UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD
SAMSUNG ELECTRONICS CO., LTD.; AND SAMSUNG ELECTRONICS AMERICA, INC. Petitioner
v.
IMAGE PROCESSING TECHNOLOGIES, LLC Patent Owner
Patent No. 6,717,518

PROTECTIVE ORDER



Finding good cause exists to enter a Protective Order governing the disclosure and treatment of confidential information produced in this *inter partes* review, it is ordered:

- Confidential information shall be clearly marked "PROTECTIVE ORDER MATERIAL."
- 2. Access to confidential information is limited to the following individuals who have executed the acknowledgment appended to this order:
- (A) *Parties*. Persons who are owners of a patent involved in the proceeding and other persons who are named parties to the proceeding.
- (B) *Party Representatives*. Representatives of record for a party in the proceeding.
- (C) *Experts*. Retained experts of a party in the proceeding who further certify in the Acknowledgement that they are not a competitor to any party, or a consultant for, or employed by, such a competitor with respect to the subject matter of the proceeding.
 - (D) In-house counsel. In-house counsel of a party.



- (E) Other Employees of a Party. Employees, consultants or other persons performing work for a party, other than in-house counsel and in-house counsel's support staff, who sign the Acknowledgement shall be extended access to confidential information only upon agreement of the parties or by order of the Board upon a motion brought by the party seeking to disclose confidential information to that person. The party opposing disclosure to that person shall have the burden of proving that such person should be restricted from access to confidential information.
- (F) *The Office*. Employees and representatives of the Office who have a need for access to the confidential information shall have such access without the requirement to sign an Acknowledgement. Such employees and representatives shall include the Director, members of the Board and their clerical staff, other support personnel, court reporters, and other persons acting on behalf of the Office.
- (G) Support Personnel. Administrative assistants, clerical staff, court reporters and other support personnel of the foregoing persons who are reasonably necessary to assist those persons in the proceeding shall not be required to sign an Acknowledgement, but shall be informed of the terms and requirements of the Protective Order by the person they are supporting who receives confidential information.



- 3. The parties may additionally mark information both "PROTECTIVE ORDER MATERIAL" and "ATTORNEYS' EYES ONLY." Notwithstanding anything else in this Order, material so marked may be viewed by outside counsel only, and may not be shared with the non-disclosing party or employees of the non-disclosing party.
- 4. Any outside counsel permitted to receive the other Party's Protected Material that is designated "ATTORNEYS' EYES ONLY," who obtains, receives, has access to, or otherwise learns, in whole or in part, such material under this Order shall not prepare, prosecute, supervise, or assist in the preparation or prosecution of any patent application pertaining to the field of the invention of the patents-in-suit on behalf of the receiving Party or its acquirer, successor, predecessor, or other affiliate during the pendency of this Action and for one year after its conclusion, including any appeals. To ensure compliance with the purpose of this provision, each Party shall create an "Ethical Wall" between those persons with access to "ATTORNEYS' EYES ONLY" material and any individuals who, on behalf of the Party or its acquirer, successor, predecessor, or other affiliate, prepare, prosecute, supervise or assist in the preparation or prosecution of any patent application pertaining to the field of invention of the patent-in-suit. This Prosecution Bar shall not apply to any reexamination, post-grant review, or *inter* partes review proceedings, except that persons covered by this Prosecution Bar



shall not assist, supervise, or particulate in the proposal, drafting, or modification of any new or amended claims in such proceedings.

- 5. Persons receiving confidential information shall use reasonable efforts to maintain the confidentiality of the information, including:
- (A) Maintaining such information in a secure location to which persons not authorized to receive the information shall not have access;
- (B) Otherwise using reasonable efforts to maintain the confidentiality of the information, which efforts shall be no less rigorous than those the recipient uses to maintain the confidentiality of information not received from the disclosing party;
- (C) Ensuring that support personnel of the recipient who have access to the confidential information understand and abide by the obligation to maintain the confidentiality of information received that is designated as confidential; and
- (D) Limiting the copying of confidential information to a reasonable number of copies needed for conduct of the proceeding and maintaining a record of the locations of such copies.
- 6. Persons receiving confidential information shall use the following procedures to maintain the confidentiality of the information:
 - (A) Documents and Information Filed With the Board.



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