

Filed: December 11, 2017

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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INTEL CORPORATION,  
Petitioner,

v.

ALACRITECH, INC.,  
Patent Owner.

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Case IPR2017-01392  
U.S. Patent No. 7,337,241

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**PETITIONER'S OBJECTIONS TO DOCUMENTS SERVED WITH  
PATENT OWNER'S PRELIMINARY RESPONSE**

Pursuant to 37 C.F.R. § 42.64(b), Petitioner Intel Corporation, hereby makes the following objections to the admissibility of documents submitted with Patent Owner's Preliminary Response.

Evidence	Objections
<b>Exhibit 2001</b>	<p>Ex. 2001 lacks any acknowledgement by Dr. Prucnal that willful false statements are punishable by fine, imprisonment, or both, or that the statements are true under penalty of perjury. To the extent that the Board does not give weight to declarations or affidavits without such an acknowledgement (pursuant to 37 C.F.R § 1.68 or 28 U.S.C. § 1746), it should also not consider Ex. 2001. <i>See Intel Corporation v. Alacritech, Inc.</i>, IPR2017-01402, Paper 8 at 6 (Nov. 6, 2017).</p> <p><b>FRE 702:</b> Intel objection to Ex. 2001 as being improper expert testimony. For example, Patent Owner uses Ex. 2001 to support its assertion that Alteon was not publicly accessible. POPR, Paper 10 at 42. However, Dr. Prucnal in Ex. 2001 notes that he “did not perform this investigation.” Ex. 2001 at ¶ 97, p. 39 n.4. Thus, this testimony is not based on sufficient facts or data.</p> <p><b>FRE 801, 802:</b> Intel objects to Ex. 2001 as inadmissible hearsay. Patent Owner uses Ex. 2001 to support its contention that the claims of the '241 Patent are not obvious in view of Erickson, Tanenbaum, and Alteon, that Alteon is allegedly not prior art, and to provide general characterizations of the prior art and the '241 Patent. Because Ex. 2001 is an out of court statement offered for its truth, and does not fall within any exception to the rule against hearsay, it is inadmissible hearsay. Also, Ex. 2001 purports to quote Ex. 2004 and Ex. 2006 which are also inadmissible hearsay. Thus, Ex. 2001 contains hearsay within hearsay and no part of the combined statements conforms with an exception to the rule against</p>

	hearsay.
<b>Exhibit 2004</b>	<p><b>FRE 401, 402:</b> Intel objects to Ex. 2004 as lacking relevance given the January 21, 2005 date in the header of the document. January 21, 2005 is well after the filing date of the application that resulted in the '241 Patent, September 27, 2002, and the date to which Patent Owner claims priority, October 14, 1997.</p> <p><b>FRE 801, 802:</b> Intel objects to Ex. 2004 as inadmissible hearsay. Patent Owner uses Ex. 2004 to show how one of ordinary skill in the art would understand the term “interrupt.” The document’s authors are not under oath and are not subject to cross-examination in this proceeding. Because Ex. 2004 is an out of court statement offered for its truth, and does not fall within any exception to the rule against hearsay, it is inadmissible hearsay.</p> <p><b>FRE 901:</b> Ex. 2004 is an unauthenticated document and is not self-authenticating under FRE 902. Thus, Ex. 2004 lacks authentication.</p>
<b>Exhibit 2006</b>	<p><b>FRE: 401, 402:</b> Intel objects to Ex. 2006 as lacking relevance. Ex. 2006 appears to be a web page rendering from archive.org. In the declaration submitted in support of Patent Owner’s preliminary response, Dr. Prucnal suggests that this is evidence that Alteon was not publicly available, but notes that he “did not perform this investigation.” Ex. 2001 at ¶ 97, p. 39 n.4. As Ex. 2006 purports to be only a single webpage and Dr. Prucnal did not conduct any investigation, Patent Owner has not established the relevance of this document.</p> <p><b>FRE: 801, 802:</b> Intel objects to Ex. 2006 as inadmissible hearsay. While Patent Owner does not directly cite to Ex. 2006, a representation of this exhibit appears in the Declaration of Dr. Prucnal in support of its response (Ex. 2001). Dr. Prucnal suggests that this is evidence that Alteon was not publicly available, but notes that he “did not perform this investigation.” Ex. 2001 at ¶ 97, p. 39 n.4. The document’s authors are not under oath and are not subject to cross-examination in this proceeding. Because Ex. 2006 is an out of court statement offered for its truth, and does not fall</p>

within any exception to the rule against hearsay, it is inadmissible hearsay.

**FRE 901:** Ex. 2006 is an unauthenticated document and is not self-authenticating under FRE 902. Thus, Ex. 2006 lacks authentication.

Dated: December 11, 2017

Respectfully submitted,

/s/ Garland T. Stephens

Garland T. Stephens, Reg. No. 37,242  
Weil, Gotshal & Manges LLP  
700 Louisiana, Suite 1700  
Houston, TX 77002  
Tel: (713) 546-5000  
Fax: (713) 224-9511  
garland.stephens@weil.com

Anne M. Cappella, Reg. No. 43,217  
Adrian Percer, Reg. No. 46,986  
Jeremy Jason Lang, Reg. No. 73,604  
Weil, Gotshal & Manges LLP  
201 Redwood Shores Parkway  
Redwood Shores, CA 94065  
Tel: (650) 802-3141  
Fax: (650) 802-3100  
anne.cappella@weil.com  
adrian.percer@weil.com  
jason.lang@weil.com

*Attorneys for Petitioner Intel Corporation*

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