UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TECHNICAL CONSUMER PRODUCTS, INC., NICOR INC., AND AMAX LIGHTING, Petitioner,

v.

LIGHTING SCIENCE GROUP CORP. Patent Owner

> Case No.: IPR2017-01280 Patent No.: 8,967,844

> Case No.: IPR2017-01285 Patent No.: 8,672,518

> Case No.: IPR2017-01287 Patent No.: 8,201,968

DECLARATION OF ERIC BRETSCHNEIDER, PH.D.

LARM Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

DOCKE⁻

Δ

Exhibit	Description
А	CV - Dr. Eric Bretschneider
В	IES Lighting Handbook Application Volume 1981, John E. Kaufman,
	Howard Haynes, Eds.
С	Robert E. Simmons, "Simplified formula for Estimating Natural Convection
	Heat Transfer Coefficient on a Flat Plate," Electronics Cooling vol. 7, No.
	3, p. 12-13, August 2001
D	HLMT-PL00 Specification
Е	IES Lighting Handbook Reference Volume 1984, John E. Kaufman, Jack F.
	Christensen, Eds.

I. INTRODUCTION

I, Eric Bretschneider, declare as follows:

1. I have been retained as an expert by Lighting Science Group Corp. ("LSG") in connection with the above-captioned lawsuit to provide my analyses and conclusions on certain technical aspects of this dispute.

2. I have personal knowledge of the matters set forth in this declaration and, if called upon to do so, would testify to such matters in court. My analyses and conclusions are based on my review of U.S. Patent Nos. 8,201,968 (the "968 Patent"), 8,672,518 (the "518 Patent"), and 8,967,844 (the "844 Patent") (collectively, the "Patents at Issue"), their prosecution histories, the materials cited below, the Petitions filed by Petitioners in these IPR proceedings, any Exhibits to those Petitions, my professional experience, and my expertise in the field of light-emitting diode technology.

3. If asked to do so, I may testify regarding the contents of this declaration, and I reserve the right to use and rely on certain demonstratives to do so. I also reserve the right to amend and/or supplement this declaration should additional information or developments that may affect my opinions become available.

4. I am being compensated at my customary rate of \$400 per hour for my work in connection with this case. My compensation is not dependent on the contents of this declaration, the substance of any further analyses, conclusions or testimony that I may give, or the outcome of this case.

II. PROFESSIONAL BACKGROUND

5. My qualifications for forming the conclusions set forth in this declaration are summarized here and explained in more detail in my curriculum vitae, which is attached as Exhibit

A.

6. I have over 25 years of experience with lighting and LEDs, including a comprehensive background on a full range of LED production technologies, including Metal-Organic Chemical Vapor Deposition ("MOCVD") hardware/process, fabrication, LED chip and package testing and reliability, optical design, thermal management, color conversion, and SSL fixture/lamp design, integration, and reliability. Throughout the course of my career I have designed and transferred into manufacturing over 150 different LED-based lighting products.

7. I am currently the Chief Technology Officer at EB Designs & Technology. In that capacity, I am (among other things) responsible for the design of solid-state lighting technologies for clients ranging from startups to Fortune 100 companies.

8. I am also a member and current chair of the University of Florida Department of Chemical Engineering Advisory Board. I have been a Conference Chair for LED Measurement and Standards. I am also a member of a number of professional societies, including the International Society for Optics and Photonics (SPIE), Materials Research Society, and Illuminating Engineering Society (I am a member of the Science Advisory Panel as well as a member of numerous committees, most notably the IES Test Procedures Committee where I chair the Solid-State Lighting subcommittee).

9. Prior to my position at EB Designs & Technology, I served as the Director of Engineering at HeathCo, LLC. In that capacity, I was responsible for advanced technology/product development related to solid-state lighting, sensors, notifications, and control products.

10. Prior to my position as Director of Engineering at HeathCo, I was at the Elec-Tech International Co., Ltd., where I held the positions of Chief Engineer, ETi Lighting Research Institute and VP of Research and Development, ETi Solid State Lighting. In that capacity, my responsibilities included developing all technology and product roadmaps for markets in North America, China, Europe, and Japan. I designed and developed LED based lighting products for all of these markets.

11. Between 2008 and 2011, I was at LSG, first as a product development manager, and my responsibilities included developing solid state lighting products, then as VP of Research, and my responsibilities included developing advanced LED models for product development and production control. In these roles I was involved in the design and manufacture of numerous LEDbased lighting fixtures and products.

12. Between 2004 and 2008, I was at Toyoda Gosei North America, where was a sales manager, and my responsibilities included managing and developing LED die and package sales accounts form the eastern region of North America. I was also tasked with providing technical support for the entire western hemisphere. The support I provided included design of LED packages and design of lighting fixtures and products that incorporated LED packages.

13. Between 2003 and 2004, I was at Beeman Lighting, where I was Director of Solid State Lighting Engineering, and my responsibilities included leading development of solid state lighting systems and materials.

14. Between 1998 and 2003, I was at Uniroyal Optoelectronics where I held a number of positions including Team Leader for the Epitaxial Growth and Materials Characterization areas, Sr. Epi Scientist, Director of Intellectual Property, University Relations and Government Contracts. My responsibilities included MOCVD hardware modification, epitaxial process development as well as design, development and testing of new LED chip structures for both AlInGaP and GaN-based material systems. I was also responsible for providing technical support and assistance to customers on topics related to use of LED chips, design of LED packages and

DOCKET A L A R M



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.