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## EXHIBIT 2006

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## ORIGINAL ARTICLE

**Continuous blood pressure measurement using the pulse transit time: Comparison to intra-arterial measurement**ANDREAS PATZAK<sup>1</sup>, YURI MENDOZA<sup>2</sup>, HEIKO GESCHE<sup>1</sup> & MARTIN KONERMANN<sup>2</sup><sup>1</sup>*Institut für Vegetative Physiologie, Charité-Universitätsmedizin Berlin, Berlin, Germany, and* <sup>2</sup>*Department of Internal Medicine, Marien-Hospital, Kassel, Germany***Abstract**

Continuous blood pressure (BP) measurement allows the investigation of transient changes in BP and thus may give insights into mechanisms of BP control. We validated a continuous, non-invasive BP measurement based on the pulse transit time (PTT), i.e.  $BP_{PTT}$  by comparing it with the intra-arterial BP ( $BP_{i.a.}$ ) measurement. Twelve subjects (five females and seven males) were included.  $BP_{i.a.}$  was obtained from the radial artery using a system from ReCor Medical. Systolic and diastolic BP were calculated using the PTT ( $BP_{PTT}$ , SOMNOscreen™). PTT was determined from the electrocardiogram and the peripheral pulse wave. The BP was modulated by application of increasing doses of dobutamine (5, 10, 20  $\mu$ g/kg body mass). Systolic  $BP_{PTT}$  and systolic  $BP_{i.a.}$  correlated significantly ( $R=0.94$ ). The limits of agreement in the Bland–Altman plot were  $\pm 19$  mmHg; the mean values differed by 1 mmHg. The correlation coefficient for the diastolic BP measurements was  $R=0.42$ . The limits of agreement in the Bland–Altman plot were  $\pm 18$  mmHg, with a mean difference of 5 mmHg in favour of the  $BP_{PTT}$ . The study demonstrates a significant correlation between the measurement methods for systolic BP. The results encourage the application of PTT-based BP measurement for the evaluation of BP dynamics and pathological BP changes.

**Keywords:** *Blood pressure, pulse transit time, validation***Introduction**

Cuff-based methods of blood pressure (BP) measurement are widely used and robust. Pathological changes in BP can be diagnosed in majority of the cases (1,2). Most of these methods work discontinuously with gaps of some minutes' duration between consecutive measurements. The sampling rate in ambulatory BP monitoring is four times per hour during daytime and two times per hour during night-time. However, the detection of transient changes in BP due to respiratory events requires BP sampling in the range of seconds. Several non-invasive methods have been developed with the aim of measuring BP continuously. They are mainly based on the principle of Penaz (3,4). These cuff-based techniques also have some disadvantages, which limit their application in practical medicine. The necessity for calibration during the measurement period, which interrupts the measurement, their sensitivity to postural changes and the high price hamper their distribution (4,5). A more indirect

method for the determination of BP relies on the relation between BP and the pulse wave velocity (PWV). Studies have shown a correlation between cardiovascular parameters and systolic BP measured using the pulse transit time (PTT) in patients with sleep apnoea (6,7). Also, a strong correlation between systolic BP measured using the PTT and BP measured by reference methods was shown in experimental and clinical studies (8–10). PWV is a function of arterial stiffness, which is affected by several factors including BP. Since the arterial vessel status differs individually and is influenced by vascular age and several diseases such as arteriosclerosis, diabetes and other cardiovascular diseases (11), the determination of absolute BP using PTT requires a calibration. Recently, a one-point calibration was introduced, which drastically reduces the effort of such a procedure in practical medicine (8). Although validation studies of the PTT-based method have been successfully performed, comparisons of BP determination by PTT with the gold

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standard of BP measurements are rare (9). We therefore conducted a study where the PTT-based method with one-point calibration was validated in comparison to intra-arterial measurements. The results suggest the applicability of this method for clinical investigations.

## Methods

### Subjects

Twelve subjects, seven male and five female, aged between 21 and 53 years were included in the study (Table I). The local ethics committee approved the study. The subjects gave written informed consent before the test.

### Protocol

Measurements were performed in a lying position. The BP of the subject was increased by intravenous administration of dobutamine into the non-dominant arm. Dobutamine was applied in cumulative doses of 5, 10 and 20  $\mu\text{g}/\text{kg}$  body mass by changing the infusion rate of the infusion solution (125 mg dobutamine/50 ml NaCl 0.9%). Intra-arterial pressure was monitored using a 20-gauge catheter, which was inserted in the radial artery of the non-dominant arm. The catheter was connected by fluid-filled tubing to a transducer, which was placed at the height of the heart. Transducer signals (ReCor Medical, Palo Alto, CA, USA) were transferred via an optical coupler to a SOMNOscreen™ device.

Simultaneously with the intra-arterial measurement, BP was determined by measuring the PTT using the SOMNOscreen. The electrocardiogram (ECG) and the finger plethysmography curve (dominant arm) were recorded with the SOMNOscreen polysomnography device (SOMNOmedics, Randersacker, Germany). The determination of PTT and calculation of PWV and BP were performed with DOMINO software (supplied with the SOMNOscreen). A modified lead after

Nehb was applied to obtain the ECG. Two bipolar electrodes were fixed parasternally, at the second right intercostal space and fifth left intercostal space. Another electrode was affixed to the lower arm and served as the electrical ground. The plethysmography signal was obtained using a probe for finger plethysmography/ $p\text{O}_2$  (SOMNOmedics, Randersacker, Germany).

### Data processing

Recording and storage of the BP transducer together with ECG and plethysmography signal allowed an exact temporal alignment of the time series. Systolic and diastolic BP values from the intra-arterial recording were defined as the maximum and minimum values of the BP waveform following the last detected R-peak. Data pairs for systolic and diastolic  $\text{BP}_{\text{PTT}}$  and  $\text{BP}_{\text{i.a.}}$  were obtained for each minute of investigation. The duration of the protocol was 9 min, resulting in nine data pairs for each subject. PTTs were averaged for five cycles to reduce the influence of respiration on the signal.

### Principle of blood pressure detection using pulse transit time

PTT is defined as the time that a pulse wave needs to travel from the left ventricle to a certain site of the arterial system. In the present study, PTT results from the period between the R-wave of the ECG and the appearance of the pulse wave of the same cardiac beat at the site of the finger plethysmography. PWV is calculated as the quotient of the distance (from the midline of the breast bone to the finger, determined using the body correlation factor) and the PTT. The DOMINO software calculates the BP on the base of a PWV-BP relation and by application of the one-point calibration (8). The calibration was performed immediately before starting the data collection in each patient under resting conditions.

Table I. Characteristics of the subjects and correlation coefficients (CC) for systolic (Syst.) and diastolic (Diast.) blood pressure.

Subject no.	Gender	Age (years)	Height (cm)	Body mass (kg)	BMI ( $\text{kg}/\text{m}^2$ )	CC Syst.	CC Diast.	No. of data pairs
1	M	23	191	72	24.1	0.959	0.945	9
2	M	21	180	72	22	0.934	0.685	9
3	F	25	187	70	22.9	0.620	0.666	9
4	M	27	177	87	28.4	0.912	0.810	9
5	F	37	161	87	24.9	0.926	-0.672	9
6	M	53	169	72	23.2	0.781	0.204	9
7	F	27	185	64	23.2	0.872	0.679	9
8	F	33	173	62	21.0	0.799	0.037	9
9	M	29	163	74	23.4	0.932	-0.125	9
10	F	26	183	50	19.8	0.937	0.367	9
11	M	23	183	83	25.6	0.984	0.852	9
12	M	22	163	70	22.6	0.966	0.012	8*, 9

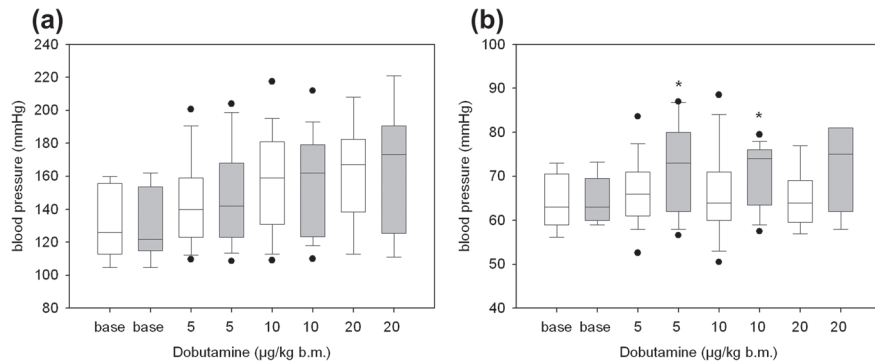


Figure 1. Effect of dobutamine on (a) systolic blood pressure and (b) diastolic blood pressure measured intra-arterially (BP *i.a.*, open boxes) and using the pulse transit time (BP PTT, grey boxes) presented as box-and-whisker plots: 5th, 25th, 50th, 75th and 95th percentiles with outliers (dots). Doses 1–3 correspond to 5, 10 and 20  $\mu\text{g}/\text{kg}$  body mass. \*Significant difference compared to BP *i.a.*

### Statistics

Data are presented as presented as box-and-whisker plots showing 5th, 25th, 50th, 75th and 95th percentiles, including outliers. The effect of dobutamine on the BP was tested using the Kruskal—Wallis test. The Mann—Whitney  $U$  test was used to test the differences between the BP measured by the two methods for different doses of dobutamine. The linear relationship between BP measured by both methods was analysed using Pearson correlation and tested with the  $t$  distribution. A value of  $p < 0.05$  was considered significant. The Bland—Altman plot was applied for investigation of the agreement between the two methods.

### Results

Dobutamine treatment increased the systolic and diastolic BP *i.a.* in a dose-dependent manner. Median systolic BP rose from 126 mmHg (25th percentile: 114 mmHg, 75th percentile: 154 mmHg) to 140 mmHg (123.5 mmHg, 158.5 mmHg) and diastolic BP from 63 mmHg (59 mmHg, 70 mmHg) to 66 mmHg (61.5 mmHg, 70.5 mmHg) in all patients for dose 1. The dobutamine doses 2 and 3 further elevated systolic BP to 159 mmHg (131 mmHg, 181

mmHg) and 167 mmHg (147 mmHg, 170 mmHg), respectively. The values for the diastolic BP *i.a.* were 64 mmHg (60 mmHg, 69 mmHg) and 64 mmHg (61 mmHg, 67 mmHg), respectively. BP measured by PTT changed similarly. The diastolic BP readings for doses 1 and 2 were significantly greater than for BP *i.a.* (Figure 1).

Figure 2(a) shows the scatterplot of systolic BP *i.a.* versus systolic BP <sub>PTT</sub> for all values measured. The data correlated significantly. The correlation coefficient was  $R = 0.947$  ( $p < 0.01$ ) and the regression coefficient  $R^2 = 0.896$  ( $n = 107$ ) (Figure 2a). Individual correlation coefficients are given in Table I. The mean difference of the systolic BP of both methods was 0.78 mmHg in favour of systolic BP <sub>PTT</sub> and the limits of agreement were  $\pm 18.9$  mmHg (Bland—Altman plot; Figure 2b).

Diastolic BP obtained by the two methods correlated less than the systolic BP data. The correlation coefficient was  $R = 0.419$  ( $p < 0.01$ ) and the regression  $R = 0.176$  ( $n = 108$ ) (Figure 3a). The individual correlation coefficients differed clearly (Table I). The mean difference between BP *i.a.* and BP <sub>PTT</sub> was 4.78 mmHg, i.e. the BP PTT was greater in the average of all measurements. The limits of agreement, also depicted in the Bland—Altman plot, were  $\pm 18.05$  mmHg (Figure 3b).

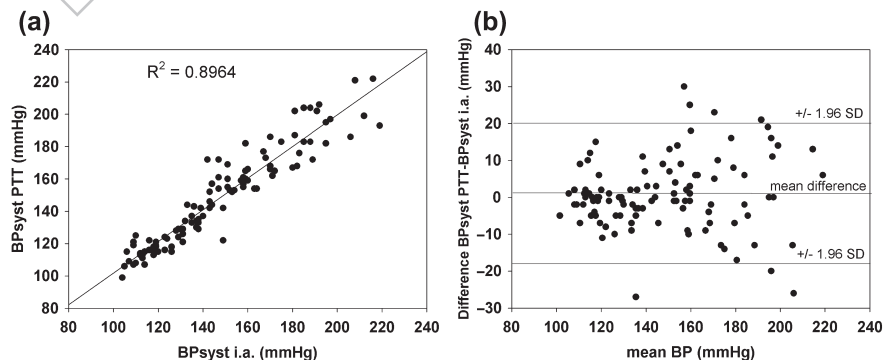


Figure 2. (a) Scatterplot of systolic blood pressure measured *i.a.* (BP<sub>syst i.a.</sub>) versus systolic blood pressure calculated from the pulse transit time (BP<sub>syst PTT</sub>) for all subjects and measurements. (b) Bland—Altman plot of the systolic blood pressure (BP) data of all subjects and measurements ( $n = 107$ ). The limits of agreement ( $\pm 1.96$  SD) were  $\pm 18.9$  mmHg; the mean difference between the methods

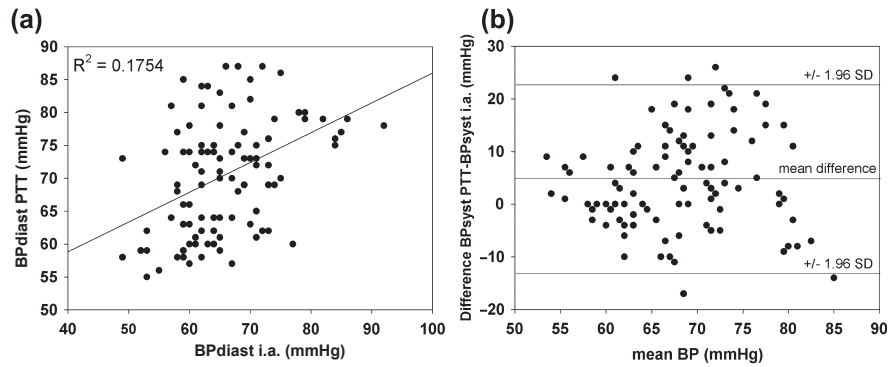


Figure 3. (a) Scatterplot of diastolic blood pressure measured intra-arterially (BPdiast i.a.) versus diastolic blood pressure calculated from the pulse transit time (BPdiast PTT) for all subjects and measurements. (b) Bland—Altman plot of the diastolic blood pressure (BP) data of all subjects and measurements ( $n = 108$ ). The limits of agreement ( $\pm 1.96$  SD) were  $\pm 18.05$  mmHg; the mean difference between the methods was 4.78 mmHg.

## Discussion

This study showed that systolic BP measured using the PTT and BP measured intra-arterially correlate significantly. In the Bland—Altman plot, limits of agreement were about 18 mmHg. The correlation of diastolic BP values between the methods was significant, but clearly smaller compared with the systolic BP. Limits of agreement were similar to those of the systolic BP in the Bland—Altman plot.

Non-invasive and continuous BP monitoring have attracted increasing attraction because of the option to obtain complete time series with high time resolution and the ability to access to more information about the BP control systems. Transient changes in BP related to respiratory or central nervous system events are important for sleep medicine and sleep research (12). Fast and transient changes in BP cannot be obtained by traditional cuff-based, discontinuously working methods.

Based on the finding of Moens and Korteweg, that the velocity of a longitudinal pressure wave is related to the elasticity of the arterial vessel and to the vessel dimension (both influenced by BP) (13), equipment for the indirect measurement of BP has been developed. Recent validation studies showed encouraging results regarding the applicability of this method in medicine (7,9,10,14–16). An important aspect in the application of this principle for BP measurement is the requirement to calibrate the measuring system (17,18). This is due to the individual mechanical properties of the vascular wall, modified also by remodelling and arteriosclerosis, which influence the measurement (19–22). To circumvent the relevant effort of calibration, which does not fit the clinical situation, a suitable one-point calibration has been recently introduced and validated in some studies (8–10). However, there is only one study available in patients in which the gold standard (intra-arterial measurement of BP) was used as the reference method (9). In this study, the BP was not actively modulated in the subjects. Thus, the relatively small range of BP

of the present study was to validate the PTT-based BP measurement including one-point calibration using intra-arterial BP as a reference. The BP was elevated by application of dobutamine, which is a sympathomimetic, inotropic agent stimulating  $\beta_1$ -receptors (23). It has been shown that dobutamine influences the cardiovascular system in a similar way to physical stimulation (exercise) (17).

Dobutamine (5, 10 and 20  $\mu\text{g}/\text{kg}$  body mass) increased the median systolic BP in all subjects from about 126 mmHg to 167 mmHg; individual values were between 100 mmHg and 200 mmHg, giving a wide range of BP values. Remarkably, the average diastolic BP for all subjects changed much less, reflecting the situation of physical load.

Correlation analysis revealed a highly significant relation between systolic BP<sub>i.a.</sub> and systolic BP<sub>PTT</sub>. This confirms the observations of other studies (7,8,10,22,24). The mean values differed negligibly between the methods. The limits of agreement were 18 mmHg in the Bland—Altman plot. These observations also agree with results from validation studies performed in healthy volunteers and patients, using the same PTT-based method (9). A significant relationship between PTT and BP stimulated by dobutamine was also observed in anaesthetized mongrel dogs (17).

The average diastolic BP<sub>PTT</sub> differed from BP<sub>i.a.</sub> (7.5 mmHg) and the correlation between the methods was clearly smaller compared to the situation for systolic BP. Correlations varied considerably when comparing individuals. Small changes in diastolic BP, combined with a variability in BP measurement and determination, may be the reason. One can speculate that individual factors such as the pattern of the plethysmographic curve differ between subjects and that this resulted in a higher variability in the obtained PTT and PWV. However, this has not been systematically investigated. Greater differences between diastolic BP<sub>i.a.</sub> and diastolic BP<sub>PTT</sub> have been demonstrated in a study using the same technique as in

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