	TABLE	1	CDR-GRAFTED	GENE CONSTRUCTS				
	CODE MOUSE SEQUENCE			METHOD OF	KOZA	К		
		CONTEN	NT		CONSTRUCTION	SEQU	SEQUENCE	
							+	
	-41=2.							
	LIGHT	CHAIN	ALL HUMAN FR	AMEWORK RE1				
	121	26-32,	, 50-56, 91-96	inclusive	SDM and gene assembly	+	n.d.	
	121A	26-32	50-56, 91-96	inclusive	Partial gene assembly	n.d.	+	
		+1, 3,	, 46, 47					
	121B	26-32,	, 50-56, 91-96	inclusive	Partial gene assembly	n.d.	+	
		+ 46,	47					
	221	24-24,	, 50-56, 91-96	inclusive	Partial gene assembly	+	+	
	221A	24-34,	, 50-56, 91-96	inclusive	Partial gene assembly	+	+	
		+1, 3,	, 46, 47					
	221B	24-34,	, 50-56, 91-96	inclusive	Partial gene assembly	+	+	
		+1, 3						
	221C	24-34,	, 50-56, 91-96	inclusive	Partial gene assembly	+	+	
	HEAVY	CHAIN	ALL HUMAN F	RAMEWORK KOL				
	121	26-32,	50-56, 95-10	OB inclusive	Gene assembly	n.d.	÷	
	131	26-32,	, 50-58, 95-10	OB inclusive	Gene assembly	n.d.	+	
	141	26-32,	50-65, 95-10	OB inclusive	Partial gene assembly	+	n,d.	
	321	26-35,	50-56, 95-10	OB inclusive	Partial gene assembly	+	n.d.	
	331	26-35,	50-58, 95-10	OB inclusive	Partial gene assembly	+		
					Gene assembly		+	
	341	26-35,	, 50-65, 95-10	OB inclusive	SDM	+		
					Partial gene assembly		+	
	341A	26-35,	, 50-65, 95-10	OB inclusive	Gene assembly	n.d.	+	
		+6, 23	3, 24, 48, 49,	71, 73, 76,				
	(78 88 91 (+63 - human) (SER +9 00.8-28)							
	341B			OB inclusive	Gene assembly	n.d.	+	
		+ 48,	49, 71, 73, 7	6, 78, 88, 91				
		(+63 +	+ human)					
	KEY							
	n.d, SDM		not done Site directed mutagenesis					
	Gene assembly Variable region assembled entirely from oligonucleo					otides		
		al gene	Variable region assembled by combination of restriction					
	assembly		fragments either from other genes originally created by SDM and gene assembly or by oligonucleotide assembly of part of					
			the variable	region and reco	nstruction with restric	ction		
			fragments from	m other genes o	riginally created by SI	JM and	gene	



- 14. EXPRESSION OF CDR-GRAFTED GENES
- 14.1. PRODUCTION OF ANTIBODY CONSISTING OF GRAFTED LIGHT (gL) CHAINS WITH MOUSE HEAVY (mH) OR CHIMERIC HEAVY (cH) CHAINS

  All gL chains, in association with mH or cH produced reasonable amounts of antibody.

  Insertion of the Kozak consensus sequence at a position 5' to the ATG (kgL constructs) however, led to a 2-5 fold improvement in net expression.

  Over an extended series of experiments expression levels were raised from approximately 200ng/ml to approximately 500 ng/ml for kgL/cH or kgL/mH combinations.

When direct binding to antigen on HUT 78 cells was measured, a construct designed to include mouse sequence based on loop length (qL121) did not lead to active antibody in association with mH or cH. A construct designed to include mouse sequence based on Kabat CDRs (gL221) demonstrated some weak binding in association with mH or cH. when framework residues 1, 3, 46, 47 were changed from the human to the murine OKT3 equivalents based on the arguments outlined in Section 12.1 antigen binding was demonstrated when both of the new constructs, which were termed 121A and 221A were co-expressed with cH. When the effects of these residues were examined in more detail, it appears that residues 1 and 3 are not major contributing residues as the product of the gL221B gene shows little detectable binding activity in The light chain product of gL221C, in which mouse sequences are present at 46 and 47, shows good binding activity in association with cH.

(1

X

V



14.2 PRODUCTION OF ANTIBODY CONSISTING OF GRAFTED HEAVY

(gH) CHAINS WITH MOUSE LIGHT (mL) OR CHIMERIC

LIGHT (cL) CHAINS

Expression of the gH genes proved to be more

difficult to achieve than for gL. First,

inclusion of the Kozak sequence appeared to have

no marked effect on expression of gH genes.

Expression appears to be slightly improved but not

to the same degree as seen for the grafted light

chain.

Also, it proved difficult to demonstrate

production of expected quantities of material when the loop choice (amino acid 26-32) for CDR1 is used, e.g. gH121, 131, 141 and no conclusions can be drawn about these constructs.

Moreover, co-expression of the gH341 gene, with cL or mL has been variable and has tended to produce lower amounts of antibody than the cH/cL or mH/mL combinations. The alterations to gH341 to produce gH341A and gH341B lead to improved levels of expression.

This may be due either to a general increase in the fraction of mouse sequence in the variable region, or to the alteration at position 63 where the residue is returned to the human amino acid Valine (Val) from Phenylalanine (Phe) to avoid possible internal packing problems with the rest of the human framework. This arrangement also occurs in gH331 and gH321.

When gH321 or gH331 were expressed in association with cL, antibody was produced but antibody binding activity was not detected. (SEQ ID NOII) When the more conservative gH341 gene was used antigen binding could be detected in association with cL or mL, but the activity was only marginally above the background level.

X





When further mouse residues were substituted based on the arguments in 12.1, antigen binding could be clearly demonstrated for the antibody produced when kg#341A and kg#341B were expressed in association with cL.

14.3 PRODUCTION OF FULLY CDR-GRAFTED ANTIBODY The kgL221A gene was co-expressed with kgH341, kgH341A or kgH341B. For the combination kgH221A/kgH341 very little material was produced in a normal COS cell expression. For the combinations kgL221A/kgH341A or kgH221A/kgH341B amounts of antibody similar to gL/cH was produced. In several experiments no antigen binding activity could be detected with kgH221A/gH341 or kgH221A/kgH341 combinations, although expression levels were very low. Antigen binding was detected when kgL221A/kgH341A or kgH221A/kgH341B combinations were expressed. In the case of the antibody produced from the kgL221A/kgH341A combination the antigen binding was very similar to that of the chimeric antibody.

An analysis of the above results is given below.

# 15. DISCUSSION OF CDR-GRAFTING RESULTS In the design of the fully humanised antibody the aim was to transfer the minimum number of mouse amino acids that would confer antigen binding onto

a human antibody framework.

#### 15.1. LIGHT CHAIN

### 15.1.1. EXTENT OF THE CDRs

For the light chain the regions defining the loops known from structural studies of other antibodies to contain the antigen contacting residues, and



those hypervariable sequences defined by Kabat et al (refs. 4 and 5) as Complementarity Determining Regions (CDRs) are equivalent for CDR2. the hypervariable region extends from residues 24-34 inclusive while the structural loop extends (SER ID NOS) from 26-32 inclusive. In the case of OKT3 there is only one amino acid difference between the two options, at amino acid 24, where the mouse sequence is a serine and the human framework RE1 has glutamine. For CDR3 the loop extends from residues 91-96 inclusive while the Kabat hypervariability extends from residues 89-97 inclusive. For OKT3 amino acids 89, 90 and 97 NO. 8 Ard 9 are the same between OKT3 and RE1 (Fig. 3). When constructs based on the loop choice for CDR1 (gL121) and the Kabat choice (gL221) were made and co-expressed with mH or cH no evidence for antigen binding activity could be found for gL121, but trace activity could be detected for the gL221, suggesting that a single extra mouse residue in the grafted variable region could have some detectable effect. Both gene constructs were reasonably well expressed in the transient expression system.

## 15.1.2. FRAMEWORK RESIDUES

The remaining framework residues were then further examined, in particular amino acids known from X-ray analysis of other antibodies to be close to the CDRs and also those amino acids which in OKT3 showed differences from the consensus framework for the mouse subgroup (subgroup VI) to which OKT3 shows most homology. Four positions 1, 3, 46 and 47 were identified and their possible contribution was examined by substituting the mouse amino acid for the human amino acid at each position.

Therefore gL221A (gL221 + D1Q, Q3V, L46R, L47W,

# DOCKET

# Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

# **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

# **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

# **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

### API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

#### **LAW FIRMS**

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

#### **FINANCIAL INSTITUTIONS**

Litigation and bankruptcy checks for companies and debtors.

# **E-DISCOVERY AND LEGAL VENDORS**

Sync your system to PACER to automate legal marketing.

