

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

MICRO LABS LIMITED and
MICRO LABS USA INC.,
Petitioner,

v.

SANTEN PHARMACEUTICAL CO., LTD. and
ASAHI GLASS CO., LTD.,
Patent Owner.

IPR2017-01434
Patent 5,886,035

Before LORA M. GREEN, JO-ANNE M. KOKOSKI, and
CHRISTOPHER G. PAULRAJ, *Administrative Patent Judges*.

KOKOSKI, *Administrative Patent Judge*.

SCHEDULING ORDER

A. GENERAL INSTRUCTIONS

1. *Initial Conference Call*

The parties are directed to contact the Board within fifteen (15) business days of the date of this Order if there is a need to discuss:

(a) proposed changes to this Scheduling Order (i.e., regarding DUE DATES 6 and 7); or (b) any proposed motions, *not* authorized already by our Rules or by this Scheduling Order, which the parties anticipate filing during the trial. *See* Office Patent Trial Practice Guide, 77 Fed. Reg. 48,756, 48,765–66 (Aug. 14, 2012) (setting forth guidance in preparing for the initial conference call). To request a conference call, the requesting party should submit a list of dates and times when *both* parties are available for a call.

2. *Confidential Information*

The parties must file confidential information using the appropriate availability indicator in PTABE2E (e.g., “Board and Parties Only”), regardless of whose confidential information it is. It is the responsibility of the party whose confidential information is at issue, not necessarily the proffering party, to file the motion to seal, unless the party whose confidential information is at issue is not a party to this proceeding.

A protective order does not exist in a case until one is filed in the case and is approved by the Board. If a motion to seal is filed by either party, the proposed protective order should be presented as an exhibit to the motion. The motion to seal must include a certification that the moving party has in good faith conferred or attempted to confer with other affected parties in an effort to resolve any dispute. *See* 37 C.F.R. 42.54(a).

The parties are urged to operate under the Board’s default protective order, should that become necessary. *See* Default Protective Order, Office

Patent Trial Practice Guide, 77 Fed. Reg. at 48,769–71, App. B. If the parties choose to propose a protective order deviating from the default protective order, they should submit the proposed protective order jointly. A marked-up comparison of the proposed and default protective orders should be presented as an additional exhibit to the motion to seal, so that differences can be understood readily. The parties should contact the Board if they cannot agree on the terms of the proposed protective order.

Redactions should be limited strictly to isolated passages consisting entirely of confidential information. The thrust of the underlying argument or evidence must be clearly discernable from the redacted version.

Information subject to a protective order will become public if identified in a final written decision in this proceeding. A motion to expunge the information will not necessarily prevail over the public interest in maintaining a complete and understandable file history. *See* Office Patent Trial Practice Guide, 77 Fed. Reg. at 48,761.

3. Motion to Amend

Although the filing of a Motion to Amend is authorized under our Rules, the patent owner must confer with the Board before filing any Motion to Amend. *See* 37 C.F.R. § 42.121(a). A conference call to satisfy the requirement of 37 C.F.R. § 42.121(a) must be scheduled no less than ten (10) business days prior to DUE DATE 1.

4. Discovery Disputes

The panel encourages the parties to resolve disputes relating to discovery on their own and in accordance with the precepts set forth in 37 C.F.R. § 42.1(b). To the extent that a dispute arises between the parties relating to discovery, the parties shall meet and confer to resolve such a

dispute before contacting the Board. If attempts to resolve the dispute fail, either party may request a conference call with the Board and the other party in order to seek authorization to move for relief.

In any request for a conference call with the Board to resolve a discovery dispute, the requesting party shall: (1) certify that it has conferred with the other party in an effort to resolve the dispute; (2) identify with specificity the issues for which agreement has not been reached; (3) identify the precise relief to be sought; and (d) propose specific dates and times at which *both* parties are available for the conference call.

5. Depositions

The parties are advised that the Testimony Guidelines appended to the Office Patent Trial Practice Guide, 77 Fed. Reg. at 48,772, App. D, apply to this proceeding. The Board may impose an appropriate sanction for failure to adhere to the Testimony Guidelines. 37 C.F.R. § 42.12. For example, reasonable attorneys' fees incurred by any party may be levied on a person who impedes, delays, or frustrates the fair examination of a witness.

Whenever a party submits a deposition transcript as an exhibit in this proceeding, the submitting party shall file the full transcript of the deposition rather than excerpts of only those portions being cited. After a deposition transcript has been submitted as an exhibit, all parties who subsequently cite to portions of the transcript shall cite to the first-filed exhibit rather than submitting another copy of the same transcript.

6. Cross-Examination

Except as the parties might otherwise agree, for each due date—

1. Cross-examination begins after any supplemental evidence is due. 37 C.F.R. § 42.53(d)(2).

2. Cross-examination ends no later than a week before the filing date for any paper in which the cross-examination testimony is expected to be used. *Id.*

7. *Observations on Cross-Examination*

Observations on cross-examination provide the parties with a mechanism to draw the Board's attention to relevant cross-examination testimony of a reply witness because no further substantive paper is permitted after the reply. *See* Office Patent Trial Practice Guide, 77 Fed. Reg. at 48,768 (Aug. 14, 2012). The observation must be a concise statement of the relevance of precisely identified testimony to a precisely identified argument or portion of an exhibit. Each observation should not exceed a single, short paragraph. The opposing party may respond to the observation. Any response must be equally concise and specific.

B. DUE DATES

The Appendix to this Order sets due dates for the parties to take action after institution of these proceedings. The parties may stipulate to different dates for DUE DATES 1 through 5 (earlier or later, but no later than DUE DATE 6). A notice of the stipulation, specifically identifying the changed due dates, must be promptly filed. If the parties stipulate to an extension of DUE DATE 4, any request for oral hearing must still be filed on or before the date set forth in this Order, to provide sufficient time for the Board to accommodate the hearing. The parties may not stipulate to an extension of DUE DATES 6 and 7, and, if either party anticipates a need to alter DUE DATE 7, the parties must schedule a conference call with the panel

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