

Filed on behalf of Patent Owner Genentech, Inc. by:

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UNITED STATES PATENT AND TRADEMARK OFFICE

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**BEFORE THE PATENT TRIAL AND APPEAL BOARD**

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PFIZER, INC., AND  
SAMSUNG BIOEPIS CO., LTD.,  
Petitioners,

v.

GENENTECH, INC.,  
Patent Owner.

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Case IPR2017-01489  
U.S. Patent 6,407,213

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**PATENT OWNER'S THIRD UPDATED MANDATORY NOTICES  
UNDER 37 C.F.R. §42.8**

Patent Owner Genentech, Inc. hereby files third updated mandatory notices pursuant to 37 C.F.R. § 42.8.

**I. REAL PARTY IN INTEREST**

No change.

**II. RELATED MATTERS**

Pursuant to 37 C.F.R. § 42.8(b)(2), Patent Owner identifies the following matters that may relate to U.S. Application No. 08/146,206, which issued as U.S. Patent No. 6,407,213:

- U.S. Patent No. 6,407,213 is the patent at issue in *Boehringer Ingelheim Pharmaceuticals, Inc. v. Genentech, Inc.*, IPR2017-02031, which has been instituted; and
- U.S. Patent No. 6,407,213 is the patent at issue in *Boehringer Ingelheim Pharmaceuticals, Inc. v. Genentech, Inc.*, IPR2017-02032, which has been instituted.

Patent Owner does not concede that any of the above-identified proceedings would affect, or be affected by, a decision in the present *inter partes* review.

**III. COUNSEL**

Pursuant to 37 C.F.R. § 42.8(b)(3), Patent Owner hereby updates counsel as follows.

Lead Counsel:

David L. Cavanaugh, Reg. No. 36,476

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Adam R. Brausa, Reg. No. 60,287

Robert J. Gunther, Jr., *Pro Hac Vice* granted

Daralyn J. Durie, *Pro Hac Vice* granted

Lisa J. Pirozzolo, *Pro Hac Vice* granted

Kevin S. Prussia, *Pro Hac Vice* granted

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#### IV. SERVICE INFORMATION

Pursuant to 37 C.F.R. § 42.8(b)(4)(i), Patent Owner hereby updates electronic mail addresses as follows.

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IPR2017-01489  
Patent Owner's Third Updated Mandatory Notices

Respectfully submitted,

Date: April 2, 2018

By: /David L. Cavanaugh/  
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**CERTIFICATE OF SERVICE**

I hereby certify that, on April 2, 2018, I caused a true and correct copy of the following materials:

- Patent Owner's Third Updated Mandatory Notices Under 37 C.F.R. §42.8

to be served via electronic mail on the following attorneys of record:

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