## Humanization of a mouse anti-human IgE antibody: a potential therapeutic for IgE-mediated allergies

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Mouse mAb TES-C21(C21) recognizes an epitope on human IgE and, therefore, has potential as a therapeutic agent in patients with IgE-mediated allergies such as hay fever, food and drug allergies and extrinsic asthma. The clinical usefulness of mouse antibodies is limited, however, due to their immunogenicity in humans. Mouse C21 antibody was humanized by complementarity determining region (CDR) grafting with the aim of developing an effective and safe therapeutic for the treatment of IgE-mediated allergies. The CDR-grafted, or reshaped human, C21 variable regions were carefully designed using a specially constructed molecular model of the mouse C21 variable regions. A key step in the design of reshaped human variable regions is the selection of the human framework regions (FRs) to serve as the backbones of the reshaped human variable regions. Two approaches to the selection of human FRs were tested: (i) selection from human consensus sequences and (ii) selection from individual human antibodies. The reshaped human and mouse C21 antibodies were tested and compared using a biosensor to measure the kinetics of binding to human IgE. Surprisingly, a few of the reshaped human C21 antibodies exhibited patterns of binding and affinities that were essentially identical to those of mouse C21 antibody. Key words: antibody/biosensor/CDR grafting/human IgE/

Introduction

molecular modelling

Mouse mAb TES-C21 (C21) was isolated from mice immunized with polyclonal IgE purified from human serum (Davis et al., 1993). Mouse mAb C21 binds to secreted human IgE circulating in plasma and to the membrane-anchored IgE present on the surface of IgE-expressing B cells, but does not interact with IgE when it is bound to its low- or high-affinity receptors (FceRI and FceRII respectively) on mast cells, basophils and other cells. Mouse mAb C21, therefore, does not induce mast cells and basophils to release histamine and other mediators that cause allergic symptoms. Antibodies that recognize this very defined region of human IgE may be useful and safe for clearing circulating IgE from the blood and for specifically targeting IgE-secreting B cells, but not other cells bearing IgE. These antibodies, therefore, may have therapeutic applications in the treatment of IgE-mediated allergies (Chang et al., 1990).

The development and use of mouse mAbs as therapeutic agents have been hindered by the human anti-mouse antibody response

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(HAMA) which reduces the half-life and, therefore, the efficacy of the mouse antibody in patients (see review by Adair et al., 1990). In addition, there are risks of adverse side-effects associated with repeated administrations of highly immunogenic foreign protein to patients. Many of the problems associated with the use of mouse mAbs as therapeutic agents could be overcome with the use of human mAbs. It has proven technically difficult, however, to isolate the latter. In addition, it would be particularly difficult to isolate high-affinity human antibodies recognizing selfantigens such as human IgE. In order to make mouse monoclonal antibodies more acceptable as therapeutic agents, a variety of approaches have been developed for rendering mouse mAbs less immunogenic in humans by making them resemble human antibodies. Most approaches focus on replacing parts of the mouse antibody with parts of human antibodies (see review by Presta, 1992). The most complete method for 'humanization' consists of taking only the complementarity determining regions (CDRs) from the mouse antibody variable regions and grafting these mouse CDRs into human variable regions (Jones et al., 1986). The CDR-grafted variable regions, or reshaped human variable regions, are then joined to human constant regions to create a reshaped human antibody. This study describes the successful humanization of mouse C21 antibody by CDR grafting.

### Materials and methods

Molecular modelling of the mouse C21 variable regions The DNA sequences of the variable regions of mouse mAb C21 were provided by Tanox Biosystems Inc. (Houston, TX). A 3-D model of the variable regions was built based on protein sequences derived from the DNA sequences. The model was developed on a Silicon Graphics IRIS 4D workstation using the molecular modelling package QUANTA (Polygen Corporation, Waltham, MA). The light chain variable region (V<sub>I</sub>) was modelled on the structure of the mouse anti-lysozyme antibody HyHEL-10 as solved by X-ray crystallography (Padlan et al., 1989). The heavy chain variable region (V<sub>H</sub>) was modelled on the structure of the mouse anti-lysozyme antibody HyHEL-5 (Sheriff et al., 1987). The V<sub>L</sub> and V<sub>H</sub> regions of mouse C21 antibody have 79 and 80% identity, respectively, to mouse HyHEL-10 and HyHEL-5 antibodies. Identical residues in the framework regions (FRs) were retained and non-identical residues were substituted using QUANTA. CDR1, CDR2 and CDR3 of the  $V_L$  region and CDR1 and CDR2 of the V<sub>H</sub> region from mouse C21 antibody corresponded well to the canonical forms postulated by Chothia et al. (1989). Minor variations from the canonical sequences were seen, however, at residue 33 in CDR1 of the V<sub>L</sub> region and residue 55 in CDR2 of the V<sub>H</sub> region. The main chain torsion angles of these loops were the same as those of the original antibody structures (HyHEL-10 for CDR1, CDR2 and CDR3 of the V<sub>1</sub> region; HyHEL-5 for CDR1 and CDR2 of the V<sub>H</sub> region). Because there are no canonical structures for CDR3s of V<sub>H</sub> regions, CDR3 of the V<sub>H</sub> region of mouse C21 antibody was modelled on a loop selected from 91 high-resolution protein structures in the Brookhaven Databank (Bernstein et al., 1977).

971

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#### F.Kolbinger et al.

Thirty candidate loops were extracted using the algorithm of Jones and Thirup (1986) as implemented in QUANTA. The best loops were selected by eye. The loops were anchored on three amino acid residues in the FRs on either side of the CDR3 in the mouse C21  $V_H$  region. The CDR3 in the mouse C21  $V_H$  region was modelled on residues 87-106 of the Bence-Jones protein RHE (Furey et al., 1983). This region of RHE corresponds approximately to the CDR3 of a V<sub>L</sub> region. The model was subjected to steepest descents and conjugate gradients energy minimization using the CHARMM potential (Brooks et al., 1983), as implemented in QUANTA, to relieve unfavourable atomic contacts and to optimize van der Waals and electrostatic interactions.

Construction of the reshaped human C21  $V_L$  and  $V_H$  regions The first versions of reshaped human C21 V<sub>L</sub> and V<sub>H</sub> regions (L1 and H1) were constructed by gene synthesis using six overlapping synthetic DNA oligonucleotides for each construction (Table I, panel A; Table II, panel A). In each case, the six 5'-phosphorylated and PAGE-purified oligonucleotides (Genosys Biotéchnologies, Houston, TX) were assembled using a PCRbased protocol. Aliquots of each oligonucleotide (5 pmol) were annealed and extended in a 100 µl reaction containing 10 mM Tris-HCl (pH 8.3), 1.5 mM MgCl<sub>2</sub>, 50 mM KCl, 10 mM  $\beta$ mercaptoethanol, 0.05% (w/v) Tween-20, 0.05% NP-40, 200 μM dNTPs and 5 U Vent DNA polymerase (New England Biolabs, Beverly, MA). Following one cycle at 95°C for 1 min, 50°C for 2 min and 72°C for 4 min in a Techne PHC-2 temperature cycler, 50 pmol of oligonucleotide primers, designed to hybridize at the 5'- and 3'-ends of the full-length DNA fragment,

Table I. Oligonucleotides used for the construction of the reshaped human C21 V<sub>L</sub> regions

Panel A. Oligonucleotides for the synthesis of version L1 of reshaped human C21 V<sub>1</sub> region

Oligo 1: C21L1

TRANCANAGE TIGEOGECAE CATGGAGACE CECGCECAGE TGETETTECT
GETGETGETG TGGCTGCCCG ACACCACCGG CGACATCCTG CTGACCCAGA GCCCC

-GATGTTGGTG CCGATGCTCT GGCTGGCCCT GCAGCTCAGG GTGGCCCTCT CGCCGGGGCT CAGGCTCAGG GTGCCGGGGC TCTGGGTCAG CAGGA

Oligo 3: C21L3

-CAGAGCATCG GCACCAACAT CCACTGGTAC CAGCAGAAGC CCGGCCAGGC CCCCAGGCTG CTGATCAAGT ACGCC

Oligo 4: C21L4

-AGGGTGAAGT CGGTGCCGCT GCCGCTGCCG CTGAACCTGC TGGGGATGCC GCTGATGCTC TCGCTGGCGT ACTTGATCAG CAGCCTG

Oligo 5: C21L5

GCGGCACCGA CTTCACCCTG ACCATCAGCA GGCTGGAGCC CGAGGACTTC GCCATGTACT ACTGCCAGCA GAGCGACAGC TGGC

Oligo 6: C21L6

-TITIGATECT TOTAGAATAC TCACGTTTGA TCTCCACCTT GGTGCCCTGG CCGAAGGTGG TGGGCCAGCT GTCGCTCTGC TG

5' Primer: C21-5' 5'-TGAAGAAAGC TTGCCGCCAC C

3' Primer: C21-L3'

5'-TTTGGATCCT TCTAGAATAC TCAC

Panel B. Oligonucleotides for the subsequent construction of versions L2 and L3 of the reshaped human C21 V<sub>L</sub> region

Primer L/D60S-L (introduces D60S into L1, complementary strand) 5'-CTGAACCTGT CGGGGATGCC GCTGATGCTC

Primer L/D60S-SL (introduces D60S into L1, coding strand) 5'-CCCGACAGGT TCAGCGGCAG CGGCA

Primer L/EID-V3L (introduces EID and V3L into L1, complementary strand) 5'-GGTCAGCAGG ATCTCGCCGG TG

Primer L/EID-V3L-SL (introduces EID and V3L into L1, coding strand) 5'-GAGATOGTGC TGACCCAGAG CCCCGGC

were added (C21-5', and C21-L3' or C21-H3', Tables I and Π, panels A). Then the full-length DNA fragment was amplified in 20 cycles at 95°C for 1 min, 60°C for 2 min and 72°C for 2 min. Next the reaction was chloroform-extracted. The DNA was ethanol-precipitated, digested with HindIII and BamHI, and fragments of the correct size purified from an agarose gel. The HindIII - BamHI DNA fragments were cloned into a pBluescript KS+ vector (Stratagene, La Jolla, CA) and sequenced using Sequenase (United States Biochemical Corporation, Cleveland, OH). Point mutations and/or deletions within the DNA sequence were corrected by exchanging DNA restriction enzyme fragments between different clones and/or using PCR-based mutagenesis methods (Kammann et al., 1989). HindIII-BamHI fragments exhibiting the correct DNA sequences were then subcloned into

Table II. Obgonucleotides used for the construction of the reshaped human C21 V<sub>H</sub> regions

Panel A. Oligonucleotides for the synthesis of version H1 of reshaped human C21 Vii

Oligo 1: C21H1

-TGAAGAAAGC TTGCCGCCAC CATGGACTGG ACCTGGAGGG TGTTCTGCCT GCTGGCCGTG GCCCCCGGCG CCCACAGCCA GGTGCAGCTG GTGCAGA

Olizo 2: C21H2

5'-CAGCCAGTAC ATGCTGAAGG TGTAGCCGCT GGCCTTGCAG CTCACCTTCA
CGCTGGCGCC GGGCTTCTTC ACCTCGGCGC CGCTCTGCAC CAGCTGCACC TGG

CACCTTCAGC ATGTACTGGC TGGAGTGGGT GAAGCAGAGG CCCGGCCACG
GCCTGGAGTG GGTGGGCGAG ATCAGCCCCG GCACCTTCAC CACCAACTAC AACGA

Oligo 4. C21H4

-CTCCTCGCTC GTCAGGCTGC TCAGCTCCAT GTAGGCGGTG TTGGTGCTGG
TGTCGGCGGT GAAGGTGGCC TTGGCCTTGA ACTTCTCGTT GTAGTTGGTG GTGAAGG

-TITIGGATECT TETAGAACTE ACCIGAGETE ACGGTEACEA GGGTGCCCTG
GCCCCAGTAG TCGAAGTAGT CGTAGTTGCT GCC

5' Primer: C21-5' 5'-TGAAGAAAGC TTGCCGCCAC C

3' Primer: C21-H3' 5'-TTTGGATCCT TCTAGAACTC ACC

Panel B. Oligonucleotides for the subsequent construction of versions H3, Hav1, and Hav3 of the reshaped human C21 VH region.

Primer H/R38K-A40R-L (introduces R38K and A40R into H1, complementary strand)

Primer H/R38K-A40R-SL (introduces R38K and A40R into H1, coding strand) 5'-GAGGCAGGCC CCCGGCCACG GCCTGGAGT

Primer H/R66K-L (introduces R66K into H1, complementary strand) 5'-GAAGGTGGCC CTGGCCTTGA ACTIC:CGTT GTAG

Primer H/R66K-SL (introduces R66K into H1, coding strand) 5'-CAAGGCCAGG GCCACCTTCA CCGCCGAC

Primer H/R83T-L (introduces R83T into H1, complementary strand) 5'-GTCCTCGCTC CTCAGGCTGC TCAGCTCCAT G

Primer H/R83T-S (introduces R83T into H1 gene, coding strand) 5'-CAGCCTGAGG AGCGAGGACA C

Primer HayFR2 (FR2 changes from Hi to Hay1, complementary strand) 5'-ccarccactc cagggggc cegggcc Primer HayFR2-L (FR2 changes from H3 to Hay3, complementary strand)

5'-CCATCCACTC CAGCCTCTGG CCGGGGGCCT GCC Primer HayFR2-S (FR2 changes from HI to HayI and H3 to Hay3, coding strand) 5'-CAGAGGCTGG AGTGGATGGG CGAGATC

Primer HayFR3 (FR3 changes from H1 to Hay! and H3 to Hay3, complementary strand) 5'-GTGCTGGCGC TGGTGTCGGC

Primer HayFR3-S (FR3 changes from H1 to Hay1 and H3 to Hay3, coding strand) 5'-ACCAGGGCCA GCACCCCTA C

972





vectors designed to express human x light chains or human  $\gamma$ -1 heavy chains in mammalian cells (Maeda  $et\ al.$ , 1991).

Additional versions of reshaped human C21  $V_L$  regions (L2 and L3) were generated from version L1 by oligonucleotide-directed PCR mutagenesis. The PCR primers used to create versions L2 and L3 from version L1 are listed in Table I, panel B. Similarly, additional versions of reshaped human C21  $V_H$  regions (H3, Hay1 and Hay3) were generated from version H1 by oligonucleotide-directed PCR mutagenesis. The PCR primers used to create the new versions of reshaped human C21  $V_H$  regions are listed in Table II, panel B. The resulting HindIII-BamHI fragments were cloned, sequenced and subcloned into the expression vectors as described previously.

Expression of reshaped human C21 antibodies in cos cells The cos cells were co-transfected by electroporation with the plasmid DNAs designed to express the reshaped human C21 light and heavy chains (Maeda et al., 1991). After a 10 min recovery period, the cells were plated out in 10 ml Dulbecco's minimal essential medium containing  $5\% \gamma$  globulin-free, heat-inactivated fetal calf serum. After 72 h incubation, the medium was collected and centrifuged to remove cells and cellular debris. The supernatant was filtered through a 0.45  $\mu$ m membrane and analysed by ELISA for assembled antibody with human  $\kappa$  light chains and human  $\gamma$  heavy chains.

Protein A purification of the reshaped human C21 antibodies Reshaped human C21 antibodies were purified from the cos cell supernatants by affinity chromatography on 1 ml immobilized protein A (Prosep A, Bioprocessing Ltd, Durham, UK) packed into HR 5/5 FPLC columns (Pharmacia, Uppsala, Sweden). The columns were run at constant flow rates of 2 ml/min on an FPLC system (Pharmacia). Eluted protein was detected in a flow cell (UV absorbency at 280 nm). The columns were prepared by washing in 10 column volumes of PBS (20 mM sodium phosphate, 150 mM NaCl, pH 8.0), pre-elution with 10 column volumes of 100 mM sodium citrate buffer (pH 3.0) and reequilibration with 10 column volumes of PBS (pH 8.0). The cos cell supernatants (20-50 ml) were clarified by filtration through a  $0.45~\mu m$  membrane and then loaded directly onto the column with a peristaltic pump. The column was washed with PBS (pH 8.0) until the UV absorbency returned to baseline. Bovine IgG was then eluted by washing with 100 mM sodium citrate buffer (pH 5.0) until the baseline returned to zero. Finally, reshaped human antibodies were eluted with 100 mM sodium citrate buffer (pH 3.0). The pH was adjusted immediately to pH 7.0 with 1 M Tris. The neutralized eluates containing the reshaped human antibodies were concentrated in a Centricon-10 microconcentrator (Amicon, Stonehouse, UK) and the buffer changed to PBS (pH 7.2). Purity of the reshaped human antibodies was analysed by SDS-PAGE and Coomassie blue staining (Laemmli, 1970). Protein concentration was determined by UV absorption at 280 nm and by ELISA.

### ELISA for human $\gamma/x$ antibody

Microtiter 96-well plates were coated with goat anti-human IgG (Fc specific) (Dianova). After washing, the plates were blocked with 1% bovine serum albumin in PBS (pH 7.2) plus 0.05% Tween. Sample and sample dilutions were added and, after incubation and washing, bound human IgG/x antibody was detected using affinity-purified goat anti-human x light chain polyclonal antibody conjugated with horseradish peroxidase (Sigma, Poole, UK). A purified recombinant human antibody (human IgGI/x) of known concentration was used as a standard.

Analysis of the mouse, chimeric and reshaped human C21 antibodies by Biospecific Interaction Analysis (BIA)

A biosensor-based analytical system (Pharmacia BIAcore) was used to analyse the kinetics of interaction between the C21 antibodies and their antigen, human IgE. Mouse C21 antibody (TES-C21), chimeric C21 antibody (TESC-2) and mouse-human chimeric IgE antibody (SE44, Sun et al., 1991) were provided by Tanox Biosystems Inc. As capture antibodies, ~11,000 resonance units (RU) (11 ng/mm<sup>2</sup>) of polyclonal rabbit antimouse IgG1 (Pharmacia Biosensor AB, Freiburg, Germany) or rabbit anti-human IgG (kindly donated by Dr U.Roder, Pharmacia Biosensor AB) were immobilized to the CM5 sensor chip surface via their amino groups (Jönsson et al., 1991). For each C21 test antibody, four experimental cycles were performed. Each cycle consisted of binding a constant amount of test C21 antibody to the respective capture antibody followed by the interaction of this test C21 antibody with fixed concentrations of human IgE. The assays were carried out at 25°C. Test C21 antibody was diluted in HBS (10 mM HEPES, 3.4 mM EDTA, 150 mM NaCl, 0.05% BIAsurfactant, pH 7.4) to a final concentration of  $5-10 \mu g/ml$  and bound to catching antibody to obtain 1300-2200 RU (1.3-2.2 ng/mm<sup>2</sup>) of bound test antibody. Human IgE, at concentrations of 3.125, 6.25, 12.5 and 25 nM, was passed over the bound test C21 antibody at a flow rate of  $5 \mu l/min$  for 9 min. An aliquot of 4  $\mu l$  of 40 mM HCl was used to remove antibody-antigen complexes and to prepare the surface for the next cycle. The surface plasmon resonance (SPR) signals were measured and illustrated as a sensorgram. The rates of association for the antibody-antigen interactions were calculated using computer programs implemented in the BIAcore system.

For the determination of the rates of dissociation, a similar protocol was used. Test C21 antibodies were first bound to the sensor chip surface via the immobilized capture antibodies. Human IgE (25 nM) was allowed to bind to the C21 test antibody. Then HBS buffer was passed over the sensor chip surface at a constant flow rate of 5  $\mu$ l/min and the decrease in resonance signal monitored over a period of 15-25 min. The sensor chip surface was later regenerated with 4  $\mu$ l 40 mM HCl. Because the dissociation of antibody—antigen complexes is a first order reaction, the linear parts of the sensorgrams were used to calculate the rates of dissociation using computer programs implemented in the BIAcore system.

The specificities of mouse, chimeric and reshaped human C21 antibodies for human IgE were also tested using the Pharmacia BIAcore machine. The test C21 antibodies were bound to immobilized capture antibodies on the sensor chip surface as described previously. Human Igs with x light chains and a variety of heavy chains (IgM, IgD; Serotec, Oxford, UK) (IgA1, IgA2; Calbiochem, Nottingham, UK) (IgG4, IgG3, IgG2, IgG1; Sigma) (IgE; Tanox Biosystems Inc.) were passed over the surface at concentrations of 5  $\mu$ g/ml. The SPR signals were measured and illustrated as sensorgrams.

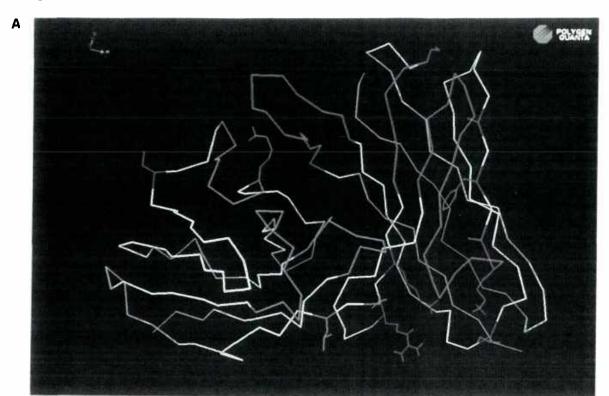
## Results

Molecular model of the structure of the mouse C21 variable regions

To design reshaped human variable regions that recreate as closely as possible the antigen binding site in the original mouse antibody, it would be useful to know the structure of the mouse Ig variable regions (Verhoeyen *et al.*, 1988). In most cases, however, the structure of the mouse antibody to be humanized

973





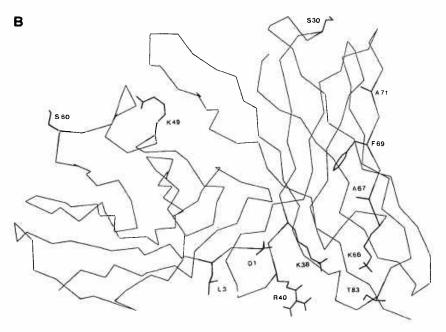


Fig. 1. A view of the molecular model of the variable regions of mouse C21 antibody. (A) The  $C\alpha$  trace of the variable regions with the FRs in yellow, the CDRs in the  $V_L$  region in blue, the CDRs in the  $V_L$  region in green, residues of special interest in the FRs of the  $V_L$  region in purple, and residues of special interest in the FRs of the  $V_H$  region in red. (B) A line drawing of (A) with the residues of special interest labelled.

has not, as yet, been determined. In these cases, a molecular model of the mouse antibody can be constructed as a guide to the design of the reshaped human variable regions (Kettleborough  $\it et~al.$ , 1991). In preparation for the design of the reshaped human C21 variable regions, a molecular model of the  $\rm V_L$  and  $\rm V_H$  regions of mouse C21 antibody was built. Details of the

974

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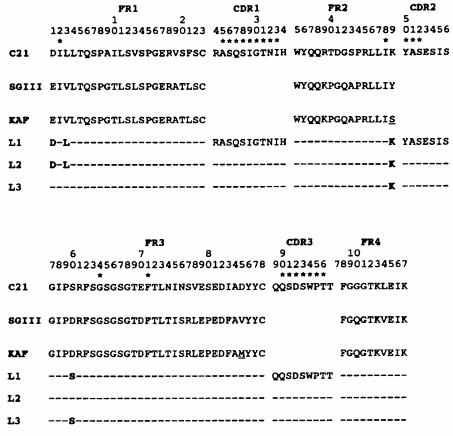


Fig. 2. Comparisons of the amino acid sequences of mouse and reshaped human C21  $V_L$  regions. C21 shows the FRs and CDRs of the mouse C21  $V_L$  region. The amino acid residues that are part of the canonical sequences for the CDR loop structures are marked with an asterisk (Chothia et al., 1989). The numbering is according to Kabat et al. (1987). SGIII shows the FRs of the consensus sequence for human x  $V_L$  regions of subgroup III (Kabat et al., 1987). KAF shows the FRs from the  $V_L$  region of human KAF antibody (Newkirk et al., 1988). The residues in the FRs of KAF that differ from those in the consensus sequence are underlined. L1, L2 and L3 are the versions of reshaped human C21  $V_L$  region. The residues in the FRs that differ from the KAF sequence are shown in bold.

construction of the model are described in Materials and methods. A view of the model highlighting the amino acid residues that were of particular interest is shown in Figure 1.

## Design of the reshaped human C21 variable regions

The design of the reshaped human C21 V<sub>L</sub> and V<sub>H</sub> regions was based on either the consensus sequences for certain subgroups of human V<sub>L</sub> and V<sub>H</sub> regions (Kabat et al., 1987) or the sequences from individual human antibodies. The amino acid sequences of the mouse C21  $V_L$  and  $V_H$  regions were most similar to the consensus sequence for human x V<sub>L</sub> subgroup III (69% identity within the FRs) and for human V<sub>H</sub> subgroup I (70% identity within the FRs). In the first step of the design process, the mouse C21 CDRs were linked to the FRs from these human consensus sequences. The preliminary designs were examined and certain amino acid residues in the human FRs were identified as possible key residues in determining binding to antigen. For example, the amino acid residues that were part of the canonical structures for CDR loop formation, as proposed by Chothia et al. (1989), were highlighted (see residues marked with an asterisk in Figures 2 and 3). Residues that were potentially involved in V<sub>L</sub>-V<sub>H</sub> packing, as described by Chothia et al.

(1985), were examined. The rare occurrence of certain amino acids at specific positions was noted. With this information, and with reference to the model of the mouse C21 variable regions, decisions were made as to whether or not certain amino acid residues in the selected human FRs should be replaced with the amino acid residues that occurred at those positions in the mouse C21 variable regions.

For the design of the first version of reshaped human C21  $V_L$  region (L1), changes in the human FRs were made at positions 1, 3, 49 and 60 (numbering according to Kabat *et al.*, 1987) (Figure 2). The amino acids at positions 1 and 3 were considered important because the model showed that the N-terminus of the mouse C21 light chain lay between CDR1 and CDR3 of the  $V_L$  region. Therefore, the N-terminus either could be directly involved in antigen binding or could alter the conformation of the CDRs. The amino acid at position 49 is lysine and is located between two amino acids that are part of the canonical structure for CDR2 of the  $V_L$  region. It is in the binding pocket created by CDR2 of the  $V_L$  region and may form an interaction with the glutamic acid at position 53 in CDR2 of the  $V_L$  region. The serine at position 60 in mouse C21  $V_L$  region was located in the model at the edge of the binding site and could be influencing

975



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