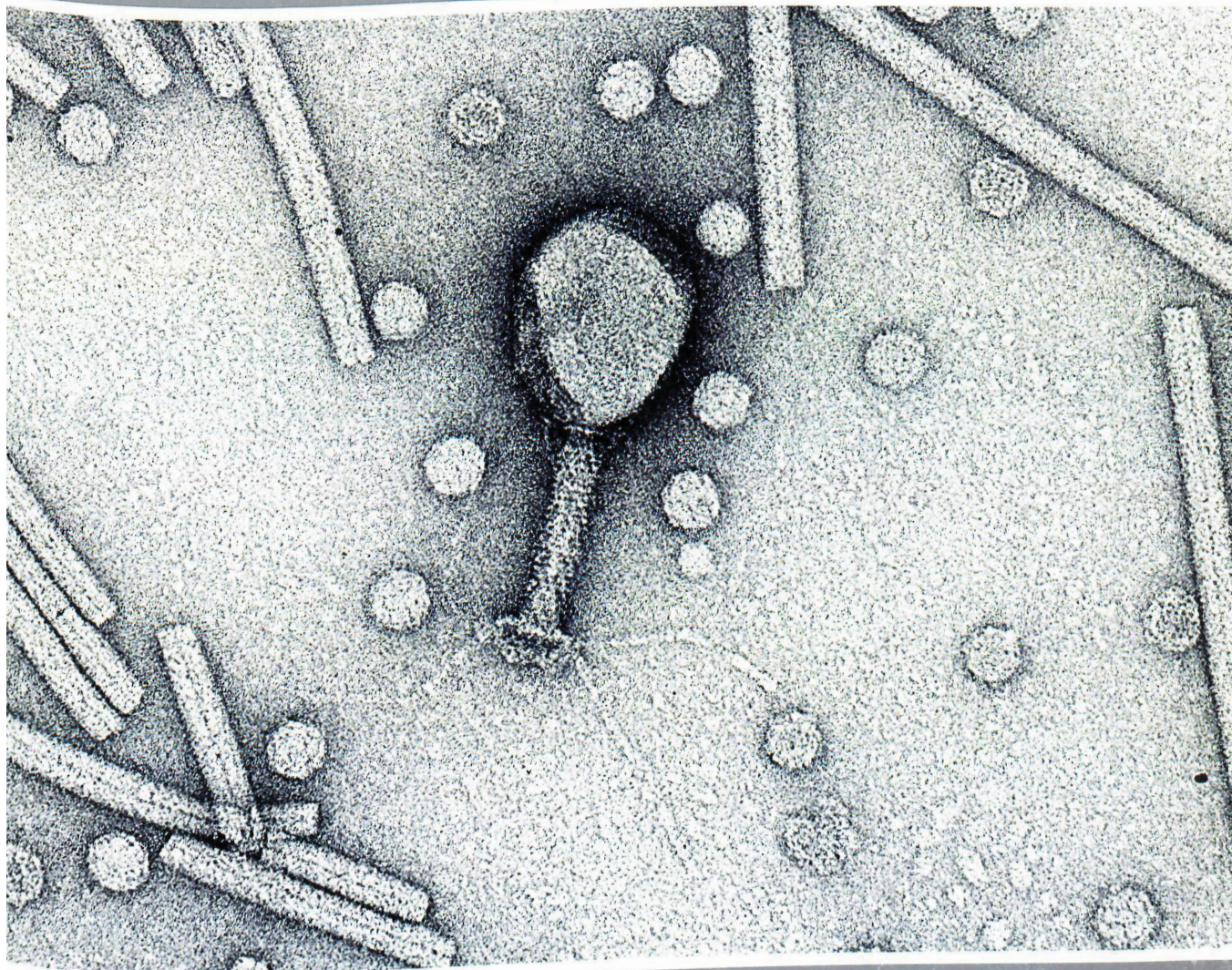
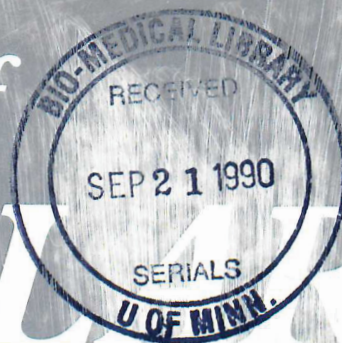


Volume 215

Number 1

5 September 1990

*Journal of*  
**MOLECULAR  
BIOLOGY**



**ACADEMIC PRESS**

*Harcourt Brace Jovanovich, Publishers*

JMOBAK 215 (1) 1-199 ISSN 0022-2836

Pfizer v. Genentech

IPR2017-01489

Genentech Exhibit 2027

# Journal of Molecular Biology

---

## Editor-in-Chief

P. Wright  
Department of Molecular Biology, Research Institute of Scripps Clinic  
10666 N. Torrey Pines Road, La Jolla, CA 92037, U.S.A.

## Assistant Editor

J. Karn  
MRC Laboratory of Molecular Biology  
Hills Road, Cambridge CB2 2QH, U.K.

## Founding Editor

Sir John Kendrew

## Consulting Editor

Sydney Brenner

## Editors

- P. Chambon*, Laboratoire de Génétique Moléculaire des Eucaryotes du CNRS, Institut de Chimie Biologique, Faculté de Médecine, 11 Rue Humann, 67085 Strasbourg Cedex, France.  
*A. R. Fersht*, University Chemical Laboratory, Cambridge University, Lensfield Road, Cambridge CB2 1EW, U.K.  
*M. Gottesman*, Institute of Cancer Research, College of Physicians & Surgeons of Columbia University, 701 W. 168th Street, New York, NY 10032, U.S.A.  
*P. von Hippel*, Institute of Molecular Biology, University of Oregon, Eugene, OR 97403-1229, U.S.A.  
*R. Huber*, Max-Planck-Institut für Biochemie, 8033 Martinsried bei München, Germany.  
*A. Klug*, MRC Laboratory of Molecular Biology, Hills Road, Cambridge CB2 2QH, U.K.

## Associate Editors

- C. R. Cantor*, Human Genome Center, Donner Laboratory, Lawrence Berkeley Laboratory, University of California, Berkeley, CA 94720, U.S.A.  
*N.-H. Chua*, The Rockefeller University, 1230 York Avenue, New York, NY 10021, U.S.A.  
*F. E. Cohen*, Department of Pharmaceutical Chemistry, School of Pharmacy, University of California, San Francisco, CA 94143-0446, U.S.A.  
*D. J. DeRosier*, Rosenstiel Basic Medical Sciences Research Center, Brandeis University, Waltham, MA 02254, U.S.A.  
*W. A. Hendrickson*, Department of Biochemistry & Molecular Biophysics, College of Physicians & Surgeons of Columbia University, 630 West 168th Street, New York, NY 10032, U.S.A.  
*I. B. Holland*, Institute de Genetique et Microbiologie, Bâtiment 409, Université de Paris XI, 91405 Orsay Cedex 05, France.  
*B. Honig*, Department of Biochemistry & Molecular Biophysics, College of Physicians & Surgeons of Columbia University, 630 West 168th Street, New York, NY 10032, U.S.A.  
*V. Luzzati*, Centre de Génétique Moléculaire, Centre National de la Recherche Scientifique, 91 Gif-sur-Yvette, France.  
*J. L. Mandel*, Laboratoire de Génétique Moléculaire des Eucaryotes du CNRS, Institut de Chimie Biologique, Faculté de Médecine, 11 Rue Humann, 67085 Strasbourg Cedex, France.  
*B. Matthews*, Institute of Molecular Biology, University of Oregon, Eugene, OR 97403-1229, U.S.A.  
*J. H. Miller*, Department of Microbiology, University of California, 405 Hilgard Avenue, Los Angeles, CA 90024, U.S.A.  
*M. F. Moody*, School of Pharmacy, University of London, 29/39 Brunswick Square, London WC1N 1AX, U.K.  
*T. Richmond*, Institut für Molekularbiologie und Biophysik, Eidgenössische Technische Hochschule, Hönggerberg, CH 8093 Zurich, Switzerland.  
*R. Schleif*, Biology Department, Johns Hopkins University, Charles & 34th Streets, Baltimore, MD 21218, U.S.A.  
*N. L. Sternberg*, Central Research & Development Department, E. I. du Pont Nemours & Company, Wilmington, DE 19898, U.S.A.  
*K. R. Yamamoto*, Department of Biochemistry and Biophysics, School of Medicine, University of California, San Francisco, CA 94143-0448, U.S.A.  
*M. Yanagida*, Department of Biophysics, Faculty of Science, Kyoto University, Sakyo-Ku, Kyoto 606, Japan.

## Editorial Office

*G. Harris*, Journal of Molecular Biology, 10d St Edwards Passage, Cambridge CB2 3PJ, U.K.

---

JOURNAL OF MOLECULAR BIOLOGY: ISSN 0022-2836. Volumes 211-216, 1990, published twice a month on the 5th and 20th by Academic Press at 24-28 Oval Road, London NW1 7DX, England. Annual subscription price including postage: £768 U.K. and U.S.\$1464 overseas. Personal subscription rate: £233 U.K. and U.S. \$350 overseas. Subscription orders should be sent to Academic Press Limited, Foots Cray, Sidcup, Kent DA14 5HP, U.K. (Tel: 081-300 3322). Send notices of changes of address to the publisher at least 6-8 weeks in advance, including both old and new addresses.

Second class postage rate paid at Jamaica, NY 11431, U.S.A.

Air freight and mailing in the U.S.A. by Publications Expediting Inc., 200 Meacham Avenue, Elmont, NY 11003, U.S.A.

U.S.A. POSTMASTERS: send change of addresses to JOURNAL OF MOLECULAR BIOLOGY, c/o Publications Expediting, Inc., 200 Meacham Avenue, Elmont, NY 11003, U.S.A.

Printed in U.K.

# Framework Residue 71 is a Major Determinant of the Position and Conformation of the Second Hypervariable Region in the $V_H$ Domains of Immunoglobulins

Anna Tramontano<sup>1</sup>, Cyrus Chothia<sup>2,3</sup> and Arthur M. Lesk<sup>1,2</sup>

<sup>1</sup>European Molecular Biology Laboratory  
Meyerhofstrasse 1  
Postfach 1022.09  
6900 Heidelberg, F.R.G.

<sup>2</sup>MRC Laboratory of Molecular Biology  
Cambridge, CB2 2QH, U.K.

<sup>3</sup>Christopher Ingold Laboratory  
University College London  
20 Gordon Street  
London WC1H 0AJ, U.K.

(Received 2 January 1990; accepted 18 May 1990)

Analysis of the immunoglobulins of known structure reveals systematic differences in the position and main-chain conformation of the second hypervariable region of the  $V_H$  domain (H2). We show that the major determinant of the position of H2 is the size of the residue at site 71, a site that is in the conserved framework of the  $V_H$  domain. It is likely that for about two thirds of the known  $V_H$  sequences the size of the residue at this site is also a major determinant of the conformation of H2. This effect can override the predisposition of the sequence, as in the case of the H2 loop of J539, which is an exception to the rules relating sequence and conformation of short hairpin loops. Understanding the relationship between the residue at position 71 and the position and conformation of H2 has applications to the prediction and engineering of antigen-binding sites of immunoglobulins.

## 1. Introduction

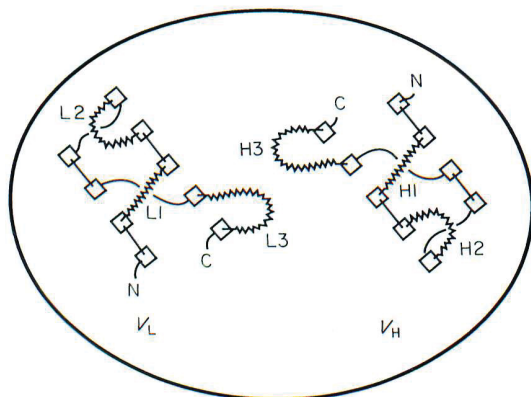
Immunoglobulins are multi-domain proteins consisting of two chains, a light chain with one variable ( $V_L$ †) and one constant domain, and a heavy chain containing one variable domain ( $V_H$ ) and three constant domains. The antigen-binding site is formed by six loops, three from the  $V_L$  and three from the  $V_H$  domains. Figure 1 shows a simplified view of the antigen-binding site, indicating the relative positions of the loops. The variability of the residues in the antigen-binding site gives rise to the

high range of specificity achieved by antibodies (Wu & Kabat, 1970; Kabat *et al.*, 1987).

The atomic structures of several immunoglobulin fragments have been determined by X-ray crystallography (Davies & Metzger, 1983; Alzari *et al.*, 1988). They show that all the domains have a very similar folding pattern: two  $\beta$ -sheets packed face to face. A core of the double  $\beta$ -sheet structure, called the framework, has a very similar conformation in different variable domains because of the conservation of internal residues and the requirements of internal packing. The residues that form the interface between the  $V_L$  and  $V_H$  domains are also strongly conserved.

These results have led to the view that the framework structure plays an essentially passive role in the structural variation that occurs in the antigen-binding site.

† Abbreviations used:  $V_H$ , variable domain of immunoglobulin heavy chains;  $V_L$ , variable domain of immunoglobulin light chains; H2, second hypervariable loop of heavy chain; r.m.s., root-mean-square;  $\alpha_R$ , right-handed  $\alpha$ -helical conformation;  $\alpha_L$ , left-handed  $\alpha$ -helical conformation.



**Figure 1.** Outline structure of the antigen-binding site. The site is formed by 6 loops of polypeptide ( $\omega$ ) linked to strands in  $\beta$ -sheets ( $\square$ ).

In the immunoglobulins of known structure the conformations of the second hypervariable region in  $V_H$  (H2) differ. The position of the H2 with respect to the conserved framework is also variable. For example, in the  $V_H$  domains of immunoglobulins J539 and HyHEL-5, the H2 regions have the same number of residues. If the framework structures are superimposed, the  $C^\beta$  atoms in residue 53, at the tip of H2, are found to differ in position by 6.3 Å (1 Å = 0.1 nm). Here, we show that the variations in two structural features of H2, its position and its conformation, are coupled, and that they depend in large part on the nature of the amino acid residue that occupies position 71 in the heavy-chain framework.

Figure 2 shows the general structural context of H2 within the  $V_H$  domain.

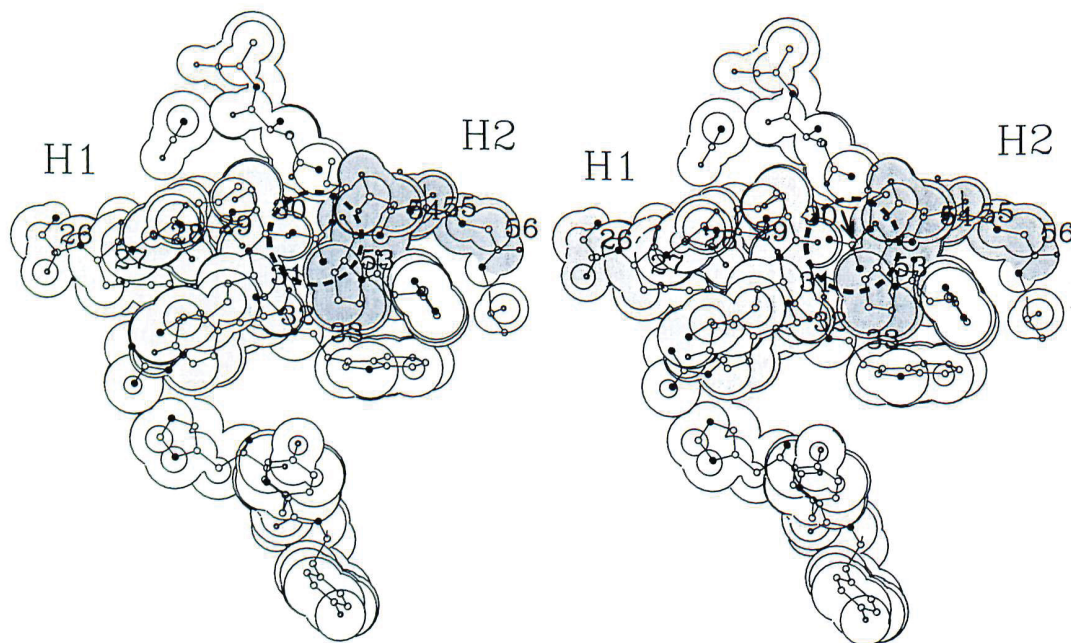
## 2. Co-ordinates and Calculations

Protein structures used in this work are listed in Table 1. The atomic co-ordinates of these structures are distributed by the Protein Data Bank (Bernstein *et al.*, 1977), except for the refined co-ordinates of J539 which are a private communication from Drs E. A. Padlan and D. R. Davies. The structures were displayed using Insight (Dayringer *et al.*, 1986) on an Evans & Sutherland PS390. Programs written by A.M.L. (Lesk, 1986) were used for analysis of the structures and database searching.

Throughout the paper residue numbers refer to the heavy-chain numbering scheme of Kabat *et al.* (1987). In  $V_H$  domains, the conserved  $\beta$ -sheet framework consists of residues 3 to 12, 17 to 25, 33 to 52, 56 to 60, 68 to 82, 88 to 95 and 102 to 112 (Chothia & Lesk, 1987). These residues were used in the superpositions of  $V_H$  domains.

## 3. The Conformations of H2 Loops

In  $V_H$  sequences the second hypervariable region consists of a  $\beta$ -hairpin, comprising residues 50 through 65 (Wu & Kabat, 1970; Kabat *et al.*, 1987). In the known  $V_H$  structures the main-chain conformations of residues 50 to 52 and 56 to 65 are the same: for high-resolution well-refined structures the backbone atoms of residues 50 to 52 and 56 to 64 fit with a root-mean-square (r.m.s.) deviation between 0.4 and 0.7 Å. This region is illustrated in Figure 3:



**Figure 2.** The structural context of H2 within the  $V_H$  domain of Fab J539. H2 is shaded relatively darkly, H1 is shaded relatively lightly. The thick broken circle indicates the guanidinium group of Arg371.

**Table 1**  
*Immunoglobulin heavy chain variable domains of known atomic structure*

Molecule	H2 sequence	Residue 71	Reference
NEWM	Y H G	V	Saul <i>et al.</i> (1978)
HyHEL-10	Y S G	R	Padlan <i>et al.</i> (1989)
HyHEL-5	P G S G	A	Sheriff <i>et al.</i> (1987)
KOL	D D G S	R	Marquart <i>et al.</i> (1980)
J539	P D S G	R	Suh <i>et al.</i> (1986)
McPC603	N K G N K Y	R	Satow <i>et al.</i> (1986)
4-4-20	N K P Y N Y	R	Herron <i>et al.</i> (1989)

The H2 residues are those between positions 52 and 56 (see text).

the main-chain atoms of residues 56 to 60 form hydrogen bonds to those of residues 48 to 52 to form a  $\beta$ -hairpin. Sequence variations in these residues have little or no effect on the main-chain conformation, because the side-chains are on the surface. The turn that links these two strands, comprising residues 52a to 55 or 53 to 55, we refer to as the H2 region. In the known structures it differs in length and conformation.

Hairpin structures have been classified according to their length and conformation (Venkatachalam, 1968; Efimov, 1986; Sibanda & Thornton, 1985; Sibanda *et al.*, 1989). Particular conformations are usually associated with characteristic sequence patterns. The positions of Gly, Asn, Asp and Pro residues are important because these residues allow main-chain conformations that in other residues cause strain.

(a) *Three-residue H2 regions*

In NEWM and HyHEL-10, the H2 loop is a three-residue hairpin, residues 53 to 55. The NEWM H2 loop is shown as conformation 1 in Figure 3. The usual sequence requirement for this conformation is a Gly (or Asn or Asp) at the third position (residue 55), which can take up a ++ conformation (that is,

$\phi > 0, \psi > 0$ ) (Sibanda *et al.*, 1989). Both NEWM and HyHEL-10 have a glycine at this position:

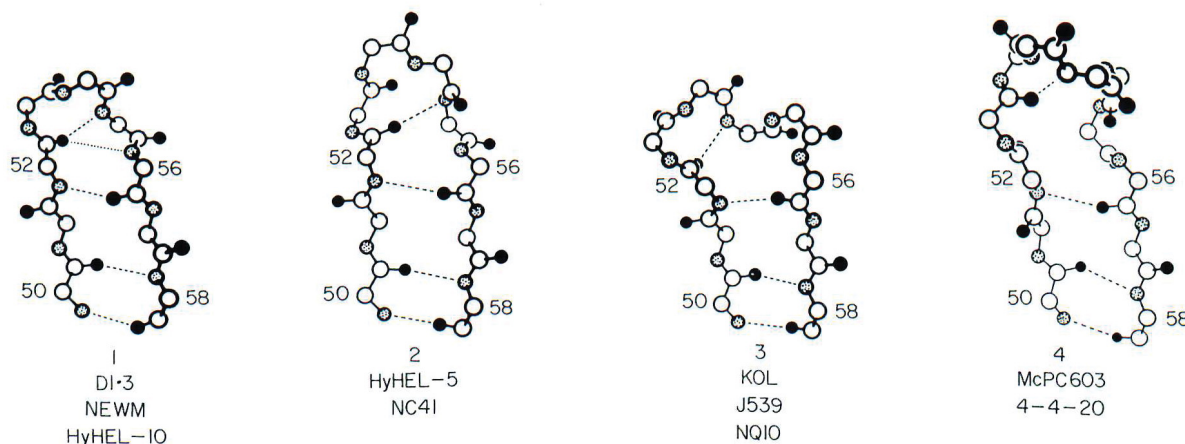
	53	54	55	71
NEWM	Tyr	His	Gly	Val
HyHEL-10	Tyr	Ser	Gly	Arg

and in both cases the Gly is in a ++ conformation.

(b) *Four-residue H2 regions*

The H2 loop of HyHEL-5 is a four-residue hairpin, residues 52a to 55. This is shown as conformation 2 in Figure 3. The conformation is close to the one most commonly observed in four-residue turns, in which the first three residues are in an  $\alpha_R$  conformation and the fourth in an  $\alpha_L$  conformation. These turns normally require Gly in the fourth position (Efimov, 1986; Sibanda & Thornton, 1985; Sibanda *et al.*, 1989), as observed in HyHEL-5.

The H2 regions in KOL and J539 form four-residue turns with a conformation different from HyHEL-5. They both have the third residue (54) in the  $\alpha_L$  conformation and the first, second and fourth in the  $\alpha_R$  conformation. This is shown as conformation 3 in Figure 3.



**Figure 3.** The main-chain conformations of the 2nd hypervariable region in  $V_H$  domains in the immunoglobulins of known structure. The conformations are numbered 1 to 4. The immunoglobulins in which these conformations are found are listed under each number.

**Table 2**  
Results of a database search for main-chain conformations the same as that of the H2 loop of KOL

$\Delta$ (Å)	Molecule (Protein Data Bank code)	Starting residue	Sequence			
0.18	Rhizopuspepsin (3APR)	145	S	Q	G	L
0.19	Subtilisin Carlsberg (2SEC)	10	L	I	K	A
0.22	Ribonuclease A (7RSA)	32	S	R	N	L
0.22	Pepsinogen (1PSG)	142	D	Q	G	L
0.22	434 repressor protein (1R69)	35	E	N	G	K
0.23	Calmodulin (3CLN)	57	A	D	G	N
0.24	Calmodulin (3CLN)	21	K	D	G	D
0.28	Adenylate kinase (3ADK)	166	K	R	G	I
0.29	Fab J539	353	P	D	S	G
0.29	Cytochrome c551 (451C)	9	N	K	G	C

$\Delta$ , root-mean-square deviation of N, C $^\alpha$ , C and O atoms of residues 53 to 56 of the V<sub>H</sub> domain of KOL and well-fitting regions from other known structures.

This type of turn has not been described previously, but we find that it occurs fairly often in proteins. We searched the database of solved structures for regions similar in main-chain conformation to the H2 loop of KOL. Table 2 lists the closest matches: ten loops, including J539 H2, for which the r.m.s. difference in position of main-chain atoms is less than 0.3 Å. There are 61 such loops with r.m.s. deviation less than 0.5 Å. For KOL and J539 H2 and the nine best-fitting non-homologous loops, the average values of the conformational angles and their standard deviations are:

Angle	$\phi_1$	$\psi_1$	$\phi_2$	$\psi_2$	$\phi_3$	$\psi_3$	$\phi_4$	$\psi_4$
Mean (deg.)	-61	-35	-95	77	65	22	-78	-18
Standard deviation (deg.)	12	8	12	14	11	11	13	12

Of the nine loops in Table 2, excluding J539, seven have a Gly in the third position, like KOL, one has Asn and one has Lys. Of all the loops with r.m.s. deviation less than 0.5 Å, none is like J539 in having Gly at only the fourth position.

These results show that H2 in J539 is an exception to the rules relating sequence and structure in short hairpins. Both HyHEL-5 and J539 have Gly in the fourth position of the loop:

	52a	53	54	55
KOL	Asp	Asp	Gly	Ser
J539	Pro	Asp	Ser	Gly
HyHEL-5	Pro	Gly	Ser	Gly

The position of Gly in J539 should imply a conformation of H2 similar to that of HyHEL-5. Instead the conformation observed in J539 is the same as in KOL (see Fig. 4(b) and Fig. 5(a)). The r.m.s. deviation in the position of the H2 main-chain atoms in J539 and HyHEL-5 is 1.9 Å; for J539 and KOL it is 0.3 Å. The residues of H2 in J539 make no non-bonded contacts to residues other than those in H1 and Arg71 and Asn73 (see Fig. 2).

#### (c) Six-residue H2 regions

In McPC603 and 4-4-20, the H2 loops are six-residue hairpins. Their conformations are similar

(see Fig. 5(b)). The r.m.s. deviation of all N, C $^\alpha$ , C and O atoms is 0.96 Å. The McPC603 H2 loop is shown as conformation 4 in Figure 3. The sequences in these regions are:

	52a	52b	52c	53	54	55	71
McPC603	Asn	Lys	Gly	Asn	Lys	Tyr	Arg
4-4-20	Asn	Lys	Pro	Tyr	Asn	Tyr	Arg

In both structures residue 54 is in the  $\alpha_L$  conformation. In the other V<sub>H</sub> sequences with six-residue

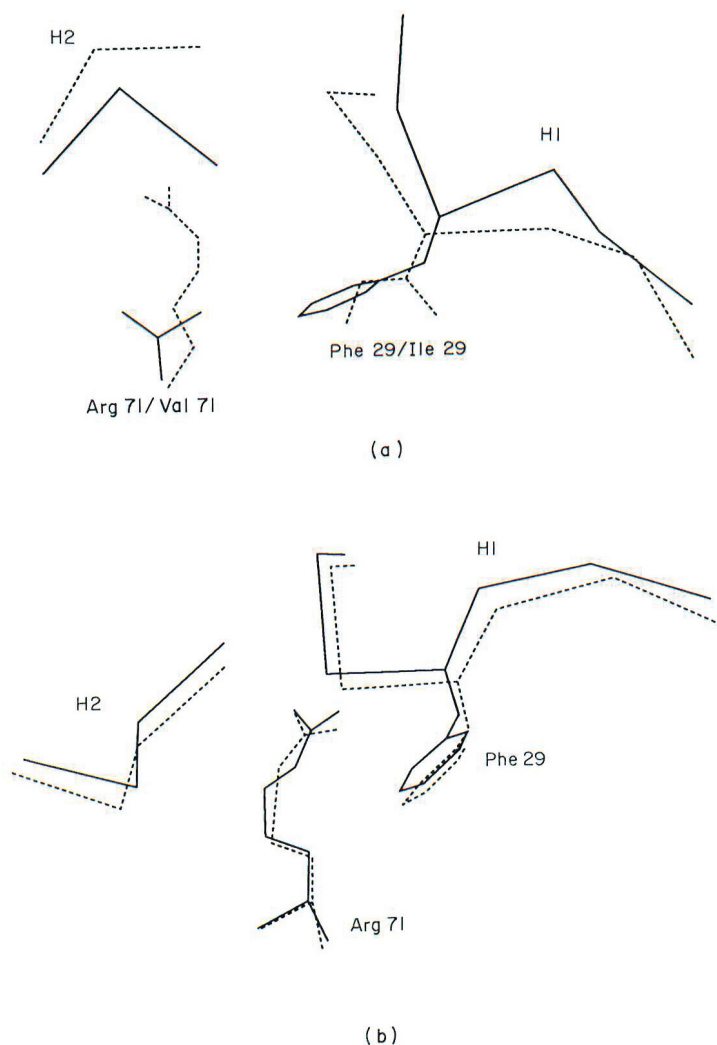
H2 loops, the residues found at this position are Gly, Asn or Asp (Kabat *et al.*, 1987). It is interesting to note in this context that the Lys at position 54 in McPC603 is the result of a somatic mutation from a germ-line gene that contains a Gly.

#### The Interactions of H2 with the Framework

Examination of the interactions of the H2 loops with the rest of the V<sub>H</sub> domain shows that the determinants of the conformations of four-residue H2 loops are not entirely within the sequence of the loop itself, but involve the packing of the loop against the rest of the structure.

In Figures 4 and 5 we show, for pairs of antibodies of known structure, the relative positions of the H1 and H2 loops and the contacts made by certain side-chains. The relative positions of these loops in these Figures are those induced by the superposition of the framework structures. The Figures show that the H1 loops occupy rather similar positions with respect to the framework in all the known structures. But the positions of the H2 loops are in some cases very different. These differences are related to the size of the residue at position 71.

KOL and J539 have four-residue H2 loops in very similar positions and conformations (Fig. 4(b)). The residue at position 71 is Arg in both structures. The



**Figure 4.** The relative positions of the H1 and H2 hypervariable regions and of framework residue 71, in different pairs of immunoglobulins. The H1 and H2 regions are represented by their C $\alpha$  atoms. The positions shown here are those found after the superposition of the V<sub>H</sub> framework residues (see text). (a) NEWM (continuous lines) and HyHEL-10 (broken lines). (b) KOL (continuous lines) and J539 (broken lines).

side-chains of these arginine residues are buried. They form hydrogen bonds to main-chain atoms of residues in the H1 and H2 loops and pack against the Phe at position 29.

The superposition of J539 and HyHEL-5 shown in Figure 5(a) illustrates the case of two immunoglobulin structures with H2 loops of the same length but different conformation and position. In J539, in which residue 71 is an Arg, residue Pro52a in the H2 loop is on the surface. In HyHEL-5, in which residue 71 is an Ala, Pro52a is buried, filling the cavity that would be created by the absence of a long side-chain at position 71. The manner in which these H2 loops pack against the rest of the V<sub>H</sub> domain explains why the H2 region of J539 does not have the conformation that we would expect from Gly at position 56. If it did have the expected conformation, like that in HyHEL-5, the Pro52a side-chain would occupy the same space as the side-chain of Arg71 (Fig. 5(a)). The set of torsion angles

that move the side-chain of Pro52a away from Arg71 require an H2 conformation different from that in HyHEL-5.

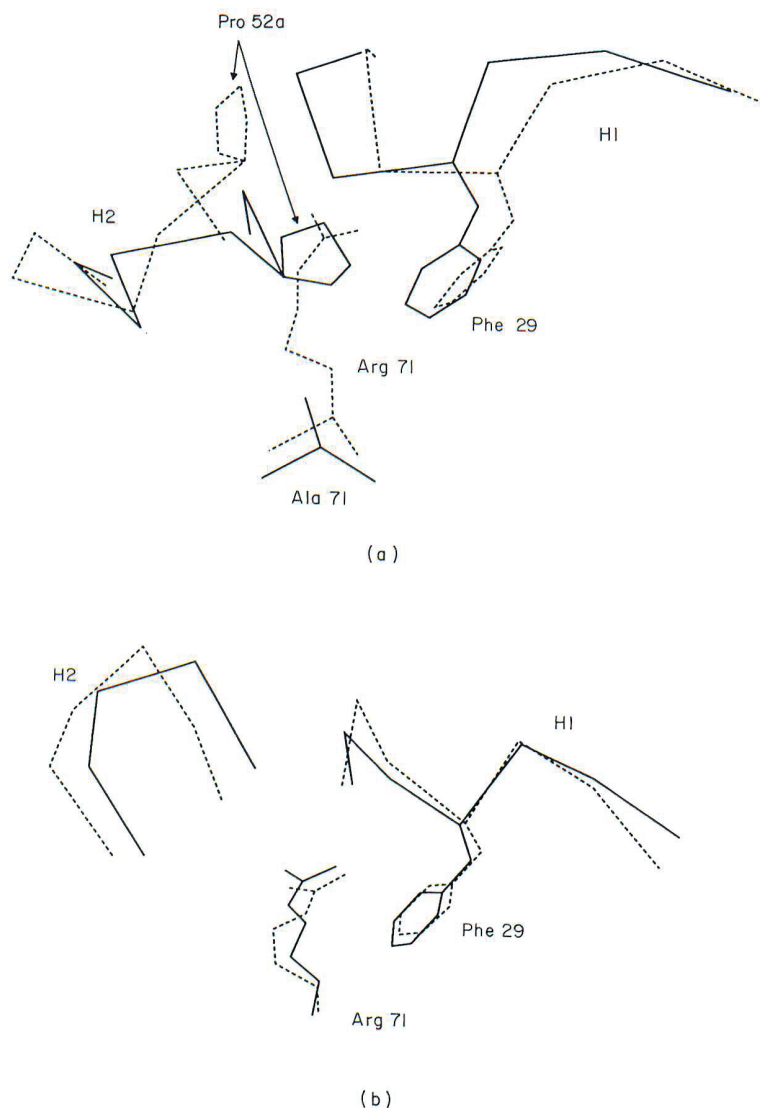
In both McPC603 and 4-4-20, H2 is a six-residue turn, and residue 71 is an Arg. In McPC603 Arg71 has its side-chain buried, and is hydrogen bonded to the main-chain of H1 and H2, as in KOL and J539 (Fig. 5(b)). The Tyr at the sixth position (55) packs against Arg71.

## 5. The Role of Residue 71

These observations can be summarized as follows.

(1) Position 71 contains a small or medium-sized residue. For three and four-residue H2 loops the residue at position 53/52a packs against residues at positions 71 and 29. This brings the H1 and H2 loops close together and puts four-residue H2 loops in conformation 2 (Fig. 3).

(2) Residue 71 is an arginine. The side-chain of



**Figure 5.** The relative positions of the H1 and H2 hypervariable regions and of framework residue 71, in pairs of known immunoglobulin structures. The H1 and H2 regions are represented by their C $\alpha$  atoms. The positions shown here are those found after the superposition of the V<sub>H</sub> framework residues (see text). (a) HyHEL-5 (continuous lines) and J539 (broken lines); (b) McPC603 (continuous lines) and 4-4-20 (broken lines).

the arginine is buried between H1 and H2, and forms hydrogen bonds with the main-chain in both loops. The H2 loop is displaced from H1 with residue 52a on the surface. Four-residue H2 loops have conformation 3 (Fig. 3).

In Fab NC41 residue 71 is a Leu, intermediate in size. In the structure of the Fab NC41-neuraminidase complex (Colman *et al.*, 1987; Chothia *et al.*, 1989), H2 has the HyHEL-5 conformation. Residue 52a in NC41 is a Thr, smaller than the Pro at the corresponding position in HyHEL-5; as a result the shift in H2 produced by the Leu is reduced.

For six-residue H2 loops we have information for McPC603 and 4-4-20, in which residue 71 is Arg. All known V<sub>H</sub> sequences that contain six-residue H2 loops have Arg at position 71 (see below).

## 6. Applications to Structure Prediction. The H2 Regions in Immunoglobulins of Unknown Structure

To see if the results reported here are useful for predicting the structures of antigen-binding sites of immunoglobulins we must find out whether the determinants of the known conformations are commonly present in sequences of V<sub>H</sub> domains of unknown structure. Kabat *et al.* (1987) have collected the known immunoglobulin sequences. We found in this collection 302 V<sub>H</sub> sequences for which all, or almost all, residues in the region 50 to 75 are known. Of these sequences, 54 are from humans and 248 are from mice.

There are 47 sequences with three-residue H2 regions. All these have Gly or Asp at position 55.



This implies that they have conformations similar to that of H2 in NEWM and HyHEL-10: an implication supported by the prediction of the conformation of the H2 region in D1.3 (Chothia *et al.*, 1986). At position 71, Arg or Lys occurs in 43 sequences and Val or Leu in four.

There are 194 sequences with four-residue H2 regions. Of these, 35 sequences have Arg or Lys at position 71 and Gly, Asn or Asp at position 54. For these we have the clear expectation that the H2 regions have conformation 3 of Figure 3 and a position close to that found in KOL and J539. Another 99 sequences have Pro at position 52a; Gly, or in a few cases Asn or Asp, at position 55; and Val, Leu or Ala at 71. Again we have the clear expectation these domains have H2 loops in conformation 2 of Figure 3 and in a position like that of HyHEL-5.

Most of the 41 other four-residue H2 regions do not have a Gly, Asn or Asp at either position 54 or 55. The expectation that these have conformations like that in KOL/J539 or HyHEL-5, depending on the residue at position 71, is more tentative. The structure of Fab NQ10 has recently been determined (Spinelli *et al.*, unpublished results). In NQ10, the sequence of H2 is S-G-S-S, with Arg in position 71 (Berek *et al.*, 1985). The occurrence of Gly at the second position of a four-residue hairpin is very unusual; it does not occur in any of the loops surveyed by Chothia & Lesk (1987) and Sibanda *et al.* (1989). (KOL has Gly at the third position of the loop; and HyHEL-5 has Gly at the fourth position of the loop). The conformation and position of H2 in NQ10 are the same as in KOL: The r.m.s. deviation of all N, C $\alpha$ , C and O atoms of H2 is 0.39 Å; the r.m.s. deviation of all N, C $\alpha$ , C and O atoms of H1 and H2 together is 0.43 Å (Chothia *et al.*, 1989). This then confirms the importance of the residue at position 71 in determining the conformation of the loop in these cases.

There are 61 sequences with six-residue H2 regions. All have Tyr at position 55, Arg at 71 and all but two have Gly, Asn or Asp at 54. The conservation at these sites suggests these H2 regions have conformations close to that in McPC603 and 4-4-20.

## 7. Applications to Antibody Engineering

The ability to transplant hypervariable regions of non-human origin to human frameworks is of medical importance (Reichmann *et al.*, 1988). For the binding site of the synthetic product to be the same as that in the original antibody, the frameworks should have the same residues at those sites important for the positions and conformations of the hypervariable regions. However, binding sites do have a limited intrinsic flexibility. The main-chain portions of close-packed segments of proteins can move relative to each other by 1 to 2 Å, with little expenditure of energy (Chothia *et al.*, 1983), and the apices of loops may well be able to move by larger amounts. Thus the effect on antigen binding of changing the conformation, or the position and

orientation, of a hypervariable region will depend upon whether the region is involved in binding and, if it is, on how much energy is required for the structural readjustments necessary to form the correct interactions. The most serious effects will occur when the framework contains a large residue, rather than a small one, as compression energies are large.

Jones *et al.* (1986) transplanted the antigen-binding loops from the heavy chain of a mouse antibody on to the framework of a human one. They observed that the synthetic product, when bound to the original mouse light chain, had the same affinity for the hapten as the original mouse antibody. Inspection of the two sequences used by Jones *et al.* (1986) shows that both the mouse and human antibodies have Val at position 71, and therefore we should expect the four-residue H2 loop from the mouse antibody to retain its conformation and position on transfer to the human framework.

Verhoeven *et al.* (1988) transferred the hypervariable regions of the heavy chain of the mouse anti-lysozyme antibody D1.3 to the framework of the human antibody NEWM. An affinity for lysozyme was retained, although reduced approximately tenfold. Both D1.3 and NEWM contain a Gly at position 55 of the heavy chain; at position 71 D1.3 contains Lys and NEWM contains Val. This would suggest that in the synthetic antibody H2 has the correct conformation but is displaced from the position in D1.3. In the D1.3-lysozyme complex, the contacts made by H2 (residues 53 to 55) to the antigen involve residues Gly53 and Asp54 (Amit *et al.*, 1986). We cannot determine to what extent the slight loss of affinity by the synthetic antibody is associated with the molecular readjustments required to retain these contacts.

Reichmann *et al.* (1988) reshaped an antibody by transplanting all six hypervariable regions from a rat antibody on to a human framework for both  $V_L$  and  $V_H$  domains. In this case H2 had six residues, as does McPC603. The parent rat antibody has Arg at position 71, but the human framework has Val. There is no known  $V_H$  sequence with the combination a six-residue H2 and Val at position 71 (see above). The synthetic antibody has an affinity close to that of the rat original. Whether this is because the cavity created by the smaller residue does not significantly affect the conformation of the six-residue H2, or because this H2 makes only a marginal contribution to affinity, is unclear.

## 8. Conclusion

Previously we reported that framework residues are an important determinant of the conformation of first hypervariable region of  $V_L$  (Lesk & Chothia, 1982; Chothia & Lesk, 1987). In that case the nature of the framework residues is related directly to the class of the light chain:  $\kappa$  or  $\lambda$ . The analysis presented here demonstrates that a framework residue plays a major role in determining position

and conformation of a hypervariable region *within one class* of domains, the  $V_H$ .

We have also found a clear exception to the rules that relate the sequences and conformations of hairpin loops. The H2 region in J539 adopts a conformation stabilized by tertiary interactions that override the predisposition of its sequence pattern. A prediction of the conformation of this loop, based only on the local sequence, would be incorrect.

The results reported here will help in understanding the molecular mechanisms involved in the generation of antibody diversity, extend the rules governing sequence-structure correlations in short hairpins, and, together with our previous analysis of the other hypervariable regions (Chothia & Lesk, 1987), improve the accuracy of predicted immunoglobulin structures.

### References

- Alzari, P. M., Lascombe, M.-B. & Poljak, R. J. (1988). *Annu. Rev. Immunol.* **6**, 555-580.
- Amit, A. G., Mariuzza, R. A., Phillips, S. E. V. & Poljak, R. J. (1986). *Science*, **233**, 747-753.
- Berek, C., Griffiths, G. M. & Milstein, C. (1985). *Nature (London)*, **316**, 412-418.
- Bernstein, F. C., Koetzle, T. F., Williams, G. J. B., Meyer, E. F., Jr, Brice, M. D., Rodgers, J. R., Kennard, O., Shimanouchi, T. & Tasumi, M. (1977). *J. Mol. Biol.* **112**, 535-542.
- Chothia, C. & Lesk, A. M. (1987). *J. Mol. Biol.* **196**, 901-917.
- Chothia, C., Lesk, A. M., Dodson, G. G. & Hodgkin, D. C. (1983). *Nature (London)*, **302**, 500-505.
- Chothia, C., Lesk, A. M., Levitt, M., Amit, A. G., Mariuzza, R. A., Phillips, S. E. V. & Poljak, R. J. (1986). *Science*, **233**, 755-758.
- Chothia, C., Lesk, A. M., Tramontano, A., Levitt, M., Smith-Gill, S. J., Air, G., Sheriff, S., Padlan, E. A., Davis, D., Tulip, W. R., Colman, P. M., Spinelli, S., Alzari, P. M. & Poljak, R. J. (1989). *Nature (London)*, **347**, 882-883.
- Colman, P. M., Laver, W. G., Varghese, J. N., Baker, A. T., Tulloch, P. A., Air, G. M. & Webster, R. G. (1987). *Nature (London)*, **326**, 358-362.
- Davies, D. R. & Metzger, H. (1983). *Annu. Rev. Immunol.* **1**, 87-117.
- Dayringer, H. E., Tramontano, A., Sprang, S. R. & Fletterick, R. J. (1986). *J. Mol. Graphics*, **4**, 82-87.
- Efimov, A. V. (1986). *Mol. Biol. (U.S.S.R.)*, **20**, 208-216.
- Herron, J. N., He, X.-M., Mason, M. I., Voss, E. W. & Edmondson, A. B. (1989). *Proteins*, **5**, 271-286.
- Jones, P. T., Dear, P. H., Foote, J., Neuberger, M. & Winter, G. (1986). *Nature (London)*, **321**, 522-525.
- Kabat, E. A., Wu, T. T., Reid-Miller, M., Perry, H. M. & Gottesman, K. S. (1987). *Sequences of Proteins of Immunological Interest*, 4th edit., Public Health Service, N.I.H. Washington, DC.
- Lesk, A. M. (1986). In *Biosequences: Perspectives and User Services in Europe* (Saccone, C., ed.), pp. 23-28, EEC, Bruxelles.
- Lesk, A. M. & Chothia, C. (1982). *J. Mol. Biol.* **160**, 325-342.
- Marquart, M., Deisenhofer, J., Huber, R. & Palm, W. (1980). *J. Mol. Biol.* **141**, 369-391.
- Padlan, E. A., Silverton, E. W., Sheriff, S., Cohen, G. H., Smith-Gill, S. J. & Davies, D. R. (1989). *Proc. Nat. Acad. Sci., U.S.A.* **86**, 5938-5942.
- Reichmann, L., Clark, M., Waldmann, H. & Winter, G. (1988). *Nature (London)*, **332**, 323-327.
- Satow, Y., Cohen, G. H., Padlan, E. A. & Davies, D. R. (1986). *J. Mol. Biol.* **190**, 593-604.
- Saul, F., Amzel, L. M. & Poljak, R. J. (1978). *J. Biol. Chem.* **253**, 585-597.
- Sheriff, S., Silverton, E. W., Padlan, E. A., Cohen, G. H., Smith-Gill, S. J., Finzel, B. C. & Davies, D. R. (1987). *Proc. Nat. Acad. Sci., U.S.A.* **84**, 8075-8079.
- Sibanda, B. L. & Thornton, J. M. (1985). *Nature (London)*, **317**, 170-174.
- Sibanda, B. L., Blundell, T. L. & Thornton, J. M. (1989). *J. Mol. Biol.* **206**, 759-777.
- Suh, S. E., Bhat, T. N., Navia, M. A., Cohen, G. H., Rao, D. N., Rudikoff, S. & Davies, D. R. (1986). *Proteins*, **1**, 74-80.
- Venkatachalam, C. (1968). *Biopolymers*, **6**, 1426-1436.
- Verhoeyen, M., Milstein, C. & Winter, G. (1988). *Science*, **239**, 1534-1536.
- Wu, T. T. & Kabat, E. A. (1970). *J. Exp. Med.* **132**, 211-250.

Edited by A. Fersht