

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PROVISIONAL APPLICATION FOR PATENT COVER SHEET – Page 1 of 2**

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

Express Mail Label No. \_\_\_\_\_

INVENTOR(S)		
Given Name (first and middle [if any])	Family Name or Surname	Residence (City and either State or Foreign Country)
Kanghong	Zhang	Torrance, California
Dan	Dix	Irvine, California
Additional inventors are being named on the _____ separately numbered sheets attached hereto		
<b>TITLE OF THE INVENTION (500 characters max):</b>		
LED Lighting Fixture		
<b>Direct all correspondence to: CORRESPONDENCE ADDRESS</b>		
<input checked="" type="checkbox"/> The address corresponding to Customer Number:		24201
<b>OR</b>		
<input type="checkbox"/> Firm or Individual Name		
Address		
City	State	Zip
Country	Telephone	Email
<b>ENCLOSED APPLICATION PARTS (check all that apply)</b>		
<input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		<input type="checkbox"/> CD(s), Number of CDs _____
<input checked="" type="checkbox"/> Drawing(s) <i>Number of Sheets</i> 29 _____		<input type="checkbox"/> Other (specify) _____
<input checked="" type="checkbox"/> Specification (e.g. description of the invention) <i>Number of Pages</i> 4 _____		
<b>Fees Due:</b> Filing Fee of \$210 (\$105 for small entity). If the specification and drawings exceed 100 sheets of paper, an application size fee is also due, which is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).		
<b>METHOD OF PAYMENT OF THE FILING FEE AND APPLICATION SIZE FEE FOR THIS PROVISIONAL APPLICATION FOR PATENT</b>		
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		
<input type="checkbox"/> A check or money order is enclosed to cover the filing fee and application size fee (if applicable).		[ ]
<input checked="" type="checkbox"/> Payment by credit card. Form PTO-2038 is attached		<b>TOTAL FEE AMOUNT (\$)</b>
<input type="checkbox"/> The Director is hereby authorized to charge the filing fee and application size fee (if applicable) or credit any overpayment to Deposit Account Number: _____		
A duplicative copy of this form is enclosed for fee processing.		

**USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT**

This collection of information is required by 37 CFR 1.51. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-0100 and select option 5.

PROVISIONAL APPLICATION COVER SHEET  
Page 2 of 2

PTO/SB/16 (10-07)

Approved for use through 06/30/2010. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.



No.

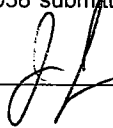


Yes, the name of the U.S. Government agency and the Government contract number are: \_\_\_\_\_

**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

SIGNATURE \_\_\_\_\_



Date \_\_\_\_\_

10/10/2007

TYPED or PRINTED NAME James Juo

REGISTRATION NO. 36177  
(if appropriate)

TELEPHONE 310-824-5555

Docket Number: JIMWA-78288

## Electronic Patent Application Fee Transmittal

<b>Application Number:</b>				
<b>Filing Date:</b>				
<b>Title of Invention:</b>	LED Lighting Fixture			
First Named Inventor/Applicant Name:	Kanghong Zhang			
<b>Filer:</b>	James Juo			
<b>Attorney Docket Number:</b>	JIMWA-78288			
Filed as Small Entity				
<b>Provisional Filing Fees</b>				
<b>Description</b>	<b>Fee Code</b>	<b>Quantity</b>	<b>Amount</b>	<b>Sub-Total in USD(\$)</b>
<b>Basic Filing:</b>				
Provisional Application filing fee	2005	1	105	105
<b>Pages:</b>				
<b>Claims:</b>				
<b>Miscellaneous-Filing:</b>				
<b>Petition:</b>				
<b>Patent-Appeals-and-Interference:</b>				
Post-Allowance-and-Post-Issuance:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
<b>Miscellaneous:</b>				
<b>Total in USD (\$)</b>				<b>105</b>

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	2305307
<b>Application Number:</b>	60979068
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	4843
<b>Title of Invention:</b>	LED Lighting Fixture
<b>First Named Inventor/Applicant Name:</b>	Kanghong Zhang
<b>Customer Number:</b>	24201
<b>Filer:</b>	James Juo
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	JIMWA-78288
<b>Receipt Date:</b>	10-OCT-2007
<b>Filing Date:</b>	
<b>Time Stamp:</b>	23:44:46
<b>Application Type:</b>	Provisional

### Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$ 105
RAM confirmation Number	4034
Deposit Account	062425

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:  
Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

## LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

## FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

## E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.