

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

INTEL CORP., CAVIUM, INC., WISTRON CORP., and DELL, INC.
Petitioners,

v.

ALACRITECH, INC.,
Patent Owner.

Case IPR No. 2017-01406
U.S. Patent No. 7,673,072¹

PETITIONER'S UPDATED EXHIBIT LIST

¹ Cavium, Inc., which filed a Petition in Case IPR2017-01707, Wistron Corporation, which filed a Petition in Case IPR2018-00329, and Dell Inc., which filed a Petition in Case IPR2018-00375, have been joined as a petitioners in this proceeding.

Exhibit #	Description
Ex.1001	U.S. Patent No. 7,673,072 (“072 Patent”)
Ex.1002	Excerpts from Prosecution File History of U.S. Patent No. 7,673,072 (“072 File History”)
Ex.1003	Declaration of Robert Horst
Ex.1004	<i>Curriculum Vitae</i> of Robert Horst
Ex.1005	U.S. Patent No. 5,768,618 (“Erickson”)
Ex.1006	Tanenbaum, Andrew S., <i>Computer Networks</i> , Prentice-Hall, Inc., New Jersey (1996) (“Tanenbaum96”)
Ex.1007	Transmission Control Protocol, “Darpa Internet Protocol Specification,” RFC: 793, Sept. 1981 (“RFC 793”)
Ex.1008	Stevens, W. Richard, <i>TCP/IP Illustrated Volume 1: The Protocols</i> , Addison-Wesley (1994) (“Stevens1”)
Ex.1009	Lilinkamp, J., Mandell. R. and Padlipsky, M., “Proposed Host-Front End Protocol,” Network Working Group Request for Comments: 929, Dec. 1984 (“RFC 929”)
Ex.1010	<i>Not Used</i>
Ex.1011	Declaration of Rice Mayors regarding Tanenbaum, Andrew S., <i>Computer Network</i>
Ex.1012	<i>Not Used</i>
Ex.1013	Stevens, W. Richard and Wright, Gary R., <i>TCP/IP Illustrated Volume 2: The Implementation</i> , Addison-Wesley (1995) (“Stevens2”)
Ex.1014	Touch, J., “TCP Control Block Interdependence,” Network Working Group Request for Comments: 2140, April 1997 (“RFC 2140”)
Ex.1015	Thia, Y.H., Woodside, C.M., “A Reduced Operation Protocol Engine (ROPE) for a Multiple-Layer Bypass Architecture,” <i>Protocols for High Speed Networks</i> (Dordrecht), 1995 (“Thia”)

Exhibit #	Description
Ex.1016	Biersack, E. W., Rütsche E., “Demultiplexing on the ATM Adapter: Experiments with Internet Protocols in User Space,” Journal on High Speed Networks, Vol. 5, No. 2, May 1996 (“Biersack”)
Ex.1017	Rütsche, E., Kaiserswerth, M., “TCP/IP on the Parallel Protocol Engine,” Proceedings, IFIP Conference on High Performance Networking, Liege (Belgium), Dec. 1992 (“Rütsche92”)
Ex.1018	Rütsche, E., “The Architecture of a Gb/s Multimedia Protocol Adapter,” Computer Communication Review, 1993 (“Rütsche93”)
Ex.1019	Padlipsky, M. A., “A Proposed Protocol for Connecting Host Computers to Arpa-Like Networks Via Directly-Connected Front End Processors,” Network Working Group RFC #647, Nov. 1974 (“RFC 647”)
Ex.1020	U.S. Patent No. 5,619,650 (“Bach”)
Ex.1021	U.S. Patent No. 5,915,124 (“Morris”)
Ex.1022	Cooper, E.C., et al., “Protocol Implementation on the Nectar Communication Processor,” School of Computer Science, Carnegie Mellon University, Sept. 1990 (“Cooper”)
Ex.1023	Kung, H.T., et al., “A Host Interface Architecture for High-Speed Networks,” School of Computer Science, Carnegie Mellon University and Network Systems Corporation (“Kung”)
Ex.1024	Exhibit D to Declaration of Dr. Gregory L. Chesson in Support of Microsoft’s Opposition to Alacritech’s Motion for Preliminary Injunction: “Protocol Engine Handbook,” Protocol Engines Incorporated, Oct. 1990 (“Chesson”)
Ex.1025	Kanakia, H., Cheriton, D.R., “The VMP Network Adapter Board (NAB): High-Performance Network Communication for Multiprocessors,” Communications Architectures & Protocols, Stanford University, Aug. 1988 (“Kanakia”)

Exhibit #	Description
Ex.1026	Kung, H.T., Cooper, E.C., et al., “Network-Based Multicomputers: An Emerging Parallel Architectures,” School of Computer Science, Carnegie Mellon University (“Kung and Cooper”)
Ex.1027	Dalton, C., et al., “Afterburner: Architectural Support for High-Performance Protocols,” Networks & Communications Laboratories, HP Laboratories Bristol, July 1993 (“Dalton”)
Ex.1028	Murphy, E., Hayes, S., Enders, M., <i>TCP/IP Tutorial and Technical Overview Fifth Edition</i> , Prentice-Hall, Inc. New Jersey, (1995) (“Murphy”)
Ex.1029	MacLean, A.R., Barvick, S. E., “An Outboard Processor for High Performance Implementation of Transport Layer Protocols,” IEEE Globecom '91, Phoenix, AZ, Dec. 1991 (“MacLean”)
Ex.1030	Clark, D.D., et al., “An Analysis of TCP Processing Overhead,” IEEE Communications Magazine, June 1989 (“Clark”)
Ex.1031	U.S. Provisional Application 60/061,809 (“Alacritech 1997 Provisional Application”)
Ex.1032	Culler, E.C., et al., “Parallel Computing on the Berkeley NOW,” Computer Science Division, University of California, Berkeley (“Culler”)
Ex.1033	“Gigabit Ethernet Technical Brief: Achieving End-to-End Performance,” Alteon Networks, Inc. First Edition, Sept. 1996 (“Alteon”)
Ex.1034	Smith, J.A., Primmer, M., “Tachyon: A Gigabit Fibre Channel Protocol Chip,” Hewlett-Packard Journal, Article 12, Oct. 1996 (“Smith”)
Ex.1035	Patterson, D.A., Hennessy, J.L., <i>Computer Architecture: A Quantitative Approach</i> , Morgan Kaufmann Publishers, Inc., San Mateo, CA (1990) (“Patterson”)
Ex.1036	Internet Protocol, “Darpa Internet Protocol Specification,” RFC: 791, Sept. 1981 (“RFC 791”)

Exhibit #	Description
Ex.1037-1039	<i>Not Used</i>
Ex. 1040	Alacritech Opening Claim Construction Brief
Exs. 1041-1081	<i>Not Used</i>
Ex. 1082	Alacritech Infringement Contentions
Ex. 1083-1109	<i>Not Used</i>
Ex. 1110	Declaration of Garland Stephens in Support of Petitioner's Opposition to Patent Owner's Motion for Additional Discovery Regarding Real Parties-In-Interest (Jan. 10, 2018)
Ex. 1111	Declaration of S. Christopher Kyriacou in Support of Petitioner's Opposition to Patent Owner's Motion for Additional Discovery Regarding Real Parties-In-Interest (Jan. 10, 2018)
Ex. 1112	Alacritech's Answer from <i>Alacritech v. CenturyLink, et al. 16cv693</i>
Exs. 1113-1204	<i>Not Used</i>
Ex. 1205	Request for Comments ("RFC") 2026
Ex. 1206	Website: https://www.rfc-editor.org/search/rfc_search_detail.php?rfc=929&pubstatus%5B%5D=Any&pub_date_type=any
Ex. 1207	Website: https://www.rfc-editor.org/search/rfc_search_detail.php?rfc=793&pubstatus%5B%5D=Any&pub_date_type=any
Exs. 1208-1209	<i>Not Used</i>
Ex. 1210	Declaration of Robert Horst, Ph.D. In Support of Petitioner's Response in Opposition to Patent Owner's Contingent Motion to Amend (April 4, 2018)

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