

Filed on behalf of Patent Owner Genentech, Inc. by:

David L. Cavanaugh (Reg. No. 36,476)  
Lauren V. Blakely (Reg. No. 70,247)  
Robert J. Gunther, Jr. (*Pro Hac Vice*)  
Lisa J. Pirozzolo (*Pro Hac Vice*)  
Kevin S. Prussia (*Pro Hac Vice*)  
Andrew J. Danford (*Pro Hac Vice*)  
WILMER CUTLER PICKERING  
HALE & DORR LLP  
1875 Pennsylvania Ave., NW  
Washington, DC 20006

Adam R. Brausa (Reg. No. 60,287)  
Daralyn J. Durie (*Pro Hac Vice*)  
DURIE TANGRI LLP  
217 Leidesdorff Street  
San Francisco, CA 94111

UNITED STATES PATENT AND TRADEMARK OFFICE

---

**BEFORE THE PATENT TRIAL AND APPEAL BOARD**

---

CELLTRION, INC.,  
Petitioner,

v.

GENENTECH, INC.,  
Patent Owner.

---

Case IPR2017-01374  
U.S. Patent 6,407,213

---

**PATENT OWNER'S UPDATED EXHIBIT LIST**

**IPR2017-01374**  
**Patent Owner's Exhibit List**

<b><u>Patent Owner's Exhibit Number</u></b>	<b><u>Exhibit Name</u></b>
2001	Genentech, Inc. Laboratory Notebook No. 10098 (Leonard Presta) PROTECTIVE ORDER MATERIAL
2002	Genentech, Inc. Laboratory Notebook No. 10823 (Leonard Presta) PROTECTIVE ORDER MATERIAL
2003	Genentech, Inc. Laboratory Notebook No. 11268 (Paul Carter) PROTECTIVE ORDER MATERIAL
2004	Genentech, Inc. Laboratory Notebook No. 11643 (Paul Carter) PROTECTIVE ORDER MATERIAL
2005	Genentech, Inc. Laboratory Notebook No. 10840 (John Brady) PROTECTIVE ORDER MATERIAL
2006	Genentech, Inc. Laboratory Notebook No. 11162 (John Brady) PROTECTIVE ORDER MATERIAL
2007	Excerpts from Genentech, Inc. Laboratory Notebook No. 11008 (Ann Rowland) PROTECTIVE ORDER MATERIAL
2008	Excerpts from Genentech, Inc. Laboratory Notebook No. 11297 (Tim Hotaling) PROTECTIVE ORDER MATERIAL
2009	Excerpts from Genentech, Inc. Laboratory Notebook No. 11568 (Monique Carver) PROTECTIVE ORDER MATERIAL
2010	Genentech, Inc. Interoffice Memorandum from Paul Carter to Leonard Presta and Dennis Henner PROTECTIVE ORDER MATERIAL
2011	Genentech, Inc. Interoffice Memorandum from Paul Carter to Leonard Presta PROTECTIVE ORDER MATERIAL
2012	Genentech, Inc. Synthetic DNA Requests PROTECTIVE ORDER MATERIAL
2013	Genentech, Inc. Synthetic DNA Requests PROTECTIVE ORDER MATERIAL

<u>Patent Owner's Exhibit Number</u>	<u>Exhibit Name</u>
2014	Genentech, Inc. Protein Engineering of 4D5 Status Report PROTECTIVE ORDER MATERIAL
2015	Genentech, Inc. Interoffice Memorandum re: RCC Minutes and Recommendations PROTECTIVE ORDER MATERIAL
2016	Declaration of Dr. Leonard G. Presta PROTECTIVE ORDER MATERIAL
2017	Declaration of Dr. Paul J. Carter PROTECTIVE ORDER MATERIAL
2018	Declaration of John Ridgway Brady PROTECTIVE ORDER MATERIAL
2019	Declaration of Irene Loeffler
2020	Paul Carter, et al., <i>Humanization of the Anti-p185 Antibody for Human Cancer Therapy</i> , 89 PROC. NATL. ACAD. SCI. 4285-4289 (1992)
2021	Leonard Presta, et al., <i>Humanization of an Anti-Vascular Endothelial Growth Factor Monoclonal Antibody for the Therapy of Solid Tumors and Other Disorders</i> , 57 CANCER RESEARCH 4593-4599 (1997)
2022	Marianne Brüggerman, et al., <i>The Immunogenicity of Chimeric Antibodies</i> , 170 J. EXP. MED. 2153-2157 (1989)
2023	Jatinderpal Kalsi, et al., <i>Structure-function Analysis and the Molecular Origins of Anti-DNA Antibodies in Systemic Lupus Erythematosus</i> , EXPERT REVIEWS IN MOLECULAR MEDICINE 1-28 (1999)
2024	Scott Gorman, et al., <i>Reshaping a Therapeutic CD4 Antibody</i> , 88 PROC. NATL. ACAD. SCI. 4181-4185 (1991)
2025	John Isaacs, et al., <i>Humanised Monoclonal Antibody Therapy for Rheumatoid Arthritis</i> , 340 THE LANCET 748-752 (1992)
2026	Elvin Kabat, et al., <i>Sequences of Proteins of Immunological Interest</i> 1-23 (4th ed. 1987)
2027	Anna Tramontano, et al., <i>Framework Residue 71 Is a Major Determinant of the Position and Conformation of the Second Hypervariable Region in the VH Domains of Immunoglobulins</i> , 215 J. MOL. BIOL. 175-182 (1990)

<u>Patent Owner's Exhibit Number</u>	<u>Exhibit Name</u>
2028	H.M. Shepard, et al., <i>Herceptin</i> , in THERAPEUTIC ANTIBODIES. HANDBOOK OF EXPERIMENTAL PHARMACOLOGY 183-219 (Y. Chernajovsky & A. Nissim, eds. 2008)
2029	Excerpt from Roche Finance Report 2016
2030	Modified Default Standing Protective Order and Patent Owner's Certification of Agreement to Terms
2031	Modified Default Standing Protective Order – Redline
2032	Declaration of Robert J. Gunther, Jr. in support of Motion for Admission Pro Hac Vice
2033	Declaration of Daralyn J. Durie in support of Motion for Admission Pro Hac Vice
2034	Declaration of Lisa J. Pirozzolo in support of Motion for Admission Pro Hac Vice
2035	Declaration of Kevin S. Prussia in support of Motion for Admission Pro Hac Vice
2036	Declaration of Andrew J. Danford in support of Motion for Admission Pro Hac Vice
2037	File History for U.S. Patent Application No. 07/715,272 <i>Immunoglobulin Variants</i> (filed June 14, 1991).
2038	Reserved
2039	Deposition Transcript of Lutz Riechmann, <i>Celltrion, Inc. v. Genentech, Inc.</i> (PTAB), Feb. 14, 2018
2040	Deposition Transcript of Robert Leonard, <i>Celltrion, Inc. v. Genentech, Inc.</i> (PTAB), Feb. 16, 2018
2041	Expert Declaration of Dr. Ian A. Wilson
2042	U.S. Patent No. 7,375,193
2043	U.S. Patent No. 7,560,111
2044	Leonard Presta, et al., <i>Humanization of an Antibody Directed Against IgE</i> , 151 J. IMMUNOLOGY 2623-2632 (1993)
2045	A. Bondi, <i>van de Waals Volumes and Radii</i> , 68 J. PHYSICAL CHEMISTRY 441-451 (1964)
2046	Reserved
2047	Reserved
2048	Reserved
2049	Reserved
2050	Reserved
2051	Reserved

<u>Patent Owner's Exhibit Number</u>	<u>Exhibit Name</u>
2052	Reserved
2053	Ole Brekke, et al., <i>Therapeutic Antibodies for Human Diseases at the Dawn of the Twenty-First Century</i> , 2 NATURE REVIEWS   DRUG DISCOVERY 52- 62 (2003)
2054	Thomas A. Waldmann, <i>Monoclonal Antibodies in Diagnosis and Therapy</i> , 252 SCIENCE 1657-1662 (1991)
2055	Greg Winter, et al., <i>Antibody-Based Therapy: Humanized Antibodies</i> , 14 TIPS 139-143 (1993)
2056	Reserved
2057	Reserved
2058	Reserved
2059	Gert Riethmüller, et al., <i>Monoclonal Antibodies in the Detection and Therapy of Micrometastatic Epithelial Cancers</i> , 4 CURRENT OP. IMMUNOLOGY 647-655 (1992)
2060	Gert Riethmüller, et al., <i>Monoclonal Antibodies in Cancer Therapy</i> , 5 CURRENT OP. IMMUNOLOGY 732-739 (1993)
2061	Mark D. Pegram, et al., <i>Phase II Study of Receptor-Enhanced Chemosensitivity Using Recombinant Humanized Anti-p185<sup>HER2/neu</sup> Monoclonal Antibody Plus Cisplatin in Patients with HER2/neu-Overexpressing Metastatic Breast Cancer Refractory to Chemotherapy Treatment</i> , 16 J. CLINICAL ONCOLOGY 2659-2671 (1998)
2062	Redline of IPR2016-01694 Expert Declaration of Dr. Eduardo A. Padlan in Support of Petition for <i>Inter Partes</i> Review of Patent No. 6,407,213 and IPR2017-01373 Expert Declaration of Lutz Riechmann, Ph.D., in Support of Petition for <i>Inter Partes</i> Review of Patent No. 6,407,213
2063	Corrected Exhibit P of Expert Declaration of Lutz Riechmann, Ph.D., in Support of Petition for <i>Inter Partes</i> Review of Patent No. 6,407,213
2064	Deposition Transcript of Lutz Riechmann, <i>Celltrion, Inc. v. Genentech, Inc.</i> (PTAB), June 19, 2018
2065	Transcript of Conference Call, <i>Celltrion, Inc. v. Genentech, Inc.</i> , June 18, 2018

# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

## LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

## FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

## E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.