UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

INTEL CORPORATION, Petitioner

V.

ALACRITECH INC., Patent Owner

Case IPR2017-01392 Patent No. 7,337,241

PATENT OWNER'S EXHIBIT 2001 DECLARATION OF PAUL PRUCNAL, PH.D.



- 1. I have been retained on behalf of Alacritech, Inc. ("Alacritech" or "Patent Owner") for the above-captioned *inter partes* review (IPR) proceeding. I understand that this proceeding was filed by Intel Corporation ("Intel") and involves U.S. Patent No. 7,337,241 ("the '241 Patent"), titled "Fast-path apparatus for receiving data corresponding to a TCP connection." The '241 Patent is currently assigned to Alacritech. I have been retained to provide my opinions in support of Alacritech's Preliminary Response Pursuant to 35 U.S.C. § 313 and 37 C.F.R. § 42.107 pursuant to the legal standards set forth below. I am being compensated for my time at the rate of \$650 per hour. I have no interest in the outcome of this proceeding.
- 2. In preparing this declaration, I have reviewed and am familiar with the following prior art references:

Erickson (Ex. 1005) is U.S. Patent No. 5,768,618, which issued on June 16, 1998 and is assigned to NCR Corporation.

Tanenbaum (Ex. 1006) is the 3rd edition of a textbook entitled *Computer Networks* by Andrew S. Tanenbaum.

Alteon (Ex. 1033) is the 1st edition of a technical brief entitled "Gigabit Ethernet Technical Brief: Achieving End-to-End Performance" by Alteon Networks, Inc.



- 3. I have also considered all other materials cited and discussed herein, including all other materials cited and discussed in Intel's Petition for *Inter Partes* Review of U.S. Patent No. 7,337,241 (Case IPR2017-01392).
 - 4. I have also considered the following:

No.	Short Name	Exhibit
2004	Interrupts	Jonathan Corbet; Alessandro Rubini; Greg Kroah-Hartman (2005), <i>Linux Device Drivers</i> , 3 rd edition, Chapter 10, "Interrupt Handling"
2006	Alteon '97 Homepage	January 13, 1997 Wayback Machine Archive of www.alteon.com homepage, <i>available at</i> https://www.alteon.com/web/19970113130740/ https://www.alteon.com/web/19970113130740/

5. The '241 Patent describes a system for protocol processing in a computer network that has an "intelligent network interface card" (INIC) or "communication processing device" (CPD) associated with a host computer. (Ex. 1001 at Abstract). The INIC provides a "fast-path" that avoids some or all protocol processing for large multi-packet messages, greatly accelerating data communication. (*Id.*) The INIC can also assist the host for those message packets that are chosen for processing by host software layers. A communication control block for a message is defined that allows Direct Memory Access (DMA) controllers of the INIC to move data, free of headers, directly to or from a destination or source in the host. (*Id.*) A context, for example, can be stored in the INIC as a communication control block (CCB) that can be passed back to the host



for message processing by the host. (*Id.*) I am familiar with the technology described in the '241 Patent as of its October 14, 1997¹ effective filing date.

6. The statements made herein are based on my own knowledge and opinion. This Declaration represents only the opinions I have formed to date. I may consider additional documents as they become available or other documents that are necessary to form my opinions. I reserve the right to revise, supplement, or amend my opinions based on new information and on my continuing analysis.

II. QUALIFICATIONS

- 7. My qualifications can be found in my Curriculum Vitae, which includes a complete list of my publications. (Ex. 2010).
- 8. I am a professor of Electrical Engineering at Princeton University, in Princeton, NJ. I received my undergraduate education at Bowdoin College, where I graduated summa cum laude in 1974 with an A.B. in Mathematics and Physics. I then graduated from Columbia University in 1976 with a M.S. in Electrical Engineering, and went on to receive an M.Phil. from Columbia University in 1978 in Electrical Engineering and a Ph.D. from Columbia University in 1979 in Electrical Engineering.

¹ The '241 Patent claims the benefit of U.S. Provisional App. Ser. No. 60/061,809, filed on Oct. 14, 1997.



- 9. Upon graduation from Columbia in 1979, I joined Columbia
 University as an Assistant Professor of Electrical Engineering, and in 1984 I was
 promoted to Associate Professor. In 1988, I joined the faculty of Princeton
 University as an Associate Professor of Electrical Engineering. My responsibilities
 included teaching and research. At that time, I also was the Founding Director of
 the New Jersey Advanced Technology Center for Photonics and Optoelectronic
 Materials. My responsibilities included leading a \$10 million research center
 involving approximately thirty faculty members.
- 10. In 1990, I was promoted to the position of full Professor of Electrical Engineering at Princeton University. My teaching responsibilities have included courses in electronic circuits, signal processing, communications and fiber-optic networks. My broad research interests have included communications networks and switching, computer interconnects, and network security. I also head the Lightwave Communications Research Laboratory and the Center for Network Security and Access at Princeton University, through which much of my present research is conducted.
- 11. While at Princeton University, I received several awards and recognitions, including: (a) becoming a Fellow of the Institute for Electrical and Electronics Engineers (IEEE) where my fellow citation is, "For contributions to photonic switching and fiber-optic networks;" (b) becoming a Fellow of the



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