

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

490

7590

02/14/2005

VIDAS, ARRETT & STEINKRAUS, P.A. 6109 BLUE CIRCLE DRIVE SUITE 2000 MINNETONKA, MN 55343-9185 EXAMINER

JIMENEZ, MARC QUEMUEL

ART UNIT

PAPER NUMBER

3726

DATE MAILED: 02/14/2005

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/444,807 | 05/23/2003 | Michael Austin | S63.2-7405-US03 | 8997 |

TITLE OF INVENTION: APPARATUS FOR CONTRACTING, LOADING OR CRIMPING SELF-EXPANDING AND BALLOON EXPANDABLE STENT DEVICES

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO | \$1400 | \$300 | \$1700 | 05/16/2005 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



EDWARDS LIFESCIENCES EX. 1202

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

| | | | or <u>Fax</u> | (703) 746-4000 | | | |
|--|---|--|---|--|--|--|--|
| INSTRUCTIONS: This for appropriate. All further condicated unless corrected maintenance fee notification | below or directed otherwise | smitting the ISSU Patent, advance or in Block 1, by (a) | E FEE and PUBL ders and notification) specifying a new | ICATION FEE (if required in of maintenance fees correspondence address | uired). Blocks I through 5 sl will be mailed to the current s; and/or (b) indicating a sepa | nould be completed where correspondence address as rate "FEE ADDRESS" for | |
| CURRENT CORRESPONDEN | ICE ADDRESS (Note: Use Block 1 for | any change of address) | | Note: A certificate o | f mailing can only be used for | r domestic mailings of the | |
| | | | | Fee(s) Transmittal, T | Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must | | |
| 490 | 7590 02/14/2005 | | | have its own certifica | te of mailing or transmission. | in or ionnai diawing, musi | |
| VIDAS, ARRET | TT & STEINKRAUS, | P.A. | | Ce | ertificate of Mailing or Trans | mission | |
| 6109 BLUE CIRCLE DRIVE SUITE 2000 | | | | I hereby certify that this Fee(s) Transmittal is being deposited with the Unite States Postal Service with sufficient postage for first class mail in an envelop addressed to the Mail Stop ISSUE FEE address above, or being facsimil transmitted to the USPTO (703) 746-4000, on the date indicated below. | | | |
| MINNETONKA, | MIN 33343-9183 | | | | | (Depositor's name) | |
| | | | | | | (Signature) | |
| | | | | | | (Date) | |
| APPLICATION NO. | FILING DATE | | FIRST NAMED INVI | ENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
| 10/444,807 | 05/23/2003 | | Michael Aust | in ´ | S63.2-7405-US03 | 8997 | |
| | | CTING LOADIN | | | AND BALLOON EXPANDAE | | |
| | | | | | I some series per | | |
| APPLN. TYPE | SMALL ENTITY | ISSUE FI | | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE | |
| nonprovisional | NO | \$1400 | | \$300 | \$1700 | 05/16/2005 | |
| EXA | MINER | ART UN | IT | CLASS-SUBCLASS | | | |
| JIMENEZ, MA | ARC QUEMUEL | 3726 | | 072-402000 | _ | | |
| Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. | | | (1) the names of or agents OR, al (2) the name of registered attorn | a single firm (having as ley or agent) and the na ent attorneys or agents. I | ent attorneys 1 a member a 2 mes of up to | | |
| 3. ASSIGNEE NAME AN | D RESIDENCE DATA TO B | E PRINTED ON T | HE PATENT (prir | it or type) | | | |
| PLEASE NOTE: Unles recordation as set forth | ss an assignee is identified be in 37 CFR 3.11. Completion | elow, no assignee of this form is NO | data will appear or I a substitute for fil | n the patent. If an assig ing an assignment. | nee is identified below, the d | ocument has been filed for | |
| (A) NAME OF ASSIG | NEE | (B | s) RESIDENCE: (C | ITY and STATE OR CO | DUNTRY) | | |
| | te assignee category or catego | | | | Corporation or other private gro | oup entity Government | |
| 4a. The following fee(s) arIssue Fee | e enclosed: | 40 | Payment of Fee(s | A check in the amount of the fee(s) is enclosed. | | | |
| | | | Payment by credit card. Form PTO-2038 is attached. | | | | |
| | | | ☐ Payment by credit card. Form P10-2038 is attached. ☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to | | | | |
| Advance Order - # of Copies | | | Deposit Account Number (enclose an extra copy of this form). | | | | |
| | s (from status indicated above SMALL ENTITY status. See | , | b. Applicant is | no longer claiming SM | ALL ENTITY status. See 37 C | FR 1.27(g)(2). | |
| The Director of the USPTC NOTE: The Issue Fee and interest as shown by the re- | O is requested to apply the Issu Publication Fee (if required) v cords of the United States Pat | ue Fee and Publica will not be accepted ent and Trademark | tion Fee (if any) or I from anyone othe Office. | to re-apply any previou r than the applicant; a re | sly paid issue fee to the applica gistered attorney or agent; or the | ation identified above. ne assignee or other party in | |
| Authorized Signature | | | | | | | |
| Typed or printed name | | | Registration No. | | | | |
| This collection of informat an application. Confidentia submitting the completed this form and/or suggestion | tion is required by 37 CFR 1.3 ality is governed by 35 U.S.C application form to the USPT and for reducing this burden, sl | 11. The information 122 and 37 CFR O. Time will vary to the could be sent to the | on is required to obt 1.14. This collection depending upon the chief Information | ain or retain a benefit by n is estimated to take 12 e individual case. Any Officer, U.S. Patent an | the public which is to file (and minutes to complete, including comments on the amount of tid Trademark Office, U.S. Dep | d by the USPTO to process) ig gathering, preparing, and me you require to complete artment of Commerce, P.O. | |

Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.





United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO |). | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---------------------------|-------------|-----------------------|------------------------|------------------|
| 10/444,807 | | 05/23/2003 | Michael Austin | S63.2-7405-US03 8997 | |
| 490 | 7590 | 02/14/2005 | | EXAMINER | |
| VIDAS, ARRETT & STEINKRAUS, P.A. 6109 BLUE CIRCLE DRIVE | | | JIMENEZ, MARC QUEMUEL | | |
| SUITE 2000 | IKCLE D | KIVE . | | ART UNIT | PAPER NUMBER |
| MINNETON | MINNETONKA, MN 55343-9185 | | | 3726 | |
| | | | | DATE MAILED: 02/14/200 | 5 |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



| | Application No. | Applicant(s) | $\bigcap M$ |
|---|--|--|-------------------------|
| | 10/444,807 | AUSTIN, MICHAE | EL CV |
| Notice of Allowability | Examiner | Art Unit | |
| | Marc Jimenez | 3726 | |
| The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313 | 6 (OR REMAINS) CLOSED in) or other appropriate comm RIGHTS. This application is: | n this application. If not inclu unication will be mailed in du | uded ue course. THIS |
| 1. \boxtimes This communication is responsive to <u>the amendment filed</u> | on 1/7/05. | | |
| 2. X The allowed claim(s) is/are <u>27-64,66 and 67</u> . | | | |
| 3. \boxtimes The drawings filed on $23 May 2003$ are accepted by the E | xaminer. | | |
| 4. ☐ Acknowledgment is made of a claim for foreign priority u a) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 3. ☐ Copies of the certified copies of the priority documents have 1. ☐ Certified copies of the priority documents have 3. ☐ Copies of the certified copies of the priority documents have 1. ☐ Certified copies not received: ☐ ☐ Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 5. ☐ A SUBSTITUTE OATH OR DECLARATION must be subminformal part and pa | e been received. e been received in Application occuments have been received of this communication to file MENT of this application. Initted. Note the attached EXPRES reason(s) why the oath of the submitted. Son's Patent Drawing Review of Samendment / Comment of the header according to 37 CF posit of BIOLOGICAL MATER. | on No d in this national stage application of the drawings in the front (not the R 1.121(d). d in this national stage application of the drawings in the submitted. | requirements NOTICE OF |
| Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/C Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material | 6. Interview S Paper No. 08), 7. Examiner's | oformal Patent Application (Programmery (PTO-413), If Mail Date Amendment/Comment Statement of Reasons for All Marc Jimenez Primary Examiner Art Unit 3726 February 9, 2005 | llowance |



Application No. 10/444807 Page 2 Amendment Attorney Docket No. S63.2Q-7405-US03

Amendments To The Claims:

Claims 1-26. (Canceled)

Claim 27. (Currently Amended) A stent crimper comprising:

a plurality of movable dies arranged to form an iris having a longitudinal axis, the iris defining an aperture of a substantially regular polygonal shape, the dies disposed about the aperture and between stationary end-walls which are disposed about the longitudinal axis, at least one of the stationary end-walls operatively engaged to the dies at distinct connection locations such that the number of distinct connection locations and the number of dies are the same;

each die having a first straight side and a second straight side, the first straight side and the second straight side converging to form a tip; wherein a portion of the first straight side of each die faces the aperture, each first straight side parallel to the second side of an adjacent die.

Claim 28. (Previously presented) The stent crimper of claim 27 having a rotatable actuation device coupled to the dies, rotation of the actuation device causing the dies to move inward and reduce the size of the aperture or outward so as to increase the size of the aperture.

Claim 29. (Previously presented) The stent crimper of claim 27 the dies are cooled below ambient temperature.

Claim 30. (Previously presented) The stent crimper of claim 29 including a stent within the aperture.

Claim 31. (Previously presented) The stent crimper of claim 30 wherein the stent is made of nitinol.

Claim 32. (Previously presented) The stent crimper of claim 27 wherein at least 8 dies are provided.

Claim 33. (Previously presented) The stent crimper of claim 27 wherein at least 16 dies are provided.

Claim 34. (Previously presented) The stent crimper of claim 27 wherein the dies are moved cooperatively inward during the moving step.

Claim 35. (Previously presented) The stent crimper of claim 27 wherein the dies are wedge-shaped.

PAGE 3/10 * RCVD AT 1/7/2005 1:56:34 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-1/4 * DNIS:8729306 * CSID:9525633001 * DURATION (mm-ss):03-24



DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

