

Filed on behalf of Patent Owner Genentech, Inc. by:

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UNITED STATES PATENT AND TRADEMARK OFFICE

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**BEFORE THE PATENT TRIAL AND APPEAL BOARD**

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PFIZER, INC.,  
Petitioner,

v.

GENENTECH, INC.,  
Patent Owner.

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Case IPR2017-01488  
U.S. Patent 6,407,213

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**PATENT OWNER'S SECOND UPDATED MANDATORY NOTICES  
UNDER 37 C.F.R. §42.8**

**PATENT OWNER'S SECOND UPDATED MANDATORY NOTICES**

Patent Owner Genentech, Inc. hereby file Second Updated Mandatory Notices pursuant to 37 C.F.R. § 42.8(b)(1).

**I. REAL PARTY IN INTEREST**

No change.

**II. RELATED MATTERS**

Pursuant to 37 C.F.R. § 42.8(b)(1), Patent Owner identifies the following updates to matters that relate or may relate to U.S. Patent Application No.

08/146,206, which issued as U.S. Patent No. 6,407,213:

- U.S. Patent No. 6,407,213 is the patent at issue in *Celltrion, Inc. v. Genentech, Inc.*, No. 18-cv-00274 (N.D. Cal.);
- U.S. Patent No. 6,407,213 is the patent at issue in *Genentech, Inc. v. Celltrion, Inc.*, No. 18-cv-00095 (D. Del.).

Patent Owner does not concede that any of the above-identified patents, applications, or proceedings, aside from U.S. Patent No. 6,407,213, would affect, or be affected by, a decision in the present IPR.

**III. COUNSEL**

No change.

IV. **SERVICE INFORMATION**

No change.

Respectfully submitted,

Date: February 2, 2018

By: /David L. Cavanaugh/  
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**CERTIFICATE OF SERVICE**

I hereby certify that, on February 2, 2018, I caused a true and correct copy of the following materials:

- Patent Owner's Second Updated Mandatory Notices Under 37 C.F.R. §42.8

to be served via electronic mail on the following attorneys of record:

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Patent Owner's Second Updated Mandatory Notices

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