## <u>CLAIMS 1, 2, 11-13, 22-26, 30-33, 35, 37, 39, 41, 43, 45, 47 AND 49 ARE PRESENTED</u> FOR EXAMINATION

Applicants' Amendment and Information Disclosure Statement filed December 8, 2004 have been received and entered into the application.

Accordingly, claims 1, 2, 11-13, 22-26, 30-33, 35, 37, 39, 41, 43, 45, 47 and 49 have been amended and claims 8-10, 18, 55-58 and 60-62 have been canceled. Also, as reflected by the attached, completed copy of form PTO-1449 (15 pages), the Examiner has considered the cited references.

In view of the above amendments, and the remarks by Applicants at pages 7-8 of their amendment, the objections and rejections set forth in the previous Office action dated September 8, 2004 are <u>withdrawn</u>.

Upon further review of the claims as well as a consideration of the state of the art as represented by the references newly cited by Applicants, the following new objection and claim rejection under 35 U.S.C. § 112, first paragraph are deemed proper.

#### Allowable Claims

Claims 12 and 13 are in condition for allowance.

### **Claim** Objection

Claim 1 is objected to as containing a grammatical error. The expression

"stabilize/reduce/iron accumulation" at lines 4-5 should read as ---stabilize/reduce iron

accumulation ---. Appropriate correction is required.

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### Claim Rejection - 35 USC § 112, First Paragraph

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1, 2, 11, 23-26, 30-33, 35, 37, 39, 41, 43, 45, 47 and 49 are rejected under 35

U.S.C. 112, first paragraph, because the specification, while being enabling for treating,

stabilizing or reducing/stabilizing the risk of iron-induced cardiac disease through the

administration of deferiprone or a physiologically acceptable salt thereof, does not reasonably

provide enablement for the prevention or reversal of such disease. The specification does not

enable any person skilled in the art to which it pertains, or with which it is most nearly

connected, to practice the invention commensurate in scope with these claims.

### Burden on the Examiner for Making a Rejection Under 35 U.S.C. § 112 First

### Paragraph

As set forth in In re Marzocchi, 169 USPQ 367, 370 (CCPA 1971):

"[A] [s]pecification disclosure which contains teaching of manner and process of making and using the invention in terms corresponding to the scope to those used in describing and defining subject matter sought to be patented must be taken as in compliance with enabling requirement of first paragraph of 35 U.S.C. 112 *unless there is reason to doubt the objective truth of statements contain therein which must be relied on for enabling support*; assuming that sufficient reason for such doubt exists, a rejection for failure to teach how to make and/or use will be proper on that basis, such a rejection can be overcome by suitable proofs indicating that teaching contained in specification is truly enabling." (emphasis added).

Here, the objective truth of the statement that iron-induced cardiac disease could be prevented or reversed is doubted because the "prevention" or "reversal" of iron-induced cardiac disease is tantamount to a cure for such disease, while the art (see the references relied upon

*infra*) and Applicants teach that, at best, iron-induced cardiac disease may be effectively treated through the administration of the claimed active agent. Also, the state of the art concerning the treatment of iron-induced cardiac disease with chelation therapy appeared to be that some degree of cardiac disease would occur. Support for this conclusion is Applicants' statement at page 34 of the present specification, lines 26-29 "Although effective iron chelation with desferrioxamine has been available for over 25 years, cardiac disease remains a frequent cause of morbidity and is still responsible for 70% of the deaths among patients with transfusion-dependent thalassemia patients (sic)."

It is noted that prevention and reversal do not necessarily equate to the term "cure". However, such is a proper interpretation because it is broad and reasonable as provided for in the MPEP at section 2111. ("Claims must be given their broadest reasonable interpretation consistent with the supporting description").

A prevention, reversal of or cure for iron-induced cardiac disease each circumscribe methods of absolute success. Absolute success is not reasonably possible with most diseases/disorders and more than a mere allegation that deferiprone is effective for preventing or reversing iron-induced cardiac disease would be necessary to satisfy the enabling requirement in the face of the doubt expressed by the Examiner which is based on the state of the art at the time of the invention.

Concerning the state of the art of treating iron-induced heart cardiac disease, Applicants have set forth in their response filed August 23, 2004 at page 19, last full sentence that "<u>The data</u> <u>reveals that iron induced heart disease occurs even in patients who are compliant with</u> <u>desferroxamine and even for those who do not have high levels of total body iron assessed by</u>

serum ferretin or liver iron concentrations."(emphasis original). This statement satisfies the Examiner's burden of doubt as expressed in In re Marzocchi, Id. as such establishes the difficulty known to the skilled artisan in the mere treatment of the disease. Logic would dictate that if such difficulty is encountered while trying to manage the disease while it exists in a patient, the prevention or reversal of such a disease, where absolute success would need to be demonstrated, would be therapeutic goals not readily or reasonably expected by the skilled artisan. In order to imbue the artisan with at least a reasonable expectation that the prevention or reversal of iron-induced cardiac disease could be obtained through the mere administration of deferiprone or a physiologically acceptable salt thereof, the artisan would need to review clinical data where such prevention or reversal was shown. Because such data is lacking in the present specification, and the Examiner cannot locate data showing such prevention or reversal, it is the Examiner's position that the artisan would not be enabled to practice the present invention in a manner commensurate in scope with the claims, which include both the prevention and reversal of iron-induced cardiac disease. The reference cited by Applicants, Liu, P. "Personal letter from Dr. Liu on reversal of the heart failure in a patient with thalassemia treated with deferiprone" (cited on page 8 of form PTO-1449) is noted in this regard, but is insufficiently detailed to diminish the propriety of the Examiner's position. That is, a determination of whether a cardiac disease was, in fact, reversed, would involve consideration of more than just an anecdotal report of normal ventricular function.

It is noted that claims 1-2 are not directed to the prevention or reversal of iron-induced cardiac disease. The claims do, however, include the requirement that "further iron accumulation in the heart" is prevented. It is doubted that such accumulation could actually be

prevented, i.e., no iron whatsoever accumulating in the heart following the initiation of deferiprone therapy, because therapy with a related drug, i.e., desferroxxamine, was not known to be totally effective in removing iron-induced cardiac disease in patients who are compliant with therapy (see Applicants' remarks relied on above, i.e., "<u>The data reveals...</u>") and because the cardiac disease is characterized as "iron induced", it may be reasonably presumed that some level of iron would continue to accumulate so as to further contribute to the disease.

Further supporting the Examiner's doubt as to the accuracy of Applicants' statements that iron-induced cardiac disease may be prevented or reversed through the administration of deferiprone or a physiologically acceptable salt thereof are the following statements which appear to indicate the efficacy of deferiprone in only reducing the risk of such disease, rather than actually preventing or reversing the disease:

"Applicant's (sic) have discovered that the administration of effective amounts of deferiprone results in patients being *at less risk of developing cardiac disease* than a patient treated with desferroxamine."(emphasis added) (Applicants' amendment filed August 23, 2004 at page 20, middle of the second paragraph); and

"This specification teaches an even greater *protective effect* than could be expected from overall body iron reduction alone." (emphasis added) (Applicants' amendment filed August 23, 2004 at page 20, third full paragraph).

Also, the data in the present specification at pages 22-39 has also been noted, but does not demonstrate that the administration of deferiprone resulted in the prevention or reversal of iron-induced cardiac disease. The statement that "the successful reversal of the iron-induced congestive heart failure in a patient participating in the study provides evidence for the

cardioprotective effect of this iron chelator" references the above mentioned Liu, P. "Personal letter from Dr. Liu on reversal of the heart failure in a patient with thalassemia treated with deferiprone" reference (cited on page 8 of form PTO-1449). This anecdotal report fails to establish, however, that the heart disease, was in fact, "reversed". The reference does not provide objective data upon which the author's opinion is based and it is further not believed that the results demonstrated in but a single patient provides meaningful information upon which to base a reasonable conclusion respecting the efficacy of deferiprone on iron-induced cardiac disease.

#### Summary

As the cited art and discussion above establish, practicing the claimed method in the manner disclosed by Applicants would not imbue the skilled artisan with a reasonable expectation that the iron-induced cardiac disease could be prevented or reversed or that iron accumulation in the heart could be prevented, i.e., no accumulation of iron whatsoever. In order to actually achieve these objectives, it is clear from the discussion above that the skilled artisan could not rely on Applicant's disclosure as required by 35 U.S.C. § 112, first paragraph. Given that the art fails to recognize, and Applicants have failed to demonstrate, that iron-induced cardiac disease could actually be prevented or reversed, the skilled artisan would be faced with the impermissible burden of undue experimentation in order to practice this embodiment of the claimed invention.

Accordingly, the claims are deemed properly rejected.

### Claim Rejection - 35 USC § 112, Second Paragraph

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 22-24, 30-33, 35, 37, 39, 41, 43, 45, 47 and 49 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

"The primary purpose of this requirement of definiteness of claim language is to ensure that the scope of the claims is clear so the public is informed of the boundaries of what constitutes infringement of the patent. A secondary purpose is to provide a clear measure of what applicants regard as the invention so that it can be determined whether the claimed invention meets all the criteria for patentability and whether the specification meets the criteria of 35 U.S.C. 112, first paragraph with respect to the claimed invention." (MPEP 2173).

The phrase "less critical" in the expression "less critical organs/tissue in the body" (claims 22-24) and "substantially" in the expression "substantially in the range of..." (claims 35, 37 and 39) are relative terms which renders the claim indefinite. The phrase "less critical" and "substantially" are not defined by the claim, the specification does not provide a standard for ascertaining the requisite degree, and thus one of ordinary skill in the art would not be reasonably apprised of the scope of the invention.

The terms "less critical" and "substantially" would invite subjective interpretations of whether or not a particular dosage amount of deferiprone or a physiologically acceptable salt thereof is included by or excluded from the present claims and it is thus the Examiner's position that the public would not be informed of the boundaries of what constitutes infringement of the present claims. Therefore, the claims fail to meet either the tenor or express requirements of 35 U.S.C. § 112, second paragraph and are properly rejected.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Raymond J. Henley III whose telephone number is 571-272-0575. The examiner can normally be reached on M-F, 8:30 am to 4:00 pm Eastern Time.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christopher Low can be reached on 571-272-0951. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Raymond J Henley III

Primary Examiner Art Unit 1614

March 22, 2005

	Application No.	Applicant(s)
Interview Summary	10/311,814	SPINO ET AL.
interview Summary	Examiner	Art Unit
	Raymond J. Henley III	1614
All participants (applicant, applicant's representative, PT	O personnel):	
(1) <u>Raymond J. Henley III</u> .	(3)	
(2) Neil H. Huches	(4)	
Date of Interview: 01 December 2004.		
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant	2) applicant's representativ	/e]
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e)⊠ No.	
Claim(s) discussed: All, generally.		
Identification of prior art discussed: None.		
Agreement with respect to the claims f) $\boxtimes$ was reached.	g) was not reached. h)	N/A.
Substance of Interview including description of the gener reached, or any other comments: <u>If all objections/rejectionallowance.</u>	al nature of what was agreed to the application of the application of	o if an agreement was on should be in condition for
(A fuller description, if necessary, and a copy of the amer allowable, if available, must be attached. Also, where no allowable is available, a summary thereof must be attach	copy of the amendments that	
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE INTERVIEW. (See MPEP Section 713.04). If a reply to the GIVEN ONE MONTH FROM THIS INTERVIEW DATE, O FORM, WHICHEVER IS LATER, TO FILE A STATEMEN Summary of Record of Interview requirements on reverse	he last Office action has alread R THE MAILING DATE OF TH T OF THE SUBSTANCE OF TI	y been filed, APPLICANT IS IS INTERVIEW SUMMARY
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Examiner Note: You must sign this form unless it is an	Pd	11/2-
Attachment to a signed Office action.	Examiner's sign	hature if required

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Taro Pharmaceuticals, Ltd. Exhibit 1004

#### **Summary of Record of Interview Requirements**

#### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

#### Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant

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- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by
  attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does
  not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

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### CITATION OF PRIOR ART

FORM PT0-1449	U.S. DEPARTMENT OF COMMERCE	ATTY. DOCKET NO.	APPLICATION SERIAL NO.
(REV. 8-83)	PATENT AND TRADEMARK OFFICE	PC-1834033	10/311,814
INFORMATIC	ON DISCLOSURE CITATION	APPLICANT	
(Use several sheet	a if necessary)	Apotex Inc.	
CUSTOME	R NO. 23607	FILING DATE 04/04/2003	GROUP ART UNIT 1614

### **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE

### FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	ATION
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		Iynn DM, Ward SE, Agnew JE et al. High Incidence a Patients Receiving Regular Transfusion and Iron ion. Acta Haematol 1990; 84:113-117.
		W, McLaren CE, Young NS, Tucker EE et al. Efficacy omplications of Iron Overload in Patients with 031(9):567-573.
		dy RW, Hilgartner MW. The Effect of Subcutaneous of Thalassemia Major: A Five-Year Study. Ann N Y
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	Addis A, Loebstein R, Koren G, Einarson TR. Meta-analytic review of the clinical effectiveness of oral deferiprone (Deferiprone). Eur J Clin Pharmacol 1999; 55:1-6.
	Grady RW, Hilgartner MW, Giardina PJV. Deferiprone: Its Effectiveness Relative to that of Desferrioxamine. 6 <sup>th</sup> International Conference on Thalassemia and the Haemoglobinopathies, Abstract #2. 1997.
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A	Olivieri NF, Belluzzo N, Muraca M, MacKenzie CC, Milone S, Polsinelli K et al. Evidence of Reduction in Hepatic, Cardiac and Pituitary Iron Stores in Patients with Thalassemia Major During Long-Term Therapy with the Orally Active Iron Chelating Agent Deferiprone. Blood 84[10 Suppl. 1], 109a. 1994.
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Page 7 of 15



### CITATION OF PRIOR ART

FORM PT0-1449	U.S. DEPARTMENT OF COMMERCE	PC-1834033	APPLICATION SERIAL NO.
(REV. 8-83)	PATENT AND TRADEMARK OFFICE		10/311,814
INFORMATIC	ON DISCLOSURE CITATION	APPLICANT	
(Use several sheet	s if necessary)	Apotex Inc.	
CUSTOME	R NO. 23607	FILING DATE 04/04/2003	GROUP ART UNIT 1614

### **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
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### FOREIGN PATENT DOCUMENTS

 DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS YES	NO NO

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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FORM PT0-1449	U.S. DEPARTMENT OF COMMERCE	ATTY. DOCKET NO.	APPLICATION SERIAL NO.
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CUSTOME	R NO. 23607	FILING DATE 04/04/2003	GROUP ART UNIT 1614

### **U.S. PATENT DOCUMENTS**

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## CITATION OF PRIOR ART

FORM PT0-1449 (REV. 8-83)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	PC-1834033	APPLICATION SERIAL NO. 10/311,814
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CUSTOME	R NO. 23607	FILING DATE 04/04/2003	GROUP ART UNIT 1614

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Page 11 of 15



### CITATION OF PRIOR ART

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(Use several sheet	s if necessary)	Apotex Inc.	
CUSTOME	R NO. 23607	FILING DATE 04/04/2003	GROUP ART UNIT 1614

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### CITATION OF PRIOR ART

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CUSTOME	R NO. 23607	FILING DATE 04/04/2003	GROUP ART UNIT 1614

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 DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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### CITATION OF PRIOR ART

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(REV. 8-83)	PATENT AND TRADEMARK OFFICE	PC-1834033	10/311,814
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(Use several sheets if necessary)		Apotex Inc.	
CUSTOME	R NO. 23607	FILING DATE 04/04/2003	GROUP ART UNIT 1614

### **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE

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INFORMATIO	IN DISCLOSURE CITATION is if necessary)	APPLICANT Apotex Inc.	
CUSTOME	R NO. 23607	FILING DATE 04/04/2003	GROUP ART UNIT 1614

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EXAMINER	281.12	DATE CONSIDERED 3/22/01
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FORM PT0-1449	U.S. DEPARTMENT OF COMMERCE	ATTY. DOCKET NO.	APPLICATION SERIAL NO.
(REV. 8-83)	PATENT AND TRADEMARK OFFICE	PC-1834033	10/311,814
INFORMATIC	IN DISCLOSURE CITATION	APPLICANT	
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CUSTOME	R NO. 23607	FILING DATE 04/04/2003	GROUP ART UNIT 1614

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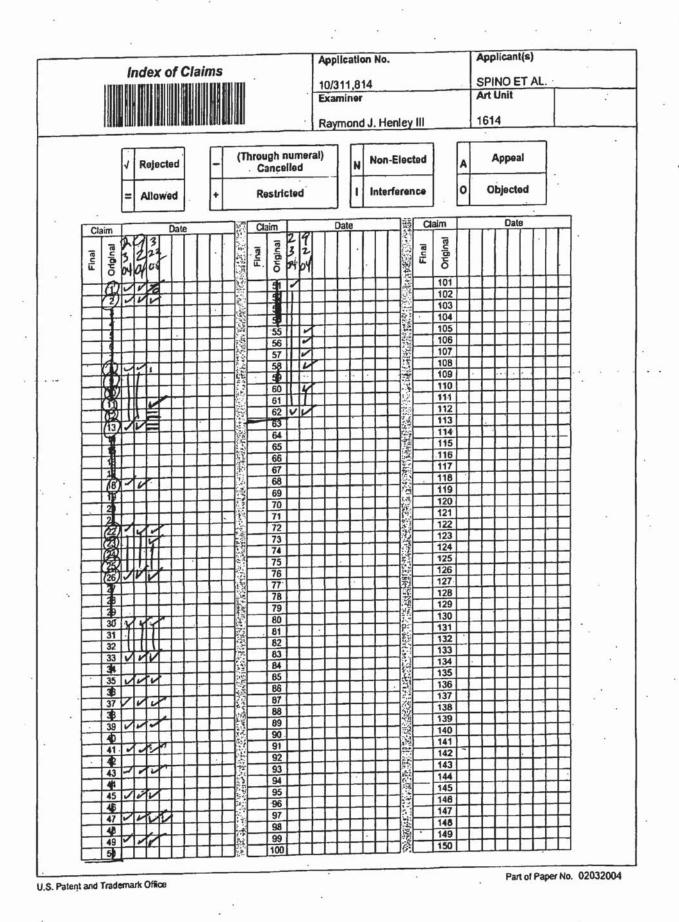
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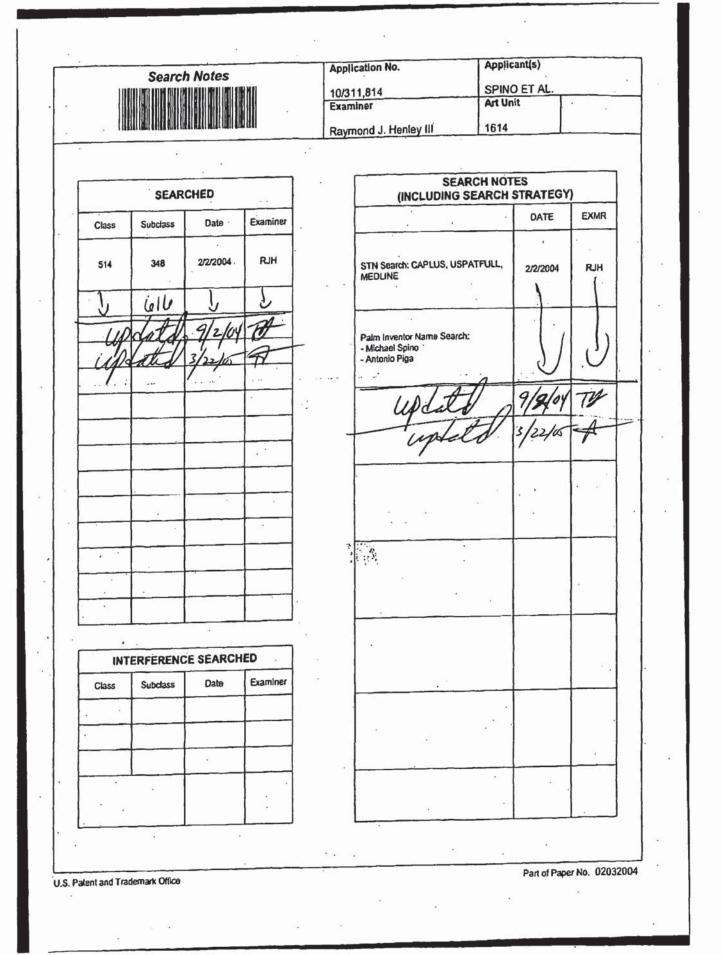
### FOREIGN PATENT DOCUMENTS

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### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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Appl. No. 10/311,814 Amdt. dated Dec. 6, 2004 Realy to Office Action of Sept. 8, 2004

#### IN THE UNITED STATES PATENT OFFICE

Carrate Serial No. 10/311,814

Our Ref.: PC-1834033 CUSTOMER NO. 23607

Applicant:

Apotex Inc.

Agent: Neil H. Hughes, P.Eng. c/o Ivor M. Hughes Barrister & Solicitor Patent & Trade Mark Agents Suite 200 175 Commerce Valley Dr. W. Thornhill, Ontario Canada L3T 7P6

Title:

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A NEW USE FOR DEFERIPRONE

Inventors: Michael Spino and Antonio Piga

Examiner: Raymond J. Henley III

Group Art Unit: 1614

Due Date: December 8, 2004

RESPONSE TO OFFICIAL ACTION OF SEPTEMBER 8, 2004

December 6, 2004

VIA COURIER

U.S. Patent and Trademark Office 220 20th Street South Customer Window, Mail Stop Amendment Crystal Plaza Two, Lobby, Room 1B03 Arlington VA 22202

Dear Sir:

This submission is in response to the outstanding Official Action dated September 8, 2004 and due for response December 8, 2004. Should any fee be required for this submission or if there is any deficiency or surplusage of fees required please obtain any such fees or deficiency or credit the surplusage to Deposit Account 08-3255 and advise Applicants' Agent.

Please enter the following submissions:

1/28/2005 FPATTERS 00000001 083255 10311814

1 FC:1202 4150.00 DA

Adjustment date: 06/08/2005 SDIRETA1 01/28/2005 FPAITERS 0000001 083255 10311814 01 FC:1202 4150.00 CR

Page 1 of 8

FEB-17-05 16:26 From: IVOR M. HUGHES BARR&SOL.



Ivor M. Hughes

Patent & Trade Mark Agents Canada, United States

Our Ref.: PT-1834033

December 19, 2002

VIA COURIER

The Commissioner of Patents UNITED STATES PATENT OFFICE 2011 South Clark Place Crystal Plaza 2, Room 1B03 Arlington, Virginia U.S.A. 22202

Dear Sir:

Re: National Phase Entry in the United States based on International Application Number PCT/CA01/00956 filed on June 28, 2001 of Apotex Inc. for A NEW USE FOR DEFERIPONE CUSTOMER NO. 23607 Due Date: December 30, 2002

Enclosed herewith please find the following documentation for filing with the Commissioner:

(a) Request Form PTO-1390 for National Entry into the United States of America;

- (b) Informal combined Declaration for Patent Application and Power of Attorney document of Michael Spino and Antonio Piga;
- (c) Copy of Published International Application Number WO02/02114 A1 published January 10, 2002, and International Search Report;
- (d) Copy of Notification of Transmittal of the International Search Report;
- (e) Copy of Notification of Transmittal of the International Preliminary Examination Report; and
- (f) Preliminary Amendment attaching Exhibits A and B.

The Claims that stand in this U.S. National Phase Patent Application are Claims 1, 2, 8 to 13, 18, 22 to 26, 30 to 33, 35, 37, 39, 41, 43, 45, 47, 49 and 51 to 62.

175 Commerce Valley Dr. W., Suice 200, Thornhill, Ontarto, Canada L3T 7P6 Phone: 905 771-6414 Fax: 905 771-6420 website: www.ivormhughes.com email: mail@ivormhughes.com

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#### T-530 P.04/08 Job-807

Barristers & Solicitors Ivor M. Hughes Rick Tuzi

Patent Agents Neil H. Hughes, P.Eng. Marcelo K. Sarkis, P.Eng. Wm. Kiut Sinden

#### FEB-17-05 16:27 From: IVOR M. HUGHES BARR&SOL.



Page 2

Also, enclosed along with this material please find a cheque in the amount of \$4,888.00 US dollars made payable to "The Commissioner of Patents". This sum includes \$924.00 for 11 independent claims over and above the three allowed per application, \$2,664.00 for 148 claims over and above the twenty claims allowed per application, \$2,664.00 for multiple dependent claims fee, \$890.00 for the International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO, and \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). If there is any surplus or deficiency, the Commissioner is authorized to credit the surplus or take the deficit from Applicant's Agent's Deposit Account No. 08-3255 and advise Applicants' Agent.

Also enclosed herewith is a stamped, self-addressed verification card which we request that you kindly acknowledge and return to this office at the earliest opportunity.

We thank the Commissioner for his cooperation in this regard and look forward to receiving filing data in this matter.

Respectfully submitted,

Neil H. Hughes, P.Eng. Registration No. 33,636 Agent for Applicant

NHH:mse Enclosures



2

We enclose a copy of our deposit account statement for January 2005, showing the transaction that occurred in error. If there are any questions please let me know.

Respectfully submitted Neil H. Haghes, P.Eng. Agent for Applicant Registration No. 33,636

NHH:md Enclosures

cc: Raymond J. Henley III (via facsimile)

FEB-17-05 16:26 From: IVOR M. HUGHES BARR&SOL.



# Ivor M. Hughes

Patent & Trade Mark Agents Canada, United States 9057716420

T-530 P.02/08 Job-807

Barristers & Solicitors Ivor M. Hughes Rick Tuzi Mark Ng

Patent Agents Neil H. Hughes, P.Eng. Marcelo K. Sarkis, P.Eng. Wm. Kitt Sinden

Our Ref.: PC-1834033

February 17, 2005

#### VIA FACSIMILE: 703-308-5077

Director of the United States Patent and Trademark Office Attention: Deposit Accounts One Crystal Park 2011 Crystal Drive, Suite 307 Arlington, Virginia, 22202

Dear Sir:

Re: Response to Examination Report Application Serial No. 10/311,814 filed on April 4, 2003 of Michael Spino and Antonio Spiga for A NEW USE FOR DEFERIPRONE Group Art Unit: 1614 Examiner: Raymond J. Henley III Deposit Account No. 08-3255 Customer No. 23607

On December 7, 2004, we filed a response to an Examination Report issued by Examiner Raymond J. Henley III. In that response, we requested that any additional fees be deducted from our deposit account, No. 08-3255. We have since been advised that the amount of \$4,150.00 was deducted from our deposit account. We contacted the Examiner for this application, Raymond J. Henley III, and he does not know why this amount was removed. As such, our understanding of patent practice, along with that of the Examiner, is that this amount which was deducted from the deposit account was done so in error and that we require the full amount along with the \$25.00 service charge be refunded. The necessary filing and claim fees of \$4888.00 were properly paid when the application was filed as demonstrated by the attached cover letter which accompanied the original national phase entry application. The most recent amendment did not add any claims to the case and therefore was clearly an error on the part of the United States Patent Office.

175 Commerce Valley Dr. W., Suite 200, Thornhill, Ontario, Canada L3T 7P6 Phone: 905 771-6414 Fax: 905 771-6420 website: www.lvormhughes.com email: mail@ivormhughes.com FEB-17-05 16:26 From: IVOR M. HUGHES BARR&SOL.

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PC-1834033

CUSTOMER NO. 23607

#### IN THE UNITED STATES PATENT OFFICE

Application Serial No. 10/311,814

Filing Date: April 4, 2003

Applicant: Apotex inc.

Agent:

Our Ref:

Neil H. Hughes Suite 200 175 Commerce Valley Drive West Thornhill, Ontario L3T 7P6, Canada

#### Title: A NEW USE FOR DEFERIPRONE

Inventors: Michael Spino and Antonio Spiga

Examiner: Raymond J. Henry III

Group Art Unit: 1614

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I hereby certify that this paper is being facsimile transmitted to the United States Patent Office Facsimile No. (703) 308-5077 on the date shown below, including:

1. Lett	er Dated February 17, 2005 with attachment	)
Signature:_	Neil M. Hughes	Date: February 17, 2005
	Registration No. 33,636 Agent for Applicant	*(

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T-530 P.07/08 Job-807

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DESIGNATED/ELECT	TO THE UNITED STATES ED OFFICE (DO/EO/US) G UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (If known, ace 37 CPR 1.5
TERNATIONAL APPLICATION NO. T/CA01/00956	INTERNATIONAL FILING DATE 28 June 2001 (28.06.01)	PRIORITY DATE CLAIMED 30 June 2000 (30.06.00)
LE OF INVENTION . A NEW US	SE FOR DEFERIPRONE	
PLICANT(S) FOR DO/EO/US	CHAEL SPINO and ANTONIA PI	IGA
plicant herewith submits to the United St	ates Designated/Elected Office (DO/EO/	US) the following items and other information:
This is a FIRST submission of item	v. –	
This is a SECOND or SUBSEQUE	NT submission of items concerning a fili	ing under 35 U.S.C. 371.
X This is an express request to begin in itoms (5), (6), (9) and (21) indicated	national examination procedures (35 U.S.) 1 balow.	C. 371(f)). The submission must include
The US has been elected (Article 3)	1).	
<ul> <li>A copy of the International Applicat</li> <li>a. X is attached hereto (require</li> </ul>	tion as filed (35 U.S.C. 371(c)(2)) d only if not communicated by the Intern	ational Bureau).
b. has been communicated by		· · · ·
c. 🔲 is not required, as the appl	lication was filed in the United States Rec	ceiving Office (RO/US).
	the International Application as filed (35	U.S.C. 371(c)(2)).
<ul> <li>a.  is attached hereto.</li> <li>b.  has been previously subm</li> </ul>	uitted under 35 U.S.C. 154(d)(4).	
X Amendments to the claims of the In		e 19 (35 U.S.C. 371(c)(3))
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	ever, the time limit for making such amen	adments has NOT expired.
d. have not been made and v		Article 10/25 11 9 C 371 (-)(3))
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An oath or declaration of the invent		
An English language translation of Article 36 (35 U.S.C. 371(0)(5)).	the annexes of the International Pretimin	ary Examination Report under PCT
Items 11 to 20 below concern docume		×
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	ording. A separate cover sheet in compli-	ance with 37 CFR 3.28 and 3.31 is included.
A preliminary amendment.	- 27 CED 1 26	ž.
An Application Data Sheet under	5/ GFR 1./0.	
A substitute specification.	an at a dama lawar	
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# PATENT APPLICATION SERIAL NO.

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Agent:

#### IN THE UNITEDSTATES PATENT OFFICE

Patent Application Serial NEECEINED CENTRAL FAX CENTER Applicants: Apotex Inc.

SEP 2 9 2005

#### Our Ref: PC-1834033 CUSTOMER NO. 23607

Neil H. Hughes, P. Eng. Neil H. Hughes Bardster & Sollcitor Patent & Trade Mark Agenta Suite 200, 175 Commerce Valley Dr. W. Thornhill, Ontario. L3T 7P6, CANADA

PAGE 1/1 \* RCVD AT 9/29/2005 3:02:56 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-6/34 \* DNIS:2738300 \* CBID:9057716420 \* DURATION (mm-ss):00-44

# BEST AVAILABLE COPY

Taro Pharmaceuticals, Ltd. Exhibit 1004

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# SEP 2 9 2005

#### IN THE UNITED STATES PATENT OFFICE

Application Serial No. 10/311,814

Applicant:

Apotex Inc.

# Our Ref.: PC-1834033 CUSTOMER NO. 23607

Agent:

Neil H. Hughes, P.Eng. c/o Ivor M. Hughes Barrister & Solicitor Patent & Trade Mark Agents Suite 200 175 Commerce Valley Dr. W. Thornhill, Ontario Canada L3T 7P6

Title: A NEW USE FOR DEFERIPRONE

Inventors: Michael Spino and Antonio Piga

Examiner: Raymond J. Henley III

Group Art Unit: 1614

Due Date: September 29, 2005

### RESPONSE TO OFFICIAL ACTION OF MARCH 29, 2005

September 29, 2005

VIA FACSIMILE (571-273-8300)

United States Patent and Trademark Office Customer Service Window, Mail Stop Amendment Randolph Building 401 Dulany Street Arlington VA 22314

Dear Sir:

This submission is in response to the outstanding Official Action dated March 29, 2005 due for response by June 29, 2005. Applicant encloses a Request for a three month extension of time for a large entity and Applicant authorizes the Commissioner to access Applicant's Agent's Deposit Account No. 08-3255 in the amount of \$1,020.00 U.S in payment of the three-month extension of time fee making the response due September 29, 2005. Should any additional fee be required for this submission or if there is any deficiency or surplusage of fees required please obtain any such fees or deficiency or credit the surplusage to Deposit Account 08-3255 and advise Applicants' Agent.

PAGE 6/19 \* RCVD AT 9/29/2005 3:47:22 PM [Eastern Daylight Time] \* SVR:USPTO EFXRF-6/27 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):06-54

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- 2 -

#### IN THE CLAIMS

The claims are amended for the purpose of expediting the prosecution of this case. No admission is made that the Examiner's allegations are correct and Applicant reserves its right to reintroduce any claims amended herein in a continuation, divisional or C.I.P. application.

Please amend the claims as follows:

1. (currently amended) A method of treating iron induced cardiac disease in a transfusion dependent patient experiencing an iron overload condition of the heart, said method comprising administering to the patient a therapeutically effective amount of deferiprone or a physiologically acceptable salt thereof sufficient to stabilize/reduce/iron accumulation in the heart resulting from being transfusion dependent. and preventing further iron-accumulation in-the-heart normally associated with iron induced cardiac disease.

2. (currently amended) A method of preventing iron induced cardiac disease in treating iron loading in the heart of a transfusion dependent patient experiencing an iron overload condition of the heart, said method comprising administering to the transfusion dependent patient a therapeutically effective amount of deferiprone or a physiologically acceptable salt thereof sufficient to treat prevent further iron accumulation in the heart normally associated with iron induced cardiac disease.

3-7 (cancelled)

8. (cancelled)

9. (cancelled)

10. (cancelled)

11. (currently amended) A method of <u>treating iron loading in the heart of a preventing iron induced</u> heart-disease in transfusion dependent patients risking iron overload of the heart, comprising the administration of a therapeutically effective amount of deferiprone or a physiologically acceptable salt thereof to the patient. sufficient to prevent iron induced cardiac disease.

12. (previously amended) A method of stabilizing iron induced heart disease in transfusion dependent patients having iron overload, comprising the administration of a therapeutically effective amount of

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41".

deferiprone or a physiologically acceptable salt thereof sufficient to treat iron burden in the heart normally associated with iron induced cardiac disease.

13. (previously amended) A method of reducing the iron burden in the heart associated with iron induced heart disease in transfusion dependent patients having iron overload, comprising the administration of a therapeutically effective amount of deferiprone or a physiologically acceptable salt thereof sufficient to reduce the iron burden of the heart normally associated with iron induced cardiac disease.

14-17 (cancelled)

18. (cancelled)

19-21 (cancelled)

22. (currently amended) A method of treating iron induced heart disease in a transfusion dependent patient having an iron overload condition of the heart comprising administering to the patient a therapeutically effective amount of deferiprone, or a physiologically acceptable salt thereof in order to reduce the iron stores in the heart in preference to general iron stores less critical organs/tissue in the body-, such as found in the liver.

23. (currently amended) A method of preventing iron induced heart disease in treating iron loading in the heart of a transfusion dependent patients having an iron overload condition of the heart comprising administering to the patient a therapeutically effective amount of deferiprone or a physiologically acceptable salt thereof to chelate the iron stores in the heart in preference to the iron stores in general iron stores less critical organs/tissue in the body, such as found in the liver.

24. (currently amended) A method of reversing iron induced heart disease in treating iron loading in the heart of a transfusion dependent patients having an iron overload condition of the heart comprising administering to the patient a therapeutically effective amount of deferiprone or a physiologically acceptable salt thereof to reduce the iron stores in the heart in preference to the iron stores in general iron stores less critical organs/tissue in the body, such as found in the liver.

25. (currently amended) A method of treatment, prevention, or reversal of iron induced heart disease in a transfusion dependent patient having an iron overload condition of the heart comprising

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- 4 -

administering to the patient a therapeutically effective amount of deferiprone or a physiologically acceptable salt thereof for the direct reduction/removal of intracellular iron stores in the heart.

26. (currently amended) A method to prevent/treat/reverse the occurrence of iron-induced cardiac disease in <u>a</u> transfusion dependent patients with an iron overload condition, comprising administering to said patient a therapeutically effective amount of deferiprone or a physiologically acceptable salt thereof, wherein deferiprone's efficacy is cardio preferential when compared with its ability to lower total iron stores in the body.

27-29 (cancelled)

30. (currently amended) The method of claims 1, 2, 11, 12, 13, 22, 23, 24, 25 or 26 wherein deferiprone or a physiologically acceptable salt thereof is administered orally for treating preventing the risk of iron induced heart disease in patients having iron overload.

31. (previously amended) The method of claims 1, 2, 11, 12, 13, 22, 23, 24, 25 or 26 wherein deferiprone or a physiologically acceptable salt thereof is administered orally for stabilizing the risk of iron induced heart disease in patients having iron overload.

32. (previously amended) The method of claims 1, 2, 11, 12, 13, 22, 23, 24, 25 or 26 wherein deferiprone or a physiologically acceptable salt thereof is administered orally for reducing the risk of iron induced heart disease in patients having iron overload.

33. (previously amended) The method of claims 1, 2, 11, 12, 13, 22, 23, 24, 25 or 26 wherein deferiprone or a physiologically acceptable salt thereof is present in an oral dosage form with other excipients.

34. (cancelled)

35. (currently amended) The method of claims 1, 2, 11, 12, 13, 22, 23, 24, 25 or 26 wherein the administration frequency to the patient of an amount of deferiprone or a physiologically acceptable salt thereof is daily and substantially in the range of up to 150mg per kilogram of body weight.

36. (cancelled)

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37. (currently amended) The method of claims 1, 2, 11, 12, 13, 22, 23, 24, 25 or 26 wherein the administration frequency to the patient of a dosage amount of deferiprone or a physiologically acceptable salt thereof is daily <del>and substantially</del> in the range of up to 125 mg per kilogram of body weight.

38. (cancelled)

39. (currently amended) The method of claims 1, 2, 11, 12, 13, 22, 23, 24, 25 or 26 wherein the administration frequency to the patient of a dosage amount of deferiprone or a physiologically acceptable salt thereof is daily and substantially in the range of 25mg to 75mg per kilogram of body weight.

40. (cancelled)

41. (previously amended) The method of claims 1, 2, 11, 12, 13, 22, 23, 24, 25 or 26 wherein deferiprone is administered in a manner selected from the group of intravenously, transdermally, rectally, orally, bucally, or aurally.

42. (cancelled)

43. (previously amended) The method of claims 1, 2, 11, 12, 13, 22, 23, 24, 25 or 26 wherein deferiprone is administered orally.

44. (cancelled)

45. (previously amended) The method of claims 1, 2, 11, 12, 13, 22, 23, 24, 25 or 26 wherein deferiprone or a physiologically acceptable salt thereof is in a sustained release formulation.

46. (cancelled)

47. (previously amended) The method of claims 1, 2, 11, 12, 13, 22, 23, 24, 25 or 26 wherein deferiprone has a cardio preferred/selective function when compared to desferrioxamine or other alternative chelating agents utilized in patients suffering iron overload.

48. (cancelled)

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49. (previously amended) The method of claims 1, 2, 11, 12, 13, 22, 23, 24, 25 or 26 wherein desferrioxamine is administered in addition to deferiprone.

50. (cancelled)

51. (cancelled)

52. (cancelled)

53. (cancelled)

54. (cancelled)

55. (cancelled)

56. (cancelled)

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61. (cancelled)

62. (cancelled)

PAGE 11/19 \* RCVD AT 9/29/2005 3:47:22 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-6/27 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):06-54

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### REMARKS

The Examiner is thanked for the time spent during the telephone interview with Applicant's agent, Neil H. Hughes, in discussing the status of this application and for his suggestions and co-operation with respect to providing the IDS documents on a CD.

The Examiner has stated in his action of March 29, 2005 that claims 12 and 13 are in condition for allowance. The Examiner is thanked for this information.

It is also noticed the Information Disclosure filed December 8, 2004 has been received and entered into the application file.

Examiner has objected to Claim 1 as it contains a grammatical error with regard to "reduce/iron". Claim 1 has been amended to comply with the Examiner's suggestion.

Claims 1, 2, 11, 22-26, 30-33, 35, 37, 39, 41, 43, 45, 47 and 49 now stand rejected under 35 U.S.C. 112, first paragraph, because the specification allegedly does not reasonably provide enablement for the prevention or reversal of such disease. The claims therefore have been amended to overcome the Examiner's objections and withdrawal of said rejection is respectfully requested. Applicant has determined that most assuredly the specification supports treatment of iron induced cardiac disease if not prevention/reversal although no admission is made that these terms are not supported. Black's Law Dictionary, copy attached of page 1502, defines treatment as follows:

"A broad term covering all steps taken to effect a cure of an injury or disease; including examination and diagnosis as well as application of remedies."

It is submitted therefore that the amendments made to the claims are proper and set out the true intention of the specification namely the treatment of accumulation of iron in the heart of a transfusion dependent patient, consistent with the definitions provided in the claim set as amended.

It is well understood in the art that patients suffering from thalassemia in order to survive <u>must</u> <u>continually</u> undergo blood transfusions. These regular blood transfusions cause an increase in overall body iron load in transfusion dependent patients, including iron loading of the heart.

For a transfusion dependent patient the additional iron load resulting from blood transfusions must be chelated to prevent accumulation in the heart cells. The preferential chelation of iron in heart cells by deferiprone as opposed to general loading of iron in the body, as reflected by the liver which is the

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major organ for storing excess iron, has been shown more recently in Anderson et al. (2002) which is enclosed herewith for the Examiner's convenience. Clearly deferiprone has been shown more effective then desferrioxamine by this leading article. The article was published after the priority date of the present application (included herewith in a Supplementary IDS): Anderson, L.J. et al., "Comparison of effects of oral deferiprone and subcutaneous desferrioxamine on myocardial iron concentrations and ventricular function in beta-thalassemia", The Lancet, Vol. 360, August 17, 2002, pp. 516-520.

It is stated therein: at page 519, second column, centre of page:

"...Thus, direct myocardial iron measurements are essential. Our results indicate significantly lower myocardial iron content and a lower proportion of patients with excess myocardial iron in the deferiprone group than in the desferrioxamine controls, combined with better left-ventricular ejection fractions. These findings suggest a cardioprotective effect of deferiprone, arising despite the higher liver iron contents in the deferiprone group. These results show that deferiprone is an effective chelator for myocardial iron, and emphasises the importance of the variation between organs in iron concentrations and most notably the poor correlation between liver and myocardial iron.<sup>9,27</sup>..."

It is also stated at page 516, first column, last paragraph:

"Conventional chelation treatment with subcutaneous desferrioxamine does not prevent excess cardiac iron deposition in two-thirds of patients with thalassaemia major, placing them at risk of heart failure and its complications. Oral deferiprone is more effective than desferrioxamine in removal of myocardial iron."

Clearly the teachings of Anderson above-mentioned support the position that deferiprone is able to reverse heart disease. Please refer to Figure 2 and the related description. However Applicant has carefully amended the claim set to eliminate use of the terms prevention or reversal in order to move the case forward and for no other purpose. Applicant has therefore used "treatment" in place thereof, as found in the attached definition. With respect to the Examiner's comments at the bottom of page 5 to the top of page 6, Anderson clearly supports Applicant's position that "reversal" is possible (see Figure 2) contrary to the Examiner's assertions. Applicant also wishes to point out to the Examiner that deferiprone and desferrioxamine are not related drugs. Their chemical structures, physical properties and mode of action are different. But they both chelate iron. However, where and how they accomplish this task is completely different for both drugs. It is the mode of action of deferiprone which sets it apart in relation to heart disease when compared to desferrioxamine.

PAGE 13/19 \* RCVD AT 9/29/2005 3:47:22 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-6/27 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):06-54

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The Examiner is directed to the critical difference in the mode of action between deferiprone and desferrioxamine as specified in Anderson. Indeed, deferiprone is a much smaller molecule which can enter heart cells and remove iron therefrom, something that cannot be readily accomplished by desferrioxamine because it is, a much larger molecule. Again Figure 2 of Anderson on page 518, clearly illustrates removal of iron and normalization/improvement of heart function in two patients on deferiprone. Desferrioxamine may, when given as an intravenous treatment, 24 hr/day in high doses, reverse heart disease in some patients which clearly is not practical, but the condition typically returns when the patient is placed back on standard subcutaneous therapy, 8-12 hr/day. Even in this case, the iron removal from the heart is secondary to total body iron reduction, not a preferential cardioprotective effect. Patients with continuous IV therapy of desferrioxamine are also exposed to a whole new set of risks, such as life-threatening infection from the "portacath" used to infuse the drug.

Applicant has discovered that deferiprone acts preferentially in removing iron from heart cells. This preference of deferiprone to heart cells results in "treatment" of iron induced heart disease by using deferiprone as an iron chelator in preference to desferrioxamine.

Referring to the Examiner's statements on at page 5 of the Office action:

"Because such data is lacking in the present specification, and the Examiner cannot locate data showing such prevention or reversal, it is the Examiner's position that the artisan would not be enabled to practice the present invention in a manner commensurate in scope with the claims, which include both the prevention and reversal of iron-induced cardiac disease."

It is submitted that the teachings of Applicant's specification, Liu, and Anderson support the claim set as amended herewith as well as the prior claim set. It is the prevention of iron accumulation that would otherwise lead to heart failure and the reduction in iron accumulation and loading of the heart in a transfusion dependent patient that is a focus of Applicant's invention which results in the prevention of heart disease or the reversal of heart disease. This in fact may be a result of "treating" the patient according to the methods of the amended claims. The term "treatment" is presumed to follow the definition found in "Blacks Law Dictionary" enclosed herewith.

Applicant also provides herewith the following documents for the Examiner's information in the supplementary IDS.

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- Butler, Craig, New York Academy of Sciences Symposium, The Eighth Cooley's Anemia Symposium was a valuable resource for medical professionals and patients: May 17, 2005.
- U.S. Newswire, Cooley's Anemia Foundation Presents Symposium on Iron Overload and Cardiac Disease: New Interventions, December 10, 2004

With reference 1 the following comments are found from Dr. Caterina Borgna-Pignatti:

In a speech entitled "Survival and Complications in Thalassemia," Caterina Borgna-Pignatti, MD from the University of Ferrara in Italy, discussed a study involving more than 500 patients from 7 centers in Italy who were treated with deferiprone (L1) or deferoxamine (Desferal) during the past 9 years and who were monitored for heart disease and mortality. In this retrospective study, when the patients who used deferiprone were compared to those who used only deferoxamine, it was found that heart disease and death were significantly more frequent in those who had not received deferiprone. This was true even though the deferiprone-treated group was more heavily iron loaded prior to starting the drug. The majority of the deaths on the deferoxamine-treated group were cardiac related.

With reference 2 the following further comments were made:

#### i) Dr. John Wood

Dr. John Wood, cardiologist at Children's Hospital of Los Angeles (CHLA), discussed recent advances in MRI that have led to the development of a cardiac MRI method employing the T2 technique that enables one to assess iron-loading in the heart. He noted that using this methodology, CHLA and several other centers around the world have found that there is a lack of correlation between liver iron and heart iron concentrations, limiting the usefulness of liver iron concentrations as the sole predictor of heart disease. Most importantly, he stated that in the US it is fairly common for patients with "acceptable" levels of iron in the liver to have increased concentrations of iron in the heart.

#### ii) Dr. Dudley Pennell

Dr. Dudley Pennell, professor of cardiology, Royal Brompton Hospital, London, reported on the results generated from the use of cardiac MRI T2 technique in thalassemia patients. By applying this sensitive and reproducible technique, a team of cardiologists and thalassemia experts reviewed the cardiac MRI T2 results of patients treated long-term with deferiprone and found that most of them had normal levels of iron in the heart, compared to a matched group of patients who had remained on desferrioxamine, most of whom had high levels of iron in the heart.

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Applicant believes that the Examiner's requirement for supporting clinical data has been fulfilled by the submission of the above-mentioned post priority filing date papers and the comments of Doctors Anderson, Wood, Pennell and Borgna-Pignatti contained therein.

In light of the above, Applicant respectfully requests favourable consideration by the Examiner of the amended claim set provided herewith.

The Examiner also indicated that claims 1 and 2 though not directed to the prevention or reversal of iron-induced cardiac disease include the statement "further iron accumulation in the heart" is prevented. Applicant submits that the specification and the teachings of Liu, Anderson and Pennell fully supports that such accumulation could actually be prevented. Applicant's amendments and arguments provided above, set out a proper response to this rejection, and withdrawal of the Examiner's rejection is respectfully requested.

Applicant respectfully has provided suitable proof to address the Examiner's allegations under 35. U.S.C. 112, first paragraph that there is no enablement for prevention or reversal of an iron induced cardiac disease. However, it is noted that the Examiner has under the same portion of the statute agreed that treating, stabilizing and reducing/stabilizing the risk of iron induced cardiac disease is in fact enabled in the disclosure.

However, since transfusion dependent patients are transfused on a regular basis, namely about once every 2 to 3 weeks, iron loading of the body and the heart is a continuing reality. The transfusions must be continued in order for the patient to have a sufficient level of hemoglobin to survive. But clearly Applicant's discovery has in fact resulted in a new regimen being utilized by cardiac practitioners, which was not the case prior to this discovery. There is no cure of the dependency of these patients on transfusions, unless a very risky bone marrow transplant takes place. But Applicant has discovered and disclosed that the use of deferiprone as an iron chelator will achieve significant results and in fact as per the disclosure and Anderson and specifically Figure 2 is capable of reversing the amount of iron accumulated in the heart.

The Examiner on page 4 of his action mistakenly quotes sections from Applicant's prior statements in the present application relating to desferrioxamine and not to deferiprone. It is the long felt need established by the use of desferrioxamine that has been addressed by the use of deferiprone as set out in the amended claim set. No conclusions by the Examiner therefore can be reached with respect to deferiprone by any statements made in relation to desferrioxamine since the two compositions are not comparable with respect to iron chelation abilities for the heart. The Examiner has also quoted at the

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bottom of page 4 of his action with respect to iron induced cardiac disease occurring in patients who are compliant with desferrioxamine. That is because desferrioxamine in fact has little cardiac preferential action unlike deferiprone. Therefore the Examiner is attempting to misapply this statement to reach a general conclusion with respect to his doubt with respect to the efficacy of deferiprone. The present disclosure provides information to one skilled in the art where they would be motivated to achieve success in carrying out Applicant's methods in using deferiprone for affecting iron loading of the heart.

The unique cardioprotective effect of deferiprone most likely is effected by a combination of mechanisms. One is the intracellular removal of iron, bound to deferiprone, which in its absence remains within the cell. This is possible because of the case of intracellular penetration of deferiprone and its ability to transport iron out of the cell. Another mechanism is that the iron that remains within the cell is bound by deferiprone and is prevented from generating free-radical induced damage to the myocyte. The work of Hasinoff's group is revealing in this regard. Hasinoff, after studying the effect of deferiprone in neonatal rat cardiomyocytes, states.

"Together these results suggest that deferiprone may protect against doxorubicin-induced damage to myocytes by displacing iron bound to doxorubicin, or chelating free or loosely bound iron, thus preventing site-specific iron-based oxygen radical damage." (Barnabee et al. Free Radical Biology & Medicine, Vol. 33, No. 2, pp. 266–275, 2002).

Notably, this is not just a function of any iron chelator, not even any chelator which can enter the cell, as revealed by Hasinoff in a related study, this time using ICL670. Here Hasinoff stated, "ICL670A, in contrast, depending upon the concentration, synergistically increased or did not affect the cytotoxicity of doxorubicin. This occurred in spite of the fact that ICL670A quickly and efficiently removed iron(III) from its complex with doxorubicin, and rapidly entered myocytes and displaced iron from a fluorescence-quenched trapped intracellular iron-calcein complex." (Hasinoff et al. Free Radical Biology & Medicine, Vol. 35, No. 11, pp. 1469–1479, 2003).

To clarify Applicant's meaning with respect to the term "prevention" it is intended that the iron loading on the heart of a transfusion dependent patient would ultimately affect the function of the heart to a level beyond that which is normal. It is submitted that the use of deferiprone will prevent abnormal functioning of the heart because of the removal of the iron stores therein. One recent article refers to the cleansing of a heart to a normal level to the point where almost no iron accumulation is present. Should the Examiner require further submissions in this regard this will be provided.

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Applicant therefore takes exception to the Examiner's comments for the above-mentioned reasons and asks for full reconsideration. Just because the therapy with desferrioxamine failed in relation to its cardioprotective value does not mean the same is the case with deferiprone, as the Examiner had incorrectly stated at the bottom of page 5 and continuing on to the top of page 6 of his action. The whole point of Applicant's discovery and hence its invention reflects the long felt need and the failure of desferrioxamine to be cardio effective in many patients.

Clearly the present disclosure provides one skilled in the art the ability to practice the inventions set out in the claim set without the need for undue experimentation as alleged by the Examiner. The disclosure sets out in clear, precise and exact terms what one skilled in the art must do in order to carry out the regimen of applying the methods taught therein. If one skilled in the art will carry out that regimen the success reported will also be achieved. This has been reflected in the post priority filing date documents following the present application in the peer reviewed literature.

Claims 22-24, 30-33, 35, 37, 39, 41, 43, 45, 47 and 49 now stand rejected under 35 U.S.C. 112, second paragraph, as being allegedly indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. The Examiner states that the phrase "less critical" in the expression "less critical organs/tissue in the body" (claims 22-24) and "substantially" in the expression "substantially in the range of …" (claims 35, 37 and 39) are relative terms which render the claims indefinite. Applicant has therefore amended claims 22-24 by deleting the expression "less critical" organs and replaced it with "general iron stores in the body, such as found in the liver". The term "substantially" has also been removed from the amended claims. The amendment therefore overcomes the Examiner's rejection, and full reconsideration is respectfully requested.

Applicant submits that claims 30-33 as amended identifies the treatment, stabilization and reduction of the risk of heart disease in patients having iron overload. In light of the arguments and amendments presented with this response, Applicant submits that the Examiner's concerns are overcome and full reconsideration is requested.

In view of the above submissions, Applicant respectfully submits that this application is now in condition for allowance and the same is solicited at the Examiner's earliest convenience.

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If the Examiner has any questions, he is requested to contact Neil H. Hughes at (905) 771-6414.

Respectfully submitted, Neil H. Hughes, P.Eng. Registration No. 33,634 Agent for the Applicant

NHH/lvp Encls. IDS

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# RECEIVED CENTRAL FAX CENTER

# SEP 2 9 2005

PETITION	FOR EXTENSION OF TIME UNDER	37 CFR 1.136(a)	Docket Number (Option	isi)
-	FY 2005	PC-1834033		
Application	pursuant to the Consolidated Appropriations Act Number 10/311,814	, 2005 (M.R. 4818).)	Filed April 4,	2003
	NEW USE FOR DEFERIPRONE			
	1614		Examiner Raymon	d J. Henley I
	quest under the provisions of 37 CFR 1.13	l6(a) to extend the p		
The reques	ted extension and fee are as follows (cheo	k time period desire	ed and enter the appropria	te fee below):
		Fee	Small Entity Fee	_
	One month (37 CFR 1.17(a)(1))	\$120	\$60	\$
	Two months (37 CFR 1.17(a)(2))	\$450	\$225	s
X	Three months (37 CFR 1.17(a)(3))	\$1020	\$510	<u>\$ 1020.00</u>
	Four months (37 CFR 1.17(a)(4))	\$1590	\$795	\$
	Five months (37 CFR 1.17(a)(5))	\$2160	\$1080	\$
Applica	nt claims small entity status. See 37 CFR	1.27.		
A che	k in the amount of the fee is enclosed	i.		
Paym	ent by credit card. Form PTO-2038 is	attached.		
The D	rector has already been authorized to	charge fees in th	is application to a Depo	sit Account.
WARNI	it Account Number 08-3255 NG: Information on this form may become p credit card information and authorization o	ublic. Credit card Inf	ave enclosed a duplicat formation should not be incl	
I am the	applicant/inventor.			
r am me	assignee of record of the entir			
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	X attorney or agent of record. Real attorney of agent under 37 CF Registration pumber if acting und	FR 1.34.	<u>Sept</u>	27/05 Date
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	X attorney or agent of record. Re attorney of agent under 37 CF Registration pumber if acting und Signature Neil H. Hughes Typed or printed name	FR 1.34. 9737 CFR 1.34	<u>905-771</u> . Teleph	-6414 one Number
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Taro Pharmaceuticals, Ltd. Exhibit 1004

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Applicants:

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SEP 2 9 2005

# IN THE UNITEDSTATES PATENT OFFICE

Patent Application Serial No.: 10/311,814

Apotex Inc.

# Our Ref: PC-1834033 CUSTOMER NO. 23607

Agent: Neil H. Hughes, P. Eng. c/o Ivor M. Hughes Barrister & Solicitor Patent & Trade Mark Agents Suite 200, 175 Commerce Valley Dr. W. Thornhill, Ontario. L3T 7P6, CANADA

Title: A NEW USE FOR DEFERIPRONE

Inventors: Michael Spino and Antonio Piga

Examiner: Raymond J. Henley III

Group Art Unit: 1614

Due Date: September 29, 2005

No. of Pages including this sheet: 19 (PART 1)

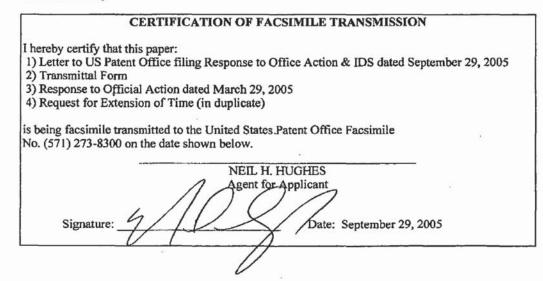
#### DELIVERED TO FACSIMILE NO. (571-273-8300)

September 29, 2005

Commissioner of Patents Customer Service Window, Mail Stop Amendment Randolph Building 401 Dulany Street Arlington, VA 22314 OIPE/IAP

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Dear Mr. Henley:



PAGE 1/19 \* RCVD AT 9/29/2005 3:47:22 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-6/27 \* DNIS:2738300 \* CSID: \* DURATION (mm-s9):06-54

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Barrister & Solicitor Patent & Trade Mark Agents Canada, United States

Ivor M. Hughes

Our Ref.: PC-1834033

September 29, 2005

# VIA FACSIMILE (571-273-8300)

United States Patent and Trademark Office Customer Service Window, Mail Stop Amendment Randolph Building 401 Dulany Street Arlington VA 22314

Dear Sir:

# Re: United States Patent Application No. 10/311,814 of Michael Spino and Antonio Spiga for A NEW USE FOR DEFERIPRONE Due Date: September 29, 2005

Please find enclosed herewith the following:

- 1. Transmittal Form;
- Petition for Extension of Time Under 37 CFR 1.136(a);
- 2. Response to Examination Report dated March 29, 2005; and
- Information Disclosure Statement with authorization to access deposit account No. 08-3255 for \$180.00 US

If there should occur an overpayment of fees in respect of this submission, the Commissioner is authorized to access Deposit Account Number 08-3255 to make the appropriate adjustments and advise Applicant's agent.

Also enclosed herewith is a stamped, self-addressed verification card which we request that you kindly acknowledge and return to this office at the earliest opportunity.

We thank the Commissioner for his cooperation in this regard.

Respectfully submitted, Neil H. Hughes, P.Eng. Registration No. 33,636 Agent for Applicant

NHH:lvp Encls.

175 Commerce Valley Dr. W., Suite 200, Thornhill, Ontario, Canada L3T 7P6 Phone: 905 771-6414 Fax: 905 771-6420 website: www.ivormhughes.com email: mail@ivormhughes.com PAGE 2/19 \* RCVD AT 9/29/2005 3:47:22 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-6/27 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):06-54

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Barristers & Solicitors Ivor M. Hughes

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Rick Tuzi Patent Agents Neil H. Hughes, P.Eng. Marcelo K. Sarkis, P.Eng. Wm. Kitt Sinden Samuel T. Tekie, P.Eng. 09/29/2005 15:46 FAX

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TRANSMITTAL	Filing Date	April 4, 2003
FORM	First Named Inventor	Michael Spino
	Art Unit	1614
	Examiner Name	Raymond J. Henley III
(to be used for all correspondence after Initial fili Total Number of Pages In This Submission 54	Attomey Docket Number	PC-1834033
	ENCLOSURES (Check a	ll that apply)
Fee Transmittal Form	<u> </u>	After Allowance Communication to
Fee Attached	Drawing(s)     Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences
Amendment/Reply After Final After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocati Change of Correspondence TermInal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on C Remarks	Address Cher Enclosure(s) (please identify below):
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te September 29, 2005	0	Reg. No. 33,636
ereby certify that this correspondence is bei		SION/MAILING TO or deposited with the United States Postal Service with for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or
rped or printed name		Date

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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SEP 2 9 2005

## IN THE UNITED STATES PATENT OFFICE

Application Serial No. 10/311,814

Applicant:

Apotex Inc.

Our Ref.: PT-1834033 CUSTOMER NO. 23607

> Neil H. Hughes, P.Eng. Ivor M. Hughes, Barrister & Solicitor Patent & Trademark Agents Suite 200, 175 Commerce Valley Dr. W. Thornhill, Ontario Canada L3T 7P6

Title:

A NEW USE FOR DEFERIPRONE

Inventors: Michael Spino and Antonio Piga

Group Art Unit: 1614

#### INFORMATION DISCLOSURE STATEMENT

September 28, 2005

VIA FACSIMILE (571-273-8300)

U.S. Patent and Trademark Office Customer Service Window, Mail Stop Amendment Randolph Building 401 Delany Street Alexandria, VA 22314

Dear Sir:

Applicants and the undersigned are aware of "patents, publications, or other information" which they believe may be material to the examination of the above-identified application. Applicants have attached Form PTO/SB/08b pursuant to 37 C.F.R. §§ 1.97-1.99 and to the duty of disclosure set forth in 37. C.F.R. § 1.56.

Applicant authorizes the Commissioner to access Applicant's Agent's Deposit Account No. 08-3255 in the amount of \$180.00 US in payment of the required fee for filing an Information Disclosure Statement. If there is any deficiency or surplusage of the fee required for this application, please obtain any such deficiency or credit the surplusage to Deposit Account 08-3255 and advise Applicants' Agent.

Although this Information Disclosure Statement identifies references which may be "material," it is not intended to constitute an admission that any patent, publication, or other information referred to is "prior art" (within the meaning of 35 U.S.C. §102 and §103) as to the 09/30/2005 SDIRETA1 00000058 083255 10311814

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-2-

invention disclosed and claimed in this application unless specifically designated as such. Moreover, no representation is intended as to the relative relevance of any portion of the references or as to the relevance among references, whether cited in this Statement or elsewhere.

In accordance with 37 C.F.R. §1.97(b), the filing of this Information Disclosure Statement shall not be construed to mean that a novelty search has been made or that no other information which may be material (as defined in 37 C.F.R. §1.56(a)) exists.

- 1. Anderson, Lisa J, et al., Comparison of effects of oral deferiprone and subcutaneous desferrioxamine on myocardial iron concentrations and ventricular function in betathalassaemia: Lancet 2002; 360: 516-520; and
- 2. Black's Law Dictionary, page 1502.
- Butler, Craig, New York Academy of Sciences Symposium, The Eighth Cooley's Anemia 3. Symposium was a valuable resource for medical professionals and patients; Cooley's Anemia Foundation Website: May 17, 2005;
- 4. U.S. Newswire, Cooley's Anemia Foundation Presents Symposium on Iron Overload and Cardiac Disease: New Interventions: December 10, 2004;
- 5. Barnabee et al., Deferiprone Protects Against Doxorubicin-Induced Myocyte Cytotoxicity, Free Radical Biology & Medicine 2002; Vol. 33, No. 2: pp. 266-275; and
- 6. Hasinoff et al., The Oral Iron Chelator ICL670A (Deferasirox) Does Not Protect Myocytes Against Doxorubicin, Free Radical Biology & Medicine 2003; Vol. 35, No. 11: pp. 1469-1479.

Full consideration of the material presented is appreciated.

Respectfully submitte Neil H. Hyghes, P.Eng. Registration No. 33,636

Agent for Applicant

NHH:lvp Encls.

PAGE 4/40 \* RCVD AT 9/29/2005 3:10:42 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-6/28 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):23-24

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of 1

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SEP 2 9 2005

Raymond J. Henley III

PC-1834033

PTC/SB/08B (07-05) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO	Complete if Known				
	Application Number	10/311,814			
INFORMATION DISCLOSURE	Filing Date	April 4, 2003			
STATEMENT BY APPLICANT	First Named Inventor	Michael Spino			
	Art Unit	1614			
(Use as many shorts as necessary)	Examiner Name	Raymond   Herley III			

Attorney Docket Number

NON PATENT LITERATURE DOCUMENTS	
Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
ANDERSON, Lisa J, et al., Comparison of effects of oral deferiprone and subcutaneous desferrioxaminein beta-thalassaemia: Lancet 2002; 360: pp. 516-520	
Black's Law Dictionary, p. 1502	
Butler, Craig, New York Academy of Sciences Symposium, The Eighth Cooley's Anemia Symposiumfor medical professionals and patients; CAF Website; May 17, 2005	
U.S. Newswire, Cooley's Anemia Foundation Presents Symposium on Iron Overload and Cardiac Disease: New Interventions: December 10, 2004	
Barnabee et al., Deferiprone Protects Against Doxorubicin-Induced Myocyte Cytotoxicity, Free Radical Biology & Medicine 2002; Vol. 33, No. 2: pp. 266–275	
Hasinoff et al., The Oral Iron Chelator ICL670A (Deferasirox) Does Not Protect Myocytes Against Doxorubicin, Free Radical Biology & Medicine 2003; Vol. 35, No.11: p. 1469–1479	
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	<ul> <li>Include name of the author (in CAPITAL LETTERS), tille of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.</li> <li>ANDERSON, Lisa J, et al., Comparison of effects of oral deferiprone and subcutaneous desferrioxaminein beta-thalassaemla: Lancet 2002; 360: pp. 516-520</li> <li>Black's Law Dictionary, p. 1502</li> <li>Butler, Craig, New YorkAcademy of Sciences Symposium, The Eighth Cooley's Anemia Symposiumfor medical professionals and patients; CAF Website; May 17, 2005</li> <li>U.S. Newswire, Cooley's Anemia Foundation Presents Symposium on Iron Overload and Cardiac Disease: New Interventions: December 10, 2004</li> <li>Barnabee et al., Deferiprone Protects Against Doxorubicin–Induced Myocyte Cytotoxicity, Free Radical Biology &amp; Medicine 2002; Vol. 33, No. 2: pp. 266–275</li> <li>Hasinoff et al., The Oral Iron Chelator ICL670A (Deferasirox) Does Not Protect Myocytes</li> </ul>

Examiner Date Signature Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Considered. Include copy of this form with head communication to applicant. Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for reducing this burden, should be sent to the Chief Information Chief. J. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PAGE 5/40 \* RCVD AT 9/29/2005 3:10:42 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-6/28 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):23-24

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Applicants:

# RECEIVED CENTRAL FAX CENTER

SEP 2 9 2005

# IN THE UNITEDSTATES PATENT OFFICE

Patent Application Serial No.: 10/311,814

Apotex Inc.

# Our Ref: PC-1834033 CUSTOMER NO. 23607

Agent: Neil H. Hughes, P. Eng. c/o Ivor M. Hughes Barrister & Solicitor Patent & Trade Mark Agents Suite 200, 175 Commerce Valley Dr. W. Thornhill, Ontario. L3T 7P6, CANADA

#### Title: A NEW USE FOR DEFERIPRONE

Inventors: Michael Spino and Antonio Piga

Examiner: Raymond J. Henley III

Group Art Unit: 1614

Due Date: September 29, 2005

No. of Pages including this sheet: 40 (PART 2)

## DELIVERED TO FACSIMILE NO. (571-273-8300)

September 29, 2005

Commissioner of Patents Customer Service Window, Mail Stop Amendment Randolph Building 401 Dulany Street Arlington, VA 22314

# RECEIVED OIPE/IAP

SEP 3 0 2005

Dear Mr. Henley:

CERTIFICATION OF FACSIMILE TRANSMISSION	
I hereby certify that this paper:	
1) Supplementary Information Disclosure Statement dated September 29, 2005	
is being facsimile transmitted to the United States Patent Office Facsimile No. (571) 273-8300 on the date shown below.	
NEIL H. HUGHES	
Agent for Applicant	
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Signature: Øate: September 29, 2005	
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PAGE 1/40 \* RCVD AT 9/29/2005 3:10:42 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-6/28 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):23-24

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Ivor M. Hughes Rick Tuzi

Patent Agents Neil H. Hughes, P.Eng.

Wm. Kitt Sinden Samuel T. Tekie, P.Eng.

Barristers & Solicitors

Marcelo K. Sarkis, P.Eng.



Ivor M. Hughes

Patent & Trade Mark Agents Canada, United States

Our Ref.: PC-1834033

September 29, 2005

## VIA FACSIMILE (571-273-8300)

United States Patent and Trademark Office Customer Service Window, Mail Stop Amendment Randolph Building 401 Dulany Street Arlington VA 22314

Dear Sir:

## Re: United States Patent Application No. 10/311,814 of Michael Spino and Antonio Spiga for A NEW USE FOR DEFERIPRONE Due Date: September 29, 2005

Please find enclosed herewith the following:

- 1. Transmittal Form;
- 2. Petition for Extension of Time Under 37 CFR 1.136(a);
- 2. Response to Examination Report dated March 29, 2005; and
- Information Disclosure Statement with authorization to access deposit account No. 08-3255 for \$180.00 US

If there should occur an overpayment of fees in respect of this submission, the Commissioner is authorized to access Deposit Account Number 08-3255 to make the appropriate adjustments and advise Applicant's agent.

Also enclosed herewith is a stamped, self-addressed verification card which we request that you kindly acknowledge and return to this office at the earliest opportunity.

We thank the Commissioner for his cooperation in this regard.

Neil H. Hughes, P.Eng. Registration No. 33,636 Agent for Applicant

Respectfully submitted

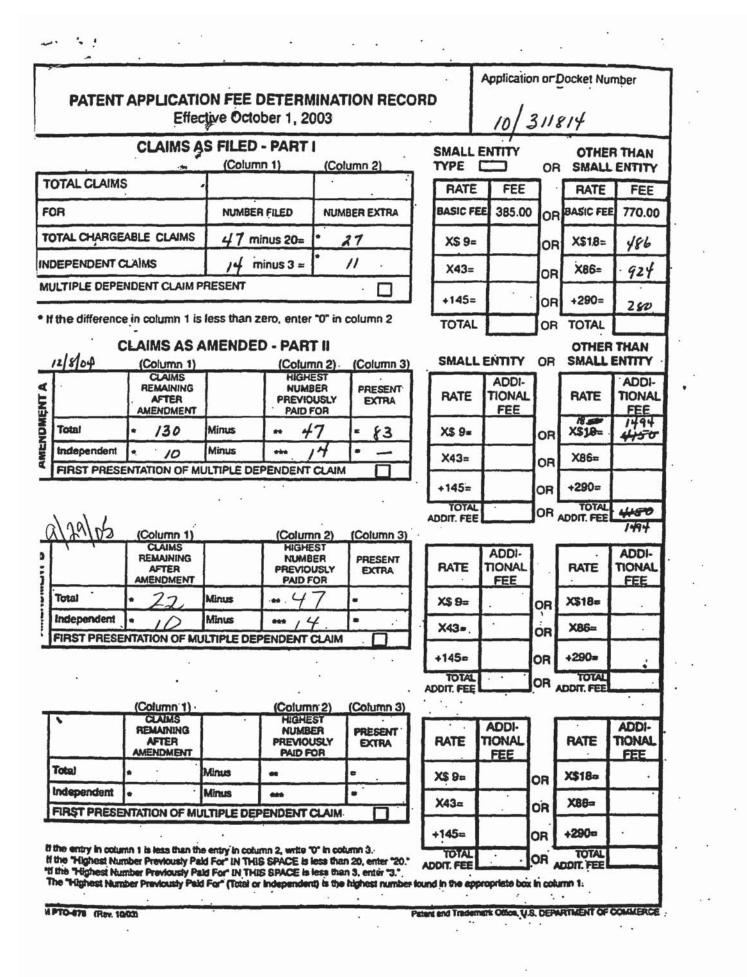
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175 Commerce Valley Dr. W., Suite 200, Thornhill, Ontario, Canada L3T 7P6 Phone: 905 771-6414 Fax: 905 771-6420 website: www.ivormhughes.com email: mail@ivormhughes.com

PAGE 2/40 \* RCVD AT 9/29/2005 3:10:42 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-8/28 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):23-24

Taro Pharmaceuticals, Ltd. Exhibit 1004



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Taro Pharmaceuticals, Ltd. Exhibit 1004

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Applicants:

- 1

DEC-19-05 15:48 From: IVOR M. HUGHES BARR&SOL.

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### FOR DISCUSSION PURPOSES ONLY NOT TO BE ENTERED ON THE RECORD

#### IN THE UNITEDSTATES PATENT OFFICE

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Patent Application Serial No.: 10/311,814

Apotex Inc.

# Our Ref: PC-1834033 CUSTOMER NO. 23607

Agent: Neil H. Hughes, P. Eng. c/o Ivor M. Hughes Barrister & Solicitor Patent & Trade Mark Agents Suite 200, 175 Commerce Valley Dr. W. Thornhill, Ontario. L3T 7P6, CANADA

Title: A NEW USE FOR DEFERIPRONE

Inventors: Michael Spino and Antonio Piga

Examiner: Raymond J. Henley III

Group Art Unit: 1614

## No. of Pages including this sheet: 3

#### DELIVERED TO FACSIMILE NO. (571-273-8300)

December 19, 2005

Commissioner of Patents United States Patent and Trademark Office Randolph Building 401 Dulany Street Arlington, VA 22314

#### Attention: Raymond J. Henley III, Patent Examiner

Dear Examiner Henley:

#### CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper:

 Facsimile Reply to Examiner's Amendment In The Claims As Proposed By The U.S. Examiner dated December 19, 2005.

is being facsimile transmitted to the United States Patent Office Facsimile No. (571) 273-8300 on the date shown below.

NEIL H. HUGHES Agent for Applicant

Signature: Date: December 19, 2005

PAGE 1/3 \* RCVD AT 12/19/2005 3:51:28 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-6/34 \* DNIS:2738300 \* CSID:9057716420 \* DURATION (mm-ss):01-02

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## FOR DISCUSSION PURPOSES ONLY NOT TO BE ENTERED ON THE RECORD

#### IN THE UNITED STATES PATENT OFFICE

			c	ENTRAL FAX CENTER
Application Serial No	p. 10/311,814	Our Ref.: CUSTOM		DEC 1 9 2005
Applicant:	Apotex Inc.	Agent:	Neil H. Hughes, P.Eng. c/o Ivor M. Hughes Barrister & Solicitor Patent & Trade Mark Ag Suite 200 175 Commerce Valley D Thornhill, Ontario Canada L3T 7P6	
Title:	A NEW USE FOR DEFERIPRON	Ê		
Inventors:	Michael Spino and Antonio Piga			
Examiner:	Raymond J. Henley III			
Group Art Unit:	1614			

## FACSIMILE REPLY TO EXAMINER'S AMENDMENT IN THE CLAIMS AS PROPOSED BY THE U.S. EXAMINER

December 19, 2005

VIA FACSIMILE (571-273-8300)

United States Patent and Trademark Office Randolph Building 401 Dulany Street Arlington VA 22314

#### Attention: Mr. Raymond J. Henley III

Dear Examiner Henley:

With respect to the Examiner's Amendment sent via facsimile on December 16, 2005 Applicant has the following comments which require clarification.

The Examiner first of all is thanked for his assistance and cooperation in the prosecution of this matter. After considering the Examiner's proposals Applicant only has a few questions in order to clarify the amendments.

For example, the Examiner has proposed in claim 1 to change "in a transfusion patient" to adding "blood" before transfusion. Applicant has taken this to mean that before ever occurrence of transfusion in the claims the word "blood" would be inserted in each of the claims because in fact the referenced section should read "in a blood transfusion dependent patient" at all occurrences including claim 2. Reference is made to the Examiner's proposal to claim 11 which is in fact correct.

PAGE 2/3 \* RCVD AT 12/19/2005 3:51:28 PM [Eastern Standard Time] \* SVR: USPTO-EFXRF-6/34 \* DNIS: 2738300 \* CSID: 9057716420 \* DURATION (mm-ss): 01-02

DEC-19-05 15:49 From: IVOR M. HUGHES BARR&SOL.

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- 2 -

With respect to claim 12 it is submitted in view of the fact that claim 12 refers to "patients" it would be incorrect to insert "in a blood transfusion". Otherwise the amendments as proposed is acceptable I'm sure.

Referring to claims 22, 23, 24 and 26 the Examiner has proposed to insert "normal" in claims 23, 24 and 26. The proposal is in fact not accurate for the following reason. The word "normal" respectfully should not appear in any of these claims since we are not referring to treatment of normal iron stores. The iron stores in a blood transfusion dependent patient having an iron overload condition would not have normal iron stores in the body. It is requested therefore that the Examiner reconsider his proposed amendment and that the word "normal" be removed from that proposal. It is presumed that the Examiner has some concern with the word "general" and as an alternative the word "general" could be removed entirely and the claim would just refer to iron stores per se in the body.

Once the Examiner has reviewed this reply he is asked to confirm whether he would be able to incorporate these comments into his Examiner's Amendment so that we might pursue obtaining our client's approval to proceed.

Thank you for your kind consideration.

If the Examiner has any questions, he is requested to contact Neil H. Hughes at (905) 771-6414.

Respectfully submitted, Neil H/Hughes, P.Eng.

Registration No. 33,636 Agent for the Applicant

NHH/Ivp

PAGE 3/3 \* RCVD AT 12/19/2005 3:51:28 PM [Eastern Standard Time] \* SVR: USPTO-EFXRF-6/34 \* DNIS:2738300 \* CSID:9057716420 \* DURATION (mm-ss):01-02



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usptb.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

23607 7590 1223/2005 IVOR M. HUGHES, BARRISTER & SOLICITOR, PATENT & TRADEMARK AGENTS 175 COMMERCE VALLEY DRIVE WEST SUITE 200 THORNHILL, ON L3T 7P6 CANADA

EXAMINER	
HENLEY III, RAYMOND J	

ART UNIT PAPER NUMBER

1614 DATE MAILED: 12/23/2005

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/311,814	04/04/2003	Michael Spino	PC-1834033	2281

TITLE OF INVENTION: USE FOR DEFERIPRONE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	03/23/2006

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

## HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:	If the SMALL ENTITY is shown as NO:
A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.	A. Pay TOTAL FEE(S) DUE shown above, or
B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or	B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

# Page 1 of 3

PTOL-85 (Rev. 07/05) Approved for use through 04/30/2007.

		PART B	- FEE(S)	TRA	NSMITTAL		
Complete and send this form, together with applicable fee(s), to: Mai					Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 (571) 273-2885		
INSTRUCTIONS: This fo appropriate. All further con indicated unless corrected maintenance fee notification	rm should be used for tran respondence including the below-or directed otherwise 15.	smitting the ISSU Patent, advance or -in Block 1, by (a				uired). Blocks I through 5 s will be mailed to the current s; and/or (b) indicating a sep-	hould be completed where correspondence address as arate "FEE ADDRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) 23607 7590 12/23/2005					Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.		
IVOR M. HUGHES, BARRISTER & SOLICITOR, PATENT & TRADEMARK AGENTS 175 COMMERCE VALLEY DRIVE WEST SUITE 200					Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.		
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APPLICATION NO.	FILING DATE		FIRST NAME	DINVEN	TOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/311,814	04/04/2003	Michael Spino			PC-1834033	2281	
TITLE OF INVENTION: U	SE FOR DEFERIPRONE						
APPLN. TYPE	SMALL ENTITY NO	ISSUE FEE		PI	UBLICATION FEE \$300	TOTAL FEE(S) DUE \$1700	DATE DUE 03/23/2006
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<ol> <li>Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> </ol>				mes of a OR, alte	the patent front page, l up to 3 registered pate matively,	nt attorneys 1	
The Address form 1 for 3D (22) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
3. ASSIGNEE NAME AND	RESIDENCE DATA TO B	E PRINTED ON T	THE PATENT	(print o	or type)		•
PLEASE NOTE: Unless recordation as set forth in	an assignee is identified be 37 CFR 3.11. Completion	clow, no assignee of this form is NO	data will app T a substitute	ear on t for filin	he patent. If an assig g an assignment.	nee is identified below, the d	locument has been filed for
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Please check the appropriate	e assignee category or catego	ries (will not be pr	inted on the p	atent) :	🗆 Individual 🔲 C	Corporation or other private gr	oup entity Government
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Issue Fee			A check in the amount of the fee(s) is enclosed.				
Publication Fee (No small entity discount permitted)			Payment by credit card. Form PTO-2038 is attached.				
and the second state of th			The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).				
	(from status indicated above MALL ENTITY status. See	and the second	D b. Applic	ant is no	o longer claiming SMA	LL ENTITY status. See 37 C	FR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Date

Registration No.

Authorized Signature

Typed or printed name

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTOL-85 (Rev. 07/05) Approved for use through 04/30/2007.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE OMB 0651-0033

	TED STATES PATENT	and Trademark Office	UNITED STATES DEPART United States Patent and T Address: COMMISSIONER F( P.O. Box, 1450 Alexandria, Virginia 223) www.uspto.gov	Frademark Office OR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/311,814	04/04/2003	Michael Spino	PC-1834033	2281
23607 75	12/23/2005	EXAMINER		
	IVOR M. HUGHES, BARRISTER & SOLICITOR, HENLEY			
	EMARK AGENTS	ART UNIT	PAPER NUMBER	
175 COMMERCE VALLEY DRIVE WEST SUITE 200 THORNHILL, ON L3T 7P6 CANADA			1614	
			DATE MAILED: 12/23/2005	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

PTOL-85 (Rev. 07/05) Approved for use through 04/30/2007.

Page 3 of 3

	Ann line Alex Ma							
	Application No.	Applicant(s)						
Notice of Allowability	10/311,814	SPINO ET AL.						
Notice of Allowability	Examiner	Art Unit						
	Raymond J. Henley III	1614						
The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.								
1. This communication is responsive to the papers filed September 29, 2005.								
2. The allowed claim(s) is/are <u>1,2,11-13,22-26,30-33,35,37,34</u>	8,41,43,45,47 and 49.							
<ul> <li>3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) □ All b) □ Some* c) □ None of the:</li> <li>1. ☑ Certified copies of the priority documents have been received.</li> </ul>								
2. Certified copies of the priority documents have been received in Application No.								
3. Copies of the certified copies of the priority doe	cuments have been received in this	national stage application from the						
International Bureau (PCT Rule 17.2(a)).								
* Certified copies not received:								
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.								
4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.								
<ul> <li>5. CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.</li> <li>(a) including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached</li> <li>1) hereto or 2) to Paper No./Mail Date</li> <li>(b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of</li> </ul>								
Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of								
each sheet. Replacement sheet(s) should be labeled as such in th								
6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.								
The drawing Filed As	peil 4, 2003 Bis Accept	toble. If						
Attachment(s)								
1. Notice of References Cited (PTO-892)		atent Application (PTO-152)						
2.  Notice of Draftperson's Patent Drawing Review (PTO-948)		<ol> <li>Interview Summary (PTO-413), Paper No./Mail Date <u>12/16/2005</u>.</li> </ol>						
<ol> <li>Information Disclosure Statements (PTO-1449 or PTO/SB/0 Paper No./Mail Date <u>12/8/04 &amp; 9/29/05</u></li> </ol>	8), 7. 🛛 Examiner's Amendr	nent/ <del>Comment</del>						
4. Examiner's Comment Regarding Requirement for Deposit of Biological Material	8. 🗌 Examiner's Stateme	ent of Reasons for Allowance						
or Biological Material	9. 🗍 Other	Raymond J Henley III Primary Examiner Art Unit: 1614						
U.S. Patent and Trademark Office PTOL-37 (Rev. 7-05) No	tice of Allowability	Part of Paper No./Mail Date 12162005						

Taro Pharmaceuticals, Ltd. Exhibit 1004

Application/Control Number: 10/311,814 Art Unit: 1614

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# **EXAMINER'S AMENDMENT**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Neil Hughes on December 16, 2005.

The application has been amended as follows, (note, the amendments below are in addition to those submitted to the Office on September 29, 2005):

In the Claims:

In claim 1, line 1, "in a transfusion dependent patient" has been changed to ---in a <u>blood</u> transfusion dependent patient".

In claim 2, line 2, "in a transfusion dependent patient" has been changed to ---in a <u>blood</u> transfusion dependent patient", at line 4, "treat" has been changed to ---<u>reduce</u>--- and at line 5, "accumulation" has been changed to ---overload---.

In claim 11, line 2 "transfusion dependent patients" has been changed to ----blood transfusion dependent patients---.

In claim 12, line 1, "in transfusion dependent" has been changed to ---in <u>a blood</u> transfusion, and at line 3, "treat iron burden" has been changed to ---treat <u>the</u> iron burden";

In claim 13, line 2, "in transfusion dependent patients" has been changed to ----in <u>blood</u> transfusion dependent patients---.

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In claim 22, line 1, "a transfusion" has been changed to ---blood transfusion---.

In claim 23, line 2, "a transfusion dependent patients" has been changed to ---[a] <u>blood</u> transfusion dependent patients.

In claim 24, line 2 "transfusion dependent patients" has been changed to ---[a] <u>blood</u> transfusion dependent patients.

In claim 25, line 2, ---blood--- has been inserted before "transfusion".

In claim 26, line 1, "A method to treat" has been changed to ---A method to reduce---,

and at line 2, "a transfusion dependent" has been changed to --- a blood transfusion dependent---.

Claims 31 and 32 have been deleted.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Raymond J. Henley III whose telephone number is 571-272-0575. The examiner can normally be reached on M-F, 8:30 am to 4:00 pm Eastern Time.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christopher Low can be reached on 571-272-0951. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Raymond & Henley III

Primary Examiner Art Unit 1614

December 16, 2005

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ouseu				Application Number	10/311,814
INFORMATION DISCLOSURE				Filing Date	April 4, 2003
STA	STATEMENT BY APPLICANT			First Named Inventor	Michael Spino
				Art Unit	1614
(Uso as many abover as necessary)			(carrery)	Examiner Name	Raymond J. Henley III
Sheet	1	of	1	Attorney Docket Number	PC-1834033

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
A		ANDERSON, Lisa J, et al., Comparison of effects of oral deferiprone and subcutaneous desferrioxaminein beta-thalassaemla: Lancet 2002; 360: pp. 516-520	
		Black's Law Dictionary, p. 1502	
		Butler, Craig, New York Academy of Sciences Symposium, The Eighth Cooley's Anemia Symposiumfor medical professionals and patients; CAF Website; May 17, 2005	
		U.S. Newswire, Cooley's Anemia Foundation Presents Symposium on Iron Overload and Cardiac Disease: New Interventions: December 10, 2004	
		Barnabee et al., Deferiprone Protects Against Doxorubicin-Induced Myocyte Cytotoxicity, Free Radical Biology & Medicine 2002; Vol. 33, No. 2: pp. 266–275	
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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PAGE 5/40 \* RCVD AT 9/29/2005 3:10:42 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-6/28 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):23-24

Taro Pharmaceuticals, Ltd. Exhibit 1004

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### CITATION OF PRIOR ART

FORM PT0-1449 (REV. 8-83)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. PC-1834033	APPLICATION SERIAL NO. 10/311,814
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		APPLICANT Apotex Inc.	
CUSTOMER NO. 23607		FILING DATE 04/04/2003	GROUP ART UNIT

#### **U.S. PATENT DOCUMENTS**

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FORM PT0-1449 (REV. 8-83)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. PC-1834033	APPLICATION SERIAL NO. 10/311,814
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EXAMINE	PATAR DATE CONSIDERED 3/22/05
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Page 11 of 15



# CITATION OF PRIOR ART

FORM PT0-1449	U.S. DEPARTMENT OF COMMERCE	ATTY. DOCKET NO.	APPLICATION SERIAL NO.
(REV. 8-83)	PATENT AND TRADEMARK OFFICE	PC-1834033	10/311,814
INFORMATIC	IN DISCLOSURE CITATION	APPLICANT	
(Use several sheets	a if necessary)	Apotex Inc.	
CUSTOME	R NO. 23607	FILING DATE 04/04/2003	GROUP ART UNIT 1614

#### **U.S. PATENT DOCUMENTS**

EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE

#### FOREIGN PATENT DOCUMENTS

 DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSI YES	ATTON NO

#### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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3 -1201 . 14 22 105 EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 12 of 15



# CITATION OF PRIOR ART

FORM PT0-1449 (REV. 8-83)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DREXET NO.	APPLICATION SERIAL NO. 10/311,814
INFORMATIO	IN DISCLOSURE CITATION	APPLICANT Apotex Inc.	
CUSTOME	R NO. 23607	FILING DATE 04/04/2003	GROUP ART UNIT 1614

#### **U.S. PATENT DOCUMENTS**

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#### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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Page 13 of 15



# CITATION OF PRIOR ART

FORM PT0-1449 (REV. 8-83)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. PC-1834033	APPLICATION SERIAL NO. 10/311,814
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Page 14 of 15



# CITATION OF PRIOR ART

FORM PT0-1449 (REV. 8-83)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. PC-1834033	APPLICATION SERIAL NO. 10/311,814
INFORMATIC	IN DISCLOSURE CITATION	APPLICANT Apotex Inc.	
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# CITATION OF PRIOR ART

FORM PT0-1449 (REV. 8-83)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. PC-1834033	APPLICATION SERIAL NO. 10/311,814
INFORMATIC (Use several sheets	N DISCLOSURE CITATION	APPLICANT Apotex Inc.	
CUSTOME	R NO. 23607	FILING DATE 04/04/2003	GROUP ART UNIT 1614

#### **U.S. PATENT DOCUMENTS**

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### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER	Parte CONSIDERED 3/22/05
	Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if mance and not considered. Include copy of this form with next communication to applicant.

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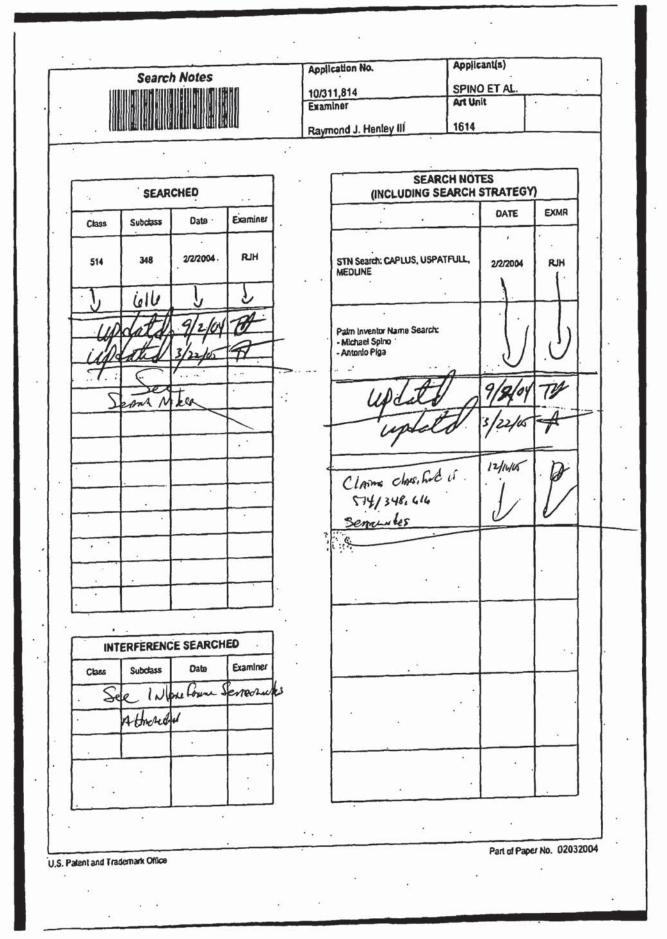
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Examiner-Initiated Interview Summary	10/311,814	SPINO ET AL.
Examiner-induced interview ourmary	Examiner	Art Unit
	Raymond J. Henley III	1614
All Participants:	Status of Application: Pe	ending
(1) <u>Raymond J. Henley III</u> .	(3)	
(2) <u>Neil Hughes</u> .	(4)	
Date of Interview: 16 December 2005	Time: <u>PM (E.D.T.)</u>	×
Type of Interview:         ☑ Telephonic         □ Video Conference         □ Personal (Copy given to: □ Applicant □ Applica         Exhibit Shown or Demonstrated: ☑ Yes □ No         If Yes, provide a brief description: Proposed Examiner's		
Part I.		
Rejection(s) discussed: None		
Claims discussed: All		
Prior art documents discussed: None		
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Part III.		
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Taro Pharmaceuticals, Ltd. Exhibit 1004

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Co	omplete and send t	his form, together witl	n applicable fe	e(s), to: <u>M</u> or <u>F</u>	ň.	Mail Stop ISSUE Commissioner fo P.O. Box 1450 Alexandria, Virg (571) 273-2885	r Patents	.4
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2	(A) NAME OF ASSIGN	VEE ·	(B	) RESIDENC	CE: (CIT	Y and STATE OR CO	UNTRY)	
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Ple	ase check the appropriat	te assignee category or catego	ries (will not be pri	inted on the p	patent) :	Individual 🖾 C	Corporation or other private g	roup entity 🖸 Government
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IVOR M. HUGH PATENT & TRAD	ES, BARRISTER & DEMARK AGENTS VALLEY DRIVE WE		EXAM HENLEY III, H ART UNIT 1614 DATE MAILED: 12/23/2003	RAYMOND J PAPER NUMBER
APPLICATION NO. 10/311,814	FILING DATE 04/04/2003	FIRST NAMED INVENTOR Michael Spino	ATTORNEY DOCKET NO. PC-1834033	CONFIRMATION N 2281

. APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	03/23/2006
		C2			

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS</u> <u>STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:	If the SMALL ENTITY is shown as NO:
A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.	A. Pay TOTAL FEE(S) DUE shown above, or
B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or	B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

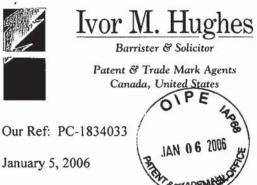
III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### Page 1 of 3

PTOL-85 (Rev. 07/05) Approved for use through 04/30/2007.





VIA COURIER

United States Patent and Trademark Office Customer Service Window, Mail Stop Issue Fee Randolph Building 401 Dulany Street Alexandria, VA 22314

Dear Sir:

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Re: United States Patent Application Serial No. 10/311,814 Assignee: Apotex Inc. Inventors: Michael Spino and Antonio Piga for A NEW USE FOR DEFERIPRONE Filed: April 4, 2003 Group Art Unit: 1614 Confirmation No: 2281 Due Date: March 23, 2006 CUSTOMER NO. 23607

Pursuant to the Notice of Allowance dated December 23, 2005, a copy of which Notice is enclosed herewith for your reference, Applicant respectfully submits herewith a cheque in the amount of \$1700.00 USD made payable to "The Commissioner of Patents" which includes the issue fee of (\$1400.00) and the publication fee of (\$300.00) for a large entity. Should there occur an overpayment or an underpayment of fees in respect of this application, the Commissioner is authorized to access Deposit Account Number 08-3255 to make the appropriate adjustments.

Applicant also submits a completed PTOL-85 Issue Fee Transmittal Form.

Also enclosed herewith is a stamped, self-addressed verification card which we request that you kindly acknowledge and return to this office at the earliest opportunity.

We thank the Commissioner for his cooperation in this regard and look forward to obtaining an issue notification in this regard.

Respectfully submitted Neil H./Hughes, P. Eng. Registration No. 33,636 Agent for Applicant

NHH/lvp Encls.

> 175 Commerce Valley Dr. W., Suite 200, Thornhill, Ontario, Canada L3T 7P6 Phone: 905 771-6414 Fax: 905 771-6420 website: www.ivormhughes.com email: mail@ivormhughes.com

Barristers & Solicitors Ivor M. Hughes Rick Tuzi

Patent Agents Neil H. Hughes, P.Eng. Marcelo K. Sarkis, P.Eng. Wm. Kitt Sinden Samuel T. Tekie, P.Eng. Francis Ng-Cheng-Hin

Taro Pharmaceuticals, Ltd. Exhibit 1004

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<i>OR</i>	hereby appoint:     134997					
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any and all patent applic	<ul> <li>a) to represent the undersigned belo callons assigned <u>only</u> to the undersigned accordance with 37 CFR 3,73(b).</li> </ul>					
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OR	associated with Customer Number.	1:	34997			
Firm or Individual Name		Good	Imans LLP			
Address	Bay Adelaide Centre, 333 I	Bay Street, Suite	3400			
City	Toronto	State	ON	Ziş	L6B	186
Country	Canada					
Telephone	416-979-2211		Email			
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Assignee Name and Ad Apotex Technologi 150 Signet Drive Toronto, Ontario M	es Inc.			10		
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The	SIGNATURE of Assignee of Record The individual whose signature and title is supplied below is authorized to act on behalf of the assignce					
Signature	0//	-		Dato (	1/06/2	015
Name	Dr. Bernard Sh	nerman		Telephone	416-74	
Title		Director & Pi				
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	STAT	EMENT UNDER 37	CFR 3.73(b)	
Applicant/Patent	Owner: Apotex Technologies Inc			
	Patent No.: 10/311,814 / 7,049,328		d/Issue Date: April 4	, 2003 / May 23, 2006
Titled:	FOR DEFERIPRONE			
Anotev Technol	logies lac	compration		
(Name of Assignae)	logies, Inc.			ship, university, government egency, etc.
states that it is:				
	ssignee of the entire right, title, and i	nterest in:		
	ssignee of less than the entire right, t extent (by percentage) of its owners		%); or	
3. 🗌 the a	assignee of an undivided interest in th	e entirety of (a comple	te assignment from or	e of the joint inventors was made)
the patent applic	cation/patent Identified above, by virtu	ue of either:		
the L	ssignment from the inventor(s) of the Jnited States Patent and Trademark	opatent application/pa Office at Reel	ent identified above, 1 _, Frame	The assignment was recorded in , or for which a
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	ain of title from the inventor(s), of the	patent application/pat	ent identified above, to	the current assignee as follows:
	rom: Inventors (Antonio Piga an	d Michael Spino)	To: Apotex Inc.	
	The document was recorded in	the United States Pat	ent and Trademark Off	ice at
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As require	red by 37 CFR 3.73(b)(1)(i), the docu rrently is being, submitted for records	umentary evidence of ation pursuant to 37 Cf	the chain of title from the R 3.11.	he original owner to the assignee wa
INOTE:	A separate copy (i.e., a true copy of ice with 37 CFR Part 3, to record the	the original assignmen assignment in the rec	nt document(s)) must b ords of the USPTO. Se	e submitted to Assignment Division <u>e MPEP 302.08</u> ]
The undersigned	d (whose title is supplied below) is at	ithorized to act on beh	alf of the assignee.	NOV 1 0 2015
Şignatu	re			Date
Dino Clarizio (I	Reg. No. 37572)			Patent Agent for the Owner
	or Typed Name			Title
process) an applicat gathering, preparing you require to compl	ormation is required by 37 CFR 3.73(b). The in son. Confidentiality is governed by 35 U.S.C. 1; , and submitting the completed application form lete this form and/or suggestions for reducing th morce, P.O. Box 1450, Alexandria, VA 22313-1	22 and 37 CFR 1.11 and 1.1 to the USPTO. Time will ve his burden, should be sent to	<ol> <li>This collection is estimated ry depending upon the individual the Chief Information Officer.</li> </ol>	d to take 12 minutes to complete, including dual case. Any comments on the amount of tim U.S. Patent and Trademark Office, U.S.

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#### Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of
  presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to
  opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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**Barristers & Solicitors** 

**Bay Adelaide Contre** 333 Bay Street, Suite 3400 Toronto, Ontario M5H 2S7

Telophone: 416.979.2211 Facsimile: 416.979.1234 goodmans.ca

### **Facsimile Transmittal Sheet**

November 10, 2015

OUR MATTER: 12-2581 (P1016US01)

Total number of pages being transmitted, including this page 6

TO:	COMPANY	PHONE #	FAX #	
Commission of Patents	USPTO		571-273-8300	

FROM: Dino P. Clarizio/ 416.597-4140 / dclarizio@goodmans.ca

MESSAGE:	Re: U.S. Patent No. 7,049,328		
	U.S. Patent Application No. 10/311,814		
	Filing Date: April 4, 2003		
	Our Ref: P1016US01		

Attached: Transmittal Form; Power of Attorney to Prosecute Applications Before the USPTO; Statement Under 37 CFR 3.73(b)

6512058

This communication is intended solely for the named addressee(s) and may contain information that is privileged, confidential, protected or otherwise exempt from disclosure. No waiver of confidence, privilege, protection or otherwise is made. If you are not the intended recipient of this communication, please advise us immediately and return the original transmission to us without reading, copying or forwarding it to anyone. If this communication is not properly received, please call 416.597.5906 Extension 4663 Monday to Friday, 9 am to 9 pm.

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FOR	- M	First Named Inventor	Spina, Micha 1614	10l		
TRANSN	576 St T. B S. T. B	Filing Date	April 4, 2003			
		Application Number	10/311,814 /	7,040,328		

process) an application, Confidentiality is governed by 35 U.S.C, 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, proparing, and submitting the completed application form to the USPTO, Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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  opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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PAGE 3/6 \* RCVD AT 11/10/2015 11:00:27 AM [Eastern Standard Time] \* SVR:W-PTOFAX-002/0 \* DNIS:2738300 \* CSID:4169791234 \* DURATION (mm-ss):02-51

UNITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address. COMMISSIONER FOR PATENTS PC Box 1450 Alexandria, Virginia 22313-1450 www.tupd.or				
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE	
10/311,814	04/04/2003	Michael Spino	PC-1834033	
			<b>CONFIRMATION NO. 2281</b>	
23607		POWER (	OF ATTORNEY NOTICE	
Heenan Blaikie LLP				
Bay Adelaide Centre			*OC00000079084493*	
333 Bay Street, Suite 2900			"OC00000079084493"	
P.0. Box 2900				
Toronto, ON M5H 2T4				
CANADA				

Date Mailed: 12/03/2015

## NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 11/10/2015.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/tnguyen/

page 1 of 1

UNITED STATES PATENT AND TRADEMARK OFFICE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENT'S PO. Box 1430 Alexandria, Vignia 22133-1450 www.tupb.gov				
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE	
10/311,814	04/04/2003	Michael Spino	P1016US01	
			<b>CONFIRMATION NO. 2281</b>	
134997		POA ACC	EPTANCE LETTER	
Goodmans LLP				
Bay Adelaide Centre			OC00000079084533*	
333 Bay Street, Suite 3400			OC00000079084533*	
Toronto, ON, M5H 2S7				
CANADA				

Date Mailed: 12/03/2015

### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

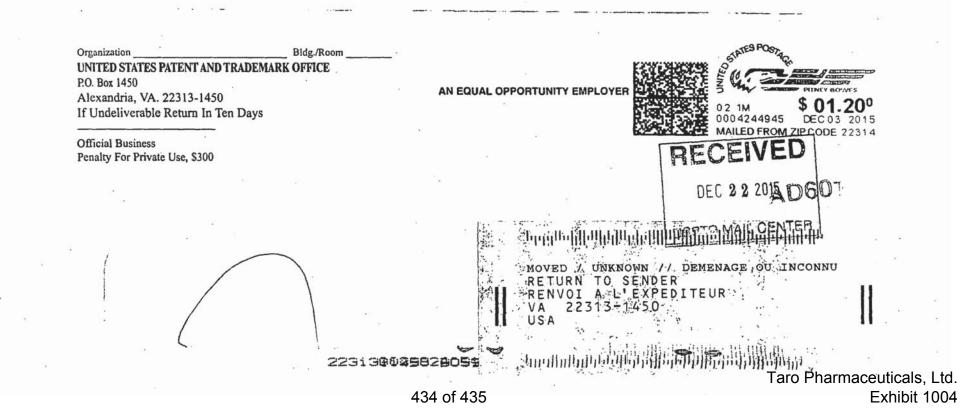
This is in response to the Power of Attorney filed 11/10/2015.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

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• •	23607 Heenan Blaikie LLP Bay Adelaide Centre 333 Bay Street, Suite 2900 P.0. Box 2900 Toronto, ON M5H 2T4 CANADA	)		CONFIRMATION NO. 2281 F ATTORNEY NOTICE
				Date Mailed: 12/03/2015
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provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

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/tnguyen/

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page 1 of 1