UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

UBISOFT, INC. AND SQUARE ENIX, INC., Petitioners

V.

UNILOC USA, INC. AND UNILOC LUXEMBOURG, S.A., Patent Owners.

Case No. IPR2017-01290 U.S. Patent No. 6,510,466

PETITIONER'S REQUEST FOR REHEARING UNDER 37 C.F.R. § 42.71(D)



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I. INTRODUCTION

In response to the Decision Denying Institution of *Inter* Partes Review entered November 1, 2017, (Paper 12, hereinafter "Decision") and pursuant to 37 C.F.R. § 42.71(d), Ubisoft, Inc. and Square Enix, Inc. ("Petitioner") hereby respectfully request the Patent Trial and Appeal Board ("Board") reconsider its decision denying institution for *inter partes* review of claims 1, 2, 7, 8, 15–17, 22, 23, 30, 35, and 36 of U.S. Patent No. 6,510,466 (EX1001, "the '466 patent").

The grounds of invalidity raised by Petitioner in the Petition (Paper 3, hereinafter "Petition") are based on the following references:

- 1. "Sonderegger" (US 5,692,129; issued Nov. 25, 1997) (Ex. 1002);
- 2. "Hughes" (Jeffrey F. Hughes and Blair W. Thomas, NOVELL'S GUIDE TO NETWARE 4.1 NETWORKS (1996)) (Ex. 1003);
- 3. "Franklin" (US 6,105,069; issued Aug. 15, 2000) (Ex. 1004); and
- 4. "NAL White Paper" (Novell Application Launcher 2.0: Fast, Efficient Software Distribution and Application Deployment) (Ex. 1005).

Decision at 6. This request is timely under 37 C.F.R. §42.71(d)(2) as it was filed within 30 days of the Board's decision not to institute a trial on the '466 patent.

II. APPLICABLE STANDARDS

"A party dissatisfied with a decision may file a request for rehearing, without prior authorization from the Board." 37 C.F.R. §42.71(d). "The request must specifically identify all matters the party believes the Board misapprehended



or overlooked, and the place where each matter was previously addressed in a motion, an opposition, or a reply." *Id.* The Board reviews a decision for an abuse of discretion. 37 C.F.R. §42.71(c).

The Board has granted requests for rehearing and instituted a previously denied *inter partes* review proceeding after determining that it had misapprehended and/or overlooked evidence that was relied upon by the Petitioners. Exemplary opinions reflecting such action may be found in *Merial Limited v. Virbac* IPR2014-01279, Paper 18 at 7 (Apr. 15, 2015) (granting rehearing and ordering institution, finding: "Petitioner emphasizes the 'optional' nature of the cosolvent, a matter we overlooked in entering our order declining to institute an *inter partes* review trial.") and *Daicel Corp. v. Celanese International Corp.* IPR2015-00171, Paper 13 at 3-4 (Jun. 26, 2015) (granting rehearing and ordering institution, determining that it had "misapprehended the significance of this argument in the Petition, and overlooked the fact that Mr. Cooper's opinion is also based on his own calculations and data in two published articles").

III. ARGUMENT

Petitioner requests reconsideration of the Board's Decision not to institute *inter partes* review on all grounds raised in the Petition because the Decision misapprehends and/or overlooks the disclosure of the '466 patent and the Sonderegger prior art with respect to the limitations relating to "installing a



plurality of applications programs on a server" found in independent method claim 1 and independent means-plus-function claims 15 and 16.

Specifically, the Decision declined to institute on means-plus-function claims 15 and 16 because the Board disagreed with Petitioner's proposed structure: Figure 5 of the '466 patent. The Board found "that Figure 5 of the '466 patent relates to configuration and not installation. . . . We, therefore, do not adopt Petitioner's proposed corresponding structure because it is not linked or associated with the recited function." Decision at 10 (internal citations omitted). Instead, the Board found that certain steps of Figure 8 were clearly linked to the function, and then determined that "Petitioner has not shown the references describe the corresponding structure" of Figure 8. Decision at 11, 14-15.

As to method claim 1, the Board construed "application program" – as found in the limitation "installing a plurality of application programs at a server" – as "code associated with underlying application program functions." Decision at 11, 15. The Board then determined that the "application objects" disclosed in the Sonderegger reference "do not comport with that construction because they contain only information about application programs" Decision at 15.

Petitioner requests rehearing of these determinations, and contends that the Board misapprehended and/or overlooked that 1) the '466 patent's disclosure of "installing" does not exclude "configuring"; 2) Petitioner's proposed structure –



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