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immunoglobulin, wherein the sequence of the acceptor immunoglobulin heavy chain variable region framework is a consensus sequence of human immunoglobulin heavy chain variable region frameworks.

40. First and second polynucleotides respectively encoding heavy and light chain variable regions of a humanized immunoglobulin having complementarity determining regions (CDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks from human acceptor immunoglobulin heavy and light chains, which humanized immunoglobulin specifically binds to an antigen with an affinity constant within about four-fold of the donor immunoglobulin, wherein said humanized immunoglobulin heavy chain comprises one or more amino acids from the donor immunoglobulin heavy chain framework outside the Kabat and Chothia CDRs, wherein the donor amino acids substitute for corresponding amino acids in the acceptor immunoglobulin heavy chain framework, and each of these said donor amino acids:

(I) is adjacent to a CDR/in the donor immunoglobulin sequence, or

(II) contains an atom within a distance of 6 ANGSTROM of a CDR in said humanized immunoglobulin.

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41. A humanized immunoglobulin having complementarity determining regions (CDRs) from a donor immunoglobulin and heavy and light chain variableregion frameworks from human acceptor immunoglobulin heavy and light chain frameworks, which humanized immunoglobulin specifically binds to an antigen with an affinity constant of at least  $10^7$  M<sup>-1</sup> and no greater than about four-fold that of the donor immunoglobulin, wherein the sequence of the humanized immunoglobulin heavy chain variable region framework is at least 65% identical to the sequence of the donor immunoglobulin heavy chain variable region framework and comprises at least 70 amino acid residues identical to an acceptor human immunoglobulin heavy chain variable region amino acid sequence.

42. A humanized immunoglobulin according to claim 41 which is an antibody comprising two light chain/heavy chain dimers.

43. A humanized immunoglobulin having complementarity determining regions (CDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks from acceptor immunoglobulin heavy and light chain frameworks, which humanized immunoglobulin specifically binds to an antigen with an affinity constant of at least about 10<sup>8</sup> M<sup>-1</sup> and no

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greater than about four-fold that of the donor immunoglobulin, wherein the sequence of the acceptor immunoglobulin heavy chain variable region framework is a consensus sequence of human immunoglobulin heavy chain variable region frameworks.

44. A pharmaceutical composition comprising a humanized immunoglobulin of claim 41 in a pharmaceutically acceptable carrier.

45. A method of producing the humanized immunoglobulin of claim 41 comprising introducing DNA segments encoding the humanized immunoglobulin heavy and light chains into a cell; and expressing the DNA segments in the cell to produce the humanized immunoglobulin.

46. A method of producing a humanized immunoglobulin, comprising the steps of:

 (1) comparing the sequence of a donor immunoglobulin heavy chain variable region against a collection of sequences of human heavy chain variable regions;

(2) selecting a human heavy chain variable region from the collection of human heavy chain variable regions to provide an acceptor heavy chain variable region, wherein the selected

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variable region framework is at least 65% identical to the donor immunoglobulin heavy chain variable region framework;

(3) synthesizing a DNA segment encoding a humanized heavy chain variable region, comprising CDRs from the donor immunoglobulin heavy chain variable region and a variable region framework from the selected acceptor heavy chain variable region;

(4) introducing the DNA segment encoding the humanized immunoglobulin heavy chain variable region and a DNA segment encoding a humanized immunoglobulin light chain variable region into a cell; and

(5) expressing the DNA segments in the cell to produce the humanized immunoglobulin

47. A method of producing a humanized immunoglobulin, comprising the steps of:

(1) comparing the sequence of a donor immunoglobulin light chain variable region against a collection of sequences of human light chain variable regions;

(2) selecting a human light chain variable region from the collection of human light chain variable regions to provide an acceptor light chain variable region, wherein the selected

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variable region framework is at least 65% identical to the donor immunoglobulin light chain variable region framework;

(3) synthesizing a DNA segment encoding a humanized light chain variable region, comprising CDRs from the donor immunoglobulin light chain variable region and a variable region framework from the selected acceptor light chain variable region;

(4) introducing the DNA segment encoding the humanized immunoglobulin light chain variable region and a DNA segment encoding a humanized immunoglobulin heavy chain variable region into a cell; and

(5) expressing the DNA segments in the cell to produce the humanized immunoglobulin.

48. A humanized immunoglobulin having complementarity determining regions (CDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks from acceptor immunoglobulin heavy and light chain frameworks, which humanized immunoglobulin specifically binds to an antigen with an affinity constant within about four-fold of that of the donor immunoglobulin, wherein the sequence of the acceptor immunoglobulin heavy chain variable region framework is a consensus sequence of human immunoglobulin heavy chain variable region frameworks.

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#### REMARKS

Newly added claims 32-40 have been copied from claims in Queen et al., U.S. Patent No. 5,693,761. Claims 41-48 have been copied from claims in Queen et al., U.S. Patent No. 5,693,762. Copies of both patents are enclosed. Applicants are in compliance with 35 USC §135(b) since both Queen patents were issued on December 2, 1997.

Respectfully submitted,

Registration No. 19,386

Date: November 5, 1998

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Proc. Notl. Acad. Sci. USA Vol. 86, pp. 10029–10033, December 1989 Immunology

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### A humanized antibody that binds to the interleukin 2 receptor

(chimeric antibody/antibody affinity/autoimmune disease)

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Contributed by Thomas A. Waldmann, August 30, 1989

The anti-Tac monoclonal antibody is known ABSTRACT to bind to the p55 chain of the human interleukin 2 receptor and to inhibit proliferation of T cells by blocking interleukin 2 binding. However, use of anti-Tac as an immunosuppressant drug would be impaired by the human immune response against this murine antihody. We have therefore constructed a "humanized" antibody by combining the complementarilydetermining regions (CDRs) of the anti-Tac antibody with human framework and constant regions. The human framework regions were chosen to maximize homology with the anti-Tac antibody sequence. In addition, a computer model of morine anti-Tac was used to identify several amino acids which, while outside the CDRs, are likely to interact with the CDRs or antigen. These mouse amino acids were also retained in the humanized antibody. The humanized anti-Tac antibody has an affinity for p55 of 3 × 109 M-1, about 1/3 that of morine anti-Tac.

The cellular receptor for the lymphokine interleukin 2 (IL-2) plays an important role in regulation of the immune response (reviewed in ref. 1). The complete IL-2 receptor (IL-2R) consists of at least two IL-2-binding peptide chains; the p55 or Tac peptide (2, 3), and the recently discovered p/5 peptide (4, 5). Identification and characterization of the p55 peptide were facilitated by the development of a monoclonal antibody, anti-Tac, which binds to human p55 (2). The p55 peptide was found to be expressed on the surface of T cells activated by an antigen or mitogen but nol on resting T cells. Treatment of human T cells with anti-Tac antibody strongly inhibits their proliferative response to antigen or to IL-2 by preventing IL-2 binding (3, 6).

These results suggested that anti-IL-2R antibodies would be immunosuppressive when administered in vivo. Indeed, injection of an anti-IL-2R antibody into mice and rats greatly prolonged survival of heart allografts (7, 8). Anti-IL-2R was also effective in rats against experimental graft-versus-host disease (9). In animal models of autoimmune disease, an anti-IL-2R antibody alleviated insulitis in nonobese diabetic mice and lupus nephritis in NZB × NZW mice (10). Anti-Tac itself was highly effective in prolonging survival of kidney allografts in cynomolgus monkeys (11).

In human patients, the specificity of anti-Tac for activated T cells might give it an advantage as an immunosuppressive agent over OKT3 (monoclonal anti-CD3), which is effective in treating kidney transplant rejection (12), but which suppresses the entire peripheral T-cell population. In fact, in phase I clinical trials for kidney transplantation, prophylactic administration of anti-Tac significantly reduced the incidence of early rejection episodes, without associated toxicity (13). Furthermore, treatment with anti-Tac induced temporary

The publication costs of this article were defrayed in part by page charge payment. This article must therefore be hereby marked "udvertisement" in accordance with 18 U.S.C. §1734 solely to indicate this fact. partial or complete remission in three of nine patients with Tac-expressing adult T-cell leukemia (14). However, as a murine monoclonal antibody, anti-Tac elicits a strong human antibody response against itself, as does OKT3 (15). This response would prevent its long-term use in treating autoimmune conditions or suppressing organ transplant rejection.

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The immune response against a murine monoclonal antibody may potentially be reduced by transforming it into a chimeric antibody. Such antibodies, produced by methods of genetic engineering, combine the variable (V) region binding domain of a mouse (or rat) antibody with human antibody constant (C) regions (16–18). Hence, a chimeric antibody retains the binding specificity of the original mouse antibody but contains less amino acid sequence foreign to the human immune system. Chimeric antibodies have been produced against a number of tumor-associated antigens (19–21). In some but not all cases, the chimeric antibodies have mediated human complement-dependent cytotoxicity (CDC) or antibody-dependent cellular cytotoxicity (ADCC) more efficiently than the mouse antibodies (21).

When the murine antibody OKT3 is used in human patients, much of the resulting antibody response is directed against the V region of OKT3 rather than the C region (15). Hence, chimeric antibodies in which the V region is still nonhuman may not have sufficient therapeutic advantages over mouse antibodies. To further reduce the immunogenicity of murine antibodies, Winter and colleagues constructed "humanized" antibodies in which only the minimum necessary parts of the mouse antibody, the complementaritydetermining regions (CDRs), were combined with human V region frameworks and human C regions (22-25). We report here the construction of chimeric and humanized anti-Tac antibodies. For the humanized antibody, sequence homology and molecular modeling were used to select a combination of mouse and human sequence elements that would reduce immunogenicity while retaining high binding affinity.

#### MATERIALS AND METHODS

Construction of Plasmids. cDNA cloning was by the method of Gubler and Hoffman (26), and sequencing was by the dideoxy method (27). The plasmid  $pV\kappa 1$  (Fig. 1A) was constructed from the following fragments: an approximately 4550-base-pair (bp) BamHI-EcoRI fragment from the plas-

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Abbreviations: 1L-2R, interleukin 2 receptor; CDR, complementarity-determining region; CDC, complement-dependent cytotoxicity; ADCC, antibody-dependent cellular cytotoxicity; V, variable; J, joining; C, constant.

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The sequences reported in this paper have been deposited in the GenBank data base (accession nos. M28250 and M28251).

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FIG. 1. (A) Schematic diagram of the plasmids  $pV\kappa I$  and pLTac. Light chain exons are shown as boxes. An arrow indicates the direction of transcription from the  $\kappa$  promoter. E<sub>1</sub>, heavy chain enhancer. Not drawn to scale. (B) Schematic diagram of the method used to excise the V-J region. SD, splice donor sequence; rev. primer, reverse primer.

mid pSV2gpt (28) containing the amp and gpt genes; an 1800-bp EcoRI-Bg/ II fragment from pK catH (29) containing the heavy chain enhancer and k promoter; and a 1500-bp EcoRI-Xbu I fragment containing the human C, region (30). Similarly, pVyl was constructed starting from a 4850-bp BamHI-EcoRI fragment of the plasmid pSV2hph (a gift of A. Smith, A. Miyajima, and D. Strehlow. Stanford University). which is analogous to pSV2gpt except that the gpt gene is replaced by the hyg gene (31). This fragment was combined with the EcoRI-Bgl II fragment from pK catH and a 2800-bp HindIII-Pru II fragment containing the human yl constant region, isolated from a phage kindly provided by L. Hood (32). In each case, the fragments were combined by standard methods (ref. 33, pp. 390-401), with an Xba I linker inserted between the x promoter fragment and the 5' end of the C region fragment.

Construction of Chimeric Genes. EcoRI fragments containing the anti-Tac light and heavy chain cDNAs were separately inserted into the EcoRI site of the phage M13mp11D, a variant of M13mp11 (34) in which the EcoR1 and Xba 1 sites of the polylinker were filled in and joined. The resulting phage, in which the 5' ends of the cDNAs abutted the Xba 1 site, were respectively denoted M13L and M13H. The V-J (J, joining) segments of the cDNAs, followed by splice donor signals, were precisely excised from these phage, using a double-priming scheme (Fig. 1B). For the light chain, the following primer was synthesized (Applied Biosyster:s model 380B DNA synthesizer) and purified by gel electrophoresis: 5'-CCAGAATTCTAGAAAAGTGTACTTAC-GTTTCAGCTCCAGCTTGGTCCC-3'. From the 3' end, the first 22 residues of the primer are the same as the last 22 residues of the J<sub>4</sub>5 segment (noncoding strand). The next 16 nucleotides are the same as the sequence that follows J.5 in

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mouse genomic DNA and therefore includes a splice donor signal. The final 10 nucleotides of the oligonucleotide include an Xba 1 site.

We hybridized this oligonucleotide to M13L and extended it with the Klenow fragment of DNA polymerase. The DNA was heat-denatured, hybridized with an excess of the "reverse primer" 5'-AACAGCTATGACCATG-3', again extended with Klenow DNA polymerase, and digested with Xba I. The digested DNA was run on a gel, and an approximately 400-bp fragment was excised and inserted into the Xba I site of pVx1. Sequencing showed that the fragment consisted of the V-J region of the light chain cDNA followed by the splice donor "tail," as expected (Fig. 1B), and pLTac, a clone with the appropriate orientation, was chosen. In an analogous fashion, the heavy chain V-J segment, followed by the mouse  $J_{H2}$  splice donor sequence, was excised from M13H and inserted into the Xba I site of pVy1 to yield pGTac.

Computer Analysis. Sequences were manipulated and homology searches were performed with the MicroGenie Sequence Analysis Software (Beckman). The molecular model of the anti-Tac V region was constructed with the ENCAD program (35) and examined with the MIDAS program (36) on an IRIS 4D-120 graphics workstation (Silicon Graphics).

Construction of Genes for Humanized Antibody, Nucleotide sequences were selected that encoded the protein sequences of the humanized light and heavy chain V regions including signal peptides (Results), generally utilizing codons found in the mouse anti-Tac sequence. These nucleolide sequences also included the same splice donor signals used in the chimeric genes and an Xha I site at each end. For the heavy chain V region. four overlapping 120- to 130-nucleotide-long oligonucleotides were synthesized that encompassed the entire sequence on alternating strands. The oligonucleotides were phosphorylated with polynucleotide kinuse, annealed, extended with T4 DNA polymerase, cut with Xha I, and ligated into the Xha I site of pUC19 (34), using standard reaction conditions. An insert with the correct sequence was recloned in pVy1. The humanized light chain V region was constructed similarly.

Transfections. For each antibody constructed, the light chain plasmid was first transfected into Sp2/0 mouse myeloma cells (ATTC CRL 1581) by electroporation (Bio-Rad Gene Pulser) and cells were selected for gpt expression (28). Clones secreting a maximal amount of light chain, as determined by ELISA, were transfected with the heavy chain plasmid and cells were selected for hygromycin B resistance (31). Clones secreting a maximal amount of complete antibody were detected by ELISA. The clones were used for preparation of chimeric and humanized antibodies.

Antibody Purification. Medium from confluent cells was passed over a column of staphylococcal protein A-Sepharose CL-4B (Pharmacia), and antibody was eluted with 3 M MgCl<sub>2</sub>. Antibody was further purified by ion-exchange chromatography on BakerBond ABx (J. T. Baker). Final antibody concentration was determined, assuming that 1 mg/ml has an A<sub>280</sub> of 1.4. Anti-Tac antibody itself was purified as described (2).

Affinity Measurements. Affinities were determined by competition binding. HuT-102 human T-lymphoma cells (ATTC TIB 162) were used as source of p55 Tac antigen. Increasing amounts of competitor antibody (anti-Tac, chimeric, or humanized) were added to 1.5 ng of radioiodinated (Pierce Iodo-Beads) tracer anti-Tac antibody ( $2 \ \mu$ Ci/ $\mu$ g; 1 Ci = 37 GBq) and incubated with 4 × 10<sup>5</sup> HuT cells in 0.2 ml of binding buffer (RPMI 1040 medium with 10% fetal calf serum, human IgG at 100  $\mu$ g/ml, 0.1% sodium azide) for 3 hr at room temperature. Cells were measured, and the concentrations of bound and free tracer antibody were calculated. The affinity of mouse anti-Tac was determined by Scatchard plot analy-

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sis, using anti-Tac itself as the competitor. Then the affinities of the chimeric and humanized antibodies were each calculated according to the formula  $\{X\} - [anti-Tac] = (1/K_x) - (1/K_a)$ , where  $K_a$  is the affinity of anti-Tac (9 × 10<sup>9</sup> M<sup>-1</sup>),  $K_x$ is the affinity of the competitor X, [] indicates the concentration of competitor antibody at which bound/free tracer binding is  $R_0/2$ , and  $R_0$  is maximal bound/free tracer binding (37).

#### RESULTS

Cloning of Light and Heavy Chain cDNA. A cDNA library in Agt10 was prepared from anti-Tac hybridoma cells and screened with oligonucleotide probes for the mouse  $\kappa$  and  $\gamma$ 2a constant regions. The eDNA inserts from four  $\kappa$ -positive and four  $\gamma$ 2a-positive phage were subcloned in M13mp19. Partial sequencing showed that two of the  $\kappa$  isolates had one sequence, and the other two had another sequence. In one pair, a V<sub>n</sub> gene segment was joined to the J<sub>n</sub>2 segment out of its reading frame. In addition, the conserved cysteine at position 23 was absent from this V segment, and the sequences of the two isolates differed slightly. Presumably, these clones were the result of an aberrant joining event in one  $\kappa$  allele, which continued to undergo somatic mutation after the formation of the hybridoma.

The V-J segments of the other pair of  $\kappa$  clones were sequenced completely and were identical. This light chain uses the J<sub>\*</sub>5 segment. Partial sequencing of the four  $\gamma$ 2a clones showed they were all from the same gene. The V-J segments of two were sequenced completely and were identical. This heavy chain uses the J<sub>H</sub>2 segment and is of subgroup II (38). The DNA sequences have been deposited with GenBank;<sup>||</sup> the deduced protein sequences are shown in Fig. 2. As both alleles of the  $\kappa$  light chain were accounted for and only one heavy chain sequence was detected, we tentatively assigned these sequences to the anti-Tac antibody genes.

Construction of Chimeric Genes. Plasmid vectors were prepared for the construction and expression of chimeric light and heavy chain genes. The plasmid pVk1 (Fig. 1A) contains the human genomic  $C_{\kappa}$  segment, including 336 bp of the preceding intron and the poly(A) signal. It also contains the promoter sequence from the MOPC 41  $\kappa$  gene and the heavy chain enhancer sequence, which synergize to form a very strong transcriptional unit (29). There is a unique Xba I site between the promoter and the intron. A similar plasmid,  $pV\gamma1$ , was prepared by using the human  $C_{\gamma}1$  region in place of the  $C_{\kappa}$  region. In that case, the region inserted between the Xba I and BamHI sites extended from about 210 bp 5' of the  $C_{\rm H}1$  exon to beyond the  $C_{\rm H}3$  exon.

Our strategy was to insert the V-J region from the anti-Tac  $\kappa$  cDNA, followed by a splice donor signal, at the Xba I site

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of  $pV\kappa l$  to construct the plasmid pLTac. Doing so created a chimeric  $\kappa$  gene with a short synthetic intron between the mouse V-J and human C<sub> $\kappa$ </sub> segments (Fig. 1A). For this purpose, we used a form of double primer-directed mutagenesis (*Materials and Methods*; Fig. 1B). Similarly, the V-J region from the anti-Tac  $\gamma 2a$  heavy chain cDNA, followed by a splice donor signal, was inserted into the Xba I site of  $pV\gamma l$ . The resulting plasmid, pGTac, contained a chimeric heavy chain gene, with a synthetic intron between the mouse V-J and human C<sub> $\tau$ </sub>I segments.

Construction of a Humanized Anti-Tac Antibody. In selecting a human antibody to provide the variable region framework for the humanized anti-Tac antibudy, we reasoned that the more homologous the human antibody was to the original" anti-Tac antibody the less likely would combining the anti-Tac CDRs with the human framework be to introduce distortions into the CDRs. The anti-Tac heavy chain sequence was therefore compared by computer with all the human heavy chain sequences in the National Biomedical Research Foundation Protein Identification Resource (release 15). The heavy chain V region of the Eu antibody (of human heavy chain subgroup I; ref. 38) was 57% identical to the anti-Tac heavy chain V region (Fig. 2B); all other complete VH regions in the data bank were 30-52% identical. However, no one human light chain V region was especially homologous to the anti-Tac light chain. We therefore chose to use the Eu light chain (of human light chain subgroup I; ref. 38) together with the Eu heavy chain to supply the framework sequences for the humanized antibody. The CDRs in the humanized antibody were of course chosen to be identical to the anti-Tac CDRs (Fig. 2).

A computer program was used to construct a plausible molecular model of the anti-Tac V domain (Fig. 3), based on homology to other antibody V domains with known crystal structure and on energy minimization. Graphic manipulation shows that a number of amino acid residues outside of the CDRs are in fact close enough to them to either influence their conformation or interact directly with antigen. When these residues differ between the anti-Tac and Eu antibodies, the residue in the humanized antibody was chosen to be the anti-Tac residue rather than the Eu residue. This choice was made for residues 27, 30, 48, 67, 68, 98, and 106 in the humanized heavy chain, and for 47 and 59 in the humanized light chain (Figs. 2 and 3; amino acids shown in blue in Fig. 3), although we now consider the light chain residue 59. which was chosen on the basis of an earlier model, to be doubtful. In this way, we hoped to better preserve the precise structure of the CDRs at the cost of possibly making the humanized antibody slightly less "human."

Different human light or heavy chain V regions exhibit strong amino acid homology outside of the CDRs, within the framework regions. However, a given V region will usually

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Fig. 2. Amino acid sequences of the humanized anti-Tac light (A) and heavy (B) chains. The sequences of the Eu antibody light and heavy chains (upper lines) are shown aligned above the mouse anti-Tac light and heavy chain sequences (lower lines), with a [ indicating identity of amino acids. The three CDRs in each chain are underlined, and the other mouse amino acids used in the humanized antibody are double underlined. Hence, the humanized sequences are the same as the upper (Eu) sequences, except where the amino acid is underlined or double underlined.



FIG. 3. Model of the mouse anti-Tac antibody V region, generated with the ENCAD program and displayed with the MIDAS program. Amino acids in the CDRs are shown in red; amino acids potentially interacting with the CDRs are shown in blue; other mouse amino acids used in the humanized antibody are shown in yellow, as described in the text. Thus, all amino acids transferred from the anti-Tac sequence to the humanized antibody are shown in red, blue, or yellow. Residue I is the first amino acid of V<sub>H</sub>; residue 301 is the first amino acid of V<sub>H</sub>.

contain exceptional amino acids, atypical of other human V regions, at several framework positions. The Eu antibody contains such unusual residues at positions corresponding to 93. 95. 98, 106, 107, 108, and 110 of the humanized heavy chain and 47 and 62 of the light chain (Fig. 2), as determined by visual comparison of the Eu heavy and light chain V regions with other human V regions of subgroup 1 (38). The Eu antibody contains several other unusual residues, but at the listed positions, the murine anti-Tac antibody actually has a residue much more typical of human sequences than does Eu. At these positions, we therefore chose to use the anti-Tac residue rather than the Eu residue in the humanized antibody, to make the antibody more generically human. Some of these residues had already been selected because of their proximity to the CDRs, as described above (the remaining ones are shown in yellow in Fig. 3).

These criteria allowed the selection of all amino acids in the humanized antibody V regions as coming from either anti-Tac or Eu (Fig. 2). DNA segments encoding the desired heavy and light chain amino acid sequences were synthesized. These DNA segments also encoded typical immunoglobulin signal sequences for processing and secretion, and they contained splice donor signals at their 3' end. The light and heavy chain segments were cloned, respectively, in pVx1 and pVy1 to form the plasmids pHuLTac and pHuGTac.

Properties of Chimeric and Humanized Antibodies. Sp2/0 cells, a nonproducing mouse myeloma line, were transfected sequentially with pLTac and pGTac (chimeric genes) or with pHuLTac and pHuGTac (humanized genes). Cell clones were selected first for antibiotic resistance and then for maximal antibody secretion, which reached 3 µg/10<sup>6</sup> cells per 24 hr. S1 nuclease mapping of RNA extracted from the cells transfected with pLTac and pGTac showed that the synthetic introns between the V and C regions (Fig. 1A) were correctly spliced (data not shown). Antibody was purified from the culture medium of cells producing the chimeric or humanized antibody. When analyzed by reducing SDS/polyacrylamide gel electrophoresis, the antibodies showed only two bands, having the expected molecular weights 50,000 and 25,000.

Flow cytometry showed that the chimeric and humanized antibodies bound to Hut-102 and CRI1.2 cells, two human T-cell lines that express the p55 chain of the IL-2R, but not to CEM and other cell lines that do not express the IL-2R. To determine the binding affinity of the chimeric and humanized antibodies. their ability to compete with labeled mouse anti-Tac for binding to Hut-102 cells was determined. The affinity of chimeric anti-Tac was indistinguishable from that of anti-Tac (data not shown), as expected from the fact that their entire V regions are identical. The affinity of humanized anti-Tac for membrane-bound p55 was  $3 \times 10^9 \text{ M}^{-1}$ , about 1/3 the measured affinity of  $9 \times 10^9 \text{ M}^{-1}$  of anti-Tac itself (Fig. 4).

#### DISCUSSION

Because monoclonal antibodies can be produced that are highly specific for a wide variety of cellular targets, antibody therapy holds great promise for the treatment of cancer, autoimmune conditions, and other diseases. However, this promise has not been widely realized, largely because most monoclonal antibodies, which are of mouse origin, are immunogenic when used in human patients and are ineffective at recruiting human immune effector functions such as CDC and ADCC. A partial solution to this problem is the use of chimeric antibodies (16), which combine the V region binding domains of mouse antibodies with human antibody C regions. Initially, chimeric antibodies were constructed by combining genomic clones of the V and C region genes. However, this method is very time consuming because of the difficulty of genomic cloning, especially from tetraploid hybridomas. 11

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FIG. 4. Competitive binding of labeled anti-Tac tracer to Hut-102 cells. Duplicate samples are shown. . Mouse anti-Tac competitor; v, humanized anti-Tac competitor.

More recently, cDNA clones of the V and C regions have been combined, but this method is also tedious because of the need to join the V and C regions precisely (20, 21). Here we show that the V region from a readily obtainable cDNA clone can be easily joined to a human genomic C region, which need only be cloned once, by leaving a synthetic intron between the V and C regions. When linked to suitable transcriptional regulatory elements and transfected into an appropriate host cell, such chimeric genes produce antibody at a high level.

Chimeric antibodies represent an improvement over mouse antibodies for use in human patients, because they are presumably less immunogenic and sometimes mediate CDC or ADCC more effectively (21). For example, chimeric anti-Tac mediates ADCC with activated human effector cells, whereas murine anti-Tac does not (unpublished data). However, the mouse V region can itself be highly immunogenic (15). Winter and colleagues therefore took the further, innovative, step of combining the CDRs from a mouse (or rat) antibody with the framework region from a human antibody (22-25), thus reducing the xenogeneic elements in the humanized antibody to a minimum. Unfortunately, in some cases the humanized antibody had significantly less binding affinity for antigen than did the original mouse antibody. This is not surprising, because transferring the mouse CDRs from the mouse framework to the human framework could easily deform them.

In humanizing the anti-Tac antibody, which binds to the p55 chain of the human IL-2R, we have introduced two ideas that may have wider applicability. First the human framework was chosen to be as homologous as possible to the original mouse antibody to reduce any deformation of the mouse CDRs. Second, computer modeling was used to identify several framework amino acids in the mouse antibody that might interact with the CDRs or directly with antigen, and these amino acids were transferred to the human framework along with the CDRs. The resulting humanized antibody has a high affinity,  $3 \times 10^9$  M<sup>-1</sup>, for its antigen. Further work is needed to determine to what extent the choice of human framework and the preservation of particular mouse amino acids in fact contributed to the affinity of the humanized antibody. The extent to which humanization eliminates immunogenicity will need to be addressed in clinical trials, where humanized anti-Tac will be administered to patients with Tac-expressing lymphomas or selected autoimmune diseases or to patients receiving organ transplants.

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[11]

Patent Number:

### United States Patent [19]

#### Adair et al.

### [45] Date of Patent: Jan. 12, 1999

5,859,205

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- [73] Assignee: Celttech Limited, Berkshire, United Kingdom
- [21] Appl. No.: 303,569
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#### **Related U.S. Application Data**

[63] Continuation of Ser. No. 743,329, Sep. 17, 1991, abandoned.

#### [30] Foreign Application Priority Data

- - 530/388.22, 867, 864

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#### [57] ABSTRACT

CDR-grafted antibody heavy and light chains comprise acceptor framework and donor antigen binding regions, the heavy chains comprising donor residues at at least one of positions (6, 23) and/or (24, 48) and/or (49, 71) and/or (73, 75) and/or (76) and/or (78) and (88) and/or (91). The CDR-grafted light chains comprise donor residues at at least one of positions (1) and/or (3) and (46) and/or (47) or at at least one of positions (46, 48, 58) and (71). The CDR-grafted antibodies are preferably humanised antibodies, having non human, e.g. rodent, donor and human acceptor frameworks, and may be used for in vivo therapy and diagnosis. A generally applicable protocol is disclosed for obtaining CDR-grafted antibodies.

#### 8 Claims, 18 Drawing Sheets

Carter Exhibit 2024 Carter v. Adair Interference No. 105,744

> PFIZER EX. 1095 Page 1131

1 GAATTCCCAA AGACAAAatq gattttcaag tgcagatttt cagcttcctg 51 ctaatcagtg cctcagtcat aatatccaga ggacaaattg ttctcaccca gtetecagea ateatgtetg catetecagg ggagaaggte accatgacet 101 151 gcagtgccag etcaagtgta agttacatga actggtacca gcagaagtca 201 ggcacctccc ccaaaagatg gatttatgac acatccaaac tggcttctgg 251 agteectget caetteaggg geagtgggte tgggacetet taetetetea caatcagegg catggagget gaagatgetg ceaettatta etgecageag 301 351 topagtagta accatteae attegeteg aggaeaaagt tagaaataaa coggetgat actgeaceaa etgtateeat etteceacea tecagtgage 401 451 agttaacate topaggtgee teagtegtgt gettettgaa caaettetae 501 cccaaagaca tcaatgtcaa gtggaagatt gatggcagtg aacgacaaaa 551 tggcgtcctg aacagttgga ctgatcagga cagcaaagac agcacctaca 601 gcatgagcag cacceteacg ttgaceaagg acgagtatga acgaeataae 651 agetatacet gtgaggeeae teacaagaea teaaetteae ceattgteaa gagetteaac aggaatgagt gtTAGAGACA AAGGTCCTGA GACGCCACCA 701 CCAGCTCCCA GCTCCATCCT ATCTTCCCTT CTAAGGTCTT GGAGGCTTCC 751 801 CCACAAGCGC traccactgt tgcggtgctc taaacctcct cccacctcct TCTCCTCCTC CTCCCTTTCC TTGGCTTTTA TCATGCTAAT ATTTGCAGAA 851 AATATTCAAT AAAGTGAGTC TTTGCCITGA AAAAAAAAAAA AAA 901 (SEQ ID ND:4)

## FIG. 1a

1	MDFQVQ1FSF	LLISASVIIS	RGDQIVLTQSF	AIMSASPGEK	VTMTCSASSS
51	VSYMNWYQQK	SGTSPKRWIY	DTSKLASGVP	AHFRGSGSGT	SYSLTISGME
101	AEDAATYYCQ	QWSSNPFTFG	SGTKLEINRA	DTAPTVSIFP	PSSEQLTSGG
151	ASVVCFLNNF	YPKDINVKWK	IDGSERQNGV	LNSWTDQDSK	DSTYSMSSTL
201	TLTKDEYERH	NSYTCEATHK	TSTSPIVKSF	NRNEC* (SEQ	1D ND:5)

## FIG. 1b

1	GAATTCCCCT	CTCCACAGAC	ACTGAAAACT	CTGACTCAAC	ATGGAAAGGC
51	ACTGGATCTT	TCTACTCCTG	TTGTCAGTAA	CTGCAGGTGT	CCACTCCCAG
101	GTCCAGCTGC	AGCAGTCTGG	GGCTGAACTG	GCAAGACCTG	GGGCCTCAGT
151	GAAGATGTCC	TGCAAGGCTT	CTGGCTACAC	CTTTACTAGG	TACACGATGC
201	ACTGGGTAAA	ACAGAGGCCT	GGACAGGGTC	TGGAATGGAT	TGGATACATT
251	ATTCCTAGCC	GTGGTTATAC	TAATTACAAT	CAGAAGTTCA	AGGACAAGGC
301	CACATTGACT	ACAGACAAAT	CCTCCAGCAC	AGCCTACATG	CAACTGAGCA
351	GCCTGACATC	TGAGGACTCT	GCAGTCTATT	ACTGTGCAAG	ATATTATGAT
401	GATCATTACT	GCCTTGACTA	CTGGGGCCAA	GGCACCACTC	TCACAGTCTC
451	CTCAGCCAAA	ACAACAGCCC	CATCGGTCTA	TCCACTGGCC	CCTGTGTGTG
501	GAGATACAAC	TGGCTCCTCG	GTGACTCTAG	GATGCCTGGT	CAAGGGTTAT
551	TTCCCTGAGC	CAGTGACCTT	GACCTGGAAC	TCTGGATCCC	TGTCCAGTGG
601	TGTGCACACC	TTCCCAGCTG	TCCTGCAGTC	TGACCTCTAC	ACCCTCAGCA
651	GCTCAGTGAC	TGTAACCTCG	AGCACCTGGC	CCAGCCAGTC	CATCACCTGC
701	AATGTGGCCC	ACCCGGCAAG	CAGCACCAAG	GTGGACAAGA	AAATTGAGCC
801	ACCTCTTGGG	TGGACCATCC	GTCTTCATCT	TCCCTCCAAA	GATCAAGGAT
851	GTACTCATGA	TCTCCCTGAG	CCCCATAGTC	ACATGTGTGG	TGGTGGATGT
901	GAGCGAGGAT	GACCCAGATG	TCCAGATCAG	CTGGTTTGTG	AACAACGTGG
951	AAGTACACAC	AGCTCAGACA	CAAACCCATA	GAGAGGATTA	CAACAGTACT
1001	CTCCGGGTGG	TCAGTGCCCT	CCCCATCCAG	CACCAGGACT	GGATGAGTGG
1051	CAAGGAGTTC	AAATGCAAGG	TCAACAACAA	AGACCTCCCA	GCGCCCATCG
1101	AGAGAACCAT	CTCAAAACCC	AAAGGGTCAG	TAAGAGCTCC	ACAGGTATAT
1151	GTCTTGCCTC	CACCAGAAGA	AGAGATGACT	AAGAAACAGG	TCACTCTGAC
1201	CTGCATGGTC	ACAGACTTCA	TGCCTGAAGA	CATTTACGTG	GAGTGGACCA
1251	ACAACGGGAA	AACAGAGCTA	AACTACAAGA	ACACTGAACC	AGTCCTGGAC
1301	TCTGATGGTT	CTTACTTCAT	GTACAGCAAG	CTGAGAGTGG	AAAAGAAGAA
1351	CTGGGTGGAA	AGAAATAGCT	ACTCCTGTTC	AGTGGTCCAC	GAGGGTCTGC
1401	ACAATCACCA	CACGACTAAG	AGCTTCTCCC	GGACTCCGGG	TAAATGAGCT
1451	CAGCACCCAC	AAAACTCTCA	GGTCCAAAGA	GAGACCCACA	CTCATCTCCA
1501	TGCTTCCCTT	GTATAAATAA	AGCACCCAGC	AATGCCTGGG	ACCATGTAAA
1551	AAAAAAAAAA	AAAGGAATTC	(SEQ ID NO	]:6)	

### FIG. 2a

DKT 3 HEAVY CHAIN PROTEIN SEQUENCE DEDUCED FROM DNA SEQUENCE

- 1	MERHWIFLLL	LSVTAGVHSQ	VQLQQSGAEL	ARPGASVKMS	CKASGYTFTR
51	YTMHWVKQRP	GQGLEWIGYI	NPSRGYTNYN	QKFKDKATLT	TDKSSSTAYM
101	QLSSLTSEDS	AVYYCARYYD	DHYCLDYWGQ	GTTLTVSSAK	TTAPSVYPLA
151	PVCGDTTGSS	VTLGCLVKGY	FPEPVTLTWN	SGSLSSGVHT	FPAVLQSDLY
201	TLSSSVTVTS	STWPSQSITC	NVAHPASSTK	VDKKIEPRGP	TIKPCPPCKC
251	PAPNLLGGPS	VFIFPPKIKD	VLMISLSPIV	TCVVVDVSED	DPDVQISWFV
301	NNVEVHTAQT	QTHREDYNST	LRVVSALPIQ	HQDWMSGKEF	KCKVNNKDLP
351	APIERTISKP	KGSVRAPQVY	VLPPPEEEMT	KKQVTLTCMV	TDFMPEDIYV
401	EWINNGKIEL	NYKNTEPVLD	SDGSYFMYSK	LRVEKKNWVE	RNSYSCSVVH
451	EGLHNHHTTK	SFSRTPGK*	(SEQ ID NO:	7)	

## FIG. 2b

	1 NN	N	23 N	N	42 N	
RES TYPE Okt3vl REI	SBspSPES QIVLTQSF DIQMTQSF 2 2	SSSBSbSSSSS PAIMSASPGEK PSSLSASVGDR	PSPSPsPSsse VTMTCSASS.S VTITCQASQDI	*s*p*P VSYM <u>N</u> W IKYLNW	i^ISsSe YQQKSGT YQQ <u>T</u> PGK	
	CDR1 CDR1	(LOOP) (KABAT)	**** *****	{*** {****		
	N NN	56			85	
RES TYPE Dkt3vl REI ID ND:8)	*IstPple SPKRWIYI APKLLIYE	esesssSBEs DTSKLASGVPA CASNLQAGVPS	ePsPSBSSEsP HF <u>R</u> GSGSGTSY RFSGSGSGTD <u>Y</u>	SpsPsse SLTIS <u>G</u> (TETISSI	eesSPePb MEAEDAAT LQPED <u>I</u> AT	(SEQ
	??? **	«**** CDR	2 (LOOP/KAE	? BAT)		
DES TYDE	P:PIP:or		108			
Okt3vl REIvl	YYCQQWSS	SNPFTFG <u>S</u> GTK SLPYTFGQGTK ?	LEINR (SEQ LQIIR (SEQ ?	ID ND: ID ND:	29) 3)	
	**** *****	«** C	DR3 (LOOP) RD3(KABAT)			

## FIG. 3

NN N 23 26 32 35 N39 43

RES TYPESESPs^SBssS^sSSsSpSpSPSPSEbSBssBePi^PIpiesssOkt3hQVQLQQSGAELARPGASVKMSCKASGYTFTRYTMNHWVKQRPGQKOLQVQLVESGGGVVQPGRSLRLSCSSSGFIFSSYAMYWVRQAPGK222

\*\*\*\*\* CDR1 (LODP) \*\*\*\*\* CDR1 (KABAT)

52a 60 65 NNN 82abc 89 RES TYPE IleIppp^sssssss^ps^pSSsbSpseSsSseSp^pSpsSBssS^ePb GLEWIGYINPSRGYTNTNQKFKRKATLTTDKSSSTAYMQLSSLTSEDSAV Okt3vh GLEWVAIIWDDGSDQHYADSVKGRFTISRDNSKNTLFLQMDSLPPEDTGV KOL ?? 3333 ? CDR2 (LOOP)(KABAT)

	92 N	107	113			
RES TYPE	PIPIEIssssiiisssbibi*E	IPIP*sp	SBSS			
Okt3vh	YYCARYYDDHYCLDY	GOGTTL	ZZVT	(SEQ	ID	ND:30)
KOL	YFCARDGGHGFCSSASCFGPDY	WGQGTP	ZZVTV	(SEQ	1 <b>D</b>	ND:10)
	***********	CRD4	(KABAT	/LOOP	)	

## FIG. 4

### DKT 3 HEAVY CHAIN CDR GRAFTS

1. gh341 and derivatives

	1 26 35 39 43	
Okt3vh	QVQLQQSGAELARPGASVKMSCKASGYTFTRYTMHWVKQRPGQ	
gH341	QVQLVESGGGVVQDGRSLRLSCSS <u>SGYTFTRYTMH</u> WVRQAPGK	JA178
gH341A	QVQLV <u>Q</u> SGGGVVQPGRSLRLSC <u>KASGYTFTRYTM</u> HWVRQAPGK	JA185
gH341E	QVQLVQSGGGVVQPGRSLRLSCKASGYTFTRYTMHWVRQAPGK	JA198
gH341*	QVQLVQSGGGVVQPGRSLRLSCKASGYTFTRYTMHWVRQAPGK	JA207
gH341*	QVQLVQSGGGVVQPGRSLRLSCKASGYTFTRYTMHWVRQAPGK	JA209
gH341D	QVQLVQSGGGVVQPGRSLRLSCKASGYTFTRYTMHWVRQAPGK	JA197
gH341*	QVQLVQSGGGVVQPGRSLRLSCKASGYTFTRYTMHWVRQAPGK	JA199
9H341C	QVQLVQSGGGVVQPGRSLRLSCKASGYTFTRYTMHWVRQAPGK	JA184
gH341*	QVQLVQSGGGVVQPGRSLRLSCSASGYTFTRYTMHWVRQAPGK	JA203
gH341*	QVQLVESGGGVVQPGRSLRLSCSASGYTFTRYTMHWVRQAPGK	JA205
gH341B	QVQLVESGGGVVQPGRSLRLSCS <u>SGYTFTRYTM</u> HWVRQAPGK	JA183
gH341*	QVQLVQSGGGVVQPGRSLRLSCSASGYTFTRYTMHWVRQAPGK	JA204
gH341*	QVQLVESGGGVVQPGRSLRLSCSASGYTFTRYTMHWVRQAPGK	JA206
gH341*	QVQLVQSGGGVVQPGRSLRLSCSASGYTFTRYTMHWVRQAPGK	JA208
KOL	QVQLVESGGGVVQPGRSLRLSCSSSGF1FSSYAMYWVRQAPGK	

## FIG. 5a

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# FIG. 5b

	44 50	les and di	65		83	
Okt3vh	GLEWIGY	INPSRGYTNYN	QKFKDKATL	TTDKSSST	AYMQLSSLT	
gH341	GLEWVAY	INPSRGYTNYN	QKFKDRFTI	SRDNSKNT	LFLQMDSLR	JA178
gH341A	GLEWIGY	INPSRGYTNYN	QKVKDRFTI	SIDKSKST	AFLQMDSLR	JA185
<b>GH341E</b>	GLEWIGY	INPSRGYINYN	<u>OKAKD</u> EL I I	ZĪDĒZKĒL	<b>VELTAND</b>	JA198
gH341*	GLEWIGY	INPSRGYTNYN	<u>QKVKD</u> RFTI	SIDKSKNT	AFLQMDSLR	JA207
oH341*	GLEWIGY	INPSRGYTNYN	QKVKDRFTI	SRDNSKNT	AFLQMDSLR	JA209
oH341D	GLEWIGY	INPSRGYTNYN	OKVKDRFTI	STDKSKNT	<b>EFLOMDSLR</b>	JA197
0H341*	GLEWIGY	INPSRGYTNYN	OKVKDRFTI	SRDNSKNT	I FLOMDSLR	IA199
0H341C	GLEWVAY	INPSRGYTNYN	QKFKDRFTI	SRDNSKNT	LFLQMDSLR	JA184
3						
gH341*	GLEWIGY	INPSRGYTNYN	DKVKDRFTI	SIDKSKST	AFLQMDSLR	JA207
gH341*	GLEWIGY	INPSRGYTNYN	DKVKDRFTI	STDKSKST	AFLQMDSLR	JA205
oH341B	GLEWIGY	INPSRGYTNYN	DKVKDRFTI	STDRSKST	ĀFLQMDSLR	JA183
oH341*	GLEWIGY	INPSRGYTNYN	DKVKDRFTI	STDRSKST	AFLOMDSLR	JA204
0H341*	GLEWIGY	INPSRGYTNYN	DKVKDRFTI	STURSKET	AFLOMDSI R	14206
04341*	GLEWIGY	INPSPGYTNYN	DKVKDPFTI	STORSKNT	AFLOWDSLR	14208
ULI WEI	CLEWIGI	INDDCSDOUVA	DEVECOLT	CDDNCKNT	LELONDSLK	JHL 00
NUL	ULEWVAL	INDRODRHIU	D2AKOKL 11	2KTN2KN1	LLCGWDSCK	

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	84	95	102	113		SEQ	ID NO:
Dkt3vh	SEDSAV	YYCARYYDDHY.	CLDYWGQGT	TLTVSS			
gH341	PEDTGV	YFCARYYDDHY.	CLDYWGQGT	TLTVSS	JA178	30	
gH341A	PEDTAV	YYCARYYDDHY.	CLDYWGQGT	TLTVSS	JA185	12	
gH341E	PEDTGV	YFCAR <u>YYDDHY.</u>		TLTVSS	JA198	13	
gH341*	PEDTGV	YFCARYYDDHY.	CLDYWGQGT	TLTVSS	JA207	14	
gH341D	PEDTGV	YFCARYYDDHY.	CLDYWGQGT	TLTVSS	JA197	15	
gH341*	PEDTGV	YFCARYYDDHY.	CLDYWGQGT	TLTVSS	JA209	16	
QH341*	PEDTGV	YFCARYYDDHY.	CLDYWGQGT	TLTVSS	JA199	17	
9H341C	PEDTGV	YFCARYYDDHY.	CLDYWGQGT	TLTVSS	JA184	18	
gH341*	PEDTAV	YYCARYYDDHY.	CLDYWGQGT	TLTVSS	JA203	19	
gH341*	PEDTAV	YYCARYYDDHY.	CLDYWGQGT	TLTVSS	JA205	20	
gH341B	PEDTAV	YYCARYYDDHY.	CLDYWGQGT	TLTVSS	JA183	21	
gH341*	PEDTGV	YFCARYYDDHY.	CLDYWGQGT	TLTVSS	JA204	55	
gH341*	PEDTGV	YFCARYYDDHY.	CLDYWGQGT	TLTVSS	JA206	53	
gH341*	PEDTGV	YFCARYYDDHY.	CLDYWGQGT	TLTVSS	305AL	24	
KOL	PEDTGV	YFCARDGGHGFC	SSASCFGPDYWGQGT	PVTVSS		10	

FIG. 5c

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### DKT3 LIGHT CHAIN CDR GRAFTING

1. gL221 and derivatives

	1			24	34	42			
Okt3vl	QIVLTO	SPADM	SASPGEK	VTMTCSASS	. SVSYMNWY	QQKSGT			
gL221	DIQMIC	SPSSL	SASVGDR	22A2DTITV	. SVSYMNWY	QQTPGK			
gL221A	QIVMTO	SPSSL:	SASVGDR	<b>VTITCSASS</b>	.SVSYMNWY	QQTPGK			
gL221B	QIVMTO	SPSSL:	SASVGDR	<b>VTITCSASS</b>	.SVSYMNWY	QQTPGK			
gL221C	DIQMTO	SPSSL	SASVGDR	<b>WTITCSASS</b>	.SVSYMNWY	QQTPGK			
ŘEI	DIQMTO	SPSSL	SASVGDR	RVTITCQASQ	DIIKYLNWY	QQTPGK			
	43	50	56			85	5		
Okt3vl	SPKRW]	YDTSKI	ASGVPA	HFRGSGSGT	SYSLTISGM	EAEDAAT			
gL221	APKLL	YDTSKI	ASGVPS	RFSGSGSGT	DYTFTISSL	QPEDIAT			
A1221p	APKRW]	YDTSKI	ASGVPS	RFSGSGSGT	DYTFTISSL	QPEDIAT			
gL221B	APKRW	YDTSKI	ASGVPS	RFSGSGSGT	DYTFTISSL	QPEDIAT			
gL221C	APKRW	YDTSKI	ASGVPS	RFSGSGSGT	DYTFTISSL	QPEDIAT			
REI	APKLL	<b>YEASNI</b>	QAGVPS	RFSGSGSGT	DYTFTISSL	QPEDIAT	(SEQ	ID	N[1:8)
	0/ /			1.00					

	00 71 70 100	
Okt3vl	YYCQQWSSNPF TFGSGTKLE INR	(SEQ 1D ND:29)
gL221	YYCQQWSSNPE TFGQGTKLQITR	(SEQ ID NO:25)
GL221A	YYCQQWSSNPETFGQGTKLQITR	(SEQ 1D ND:26)
gL221B	YYCQQWSSNPETFGQGTKLQITR	(SEQ ID ND:27)
gL221C	YYCQQWSSNPETFGQGTKLQ1TR	(SEQ 1D ND:28)
REI	YYCQQYQSLPYT <u>FGQGTKLQITR</u>	(SEQ ID ND:9)

CDR'S ARE UNDERLINED

FRAMEWORK RESIDUES INCLUDED IN THE GENE ARE DOUBLE UNDERLINED

## FIG. 6





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FIG. 10a

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FIG. 10b

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FIG. 11a

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FIG. 11b

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#### HUMANISED ANTIBODIES

This is a continuation of application Ser. No. 07/743,329, filed Sep. 17, 1991, now abandoned.

#### FIELD OF THE INVENTION

The present invention relates to humanised antibody molecules, to processes for their production using recombinant DNA technology, and to their therapeutic uses.

The term "humanised antibody molecule" is used to describe a molecule having an antigen binding site derived from an immunoglobulin from a non-human species, and remaining immunoglobulin-derived parts of the molecule being derived from a human immunoglobulin. The antigen 15 binding site typically comprises complementarity determining regions (CDRS) which determine the binding specificity of the antibody molecule and which are carried on appropriate framework regions in the variable domains. There are 3 CDRs (CDR1, CDR2 and CDR3) in each of the heavy and 20 light chain variable domains.

In the description, reference is made to a number of publications by number. The publications are listed in numerical order at the end of the description.

#### BACKGROUND OF THE INVENTION

Natural immunoglobulins have been known for many years, as have the various fragments thereof, such as the Fab, (Fab')2 and Fc fragments, which can be derived by enzymatic cleavage. Natural immunoglobulins comprise a generally Y-shaped molecule having an antigen-binding site towards the end of each upper arm. The remainder of the structure, and particularly the stem of the Y, mediates the effector functions associated with immunoglobulins.

Natural immunoglobulins have been used in assay, diagnosis and, to a more limited extent, therapy. However, such uses, especially in therapy, were hindered until recently by the polyclonal nature of natural immunoglobulins. A significant step towards the realisation of the potential of immunoglobulins as therapeutic agents was the discovery of procedures for the production of monoclonal antibodies (MAbs) of defined specificity (1).

However, most MAbs are produced by hybridomas which They are therefore essentially rodent proteins. There are very few reports of the production of human MAbs

Since most available MAbs are of rodent origin, they are naturally antigenic in humans and thus can give rise to an undesirable immune response termed the HAMA (Human 50 Anti-Mouse Antibody) response. Therefore, the use of rodent MAbs as therapeutic agents in humans is inherently limited by the fact that the human subject will mount an immunological response to the MAb and will either remove it entirely or at least reduce its effectiveness. In practice, 55 MAbs of rodent origin may not be used in patients for more than one or a few treatments as a HAMA response soon develops rendering the MAb ineffective as well as giving rise to undesirable reactions. For instance, OKT3 a mouse IgG2a/k MAb which recognises an antigen in the T-cell 60 receptor-CD3 complex has been approved for use in many countries throughout the world as an immunosuppressant in the treatment of acute allograft rejection [Chatenoud et al (2) and Jeffers et al (3)]. However, in view of the rodent nature of this and other such MAbs, a significant HAMA response 65 which may include a major anti-idiotype component, may build up on use. Clearly, it would be highly desirable to

diminish or abolish this undesirable HAMA response and thus enlarge the areas of use of these very useful antibodies.

Proposals have therefore been made to render non-human MAbs less antigenic in humans. Such techniques can be generically termed "humanisation" techniques. These techniques typically involve the use of recombinant DNA technology to manipulate DNA sequences encoding the polypeptide chains of the antibody molecule.

Early methods for humanising MAbs involved production 10 of chimeric antibodies in which an antigen binding site comprising the complete variable domains of one antibody is linked to constant domains derived from another antibody. Methods for carrying out such chimerisation procedures are described in EP0120694 (Celltech Limited), EP0125023 (Genentech Inc. and City of Hope), EP-A-0 171496 (Res. Dev. Corp. Japan), EP-A-0 173 494 (Stanford University), and WO 86/01533 (Celltech Limited). This latter Celltech application (WO 86/01533) discloses a process for preparing an antibody molecule having the variable domains from a mouse MAb and the constant domains from a human immunoglobulin. Such humanised chimeric antibodies, however, still contain a significant proportion of non-human amino acid sequence, i.e. the complete non-human variable domains, and thus may still elicit some HAMA response, particularly if administered over a prolonged period [Begent 25 et al (ref. 4)]

In an alternative approach, described in EP-A-0239400 (Winter), the complementarity determining regions (CDRs) of a mouse MAb have been grafted onto the framework regions of the variable domains of a human immunoglobulin by site directed mutagenesis using long oligonucleotides. The present invention relates to humanised antibody molecules prepared according to this alternative approach, i.e. CDR-grafted humanised antibody molecules. Such CDRgrafted humanised antibodies are much less likely to give rise to a HAMA response than humanised chimeric antibodies in view of the much lower proportion of non-human amino acid sequence which they contain. The earliest work on humanising MAbs by CDR-grafting was carried out on MAbs recognising synthetic antigens, such as the NP or NIP antigens. However, examples in which a mouse MAb recognising lysozyme and a rat MAb recognising an antigen on human T-cells were humanised by CDR-grafting have been described by Verhoeyen et al (5) and Riechmann et al (6) respectively. The preparation of CDR-grafted antibody to are fusions of rodent spleen cells with rodent myeloma cells. 45 the antigen on human T cells is also described in WO 89/07452 (Medical Research Council).

> In Riechmann et al/Medical Research Council it was found that transfer of the CDR regions alone [as defined by Kabat refs. (7) and (8)] was not sufficient to provide satisfactory antigen binding activity in the CDR-grafted product. Riechmann et al found that it was necessary to convert a serine residue at position 27 of the human sequence to the corresponding rat phenylalanine residue to obtain a CDRgrafted product having improved antigen binding activity. This residue at position 27 of the heavy chain is within the structural loop adjacent to CDR1. A further construct which additionally contained a human serine to rat tyrosine change at position 30 of the heavy chain did not have a significantly altered binding activity over the humanised antibody with the serine to phenylalanine change at position 27 alone. These results indicate that changes to residues of the human sequence outside the CDR regions, in particular in the structural loop adjacent to CDR1, may be necessary to obtain effective antigen binding activity for CDR-grafted antibodies which recognise more complete antigens. Even so the binding affinity of the best CDR-grafted antibodies obtained was still significantly less than the original MAb.

Very recently Queen et al (9) have described the preparation of a humanised antibody that binds to the interleukin 2 receptor, by combining the CDRs of a murine MAb (anti-Tac) with human immunoglobulin framework and constant regions. The human framework regions were chosen to smaximise homology with the anti-Tac MAb sequence. In addition computer modelling was used to identify framework amino acid residues which were likely to interact with the CDRs or antigen, and mouse amino acids were used at these positions in the humanised antibody. 10

In WO 90/07861 Queen et al propose four criteria for designing humanised immunoglobulins. The first criterion is to use as the human acceptor the framework from a particular human immunoglobulin that is unusually homologous to the non-human donor immunoglobulin to be humanised, or 15 to use a consensus framework from many human antibodies. The second criterion is to use the donor amino acid rather than the acceptor if the human acceptor residue is unusual and the donor residue is typical for human sequences at a specific residue of the framework. The third criterion is to 20 use the donor framework amino acid residue rather than the acceptor at positions immediately adjacent to the CDRs. The fourth criterion is to use the donor amino acid residue at framework positions at which the amino acid is predicted to have a side chain atom within about 3 A of the CDRs in a 25 three-dimensional immunoglobulin model and to be capable of interacting with the antigen or with the CDRs of the humanised immunoglobulin. It is proposed that criteria two, three or four may be applied in addition or alternatively to criterion one, and may be applied singly or in any combi-30 nation

WO 90/07861 describes in detail the preparation of a single CDR-grafted humanised antibody, a humanised antibody having specificity for the p55 Tac protein of the IL-2 receptor. The combination of all four criteria, as above, were employed in designing this humanised antibody, the variable region frameworks of the human antibody Eu (7) being used as acceptor. In the resultant humanised antibody the donor CDRs were as defined by Kabat et al (7 and 8) and in addition the mouse donor residues were used in place of the human acceptor residues, at positions 27, 30, 48, 66, 67, 89, 91, 94, 103, 104, 105 and 107 in the heavy chain SEQ ID NO:31 and at positions 48, 60 and 63 in the light chain, of the variable region frameworks. The humanised anti-Tac 45 antibody obtained is reported to have an affinity for p55 of 3×10°M<sup>-1</sup>, about one-third of that of the murine MAb.

We have further investigated the preparation of CDRgrafted humanised antibody molecules and have identified a hierarchy of positions within the framework of the variable regions (i.e. outside both the Kabat CDRs and structural loops of the variable regions) at which the amino acid identities of the residues are important for obtaining CDRgrafted products with satisfactory binding affinity. This has enabled us to establish a protocol for obtaining satisfactory CDR-grafted products which may be applied very widely irrespective of the level of homology between the donor immunoglobulin and acceptor framework. The set of residues which we have identified as being of critical importance does not: coincide with the residues identified by Queen et al (9).

#### SUMMARY OF THE INVENTION

Accordingly, in a first aspect the invention provides a CDR-grafted antibody heavy chain having a variable region 65 domain comprising acceptor framework and donor antigen binding regions wherein the framework comprises donor

residues at at least one of positions 6, 23 and/or 24, 48 and/or 49, 71 and/or 73, 75 and/or 76 and/or 78 and 88 and/or 91.

In preferred embodiments, the heavy chain framework comprises donor residues at positions 23, 24, 49, 71, 73 and 78 or at positions 23, 24 and 49. The residues at positions 71, 73 and 78 of the heavy chain framework are preferably either all acceptor or all donor residues.

In particularly preferred embodiments the heavy chain framework additionally comprises donor residues at one, some or all of positions 6, 37, 48 and 94. Also it is particularly preferred that residues at positions of the heavy chain framework which are commonly conserved across species, i.e. positions 2, 4, 25, 36, 39, 47, 93, 103, 104, 106 and 107, if not conserved between donor and acceptor, additionally comprise donor residues. Most preferably the heavy chain framework additionally comprises donor residues at positions 2, 4, 6, 25, 36, 37, 39, 47, 48, 93, 94, 103, 104, 106 and 107.

In addition the heavy chain framework optionally comprises donor residues at one, some or all of positions:

1 and 3,

72 and 76,

69 (if 48 is different between donor and acceptor),

38 and 46 (if 48 is the donor residue),

80 and 20 (if 69 is the donor residue),

67.

82 and 18 (if 67 is the donor residue),

91,

88, and

any one or more of 9, 11, 41, 87, 108, 110 and 112.

In the first and other aspects of the present invention reference is made to CDR-grafted antibody products comprising acceptor framework and donor antigen binding regions. It will be appreciated that the invention is widely applicable to the CDR-grafting of antibodies in general. Thus, the donor and acceptor antibodies may be derived from animals of the same species and even same antibody class or sub-class. More usually, however, the donor and acceptor antibodies are derived from animals of different species. Typically the donor antibody is a non-human antibody, such as a rodent MAb, and the acceptor antibody is a human antibody.

In the first and other aspects of the present invention, the donor antigen binding region typically comprises at least one CDR from the donor antibody. Usually the donor antigen binding region comprises at least two and preferably all three CDRs of each of the heavy chain and/or light chain variable regions. The CDRs may comprise the Kabat CDRs, the structural loop CDRs or a composite of the Kabat and structural loop CDRs and any combination of any of these. Preferably, the antigen binding regions of the CDR-grafted hearty chain variable domain comprise CDRs corresponding to the Kabat CDRs at CDR2 (residues 50–65) and CDR3 (residues 95–100) and a composite of the Kabat and structural loop CDRs at CDR1 (residues 26–35).

The residue designations given above and elsewhere in the present application are numbered according to the Kabat numbering [refs. (7) and (8)]. Thus the residue designations do not always correspond directly with the linear numbering of the amino acid residues. The actual linear amino acid sequence may contain fewer or additional amino acids than in the strict Kabat numbering corresponding to a shortening of, or insertion into, a structural component, whether framework or CDR, of the basic variable domain structure. For example, the heavy chain variable region of the anti-Tac antibody described by Queen et al (9) contains a single amino acid insert (residue 52a) after residue 52 of CDR2 and a three amino acid insert (residues 82a, 82b and 82c) after framework residue 82, in the Kabat numbering. The correct Kabat numbering of residues may be determined for a given 5 antibody by alignment at regions of homology of the sequence of the antibody with a "standard" Kabat numbered sequence.

The invention also provides in a second aspect a CDRgrafted antibody light chain having a variable region domain 10 comprising acceptor framework and donor antigen binding regions wherein the framework comprises donor residues at at least one of positions 1 and/or 3 and 46 and/or 47. Preferably the CDR grafted light chain of the second aspect comprises donor residues at positions 46 and/or 47. 15

The invention also provides in a third aspect a CDRgrafted antibody light chain having a variable region domain comprising acceptor framework and donor antigen binding regions wherein the framework comprises donor residues at at least one of positions 46, 48, 58 and 71.

In a preferred embodiment of the third aspect, the framework comprises donor residues at all of positions 46, 48, 58 and 71.

In particularly preferred embodiments of the second and third aspects, the framework additionally comprises donor 25 residues at positions 36, 44, 47, 85 and 87. Similarly positions of the light chain framework which are commonly conserved across species, i.e. positions 2, 4, 6, 35, 49, 62, 64–69, 98, 99, 101 and 102, if not conserved between donor and acceptor, additionally comprise donor residues. Most 30 preferably the light chain framework additionally comprises donor residues at positions 2, 4, 6, 35, 36, 38, 44, 47, 49, 62, 64–69, 85, 87, 98, 99, 101 and 102.

In addition the framework of the second or third aspects optionally comprises donor residues at one, some or all of 35 positions: chain and REI for the light chain and EU, both the heavy chain and the light chain. Also the constant region domains of the

1 and 3,

60 (if 60 and 54 are able to form at potential saltbridge), 70 (if 70 and 24 are able to form a potential saltbridge), 73 and 21 (if 47 is different between donor and acceptor), 37 and 45 (if 47 is different between donor and acceptor), and

any one or more of 10, 12, 40, 80, 103 and 105.

Preferably, the antigen binding regions; of the CDR- 45 grafted light chain variable domain comprise CDRs corresponding to the Kabat CDRs at CDR1 (residue 24–34), CDR2 (residues 50–56) and CDR3 (residues 89–97).

The invention further provides in a fourth aspect a CDRgrafted antibody molecule comprising at least one CDRgrafted heavy chain and at least one CDR-grafted light chain according to the first and second or first and third aspects of the invention.

The humanised antibody molecules and chains of the present invention may comprise: a complete antibody 55 molecule, having full length heavy and light chains; a fragment thereof, such as a Fab, (Fab')<sub>2</sub> or FV fragment; a light chain or heavy chain monomer or dimer; or a single chain antibody, e.g. a single chain FV in which heavy and light chain variable regions are joined by a peptide linker; or 60 any other CDR-grafted molecule with the same specificity as the original donor antibody. Similarly the CDR-grafted heavy and light chain variable region may be combined with other antibody domains as appropriate.

Also the heavy or light chains or humanised antibody 65 molecules of the present invention may have attached to them an effector or reporter molecule. For instance, it may

have a macrocycle, for chelating a heavy metal atom, or a toxin, such as ricin, attached to it by a covalent bridging structure. Alternatively, the procedures of recombinant DNA technology may be used to produce an immunoglobulin molecule in which the Fc fragment or CH3 domain of a complete immunoglobulin molecule has been replaced by, or has attached thereto by peptide linkage, a functional non-immunoglobulin protein, such as an enzyme or toxin molecule.

Any appropriate acceptor variable region framework sequences may be used having regard to class/type of the donor antibody from which the antigen binding regions are derived. Preferably, the type of acceptor framework used is of the same/similar class/type as the donor antibody. 15 Conveniently, the framework may be chosen to maximise/ optimise homology with the donor antibody sequence particularly at positions close or adjacent to the CDRs. However, a high level of homology between donor and acceptor sequences is not important for application of the present invention. The present invention identifies a hierarchy of framework residue positions at which donor residues may be important or desirable for obtaining a CDR-grafted antibody product having satisfactory binding properties. The CDR-grafted products usually have binding affinities of at least 105 M<sup>-1</sup>, preferably at least about 108 M<sup>-1</sup>, or especially in the range 108-1012 M-1. In principle, the present invention is applicable to any combination of donor and acceptor antibodies irrespective of the level of homology between their sequences. A protocol for applying the invention to any particular donor-acceptor antibody pair is given hereinafter. Examples of human frameworks which may be used are KOL, NEWM, REI, EU, LAY and POM (refs. 4 and 5) and the like; for instance KOL and NEWM for the heavy chain and REI for the light chain and EU, LAY and POM for

Also the constant region domains of the products of the invention may be selected having regard to the proposed function of the antibody in particular the effector functions which may be required. For example, the constant region domains may be human IgA, IgE, IgG or IgM domains. In particular, IgG human constant region domains may be used, especially of the IgGI and IgG3 isotypes, when the humanised antibody effector functions are required. Alternatively, IgG2 and IgG4 isotypes may be used when the humanised antibody molecule is intended for therapeutic uses, and antibody effector functions are not required, e.g. for simple blocking of lymphokine activity.

However, the remainder of the antibody molecules need not comprise only protein sequences from immunoglobulins. For instance, a gene may be constructed in which a DNA sequence encoding part of a human immunoglobulin chain is fused to a DNA sequence encoding the amino acid sequence of a functional polypeptide such as an effector or reporter molecule.

Preferably the CDR-grafted antibody heavy and light chain and antibody molecule products are produced by recombinant DNA technology.

Thus in further aspects the invention also includes DNA sequences coding for the CDR-grafted heavy and light chains, cloning and expression vectors containing the DNA sequences, host cells transformed with the DNA sequences and processes for producing the CDR-grafted chains and antibody molecules comprising expressing the DNA sequences in the transformed host cells.

The general methods by which the vectors may be constructed, transfection methods and culture methods are

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well known per se and form no part of the invention. Such methods are shown, for instance, in references 10 and 11.

The DNA sequences which encode the donor amino acid sequence may be obtained by methods well known in the art. For example the donor coding sequences may be obtained by genomic cloning, or cDNA cloning from suitable hybridoma cell lines. Positive clones may be screened using appropriate probes for the heavy and light chain genes in question. Also PCR cloning may be used.

DNA coding for acceptor, e.g. human acceptor, sequences may be obtained in any appropriate way. For example DNA sequences coding for preferred human acceptor frameworks such as KOL, REI, EU and NEWM, are widely available to workers in the art.

The standard techniques of molecular biology may be used to prepare DNA sequences coding for the CDR-grafted products. Desired DNA sequences may be synthesised completely or in part using oligonucleotide synthesis techniques. Site-directed mutagenesis and polymerase chain reaction (PCR) techniques may be used as appropriate. For example oligonucleotide directed synthesis as described by Jones et 20 al (ref. 20) may be used. Also oligonucleotide directed mutagenesis of a pre-exising variable region as, for example, described by Verhoeyen et al (ref. 5) or Riechmann et al (ref. 6) may be used. Also enzymatic filling in of gapped oligonucleotides using T<sub>4</sub> DNA polymerase as, for example, 25 ucts of the invention and uses of such compositions in described by Queen et al (ref. 9) may be used.

Any suitable host cell/vector system may be used for expression of the DNA sequences coding for the CDRgrafted heavy and light chains. Bacterial e.g. E. coli, and other microbial systems may be used, in particular for expression of antibody fragments such as FAb and (Fab'), fragments, and especially FV fragments; and single chain antibody fragments e.g. single chain FVs. Eucaryotic e.g. mammalian host cell expression systems may be used for production of larger CDR-grafted antibody products, including complete antibody molecules. Suitable mammalian host cells include CHO cells and myeloma or hybridoma cell lines.

Thus, in a further aspect the present invention provides a process for producing a CDR-grafted antibody product an comprising:

(a) producing in an expression vector an operon having a DNA sequence which encodes an antibody heavy chain according to the first aspect of the invention;

and/or

- (b) producing in an expression vector an operon having a DNA sequence which encodes a complementary antibody light chain according to the second or third aspect of the invention:
- (c) transfecting a host cell with the or each vector; and
- (d) culturing the transfected cell line to produce the CDR-grafted antibody product.

The CDR-grafted product may comprise only heavy or light chain derived polypeptide, in which case only a heavy chain or light chain polypeptide coding sequence is used to 55 transfect the host cells.

For production of products comprising both heavy and light chains, the cell line may be transfected with two vectors, the first vector may contain an operon encoding a light chain-derived polypeptide and the second vector con-60 taining an operon encoding a heavy chain-derived polypeptide. Preferably, the vectors are identical, except in so far as the coding sequences and selectable markers are concerned, so as to ensure as far as possible that each polypeptide chain is equally expressed. Alternatively, a single vector may be 65 used, the vector including the sequences encoding both light chain- and heavy chain-derived polypeptides.

The DNA in the coding sequences for the light and heavy chains may comprise cDNA or genomic DNA or both. However, it is preferred that the DNA sequence encoding the heavy or light chain comprises at least partially, genomic DNA, preferably a fusion of cDNA and genomic DNA.

The present invention is applicable to antibodies of any appropriate specificity. Advantageously, however, the invention may be applied to the humanisation of non-human antibodies which are used for in vivo therapy or diagnosis. Thus the antibodies may be site-specific antibodies such as tumour-specific or call surface-specific antibodies, suitable for use in in vivo therapy or diagnosis, e.g. tumour imaging. Examples of cell surface-specific antibodies are anti-T cell antibodies, such as anti-CD3, and CD4 and adhesion molecules, such as CR3, ICAM and ELAM. The antibodies may have specificity for interleukins (including lymphokines, growth factors and stimulating factors), hormones and other biologically active compounds, and receptors for any of these. For example, the antibodies may have specificity for any of the following: Interferons  $\alpha$ ,  $\beta$ ,  $\Gamma$  or  $\delta$ IL1, IL2, IL3, or IL4, etc., TNF, GCSF, GMCSF, EPO, hGH, or insulin, etc.

The the present invention also includes therapeutic and diagnostic compositions comprising the CDR-grafted prodtherapy and diagnosis.

Accordingly in a further aspect the invention provides a therapeutic or diagnostic composition comprising a CDRgrafted antibody heavy or light chain or molecule according to previous aspects of the invention in combination with a pharmaceutically acceptable carrier, diluent or excipient.

Accordingly also the invention provides a method of therapy or diagnosis comprising administering an effective amount of a CDR-grafted antibody heavy or light chain or molecule according to previous aspects of the invention to a human or animal subject.

A preferred protocol for obtaining CDR-grafted antibody heavy and light chains in accordance with the present invention is set out below together with the rationale by which we have derived this protocol. This protocol and rationale are given without prejudice to the generality of the invention as hereinbefore described and defined.

#### Protocol

It is first of all necessary to sequence the DNA coding for the heavy and light chain variable regions of the donor antibody, to determine their amino acid sequences. It is also necessary to choose appropriate acceptor heavy and light chain variable regions, of known amino acid sequence. The CDR-grafted chain is then designed starting from the basis of the acceptor sequence. It will be appreciated that in some cases the donor and acceptor amino acid residues may be identical at a particular position and thus no change of acceptor framework residue is required.

1. As a first step donor residues are substituted for acceptor residues in the CDRs. For this purpose the CDRs are preferably defined as follows:

Heavy chain-CDR1: residues 26-35

-CDR2: residues 50-65

-CDR3: residues 95-102

Light chain-CDR1: residues 24-34

-CDR2: residues 50-56

-CDR3: residues 89-97

The positions at which donor residues are to be substituted for acceptor in the framework are then chosen as follows, first of all with respect to the heavy chain and subsequently with respect to the light chain.

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2. Heavy Chain

2.1 Choose donor residues at all of positions 23, 24, 49, 71, 73 and 78 of the heavy chain or all of positions 23, 24 and 49 (71, 73 and 78 are always either all donor or all acceptor).

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2.2 Check that the following have the same amino acid in donor and acceptor sequences, and if not preferably choose the donor: 2, 4, 6, 25, 36, 37, 39, 47, 48, 93, 94, 103, 104, 106 and 107.

2.3 To further optimise affinity consider choosing donor 10 residues at one, some or any of:

i. 1, 3

ii. 72, 76

iii. If 48 is different between donor and acceptor 15 sequences, consider 69

iv. If at 48 the donor residue is chosen, consider 38 and 46

v. If at 69 the donor residue is chosen, consider 80 and then 20

VI. 67

vii. If at 67 the donor residue is chosen, consider 82 and then 18

viii. 91

ix. 88

x. 9, 11, 41, 87, 108, 110, 112

3. Light Chain

3.1 Choose donor at 46, 48, 58 and 71

3.2 Check that the following have the same amino acid in donor and acceptor sequences, if not preferably choose 30 donor:

2, 4, 6, 35, 38, 44, 47, 49, 62, 64-69 inclusive, 85, 87, 98, 99, 101 and 102

3.3 To further optimise affinity consider choosing donor residues at one, some or any of:

i. 1, 3

ii. 63

iii. 60, if 60 and 54 are able to form potential saltbridge iv. 70, if 70 and 24 are able to form potential saltbridge 40

v. 73, and 21 if 47 is different between donor and acceptor vi. 37, and 45 if 47 is different between donor and acceptor

vii. 10, 12, 40, 80, 103, 105

#### Rationale

In order to transfer the binding site of an antibody into a different acceptor framework, a number of factors need to be considered.

1. The extent of the CDRs

The CDRs (Complementary Determining Regions) were defined by Wu and Kabat (refs, 4 and 5) on the basis of an analysis of the variability of different regions of antibody variable regions. Three regions per domain were recognised. In the light chain the sequences are 24-34, 50-56, 89-97 (numbering according to Kabat (ref. 4), Eu Index) inclusive and in the heavy chain the sequences are 31-35, 50-65 and 95-102 inclusive.

When antibody structures became available it became 60 apparent that these CDR regions corresponded in the main to loop regions which extended from the ß barrel framework of the light and heavy variable domains. For H1 there was a discrepancy in that the loop was from 26 to 32 inclusive and for H2 the loop was 52 to 56 and for L2 from 50 to 53. However, with the exception of H1 the CDR regions encompassed the loop regions and extended into the ß strand frameworks. In H1 residue 26 tends to be a serine and 27 a

phenylalanine or tyrosine, residue 29 is a phenylalanine in most cases. Residues 28 and 30 which are surface residues exposed to solvent might be involved in antigen-binding. A prudent definition of the H1 CDR therefore would include residues 26-35 to include both the loop region and the hypervariable residues 33-35.

It is of interest to note the example of Riechmann et al (ref. 3), who used the residue 31-35 choice for CDR-H1. In order to produce efficient antigen binding, residue 27 also needed to be recruited from the donor (rat) antibody.

2. Non-CDR residues which contribute to antigen binding By examination of available X-ray structures we have identified a number of residues which may have an effect on net antigen binding and which can be demonstrated by experiment. These residues can be sub-divided into a number of groups.

2.1 Surface residues near CDR [all numbering as in Kabat et al (ref. 7)]

2.1.1. Heavy Chain-Key residues are 23, 71 and 73.

Other residues which may contribute to a lesser extent are 1, 3 and 76. Finally 25 is usually conserved but the murine

residue should be used if there is a difference. 2.1.2 Light Chain-Many residues close to the CDRs, e.g. 63, 65, 67 and 69 are conserved. If conserved none of the surface residues in the light chain are likely to have a major effect. However, if the murine residue at these positions is unusual, then it would be of benefit to analyse the likely contribution more closely. Other residues which may also contribute to binding are 1 and 3, and also 60 and 70 if the residues at these positions and at 54 and 24 respectively are potentially able to form a salt bridge i.e. 60+54; 70+24.

2.2 Packing residues near the CDRs.

2.2.1. Heavy Chain-Key residues are 24, 49 and 78. Other key residues would be 36 if not a tryptophan, 94 if not an arginine, 104 and 106 if not glycines and 107 if not a threonine. Residues which may make a further contribution to stable packing of the heavy chain and hence improved affinity are 2, 4, 6, 38, 46, 67 and 69. 67 packs against the CDR residue 63 and this pair could be either both mouse or both human. Finally, residues which contribute to packing in this region but from a longer range are 18, 20, 80, 82 and 86. 82 packs against 67 and in turn 18 packs against 82. 80 packs against 69 and in turn 20 packs against 80. 86 forms an H bond network with 33 and 46. Many of the mouse-human differences appear minor e.g. Leu-lle, but could have an minor impact on correct packing which could translate into altered positioning of the CDRs.

2.2.2. Light Chain-Key residues are 48, 58 and 71. Other 45 key residues would be 6 if not glutamine, 35 if not tryptophan, 62 if not phenylalanine or tryosine, 64, 66, 68, 99 and 101 if not glycines and 102 if not a threonine. Residues which make a further contribution are 2, 4, 37, 45 and 47. Finally residues 73 and 21 and 19 may make long distance packing contributions of a minor nature.

2.3. Residues at the variable domain interface between heavy and light chains-In both the light and heavy chains most of the non-CDR interface residues are conserved. If a conserved residue is replaced by a residue of different character, e.g. size or charge, it should be considered for retention as the murine residue.

2.3.1. Heavy Chain-Residues which need to be considered are 37 if the residue is not a valine but is of larger side chain volume or has a charge or polarity. Other residues are 39 if not a glutamine, 45 if not a leucine, 47 if not a tryptophan, 91 if not a phenylalanine or tyrosine, 93 if not an alanine and 103 if not a tryptophan. Residue 89 is also at the interface but is not in a position where the side chain could be of great impact.

2.3.2. Light Chain-Residues which need to be considered are 36, if not a tyrosine, 38 if not a glutamine, 44 if not a proline, 46, 49 if not a tyrosine, residue 85, residue 87 if not a tyrosine and 98 if not a phenylalanine.

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2.4. Variable-Constant region interface-The elbow angle between variable and constant regions may be affected by alterations in packing of key residues in the variable region against the constant region which may affect the position of  $V_I$  and  $V_H$  with respect to one another. Therefore it is worth noting the residues likely to be in contact with the constant region. In the heavy chain the surface residues potentially in contact with the variable region are conserved between mouse and human antibodies therefore the variable region contact residues may influence the V-C interaction. In the light chain the amino acids found at a number of the constant region contact points vary, and the V & C regions are not in such close proximity as the heavy chain. Therefore the influences of the light chain V-C interface may be minor.

2.4.1. Heavy Chain-Contact residues are 7, 11, 41, 87, 108, 110, 112.

2.4.2. Light Chain-In the light chain potentially contacting residues are 10, 12, 40, 80, 83, 103 and 105.

The above analysis coupled with our considerable practical experimental experience in the CDR-grafting of a number of different antibodies have lead us to the protocol 20 given above.

The present invention is now described, by way of example only, with reference to the accompanying FIGS. 1 - 13.

#### BRIEF DESCRIPTION OF THE FIGURES

FIGS. 1a and 1b show DNA and amino acid sequences of the OKT3 light chain (SEQ ID NO:4 and 5);

FIGS. 2a and 2b shows DNA and amino acid sequences of the OKT3 heavy chain; 30

FIG. 3 shows the alignment of the OKT3 light variable region amino acid sequence with that of the light variable region of the human antibody REI(SEQ ID NO:29, 8 and 9);

FIG. 4 shows the alignment of the OKT3 heavy variable 35 region amino acid sequence with that of the heavy variable region of the human antibody KOL(SEQ ID NO:30 and 10);

FIGS. 5a-c show the heavy variable region amino acid sequences of OKT3, KOL and various corresponding CDR grafis(SEQ ID NO:30 and 10-24);

FIG. 6 shows the light variable region amino acid sequences of OKT3, REI and various corresponding CDR grafis(SEQ ID NO:29, 9 and 25);

FIG. 7 shows a graph of binding assay results for various 45 grafted OKT3 antibodies

FIG. 8 shows a graph of blocking assay results for various grafted OKT3 antibodies;

FIG. 9 shows a similar graph of blocking assay results;

FIGS. 10a and b show similar graphs for both binding  $_{50}$ assay and blocking assay results;

FIGS. 11a and b show further similar graphs for both binding assay and blocking assay results;

FIG. 12 shows a graph of competition assay results for a minimally grafted OKT3 antibody compared with the OKT3 55 OKT3 antigen binding activity onto CD3 positive cells in a murine reference standard, and

FIG. 13 shows a similar graph of competition assay results comparing a fully grafted OKT3 antibody with the murine reference standard.

#### DETAILED DESCRIPTION OF EMBODIMENTS OF THE INVENTION

#### Example 1

CDR-grafting of OKT3

Material and Methods 1. Incoming Cells

Hybridoma cells producing antibody OKT3 were provided by Ortho (seedlot 4882.1) and were grown up in antibiotic free Dulbecco's Modified Eagles Medium (DMEM) supplemented with glutamine and 5% foetal calf serum, and divided to provide both an overgrown supernatant for evaluation and cells for extraction of RNA. The overgrown supernatant was shown to contain 250 ug/mL murine IgG2a/kappa antibody. The supernatant was negative for murine lambda light chain and lgG1, IgG2b, IgG3, IgA and IgM heavy chain. 20 mL of supernatant was assayed to confirm that the antibody present was OKT3.

2. Molecular Biology Procedures

Basic molecular biology procedures were as described in Maniatis et al (ref. 9) with, in some cases, minor modifica-15 tions. DNA sequencing was performed as described in Sanger et al (ref. 11) and the Amersham International Plc sequencing handbook. Site directed mutagenesis was as described in Kramer et al (ref. 12) and the Anglian Biotechnology Ltd. handbook. COS cell expression and metabolic labelling studies were as described in Whittle et al (ref. 13) 3. Research Assays

3.1. Assembly Assays

Assembly assays were performed on supernatants from transfected COS cells to determine the amount of intact IgG present.

3.1.1. COS Cells Transfected With Mouse OKT3 Genes The assembly assay for intact mouse IgG in COS cell supernatants was an ELISA with the following format:

96 well microtitre plates were coated with F(ab')2 goat anti-mouse IgG Fc. The plates were washed in water and samples added for 1 hour at room temperature. The plates were washed and F(ab')2 goat anti-mouse IgG F(ab'), (HRPO conjugated) was then added. Substrate was added to reveal the reaction. UPC10, a mouse IgG2a myeloma, was used as a standard.

3.1.2. COS and CHO Cells Transfected With Chimeric or CDR-grafted OKT3 Genes

The assembly assay for chimeric: or CDR-grafted antibody in COS cell supernatants was an ELISA with the following format:

96 well microtitre plates were coated with F(ab')2 goat anti-human IgG Fc. The plates were washed and samples added and incubated for 1 hour at room temperature. The plates were washed and monoclonal mouse anti-human kappa chain was added for 1 hour at room temperature. The plates were washed and F(ab'), goat anti-mouse IgG Fc (HRPO conjugated) was added. Enzyme substrate was added to reveal the reaction. Chimeric B72.3 (IgG4) (ref. 13) was used as a standard. The use of a monoclonal anti-kappa chain in this assay allows grafted antibodies to be read from the chimeric standard.

3.2. Assay for Antigen Binding Activity

Material from COS cell supernatants was assayed for direct assay. The procedure was as follows:

HUT 78 cells (human T cell line, CD3 positive) were maintained in culture. Monolayers of HUT 78 cells were prepared onto 96 well ELISA plates using poly-L-lysine and glutaraldehyde. Samples were added to the monolayers for 1 hour at room temperature.

The plates were washed gently using PBS. F(ab')2 goat anti-human IgG Fc (HRPO conjugated) or F(ab'), goat anti-mouse IgG Fc (HRPO conjugated) was added as appropriate for humanised or mouse samples. Substrate was added to reveal the reaction. The negative control for the cell-based assay was chimeric B72.3. The positive control was mouse
Orthomune OKT3 or chimeric OKT3, when available. This cell-based assay was difficult to perform, and an alternative assay was developed for CDR-grafted OKT3 which was more sensitive and easier to carry out. In this system CDR-grafted OKT3 produced by COS cells was tested for its ability to bind to the CD3-positive HPB-ALL (human peripheral blood acute lymphocytic leukemia) cell line. It was also tested for its ability to block the binding of murine OKT3 to these cells. Binding was measured by the following procedure: HPB-ALL cells were harvested from tissue culture. Cells were incubated at 4° C. for 1 hour with various dilutions of test antibody, positive control antibody, or negative control antibody. The cells were washed once and incubated at 4° C. for 1 hour with an FITC-labelled goat anti-human IgG (Fc-specific, mouse absorbed). The cells were washed twice and analysed by cytofluorography. Chimeric OKT3 was used as a positive control for direct binding. Cells incubated with mock-transfected COS cell supernatant, followed by the FITC-labelled goat anti-human IgG, provided the negative control. To test the ability of CDR-grafted OKT3 to block murine OKT3 binding, the HPB-ALL cells were incubated at 4° C. for 1 hour with various dilutions of test antibody or control antibody. A fixed saturating amount of FITC OKT3 was added. The samples were incubated for 1 hour at 4° C., washed twice and analysed by cytofluorography. 25

FITC-labelled OKT3 was used as a positive control to determine maximum binding. Unlabelled murine OKT3 served as a reference standard for blocking. Negative controls were unstained cells with or without mock-transfected cell supernatant. The ability of the CDR-grafted OKT3 light 30 chain to bind CD3-positive cells and block the binding of murine OKT3 was initially tested in combination with the chimeric OKT3 heavy chain. The chimeric OKT3 heavy chain is composed of the murine OKT3 variable region and the human IgG4 constant region. The chimeric heavy chain gene is expressed in the same expression vector used for the 35 CDR-grafted genes. The CDR-grafted light chain expression vector and the chimeric heavy chain expression vector were co-transfected into COS cells. The fully chimeric OKT3 antibody (chimeric light chain and chimeric heavy chain) was found to be fully capable of binding to CD3 positive 40 cells and blocking the binding of murine OKT3 to these cells

3.3 Determination of Relative Binding Affinity

The relative binding affinities of CDR-grafted anti-CD3 monoclonal antibodies were determined by competition 45 binding (ref. 6) using the HPB-ALL human T cell line as a source of CD3 antigen, and fluorescein-conjugated murine OKT3 (FI-OKT3) of known binding affinity as a tracer antibody. The binding affinity of FI-OKT3 tracer antibody was determined by a direct binding assay in which increas-50 ing amounts of FI-OKT3 were incubated with HPB-ALI. (5×105) in PBS with 5% foetal calf serum for 60 min. at 4° C. Cells were washed, and the fluorescence intensity was determined on a FACScan flow cytometer calibrated with quantitative microbead standards (Flow Cytometry Standards, Research Triangle Park, N.C.). Fluorescence intensity per antibody molecule (F/P ratio) was determined by using microbeads which have a predetermined number of mouse IgG antibody binding sites (Simply Cellular beads, Flow Cytometry Standards). F/P equals the fluorescence 60 intensity of beads saturated with FI-OKT3 divided by the number of binding sites per bead. The amount of bound and free FI-OKT3 was calculated from the mean fluorescence intensity per cell, and the ratio of bound/free was plotted against the number of moles of antibody bound. A linear fit 65 was used to determine the affinity of binding (absolute value of the slope).

For competitive binding, increasing amounts of competitor antibody were added to a sub-saturating dose of FI-OKT3 and incubated with  $5\times10^5$  HPB-ALL in 200 ml of PBS with 5% foetal calf serum, for 60 min at 4° C. The fluorescence intensities of the cells were measured on a FACScan flow cytometer calibrated with quantitative microbead standards. The concentrations of bound and free FI-OKT3 were calculated. The affinities of competing antibodies were calculated from the equation [X]-[OKT3]=(1/Kx)-(1/Ka), where Ka is the affinity of murine OKT3, Kx is the affinity of competitor X, [] is the concentration of competitor antibody at which bound/free binding is R/2, and R is the maximal bound/free binding.

4. cDNA Library Construction

4.1. mRNA Preparation and cDNA Synthesis

OKT3 producing cells were grown as described above and  $1.2 \times 10^9$  cells harvested and MRNA extracted using the guanidinium/LiCl extraction procedure. cDNA was prepared by priming from Oligo-dT to generate full length cDNA. The cDNA was methylated and EcoR1 linkers added for cloning,

4.2. Library Construction

The cDNA library was ligated to pSP65 vector DNA which had been EcoR1 cut and the 5' phosphate groups removed by calf intestinal phosphatase (EcoR1/CIP). The ligation was used to transform high transformation efficiency *Escherichia coli* (*E.coli*) HB101. A cDNA library was prepared. 3600 colonies were screened for the light chain and 10000 colonies were screened for the heavy chain. 5. Screening

*E.coli* colonies positive for either heavy or light chain probes were identified by oligonucleotide screening using the oligonucleotides:

5' TCCAGATGTTAACTGCTCAC (SEQ ID NO:1) for the light chain, which is complementary to a sequence in the mouse kappa constant region, and 5' CAGGGGCCAGTGGATGGATAGAC (SEQ ID NO: 2) for the heavy chain which is complementary to a sequence in the mouse IgG2a constant CH1 domain region. 12 light chain and 9 heavy chain clones were identified and taken for second round screening. Positive clones from the second round of screening were grown up and DNA prepared. The sizes of the gene inserts were estimated by gel electrophoresis and inserts of a size capable of containing a full length cDNA were subcloned into M13 for DNA sequencing.

6. DNA Sequencing

Clones representing four size classes for both heavy and light chains were obtained in M13. DNA sequence for the 5' untranslated regions, signal sequences, variable regions and 3' untranslated regions of full length cDNAs [FIGS. 1(*a*) and 2(a)(SEQ ID NO:6)] were obtained and the corresponding amino acid sequences predicted [(FIGS. 1(*b*) and 2(b)(SEQID NO:7]. In FIG. 1(*a*) the untranslated DNA regions are shown in uppercase, and in both FIGS. 1 (SEQ ID NO:4 and 5) and 2 (SEQ ID NO:6 and 7) the signal sequences are underlined.

7. Construction of cDNA Expression Vectors

Celltech expression vectors are based on the plasmid pEE6hCMV (ref. 14). A polylinker for the insertion of genes to be expressed has been introduced after the major immediate early promoter/enhancer of the human Cytomegalovirus (hCMV). Marker genes for selection of the plasmid in transfected eukaryotic cells can be inserted as BamH1 cassettes in the unique BamH1 site of pEE6 hCMV; for instance, the neo marker to provide pEE6 hCMV neo. It is usual practice to insert the neo and gpt markers prior to insertion of the gene of interest, whereas the GS marker is inserted last because of the presence of internal EcoR1 sites in the cassette.

The selectable markers are expressed from the SV40 late promoter which also provides an origin of replication so that 5 the vectors can be used for expression in the COS cell transient expression system.

The mouse sequences were excised from the M13 based vectors described above as EcoR1 fragments and cloned into either pEE6-hCMV-neo for the heavy chain and into EE6-10 hCMV-gpt for the light chain to yield vectors pJA136 and pJA135 respectively.

#### 8. Expression of cDNAS in COS Cells

Plasmids pJA135 and pJA136 were co-transfected into COS cells and supernatant from the transient expression 15 experiment was shown to contain assembled antibody which bound to T-cell enriched lymphocytes. Metabolic labelling experiments using <sup>35</sup>S methionine showed expression and assembly of heavy and light chains.

9. Construction of Chimeric Genes

Construction of chimeric genes followed a previously described strategy [Whittle et al (ref. 13)]. A restriction site near the 3' end of the variable domain sequence is identified and used to attach an oligonucleotide adapter coding for the remainder of the mouse variable region and a suitable 25 restriction site for attachment to the constant region of choice.

9.1. Light Chain Gene Construction

The mouse light chain cDNA sequence contains an Aval site near the 3' end of the variable region [FIG. 1(a)(SEQ ID 30 NO:4)]. The majority of the sequence of the variable region was isolated as a 396 bp. EcoR1-Aval fragment. An oligo-nucleotide adapter was designed to replace the remainder of the 3' region of the variable region from the Aval site and to include the 5' residues of the human constant region up to 35 and including a unique Nar1 site which had been previously engineered into the constant region.

A Hind111 site was introduced to act as a marker for insertion of the linker.

The linker was ligated to the  $V_L$  fragment and the 413 bp 40 EcoR1-Nar1 adapted fragment was purified from the ligation mixture.

The constant region was isolated as an Narl-BamH1 fragment from an M13 clone NW361 and was ligated with the variable region DNA into an EcoR1/BamH1/C1P pSP65 45 treated vector in a three way reaction to yield plasmid JA143. Clones were isolated after transformation into *E.coli* and the linker and junction sequences were confirmed by the presence of the Hind111 site and by DNA sequencing.

9.2 Light Chain Gene Construction-Version 2

The construction of the first chimeric light chain gene produces a fusion of mouse and human amino acid sequences at the variable-constant region junction. In the case of the OKT3 light chain the amino acids at the chimera junction are: region, was replaced with the equivalent amino acid from the mouse constant region, Alanine (Ala).

An internal Hind111 site was not included in this adapter, to differentiate the two chimeric light chain genes.

The variable region fragment was isolated as a 376 bp EcoR1-Aval fragment. The oligonucleotide linker was ligated to Nar1 cut pNW361 and then the adapted 396bp constant region was isolated after recuting the modified pNW361 with EcoR1. The variable region fragment and the modified constant region fragment were ligated directly into EcoR1/C1P treated pEE6hCMVneo to yield pJA137. Initially all clones examined had the insert in the incorrect orientation. Therefore, the insert was re-isolated and recloned to turn the insert round and yield plasmid pJA141. Several clones with the insert in the correct orientation were obtained and the adapter sequence of one was confirmed by DNA sequencing

9.3. Heavy Chain Gene Construction

9.3.1. Choice of Heavy Chain Gene Isotype

The constant region isotype chosen for the heavy chain was human IgG4.

9.3.2. Gene Construction

The heavy chain cDNA sequence showed a Ban1 site near the 3' end of the variable region [FIG. 2(a)(SEQ ID NO:6)]. The majority of the sequence of the variable region was isolated as a 426bp. EcoR1/C1P/Ban1 fragment. An oligonucleotide adapter was designated to replace the remainder of the 3' region of the variable region from the Ban1 site up to and including a unique HindIII site which had been previously engineered into the first two amino acids of the constant region.

The linker was ligated to the  $V_H$  fragment and the EcoR1-Hind111 adapted fragment was purified from the ligation mixture.

The variable region was ligated to the constant region by cutting pJA91 with EcoR1 and Hind111 removing the intron fragment and replacing it with the  $V_{H}$  to yield pJA142. Clones were isolated after transformation into *E.coli* JM101 and the linker and junction sequences were confirmed by DNA sequencing. (N.B. The Hind111 site is lost on cloning). 10. Construction of Chimeric Expression Vectors

10.1. neo and gpt Vectors

The chimeric light chain (version 1) was removed from pJA143 as an EcoR1 fragment and cloned into EcoR1/C1P treated pEE6hCMVneo expression vector to yield pJA145. Clones with the insert in the correct orientation were identified by restriction mapping.

The chimeric light chain (version 2) was constructed as described above.

The chimeric heavy chain gene was isolated from pJA142 as a 2.5Kbp EcoR1/BamH1 fragment and cloned into the EcoR1/Bel1/C1P treated vector fragment of a derivative of pEE6hCMVgpt to yield plasmid pJA144.

10.2. GS Separate Vectors

GS versions of pJA141 and pJA144 were constructed by

...Leo-Gla-Ile-<u>Asn-Arg / - / Thr</u>-Val-Ala -Ala (SEQ ID NO: 3) VARIABLE CONSTANT

This arrangement of sequence introduces a potential site for Asparagine (Asn) linked (N-linked) glycosylation at the V-C junction. Therefore, a second version of the chimeric light chain oligonucleotide adapter was designed in which the threonine (Thr), the first amino acid of the human constant replacing the neo and gpt cassettes by a BamH1/Sa11/C1P treatment of the plasmids, isolation of the vector fragment and ligation to a GS-containing fragment from the plasmid pRO49 to yield the light chain vector pJA179 and the heavy chain vector pJA180.

10.3. GS Single Vector Construction

Single vector constructions containing the cL (chimeric light), cH (chimeric heavy) and GS genes on one plasmid in the order cL-cH-GS, or cH-cL-GS and with transcription of the genes being head to tail e.g. cL>cH>GS were con- 5 structed. These plasmids were made by treating pJA179 or pJA180 with BamH1/C1P and ligating in a Bgl11/Hind111 hCMV promoter cassette along with either the Hind111/ BamH1 fragment from pJA141 into pJA180 to give the cH-cL-GS plasmid pJA182 or the Hind111/BamH1 frag-10 ment from pJA144 into pJA179 to give the cL-cH-GS plasmid pJA181.

11. Expression of Chimeric Genes

11.1. Expression in COS Cells

The chimeric antibody plasmid pJA145 (cL) and pJA144 (cH) were co-transfected into COS cells and supernatant 15 from the transient expression experiment was shown to contain assembled antibody which bound to the HUT 78 human T-cell line. Metabolic labelling experiments using S methionine showed expression and assembly of heavy and light chains. However the light chain mobility seen on 20 reduced gels suggested that the potential glycosylation site was being glycosylated. Expression in COS cells in the presence of tunicamycin showed a reduction in size of the light chain to that shown for control chimeric antibodies and the OKT3 mouse light chain. Therefore JA141 was constructed and expressed. In this case the light chain did not show an aberrant mobility or a size shift in the presence or absence of tunicamycin. This second version of the chimeric light chain, when expressed in association with chimeric heavy (cH) chain, produced antibody which showed good binding to HUT 78 cells. In both cases antigen binding was equivalent to that of the mouse antibody.

11.2 Expression in Chinese Hamster Ovary (CHO) Cells Stable cell lines have been prepared from plasmids PJA141/pJA144 and from pJA179/pJA180, pJA181 and 35 pJA182 by transfection into CHO cells.

12. CDR-grafting

The approach taken was to try to introduce sufficient mouse residues into a human variable region framework to and chimeric antibodies.

12.1. Variable Region Analysis

From an examination of a small database of structures of antibodies and antigen-antibody complexes it is clear that only a small number of antibody residues make direct 45 contact with antigen. Other residues may contribute to antigen binding by positioning the contact residues in favourable configurations and also by inducing a stable packing of the individual variable domains and stable interaction of the light and heavy chain variable domains. The 50 residues chosen for transfer can be identified in a number of ways

- (a) By examination of antibody X-ray crystal structures the antigen binding surface can be predominantly extend from the B-barrel framework.
- (b) By analysis of antibody variable domain sequences regions of hypervariability [termed the Complementarity Determining Regions (CDRs) by Wu and Kabat (ref. 5)]can be identified. In the most but not all cases these CDRs correspond to, but extend a short way beyond, the loop regions noted above.
- (c) Residues not identified by (a) and (b) may contribute to antigen binding directly or indirectly by affecting antigen binding site topology, or by inducing a stable 65 packing of the individual variable domains and stabilising the inter-variable domain interaction. These resi-

dues may be identified either by superimposing the sequences for a given antibody on a known structure and looking at key residues for their contribution, or by sequence alignment analysis and noting "idiosyncratic" residues followed by examination of their structural location and likely effects.

12.1.1. Light Chain

FIG. 3 (SEQ ID NO:29, 8 and 9) shows an alignment of sequences for the human framework region RE1 (SEQ ID NO:8and 9) and the OKT3 light variable region (SEQ ID NO:29). The structural loops (LOOP) and CDRs (KABAT) believed to correspond to the antigen binding region are marked. Also marked are a number of other residues which may also contribute to antigen binding as described in 13.1(c). Above the sequence in FIG. 3 (SEQ ID NO:29, 8 and 9) the residue type indicates the spatial location of each residue side chain, derived by examination of resolved structures from X-ray crystallography analysis. The key to this residue type designation is as follows:

P - Packing	B - Buried Non-Packing
S - Surface	E - Exposed
I - Interface	* - Interface
- Packing/Part I	ixposed
7 - Non-CDR Resi	dues which may require to be left
as Mouse sequence.	

Residues underlined in FIG. 3 are amino acids. RE1 (SEQ ID NO:8 and 9) was chosen as the human framework because the light chain is a kappa chain and the kappa variable regions show higher homology with the mouse sequences than a lambda light variable region, e.g. KOL (SEQ ID NO:10)(see below). RE1 (SEQ ID NO:8 and 9) was chosen in preference to another kappa light chain because the X-ray structure of the light chain has been determined so that a structural examination of individual residues could be made.

12.1.2. Heavy Chain

Similarly FIG. 4 shows an alignment of sequences for the generate antigen binding activity comparable to the mouse 40 human framework region KOL (SEQ ID NO:10) and the OKT3 (SEQ ID NO:30) heavy variable region. The structural loops and CDRs believed to correspond to the antigen binding region are marked. Also marked are a number of other residues which may also contribute to antigen binding as described in 12.1(c). The residue type key and other indicators used in FIG. 4 are the same as those used in FIG. 3. KOL (SEQ ID NO:10) was chosen as the heavy chain framework because the X-ray structure has been determined to a better resolution than, for example, NEWM and also the sequence alignment of OKT3 heavy variable region (SEQ ID NO:7) showed a slightly better homology to KOL (SEQ ID NO:10) than to NEWM.

12.2. Design of Variable Genes

The variable region domains were designed with mouse located on a series of loops, three per domain, which 55 variable region optimal codon usage [Grantham and Perrin (ref. 15)] and used the B72.3 signal sequences [Whittle et al (ref. 13)]. The sequences were designed to be attached to the constant region in the same way as for the chimeric genes described above. Some constructs contained the "Kozak consensus sequence" [Kozak (ref. 16)] directly linked to the 5' of the signal sequence in the gene. This sequence motif is believed to have a beneficial role in translation initiation in eukarvoles.

12.3. Gene Construction

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To build the variable regions, various strategies are available. The sequence may be assembled by using oligonucleotides in a manner similar to Jones et al (ref. 17) or by

simultaneously replacing all of the CDRs or loop regions by oligonucleotide directed site specific mutagenesis in a manner similar to Verhoeyen et al (ref. 2). Both strategies were used and a list of constructions is set out in Tables 1 and 2 and FIGS. 4 and 5a-c. It was noted in several cases that the 5 mutagenesis approach led to deletions and rearrangements in the gene being remodelled, while the success of the assembly approach was very sensitive to the quality of the oligonucleotides.

13. Construction of Expression Vectors

Genes were isolated from M13 or SP65 based intermediate vectors and cloned into pEE6hCMVneo for the light chains and pEE6hCMVgpt for the heavy chains in a manner similar to that for the chimeric genes as described above.

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sion levels were raised from approximately 200 ng/ml to approximately 500 ng/ml for kgL/cH or kgL/mH combinations.

When direct binding to antigen on HUT 78 cells was measured, a construct designed to include mouse sequence based on loop length (gL121) did not lead to active antibody in association with mH or cH. A construct designed to include mouse sequence based on Kabat CDRs (gL221) (SEQ ID NO:25) demonstrated some weak binding in association with mH or cH. However, when framework residues 1, 3, 46, 47 were changed from the human to the murine OKT3 equivalents based on the arguments outlined in Section 12.1 antigen binding was demonstrated when both of the new constructs, which were termed 121A and 221A were

	MOUSE SEQUENCE	METHOD OF	KOZAR SEQUENCI			
CODE	CONTENT	CONSTRUCTION	4	+		
LIGHT CHAIN	ALL HUMAN FRAMEWORK RE	<u>11</u>	-			
121	26-32, 50-56, 91-96 inclusive	SDM and gene assembly	+	n,d,		
121A	26-32, 50-56, 91-96 inclusive +1, 3, 46, 47	Partial gene assembly	n.d.	+		
121B	26-32, 50-56, 91-96 inclusive +46, 47	Partial gene assembly	n.d.	+		
221	24-24, 50-56, 91-96 inclusive	Partial gene assembly	+	-		
221A	24-34, 50-56, 91-96 inclusive +1, 3, 46, 47	Partial gene assembly	+	+		
221B	24-34, 50-56, 91-96 inclusive +1, 3	Partial gene assembly	+	+		
221C HEAVY CHAIN	24-34, 50-56, 91-96 inclusive ALL HUMAN FREMEWORK KC	Partial gene assembly	+	+		
121	26-32, 50-56, 95-100B inclusive	Genc assembly	n.d.	+		
131	26-32, 50-58, 95-100B inclusive	Gene assembly	n.d.	+		
141	26-32, 50-65, 95-100B inclusive	Partial gene assembly	+	n.d.		
321	26-35, 50-56, 95-100B inclusive	Partial gene assembly	+	n.d.		
333	26-35, 50-58, 95-100B inclusive	Partial gene assembly	*			
341	26-35, 50-65, 95-100B inclusive	SDM Partial gane ascembly	+			
341A	26-35, 50-65, 95-100B inclusive +6, 23, 24, 48, 49, 71, 73, 76, 78, 88, 91 (+63 = buman) (SEO ID NO; 28)	Gene assembly	n.d.	÷		
34B	26-35, 50-65, 95-100B inclusive +48, 49, 71, 73, 76, 78, 88, 91 (+63 + buman)	Gene assembly	n.d.	+		

KEY

n.d. not done

SDM Site directed mutagenesis

Gene assembly Variable region assembled entirely from oligonucleotides

Partial gene assembly Variable region assembled by combination of restriction fragments either from other genes originally created by SDM and gene assembly or by oligonucleotide assembly of part of the variable region and reconstruction with restriction fragments from other genes originally created by SDM and gene assembly

#### 14. Expression of CDR-grafted Genes

14.1. Production of Antibody Consisting of Grafted Light (gL) Chains With Mouse Heavy (mH) or Chimeric Heavy <sup>60</sup> (cH) Chains

All gL chains, in association with mH or cH produced reasonable amounts of antibody. Insertion of the Kozak consensus sequence at a position 5' to the ATG (kgL 65 constructs) however, led to a 2–5 fold improvement in net expression. Over an extended series of experiments expres-

co-expressed with cH. When the effects of these residues were examined in more detail, it appears that residues 1 and 3 are not major contributing residues as the product of the gl.221B gene (SEQ ID NO:27) shows little detectable binding activity in association with cH. The light chain product of gL.221C(SEQ ID NO:28), in which mouse sequences are present at 46 and 47, shows good binding activity in association with cH.

14.2 Production of Antibody Consisting of Grafted Heavy (gH) Chains With Mouse Light (mL) or Chimeric Light (cL) Chains

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Expression of the gH genes proved to be more difficult to achieve than for gL. First, inclusion of the Kozak sequence appeared to have no marked effect on expression of gH genes. Expression appears to be slightly improved but not to the same degree as seen for the grafted light chain.

Also, it proved difficult to demonstrate production of expected quantities of material when the loop choice (amino acid 26-32) for CDR1 is used, e.g. gH121, 131, 141 and no conclusions can be drawn about these constructs.

Moreover, co-expression of the gH341 gene (SEQ ID 10 NO:11) with cL or mL has been variable and has tended to produce lower amounts of antibody than the cH/cL or mH/mL combinations. The alterations to gH341 (SEQ ID NO:11) to produce gH341(SEQ ID NO:12) and gH341B (SEQ ID NO:21) lead to improved levels of expression.

This may be due either to a general increase in the fraction of mouse sequence in the variable region, or to the alteration at position 63 where the residue is returned to the human amino acid Valine (Val) from Phenylalanine (Phe) to avoid possible internal packing problems with the rest of the 20 human framework. This arrangement also occurs in gH331 and gH321

When gH321 or gH331 were expressed in association with cL, antibody was produced but antibody binding activity was not detected.

When the more conservative gH341 gene (SEQ ID NO:11) was used antigen binding could be detected in association with cL or mL, but the activity was only marginally above the background level.

When further mouse residues were substituted based on 30 the arguments in 12.1, antigen binding could be clearly demonstrated for the antibody produced when kgH341A and kgH341B were expressed in association with cL.

14.3 Production of Fully CDR-grafted Antibody

The kgL221A gene was co-expressed with kgH341, 35 antibody was produced which also bound to antigen. kgH341A or kgH341B. For the combination kgH221A/ kgH341 very little material was produced in a normal COS cell expression.

For the combinations kgL221A/kgH341A or kgH221A/ kgH341B amounts of antibody similar to gL/cH was pro- 40 duced.

In several experiments no antigen binding activity could be detected with kgH221A/gH341 or kgH221A/kgH341 combinations, although expression levels were very low.

Antigen binding was detected when kgL221A/kgH341A 45 or kgH221A/kgH341B combinations were expressed. In the case of the antibody produced from the kgL221A/kgH341A combination the antigen binding was very similar to that of the chimeric antibody

An analysis of the above results is given below.

15. Discussion of CDR-grafting Results

In the design of the fully humanised antibody the aim was to transfer the minimum number of mouse amino acids that would confer antigen binding onto a human antibody framework

15.1. Light Chian

15.1.1. Extent of the CDRs

For the light chain the regions defining the loops known from structural studies of other antibodies to contain the antigen contacting residues, and those hypervariable 60 sequences defined by Kabat et al (refs. 4 and 5) as Complementarity Determining Regions (CDRs) are equivalent for CDR2. For CDRI the hypervariable region extends from residues 24-34 inclusive while the structural loop extends from 26-32 inclusive. In the case of OKT3 (SEQ ID NO:5) 65 there is only one amino acid difference between the two options, at amino acid 24, where the mouse sequence is a

serine and the human framework RE1 has glutamine. For CDR3 the loop extends from residues 91-96 inclusive while the Kabat hypervariability extends from residues 89-97 inclusive. For OKT3 amino acids 89, 90 and 97 are the same between OKT3 and RE1 (FIG. 3)(SEQ ID NO:29, 8 and 9). When constructs based on the loop choice for CDR1 (gL121) and the Kabat choice (gL221) were made and co-expressed with mH or cH no evidence for antigen binding activity could be found for gL121, but trace activity could be detected for the gL221, suggesting that a single extra mouse residue in the grafted variable region could have some detectable effect. Both gene constructs were reasonably well expressed in the transient expression system

15.1.2. Framework Resides

The remaining framework residues were then further examined, in particular amino acids known from X-ray analysis of other antibodies to be close to the CDRs and also those amino acids which in OKT3 showed differences from the consensus framework for the mouse subgroup (subgroup VI) to which OKT3 shows most homology. Four positions 1, 3, 46 and 47 were identified and their possible contribution was examined by substituting the mouse amino acid for the human amino acid at each position. Therefore gL221A (gL221+D1Q, Q3V, L46R, L47W, see FIG. 3 and Table 1) was made, cloned in EE6hCMVneo and co-expressed with cH (pJA144). The resultant antibody was well expressed and showed good binding activity. When the related genes gL221B (SEQ ID NO:28)(gL221+D1Q, Q3V) and gL221C (gL221+L46R, L47W) were made and similarly tested, while both genes produced antibody when co-expressed with cH, only the gL221C/cH combination showed good antigen binding. When the gL121A (gL124+D1Q, Q3V, L46R, L47W) gene was made and co-expressed with cH,

15.2. Heavy Chain

15.2.1. Extent of the CDRs

For the heavy chain the loop and hypervariability analyses agree only in CDR3. For CDR1 the loop region extends from residues 26-32 inclusive whereas the Kabat CDR extends from residues 31-35 inclusive. For CDR2 the loop region is from 50-58 inclusive while the hypervariable region covers amino acids 50-65 inclusive. Therefore humanised heavy chains were constructed using the framework from antibody KOL and with various combinations of these CDR choices, including a shorter choice for CDR2 of 50-56 inclusive as there was some uncertainty as to the definition of the end point for the CDR2 loop around residues 56 to 58. The genes were co-expressed with mL or cL initially. In the case of the gH genes with loop choices for CDR1 e.g. gH121, gH131, gH141 very little antibody was produced in the culture supernatants. As no free light chain was detected it was presumed that the antibody was being made and assembled inside the cell but that the heavy chain was aberrant in some way, possibly incorrectly folded, and therefore the antibody was being degraded internally. In some experiments trace amounts of antibody could be detected in <sup>35</sup>S labelling studies.

As no net antibody was produced, analysis of these constructs was not pursued further.

When, however, a combination of the loop choice and the Kabat choice for CDR1 was tested (mouse amino acids 26-35 inclusive) and in which residues 31 (Ser to Arg), 33 (Ala to Thr), and 35 (Tyr to His) were changed from the human residues to the mouse residue and compared to the first series, antibody was produced for gH321, kgH331 and kgH341 when co-expressed with cL. Expression was generally low and could not be markedly improved by the insertion of the Kozak consensus sequence 5' to the ATG of the signal sequence of the gene, as distinct from the case of the gL genes where such insertion led to a 2-5 fold increase in net antibody production. However, only in the case of 5 gH341/mL or kgH341/cL could marginal antigen binding activity be demonstrated. When the kgH341 gene was co-expressed with kgL221A(SEQ ID NO:26), the net yield of antibody was too low to give a signal above the background level in the antigen binding assay.

15.2.2. Framework Residues

As in the case of the light chain the heavy chain frameworks were re-examined. Possibly because of the lower initial homology between the mouse and human heavy variable domains compared to the light chains, more amino 15 acid positions proved to be of interest. Two genes kgH341A and kgH341B were constructed, with 11 or 8 human residues respectively substituted by mouse residues compared to gH341, and with the CDR2 residue 63 returned to the human amino acid potentially to improve domain packing. Both 20 substantially as described above. With reference to Table 2 showed antigen binding when combined with cL or kgI 221A, the kgH341A gene with all 11 changes appearing to be the superior choice.

15.3 Interim Conclusions

It has been demonstrated, therefore, for OKT3 that to 25 transfer antigen binding ability to the humanised antibody, mouse residues outside the CDR regions defined by the Kabat hypervariability or structural loop choices are

required for both the light and heavy chains. Fewer extra residues are needed for the light chain, possibly due to the higher initial homology between the mouse and human kappa variable regions.

Of the changes seven (1 and 3 from the light chain and 6, 23, 71, 73 and 76 from the heavy chain) are predicted from a knowledge of other antibody structures to be either partly exposed or on the antibody surface. It has been shown here that residues 1 and 3 in the light chain are not absolutely 10 required to be the mouse sequence; and for the heavy chain the gH341B heavy chain in combination with the 221A light chain generated only weak binding activity. Therefore the presence of the 6, 23 and 24 changes are important to maintain a binding affinity similar to that of the murine antibody. It was important, therefore, to further study the individual contribution of othe other 8 mouse residues of the kgH341A gene compared to kgH341

16. Further CDR-grafting Experiments

Additional CDR-grafted heavy chain genes were prepared the further heavy chain genes were based upon the gh341 (plasmid pJA178) and gH341A (plasmid pJA185)(SEQ ID NO:12) with either mouse OKT3 or human KOL residues at 6, 23, 24, 48, 49, 63, 71, 73, 76, 78, 88 and 91, as indicated. The CDR-grafted light chain genes used in these further experiments were gL221 (SEQ ID NO:25), gL221A(SEQ ID NO:26), gL221B (SEQ ID NO:27) and gL221C (SEQ ID NO:28) as described above.

TABLE 2 OKT3 HEAVY CHAIN CDR GRAFTS

		5.	200			100	12	20	-	-		-	
RES NUM	6	23	24	48	49	6.3	71	73	76	78	88	91	-
OKT3vh	Q	ĸ	A	Т	G	F	T	K	S	A	A	Y	
gH341	E	S	s	v	A	F	R	N	N	L	G	F	JA178
gH341A	Q	ĸ	A	Т	G	v	T	ĸ	S	A	A	Y	JA185
gH341E	Q	ĸ	A	1	G	v	T	к	s	A	Ģ	G	JA198
gH341*	Q	ĸ	A	t	G	v	T	ĸ	N	A	G	F	JA207
gH341*	Q	ĸ	A	1	G	v	R	N	N	A	G	F	JA209
gH341D	Q	ĸ	A	1	G	v	T	ĸ	N	L	G	F	<b>IA</b> 197
gH341*	Q	ĸ	A	1	G	v	R	N	N	L	G	F	JA199
gH341C	Q	ĸ	A	v	A	F	R	N	N	L	G	F	JA184
gH341*	Q	s	A	1	G	v	T	к	8	A	A	Y	JA203
gH341*	E	s	A	I	G	v	Ť	ĸ	S.	A	A	Y	JA205
gH341B	Ē	s	s	1	G	v	T	ĸ	s	A	A	Y	JA183
gH341*	Q	s	A	I.	G	v	T	ĸ	S	A	G	F	JA204
gH341*	Ē	s	A	ï.	Ģ	v	T	к	s	A	G	F	<b>JA</b> 206
gH341*	Q	s	A	Ť.	G	v	T	ĸ	N	A	G	F	JA208
VOL	R.	s	s	v	A		R	N	N	T.	G	6	

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10	r	
1	ħ	
-	v	

				or	THEFT CHAIN COP GRAFTS	
			1.0	GRI	5 LIGHT CHAIN CHA GRAFTS	
2. gL221 and	d deri	vativ	cs			
RES NUM	1	3	46	47		
OKT3v1	Q	v	R	W		
GL221 gL221A	D	Q V	L. R	L W	DA221 DA221A	
gL221B	Q	v	L.	ı	DA221B	
GL221C	D	Q	R	w	DA221C	
RE1	D	Q	L.	L		

MURINE RESIDUES ARE UNDERLINED

The CDR-grafted heavy and light chain genes were co-expressed in COS cells either with one another in various combinations but also with the corresponding murine and chimeric heavy and light chain genes substantially as described above. The resultant antibody products were then assayed in binding and blocking assays with HPB-ALL cells <sup>25</sup> as described above.

The results of the assays for various grafted heavy chains co-expressed with the gL221C light chain (SEQ ID NO:28) are given in FIGS. 7 and 8 (for the JA184, JA185, JA197 and JA198 constructs—see Table 2), in FIG. 9 (for the JA183, 30 JA184, JA185 and JA197 constructs) in FIG. 10*a* and *b* (for the chimeric, JA185, JA199, JA204, JA205, JA207, JA208 and JA209 constructs) and in FIG. 11*a* and *b* (for the JA183, JA184, JA185, JA198, JA203, JA205 and JA206 constructs). 35

The basic grafted product without any human to murine changes in the variable frameworks, i.e. gL221 (SEQ ID NO:25) co-expressed with gh341 (JA178) (SEQ ID NO:11), and also the "fully grafted" product, having most human to murine changes in the grafted heavy chain framework, i.e. 40 gL221C (SEQ ID NO:28) co-expressed with gh341A (JA185)(SEQ ID NO:12), were assayed for relative binding affinity in a competition assay against murine OKT3 reference standard, using HPB-ALL cells. The assay used was as described above in section 3.3. The results obtained are 45 given in FIG. 12 for the basic grafted product and in FIG. 13 for the fully grafted product. These results indicate that the basic grafted product has neglibible binding ability aLs compared with the OKT3 murine reference standard; whereas the "fully grafted" product has a binding ability very similar to that of the OKT3 murine reference standard. 50

The binding and blocking assay results indicate the following:

The JA198 and JA207 constructs appear to have the best binding characteristics and similar binding abilities, both substantially the same as the chimeric and fully grafted gH341A products. This indicates that positions 88 and 91 and position 76 are not highly critical for maintaining the OKT3 binding ability; whereas at least some of positions 6, 23, 24, 48, 49, 71, 73 and 78 are more important.

This is borne out by the finding that the JA209 and JA199, although of similar binding ability to one another, are of lower binding ability than the JA198 and JA207 constructs. This indicates the importance of having mouse residues at positions 71, 73 and 78, which are either completely or 65 partially human in the JA199 and JA209 constructs respectively.

Moreover, on comparing the results obtained for the JA205 and JA183 constructs it is seen that there is a decrease in binding going from the JA205 to the JA183 constructs. This indicates the importance of retaining a mouse residue at position 23, the only position changed between JA205 and JA183.

These and other results lead us to the conclusion that of the 11 mouse framework residues used in the gH341A (JA185) construct, it is important to retain mouse residues at all of positions 6, 23, 24, 48 and 49, and possibly for maximum binding affinity at 71, 73 and 78.

Similar Experiments were carried out to CDR-graft a number of the rodent antibodies including antibodies having specificity for CD4 (OKT4), ICAM-1 (R6-5), TAG72 (B72.3), and TNF $\alpha$ (61E71, 101.4, hTNF1, hTNF2 and hTNF3).

#### Example 2

#### CDR-grafting of a Murine Anti-CD4 T Cell Receptor Antibody, OKT4A

Anti OKT4A CDR-grafted heavy and light chain genes were prepared, expressed and tested substantially as described above in Example 1 for CDR-grafted OKT3. The CDR grafting of OKT4A is described in detail in Ortho patent application PCT/GB 90 ... of even date herewith entitled "Humanised Antibodies". The disclosure of this Ortho patent application PCT/GB 90 ... is incorporated herein by reference. A number of CDR-grafted OKT4 antibodies have been prepared. Presently the CDR-grafted OKT4A of choice is the combination of the grafted light chain LCDR2 and the grafted heavy chain HCDR10.

#### The Light Chain

The human acceptor framework used for the grafted light chains was RE1 (SEQ ID NO:8 and 9) The preferred LCDR2 light chain has human to mouse changes at positions 33, 34, 38, 49 and 89 in addition to the structural loop CDRs. Of these changed positions, positions 33, 34 and 89 fall within the preferred extended CDRs of the present invention (positions 33 and 34 in CDR1 and position 89 in CDR3). The human to murine changes at positions 38 and 49 corresponds to positions at which the amino acid residues are preferably donor murine amino acid residues in accordance with the present invention.

A comparison of the amino acid sequences of the donor murine light chain variable domain and the RE1 human

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acceptor light chain variable further reveals that the murine and human residues are identical at all of positions 46, 48 and 71 and at all of positions 2, 4, 6, 35, 36, 44, 47, 62, 64-69, 85, 87, 98, 99 and 101 and 102. However the amino acid residue at position 58 in LCDR2 is the human RE1 framework residue not the mouse OKT4 residue as would be preferred in accordance with the present invention.

#### The Heavy Chain

The human acceptor framework used for the grafted 10 heavy chains was KOL(SEQ ID NO:10).

The preferred CDR graft HCDR10 heavy chain has human to mouse changes at positions 24, 35, 57, 58, 60, 88 and 91 in addition to the structural loop CDRs.

Of these positions, positions 35 (CDR1) and positions 57, 58 and 60 (CDR2) fall within the preferred extended CDRs of the present invention. Also the human to mouse change at position 24 corresponds to a position at which the amino acid residue is a donor murine residue in accordance with the present invention. Moreover, the human to mouse changes at 20 positions 88 and 91 correspond to positions at which the amino acid residues are optionally donor murine residues.

Moreover, a comparison of the murine OKT4A and human KOL heavy chain variable amino acid sequences reveals that the murine and human residues are identical at 25 all of positions 23, 49, 71, 73 and 78 and at all of positions 2, 4, 6, 25, 36, 37, 39, 47, 48, 93, 94, 103, 104, 106 and 107.

Thus the OKT4A CDR-grafted heavy chain HCDR10 corresponds to a particularly preferred embodiment according to the present invention.

#### Example 3

#### CDR-grafting of an Anti-mucin Specific Murine Antibody, B72.3

The cloning of the genes coding for the anti-mucin specific murine monoclonal antibody B72.3 and the preparation of B72.3 mouse-human chimeric antibodies has been described previously (ref. 13 and WO 89/01783). CDRgrafted versions of B72.3 were prepared as follows. (a) B72.3 Light Chain

CDR-grafting of this light chain was accomplished by direct transfer of the murine CDRs into the framework of the human light chain RE1. The regions transferred were:

CDR Number	Residues
1	24-34
2	50-56
3	90-96

The activity of the resulting grafted light chain was assessed by co-expression in COS cells, of genes for the combinations:

B72.3 cH/B72.3 cL

and B72.3 cH/B72.3 gL

Supernatants were assayed for antibody concentration and for the ability to bind to microtitre plates coated with mucin. The results obtained indicated that., in combination with the B72.3 cH chain, B72.3 cL and B72.3 gL had similar binding properties.

Comparison of the murine B72.3 and REI (SEQ ID NO:8 and 9) light chain amino acid sequences reveals that the residues are identical at positions 46, 58 and 71 but are different at position 48. Thus changing the human residue to the donor mouse residue at position 48 may further improve 65 the binding characteristics of the CDR-grafted light chain, (B72.3 gL) in accordance with the present invention.

(b) B72.3 heavy chain

i. Choice of framework

At the outset it was necessary to make a choice of human framework. Simply put, the question was as follows: Was it necessary to use the framework regions from an antibody whose crystal structure was known or could the choice be made on some other criteria?

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For B72.3 heavy chain, it was reasoned that, while knowledge of structure was important, transfer of the CDRs from mouse to human frameworks might be facilitated if the overall homology between the donor and receptor frameworks was maximised. Comparison of the B72.3 heavy chain sequence with those in Kabat (ref. 4) for human heavy chains showed clearly that B72.3 had poor homology for KOL (SEO ID NO:10) and NEWM (for which crystal structures are available) but was very homologous to the heavy chain for EU,

On this basis, EU was chosen for the CDR-grafting and the following residues transferred as CDRs.

CDR Number	Residues
đ.	27-36
2	50-63
3	93-102

Also it was noticed that the FR4 region of EU was unlike that of any other human (or mouse) antibody. Consequently, in the grafted heavy chain genes this was also changed to produce a "consensus" human sequence. (Preliminary experiments showed that grafted heavy chain genes containing the EU FR4 sequence expressed very poorly in transient expression systems.)

ii. Results with grafted heavy chain genes

Expression of grafted heavy chain genes containing all human framework regions with either gL or cL genes produced a grafted antibody with little ability to bind to mucin. The grafted antibody had about 1% the activity of the chimeric antibody. In these experiments, however, it was noted that the activity of the grafted antibody could be increased to ~10% of B72.3 by exposure to pHs of 2-3.5.

This observation provided a clue as to how the activity of the grafted antibody could be improved without acid treatment. It was postulated that acid exposure brought about the protonation of an acidic residue (pKa of aspartic acid=3.86 and of glutamine acid=4.25) which in turn caused a change in structure of the CDR loops, or allowed better access of antigen.

From comparison of the sequences of B72.3 (ref. 13) and EU (refs. 4 and 5), it was clear that, in going from the mouse to human frameworks, only two positions had been changed in such a way that acidic residues had been introduced. These positions are at residues 73 and 81, where K to E and Q to E changes had been made, respectively.

Which of these positions might be important was determined by examining the crystal structure of the KOL antibody. In KOL heavy chain (SEQ ID NO:10), position 831 is far removed from either of the CDR loops.

Position 73, however, is close to both CDRs 1 and 3 of the heavy chain and, in this position it was possible to envisage that a K to E change in this region could have a detrimental effect on antigen binding.

iii. Framework changes in B72.3 gH gene

On the basis of the above analysis, E73 was mutated to a lysine (K). It was found that this change had a dramatic effect on the ability of the grafted Ab to bind to mucin. Further the ability of the grafted B72.3 produced by the mutated gH/gL combination to bind to mucin was similar to that of the B72.3 chimeric antibody.

iv. Other framework changes

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In the course of the above experiments, other changes were made in the heavy chain framework regions. Within the accuracy of the assays used, none of the changes, either alone or together, appeared beneficial.

v. Other

All assays used measured the ability of the grafted Ab to bind to mucin and, as a whole, indicated that the single framework change at position 73 is sufficient to generate an antibody with similar binding properties to B72.3.

Comparison of the B72.3 murine and EU heavy chain sequence reveals that the mouse and human residues are identical at positions 23, 24, 71 and 78.

Thus the mutated CDR-grafied B72.3 heavy chain corresponds to a preferred embodiment of the present invention.

#### Example 4

#### CDR-graftin of a Murine Anti-ICAM-1 Monoclonal Antibody

A murine antibody, R6-5-D6 (EP 0314863) having specificity for Intercellular Adhesion Molecule 1 (ICAM-1) was CDR-grafted substantially as described above in previous examples. This work is described in greater detail in co-pending application, British Patent Application No. 9009549.8, the disclosure of which is incorporated herein by reference.

The human EU framework was used as the acceptor framework for both heavy and light chains. The CDRgrafted antibody currently of choice is provided by co-expression of grafted light chain gL221A (SEQ ID NO:26) and grafted heavy chain gH341D (SEQ ID NO:16) <sup>30</sup> which has a binding affinity for ICAM 1 of about 75% of that of the corresponding mouse-human chimeric antibody.

#### Light Chain

gL221A has murine CDRs at positions 24–34 (CDR1), <sup>35</sup> 50–56 (CDR2) and 89–97 (CDR3). In addition several framework residues are also the murine amino acid. These residues were chosen after consideration of the possible contribution of these residues to domain packing and stability of the conformation of the antigen binding region. The <sup>40</sup> residues which have been retained as mouse are at positions 2, 3, 48 (?), 60, 84, 85 and 87.

Comparison of the murine anti-ICAM 1 and human EU light chain amino acid sequences reveals that the murine and human residues are identical at positions 46, 58 and 71. 45

#### Heavy Chain

gH341D has murine CDRs at positions 26–35 (CDR1), 50–56 (CDR2) and94-100B (CDR3). In addition murine residues were used in gH341D at positions 24, 48, 69, 71, <sup>50</sup> 73, 80, 88 and 91. Comparison of the murine anti-ICAM 1 and human EU heavy chain amino acid sequences are identical at positions 23, 49 and 78.

#### Example 5

#### CDR-Grafting of Murine Anti-TNFa Antibodies

A number of murine anti-TNFa monoclonal antibodies were CDR-grafted substantially as described above in previous examples. These antibodies include the murine monoclonal antibodies designated 61 E71, hTNF1, hTNF3 and 101.4 Abrief summary of the CDR-grafting of each of these antibodies is given below.

#### 61E71

Asimilar analysis as described above (Example 1, Section 12.1.) was done for 61E71 and for the heavy chain 10

residues were identified at 23, 24, 48, 49, 68, 69, 71, 73, 75 and 88 as residues to potentially retain as murine. The human frameworks chosen for CDR-grafting of this antibody, and the hTNF3 and 101.4 antibodies were RE1 for the light chain and KOL for the heavy chain. Three genes were built, the first of which contained 23, 24, 48, 49, 71 and 73 [gH341(6)] as murine residues. The second gene also had 75 and 88 as murine residues [gH341(8)] while the third gene additionally had 68, 69, 75 and 88 as murine residues [gH341(10)]. Each was co-expressed with gL221, the minimum grafted light chain (CDRs only). The gL221/gH341(6) and gL221/gH341(8) antibodies both bound as well to TNF as murine 61E71. The gL221/gH341(10) antibody did not express and this combination was not taken further.

Subsequently the gI.221/gH341(6) antibody was assessed in an L929 cell competition assay in which the antibody competes against the TNF receptor on L929 cells for binding to TNF in solution. In this assay the gL221/gH341(6) antibody was approximately 10% as active as murine 61E71.

#### hTNF1

hTNF1 is a monoclonal antibody which recognises an epitope on human TNF-. The EU human framework was used for CDR-grafting of both the heavy and light variable domains.

#### Heavy Chain

In the CDR-grafted heavy chain (ghTNF1) mouse CDRs were used at positions 26–35 (CDR1), 50–65 (CDR2) and 95–102 (CDR3). Mouse residues were also used in the frameworks at positions 48, 67, 69, 71, 73, 76, 89, 91, 94 and 108. Comparison of the TNF1 mouse and EU human heavy chain residues reveals that these are identical at positions 23, 24, 29 and 78.

#### Light Chain

In the CDR-grafted light chain (gLhTNF1) mouse CDRs wre used at positions 24–34 (CDR1), 50–56 (CDR2) and 89–97 (CDR3). In addition mouse residues were used in the frameworks at positions 3, 42, 48, 49, 83, 106 and 108. Comparison of the hTNF1 mouse and EU human light chain residues reveals that these are identical at positions 46, 58 and 71.

The grafted hTNF1 heavy chain was co-expressed with the chimeric light chain and the binding ability of the product compared with that of the chimeric light chain/ chimeric heavy chain product in a TNF binding assay. The grafted heavy chain product appeared to have binding ability for TNF slightly better than the fully chimeric product.

Similarly, a grafted heavy chain/grafted light chain product was co-expressed and compared with the fully chimeric product and found to have closely similar binding properties to the latter product.

#### hTNF3

hTNF3 recognises an epitope on human TNF- $\alpha$ . The sequence of hTNF3 shows only 21 differences compared to 61E71 in the light and heavy chain variable regions, 10 in the light chain (2 in the CDRs at positions 50, 96 and 8 in the framework at 1, 19, 40, 45, 46, 76, 103 and 106) and 11 in the heavy chain (3 in the CDR regions at positions 52, 60 and 95 and 8 in the framework at 1, 10, 38, 40, 67, 73, 87 and 105). The light and heavy chains of the 61E71 and hTNF3 chimeric antibodies can be exchanged without loss of activity in the direct binding assay. However 61E71 is an order of magnitude less able to compete with the TNF7 receptor on L929 cells for TNF-a compared to hTNF3.

Based on the 61E71 CDR grafting data gL221 and gH341 (+23, 24, 48, 49 71 and 73 as mouse) genes have been built for hTNF3 and tested and the resultant grafted antibody binds well to TNF-a, but competes very poorly in the L929 assay. It is possible that in this case also the framework 5 residues identified for OKT3 programme may improve the competitive binding ability of this antibody.

#### 101.4

101.4 is a further murine monoclonal antibody able to 10 recognise human TNF-a. The heavy chain of this antibody shows good homology to KOL and so the CDR-grafting has been based on RE1 for the light chain and KOL for the heavy chain. Several grafted heavy chain genes have been constructed with conservative choices for the CDR's (gH341) 15 (SEQ ID NO:11) and which have one or a small number of non-CDR residues at positions 73, 78 or 77-79 inclusive, as the mouse amino acids. These have been co-expressed with cL or gL221. In all cases binding to TNF equivalent to the chimeric antibody is seen and when co-expressed with cL 20 the resultant antibodies are able to compete well in the L929 assay. However, with gL221 the resultant antibodies are at least an order of magnitude less able to compete for TNF against the TNF receptor on 1,929 cells. Mouse residues at other positions in the heavy chain, for example, at 23 and 24 25 together or at 76 have been demonstrated to provide no improvement to the competitive ability of the grafted antibody in the L929 assay.

A number of other antibodies including antibodies having specificity for interleukins e.g. IL1 and cancer markers such as carcinoembryonic antigen (CEA) e.g. the monoclonal <sup>30</sup> antibody A5B7 (ref. 21), have been successfully CDR-grafted according to the present invention.

It will be appreciated that the foregoing examples are given by way of illustration only and are not intended to limit the scope of the claimed invention. Changes and <sup>35</sup> modifications may be made to the methods described whilst still falling within the spirit and scope of the invention.

## 32

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SEQUENCE LISTING

(1) GENERAL INFORMATION:

( i i i ) NUMBER OF SEQUENCES: 31

(2) INFORMATION FOR SEQ ID NO:1:

( i ) SEQUENCE CHARACTERISTICS:

- ( A ) LENGTH: 20 base pairs ( B ) TYPE: mictoic acid
  - ( C ) STRANDEDNESS: single
  - ( D ) TOPOLOGY: linear

( j i ) MOLECULE TYPE: cDNA

(x 1) SEQUENCE DESCRIPTION: SEQ ID NO:1:

TCCAGATOTT AACTGCTCAC

(2) INFORMATION FOR SEQ ID NO:2:

(i) SEQUENCE CHARACTERISTICS:
 (A) LENGTH: 23 base pairs
 (B) TYPE: mreteic acid
 (C) STRANDEDNESS: single

( D ) TOPOLOGY: linear

( J ) MOLECULE TYPE: cDNA

2.0

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(2)	INFORMA	TION FO	R SEQ II	0 NO:3:												
	(1)	SEQUE:	NCE CHA A ) LENC B ) TYPE C ) STRA D ) TOPO	ARACTES FTH: 9 an : amino a NDEDNI LOGY: 1	RISTICS: nino acids cid ESS: singl inear	c										
	(11)	MOLEC	ULE TYP	E: peptid	e											
	(x i )	SEQUE	NCE DES	CRIPTIO	N: SEQ I	D NO:3:										
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GAA CTA Leu GAG	(xi) TTCCC ÅTC Ile ·10 TCT Ser	AGT Ser CCA Pro	GCC GCA GCA Als Als	CRIPTIO AAA Ser ATC IIe I0	N: SEQ T Met - 2 2 GTC Val ATG Met	D NO:4: GAT Asp ATA 1 i e - 5 TCT Ser	TTT Phc 26 ATA 11c GCA A1a	CAA GIN TCC Ser TCT Ser	AGA Arg CCA Pro 15	CAG Gln GGA Gly GGG Gly	ATT IIE CAA GIN I GAG GIS	TTC Phe -15 ATT 11e AAG Lys	AGC Ser GTT Val GTC Val	TTC Phe CTC Leu ACC Thc 20	CTG Leu ACC Thr 5 ATG Mei	Ţ
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GAA CTA Leu CAG Gln ACC Thr AAG Lys	(x1) TTCCC Ile ·10 TCT Ser TGC Cys TCA Ser	AGT AGT Ser CCA Pro AGT Ser GGC G1y 40	AGACA GCC Als GCA Als GCC Als 25 ACC Thr	CRIPTIO AAAA TCA Ser ATC TIe 10 AGC Ser TCC Ser	N: SEQ T Me 1 - 2 2 GTC Val ATG Me 1 TCA Ser CCC Pro	D NO:4: GAT Asp ATA 11c -5 TCT Ser AGT Ser AAA Lys	TTT Phc - 26 ATA 11c GCA A1a GTA Va1 AGA Arg 45	CAA GIN TCC Ser TCT Ser AGT Ser 30 TGG Trp	AGA Arg CCA Pro 15 TAC Tyr ATT Ilo	CAG Gln GGA GGG Gly ATG Mel TAT Tyr	ATT IIE CAA GIN I GAG GIT AAC ASU GAC ASP	TTC Phe - 15 ATT II. AAG Lys TGG Trp ACA Thr 50	AGC Ser Val GTC Val TAC Tyr 35 TCC Ser	TTC Phe CTC Leu ACC Thc 20 CAG Gin AAA Ly*	CTG Leu ACC Thr 5 ATG Mel CAG Glu CTG Leu	r 1 2
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GAA CTA Leu CAG Gin ACC Lys GCT Aia TAC TAC TAC 70	(Xi) TTCCC ATC Ile ·10 TCT Ser TCA Ser TCA Ser Ser Ser	AGT Ser CCA Pro AGT Ser GGC Gly 40 GGA Gly CTC Leo	AGACA GCC Ala GCA Ala GCC Ala 25 ACC Thr GTC Val ACA Thr	CRIPTIO AAA Ser ATCA Ser ATC IIe IU AGC Ser TCC Ser CCT Pro ATC IIe	N: SEQ T ATG Me1 - 2 2 GTC Val ATG Me1 TCA Ser CCC Pro GCT Ala AGC Ser 75	D NO:4: GAT Asp ATA IIc -5 TCT Ser AGT Ser AAA Lys CAC HIS 60 GGC GIy	TTT Phc 26 ATA 11c GCA A1a GTA Va1 AGA Arg 45 TTC Phc ATG Mct	CAA GIN TCC Ser TCT Ser 30 TGG Trp AGG Arg GAG GIU	AGA Arg CCA Pro 15 TAC Tyr ATT Ilo GGC Gly GCT Als	CAG Gln GGA GGG Gly ATG Mel TAT Tyr AGT Ser GAA Glu 80	ATT IIE CAA GIN I GAG GIS GAC ASD GAC ASP GGG GIS 65 GAT ASP	TTC Phe - 15 ATT IIe AAG Lys TGG Trp ACA Thr S0 TCT Ser GCT AIA	AGC Ser GTT Val GTC Val TAC Tyr 35 TCC Ser GGG G1y GCC Ala	TTC Phe CTC Leu ACC Thc 20 CAG Gin AAA Ly* ACC Thr ACT Thr	CTG Leu ACC Thr 5 ATG Mel CAG Glu CTG Leu TCT Ser TAT Tyr 85	1 1 2 3
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GAT	GGC	AGT	GAA	CGA	CAA	AAT	GGC	GTC	CTG	AAC	AGT	TGG	ACT	GAT	CAG	578
Asp	GIY	Sci	Giu	Arg	Gin	Алл	GIY	Vat	Leu	Asn	Scr	TTP	Thr	Asp	Gln	
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GAC	AGC	AAA	GAC	AGC	ACC	TAC	AGC	ATG	AGC	AGC	ACC	CTC	ACG	TTG	ACC	626
Asp	Ser	Lys	Asp	Ser	Thr	Туг	Scr	Met	Ser	Ser	Thr	Leu	Thr	Leu	Thr	
				170					175					180		
AAG	GAC	GAG	TAT	GAA	CGA	CAT	AAC	AGC	TAT	ACC	TGT	GAG	GCC	ACT	CAC	674
Lys	Asp	Glu	Tyr	Glu	Arg	His	As n	Sct	Туг	Thr	Cys	Glu	Ala	Thr	His	
			185					190					195			
AAG	ACA	TCA	ACT	TCA	ccc	ATT	GTC	AAG	AGC	TTC	AAC	AGG	AAT	GAG	TGT	722
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		200					205					210				
TAG	AGAC	AAA	GGTC	CTGA	GA C	GCCA	CCAC	C AG	стсс	CAGC	TCC	ATCC	TAT	CTTC	ссттст	782
AAG	STCT	TGG	AGGC	TTCC	CC A	CAAG	CGCT	r AC	CACT	GTTG	CGG	TGCT	CTA	AACC	тсстсс	842
CACO	TCC	TTC	TCCT	CCTC	CT C	CCTT	тест	r GG	CTTT	TATC	AT G	CTAA	TAT	TTGC.	AGAAAA	902
TAT	CAA	TAA	AGTG	AGTC	TT T	GCCT	TGAA	A AA	AAAA	AAAA	А					943
(2)1	NFORM	ATION FO	OR SEQ I	D NO:5:												

(i) SEQUENCE CHARACTERISTICS:
 (A) LENGTH: 235 amino acids
 (B) TYPE: amino acid
 (D) TOPOLOGY; linear

35

( i i ) MOLECULE TYPE: protein

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO:5:

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Атд	H† s	A s n	Sет 190	Ty r	Thr	C y s	Glu	Ala 195	Thr	H i s	Lys	T h r	Set 200	Thr	Set	
10	IÍc	V a 1 2 0 5	L y s	Ser	Phe	Asn	Arg Z10	A s n	Glu	Cys						
2)1	NFORM	ATION FO	R SEQ II	D NO:6:												
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A G 1 n	CTG Leu	CAG GIn S	CAG GIn	TCT Ser	GGG Giy	GCT Ala	G A A G 1 u 1 0	С Т G L e u	GCA Ala	AGA Arg	CCT Pro	G G G G I y 1 5	GCC Als	T C A S e r	GTG Val	151
A G y s	ATG Met 20	TCC Set	TGC Cys	A A G L y s	GCT Ala	T C T S e r 2 5	GGC Gly	ТАС Тут	ACC Thr	TTT Pbe	A C T T h r 3 0	AGG Arg	T A C T y r	ACG Tht	ATG Met	199
A C 1 8 3 5	TGG Ttp	GTA Val	AAA Lys	CAG Gin	AGG Ajg 40	CCT Pto	GGA GIY	CAG Gin	GGT Gly	CTG Lcu 45	GAA Giu	TGG Tip	ATT Iic	GGA Giy	ТАС Туг 50	247
T T I c	AAT Asn	ССТ Рго	AGC Set	CGT Arg 55	G G T G I y	TAT Tyr	ACT Thr	AAT Asn	ТАС Туг 60	ATT Asn	CAG GIn	AAG Lys	TTC Phc	AAG Lys 65	GAC Asp	295
A G y s	GCC Ala	ACA Thr	TTG Leu 70	ACT Thr	ACA Thr	GAC Asp	AAA Lys	ТСС Sет 75	TCC Ser	AGC Ser	ACA Thr	GCC Ala	ТАС Тут 80	ATG Mei	CAA Gln	3 4 3
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A T y r	T A T T y r 1 0 0	GAT Asp	GAT Asp	CAT His	T A C T y r	T G C C y s 1 0 5	CTT Leu	GAC Asp	T A C T y r	TGG Trp	G G C G l y 1 1 0	CAA GIn	GGC GIy	ACC Thr	ACT Thr	439
T C e u 1 5	ACA Thr	GTC Val	TCC Ser	TCA Ser	GCC A1a 120	AAA Lys	ACA Tbr	ACA Thr	GCC Alà	C C A P z o 1 2 5	TCG Ser	GTC Val	ТАТ Туг	CCA Prò	CTG Leu 130	4 8 7
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A G s	GTG Val	GAC Asp	AAG Lys	AAA Lys 215	ATT 11e	GAG Glu	CCC P 1 0	AGA Arg	6 6 6 6 6 1 y 2 2 0	ССС Рто	ACA Tbr	ATC IIe	AAG Lys	ССС Рго 225	T G T C y s	775
CT CQ	CCA Pro	TGC Cys	A A A L y s 2 3 0	T G C C y s	CCA Pto	GCA AIa	сст Рто	AAC Asn 235	СТС L с u	T T G L e u	G G T G I y	G G A G I y	ССА Рто 240	TCC Ser	GTC Val	823
ГC 1 е	ATC IIc	ТТС Р h с 2 4 5	ССТ Ртя	CCA Pro	AAG Lys	ATC IIc	A A G L y s 2 5 0	GAT Asp	GTA Val	CTC Lea	ATG Met	ATC 11c 255	TCC Ser	CTG Leu	AGC Sct	871
3 C	ATA I1 e 260	GTC Val	ACA Thr	Т G T Су s	GTG Val	GTG Val 265	GTG Val	GAT Asp	GTG Val	AGC Ser	G A G G 1 u 2 7 0	GAT Asp	GAC Asp	ССА Рго	GAT Asp	9 I 9
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C C I a	CTC Leu	CCC Pto	ATC 11e 310	CAG Gln	CAC His	CAG GIn	GAC Asp	Т G G Т r р 3 1 5	ATG Met	AGT Ser	GGC Gly	AAG Lys	G A G G 1 a 3 2 0	ΤΤC Ρhe	À A À Lys	1063
G C y s	A A G L y s	GTC V ± 1 3 2 5	ААС Азр	AAC Asn	AAA Lys	GAC Asp	СТС Lец 330	CCA Pro	GCG Ala	CCC Pro	ATC Ile	G A G G 1 a 3 3 5	AGA Arg	ACC Thr	ATC Ile	1111
A C a r	A A A L y s 3 4 0	CCC Pro	AAA Lys	G G G G 1 y	TCA Ser	GTA Val 345	AGA Atg	GCT Ala	CCA Pro	CAG Gln	GTA Val 350	T A T T § r	GTC Val	TTG Leu	CCT Pro	1159
CA 1 0 5 5	CCA Pro	GAA Glu	GAA Glu	GAG Glu	ATG Met 360	ACT Thr	AAG Lys	AAA Lys	CAG Gln	GTC Val 365	ACT Thr	CTG Leo	ACC Tht	T G C C y s	ATG Mc1 370	1207
T C a l	ACA Thr	GAC Asp	ТТС Рће	ATG Mel 375	CCT Pro	GAA GIU	GAC Asp	ATT 11c	ТАС Туг 380	GTG Val	GAG GIU	тбб Ттр	ACC Thr	AAC Asn 385	AAC Asn	1255
G G l y	AAA Lys	ACA Thr	G A G G I u 3 9 0	CTA Leu	AAC Asn	T A C T y r	AAG Lys	AAC Asn 395	ACT Thr	GAA Glu	CCA Ptu	GTC Val	СТ G L е и 4 0 0	G A C A s p	ТСТ Sеr	1303
лт s р	G G T G I y	T C T S c 1 4 0 5	ТАС Тут	TTC Phe	ATG Met	ТАС Туг	AGC S c 1 4 1 0	AAG Lys	CTG Leu	AGA Arg	GTG Val	GAA Glu 415	AAG Lys	AAG Lys	ААС Азп	1351
G P	G T G V a 1 4 2 0	GAA Glu	AGA Arg	AAT Asn	AGC Ser	T A C T y r 4 2 5	TCC Ser	TGT Cys	T C A S e r	GTG Val	GTC Val 430	CAC His	GAG Glu	GGT Gly	CTG Leu	1399
A C i s 3 5	AAT Asn	CAC His	САС Нīs	ACG Thr	ACT Thr 440	AAG Lys	AGC Ser	TTC Phe	TCC Ser	CGG Arg 445	ACT Thr	CCG Pro	GGT Gly	AAA Lys		1444
GA	стс.	AGC	ACCC	ACAA	AA C	гстс.	AGGT	C CA	AAGA	GACA	ccc.	ACAC	TCA	гстс	CATGCT	1504
cc	CITG	TAT	AAA1.	AAAG	CA C	CCAG	CAAT	G CC	IGGG.	ACCA	TGT	AAAA	AAA	AAAA.	AAAAG	1564
AA	TTC															1570

(2) INFORMATION FOR SEQ ID NO: 7:

( i ) SEQUENCE CHARACTERISTICS:
 ( A ) LENGTH: 468 amino acids
 ( B ) TYPE: amino acid
 ( D ) TOPOLOGY: linear

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( i i ) MOLECULE TYPE: protein

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO:7:

Mei Glu Arg His Trp ile Phe Leu Leu Leu Ser Val Thr Alà Gly -19 -15 -10 -5 Val His Ser Gin Val Gin Leu Gin Gin Ser Giy Ala Giu Leu Ala Arg 1 5 10 Pro Gly Ala Ser Val Lys Met Ser Cys Lys Ala Ser Gly Tyr Thr Phe 15 20 25 Thr Arg Tyr Thr Met His Trp Val Lys Gln Arg Pro Gly Gln Gly Leu 30 35 40 45 Glu Trp Ile Gly Tyr Ile Asu Pro Ser Arg Gly Tyr Thr Asn Tyr Asn 50 55 60 Gin Lys Phe Lys Asp Lys Ala Thr Leu Thr Thr Asp Lys Ser Ser Ser 65 70 75 Thr Ala Tyr Mei Gln Leu Ser Ser Leu Thr Ser Glu Asp Ser Ala Val 80 85 90 Tyr Tyr Cys Ala Arg Tyr Tyr Asp Asp His Tyr Cys Leo Asp Tyr Trp 95 100 105 Giy Gin Giy Thr Thr Leu Thr Val Ser Ser Alu Lys Thr Thr Als Pro 110 120 120 120 Ser Val Tyr Pro Leu Ala Pro Val Cys Gly Asp. Thr. Thr. Gly Ser Ser 130 135 140 Val Thr Leu Gly Cys Leu Val Lys Gly Thr Phe Pro Glu Pro Val Thr 145 150 155 Leu Thr Trp Asn Ser Gly Ser Leu Ser Ser Gly Val His Thr Phe Fro 160 165 170 Ala Val Leu Gln Ser Asp Leu Tyr Thr Leu Ser Ser Ser Val Thr Val 175 180 185 The Ser Ser The Tep Pro See Gin See Ile The Cys Asn Val Als His 190 195 200 205 Pro Ala Ser Ser Thr Lys Val Asp Lys Lys Ile Gla Pro Arg Gly Pro 210 215 220 The Lys Pro Cys Pro Pro Cys Lys Cys Pro Ala Pro Asn Leu Leu 225 230 230 Gly Gly Pro Ser Val Phe Ile Phe Pro Pro Lys Ile Lys Asp Val Leu 240 245 250 Mei Ile Ser Leu Ser Pro Ile Val Thr Cys Val Val Asp Val Ser 255 260 265 Giu Asp Asp Pro Asp Val Gin Ile Ser Trp Phe Val Asn Asn Val Giu 270 275 280 285 Val His Thr Ala Glu Thr Glu Thr His Arg Glu Asp Tyr Asu Ser Thr 290 295 300 Leu Arg Val Val Ser Ala Leu Pro Ile Gin His Gin Asp Trp Met Ser 305 310 Giy Lys Giu Phe Lys Cys Lys Val Asn Asn Lys Asp Leu Pro Ala Pro 320 325 330 lie Glu Arg Thr lie Ser Lys Pio Lys Gly Ser Val Arg Ala Pro Glu 335 340 345 Val Tyr Val Leu Pro Pro Pro Glu Glu Glu Met Thr Lys Lys Gln Val 350 355 360 365 Thr Leu Thr Cys Mei Val Thr Asp Phe Mei Pro Glu Asp lle Tyr Val 370 375 380

-continued Glu Trp The Asn Asn Gly Lys Thr Glu Leu Asn Tyr Lys Asn Thr Gla 385 390 395 Pro Val Lew Asp Ser Asp Giy Ser Tyr Phe Mei Tyr Ser Lys Lew Arg 400 405 Val Glu Lys Lys Aan Trp Val Glu Arg Aan Set Tyr Set Cys Ser Val 415 420 425 Val His Glu Gly Leu His Asn His His Thr Thr Lys Ser Phe Ser Arg 430 435 440 445 The Pro Gly Lys (2) INFORMATION FOR SEQ ID NO:8: ( i ) SEQUENCE CHARACTERISTICS: ( A ) LENGTH: 85 amine acids ( B ) TYPE: amino acid ( D ) TOPOLOGY: linear ( j j ) MOLECULE TYPE: peptide ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO:8; Asp Lie Gin Met Thr Gin Ser Pro Ser Ser Leu Ser Als Ser Val Giy 1 5 10 15 Asp Arg Val Thr Ile Thr Cys Gin Als Ser Gin Asp Ile Ile Lys Tyr 20 25 30 Les Asn Trp Tyr Gin Gin Thr Pro Giy Lys Ala Pro Lys Les Les Ile 35 40. 45 Thr Glu Ala Ser Asn Leu Gln Ala Gly Val Pro Ser Arg Phe Ser Gly 50 55 60 Ser Gly Ser Gly Thr Asp Tyr Thr Phe Thr lie Ser Ser Leu Gin Fro 65 70 75 80 Glu Asp Ile Ala Thr (2) INFORMATION FOR SEQ ID NO:9: ( i ) SEQUENCE CHARACTERISTICS: ( A ) LENGTH: 23 amino acids ( B ) TYPE: amino acid ( D ) TOPOLOGY; linear ( i i ) MOLECULE TYPE: peptide ( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 9: Tyr Tyr Cys Gin Gin Tyr Gin Ser Len Pro Tyr Thr Phe Giy Gin Giy 1 5 10 15 1.5 1.0 Thr Lys Leu Gin Ile Thr Arg 20 (2) INFORMATION FOR SEQ ID NO:10: ( i ) SEQUENCE CHARACTERISTICS: ( A ) LENGTH: 126 amino acids ( B ) TYPE: amino acid ( D ) TOPOLOGY: linear ( i i ) MOLECULE TYPE: peptide (  $\mathbf{x}$  i ) SEQUENCE DESCRIPTION: SEQ ID NO:10: Gin Vai Gin Len Vai Giu Ser Giy Giy Giy Vai Vai Gin Pro Giy Arg 1 5 10 15 Ser Len Arg Len Ser Cys Ser Ser Gly Phe Ile Phe Ser Ser Tyr 20 25 30

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Ala	Μετ	T y 1 3 5	Τιρ	V a L	Атд	Glu	A 1 a 4 0	Ριο	Gly	Lys	Gly	L e u 4 5	Glu	Ттр	V a 1
A i a	I Í c 5 0	Ile	Тгр	Asp	A s p	G 1 y 5 5	Scr	Asp	Gln	His	Туг 60	Ala	A s p	Ser	V a 1
L y s 6 5	G   y	Aig	P h c	Thr	11 c 7 0	Ser	A † g	Asp	A s. n	S e t 7 5	L y s	Asu	The	Lcu	Р h с 8 О
Lcu	Gin	M c t	Asp	Ser 85	Leu	Arg	P r o	Glu	A s p 9 0	Thr	Gĺy	V a 1	Туr	Ph c 95	C y s
A) a	Arg	Asp	G 1 y 1 0 0	Gly	His	Gly	P h c	Cys 105	S c r	Sci	Ala	Scr	C y s 1 1 0	Phc	GIY
Рло	Asp	Tyr 115	Ттр	Gly	Gln	G 1 y	Thr 1.2.0	Pro	V a L	Tbr	V a l	S c r 1 2 5	Sci		

( 2 ) INFORMATION FOR SEQ ID NO: 11:

(1) SEQUENCE CHARACTERISTICS: (A) LENGTH: 119 amino acids (B) TYPE: amino acid (C) TOPOLOGY: linear

( i i ) MOLECULE TYPE: peptide

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO: 11:

G   n 1	V a 1	GID	Leu	Val 5	Glu	Scr	Gly	G1y	G L y 1 0	V-a-1	Val	Gla	<b>F</b> r σ	G 1 y 1 5	Arg
Ser	Ĺeu	Arg	L e u 2 0	Ser	C y s	Ser	Ser	Ser 25	Giy	Туг	Thr	Fh e	Thr 30	Arg	Туг
Thr	Met	H i s 3 5	Тгр	V a 1	AIg	Gln	A 1 a 4 0	Рго	Gly	Lys	GIY	L e u 4 5	GIU	Trp	V a I
A i a	T y r 5 0	11¢	Asn	Рто	Ser	Ат <u>е</u> 55	Giy	Тут	Thr	Asn	Туг 6 0	Asn	Gln	L y s	Phe
L y s 6 5	Asp	Arg	Phe	Thr	11e 70	8 c r	Arg	Asp	A s n	S c r 7 5	Lys	Αŝπ	Thr	Len	Phe 80
Leu	GÍn	Met	A s p	Ser 85	Leu	Arg	0 1 <b>q</b>	G I u	Asp 90	Thr	Gly	V a Î	Τχι	Phe 95	Cys
Ala	Arg	Тут	Тут 100	Asp	Asp	His	Тут	Cys 105	L e u	Asp	Туr	Ттр	G 1 y 1 1 0	GIT	Gly
Thr	Thr	1 e u 1 5	The	V a )	Ser	Ser									

(2) INFORMATION FOR SEQ ID NO:12:

### ( i ) SEQUENCE CHARACTERISTICS: ( A ) LENGTH: 119 amino acids ( B ) TYPE: amino acid ( D ) TOPOLOGY: finear

( | i ) MOLECULE TYPE: peptide

( \* i ) SEQUENCE DESCRIPTION: SEQ ID NO:12:

G   n 1	V a 1	GÌN	L e u	Val 5	Gin	Set	GIY	GIy	G 1 y 1 0	Val	V a 1	Glu	Pro	G 1 y 1 5	Arg.
Ser	Leo	Arg	L e u 2 0	S e r	Cys	Lys	Ala	S e 1 2 5	Gly	Тут	Th r	₽ h e	1 h r 3 0	Arg	Тут
Thr	Met	H i s 35	Тгр	V a I	Aīg	Gln	A 1 a 4 0	Pro	Giy	L y s	GI y	L e u 4 5	G 1 u	Тгр	i i v
Gly	Туг 50	l I e	A s n	<b>P</b> ro	Ser	Arg 55	GIY	Тут	Υbr	A s n	Tyr 60	Asn	Gl n	Lys	<b>V</b> a 1
Lys 65	As p	Атд	Phc	Thr	11e 70	Ser	Thr	A s p	L y s	Ser 75	L y s	Ser	Th r	Ála.	Phe 80

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Leu	Gİn	Met	A s p	Ser 85	Leu	Arg	Pro	Glu	A s p 9 0	Thr	Ala	V a l	Тут	Туг 95	C y s
Ala	Arg	Tyr	Tyr 100	Asp	Asp	His	Туг	C y s 1 0 5	Leu	Asp	T y r	Ττp	G 1 y 1 1 0	Gln	Gly
'h r	Τĥτ	L e u 1 1 5	Thr	V a l	Ser	Ser									
(2)]	NFORM/	ATION FO	OR SEQ II	D NO:13:											
	(1	) SEQUE ( . (	NCE CHA A ) LENC B ) TYPE D ) TOPC	ARACTEF 5TH: 119 : amino a 0LOGY: 6	RISTICS: amino aci cid inear	ids									
	(11	) MOLEC	ULE TYP	PE: peptid	e										
	( x i	) SEQUE	NCE DES	CRIPTIO	N: SEQ I	D NO:13:									
G j n 1	V a 1	GÌn	Leu	V a   5	Gļn	Ser	Gly	GIŞ	G   y 1 0	V a I	V a l	Glu	Pro	G   ç 15	A r g
Ser	Leu	Агд	L e n 2 Q	Ser	C y s	L y s	Alá	S e T 2 5	Gly	Туг	Thr	P h c	Thr 30	Arg	Туг
The	Me 1	H i s 3 5	Ттр	Val	Arg	Gln	A 1 a 4 0	Pro	ĠĿÿ	L y s	Ġſy	1 e n 4 5	G 1 u	Trp	I 1 e
Gly	Tyr 50	l l e	A s n	Pra	S e r	Ат <u>в</u> 55	Giy	Тут	Thr	Ás n	Туг 60	As n	Gla	L y s	V a I
Lys 65	As p	Arg	Phe	Thr	11e 70	Ser	Thr	Asp	Lys	Ser 75	Lys	S e r	Thr	A Ì à	Phe 80
Leu	Glu	Mei	A s p	Ser 85	Leu	Arg	Рто	Glu	Asp 90	Thr	Gly	V a l	Tyr	Phe 95	Cys
Ala	Atg	Тут	Тут 100	A s p	A s p	His	Тух	Сув 105	Leu	Asp	Тут	Ттр	G I 9 1 1 0	Glu	Gly
Thr	Thr	L e u 115	Thr	V a 1	Ser	Ser									
(2)1	NFORMA	ATION FO	OR SEQ II	D NO:14:											
	( 4	) SEQUE ( . (	NCE CHA A ) LENC B ) TYPE D ) TOPC	ARACTER 7TH: 119 1: amino a DLOGY: 15	USTICS: antino aci cid inear	ids									
	(11	) MOLEC	ULE TY	PE: peptid	e										
	( x 1	) SEQUE	NCE DES	CRIPTIO	N: SEQ I	D NO:14:									
Gin 1	V a l	Gln	Leu	V a 1 5	Gİn	Ser	Gly	Ġ L y	Giy t0	V a 1	V a l	Gln	Pro	G i y 1 5	Arg
Ser	Leu	Атд	Leu 20	Ser	C y s	L y s	À l. a	Ser 25	Gly	Τÿ r	Thr	Ph c	Thr 30	Arg	T y r
Thr	Me t	Hia 35	Ttp	V a I	Λrg.	Gln	Ala 40	Pro	Ġły	Lÿs	GIY	L e u 4 5	Glu	Ттр	<b>1</b> 1 é
	Туг	Ile	A s n	Pra	Ser	Arg. 55	Gly	Тут	Thr	A s n	Туг 60	A s n	Gln	Lys	Val
Gly	5.0				1.16	Scr	Thr	Asp	Lys	S c 1 7 5	Lys	Asu	Tb r	Ala	Ph : 80
Gly Lys 65	5 0 A 5 p	Arg	Phe	Thr	7 0										
Giy Lys 65 Lęu	S O A s p G ) n	Arg Mct	Phc Asp	Thr Ser 85	70 Leu	Aıg	Piş	Giu	A s p 9 0	Th,	Giy	V a l	Туr	Phe 95	C y s
Giy Lys 65 Leu Aia	S O A S P G I n A r g	Arg Met Tyr	Phe Asp Tyr 100	Thr Scr 85 Asp	Te 70 Leu Asp	Атд Ніs	Рто Тут	Glu Cys ⊥05	Asp 90 Lcu	Th I Asp	Giy Tyr	Vaj Trp	Тун G I у 110	Phe 95 Gln	С у з G 1 у

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(2) INFORMATION FOR SEQ ID NO:15:

( i ) SEQUENCE CHARACTERISTICS: ( A ) LENGTH; 119 amino acids ( B ) TYPE; amino acid ( D ) TOPOLOGY; linear MOLECULE TYPE; peptide

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO:15:

G I n 1	V a I	GIA	Leu	V a 1 5	GIA	Ser	Gly	GIY	G I y 1 0	V a 1	V a 1	Gln	Pro	G 1 y 1 5	Arg
Scr	Leu	Are	L e u 2 0	Ser	Cys	L y s	Ala	Sет 25	Gly	Туг	Тbг	Рһс	Thr 30	Arg	Туг
Τhτ	M e t	H I 8 35	Ттр	¥a I	Arg	GIn	A I a 4 0	<b>Ρ</b> το	Ġŀy	Lys	GIY	L e u 4 5	Glu	Trp	1 ) e
Giy	T y r 5 0	I I e	A s n	Pro	Ser	Arg 55	Gly	Tyr	Thr	A s n	T y r 6 0	A s n	G 1 u	Lys	V a I
L y s 6 5	Asp	Агд	Рће	Thr	1 1 e 7 0	S е г	Ατġ	Asp	A s n	Ser 75	L y s	Asn	Thr	Alà	Р ћ.е 80
L e u	G)n	Mei	A s p	Ser 85	1. e u	Aıg	P 7 0	GIN	A s p 9 0	Thr	Gly	Va (	Туг	Phe 95	C y s
Á Í a	Ar.g.	T y z	Tyr 100	A s p	As p	His	Тут	C y s 1 0 5	L e u	A s p	T y r	Тхр	G I y 1 1 0	Gln	Gly
Thr	Thr	Leu 115	Thr	V a I	Ser	Sет									

(2) INFORMATION FOR SEQ ID NO:16:

(i) SEQUENCE CHARACTERISTICS:
 (A) LENGTH: 119 amino acids
 (B) TYPE: amino acid
 (D) TOPOLOGY: linear

( 1 i ) MOLECULE TYPE: peptide

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO:16:

G ) n 1	V a l	Gín	Leu	V a 1 5	Gln	Ser	GIY	G-1 y	G I y 1 0	V a 1	Val.	G I n	Pro.	G   y 1 5	Arg
Ser	Leu	Атд	L c u 2 0	Ser	C y s	L y s	Ala	Ser 25	Gly	Туг	Thr	P h c	Thr 30	Агд	Туr
Thr	Me 1	His 35	Ттр	Val	Arg	Gla	Ala 40	Pro	C y s	Lys	G I y	Leu 45	Glu	Тгр	Ile
Gly	Туг 50	ΪΙ¢	A s n	Pro	Scr	Arg 55	Gly	Туг	Thr	Asn	T y r 6 0	Asn	Gl n	L y s	V a 1
Lys 65	As p	Атд	Phe	Thr	II e 70	Ser	Thr	A s p	L y s	Ser 75	Lys	Asn	Thr	Leu	Phe 80
Leu	GÌū	Me 1	Asp	Ser 85	Leu	Atg	Pró	Glu	A s p 9 0	Thr	GIY	V a 1	Тут	Р b с 9 5	Cys
Ala	Arg	Tyr	Tyr 100	A s p	A s p	H i a	Тут	Су 5 105	L e u	Asp	Туr	Τrp	G 1 y 1 1 0	Gla	Gly
Thr	Thr	L c u 1 1 5	Thr	V a L	Scr	Scr									

(2) INFORMATION FOR SEQ ID NO: 17:

(i) SEQUENCE CHARACTERISTICS:
 (A) LENGTH: 119 amino acids
 (B) TYPE: amino acid
 (D) TOPOLOGY: linear

( i i ) MOLECULE TYPE: peptide

(x i) SEQUENCE DESCRIPTION; SEQ ID NO: 17;

Gin<br/>1ValGin<br/>LeuValGin<br/>SSerGiy<br/>GiyGiy<br/>GiyValValGin<br/>ValProGiy<br/>LSArg<br/>LSSerLeu<br/>20ArgLeu<br/>20SerCysLysAia<br/>40SerGlyTyrTbrPheTbr<br/>TbrArgTyrThrMetHis<br/>35TrpValArgGln<br/>41gAla<br/>40ProCysLysGlyLeu<br/>45GluTrpIleGiy<br/>Giy<br/>TyrTie<br/>AsnAsnProSerArg<br/>40GlyTyrTbrAsnGluTppIleGiy<br/>Giy<br/>TyrTie<br/>AsnAsnProSerArg<br/>40GlyTyrTbrAsnGluTppIleGiy<br/>Tyr<br/>S0Tie<br/>AsnArgProSerArg<br/>40AsnProCysLysAsnTprIleGiy<br/>Tyr<br/>S0Tie<br/>AsnArgProSerArg<br/>ArgAsnTprIleAsnTprIleGiy<br/>Tyr<br/>S0Tie<br/>AsnArg<br/>AsnProSerArg<br/>AsnAsnTprLysValLysValLys<br/>S0AspArg<br/>AspProSerArg<br/>AspAsnSerLysAsnTprLysValLys<br/>S0AspArg<br/>S5ProGiuAsp<br/>S0AsnSerLys<br/>S0ThrGiuTprDpr</tr

(2) INFORMATION FOR SEQ ID NO:18:

( i ) SEQUENCE CHARACTERISTICS: ( A ) LENGTH: 119 amino acids ( B ) TYPE: amino acid ( D ) TOPOLOGY; linear

( i i ) MOLECULE TYPE: peptide

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO:18:

Gin<br/>1VaiGin<br/>SLeuVai<br/>SGin<br/>SSerGiy<br/>SGiy<br/>SGiy<br/>SVaiVaiGin<br/>SProGin<br/>SArg<br/>SSerLeuArgLeuSerCysLysAlaSerGiyTyrThrPhoThrArgTyr<br/>30ThrMetHis<br/>35TrpVaiArgGinAlaSerCysLysGiyLusGinTrpVaiAiaTyr<br/>50TieAsnProSerArgGiyTyrThrAsnGinLysVaiAiaTyr<br/>50TieAsnProSerArgGiyTyrThrAsnGinLysVaiLysAsp<br/>50ArgProSerArgGiyTyrThrAsnTyrAsnGinLysVaiLysAsp<br/>50ArgProSerArgThrAspLysSerThrAsnCysLysAsp<br/>50ArgProSerThrAspLysSerLysSerThrAiaPhoLysAsp<br/>50ArgProSerThrAspLysSerLysSerThrAiaPhoLysAsp<br/>50ArgProSerThrAspFroGiuAspFroCysSerSerSerPhoSerSerSerSerSe

(2) INFORMATION FOR SEQ ID NO:19:

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(i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: U9 amino acids
(B) TYPE: anino acid
(D) TOPOLOGY: linear
(fi) MOLECULE TYPE: peptide
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:19:
GIN Val GIN Leu Val GIN Ser Gly Gly Gly Val Val GIN Pro Gly Arg
1 5 L0 L5
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Set	Leu	Arg	L e u 2 0	Ser	Cys	Ser	Ala	Ser 25	Gly	Тут	Tb r	Рће	Thr 30	Arg	Туг
Τhτ	Met	II i s 3 5	Тгр	V a Í	Агд	Gin	A 1 a + 0	Prò	C y s	Lys	Gly	Leu 45	Glu	Тгр	Ilc
Ģíş	Tyr 50	I I c	A s n	P 1 9	Sçt	Arg 55	Gly	Тут	Thr	A s n	Tyr 60	Asn	Gĺn	Lys	V a I
L y s 6 5	Asp	Arg	Рһс	Thr	II e 70	Ser	Thr	Asp	L y s	Ser 75	L y s	Ser	Thr	Ala	Г ћ с 8 0
Lċu	G i n	M e 1	As p	S c r 8 5	Leu	Arg	Ρτο	Glu	A s p 9 0	Thr	Ala	V a. 1	Тут	T y : 9 5	Суз
( a	Arg	Туг	Туі 100	Asp	Asp	H i s	Тут	C y s 1 0 5	Leu	A s p	Туг	Тер	G 1 y 1 1 0	Glu	Gly
Thi	Thr	L e a 1   5	Thr	V a í	Sст	Ser									
(2)1	NFORM	ATION FO	OR SEQ II	D NO:20:											

(i) SEQUENCE CHARACTERISTICS:
 (A) LENGTH: 119 amino acids
 (B) TYPE: amino acid
 (D) TOPOLOCY; linear

( i i ) MOLECULE TYPE: peptide

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO:20:

Gln 1	Val	Gin	Leu	Val GI 5	u Ser	Giy	GIY	G 1 y 1 0	Val V	Val Gla	Pro	G I y 1 5	Arg
Ser	Leu	Arg	L e u 2 0	Ser Cy	s Ser	Ala	Ser 25	ĠÌy	Tyr T	fhr Phe	Thr 30	Arg	Tyr
Thr	Met	H i s 3 5	Ттр	Val Ar	g Gin	A 1 a 4 0	Ρισ	C y s	Lys (	Fly Leu 45	GIu	Ттр	110
G   y	Tyr 50	ſl e	Asn	Рто Se	r Arg 55	Gly	Тут	Thr	Asn 7	Fyr Asn 60	GIN	Lys	V a 1
L y s 6 5	A s p	Aig	Phe	Thr II 7	e Ser O	Thr	Asp	Lys	Ser 1 75	Lys Ser	Thr	Ala	Phe 80
Leu	Gln	Met	Asp	SerLe 85	u Arg	Ρτό	Glu	Asp 90	Thr /	Ala Val	Тут	T y r 9 5	C y s
Al a	Атg	Tyr	T y r 1 0 0	Asp As	p His	Tyr	C y s 1 0 5	l. e u	Asp 7	Гут Ттр	G i y 1 1 0	Gln	Gly
Thr	Thr	L e u 115	Thr	Val Se	r Ser								

(2) INFORMATION FOR SEQ ID NO:21:

( i ) SEQUENCE CHARACTERISTICS: ( A ) LENGTH: 119 amino acids ( B ) TYPE: amino acid ( D ) TOPOLOGY: finear

( 1 i ) MOLECULE TYPE; peptide

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO:21:

Gín 1	V a I	Gin	Leu	VaL 5	.G 1 u	Ser	GIY	Gly	G 1 y 1 0	V.a.1	V a 1	GIL	Рто	G   y 1 5	Arg
Scr	Leu	Arg	L e u 2 0	Ser	C y s	Ser	Ser	Ser 25	Gly	Туг	Thr	<b>F</b> he	Thr 30	Arg	Туг
Thr	Met	His 35	Ттр	V a L	Arg.	Gln	A i a 4 0	Pro	Cys	Lys	Gly	Leu 45	G 1 u	Ттр	11 e
Gly	Tyr 50	L I e	Asn	Pro	Scr	Arg 55	Gly	Тут	Thr	A s n	Tyr 60	A s n	Glu	Ly s	V a I

5.	85	9	2	05

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Lys 65	A s p	Arg	Phe	Тbг	II c 7.0	8 с г	T b r	Asp	L y s	Ser 75	Lys	Ser	Thr	Ala	P h c 8 0
Leu	GÍn	Me 1	Asp	Ser 85	Leu	Arg	Pro	Glu	A s p 9 0	Thr	Ala	V a 1	Tyt	Tyr 95	Cys
Ala	Atg	T y z	Tyr 100	Asp	As p	H'i s	T y r	Cys 105	Leu	Asp	Туг	T : p	G I y 1 I 0	Gla	Ģ1 y
Τhτ	Thr	L e u 1 1 5	Тħт	V a 1	S с т	8 с т									
(2)1	NFORM	TION FO	R SEQ II	O NO:22:											
	(1	) SEQUE ( ) ( )	NCE CHA A ) LENC B ) TYPE D ) TOPC	ARACTES 5TH: 119 1: amino a DLOGY: 5	RISTICS: amino aci cid near	ids									
	CET	) MOLEC	ULE TYI	PE: peptid	ic.										
	( x i	) SEQUE	NCE DES	CRIPTIO	N: SEQ I	D NO:22:									
Gín 1	Val	Gin	L с п	V a 1 5	Glu	Ser	Gly	Gly	G I y 1 0	V a I	Va I	Glu	Рго	G I y 1 5	Атд
Set	Leu	Arg	1. e u 2 0	Ser	Cys	Ser	Ala	S e t 2 5	Gìy	T y r	Thr	Phe	Thr 30	Arg	Tyr
Thr	Mei	H i s 3 5	Ттр	Val	Атд	Gln	A 1 a 4 0	Ριο	C y s	1 y s	Gły	L e u 4 5	Glu	Ттр	] e
Gìy	Туг 50	1 I e	A s n	Pro	Ser	Arg 55	Gly	T y r	Thr	Аѕл	Тут 60	A s n	Gin	Ly s	V a 1
Lys 65	Asp	Агд	Phe	Thr	Î Î e 7 0	Sет	Thr	Asp	Lys	Ser 75	L y s	Ser	Thr	Ala	Ph e 8 0
Leu	Glu	Mei	Asp	Ser 85	Leu	Атд	Pτο	GIu	A s p 9 0	Thr	Gly	V a I	Тут	Phe 95	C y s
Ala	Arg	T y z	Tyr 100	A s p	A s p	H i s	T y r	C y s 1 0 5	Leu	A s p	Туг	Ттр	G 1 y 1 1 0	Gln	Gly
Thr	Thr	L e u 1 1 5	Thr	V a l	Scr	Ser									
(2)1	NFORMA	TION FC	R SEQ II	) NO:23:											
	(1	) SEQUE ( ) ( ) ( )	NCE CHA A ) LENC B ) TYPE D ) TOPC	ARACTES 5TH: 119 5: amino a DLOGY: 1	USTICS: amino aci cid inear	ds									
	(11	) MOLEC	ULE TYI	PE: peptid	c										
	( <b>x</b> i	) SEQUE	NCE DES	CRIPTIO	N; SEQ I	D NO:23:									
Gln 1	V a I	Gln	Leu	V a 1 5	Gĺu	Ser	Gly	Gly	G 1 y 1 0	V a l	V a l	Gln	<b>F</b> ra	G l y 1 5	Агд
Ser	Leu	Arg	L e u 2 0	Ser	Сув	Ser	Ala	Ser 25	Gly	Tyr	Thr	P h e	Thr 30	Arg	Tyr
Thr	Mct	H i s 35	Тгр	V n l	Агд	GIn	Ala 40	Pro	C y s	Lys	GIy	Leu 45	G l u	Ттр	11 :
Gly	T y r 5 0	[] ¢	As n	Pro	S c 1	Arg 55	Gly	Туг	Thr	A s n	Туг 60	As n	Ġl n	Lys	V a I
Lys 65	Asp	Arg	Рһс	Thr	I   e 7 0	Set	Thr	Авр	L y s	S c 1 7 5	Lys	S c r	Thr	Ala	Ph = 8 0
Lcu	Gln	Mei	Asp	Ser 85	Lcu	Атд	Pro	Glu	A s p 9 0	Thr	GIY	V a I	Тут	Phc 95	Cys

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										-00	ontinue	d					
					100	ġ.				1 0 5	6				110	P	
T h	1	1 h r	L 1	e u 1 5	Thr	V a	l Ser	Ser									
(2	) IN	FORM	ATIC	N FC	R SEQ	D NO:2	4:										
		(4	) SE	QUE ( 4 ( )	NCE CH A ) LEN B ) TYP D ) TOP	ARACT GTH: 11 E: amino OLOGY:	ERISTICS: 19 amino ac 9 acid 1 linear	cids									
		(14	) M	OLEC	ULE TY	PE: pep	tide										
		(x 1	) SE	QUE	NCE DE	SCRIPT	ION: SEQ	ID NO:24	2								
GI	n 1	V a 1	G	1 n	Leu	V a	Gin 5	Ser	Gly	Gly	G 1 y 1 0	V a 1	V a 1	Glu	Pro	G I y 1 5	Атд
Sc		Leu	А	гg	L e u 2 0	Se	r Cys	Sег	Ala	Ser 25	Gly	Тут	Thr	P h c	Thr 30	Arg	Туг
T h	r	Met	н	i # 3 5	Ттр	V a	l Arg	Gln	Ala 40	Рто	C y s	Lys	Gĺy	Leu 45	Glu	Ттр	Ile
G I	y.	T y r 5 0	1	l e	A s n	Pr	o Ser	Arg 55	Głý	Τyτ	Thr	Asn	T y r 6 0	Ašn	Gln	Lys	V a T
L y 6	s 5	Asp	A	гд	P h c	Th	r I I e 70	Ser	Thr	Asp	Lys	Ser 75	Lys	Ser	Thr	Ala	Phe 80
L v	U	Gln	м	e î	Asp	S e : 8 :	r Lou 5	A 7 g	Pro	Glu	A s p 9 0	Thr	Gly	V a I	Тут	Phe 95	Cys
A )	đ	Атg	Т	y r	T y r 1 0 0	A s j	р Авр	His	Тут	Ċ y s 1 0 5	Lcu	Азр	Туг	Trp	G 1 y 1 1 0	Gln	G 1 y
T b	1	Th r	L	c u 1 5	Thr	V a	Sei	Scr									
(2	) IN	FORM	ATIC	N FC	OR SEQ	ID NO:2	5:										
		( i	) SE	QUE ( ) ( )	NCE CH A ) LEN B ) TYP D ) TOP	ARACT GTH: 10 E: amino OLOGY:	ERISTICS: 07 amino ac o acid : linear	rids									
		(11	) M	OLEC	ULE TY	PE: pep	tide										
		( x 1	) SE	QUE	NCE DE	SCRIPT	ION: SEQ	ID NO:25									
As	Р 1	L l e	G	1 n	M c t	Th	r Glu 5	Ser	Pro	5 e 1	5 c r 1 0	1.50	Scī	A L a	Scr	Varl 15	Gly
A s	P	Атд	v	al	ТЬ г 20	I 1 -	e Thr	Cys	Sci	A 1 a 2 5	Ser	Ser	Ser	V a 1	5 c 1 3 0	Tyr	Mc 1
A s	n	Ттр	Т	51 35	Giy	G1)	n <u>T</u> hr	P 1 0	G I y 4 0	Lys	Ala	P 1 0	Lys	L e a 4 5	L e u	I i e	Туг
A s	p	Thr 50	S	e 1	Lys	Lei	u Ala	Ser 55	Gly	V a 1	Pro	Sei	Arg 60	Phe	Ser	Gly	Sет
G 1 6	5 5	Set	G	1 y	Thr	A s j	р <b>Ту</b> т 70	Thr	Ph c	Thr	I I e	Ser 75	Set	Leu	Gla	P r o	G 1 u 8 0
As	p	Tie	A	l a	T b r	Ту 8	r Tyr 5	Cys	Gln	Glu	Тгр 90	Sci	Ser	Asn	Pro	Phc 95	Thr
Ph	ē	Gly	G	i n	G I y 1 0 0	Th	rLys	Leu	Gln	II e 105	Thr	Arg					

( 2 ) INFORMATION FOR SEQ ID NO:26:

( i ) SEQUENCE CHARACTERISTICS: ( A ) LENGTH: 107 amino acids ( B ) TYPE: amino acid

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		ć.	D ) TOPO	DLOGY: 1	inear										
	(1)	MOLEC	CULE TY	PE: peptie	de										
	( <b>x</b> i	) SEQUE	NCE DES	CRIPTIC	N: SEQ I	D NO:26:									
G ( n 1	1 ) e	V a į	M c 1	Thr 5	Gln	Ser	Pio	Sci	S c 1 1 0	Lcu	Sci	Ala	Sct	V a i 1 5	G1 y
A s p	Атд	V a l	Thr 20	I.I.c	Thr	Cys	Ser	A I a 2 5	Ser	Sет	5 с т	V a 1	Scr 30	Туг	Met
A s n	Ттр	T y t 3 5	Gln	Gln	ТБт	Рто	G I y 4 0	Lys	Ala	Pro	Lys	Arg 45	Ттр	T f c	Тут
Asp	Thr 50	Ser	L y s	Leu	Ala	S c r 5 5	Gly	V a l	Pro	Sci	Arg 60	Phc	Scr	GLY	Sci
G 1 y 6 5	Sei	0.1 y	Thr	Asp	Тут 70	Тћт	Phe	Th r	Ιİs	Ser 75	Sei	Leu	Gin	Pro	G 1 u 8 0
Å s p	l j e	Ala	Thr	Tyr 85	Туг	Cys	Gln	GIN	Т г р 9 0	Ścr	Ser	Asn	P r σ	Phe 95	Thi
Ph c	Giy	Glu	G 1 y 1 0 0	Thr	L y s	L e u	Gln	II c 105	Thr	Arg					
(2)	INFORMA	TION FO	OR SEQ II	0 NO:27:											
	(1)	) SEQUE ( ( (	NCE CH/ A ) LENG B ) TYPE D ) TOPC	ARACTE 7TH: 107 2: amino 4 DLOGY: J	RISTICS: amino ac acid linear	ids									
	x i i i	) MOLEC	CULE TY	PE: peptio	de										
	( x i	) SEQUE	NCE DES	CRIPTIC	ON: SEQ I	D NO:27:									
G ( n 1	() e	Va (	M c t	Thr 5	Gln	Бег	Pro	Scr	Ser 10	Len	Ser	A İ a	Scr	V a   1 5	Gly
Asp	Arg	V a l	Thr 20	[ ] c	Thr	C y s	Ser	A 1 a 2 5	Ser	Sст	Scr	V a 1	Ser 30	Tyr	M e I
A s n	Trp	T y 1 3 5	Gln	Gln	Thr	Pro	G I y 4 0	L y s	Ala	Рго	Lys	Arg 45	Τrp	I i e	Tyr.
A s p	Thr 50	Ser	L y s	Lev	Ala	Ser 55	Gly	V a l	Pro	Ser	Arg 60	Phe	Ser	Gly	Ser
G ) 9 6 5	Ser	Gly	Thr	Asp	Tyr 70	Thr	P h e	Thr	Г)е	Set 75	Set	Len	Gln	Pro	G 1 u 8 0
A s p	Γiε	A I a	Тһт	Туг 85	Тут	C y s	Gln	Gla	Т г р 9 0	Set	Ser	A s n	Pro	Phe 95	Thr
Phe	Giy	Gin	G 1 y 1 0 0	Th r	L y s	L. c. u	Gln	II e 105	Thr	Arg					
(2)	INFORMA	TION FO	OR SEQ II	D NO:28:											
	(1)	) SEQUE ( (	NCE CH/ A ) LENG B ) TYPE D ) TOPC	ARACTE FTH: 107 E: amino : DLOGY: 1	RISTICS: amino ac acid inear	ids									
	(14)	) MOLEC	CULE TY	PE: peptio	de										
	(xi)	) SEQUE	NCE DES	CRIPTIC	ON: SEQ I	D NO:28:									
Asp 1	lle	Gln	Me t	Thr 5	Gin	Ser	Pro	Ser	Ser 10	Leu	Ser	Ala	Ser	V a 1 1 5	Gly
A s p	Arg	V.a. I	Thr 20	[ ] e	Thr	C y s	Ser	A 1 a 2 5	Ser	Ser	Ser	V a l	Ser 30	Tyr	Met
A s n	Ттр	Тул 35	G 1 n	Gln	Thr	Рто	G 1 y 4 0	Lys	Ala	Рто	L y s	Arg 45	Тгр	Il c	Туг

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Asp	Thr 50	Set	Ly s	Leu	Ala	8 e r 5 5	Gly	Val	Рто	S c r	Arg 60	Phe	Sсг	Gly	Sci
G   y 6.5	8 с т	Gly	Thr	As p	Тут 7 р	Thr	Pbc	Thr	II.e	S c r 7 5	Ser	Leu	GIn	Рго	G 1 1 8 1
A s p	I i e	Ala	Thr	T y r 8 5	Туг	Cys	Gla	Glu	Trp 90	Ser	Ser	A s n	Pro	Phe 95	Th
Phe	Gly	Gln	G 1 y 1 0 0	Thr	Lys	L e u	Gln	11e 105	Thr	Atg					
(2)1	NFORM	ATION FO	R SEQ II	O NO:29:											
	(1	) SEQUE ( / ( 1 ( 1	NCE CH/ A ) LENC B ) TYPE D ) TOPC	ARACTES 3TH: 107 1: amino a 0LOGY: 6	RISTICS: amino ac cid inear	ids									
	CET	) MOLEC	ULE TY	PE: peptid	c.										
	( x i	) SEQUE	NCE DES	CRIPTIO	N: SEQ I	D NO:29:									
						Gln L	Ile	V a l	Leu	Thr 5	Gla	Sei	Рто	Alà	1 l 1
Met	Ser	Ala	Ser	Pro 15	Gly	Gtu	Lys	Val	Т h r 2 0	Mei	Thr	Cys	Ser	A 1 a 2 5	S e
Scr	Ser	V a I	Sст 30	Туг	Me t	A s n	Trp	Tyr 35	Gln	Gln	L.y.s	S e r	G 1 y 4 0	Thr	S e
Рто	Lys	Ат <u>в</u> 45	T t p	Ĩĺ¢	Тут	A s p	Thr 5Q	Set	L y s	Leu	Ala	8 c r 5 5	Gĺy	V a 1	P s
Ala	H i s 6 0	P h c	Arg	Gly	Ser	G I y 6 5	Ser	Gly	Thr	Ser	Тут 70	Ser	Leu	Thr	11
Ser 75	Gly	Mei	Glu	Ala	G 1 u 8 0	As p	Ala	Ala	Thr	T y t 8 5	Tyr	C y s	GIn	Gln	Tr 9
Ser	Ser	A s n	Pro	Phe 95	Thr	P h e	Gly	Ser	G 1 y 1 0 0	Thr	L y s	Leu	Glu	L l e 105	A s
Атд															
(2)1	NFORM/	ATION FO	R SEQ II	O NO:30:											
	(1	) SEQUE ( 4 ( 1 ( 1	NCE CHA A ) LENG B ) TYPE D ) TOPC	ARACTER 7TH: 119 2: amino a DLOGY: 1	RISTICS: amino aci cid near	ids									
	(11	) MOLEC	ULE TY	PE: peptid	c										
	( x i	) SEQUE	NCE DES	CRIPTIO	N: SEQ I	D NO:30:									
			G   n 1	Val	Gla	Leu	GIn 5	Glu	Ser	Gĺy	À l-a	G   u 1 0	Ļeu	Ala	A r
Рій	G i y 1 5	Alà	Seτ	V a I	L y s	Met 20	Ser	Cys	L ý s	Ala	Ser 25	Giy	Туг	Thr	РЬ
Thr 30	Arg	Тут	Thr	M.e. 1	H i s 3 5	Ттр	Va i	L y s	Glu	Arg 40	Pro	G 1 y	Ġĺ'n	G 1 y	Le 4
Gĺu	Тгр	t l e	Gly	Tyr 50	L I e	Asu	Pro	Ѕет	Arg 55	Gly	Туг	Thr	Asn	T y r 6 0	A s
Gin	L y s	Phe	L y s 6 5	A s p	l, y s	Ala	Tbr	L e u 7 0	Thr	Thr	Asp	L y ŝ	S c t 7 5	Ser	S e
Τbε	Al a	T y : 8 0	Me t	Gln	Leu	Ser	8 e r 8 5	Leu	Ύbr	Ser	Ğlu	A s p 9 0	Ser	Ala	V a
Tyr	Tyr 95	C y s	Ala	Arg	Туг	Tyr 100	À s p	Asp	H i s	Туг	Cys 105	Leu	Азр	Tyr	Тт

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1.2.) INFORMATION FOR SEQ ID NO:31:

( 1 ) SEQUENCE CHARACTERISTICS: ( A ) LENGTH: 135 amino acids ( B ) TYPE: amino acid ( D ) TOPOLOGY: linear

( i i ) MOLECULE TYPE: peptide

( x i ) SEQUENCE DESCRIPTION: SEQ ID NO:31:

M e 1 1	G∫y	Trp	S с т	Ттр 5	11 e	Рһс	Len	Phe	Leu 10	Len	Ser	Gly	Τħr	A   a 1 5	Gly
V a 1	Hís	Ser	G 1 n 2 0	V a f	GÌĦ	Len	Val	G 1 n 2 5	Ser	Gly	A.I.a	GI u	V a 1 3 0	Ly s	Lys
Pro	Gly	S e 1 3 5	Ser	V a I	Lys	Val	Ser 40	Cys	Lys	Al a	Ser	G I y 4 5	Tyr	Thr	P h e
Тһт	Ser 50	Тут	Arg	Mr l	His	Тгр 55	V a i	Arg	Glл	Ala	Рта 6 Q	GIY	Gla	Gly	Leu
G 1 u 6 5	ттр	110	Giy	Tyr	11e 70	Asn	Pro	Ser	Thr	G 1 y 7 5	Tyr	Thr	Glu	Туг	As n 80
Glo	Ly s	P h c	Lys	A s p 8 5	Lys	Ala	Thr	ΙIc	Thr 90	Ala	As p	Glu	Ser	Thr 9.5	A s n
Thī	Ala	Tÿr	Me 1 100	GIU	L c u	8 с т	Ser	Гей 105	Arg	Ser	GIu	A ± p	Th r 110	Ala	<b>V</b> a 1
Тут	Тут	C y s l 1 5	Ala	Arg	Ģíy	Glý	G   y   2 0	V a l	Phe	Авр	Tyr	Ттр 125	Gly	Glo	Ğİğ
Th r	Leo 130	V a I	Thr	Val	Ser	Ser 135									

We claim:

mined antigen and comprising a composite heavy chain and a complementary light chain, said composite heavy chain having a variable domain including complementarity determining regions (CDRs), said variable domain comprising predominantly human acceptor antibody heavy chain frame- 45 work residues, the remaining heavy chain residues corresponding to the equivalent residues in a donor antibody having affinity for said predetermined antigen, wherein, according to the Kabat numbering system, in said composite heavy chain: said CDRs comprise donor residues at least at 50 residues 31 to 35, 50 to 58, and 95 to 102; and amino acid residues 6 23, 24, and 49 at least are donor residues, provided that said composite heavy chain does not comprise the amino acid sequence of SEQ ID NO:31.

2. The antibody molecule of claim 1, wherein amino acid 55 residues 26 to 30 and 59 to 65 in said composite heavy chain are additionally donor residues.

3. The antibody molecule of claim 1, wherein amino acid residues 71, 73, and 78 in said composite heavy chain are additionally donor residues.

4. The antibody molecule of claim 1, wherein at least one of amino acid residues 1, 3, and 76 in said composite heavy chain are additionally donor residues.

5. The antibody molecule of claim 1, wherein at least one 1. An antibody molecule having affinity for a predeter- 40 of amino acid residues 36, 94, 104, 106, and 107 in said composite heavy chain are additionally donor residues.

> 6. The antibody molecule of claim 5, wherein at least one of amino acid residues 2, 4, 38, 46, 67, and 69 in said composite heavy chain are additionally donor residues.

7. The antibody molecule of claim 1, wherein said complementary light chain is a composite light chain having a variable domain including complementarity determining regions (CDRs), said variable domain comprising predominantly human acceptor antibody light chain framework residues, the remaining light chain residues corresponding to the equivalent residues in a donor antibody having affinity for said predetermined antigen, wherein, according to the Kabat numbering system, in said composite light chain; said CDRs comprise donor residues at least at residues 24 to 34, 50 to 56, and 89 to 97; and amino acid residues 46, 48, 58, and 71 at least are donor residues.

8. The antibody molecule of claim 7, wherein amino acid residues 1, 3, 60 (if this residue can form a salt bridge with residue 54), and 70 (if this residue can form a salt bridge with residue 24) in said composite light chain are additionally donor residues.

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error appears in the above-ident as shown below: error Application Priority Da PCT/GB90/02017, Internatio 1989, [GB], United Kingdom erences Cited, U.S. PATENT 982, Goldberg., please insert - 9, 7/1993, Winter., 5,585,089, 12/1996, Queen e FENT DOCUMENTS section 0239400 A2" and insert 0 2 9, 7/1989, European Pat. Off. AI 0323806" and insert 0 3 ICATIONS section at Chothi s of Immunoglobulin Hyperv wild read:	tified patent and that said Letters Patent is <b>Ita</b> section thereof: mal Filing Date: December 21, 1990 a, 8928874". `DOCUMENTS section after - 5,225,539, 7/1993, Winter t al a at 0239400 A2, 3/1987, European Pat. 39 400 A2 23 806 A1 a, Cyrus et al (Dec. 1989) <i>Nature</i> , ariable Regions", vol. 342, pp.
error appears in the above-ident as shown below: Eign Application Priority Da PCT/GB90/02017, Internatio 1989, [GB], United Kingdom erences Cited, U.S. PATENT 982, Goldberg., please insert - 9, 7/1993, Winter., 5,585,089, 12/1996, Queen e FENT DOCUMENTS section 0239400 A2" and insert 0 2 9, 7/1989, European Pat. Off. Al 0323806" and insert 0 3 ICATIONS section at Chothi s of Immunoglobulin Hyperv wild read:	tified patent and that said Letters Patent is <b>Ita</b> section thereof: mal Filing Date: December 21, 1990 a, 8928874". `DOCUMENTS section after - 5,225,539, 7/1993, Winter t al a at 0239400 A2, 3/1987, European Pat. 39 400 A2 23 806 A1 a, Cyrus et al (Dec. 1989) <i>Nature</i> , ariable Regions", vol. 342, pp.
eign Application Priority Da PCT/GB90/02017, Internatio 1989, [GB], United Kingdom erences Cited, U.S. PATENT 982, Goldberg., please insert - 9, 7/1993, Winter., 5,585,089, 12/1996, Queen e FENT DOCUMENTS section 0239400 A2" and insert 0 2 9, 7/1989, European Pat. Off. AI 0323806" and insert 0 3 ICATIONS section at Chothi s of Immunoglobulin Hyperv wild read:	<b>ata</b> section thereof: onal Filing Date: December 21, 1990 , 8928874". `DOCUMENTS section after - 5,225,539, 7/1993, Winter t al at 0239400 A2, 3/1987, European Pat. 39 400 A2 23 806 A1 a, Cyrus et al (Dec. 1989) <i>Nature</i> , ariable Regions", vol. 342, pp.
<b>Eign Application Priority Da</b> PCT/GB90/02017, Internatio 1989, [GB], United Kingdom <b>Erences Cited</b> , U.S. PATENT 982, Goldberg., please insert - 9, 7/1993, Winter., 5,585,089, 12/1996, Queen e FENT DOCUMENTS section 0239400 A2" and insert 0 2 9, 7/1989, European Pat. Off. AI 0323806" and insert 0 3 ICATIONS section at Chothi s of Immunoglobulin Hyperv wild read:	ata section thereof:         mal Filing Date: December 21, 1990         b, 8928874".         DOCUMENTS section after         - 5,225,539, 7/1993, Winter         t al         n at 0239400 A2, 3/1987, European Pat.         39 400 A2         .         23 806 A1         a, Cyrus et al (Dec. 1989) Nature, ariable Regions", vol. 342, pp.
erences Cited, U.S. PATENT 982, Goldberg., please insert - 9, 7/1993, Winter., 5,585,089, 12/1996, Queen e FENT DOCUMENTS section 0239400 A2" and insert 0 2 9, 7/1989, European Pat. Off. AI 0323806" and insert 0 3 ICATIONS section at Chothi s of Immunoglobulin Hyperv wild read:	<sup>•</sup> DOCUMENTS section after 5,225,539, 7/1993, Winter t al n at 0239400 A2, 3/1987, European Pat.         a, Cyrus et al (Dec. 1989) <i>Nature</i> , ariable Regions", vol. 342, pp.
5,585,089, 12/1996, Queen e FENT DOCUMENTS section 0239400 A2" and insert 0 2 6, 7/1989, European Pat. Off. Al 0323806" and insert 0 3 ICATIONS section at Chothi s of Immunoglobulin Hyperv puld read:	at al., at at 0239400 A2, 3/1987, European Pat. 39 400 A2 23 806 A1 a, Cyrus et al (Dec. 1989) <i>Nature</i> , ariable Regions", vol. 342, pp.
FENT DOCUMENTS section 0239400 A2" and insert 0 2 0, 7/1989, European Pat. Off. AI 0323806" and insert 0 3 ICATIONS section at Chothi s of Immunoglobulin Hyperv ould read:	n at 0239400 A2, 3/1987, European Pat. 39 400 A2 23 806 A1 a, Cyrus et al (Dec. 1989) <i>Nature</i> , ariable Regions", vol. 342, pp.
0239400 A2" and insert 0 2 6, 7/1989, European Pat. Off. Al 0323806" and insert 0 3 ICATIONS section at Chothi s of Immunoglobulin Hyperv auld read:	239 400 A2 23 806 A1 a, Cyrus et al (Dec. 1989) <i>Nature</i> , ariable Regions", vol. 342, pp.
Al 0323806" and insert 0 3 ICATIONS section at Chothi s of Immunoglobulin Hyperv	23 806 A1 a, Cyrus et al (Dec. 1989) <i>Nature</i> , ariable Regions", vol. 342, pp.
ICATIONS section at Chothi s of Immunoglobulin Hyperv	a, Cyrus et al (Dec. 1989) <i>Nature</i> , ariable Regions", vol. 342, pp.
alto read.	
., "Conformations of Immuno Dec., 1989	oglobulin Hypervariable Regions", Nature,
al (Dec. 1989) Proceedings tibody That Binds to Interleu	of the National Academy of Sciences, "A kin 2 Receptor" vol. 86, pp. 10029-10033.,
"A Humanized Antibody tha the National Academy of Sci	t Binds to the Interleukin 2 Receptor," ences, USA, 86:10029-10033, Dec., 1989
et al (Mar. 1988) Nature, "Re 23-327., it should read:	shaping Human Antibodies for Therapy,"
t al., "Reshaping Human Ant	ibodies for Therapy," Nature, 332:323-327.
	t al (Dec. 1989) Proceedings of tibody That Binds to Interleu "A Humanized Antibody tha <i>the National Academy of Sci</i> et al (Mar. 1988) Nature, "Re 23-327., it should read: at al., "Reshaping Human Ant

PATENT NO. : 5,859,205 DATED : January 12, 1999 INVENTOR(S) : Adair et al. Page 2 of 30

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Item [56], **References Cited**, OTHER PUBLICATIONS section at Roberts et al. "Generation of Antibody with Enhanced Affinity and Specificity for its Antigen by Protein Engineering" Nature, 328(20):731-734, Aug., 1987., it should read:

-- Roberts et al., "Generation of Antibody with Enhanced Affinity and Specificity for its Antigen by Protein Engineering," *Nature*, 328(20):731-734, Aug., 1987. --

At Verhoeyen et al. "Reshaping Human Antibodies: Grafting an Antilysozyme Activity", Science, 239:1534-36 Mar. 25, 1988., it should read:

-- Verhoeyen et al., "Reshaping Human Antibodies: Grafting an Antilysozyme Activity", *Science*, 239:1534-36, Mar., 1988. --

At Jones et al. "Replacing the complementarity-Determining Regions in a Human Antibody with those from a Mouse", Nature, 321:522-525, 1986., it should read:

-- Jones et al., "Replacing the complementarity-Determining Regions in a Human Antibody with those from a Mouse," *Nature*, 321:522-525, May, 1986. --

At Ward et al. "Binding activities of a Repertoire of Single Immunoglobulin Variable Domains Secreted from *Escherichia coli*", *Nature*, 341:544-546, 1989., it should read:

-- Ward et al., "Binding activities of a Repertoire of Single Immunoglobulin Variable Domains Secreted from *Escherichia coli*," *Nature*, 341:544-546 Oct., 1989. --

## Drawings,

Please replace Sheet 8 of 18, FIG. 5c with new Sheet 8 of 18 FIG. 5c attached. Please replace Sheet 9 of 18, FIG. 6 with new Sheet 9 of 18 FIG. 6 attached.

<u>Column 2.</u> Line 65, "complete antigens" should read -- complex antigens --.

Column 3, Line 59, "not: coincide" should read -- not coincide --.

Column 5. Between lines 37 and 38, insert -- 63, --. Line 45, "regions; of " should read -- regions of --.

Column 7. Line 32, "FV fragments; and" should read -- FV fragments and --.

PATENT NO. DATED INVENTOR(S)	: 5,859,205 Page 3 of 30 : January 12, 1999 : Adair et al.
It is cert hereby o	ified that error appears in the above-identified patent and that said Letters Patent is corrected as shown below:
Colum	n 8
Line 23	3, "The the present" should read The present
Colum	n 10
Line 20 Line 4(	), please make "2.1.2 Light Chain70+24." a new paragraph. ), "with 33 and 46" should read with 38 and 46
Colum	n 11
Line 29 Line 30 Line 43 and 25-	<ul> <li>), "FIGS. 2a and 2b shows" should read FIGS. 2a and 2b show</li> <li>), "heavy chain;" should read heavy chain (SEQ ID NO:6 and 7);</li> <li>3, "(SEQ ID NO:29, 9 and 25)" should read (SEQ ID NO:29, 8, 9</li> <li>-28)</li> </ul>
Line 45	5, "antibodies' " should read antibodies;
Colum Line 39	<u>n 12,</u> ), "chimeric: or CDR-grafted" should read chimeric or CDR-grafted
Columi Line 4,	<u>n 13,</u> please make "In this systemcytofluorography." a new paragraph.
Colum	- 14
Line 51 Line 53	1, "[FIGS. 1( <i>a</i> ) and" should read [FIGS. 1( <i>a</i> )(SEQ ID NO:4) and 3, "[FIGS. 1( <i>b</i> ) and" should read [FIGS. 1( <i>b</i> )(SEQ ID NO:5) and
Colum	n 18
Line 28 FIG. 3	3, "Residues underlined in FIG. 3" should read Residues underlined in (SEO ID NO:29, 8 and 9)
Line 51	I, "ID NO:7" should read ID NO:30
Column Line 56	<u>n 21.</u> 5, "15.1. Light Chian" should read 15.1. Light Chain
Column Line 15 Line 29 read Line 33 (gL121	<u>n 22,</u> 5, "15.1.2. Framework Resides" should read 15.1.2. Framework Residues 9, "gL221B (SEQ ID NO:28)(gL221+D1Q, Q3V) and gL221C" should gL221B (gL221+D1Q, Q3V) and gL221 C (SEQ ID N0:28) 3, "When the gL121 A (gL124+D1Q, Q3V" should read When the gL121A +D1Q, Q3V

PATENT NO. DATED	: 5,859,205 Page 4 of 30 : January 12, 1999
INVENTOR(S)	: Adair et al.
It is cer hereby	tified that error appears in the above-identified patent and that said Letters Patent is corrected as shown below:
Colum	<u>n 24.</u>
Line 1	6, "individual contribution of othe other 8 mouse residues of the"
should	read individual contribution of other 8 mouse residues of the
Table	2, on the same line as the second gH341*, "R N N A G F" should
read	KNN <u>A</u> GF
read	E S S I G V
Table '	2 on the same line as the sixth oH341* "O S A I G V" should
read	OSAIGV
Table 3	2, on the same line as the eighth gH341*, "Q S A I G V" should
read	Q S <u>AIG</u> V
Colum	n 25,
Line 4	7, "basic grafted product has neglibible binding ability aLs" should read basic
grafted	product has neglibible binding ability as
Colum	<u>n 28.</u>
Line 5. read	5, "body. In KOL heavy chain (SEQ ID NO:10), position 831 is" should body. In KOL heavy chain (SEQ ID NO:10), position 81 is
Colum	n 29.
Line 1	7, "CDR-graftin of a Murine Anti-ICAM-1 Monoclonal" should read
CDF	R-grafting of a Murine Anti-ICAM-1 Monoclonal
Line 4	9, "50-56 (CDR2) and 94-100B (CDR3). In addition murine" should read
50-5	6 (CDR2) and 94-100B (CDR3). In addition murine
Line 5	7, "CDR-Grafting of Murine Anti-TNFa Antibodies" should read
CDI	C-Grafting of Murine Anti-TNFa Antibodies
A nu	mber of murine anti-TNF $\alpha$ monoclonal antibodies
Colum	n 30
Line 3	n 50. 8. "wre used at positions 24-34 (CDR1), 50-56 (CDR2) and" should read were
used a	positions 24-34 (CDR1), 50-56 (CDR2) and
Line 6	7, "receptor on L929 ells for TNF-a compared to hTNF3" should read receptor
on L92	9 ells for TNF-α compared to hTNF3

PATENT NO.	: 5,859,205
DATED	: January 12, 1999
INVENTOR(S)	: Adair et al.

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It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

## Column 31,

Line 2, "(+23, 24, 48, 49 71 and 73 as mouse) genes have been built" should read -- (+23, 24, 48, 49, 71 and 73 as mouse) genes have been built --. Line 4, "binds well to TNF-a, but competes very poorly in the L929" should read -- binds well to TNF- $\alpha$ , but competes very poorly in the L929 --. Line 11, "recognise human TNF-a. The heavy chain of this antibody" should read -- recognise human TNF- $\alpha$ . The heavy chain of this antibody --. Line 23, please make "Mouse residues at other positions...assay." a new paragraph.

## Column 32,

Line 22, in the REFERENCES section "13. Kramer, W., Drutsa, V., Jansen, H.-W., Kramer, B., Plugfelder, M., Fritz, H.-J., 1934, Nucl. Acids. Res. 12, 9441" should read – 13. Kramer, W., Drutsa, V., Jansen, H.-W., Kramer, B., Plugfelder, M., Fritz, H.-J., 1984, Nucl. Acids. Res. 12, 9441 --

## IN THE SEQUENCE LISTING:

Please replace the Sequence Listing with the attached Sequence Listing.

## Column 63,

Line 52, "residues 6 23, 24, and 49 at least are donor residues." should read -- residues 6, 23, 24, and 49 at least are donor residues. --.

Signed and Sealed this

Twelfth Day of November, 2002

JAMES E. ROGAN

JAMES E. ROGAN Director of the United States Patent and Trademark Office

Attest:

Attesting Officer

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### SEQUENCE LISTING

(1) GENERAL INFORMATION:

- (i) APPLICANT: Adair, John R. Athwal, Diljeet S. Emtage, John S.
- (ii) TITLE OF INVENTION: Humanised Antibodies
  - (iii) NUMBER OF SEQUENCES: 30

CORRESPONDENCE ADDRESS: (iv) (A) ADDRESSEE: Woodcock Washburn Kurtz Mackiewicz & Norris

- STREET: One Liberty Place 46th Floor (B)
- Philadelphia (C) CITY:
- STATE: PA (D)
- COUNTRY: USA (E)
- (F) ZIP: 19103

COMPUTER READABLE FORM:  $(\mathbf{v})$ 

- MEDIUM TYPE: Floppy disk (A)
- (B)
- COMPUTER: IBM PC compatible OPERATING SYSTEM: PC-DOS/MS-DOS (C)
- SOFTWARE: PatentIn Release #1.0, Version #1.25 (D)
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER: US 08/303,569
  - FILING DATE: 07-SEP-1994 (B)
  - (C) CLASSIFICATION:
- (viii) ATTORNEY/AGENT INFORMATION:
  - (A) NAME: Trujillo, Doreen Yatko
  - (B) REGISTRATION NUMBER: 35,719
  - REFERENCE/DOCKET NUMBER: CARP-0032 (C)
- (ix) TELECOMMUNICATION INFORMATION:
  - (A) TELEPHONE: (215) 568-3100
  - TELEFAX: (215) 568-3439 (B)
- (2) INFORMATION FOR SEQ ID NO:1:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 20 base pairs
    - (B) TYPE: nucleic acid
    - (C) STRANDEDNESS: single (D) TOPOLOGY: linear

Page 7 of 30 -68-(ii) MOLECULE TYPE: CDNA (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1: TCCAGATGTT AACTGCTCAC 20 (2) INFORMATION FOR SEQ ID NO:2: (1) SEQUENCE CHARACTERISTICS: (A) LENGTH: 23 base pairs (B) TYPE: nucleic acid(C) STRANDEDNESS: single TYPE: nucleic acid (D) TOPOLOGY: linear (ii) MOLECULE TYPE: cDNA (xi) SEQUENCE DESCRIPTION: SEQ ID NO:2: 23 CAGGGGGCCAG TGGATGGATA GAC (2) INFORMATION FOR SEQ ID NO:3: (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 9 amino acids (B) TYPE: amino acid STRANDEDNESS: single (C) STRANDEDNESS: sin (D) TOPOLOGY: linear (C) (ii) MOLECULE TYPE: peptide SEQUENCE DESCRIPTION: SEQ ID NO:3: (xi) Leu Glu Ile Asn Arg Thr Val Ala Ala 5 1 (2) INFORMATION FOR SEQ ID NO:4: SEQUENCE CHARACTERISTICS: (i) (A) LENGTH: 943 base pairs TYPE: nucleic acid (B) (C) STRANDEDNESS: single (D) TOPOLOGY: linear (ii) MOLECULE TYPE: CDNA

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50

98

-69-

(ix) FEATURE: (A) NAME/KEY: CDS (B) LOCATION: 18..722

1.4

120

(ix) FEATURE: (A) NAME/KEY: mat\_peptide(B) LOCATION: 84..722

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:4: GAATTCCCAA AGACAAA ATG GAT TTT CAA GTG CAG ATT TTC AGC TTC CTG Met Asp Phe Gln Val Gln Ile Phe Ser Phe Leu -22 -20 -15 CTA ATC AGT GCC TCA GTC ATA ATA TCC AGA GGA CAA ATT GTT CTC ACC Leu Ile Ser Ala Ser Val Ile Ile Ser Arg Gly Gln Ile Val Leu Thr -10 -5 1 5 CAG TCT CCA GCA ATC ATG TCT GCA TCT CCA GGG GAG AAG GTC ACC ATG 146 Gln Ser Pro Ala Ile Met Ser Ala Ser Pro Gly Glu Lys Val Thr Met 10 15 20 ACC TEC AGT ECC AGC TCA AGT GTA AGT TAC ATE AAC TEG TAC CAE CAE 194 Thr Cys Ser Ala Ser Ser Ser Val Ser Tyr Met Asn Trp Tyr Gln Gln 30 25 AAG TCA GGC ACC TCC CCC AAA AGA TGG ATT TAT GAC ACA TCC AAA CTG 242 Lys Ser Gly Thr Ser Pro Lys Arg Trp Ile Tyr Asp Thr Ser Lys Leu 40 45 50 GCT TCT GGA GTC CCT GCT CAC TTC AGG GGC AGT GGG TCT GGG ACC TCT 290 Ala Ser Gly Val Pro Ala His Phe Arg Gly Ser Gly Ser Gly Thr Ser 55 60 65 TAC TCT CTC ACA ATC AGC GGC ATG GAG GCT GAA GAT GCT GCC ACT TAT 338 Tyr Ser Leu Thr Ile Ser Gly Met Glu Ala Glu Asp Ala Ala Thr Tyr 70 75 80 TAC TGC CAG CAG TGG AGT AGT AAC CCA TTC ACG TTC GGC TCG GGG ACA 386 Tyr Cys Gln Gln Trp Ser Ser Asn Pro Phe Thr Phe Gly Ser Gly Thr 90 95

AAG TTG GAA ATA AAC CGG GCT GAT ACT GCA CCA ACT GTA TCC ATC TTC 434 Lys Leu Glu Ile Asn Arg Ala Asp Thr Ala Pro Thr Val Ser 11e Phe 105 110 115 CCA CCA TCC AGT GAG CAG TTA ACA TCT GGA GGT GCC TCA GTC GTG TGC 482 Pro Pro Ser Ser Glu Gln Leu Thr Ser Gly Gly Ala Ser Val Val Cys

130

125

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									70							
								-0.12	-70-				-	144	-	5.63
TTC Phe	TTG Leu 135	AAC Asn	AAC Asn	TTC Phe	TAC Tyr	CCC Pro 140	AAA Lys	GAC Asp	ATC 11e	AAT Asn	GTC Val 145	AAG Lys	TGG Trp	AAG Lys	ATT Ile	53(
GAT Asp 150	GGC Gly	AGT Ser	GAA Glu	CGA Arg	CAA Gln 155	AAT Asn	GGC Gly	GTC Val	CTG Leu	AAC Asn 160	AGT Ser	TGG Trp	ACT Thr	GAT Asp	CAG Gln 165	578
GAC Asp	AGC Ser	AAA Lys	GAC Asp	AGC Ser 170	ACC Thr	TAC Tyr	AGC Ser	ATG Met	AGC Ser 175	AGC Ser	ACC Thr	CTC Leu	ACG Thr	TTG Leu 180	ACC Thr	626
AAG Lys	GAC Asp	GAG Glu	TAT Tyr 185	GAA Glu	CGA Arg	CAT His	AAC Asn	AGC Ser 190	TAT Tyr	ACC Thr	TGT Cys	GAG Glu	GCC Ala 195	ACT Thr	CAC His	674
AAG Lys	ACA Thr	TCA Ser 200	ACT Thr	TCA Ser	CCC Pro	ATT Ile	GTC Val 205	AAG Lys	AGC Ser	TTC Phe	AAC Asn	AGG Arg 210	AAT Asn )	GAG Glu	TGT Cys	722
TAGA	AGACA	AAA (	GTCC	TGAC	A CO	GCCAC	CAC	AGG	TCCC	CAGC	TCCZ	TCC?	TAT O	CTTC	CTTCT	782
AAGO	TCT	rgg #	GGCT	TCCC	C AC	CAAGO	GCTT	ACC	CACTO	TTG	CGGT	GCTO	TA A	ACCI	CCTCC	842
CACO	TCCI	rrc 1	CCTC	CTCC	TT CO	CTTI	CCTI	GGG	CTTTT	TATC	ATGO	TAAT	TAT 1	TGC/	GAAAA	902
TAT	TCAAT	TAA /	GTG	GTCI	TT TO	SCCTI	GAAA	AAA	AAAA	AAA	A					943
(2)	INFO	RMA	NOIS	FOR	SEQ	ID N	<b>JO:</b> 5:	÷								
	3	(i)	SEQU (7 (E (I	JENCH 4) LH 3) T 5) T 0) T (	S CHA SNGTH (PE: DPOLC	ARACI I: 23 amir OGY:	TERIS 35 an 10 ac 1ine	TICS ino id ar	S: acid	ls						
	(i	i) N	OLEC	TULE	TYPE	I; pr	otei	n								
	(2	(i) s	SEQUE	ENCE	DESC	RIPI	TION	SEC	Q ID	NOIS	5:					
Met -22	Asp	Phe -20	Gln	Val	Gln	Ile	Phe -15	Ser	Phe	Leu	Leu	Ile -10	Ser	Ala	Ser	
Val	Ile -5	Ile	Ser	Arg	Gly	Gln 1	Ile	Val	Leu	Thr 5	Gln	Ser	Pro	Ala	Ile 10	
Met	Ser	Ala	Ser	Pro 15	Gly	Glu	Lys	Val	Thr 20	Met	Thr	Суз	Ser	Ala 25	Ser	

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-71-

Ser Ser Val Ser Tyr Met Asn Trp Tyr Gln Gln Lys Ser Gly Thr Ser 35 40 30 Pro Lys Arg Trp Ile Tyr Asp Thr Ser Lys Leu Ala Ser Gly Val Pro 45 50 55 Ala His Phe Arg Gly Ser Gly Ser Gly Thr Ser Tyr Ser Leu Thr Ile 65 70 60 Ser Gly Met Glu Ala Glu Asp Ala Ala Thr Tyr Tyr Cys Gln Gln Trp 90 80 85 75 Ser Ser Asn Pro Phe Thr Phe Gly Ser Gly Thr Lys Leu Glu Ile Asn 100 105 95 Arg Ala Asp Thr Ala Pro Thr Val Ser Ile Phe Pro Pro Ser Ser Glu 120 110 115 Gln Leu Thr Ser Gly Gly Ala Ser Val Val Cys Phe Leu Asn Asn Phe 130 135 125 Tyr Pro Lys Asp Ile Asn Val Lys Trp Lys Ile Asp Gly Ser Glu Arg 145 150 140 Gln Asn Gly Val Leu Asn Ser Trp Thr Asp Gln Asp Ser Lys Asp Ser 165 170 160 155 Thr Tyr Ser Met Ser Ser Thr Leu Thr Leu Thr Lys Asp Glu Tyr Glu 185 175 180 Arg His Asn Ser Tyr Thr Cys Glu Ala Thr His Lys Thr Ser Thr Ser 195 200 190 Pro Ile Val Lys Ser Phe Asn Arg Asn Glu Cys 210 205 (2) INFORMATION FOR SEQ ID NO:6: SEQUENCE CHARACTERISTICS: (A) LENGTH: 1570 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear (ii)MOLECULE TYPE: CDNA (ix) FEATURE: (A) NAME/KEY: CDS(B) LOCATION: 41..1444
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(ix) FEATURE:
 (A) NAME/KEY: mat\_peptide
 (B) LOCATION: 98..1444

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:6: 55 GAATTCCCCT CTCCACAGAC ACTGAAAACT CTGACTCAAC ATG GAA AGG CAC TGG Met Glu Arg His Trp -19 ATC TTT CTA CTC CTG TTG TCA GTA ACT GCA GGT GTC CAC TCC CAG GTC 103 Ile Phe Leu Leu Leu Ser Val Thr Ala Gly Val His Ser Gln Val -10 -5 CAG CTG CAG CAG TCT GGG GCT GAA CTG GCA AGA CCT GGG GCC TCA GTG 151 Gln Leu Gln Gln Ser Gly Ala Glu Leu Ala Arg Pro Gly Ala Ser Val 10 AAG ATG TCC TGC AAG GCT TCT GGC TAC ACC TTT ACT AGG TAC ACG ATG 199 Lys Met Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Arg Tyr Thr Met 25 20 30 CAC TGG GTA AAA CAG AGG CCT GGA CAG GGT CTG GAA TGG ATT GGA TAC 247 His Trp Val Lys Gln Arg Pro Gly Gln Gly Leu Glu Trp Ile Gly Tyr 45 35 40 ATT AAT CCT AGC CGT GGT TAT ACT AAT TAC ATT CAG AAG TTC AAG GAC 295 Ile Asn Pro Ser Arg Gly Tyr Thr Asn Tyr Asn Gln Lys Phe Lys Asp 55 60 65 AAG GCC ACA TTG ACT ACA GAC AAA TCC TCC AGC ACA GCC TAC ATG CAA Lys Ala Thr Leu Thr Thr Asp Lys Ser Ser Ser Thr Ala Tyr Met Gln 343 75 70 CTG AGC AGC CTG ACA TCT GAG GAC TCT GCA GTC TAT TAC TGT GCA AGA 391 Leu Ser Ser Leu Thr Ser Glu Asp Ser Ala Val Tyr Tyr Cys Ala Arg 85 90 TAT TAT GAT GAT CAT TAC TGC CTT GAC TAC TGG GGC CAA GGC ACC ACT Tyr Tyr Asp Asp His Tyr Cys Leu Asp Tyr Trp Gly Gln Gly Thr Thr 439 110 100 105 CTC ACA GTC TCC TCA GCC AAA ACA ACA GCC CCA TCG GTC TAT CCA CTG 487 Leu Thr Val Ser Ser Ala Lys Thr Thr Ala Pro Ser Val Tyr Pro Leu 125 115 120 130

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GCC Ala	CCT Fro	GTG Val	TGT Cys	GGA Gly 135	GAT Asp	ACA Thr	ACT Thr	GGC Gly	TCC Ser 140	TCG Ser	GTG Val	ACT Thr	CTA Leu	GGA Gly 145	TGC Cys	535	
CTG Leu	GTC Val	AAG Lys	GGT Gly 150	TAT Tyr	TTC Phe	CCT Pro	GAG Glu	CCA Pro 155	GTG Val	ACC Thr	TTG Leu	ACC Thr	TGG Trp 160	AAC Asn	TCT Ser	583	
GGA Gly	TCC Ser	CTG Leu 165	TCC Ser	AGT Ser	GGT Gly	GTG Val	CAC His 170	ACC Thr	TTC Phe	CCA Pro	GCT Ala	GTC Val 175	CTG Leu	CAG Gln	TCT Ser	631	
GAC Asp	CTC Leu 180	TAC Tyr	ACC Thr	CTC Leu	AGC Ser	AGC Ser 185	TCA Ser	GTG Val	ACT Thr	GTA Val	ACC Thr 190	TCG Ser	AGC Ser	ACC Thr	TGG Trp	679	
CCC Pro 195	AGC Ser	CAG Gln	TCC Ser	ATC Ile	ACC Thr 200	TGC Cys	AAT Asn	GTG Val	GCC Ala	CAC His 205	CCG Pro	GCA Ala	AGC Ser	AGC Ser	ACC Thr 210	727	
AAG Lys	GTG Val	GAC Asp	AAG Lys	AAA Lys 215	ATT Ile	GAG Glu	CCC Pro	AGA Arg	GGG Gly 220	CCC Pro	ACA Thr	ATC Ile	AAG Lys	CCC Pro 225	TGT Cys	775	
CCT Pro	CCA Pro	TGC Cys	AAA Lys 230	TGC Cys	CCA Pro	GCA Ala	CCT Pro	AAC Asn 235	CTC Leu	TTG Leu	GGT Gly	GGA Gly	CCA Pro 240	TCC Ser	GTC Val	823	
TTC Phe	ATC Ile	TTC Phe 245	CCT Pro	CCA Pro	AAG Lys	ATC Ile	AAG Lys 250	GAT Asp	GTA Val	CTC Leu	ATG Met	ATC Ile 255	TCC Ser	CTG Leu	AGC Ser	871	
CCC Pro	ATA Ile 260	GTC Val	ACA Thr	TGT Cys	GTG Val	GTG Val 265	GTG Val	GAT Asp	GTG Val	AGC Ser	GAG Glu 270	GAT Asp	GAC Asp	CCA Pro	GAT Asp	919	
GTC Val 275	CAG Gln	ATC Ile	AGC Ser	TGG Trp	TTT Phe 280	GTG Val	AAC Asn	AAC Asn	GTG Val	GAA Glu 285	GTA Val	CAC His	ACA Thr	GCT Ala	CAG Gln 290	967	
ACA Thr	CAA Gln	ACC Thr	CAT His	AGA Arg 295	GAG Glu	GAT Asp	TAC Tyr	AAC Asn	AGT Ser 300	ACT Thr	CTC Leu	CGG Arg	GTG Val	GTC Val 305	AGT Ser	1015	
GCC Ala	CTC Leu	CCC Pro	ATC Ile 310	CAG Gln	CAC His	CAG Gln	GAC Asp	TGG Trp 315	ATG Met	AGT Ser	GGC Gly	AAG Lys	GAG Glu 320	TTC Phe	AAA Lys	1063	
TGC Cys	AAG Lys	GTC Val 325	AAC Asn	AAC Asn	AAA Lys	GAC Asp	CTC Leu 330	CCA Pro	GCG Ala	CCC Pro	ATC Ile	GAG Glu 335	AGA Arg	ACC Thr	ATC Ile	1111	

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									-74-							
TCA Ser	AAA Lys 340	CCC Pro	AAA Lys	GGG Gly	TCA Ser	GTA Val 345	AGA Arg	GCT Ala	CCA Pro	CAG Gln	GTA Val 350	ТАТ Туг	GTC Val	TTG Leu	CCT Pro	115
CCA Pro 355	CCA Pro	GAA Glu	GAA Glu	GAG Glu	ATG Met 360	ACT Thr	AAG Lys	AAA Lys	CAG Gln	GTC Val 365	ACT Thr	CTG Leu	ACC Thr	TGC Cys	ATG Met 370	120
GTC Val	ACA Thr	GAC Asp	TTC Phe	ATG Met 375	CCT Pro	GAA Glu	GAC Asp	ATT Ile	TAC Tyr 380	GTG Val	GAG Glu	TGG Trp	ACC Thr	AAC Asn 385	AAC Asn	125
GGG Gly	AAA Lys	ACA Thr	GAG Glu 390	CTA Leu	AAC Asn	TAC Tyr	AAG Lys	AAC Asn 395	ACT Thr	GAA Glu	CCA Pro	GTC Val	CTG Leu 400	GAC Asp	TCT Ser	130
GAT Авр	GGT Gly	TCT Ser 405	TAC Tyr	TTC Phe	ATG Met	TAC Tyr	AGC Ser 410	AAG Lys	CTG Leu	AGA Arg	GTG Val	GAA Glu 415	AAG Lys	AAG Lys	AAC Asn	1.35
TGG Trp	GTG Val 420	GAA Glu	AGA Arg	AAT Asn	AGC Ser	TAC Tyr 425	TCC Ser	TGT Cys	TCA Ser	GTG Val	GTC Val 430	CAC His	GAG Glu	GGT Gly	CTG Leu	139
CAC His 435	AAT Asn	CAC His	CAC His	ACG Thr	ACT Thr 440	AAG Lys	AGC Ser	TTC Phe	TCC Ser	CGG Arg 445	ACT Thr	CCG Pro	GGT Gly	AAA Lys		144
-	CTCZ	AGC A	ACCCI	CAA	A CI	ICTC2	GGT	CAL	AGAG	GACA	cccz	CACT	rca :	FCTCC	ATGCT	150
TGAC																
TGAC	TTGT	TAT 7	AATA	AAGo	CA CO	CAG	TAAT	CC1	rggg)	ACCA	TGT	AAAA	AAA I	AAAA	AAAAG	156
TGAC TCCC GAAT	CTTGI ITC	TAT 7	AATZ	AAGO	CA CO	CAG	CAATO	CC.	rggg)	ACCA	TGT	AAAJ	AAA 1	AAAA	AAAAG	156 157
TGAC TCCC GAAT (2)	CTTGI FTC INFC	TAT 7	AAAT?	FOR	CA CO	ID N	IAATO	3 CC1	rggg)	ACCA	TGT	AAAJ	AA 1	AAA7	AAAAG	156 157
TGAC TCCC GAAT (2)	CTTGT FTC INFC	FAT A DRMAJ (i)	TION SEQU (A) (B) (C)	FOR JENCI LEN TYI TOI	SEQ SEQ SECHP SCHP SCHP SE: 2 POLOG	ID N ARACT : 468 aminc 3Y: 1	IO: I TERIS ami aci	TICS	rGGG/	ACCA	TGT7		144A 1	ΑΑΑΑ	AAAAG	156
TGAC TCCC GAAT (2)	CTTGI FTC INFC	DRMAT (i) (ii)	TION SEQU (A) (B) (C) MOI	FOR JENCI LEN TYI TOI	SEQ SEQ SECHA NGTH: PE: 2 POLOG	ID N ARACT : 468 aminc 3Y: 1 (PE:	NO: 1 FERIS ami aci inea prot	7: TICS no a d r :ein	rGGG/ 3: acide	acca	TGT/		1AA 1	AAAA/	AAAAG	156
TCCC GAAT (2)	ETTGI FTC INFC	FAT 7 ORMAJ (i) (ii) (xi)	TION SEQU (A) (B) (C) MOI SEQ	FOR JENCI LEN TYI TOI LECUI	SEQ SEQ CHA SETH: PE: 2 POLOC DE TY CE DE	ID N ARACT 468 amino 3Y: 1 (PE: ESCR1	IO: T FERIS ami aci inea prot	F CC TICS NO S d r STICS C no s d r S N: S	rGGG/ 3: acida	ACCA	TGT7		1AA 1	AAAA?	AAAAG	156
Met -19	Glu	DRMAD (i) (ii) (xi) Arg	TION SEQU (A) (B) (C) MOI SEQ HIS	FOR JENCI LEN TYJ TOP LECUI QUENC Trp -15	SEQ SEQ SCHP NGTH: PE: 2 POLOG DE TY CE DE Ile	ID N ARACT: 468 amino 3Y: 1 (PE: ESCRI Phe	IO: T TERIS ami aci inea prot PTIC Leu	F CC TICS NO 8 I I I I I I I I I I I I I I I I I I I	GGG/ 3: acids SEQ 1 Leu -10	ACCA 3 ID NC Leu	TGT/ ):7: Ser	Val	Thr	Ala -5	Glγ	156
TCAC GAA (2) Met -19	TTG TTC INFC Glu	DRMAD (i) (ii) (xi) Arg	TION SEQU (A) (B) (C) MOI SEQ His	FOR JENCI LEN TYI TOI SECUI QUENC Trp -15	SEQ SEQ SCHA NGTH: PE: 2 POLOC DE TY CE DE Ile	ID N ARACT 468 amino 3Y: 1 (PE: ESCRI Phe	NO: 5 FERIS ami aci inea prot PTIC Leu	3 CC 7: STICS Ino 4 d ar cein ON: 5 Leu	GGGG 3: acids BEQ 1 Leu -10	Leu	TGT/	Val	Thr-	Ala -5	Glγ	156 157
TGAC TCCC GAA7 (2) Met -19	Glu	TAT 1 DRMAD (i) (ii) (xi) Arg	TION SEQU (A) (B) (C) MOI SEQ	FOR FOR LEN TYH TOF LECUI QUENC TTP -15	SEQ SEQ SCHA JGTH: PE: a POLOC DE TY CE DE Ile	ID N ARACT : 468 amino 3Y: 1 (PE: ESCR1 Phe	IO: 1 TERIS a ani a aci inea prot PTIC Leu	7: TTICS no a d ar cein NN: 5 Leu	GGGG 3: acids 5EQ J Leu -10	acca 3 Leu	TGT/	Val	Thr	Ala -5	Gly	156
TGAC TCCC GAA7 (2) Met -19	Glu	TAT 1 DRMAD (i) (ii) (xi) Arg	TION SEQU (A) (B) (C) MOI SEQ	FOR FOR LENCI TYH TOF LECUI QUENC TYP -15	SEQ SEQ SCHA JGTH: PE: COLOC LLE TY CE DE Ile	ID N ARACT : 468 Minc SY: 1 (PE: ESCR1 Phe	IO: 5 FERIS a ami a add inea prot PTIC Leu	7: TICS NO 4 Ar Ecin NN: 5 Leu	GGGG 3: acids SEQ J Leu -10	acca B Leu	TGT/	Val	Thr-	Ala -5	G1γ	156 157
TGAC TCCC GAA1 (2) Met -19	Glu	TAT <i>I</i> DRMAD (i) (ii) (xi) Arg	NION SEQU (A) (B) (C) MOI SEQ His	FOR FOR IENCI IEN TOF LECUI QUENC Trp -15	SEQ SEQ GTH: PE: a POLOC LE TY CE DE Ile	ID N ARACT: 468 MILL SY: 1 (PE: SSCR1 Phe	IQ: 5 FERIS a ami b aci inea prot FTIC Leu	7: STICS no 4 d ar cein DN: 5 Leu	rGGGJ 3: acids BEQ J Leu -10	ACCA S	TGT/	Val	Thr	Ala -5	Glγ	156 157
Met -19	Glu	TAT <i>I</i> DRMAD (i) (ii) (xi) Arg	NION SEQU (A) (B) (C) MOI SEQ HIS	FOR FOR IENCI IEN TOF LECUI QUENC Trp -15	SEQ SEQ GTH: PE: a POLOC LE TY CE DE Ile	ID N ARACT: 468 MILL SY: 1 (PE: SSCR1 Phe	IQ: 5 FERIS a ami b aci inea prot FTIC Leu	7: STICS no 4 d ar cein DN: 5 Leu	rGGGJ 3: acids BEQ 1 Leu -10	ACCA S Leu	TGT/	Val	Thr	Ala -5	Glγ	156 157

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Val His Ser Gln Val Gln Leu Gln Gln Ser Gly Ala Glu Leu Ala Arg Pro Gly Ala Ser Val Lys Met Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Arg Tyr Thr Met His Trp Val Lys Gln Arg Pro Gly Gln Gly Leu Glu Trp Ile Gly Tyr Ile Asn Pro Ser Arg Gly Tyr Thr Asn Tyr Asn Gln Lys Phe Lys Asp Lys Ala Thr Leu Thr Thr Asp Lys Ser Ser Ser Ser 70 75 Thr Ala Tyr Met Gln Leu Ser Ser Leu Thr Ser Glu Asp Ser Ala Val Tyr Tyr Cys Ala Arg Tyr Tyr Asp Asp His Tyr Cys Leu Asp Tyr Trp 95 100 105 Gly Gln Gly Thr Thr Leu Thr Val Ser Ser Ala Lys Thr Thr Ala Pro Ser Val Tyr Pro Leu Ala Pro Val Cys Gly Asp Thr Thr Gly Ser Ser Val Thr Leu Gly Cys Leu Val Lys Gly Thr Phe Pro Glu Pro Val Thr Leu Thr Trp Asn Ser Gly Ser Leu Ser Ser Gly Val His Thr Phe Pro Ala Val Leu Gln Ser Asp Leu Tyr Thr Leu Ser Ser Val Thr Val Thr Ser Ser Thr Trp Pro Ser Gln Ser Ile Thr Cys Asn Val Ala His Pro Ala Ser Ser Thr Lys Val Asp Lys Lys Ile Glu Pro Arg Gly Pro Thr Ile Lys Pro Cys Pro Pro Cys Lys Cys Pro Ala Pro Asn Leu Leu Gly Gly Pro Ser Val Phe Ile Phe Pro Pro Lys Ile Lys Asp Val Leu Met Ile Ser Leu Ser Pro Ile Val Thr Cys Val Val Val Asp Val Ser 

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Glu Asp Asp Pro Asp Val Gln Ile Ser Trp Phe Val Asn Asn Val Glu 270 275 280 285 Val His Thr Ala Gln Thr Gln Thr His Arg Glu Asp Tyr Asn Ser Thr 290 295 300 Leu Arg Val Val Ser Ala Leu Pro Ile Gln His Gln Asp Trp Met Ser 305 310 315 Gly Lys Glu Phe Lys Cys Lys Val Asn Asn Lys Asp Leu Pro Ala Pro 320 325 310 Ile Glu Arg Thr Ile Ser Lys Pro Lys Gly Ser Val Arg Ala Pro Gln 335 340 345 Val Tyr Val Leu Pro Pro Pro Glu Glu Glu Met Thr Lys Lys Gln Val 350 355 360 365 Thr Leu Thr Cys Met Val Thr Asp Phe Met Pro Glu Asp Ile Tyr Val 370 375 380 Glu Trp Thr Asn Asn Gly Lys Thr Glu Leu Asn Tyr Lys Asn Thr Glu 385 390 395 Pro Val Leu Asp Ser Asp Gly Ser Tyr Phe Met Tyr Ser Lys Leu Arg 400 405 410 Val Glu Lys Lys Asn Trp Val Glu Arg Asn Ser Tyr Ser Cys Ser Val 420 415 425 Val His Glu Gly Leu His Asn His His Thr Thr Lys Ser Phe Ser Arg 430 435 440 445 Thr Pro Gly Lys (2) INFORMATION FOR SEQ ID NO:8: (i) SEQUENCE CHARACTERISTICS: LENGTH: 85 amino acids (A) TYPE: amino acid (B) TOPOLOGY: linear (D) (ii) MOLECULE TYPE; peptide (xi) SEQUENCE DESCRIPTION: SEQ ID NO:8: Asp Ile Gln Met Thr Gln Ser Pro Ser Ser Leu Ser Ala Ser Val Gly 5 10 15

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Asp Arg Val Thr Ile Thr Cys Gln Ala Ser Gln Asp Ile Ile Lys Tyr 20 25 30 Leu Asn Trp Tyr Gln Gln Thr Pro Gly Lys Ala Pro Lys Leu Leu Ile 35 40 45 Thr Glu Ala Ser Asn Leu Gln Ala Gly Val Pro Ser Arg Phe Ser Gly 50 55 60 Ser Gly Ser Gly Thr Asp Tyr Thr Phe Thr Ile Ser Ser Leu Gln Pro 65 70 75 80 Glu Asp Ile Ala Thr 85 2) INFORMATION FOR SEQ ID NO:9: (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 23 amino acids TYPE: amino acid (B) TOPOLOGY: linear (D) (ii) MOLECULE TYPE: peptide (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 9; Tyr Tyr Cys Gln Gln Tyr Gln Ser Leu Pro Tyr Thr Phe Gly Gln Gly 1 5 10 15 Thr Lys Leu Gln Ile Thr Arg 20 (2) INFORMATION FOR SEQ ID NO:10: (1) SEQUENCE CHARACTERISTICS: (A) LENGTH: 126 amino acids (B) TYPE: amino acid (D) TOPOLOGY: linear (ii) MOLECULE TYPE: peptide (xi) SEQUENCE DESCRIPTION: SEQ ID NO:10: Gln Val Gln Leu Val Glu Ser Gly Gly Gly Val Val Gln Pro Gly Arg 10 1 5 15

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Ser Leu Arg Leu Ser Cys Ser Ser Ser Gly Phe Ile Phe Ser Ser Tyr 20 25 Ala Met Tyr Trp Val Arg Gln Ala Pro Gly Lys Gly Leu Glu Trp Val 35 40 45 Ala Ile Ile Trp Asp Asp Gly Ser Asp Gln His Tyr Ala Asp Ser Val 50 55 Lys Gly Arg Phe Thr Ile Ser Arg Asp Asn Ser Lys Asn Thr Leu Phe 65 70 75 80 Leu Gln Met Asp Ser Leu Arg Pro Glu Asp Thr Gly Val Thy Phe Cys 85 95 Ala Arg Asp Gly Gly His Gly Phe Cys Ser Ser Ala Ser Cys Phe Gly 100 105 110 105 110 Pro Asp Tyr Trp Gly Gln Gly Thr Pro Val Thr Val Ser Ser 115 120 125 (2) INFORMATION FOR SEQ ID NO: 11: (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 119 amino acids (B) TYPE: amino acid (C) TOPOLOGY: linear (ii) MOLECULE TYPE: peptide (xi) SEQUENCE DESCRIPTION; SEQ ID NO: 11: Gln Val Gln Leu Val Glu Ser Gly Gly Gly Val Val Gln Pro Gly Arg 10 15 Ser Leu Arg Leu Ser Cys Ser Ser Ser Gly Try Thr Phe Thr Arg Tyr 25 20 Thr Met His Trp Val Arg Gln Ala Pro Gly Lys Gly Leu Glu Trp Val 35 40 Ala Tyr Ile Asn Pro Ser Arg Gly Tyr Thr Asn Tyr Asn Gln Lys Phe 55 60 Lys Asp Arg Phe Thr Ile Ser Arg Asp Asn Ser Lys Asn Thr Leu Phe 65 70 75 80 Leu Gln Met Asp Ser Leu Arg Pro Glu Asp Thr Gly Val Tyr Phe Cys 85 90 95

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Ala Arg Tyr Tyr Asp Asp His Tyr Cys Leu Asp Tyr Trp Gly Gln Gly 100 105 110

Thr Thr Leu Thr Val Ser Ser 115

(2) INFORMATION FOR SEQ ID NO:12:

- (i) SEQUENCE CHARACTERISTICS:
  (A) LENGTH: 119 amino acids
  (B) TYPE: amino acid
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:12: Gln Val Gln Leu Val Gln Ser Gly Gly Gly Val Val Gln Pro Gly Arg 1 10 15 Ser Leu Arg Leu Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Arg Tyr 20 25 30 Thr Met His Trp Val Arg Gln Ala Pro Gly Lys Gly Leu Glu Trp Ile 35 40 Gly Tyr Ile Asn Pro Ser Arg Gly Tyr Thr Asn Tyr Asn Gln Lys Val 50 55 60 Lys Asp Arg Phe Thr Ile Ser Thr Asp Lys Ser Lys Ser Thr Ala Phe 65 70 75 80 Leu Gln Met Asp Ser Leu Arg Pro Glu Asp Thr Ala Val Tyr Tyr Cys 85 90 95 Ala Arg Tyr Tyr Asp Asp His Tyr Cys Leu Asp Tyr Trp Gly Gln Gly 100 105 110 Thr Thr Leu Thr Val Ser Ser

(2) INFORMATION FOR SEQ ID NO:13:

115

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 119 amino acids
    - (B) TYPE: amino acid(D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide

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(xi) SEQUENCE DESCRIPTION: SEQ ID NO:13: Gln Val Gln Leu Val Gln Ser Gly Gly Gly Val Val Gln Pro Gly Arg 5 10 15 Ser Leu Arg Leu Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Arg Tyr 20 25 30 Thr Met His Trp Val Arg Gln Ala Pro Gly Lys Gly Leu Glu Trp Ile 35 40 Gly Tyr Ile Asn Pro Ser Arg Gly Tyr Thr Asn Tyr Asn Gln Lys Val 55 60 Lys Asp Arg Phe Thr Ile Ser Thr Asp Lys Ser Lys Ser Thr Ala Phe 70 75 80 Leu Gln Met Asp Ser Leu Arg Pro Glu Asp Thr Gly Val Tyr Phe Cys 85 90 Ala Arg Tyr Tyr Asp Asp His Tyr Cys Leu Asp Tyr Trp Gly Gln Gly 100 105 110 Thr Thr Leu Thr Val Ser Ser 115 (2) INFORMATION FOR SEQ ID NO:14: (i) SEQUENCE CHARACTERISTICS: LENGTH: 119 amino acids (A) (B) TYPE: amino acid (D) TOPOLOGY: linear (ii) MOLECULE TYPE: peptide (xi) SEQUENCE DESCRIPTION: SEQ ID NO:14: Gln Val Gln Leu Val Gln Ser Gly Gly Gly Val Val Gln Pro Gly Arg 1 10 15 Ser Leu Arg Leu Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Arg Tyr 20 25 30 Thr Met His Trp Val Arg Gln Ala Pro Gly Lys Gly Leu Glu Trp Ile 35 40 Gly Tyr Ile Asn Fro Ser Arg Gly Tyr Thr Asn Tyr Asn Gln Lys Val 50 55 60

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Lys Asp Arg Phe Thr Ile Ser Thr Asp Lys Ser Lys Asn Thr Ala Phe 55 70 75 80 Leu Gln Met Asp Ser Leu Arg Pro Glu Asp Thr Gly Val Tyr Phe Cys 85 90 95 Ala Arg Tyr Tyr Asp Asp His Tyr Cys Leu Asp Tyr Trp Gly Gln Gly 100 105 110 Thr Thr Leu Thr Val Ser Ser 115 (2) INFORMATION FOR SEQ ID NO:15: (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 119 amino acids TYPE: amino acid TOPOLOGY: linear (B) (D) MOLECULE TYPE: peptide (xi) SEQUENCE DESCRIPTION: SEQ ID NO:15: Gln Val Gln Leu Val Gln Ser Gly Gly Gly Val Val Gln Pro Gly Arg 1 5 10 15 Ser Leu Arg Leu Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Arg Tyr 20 25 30 Thr Met His Trp Val Arg Gln Ala Pro Gly Lys Gly Leu Glu Trp Ile

354045Gly Tyr Ile Asn Pro Ser Arg Gly Tyr Thr Asn Tyr Asn Gln Lys Val<br/>50Ser Arg Pro Ser Arg Gly Tyr Thr Asn Tyr Asn Gln Lys ValLys Asp Arg Phe Thr Ile Ser Arg Asp Asn Ser Lys Asn Thr Ala Phe<br/>70Ser Arg Asp Asn Ser Lys Asn Thr Ala Phe<br/>80Leu Gln Met Asp Ser Leu Arg Pro Glu Asp Thr Gly Val Tyr Phe Cys<br/>90Ser Tyr Tyr Gly Gln Gly<br/>105

Thr Thr Leu Thr Val Ser Ser 115

(2) INFORMATION FOR SEQ ID NO:16:

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(i) SEQUENCE CHARACTERISTICS: LENGTH: 119 amino acids (A) (B) TYPE: amino acid (D) TOPOLOGY: linear (ii) MOLECULE TYPE: peptide (xi) SEQUENCE DESCRIPTION: SEQ ID NO:16: Gln Val Gln Leu Val Gln Ser Gly Gly Gly Val Val Gln Pro Gly Arg 5 10 15 Ser Leu Arg Leu Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Arg Tyr 20 25 30 Thr Met His Trp Val Arg Gln Ala Pro Cys Lys Gly Leu Glu Trp Ile 35 40 Gly Tyr Ile Asn Pro Ser Arg Gly Tyr Thr Asn Tyr Asn Gln Lys Val 55 60 Lys Asp Arg Phe Thr Ile Ser Thr Asp Lys Ser Lys Asn Thr Leu Phe 65 70 75 80 Leu Gln Met Asp Ser Leu Arg Pro Glu Asp Thr Gly Val Tyr Phe Cys 85 90 95 Ala Arg Tyr Tyr Asp Asp His Tyr Cys Leu Asp Tyr Trp Gly Gln Gly 100 105 110 Thr Thr Leu Thr Val Ser Ser 115 (2) INFORMATION FOR SEQ ID NO: 17: (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 119 amino acids (B) TYPE: amino acid (D) TOPOLOGY: linear (ii) MOLECULE TYPE: peptide (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 17: Gln Val Gln Leu Val Gln Ser Gly Gly Gly Val Val Gln Pro Gly Arg 1 5 10 15

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Ser Leu Arg Leu Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Arg Tyr 20 25 30 Thr Met His Trp Val Arg Gln Ala Pro Cys Lys Gly Leu Glu Trp Ile 35 40 45 Gly Tyr Ile Asn Pro Ser Arg Gly Tyr Thr Asn Tyr Asn Gln Lys Val 50 55 60 Lys Asp Arg Phe Thr Ile Ser Arg Asp Asn Ser Lys Asn Thr Leu Phe 65 70 75 80 Leu Gln Met Asp Ser Leu Arg Pro Glu Asp Thr Gly Val Tyr Phe Cys 85 90 95 Ala Arg Tyr Tyr Asp Asp His Tyr Cys Leu Asp Tyr Trp Gly Gln Gly 100 105 110 105 110 Thr Thr Leu Thr Val Ser Ser 115 (2) INFORMATION FOR SEQ ID NO:18: SEQUENCE CHARACTERISTICS: (i) (A) LENGTH: 119 amino acids TYPE: amino acid TOPOLOGY: linear (B) (D) (ii) MOLECULE TYPE: peptide (xi) SEQUENCE DESCRIPTION: SEQ ID NO:18: Gln Val Gln Leu Val Gln Ser Gly Gly Gly Val Val Gln Pro Gly Arg 1 10 Ser Leu Arg Leu Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Arg Tyr 20 25 30 Thr Met His Trp Val Arg Gln Ala Pro Cys Lys Gly Leu Glu Trp Val 35 40 Ala Tyr Ile Asn Pro Ser Arg Gly Tyr Thr Asn Tyr Asn Gln Lys Val 50 55 60 Lys Asp Arg Phe Thr Ile Ser Thr Asp Lys Ser Lys Ser Thr Ala Phe 70 65 75 80 Leu Gln Met Asp Ser Leu Arg Pro Glu Asp Thr Gly Val Tyr Phe Cys 85 90 95

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Ala Arg Tyr Tyr Asp Asp His Tyr Cys Leu Asp Tyr Trp Gly Gln Gly 100 105 110

Thr Thr Leu Thr Val Ser Ser 115

(2) INFORMATION FOR SEQ ID NO:19:

- (i) SEQUENCE CHARACTERISTICS:
  (A) LENGTH: 119 amino acids
  (B) TYPE: amino acid
  (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:19: Gln Val Gln Leu Val Gln Ser Gly Gly Gly Val Val Gln Pro Gly Arg 10 15 Ser Leu Arg Leu Ser Cys Ser Ala Ser Gly Tyr Thr Phe Thr Arg Tyr 20 25 30 Thr Met His Trp Val Arg Gln Ala Pro Cys Lys Gly Leu Glu Trp Ile 35 40 45 Gly Tyr Ile Asn Pro Ser Arg Gly Tyr Thr Asn Tyr Asn Gln Lys Val 50 55 Lys Asp Arg Phe Thr Ile Ser Thr Asp Lys Ser Lys Ser Thr Ala Phe 65 70 75 80 Leu Gln Met Asp Ser Leu Arg Pro Glu Asp Thr Ala Val Tyr Tyr Cys Ala Arg Tyr Tyr Asp Asp His Tyr Cys Leu Asp Tyr Trp Gly Gln Gly 100 105 110 Thr Thr Leu Thr Val Ser Ser

115

(2) INFORMATION FOR SEQ ID NO:20:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 119 amino acids
    - (B) TYPE: amino acid(D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide

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(xi) SEQUENCE DESCRIPTION: SEQ ID NO:20:

	Gln 1	Val	Glr	i Leu	Val 5	Glu	Ser	Gly	Gly	Gly 10	val	. Val	Gln	Pro	Gly 15	Arg	
	Ser	Leu	Arg	r Leu 20	Ser	Сув	Ser	Ala	Ser 25	Gly	Тут	Thr	Phe	Thr 30	Arg	Tyr	
	Thr	Met	His 35	Trp	Val	Arg	Gln	Ala 40	Pro	Cys	Lys	Gly	Leu 45	Glu	Trp	Ile	
	Gly	Tyr 50	Ile	Asn	Pro	Ser	Arg 55	Gly	Tyr	Thr	Asn	Tyr 60	Asn	Gln	Lys	Val	
	Lys 65	Asp	Arg	Phe	Thr	Ile 70	Ser	Thr	Asp	Lys	Ser 75	Lys	Ser	Thr	Ala	Phe 80	
ļ	Leu	Gln	Met	Asp	Ser 85	Leu	Arg	Pro	Glu	Asp 90	Thr	Ala	Val	Tyr	Tyr 95	Cys	
2	Ala	Arg	Tyr	Tyr 100	Asp	Asp	His	Tyr	Cys 105	Leu	Asp	Tyr	Trp	Gly 11	Gln 0	Gly	
8	Thr	Thr	Leu 115	Thr	Val	Ser	Ser										
(2	)	INFO	RMAT	ION	FOR	SEQ :	ID N	0:21	:								
		(i) (ii)	SEQ (A) (B) (D) MOLI	UENCI LEI TYI TOI ECULI	E CHA NGTH PE: 3 POLOO E TYI	ARAC ; 11 amino 3Y: PE: p	TERI: 9 am 5 ac line pept:	STIC ino cid ear ide	S: aci	đø							
		(xi)	SEQU	JENCI	E DES	SCRIE	PTION	I: SI	EQ II	O NO	21:						
0	sln	Val	Gln	Leu	Val 5	Glu	Ser	Gly	Gly	Gly 10	Val	val	Gln	Pro	Gly 15	Arg	
to.	Ser	Leu	Arg	Leu 20	Ser	Cys	Ser	Ser	Ser 25	Gly	Tyr	Thr	Phe	Thr 30	Arg	Tyr	
7	hr	Met	His 35	Trp	Val	Arg	Gln	Ala 40	Pro	Cys	Lys	Gly	Leu 45	Glu	Trp	Ile	
G	ly	Tyr 50	Ile	Asn	Pro	Ser	Arg 55	Gly	Tyr	Thr	Asn	Tyr 60	Asn	Gln	Lys	Val	

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Lys Asp Arg Phe Thr Ile Ser Thr Asp Lys Ser Lys Ser Thr Ala Phe 55 70 75 80 70 Leu Gln Met Asp Ser Leu Arg Pro Glu Asp Thr Ala Val Tyr Tyr Cys 85 90 95 Ala Arg Tyr Tyr Asp Asp His Tyr Cys Leu Asp Tyr Trp Gly Gln Gly 100 105 110 Thr Thr Leu Thr Val Ser Ser 115 (2) INFORMATION FOR SEQ ID NO:22; (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 119 amino acids (B) TYPE: amino acid (D) TOPOLOGY: linear (ii) MOLECULE TYPE: peptide (xi) SEQUENCE DESCRIPTION: SEQ ID NO:22: Gln Val Gln Leu Val Gln Ser Gly Gly Gly Val Val Gln Pro Gly Arg 1 10 15 Ser Leu Arg Leu Ser Cys Ser Ala Ser Gly Tyr Thr Phe Thr Arg Tyr 25 20 30 Thr Met His Trp Val Arg Gln Ala Pro Cys Lys Gly Leu Glu Trp Ile 35 40 45 Gly Tyr Ile Ash Pro Ser Arg Gly Tyr Thr Asn Tyr Asn Gln Lys Val 50 55 60 Lys Asp Arg Phe Thr Ile Ser Thr Asp Lys Ser Lys Ser Thr Ala Phe 65 70 75 80 Leu Gln Met Asp Ser Leu Arg Pro Glu Asp Thr Gly Val Tyr Phe Cys 85 90 95 Ala Arg Tyr Tyr Asp Asp His Tyr Cys Leu Asp Tyr Trp Gly Gln Gly 100 105 110

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Thr Thr Leu Thr Val Ser Ser 115

(2) INFORMATION FOR SEQ ID NO:23:

- (i) SEQUENCE CHARACTERISTICS:(A) LENGTH: 119 amino acids
  - (B) TYPE: amino acid
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:23: Gln Val Gln Leu Val Glu Ser Gly Gly Gly Val Val Gln Pro Gly Arg 1 5 10 15 Ser Leu Arg Leu Ser Cys Ser Ala Ser Gly Tyr Thr Phe Thr Arg Tyr 20 25 30 Thr Met His Trp Val Arg Gln Ala Pro Cys Lys Gly Leu Glu Trp Ile 35 40 Gly Tyr Ile Asn Pro Ser Arg Gly Tyr Thr Asn Tyr Asn Gln Lys Val 50 55 60 Lys Asp Arg Phe Thr Ile Ser Thr Asp Lys Ser Lys Ser Thr Ala Phe 65 70 75 80 Leu Gln Met Asp Ser Leu Arg Pro Glu Asp Thr Gly Val Tyr Phe Cys 85 90 95 Ala Arg Tyr Tyr Asp Asp His Tyr Cys Leu Asp Tyr Trp Gly Gln Gly 100 105 110 Thr Thr Leu Thr Val Ser Ser 115

- (2) INFORMATION FOR SEQ ID NO:24:
  - (i) SEQUENCE CHARACTERISTICS:
    (A) LENGTH: 119 amino acids
    (B) TYPE: amino acid
    (D) TOPOLOGY: linear
  - (ii) MOLECULE TYPE: peptide

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x1) SEQUENCE DESCRIPTION: SEQ ID NO:24:

Gln Val Gln Leu Val Gln Ser Gly Gly Gly Val Val Gln Pro Gly Arg 1 5 10 15 Ser Leu Arg Leu Ser Cys Ser Ala Ser Gly Tyr Thr Phe Thr Arg Tyr 20 25 Thr Met His Trp Val Arg Gln Ala Pro Cys Lys Gly Leu Glu Trp Ile 35 40 45 Gly Tyr Ile Asn Pro Ser Arg Gly Tyr Thr Asn Tyr Asn Gln Lys Val 50 55 Lys Asp Arg Phe Thr Ile Ser Thr Asp Lys Ser Lys Ser Thr Ala Phe 70 65 75 80 Leu Gln Met Asp Ser Leu Arg Pro Glu Asp Thr Gly Val Tyr Phe Cys 85 90 95 Ala Arg Tyr Tyr Asp Asp His Tyr Cys Leu Asp Tyr Trp Gly Gln Gly 100 105 110 Thr Thr Leu Thr Val Ser Ser 115 (2) INFORMATION FOR SEQ ID NO:25: SEQUENCE CIARACTERISTICS: (i) (A) LENGTI: 107 amino acids TYPE: amino acid (B) (D) TOPOLDGY: linear (ii) MOLECULE TYPE: peptide (xi) SEQUENCE DESCRIPTION; SEQ ID NO:25: Asp Ile Gln Met Thr Gln Ser Pro Ser Ser Leu Ser Ala Ser Val Gly 1 10 15 Asp Arg Val Thr Ile Thr Cys Ser Ala Ser Ser Ser Val Ser Tyr Met 20 25 30 Asn Trp Tyr Gly Gln Thr Pro Gly Lys Ala Pro Lys Leu Leu Ile Tyr 35 40 45 Asp Thr Ser Lys Leu Ala Ser Gly Val Pro Ser Arg Phe Ser Gly Ser 50 55 60

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Gly Ser Gly Thr Asp Tyr Thr Phe Thr Ile Ser Ser Leu Gln Pro Glu 65 70 75 80 75 80 Asp Ile Ala Thr Tyr Tyr Cys Gln Gln Trp Ser Ser Asn Pro Phe Thr 90 85 95 Phe Gly Gln Gly Thr Lys Leu Gln Ile Thr Arg 105 100 (2) INFORMATION FOR SEQ ID NO:26: (i) SEQUENCE CHARACTERISTICS: (A) LENGTI: 107 amino acids (B) TYPE: amino acid (D) TOPOLOGY: linear (ii) MOLECULE TYPE: peptide (xi) SEQUENCE DESCRIPTION: SEQ ID NO:26: Gln Ile Val Met Thr Gln Ser Pro Ser Ser Leu Ser Ala Ser Val Gly 1 10 15 Asp Arg Val Thr Ile Thr Cys Ser Ala Ser Ser Ser Val Ser Tyr Met 20 25 30 Asn Trp Tyr Gln Gln Thr Pro Gly Lys Ala Pro Lys Arg Trp Ile Tyr 35 40 45 40 45 Asp Thr Ser Lys Leu Ala Ser Gly Val Pro Ser Arg Phe Ser Gly Ser 50 55 60 Gly Ser Gly Thr Asp Tyr Thr Phe Thr Ile Ser Ser Leu Gln Pro Glu65707580 Asp Ile Ala Thr Tyr Tyr Cys Gln Gln Trp Ser Ser Asn Pro Phe Thr 85 90 95 Phe Gly Gln Gly Thr Lys Leu Gln Ile Thr Arg 100 105 (2) INFORMATION FOR SEQ ID NO:27: (i) SEQUENCE CHARACTERISTICS: LENGTH: 107 amino acids (A) (B) TYPE: amino acid (D) TOPOLOGY: linear (ii) MOLECULE TYPE: peptide

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(xi) SEQUENCE DESCRIPTION: SEQ ID NO:27: Gln Ile Val Met Thr Gln Ser Pro Ser Ser Leu Ser Ala Ser Val Gly 10 15 Asp Arg Val Thr Ile Thr Cys Ser Ala Ser Ser Ser Val Ser Tyr Met 20 25 30 Asn Trp Tyr Gln Gln Thr Pro Gly Lys Ala Pro Lys Leu Leu Ile Tyr 35 40 45 Asp Thr Ser Lys Leu Ala Ser Gly Val Pro Ser Arg Phe Ser Gly Ser 50 55 60 Gly Ser Gly Thr Asp Tyr Thr Phe Thr Ile Ser Ser Leu Gln Pro Glu 65 70 75 80 65 75 80 Asp Ile Ala Thr Tyr Tyr Cys Gln Gln Trp Ser Ser Asn Pro Phe Thr 85 90 95 95 Phe Gly Gln Gly Thr Lys Leu Gln Ile Thr Arg 105 100 (2) INFORMATION FOR SEQ ID NO:28: (i) SEQUENCE CHARACTERISTICS:
 (A) LENGTI: 107 amino acids TYPE: amino acid (B) TOPOLOGY: linear (D) (ii) MOLECULE TYPE: peptide (xi) SEQUENCE DESCRIPTION: SEQ ID NO:28: Asp Ile Gln Met Thr Gln Ser Pro Ser Ser Leu Ser Ala Ser Val Gly 1 5 10 15 Asp Arg Val Thr Ile Thr Cys Ser Ala Ser Ser Ser Val Ser Tyr Met 20 25 30 Asn Trp Tyr Gln Gln Thr Pro Gly Lys Ala Pro Lys Arg Trp Ile Tyr 35 40 45 Asp Thr Ser Lys Leu Ala Ser Gly Val Pro Ser Arg Phe Ser Gly Ser 55 50 60 Gly Ser Gly Thr Asp Tyr Thr Phe Thr Ile Ser Ser Leu Gln Pro Glu 65 70 75 80

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(xi) SEQUENCE DESCRIPTION: SEQ ID NO:30

Gln Val Gln Leu Gln Gln Ser Gly Ala Glu Leu Ala Arg 1 Val Gln Leu Gln 5 Gly Ala Glu Leu Ala Arg 1 Val Ser Val Lys Met Ser Cys Lys Ala Ser Gly Tyr Thr Phe 2 Gly Arg Tyr Thr Met His Trp Val Lys Gln Arg Pro Gly Gln Gly Leu 30 Trp Ile Gly Tyr Ile Asn Pro Ser Arg Gly Tyr Thr Asn Tyr Asn Gln Lys Phe Lys Asp Lys Ala Thr Leu Thr Thr Asp Lys Ser Ser Ser 5 Thr Ala Tyr Met Gln Leu Ser Ser Leu Thr Ser Glu Asp Ser Ala Val 8 Tyr Tyr Cys Ala Arg Tyr Tyr Asp Asp His Tyr Cys Leu Asp Tyr Trp 10 Gln Gly Thr Thr Leu Thr Val Ser Ser 10 Gln Gly Thr Thr Leu Thr Val Ser Ser

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DATE

In re patent application of: Adair et al.

Serial No.: 08/846,658

Group No.: 1642

Filed: May 1, 1997

Examiner: J. Reeves

For: Humanised Antibodies

I, Doreen Yatko Trujillo, Registration No. 35,179 certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

G

ED. 05/28/2010

Doreen Yatko Trusko Reg. No. 35,179

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

#### AMENDMENT AND REQUEST FOR RECONSIDERATION

Pursuant to 37 C.F.R. § 1.115, please amend the above-identified application

as a follows.

In the specification:

Page 1, after "September 7, 1994," please insert -- now U.S. Patent No.

5.859,205, --.

Page 1, after "September 17, 1991," please insert -- abandoned, --.

In the claims:

24. (Amended) A humanized immunoglobulin having complementarity

determining regions (CDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks from human acceptor immunoglobulin heavy and light chains, which humanized immunoglobulin specifically binds to an antigen with an affinity constant of at least

> Carter Exhibit 2025 Carter v. Adair Interference No. 105,744

> > PFIZER EX. 1095 Page 1212

17/H

PATENT

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10<sup>8</sup> M<sup>-1</sup>, wherein said humanized immunoglobulin comprises amino acids from the donor immunoglobulin framework outside both the Kabat CDRs and the structural loop CDRs of the variable regions, wherein the donor amino acids replace corresponding amino acids in the acceptor immunoglobulin heavy or light chain frameworks, and each of said donor amino acids is adjacent to a CDR in the donor immunoglobulin sequence <u>or contributes to antigen binding as determined by X-ray crystallography</u>.

28. (Amended) A humanized immunoglobulin having complementarity determining regions (CDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks from human acceptor immunoglobulin heavy and light chains, which humanized immunoglobulin specifically binds to an antigen with an effective antigen binding affinity, wherein said humanized immunoglobulin comprises amino acids from the donor immunoglobulin framework outside both the Kabat CDRs and the structural loop CDRs of the variable regions, wherein the donor amino acids replace corresponding amino acids in the acceptor immunoglobulin heavy or light chain frameworks, and each of said donor amino acids is adjacent to a CDR in the donor immunoglobulin sequence <u>or contributes to antigen binding</u> <u>as determined by X-ray crystallography</u>.

29. (Amended) A humanized immunoglobulin according to claim 28 which specifically binds to an antigen with a binding affinity [equivalent to that of a chimeric antibody formed from] similar to that of said donor immunoglobulin.

#### REMARKS

This paper is being filed in response to the Office Action dated November 16, 1998. A petition for a two-month extension of time and the appropriate fee accompany this response.

Claims 24-31 are pending. In the Office Action, all pending claims were rejected. In view of the foregoing amendments and the arguments that follow, Applicants

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respectfully submit that allowable subject matter has been identified and request that the interference be declared.

Preliminarily, as requested by the Examiner, the specification has been amended to update the status of parent applications.

Additionally, the Examiner stated that the Information Disclosure Statements filed in the parent cases will not be considered unless they are filed with the present case and the references have been submitted. This appears to be contrary to MPEP § 609, page 600-103, specifically. As stated therein, information that has been considered by the Office in a parent application of a FWC filed prior to December 1, 1997 will be part of the file and need not be resubmitted to have the information considered. Likewise, an Examiner will consider information that has been considered by the Office in a parent application when examining a continuation under 36 C.F.R. § 1.60. The present application is a continuation under 37 C.F.R. § 1.60 of prior Application Serial No. 08/303,569, filed September 7, 1994, which is a continuation under 37 C.F.R.§ 1.62 (i.e., FWC) of Application Serial No. 07/7443,329, filed September 17, 1991. According to MPEP § 609, then, information considered in both parent applications is to be considered by the Examiner.

#### Rejections Under 35 U.S.C. § 112, first paragraph

Claims 24-31 were rejected under 35 U.S.C. § 112, first paragraph, as allegedly containing subject matter not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventors had possession of the claimed invention at the time the application was filed. Applicants respectfully traverse this rejection for the reasons that follow. For the Examiner's convenience, the paragraphs are designated to correspond to the Examiner's paragraphs under this section.

a. The Examiner rejected claims 24 and 28 alleging that the specification does not provide support for the concept that only substitutions adjacent CDRs are envisaged. Claims 24 and 28 have been amended herein to recite that each of the donor amino acids to be replaced is adjacent a CDR or contributes to antigen binding as determined by X-ray crystallography. Support for these amendments can be found, *inter alia*, on page 38, lines 1-

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12, and lines 23 through 38, of the application as filed. As is clear therefrom, the contribution to antigen binding can be indirect, e.g., by affecting antigen binding site topology or inducing stable packing, i.e., the residues are spatially near a CDR. On page 17, lines 9-11, of the application as filed, the extents of the heavy chain CDRs are taught. On page 6, lines 25-35, it is indicated that the heavy chain "framework comprises donor residues at at least one of positions 6, 23 and/or 24, 48 and/or 49...." Residue 49 is clearly adjacent a CDR. As evident from Figure 4, residues 6, 23, 24, and 48 contribute to antigen binding, as determined by X-ray crystallography. Applicants respectfully request that this rejection be withdrawn.

b. The Examiner rejected claims 27, 30, and 31, seeking evidence that CD3 is the same as "OKT3" and that CD4 is the same as "OKT4." Actually, one term refers to the antibody, while the other refers to the antigen bound. Specifically, OKT3 refers to a monoclonal antibody that recognizes the CD3 antigen and OKT4 refers to a monoclonal antibody that recognizes the CD4 antigen. Consistent therewith, on page 28, lines 19-22, of the application as filed, the testing of the ability of CDR-grafted OKT3 light chain to bind to CD3 positive cells is disclosed, and on page 52, line 29, of the application as filed, the reference "CD4 (OKT4)" is made. Applicants respectfully request that this rejection be withdrawn.

Claim 29 was rejected under 35 U.S.C. § 112, first paragraph, in view of the phrase "which specifically binds to an antigen with a binding affinity equivalent to that of a chimeric antibody formed from said donor immunoglobulin." The Examiner requested that Applicants point to support in the specification for the phrase. Claim 29 has been amended herein to recite that the binding affinity is "similar to that of" the donor. Support for this amendment can be found, *inter alia*, on page 48, lines 24-27 and page 51, lines 27-31 of the application as filed. Applicants respectfully request that this rejection be withdrawn. **Rejection Under 35 U.S.C. § 102(e)** 

Claims 24-31 were rejected under 35 U.S.C. § 102(e) in view of U.S. Patent No. 5,585,089 ("Queen et al."). Applicants respectfully traverse this rejection.

The Examiner observed that Queen et al. is entitled to priority back to "at least

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12/28/90." (It is assumed that the Examiner meant 12/19/90, the filing date of the latest application designated as a continuation-in-part in the series of Queen et al. applications.) Although seeming to recognize that Queen et al. may not be entitled to a priority date earlier than 12/19/90, the Examiner, nonetheless, proceeded to argue that limitations recited in claims 24-31 are found in the earlier Queen et al. applications. The relevant inquiry for Queen et al. to be an appropriate reference under 102(e) is whether there is support for the claims *as allowed* in the priority applications, see MPEP 2136.03, p. 2100-85, citing *In re Wertheim*, 209 USPQ 554 (CCPA 1981), not simply whether the limitations can be found in the priority document. Regardless, Applicants maintain that the limitation "outside the Kabat and Chothia CDRs" is not found in, nor supported by, the priority documents.

This limitation requires that the framework residues to be replaced be outside both the Kabat and Chothia CDRs. As submitted in the Preliminary Amendment filed concurrently with the present application, however, the earliest Queen et al. applications do not teach, either explicitly or implicitly, that the framework residues to be replaced by donor **must be** outside **both** the Kabat and Chothia CDRs. Indeed, in the only example found in these early applications, and even in the specification of the Queen et al. patent as issued, changes were made to residues inside what Queen et al. denotes as CDRH1 of Chothia, i.e., inside a Chothia CDR. Considering that this limitation was required for patentability, Queen et al. cannot be entitled to a priority date earlier than the filing date of the application in which this limitation was first introduced, i.e., 12/19/90. Queen et al., thus, fails as a reference under 102(e) because, as also submitted in the Preliminary Amendment filed concurrently with the present application, Applicants are entitled to their GB priority date of 12/21/89.

Applicants respectfully request that this rejection be withdrawn.

## Presentation of a Revised Proposed Count

Applicants present in Appendix A attached hereto a revised "Proposed Count." In compliance with 37 CFR §1.606, the revised proposed Count 1 is broader than any of claims 1-4, the broadest claims in the Queen patent, and as broad as any one of claims 24-31 being entered into the instant application.

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The proposed count contains disjunctive or alternative language to cover the claim terminology of the two parties. Such counts were expressly approved by the Board in *Hsing v. Myers*, 2 USPQ2d 1861 (Bd, Pat,. App. & Int. 1987). It is clear, however, that both alternatives are directed to the same invention as that claimed in the Queen patent.

## (c) Identification of Claims Corresponding to the Count

Applicants identify all of the Queen patent claims 1-11 and applicant's claims 24-31 as corresponding to the Count and as being directed to the same patentable invention.

## (d) Application of the Terms of Applicants' Disclosure to the Copied Claims

In attached Appendix B, applicants illustrate the representative support in their present application disclosure for the limitations of their amended claim 24 substantially copied from Queen claims 1, 5, 9 and 10. There is, of course, additional support in applicants' application omitted for the sake of brevity.

## (e) Applicants' Effective Filing Date

Applicants' present application, being a Rule 60 continuation, has the identical specification and drawings as that originally filed in U.S. application Serial No. 08/303.569, filed September 7, 1994, which is a U.S. national phase application stemming from PCT/GB-90/02017, filed December 21, 1990. The latter PCT application claimed priority benefit of GB national application Serial No. 89/28874.0, filed December 21, 1989.

In attached Appendix C is a diagram of support in applicants' 1989 GB application for each limitation of applicants' amended claims 28 and 29 which are also drawn to the same invention as proposed Count 1. Accordingly, applicants' effective filing date for their invention of Count 1 is 12/21/89, the filing date of their GB national application.

In view of the foregoing, Applicants respectfully submit that allowable subject matter has been identified and request that the Examiner advise them as soon as possible whether the Examiner intends to declare an interference between the present application and

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Queen et al. Specifically, the Examiner is requested to contact the undersigned at (215) 564-8352.

Respectfully submitted,

tatho Juylle

Doreen Yatko Trujillo Registration No. 35,719

Date: April 9, 1999

WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS LLP One Liberty Place - 46th Floor Philadelphia, PA 19103 (215) 568-3100

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## APPENDIX A

#### PROPOSED COUNT FOR INTERFERENCE

Count 1:

A humanized immunoglobulin having complementarity determining regions

(CDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks

from human acceptor immunoglobulin heavy and light chains, which humanized

immunoglobulin specifically binds to an antigen with:

(i) an effective antigen binding activity, or

(ii) an affinity constant of at least 107 M<sup>-1</sup> and no greater than about four-fold

that of the donor immunoglobulin,

wherein said humanized immunoglobulin comprises amino acids from the donor

immunoglobulin framework outside:

- (a) the Kabat and Chothia CDRs, or
- (b) both the Kabat CDRs and the structural loop CDRs of

the variable regions,

wherein the donor amino acids replace corresponding amino acids in the acceptor

immunoglobulin heavy or light chain frameworks, and each of said donor amino acids:

- (I) is adjacent to a CDR in the donor immunoglobulin sequence, or
- (II) (a) contains an atom within a distance of 4 of or (b) is spatially close to

a CDR in said humanized immunoglobulin .

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## APPENDIX B

Claim Limitation	Support in Adair Application
24. A humanized immunoglobulin having complementarity determining regions (CDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks from human acceptor immunoglobulin heavy and light chains	See page 1, lines 5-16, and page 7, line 32, through page 8, line 21.
which humanized immunoglobulin specifically binds to an antigen with an affinity constant of at least 10 <sup>8</sup> M <sup>-1</sup> ,	See page 11, lines 27-30.
wherein said humanized immunoglobulin comprises amino acids from the donor immunoglobulin framework outside both the Kabat CDRs and the structural loop CDRs of the variable regions,	See page 6, lines 14-23, page 8, lines 13-16, and page 19, line 16, to page 20, line 15.
wherein the donor amino acids replace corresponding amino acids in the acceptor immunoglobulin heavy or light chain frameworks,	See page 6, line 12, to page 7, line 5.
and each of said donor amino acids is adjacent to a CDR in the donor immunoglobulin sequence	See page 11, lines 16-20, showing that homology is maximized between donor and acceptor sequences adjacent CDRs within acceptor framework. At page 6, lines 25- 35, it is indicated that the heavy chain "framework comprises donor residues at at least one of positions 6, 23 and/or 24, 48 and/or 49" In the heavy chain, Kabat CDR2 together with [Chothia] structural loop H2 extends from residues 50 to 65. Thus, residue 49 is immediately adjacent the beginning of this CDR2/H2 region.

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or contributes to antigen binding as determined by X-ray crystallography.	Page 38, lines 1-12, and lines 23-38, and Figs. 3-4 of the application as filed reference residues that may "contribute to antigen binding" as determined using X-ray crystallography. Residues 6, 23,24, and 48 are identified in Figure 4.
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## PATENT

## APPENDIX C

Claim Limitation	Support in 1989 GB Application
28. A humanized immunoglobulin having complementarity determining regions (CDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks from human acceptor immunoglobulin heavy and light chains	See page 1, lines 1-2 and 10-20; page 5, lines 8, to page 6, line 4; , and page 8.
which humanized immunoglobulin specifically binds to an antigen with an effective antigen binding affinity	See page 5, lines 1-7; page 22, lines 27-35, page 23, lines 5-9, page 24, lines 1-4; page 25, lines 27-33; page 26 last paragraph.
wherein said humanized immunoglobulin comprises amino acids from the donor immunoglobulin framework outside both the Kabat CDRs and the structural loop CDRs of the variable regions,	See page 5, lines 1-7; page 26, last paragraph, to page 27, top paragraph.
wherein the donor amino acids replace corresponding amino acids in the acceptor immunoglobulin heavy or light chain frameworks,	See page 5, line 8, to page 6, line 4: page 7, lines 5-20.
and each of said donor amino acids is adjacent to a CDR in the donor immunoglobulin sequence	See page 7, lines 11-14, showing that homology is maximized between donor and acceptor sequences adjacent CDRs within acceptor framework. At p.5, 1. 9-16, reference is made to heavy chain "framework comprises donor at at least one of residues 6, 23 and/or 24, 48 and/or 49" Residue 49 is immediately adjacent CDR2/H2 loop region.
or contributes to antigen binding as determined by X-ray crystallography.	Page 18, lines 11-17, and lines 33-37, and Figs. 20-21 of the application as filed reference residues that may "contribute to antigen binding" as determined using X-ray crystallography. Residues 6, 23,24, and 48 are identified in Figure 21.





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29. A humanized immunoglobulin according to claim 28 which specifically binds to an antigen with a binding affinity similar to that of said donor immunoglobulin.	Page 23, lines 1-10.
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DATE: November 4, 1999

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> Carter Exhibit 2026 Carter v. Adair Interference No. 105,744

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## RESPONSE UNDER 77-CFB 1.116 EXPEDITED PROCEDURE EXAMINING GROUP: NO: 1642

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: Adair et al.

DOCKET NO .: CARPERSUP 1600

Serial No.: 08/846,658

Group No.: 1642

Examiner: J. Burke

Riled: May 1, 1997

Hor:

ocurra .

**Humanised** Antibodies

I, Dorsen Yatko Trujillo, Registration No. 35,719 certify that this correspondence is being transmitted by facsimile to Examiner Burke of the U.S. Patent and Trademark Office, Washington, D.C. 20231.

Reg. No. 35

BOX AF Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

### AMENDMENT AND REQUEST FOR RECONSIDERATION

11/09/1999 LPERDER 00000003 23 Pursuant 10/37 C.F.R. § 1.116, please amend the above-identified application 01 FC:117 as a follows.

## In the claims:

24. (Twice Amended) A humanized immunoglobulin having complementarity determining regions (CDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks from human acceptor immunoglobulin heavy and light chains, which humanized immunoglobulin specifically binds to an antigen with an affinity constant of at least 10<sup>8</sup> M<sup>-1</sup>, wherein said humanized immunoglobulin comprises amino acids from the donor immunoglobulin framework outside both the Kabat CDRs and the structural loop CDRs of the

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## PATENT

variable regions, wherein the donor amino acids replace corresponding amino acids in the acceptor immunoglobulin heavy or light chain frameworks, and each of said donor amino acids [is adjacent to a CDR in the donor immunoglobulin sequence or] contributes to antigen binding as determined by X-ray crystallography.

28. (Twice Amended) A humanized immunoglobulin having complementarity determining regions (CDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks from human acceptor immunoglobulin heavy and light chains, which humanized immunoglobulin specifically binds to an antigen with an effective antigen binding affinity, wherein said humanized immunoglobulin comprises amino acids from the donor immunoglobulin framework outside both the Kabat CDRs and the structural loop CDRs of the variable regions, wherein the donor amino acids replace corresponding amino acids in the acceptor immunoglobulin heavy or light chain frameworks, and each of said donor amino acids [is adjacent to a CDR in the donor immunoglobulin sequence or] contributes to antigen binding as determined by X-ray crystallography.

Please add the following claim:

32. A humanized immunoglobulin having complementarity determining regions (CDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks from human acceptor immunoglobulin heavy and light chains, which humanized immunoglobulin specifically binds to an antigen with an affinity constant of at least 10<sup>8</sup> M<sup>-1</sup>, wherein said humanized immunoglobulin comprises amino acids from the donor immunoglobulin framework outside both the Kabat CDRs and the structural loop CDRs of the variable regions, wherein the donor amino acids replace corresponding amino acids in the acceptor immunoglobulin heavy or light chain frameworks at residues 48, 49, 71, 73, 76, 78, 88, and 91.

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## DOCKET NO.: CARP-0057

## REMARKS

This paper is being filed in response to the Final Rejection dated May 28, 1999. No extension of time is believed necessary for responding to the Final Rejection. To the extent this belief is in error, Applicants hereby request the necessary extension and the undersigned authorizes charging any such fee to Deposit Account 23-3050.

Claims 24-31 were pending. In the Final Rejection, all pending claims were rejected. Claim 32 has been added herein. In view of the foregoing amendments and the arguments that follow, Applicants respectfully submit that allowable subject matter has been identified and request that the interference be declared.

The Examiner stated that the Information Disclosure Statements filed in the parent cases will be considered once the references are submitted. To the extent the Examiner is requiring that Applicants resubmit references already submitted, this appears to be contrary to MPEP § 609, page 600-103. Applicants are not required to resubmit references to get them considered by the Examiner.

## Rejections Under 35 U.S.C. § 112, first paragraph

Claims 24-31 were again rejected under 35 U.S.C. § 112, first paragraph, as allegedly containing subject matter not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventors had possession of the claimed invention at the time the application was filed. Applicants respectfully traverse this rejection for the reasons that follow. For the Examiner's convenience, the paragraphs are designated to correspond to the Examiner's paragraphs for the rejection remaining under this section.

a. and b. The Examiner again rejected claims 24 and 28 alleging that the specification does not provide support for the concept that only substitutions adjacent CDRs are envisaged. Claims 24 and 28 were previously amended to recite that each of the donor amino acids to be replaced is adjacent a CDR or contributes to antigen binding as determined by X-ray crystallography. During a telephone conference between the Examiner and the undersigned, the Examiner indicated that removal of the "adjacent to a CDR" language would

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#### DOCKET NO.: CARP-0057

obviate this rejection. Although Applicants disagree with the Examiner's reasoning, the claims have been amended herein to remove the recitation "adjacent to a CDR in the donor immunoglobulin sequence." As Applicants made clear in the previous response, the contribution to antigen binding need not be direct and, indeed, can be indirect, e.g., by affecting antigen binding site topology or inducing stable packing. Naturally, even for an indirect effect, the residues must be spatially near the CDR.

Applicants respectfully request that this rejection be withdrawn.

As the Examiner is aware, Applicants desire to provoke an interference between the present application and the Queen patent (U.S. Patent No. 5,585,089). Although Applicants are confident that the present claims are directed to the same invention as the Queen patent, new claim 32 is submitted herewith. New claim 32 recites the residues changed in example g341B disclosed in Applicants' specification as filed and, indeed, in GB8928874. Of the residues recited, all are either adjacent a CDR (49), or contribute to antigen binding as determined by X-ray crystallography (48, 71, 73, 76, 78, 88, and 91). Claim 32 is clearly allowable and clearly directed to the same invention as claim 1 of the Queen patent.

If at least one of the presented claims is not rejectable on any [] ground and is claiming the same invention as at least one claim of the patent, the examiner should proceed to initiate an interference.

#### MPEP 2307.02.

Applicants respectfully request that an interference between the present application and the Queen patent be declared.

#### Rejection Under 35 U.S.C. § 102(e)

Claims 24-31 were again rejected under 35 U.S.C. § 102(e) in view of the Queen patent. Applicants respectfully traverse this rejection. Again, the relevant inquiry as to whether the Queen patent is an appropriate reference under 102(e) is whether there is support for the claims as allowed in the priority applications, see MPEP 2136.03, p. 2100-85, citing *la re Wertheim*, 209 USPQ 554 (CCPA 1981), not simply whether the limitations can be found in the priority document. Regardless, Applicants maintain that the limitation "outside the

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Kabat and Chothia CDRs" is not found in, nor supported by, the priority documents.

This limitation requires that framework residues be changed outside both the Kabat and Chothia CDRs. This limitation is significant because the "CDRs" as defined by Kabat and Chothia differ.<sup>1</sup> Kabat defines CDR1 of the heavy chain as amino acids 31-35. Chothia defines the first hypervariable loop of the heavy chain as residues 26-32. As submitted in the Preliminary Amendment filed concurrently with the present application, the earliest Queen patent applications do not teach, either explicitly or implicitly, that the framework residues to be replaced by donor must be outside both the Kabat and Chothia CDRs. Indeed, in the specification of the Queen patent as issued, changes were made to residues inside what the Queen patent denotes as CDRH1 of Chothia, i.e., inside a Chothia CDR. Considering that the "outside the Kabat and Chothia CDRs" limitation was required for patentability, the Queen patent cannot be entitled to a priority date earlier than the filing date of the application in which this limitation was first introduced, i.e., 12/19/90.

The Examiner argued in the Final Rejection that the limitation is taught, for example, on page 9, lines 1-5 of Queen priority Application Serial No. 07/290,975 ("Queen '975") and page 13, lines 1-8 of Queen priority Application Serial No. 08/310,252 ("Queen '252"). The passages cited by the Examiner, however, do not support the Examiner's position.

The passage on page 9, lines 1-5, of Queen '975, contains a background discussion of the hypervariable regions, which it is therein stated are also called the CDRs. References by Kabat and Chothia are cited, and incorporated by reference. This is the only in passage in Queen '975 linking the Chothia reference to the term "CDRs." Other passages specifically referring to the CDRs as encompassed by the invention of Queen '975 make it clear that the CDRs are as defined by Kabat. For example, on page 10, line 2, the framework regions are defined in terms of Kabat. If the framework regions are defined in terms of Kabat,

<sup>1</sup>Notably, the Chothia reference refers to loops and carefully distinguishes these loops from the Kabat CDRs.

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the CDRs must be as well. On page 21, the protocol for selecting which residues in the heavy chain are to be donor is set out. In lines 19-22, residues which fall in positions within a CDR "as defined by Kabat, [i.e.,] amino acids 31-35, 50-66, and 99-106" are to be donor. In lines 28-30, amino acid 30 is listed as a position immediately adjacent to a CDR to be changed to donor. Amino acid 30 is adjacent the heavy chain Kabat CDR, but within the heavy chain Chothia "CDR" as that term is used in Queen '975. The description of Figure 1 of Queen '975 indicates that it refers to the heavy chains and that the three CDRs are underlined (page 6, lines 1-6). In Figure 1, amino acids 31-35 are underlined for CDR1. Clearly, all specific references to CDRs were to Kabat CDRs only.

Further, in Figure 1, framework amino acids changed to donor are indicated by asterisks. Amino acids 27 and 30 are so designated. These residues are clearly within the Chothia "CDR." Neither the specification nor the claims require that more than one amino acid be changed to donor. Thus, Queen '975 teaches changing only one or two amino acids, and that both can be within the Chothia CDR. There is no support in Queen '975 for the limitation that the residues changed to donor must be outside both the Kabat and Chothia "CDRs."

Neither is there support for the limitation in Queen '252. In this instance, the passage relied upon by the Examiner for referring to Chothia is in the context of computer programs for computer models. There is no reference to CDRs. Contrastingly, the specific references to CDRs make it clear that the CDRs are as defined by Kabat. On page 8, lines 22-26, Queen '252 reports that the extents of the framework region and CDRs have been "precisely defined" by Kabat. On page 21, the protocol for selecting which residues in the heavy chain are to be donor is set out. In lines 20-22, residues which fall in positions within a CDR "as defined by Kabat, [i.e.,] amino acids 31-35, 50-66, and 99-106" are to be donor. In lines 27-29, amino acid 30 is listed as a position immediately adjacent to a CDR to be changed to donor. Amino acid 30 is adjacent the heavy chain Kabat CDR, but within the heavy chain Chothia "CDR" as that term is used in Queen '975.

Again, in Figure 1, framework amino acids changed to donor are indicated by

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asterisks. Amino acids 27 and 30 are so designated. These residues are clearly within the Chothia "CDR." Neither the specification nor the claims require that more than one amino acid be changed to donor. Thus, Queen '252 teaches changing only one or two amino acids to conor, and that both can be within the Chothia CDR. There is no support in Queen '252 for the limitation that the residues changed to donor must be outside both the Kabat and Chothia "CDRs."

Applicants respectfully request that this rejection be withdrawn.

The Proposed Count is the same as that submitted with the Amendment filed April 9, 1999. Applicants again identify all of the Queen patent claims 1-11 and Applicants' claims 24-32 as corresponding to the Proposed Count.

In attached Appendix A, applicants illustrate the representative support in their present application disclosure for the limitations of their amended claim 24 and new claim 32. There is, of course, additional support in applicants' application omitted for the sake of brevity.

In anached Appendix B is a diagram of support in applicants' 1989 GB application for each limitation of applicants' amended claim 28 and new claim 38 which are also drawn to the same invention as proposed Count 1. Accordingly, applicants' effective fitting date for their invention of Count 1 is 12/21/89, the filing date of their GB national application.

In view of the foregoing, Applicants respectfully submit that allowable subject matter has been identified and request that the Examiner declare an interference between the

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#### DOCKET NO .: CARP-0057

present application and the Queen patent. Specifically, the Examiner is requested to contact the undersigned at (215) 564-8352.

Respectfully submitted,

the Jugth

Doreen Yatko Yrujillo Registration No. 35,719

Date: November 3, 1999

WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS LLP One Liberty Place - 46th Floor Philadelphia, PA 19103 (215) 568-3100

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#### PATENT

## DOCKET NO.: CARP-0057

### APPENDIX A

Claim Limitation	Support in Adair Application
24. A humanized immunoglobulin having complementarity determining regions (CDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks from human acceptor immunoglobulin heavy and light chains	See page 1, lines 5-16, and page 7, line 32, through page 8, line 21.
which humanized immunoglobulin specifically binds to an antigen with an affinity constant of at least 10 <sup>8</sup> M <sup>-1</sup> .	See page 11, lines 27-30.
wherein said humanized immunoglobulin comprises amino acids from the donor immunoglobulin framework outside both the Kabat CDRs and the structural loop CDRs of the variable regions,	See page 6, lines 14-23, page 8, lines 13-16, and page 19, line 16, to page 20, line 15.
wherein the donor amino acids replace corresponding amino acids in the acceptor immunoglobulin heavy or light chain frameworks.	See page 6, line 12, to page 7, line 5.
and each of said donor amino acids contributes to antigen binding as determined by X-ray crystallography.	Page 38, lines 1-12, and lines 23-38, and Figs. 3-4 of the application as filed reference residues that may "contribute to antigen binding" as determined using X-ray crystallography. Residues 48, 49, 71, 73, 76, 78, 88, and 91 are so identified in Figure 4.
32. A humanized immunoglobulin having complementarity determining regions (CDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks from human acceptor immunoglobulin heavy and light chains	See page 1, lines 5-16, and page 7, line 32, through page 8, line 21.

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which humanized immunoglobulin specifically binds to an antigen with an affinity constant of at least 10 <sup>8</sup> M <sup>-1</sup> .	See page 11, lines 27-30.
wherein said humanized immunoglobulin comprises amino acids from the donor immunoglobulin framework outside both the Kabat CDRs and the structural loop CDRs of the variable regions,	See page 6, lines 14-23, page 8, lines 13-16, and page 19, line 16, to page 20, line 15.
wherein the donor amino acids replace corresponding amino acids in the acceptor immunoglobulin heavy or light chain frameworks	See page 6, line 12, to page 7, line 5.
at residues 48, 49, 71, 73, 76, 78, 88, and 91.	See Light chain 341B of Table 1, page 41.

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#### APPENDIX B

Claim Limitation	Support in 1989 GB Application
28. A humanized immunoglobulin having complementarity determining regions (CDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks from human acceptor immunoglobulin heavy and light chains	See page 1, lines 1-2 and 10-20; page 5, lines 8, to page 6, line 4; , and page 8.
which humanized immunoglobulin specifically binds to an antigen with an effective antigen binding affinity	See page 5, lines 1-7; page 22, lines 27-35, page 23, lines 5-9, page 24, lines 1-4; page 25, lines 27-33; page 26 last paragraph.
wherein said humanized immunoglobulin comprises amino acids from the donor immunoglobulin framework outside both the Kabat CDRs and the structural loop CDRs of the variable regions,	See page 5, lines 1-7; page 26, last paragraph, to page 27, top paragraph.
wherein the donor amino acids replace corresponding amino acids in the acceptor immunoglobulin heavy or light chain traneworks,	See page 5, line 8, to page 6, line 4: page 7, lines 5-20.
and each of said donor amino acids contributes to antigen binding as determined by X-ray crystallography.	Page 18, lines 11-17, and lines 33-37, and Figs. 20-21 of the application as filed reference residues that may "contribute to antigen binding" as determined using X-ray crystallography. Residues 48, 49, 71, 73, 76, 78, 88, and 91 are identified in Figure 21.
<ul> <li>32. A humanized immunoglobulin having complementarity determining regions</li> <li>(CDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks from human acceptor immunoglobulin heavy and light chains</li> </ul>	See page 1, lines 5-16, and page 7, line 32, through page 8, line 21.

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which humanized immunoglobulin specifically binds to an antigen with an affinity constant of at least 10 <sup>8</sup> M <sup>-1</sup> .	See page 11, lines 27-30.
wherein said humanized immunoglobulin comprises amino acids from the donor immunoglobulin framework outside both the Kabat CDRs and the structural loop CDRs of the variable regions,	See page 6, lines 14-23, page 8, lines 13-16, and page 19, line 16, to page 20, line 15.
wherein the donor amino acids replace corresponding amino acids in the acceptor immunoglobulin heavy or light chain frameworks	See page 6, line 12, to page 7, line 5.
at residues 48, 49, 71, 73, 76, 78, 88, and 91.	See Light chain 341B of Table 1, page 20.

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#### RESPONSE UNDER 37 CFR 1 116 EXPEDITED PROCEDURE EXAMINING GROUP NO: 1642

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In repatent application of: Adair et al.

**Humanised** Antibodies

08/846,658 Serial No .:

Filed: May 1, 1997 Group No.: 1642

Examiner: J. Burke

I. Doreen Yatko Trujilio, Registration No. 35,719 cently that this correspondence is being transmitted by facsimile to Examiner Burke of the U.S. Patent and Trademark Office, Washington, D C. 20231.

On Doreen Reg No. 35.719 Yatka Trupilo

BOX AF

For:

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

1.000° 20180 01300

#### AMENDMENT AND REQUEST FOR RECONSIDERATION

Pursuant to 37 C.F.R. § 1.116, please amend the above-identified application

as a follows.

In the claims:

24. (Twice Amended) A humanized immunoglobulin having complementarity determining regions (CDRs) from a donor unmunoglobulin and heavy and light chain variable region frameworks from human acceptor immunoglobulin heavy and light chains, which humanized immunoglobulin specifically binds to an antigen with an affinity constant of at least 108 M<sup>-1</sup>, wherein said humanized immunoglobulin comprises amino acids from the donor irmunoglobulin framework outside both the Kabat CDRs and the structural loop CDRs of the

> Carter Exhibit 2027 Carter v. Adair Interference No. 105,744

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# variable regions, wherein the donor amino acids replace corresponding amino acids in the acceptor immunoglobulin heavy or light chain frameworks, and each of said donor amino acids [is adjacent to a CDR in the donor immunoglobulin sequence or] contributes to antigen binding as determined by X-ray crystallography.

28. (Twice Amended) A humanized immunoglobulin having complementarity determining regions (CDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks from human acceptor immunoglobulin heavy and light chains, which humanized immunoglobulin specifically binds to an antigen with an effective antigen binding affinity, wherein said humanized immunoglobulin comprises amino acids from the donor immunoglobulin framework outside both the Kabat CDRs and the structural loop CDRs of the variable regions, wherein the donor amino acids replace corresponding amino acids in the acceptor immunoglobulin heavy or light chain frameworks, and each of said donor amino acids [is adjacent to a CDR in the donor immunoglobulin sequence or] contributes to antigen binding as determined by X-ray crystallography.

Please add the following claim:

49. A humanized immunoglobulin having complementarity determining regions (CDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks from human acceptor immunoglobulin heavy and light chains, which humanized immunoglobulin specifically binds to an antigen with an affinity constant of at least 10<sup>8</sup> M<sup>-1</sup>, wherein said humanized immunoglobulin comprises amino acids from the donor immunoglobulin framework outside both the Kabat CDRs and the structural loop CDRs of the variable regions, wherein the donor amino acids replace corresponding amino acids in the acceptor immunoglobulin heavy chain framework at residues 48, 49, 71, 73, 76, 78, 88, and 91.

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#### REMARKS

This paper is being filed following the Advisory Action dated December 2, 1999. A Notice of Appeal was filed November 29, 1999. Accordingly, it is Applicants' belief that no extension of time or accompanying fee is required. If Applicants' belief is erroneous, this serves to request the requisite extension of time and authorizes the charging of any fee to Deposit Account 23-3050.

Claims 24-31 were pending. In the Final Rejection, all pending claims were rejected. An Amendment and Request for Reconsideration ("Amendment") was filed November 3, 1999 in response to the Final Rejection. The Amendment was not entered in view of what the Examiner considered new matter in a newly submitted claim. The Advisory Action, however, indicated that the Amendment would have overcome the then outstanding rejections under 112 and for new matter of claims 24 and 28. The previous amendments to claims 24 and 28 are resubmitted herein. Their entry is earnestly requested.

New claim 49 has been added herein. New claim 49 refers to specific replacements in the heavy chain. In that regard, the Examiner is directed to Table 1 of the application as filed, specifically to the "Heavy Chain" designated as 341 b. Applicants respectfully submit that new claim 49 does not contain new matter and does not raise new 35 U.S.C. § 112, first and second paragraph issues, nor does it raise new 102/103 issues. Claim 49 is submitted herein in an abundance of caution in view of the removal of the phrase "adjacent to a CDR in the donor immunoglobulin sequence" from claims 24 and 28 as suggested by the Examiner in the Final Rejection. Claim 49 recites a specific residue that is adjacent a CDR, i.e., residue 49. If, however, Applicants' submission of claim 49 is all that stands between the application being in condition for interference , Applicants respectfully request that the Examiner so advise the undersigned at (215) 564-8352.

In view of the foregoing amendments and the arguments that follow, Applicants respectfully submit that allowable subject matter has been identified and request that the interference be declared.

The Examiner stated that the Information Disclosure Statements filed in the

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parent cases will be considered once the references are submitted. To the extent the Examiner is requiring that Applicants resubmit references already submitted, this appears to be contrary to MPEP § 609, page 600-103. Applicants are not required to resubmit references to get them considered by the Examiner.

#### Rejections Under 35 U.S.C. § 112, first paragraph

Claims 24-31 were again rejected under 35 U.S.C. § 112, first paragraph, as allegedly containing subject matter not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventors had possession of the claimed invention at the time the application was filed. Applicants respectfully traverse this rejection for the reasons that follow. For the Examiner's convenience, the paragraphs are designated to correspond to the Examiner's paragraphs for the rejection remaining under this section.

a. and b. The Examiner again rejected claims 24 and 28 alleging that the specification does not provide support for the concept that only substitutions adjacent CDRs are envisaged. Claims 24 and 28 were previously amended to recite that each of the donor amino acids to be replaced is adjacent a CDR or contributes to antigen binding as determined by X-ray crystallography. During a telephone conference between the Examiner and the undersigned, the Examiner indicated that removal of the "adjacent to a CDR" language would obviate this rejection. Although Applicants disagree with the Examiner's reasoning, the claims have been amended herein to remove the recitation "adjacent to a CDR in the donor immunoglobulin sequence." As Applicants made clear in the previous response, the contribution to antigen binding need not be direct and, indeed, can be indirect, e.g., by affecting antigen binding site topology or inducing stable packing. Naturally, even for an indirect effect, the residues must be spatially near the CDR.

Applicants respectfully request that this rejection be withdrawn.

As the Examiner is aware, Applicants desire to provoke an interference between the present application and the Queen patent (U.S. Patent No. 5,585,089). Although Applicants are confident that the present claims are directed to the same invention as the

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Queen patent, new claim 49 is submitted herewith. New claim 49 recites the residues changed in example g341B disclosed in Applicants' specification as filed and, indeed, in GB8928874. Of the residues recited, all are either adjacent a CDR (49), or contribute to antigen binding as determined by X-ray crystallography (48, 71, 73, 76, 78, 88, and 91). Claim 49 is clearly allowable and clearly directed to the same invention as claim 1 of the Queen patent.

If at least one of the presented claims is not rejectable on any [] ground and is claiming the same invention as at least one claim of the patent, the examiner should proceed to initiate an interference.

MPEP 2307.02.

Applicants respectfully request that an interference between the present application and the Queen patent be declared.

#### Rejection Under 35 U.S.C. § 102(e)

Claims 24-31 were again rejected under 35 U.S.C. § 102(e) in view of the Queen patent. Applicants respectfully traverse this rejection. Again, the relevant inquiry as to whether the Queen patent is an appropriate reference under 102(e) is whether there is support for the claims *as allowed* in the priority applications, see MPEP 2136.03, p. 2100-85, citing *In re Wertheim*, 209 USPQ 554 (CCPA 1981), not simply whether the limitations can be found in the priority document. Regardless, Applicants maintain that the limitation "outside the Kabat and Chothia CDRs" is not found in, nor supported by, the priority documents.

This limitation requires that framework residues be changed outside both the Kabat and Chothia CDRs. This limitation is significant because the "CDRs" as defined by Kabat and Chothia differ.<sup>1</sup> Kabat defines CDR1 of the heavy chain as amino acids 31-35. Chothia defines the first hypervariable loop of the heavy chain as residues 26-32. As submitted in the Preliminary Amendment filed concurrently with the present application, the earliest Queen patent applications do not teach, either explicitly or implicitly, that the

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<sup>&</sup>lt;sup>1</sup>Notably, the Chothia reference refers to loops and carefully distinguishes these loops from the Kabat CDRs.

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framework residues to be replaced by donor must be outside both the Kabat and Chothia CDRs. Indeed, in the specification of the Queen patent as issued, changes were made to residues inside what the Queen patent denotes as CDRH1 of Chothia, i.e., inside a Chothia CDR. Considering that the "outside the Kabat and Chothia CDRs" limitation was required for patentability, the Queen patent cannot be entitled to a priority date earlier than the filing date of the application in which this limitation was first introduced, i.e., 12/19/90.

The Examiner argued in the Final Rejection that the limitation is taught, for example, on page 9, lines 1-5 of Queen priority Application Serial No. 07/290,975 ("Queen '975") and page 13, lines 1-8 of Queen priority Application Serial No. 08/310,252 ("Queen '252"). The passages cited by the Examiner, however, do not support the Examiner's position.

The passage on page 9, lines 1-5, of Oucen '975, contains a background discussion of the hypervariable regions, which it is therein stated are also called the CDRs. References by Kabat and Chothia are cited, and incorporated by reference. This is the only in passage in Queen '975 linking the Chothia reference to the term "CDRs." Other passages specifically referring to the CDRs as encompassed by the invention of Queen '975 make it clear that the CDRs are as defined by Kabat. For example, on page 10, line 2, the framework regions are defined in terms of Kabat. If the framework regions are defined in terms of Kabat, the CDRs must be as well. On page 21, the protocol for selecting which residues in the heavy chain are to be donor is set out. In lines 19-22, residues which fall in positions within a CDR "as defined by Kabat, [i.e.,] amino acids 31-35, 50-66, and 99-106" are to be donor. In lines 28-30, amino acid 30 is listed as a position immediately adjacent to a CDR to be changed to donor. Amino acid 30 is adjacent the heavy chain Kabat CDR, but within the heavy chain Chothia "CDR" as that term is used in Queen '975. The description of Figure 1 of Queen '975 indicates that it refers to the heavy chains and that the three CDRs are underlined (page 6, lines 1-6). In Figure 1, amino acids 31-35 are underlined for CDR1. Clearly, all specific references to CDRs were to Kabat CDRs only.

Further, in Figure 1, framework amino acids changed to donor are indicated by

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asterisks. Amino acids 27 and 30 are so designated. These residues are clearly within the Chothia "CDR." Neither the specification nor the claims require that more than one amino acid be changed to donor. Thus, Queen '975 teaches changing only one or two amino acids, and that both can be within the Chothia CDR. There is no support in Queen '975 for the limitation that the residues changed to donor must be outside both the Kabat and Chothia "CDRs."

Neither is there support for the limitation in Queen '252. In this instance, the passage relied upon by the Examiner for referring to Chothia is in the context of computer programs for computer models. There is no reference to CDRs. Contrastingly, the specific references to CDRs make it clear that the CDRs are as defined by Kabat. On page 8, lines 22-26, Queen '252 reports that the extents of the framework region and CDRs have been "precisely defined" by Kabat. On page 21, the protocol for selecting which residues in the heavy chain are to be donor is set out. In lines 20-22, residues which fall in positions within a CDR "as defined by Kabat, [i.e.,] amino acids 31-35, 50-66, and 99-106" are to be donor. In lines 27-29, amino acid 30 is listed as a position immediately adjacent to a CDR to be changed to donor. Amino acid 30 is adjacent the heavy chain Kabat CDR, but within the heavy chain Chothia "CDR" as that term is used in Queen '975.

Again, in Figure 1, framework amino acids changed to donor are indicated by asterisks. Amino acids 27 and 30 are so designated. These residues are clearly within the Chothia "CDR." Neither the specification nor the claims require that more than one amino acid be changed to donor. Thus, Queen "252 teaches changing only one or two amino acids to donor, and that both can be within the Chothia CDR. There is no support in Queen "252 for the limitation that the residues changed to donor must be outside both the Kabat and Chothia "CDRs."

Applicants respectfully request that this rejection be withdrawn.

The Proposed Count is the same as that submitted with the Amendment filed April 9, 1999. Applicants again identify all of the Queen patent claims 1-11 and Applicants' claims 24-31 and 49 as corresponding to the Proposed Count.

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In attached Appendix A, applicants illustrate the representative support in their present application disclosure for the limitations of their amended claim 24 and new claim 49. There is, of course, additional support in applicants' application omitted for the sake of brevity.

In attached Appendix B is a diagram of support in applicants' 1989 GB application for each limitation of applicants' amended claim 28 and new claim 49 which are also drawn to the same invention as proposed Count 1. Accordingly, applicants' effective filing date for their invention of Count 1 is 12/21/89, the filing date of their GB national application.

In view of the foregoing, Applicants respectfully submit that allowable subject matter has been identified and request that the Examiner declare an interference between the present application and the Queen patent. Specifically, the Examiner is requested to contact the undersigned at (215) 564-8352.

Respectfully submitted,

atho Jupille

Doreen Yatko Grujillo Registration No. 35,719

Date: January 19, 2000

WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS LLP One Liberty Place - 46th Floor Philadelphia, PA 19103 (215) 568-3100

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