutely unavoidable, then you need to reserve time for</p> <p>17 it, and we will have a confidential session at the end.</p> <p>18 And we will -- if we do that, we will hear from</p> <p>19 the petitioner and from the patent owner once, in that</p> <p>20 order. And so we will only hear from you once on</p> <p>21 confidential subject matter, and you'll have whatever time</p> <p>22 you have left out of the 60 minutes.</p>	4

<p style="text-align: right;">5</p> <p>1 Is everybody clear about what we're going to do?</p> <p>2 MR. BERNSTEIN: Yes, Your Honor.</p> <p>3 JUDGE MCNAMARA: Okay. Great. Thanks, so much.</p> <p>4 Could I have the -- beginning with the</p> <p>5 petitioner, could you introduce yourselves and any counsel</p> <p>6 and who's here?</p> <p>7 MR. NG: Thank you, Your Honor. My name is Chun</p> <p>8 Ng. I'm with Perkins Coie on behalf of petitioner,</p> <p>9 Microsoft Corporation. I'm the lead counsel in this</p> <p>10 matter.</p> <p>11 With me are my colleagues, Matt Bernstein and</p> <p>12 Evan Day. Mr. Bernstein will be conducting the argument on</p> <p>13 our behalf. Thank you.</p> <p>14 JUDGE MCNAMARA: Thank you. How about the</p> <p>15 patent owner?</p> <p>16 MR. COULSON: Good afternoon, Your Honor. My</p> <p>17 name is Chris Coulson with Andrews, Kurth, Kenyon. I'm</p> <p>18 lead counsel, and I will be conducting the argument today.</p> <p>19 And with me is my colleague, Ian Moore, also from</p> <p>20 Andrews, Kurth, Kenyon.</p> <p>21 JUDGE MCNAMARA: Thank you very much. Welcome</p> <p>22 to the Patent Trial and Appeal Board.</p>	<p style="text-align: right;">7</p> <p>1 at the full disclosure of the references. That's what the</p> <p>2 law requires.</p> <p>3 We have to assume that the person of ordinary</p> <p>4 skill in the art is not an unintelligent automoton but that</p> <p>5 that individual, he or she, can look at the entire</p> <p>6 reference and understand its full disclosure. What a party</p> <p>7 cannot do is put on a pair of blinders when looking at a</p> <p>8 reference and not take into account what's actually and</p> <p>9 expressly disclosed.</p> <p>10 Second, what's obviously important is the actual</p> <p>11 claim language, focusing on the actual claim language when</p> <p>12 comparing a prior art, not impermissibly narrowing or</p> <p>13 changing the claim terms.</p> <p>14 So I want to start by talking about an overview</p> <p>15 of the '343 and the '506 patents. What are they about?</p> <p>16 The patents talk about accessing very large images on a</p> <p>17 server and the issues and problems of getting those images</p> <p>18 to a client device, given the size of the images and</p> <p>19 network constraints.</p> <p>20 Patents are specific that the problem is, one,</p> <p>21 related to large amounts of imagery. It's not limited to</p> <p>22 geographic images but the patent is very clear that it</p>
<p style="text-align: right;">6</p> <p>1 MR. COULSON: Thank you, Your Honor.</p> <p>2 JUDGE MCNAMARA: And I guess we're ready to</p> <p>3 begin with the petitioner. And how much time would you</p> <p>4 like me to alert you to?</p> <p>5 MR. BERNSTEIN: 35 minutes for our opening and</p> <p>6 25 minutes rebuttal, Your Honor.</p> <p>7 JUDGE MCNAMARA: All right.</p> <p>8 MR. BERNSTEIN: We have some hard copy of the</p> <p>9 demonstratives in case, due to user/operator error, I have</p> <p>10 a hard time with this.</p> <p>11 JUDGE MCNAMARA: That's fine. You may approach.</p> <p>12 MR. BERNSTEIN: Thank you. Good morning, Your</p> <p>13 Honors.</p> <p>14 Based on its papers and the cited evidence,</p> <p>15 Microsoft has shown by a preponderance of the evidence that</p> <p>16 the challenge claims are obvious over Reddy and Hornbacker.</p> <p>17 Today I'm going to focus on a few issues in our</p> <p>18 case in chief. But before I dig into them, I wanted to</p> <p>19 raise two important issues that really permeate these IPRs.</p> <p>20 First, what is important is to actually look at</p> <p>21 what the prior art references actually disclose. And by</p> <p>22 that I mean a person of ordinary skill in the art must look</p>	<p style="text-align: right;">8</p> <p>1 includes any type of imagery. And that's at column 11,</p> <p>2 line 7 to 14 of the '343 patent.</p> <p>3 And Your Honor, when I refer to the patents, I'm</p> <p>4 going to -- the spec is by and large the same, so I'm going</p> <p>5 to refer to the '343 patent specification.</p> <p>6 So what's the claim solution? The solution</p> <p>7 claimed by the Bradium patents is breaking the source image</p> <p>8 into equally sized image parcels, then creating a series of</p> <p>9 derivative images where each layer of tiles is successfully</p> <p>10 lower and lower resolution; that way, the client device can</p> <p>11 download just the tiles for the correct locations and</p> <p>12 resolution that it needs to display a particular view.</p> <p>13 I'm going to -- let's see. Is there anything --</p> <p>14 so I'm on Demonstrative 2, Your Honors.</p> <p>15 And what you see are a few things. First, you</p> <p>16 have figure 2, which is the image pyramid of the Bradium</p> <p>17 patents. And then what you have at 6, the middle block,</p> <p>18 column 6, lines 56 to 59, you have the description of the</p> <p>19 image data parcels. The tiles are stored in the</p> <p>20 conventional quad-tree data structures where tree nodes of</p> <p>21 depth D correspond to the stored image parcels of a</p> <p>22 derivative image.</p>



<p style="text-align: right;">9</p> <p>1 So some but not all of the claims talk about 2 progressive resolution enhancement, which simply refers to 3 downloading lower-resolution images before 4 higher-resolution images to assure that the complete image 5 of at least a low resolution is available to the user. And 6 this is discussed at column 10, lines 10 to 14. It's the 7 lower box of Demonstrative 2. 8 So turning to an overview of the prior art 9 references -- and I'm going to start with Reddy. And Reddy 10 addresses effectively the same problems that the '343 and 11 '506 patents do, which is retrieving portions of large 12 images over a network with limited bandwidth. 13 And Reddy unequivocally states that addressing 14 bandwidth constraints was one of its goals. And if you 15 look at Demonstrative 6, Your Honors, we've cited to 16 paragraph 16 and 17 of Reddy, where this is set forth. 17 And Reddy actually uses fundamentally the same 18 solution of creating a pyramid of images at varying 19 resolutions so that the client device can request tiles at 20 their appropriate locations and resolutions. And that's on 21 Demonstrative 13, Your Honors. 22 In the series of derivative images, the image</p>	<p style="text-align: right;">11</p> <p>1 network with high-speed disk servers for fast response 2 times. 3 But then note the word "however," meaning in 4 contrast. And then it is specifically talking about 5 communication channels with less bandwidth and client 6 devices that are less powerful. It talks about one 7 TerraVision on the internet as opposed to the ATM network; 8 and two, a standard VRML browser on a laptop to be enable 9 to browse the same data that the high-end TerraVision can 10 browse. 11 So both 1 and 2 specifically are capable of 12 being used in military missions, emergency relief efforts, 13 and things along those lines. And Microsoft's expert, in 14 his declaration, as well as the reply declaration, 15 testified that a person of ordinary skill in the art would 16 recognize that there was a need for the ability to access 17 data under network constraints and for a system that can 18 operate on portable computing devices in light of the 19 emergency relief efforts and military missions in 20 paragraph 48. 21 JUDGE CHUNG: So Counsel, I have a question on 22 paragraph 48. Patent owner argues that the laptop</p>
<p style="text-align: right;">10</p> <p>1 pyramid, the quad tree, is shown in figure 1 of Reddy. And 2 it's effectively the same image pyramid that is in figure 2 3 and the description of figure 2 in the '343 and '506 4 patents. 5 I want to pause for a moment and say something 6 about figure 1 of Reddy. I mean, Reddy is one of our -- or 7 Reddy is our key prior art reference. And Reddy figure 1 8 is something that petitioners rely on throughout and 9 repeatedly in its petitions and in its papers. 10 Bradium by and large just ignores it. I mean, 11 they chose to address it by pretending it didn't exist and 12 that a person of ordinary skill in the art would not have 13 considered it. Bradium's expert also ignored figure 1. 14 And so to the extent that the Board hears 15 anything about figure 1 today, Microsoft posits that that's 16 new argument. 17 I want to turn to Demonstrative 7, Your Honors. 18 And while figure 1 is an important figure, paragraph 48 of 19 Reddy is also pretty critical. And what does paragraph 48 20 say? So first, it starts off by saying what Bradium likes 21 that it says. TerraVision II can be implemented on a 22 graphics workstation connected to a gigabyte-per-second ATM</p>	<p style="text-align: right;">12</p> <p>1 discussed in paragraph 48 of Reddy is about using a laptop 2 offline and, you know, looking at data that's on the local 3 drive on the laptop, and it's not about using limited 4 bandwidth connection. 5 That seems to be at least a feasible argument, 6 right? Because when you are in a military situation or 7 emergency response situation, you may not have any 8 connection at all. You may be offline, you might be just 9 looking at the data on the hard drive on the laptop. So, 10 you know, how do you respond to that? 11 MR. BERNSTEIN: So I respond a couple ways. I'm 12 not sure I remember that in their preliminary response. I 13 don't remember if they continued that through to their 14 actual patent owner response. But regardless, I think if 15 you look at Exhibit 2066, there's a clear discussion of use 16 of the Terra server technology on laptops. 17 And the testimony on whether something was 18 online as opposed to offline, we have the testimony of 19 Dr. Michalson. And I think a person of ordinary skill in 20 the art, maybe it could be used for offline, but I think 21 the disclosure of Reddy in paragraph 48, I think based on 22 the testimony, a person of ordinary skill in the art could</p>

<p style="text-align: right;">13</p> <p>1 definitely see that one of the scenarios, in addition to 2 maybe what you just said, Judge Chung, is that it could be 3 used on a limited bandwidth channel and on limited device 4 in those emergency settings. 5 So a person of ordinary skill in the art, maybe 6 he would use it offline, but I think absolutely a person of 7 ordinary skill in the art would understand there are 8 situations where there would be limited bandwidth issues 9 and there would be the need for -- to go into, you know a 10 fire area and have a laptop instead of -- or have a laptop 11 or have something smaller so you're not lugging around a 12 desktop or something along those lines. 13 JUDGE CHUNG: Okay. Thank you. 14 MR. BERNSTEIN: So the last thing I wanted to 15 talk about Reddy is in Demonstrative 11. 16 And what Demonstrative 11 shows in Reddy 17 Exhibit 1004, paragraphs 3, 21, and 44, talks about how the 18 resolution of an image that the user is viewing can be 19 built up progressively in a course-defined manner by first 20 downloading lower-resolution images and then gradually 21 replacing those images with higher-resolution imagery as it 22 becomes available.</p>	<p style="text-align: right;">15</p> <p>1 69, 126. 2 And so a person of ordinary skill in the art at 3 the time would know you could either send raw imagery or 4 compressed imagery. And Hornbacker specifically talks 5 about using compression. 6 The Hornbacker client, like Reddy, also 7 requested tiles by making URL requests to the server. And 8 Hornbacker added additional detail about the specific 9 makeup of those requests by incorporating information about 10 the coordinates, the position, and the resolution. 11 So I want to talk about motivation to combine, 12 Your Honors. And Microsoft has shown by a preponderance of 13 the evidence that a person of ordinary skill in the art 14 would have been motivated to combine Reddy and Hornbacker. 15 Our petition provides articulated reasoning with 16 rational underpinnings to support the motivation to 17 combine. First, both of these references are analogous 18 art. Both Reddy and Hornbacker are in the same field of 19 endeavor; both address the problem of viewing small 20 portions of much larger images over a network, and both GIS 21 and viewing document images are part of that. The Bradium 22 patent itself is specifically not limited to GIS and</p>
<p style="text-align: right;">14</p> <p>1 So I want to now briefly move on to Hornbacker, 2 which is Exhibit 1003. And I want to briefly discuss 3 Demonstrative 8, Your Honors. 4 And at a high level, Hornbacker addresses a very 5 similar problem to Reddy and to the issues addressed by the 6 Bradium patents, which is allowing a user to view portions 7 of very large images over a network with bandwidth 8 constraints. And that's found in Exhibit 1003 at page 2, 9 lines 27 and 28. 10 Hornbacker also addresses this problem by 11 dividing the source imagery into tiles and creating 12 derivative tiles at varying resolutions so the client 13 browser can request tiles at the correct locations and 14 resolutions for a particular view. 15 Hornbacker actually goes a little bit further 16 and teaches that you can use -- you can also save bandwidth 17 by using compression, including forms of compression that 18 result in fixed tile sizes. 19 So in December 2000 at the filing date of the 20 Bradium patents, the use of compression was something that 21 was well known in the art at the time. Professor Michalson 22 talked about that in Exhibit 1005 at paragraphs 44 to 46,</p>	<p style="text-align: right;">16</p> <p>1 mapping. 2 The combination also satisfies the reasonably 3 pertinent test because both references are about retrieving 4 tiles that are part of a larger image at the appropriate 5 resolution or resolutions for display and optimizing the 6 use of bandwidth in order to display an appropriate part of 7 an image. 8 Both Reddy and Hornbacker utilize a client 9 browser to request image tiles from the server-based 10 inputs. 11 Both Reddy and Hornbacker disclose accessing a 12 server by a web browser resident on the client. 13 Second, Your Honors, there's undisputed evidence 14 of motivation to combine in these petitions. In our 15 petitions, Microsoft pointed out that person of ordinary 16 skill in the art would have combined Reddy and Hornbacker 17 in order to take advantage of the benefits of compression, 18 which are taught by Hornbacker. 19 Reddy teaches that selectively using just the 20 tiles for the location resolution needed to display a 21 particular viewpoint concerns bandwidth. So that means 22 that saving bandwidth is a goal of Reddy.</p>

<p style="text-align: right;">17</p> <p>1 Reddy further talks about using the system in 2 naturally bandwidth-constrained environments, such as 3 military and emergency applications. 4 Therefore, a person of ordinary skill in the art 5 would have concluded that using the tile compression taught 6 by Hornbacker to compress the tiles in Reddy would improved 7 bandwidth efficiency, one of the goals of Reddy. 8 And Your Honors, in their institution decision, 9 articulated this motivation to combine. Bradium has not 10 responded to it. So to the extent Bradium has anything to 11 say about it today, it would be new argument. 12 JUDGE MCNAMARA: I do have a question. As I 13 recall, there is an argument that the Hornbacker is aimed 14 more at documents and Reddy is aimed more at mapping in a 15 realtime-type environment. 16 How do you respond to that particular position 17 that I think the patent owner has articulated? 18 MR. BERNSTEIN: So I'll start by stating that 19 the patent itself specifically is not -- it says it 20 specifically is not limited to GIS and mapping, but it's 21 talking -- it is directed toward imagery and getting 22 imagery from the server to the client --</p>	<p style="text-align: right;">19</p> <p>1 art would still be trying to, you know, accomplish the same 2 thing, whether it's in the document setting or in the 3 mapping setting, Your Honor. 4 JUDGE MCNAMARA: Okay. Thank you. 5 MR. BERNSTEIN: So as I mentioned previously and 6 I think I'll probably mention again, paragraph 48 teaches 7 that a system can be applied in situations such as military 8 and disaster response scenarios where a user of a system is 9 likely to encounter severe bandwidth constraints where 10 mobility would be beneficial. Microsoft believes that 11 Reddy suggests and teaches this on its own, but Hornbacker 12 explicitly teaches that its browsing methods can be used to 13 retrieve tile over a dial-up 28.8 connection, as well as 14 laptops and PDAs. 15 These are exactly the types of connections and 16 devices that are suggested to a person of ordinary skill in 17 the art by the military and disaster relief scenarios that 18 Reddy talks about. And therefore, these scenarios and uses 19 would lead a person of ordinary skill in the art to the 20 types of connections that are explicitly specified in 21 Hornbacker. 22 And in addition, Your Honor, Reddy also teaches</p>
<p style="text-align: right;">18</p> <p>1 JUDGE MCNAMARA: I understand that. And so 2 if -- 3 MR. BERNSTEIN: I'm sorry, Your Honor. 4 JUDGE MCNAMARA: No, no, no. I didn't mean to 5 interrupt you. 6 But I guess the question is why one would look 7 from one particular field to the other. You know, the 8 technologies may be similar, but the question is why would 9 one be motivated to make that combination? Why would one 10 be motivated to consider the mapping, the active mapping, 11 that might be going on from the point of view that I 12 understand Reddy is talking about versus the type of 13 document analysis that might be going on in the context of 14 Hornbacker? 15 MR. BERNSTEIN: So Hornbacker talks about -- you 16 know, TIFF would be a document imagery. And as we set 17 forth in our petition, there's an aspect of TIFF that's 18 geoTIFF. It's geography related. 19 There's also -- there's really no difference 20 when you look at -- if you have a scan of a map in, say, 21 Hornbacker, as opposed to, you know, satellite imagery, map 22 imagery. You're still -- a person of ordinary skill in the</p>	<p style="text-align: right;">20</p> <p>1 that tiles are identified by URLs, although it does not 2 specify the exact content of the URLs. 3 Hornbacker teaches formats by requesting tiles 4 by URL, including the concept of using the position of the 5 tile and resolution of a tile to identify an individual 6 tile request. 7 As Microsoft explains in the petition, 8 Hornbacker's teaching of using the coordinates and 9 resolution of the image in the URL would provide a ready 10 way -- ready, R-E-A-D-Y, not Reddy -- to specify particular 11 tiles based on coordinates and resolution in the system of 12 Hornbacker. 13 And for all of these reasons and all of the 14 reasons in our petition, Your Honor, Microsoft has 15 established by a preponderance of the evidence a motivation 16 to combine. 17 Your Honors, I'd like to move on to the disputed 18 claim limitations. I'm going to start with the independent 19 claims, and then I'll circle back towards the end to the 20 dependent claims. 21 And I'm going to first start with the limited 22 bandwidth elements. And by that I'm talking about limited</p>

<p style="text-align: right;">21</p> <p>1 bandwidth communication channel and also limited 2 communication bandwidth computer device. 3 So Your Honors, I want to briefly note that 4 obviously Bradium has argued that these claim limitations 5 require construction, and they propose some constructions 6 for these terms. But regardless of whether the terms are 7 given their plain and ordinary meaning or the Board adopts 8 Bradium's constructions, Microsoft has shown by a 9 preponderance of the evidence that the prior art discloses 10 a limited bandwidth communication channel and a limited 11 communication bandwidth computer device. So however the 12 Court construes the terms, Microsoft prevails. 13 I'm going to focus on Reddy's disclosure of 14 limited bandwidth elements, but first I want to touch on 15 Hornbacker. Hornbacker meets these limitations and Bradium 16 has no argument on Hornbacker. Again, so if you hear 17 anything today on whether Hornbacker meets these 18 limitations, it's new argument. 19 So turning back to Demonstrative 8, it's clear. 20 Hornbacker, again, discusses how bandwidth can be optimized 21 by retrieving only the tiles necessary for review. And 22 that bandwidth can be further optimized by compression.</p>	<p style="text-align: right;">23</p> <p>1 amount of data than what's on the server. You know, in 2 other words, what's described here can be fairly 3 characterized as optimizing transmission. Right? And I 4 guess that could mean that you're optimizing the use of the 5 bandwidth. 6 But, you know, how does that suggest having a 7 limited bandwidth connection, which is recited in the 8 claims? 9 MR. BERNSTEIN: So I think if I understand your 10 question, Your Honor, I think what paragraphs 16 and 17 11 really do is set the table, set the stage for what's 12 disclosed in paragraph 48. 16 and 17 are just generally 13 talking about, hey, bandwidth is an issue that the Reddy 14 system needs to deal with. And then where you get into the 15 specific are in paragraph 48. 16 That's all I meant to say about paragraphs 16 17 and 17. 18 JUDGE CHUNG: I see. I see. So you're arguing 19 that if you read paragraphs 16 and 17 in conjunction with 20 paragraph 48, Reddy suggests using limited bandwidth 21 connections and applying bandwidth usage by using disclosed 22 methods of breaking up the tiles?</p>
<p style="text-align: right;">22</p> <p>1 But Hornbacker also teaches using devices such 2 as notebook computers and palmtop computers -- that's the 3 bottom box that's at 14 -- Exhibit 1003 at page 1426 to 28. 4 And it also talks about the system being used on a 28.8 5 kilobit channel. That's at page 13, lines 28, to page 14, 6 lines 7. This undisputedly meets the bandwidth limitations 7 for the Bradium patents. 8 So turning back to Demonstrative 6 in Reddy. 9 And Demonstrative 6 shows some of the pertinent teachings 10 of Reddy. But what it -- what I like about this is that it 11 clearly shows that one of the goals of Reddy -- and this is 12 paragraph 16 and paragraph 17 of Exhibit 1004 -- is to 13 optimize a sending of imagery, is to deal with bandwidth 14 constraints. 15 And so even before we get to paragraph 48, an 16 overriding -- one of the overriding goals of Reddy is to 17 work on limited bandwidth situations. 18 So turning to Demonstrative 7 -- 19 JUDGE CHUNG: Counsel? 20 MR. BERNSTEIN: Yes. 21 JUDGE MCNAMARA: So I understand that 22 paragraphs 16 and 17 of Reddy talk about downloading less</p>	<p style="text-align: right;">24</p> <p>1 MR. BERNSTEIN: That's a fair assessment, Your 2 Honor. 3 JUDGE CHUNG: Okay. Thank you. 4 MR. BERNSTEIN: So in Demonstrative 7, we talked 5 about paragraph 48 of Reddy a few times. And we're talking 6 again about how it can be applied to military mission 7 planning, battle assessments, emergency relief efforts, and 8 other distributed time-critical conditions. 9 And Microsoft's expert explained in both of his 10 declarations why these types of scenarios of Reddy are 11 exactly the types of scenarios where a person of ordinary 12 skill in the art would expect to run into limited bandwidth 13 channels and need to use mobile devices. And thus, to a 14 person of ordinary skill in the art, Reddy teaches the 15 limited bandwidth limitations, regardless of whether those 16 terms are construed based on their plain and ordinary 17 meanings, or Bradium's constructions. 18 Before I move on to the next disputed 19 limitation, I wanted to just address one of Bradium's 20 arguments. Bradium's position is that a person of ordinary 21 skill in the art would not appreciate the full disclosure 22 of Reddy and instead, according to Bradium, all Reddy</p>

<p style="text-align: right;">25</p> <p>1 discloses is a TerraVision product that was only capable of 2 working on graphic workstations and only capable of working 3 on an ATM network.</p> <p>4 Bradium further argues that Reddy doesn't teach 5 using the features of TerraVision II on a vermal browser or 6 that Reddy doesn't teach installing TerraVision II on a 7 mobile computing device such as a laptop.</p> <p>8 So recognizing that Exhibit 1004, the actual 9 Reddy reference at issue in these petitions, doesn't 10 support its position, Bradium resorts to introducing other 11 documents. For example, Exhibit 2066. But Exhibit 2066 12 actually supports Microsoft's position, not Bradium's. 13 Exhibit 2066 is further evidence that Reddy meets the 14 limited bandwidth limitations.</p> <p>15 And if you look at Demonstrative 9, Your Honors, 16 Exhibit 2066 at page 4 says that it's feasible that the 17 same features could be implemented on a conventional 18 browser.</p> <p>19 Exhibit 2066 at page 2 also talks about the 20 TerraVision II software being combatable with and actually 21 being ported to the Windows NT platform. So a person of 22 ordinary skill in the art would know that TerraVision II is</p>	<p style="text-align: right;">27</p> <p>1 And that's exactly what Reddy does. If you turn 2 to Demonstrative 11, Your Honors. It's undisputed that 3 Reddy uses a progressive course to find algorithm to load 4 and display images. Higher-resolution imagery replaces 5 lower-resolution imagery. This is shown in paragraphs 3, 6 21, and 44 of Exhibit 1004. And that's all the claim 7 requires.</p> <p>8 Bradium argues that user viewpoints, movements, 9 and perspectives can't cause progressive resolution 10 enhancement. According to Bradium, the processor must 11 somehow, without any involvement from the user, decide to 12 perform progressive resolution enhancement.</p> <p>13 But this argument fails for a couple reasons. 14 One, there's nothing in the claim that actually precludes 15 the processor selecting, receiving, and rendering a tile 16 based on user movements. But second, and more importantly, 17 that's exactly how it works in the '343 and '506 patents. 18 In column 7, line 17 to 20 of the '343 patent.</p> <p>19 Second, Bradium seems to be arguing kind of a 20 backdoor claim for some sort of all-knowing artificial 21 intelligence processor, but there's just no support for 22 that in the specification.</p>
<p style="text-align: right;">26</p> <p>1 flexible and could be and was used on multiple platforms.</p> <p>2 Dr. Michalson, Microsoft's expert, testified 3 Exhibit 2078 at page 38, lines 21, to page 39, line 16, 4 that the platform, Windows NT, could operate on a wide 5 variety of devices that are limited communication bandwidth 6 computer devices, even under Bradium's construction.</p> <p>7 So with that, I wanted to move on to the text 8 claim limitations, Your Honor. Progressive resolution 9 enhancement. Let's start by looking at the actual claim 10 language. We have that on Demonstrative 10, Your Honors.</p> <p>11 The claim element requires a processor that is 12 capable of doing three things: select a data parcel -- 13 then there's a comma in the claim language -- retrieve 14 it -- and then there's a comma -- and then render the data 15 parcel to provide for progressive resolution enhancement.</p> <p>16 Bradium has not proposed a construction for any 17 of these phrases. No construction for processor or 18 progressive resolution enhancement. These terms have their 19 plain and ordinary meaning. And all that is required by 20 the limitation, under the plain and ordinary meaning, is 21 rendering of a single data parcel to provide for 22 progressive resolution enhancement.</p>	<p style="text-align: right;">28</p> <p>1 Your Honor, how am I doing on time?</p> <p>2 JUDGE MCNAMARA: You have about five and a half 3 minutes left of the 35.</p> <p>4 MR. BERNSTEIN: Okay. Thank you, Your Honor.</p> <p>5 So very briefly moving on to the tile 6 structures, the last major element of the independent 7 claims. And the claim language is actually on 8 Demonstrative 12.</p> <p>9 And let me -- in the petition, Microsoft 10 discussed how Reddy showed an image pyramid of tiles just 11 like what the '343 and the '506 patents described 12 themselves in figure 2. And then Microsoft, in its expert, 13 explained why each tile is unique to a particular location 14 resolution level.</p> <p>15 And it's important to note that the '343 and 16 '506 patents only mention this idea of locating tiles at 17 column 6, lines 20 to 26 of the '343 patent. And there's 18 no detail provided beyond its -- the ability to do this 19 based on a quad-tree system like is disclosed in Reddy.</p> <p>20 And if you look at petitioner's 21 Demonstrative 14, this just illustrates how easy with an 22 image pyramid or a quad tree it is to actually locate a</p>

<p style="text-align: right;">29</p> <p>1 particular tile and a particular resolution when you're 2 using an image pyramid or quad tree. 3 And this is Bradium's expert, Dr. Agouris. And 4 I'm not going to read through this. It's Exhibit 1018 at 5 page 109 to 17 to 111, 7. And so it's just -- very easily 6 she identified row, column, and resolution level. 7 So we think by a preponderance of the evidence 8 Reddy discloses this limitation. But to us there's no 9 dispute that Hornbacker does. And this is on petitioner's 10 Demonstrative 16. 11 Column 9 of Hornbacker explains how the column 12 and row and scale, which, in the context of Hornbacker is 13 resolution, factor into the unique name for a particular 14 tile, the URL used to locate the tile. So it's right there 15 on Demonstrative 16. And this is Hornbacker Exhibit 1003 16 at 830 -- page 8, line 30, to page 9, line 12. 17 Bradium's main argument against Hornbacker is 18 one of misdirection. Bradium points to a different 19 embodiment in Hornbacker that allows you to add additional 20 information to the URL like view angle. But that's a 21 purely optional embodiment, and there's nothing in the 22 claims that would exclude it anyway.</p>	<p style="text-align: right;">31</p> <p>1 server stores them waiting for the user to actually move to 2 the shifted view, and then those tiles are served to the 3 client device. That's all that the claim requires. 4 Turning to Claims 15 and 16 of the '343 patent. 5 This talks about a processor being offered to prioritize. 6 And again, this is broad claim language that Bradium chose 7 to use. They haven't sought to amend these claims. They 8 could have provided particular ways to prioritize, but they 9 didn't do that. 10 And so based on the plain claim language, any 11 prioritization of a tile needs the limitation if it's 12 related to distribution or distance. And this is exactly 13 what Reddy does. It prioritizes at least one tile based on 14 resolution and distance when it selects and loads lower 15 resolution before a higher resolution and when it sends 16 higher resolution imagery closer to a viewpoint. 17 Now, just to Claims 10 and 11 of the 18 '343 patent. Again, broad claim language is used. These 19 Claims 10 and 11 modify Claim 1, which does not have any 20 priority or resolution enhancement. And so these Claims 10 21 and 11 add priority to Claim 1, the requirements of 22 Claim 1.</p>
<p style="text-align: right;">30</p> <p>1 Turning to the depending claims quickly, Your 2 Honor. I'm going to start just with Claims 6, 13, and 20 3 of the '506 patent relating to queuing of the update data 4 parcels on the server side. And it's important to note 5 that this is server-side queuing. This is not client-side 6 queuing. 7 There's actually no description of server-side 8 queuing in the '506 specification. Bradium didn't propose 9 a construction for this claim term. It needs to be just 10 given its plain and ordinary meaning. 11 And the claim doesn't say much. It just says 12 that the server orders tiles based on any type of 13 importance. Bradium chose this broad-claim language. 14 And Hornbacker does exactly this. Exhibit 1003 15 at page 7, lines 26, to page 8, line 6. Hornbacker says 16 that a server can pre-compute tiles that may be required by 17 the next view request. 18 Hornbacker says that the server computes the 19 tiles that surround the most recent view request in 20 anticipation of a request for a shift of view. 21 So the server has determined these surrounding 22 tiles are more important than other tiles. And then the</p>	<p style="text-align: right;">32</p> <p>1 Claim 10 is broader than Claim 11. Claim 11 2 just requires that there be priority based on distance. 3 That's exactly what Reddy does. You get prioritized higher 4 resolution for close up. And so if Claim 11 is met, 5 Claim 10, which is broader, is also met. 6 A couple of points: Bradium mentions that 7 merely issuing a request in a pre-defined order of lower 8 resolution to high resolution does not require a 9 prioritization value. This is paragraph 103 of their 10 patent owner response. Page 33, of its patent owner 11 response, I'm sorry. 12 If a system requires low resolution before high 13 resolution, you are prioritizing low resolution over high 14 resolution. If every time I get in a car, if I always put 15 my seat belt on before I start the ignition, I am 16 prioritizing putting my seat belt over starting the car. 17 It doesn't matter if it's pre-defined or there's only two 18 options. 19 On page 34 of its patent owner response, Bradium 20 talks about assigning discrete, quantifiable algorithms to 21 an image parcel request in the form of variable in memory 22 space, but nothing in the claims require discrete,</p>

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1 quantifiable value in a variable in the form of variable  
2 and memory space.  
3 Bradium could have claimed the values described  
4 in the specification; Bradium chose not to and instead  
5 claimed it generically. Nor did Bradium seek claim  
6 construction on the term amendment or anything along those  
7 lines.  
8 Lastly, Bradium argues that the value described  
9 in the specification but not actually claimed has  
10 advantages over Reddy. But none of the advantages that  
11 Bradium talks about are actually claimed. So they claim --  
12 so they talk about -- Bradium talks about priority being  
13 evaluated flexibly based on multiple weighted factors.  
14 That's not -- it wasn't claimed.  
15 Summing up, priority values, they say that's an  
16 advantage of the Bradium approach. But again, it's not  
17 claimed. Just because the '343 specification might include  
18 additional features doesn't mean that Reddy doesn't meet  
19 the actual claim language.  
20 And with that, just one housekeeping matter,  
21 Your Honor. I'm not sure if a motion to exclude is going  
22 to be -- so we're going to rest on our papers on

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1 Microsoft's motion to exclude. To the extent that Bradium  
2 doesn't argue their --  
3 JUDGE MCNAMARA: If you're not going to rest,  
4 I'm not going to hear more argument on it.  
5 MR. BERNSTEIN: On?  
6 JUDGE MCNAMARA: On the motion to exclude.  
7 MR. BERNSTEIN: Right. It's not on ours. I  
8 don't know -- there's --  
9 JUDGE MCNAMARA: I understand.  
10 MR. BERNSTEIN: So the one point I want to make  
11 is that even if the Board excludes Mr. Laddie's  
12 declaration, that is just additional information. All of  
13 the corroborating information, all of the facts in our  
14 papers, have independent information. And so the exclusion  
15 of Mr. Laddie's declaration would not impact the outcome of  
16 this proceeding.  
17 And with that, I --  
18 JUDGE MCNAMARA: You have 22 minutes left.  
19 MR. BERNSTEIN: Thank you, Your Honor. I'll  
20 clean up my mess and sit down.  
21 JUDGE MCNAMARA: Counsel, is there any time you  
22 want me to alert you to?

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1 MR. COULSON: Yes, Your Honor. So I understand  
2 that Microsoft will be resting on its papers, so we won't  
3 be doing the motion to exclude additional time segment.  
4 With that understanding, I would like to  
5 reserve -- I would like to do a 50-minute period now. And  
6 I would like to reserve -- and my -- Mr. Moore will give me  
7 time warnings so -- during that 50 minutes so that the  
8 Court -- so Your Honors don't have to do that.  
9 I would like to reserve -- because of the unique  
10 nature of this case with some 2005 pre-litigation  
11 admissions by Microsoft, I would -- I do feel that it's  
12 important to reserve 10 minutes to discuss the confidential  
13 information because I think it's some of the best  
14 information available.  
15 JUDGE MCNAMARA: All right.  
16 MR. COULSON: So I would like to do 50 minutes  
17 now, Your Honor.  
18 JUDGE MCNAMARA: All right. So we'll alert you  
19 when 50 minutes is up.  
20 MR. COULSON: Thank you, Your Honor.  
21 And I'd like to start -- Mr. Moore would like to  
22 approach to hand out demonstratives, if that's okay.

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1 Would the court reporter like demonstratives?  
2 We'll certainly hand demonstratives to Your Honors. And  
3 again, as last time, Judge Chung, I apologize I can't give  
4 it to you.  
5 JUDGE CHUNG: Not a problem. I have both  
6 electronic and paper copies of the demonstratives.  
7 MR. COULSON: Thank you, Your Honor.  
8 Microsoft has the burden to prove by the  
9 unpatentability of the challenge claims by a preponderance  
10 of the evidence. As shown by our papers, Microsoft has not  
11 done so.  
12 First, Microsoft is arguing an obviousness  
13 assertion only as to Reddy and Hornbacker. And Microsoft  
14 must show that a person of ordinary skill in the art would  
15 have both selected and combined the references in the  
16 ordinary course of development.  
17 So I would like to get into, as part of my  
18 opening, how Microsoft has not shown that a person of  
19 ordinary skill in the art would have selected Reddy. But  
20 before I do that, I would like to touch on a point about  
21 the teaching or suggestion. Almost half of this case can  
22 be disposed of by the fact that Microsoft has not shown

<p style="text-align: right;">37</p> <p>1 that the claims -- that the asserted art has taught or 2 suggested the prioritization of tiles. 3 And by that, I've tallied up the claims at issue 4 here. The prioritization of retrieval of data parcels, 5 Claims 15 and 16 of the '343; the associating or 6 prioritization value with updated parcel requests, that's 7 Claims 10 and 11; and the process or being operative to 8 select, retrieve, and render to provide for progressive 9 resolution enhancement, that's Claims 13 and then dependent 10 claims through 20 of the '343 and independent claims 8 and 11 15 of the '506. 12 So that's over half the claims at issue in this 13 case by the prioritization issue. 14 JUDGE MCNAMARA: Those are the claims of which 15 patent? 16 MR. COULSON: Let's see. The '506 patent, 17 Claims 8 and 15, as to progressive resolution enhancement, 18 Your Honor. 19 JUDGE MCNAMARA: Yes. 20 MR. COULSON: Is that Your Honor's question? 21 JUDGE MCNAMARA: That was my question. I was -- 22 yes. Because we were talking about claim numbers, I just</p>	<p style="text-align: right;">39</p> <p>1 Another prioritization claim is item 4 here, 2 queuing data parcels based on the importance. That's in 3 patent '506, Claim 6, 13, and 20. So that's a lot of the 4 claims at issue in this proceeding. I wanted to point that 5 out. 6 I'll take off slide 3 of Exhibit 2086. 7 And I'd like to move back to the point that a 8 person of ordinary skill in the art would not have selected 9 Reddy as a starting point. The reason a person of ordinary 10 skill in the art would not have selected Reddy is because 11 the VRML system it employed, sometimes referred to as a 12 VRML, is a slow system as to the limited bandwidth and 13 small client devices and channels to which the patent is 14 directed. It requires a special high-speed connection, a 15 special high-speed research network, MGI network, in order 16 to operate. 17 And that's shown both based on Exhibit 1004 of 18 the Reddy reference and also an exhibit put forth by 19 Bradium, Exhibit 2066, the SRI Group reference, which is -- 20 SRI group is the same group that produced and authored the 21 Reddy reference. Also makes that point that you need a 22 graphics workstation to properly operate this highly</p>
<p style="text-align: right;">38</p> <p>1 wanted to make sure I knew which patent claim numbers we 2 were talking about. 3 MR. COULSON: Certainly, Your Honor. 4 And I think I'll show Bradium slides, 5 Exhibit 2086, slide 3. 6 Can Your Honors see this? 7 JUDGE MCNAMARA: We have electronic copies. 8 Judge Chung, is this visible to you? 9 JUDGE CHUNG: As long as you tell me which slide 10 we're on, I should be able to follow you without a problem. 11 JUDGE MCNAMARA: Just so you know, while we were 12 getting that operating, I had stopped the clock for you. 13 MR. COULSON: Thank you, Your Honor. I 14 appreciate that. 15 Just for the Court's convenience, I've put up 16 slide 3. For the Board's convenience, slide 3. So I'm 17 preferring to item 1 of slide 3, prioritization of request; 18 item 2, use of prioritization values for data parcel 19 requests; and item 3, which Judge McNamara had a question 20 about, was the process or selection of data parcels for 21 progressive resolution enhancement. And I referred to 22 Claim 13 of '343 and Claims 8 and 15 of '506.</p>	<p style="text-align: right;">40</p> <p>1 complex system. 2 During deposition, Dr. Agouris, whose research 3 is in this area, testified that she's familiar specifically 4 with the work of the SRI Group and knows the SRI folks and 5 that a workstation type of computer, or at least when 6 referring to a PC connected to the internet, a computer 7 with specialized capability would have been needed to run 8 at TerraVision II system. 9 VRML itself -- I'd like to start off and just 10 discuss VRML itself. This is a node-based system. This is 11 a system which is for objects in 3D space, not a simple 12 tile-based system. And I'd like to show -- can I have 13 Exhibit 2066, please? 14 So I'll do this in steps here. So the SRI Group 15 -- I just want to cover -- the SRI Group are the authors of 16 SRI, SRI. I'm pointing to page 38 of Exhibit 1004, are the 17 authors of the Reddy paper. I guess you can also look at 18 the face of the document, which lists SRI International, 19 which I'm putting up on -- that's page 30 of Exhibit 1004. 20 So I'll now turn to Exhibit 2066. And that's 21 referenced on -- staying on Reddy, page 30 of Reddy, where 22 I just was. This is a reference we took based on the blue</p>



<p style="text-align: right;">41</p> <p>1 box on the bottom of page 30 of Exhibit 1004, the U.S. 2 Naval post-graduate school reference, which -- it's 3 actually Exhibit 2005. I'll be discussing Exhibit 2005 to 4 discuss details of VRML and why it was -- to demonstrate 5 that it was a slow, unworkable system for typical PCs or 6 especially for a laptop.</p> <p>7 I'm putting up on the screen the 2005 exhibit. 8 The exhibit is a Naval post-graduate school paper regarding 9 VRML terrain modeling for the Monterey Bay National Marine 10 Sanctuary.</p> <p>11 The Monterey Bay reference, as I sometimes refer 12 to it, Exhibit 2005, is a -- it used to same 13 multi-resolution techniques for VRML as used by Reddy; and 14 it also limits -- it also used the technique of limiting 15 the download of high-resolution files based on proximity to 16 the viewer.</p> <p>17 As shown on page 27 in the lower right-hand 18 corner of the Exhibit 2005, this paper states that VRML 19 makes 3D graphics accessible to any desktop computer. 20 That's referred to in our paper 17 at page 48, Your Honors.</p> <p>21 But the Exhibit 2005 explains much more detail 22 than simply that. It demonstrates that that is the case</p>	<p style="text-align: right;">43</p> <p>1 construction of the limited bandwidth device. The limited 2 communication bandwidth computer device. And that is, even 3 running a local copy -- on the left-hand side. Even 4 running a local copy of VRML data, note how it takes 40 5 seconds to load and render with a slower smaller sized 6 processor, 133 MHz, which is a -- so the processing speed 7 of a small client, of a small computer, is hindering the 8 performance of a complex VRML environment.</p> <p>9 There's a couple points that Microsoft makes 10 regarding -- not regarding the data itself but an attempt 11 to refute this reference, which I'd like to address. So 12 one thing that's important to note is the Monterey Bay 13 reference uses an integer data, versus the Reddy reference 14 makes use of floating point data.</p> <p>15 In fact, the Monterey Bay reference, which I was 16 just showing, is only -- has a relatively low precision -- 17 I think it's to about half of a meter -- versus what I 18 believe is a millimeter precision. They need to go to 19 double -- they need to use networks of different local 20 coordinates to try to get extreme precision, which is the 21 goal of the Reddy reference.</p> <p>22 So you're seeing a slowness on typical</p>
<p style="text-align: right;">42</p> <p>1 with data, unrefuted data. I'm on stamped page 74 in the 2 lower right-hand corner of the Exhibit 2005, which is 3 labeled as page 43 in the middle of the page. And this is 4 discussed in our paper 17, page 48, of the 449 case, for 5 Your Honors' convenience.</p> <p>6 This is a description of rendering time for 7 the -- for various heights -- for an entry-level loading of 8 VRML data and then some more detailed loading. Entry level 9 here at the top and then detailed loading.</p> <p>10 And there's a description of how long it takes 11 to render based on local copy for VRML in the left-hand 12 column, which I'll highlight. And then a description of 13 how long it would take over a network.</p> <p>14 We can see based on the data that for machine 1, 15 which is running on a 28.8 kilobit modem, it would take 73 16 seconds to do the entry-level load. And that's on a 133 17 MHz processor.</p> <p>18 Importantly, even over a dedicated internet 19 connection, we only achieve a speed of 18 seconds with a 20 faster processor.</p> <p>21 I'd like to point out something -- this goes to 22 something I'll be touching on later, which is our claim</p>	<p style="text-align: right;">44</p> <p>1 processors even though you're moving to integers and moving 2 to a faster integer pace in Exhibit 2005, the Monterey Bay 3 reference.</p> <p>4 The rebuttal to this by Microsoft is basically 5 that the -- what Monterey Bay is -- the data is taking 6 terrain data from underwater. That's called bathymetric -- 7 that's B-A-T-H-Y-M-E-T-R-I-C. Bathymetric data.</p> <p>8 Their point is basically that underwater data is 9 more complicated, underwater terrain is more complicated 10 than land terrain. The sentence that bathymetric data is 11 complicated while simple geometric data is simple. That's 12 Exhibit 1016, which is Dr. Michalson's reply, paragraph 133 13 on page 85.</p> <p>14 I don't know, anyone who has been scuba diving 15 or diving, it doesn't get a lot more jagged underwater 16 versus land in a bay. There's no credible refutation of 17 that other than what we heard today, that it's a separate 18 document. It's the same people, and it explains how VRML 19 actually worked in the world of -- in the time span of 20 interest, which is why a person of ordinary skill in the 21 art would not have selected that reference as a starting 22 point.</p>

<p style="text-align: right;">45</p> <p>1 I'd like to move back -- with that introduction 2 to VRML, I'd like to move back to the Reddy reference and 3 discuss some detailed points about Reddy. I'm going to put 4 up on the screen page 34 from the Reddy reference. And 5 we'll look at paragraph 24 of the left-hand column of page 6 34 of Exhibit 1004 of Reddy.</p> <p>7 Here we see that the -- because of the extreme 8 accuracy that the Reddy authors are going for, they need 9 to -- they can't use the standard types of nodes.</p> <p>10 Remember, VRML is a network of nodes for defining things in 11 3D space for a 3D world. They can't use the standard 12 networks of nodes. They need to use an arbitrary -- they 13 need to use a more -- a structure that allows arbitrary 14 polygons in space to be define. So that's obviously a more 15 complex and slow system. They need to do that because they 16 want to support a global dataset at detailed terrain 17 geometry. And I've just pointed to the bottom of paragraph 18 24 of Exhibit 1004.</p> <p>19 And let me flip over for a second. I want to 20 make sure when I say it's a detailed geometry that I'm 21 putting some citations behind that. So I've put up the 22 blue box on page 35 of Reddy.</p>	<p style="text-align: right;">47</p> <p>1 of tiles in figure 1. And at deposition by Dr. Agouris, 2 she explained that, that that's a concept in response to 3 Microsoft's questioning. That's a concept -- there's a 4 concept of an image pyramid that's understood generally.</p> <p>5 What's the patent -- what's special about the 6 patent and what's -- is the implementation of using the 7 concept of multi-level images at different resolutions. 8 And it's used in Reddy in a VRML format. A complex VRML 9 format. You go to one node -- you've heard some arguments 10 about node today, but I'll just explain. You go from one 11 node. And then as you go closer to another node, you get 12 to a proximity barrier. You get your four tiles in no 13 particular order of detail next to the -- as you're closer. 14 That's user action.</p> <p>15 So we're not talking about a simple -- a concept 16 that's easy to serve up like you -- as all the parts fit 17 together in the patent. We're talking about VRML. We're 18 talking about objects. Not only objects of a regular VRML 19 but custom objects in a custom browser that needs to be 20 custom built at arbitrary X, Y, Z that are defined. That's 21 a coordinate system. It's right here in Reddy that's 22 defined for use in Reddy.</p>
<p style="text-align: right;">46</p> <p>1 This is the type of geoids -- this is the type 2 of accurate rendition of the Earth that the authors were 3 trying to achieve with both X, Y, and Z coordinates. And 4 this is something we've referenced, Bradium references, in 5 the 448 case at pages 33 to 34. And that's why we see 6 Reddy doing the detailed and slower VRML implementation.</p> <p>7 And to jump back to the previous page, 8 paragraph 27, the result -- paragraph 27 shows what results 9 from the Reddy authors doing such a detailed 10 implementation. They have to set up coordinates X, Y, and 11 Z to get the geometric representations they need.</p> <p>12 This paragraph 27 of Reddy describes that they 13 can't -- and as discussed at paper 17, page 35, and 14 Exhibit 2003, paragraph 89 of the 449 case for Your Honor's 15 references, the authors of Reddy could not use a more 16 simple, conformal, or cylindrical type of data 17 representation. They need to convert. They need to do -- 18 they can't use those types of cylindrical or conformal 19 systems. They need to do -- they need to convert those 20 into the VRML geocentric coordinate system, which is then 21 the coordinate system that's being used.</p> <p>22 So I understand there's a picture of the pyramid</p>	<p style="text-align: right;">48</p> <p>1 So what you haven't heard and won't hear is how 2 Microsoft -- you see a picture of an image pyramid and then 3 we're done? But how is that -- what's the actual 4 implementation -- where's the data actually kept? It's in 5 VRML. It's in an object-based system.</p> <p>6 JUDGE CHUNG: Counsel? 7 MR. COULSON: Yes, Judge Chung?</p> <p>8 JUDGE CHUNG: So you do you then explain 9 figure 3 of Reddy, which is on page 33 of the Reddy 10 reference? Figure 3 seems to describe structure that's 11 used -- structure data that's used in Reddy, starting with 12 the pyramid as the tree file. Right?</p> <p>13 So it seems like the tree pyramid is not just 14 used as a concept in Reddy but as part of the general 15 structure of the data being used. And Reddy seems to add 16 additional structures, as shown in figure 3, on top of the 17 pyramid scheme, you know, to use the complicated come 18 complex data structure you just mentioned in other parts 19 already.</p> <p>20 So, I mean, you know, my question is, how do 21 you -- what is your understanding of figure 3 and, you 22 know, why doesn't figure 3 show that Reddy uses the pyramid</p>

<p style="text-align: right;">49</p> <p>1 that's shown in figure 1.</p> <p>2 MR. COULSON: Yes, Your Honor, let me try to</p> <p>3 address those points. And if there's follow-up questions,</p> <p>4 I'll follow up on that.</p> <p>5 So my understanding, based on Dr. Agouris'</p> <p>6 testimony and Microsoft arguments is there's no -- you</p> <p>7 can't actually tell from this diagram of Reddy how the --</p> <p>8 other than that the concept of pyramids is used. Of</p> <p>9 course, I take this to be for a particular node you could</p> <p>10 get -- or section of nodes. Let me try to address it by</p> <p>11 mentioning -- let me see if I have it. I have it here.</p> <p>12 I want to make a slightly different point before</p> <p>13 I come back, because I think it makes it clear why I'm</p> <p>14 making this argument.</p> <p>15 I'm looking at the bottom of -- at paragraph 29</p> <p>16 of page 35. 35. So you need -- let me use this as an</p> <p>17 example. Reddy describes that you need a network of local</p> <p>18 coordinate systems. So I want to make the introductory</p> <p>19 point in answering Your Honor's question, we're not talking</p> <p>20 about a giant image pyramid, like that you would just go</p> <p>21 and get served up different tiles.</p> <p>22 What we're talking about -- and I've put</p>	<p style="text-align: right;">51</p> <p>1 JUDGE CHUNG: So Microsoft does point to</p> <p>2 geotiles in figure 3, right?</p> <p>3 MR. COULSON: Yes.</p> <p>4 JUDGE CHUNG: So why isn't geotile described in</p> <p>5 Reddy similar to the tile disclosed in the patent?</p> <p>6 MR. COULSON: It's not -- and perhaps I'll get</p> <p>7 to the claim language on that later. But the geotiles</p> <p>8 would be associated with objects in VRML space that you get</p> <p>9 to by an X, Y, and Z coordinate system by arbitrary</p> <p>10 polygons in space. All of this stuff is through the</p> <p>11 object. We don't know more detail because the reference</p> <p>12 doesn't say and Microsoft hasn't explained because it would</p> <p>13 be object based.</p> <p>14 I want to mention the -- Exhibit 1030, please.</p> <p>15 I'll jump right to Exhibit 1030 while I'm on</p> <p>16 this point. This is a Microsoft report from May 2000. So</p> <p>17 this is a little bit after the date of the -- and that's</p> <p>18 the subject of motion to strike. Perhaps I'll -- or</p> <p>19 exclude. Perhaps I'll cover that later.</p> <p>20 But this is still a Microsoft report from May</p> <p>21 2000. As I'm putting up Exhibit 1030, I'm looking at</p> <p>22 page 2 with the question marks around it.</p>
<p style="text-align: right;">50</p> <p>1 figure 3 of Reddy back up on the screen because that's what</p> <p>2 Judge Chung was asking about. What we're talking about is</p> <p>3 a node-based implementation where you could have trees and</p> <p>4 the trees -- I think this is extensively in Microsoft's</p> <p>5 paper. You have four quad-tree children that get -- yes,</p> <p>6 here it is. I think we heard this a little earlier.</p> <p>7 Quad-tree children that get inlined as you move closer to a</p> <p>8 particular tile. This is the section that discusses the</p> <p>9 geo -- I believe the proximity censor is discussed here as</p> <p>10 well.</p> <p>11 So this is describing -- there's many different</p> <p>12 types -- you have different types of data for terrain and</p> <p>13 images that are associated, but they're associated with</p> <p>14 objects. Why can't I answer your question more clearly?</p> <p>15 Because Reddy and Microsoft doesn't say how do I get from</p> <p>16 big giant pyramid that they've been pointing to detailed</p> <p>17 object-based VRML.</p> <p>18 We have in the record a huge VRML specification</p> <p>19 that was put into the record by Microsoft. Not discussed.</p> <p>20 You're not going to see that today because that makes it</p> <p>21 clear that we're dealing with an object-based system.</p> <p>22 And before I move on --</p>	<p style="text-align: right;">52</p> <p>1 So Microsoft states -- Microsoft authors state</p> <p>2 that the solution, as they defined it -- which you'll see</p> <p>3 on Microsoft's own slides, they're pointing to this as</p> <p>4 among their image pyramids. They're pointing to</p> <p>5 Exhibit 1030, I believe, as their image pyramids.</p> <p>6 I'm going to go ahead and throw up petitioner's</p> <p>7 Exhibit 1047, the demonstrative of Microsoft, slide 3.</p> <p>8 Here it is. This is the slide we heard about.</p> <p>9 And this is figure 1 from Reddy. This is the big pyramid</p> <p>10 we've been hearing a lot about today. This is what I heard</p> <p>11 today, okay, this is another example of a pyramid. Right?</p> <p>12 Well, what did Microsoft say about this approach</p> <p>13 into the year 2000? They said this approach had not been</p> <p>14 attempted before. They said many people felt that it was</p> <p>15 impossible and they -- unless you used an object-based</p> <p>16 system.</p> <p>17 So that's my answer, Judge Chung, as to why</p> <p>18 those figures are -- why Reddy is about an object-based</p> <p>19 system, why that particular figure hasn't really been</p> <p>20 explained in detail. It's object based, arbitrary object</p> <p>21 locations in a 3D space in VRML.</p> <p>22 Let me step into the details of the claims. I'd</p>

<p style="text-align: right;">53</p> <p>1 like to spend a good amount of time on prioritization, or 2 whatever Your Honors would like to discuss. Because as I 3 said, that's about half of the claims at issue here. And 4 I'll get out of the patents. I think I'll just use the 5 ELMO and point to the patents. I'll switch to the 6 different prioritization patent claims.</p> <p>7 I'll highlight Claim 15 and 16 for the first 8 prioritization claim segment. And that's -- I've 9 highlighted Claim 15, the prioritization that the processor 10 is operative to prioritize the retrieval of said data 11 parcels among a plurality of selected data parcels pending 12 retrieval.</p> <p>13 And that's where the relative priority of the 14 data parcel is based on the difference in resolution of the 15 data -- of the plurality of selected data parcels.</p> <p>16 So there's a couple of things throughout this 17 case that Microsoft has pointed to as purportedly 18 disclosing prioritization, and I'd like to step through and 19 address those.</p> <p>20 First, they point to -- one of the things they 21 point to is a -- in their argument is the distance-based 22 level of detail.</p>	<p style="text-align: right;">55</p> <p>1 prioritization. And I think the clearest way to answer 2 this -- answer the point about distance-based LOD -- and 3 this was -- so this is the figure 1b. Microsoft pointed to 4 figure 1a and figure 1b.</p> <p>5 In reply, Microsoft has continued to argue this 6 point, these points of prioritization. So I want to go 7 back to Microsoft's own expert's words from Exhibit 1005 at 8 paragraph 116 in the surrounding diagrams.</p> <p>9 So I think this -- I've put this up on the ELMO. 10 It's helpful because it shows figure 1b, which is one of 11 the two figures that Microsoft is relying on. That's at 12 the top here of Exhibit 1005, page 62 of the '506 patent 13 case, which is the 449.</p> <p>14 So we have here a colorized version at the 15 bottom that I'm going to go through the tiles with. And 16 this was -- this is -- there's a description that this is 17 how Reddy does download. And there's a description of ten 18 tiles being downloaded for -- in the Reddy reference.</p> <p>19 And let's count -- let's see that the tiles 20 don't overlap at all so you don't get a prioritization of 21 the -- Microsoft said in its reply, Dr. Michalson said that 22 there's a privilege -- the higher resolution tiles are</p>
<p style="text-align: right;">54</p> <p>1 Another one is the course -- you heard this a 2 little earlier, the course-defined argument. So I'll do 3 course-defined argument first.</p> <p>4 As I mentioned earlier briefly in response to 5 another point I believe I brought up earlier, the 6 course-defined algorithm in the section we looked at is 7 loading -- when you get to a proximity -- I showed you 8 where on Reddy we're talking -- it's talking about 9 proximity. When you go to a certain proximity, you 10 download for that particular node, the node gives you four 11 more detailed tiles.</p> <p>12 So that's -- and there's no description. You 13 won't hear any description unless it's new argument about 14 what order those particular four tiles come in. So that's 15 the user taking action to get -- and getting more detailed 16 tiles. That's not some sort of automatic course-defined, 17 as I think was implied in some papers. That's not a 18 prioritization by the system of retrieval. The system 19 simply reacts to the user coming to a proximity for the 20 particular node.</p> <p>21 I'd like to address the distance-based LOD 22 argument. Distance-based LOD has nothing to do with</p>	<p style="text-align: right;">56</p> <p>1 privileged against -- I remember that word sticking out, I 2 can get a cite if needed. Privileged versus the lower 3 resolution tiles.</p> <p>4 But look at the actual diagram. We have ten 5 tiles being downloaded. I'm going to just -- I'll count 6 the tiles. There's three yellow tiles, which are these -- 7 which would be the low-resolution tiles. And I'll write it 8 on here. Three yellow, three green -- which is the next 9 layer down -- and four blue. No overlap. We're not 10 talking about distance-based LOD being an overlap where you 11 download low res and then medium and then high. This adds 12 up, in my math, to ten tiles.</p> <p>13 So it's merely just choosing to download -- for 14 a faraway node, like I had described earlier, low-res tile 15 for that node. You're not going to then get a higher-res 16 tile. You have to go over there and be next to that node, 17 and then you'll get the higher-res tile. You will get 18 downloaded for the nodes you're right next to. You'll get 19 the higher resolution images.</p> <p>20 Now, I do want to point out this is a 21 Microsoft-generated colorization of the pyramid that 22 they're talking about. So this doesn't describe how the</p>

<p style="text-align: right;">57</p> <p>1 nodes don't work. We don't have any argument from 2 Microsoft, who has the burden, as to how the nodes work. 3 But it does clearly show you that you're not 4 getting a course-defined download. You're getting the far 5 away at a low res and right next to you at high res. 6 That's what you're getting, not priority. 7 And that was paragraph 116 of Exhibit 1005 of 8 the '506 patent case. 9 Another thing Microsoft argues as priority is 10 the pre-fetching, as I understand it. The pre-fetching of 11 tiles in Reddy. The argument that Dr. Michalson makes here 12 assumes the missing element. His argument is very broad. 13 It's that not all tiles will be retrieved simultaneously; 14 and therefore, he argues, the person of ordinary skill in 15 the art wouldn't -- well, I'll just state that's his point, 16 that not all tiles may be received simultaneously and 17 therefore it would be obvious or therefore it would follow 18 or therefore -- it's phrased in different ways from 19 therefore you would naturally choose to use prioritization. 20 That's assuming the patent -- that's assuming 21 prioritization out of the claims. 22 Similar to the VRML, we don't see any</p>	<p style="text-align: right;">59</p> <p>1 system. Otherwise, you'd simply -- you could imagine 2 Hornbacker would simply just make a regular -- you know, I 3 think the assumption is let's just make one giant image 4 pyramid and be done with it. 5 The prior art in many places set forth in our 6 papers, Gap and White references, states that that type of 7 thing would not have been satisfactory. And Hornbacker, 8 thus, custom generates the tiles and makes the tiles. But 9 that has nothing to do with the actual request for and 10 providing of tiles. 11 JUDGE MCNAMARA: So is this part of your 12 argument in the patent owner response that Hornbacker and 13 Reddy are incompatible? You had a whole section on their 14 incompatibility and talked about Hornbacker using a 15 server-based system that creates custom tiles in response 16 to request, wherein Reddy the tiles are already 17 pre-computed and shared by different system users. 18 Is that the kind of thing we're talking about? 19 MR. COULSON: Yes, Your Honor. I'll move on and 20 flush that argument out for the Board and answer any 21 questions. 22 The idea here -- so Hornbacker is entirely a</p>
<p style="text-align: right;">58</p> <p>1 description in Reddy or in Microsoft of actually how this 2 pre-fetching is alleged to work. It's an assumption 3 that -- based on the fact that you have lots of data or 4 different tiles that you would naturally do the patent 5 Microsoft alleges. And that's from paper 34 of pages 8 to 6 9, among other places, in our argument. Exhibit 2003, 7 paragraph 97, paragraph 94. 8 The other argument -- and we heard this earlier 9 today. I'll move on to the Hornbacker argument. 10 So the argument from Microsoft was that 11 pre-calculation implies, I take it, a prioritization. 12 Pre-calculation is completely different from the 13 requesting -- the handling of tile requests. There's a 14 tile -- I believe there's a -- the way Hornbacker works is 15 that Hornbacker is a custom process whereby the tiles -- 16 when you user requests tiles at a certain angle, the system 17 starts making those tiles. And it will make tiles around 18 that area. So it has -- it's doing that to make tiles 19 because it doesn't have tiles. It can't make tiles because 20 it doesn't know what angle the user is going to request it 21 at. 22 The whole system is set up to be a custom</p>	<p style="text-align: right;">60</p> <p>1 server-based system. It has to even make the tiles in the 2 server-based system, whereas Reddy is a specialized VRML 3 client. 4 So there's a couple reasons why the references 5 are not compatible, one being that the whole idea behind 6 Hornbacker is to have an extremely light basically no 7 client. It says -- the reason Microsoft is able to rattle 8 off a list of all 28 small little devices, palmtops, 9 whatever, is because all you need is anything with a 10 browser to run Hornbacker. Even the system -- the server 11 will go and do everything from making the tiles at whatever 12 angle you happen to request and then just sending them to 13 you. There's nothing really at the client location, versus 14 the opposite approach of Reddy. And this has also been 15 discussed by Dr. Agouris at deposition, for example. 16 The point I just made was at paper 17 of the 449 17 case at page 50. And now I'll talk about Reddy. 18 There's many specific operations of Reddy that 19 are done in the specific VRML -- the browser. It's a 20 customized browser. As I pointed out, you need to have 21 customized VRML, as they're set about. There's specific 22 terrain-level optimizations that are not done on the</p>

<p style="text-align: right;">61</p> <p>1 standard browser that are built into the custom VRML 2 browser. And I'll get back -- I've put this here to remind 3 myself to get back to why the laptop and the -- is not, you 4 know, running the TerraVision II. But it's part of the 5 same basic factual point: The level of detail optimization 6 is at the client level in the TerraVision II, the custom 7 browser.</p> <p>8 Sure, you can -- tile stitching is at the client 9 level for the custom VRML browser, the TerraVision II. I 10 mean, sure, you can, as Reddy points out and as the Digital 11 Earth reference 2066 points out. Sure, they designed it so 12 that you could run the standard off-the-shelf VRML plug-in, 13 doesn't use any of these fancy features, some of which I've 14 discussed of Reddy on which Microsoft is relying, and you 15 can browse the same data. That's a different process. We 16 wanted to be really clear about that, so we got some 17 additional data from the SRI publication.</p> <p>18 And I'll just put that up -- oh, I'll put up a 19 clean copy. Maybe we'll actually use the slide.</p> <p>20 All right. Going to the language we talk about 21 at -- in our Paper 20, page 22. So that's on Exhibit 2066, 22 page 4 and 5.</p>	<p style="text-align: right;">63</p> <p>1 not reserved for people who have access only to the 2 high-end graphics workstation.</p> <p>3 I thought this was helpful -- again, I thought 4 this exhibit was helpful because it points out the 5 distinction that the Board has been asked to make based on 6 one paragraph. The paragraph also says -- which I won't 7 cover it again, Microsoft has covered it multiple times. 8 It says you can browse a laptop -- browse data using a 9 laptop.</p> <p>10 And I'll mention for the record that the 11 Exhibit 2066 language that I've just referred to is also on 12 our demonstrative, patent owner demonstratives, page 31, 13 citing Exhibit 2066, pages 4 and 5, the same materials I've 14 covered here.</p> <p>15 JUDGE CHUNG: Counsel? 16 MR. COULSON: Yes, Your Honor. 17 JUDGE CHUNG: So petitioner pointed out a 18 disclosure in Exhibit 2066, page 4, that states that it's 19 feasible to implement TerraVision using standard VRML 20 browser by using things like JavaScript or many other 21 programming technology that works in browsing environment. 22 Or it could be running, you know, the -- TerraVision could</p>
<p style="text-align: right;">62</p> <p>1 This is also one of our demonstratives, 2 whichever is -- I'll get the page number for the 3 demonstrative that points out this language in a second for 4 Your Honors.</p> <p>5 It says here in the SRI reference, another SRI 6 reference, 2066, that a user -- sure, VRML, the general 7 fact of VRML is attractive for scalability, it says, 8 because the user can use TerraVision running on a 9 fast-graphics workstation to quickly and intuitively 10 navigate around Digital Earth.</p> <p>11 What about if you don't have that? 12 Alternatively, it says, these same data can be accessed 13 from a laptop, going on to page 5 of 2066. A laptop 14 machine with a standard VRML browser.</p> <p>15 This was covered at deposition. I think I put 16 it in the motion for observations, that there's -- Dr. 17 Michalson discussed it. There's a standard plug-in for 18 standard browsers like Netscape. That's what we're talking 19 about here.</p> <p>20 So using regular VRML supports multiple 21 platforms, including some of these -- including lower-end 22 platforms, such as Macs and PCs. So the Digital Earth is</p>	<p style="text-align: right;">64</p> <p>1 be running externally to the browser.</p> <p>2 So, I mean, that seems to indicate that at least 3 the SRI people were contemplating that TerraVision could 4 run on laptop using VRML browser.</p> <p>5 MR. COULSON: Yes, Your Honor. And I'll address 6 that. I've put back up page 4. I've kind of circled some 7 language in the middle.</p> <p>8 So two points. In rebuttal we've shown data 9 about how VRML actually runs. How fast did that actually 10 run. Can you really run it on a palmtop? I showed the 11 underwater data from Monterey Bay. I showed how slow it 12 goes on the processors of the day.</p> <p>13 I'm sure they would have liked to do that. If 14 you're looking at Microsoft's briefs, it may say -- and I 15 covered this in deposition because I thought it was 16 important, and I've put it in my motion for observation. 17 Motion for observations, they may say that features could 18 have been implemented -- Dr. Michalson -- features of 19 TerraVision could have been implemented on a laptop or they 20 were thinking about it.</p> <p>21 I think it's important to point out that they 22 say -- the actual reference says that certain capabilities.</p>

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1 They're talking about certain capabilities that -- and that  
2 some of the features -- it's feasible that some of the  
3 features could be implemented in a standard VRML browser.  
4 I guess they would need to do some JavaScripting  
5 and other work to make that happen. That was what  
6 TerraVision II was. I'm sure that didn't make it slow and  
7 inefficient because they wanted it that way.  
8 But that's my response to that point. It wasn't  
9 something that people thought could actually be implemented  
10 out. It would be based on the Microsoft reporting is  
11 another point.  
12 I understand I have -- I think I'm over. I have  
13 10 minutes that --  
14 JUDGE MCNAMARA: Actually, you have seven and a  
15 half.  
16 MR. COULSON: Whatever the correct time is left.  
17 And I'll be moving into my --  
18 JUDGE MCNAMARA: We'll do the confidential stuff  
19 after. We'll hear now from the petitioner again and we'll  
20 go from there.  
21 MR. COULSON: Okay. Yes, Your Honor. I'll get  
22 back into the Microsoft statements then.

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1 MR. BERNSTEIN: So just a point of clarity, Your  
2 Honor.  
3 JUDGE MCNAMARA: Yes.  
4 MR. BERNSTEIN: I mean, counsel hasn't addressed  
5 any of the secondary considerations of non-obviousness. I  
6 thought I was going to have an opportunity -- so am I going  
7 to -- he's going to get up and talk about confidential  
8 stuff. We don't have any confidential stuff.  
9 So can he just finish up with his discussion,  
10 and then I can use my rebuttal time to address anything at  
11 that point?  
12 I apologize for --  
13 JUDGE MCNAMARA: As I said, the objective here  
14 is to just put the confidential stuff separately so we  
15 don't have to -- because A, we'll have to clear the  
16 courtroom of anyone who's not --  
17 MR. BERNSTEIN: We don't need to do that today.  
18 JUDGE MCNAMARA: Everyone here is authorized?  
19 MR. BERNSTEIN: Yes, everyone here is  
20 authorized.  
21 JUDGE MCNAMARA: That's the first thing.  
22 I really don't want to have to be going back and

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1 forth. I guess we can do it now.  
2 What do you think?  
3 JUDGE MOORE: So it's -- so you have an  
4 understanding of what he's going to cover in this second  
5 10-minute --  
6 MR. BERNSTEIN: I actually don't, Your Honor,  
7 because they have -- you know, a third of their brief was  
8 on the secondary considerations. I mean, I suspect  
9 maybe he's going to talk about --  
10 JUDGE MOORE: Well, but it's limited to  
11 secondary considerations issues, right?  
12 JUDGE MCNAMARA: I assume that all the  
13 confidential subject matter is going to be limited to those  
14 kinds of secondary considerations; is that correct?  
15 MR. COULSON: Your Honor, this is Chris Coulson  
16 again. If we follow the plan that the Court has set out,  
17 which I agree with. I was intending -- I understood I  
18 would confine myself to confidential information.  
19 If we vary the plan and Microsoft addresses some  
20 other material, I guess I may try to throw some things in.  
21 I prefer -- I am intending to --  
22 JUDGE MOORE: All right. We've allowed you

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1 seven and a half minutes, the remaining amount of time, to  
2 cover only confidential information. And that's all that  
3 we're going to cover, regardless of what he covers here,  
4 right? That's all we're going to cover.  
5 So that's seven and a half minutes. What I'm  
6 trying to find out from you is, what is going to be covered  
7 in that seven and a half minutes that is directed to  
8 confidential information only?  
9 MR. COULSON: Yes. I will -- that was my  
10 understanding. And yes, I will plan to only cover  
11 confidential information. There is some exhibits that are  
12 from 2005 that Microsoft has asked and we have agreed to  
13 file under seal. So I will address those particular  
14 exhibits --  
15 JUDGE MOORE: Okay. So help me out. The  
16 subject matter that we're going to cover in the seven and a  
17 half minutes, that's what he needs to know.  
18 JUDGE MCNAMARA: We don't want a sandbag.  
19 MR. COULSON: Right. Yes, Your Honors.  
20 JUDGE MOORE: Please.  
21 MR. COULSON: Okay.  
22 JUDGE MOORE: Thank you.

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1 MS. COULSON: So I'll address the 2005 Microsoft  
2 documents, and I'll address the -- on secondary  
3 considerations, I'll address some of the material that's  
4 been designated confidential that describes -- sorry, I  
5 thought I would be doing this in a break.  
6 I'll stick with only confidential information  
7 that has either been marked out as confidential in the  
8 briefing or that the exhibit is confidential. I think  
9 primarily -- I can just stick it -- if it'll be easier for  
10 the Board, I'll be happy to just stick with the  
11 Microsoft --  
12 MR. BERNSTEIN: I can work with that, Your  
13 Honors.  
14 JUDGE MCNAMARA: All right.  
15 JUDGE MOORE: Okay. So with that understanding  
16 and you with that understanding --  
17 MR. BERNSTEIN: Right. So I --  
18 JUDGE MOORE: Now you know you will have an  
19 opportunity to respond to that confidential, right?  
20 MR. BERNSTEIN: Right.  
21 JUDGE MOORE: In other words --  
22 MR. BERNSTEIN: But right now -- as I'm standing

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1 here now.  
2 JUDGE MOORE: Right. You need to cover --  
3 MR. BERNSTEIN: I'm done when I sit down.  
4 JUDGE MOORE: Correct.  
5 MR. BERNSTEIN: Yeah. Okay.  
6 JUDGE MOORE: And obviously you need to indicate  
7 to us --  
8 MR. BERNSTEIN: What I say, we're not going to  
9 have to seal.  
10 JUDGE MCNAMARA: You have 22 minutes left at the  
11 moment. With that 22 minutes, you know that they're going  
12 to talk about some confidential material. If you want to  
13 respond to it, you need to reserve at least a little bit of  
14 time to respond to it.  
15 MR. BERNSTEIN: Okay.  
16 JUDGE MOORE: Thank you.  
17 JUDGE MCNAMARA: So do you want my to alert you  
18 or --  
19 MR. BERNSTEIN: No. I should be fine, Your  
20 Honor.  
21 JUDGE MCNAMARA: All right. That sounds fine.  
22 MR. BERNSTEIN: So just first point I want to

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1 make in responding to all the arguments made is that  
2 Exhibit 2018 at page 157, that relates to, Judge Chung, one  
3 of your questions about whether this is the expert  
4 testimony of Dr. Agouris, Bradium's expert, about -- and  
5 she admitted that Reddy wasn't disclosing something that  
6 was operable just offline.  
7 They have -- Mr. Coulson and Bradium made a  
8 couple statements about -- you know, they appear to be  
9 requiring some new law on the motivation to combine is --  
10 you know, you need to be able to articulate why you'd  
11 select Reddy in the first place. That's not -- I'm not  
12 aware of that being the law. But, you know, to the extent  
13 that there's any interest by anyone knowing why you would  
14 pick Reddy, it's because of figure 1 of Reddy, 1a and 1b,  
15 and figure 3 of Reddy, which I don't think, Your Honor,  
16 Judge Chung, you got an answer to the question.  
17 But figure 3 of Reddy clearly shows  
18 two-dimensional image data as part of that figure moving  
19 from left to right. It's clearly disclosed as -- it's part  
20 of the disclosure of Reddy.  
21 There was a bunch of talk about Exhibit 2005.  
22 Again, that's -- it's interesting to -- the reference at

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1 issue is the Reddy reference, Exhibit 1004. They ignore  
2 what's in Exhibit 1004, figure 1, and really figure 3, and  
3 instead try to talk about some other document that really  
4 isn't illustrative of what actually Exhibit 1004 discloses.  
5 JUDGE CHUNG: Counsel?  
6 MR. BERNSTEIN: Yes, Your Honor.  
7 JUDGE CHUNG: So my understanding of patent  
8 owner's argument is that 2005 shows that the system  
9 disclosed in Reddy would have been too slow even on  
10 workstations and, you know, how could you use that on  
11 limited computing devices. That's what I understood to be  
12 the argument.  
13 So patent owner is pointing to the documents or  
14 research paper from the same organization that put out the  
15 Reddy reference and, you know, arguing that people in the  
16 organization understood that the Reddy system, you know,  
17 was really slow even on workstations. So, I mean, can you  
18 respond to that argument?  
19 MR. BERNSTEIN: Yes. So the speed is not  
20 something that's actually claimed in the Bradium patents.  
21 It actually -- so 2005, Exhibit 2005, does not show that  
22 anything disclosed in Exhibit 1004 didn't work. So even if



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1 it was slow, it still worked, it still actually embodied  
2 the claim limitations at issue in the Bradium patents.  
3 And, you know, just for a little bit of clarity,  
4 I don't think there's any record evidence that the authors  
5 of 2005 were the same as the Reddy authors in 1004. It's  
6 describing two different actual implementations, two  
7 different things.  
8 And so there is a disconnect, which is, I think,  
9 part of the reason why looking at 2005 doesn't really shed  
10 any light on what 1004 discloses.  
11 JUDGE CHUNG: I understand. Thank you.  
12 JUDGE MCNAMARA: Okay. I have a question --  
13 because you were -- about this Exhibit 2005. I think it  
14 was in the context that -- the discussion of it being slow  
15 was in the context of a limited communication bandwidth  
16 computer device. And if it is, is it your view that even  
17 if it's slow it's still -- it's within the claim  
18 limitations -- the display on a limited communication  
19 bandwidth device is still a display that can be generated?  
20 MR. BERNSTEIN: Yes. That is our position.  
21 JUDGE MCNAMARA: Okay.  
22 MR. BERNSTEIN: And in addition, we also have

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1 the Hornbacker reference as well meeting that claim  
2 limitation.  
3 JUDGE MCNAMARA: And that brings me to an  
4 interesting question about the difference between  
5 Hornbacker and the Reddy, again. Because it's a question  
6 that, in fact, I asked Mr. Coulson.  
7 And that has to do with the patent owner's  
8 position on incompatibility. And the one being sort of a  
9 -- the Hornbacker being tiles that are generated in  
10 response to very specific requests versus the Reddy, which  
11 is all these tiles that are sort of pre-prepared and  
12 analyzed out.  
13 So if you have any comments on that, I'd like to  
14 hear them.  
15 MR. BERNSTEIN: I do. It's actually highlighted  
16 in my notes to respond, Your Honor.  
17 So the characterization of Hornbacker, that it  
18 just generates tiles on the fly, is incorrect. There's  
19 several instances in the Hornbacker reference which  
20 specifically talk about imagery being cached on the server.  
21 And that's at page 2, lines 29 to 31; the abstract page 8,  
22 1 to 3; page 830 to page 9, 1. So Hornbacker is very

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1 similar to Reddy in that instance.  
2 And, you know, another one of the arguments he  
3 made about incompatibility talked about the view angle.  
4 But as we discussed in our papers, the view angle --  
5 specifying view angle in a URL request, that's, A, an  
6 embodiment; but there is another embodiment does not  
7 include that view angle. So those two arguments suggesting  
8 by Bradium incompatibility is just not square with the  
9 actual references and what's disclosed in the references.  
10 Exhibit 1030, Your Honor, it's -- so just a  
11 couple points. Exhibit 1030 is not talking about VRML.  
12 What it does show is that Bradium didn't invent image  
13 pyramids. And, you know, again, it's interesting that  
14 they're making their validity case by pointing to other  
15 documents and not what actually was disclosed in Reddy.  
16 They talk about Michalson in paragraph 116 in  
17 Exhibit 1005. That's a completely new argument today that  
18 we hadn't heard before. Dr. Michalson's expert  
19 declaration, the initial one, was served over a year ago.  
20 They had plenty of time to make that argument. They never  
21 did.  
22 You know, there was a statement by Bradium about

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1 Hornbacker is entirely serve based. That's just not  
2 accurate. Again, there's a web server on the client. The  
3 client can decompress and do other things.  
4 They mention tile stitching. That's not  
5 claimed. I'm not sure why that was discussed.  
6 You know, again, the test for obviousness is  
7 what does the disclosure of the reference actually show,  
8 not just what a particular embodiment shows.  
9 You know, I want to briefly talk about --  
10 Mr. Coulson is going to talk about some events concerning  
11 Microsoft in 2005. Bradium, in its papers, hasn't met its  
12 burden of showing that those discussions are relevant to  
13 any issue in this proceeding. And frankly, that's, you  
14 know, typical jury evidence where they're just trying to  
15 paint us in a bad picture.  
16 It's undisputed. There was discussion or praise  
17 of the actual '343 or '506 patents or their applications.  
18 The '343 was filed in 2009, the '506 was in 2011. There's  
19 no nexus that the inventions of the '343 and '506 were  
20 driving the talks or even discussed. No evidence  
21 whatsoever of that.  
22 And, you know, there is evidence that other

<p style="text-align: right;">77</p> <p>1 technology was actually being discussed. 3D terrain 2 elevations is what was focused on. And that's discussed in 3 Exhibit 2034 at page 4 in Exhibit 1025. 4 Let me just very quickly look to see if there's 5 anything else, Your Honor. 6 And with that, I'm going to rest, Your Honor. 7 JUDGE MCNAMARA: You'll have about 12 minutes 8 left if you want to respond to the next confidential 9 section. 10 MR. BERNSTEIN: Okay. Thank you, Your Honor. 11 JUDGE MOORE: If we could go off the record 12 before we begin the next section. And this is basically 13 for the reporter. 14 (Off the record.) 15 16 17 18 19 20 21 22</p>	<p style="text-align: right;">88</p> <p>1 JUDGE MCNAMARA: All right. We are adjourned. 2 And we'll take the case under advisement. 3 (Off the record at 3:38 p.m.) 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22</p>
<p style="text-align: right;">78</p> <p>1 2 3 4 5 6 7 8 * * * * * 9 10 (Confidential session pages 78 through 87) 11 * * * * * 12 13 14 15 16 17 18 19 20 21 22</p>	<p style="text-align: right;">89</p> <p>1 CERTIFICATE OF NOTARY PUBLIC 2 I, CHRISTINA S. HOTSKO, the officer before whom 3 the foregoing deposition was taken, do hereby certify that 4 the foregoing transcripts is a true and correct record of 5 the testimony given; that said testimony was taken by me 6 stenographically and thereafter reduced to typewriting 7 under my direction; that reading and signing was requested; 8 and that I am neither counsel for, related to, nor employed 9 by any of the parties to this case and have no interest, 10 financial or otherwise, in its outcome. 11 IN WITNESS WHEREOF, I have hereunto set my hand 12 and affixed my notarial seal this 27th day of April, 2017. 13 14 15 16 17 18 19 20 My commission expires: 21 March 31, 2019 22 Notary Registration No.: 7504902</p>

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